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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

2010

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning JUL 1, 2010, and ending JUN 30, 2011

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

Name of foundation <b>THE BAUM FAMILY FOUNDATION</b>		A Employer identification number <b>38-3443737</b>
Number and street (or P O box number if mail is not delivered to street address) <b>660 WEST INDIAN HILLS DRIVE</b>	Room/suite	B Telephone number <b>(269) 945-5009</b>
City or town, state, and ZIP code <b>HASTINGS, MI 49058</b>		C If exemption application is pending, check here <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$ 5,187,153.</b> (Part I, column (d) must be on cash basis.)	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		1,857,628.		N/A	
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		46.	46.		STATEMENT 2
4 Dividends and interest from securities		115,811.	115,811.		STATEMENT 3
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		127,247.			STATEMENT 1
b Gross sales price for all assets on line 6a		2,432,324.			
7 Capital gain net income (from Part IV, line 2)			428,576.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		2,100,732.	544,433.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees		3,500.	3,500.		0.
c Other professional fees		0.	0.		0.
17 Interest					
18 Taxes		2,961.	0.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses					
24 Total operating and administrative expenses. Add lines 13 through 23		6,481.	3,500.		0.
25 Contributions, gifts, grants paid		218,500.			218,500.
26 Total expenses and disbursements. Add lines 24 and 25		224,981.	3,500.		218,500.
27 Subtract line 26 from line 12		1,875,751.			
a Excess of revenue over expenses and disbursements			540,933.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				N/A	

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STMT 6 2,961.00  
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**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing	90,364.	39,976.	39,976.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
11 Investments - land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 7	2,744,689.	4,670,828.	5,147,177.	
14 Land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)	2,835,053.	4,710,804.	5,187,153.	
<b>Liabilities</b>	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
<b>Net Assets or Fund Balances</b>	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	24 Unrestricted and complete lines 24 through 26 and lines 30 and 31			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	2,835,053.	4,710,804.	
30 Total net assets or fund balances	2,835,053.	4,710,804.		
31 Total liabilities and net assets/fund balances	2,835,053.	4,710,804.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,835,053.
2 Enter amount from Part I, line 27a	2	1,875,751.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	4,710,804.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,710,804.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 2,432,324.		2,003,748.	428,576.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			428,576.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	428,576.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	}	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	42,600.	2,696,917.	.015796
2008	35,090.	2,411,367.	.014552
2007	244,476.	3,133,265.	.078026
2006	85,809.	476,571.	.180055
2005	23,778.	448,717.	.052991

2 Total of line 1, column (d)	2	.341420
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.068284
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	4,336,994.
5 Multiply line 4 by line 3	5	296,147.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	5,409.
7 Add lines 5 and 6	7	301,556.
8 Enter qualifying distributions from Part XII, line 4	8	218,500.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	10,819.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	10,819.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	10,819.
6 Credits/Payments			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a 4,000.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	4,000.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	6,819.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2011 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) MI		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► EARLENE BAUM Located at ► 660 WEST INDIAN HILLS DRIVE, HASTINGS, MI Telephone no ► (269) 945-9541 ZIP+4 ► 49058			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LARRY R. BAUM 660 WEST INDIAN HILLS DRIVE HASTINGS, MI 49058	DIRECTOR / PRESIDENT 0.00	0.	0.	0.
A. EARLENE BAUM 660 WEST INDIAN HILLS DRIVE HASTINGS, MI 49058	DIRECTOR / SECRETARY 0.00	0.	0.	0.
DAVID L. BAUM 620 INDIAN HILLS DRIVE HASTINGS, MI 49058	DIRECTOR / VICE PRESIDENT 0.00	0.	0.	0.
ARTHUR E. ALBIN 750 TRADE CENTRE WAY, STE. 300 PORTAGE, MI 49002	TREASURER 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	4,229,600.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	173,440.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	4,403,040.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	4,403,040.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	66,046.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	4,336,994.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	216,850.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	216,850.
<b>2a</b>	Tax on investment income for 2010 from Part VI, line 5	<b>2a</b>	10,819.
<b>b</b>	Income tax for 2010 (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	10,819.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	206,031.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0.
<b>5</b>	Add lines 3 and 4	<b>5</b>	206,031.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	206,031.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	218,500.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	218,500.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	0.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	218,500.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
<b>1</b> Distributable amount for 2010 from Part XI, line 7				206,031.
<b>2</b> Undistributed income, if any, as of the end of 2010				
<b>a</b> Enter amount for 2009 only			0.	
<b>b</b> Total for prior years		0.		
<b>3</b> Excess distributions carryover, if any, to 2010				
<b>a</b> From 2005				
<b>b</b> From 2006				
<b>c</b> From 2007	9,469.			
<b>d</b> From 2008				
<b>e</b> From 2009				
<b>f</b> Total of lines 3a through e	9,469.			
<b>4</b> Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ 218,500.				
<b>a</b> Applied to 2009, but not more than line 2a			0.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2010 distributable amount				206,031.
<b>e</b> Remaining amount distributed out of corpus	12,469.			
<b>5</b> Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	21,938.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr			0.	
<b>f</b> Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				0.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
<b>8</b> Excess distributions carryover from 2005 not applied on line 5 or line 7	0.			
<b>9</b> Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	21,938.			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2006				
<b>b</b> Excess from 2007	9,469.			
<b>c</b> Excess from 2008				
<b>d</b> Excess from 2009				
<b>e</b> Excess from 2010	12,469.			

N/A

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(I)(3) or ☐ 4942(I)(5)

- (4) Gross investment income

[illegible]

### 1 Information Regarding Foundation Managers:

- SEE STATEMENT 8

- NONE

**a The name, address, and telephone number of the person to whom applications should be addressed**

- b The form in which applications should be submitted and information and materials they should include**

- c Any submission deadlines**

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> <i>Paid during the year</i>				
SEE STATEMENT 9				
<b>Total</b>			<b>3a</b>	218,500.
<b>b</b> <i>Approved for future payment</i>				
NONE				
<b>Total</b>			<b>3b</b>	0.



**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

- |          |  | Yes          | No |
|----------|--|--------------|----|
| <b>1</b> | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?  |              |    |
| <b>a</b> | Transfers from the reporting foundation to a noncharitable exempt organization of  |              |    |
|          | (1) Cash   | <b>1a(1)</b> | X  |
|          | (2) Other assets   | <b>1a(2)</b> | X  |
| <b>b</b> | Other transactions   |              |    |
|          | (1) Sales of assets to a noncharitable exempt organization   | <b>1b(1)</b> | X  |
|          | (2) Purchases of assets from a noncharitable exempt organization   | <b>1b(2)</b> | X  |
|          | (3) Rental of facilities, equipment, or other assets   | <b>1b(3)</b> | X  |
|          | (4) Reimbursement arrangements   | <b>1b(4)</b> | X  |
|          | (5) Loans or loan guarantees   | <b>1b(5)</b> | X  |
|          | (6) Performance of services or membership or fundraising solicitations   | <b>1b(6)</b> | X  |
| <b>c</b> | Sharing of facilities, equipment, mailing lists, other assets, or paid employees   | <b>1c</b>    | X  |
| <b>d</b> | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |              |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No


- b If "Yes," complete the following schedule**

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

**Sign Here** A. Earlene Baum | 11/3/11 | Secretary  
 Signature of officer or trustee Date Title

<b>Paid Preparer Use Only</b>	Print/Type preparer's name <b>JASON J. DURANT, CPA</b>	Preparer's signature 	Date <b>11/1/11</b>	Check <input type="checkbox"/> if self-employed	PTIN
	Firm's name ▶ <b>PLANTE &amp; MORAN, PLLC</b>				Firm's EIN ▶
	Firm's address ▶ <b>750 TRADE CENTRE WAY, STE 300 PORTAGE, MI 49002</b>				Phone no. <b>(269) 567-4500</b>

**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

**2010**

Name of the organization

THE BAUM FAMILY FOUNDATION

Employer identification number

38-3443737

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐

501(c)( ) (enter number) organization

☐4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐

527 political organization

Form 990-PF

☒

501(c)(3) exempt private foundation

☐

4947(a)(1) nonexempt charitable trust treated as a private foundation

☐

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2010)

Name of organization

Employer identification number

THE BAUM FAMILY FOUNDATION

38-3443737

**Part I Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	LARRY & ANIETA BAUM 660 W. INDIAN HILLS HASTINGS, MI 49058	\$ 1,857,628.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)



Employer identification number

38-3443737

(a) No. from Part I	(b)  Description of noncash property given	(c)  FMV (or estimate) (see instructions)	(d)  Date received
1	20,400 SHS DFA TAX MANAGED 16,000 SHS VANGUARD 500 INDEX  	\$ 1,857,628.	11/29/10
(a) No. from Part I	(b)  Description of noncash property given	(c)  FMV (or estimate) (see instructions)	(d)  Date received
	   	\$	
(a) No. from Part I	(b)  Description of noncash property given	(c)  FMV (or estimate) (see instructions)	(d)  Date received
	   	\$	
(a) No. from Part I	(b)  Description of noncash property given	(c)  FMV (or estimate) (see instructions)	(d)  Date received
	   	\$	
(a) No. from Part I	(b)  Description of noncash property given	(c)  FMV (or estimate) (see instructions)	(d)  Date received
	   	\$	
(a) No. from Part I	(b)  Description of noncash property given	(c)  FMV (or estimate) (see instructions)	(d)  Date received
	   	\$	
(a) No. from Part I	(b)  Description of noncash property given	(c)  FMV (or estimate) (see instructions)	(d)  Date received
	   	\$	

Name of organization

Employer identification number

THE BAUM FAMILY FOUNDATION

38-3443737

**Part III** Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CALAMOS GROWTH & INCOME FUND I	P	12/16/10	06/01/11
b	CALAMOS GROWTH & INCOME FUND I	P	12/03/10	06/01/11
c	CALAMOS GROWTH & INCOME FUND I	P	09/16/10	06/01/11
d	CALAMOS GROWTH & INCOME FUND I	P	06/17/10	06/01/11
e	CALAMOS GROWTH & INCOME FUND I	P	06/28/10	06/01/11
f	CALAMOS GROWTH & INCOME FUND I	P	12/23/09	06/01/11
g	CALAMOS GROWTH & INCOME FUND I	P	03/29/09	06/01/11
h	CREDIT SUISSE COMMODITY RETURN STRATEGY	P	12/29/09	06/03/11
i	CREDIT SUISSE COMMODITY RETURN STRATEGY	P	10/29/08	06/03/11
j	CRM SMALL CAP VALUE INSTL	P	09/01/09	06/01/11
k	DFA TAX-MANAGED US TARGETED VALUE PORTFOLIO	P	03/09/10	12/03/10
l	DFA TAX-MANAGED US TARGETED VALUE PORTFOLIO	P	09/08/10	12/03/10
m	DFA TAX-MANAGED US TARGETED VALUE PORTFOLIO	P	06/08/10	12/03/10
n	DFA TAX-MANAGED US TARGETED VALUE PORTFOLIO	P	12/09/10	12/03/10
o	DFA TAX-MANAGED US TARGETED VALUE PORTFOLIO	P	06/10/08	12/03/10

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,364.	1,282.	82.
b	63,698.	60,000.	3,698.
c	701.	612.	89.
d	586.	495.	91.
e	504.	446.	58.
f	796.	682.	114.
g	95,146.	63,418.	31,728.
h	15,802.	15,000.	802.
i	198.	181.	17.
j	32,790.	21,857.	10,933.
k	21.	19.	2.
l	124.	103.	21.
m	65.	53.	12.
n	204.	156.	48.
o	137.	137.	0.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			82.
b			3,698.
c			89.
d			91.
e			58.
f			114.
g			31,728.
h			802.
i			17.
j			10,933.
k			2.
l			21.
m			12.
n			48.
o			0.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

3

THE BAUM FAMILY FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	DFA TAX-MANAGED US TARGETED VALUE PORTFOLIO	P	09/09/08	12/03/10
b	DFA TAX-MANAGED US TARGETED VALUE PORTFOLIO	P	03/10/08	12/03/10
c	DFA TAX-MANAGED US TARGETED VALUE PORTFOLIO	P	09/09/09	12/03/10
d	DFA TAX-MANAGED US TARGETED VALUE PORTFOLIO	P	06/09/09	12/03/10
e	DFA TAX-MANAGED US TARGETED VALUE PORTFOLIO	P	11/07/02	12/03/10
f	DFA TAX-MANAGED US TARGETED VALUE PORTFOLIO	P	12/10/08	12/03/10
g	DFA TAX-MANAGED US TARGETED VALUE PORTFOLIO	P	03/21/03	12/03/10
h	DFA TAX-MANAGED US TARGETED VALUE PORTFOLIO	P	03/21/03	06/03/11
i	HUSSMAN STRATEGIC GROWTH	P	12/03/10	03/01/11
j	HUSSMAN STRATEGIC GROWTH	P	12/31/10	03/01/11
k	HUSSMAN STRATEGIC GROWTH	P	11/03/09	03/01/11
l	HUSSMAN STRATEGIC GROWTH	P	03/26/09	03/01/11
m	HUSSMAN STRATEGIC GROWTH	P	12/31/09	03/01/11
n	LOOMIS SAYLES STRATEGIC INCOME Y	P	10/26/10	11/22/10
o	LOOMIS SAYLES STRATEGIC INCOME Y	P	09/21/10	11/22/10

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	167.	156.	11.
b	94.	85.	9.
c	115.	87.	28.
d	113.	75.	38.
e	63,156.	38,213.	24,943.
f	581.	350.	231.
g	246,207.	145,639.	100,568.
h	103,990.	57,804.	46,186.
i	47,347.	50,000.	<2,653.>
j	217.	221.	<4.>
k	9,297.	10,000.	<703.>
l	39,398.	42,000.	<2,602.>
m	64.	68.	<4.>
n	773.	773.	0.
o	799.	785.	14.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col. (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			11.
b			9.
c			28.
d			38.
e			24,943.
f			231.
g			100,568.
h			46,186.
i			<2,653.>
j			<4.>
k			<703.>
l			<2,602.>
m			<4.>
n			0.
o			14.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	LOOMIS SAYLES STRATEGIC INCOME Y	P	04/27/10	11/22/10
b	LOOMIS SAYLES STRATEGIC INCOME Y	P	08/24/10	11/22/10
c	LOOMIS SAYLES STRATEGIC INCOME Y	P	03/23/10	11/22/10
d	LOOMIS SAYLES STRATEGIC INCOME Y	P	07/27/10	11/22/10
e	LOOMIS SAYLES STRATEGIC INCOME Y	P	06/22/10	11/22/10
f	LOOMIS SAYLES STRATEGIC INCOME Y	P	01/26/10	11/22/10
g	LOOMIS SAYLES STRATEGIC INCOME Y	P	02/23/10	11/22/10
h	LOOMIS SAYLES STRATEGIC INCOME Y	P	12/29/09	11/22/10
i	LOOMIS SAYLES STRATEGIC INCOME Y	P	05/26/10	11/22/10
j	LOOMIS SAYLES STRATEGIC INCOME Y	P	12/22/09	11/22/10
k	LOOMIS SAYLES STRATEGIC INCOME Y	P	11/24/09	11/22/10
l	LOOMIS SAYLES STRATEGIC INCOME Y	P	10/27/09	11/22/10
m	LOOMIS SAYLES STRATEGIC INCOME Y	P	09/22/09	11/23/10
n	LOOMIS SAYLES STRATEGIC INCOME Y	P	08/25/09	11/24/10
o	LOOMIS SAYLES STRATEGIC INCOME Y	P	07/28/09	11/25/10

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	788.	766.	22.
b	751.	723.	28.
c	764.	736.	28.
d	890.	856.	34.
e	823.	778.	45.
f	875.	818.	57.
g	831.	776.	55.
h	10,759.	10,000.	759.
i	818.	760.	58.
j	829.	766.	63.
k	771.	708.	63.
l	784.	711.	73.
m	718.	642.	76.
n	849.	733.	116.
o	802.	670.	132.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			22.
b			28.
c			28.
d			34.
e			45.
f			57.
g			55.
h			759.
i			58.
j			63.
k			63.
l			73.
m			76.
n			116.
o			132.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8

3

THE BAUM FAMILY FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	LOOMIS SAYLES STRATEGIC INCOME Y	P	06/23/09	11/26/10
b	LOOMIS SAYLES STRATEGIC INCOME Y	P	05/27/09	11/27/10
c	LOOMIS SAYLES STRATEGIC INCOME Y	P	04/28/09	11/28/10
d	LOOMIS SAYLES STRATEGIC INCOME Y	P	01/12/09	11/29/10
e	PIMCO GLOBAL ADVANTAGE STRATEGY BD INSTL	P	10/29/10	03/01/11
f	PIMCO GLOBAL ADVANTAGE STRATEGY BD INSTL	P	09/30/10	03/01/11
g	PIMCO GLOBAL ADVANTAGE STRATEGY BD INSTL	P	12/03/10	03/01/11
h	PIMCO GLOBAL ADVANTAGE STRATEGY BD INSTL	P	11/30/10	03/01/11
i	PIMCO GLOBAL ADVANTAGE STRATEGY BD INSTL	P	08/31/10	03/01/11
j	PIMCO GLOBAL ADVANTAGE STRATEGY BD INSTL	P	07/30/10	03/01/11
k	PIMCO GLOBAL ADVANTAGE STRATEGY BD INSTL	P	02/28/11	03/01/11
l	PIMCO GLOBAL ADVANTAGE STRATEGY BD INSTL	P	04/30/10	03/01/11
m	PIMCO GLOBAL ADVANTAGE STRATEGY BD INSTL	P	12/31/10	03/01/11
n	PIMCO GLOBAL ADVANTAGE STRATEGY BD INSTL	P	01/31/11	03/01/11
o	PIMCO GLOBAL ADVANTAGE STRATEGY BD INSTL	P	03/31/10	03/01/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	774.	622.	152.
b	796.	613.	183.
c	809.	587.	222.
d	110,282.	79,483.	30,799.
e	61.	65.	<4.>
f	55.	58.	<3.>
g	21,259.	22,000.	<741.>
h	58.	60.	<2.>
i	59.	61.	<2.>
j	58.	58.	0.
k	120.	120.	0.
l	54.	53.	1.
m	122.	121.	1.
n	112.	112.	0.
o	45.	44.	1.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col. (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			152.
b			183.
c			222.
d			30,799.
e			<4.>
f			<3.>
g			<741.>
h			<2.>
i			<2.>
j			0.
k			0.
l			1.
m			1.
n			0.
o			1.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8

2

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	PIMCO GLOBAL ADVANTAGE STRATEGY BD INSTL	P	12/08/10	03/01/11
b	PIMCO GLOBAL ADVANTAGE STRATEGY BD INSTL	P	12/08/10	03/01/11
c	PIMCO GLOBAL ADVANTAGE STRATEGY BD INSTL	P	06/30/10	03/01/11
d	PIMCO GLOBAL ADVANTAGE STRATEGY BD INSTL	P	05/28/10	03/01/11
e	PIMCO GLOBAL ADVANTAGE STRATEGY BD INSTL	P	02/26/10	03/01/11
f	PIMCO GLOBAL ADVANTAGE STRATEGY BD INSTL	P	02/17/10	03/02/11
g	PIMCO TOTAL RETURN INSTL	P	10/29/10	03/01/11
h	PIMCO TOTAL RETURN INSTL	P	09/30/10	03/01/11
i	PIMCO TOTAL RETURN INSTL	P	08/31/10	03/01/11
j	PIMCO TOTAL RETURN INSTL	P	08/25/10	03/01/11
k	PIMCO TOTAL RETURN INSTL	P	11/30/10	03/01/11
l	PIMCO TOTAL RETURN INSTL	P	07/30/10	03/01/11
m	PIMCO TOTAL RETURN INSTL	P	06/30/10	03/01/11
n	PIMCO TOTAL RETURN INSTL	P	04/30/10	03/01/11
o	PIMCO TOTAL RETURN INSTL	P	05/28/10	03/01/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,965.	1,926.	39.
b	108.	106.	2.
c	54.	53.	1.
d	53.	51.	2.
e	15.	15.	0.
f	26,381.	26,000.	381.
g	913.	981.	<68.>
h	833.	889.	<56.>
i	715.	758.	<43.>
j	49,939.	52,900.	<2,961.>
k	956.	1,010.	<54.>
l	750.	786.	<36.>
m	708.	733.	<25.>
n	699.	715.	<16.>
o	642.	655.	<13.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			39.
b			2.
c			1.
d			2.
e			0.
f			381.
g			<68.>
h			<56.>
i			<43.>
j			<2,961.>
k			<54.>
l			<36.>
m			<25.>
n			<16.>
o			<13.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PIMCO TOTAL RETURN INSTL	P	03/31/10	03/01/11
b	PIMCO TOTAL RETURN INSTL	P	02/28/11	03/01/11
c	PIMCO TOTAL RETURN INSTL	P	02/26/10	03/01/11
d	PIMCO TOTAL RETURN INSTL	P	09/09/09	03/01/11
e	STRATTON SMALL CAP VALUE	P	01/20/10	06/01/11
f	VANGUARD INFLATION - PROTECTED SECURITIES INV	P	06/28/10	08/25/10
g	VANGUARD INFLATION - PROTECTED SECURITIES INV	P	12/23/09	08/25/10
h	VANGUARD INFLATION - PROTECTED SECURITIES INV	P	03/29/10	08/25/10
i	VANGUARD INFLATION - PROTECTED SECURITIES INV	P	07/22/09	08/25/10
j	VANGUARD INDEX 500 SIGNAL	P	03/29/10	12/03/10
k	VANGUARD INDEX 500 SIGNAL	P	12/28/09	12/03/10
l	VANGUARD INDEX 500 SIGNAL	P	09/23/10	12/03/10
m	VANGUARD INDEX 500 SIGNAL	P	03/02/10	12/03/10
n	VANGUARD INDEX 500 SIGNAL	P	06/28/10	12/03/10
o	VANGUARD INDEX 500 SIGNAL	P	03/24/11	06/03/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 682.		692.	<10.>
b 959.		959.	0.
c 725.		732.	<7.>
d 4,269.		4,236.	33.
e 45,190.		35,508.	9,682.
f 204.		202.	2.
g 881.		842.	39.
h 280.		266.	14.
i 52,857.		48,900.	3,957.
j 2,598.		2,481.	117.
k 4,320.		3,963.	357.
l 3,287.		3,007.	280.
m 4,559.		4,163.	396.
n 3,250.		2,842.	408.
o 4,815.		4,835.	<20.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<10.>
b			0.
c			<7.>
d			33.
e			9,682.
f			2.
g			39.
h			14.
i			3,957.
j			117.
k			357.
l			280.
m			396.
n			408.
o			<20.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	



**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	VANGUARD INDEX 500 SIGNAL	P	08/23/07	12/03/10
b	VANGUARD INDEX 500 SIGNAL	P	11/21/06	12/03/10
c	VANGUARD INDEX 500 SIGNAL	P	01/24/08	12/03/10
d	VANGUARD INDEX 500 SIGNAL	P	09/28/09	12/03/10
e	VANGUARD INDEX 500 SIGNAL	P	08/06/03	12/03/10
f	VANGUARD INDEX 500 SIGNAL	P	06/23/09	12/03/10
g	VANGUARD INDEX 500 SIGNAL	P	03/26/09	12/03/10
h	VANGUARD INDEX 500 SIGNAL	P	02/23/09	12/03/10
i	VANGUARD INDEX 500 SIGNAL	P	02/23/09	06/03/11
j	SCHWAB INVESTOR MONEY FUND	P	06/01/11	06/03/11
k	SCHWAB INVESTOR MONEY FUND	P	06/01/11	06/03/11
l	SCHWAB INVESTOR MONEY FUND	P	06/01/11	06/03/11
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	329,305.	393,001.	<63,696.>
b	30,560.	35,000.	<4,440.>
c	49,956.	55,000.	<5,044.>
d	3,128.	2,708.	420.
e	134,270.	106,188.	28,082.
f	3,268.	2,381.	887.
g	4,201.	2,845.	1,356.
h	527,284.	320,282.	207,002.
i	13,175.	7,540.	5,635.
j	164,400.	164,400.	0.
k	45,200.	45,200.	0.
l	32,800.	32,800.	0.
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			<63,696.>
b			<4,440.>
c			<5,044.>
d			420.
e			28,082.
f			887.
g			1,356.
h			207,002.
i			5,635.
j			0.
k			0.
l			0.
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	428,576.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

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FORM 990-PF                      GAIN OR (LOSS) FROM SALE OF ASSETS                      STATEMENT    1

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(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
CALAMOS GROWTH & INCOME FUND I	1,364.	1,282.	0.	0.	82.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
CALAMOS GROWTH & INCOME FUND I	63,698.	60,000.	0.	0.	3,698.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
CALAMOS GROWTH & INCOME FUND I	701.	612.	0.	0.	89.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
CALAMOS GROWTH & INCOME FUND I	586.	495.	0.	0.	91.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
CALAMOS GROWTH & INCOME FUND I	504.	446.	0.	0.	58.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
CALAMOS GROWTH & INCOME FUND I	796.	682.	0.	0.	114.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
CALAMOS GROWTH & INCOME FUND I	95,146.	63,418.	0.	0.	31,728.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
CREDIT SUISSE COMMODITY RETURN STRATEGY	15,802.	15,000.	0.	0.	802.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
CREDIT SUISSE COMMODITY RETURN STRATEGY	198.	181.	0.	0.	17.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
CRM SMALL CAP VALUE INSTL	32,790.	21,857.	0.	0.	10,933.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
DFA TAX-MANAGED US TARGETED VALUE PORTFOLIO	21.	19.	0.	0.	2.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
DFA TAX-MANAGED US TARGETED VALUE PORTFOLIO	124.	103.	0.	PURCHASED	09/08/10	12/03/10

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
DFA TAX-MANAGED US TARGETED VALUE PORTFOLIO	65.	53.	0.	PURCHASED	06/08/10	12/03/10

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
DFA TAX-MANAGED US TARGETED VALUE PORTFOLIO	204.	156.	0.	PURCHASED	12/09/10	12/03/10

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
DFA TAX-MANAGED US TARGETED VALUE PORTFOLIO	137.	137.	0.	PURCHASED	06/10/08	12/03/10

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
DFA TAX-MANAGED US TARGETED VALUE PORTFOLIO	167.	156.	0.	0.	11.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
DFA TAX-MANAGED US TARGETED VALUE PORTFOLIO	94.	85.	0.	0.	9.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
DFA TAX-MANAGED US TARGETED VALUE PORTFOLIO	115.	87.	0.	0.	28.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
DFA TAX-MANAGED US TARGETED VALUE PORTFOLIO	113.	75.	0.	0.	38.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
DFA TAX-MANAGED US TARGETED VALUE PORTFOLIO	63,156.	59,261.	0.	0.	3,895.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
DFA TAX-MANAGED US TARGETED VALUE PORTFOLIO	581.	350.	0.	0.	231.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
DFA TAX-MANAGED US TARGETED VALUE PORTFOLIO	246,207.	231,324.	0.	0.	14,883.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
DFA TAX-MANAGED US TARGETED VALUE PORTFOLIO	103,990.	97,577.	0.	0.	6,413.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
HUSSMAN STRATEGIC GROWTH	47,347.	50,000.	0.	0.	<2,653.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
HUSSMAN STRATEGIC GROWTH	217.	221.	0.	0.	<4.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
HUSSMAN STRATEGIC GROWTH	9,297.	10,000.	0.	0.	<703.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
HUSSMAN STRATEGIC GROWTH	39,398.	42,000.	0.	0.	<2,602.>



(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
HUSSMAN STRATEGIC GROWTH					
	64.	68.	0.	0.	<4.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
LOOMIS SAYLES STRATEGIC INCOME Y					
	773.	773.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
LOOMIS SAYLES STRATEGIC INCOME Y					
	799.	785.	0.	0.	14.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
LOOMIS SAYLES STRATEGIC INCOME Y					
	788.	766.	0.	0.	22.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
LOOMIS SAYLES STRATEGIC INCOME Y	751.	723.	0.	0.	28.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
LOOMIS SAYLES STRATEGIC INCOME Y	764.	736.	0.	0.	28.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
LOOMIS SAYLES STRATEGIC INCOME Y	890.	856.	0.	0.	34.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
LOOMIS SAYLES STRATEGIC INCOME Y	823.	778.	0.	0.	45.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
LOOMIS SAYLES STRATEGIC INCOME Y	875.	818.	0.	0.	57.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
LOOMIS SAYLES STRATEGIC INCOME Y	831.	776.	0.	0.	55.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
LOOMIS SAYLES STRATEGIC INCOME Y	10,759.	10,000.	0.	0.	759.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
LOOMIS SAYLES STRATEGIC INCOME Y	818.	760.	0.	0.	58.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
LOOMIS SAYLES STRATEGIC INCOME Y	829.	766.	0.	0.	63.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
LOOMIS SAYLES STRATEGIC INCOME Y	771.	708.	0.	0.	63.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
LOOMIS SAYLES STRATEGIC INCOME Y	784.	711.	0.	0.	73.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
LOOMIS SAYLES STRATEGIC INCOME Y	718.	642.	0.	0.	76.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
LOOMIS SAYLES STRATEGIC INCOME Y	849.	733.	0.	0.	116.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
LOOMIS SAYLES STRATEGIC INCOME Y	802.	670.	0.	0.	132.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
LOOMIS SAYLES STRATEGIC INCOME Y	774.	622.	0.	0.	152.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
LOOMIS SAYLES STRATEGIC INCOME Y	796.	613.	0.	0.	183.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
LOOMIS SAYLES STRATEGIC INCOME Y	809.	587.	0.	PURCHASED	04/28/09	11/28/10

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
LOOMIS SAYLES STRATEGIC INCOME Y	110,282.	79,483.	0.	PURCHASED	01/12/09	11/29/10

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
PIMCO GLOBAL ADVANTAGE STRATEGY BD INSTL	61.	65.	0.	PURCHASED	10/29/10	03/01/11

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
PIMCO GLOBAL ADVANTAGE STRATEGY BD INSTL	55.	58.	0.	PURCHASED	09/30/10	03/01/11

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
PIMCO GLOBAL ADVANTAGE STRATEGY BD INSTL	21,259.	22,000.	0.	0.	<741.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
PIMCO GLOBAL ADVANTAGE STRATEGY BD INSTL	58.	60.	0.	0.	<2.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
PIMCO GLOBAL ADVANTAGE STRATEGY BD INSTL	59.	61.	0.	0.	<2.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
PIMCO GLOBAL ADVANTAGE STRATEGY BD INSTL	58.	58.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
PIMCO GLOBAL ADVANTAGE STRATEGY BD INSTL	120.	120.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
PIMCO GLOBAL ADVANTAGE STRATEGY BD INSTL	54.	53.	0.	0.	1.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
PIMCO GLOBAL ADVANTAGE STRATEGY BD INSTL	122.	121.	0.	0.	1.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
PIMCO GLOBAL ADVANTAGE STRATEGY BD INSTL	112.	112.	0.	0.	0.



(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
PIMCO GLOBAL ADVANTAGE STRATEGY BD INSTL	45.	44.	0.	0.	1.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
PIMCO GLOBAL ADVANTAGE STRATEGY BD INSTL	1,965.	1,926.	0.	0.	39.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
PIMCO GLOBAL ADVANTAGE STRATEGY BD INSTL	108.	106.	0.	0.	2.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
PIMCO GLOBAL ADVANTAGE STRATEGY BD INSTL	54.	53.	0.	0.	1.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
PIMCO GLOBAL ADVANTAGE STRATEGY BD INSTL	PURCHASED	05/28/10	03/01/11		
	53.	51.	0.	0.	2.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
PIMCO GLOBAL ADVANTAGE STRATEGY BD INSTL	PURCHASED	02/26/10	03/01/11		
	15.	15.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
PIMCO GLOBAL ADVANTAGE STRATEGY BD INSTL	PURCHASED	02/17/10	03/02/11		
	26,381.	26,000.	0.	0.	381.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
PIMCO TOTAL RETURN INSTL	PURCHASED	10/29/10	03/01/11		
	913.	981.	0.	0.	<68.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
PIMCO TOTAL RETURN INSTL	833.	889.	0.	0.	<56.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
PIMCO TOTAL RETURN INSTL	715.	758.	0.	0.	<43.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
PIMCO TOTAL RETURN INSTL	49,939.	52,900.	0.	0.	<2,961.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
PIMCO TOTAL RETURN INSTL	956.	1,010.	0.	0.	<54.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
PIMCO TOTAL RETURN INSTL	750.	786.	0.	0.	<36.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
PIMCO TOTAL RETURN INSTL	708.	733.	0.	0.	<25.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
PIMCO TOTAL RETURN INSTL	699.	715.	0.	0.	<16.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
PIMCO TOTAL RETURN INSTL	642.	655.	0.	0.	<13.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
PIMCO TOTAL RETURN INSTL	682.	692.	0.	0.	<10.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
PIMCO TOTAL RETURN INSTL	959.	959.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
PIMCO TOTAL RETURN INSTL	725.	732.	0.	0.	<7.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
PIMCO TOTAL RETURN INSTL	4,269.	4,236.	0.	0.	33.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
STRATTON SMALL CAP VALUE	45,190.	35,508.	0.	PURCHASED	01/20/10	06/01/11

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
VANGUARD INFLATION - PROTECTED SECURITIES INV	204.	202.	0.	PURCHASED	06/28/10	08/25/10

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
VANGUARD INFLATION - PROTECTED SECURITIES INV	881.	842.	0.	PURCHASED	12/23/09	08/25/10

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
VANGUARD INFLATION - PROTECTED SECURITIES INV	280.	266.	0.	PURCHASED	03/29/10	08/25/10

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
VANGUARD INFLATION - PROTECTED SECURITIES INV	52,857.	48,900.	0.	0.		08/25/10

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
VANGUARD INDEX 500 SIGNAL	2,598.	2,481.	0.	0.		12/03/10

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
VANGUARD INDEX 500 SIGNAL	4,320.	3,963.	0.	0.		12/03/10

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
VANGUARD INDEX 500 SIGNAL	3,287.	3,007.	0.	0.		12/03/10

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
VANGUARD INDEX 500 SIGNAL	4,559.	4,163.	0.	0.	396.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
VANGUARD INDEX 500 SIGNAL	3,250.	2,842.	0.	0.	408.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
VANGUARD INDEX 500 SIGNAL	4,815.	4,835.	0.	0.	<20.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
VANGUARD INDEX 500 SIGNAL	329,305.	393,001.	0.	0.	<63,696.>



(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
VANGUARD INDEX 500 SIGNAL	30,560.	35,000.	0.	0.	<4,440.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
VANGUARD INDEX 500 SIGNAL	49,956.	55,000.	0.	0.	<5,044.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
VANGUARD INDEX 500 SIGNAL	3,128.	2,708.	0.	0.	420.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
VANGUARD INDEX 500 SIGNAL	134,270.	106,188.	0.	0.	28,082.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
VANGUARD INDEX 500 SIGNAL	3,268.	2,381.	0.	0.	887.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
VANGUARD INDEX 500 SIGNAL	4,201.	2,845.	0.	0.	1,356.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
VANGUARD INDEX 500 SIGNAL	527,284.	475,105.	0.	0.	52,179.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
VANGUARD INDEX 500 SIGNAL	13,175.	7,540.	0.	0.	5,635.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
SCHWAB INVESTOR MONEY FUND	164,400.	164,400.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
SCHWAB INVESTOR MONEY FUND	45,200.	45,200.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
SCHWAB INVESTOR MONEY FUND	32,800.	32,800.	0.	0.	0.

CAPITAL GAINS DIVIDENDS FROM PART IV	0.
TOTAL TO FORM 990-PF, PART I, LINE 6A	127,247.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	AMOUNT
ORDINARY INTEREST	46.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	46.

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FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	3
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
CHARLES SCHWAB	115,811.	0.	115,811.
TOTAL TO FM 990-PF, PART I, LN 4	115,811.	0.	115,811.

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FORM 990-PF	ACCOUNTING FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PLANTE AND MORAN - ACCOUNTING FEES	3,500.	3,500.		0.
TO FORM 990-PF, PG 1, LN 16B	3,500.	3,500.		0.

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FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ANNUAL FEE	20.	0.		0.
TO FORM 990-PF, PG 1, LN 16C	20.	0.		0.

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FORM 990-PF	TAXES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL	2,961.	0.		0.
TO FORM 990-PF, PG 1, LN 18	2,961.	0.		0.

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FORM 990-PF	OTHER INVESTMENTS	STATEMENT	7
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
PIMCO TOTAL RETURN INSTL	COST	393,650.	408,758.
T. ROWE PRICE MID CAP GROWTH FUND	COST	320,210.	390,000.
THORNBURG INTERNATIONAL VALUE	COST	244,333.	237,124.
MAINSTAY ICAP SELECT EQUITY	COST	376,953.	361,380.
TOUCHSTONE SAND CAPITAL INSTL GROWTH	COST	228,000.	359,023.
VANGUARD INDEX 500 SIGNAL	COST	974,648.	1,081,105.
HARBOR INTERNATIONAL INSTL	COST	214,061.	242,544.
DFA TAX-MANAGED US TARGETED PORTFOLIO VALUE	COST	87,989.	86,619.
CREDIT SUISSE COMMODITY RETURN STRATEGY	COST	230,471.	252,525.
SPDR GOLD TRUST	COST	81,489.	110,961.
LOOMIS SAYLES	COST	41,667.	53,162.
COLUMBIA INTERNATIONAL	COST	211,426.	234,765.
CRM SMALL CAP	COST	21,143.	31,728.
BLACKROCK GLOBAL ALLOCATION	COST	191,051.	211,285.
STRATTON SMALL CAP	COST	34,292.	44,203.
PIMCO UNCONSTRAINED BOND	COST	177,299.	177,633.
HARDING LOEVNER EMERGING MKTS	COST	140,683.	151,475.
JPMORGAN STRATEGIC INCOME OPPTS SEL	COST	183,411.	185,062.
WASATCH - 1ST SOURCE LONG/SHORT	COST	193,374.	202,471.
TEMPLETON GLOBAL BOND ADV	COST	324,678.	325,354.
TOTAL TO FORM 990-PF, PART II, LINE 13		4,670,828.	5,147,177.

FORM 990-PF	PART XV - LINE 1A LIST OF FOUNDATION MANAGERS	STATEMENT	8
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## NAME OF MANAGER

LARRY R. BAUM  
A. EARLENE BAUM

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FORM 990-PF	GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR	STATEMENT	9
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RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
AMERICAN RED CROSS 2025 E. STREET N.W. WASHINGTON, DC 20006	N/A GENERAL CONTRIBUTION	PUBLIC	100.
FIRST PRESBYTERIAN CHURCH 231 S. BROADWAY ST HASTINGS, MI 49058	N/A GENERAL CONTRIBUTION	PUBLIC	105,000.
GILMORE CAR MUSEUM 6865 HICKORY ROAD HICKORY CORNERS, MI 49060	N/A GENERAL CONTRIBUTION	PRIVATE OPERATING FOUNDATION	5,000.
HASTINGS EDUCATION ENRICHMENT FOUNDATION 232 WEST GRAND STREET HASTINGS, MI 49058	N/A GENERAL CONTRIBUTION	PUBLIC	10,000.
SPIRITUAL CARE CONSULTANTS OF WEST MICHIGAN 1375 W GREEN ST. STE. 1 HASTINGS, MI 49058	N/A GENERAL CONTRIBUTION	PUBLIC	2,500.
THORNAPPLE ARTS COUNCIL PO BOX 36 HASTINGS, MI 49058	N/A GENERAL CONTRIBUTION	PUBLIC	5,000.
HASTINGS AREA SCHOOLS 232 W. GRAND ST. HASTINGS, MI 49058	N/A GENERAL CONTRIBUTION	PUBLIC	85,000.
LOVE, INC. 305 S. MICHIGAN AVE HASTINGS, MI 49058	N/A GENERAL CONTRIBUTION	PUBLIC	2,000.

THE BAUM FAMILY FOUNDATION

38-3443737

GREEN GABLES  
PO BOX 388 HASTINGS, MI 49058

N/A  
GENERAL CONTRIBUTION

PUBLIC

3,000.

BARRY COUNTY UNITED WAY  
450 MEADOW RUN, SUITE 300  
HASTINGS, MI 49058

N/A  
GENERAL CONTRIBUTION

PUBLIC

500.

BARRY COMMUNITY FOUNDATION  
629 W. STATE STREET, SUITE 201  
HASTINGS, MI 49058

N/A  
GENERAL CONTRIBUTION

PUBLIC

400.

TOTAL TO FORM 990-PF, PART XV, LINE 3A

218,500.