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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

2010

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning

JUL 1, 2010

, and ending JUN 30, 2011

G Check all that apply.

 Initial return    Initial return of a former public charity  
 Amended return    Address change

 Final return  
 Name change

## Name of foundation

WOCKNER FOUNDATION  
C/O HKP & CO, P.S.

## A Employer identification number

42-1624231

Number and street (or P O box number if mail is not delivered to street address)

601 UNION ST. SUITE 2700

Room/suite

## B Telephone number

(206) 682-9200

City or town, state, and ZIP code

SEATTLE, WA 98101-2329

H Check type of organization  Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust    Other taxable private foundation
I Fair market value of all assets at end of year  
(from Part II, col. (c), line 16)

► \$ 6,024,086. (Part I, column (d) must be on cash basis.)

J Accounting method  Cash    Accrual
 Other (specify) \_\_\_\_\_
C If exemption application is pending, check here ► D 1. Foreign organizations, check here ►   
2. Foreign organizations meeting the 85% test, check here and attach computation ► E If private foundation status was terminated under section 507(b)(1)(A), check here ► F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► Part I Analysis of Revenue and Expenses  
(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	96,874.		N/A	
	2 Check ► <input type="checkbox"/> If the foundation is not required to attach Sch B				
	Interest on savings and temporary cash investments	36,103.	36,103.		STATEMENT 1
	4 Dividends and interest from securities	125,750.	125,750.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-73,598.			
	b Gross sales price for all assets on line 6a	2,019,502.			
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	6.	6.		STATEMENT 3
	12 Total. Add lines 1 through 11	185,135.	161,859.		
Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees	STMT 4	1,242.	0.	0.
	b Accounting fees	STMT 5	3,885.	0.	RECEIVED
	c Other professional fees	STMT 6	35,909.	35,909.	0.
	17 Interest				0.
	18 Taxes				0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses	STMT 7	25.	0.	0.
	24 Total operating and administrative expenses. Add lines 13 through 23		41,061.	35,909.	0.
	25 Contributions, gifts, grants paid		477,000.		477,000.
	26 Total expenses and disbursements. Add lines 24 and 25		518,061.	35,909.	477,000.
Change	27 Subtract line 26 from line 12		-332,926.		
	a Excess of revenue over expenses and disbursements				
	b Net investment income (if negative, enter -0-)		125,950.		
	c Adjusted net income (if negative, enter -0-)			N/A	

<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments		603,198.	461,884.
	3 Accounts receivable ►			461,884.
	Less allowance for doubtful accounts ►			
	4 Pledges receivable ►			
	Less allowance for doubtful accounts ►			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ►			
	Less: allowance for doubtful accounts ►			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations	STMT 8	1,833,743.	1,946,675.
	b Investments - corporate stock	STMT 9	3,344,044.	3,039,500.
	c Investments - corporate bonds			3,546,817.
	11 Investments - land, buildings, and equipment basis ►			
	Less: accumulated depreciation ►			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment. basis ►			
	Less: accumulated depreciation ►			
	15 Other assets (describe ►)			
	16 Total assets (to be completed by all filers)		5,780,985.	5,448,059.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ►)			
	23 Total liabilities (add lines 17 through 22)		0.	0.
	Foundations that follow SFAS 117, check here ► <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/>			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds		5,780,985.	5,448,059.
	28 Paid-in or capital surplus, or land, bldg , and equipment fund		0.	0.
	29 Retained earnings, accumulated income, endowment, or other funds		0.	0.
	30 Total net assets or fund balances...		5,780,985.	5,448,059.
	31 Total liabilities and net assets/fund balances		5,780,985.	5,448,059.

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,780,985.
2 Enter amount from Part I, line 27a	2	-332,926.
3 Other increases not included in line 2 (itemize) ►	3	0.
4 Add lines 1, 2, and 3	4	5,448,059.
5 Decreases not included in line 2 (itemize) ►	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,448,059.

WOCKNER FOUNDATION  
C/O HKP & CO, P.S.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a KEY BANK-SEE ATTACHED SCHEDULE			P	VARIOUS	VARIOUS
b FANNIE MAE			P	VARIOUS	12/15/10
c GOLDMAN SACHS GROUP INC			P	VARIOUS	01/18/11
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 1,919,502.		1,993,718.	-74,216.		
b 50,000.		49,789.	211.		
c 50,000.		49,593.	407.		
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a			-74,216.		
b			211.		
c			407.		
d					
e					
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	-73,598.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 ..	{ }		3	N/A	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	449,025.	5,946,119.	.075516
2008	358,770.	5,644,993.	.063555
2007	360,000.	6,568,104.	.054810
2006	250,000.	5,054,614.	.049460
2005	305,000.	4,968,133.	.061391
2 Total of line 1, column (d)			.304732
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			.060946
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5			6,030,288.
5 Multiply line 4 by line 3			367,522.
6 Enter 1% of net investment income (1% of Part I, line 27b)			1,260.
7 Add lines 5 and 6			368,782.
8 Enter qualifying distributions from Part XII, line 4			477,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate  
See the Part VI instructions.

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here ►  and enter "N/A" on line 1

Date of ruling or determination letter: \_\_\_\_\_ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ►  and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b)

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)

5 Tax based on Investment Income. Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments

a 2010 estimated tax payments and 2009 overpayment credited to 2010

6a 3,122.

b Exempt foreign organizations - tax withheld at source

6b

c Tax paid with application for extension of time to file (Form 8868)

6c

d Backup withholding erroneously withheld

6d

7 Total credits and payments Add lines 6a through 6d

3,122.

8 Enter any penalty for underpayment of estimated tax Check here  if Form 2220 is attached

8

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

9

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

10 1,862.

11 Enter the amount of line 10 to be Credited to 2011 estimated tax ►

1,862. Refunded ►

11 0.

**Part VII-A Statements Regarding Activities**

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

Yes No

1a X

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?

1b X

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

1c X

c Did the foundation file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year

(1) On the foundation ► \$ 0. (2) On foundation managers ► \$ 0.

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ 0.

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

2 X

If "Yes," attach a detailed description of the activities.

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

3 X

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

N/A

b If "Yes," has it filed a tax return on Form 990-T for this year?

4a X

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

4b X

If "Yes," attach the statement required by General Instruction T.

5 X

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either

6 X

• By language in the governing instrument, or

7 X

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

8b X

7 Did the foundation have at least \$5,000 in assets at any time during the year?

9 X

If "Yes," complete Part II, col. (c), and Part XV.

8a Enter the states to which the foundation reports or with which it is registered (see instructions) ►

10 X

WA

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)

of each state as required by General Instruction G? If "No," attach explanation

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(l)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV.

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

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12-07-10

16250502 759448 23067

4 2010.05080 WOCKNER FOUNDATION C/O HKP 23067 1

WOCKNER FOUNDATION  
C/O HKP & CO, P.S.

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**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12	X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X
14	The books are in care of ► HAGEN, KURTH, PERMAN & CO P.S. Located at ► 601 UNION ST, STE. 2700, SEATTLE, WA	Telephone no. ► 206-682-9200	ZIP+4 ► 98101
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	► 15	N/A
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	►	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? If "Yes," list the years ►	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►	2b	
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	3b	
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4a	X
		4b	X

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023541  
12-07-10

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

►

5b

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A

Yes  No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes  No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WILLIAM E WOCKNER 601 UNION ST, SUITE 2700 SEATTLE, WA 98101	MANAGING TRUSTEE 8.00	0.	0.	0.
FRANCES A WOCKNER 601 UNION ST, SUITE 2700 SEATTLE, WA 98101	TRUSTEE 8.00	0.	0.	0.
MICHAEL R BAUER 601 UNION ST, SUITE 2700 SEATTLE, WA 98101	SECRETARY/TREASURER 2.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
N/A	0.00	0.	0.	0.

Total number of other employees paid over \$50,000

►

0

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services



0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

Expenses

1	N/A	
2		
3		
4		

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	
2		
3		

All other program-related investments. See instructions

3		

Total. Add lines 1 through 3



0.

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WOCKNER FOUNDATION  
C/O HKP & CO, P.S.

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1a	5,458,971.
b Average of monthly cash balances	1b	663,149.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	6,122,120.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	6,122,120.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	91,832.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	6,030,288.
6 Minimum investment return. Enter 5% of line 5	6	301,514.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ►  and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	301,514.
2a Tax on investment income for 2010 from Part VI, line 5	2a	1,260.
b Income tax for 2010 (This does not include the tax from Part VI)	2b	
c Add lines 2a and 2b	2c	1,260.
3 Distributable amount before adjustments Subtract line 2c from line 1	3	300,254.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	300,254.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	300,254.

**Part XII Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	477,000.
b Program-related investments - total from Part IX-8	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	477,000.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	1,260.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	475,740.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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12-07-10

16250502 759448 23067

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2010.05080 WOCKNER FOUNDATION C/O HKP 23067\_1

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				300,254.
2 Undistributed income, if any, as of the end of 2010			0.	
a Enter amount for 2009 only				
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2010				
a From 2005				
b From 2006				
c From 2007	169,141.			
d From 2008	78,980.			
e From 2009	153,669.			
f Total of lines 3a through e	401,790.			
4 Qualifying distributions for 2010 from Part XII, line 4 ► \$	477,000.		0.	
a Applied to 2009, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)			0.	
c Treated as distributions out of corpus (Election required - see instructions)		0.		
d Applied to 2010 distributable amount				300,254.
e Remaining amount distributed out of corpus	176,746.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	578,536.			
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5			0.	
b Prior years' undistributed income Subtract line 4b from line 2b			0.	
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.	
d Subtract line 6c from line 6b. Taxable amount - see instructions			0.	
e Undistributed income for 2009 Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	578,536.			
10 Analysis of line 9:				
a Excess from 2006				
b Excess from 2007	169,141.			
c Excess from 2008	78,980.			
d Excess from 2009	153,669.			
e Excess from 2010	176,746.			

WOCKNER FOUNDATION  
C/O HKP & CO, P.S.

## Form 990-PF (2010)

C/O HKP & CO, P.S.

42-1624231

Page 10

**Part XIV** Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling

1

**b** Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5).

**Part XV** **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see the instructions.)

## 1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

**NONE**

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**NONE**

## 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ➤  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a. The name, address, and telephone number of the person to whom applications should be addressed.

b. The form in which applications should be submitted and information and materials they should include.

### **c. Any submission deadlines**

d. Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV Supplementary Information (continued)**

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
SEE STATEMENT 10				
<b>Total</b>			► 3a	<b>477,000.</b>
<i>b Approved for future payment</i>				
NONE				
<b>Total</b>			► 3b	<b>0.</b>

## Part XVI-A Analysis of Income-Producing Activities

**Enter gross amounts unless otherwise indicated**

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	36,103.	
4 Dividends and interest from securities			14	125,750.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	6.	
8 Gain or (loss) from sales of assets other than inventory			18	-73,598.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		88,261.	0.
13 Total. Add line 12, columns (b), (d), and (e)			13		88,261.
(See worksheet in line 13 instructions to verify calculations.)					

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**



**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

► Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

**2010****Name of the organization**WOCKNER FOUNDATION  
C/O HKP & CO, P.S.**Employer identification number**

42-1624231

**Organization type (check one):****Filers of:**

Form 990 or 990-EZ

 501(c)( ) (enter number) organization 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation 527 political organization

Form 990-PF

 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundation**Check if your organization is covered by the General Rule or a Special Rule.****Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ► \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

**LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2010)**

Name of organization  
**WOCKNER FOUNDATION**  
**C/O HKP & CO, P.S.**

Employer identification number

**42-1624231****Part I Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	ESTATE OF IRENE WOCKNER  19417 35TH AVENUE SE  BOTHELL, WA 98012	\$ 96,874.	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash  <small>(Complete Part II if there is a noncash contribution.)</small>
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash  <small>(Complete Part II if there is a noncash contribution.)</small>
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash  <small>(Complete Part II if there is a noncash contribution.)</small>
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash  <small>(Complete Part II if there is a noncash contribution.)</small>
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash  <small>(Complete Part II if there is a noncash contribution.)</small>
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash  <small>(Complete Part II if there is a noncash contribution.)</small>
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash  <small>(Complete Part II if there is a noncash contribution.)</small>
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash  <small>(Complete Part II if there is a noncash contribution.)</small>

Name of organization

WOCKNER FOUNDATION  
C/O HKP & CO, P.S.

Employer identification number

42-1624231

## Part II Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____

Name of organization

WOCKNER FOUNDATION  
C/O HKP & CO, P.S.

Employer identification number

42-1624231

Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
BANK OF AMERICA	11.
KEY BANK	36,092.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	36,103.

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
KEY BANK	124,490.	0.	124,490.
RBC WEALTH MANAGEMENT	1,260.	0.	1,260.
TOTAL TO FM 990-PF, PART I, LN 4	125,750.	0.	125,750.

## FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MISCELLANEOUS INCOME	6.	6.	
TOTAL TO FORM 990-PF, PART I, LINE 11	6.	6.	

## FORM 990-PF LEGAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	1,242.	0.		0.
TO FM 990-PF, PG 1, LN 16A	1,242.	0.		0.

FORM 990-PF

ACCOUNTING FEES

STATEMENT

5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	3,885.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	3,885.	0.		0.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT

6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
KEY BANK	35,909.	35,909.		0.
TO FORM 990-PF, PG 1, LN 16C	35,909.	35,909.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT

7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
WA FOUNDATION RENEWAL FEE	25.	0.		0.
TO FORM 990-PF, PG 1, LN 23	25.	0.		0.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	8
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
KEY BANK	X		1,946,675.	2,015,385.
TOTAL U.S. GOVERNMENT OBLIGATIONS			1,946,675.	2,015,385.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			1,946,675.	2,015,385.

FORM 990-PF	CORPORATE STOCK	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
KEY BANK	3,039,500.	3,546,817.
TOTAL TO FORM 990-PF, PART II, LINE 10B	3,039,500.	3,546,817.

FORM 990-PF

GRANTS AND CONTRIBUTIONS  
PAID DURING THE YEAR

STATEMENT 10

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
ELDERHEALTH NORTHWEST 800 JEFFERSON ST, SUITE 620 SEATTLE, WA 98104	N/A TO FUND NURSING HOME RELOCATION PROJECT	PUBLICLY SUPPORT ORGANIZATI	40,000.
JUNIOR ACHIEVEMENT OF WASHINGTON 1700 WESTLAKE AVE N, SUITE 400 SEATTLE, WA 98109	N/A TO FUND EDUCATIONAL SERVICES TO CHILDREN	PUBLICLY SUPPORT ORGANIZATI	15,000.
LITTLE BIT THERAPEUTIC RIDING CENTER 19802 NE 148TH STREET WOODINVILLE, WA 98077	N/A TO FUND THE HIPPOTHERAPY PROGRAM EXPANSION	PUBLICLY SUPPORT ORGANIZATI	30,000.
PROVIDENCE HOSPICE & HOME CARE 2731 WETMORE AVE, SUITE 500 EVERETT, WA 98201	N/A TO FUND VITAL PATIENT CARE SERVICES TO CHILDREN	PUBLICLY SUPPORT ORGANIZATI	22,000.
RYTHER CHILD CARE 2400 NE 95TH ST SEATTLE, WA 98115	N/A TO FUND RECREATIONAL OUTINGS FOR CHILDREN	PUBLICLY SUPPORT ORGANIZATI	30,000.
SENIOR SERVICES OF SNOHOMISH COUNTY 8225 44TH AVE W, SUITE 0 MUKILTEO, WA 98275	N/A TO FUND SENIOR SERVICES	PUBLICLY SUPPORT ORGANIZATI	40,000.
WOODLAND PARK ZOO 601 N 59TH ST SEATTLE, WA 98103	N/A TO FUND RECREATIONAL OUTINGS FOR CHILDREN	PUBLICLY SUPPORT ORGANIZATI	25,000.
EVERGREEN HEALTHCARE FOUNDATION 12040 NE 128TH ST KIRKLAND, WA 98034	N/A TO FUND VITAL PATIENT CARE SERVICES TO CHILDREN	PUBLICLY SUPPORT ORGANIZATI	20,000.

SEATTLE EDUCATION ACCESS 6920 ROOSEVELT WAY NE #355 SEATTLE, WA 98115	N/A TO BUY BOOKS FOR LOW INCOME FAMILIES	PUBLICLY SUPPORT ORGANIZATI	10,000.
ASSISTANCE LEAQUE OF EASTSIDE 16541 REDMOND WAY REDMOND, WA 98052	N/A TO BUY CLOTHES FOR CHILDREN	PUBLICLY SUPPORT ORGANIZATI	25,000.
CHILDHAVEN 316 BROADWAY SEATTLE, WA 98122	N/A TO FUND THE THERAPEUTIC CHILD CARE PROGRAM	PUBLICLY SUPPORT ORGANIZATI	35,000.
HUMANITIES WASHINGTON 615 SECOND AVE, SUITE 300 SEATTLE, WA 98104	N/A TO BUY BOOKS FOR LOW INCOME FAMILIES	PUBLICLY SUPPORT ORGANIZATI	50,000.
NW SCHOOL FOR HEARING IMPAIRED CHILDREN PO BOX 31325 SEATTLE, WA 98103	N/A SCHOLARSHIP FOR DEAF AND HARD-OF-HEARING CHILDREN	PUBLICLY SUPPORT ORGANIZATI	30,000.
PROVIDENCE HOSPITAL OF SEATTLE 425 PONTIUS AVE N, SUITE 300 SEATTLE, WA 98109	N/A TO FUND VITAL PATIENT CARE SERVICES TO CHILDREN	PUBLICLY SUPPORT ORGANIZATI	25,000.
DAWSON PLACE CHILD ADVOCACY CENTER 1509 CALIFORNIA STREET EVERETT, WA 98201	N/A TO FUND VITAL CARE SERVICES TO CHILDREN	PUBLICLY SUPPORT ORGANIZATI	30,000.
PROVIDENCE MARIANWOOD 3725 PROVIDENCE POINT DRIVE SE ISSAQAH, WA 98029	N/A TO FUND VITAL PATIENT CARE SERVICES TO ELDERLY	PUBLICLY SUPPORT ORGANIZATI	30,000.
LAKE WASHINGTON SCHOOLS FOUNDATION PO BOX 83 REDMOND, WA 98073	N/A TO FUND EDUCATIONAL SERVICES TO CHILDREN	PUBLICLY SUPPORT ORGANIZATI	10,000.
MDA SUMMER CAMP 22522 29TH DRIVE SE #205 BOTHELL, WA 98021	N/A TO FUND RECREATIONAL OUTINGS FOR MUSCULAR DYSTROPHY CHILDREN	PUBLICLY SUPPORT ORGANIZATI	5,000.

WOCKNER FOUNDATION C/O HKP & CO, P.S.

42-1624231

THERAPEUTIC HEALTH SERVICES  
9930 EVERGREEN WAY, BUILDING  
Z150 EVERETT, WA 98204

N/A  
TO FUND VITAL  
REHABILITATIVE  
SERVICES TO FAMILIES

PUBLICLY  
SUPPORT  
ORGANIZATI

5,000.

TOTAL TO FORM 990-PF, PART XV, LINE 3A

477,000.

16250502 759448 23067

23 STATEMENT(S) 10  
2010.05080 WOCKNER FOUNDATION C/O HKP 23067 1

Wockner Foundation

FYE 2010 Form 990-PF, Capital Gains Attachment  
KEYBANK

EIN 42-1624231

### Realized Gain/Loss from Sales

Description	Settle date	Trade date	Units sold	Tax cost	Proceeds	Short-term gain/loss	Long-term gain/loss
ARCH CAPITAL GROUP LTD	7/7	7/1	-94 00	-\$6,737.79	\$6,987.84	\$250.05	\$0.00
HELEN OF TROY CORP LTD	7/7	7/1	-87 00	-1,920.21	1,929.62	9.41	0.00
AGRIUM INC	7/7	7/1	-119 00	-4,825.33	5,809.48	0.00	984.15
AMERICREDIT CORP	7/7	7/1	-183 00	-2,096.01	3,420.21	20.85	1,303.35
ANGLO AMERICAN PLC	7/7	7/1	-191 00	-3,275.09	3,317.61	0.00	42.52
ARCELORMITTAL S A	7/7	7/1	-207 00	-7,963.36	5,731.73	-2,231.63	0.00
ATLAS COPCO AB	7/7	7/1	-287 00	-3,974.65	3,765.37	0.00	-209.28
AXA	7/7	7/1	-283 00	-8,188.50	4,355.29	0.00	-3,833.21
BERKLEY W R CORP	7/7	7/1	-170 00	-4,618.61	4,487.92	-130.69	0.00
BOC HONG KONG HOLDINGS LTD	7/7	7/1	-181 00	-7,988.25	8,246.22	103.16	154.81

Wockner Foundation

FYE 2010 Form 990-PF, Capital Gains Attachment  
KEYBANK

EIN 42-1624231

## Realized Gain/Loss from Sales

Description	Settle date	Trade date	Units sold	Tax cost	Proceeds	Short-term gain/loss	Long-term gain/loss
BROOKFIELD ASSET MGMT INC	7/7	7/1	-468 00	-12,576.79	10,567.26	27.28	-2,036.81
BROWN & BROWN INC	7/7	7/1	-351 00	-7,695.29	6,718.02	-4.27	-1,173.00
CABOT MICROELECTRONICS CORP	7/7	7/1	-55 00	-1,901.88	1,907.36	5.43	0.00
COGNEX CORP	7/7	7/1	-116 00	2,074.14	2,033.44	-40.70	0.00
D&S GROUP HOLDINGS LTD	7/7	7/1	-210 00	7,393.43	8,236.06	0.00	842.63
DESEARROLLADORA HOMEX SA DE CV	7/7	7/1	-232 00	-6,055.55	5,816.14	-608.47	369.06
EXTRA SPACE STORAGE INC	7/7	7/1	-173 00	2,553.64	2,399.46	-154.18	0.00
FUJI FILM HOLDINGS CORP	7/7	7/1	-328 00	-9,290.58	9,403.60	-534.33	647.35
FULLER H B CO	7/7	7/1	-92 00	-1,900.93	1,759.93	141.00	0.00
INDEX CORP	7/7	7/1	-212 00	-6,891.24	6,027.05	-42.68	821.51
KEPPEL CORP LTD	7/7	7/1	997 00	-7,181.72	11,684.03	0.00	4,702.31
LAOISH CO INC	7/7	7/1	-77 00	-2,122.59	1,744.02	-378.57	0.00
LEUCADIA NATL CORP	7/7	7/1	-315 00	-9,989.47	6,142.39	-9.46	3,837.62
LUFKIN IND'S INC	7/7	7/1	-53 00	-2,380.64	2,263.70	-116.94	0.00
MARVEL CORP	7/7	7/1	-29 00	-11,978.29	9,876.07	534.95	-2,407.17
MITSUBISHI UFJ FINANCIAL GROUP	7/7	7/1	92 00	-8,667.55	4,355.13	0.00	-4,312.42
MITSUI & CO LTD	7/7	7/1	-40 00	10,036.52	9,438.24	669.15	70.87
NELNET INC	7/7	7/1	151 00	1,750.61	2,915.76	72.38	1,092.77
NEWMARKET CORP	7/7	7/1	-27 00	-2,106.81	2,365.97	259.16	0.00
NIDEC CORP	7/7	7/1	-143 00	-3,879.70	3,056.14	-823.56	0.00
NORDSON CORP	7/7	7/1	-40 00	-2,337.30	2,241.56	-95.74	0.00
ORIX CORPORATION	7/7	7/1	-152 00	-3,876.58	3,679.07	-197.51	0.00
PACKAGING CORP OF AMERICA	7/7	7/1	-110 00	-2,064.96	2,408.95	343.99	0.00
SEI INVESTMENTS CO	7/7	7/1	-333 00	-8,797.84	6,786.42	136.90	-2,148.52
SOCIETE GENERALE FRANCE	7/7	7/1	-249 00	-3,859.50	2,054.21	-1,805.29	0.00
STERILITE INDUSTRIES INDIA LTD	7/7	7/1	-206 00	-2,439.14	2,888.07	101.09	347.94
SWISS REINSURANCE CO	7/7	7/1	-76 00	-3,806.40	3,234.60	-571.80	0.00
TENARIS SA	7/7	7/1	-192 00	-8,066.38	6,758.28	-1,308.10	0.00
THOMPSON CSCEK & ETALS CO INC	7/7	7/1	-614 00	7,504.87	5,311.00	-2,193.87	0.00
TONO MFG'NE HLDGS INC	7/7	7/1	-215 00	-5,875.77	5,703.85	0.00	-171.92
US AIRWAYS GROUP INC	7/7	7/1	-264 00	-1,932.48	2,299.40	566.92	0.00

Wockner Foundation

FYE 2010 Form 990-PF, Capital Gains Attachment  
KEYBANK

EIN 42-1624231

## Realized Gain/Loss from Sales

Description	Settle date	Trade date	Units sold	Tax cost	Proceeds	Short-term gain/loss	Long-term gain/loss
UNIVERSAL AMERICAN CORP	7/7	7/1	-191 00	-2,025 71	2,740 80	715 09	0 00
UNIVERSAL FST PRODS INC	7/7	7/1	-49 00	-2,048 62	1,484 18	-564 44	0 00
VALE S A	7/7	7/1	-280 00	-2,772 87	5,910 69	0 00	3,137 82
WABTEC CORP	7/7	7/1	-127 00	-4,775 34	5,058 32	282 98	0 00
EXXON MOBIL CORP	7/12	7/12	-64	-47 65	36 27	0 00	-11 38
ALLERGAN INC	7/21	7/16	-262 00	-16,739 52	16,966 83	309 53	-82 22
BGC PARTNERS INC	7/21	7/16	-416 00	-2,624 42	2,113 91	-510 51	0 00
BIO-REFERENCE LABS INC	7/21	7/16	-151 00	-2,997 54	3,161 91	164 37	0 00
CENTRAL EUROPEAN DISTRIBUTION	7/21	7/16	-163 00	-4,221 57	4,060 29	-168 22	496 94
DENTSPLY INTL INC	7/21	7/16	-215 00	-6,617 86	6,372 55	-289 39	44 08
ENTEGRIS INC	7/21	7/16	-401 00	-2,149 36	1,824 55	-324 81	0 00
EXELIXIS INC	7/21	7/16	-352 00	-2,064 38	1,133 46	-930 92	0 00
EZCORP INC	7/21	7/16	-98 00	-2,074 73	1,982 50	-92 23	0 00
FOMENTO ECONOMICO MEXICANO SAB	7/21	7/16	-115 00	-2,879 87	5,242 77	0 00	2,363 90
HARMONIC INC	7/21	7/16	-282 00	-1,987 88	1,646 88	-341 00	0 00
INTEGRATED DEVICE TECHNOLOGY	7/21	7/16	-304 00	-1,839 96	1,562 56	-277 40	0 00
INTERACTIVE INTELLIGENCE INC	7/21	7/16	-111 00	-2,143 30	1,900 32	-242 98	0 00
INVACARE CORP	7/21	7/16	-79 00	-1,964 44	1,617 10	-347 34	0 00
MKS INSTRUMENTS INC	7/21	7/16	-104 00	-2,119 12	2,077 91	-41 21	0 00
OSI SYSTEMS INC	7/21	7/16	-80 00	-2,288 79	2,181 56	-107 23	0 00
PAN PHARMACEUTICAL COS INC	7/21	7/16	-115 00	-1,683 62	3,323 46	73 08	1,566 76
SANOFI	7/21	7/16	-129 00	-4,370 80	3,987 98	-229 58	-173 24
SONOSITE INC	7/21	7/16	-62 00	-1,813 39	1,682 65	-130 74	0 00
TECHNE CORP	7/21	7/16	-136 00	-7,892 58	7,803 80	-222 53	133 75
TURKCELL ILETISIM HIZMET A S	7/21	7/16	-511 00	-9,478 68	6,658 26	0 00	-2,820 42
VARIAN MEDICAL SYSTEMS INC	7/21	7/16	-73 00	-3,445 53	3,955 80	510 27	0 00
VARIAN SEMICONDUCTOR EQUIPMENT	7/21	7/16	-79 00	-2,528 30	2,302 02	-226 28	0 00
BNP PARIBAS SA	8/4	7/30	-203 00	-5,973 84	6,885 64	911 80	0 00
CARMAX INC	8/4	7/30	-261 00	-4,359 10	5,342 57	-257 58	1,241 05
DELUXE CORP	8/4	7/30	-108 00	-2,421 75	2,249 60	-172 15	0 00
FOSSIL INC	8/4	7/30	-59 00	-1,960 23	2,298 60	338 37	0 00

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KEYBANK

EIN 42-1624231

## Realized Gain/Loss from Sales

Description	Settle date	Trade date	Units sold	Fac cost	Proceeds	Short-term gain/loss	Long-term gain/loss
HONDA MOTOR LTD	8/4	7/30	-297.00	-9,026.18	9,287.03	-400.39	661.24
KELLY SVCS INC	8/4	7/30	-142.00	2,517.73	2,171.12	-346.61	0.00
NISSAN MOTOR CO LTD	8/4	7/30	230.00	3,767.65	3,558.03	209.62	0.00
QUANEX BUILDING PRODUCTS CORP	8/4	7/30	-125.00	-1,896.79	2,152.46	255.67	0.00
SFN GROUP INC	8/4	7/30	240.00	-2,056.40	1,747.17	-349.23	0.00
UNIVERSAL TECHNICAL INST INC	8/4	7/30	-159.00	-2,970.46	3,224.46	254.00	0.00
VOLVO AKTIEBOLAGET	8/4	7/30	-307.00	3,604.18	3,729.98	125.80	0.00
WELLS FARGO CO	8/4	7/30	-700.00	-25,886.53	19,067.67	0.00	-6,818.86
ZURICH FINANCIAL SERVICES AG	8/4	7/30	-141.00	-2,939.56	3,245.76	0.00	306.20
CENTRAL EUROPEAN MEDIA ENTPR LTD	8/27	8/24	81.00	-1,258.67	1,692.87	0.00	434.20
WEATHERFORD INTERNATIONAL LTD	8/27	8/24	-372.00	-6,259.13	5,561.30	-697.83	0.00
NOBLE CORP	8/27	8/24	-189.00	5,022.23	5,840.00	372.48	1,190.25
ABB LTD	8/27	8/24	-335.00	7,636.74	6,277.79	0.00	-1,358.95
AMERICAN STATES WATER CO	8/27	8/24	-63.00	-2,047.61	1,975.64	-71.97	0.00
BASF SE	8/27	8/24	-62.00	-3,716.67	3,290.90	-425.77	0.00
CNOOC LTD	8/27	8/24	23.00	3,776.23	3,845.99	69.76	0.00
COHEN & STEERS INC	8/27	8/24	-60.00	-1,726.80	1,609.57	-117.23	0.00
COVANTA HOLDING CORP	8/27	8/24	-430.00	-10,532.63	6,135.99	0.00	-4,396.64
DEL MONTE FOODS CO	8/27	8/24	-206.00	-1,489.42	2,669.71	0.00	1,180.29
E.ON AG	8/27	8/24	-111.00	-5,443.54	3,110.16	0.00	-2,333.38
PERRY ELLIS INTERNATIONAL INC	8/27	8/24	-81.00	-2,106.71	1,698.54	-408.17	0.00
EMERSON ELEC CO	8/27	8/24	-160.00	8,103.15	7,411.07	81.80	773.88
ENERSYS	8/27	8/24	-97.00	-2,306.95	2,157.24	-149.71	0.00
EXXON MOBIL CORP	8/27	8/24	-240.00	-17,162.18	14,154.96	0.00	-3,027.22
FACTSET RESH SYS INC	8/27	8/24	-77.00	-4,976.97	5,754.83	777.91	0.00
FLUOR CORP	8/27	8/24	-207.00	-9,289.72	9,387.29	183.03	-.85.46
GOOGLE INC	8/27	8/24	-65.00	-38,946.54	29,748.04	0.00	-9,198.50
GREEN MOUNTAIN COFFEE ROASTERS	8/27	8/24	-130.00	-1,324.78	4,180.72	0.00	2,855.94
HIBBETT SPORTS INC	8/27	8/24	-98.00	-2,135.98	2,234.36	98.38	0.00
ITT EDUCATIONAL SERVICES INC	8/27	8/24	-54.00	-5,945.37	2,898.13	-3,047.24	0.00
JACOBS ENGR GROUP INC DEL	8/27	8/24	-152.00	5,970.09	5,301.67	0.00	-668.42

Wockner Foundation

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EIN 42-1624231

## Realized Gain/Loss from Sales

Description	Settle date	Trade date	Units sold	Tax cost	Proceeds	Short-term gain/loss	Long-term gain/loss
KELLOGG CO	8/27	8/24	-206 00	-11,138 77	10,229 78	-908 99	0 00
LIBERTY GLOBAL INC	8/27	8/24	-171 00	-4,708 13	4,680 19	501 35	-529 29
MCCORMICK & CO INC	8/27	8/24	-197 00	-7,514 60	7,954 72	440 12	0 00
NATIONAL INSTRS CORP	8/27	8/24	-91 00	-2,487 94	2,597 09	109 15	0 00
NEWPARK RES INC	8/27	8/24	-511 00	3,280 62	4,057 27	776 65	0 00
LUKOL HOLDING	8/27	8/24	-101 00	-5,206 93	5,314 53	-120 16	227 76
OMNICOM GROUP INC	8/27	8/24	-172 00	-6,128 69	6,061 17	-67 52	0 00
PETROLEO BRASILEIRO SA	8/27	8/24	-250 00	-11,075 00	7,474 87	0 00	-3,600 13
POST PPTYS INC	8/27	8/24	-104 00	-2,552 76	2,514 67	-38 09	0 00
QUALCOMM INC	8/27	8/24	-382 00	-14,848 47	14,657 09	0 00	-191 38
QUEST DIAGNOSTICS INC	8/27	8/24	-650 00	-35,612 00	29,678 49	0 00	-5,933 51
RWE AG	8/27	8/24	-87 00	-6,339 40	5,808 02	0 00	-531 38
ITCHIE BROS AUCTIONEERS INC	8/27	8/24	-166 00	-3,922 74	3,316 32	-666 -2	0 00
ROYAL KPN NV	8/27	8/24	-328 00	-4,13 61	4,506 64	0 00	-207 7
SCHWAB CHARLES CORP NEW	8/27	8/24	-1,633 00	-30,112 72	22,681 98	0 00	-7,430 74
STATOIL ASA	8/27	8/24	-140 00	-4,739 83	2,626 35	0 00	-2,113 48
TELEFONICA S A	8/27	8/24	-130 00	-11,704 91	8,397 85	0 00	-3,307 05
TERADATA CORP	8/27	8/24	-264 00	-7,443 91	7,864 42	420 51	0 00
THOR IND'S INC	8/27	8/24	-62 00	-1,970 55	1,476 19	-494 36	0 00
TOTAL S A	8/27	8/24	-163 00	-9,509 00	7,691 83	0 00	-1,817 17
UI HOLDINGS CORP	8/27	8/24	-75 00	-1,912 07	1,947 71	35 64	0 00
UNITED PARCEL SERVICE INC	8/27	8/24	-110 00	-7,050 79	7,112 47	61 68	0 00
WATERS CORP	8/27	8/24	-350 00	-25,077 84	21,629 63	0 00	-3,448 21
WESTERN UNION CO	8/27	8/24	-321 00	-5,447 37	5,013 93	-433 44	0 00
ADDOBE SYSTEMS INC	9/15	9/10	-450 00	-14,613 48	14,624 75	11 27	0 00
BED BATH & BEYOND INC	9/15	9/10	-122 00	-3,037 57	4,811 59	0 00	1,774 02
BROADCOM CORP	9/15	9/10	-104 00	-3,365 36	3,529 70	164 34	0 00
CHICO'S FAS INC	9/15	9/10	-220 00	-1,005 87	1,973 36	0 00	967 49
MARTIN MARIETTA MATLS INC	9/15	9/10	-50 00	-4,681 19	3,858 93	-121 16	701 10
PROCTER & GAMBLE CO	9/15	9/10	-109 00	-7,596 35	6,599 83	0 00	-996 52
SOUTHWESTERN ENERGY CO	9/15	9/10	-174 00	-7,205 03	5,750 60	-794 75	-659 68

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## Realized Gain/Loss from Sales

Description	Settle date	Trade date	Units sold	Tax cost	Proceeds	Short-term gain/loss	Long-term gain/loss
VISA INC	9/15	9/10	-527 00	34,318.76	35,150.30	-1,075.29	1,906.81
ASTRAZENECA PLC	10/15	10/12	-152 00	6,935.29	8,511.36	0.00	1,576.07
BARCLAYS PLC	10/15	10/12	-226 00	5,732.31	5,545.93	0.00	186.38
BARD C R INC	10/15	10/12	-35 00	6,622.16	7,191.19	199.31	-150.72
BROWN-FORMAN CORP	10/15	10/12	-110 00	5,293.25	6,771.50	0.00	1,478.25
CAMERON INTERNATIONAL CORP	10/15	10/12	-210 00	8,004.98	9,120.16	0.00	1,115.18
CANON INC	10/15	10/12	-169 00	6,735.78	7,966.54	227.70	1,003.06
COPART INC	10/15	10/12	-163 00	6,120.19	6,495.82	0.00	375.63
COSTCO WHOLESALE CORP	10/15	10/12	358 00	24,194.99	23,210.66	0.00	-1,984.33
DIAGEO PLC	10/15	10/12	-57 00	3,468.52	4,048.07	23.43	556.12
GLAXOSMITHKLINE PLC	10/15	10/12	-151 00	5,344.42	6,257.35	0.00	912.93
INFERSIL CORP	10/15	10/12	144 00	2,021.15	1,742.38	-278.77	0.00
JUNIPER NETWORKS INC	10/15	10/12	-213 00	5,137.35	6,300.99	0.00	1,663.64
MERCK & CO INC	10/15	10/12	191 00	14,247.07	17,965.43	133.06	3,851.42
NORTHWEST BANC-SHARES INC	10/15	10/12	-153 00	1,919.29	1,742.30	194.99	0.00
RESEARCH IN MOTION LTD	10/15	10/12	-239 00	13,906.55	11,720.38	-1,408.99	777.18
STRYKER CORP	10/15	10/12	-283 00	17,651.70	13,943.23	-679.57	-3,228.90
TDX COS INC NEW	10/15	10/12	29 00	10,921.79	13,107.68	431.83	1,754.06
BANCA S-ERICA CORP	11/30	11/24	-510,000 00	-50,365.00	52,788.00	0.00	2,423.00
BANCA-AMERICA CORP	12/28	12/22	-2,235 00	-37,256.76	29,881.44	-7,375.32	0.00
ABBOTT LABS	12/29	12/23	-375 00	20,520.38	17,894.69	492.62	-2,133.07
AMPHENOL CORP	12/29	12/23	-157 00	-5,567.76	8,316.14	492.42	2,255.96
COCA COLA CO	12/29	12/23	-20 00	-18,054.63	19,473.96	0.00	1,419.33
ECOLAB INC	12/29	12/23	-68 00	-2,882.18	3,413.54	244.56	286.80
MICROSOFT CORP	12/29	12/23	-700 00	-22,681.29	19,557.66	0.00	-3,123.63
NESTLE S A	12/29	12/23	-334 00	-12,996.61	19,632.19	0.00	6,635.58
QUANTA SERVICES INC	12/29	12/23	-665 00	-15,090.55	13,699.76	0.00	-1,390.79
WAL MART STORES INC	12/29	12/23	-214 00	-11,812.91	11,378.18	0.00	-434.73
COVANCE INC	3/1	2/24	-265 00	-14,853.25	14,589.77	0.00	-263.48
AVON PRODS INC	3/7	3/2	-300 00	-10,353.00	8,207.87	0.00	-2,145.13
CISCO SYS INC	3/7	3/2	-2,000 00	53,407.81	36,959.48	0.00	-16,448.33

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### Realized Gain/Loss from Sales

Description	Settle date	Trade date	Units sold	Tax cost	Proceeds	Short-term gain/loss	Long-term gain/loss
ECOLAB INC	3/7	3/2	-500 00	-20,287.78	24,109.58	0 00	3,821.80
EXPEDITORS INTL WASH INC	3/7	3/2	-570 00	-25,603.13	27,302.53	0 00	1,699.40
GENERAL ELEC CO	3/7	3/2	-1,700 00	-28,376.93	34,696.50	1,259.86	5,059.71
ISHARES RUSSELL 1000 VALUE INDEX	3/7	3/2	-1,200 00	-98,793.85	81,238.55	0 00	-17,555.30
PRAXAIR INC	3/7	3/2	-305 00	-22,675.53	30,057.26	0 00	7,381.73
ROCHE HLDG LTD	3/7	3/2	-123 00	-5,125.41	4,593.96	0 00	-531.45
UNILEVER PLC	3/7	3/2	-270 00	-5,563.59	8,013.47	0 00	2,449.88
WASTE MANAGEMENT INC	3/7	3/2	-237 00	-7,866.59	8,723.82	149.47	707.76
XUNX INC	3/7	3/2	-1,140 00	-28,158.00	39,385.04	11,207.04	0 00
YUM! BRANDS INC	3/7	3/2	-206 00	-6,057.58	10,118.54	0 00	4,060.96
ZIONS BANCORP	4/19	4/14	-1,815 00	-40,205.48	42,289.05	2,083.57	0 00
AT&T INC	5/13	5/10	-1,225 00	-50,477.15	38,648.12	0 00	-11,829.03
EATON CORP	5/13	5/10	-270 00	6,243.75	13,974.96	0 00	7,731.21
FEDEX CORP	5/13	5/10	-230 00	-19,914.40	21,994.54	1,416.80	663.34
GOLDMAN SACHS GROUP INC	5/13	5/10	-95 00	-15,390.71	14,282.97	0 00	-1,107.74
HEWLETT PACKARD CO	5/13	5/10	-1,394 00	-60,941.23	57,822.14	0 00	-3,119.09
ISHARES RUSSELL 1000 VALUE INDEX	6/8	6/3	-4,340 00	-296,225.82	292,770.77	0 00	-3,455.05
<b>Total Realized Gain/Loss from Sales</b>				<b>-\$1,893,718.06</b>	<b>\$1,819,502.43</b>	<b>-\$12,434.07</b>	<b>-\$61,781.56</b>

*This is for informational purposes only and is not tax advice. Please see your tax advisor for tax advice.*