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Form 990  Department of the Treasury Internal Revenue Service	Return of Organization Exempt From Income Tax Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation) The organization may have to use a copy of this return to satisfy state reporting requirements	OMB No 1545-0047 2010 Open to Public Inspection
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A For the 2010 calendar year, or tax year beginning 07-01-2010 and ending 06-30-2011		
B Check if applicable ☐ Address change ☐ Name change ☐ Initial return ☐ Terminated ☐ Amended return ☐ Application pending	C Name of organization Houston Arts Alliance	D Employer identification number 74-1946756
	Doing Business As	E Telephone number (713) 527-9330
	Number and street (or P O box if mail is not delivered to street address) 3201 Allen Parkway	Room/suite
	G Gross receipts \$ 7,110,500	
	City or town, state or country, and ZIP + 4 Houston, TX 77019	
	F Name and address of principal officer Jonathon D Glus 3201 Allen Parkway Houston, TX 77019	H(a) Is this a group return for affiliates? ☐ Yes ☒ No H(b) Are all affiliates included? ☐ Yes ☒ No If "No," attach a list (see instructions) H(c) Group exemption number ▶
I Tax-exempt status ☒ 501(c)(3) ☐ 501(c) () ◀ (Insert no) ☐ 4947(a)(1) or ☐ 527		
J Website: ▶ www.haatx.com		
K Form of organization ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶		L Year of formation 1977
		M State of legal domicile TX

Part I		Summary	
Activities & Governance	1 Briefly describe the organization's mission or most significant activities Houston Arts Alliance (HAA) promotes, encourages and sustains the development of arts and culture in Houston and Harris County, serving the diverse communities and visitors to the region		
	2 Check this box ☒ if the organization discontinued its operations or disposed of more than 25% of its net assets		
	3 Number of voting members of the governing body (Part VI, line 1a)	3	31
	4 Number of independent voting members of the governing body (Part VI, line 1b)	4	31
	5 Total number of individuals employed in calendar year 2010 (Part V, line 2a)	5	26
6 Total number of volunteers (estimate if necessary)	6	10	
7a Total unrelated business revenue from Part VIII, column (C), line 12	7a	0	
b Net unrelated business taxable income from Form 990-T, line 34	7b		
Revenue	8 Contributions and grants (Part VIII, line 1h)	Prior Year	Current Year
	9 Program service revenue (Part VIII, line 2g)	10,668,476	5,002,433
	10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	3,761,424	2,106,609
	11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	1,278	1,458
	12 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)		0
		14,431,178	7,110,500
Expenses	13 Grants and similar amounts paid (Part IX, column (A), lines 1–3)	9,262,637	3,693,711
	14 Benefits paid to or for members (Part IX, column (A), line 4)		0
	15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	1,367,446	1,510,534
	16a Professional fundraising fees (Part IX, column (A), line 11e)		0
	b Total fundraising expenses (Part IX, column (D), line 25) ▶143,809		
	17 Other expenses (Part IX, column (A), lines 11a–11d, 11f–24f)	4,220,082	2,501,229
	18 Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	14,850,165	7,705,474
	19 Revenue less expenses Subtract line 18 from line 12	-418,987	-594,974
Net Assets or Fund Balances		Beginning of Current Year	End of Year
	20 Total assets (Part X, line 16)	6,597,003	4,006,088
	21 Total liabilities (Part X, line 26)	3,386,276	1,390,335
	22 Net assets or fund balances Subtract line 21 from line 20	3,210,727	2,615,753

Part II	Signature Block
Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.	

Sign Here	***** Signature of officer	2011-12-14 Date			
	Jonathon D Glus CEO Type or print name and title				
Paid Preparer Use Only	Print/Type preparer's name Jody Blazek	Preparer's signature Jody Blazek	Date	Check if self-employed ☒	PTIN
	Firm's name ▶ Blazek & Vetterling				Firm's EIN ▶
	Firm's address ▶ 2900 Wesleyan Suite 200 Houston, TX 770275132				Phone no ▶ (713) 439-5739
May the IRS discuss this return with the preparer shown above? (see instructions) ☐ Yes ☐ No					

Part III

Statement of Program Service Accomplishments

Check if Schedule O contains a response to any question in this Part III

1

Briefly describe the organization's mission

Houston Arts Alliance (HAA) exists to enhance the quality of life and tourism in Houston and Harris County by supporting and promoting the arts through programs, initiatives and alliances

2

Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

Yes

No

If "Yes," describe these new services on Schedule O

3

Did the organization cease conducting, or make significant changes in how it conducts, any program services?

Yes

No

If "Yes," describe these changes on Schedule O

4

Describe the exempt purpose achievements for each of the organization's three largest program services by expenses

Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a

(Code) (Expenses \$ 3,767,012 including grants of \$ 3,510,711) (Revenue \$)

HAA's Grant Program manages a competitive grants program, awarding over 220 grants totaling \$3,510,711 through a peer review panel process which awards on key elements such as artistic/cultural merit, audience development & community outreach, cultural tourism impact, and the administrative and management ability of the organization

4b

(Code) (Expenses \$ 2,081,200 including grants of \$) (Revenue \$ 2,078,667)

The Civic Art + Design Program (Civic Art) responds to the opportunities and challenges to build the City's civic art canvas and maintain the treasures and legacies in the Civic Art collection. HAA works with the City staff to recommend the most appropriate locations for use of Civic Art monies. HAA manages the artist selection process and acts as project manager through commission completion of new works of art. HAA works on behalf of the City to manage conservation of artworks throughout the City, utilizing national standards in conservation and contracting professionally licensed conservators. Civic Art serves as a community resource and works on a consulting basis, with both public and private sector entities.

4c

(Code) (Expenses \$ 657,847 including grants of \$ 183,000) (Revenue \$ 27,942)

The Management Assistance and Services Department provides year-round relevant programming that assists arts organizations and individual artists in being effective stewards of their resources. HAA also provides leadership through support in programs and services that help build & foster a vibrant creative community through such programs as Business Volunteers for the Arts (BVA), Free Night of Theatre, Arts Database Management Program, and a Management Organization Development Enterprise(MODE) program. This department also works with National Arts Marketing Project a program of the Arts & Business Council of Americans for the Arts (AFTA) to help arts organizations operate in the marketplace.

4d

Other program services (Describe in Schedule O)

(Expenses \$ 460,483 including grants of \$) (Revenue \$)

4e

Total program service expenses

\$ 6,966,542

Form 990 (2010)

Part IV

Checklist of Required Schedules

		Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A.</i> 	1	Yes
2	Is the organization required to complete Schedule B, Schedule of Contributors (see instruction)? 	2	Yes
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I.</i>	3	No
4	Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II.</i>	4	No
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III.</i>	5	No
6	Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I.</i> 	6	No
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? <i>If "Yes," complete Schedule D, Part II.</i> 	7	No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III.</i> 	8	No
9	Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV.</i> 	9	No
10	Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V.</i> 	10	No
11	If the organization's answer to any of the following questions is 'Yes,' then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
a	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI.</i> 	11a	Yes
b	Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII.</i> 	11b	No
c	Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII.</i> 	11c	No
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX.</i> 	11d	No
e	Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X.</i> 	11e	No
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X.</i> 	11f	No
12a	Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI, XII, and XIII.</i> 	12a	Yes
b	Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered 'No' to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional.</i> 	12b	No
13	Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E.</i>	13	No
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a	No
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the United States? <i>If "Yes," complete Schedule F, Parts I and IV.</i>	14b	No
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the U S ? <i>If "Yes," complete Schedule F, Parts II and IV.</i>	15	No
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the U S ? <i>If "Yes," complete Schedule F, Parts III and IV.</i>	16	No
17	Did the organization report a total of more than \$15,000, of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I (see instructions).</i>	17	No
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II.</i>	18	No
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III.</i>	19	No
20a	Did the organization operate one or more hospitals? <i>If "Yes," complete Schedule H.</i>	20a	No
b	If "Yes" to line 20a, did the organization attach its audited financial statement to this return? Note. Some Form 990 filers that operate one or more hospitals must attach audited financial statements (see instructions).	20b	

Part IV

Checklist of Required Schedules (continued)

21	Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	21	Yes	
22	Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b–24d and complete Schedule K. If "No," go to line 25</i>	24a		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		No
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		No
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		No
25a	Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>	25b		No
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i>	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? <i>If "Yes," complete Schedule L, Part III</i>	27		No
28	Was the organization a party to a business transaction with one of the following parties? (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28b		No
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>	28c		No
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	29		No
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>	30		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>	33		No
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1</i>	34		No
35	Is any related organization a controlled entity within the meaning of section 512(b)(13)?	35		No
a	Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i> ☐ Yes ☒ No			
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? Note. All Form 990 filers are required to complete Schedule O	38	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance			
Check if Schedule O contains a response to any question in this Part V ☐			
		Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable.	1a	99
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable.	1b	0
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c	Yes
2a	Enter the number of employees reported on Form W-3, <i>Transmittal of Wage and Tax Statements</i> filed for the calendar year ending with or within the year covered by this return.	2a	26
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions).	2b	Yes
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a	No
b	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O.	3b	No
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a	No
b	If "Yes," enter the name of the foreign country: _____ See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a	No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b	No
c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?	5c	No
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible?	6a	No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b	No
7 Organizations that may receive deductible contributions under section 170(c).			
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a	No
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b	No
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c	No
d	If "Yes," indicate the number of Forms 8282 filed during the year.	7d	0
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e	No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f	No
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g	No
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h	No
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	8	No
9	Sponsoring organizations maintaining donor advised funds.		
a	Did the organization make any taxable distributions under section 4966?	9a	No
b	Did the organization make a distribution to a donor, donor advisor, or related person?	9b	No
10 Section 501(c)(7) organizations. Enter			
a	Initiation fees and capital contributions included on Part VIII, line 12.	10a	
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities.	10b	
11 Section 501(c)(12) organizations. Enter			
a	Gross income from members or shareholders.	11a	
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them).	11b	
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a	No
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year.	12b	
13 Section 501(c)(29) qualified nonprofit health insurance issuers.			
a	Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O.	13a	No
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans.	13b	
c	Enter the amount of reserves on hand.	13c	
14a	Did the organization receive any payments for indoor tanning services during the tax year?	14a	No
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O.	14b	No

Part VI

Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.
Check if Schedule O contains a response to any question in this Part VI ☒

Section A. Governing Body and Management

			Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year	1a31		
b	Enter the number of voting members included in line 1a, above, who are independent	1b31		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2		No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3		No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4		No
5	Did the organization become aware during the year of a significant diversion of the organization's assets?	5		No
6	Does the organization have members or stockholders?	6		No
7a	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?	7a		No
b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons?	7b		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following			
a	The governing body?	8a	Yes	
b	Each committee with authority to act on behalf of the governing body?	8b	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9		No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

			Yes	No
10a	Does the organization have local chapters, branches, or affiliates?	10a		No
b	If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?	10b		No
11a	Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?	11a	Yes	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990			
12a	Does the organization have a written conflict of interest policy? If "No," go to line 13	12a	Yes	
b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes	
c	Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done	12c	Yes	
13	Does the organization have a written whistleblower policy?	13		No
14	Does the organization have a written document retention and destruction policy?	14	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	15a	Yes	
b	Other officers or key employees of the organization If "Yes" to line 15a or 15b, describe the process in Schedule O (See instructions)	15b	Yes	
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		No
b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	16b		No

Section C. Disclosure

17	List the States with which a copy of this Form 990 is required to be filed▶
18	Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c) (3)s only) available for public inspection. Indicate how you make these available. Check all that apply. ☐ Own website ☐ Another's website ☒ Upon request
19	Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public. See Additional Data Table.
20	State the name, physical address, and telephone number of the person who possesses the books and records of the organization ▶ Reginald L French 3201 Allen Parkway Houston, TX 770191800 (713) 527-9330

Check if Schedule O contains a response to any question in this Part VII ☐ ☒

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

Form **990** (2010)

Part VII

1b	Sub-Total			
c	Total from continuation sheets to Part VII, Section A			
d	Total (add lines 1b and 1c)	283,090		30,055

2 Total number of individuals (including but not limited to those I
\$100,000 in reportable compensation from the organization. 2

		Yes	No
3	Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>	3	No
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	4	Yes
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>	5	No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization

(A) Name and business address	(B) Description of services	(C) Compensation
James Surls 7455 Catherine Store Rd Carbondale, CO 81623	Civic arts project	360,000
Hana Hillerova LLC 601 Jefferson Ste 4000 Houston, TX 77002	Civic arts project	180,000
Dennis Oppenheim 54 Franklin St 6F New York, NY 10013	Civic arts project	116,666

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization ▶3

Part VIII

Statement of Revenue

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514		
Contributions, gifts, grants and other similar amounts	1a	Federated campaigns	1a					
	b	Membership dues	1b					
	c	Fundraising events	1c					
	d	Related organizations	1d					
	e	Government grants (contributions)	1e	4,776,154				
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	226,279				
	g	Noncash contributions included in lines 1a-1f \$						
	h	Total. Add lines 1a-1f		5,002,433				
	Program Service Revenue			Business Code				
2a		Workshop fees / other	900004	21,086	21,086			
b		MODE revenue	561000	6,856	6,856			
c		Civic Art revenue	711300	2,078,667	2,078,667			
d								
e								
f		All other program service revenue						
g		Total. Add lines 2a-2f		2,106,609				
Other Revenue		3		Investment income (including dividends, interest and other similar amounts)				
				1,458		1,458		
	4		Income from investment of tax-exempt bond proceeds . . .	0				
	5		Royalties	0				
	6a	Gross Rents		(i) Real	(ii) Personal			
		b	Less rental expenses					
			Rental income or (loss)					
			d		Net rental income or (loss)		0	
	7a	Gross amount from sales of assets other than inventory		(i) Securities	(ii) Other			
		b	Less cost or other basis and sales expenses					
			c		Gain or (loss)			
			d		Net gain or (loss)		0	
	8a	Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c) See Part IV, line 18		a				
		b	Less direct expenses		b			
			c		Net income or (loss) from fundraising events . . .		0	
	9a	Gross income from gaming activities See Part IV, line 19 . . .		a				
		b	Less direct expenses		b			
			c		Net income or (loss) from gaming activities . . .		0	
	10a	Gross sales of inventory, less returns and allowances		a				
		b	Less cost of goods sold		b			
c			Net income or (loss) from sales of inventory . . .		0			
Miscellaneous Revenue		Business Code						
11a								
	b							
	c							
	d		All other revenue					
	e		Total. Add lines 11a-11d		0			
12		Total revenue. See Instructions		7,110,500	2,106,609	1,458		

Part IX

Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.

All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the U S See Part IV, line 21	3,510,711	3,510,711		
2	Grants and other assistance to individuals in the U S See Part IV, line 22	183,000	183,000		
3	Grants and other assistance to governments, organizations, and individuals outside the U S See Part IV, lines 15 and 16	0			
4	Benefits paid to or for members	0			
5	Compensation of current officers, directors, trustees, and key employees	318,135	134,220	141,955	41,960
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0			
7	Other salaries and wages	883,373	688,336	160,397	34,640
8	Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	15,516	9,116	4,972	1,428
9	Other employee benefits	198,323	123,080	75,243	
10	Payroll taxes	95,187	66,778	21,379	7,030
a	Fees for services (non-employees)				
	Management	0			
b	Legal	0			
c	Accounting	0			
d	Lobbying	0			
e	Professional fundraising services See Part IV, line 17	0			
f	Investment management fees	0			
g	Other	236,446	124,469	60,252	51,725
12	Advertising and promotion	65,154	65,023		131
13	Office expenses	87,885	72,079	14,507	1,299
14	Information technology	0			
15	Royalties	0			
16	Occupancy	96,799	79,178	17,621	
17	Travel	0			
18	Payments of travel or entertainment expenses for any federal, state, or local public officials	0			
19	Conferences, conventions, and meetings	80,212	58,962	19,997	1,253
20	Interest	0			
21	Payments to affiliates	0			
22	Depreciation, depletion, and amortization	26,519		26,519	
23	Insurance	21,327	14,959	6,368	
24	Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24f If line 24f amount exceeds 10% of line 25, column (A) amount, list line 24f expenses on Schedule O)				
a	Printing and publications	53,304	45,702	5,090	2,512
b	Other	34,159	19,666	14,328	165
c	Equipment rental & maintenance	81,408	53,247	26,495	1,666
d	Artist fees & services	1,718,016	1,718,016		
e					
f	All other expenses	0			
25	Total functional expenses. Add lines 1 through 24f	7,705,474	6,966,542	595,123	143,809
26	Joint costs. Check here ☒ if following SOP 98-2 (ASC 958-720) Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X

Balance Sheet

					(A)		(B)
					Beginning of year		End of year
Assets	1	Cash—non-interest-bearing			1,524,885	1	1,842,813
	2	Savings and temporary cash investments			2,233,586	2	1,569,709
	3	Pledges and grants receivable, net			2,746,185	3	460,642
	4	Accounts receivable, net				4	0
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L				5	0
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers, and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Schedule L				6	0
	7	Notes and loans receivable, net				7	0
	8	Inventories for sale or use				8	0
	9	Prepaid expenses and deferred charges			23,530	9	14,067
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D.	10a	439,683			
	b	Less: accumulated depreciation	10b	320,826	68,817	10c	118,857
	11	Investments—publicly traded securities				11	0
	12	Investments—other securities. See Part IV, line 11				12	0
	13	Investments—program-related. See Part IV, line 11				13	0
	14	Intangible assets				14	0
	15	Other assets. See Part IV, line 11				15	0
16	Total assets. Add lines 1 through 15 (must equal line 34)			6,597,003	16	4,006,088	
Liabilities	17	Accounts payable and accrued expenses			324,877	17	177,170
	18	Grants payable			2,991,245	18	1,137,803
	19	Deferred revenue			70,154	19	75,362
	20	Tax-exempt bond liabilities				20	
	21	Escrow or custodial account liability. Complete Part IV of Schedule D				21	
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L				22	
	23	Secured mortgages and notes payable to unrelated third parties				23	
	24	Unsecured notes and loans payable to unrelated third parties				24	
	25	Other liabilities. Complete Part X of Schedule D				25	
	26	Total liabilities. Add lines 17 through 25			3,386,276	26	1,390,335
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here ☒ and complete lines 27 through 29, and lines 33 and 34.						
	27	Unrestricted net assets			824,576	27	724,049
	28	Temporarily restricted net assets			2,386,151	28	1,891,704
	29	Permanently restricted net assets				29	
	Organizations that do not follow SFAS 117, check here ☐ and complete lines 30 through 34.						
	30	Capital stock or trust principal, or current funds				30	
	31	Paid-in or capital surplus, or land, building or equipment fund				31	
	32	Retained earnings, endowment, accumulated income, or other funds				32	
	33	Total net assets or fund balances			3,210,727	33	2,615,753
34	Total liabilities and net assets/fund balances			6,597,003	34	4,006,088	

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response to any question in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	7,110,500
2	Total expenses (must equal Part IX, column (A), line 25)	2	7,705,474
3	Revenue less expenses Subtract line 2 from line 1	3	-594,974
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	3,210,727
5	Other changes in net assets or fund balances (explain in Schedule O)	5	
6	Net assets or fund balances at end of year Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B))	6	2,615,753

Part XII Financial Statements and Reporting

Check if Schedule O contains a response to any question in this Part XII

		Yes	No
1	Accounting method used to prepare the Form 990 ☐ Cash ☒ Accrual ☐ Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?		No
b	Were the organization's financial statements audited by an independent accountant?	Yes	
c	If "Yes," to 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	Yes	
d	If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both ☒ Separate basis ☐ Consolidated basis ☐ Both consolidated and separated basis		
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		No
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits		No

SCHEDULE A

(Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2010

Open to Public
Inspection

Name of the organization Houston Arts Alliance	Employer identification number 74-1946756
---	--

Part I

Reason for Public Charity Status (All organizations must complete this part.) See instructions

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

- 1

☐

A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2

☐

A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)
- 3

☐

A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4

☐

A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state
- 5

☐

An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)
- 6

☐

A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7

☒

An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)** (Complete Part II)
- 8

☐

A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)
- 9

☐

An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)
- 10

☐

An organization organized and operated exclusively to test for public safety See**section 509(a)(4).**
- 11

☐

An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a

☐

Type I

b

☐

Type II

c

☐

Type III - Functionally integrated

d

☐

Type III - Other
- e

☐

By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)
- f

☐

If the organization received a written determination from the IRS that it is a Type I, Type II or Type III supporting organization, check this box
- g

☐

Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?
(i) a person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the the supported organization?
(ii) a family member of a person described in (i) above?
(iii) a 35% controlled entity of a person described in (i) or (ii) above?
- h

☐

Provide the following information about the supported organization(s)

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document?		(v) Did you notify the organization in col (i) of your support?		(vi) Is the organization in col (i) organized in the U S ?		(vii) Amount of support
			Yes	No	Yes	No	Yes	No	
Total									

Part II

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")	10,896,516	12,461,397	13,260,501	10,668,476	5,002,433	52,289,323
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						0
3 The value of services or facilities furnished by a governmental unit to the organization without charge						0
4 Total. Add lines 1 through 3	10,896,516	12,461,397	13,260,501	10,668,476	5,002,433	52,289,323
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						0
6 Public Support. Subtract line 5 from line 4						52,289,323

Section B. Total Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
7 Amounts from line 4	10,896,516	12,461,397	13,260,501	10,668,476	5,002,433	52,289,323
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	72,463	85,308	35,705	1,278	1,458	196,212
9 Net income from unrelated business activities, whether or not the business is regularly carried on						0
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						0
11 Total support (Add lines 7 through 10)						52,485,535
12 Gross receipts from related activities, etc (See instructions)					12	9,161,316
13 First Five Years If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here ▶						

Section C. Computation of Public Support Percentage		
14 Public Support Percentage for 2010 (line 6 column (f) divided by line 11 column (f))	14	99 630 %
15 Public Support Percentage for 2009 Schedule A, Part II, line 14	15	99 590 %
16a 33 1/3% support test—2010. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ▶		
b 33 1/3% support test—2009. If the organization did not check the box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ▶		
17a 10%-facts-and-circumstances test—2010. If the organization did not check a box on line 13, 16a, or 16b and line 14 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization ▶		
b 10%-facts-and-circumstances test—2009. If the organization did not check a box on line 13, 16a, 16b, or 17a and line 15 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization ▶		
18 Private Foundation If the organization did not check a box on line 13, 16a, 16b, 17a or 17b, check this box and see instructions ▶		

Part IIIPart III

Support Schedule for Organizations Described in Section 509(a)(2)
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support						
Calendar year (or fiscal year beginning in) 	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public Support (Subtract line 7c from line 6)						

Section B. Total Support						
Calendar year (or fiscal year beginning in) 	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support (Add lines 9, 10c, 11 and 12.)						
14 First Five Years If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here 						

Section C. Computation of Public Support Percentage		
15 Public Support Percentage for 2010 (line 8 column (f) divided by line 13 column (f))	15	
16 Public support percentage from 2009 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage		
17 Investment income percentage for 2010 (line 10c column (f) divided by line 13 column (f))	17	
18 Investment income percentage from 2009 Schedule A, Part III, line 17	18	
19a 33 1/3% support tests—2010. If the organization did not check the box on line 14, and line 15 is more than 33 1/3% and line 17 is not more than 33 1/3%, check this box and stop here . The organization qualifies as a publicly supported organization 		
b 33 1/3% support tests—2009. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here . The organization qualifies as a publicly supported organization 		
20 Private Foundation If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions 		

Part IV

Supplemental Information. Supplemental Information. Complete this part to provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Additional Data

Software ID: 10000105
Software Version: 2010v3.2
EIN: 74-1946756
Name: Houston Arts Alliance

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099- MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
Vanessa Perez McCalla Director	1 00	X						0	0	0
Reginald French CFO	40 00			X				17,970	0	1,400
Philamena Baird Director	1 00	X						0	0	0
Peter McStravick Director	3 00	X						0	0	0
Peter Marzio Director	1 00	X						0	0	0
Paul J Matthews Director	3 00	X						0	0	0
Nicols Kanellos Director	1 00	X						0	0	0
Minnette Boesel Director	1 00	X						0	0	0
Marshal Lightman Director	3 00	X						0	0	0
Mark Praigg Director	1 00	X						0	0	0
Marc Melcher Director	1 00	X						0	0	0
Louise Upshaw-McClenny Director	1 00	X						0	0	0
Leslie Blanton Director	2 00	X						0	0	0
Kenneth Barrett Director	2 00	X						0	0	0
Judy Nyquist Director	3 00	X						0	0	0
Joseph Havel Director	1 00	X						0	0	0
Jos Solis Secretary	1 00	X		X				0	0	0
Jonathon D Glus CEO	40 00			X				164,833	0	16,641
John F Guess Jr Treasurer	2 00	X		X				0	0	0
Joe Dilg Director	1 00	X						0	0	0
Jocelyn D Ozan Finance Dir	40 00			X				100,287	0	12,014
Janet Hobby Director	1 00	X						0	0	0
Jan Fitzgibbon Director	2 00	X						0	0	0
Greg Ortale Director	1 00	X						0	0	0
Faben Welch Chairman	3 00	X		X				0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)							(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099- MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former				
Denise DuBard Director	1 00	X						0	0	0	
Darryl King Director	1 00	X						0	0	0	
Cathy Lamboley Director	1 00	X						0	0	0	
Brad Bucher Director	1 00	X						0	0	0	
Boris Hidalgo Director	1 00	X						0	0	0	
Argentina James Director	1 00	X						0	0	0	
Adrian Patterson Director	2 00	X						0	0	0	

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

► Complete if the organization answered "Yes," to Form 990,
Part IV, line 6, 7, 8, 9, 10, 11, or 12.
► Attach to Form 990. ► See separate instructions.

OMB No 1545-0047

2010

Open to Public
Inspection

Name of the organization
Houston Arts Alliance

Employer identification number
74-1946756

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year	
2	Aggregate contributions to (during year)	
3	Aggregate grants from (during year)	
4	Aggregate value at end of year	
5	Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?	☐ Yes ☐ No
6	Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit	☐ Yes ☐ No

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1

Purpose(s) of conservation easements held by the organization (check all that apply)
☐ Preservation of land for public use (e g , recreation or pleasure) ☐ Preservation of an historically importantly land area
☐ Protection of natural habitat ☐ Preservation of a certified historic structure
☐ Preservation of open space

2

Complete lines 2a–2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Year
a	Total number of conservation easements
b	Total acreage restricted by conservation easements
c	Number of conservation easements on a certified historic structure included in (a)
d	Number of conservation easements included in (c) acquired after 8/17/06

3

Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the taxable year ► _____

4

Number of states where property subject to conservation easement is located ► _____

5

Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6

Staff and volunteer hours devoted to monitoring, inspecting and enforcing conservation easements during the year ► _____

7

Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ► \$ _____

8

Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9

In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a

If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b

If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i)

Revenues included in Form 990, Part VIII, line 1

► \$ _____

(ii)

Assets included in Form 990, Part X

► \$ _____

2

If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items

a

Revenues included in Form 990, Part VIII, line 1

► \$ _____

b

Assets included in Form 990, Part X

► \$ _____

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3

Using the organization's accession and other records, check any of the following that are a significant use of its collection items (check all that apply)

a

☐

Public exhibition

b

☐

Scholarly research

c

☐

Preservation for future generations

d

☐

Loan or exchange programs

e

☐

Other

4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes

☐ No

Part IV

Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIV and complete the following table

c

Beginning balance

d

Additions during the year

e

Distributions during the year

f

Ending balance

	Amount
1c	
1d	
1e	
1f	

2a

Did the organization include an amount on Form 990, Part X, line 21?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIV

Part V

Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a)Current Year	(b)Prior Year	(c)Two Years Back	(d)Three Years Back	(e)Four Years Back
1a	Beginning of year balance				
b	Contributions				
c	Investment earnings or losses				
d	Grants or scholarships				
e	Other expenditures for facilities and programs				
f	Administrative expenses				
g	End of year balance				

2

Provide the estimated percentage of the year end balance held as

a

Board designated or quasi-endowment ▶

b

Permanent endowment ▶

c

Term endowment ▶

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by

(i)

unrelated organizations

(ii)

related organizations

b

If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

	Yes	No
3a(i)		
3a(ii)		
3b		

4

Describe in Part XIV the intended uses of the organization's endowment funds

Part VI

Investments—Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of investment	(a) Cost or other basis (investment)	(b)Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		272,105	249,099	23,006
d Equipment		146,177	62,567	83,610
e Other		21,401	9,160	12,241
Total. Add lines 1a-1e (Column (d) should equal Form 990, Part X, column (B), line 10(c).) ▶				118,857

Part XI Reconciliation of Change in Net Assets from Form 990 to Financial Statements		
1	Total revenue (Form 990, Part VIII, column (A), line 12)	17,110,500
2	Total expenses (Form 990, Part IX, column (A), line 25)	27,705,474
3	Excess or (deficit) for the year Subtract line 2 from line 1	3-594,974
4	Net unrealized gains (losses) on investments	4
5	Donated services and use of facilities	5
6	Investment expenses	6
7	Prior period adjustments	7
8	Other (Describe in Part XIV)	8
9	Total adjustments (net) Add lines 4 - 8	9
10	Excess or (deficit) for the year per financial statements Combine lines 3 and 9	10-594,974

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return		
1	Total revenue, gains, and other support per audited financial statements	17,110,500
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12	
a	Net unrealized gains on investments2a	
b	Donated services and use of facilities2b	
c	Recoveries of prior year grants2c	
d	Other (Describe in Part XIV)2d	
e	Add lines 2a through 2d2e	
3	Subtract line 2e from line 13	37,110,500
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1	
a	Investment expenses not included on Form 990, Part VIII, line 7b4a	
b	Other (Describe in Part XIV)4b	
c	Add lines 4a and 4b4c	
5	Total Revenue Add lines 3 and 4c. (This should equal Form 990, Part I, line 12)5	57,110,500

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return		
1	Total expenses and losses per audited financial statements	17,705,474
2	Amounts included on line 1 but not on Form 990, Part IX, line 25	
a	Donated services and use of facilities2a	
b	Prior year adjustments2b	
c	Other losses2c	
d	Other (Describe in Part XIV)2d	
e	Add lines 2a through 2d2e	
3	Subtract line 2e from line 13	37,705,474
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:	
a	Investment expenses not included on Form 990, Part VIII, line 7b4a	
b	Other (Describe in Part XIV)4b	
c	Add lines 4a and 4b4c	
5	Total expenses Add lines 3 and 4c. (This should equal Form 990, Part I, line 18)5	57,705,474

Part XIV Supplemental Information		
Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information.		
Identifier	Return Reference	Explanation

Schedule I
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
Houston Arts Alliance

Grants and Other Assistance to Organizations,
Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990

OMB No 1545-0047

2010

Open to Public
Inspection

Employer identification number
74-1946756

Part I

General Information on Grants and Assistance

- 1

Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☒ Yes ☐ No
- 2

Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II

Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21 for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Part II can be duplicated if additional space is needed. ▶ ☐

1 (a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
See Additional Data Table							

2

Enter total number of section 501(c)(3) and government organizations

▶ 122

3

Enter total number of other organizations

▶ 2

Part IIIGrants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 22.
Use Schedule I-1 (Form 990) if additional space is needed.

(a)Type of grant or assistance	(b)Number of recipients	(c)Amount of cash grant	(d)Amount of non-cash assistance	(e)Method of valuation (book, FMV, appraisal, other)	(f)Description of non-cash assistance
(1) Support of individual artists projects	27	183,000			

Part IVSupplemental Information. Complete this part to provide the information required in Part I, line 2, and any other additional information.

Identifier	Return Reference	Explanation
Grantmaker's Description of How Grants are Used		HAA requires a final report with all related documentation and substantiating receipts be submitted These reports are then reviewed and signed off by the Grant Director and CEO

Software ID: 10000105

Software Version: 2010v3.2

EIN: 74-1946756

Name: Houston Arts Alliance

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Young Audiences of Houston 1800 St James Place Houston, TX 77056	74-6082602	501(c)(3)	49,890	0			Support performances
Writers in the School1523 W Main Houston, TX 77006	76-0338549	501(c)(3)	71,344	0			Creative writing
Weather Museum - Weather Research Center5104 Caroline St Houston, TX 77004	76-0236593	501(c)(3)	32,410	0			Support art projects
Voices Breaking Boundaries PO Box 541247 Houston, TX 77254	76-0679210	501(c)(3)	43,612	0			Radio show
Virtuosi of Houston2121 Kirby Drive 28 N Houston, TX 77019	76-0509062	501(c)(3)	26,681	0			Support art projects
Vietnamese Culture Asc 4615 Belle Park Dr Houston, TX 77072	76-0360557	501(c)(3)	15,000	0			Program support
University of Houston - KUHF Houston Pub316 E Cullen Houston, TX 77204	74-6001399	501(c)(3)	10,731	0			Support art projects
Univ of Hou DowntownOne Main St Houston, TX 77002	74-6001399	501(c)(3)	7,500	0			English Dept
Univ of Hou Clear Lake2700 Bay Area Blvd Art Dept Houston, TX 77058	74-6001399	501(c)(3)	6,000	0			Program support
U of HArte Publico Press316 E Cullen Houston, TX 77204	74-6001399	501(c)(3)	60,341	0			Book publications
U of H CWM Ctr of Arts316 E Cullen Building Houston, TX 77204	74-6001399	501(c)(3)	15,000	0			Program support
U of H Blaffer Gallery316 E Cullen Houston, TX 77204	74-6001399	501(c)(3)	75,117	0			Support art projects

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Theatre Southwest8944A Clarkcrest Houston,TX 77063	51-0199403	501(c)(3)	5,716	0			To support a festival
Theatre Lab Houston1706 Alamo St PO Box 70755 Houston,TX 77270	76-0338375	501(c)(3)	8,007	0			Theater productions
The Heritage Society1100 Bagby Houston,TX 77002	74-1444232	501(c)(3)	33,655	0			Program support
The Ensemble Theatre3535 Main St Houston,TX 77002	74-1941943	501(c)(3)	107,307	0			Theater productions
The Country Playhouse 12802 Queensbury Houston,TX 77024	74-6051824	501(c)(3)	11,884	0			Program support
The Anza Falco Fdn9330 Memorial Dr Houston,TX 77024	26-1276437	501(c)(3)	9,000	0			Program support
Texas Southern Univ3100 Cleburne St Houston,TX 77004	74-1620415	501(c)(3)	15,000	0			Jazz Studies
Texas Dragon Boat Association4723 Waring Street Houston,TX 77027	45-0499627	501(c)(3)	10,848	0			Support art projects
Talento Bilingue De Houston 333 S Jensen Dr Houston,TX 77003	76-0025512	501(c)(3)	65,122	0			Theatrical film and dance
TALA1540 Sul Ross Rd Houston,TX 77006	74-2073687	501(c)(3)	7,204	0			Support art projects
Suchu IncPO Box 130151 Houston,TX 77219	76-0621482	501(c)(3)	12,164	0			Support art projects
Stages Repertory Theatre 3201 Allen Parkway Suite 101 Houston,TX 77019	74-2090278	501(c)(3)	48,485	0			Theater productions

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SPARKPO Box 1562 Houston,TX 77251	75-2388253	501(c)(3)	15,000	0			Program support
SpacetakerPo Box 66494 Houston,TX 77266	30-0223932	501(c)(3)	31,367	0			To support eight projects
Southwest Alternate Media Proj1519 W Main Street Houston,TX 77006	74-1925421	501(c)(3)	21,763	0			Workshops and screenings
Several Dancers Core1404 Allston Houston,TX 77008	74-2054315	501(c)(3)	13,369	0			Support art projects
Sandra Organ Dance CompanyPO Box 130936 Houston,TX 77219	76-0561544	501(c)(3)	25,232	0			Concert and programs
Sanskriti8030 Oakington St Houston,TX 77071	76-0468097	501(c)(3)	5,226	0			To support performances
Rubber The Art Mob303 E 11th Street Houston,TX 77008	03-0401439		15,000	0			Program support
Rothko Chapel1409 Sul Ross Houston,TX 77006	74-1732379	501(c)(3)	51,902	0			Support art projects
River Oaks Chamber Orchestra1973 West Gray Ste 3 Houston,TX 77019	30-0288750	501(c)(3)	24,868	0			Support public recitals
Rice Design Alliance6100 Main Houston,TX 77005	74-1109620	501(c)(3)	47,344	0			Support art projects
Revels HoustonPO Box 66829 Houston,TX 77266	76-0321651	501(c)(3)	9,096	0			Support art projects
Project Row HousesPO Box 1011 Houston,TX 77251	76-0411778	501(c)(3)	88,674	0			Support art projects

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Orange Show Center2402 Munger Houston, TX 77023	74-2195558	501(c)(3)	36,214	0			Support art projects
Opera in the Heights1703 Heights Blvd Houston, TX 77008	76-0499709	501(c)(3)	38,364	0			Production of operas
Nameless Sound1458 Lawson Street Houston, TX 77023	20-4160737	501(c)(3)	17,507	0			Support art projects
Musiq3201 Allen Parkway 150 Houston, TX 77019	56-2281440	501(c)(3)	32,777	0			Musical performances
Museum of Printing History1324 W Clay Houston, TX 77019	76-0036373	501(c)(3)	21,158	0			Exhibits of books and prints
Mercury Baroque Ensemble3601 S Sandman Ste 220 Houston, TX 77098	76-0663007	501(c)(3)	58,104	0			Operating support
MECA1900 Kane Houston, TX 77007	74-2044904	501(c)(3)	94,807	0			Concerts and exhibits
Masquerade Theatre3558 East TC Jester Blvd Houston, TX 77018	76-0651989	501(c)(3)	43,109	0			Theater productions
Main Street Theater2540 Times Blvd Houston, TX 77005	74-2093696	501(c)(3)	65,936	0			Theater productions
Kuumba HousePO Box 8070 Houston, TX 77288	76-0071921	501(c)(3)	11,943	0			Support art projects
Korean-American SocietPO Box 19143 Houston, TX 77224	80-0241335	501(c)(3)	7,500	0			Program support
Jewish Community Ctr5601 S Braeswood Blvd Houston, TX 77096	74-1198298	501(c)(3)	10,336	0			Support art projects

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Jazz Education3303 S Rice Suite 107 Houston, TX 77288	74-1763612	501(c)(3)	47,956	0			Support art projects
InterActive Theatre Co333 South Jensen Drive Houston, TX 77003	76-0628208	501(c)(3)	25,033	0			Support art projects
Inst of Hispanic Culture 3315 Sul Ross Houston, TX 77098	23-7040494	501(c)(3)	20,289	0			Support art projects
Inprint1520 West Main Houston, TX 77006	76-0127748	501(c)(3)	33,261	0			Support art projects
Indo-American Association 3722 Latma Drive Houston, TX 77025	76-0409339	501(c)(3)	26,264	0			Cultural events
Houston Youth Symphony 2400 Augusta Drive Ste 235 Houston, TX 77057	74-1238425	501(c)(3)	32,443	0			Music concerts
Houston Young Artists 14119 Heatherfield Houston, TX 77079	74-2131451	501(c)(3)	10,669	0			Program support
Houston Tidelanders1315 Avon Way Kingwood, TX 77339	74-6057576	501(c)(3)	7,431	0			Support art projects
Houston Museum DistAssn 4200 Montrose Suite 425 Houtson, TX 77006	76-0524871	501(c)(3)	65,000	0			General support
Houston Metro Dance Ctr 1202 Calumet Houston, TX 77004	76-0470269	501(c)(3)	30,366	0			Support art projects
Houston Masterworks Chorus4119 Montrose Blvd Ste 260 Houston, TX 77006	76-0182765	501(c)(3)	8,133	0			Concert production
Houston Intl Film Festival 9898 Bissonnet Suite 650 Houston, TX 77036	74-2168997	501(c)(3)	20,649	0			Film and video festival

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Houston Intl Dance Coalition PO Box 130511 Houston, TX 77219	76-0094763	501(c)(3)	25,620	0			Dance festivals
Houston Institute for Culture 708-B Telephone Road Houston, TX 77023	13-4204068	501(c)(3)	7,352	0			Support art projects
Houston Friends of Music 6100 S Main Street MS 532 Houston, TX 77251	74-6050208	501(c)(3)	23,756	0			Support art projects
Houston Fire Museum2403 Milam Houston, TX 77006	76-0180163	501(c)(3)	15,413	0			Support art projects
Houston Festival Fdn4203 Montrose Blvd Houston, TX 77006	74-2034290	501(c)(3)	105,807	0			Support art projects
Houston Early MusicPO Box 271193 Houston, TX 77277	23-7405228	501(c)(3)	8,443	0			Support art projects
Houston Cinema Arts Society4409 Montrose Suite 150 Houston, TX 77006	30-0507986	501(c)(3)	19,500	0			Support art projects
Houston Childrens Chorus 1015 Holman Houston, TX 77004	76-0286895	501(c)(3)	28,070	0			Support art projects
Houston Chamber ChoirPO Box 53388 Houston, TX 77052	76-0480936	501(c)(3)	20,715	0			Support art projects
Houston Center for Photography1441 West Alabama Houston, TX 77006	76-0028082	501(c)(3)	39,258	0			Support art projects
Houston Boy Choir2352 Rice Boulevard Houston, TX 77005	76-0627922	501(c)(3)	5,473	0			Program support
Houston Architecture F315 Capitol St Suite 120 Houston, TX 77002	76-0218990	501(c)(3)	25,000	0			Program support

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Hou Center for Contemp Craft4848 Main Street Houston, TX 77002	76-0621817	501(c)(3)	68,702	0			Support art projects
Hope Stone Inc1210 W Clay Studio26 Houston, TX 77019	76-0519238	501(c)(3)	19,774	0			Support art projects
HITS Theatre311 W 18th Street Houston, TX 77008	74-2118224	501(c)(3)	40,228	0			Support art projects
Hispanic Health Coalit1515 Holcombe Unit 21 Houston, TX 77030	76-0609180	501(c)(3)	15,000	0			Program support
Havel Ruck Project5210 Eigel Houston, TX 77007	26-4027228		10,000	0			Program support
Gulf Coast A Journal of LiteratureUnivof Houston English Dept Houston, TX 77204	76-0369258	501(c)(3)	7,833	0			Support art projects
Gtr Houston Con & Evts901 Bagby Suite 100 Houston, TX 77002	20-0134518	501(c)(3)	15,000	0			Program support
Greater Hou Preservation Alliance712 Main Street Suite 110 Houston, TX 77002	74-2139150	501(c)(3)	22,628	0			Support art projects
GlasstirePO Box 70408 Houston, TX 77270	04-3691617	501(c)(3)	12,084	0			Support art projects
Fresh Arts Coalition2000 West Loop S Ste 2110 Houston, TX 77027	40-0000507	501(c)(3)	9,853	0			Support art projects
Freneticore5102 Navigation Blvd Houston, TX 77011	56-2288360	501(c)(3)	20,370	0			Support art projects
FotoFest1113 Vine Street 101 Houston, TX 77002	76-0105267	501(c)(3)	46,957	0			Support art projects

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Express Childrens Theatre 446 Northwest Mall Houston, TX 77092	76-0392202	501(c)(3)	54,477	0			Support art projects
Dominic Walsh Dance Theater 2311 Dunlavy St Suite 210 Houston, TX 77006	48-1259363	501(c)(3)	24,674	0			Support art projects
Doctors Orchestra of Houston 6336 Wakeforest Houston, TX 77005	76-0669727	501(c)(3)	6,668	0			Support art projects
DiverseWorks Artspace 1117 East Freeway Houston, TX 77002	76-0035355	501(c)(3)	31,685	0			Support art projects
Dionysus Theatre 5300 N Braeswood 226 Houston, TX 77096	76-0644815	501(c)(3)	15,320	0			Support art projects
Diaz Music Institute 4400 Aldine Mail Rt Houston, TX 77268	76-0629250	501(c)(3)	19,000	0			Program support
Dance Source Houston PO Box 130661 Houston, TX 77219	20-3206252	501(c)(3)	21,153	0			Program support
Dance of Asian America 10782-A Bellaire Blvd Houston, TX 77072	76-0668169	501(c)(3)	26,186	0			Support art projects
Dance Houston 406 W Clay Houston, TX 77019	20-1473943	501(c)(3)	15,921	0			Support art projects
DaCamera of Houston 1427 Branard Houston, TX 77006	76-0232578	501(c)(3)	13,000	0			Program support
Czech Cultural & Comm Cntr 4920 San Jacinto Street Houston, TX 77004	76-0496649	501(c)(3)	17,022	0			Support art projects
Ctr for HearingSpeech 3636 West Dallas Houston, TX 77019	74-6003178	501(c)(3)	10,000	0			Program support

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Ctr for African-American MilitaryBuffalo Soldiers Museum Houston,TX 77004	76-0658661	501(c)(3)	59,752	0			Support art projects
Creative Alternatives1330 R Wirt Road Houston,TX 77055	76-0010279	501(c)(3)	25,439	0			Support art projects
Community Artists Collective1413 Holman Houston,TX 77288	76-0236596	501(c)(3)	22,747	0			Support art projects
Classical Theatre CompPO Box 541324 Houston,TX 77254	20-8412415	501(c)(3)	17,573	0			Program support
City Dance5411 Morningside Houston,TX 77005	76-0584698	501(c)(3)	22,787	0			Support art projects
City Ballet of Houston9902 Long Point Houston,TX 77055	74-1482611	501(c)(3)	8,148	0			Support art projects
Chinese Community Center9800 Town Park Drive Houston,TX 77036	76-0067885	501(c)(3)	10,731	0			Support art projects
Childrens Prison Arts Projects605 W Bell Street Houston,TX 77019	76-0429181	501(c)(3)	13,504	0			Support art projects
Catastrophic Theatre1540 Sul Ross Houston,TX 77006	75-3244892	501(c)(3)	10,373	0			Program support
CantarePO Box 667457 Houston,TX 77266	77-0600175	501(c)(3)	7,602	0			Support art projects
Byzantine Fresco Foundation4011 Yupon Houston,TX 77006	76-0392705	501(c)(3)	9,623	0			Support art projects
Brilliant Lecture Seri2400 Augusta Drive Ste 245 Houston,TX 77057	20-3880735	501(c)(3)	20,000	0			Program support

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Brazilian Arts Foundation 1133 E11th Street Houston, TX 77009	01-0589194	501(c)(3)	49,641	0			Support art projects
Bharathi Kalai Manram310 Sandy Bluff Houston, TX 77079	74-2461394	501(c)(3)	11,160	0			Support art projects
Bayou City Concert MusPO Box 541795 Houston, TX 77254	20-4626281	501(c)(3)	5,043	0			Program support
Bach Society2353 Rice Boulevard Houston, TX 77005	76-0678451	501(c)(3)	19,306	0			Support art projects
Aurora Picture Show1524 Sul Ross Houston, TX 77006	76-0612928	501(c)(3)	29,732	0			Support art projects
Asian-Pacific American Heritage6220 Westpark Suite 245B Houston, TX 77057	76-0388426	501(c)(3)	15,198	0			Support art projects
Asia Society Texas4605 Post Oak Place Suite 205 Houston, TX 77027	03-0433949	501(c)(3)	29,799	0			Support art projects
ArtBridge An Arts Prog3311 Richmond Houston, TX 77098	03-0227525	501(c)(3)	10,000	0			Program support
Art Lies2711 Main Street Suite 211 Houston, TX 77002	76-0419219	501(c)(3)	23,917	0			Support art projects
Art League of Houston1963 Montrose Houston, TX 77006	74-1299166	501(c)(3)	35,412	0			City initiatives fund grant
Art Colony AssociationPO Box 66650 Houston, TX 77266	74-1874580	501(c)(3)	42,337	0			Support art projects
Ars Lyrica Houston4807 San Felipe Suite 202 Houston, TX 77056	30-0194631	501(c)(3)	17,889	0			Support art projects

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Applause Theatre Company 6608 FM 1960 West Ste E Houston, TX 77069	76-0490850	501(c)(3)	13,167	0			Support art projects
American Festival for the Arts 3311 Richmond Avenue Ste 350 Houston, TX 77098	76-0460311	501(c)(3)	34,471	0			Arts Project Grants
Ambassadors Intl Ballet Folklorico 5501 Brady Houston, TX 77011	76-0315755	501(c)(3)	12,523	0			Support art projects
Acres Homes MSC Com Ad 6719 West Montgomery Houston, TX 77091	76-0353339	501(c)(3)	6,500	0			Program support

Schedule J
(Form 990)

Compensation Information

OMB No 1545-0047

2010

Open to Public Inspection

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990, Part IV, question 23.

▶ Attach to Form 990. ▶ See separate instructions.

Name of the organization Houston Arts Alliance	Employer identification number 74-1946756
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Part I

Questions Regarding Compensation

	Yes	No
1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items		
☐ First-class or charter travel		
☐ Travel for companions		
☐ Tax idemnification and gross-up payments		
☐ Discretionary spending account		
☐ Housing allowance or residence for personal use		
☐ Payments for business use of personal residence		
☐ Health or social club dues or initiation fees		
☐ Personal services (e g , maid, chauffeur, chef)		
1b If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all the expenses described above? If "No," complete Part III to explain		
2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?		
3 Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director Check all that apply		
☒ Compensation committee		
☐ Independent compensation consultant		
☐ Form 990 of other organizations		
☒ Written employment contract		
☒ Compensation survey or study		
☒ Approval by the board or compensation committee		
4 During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization		
a Receive a severance payment or change-of-control payment from the organization or a related organization?		No
b Participate in, or receive payment from, a supplemental nonqualified retirement plan?		No
c Participate in, or receive payment from, an equity-based compensation arrangement?		No
If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III		
Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.		
5 For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of		
a The organization?		No
b Any related organization?		No
If "Yes," to line 5a or 5b, describe in Part III		
6 For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of		
a The organization?		No
b Any related organization?		No
If "Yes," to line 6a or 6b, describe in Part III		
7 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III		No
8 Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regs section 53 4958-4(a)(3)? If "Yes," describe in Part III		No
9 If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?		No

Part II **Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(i)-(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
(1) Jonathon D Glus	(i) (ii)	153,833	11,000			16,641	181,474	
(2)								
(3)								
(4)								
(5)								
(6)								
(7)								
(8)								
(9)								
(10)								
(11)								
(12)								
(13)								
(14)								
(15)								
(16)								

Part III **Supplemental Information**

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8. Also complete this part for any additional information.

Identifier	Return Reference	Explanation
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SCHEDULE O

(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.

OMB No 1545-0047

2010

Open to Public
Inspection

Name of the organization Houston Arts Alliance	Employer identification number 74-1946756
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Identifier	Return Reference	Explanation
Form 990, Part VI, Line 19	Form 990, Part VI, Line 19 Other Organization Documents Publicly Available	Information is available upon request

Identifier	Return Reference	Explanation
Form 990, Part VI, Line 15b	Form 990, Part VI, Line 15b Compensation Review and Approval Process for Officers and Key Employees	Comparable data and contemporaneous substantiation are used in the deliberations and decision in regard to determining compensation

Identifier	Return Reference	Explanation
Form 990, Part VI, Line 12c	Form 990, Part VI, Line 12c Explanation of Monitoring and Enforcement of Conflicts	Each year the CEO's office requires Directors to complete a conflict of interest statement

Identifier	Return Reference	Explanation
Form 990, Part VI, Line 11	Form 990, Part VI, Line 11 Form 990 Review Process	The 990 is prepared by outside accountants in conjunction with their audit work and reviewed by the CEO and Director of Finance & Administration

Identifier	Return Reference	Explanation
Form 990, Part III, Line 4d	Form 990, Part III, Line 4d Other Program Services Description	OTHER PROGRAM SERVICES 4 Other programs include Space125 Gallery which is used to exhibit the works of HAA grantees as well as other Houston area artists Artshound is HAA's online calendar and the go-to-site for information about Houston's thriving arts and culture sector HAA also has an active arts marketing communication division which is actively using PR, the HAA website and other means to advance the works of the cultural arts community in the Houston area Additionally, we are affiliated with National Arts Strategies (NAS) OTHER PROGRAM SERVICES 5 The Folklife and Traditional Arts Program, a new initiative of the Houston Arts Alliance, is focused on promoting and presenting the lively cultural traditions of the nations fourth largest metropolitan area The program works in the citys diverse neighborhoods and communities to explore and highlight the contemporary traditions that make Houston one of the most vibrant urban landscapes in the United States The programs goals include research, documentation and public projects that explore the wide variety of established and emerging cultural communities that come together in the greater Houston area The program also provides technical assistance and resources to local traditional artists, engages in collaborations with colleague organizations, and markets the citys rich and diverse culture to locals and visitors alike