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Form **990**

# Return of Organization Exempt From Income Tax

OMB No. 1545-0047

**2010**

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

The organization may have to use a copy of this return to satisfy state reporting requirements.

Statute clear

**A** For the 2010 calendar year, or tax year beginning Sept 1, 2010, and ending June 30, 2011

**B** Check if applicable:  
☐ Address change  
☐ Name change  
☐ Initial return  
☐ Terminated  
☐ Amended return  
☐ Application pending

**C** Name of organization University of the World  
Doing Business As Same  
Number and street (or P.O. box if mail is not delivered to street address) PO Box 10 Room/suite  
City or town, state or country, and ZIP + 4 Franklin WV 26807-0010

**D** Employer identification number 45-2208063

**E** Telephone number (304) 358-2000

**F** Name and address of principal officer: Daniel Taylor, President, PO Box 10, Franklin WV

**G** Gross receipts \$

**H(a)** Is this a group return for affiliates? ☐ Yes ☒ No  
**H(b)** Are all affiliates included? ☒ Yes ☐ No  
If "No," attach a list. (see instructions)  
**H(c)** Group exemption number

**I** Tax-exempt status: ☒ 501(c)(3) ☐ 501(c) ( ) (insert no.) ☐ 4947(a)(1) or ☐ 527

**J** Website: www.univworld.org

**K** Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other

**L** Year of formation: 2010 **M** State of legal domicile: WV

## Part I Summary

**1** Briefly describe the organization's mission or most significant activities:  
New university concept. Place-based in communities worldwide. linked by Internet

**2** Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.

	Prior Year	Current Year
<b>3</b> Number of voting members of the governing body (Part VI, line 1a)	0	3
<b>4</b> Number of independent voting members of the governing body (Part VI, line 1b)	0	0
<b>5</b> Total number of individuals employed in calendar year 2010 (Part V, line 2a)	0	0
<b>6</b> Total number of volunteers (estimate if necessary)	0	0
<b>7a</b> Total unrelated business revenue from Part VIII, column (C), line 12	0	0
<b>7b</b> Net unrelated business taxable income from Form 990-T, line 34	0	0
<b>8</b> Contributions and grants (Part VIII, line 1h)	0	0
<b>9</b> Program service revenue (Part VIII, line 2g)	0	0
<b>10</b> Investment income (Part VIII, column (A), lines 3, 4, and 7d)	0	0
<b>11</b> Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	0	0
<b>12</b> Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	0	0
<b>13</b> Grants and similar amounts paid (Part IX, column (A), lines 1–3)	0	0
<b>14</b> Benefits paid to or for members (Part IX, column (A), line 4)	0	0
<b>15</b> Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	0	0
<b>16a</b> Professional fundraising fees (Part IX, column (A), line 11e)	0	0
<b>b</b> Total fundraising expenses (Part IX, column (D), line 25)	0	0
<b>17</b> Other expenses (Part IX, column (A), lines 11a–11d, 11f–24f)	0	0
<b>18</b> Total expenses. Add lines 13–17 (must equal Part IX, column (A), line 25)	0	0
<b>19</b> Revenue less expenses. Subtract line 18 from line 12	0	0
<b>20</b> Total assets (Part X, line 16)	0	0
<b>21</b> Total liabilities (Part X, line 26)	0	0
<b>22</b> Net assets or fund balances. Subtract line 21 from line 20	0	0

## Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

**Sign Here**  
Signature of officer: Daniel Taylor  
Type or print name and title: Daniel Taylor  
Date: November 1, 2011

**Paid Preparer Use Only**  
Print/Type preparer's name: OGDEN  
Preparer's signature: OGDEN  
Date: MAR 25 2014  
Check ☐ if self-employed  
PTIN: 000000000  
Firm's name: OGDEN  
Firm's EIN: 000000000  
Phone no.: 0000000000

May the IRS discuss this return with the preparer shown above? (see instructions) ☐ Yes ☒ No

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**Part III** Statement of Program Service AccomplishmentsCheck if Schedule O contains a response to any question in this Part III ☐**1** Briefly describe the organization's mission:

*To extend access to higher education to  
populations not now served*

**2** Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O.

**3** Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O.

**4** Describe the exempt purpose achievements for each of the organization's three largest program services by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.**4a** (Code: ) (Expenses \$ including grants of \$ ) (Revenue \$ )

*No program activities in this year  
Just started - now designing programs*

**4b** (Code: ) (Expenses \$ including grants of \$ ) (Revenue \$ )**4c** (Code: ) (Expenses \$ including grants of \$ ) (Revenue \$ )**4d** Other program services. (Describe in Schedule O.)  
(Expenses \$ including grants of \$ ) (Revenue \$ )**4e** Total program service expenses ►

**Part IV Checklist of Required Schedules**

	Yes	No
<b>1</b> Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If <input type="checkbox"/> Yes, <input checked="" type="checkbox"/> complete Schedule A . . . . .	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<b>2</b> Is the organization required to complete Schedule B, Schedule of Contributors? (see instructions) . . . . .	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>3</b> Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If <input type="checkbox"/> Yes, <input checked="" type="checkbox"/> complete Schedule C, Part I . . . . .	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>4</b> <b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If <input type="checkbox"/> Yes, <input checked="" type="checkbox"/> complete Schedule C, Part II . . . . .	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>5</b> Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If <input type="checkbox"/> Yes, <input checked="" type="checkbox"/> complete Schedule C, Part III . . . . .	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>6</b> Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If <input type="checkbox"/> Yes, <input checked="" type="checkbox"/> complete Schedule D, Part I . . . . .	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>7</b> Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If <input type="checkbox"/> Yes, <input checked="" type="checkbox"/> complete Schedule D, Part II . . . . .	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>8</b> Did the organization maintain collections of works of art, historical treasures, or other similar assets? If <input type="checkbox"/> Yes, <input checked="" type="checkbox"/> complete Schedule D, Part III . . . . .	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>9</b> Did the organization report an amount in Part X, line 21; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If <input type="checkbox"/> Yes, <input checked="" type="checkbox"/> complete Schedule D, Part IV . . . . .	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>10</b> Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? If <input type="checkbox"/> Yes, <input checked="" type="checkbox"/> complete Schedule D, Part V . . . . .	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>11</b> If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
<b>a</b> Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If <input type="checkbox"/> Yes, <input checked="" type="checkbox"/> complete Schedule D, Part VI . . . . .	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>b</b> Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If <input type="checkbox"/> Yes, <input checked="" type="checkbox"/> complete Schedule D, Part VII . . . . .	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>c</b> Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If <input type="checkbox"/> Yes, <input checked="" type="checkbox"/> complete Schedule D, Part VIII . . . . .	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>d</b> Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If <input type="checkbox"/> Yes, <input checked="" type="checkbox"/> complete Schedule D, Part IX . . . . .	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>e</b> Did the organization report an amount for other liabilities in Part X, line 25? If <input type="checkbox"/> Yes, <input checked="" type="checkbox"/> complete Schedule D, Part X . . . . .	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>f</b> Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If <input type="checkbox"/> Yes, <input checked="" type="checkbox"/> complete Schedule D, Part X . . . . .	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>12 a</b> Did the organization obtain separate, independent audited financial statements for the tax year? If <input type="checkbox"/> Yes, <input checked="" type="checkbox"/> complete Schedule D, Parts XI, XII, and XIII . . . . .	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>b</b> Was the organization included in consolidated, independent audited financial statements for the tax year? If <input type="checkbox"/> Yes, <input type="checkbox"/> and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional . . . . .	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<b>13</b> Is the organization a school described in section 170(b)(1)(A)(ii)? If <input type="checkbox"/> Yes, <input checked="" type="checkbox"/> complete Schedule E . . . . .	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>14 a</b> Did the organization maintain an office, employees, or agents outside of the United States? . . . . .	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>b</b> Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the United States? If <input type="checkbox"/> Yes, <input checked="" type="checkbox"/> complete Schedule F, Parts I and IV . . . . .	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>15</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? If <input type="checkbox"/> Yes, <input checked="" type="checkbox"/> complete Schedule F, Parts II and IV . . . . .	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>16</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? If <input type="checkbox"/> Yes, <input checked="" type="checkbox"/> complete Schedule F, Parts III and IV . . . . .	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>17</b> Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If <input type="checkbox"/> Yes, <input checked="" type="checkbox"/> complete Schedule G, Part I (see instructions) . . . . .	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>18</b> Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If <input type="checkbox"/> Yes, <input checked="" type="checkbox"/> complete Schedule G, Part II . . . . .	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>19</b> Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If <input type="checkbox"/> Yes, <input checked="" type="checkbox"/> complete Schedule G, Part III . . . . .	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>20 a</b> Did the organization operate one or more hospitals? If <input type="checkbox"/> Yes, <input checked="" type="checkbox"/> complete Schedule H . . . . .	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>b</b> If "Yes" to line 20a, did the organization attach its audited financial statements to this return? <b>Note.</b> Some Form 990 filers that operate one or more hospitals must attach audited financial statements (see instructions) . . . . .	<input type="checkbox"/>	<input checked="" type="checkbox"/>

**Part IV Checklist of Required Schedules (continued)**

	Yes	No
<b>21</b> Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? If <input type="checkbox"/> Yes, <input type="checkbox"/> complete Schedule I, Parts I and II . . . . .	<b>21</b>	<input checked="" type="checkbox"/>
<b>22</b> Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? If <input type="checkbox"/> Yes, <input type="checkbox"/> complete Schedule I, Parts I and III . . . . .	<b>22</b>	<input checked="" type="checkbox"/>
<b>23</b> Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If <input type="checkbox"/> Yes, <input type="checkbox"/> complete Schedule J . . . . .	<b>23</b>	<input checked="" type="checkbox"/>
<b>24a</b> Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If <input type="checkbox"/> Yes, <input type="checkbox"/> answer lines 24b through 24d and complete Schedule K. If <input type="checkbox"/> No, <input type="checkbox"/> go to line 25 . . . . .	<b>24a</b>	<input checked="" type="checkbox"/>
<b>b</b> Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? . . . . .	<b>24b</b>	<input checked="" type="checkbox"/>
<b>c</b> Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? . . . . .	<b>24c</b>	<input checked="" type="checkbox"/>
<b>d</b> Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? . . . . .	<b>24d</b>	<input checked="" type="checkbox"/>
<b>25a</b> <b>Section 501(c)(3) and 501(c)(4) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? If <input type="checkbox"/> Yes, <input type="checkbox"/> complete Schedule L, Part I . . . . .	<b>25a</b>	<input checked="" type="checkbox"/>
<b>b</b> Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If <input type="checkbox"/> Yes, <input type="checkbox"/> complete Schedule L, Part I . . . . .	<b>25b</b>	<input checked="" type="checkbox"/>
<b>26</b> Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? If <input type="checkbox"/> Yes, <input type="checkbox"/> complete Schedule L, Part II . . . . .	<b>26</b>	<input checked="" type="checkbox"/>
<b>27</b> Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? If <input type="checkbox"/> Yes, <input type="checkbox"/> complete Schedule L, Part III . . . . .	<b>27</b>	<input checked="" type="checkbox"/>
<b>28</b> Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
<b>a</b> A current or former officer, director, trustee, or key employee? If <input type="checkbox"/> Yes, <input type="checkbox"/> complete Schedule L, Part IV . . . . .	<b>28a</b>	<input checked="" type="checkbox"/>
<b>b</b> A family member of a current or former officer, director, trustee, or key employee? If <input type="checkbox"/> Yes, <input type="checkbox"/> complete Schedule L, Part IV . . . . .	<b>28b</b>	<input checked="" type="checkbox"/>
<b>c</b> An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If <input type="checkbox"/> Yes, <input type="checkbox"/> complete Schedule L, Part IV . . . . .	<b>28c</b>	<input checked="" type="checkbox"/>
<b>29</b> Did the organization receive more than \$25,000 in non-cash contributions? If <input type="checkbox"/> Yes, <input type="checkbox"/> complete Schedule M . . . . .	<b>29</b>	<input checked="" type="checkbox"/>
<b>30</b> Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If <input type="checkbox"/> Yes, <input type="checkbox"/> complete Schedule M . . . . .	<b>30</b>	<input checked="" type="checkbox"/>
<b>31</b> Did the organization liquidate, terminate, or dissolve and cease operations? If <input type="checkbox"/> Yes, <input type="checkbox"/> complete Schedule N, Part I . . . . .	<b>31</b>	<input checked="" type="checkbox"/>
<b>32</b> Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If <input type="checkbox"/> Yes, <input type="checkbox"/> complete Schedule N, Part II . . . . .	<b>32</b>	<input checked="" type="checkbox"/>
<b>33</b> Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If <input type="checkbox"/> Yes, <input type="checkbox"/> complete Schedule R, Part I . . . . .	<b>33</b>	<input checked="" type="checkbox"/>
<b>34</b> Was the organization related to any tax-exempt or taxable entity? If <input type="checkbox"/> Yes, <input type="checkbox"/> complete Schedule R, Parts II, III, IV, and V, line 1 . . . . .	<b>34</b>	<input checked="" type="checkbox"/>
<b>35</b> Is any related organization a controlled entity within the meaning of section 512(b)(13)? . . . . .	<b>35</b>	<input checked="" type="checkbox"/>
<b>a</b> Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If <input type="checkbox"/> Yes, <input type="checkbox"/> complete Schedule R, Part V, line 2 . . . . . <input type="checkbox"/> Yes <input type="checkbox"/> No		
<b>36</b> <b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? If <input type="checkbox"/> Yes, <input type="checkbox"/> complete Schedule R, Part V, line 2 . . . . .	<b>36</b>	<input checked="" type="checkbox"/>
<b>37</b> Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If <input type="checkbox"/> Yes, <input type="checkbox"/> complete Schedule R, Part VI . . . . .	<b>37</b>	<input checked="" type="checkbox"/>
<b>38</b> Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O . . . . .	<b>38</b>	<input checked="" type="checkbox"/>

**Part V Statements Regarding Other IRS Filings and Tax Compliance**Check if Schedule O contains a response to any question in this Part V ☐

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable . . . . .	1a	0
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable . . . . .	1b	0
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? . . . . .	1c	✓
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return . . . . .	2a	0
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? . . . . . <b>Note.</b> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file. (see instructions)	2b	✓
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year? . . . . .	3a	✓
b	If "Yes," has it filed a Form 990-T for this year? If <input type="checkbox"/> No, <input type="checkbox"/> provide an explanation in Schedule O . . . . .	3b	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? . . . . .	4a	✓
b	If "Yes," enter the name of the foreign country: <input type="text"/> See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? . . . . .	5a	✓
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? . . . . .	5b	✓
c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T? . . . . .	5c	
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible? . . . . .	6a	✓
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? . . . . .	6b	
7	<b>Organizations that may receive deductible contributions under section 170(c).</b>		
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? . . . . .	7a	✓
b	If "Yes," did the organization notify the donor of the value of the goods or services provided? . . . . .	7b	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? . . . . .	7c	✓
d	If "Yes," indicate the number of Forms 8282 filed during the year . . . . .	7d	
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .	7e	✓
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . .	7f	✓
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? . . . . .	7g	✓
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? . . . . .	7h	✓
8	<b>Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.</b> Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year? . . . . .	8	✓
9	<b>Sponsoring organizations maintaining donor advised funds.</b>		
a	Did the organization make any taxable distributions under section 4966? . . . . .	9a	✓
b	Did the organization make a distribution to a donor, donor advisor, or related person? . . . . .	9b	✓
10	<b>Section 501(c)(7) organizations.</b> Enter:		
a	Initiation fees and capital contributions included on Part VIII, line 12 . . . . .	10a	
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities . . . . .	10b	
11	<b>Section 501(c)(12) organizations.</b> Enter:		
a	Gross income from members or shareholders . . . . .	11a	
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) . . . . .	11b	
12a	<b>Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041? . . . . .	12a	
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year . . . . .	12b	
13	<b>Section 501(c)(29) qualified nonprofit health insurance issuers.</b>		
a	Is the organization licensed to issue qualified health plans in more than one state? . . . . . <b>Note.</b> See the instructions for additional information the organization must report on Schedule O.	13a	
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans . . . . .	13b	
c	Enter the amount of reserves on hand . . . . .	13c	
14a	Did the organization receive any payments for indoor tanning services during the tax year? . . . . .	14a	
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O . . . . .	14b	

**Part VI Governance, Management, and Disclosure** For each ☐ Yes ☐ response to lines 2 through 7b below, and for a ☐ No ☐ response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response to any question in this Part VI ☐

**Section A. Governing Body and Management**

	Yes	No
<b>1a</b> Enter the number of voting members of the governing body at the end of the tax year . . . . .	<b>1a</b> <u>3</u>	
<b>b</b> Enter the number of voting members included in line 1a, above, who are independent . . . . .	<b>1b</b> <u>3</u>	
<b>2</b> Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? . . . . .	<b>2</b>	<input checked="" type="checkbox"/>
<b>3</b> Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? . . . . .	<b>3</b>	<input checked="" type="checkbox"/>
<b>4</b> Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	<b>4</b>	<input checked="" type="checkbox"/>
<b>5</b> Did the organization become aware during the year of a significant diversion of the organization's assets?	<b>5</b>	<input checked="" type="checkbox"/>
<b>6</b> Does the organization have members or stockholders? . . . . .	<b>6</b>	<input checked="" type="checkbox"/>
<b>7a</b> Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body? . . . . .	<b>7a</b>	<input checked="" type="checkbox"/>
<b>b</b> Are any decisions of the governing body subject to approval by members, stockholders, or other persons?	<b>7b</b>	<input checked="" type="checkbox"/>
<b>8</b> Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
<b>a</b> The governing body? . . . . .	<b>8a</b>	<input checked="" type="checkbox"/>
<b>b</b> Each committee with authority to act on behalf of the governing body? . . . . .	<b>8b</b>	<input checked="" type="checkbox"/>
<b>9</b> Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If <input type="checkbox"/> Yes, <input type="checkbox"/> provide the names and addresses in Schedule O . . . . .	<b>9</b>	<input checked="" type="checkbox"/>

**Section B. Policies** (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
<b>10a</b> Does the organization have local chapters, branches, or affiliates? . . . . .	<b>10a</b>	<input checked="" type="checkbox"/>
<b>b</b> If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization? . . . . .	<b>10b</b>	
<b>11a</b> Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form? . . . . .	<b>11a</b>	<input checked="" type="checkbox"/>
<b>b</b> Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
<b>12a</b> Does the organization have a written conflict of interest policy? If <input type="checkbox"/> No, <input type="checkbox"/> go to line 13 . . . . .	<b>12a</b>	<input checked="" type="checkbox"/>
<b>b</b> Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts? . . . . .	<b>12b</b>	<input checked="" type="checkbox"/>
<b>c</b> Does the organization regularly and consistently monitor and enforce compliance with the policy? If <input type="checkbox"/> Yes, <input type="checkbox"/> describe in Schedule O how this is done . . . . .	<b>12c</b>	<input checked="" type="checkbox"/>
<b>13</b> Does the organization have a written whistleblower policy? . . . . .	<b>13</b>	<input checked="" type="checkbox"/>
<b>14</b> Does the organization have a written document retention and destruction policy? . . . . .	<b>14</b>	<input checked="" type="checkbox"/>
<b>15</b> Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
<b>a</b> The organization's CEO, Executive Director, or top management official . . . . .	<b>15a</b>	<input checked="" type="checkbox"/>
<b>b</b> Other officers or key employees of the organization . . . . .	<b>15b</b>	<input checked="" type="checkbox"/>
If "Yes" to line 15a or 15b, describe the process in Schedule O. (See instructions.) . . . . .		
<b>16a</b> Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? . . . . .	<b>16a</b>	<input checked="" type="checkbox"/>
<b>b</b> If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements? . . . . .	<b>16b</b>	

**Section C. Disclosure**

**17** List the states with which a copy of this Form 990 is required to be filed ► VA, NY, VA, NY, NY

**18** Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you make these available. Check all that apply.  
☐ Own website ☐ Another's website ☐ Upon request

**19** Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public.

**20** State the name, physical address, and telephone number of the person who possesses the books and records of the organization: ► Daniel Taylor, 293 Thorn Creek Rd, Franklin WV 26037, 304-902-9100

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**Check if Schedule O contains a response to any question in this Part VII ☐**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees****1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.

- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."

- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.

- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.

- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☒ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) <i>No Compensation Paid During First Year of Legal Status</i>										
(2)										
(3) <i>Daniel Taylor</i> <i>Chair, Board of Governors</i>	<i>1</i>	<i>✓</i>	<i>✓</i>					<i>0</i>	<i>0</i>	<i>0</i>
(4) <i>Kal Oantam</i> <i>Member, Board of Governors</i>	<i>0</i>	<i>✓</i>						<i>0</i>	<i>0</i>	<i>0</i>
(5) <i>Nafis, Sadik</i> <i>Member Board of Governors</i>	<i>0</i>	<i>✓</i>						<i>0</i>	<i>0</i>	<i>0</i>
(6)										
(7)										
(8)										
(9)										
(10)										
(11)										
(12)										
(13)										
(14)										
(15)										
(16)										



**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)**

(A) Name and title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(17)										
(18)										
(19)										
(20)										
(21)										
(22)										
(23)										
(24)										
(25)										
(26)										
(27)										
(28)										
<b>1b Sub-total</b>								0	0	0
<b>c Total from continuation sheets to Part VII, Section A</b>								0	0	0
<b>d Total (add lines 1b and 1c)</b>								0	0	0

**2** Total number of individuals (including but not limited to those listed above) who received more than \$100,000 in reportable compensation from the organization ►

	Yes	No
<b>3</b> Did the organization list any <b>former</b> officer, director or trustee, key employee, or highest compensated employee on line 1a? If <input type="checkbox"/> Yes, <input type="checkbox"/> complete Schedule J for such individual		✓
<b>4</b> For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If <input type="checkbox"/> Yes, <input type="checkbox"/> complete Schedule J for such individual		✓
<b>5</b> Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If <input type="checkbox"/> Yes, <input type="checkbox"/> complete Schedule J for such person		✓

**Section B. Independent Contractors**

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization.

(A) Name and business address	(B) Description of services	(C) Compensation
NA		

**2** Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization ►

**Part VIII Statement of Revenue**

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, gifts, grants and other similar amounts	1a	Federated campaigns . . . . .	1a	0			
	b	Membership dues . . . . .	1b	0			
	c	Fundraising events . . . . .	1c	0			
	d	Related organizations . . . . .	1d	0			
	e	Government grants (contributions)	1e	0			
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	0			
	g	Noncash contributions included in lines 1a-1f: \$					
	h	Total. Add lines 1a-1f . . . . . a		0			
Program Service Revenue	2a	Business Code					
	b						
	c						
	d						
	e						
	f	All other program service revenue .					
	g	Total. Add lines 2a-2f . . . . . a					
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts) . . . . . a		0	0	0	0
	4	Income from investment of tax-exempt bond proceeds a		0	0	0	0
	5	Royalties . . . . . a		0	0	0	0
	6a	Gross Rents . . . . .	(i) Real 0 (ii) Personal 0				
	b	Less: rental expenses	0				
	c	Rental income or (loss)	0				
	d	Net rental income or (loss) . . . . . a		0	0	0	0
	7a	Gross amount from sales of assets other than inventory	(i) Securities 0 (ii) Other 0				
	b	Less: cost or other basis and sales expenses . . . . .	0				
	c	Gain or (loss) . . . . .	0				
	d	Net gain or (loss) . . . . . a		0	0	0	0
	8a	Gross income from fundraising events (not including \$ of contributions reported on line 1c). See Part IV, line 18 . . . . . a	0				
	b	Less: direct expenses . . . . . b	0				
	c	Net income or (loss) from fundraising events . . . . . a		0	0	0	0
	9a	Gross income from gaming activities. See Part IV, line 19 . . . . . a	0				
	b	Less: direct expenses . . . . . b	0				
	c	Net income or (loss) from gaming activities . . . . . a		0	0	0	0
10a	Gross sales of inventory, less returns and allowances . . . . . a	0					
b	Less: cost of goods sold . . . . . b	0					
c	Net income or (loss) from sales of inventory . . . . . a		0			0	
Miscellaneous Revenue		Business Code					
11a			0				
b							
c							
d	All other revenue . . . . .		0				
e	Total. Add lines 11a-11d . . . . . a			0			
12	Total revenue. See instructions. . . . . a			0	0	0	0

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.

All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
<b>1</b>	Grants and other assistance to governments and organizations in the U.S. See Part IV, line 21 . . . . .	✓	✓		
<b>2</b>	Grants and other assistance to individuals in the U.S. See Part IV, line 22 . . . . .	✓	0		
<b>3</b>	Grants and other assistance to governments, organizations, and individuals outside the U.S. See Part IV, lines 15 and 16 . . . . .	✓	✓		
<b>4</b>	Benefits paid to or for members . . . . .	0			
<b>5</b>	Compensation of current officers, directors, trustees, and key employees . . . . .	✓	0	0	0
<b>6</b>	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) . . . . .	0	✓	0	0
<b>7</b>	Other salaries and wages . . . . .	✓	0	0	0
<b>8</b>	Pension plan contributions (include section 401(k) and section 403(b) employer contributions) . . . . .	0	✓	0	0
<b>9</b>	Other employee benefits . . . . .	✓	✓	0	0
<b>10</b>	Payroll taxes . . . . .	✓	0	0	0
<b>11</b>	Fees for services (non-employees):				
<b>a</b>	Management . . . . .	0	0	0	0
<b>b</b>	Legal . . . . .	0	0	0	0
<b>c</b>	Accounting . . . . .	0	0	0	0
<b>d</b>	Lobbying . . . . .	0	0	0	0
<b>e</b>	Professional fundraising services. See Part IV, line 17	0			
<b>f</b>	Investment management fees . . . . .	0	0	0	0
<b>g</b>	Other . . . . .	0	0	0	0
<b>12</b>	Advertising and promotion . . . . .	0	0	0	0
<b>13</b>	Office expenses . . . . .	0	0	0	0
<b>14</b>	Information technology . . . . .	0	0	0	0
<b>15</b>	Royalties . . . . .	0	0	0	0
<b>16</b>	Occupancy . . . . .	0	0	0	0
<b>17</b>	Travel . . . . .	0	0	0	0
<b>18</b>	Payments of travel or entertainment expenses for any federal, state, or local public officials . . . . .	0	0	0	0
<b>19</b>	Conferences, conventions, and meetings . . . . .	0	0	0	0
<b>20</b>	Interest . . . . .	0	0	0	0
<b>21</b>	Payments to affiliates . . . . .	0	0	0	0
<b>22</b>	Depreciation, depletion, and amortization . . . . .	0	0	0	0
<b>23</b>	Insurance . . . . .	0	0	0	0
<b>24</b>	Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24f. If line 24f amount exceeds 10% of line 25, column (A) amount, list line 24f expenses on Schedule O.)				
<b>a</b>	_____				
<b>b</b>	_____				
<b>c</b>	_____				
<b>d</b>	_____				
<b>e</b>	_____				
<b>f</b>	All other expenses _____		0	0	0
<b>25</b>	<b>Total functional expenses.</b> Add lines 1 through 24f	0	0	0	0
<b>26</b>	<b>Joint costs.</b> Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720). Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation . . . . .	✓	0	✓	0

**Part X Balance Sheet**

		(A) Beginning of year		(B) End of year
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .	0	<b>1</b>	0
	<b>2</b> Savings and temporary cash investments . . . . .	0	<b>2</b>	0
	<b>3</b> Pledges and grants receivable, net . . . . .	0	<b>3</b>	0
	<b>4</b> Accounts receivable, net . . . . .	0	<b>4</b>	0
	<b>5</b> Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L . . . . .	0	<b>5</b>	0
	<b>6</b> Receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) . . . . .	0	<b>6</b>	0
	<b>7</b> Notes and loans receivable, net . . . . .	0	<b>7</b>	0
	<b>8</b> Inventories for sale or use . . . . .	0	<b>8</b>	0
	<b>9</b> Prepaid expenses and deferred charges . . . . .	0	<b>9</b>	0
	<b>10a</b> Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	<b>10a</b> 0		
	<b>b</b> Less: accumulated depreciation . . . . .	<b>10b</b> 0	<b>10c</b>	0
	<b>11</b> Investments—publicly traded securities . . . . .	0	<b>11</b>	0
	<b>12</b> Investments—other securities. See Part IV, line 11 . . . . .	0	<b>12</b>	0
	<b>13</b> Investments—program-related. See Part IV, line 11 . . . . .	0	<b>13</b>	0
	<b>14</b> Intangible assets . . . . .	0	<b>14</b>	0
	<b>15</b> Other assets. See Part IV, line 11 . . . . .	0	<b>15</b>	0
<b>16</b> <b>Total assets.</b> Add lines 1 through 15 (must equal line 34) . . . . .	0	<b>16</b>	0	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .	0	<b>17</b>	0
	<b>18</b> Grants payable . . . . .	0	<b>18</b>	0
	<b>19</b> Deferred revenue . . . . .	0	<b>19</b>	0
	<b>20</b> Tax-exempt bond liabilities . . . . .	0	<b>20</b>	0
	<b>21</b> Escrow or custodial account liability. Complete Part IV of Schedule D . . . . .	0	<b>21</b>	0
	<b>22</b> Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L . . . . .	0	<b>22</b>	0
	<b>23</b> Secured mortgages and notes payable to unrelated third parties . . . . .	0	<b>23</b>	0
	<b>24</b> Unsecured notes and loans payable to unrelated third parties . . . . .	0	<b>24</b>	0
	<b>25</b> Other liabilities. Complete Part X of Schedule D . . . . .	0	<b>25</b>	0
	<b>26</b> <b>Total liabilities.</b> Add lines 17 through 25 . . . . .	0	<b>26</b>	0
<b>Net Assets or Fund Balances</b>	<b>Organizations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.</b>			
	<b>27</b> Unrestricted net assets . . . . .	0	<b>27</b>	0
	<b>28</b> Temporarily restricted net assets . . . . .	0	<b>28</b>	0
	<b>29</b> Permanently restricted net assets . . . . .	0	<b>29</b>	0
	<b>Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 30 through 34.</b>			
	<b>30</b> Capital stock or trust principal, or current funds . . . . .	0	<b>30</b>	0
	<b>31</b> Paid-in or capital surplus, or land, building, or equipment fund . . . . .	0	<b>31</b>	0
	<b>32</b> Retained earnings, endowment, accumulated income, or other funds . . . . .	0	<b>32</b>	0
	<b>33</b> <b>Total net assets or fund balances</b> . . . . .	0	<b>33</b>	0
<b>34</b> <b>Total liabilities and net assets/fund balances</b> . . . . .	0	<b>34</b>	0	

**Part XI Reconciliation of Net Assets**Check if Schedule O contains a response to any question in this Part XI ☐

1	Total revenue (must equal Part VIII, column (A), line 12) . . . . .	1	0
2	Total expenses (must equal Part IX, column (A), line 25) . . . . .	2	
3	Revenue less expenses. Subtract line 2 from line 1 . . . . .	3	
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A)) . . . . .	4	
5	Other changes in net assets or fund balances (explain in Schedule O) . . . . .	5	
6	Net assets or fund balances at end of year. Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B)) . . . . .	6	

**Part XII Financial Statements and Reporting**Check if Schedule O contains a response to any question in this Part XII ☐

	Yes	No
1 Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
2a Were the organization's financial statements compiled or reviewed by an independent accountant? . . . . .		✓
b Were the organization's financial statements audited by an independent accountant? . . . . .		✓
c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.		
d If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? . . . . .		✓
b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits		✓

**SCHEDULE A**  
(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

**Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No. 1545-0047

**2010**

**Open to Public Inspection**

Name of the organization

*University of the World*

Employer identification number

*45-2208063*

**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2 ☒ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E.)
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state: \_\_\_\_\_
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7 ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 9 ☐ An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)
- 10 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**
- 11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h.
  - a ☐ Type I      b ☐ Type II      c ☐ Type III—Functionally integrated      d ☐ Type III—Other
  - e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).
  - f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box ☐
  - g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?
 

	Yes	No
(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? . . . . .		<input checked="" type="checkbox"/>
(ii) A family member of a person described in (i) above? . . . . .		<input checked="" type="checkbox"/>
(iii) A 35% controlled entity of a person described in (i) or (ii) above? . . . . .		<input checked="" type="checkbox"/>
  - h Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1–9 above or IRC section (see instructions))	(iv) Is the organization in col. (i) listed in your governing document?		(v) Did you notify the organization in col. (i) of your support?		(vi) Is the organization in col. (i) organized in the U.S.?		(vii) Amount of support
			Yes	No	Yes	No	Yes	No	
(A)		<i>No Income Received in FY 2011</i>							
(B)		<i>Waiting for IRS tax-exempt status letter</i>							
(C)									
(D)									
(E)									
<b>Total</b>									

**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ►	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
<b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") . . . . .						0
<b>2</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf . . . . .						0
<b>3</b> The value of services or facilities furnished by a governmental unit to the organization without charge . . . . .						0
<b>4 Total.</b> Add lines 1 through 3 . . . . .						0
<b>5</b> The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) . . . . .						0
<b>6 Public support.</b> Subtract line 5 from line 4.						

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ►	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
<b>7</b> Amounts from line 4 . . . . .						
<b>8</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources . . . . .						0
<b>9</b> Net income from unrelated business activities, whether or not the business is regularly carried on . . . . .						0
<b>10</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.) . . . . .						0
<b>11 Total support.</b> Add lines 7 through 10						
<b>12</b> Gross receipts from related activities, etc. (see instructions) . . . . .					12	
<b>13 First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and <b>stop here</b> . . . . . <input checked="" type="checkbox"/>						

**Section C. Computation of Public Support Percentage**

<b>14</b> Public support percentage for 2010 (line 6, column (f) divided by line 11, column (f)) . . . . .	<b>14</b>	%
<b>15</b> Public support percentage from 2009 Schedule A, Part II, line 14 . . . . .	<b>15</b>	%
<b>16a 33 1/3% support test—2010.</b> If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization . . . . . <input type="checkbox"/>		
<b>b 33 1/3% support test—2009.</b> If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization . . . . . <input type="checkbox"/>		
<b>17a 10%-facts-and-circumstances test—2010.</b> If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization . . . . . <input type="checkbox"/>		
<b>b 10%-facts-and-circumstances test—2009.</b> If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization . . . . . <input type="checkbox"/>		
<b>18 Private foundation.</b> If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions . . . . . <input type="checkbox"/>		

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II.  
If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ►	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
<b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
<b>2</b> Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose . . . .						
<b>3</b> Gross receipts from activities that are not an unrelated trade or business under section 513						
<b>4</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf . . . .						
<b>5</b> The value of services or facilities furnished by a governmental unit to the organization without charge . . . .						
<b>6 Total.</b> Add lines 1 through 5 . . . .						
<b>7a</b> Amounts included on lines 1, 2, and 3 received from disqualified persons . . . .						
<b>b</b> Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year . . . .						
<b>c</b> Add lines 7a and 7b . . . .						
<b>8 Public support.</b> (Subtract line 7c from line 6.) . . . .						

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ►	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
<b>9</b> Amounts from line 6 . . . .						
<b>10a</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources . . . .						
<b>b</b> Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 . . . .						
<b>c</b> Add lines 10a and 10b . . . .						
<b>11</b> Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on . . . .						
<b>12</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.) . . . .						
<b>13 Total support.</b> (Add lines 9, 10c, 11, and 12.) . . . .						
<b>14 First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and <b>stop here</b> . . . . <input type="checkbox"/>						

**Section C. Computation of Public Support Percentage**

<b>15</b> Public support percentage for 2010 (line 8, column (f) divided by line 13, column (f)) . . . .	<b>15</b>	%
<b>16</b> Public support percentage from 2009 Schedule A, Part III, line 15 . . . .	<b>16</b>	%

**Section D. Computation of Investment Income Percentage**

<b>17</b> Investment income percentage for 2010 (line 10c, column (f) divided by line 13, column (f)) . . . .	<b>17</b>	%
<b>18</b> Investment income percentage from 2009 Schedule A, Part III, line 17 . . . .	<b>18</b>	%
<b>19a 33 1/3% support tests—2010.</b> If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and <b>stop here</b> . The organization qualifies as a publicly supported organization . . . . <input type="checkbox"/>		
<b>b 33 1/3% support tests—2009.</b> If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and <b>stop here</b> . The organization qualifies as a publicly supported organization . . . . <input type="checkbox"/>		
<b>20 Private foundation.</b> If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions . . . . <input type="checkbox"/>		



**SCHEDULE E**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

Name of the organization

**Schools**

- Complete if the organization answered "Yes" to Form 990, Part IV, line 13, or  
Form 990-EZ, Part VI, line 48.  
► Attach to Form 990 or Form 990-EZ.

OMB No. 1545-0047

**2010**

Open to Public  
Inspection

Employer identification number

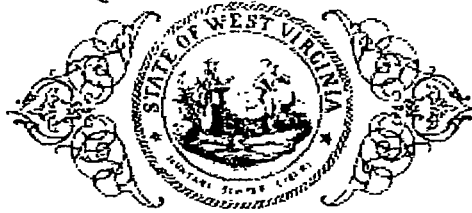
*University of the World*

*45-2208063*

**Part I**

	YES	NO
1 Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body? . . . . .		<input checked="" type="checkbox"/>
2 Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships? . . . . .	<input checked="" type="checkbox"/>	
3 Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe. If "No," please explain. If you need more space, use Part II . . . . . <i>Such nondiscriminatory policy is in all publications. Most accessible to all is on website - see www.oftheworld.org click "Governance" tab, first item</i>	<input checked="" type="checkbox"/>	
4 Does the organization maintain the following?		
a Records indicating the racial composition of the student body, faculty, and administrative staff? . . . . .	<input checked="" type="checkbox"/>	
b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis? . . . . .	<input checked="" type="checkbox"/>	
c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships? . . . . .	<input checked="" type="checkbox"/>	
d Copies of all material used by the organization or on its behalf to solicit contributions? . . . . . If you answered "No" to any of the above, please explain. If you need more space, use Part II.	<input checked="" type="checkbox"/>	
5 Does the organization discriminate by race in any way with respect to:		
a Students' rights or privileges? . . . . .		<input checked="" type="checkbox"/>
b Admissions policies? . . . . .		<input checked="" type="checkbox"/>
c Employment of faculty or administrative staff? . . . . .		<input checked="" type="checkbox"/>
d Scholarships or other financial assistance? . . . . .		<input checked="" type="checkbox"/>
e Educational policies? . . . . .		<input checked="" type="checkbox"/>
f Use of facilities? . . . . .		<input checked="" type="checkbox"/>
g Athletic programs? . . . . .		<input checked="" type="checkbox"/>
h Other extracurricular activities? . . . . . If you answered "Yes" to any of the above, please explain. If you need more space, use Part II.		<input checked="" type="checkbox"/>
6a Does the organization receive any financial aid or assistance from a governmental agency? . . . . .		<input checked="" type="checkbox"/>
b Has the organization's right to such aid ever been revoked or suspended? . . . . . If you answered "Yes" to either line 6a or line 6b, explain on Part II.		<input checked="" type="checkbox"/>
7 Does the organization certify that it has complied with the applicable requirements of sections 4.01 through 4.05 of Rev. Proc. 75-50, 1975-2 C.B. 587, covering racial nondiscrimination? If "No," explain on Part II . . . . .	<input checked="" type="checkbox"/>	

# State of West Virginia



## Certificate

*I, Natalie E. Tennant, Secretary of State of the  
State of West Virginia, hereby certify that*

**UNIVERSITY OF THE WORLD CORPORATION**

**Control Number: 99LEJ**

has filed its application for "Certificate of Incorporation" in my office according to the provisions of the West Virginia Code. I hereby declare the organization to be registered as a corporation from its effective date of September 1, 2010, until a certificate of dissolution has been filed with Secretary of State.

Therefore, I hereby issue this

## CERTIFICATE OF INCORPORATION



*Given under my hand and the  
Great Seal of the State of  
West Virginia on this day of  
September 1, 2010*

*Natalie E. Tennant*

*Secretary of State*

**By-Laws  
The University of the World Corporation**

**Article I      Organization**

1. The name of this organization shall be The University of the World Corporation, a 501(c)3 charitable and educational organization, hereinafter referred to as The University.
2. The organization may, at its pleasure, by a two-thirds vote (in two separate votes at two succeeding meetings) of the Board of Governors change the name or other aspects specified in these By-Laws of the organization, and these By-Laws will be so amended.
3. The organization is chartered in the State of West Virginia, USA, with at least its financial offices located in Pendleton County.

**Article II      Mission and Purposes**

1. Mission

*Brief Mission Statement*

Seeking to open access to higher education and knowledge, The University of the World advances rigorous systematic *learning and research from the world*. The locus of learning shifts to communities from campus or Internet. A method of collaborative research is advanced through learner/scholar peer-review of local practice on how to do societal change. A new universe of learning is possible based in communities and connected around the world.

*Explanation of Mission*

Students will be front line users all over the world brought together in a learning community. The pedagogy of blended learning is utilized to integrate: mentored learning in communities from faculty and student peers, interactive online learning, visits to site-based examples of best practice, and student-led practice that gives evidence of skill competencies. Through promoting a global learning community that is continuously learning, the university will have constantly improving ability to use what they have more effectively for community social and economic advancement and to protect their natural and cultural heritages.

In operations, through an evolving pedagogy of constantly rising practice, The University will follow parallel systems of: educational delivery, educational experimentation, and the gathering of knowledge. These parallel systems create feedback loops to constantly improve their respective processes for: learning effectiveness, the accumulation of knowledge, fiscal management, faculty performance, and promoting innovation of the pedagogies being used.

In terms of function, The University is a non-governmental 501(c)3 corporation chartered in the State of West Virginia in the United States that carries forth three purposes worldwide. First, it provides higher education degree programs as well as specialized training. Second, it conducts research relating to how communities can promote equity between peoples and enhance an enduring planet. Third, it is a forum for partnerships, bringing together now scattered knowledge and action into a global learning community

2. Purposes

The University advances knowledge around the solutions to the challenges experienced by the poor or excluded, especially by the impacts of macro global forces of deteriorating environments, declining economies, and challenged cultural identities.

The University will: 1) Pursue an open non-discriminatory admissions. In admissions the primary criterion will be student proof of specific community-engaged work connected to a vision to use their education to improve that, and the second criterion will be proof of ability to use the four streams of blended learning; 2) Continuing through this process in accord with standards defined by University policy under guidelines of the State of West Virginia and the Higher Learning Commission; 3) In this learning process using a community-based pedagogy (rather than classroom) shaped by peer-engagement in Learning Cohorts with faculty mentoring and oversight of standards; and 4) resulting in shaped curricula around applied knowledge and skills.

The University in its mission or academic processes seeks not to replicate presently established university roles of basic research or development of scientific theory; rather, it will pursue a parallel role of rigorous pedagogy and research platform on the application and utilization of global knowledge in community-based contexts.

An overriding purpose is expanding access to higher education. Poor and excluded communities have lower access to send their members for higher education due to financial and geographical barriers – and, in addition, instructional foci of many higher education institutions are not appropriate to the needs of such communities. The University will address this gap with management and business models designed to expand access to higher education globally. The curriculum will be specifically targeted to prepare grassroots leadership to initiate and sustain locally-based change.

3. The University will be headquartered in Franklin, West Virginia, USA.

4. The fiscal year will be October 1 to September 30.

### **Article III Membership**

The University of the World is not a membership organization.

#### **Article IV Meetings**

1. At least three regular meetings shall occur of the Board of Governors per organizational/fiscal year. Participation at these meetings by telephone or video conference also constitutes attendance. Such meetings will be held on dates and at places designated by the Board or, in the absence of its decision, by the President. The Annual Meeting of the Board of Governors is held in October or November of each calendar year following the commencement of the fiscal year. The fiscal planning meeting is held in June or July of each year to prepare for the new fiscal year.
2. Special meetings may be held by call of the President or a one-quarter representation of the Board of Governors. At these meetings participation may be face-to-face, telephonic, or electronic.
3. Written notice or email communication with automatic reply receipt of all meetings of the Board shall be sent at least two-weeks in advance of the date of the meeting. Urgent meetings may be called for immediate action if sponsored by the President with a one-quarter vote of support from other Governors. In the case of special meetings, the notice shall state the purposes of the meeting and no additional business shall be transacted at such meetings unless agreed to by a two-thirds majority of those present. Other topics may be considered but for decisions to be binding they must be ratified at a future board meeting.
4. Periodic informal or ad hoc meetings among Governors, faculty, and staff will be permitted in person, by mail, telephone, or e-mail or any other communications medium that the Board approves. These meetings should primarily be for informational exchange. However, when such meetings occur and there are more than three Governors present, if concrete recommendations for action come forward, written communication setting forth the rationale and action should be filed with the President and for the next meeting of the Board or committee.
5. Governors may not cast their ballots by proxy.
6. Unless specifically designated as a two-thirds majority, all uses of the term "majority" in these By-Laws shall refer to a simple majority defined as over one-half.
7. If a Governor attends only part of a larger, formal Board meeting, the minutes must record the time of that person's arrival and/or departure.
8. Voting privileges are provided to any Governor in good standing plus Governors who serve *ex-officio* (such as the President or possibly a faculty representative). Additionally, votes are denied to any Governor that may have a material interest in a vote or the appearance of a material interest in a vote; when such votes are being cast in which the said Governor has interest or appearance of interest, that person must vacate from the room for the vote.

**Article V      Board of Governors**

1. Membership

- a. The Board of Governors shall consist of at least five and no more than fifteen. The terms of office shall be for three years with the possibility of reappointment by nomination and agreement of a majority of the members of the Board of Governors. Governors may not serve for more than three consecutive terms; reelection following a gap term is possible.
- b. Members of the Board of Governors are elected by a majority of the Governors then in office and attending at the Annual Meeting of the Board. Regular terms commence immediately following election, and conclude with the third annual meeting following. Terms of office will be staggered in thirds to ensure organizational memory. Members may, at the pleasure of the board, be elected to fill vacancies during a vacated term; in which case that term continues only for the length of the vacated period.
- c. The President (who functions as Chief Executive Officer with administrative, financial, personnel management and representational authority) shall serve as an *ex-officio* member with voting privileges except on issues of presidential performance or compensation.
- d. As The University grows, there shall be the following standing committees: Executive, Finance, Nominations, Academic Policy & Standards and Partner Performance Review. No committee shall exceed four members with three being recommended. Ad hoc committees shall be created from time to time.
- e. The Board is chaired by a Chairperson – and this position follows from election by the board.
- f. Nominations to membership on the Board will normally be presented to the Governors by the Chairperson of the Nominating Committee.
- g. Any member of the Board of Governors shall be removed from office, for cause, at any meeting of the Board by affirmative vote of two-thirds of the Governors then in office. Any member may be removed without cause if the proposal to remove the Governor is circulated in writing at least two weeks before a scheduled meeting of the Board and, if at that meeting, a quorum is present, and if a two-thirds majority to remove is achieved.
- h. A Governor may resign at any time from the Board by providing written notice to the Chancellor and Secretary. Or, the resignation may be submitted verbally during the course of business at a scheduled meeting of the Board.

## UNIVERSITY OF THE WORLD

- i. Any member of the Board missing more than 50% of the regular and special meetings during a three year term will not be re-appointed.
  - j. Vacancies on the Board of Governors may be filled by the remaining Governors at any regular meeting of the Board.
  - k. Governors receive no compensation.
2. Powers

The Board of Governors shall have the power to manage the property and the business of the corporation and shall have the power to carry out any other functions that are permitted by the Articles of Incorporation or these By-Laws, within the limits of the law. These powers shall include, but are not limited to the following:

- a. Appointing or removing the President and the officers of Vice-President, Secretary and Treasurer – officers of Vice-President, Secretary, and Treasurer will be nominated to the board by the President;
- b. Approving degrees and honorary degrees upon recommendation of the faculty;
- c. Establishing and reviewing the educational programs of the organization submitted to it upon recommendation of the faculty;
- d. Authorizing or delegating authorization through the budget the expenditure of funds and authorizing material expenses not otherwise budgeted for;
- e. Authorizing the sale and purchase of land and buildings and other major investments;
- f. Instituting and promoting fundraising efforts of the organization;
- g. Authorizing the incurring of debts by the organization and the securing thereof by mortgage and pledge of real and personal property, tangible and intangible;
- h. Establishing and reviewing personnel policies and procedures.

### **Article VI      Officers**

- 1. The officers of the organization shall be President, Vice-Presidents, Secretary, and Treasurer. All the officers shall be elected by the Board. Unless a vacancy in an office occurs at another time, appointment of officers shall be held at the Annual Meeting of the Board. Interim appointments may be made by the President. Appointments are for three-year periods.

The Secretary to the Board is not a member of the Board of Governors but will serve the Board as a paid employee.

2. The President sits on the board in an *ex-officio* voting capacity and on the Executive Committee. In addition, the President shall be counted as a member of other committees for quorum and other purposes including with voting privileges. The President is the full-time professional leader of The University – whose duties include: a) following the Mission, and bringing to the attention of the Governors instances where the Governors themselves may be straying from the Mission; b) implementing corporation resolutions and policies as the Chief Executive Officer with authority over management, finance, curriculum, and personnel to faculty and students; c) representing the university to the world community; d) leading in all financial matters both fund raising and fund expending following a plan approved by the Governors and spending according to a budget approved by the Governors. The compensation package of the President is recommended by the Finance Committee and voted on by the full Board.
3. All officers of the organization shall hold office at the pleasure of the Board of Governors and shall be subject to removal by affirmative vote of a majority of the entire membership of the Board of Governors without prejudice to any contract rights such officers may have.
4. In the event of a vacancy in the office of President, the Board shall appoint a search committee to submit nominations of candidates for that office, and in the interim will appoint an Interim President to serve in an acting capacity.
5. At the discretion of the President, candidates for the senior management team will be proposed and final approval by the Board of Governors. Senior appointments relate to the positions of all Vice-Presidents, Provost, Comptroller, and Secretary.

#### **Article VII Powers and Duties of the Chair of the Board of Governors**

The Chair shall preside at all meetings of the Board of Governors, shall have the right to vote on all motions, shall appoint to all committees the members who are not appointed by the Board of Governors, and shall have such other powers and duties as the Board may prescribe. The Chair is responsible for ensuring the Governors uphold the By-Laws. In the absence of the Chair, the Vice-Chair is responsible or another Governor as the board may so assign. The Chair is a non-salaried position, although reasonable itemized expenses incurred by the may be reimbursed.

#### **Article VIII Powers and Duties of the President**

The President shall be the chief executive officer of the organization and advisor to the Board of Governors and its Executive Committee. The President shall have the power on behalf of the Board to perform all acts and execute all documents to make effective the actions of the Board and its Executive Committee holding voting privileges.



## UNIVERSITY OF THE WORLD

All staff serve at the pleasure of the President subject to the terms and conditions of the Board-approved Policies & Procedures.

The President serves as the chief executive of The University and all its departments. He/she shall be responsible to the Board of Governors for the operation and management of the organization and for executing all directives of the Board of Governors.

The President will be the official channel of communication between staff and faculty with the Board of Governors. To prepare for each meeting of the Board, the President shall make a formal written report of programs and finances. The President shall develop and present the annual budget and lead in all aspects of securing private and public donor support. The President shall prepare a draft agenda for Board meetings, with the final decision as to agenda being set by the Chairperson.

The President shall implement the organizational policy as shaped by the Board of Governors, then present that to staff and faculty, partner organizations, and cooperating governments and agencies. The President shall have responsibility to engage, evaluate, and dismiss all staff and faculty (within the terms and conditions of approved Policies & Procedures) and budgetary limitations to fix salaries and approve promotions, leaves, and other issues as may arise in the performance of duties pursuant to policies. (Salary policies but not specific salaries are to be set by the Board of Governors.) In the event staff or faculty has substantive disagreement with the leadership of the President, these individuals may appeal to the Chair of the Board of Governors. (What constitutes substantive appeal resides with the discretion of the Chair, unless the Chair holds a material interest in the matter, in which case appeal goes to the full Board of Governors.)

### **Article IX Powers and Duties of the Secretary**

The Secretary (who is not a Governor) is responsible for keeping the minutes of the Board of Governors meetings, give, as may be needed, notice of all meetings of the Board of Governors and keep a record of the appointment of all committees of the Board and members of the administrative and teaching staff. The Secretary is the keeper of all official papers. The Secretary is also the official keeper of all official financial documents including but not limited to an independent copy of all institutional financial records upon completion of each year's financial audit. Any duties or powers of the Secretary may be delegated to an assistant Secretary or staff member. The Secretary has authority to submit concerns or documents directly to the Chair or the whole Board of Governors.

### **Article X Powers and Duties of the Treasurer**

The Treasurer is an officer of the Board of Governors and a voting member. The Treasurer has oversight and access to the Comptroller (who reports to the President). However the Treasurer is not the chief financial officer, but those duties reside with the Comptroller. The Treasurer is directly responsible to make sure that full and accurate accounts of all financial matters are being kept by the Comptroller. The Treasurer is also consulted in preparing the Budget before it is presented formally to the Board. The Treasurer does not make financial management decisions –

those are led by the President. Respecting funds, property and the accounts of the organization, the Treasurer assures compliance with the budget, relevant laws, and also with the By-Laws.

The Treasurer shall advise the Board on financial matters and shall assure that financial policies are developed and adhered to by all members of the organization. The Treasurer is responsible to ensure that accurate reports of financial transactions and those outstanding are conveyed to the Board of Governors in a timely manner. The Treasurer shall ensure that an annual independent outside audit is performed and presented to the Board of Governors. At the decision of the Chairperson of the Board, a Finance Committee is to be appointed of which the Treasurer must be assigned as a member. In order to ensure multiple channels of communication on fiscal matters, the Treasurer can have direct communication access to the Comptroller, and the Comptroller can have direct access to the Treasurer, although it is understood that in such access the Comptroller does not report directly to the Treasurer but rather to the President.

#### **Article XI     Committees**

The Board of Governors functions as a committee of the whole; an Executive Committee is allowed, but if such is created the terms and limits need to be such that the Executive Committee does not become the *de facto* governing body. In addition, the Executive Committee can be disbanded or restructured by a simple majority vote of the Board of Governors.

Other standing committees of the Board may include: Finance, Nominations, Academic Policy & Standards, and Partner Performance Review. Additionally, there may be such ad hoc committees as the Board may establish for the discharge of particular duties.

#### **Article XII    Faculty & Administration**

1. Faculty positions shall be under the overall and ultimate direction of the President.

The leader of the faculty will be the Provost who shall be the chief academic officer and have oversight of academic operations. The Provost also sits as a voting member on the Academic Policy & Standards Committee as an *ex-officio* member.

Academic and administrative personnel will be appointed as needed by the President in accord with budgeted positions: registrar, librarian, and admissions staff.

The faculty will be assigned with regular and temporary appointments as professor, associate or assistant professor, as well as those holding visiting appointments at academic rank and those holding emeritus appointments at academic rank. Appointments will be made by the President; all regular appointments will be vetted by a faculty committee that will provide non-binding advice to the Provost and President. Special and innovative structures will need to be worked out to engage and to appropriately compensate staff.

Separate and operating in parallel to the Provost may be further offices of Vice-President as established by the Board of Governors to create a constantly rising global learning

organization. Cross-organizational cooperation to augment such learning among these partner organizations is to be promoted along with the academic instruction by these organizations.

## 2. Faculty Responsibilities

Under the direction of the President and Provost and the approval of the Board of Governors, faculty shall have responsibility for the academic program. In addition, faculty will also lead in staffing the research program.

## 3. Meetings of the Faculty

The faculty shall hold scheduled meetings for the purpose of implementing directives of the Board and Administration and for mutual consultation. Faculty who hold adjunct appointments will not, except for special circumstances determined by the President or Provost, be considered voting members of faculty decisions.

Special meetings of the faculty can be called only by the President or Provost. Any decisions taken by the faculty in their meetings must be recorded in the official record book of the faculty. The President or designated representative shall preside at all meetings of the faculty.

Given that the faculty of The University will be globally distributed, physical attendance at meetings will be impossible. Therefore, all official faculty meetings will be conducted in electronic format. Appropriate and effective participatory structures for such electronic dialogue need to evolve.

The faculty should constitute at least one standing committee on student learning, and it may constitute, as appropriate, other committees to manage needed faculty duties. Such committees might include but not be limited to: faculty appointments, faculty discipline, faculty compensation and support, and research coordination.

## **Article XIV Conflict of Interest**

A Governor shall be considered to have a conflict of interest if:

- a) Such a Governor has existing or potential financial or other interests that impair or reasonably appear to impair the Governor's independent and unbiased judgment in the discharge of the Governor's responsibility to the organization, or
- b) A member of the Governor's immediate family or the Governor is an officer, director, employee, member, partner, Governor or controlling stockholder has an existing or potential financial or other interest.

All Governors shall disclose to the Board any possible conflict at the earliest practical time. No Governor shall vote on any matter under consideration at a Board or committee meeting in which

## UNIVERSITY OF THE WORLD

such Board member has a conflict of interest unless approved by a unanimous vote of all the members of the Board of Governors.

The minutes shall reflect that a disclosure was made and that the Governor having a conflict of interest abstained from voting or was approved by the Board. Any Governor who is uncertain whether s/he has a conflict of interest in any matter may request the Board to determine whether a conflict of interest exists and the Board shall resolve the question by majority vote.

A conflict of interest transaction is a transaction within the corporation in which a Governor or employee has a direct or indirect interest. A conflict of interest transaction is not voidable or the basis for imposing liability if all of the following apply:

- a) The transaction is fair to the organization at the time it was entered into;
- b) The material facts of the transaction and the Governor's or employee's interests are disclosed or known to the Board;
- c) The Board considers and in good faith determines that after reasonable investigation that under the circumstances, the organization could not obtain a more advantageous arrangement with reasonable effort;
- d) The organization enters into the transaction for its own benefit, and
- e) The transaction is approved by the Board of Governors or the West Virginia Attorney General or a West Virginia Circuit Court.

### **Article XV Statement Required by IRS in Articles of Incorporation for 501(c)(3)**

Said corporation is organized exclusively for charitable, educational and scientific purposes, including the making of distributions to organizations that qualify as exempt organizations under section 501(c)(3) of the Internal Revenue Code, or corresponding section of any future federal tax code. No part of the net earnings of the corporation shall inure to the benefit of, or be distributable to its members, governors, officers or other private persons, except that the corporation shall be authorized and empowered to pay reasonable compensation for services rendered and to make payments and distributions in furtherance of the purposes set forward.

No substantial part of the activities of the corporation shall be carrying on of propaganda, or otherwise attempting to influence legislation, and the corporation shall not participate in, or intervene in (including the publishing or distribution of statements) in any political campaign (domestic or foreign) or on behalf of any candidate for public office.

Notwithstanding any other provision of these articles, the corporation shall not carry on any other activities not permitted to be carried on (a) by a corporation exempt from federal income tax under section 501(c)(3) of the Internal Revenue Code, or corresponding section of any future federal tax code, or (b) by a corporation, contributions to which are deductible under section 170(c)(2) of the Internal Revenue Code, or corresponding section of any future federal tax code.

Upon dissolution of the corporation, assets shall be distributed for one or more exempt purposes within the meaning of section 501(c)(3) of the Internal Revenue Code, or corresponding section of any future purpose. Such determination must be made according to a two-thirds vote by the

Board of Governors. Any such assets not so disposed of shall be disposed of by the Court of Common Pleas of the county and country in which the relevant office of the corporation is then located, exclusively for such purposes or to such organization or organizations as said Court shall determine, which are organized and operated exclusively for such purposes.

#### **Article XVI Institutional Review Board**

An Institutional Review Board (IRB) will be created. It will operate under the standards set by the U.S. Department of Education plus associated IRB regulations of the related countries where operations may be occurring. The IRB will establish ethical policies as well as review projects.

#### **Article XVII Discrimination Prohibited**

The University of the World is committed to maintaining a diverse community in an atmosphere of mutual respect and appreciation of differences. The University of the World follows a uniform policy of nondiscrimination and does not discriminate in its educational, service, and employment policies on the basis of race, color, creed, religion, national/ethnic origin, gender, sexual orientation, age, or with regard to the bases outlined in the Veteran Readjustment Act and the Americans with Disabilities Act or other protected classes as required by State or Federal Law.

#### **Article XVIII Review and Amendment of By-Laws**

These By-Laws may be changed or amended at any meeting of the Board. Changes to the By-Laws must be proposed at one meeting, and the proposed new wording passed at that meeting by at least a two-thirds vote. Before these changes can take effect, however, they must then be reviewed at a subsequent meeting of the Board (a special meeting is permitted so long as it occurs at least forty-eight hours later) and at this second meeting the proposed changes must again pass with at least a two-thirds majority vote.

*Initial By-Laws Filed for West Virginia Incorporation*

*Adopted: 20 August 2010*

*Prepared Under the Leadership of Daniel C. Taylor*

*Chairman, Board of Governors*

*This By-Law Revision Proposed:*

*11 February 2014, following direction at Board of Governors Meeting Feb 1, 2014*

*First Vote of Approval with Amendments: DATE TO BE FILLED*

*Final Vote of Approval without Amendments: DATE TO BE FILLED*

*By Secretary to the Board of Governors: Paz Magat*



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## Policy on Non-Discrimination

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University of the World By-Laws - Article XVII

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