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Form 990-PF

## Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

2010

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning 08-01-2010 , and ending 07-31-2011

**G** Check all that apply  Initial return  Initial return of a former public charity  Final return  
 Amended return  Address change  Name change

Name of foundation  
HELEN L & MARIE F ROTTERMAN TRUST UW OF M ROTTERMAN**A** Employer identification number

31-6236156

Number and street (or P.O. box number if mail is not delivered to street address)  
1900 KETTERING TOWER**B** Telephone number (see page 10 of the instructions)

(937) 222-2500

City or town, state, and ZIP code  
DAYTON, OH 45423**C** If exemption application is pending, check here  **D** 1. Foreign organizations, check here  2. Foreign organizations meeting the 85% test, check here and attach computation  **E** If private foundation status was terminated under section 507(b)(1)(A), check here  **F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here  **H** Check type of organization  Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation**I** Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 3,545,429 **J** Accounting method  Cash  Accrual  
 Other (specify) (Part I, column (d) must be on cash basis.)

**Part I** **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)				
<b>2</b> Check <input type="checkbox"/> <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
<b>3</b> Interest on savings and temporary cash investments	1,925	1,925		
<b>4</b> Dividends and interest from securities. . . . .	107,042	107,042		
<b>5a</b> Gross rents . . . . .				
<b>b</b> Net rental income or (loss) _____				
<b>6a</b> Net gain or (loss) from sale of assets not on line 10	266,117			
<b>b</b> Gross sales price for all assets on line 6a 2,243,580				
<b>7</b> Capital gain net income (from Part IV, line 2) . . . . .		266,117		
<b>8</b> Net short-term capital gain . . . . .				
<b>9</b> Income modifications . . . . .				
<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less Cost of goods sold . . . . .				
<b>c</b> Gross profit or (loss) (attach schedule) . . . . .				
<b>11</b> Other income (attach schedule) . . . . .				
<b>12</b> <b>Total.</b> Add lines 1 through 11 . . . . .	375,084	375,084		
<b>13</b> Compensation of officers, directors, trustees, etc	14,058	7,029		7,029
<b>14</b> Other employee salaries and wages . . . . .				
<b>15</b> Pension plans, employee benefits . . . . .				
<b>16a</b> Legal fees (attach schedule) . . . . .				
<b>b</b> Accounting fees (attach schedule) . . . . .	350			350
<b>c</b> Other professional fees (attach schedule) . . . . .				
<b>17</b> Interest . . . . .				
<b>18</b> Taxes (attach schedule) (see page 14 of the instructions)	4,500	4,500		
<b>19</b> Depreciation (attach schedule) and depletion . . . . .				
<b>20</b> Occupancy . . . . .				
<b>21</b> Travel, conferences, and meetings . . . . .	70	35		35
<b>22</b> Printing and publications . . . . .				
<b>23</b> Other expenses (attach schedule) . . . . .	20,390	19,596		794
<b>24</b> <b>Total operating and administrative expenses.</b>				
Add lines 13 through 23 . . . . .	39,368	31,160		8,208
<b>25</b> Contributions, gifts, grants paid . . . . .	159,000			159,000
<b>26</b> <b>Total expenses and disbursements.</b> Add lines 24 and 25	198,368	31,160		167,208
<b>27</b> Subtract line 26 from line 12				
<b>a</b> <b>Excess of revenue over expenses and disbursements</b>	176,716			
<b>b</b> <b>Net investment income</b> (if negative, enter -0-) . . . . .		343,924		
<b>c</b> <b>Adjusted net income</b> (if negative, enter -0-) . . . . .				

**Revenue**

<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)				
<b>2</b> Check <input type="checkbox"/> <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
<b>3</b> Interest on savings and temporary cash investments	1,925	1,925		
<b>4</b> Dividends and interest from securities. . . . .	107,042	107,042		
<b>5a</b> Gross rents . . . . .				
<b>b</b> Net rental income or (loss) _____				
<b>6a</b> Net gain or (loss) from sale of assets not on line 10	266,117			
<b>b</b> Gross sales price for all assets on line 6a 2,243,580				
<b>7</b> Capital gain net income (from Part IV, line 2) . . . . .		266,117		
<b>8</b> Net short-term capital gain . . . . .				
<b>9</b> Income modifications . . . . .				
<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less Cost of goods sold . . . . .				
<b>c</b> Gross profit or (loss) (attach schedule) . . . . .				
<b>11</b> Other income (attach schedule) . . . . .				
<b>12</b> <b>Total.</b> Add lines 1 through 11 . . . . .	375,084	375,084		

**Operating and Administrative Expenses**

<b>13</b> Compensation of officers, directors, trustees, etc	14,058	7,029		7,029
<b>14</b> Other employee salaries and wages . . . . .				
<b>15</b> Pension plans, employee benefits . . . . .				
<b>16a</b> Legal fees (attach schedule) . . . . .				
<b>b</b> Accounting fees (attach schedule) . . . . .	350			350
<b>c</b> Other professional fees (attach schedule) . . . . .				
<b>17</b> Interest . . . . .				
<b>18</b> Taxes (attach schedule) (see page 14 of the instructions)	4,500	4,500		
<b>19</b> Depreciation (attach schedule) and depletion . . . . .				
<b>20</b> Occupancy . . . . .				
<b>21</b> Travel, conferences, and meetings . . . . .	70	35		35
<b>22</b> Printing and publications . . . . .				
<b>23</b> Other expenses (attach schedule) . . . . .	20,390	19,596		794
<b>24</b> <b>Total operating and administrative expenses.</b>				
Add lines 13 through 23 . . . . .	39,368	31,160		8,208
<b>25</b> Contributions, gifts, grants paid . . . . .	159,000			159,000
<b>26</b> <b>Total expenses and disbursements.</b> Add lines 24 and 25	198,368	31,160		167,208
<b>27</b> Subtract line 26 from line 12				
<b>a</b> <b>Excess of revenue over expenses and disbursements</b>	176,716			
<b>b</b> <b>Net investment income</b> (if negative, enter -0-) . . . . .		343,924		
<b>c</b> <b>Adjusted net income</b> (if negative, enter -0-) . . . . .				

<b>Part II</b> <b>Balance Sheets</b>	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)	Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>1</b>	Cash—non-interest-bearing . . . . .	502	502	502
<b>2</b>	Savings and temporary cash investments . . . . .	540,822	322,262	322,262
<b>3</b>	Accounts receivable ►			
	Less allowance for doubtful accounts ►			
<b>4</b>	Pledges receivable ►			
	Less allowance for doubtful accounts ►			
<b>5</b>	Grants receivable . . . . .			
<b>6</b>	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions) . . . . .			
<b>7</b>	Other notes and loans receivable (attach schedule) ►			
	Less allowance for doubtful accounts ►			
<b>8</b>	Inventories for sale or use . . . . .			
<b>9</b>	Prepaid expenses and deferred charges . . . . .			
<b>10a</b>	Investments—U S and state government obligations (attach schedule)	98,930	98,930	104,644
<b>b</b>	Investments—corporate stock (attach schedule) . . . . .	1,291,227	1,541,290	1,725,311
<b>c</b>	Investments—corporate bonds (attach schedule) . . . . .	886,994	790,739	900,508
<b>11</b>	Investments—land, buildings, and equipment basis ►			
	Less accumulated depreciation (attach schedule) ►			
<b>12</b>	Investments—mortgage loans . . . . .			
<b>13</b>	Investments—other (attach schedule) . . . . .	232,302	473,770	492,202
<b>14</b>	Land, buildings, and equipment basis ►			
	Less accumulated depreciation (attach schedule) ►			
<b>15</b>	Other assets (describe ►) . . . . .			
<b>16</b>	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	3,050,777	3,227,493	3,545,429
<b>17</b>	Accounts payable and accrued expenses . . . . .			
<b>18</b>	Grants payable . . . . .			
<b>19</b>	Deferred revenue . . . . .			
<b>20</b>	Loans from officers, directors, trustees, and other disqualified persons			
<b>21</b>	Mortgages and other notes payable (attach schedule) . . . . .			
<b>22</b>	Other liabilities (describe ►) . . . . .			
<b>23</b>	<b>Total liabilities</b> (add lines 17 through 22) . . . . .		0	
	<b>Foundations that follow SFAS 117, check here ►</b> <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
<b>24</b>	Unrestricted . . . . .			
<b>25</b>	Temporarily restricted . . . . .			
<b>26</b>	Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here ►</b> <input checked="" type="checkbox"/> <b>and complete lines 27 through 31.</b>			
<b>27</b>	Capital stock, trust principal, or current funds . . . . .	3,050,777	3,227,493	
<b>28</b>	Paid-in or capital surplus, or land, bldg., and equipment fund			
<b>29</b>	Retained earnings, accumulated income, endowment, or other funds			
<b>30</b>	<b>Total net assets or fund balances</b> (see page 17 of the instructions) . . . . .	3,050,777	3,227,493	
<b>31</b>	<b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions) . . . . .	3,050,777	3,227,493	

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b>	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	3,050,777
<b>2</b>	Enter amount from Part I, line 27a . . . . .	<b>2</b>	176,716
<b>3</b>	Other increases not included in line 2 (itemize) ►	<b>3</b>	
<b>4</b>	Add lines 1, 2, and 3 . . . . .	<b>4</b>	3,227,493
<b>5</b>	Decreases not included in line 2 (itemize) ►	<b>5</b>	
<b>6</b>	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	<b>6</b>	3,227,493

**Part IV Capital Gains and Losses for Tax on Investment Income**

<b>(a)</b> List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
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1a See Additional Data Table

b

c

d

e

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
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a See Additional Data Table

b

c

d

e

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

<b>(i)</b> FMV as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col (i) over col (j), if any	<b>(l)</b> Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
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a See Additional Data Table

b

c

d

e

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	266,117
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8	3	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

 Yes  No

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

<b>(a)</b> Base period years Calendar year (or tax year beginning in)	<b>(b)</b> Adjusted qualifying distributions	<b>(c)</b> Net value of noncharitable-use assets	<b>(d)</b> Distribution ratio (col (b) divided by col (c))
2009	165,082	3,241,829	0 050922
2008	131,582	3,087,447	0 042618
2007	153,837	3,648,975	0 042159
2006	171,184	3,665,303	0 046704
2005	270,008	3,561,297	0 075817

2 Total of line 1, column (d).	2	0 258220
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 051644
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5.	4	3,457,540
5 Multiply line 4 by line 3.	5	178,561
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	3,439
7 Add lines 5 and 6.	7	182,000
8 Enter qualifying distributions from Part XII, line 4.	8	167,208

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1	1	6,878
	Date of ruling or determination letter _____ <b>(attach copy of letter if necessary—see instructions)</b>		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	1	6,878
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2. . . . .	3	6,878
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0- . . . . .	5	6,878
6	Credits/Payments		
a	2010 estimated tax payments and 2009 overpayment credited to 2010	6a	4,933
b	Exempt foreign organizations—tax withheld at source . . . . .	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld . . . . .	6d	
7	Total credits and payments Add lines 6a through 6d. . . . .	7	4,933
8	Enter any <b>penalty</b> for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	9	1,945
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	10	
11	Enter the amount of line 10 to be <b>Credited to 2011 estimated tax</b> <input type="checkbox"/> 0 <b>Refunded</b> <input type="checkbox"/>	11	

**Part VII-A Statements Regarding Activities**

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		<b>Yes</b>	<b>No</b>
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .		<b>Yes</b>	<b>No</b>
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>			
1c	Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .		<b>Yes</b>	<b>No</b>
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year			
	(1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .		<b>Yes</b>	<b>No</b>
	<i>If "Yes," attach a detailed description of the activities.</i>			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .		<b>Yes</b>	<b>No</b>
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .		<b>Yes</b>	<b>No</b>
b	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .		<b>Yes</b>	<b>No</b>
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .		<b>Yes</b>	<b>No</b>
	<i>If "Yes," attach the statement required by General Instruction T.</i>			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either		<b>Yes</b>	
	● By language in the governing instrument, or			
	● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .			
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>		<b>Yes</b>	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <input type="checkbox"/> OH			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>		<b>Yes</b>	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? <i>If "Yes," complete Part XIV</i> . . . . .		<b>Yes</b>	<b>No</b>
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		<b>Yes</b>	<b>No</b>

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions). . . . .	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? . . . . .	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . .	13	Yes	
Website address ► N/A				
14	The books are in care of ► JAN S SCHEID . . . . . Telephone no ► (937) 293-7462			
	Located at ► 47 SPIREA DRIVE DAYTON OH . . . . . ZIP +4 ► 454193408			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . ► <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . ► <input type="checkbox"/> 15			
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	16		No
See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ►				

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.	Yes	No
1a	During the year did the foundation (either directly or indirectly)		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ► <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . ► <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ► <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ► <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . ► <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). . . . . ► <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? . . . . .	1b	No
	Organizations relying on a current notice regarding disaster assistance check here. . . . . ► <input type="checkbox"/>		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010? . . . . .	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? . . . . . ► <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	If "Yes," list the years ► 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see page 20 of the instructions) . . . . .	2b	No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . ► <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010). . . . .	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010? . . . . .	4b	No

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a	During the year did the foundation pay or incur any amount to			
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions). . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here. . . . .	<input checked="" type="checkbox"/>	5b	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . .	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . .		7b	
	<i>If "Yes" to 6b, file Form 8870.</i>			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .			

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation <b>(If not paid, enter -0-)</b>	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JEFFREY B SHULMAN	TRUSTEE 4 00	4,686	0	0
1900 KETTERING TOWERDAYTON, OH 45423				
MILDRED HUBLER	TRUSTEE 4 00	4,686	0	0
PATTERSON BLVD DAYTON, OH 45409				
JAN S SCHEID	TRUSTEE 4 00	4,686	0	0
47 SPIREA DRIVE DAYTON, OH 45419				

**2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions).  
If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
<b>Total number of other employees paid over \$50,000.</b>			<input checked="" type="checkbox"/>	0

**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE"**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

## **Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and

1	
2	
All other program-related investments See page 24 of the instructions	
3	
<b>Total</b>	Add lines 1 through 3

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes	
a	Average monthly fair market value of securities. . . . .	1a 3,089,096
b	Average of monthly cash balances. . . . .	1b 421,097
c	Fair market value of all other assets (see page 24 of the instructions). . . . .	1c 0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b> 3,510,193
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2
3	Subtract line 2 from line 1d. . . . .	3 3,510,193
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions). . . . .	4 52,653
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	5 3,457,540
6	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	6 172,877

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1 172,877
2a	Tax on investment income for 2010 from Part VI, line 5. . . . .	2a 6,878
b	Income tax for 2010 (This does not include the tax from Part VI ). . . . .	2b
c	Add lines 2a and 2b. . . . .	2c 6,878
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3 165,999
4	Recoveries of amounts treated as qualifying distributions. . . . .	4
5	Add lines 3 and 4. . . . .	5 165,999
6	Deduction from distributable amount (see page 25 of the instructions). . . . .	6
7	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7 165,999

**Part XII Qualifying Distributions** (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes	
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a 167,208
b	Program-related investments—total from Part IX-B. . . . .	1b
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2
3	Amounts set aside for specific charitable projects that satisfy the	
a	Suitability test (prior IRS approval required). . . . .	3a
b	Cash distribution test (attach the required schedule). . . . .	3b
4	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4 167,208
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions). . . . .	5
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	6 167,208

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see page 26 of the instructions)

	<b>(a) Corpus</b>	<b>(b) Years prior to 2009</b>	<b>(c) 2009</b>	<b>(d) 2010</b>
<b>1</b> Distributable amount for 2010 from Part XI, line 7				165,999
<b>2</b> Undistributed income, if any, as of the end of 2010				
<b>a</b> Enter amount for 2009 only. . . . .			101,935	
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2010				
<b>a</b> From 2005. . . . .				
<b>b</b> From 2006. . . . .				
<b>c</b> From 2007. . . . .				
<b>d</b> From 2008. . . . .				
<b>e</b> From 2009. . . . .				
<b>f Total</b> of lines 3a through e. . . . .				
<b>4</b> Qualifying distributions for 2010 from Part XII, line 4 ► \$ _____ 167,208			101,935	
<b>a</b> Applied to 2009, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
<b>c</b> Treated as distributions out of corpus (Election required—see page 26 of the instructions). . . . .				
<b>d</b> Applied to 2010 distributable amount. . . . .			65,273	
<b>e</b> Remaining amount distributed out of corpus				
<b>5</b> Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)				
<b>6</b> <b>Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5				
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . . . .				
<b>e</b> Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions . . . . .				
<b>f</b> Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011. . . . .			100,726	
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) . . . . .				
<b>8</b> Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions) . . . . .				
<b>9</b> <b>Excess distributions carryover to 2011.</b> Subtract lines 7 and 8 from line 6a . . . . .				
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2006. . . . .				
<b>b</b> Excess from 2007. . . . .				
<b>c</b> Excess from 2008. . . . .				
<b>d</b> Excess from 2009. . . . .				
<b>e</b> Excess from 2010. . . . .				

**Part XIV Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9)

1a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling. <input type="text"/>			
b	Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)			
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.			
b	85% of line 2a.			
c	Qualifying distributions from Part XII, line 4 for each year listed.			
d	Amounts included in line 2c not used directly for active conduct of exempt activities.			
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.			
3	Complete 3a, b, or c for the alternative test relied upon			
a	"Assets" alternative test—enter			
(1)	Value of all assets.			
(2)	Value of assets qualifying under section 4942(j)(3)(B)(i).			
b	"Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.			
c	"Support" alternative test—enter			
(1)	Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).			
(2)	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(III).			
(3)	Largest amount of support from an exempt organization			
(4)	Gross investment income			

**Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)****1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

JEFFREY B SHULMAN  
1900 KETTERING TOWER  
DAYTON, OH 45423  
(937) 222-2500

b The form in which applications should be submitted and information and materials they should include

SEE STATEMENT 1 ATTACHED

c Any submission deadlines

SEE STATEMENT 1 ATTACHED

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE STATEMENT 1 ATTACHED

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b> See Additional Data Table				
<b>Total</b> . . . . .			► 3a	159,000
<b>b Approved for future payment</b>				
<b>Total</b> . . . . .			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income	Excluded by section 512, 513, or 514	(e) Related or exempt function income (See page 28 of the instructions )	
		(a) Business code	(b) Amount		(c) Exclusion code
<b>1</b> Program service revenue					
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>f</b>					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments. . . . .					
<b>3</b> Interest on savings and temporary cash investments				14	1,925
<b>4</b> Dividends and interest from securities. . . . .				14	107,042
<b>5</b> Net rental income or (loss) from real estate					
<b>a</b> Debt-financed property. . . . .					
<b>b</b> Not debt-financed property. . . . .					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income. . . . .					
<b>8</b> Gain or (loss) from sales of assets other than inventory				19	266,117
<b>9</b> Net income or (loss) from special events					
<b>10</b> Gross profit or (loss) from sales of inventory. . . . .					
<b>11</b> Other revenue <b>a</b> _____					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>12</b> Subtotal Add columns (b), (d), and (e). . . . .					375,084
<b>13</b> Total. Add line 12, columns (b), (d), and (e). . . . .				13	375,084
(See worksheet in line 13 instructions on page 28 to verify calculations.)					

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**



## TY 2010 Accounting Fees Schedule

**Name:** HELEN L & MARIE F ROTTERMAN TRUST UW OF M ROTTERMAN

**EIN:** 31-6236156

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FIFTH THIRD BANK TAX SERVICE FEE	350			350

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

## TY 2010 Gain/Loss from Sale of Other Assets Schedule

**Name:** HELEN L & MARIE F ROTTERMAN TRUST UW OF M ROTTERMAN

**EIN:** 31-6236156

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
INVESTMENTS--SEE PART IV					2,243,580	1,977,463	FMV		266,117	

## TY 2010 General Explanation Attachment

**Name:** HELEN L & MARIE F ROTTERMAN TRUST UW OF M ROTTERMAN**EIN:** 31-6236156

Identifier	Return Reference	Explanation
PART XV, LINES 2(b), (c), and (d) STMT 1		2(B) ANY INDIVIDUAL APPLICANT IS REQUIRED TO FURNISH THE TRUSTEES WITH A COPY OF HER TRINITY COLLEGE APPLICATION AND, WHERE APPLICABLE, A COPY OF HER HIGH SCHOOL RECORD AND CERTIFICATION OF HER CATHOLIC CHURCH AFFILIATION 2(C) APPLICATIONS ARE ACCEPTED ANYTIME BUT, IN ORDER TO BE CONSIDERED FOR A SCHOOL YEAR, THE APPLICATION SHOULD BE IN THE HANDS OF THE TRUSTEES FOUR TO SIX MONTHS PRIOR TO THE BEGINNING OF THAT SCHOOL YEAR 2(D) THE TERMS OF THE WILL OF MARIE ROTTERMAN SET THE QUALIFICATIONS AND LIMIT CONSIDERATION TO CATHOLIC GIRLS WHO ATTEND OR ARE ENROLLED AT TRINITY COLLEGE WITH SPECIAL CONSIDERATION GIVEN TO ELIGIBLE GIRLS FROM THE DAYTON METROPOLITAN AREA

## TY 2010 Investments Corporate Bonds Schedule

**Name:** HELEN L & MARIE F ROTTERMAN TRUST UW OF M ROTTERMAN  
**EIN:** 31-6236156

Name of Bond	End of Year Book Value	End of Year Fair Market Value
GOLDMAN SACHS GROUP	58,635	62,275
ISHARES BARCLAYS TIPS BOND FUND	27,175	28,543
ISHARES HIGH YIELD CORP	88,282	91,050
PNC CAPITAL TRUST E	61,625	64,925
PUBLIC STORAGE	41,818	63,525
JPM CHASE CAP XIV	47,692	62,450
GE CAP CORP	227,187	265,873
HSBC FINANCE	238,325	261,867

**TY 2010 Investments Corporate  
Stock Schedule**

**Name:** HELEN L & MARIE F ROTTERMAN TRUST UW OF M ROTTERMAN  
**EIN:** 31-6236156

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
100 APPLE	16,714	39,048
750 ACCENTURE	37,916	44,355
500 ABBOTT LABS	23,063	25,660
400 CHEVRON	27,767	41,608
1250 AECOM TECHNOLOGY	35,052	30,925
750 AMERICAN EXPRESS	31,429	37,530
1500 EMC CORP	19,260	39,120
500 AMERIPRISE FINL	31,545	27,050
500 ANHEUSER BUSCH	27,595	28,790
400 BECTON DICKINSON	32,402	33,444
500 CELGENE	26,412	29,650
1200 COMCAST	30,480	28,824
3500 ISHARES TR MSCI EMERGING MKTS	165,811	164,885
2000 ISHARES MSCI EAFE	124,819	117,420
600 NATIONAL OILWELL	22,046	48,342
375 CONCHO RES	36,863	35,092
1500 ISHARES RUSSELL INDEX	96,552	119,610
500 MARATHON OIL	14,922	15,485
125 MASTERCARD	19,047	37,906
1000 MEDTRONIC	39,855	36,050
DEERE & CO	42,478	39,255
1000 MICROCHIP TECH	27,882	33,750
500 NEXTERA ENERGY	15,450	27,625
400 NIKE	30,124	36,060
750 DUPONT	36,067	38,565
300 PRAXAIR	21,852	31,092
750 ECOLAB	36,050	37,500
1000 GILEAD SCIENCES	39,047	42,360
275 GOLDMAN SACHS	37,382	37,117
1000 WELLS FARGO	23,939	27,940

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
500 JPMORGAN CHASE	23,067	20,225
750 KENNAMETAL	30,379	29,573
750 KOHLS	40,170	41,032
1000 KRAFT FOODS	31,422	34,380
250 MARATHON PETE	10,094	10,948
500 MCDONALDS	37,936	43,240
1500 MICROSOFT	39,717	41,100
500 NORFOLK SOUTHERN	18,930	37,850
600 PEPSICO	39,859	38,424
500 UNITED TECHNOLOGIES	41,848	41,420
675 WALGREEN	29,877	26,352
425 WELLPOINT	28,170	28,709

## TY 2010 Investments Government Obligations Schedule

**Name:** HELEN L & MARIE F ROTTERMAN TRUST UW OF M ROTTERMAN  
**EIN:** 31-6236156

**US Government Securities - End of**

**Year Book Value:** 98,930

**US Government Securities - End of**

**Year Fair Market Value:** 104,644

**State & Local Government  
Securities - End of Year Book  
Value:**

**State & Local Government  
Securities - End of Year Fair  
Market Value:**

## TY 2010 Investments - Other Schedule

**Name:** HELEN L & MARIE F ROTTERMAN TRUST UW OF M ROTTERMAN**EIN:** 31-6236156

<b>Category / Item</b>	<b>Listed at Cost or FMV</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
HUSSMAN STRATEGIC GROWTH FUND		100,161	98,303
PIMCO ALL ASSET ALL AUTH FUND		50,760	52,489
VANGUARD REIT		176,010	183,120
SPDR GOLD TRUST		146,839	158,290

## TY 2010 Other Expenses Schedule

**Name:** HELEN L & MARIE F ROTTERMAN TRUST UW OF M ROTTERMAN**EIN:** 31-6236156

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES & EXPENSES	19,001	19,001		
INSURANCE	1,189	595		594
ANNUAL FILING FEE-OH	200			200

## TY 2010 Taxes Schedule

**Name:** HELEN L & MARIE F ROTTERMAN TRUST UW OF M ROTTERMAN**EIN:** 31-6236156

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXCISE	4,500	4,500		

**Additional Data**

**Software ID:**  
**Software Version:**  
**EIN:** 31-6236156  
**Name:** HELEN L & MARIE F ROTTERMAN TRUST UW OF M ROTTERMAN

**Part VI Line 7 - Tax Paid Original Return:** 4933

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

	<b>(a)</b> List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
A	EOG RES INC	P	2008-01-01	2010-08-11
B	GENERAL MILLS	P	2010-01-01	2010-08-11
C	MEDTRONIC	P	2010-01-01	2010-08-20
D	PRAXAIR	P	2010-01-01	2010-08-20
E	SPDR GOLD TRUST	P	2010-01-01	2010-09-03
F	QEP RES INC	P	2010-01-01	2010-10-05
G	APPLE	P	2010-01-01	2010-10-18
H	HUSSMAN STRATEGIC GROWTH	P	2010-01-01	2010-10-26
I	PIMCO ALL ASSET FUND	P	2010-01-01	2010-10-26
J	BANK AMERICA	P	2010-01-01	2010-10-28
K	NATIONAL OILWELL INC	P	2010-01-01	2010-10-29
L	ENTERGY ARKANSAS	P	2008-01-01	2010-11-08
M	CATERPILLAR FINL SVCS	P	2008-01-01	2010-12-01
N	HUSSMAN STRATEGIC GROWTH	P	2010-07-31	2011-01-18
O	MARATHON OIL	P	2008-01-01	2011-01-20
P	QUESTAR	P	2010-07-31	2011-01-20
Q	AVALONBAY CMNTYS	P	2008-01-01	2011-02-14
R	DANAHER CORP	P	2010-07-01	2011-02-14
S	WALT DISNEY	P	2008-01-01	2011-02-14
T	EQUITY RESIDENTIAL	P	2008-01-01	2011-02-14
U	HCP INC	P	2008-01-01	2011-02-14
V	NESTLE	P	2008-01-01	2011-02-14
W	PLUM CREEK TIMBER	P	2008-01-01	2011-02-14
X	PRUDENTIAL FINL	P	2008-01-01	2011-02-14
Y	REALTY INCOME	P	2008-01-01	2011-02-14
Z	WASHINGTON REAL ESTATE	P	2008-01-01	2011-02-14
AA	BRISTOL MYERS	P	2010-07-01	2011-02-15
AB	FREEPORT MCMORAN COPPER & GOLD	P	2010-07-01	2011-02-15
AC	IBM	P	2008-01-01	2011-02-15
AD	JOHNSON & JOHNSON	P	2010-07-01	2011-02-15

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
AE	MEDCO HEALTH	P	2008-01-01	2011-02-15
AF	GE	P	2008-01-01	2011-02-16
AG	HONEYWELL	P	2008-01-01	2011-02-16
AH	VERIZON	P	2010-07-01	2011-02-16
AI	AIR PRODS & CHEMS	P	2010-07-01	2011-03-08
AJ	CHEVRON	P	2010-07-01	2011-03-08
AK	MASTERCARD	P	2010-07-01	2011-03-08
AL	NATIONAL OILWELL	P	2010-07-01	2011-03-08
AM	PEPSICO	P	2010-07-01	2011-03-08
AN	AFLAC	P	2010-07-01	2011-03-17
AO	AT&T	P	2010-07-01	2011-05-10
AP	AMERICAN EXPRESS	P	2010-07-01	2011-05-10
AQ	IPATH DOW JONES	P	2010-07-01	2011-05-10
AR	BECTON DICKINSON	P	2010-07-01	2011-05-10
AS	CHEVRON	P	2010-07-01	2011-05-10
AT	COLGATE PALMOLIVE	P	2008-01-01	2011-05-10
AU	MASTERCARD	P	2008-01-01	2011-05-10
AV	MICROCHIP TECH	P	2010-07-01	2011-05-10
AW	NATIONAL OILWELL	P	2008-01-01	2011-05-10
AX	PEPSICO	P	2008-07-10	2011-05-10
AY	AT&T	P	2010-07-01	2011-05-24
AZ	CISCO	P	2010-07-01	2011-05-24
BA	CITIGROUP	P	2008-01-01	2011-05-24
BB	COLGATE PALMOLIVE	P	2008-01-01	2011-05-24
BC	FORD	P	2008-01-01	2011-05-24
BD	MERCK	P	2010-07-01	2011-05-24
BE	PRAXAIR	P	2010-07-01	2011-05-24
BF	MEDCO	P	2008-01-01	2011-05-10
BG	IPATH DOW JONES	P	2010-07-01	2011-06-02
BH	ISHARES TR MSCI	P	2010-07-01	2011-06-21

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

<b>(a)</b> List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo, day, yr)	<b>(d)</b> Date sold (mo, day, yr)
BI	PRAXAIR	P	2008-01-01	2011-06-21
BJ	IPATH DOW JONES	P	2008-01-01	2011-06-21
BK	GOOGLE	P	2010-07-01	2011-06-29
BL	ISHARES BARCLAYS	P	2010-07-01	2011-06-29
BM	PIMCO ALL ASSET	P	2010-07-01	2011-06-29
BN	MASTERCARD	P	2008-01-01	2011-06-30
BO	NIKE	P	2008-01-01	2011-07-06
BP	TARGET	P	2010-09-01	2011-07-21
BQ	ILLINOIS TOOL WORKS	P	2010-09-01	2011-07-27
BR	WELLPOINT	P	2010-09-01	2011-07-29

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
A	72,823	0	61,726	11,097
B	25,155	0	27,326	-2,171
C	17,815	0	22,230	-4,415
D	22,367	0	19,188	3,179
E	60,979	0	58,252	2,727
F	30,212	0	32,363	-2,151
G	7,500	0	4,974	2,526
H	23,000	0	23,264	-264
I	29,000	0	27,482	1,518
J	22,240	0	29,290	-7,050
K	12,842	0	11,247	1,595
L	62,500	0	62,741	-241
M	100,000	0	99,174	826
N	69,829	0	76,736	-6,907
O	42,560	0	27,262	15,298
P	26,787	0	25,437	1,350
Q	45,903	0	32,963	12,940
R	24,855	0	20,336	4,519
S	32,692	0	18,937	13,755
T	40,244	0	23,859	16,385
U	36,643	0	28,919	7,724
V	66,136	0	49,533	16,603
W	41,491	0	35,166	6,325
X	47,066	0	30,664	16,402
Y	43,695	0	34,125	9,570
Z	38,549	0	32,861	5,688
AA	25,560	0	26,845	-1,285
AB	29,387	0	26,702	2,685
AC	41,164	0	27,495	13,669
AD	60,949	0	62,457	-1,508

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
AE	61,816	0	41,835	19,981
AF	49,349	0	38,930	10,419
AG	28,645	0	15,590	13,055
AH	36,379	0	32,957	3,422
AI	13,755	0	13,313	442
AJ	26,003	0	20,215	5,788
AK	25,075	0	22,376	2,699
AL	40,623	0	20,137	20,486
AM	15,929	0	16,415	-486
AN	26,266	0	28,680	-2,414
AO	7,785	0	7,108	677
AP	12,370	0	10,697	1,673
AQ	24,055	0	25,105	-1,050
AR	8,594	0	7,974	620
AS	10,175	0	7,112	3,063
AT	21,257	0	20,014	1,243
AU	13,736	0	7,736	6,000
AV	10,060	0	6,810	3,250
AW	10,279	0	6,462	3,817
AX	10,297	0	9,849	448
AY	39,037	0	35,407	3,630
AZ	33,339	0	44,073	-10,734
BA	31,109	0	32,515	-1,406
BB	43,146	0	36,197	6,949
BC	30,129	0	29,977	152
BD	37,419	0	32,845	4,574
BE	10,291	0	7,585	2,706
BF	25,690	0	29,173	-3,483
BG	48,039	0	44,304	3,735
BH	45,909	0	26,807	19,102

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
BI	10,106	0	7,585	2,521
BJ	47,039	0	41,581	5,458
BK	24,031	0	30,673	-6,642
BL	27,772	0	27,175	597
BM	50,000	0	49,436	564
BN	7,792	0	3,868	3,924
BO	9,085	0	8,023	1,062
BP	38,729	0	39,211	-482
BQ	26,621	0	27,086	-465
BR	5,908	0	5,075	833

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - I**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
A 0	0	0	0	11,097
B 0	0	0	0	-2,171
C 0	0	0	0	-4,415
D 0	0	0	0	3,179
E 0	0	0	0	2,727
F 0	0	0	0	-2,151
G 0	0	0	0	2,526
H 0	0	0	0	-264
I 0	0	0	0	1,518
J 0	0	0	0	-7,050
K 0	0	0	0	1,595
L 0	0	0	0	-241
M 0	0	0	0	826
N 0	0	0	0	-6,907
O 0	0	0	0	15,298
P 0	0	0	0	1,350
Q 0	0	0	0	12,940
R 0	0	0	0	4,519
S 0	0	0	0	13,755
T 0	0	0	0	16,385
U 0	0	0	0	7,724
V 0	0	0	0	16,603
W 0	0	0	0	6,325
X 0	0	0	0	16,402
Y 0	0	0	0	9,570
Z 0	0	0	0	5,688
AA 0	0	0	0	-1,285
AB 0	0	0	0	2,685
AC 0	0	0	0	13,669
AD 0	0	0	0	-1,508

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - I**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				<b>(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))</b>
<b>(i) F M V as of 12/31/69</b>		<b>(j) Adjusted basis as of 12/31/69</b>	<b>(k) Excess of col (i) over col (j), if any</b>	
AE	0	0	0	19,981
AF	0	0	0	10,419
AG	0	0	0	13,055
AH	0	0	0	3,422
AI	0	0	0	442
AJ	0	0	0	5,788
AK	0	0	0	2,699
AL	0	0	0	20,486
AM	0	0	0	-486
AN	0	0	0	-2,414
AO	0	0	0	677
AP	0	0	0	1,673
AQ	0	0	0	-1,050
AR	0	0	0	620
AS	0	0	0	3,063
AT	0	0	0	1,243
AU	0	0	0	6,000
AV	0	0	0	3,250
AW	0	0	0	3,817
AX	0	0	0	448
AY	0	0	0	3,630
AZ	0	0	0	-10,734
BA	0	0	0	-1,406
BB	0	0	0	6,949
BC	0	0	0	152
BD	0	0	0	4,574
BE	0	0	0	2,706
BF	0	0	0	-3,483
BG	0	0	0	3,735
BH	0	0	0	19,102

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69		(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
BI	0	0	0	2,521
BJ	0	0	0	5,458
BK	0	0	0	-6,642
BL	0	0	0	597
BM	0	0	0	564
BN	0	0	0	3,924
BO	0	0	0	1,062
BP	0	0	0	-482
BQ	0	0	0	-465
BR	0	0	0	833

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
TRINITY COLLEGE OFFICE OF DEVELOPMENT WASHINGTON, DC 20017		PUBLIC	UNRESTRICTED	96,000
CATHOLIC UNIVERSITY OF AMER OFFICE OF DEVELOPMENT WASHINGTON, DC 20064		PUBLIC	UNRESTRICTED	16,000
MARYKNOLL FATHERS OFFICE OF DEVELOPMENT MARYKNOLL, NY 10545		PUBLIC	UNRESTRICTED	16,000
MEDICAL MISSIONARY SISTERS OFFICE OF DEVELOPMENT PHILADELPHIA, PA 19102		PUBLIC	UNRESTRICTED	8,000
MARYKNOLL SISTERS OFFICE OF DEVELOPMENT MARYKNOLL, NY 10545		PUBLIC	UNRESTRICTED	8,000
BRENNNA DAUGHERTY TRINITY UNIVERSITY WASHINGTON, DC 20017	NONE	N/A	SCHOLARSHIP	15,000
<b>Total . . . . .</b>			► 3a	159,000