Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2010

For calendar year 2010, or tax year beginning JUL 1, 2010 , and ending JUN 30, 2011

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return
[ ] Amended return [ ] Address change [ ] Name change

Name of foundation
CHICAGO BOARD OF TRADE FOUNDATION

A Employer identification number
36-3348469

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
141 WEST JACKSON BOULEVARD

B Telephone number
312 341-3000

City or town, state, and ZIP code
CHICAGO, IL 60604

C If exemption application is pending, check here ▶ [ ]
D 1. Foreign organizations, check here ▶ [ ]
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 3,812,621.

J Accounting method: [ ] Cash [X] Accrual
[ ] Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

	Line		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received			N/A	
	2	Check ▶ [X] if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	75,367.	75,367.		STATEMENT 1
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	65,175.			
	b	Gross sales price for all assets on line 6a 1,617,518.				
	7	Capital gain net income (from Part IV, line 2)		65,175.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income				
	12	**Total.** Add lines 1 through 11	140,542.	140,542.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0.	0.		0.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees				
	b	Accounting fees STMT 2	13,500.	0.		13,500.
	c	Other professional fees				
	17	Interest				
	18	Taxes				
	19	Depreciation and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses STMT 3	15,209.	15,184.		25.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	28,709.	15,184.		13,525.
	25	Contributions, gifts, grants paid	167,500.			167,500.
	26	**Total expenses and disbursements.** Add lines 24 and 25	196,209.	15,184.		181,025.
	27	Subtract line 26 from line 12:				
	a	Excess of revenue over expenses and disbursements	-55,667.			
	b	**Net investment income** (if negative, enter -0-)		125,358.		
	c	**Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only)		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	48,479.	60,007.	60,007.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 5	2,047,492.	2,438,846.	2,438,846.
	c Investments - corporate bonds STMT 6	1,243,975.	1,313,768.	1,313,768.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers)	3,339,946.	3,812,621.	3,812,621.
Liabilities	17 Accounts payable and accrued expenses	50,300.	17,091.	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	50,300.	17,091.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted	3,289,646.	3,795,530.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances**	3,289,646.	3,795,530.	
	31 **Total liabilities and net assets/fund balances**	3,339,946.	3,812,621.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,289,646.
2 Enter amount from Part I, line 27a	2	-55,667.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 4	3	561,551.
4 Add lines 1, 2, and 3	4	3,795,530.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,795,530.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE ATTACHED STATEMENT	P	VARIOUS	VARIOUS
b	SEE ATTACHED STATEMENT	P	VARIOUS	VARIOUS
c	CAPITAL GAINS DIVIDENDS			
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,452,474.		1,436,468.	16,006.
b	124,547.		115,875.	8,672.
c	40,497.			40,497.
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				16,006.
b				8,672.
c				40,497.
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } **2** 65,175.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 **3** N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	188,125.	3,373,018.	.055773
2008	178,568.	3,143,720.	.056801
2007	377,685.	4,308,657.	.087657
2006	299,284.	4,451,368.	.067234
2005	646,590.	4,188,580.	.154370

2	**Total** of line 1, column (d)	2	.421835
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.084367
4	Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	3,647,266.
5	Multiply line 4 by line 3	5	307,709.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,254.
7	Add lines 5 and 6	7	308,963.
8	Enter qualifying distributions from Part XII, line 4	8	181,025.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	2,507.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3 Add lines 1 and 2	3	2,507.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	2,507.
6 Credits/Payments:		
a 2010 estimated tax payments and 2009 overpayment credited to 2010 — 6a — 1,389.		
b Exempt foreign organizations - tax withheld at source — 6b		
c Tax paid with application for extension of time to file (Form 8868) — 6c		
d Backup withholding erroneously withheld — 6d		
7 Total credits and payments. Add lines 6a through 6d	7	1,389.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	1,118.
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11 Enter the amount of line 10 to be: **Credited to 2011 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
If the answer is "Yes" to **1a** *or* **1b**, *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IL			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

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Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

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14 The books are in care of ▶ KATHY SCRIBA C/O CHICAGO BOT Telephone no. ▶312-435-3500

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15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2010 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b | | |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X |

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b | | |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services	▶	0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,656,695.
b	Average of monthly cash balances	1b	46,113.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	3,702,808.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,702,808.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	55,542.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,647,266.
6	**Minimum investment return.** Enter 5% of line 5	6	182,363.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	182,363.
2a	Tax on investment income for 2010 from Part VI, line 5 — 2a 2,507.		
b	Income tax for 2010. (This does not include the tax from Part VI.) — 2b		
c	Add lines 2a and 2b	2c	2,507.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	179,856.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	179,856.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	179,856.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	181,025.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	181,025.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	181,025.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				179,856.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.	
b Total for prior years: ____ , ____ , ____		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005				
b From 2006 262,649.				
c From 2007 380,638.				
d From 2008 178,568.				
e From 2009 188,125.				
f **Total** of lines 3a through e	1,009,980.			
4 Qualifying distributions for 2010 from Part XII, line 4: ▶ $ 181,025.				
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions) **	181,025.			
d Applied to 2010 distributable amount				0.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	179,856.			179,856.
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e Subtract line 5	1,011,149.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a	1,011,149.			
10 Analysis of line 9:				
a Excess from 2006 82,793.				
b Excess from 2007 380,638.				
c Excess from 2008 178,568.				
d Excess from 2009 188,125.				
e Excess from 2010 181,025.				

** SEE STATEMENT 8

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

ELLEN PAPARELLI
141 W. JACKSON BLVD., CHICAGO, IL 60604

b The form in which applications should be submitted and information and materials they should include:

WRITTEN REQUESTS FOR GRANTS

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED STATEMENT				167,500.
Total			▶ 3a	167,500.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

023611 12-07-10

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	75,367.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	65,175.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		140,542.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 140,542.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Jill A. Harding 11/10/11 Treasurer

Signature of officer or trustee | Date | Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
DAVID M. KOT	DJM.Kot	11/2/2011		

Firm's name ▶ WOLF & COMPANY LLP — Firm's EIN ▶ 36-2985665

Firm's address ▶ 1901 S. MEYERS RD, SUITE 500 OAKBROOK TERRACE, IL 60181-5209 — Phone no. (630)545-4500

Form **990-PF** (2010)

023622
04-26-11

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDENDS	115,864.	40,497.	75,367.
TOTAL TO FM 990-PF, PART I, LN 4	115,864.	40,497.	75,367.

FORM 990-PF ACCOUNTING FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	13,500.	0.		13,500.
TO FORM 990-PF, PG 1, LN 16B	13,500.	0.		13,500.

FORM 990-PF OTHER EXPENSES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK ADMIN FEES	15,184.	15,184.		0.
REGISTRATION FEE	25.	0.		25.
TO FORM 990-PF, PG 1, LN 23	15,209.	15,184.		25.

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 4

DESCRIPTION	AMOUNT
UNREALIZED GAIN ON MARKETABLE SECURITIES	561,551.
TOTAL TO FORM 990-PF, PART III, LINE 3	561,551.

FORM 990-PF CORPORATE STOCK STATEMENT 5

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
COMMON STOCK - SEE ATTACHED STMT	2,438,846.	2,438,846.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,438,846.	2,438,846.

FORM 990-PF CORPORATE BONDS STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS - SEE ATTACHED STMT	1,313,768.	1,313,768.
TOTAL TO FORM 990-PF, PART II, LINE 10C	1,313,768.	1,313,768.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 7

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
CHARLES P. CAREY 141 W. JACKSON BLVD CHICAGO, IL 60604	CHAIRMAN 0.75	0.	0.	0.
ROBERT CORVINO 141 W. JACKSON BLVD CHICAGO, IL 60604	VICE-CHAIRMAN 0.75	0.	0.	0.
DAVID BRENNAN 141 W. JACKSON BLVD CHICAGO, IL 60604	DIRECTOR 0.75	0.	0.	0.
MICHAEL DALEY 141 W. JACKSON BLVD CHICAGO, IL 60604	DIRECTOR 0.75	0.	0.	0.
JILL A. HARLEY 141 W. JACKSON BLVD CHICAGO, IL 60604	TREASURER 0.75	0.	0.	0.

ELLEN PAPARELLI 141 W. JACKSON BLVD CHICAGO, IL 60604	ADMINISTRATOR 0.75	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF	ELECTION UNDER REGULATIONS SECTION 53.4942(A)-3(D)(2) TO TREAT EXCESS QUALIFYING DISTRIBUTIONS AS DISTRIBUTIONS OUT OF CORPUS	STATEMENT 8

CHICAGO BOARD OF TRADE FOUNDATION HEREBY ELECTS TO TREAT AS A CURRENT DISTRIBUTION OUT OF CORPUS AMOUNTS DISTRIBUTED IN PRIOR YEARS WHICH WERE TREATED AS DISTRIBUTIONS OUT OF CORPUS BUT WERE NOT AVAILED OF FOR ANY OTHER PURPOSE PURSUANT TO REGULATION SECTION 53.4942(A)-3(C)(2)(IV) AND TO DESIGNATE QUALIFYNG DISTRIBUTIONS FOR 2010 AS BEING MADE OUT OF CORPUS PURSUANT TO REGULATION SECTION 53.4942(A)-3(D)(2).

Chicago Board of Trade Foundation
FEIN: 36-3348469
Grants and Contributions
For the Year Ended June 30, 2011

Organization	Grant Amount
Battlefield Outreach Ministry P.O. Box 278851 Chicago, IL 60827	$ 1,250.00
Betty's Love Alzheimer's Charities P.O. Box 312 LaGrange. IL 60525	$ 1,250.00
Big Shoulders Fund 309 West Washington Street Suite 550 Chicago, IL 60606	$ 2,500.00
Brookfield Zoo/Chicago Zoological Society 3300 Golf Road Brookfield, IL 60513	$ 10,000.00
Cabrini Connections/Tutor/Mentor Connection 800 West Huron Chicago, IL 60642	$ 2,500.00
Canavan Research Illinois 1434 Mill Creek Drive Buffalo Grove, IL 60089	$ 2,500.00
Center for Speech and Language Disorders 606 North Michigan Street Elmhurst, IL 60126	$ 1,250.00
Chicago Metro History Education Ctr 60 West Walton Street Chicago, IL 60610	$ 1,250.00
Chicago Youth Centers 218 S. Wabash Ave. Chicago, IL 60604	$ 2,000.00
Children's Memorial Hospital 2300 Children's Plaza Box 4 Chicago, IL 60604	$ 10,000.00

Children's Oncology Services, Inc. 213 West Institute Place Suite 511 Chicago, IL 60610	$	2,500.00
Cristo Rey Jesuit High School 1852 West 22nd Place Chicago, IL 60608	$	10,000.00
Elim Christian Services 13020 South Central Avenue Palos Heights, IL 60463	$	1,250.00
Erasing the Distance 5223 North Winthrop Avenue #6 Chicago, IL 60640	$	2,500.00
Foundation for Sarcoidosis Research 122 South Michigan Ave Suite 1700 Chicago, IL 60603	$	3,000.00
GiGi's Playhouse, Inc. 3948 North Lincoln Chicago, IL 60603	$	3,500.00
Gilda's Club Chicago 537 N. Wells Street Chicago, IL 60610	$	2,500.00
Good News Partners 1600 W. Jonquil Terrace Chicago, IL 60626	$	1,500.00
Greater Chicago Food Depository 4100 West Ann Lurie Place Chicago, IL 60632	$	2,500.00
Harmony Hope & Healing 703 West Monroe Street Chicago, IL 60661	$	2,500.00
Highsight 315 W. Walton Street Chicago, IL 60610	$	1,500.00
Ignatia Foundation-Ignatia House 3052 W. Belmont Avenue	$	1,250.00

Chicago, IL 60618-5732

INFANT, Inc 1108 Oak Street Winnetka, IL 60093	$	20,000.00
Journeys from PADS to HOPE 1140 E. Northwest Highway Palatine, iL 60074	$	1,500.00
Kids Off the Block 11627 South Michigan Avenue Chicago, IL 60628	$	2,500.00
LaRabida Children's Hospital East 65th Street at Lake Michigan Chicago, IL 60649	$	2,500.00
Make-A-Wish Foundation 640 North LaSalle Street, Ste 280 Chicago, IL 60610	$	2,500.00
Maryville Academy 1150 North River Rd. Des Plaines, IL 60016	$	1,500.00
Misericordia 6300 North Ridge Chicago, IL 60660	$	2,500.00
New Leaders for New Schools 850 West Jackson Boulevard Suite 625 Chicago, IL 60607	$	1,500.00
Onward Neighborhood House 600 N. Leavitt Street Chicago, IL 60612	$	1,500.00
Pacific Garden Mission 1458 S. Canal Street Chicago, IL 60607-5201	$	2,500.00
Providence St Mel High School 119 S. Central Park Boulevard Chicago, IL 60624	$	3,000.00
Salute, Inc	$	2,500.00

P.O. Box 236
Prospect Heights, IL 60070

Sam Rasmussen Research Fellowship P.O. Box 609 Hinsdale, IL 60521	$	2,500.00
San Miguel Schools 1949 W. 48th Street Chicago, IL 60609	$	10,000.00
Santas Anonymous of East DuPage, Inc 207 North Clay Hinsdale, IL 60521	$	10,000.00
Sarah's Inn 309 Harrison St Oak Park, IL 60304	$	2,000.00
Seguin Services 3100 S. Central Avenue Cicero, IL 60804	$	10,000.00
Sit Stay Read 3425 North Milwaukee Avenue Chicago, IL 60641	$	2,500.00
SOS Children's Villages Illinois 216 West Jackson Boulevard Suite 925 Chicago, IL 606063	$	2,500.00
Special Gifts Theater, Inc. PO Box 2231 Northbrook, IL 60065	$	2,000.00
Teen Living Program 162 W Hubbard Suite 400 Chicago, IL 60610	$	1,250.00
The Chicago Fund on Aging & Disability dba Meals on Wheels Chicago One North LaSalle Street Suite 2065 Chicago, IL 60602	$	1,000.00
The J. Kyle Braid Leadership Foundation P. O. Box 166 Villa Grove, CO 81155	$	7,500.00

The Posse Foundation, Inc. 111 W. Jackson Blvd., Suite 1900 Chicago, IL 60604	$	1,750.00
Union League Club for Boys & Girls Club 65 W. Jackson Blvd. Chicago, IL 60604	$	1,500.00
	$	167,500.00

Chicago Board of Trade Foundation
FEIN: 36-3348469
Corporate Stocks and Bonds
For Year Ending June 30, 2011

Common Stock

Strategic Advisers Core Fund	461,450.60
Strategic Advisers Growth Fund	374,753.87
Strategic Advisers Value Fund	397,947.84
Strategic Advisers Emerging Markets	98,409.99
Strategic Advisers US Oppty Fund	152,286.06
Strategic Advisers Small-Mid Cap Fd	153,167.05
Strategic Advisers International Fund	648,839.38
Fidelity Select Gold	45,898.42
Credit Suisse Commd Retrn Strtgy Com Shr	53,206.17
Merger Fund	52,886.22
Total Common Stock	**$ 2,438,846**

Corporate Bonds

Fidelity Capital & Income	37,796.48
Fidelity High Income	37,747.12
Fidelity Total Bond	352,189.13
Strategic Advisers Income Opportunities	113,329.13
Strategic Advisers Core Income Fund	192,713.36
Metropolitan West Low Duration M	102,027.59
Pimco Total Return Administrative Shs	273,735.59
Pimco Short Term Administrative Shs	94,618.92
T Rowe Price High Yield Advisor CL	37,721.14
Templeton Global Bond Class A	71,889.13
Total Corporate Bonds	**$ 1,313,768**

Chicago Board of Trade Foundation
FEIN: 36-3348469
Capital Gains and losses on Investment of Property
For Year Ending June 30, 2011

LONG TERM SALES

Symbol(CUSIP)	Security Description	Qty	Date Acquired	Date Sold	Proceeds	Cost Basis	Gain/(Loss)
CIVVX(14949P109)	CAUSEWAY INTERNATNALVALUE INVESTOR	2257.785	12/15/2009	8/9/2010	$26,100.00	$32,421.79	(6,321.79)
CIVVX(14949P109)	CAUSEWAY INTERNATNALVALUE INVESTOR	40.6	10/9/2008	12/13/2010	$501.00	$581.39	(80.39)
CIVVX(14949P109)	CAUSEWAY INTERNATNALVALUE INVESTOR			Wash Sale 12/31/10	$0 00	($40 88)	40.88
CIVVX(14949P109)	CAUSEWAY INTERNATNALVALUE INVESTOR	1513.236	12/7/2010	12/16/2010	$18,597.67	$21,712.32	(3,114.65)
CRMMX(92934R777)	CRM MID CAP VALUE INVESTOR SHARES	760.304	8/15/2005	9/20/2010	$19,000.00	$20,756.30	(1,756.30)
CRMMX(92934R777)	CRM MID CAP VALUE INVESTOR SHARES	373.364	12/17/2008	10/21/2010	$9,700.00	$10,196.57	(496.57)
CRMMX(92934R777)	CRM MID CAP VALUE INVESTOR SHARES	356.073	12/17/2007	11/9/2010	$9,446.62	$9,724.23	(277 61)
CRSOX(22544R305)	CREDIT SUISSE COMMD RETRN STRTGY COM SHR	171.888	12/16/2008	12/13/2010	$1,657.00	$1,314.94	342.06
EHSTX(277905808)	EATON VANCE LARGE-CAP VALUE CL A	2105.001	12/30/2008	8/9/2010	$35,048.27	$29,431.15	5,617 12
EXWAX(563821545)	MANNING & NAPIER WORLD OPPT SER CL A	2424.477	7/15/2009	8/9/2010	$19,565 53	$16,753.14	2,812.39
EXWAX(563821545)	MANNING & NAPIER WORLD OPPT SER CL A	2180.404	8/12/2009	9/20/2010	$17,661.28	$15,091.12	2,570.16
FAGIX(316062108)	FIDELITY CAPITAL & INCOME FUND	1280.899	5/29/2009	8/9/2010	$11,400.00	$10,618.65	781.35
FAGIX(316062108)	FIDELITY CAPITAL & INCOME FUND	846.325	7/31/2009	9/20/2010	$7,600 00	$7,016.03	583 97
FAGIX(316062108)	FIDELITY CAPITAL & INCOME FUND	159.471	3/31/2006	12/13/2010	$1,507 00	$1,325.20	181.80
FDIVX(315910802)	FIDELITY DIVERSIFIED INTERNATIONAL	921.34	12/8/2006	8/9/2010	$25,300.00	$27,382.22	(2,082.22)
FDIVX(315910802)	FIDELITY DIVERSIFIED INTERNATIONAL	645.39	10/9/2008	9/20/2010	$18,051.56	$19,183.27	(1,131.71)
FILFX(31635R868)	STRATEGIC ADVISERS INTERNATIONAL FUND	1973.684	12/16/2008	8/25/2010	$15,000 00	$14,901.31	98.69
FILFX(31635R868)	STRATEGIC ADVISERS INTERNATIONAL FUND	3253.697	2/26/2009	10/21/2010	$28,600.00	$25,020 93	3,579.07
FILFX(31635R868)	STRATEGIC ADVISERS INTERNATIONAL FUND	1512.792	3/19/2009	11/9/2010	$13,600.00	$11,633 37	1,966.63
FILFX(31635R868)	STRATEGIC ADVISERS INTERNATIONAL FUND	1794.912	3/20/2009	12/13/2010	$16,226 00	$13,802.87	2,423.13
FINSX(316071604)	FIDELITY ADV NEW INSIGHTS-CL I	977.954	11/24/2006	8/9/2010	$17,300 00	$16,693.67	606.33
FINSX(316071604)	FIDELITY ADV NEW INSIGHTS-CL I	1015.284	11/24/2006	9/20/2010	$18,600.00	$17,320.75	1,279.25
FINSX(316071604)	FIDELITY ADV NEW INSIGHTS-CL I	987.448	10/9/2008	10/21/2010	$18,751.64	$16,851.49	1,900.15
FSAGX(316390780)	FIDELITY SELECT GOLD PORTFOLIO	180.995	2/26/2009	11/24/2010	$10,000.00	$6,425.32	3,574.68
FSAGX(316390780)	FIDELITY SELECT GOLD PORTFOLIO	25.873	2/26/2009	12/13/2010	$1,370 00	$951.61	418.39
FSCFX(31635R843)	STRATEGIC ADVISERS SMALL-MID CAP FD	378.431	2/8/2006	12/13/2010	$4,246.00	$3,844 86	401.14
FTBFX(31617K881)	FIDELITY TOTAL BOND FUND	864.259	6/26/2006	12/13/2010	$9,334.00	$8,867.30	466.70
FUSOX(31635R835)	STRATEGIC ADVISERS US OPPTY FUND	426.599	5/21/2009	12/13/2010	$4,202.00	$3,404 26	797.74
GIESX(36158T308)	GE INTERNATIONAL EQUITY SERVICE CL	1856.739	10/9/2008	8/9/2010	$19,700.00	$19,124.41	575.59
GIESX(36158T308)	GE INTERNATIONAL EQUITY SERVICE CL	1589.254	7/15/2009	9/20/2010	$16,909.67	$16,363.33	546.34
HGOAX(416529881)	HARTFORD GROWTH OPPORTUNITY CLASS A	712.088	3/6/2008	8/9/2010	$16,200 00	$18,514 29	(2,314 29)
HGOAX(416529881)	HARTFORD GROWTH OPPORTUNITY CLASS A	784.042	3/6/2008	9/20/2010	$18,385.78	$20,380.44	(1,994.66)
HRINX(411511652)	HARBOR INTERNATIONALADMISTRATIVE CL	101.479	7/15/2009	8/9/2010	$5,524.52	$4,641.65	882.87
HRINX(411511652)	HARBOR INTERNATIONALADMISTRATIVE CL	311.193	8/12/2009	9/20/2010	$17,090.72	$14,236 28	2,854.44
ITHAX(416645406)	HARTFORD CAPITAL APPRECIATION CL A	1066.188	3/13/2008	8/9/2010	$32,700.00	$32,273 51	426.49
ITHAX(416645406)	HARTFORD CAPITAL APPRECIATION CL A	579.897	10/9/2008	9/20/2010	$18,000.00	$17,559.28	440.72
ITHAX(416645406)	HARTFORD CAPITAL APPRECIATION CL A	583.487	5/21/2009	11/9/2010	$19,511.81	$17,666.67	1,845.14
JANSX(471023101)	JANUS FUND CLASS T SHARES	1056.473	8/28/2006	8/9/2010	$27,500.00	$27,383.78	116.22
JANSX(471023101)	JANUS FUND CLASS T SHARES	1369.606	11/22/2006	9/20/2010	$36,500.00	$35,513.88	986.12
JANSX(471023101)	JANUS FUND CLASS T SHARES	694.345	12/14/2007	10/21/2010	$19,400 00	$17,997.42	1,402 58
JANSX(471023101)	JANUS FUND CLASS T SHARES	664.637	10/9/2008	12/6/2010	$19,048.50	$17,230 91	1,817 59
LZOEX(52106N764)	LAZARD EMERGING MKTSOPEN CLASS	1607.717	7/15/2009	10/21/2010	$35,000 00	$26,173.63	8,826.37
LZOEX(52106N764)	LAZARD EMERGING MKTSOPEN CLASS	1116 603	8/12/2009	11/9/2010	$24,844.42	$18,180.30	6,664.12

MCGFX(00078H299)	ASTON/ MONTAG & CALDWELL GROWTH CL N	616 659	2/26/2009	8/9/2010	$13,400.00	$9,521.21	3,878 79
MCGFX(00078H299)	ASTON/ MONTAG & CALDWELL GROWTH CL N	658.845	2/26/2009	9/20/2010	$14,600.00	$10,172.57	4,427 43
MCGFX(00078H299)	ASTON/ MONTAG & CALDWELL GROWTH CL N	654.45	2/26/2009	10/21/2010	$15,000 00	$10,104.71	4,895.29
MCGFX(00078H299)	ASTON/ MONTAG & CALDWELL GROWTH CL N	406.33	2/26/2009	11/9/2010	$9,500.00	$6,273.74	3,226.26
MCGFX(00078H299)	ASTON/ MONTAG & CALDWELL GROWTH CL N	387.8	3/19/2009	12/6/2010	$9,241.27	$5,989.94	3,251.33
MFOCX(573012101)	MARSICO FOCUS	764.331	9/20/2005	8/9/2010	$12,000 00	$13,268.79	(1,268.79)
MFOCX(573012101)	MARSICO FOCUS	891.277	12/15/2006	9/20/2010	$14,100.00	$15,463.66	(1,363.66)
MFOCX(573012101)	MARSICO FOCUS	699.216	12/28/2006	10/21/2010	$11,600.00	$12,138.39	(538.39)
MFOCX(573012101)	MARSICO FOCUS	673.789	12/14/2007	11/9/2010	$11,744.14	$11,692 59	51 55
MIQBX(61744J499)	MORGAN STA INST INC.INTERNATL EQUITY P	1961.875	12/23/2005	8/9/2010	$24,700.00	$33,155.69	(8,455.69)
MIQBX(61744J499)	MORGAN STA INST INC.INTERNATL EQUITY P	1410.453	2/26/2009	9/20/2010	$17,912.75	$23,851.54	(5,938.79)
MWLDX(592905202)	METROPOLITAN WEST LOW DURATION M	350.757	8/28/2006	12/13/2010	$3,013.00	$3,111 21	(98.21)
MWLDX(592905202)	METROPOLITAN WEST LOW DURATION M			Wash Sale12/ 31/10	$0.00	($17 99)	17 99
PAFDX(779547207)	T ROWE PRICE EQUITY INCOME ADVISOR CL	831.025	3/29/2010	9/20/2010	$18,000.00	$22,686 98	(4,686.98)
PAFDX(779547207)	T ROWE PRICE EQUITY INCOME ADVISOR CL			Wash Sale 9/20/10	$0 00	($28 65)	28.65
PAFDX(779547207)	T ROWE PRICE EQUITY INCOME ADVISOR CL	427.662	3/27/2008	10/21/2010	$9,400.00	$11,679.45	(2,279.45)
PAFDX(779547207)	T ROWE PRICE EQUITY INCOME ADVISOR CL	418.019	10/9/2008	11/9/2010	$9,422.15	$11,412.80	(1,990.65)
PAHIX(741481204)	T ROWE PRICE HIGH YIELD ADVISOR CL	2435.703	8/15/2005	8/9/2010	$16,100.00	$16,781.99	(681.99)
PAHIX(741481204)	T ROWE PRICE HIGH YIELD ADVISOR CL			Wash Sale 8/9/10	$0.00	($44 77)	44.77
PAHIX(741481204)	T ROWE PRICE HIGH YIELD ADVISOR CL	1826.215	8/15/2005	10/21/2010	$12,400.00	$12,582.62	(182.62)
PAHIX(741481204)	T ROWE PRICE HIGH YIELD ADVISOR CL			Wash Sale 10/21/10	$0.00	($13 20)	13 20
PAHIX(741481204)	T ROWE PRICE HIGH YIELD ADVISOR CL	1274.074	12/31/2007	12/6/2010	$8,600.00	$8,778.37	(178.37)
PAHIX(741481204)	T ROWE PRICE HIGH YIELD ADVISOR CL			Wash Sale 12/6/10	$0.00	($20 94)	20.94
PAHIX(741481204)	T ROWE PRICE HIGH YIELD ADVISOR CL	221.778	12/8/2010	12/13/2010	$1,497 00	$1,528 05	(31 05)
PSFAX(693390734)	PIMCO SHORT TERM ADMINISTRATIVE SHS	5317.861	11/22/2006	9/20/2010	$52,700.00	$52,380.93	319.07
PSFAX(693390734)	PIMCO SHORT TERM ADMINISTRATIVE SHS	238.134	4/19/2007	12/13/2010	$2,348 00	$2,345.62	2.38
PTRAX(693390726)	PIMCO TOTAL RETURN ADMINISTRATIVE SHS	674.606	1/30/2009	12/13/2010	$7,279.00	$7,198.05	80 95
PZFVX(409902780)	JOHN HANCOCK CLASSIC VALUE CL A	1282.392	2/7/2008	9/20/2010	$19,300.00	$28,699.93	(9,399.93)
PZFVX(409902780)	JOHN HANCOCK CLASSIC VALUE CL A	746.65	10/9/2008	10/21/2010	$11,700.00	$16,710 03	(5,010.03)
PZFVX(409902780)	JOHN HANCOCK CLASSIC VALUE CL A	704.276	10/9/2008	11/9/2010	$11,395.19	$15,763.53	(4,368.34)
RSVAX(74972H309)	RS VALUE FUND CL A	2274.762	5/7/2007	8/9/2010	$51,000 16	$64,249.41	(13,249 25)
SBLGX(52469H826)	LEGG MASON CBA LARGE CAP GROWTH A	461.969	11/13/2008	8/9/2010	$9,900.00	$9,438 03	461 97
SBLGX(52469H826)	LEGG MASON CBA LARGE CAP GROWTH A	671.202	11/13/2008	9/20/2010	$14,800.00	$13,719.37	1,080.63
SBLGX(52469H826)	LEGG MASON CBA LARGE CAP GROWTH A	655.896	11/13/2008	11/9/2010	$15,492.26	$13,400.36	2,091.90
SLASX(816221105)	SELECTED AMERICAN SHARES CL S	610.543	8/28/2006	8/9/2010	$22,700 00	$23,621.91	(921.91)
SLASX(816221105)	SELECTED AMERICAN SHARES CL S	380.927	12/28/2006	9/20/2010	$14,300.00	$14,738.07	(438.07)
SLASX(816221105)	SELECTED AMERICAN SHARES CL S	385.463	2/7/2008	10/21/2010	$15,071.60	$14,914.91	156.69
SPHIX(316146406)	FIDELITY HIGH INCOME FUND	1422.018	11/30/2007	8/9/2010	$12,400.00	$11,688 99	711.01
SPHIX(316146406)	FIDELITY HIGH INCOME FUND	886.364	6/27/2006	9/20/2010	$7,800.00	$7,285.91	514.09
SPHIX(316146406)	FIDELITY HIGH INCOME FUND	914.158	2/27/2009	10/21/2010	$8,200.00	$7,523.52	676.48
SPHIX(316146406)	FIDELITY HIGH INCOME FUND	959.821	10/31/2008	12/6/2010	$8,600.00	$7,899.33	700.67
SPHIX(316146406)	FIDELITY HIGH INCOME FUND	167.224	12/28/2006	12/13/2010	$1,500.00	$1,376.25	123.75
TPINX(880208103)	TEMPLETON GLOBAL BOND CLASS A	137.665	5/21/2009	12/13/2010	$1,875.00	$1,647.85	227.15
WBIGX(093001402)	WILLIAM BLAIR INT'L GROWTH CLASS N	1180.252	12/20/2006	7/6/2010	$21,468.79	$27,901.15	(6,432.36)
WBIGX(093001402)	WILLIAM BLAIR INT'L GROWTH CLASS N	922.467	7/15/2009	9/20/2010	$18,781 43	$21,802.40	(3,020.97)
CRSOX(22544R305)	CREDIT SUISSE COMMD RETRN STRTGY COM SHR	1047.813	12/16/2008	4/13/2011	$10,300.00	$8,110.07	2,189.93
CRSOX(22544R305)	CREDIT SUISSE COMMD RETRN STRTGY COM SHR	70.734	12/17/2008	6/17/2011	$655.00	$547.48	107 52
FAGIX(316062108)	FIDELITY CAPITAL & INCOME FUND	2102.041	8/31/2006	4/13/2011	$20,600.00	$17,531.02	3,068.98
FAGIX(316062108)	FIDELITY CAPITAL & INCOME FUND	47.785	12/28/2006	6/17/2011	$453.00	$399.48	53.52
FCSAX(31635R504)	STRATEGIC ADVISERS CORE FUND	1676.445	1/12/2010	2/9/2011	$19,999.99	$17,015.92	2,984.07
FCSAX(31635R504)	STRATEGIC ADVISERS CORE FUND	472.999	1/12/2010	6/17/2011	$5,378.00	$4,819.86	558.14
FILFX(31635R868)	STRATEGIC ADVISERS INTERNATIONAL FUND	837.721	4/2/2009	6/17/2011	$7,573.00	$6,500.71	1,072.29
FPCIX(31635R884)	STRATEGIC ADVISERS CORE INCOME FUND	222.727	1/12/2010	6/17/2011	$2,352.00	$2,325.27	26.73

FPIOX(31635R876)	STRATEGIC ADVISERS INCOME OPPORTUNITIES	138.259	5/17/2010	6/17/2011	$1,366.00	$1,324.52	41.48
FSAGX(316390780)	FIDELITY SELECT GOLD PORTFOLIO	11.879	2/26/2009	6/17/2011	$531 00	$443.56	87.44
FSCFX(31635R843)	STRATEGIC ADVISERS SMALL-MID CAP FD	1147.132	2/8/2006	4/13/2011	$13,800.00	$11,666.33	2,133.67
FSCFX(31635R843)	STRATEGIC ADVISERS SMALL-MID CAP FD	155.947	2/8/2006	6/17/2011	$1,770.00	$1,589 10	180.90
FSGFX(31635R603)	STRATEGIC ADVISERS GROWTH FUND	365.398	6/8/2010	6/17/2011	$4,319.00	$3,880.53	438.47
FTBFX(31617K881)	FIDELITY TOTAL BOND FUND	393.419	6/26/2006	6/17/2011	$4,304.00	$4,040 41	263.59
FUSOX(31635R835)	STRATEGIC ADVISERS US OPPTY FUND	1108.987	5/21/2009	4/13/2011	$11,600.00	$8,871.90	2,728.10
FUSOX(31635R835)	STRATEGIC ADVISERS US OPPTY FUND	177.578	5/21/2009	6/17/2011	$1,774 00	$1,424.18	349.82
FVSAX(31635R702)	STRATEGIC ADVISERS VALUE FUND	1340.351	1/12/2010	4/13/2011	$19,100.00	$16,740.98	2,359 02
FVSAX(31635R702)	STRATEGIC ADVISERS VALUE FUND	337 699	1/12/2010	6/17/2011	$4,667 00	$4,217 86	449.14
MWLDX(592905202)	METROPOLITAN WEST LOW DURATION M	1095.732	8/28/2006	4/13/2011	$9,500.00	$9,719.14	(219.14)
				Wash Sale 4/13/11	$0.00	($12 39)	12.39
MWLDX(592905202)	METROPOLITAN WEST LOW DURATION M	143.931	8/28/2006	6/17/2011	$1,245 00	$1,276 67	(31.67)
				Wash Sale 6/17/11	$0.00	($11 78)	11.78
PAHIX(741481204)	T ROWE PRICE HIGH YIELD ADVISOR CL	2824.207	10/31/2008	4/13/2011	$19,600.00	$19,458.79	141.21
PAHIX(741481204)	T ROWE PRICE HIGH YIELD ADVISOR CL	67.5	12/28/2006	6/17/2011	$459.00	$465.75	(6.75)
				Wash Sale 6/17/11	$0 00	($6 37)	6 37
PSFAX(693390734)	PIMCO SHORT TERM ADMINISTRATIVE SHS	116.364	4/19/2007	6/17/2011	$1,152.00	$1,147 35	4.65
PTRAX(693390726)	PIMCO TOTAL RETURN ADMINISTRATIVE SHS	303.091	2/27/2009	6/17/2011	$3,334.00	$3,233.98	100.02
SPHIX(316146406)	FIDELITY HIGH INCOME FUND	2152.174	12/29/2006	4/13/2011	$19,800.00	$17,776.96	2,023.04
SPHIX(316146406)	FIDELITY HIGH INCOME FUND	50.554	11/13/2008	6/17/2011	$456 00	$418.08	37.92
TPINX(880208103)	TEMPLETON GLOBAL BOND CLASS A	62.672	5/21/2009	6/17/2011	$863.00	$753.32	109.68
	TOTAL LONG-TERM				$1,452,474	$1,436,468	16,006

SHORT TERM SALES

Symbol(CUSIP)	Security Description	Qty	Date Acquired	Date Sold	Proceeds	Cost Basis	Gain/(Loss)
EHSTX(277905808)	EATON VANCE LARGE-CAP VALUE CL A	53.688	6/9/2010	8/9/2010	$893.90	$750 65	143.25
EXWAX(563821545)	MANNING & NAPIER WORLD OPPT SER CL A	499.934	8/12/2009	8/9/2010	$4,034.47	$3,454.54	579 93
EXWAX(563821545)	MANNING & NAPIER WORLD OPPT SER CL A	27.992	9/15/2010	9/20/2010	$226 73	$193.74	32.99
FCSAX(31635R504)	STRATEGIC ADVISERS CORE FUND	1092.349	1/12/2010	12/13/2010	$12,278.00	$11,087.34	1,190.66
FDGFX(316389402)	FIDELITY DIVIDEND GROWTH FUND	746.82	10/13/2009	8/9/2010	$18,200.00	$17,236 61	963.39
FDGFX(316389402)	FIDELITY DIVIDEND GROWTH FUND	733.675	10/14/2009	9/20/2010	$18,033.73	$16,931.19	1,102.54
FINSX(316071604)	FIDELITY ADV NEW INSIGHTS-CL I	7.293	2/5/2010	10/21/2010	$138.49	$124.46	14.03
FPCIX(31635R884)	STRATEGIC ADVISERS CORE INCOME FUND	315.566	1/12/2010	12/13/2010	$3,345.00	$3,275.58	69.42
FPIOX(31635R876)	STRATEGIC ADVISERS INCOME OPPORTUNITIES	249.337	5/17/2010	12/13/2010	$2,446.00	$2,356 23	89.77
FSAMX(31635R801)	STRATEGIC ADVISERS EMERGING MARKETS	252.445	10/21/2010	12/13/2010	$2,633.00	$2,622.90	10.10
FSGFX(31635R603)	STRATEGIC ADVISERS GROWTH FUND	825.926	6/8/2010	12/13/2010	$9,589.00	$8,763.07	825.93
FVSAX(31635R702)	STRATEGIC ADVISERS VALUE FUND	1277.4	1/12/2010	12/6/2010	$16,900.00	$15,941 95	958.05
FVSAX(31635R702)	STRATEGIC ADVISERS VALUE FUND	802.392	1/12/2010	12/13/2010	$10,736.00	$10,013.85	722.15
GIESX(36158T308)	GE INTERNATIONAL EQUITY SERVICE CL	84.231	12/22/2009	9/20/2010	$896.21	$867.26	28.95
HRINX(411511652)	HARBOR INTERNATIONALADMISTRATIVE CL	276.919	8/12/2009	8/9/2010	$15,075.48	$12,666.27	2,409.21
HRINX(411511652)	HARBOR INTERNATIONALADMISTRATIVE CL	12.814	12/18/2009	9/20/2010	$703 74	$586.21	117.53
LZOEX(52106N764)	LAZARD EMERGING MKTSOPEN CLASS	61.465	8/10/2010	11/9/2010	$1,367 59	$1,000.76	366.83
MCGFX(00078H299)	ASTON/ MONTAG & CALDWELL GROWTH CL N	12.773	12/29/2009	12/6/2010	$304.38	$197.29	107 09
MERFX(589509108)	MERGER FUND	88.199	9/20/2010	12/13/2010	$1,420 00	$1,405.89	14.11
WBIGX(093001402)	WILLIAM BLAIR INT'L GROWTH CLASS N	194.13	7/15/2009	7/6/2010	$3,531.21	$4,589.24	(1,058.03)
FSAMX(31635R801)	STRATEGIC ADVISERS EMERGING MARKETS	113.36	10/21/2010	6/17/2011	$1,154.00	$1,177 81	(23.81)
MERFX(589509108)	MERGER FUND	39.678	9/20/2010	6/17/2011	$640.00	$632.47	7.53
	TOTAL SHORT-TERM				$124,547	$115,875	$8,672