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Form **990-PF**

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2010Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning 7/01, 2010, and ending 6/30, 2011

G Check all that apply: ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

CASTLE FOUNDATION
C/O CAROLYN O'MALLEY
US BANK NAT'L ASSOC. PO BOX 3168
PORTLAND, OR 97208-3168

94302320

A Employer identification number
87-6117177B Telephone number (see the instructions)
(503) 275-4327C If exemption application is pending, check here ☐D 1 Foreign organizations, check here. ☐2 Foreign organizations meeting the 85% test, check here and attach computation. ☐E If private foundation status was terminated under section 507(b)(1)(A), check here. ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year
(from Part II, column (c), line 16)

\$ 3,604,740.

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____

(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and

Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE				
1 Contributions, gifts, grants, etc. received (att sch)				
2 Ck <input checked="" type="checkbox"/> if the found is not req to att Sch B				
3 Interest on savings and temporary cash investments			N/A	
4 Dividends and interest from securities	75,393.	75,393.		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain/(loss) from sale of assets not on line 10.	484,879.			
b Gross sales price for all assets on line 6a	4,045,075.			
7 Capital gain net income (from Part IV, line 2)		484,879.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit/(loss) (att sch)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	560,272.	560,272.		
ADMINISTRATIVE AND OPERATING EXPENSES				
13 Compensation of officers, directors, trustees, etc.	39,755.	31,804.		7,951.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach sch) SEE ST 1	1,500.	1,200.		300.
c Other prof fees (attach sch)				
17 Interest				
18 Taxes (attach schedule) (see instr.) SEE STM 2	2,300.	1,840.		460.
19 Depreciation (attach sch) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)				
24 Total operating and administrative expenses. Add lines 13 through 23	43,555.	34,844.		8,711.
25 Contributions, gifts, grants paid STMT 3	154,100.			154,100.
26 Total expenses and disbursements. Add lines 24 and 25	197,655.	34,844.		162,811.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	362,617.			
b Net investment income (if negative, enter -0-)		525,428.		
c Adjusted net income (if negative, enter -0-)				

BAA For Paperwork Reduction Act Notice, see the instructions.

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
A S S E T S	1 Cash — non-interest-bearing			
	2 Savings and temporary cash investments	19,563.	58,451.	58,451.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U.S. and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule) STATEMENT 4	2,474,294.	2,808,023.	3,102,131.
	c Investments — corporate bonds (attach schedule) STATEMENT 5	360,000.	350,000.	444,157.
	11 Investments — land, buildings, and equipment: basis			
Less: accumulated depreciation (attach schedule)				
12 Investments — mortgage loans				
13 Investments — other (attach schedule) STATEMENT 6	560.	560.	1.	
14 Land, buildings, and equipment: basis				
Less: accumulated depreciation (attach schedule)				
15 Other assets (describe				
16 Total assets (to be completed by all filers — see instructions. Also, see page 1, item I)	2,854,417.	3,217,034.	3,604,740.	
L I A B I L I T I E S	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
N E T F U N D A S S E T B A L A N C E S	Foundations that follow SFAS 117, check here			
	and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here			
	and complete lines 27 through 31. <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	2,854,417.	3,217,034.	
	28 Paid-in or capital surplus, or land, building, and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances (see the instructions)	2,854,417.	3,217,034.		
31 Total liabilities and net assets/fund balances (see the instructions)	2,854,417.	3,217,034.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,854,417.
2 Enter amount from Part I, line 27a	2	362,617.
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	3,217,034.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	3,217,034.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE STATEMENT 7				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss).	— [If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7] —	2	484,879.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):	— [If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0- in Part I, line 8] —	3	146,059.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2009	155,317.	2,816,416.	0.055147
2008	138,764.	2,862,659.	0.048474
2007	183,874.	3,703,509.	0.049649
2006	178,466.	3,691,146.	0.048350
2005	160,533.	3,537,369.	0.045382

2 Total of line 1, column (d)	2	0.247002
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.049400
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	3,425,549.
5 Multiply line 4 by line 3	5	169,222.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	5,254.
7 Add lines 5 and 6	7	174,476.
8 Enter qualifying distributions from Part XII, line 4	8	162,811.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instr.)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	10,509.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2.		3	10,509.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-.		5	10,509.
6 Credits/Payments:			
a 2010 estimated tax pmts and 2009 overpayment credited to 2010	6a	1,532.	
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d.	7	1,532.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	8,977.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2011 estimated tax		Refunded	11

Part VII Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see the instructions)		
UT		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses.		X

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Part VIIA Statements Regarding Activities (Continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	X	
14	The books are in care of US BANK NATIONAL ASSOCIATION Telephone no. (503) 275-4327 Located at PO BOX 3168 PORTLAND OR ZIP + 4 97208			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 — Check here N/A <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year 15 N/A			
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country ▶			X

Part VIIIB Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? <input type="checkbox"/> 1b N/A		
Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010? 1c X		
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If 'Yes,' list the years ▶ 20__ , 20__ , 20__ , 20__		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement — see the instructions.) 2b N/A		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20__ , 20__ , 20__ , 20__		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If 'Yes,' did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.) 3b N/A		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a X		
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010? 4b X		

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here. ☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If 'Yes' to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
US BANK NATIONAL ASSOCIATION PO BOX 3168 PORTLAND, OR 97208	TRUSTEE 0	39,755.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE'.

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	3,386,959.
b Average of monthly cash balances	1b	90,756.
c Fair market value of all other assets (see instructions)	1c	
d Total (add lines 1a, b, and c)	1d	3,477,715.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets.	2	0.
3 Subtract line 2 from line 1d	3	3,477,715.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	52,166.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,425,549.
6 Minimum investment return. Enter 5% of line 5	6	171,277.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	171,277.
2a Tax on investment income for 2010 from Part VI, line 5	2a	10,509.
b Income tax for 2010. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b.	2c	10,509.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	160,768.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	160,768.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	160,768.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	162,811.
b Program-related investments — total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	162,811.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	162,811.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII. Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7.				160,768.
2 Undistributed income, if any, as of the end of 2010.				
a Enter amount for 2009 only			0.	
b Total for prior years: 20____, 20____, 20____		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005				
b From 2006				
c From 2007	4,299.			
d From 2008				
e From 2009	17,556.			
f Total of lines 3a through e	21,855.			
4 Qualifying distributions for 2010 from Part XII, line 4: ► \$ 162,811.				
a Applied to 2009, but not more than line 2a . .			0.	
b Applied to undistributed income of prior years (Election required — see instructions) . .		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2010 distributable amount				160,768.
e Remaining amount distributed out of corpus .	2,043.			
5 Excess distributions carryover applied to 2010. (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5.	23,898.			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2009 Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see instructions).	0.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	23,898.			
10 Analysis of line 9:				
a Excess from 2006				
b Excess from 2007	4,299.			
c Excess from 2008				
d Excess from 2009	17,556.			
e Excess from 2010	2,043.			

BAA

Form 990-PF (2010)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				
(a) 2010	(b) 2009	(c) 2008	(d) 2007	(e) Total	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities.					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test – enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year – see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

SEE STATEMENT 8

b The form in which applications should be submitted and information and materials they should include:

SEE STATEMENT FOR LINE 2A

c Any submission deadlines:

SEE STATEMENT FOR LINE 2A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE STATEMENT FOR LINE 2A

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i> * See Statement 3				
Total			▶ 3a	154,100
<i>b Approved for future payment</i>				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (see the instructions)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue:					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	75,393.	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	484,879.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue:					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				560,272.	
13	Total. Add line 12, columns (b), (d), and (e)				13	560,272

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

TEEA0503L 02/15/11

2010

FEDERAL STATEMENTS

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CLIENT 4

CASTLE FOUNDATION
C/O CAROLYN O'MALLEY

87-6117177

11/03/11

03:35PM

STATEMENT 1
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
.....	\$ 1,500.	\$ 1,200.		\$ 300.
TOTAL	\$ 1,500.	\$ 1,200.		\$ 300.

STATEMENT 2
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAX.....	\$ 1,439.	\$ 1,151.		\$ 288.
FOREIGN TAXES.....	861.	689.		172.
TOTAL	\$ 2,300.	\$ 1,840.		\$ 460.

STATEMENT 3
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTSCASH GRANTS AND ALLOCATIONS

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

BOYS & GIRLS CLUBS OF GTR SLC
669 SOUTH 200 EAST, SUITE 100
SALT LAKE CITY, UT 84111

RELATIONSHIP OF DONEE:

ORGANIZATIONAL STATUS OF DONEE:

AMOUNT GIVEN:

NONE
PUBLIC

\$ 3,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

PLANNED PARENTHOOD ASSN OF UTA
654 SOUTH 900 EAST
SALT LAKE CITY, UT 84102

RELATIONSHIP OF DONEE:

ORGANIZATIONAL STATUS OF DONEE:

AMOUNT GIVEN:

NONE
PUBLIC

2,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

WESTMINSTER COLLEGE-SALT LAKE
1840 SOUTH 1300 EAST
SALT LAKE CITY, UT 84105

RELATIONSHIP OF DONEE:

ORGANIZATIONAL STATUS OF DONEE:

AMOUNT GIVEN:

NONE
PUBLIC

5,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

AMERICAN RED CROSS-GREATER SLC
PO BOX 3836
SALT LAKE CITY, UT 84110

RELATIONSHIP OF DONEE:

NONE

2010

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CASTLE FOUNDATION
C/O CAROLYN O'MALLEY

87-6117177

11/03/11

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STATEMENT 3 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

ORGANIZATIONAL STATUS OF DONEE:	PUBLIC	
AMOUNT GIVEN:		\$ 1,000.
CLASS OF ACTIVITY:		
DONEE'S NAME:	UNIVERSITY OF UTAH	
DONEE'S ADDRESS:	201 S. PRESIDENTS CIR. RM 304 SALT LAKE CITY, UT 84112	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	PUBLIC	
AMOUNT GIVEN:		2,500.
CLASS OF ACTIVITY:		
DONEE'S NAME:	THE CHILDREN'S CENTER-UTAH	
DONEE'S ADDRESS:	1855 E. MEDICAL DRIVE SALT LAKE CITY, UT 84112	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	PUBLIC	
AMOUNT GIVEN:		1,000.
CLASS OF ACTIVITY:		
DONEE'S NAME:	ODYSSEY HOUSE	
DONEE'S ADDRESS:	344 EAST 100 SOUTH SUITE 301 SALT LAKE CITY, UT 84111	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	PUBLIC	
AMOUNT GIVEN:		2,000.
CLASS OF ACTIVITY:		
DONEE'S NAME:	YOUNG WOMEN CHRISTIANS ASSN	
DONEE'S ADDRESS:	322 EAST 300 SOUTH SALT LAKE CITY, UT 84111	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	PUBLIC	
AMOUNT GIVEN:		2,500.
CLASS OF ACTIVITY:		
DONEE'S NAME:	SALT LAKE SEXUAL ASSAULT NURSE EXAMINERS	
DONEE'S ADDRESS:	2035 SOUTH 1300 EAST SALT LAKE CITY, UT 84105	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	PUBLIC	
AMOUNT GIVEN:		2,500.
CLASS OF ACTIVITY:		
DONEE'S NAME:	CATHOLIC COMMUNITY SERVICES	
DONEE'S ADDRESS:	745 EAST 300 SOUTH SALT LAKE CITY, UT 84102	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	PUBLIC	
AMOUNT GIVEN:		2,000.
CLASS OF ACTIVITY:		
DONEE'S NAME:	SALT LAKE DONATED DENTAL SERVICES	
DONEE'S ADDRESS:	1383 SOUTH 900 WEST, STE 128 SALT LAKE CITY, UT 84104	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	PUBLIC	

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CASTLE FOUNDATION
C/O CAROLYN O'MALLEY

87-6117177

11/03/11

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STATEMENT 3 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

AMOUNT GIVEN: \$ 4,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

VOLUNTEERS OF AMERICA, UTAH

140 WEST 2100 SOUTH SUITE 138

SALT LAKE CITY, UT 84115

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

2,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

NEIGHBORHOOD HOUSE, UTAH

1050 WEST 500 SOUTH

SALT LAKE CITY, UT 84104

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

2,500.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

UNIVERSITY OF UTAH

201 S. PRESIDENTS CIR. RM. 304

SALT LAKE CITY, UT 84112

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

2,500.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

UNIVERSITY OF UTAH

201 S. PRESIDENTS CIR. RM. 304

SALT LAKE CITY, UT 84112

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

2,500.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

UNIVERSITY OF UTAH

201 S. PRESIDENTS CIR. RM. 304

SALT LAKE CITY, UT 84112

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

3,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

UNIVERSITY OF UTAH

201 S. PRESIDENTS CIR. RM. 304

SALT LAKE CITY, UT 84112

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

5,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

UNIVERSITY OF UTAH

201 S. PRESIDENTS CIR. RM. 304

SALT LAKE CITY, UT 84112

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

CLIENT 4

CASTLE FOUNDATION
C/O CAROLYN O'MALLEY

87-6117177

11/03/11

03:35PM

**STATEMENT 3 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS**

AMOUNT GIVEN: \$ 3,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

UNIVERSITY OF UTAH

201 S. PRESIDENTS CIR. RM. 304

SALT LAKE CITY, UT 84112

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

2,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

YMCA OF GREATER SALT LAKE

3098 S. HIGHLAND DR. SUITE 290

SALT LAKE CITY, UT 84106

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

1,500.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

SALT LAKE ART CENTER

20 SOUTH WEST TEMPLE

SALT LAKE CITY, UT 84101

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

1,500.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

GIRL SCOUTS OF UTAH

445 EAST 4500 SOUTH

SALT LAKE CITY, UT 84157

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

1,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

UTAH YOUTH VILLAGE

5800 SOUTH HIGHLAND DRIVE

SALT LAKE CITY, UT 84121

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

3,500.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

CROSSROADS URBAN CENTER

347 SOUTH 400 EAST

SALT LAKE CITY, UT 84111

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

3,500.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

THE ROAD HOME

210 SOUTH RIO GRANDE STREET

SALT LAKE CITY, UT 84101

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

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CASTLE FOUNDATION
C/O CAROLYN O'MALLEY

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STATEMENT 3 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

AMOUNT GIVEN: \$ 3,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

RONALD MCDONAL HOUSE CHARITIES

DONEE'S ADDRESS:

1135 E SOUTH TEMPLE

SALT LAKE CITY, UT 84111

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

3,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

JUNIOR LEAGUE OF SALT LAKE

DONEE'S ADDRESS:

526 EAST 300 SOUTH

SALT LAKE CITY, UT 84102

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

3,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

THE SHARING PLACE

DONEE'S ADDRESS:

1695 EAST 3300 SOUTH

SALT LAKE CITY, UT 84106

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

1,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

FRIENDS OF TRACY AVIARY

DONEE'S ADDRESS:

589 EAST 1300 SOUTH

SALT LAKE CITY, UT 84105

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

1,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

COMMUNITY NURSING SERVICES

DONEE'S ADDRESS:

6949 HIGH TECH DRIVE

MIDVALE, UT 84047

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

2,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

INTERMOUNTAIN THERAPY ANIMALS

DONEE'S ADDRESS:

4050 SOUTH 2700 EAST

SALT LAKE CITY, UT 84124

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

1,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

HOUSE OF HOPE-UTAH ALCOHOLISM FOUNDATION

DONEE'S ADDRESS:

857 EAST 200 SOUTH

SALT LAKE CITY, UT 84102

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

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CASTLE FOUNDATION
C/O CAROLYN O'MALLEY

87-6117177

11/03/11

03:35PM

STATEMENT 3 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

AMOUNT GIVEN: \$ 2,500.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

UNIVERSITY OF UTAH

201 S. PRESIDENT CIR. RM. 304

SALT LAKE CITY, UT 84112

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

3,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

UNIVERSITY OF UTAH

201 S. PRESIDENTS CIR. RM 304

SALT LAKE CITY, UT 84112

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

3,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

UNIVERSITY OF UTAH

201 S. PRESIDENTS CIR. RM. 304

SALT LAKE CITY, UT 84112

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

4,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

UNIVERSITY OF UTAH

201 S. PRESIDENTS CIR. RM. 304

SALT LAKE CITY, UT 84112

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

3,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

UNIVERSITY OF UTAH

201 S. PRESIDENTS CIR. RM. 304

SALT LAKE CITY, UT 84112

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

4,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

AMERICAN LUNG ASSOCIATION

1930 SOUTH 1100 EAST

SALT LAKE CITY, UT 84106

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

2,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

GUADALUPE CENTER EDUCATION PRO

340 SOUTH GOSHEN STREET

SALT LAKE CITY, UT 84104

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

CLIENT 4

CASTLE FOUNDATION
C/O CAROLYN O'MALLEY

87-6117177

11/03/11

03:35PM

STATEMENT 3 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

AMOUNT GIVEN: \$ 1,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

KOSTOPULOS DREAM FOUNDATION

2500 EMIGRATION CANYON

SALT LAKE CITY, UT 84104

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

2,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

SPECIALIZED ABUSE TREATMENT

1800 S. W. TEMPLE SUITE 421

SALT LAKE CITY, UT 84115

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

2,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

FRIENDS FOR SIGHT

661 SOUTH 200 EAST

SALT LAKE CITY, UT 84111

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

2,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

SCOTTISH RITE CHILDREN'S LEARNING CN

1140 36TH STREET SUITE 160

OGDEN, UT 84403

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

4,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

PREVENT CHILD ABUSE UTAH

2955 HARRISON BLVD. SUITE 104

OGDEN, UT 84403

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

2,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

BIG BROTHER BIG SISTERS OF UTA

151 EAST 5600 SOUTH STE 200

SALT LAKE CITY, UT 84107

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

1,500.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

ASSISTANCE LEAGUE OF SALT LAKE

PO BOX 9353

SALT LAKE CITY, UT 84109, UT 84109

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

2010

FEDERAL STATEMENTS

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CASTLE FOUNDATION
C/O CAROLYN O'MALLEY

87-6117177

11/03/11

03:35PM

STATEMENT 3 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

AMOUNT GIVEN: \$ 2,100.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

CAMP HOBE

PO BOX 520755

SALT LAKE CITY, UT 84152

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

2,500.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

UTAH SPECIAL OLYMPICS, INC

5 TRIAD CENTER SUITE 315

SALT LAKE CITY, UT 84180

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

2,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

THE FAMILY SUPPORT CENTER

777 WEST CENTER

MIDVALE, UT 84047

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

AMOUNT GIVEN:

2,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

PUBLIC

RAPE RECOVERY CENTER

2035 SOUTH 1300 EAST

SALT LAKE CITY, UT 84105

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

2,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

PUBLIC

EYE CARE FOR KIDS FOUNDATION

6895 S. STATE STREET

SALT LAKE CITY, UT 84047

RELATIONSHIP OF DONEE:

ORGANIZATIONAL STATUS OF DONEE:

AMOUNT GIVEN:

1,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

PUBLIC

CLUB VISION

607 EAST 3990 SOUTH

SALT LAKE CITY, UT 84107

RELATIONSHIP OF DONEE:

ORGANIZATIONAL STATUS OF DONEE:

AMOUNT GIVEN:

2,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

PUBLIC

COLUMBUS COMMUNITY CENTER

3495 SOUTH WEST TEMPLE

SALT LAKE CITY, UT 84115

RELATIONSHIP OF DONEE:

ORGANIZATIONAL STATUS OF DONEE:

2010

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CLIENT 4

CASTLE FOUNDATION
C/O CAROLYN O'MALLEY

87-6117177

11/03/11

03:35PM

STATEMENT 3 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

AMOUNT GIVEN: \$ 2,000.

CLASS OF ACTIVITY: PUBLIC
DONEE'S NAME: S'PLORE
DONEE'S ADDRESS: 880 EAST 3375 SOUTH
SALT LAKE CITY, UT 84106RELATIONSHIP OF DONEE:
ORGANIZATIONAL STATUS OF DONEE:
AMOUNT GIVEN: 1,000.CLASS OF ACTIVITY: PUBLIC
DONEE'S NAME: UTAH FOOD BANK
DONEE'S ADDRESS: 1025 SOUTH 700 WEST
SALT LAKE CITY, UT 84104RELATIONSHIP OF DONEE:
ORGANIZATIONAL STATUS OF DONEE:
AMOUNT GIVEN: 5,000.CLASS OF ACTIVITY:
DONEE'S NAME: SOUTH VALLEY SANCTUARY
DONEE'S ADDRESS: PO BOX 1028
WEST JORDAN, UT 84048RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: PUBLIC
AMOUNT GIVEN: 3,000.CLASS OF ACTIVITY:
DONEE'S NAME: NATIONAL MULTIPLE SCLEROSIS SO
DONEE'S ADDRESS: 6364 SOUTH HIGHLAND DRIVE, STE
SALT LAKE CITY, UT 84121RELATIONSHIP OF DONEE:
ORGANIZATIONAL STATUS OF DONEE:
AMOUNT GIVEN: 1,000.CLASS OF ACTIVITY:
DONEE'S NAME: DISCOVERY GATEWAY
DONEE'S ADDRESS: 444 WEST 100 SOUTH
SALT LAKE CITY, UT 84101RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: PUBLIC
AMOUNT GIVEN: 2,000.CLASS OF ACTIVITY:
DONEE'S NAME: REGENCE CARING FOUNDATION
DONEE'S ADDRESS: 2890 W COTTONWOOD PARKWAY
SALT LAKE CITY, UT 84121RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: PUBLIC
AMOUNT GIVEN: 4,000.CLASS OF ACTIVITY:
DONEE'S NAME: MALIHEH FREE CLINIC
DONEE'S ADDRESS: 415 EAST 3900 SOUTH
SALT LAKE CITY, UT 84107RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: PUBLIC

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CASTLE FOUNDATION
C/O CAROLYN O'MALLEY

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STATEMENT 3 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

AMOUNT GIVEN: \$ 5,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

FAMILY CONNECTION

DONEE'S ADDRESS:

1360 E 1450 S

CLEARFIELD, UT 84015

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

1,500.

CLASS OF ACTIVITY:

DONEE'S NAME:

VALLEY MENTAL HEALTH

DONEE'S ADDRESS:

5965 SOUTH 900 EAST

MURRAY, UT 84121

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

1,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

LEGAL AID SOCIETY OF SALT LAKE

DONEE'S ADDRESS:

450 SOUTH STATE STREET

SALT LAKE CITY, UT 84111

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

2,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

CLARK PLANETARIUM

DONEE'S ADDRESS:

110 SOUTH 400 WEST

SALT LAKE CITY, UT 84101

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

1,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

WEST RIDGE ACADEMY

DONEE'S ADDRESS:

5500 BAGLEY PARK ROAD

WEST JORDAN, UT 84081

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

1,000.

TOTAL \$ 154,100.

STATEMENT 4
FORM 990-PF, PART II, LINE 10B
INVESTMENTS - CORPORATE STOCKS

CORPORATE STOCKS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCKS	COST	\$ 475,701.	\$ 602,680.
FOREIGN STOCKS	COST	25,503.	37,718.
MUTUAL FUNDS	COST	2,306,819.	2,461,733.
	TOTAL	\$ 2,808,023.	\$ 3,102,131.

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C/O CAROLYN O'MALLEY

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STATEMENT 5
FORM 990-PF, PART II, LINE 10C
INVESTMENTS - CORPORATE BONDS

CORPORATE BONDS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	COST	\$ 260,000.	\$ 337,930.
FOREIGN BONDS	COST	90,000.	106,227.
	TOTAL	<u>\$ 350,000.</u>	<u>\$ 444,157.</u>

STATEMENT 6
FORM 990-PF, PART II, LINE 13
INVESTMENTS - OTHER

OTHER INVESTMENTS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MINERAL INTEREST	COST	\$ 560.	\$ 1.
	TOTAL	<u>\$ 560.</u>	<u>\$ 1.</u>

STATEMENT 7
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	LONG-TERM 15% CAP GAIN DIVS	PURCHASED	VARIOUS	VARIOUS
2	NONQUAL ST CAP GAIN DIVS	PURCHASED	VARIOUS	VARIOUS
3	279 ABERCROMBIE & FITCH CO	PURCHASED	VARIOUS	12/15/2010
4	1424.597 ABERDEEN FDS	PURCHASED	VARIOUS	VARIOUS
5	249 AKAMAI TECHNOLOGIES	PURCHASED	VARIOUS	2/15/2011
6	80 ALLERGAN INC	PURCHASED	VARIOUS	VARIOUS
7	78 ANADARKO PETROLEUM CORP	PURCHASED	VARIOUS	VARIOUS
8	270 ANALOG DEVICES INC	PURCHASED	VARIOUS	VARIOUS
9	46 APACHE CORP	PURCHASED	VARIOUS	VARIOUS
10	88 AUTOMATIC DATA PROCESSING	PURCHASED	VARIOUS	VARIOUS
11	132 BMC SOFTWARE INC	PURCHASED	VARIOUS	2/15/2011
12	1663 BANK OF AMERICA CORP	PURCHASED	VARIOUS	VARIOUS
13	1090 IPATH DOW JONES UBS COMMODITY	PURCHASED	VARIOUS	VARIOUS
14	1657 IPATH GSCI TOTAL RETURN	PURCHASED	VARIOUS	VARIOUS
15	373 BEST BUY CO INC	PURCHASED	VARIOUS	VARIOUS
16	137 BOEING CO	PURCHASED	VARIOUS	VARIOUS
17	660 BRISTOL MYERS SQUIBB CO	PURCHASED	VARIOUS	VARIOUS
18	249 BRUNSWICK CORP	PURCHASED	VARIOUS	VARIOUS
19	291 CMS ENERGY CORP	PURCHASED	VARIOUS	3/10/2011
20	132 CARNIVAL CORP	PURCHASED	VARIOUS	4/28/2011
21	97 CATERPILLER INC	PURCHASED	VARIOUS	VARIOUS
22	144 CISCO SYS INC	PURCHASED	VARIOUS	3/10/2011
23	0.1 CITIGROUP INC	PURCHASED	VARIOUS	5/25/2011
24	142 CLIFFS NATURAL	PURCHASED	VARIOUS	VARIOUS
25	251 COACH INC	PURCHASED	VARIOUS	VARIOUS
26	271 COMERICA INC	PURCHASED	VARIOUS	VARIOUS
27	1512.626 CREDIT SUISSE	PURCHASED	VARIOUS	6/28/2011
28	165 DISNEY WALT CO	PURCHASED	VARIOUS	VARIOUS

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CASTLE FOUNDATION
C/O CAROLYN O'MALLEY

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STATEMENT 7 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
29	145 DIRECTV	PURCHASED	VARIOUS	VARIOUS
30	269 DOLLAR TREE INC	PURCHASED	VARIOUS	VARIOUS
31	130 DOVER CORP	PURCHASED	VARIOUS	VARIOUS
32	351 DR PEPPER SNAPPLE GROUP	PURCHASED	VARIOUS	VARIOUS
33	1846.649 DRIEHAUS SELECT CREDIT FUND	PURCHASED	VARIOUS	VARIOUS
34	390 EMC CORPORATION	PURCHASED	VARIOUS	VARIOUS
35	324 EATON CORP	PURCHASED	VARIOUS	VARIOUS
36	465 E BAY INC	PURCHASED	VARIOUS	VARIOUS
37	128 ENERGIZER HOLDINGS INC	PURCHASED	VARIOUS	2/15/2011
38	163 EXELON CORPORATION	PURCHASED	VARIOUS	VARIOUS
39	80 EXPRESS SCRIPTS INC	PURCHASED	VARIOUS	2/15/2011
40	865.197 NUVEEN REAL ESTATE SECS	PURCHASED	VARIOUS	VARIOUS
41	12470.147 NUVEEN GLOBAL	PURCHASED	VARIOUS	VARIOUS
42	9999.957 NUVEEN INFLATION PRO SEC	PURCHASED	VARIOUS	10/21/2010
43	1815.631 NUVEEN MID CAP GRWTH OPP	PURCHASED	VARIOUS	8/06/2010
44	134 FOOT LOCKER INC	PURCHASED	VARIOUS	VARIOUS
45	485 FORD MOTOR CO	PURCHASED	VARIOUS	VARIOUS
46	37 FREEPORT MCMORAN COPPER GOLD	PURCHASED	VARIOUS	3/10/2011
47	1435 GENERAL ELECTRIC CO	PURCHASED	VARIOUS	VARIOUS
48	291 GENERAL MILLS INC	PURCHASED	VARIOUS	VARIOUS
49	231.583 GOLDMAN SACHS MC VALUE CL INST	PURCHASED	VARIOUS	10/21/2010
50	97 HESS CORP	PURCHASED	VARIOUS	VARIOUS
51	380 INTEL CORP	PURCHASED	VARIOUS	VARIOUS
52	353 INTERNATIONAL PAPER CO	PURCHASED	VARIOUS	VARIOUS
53	971 ISHARES MSCI EMERGING MKTS	PURCHASED	VARIOUS	VARIOUS
54	2000 I SHARES RUSSEL 3000 INDEX FUND	PURCHASED	VARIOUS	VARIOUS
55	66 JARDEN CORP	PURCHASED	VARIOUS	2/15/2011
56	1555 KEYCORP NEW	PURCHASED	VARIOUS	VARIOUS
57	182 LAUDER ESTEE COS	PURCHASED	VARIOUS	2/15/2011
58	417 LINCOLN NATIONAL CORP IND	PURCHASED	VARIOUS	VARIOUS
59	263 MANPOWER INC	PURCHASED	VARIOUS	VARIOUS
60	22 MASTERCARD INC	PURCHASED	VARIOUS	2/15/2011
61	50 MCKESSON CORPORATION	PURCHASED	VARIOUS	2/15/2011
62	222 MEDCO HEALTH SOLUTIONS INC	PURCHASED	VARIOUS	VARIOUS
63	156 THE MOSAIC CO	PURCHASED	VARIOUS	VARIOUS
64	117 NATIONAL OILWELL VARCO INC	PURCHASED	VARIOUS	VARIOUS
65	1924.121 LOOMIS SAYLES	PURCHASED	VARIOUS	VARIOUS
66	2416.546 NEUBERGER BERMAN GENESIS INSTL	PURCHASED	VARIOUS	VARIOUS
67	5850.577 NUVEEN GLOBAL	PURCHASED	VARIOUS	VARIOUS
68	64 OCCIDENTAL PETROLEUM CORPORATION	PURCHASED	VARIOUS	VARIOUS
69	466 OFFICEMAX INC	PURCHASED	VARIOUS	VARIOUS
70	82 ORACLE CORPORATION	PURCHASED	VARIOUS	3/10/2011
71	149 PGE CORP	PURCHASED	VARIOUS	6/30/2011
72	125 PANERA BREAD COMPANY	PURCHASED	VARIOUS	8/18/2010
73	192 PARKER HANNIFIN CORP	PURCHASED	VARIOUS	VARIOUS
74	1141 PFIZER INC	PURCHASED	VARIOUS	VARIOUS
75	1705 REGIONS FINL CORP	PURCHASED	VARIOUS	VARIOUS
76	71 SLM CORP	PURCHASED	VARIOUS	3/21/2011
77	1736 SPDR BARCLAYS CAPITAL INTL	PURCHASED	VARIOUS	VARIOUS
78	4582.337 SCOUT INTERNATIONAL FUND	PURCHASED	VARIOUS	8/06/2010
79	267 STARBUCKS CORP	PURCHASED	VARIOUS	VARIOUS
80	127 TERADATA CORP DEL	PURCHASED	VARIOUS	2/15/2011
81	612 TEXTRON INC	PURCHASED	VARIOUS	VARIOUS
82	150 UNITED HEALTH GROUP INCORPORATED	PURCHASED	VARIOUS	VARIOUS
83	59 VERIZON COMMUNICATIONS INC	PURCHASED	VARIOUS	6/24/2011
84	115 VMWARE INC	PURCHASED	VARIOUS	VARIOUS

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CASTLE FOUNDATION
C/O CAROLYN O'MALLEY

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STATEMENT 7 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
85	138 WHIRLPOOL CORP	PURCHASED	VARIOUS	VARIOUS
86	159 WHITING PETROLEUM CORP	PURCHASED	VARIOUS	VARIOUS
87	329 WILLIAMS SONOMA INC	PURCHASED	VARIOUS	2/15/2011
88	821 WINDSTREAM CORP	PURCHASED	VARIOUS	3/10/2011
89	542 YAHOO INC	PURCHASED	VARIOUS	VARIOUS
90	403 SEAGATE TECHNOLOGY	PURCHASED	VARIOUS	6/13/2011
91	85 ACE LTD	PURCHASED	VARIOUS	VARIOUS
92	299 TYCO INTERNATIONAL LTD	PURCHASED	VARIOUS	VARIOUS
93	88 CHECK POINT SOFTWARE TECH LTD	PURCHASED	VARIOUS	2/15/2011
94	580 ABBOTT LABORATORIES	PURCHASED	VARIOUS	VARIOUS
95	381 AMERICAN TOWER CORP	PURCHASED	VARIOUS	VARIOUS
96	300 AMPHENOL CORP	PURCHASED	VARIOUS	VARIOUS
97	98 APPLE INC	PURCHASED	VARIOUS	VARIOUS
98	BARCLAYS 18 MO SPX	PURCHASED	VARIOUS	9/29/2010
99	BARCLAYS 24MO IWM	PURCHASED	VARIOUS	5/26/2011
100	355 BAXTER INTL INC	PURCHASED	VARIOUS	VARIOUS
101	100 BECTON DICKINSON AND CO	PURCHASED	VARIOUS	VARIOUS
102	350 CH ROBINSON WORLDWIDE INC	PURCHASED	VARIOUS	VARIOUS
103	668 CVS CAREMARK CORP	PURCHASED	VARIOUS	VARIOUS
104	154 CAMERON INTL CORP	PURCHASED	VARIOUS	VARIOUS
105	300 CELGENE CORP	PURCHASED	VARIOUS	VARIOUS
106	800 CISCO SYS INC	PURCHASED	VARIOUS	VARIOUS
107	200 DUN & BRADSTREET CORPORATION	PURCHASED	VARIOUS	VARIOUS
108	824 EXXON MOBIL CORP	PURCHASED	VARIOUS	VARIOUS
109	300 FASTENAL CO	PURCHASED	VARIOUS	VARIOUS
110	3195.601 NUVEEN REAL ESTATE SECS	PURCHASED	VARIOUS	VARIOUS
111	35451.944 NUVEEN TOTAL RETURN BOND	PURCHASED	VARIOUS	VARIOUS
112	4328.17 NUVEEN MID CAPITAL INDEX FUND	PURCHASED	VARIOUS	8/06/2010
113	100 GILEAD SCIENCES INC	PURCHASED	VARIOUS	VARIOUS
114	25 GOLDMAN SACHS GROUP INC	PURCHASED	VARIOUS	VARIOUS
115	870 HEWLETT PACKARD CO	PURCHASED	VARIOUS	VARIOUS
116	400 ILLINOIS TOOL WORKS	PURCHASED	VARIOUS	VARIOUS
117	800 INTUIT INC	PURCHASED	VARIOUS	VARIOUS
118	25000 JPMORGAN 13 MO EFA	PURCHASED	VARIOUS	8/31/2010
119	25000 JP MORGAN 18MO EEM	PURCHASED	VARIOUS	11/05/2010
120	10000 JPMORGAN 13MO IWM	PURCHASED	VARIOUS	11/30/2010
121	10000 JPMORGAN 13MO EEM	PURCHASED	VARIOUS	11/30/2010
122	150 KELLOGG CO	PURCHASED	VARIOUS	VARIOUS
123	100 MASTERCARD INC	PURCHASED	VARIOUS	8/10/2010
124	600 MCDONALDS CORP	PURCHASED	VARIOUS	VARIOUS
125	700 MEDTRONIC INC	PURCHASED	VARIOUS	VARIOUS
126	1000 MICROSOFT CORP	PURCHASED	VARIOUS	VARIOUS
127	200 MONSANTO CO	PURCHASED	VARIOUS	VARIOUS
128	300 NIKE INC	PURCHASED	VARIOUS	VARIOUS
129	1590.878 NUVEEN HIGH INCOME BOND	PURCHASED	VARIOUS	6/28/2011
130	125.334 NUVEEN REAL ESTATE SECS	PURCHASED	VARIOUS	VARIOUS
131	500 OMNICOM GROUP INC	PURCHASED	VARIOUS	VARIOUS
132	950 ORACLE CORPORATION	PURCHASED	VARIOUS	VARIOUS
133	15098.884 PIMCO TOTAL RETURN FUND INST	PURCHASED	VARIOUS	VARIOUS
134	500 PEPSICO INC	PURCHASED	VARIOUS	VARIOUS
135	750 PHILIP MORRIS INTL	PURCHASED	VARIOUS	8/10/2010
136	300 POLO RALPH LAUREN CORP	PURCHASED	VARIOUS	VARIOUS
137	275 PRAXAIR INC	PURCHASED	VARIOUS	VARIOUS
138	150 PRECISION CASTPARTS CORP	PURCHASED	VARIOUS	8/10/2010
139	480 PROCTER & GAMBLE CO	PURCHASED	VARIOUS	VARIOUS
140	531 QUALCOMM INC	PURCHASED	VARIOUS	VARIOUS

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CASTLE FOUNDATION
C/O CAROLYN O'MALLEY

87-6117177

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STATEMENT 7 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
141	250 RAYTHEON COMPANY	PURCHASED	VARIOUS	VARIOUS
142	100 RESEARCH IN MOTION	PURCHASED	VARIOUS	VARIOUS
143	350 ROCKWELL COLLINS INC	PURCHASED	VARIOUS	VARIOUS
144	200 ST JUDE MED INC	PURCHASED	VARIOUS	8/10/2010
145	275 SCHLUMBERGER LTD	PURCHASED	VARIOUS	VARIOUS
146	800 SCHWAB CHARLES CORP	PURCHASED	VARIOUS	VARIOUS
147	500 TARGET CORPORATION	PURCHASED	VARIOUS	VARIOUS
148	900 TEXAS INSTRUMENTS INC	PURCHASED	VARIOUS	VARIOUS
149	450 THERMO FISHER SCIENTIFIC INC	PURCHASED	VARIOUS	VARIOUS
150	265 UNITED TECHNOLOGIES CORP	PURCHASED	VARIOUS	VARIOUS
151	200 VARIAN MED SYS INC	PURCHASED	VARIOUS	8/10/2010
152	800 ACCENTURE PLC CL A	PURCHASED	VARIOUS	VARIOUS
153	100 TRANSOCEAN LTD	PURCHASED	VARIOUS	8/10/2010
154	AMERICAN INTERNATIONAL GROUP INC	PURCHASED	VARIOUS	9/24/2010

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	7,044.		0.	7,044.			\$	7,044.
2	14,437.		0.	14,437.				14,437.
3	20,090.		15,557.	4,533.				4,533.
4	21,694.		20,683.	1,011.				1,011.
5	10,709.		10,267.	442.				442.
6	6,089.		5,742.	347.				347.
7	7,987.		6,137.	1,850.				1,850.
8	11,295.		9,734.	1,561.				1,561.
9	6,523.		5,494.	1,029.				1,029.
10	5,099.		4,390.	709.				709.
11	8,345.		6,522.	1,823.				1,823.
12	16,613.		19,972.	-3,359.				-3,359.
13	55,860.		50,499.	5,361.				5,361.
14	58,635.		54,519.	4,116.				4,116.
15	10,983.		12,563.	-1,580.				-1,580.
16	11,059.		9,931.	1,128.				1,128.
17	16,578.		16,998.	-420.				-420.
18	6,753.		5,511.	1,242.				1,242.
19	5,716.		5,593.	123.				123.
20	3,617.		4,904.	-1,287.				-1,287.
21	12,280.		9,632.	2,648.				2,648.
22	1,882.		2,595.	-713.				-713.
23	4.		4.	0.				0.
24	13,506.		11,126.	2,380.				2,380.
25	16,008.		12,845.	3,163.				3,163.
26	10,425.		10,390.	35.				35.
27	12,948.		13,644.	-696.				-696.
28	8,243.		7,012.	1,231.				1,231.
29	6,992.		6,374.	618.				618.
30	15,552.		13,540.	2,012.				2,012.
31	9,670.		8,346.	1,324.				1,324.
32	12,770.		12,994.	-224.				-224.
33	19,047.		19,246.	-199.				-199.
34	12,663.		10,479.	2,184.				2,184.
35	26,031.		20,687.	5,344.				5,344.
36	18,745.		14,284.	4,461.				4,461.
37	7,862.		8,729.	-867.				-867.

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CASTLE FOUNDATION
C/O CAROLYN O'MALLEY

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STATEMENT 7 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
38	6,768.		6,798.	-30.				\$ -30.
39	5,467.		4,556.	911.				911.
40	18,134.		15,737.	2,397.				2,397.
41	129,141.		117,571.	11,570.				11,570.
42	113,337.		108,700.	4,637.				4,637.
43	64,836.		64,836.	0.				0.
44	3,284.		2,536.	748.				748.
45	7,011.		7,580.	-569.				-569.
46	2,376.		1,871.	505.				505.
47	27,545.		25,590.	1,955.				1,955.
48	11,223.		10,591.	632.				632.
49	8,406.		7,709.	697.				697.
50	7,778.		6,583.	1,195.				1,195.
51	8,600.		8,186.	414.				414.
52	9,370.		9,040.	330.				330.
53	50,090.		45,499.	4,591.				4,591.
54	133,276.		132,454.	822.				822.
55	2,446.		2,304.	142.				142.
56	12,993.		13,105.	-112.				-112.
57	21,396.		16,875.	4,521.				4,521.
58	9,553.		10,223.	-670.				-670.
59	16,666.		15,744.	922.				922.
60	5,598.		5,549.	49.				49.
61	4,698.		3,914.	784.				784.
62	14,341.		13,171.	1,170.				1,170.
63	13,816.		12,183.	1,633.				1,633.
64	11,421.		8,928.	2,493.				2,493.
65	18,988.		19,230.	-242.				-242.
66	134,661.		113,692.	20,969.				20,969.
67	56,528.		55,498.	1,030.				1,030.
68	7,890.		6,430.	1,460.				1,460.
69	2,309.		4,176.	-1,867.				-1,867.
70	2,932.		2,652.	280.				280.
71	5,674.		6,191.	-517.				-517.
72	9,135.		9,303.	-168.				-168.
73	21,054.		16,929.	4,125.				4,125.
74	24,528.		21,657.	2,871.				2,871.
75	10,695.		11,707.	-1,012.				-1,012.
76	919.		981.	-62.				-62.
77	107,362.		106,269.	1,093.				1,093.
78	137,333.		133,758.	3,575.				3,575.
79	10,934.		8,920.	2,014.				2,014.
80	7,885.		6,026.	1,859.				1,859.
81	16,218.		14,829.	1,389.				1,389.
82	7,270.		6,385.	885.				885.
83	2,110.		2,120.	-10.				-10.
84	12,996.		10,892.	2,104.				2,104.
85	12,263.		11,921.	342.				342.
86	14,794.		12,302.	2,492.				2,492.
87	15,052.		12,203.	2,849.				2,849.
88	10,903.		10,164.	739.				739.
89	9,920.		8,809.	1,111.				1,111.
90	4,923.		6,102.	-1,179.				-1,179.

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CASTLE FOUNDATION
C/O CAROLYN O'MALLEY

87-6117177

11/03/11

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STATEMENT 7 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
91	6,119.		5,352.	767.				\$ 767.
92	11,998.		11,720.	278.				278.
93	5,781.		4,401.	1,380.				1,380.
94	25,303.		28,782.	-3,479.				-3,479.
95	25,849.		18,690.	7,159.				7,159.
96	19,541.		14,009.	5,532.				5,532.
97	56,258.		30,229.	26,029.				26,029.
98	32,200.		26,100.	6,100.				6,100.
99	52,500.		38,750.	13,750.				13,750.
100	10,845.		16,403.	-5,558.				-5,558.
101	7,513.		7,429.	84.				84.
102	30,368.		23,443.	6,925.				6,925.
103	21,611.		20,545.	1,066.				1,066.
104	14,760.		9,042.	5,718.				5,718.
105	16,318.		16,734.	-416.				-416.
106	18,503.		15,547.	2,956.				2,956.
107	13,117.		14,496.	-1,379.				-1,379.
108	79,479.		54,776.	24,703.				24,703.
109	20,836.		15,546.	5,290.				5,290.
110	48,092.		53,842.	-5,750.				-5,750.
111	391,747.		371,718.	20,029.				20,029.
112	60,465.		48,432.	12,033.				12,033.
113	2,694.		3,940.	-1,246.				-1,246.
114	4,389.		4,095.	294.				294.
115	49,743.		39,123.	10,620.				10,620.
116	18,083.		19,110.	-1,027.				-1,027.
117	50,460.		33,014.	17,446.				17,446.
118	25,000.		25,000.	0.				0.
119	25,000.		25,000.	0.				0.
120	10,000.		10,000.	0.				0.
121	10,000.		10,000.	0.				0.
122	8,606.		7,555.	1,051.				1,051.
123	26,436.		20,183.	6,253.				6,253.
124	69,612.		42,447.	27,165.				27,165.
125	24,386.		26,503.	-2,117.				-2,117.
126	26,870.		25,155.	1,715.				1,715.
127	5,471.		12,538.	-7,067.				-7,067.
128	32,071.		22,875.	9,196.				9,196.
129	10,985.		11,592.	-607.				-607.
130	3,047.		2,490.	557.				557.
131	27,557.		21,021.	6,536.				6,536.
132	33,429.		24,722.	8,707.				8,707.
133	173,293.		168,067.	5,226.				5,226.
134	39,618.		32,564.	7,054.				7,054.
135	43,856.		38,849.	5,007.				5,007.
136	42,902.		27,233.	15,669.				15,669.
137	31,322.		24,604.	6,718.				6,718.
138	27,683.		18,733.	8,950.				8,950.
139	30,971.		28,948.	2,023.				2,023.
140	34,519.		20,792.	13,727.				13,727.
141	12,362.		12,246.	116.				116.
142	4,797.		5,215.	-418.				-418.
143	28,567.		20,805.	7,762.				7,762.

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CASTLE FOUNDATION
C/O CAROLYN O'MALLEY

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STATEMENT 7 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
144	7,923.		7,620.	303.				\$ 303.
145	29,730.		19,270.	10,460.				10,460.
146	15,356.		12,950.	2,406.				2,406.
147	27,581.		26,080.	1,501.				1,501.
148	43,237.		23,796.	19,441.				19,441.
149	21,006.		20,781.	225.				225.
150	29,222.		20,939.	8,283.				8,283.
151	15,142.		11,261.	3,881.				3,881.
152	52,265.		37,349.	14,916.				14,916.
153	5,985.		5,775.	210.				210.
154	96.		48.	48.				48.
							TOTAL	\$ 484,879.

STATEMENT 8
FORM 990-PF, PART XV, LINE 2A-D
APPLICATION SUBMISSION INFORMATION

NAME OF GRANT PROGRAM:

NAME:

CARE OF:

STREET ADDRESS:

CITY, STATE, ZIP CODE:

TELEPHONE:

FORM AND CONTENT:

SUBMISSION DEADLINES:

RESTRICTIONS ON AWARDS:

MICHAEL I. POULTER OR SONGA CORBIN

US BANK NATIONAL ASSOCIATION

170 SOUTH MAIN STREET SUITE 600

SALT LAKE CITY, UT 84101

WRITTEN APPLICATION SETTING FORTH APPLICANT'S PUBLIC
CHARITY STATUS

NONE

PUBLIC CHARITIES, SOME PREFERENCE TO THOSE PERFORMING
ACTIVITIES WITHIN THE STATE OF UTAH.