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Form **990-PF**

OMB No 1545-0052

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

2010Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning **JUL 1, 2010**, and ending **JUN 30, 2011**

G Check all that apply. ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation WOCKNER FOUNDATION C/O HKP & CO, P.S.		A Employer identification number 42-1624231
Number and street (or P.O. box number if mail is not delivered to street address) 601 UNION ST. SUITE 2700	Room/suite	B Telephone number (206) 682-9200
City or town, state, and ZIP code SEATTLE, WA 98101-2329		C If exemption application is pending, check here <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 6,024,086. (Part I, column (d) must be on cash basis.)	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		96,874.		N/A	
2 Check <input type="checkbox"/> If the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		36,103.	36,103.		STATEMENT 1
4 Dividends and interest from securities		125,750.	125,750.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		-73,598.			
b Gross sales price for all assets on line 6a 2,019,502.					
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		6.	6.		STATEMENT 3
12 Total. Add lines 1 through 11		185,135.	161,859.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees STMT 4		1,242.	0.		0.
b Accounting fees STMT 5		3,885.	0.		0.
c Other professional fees STMT 6		35,909.	35,909.		0.
17 Interest					
18 Taxes					
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 7		25.	0.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		41,061.	35,909.		0.
25 Contributions, gifts, grants paid		477,000.			477,000.
26 Total expenses and disbursements. Add lines 24 and 25		518,061.	35,909.		477,000.
27 Subtract line 26 from line 12		-332,926.			
a Excess of revenue over expenses and disbursements			125,950.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				N/A	

023501
12-07-10

LHA For Paperwork Reduction Act Notice, see the instructions.

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WOCKNER FOUNDATION
C/O HKP & CO, P.S.

42-1624231

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	603,198.	461,884.	461,884.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 8	1,833,743.	1,946,675.	2,015,385.
	b Investments - corporate stock STMT 9	3,344,044.	3,039,500.	3,546,817.
	c Investments - corporate bonds			
11 Investments - land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment, basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)	5,780,985.	5,448,059.	6,024,086.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	5,780,985.	5,448,059.	
28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	0.	0.		
30 Total net assets or fund balances...	5,780,985.	5,448,059.		
31 Total liabilities and net assets/fund balances	5,780,985.	5,448,059.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,780,985.
2 Enter amount from Part I, line 27a	2	-332,926.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	5,448,059.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,448,059.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a KEY BANK-SEE ATTACHED SCHEDULE	P	VARIOUS	VARIOUS
b FANNIE MAE	P	VARIOUS	12/15/10
c GOLDMAN SACHS GROUP INC	P	VARIOUS	01/18/11
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,919,502.		1,993,718.	-74,216.
b 50,000.		49,789.	211.
c 50,000.		49,593.	407.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FM V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-74,216.
b			211.
c			407.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-73,598.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	{ If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	449,025.	5,946,119.	.075516
2008	358,770.	5,644,993.	.063555
2007	360,000.	6,568,104.	.054810
2006	250,000.	5,054,614.	.049460
2005	305,000.	4,968,133.	.061391

2 Total of line 1, column (d).	2	.304732
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.060946
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	6,030,288.
5 Multiply line 4 by line 3	5	367,522.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,260.
7 Add lines 5 and 6	7	368,782.
8 Enter qualifying distributions from Part XII, line 4	8	477,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	1,260.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		3	1,260.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	1,260.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		6a	3,122.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		6b	
6 Credits/Payments		6c	
a 2010 estimated tax payments and 2009 overpayment credited to 2010		6d	
b Exempt foreign organizations - tax withheld at source		7	3,122.
c Tax paid with application for extension of time to file (Form 8868)		8	
d Backup withholding erroneously withheld		9	
7 Total credits and payments. Add lines 6a through 6d		10	1,862.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		11	0.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be: Credited to 2011 estimated tax <input checked="" type="checkbox"/> 1,862. Refunded <input type="checkbox"/>			

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> WA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV.		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ► N/A				
14	The books are in care of ► HAGEN, KURTH, PERMAN & CO P.S. Telephone no. ► 206-682-9200			
Located at ► 601 UNION ST, STE. 2700, SEATTLE, WA		ZIP+4 ► 98101		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?		4b

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No

7b

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WILLIAM E WOCKNER 601 UNION ST, SUITE 2700 SEATTLE, WA 98101	MANAGING TRUSTEE 8.00	0.	0.	0.
FRANCES A WOCKNER 601 UNION ST, SUITE 2700 SEATTLE, WA 98101	TRUSTEE 8.00	0.	0.	0.
MICHAEL R BAUER 601 UNION ST, SUITE 2700 SEATTLE, WA 98101	SECRETARY/TREASURER 2.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
N/A	0.00	0.	0.	0.

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	5,458,971.
b	Average of monthly cash balances	1b	663,149.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	6,122,120.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	6,122,120.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	91,832.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	6,030,288.
6	Minimum investment return. Enter 5% of line 5	6	301,514.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	301,514.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	1,260.
b	Income tax for 2010 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	1,260.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	300,254.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	300,254.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	300,254.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	477,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	477,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,260.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	475,740.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				300,254.
2 Undistributed income, if any, as of the end of 2010:				
a Enter amount for 2009 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005				
b From 2006				
c From 2007	169,141.			
d From 2008	78,980.			
e From 2009	153,669.			
f Total of lines 3a through e	401,790.			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$	477,000.			
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				300,254.
e Remaining amount distributed out of corpus	176,746.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:	578,536.			
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009 Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	578,536.			
10 Analysis of line 9:				
a Excess from 2006				
b Excess from 2007	169,141.			
c Excess from 2008	78,980.			
d Excess from 2009	153,669.			
e Excess from 2010	176,746.			

WOCKNER FOUNDATION

Form 990-PF (2010)

C/O HKP & CO, P.S.

42-1624231

Page 10

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year				Prior 3 years	(e) Total
	(a) 2010	(b) 2009	(c) 2008	(d) 2007		
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed						
b 85% of line 2a						
c Qualifying distributions from Part XII, line 4 for each year listed						
d Amounts included in line 2c not used directly for active conduct of exempt activities						
e Qualifying distributions made directly for active conduct of exempt activities						
Subtract line 2d from line 2c						
3 Complete 3a, b, or c for the alternative test relied upon						
a "Assets" alternative test - enter						
(1) Value of all assets						
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)						
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed						
c "Support" alternative test - enter						
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)						
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)						
(3) Largest amount of support from an exempt organization						
(4) Gross investment income						

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors



Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.				
	 Signature of officer or trustee		5-7-12 Date		TRUSTEE Title
Paid Preparer Use Only	Print/Type preparer's name MARY ANNE PETESCH, CPA		Preparer's signature 		Date 5/4/12
	Check <input type="checkbox"/> if self-employed				PTIN
	Firm's name ► HAGEN, KURTH, PERMAN & CO., P.S.				Firm's EIN ►
	Firm's address ► 601 UNION ST., SUITE 2700 SEATTLE, WA 98101-2392				Phone no. 206 682-9200

Form **990-PF** (2010)

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2010

Name of the organization

WOCKNER FOUNDATION
C/O HKP & CO, P.S.

Employer identification number

42-1624231

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2010)

Name of organization

WOCKNER FOUNDATION

C/O HKP & CO, P.S.

Employer identification number

42-1624231

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	ESTATE OF IRENE WOCKNER 19417 35TH AVENUE SE BOTHELL, WA 98012	\$ 96,874.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

Name of organization

Employer identification number

WOCKNER FOUNDATION
C/O HKP & CO, P.S.

42-1624231

Part II Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

Employer identification number

WOCKNER FOUNDATION

C/O HKP & CO, P.S.

42-1624231

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
BANK OF AMERICA	11.
KEY BANK	36,092.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	36,103.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
KEY BANK	124,490.	0.	124,490.
RBC WEALTH MANAGEMENT	1,260.	0.	1,260.
TOTAL TO FM 990-PF, PART I, LN 4	125,750.	0.	125,750.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MISCELLANEOUS INCOME	6.	6.	
TOTAL TO FORM 990-PF, PART I, LINE 11	6.	6.	

FORM 990-PF LEGAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	1,242.	0.		0.
TO FM 990-PF, PG 1, LN 16A	1,242.	0.		0.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	3,885.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	3,885.	0.		0.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
KEY BANK	35,909.	35,909.		0.
TO FORM 990-PF, PG 1, LN 16C	35,909.	35,909.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
WA FOUNDATION RENEWAL FEE	25.	0.		0.
TO FORM 990-PF, PG 1, LN 23	25.	0.		0.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	8
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
KEY BANK	X		1,946,675.	2,015,385.
TOTAL U.S. GOVERNMENT OBLIGATIONS			1,946,675.	2,015,385.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			1,946,675.	2,015,385.

FORM 990-PF	CORPORATE STOCK	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
KEY BANK	3,039,500.	3,546,817.
TOTAL TO FORM 990-PF, PART II, LINE 10B	3,039,500.	3,546,817.

FORM 990-PF

GRANTS AND CONTRIBUTIONS
PAID DURING THE YEAR

STATEMENT 10

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
ELDERHEALTH NORTHWEST 800 JEFFERSON ST, SUITE 620 SEATTLE, WA 98104	N/A TO FUND NURSING HOME RELOCATION PROJECT	PUBLICLY SUPPORT ORGANIZATI	40,000.
JUNIOR ACHIEVEMENT OF WASHINGTON 1700 WESTLAKE AVE N, SUITE 400 SEATTLE, WA 98109	N/A TO FUND EDUCATIONAL SERVICES TO CHILDREN	PUBLICLY SUPPORT ORGANIZATI	15,000.
LITTLE BIT THERAPEUTIC RIDING CENTER 19802 NE 148TH STREET WOODINVILLE, WA 98077	N/A TO FUND THE HIPPO THERAPY PROGRAM EXPANSION	PUBLICLY SUPPORT ORGANIZATI	30,000.
PROVIDENCE HOSPICE & HOME CARE 2731 WETMORE AVE, SUITE 500 EVERETT, WA 98201	N/A TO FUND VITAL PATIENT CARE SERVICES TO CHILDREN	PUBLICLY SUPPORT ORGANIZATI	22,000.
RYTHER CHILD CARE 2400 NE 95TH ST SEATTLE, WA 98115	N/A TO FUND RECREATIONAL OUTINGS FOR CHILDREN	PUBLICLY SUPPORT ORGANIZATI	30,000.
SENIOR SERVICES OF SNOHOMISH COUNTY 8225 44TH AVE W, SUITE O MUKILTEO, WA 98275	N/A TO FUND SENIOR SERVICES	PUBLICLY SUPPORT ORGANIZATI	40,000.
WOODLAND PARK ZOO 601 N 59TH ST SEATTLE, WA 98103	N/A TO FUND RECREATIONAL OUTINGS FOR CHILDREN	PUBLICLY SUPPORT ORGANIZATI	25,000.
EVERGREEN HEALTHCARE FOUNDATION 12040 NE 128TH ST KIRKLAND, WA 98034	N/A TO FUND VITAL PATIENT CARE SERVICES TO CHILDREN	PUBLICLY SUPPORT ORGANIZATI	20,000.

SEATTLE EDUCATION ACCESS 6920 ROOSEVELT WAY NE #355 SEATTLE, WA 98115	N/A TO BUY BOOKS FOR LOW INCOME FAMILIES	PUBLICLY SUPPORT ORGANIZATI	10,000.
ASSISTANCE LEAQUE OF EASTSIDE 16541 REDMOND WAY REDMOND, WA 98052	N/A TO BUY CLOTHES FOR CHILDREN	PUBLICLY SUPPORT ORGANIZATI	25,000.
CHILDHAVEN 316 BROADWAY SEATTLE, WA 98122	N/A TO FUND THE THERAPEUTIC CHILD CARE PROGRAM	PUBLICLY SUPPORT ORGANIZATI	35,000.
HUMANITIES WASHINGTON 615 SECOND AVE, SUITE 300 SEATTLE, WA 98104	N/A TO BUY BOOKS FOR LOW INCOME FAMILIES	PUBLICLY SUPPORT ORGANIZATI	50,000.
NW SCHOOL FOR HEARING IMPAIRED CHILDREN PO BOX 31325 SEATTLE, WA 98103	N/A SCHOLARSHIP FOR DEAF AND HARD-OF-HEARING CHILDREN	PUBLICLY SUPPORT ORGANIZATI	30,000.
PROVIDENCE HOSPITAL OF SEATTLE 425 PONTIUS AVE N, SUITE 300 SEATTLE, WA 98109	N/A TO FUND VITAL PATIENT CARE SERVICES TO CHILDREN	PUBLICLY SUPPORT ORGANIZATI	25,000.
DAWSON PLACE CHILD ADVOCACY CENTER 1509 CALIFORNIA STREET EVERETT, WA 98201	N/A TO FUND VITAL CARE SERVICES TO CHILDREN	PUBLICLY SUPPORT ORGANIZATI	30,000.
PROVIDENCE MARIANWOOD 3725 PROVIDENCE POINT DRIVE SE ISSAQUAH, WA 98029	N/A TO FUND VITAL PATIENT CARE SERVICES TO ELDERLY	PUBLICLY SUPPORT ORGANIZATI	30,000.
LAKE WASHINGTON SCHOOLS FOUNDATION PO BOX 83 REDMOND, WA 98073	N/A TO FUND EDUCATIONAL SERVICES TO CHILDREN	PUBLICLY SUPPORT ORGANIZATI	10,000.
MDA SUMMER CAMP 22522 29TH DRIVE SE #205 BOTHELL, WA 98021	N/A TO FUND RECREATIONAL OUTINGS FOR MUSCULAR DYSTROPHY CHILDREN	PUBLICLY SUPPORT ORGANIZATI	5,000.

WOCKNER FOUNDATION C/O HKP & CO, P.S.

42-1624231

THERAPEUTIC HEALTH SERVICES
9930 EVERGREEN WAY, BUILDING
Z150 EVERETT, WA 98204

N/A
TO FUND VITAL
REHABILITATIVE
SERVICES TO FAMILIES

PUBLICLY 5,000.
SUPPORT
ORGANIZATI

TOTAL TO FORM 990-PF, PART XV, LINE 3A

477,000.

Wockner Foundation

FYE 2010 Form 990-PF, Capital Gains Attachment
KEYBANK

EIN 42-1624231

Realized Gain/Loss from Sales

<i>Description</i>	<i>Settle date</i>	<i>Trade date</i>	<i>Units sold</i>	<i>Tax cost</i>	<i>Proceeds</i>	<i>Short-term gain/loss</i>	<i>Long-term gain/loss</i>
ARCH CAPITAL GROUP LTD	7/7	7/1	-94 00	-\$6,737 79	\$6,987 84	\$250 05	\$0 00
RIELEN OF TROY CORP LTD	7/7	7/1	-87 00	-1,920 21	1,929 62	9 41	0 00
AGRIUM INC	7/7	7/1	-119 00	-4,825 33	5,809 48	0 00	984 15
AMERICREDIT CORP	7/7	7/1	-183 00	-2,096 01	3,420 21	20 85	1,303 35
ANGLO AMERICAN PLC	7/7	7/1	-191 00	-3 275 09	3,317 61	0 00	42 52
ARCELOMITTAL S A	7/7	7/1	-207 00	-7,963 36	5,731 73	-2,231 63	0 00
ATLAS COPCO AB	7/7	7/1	-287 00	-3,974 65	3,765 37	0 00	-209 28
AXA	7/7	7/1	-283 00	-8,188 50	4,355 29	0 00	-3,833 21
BERKLEY W R CORP	7/7	7/1	-170 00	-4,618 61	4,487 92	-130 69	0 00
BOC HONG KONG HOLDINGS LTD	7/7	7/1	-181 00	-7,988 25	8,246 22	103 16	154 81

Realized Gain/Loss from Sales

Description	Settle date	Trade date	Units sold	Tax cost	Proceeds	Short-term gain/loss	Long-term gain/loss
BROOKFIELD ASSET MGMT INC	7/7	7/1	-468 00	-12,576 79	10 567 26	27 28	-2,036 81
BROWN & BROWN INC	7/7	7/1	-351 00	-7 895 29	6,718 02	-4 27	-1 173 00
CABOT MICROELECTRONICS CORP	7/7	7/1	55 00	-1,901 88	1,907 36	5 48	0 00
COGNEX CORP	7/7	7/1	-116 00	2 074 14	2,033 44	-40 70	0 00
DBS GROUP HOLDINGS LTD	7/7	7/1	-210 00	7 393 43	8,236 06	0 00	842 63
DESARROLLADORA HOMEX SA DE CV	7/7	7/1	-232 00	-6 055 55	5,816 14	-608 47	369 06
EXTRA SPACE STORAGE INC	7/7	7/1	-173 00	2,553 64	2,399 46	-154 18	0 00
FUJI FILM HOLDINGS CORP	7/7	7/1	-328 00	-9,290 58	9,403 60	-534 33	647 35
FULLER H B CO	7/7	7/1	92 00	-1,900 93	1,759 93	141 00	0 00
IDEX CORP	7/7	7/1	-212 00	-6 891 24	6 027 05	-42 68	871 51
KEPPEL CORP LTD	7/7	7/1	997 00	-7 181 72	11,684 03	0 00	4,702 31
LADISH CO INC	7/7	7/1	-77 00	-2,122 59	1,744 02	-378 57	0 00
LEUCADIA NATL CORP	7/7	7/1	-315 00	-9 989 47	6 142 39	-9 46	3,837 62
LUFKIN INDS INC	7/7	7/1	53 00	-2 380 64	2 263 70	-116 94	0 00
MARKEL CORP	7/7	7/1	29 00	-11,978 29	9,876 07	304 95	-2,407 17
MITSUBISHI UFJ FINANCIAL GROUP	7/7	7/1	950 00	-8,667 55	4 355 13	0 00	-4 312 42
MITSUBI & CO LTD	7/7	7/1	40 00	10,036 52	9 438 24	669 15	70 87
NELNET INC	7/7	7/1	151 00	1 750 61	2,915 76	72 38	1 092 77
NEWMARKET CORP	7/7	7/1	-27 00	-2 106 81	2,365 97	259 16	0 00
NIDEC CORP	7/7	7/1	-143 00	-3 879 70	3 056 14	-823 56	0 00
NORDSON CORP	7/7	7/1	-40 00	-2 337 30	2,241 56	-95 74	0 00
ORIX CORPORATION	7/7	7/1	-132 00	-3 876 58	3,679 07	-197 51	0 00
PACKAGING CORP OF AMERICA	7/7	7/1	-110 00	-2,064 96	2,408 95	343 99	0 00
SEI INVESTMENTS CO	7/7	7/1	-333 00	-8,797 84	6,786 42	136 90	-2,148 32
SOCIETE GENERALE FRANCE	7/7	7/1	-249 00	-3,859 50	2,054 21	-1,805 29	0 00
STERILITE INDUSTRIES INDIA LTD	7/7	7/1	-206 00	-2,439 14	2 888 07	101 09	347 94
SWISS REINSURANCE CO	7/7	7/1	-76 00	-3,806 40	3,234 60	-571 80	0 00
TENARIS SA	7/7	7/1	-192 00	-8,066 38	6,758 28	-1,308 10	0 00
THOMPSON CORP METALS CO INC	7/7	7/1	-614 00	7,504 87	5 311 00	-2 193 87	0 00
TOKYO MARIAGE HDGS INC	7/7	7/1	-215 00	-5,875 77	5,703 85	0 00	-171 92
US AIRWAYS GROUP INC	7/7	7/1	-264 00	-1 932 48	2 299 40	366 92	0 00

Realized Gain/Loss from Sales

Description	Settle date	Trade date	Units sold	Tax cost	Proceeds	Short-term gain/loss	Long-term gain/loss
UNIVERSAL AMERICAN CORP	7/7	7/1	-191 00	-2,025 71	2,740 80	715 09	0 00
UNIVERSAL FST PRODS INC	7/7	7/1	-49 00	-2,048 62	1,484 18	-564 44	0 00
VALE S A	7/7	7/1	-280 00	-2,772 87	5,910 69	0 00	3,137 82
WABTEC CORP	7/7	7/1	-127 00	-4,775 34	5,058 32	282 98	0 00
EXXON MOBIL CORP	7/12	7/12	-0 64	-47 65	36 27	0 00	-11 38
ALLERGAN INC	7/21	7/16	-262 00	-16,739 52	16,966 83	309 53	-82 22
BGC PARTNERS INC	7/21	7/16	-416 00	-2,624 42	2,113 91	-510 51	0 00
BIO-REFERENCE LABS INC	7/21	7/16	-151 00	-2,997 54	3,161 91	164 37	0 00
CENTRAL EUROPEAN DISTRIBUTION	7/21	7/16	-163 00	-4,221 57	4,060 29	-658 22	496 94
DENTSPLY INTL INC	7/21	7/16	-215 00	-6,617 86	6,372 55	-289 39	44 08
ENTEGRIS INC	7/21	7/16	-401 00	-2,149 36	1,824 55	-324 81	0 00
EXELIXIS INC	7/21	7/16	-352 00	-2,064 38	1,133 46	-930 92	0 00
EZCORP INC	7/21	7/16	-98 00	-2,074 73	1,982 50	-92 23	0 00
FOMENTO ECONOMICO MEXICANO SAB	7/21	7/16	-115 00	-2,878 87	5,242 77	0 00	2,363 90
HARMONIC INC	7/21	7/16	-282 00	-1,987 88	1,646 88	-341 00	0 00
INTEGRATED DEVICE TECHNOLOGY	7/21	7/16	-304 00	-1,839 96	1,562 56	-277 40	0 00
INTERACTIVE INTELLIGENCE INC	7/21	7/16	-111 00	-2,143 30	1,900 32	-242 98	0 00
INVACARE CORP	7/21	7/16	-79 00	-1,964 44	1,617 10	-347 34	0 00
JMS INSTRUMENTS INC	7/21	7/16	-104 00	-2,119 12	2,077 91	-41 21	0 00
QSI SYSTEMS INC	7/21	7/16	-80 00	-2,288 79	2,181 56	-107 23	0 00
PAR PHARMACEUTICAL COS INC	7/21	7/16	-115 00	-1,683 62	3,323 46	73 08	1,566 76
SANOFI	7/21	7/16	-129 00	-4,370 80	3,967 98	-229 58	-173 24
SONOSITE INC	7/21	7/16	-62 00	-1,813 39	1,682 65	-130 74	0 00
TECHNE CORP	7/21	7/16	-136 00	-7,892 58	7,803 80	-222 53	133 75
TURKCELL ILETISIM HIZMET A S	7/21	7/16	-511 00	-9,478 68	6,658 26	0 00	-2,820 42
VARIAN MEDICAL SYSTEMS INC	7/21	7/16	-73 00	-3,445 53	3,955 80	510 27	0 00
VARIAN SEMICONDUCTOR EQUIPMENT	7/21	7/16	-79 00	-2,528 30	2,302 02	-226 28	0 00
BNP PARIBAS SA	8/4	7/30	-203 00	-5,973 84	6,885 64	911 80	0 00
CARMAX INC	8/4	7/30	-261 00	-4,359 10	5,342 57	-257 58	1,241 05
DELLUXE CORP	8/4	7/30	-108 00	-2,421 75	2,249 60	-172 15	0 00
FOSSIL INC	8/4	7/30	-59 00	-1,960 23	2,298 60	338 37	0 00

Realized Gain/Loss from Sales

Description	Settle date	Trade date	Units sold	Tax cost	Proceeds	Short-term gain/loss	Long-term gain/loss
HONDA MOTOR LTD	8/4	7/30	-297 00	-9 026 18	9,287 03	-400 39	661 24
KELLY SVCS INC	8/4	7/30	-140 30	2 517 73	2,171 12	-346 61	0 00
NISSAN MOTOR CO LTD	8/4	7/30	233 00	3,767 65	3,558 03	209 62	0 00
OLANEX BUILDING PRODUCTS CORP	8/4	7/30	-125 00	-1,896 79	2,152 46	255 67	0 00
SFN GROUP INC	8/4	7/30	240 00	-2,096 40	1,747 17	-349 23	0 00
UNIVERSAL TECHNICAL INST INC	8/4	7/30	-159 00	-2 970 46	3,224 46	254 00	0 00
VOLVO AKTIEBOLAGET	8/4	7/30	-307 00	3 604 16	3 729 98	125 80	0 00
WELLS FARGO CO	8/4	7/30	-700 00	-25 886 53	19,067 67	0 00	-6 818 86
ZURICH FINANCIAL SERVICES AG	8/4	7/30	-141 00	-2,939 56	3 245 76	0 00	306 20
CENTRAL EUROPEAN MEDIA ENTPR LTD	8/27	8/24	81 00	-1 258 67	1,692 87	0 00	434 20
WEATHERFORD INTERNATIONAL LTD	8/27	8/24	-372 00	-6,259 13	5,561 30	-697 83	0 00
NOBLE CORP	8/27	8/24	-189 00	5,022 23	5,840 00	372 48	1 190 25
ABB LTD	8/27	8/24	-335 00	7 636 74	6 277 79	0 00	-1,358 95
AMERICAN STATES WATER CO	8/27	8/24	-63 00	-2,047 61	1 975 64	-71 97	0 00
BASF SE	8/27	8/24	-62 00	-3 716 67	3 290 90	-425 77	0 00
CNOOC LTD	8/27	8/24	23 00	3,776 23	3,845 99	69 76	0 00
COHEN & STEERS INC	8/27	8/24	-60 00	-1,726 60	1,609 57	-117 23	0 00
COVANTA HOLDING CORP	8/27	8/24	-430 00	-10 532 63	6,135 99	0 00	-4,396 64
DEL MONTE FOODS CO	8/27	8/24	-206 00	-1,489 42	2 669 71	0 00	1,180 29
E.ON AG	8/27	8/24	-111 00	-5,443 54	3,110 16	0 00	-2,333 38
PERRY ELLIS INTERNATIONAL INC	8/27	8/24	-81 00	-2 106 71	1,698 54	-408 17	0 00
EMERSON ELEC CO	8/27	8/24	-160 00	8 103 15	7 411 07	81 80	773 88
ENERSYS	8/27	8/24	-97 00	-2,306 95	2 157 24	-149 71	0 00
EXXON MOBIL CORP	8/27	8/24	-240 00	-17,182 18	14,154 96	0 00	-3 027 22
FACTSET RESH SYS INC	8/27	8/24	-77 00	-4 976 97	5,754 88	777 91	0 00
FLUOR CORP	8/27	8/24	-207 00	-9,289 72	9,387 29	183 03	-85 46
GOOGLE INC	8/27	8/24	-65 00	-38 946 54	29 748 04	0 00	-9,198 50
GREEN MOUNTAIN COFFEE ROASTERS	8/27	8/24	-130 00	-1,324 78	4,180 72	0 00	2,855 94
HIBBETT SPORTS INC	8/27	8/24	-98 00	-2,135 98	2,234 36	96 38	0 00
ITT EDUCATIONAL SERVICES INC	8/27	8/24	-54 00	-5,945 37	2 898 13	-3,047 24	0 00
JACOBS ENGR GROUP INC DEL	8/27	8/24	-152 00	5,970 09	5 301 67	0 00	-668 42

Wockner Foundation

FYE 2010 Form 990-PF, Capital Gains Attachment
KEYBANK

EIN 42-1624231

Realized Gain/Loss from Sales

Description	Settle date	Trade date	Units sold	Tax cost	Proceeds	Short-term gain/loss	Long-term gain/loss
KELLOGG CO	8/27	8/24	-206 00	-11,138 77	10,229 78	-908 99	0 00
LIBERTY GLOBAL INC	8/27	8/24	-171 00	-4,708 13	4,680 19	501 35	-528 29
MCCORMICK & CO INC	8/27	8/24	-197 00	-7,514 60	7,954 72	440 12	0 00
NATIONAL INSTRS CORP	3/27	8/24	-91 00	-2,487 94	2,597 09	109 15	0 00
NEWPARK RES INC	8/27	8/24	-511 00	3,280 62	4,057 27	776 65	0 00
LUKOL HOLDING	8/27	8/24	-101 00	-5,206 93	5,314 53	-120 16	227 76
OMNICOM GROUP INC	8/27	8/24	-172 00	-6,128 69	6,061 17	-67 52	0 00
PETROLEO BRASILEIRO SA	8/27	8/24	-250 00	-11,075 00	7,474 87	0 00	-3,600 13
POST PPTYS INC	8/27	8/24	-104 00	-2,552 76	2,514 67	-38 09	0 00
QUALCOMM INC	8/27	8/24	-382 00	-14,848 47	14,657 09	0 00	-191 38
QUEST DIAGNOSTICS INC	8/27	8/24	-650 00	-35,612 00	29,678 49	0 00	-5,933 51
RWE AG	8/27	8/24	-87 00	-6,339 40	5,808 02	0 00	-531 38
RITCHIE BROS AUCTIONEERS INC	8/27	8/24	-186 00	-3,922 74	3,316 32	-606 42	0 00
ROYAL KPN N V	8/27	8/24	-328 00	-4,713 61	4,506 64	0 00	-207 17
SCHWAB CHARLES CORP NEW	8/27	8/24	-1,633 00	-30,112 72	22,681 98	0 00	-7,430 74
STATOIL ASA	8/27	8/24	-140 00	-4,739 83	2,626 35	0 00	-2,113 48
TELEFONICA S A	8/27	8/24	-130 00	-11,704 91	8,397 85	0 00	-3,307 06
TERADATA CORP	8/27	8/24	-264 00	-7,443 91	7,864 42	420 51	0 00
THOR INDS INC	8/27	8/24	-62 00	-1,970 55	1,476 19	-494 36	0 00
TOTAL S A	8/27	8/24	-163 00	-9,509 00	7,691 83	0 00	-1,817 17
UIL HOLDINGS CORP	8/27	8/24	-75 00	-1,912 07	1,947 71	35 64	0 00
UNITED PARCEL SERVICE INC	8/27	8/24	-110 00	-7,050 79	7,112 47	61 68	0 00
WATERS CORP	8/27	8/24	-350 00	-25,077 84	21,629 63	0 00	-3,448 21
WESTERN UNION CO	8/27	8/24	-321 00	-5,447 37	5,013 93	-433 44	0 00
ADOBE SYSTEMS INC	9/15	9/10	-450 00	-14,613 48	14,624 75	11 27	0 00
BED BATH & BEYOND INC	9/15	9/10	-122 00	-3,037 57	4,811 59	0 00	1,774 02
BROADCOM CORP	9/15	9/10	-104 00	-3,365 36	3,529 70	164 34	0 00
CHICO'S FAS INC	9/15	9/10	-220 00	-1,005 87	1,973 38	0 00	967 49
MARTIN MARIETTA MATLS INC	9/15	9/10	-50 00	-4,681 19	3,858 93	-121 16	701 10
PROCTER & GAMBLE CO	9/15	9/10	-109 00	-7,596 35	6,599 83	0 00	-996 52
SOUTHWESTERN ENERGY CO	9/15	9/10	-174 00	-7,205 03	5,750 60	-794 75	-659 68

Realized Gain/Loss from Sales

Description	Settle date	Trade date	Units sold	Tax cost	Proceeds	Short-term gain/loss	Long-term gain/loss
VISA INC	9/15	9/10	-527 00	34 318 76	35 150 30	-1 075 29	1 906 81
ASTRAZENECA PCC	10/15	10/12	-102 00	-6,935 29	8,511 36	0 00	1 576 07
BARCLAYS PLC	10/15	10/12	255 00	-5 732 31	5,545 93	0 00	186 38
BARD CR INC	10/15	10/12	-20 00	-6,622 16	7,191 19	199 31	159 77
BROWN FORMAN CORP	10/15	10/12	-110 00	-5,293 25	6,771 50	0 00	1,478 25
CAMERON INTERNATIONAL CORP	10/15	10/12	-210 00	-8,004 98	9,120 16	0 00	1 115 18
CANON INC	10/15	10/12	-169 00	6,735 78	7,966 54	227 70	1,003 06
COPART INC	10/15	10/12	-169 00	-6 120 19	6,495 82	0 00	375 63
COSTCO WHOLESALE CORP	10/15	10/12	350 00	-24 194 99	22,210 66	0 00	-1,984 33
DIAGEO PLC	10/15	10/12	-57 00	3 468 52	4 048 07	23 43	556 12
GLAXOSMITHKLINE PLC	10/15	10/12	-151 00	-5,344 42	6,257 35	0 00	912 93
INFOSIL CORP	10/15	10/12	144 00	-2,021 15	1,742 38	-278 77	0 00
JUMPER NETWORKS INC	10/15	10/12	-213 00	-5 137 35	6 800 99	0 00	1,663 64
MERCK & CO INC	10/15	10/12	491 00	-14,247 07	17,965 43	133 06	3,851 42
NORTHWEST BANCORP INC	10/15	10/12	-153 00	-1 919 29	1,724 30	194 99	0 00
RESEARCH IN MOTION LTD	10/15	10/12	-239 00	13,906 55	11,720 38	-1,408 99	777 18
STRYKER CORP	10/15	10/12	-283 00	-17 651 70	13,943 23	-679 57	-3 228 90
TJX COS INC NEW	10/15	10/12	22 00	10,921 79	13,107 68	431 83	1 754 06
BANK OF AMERICA CORP	11/30	11/24	-31 00	-50,365 00	52,788 00	0 00	2,423 00
BANK OF AMERICA CORP	12/28	12/22	-2 235 00	-37 256 76	29 881 44	-7,375 32	0 00
ABBOTT LABS	12/29	12/23	-375 00	20,520 38	17,894 69	492 62	-2,133 07
AMPHENOL CORP	12/29	12/23	-157 00	-5,567 76	8,316 14	492 42	2 255 96
COCA COLA CO	12/29	12/23	-297 00	-18,054 63	19 473 96	0 00	1,419 33
ECOLAB INC	12/29	12/23	-68 00	-2,882 18	3 413 54	244 56	286 80
MICROSOFT CORP	12/29	12/23	-700 00	-22,681 29	19,557 66	0 00	-3,123 63
NESTLE S A	12/29	12/23	-334 00	-12,996 61	19,632 19	0 00	6 635 58
QUANTA SERVICES INC	12/29	12/23	-665 00	-15,090 55	13 699 76	0 00	-1,390 79
WAL MART STORES INC	12/29	12/23	-214 00	-11,812 91	11,378 18	0 00	-434 73
COVANCE INC	3/1	2/24	-265 00	-14,853 25	14,589 77	0 00	-263 48
AVON PRODS INC	3/7	3/2	-300 00	-10 353 00	8,207 87	0 00	-2,145 13
QSCQ SYS INC	3/7	3/2	-2 000 00	53,407 81	36,959 48	0 00	-16 448 33

Wockner Foundation

FYE 2010 Form 990-PF, Capital Gains Attachment
KEYBANK

EIN 42-1624231

Realized Gain/Loss from Sales

Description	Settle date	Trade date	Units sold	Tax cost	Proceeds	Short-term gain/loss	Long-term gain/loss
ECOLAB INC	3/7	3/2	-500 00	-20,287 78	24,109 58	0 00	3,821 80
EXPEDITORS INTL WASH INC	3/7	3/2	-570 00	-25,603 13	27,302 53	0 00	1,699 40
GENERAL ELEC CO	3/7	3/2	-1,700 00	-28,376 93	34,696 50	1,259 86	5,059 71
ISHARES RUSSELL 1000 VALUE INDEX	3/7	3/2	-1,200 00	-98,793 85	81,238 55	0 00	-17,555 30
PRAXAIR INC	3/7	3/2	-305 00	-22,675 53	30,057 26	0 00	7,381 73
ROCHE HLDG LTD	3/7	3/2	-123 00	-5,125 41	4,593 96	0 00	-531 45
UNILEVER PLC	3/7	3/2	-270 00	-5,563 59	8,013 47	0 00	2,449 88
WASTE MANAGEMENT INC	3/7	3/2	-237 00	-7,866 59	8,723 82	149 47	707 76
XILINX INC	3/7	3/2	-1,140 00	-28,158 00	39,365 04	11,207 04	0 00
YUM! BRANDS INC	3/7	3/2	-206 00	-6,057 58	10,118 54	0 00	4,060 96
ZIONS BANCORP	4/19	4/14	-1,815 00	-40,205 48	42,289 05	2,083 57	0 00
AT&T INC	5/13	5/10	-1,225 00	-50,477 15	38,648 12	0 00	-11,829 03
EATON CORP	5/13	5/10	-270 00	6,243 75	13,974 96	0 00	7,731 21
FEDEX CORP	5/13	5/10	-230 00	-19,914 40	21,994 54	1,416 80	663 34
GOLDMAN SACHS GROUP INC	5/13	5/10	-95 00	-15,390 71	14,282 07	0 00	-1,107 74
HEWLETT PACKARD CO	5/13	5/10	-1,394 00	-60,941 23	57,822 14	0 00	-3,119 09
ISHARES RUSSELL 1000 VALUE INDEX	6/8	6/3	-4,340 00	-296,225 82	292,770 77	0 00	-3,455 05
Total Realized Gain/Loss from Sales				-\$1,893,718.06	\$1,819,502.43	-\$12,434.07	-\$61,781.56

This is for informational purposes only and is not tax advice. Please see your tax advisor for tax advice.