Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Nonexempt Charitable Trust**
**Treated as a Private Foundation**

**Note.** The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

**2010**

**For calendar year 2010, or tax year beginning** 07/01 **, 2010, and ending** 06/30, **20**11

**G** Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation
GUND;GEOFFREY FDN AG-T/A 20
-102300980420

**A** Employer identification number
52-1509128

Number and street (or P O box number if mail is not delivered to street address) Room/suite
C/O KEYBANK, 4900 TIEDEMAN RD. OH-01-49-01

**B** Telephone number (see page 10 of the instructions)
(216) 813-4570

City or town, state, and ZIP code
BROOKLYN, OH 44144-2302

**C** If exemption application is pending, check here ▶ ☐

**D** 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

**H** Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

**I** Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 33,121,631.

**J** Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) ______________________
*(Part I, column (d) must be on cash basis.)*

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**Part I** **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)*

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| | **Revenue** | | | | |
| 1 | Contributions, gifts, grants, etc , received (attach schedule) | 1,000,000. | | | |
| 2 | Check ▶ ☐ if the foundation is **not** required to attach Sch. B | | | | |
| 3 | Interest on savings and temporary cash investments | 104. | 104. | | STMT 1 |
| 4 | Dividends and interest from securities | 46,012. | 46,012. | | STMT 2 |
| 5a | Gross rents | | | | |
| b | Net rental income or (loss) ______ | | | | |
| 6a | Net gain or (loss) from sale of assets not on line 10 | -487,748. | | | |
| b | Gross sales price for all assets on line 6a 40,283. | | | | |
| 7 | Capital gain net income (from Part IV, line 2) | | | | |
| 8 | Net short-term capital gain | | | | |
| 9 | Income modifications | | | | |
| 10a | Gross sales less returns and allowances | | | | |
| b | Less: Cost of goods sold | | | | |
| c | Gross profit or (loss) (attach schedule) | | | | |
| 11 | Other income (attach schedule) | | | | |
| 12 | **Total.** Add lines 1 through 11 | 558,368. | 46,116. | | |
| | **Operating and Administrative Expenses** | | | | |
| 13 | Compensation of officers, directors, trustees, etc | 8,406. | 6,304. | | 2,101. |
| 14 | Other employee salaries and wages | | NONE | NONE | |
| 15 | Pension plans, employee benefits | | NONE | NONE | |
| 16a | Legal fees (attach schedule) | | | | |
| b | Accounting fees (attach schedule) STMT 3 | 7,500. | NONE | NONE | 7,500. |
| c | Other professional fees (attach schedule) STMT 4 | 167,969. | 125,976. | | 41,992. |
| 17 | Interest | | | | |
| 18 | Taxes (attach schedule) (see page 14 of the instructions) STMT 5 | 4,451. | | | |
| 19 | Depreciation (attach schedule) and depletion | | | | |
| 20 | Occupancy | | | | |
| 21 | Travel, conferences, and meetings | | NONE | NONE | |
| 22 | Printing and publications | | NONE | NONE | |
| 23 | Other expenses (attach schedule) STMT 6 | 32. | | | |
| 24 | **Total operating and administrative expenses.** Add lines 13 through 23 | 188,358. | 132,280. | NONE | 51,593. |
| 25 | Contributions, gifts, grants paid | 1,322,767. | | | 1,322,767. |
| 26 | **Total expenses and disbursements** Add lines 24 and 25 | 1,511,125. | 132,280. | NONE | 1,374,360. |
| 27 | Subtract line 26 from line 12: | | | | |
| a | **Excess of revenue over expenses and disbursements** | -952,757. | | | |
| b | **Net investment income** (if negative, enter -0-) | | -0- | | |
| c | **Adjusted net income** (if negative, enter -0-) | | | | |

5P

**Part II** **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

| | | | Beginning of year | End of year | |
|---|---|---|---|---|---|
| | | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 | Cash - non-interest-bearing | | | |
| | 2 | Savings and temporary cash investments | 85,091. | 21,021. | 21,021. |
| | 3 | Accounts receivable ▶<br>Less: allowance for doubtful accounts ▶ | | | |
| | 4 | Pledges receivable ▶<br>Less: allowance for doubtful accounts ▶ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions) | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶<br>Less: allowance for doubtful accounts ▶ NONE | | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | | | |
| | 10 a | Investments - U.S and state government obligations (attach schedule) | | | |
| | b | Investments - corporate stock (attach schedule) | | | |
| | c | Investments - corporate bonds (attach schedule) | | | |
| | 11 | Investments - land, buildings, and equipment: basis ▶<br>Less accumulated depreciation (attach schedule) ▶ | | | |
| | 12 | Investments - mortgage loans | | | |
| | 13 | Investments - other (attach schedule) STMT 7 | 24,929,228. | 24,040,543. | 33,100,610. |
| | 14 | Land, buildings, and equipment: basis ▶<br>Less accumulated depreciation (attach schedule) ▶ | | | |
| | 15 | Other assets (describe ▶ ) | | | |
| | 16 | **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I) | 25,014,319. | 24,061,564. | 33,121,631. |
| Liabilities | 17 | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | | | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | |
| | 22 | Other liabilities (describe ▶ ) | | | |
| | 23 | **Total liabilities** (add lines 17 through 22) | | | |
| Net Assets or Fund Balances | | **Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 | Unrestricted | | | |
| | 25 | Temporarily restricted | | | |
| | 26 | Permanently restricted | | | |
| | | **Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒** | | | |
| | 27 | Capital stock, trust principal, or current funds | 25,014,319. | 24,061,564. | |
| | 28 | Paid-in or capital surplus, or land, bldg, and equipment fund | | | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | | | |
| | 30 | **Total net assets or fund balances** (see page 17 of the instructions) | 25,014,319. | 24,061,564. | |
| | 31 | **Total liabilities and net assets/fund balances** (see page 17 of the instructions) | 25,014,319. | 24,061,564. | |

**Part III** **Analysis of Changes in Net Assets or Fund Balances**

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 25,014,319. |
| 2 | Enter amount from Part I, line 27a | 2 | -952,757. |
| 3 | Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 8 | 3 | 2. |
| 4 | Add lines 1, 2, and 3 | 4 | 24,061,564. |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 24,061,564. |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P-Purchase D-Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | SEE PART IV DETAIL | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | | | |
|---|---|---|---|
| 2 Capital gain net income or (net capital loss) | If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 | 2 | -487,748. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions).<br>If (loss), enter -0- in Part I, line 8 | | 3 | |

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2009 | 1,031,728. | 25,315,252. | 0.04075519375 |
| 2008 | 1,007,576. | 20,521,318. | 0.04909899062 |
| 2007 | 1,642,648. | 24,994,894. | 0.06571934252 |
| 2006 | 1,117,772. | 29,639,954. | 0.03771166446 |
| 2005 | 1,698,102. | 25,884,229. | 0.06560373114 |

| | | |
|---|---|---|
| 2 **Total** of line 1, column (d) | 2 | 0.25888892249 |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0.05177778450 |
| 4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5 | 4 | 30,200,729. |
| 5 Multiply line 4 by line 3 | 5 | 1,563,727. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | 6 | |
| 7 Add lines 5 and 6 | 7 | 1,563,727. |
| 8 Enter qualifying distributions from Part XII, line 4 | 8 | 1,374,360. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

| | | | | | |
|---|---|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . .<br>Date of ruling or determination letter: _______________ (attach copy of ruling letter if necessary - see instructions) | | | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b . . . . . . . . . . . . . . . . . . . . . . . | | | 1 | NONE |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . | | | 2 | |
| 3 | Add lines 1 and 2 . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . | | | 3 | NONE |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . | | | 4 | NONE |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- . . . . . . . . . . . . | | | 5 | NONE |
| 6 | Credits/Payments: | | | | |
| a | 2010 estimated tax payments and 2009 overpayment credited to 2010 . . . . | 6a | | | |
| b | Exempt foreign organizations-tax withheld at source . . . . . . . . . . . . . | 6b | NONE | | |
| c | Tax paid with application for extension of time to file (Form 8868) . . . . . . . | 6c | NONE | | |
| d | Backup withholding erroneously withheld . . . . . . . . . . . . . . . . . | 6d | | | |
| 7 | Total credits and payments. Add lines 6a through 6d . . . . . . . . . . . . . . . . . . . . . . . . . . . . . | | | 7 | NONE |
| 8 | Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached . . . . . . . | | | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** . . . . . . . . . . . . . . . . ▶ | | | 9 | NONE |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** . . . . . . . . . . ▶ | | | 10 | |
| 11 | Enter the amount of line 10 to be: **Credited to 2011 estimated tax ▶** **Refunded ▶** | | | 11 | |

## Part VII-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . . . . . . . . . . . . . . . . . . . . . . . . . | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . .<br>*If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.* | 1b | | X |
| c | Did the foundation file **Form 1120-POL** for this year? . . . . . . . . . . . . . . . . . . . . . . . . . . | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation ▶ $ ________________ (2) On foundation managers. ▶ $ ________________ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________________ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . . . . . . . . . . . . .<br>*If "Yes," attach a detailed description of the activities.* | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* . . . . . . . . . . . . | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? . . . . . . . . . . . . | 4a | | X |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? . . . . . . . . . . . . . . . . . . . . . . . . | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . . . . . . . .<br>*If "Yes," attach the statement required by General Instruction T.* | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>● By language in the governing instrument, or<br>● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . . . . . . . . . . . . . . . . . . . . . . . . . . | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV* . . | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ STMT 9 | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* . . . . . . . . . . . . . | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV* . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . | 10 | | X |

## Part VII-A Statements Regarding Activities *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions) | 11 | | X |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ N/A

14 The books are in care of ▶ KEYBANK, N.A. Telephone no. ▶ (216)813-4556

Located at ▶ 4900 TIEDEMAN ROAD, BROOKLYN, OH ZIP + 4 ▶ 44144

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶ | | | |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly): | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010? | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | |
| a | At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No<br>If "Yes," list the years ▶ ______ , ______ , ______ , ______ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 22 of the instructions.) | 2b | | X |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here<br>▶ ______ , ______ , ______ , ______ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2010 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)* | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010? | 4b | | X |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

**5a** During the year did the foundation pay or incur any amount to:

**(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

**(2)** Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

**(3)** Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

**(4)** Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

**(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☒

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

*If "Yes," attach the statement required by Regulations section 53.4945-5(d).*

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** | Yes: | No: X

*If "Yes" to 6b, file Form 8870.*

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| SEE STATEMENT 10 | | 8,406. | -0- | -0- |
| | | | | |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | NONE | NONE | NONE |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ NONE

Form **990-PF** (2010)

JSA
0E1460 1 000

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

**3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | NONE |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services ▶ | | NONE |

## Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 NONE | |
| 2 | |
| 3 | |
| 4 | |

## Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|---|
| 1 NONE | |
| 2 | |
| All other program-related investments See page 24 of the instructions.<br>3 NONE | |
| **Total.** Add lines 1 through 3 ▶ | |

## Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 30,617,241. |
| b | Average of monthly cash balances | 1b | 43,398. |
| c | Fair market value of all other assets (see page 25 of the instructions) | 1c | NONE |
| d | **Total** (add lines 1a, b, and c) | 1d | 30,660,639. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | NONE |
| 3 | Subtract line 2 from line 1d | 3 | 30,660,639. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions) | 4 | 459,910. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 30,200,729. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 1,510,036. |

## Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 1,510,036. |
| 2a | Tax on investment income for 2010 from Part VI, line 5 | 2a | NONE | | |
| b | Income tax for 2010. (This does not include the tax from Part VI.) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | NONE |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | | | 3 | 1,510,036. |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | NONE |
| 5 | Add lines 3 and 4 | | | 5 | 1,510,036. |
| 6 | Deduction from distributable amount (see page 25 of the instructions) | | | 6 | NONE |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | | | 7 | 1,510,036. |

## Part XII Qualifying Distributions (see page 25 of the instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 1,374,360. |
| b | Program-related investments - total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | NONE |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | NONE |
| b | Cash distribution test (attach the required schedule) | 3b | NONE |
| 4 | **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 1,374,360. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions) | 5 | N/A |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 1,374,360. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

## Part XIII Undistributed Income (see page 26 of the instructions)

| | | | (a) Corpus | (b) Years prior to 2009 | (c) 2009 | (d) 2010 |
|---|---|---|---|---|---|---|
| 1 | Distributable amount for 2010 from Part XI, line 7 | | | | | 1,510,036. |
| 2 | Undistributed income, if any, as of the end of 2010: | | | | | |
| a | Enter amount for 2009 only | | | | 1,245,724. | |
| b | Total for prior years: 20_____,20_____,20_____ | | | NONE | | |
| 3 | Excess distributions carryover, if any, to 2010: | | | | | |
| a | From 2005 | NONE | | | | |
| b | From 2006 | NONE | | | | |
| c | From 2007 | NONE | | | | |
| d | From 2008 | NONE | | | | |
| e | From 2009 | NONE | | | | |
| f | **Total** of lines 3a through e | | NONE | | | |
| 4 | Qualifying distributions for 2010 from Part XII, line 4: ▶ $ 1,374,360. | | | | | |
| a | Applied to 2009, but not more than line 2a | | | | 1,245,724. | |
| b | Applied to undistributed income of prior years (Election required - see page 26 of the instructions) | | | NONE | | |
| c | Treated as distributions out of corpus (Election required - see page 26 of the instructions) | | NONE | | | |
| d | Applied to 2010 distributable amount | | | | | 128,636. |
| e | Remaining amount distributed out of corpus | | NONE | | | |
| 5 | Excess distributions carryover applied to 2010 *(If an amount appears in column (d), the same amount must be shown in column (a).)* | | NONE | | | NONE |
| 6 | **Enter the net total of each column as indicated below:** | | | | | |
| a | Corpus Add lines 3f, 4c, and 4e. Subtract line 5 | | NONE | | | |
| b | Prior years' undistributed income. Subtract line 4b from line 2b | | | NONE | | |
| c | Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | NONE | | |
| d | Subtract line 6c from line 6b. Taxable amount - see page 27 of the instructions | | | NONE | | |
| e | Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see page 27 of the instructions | | | | | |
| f | Undistributed income for 2010. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011 | | | | | 1,381,400. |
| 7 | Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) | | NONE | | | |
| 8 | Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions) | | NONE | | | |
| 9 | **Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a | | NONE | | | |
| 10 | Analysis of line 9: | | | | | |
| a | Excess from 2006 | NONE | | | | |
| b | Excess from 2007 | NONE | | | | |
| c | Excess from 2008 | NONE | | | | |
| d | Excess from 2009 | NONE | | | | |
| e | Excess from 2010 | NONE | | | | |

**Part XIV** **Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling . . . . . . . . . . . . . ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2010 | (b) 2009 | (c) 2008 | (d) 2007 | (e) Total |
| **2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . . . . | | | | | |
| **b** 85% of line 2a . . . . . | | | | | |
| **c** Qualifying distributions from Part XII, line 4 for each year listed . | | | | | |
| **d** Amounts included in line 2c not used directly for active conduct of exempt activities . . . . . | | | | | |
| **e** Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . . . | | | | | |
| **3** Complete 3a, b, or c for the alternative test relied upon<br>**a** "Assets" alternative test - enter<br>(1) Value of all assets . . . | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i). . . . . | | | | | |
| **b** "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . | | | | | |
| **c** "Support" alternative test - enter<br>(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . . . | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii) . . . . . . | | | | | |
| (3) Largest amount of support from an exempt organization . . . . . | | | | | |
| (4) Gross investment income . | | | | | |

**Part XV** **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)**

**1** **Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

GEOFFREY GUND

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

**2** **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number of the person to whom applications should be addressed:

SEE STATEMENT 11

**b** The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT FOR LINE 2

**c** Any submission deadlines:

N/A

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

## Part XV Supplementary Information (continued)

### 3 Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| a *Paid during the year* | | | | |
| SEE STATEMENT 12 | | | - | |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ 3a | | | | 1,322,767. |
| **b** *Approved for future payment* | | | | |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ 3b | | | | |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See page 28 of the instructions ) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 104. | |
| 4 Dividends and interest from securities | | | 14 | 46,012. | |
| 5 Net rental income or (loss) from real estate. | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | -487,748. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | | | -441,632. | |

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . . . . . . . 13 -441,632.

(See worksheet in line 13 instructions on page 29 to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions.) |
|---|---|
| | NOT APPLICABLE |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

| | | Yes | No |
|---|---|---|---|
| a Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| (1) Cash | 1a(1) | | X |
| (2) Other assets | 1a(2) | | X |
| b Other transactions: | | | |
| (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| (4) Reimbursement arrangements | 1b(4) | | X |
| (5) Loans or loan guarantees | 1b(5) | | X |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date 11/26/11 | Title

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| Judy Lowther | Lowther | 11-09-11 | | |

Firm's name ▶ KEYBANK NATIONAL ASSOCIATION | Firm's EIN ▶

Firm's address ▶ 4900 TIEDEMAN RD. OH-01-49-0150
BROOKLYN, OH 44144 | Phone no.

Form 990-PF (2010)

Sandra C. Hon, CPA 11/10/11

ERNST & YOUNG LLP
8484 Westpark Drive
McLean, VA 22102

JSA
0E1493 1 000

**Schedule B**
**(Form 990, 990-EZ, or 990-PF)**
Department of the Treasury
Internal Revenue Service

# Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**

OMB No 1545-0047

**2010**

**Name of the organization**

GUND;GEOFFREY FDN AG-T/A 20

**Employer identification number**

52-1509128

**Organization type** (check one).

| **Filers of:** | **Section:** |
|---|---|
| Form 990 or 990-EZ | ☐ 501(c)( ) (enter number) organization |
| | ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation |
| | ☐ 527 political organization |
| Form 990-PF | ☒ 501(c)(3) exempt private foundation |
| | ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation |
| | ☐ 501(c)(3) taxable private foundation |

Check if your organization is covered by the **General Rule** or a **Special Rule.**
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of $5,000 or more during the year . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ $ __________

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

| Name of organization | Employer identification number |
|---|---|
| GUND;GEOFFREY FDN AG-T/A 20 | 52-1509128 |

**Part I** **Contributors** (see instructions)

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Aggregate contributions | (d) Type of contribution |
|---|---|---|---|
| 1 | GEOFFREY GUND<br>40 EAST 94TH STREET<br>NEW YORK, NY 10128 | $ 1,000,000. | Person ☒<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II if there is a noncash contribution.) |

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Aggregate contributions | (d) Type of contribution |
|---|---|---|---|
|  |  | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II if there is a noncash contribution.) |

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Aggregate contributions | (d) Type of contribution |
|---|---|---|---|
|  |  | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II if there is a noncash contribution.) |

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Aggregate contributions | (d) Type of contribution |
|---|---|---|---|
|  |  | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II if there is a noncash contribution.) |

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Aggregate contributions | (d) Type of contribution |
|---|---|---|---|
|  |  | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II if there is a noncash contribution.) |

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Aggregate contributions | (d) Type of contribution |
|---|---|---|---|
|  |  | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II if there is a noncash contribution.) |

## FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| CHECKING ACCT INTEREST | 104. | 104. |
| TOTAL | 104. | 104. |

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION | | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|---|
| DOMESTIC DIVIDENDS | | 43,800. | 43,800. |
| VIC FDS FINC RESERVES FUND | | 2,212. | 2,212. |
| | TOTAL | 46,012. | 46,012. |

## FORM 990PF, PART I - ACCOUNTING FEES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME | CHARITABLE PURPOSES |
|---|---|---|---|---|
| TAX PREPARATION FEE (NON-ALLOC | 7,500. | | | 7,500. |
| TOTALS | 7,500. | NONE | NONE | 7,500. |

## FORM 990PF, PART I - OTHER PROFESSIONAL FEES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | CHARITABLE PURPOSES |
|---|---|---|---|
| GOLDMAN SACHS INVESTMENT FEES | 167,969. | 125,976. | 41,992. |
| TOTALS | 167,969. | 125,976. | 41,992. |

FORM 990PF, PART I - TAXES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS |
|---|---|
| FEDERAL TAX PAYMENT - PRIOR YE | 4,451. |
| TOTALS | 4,451. |

STATEMENT 5

FORM 990PF, PART I - OTHER EXPENSES

| DESCRIPTION | | REVENUE AND EXPENSES PER BOOKS |
|---|---|---|
| CHK ACCT FEES | | 32. |
| | TOTALS | 32. |

STATEMENT 6

CHARITABLE TRUSTS HOLDINGS REPORT

REPORT TAC-CRR41
RUN DATE 2011-07-16
BUS DATE 2011-07-15
PAGE 142
SAR ID TAC000PCRR41

K-RG-OFF-ACCOUNT ACCOUNT NAME TAX ANALYST/NAME
0-10-230-0980420 GUND GEOFFREY FDN CUST-T/A 655 J L LOWTHER-FAM 216-813-4570
ISCAL YEAR CODE 0631 AS OF 06-30-2011

| CUSIP | ASSET NAME | PRINCIPAL MV | INCOME MV | TAX COST | UNITS |
|---|---|---|---|---|---|
| 478160104 | JOHNSON & JOHNSON<br>COM | 1,330,400.00 | 0.00 | 1,305,718.00 | 20,000.000 |
| 48213P106 | JUST FOR FEET INC<br>COM | 0.59 | 0.00 | 104,015.00 | 5,860.000 |
| 80517Q100 | SAVIENT PHARMACEUTICALS INC<br>COM | 539,280.00 | 0.00 | 1,007,026.65 | 72,000.000 |
| 926464678 | VICTORY FINANCIAL RESERVES FUND | 1812,288.25<br>~~1,872,288.25~~ | 31,481.94<br>~~30,176.94~~ | 1843 770.19<br>~~1,902,465.19~~ | 1,902,465.190 |
|  | *** TOTAL *** | 3,741,968.84 | ~~30,176.94~~<br>31,441.94 | ~~4,319,224.84~~<br>4260,529.84 | 2,000,325.190 |

Statement ~~6~~ 7

```
                    CHARITABLE TRUSTS HOLDINGS REPORT                                      REPORT   TAC-CRR41
                                                                                           RUN DATE 2011-07-16
K-RG-OFF-ACCOUNT        ACCOUNT NAME                    TAX ANALYST/NAME                   BUS DATE 2011-07-15
0-10-230-0980421  GUND GEOFFREY FDN #2    CUST-T/A      655   J L LOWTHER-FAM     216-813-4570      PAGE     143
ISCAL YEAR CODE 0631       AS OF 06-30-2011                                                SAR ID   TAC000PCRR41

    CUSIP         ASSET NAME                      PRINCIPAL MV        INCOME MV      TAX COST     UNITS

    284131208   ELAN PLC                          227,400.00             0.00      704,950.00         20,000.000
                  ADR

                                 *** TOTAL ***    227,400.00             0.00      704,950.00         20,000.000
```

## PUBLIC EQUITY

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Adjusted Cost / Original Cost | Unrealized Gain (Loss) | Yield to Maturity / Current Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **US EQUITY** | | | | | | | | |
| DYNAMIC EQUITY MANAGERS PORTFOLIO 1 [SERIES] | | | | | | | | |
| U S DOLLAR | 1 40 | 1 0000 | 1 40 | | 1 40 | | | |
| | **Quantity** | **Market Price** | **Market Value / Accrued Income** | **Contributions/ Distributions To Date** | **Net Contribution To Date** | **Economic Gain (Loss)** | | |
| DYNAMIC EQUITY MANAGERS PORTFOLIO 1 [SERIES] CLASS 1 (GMSAP)[12] | 86,120 83 | 170 4100 | 14,675,850 98 | 10,000,000 00<br>416,724 89 | | 5,092,575 87 | | |
| | **Quantity** | **Market Price** | **Market Value / Accrued Income** | **Unit Cost** | **Cost Basis** | **Unrealized Gain (Loss)** | **Dividend Yield** | **Estimated Annual Income** |
| TOTAL DYNAMIC EQUITY MANAGERS PORTFOLIO 1 [SERIES] | | | 14,675,852 38 | | 9,583,276 51 | 5,092,575 87 | | |
| | | | **Market Value** | | **Adjusted Cost / [6] Original Cost** | **Unrealized Gain (Loss)** | | **Estimated Annual Income** |
| **TOTAL PORTFOLIO** | | | **14,675,852.38** | | **9,583,276.51**<br>**10,000,001.40** | **5,092,575.87** | | |

The calculations noted above (other than contributions and distributions since the last capital statement) are taken from the applicable partnership capital value statement as provided by the fund administrator A partnership capital value statement is available upon request from your Private Wealth Advisor Tax information regarding these investments, including allocations of income and realized and unrealized gains and losses will be available through a Schedule K-1 which will be mailed to you after year end Please be advised that the delivery of K-1s is subject to the reporting of the particular underlying partnerships and that the delivery of particular K-1s may be delayed, requiring you to file an extension Your private equity assets are held away from Goldman Sachs and are not covered by the Securities Investor Protection Corporation ("SIPC") or any supplemental insurance provided by Goldman Sachs for its clients holdings Please contact your Private Wealth Advisor if you have any questions

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[6] Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions

[12] Please refer to the end of the Holdings section for further details pertaining to these investments

## GEOFFREY GUND FOUNDATION
Holdings

Period Ended June 30, 2011

## PUBLIC EQUITY

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Adjusted Cost / Original Cost | Unrealized Gain (Loss) | Yield to Maturity / Current Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **US EQUITY** | | | | | | | | |
| DYNAMIC EQUITY MANAGERS PORTFOLIO 2 [SERIES] | | | | | | | | |
| U S DOLLAR | 1 38 | 1 0000 | 1 38 | | 1 38 | | | |
| | Quantity | Market Price | Market Value / Accrued Income | Contributions/ Distributions To Date | Net Contribution To Date | Economic Gain (Loss) | | |
| DYNAMIC EQUITY MANAGERS PORTFOLIO 2 [SERIES] CLASS 1 (GMSAPII)[12] | 95,150 50 | 152 2210 | 14,483,905 33 | 10,000,000 00<br>508,215 03 | | 4,992,120 36 | | |
| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Cost Basis | Unrealized Gain (Loss) | Dividend Yield | Estimated Annual Income |
| TOTAL DYNAMIC EQUITY MANAGERS PORTFOLIO 2 [SERIES] | | | 14,483,906 71 | | 9,491,786 35 | 4,992,120 36 | | |
| | | | Market Value | | Adjusted Cost /[6] Original Cost | Unrealized Gain (Loss) | | Estimated Annual Income |
| **TOTAL PORTFOLIO** | | | **14,483,906.71** | | **9,491,786.35**<br>**10,000,001.38** | **4,992,120.36** | | |

The calculations noted above (other than contributions and distributions since the last capital statement) are taken from the applicable partnership capital value statement as provided by the fund administrator A partnership capital value statement is available upon request from your Private Wealth Advisor Tax information regarding these investments, including allocations of income and realized and unrealized gains and losses will be available through a Schedule K-1 which will be mailed to you after year end Please be advised that the delivery of K-1s is subject to the reporting of the particular underlying partnerships and that the delivery of particular K-1s may be delayed, requiring you to file an extension Your private equity assets are held away from Goldman Sachs and are not covered by the Securities Investor Protection Corporation ("SIPC") or any supplemental insurance provided by Goldman Sachs for its clients holdings Please contact your Private Wealth Advisor if you have any questions

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[6] Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions

[12] Please refer to the end of the Holdings section for further details pertaining to these investments

Statement 7

## FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

| DESCRIPTION | | AMOUNT |
|---|---|---|
| ROUNDING | | 2. |
| | TOTAL | 2. |

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--------------------------------------------
STATE(S) WHERE THE FOUNDATION IS REGISTERED
============================================
     OH
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STATEMENT 9

## FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:
GEOFFREY GUND
ADDRESS:
8484 WESTPARK DRIVE
MCLEAN, VA 22102
TITLE:
TRUSTEE

OFFICER NAME:
JAMES O'HARA
ADDRESS:
8484 WESTPARK DRIVE
MCLEAN, VA 2202
TITLE:
CO-TRUSTEE

OFFICER NAME:
DONALD KOZUSKP
ADDRESS:
8484 WESTPARK DRIVE
MCLEAN, VA 22102
TITLE:
CO-TRUSTEE

OFFICER NAME:
KEYBANK, N.A.
ADDRESS:
OH-01-49-0150
BROOKLYN, OH 44144
TITLE:
AGENT FOR TTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

| | |
|---|---|
| COMPENSATION ................................................ | 8,406. |
| TOTAL COMPENSATION: | 8,406. |

## GEOFFREY GUND FOUNDATION

**52-1509128**

### *GRANT APPLICATION GUIDELINES*

There are no formal grant application procedures, or application deadlines.

### *PURPOSES OF FOUNDATION:*

The Trustee shall from time to time distribute and apply the net income and principal of the Trust as the Trustee reasonably considers appropriate or desirable to carry out ***EXCLUSIVELY CHARITABLE, EDUCATIONAL, SCIENTIFIC, LITERARY OR RELIGIOUS PURPOSES WITHIN THE UNITED STATES AND ITS POSSESSIONS***, including the making of contributions or gifts to organizations that qualify as exempt organizations under Section 501(c) (3) OF THE Internal Revenue Code.

Grant applications should be addressed to:

Geoffrey Gund
40 East 94th Street
Apartment 28-E
New York New York 10128

| CHARITY | ADDRESS 1 | ADDRESS 2 | CITY | ST | ZIP | PURPOSE | Date | Amount |
|---|---|---|---|---|---|---|---|---|
| 52nd Street Project | 780 10th Avenue | #2M | New York | NY | 10019 | 2010 Contribution | 12/22/10 | $ 500.00 |
| 92nd Street Y | Development Office | 1395 Lexington Avenue | New York | NY | 10128 | 2010 Contribution Friends of the Y | 12/23/10 | $ 1,000 00 |
| Actors Fund | 729 Seventh Avenue | 10th Floor | New York | NY | 10019 | 2010 Contribution | 12/22/10 | $ 800 00 |
| Allegheny College | Office of Annual Giving | 520 N Main Street | Meadville | PA | 16335-9989 | 2010 Contribution | 12/23/10 | $ 2,000 00 |
| American Academy in Rome | | 7 East 60th Street | New York | NY | 10022-1030 | 2010 Contribution | 12/22/10 | $ 500.00 |
| American Composers Orchestra | 240 West 35th Street | Suite 405 | New York | NY | 10001 | 2010 Contribution | 12/20/10 | $ 500.00 |
| American Museum of Natural History | | Central Park West at 79th Street | New York | NY | 10024 | 2010 Contribution | 12/08/10 | $ 1,000.00 |
| ANERA | 1111 14th Street, NW | #400 | Washington | DC | 20005 | 2010 Contribution | 09/09/10 | $ 5,000 00 |
| ANERA | 1111 14th Street, NW | #400 | Washington | DC | 20005 | 2010 Contribution - Challenge Fund | 12/08/10 | $ 5,000.00 |
| Aperature Foundation | 547 West 27th Street | 4th Floor | New York | NY | 10001 | 2010 Benefit & Auction Contribution | 08/16/10 | $ 2,580.00 |
| Archives of American Art | Smithsonian | PO Box 17174 | Baltimore | MD | 21203-7171 | 2010 Contribution | 12/08/10 | $ 1,000.00 |
| Arts Connection | 520 Eighth Ave | Suite 321 | New York | NY | 10018 | 2010 Contribution | 12/20/10 | $ 1,000.00 |
| Bailey House | 1751 park Avenue | | New York | NY | 10035 | 2010 Contribution | 12/23/10 | $ 500.00 |
| Bank Street College of Education | | 610 West 112th Street | New York | NY | 10025 | 2011 Contribution Trustees Dinner | 03/09/11 | $ 22,700.00 |
| Bank Street College of Education | | 610 West 112th Street | New York | NY | 10025 | 2011 Bronze Tribute Benefit | 03/10/11 | $ 1,000.00 |
| CARE | | 151 Ellis Street, NE | Atlanta | GA | 30303 | 2010 Contribution | 12/22/10 | $ 100 00 |
| CARE | | 151 Ellis Street, NE | Atlanta | GA | 30303 | 2011 Contribution | 06/20/11 | $ 200.00 |

| | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Carnegie Hill Neighbors | | 170 East 91st Street | New York | NY | 10128 | 2011 Benefactor contribution | 01/06/11 | $ | 500.00 |
| Carter Center | One Copenhill Ave | PO Box 47339 | Atlanta | GA | 30362-9806 | 2010 Contribution | 12/22/10 | $ | 1,000.00 |
| Center for Urban Community Services | | 198 E 121st Street | New York | NY | 10035-3523 | 2010 Contribution | 12/22/10 | $ | 500.00 |
| Central Park Conservancy | | 14 East 60th Street | New York | NY | 10022 | 2010 Contribution | 12/22/10 | $ | 2,425 00 |
| Chamber Music Society | 70 Linclon Center Plaza | 10th Floor | New York | NY | 10023 | 2011 Spring Gala Contribution | 01/24/11 | $ | 2,000 00 |
| Child Mind Institute | | 445 Park Avenue | New York | NY | 10022 | 2010 Contribution | 11/23/10 | $ | 4,570 00 |
| Child Mind Institute | | 445 Park Avenue | New York | NY | 10022 | 2011 Contribution | 06/27/11 | $ | 50,000.00 |
| Children's Aid Society | | 105 East 22nd Street | New York | NY | 10010 | 2010 Contribution | 12/22/10 | $ | 2,000 00 |
| Citizens' Committee for Children of New York | | 105 East 22nd Street | New York | NY | 10010 | 2011Contribution | 03/14/11 | $ | 2,850.00 |
| City Harvest | 575 Eighth Avenue | 4th Floor | New York | NY | 10018-3069 | 2010 Contribution | 07/27/10 | $ | 1,000 00 |
| City Harvest | 575 Eighth Avenue | 4th Floor | New York | NY | 10018-3069 | 2010 Contribution | 12/22/10 | $ | 1,000.00 |
| City Harvest | 575 Eighth Avenue | 4th Floor | New York | NY | 10018-3069 | 2011 Contribution | 06/20/11 | $ | 1,000.00 |
| CIVITAS | | 1457 Lexington Ave | New York | NY | 10128 | 2010 Contribution | 9/9/2010 | $ | 500.00 |
| Cleveland Institute of Art | | | | | | 2010 David & An Deming Scholarship | 07/13/10 | $ | 1,000 00 |
| Cleveland Orchestra | Director of Development - Severence Hall | 11001 Euclid Avenue | Cleveland | OH | 44106 | 2010 Annual Fund | 07/12/10 | $ | 25,000.00 |
| Cleveland Orchestra | Director of Development - Severence Hall | 11001 Euclid Avenue | Cleveland | OH | 44106 | 2011 Annual Fund | 06/23/11 | $ | 27,000.00 |
| Community Service Society | | 105 E 22nd Street | New York | NY | 10010 | 2010 Contribution | 12/23/10 | $ | 500.00 |
| Connecticut College | Office of College Advancement | 270 Mohegan Avenue | New London | CT | 06320-4196 | 2010 Contribution | 11/15/10 | $ | 5,000 00 |
| Cystic Fibrosis foundation | | 6931 Arlington Road | Bethesda | MD | 20814 | 2010 Contribution | 12/22/10 | $ | 375 00 |
| Doctors Without Borders | 333 Seventh Avenue | 2nd Floor | New York | NY | 10001-5004 | 2010 Contribution | 09/27/10 | $ | 500 00 |
| Doctors Without Borders | 333 Seventh Avenue | 2nd Floor | New York | NY | 10001-5004 | 2010 Contribution | 12/22/10 | $ | 5,000.00 |

| | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Doe Fund | 232 E 84th St | | New York | NY | 10028 | 2010 Contribution | 12/22/10 | $ | 1,000.00 |
| Episcopal Social Services | | 305 Seventh Avenue | New York | NY | 10001-6008 | 2010 Contribution | 12/22/10 | $ | 1,000 00 |
| Episcopal Social Services | | 305 Seventh Avenue | New York | NY | 10001-6008 | 2011 Contribution | 06/20/11 | $ | 1,000.00 |
| Ethical Culture Fieldstone School | | 33 Central Park West | New York | NY | 10023 | 2011 Annual Contribution | 06/23/11 | $ | 25,000.00 |
| Environmental Advocates of New York | | 353 Hamilton Street | Albany | NY | 12210 | 2010 Contribution | 08/09/10 | $ | 1,696.00 |
| Environmental Defense Action Fund | | 257 Park Avenue, South | New York | NY | 10010 | 2010 Contribution | 09/09/10 | $ | 1,500.00 |
| Environmental Defense Fund | | 257 Park Avenue, South | New York | NY | 10010 | 2010 Contribution | 12/23/10 | $ | 1,500.00 |
| Featherstone Center for the Arts | | PO Box 1145 | Oak Bluffs | MA | 02557 | 2011 Contribution | 01/06/11 | $ | 100.00 |
| Fidelity Char Gifts Fund | | PO Box 55158 | Boston | MA | 02205-5158 | 2010 Contribution | 06/27/11 | $ | 100,000.00 |
| Flea Theater | | 41 White Street | New York | NY | 10013-3549 | 2011 Contribution | 01/06/11 | $ | 500 00 |
| Food Bank for NY City | | 39 Broadway - 10th Floor | New York | NY | 10006 | 2010 Contribution | 12/22/10 | $ | 2,500.00 |
| Foundation Fighting Blindness | 14 Nassau Street | PO Box 449 | Princeton | NJ | 08542 | 2010/11 Contribution | 12/23/10 | $ | 25,000.00 |
| Fountain House | | 425 West 47th Street | New York | NY | 1036-2304 | 2010 Contribution | 12/22/10 | $ | 1,000.00 |
| Fresh Air Fund | 633 Third Avenue | 14th Floor | New York | NY | 10017 | 2010 Contribution | 12/22/10 | $ | 1,000 00 |
| Fresh Air Fund | 633 Third Avenue | 14th Floor | New York | NY | 10017 | 2011 Contribution | 06/20/11 | $ | 1,500 00 |
| Fund for Park Avenue | 300 Park Avenue | Suite 1700 | New York | NY | 10022 | 2010 Patron Contribution | 12/22/10 | $ | 1,000.00 |
| Georgia O'Keeffe Museum | | 217 Johnson Street | Santa Fe | NM | 87501 | 2010 Benefit Contribution | 11/15/10 | $ | 500.00 |
| Global Policy Forum | 77 UN Plaza | Suite 3D | New York | NY | 10017 | 2010 Contribution | 12/23/10 | $ | 500.00 |
| Groton School | | PO Box 991 | New York | NY | 01450-0991 | 2010 Contribution | 12/23/10 | $ | 10,000.00 |
| Habitat For Humanity | | 121 Habitat Street | Americus | GA | 31709-3498 | 2010 Contribution | 07/27/10 | $ | 2,000.00 |
| Habitat For Humanity | | 121 Habitat Street | Americus | GA | 31709-3498 | 2010 Contribution | 12/23/10 | $ | 3,000 00 |
| Habitat for Humanity - NY City | | 334 Furman Street | Brooklyn | NY | 11201 | 2010 Contribution | 07/28/10 | $ | 1,000 00 |

| | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Habitat for Humanity - NY City | | 334 Furman Street | Brooklyn | NY | 11201 | 2011 Contribution | 06/20/11 | $ | 500 00 |
| Health Advocates For Older People, Inc | | 593 park Avenue | New York | NY | 10065 | 2010 Contribution | 12/23/10 | $ | 1,000.00 |
| Helen Keller Int'l | 352 Park Avenue Soute | Suite 1200 | Brooklyn | NY | 11010 | 2011 Contribution | 05/12/11 | $ | 10,000.00 |
| Helen Keller Int'l | 352 Park Avenue Soute | Suite 1200 | Brooklyn | NY | 11010 | 2011 Spirit of Helen Keller Gala, Contribution | 06/16/11 | $ | 2,500.00 |
| Heler Keller Int'l | 352 Park Avenue Soute | Suite 1200 | Brooklyn | NY | 10010 | 2011 Contribution | 06/02/11 | $ | 5,000.00 |
| Housing Works | Development Dept | 57 Willoughby Street - 2nd Floor | Brooklyn | NY | 11201 | 2010 Contribution | 12/23/10 | $ | 500.00 |
| Human Rights First | 333 Seventh Avenue | 13th Floor | New York | NY | 10001 | 2010 Contribution | 12/23/10 | $ | 1,000.00 |
| Island Elderly Housing, Inc | | 60 B Village Road | Vineyard Haven | MA | 02568 | 2011 Contribution | 07/01/10 | $ | 100 00 |
| Juvenile Diabetes Research Foundation | 26 Broadway | 14th Floor | New York | NY | 10004 | 2010 Contribution | 12/23/10 | $ | 500.00 |
| Kingsbridge Heights Community Center | | 3101 Kingsbridge Terrace | Bronx | NY | 10463 | 2011 Contribution | 05/19/11 | $ | 500 00 |
| Lawyers for Children | 110 Lafayette Street | 8th Floof | New York | NY | 10013 | 2010 Contribution | 12/23/10 | $ | 1,000 00 |
| Library of America | | 14 East 60th Street | New York | NY | 10022 | 2010 Contribution | 12/23/10 | $ | 1,000 00 |
| Lighthouse International | | 111 East 59th Street | New York | NY | 10022 | 2010 Contribution | 12/23/10 | $ | 500.00 |
| Martha's Vineyard Community Service | | 111 Edgartown Vineyard Haven Road | Oak Bluffs | MA | 02568 | 2010 Dream #5 | 08/12/10 | $ | 1,000.00 |
| Martha's Vineyard Community Service | | 111 Edgartown Vineyard Haven Road | Oak Bluffs | MA | 02568 | 2010 Dream #9 | 08/12/10 | $ | 8,000 00 |
| Martha's Vineyard Hosp | | One Hospital Road | Oak Bluffs | MA | 02557 | 2010 Annual Fund | 07/13/10 | $ | 1,000 00 |
| Martha's Vinyard Museum | 59 School Drive | PO Box 1310 | Edgartown | MA | 02539 | 2010 | 05/25/11 | $ | 500,000 00 |
| Martha's Vinyard Museum | 59 School Drive | PO Box 1310 | Edgartown | MA | 02539 | 2010 Contribution | 10/27/10 | $ | 1,000.00 |
| Meals on Wheels | Stanley M Isaacs Neighborhood Center | 415 East 93rd Street | New York | NY | 10128 | 2010 Contribution | 09/08/10 | $ | 193 00 |
| Meals on Wheels | Stanley M Isaacs Neighborhood Center | 415 East 93rd Street | New York | NY | 10128 | 2010 Contribution | 12/22/10 | $ | 500.00 |
| Meals on Wheels | Stanley M Isaacs Neighborhood Center | 415 East 93rd Street | New York | NY | 10128 | 2011 Contribution | 06/20/11 | $ | 1,002.00 |
| Metropolitan Museum of Art | | 1000 Fifth Avenue | New York | NY | 10028 | 2010 Sponsor Membership | 10/21/10 | $ | 3,662.00 |

| | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Metropolitan Museum of Art | | 1000 Fifth Avenue | New York | NY | 10028 | 2010 Annual appeal | 12/23/10 | $ | 1,000.00 |
| Metropolitan Museum of Art | | 1000 Fifth Avenue | New York | NY | 10028 | 2011 Benefit - Summer Fete | 05/02/11 | $ | 500.00 |
| Metropolitan Opera | | Lincoln Center | New York | NY | 10023 | 2010 Contribution Premier Circle Benefactor | 12/06/10 | $ | 15,000.00 |
| Metropolitan Opera | | Lincoln Center | New York | NY | 10023 | 2010 Contribution | 12/23/10 | $ | 1,000.00 |
| Metropolitan Opera | | Lincoln Center | New York | NY | 10023 | 2011 James Levine's 40th Anniversary Gala | 03/10/11 | $ | 7,400 00 |
| Metropolitan Opera | | Lincoln Center | New York | NY | 10023 | 2011 Contribution | 06/20/11 | $ | 1,000 00 |
| Metropolitan Opera | | Lincoln Center | New York | NY | 10023 | 2011 Contribution | 06/27/11 | $ | 1,980 00 |
| Milton Academy - Mountain School | | 151 Mountain School road | Vershire | VT | 05079 | 2010 Annual Fund | 10/21/10 | $ | 500.00 |
| Mount Sinai Medical Center | 1 Gustave L. Levy Place | Box 1049 | New York | NY | 10029 | 2010 Contribution - Annual Appeal | 12/23/10 | $ | 2,500.00 |
| Municipal Art Society | | 457 Madison Avenue | New York | NY | 10022 | 2010 Contribution | 07/26/10 | $ | 910.00 |
| Municipal Art Society | | 457 Madison Avenue | New York | NY | 10022 | 2010 Contribution | 12/23/10 | $ | 500 00 |
| Museum for African Art | | 3601 43rd Avenue | Long Island City | NY | 11101 | 2010 Annual Fund | 12/23/10 | $ | 500 00 |
| Museum of Modern Art | Membership Department | 11 West 53rd Street | New York | NY | 10019 | 2011 Sustaning Benefactor | 06/23/11 | $ | 4,548.00 |
| NAACP | | 4805 Mt. Hope Drive | Baltimore | MD | 21298 | 2010 Contribution | 07/27/10 | $ | 1,000 00 |
| NAACP | | 4805 Mt Hope Drive | Baltimore | MD | 21298 | 2010 Contribution | 12/23/10 | $ | 500 00 |
| Natural Resources Defense Council | | 40 West 20th Street | New York | NY | 10011 | 2010 Contribution | 12/08/10 | $ | 7,500.00 |
| Nature Conservancy in MA | 205 Portland Street | suite 400 | Boston | MA | 02114 | 2010 Contribution | 09/15/10 | $ | 100.00 |
| Nature Conservancy in MA | 205 Portland Street | suite 400 | Boston | MA | 02114 | 2010 Contribution | 12/23/10 | $ | 1,000.00 |
| New York City Ballet | New York State Theater | 20 Lincoln Center | New York | NY | 10023 | 2010 Contribution | 12/23/10 | $ | 2,000 00 |

| | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|
| New York Philharmonic | Avery Fisher Hall | 10 Lincoln Center Plaza | New York | NY | 10023 | 2010 Education Fund | 07/13/10 | $ | 1,000.00 |
| New York Philharmonic | Avery Fisher Hall | 10 Lincoln Center Plaza | New York | NY | 10023 | 2010 Contribution | 12/23/10 | $ | 1,000.00 |
| New York Philharmonic | Avery Fisher Hall | 10 Lincoln Center Plaza | New York | NY | 10023 | 2011 Benefactor Contribution | 05/05/11 | $ | 856.00 |
| New York Restoration Project | | 254 West 31st Street | New York | NY | 10001 | 2010 Contribution | 12/23/10 | $ | 1,000 00 |
| Park Avenue Armory | | 643 Park Avenue | New York | NY | 10065-6122 | 2010 Contribution | 12/23/10 | $ | 2,070 00 |
| Parkinson's Disease Foundation | 1359 Broadway | Suite 1509 | New York | NY | 10018 | 2010 Contribution | 10/07/10 | $ | 1,000 00 |
| Peter C. Alderman Foundation | | PO Box 278 | Bedford | NY | 10506 | 2010 Contribution | 11/11/10 | $ | 1,000.00 |
| Phoenix House | | 164 West 74th Street | New York | NY | 10023 | 2010 Contribution | 12/23/10 | $ | 500.00 |
| Planned Parenthood of NYC | Margaret Sanger Square | 26 Bleecker Street | New York | NY | 10012-2413 | 2010 Contribution | 12/01/10 | $ | 500 00 |
| Police Athletic League | | 34 1/2 East 12th Street | New York | NY | 10003 | 2010 Contribution | 09/27/10 | $ | 500.00 |
| Police Athletic League | | 34 1/2 East 12th Street | New York | NY | 10003 | 2010 Contribution | 12/23/10 | $ | 500.00 |
| Possibility Project | 104 W 27th Street | 12th Floor | New York | NY | 10001 | 2011 Contribution | 06/20/11 | $ | 5,000 00 |
| Prep for Prep | | 328 West 71st Street | New York | NY | 10023 | 2010 Contribution | 12/23/10 | $ | 2,500.00 |
| Public Art Fund | | One East 53rd Street | New York | NY | 10022 | 2010 Contribution | 12/08/10 | $ | 1,000.00 |
| Putney Public Library | | 55 Main Street | Putney | VT | 05346 | 2010 Contribution | 12/23/10 | $ | 500.00 |
| Recording for the Blind and Dyslexic | | 20 Roszel road | Princeton | NJ | 08540 | 2010 Contribution | 11/15/10 | $ | 1,000.00 |
| Riverdale Nature Preservancy | | 5521 Mosholu Avenue | Bronx | NY | 10471 | 2011 Contribution | 01/06/11 | $ | 1,000 00 |
| Riverdale Neighborhood House | | 5521 Mosholu Avenue | Bronx | NY | 10471 | 2011 Contribution | 06/27/11 | $ | 20,530.00 |
| Riverdale Neighborhood House | | 5521 Mosholu Avenue | Bronx | NY | 10471 | 2008 Pledge Amount - payments 1 - 4 of 5 | 06/27/11 | $ | 40,000.00 |
| Riverdale Riverfest | Friends of the Hudson River Greenway | 4686 Dodgewood Road | Bronx | NY | 10471 | 2011 Patron Contribution | 05/09/11 | $ | 5,000.00 |

| | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Riverdale Senior Services, Inc | | 5675 Henry Hudson Pkway | Bronx | NY | 10471 | 2010 Benefactor Contribution | 08/10/10 | $ | 1,000.00 |
| Riverdale Senior Services, Inc | | 5675 Henry Hudson Pkway | Bronx | NY | 10471 | Benefit - 37th spring Party - Angel | 05/03/11 | $ | 700 00 |
| Salvation Army | Gift Processing Center | PO Box 170 | New York | NY | 10008-0170 | 2010 Contribution | 07/27/10 | $ | 250.00 |
| Salvation Army | Gift Processing Center | PO Box 170 | New York | NY | 10008-0170 | 2011 Contribution | 06/20/11 | $ | 340.00 |
| School of American Ballet | New York State Theater | 20 Lincoln Center | New York | NY | 10023 | The Nutcracker Family Benefit | 10/25/10 | $ | 4,025.00 |
| Sheriff's Meadow Foundation | Wakeman Conservation Center | 57 David Avenue | Vineyard Haven | MA | 02568 | 2010 Contribution | 08/05/10 | $ | 150 00 |
| Sheriff's Meadow Foundation | Wakeman Conservation Center | 57 David Avenue | Vineyard Haven | MA | 02568 | 2011 Contribution | 06/16/11 | $ | 250 00 |
| Sierra Club | John Muir Society | 85 Second Street - 2nd Floor | San Francisco | CA | 94105 | 2010 Contribution | 12/01/10 | $ | 1,000 00 |
| Skowhegan School of Painting & Sculpture | C/O Livet Reichard Co | 59 Pine Street - 7th Floor | New York | NY | 10005 | 2011 Awards Dinner - Patron | 02/10/11 | $ | 2,180.00 |
| Smile Train | | PO Box 96231 | Washington | DC | 20090 | 2010 Contribution | 09/27/10 | $ | 1,000.00 |
| Stanley Isaacs Neighborhood Center | | 415 East 93rd Street | New York | NY | 10128 | 2010 Contribution | 07/27/10 | $ | 250 00 |
| Teaching Matters | 475 Riverside Drive | Suite 1270 | New York | NY | 10115 | Benefit Champions of Innovations & Educ Celebration | 10/04/10 | $ | 400 00 |
| Thirteen | | 450 West 33rd Street | New York | NY | 10001 | 2011 Contribution | 06/23/11 | $ | 2,500 00 |
| UNICEF | U S Fund | 333 East 38th Street | New York | NY | 10016 | 2010 Contribution | 07/26/10 | $ | 1,000.00 |
| UNICEF | U S Fund | 333 East 38th Street | New York | NY | 10016 | 2011 Contribution | 06/20/11 | $ | 500 00 |
| Vineyard House | 15 Church Street | PO Box 4599 | Vineyard Haven | MA | 02568 | 2010 Contribution | 12/23/10 | $ | 15,000 00 |
| VisionSpring | 322 8th Ave | Suite 12A-02 | New York | NY | 10001 | 2010 Contribution | 12/23/10 | $ | 5,000.00 |
| WAVE HILL | | 675 West 252nd Street | Bronx | NY | 10471 | John Naily Horticultural Intern Program | 10/21/10 | $ | 5,000.00 |
| WAVE HILL | | 675 West 252nd Street | Bronx | NY | 10471 | Silent Auction | 10/21/10 | $ | 925 00 |

| | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|
| WAVE HILL | | 675 West 252nd Street | Bronx | NY | 10471 | Benefit - Art in the Garden | 04/25/11 | $ | 25,000.00 |
| WAVE HILL | | 675 West 252nd Street | Bronx | NY | 10471 | 2010 Contribution 4th & 5th of 5 installments | 06/23/11 | $ | 200,000.00 |
| WAVE HILL | | 675 West 252nd Street | Bronx | NY | 10471 | 2011 Contribution - Oral History Project | 06/23/11 | $ | 15,000.00 |
| Western Reserve Historical Society | 10825 East Blvd | | Cleveland | OH | 44106 | 2010 Contribution | 12/22/10 | $ | 1,000 00 |
| Wilderness Society | | 1615 M Street, NW | Washington | DC | 20036 | 2010 Contribution | 12/23/10 | $ | 250.00 |
| Wilderness Society | | 1615 M Street, NW | Washington | DC | 20036 | 2011 Contribution | 06/20/11 | $ | 300.00 |
| Woods Hole Oceanographic Instuition | | Fenno House, MS #40 | Woods Hole | MA | 02543 | 2010 Annual contribution | 12/23/10 | $ | 1,000.00 |
| Yaddo | Development Office | PO Box 395 | Saratoga Springs | NY | 12866 | 2010 contribution | 12/23/10 | $ | 1,000.00 |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| ***TOTAL*** | | | | | | | | ***$*** | ***1,322,767.00*** |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS | | | | | 283. | |
| | | 5000. CELERA CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 06/28/2000 | 06/15/2011 |
| 40,000.00 | | 528,031.00 | | | | | -488031.00 | |
| | | | | | | | ---------- | |
| TOTAL GAIN(LOSS) ...... | | ........................................ | | | | | -487,748. | |
| | | | | | | | ========== | |

JSA
0E1730 2 000

Form **8868**
(Rev January 2011)
Department of the Treasury
Internal Revenue Service

# Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . . . . . . . . . . . . ▶ ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

***Do not complete Part II unless*** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Electronic filing *(e-file)*.** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits.*

## Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ ☐

*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.*

**Type or print**

File by the due date for filing your return See instructions

Name of exempt organization GUND;GEOFFREY FDN AG-T/A
20 -102300980420

**Employer identification number** 52-1509128

Number, street, and room or suite no. If a P.O box, see instructions
C/O KEYBANK, 4900 TIEDEMAN RD. OH-01-49-0150

City, town or post office, state, and ZIP code. For a foreign address, see instructions.
BROOKLYN, OH 44144-2302

Enter the Return code for the return that this application is for (file a separate application for each return) . . . . . . . . . . . . 0 4

| Application Is For | Return Code | Application Is For | Return Code |
|---|---|---|---|
| Form 990 | 01 | Form 990-T (corporation) | 07 |
| Form 990-BL | 02 | Form 1041-A | 08 |
| Form 990-EZ | 03 | Form 4720 | 09 |
| Form 990-PF | 04 | Form 5227 | 10 |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05 | Form 6069 | 11 |
| Form 990-T (trust other than above) | 06 | Form 8870 | 12 |

- The books are in the care of ▶ KEYBANK, N.A.

Telephone No. ▶ (216) 813-4556 FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box . . . . . . . . . . . . . . . ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ________ . If this is for the whole group, check this box . . . . . . ▶ ☐ . If it is for part of the group, check this box . . . . . . . ▶ ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 02/15 , 20 12 , to file the exempt organization return for the organization named above. The extension is for the organization's return for:
▶ ☐ calendar year 20 ____ or
▶ ☒ tax year beginning 07/01 , 20 10 , and ending 06/30, 20 11 .

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

| | | | |
|---|---|---|---|
| **3a** | If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. | **3a** | $ NONE |
| **b** | If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit. | **3b** | $ |
| **c** | **Balance Due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. | **3c** | $ NONE |

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

**For Paperwork Reduction Act Notice, see Instructions.**