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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust

OMB No 1545-0052

Treated as a Private Foundation

2010

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2010, or tax year beginning

07/01, 2010, and ending

06/30, 2011

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

Name of foundation

PEARL DIXON BALTHIS FDN TUA

A Employer identification number

56-6041570

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

B Telephone number (see page 10 of the instructions)

1525 W W.T. HARRIS BLVD. D1114-044

(919) 881-6497

City or town, state, and ZIP code

CHARLOTTE, NC 28288

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at end

of year (from Part II, col. (c), line

16) ▶ \$ 1,629,165.

J Accounting method: ☒ Cash ☐ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis.)

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check ☒ if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	44,216.	43,697.		STMT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	37,050.			
b Gross sales price for all assets on line 6a	671,344.			
7 Capital gain net income (from Part IV, line 2)		37,050.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	81,266.	80,747.		
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc.	12,495.	9,372.		3,124.
14 Other employee salaries and wages		NONE	NONE	
15 Pension plans, employee benefits		NONE	NONE	
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule) STMT 6	750.	NONE	NONE	750.
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions)	1,030.	507.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings		NONE	NONE	
22 Printing and publications		NONE	NONE	
23 Other expenses (attach schedule) STMT 8	1,371.	10.		1,361.
24 Total operating and administrative expenses. Add lines 13 through 23	15,646.	9,889.	NONE	5,235.
25 Contributions, gifts, grants paid	75,400.			75,400.
26 Total expenses and disbursements. Add lines 24 and 25	91,046.	9,889.	NONE	80,635.
27 Subtract line 26 from line 12	-9,780.			
a Excess of revenue over expenses and disbursements				
b Net investment income (if negative, enter -0-)		70,858.		
c Adjusted net income (if negative, enter -0-)				

For Paperwork Reduction Act Notice, see page 30 of the instructions.

JSA

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POSTMARK DATE

SCANNED NOV 16 2011

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments			53,466.	53,466.
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)				
	7	Other notes and loans receivable (attach schedule)				
		Less: allowance for doubtful accounts	NONE			
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U S and state government obligations (attach schedule)				
	b	Investments - corporate stock (attach schedule)	STMT 9.		224,180.	252,728.
	c	Investments - corporate bonds (attach schedule)				
	11	Investments - land, buildings, and equipment basis				
	Less: accumulated depreciation (attach schedule)					
12	Investments - mortgage loans					
13	Investments - other (attach schedule)	STMT 12.	1,470,182.	1,180,171.	1,322,971.	
14	Land, buildings, and equipment basis					
	Less: accumulated depreciation (attach schedule)					
15	Other assets (describe)					
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)		1,470,182.	1,457,817.	1,629,165.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe)				
23	Total liabilities (add lines 17 through 22)					
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☒					
	27	Capital stock, trust principal, or current funds	1,470,182.	1,457,817.		
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances (see page 17 of the instructions)	1,470,182.	1,457,817.		
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	1,470,182.	1,457,817.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,470,182.
2	Enter amount from Part I, line 27a	2	-9,780.
3	Other increases not included in line 2 (itemize) SEE STATEMENT 14	3	2,451.
4	Add lines 1, 2, and 3	4	1,462,853.
5	Decreases not included in line 2 (itemize) SEE STATEMENT 15	5	5,036.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,457,817.

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Part IV Capital Gains and Losses for Tax on Investment Income(a) List and describe the kind(s) of property sold (e.g., real estate,
2-story brick warehouse; or common stock, 200 shs MLC Co.)(b) How
acquired
P-Purchase
D-Donation(c) Date
acquired
(mo., day, yr.)(d) Date sold
(mo., day, yr.)**1a** SEE PART IV DETAIL**b****c****d****e**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	37,050.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8. }	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☒ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009			
2008			
2007			
2006			
2005			

2 Total of line 1, column (d)	2	
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	
5 Multiply line 4 by line 3	5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6	7	
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.	8	

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		1	1,417.
Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3 Add lines 1 and 2		3	1,417.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,417.
6 Credits/Payments:			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a 588.		
b Exempt foreign organizations-tax withheld at source	6b NONE		
c Tax paid with application for extension of time to file (Form 8868)	6c NONE		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	588.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	829.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2011 estimated tax ☐ Refunded ☐ 11			

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ► \$ _____ (2) On foundation managers ► \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		X
If "Yes," attach a detailed description of the activities.		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		X
If "Yes," attach the statement required by General Instruction T		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ► STMT 16		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ▶ N/A				
14	The books are in care of ▶ WELLS FARGO BANK, N.A. Telephone no ▶ (919) 881-6497			
Located at ▶ 1 W 4TH ST, WINSTON SALEM, NC ZIP + 4 ▶ 27101				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here	15		
and enter the amount of tax-exempt interest received or accrued during the year				
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes	☒ No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes	☐ No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes	☒ No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	1b	X
Organizations relying on a current notice regarding disaster assistance check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010?	☒ Yes	☐ No
If "Yes," list the years ▶ 2008		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 22 of the instructions)	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes	☒ No
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ **5b**

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ **6b** ☒

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 17		12,495.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 ☐ NONE

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE

Total number of others receiving over \$50,000 for professional services **NONE**

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 NONE	
2	
All other program-related investments See page 24 of the instructions	
3 NONE	

Total. Add lines 1 through 3

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,430,862.
b	Average of monthly cash balances	1b	106,753.
c	Fair market value of all other assets (see page 25 of the instructions)	1c	NONE
d	Total (add lines 1a, b, and c)	1d	1,537,615.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	1,537,615.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	4	23,064.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,514,551.
6	Minimum investment return. Enter 5% of line 5	6	75,728.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	75,728.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	1,417.
b	Income tax for 2010. (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	1,417.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	74,311.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	74,311.
6	Deduction from distributable amount (see page 25 of the instructions)	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	74,311.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	80,635.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	80,635.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	80,635.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				74,311.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			70,779.	
b Total for prior years 20 08, 20 , 20		NONE		
3 Excess distributions carryover, if any, to 2010.				
a From 2005	NONE			
b From 2006	NONE			
c From 2007	NONE			
d From 2008	NONE			
e From 2009	NONE			
f Total of lines 3a through e	NONE			
4 Qualifying distributions for 2010 from Part XII, line 4 ► \$ 80,635.				
a Applied to 2009, but not more than line 2a			70,779.	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)		NONE		
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)	NONE			
d Applied to 2010 distributable amount				9,856.
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	NONE			
b Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions		NONE		
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011.				64,455.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)	NONE			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions)	NONE			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9				
a Excess from 2006	NONE			
b Excess from 2007	NONE			
c Excess from 2008	NONE			
d Excess from 2009	NONE			
e Excess from 2010	NONE			

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) **NOT APPLICABLE**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE STATEMENT 20				
Total			▶ 3a	75,400.
b Approved for future payment				
Total			▶ 3b	

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Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue:					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	44,216.	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property .					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	37,050.	
9	Net income or (loss) from special events . . .					
10	Gross profit or (loss) from sales of inventory . .					
11	Other revenue a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal. Add columns (b), (d), and (e)				81,266.	
13	Total. Add line 12, columns (b), (d), and (e)					81,266

(See worksheet in line 13 instructions on page 29 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions.)
---------------	--

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of:				
(1) Cash		1a(1)		X
(2) Other assets		1a(2)		X
b Other transactions				
(1) Sales of assets to a noncharitable exempt organization		1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization		1b(2)		X
(3) Rental of facilities, equipment, or other assets		1b(3)		X
(4) Reimbursement arrangements		1b(4)		X
(5) Loans or loan guarantees		1b(5)		X
(6) Performance of services or membership or fundraising solicitations		1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees		1c		X
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.				

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

RAH

10/31/2011

Trustee

Signature of officer or trustee **WELLS FARGO BANK, N.A.**

Date _____

Title

Print/Type preparer's name

Preparer's signature

Date

PTIN

Check ☐ if self-employed

**Paid
Preparer
Use Only**

Firm's name ▶

Firm's address ►

Firm's EIN ▶

Phone no

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Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
		TOTAL SHORT-TERM CAPITAL GAIN DIVIDENDS				6,494.	
		TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS				3,914.	
3,118.00		48. AIR PRODS & CHEMICALS INC COM W/ RTS PROPERTY TYPE: SECURITIES 3,887.00				03/29/2010 -769.00	07/01/2010
828.00		18. MONSANTO CO NEW COM PROPERTY TYPE: SECURITIES 1,325.00				03/03/2010 -497.00	07/01/2010
541.00		9. JOHNSON & JOHNSON COM PROPERTY TYPE: SECURITIES 578.00				12/18/2009 -37.00	07/12/2010
1,191.00		59. LOWES COS INC COM W/RIGHTS ATTACHED PROPERTY TYPE: SECURITIES 1,399.00				12/18/2009 -208.00	07/12/2010
818.00		33. MICROSOFT CORP COM PROPERTY TYPE: SECURITIES 992.00				12/18/2009 -174.00	07/12/2010
1,332.00		21. PEPSICO INC COM PROPERTY TYPE: SECURITIES 1,245.00				01/29/2010 87.00	07/14/2010
631.00		13. RAYTHEON CO COM PROPERTY TYPE: SECURITIES 683.00				12/18/2009 -52.00	07/22/2010
630.00		12. AMGEN INC COM W/RTS ATTACHED EXP 03/ PROPERTY TYPE: SECURITIES 679.00				12/18/2009 -49.00	07/23/2010
1,250.00		86. PFIZER INC COM W/RTS ATTACHED EXP 10 PROPERTY TYPE: SECURITIES 1,495.00				03/23/2010 -245.00	07/23/2010
309.00		8. COVIDIEN PLC PROPERTY TYPE: SECURITIES 399.00				01/26/2010 -90.00	07/23/2010

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Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
1,981.00		34. JOHNSON & JOHNSON COM PROPERTY TYPE: SECURITIES 2,184.00				04/12/2010 -203.00	07/27/2010
302.00		5. ALLERGAN INC COM W/RIGHTS ATTACHED EX PROPERTY TYPE: SECURITIES 299.00				12/18/2009 3.00	07/29/2010
360.00		8. EXPRESS SCRIPTS INC CL A W/RIGHTS ATT PROPERTY TYPE: SECURITIES 429.00				06/14/2010 -69.00	07/29/2010
291.00		4. LABORATORY CORP AMER HLDGS COM NEW PROPERTY TYPE: SECURITIES 309.00				05/19/2010 -18.00	07/29/2010
333.00		9. COVIDIEN PLC PROPERTY TYPE: SECURITIES 449.00				01/26/2010 -116.00	07/29/2010
597.00		23. MICROSOFT CORP COM PROPERTY TYPE: SECURITIES 691.00				12/18/2009 -94.00	08/03/2010
1,160.00		25. RAYTHEON CO COM PROPERTY TYPE: SECURITIES 1,314.00				01/27/2010 -154.00	08/04/2010
1,812.00		81. CONAGRA FOODS INC COM W/RTS EXP 07/1 PROPERTY TYPE: SECURITIES 1,989.00				05/19/2010 -177.00	08/09/2010
1,235.00		29. HEWLETT-PACKARD CO COM PROPERTY TYPE: SECURITIES 1,493.00				12/18/2009 -258.00	08/10/2010
665.00		27. MICROSOFT CORP COM PROPERTY TYPE: SECURITIES 812.00				12/18/2009 -147.00	08/11/2010
1,313.00		53. MICROSOFT CORP COM PROPERTY TYPE: SECURITIES 1,593.00				12/18/2009 -280.00	08/17/2010

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Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
442.00		22. L'OREAL - ADR PROPERTY TYPE: SECURITIES 476.00				12/17/2009 -34.00	08/18/2010
562.00		8. UNITED TECHNOLOGIES CORP COM PROPERTY TYPE: SECURITIES 557.00				12/18/2009 5.00	08/18/2010
870.00		54. CORNING INC COM W/RTS EXP 07/15/2006 PROPERTY TYPE: SECURITIES 911.00				06/01/2010 -41.00	08/19/2010
2,711.00		136. L'OREAL - ADR PROPERTY TYPE: SECURITIES 2,942.00				03/29/2010 -231.00	08/19/2010
482.00		20. MICROSOFT CORP COM PROPERTY TYPE: SECURITIES 601.00				12/18/2009 -119.00	09/09/2010
1,445.00		3. GOOGLE INC CL A PROPERTY TYPE: SECURITIES 1,814.00				12/31/2009 -369.00	09/14/2010
1,353.00		34. HEWLETT-PACKARD CO COM PROPERTY TYPE: SECURITIES 1,750.00				12/18/2009 -397.00	09/14/2010
8,817.00		270.792 DODGE & COX INT'L STOCK FD # 104 PROPERTY TYPE: SECURITIES 11,829.00				12/21/2006 -3,012.00	09/17/2010
69,566.00		1277.372 HARBOR FD INTL FD PROPERTY TYPE: SECURITIES 70,000.00				12/09/2009 -434.00	09/17/2010
12,511.00		701.289 MORGAN STANLEY INS INTN RE I #80 PROPERTY TYPE: SECURITIES 18,299.00				12/21/2006 -5,788.00	09/17/2010
15,000.00		906.344 ROWE T PRICE REAL ESTATE FD COM PROPERTY TYPE: SECURITIES 16,676.00				12/21/2006 -1,676.00	09/22/2010

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
490.00		3. NETFLIX.COM INC PROPERTY TYPE: SECURITIES 347.00			COM		08/06/2010	09/27/2010
1,560.00		24. KIMBERLY-CLARK CORP COM PROPERTY TYPE: SECURITIES 1,496.00					05/10/2010	09/28/2010
2,160.00		110. GENTEX CORP COM PROPERTY TYPE: SECURITIES 1,960.00					12/17/2009	10/01/2010
475.00		7. CITRIX SYS INC COM PROPERTY TYPE: SECURITIES 299.00					12/18/2009	10/04/2010
255.00		13. GENTEX CORP COM PROPERTY TYPE: SECURITIES 232.00					12/17/2009	10/04/2010
1,609.00		94. PFIZER INC COM W/RTS ATTACHED EXP 10 PROPERTY TYPE: SECURITIES 1,634.00					05/05/2010	10/04/2010
764.00		23. WALGREEN CO COM W/RTS ATTACHED EXP 0 PROPERTY TYPE: SECURITIES 741.00					02/24/2010	10/04/2010
2,070.00		31. KIMBERLY-CLARK CORP COM PROPERTY TYPE: SECURITIES 1,932.00					06/04/2010	10/13/2010
755.00		21. UNITEDHEALTH GRP INC COM PROPERTY TYPE: SECURITIES 674.00					12/18/2009	10/15/2010
3,975.00		89. DOMINION RES INC VA NEW COM PROPERTY TYPE: SECURITIES 3,487.00					12/17/2009	10/21/2010
703.00		13. TARGET CORP COM PROPERTY TYPE: SECURITIES 647.00					12/18/2009	10/21/2010

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Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
677.00		9. UNITED TECHNOLOGIES CORP COM PROPERTY TYPE: SECURITIES 626.00				12/18/2009 51.00	10/21/2010
704.00		25. PAYCHEX INC COM PROPERTY TYPE: SECURITIES 732.00				05/24/2010 -28.00	10/25/2010
1,429.00		45. TIME WARNER INC PROPERTY TYPE: SECURITIES 1,319.00				12/18/2009 110.00	10/25/2010
311.00		4. MCDONALDS CORP COM PROPERTY TYPE: SECURITIES 275.00				06/09/2010 36.00	10/28/2010
1,983.00		52. ST JUDE MED INC COM W/RTS ATTACHED E PROPERTY TYPE: SECURITIES 1,997.00				07/26/2010 -14.00	10/29/2010
1,930.00		57. WALGREEN CO COM W/RTS ATTACHED EXP 0 PROPERTY TYPE: SECURITIES 1,837.00				07/14/2010 93.00	10/29/2010
1,129.00		40. PAYCHEX INC COM PROPERTY TYPE: SECURITIES 1,172.00				05/24/2010 -43.00	11/04/2010
384.00		5. COLGATE-PALMOLIVE CO COM PROPERTY TYPE: SECURITIES 403.00				01/25/2010 -19.00	11/10/2010
1,153.00		23. TEVA PHARMACEUTICAL INDS LTD ADR PROPERTY TYPE: SECURITIES 1,217.00				12/18/2009 -64.00	11/10/2010
2,534.00		8. APPLE COMPUTER INC COM PROPERTY TYPE: SECURITIES 1,540.00				12/17/2009 994.00	11/11/2010
1,098.00		53. CISCO SYS INC COM W/RIGHTS ATTACHED PROPERTY TYPE: SECURITIES 1,271.00				12/18/2009 -173.00	11/11/2010

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Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
1,523.00		36. DIRECTV-CLASS A PROPERTY TYPE: SECURITIES 1,194.00				12/18/2009 329.00	11/15/2010
1,444.00		55. MICROSOFT CORP COM PROPERTY TYPE: SECURITIES 1,653.00				12/18/2009 -209.00	11/15/2010
65,844.00		1895.889 DODGE & COX INT'L STOCK FD # 10 PROPERTY TYPE: SECURITIES 82,817.00				09/26/2008 -16,973.00	11/17/2010
8,190.00		359.053 GOLDMAN SACHS GROWTH OPPORTUNIT PROPERTY TYPE: SECURITIES 5,774.00				05/29/2009 2,416.00	11/17/2010
58,038.00		2712.05 PERKINS MID CAP VALUE F/C I #116 PROPERTY TYPE: SECURITIES 45,002.00				05/29/2009 13,036.00	11/17/2010
32,991.00		1739.117 MORGAN STANLEY INS INTN RE I #8 PROPERTY TYPE: SECURITIES 45,379.00				11/25/2008 -12,388.00	11/17/2010
77,316.00		3352.832 WESTPORT FDS SMALL CAP FD CL I PROPERTY TYPE: SECURITIES 58,004.00				05/29/2009 19,312.00	11/17/2010
1.00		.1026 BANCO SANTANDER CENT HISPANO SA AD PROPERTY TYPE: SECURITIES 1.00				11/10/2010	11/23/2010
1,427.00		9. GOLDMAN SACHS GRP INC COM PROPERTY TYPE: SECURITIES 1,348.00				07/29/2010 79.00	12/01/2010
1,437.00		75. CISCO SYS INC COM W/RIGHTS ATTACHED PROPERTY TYPE: SECURITIES 1,799.00				12/18/2009 -362.00	12/02/2010
342.00		6. CELGENE CORP COM PROPERTY TYPE: SECURITIES 362.00				05/05/2010 -20.00	12/09/2010

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Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
470.00		6. MCDONALDS CORP COM PROPERTY TYPE: SECURITIES 412.00				06/09/2010 58.00	12/09/2010
788.00		6. F5 NETWORKS INC COM PROPERTY TYPE: SECURITIES 533.00				08/18/2010 255.00	12/16/2010
440.00		11. JP MORGAN CHASE & CO COM PROPERTY TYPE: SECURITIES 466.00				12/18/2009 -26.00	12/16/2010
436.00		5. LABORATORY CORP AMER HLDGS COM NEW PROPERTY TYPE: SECURITIES 387.00				05/19/2010 49.00	12/16/2010
489.00		15. STARBUCKS CORP COM PROPERTY TYPE: SECURITIES 392.00				05/07/2010 97.00	12/16/2010
1,122.00		15. HESS CORP PROPERTY TYPE: SECURITIES 776.00				06/28/2010 346.00	12/20/2010
2,194.00		98. CONAGRA FOODS INC COM W/RTS EXP 07/1 PROPERTY TYPE: SECURITIES 2,181.00				12/17/2009 13.00	12/23/2010
1,383.00		62. CONAGRA FOODS INC COM W/RTS EXP 07/1 PROPERTY TYPE: SECURITIES 1,380.00				12/17/2009 3.00	12/27/2010
507.00		8. COCA-COLA CO COM PROPERTY TYPE: SECURITIES 443.00				01/13/2010 64.00	01/06/2011
707.00		9. COLGATE-PALMOLIVE CO COM PROPERTY TYPE: SECURITIES 725.00				01/25/2010 -18.00	01/06/2011
374.00		5. MCDONALDS CORP COM PROPERTY TYPE: SECURITIES 344.00				06/09/2010 30.00	01/06/2011

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Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
447.00		6. MCDONALDS CORP COM PROPERTY TYPE: SECURITIES 412.00				06/09/2010 35.00	01/06/2011
351.00		11. STARBUCKS CORP COM PROPERTY TYPE: SECURITIES 287.00				05/07/2010 64.00	01/06/2011
371.00		5. MCDONALDS CORP COM PROPERTY TYPE: SECURITIES 344.00				06/09/2010 27.00	01/11/2011
433.00		1. PRICELINE COM INC PROPERTY TYPE: SECURITIES 204.00				07/12/2010 229.00	01/11/2011
1,478.00		20. MCDONALDS CORP COM PROPERTY TYPE: SECURITIES 1,375.00				06/09/2010 103.00	01/12/2011
217.00		3. VISA INC-CLASS A SHRS PROPERTY TYPE: SECURITIES 261.00				05/19/2010 -44.00	01/13/2011
1,521.00		21. VISA INC-CLASS A SHRS PROPERTY TYPE: SECURITIES 1,828.00				12/18/2009 -307.00	01/13/2011
833.00		17. AMERICAN TOWER CORP CL A PROPERTY TYPE: SECURITIES 719.00				04/12/2010 114.00	01/19/2011
2,010.00		42. ABBOTT LABS W/RIGHTS ATTACHED EXP 11 PROPERTY TYPE: SECURITIES 2,033.00				05/17/2010 -23.00	01/25/2011
4,076.00		12. APPLE COMPUTER INC COM PROPERTY TYPE: SECURITIES 2,310.00				12/17/2009 1,766.00	01/25/2011
2,634.00		221. BANCO SANTANDER CENT HISPANO SA ADR PROPERTY TYPE: SECURITIES 2,822.00				01/19/2011 -188.00	01/25/2011

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Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
2,038.00		171. BANCO SANTANDER CENT HISPANO SA ADR PROPERTY TYPE: SECURITIES 2,183.00				12/17/2009 -145.00	01/25/2011
2,715.00		201. BANK AMER CORP COM PROPERTY TYPE: SECURITIES 3,075.00				12/17/2009 -360.00	01/25/2011
3,552.00		70. BAXTER INTL INC COM W/RIGHTS ATTACHE PROPERTY TYPE: SECURITIES 4,073.00				12/17/2009 -521.00	01/25/2011
3,585.00		50. BOEING CO COM PROPERTY TYPE: SECURITIES 2,759.00				12/17/2009 826.00	01/25/2011
4,968.00		56. DEERE & CO COM PROPERTY TYPE: SECURITIES 3,025.00				12/17/2009 1,943.00	01/25/2011
3,688.00		47. DIAGEO PLC SPONSORED ADR NEW PROPERTY TYPE: SECURITIES 3,180.00				12/17/2009 508.00	01/25/2011
4,069.00		116. DOW CHEM CO COM PROPERTY TYPE: SECURITIES 3,262.00				02/22/2010 807.00	01/25/2011
5,407.00		224. E M C CORP MASS COM PROPERTY TYPE: SECURITIES 3,772.00				12/17/2009 1,635.00	01/25/2011
1,915.00		94. EAST WEST BANCORP INC COM PROPERTY TYPE: SECURITIES 1,610.00				08/19/2010 305.00	01/25/2011
4,683.00		152. EBAY INC COM PROPERTY TYPE: SECURITIES 3,479.00				08/19/2010 1,204.00	01/25/2011
3,573.00		72. HERSHEY FOODS CORP COM W/RTS ATTACHE PROPERTY TYPE: SECURITIES 2,569.00				12/17/2009 1,004.00	01/25/2011

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Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
3,863.00		50. HESS CORP PROPERTY TYPE: SECURITIES 2,586.00				07/02/2010 1,277.00	01/25/2011
4,585.00		124. HOME DEPOT INC COM PROPERTY TYPE: SECURITIES 3,600.00				12/17/2009 985.00	01/25/2011
3,601.00		65. HOSPIRA INC PROPERTY TYPE: SECURITIES 3,120.00				12/17/2009 481.00	01/25/2011
5,627.00		35. INTL BUSINESS MACHINES CORP COM PROPERTY TYPE: SECURITIES 4,476.00				12/17/2009 1,151.00	01/25/2011
4,180.00		90. INTUIT COM W/RTS EXP 5/1/2008 PROPERTY TYPE: SECURITIES 2,706.00				12/17/2009 1,474.00	01/25/2011
4,234.00		95. JP MORGAN CHASE & CO COM PROPERTY TYPE: SECURITIES 3,853.00				12/17/2009 381.00	01/25/2011
1,540.00		17. LABORATORY CORP AMER HLDGS COM NEW PROPERTY TYPE: SECURITIES 1,315.00				05/19/2010 225.00	01/25/2011
1,209.00		14. M & T BK CORP COM PROPERTY TYPE: SECURITIES 962.00				10/06/2010 247.00	01/25/2011
2,504.00		29. M & T BK CORP COM PROPERTY TYPE: SECURITIES 1,993.00				12/17/2009 511.00	01/25/2011
2,921.00		536. MITSUBISHI UFJ FINANCIAL GROUP INC PROPERTY TYPE: SECURITIES 2,787.00				12/17/2009 134.00	01/25/2011
3,479.00		64. NEXTERA ENERGY INC PROPERTY TYPE: SECURITIES 3,516.00				12/17/2009 -37.00	01/25/2011

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
3,936.00		95. NORDSTROM INC COM PROPERTY TYPE: SECURITIES 3,426.00				10/21/2010 510.00	01/25/2011
3,769.00		72. NORTHERN TR CORP COM PROPERTY TYPE: SECURITIES 3,927.00				12/21/2010 -158.00	01/25/2011
4,076.00		59. NORTHROP GRUMMAN CORP COM W/RTS ATTA PROPERTY TYPE: SECURITIES 3,277.00				12/17/2009 799.00	01/25/2011
5,746.00		179. ORACLE CORP COM PROPERTY TYPE: SECURITIES 4,135.00				12/17/2009 1,611.00	01/25/2011
2,211.00		69. PENNEY J C INC COM PROPERTY TYPE: SECURITIES 1,893.00				12/17/2009 318.00	01/25/2011
4,459.00		43. POLO RALPH LAUREN CORP CL A PROPERTY TYPE: SECURITIES 3,410.00				12/17/2009 1,049.00	01/25/2011
2,347.00		61. QUESTAR MARKET RESOURCES INC PROPERTY TYPE: SECURITIES 1,679.00				12/17/2009 668.00	01/25/2011
1,087.00		62. QUESTAR CORP COM W/RTS EXP 03/25/200 PROPERTY TYPE: SECURITIES 943.00				11/17/2010 144.00	01/25/2011
1,070.00		61. QUESTAR CORP COM W/RTS EXP 03/25/200 PROPERTY TYPE: SECURITIES 928.00				12/17/2009 142.00	01/25/2011
4,133.00		54. SPX CORP COM RTS EXP 06/25/2006 PROPERTY TYPE: SECURITIES 2,969.00				12/17/2009 1,164.00	01/25/2011
2,426.00		116. SAFEWAY INC COM NEW PROPERTY TYPE: SECURITIES 2,472.00				12/17/2009 -46.00	01/25/2011

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
1,958.00		109. SCHWAB CHARLES CORP NEW COM PROPERTY TYPE: SECURITIES 1,977.00				12/17/2009 -19.00	01/25/2011
4,058.00		120. TEXAS INSTRS INC COM PROPERTY TYPE: SECURITIES 3,056.00				12/17/2009 1,002.00	01/25/2011
3,956.00		122. TIME WARNER INC PROPERTY TYPE: SECURITIES 3,340.00				02/03/2010 616.00	01/25/2011
2,987.00		98. UNILEVER N V NEW YORK SHS NEW PROPERTY TYPE: SECURITIES 3,116.00				12/17/2009 -129.00	01/25/2011
1,666.00		72. ZIONS BANCORP COM PROPERTY TYPE: SECURITIES 1,206.00				12/16/2010 460.00	01/25/2011
2,407.00		104. ZIONS BANCORP COM PROPERTY TYPE: SECURITIES 1,742.00				12/17/2009 665.00	01/25/2011
3,659.00		169. WEATHERFORD INTL LTD PROPERTY TYPE: SECURITIES 2,837.00				12/17/2009 822.00	01/25/2011
1,135.00		24. DANAHER CORP COM PROPERTY TYPE: SECURITIES 900.00				12/18/2009 235.00	01/26/2011
560.00		12. DANAHER CORP COM PROPERTY TYPE: SECURITIES 450.00				12/18/2009 110.00	01/27/2011
1,491.00		17. 3M CO COM PROPERTY TYPE: SECURITIES 1,349.00				12/18/2009 142.00	01/31/2011
436.00		1. PRICELINE COM INC PROPERTY TYPE: SECURITIES 204.00				07/12/2010 232.00	02/04/2011

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
1,972.00		39. CELGENE CORP COM PROPERTY TYPE: SECURITIES 2,351.00					11/01/2010 -379.00	02/08/2011
1,100.00		46. NVIDIA CORP COM PROPERTY TYPE: SECURITIES 881.00					01/07/2011 219.00	02/08/2011
1,122.00		29. SOUTHWESTERN ENERGY CO COM PROPERTY TYPE: SECURITIES 1,371.00					12/18/2009 -249.00	02/08/2011
1,761.00		50. TEXAS INSTRS INC COM PROPERTY TYPE: SECURITIES 1,357.00					10/04/2010 404.00	02/08/2011
1,451.00		77. CISCO SYS INC COM W/RIGHTS ATTACHED PROPERTY TYPE: SECURITIES 1,847.00					08/10/2010 -396.00	02/11/2011
75.00		4. CISCO SYS INC COM W/RIGHTS ATTACHED E PROPERTY TYPE: SECURITIES 96.00					12/18/2009 -21.00	02/11/2011
469.00		11. CTRIP.COM INTERNATIONAL, LTD. - ADR PROPERTY TYPE: SECURITIES 514.00					11/18/2010 -45.00	02/11/2011
366.00		9. CTRIP.COM INTERNATIONAL, LTD. - ADR PROPERTY TYPE: SECURITIES 420.00					11/18/2010 -54.00	02/14/2011
492.00		2. NETFLIX.COM INC PROPERTY TYPE: SECURITIES 252.00			COM		08/06/2010 240.00	02/14/2011
399.00		10. CTRIP.COM INTERNATIONAL, LTD. - ADR PROPERTY TYPE: SECURITIES 467.00					11/18/2010 -68.00	02/16/2011
696.00		13. AMERICAN TOWER CORP CL A PROPERTY TYPE: SECURITIES 550.00					04/12/2010 146.00	02/22/2011

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
1,703.00		4. PRICELINE COM INC PROPERTY TYPE: SECURITIES 815.00				07/12/2010 888.00	02/23/2011
509.00		3. AMAZON COM INC COM PROPERTY TYPE: SECURITIES 392.00				12/18/2009 117.00	03/02/2011
613.00		3. NETFLIX.COM INC PROPERTY TYPE: SECURITIES 378.00			COM	08/06/2010 235.00	03/02/2011
2,389.00		23. CHEVRONTXACO CORP COM PROPERTY TYPE: SECURITIES 2,156.00				01/25/2011 233.00	03/04/2011
1,699.00		42. NATIONWIDE HLTH PPTYS INC COM PROPERTY TYPE: SECURITIES 1,556.00				01/25/2011 143.00	03/04/2011
622.00		12. TARGET CORP COM PROPERTY TYPE: SECURITIES 597.00				07/26/2010 25.00	03/04/2011
1,295.00		25. TARGET CORP COM PROPERTY TYPE: SECURITIES 1,244.00				01/21/2010 51.00	03/04/2011
387.00		2. NETFLIX.COM INC PROPERTY TYPE: SECURITIES 252.00			COM	08/06/2010 135.00	03/09/2011
1,325.00		73. NVIDIA CORP COM PROPERTY TYPE: SECURITIES 1,398.00				01/07/2011 -73.00	03/10/2011
489.00		9. POTASH CORP SASK INC COM W/RTS ATTACH PROPERTY TYPE: SECURITIES 433.00				11/04/2010 56.00	03/11/2011
462.00		14. ADOBE SYS INC COM PROPERTY TYPE: SECURITIES 481.00				12/18/2009 -19.00	03/15/2011

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Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
271.00		4. BOEING CO COM PROPERTY TYPE: SECURITIES 256.00				06/01/2010 15.00	03/16/2011
767.00		10. COLGATE-PALMOLIVE CO COM PROPERTY TYPE: SECURITIES 806.00				01/25/2010 -39.00	03/18/2011
447.00		7. ROCKWELL COLLINS-WI COM PROPERTY TYPE: SECURITIES 431.00				03/08/2010 16.00	03/21/2011
866.00		9. F5 NETWORKS INC COM PROPERTY TYPE: SECURITIES 800.00				08/18/2010 66.00	03/22/2011
696.00		11. ROCKWELL COLLINS-WI COM PROPERTY TYPE: SECURITIES 678.00				03/08/2010 18.00	03/23/2011
861.00		16. AMGEN INC COM W/RTS ATTACHED EXP 03/ PROPERTY TYPE: SECURITIES 905.00				01/27/2010 -44.00	03/31/2011
1,951.00		42. NETAPP INC PROPERTY TYPE: SECURITIES 2,068.00				09/15/2010 -117.00	04/06/2011
1,768.00		35. AMERICAN TOWER CORP CL A PROPERTY TYPE: SECURITIES 1,479.00				06/04/2010 289.00	04/07/2011
222.00		5. CANADIAN NAT RES LTD COM CN\$ PROPERTY TYPE: SECURITIES 174.00				12/18/2009 48.00	04/14/2011
365.00		7. FREEPORT MCMORAN COPPER & GOLD CL B W PROPERTY TYPE: SECURITIES 329.00				10/04/2010 36.00	04/14/2011
881.00		16. AMGEN INC COM W/RTS ATTACHED EXP 03/ PROPERTY TYPE: SECURITIES 905.00				02/09/2010 -24.00	04/18/2011

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
827.00		25. ADOBE SYS INC COM PROPERTY TYPE: SECURITIES 860.00				11/11/2010 -33.00	04/26/2011
894.00		27. ADOBE SYS INC COM PROPERTY TYPE: SECURITIES 928.00				12/18/2009 -34.00	04/26/2011
801.00		12. COCA-COLA CO COM PROPERTY TYPE: SECURITIES 664.00				01/13/2010 137.00	04/28/2011
942.00		43. DENBURY RESOURCES INC COM PROPERTY TYPE: SECURITIES 688.00				03/10/2010 254.00	04/28/2011
712.00		14. FREEPORT MCMORAN COPPER & GOLD CL B PROPERTY TYPE: SECURITIES 659.00				10/04/2010 53.00	05/05/2011
2,702.00		26. CHEVRONTExACO CORP COM PROPERTY TYPE: SECURITIES 2,437.00				01/25/2011 265.00	05/10/2011
1,408.00		21. COCA-COLA CO COM PROPERTY TYPE: SECURITIES 1,320.00				01/25/2011 88.00	05/10/2011
2,942.00		78. HCP INC PROPERTY TYPE: SECURITIES 2,844.00				01/25/2011 98.00	05/10/2011
439.00		4. CATERPILLAR INC COM W/RTS EXP 12/11/2 PROPERTY TYPE: SECURITIES 293.00				07/27/2010 146.00	05/11/2011
571.00		5. CUMMINS INC COM PROPERTY TYPE: SECURITIES 275.00				12/18/2009 296.00	05/11/2011
387.00		8. FREEPORT MCMORAN COPPER & GOLD CL B W PROPERTY TYPE: SECURITIES 376.00				10/04/2010 11.00	05/11/2011

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Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
472.00		9. POTASH CORP SASK INC COM W/RTS ATTACH PROPERTY TYPE: SECURITIES 433.00				11/04/2010 39.00	05/11/2011
412.00		5. SCHLUMBERGER LTD COM PROPERTY TYPE: SECURITIES 375.00				01/11/2010 37.00	05/11/2011
581.00		14. CANADIAN NAT RES LTD COM CN\$ PROPERTY TYPE: SECURITIES 486.00				12/18/2009 95.00	05/12/2011
432.00		4. CATERPILLAR INC COM W/RTS EXP 12/11/2 PROPERTY TYPE: SECURITIES 293.00				07/27/2010 139.00	05/12/2011
1,681.00		31. EMERSON ELEC CO COM W/RTS ATTACHED E PROPERTY TYPE: SECURITIES 1,288.00				12/18/2009 393.00	05/12/2011
331.00		3. CUMMINS INC COM PROPERTY TYPE: SECURITIES 165.00				12/18/2009 166.00	05/13/2011
1,934.00		29. ALLEGHENY TECHNOLOGIES INC COM PROPERTY TYPE: SECURITIES 1,468.00				05/04/2010 466.00	05/17/2011
635.00		9. BORG WARNER INC COM PROPERTY TYPE: SECURITIES 398.00				07/14/2010 237.00	05/17/2011
714.00		7. CATERPILLAR INC COM W/RTS EXP 12/11/2 PROPERTY TYPE: SECURITIES 513.00				07/27/2010 201.00	05/17/2011
641.00		6. CUMMINS INC COM PROPERTY TYPE: SECURITIES 331.00				12/18/2009 310.00	05/17/2011
585.00		3. AMAZON COM INC COM PROPERTY TYPE: SECURITIES 392.00				12/18/2009 193.00	05/18/2011

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
624.00		13. CAMERON INT'L CORP COM PROPERTY TYPE: SECURITIES 491.00					08/13/2010 133.00	05/18/2011
248.00		3. CITRIX SYS INC COM PROPERTY TYPE: SECURITIES 134.00					12/18/2009 114.00	05/18/2011
337.00		10. ORACLE CORP COM PROPERTY TYPE: SECURITIES 264.00					12/18/2009 73.00	05/18/2011
536.00		4. SALESFORCE COM INC PROPERTY TYPE: SECURITIES 356.00					05/21/2010 180.00	05/18/2011
799.00		22. STARBUCKS CORP COM PROPERTY TYPE: SECURITIES 575.00					05/07/2010 224.00	05/18/2011
344.00		6. STARWOOD HOTELS & RESORTS WORLDWIDE PROPERTY TYPE: SECURITIES 371.00					01/26/2011 -27.00	05/18/2011
664.00		8. ALLERGAN INC COM W/RIGHTS ATTACHED EX PROPERTY TYPE: SECURITIES 521.00					12/18/2009 143.00	05/19/2011
416.00		5. CITRIX SYS INC COM PROPERTY TYPE: SECURITIES 223.00					12/18/2009 193.00	05/19/2011
413.00		9. NORDSTROM INC COM PROPERTY TYPE: SECURITIES 324.00					09/15/2010 89.00	05/19/2011
416.00		8. RANGE RES CORP COM PROPERTY TYPE: SECURITIES 407.00					12/18/2009 9.00	05/19/2011
653.00		28. TEXTRON INC COM PROPERTY TYPE: SECURITIES 748.00					04/11/2011 -95.00	05/19/2011

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
608.00		12. UNITEDHEALTH GRP INC COM PROPERTY TYPE: SECURITIES 399.00					12/18/2009 209.00	05/19/2011
601.00		11. CHECK POINT SOFTWARE TECH COM PROPERTY TYPE: SECURITIES 360.00					07/12/2010 241.00	05/19/2011
2,122.00		107. CORNING INC COM W/RTS EXP 07/15/200 PROPERTY TYPE: SECURITIES 1,806.00					06/10/2010 316.00	05/20/2011
855.00		8. CUMMINS INC COM PROPERTY TYPE: SECURITIES 441.00					04/29/2010 414.00	05/20/2011
736.00		31.99957 TEXTRON INC COM PROPERTY TYPE: SECURITIES 903.00					04/11/2011 -167.00	05/20/2011
115.00		5.00043 TEXTRON INC COM PROPERTY TYPE: SECURITIES 141.00					04/11/2011 -26.00	05/20/2011
331.00		7. FREEPORT MCMORAN COPPER & GOLD CL B W PROPERTY TYPE: SECURITIES 329.00					10/04/2010 2.00	05/23/2011
329.00		4. ALLERGAN INC COM W/RIGHTS ATTACHED EX PROPERTY TYPE: SECURITIES 261.00					12/18/2009 68.00	05/24/2011
475.00		10. CAMERON INT'L CORP COM PROPERTY TYPE: SECURITIES 378.00					08/13/2010 97.00	05/24/2011
422.00		4. EOG RES INC COM PROPERTY TYPE: SECURITIES 403.00					10/13/2010 19.00	05/24/2011
763.00		23. ORACLE CORP COM PROPERTY TYPE: SECURITIES 607.00					12/18/2009 156.00	05/24/2011

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
597.00		4. SALESFORCE COM INC PROPERTY TYPE: SECURITIES 356.00					05/21/2010 241.00	05/24/2011
481.00		9. CHECK POINT SOFTWARE TECH COM PROPERTY TYPE: SECURITIES 294.00					07/12/2010 187.00	05/24/2011
690.00		10. BORG WARNER INC COM PROPERTY TYPE: SECURITIES 442.00					08/10/2010 248.00	05/25/2011
702.00		6. FLOWSERVE CORP COM PROPERTY TYPE: SECURITIES 763.00					02/02/2011 -61.00	05/26/2011
708.00		6. FIRST SOLAR INC PROPERTY TYPE: SECURITIES 938.00					03/15/2011 -230.00	05/27/2011
613.00		27. TEXTRON INC COM PROPERTY TYPE: SECURITIES 788.00					04/11/2011 -175.00	05/31/2011
694.00		15. CAMERON INT'L CORP COM PROPERTY TYPE: SECURITIES 567.00					08/13/2010 127.00	06/01/2011
1,310.00		57. HOSPITALITY PPTYS TR SH BEN INT PROPERTY TYPE: SECURITIES 1,459.00					01/25/2011 -149.00	06/07/2011
798.00		3. NETFLIX.COM INC PROPERTY TYPE: SECURITIES 418.00			COM		08/06/2010 380.00	06/07/2011
179.00		2. SINA.COM SHS PROPERTY TYPE: SECURITIES 170.00					01/13/2011 9.00	06/09/2011
359.00		4. SINA.COM SHS PROPERTY TYPE: SECURITIES 340.00					01/13/2011 19.00	06/09/2011

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Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
655.00		6. FLOWSERVE CORP COM PROPERTY TYPE: SECURITIES 763.00				02/02/2011 -108.00	06/10/2011
1,564.00		40. SCANA CORP W-I COM PROPERTY TYPE: SECURITIES 1,697.00				01/25/2011 -133.00	06/10/2011
1,472.00		64. SENIOR HSG PPTYS TR SH BEN INT PROPERTY TYPE: SECURITIES 1,425.00				01/25/2011 47.00	06/10/2011
382.00		4. CATERPILLAR INC COM W/RTS EXP 12/11/2 PROPERTY TYPE: SECURITIES 293.00				07/27/2010 89.00	06/13/2011
661.00		25. E M C CORP MASS COM PROPERTY TYPE: SECURITIES 432.00				12/18/2009 229.00	06/13/2011
703.00		10. COGNIZANT TECH SOLUTIONS CRP COM PROPERTY TYPE: SECURITIES 785.00				03/22/2011 -82.00	06/14/2011
743.00		7. FLOWSERVE CORP COM PROPERTY TYPE: SECURITIES 890.00				03/07/2011 -147.00	06/14/2011
323.00		4. ROCKWELL INTL CORP NEW COM PROPERTY TYPE: SECURITIES 347.00				02/10/2011 -24.00	06/14/2011
503.00		2. NETFLIX.COM INC PROPERTY TYPE: SECURITIES 279.00			COM	08/06/2010 224.00	06/16/2011
592.00		8. CITRIX SYS INC COM PROPERTY TYPE: SECURITIES 356.00				12/18/2009 236.00	06/17/2011
1,762.00		50. HEWLETT-PACKARD CO COM PROPERTY TYPE: SECURITIES 2,411.00				02/08/2011 -649.00	06/22/2011

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
485.00		9. CHECK POINT SOFTWARE TECH COM PROPERTY TYPE: SECURITIES 294.00					07/12/2010 191.00	06/22/2011
781.00		11. BOEING CO COM PROPERTY TYPE: SECURITIES 704.00					06/01/2010 77.00	06/23/2011
480.00		10. FREEPORT MCMORAN COPPER & GOLD CL B PROPERTY TYPE: SECURITIES 471.00					10/25/2010 9.00	06/23/2011
1,484.00		37. JP MORGAN CHASE & CO COM PROPERTY TYPE: SECURITIES 1,569.00					04/15/2010 -85.00	06/23/2011
365.00		6. ALLEGHENY TECHNOLOGIES INC COM PROPERTY TYPE: SECURITIES 304.00					05/04/2010 61.00	06/24/2011
1,052.00		9. FIRST SOLAR INC PROPERTY TYPE: SECURITIES 1,408.00					03/31/2011 -356.00	06/24/2011
1,582.00		40. SANDISK CORP COM W/RTS ATTACHED EXP PROPERTY TYPE: SECURITIES 1,951.00					02/15/2011 -369.00	06/24/2011
1,365.00		18. DEVON ENERGY CORP NEW COM PROPERTY TYPE: SECURITIES 1,618.00					03/09/2011 -253.00	06/27/2011
1,331.00		29. NORDSTROM INC COM PROPERTY TYPE: SECURITIES 1,043.00					09/15/2010 288.00	06/28/2011

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
TOTAL GAIN (LOSS)							----- 37,050. =====	

PEARL DIXON BALTHIS FDN TUA

56-6041570

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
AT&T INC	91.	91.
ABBOTT LABS W/RIGHTS ATTACHED EXP 11/10/	122.	122.
AIM INTERNATIONAL GROWTH-Y #8516	925.	925.
AIR PRODS & CHEMICALS INC COM W/ RTS TO	24.	24.
ALLEGHENY TECHNOLOGIES INC COM	38.	38.
ALLERGAN INC COM W/RIGHTS ATTACHED EXP 2	6.	6.
ALTRIA GROUP INC	59.	59.
ASTRAZENECA PLC SPONSORED ADR	94.	94.
BCE INC	74.	74.
BAKER HUGHES INC COM	8.	8.
BANCO SANTANDER CENT HISPANO SA ADR	172.	172.
BANK AMER CORP COM	4.	4.
BAXTER INTL INC COM W/RIGHTS ATTACHED EX	62.	62.
BOEING CO COM	121.	121.
BRISTOL-MYERS SQUIBB CO COM	81.	81.
CME GROUP INC	20.	20.
CANADIAN NAT RES LTD COM CN\$	22.	22.
CATERPILLAR INC COM W/RTS EXP 12/11/2006	40.	40.
CENTURYTEL INC COM	157.	157.
CHEVRONTXACO CORP COM	61.	61.
CINCINNATI FINL CORP COM	21.	21.
COACH INC COM W/RTS ATTACHED EXP 5/2/201	17.	17.
COCA-COLA CO COM	138.	138.
COLGATE-PALMOLIVE CO COM	31.	31.
CONAGRA FOODS INC COM W/RTS EXP 07/12/20	85.	85.
CONOCOPHILLIPS COM	163.	163.
CONSOLIDATED EDISON INC COM	61.	61.
CORNING INC COM W/RTS EXP 07/15/2006	16.	16.
CUMMINS INC COM	27.	27.
DANAHER CORP COM	2.	2.
DEERE & CO COM	53.	53.
DEVON ENERGY CORP NEW COM	6.	6.

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FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES
 =====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
DIAGEO PLC SPONSORED ADR NEW	70.	70.
DODGE & COX INCOME FD COM	5,484.	5,484.
DOMINION RES INC VA NEW COM	164.	164.
DOW CHEM CO COM	52.	52.
DUKE ENERGY HOLDING CORP. COM	131.	131.
EOG RES INC COM	7.	7.
EAST WEST BANCORP INC COM	2.	2.
EMERSON ELEC CO COM W/RTS ATTACHED EXP 1	42.	42.
FLOWERVE CORP COM	6.	6.
FREEMPORT MCMORAN COPPER & GOLD CL B W/RT	145.	145.
GENTEX CORP COM	15.	15.
GLAXO SMITHKLINE SPONSORED PLC ADR	93.	93.
GOLDMAN SACHS GRP INC COM	6.	6.
HCP INC	75.	75.
OAKMARK INTERNATIONAL FD CL I	618.	618.
HEALTH CARE REIT INC COM	74.	74.
HEINZ H J CO COM	148.	148.
HERSHEY FOODS CORP COM W/RTS ATTACHED EX	46.	46.
HESS CORP	13.	13.
HEWLETT-PACKARD CO COM	12.	12.
HOME DEPOT INC COM	59.	59.
HOSPITALITY PPTYS TR SH BEN INT	51.	51.
INTL BUSINESS MACHINES CORP COM	46.	46.
JP MORGAN CHASE & CO COM	30.	30.
JOHNSON & JOHNSON COM	95.	95.
JOHNSON CTLS INC COM W/RTS ATTACHED EXP	6.	6.
JPMORGAN HIGH YIELD FUND SS #3580	6,730.	6,730.
KIMBERLY-CLARK CORP COM	132.	132.
LAZARD EMERGING MKTS PTFL #638	1,443.	1,443.
LILLY ELI & CO COM W/RTS EXP 7/28/2008	212.	212.
LORILLARD INC	44.	44.
M & T BK CORP COM	50.	50.
MCDONALDS CORP COM	121.	121.

PEARL DIXON BALTHIS FDN TUA

56-6041570

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
MEAD JOHNSON NUTRITION CO	33.	33.
MICROSOFT CORP COM	17.	17.
MITSUBISHI UFJ FINANCIAL GROUP INC ADR	74.	74.
MORGAN STANLEY INS INTN RE I #8001	21.	21.
NATIONAL OILWELL INC COM	2.	2.
NATIONWIDE HLTH PTYS INC COM	20.	20.
NEW YORK CMNTY BANCORP INC COM	73.	73.
NEXTERA ENERGY INC	64.	64.
NORDSTROM INC COM	42.	42.
NORTHROP GRUMMAN CORP COM W/RTS ATTACHED	55.	55.
ORACLE CORP COM	63.	63.
PIMCO FDS PAC INVT MGMT SER LOW DURATION	2,367.	2,367.
PIMCO FDS PAC INVT MGMT SER TOTAL RETURN	4,100.	4,100.
PIMCO FOREIGN BOND FUND-INST	1,454.	1,454.
PIMCO EMERG MKTS BD-INST #137	3,039.	3,039.
PAYCHEX INC COM	33.	33.
PENNEY J C INC COM	41.	41.
PEPSICO INC COM	11.	11.
PFIZER INC COM W/RTS ATTACHED EXP 10/15/	17.	17.
PHILIP MORRIS INTERNATIONAL IN	56.	56.
POLO RALPH LAUREN CORP CL A	13.	13.
POTASH CORP SASK INC COM W/RTS ATTACHED	5.	5.
PRECISION CASTPARTS CORP COM W/RIGHTS AT	2.	2.
PROCTER & GAMBLE CO COM	94.	94.
PROGRESS ENERGY INC COM	50.	50.
QUESTAR MARKET RESOURCES INC	2.	2.
QUESTAR CORP COM W/RTS EXP 03/25/2006	23.	23.
RANGE RES CORP COM	4.	4.
RAYTHEON CO COM	14.	14.
REYNOLDS AMERICAN INC	68.	68.
RIDGEWORTH FD-MIDCAP VAL EQ I #5412	4,053.	4,053.
ROCKWELL INTL CORP NEW COM	7.	7.
ROCKWELL COLLINS-WI COM	36.	36.

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FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
ROWE T PRICE REAL ESTATE FD COM	1,860.	1,341.
ROYAL DUTCH SHELL PLC ADR	168.	168.
SPX CORP COM RTS EXP 06/25/2006	41.	41.
SAFEWAY INC COM NEW	42.	42.
SCANA CORP W-I COM	19.	19.
SCHLUMBERGER LTD COM	24.	24.
SCHWAB CHARLES CORP NEW COM	13.	13.
SENIOR HSG PPTYS TR SH BEN INT	24.	24.
SOUTHERN CO COM	151.	151.
STARBUCKS CORP COM	44.	44.
TARGET CORP COM	31.	31.
TELEFONICA S A SPONSORED ADR	119.	119.
TEVA PHARMACEUTICAL INDS LTD ADR	9.	9.
TEXAS INSTRS INC COM	43.	43.
3M CO COM	52.	52.
TIME WARNER INC	72.	72.
TOTAL FINA ELF S A SPONSORED ADR	113.	113.
UNILEVER PLC SPONSORED ADR NEW	72.	72.
UNILEVER N V NEW YORK SHS NEW	56.	56.
UNITED TECHNOLOGIES CORP COM	41.	41.
UNITEDHEALTH GRP INC COM	39.	39.
V F CORP COM W/RTS ATTACHED EXP 01/25/20	52.	52.
VANGUARD REIT INDEX SIGNAL #1355	1,433.	1,433.
VANGUARD INFLAT PROTECT SEC FD #119	1,992.	1,992.
VANGUARD SMALL CAP VALUE INDEX FD	762.	762.
VERIZON COMMUNICATIONS COM	86.	86.
VISA INC-CLASS A SHRS	7.	7.
VODAFONE GROUP PLC SPONSORED ADR	182.	182.
WALGREEN CO COM W/RTS ATTACHED EXP 08/21	14.	14.
WF ADV SHORT-TERM BOND FUND-I#3102	1,201.	1,201.
WINDSTREAM CORP	36.	36.
ZIONS BANCORP COM	2.	2.
COVIDIEN PLC	22.	22.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
COVIDIEN PLC	7.	7.
EVERGREEN INST MMKT I CL #495	275.	275.
WF ADV HERITAGE MM FD-INSTL #3106	136.	136.
	-----	-----
TOTAL	44,216.	43,697.
	=====	=====

FORM 990PF, PART I - ACCOUNTING FEES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
TAX PREPARATION FEES	750.			750.
TOTALS	750.	NONE	NONE	750.

PEARL DIXON BALTHIS FDN TUA

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FORM 990PF, PART I - TAXES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
FOREIGN TAXES	115.	115.
FEDERAL ESTIMATES - PRINCIPAL	523.	
FOREIGN TAXES ON QUALIFIED FOR	363.	363.
FOREIGN TAXES ON NONQUALIFIED	29.	29.
	-----	-----
TOTALS	1,030.	507.
	=====	=====

PEARL DIXON BALTHIS FDN TUA

56-6041570

FORM 990PF, PART I - OTHER EXPENSES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
ADR FEES	10.	10.	
COURT FEES	1,361.		1,361.
TOTALS	----- 1,371. =====	----- 10. =====	----- 1,361. =====

PEARL DIXON BALTHIS FDN TUA

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FORM 990PF, PART II - CORPORATE STOCK
=====

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ---
023135106 AMAZON COM INC	2,743.	4,294.
099724106 BORG WARNER INC COM	531.	969.
189754104 COACH INC	973.	1,790.
517834107 LAS VEGAS SANDS CORP	2,325.	2,406.
580135101 MCDONALDS CORP	2,392.	2,445.
641101L106 NETFLIX.COM	1,673.	3,152.
655664100 NORDSTROM INC	1,043.	1,361.
855244109 STARBUCKS CORP	1,489.	2,251.
85590A401 STARWOOD HOTELS	1,977.	1,793.
887317303 TIME WARNER INC	1,584.	1,564.
918204108 V F CORP	1,793.	2,388.
988498101 YUM BRANDS INC	2,343.	2,320.
191216100 COCOA COLA CO	4,964.	5,518.
501044101 KROGER CO	1,523.	1,538.
582839106 MEAD JOHNSON	1,546.	2,364.
713448108 PEPSICO INC	2,751.	2,747.
742718109 PROCTER & GAMBLE CO	4,306.	4,323.
931142103 WAL MART STORES INC	2,272.	2,285.
057224107 BAKER HUGHES INC COM	1,744.	1,887.
26875P101 EOG RESOURCES	2,117.	2,196.
637071101 NATIONAL OILWELL	1,482.	1,486.
75281A109 RANGE RES CORP	1,017.	1,110.
12572Q105 CME GROUP INCE	1,555.	1,458.
200340107 COMERICA INC	1,787.	1,625.
018490102 ALLERGAN INC	1,563.	1,998.
302182100 EXPRESS SCRIPTS	2,336.	2,267.
452327109 ILLUMINA INC	3,009.	3,382.
478160104 JOHNSON & JOHNSON	3,085.	3,126.
863667101 STRYKER CORP	2,812.	2,582.

PEARL DIXON BALTHIS FDN TUA

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FORM 990PF, PART II - CORPORATE STOCK
=====

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
-----	-----	---
91324P102 UNITEDHEALTH GROUP	2,096.	3,250.
941848103 WATERS CORP	2,301.	2,681.
097023105 BOEING CO	2,112.	2,440.
149123101 CATERPILLAR INC	1,026.	1,490.
231021106 CUMMINS INC	386.	724.
235821102 DANAHER CORP	1,553.	1,537.
740189105 PRECISION CASTPARTS	2,488.	3,293.
755111507 RAYTHOEN CO COM	1,547.	1,545.
773903109 ROCKWELL AUTOMATION	1,386.	1,388.
774341101 ROCKWELL COLLINS	1,479.	1,481.
913017109 UNITED TECHNOLOGIES	1,322.	1,682.
88579Y101 3M CO COM	1,270.	1,518.
037833100 APPLE INC	6,006.	10,406.
177376100 CITRIX SYS INC COM	2,317.	4,160.
192446102 COGNIZANT TECH	1,571.	1,467.
268648102 E M C CORP MASS	1,294.	2,066.
38259P508 GOOGLE INC	5,378.	4,557.
458140100 INTEL CORP	2,411.	2,305.
48203R104 JUNIPER NETWORKS INC	2,129.	1,827.
68389X105 ORACLE CORP	4,144.	5,167.
79466L302 SALESFORCE COM	1,069.	1,788.
01741R102 ALLEGHENY TECH	1,569.	1,968.
35671D857 FREEPORT-MCMORAN	2,541.	2,857.
61166W101 MONSANTO CO NEW	2,065.	2,104.
826552101 SIGMA ALDRICH CORP	765.	807.
025537101 AMERICAN ELECTRIC	1,579.	1,545.
580135101 MCDONALDS CORP	3,243.	3,626.
02209S103 ALTRIA GROUP INC	3,742.	4,067.
191216100 COCA COLA CO	1,571.	1,682.

PEARL DIXON BALTHIS FDN TUA

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FORM 990PF, PART II - CORPORATE STOCK
=====

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV -----
423074103 HEINZ HJ CO	6,036.	6,820.
494368103 KIMBERLY CLARK CORP	6,464.	6,523.
544147101 LORILLARD INC	1,262.	1,851.
718172109 PHILIP MORRIS INTERN	4,995.	5,809.
742718109 PROCTOR & GAMBLE CO	2,941.	2,797.
761713106 REYNOLDS AMERICAN IN	4,137.	4,779.
166764100 CHEVRON CORP	1,594.	1,748.
20825C104 CONOCOPHILLIPS	3,731.	5,338.
172062101 CINCINNATI FINANCIAL	1,709.	1,547.
649445103 NEW YORK CMNTY	2,714.	2,189.
002824100 ABBOTT LABS	4,089.	4,367.
110122108 BRISTOL MYERS SQUIBB	6,380.	7,095.
532457108 ELI LILLY & CO	5,097.	5,442.
478160104 JOHNSON & JOHNSON	4,444.	4,856.
00206R102 AT & T INC	6,086.	6,659.
156700106 CENTURYLINK, INC	4,538.	4,366.
92343V104 VERIZON COMMUNICATIO	6,399.	6,590.
97381W104 WINDSTREAM CORP	1,846.	1,866.
209115104 CONSOLIDATED EDISON	2,584.	2,715.
25746U109 DOMINION RES INC	5,457.	6,034.
26441C105 DUKE ENERGY HOLDING	4,841.	5,028.
69351T106 PPL CORPORATION	3,788.	3,785.
743263105 PROGRESS ENERGY INC	3,678.	3,889.
842587107 SOUTHERN CO	6,275.	6,582.
TOTALS	224,180.	252,728.

PEARL DIXON BALTHIS FDN TUA

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FORM 990PF, PART II - OTHER INVESTMENTS

=====

DESCRIPTION	COST/ FMV C OR F	ENDING BOOK VALUE	ENDING FMV
256210105 DODGE & COX INCOME	C	120,000.	122,193.
4812C0803 JPMORGAN HIGH YIELD	C	60,093.	88,150.
693390304 PIMCO LOW DURATION	C	100,000.	101,843.
693390700 PIMCO TOTAL RETURN	C	120,000.	121,102.
922031869 VANGUARD INFLATION	C	50,279.	56,753.
949917652 WELLS FARGO ADVANT	C	67,000.	67,307.
693391559 PIMCO EMERGING	C	57,833.	59,324.
693390882 PIMCO FOREIGN BOND	C	52,628.	53,716.
38142Y401 GOLDMAN SACHS	C	39,221.	61,930.
76628R615 RIDGEWORTH FD-MIDCAP	C	55,300.	60,437.
87234N849 TCW SMALL CAP GROWTH	C	39,500.	46,557.
922908793 VANGUARD SMALL CAP	C	39,500.	44,600.
008882532 AIM INTERNATIONAL	C	65,000.	71,536.
52106N889 LAZARD EMERGING MRKT	C	54,997.	83,186.
413838202 OAKMARK FUNDS	C	61,560.	69,828.
779919109 T ROWE PRICE	C	84,405.	89,277.
921908836 VANGUARD REIT INCEX	C	50,000.	57,280.
055434203 BG GROUP PLC ADR	C	1,636.	1,485.
136385101 CANADIAN NAT RES LTD	C	2,117.	2,553.
M22465104 CHECK PIONT SOFTWARE	C	981.	1,706.
G2554F113 COVIDIEN PLC	C	1,747.	1,863.
73755L107 POTASH CORP SASK	C	1,878.	2,223.
806857108 SCHLUMBERGER LTD	C	2,401.	2,765.
G81477104 SINA CORPORATION	C	1,954.	2,394.
H89128104 TYCO INTERNATIONAL	C	747.	791.
046353108 ASTRAZENECA PLC	C	5,261.	5,357.
05534B760 BCE INC	C	5,161.	5,697.
37733W105 GLAXOSMITHKLINE PLC	C	5,635.	6,478.
636274300 NATIONAL GRID PLC	C	5,277.	5,487.

PEARL DIXON BALTHIS FDN TUA

56-6041570

FORM 990PF, PART II - OTHER INVESTMENTS
=====

DESCRIPTION	COST/ FMV C OR F	ENDING BOOK VALUE	ENDING FMV
-----	-----	-----	-----
780259107 ROYAL DUTCH SHELL PL	C	6,898.	7,175.
879382208 TELEFONICA SA	C	5,570.	5,535.
89151E109 TOTAL SA ADR	C	4,089.	4,049.
904767704 UNILEVER PLC	C	3,480.	3,790.
92857W209 VODAFONE GROUP PLC	C	5,457.	5,825.
42217K106 HEALTH CARE REIT INC	C	2,566.	2,779.
		-----	-----
TOTALS		1,180,171.	1,322,971.
		=====	=====

PEARL DIXON BALTHIS FDN TUA

56-6041570

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES
=====

DESCRIPTION -----	AMOUNT -----
MUTUAL FUND TAX EFFECTIVE DATE BEFORE TYE	2,164.
SALES TAX EFFECTIVE DATE BEFORE TYE	287.

TOTAL	2,451.
	=====

STATEMENT 14

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES
=====

DESCRIPTION -----	AMOUNT -----
MUTUAL FUND POSTING DATE AFTER TYE	2,752.
ROC BASIS ADJUSTMENT	1,828.
SALES POSTING DATE AFTER TYE	456.

TOTAL	5,036.
	=====

PEARL DIXON BALTHIS FDN TUA

56-6041570

STATE(S) WHERE THE FOUNDATION IS REGISTERED

=====

NC

STATEMENT 16

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES
=====

OFFICER NAME:

Wells Fargo Bank,NA

ADDRESS:

1525 WEST WT HARRIS BLVD
CHARLOTTE, NC 28288-5709

TITLE:

Trustee

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40

COMPENSATION 12,495.

TOTAL COMPENSATION:

12,495.

=====

RECIPIENT NAME:
FIRST THINGS FIRST OF GASTON
ADDRESS:
200 E FRANKLIN ROAD
GASTONIA, NC 28052
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
EXEMPT
AMOUNT OF GRANT PAID 5,000.

RECIPIENT NAME:
HOLY ANGELS INC
ADDRESS:
6600 W WILKINSON RD
BELMONT, NC 28012
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
EXEMPT

RECIPIENT NAME:
PRESBYTERIAN HOME FOR CHILDREN
OF BLACK MOUNTAIN, NC
ADDRESS:
800 LAKE EDEN ROAD
BLACK MOUNTAIN, NC 28711
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SUPPORT
FOUNDATION STATUS OF RECIPIENT:
EXEMPT
AMOUNT OF GRANT PAID 10,000.

RECIPIENT NAME:

BELMONT ABBEY COLLEGE

ADDRESS:

100 BLMONT MT HOLLY RD

BELMONT, NC 28012

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

EXEMPT

RECIPIENT NAME:

CRISIS ASSISTANCE MINISTRY

ADDRESS:

500-A SPRATT ST

CHARLOTTE, NC 28206

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

EXEMPT

AMOUNT OF GRANT PAID 5,000.

RECIPIENT NAME:

ALLIANCE CHILDREN AND YOUTH

ADDRESS:

218 E. FRANKLIN BLVD

GASTONIA, NC 28052

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

EXEMPT

AMOUNT OF GRANT PAID 19,000.

PEARL DIXON BALTHIS FDN TUA

56-6041570

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

GASTON COUNTY

ADDRESS:

1744 DIXON ROAD

GASTONIA, NC 28054

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SHERWOOD ELEMENTARY SCHOOL

FOUNDATION STATUS OF RECIPIENT:

EXEMPT

AMOUNT OF GRANT PAID 36,400.

TOTAL GRANTS PAID:

75,400.

=====

STATEMENT 20

**SCHEDULE D
(Form 1041)**

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

► **Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).**

OMB No 1545-0092

2010

Name of estate or trust

PEARL DIXON BALTHIS FDN TUA

Employer identification number

56-6041570

Note: Form 5227 filers need to complete *only* Parts I and II.

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a SHORT-TERM CAPITAL GAIN DIVIDENDS		STMT 1			6,494.

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	7,208.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2009 Capital Loss Carryover Worksheet	4	()
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ►	5	13,702.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a LONG-TERM CAPITAL GAIN DIVIDENDS		STMT 1			3,914.

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	19,434.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2009 Capital Loss Carryover Worksheet	11	()
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back ►	12	23,348.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2010

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Part III Summary of Parts I and II		(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
Caution: Read the instructions before completing this part.				
13	Net short-term gain or (loss)	13		13,702.
14	Net long-term gain or (loss):			
a	Total for year	14a		23,348.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b		
c	28% rate gain	14c		
15	Total net gain or (loss). Combine lines 13 and 14a ▶	15		37,050.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation	
16	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of: a The loss on line 15, column (3) or b \$3,000 16 ()

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates	
Form 1041 filers. Complete this part only if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero. Caution: Skip this part and complete the worksheet on page 8 of the instructions if: • Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or • Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.	
Form 990-T trusts. Complete this part only if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.	

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17		
18	Enter the smaller of line 14a or 15 in column (2) but not less than zero 18			
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) 19			
20	Add lines 18 and 19 20			
21	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- . . . ▶ 21			
22	Subtract line 21 from line 20. If zero or less, enter -0- 22			
23	Subtract line 22 from line 17. If zero or less, enter -0- 23			
24	Enter the smaller of the amount on line 17 or \$2,300 24			
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ Yes. Skip lines 25 and 26; go to line 27 and check the "No" box. ☐ No. Enter the amount from line 23 25			
26	Subtract line 25 from line 24 26			
27	Are the amounts on lines 22 and 26 the same? ☐ Yes. Skip lines 27 thru 30, go to line 31 ☐ No. Enter the smaller of line 17 or line 22 27			
28	Enter the amount from line 26 (if line 26 is blank, enter -0-) 28			
29	Subtract line 28 from line 27 29			
30	Multiply line 29 by 15% (.15) 30			
31	Figure the tax on the amount on line 23. Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) 31			
32	Add lines 30 and 31 32			
33	Figure the tax on the amount on line 17. Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) 33			
34	Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) 34			

Schedule D (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2010

Name of estate or trust

PEARL DIXON BALTHIS FDN TUA

Employer identification number

56-6041570

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 48. AIR PRODS & CHEMICALS INC COM W/ RTS TO PURCHASE	03/29/2010	07/01/2010	3,118.00	3,887.00	-769.00
18. MONSANTO CO NEW COM	03/03/2010	07/01/2010	828.00	1,325.00	-497.00
9. JOHNSON & JOHNSON COM	12/18/2009	07/12/2010	541.00	578.00	-37.00
59. LOWES COS INC COM W/RIGHTS ATTACHED EXPIRING	12/18/2009	07/12/2010	1,191.00	1,399.00	-208.00
33. MICROSOFT CORP COM	12/18/2009	07/12/2010	818.00	992.00	-174.00
21. PEPSICO INC COM	01/29/2010	07/14/2010	1,332.00	1,245.00	87.00
13. RAYTHEON CO COM	12/18/2009	07/22/2010	631.00	683.00	-52.00
12. AMGEN INC COM W/RTS ATTACHED EXP 03/21/2007	12/18/2009	07/23/2010	630.00	679.00	-49.00
86. PFIZER INC COM W/RTS ATTACHED EXP 10/15/2007	03/23/2010	07/23/2010	1,250.00	1,495.00	-245.00
8. COVIDIEN PLC	01/26/2010	07/23/2010	309.00	399.00	-90.00
34. JOHNSON & JOHNSON COM	04/12/2010	07/27/2010	1,981.00	2,184.00	-203.00
5. ALLERGAN INC COM W/RIGHTS ATTACHED EXP 2/18/	12/18/2009	07/29/2010	302.00	299.00	3.00
8. EXPRESS SCRIPTS INC CL A W/RIGHTS ATTACHED EXP 7/2	06/14/2010	07/29/2010	360.00	429.00	-69.00
4. LABORATORY CORP AMER HLDGS COM NEW	05/19/2010	07/29/2010	291.00	309.00	-18.00
9. COVIDIEN PLC	01/26/2010	07/29/2010	333.00	449.00	-116.00
23. MICROSOFT CORP COM	12/18/2009	08/03/2010	597.00	691.00	-94.00
25. RAYTHEON CO COM	01/27/2010	08/04/2010	1,160.00	1,314.00	-154.00
81. CONAGRA FOODS INC COM W/RTS EXP 07/12/2006	05/19/2010	08/09/2010	1,812.00	1,989.00	-177.00
29. HEWLETT-PACKARD CO COM	12/18/2009	08/10/2010	1,235.00	1,493.00	-258.00
27. MICROSOFT CORP COM	12/18/2009	08/11/2010	665.00	812.00	-147.00
53. MICROSOFT CORP COM	12/18/2009	08/17/2010	1,313.00	1,593.00	-280.00
22. L'OREAL - ADR	12/17/2009	08/18/2010	442.00	476.00	-34.00
8. UNITED TECHNOLOGIES CORP COM	12/18/2009	08/18/2010	562.00	557.00	5.00
54. CORNING INC COM W/RTS EXP 07/15/2006	06/01/2010	08/19/2010	870.00	911.00	-41.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

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SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2010

Name of estate or trust

PEARL DIXON BALTHIS FDN TUA

Employer identification number

56-6041570

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 136. L'OREAL - ADR	03/29/2010	08/19/2010	2,711.00	2,942.00	-231.00
20. MICROSOFT CORP COM	12/18/2009	09/09/2010	482.00	601.00	-119.00
3. GOOGLE INC CL A	12/31/2009	09/14/2010	1,445.00	1,814.00	-369.00
34. HEWLETT-PACKARD CO COM	12/18/2009	09/14/2010	1,353.00	1,750.00	-397.00
1277.372 HARBOR FD INTL FD	12/09/2009	09/17/2010	69,566.00	70,000.00	-434.00
3. NETFLIX.COM INC COM	08/06/2010	09/27/2010	490.00	347.00	143.00
24. KIMBERLY-CLARK CORP COM	05/10/2010	09/28/2010	1,560.00	1,496.00	64.00
110. GENTEX CORP COM	12/17/2009	10/01/2010	2,160.00	1,960.00	200.00
7. CITRIX SYS INC COM	12/18/2009	10/04/2010	475.00	299.00	176.00
13. GENTEX CORP COM	12/17/2009	10/04/2010	255.00	232.00	23.00
94. PFIZER INC COM W/RTS ATTACHED EXP 10/15/2007	05/05/2010	10/04/2010	1,609.00	1,634.00	-25.00
23. WALGREEN CO COM W/RTS ATTACHED EXP 08/21/2006	02/24/2010	10/04/2010	764.00	741.00	23.00
31. KIMBERLY-CLARK CORP COM	06/04/2010	10/13/2010	2,070.00	1,932.00	138.00
21. UNITEDHEALTH GRP INC COM	12/18/2009	10/15/2010	755.00	674.00	81.00
89. DOMINION RES INC VA NEW COM	12/17/2009	10/21/2010	3,975.00	3,487.00	488.00
13. TARGET CORP COM	12/18/2009	10/21/2010	703.00	647.00	56.00
9. UNITED TECHNOLOGIES CORP COM	12/18/2009	10/21/2010	677.00	626.00	51.00
25. PAYCHEX INC COM	05/24/2010	10/25/2010	704.00	732.00	-28.00
45. TIME WARNER INC	12/18/2009	10/25/2010	1,429.00	1,319.00	110.00
4. MCDONALDS CORP COM	06/09/2010	10/28/2010	311.00	275.00	36.00
52. ST JUDE MED INC COM W/RTS ATTACHED EXP 0	07/26/2010	10/29/2010	1,983.00	1,997.00	-14.00
57. WALGREEN CO COM W/RTS ATTACHED EXP 08/21/2006	07/14/2010	10/29/2010	1,930.00	1,837.00	93.00
40. PAYCHEX INC COM	05/24/2010	11/04/2010	1,129.00	1,172.00	-43.00
5. COLGATE-PALMOLIVE CO COM	01/25/2010	11/10/2010	384.00	403.00	-19.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2010

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Employer identification number

56-6041570

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 23. TEVA PHARMACEUTICAL INDS LTD ADR	12/18/2009	11/10/2010	1,153.00	1,217.00	-64.00
8. APPLE COMPUTER INC COM	12/17/2009	11/11/2010	2,534.00	1,540.00	994.00
53. CISCO SYS INC COM W/RIGHTS ATTACHED EXPIRING	12/18/2009	11/11/2010	1,098.00	1,271.00	-173.00
36. DIRECTV-CLASS A	12/18/2009	11/15/2010	1,523.00	1,194.00	329.00
55. MICROSOFT CORP COM	12/18/2009	11/15/2010	1,444.00	1,653.00	-209.00
.1026 BANCO SANTANDER CENT HISPANO SA ADR	11/10/2010	11/23/2010	1.00	1.00	
9. GOLDMAN SACHS GRP INC COM	07/29/2010	12/01/2010	1,427.00	1,348.00	79.00
75. CISCO SYS INC COM W/RIGHTS ATTACHED EXPIRING	12/18/2009	12/02/2010	1,437.00	1,799.00	-362.00
6. CELGENE CORP COM	05/05/2010	12/09/2010	342.00	362.00	-20.00
6. MCDONALDS CORP COM	06/09/2010	12/09/2010	470.00	412.00	58.00
6. F5 NETWORKS INC COM	08/18/2010	12/16/2010	788.00	533.00	255.00
11. JP MORGAN CHASE & CO COM	12/18/2009	12/16/2010	440.00	466.00	-26.00
5. LABORATORY CORP AMER HLDGS COM NEW	05/19/2010	12/16/2010	436.00	387.00	49.00
15. STARBUCKS CORP COM	05/07/2010	12/16/2010	489.00	392.00	97.00
15. HESS CORP	06/28/2010	12/20/2010	1,122.00	776.00	346.00
8. COCA-COLA CO COM	01/13/2010	01/06/2011	507.00	443.00	64.00
9. COLGATE-PALMOLIVE CO COM	01/25/2010	01/06/2011	707.00	725.00	-18.00
5. MCDONALDS CORP COM	06/09/2010	01/06/2011	374.00	344.00	30.00
6. MCDONALDS CORP COM	06/09/2010	01/06/2011	447.00	412.00	35.00
11. STARBUCKS CORP COM	05/07/2010	01/06/2011	351.00	287.00	64.00
5. MCDONALDS CORP COM	06/09/2010	01/11/2011	371.00	344.00	27.00
1. PRICELINE COM INC	07/12/2010	01/11/2011	433.00	204.00	229.00
20. MCDONALDS CORP COM	06/09/2010	01/12/2011	1,478.00	1,375.00	103.00
3. VISA INC-CLASS A SHRS	05/19/2010	01/13/2011	217.00	261.00	-44.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

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SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2010

Name of estate or trust PEARL DIXON BALTHIS FDN TUA	Employer identification number 56-6041570
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Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 17. AMERICAN TOWER CORP CL A	04/12/2010	01/19/2011	833.00	719.00	114.00
42. ABBOTT LABS W/RIGHTS ATTACHED EXP 11/10/2009	05/17/2010	01/25/2011	2,010.00	2,033.00	-23.00
221. BANCO SANTANDER CENT HISPANO SA ADR	01/19/2011	01/25/2011	2,634.00	2,822.00	-188.00
116. DOW CHEM CO COM	02/22/2010	01/25/2011	4,069.00	3,262.00	807.00
94. EAST WEST BANCORP INC COM	08/19/2010	01/25/2011	1,915.00	1,610.00	305.00
152. EBAY INC COM	08/19/2010	01/25/2011	4,683.00	3,479.00	1,204.00
50. HESS CORP	07/02/2010	01/25/2011	3,863.00	2,586.00	1,277.00
17. LABORATORY CORP AMER HLDGS COM NEW	05/19/2010	01/25/2011	1,540.00	1,315.00	225.00
14. M & T BK CORP COM	10/06/2010	01/25/2011	1,209.00	962.00	247.00
95. NORDSTROM INC COM	10/21/2010	01/25/2011	3,936.00	3,426.00	510.00
72. NORTHERN TR CORP COM	12/21/2010	01/25/2011	3,769.00	3,927.00	-158.00
62. QUESTAR CORP COM W/RTS EXP 03/25/2006	11/17/2010	01/25/2011	1,087.00	943.00	144.00
122. TIME WARNER INC	02/03/2010	01/25/2011	3,956.00	3,340.00	616.00
72. ZIONS BANCORP COM	12/16/2010	01/25/2011	1,666.00	1,206.00	460.00
1. PRICELINE COM INC	07/12/2010	02/04/2011	436.00	204.00	232.00
39. CELGENE CORP COM	11/01/2010	02/08/2011	1,972.00	2,351.00	-379.00
46. NVIDIA CORP COM	01/07/2011	02/08/2011	1,100.00	881.00	219.00
50. TEXAS INSTRS INC COM	10/04/2010	02/08/2011	1,761.00	1,357.00	404.00
77. CISCO SYS INC COM W/RIGHTS ATTACHED EXPIRING	08/10/2010	02/11/2011	1,451.00	1,847.00	-396.00
11. CTRIP.COM INTERNATIONAL L, LTD. - ADR	11/18/2010	02/11/2011	469.00	514.00	-45.00
9. CTRIP.COM INTERNATIONAL L, LTD. - ADR	11/18/2010	02/14/2011	366.00	420.00	-54.00
2. NETFLIX.COM INC COM	08/06/2010	02/14/2011	492.00	252.00	240.00
10. CTRIP.COM INTERNATIONAL L, LTD. - ADR	11/18/2010	02/16/2011	399.00	467.00	-68.00
13. AMERICAN TOWER CORP CL A	04/12/2010	02/22/2011	696.00	550.00	146.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).

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OMB No 1545-0092

2010

Name of estate or trust

PEARL DIXON BALTHIS FDN TUA

Employer identification number

56-6041570

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 4. PRICELINE COM INC	07/12/2010	02/23/2011	1,703.00	815.00	888.00
3. NETFLIX.COM INC COM	08/06/2010	03/02/2011	613.00	378.00	235.00
23. CHEVRONTXACO CORP COM	01/25/2011	03/04/2011	2,389.00	2,156.00	233.00
42. NATIONWIDE HLTH PPTYS INC COM	01/25/2011	03/04/2011	1,699.00	1,556.00	143.00
12. TARGET CORP COM	07/26/2010	03/04/2011	622.00	597.00	25.00
2. NETFLIX.COM INC COM	08/06/2010	03/09/2011	387.00	252.00	135.00
73. NVIDIA CORP COM	01/07/2011	03/10/2011	1,325.00	1,398.00	-73.00
9. POTASH CORP SASK INC COM W/RTS ATTACHED	11/04/2010	03/11/2011	489.00	433.00	56.00
4. BOEING CO COM	06/01/2010	03/16/2011	271.00	256.00	15.00
9. F5 NETWORKS INC COM	08/18/2010	03/22/2011	866.00	800.00	66.00
42. NETAPP INC	09/15/2010	04/06/2011	1,951.00	2,068.00	-117.00
35. AMERICAN TOWER CORP CL A	06/04/2010	04/07/2011	1,768.00	1,479.00	289.00
7. FREEPORT MCMORAN COPPER & GOLD CL B W/RTS ATTACHED	10/04/2010	04/14/2011	365.00	329.00	36.00
25. ADOBE SYS INC COM	11/11/2010	04/26/2011	827.00	860.00	-33.00
14. FREEPORT MCMORAN COPPER & GOLD CL B W/RTS AT	10/04/2010	05/05/2011	712.00	659.00	53.00
26. CHEVRONTXACO CORP COM	01/25/2011	05/10/2011	2,702.00	2,437.00	265.00
21. COCA-COLA CO COM	01/25/2011	05/10/2011	1,408.00	1,320.00	88.00
78. HCP INC	01/25/2011	05/10/2011	2,942.00	2,844.00	98.00
4. CATERPILLAR INC COM W/RTS EXP 12/11/2006	07/27/2010	05/11/2011	439.00	293.00	146.00
8. FREEPORT MCMORAN COPPER & GOLD CL B W/RTS ATTACHED	10/04/2010	05/11/2011	387.00	376.00	11.00
9. POTASH CORP SASK INC COM W/RTS ATTACHED	11/04/2010	05/11/2011	472.00	433.00	39.00
4. CATERPILLAR INC COM W/RTS EXP 12/11/2006	07/27/2010	05/12/2011	432.00	293.00	139.00
9. BORG WARNER INC COM	07/14/2010	05/17/2011	635.00	398.00	237.00
7. CATERPILLAR INC COM W/RTS EXP 12/11/2006	07/27/2010	05/17/2011	714.00	513.00	201.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
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OMB No 1545-0092

2010

Name of estate or trust PEARL DIXON BALTHIS FDN TUA	Employer identification number 56-6041570
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Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 13. CAMERON INT'L CORP COM	08/13/2010	05/18/2011	624.00	491.00	133.00
4. SALESFORCE COM INC	05/21/2010	05/18/2011	536.00	356.00	180.00
6. STARWOOD HOTELS & RESORTS WORLDWIDE	01/26/2011	05/18/2011	344.00	371.00	-27.00
9. NORDSTROM INC COM	09/15/2010	05/19/2011	413.00	324.00	89.00
28. TEXTRON INC COM	04/11/2011	05/19/2011	653.00	748.00	-95.00 *
11. CHECK POINT SOFTWARE TECH COM	07/12/2010	05/19/2011	601.00	360.00	241.00
107. CORNING INC COM W/RTS EXP 07/15/2006	06/10/2010	05/20/2011	2,122.00	1,806.00	316.00
31.99957 TEXTRON INC COM	04/11/2011	05/20/2011	736.00	903.00	-167.00
5.00043 TEXTRON INC COM	04/11/2011	05/20/2011	115.00	141.00	-26.00 *
7. FREEPORT MCMORAN COPPER & GOLD CL B W/RTS ATTACHED	10/04/2010	05/23/2011	331.00	329.00	2.00
10. CAMERON INT'L CORP COM	08/13/2010	05/24/2011	475.00	378.00	97.00
4. EOG RES INC COM	10/13/2010	05/24/2011	422.00	403.00	19.00
9. CHECK POINT SOFTWARE TECH COM	07/12/2010	05/24/2011	481.00	294.00	187.00
10. BORG WARNER INC COM	08/10/2010	05/25/2011	690.00	442.00	248.00
6. FLOWSERVE CORP COM	02/02/2011	05/26/2011	702.00	763.00	-61.00
6. FIRST SOLAR INC	03/15/2011	05/27/2011	708.00	938.00	-230.00
27. TEXTRON INC COM	04/11/2011	05/31/2011	613.00	788.00	-175.00
15. CAMERON INT'L CORP COM	08/13/2010	06/01/2011	694.00	567.00	127.00
57. HOSPITALITY PPTYS TR SH BEN INT	01/25/2011	06/07/2011	1,310.00	1,459.00	-149.00
3. NETFLIX.COM INC COM	08/06/2010	06/07/2011	798.00	418.00	380.00
2. SINA.COM SHS	01/13/2011	06/09/2011	179.00	170.00	9.00
4. SINA.COM SHS	01/13/2011	06/09/2011	359.00	340.00	19.00
6. FLOWSERVE CORP COM	02/02/2011	06/10/2011	655.00	763.00	-108.00
40. SCANA CORP W-I COM	01/25/2011	06/10/2011	1,564.00	1,697.00	-133.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

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Department of the Treasury
Internal Revenue Service

**Continuation Sheet for Schedule D
(Form 1041)**

► See instructions for Schedule D (Form 1041).

► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2010

Name of estate or trust

PEARL DIXON BALTHIS FDN TUA

Employer identification number

56-6041570

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

[illegible]

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b	7,208.00
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For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

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* INDICATES WASH SALE

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Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

PEARL DIXON BALTHIS FDN TUA

56-6041570

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 270.792 DODGE & COX INT'L STOCK FD # 1048	12/21/2006	09/17/2010	8,817.00	11,829.00	-3,012.00
701.289 MORGAN STANLEY INS INTN RE I #8001	12/21/2006	09/17/2010	12,511.00	18,299.00	-5,788.00
906.344 ROWE T PRICE REAL ESTATE FD COM	12/21/2006	09/22/2010	15,000.00	16,676.00	-1,676.00
1895.889 DODGE & COX INT'L STOCK FD # 1048	09/26/2008	11/17/2010	65,844.00	82,817.00	-16,973.00
359.053 GOLDMAN SACHS GROWTH OPPORTUNITIES INSTIT	05/29/2009	11/17/2010	8,190.00	5,774.00	2,416.00
2712.05 PERKINS MID CAP VALUE F/C I #1167	05/29/2009	11/17/2010	58,038.00	45,002.00	13,036.00
1739.117 MORGAN STANLEY INS INTN RE I #8001	11/25/2008	11/17/2010	32,991.00	45,379.00	-12,388.00
3352.832 WESTPORT FDS SMALL CAP FD CL I	05/29/2009	11/17/2010	77,316.00	58,004.00	19,312.00
98. CONAGRA FOODS INC COM W/RTS EXP 07/12/2006	12/17/2009	12/23/2010	2,194.00	2,181.00	13.00
62. CONAGRA FOODS INC COM W/RTS EXP 07/12/2006	12/17/2009	12/27/2010	1,383.00	1,380.00	3.00
21. VISA INC-CLASS A SHRS	12/18/2009	01/13/2011	1,521.00	1,828.00	-307.00
12. APPLE COMPUTER INC COM	12/17/2009	01/25/2011	4,076.00	2,310.00	1,766.00
171. BANCO SANTANDER CENT HISPANO SA ADR	12/17/2009	01/25/2011	2,038.00	2,183.00	-145.00
201. BANK AMER CORP COM	12/17/2009	01/25/2011	2,715.00	3,075.00	-360.00
70. BAXTER INTL INC COM W/RIGHTS ATTACHED EXP 3/23/	12/17/2009	01/25/2011	3,552.00	4,073.00	-521.00
50. BOEING CO COM	12/17/2009	01/25/2011	3,585.00	2,759.00	826.00
56. DEERE & CO COM	12/17/2009	01/25/2011	4,968.00	3,025.00	1,943.00
47. DIAGEO PLC SPONSORED ADR NEW	12/17/2009	01/25/2011	3,688.00	3,180.00	508.00
224. E M C CORP MASS COM	12/17/2009	01/25/2011	5,407.00	3,772.00	1,635.00
72. HERSHEY FOODS CORP COM W/RTS ATTACHED EXP 12/15/20	12/17/2009	01/25/2011	3,573.00	2,569.00	1,004.00
124. HOME DEPOT INC COM	12/17/2009	01/25/2011	4,585.00	3,600.00	985.00
65. HOSPIRA INC	12/17/2009	01/25/2011	3,601.00	3,120.00	481.00
35. INTL BUSINESS MACHINES CORP COM	12/17/2009	01/25/2011	5,627.00	4,476.00	1,151.00
90. INTUIT COM W/RTS EXP 5/1/2008	12/17/2009	01/25/2011	4,180.00	2,706.00	1,474.00
95. JP MORGAN CHASE & CO COM	12/17/2009	01/25/2011	4,234.00	3,853.00	381.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

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Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

PEARL DIXON BALTHIS FDN TUA

56-6041570

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 29. M & T BK CORP COM	12/17/2009	01/25/2011	2,504.00	1,993.00	511.00
536. MITSUBISHI UFJ FINANCIAL GROUP INC ADR	12/17/2009	01/25/2011	2,921.00	2,787.00	134.00
64. NEXTERA ENERGY INC	12/17/2009	01/25/2011	3,479.00	3,516.00	-37.00
59. NORTHROP GRUMMAN CORP COM W/RTS ATTACHED EXP 10/3	12/17/2009	01/25/2011	4,076.00	3,277.00	799.00
179. ORACLE CORP COM	12/17/2009	01/25/2011	5,746.00	4,135.00	1,611.00
69. PENNEY J C INC COM	12/17/2009	01/25/2011	2,211.00	1,893.00	318.00
43. POLO RALPH LAUREN CORP CL A	12/17/2009	01/25/2011	4,459.00	3,410.00	1,049.00
61. QUESTAR MARKET RESOURCES INC	12/17/2009	01/25/2011	2,347.00	1,679.00	668.00
61. QUESTAR CORP COM W/RTS EXP 03/25/2006	12/17/2009	01/25/2011	1,070.00	928.00	142.00
54. SPX CORP COM RTS EXP 06/25/2006	12/17/2009	01/25/2011	4,133.00	2,969.00	1,164.00
116. SAFEWAY INC COM NEW	12/17/2009	01/25/2011	2,426.00	2,472.00	-46.00
109. SCHWAB CHARLES CORP NEW COM	12/17/2009	01/25/2011	1,958.00	1,977.00	-19.00
120. TEXAS INSTRS INC COM	12/17/2009	01/25/2011	4,058.00	3,056.00	1,002.00
98. UNILEVER N V NEW YORK SHS NEW	12/17/2009	01/25/2011	2,987.00	3,116.00	-129.00
104. ZIONS BANCORP COM	12/17/2009	01/25/2011	2,407.00	1,742.00	665.00
169. WEATHERFORD INTL LTD	12/17/2009	01/25/2011	3,659.00	2,837.00	822.00
24. DANAHER CORP COM	12/18/2009	01/26/2011	1,135.00	900.00	235.00
12. DANAHER CORP COM	12/18/2009	01/27/2011	560.00	450.00	110.00
17. 3M CO COM	12/18/2009	01/31/2011	1,491.00	1,349.00	142.00
29. SOUTHWESTERN ENERGY CO COM	12/18/2009	02/08/2011	1,122.00	1,371.00	-249.00
4. CISCO SYS INC COM W/RIGHTS ATTACHED EXPIRING	12/18/2009	02/11/2011	75.00	96.00	-21.00
3. AMAZON COM INC COM	12/18/2009	03/02/2011	509.00	392.00	117.00
25. TARGET CORP COM	01/21/2010	03/04/2011	1,295.00	1,244.00	51.00
14. ADOBE SYS INC COM	12/18/2009	03/15/2011	462.00	481.00	-19.00
10. COLGATE-PALMOLIVE CO COM	01/25/2010	03/18/2011	767.00	806.00	-39.00

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

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Employer identification number

PEARL DIXON BALTHIS FDN TUA

56-6041570

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 7. ROCKWELL COLLINS-WI COM	03/08/2010	03/21/2011	447.00	431.00	16.00
11. ROCKWELL COLLINS-WI COM	03/08/2010	03/23/2011	696.00	678.00	18.00
16. AMGEN INC COM W/RTS ATTACHED EXP 03/21/2007	01/27/2010	03/31/2011	861.00	905.00	-44.00
5. CANADIAN NAT RES LTD COM CN\$	12/18/2009	04/14/2011	222.00	174.00	48.00
16. AMGEN INC COM W/RTS ATTACHED EXP 03/21/2007	02/09/2010	04/18/2011	881.00	905.00	-24.00
27. ADOBE SYS INC COM	12/18/2009	04/26/2011	894.00	928.00	-34.00
12. COCA-COLA CO COM	01/13/2010	04/28/2011	801.00	664.00	137.00
43. DENBURY RESOURCES INC COM	03/10/2010	04/28/2011	942.00	688.00	254.00
5. CUMMINS INC COM	12/18/2009	05/11/2011	571.00	275.00	296.00
5. SCHLUMBERGER LTD COM	01/11/2010	05/11/2011	412.00	375.00	37.00
14. CANADIAN NAT RES LTD COM CN\$	12/18/2009	05/12/2011	581.00	486.00	95.00
31. EMERSON ELEC CO COM W/RTS ATTACHED EXP 11/01/20	12/18/2009	05/12/2011	1,681.00	1,288.00	393.00
3. CUMMINS INC COM	12/18/2009	05/13/2011	331.00	165.00	166.00
29. ALLEGHENY TECHNOLOGIES INC COM	05/04/2010	05/17/2011	1,934.00	1,468.00	466.00
6. CUMMINS INC COM	12/18/2009	05/17/2011	641.00	331.00	310.00
3. AMAZON COM INC COM	12/18/2009	05/18/2011	585.00	392.00	193.00
3. CITRIX SYS INC COM	12/18/2009	05/18/2011	248.00	134.00	114.00
10. ORACLE CORP COM	12/18/2009	05/18/2011	337.00	264.00	73.00
22. STARBUCKS CORP COM	05/07/2010	05/18/2011	799.00	575.00	224.00
8. ALLERGAN INC COM W/RIGHTS ATTACHED EXP 2/18/	12/18/2009	05/19/2011	664.00	521.00	143.00
5. CITRIX SYS INC COM	12/18/2009	05/19/2011	416.00	223.00	193.00
8. RANGE RES CORP COM	12/18/2009	05/19/2011	416.00	407.00	9.00
12. UNITEDHEALTH GRP INC COM	12/18/2009	05/19/2011	608.00	399.00	209.00
8. CUMMINS INC COM	04/29/2010	05/20/2011	855.00	441.00	414.00
4. ALLERGAN INC COM W/RIGHTS ATTACHED EXP 2/18/	12/18/2009	05/24/2011	329.00	261.00	68.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

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Employer identification number

56-6041570

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6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b	19,434.00
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Schedule D-1 (Form 1041) 2010

FEDERAL CAPITAL GAIN DIVIDENDS
=====SHORT-TERM CAPITAL GAIN DIVIDENDS

JPMORGAN HIGH YIELD FUND SS #3580	154.00
PIMCO FDS PAC INVT MGMT SER LOW DURATION FD I	1,541.00
PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD I	4,078.00
PIMCO FOREIGN BOND FUND-INST	722.00

TOTAL SHORT-TERM CAPITAL GAIN DIVIDENDS

6,494.00
=====LONG-TERM CAPITAL GAIN DIVIDENDS

15% RATE CAPITAL GAIN DIVIDENDS

GOLDMAN SACHS GROWTH OPPORTUNITIES INSTITUTI	709.00
JPMORGAN HIGH YIELD FUND SS #3580	531.00
PIMCO FDS PAC INVT MGMT SER LOW DURATION FD I	145.00
PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD I	307.00
PIMCO FOREIGN BOND FUND-INST	1,864.00
	358.00

TOTAL 15% RATE CAPITAL GAIN DIVIDENDS

3,914.00

TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS

3,914.00
=====