Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2010

For calendar year 2010, or tax year beginning Aug 1 **, 2010, and ending** Jul 31 , 2011

G Check all that apply ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation: Theodore and Cashmere M. Mendick Foundation, Inc.	**A** Employer identification number: 16-1289406
Number and street (or P O box number if mail is not delivered to street address): 468 Ridge Rd East; Room/suite:	**B** Telephone number (see the instructions): (585) 266-5900
City or town: Rochester; State: NY; ZIP code: 14621	**C** If exemption application is pending, check here ☐
	D 1 Foreign organizations, check here ☐
H Check type of organization [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation	2 Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year *(from Part II, column (c), line 16)* ▶ $ 3,626,329. **J** Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*	**E** If private foundation status was terminated under section 507(b)(1)(A), check here ☐ **F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions).)*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1	Contributions, gifts, grants, etc, received (att sch)				
	2	Ck ▶ [X] if the foundn is **not** req to att Sch B				
	3	Interest on savings and temporary cash investments	188.	188.	188.	
	4	Dividends and interest from securities	66,094.	66,094.	66,094.	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain/(loss) from sale of assets not on line 10				
	b	Gross sales price for all assets on line 6a				
	7	Capital gain net income (from Part IV, line 2)		120,750.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit/(loss) (att sch)				
	11	Other income (attach schedule) See Line 11 Stmt	27,821.	27,821.		
	12	**Total.** Add lines 1 through 11	94,103.	214,853.	66,282.	
OPERATING AND ADMINISTRATIVE EXPENSES	13	Compensation of officers, directors, trustees, etc	24,000.	2,000.		22,000.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	900.	200.		700.
	b	Accounting fees (attach sch) L-16b Stmt	21,000.	3,000.		18,000.
	c	Other prof fees (attach sch) L-16c Stmt	36,044.	4,044.		32,000.
	17	Interest				
	18	Taxes (attach schedule)(see instr) See Line 18 Stmt	2,295.	250.		
	19	Depreciation (attach sch) and depletion				
	20	Occupancy	357.	178.		179.
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule) See Line 23 Stmt	1,457.	728.		729.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	86,053.	10,400.		73,608.
	25	Contributions, gifts, grants paid	145,000.			145,000.
	26	**Total expenses and disbursements.** Add lines 24 and 25	231,053.	10,400.		218,608.
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-136,950.			
	b	**Net investment income** (if negative, enter -0-)		204,453.		
	c	**Adjusted net income** (if negative, enter -0-)			66,282.	

RECEIVED MAR 2 6 2012 OGDEN, UT

14

Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions))	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
ASSETS			
1 Cash – non-interest-bearing	825.	127,348.	127,348.
2 Savings and temporary cash investments	8,658.	0.	0.
3 Accounts receivable ►			
Less: allowance for doubtful accounts ►			
4 Pledges receivable ►			
Less: allowance for doubtful accounts ►			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
7 Other notes and loans receivable (attach sch) ►			
Less: allowance for doubtful accounts ►			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges	3,356.	-312.	-312.
10a Investments – U S and state government obligations (attach schedule) L-10a Stmt	277,847.	115,662.	117,246.
b Investments – corporate stock (attach schedule) L-10b Stmt	2,233,919.	2,221,540.	2,554,712.
c Investments – corporate bonds (attach schedule) L-10c Stmt	336,336.	423,963.	484,582.
11 Investments – land, buildings, and equipment basis ►			
Less accumulated depreciation (attach schedule) ►			
12 Investments – mortgage loans L-12 Stmt	386,213.	342,073.	342,073.
13 Investments – other (attach schedule)			
14 Land, buildings, and equipment basis ► 1,338.			
Less accumulated depreciation (attach schedule) L-14 Stmt ► 1,338.	0.	0.	0.
15 Other assets (describe ► L-15 Stmt)	0.	680.	680.
16 **Total assets** (to be completed by all filers – see instructions Also, see page 1, item I)	3,247,154.	3,230,954.	3,626,329.
LIABILITIES			
17 Accounts payable and accrued expenses			
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, & other disqualified persons			
21 Mortgages and other notes payable (attach schedule)			
22 Other liabilities (describe ►)			
23 **Total liabilities** (add lines 17 through 22)			
NET ASSETS OR FUND BALANCES			
Foundations that follow SFAS 117, check here ► [X] and complete lines 24 through 26 and lines 30 and 31.			
24 Unrestricted	3,247,154.	3,230,954.	
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here ► [ ] and complete lines 27 through 31.			
27 Capital stock, trust principal, or current funds			
28 Paid-in or capital surplus, or land, building, and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds			
30 **Total net assets or fund balances** (see the instructions)	3,247,154.	3,230,954.	
31 **Total liabilities and net assets/fund balances** (see the instructions)	3,247,154.	3,230,954.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,247,154.
2 Enter amount from Part I, line 27a	2	-136,950.
3 Other increases not included in line 2 (itemize) ► See Other Increases Stmt	3	120,750.
4 Add lines 1, 2, and 3	4	3,230,954.
5 Decreases not included in line 2 (itemize) ►	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	3,230,954.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	See Statement#1	P	08/01/10	07/31/11
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,320,734.		1,199,984.	120,750.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a				120,750.
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	120,750.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2009	189,966.	2,871,236.	0.066162
2008	217,566.	3,056,303.	0.071186
2007	241,014.	4,084,640.	0.059005
2006	218,230.	4,196,201.	0.052007
2005	213,534.	3,903,384.	0.054705

2	**Total** of line 1, column (d)	2	0.303065
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.060613
4	Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	3,548,229.
5	Multiply line 4 by line 3	5	215,069.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,045.
7	Add lines 5 and 6	7	217,114.
8	Enter qualifying distributions from Part XII, line 4	8	218,608.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ► ☐ and enter 'N/A' on line 1 Date of ruling or determination letter _______ **(attach copy of letter if necessary – see instr.)**				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► ☒ and enter 1% of Part I, line 27b			1	2,045.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0.
3 Add lines 1 and 2			3	2,045.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	2,045.
6 Credits/Payments:				
a 2010 estimated tax pmts and 2009 overpayment credited to 2010	6a	1,250.		
b Exempt foreign organizations – tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c	0.		
d Backup withholding erroneously withheld	6d			
7 Total credits and payments Add lines 6a through 6d			7	1,250.
8 Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►			9	795.
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►			10	0.
11 Enter the amount of line 10 to be **Credited to 2011 estimated tax** ► 0.	**Refunded** ►		11	

Part VII-A Statements Regarding Activities

		Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1b		X
*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ► $ ______ **(2)** On foundation managers ► $ ______			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ ______			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X
If 'Yes,' attach a detailed description of the activities			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
If 'Yes,' attach the statement required by General Instruction T			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see the instructions) ► NY - New York			
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities (*Continued*)

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► Bert D. Butler, CPA Telephone no ► (585) 342-0101

Located at ► 561 Titus Ave Rochester, NY ZIP + 4 ► 14617-3514

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► | 15 |

		Line	Yes	No
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.		Line	Yes	No
1 a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)?		1b		
	Organizations relying on a current notice regarding disaster assistance check here	► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010?	☐ Yes ☒ No			
	If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see the instructions)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20__ , 20__ , 20__ , 20__ .				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2010 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a During the year did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	Yes [ ] No [X]			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	Yes [ ] No [X]			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	Yes [ ] No [X]			
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	Yes [ ] No [X]			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	Yes [ ] No [X]			
b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		5b		
Organizations relying on a current notice regarding disaster assistance check here	► [ ]			
c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? *If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)*	Yes [ ] No [ ]			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	Yes [ ] No [X]			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If 'Yes' to 6b, file Form 8870*		6b		X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	Yes [ ] No [X]			
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Peter Mendick 102 Eastman Estates Rochester, NY 14622	Vice President & Secret 0.00	6,000.	0.	0.
A. Michael Mendick 234 Miles Cutting Lane Pittsford, NY 14534	Treasurer 0.00	6,000.	0.	0.
Diane Mendick 234 Miles Cutting Lane Pittsford, NY 14534	Assistant Secretary 0.00	6,000.	0.	0.
John J. Mendick 780 Blue Creek Drive Webster, NY 14580	Assistant Secretary 0.00	6,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
0				
0				
0				
0				

Total number of other employees paid over $50,000 ► None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ►		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ►	

TEEA0307 02/16/11

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:		
a	Average monthly fair market value of securities	1a	3,135,682.
b	Average of monthly cash balances	1b	124,508.
c	Fair market value of all other assets (see instructions)	1c	342,073.
d	**Total** (add lines 1a, b, and c)	1d	3,602,263.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	3,602,263.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	54,034.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,548,229.
6	**Minimum investment return.** Enter 5% of line 5	6	177,411.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	177,411.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	2,045.		
b	Income tax for 2010 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	2,045.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	175,366.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	175,366.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	175,366.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes:		
a	Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1a	218,608.
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	218,608.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	2,045.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	216,563.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				175,366.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			6,929.	
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2010				
a From 2005 — 0.				
b From 2006 — 0.				
c From 2007 — 0.				
d From 2008 — 0.				
e From 2009 — 54,799.				
f Total of lines 3a through e	54,799.			
4 Qualifying distributions for 2010 from Part XII, line 4: ► $ 218,608.				
a Applied to 2009, but not more than line 2a			6,929.	
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2010 distributable amount				120,567.
e Remaining amount distributed out of corpus	91,112.			
5 Excess distributions carryover applied to 2010 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	54,799.			54,799.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	91,112.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	91,112.			
10 Analysis of line 9:				
a Excess from 2006 — 0.				
b Excess from 2007 — 0.				
c Excess from 2008 — 0.				
d Excess from 2009 — 0.				
e Excess from 2010 — 91,112.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2010	**(b)** 2009	**(c)** 2008	**(d)** 2007	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test – enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ► ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed:

N/A
N/A
N/A NY 14621

b The form in which applications should be submitted and information and materials they should include:

N/A

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient — Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
Bishop Kearney High School 125 Kings Highway South Rochester NY 14617	N/A	Public	Endowment	11,700.
St John Fisher College 3690 East Avenue Rochester NY 14618	N/A	Public	Endowment	50,000.
Daystar 47 Lochnaver Pkwy Pittsford NY 14534	N/A	Public	Endowment	13,000.
Rochester General Hospital 1425 Portland Avenue Rochester NY 14621	N/A	Public	Endowment	25,000.
Strong Memorial Hospital 601 Elmwood Avenue Rochester NY 14614	N/A	Public	Endowment	4,000.
The Aquaculture Institute 1 Lomb Memorial Drive Rochester NY 14623	N/A	Public	Endowment	5,000.
Irondequoit Rotary Club 1010 E. Ridge Rd. Irondequoit NY 14617	N/A	Public	Endowment	31,300.
St. John Neumann School 31 Empire Boulevard Rochester NY 14609		Public	Endowment	5,000.
Total			► 3a	145,000.
b Approved for future payment				
Total			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (see the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue.					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	188.	
4 Dividends and interest from securities			14	66,094.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a Mortgage Interest			14	23,662.	
b Note Rec. Interest			14	4,159.	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				94,103.	

13 **Total.** Add line 12, columns (b), (d), and (e) 13 94,103.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See the instructions)
4	Theodore & Cashmere M. Mendick Foundation, Inc., a private operating foundation, is supported by return on investments. Investment income is the means by which the organization accomplishes its charitable purposes. Theodore & Cashmere M. Mendick Foundation, Inc. reported interest from municipal bonds in column (e) as such interest is excluded from gross income by Internal Revenue Code Section 103.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a (1)		X
(2) Other assets	1a (2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b (1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b (2)		X
(3) Rental of facilities, equipment, or other assets	1b (3)		X
(4) Reimbursement arrangements	1b (4)		X
(5) Loans or loan guarantees	1b (5)		X
(6) Performance of services or membership or fundraising solicitations	1b (6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date 02/23/12 | Title President

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check if ☒ if self-employed	PTIN
BERT D BUTLER	Bert D Butler	03/06/12		

Firm's name ▶ Bert D. Butler, CPA — Firm's EIN ▶

Firm's address ▶ 561 Titus Ave, Rochester NY 14617 — Phone no (585) 342-0101

TEEA0503 02/15/11

Form 990-PF, Page 1, Part I, Line 11
Line 11 Stmt

Other income:	Rev/Exp Book	Net Inv Inc	Adj Net Inc
Mortgage Interest - J&P Mendick	23,662.	23,662.	
Note Interest - Imperial Garden	4,159.	4,159.	
Total	27,821.	27,821.	

Form 990-PF, Page 1, Part I, Line 18
Line 18 Stmt

Taxes (see the instructions)	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
7-31-10 NYS Balance Due	250.	250.		
7-31-09 Federal Taxes	2,045.			
Total	2,295.	250.		

Form 990-PF, Page 1, Part I, Line 23
Line 23 Stmt

Other expenses.	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
Insurance	1,060.	530.		530.
Office Expense	180.	90.		90.
Investment Fees	217.	108.		109.
Total	1,457.	728.		729.

Form 990-PF, Page 2, Part III, Line 3
Other Increases Stmt

Short-term Capital Gain - FYE 07-31-11	30,744.
Long-term Capital Gain - FYE 07-31-11	90,006.
Total	120,750.

Form 990-PF, Page 1, Part I
Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Bert D. Butler, CPA	Accounting Service Fees	21,000.	3,000.		18,000.
Total		21,000.	3,000.		18,000.

Form 990-PF, Page 1, Part I
Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Manning & Napier	Service Fees	20,494.	2,494.		18,000.

Form 990-PF, Page 1, Part I Continued
Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Morgan Stanley	Service Fees	15,550.	1,550.		14,000.
Total		36,044.	4,044.		32,000.

Form 990-PF, Page 2, Part II, Line 10a
L-10a Stmt

Line 10a - Investments - US and State Government Obligations:	End of Year State and Local Obligations Book Value	State and Local Obligations FMV	End of Year US Government Obligations Book Value	US Government Obligations FMV
US Treasury Note - Due 04/30/16			16,297.	16,548.
US Treasury Bond - Due 03/27/19			56,998.	59,568.
US Treasury Bond - Due 05/15/29			10,276.	10,035.
US Treasury Bond - Due 11/15/30			10,736.	10,415.
US Treasury Bond - Due 03/15/31			10,909.	10,578.
US Treasury Bond - Due 07/15/32			10,446.	10,102.
Total			115,662.	117,246.

Form 990-PF, Page 2, Part II, Line 10b
L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year Book Value	Fair Market Value
See Statement #2	2,221,540.	2,554,712.
Total	2,221,540.	2,554,712.

Form 990-PF, Page 2, Part II, Line 10c
L- 10c Stmt

Line 10c - Investments - Corporate Bonds:	End of Year Book Value	Fair Market Value
Core Plus Bond Series	356,114.	408,471.
High Yield Bond Series	67,849.	76,111.
Total	423,963.	484,582.

Form 990-PF, Page 2, Part II, Line 12
L-12 Stmt

Line 12 - Investments - Mortgage loans:	End of Year Book Value	End of Year Fair Market Value
Mortgage Receivable - John & Peter Mendick	299,388.	299,388.
Note Receivable - Imperial Gardens Apartments	42,685.	42,685.
Total	342,073.	342,073.

Form 990-PF, Page 2, Part II, Line 14
L-14 Stmt

Line 14b - Description of Land, Buildings, and Equipment	(a) Cost/Other Basis	(b) Accumulated Depreciation	(c) Book Value
Fax Machine	449.	449.	0.
Xerox Copier	889.	889.	0.
Total	1,338.	1,338.	0.

Form 990-PF, Page 2, Part II, Line 15
Other Assets Stmt

Line 15 - Other Assets:	Beginning Year Book Value	End of Year Book Value	End of Year Fair Market Value
Accrued Interest Income	0.	680.	680.
Total	0.	680.	680.

Theodore & Cashmere Mendick Foundation					01-Mar-12
ID# 16-1289406					
For Tax Year Ending July 31, 2011					**STATEMENT #1**
Form 990-PF - Part IV - Capital Gains and Losses for Tax on Investment Income					
SUMMARY					
Morgan Stanley Smith Barney Acc# 0959					
Long-term Capital Gain/Loss					
Month	How acquired	Sales proceeds	Orig/ Adj Total Cost	Realized Gain/ (Loss)	Reference No.
Aug-10	P	13,997 61	14,054 16	(56 55)	16, P36
Sep-10	P	16,924 73	15,443.09	1,481.64	14, P35
Oct-10	P	18,068.61	13,484 59	4,584.02	13, P34
Nov-10	P	17,583.19	20,350.19	(2,767 00)	12, P28
Dec-10	P	50,530.25	50,523.98	6.27	11, P22
Jan-11	P	15,612.86	17,424.18	(1,811 32)	10, P14
Feb-11	P	35,983.29	31,952.74	4,030.55	9, P11
Mar-11	P	16,212.26	11,462.71	4,749 55	8, P9
Apr-11	P	19,567.41	13,890.42	5,676.99	7, P8
May-11	P	21,866.60	18,396.65	3,469.95	5, P6
Jun-11	P	17,448.98	14,030 06	3,418 92	3, P3
Jul-11	P	44,408 10	39,107 84	5,300 26	1, P1
Sub-total		288,203.89	260,120.61	28,083 28	
Short-term Capital Gain/Loss					
Month	How acquired	Sales proceeds	Orig/ Adj Total Cost	Realized Gain/ (Loss)	Reference No.
Aug-10	P	1,461.55	1,205 47	256.08	15, P36
Sep-10	P	0 00	0.00	0.00	14, 935
Oct-10	P	1,890.58	1,787 56	103.02	13, P34
Nov-10	P	0 00	0 00	0.00	12, P28
Dec-10	P	4,701.23	3,920.03	781.20	11, P22
Jan-11	P	1,254.97	1,803 89	(548 92)	10, P14
Feb-11	P	8,184.75	7,733.25	451.50	9, P11
Mar-11	P	5,075 62	4,200.38	875.24	8, P9
Apr-11	P	4,445 82	3,941.32	504.50	7, P8
May-11	P	11,179.50	6,942 04	4,237.46	6, P6
Jun-11	P	6,663 35	3,960 57	2,702 78	4, P4
Jul-11	P	2,930 50	1,892.83	1,037 67	2, P1
Sub-total		47,787 87	37,387.34	10,400.53	
Total		335,991 76	297,507.95	38,483.81	
Morgan Stanley Smith Barney Acc# 7015					
Stocks					
Long-term Capital Gain/Loss					
Month	How acquired	Sales proceeds	Orig/ Adj Total Cost	Realized Gain/ (Loss)	Reference No.
Aug-10	P	32,498 70	28,710.15	3,788.55	38, P67
Sep-10	P	18,201.17	18,842 62	(641 45)	37, P65
Oct-10	P	95,984 31	95,781.31	203 00	35, P63
Nov-10	P	37,881 82	21,877 63	16,004 19	33, P59
Dec-10	P	15,167 21	17,414 03	(2,246 82)	32, P55
Jan-11	P	11,593.29	7,398.56	4,194.73	30, P51
Feb-11	P	44,350.47	36,707.28	7,643.19	28, P49
Mar-11	P	0 00	0.00	0 00	27, P47
Apr-11	P	30,477.69	22,382 01	8,095.68	25, P45
May-11	P	62,302 89	41,623 74	20,679 15	23, P43
Jun-11	P	3,578 54	2,955.14	623.40	19, P41
Jul-11	P	5,706 61	4,552.03	1,154 58	16, P39
Sub-total		357,742.70	298,244.50	59,498 20	

Theodore & Cashmere Mendick Foundation					01-Mar-12
ID# 16-1289406					
For Tax Year Ending July 31, 2011					**STATEMENT #1**
Form 990-PF - Part IV - Capital Gains and Losses for Tax on Investment Income					
SUMMARY					
Short-term Capital Gain/Loss					
Month	How acquired	Sales proceeds	Orig/ Adj Total Cost	Realized Gain/ (Loss)	Reference No.
Aug-10	P	13,025.46	9,410.27	3,615.19	39. P67
Sep-10	P	0 00	0 00	0.00	37, P65
Oct-10	P	5,048 09	5,329 90	(281 81)	36, P64
Nov-10	P	28,505.87	22,754.97	5,750.90	34, P60
Dec-10	P	0 00	0 00	0 00	32, P55
Jan-11	P	21,031 58	21,518 87	(487 29)	31, P51
Feb-11	P	7,862.99	6,209 43	1,653 56	29, P49
Mar-11	P	0 00	0.00	0 00	27, P47
Apr-11	P	17,475.07	12,027.68	5,447.39	26, P46
May-11	P	8,465.06	6,828 05	1,637.01	24, P44
Jun-11	P	5,601.20	6,960.06	(1 358 86)	21, P42
Jul-11	P	0 00	0 00	0 00	16, P39
Sub-total		107,015 32	91,039.23	15,976 09	
Total		464,758.02	389,283 73	75,474 29	
Bonds					
Long-term Capital Gain/Loss					
Month	How acquired	Sales proceeds	Orig/ Adj Total Cost	Realized Gain/ (Loss)	Reference No.
Aug-10	P	0.00	0.00	0 00	39 P67
Sep-10	P	0.00	0 00	0 00	37, P65
Oct-10	P	0 00	0.00	0 00	36, P64
Nov-10	P	0 00	0.00	0 00	34, P60
Dec-10	P	0 00	0 00	0.00	32, P55
Jan-11	P	0 00	0.00	0.00	31, P51
Feb-11	P	0 00	0 00	0.00	29, P49
Mar-11	P	77,637 75	75,425.86	2,211 89	27, P47
Apr-11	P	0.00	0 00	0 00	26, P46
May-11	P	0 00	0 00	0 00	24, P44
Jun-11	P	26,345 25	25,133.20	1,212.05	20, P41
Jul-11	P	60,125.24	58,564 48	1,560 76	17, P39
Sub-total		164,108 24	159,123 54	4,984.70	
Short-term Capital Gain/Loss					
Month	How acquired	Sales proceeds	Orig/ Adj Total Cost	Realized Gain/ (Loss)	Reference No.
Aug-10	P	104,529 30	101,066 04	3,463.26	39. P67
Sep-10	P	0.00	0 00	0 00	37, P65
Oct-10	P	0.00	0 00	0 00	36, P64
Nov-10	P	0.00	0 00	0 00	34, P60
Dec-10	P	0.00	0 00	0 00	32, P55
Jan-11	P	0 00	0.00	0 00	31, P51
Feb-11	P	0 00	0 00	0 00	29, P49
Mar-11	P	0 00	0 00	0.00	27, P47
Apr-11	P	0.00	0.00	0 00	26, P46
May-11	P	0 00	0 00	0.00	24, P44
Jun-11	P	25,844 75	25,230 63	614.12	22, P42
Jul-11	P	107,150 97	105,270 99	1,879 98	19, P39
Sub-total		237,525 02	231,567 66	5,957.36	
Total		401,633.26	390,691 20	10,942.06	

Theodore & Cashmere Mendick Foundation					01-Mar-12
ID# 16-1289406					
For Tax Year Ending July 31, 2011					STATEMENT #1
Form 990-PF - Part IV - Capital Gains and Losses for Tax on Investment Income					
SUMMARY					
Manning & Napier Mutual Funds:					
	How acquired	Sales proceeds	Orig/ Adj Total Cost	Realized Gain/ (Loss)	S/L
World Opportunities Series	P	5,480	3,986	1,493 79	L
Life Sciences Series	P	12,141	13,796	(1,655 28)	L
Life Sciences Series	P	5,781	6,198	(417 32)	L
Life Sciences Series	P	3,546	3,805	(259 37)	L
Technology Series	P	14,790	8,807	5,983.31	L
Real Estate Series	P	5,383	4,320	1,062.72	S
Sub-total		47,120	40,912	6,207.85	
Others					
Mutual Funds.	How acquired	Sales proceeds	Orig/ Adj Total Cost	Realized Gain/ (Loss)	S/L
M&N - Core Plus Bond Series	P	60,389	68,042	(7 653 17)	L
M&N - High Yield Fund	P	13,135	10,430	(2 704 66)	L
Sub-total		73,524	78,473	(10,357 83)	
Total		**1,323,027.56**	**1,196,868.06**	**120,750.18**	

MorganStanley
SmithBarney

Acc# 0959

Activity

Fiduciary Services Active Assets Account
619-080959-061

THEODORE AND CASHMERE M MENDICK
FOUNDATION INCORPORATED

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL

LONG-TERM GAIN/(LOSS) (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
	05/04/10	07/12/11	25.000	2,037.57	1,526.24	511.33	
APLES INC	10/04/07	06/30/11	35 000	551.42	760.86	(209.44)	
	12/05/07	06/30/11	75.000	1,181.62	1,786.29	(604.67)	
	07/02/08	06/30/11	80.000	1,260.39	1,929.08	(668.69)	
TEEKAY CORP	03/07/07	06/30/11	45.000	1,396 25	2,245.75	(849.50)	
	10/04/07	06/30/11	35.000	1,085.97	1,994.16	(908.19)	
	01/15/08	06/30/11	15.000	465.41	708.71	(243.30)	
TIFFANY & COMPANY NEW	01/11/08	07/15/11	28.000	2,273.96	1,003 84	1,270.12	
Long-Term This Period				**$44,408.10**	**$39,107.84**	**$5,300.26**	1
Long-Term Year to Date				**$169,869.68**	**$144,600.94**	**$25,268.74**	

July 31, 2011

SHORT-TERM GAIN/(LOSS)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
CBS CORP NEW CL B SHRS	12/08/10	06/28/11	105.000	2,909.42	1,892.83	1,016.59	
TORCHMARK CORP	02/01/11	07/01/11		21 08	0.00	21.08	Cash in Lieu
Short-Term This Period				**$2,930.50**	**$1,892.83**	**$1,037.67**	2
rt-Term Year to Date				**$38,797.49**	**$29,134.39**	**$9,663.10**	
Net Realized Gain/(Loss) This Period				**$47,338.60**	**$41,000.67**	**$6,337.93**	
Net Realized Gain/(Loss) Year to Date				**$208,667.17**	**$173,735.33**	**$34,931.84**	

New Treasury regulations require that we report your adjusted cost basis and classify the gain or loss as either long-term or short-term on the sale of covered securities acquired on or after January 1, 2011. These regulations also require that we make basis adjustments due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. This section may not reflect all of the basis adjustments we are required to make for tax reporting purposes. The information in this section is provided for informational purposes only and should not be used in the preparation of your income tax returns. Please refer to the Disclosures section of this statement for additional information.

Fiduciary Services Active Assets Account
619-080959-061

THEODORE AND CASHMERE M MENDICK
FOUNDATION INCORPORATED

INTERESTED PARTY COPY

Activity

SECURITY ACTIVITY

CORPORATE ACTIONS

Date	Activity Type	Description	Comments	Quantity
[illegible]0	Stock Dividend	AMC NETWORKS INC CL A	DISTRIBUTION FROM CVC	75.000
7/1	Stock Dividend	TORCHMARK CORP		32.000
7/14	Name Change From	PHILLIPS VAN HEUSEN		
7/14	Name Change To	PVH CORPORATION		

REALIZED GAIN/(LOSS) DETAIL

LONG-TERM GAIN/(LOSS)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
ARROW ELECTRONICS	12/01/06	07/14/11	26.000	$972.68	$828.06	$144.62	
BB & T CORP	05/13/09	07/11/11	75.000	1,901.57	1,601.69	299.88	
	07/29/09	07/11/11	55.000	1,394.48	1,201.75	192.73	
	09/11/09	07/11/11	35.000	887.40	939.72	(52.32)	
BECTON DICKINSON & CO	06/05/08	07/15/11	25.000	2,180.18	2,106.63	73.55	
BED BATH & BEYOND INC	11/25/08	07/15/11	46.000	2,679.35	887.03	1,792.32	
[illegible]CINNATI FINANCIAL OHIO	03/03/08	07/18/11	56.000	1,534.05	2,061.78	(527.73)	
	09/09/08	07/18/11	40.000	1,095.75	1,252.06	(156.31)	
	11/10/08	07/18/11	85.000	2,328.46	2,108.05	220.41	
	03/02/09	07/18/11	25.000	684.84	507.82	177.02	
	04/30/09	07/18/11	50.000	1,369.68	1,203.32	166.36	
KIMCO REALTY CORP MD	04/30/09	07/08/11	52.000	998.49	608.11	390.38	
	01/05/10	07/08/11	90.000	1,728.16	1,211.98	516.18	
KINDER MORGAN MGMT LLC	03/20/08	07/13/11	40.000	2,614.82	1,889.90	724.92	
	10/02/08	07/13/11	15.000	980.56	567.63	412.93	
	10/02/08	07/25/11	30.000	1,914.14	1,135.26	778.88	
	12/07/09	07/25/11	20.000	1,276.09	508.33	767.76	
L-3 COMMUNICATIONS HOLDING INC	02/12/09	07/15/11	10.000	817.12	767.50	49.62	
	04/23/09	07/15/11	13 000	1,062.25	970.54	91.71	
OMNICOM GROUP	10/18/07	07/20/11	50.000	2,402.83	2,644.28	(241.45)	
ROPER IND INC	11/17/09	07/05/11	40.000	3,332.61	2,151.47	1,181.14	

CONTINUED

Activity

Fiduciary Services Active Assets Account
619-080959-061

THEODORE AND CASHMERE M MENDICK
FOUNDATION INCORPORATED

INTERESTED PARTY COPY

MONEY MARKET FUND (MMF) AND BANK DEPOSITS ACTIVITY (CONTINUED)

Date	Activity Type	Description	Credits/(Debits)
6/20	Automatic Redemption	BANK DEPOSIT PROGRAM	(2,167.29)
6/23	Automatic Redemption	BANK DEPOSIT PROGRAM	(2,617.39)
6/27	Automatic Investment	BANK DEPOSIT PROGRAM	23.51
6/28	Automatic Investment	BANK DEPOSIT PROGRAM	2,389.12
6/29	Automatic Investment	BANK DEPOSIT PROGRAM	3,789.30
6/29	Automatic Investment	BANK DEPOSIT PROGRAM	4.56
6/30	Automatic Redemption	BANK DEPOSIT PROGRAM	(2,041.11)
NET ACTIVITY FOR PERIOD			$(8,830.30)

REALIZED GAIN/(LOSS) DETAIL

LONG-TERM GAIN/(LOSS)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
EQT CORPORATION COM NEW	07/30/08	06/09/11	34.000	$1,803.64	$1,771.42	$32.22	
	08/15/08	06/09/11	15.000	795.72	755.00	40.72	
	08/15/08	06/22/11	20.000	1,016.94	1,006.67	10.27	
	09/16/08	06/22/11	25.000	1,271.18	1,017.54	253.64	
GAP INC	02/09/09	06/23/11	79.000	1,405.17	939.90	465.27	
LORILLARD INC	03/25/08	05/26/11	10.000	1,152.16	733.45	418.71	
	06/05/08	05/26/11	30.000	3,456.48	2,195.63	1,260.85	
ROPER IND INC	11/02/09	06/20/11	30.000	2,396.82	1,499.23	897.59	
	11/02/09	06/23/11	29.000	2,339.18	1,449.25	889.93	
SAFEWAY INC COM NEW	11/09/07	06/02/11	20.000	452.92	625.06	(172.14)	
	12/05/07	06/02/11	50.000	1,132.31	1,750.67	(618.36)	
	03/03/08	06/02/11	10.000	226.46	286.24	(59.78)	
Long-Term This Period				**$17,448.98**	**$14,030.06**	**$3,418.92**	3
Long-Term Year to Date				**$125,461.58**	**$105,493.10**	**$19,968.48**	

3 CLIE

MorganStanley
SmithBarney

Activity

Fiduciary Services Active Assets Account
619-080959-061

THEODORE AND CASHMERE M MENDICK
FOUNDATION INCORPORATED

INTERESTED PARTY COPY

SHORT-TERM GAIN/(LOSS)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
BROOKFIELD PPTY RTS 61011 TRF	05/13/11	06/01/11	400.000	5.75	37.00	(31.25)	
EL PASO CORPORATION	06/29/10	05/31/11	113.000	2,369.07	1,289.30	1,079.77	
	06/29/10	06/03/11	2.000	40.94	22.82	18.12	
	08/03/10	06/03/11	103.000	2,108.47	1,305.45	803.02	
	08/03/10	06/08/11	2.000	39.98	25.35	14.63	
	09/09/10	06/08/11	105.000	2,099.14	1,280.65	818.49	
Short-Term This Period				**$6,663.35**	**$3,960.57**	**$2,702.78** 4	
Short-Term Year to Date				**$35,866.99**	**$27,241.56**	**$8,625.43**	
Net Realized Gain/(Loss) This Period				**$24,112.33**	**$17,990.63**	**$6,121.70**	
Net Realized Gain/(Loss) Year to Date				**$161,328.57**	**$132,734.66**	**$28,593.91**	

New Treasury regulations require that we report your adjusted cost basis and classify the gain or loss as either long-term or short-term on the sale of covered securities acquired on or after January 1, 2011. These regulations also require that we make basis adjustments due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. This section may not reflect all of the basis adjustments we are required to make for tax reporting purposes. The information in this section is provided for informational purposes only and should not be used in the preparation of your income tax returns. Please refer to the Disclosures section of this statement for additional information.

019616 MSGDT209 003661

Activity

Fiduciary Services Active Assets Account
619-080959-061

THEODORE AND CASHMERE M MENDICK FOUNDATION INCORPORATED

INTERESTED PARTY COPY

SECURITY ACTIVITY

CORPORATE ACTIONS

Date	Activity Type	Description	Comments	Quantity
5/11	Stock Dividend	ARCH CAPITAL GROUP LTD		120.000
5/13	Stock Dividend	BROOKFIELD PPTY RTS 61011 TRF	FROM SEC NO AGA60	400.000
5/13	Stock Dividend	KINDER MORGAN MGMT LLC		1.000

UNSETTLED TRADE ACTIVITY

Transaction Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Pending Credits/(Debits)
5/26	6/1	Sold	LORILLARD INC	UNSETTLED SALE	40.000	$115.2183	$4,608.64
5/27	6/2	Bought	HERSHEY COMPANY	UNSETTLED PURCHASE	60.000	54.9597	(3,297.58)
5/31	6/3	Sold	EL PASO CORPORATION	UNSETTLED SALE	113.000	20.9657	2,369.07

Unsettled Trades are shown for informational purposes only and will be included in totals when the transactions settle.

REALIZED GAIN/(LOSS) DETAIL

LONG-TERM GAIN/(LOSS)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
BROOKFIELD OFFICE PPTYS INC	12/16/04	05/04/11	52.000	$1,012.34	$812.80	$199.54	
	10/10/06	05/04/11	73.000	1,421.16	1,667.50	(246.34)	
EQT CORPORATION COM NEW	06/06/08	05/20/11	40.000	1,999.54	2,917.43	(917.89)	
	07/30/08	05/20/11	1.000	49.99	52.10	(2.11)	
GAP INC	01/23/09	05/23/11	10.000	196.58	119.75	76.83	
	02/09/09	05/23/11	70 000	1,376.06	832.83	543.23	
KINDER MORGAN MGMT LLC	03/20/08	05/13/11		48.36	0.00	48.36	Cash in Lieu
LORILLARD INC	03/18/08	05/04/11	20.000	2,127.12	1,460.51	666.61	
PHILLIPS VAN HEUSEN	02/05/10	05/04/11	30.000	2,005.42	1,171.61	833.81	
PPG INDUSTRIES INC	01/05/06	05/04/11	20.000	1,800.58	1,181.01	619.57	
	01/05/06	05/13/11	10.000	884.30	590.51	293.79	
	10/05/07	05/13/11	20.000	1,768.60	1,563.00	205.60	
	01/04/08	05/13/11	30.000	2,652.91	2,012.66	640.25	

CONTINUED

Activity

Fiduciary Services Active Assets Account
619-080959-061

THEODORE AND CASHMERE M MENDICK
FOUNDATION INCORPORATED

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL

LONG-TERM GAIN/(LOSS) (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
SMUCKER JM CO COM NEW	03/02/09	05/04/11	15.000	1,124.81	540.14	584.67	
	04/30/09	05/04/11	5.000	374.94	198.20	176.74	
TE CONNECTIVITY LTD NEW	05/12/08	05/04/11	50.000	1,778.76	1,911.50	(132.74)	
	06/05/08	05/04/11	35.000	1,245.13	1,365.10	(119.97)	
Long-Term This Period				**$21,866.60**	**$18,396.65**	**$3,469.95**	5
Long-Term Year to Date				**$108,012.60**	**$91,463.04**	**$16,549.56**	

SHORT-TERM GAIN/(LOSS)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
DISH NETWORK CORP CLASS A	05/20/10	05/04/11	53.000	1,508.45	1,112.06	396.39	
	06/29/10	05/04/11	32.000	910.76	593.10	317.66	
EL PASO CORPORATION	06/02/10	05/04/11	110.000	1,986.60	1,196.90	789.70	
	06/02/10	05/24/11	50.000	1,017.59	544.04	473.55	
	06/09/10	05/24/11	140.000	2,849.26	1,608.85	1,240.41	
	06/29/10	05/24/11	35.000	712.31	399.34	312.97	
RALCORP HOLDINGS NEW	10/13/10	05/04/11	25.000	2,194.53	1,487.75	706.78	
Short-Term This Period				**$11,179.50**	**$6,942.04**	**$4,237.46**	6
Short-Term Year to Date				**$29,203.64**	**$23,280.99**	**$5,922.65**	
Net Realized Gain/(Loss) This Period				**$33,046.10**	**$25,338.69**	**$7,707.41**	
Net Realized Gain/(Loss) Year to Date				**$137,216.24**	**$114,744.03**	**$22,472.21**	

New Treasury regulations require that we report your adjusted cost basis and classify the gain or loss as either long-term or short-term on the sale of covered securities acquired on or after January 1, 2011. These regulations also require that we make basis adjustments due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. This section may not reflect all of the basis adjustments we are required to make for tax reporting purposes. The information in this section is provided for informational purposes only and should not be used in the preparation of your income tax returns. Please refer to the Disclosures section of this statement for additional information.

011660 MSGDT115 025675

MorganStanley
SmithBarney

Activity

Fiduciary Services Active Assets Account
619-080959-061

THEODORE AND CASHMERE M MENDICK
FOUNDATION INCORPORATED

INTERESTED PARTY COPY

MONEY MARKET FUND (MMF) AND BANK DEPOSITS ACTIVITY (CONTINUED)

Date	Activity Type	Description	Credits/(Debits)
4/15	Automatic Redemption	BANK DEPOSIT PROGRAM	(2,083.13)
4/18	Automatic Investment	BANK DEPOSIT PROGRAM	330.71
4/20	Automatic Investment	BANK DEPOSIT PROGRAM	2,261.03
4/21	Automatic Investment	BANK DEPOSIT PROGRAM	153.02
4/25	Automatic Redemption	BANK DEPOSIT PROGRAM	(2,579.68)
4/26	Automatic Investment	BANK DEPOSIT PROGRAM	13.64
4/28	Automatic Investment	BANK DEPOSIT PROGRAM	88.31
4/28	Automatic Investment	BANK DEPOSIT PROGRAM	3.87
4/29	Automatic Investment	BANK DEPOSIT PROGRAM	5,343.49
NET ACTIVITY FOR PERIOD			$(9,866.21)

REALIZED GAIN/(LOSS) DETAIL

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
ALBEMARLE CORPORATION	05/02/05	04/25/11	15.000	$1,017.03	$272.79	$744.24	
	09/10/07	04/25/11	35.000	2,373.08	1,365.73	1,007.35	
COMMUNITY HLTH SYS INC NEW	11/20/06	04/05/11	35.000	1,413.50	1,183.61	229.89	
	04/29/08	04/05/11	55.000	2,221.21	2,143.84	77.37	
	04/30/08	04/11/11	5.000	136.27	191.49	(55.22)	
	03/02/09	04/11/11	65.000	1,771.51	924.75	846.76	
CVR ENERGY INC	05/02/08	04/25/11	80.000	1,838.48	1,645.03	193.45	
	07/31/08	04/25/11	5.000	114.90	79.79	35.11	
MACY'S INC	08/03/10	04/01/11	30.000	730.24	566.92	163.32	
5	09/20/10	04/01/11	60.000	1,460.47	1,317.63	142.84	
	09/20/10	04/06/11	30.000	751.70	658.82	92.88	
	01/11/11	04/06/11	60.000	1,503.41	1,397.95	105.46	
PPG INDUSTRIES INC	05/25/05	04/14/11	35.000	3,220.15	2,320.97	899.18	
	01/05/06	04/14/11	25.000	2,300.11	1,476.27	823.84	
SUNTRUST BKS	06/03/09	04/06/11	55.000	1,608.10	874.54	733.56	
WILLIAMS CO INC	03/02/07	04/06/11	5.000	155.31	132.42	22.89	
	05/16/07	04/06/11	45.000	1,397.76	1,279.19	118.57	

7

MorganStanley
SmithBarney

Activity

Fiduciary Services Active Assets Account
619-080959-061

THEODORE AND CASHMERE M MENDICK
FOUNDATION INCORPORATED

INTERESTED PARTY COPY

	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)
Net Realized Gain/(Loss) This Period	$24,013.23	$17,831.74	$6,181.49 7
Net Realized Gain/(Loss) Year to Date	$104,170.14	$89,405.34	$14,764.80

New Treasury regulations require that we report your adjusted cost basis and classify the gain or loss as either long-term or short-term on the sale of covered securities acquired on or after January 1, 2011. These regulations also require that we make basis adjustments due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. This section may not reflect all of the basis adjustments we are required to make for tax reporting purposes. The information in this section is provided for informational purposes only and should not be used in the preparation of your income tax returns. Please refer to the Disclosures section of this statement for additional information.

MorganStanley
SmithBarney

Activity

Fiduciary Services Active Assets Account
619-080959-061

THEODORE AND CASHMERE M MENDICK
FOUNDATION INCORPORATED

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL

Security Description		Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
CINCINNATI FINANCIAL OHIO		10/31/07	03/08/11	21.000	$705.12	$840.72	$(135.60)	
		11/12/07	03/08/11	30.000	1,007.32	1,237.51	(230.19)	
		03/03/08	03/08/11	14.000	470.08	515.45	(45.37)	
DISH NETWORK CORP CLASS A	S	05/07/10	03/25/11	85.000	2,066.12	1,786.74	279.38	
	S	05/20/10	03/25/11	32.000	777.84	671.43	106.41	
KIMCO REALTY CORP MD		04/30/09	03/04/11	115.000	2,078.92	1,344.85	734.07	
MACY'S INC	S	06/29/10	03/04/11	55.000	1,292.01	986.32	305.69	
	S	08/03/10	03/04/11	40.000	939.65	755.89	183.76	
T C F FINANCIAL		10/28/09	03/01/11	185.000	2,983.95	2,290.43	693.52	
WABCO HLDGS INC		12/08/08	03/09/11	75.000	4,352.31	1,268.20	3,084.11	
WINDSTREAM CORP		12/07/06	02/28/11	135.000	1,697.47	1,910.15	(212.68)	
		06/25/09	02/28/11	15.000	188.61	128.56	60.05	
		06/25/09	03/08/11	125.000	1,586.33	1,071.32	515.01	
		09/16/09	03/08/11	90.000	1,142.15	855.52	286.63	
Net Realized Gain/(Loss) This Period					**$21,287.88**	**$15,663.09**	**$5,624.79**	8
Net Realized Gain/(Loss) Year to Date					**$80,156.91**	**$71,573.60**	**$8,583.31**	

New Treasury regulations require that we report your adjusted cost basis and classify gain or loss as either long-term or short-term on the sale of covered securities acquired on or after January 1, 2011. These regulations also require that we make basis adjustments due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. This section may not reflect all of the basis adjustments we are required to make for tax reporting purposes. The information in this section is provided for informational purposes only and should not be used in the preparation of your income tax returns. Please refer to the Disclosures section of this statement for additional information.

9

MorganStanley SmithBarney

Activity

Access Active Assets Account
619-080959-061

THEODORE AND CASHMERE M MENDICK
FOUNDATION INCORPORATED

INTERESTED PARTY COPY

SECURITY ACTIVITY

CORPORATE ACTIONS

Date	Activity Type	Description	Comments	Quantity
2/14	Stock Dividend	KINDER MORGAN MGMT LLC		1.000
2/15	Stock Dividend	BALL CORPORATION		221.000

REALIZED GAIN/(LOSS) DETAIL

Security Description		Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
AMERICAN WATER WORKS CO		06/05/08	02/03/11	45 000	$1,193.88	$980.58	$213.30	
		05/26/09	02/03/11	85.000	2,255.12	1,479.99	775.13	
		07/13/09	02/03/11	65.000	1,724.50	1,189.12	535.38	
ARROW ELECTRONICS		12/01/06	02/09/11	35.000	1,438.31	1,114.69	323.62	
AUTOZONE INC		01/04/08	02/22/11	5.000	1,261.87	554.38	707.49	
BANCORPSOUTH INC		01/28/10	01/31/11	50.000	786.19	1,160.01	(373.82)	
	S	06/29/10	01/31/11	85.000	1,336.52	1,542.42	(205.90)	
	S	11/02/10	01/31/11	115.000	1,808.24	1,562.98	245.26	
BECTON DICKINSON & CO		01/23/08	02/17/11	20.000	1,585.93	1,697.37	(111.44)	
		04/17/08	02/17/11	25.000	1,982.41	2,047.98	(65.57)	
		06/05/08	02/17/11	5.000	396.48	421.33	(24.85)	
CINCINNATI FINANCIAL OHIO		08/03/07	02/10/11	21.000	694.40	832.45	(138.05)	
		10/31/07	02/10/11	44.000	1,454.94	1,761.51	(306.57)	
CVR ENERGY INC		11/21/07	02/03/11	115.000	1,926.95	2,472.48	(545.53)	
		05/02/08	02/03/11	35 000	586.46	719.70	(133.24)	
KINDER MORGAN MGMT LLC		03/20/08	02/14/11		51.22	0.00	51.22	Cash in Lieu
MACY'S INC	S	06/03/10	02/10/11	80.000	1,936.42	1,795.87	140.55	
	S	06/29/10	02/10/11	45.000	1,089.23	806.99	282.24	
OLD REPUBLIC INTL CP		07/02/07	02/08/11	86.000	1,083.26	1,853.65	(770.39)	
		11/09/07	02/08/11	104.000	1,309.99	1,529.92	(219.93)	
PUBLIC STORAGE		11/18/08	02/16/11	16.000	1,764.49	1,041.18	723.31	
		03/04/09	02/16/11	20.000	2,205.62	987.41	1,218.21	
SMUCKER JM CO COM NEW		11/06/08	02/09/11	5.000	315.51	202.75	112.76	
		03/02/09	02/09/11	25 000	1,577 54	900.23	677.31	
SYMETRA FINL CORP COM	S	04/06/10	01/27/11	150.000	2,014.34	2,024.99	(10.65)	

CONTINUED

Activity

Access Active Assets Account 619-080959-061

THEODORE AND CASHMERE M MENDICK FOUNDATION INCORPORATED

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
TIFFANY & COMPANY NEW	09/06/06	02/09/11	25.000	1,570.15	785.17	784.98	
	01/11/08	02/09/11	5.000	314.03	179.26	134.77	
WABCO HLDGS INC	08/10/07	02/14/11	20.000	1,288.36	894.43	393.93	
	11/02/07	02/14/11	40.000	2,576.71	2,038.93	537.78	
WASHINGTON POST COMPANY CL B	03/14/07	01/28/11	1.000	438.83	749.89	(311.06)	
	04/23/08	01/28/11	2.000	877.65	1,342.83	(465.18)	
WILLIAMS CO INC	02/28/06	02/16/11	30.000	830.62	631.85	198.77	
	03/02/07	02/16/11	90.000	2,491.87	2,383.65	108.22	
Net Realized Gain/(Loss) This Period				**$44,168.04**	**$39,685.99**	**$4,482.05** 9	
Net Realized Gain/(Loss) Year to Date				**$58,869.03**	**$55,910.51**	**$2,958.52**	

New Treasury regulations require that we report your adjusted cost basis and classify gain or loss as either long-term or short-term on the sale of covered securities acquired on or after January 1, 2011. These regulations also require that we make basis adjustments due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. This section may not reflect all of the basis adjustments we are required to make for tax reporting purposes. The information in this section is provided for informational purposes only and should not be used in the preparation of your income tax returns. Please refer to the Disclosures section of this statement for additional information.

047903 MSGDD118 047890

2

Access Active Assets Account 619-080959-061

THEODORE AND CASHMERE M MENDICK FOUNDATION INCORPORATED

INTERESTED PARTY COPY

MONEY MARKET FUND (MMF) AND BANK DEPOSITS ACTIVITY (CONTINUED)

Date	Activity Type	Description	Credits/(Debits)
1/21	Automatic Investment	BANK DEPOSIT PROGRAM	157.57
1/26	Automatic Investment	BANK DEPOSIT PROGRAM	4,490.42
1/27	Automatic Investment	BANK DEPOSIT PROGRAM	90.50
1/28	Automatic Investment	BANK DEPOSIT PROGRAM	5.30
1/28	Automatic Redemption	BANK DEPOSIT PROGRAM	(5,789.90)
1/31	Automatic Redemption	BANK DEPOSIT PROGRAM	(1,876.08)
NET ACTIVITY FOR PERIOD			$(15,291.23)

UNSETTLED TRADE ACTIVITY

Transaction Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Pending Credits/(Debits)
1/27	2/1	Sold	SYMETRA FINL CORP COM	UNSETTLED SALE	150.000	$13.4292	$2,014.34
1/28	2/2	Sold	WASHINGTON POST COMPANY CL B	UNSETTLED SALE	3.000	438.8376	1,316.48
1/31	2/3	Sold	BANCORPSOUTH INC	UNSETTLED SALE	250.000	15.7241	3,930.95
1/31	2/3	Bought	ROYAL CARIBBEAN CRUISES LTD	UNSETTLED PURCHASE	67.000	45.0113	(3,015.76)

Unsettled Trades are shown for informational purposes only and will be included in totals when the transactions settle.

REALIZED GAIN/(LOSS) DETAIL

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
BANCORPSOUTH INC	02/19/09	01/11/11	50.000	$794.88	$873.55	$(78.67)	
	04/02/09	01/11/11	40.000	635.90	870.81	(234.91)	
	S 01/28/10	01/11/11	20.000	317.95	464.00	(146.05)	
CINCINNATI FINANCIAL OHIO	03/05/07	01/11/11	46.000	1,458.15	1,975.88	(517.73)	
	08/03/07	01/11/11	24.000	760.78	951.38	(190.60)	
COVENTRY HEALTH CARE INC	10/06/06	01/26/11	10.000	300.12	510.74	(210.62)	
	12/07/06	01/26/11	55.000	1,650.65	2,787.08	(1,136.43)	
	03/12/08	01/26/11	15.000	450.18	624.93	(174.75)	
GAP INC	01/23/09	01/20/11	120.000	2,435.25	1,436.97	998.28	
H & R BLOCK INC	04/02/09	12/31/10	20.000	234.25	361.64	(127.39)	Prior Year Trade
	04/30/09	12/31/10	85.000	995.58	1,302.02	(306.44)	Prior Year Trade
	S 03/08/10	12/31/10	80.000	937.02	1,339.89	(402.87)	Prior Year Trade
PRINCIPAL FINL GROUP INC	10/04/07	01/11/11	40.000	1,286.51	2,517.60	(1,231.09)	

CONTINUED

MorganStanley SmithBarney

Activity

Access Active Assets Account 619-080959-061

THEODORE AND CASHMERE M MENDICK FOUNDATION INCORPORATED

INTERESTED PARTY COPY

CASH FLOW ACTIVITY BY DATE (CONTINUED)

Transaction Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Credits/(Debits)
1/20	1/20	Qualified Dividend	FIFTH 3RD BANCORP OHIO				4.55
1/20	1/25	Sold	GAP INC	ACTED AS AGENT	120.000	20.2942	2,435.25
1/20	1/25	Sold	SHERWIN WILLIAMS COMPANY OHIO	ACTED AS AGENT	25.000	82.2082	2,055.17
1/24	1/27	Bought	ROCK TENN CO CL A	ACTED AS AGENT	45.000	59.0924	(2,659.16)
1/25	1/28	Bought	ARCH CAPITAL GROUP LTD	ACTED AS AGENT	35.000	89.4498	(3,130.74)
1/26	1/26	Qualified Dividend	GAP INC				90.50
1/26	1/31	Sold	COVENTRY HEALTH CARE INC	ACTED AS AGENT	80.000	30.0125	2,400.95
1/26	1/31	Bought	DR PEPPER SNAPPLE GROUP INC	ACTED AS AGENT	65.000	35.4566	(2,304.68)
1/26	1/31	Bought	CBS CORP NEW CL B SHRS	ACTED AS AGENT	105.000	20.7971	(2,183.70)
1/28	1/28	Interest Income	MORGAN STANLEY BANK N.A. (Period 12/31-01/28)				5.30
1/28	1/28	Qualified Dividend	LINCARE HLDGS INC				106.00
1/28	1/28	Qualified Dividend	TEEKAY CORP				91.71
1/28	1/28	Qualified Dividend	ROPER IND INC				13.64
1/31	1/31	Qualified Dividend	JARDEN CORP				15.26
NET CREDITS/(DEBITS)							$(15,657.05)

Purchase and Sale transactions above may have received an average price execution. Details regarding the actual prices are available upon request.

MONEY MARKET FUND (MMF) AND BANK DEPOSITS ACTIVITY

Date	Activity Type	Description	Credits/(Debits)
1/3	Automatic Investment	BANK DEPOSIT PROGRAM	$381.08
1/4	Automatic Investment	BANK DEPOSIT PROGRAM	454.60
1/5	Automatic Investment	BANK DEPOSIT PROGRAM	40.50
1/6	Automatic Investment	BANK DEPOSIT PROGRAM	2,169.63
1/7	Automatic Redemption	BANK DEPOSIT PROGRAM	(5,259.65)
1/10	Automatic Investment	BANK DEPOSIT PROGRAM	16.80
1/11	Automatic Investment	BANK DEPOSIT PROGRAM	66.25
1/14	Automatic Redemption	BANK DEPOSIT PROGRAM	(3,238.53)
1/18	Automatic Redemption	BANK DEPOSIT PROGRAM	(3,811.44)
1/19	Automatic Redemption	BANK DEPOSIT PROGRAM	(3,188.28)

CONTINUED

002492 MSGDD111 021353

13

MorganStanley SmithBarney

Activity

Access Active Assets Account 619-080959-061

THEODORE AND CASHMERE M MENDICK FOUNDATION INCORPORATED

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
	07/18/08	01/11/11	20.000	643.25	786.32	(143.07)	
SHERWIN WILLIAMS COMPANY OHIO	07/07/08	01/20/11	25.000	2,055.17	1,208.78	846.39	
SMUCKER JM CO COM NEW	11/06/08	01/11/11	30.000	1,912.20	1,216.48	695.72	
Net Realized Gain/(Loss) This Period				$14,700.99	$16,224.52	$(1,523.53)	10
Net Realized Gain/(Loss) Year to Date				$14,700.99	$16,224.52	$(1,523.53)	

New Treasury regulations require that we report your adjusted cost basis and classify gain or loss as either long-term or short-term on the sale of covered securities acquired on or after January 1, 2011. These regulations also require that we make basis adjustments due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. This section may not reflect all of the basis adjustments we are required to make for tax reporting purposes. The information in this section is provided for informational purposes only and should not be used in the preparation of your income tax returns. Please refer to the Disclosures section of this statement for additional information.

prior year Trade

b 2166.85 3003.55 -836.7

002492 MSGDD111 021354

MONEY MARKET FUND (MMF) AND BANK DEPOSITS ACTIVITY (CONTINUED)

Date	Activity Type	Description	Credits/(Debits)
12/17	Automatic Investment	BANK DEPOSIT PROGRAM	3,621.10
12/20	Automatic Investment	BANK DEPOSIT PROGRAM	879.46
12/21	Automatic Redemption	BANK DEPOSIT PROGRAM	(2,257.86)
12/22	Automatic Redemption	BANK DEPOSIT PROGRAM	(11,415.40)
12/23	Automatic Investment	BANK DEPOSIT PROGRAM	31.85
12/27	Automatic Investment	BANK DEPOSIT PROGRAM	3,456.82
12/28	Automatic Investment	BANK DEPOSIT PROGRAM	1,373.20
12/29	Automatic Investment	BANK DEPOSIT PROGRAM	154.15
12/30	Automatic Investment	BANK DEPOSIT PROGRAM	5.18
12/31	Automatic Investment	BANK DEPOSIT PROGRAM	7,732.08
NET ACTIVITY FOR PERIOD			**$14,283.06**

SECURITY ACTIVITY

CORPORATE ACTIONS

Date	Activity Type	Description	Comments	Quantity
12/21	Stock Dividend	AMETEK INC NEW		55.000

UNSETTLED TRADE ACTIVITY

Transaction Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Pending Credits/(Debits)
12/31	1/5	Sold	H & R BLOCK INC	UNSETTLED SALE	185.000	$11.7129	$2,166.85

Unsettled Trades are shown for informational purposes only and will be included in totals when the transactions settle.

REALIZED GAIN/(LOSS) DETAIL

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
AFFILIATED MGRS GROUP INC	01/16/08	12/03/10	29.000	$2,727.17	$2,732.58	$(5.41)	
	08/15/08	12/03/10	30.000	2,821.22	2,839.69	(18.47)	
AIRGAS INC	06/16/09	02/05/10	35.000	2,131.62	1,394.90	736.72	

CONTINUED

16

Activity

Access Active Assets Account 619-080959-061

THEODORE AND CASHMERE M MENDICK FOUNDATION INCORPORATED

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
	06/25/09	02/05/10	35.000	2,131.62	1,421.35	710.27	
	07/08/09	02/05/10	15.000	913.55	571.08	342.47	
	07/08/09	07/13/10	15.000	973.90	571.08	402 82	
	08/14/09	07/13/10	40.000	2,597.06	1,805.07	791.99	
ALBEMARLE CORPORATION	05/02/05	04/07/10	45.000	1,967.58	818.37	1,149.21	
	05/02/05	09/29/10	40.000	1,824.56	727.44	1,097.12	
AMERICAN ELECTRIC POWER CO	09/21/05	01/26/10	90.000	3,205.55	3,518.91	(313.36)	
	09/21/05	01/28/10	10.000	353.53	390.99	(37.46)	
	11/02/06	01/28/10	35.000	1,237.37	1,442.50	(205.13)	
	11/02/06	02/01/10	15.000	517.22	618.21	(100.99)	
	01/16/07	02/01/10	35.000	1,206.86	1,478.03	(271.17)	
	01/16/07	02/08/10	45.000	1,485.20	1,900.32	(415.12)	
	01/16/07	03/05/10	20.000	678.98	844.59	(165.61)	
	02/14/07	03/05/10	50.000	1,697.46	2,311.39	(613.93)	
	12/05/07	03/05/10	5.000	169.75	245.49	(75.74)	
	12/05/07	03/12/10	25.000	853.12	1,227.43	(374.31)	
	09/08/08	03/12/10	25.000	853.12	962.76	(109.64)	
	09/08/08	03/22/10	15.000	514.70	577.65	(62.95)	
	09/23/08	03/22/10	70.000	2,401.93	2,598.52	(196.59)	
AMERICAN WATER WORKS CO	04/24/08	08/30/10	80.000	1,810.42	1,654.78	155.64	
	04/24/08	11/02/10	15.000	358.35	310.27	48.08	
	06/05/08	11/02/10	30.000	716.71	653.72	62.99	
AMPHENOL CORP NEW CL A	11/14/05	09/30/10	50.000	2,485.99	991.58	1,494.41	
ASSURANT INC	04/04/07	06/24/10	35.000	1,258.83	1,954.84	(696.01)	
	09/23/08	06/24/10	40.000	1,438.67	1,977.03	(538.36)	
	10/31/08	06/24/10	30.000	1,079.00	746.03	332.97	
	10/31/08	11/11/10	30.000	1,062.99	746.03	316.96	
	12/05/08	11/11/10	10.000	354.33	221.39	132 94	
	12/05/08	12/27/10	75.000	2,869.63	1,660.46	1,209.17	
	05/07/09	12/27/10	65.000	2,487.02	1,623 86	863.16	
AUTONATION INC	12/16/04	05/27/10	26.000	511.25	476.84	34.41	
	11/14/06	05/27/10	74.000	1,455.11	1,495.85	(40.74)	
	11/14/06	07/06/10	56.000	1,032.74	1,132.00	(99.26)	
	10/21/08	07/06/10	80.000	1,475.34	575 76	899.58	
AUTOZONE INC	03/15/05	06/21/10	10.000	1,955.28	863.68	1,091.60	
	03/15/05	08/03/10	10.000	2,069.76	863.68	1,206.08	

CONTINUED

MorganStanley SmithBarney

Activity

Access Active Assets Account 619-080959-061 THEODORE AND CASHMERE M MENDICK FOUNDATION INCORPORATED

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
	03/15/05	09/14/10	2.000	437.76	172 74	265.02	
	01/04/08	09/14/10	3.000	656.64	332.63	324.01	
AVAGO TECH	10/07/09	04/22/10	85.000	1,797.29	1,368.91	428.38	
	10/07/09	07/23/10	40.000	914.78	644.20	270.58	
	10/29/09	07/23/10	135.000	3,087.40	2,016.90	1,070.50	
BALL CORPORATION	05/24/05	11/01/10	35.000	2,234.93	1,324.96	909 97	
BB & T CORP	05/13/09	12/01/10	115.000	2,710.97	2,455.93	255.04	
BROOKFIELD PPTYS CP	12/16/04	01/04/10	185.000	2,292.68	2,995.29	(702.61)	
CABLEVISION SYSTEMS CORP	01/05/06	06/29/10	70 000	1,674.02	1,377 85	296.17	
	02/07/07	06/29/10	25.000	597.87	613.03	(15.16)	
CARLISLE CO INC	12/16/04	01/05/10	40.000	1,403.04	1,271.81	131.23	
	12/16/04	02/26/10	85.000	2,935.97	2,702 59	233.38	
	12/16/04	02/26/10	7.000	241.49	222.57	18.92	
CINCINNATI FINANCIAL OHIO	04/25/06	04/22/10	15.000	443.15	625.32	(182.17)	
	04/25/06	11/03/10	36.000	1,066.86	1,500.76	(433.90)	
	03/05/07	11/03/10	44.000	1,303.94	1,889.97	(586.03)	
CLOROX CO DE	07/07/05	08/04/10	20.000	1,300.34	1,099.23	201.11	
	07/26/05	08/04/10	20.000	1,300.34	1,100.90	199.44	
	07/26/05	12/20/10	15.000	942.77	825.68	117.09	
	12/02/05	12/20/10	40.000	2,514.05	2,189.12	324.93	
COMMUNITY HLTH SYS INC NEW	05/02/06	12/17/10	5.000	186.92	178.50	8.42	
	11/20/06	12/17/10	40.000	1,495.40	1,352.69	142.71	
COVENTRY HEALTH CARE INC	04/06/06	12/13/10	30.000	812.47	1,602.21	(789.74)	
	05/01/06	12/13/10	40.000	1,083.29	1,983.05	(899.76)	
	10/06/06	12/13/10	10.000	270.82	510.74	(239.92)	
CULLEN FROST BANKERS INC	12/16/04	04/08/10	10.000	569.47	472.70	96.77	
	04/29/05	04/08/10	20.000	1,138.93	863.00	275.93	
DARDEN RESTAURANTS	08/17/09	03/31/10	45.000	1,998.18	1,404.07	594.11	
DOLLAR GEN CORP NEW COM	12/08/09	01/07/10	75.000	1,777.57	1,753.31	24.26	
	12/08/09	04/05/10	60 000	1,534.00	1,402.65	131.35	
S X	12/09/10	12/21/10	70.000	2,193.96	2,181.53	12.43	
FORTUNE BRANDS INC	11/07/05	09/30/10	5.000	246.65	403.08	(156.43)	
	04/26/06	09/30/10	30.000	1,479.93	2,427.93	(948.00)	
	08/02/06	10/08/10	25.000	1,400.34	1,823.14	(422.80)	
	10/06/06	10/08/10	15.000	840 20	1,120.11	(279.91)	
	10/06/06	12/01/10	20.000	1,208.81	1,493.49	(284.68)	

CONTINUED

013651 MSDDT177 011648

1-7

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
	03/02/07	12/01/10	15.000	906.60	1,208.50	(301.90)	
H & R BLOCK INC	12/26/08	07/08/10	100.000	1,433.69	2,185.57	(751.88)	
	02/19/09	07/08/10	20.000	286.74	424.41	(137.67)	
	02/19/09	07/28/10	50.000	778.51	1,061.02	(282.51)	
	04/02/09	07/28/10	65.000	1,012.07	1,175.33	(163.26)	
KEYCORP NEW	08/28/09	04/21/10	250.000	2,228.26	1,647.03	581.23	
	08/28/09	07/14/10	105.000	859.41	691.75	167.66	
	02/04/10	07/14/10	245.000	2,005.29	1,725.78	279.51	
KIMCO REALTY CORP MD	12/05/08	05/11/10	110.000	1,762.55	1,713.99	48.56	
	01/22/09	05/11/10	50.000	801.16	754.58	46.58	
	01/22/09	05/18/10	40.000	610.74	603.67	7.07	
	03/02/09	05/18/10	103.000	1,572.66	802.09	770.57	
	03/02/09	09/10/10	42.000	652.36	327.06	325.30	
	04/30/09	09/10/10	48.000	745.55	570.55	175.00	
KINDER MORGAN MGMT LLC	10/23/07	02/12/10		53.47	0.00	53.47	Cash in Lieu
	10/23/07	05/04/10	25.000	1,432.22	1,081.17	351.05	
	10/23/07	05/14/10		51.59	0.00	51.59	Cash in Lieu
	10/23/07	08/13/10		36.36	0 00	36.36	Cash in Lieu
	10/23/07	09/17/10	44.000	2,664.81	1,863.63	801.18	
	10/23/07	11/12/10		50.11	0.00	50.11	Cash in Lieu
	10/23/07	11/30/10	53.000	3,379.36	2,244.83	1,134.53	
	03/20/08	11/30/10	2.000	127.52	94.50	33.02	
LEXMARK INTL INC NEW A	08/11/09	02/03/10	45.000	1,431.14	752.19	678.95	
	08/11/09	02/19/10	40.000	1,361.55	668.61	692.94	
	09/16/09	02/19/10	20.000	680.77	434.37	246.40	
	09/16/09	03/09/10	25.000	879.93	542.97	336.96	
	10/07/09	03/09/10	55.000	1,935.86	1,184.31	751.55	
LINCARE HLDGS INC	06/28/07	04/22/10	50.000	2,382.73	2,021.13	361.60	
LORILLARD INC	02/28/08	08/03/10	10.000	764.30	760.60	3.70	
	03/18/08	08/03/10	5 000	382 15	365.13	17.02	
M&T BANK CORP	05/04/07	03/16/10	1.000	80 32	113.38	(33.06)	
	05/30/07	03/16/10	15 000	1,204.81	1,666.05	(461.24)	
	07/30/07	03/16/10	14.000	1,124.49	1,480.17	(355.68)	
	07/30/07	04/08/10	6.000	498.83	634.36	(135.53)	
	01/04/08	04/08/10	24.000	1,995.34	1,830.38	164.96	
	01/04/08	06/18/10	16.000	1,448.81	1,220.26	228.55	

CONTINUED

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
	03/27/08	06/18/10	39.000	3,531.48	3,292.44	239.04	
MADISON SQUARE GARDEN INC CL A	01/05/06	02/09/10		9.96	0.00	9.96	Cash In Lieu
	01/05/06	04/19/10	18.000	391.38	308.03	83.35	
	02/07/07	04/19/10	17.000	369.64	383.73	(14.09)	
	05/30/07	04/19/10	17.000	369.64	462.09	(92.45)	
	01/07/08	04/19/10	15.000	326.15	257.63	68.52	
MC CORMICK AND CO NON VOTING	09/09/09	10/12/10	45.000	1,890.58	1,504.02	386.56	
	08/03/10	10/12/10	45.000	1,890.58	1,787.56	103.02	
NORFOLK SOUTHERN CORP	07/07/09	03/11/10	35.000	1,897.69	1,273.71	623.98	
	07/29/09	03/11/10	30.000	1,626.59	1,268.53	358.06	
OLD REPUBLIC INTL CP	12/16/04	04/12/10	250.000	3,351.12	4,917.12	(1,566.00)	
	12/16/04	04/13/10	10.000	133.99	196.68	(62.69)	
	12/16/04	06/28/10	105.000	1,344.69	2,065.19	(720.50)	
	12/16/04	07/30/10	236.000	2,922.03	4,641.76	(1,719.73)	
	07/02/07	07/30/10	34.000	420.97	732.84	(311.87)	
ONEBEACON INS GP LTD CL A	02/06/07	02/18/10	180.000	2,643.10	4,814.83	(2,171.73)	
	04/04/07	02/18/10	5.000	73.42	125.00	(51.58)	
	04/04/07	02/22/10	50.000	750.83	1,250.00	(499.17)	
PEOPLE'S UNITED FINANCIAL INC.	09/17/07	12/09/10	125.000	1,665.32	2,122.49	(457.17)	
	03/27/08	12/09/10	40.000	532.90	713.89	(180.99)	
PG&E CORPORATION	02/07/06	05/12/10	20.000	884.13	743.04	141.09	
	01/24/07	05/12/10	40.000	1,768.26	1,858.81	(90.55)	
	01/24/07	06/02/10	60.000	2,441.77	2,788.21	(346.44)	
	01/24/07	06/09/10	20.000	803.31	929.40	(126.09)	
	06/26/07	06/09/10	20.000	803.31	917.79	(114.48)	
	06/26/07	06/11/10	20.000	822.30	917.79	(95.49)	
	07/02/08	06/11/10	25.000	1,027.88	998.06	29.82	
	07/02/08	06/14/10	15.000	625.52	598.83	26.69	
	03/02/09	06/14/10	25.000	1,042.53	950.86	91.67	
PRECISION CASTPARTS CORP	06/12/08	07/27/10	10.000	1,208.30	1,043.76	164.54	
	06/12/08	08/03/10	10.000	1,238.13	1,043.76	194.37	
	06/27/08	09/20/10	20.000	2,577.30	1,948.42	628.88	
	06/27/08	09/27/10	5.000	644.24	487.11	157.13	
	07/25/08	09/27/10	11.000	1,417.34	1,032.77	384.57	
	07/25/08	10/07/10	14.000	1,828.84	1,314.44	514.40	
	10/10/08	10/07/10	16.000	2,090.11	870.44	1,219.67	

CONTINUED

20

MorganStanley SmithBarney

Activity

Access Active Assets Account 619-080959-061

THEODORE AND CASHMERE M MENDICK FOUNDATION INCORPORATED

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
	10/10/08	10/12/10	9.000	1,170.43	489.63	680.80	
	09/14/09	10/12/10	10.000	1,300.48	959.81	340.67	
PRINCIPAL FINL GROUP INC	03/31/06	04/01/10	30.000	880.20	1,474.93	(594.73)	
	04/25/06	04/01/10	40.000	1,173.60	2,021.38	(847.78)	
PUBLIC STORAGE	03/03/08	09/09/10	11.000	1,126.63	903.25	223.38	
	11/18/08	09/09/10	4 000	409.68	260.30	149.38	
REPUBLIC SERVICES INC	12/16/04	08/03/10	55.000	1,772.65	1,209.91	562.74	
ROPER IND INC	11/02/09	11/02/10	25.000	1,761.74	1,249.36	512.38	
SAFEWAY INC COM NEW	10/25/07	03/03/10	65.000	1,606 85	2,133.05	(526.20)	
	10/31/07	03/03/10	20 000	494.42	669.68	(175 26)	
	10/31/07	03/31/10	45.000	1,115.43	1,506.79	(391.36)	
	11/09/07	03/31/10	45.000	1,115.43	1,406.39	(290.96)	
SCRIPPS NETWORKS INTERAC CL A	12/29/08	03/22/10	42.000	1,755.76	860.86	894.90	
	02/04/09	03/22/10	13.000	543.45	274.24	269.21	
	02/04/09	12/06/10	22.000	1,145.93	464.10	681.83	
	02/10/09	12/06/10	65.000	3,385.70	1,403.94	1,981.76	
	09/15/09	12/06/10	20.000	1,041.75	709.64	332.11	
SIGMA ALDRICH CORP DEL	05/03/06	09/29/10	5.000	302.10	171.82	130.28	
	05/17/06	09/29/10	20.000	1,208.40	681.15	527.25	
SMUCKER JM CO COM NEW	07/28/08	02/04/10	10.000	610.52	474 83	135.69	
	10/10/08	02/04/10	15.000	915.79	620.54	295.25	
	10/10/08	12/27/10	20.000	1,317.79	827.39	490.40	
	11/06/08	12/27/10	15.000	988.34	608.24	380.10	
STAPLES INC	10/04/07	05/04/10	55.000	1,282.20	1,195.64	86.56	
T C F FINANCIAL	02/03/09	10/28/10	115.000	1,525.32	1,413.17	112.15	
	02/03/09	12/21/10	35.000	492.49	430.09	62.40	
	02/04/09	12/21/10	5.000	70.36	63.42	6.94	
	10/28/09	12/21/10	90.000	1,266.41	1,114.26	152.15	
TEEKAY CORP	01/25/07	11/12/10	5.000	156.95	240.13	(83.18)	
	03/07/07	11/12/10	45.000	1,412.56	2,245.75	(833 19)	
TIFFANY & COMPANY NEW	09/06/06	12/09/10	40.000	2,505.83	1,256 27	1,249.56	
TJX COS INC NEW	02/14/07	06/02/10	60 000	2,702.69	1,706.39	996.30	
V F CORPORATION	01/07/05	02/05/10	20.000	1,415.62	1,065.98	349.64	
	10/31/07	02/05/10	5.000	353.91	430 89	(76.98)	
	10/31/07	03/03/10	30.000	2,331.96	2,585.32	(253.36)	
	01/18/08	04/12/10	40.000	3,366.09	2,717.38	648.71	

CONTINUED

MorganStanley SmithBarney

Activity

Access Active Assets Account 619-080959-061

THEODORE AND CASHMERE M MENDICK FOUNDATION INCORPORATED

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
	04/11/08	04/12/10	5.000	420.76	382.60	38.16	
VCA ANTECH INC	04/03/08	02/04/10	15.000	374.56	415.43	(40.87)	
	04/22/08	02/04/10	40.000	998.83	1,122.38	(123.55)	
VENTAS INC	11/19/08	04/07/10	35.000	1,682.09	755.24	926 85	
	11/19/08	06/17/10	30 000	1,489.28	647.35	841.93	
	01/23/09	06/17/10	5.000	248 21	139.87	108.34	
	01/23/09	12/06/10	40.000	2,008.66	1,118.94	889.72	
	03/04/09	12/06/10	50.000	2,510.83	1,154.97	1,355.86	
VORNADO REALTY TR S B I	09/21/05	09/09/10	20.000	1,714.23	1,700.63	13.60	
VULCAN MATERIALS CO	10/31/07	09/21/10	25.000	939.90	2,130.34	(1,190.44)	
	03/27/08	09/21/10	30.000	1,127 87	2,058.88	(931.01)	
WABCO HLDGS INC	08/02/07	07/21/10	50.000	1,842.13	2,403.80	(561.67)	
	08/02/07	11/02/10	5.000	244.68	240.38	4.30	
	08/10/07	11/02/10	35.000	1,712.76	1,565.26	147.50	
WASHINGTON POST COMPANY CL B	03/14/07	11/02/10	9.000	3,620.96	6,749.04	(3,128.08)	
WILLIAMS CO INC	02/10/06	12/13/10	60.000	1,449.08	1,326.59	122.49	
	02/10/06	12/14/10	15.000	363.20	331.65	31.55	
	02/28/06	12/14/10	85.000	2,058.15	1,790.26	267.89	
WILMINGTON TRUST CORP	03/15/05	12/07/10	195.000	782.31	6,934.43	(6,152.12)	
	10/10/06	12/07/10	45.000	180.53	2,016.71	(1,836.18)	
	01/07/09	12/07/10	55.000	220.65	1,140.87	(920.22)	
WINDSTREAM CORP	11/14/06	01/27/10	115.000	1,193.14	1,572.21	(379.07)	
	12/07/06	01/27/10	30.000	311 26	424.48	(113.22)	
YUM BRANDS INC	12/18/09	08/03/10	35.000	1,461.55	1,205.47	256.08	
S X	12/18/09	12/09/10	15.000	752.18	516.63	235.55	
S X	01/05/10	12/09/10	35.000	1,755.09	1,221.87	533.22	

013651 MSDDT177 011650

22

MorganStanley SmithBarney

Activity

Access Active Assets Account 619-080959-061

THEODORE AND CASHMERE M MENDICK FOUNDATION INCORPORATED

INTERESTED PARTY COPY

	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)
Net Realized Gain/(Loss) This Period	$55,231.48	$54,444.01	$787.47 (11)
Net Realized Gain/(Loss) Year to Date	$270,253.46	$259,971.34	$10,282.12

Gain/loss information is provided for informational purposes only and should not be used for tax preparation. Please refer to the gain/loss section of the disclosures for important information about gain/loss reporting.

From January through September, realized gain/loss information will be shown only for trades settling in the current month, and/or certain adjustments (to previously closed lots) made in the current month. From October through December, in order to provide information for year-end investment planning, complete year to date realized gain/loss detail will be displayed for trades settling through the last business day of the year.

Activity

Access Active Assets Account
619-080959-061

THEODORE AND CASHMERE M MENDICK
FOUNDATION INCORPORATED

INTERESTED PARTY COPY

UNSETTLED TRADE ACTIVITY

Transaction Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Pending Credits/(Debits)
11/30	12/3	Sold	KINDER MORGAN MGMT LLC	UNSETTLED SALE	55.000	$63.7625	$3,506.88
11/30	12/3	Bought	NORTHEAST UTILITIES	UNSETTLED PURCHASE	45.000	31.1203	(1,400.41)

Unsettled Trades are shown for informational purposes only and will be included in totals when the transactions settle.

REALIZED GAIN/(LOSS) DETAIL

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
AIRGAS INC	06/16/09	02/05/10	35.000	$2,131.62	$1,394.90	$736.72	
	06/25/09	02/05/10	35.000	2,131.62	1,421.35	710.27	
	07/08/09	02/05/10	15.000	913.55	571.08	342.47	
	07/08/09	07/13/10	15.000	973.90	571.08	402.82	
	08/14/09	07/13/10	40.000	2,597.06	1,805.07	791.99	
ALBEMARLE CORPORATION	05/02/05	04/07/10	45.000	1,967.58	818.37	1,149.21	
	05/02/05	09/29/10	40.000	1,824.56	727.44	1,097.12	
AMERICAN ELECTRIC POWER CO	09/21/05	01/26/10	90.000	3,205.55	3,518.91	(313.36)	
	09/21/05	01/28/10	10.000	353.53	390.99	(37.46)	
	11/02/06	01/28/10	35.000	1,237.37	1,442.50	(205.13)	
	11/02/06	02/01/10	15.000	517.22	618.21	(100.99)	
	01/16/07	02/01/10	35.000	1,206.86	1,478.03	(271 17)	
	01/16/07	02/08/10	45.000	1,485.20	1,900.32	(415.12)	
	01/16/07	03/05/10	20.000	678.98	844.59	(165.61)	
	02/14/07	03/05/10	50.000	1,697.46	2,311.39	(613.93)	
	12/05/07	03/05/10	5.000	169.75	245.49	(75.74)	
	12/05/07	03/12/10	25.000	853.12	1,227.43	(374.31)	
	09/08/08	03/12/10	25.000	853.12	962.76	(109.64)	
	09/08/08	03/22/10	15.000	514.70	577.65	(62.95)	
	09/23/08	03/22/10	70.000	2,401.93	2,598.52	(196.59)	
AMERICAN WATER WORKS CO	04/24/08	08/30/10	80.000	1,810.42	1,654.78	155.64	
	04/24/08	11/02/10	15.000	358.35	310.27	48.08	
	06/05/08	11/02/10	30.000	716.71	653.72	62.99	
AMPHENOL CORP NEW CL A	11/14/05	09/30/10	50.000	2,485.99	991.58	1,494.41	
ASSURANT INC	04/04/07	06/24/10	35.000	1,258.83	1,954.84	(696.01)	
	09/23/08	06/24/10	40.000	1,438.67	1,977.03	(538.36)	
	10/31/08	06/24/10	30.000	1,079.00	746.03	332.97	
	10/31/08	11/11/10	30.000	1,062.99	746.03	316.96	

CONTINUED

Security Mark at Right

23

MorganStanley
SmithBarney

CLIENT STATEMENT | For the Period November 1-30, 2010

Activity

Access Active Assets Account
619-080959-061

THEODORE AND CASHMERE M MENDICK
FOUNDATION INCORPORATED

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
	12/05/08	11/11/10	10 000	354.33	221.39	132.94	
AUTONATION INC	12/16/04	05/27/10	26.000	511.25	476.84	34.41	
	11/14/06	05/27/10	74.000	1,455.11	1,495.85	(40.74)	
	11/14/06	07/06/10	56.000	1,032.74	1,132.00	(99.26)	
	10/21/08	07/06/10	80.000	1,475.34	575.76	899.58	
AUTOZONE INC	03/15/05	06/21/10	10.000	1,955.28	863.68	1,091.60	
	03/15/05	08/03/10	10.000	2,069.76	863.68	1,206.08	
	03/15/05	09/14/10	2.000	437.76	172.74	265.02	
	01/04/08	09/14/10	3.000	656.64	332.63	324.01	
AVAGO TECH	10/07/09	04/22/10	85.000	1,797.29	1,368.91	428.38	
	10/07/09	07/23/10	40.000	914.78	644.20	270.58	
	10/29/09	07/23/10	135.000	3,087.40	2,016.90	1,070.50	
BALL CORPORATION	05/24/05	11/01/10	35.000	2,234.93	1,324.96	909.97	
BROOKFIELD PPTYS CP	12/16/04	01/04/10	185.000	2,292.68	2,995.29	(702.61)	
CABLEVISION SYSTEMS CORP	01/05/06	06/29/10	70.000	1,674.02	1,377.85	296.17	
	02/07/07	06/29/10	25.000	597.87	613.03	(15.16)	
CARLISLE CO INC	12/16/04	01/05/10	40 000	1,403.04	1,271.81	131.23	
	12/16/04	02/26/10	85.000	2,935.97	2,702.59	233.38	
	12/16/04	02/26/10	7.000	241.49	222.57	18.92	
CINCINNATI FINANCIAL OHIO	04/25/06	04/22/10	15.000	443.15	625.32	(182.17)	
	04/25/06	11/03/10	36.000	1,066.86	1,500.76	(433 90)	
	03/05/07	11/03/10	44.000	1,303.94	1,889.97	(586.03)	
CLOROX CO DE	07/07/05	08/04/10	20.000	1,300.34	1,099.23	201 11	
	07/26/05	08/04/10	20.000	1,300.34	1,100.90	199.44	
CULLEN FROST BANKERS INC	12/16/04	04/08/10	10.000	569.47	472.70	96.77	
	04/29/05	04/08/10	20.000	1,138.93	863.00	275.93	
DARDEN RESTAURANTS	08/17/09	03/31/10	45.000	1,998.18	1,404.07	594.11	
DOLLAR GEN CORP NEW COM	12/08/09	01/07/10	75 000	1,777.57	1,753.31	24.26	
	12/08/09	04/05/10	60.000	1,534.00	1,402.65	131.35	
FORTUNE BRANDS INC	11/07/05	09/30/10	5.000	246.65	403.08	(156.43)	
	04/26/06	09/30/10	30.000	1,479.93	2,427.93	(948.00)	
	08/02/06	10/08/10	25.000	1,400.34	1,823.14	(422.80)	
	10/06/06	10/08/10	15.000	840.20	1,120.11	(279.91)	
H & R BLOCK INC	12/26/08	07/08/10	100.000	1,433.69	2,185.57	(751.88)	
	02/19/09	07/08/10	20.000	286.74	424.41	(137.67)	
	02/19/09	07/28/10	50.000	778.51	1,061.02	(282.51)	

CONTINUED

011431 MSDDT125 037299

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
	04/02/09	07/28/10	65.000	1,012.07	1,175.33	(163.26)	
KEYCORP NEW	08/28/09	04/21/10	250.000	2,228.26	1,647.03	581.23	
	08/28/09	07/14/10	105.000	859.41	691.75	167.66	
	02/04/10	07/14/10	245.000	2,005.29	1,725.78	279.51	
KIMCO REALTY CORP MD	12/05/08	05/11/10	110.000	1,762.55	1,713.99	48.56	
	01/22/09	05/11/10	50.000	801.16	754.58	46.58	
	01/22/09	05/18/10	40.000	610.74	603.67	7.07	
	03/02/09	05/18/10	103.000	1,572.66	802.09	770.57	
	03/02/09	09/10/10	42.000	652.36	327.06	325.30	
	04/30/09	09/10/10	48.000	745.55	570.55	175.00	
KINDER MORGAN MGMT LLC	10/23/07	02/12/10		53.47	0.00	53.47	Cash in Lieu
	10/23/07	05/04/10	25.000	1,432.22	1,081.17	351.05	
	10/23/07	05/14/10		51.59	0.00	51.59	Cash in Lieu
	10/23/07	08/13/10		36.36	0.00	36.36	Cash in Lieu
	10/23/07	09/17/10	44.000	2,664.81	1,863.63	801.18	
	10/23/07	11/12/10		50.11	0.00	50.11	Cash in Lieu
LEXMARK INTL INC NEW A	08/11/09	02/03/10	45.000	1,431.14	752.19	678.95	
	08/11/09	02/19/10	40.000	1,361.55	668.61	692.94	
	09/16/09	02/19/10	20.000	680.77	434.37	246.40	
	09/16/09	03/09/10	25.000	879.93	542.97	336.96	
	10/07/09	03/09/10	55.000	1,935.86	1,184.31	751.55	
LINCARE HLDGS INC	06/28/07	04/22/10	50.000	2,382.73	2,021.13	361.60	
LORILLARD INC	02/28/08	08/03/10	10.000	764.30	760.60	3.70	
	03/18/08	08/03/10	5.000	382.15	365.13	17.02	
M&T BANK CORP	05/04/07	03/16/10	1.000	80.32	113.38	(33.06)	
	05/30/07	03/16/10	15.000	1,204.81	1,666.05	(461.24)	
	07/30/07	03/16/10	14.000	1,124.49	1,480.17	(355.68)	
	07/30/07	04/08/10	6.000	498.83	634.36	(135.53)	
	01/04/08	04/08/10	24.000	1,995.34	1,830.38	164.96	
	01/04/08	06/18/10	16.000	1,448.81	1,220.26	228.55	
	03/27/08	06/18/10	39.000	3,531.48	3,292.44	239.04	
MADISON SQUARE GARDEN INC CL A	01/05/06	02/09/10		9.96	0.00	9.96	Cash in Lieu
	01/05/06	04/19/10	18.000	391.38	308.03	83.35	
	02/07/07	04/19/10	17.000	369 64	383.73	(14.09)	
	05/30/07	04/19/10	17.000	369.64	462.09	(92.45)	
	01/07/08	04/19/10	15.000	326.15	257.63	68.52	

CONTINUED

26

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
MC CORMICK AND CO NON VOTING	09/09/09	10/12/10	45.000	1,890.58	1,504.02	386.56	
	08/03/10	10/12/10	45.000	1,890.58	1,787.56	103.02	
NORFOLK SOUTHERN CORP	07/07/09	03/11/10	35.000	1,897.69	1,273.71	623.98	
	07/29/09	03/11/10	30.000	1,626.59	1,268.53	358.06	
OLD REPUBLIC INTL CP	12/16/04	04/12/10	250.000	3,351.12	4,917.12	(1,566.00)	
	12/16/04	04/13/10	10.000	133.99	196.68	(62.69)	
	12/16/04	06/28/10	105.000	1,344.69	2,065.19	(720.50)	
	12/16/04	07/30/10	236 000	2,922.03	4,641 76	(1,719 73)	
	07/02/07	07/30/10	34.000	420.97	732.84	(311.87)	
ONEBEACON INS GP LTD CL A	02/06/07	02/18/10	180.000	2,643.10	4,814.83	(2,171.73)	
	04/04/07	02/18/10	5.000	73.42	125.00	(51.58)	
	04/04/07	02/22/10	50.000	750.83	1,250.00	(499.17)	
PG&E CORPORATION	02/07/06	05/12/10	20.000	884.13	743.04	141.09	
	01/24/07	05/12/10	40.000	1,768.26	1,858.81	(90.55)	
	01/24/07	06/02/10	60.000	2,441.77	2,788.21	(346.44)	
	01/24/07	06/09/10	20.000	803.31	929.40	(126.09)	
	06/26/07	06/09/10	20.000	803.31	917.79	(114.48)	
	06/26/07	06/11/10	20.000	822.30	917.79	(95.49)	
	07/02/08	06/11/10	25.000	1,027.88	998.06	29.82	
	07/02/08	06/14/10	15.000	625.52	598.83	26.69	
	03/02/09	06/14/10	25.000	1,042.53	950.86	91.67	
PRECISION CASTPARTS CORP	06/12/08	07/27/10	10.000	1,208.30	1,043 76	164.54	
	06/12/08	08/03/10	10.000	1,238.13	1,043.76	194.37	
	06/27/08	09/20/10	20.000	2,577.30	1,948.42	628.88	
	06/27/08	09/27/10	5.000	644.24	487.11	157.13	
	07/25/08	09/27/10	11.000	1,417.34	1,032.77	384.57	
	07/25/08	10/07/10	14.000	1,828.84	1,314.44	514.40	
	10/10/08	10/07/10	16.000	2,090.11	870.44	1,219.67	
	10/10/08	10/12/10	9.000	1,170.43	489.63	680.80	
	09/14/09	10/12/10	10.000	1,300.48	959.81	340.67	
PRINCIPAL FINL GROUP INC	03/31/06	04/01/10	30.000	880.20	1,474.93	(594.73)	
	04/25/06	04/01/10	40.000	1,173.60	2,021.38	(847.78)	
PUBLIC STORAGE	03/03/08	09/09/10	11.000	1,126.63	903.25	223.38	
	11/18/08	09/09/10	4.000	409 68	260.30	149.38	
REPUBLIC SERVICES INC	12/16/04	08/03/10	55.000	1,772.65	1,209.91	562.74	
ROPER IND INC	11/02/09	11/02/10	25.000	1,761.74	1,249.36	512.38	

CONTINUED

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
SAFEWAY INC COM NEW	10/25/07	03/03/10	65.000	1,606.85	2,133.05	(526.20)	
	10/31/07	03/03/10	20.000	494.42	669.68	(175.26)	
	10/31/07	03/31/10	45.000	1,115.43	1,506.79	(391.36)	
	11/09/07	03/31/10	45.000	1,115.43	1,406.39	(290.96)	
SCRIPPS NETWORKS INTERAC CL A	12/29/08	03/22/10	42.000	1,755.76	860.86	894.90	
	02/04/09	03/22/10	13.000	543.45	274.24	269.21	
SIGMA ALDRICH CORP DEL	05/03/06	09/29/10	5.000	302.10	171.82	130.28	
	05/17/06	09/29/10	20.000	1,208.40	681.15	527.25	
SMUCKER JM CO COM NEW	07/28/08	02/04/10	10.000	610.52	474.83	135.69	
	10/10/08	02/04/10	15.000	915.79	620.54	295.25	
STAPLES INC	10/04/07	05/04/10	55.000	1,282.20	1,195.64	86.56	
T C F FINANCIAL	02/03/09	10/28/10	115.000	1,525.32	1,413.17	112.15	
TEEKAY CORP	01/25/07	11/12/10	5.000	156.95	240.13	(83.18)	
	03/07/07	11/12/10	45.000	1,412.56	2,245.75	(833.19)	
TJX COS INC NEW	02/14/07	06/02/10	60.000	2,702.69	1,706.39	996.30	
V F CORPORATION	01/07/05	02/05/10	20.000	1,415.62	1,065.98	349.64	
	10/31/07	02/05/10	5.000	353.91	430.89	(76.98)	
	10/31/07	03/03/10	30.000	2,331.96	2,585.32	(253.36)	
	01/18/08	04/12/10	40.000	3,366.09	2,717.38	648.71	
	04/11/08	04/12/10	5.000	420.76	382.60	38.16	
VCA ANTECH INC	04/03/08	02/04/10	15.000	374.56	415.43	(40.87)	
	04/22/08	02/04/10	40.000	998.83	1,122.38	(123.55)	
VENTAS INC	11/19/08	04/07/10	35.000	1,682.09	755.24	926.85	
	11/19/08	06/17/10	30.000	1,489.28	647.35	841.93	
	01/23/09	06/17/10	5.000	248.21	139.87	108.34	
VORNADO REALTY TR S B I	09/21/05	09/09/10	20.000	1,714.23	1,700.63	13.60	
VULCAN MATERIALS CO	10/31/07	09/21/10	25.000	939.90	2,130.34	(1,190.44)	
	03/27/08	09/21/10	30.000	1,127.87	2,058.88	(931.01)	
WABCO HLDGS INC	08/02/07	07/21/10	50.000	1,842.13	2,403.80	(561.67)	
	08/02/07	11/02/10	5.000	244.68	240.38	4.30	
	08/10/07	11/02/10	35.000	1,712.76	1,565.26	147.50	
WASHINGTON POST COMPANY CL B	03/14/07	11/02/10	9.000	3,620.96	6,749.04	(3,128.08)	
WINDSTREAM CORP	11/14/06	01/27/10	115.000	1,193.14	1,572.21	(379.07)	
	12/07/06	01/27/10	30.000	311.26	424.48	(113.22)	
YUM BRANDS INC	12/18/09	08/03/10	35.000	1,461.55	1,205.47	256.08	

Activity

Access Active Assets Account 619-080959-061 THEODORE AND CASHMERE M MENDICK FOUNDATION INCORPORATED

INTERESTED PARTY COPY

	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)
Net Realized Gain/(Loss) This Period	$17,583.19	$20,350.19	$(2,767.00) 12
Net Realized Gain/(Loss) Year to Date	$215,021.98	$205,527.33	$9,494.65

Gain/loss information is provided for informational purposes only and should not be used for tax preparation. Please refer to the gain/loss section of the disclosures for important information about gain/loss reporting.

From January through September, realized gain/loss information will be shown only for trades settling in the current month, and/or certain adjustments (to previously closed lots) made in the current month. From October through December, in order to provide information for year-end investment planning, complete year to date realized gain/loss detail will be displayed for trades settling through the last business day of the year.

011431 MSDDT125 037301

MorganStanley SmithBarney

Activity

Access Active Assets Account 619-080959-061

THEODORE AND CASHMERE M. MENDICK FOUNDATION INCORPORATED

INTERESTED PARTY COPY

MONEY MARKET FUND (MMF) AND BANK DEPOSITS ACTIVITY (CONTINUED)

Date	Activity Type	Description	Credits/(Debits)
10/14	Automatic Investment	BANK DEPOSIT PROGRAM	3,918.95
10/15	Automatic Redemption	BANK DEPOSIT PROGRAM	(1,174.92)
10/18	Automatic Investment	BANK DEPOSIT PROGRAM	4,336.43
10/21	Automatic Investment	BANK DEPOSIT PROGRAM	136.60
10/22	Automatic Redemption	BANK DEPOSIT PROGRAM	(3,818.93)
10/25	Automatic Redemption	BANK DEPOSIT PROGRAM	(1,315.92)
10/26	Automatic Investment	BANK DEPOSIT PROGRAM	23.40
10/28	Automatic Investment	BANK DEPOSIT PROGRAM	5.86
10/28	Automatic Redemption	BANK DEPOSIT PROGRAM	(1,977.23)
10/29	Automatic Redemption	BANK DEPOSIT PROGRAM	(2,895.60)
NET ACTIVITY FOR PERIOD			$(704.35)

UNSETTLED TRADE ACTIVITY

Transaction Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Pending Credits/(Debits)
10/28	11/2	Sold	T C F FINANCIAL	UNSETTLED SALE	115.000	$13.2639	$1,525.32
10/28	11/2	Bought	PEOPLE'S UNITED FINANCIAL INC.	UNSETTLED PURCHASE	120.000	12.3427	(1,481.12)
10/28	11/2	Bought	SHERWIN WILLIAMS COMPANY OHIO	UNSETTLED PURCHASE	20.000	72.3143	(1,446.29)
10/29	11/3	Bought	GAP INC	UNSETTLED PURCHASE	115.000	19.1646	(2,203.93)

Unsettled Trades are shown for informational purposes only and will be included in totals when the transactions settle.

REALIZED GAIN/(LOSS) DETAIL

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
AIRGAS INC	06/16/09	02/05/10	35.000	$2,131.62	$1,394.90	$736.72	
	06/25/09	02/05/10	35.000	2,131.62	1,421.35	710.27	
	07/08/09	02/05/10	15.000	913.55	571.08	342.47	
	07/08/09	07/13/10	15.000	973.90	571.08	402.82	
	08/14/09	07/13/10	40.000	2,597.06	1,805.07	791.99	
ALBEMARLE CORPORATION	05/02/05	04/07/10	45.000	1,967.58	818.37	1,149.21	
	05/02/05	09/29/10	40.000	1,824.56	727.44	1,097.12	
AMERICAN ELECTRIC POWER CO	09/21/05	01/26/10	90.000	3,205.55	3,518.91	(313.36)	

CONTINUED

Security Mark at Right

29

30

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
	09/21/05	01/28/10	10.000	353.53	390.99	(37.46)	
	11/02/06	01/28/10	35.000	1,237.37	1,442.50	(205.13)	
	11/02/06	02/01/10	15.000	517.22	618.21	(100.99)	
	01/16/07	02/01/10	35.000	1,206.86	1,478.03	(271.17)	
	01/16/07	02/08/10	45.000	1,485.20	1,900.32	(415.12)	
	01/16/07	03/05/10	20.000	678.98	844.59	(165.61)	
	02/14/07	03/05/10	50.000	1,697.46	2,311.39	(613.93)	
	12/05/07	03/05/10	5.000	169.75	245.49	(75.74)	
	12/05/07	03/12/10	25.000	853.12	1,227.43	(374.31)	
	09/08/08	03/12/10	25.000	853.12	962.76	(109.64)	
	09/08/08	03/22/10	15.000	514.70	577.65	(62.95)	
	09/23/08	03/22/10	70.000	2,401.93	2,598.52	(196.59)	
AMERICAN WATER WORKS CO	04/24/08	08/30/10	80.000	1,810.42	1,654.78	155.64	
AMPHENOL CORP NEW CL A	11/14/05	09/30/10	50.000	2,485.99	991.58	1,494.41	
ASSURANT INC	04/04/07	06/24/10	35.000	1,258.83	1,954.84	(696.01)	
	09/23/08	06/24/10	40.000	1,438.67	1,977.03	(538.36)	
	10/31/08	06/24/10	30.000	1,079.00	746.03	332.97	
AUTONATION INC	12/16/04	05/27/10	26.000	511.25	476.84	34.41	
	11/14/06	05/27/10	74.000	1,455.11	1,495.85	(40.74)	
	11/14/06	07/06/10	56.000	1,032.74	1,132.00	(99.26)	
	10/21/08	07/06/10	80.000	1,475.34	575.76	899.58	
AUTOZONE INC	03/15/05	06/21/10	10.000	1,955.28	863.68	1,091.60	
	03/15/05	08/03/10	10.000	2,069.76	863.68	1,206.08	
	03/15/05	09/14/10	2.000	437.76	172.74	265.02	
	01/04/08	09/14/10	3.000	656.64	332.63	324.01	
AVAGO TECH	10/07/09	04/22/10	85.000	1,797.29	1,368.91	428.38	
	10/07/09	07/23/10	40.000	914.78	644.20	270.58	
	10/29/09	07/23/10	135.000	3,087.40	2,016.90	1,070.50	
BROOKFIELD PPTYS CP	12/16/04	01/04/10	185.000	2,292.68	2,995.29	(702.61)	
CABLEVISION SYSTEMS CORP	01/05/06	06/29/10	70.000	1,674.02	1,377.85	296.17	
	02/07/07	06/29/10	25.000	597.87	613.03	(15.16)	
CARLISLE CO INC	12/16/04	01/05/10	40.000	1,403.04	1,271.81	131.23	
	12/16/04	02/26/10	85.000	2,935.97	2,702.59	233.38	
	12/16/04	02/26/10	7.000	241.49	222.57	18.92	
CINCINNATI FINANCIAL OHIO	04/25/06	04/22/10	15.000	443.15	625.32	(182.17)	
CLOROX CO DE	07/07/05	08/04/10	20.000	1,300.34	1,099.23	201.11	

CONTINUED

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
	07/26/05	08/04/10	20.000	1,300.34	1,100.90	199.44	
CULLEN FROST BANKERS INC	12/16/04	04/08/10	10.000	569.47	472.70	96.77	
	04/29/05	04/08/10	20.000	1,138.93	863.00	275.93	
DARDEN RESTAURANTS	08/17/09	03/31/10	45.000	1,998.18	1,404.07	594.11	
DOLLAR GEN CORP NEW COM	12/08/09	01/07/10	75.000	1,777.57	1,753.31	24.26	
	12/08/09	04/05/10	60.000	1,534.00	1,402.65	131.35	
FORTUNE BRANDS INC	11/07/05	09/30/10	5.000	246.65	403.08	(156.43)	
	04/26/06	09/30/10	30.000	1,479.93	2,427.93	(948.00)	
	08/02/06	10/08/10	25.000	1,400.34	1,823.14	(422.80)	
	10/06/06	10/08/10	15.000	840.20	1,120.11	(279.91)	
H & R BLOCK INC	12/26/08	07/08/10	100.000	1,433.69	2,185.57	(751.88)	
	02/19/09	07/08/10	20.000	286.74	424.41	(137.67)	
	02/19/09	07/28/10	50.000	778.51	1,061.02	(282.51)	
	04/02/09	07/28/10	65.000	1,012.07	1,175.33	(163.26)	
KEYCORP NEW	08/28/09	04/21/10	250.000	2,228.26	1,647.03	581.23	
	08/28/09	07/14/10	105.000	859.41	691.75	167.66	
	02/04/10	07/14/10	245.000	2,005.29	1,725.78	279.51	
KIMCO REALTY CORP MD	12/05/08	05/11/10	110.000	1,762.55	1,713.99	48.56	
	01/22/09	05/11/10	50.000	801.16	754.58	46.58	
	01/22/09	05/18/10	40.000	610.74	603.67	7.07	
	03/02/09	05/18/10	103.000	1,572.66	802.09	770.57	
	03/02/09	09/10/10	42.000	652.36	327.06	325 30	
	04/30/09	09/10/10	48.000	745.55	570.55	175.00	
KINDER MORGAN MGMT LLC	10/23/07	02/12/10		53.47	0.00	53.47	Cash in Lieu
	10/23/07	05/04/10	25.000	1,432.22	1,081.17	351.05	
	10/23/07	05/14/10		51.59	0.00	51.59	Cash in Lieu
	10/23/07	08/13/10		36.36	0.00	36.36	Cash in Lieu
	10/23/07	09/17/10	44.000	2,664.81	1,863.63	801.18	
LEXMARK INTL INC NEW A	08/11/09	02/03/10	45.000	1,431.14	752.19	678.95	
	08/11/09	02/19/10	40.000	1,361.55	668.61	692.94	
	09/16/09	02/19/10	20.000	680.77	434.37	246.40	
	09/16/09	03/09/10	25.000	879.93	542.97	336.96	
	10/07/09	03/09/10	55.000	1,935.86	1,184.31	751.55	
LINCARE HLDGS INC	06/28/07	04/22/10	50.000	2,382.73	2,021.13	361.60	
LORILLARD INC	02/28/08	08/03/10	10.000	764.30	760.60	3.70	
	03/18/08	08/03/10	5.000	382.15	365.13	17.02	

CONTINUED

31

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
M&T BANK CORP	05/04/07	03/16/10	1.000	80.32	113.38	(33.06)	
	05/30/07	03/16/10	15.000	1,204.81	1,666 05	(461.24)	
	07/30/07	03/16/10	14.000	1,124.49	1,480.17	(355.68)	
	07/30/07	04/08/10	6.000	498.83	634.36	(135.53)	
	01/04/08	04/08/10	24.000	1,995.34	1,830.38	164.96	
	01/04/08	06/18/10	16.000	1,448.81	1,220.26	228.55	
	03/27/08	06/18/10	39.000	3,531.48	3,292.44	239.04	
MADISON SQUARE GARDEN INC CL A	01/05/06	02/09/10		9.96	0.00	9.96	Cash in Lieu
	01/05/06	04/19/10	18.000	391.38	308.03	83.35	
	02/07/07	04/19/10	17.000	369.64	383.73	(14.09)	
	05/30/07	04/19/10	17.000	369.64	462.09	(92.45)	
	01/07/08	04/19/10	15.000	326.15	257.63	68.52	
MC CORMICK AND CO NON VOTING	09/09/09	10/12/10	45.000	1,890.58	1,504.02	386.56	
	X 08/03/10	10/12/10	45.000	1,890.58	1,787.56	103.02 X	
NORFOLK SOUTHERN CORP	07/07/09	03/11/10	35.000	1,897.69	1,273.71	623.98	
	07/29/09	03/11/10	30.000	1,626.59	1,268.53	358.06	
OLD REPUBLIC INTL CP	12/16/04	04/12/10	250.000	3,351.12	4,917.12	(1,566.00)	
	12/16/04	04/13/10	10.000	133.99	196.68	(62.69)	
	12/16/04	06/28/10	105.000	1,344.69	2,065.19	(720.50)	
	12/16/04	07/30/10	236.000	2,922.03	4,641.76	(1,719.73)	
	07/02/07	07/30/10	34.000	420.97	732.84	(311.87)	
ONEBEACON INS GP LTD CL A	02/06/07	02/18/10	180.000	2,643.10	4,814.83	(2,171.73)	
	04/04/07	02/18/10	5.000	73.42	125.00	(51.58)	
	04/04/07	02/22/10	50.000	750.83	1,250.00	(499.17)	
PG&E CORPORATION	02/07/06	05/12/10	20.000	884.13	743.04	141.09	
	01/24/07	05/12/10	40.000	1,768.26	1,858.81	(90.55)	
	01/24/07	06/02/10	60.000	2,441.77	2,788.21	(346.44)	
	01/24/07	06/09/10	20.000	803.31	929.40	(126.09)	
	06/26/07	06/09/10	20.000	803.31	917.79	(114.48)	
	06/26/07	06/11/10	20.000	822.30	917.79	(95.49)	
	07/02/08	06/11/10	25.000	1,027.88	998.06	29.82	
	07/02/08	06/14/10	15.000	625.52	598.83	26.69	
	03/02/09	06/14/10	25.000	1,042.53	950.86	91.67	
PRECISION CASTPARTS CORP	06/12/08	07/27/10	10.000	1,208.30	1,043.76	164.54	
	06/12/08	08/03/10	10.000	1,238.13	1,043.76	194.37	
	06/27/08	09/20/10	20.000	2,577.30	1,948.42	628.88	

CONTINUED

013706 MSDDT036 035507

MorganStanley SmithBarney

Activity

Access Active Assets Account
619-080959-061

THEODORE AND CASHMERE M MENDICK
FOUNDATION INCORPORATED

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
	06/27/08	09/27/10	5.000	644.24	487.11	157.13	
	07/25/08	09/27/10	11.000	1,417.34	1,032.77	384.57	
	07/25/08	10/07/10	14.000	1,828.84	1,314.44	514.40	
	10/10/08	10/07/10	16.000	2,090.11	870.44	1,219.67	
	10/10/08	10/12/10	9.000	1,170.43	489.63	680.80	
	09/14/09	10/12/10	10.000	1,300.48	959.81	340.67	
PRINCIPAL FINL GROUP INC	03/31/06	04/01/10	30.000	880.20	1,474.93	(594.73)	
	04/25/06	04/01/10	40.000	1,173.60	2,021.38	(847.78)	
PUBLIC STORAGE	03/03/08	09/09/10	11.000	1,126.63	903.25	223.38	
	11/18/08	09/09/10	4.000	409.68	260.30	149.38	
REPUBLIC SERVICES INC	12/16/04	08/03/10	55.000	1,772.65	1,209.91	562.74	
SAFEWAY INC COM NEW	10/25/07	03/03/10	65.000	1,606.85	2,133.05	(526.20)	
	10/31/07	03/03/10	20.000	494.42	669.68	(175.26)	
	10/31/07	03/31/10	45.000	1,115.43	1,506.79	(391.36)	
	11/09/07	03/31/10	45.000	1,115.43	1,406.39	(290.96)	
SCRIPPS NETWORKS INTERAC CL A	12/29/08	03/22/10	42.000	1,755.76	860.86	894.90	
	02/04/09	03/22/10	13.000	543.45	274.24	269.21	
SIGMA ALDRICH CORP DEL	05/03/06	09/29/10	5.000	302.10	171.82	130.28	
	05/17/06	09/29/10	20.000	1,208.40	681.15	527.25	
SMUCKER JM CO COM NEW	07/28/08	02/04/10	10.000	610.52	474.83	135.69	
	10/10/08	02/04/10	15.000	915.79	620.54	295.25	
STAPLES INC	10/04/07	05/04/10	55.000	1,282.20	1,195.64	86.56	
TJX COS INC NEW	02/14/07	06/02/10	60.000	2,702.69	1,706.39	996.30	
V F CORPORATION	01/07/05	02/05/10	20.000	1,415.62	1,065.98	349.64	
	10/31/07	02/05/10	5.000	353.91	430.89	(76.98)	
	10/31/07	03/03/10	30.000	2,331.96	2,585.32	(253.36)	
	01/18/08	04/12/10	40.000	3,366.09	2,717.38	648.71	
	04/11/08	04/12/10	5.000	420.76	382.60	38.16	
VCA ANTECH INC	04/03/08	02/04/10	15.000	374.56	415.43	(40.87)	
	04/22/08	02/04/10	40.000	998.83	1,122.38	(123.55)	
VENTAS INC	11/19/08	04/07/10	35.000	1,682.09	755.24	926.85	
	11/19/08	06/17/10	30.000	1,489.28	647.35	841.93	
	01/23/09	06/17/10	5.000	248.21	139.87	108.34	
VORNADO REALTY TR S B I	09/21/05	09/09/10	20.000	1,714.23	1,700.63	13.60	
VULCAN MATERIALS CO	10/31/07	09/21/10	25.000	939.90	2,130.34	(1,190.44)	
	03/27/08	09/21/10	30.000	1,127.87	2,058.88	(931.01)	

CONTINUED

Security Mark at Right

33

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
WABCO HLDGS INC	08/02/07	07/21/10	50.000	1,842.13	2,403.80	(561.67)	
WINDSTREAM CORP	11/14/06	01/27/10	115.000	1,193.14	1,572.21	(379.07)	
	12/07/06	01/27/10	30.000	311.26	424.48	(113.22)	
YUM BRANDS INC	12/18/09	08/03/10	35.000	1,461.55	1,205.47	256.08	
Net Realized Gain/(Loss) This Period				**$19,959.19**	**$15,272.15**	**$4,687.04**	13
Net Realized Gain/(Loss) Year to Date				**$197,438.79**	**$185,177.14**	**$12,261.65**	

Gain/loss information is provided for informational purposes only and should not be used for tax preparation. Please refer to the gain/loss section of the disclosures for important information about gain/loss reporting.

From January through September, realized gain/loss information will be shown only for trades settling in the current month, and/or certain adjustments (to previously closed lots) made in the current month. From October through December, in order to provide information for year-end investment planning, complete year to date realized gain/loss detail will be displayed for trades settling through the last business day of the year.

013706 MSDDT036 035508

MorganStanley SmithBarney

Activity

Access Active Assets Account
619-080959-061

THEODORE AND CASHMERE M MENDICK
FOUNDATION INCORPORATED

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
AMERICAN WATER WORKS CO	04/24/08	08/30/10	80.000	$1,810.42	$1,654.78	$155.64	
AUTOZONE INC	03/15/05	09/14/10	2.000	437.76	172.74	265.02	
	01/04/08	09/14/10	3.000	656.64	332.63	324.01	
KIMCO REALTY CORP MD	03/02/09	09/10/10	42.000	652.36	327.06	325.30	
	04/30/09	09/10/10	48.000	745.55	570.55	175.00	
KINDER MORGAN MGMT LLC	10/23/07	09/17/10	44.000	2,664.81	1,863.63	801 18	
PRECISION CASTPARTS CORP	06/27/08	09/20/10	20.000	2,577.30	1,948.42	628.88	
	06/27/08	09/27/10	5.000	644.24	487.11	157.13	
	07/25/08	09/27/10	11.000	1,417.34	1,032.77	384.57	
PUBLIC STORAGE	03/03/08	09/09/10	11.000	1,126.63	903.25	223.38	
	11/18/08	09/09/10	4.000	409.68	260.30	149.38	
VORNADO REALTY TR S B I	09/21/05	09/09/10	20.000	1,714.23	1,700.63	13.60	
VULCAN MATERIALS CO	10/31/07	09/21/10	25.000	939.90	2,130.34	(1,190.44)	
	03/27/08	09/21/10	30.000	1,127.87	2,058.88	(931.01)	
Net Realized Gain/(Loss) This Period				**$16,924.73**	**$15,443.09**	**$1,481.64**	14
Net Realized Gain/(Loss) Year to Date				**$177,479.60**	**$169,904.99**	**$7,574.61**	

Gain/loss information is provided for informational purposes only and should not be used for tax preparation. Please refer to the gain/loss section of the disclosures for important information about gain/loss reporting.

From January through September, realized gain/loss information will be shown only for trades settling in the current month, and/or certain adjustments (to previously closed lots) made in the current month. From October through December, in order to provide information for year-end investment planning, complete year to date realized gain/loss detail will be displayed for trades settling through the last business day of the year.

017205 MSDDD043 047241

35

36

MorganStanley SmithBarney

Activity

Access Active Assets Account
619-080959-061

THEODORE AND CASHMERE M MENDICK FOUNDATION INCORPORATED
INTERESTED PARTY COPY

SECURITY ACTIVITY

CORPORATE ACTIONS

Date	Activity Type	Description	Comments	Quantity
8/13	Stock Dividend	KINDER MORGAN MGMT LLC		3.000

UNSETTLED TRADE ACTIVITY

Transaction Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Pending Inflows/(Outflows)
8/30	9/2	Sold	AMERICAN WATER WORKS CO	UNSETTLED SALE	80.000	$22.6308	$1,810.42

Unsettled Trades are shown for informational purposes only and will be included in totals when the transactions settle.

REALIZED GAIN/(LOSS) DETAIL

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
AUTOZONE INC	03/15/05	08/03/10	10.000	$2,069.76	$863.68	$1,206.08	L
CLOROX CO DE	07/07/05	08/04/10	20.000	1,300.34	1,099.23	201.11	L
	07/26/05	08/04/10	20.000	1,300.34	1,100.90	199.44	L
H & R BLOCK INC	02/19/09	07/28/10	50.000	778.51	1,061.02	(282.51)	L
	04/02/09	07/28/10	65.000	1,012.07	1,175.33	(163.26)	L
KINDER MORGAN MGMT LLC	10/23/07	08/13/10		36.36	0.00	36.36	L Cash in Lieu
LORILLARD INC	02/28/08	08/03/10	10.000	764.30	760.60	3.70	L
	03/18/08	08/03/10	5.000	382.15	365.13	17.02	L
OLD REPUBLIC INTL CP	12/16/04	07/30/10	236.000	2,922.03	4,641.76	(1,719.73)	L
	07/02/07	07/30/10	34.000	420.97	732.84	(311.87)	L
PRECISION CASTPARTS CORP	06/12/08	08/03/10	10.000	1,238.13	1,043.76	194.37	L
REPUBLIC SERVICES INC	12/16/04	08/03/10	55.000	1,772.65	1,209.91	562.74	L
YUM BRANDS INC	12/18/09	08/03/10	35.000	1,461.55	1,205.47	256.08	S

Security Mark at Right

MSSB_STMT (REV 07/10)

Activity

Access Active Assets Account 619-080959-061
THEODORE AND CASHMERE M MENDICK FOUNDATION INCORPORATED

INTERESTED PARTY COPY

	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)
Net Realized Gain/(Loss) This Period	$15,459.16	$15,259.63	$199.53 15
Net Realized Gain/(Loss) Year to Date	$160,554.87	$154,461.90	$6,092.97

Gain/loss information is provided for informational purposes only and should not be used for tax preparation. Please refer to the gain/loss section of the disclosures for important information about gain/loss reporting.

From January through September, realized gain/loss information will be shown only for trades settling in the current month, and/or certain adjustments (to previously closed lots) made in the current month. From October through December, in order to provide information for year-end investment planning, complete year to date realized gain/loss detail will be displayed for trades settling through the last business day of the year.

026619 MSDDD035 036652

MorganStanley SmithBarney Acc# 7015

Activity

Active Assets Account
619-077015-061

MANNING & NAPIER ADVISORS INC A/C
MENDICK FOUNDATION

INTERESTED PARTY COPY

MONEY MARKET FUND (MMF) AND BANK DEPOSITS ACTIVITY (CONTINUED)

Date	Activity Type	Description	Credits/(Debits)
7/12	Automatic Investment	BANK DEPOSIT PROGRAM	5,706.61
7/13	Automatic Redemption	BANK DEPOSIT PROGRAM	(13,275.36)
5	Automatic Investment	BANK DEPOSIT PROGRAM	380.05
7/18	Automatic Redemption	BANK DEPOSIT PROGRAM	(721.72)
7/19	Automatic Redemption	BANK DEPOSIT PROGRAM	(7,548.93)
7/20	Automatic Redemption	BANK DEPOSIT PROGRAM	(11,110.40)
7/22	Automatic Investment	BANK DEPOSIT PROGRAM	27.60
7/28	Automatic Investment	BANK DEPOSIT PROGRAM	51,343.13
7/28	Automatic Investment	BANK DEPOSIT PROGRAM	3.31
7/29	Automatic Redemption	BANK DEPOSIT PROGRAM	(22,744.11)
NET ACTIVITY FOR PERIOD			$2,592.18

REALIZED GAIN/(LOSS) DETAIL

LONG-TERM GAIN/(LOSS)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
FEDEX CORP	06/28/10	07/06/11	60.000	$5,706.61	$4,552.03	$1,154.58	16
KEY BANK NA TLGP 3.200 6-15-12	12/29/08	07/14/11	8,000.000	8,196.24	8,110.63	85.61	
TSY NOTE 2 1/8 5-31-15	06/24/10	07/14/11	50,000.000	51,929.00	50,453.85	1,475.15	17
Long-Term This Period				$65,831.85	$63,116.51	$2,715.34	
Long-Term Year to Date				$322,117.73	$274,742.30	$47,375.43	

SHORT-TERM GAIN/(LOSS)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
US TSY NOTE 1 3/8 11-30-15	12/28/10	07/14/11	56,000.000	56,129.47	54,339.26	1,790.21	
US TSY NOTE 2 000 4-30-16	05/26/11	07/26/11	50,000.000	51,021.50	50,931.73	89.77	
Short-Term This Period				$107,150.97	$105,270.99	$1,879.98	18
Short-Term Year to Date				$193,431.62	$184,045.71	$9,385.91	

017991 MSGDT058 007315

39

Activity

Active Assets Account
619-077015-061

MANNING & NAPIER ADVISORS INC A/C
MENDICK FOUNDATION

INTERESTED PARTY COPY

MONEY MARKET FUND (MMF) AND BANK DEPOSITS ACTIVITY

Date	Activity Type	Description	Credits/(Debits)
6/1	Automatic Investment	BANK DEPOSIT PROGRAM	$1,181.88
6/2	Automatic Investment	BANK DEPOSIT PROGRAM	195.70
6/6	Automatic Investment	BANK DEPOSIT PROGRAM	134.40
6/8	Automatic Investment	BANK DEPOSIT PROGRAM	816.91
6/9	Automatic Redemption	BANK DEPOSIT PROGRAM	(17,241.07)
6/13	Automatic Investment	BANK DEPOSIT PROGRAM	319.00
6/16	Automatic Investment	BANK DEPOSIT PROGRAM	9,868.48
6/17	Automatic Redemption	BANK DEPOSIT PROGRAM	(12,139.89)
6/20	Automatic Redemption	BANK DEPOSIT PROGRAM	(1,642.25)
6/22	Automatic Investment	BANK DEPOSIT PROGRAM	42,015.83
6/23	Automatic Investment	BANK DEPOSIT PROGRAM	8.71
6/27	Automatic Investment	BANK DEPOSIT PROGRAM	124.70
6/29	Automatic Investment	BANK DEPOSIT PROGRAM	2.64
6/30	Automatic Redemption	BANK DEPOSIT PROGRAM	(5,250.11)
NET ACTIVITY FOR PERIOD			**$18,394.93**

SECURITY ACTIVITY

CORPORATE ACTIONS

Date	Activity Type	Description	Comments	Quantity
6/24	Stock Dividend	CERNER CORP		230.000

REALIZED GAIN/(LOSS) DETAIL

LONG-TERM GAIN/(LOSS)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
JUNIPER NETWORKS	04/01/08	06/10/11	115.000	$3,578.54	$2,955.14	$623.40	19
US TSY NOTE 2 5/8 12-31-14	01/27/10	06/20/11	25,000.000	26,345.25	25,133.20	1,212.05	20
Long-Term This Period				**$29,923.79**	**$28,088.34**	**$1,835.45**	

CONTINUED

42

REALIZED GAIN/(LOSS) DETAIL

LONG-TERM GAIN/(LOSS) (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
Long-Term Year to Date				$256,285.88	$211,625.79	$44,660.09	

SHORT-TERM GAIN/(LOSS)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
JUNIPER NETWORKS	04/18/11	06/10/11	180.000	5,601.20	6,960.06	(1,358.86)	2-1
US TSY NOTE 2 1/8 5-31-15	06/24/10	06/20/11	25,000.000	25,844.75	25,230.63	614.12	2-2
Short-Term This Period				$31,445.95	$32,190.69	$(744.74)	
Short-Term Year to Date				$86,280.65	$78,774.72	$7,505.93	
Net Realized Gain/(Loss) This Period				$61,369.74	$60,279.03	$1,090.71	
Net Realized Gain/(Loss) Year to Date				$342,566.53	$290,400.51	$52,166.02	

New Treasury regulations require that we report your adjusted cost basis and classify the gain or loss as either long-term or short-term on the sale of covered securities acquired on or after January 1, 2011. These regulations also require that we make basis adjustments due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. This section may not reflect all of the basis adjustments we are required to make for tax reporting purposes. The information in this section is provided for informational purposes only and should not be used in the preparation of your income tax returns. Please refer to the Disclosures section of this statement for additional information.

MorganStanley
SmithBarney

CLIENT STATEMENT | For the Period May 1-31, 2011

Activity

Active Assets Account
619-077015-061

MANNING & NAPIER ADVISORS INC A/C
MENDICK FOUNDATION
INTERESTED PARTY COPY

MONEY MARKET FUND (MMF) AND BANK DEPOSITS ACTIVITY

Date	Activity Type	Description	Credits/(Debits)
5/2	Automatic Investment	BANK DEPOSIT PROGRAM	$126.00
5/3	Automatic Investment	BANK DEPOSIT PROGRAM	546.74
5/4	Automatic Investment	BANK DEPOSIT PROGRAM	8,932.15
5/5	Automatic Investment	BANK DEPOSIT PROGRAM	11,020.18
5/6	Automatic Investment	BANK DEPOSIT PROGRAM	9,662.74
5/10	Automatic Investment	BANK DEPOSIT PROGRAM	15.00
5/11	Automatic Investment	BANK DEPOSIT PROGRAM	208.20
5/12	Automatic Redemption	BANK DEPOSIT PROGRAM	(15,506.37)
5/17	Automatic Investment	BANK DEPOSIT PROGRAM	515.00
5/20	Automatic Investment	BANK DEPOSIT PROGRAM	12,461.78
5/23	Automatic Investment	BANK DEPOSIT PROGRAM	157.80
5/24	Automatic Investment	BANK DEPOSIT PROGRAM	17,404.16
5/26	Automatic Redemption	BANK DEPOSIT PROGRAM	(2,553.70)
5/27	Automatic Investment	BANK DEPOSIT PROGRAM	8.26
5/27	Automatic Redemption	BANK DEPOSIT PROGRAM	(85,160.45)
NET ACTIVITY FOR PERIOD			**$(42,162.51)**

REALIZED GAIN/(LOSS) DETAIL

LONG-TERM GAIN/(LOSS)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
AMERICAN EXPRESS CO	02/13/09	05/16/11	40.000	$1,993.88	$653.91	$1,339.97	
	06/23/09	05/16/11	210.000	10,467.90	4,797.84	5,670.06	
AUTOMATIC DATA PROCESSING INC	01/23/08	05/18/11	230.000	12,419.23	8,850.87	3,568.36	
	03/04/09	05/18/11	230.000	12,419.23	7,749.61	4,669.62	
	05/07/09	05/18/11	[illegible]	4,319.73	2,995.80	1,323.93	
JUNIPER NETWORKS	04/01/08	04/29/11	290.000	11,020.18	7,452.10	3,568.08	
UNITED PARCEL SERVICE INC CL-B	03/05/07	05/02/11	130.000	9,662.74	9,123.61	539.13	
Long-Term This Period				**$62,302.89**	**$41,623.74**	**$20,679.15**	23
Long-Term Year to Date				**$226,362.09**	**$183,537.45**	**$42,824.64**	

44

MorganStanley
SmithBarney

Activity

Active Assets Account
619-077015-061

MANNING & NAPIER ADVISORS INC A/C
MENDICK FOUNDATION

INTERESTED PARTY COPY

SHORT-TERM GAIN/(LOSS)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
FEDEX CORP	06/28/10	04/28/11	90.000	8,465.06	6,828.05	1,637.01	
Short-Term This Period				$8,465.06	$6,828.05	$1,637.01	24
Short-Term Year to Date				$54,834.70	$46,584.03	$8,250.67	
Net Realized Gain/(Loss) This Period				$70,767.95	$48,451.79	$22,316.16	
Net Realized Gain/(Loss) Year to Date				$281,196.79	$230,121.48	$51,075.31	

New Treasury regulations require that we report your adjusted cost basis and classify the gain or loss as either long-term or short-term on the sale of covered securities acquired on or after January 1, 2011. These regulations also require that we make basis adjustments due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. This section may not reflect all of the basis adjustments we are required to make for tax reporting purposes. The information in this section is provided for informational purposes only and should not be used in the preparation of your income tax returns. Please refer to the Disclosures section of this statement for additional information.

Security Mark at Right

MSSB_STMT (REV 07/10)

MorganStanley SmithBarney

Activity

Active Assets Account
619-077015-061

MANNING & NAPIER ADVISORS INC A/C
MENDICK FOUNDATION

INTERESTED PARTY COPY

MONEY MARKET FUND (MMF) AND BANK DEPOSITS ACTIVITY (CONTINUED)

Date	Activity Type	Description	Credits/(Debits)
4/18	Automatic Investment	BANK DEPOSIT PROGRAM	26,698.73
4/21	Automatic Redemption	BANK DEPOSIT PROGRAM	(6,877.56)
4/26	Automatic Investment	BANK DEPOSIT PROGRAM	531.77
4/28	Automatic Investment	BANK DEPOSIT PROGRAM	5.62
NET ACTIVITY FOR PERIOD			$13,071.47

UNSETTLED TRADE ACTIVITY

Transaction Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Pending Credits/(Debits)
4/28	5/3	Sold	FEDEX CORP	UNSETTLED SALE	90.000	$95.2359	$8,465.06
4/29	5/4	Sold	JUNIPER NETWORKS	UNSETTLED SALE	290.000	38.3669	11,020.18

Unsettled Trades are shown for informational purposes only and will be included in totals when the transactions settle.

REALIZED GAIN/(LOSS) DETAIL

LONG-TERM

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
BAKER HUGHES INC	07/09/01	04/11/11	170.000	$11,684.63	$5,578.46	$6,106.17	
SCHLUMBERGER LTD	01/28/10	04/11/11	110.000	9,653.99	7,175.61	2,478.38	
WEATHERFORD INTERNATIONAL LTD	08/07/02	04/12/11	60.000	1,246.24	548.51	697.73	
	06/08/06	04/12/11	250.000	5,192.65	6,129.00	(936.35)	
	08/28/06	04/12/11	120.000	2,492.47	2,739.06	(246.59)	
	10/02/06	04/12/11	10.000	207.71	211.37	(3.66)	
Long-Term This Period				$30,477.69	$22,382.01	$8,095.68	25
Long-Term Year to Date				$164,059.20	$141,913.71	$22,145.49	

SHORT-TERM

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
HESS CORPORATION	06/17/09	04/12/11	220.000	17,475.07	12,027.68	5,447.39	D

CONTINUED

45

96

CLIENT STATEMENT | For the Period April 1-30, 2011

Active Assets Account
619-077015-061

MANNING & NAPIER ADVISORS INC A/C
MENDICK FOUNDATION

INTERESTED PARTY COPY

Activity

REALIZED GAIN/(LOSS) DETAIL

SHORT-TERM (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
Short-Term This Period				$17,475.07	$12,027.68	$5,447.39	26
Short-Term Year to Date				$46,369.64	$39,755.98	$6,613.66	
Net Realized Gain/(Loss) This Period				$47,952.76	$34,409.69	$13,543.07	
Net Realized Gain/(Loss) Year to Date				$210,428.84	$181,669.69	$28,759.15	

New Treasury regulations require that we report your adjusted cost basis and classify the gain or loss as either long-term or short-term on the sale of covered securities acquired on or after January 1, 2011. These regulations also require that we make basis adjustments due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. This section may not reflect all of the basis adjustments we are required to make for tax reporting purposes. The information in this section is provided for informational purposes only and should not be used in the preparation of your income tax returns. Please refer to the Disclosures section of this statement for additional information.

See the end of the Holdings for definitions of all lettered and numbered footnotes.

Security Mark at Right

MONEY MARKET FUND (MMF) AND BANK DEPOSITS ACTIVITY (CONTINUED)

Date	Activity Type	Description	Credits/(Debits)
3/2	Automatic Investment	BANK DEPOSIT PROGRAM	123.00
3/3	Automatic Investment	BANK DEPOSIT PROGRAM	189.80
3/4	Automatic Redemption	BANK DEPOSIT PROGRAM	(10,329.70)
3/7	Automatic Investment	BANK DEPOSIT PROGRAM	134.40
3/10	Automatic Redemption	BANK DEPOSIT PROGRAM	(22,820.00)
3/11	Automatic Investment	BANK DEPOSIT PROGRAM	32.50
3/16	Automatic Investment	BANK DEPOSIT PROGRAM	589.00
3/17	Automatic Investment	BANK DEPOSIT PROGRAM	210.28
3/22	Automatic Redemption	BANK DEPOSIT PROGRAM	(12,596.73)
3/25	Automatic Investment	BANK DEPOSIT PROGRAM	8.71
3/28	Automatic Investment	BANK DEPOSIT PROGRAM	32,406.59
3/29	Automatic Investment	BANK DEPOSIT PROGRAM	1,031.25
3/30	Automatic Investment	BANK DEPOSIT PROGRAM	5.80
NET ACTIVITY FOR PERIOD			$(23,799.17)

UNSETTLED TRADE ACTIVITY

Transaction Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Pending Credits/(Debits)
3/30	4/4	Bought	ERICSSON LM TEL ADR CL B NEW	UNSETTLED PURCHASE	1,810.000	$12.8000	$(23,300.70)

Unsettled Trades are shown for informational purposes only and will be included in totals when the transactions settle.

REALIZED GAIN/(LOSS) DETAIL

LONG-TERM

Security Description		Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
US TSY NOTE	2 5/8 12-31-14	01/27/10	03/24/11	75,000.000	$77,637.75	$75,425.86	$2,211.89	
Long-Term This Period					**$77,637.75**	**$75,425.86**	**$2,211.89**	Z7
Long-Term Year to Date					**$133,581.51**	**$119,531.70**	**$14,049.81**	

+8

CLIENT STATEMENT | For the Period March 1-31, 2011

Active Assets Account
619-077015-061

MANNING & NAPIER ADVISORS INC A/C
MENDICK FOUNDATION

INTERESTED PARTY COPY

Activity

	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)
Net Realized Gain/(Loss) This Period	$77,637.75	$75,425.86	$2,211.89
Net Realized Gain/(Loss) Year to Date	$162,476.08	$147,260.00	$15,216.08

New Treasury regulations require that we report your adjusted cost basis and classify gain or loss as either long-term or short-term on the sale of covered securities acquired on or after January 1, 2011. These regulations also require that we make basis adjustments due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. This section may not reflect all of the basis adjustments we are required to make for tax reporting purposes. The information in this section is provided for informational purposes only and should not be used in the preparation of your income tax returns. Please refer to the Disclosures section of this statement for additional information.

UNSETTLED TRADE ACTIVITY

Transaction Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Pending Credits/(Debits)
2/24	3/1	Bought	NORFOLK SOUTHERN CORP	UNSETTLED PURCHASE	360.000	$63.7435	$(23,053.66)

Unsettled Trades are shown for informational purposes only and will be included in totals when the transactions settle.

REALIZED GAIN/(LOSS) DETAIL

LONG-TERM

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
BECTON DICKINSON & CO	12/05/08	02/18/11	140.000	$11,297.32	$8,704.70	$2,592.62	
	03/11/09	02/18/11	100.000	8,069.52	6,239.01	1,830.51	
HOME DEPOT INC	05/22/08	02/23/11	370.000	13,869.22	10,083.85	3,785.37	
MONSANTO CO/NEW	12/15/08	02/09/11	10.000	740.96	707.41	33.55	
	09/15/09	02/09/11	140.000	10,373.45	10,972.31	(598.86)	
Long-Term This Period				**$44,350.47**	**$36,707.28**	**$7,643.19**	28
Long-Term Year to Date				**$55,943.76**	**$44,105.84**	**$11,837.92**	

SHORT-TERM

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
HOME DEPOT INC	07/14/10	02/23/11	190.000	7,122.03	5,479.30	1,642.73	
MONSANTO CO/NEW	03/30/10	02/09/11	10.000	740.96	730.13	10.83	
Short-Term This Period				**$7,862.99**	**$6,209.43**	**$1,653.56**	29
Short-Term Year to Date				**$28,894.57**	**$27,728.30**	**$1,166.27**	

50

Activity

Active Assets Account
619-077015-061

MANNING & NAPIER ADVISORS INC A/C
MENDICK FOUNDATION

INTERESTED PARTY COPY

	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)
Net Realized Gain/(Loss) This Period	$52,213.46	$42,916.71	$9,296.75
Net Realized Gain/(Loss) Year to Date	$84,838.33	$71,834.14	$13,004.19

New Treasury regulations require that we report your adjusted cost basis and classify gain or loss as either long-term or short-term on the sale of covered securities acquired on or after January 1, 2011. These regulations also require that we make basis adjustments due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. This section may not reflect all of the basis adjustments we are required to make for tax reporting purposes. The information in this section is provided for informational purposes only and should not be used in the preparation of your income tax returns. Please refer to the Disclosures section of this statement for additional information.

MorganStanley SmithBarney

CLIENT STATEMENT | For the Period January 1-31, 2011

Activity

Active Assets Account 619-077015-061

MANNING & NAPIER ADVISORS INC A/C
MENDICK FOUNDATION

INTERESTED PARTY COPY

MONEY MARKET FUND (MMF) AND BANK DEPOSITS ACTIVITY (CONTINUED)

Date	Activity Type	Description	Credits/(Debits)
1/20	Automatic Investment	BANK DEPOSIT PROGRAM	16,794.48
1/28	Automatic Investment	BANK DEPOSIT PROGRAM	6.64
1/28	Automatic Redemption	BANK DEPOSIT PROGRAM	(17,368.08)
1/31	Automatic Investment	BANK DEPOSIT PROGRAM	170.80
NET ACTIVITY FOR PERIOD			**$13,197.12**

REALIZED GAIN/(LOSS) DETAIL

LONG-TERM

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
SHERWIN WILLIAMS COMPANY OHIO	06/22/09	01/13/11	140.000	$11,593.29	$7,398.56	$4,194.73	
Long-Term This Period				**$11,593.29**	**$7,398.56**	**$4,194.73**	30
Long-Term Year to Date				**$11,593.29**	**$7,398.56**	**$4,194.73**	

SHORT-TERM

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
BOSTON SCIENTIFIC CORP	02/12/10	01/07/11	1,510.000	10,813.11	11,199.37	(386.26)	
MARTIN MARIETTA MATERIALS	06/25/10	01/24/11	60.000	5,017.28	5,316.56	(299.28)	
VULCAN MATERIALS CO	08/30/10	01/13/11	130.000	5,201.19	5,002.94	198.25	
Short-Term This Period				**$21,031.58**	**$21,518.87**	**$(487.29)**	31
Short-Term Year to Date				**$21,031.58**	**$21,518.87**	**$(487.29)**	

	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)
Net Realized Gain/(Loss) This Period	$32,624.87	$28,917.43	$3,707.44
Net Realized Gain/(Loss) Year to Date	$32,624.87	$28,917.43	$3,707.44

New Treasury regulations require that we report your adjusted cost basis and classify gain or loss as either long-term or short-term on the sale of covered securities acquired on or after January 1, 2011. These regulations also require that we make basis adjustments due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. This section may not reflect all of the basis adjustments we are required to make for tax reporting purposes. The information in this section is provided for informational purposes only and should not be used in the preparation of your income tax returns. Please refer to the Disclosures section of this statement for additional information.

MONEY MARKET FUND (MMF) AND BANK DEPOSITS ACTIVITY

Date	Activity Type	Description	Credits/(Debits)
12/1	Automatic Investment	BANK DEPOSIT PROGRAM	$796.88
12/2	Automatic Investment	BANK DEPOSIT PROGRAM	245.05
12/6	Automatic Redemption	BANK DEPOSIT PROGRAM	(10,650.49)
12/8	Automatic Investment	BANK DEPOSIT PROGRAM	22.50
12/13	Automatic Investment	BANK DEPOSIT PROGRAM	65.00
12/16	Automatic Investment	BANK DEPOSIT PROGRAM	15,608.91
12/17	Automatic Investment	BANK DEPOSIT PROGRAM	132.30
12/20	Automatic Investment	BANK DEPOSIT PROGRAM	32.40
12/23	Automatic Investment	BANK DEPOSIT PROGRAM	110.20
12/28	Automatic Redemption	BANK DEPOSIT PROGRAM	(42,486.99)
12/29	Automatic Redemption	BANK DEPOSIT PROGRAM	(54,400.61)
12/30	Automatic Investment	BANK DEPOSIT PROGRAM	17.10
12/31	Automatic Redemption	BANK DEPOSIT PROGRAM	(8,099.16)
NET ACTIVITY FOR PERIOD			**$(98,606.91)**

REALIZED GAIN/(LOSS) DETAIL

LONG-TERM

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
AUTODESK INC DELAWARE	04/07/08	10/20/10	340.000	$11,407.19	$11,525.45	$(118.26)	
CARNIVAL CP NEW PAIRED COM	06/23/08	05/27/10	420.000	15,323.32	14,784.58	538.74	
	01/30/09	05/27/10	200.000	7,296.82	3,766.69	3,530.13	
CERNER CORP	11/21/08	10/28/10	120.000	10,291.99	3,698.02	6,593.97	
CISCO SYS INC	01/17/08	08/09/10	275.000	6,717.18	6,868.50	(151.32)	
	04/23/08	08/09/10	135.000	3,297.53	3,429.26	(131.73)	
DEAN FOODS CO (NEW)	08/23/07	05/13/10	80.000	775.80	2,215.15	(1,439.35)	
	08/28/07	05/13/10	140.000	1,357.66	3,917.61	(2,559.95)	
	09/28/07	05/13/10	120.000	1,163.71	3,049.45	(1,885.74)	
	11/11/08	05/13/10	220.000	2,133.46	3,537.39	(1,403.93)	
EMC CORP MASS	07/25/08	04/29/10	610.000	11,878.34	8,584.31	3,294.03	
	09/26/08	04/29/10	210.000	4,089.27	2,684.48	1,404.79	
FORTUNE BRANDS INC	03/10/08	08/26/10	100.000	4,304.09	6,411.60	(2,107.51)	

CONTINUED

MorganStanley
SmithBarney

CLIENT STATEMENT | For the Period December 1-31, 2010

Activity

Active Assets Account
619-077015-061

MANNING & NAPIER ADVISORS INC A/C
MENDICK FOUNDATION

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL

LONG-TERM (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
	10/30/08	08/26/10	70.000	3,012.86	2,579.27	433.59	
	07/17/09	08/26/10	50.000	2,152.05	1,833.17	318.88	
GENZYME CORP	02/23/07	07/26/10	150.000	9,945.61	9,574.88	370.73	
	07/08/09	07/26/10	170.000	11,271.70	9,344.63	1,927.07	
	07/08/09	08/06/10	20.000	1,371.10	1,099.37	271.73	
GOOGLE INC-CL A	10/07/08	08/09/10	10.000	4,939.01	3,496.98	1,442.03	
	10/07/08	09/03/10	10.000	4,595.59	3,496.98	1,098.61	
	10/07/08	10/12/10	30.000	16,087.20	10,490.95	5,596.25	
INTERNATIONAL GAME TECH	08/22/05	03/22/10	110.000	1,798.36	2,987.59	(1,189.23)	
	06/12/07	10/12/10	70.000	1,019.98	2,774.15	(1,754.17)	
	06/09/08	10/12/10	560.000	8,159.81	18,703.10	(10,543.29)	
JOHNSON & JOHNSON	06/25/07	05/21/10	130.000	7,771.64	7,986.50	(214.86)	
	04/23/08	05/21/10	110.000	6,576.01	7,444.78	(868.77)	
	04/23/08	06/14/10	20.000	1,165.50	1,353.60	(188.10)	
	11/06/08	06/14/10	90.000	5,244.73	5,364.10	(119.37)	
LONZA GROUP AG CHF1 ORD	11/06/08	02/12/10	90.000	6,108.31	6,976.50	(868.19)	
LOWES COMPANIES INC	06/11/08	07/13/10	450.000	9,440.94	10,425.50	(984.56)	
MICROSOFT CORP	01/18/08	10/21/10	410.000	10,348.59	13,650.67	(3,302.08)	
	02/14/08	10/21/10	180.000	4,543.28	5,242.45	(699.17)	
	04/15/08	10/21/10	460.000	11,610.62	13,087.45	(1,476.83)	
MILLIPORE CORP	03/05/08	02/23/10	120.000	10,734.17	8,620.11	2,114.06	
	05/01/08	02/23/10	110.000	9,839.66	7,881.93	1,957.73	
MONSANTO CO/NEW	10/08/08	12/21/10	130.000	8,572.77	10,339.88	(1,767.11)	
	12/15/08	12/21/10	100.000	6,594.44	7,074.15	(479.71)	
NESTLE SA CHAM ET VEVEY	10/30/02	08/09/10	100.000	4,789.20	2,150.00	2,639.20	
	12/04/02	08/09/10	40.000	1,915.68	842.00	1,073.68	
	12/04/02	10/07/10	190.000	10,311.52	3,999.50	6,312.02	
NOKIA CP ADR	10/15/08	01/14/10	980.000	12,961.31	15,752.53	(2,791.22)	
	10/15/08	04/14/10	160.000	2,441.80	2,571.84	(130.04)	
	03/25/09	04/14/10	290.000	4,425.76	3,545.38	880.38	
NOVARTIS AG ADR	09/19/08	01/14/10	200.000	10,605.48	10,626.05	(20.57)	
PERKIN ELMER INC	08/23/05	01/15/10	35.000	732.05	699.10	32.95	
	08/16/06	01/15/10	180.000	3,764.81	3,590.05	174.76	
	10/08/08	01/15/10	25.000	522.89	584.92	(62.03)	

CONTINUED

REALIZED GAIN/(LOSS) DETAIL

LONG-TERM (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
	10/08/08	04/29/10	240.000	5,897.35	5,615.22	282.13	
	10/08/08	07/14/10	265.000	5,085.54	6,200.14	(1,114.60)	
	04/01/09	07/14/10	200.000	3,838.14	2,635.09	1,203.05	
QUEST DIAGNOSTICS INC	08/03/07	04/15/10	120.000	6,928.56	6,752.30	176.26	
	08/03/07	09/24/10	80.000	3,887.31	4,501.53	(614.22)	
	08/14/08	09/24/10	150.000	7,288.70	8,144.55	(855.85)	
	09/23/09	09/24/10	50.000	2,429.57	2,699.56	(269.99)	
SAP AG	08/16/07	10/01/10	50.000	2,499.57	2,562.98	(63.41)	
	10/23/08	10/01/10	400.000	19,996.55	13,744.61	6,251.94	
SOUTHWEST AIRLINES	10/15/08	03/01/10	935.000	11,891.18	11,409.25	481.93	
	02/18/09	03/01/10	525.000	6,676.87	3,586.79	3,090.08	
	02/18/09	06/17/10	510.000	6,100.03	3,484.31	2,615.72	
	02/18/09	10/28/10	380.000	5,061.26	2,596.15	2,465.11	
THERMO FISHER SCIENTIFIC INC	11/28/08	11/01/10	330.000	16,896.43	11,680.54	5,215.89	
	03/02/09	11/01/10	110.000	5,632.14	3,902.92	1,729.22	
UNILEVER PLC (NEW) ADS	03/12/07	04/01/10	85.000	2,533.09	2,348.51	184.58	
	07/01/08	04/01/10	225.000	6,705.24	6,395.23	310.01	
US TSY BOND 5 1/2 8-15-28	06/08/07	05/20/10	35,000.000	41,888.00	35,835.11	6,052.89	
US TSY BOND 5 3/8 2-15-31	02/02/09	05/20/10	22,000.000	26,232.14	26,789.16	(557.02)	
	05/12/09	05/20/10	20,000.000	23,847.40	23,583.87	263.53	
US TSY NOTE 1 1/8 12-15-11	12/18/08	02/02/10	41,000.000	41,228.04	41,193.70	34.34	
WALT DISNEY CO HLDG CO	10/06/08	05/17/10	390.000	13,103.62	10,795.23	2,308.39	
	11/12/08	05/17/10	140.000	4,703.86	2,957.24	1,746.62	
	11/12/08	07/06/10	290.000	9,199.88	6,125.71	3,074.17	
WEYERHAEUSER CO	11/13/08	04/13/10	300.000	13,625.51	9,582.37	4,043.14	
	11/13/08	06/02/10	20.000	824.77	638.82	185.95	
	02/24/09	06/02/10	220.000	9,072.50	5,562.25	3,510.25	
Long-Term This Period				**$15,167.21**	**$17,414.03**	**$(2,246.82)**	32
Long-Term Year to Date				**$598,484.04**	**$551,985.69**	**$46,498.35**	

014464 MSDDD173 029173

Activity

Active Assets Account 619-077015-061

MANNING & NAPIER ADVISORS INC A/C
MENDICK FOUNDATION

INTERESTED PARTY COPY

SHORT-TERM

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
AMERICAN EXPRESS CO	02/13/09	01/26/10	60.000	2,214.76	980.86	1,233.90	
CERNER CORP	07/13/10	10/28/10	30.000	2,573.00	2,410.49	162.51	
DEAN FOODS CO (NEW)	05/21/09	05/13/10	240.000	2,327.41	4,498.21	(2,170.80)	
	12/09/09	05/13/10	130.000	1,260.68	2,270.25	(1,009.57)	
GENERAL MILLS INC	04/13/09	02/03/10	190.000	13,310.24	9,744.17	3,566.07	
GENZYME CORP	10/23/09	08/06/10	60.000	4,113.30	3,198.26	915.04	
	05/25/10	08/06/10	130.000	8,912.16	6,212.04	2,700.12	
JOHNSON & JOHNSON	02/01/10	06/14/10	150.000	8,741.22	9,559.32	(818.10)	
KELLOGG CO	04/14/09	02/03/10	200.000	10,958.04	7,873.48	3,084.56	
MANPOWER INC	06/23/10	11/03/10	470.000	25,932.87	20,344.48	5,588.39	
MICROSOFT CORP	07/27/10	10/21/10	200.000	5,048.09	5,329.90	(281.81)	
NOKIA CP ADR	04/20/09	04/14/10	400.000	6,104.50	5,863.93	240.57	
	08/03/09	04/14/10	370.000	5,646.66	5,073.45	573.21	
NOVARTIS AG ADR	01/22/09	01/14/10	110.000	5,833.01	5,010.44	822.57	
	03/27/09	01/14/10	110.000	5,833.01	4,154.43	1,678.58	
SHERWIN WILLIAMS COMPANY OHIO	06/22/09	05/28/10	130.000	9,867.05	6,870.09	2,996.96	
US TSY NOTE 2 3/8 10-31-14	11/20/09	08/09/10	66,000.000	68,721.18	66,735.99	1,985.19	
US TSY NOTE 2 5/8 6-30-14	08/25/09	06/29/10	50,000.000	52,052.50	50,498.70	1,553.80	
	08/25/09	08/09/10	34,000.000	35,808.12	34,330.05	1,478.07	
Short-Term This Period				**$0.00**	**$0.00**	**$0.00**	
Short-Term Year to Date				**$275,257.80**	**$250,958.54**	**$24,299.26**	
Net Realized Gain/(Loss) This Period				**$15,167.21**	**$17,414.03**	**$(2,246.82)**	
Net Realized Gain/(Loss) Year to Date				**$873,741.84**	**$802,944.23**	**$70,797.61**	

Gain/loss information is provided for informational purposes only and should not be used for tax preparation. Please refer to the gain/loss section of the disclosures for important information about gain/loss reporting.

From January through September, realized gain/loss information will be shown only for trades settling in the current month, and/or certain adjustments (to previously closed lots) made in the current month. From October through December, in order to provide information for year-end investment planning, complete year to date realized gain/loss detail will be displayed for trades settling through the last business day of the year.

Activity

Active Assets Account
619-077015-061

MANNING & NAPIER ADVISORS INC A/C
MENDICK FOUNDATION

INTERESTED PARTY COPY

MONEY MARKET FUND (MMF) AND BANK DEPOSITS ACTIVITY (CONTINUED)

Date	Activity Type	Description	Credits/(Debits)
11/10	Automatic Investment	BANK DEPOSIT PROGRAM	75.60
11/12	Automatic Investment	BANK DEPOSIT PROGRAM	106.50
11/15	Automatic Investment	BANK DEPOSIT PROGRAM	85.50
11/16	Automatic Investment	BANK DEPOSIT PROGRAM	515.00
11/24	Automatic Redemption	BANK DEPOSIT PROGRAM	(10,151.91)
11/29	Automatic Investment	BANK DEPOSIT PROGRAM	82.80
11/29	Automatic Investment	BANK DEPOSIT PROGRAM	17.92
NET ACTIVITY FOR PERIOD			**$47,357.03**

REALIZED GAIN/(LOSS) DETAIL

LONG-TERM

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
AUTODESK INC DELAWARE	04/07/08	10/20/10	340.000	$11,407.19	$11,525.45	$(118.26)	
CARNIVAL CP NEW PAIRED COM	06/23/08	05/27/10	420.000	15,323.32	14,784.58	538.74	
	01/30/09	05/27/10	200.000	7,296.82	3,766.69	3,530.13	
CERNER CORP	11/21/08	10/28/10	120.000	10,291.99	3,698.02	6,593.97	
CISCO SYS INC	01/17/08	08/09/10	275.000	6,717.18	6,868.50	(151.32)	
	04/23/08	08/09/10	135.000	3,297.53	3,429.26	(131.73)	
DEAN FOODS CO (NEW)	08/23/07	05/13/10	80.000	775.80	2,215.15	(1,439.35)	
	08/28/07	05/13/10	140.000	1,357.66	3,917.61	(2,559.95)	
	09/28/07	05/13/10	120.000	1,163.71	3,049.45	(1,885.74)	
	11/11/08	05/13/10	220.000	2,133.46	3,537.39	(1,403.93)	
EMC CORP MASS	07/25/08	04/29/10	610.000	11,878 34	8,584.31	3,294.03	
	09/26/08	04/29/10	210.000	4,089.27	2,684.48	1,404.79	
FORTUNE BRANDS INC	03/10/08	08/26/10	100.000	4,304.09	6,411.60	(2,107.51)	
	10/30/08	08/26/10	70.000	3,012.86	2,579.27	433.59	
	07/17/09	08/26/10	50.000	2,152.05	1,833.17	318 88	
GENZYME CORP	02/23/07	07/26/10	150.000	9,945.61	9,574.88	370.73	
	07/08/09	07/26/10	170.000	11,271.70	9,344.63	1,927.07	
	07/08/09	08/06/10	20.000	1,371.10	1,099.37	271.73	
GOOGLE INC-CL A	10/07/08	08/09/10	10.000	4,939.01	3,496.98	1,442.03	
	10/07/08	09/03/10	10.000	4,595.59	3,496.98	1,098.61	

CONTINUED

REALIZED GAIN/(LOSS) DETAIL

LONG-TERM (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
	10/07/08	10/12/10	30.000	16,087.20	10,490.95	5,596.25	
INTERNATIONAL GAME TECH	08/22/05	03/22/10	110.000	1,798.36	2,987.59	(1,189.23)	
	06/12/07	10/12/10	70.000	1,019.98	2,774.15	(1,754.17)	
	06/09/08	10/12/10	560.000	8,159.81	18,703.10	(10,543.29)	
JOHNSON & JOHNSON	06/25/07	05/21/10	130.000	7,771.64	7,986.50	(214.86)	
	04/23/08	05/21/10	110.000	6,576.01	7,444.78	(868.77)	
	04/23/08	06/14/10	20.000	1,165.50	1,353.60	(188.10)	
	11/06/08	06/14/10	90.000	5,244.73	5,364.10	(119.37)	
LONZA GROUP AG CHF1 ORD	11/06/08	02/12/10	90.000	6,108.31	6,976.50	(868.19)	
LOWES COMPANIES INC	06/11/08	07/13/10	450.000	9,440.94	10,425.50	(984.56)	
MICROSOFT CORP	01/18/08	10/21/10	410.000	10,348.59	13,650.67	(3,302.08)	
	02/14/08	10/21/10	180.000	4,543.28	5,242.45	(699.17)	
	04/15/08	10/21/10	460.000	11,610.62	13,087.45	(1,476.83)	
MILLIPORE CORP	03/05/08	02/23/10	120.000	10,734.17	8,620.11	2,114.06	
	05/01/08	02/23/10	110.000	9,839.66	7,881.93	1,957.73	
NESTLE SA CHAM ET VEVEY	10/30/02	08/09/10	100.000	4,789.20	2,150.00	2,639.20	
	12/04/02	08/09/10	40.000	1,915.68	842.00	1,073.68	
	12/04/02	10/07/10	190.000	10,311.52	3,999.50	6,312.02	
NOKIA CP ADR	10/15/08	01/14/10	980.000	12,961.31	15,752.53	(2,791.22)	
	10/15/08	04/14/10	160.000	2,441.80	2,571.84	(130.04)	
	03/25/09	04/14/10	290.000	4,425.76	3,545.38	880.38	
NOVARTIS AG ADR	09/19/08	01/14/10	200.000	10,605.48	10,626.05	(20.57)	
PERKIN ELMER INC	08/23/05	01/15/10	35.000	732.05	699.10	32.95	
	08/16/06	01/15/10	180.000	3,764.81	3,590.05	174.76	
	10/08/08	01/15/10	25.000	522.89	584.92	(62.03)	
	10/08/08	04/29/10	240.000	5,897.35	5,615.22	282.13	
	10/08/08	07/14/10	265.000	5,085.54	6,200.14	(1,114.60)	
	04/01/09	07/14/10	200.000	3,838.14	2,635.09	1,203.05	
QUEST DIAGNOSTICS INC	08/03/07	04/15/10	120.000	6,928.56	6,752.30	176.26	
	08/03/07	09/24/10	80.000	3,887.31	4,501.53	(614.22)	
	08/14/08	09/24/10	150.000	7,288.70	8,144.55	(855.85)	
	09/23/09	09/24/10	50.000	2,429.57	2,699.56	(269.99)	
SAP AG	08/16/07	10/01/10	50.000	2,499.57	2,562.98	(63.41)	
	10/23/08	10/01/10	400.000	19,996.55	13,744.61	6,251.94	

CONTINUED

REALIZED GAIN/(LOSS) DETAIL

LONG-TERM (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
SOUTHWEST AIRLINES	10/15/08	03/01/10	935.000	11,891.18	11,409.25	481.93	
	02/18/09	03/01/10	525.000	6,676.87	3,586.79	3,090.08	
	02/18/09	06/17/10	510.000	6,100.03	3,484.31	2,615.72	
	02/18/09	10/28/10	380.000	5,061.26	2,596.15	2,465.11	
THERMO FISHER SCIENTIFIC INC	11/28/08	11/01/10	330.000	16,896.43	11,680.54	5,215.89	
	03/02/09	11/01/10	110.000	5,632.14	3,902.92	1,729.22	
UNILEVER PLC (NEW) ADS	03/12/07	04/01/10	85.000	2,533.09	2,348.51	184.58	
	07/01/08	04/01/10	225.000	6,705.24	6,395.23	310.01	
US TSY BOND 5 1/2 8-15-28	06/08/07	05/20/10	35,000.000	41,888.00	35,835.11	6,052.89	
US TSY BOND 5 3/8 2-15-31	02/02/09	05/20/10	22,000.000	26,232.14	26,789.16	(557.02)	5793.74
	05/12/09	05/20/10	20,000.000	23,847.40	23,583.87	263.53	
US TSY NOTE 1 1/8 12-15-11	12/18/08	02/02/10	41,000.000	41,228.04	41,193.70	34.34	
WALT DISNEY CO HLDG CO	10/06/08	05/17/10	390.000	13,103.62	10,795.23	2,308.39	
	11/12/08	05/17/10	140.000	4,703.86	2,957.24	1,746.62	
	11/12/08	07/06/10	290.000	9,199.88	6,125.71	3,074.17	
WEYERHAEUSER CO	11/13/08	04/13/10	300.000	13,625.51	9,582.37	4,043.14	
	11/13/08	06/02/10	20.000	824.77	638.82	185.95	
	02/24/09	06/02/10	220.000	9,072.50	5,562.25	3,510.25	
Long-Term This Period				**$37,881.82**	**$21,877.63**	**$16,004.19**	33
Long-Term Year to Date				**$583,316.83**	**$534,571.66**	**$48,745.17**	

SHORT-TERM

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
AMERICAN EXPRESS CO	02/13/09	01/26/10	60.000	2,214.76	980.86	1,233.90	
CERNER CORP	07/13/10	10/28/10	30.000	2,573.00	2,410.49	162.51	
DEAN FOODS CO (NEW)	05/21/09	05/13/10	240.000	2,327.41	4,498.21	(2,170.80)	
	12/09/09	05/13/10	130.000	1,260.68	2,270.25	(1,009.57)	
GENERAL MILLS INC	04/13/09	02/03/10	190.000	13,310.24	9,744.17	3,566.07	
GENZYME CORP	10/23/09	08/06/10	60.000	4,113.30	3,198.26	915.04	
	05/25/10	08/06/10	130.000	8,912.16	6,212.04	2,700.12	
JOHNSON & JOHNSON	02/01/10	06/14/10	150.000	8,741.22	9,559.32	(818.10)	

CONTINUED

960

MorganStanley SmithBarney

Activity

Active Assets Account
619-077015-061

MANNING & NAPIER ADVISORS INC A/C
MENDICK FOUNDATION

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL

SHORT-TERM (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
KELLOGG CO	04/14/09	02/03/10	200.000	10,958.04	7,873.48	3,084.56	
MANPOWER INC	06/23/10	11/03/10	470.000	25,932.87 •	20,344.48	5,588.39	
MICROSOFT CORP	07/27/10	10/21/10	200.000	5,048.09	5,329.90	(281.81)	
NOKIA CP ADR	04/20/09	04/14/10	400.000	6,104.50	5,863.93	240.57	
	08/03/09	04/14/10	370.000	5,646.66	5,073.45	573.21	
NOVARTIS AG ADR	01/22/09	01/14/10	110.000	5,833.01	5,010.44	822.57	
	03/27/09	01/14/10	110.000	5,833.01	4,154.43	1,678.58	
SHERWIN WILLIAMS COMPANY OHIO	06/22/09	05/28/10	130.000	9,867.05	6,870.09	2,996.96	
US TSY NOTE 2 3/8 10-31-14	11/20/09	08/09/10	66,000.000	68,721.18	66,735.99	1,985.19	
US TSY NOTE 2 5/8 6-30-14	08/25/09	06/29/10	50,000.000	52,052.50	50,498.70	1,553.80	
	08/25/09	08/09/10	34,000.000	35,808.12	34,330.05	1,478.07	
Short-Term This Period				**$28,505.87**	**$22,754.97**	**$5,750.90**	34
Short-Term Year to Date				**$275,257.80**	**$250,958.54**	**$24,299.26**	
Net Realized Gain/(Loss) This Period				**$66,387.69**	**$44,632.60**	**$21,755.09**	
Net Realized Gain/(Loss) Year to Date				**$858,574.63**	**$785,530.20**	**$73,044.43**	

Gain/loss information is provided for informational purposes only and should not be used for tax preparation. Please refer to the gain/loss section of the disclosures for important information about gain/loss reporting.

From January through September, realized gain/loss information will be shown only for trades settling in the current month, and/or certain adjustments (to previously closed lots) made in the current month. From October through December, in order to provide information for year-end investment planning, complete year to date realized gain/loss detail will be displayed for trades settling through the last business day of the year.

Security Mark at Right

MorganStanley SmithBarney

Activity

Active Assets Account 619-077015-061

MANNING & NAPIER ADVISORS INC A/C
MENDICK FOUNDATION

INTERESTED PARTY COPY

MONEY MARKET FUND (MMF) AND BANK DEPOSITS ACTIVITY

Date	Activity Type	Description	Credits/(Debits)
10/1	Automatic Investment	BANK DEPOSIT PROGRAM	$269.10
10/4	Automatic Investment	BANK DEPOSIT PROGRAM	472.00
10/7	Automatic Investment	BANK DEPOSIT PROGRAM	22,496.12
10/12	Automatic Investment	BANK DEPOSIT PROGRAM	37.80
10/14	Automatic Investment	BANK DEPOSIT PROGRAM	10,311.52
10/15	Automatic Investment	BANK DEPOSIT PROGRAM	380.70
10/18	Automatic Investment	BANK DEPOSIT PROGRAM	25,266.99
10/19	Automatic Investment	BANK DEPOSIT PROGRAM	5,780.88
10/20	Automatic Redemption	BANK DEPOSIT PROGRAM	(11,833.13)
10/26	Automatic Investment	BANK DEPOSIT PROGRAM	11,407.19
10/27	Automatic Investment	BANK DEPOSIT PROGRAM	31,550.59
10/28	Automatic Investment	BANK DEPOSIT PROGRAM	8.48
10/28	Automatic Redemption	BANK DEPOSIT PROGRAM	(39,760.22)
NET ACTIVITY FOR PERIOD			**$56,388.02**

UNSETTLED TRADE ACTIVITY

Transaction Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Pending Credits/(Debits)
10/28	11/2	Sold	CERNER CORP	UNSETTLED SALE	150.000	$86.4747	$12,864.99
10/28	11/2	Sold	SOUTHWEST AIRLINES	UNSETTLED SALE	380.000	13.5983	5,061.26

Unsettled Trades are shown for informational purposes only and will be included in totals when the transactions settle.

REALIZED GAIN/(LOSS) DETAIL

LONG-TERM

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
AUTODESK INC DELAWARE	04/07/08	10/20/10	340.000	$11,407.19	$11,525.45	$(118.26)	
CARNIVAL CP NEW PAIRED COM	06/23/08	05/27/10	420.000	15,323.32	14,784.58	538.74	
	01/30/09	05/27/10	200.000	7,296.82	3,766.69	3,530.13	
CISCO SYS INC	01/17/08	08/09/10	275.000	6,717.18	6,868.50	(151.32)	
	04/23/08	08/09/10	135.000	3,297.53	3,429.26	(131.73)	

CONTINUED

61

016770 MSDDD034 001492

SmithBarney

Activity

Active Assets Account
619-077015-061

MANNING & NAPIER ADVISORS INC A/C
MENDICK FOUNDATION

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL

LONG-TERM (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
DEAN FOODS CO (NEW)	08/23/07	05/13/10	80.000	775.80	2,215.15	(1,439.35)	
	08/28/07	05/13/10	140.000	1,357.66	3,917.61	(2,559.95)	
	09/28/07	05/13/10	120.000	1,163.71	3,049.45	(1,885.74)	
	11/11/08	05/13/10	220.000	2,133.46	3,537.39	(1,403.93)	
EMC CORP MASS	07/25/08	04/29/10	610.000	11,878.34	8,584.31	3,294.03	
	09/26/08	04/29/10	210.000	4,089.27	2,684.48	1,404.79	
FORTUNE BRANDS INC	03/10/08	08/26/10	100.000	4,304.09	6,411.60	(2,107.51)	
	10/30/08	08/26/10	70.000	3,012.86	2,579.27	433.59	
	07/17/09	08/26/10	50.000	2,152.05	1,833.17	318.88	
GENZYME CORP	02/23/07	07/26/10	150.000	9,945.61	9,574.88	370.73	
	07/08/09	07/26/10	170.000	11,271.70	9,344.63	1,927.07	
	07/08/09	08/06/10	20.000	1,371.10	1,099.37	271.73	
GOOGLE INC-CL A	10/07/08	08/09/10	10.000	4,939.01	3,496.98	1,442.03	
	10/07/08	09/03/10	10.000	4,595.59	3,496.98	1,098.61	
	10/07/08	10/12/10	30 000	16,087.20	10,490.95	5,596.25	
INTERNATIONAL GAME TECH	08/22/05	03/22/10	110.000	1,798.36	2,987.59	(1,189.23)	
	06/12/07	10/12/10	70.000	1,019.98	2,774.15	(1,754.17)	
	06/09/08	10/12/10	560.000	8,159.81	18,703.10	(10,543.29)	
JOHNSON & JOHNSON	06/25/07	05/21/10	130.000	7,771.64	7,986.50	(214.86)	
	04/23/08	05/21/10	110.000	6,576.01	7,444.78	(868.77)	
	04/23/08	06/14/10	20.000	1,165.50	1,353.60	(188.10)	
	11/06/08	06/14/10	90.000	5,244.73	5,364.10	(119.37)	
LONZA GROUP AG CHF1 ORD	11/06/08	02/12/10	90.000	6,108.31	6,976.50	(868.19)	
LOWES COMPANIES INC	06/11/08	07/13/10	450.000	9,440.94	10,425.50	(984.56)	
MICROSOFT CORP	01/18/08	10/21/10	410.000	10,348.59	13,650.67	(3,302.08)	
	02/14/08	10/21/10	180.000	4,543.28	5,242.45	(699.17)	
	04/15/08	10/21/10	460.000	11,610.62	13,087.45	(1,476.83)	
MILLIPORE CORP	03/05/08	02/23/10	120.000	10,734.17	8,620.11	2,114.06	
	05/01/08	02/23/10	110.000	9,839.66	7,881.93	1,957.73	
NESTLE SA CHAM ET VEVEY	10/30/02	08/09/10	100.000	4,789.20	2,150.00	2,639.20	
	12/04/02	08/09/10	40.000	1,915.68	842.00	1,073.68	
	12/04/02	10/07/10	190 000	10,311.52	3,999.50	6,312.02	
NOKIA CP ADR	10/15/08	01/14/10	980.000	12,961.31	15,752.53	(2,791.22)	
	10/15/08	04/14/10	160.000	2,441.80	2,571.84	(130.04)	

CONTINUED

Security Mark at Right

MSSB_STMT (REV 07/10)

Active Assets Account 619-077015-061

MANNING & NAPIER ADVISORS INC A/C
MENDICK FOUNDATION

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL

LONG-TERM (CONTINUED)

Security Description		Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
		03/25/09	04/14/10	290.000	4,425.76	3,545.38	880.38	
NOVARTIS AG ADR		09/19/08	01/14/10	200.000	10,605.48	10,626.05	(20.57)	
PERKIN ELMER INC		08/23/05	01/15/10	35.000	732.05	699.10	32.95	
		08/16/06	01/15/10	180.000	3,764.81	3,590.05	174.76	
		10/08/08	01/15/10	25.000	522.89	584.92	(62.03)	
		10/08/08	04/29/10	240.000	5,897.35	5,615.22	282.13	
		10/08/08	07/14/10	265.000	5,085.54	6,200.14	(1,114.60)	
		04/01/09	07/14/10	200.000	3,838.14	2,635.09	1,203.05	
QUEST DIAGNOSTICS INC		08/03/07	04/15/10	120.000	6,928.56	6,752.30	176.26	
		08/03/07	09/24/10	80.000	3,887.31	4,501.53	(614.22)	
		08/14/08	09/24/10	150.000	7,288.70	8,144.55	(855.85)	
		09/23/09	09/24/10	50.000	2,429.57	2,699.56	(269.99)	
SAP AG		08/16/07	10/01/10	50.000	2,499.57	2,562.98	(63.41)	
		10/23/08	10/01/10	400.000	19,996.55	13,744.61	6,251.94	
SOUTHWEST AIRLINES		10/15/08	03/01/10	935.000	11,891.18	11,409.25	481.93	
		02/18/09	03/01/10	525.000	6,676.87	3,586.79	3,090.08	
		02/18/09	06/17/10	510.000	6,100 03	3,484.31	2,615.72	
UNILEVER PLC (NEW) ADS		03/12/07	04/01/10	85.000	2,533.09	2,348.51	184.58	
		07/01/08	04/01/10	225.000	6,705.24	6,395.23	310.01	
US TSY BOND	5 1/2 8-15-28	06/08/07	05/20/10	35,000.000	41,888.00	35,835.11	6,052.89	
US TSY BOND	5 3/8 2-15-31	02/02/09	05/20/10	22,000.000	26,232.14	26,789.16	(557.02)	
		05/12/09	05/20/10	20,000.000	23,847.40	23,583.87	263.53	
US TSY NOTE	1 1/8 12-15-11	12/18/08	02/02/10	41,000.000	41,228 04	41,193.70	34.34	
WALT DISNEY CO HLDG CO		10/06/08	05/17/10	390.000	13,103.62	10,795.23	2,308.39	
		11/12/08	05/17/10	140.000	4,703.86	2,957.24	1,746.62	
		11/12/08	07/06/10	290.000	9,199.88	6,125.71	3,074.17	
WEYERHAEUSER CO		11/13/08	04/13/10	300.000	13,625.51	9,582.37	4,043.14	
		11/13/08	06/02/10	20.000	824.77	638.82	185.95	
		02/24/09	06/02/10	220.000	9,072.50	5,562.25	3,510.25	
Long-Term This Period					**$95,984.31**	**$95,781.31**	**$203.00**	35
Long-Term Year to Date					**$545,435.01**	**$512,694.03**	**$32,740.98**	

975 100 175 920 40

63

Active Assets Account
619-077015-061

MANNING & NAPIER ADVISORS INC A/C
MENDICK FOUNDATION

INTERESTED PARTY COPY

Activity

SHORT-TERM

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
AMERICAN EXPRESS CO	02/13/09	01/26/10	60.000	2,214.76	980.86	1,233.90	
DEAN FOODS CO (NEW)	05/21/09	05/13/10	240.000	2,327.41	4,498.21	(2,170.80)	
	12/09/09	05/13/10	130.000	1,260.68	2,270.25	(1,009.57)	
GENERAL MILLS INC	04/13/09	02/03/10	190.000	13,310.24	9,744.17	3,566.07	
GENZYME CORP	10/23/09	08/06/10	60.000	4,113.30	3,198.26	915.04	
	05/25/10	08/06/10	130.000	8,912.16	6,212.04	2,700.12	
JOHNSON & JOHNSON	02/01/10	06/14/10	150.000	8,741.22	9,559.32	(818.10)	
KELLOGG CO	04/14/09	02/03/10	200.000	10,958.04	7,873.48	3,084.56	
MICROSOFT CORP	07/27/10	10/21/10	200.000	5,048.09	5,329.90	(281.81)	
NOKIA CP ADR	04/20/09	04/14/10	400.000	6,104.50	5,863.93	240.57	
	08/03/09	04/14/10	370.000	5,646.66	5,073.45	573.21	
NOVARTIS AG ADR	01/22/09	01/14/10	110.000	5,833.01	5,010.44	822.57	
	03/27/09	01/14/10	110.000	5,833.01	4,154.43	1,678.58	
SHERWIN WILLIAMS COMPANY OHIO	06/22/09	05/28/10	130.000	9,867.05	6,870.09	2,996.96	
US TSY NOTE 2 3/8 10-31-14	11/20/09	08/09/10	66,000.000	68,721.18	66,735.99	1,985.19	
US TSY NOTE 2 5/8 6-30-14	08/25/09	06/29/10	50,000.000	52,052.50	50,498.70	1,553.80	
	08/25/09	08/09/10	34,000.000	35,808.12	34,330.05	1,478.07	
Short-Term This Period				**$5,048.09**	**$5,329.90**	**$(281.81)**	36
Short-Term Year to Date				**$246,751.93**	**$228,203.57**	**$18,548.36**	
Net Realized Gain/(Loss) This Period				**$101,032.40**	**$101,111.21**	**$(78.81)**	
Net Realized Gain/(Loss) Year to Date				**$792,186.94**	**$740,897.60**	**$51,289.34**	

Gain/loss information is provided for informational purposes only and should not be used for tax preparation. Please refer to the gain/loss section of the disclosures for important information about gain/loss reporting.

From January through September, realized gain/loss information will be shown only for trades settling in the current month, and/or certain adjustments (to previously closed lots) made in the current month. From October through December, in order to provide information for year-end investment planning, complete year to date realized gain/loss detail will be displayed for trades settling through the last business day of the year.

Security Mark at Right

MSSB_STMT (REV 07/10)

MONEY MARKET FUND (MMF) AND BANK DEPOSITS ACTIVITY (CONTINUED)

Date	Activity Type	Description	Credits/(Debits)
9/13	Automatic Investment	BANK DEPOSIT PROGRAM	50.40
9/16	Automatic Investment	BANK DEPOSIT PROGRAM	432.08
9/17	Automatic Investment	BANK DEPOSIT PROGRAM	141.68
9/27	Automatic Investment	BANK DEPOSIT PROGRAM	110.20
9/29	Automatic Investment	BANK DEPOSIT PROGRAM	2.81
9/30	Automatic Investment	BANK DEPOSIT PROGRAM	13,605.58
NET ACTIVITY FOR PERIOD			$12,972.95

REALIZED GAIN/(LOSS) DETAIL

LONG-TERM

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
GOOGLE INC-CL A	10/07/08	09/03/10	10.000	$4,595.59	$3,496.98	$1,098.61	
QUEST DIAGNOSTICS INC	08/03/07	09/24/10	80.000	3,887.31	4,501.53	(614.22)	
	08/14/08	09/24/10	150.000	7,288.70	8,144.55	(855.85)	
	09/23/09	09/24/10	50.000	2,429.57	2,699.56	(269.99)	
Long-Term This Period				**$18,201.17**	**$18,842.62**	**$(641.45)**	37
Long-Term Year to Date				**$449,450.70**	**$416,912.72**	**$32,537.98**	
Net Realized Gain/(Loss) This Period				**$18,201.17**	**$18,842.62**	**$(641.45)**	
Net Realized Gain/(Loss) Year to Date				**$691,154.54**	**$639,786.39**	**$51,368.15**	

Gain/loss information is provided for informational purposes only and should not be used for tax preparation. Please refer to the gain/loss section of the disclosures for important information about gain/loss reporting.

From January through September, realized gain/loss information will be shown only for trades settling in the current month, and/or certain adjustments (to previously closed lots) made in the current month. From October through December, in order to provide information for year-end investment planning, complete year to date realized gain/loss detail will be displayed for trades settling through the last business day of the year.

65

66

MorganStanley SmithBarney

Activity

Active Assets Account 619-077015-061

MANNING & NAPIER ADVISORS INC A/C
MENDICK FOUNDATION

INTERESTED PARTY COPY

MMF AND BANK DEPOSITS ACTIVITY

Date	Activity Type	Description	Bank Deposit Program/ Money Market Funds
8/2	Automatic Investment	BANK DEPOSIT PROGRAM	$222.60
8/3	Automatic Investment	BANK DEPOSIT PROGRAM	84.00
8/4	Automatic Redemption	BANK DEPOSIT PROGRAM	(12,386.30)
8/11	Automatic Investment	BANK DEPOSIT PROGRAM	105,245.31
8/12	Automatic Redemption	BANK DEPOSIT PROGRAM	(135,603.44)
8/13	Automatic Investment	BANK DEPOSIT PROGRAM	44,661.94
8/16	Automatic Investment	BANK DEPOSIT PROGRAM	85.50
8/18	Automatic Redemption	BANK DEPOSIT PROGRAM	(10,985.64)
8/19	Automatic Redemption	BANK DEPOSIT PROGRAM	(19,723.67)
8/30	Automatic Investment	BANK DEPOSIT PROGRAM	5.50
NET ACTIVITY FOR PERIOD			**$(28,394.20)**

UNSETTLED TRADE ACTIVITY

Transaction Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Pending Inflows/(Outflows)
8/30	9/2	Bought	VULCAN MATERIALS CO	UNSETTLED PURCHASE	260.000	$38.0765	$(10,005.89)

Unsettled Trades are shown for informational purposes only and will be included in totals when the transactions settle.

REALIZED GAIN/(LOSS) DETAIL

LONG-TERM

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
CISCO SYS INC	01/17/08	08/09/10	275.000	$6,717.18	$6,868.50	$(151.32)	
	04/23/08	08/09/10	135.000	3,297.53	3,429.26	(131.73)	
FORTUNE BRANDS INC	03/10/08	08/26/10	100.000	4,304.09	6,411.60	(2,107.51)	
	10/30/08	08/26/10	70.000	3,012.86	2,579.27	433.59	
	07/17/09	08/26/10	50.000	2,152.05	1,833.17	318.88	
GENZYME CORP	07/08/09	08/06/10	20 000	1,371 10	1,099.37	271.73	
GOOGLE INC-CL A	10/07/08	08/09/10	10.000	4,939.01	3,496.98	1,442.03	
NESTLE SA CHAM ET VEVEY	10/30/02	08/09/10	100.000	4,789.20	2,150.00	2,639.20	
	12/04/02	08/09/10	40.000	1,915.68	842.00	1,073.68	

CONTINUED

REALIZED GAIN/(LOSS) DETAIL

LONG-TERM (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
Long-Term This Period				$32,498.70	$28,710.15	$3,788.55	38
Long-Term Year to Date				$431,249.53	$398,070.10	$33,179.43	

SHORT-TERM

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
GENZYME CORP	10/23/09	08/06/10	60.000	4,113.30	3,198.26	915.04	
	05/25/10	08/06/10	130.000	8,912.16	6,212.04	2,700.12	
US TSY NOTE 2 3/8 10-31-14	11/20/09	08/09/10	66,000.000	68,721.18	66,735.99	1,985.19	
US TSY NOTE 2 5/8 6-30-14	08/25/09	08/09/10	34,000.000	35,808.12	34,330.05	1,478.07	
Short-Term This Period				$117,554.76	$110,476.34	$7,078.42	39
Short-Term Year to Date				$241,703.84	$222,873.67	$18,830.17	
Net Realized Gain/(Loss) This Period				$150,053.46	$139,186.49	$10,866.97	
Net Realized Gain/(Loss) Year to Date				$672,953.37	$620,943.77	$52,009.60	

Gain/loss information is provided for informational purposes only and should not be used for tax preparation. Please refer to the gain/loss section of the disclosures for important information about gain/loss reporting.

From January through September, realized gain/loss information will be shown only for trades settling in the current month, and/or certain adjustments (to previously closed lots) made in the current month. From October through December, in order to provide information for year-end investment planning, complete year to date realized gain/loss detail will be displayed for trades settling through the last business day of the year.

MANNING & NAPIER

FUND, INC.

Transaction Confirmation

Confirm Date:	August 11, 2010
Account Number:	0306595000
Account Type:	Regular Account
Representative Name:	Sean Yarton
Investment Rep No.:	SYA
Shareholder	Theodore & Cashmere M Mendick Foundation Inc Mr John Mendick 468 Ridge Rd East Rochester NY 14621

00001 TH MN11P001
THEODORE & CASHMERE M MENDICK
FOUNDATIO INC
C/O MR BERT D BUTLER
561 TITUS AVE
ROCHESTER NY 14617-3514

MANNING & NAPIER CONTACT INFORMATION

Website:	www.manningnapieradvisors.com
Shareholder Services	800-466-3863 8 00 am - 6:00 pm eastern time
Mailing Address	Manning & Napier Fund, Inc P.O Box 9845 Providence RI 02940-8045

TRANSACTION DETAIL BY FUND

Registration	Fund Name	Fund Number	Ticker
THEODORE & CASHMERE M MENDICK FOUNDATION INC MR JOHN MENDICK	LIFE SCIENCES SERIES	0112	EXLSX

Transaction Date	Transaction Description	Dollar Amount	Share Price	Shares This Transaction
08/09/10	REDEMPTION	$12,141 09	$10.53	-1,153 000

Registration	Fund Name	Fund Number	Ticker
THEODORE & CASHMERE M MENDICK FOUNDATION INC MR JOHN MENDICK	WORLD OPPORTUNITIES SERIES A	0114	EXWAX

Transaction Date	Transaction Description	Dollar Amount	Share Price	Shares This Transaction
08/09/10	REDEMPTION	$5 479 53	$8 07	-679 000

Registration	Fund Name	Fund Number	Ticker
THEODORE & CASHMERE M MENDICK FOUNDATION INC MR JOHN MENDICK	REAL ESTATE SERIES	0127	MNREX

Transaction Date	Transaction Description	Dollar Amount	Share Price	Shares This Transaction
08/09/10	REDEMPTION	$5,382 72	$12 46	-432 000

000001 - 0001 of 0001 - - 000001 - MN11P001 - JOB30515
MN1 - TH - 0306595000 - 1100 - 000

Interested Party

MANNING & NAPIER
FUND, INC.

Transaction Confirmation

Confirm Date.	December 14, 2010
Account Number	0306595000
Account Type:	Regular Account
Representative Name.	Sean Yarton
Investment Rep No	SYA
Shareholder	Theodore & Cashmere M Mendick Foundation Inc Mr John Mendick 468 Ridge Rd East Rochester NY 14621

00134 TH MN11P001
THEODORE & CASHMERE M MENDICK
FOUNDATIO INC
C/O MR BERT D BUTLER
561 TITUS AVE
ROCHESTER NY 14617-3514

MANNING & NAPIER CONTACT INFORMATION

Website	www manningnapieradvisors com
Shareholder Services:	800-466-3863 8:00 am - 6 00 pm eastern time
Mailing Address	Manning & Napier Fund, Inc P O Box 9845 Providence RI 02940-8045

TRANSACTION DETAIL BY FUND

Registration	Fund Name	Fund Number	Ticker
THEODORE & CASHMERE M MENDICK FOUNDATION INC MR JOHN MENDICK	TECHNOLOGY SERIES	0116	EXTCX

Transaction Date	Transaction Description	Dollar Amount	Share Price	Shares This Transaction
12/10/10	REDEMPTION	$14,790 35	$11.51	-1,285.000

Received 12/18/10

000134 - 0001 of 0001 - - 000134 - MN11P001 - JOB83571
MN1 - TH - 0306595000 - 1100 - 000

MANNING &NAPIER
FUND, INC.

Transaction Confirmation

Confirm Date.	February 17, 2011
Account Number:	0306595000
Account Type	Regular Account
Representative Name.	Sean Yarton
Investment Rep No	SYA
Shareholder	Theodore & Cashmere M Mendick Foundation Inc Mr John Mendick 468 Ridge Rd East Rochester NY 14621

00183 TH MN11P001
THEODORE & CASHMERE M MENDICK
FOUNDATIO INC
C/O MR BERT D BUTLER
561 TITUS AVE
ROCHESTER NY 14617-3514

MANNING & NAPIER CONTACT INFORMATION

Website	www manningnapieradvisors com
Shareholder Services	800-466-3863 8 00 am - 6 00 pm eastern time
Mailing Address	Manning & Napier Fund, Inc P.O Box 9845 Providence RI 02940-8045

TRANSACTION DETAIL BY FUND

Registration	Fund Name	Fund Number	Ticker
THEODORE & CASHMERE M MENDICK FOUNDATION INC MR JOHN MENDICK	LIFE SCIENCES SERIES	0112	EXLSX

Transaction Date	Transaction Description	Dollar Amount	Share Price	Shares This Transaction
02/15/11	INVESTMENT	$8,036 70	$12 46	645.000

MNI - TH - 0306595000 - 1100 - 000

MANNING & NAPIER
FUND, INC.

Transaction Confirmation

Confirm Date:	December 14, 2010
Account Number	0306595000
Account Type:	Regular Account
Representative Name	Sean Yarton
Investment Rep No .	SYA
Shareholder	Theodore & Cashmere M Mendick Foundation Inc Mr John Mendick 468 Ridge Rd East Rochester NY 14621

00134 TH MN11P001
THEODORE & CASHMERE M MENDICK
FOUNDATIO INC
C/O MR BERT D BUTLER
561 TITUS AVE
ROCHESTER NY 14617-3514

MANNING & NAPIER CONTACT INFORMATION

Website:	www manningnapieradvisors com
Shareholder Services:	800-466-3863 8 00 am - 6 00 pm eastern time
Mailing Address	Manning & Napier Fund, Inc P O. Box 9845 Providence RI 02940-8045

TRANSACTION DETAIL BY FUND

Registration	Fund Name	Fund Number	Ticker
THEODORE & CASHMERE M MENDICK FOUNDATION INC MR JOHN MENDICK	TECHNOLOGY SERIES	0116	EXTCX

Transaction Date	Transaction Description	Dollar Amount	Share Price	Shares This Transaction
12/10/10	REDEMPTION	$14,790 35	$11 51	-1,285 000

Received 12/18/10

MNI - TH - 0306595000 - 1100 - 000

MANNING & NAPIER
FUND, INC.

Transaction Confirmation

Confirm Date	February 17, 2011
Account Number	0306595000
Account Type.	Regular Account
Representative Name	Sean Yarton
Investment Rep No.	SYA
Shareholder	Theodore & Cashmere M Mendick Foundation Inc Mr John Mendick 468 Ridge Rd East Rochester NY 14621

00183 TH MN11P001
THEODORE & CASHMERE M MENDICK
FOUNDATIO INC
C/O MR BERT D BUTLER
561 TITUS AVE
ROCHESTER NY 14617-3514

MANNING & NAPIER CONTACT INFORMATION

Website:	www.manningnapieradvisors com
Shareholder Services	800-466-3863 8 00 am - 6 00 pm eastern time
Mailing Address:	Manning & Napier Fund, Inc. P O Box 9845 Providence RI 02940-8045

TRANSACTION DETAIL BY FUND

Registration	Fund Name	Fund Number	Ticker
THEODORE & CASHMERE M MENDICK FOUNDATION INC MR JOHN MENDICK	LIFE SCIENCES SERIES	0112	EXLSX

Transaction Date	Transaction Description	Dollar Amount	Share Price	Shares This Transaction
02/15/11	INVESTMENT	$8,036.70	$12 46	645.000

MNI - TH - 0306595000 - 1100 - 000

Theodore & Cashmer Mendick Foundation
ID# 16-1289406
For Tax Year Ending July 31, 2011

Form 990-PF - Part II - Balance Sheets - Line 10b - Investments - Corporate Stocks

STATEMENT #2

	Quantity	Cost at 07/31/11	FMV at 07/31/11
AIRGAS INC	120	7,669 38	8,244 00
Albemarle Corp	170	5,923 41	11,318 60
Alliant Techsystem	126	10,391 50	8,218.98
Amc Networks Inc	340	13,275.36	12,644.60
AMC NETWORKS INC	75	2,484.37	2,789 25
American Express Co	300	6,854 05	15,012 00
Ameriprise Fincl Inc	275	13,185 58	14,877.50
Amerisourcebergen Corp	205	6,712 95	7,853 55
Ametek Inc New	235	7,226 81	9,987 50
Amphenol Corp	185	4,706 46	9,044 65
Analog Devices Inc	175	6,665.33	6,020 00
Anheuser-Busch Inbev Sa Shs	410	22,820 00	23,684 63
AON Corp	175	7,486 38	8,421.00
Arch Capital Group LTD	180	5,323 53	6,084.00
Arrow Electronics	209	6,421.46	7,262.75
Autodesk Inc Delaware	620	16,304 79	21,328.00
Autozone Inc	30	4,411.01	8,563.50
Baker Hughes Inc.	400	20,615 53	30,952 00
Ball Corp	442	8,704 77	17,149 60
Banco Santander Sa Eur	1,060	11,110 40	11,157 58
Bank of New Yrok Mellon Corp	1,380	38,930 77	34,651 80
Becton Dickinson & Co	124	8,938 29	10,367 64
Becton Dickinson & Co	190	13,982 61	15,885.90
Bed Bath & Beyond Inc	259	9,806 77	15,148 91
Berkley W R Corp	455	13,302.32	14,009 45
Boeing Co.	320	20,026 26	22,550 40
Boston Scientific Corp	4,460	31,210 34	31,933.60
Brookfield Pptys Cp	400	4,136.37	7,580 00
Brown Forman Corp	115	6,271.52	8,459 40
Cablevision Systems Corp	365	7,841.19	8,891 40
Capella Education Company	120	5,250.11	5,130.00
Capitol Fedreal Finl Inc New	290	3,405.18	3,317.60
Carlisle Co Inc	233	6,843 63	10,072 59
Carnival Corp	570	22,326.20	18,981.00
CBS Corp	425	8,146.84	11,632 25
Centurylink Inc	275	9,388 83	10,205 25
Cerner Corp	460	20,363 61	30,585 40
Charles Schwab New	1,630	24,184 02	24,335 90
Cincinnati Financial Ohio	85	5,213 14	5,310.80
Cisco Sys Inc	1,375	31,791.97	21,958 75
City National Corp	185	8,685.93	9,930 80
Clear Channel Outdoor	585	9,085.01	6,873 75
CMS Energy Cp	860	13,556.19	16,460.40

Theodore & Cashmer Mendick Foundation
ID# 16-1289406
For Tax Year Ending July 31, 2011

Form 990-PF - Part II - Balance Sheets - Line 10b - Investments - Corporate Stocks **STATEMENT #2**

	Quantity	Cost at 07/31/11	FMV at 07/31/11
Coca Cola Co	410	21,339.16	27,884.10
Cooper Industries	150	4,653 92	7,846.50
Coventry Health care Inc	264	7,031.55	8,448.00
Cullen Forst Bankers Inc	145	7,915 80	7,812.60
CVR Energy Inc	215	3,374.01	5,772.75
Darden Restaurants	221	8,524 96	11,226 80
Devon Energy Corp	193	12,663.73	15,189 10
Discover Fincl Svcs	460	11,754.03	11,780 60
Dish Network	268	5,207.86	7,940 84
Dr. Pepper Snapple	145	5,342 37	5,475.20
Electronic Arts Inc	1,310	41,437 39	29,147.50
EMC Corp Mass	1,130	11,899 84	29,470 40
Energen Corp	380	15,970 01	22,347 80
Energizer Hldgs Inc	120	6,983 15	9,676 80
EQT Corp	150	6,010 33	9,522.00
Ericsson LM Tel ADR CL B New	1,810	23,300.70	22,625.00
Expedia Inc	335	7,761 79	10,616.15
Fedex Corp	120	9,104.06	10,425.60
Fifth 3rd Bancorp Ohio	745	10,270 23	9,424 25
Financial Services Fund	11,079 182	53,156 40	45,487 92
Flowserve Corp	150	17,792 44	14,907.00
Fortune Brands Inc	290	15,738 49	17,460.90
Gannett Co	440	7,301 67	5,614 40
Gap Inc	626	10,528 97	12,075.54
General Mills Inc	650	20,289.50	24,277.50
Genuine Parts Co	235	10,165.46	12,492 60
Google Inc	80	37,036 36	48,295.20
HCA Holdings	180	5,605.15	4,802 40
HCP Incorp	195	6,882.86	7,162.35
Hershey Company	90	4,997 78	5,079 60
Hess Corporation	400	21,464 64	27,424.00
Humana	140	7,904 63	10,441.20
Huntington Bancshares	470	3,160 75	2,841 15
International Fund	7,373 374	84,984 53	86,703.07
Invesco Ltd	430	10,926 68	9,537.40
Jack Henry & Assoc Inc	365	8,942 34	10,566 75
Jarden Corp	185	5,263.79	5,733.15
Kellogg Co	190	7,479 81	10,598.20
Kohls Corpoation	179	9,545 30	9,793.09
Kraft Foods Inc CL A	750	22,831 00	25,785 00
Kroger Co	700	14,006 98	17,409 00
L-3 Communications Holding Inc	87	6,468 17	6,883 44
Liberty Global inc CL a	300	10,835.29	12,540 00

Theodore & Cashmer Mendick Foundation
ID# 16-1289406
For Tax Year Ending July 31, 2011

Form 990-PF - Part II - Balance Sheets - Line 10b - Investments - Corporate Stocks **STATEMENT #2**

	Quantity	Cost at 07/31/11	FMV at 07/31/11
Life Science	7,637 869	70,559.28	79,113 93
Lincare Hldgs Inc	530	12,093.55	13,562 70
Loews Corp/Carolian Gp	500	14,954 85	19,935 00
M&T Bank Corp	156	8,555 79	13,453 44
Marriott Intl Inc	192	4,696 67	6,240 00
Martin Marietta Materials	60	5,316 56	4,537.20
Mastercard Inc CL A	100	22,054 36	30,325 00
Mohawk Industries Inc	105	6,010.80	5,463 15
Monsanto Co	450	24,898 68	33,066 00
Nestle Sa Chem Et Vevey Ord	390	14,569 75	24,863.61
Newfield Expl Co	160	8,212.50	10,787.20
News Corp Ltd CL A Del	1,410	22,744 11	22,588.20
Norfolk Southern Corp	360	23,053 66	27,252.00
Northeast Utilities	160	5,185 15	5,440.00
Northern Trust Corp	120	6,369 32	5,388 60
Nstar Inc	255	8,872 04	11,304.15
NV Energy Inc Com	431	6,593.12	6,396 04
Old Republic Intl Cp	771	8,383.05	8,049 24
Omnicom Group	75	3,502.59	3,519 00
Onebeacon Ins Gp Ltd	405	7,600.33	5,159 70
Oneok Inc	255	11,261.41	18,561.45
Owens Illinois Com New	420	11,851 90	9,731.40
People's United Financial Inc	595	8,194 43	7,544 60
Principal Finl Group Inc	250	7,473.34	6,907 50
PVH CORPORATION	115	6,890 98	8,228 25
Qiagen NV Ord	500	10,329 70	8,478.30
Qualcomm Inc	580	22,799 20	31,772.40
RAL Corp	140	8,938 36	12,110.00
Real Estate Series	1,731.297	17,481.20	23,857 27
Regal Beloit Corp	155	10112 02	9397 65
Regency Centers Corp	220	8,135.14	9,882 40
Republic Services Inc	755	18,563 54	21,917 65
Rock Tenn Co	135	7,529 57	8,297 10
ROYAL CARIBBEAN CRUISES	162	6,820 23	4,960 44
Safeway Inc	475	12,424 31	9,580 75
Safeway Inc Com New	790	17,318 74	15,934 30
Sanp On Inc	190	7,963.87	10,803 40
Schlumberger Ltd	280	18,611.70	25,303.60
SEMPRA ENERGY	174	9,164 60	8,820 06
Sherwin Williams Co Ohio	140	9,265 93	10,803 80
Sigma Aldrich Corp	175	7,466.42	11,742 50
Silgan Hldgs Inc	174	7,870 20	6,747.72
Small Cap Fund	6,023 249	55,357 53	56,196 91

Theodore & Cashmer Mendick Foundation
ID# 16-1289406
For Tax Year Ending July 31, 2011

Form 990-PF - Part II - Balance Sheets - Line 10b - Investments - Corporate Stocks **STATEMENT #2**

	Quantity	Cost at 07/31/11	FMV at 07/31/11
Smucker JM Co	180	9,947.31	14,025 60
Southwest Airlines	1,935	12,550.58	19,272 60
State Street Corp	470	22,385 36	19,490 90
Strayer Education Inc	50	6,239 26	6,082.50
Suntrust Bks	385	7,827 75	9,428.65
Syngenta Ag CHF 10 ORD	30	10,255.50	9,629.53
Synopsis Inc	390	8,499 83	9,348 30
T Rowe Price Group Inc	173	7,043 85	9,826 40
TE Connectivity ltd new	470	11,706.93	16,182.10
Technology Fund	5,092 105	30,024 22	46,256.33
Teekay Corp	195	7,604.78	5,411.25
Tel & Data Systems Special	360	12,927.32	8,971.20
Telenor AS	1,440	23,472.00	24,071.38
Tiffany & Co	107	4,145.72	8,516.13
Time Warner INC New	1,030	31,389.83	36,214 80
TJX Cos	243	8,616 89	13,437 90
TORCHMARK CORP	97	4,118.10	3,917 83
TOYOTA Motor CP ADR New	150	12,139 89	12,288 00
Transatlantic Holding Inc	315	14,492 84	16,131 15
Unilever Plc Amer Shares	735	16,682 43	23,564 10
United Parcel Service Inc Cl-B	235	14,783 19	16,266 70
VCA Antech Inc	150	3,715 18	2,931 00
VISA Inc CL A	330	24,776 36	28,228 20
Vornado Realty Tr	118	7,848 26	11,038.90
Vulcan Materials CO.	310	11,694 83	10,629 90
Walt Disney Co Hldg Co	650	15,172.53	25,103 00
Washington Post Co	5	2,105 79	2,011 50
Washington Post Company CL B	10	4,277.20	4,023 00
Weatherford Intl Inc Bermuda	1,010	17,968 80	22,139.20
Westar Energy Inc	470	10,750 89	12,130.70
Western UN Co	1,460	24,744 29	28,338 60
Williams Co Inc	505	9,287 42	16,008.50
Wisconsin Energy Corp	410	10,114.41	12,566.50
World Op Fund	6,948 041	47,752.27	57,817 32
Xcel Energy Inc	606	13,670 01	14,544.00
XL Group PLC New	470	9,362.63	9,644.40
Yum Brands	155	5,515.70	8,187.10
Zions Bancorp	255	5,045 32	5,584.50
Total		2,221,540 00	2,554,712 81