Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation
## or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

**Note** The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

**2010**

For calendar year 2010, or tax year beginning JUL 1, 2010 , and ending JUN 30, 2011

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation
CARMEN REBOZO FOUNDATION, INC.
C/O OLGA GUILARTE

A Employer identification number
59-2667397

Number and street (or P O box number if mail is not delivered to street address) Room/suite
6274 SW 35TH STREET

B Telephone number
(305) 661-9726

City or town, state, and ZIP code
MIAMI, FL 33155

C If exemption application is pending, check here ▶☐
D 1. Foreign organizations, check here ▶☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 18,494,352.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶☐

**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| Revenue | 1 Contributions, gifts, grants, etc., received | | | N/A | |
| | 2 Check ▶ ☒ if the foundation is not required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | 777. | 777. | | STATEMENT 1 |
| | 4 Dividends and interest from securities | 171,314. | 171,314. | | STATEMENT 2 |
| | 5a Gross rents | 25,871. | 25,871. | | STATEMENT 3 |
| | b Net rental income or (loss) <14,766.> | | | | STATEMENT 4 |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 565,670. | | | |
| | b Gross sales price for all assets on line 6a 4,030,397. | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 565,670. | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) | | | | |
| | 11 Other income | 515,270. | 515,270. | | STATEMENT 5 |
| | 12 Total. Add lines 1 through 11 | 1,278,902. | 1,278,902. | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc | 0. | 0. | | 0. |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees STMT 6 | 2,500. | 2,500. | | 0. |
| | b Accounting fees STMT 7 | 4,625. | 2,312. | | 2,313. |
| | c Other professional fees STMT 8 | 48,447. | 48,447. | | 0. |
| | 17 Interest | | | | |
| | 18 Taxes STMT 9 | 51,395. | 13,405. | | 0. |
| | 19 Depreciation and depletion | 14,637. | 14,637. | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses STMT 10 | 12,715. | 12,595. | | 120. |
| | 24 **Total operating and administrative expenses.** Add lines 13 through 23 | 134,319. | 93,896. | | 2,433. |
| | 25 Contributions, gifts, grants paid | 880,700. | | | 880,700. |
| | 26 **Total expenses and disbursements.** Add lines 24 and 25 | 1,015,019. | 93,896. | | 883,133. |
| | 27 Subtract line 26 from line 12. | | | | |
| | a Excess of revenue over expenses and disbursements | 263,883. | | | |
| | b **Net investment income** (if negative, enter -0-) | | 1,185,006. | | |
| | c **Adjusted net income** (if negative, enter -0-) | | | N/A | |

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10510926 759918 REBFD 2010.04040 CARMEN REBOZO FOUNDATION, I REBFD__1

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only

| | Beginning of year (a) Book Value | End of year (b) Book Value | End of year (c) Fair Market Value |
|---|---|---|---|
| **Assets** | | | |
| 1 Cash - non-interest-bearing | 252,481. | 127,090. | 127,090. |
| 2 Savings and temporary cash investments | 929,146. | 669,677. | 669,677. |
| 3 Accounts receivable ▶ | | | |
| Less: allowance for doubtful accounts ▶ | | | |
| 4 Pledges receivable ▶ | | | |
| Less: allowance for doubtful accounts ▶ | | | |
| 5 Grants receivable | | | |
| 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| 7 Other notes and loans receivable ▶ | | | |
| Less: allowance for doubtful accounts ▶ | | | |
| 8 Inventories for sale or use | | | |
| 9 Prepaid expenses and deferred charges | | | |
| 10a Investments - U.S. and state government obligations | | | |
| b Investments - corporate stock STMT 11 | 4,875,511. | 5,077,751. | 6,279,591. |
| c Investments - corporate bonds STMT 12 | 2,055,785. | 2,077,133. | 2,125,311. |
| 11 Investments - land, buildings, and equipment basis ▶ 1,630,543. | | | |
| Less accumulated depreciation STMT 13 ▶ 22,713. | 1,622,467. | 1,607,830. | 819,923. |
| 12 Investments - mortgage loans | | | |
| 13 Investments - other | | | |
| 14 Land, buildings, and equipment: basis ▶ | | | |
| Less accumulated depreciation ▶ | | | |
| 15 Other assets (describe ▶ STATEMENT 14) | 8,032,968. | 8,472,760. | 8,472,760. |
| 16 **Total assets** (to be completed by all filers) | 17,768,358. | 18,032,241. | 18,494,352. |
| **Liabilities** | | | |
| 17 Accounts payable and accrued expenses | | | |
| 18 Grants payable | | | |
| 19 Deferred revenue | | | |
| 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| 21 Mortgages and other notes payable | | | |
| 22 Other liabilities (describe ▶ ) | | | |
| 23 **Total liabilities** (add lines 17 through 22) | 0. | 0. | |
| **Net Assets or Fund Balances** | | | |
| **Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31** | | | |
| 24 Unrestricted | | | |
| 25 Temporarily restricted | | | |
| 26 Permanently restricted | | | |
| **Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.** | | | |
| 27 Capital stock, trust principal, or current funds | 0. | 0. | |
| 28 Paid-in or capital surplus, or land, bldg., and equipment fund | 0. | 0. | |
| 29 Retained earnings, accumulated income, endowment, or other funds | 17,768,358. | 18,032,241. | |
| 30 **Total net assets or fund balances** | 17,768,358. | 18,032,241. | |
| 31 **Total liabilities and net assets/fund balances** | 17,768,358. | 18,032,241. | |

**Part III Analysis of Changes in Net Assets or Fund Balances**

| | | |
|---|---|---|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 17,768,358. |
| 2 Enter amount from Part I, line 27a | 2 | 263,883. |
| 3 Other increases not included in line 2 (itemize) ▶ | 3 | 0. |
| 4 Add lines 1, 2, and 3 | 4 | 18,032,241. |
| 5 Decreases not included in line 2 (itemize) ▶ | 5 | 0. |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 18,032,241. |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | | | | |
| b | SEE ATTACHED STATEMENT | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | 4,030,397. | | | 565,670. |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | 565,670. |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } **2** 565,670.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 **3** N/A

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|---|---|---|---|
| 2009 | 879,061. | 18,303,186. | .048028 |
| 2008 | 980,264. | 17,768,121. | .055170 |
| 2007 | 913,419. | 19,848,321. | .046020 |
| 2006 | 896,645. | 18,773,498. | .047761 |
| 2005 | 920,711. | 18,490,904. | .049793 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | .246772 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .049354 |
| 4 | Enter the net value of noncharitable-use assets for 2010 from Part X, line 5 | 4 | 17,853,683. |
| 5 | Multiply line 4 by line 3 | 5 | 881,151. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 11,850. |
| 7 | Add lines 5 and 6 | 7 | 893,001. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 883,133. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | | |
|---|---|---|---|---|
| 1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ **(attach copy of letter if necessary-see instructions)** | | | | |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b | | | 1 | 23,700. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | | | |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | | 2 | 0. |
| 3 Add lines 1 and 2 | | | 3 | 23,700. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | | 4 | 0. |
| 5 **Tax based on investment income** Subtract line 4 from line 3. If zero or less, enter -0- | | | 5 | 23,700. |
| 6 Credits/Payments: | | | | |
| a 2010 estimated tax payments and 2009 overpayment credited to 2010 | 6a | 22,812. | | |
| b Exempt foreign organizations - tax withheld at source | 6b | | | |
| c Tax paid with application for extension of time to file (Form 8868) | 6c | | | |
| d Backup withholding erroneously withheld | 6d | | | |
| 7 Total credits and payments. Add lines 6a through 6d | | | 7 | 22,812. |
| 8 Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached | | | 8 | 22. |
| 9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | | | 9 | 910. |
| 10 **Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | | | 10 | |
| 11 Enter the amount of line 10 to be: **Credited to 2011 estimated tax** ▶ **Refunded** ▶ | | | 11 | |

## Part VII-A Statements Regarding Activities

| | | Yes | No |
|---|---|---|---|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? | 1b | | X |
| *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities* | | | |
| c Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ $ 0. (2) On foundation managers. ▶ $ 0. | | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0. | | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities* | 2 | | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| 4a Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A | 4b | | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T* | 5 | | X |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV.* | 7 | X | |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ FL | | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | X | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | X |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | 10 | | X |

**Part VII-A Statements Regarding Activities** *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ N/A

14 The books are in care of ▶ OLGA GUILARTE Telephone no. ▶ 305 661-9726

Located at ▶ MIAMI, FL ZIP+4 ▶ 33155

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶ | | | |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly): | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception**. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? N/A | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010? | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | |
| a | At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No | | | |
| | If "Yes," list the years ▶ ______, ______, ______, ______ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2010 as a result of (**1**) any purchase by the foundation or disqualified persons after May 26, 1969; (**2**) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (**3**) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)* N/A | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010? | 4b | | X |

**Part VII-B | Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

| | | | | |
|---|---|---|---|---|
| **5a** During the year did the foundation pay or incur any amount to: | | | | |
| **(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | ☐ Yes ☒ No | | | |
| **(2)** Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? | ☐ Yes ☒ No | | | |
| **(3)** Provide a grant to an individual for travel, study, or other similar purposes? | ☐ Yes ☒ No | | | |
| **(4)** Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? | ☐ Yes ☒ No | | | |
| **(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | ☐ Yes ☒ No | | | |
| **b** If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? | N/A | 5b | | |
| Organizations relying on a current notice regarding disaster assistance check here | ▶ ☐ | | | |
| **c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A | ☐ Yes ☐ No | | | |
| *If "Yes," attach the statement required by Regulations section 53.4945-5(d)* | | | | |
| **6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | ☐ Yes ☒ No | | | |
| **b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | 6b | | X |
| *If "Yes" to 6b, file Form 8870* | | | | |
| **7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | ☐ Yes ☒ No | | | |
| **b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? | N/A | 7b | | |

**Part VIII | Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| SEE STATEMENT 15 | | 0. | 0. | 0. |
| | | | | |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ 0

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**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services ▶ | | 0 |

**Part IX-A Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|---|---|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B Summary of Program-Related Investments**

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|---|
| 1 N/A | |
| 2 | |
| All other program-related investments. See instructions. 3 | |
| **Total.** Add lines 1 through 3 ▶ | 0. |

## Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions )

| | | | | |
|---|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | | |
| a | Average monthly fair market value of securities | | 1a | 8,749,478. |
| b | Average of monthly cash balances | | 1b | 382,367. |
| c | Fair market value of all other assets | | 1c | 8,993,722. |
| d | **Total** (add lines 1a, b, and c) | | 1d | 18,125,567. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e 0. | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | | 2 | 0. |
| 3 | Subtract line 2 from line 1d | | 3 | 18,125,567. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | | 4 | 271,884. |
| 5 | **Net value of noncharitable-use assets**. Subtract line 4 from line 3. Enter here and on Part V, line 4 | | 5 | 17,853,683. |
| 6 | **Minimum investment return**. Enter 5% of line 5 | | 6 | 892,684. |

## Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | |
|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | 1 | 892,684. |
| 2a | Tax on investment income for 2010 from Part VI, line 5 | 2a 23,700. | | |
| b | Income tax for 2010. (This does not include the tax from Part VI.) | 2b | | |
| c | Add lines 2a and 2b | | 2c | 23,700. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | | 3 | 868,984. |
| 4 | Recoveries of amounts treated as qualifying distributions | | 4 | 0. |
| 5 | Add lines 3 and 4 | | 5 | 868,984. |
| 6 | Deduction from distributable amount (see instructions) | | 6 | 0. |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | | 7 | 868,984. |

## Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 883,133. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions**. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 883,133. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5 | 0. |
| 6 | **Adjusted qualifying distributions**. Subtract line 5 from line 4 | 6 | 883,133. |

**Note** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** **Undistributed Income** (see instructions)

| | (a) Corpus | (b) Years prior to 2009 | (c) 2009 | (d) 2010 |
|---|---|---|---|---|
| 1 Distributable amount for 2010 from Part XI, line 7 | | | | 868,984. |
| 2 Undistributed income, if any, as of the end of 2010 | | | | |
| a Enter amount for 2009 only | | | 878,690. | |
| b Total for prior years: ____ , ____ , ____ | | 0. | | |
| 3 Excess distributions carryover, if any, to 2010: | | | | |
| a From 2005 | | | | |
| b From 2006 | | | | |
| c From 2007 | | | | |
| d From 2008 | | | | |
| e From 2009 | | | | |
| f **Total** of lines 3a through e | 0. | | | |
| 4 Qualifying distributions for 2010 from Part XII, line 4. ▶ $ 883,133. | | | | |
| a Applied to 2009, but not more than line 2a | | | 878,690. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2010 distributable amount | | | | 4,443. |
| e Remaining amount distributed out of corpus | 0. | | | |
| 5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).) | 0. | | | 0. |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 0. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011 | | | | 864,541. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) | 0. | | | |
| 8 Excess distributions carryover from 2005 not applied on line 5 or line 7 | 0. | | | |
| 9 **Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a | 0. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2006 | | | | |
| b Excess from 2007 | | | | |
| c Excess from 2008 | | | | |
| d Excess from 2009 | | | | |
| e Excess from 2010 | | | | |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | **(a)** 2010 | **(b)** 2009 | **(c)** 2008 | **(d)** 2007 | **(e) Total** |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV Supplementary Information** (continued)

| 3 Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|---|---|---|---|---|
| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| *a Paid during the year* | | | | |
| SEE STATEMENT 16 | | | | |
| Total | | | ▶ 3a | 880,700. |
| *b Approved for future payment* | | | | |
| NONE | | | | |
| Total | | | ▶ 3b | 0. |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | Unrelated business income (a) Business code | Unrelated business income (b) Amount | Excluded by section 512, 513, or 514 (c) Exclusion code | Excluded by section 512, 513, or 514 (d) Amount | (e) Related or exempt function income |
|---|---|---|---|---|---|
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 777. | |
| 4 Dividends and interest from securities | | | 14 | 171,314. | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | 16 | <14,766.> | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | 14 | 515,270. | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 565,670. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | 0. | | 1,238,265. | 0. |

13 **Total**. Add line 12, columns (b), (d), and (e) 13 1,238,265.

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). |
|---|---|
| | |

**Part XVII** **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | N/A | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| N/A | | |

**Sign Here** Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 10/5/11 Title: President

| **Paid Preparer Use Only** | | | | |
|---|---|---|---|---|
| Print/Type preparer's name: CRAIG RICKERT | Preparer's signature: [signature] | Date: 9/26/11 | Check ☒ if self-employed | PTIN |
| Firm's name ▶ CRAIG L. RICKERT, CPA | | | Firm's EIN ▶ | |
| Firm's address ▶ 5580 LAGORCE DRIVE MIAMI BEACH, FL 33140 | | | Phone no. (305) 866-3229 | |

Form **990-PF** (2010)

023622 04-26-11

**Part IV** Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | MORGAN KEEGAN-SEE DETAIL ATTACHED | P | | |
| b | MORGAN KEEGAN-SEE DETAIL ATTACHED | | | |
| c | MORGAN KEEGAN-SEE DETAIL ATTACHED | P | | |
| d | ATLANTIC TRUST-SEE DETAIL ATTACHED | | | |
| e | ATLANTIC TRUST-SEE DETAIL ATTACHED | P | | |
| f | CAPITAL GAINS DIVIDENDS | | | |
| g | | | | |
| h | | | | |
| i | | | | |
| j | | | | |
| k | | | | |
| l | | | | |
| m | | | | |
| n | | | | |
| o | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 292,700. | | | <682.> |
| b | | | | 58,186. |
| c | 1,037,512. | | | 88,604. |
| d | | | | 117,551. |
| e | 2,699,908. | | | 301,734. |
| f | 277. | | | 277. |
| g | | | | |
| h | | | | |
| i | | | | |
| j | | | | |
| k | | | | |
| l | | | | |
| m | | | | |
| n | | | | |
| o | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---|---|---|---|---|
| a | | | | <682.> |
| b | | | | 58,186. |
| c | | | | 88,604. |
| d | | | | 117,551. |
| e | | | | 301,734. |
| f | | | | 277. |
| g | | | | |
| h | | | | |
| i | | | | |
| j | | | | |
| k | | | | |
| l | | | | |
| m | | | | |
| n | | | | |
| o | | | | |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 } | 2 | 565,670. |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 | 3 | N/A |

023591
05-01-10

CARMEN REBOZO FOUNDATION INC (64A013008)

Gain Loss and Income Report

Report Dates 07/01/2010 - 06/30/2011

ATLANTIC TRUST

PRIVATE WEALTH MANAGEMENT

## CARMEN REBOZO FOUNDATION INC (64A013008)

Gain Loss Summary

| | |
|---|---|
| Total Short Term Gain or Loss, net of Capital Gains Dist./Div | $117,550 78 |
| Total Long Term Gain or Loss, net of Capital Gains Dist /Div | $301,733 51 |
| Total Long Term Capital Gains Dist /Div | $60 99 |

## CARMEN REBOZO FOUNDATION INC (64A013016)

Gain Loss Transaction Detail

| Sub-Account Number | Asset Description | Cusip | Disposition Trade Date | Acquisition Date | Tax Effective Date | Shares / Par Value | Proceeds | Cost | Short Term Gain or Loss | Long Term Gain or Loss |
|---|---|---|---|---|---|---|---|---|---|---|
| 64A013016 | CITIGROUP INC SUB NTS7 25% DTD 10/11/2000 DUE 10/01/2010 | 172967AZ4 | 10/01/2010 | 09/17/2003 | | -50,000.000 | $50,000 00 | $58,468 17 | $0.00 | -$8,468.17 |
| | | | | | | **Totals** | **$50,000.00** | **$58,468.17** | **$0.00** | **-$8,468.17** |

**IMPORTANT NOTE:** *This report is provided as a convenience to you The figures included herein are preliminary and in some cases are based upon information from third parties. You should refer to the final tax reports issued by Atlantic Trust or the applicable custodian, issuer, or other entity for definitive information to use in connection with your tax filings This report does not include transactions that had not settled at the time the report was created. It also does not include information regarding limited partnerships, you should refer to the applicable Form K-1 for that information*

ATLANTIC TRUST
PRIVATE WEALTH MANAGEMENT

## CARMEN REBOZO FOUNDATION-EQ INC (64A013024)

### Gain Loss Transaction Detail

| Sub-Account Number | Asset Description | Cusip | Disposition Trade Date | Acquisition Date | Tax Effective Date | Shares / Par Value | Proceeds | Cost | Short Term Gain or Loss | Long Term Gain or Loss |
|---|---|---|---|---|---|---|---|---|---|---|
| 64A013024 | ABB LTD SPONSORED ADR | 000375204 | 07/15/2010 | 05/07/2009 | | -1,750 000 | $32,400 50 | $27,897 17 | $0 00 | $4,503 33 |
| 64A013024 | AMGEN INC COM | 031162100 | 07/15/2010 | 04/06/2009 | | -1,350 000 | $70,986 35 | $63,162.23 | $0.00 | $7,824 12 |
| 64A013024 | APOLLO GROUP INC CL A | 037604105 | 07/15/2010 | various | | -450 000 | $19,822 35 | $31,313 95 | -$7,389 05 | -$4,102 55 |
| 64A013024 | CARDINAL HEALTH INC COM | 14149Y108 | 07/15/2010 | 04/29/2010 | | -1,500 000 | $53,601 64 | $53,952 21 | -$350 57 | $0 00 |
| 64A013024 | COMPANHIA DE BEBIDAS DAS AMERS SPONADR | 20441W203 | 07/15/2010 | 06/07/2010 | | -505 000 | $53,273 30 | $48,742 87 | $4,530 43 | $0 00 |
| 64A013024 | FORD MTR CO DEL COM PAR $0 01 | 345370860 | 07/15/2010 | 02/18/2010 | | -4,600 000 | $53,492 28 | $53,468 35 | $23 93 | $0 00 |
| 64A013024 | GAP INC COM | 364760108 | 07/15/2010 | 05/29/2009 | | -1,250 000 | $23,178 15 | $21,506.51 | $0 00 | $1,671 64 |
| 64A013024 | GILEAD SCIENCES INC COM | 375558103 | 07/15/2010 | 03/02/2009 | | -600 000 | $20,960 99 | $29,056 32 | $0 00 | -$8,095 33 |
| 64A013024 | LAUDER ESTEE COS INC CL A | 518439104 | 07/15/2010 | 11/13/2009 | | -600.000 | $38,720 39 | $27,820 83 | $10,899 56 | $0.00 |
| 64A013024 | SYNGENTA AG SPONSORED ADR | 87160A100 | 07/15/2010 | 07/08/2009 | | -850 000 | $39,749 12 | $37,993.97 | $0.00 | $1,755 15 |
| 64A013024 | KOHLS CORP COM | 500255104 | 07/22/2010 | 04/27/2009 | | -450.000 | $21,568 63 | $20,147 75 | $0 00 | $1,420 88 |
| 64A013024 | MCKESSON CORP COM | 58155Q103 | 07/22/2010 | 11/13/2009 | | -750.000 | $48,359 23 | $42,810.46 | $5,548.77 | $0 00 |
| 64A013024 | WELLPOINT INC COM | 94973V107 | 07/22/2010 | 04/01/2009 | | -600 000 | $31,431.35 | $22,397 85 | $0.00 | $9,033 50 |
| 64A013024 | ACCENTURE PLC CLASS A ORDINARYSHARES | G1151C101 | 07/29/2010 | 02/06/2009 | | -825 000 | $32,529 16 | $27,218 69 | $0.00 | $5,310 47 |
| 64A013024 | EXPRESS SCRIPTS INC COM | 302182100 | 07/29/2010 | 04/06/2009 | | -460.000 | $20,575 56 | $11,358.42 | $0 00 | $9,217.14 |

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## CARMEN REBOZO FOUNDATION-EQ INC (64A013024)

Gain Loss Transaction Detail

| Sub-Account Number | Asset Description | Cusip | Disposition Trade Date | Acquisition Date | Tax Effective Date | Shares / Par Value | Proceeds | Cost | Short Term Gain or Loss | Long Term Gain or Loss |
|---|---|---|---|---|---|---|---|---|---|---|
| 64A013024 | HEWLETT PACKARD CO COM | 428236103 | 07/29/2010 | 02/06/2009 | | -965 000 | $44,930.34 | $35,169 40 | $0.00 | $9,760 94 |
| 64A013024 | HOSPIRA INC COM | 441060100 | 07/29/2010 | 04/22/2010 | | -450 000 | $23,131 99 | $25,377 21 | -$2,245 22 | $0.00 |
| 64A013024 | MASTERCARD INC CL A | 57636Q104 | 07/29/2010 | 04/06/2009 | | -150 000 | $31,137 03 | $26,255 66 | $0 00 | $4,881 37 |
| 64A013024 | PG&E CORP COM | 69331C108 | 08/09/2010 | 07/15/2010 | | -335 000 | $15,300 16 | $14,464 62 | $835 54 | $0 00 |
| 64A013024 | PRAXAIR INC COM | 74005P104 | 08/09/2010 | 07/15/2010 | | -225 000 | $19,906 29 | $18,448 34 | $1,457.95 | $0 00 |
| 64A013024 | COGNIZANT TECH SOLUTIONS CRP COM | 192446102 | 08/10/2010 | 08/26/2009 | | -500 000 | $30,638 63 | $17,712 67 | $12,925.96 | $0 00 |
| 64A013024 | COLGATE PALMOLIVE CO COM | 194162103 | 08/10/2010 | 05/12/2010 | | -300 000 | $23,552 95 | $24,846 46 | -$1,293 51 | $0 00 |
| 64A013024 | DIRECTV COM CL A | 25490A101 | 08/11/2010 | 06/04/2010 | | -1,305 000 | $50,675 74 | $50,214 39 | $461 35 | $0 00 |
| 64A013024 | JOHNSON & JOHNSON COM | 478160104 | 08/11/2010 | 10/03/2008 | | -500 000 | $29,313.90 | $33,234 60 | $0 00 | -$3,920 70 |
| 64A013024 | LIMITED BRANDS INC COM | 532716107 | 08/11/2010 | 05/14/2009 | | -1,850 000 | $46,503 15 | $21,139.57 | $0 00 | $25,363 58 |
| 64A013024 | MEDCO HEALTH SOLUTIONS INC COM | 58405U102 | 08/11/2010 | various | | -1,150 000 | $52,872 44 | $65,012 72 | -$7,647 83 | -$4,492 45 |
| 64A013024 | STARBUCKS CORP COM | 855244109 | 08/11/2010 | 02/10/2010 | | -1,100 000 | $26,971 39 | $24,535 10 | $2,436 29 | $0 00 |
| 64A013024 | PG&E CORP COM | 69331C108 | 09/10/2010 | 07/15/2010 | | -360 000 | $16,103 05 | $15,544 06 | $558 99 | $0 00 |
| 64A013024 | COACH INC COM | 189754104 | 09/27/2010 | 01/13/2010 | | -700 000 | $29,812 58 | $26,794.45 | $3,018 13 | $0.00 |
| 64A013024 | DOLLAR TREE INC COM | 256746108 | 09/27/2010 | 08/26/2009 | | -825 000 | $39,759 92 | $27,764 32 | $0 00 | $11,995 60 |
| 64A013024 | GOLDMAN SACHS GROUP INC COM | 38141G104 | 09/27/2010 | 04/27/2009 | | -350.000 | $51,231 58 | $42,007 83 | $0.00 | $9,223 75 |
| 64A013024 | GOODRICH CORP COM | 382388106 | 09/27/2010 | 05/29/2009 | | -450 000 | $32,611.72 | $21,860 90 | $0 00 | $10,750 82 |

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ATLANTIC TRUST
PRIVATE WEALTH MANAGEMENT

## CARMEN REBOZO FOUNDATION-EQ INC (64A013024)

Gain Loss Transaction Detail

| Sub-Account Number | Asset Description | Cusip | Disposition Trade Date | Acquisition Date | Tax Effective Date | Shares / Par Value | Proceeds | Cost | Short Term Gain or Loss | Long Term Gain or Loss |
|---|---|---|---|---|---|---|---|---|---|---|
| 64A013024 | INGERSOLL RAND PLC SHS | G47791101 | 09/27/2010 | 06/04/2010 | | -1,045 000 | $36,401 89 | $39,002 66 | -$2,600.77 | $0.00 |
| 64A013024 | MICROSOFT CORP COM | 594918104 | 09/27/2010 | various | | -2,450 000 | $60,627 53 | $66,369 64 | -$5,306 37 | -$435 74 |
| 64A013024 | NATIONAL OILWELL VARCO INC | 637071101 | 09/27/2010 | 07/08/2009 | | -700 000 | $30,783 53 | $20,417 08 | $0 00 | $10,366.45 |
| 64A013024 | OCCIDENTAL PETE CORP COM | 674599105 | 09/27/2010 | 07/08/2009 | | -750 000 | $56,054 85 | $43,492 28 | $0 00 | $12,562 57 |
| 64A013024 | ROSS STORES INC COM | 778296103 | 09/27/2010 | 05/01/2009 | | -850 000 | $47,303 89 | $32,461.45 | $0 00 | $14,842 44 |
| 64A013024 | UNION PAC CORP COM | 907818108 | 09/27/2010 | 05/07/2009 | | -450 000 | $36,828 47 | $22,919 57 | $0 00 | $13,908 90 |
| 64A013024 | CHECK POINT SOFTWARE TECH COM | M22465104 | 11/04/2010 | 01/22/2010 | | -375 000 | $16,135 02 | $12,431 88 | $3,703.14 | $0.00 |
| 64A013024 | BEST BUY INC COM | 086516101 | 11/05/2010 | 07/29/2010 | | -220 000 | $9,891.63 | $7,621 33 | $2,270 30 | $0 00 |
| 64A013024 | NALCO HLDG CO COM | 62985Q101 | 11/08/2010 | 07/15/2010 | | -440 000 | $13,152 20 | $10,249 18 | $2,903 02 | $0 00 |
| 64A013024 | COOPER INDUSTRIES PLC NEW IRELANDCOM | G24140108 | 11/30/2010 | 10/30/2009 | | -650 000 | $35,420 78 | $25,830 12 | $0 00 | $9,590 66 |
| 64A013024 | INTERNATIONAL BUSINESS MACHS CORPCOM | 459200101 | 11/30/2010 | 01/30/2008 | | -160 000 | $22,705 02 | $16,814 79 | $0.00 | $5,890.23 |
| 64A013024 | QUEST DIAGNOSTICS INC COM | 74834L100 | 11/30/2010 | 07/06/2009 | | -400 000 | $19,695 04 | $22,093 97 | $0.00 | -$2,398 93 |
| 64A013024 | XILINX INC COM | 983919101 | 11/30/2010 | 04/06/2009 | | -1,150 000 | $31,135 40 | $22,590 68 | $0.00 | $8,544 72 |
| 64A013024 | CME GROUP INC COM | 12572Q105 | 12/17/2010 | 08/09/2010 | | -40 000 | $12,825 68 | $10,866.76 | $1,958 92 | $0.00 |
| 64A013024 | EQT CORP COM | 26884L109 | 12/17/2010 | 07/15/2010 | | -315 000 | $13,661 10 | $11,660 64 | $2,000 46 | $0.00 |
| 64A013024 | EXXON MOBIL CORP COM | 30231G102 | 12/17/2010 | 10/01/2010 | | -210 000 | $15,094.54 | $13,134 87 | $1,959 67 | $0 00 |

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ATLANTIC TRUST
PRIVATE WEALTH MANAGEMENT

## CARMEN REBOZO FOUNDATION-EQ INC (64A013024)

Gain Loss Transaction Detail

| Sub-Account Number | Asset Description | Cusip | Disposition Trade Date | Acquisition Date | Tax Effective Date | Shares / Par Value | Proceeds | Cost | Short Term Gain or Loss | Long Term Gain or Loss |
|---|---|---|---|---|---|---|---|---|---|---|
| 64A013024 | NALCO HLDG CO COM | 62985Q101 | 12/17/2010 | 07/15/2010 | | -410 000 | $13,118 05 | $9,550 37 | $3,567 68 | $0 00 |
| 64A013024 | TIME WARNER CABLE INC COM | 88732J207 | 12/17/2010 | 08/11/2010 | | -200 000 | $12,942.79 | $11,094 11 | $1,848 68 | $0 00 |
| 64A013024 | AMERISOURCEBERGEN CORP COM | 03073E105 | 12/21/2010 | 10/22/2009 | | -1,650 000 | $55,298 12 | $38,871 30 | $0 00 | $16,426 82 |
| 64A013024 | E M C CORP MASS COM | 268648102 | 01/04/2011 | 07/30/2009 | | -3,400 000 | $77,876 25 | $50,014 36 | $0 00 | $27,861.89 |
| 64A013024 | WALGREEN CO COM | 931422109 | 01/20/2011 | 05/12/2010 | | -320 000 | $13,329 86 | $11,607 73 | $1,722 13 | $0 00 |
| 64A013024 | NEXTERA ENERGY INC COM | 65339F101 | 01/21/2011 | 12/21/2010 | | -365 000 | $19,775 20 | $18,731.30 | $1,043 90 | $0 00 |
| 64A013024 | STRYKER CORP COM | 863667101 | 03/11/2011 | 08/23/2010 | | -215.000 | $13,539 85 | $9,961 58 | $3,578 27 | $0 00 |
| 64A013024 | HEWLETT PACKARD CO COM | 428236103 | 03/15/2011 | 04/06/2009 | | -885 000 | $36,120 04 | $30,012 06 | $0 00 | $6,107.98 |
| 64A013024 | GENERAL DYNAMICS CORP COM | 369550108 | 04/11/2011 | 12/21/2010 | | -405.000 | $29,920 10 | $28,610 98 | $1,309 12 | $0 00 |
| 64A013024 | MEDTRONIC INC COM | 585055106 | 04/11/2011 | 08/24/2010 | | -920.000 | $37,047 30 | $32,238 14 | $4,809 16 | $0 00 |
| 64A013024 | TIME WARNER CABLE INC COM | 88732J207 | 04/19/2011 | 06/17/2010 | | -250 000 | $18,073 10 | $13,858 53 | $4,214 57 | $0 00 |
| 64A013024 | BANK NEW YORK MELLON CORP COM | 064058100 | 04/29/2011 | 09/27/2010 | | -1,410 000 | $40,527 77 | $36,484 66 | $4,043.11 | $0.00 |
| 64A013024 | LABORATORY CORP AMER HLDGS COM NEW | 50540R409 | 04/29/2011 | 01/04/2011 | | -270 000 | $26,032 51 | $24,152 46 | $1,880.05 | $0 00 |
| 64A013024 | INTERNATIONAL BUSINESS MACHS CORPCOM | 459200101 | 05/02/2011 | 01/30/2008 | | -85 000 | $14,644 02 | $8,932.86 | $0.00 | $5,711.16 |
| 64A013024 | ABBOTT LABORATORIES COM | 002824100 | 05/04/2011 | 07/29/2008 | | -140 000 | $7,383 12 | $7,825 98 | $0.00 | -$442.86 |

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## CARMEN REBOZO FOUNDATION-EQ INC (64A013024)

Gain Loss Transaction Detail

| Sub-Account Number | Asset Description | Cusip | Disposition Trade Date | Acquisition Date | Tax Effective Date | Shares / Par Value | Proceeds | Cost | Short Term Gain or Loss | Long Term Gain or Loss |
|---|---|---|---|---|---|---|---|---|---|---|
| 64A013024 | ACCENTURE PLC CLASS A ORDINARYSHARES | G1151C101 | 05/04/2011 | 02/06/2009 | | -185 000 | $10,351 41 | $6,103.59 | $0 00 | $4,247 82 |
| 64A013024 | AETNA INC NEW COM | 00817Y108 | 05/04/2011 | 08/11/2010 | | -170 000 | $7,008 86 | $4,852 36 | $2,156 50 | $0 00 |
| 64A013024 | ALLIANCE DATA SYSTEMS CORP COM | 018581108 | 05/04/2011 | 07/15/2010 | | -125 000 | $11,606 08 | $7,193 16 | $4,412 92 | $0 00 |
| 64A013024 | ANADARKO PETE CORP COM | 032511107 | 05/04/2011 | 12/21/2010 | | -15 000 | $1,137 03 | $1,010 34 | $126 69 | $0 00 |
| 64A013024 | APACHE CORP COM | 037411105 | 05/04/2011 | 07/23/2010 | | -80 000 | $10,164 13 | $7,226 02 | $2,938 11 | $0.00 |
| 64A013024 | APPLE INC COM | 037833100 | 05/04/2011 | 09/16/2009 | | -280.000 | $97,893 27 | $48,978 68 | $0.00 | $48,914.59 |
| 64A013024 | AUTOMATIC DATA PROCESSING INC COM | 053015103 | 05/04/2011 | 07/29/2010 | | -230 000 | $12,454 95 | $9,528 42 | $2,926 53 | $0 00 |
| 64A013024 | BEST BUY INC COM | 086516101 | 05/04/2011 | 07/29/2010 | | -190 000 | $5,907 73 | $6,582 06 | -$674 33 | $0 00 |
| 64A013024 | BLACKROCK INC COM | 09247X101 | 05/04/2011 | 11/08/2010 | | -100 000 | $19,384 18 | $16,758 91 | $2,625 27 | $0.00 |
| 64A013024 | BMC SOFTWARE INC COM | 055921100 | 05/04/2011 | 12/17/2010 | | -100 000 | $4,915 07 | $4,866 28 | $48 79 | $0.00 |
| 64A013024 | CHECK POINT SOFTWARE TECH COM | M22465104 | 05/04/2011 | 01/22/2010 | | -85 000 | $4,608 33 | $2,817 89 | $0 00 | $1,790 44 |
| 64A013024 | CISCO SYS INC COM | 17275R102 | 05/04/2011 | 10/15/2002 | | -630 000 | $10,991.90 | $6,740 37 | $0 00 | $4,251.53 |
| 64A013024 | CME GROUP INC COM | 12572Q105 | 05/04/2011 | 05/02/2011 | | -25.000 | $7,158 41 | $7,460 70 | -$302.29 | $0.00 |
| 64A013024 | COMCAST CORP CL A | 20030N101 | 05/04/2011 | 08/11/2010 | | -365 000 | $9,470 65 | $6,597 57 | $2,873 08 | $0.00 |
| 64A013024 | DANAHER CORP COM | 235851102 | 05/04/2011 | 09/27/2010 | | -110 000 | $6,063.07 | $4,475 49 | $1,587.58 | $0.00 |
| 64A013024 | EQT CORP COM | 26884L109 | 05/04/2011 | 07/15/2010 | | -160 000 | $8,255 85 | $5,922 87 | $2,332 98 | $0 00 |
| 64A013024 | EXPRESS SCRIPTS INC COM | 302182100 | 05/04/2011 | 04/06/2009 | | -55 000 | $3,170 65 | $1,358 07 | $0.00 | $1,812 58 |

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ATLANTIC TRUST
PRIVATE WEALTH MANAGEMENT

## CARMEN REBOZO FOUNDATION-EQ INC (64A013024)

Gain Loss Transaction Detail

| Sub-Account Number | Asset Description | Cusip | Disposition Trade Date | Acquisition Date | Tax Effective Date | Shares / Par Value | Proceeds | Cost | Short Term Gain or Loss | Long Term Gain or Loss |
|---|---|---|---|---|---|---|---|---|---|---|
| 64A013024 | EXXON MOBIL CORP COM | 30231G102 | 05/04/2011 | 10/01/2010 | | -100 000 | $8,462 09 | $6,254 70 | $2,207 39 | $0.00 |
| 64A013024 | FIDELITY NATL INFORMATION SVCS INCCOM | 31620M106 | 05/04/2011 | 10/05/2010 | | -240 000 | $7,884 98 | $6,436 34 | $1,448 64 | $0 00 |
| 64A013024 | FISERV INC COM | 337738108 | 05/04/2011 | 09/27/2010 | | -145 000 | $8,957 81 | $7,875.18 | $1,082 63 | $0 00 |
| 64A013024 | GENERAL ELEC CO COM | 369604103 | 05/04/2011 | 07/15/2010 | | -775 000 | $15,661 33 | $11,611 36 | $4,049 97 | $0 00 |
| 64A013024 | GOOGLE INC CL A | 38259P508 | 05/04/2011 | 02/16/2007 | | -45 000 | $24,173 59 | $21,102 36 | $0 00 | $3,071 23 |
| 64A013024 | HEINZ H J CO COM | 423074103 | 05/04/2011 | 11/30/2010 | | -125 000 | $6,419 93 | $6,028 54 | $391 39 | $0 00 |
| 64A013024 | INTERNATIONAL BUSINESS MACHS CORPCOM | 459200101 | 05/04/2011 | 04/06/2009 | | -65 000 | $11,041 75 | $6,560.25 | $0 00 | $4,481.50 |
| 64A013024 | JPMORGAN CHASE & CO COM | 46625H100 | 05/04/2011 | 09/27/2010 | | -245 000 | $11,131 48 | $9,620.49 | $1,510 99 | $0 00 |
| 64A013024 | LIBERTY GLOBAL INC COM SER A | 530555101 | 05/04/2011 | 12/21/2010 | | -45 000 | $2,042 65 | $1,541 73 | $500 92 | $0 00 |
| 64A013024 | MCDONALDS CORP COM | 580135101 | 05/04/2011 | 04/11/2011 | | -135 000 | $10,683 11 | $10,314 48 | $368 63 | $0 00 |
| 64A013024 | MERCK & CO INC NEW COM | 58933Y105 | 05/04/2011 | 08/23/2010 | | -220 000 | $8,034 54 | $7,748.77 | $285 77 | $0 00 |
| 64A013024 | MICROSOFT CORP COM | 594918104 | 05/04/2011 | 03/15/2011 | | -290 000 | $7,567 15 | $7,364 51 | $202 64 | $0 00 |
| 64A013024 | NALCO HLDG CO COM | 62985Q101 | 05/04/2011 | 07/15/2010 | | -215 000 | $6,131 98 | $5,008.12 | $1,123 86 | $0.00 |
| 64A013024 | NIKE INC CL B | 654106103 | 05/04/2011 | 09/27/2010 | | -90 000 | $7,415 19 | $7,098 55 | $316.64 | $0.00 |
| 64A013024 | NORFOLK SOUTHN CORP COM | 655844108 | 05/04/2011 | 09/27/2010 | | -90 000 | $6,516 91 | $5,392 70 | $1,124 21 | $0.00 |

ATLANTIC TRUST
PRIVATE WEALTH MANAGEMENT

## CARMEN REBOZO FOUNDATION-EQ INC (64A013024)

Gain Loss Transaction Detail

| Sub-Account Number | Asset Description | Cusip | Disposition Trade Date | Acquistion Date | Tax Effective Date | Shares / Par Value | Proceeds | Cost | Short Term Gain or Loss | Long Term Gain or Loss |
|---|---|---|---|---|---|---|---|---|---|---|
| 64A013024 | NORTHEAST UTILITIES COM | 664397106 | 05/04/2011 | 09/27/2010 | | -170 000 | $6,063 52 | $5,010 24 | $1,053 28 | $0 00 |
| 64A013024 | ORACLE CORP COM | 68389X105 | 05/04/2011 | 12/19/2008 | | -390 000 | $13,777 68 | $6,979 15 | $0 00 | $6,798 53 |
| 64A013024 | PEPSICO INC COM | 713448108 | 05/04/2011 | 11/08/2010 | | -225 000 | $15,646 70 | $14,548 97 | $1,097 73 | $0 00 |
| 64A013024 | PG&E CORP COM | 69331C108 | 05/04/2011 | 07/15/2010 | | -65 000 | $2,927 13 | $2,806 57 | $120 56 | $0 00 |
| 64A013024 | PRAXAIR INC COM | 74005P104 | 05/04/2011 | 07/15/2010 | | -100 000 | $10,329 01 | $8,199 26 | $2,129 75 | $0 00 |
| 64A013024 | PROCTER & GAMBLE CO COM | 742718109 | 05/04/2011 | 11/30/2010 | | -75 000 | $4,972 58 | $4,616 66 | $355 92 | $0 00 |
| 64A013024 | QEP RES INC COM | 74733V100 | 05/04/2011 | 04/19/2011 | | -205 000 | $8,384 00 | $7,818.55 | $565 45 | $0 00 |
| 64A013024 | REPUBLIC SVCS INC COM | 760759100 | 05/04/2011 | 11/10/2010 | | -160 000 | $5,074 47 | $4,585 57 | $488.90 | $0.00 |
| 64A013024 | SPECTRA ENERGY CORP COM | 847560109 | 05/04/2011 | 11/30/2010 | | -70 000 | $1,962 35 | $1,661 16 | $301 19 | $0 00 |
| 64A013024 | STRYKER CORP COM | 863667101 | 05/04/2011 | 08/23/2010 | | -120.000 | $7,117 95 | $5,559.95 | $1,558 00 | $0 00 |
| 64A013024 | TARGET CORP COM | 87612E106 | 05/04/2011 | 09/27/2010 | | -265 000 | $12,965 73 | $14,442 16 | -$1,476 43 | $0 00 |
| 64A013024 | TJX COS INC NEW COM | 872540109 | 05/04/2011 | 04/11/2011 | | -200 000 | $10,651 65 | $10,229 84 | $421.81 | $0.00 |
| 64A013024 | UNITED TECHNOLOGIES CORP COM | 913017109 | 05/04/2011 | 10/13/2005 | | -130 000 | $11,588 31 | $6,552 25 | $0 00 | $5,036.06 |
| 64A013024 | VF CORP COM | 918204108 | 05/04/2011 | 09/27/2010 | | -75 000 | $7,404 66 | $5,980 11 | $1,424.55 | $0 00 |
| 64A013024 | VISA INC COM CL A | 92826C839 | 05/04/2011 | 04/19/2011 | | -120 000 | $9,585 11 | $9,089 19 | $495 92 | $0 00 |
| 64A013024 | WALGREEN CO COM | 931422109 | 05/04/2011 | 05/12/2010 | | -300 000 | $12,805 03 | $10,882 25 | $1,922.78 | $0.00 |
| 64A013024 | WELLS FARGO & CO NEW COM | 949746101 | 05/04/2011 | 04/19/2011 | | -415 000 | $11,971.87 | $12,475.17 | -$503.30 | $0.00 |
| 64A013024 | WESTERN UN CO COM | 959802109 | 05/04/2011 | 07/29/2010 | | -610 000 | $12,655 02 | $10,026 47 | $2,628 55 | $0 00 |

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ATLANTIC TRUST
PRIVATE WEALTH MANAGEMENT

## CARMEN REBOZO FOUNDATION-EQ INC (64A013024)

Gain Loss Transaction Detail

| Sub-Account Number | Asset Description | Cusip | Disposition Trade Date | Acquisition Date | Tax Effective Date | Shares / Par Value | Proceeds | Cost | Short Term Gain or Loss | Long Term Gain or Loss |
|---|---|---|---|---|---|---|---|---|---|---|
| 64A013024 | WHITING PETE CORP NEW COM | 966387102 | 05/04/2011 | 04/28/2011 | | -70 000 | $4,496 79 | $4,810 96 | -$314 17 | $0 00 |
| 64A013024 | WILLIAMS COS INC COM | 969457100 | 05/04/2011 | 07/27/2010 | | -375 000 | $11,763 57 | $7,408.57 | $4,355 00 | $0.00 |
| 64A013024 | NORTHEAST UTILITIES COM | 664397106 | 05/11/2011 | 09/27/2010 | | -675 000 | $23,986 91 | $19,893 59 | $4,093.32 | $0 00 |
| 64A013024 | BEST BUY INC COM | 086516101 | 05/17/2011 | 07/29/2010 | | -655 000 | $20,885 48 | $22,690 77 | -$1,805 29 | $0 00 |
| 64A013024 | ACCENTURE PLC CLASS A ORDINARYSHARES | G1151C101 | 05/24/2011 | 02/06/2009 | | -195 000 | $10,954 07 | $6,433 51 | $0 00 | $4,520 56 |
| 64A013024 | CVS CAREMARK CORP COM | 126650100 | 05/24/2011 | 05/04/2011 | | -280 000 | $10,652 35 | $10,127 60 | $524 75 | $0 00 |
| 64A013024 | WESTERN UN CO COM | 959802109 | 06/14/2011 | 07/29/2010 | | -1,065 000 | $21,276 48 | $17,505 24 | $3,771.24 | $0 00 |
| 64A013024 | UNITEDHEALTH GROUP INC COM | 91324P102 | 06/22/2011 | 06/05/2009 | | -170 000 | $8,897 33 | $4,258 04 | $0.00 | $4,639 29 |
| | | | | | | **Totals** | **$2,649,908.32** | **$2,222,155.86** | **$117,550.78** | **$310,201.68** |
| | | | | | | **Grand Totals** | **$2,699,908.32** | **$2,280,624.03** | **$117,550.78** | **$301,733.51** |

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ATLANTIC TRUST
PRIVATE WEALTH MANAGEMENT

**CARMEN REBOZO FOUNDATION INC (64A013016)**
Long Term Distributions/Dividends

| Sub-Account Number | Asset Description | Cusip | Posting Date | Tax Effective Date | Proceeds | Long Term Dist or Div |
|---|---|---|---|---|---|---|
| 64A013016 | DREYFUS EMERGING MARKETS DEBTLOCAL CURRENCY FUND CLASS I #6083 | 261980494 | 12/29/2010 | | $60.99 | $60 99 |
| | | | | | **Totals** | **$60.99** |
| | | | | | **Grand Totals** | **$60.99** |

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Morgan Keegan

**REALIZED GAIN/LOSS**

Client | **CARMEN REBOZO FOUNDATION, INC**
**ATTN OLGA GUILARTE**
**PREFERRED ADVISOR DISCRETIONARY**
Account | **31861149**

**As of 8/8/2011**

Your Financial Advisor | THE BERMONT ADVISORY GROUP (MIBG)
**305.446.6600 | 866.395.6565**

From 7/1/2010To 6/30/2011

| Quantity | Description | Symbol | Unit Cost | Purchase Cost | Acquired Date | Sell Price | Sell Proceeds | Sell Date | Gain or Loss Short Term | Gain or Loss Long Term | Gain/Loss Percentage |
|---|---|---|---|---|---|---|---|---|---|---|---|
| **CAPITAL GAINS** | | | | | | | | | | | |
| **Cash** | | | | | | | | | | | |
| 500 000 | PACTIV CORP CASH MERGER @ $33 25 DELISTED | 695257 | 26 098 | 13,049 10 | 04/29/2010 | 32 480 | 16,239 77 | 08/17/2010 | 3,190 67 | 0 00 | 24 45% |
| 500 000 | PACTIV CORP CASH MERGER @ $33 25 DELISTED | 695257 | 26 098 | 13,049 10 | 04/29/2010 | 32 416 | 16,207 92 | 08/23/2010 | 3,158 82 | 0 00 | 24 21% |
| ***ST/LT Total*** | ***PACTIV CORP*** | | | | | | | | *6,349 49* | *0 00* | |
| ***Net Total*** | ***PACTIV CORP*** | | | | | | | | ***6,349.49*** | | |
| 100 000 | APPLE INC FORMERLY APPLE COMPUTER, INC | AAPL | 93 165 | 9,316 49 | 01/29/2009 | 327 054 | 32,705 37 | 01/24/2011 | 0 00 | 23,388 88 | 251 05% |
| 500 000 | AFLAC CORPORATION FORMERLY AMERICAN FAMILY CORP | AFL | 44 698 | 22,349 10 | 11/13/2009 | 48 249 | 24,124 53 | 03/15/2011 | 0 00 | 1,775 43 | 7 94% |
| 500 000 | AFLAC CORPORATION FORMERLY AMERICAN FAMILY CORP | AFL | 46 716 | 23,358 10 | 12/10/2009 | 48 249 | 24,124 53 | 03/15/2011 | 0 00 | 766 43 | 3 28% |
| 500 000 | AFLAC CORPORATION FORMERLY AMERICAN FAMILY CORP | AFL | 46 716 | 23,358 10 | 12/10/2009 | 48 862 | 24,431 03 | 05/23/2011 | 0 00 | 1,072 93 | 4 59% |
| ***ST/LT Total*** | ***AFLAC CORPORATION*** | | | | | | | | *0 00* | *3,614 79* | |
| ***Net Total*** | ***AFLAC CORPORATION*** | | | | | | | | ***3,614.79*** | | |
| 1,500 000 | BB & T CORP FORMERLY SOUTHERN NATL CORP | BBT | 33 679 | 50,518 95 | 04/06/2010 | 23 431 | 35,146 65 | 09/15/2010 | (15,372 30) | 0 00 | (30 43)% |
| 25 000 | BECTON DICKINSON | BDX | 72 010 | 1,800 16 | 01/12/2007 | 72 444 | 1,811 10 | 09/15/2010 | 0 00 | 10 94 | 0 61% |
| 100 000 | BECTON DICKINSON | BDX | 59 470 | 5,946 66 | 07/13/2006 | 72 444 | 7,244 42 | 09/15/2010 | 0 00 | 1,297 76 | 21 82% |
| ***ST/LT Total*** | ***BECTON DICKINSON*** | | | | | | | | *0 00* | *1,308 70* | |
| ***Net Total*** | ***BECTON DICKINSON*** | | | | | | | | ***1,308.70*** | | |
| 4,121 000 | BALLANTYNE | BTN | 6 756 | 27,842.71 | 04/18/2011 | 6 010 | 24,766 73 | 05/10/2011 | (3,075 98) | 0 00 | (11 05)% |

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Client | CARMEN REBOZO FOUNDATION, INC
ATTN OLGA GUILARTE
PREFERRED ADVISOR DISCRETIONARY
Account | 31861149

As of 8/8/2011

Your Financial Advisor | THE BERMONT ADVISORY GROUP (MIBG)

305.446.6600 | 866.395.6565

From 7/1/2010To 6/30/2011

| Quantity | Description | Symbol | Unit Cost | Purchase Cost | Acquired Date | Sell Price | Sell Proceeds | Sell Date | Gain or Loss Short Term | Gain or Loss Long Term | Gain/Loss Percentage |
|---|---|---|---|---|---|---|---|---|---|---|---|
| 879 000 | BALLANTYNE | BTN | 6 756 | 5,938 79 | 04/18/2011 | 6 040 | 5,309 05 | 05/11/2011 | (629 74) | 0 00 | (10 60)% |
| ***ST/LT Total*** | ***BALLANTYNE*** | | | | | | | | *(3,705 72)* | *0 00* | |
| ***Net Total*** | ***BALLANTYNE*** | | | | | | | | ***(3,705.72)*** | | |
| 1,500 000 | BORG WARNER AUTOMOTIVE INC | BWA | 37 800 | 56,700 00 | 03/05/2010 | 47 751 | 71,626 48 | 09/17/2010 | 14,926 48 | 0 00 | 26 33% |
| 500 000 | BORG WARNER AUTOMOTIVE INC | BWA | 37 895 | 18,947 70 | 03/23/2010 | 73 072 | 36,536 13 | 12/30/2010 | 17,588 43 | 0 00 | 92 83% |
| 500 000 | BORG WARNER AUTOMOTIVE INC | BWA | 37 800 | 18,900 00 | 03/05/2010 | 73 072 | 36,536 13 | 12/30/2010 | 17,636 13 | 0 00 | 93 31% |
| ***ST/LT Total*** | ***BORG WARNER AUTOMOTIVE INC.*** | | | | | | | | *50,151 04* | *0 00* | |
| ***Net Total*** | ***BORG WARNER AUTOMOTIVE INC.*** | | | | | | | | ***50,151.04*** | | |
| 500 000 | CITIGROUP, INC | C | 50 096 | 25,048 00 | 01/06/2011 | 43 263 | 21,631 58 | 05/11/2011 | (3,416 42) | 0 00 | (13 64)% |
| 2,500 000 | CITIGROUP INC 1 FOR 10 REVERSE SPLIT NEW CUSIP 172967424 | C67101 | 4 749 | 11,872 75 | 08/21/2009 | 4 480 | 11,199 78 | 05/05/2011 | 0 00 | (672 97) | (5 67)% |
| 2,500 000 | CITIGROUP INC 1 FOR 10 REVERSE SPLIT NEW CUSIP 172967424 | C67101 | 3 929 | 9,822 75 | 12/11/2009 | 4 480 | 11,199 78 | 05/05/2011 | 0 00 | 1,377 03 | 14 02% |
| ***ST/LT Total*** | ***CITIGROUP INC*** | | | | | | | | *0 00* | *704 06* | |
| ***Net Total*** | ***CITIGROUP INC*** | | | | | | | | ***704.06*** | | |
| 1,000 000 | CRACKER BARREL OLD COUNTRY STORE | CBRL | 37 780 | 37,779 90 | 01/08/2010 | 46 969 | 46,968 79 | 05/25/2011 | 0 00 | 9,188 89 | 24 32% |
| 1,000 000 | CRACKER BARREL OLD COUNTRY STORE | CBRL | 37 780 | 37,779 90 | 01/08/2010 | 45 052 | 45,052 13 | 06/02/2011 | 0 00 | 7,272 23 | 19 25% |
| ***ST/LT Total*** | ***CRACKER BARREL OLD COUNTRY*** | | | | | | | | *0 00* | *16,461 12* | |
| ***Net Total*** | ***CRACKER BARREL OLD COUNTRY*** | | | | | | | | ***16,461.12*** | | |
| 1,000 000 | CERNER CORP | CERN | 78 362 | 78,361 50 | 02/02/2010 | 74 617 | 74,617 13 | 08/20/2010 | (3,744 37) | 0 00 | (4 78)% |
| 75 000 | CISCO SYSTEMS INC | CSCO | 28 570 | 2,142 98 | 01/16/2007 | 21 801 | 1,635 11 | 09/15/2010 | 0 00 | (507 87) | (23 70)% |

Client | CARMEN REBOZO FOUNDATION, INC
ATTN OLGA GUILARTE
PREFERRED ADVISOR DISCRETIONARY
Account | 31861149

As of 8/8/2011

Your Financial Advisor | THE BERMONT ADVISORY GROUP (MIBG)

305.446.6600 | 866.395.6565

From 7/1/2010To 6/30/2011

| Quantity | Description | Symbol | Unit Cost | Purchase Acquired Cost | Date | Sell Price | Sell Proceeds | Sell Date | Gain or Loss Short Term | Gain or Loss Long Term | Gain/Loss Percentage |
|---|---|---|---|---|---|---|---|---|---|---|---|
| 100 000 | CISCO SYSTEMS INC | CSCO | 27 720 | 2,772 00 | 01/17/2007 | 21 801 | 2,180 14 | 09/15/2010 | 0 00 | (591 86) | (21 35)% |
| 325 000 | CISCO SYSTEMS INC | CSCO | 18 350 | 5,963 42 | 12/27/2001 | 21 801 | 7,085 46 | 09/15/2010 | 0 00 | 1,122 04 | 18 82% |
| ***ST/LT Total*** | ***CISCO SYSTEMS INC*** | | | | | | | | *0 00* | *22 31* | |
| ***Net Total*** | ***CISCO SYSTEMS INC*** | | | | | | | | | ***22.31*** | |
| 1,000 000 | COGNIZANT TECHNOLGY SOL | CTSH | 76 189 | 76,189 40 | 05/11/2011 | 74 429 | 74,428 57 | 05/16/2011 | (1,760 83) | 0 00 | (2 31)% |
| 500 000 | EXPRESS SCRIPTS INC CLASS A | ESRX | 33 769 | 16,884 50 | 07/21/2009 | 45 263 | 22,631 31 | 08/06/2010 | 0 00 | 5,746 81 | 34 04% |
| 500 000 | MANPOWER, INC FORMERLY MANPOWER | MAN | 54 738 | 27,368 90 | 02/03/2010 | 64 129 | 32,064 48 | 02/28/2011 | 0 00 | 4,695 58 | 17 16% |
| 500 000 | MANPOWER, INC FORMERLY MANPOWER | MAN | 51 894 | 25,947 00 | 01/27/2010 | 64 129 | 32,064 48 | 02/28/2011 | 0 00 | 6,117 48 | 23 58% |
| ***ST/LT Total*** | ***MANPOWER, INC.*** | | | | | | | | *0 00* | *10,813 06* | |
| ***Net Total*** | ***MANPOWER, INC.*** | | | | | | | | | ***10,813.06*** | |
| 400 000 | MICROSOFT CORPORATION | MSFT | 28 430 | 11,372 00 | 11/04/2002 | 28 131 | 11,252 50 | 01/21/2011 | 0 00 | (119.50) | (1 05)% |
| 100 000 | MICROSOFT CORPORATION | MSFT | 27 180 | 2,718 00 | 09/20/2006 | 28 131 | 2,813 13 | 01/21/2011 | 0 00 | 95 13 | 3 50% |
| 200 000 | MICROSOFT CORPORATION | MSFT | 26 780 | 5,355 08 | 09/18/2006 | 28 131 | 5,626 25 | 01/21/2011 | 0.00 | 271 17 | 5 06% |
| 1,000 000 | MICROSOFT CORPORATION | MSFT | 28 510 | 28,509 90 | 11/05/2009 | 27 505 | 27,505 27 | 02/11/2011 | 0 00 | (1,004 63) | (3 52)% |
| ***ST/LT Total*** | ***MICROSOFT CORPORATION*** | | | | | | | | *0 00* | *(757 83)* | |
| ***Net Total*** | ***MICROSOFT CORPORATION*** | | | | | | | | | ***(757.83)*** | |
| 1,000 000 | MWI VETERINARY SUPPLY, INC | MWIV | 50 977 | 50,977 00 | 07/09/2010 | 69 313 | 69,313 16 | 02/28/2011 | 18,336 16 | 0 00 | 35 97% |
| 2,000 000 | NN INC | NNBR | 14 900 | 29,800 00 | 03/18/2011 | 17 609 | 35,218 12 | 05/05/2011 | 5,418 12 | 0 00 | 18 18% |
| 1,500 000 | OLIN CORP COM PAR $1 | OLN | 14 260 | 21,389 70 | 04/01/2009 | 19 493 | 29,239 00 | 11/08/2010 | 0 00 | 7,849 30 | 36 70% |
| 1,000 000 | RED HAT INC | RHT | 19 336 | 19,336 30 | 07/09/2009 | 34 361 | 34,361 41 | 08/27/2010 | 0 00 | 15,025 11 | 77 70% |
| 2,000 000 | RSC HOLDINGS INC | RRR | 7 798 | 15,596 40 | 03/18/2010 | 12 304 | 24,607 92 | 01/26/2011 | 9,011 52 | 0 00 | 57 78% |
| 1,000 000 | RSC HOLDINGS INC | RRR | 7 798 | 7,798 20 | 03/18/2010 | 12 226 | 12,226 06 | 05/16/2011 | 0 00 | 4,427 86 | 56 78% |
| ***ST/LT Total*** | ***RSC HOLDINGS INC*** | | | | | | | | *9,011 52* | *4,427 86* | |
| ***Net Total*** | ***RSC HOLDINGS INC*** | | | | | | | | | ***13,439 38*** | |
| 1,500 000 | REDWOOD TRUST INC. | RWT | 16 941 | 25,411 05 | 02/14/2011 | 15 129 | 22,693 36 | 04/19/2011 | (2,717 69) | 0 00 | (10 69)% |
| 2,500 000 | TERADYNE INC | TER | 9 380 | 23,450 00 | 10/06/2009 | 9 235 | 23,086 85 | 09/09/2010 | (363 15) | 0 00 | (1 55)% |

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Morgan Keegan

## REALIZED GAIN/LOSS

Client | CARMEN REBOZO FOUNDATION, INC
ATTN OLGA GUILARTE
PREFERRED ADVISOR DISCRETIONARY
Account | 31861149

As of 8/8/2011

Your Financial Advisor | THE BERMONT ADVISORY GROUP (MIBG)
305.446.6600 | 866.395.6565

From 7/1/2010To 6/30/2011

| Quantity | Description | Symbol | Unit Cost | Purchase Cost | Acquired Date | Sell Price | Sell Proceeds | Sell Date | Gain or Loss Short Term | Gain or Loss Long Term | Gain/Loss Percentage |
|---|---|---|---|---|---|---|---|---|---|---|---|
| | *ST/LT Grand Total* | | | | | | | | *58,185 85* | *88,604 17* | |
| | ***Net Grand Total*** | | | | | | | | ***146,790.02*** | | |

Morgan Keegan

## REALIZED GAIN/LOSS

Client | CARMEN REBOZO FOUNDATION, INC.
ATTN OLGA GUILARTE
Account | 31897432

As of 8/8/2011

Your Financial Advisor | THE BERMONT ADVISORY GROUP (MIBG)
305.446.6600 | 866.395.6565

From 7/1/2010To 6/30/2011

| Quantity | Description | Symbol | Unit Cost | Purchase Cost | Acquired Date | Sell Price | Sell Proceeds | Sell Date | Gain or Loss Short Term | Gain or Loss Long Term | Gain/Loss Percentage |
|---|---|---|---|---|---|---|---|---|---|---|---|
| **CAPITAL GAINS** | | | | | | | | | | | |
| **Cash** | | | | | | | | | | | |
| 100,000 000 | REGIONS BANK OF BIRMINGHAM AL BK-ENTRY 7 5% of 05/15/2018 | 75913MAB5 | 105 298 | 105,298 24 | 09/16/2010 | 105 200 | 105,200 00 | 10/14/2010 | (98 24) | 0 00 | (0 09)% |
| 1,500 000 | FORD MOTOR CREDIT 7 375% 10/15/31 SERIES PFD/QRTLY PAY CALLED ON 12/23/2010 @25 | FCZ CL | 25 389 | 38,084 14 | 09/15/2010 | 25 000 | 37,500 00 | 12/23/2010 | (584 14) | 0 00 | (1 53)% |
| 50,000 000 | HSBC FINANCE CORP,BK-ENTRY 5 7% of 06/01/2011 | HSCFQ0 | 100 000 | 50,000 00 | 01/08/2008 | 100 000 | 50,000 00 | 06/01/2011 | 0 00 | 0 00 | 0 00% |
| 50,000 000 | WELLS FARGO & CO LONG 1ST CPN 4 625% of 08/09/2010 | WFCMZ1 | 100 000 | 49,999 75 | 08/01/2005 | 100 000 | 50,000 00 | 08/09/2010 | 0 00 | 0 25 | 0 00% |
| 50,000 000 | WRIGLEY JR CO CALL @ MAKE WHOLE +10BP 4 3% of 07/15/2010 | WWYAA3 | 100 000 | 49,999 96 | 07/11/2005 | 100 000 | 50,000 00 | 07/15/2010 | 0 00 | 0 04 | 0 00% |
| | ***ST/LT Grand Total*** | | | | | | | | *(682 38)* | *0 29* | |
| | ***Net Grand Total*** | | | | | | | | *(682 09)* | | |

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Fixed Income Accounts

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

| SOURCE | AMOUNT |
|---|---|
| SUNTRUST | 777. |
| TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A | 777. |

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

| SOURCE | GROSS AMOUNT | CAPITAL GAINS DIVIDENDS | COLUMN (A) AMOUNT |
|---|---|---|---|
| ATLANTIC TRUST | 110,616. | 61. | 110,555. |
| MORGAN KEEGAN | 60,975. | 216. | 60,759. |
| TOTAL TO FM 990-PF, PART I, LN 4 | 171,591. | 277. | 171,314. |

## FORM 990-PF RENTAL INCOME STATEMENT 3

| KIND AND LOCATION OF PROPERTY | ACTIVITY NUMBER | GROSS RENTAL INCOME |
|---|---|---|
| NURSERY | 1 | 8,000. |
| BEACH HOUSE | 2 | 10,649. |
| UNIT #1818 | 3 | 7,222. |
| TOTAL TO FORM 990-PF, PART I, LINE 5A | | 25,871. |

## FORM 990-PF RENTAL EXPENSES STATEMENT 4

| DESCRIPTION | ACTIVITY NUMBER | AMOUNT | TOTAL |
|---|---|---|---|
| REAL ESTATE TAXES | | 392. | |
| - SUBTOTAL - | 1 | | 392. |
| DEPRECIATION | | 5,555. | |
| INSURANCE | | 1,558. | |
| UTILITIES | | 2,311. | |
| REAL ESTATE TAXES | | 10,343. | |
| REPAIRS & MAINTENANCE | | 3,025. | |

| | | | |
|---|---|---|---|
| | - SUBTOTAL - 2 | | 22,792. |
| DEPRECIATION | | 9,082. | |
| REPAIRS & MAINTENANCE | | 4,221. | |
| CONDO FEE | | 1,480. | |
| REAL ESTATE TAXES | | 2,670. | |
| | - SUBTOTAL - 3 | | 17,453. |
| TOTAL RENTAL EXPENSES | | | 40,637. |
| NET RENTAL INCOME TO FORM 990-PF, PART I, LINE 5B | | | <14,766.> |

FORM 990-PF OTHER INCOME STATEMENT 5

| DESCRIPTION | (A) REVENUE PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME |
|---|---|---|---|
| CORAL GABLES FIN'L CENTER | 225,000. | 225,000. | |
| LIZMIKE | 44,201. | 44,201. | |
| WATSON | 6,096. | 6,096. | |
| MAYOBRE | 35,000. | 35,000. | |
| JUSTA | 49,409. | 49,409. | |
| HINES-PINE ISLAND | 27,982. | 27,982. | |
| C & K BEACH HOUSE | 11,000. | 11,000. | |
| VALDES | 30,916. | 30,916. | |
| DUARTE | 12,000. | 12,000. | |
| SALAZAR | 30,000. | 30,000. | |
| OGDEN | 28,000. | 28,000. | |
| CENK TUNCAY | 6,666. | 6,666. | |
| KHOSRAVI | 9,000. | 9,000. | |
| TOTAL TO FORM 990-PF, PART I, LINE 11 | 515,270. | 515,270. | |

FORM 990-PF LEGAL FEES STATEMENT 6

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| GARY MARLIN PA | 2,500. | 2,500. | | 0. |
| TO FM 990-PF, PG 1, LN 16A | 2,500. | 2,500. | | 0. |

FORM 990-PF ACCOUNTING FEES STATEMENT 7

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| CRAIG RICKERT, CPA | 2,625. | 1,312. | | 1,313. |
| CRAIG ARMSTRONG CPA | 2,000. | 1,000. | | 1,000. |
| TO FORM 990-PF, PG 1, LN 16B | 4,625. | 2,312. | | 2,313. |

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 8

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| INVESTMENT ADVISORY FEES-ATLANTIC TRUST | 34,310. | 34,310. | | 0. |
| INVESTMENT ADVISORY FEES-MORGAN KEEGAN | 14,137. | 14,137. | | 0. |
| TO FORM 990-PF, PG 1, LN 16C | 48,447. | 48,447. | | 0. |

FORM 990-PF TAXES STATEMENT 9

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| EXCISE TAX | 37,990. | 0. | | 0. |
| REAL ESTATE TAXES | 392. | 392. | | 0. |
| REAL ESTATE TAXES | 10,343. | 10,343. | | 0. |
| REAL ESTATE TAXES | 2,670. | 2,670. | | 0. |
| TO FORM 990-PF, PG 1, LN 18 | 51,395. | 13,405. | | 0. |

FORM 990-PF OTHER EXPENSES STATEMENT 10

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| BANK CHARGES | 59. | 0. | | 59. |
| MISCELLANEOUS | 61. | 0. | | 61. |
| INSURANCE | 1,558. | 1,558. | | 0. |
| UTILITIES | 2,311. | 2,311. | | 0. |
| REPAIRS & MAINTENANCE | 3,025. | 3,025. | | 0. |
| REPAIRS & MAINTENANCE | 4,221. | 4,221. | | 0. |
| CONDO FEE | 1,480. | 1,480. | | 0. |
| TO FORM 990-PF, PG 1, LN 23 | 12,715. | 12,595. | | 120. |

FORM 990-PF CORPORATE STOCK STATEMENT 11

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|
| SCHWAB-ATLANTIC TRUST | 2,989,701. | 3,465,026. |
| MORGAN KEEGAN | 2,088,050. | 2,814,565. |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 5,077,751. | 6,279,591. |

FORM 990-PF CORPORATE BONDS STATEMENT 12

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|
| SCHWAB-ATLANTIC TRUST | 1,203,212. | 1,278,171. |
| MORGAN KEEGAN | 873,921. | 847,140. |
| TOTAL TO FORM 990-PF, PART II, LINE 10C | 2,077,133. | 2,125,311. |

FORM 990-PF DEPRECIATION OF ASSETS HELD FOR INVESTMENT STATEMENT 13

| DESCRIPTION | COST OR OTHER BASIS | ACCUMULATED DEPRECIATION | BOOK VALUE |
|---|---|---|---|
| BEACH HOUSE | 152,763. | 8,333. | 144,430. |
| UNIT #1818 | 249,750. | 14,380. | 235,370. |
| TOTAL TO FM 990-PF, PART II, LN 11 | 402,513. | 22,713. | 379,800. |

FORM 990-PF OTHER ASSETS STATEMENT 14

| DESCRIPTION | BEGINNING OF YR BOOK VALUE | END OF YEAR BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|---|
| CORAL GABLES FIN'L CENTER-MORTGAGE | 4,500,000. | 4,500,000. | 4,500,000. |
| MARCANO-MORTGAGE | 259,000. | 261,550. | 261,550. |
| JUSTA-MORTGAGE | 825,907. | 820,417. | 820,417. |
| SANITAS | 77,108. | 77,108. | 77,108. |
| HINES-PINE ISLAND | 478,666. | 471,390. | 471,390. |
| LIZMIKE | 556,813. | 547,295. | 547,295. |
| WATSON | 95,474. | 130,000. | 130,000. |
| VALDES | 265,000. | 265,000. | 265,000. |
| DUARTE | 175,000. | 175,000. | 175,000. |
| SALAZAR | 300,000. | 300,000. | 300,000. |
| MAYOBRE | 350,000. | 350,000. | 350,000. |
| BLANCO | 150,000. | 150,000. | 150,000. |
| CENK TUNCAY | 0. | 200,000. | 200,000. |
| S KHOSRAVI | 0. | 225,000. | 225,000. |
| TO FORM 990-PF, PART II, LINE 15 | 8,032,968. | 8,472,760. | 8,472,760. |

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 15

| NAME AND ADDRESS | TITLE AND AVRG HRS/WK | COMPEN-SATION | EMPLOYEE BEN PLAN CONTRIB | EXPENSE ACCOUNT |
|---|---|---|---|---|
| OLGA GUILARTE<br>MIAMI, FL | VP<br>4.00 | 0. | 0. | 0. |
| MICHAEL REBOZO<br>MIAMI, FL | VP<br>1.00 | 0. | 0. | 0. |
| CHARLES F REBOZO<br>MIAMI, FL | PRESIDENT<br>3.00 | 0. | 0. | 0. |
| TERESA REBOZO<br>MIAMI, FL | VP<br>1.00 | 0. | 0. | 0. |
| JAMES BERNHARDT<br>MIAMI, FL | VP<br>1.00 | 0. | 0. | 0. |
| TRICIA NIXON COX<br>NEW YORK, NY | VP<br>1.00 | 0. | 0. | 0. |
| TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII | | 0. | 0. | 0. |

FORM 990-PF GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR STATEMENT 16

| RECIPIENT NAME AND ADDRESS | RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT | RECIPIENT STATUS | AMOUNT |
|---|---|---|---|
| CHINESE APOSTOLATE | NONE CHARITABLE | PUBLIC | 500. |
| AMERICAN DOMINICAN SCHOLARSHIPS | NONE CHARITABLE | PUBLIC | 5,000. |
| AMERICAN REHABITAT MINISTRY | NONE CHARITABLE | PUBLIC | 500. |
| ASIAN AMERICAN ADVISORY BOARD | NONE CHARITABLE | PUBLIC | 2,000. |
| ST BRIGID CATHOLIC CHURCH | NONE CHARITABLE | PUBLIC | 1,000. |
| BOYS AND GIRLS CLUB | NONE CHARITABLE | PUBLIC | 825,200. |
| CHAPLIN DADE COUNTY CORRECTION | NONE CHARITABLE | PUBLIC | 2,000. |
| CHAPLIN EVERGLADES CORRECTION | NONE CHARITABLE | PUBLIC | 1,000. |

| | | | |
|---|---|---|---|
| CHAPLIN S FLA RECEPTION | NONE<br>CHARITABLE | PUBLIC | 1,000. |
| CORRECTION TRANSITION PROGRAM | NONE<br>CHARITABLE | PUBLIC | 5,000. |
| EVERGLADES OUTPOST WILDLIFE | NONE<br>CHARITABLE | PUBLIC | 2,000. |
| GOOD SHEPHARD CATHOLIC CHURCH | NONE<br>CHARITABLE | PUBLIC | 2,500. |
| KAIROS PRISON MINISTRY | NONE<br>CHARITABLE | PUBLIC | 5,000. |
| KEY BISCAYNE HISTORICAL SOCIETY | NONE<br>CHARITABLE | PUBLIC | 5,000. |
| MARIAN CENTER SCHOOL | NONE<br>CHARITABLE | PUBLIC | 5,000. |
| MORNING STAR RENEWAL | NONE<br>CHARITABLE | PUBLIC | 5,000. |
| ST LOUIS CATHOLIC CHURCH | NONE<br>CHARITABLE | PUBLIC | 11,000. |
| ST LOUIS COVENANT SCHOOL | NONE<br>CHARITABLE | PUBLIC | 2,000. |
| TOTAL TO FORM 990-PF, PART XV, LINE 3A | | | 880,700. |