Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2010

For calendar year 2010, or tax year beginning 07/01 **, 2010, and ending** 06/30 **, 20** 11

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation
CANFIELD FAMILY FOUNDATION

A Employer identification number
43-6854712

Number and street (or P O box number if mail is not delivered to street address) Room/suite
11432 LACKLAND ROAD

B Telephone number (see page 10 of the instructions)
(314) 214-7239

City or town, state, and ZIP code
ST. LOUIS, MO 63146-3516

C If exemption application is pending, check here ▶ ☐

D 1 Foreign organizations check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A) check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization ☐ Section 501(c)(3) exempt private foundation
☒ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 4,806,394.

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) ______________
(Part I, column (d) must be on cash basis)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*		**(a)** Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
Revenue 1	Contributions, gifts grants etc , received (attach schedule)	273,410.			
2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
3	Interest on savings and temporary cash investments	8,760.	8,760.		ATCH 1
4	Dividends and interest from securities	429,838.	429,838.		ATCH 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	-7,927.			
b	Gross sales price for all assets on line 6a 6,131,140.				
7	Capital gain net income (from Part IV, line 2)		256,899.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)	49,119.	49,119.		ATCH 3
12	**Total** Add lines 1 through 11	753,200.	744,616.		
Operating and Administrative Expenses 13	Compensation of officers, directors, trustees, etc	0.			
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)	8,585.	5,752.	0.	2,833.
c	Other professional fees (attach schedule)				
17	Interest				
18	Taxes (attach schedule) (see page 14 of the instructions) *	8,900.			
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule) ATCH 5	96.	96.		
24	**Total operating and administrative expenses.** Add lines 13 through 23	17,581.	5,848.	0.	2,833.
25	Contributions, gifts, grants paid	152,000.			152,000.
26	**Total expenses and disbursements** Add lines 24 and 25	169,581.	5,848.	0.	154,833.
27	Subtract line 26 from line 12				
a	**Excess of revenue over expenses and disbursements**	583,619.			
b	**Net investment income** (if negative, enter -0-)		738,768.		
c	**Adjusted net income** (if negative, enter -0-)				

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For Paperwork Reduction Act Notice, see page 30 of the instructions.

JSA ** ATCH 4

Form **990-PF** (2010)

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Part II Balance Sheets

		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	129,886.	249,835.	249,835.
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) ATCH 6	2,467,168.	0.	0.
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule) ATCH 7	1,510,412.	4,441,250.	4,556,559.
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	4,107,466.	4,691,085.	4,806,394.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)		0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land bldg , and equipment fund			
	29	Retained earnings, accumulated income endowment or other funds	4,107,466.	4,691,085.	
	30	**Total net assets or fund balances** (see page 17 of the instructions)	4,107,466.	4,691,085.	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	4,107,466.	4,691,085.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,107,466.
2	Enter amount from Part I, line 27a	2	583,619.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	4,691,085.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,691,085.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 256,899.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions)
If (loss), enter -0- in Part I, line 8 3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	145,184.	3,630,581.	0.039989
2008	230,605.	2,851,416.	0.080874
2007	247,665.	5,080,205.	0.048751
2006	263,378.	5,057,527.	0.052076
2005	132,025.	4,260,873.	0.030985

2	**Total** of line 1, column (d)	2	0.252675
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.050535
4	Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	4,424,293.
5	Multiply line 4 by line 3	5	223,582.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	7,388.
7	Add lines 5 and 6	7	230,970.
8	Enter qualifying distributions from Part XII, line 4	8	154,833.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ______________ **(attach copy of ruling letter if necessary - see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	14,775.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	14,775.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	14,775.
6	Credits/Payments				
a	2010 estimated tax payments and 2009 overpayment credited to 2010	6a	7,200.		
b	Exempt foreign organizations-tax withheld at source	6b	0.		
c	Tax paid with application for extension of time to file (Form 8868)	6c	12,000.		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	19,200.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	4,425.
11	Enter the amount of line 10 to be **Credited to 2011 estimated tax** ▶ 4,425. **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶$ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ MO,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10	X	

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ LINDA CURRIER, TALX CORP. Telephone no ▶ 314-214-7701

Located at ▶ 11432 LACKLAND ROAD ST. LOUIS, MO ZIP + 4 ▶ 63146-3516

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No If "Yes," list the years ▶ ________ , ________ , ________ , ________			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) *(relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to* **all** years listed, answer "No" and attach statement - see page 22 of the instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ________ , ________ , ________ , ________			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2010 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions)

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 8		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services . . . . ▶ NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions 3 NONE	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see page 24 of the instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	4,331,177.
b	Average of monthly cash balances	1b	160,491.
c	Fair market value of all other assets (see page 25 of the instructions)	1c	0.
d	**Total** (add lines 1a, b, and c)	1d	4,491,668.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	4,491,668.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	67,375.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	4,424,293.
6	**Minimum investment return.** Enter 5% of line 5	6	221,215.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	221,215.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	14,775.		
b	Income tax for 2010 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	14,775.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	206,440.
4	*Recoveries of amounts treated as qualifying distributions*			4	0.
5	Add lines 3 and 4			5	206,440.
6	Deduction from distributable amount (see page 25 of the instructions)			6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	206,440.

Part XII Qualifying Distributions(see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	154,833.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	154,833.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	N/A
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	154,833.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1	Distributable amount for 2010 from Part XI, line 7				206,440.
2	Undistributed income, if any, as of the end of 2010				
a	Enter amount for 2009 only				
b	Total for prior years 20 08 ,20 07 ,20 06				
3	Excess distributions carryover, if any, to 2010				
a	From 2005				
b	From 2006				
c	From 2007				
d	From 2008 24,297.				
e	From 2009				
f	**Total** of lines 3a through e	24,297.			
4	Qualifying distributions for 2010 from Part XII, line 4 ▶ $ 154,833.				
a	Applied to 2009, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c	Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d	Applied to 2010 distributable amount				154,833.
e	Remaining amount distributed out of corpus	0.			
5	Excess distributions carryover applied to 2010 *(If an amount appears in column (d), the same amount must be shown in column (a))*	24,297.			24,297.
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b	Prior years' undistributed income Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions				
e	Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f	Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				27,310.
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8	Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions)				
9	**Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a				
10	Analysis of line 9				
a	Excess from 2006				
b	Excess from 2007				
c	Excess from 2008				
d	Excess from 2009				
e	Excess from 2010				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b or c for the alternative test relied upon a 'Assets' alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test- enter 2/3 of minimum investment return shown in Part X line 6 for each year listed					
c 'Support' alternative test - enter (1) Total support other than gross investment income (interest, dividends rents payments on securities loans (section 512(a)(5)) or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* ATTACHMENT 9				
Total . . . . ▶ 3a				152,000.
b *Approved for future payment*				
Total . . . . ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	8,760.	
4 Dividends and interest from securities			14	429,838.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			15	49,119.	
8 Gain or (loss) from sales of assets other than inventory			18	-7,926.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				479,791.	

13 **Total** Add line 12, columns (b), (d), and (e) . . . . 13 479,791.

(See worksheet in line 13 instructions on page 29 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 29 of the instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) *Sales of assets to a noncharitable exempt organization*	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

▶ Signature of officer or trustee

12/19/11
Date

▶ Trustee
Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Thomas W. Bassett	TWB CPA P00024534	11/30/11		P00024534

Firm's name ▶ BKD, LLP

Firm's EIN ▶ 44-0160260

Firm's address ▶ 211 N. BROADWAY, SUITE 600
ST. LOUIS, MO 63102-2733

Phone no 314-231-5544

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**

OMB No 1545-0047

2010

Name of the organization
CANFIELD FAMILY FOUNDATION

Employer identification number
43-6854712

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☐ 501(c)(3) exempt private foundation
	☒ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not aggregate to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of $5,000 or more during the year . . . . ▶ $ __________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF

Name of organization CANFIELD FAMILY FOUNDATION

Employer identification number 43-6854712

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	WILLIAM W. CANFIELD 11432 LACKLAND ROAD ST. LOUIS, MO 63146-3516	$ 8,585.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
2	WILLIAM W. CANFIELD 11432 LACKLAND ROAD ST. LOUIS, MO 63146-3516	$ 264,825.	Person ☒ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization CANFIELD FAMILY FOUNDATION

Employer identification number 43-6854712

Part II Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
2	7500 SHARES OF EQUIFAX INC STOCK	$ 264,825.	12/09/10

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

CANFIELD FAMILY FOUNDATION 43-6854712

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		BOND ST - SEE ATTACHED PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
738,926.		678,303.					60,623.	
		BOND LT - SEE ATTACHED PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
664,344.		554,494.					109,850.	
		FIDELITY ST - SEE ATTACHED PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
1,785,728.		2,001,062.					-215,334.	
		FIDELITY LT - SEE ATTACHED PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
2,672,542.		2,640,382.					32,160.	
		EQUIFAX PROPERTY TYPE: SECURITIES				D	VARIOUS	VARIOUS
263,196.							263,196.	
		OTHER CAPITAL GAIN PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
6,404.							6,404.	
TOTAL GAIN (LOSS)							256,899.	

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INTEREST		8,760.	8,760.
	TOTAL	8,760.	8,760.

ATTACHMENT 2

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
DIVIDENDS FROM SECURITIES		429,838.	429,838.
	TOTAL	429,838.	429,838.

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
ROYALTY PAYMENTS		49,119.	49,119.
	TOTALS	49,119.	49,119.

ATTACHMENT 4

FORM 990PF, PART I - TAXES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS
TAX EXPENSE		8,900.
	TOTALS	8,900.

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES PAID		1.	1.
TERMINATION FEES		95.	95.
	TOTALS	96.	96.

CANFIELD FAMILY FOUNDATION 43-6854712

ATTACHMENT 6

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
EQUITY BOND ACCOUNT	2,467,168.	0.	0.
TOTALS	2,467,168.	0.	0.

ATTACHMENT 7

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION		BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
BRANDES - OTHER		0.	0.	0.
CORPORATE BONDS		377,625.	0.	0.
MUTUAL FUNDS		1,132,787.	4,441,250.	4,556,559.
	TOTALS	1,510,412.	4,441,250.	4,556,559.

CANFIELD FAMILY FOUNDATION 43-6854712

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 8

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
WILLIAM W. CANFIELD 11432 LACKLAND ROAD ST. LOUIS, MO 63146-3516	PRESIDENT	0.	0.	0.
	GRAND TOTALS	0.	0.	0.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 9

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
LIVING LEGACY TODAY-THE TELOS PROJECT 5765 S CROCKER STREET LITTLETON, CO 80120	NONE PUBLIC CHARITY	GENERAL OPERATING FUND	5,000
WESTMINSTER CHRISTIAN ACADEMY 10900 LADUE ROAD ST LOUIS, MO 63141	NONE PUBLIC CHARITY	GENERAL OPERATING FUND	100,000
CENTRAL CHRISTIAN SCHOOL 700 S HANLEY ROAD ST LOUIS, MO 63105	NONE PUBLIC CHARITY	GENERAL OPERATING FUND	30,000
RAFIKI PO BOX 1988 EUSTIS, FL 32727	NONE PUBLIC CHARITY	GENERAL OPERATING FUND	5,000
WESTMINSTER HOCKEY ASSOCIATION 10900 LADUE ROAD ST LOUIS, MO 63141	NONE PUBLIC CHARITY	GENERAL OPERATING FUND	15,000
THE KIRK OF THE HILLS 12928 LADUE ROAD ST LOUIS, MO 63141	NONE PUBLIC CHARITY	GENERAL OPERATING FUND - WAS A 2009 CONTRIBUTION THAT NEVER CLEARED THE BANK IN 2010	-3,000
		TOTAL CONTRIBUTIONS PAID	152,000

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T. See the Instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

2010

Name of estate or trust	Employer identification number
CANFIELD FAMILY FOUNDATION	43-6854712

Note: *Form 5227 filers need to complete* ***only*** *Parts I and II*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

	(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo day, yr)	(c) Date sold (mo day yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a						

b	Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	-154,711.
2	Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3	Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4	Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2009 Capital Loss Carryover Worksheet	4	( )
5	**Net short-term gain or (loss).** Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back ▶	5	-154,711.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

	(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day yr)	(c) Date sold (mo , day yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a						

b	Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	411,610.
7	Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8	Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9	Capital gain distributions	9	
10	Gain from Form 4797, Part I	10	
11	Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2009 Capital Loss Carryover Worksheet	11	( )
12	**Net long-term gain or (loss).** Combine lines 6a through 11 in column (f) Enter here and on line 14a column (3) on the back ▶	12	411,610.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041 **Schedule D (Form 1041) 2010**

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Part III **Summary of Parts I and II** **Caution:** *Read the instructions* **before** *completing this part*		(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
13 **Net short-term gain or (loss)**	13			-154,711.
14 **Net long-term gain or (loss):**				
a Total for year	14a			411,610.
b Unrecaptured section 1250 gain (see line 18 of the wrksht)	14b			
c 28% rate gain	14c			
15 **Total net gain or (loss).** Combine lines 13 and 14a ▶	15			256,899.

Note: *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 14a and 15, column (2), are net gains, go to Part V, and* **do not** *complete Part IV If line 15, column (3), is a net loss, complete Part IV and the* **Capital Loss Carryover Worksheet,** *as necessary*

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust) , the **smaller** of **a** The loss on line 15, column (3) **or** **b** $3,000	16	( )

Note: *If the loss on line 15, column (3), is more than $3,000,* **or** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* **Capital Loss Carryover Worksheet** *on page 7 of the instructions to figure your capital loss carryover*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero

Caution: *Skip this part and complete the worksheet on page 8 of the instructions if*

- *Either line 14b, col (2) or line 14c, col (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero*

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			17		
18	Enter the **smaller** of line 14a or 15 in column (2) but not less than zero	18				
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19				
20	Add lines 18 and 19	20				
21	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ▶	21				
22	Subtract line 21 from line 20 If zero or less, enter -0-			22		
23	Subtract line 22 from line 17 If zero or less, enter -0-			23		
24	Enter the **smaller** of the amount on line 17 or $2,300			24		
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ **Yes.** Skip lines 25 and 26, go to line 27 and check the "No" box ☐ **No.** Enter the amount from line 23			25		
26	Subtract line 25 from line 24			26		
27	Are the amounts on lines 22 and 26 the same? ☐ **Yes.** Skip lines 27 thru 30 go to line 31 ☐ **No.** Enter the **smaller** of line 17 or line 22			27		
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)			28		
29	Subtract line 28 from line 27			29		
30	Multiply line 29 by 15% (15)				30	
31	Figure the tax on the amount on line 23 Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)				31	
32	Add lines 30 and 31				32	
33	Figure the tax on the amount on line 17 Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)				33	
34	**Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)				34	

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2010

Name of estate or trust
CANFIELD FAMILY FOUNDATION

Employer identification number
43-6854712

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a BOND ST - SEE ATTACHED	VARIOUS	VARIOUS	738,926.	678,303.	60,623.
FIDELITY ST - SEE ATTACHED	VARIOUS	VARIOUS	1,785,728.	2,001,062.	-215,334.

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . . -154,711.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041

Schedule D-1 (Form 1041) 2010

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Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

	(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a	BOND LT - SEE ATTACHED	VARIOUS	VARIOUS	664,344.	554,494.	109,850.
	FIDELITY LT - SEE ATTACHED	VARIOUS	VARIOUS	2,672,542.	2,640,382.	32,160.
	EQUIFAX	VARIOUS	VARIOUS	263,196.		263,196.
	OTHER CAPITAL GAIN	VARIOUS	VARIOUS	6,404.		6,404.

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . . 411,610.

Schedule D-1 (Form 1041) 2010

JSA
0F1222 1 000

647-997129 | CANFIELD FAMILY FOUN - CANFIELDFA | Trust Under Agreement

Related Links

View all Closed Positions

Select Year 2011 ▾ Go

2011 Summary

	Short Term	Long Term
Gain	↑ $27,773 85	↑ $265,565 84
Loss	↓ -$243,107 30	↓ -$233,405 85
Disallowed Loss	$0 00	$0 00
Net Gain/Loss	↓ **-$215,333.45**	↑ **$32,159.99**

Total ST Proceeds	1,785,728 24
Total ST Cost	(2,001,061 69)
Total ST Loss	(215,333 45)
Total LT Proceeds	2,672,541 74
Total LT Cost	(2,640 381 75)
Total LT Gain	32,159 99

2011 Details

AMERICAN CAP AGY CORP COM Symbol AGNC CUSIP 02503X105

Date of Sale	Date Acquired	Quantity	Proceeds	Cost/Share	Cost	Realized Gain/Loss	Realized Gain/Loss %	Holding Period	Cost Source	Cost Selection Method
06/14/2011	09/17/2010	12,000 0000	$364,806 37	$29 47	$353,697 80	↑ $11,108 57	↑ 3 14%	Short	Third Party	FIFO
06/14/2011	10/28/2010	3,000 0000	$91,201 59	$28 65	$85,948 10	↑ $5,253 49	↑ 6 11%	Short	Third Party	FIFO
06/14/2011	12/14/2010	2,000 0000	$60,801 06	$29 81	$59,625 00	↑ $1,176 06	↑ 1 97%	Short	Third Party	FIFO
	Total:	**17,000.0000**	**$516,809.02**		**$499,270.90**	↑ **$17,538.12**	↑ **3.51%**			

ANNALY CAPITAL MANAGEMENT INC COM Symbol NLY CUSIP 035710409

Date of Sale	Date Acquired	Quantity	Proceeds	Cost/Share	Cost	Realized Gain/Loss	Realized Gain/Loss %	Holding Period	Cost Source	Cost Selection Method
06/14/2011	02/23/2010	8,000 0000	$147,065 25	$18 04	$144,324 20	↑ $2,741 05	↑ 1 90%	Long	Third Party	FIFO
06/14/2011	01/08/2010	16,000 0000	$294,130 49	$17 53	$280,481 80	↑ $13,648 69	↑ 4 87%	Long	Third Party	FIFO
06/14/2011	07/12/2010	1,000 0000	$18,383 16	$18 19	$18,193 30	↑ $189 86	↑ 1 04%	Short	Third Party	FIFO
06/14/2011	12/14/2010	2,000 0000	$36,766 31	$18 33	$36,665 00	↑ $101 31	↑ 0 28%	Short	Third Party	FIFO
	Total:	**27,000.0000**	**$496,345.21**		**$479,664.30**	↑ **$16,680.91**	↑ **3.48%**			

APOLLO INVT CORP COM SH BEN INT Symbol AINV CUSIP 03761U106

Date of Sale	Date Acquired	Quantity	Proceeds	Cost/Share	Cost	Realized Gain/Loss	Realized Gain/Loss %	Holding Period	Cost Source	Cost Selection Method
06/14/2011	06/28/2007	2,700 0000	$27,635 50	$21 97	$59,319 00	↓ -$31,683 50	↓ -53 41%	Long	Third Party	FIFO

06/14/2011	06/28/2007	2,498 0000	$25,567 96	$21 99	$54,931 02	↓ -$29,363 06	↓ -53 45%	Long	Third Party	FIFO
06/14/2011	06/28/2007	1,740 0000	$17,809 55	$21 92	$38,146 30	↓ $20,336 75	↓ -53 31%	Long	Third Party	FIFO
06/14/2011	06/28/2007	1,488 0000	$15,230 23	$21 96	$32,676 48	↓ -$17,446 25	↓ -53 39%	Long	Third Party	FIFO
06/14/2011	06/28/2007	1,300 0000	$13,305 98	$21 93	$28,509 00	↓ -$15,203 02	↓ -53 33%	Long	Third Party	FIFO
06/14/2011	06/28/2007	3,074 0000	$31,463 53	$22 01	$67,658 74	↓ -$36,195 21	↓ -53 50%	Long	Third Party	FIFO
06/14/2011	06/28/2007	500 0000	$5,117 69	$21 95	$10,975 00	↓ -$5,857 31	↓ -53 37%	Long	Third Party	FIFO
06/14/2011	07/12/2010	1,000 0000	$10,235 37	$10 13	$10,133 00	↑ $102 37	↑ 1 01%	Short	Third Party	FIFO
06/14/2011	06/28/2007	300 0000	$3,070 61	$21 94	$6,582 00	↓ -$3,511 39	↓ -53 35%	Long	Third Party	FIFO
06/14/2011	06/18/2009	4,000 0000	$40,941 49	$6 40	$25,593 00	↑ $15 348 49	↑ 59 97%	Long	Third Party	FIFO
06/14/2011	06/19/2009	2,400 0000	$24,564 89	$6 55	$15,717 80	↑ $8,817 09	↑ 56 29%	Long	Third Party	FIFO
06/14/2011	11/18/2009	5,000 0000	$51,176 86	$9 73	$48,640 00	↑ $2,536 86	↑ 5 22%	Long	Third Party	FIFO
06/14/2011	01/08/2010	4,000 0000	$40,941 49	$10 87	$43,471 00	↓ -$2,529 51	↓ -5 82%	Long	Third Party	FIFO
	Total:	**30,000.0000**	**$307,061.15**		**$442,352.34**	↓ **-$135,291.19**	↓ **-30.58%**			

ARES CAPITAL CORP COM STK USD0.001 Symbol ARCC CUSIP 04010L103

Date of Sale	Date Acquired	Quantity	Proceeds	Cost/Share	Cost	Realized Gain/Loss	Realized Gain/Loss %	Holding Period	Cost Source	Cost Selection Method
06/14/2011	06/28/2007	1,916 0000	$31,283 81	$17 08	$32,725 28	↓ -$1,441 47	↓ -4 40%	Long	Third Party	FIFO
06/14/2011	06/28/2007	1,740 0000	$28,410 14	$17 06	$29,689 90	↓ -$1,279 76	↓ -4 31%	Long	Third Party	FIFO
06/14/2011	06/28/2007	1,300 0000	$21,225 97	$17 09	$22,217 00	↓ -$991 03	↓ -4 46%	Long	Third Party	FIFO
06/14/2011	06/28/2007	1,144 0000	$18,678 85	$17 11	$19,573 84	↓ -$894 99	↓ -4 57%	Long	Third Party	FIFO
06/14/2011	06/28/2007	1,200 0000	$19,593.20	$17 10	$20,520 00	↓ -$926 80	↓ -4 52%	Long	Third Party	FIFO
06/14/2011	02/23/2010	12,000 0000	$195,932.03	$12 73	$152,799 80	↑ $43,132 23	↑ 28 23%	Long	Third Party	FIFO
06/14/2011	06/28/2007	200 0000	$3,265 53	$17 07	$3,414 00	↓ -$148 47	↓ -4 35%	Long	Third Party	FIFO
06/14/2011	06/19/2009	2,500 0000	$40,819.17	$8.12	$20,298 25	↑ $20,520 92	↑ 101 10%	Long	Third Party	FIFO
06/14/2011	01/08/2010	3,000 0000	$48,983 00	$13 47	$40,424 00	↑ $8,559 00	↑ 21.17%	Long	Third Party	FIFO
	Total:	**25,00**	**$408,19**		**$341,6**	↑ **$66,529.63**	↑ **19.47%**			

0.0000	1.70	62.07

BLACKROCK INTL GROWTH & INCOME TR COM Symbol BGY CUSIP 092524107

Date of Sale	Date Acquired	Quantity	Proceeds	Cost/Share	Cost	Realized Gain/Loss	Realized Gain/Loss %	Holding Period	Cost Source	Cost Selection Method
06/14/2011	01/05/2010	6,000 0000	$59,820 23	$10 43	$62,598 20	↓ -$2,777 97	↓ -1 44%	Long	Third Party	FIFO
06/14/2011	11/18/2009	5,000 0000	$49,850 19	$11 01	$55,038 62	↓ -$5,188 43	↓ -9 43%	Long	Third Party	FIFO
06/14/2011	01/08/2010	7,000 0000	$69,790 27	$10 60	$74,169 30	↓ $4,379 03	↓ -5 90%	Long	Third Party	FIFO
06/14/2011	12/14/2010	5,000 0000	$49,850 19	$10 86	$54,280 50	↓ $4,430 51	↓ 8 16%	Short	Third Party	FIFO
06/14/2011	10/28/2010	12,000 0000	$119,640 46	$10 64	$127,633 40	↓ -$7,992 94	↓ -6 26%	Short	Third Party	FIFO
	Total:	**35,000.0000**	**$348,951.34**		**$373,720.02**	**↓ -$24,768.68**	**↓ -6.63%**			

CAPSTEAD MTG CORP COM NO PAR Symbol CMO CUSIP 14067E506

Date of Sale	Date Acquired	Quantity	Proceeds	Cost/Share	Cost	Realized Gain/Loss	Realized Gain/Loss %	Holding Period	Cost Source	Cost Selection Method
06/14/2011	09/28/2009	3,500 0000	$47,379 88	$14 77	$51,694 90	↓ -$4,315 02	↓ -8 35%	Long	Third Party	FIFO
06/14/2011	09/28/2009	700 0000	$9,475 98	$14 76	$10,332 00	↓ -$856 02	↓ -8 29%	Long	Third Party	FIFO
06/14/2011	09/28/2009	400 0000	$5,414 84	$14 77	$5,907 60	↓ -$492 75	↓ -8 34%	Long	Third Party	FIFO
06/14/2011	09/28/2009	5,400 0000	$73,100 38	$14 75	$79,654 85	↓ -$6,554 47	↓ -8 23%	Long	Third Party	FIFO
06/14/2011	10/06/2009	5,000 0000	$67,685 54	$13 96	$69,792 35	↓ -$2,106 81	↓ -3 02%	Long	Third Party	FIFO
06/14/2011	07/12/2010	4,000 0000	$54,148 43	$11 32	$45,295 20	↑ $8,853 23	↑ 19.55%	Short	Third Party	FIFO
06/14/2011	11/18/2009	5,000 0000	$67,685 54	$13 93	$69,644 85	↓ -$1,959 31	↓ -2 81%	Long	Third Party	FIFO
06/14/2011	01/08/2010	6,000 0000	$81,222 65	$13 69	$82,113 62	↓ -$890 97	↓ -1 09%	Long	Third Party	FIFO
	Total:	**30,000.0000**	**$406,113.24**		**$414,435.37**	**↓ -$8,322.13**	**↓ -2.01%**			

CHIMERA INVT CORP COM Symbol: CIM CUSIP 16934Q109

Date of Sale	Date Acquired	Quantity	Proceeds	Cost/Share	Cost	Realized Gain/Loss	Realized Gain/Loss %	Holding Period	Cost Source	Cost Selection Method
06/14/2011	06/15/2010	10,000 0000	$35,246 92	$4 17	$41,705 00	↓ -$6,458 08	↓ -15 49%	Short	Third Party	FIFO

06/14/2011	05/04/2010	70,000 0000	$246,728 46	$4 04	$282,805 00	↓ -$36,076 54	↓ -12 76%	Long	Third Party	FIFO
06/14/2011	10/28/2010	20,000 0000	$70,493 85	$4 13	$82,595 00	↓ $12,101 15	↓ -14 65%	Short	Third Party	FIFO
06/14/2011	12/14/2010	7,000 0000	$24,672 85	$4 29	$30,035 00	↓ -$5,362 15	↓ -17 85%	Short	Third Party	FIFO
	Total:	**107,000.0000**	**$377,142.08**		**$437,140.00**	**↓ -$59,997 92**	**↓ -13.73%**			

FLAHERTY & CRUMRINE / CLAYMORE PFD SECS INCOME FD Symbol FFC CUSIP 338478100

Date of Sale	Date Acquired	Quantity	Proceeds	Cost/Share	Cost	Realized Gain/Loss	Realized Gain/Loss %	Holding Period	Cost Source	Cost Selection Method
06/14/2011	04/17/2009	7,200 0000	$125,819 78	$7 74	$55,697 41	↑ $70,122 37	↑ 125 90%	Long	Third Party	FIFO
06/14/2011	06/18/2009	2,800 0000	$48,929 91	$10 28	$28,772 74	↑ $20,157 17	↑ 70 06%	Long	Third Party	FIFO
	Total.	**10,000.0000**	**$174,749.69**		**$84,470.15**	**↑ $90,279.54**	**↑ 106.88%**			

HATTERAS FINL CORP COM Symbol HTS CUSIP 41902R103

Date of Sale	Date Acquired	Quantity	Proceeds	Cost/Share	Cost	Realized Gain/Loss	Realized Gain/Loss %	Holding Period	Cost Source	Cost Selection Method
06/14/2011	05/04/2010	2,000 0000	$57,850 56	$26 12	$52,239 40	↑ $5,611 16	↑ 10 74%	Long	Third Party	FIFO
06/14/2011	01/08/2010	10,000 0000	$289,252 81	$27 52	$275,218 00	↑ $14,034 81	↑ 5 10%	Long	Third Party	FIFO
06/14/2011	07/12/2010	2,000 0000	$57,850 56	$28 43	$56,861 60	↑ $988 96	↑ 1 74%	Short	Third Party	FIFO
06/14/2011	12/14/2010	1,000 0000	$28,925 28	$31 59	$31,585 00	↓ -$2,659 72	↓ -8 42%	Short	Third Party	FIFO
	Total:	**15,000.0000**	**$433,879.21**		**$415,904.00**	**↑ $17,975.21**	**↑ 4.32%**			

INVESCO MORTGAGE CAPITAL INC COM Symbol IVR CUSIP 46131B100

Date of Sale	Date Acquired	Quantity	Proceeds	Cost/Share	Cost	Realized Gain/Loss	Realized Gain/Loss %	Holding Period	Cost Source	Cost Selection Method
06/14/2011	12/14/2010	2,000 0000	$44,296 50	$23 21	$46,425 00	↓ -$2,128 50	↓ -4 58%	Short	Third Party	FIFO
06/14/2011	12/15/2010	12,000 0000	$265,778 99	$22 74	$272,826 20	↓ -$7,047 21	↓ -2 58%	Short	Third Party	FIFO
	Total:	**14,000.0000**	**$310,075.49**		**$319,251.20**	**↓ -$9,175.71**	**↓ -2.87%**			

MORGAN STANLEY EMERGING MKTS DOMESTIC DEBT Symbol: EDD CUSIP: 617477104

							Realized G	Holdin		Cost S electi

Date of Sale	Date Acquired	Quantity	Proceeds	Cost/Share	Cost	Realized Gain/Loss	ain/Loss %	g Period	Cost Source	on Method
06/14/2011	02/23/2010	13,000 0000	$226,321 50	$14 31	$186,015 50	↑ $40,306 00	↑ 21 67%	Long	Third Party	FIFO

WHITING USA TR I TR UNIT Symbol WHX CUSIP 966389108

Date of Sale	Date Acquired	Quantity	Proceeds	Cost/Share	Cost	Realized Gain/Loss	Realized Gain/Loss %	Holding Period	Cost Source	Cost Selection Method
06/14/2011	12/14/2010	3,000 0000	$45,263 04	$21 75	$65,259 80	↓ -$19,996 76	↓ -30 64%	Short	Third Party	FIFO
06/14/2011	10/28/2010	20,000 0000	$301,753 57	$23 15	$462,961 00	↓ -$161,207 43	↓ -31 82%	Short	Third Party	FIFO
06/14/2011	02/28/2011	4,075 0000	$61,482 29	$17 06	$69,514 61	↓ -$8,032 32	↓ 11 55%	Short	Third Party	FIFO
06/14/2011	02/28/2011	775 0000	$11,692 94	$17 11	$13,263 74	↓ $1,570 80	↓ -11 84%	Short	Third Party	FIFO
06/14/2011	02/28/2011	2,150 0000	$32,438 51	$17 00	$36,558 44	↓ -$4,119 93	↓ -11 27%	Short	Third Party	FIFO
	Total:	**30,000.0000**	**$452,630.35**		**$647,557.59**	↓ **-$194,927.24**	↓ **-30.10%**			

Total values have been calculated based on the available amounts as they appear in the table.

Additional values appearing as N/A (Not Available), --- (3 dashes), NIGO, and Unknown have not been included.

FBS will report certain cost basis and holding period information to you and to the IRS on your annual Form 1099-B, but FBS provided estimated cost basis (including cost basis and short sale proceeds information provided to FBS by customers), realized gain and loss, and holding period information may not reflect all adjustments necessary for tax reporting purposes. Taxpayers should verify such information against their own records when calculating reported gain or loss resulting from a sale, redemption, or exchange. While FBS must meet IRS requirements with respect to certain information required to be reported to the IRS, FBS is not responsible for your use of this information in meeting your federal, state and other tax obligations. FBS makes no warranties with respect to, and specifically disclaims any liability arising out of a customer's use of, or any tax position taken in reliance upon, such information. Unless otherwise specified, FBS determines cost basis at the time of sale based on the average cost single category (ACSC) method for open end mutual funds and based on the first-in, first-out method (FIFO) for securities other than open end mutual funds. Customers should consult their tax advisor for further information.

Limitation on Cost Basis Information

Fidelity's cost basis information system has a cumulative lifetime limit on how much activity it can track for each individual security position in an account. For this purpose, each buy, sell, dividend, wash sale disallowed loss, stock split, stock merger, etc., is an event. For some customers, this limit can be reached with approximately 1500 events. Cost basis information for events beyond that limit will usually show as not available or unknown. In addition, any cost basis information shown may be outdated due to events occurring after the limit is exceeded. Once the limit is reached, all cost basis information for the affected position will need to be tracked and updated by the investor. Investors and their Advisors will continue to receive trade confirmations and account statements reflecting current transactions in their account. If you are uncertain if you have reached, or are near, the lifetime limit on a particular security position in your account, contact Fidelity Investments or your advisor for more details. Clearing, custody or other brokerage services may be provided by National Financial Services LLC or Fidelity Brokerage Services LLC, Members NYSE, SIPC 510918

Schedule of Realized Gains and Losses

07/01/2010 - 06/30/2011

Wednesday, November 09, 2011
B048 GLADNEY WILLIAM B

Prepared For:
2015-2915
CANFIELD FAMILY
FOUNDATION
11432 LACKLAND
ST LOUIS MO 63146-351E

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
Short											
28,000	ALPINE TOTAL DYNAMV	AOD	04/12/2010"	260,559.00		9 2455	10/28/2010	155,662 13	5 6196	-104,896.87	-40 20
7,000	ALPINE TOTAL DYNAMV	AOD	05/04/2010"	62,515 00		8 8700	10/28/2010	38,915 54	5 6196	-23,599 46	-37 75
11,000	NUVEEN EQU PREM ORT	JSN	02/23/2010"	144,547 20	132,204.48	13 0802	12/15/2010	141,622 04	12 9352	9,417 56	6.52
1,000	NUVEEN EQU PREM ORT	JSN	07/12/2010"	12,587 80	12,044 62	12 5228	12/15/2010	12,874 74	12 9352	829 92	6.59
4,000	SUN COMMUNITIES I	SUI	11/18/2009"	76,201 60	68,188 20	18.9892	10/28/2010	129,950 06	32.5486	61,762.46	81 05
8,000	SUN COMMUNITIES I	SUI	02/23/2010"	154,812 20	142,791.60	19.2909	10/28/2010	259,901 32	32 5486	117,109.52	75 65

Total ST Cost 323,074 + 355 229 = 678,303 30 ST Proceeds 738,926.43 ST Gain 60,623.13

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
Long											
7,000	FLAHERTY & CRUMF	FFC	04/17/2009"	54,150 27		7 6754	12/14/2010	105,623 21	15.1500	51,472 94	95 06
4,000	NUVEEN EQU PREMORT	JSN	06/22/2009"	42,764 11	38,275 89	10 6306	12/14/2010	51,834 12	13.0200	13,558 23	31 70
8,000	NUVEEN EQU PREMORT	JSN	06/22/2009"	85,528 21	76,551 73	10 6306	12/15/2010	102,997 85	12.9352	26,446 12	30 92
1,000	SUN COMMUNITIES	SUI	08/19/2009"	14,172 54	12,169 14	14 1109	10/28/2010	32,467 66	32 5486	20,318 52	143 37
15,000	GMAC 7 125% 8/15/1	37042G-C3 8	12/18/2006"	15,005 50		100 0000	08/17/2010	14,957 98	99 7240	-47 52	-0 32
55,000	GMAC 7.125% 8/15/1	37042G-C3 8	12/21/2006"	55,005 50		100 0000	08/17/2010	54,845 94	99 7240	-159 56	-0 29
52,000	GMAC 7.125% 8/15/1	37042G-C3-8	01/05/2007"	52,005 50		100 0000	08/17/2010	51,854 36	99.7240	-151 14	-0 29
10,000	GMAC 7.25% 8/15/12	37042G-C7-9	01/05/2007"	10,005 50		100 0000	08/17/2010	9,998 37	99.9980	-7 13	-0 07
25,000	GMAC 7 25% 8/15/12	37042G-C7-9	01/19/2007"	25,005 50		100 0000	08/17/2010	24,995 93	99 9980	-9 57	-0 04
32,000	GMAC 7 1% 9/15/12	37042G-D4-5	12/18/2006"	32,002 94		99 9920	08/17/2010	31,866 66	99 5990	-136 28	-0 43
50,000	GMAC 7% 9/15/12	37042G-E2-8	12/21/2006"	50,005.50		100 0000	08/17/2010	49,745 00	99.5000	-260 50	-0 52
25,000	GENL 7% 11/15/12	37042G-N4-4	12/21/2006"	25,005 50		100 0000	08/17/2010	24,838 75	99 3750	-166 75	-0 67
24,000	GMAC 7 1% 1/15/13	37042G-S5-6	12/18/2006"	24,005.50		100 0000	08/17/2010	23,995 75	99 9970	-9 75	-0.04
10,000	GMAC 7 1% 1/15/13	37042G-S5-6	12/26/2006"	10,005 50		100 0000	08/17/2010	9,998 23	99 9970	-7 27	-0 07
31,000	GMAC 6 5% 5/15/13	37042G-3L-6	12/18/2006"	30,075 50	30,541 35	97.0000	07/12/2010	29,837 46	96 2660	-703 69	-2 34
20,000	GMAC 7.1% 01/15/13	37042G T5-0	01/19/2007"	20,005 50		100 0000	08/17/2010	19,994 40	99 9970	-11 10	-0 06
25,000	GMAC 6.8% 04/15/13	37042G-2Y-1	12/18/2006"	24,748 00		98.9700	07/12/2010	24,472 25	97 9090	-275 75	-1.11

Total LT Cost 396,956 + 157,538 − 554,494 32 LT Proceeds 664,343.94 LT Gain 109,849.62

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
Misc											
12,000	AMERICAN CAP AGRP	AGNC	09/17/2010"	353,697 80		29 4144	06/13/2011				
3,000	AMERICAN CAP AGRP	AGNC	10/28/2010"	85,948 10		28.5877	06/13/2011				
2,000	AMERICAN CAP AGRP	AGNC	12/14/2010"	59,625 00		29 7500	06/13/2011				
16,000	ANNALY CAPITAL MREIT	NLY	01/08/2010"	280,481 60		17 4698	06/13/2011				
8,000	ANNALY CAPITAL MREIT	NLY	02/23/2010"	144,324 20		17 9799	06/13/2011				
1,000	ANNALY CAPITAL MREIT	NLY	07/12/2010"	18,193 30		18 1263	06/13/2011				

Schedule of Realized Gains and Losses

07/01/2010 - 06/30/2011

Prepared For
2015-2915
CANFIELD FAMILY
FOUNDATION

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain Or Loss
Misc - Continued											
2,000	ANNALY CAPITAL MGMT REIT	NLY	12/14/2010^{N}	36,665.00		18.2700	06/13/2011				
3,074	APOLLO INVESTMENT CORP	AINV	06/28/2007^{N}	67,658.74		21.9500	06/13/2011				
2,700	APOLLO INVESTMENT CORP	AINV	06/28/2007^{N}	59,319.00		21.9100	06/13/2011				
2,498	APOLLO INVESTMENT CORP	AINV	06/28/2007^{N}	54,931.02		21.9300	06/13/2011				
1,740	APOLLO INVESTMENT CORP	AINV	06/28/2007^{N}	38,146.30		21.8600	06/13/2011				
1,488	APOLLO INVESTMENT CORP	AINV	06/28/2007^{N}	32,676.48		21.9000	06/13/2011				
1,300	APOLLO INVESTMENT CORP	AINV	06/28/2007^{N}	28,509.00		21.8700	06/13/2011				
500	APOLLO INVESTMENT CORP	AINV	06/28/2007^{N}	10,975.00		21.8900	06/13/2011				
300	APOLLO INVESTMENT CORP	AINV	06/28/2007^{N}	6,582.00		21.8800	06/13/2011				
4,000	APOLLO INVESTMENT CORP	AINV	06/18/2009^{N}	25,593.00		6.3370	06/13/2011				
2,400	APOLLO INVESTMENT CORP	AINV	06/19/2009^{N}	15,717.60		6.4870	06/13/2011				
5,000	APOLLO INVESTMENT CORP	AINV	11/18/2009^{N}	48,640.00		9.6670	06/13/2011				
4,000	APOLLO INVESTMENT CORP	AINV	01/08/2010^{N}	43,471.00		10.8065	06/13/2011				
1,000	APOLLO INVESTMENT CORP	AINV	07/12/2010^{N}	10,133.00		10.0680	06/13/2011				
1,144	ARES CAPITAL CORP	ARCC	06/28/2007^{N}	19,573.84		17.0500	06/13/2011				
1,916	ARES CAPITAL CORP	ARCC	06/28/2007^{N}	32,725.28		17.0200	06/13/2011				
1,740	ARES CAPITAL CORP	ARCC	06/28/2007^{N}	29,689.90		17.0000	06/13/2011				
1,300	ARES CAPITAL CORP	ARCC	06/28/2007^{N}	22,217.00		17.0300	06/13/2011				
1,200	ARES CAPITAL CORP	ARCC	06/28/2007^{N}	20,520.00		17.0400	06/13/2011				
200	ARES CAPITAL CORP	ARCC	06/28/2007^{N}	3,414.00		17.0100	06/13/2011				
2,500	ARES CAPITAL CORP	ARCC	06/19/2009^{N}	20,298.25		8.0573	06/13/2011				
3,000	ARES CAPITAL CORP	ARCC	01/08/2010^{N}	40,424.00		13.4130	06/13/2011				
12,000	ARES CAPITAL CORP	ARCC	02/23/2010^{N}	152,799.80		12.6729	06/13/2011				
5,000	BLACKROCK INTL GRW INC	BGY	11/18/2009^{N}	60,714.12		12.0822	06/13/2011				
6,000	BLACKROCK INTL GRW INC	BGY	01/05/2010^{N}	69,408.60		11.5073	06/13/2011				
7,000	BLACKROCK INTL GRW INC	BGY	01/08/2010^{N}	82,115.00		11.6700	06/13/2011				
12,000	BLACKROCK INTL GRW INC	BGY	10/28/2010^{N}	127,633.40		10.5757	06/13/2011				
5,000	BLACKROCK INTL GRW INC	BGY	12/14/2010^{N}	54,260.50		10.7951	06/13/2011				
5,400	CAPSTEAD MTG CORP	CMO	09/28/2009^{N}	80,087.00		14.7700	06/13/2011				
3,500	CAPSTEAD MTG CORP	CMO	09/28/2009^{N}	51,975.00		14.7900	06/13/2011				
700	CAPSTEAD MTG CORP	CMO	09/28/2009^{N}	10,388.00		14.7800	06/13/2011				
400	CAPSTEAD MTG CORP	CMO	09/28/2009^{N}	5,939.60		14.7890	06/13/2011				
5,000	CAPSTEAD MTG CORP	CMO	10/06/2009^{N}	70,192.50		13.9775	06/13/2011				
5,000	CAPSTEAD MTG CORP	CMO	11/18/2009^{N}	70,045.00		13.9480	06/13/2011				
6,000	CAPSTEAD MTG CORP	CMO	01/08/2010^{N}	82,593.80		13.7048	06/13/2011				
4,000	CAPSTEAD MTG CORP	CMO	07/12/2010^{N}	45,433.00		11.2970	06/13/2011				

Schedule of Realized Gains and Losses

07/01/2010 - 06/30/2011

Wednesday, November 09, 2011
B048 GLADNEY WILLIAM B

Prepared For:
2015-2915
CANFIELD FAMILY
FOUNDATION

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
Misc - *Continued*											
70,000	CHIMERA INVESTMENT CORP	CIM	05/04/2010N	282,805 00		3 9800	06/13/2011				
10,000	CHIMERA INVESTMENT CORP	CIM	06/15/2010N	41,705 00		4 1100	06/13/2011				
20,000	CHIMERA INVESTMENT CORP	CIM	10/28/2010N	82,595 00		4 0695	06/13/2011				
7,000	CHIMERA INVESTMENT CORP	CIM	12/14/2010N	30,035 00		4.2300	06/13/2011				
13,000	MORGAN STANLEY DOMESTIC	EDD	02/23/2010N	186,015 50		14 2485	06/13/2011				
400	EQUIFAX INC	EFX	05/21/2007N				12/10/2010	14,037 09	35 1540		
300	EQUIFAX INC	EFX	05/21/2007N				12/10/2010	10,527 62	35.1540		
6,800	EQUIFAX INC	EFX	12/09/2010N				12/10/2010	236,630 63	35.1540		
7,200	FLAHERTY & CRUMRINE	FFC	04/17/2009N	55,697.41		7 6754	06/13/2011				
2,800	FLAHERTY & CRUMRINE	FFC	06/18/2009N	28,772 74		10 2142	06/13/2011				
10,000	HATTERAS FINCL CORP	HTS	01/08/2010N	275,218 00		27 4613	06/13/2011				
2,000	HATTERAS FINCL CORP	HTS	05/04/2010N	52,239 40		26 0572	06/13/2011				
2,000	HATTERAS FINCL CORP	HTS	07/12/2010N	56,861.60		28 3883	06/13/2011				
1,000	HATTERAS FINCL CORP	HTS	12/14/2010N	31,585.00		31 5200	06/13/2011				
2,000	INVESCO MORTGAGE CAP INC	IVR	12/14/2010N	46,425.00		23.1500	06/13/2011				
12,000	INVESCO MORTGAGE CAP INC	IVR	12/15/2010N	272,826.20		22 6751	06/13/2011				
20,000	WHITING USA TRUST I	WHX	10/28/2010N	462,961.00		23 0876	06/13/2011				
3,000	WHITING USA TRUST I	WHX	12/14/2010N	65 259 80		21 6916	06/13/2011				
4,075	WHITING USA TRUST I	WHX	02/28/2011N	69,514 61		16 9988	06/13/2011				
2,150	WHITING USA TRUST I	WHX	02/28/2011N	36,558 44		16 9416	06/13/2011				
775	WHITING USA TRUST I	WHX	02/28/2011N	13,263 74		17 0545	06/13/2011				
				4,664,093.77		Equifax INC Proceeds		263,195 54			

Schedule of Realized Gains and Losses

07/01/2010 - 06/30/2011

Wednesday, November 09, 2011
B048 GLADNEY WILLIAM B

Prepared For:
2015-2915
CANFIELD FAMILY
FOUNDATION

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
Report Summary				5,944,813.34	512,767.41			1,666,465.91		170,472.75	

Realized Gains or Losses

Short Term	60,623.13
Long Term	109,849.62

Legend

- (*) Security Held Away
- C - Covered Tax Lot
- N - Noncovered Tax Lot
- M Net Row Contains Both Covered and Noncovered Tax Lots
- W - Open Date Reflects Wash Sale Date

Covered Tax Lot - Cost information for this tax lot is covered by IRS reporting requirements
Noncovered Tax Lot - Cost information for this tax lot is not covered by IRS reporting requirements.
Wash Sale Date - The cost for this tax lot has been adjusted due to wash sale activity as defined by the IRS regulations

This report is a service from your investment executive, not the official record of your account or a substitute for statements and confirmations It is prepared as of trade rathethan settlement date and its date may differ from your statement The realized gains and losses noted above are the gross amounts, prior to fees and expenses This report uses sources we believe are reliable, but we cannot guarantee their reliability or accuracy Please call your local branch manager about discrepancies between this and your client statement.
*Prices for Independently Valued Direct Investments cannot be displayed on this report and are not included in the portfolio value shown Refer to your client statement or contact your investment executive for further information Wells Fargo Advisors is the trade name used by two separate, registered broker-dealers and non-bank affiliates of Wells Fargo & Company providing certain retail investment brokerage services *Wells Fargo Advisors, LLC, member NYSE/SIPC, and Wells Fargo Advisors Financial* Network, LLC, member FINRA/SIPC Wells Fargo Advisors is not a legal or tax advisor Investment and Insurance Products are Not FDIC-Insured, Not Bank Guaranteed, and May Lose Money

Form **8868**
(Rev January 2011)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return**

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . ▶ ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing *(e-file)* You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

COPY

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only . . . . ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print

File by the due date for filing your return See instructions

Name of exempt organization: CANFIELD FAMILY FOUNDATION
Employer identification number: 43-6854712
Number, street, and room or suite no If a P O box, see instructions: 11432 LACKLAND ROAD
City, town or post office, state, and ZIP code For a foreign address, see instructions: ST. LOUIS, MO 63146-3516

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	**Return Code**	**Application Is For**	**Return Code**
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ LINDA CURRIER, TALX CORP.

Telephone No ▶ 314 214-7701 FAX No ▶ 314 214-7585

- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box . . . . . . ▶ ☐ If it is for part of the group, check this box . . . . ▶ ☐ and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 02/15, 20 12, to file the exempt organization return for the organization named above The extension is for the organization's return for

▶ ☐ calendar year 20 ____ or
▶ ☒ tax year beginning 07/01, 20 10, and ending 06/30, 20 11

2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	**3a**	$ 19,526.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	**3b**	$ 7,526.
c	**Balance Due.** Subtract line 3b from line 3a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	**3c**	$ 12,000.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Paperwork Reduction Act Notice, see Instructions.