Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2010

For calendar year 2010, or tax year beginning 07/01 **, 2010, and ending** 06/30, 2011

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation
THE WERTH FAMILY FOUNDATION, INC.

A Employer identification number
80-0024133

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
C/O PETER J. WERTH, JR.
85 RIMMON ROAD

B Telephone number (see page 10 of the instructions)
EXT 108
(203) 387-7794

City or town, state, and ZIP code
WOODBRIDGE, CT 06525

C If exemption application is pending, check here ▶ ☐
D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐
E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 9,028,265.

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) ____________
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	1,255,000.			
	2	Check ▶ ☐ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	136,102.	136,102.	136,102.	ATCH 1
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	131,058.			
	b	Gross sales price for all assets on line 6a 8,240,012.				
	7	Capital gain net income (from Part IV, line 2)		131,058.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	1,522,160.	267,160.	136,102.	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	0.			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule) ATCH 2	5,600.	5,600.	5,600.	0.
	c	Other professional fees (attach schedule)				
	17	Interest ATTACHMENT 3	2,885.	2,885.	2,885.	0.
	18	Taxes (attach schedule) (see page 14 of the instructions)				
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule) ATCH 4	24,717.	18,941.	18,941.	
	24	**Total operating and administrative expenses.** Add lines 13 through 23	33,202.	27,426.	27,426.	0.
	25	Contributions, gifts, grants paid	714,183.			714,183.
	26	**Total expenses and disbursements.** Add lines 24 and 25	747,385.	27,426.	27,426.	714,183.
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	774,775.			
	b	**Net investment income** (if negative, enter -0-)		239,734.		
	c	**Adjusted net income** (if negative, enter -0-)			108,676.	

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Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	2,064,931.	2,750,240.	2,750,240.
	3 Accounts receivable ▶			
	Less· allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments - U S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule) ATCH 5	2,316,073.	2,629,980.	2,629,980.
	c Investments - corporate bonds (attach schedule) ATCH 6	205,665.	207,182.	207,182.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule) ATCH 7	2,971,869.	3,440,863.	3,440,863.
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	7,558,538.	9,028,265.	9,028,265.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)		0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ X			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	7,558,538.	9,028,265.	
	30 **Total net assets or fund balances** (see page 17 of the instructions)	7,558,538.	9,028,265.	
	31 **Total liabilities and net assets/fund balances** (see page 17 of the instructions)	7,558,538.	9,028,265.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	7,558,538.
2	Enter amount from Part I, line 27a	2	774,775.
3	Other increases not included in line 2 (itemize) ▶ ATTACHMENT 8	3	694,952.
4	Add lines 1, 2, and 3	4	9,028,265.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	9,028,265.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
	(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any	
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	131,058.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009			
2008			
2007			
2006			
2005			

2 **Total** of line 1, column (d)	2	
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	
5 Multiply line 4 by line 3	5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6	7	
8 Enter qualifying distributions from Part XII, line 4	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 ... Date of ruling or determination letter ____________ (attach copy of ruling letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	4,795.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	4,795.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	4,795.
6	Credits/Payments.		
a	2010 estimated tax payments and 2009 overpayment credited to 2010 — 6a: 3,497.		
b	Exempt foreign organizations-tax withheld at source — 6b: 0.		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 0.		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	3,497.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ATTACHMENT 9 ▶	9	1,324.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be: **Credited to 2011 estimated tax** ▶ 0. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ______ (2) On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ CT,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* ATTACHMENT 10	10	X	

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ DHL&S, P.C. Telephone no ▶ 203-929-3535

Located at ▶ 4 CORPORATE DRIVE SHELTON, CT ZIP + 4 ▶ 06484

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).			
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No			
	If "Yes," list the years ▶			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 22 of the instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a	During the year did the foundation pay or incur any amount to		
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☒		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No		
	If "Yes," attach the statement required by Regulations section 53 4945-5(d).		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
	If "Yes" to 6b, file Form 8870		
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☐ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 11		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
N/A		
Total number of others receiving over $50,000 for professional services ▶		NONE

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A NONE	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes.		
a	Average monthly fair market value of securities	1a	5,635,574.
b	Average of monthly cash balances	1b	2,839,447.
c	Fair market value of all other assets (see page 25 of the instructions)	1c	0.
d	**Total** (add lines 1a, b, and c)	1d	8,475,021.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	8,475,021.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	127,125.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	8,347,896.
6	**Minimum investment return.** Enter 5% of line 5	6	417,395.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	417,395.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	4,795.		
b	Income tax for 2010 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	4,795.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	412,600.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	412,600.
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	412,600.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	714,183.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	714,183.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	N/A
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	714,183.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7					412,600.
2 Undistributed income, if any, as of the end of 2010					
a Enter amount for 2009 only					
b Total for prior years 20 08 ,20 07 ,20 06			0.		
3 Excess distributions carryover, if any, to 2010					
a From 2005	0.				
b From 2006	13,880.				
c From 2007	56,067.				
d From 2008					
e From 2009	192,975.				
f Total of lines 3a through e		262,922.			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ $ 714,183.					
a Applied to 2009, but not more than line 2a					
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)					
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)					
d Applied to 2010 distributable amount					412,600.
e Remaining amount distributed out of corpus		301,583.			
5 Excess distributions carryover applied to 2010 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		564,505.			
b Prior years' undistributed income. Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions			0.		
e Undistributed income for 2009 Subtract line 4a from line 2a. Taxable amount - see page 27 of the instructions					
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011					
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)					
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions)		0.			
9 **Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a		564,505.			
10 Analysis of line 9					
a Excess from 2006	13,880.				
b Excess from 2007	56,067.				
c Excess from 2008					
d Excess from 2009	192,975.				
e Excess from 2010	301,583.				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

PETER WERTH JR

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

PETER WERTH JR.

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed:

N/A

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines.

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATTACHMENT 12				
Total . . . . . ▶ **3a**				714,183.
b *Approved for future payment*				
Total . . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	136,102.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	131,058.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				267,160.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . . 13 267,160.

(See worksheet in line 13 instructions on page 29 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 29 of the instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Pamela H. Werth — 12/28/2012 — V.P + Treasurer

Signature of officer or trustee | Date | Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
PAUL M STERCZALA	Paul M Sterczala	2/22/2012		P00034531

Firm's name ▶ DWORKEN HILLMAN LAMORTE & STERCZALA — Firm's EIN ▶ 06-1308345

Firm's address ▶ FOUR CORPORATE DRIVE, SUITE 488, SHELTON, CT 06484 — Phone no 203-929-3535

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**

OMB No 1545-0047

2010

Name of the organization
THE WERTH FAMILY FOUNDATION, INC.

Employer identification number
80-0024133

Organization type (check one)

Filers of: **Section:**

Form 990 or 990-EZ
[X] 501(c)(3) (enter number) organization
[ ] 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
[ ] 527 political organization

Form 990-PF
[X] 501(c)(3) exempt private foundation
[ ] 4947(a)(1) nonexempt charitable trust treated as a private foundation
[ ] 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions.

General Rule

[X] For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

[ ] For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1 Complete Parts I and II.

[ ] For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

[ ] For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not aggregate to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization THE WERTH FAMILY FOUNDATION, INC.

Employer identification number 80-0024133

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	PETER J. WERTH JR 85 RIMMON ROAD WOODBRIDGE, CT 06525	$ 1,250,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
2	OTHERS < $5,000 EACH	$ 5,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
MERRILL LYNCH AC	98,047.	98,047.	98,047.
ML-WP TRUST IV K-1	8,817.	8,817.	8,817.
BIRINYI FUND K-1	4,801.	4,801.	4,801.
ML-WP V	3,368.	3,368.	3,368.
HERITAGE CAPITAL # 876	6,660.	6,660.	6,660.
HERITAGE CAPITAL # 884	14,308.	14,308.	14,308.
ISHARES S&P GSCI COMMODITY INDEXED TRUST	82.	82.	82.
POWERSHARES DB COMMODITY INDEX TRACKING	19.	19.	19.
TOTAL	136,102.	136,102.	136,102.

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
ACCOUNTING FEE	5,600.	5,600.	5,600.	
TOTALS	5,600.	5,600.	5,600.	0.

FORM 990PF, PART I - INTEREST EXPENSE

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
INVESTMENT INTEREST EXPENSE	2,885.	2,885.	2,885.	0.
TOTALS	2,885.	2,885.	2,885.	0.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
MISCELLANEOUS EXPENSES/SERVICE	433.	0.	0.
BANK CHARGES	11,074.	11,074.	11,074.
OTHER PORTFOLIO DEDUCTIONS	13,207.	7,864.	7,864.
FOREIGN TAX PAID ON DIV	3.	3.	3.
TOTALS	24,717.	18,941.	18,941.

THE WERTH FAMILY FOUNDATION, INC. 80-0024133

ATTACHMENT 5

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
MERRILL LYNCH - EQUIT./MUT.FDS	1,776,406.	1,776,406.
HERITAGE CAPITAL #876	576,999.	576,999.
HERITAGE CAPITAL #884	276,575.	276,575.
TOTALS	2,629,980.	2,629,980.

ATTACHMENT 6

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
MERRILL LYNCH	207,182.	207,182.
TOTALS	207,182.	207,182.

ATTACHMENT 7

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
CT MEZZANINE(VIA SMITH BARNEY)	0.	0.
ML-WP TRUST (VIA MERRIL LYNCH)	245,250.	245,250.
BIRINYI FUND	0.	0.
ML BCP V	218,601.	218,601.
PAULSON RECOVERY	615,728.	615,728.
PAULSON ADVANTAGE ACCESS	523,833.	523,833.
OZOFII ACCESS LTD	549,523.	549,523.
YORK ACCESS LTD CI I	590,487.	590,487.
GLOBAL MACRO OPPORTUNITIES	496,804.	496,804.
GREENHAVEN CONTINUOUS COMM	101,070.	101,070.
ISHARES S&P GSCI COMMODITY	99,567.	99,567.
TOTALS	3,440,863.	3,440,863.

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION		AMOUNT
UNREALIZED GAIN ON INVESTMENTS		688,438.
K-1 APPRECIATION & MISC		6,514.
	TOTAL	694,952.

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		K-1 ISHARES S&P GSCI COMMODITY INDEXED T PROPERTY TYPE: SECURITIES				P	VAR	06/30/2011
							-41.	
		K-1 POWERSHARES DB PROPERTY TYPE: SECURITIES				P	VAR	06/30/2011
							-12.	
		K-1 BIRINYI FUND PROPERTY TYPE: SECURITIES				P	VAR	06/30/2011
							5,033.	
		K-1 ML-WP TRUST IV PROPERTY TYPE: SECURITIES				P	VAR	06/30/2011
							-52.	
		HERITAGE CAPITAL #876 - SEE ATTACHED PROPERTY TYPE: SECURITIES				P	VAR	06/30/2011
3,647,944.		3,554,090.					93,854.	
		HERITAGE CAPITAL #884 - SEE ATTACHED PROPERTY TYPE: SECURITIES				P	VAR	06/30/2011
4,563,372.		4,551,452.					11,920.	
		K-1 BIRINYI FUND PROPERTY TYPE: SECURITIES				P	VAR	06/30/2011
							-8,693.	
		K-1 ML BCP V PROPERTY TYPE: SECURITIES				P	VAR	06/30/2011
							412.	
		WASH SALE ADJ.(SEE STMT)-HERITAGE CAP #8 PROPERTY TYPE: SECURITIES				P	VAR	06/30/2011
7,234.		0.					7,234.	
		WASH SALE ADJ. SEE STMT - HERITAGE CAP # PROPERTY TYPE: SECURITIES				P	VAR	06/30/2011
20,806.							20,806.	
		ML BCP V - LT PROPERTY TYPE: SECURITIES				P	VAR	12/31/2010
2.							2.	
		K-1 POWERSHARES DB PROPERTY TYPE: SECURITIES				P	VAR	12/31/2010
							-59.	

THE WERTH FAMILY FOUNDATION, INC. 80-0024133

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		BASIS ADJUSTMENT K-1S					VAR	12/31/2010
654.							654.	
TOTAL GAIN(LOSS)							131,058.	

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Werth Family Foundation
Schedule of Gains/Losses FYE 6/30/10
EIN. 80-0024133

A/C	Description	Date Acquired	Date Sold	Proceeds	Cost	Short-Term Gain/(loss)	Disallowed Loss	Adj. ST Gain/(loss)
HC876	Ishares TR Dow Jones U S Real Estate Index		7/30/2010	23,957	22,314	1,643		1,643
HC876	Select Sector SPDR TR Consumer Discretionary		7/30/2010	76,473	73,561	2,912		2,912
HC876	Rydex Leisure Inv Class Exchange		9/7/2010	239,478	230,630	8,848		8,848
HC876	Ishares TR Dow Jones U S Real Estate Index		9/10/2010	24,587	22,218	2,369		2,369
HC876	Rydex Consumer Products Investor CL		9/13/2010	120,617	119,764	853		853
HC876	Rydex Consumer Products Investor CL		9/29/2010	123,409	119,714	3,695		3,695
HC876	Rydex Leisure Inv Class Exchange		10/18/2010	280,630	265,916	14,714		14,714
HC876	Rydex Transportation Investor Class		11/1/2010	147,359	142,959	4,400		4,400
HC876	Rydex Leisure Inv Class Exchange		11/10/2010	149,989	147,359	2,630		2,630
HC876	Rydex Transportation Investor Class		11/24/2010	140,881	143,115	(2,234)		(2,234)
HC876	Rydex S&P 500 2X Strategy Cl		11/26/2010	59,726	58,351	1,375		1,375
HC876	Proshares TR Proshares Ultrashort S&P		11/30/2010	78,259	80,418	(2,159)		(2,159)
HC876	Rydex Real Estate H Class		12/8/2010	146,209	126,762	19,447		19,447
HC876	Rydex Precious Metals Investor Class		12/8/2010	142,466	142,989	(523)	523 00	-
HC876	Rydex Real Estate H Class		12/8/2010	141,718	126,766	14,952		14,952
HC876	Rydex Internet Investor Class		1/3/2011	17,350	17,519	(169)	169 00	-
HC876	Rydex Precious Metals Investor Class		1/4/2011	110,774	109,177	1,597		1,597
HC876	Rydex Transportation Investor Class		1/4/2011	35,340	34,869	471		471
HC876	Rydex Precious Metals Investor Class		1/7/2011	70,503	73,804	(3,301)	3,301.00	-
HC876	Rydex Leisure Inv Class Exchange		1/19/2011	148,985	142,770	6,215		6,215
HC876	Rydex Leisure Inv Class Exchange		1/28/2011	148,375	142,752	5,623		5,623
HC876	Rydex Basic Materials Inv Class		1/31/2011	145,467	148,727	(3,260)	3,241.00	(19)
HC876	Rydex Basic Materials Inv Class		2/3/2011	150,728	151,874	(1,146)		(1,146)
HC876	Rydex Precious Metals Investor Class		2/23/2011	92,968	91,774	1,194		1,194
HC876	Rydex Internet Investor Class		3/14/2011	146,976	147,850	(874)		(874)
HC876	Rydex Precious Metals Investor Class		4/6/2011	1,540	1,399	141		141
HC876	Rydex Internet Investor Class		4/6/2011	153,657	148,514	5,143		5,143
HC876	Rydex Precious Metals Investor Class		4/26/2011	154,093	145,339	8,754		8,754
HC876	Rydex Precious Metals Investor Class		5/2/2011	78,526	72,670	5,856		5 856
HC876	Rydex Precious Metals Investor Class		5/3/2011	75,579	72,544	3,035		3,035
HC876	Rydex Basic Materials Inv Class		5/9/2011	72,610	75,579	(2,969)		(2,969)
HC876	Rydex Energy Investor Class		5/31/2011	148,715	154,093	(5,378)		(5,378)
	HC 876			3,647,944	3,554,090	93,854	7,234 00	101,088
HC884	Ishares TR Dow Jones U S Real Estate Index		7/8/2010	89,076	96,612	(7,536)	7,536.00	-
HC884	Ishares TR Russell 2000 Index Fd		7/14/2010	96,492	98,943	(2,451)		(2,451)
HC884	Powershares QQQ TR Unit Ser 1		7/14/2010	101,114	99,634	1,480	775.00	2,255
HC884	SPDR Ser TR Barclays Cap High Yield		7/14/2010	49,316	48,847	469		469
HC884	Ishares TR Russell 2000 Index Fd		7/15/2010	249	253	(4)		(4)
HC884	Ishares TR Dow Jones U S Real Estate Index		7/30/2010	52,115	53,431	(1,316)		(1,316)
HC884	Ishares TR Dow Jones U S Real Estate Index		8/4/2010	27,056	27,254	(198)	168 00	(30)
HC884	Powershares DB Commodity Index		8/5/2010	3,977	3,762	215		215
HC884	Powershares QQQ TR Unit Ser 1		8/11/2010	102,009	101,033	976		976
HC884	Powershares DB Commodity Index		8/11/2010	175,375	166,028	9,347		9,347
HC884	SPDR Ser TR Barclays Cap High Yield		8/19/2010	150,269	152,074	(1,805)		(1,805)
HC884	Ishares Barclays 20+ Year Treas Bond		8/31/2010	108,898	99,013	9,885		9,885
HC884	Ishares Barclays 20+ Year Treas Bond		9/1/2010	106,540	98,788	7,752		7,752
HC884	Ishares TR Dow Jones U S Real Estate Index		9/1/2010	98,872	102,454	(3,582)	3,806 00	224
HC884	Ishares TR Russell 2000 Index Fd		9/9/2010	107,739	103,251	4,488		4,488
HC884	SPDR S&P 500 ETR Trust Unit Ser 1		9/9/2010	106,806	103,383	3,423		3,423
HC884	Currencyshares Euro TR Euro Shs		9/15/2010	51,170	51,126	44		44
HC884	Powershares QQQ TR Unit Ser 1		9/23/2010	110,023	100,070	9,953		9,953
HC884	Currencyshares Japanese Yen TR		9/29/2010	26,882	26,488	394		394
HC884	SPDR Ser TR Barclays Cap High Yield		9/29/2010	125,233	125,584	(351)		(351)
HC884	SPDR Ser TR Barclays Cap High Yield		9/30/2010	33,475	33,469	6		6
HC884	Ishares TR Russell 2000 Index Fd		10/6/2010	53,806	53,129	677		677
HC884	SPDR S&P 500 ETR Trust Unit Ser 1		10/6/2010	106,143	106,320	(177)		(177)
HC884	Powershares DB Commodity Index		10/20/2010	96,212	93,260	2,952		2,952
HC884	SPDR Ser TR Barclays Cap High Yield		11/1/2010	109,247	108,249	998		998
HC884	Ishares TR Russell 2000 Index Fd		11/10/2010	111,329	106,435	4,894		4,894
HC884	Currencyshares Euro TR Euro Shs		11/19/2010	26,089	26,510	(421)	421 00	-
HC884	Ishares TR MSCI Emerging Mkts Index		12/1/2010	24,921	25,709	(788)	788 00	-
HC884	Ishares TR Dow Jones U S Real Estate Index		12/1/2010	104,202	110,100	(5,898)		(5,898)
HC884	SPDR Ser TR Barclays Cap High Yield		12/1/2010	109,456	110,826	(1,370)	1,370 00	-
HC884	Ishares Barclays 20+ Year Treas Bond		12/1/2010	191,425	208,682	(17,257)		(17,257)
HC884	SPDR Ser TR Barclays Cap High Yield		12/9/2010	92,162	93,697	(1,535)	908 00	(627)
HC884	Currencyshares Japanese Yen TR		12/22/2010	52,169	52,087	82		82
HC884	Currencyshares Euro TR Euro Shs		12/22/2010	52,217	52,438	(221)		(221)
HC884	Powershares QQQ TR Unit Ser 1		1/12/2011	108,318	105,941	2,377		2,377
HC884	Ishares TR MSCI Emerging Mkts Index		1/13/2011	26,708	27,279	(571)	578 00	7
HC884	Ishares TR Dow Jones U S Real Estate Index		1/20/2011	27,041	26,863	178		178
HC884	Ishares TR Russell 2000 Index Fd		2/18/2011	111,831	107,198	4,633		4,633
HC884	Ishares TR MSCI Emerging Mkts Index		3/2/2011	25,818	27,836	(2,018)		(2,018)
HC884	SPDR Ser TR Barclays Cap High Yield		3/16/2011	202,438	203,560	(1,122)	1,285 00	163
HC884	Vanguard Intl Equity Index Fds MSCI Europe		3/16/2011	108,979	111,004	(2,025)	2,025.00	-
HC884	Ishares TR Russell 2000 Index Fd		3/30/2011	115,082	112,432	2,650		2,650
HC884	Powershares QQQ TR Unit Ser 1		3/30/2011	113,035	112,513	522		522
HC884	SPDR S&P 500 ETR Trust Unit Ser 1		3/30/2011	28,108	27,905	203		203
HC884	Vanguard Intl Equity Index Fds MSCI Europe		4/20/2011	86,839	85,571	1,268		1,268
HC884	Ishares TR Russell 2000 Index Fd		4/28/2011	101,554	98,678	2,876		2,876
HC884	Vanguard Intl Equity Index Fds MSCI Europe		5/11/2011	86,921	87,220	(299)	1,006.00	707
HC884	Powershares QQQ TR Unit Ser 1		5/18/2011	104 006	104,587	(581)	140 00	(441)
HC884	Currencyshares Euro TR Euro Shs		5/25/2011	18,927	19,008	(81)		(81)
HC884	Vanguard Intl Equity Index Fds MSCI Europe		6/2/2011	113,396	114,383	(987)		(987)
HC884	SPDR Ser TR Barclays Cap High Yield		6/8/2011	223,163	226,474	(3,311)		(3,311)
HC884	Powershares QQQ TR Unit Ser 1		6/28/2011	109,144	114,061	(4,917)		(4,917)
	HC 884			4,563,372	4,551,452	11,920	20,806 00	32,726
	Total Short Term Gain/(Loss)			8,211,316	8,105,542	105,774	28,040 00	133,814

THE WERTH FAMILY FOUNDATION
SCHEDULE OF DONATIONS/CONTRIBUTIONS PAID
SUPPLEMENT TO FORM 990-PF, PART XV LINE 3A
F/Y/E 6/30/2011

Contnbutions

Chanty	Date	Donation	Ch #
Massaro Comm Farm Inc	7/25/2010	5,000 00	408
Boys + Girls Village	9/15/2010	25,000 00	409
The Discovery Center	10/12/2010	25,000 00	412
Cardinal Sheehan Center	9/20/2010	20,000 00	410
St Francis Hospital Foundatio	10/12/2010	40,000 00	411
Prevent Blindness Tri State	10/25/2010	50,000 00	414
Mercy Learning Center	12/13/2010	15,000 00	415
Arts Council of Greater New Haven	12/13/2010	15,000 00	416
Shelton Recreation Swim Team	2/4/2011	1,200 00	417
Friends of the Edith Wheeler Memorial	5/4/2011	3,600 00	419
Narcotics Enforcement Officers	5/4/2011	2,400 00	420
Save our Stepney Task Force	5/4/2011	1,200 00	421
Buddy Mentor Inc	5/20/2011	8,000 00	422
Shelton Recreation Swim Team	5/20/2011	50,000 00	423
Long Wharf Theatre	5/24/2011	50,000 00	424
The Smile Train	5/24/2011	10,000 00	425
Womens Health Research Yale	6/9/2011	75,000 00	426
Fair Haven Community Health Care	6/9/2011	16,390 00	427
New Haven Home Recovery	6/9/2011	10,000 00	428
CT Food Bank	6/9/2011	12,000 00	429
Young Parent Program of Milford	6/9/2011	15,000 00	430
Housatonic Community College Fdn	6/9/2011	50,000 00	431
Housatonic Community College Fdn	6/9/2011	30,000 00	432
St Fund for the Environment	6/9/2011	15,000 00	433
Loaves and Fishes	6/9/2011	10,000 00	434
Columbus House Inc	6/9/2011	50,000 00	435
Gaylord Hospital	6/9/2011	50,000 00	436
Simsbury Land Conservation Trust	6/9/2011	2,400 00	438
YMCA of Greater Hartford	6/9/2011	2,400 00	439
Simsbury ABC Inc	6/9/2011	1,200 00	440
Boy Scouts of America CT River	6/9/2011	2,400 00	441
Friends of Simsbury Public Library	6/9/2011	1,200 00	442
Major League Baseball Players	6/9/2011	1,200 00	443
Bethany Historical Society	6/11/2011	1,200 00	445
Friends of the Woodbridge Library	6/11/2011	1,200 00	446
Housatonic Valley Assoc	6/11/2011	1,200 00	447
Habitat for Humanity of G N H	6/11/2011	1,200 00	450
The Get in Touch Foundation	6/11/2011	1,200 00	451
CT Public Broadcasting Inc	6/11/2011	1,200 00	452
Creative Arts Workshop	6/11/2011	1,200 00	453
St Martin Deporres Academy	6/11/2011	1,200 00	454
Elm Shakespeare	6/12/2011	10,000 00	455
Shelton Recreation Swim Team	6/13/2011	4,200 00	456
Shelton Recreation Swim Team	6/14/2011	1,175 00	457
Americares	6/9/2011	20,000 00	437
Brain Injury Association of CT	6/9/2011	1,200 00	444
Woodbridge Park Association	6/11/2011	1,200 00	448
Friends of the Ansonia Nature Center	6/11/2011	1,200 00	449
Total Contributions		714,165.00	

FORM 990PF, PART VII-A, LINE 10 - NEW SUBSTANTIAL CONTRIBUTORS

ATTACHMENT 10

NAME AND ADDRESS	DATE	DIRECT PUBLIC SUPPORT
PETER J. WERTH JR 85 RIMMON ROAD WOODBRIDGE, CT 06525	VAR	1,250,000.
TOTAL CONTRIBUTION AMOUNTS		1,250,000.

THE WERTH FAMILY FOUNDATION, INC. 80-0024133

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 11

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
PETER J. WERTH JR. 85 RIMMON RD. WOODBRIDGE, CT 06525	PRESIDENT	0.	0.	0.
PAMELA WERTH 85 RIMMON ROAD WOODBRIDGE, CT 06525	VICE PRESIDENT	0.	0.	0.
PETER J. WERTH III 245 NEWTON ROAD WOODBRIDGE, CT 06525	DIRECTOR	0.	0.	0.
DEBORAH K. BACHARD 185 CUTLER'S FARM ROAD MONROE, CT 06468	SECRETARY	0.	0.	0.
JAQUELINE A MOORE 3 KATHERINE LANE SIMSBURY, CT 06070	DIRECTOR	0.	0.	0.
B. LANCE SAUERTEIG 61 WILTON ROAD WESTPORT, CT 06880	DIRECTOR	0.	0.	0.
	GRAND TOTALS	0.	0.	0.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
VARIOUS 501 (C) (3) ORGANIZATIONS-SEE ATTACHED SEE ATTACHED LIST	NONE EXEMPT 501 (C) (3)		714,165.
VARIOUS DONATIONS THRU INVESTMENT ACTIVITY	EXEMPT		18.
		TOTAL CONTRIBUTIONS PAID	714,183.

SCHEDULE D (Form 1041)

Department of the Treasury Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T. See the Instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

2010

Name of estate or trust: THE WERTH FAMILY FOUNDATION, INC.

Employer identification number: 80-0024133

Note: *Form 5227 filers need to complete only Parts I and II*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b	Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	130,554.
2	Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3	Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4	Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2009 Capital Loss Carryover Worksheet	4	( )
5	**Net short-term gain or (loss).** Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back ▶	5	130,554.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b	Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	504.
7	Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8	Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9	Capital gain distributions	9	
10	Gain from Form 4797, Part I	10	
11	Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2009 Capital Loss Carryover Worksheet	11	( )
12	**Net long-term gain or (loss).** Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back ▶	12	504.

Part III **Summary of Parts I and II**
Caution: *Read the instructions* ***before*** *completing this part.*

			(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
13	**Net short-term gain or (loss)**	13			130,554.
14	**Net long-term gain or (loss):**				
a	Total for year	14a			504.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht)	14b			
c	28% rate gain	14c			
15	**Total net gain or (loss).** Combine lines 13 and 14a ▶	15			131,058.

Note: *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 14a and 15, column (2), are net gains, go to Part V, and* ***do not*** *complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the* ***Capital Loss Carryover Worksheet****, as necessary*

Part IV **Capital Loss Limitation**

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:
a The loss on line 15, column (3) **or** b $3,000 | 16 | ( )

Note: *If the loss on line 15, column (3), is more than $3,000,* ***or*** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* ***Capital Loss Carryover Worksheet*** *on page 7 of the instructions to figure your capital loss carryover*

Part V **Tax Computation Using Maximum Capital Gains Rates**

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero

Caution: *Skip this part and complete the worksheet on page 8 of the instructions if*

- *Either line 14b, col (2) or line 14c, col (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero*

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero

17 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) | 17 |
18 Enter the **smaller** of line 14a or 15 in column (2) but not less than zero | 18 |
19 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) | 19 |
20 Add lines 18 and 19 | 20 |
21 If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ▶ | 21 |
22 Subtract line 21 from line 20 If zero or less, enter -0- | 22 |
23 Subtract line 22 from line 17 If zero or less, enter -0- | 23 |
24 Enter the **smaller** of the amount on line 17 or $2,300 | 24 |
25 Is the amount on line 23 equal to or more than the amount on line 24?
☐ **Yes.** Skip lines 25 and 26, go to line 27 and check the "No" box
☐ **No.** Enter the amount from line 23 | 25 |
26 Subtract line 25 from line 24 | 26 |
27 Are the amounts on lines 22 and 26 the same?
☐ **Yes.** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the **smaller** of line 17 or line 22 | 27 |
28 Enter the amount from line 26 (If line 26 is blank, enter -0-) | 28 |
29 Subtract line 28 from line 27 | 29 |
30 Multiply line 29 by 15% (.15) | 30 |
31 Figure the tax on the amount on line 23 Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) | 31 |
32 Add lines 30 and 31 | 32 |
33 Figure the tax on the amount on line 17. Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) | 33 |
34 **Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) | 34 |

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2010

Name of estate or trust: THE WERTH FAMILY FOUNDATION, INC.

Employer identification number: 80-0024133

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a K-1 POWERSHARES DB	VAR	06/30/2011			-12.
K-1 BIRINYI FUND	VAR	06/30/2011			5,033.
HERITAGE CAPITAL #876 - SEE ATTACHED	VAR	06/30/2011	3,647,944.	3,554,090.	93,854.
HERITAGE CAPITAL #884 - SEE ATTACHED	VAR	06/30/2011	4,563,372.	4,551,452.	11,920.
K-1 BIRINYI FUND	VAR	06/30/2011			-8,693.
K-1 ML BCP V	VAR	06/30/2011			412.
WASH SALE ADJ.(SEE STMT)-HERITAGE CAP #876	VAR	06/30/2011	7,234.	0.	7,234.
WASH SALE ADJ. SEE STMT - HERITAGE CAP #884	VAR	06/30/2011	20,806.		20,806.

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . . 130,554.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041. Schedule D-1 (Form 1041) 2010

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side | **Employer identification number**

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

	(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a	K-1 ISHARES S&P GSCI COMMODITY INDEXED TRUST	VAR	06/30/2011			-41.
	K-1 ML-WP TRUST IV	VAR	06/30/2011			-52.
	ML BCP V - LT	VAR	12/31/2010	2.		2.
	K-1 POWERSHARES DB	VAR	12/31/2010			-59.
	BASIS ADJUSTMENT K-1S	VAR	12/31/2010	654.		654.
6b	**Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 6b					504.

Description of Property

DEPRECIATION

Asset description	Date placed in service	Unadjusted Cost or basis	Bus. %	179 exp. reduction in basis	Basis Reduction	Basis for depreciation	Beginning Accumulated depreciation	Ending Accumulated depreciation	Me-thod	Conv	Life	ACRS class	MA CRS class	Current-year 179 expense	Current-year depreciation
Less: Retired Assets															
Subtotals															

Listed Property

Asset description	Date placed in service	Unadjusted Cost or basis	Bus. %	179 exp. reduction in basis	Basis Reduction	Basis for depreciation	Beginning Accumulated depreciation	Ending Accumulated depreciation	Me-thod	Conv	Life	ACRS class	MA CRS class	Current-year 179 expense	Current-year depreciation
Less Retired Assets															
Subtotals															
TOTALS															

AMORTIZATION

Asset description	Date placed in service	Cost or basis	Accumulated amortization	Ending Accumulated amortization	Code	Life	Current-year amortization
ORGANIZATION COSTS	12/31/2001	489.	489.	489.	A248	5.000	
TOTALS		489.	489.	489.			

*Assets Retired

Form **8868** (Rev January 2011) Department of the Treasury Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

▶ **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits.*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only . . . . ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Name of exempt organization	Employer identification number
File by the due date for filing your return See instructions	THE WERTH FAMILY FOUNDATION, INC.	80-0024133
	Number, street, and room or suite no. If a P O box, see instructions. C/O PETER J. WERTH, JR. 85 RIMMON ROAD	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. WOODBRIDGE, CT 06525	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ DHL&S, P.C.

Telephone No. ▶ 203 929-3535 FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box . . . . . . ▶ [ ] If it is for part of the group, check this box . . . . ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 02/15, 20 12, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶ [ ] calendar year 20 ____ or

▶ [X] tax year beginning 07/01, 20 10, and ending 06/30, 20 11

2 If the tax year entered in line 1 is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See Instructions.	3a	$ 1,000.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	$ 3,497.
c	**Balance Due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	3c	$ 0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

• If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1)

Part II **Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Type or print	Name of exempt organization THE WERTH FAMILY FOUNDATION, INC.	**Employer identification number** 80-0024133
File by the extended due date for filing your return See instructions	Number, street, and room or suite no If a P O. box, see instructions C/O PETER J. WERTH, JR.	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. WOODBRIDGE, CT 06525	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

• The books are in the care of ▶ DHL&S, P.C.

Telephone No. ▶ 203 929-3535 FAX No. ▶

• If the organization does not have an office or place of business in the United States, check this box . . . . ▶ [ ]

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box . . . . . . ▶ [ ] . If it is for part of the group, check this box . . . . ▶ [ ] and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until 05/15, 20 12 .

5 For calendar year ______, or other tax year beginning 07/01, 20 10 , and ending 06/30 , 20 11

6 If the tax year entered in line 5 is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension INFORMATION NECESSARY TO FILE A TIMELY AND ACCURATE RETURN IS NOT YET AVAILABLE AT THIS TIME

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	$ 3,400.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	$ 3,497.
c	**Balance Due.** Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c	$ 0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶ Title ▶ CPA Date ▶