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Form 990

Department of the Treasury  
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

2010

Open to Public Inspection

A For the 2010 calendar year, or tax year beginning 07-01-2010 and ending 06-30-2011

B Check if applicable

☐ Address change

☐ Name change

☐ Initial return

☐ Terminated

☐ Amended return

☐ Application pending

C Name of organization

University of Notre Dame du Lac

Doing Business As

University of Notre Dame

Number and street (or P O box if mail is not delivered to street address)

Room/suite

Controllars Office 731 Grace Hall

City or town, state or country, and ZIP + 4

Notre Dame, IN 46556

F Name and address of principal officer

Rev John Jenkins CSC

Controllars Office 731 Grace Hall

Notre Dame, IN 46556

H(a) Is this a group return for affiliates?

☐ Yes ☒ No

H(b) Are all affiliates included?

☐ Yes ☐ No

If "No," attach a list (see instructions)

H(c) Group exemption number

D Employer identification number

35-0868188

E Telephone number

(574) 631-3288

G Gross receipts \$ 2,913,444,517

I Tax-exempt status

☒ 501(c)(3) ☐ 501(c) ( ) ☐ (Insert no ) ☐ 4947(a)(1) or ☐ 527

J Website:

http //nd.edu

K Form of organization

☒ Corporation ☐ Trust ☐ Association ☐ Other

L Year of formation

1844

M State of legal domicile

IN

Part I

Summary

Activities & Governance	1	Briefly describe the organization's mission or most significant activities See Schedule O		
	2	Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets		
	3	Number of voting members of the governing body (Part VI, line 1a)	3	49
	4	Number of independent voting members of the governing body (Part VI, line 1b)	4	38
	5	Total number of individuals employed in calendar year 2010 (Part V, line 2a)	5	17,037
	6	Total number of volunteers (estimate if necessary)	6	1,780
	7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	796,747
	b	Net unrelated business taxable income from Form 990-T, line 34	7b	-9,924,545
Revenue	8	Contributions and grants (Part VIII, line 1h)	Prior Year	Current Year
	9	Program service revenue (Part VIII, line 2g)	255,777,059	312,978,519
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	639,671,649	668,112,149
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	175,288,341	403,507,455
	12	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	12,122,210	12,357,709
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	1,082,859,259	1,396,955,832
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	179,651,346	201,661,400
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0	0
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	502,706,911	525,632,603
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	0	0
	b	Total fundraising expenses (Part IX, column (D), line 25) 28,787,790		
	17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24f)	325,536,481	359,882,903
	18	Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	1,007,894,738	1,087,176,906
	19	Revenue less expenses Subtract line 18 from line 12	74,964,521	309,778,926
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	Beginning of Current Year	End of Year
	21	Total liabilities (Part X, line 26)	7,753,677,014	9,138,313,389
	22	Total liabilities (Part X, line 26)	1,437,345,461	1,555,411,034
	22	Net assets or fund balances Subtract line 21 from line 20	6,316,331,553	7,582,902,355

Part II

Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer

John Affleck-Graves Executive Vice President

Date

2012-05-07

Print/Type preparer's name

Firm's name Pricewaterhousecoopers LLP

Firm's address 1301 K Street NW Suite 800W  
Washington, DC 20005

Preparer's signature

Date

Check if self-employed ☐

PTIN

Firm's EIN

Phone no (202) 414-1000

May the IRS discuss this return with the preparer shown above? (see instructions) ☒ Yes ☐ No

For Paperwork Reduction Act Notice, see the separate instructions.

Cat No 11282Y

Form 990 (2010)

Part III

Statement of Program Service Accomplishments

Check if Schedule O contains a response to any question in this Part III

1

Briefly describe the organization's mission

See Schedule O

2

Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

Yes

No

If "Yes," describe these new services on Schedule O

3

Did the organization cease conducting, or make significant changes in how it conducts, any program services?

Yes

No

If "Yes," describe these changes on Schedule O

4

Describe the exempt purpose achievements for each of the organization's three largest program services by expenses

Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a

(Code ) (Expenses \$ 532,911,733 including grants of \$ 177,715,585 ) (Revenue \$ 452,515,480 )

Instruction - Provided academic instruction and services to nearly 12,000 undergraduate and graduate students pursuing courses of study within four undergraduate colleges, a business school, a law school, and graduate school Conferred over 3,000 baccalaureate, master's, doctoral and professional degrees

4b

(Code ) (Expenses \$ 167,047,884 including grants of \$ 4,391,762 ) (Revenue \$ 200,356,441 )

Auxiliary enterprises - Provided services to the University community, its guests and other patrons including food services, student and visitor lodging, laundry services, and multiple recreational facilities The University intercollegiate athletics program enabled student-athletes to compete in twenty-six sports programs at the NCAA Division I level

4c

(Code ) (Expenses \$ 105,927,996 including grants of \$ 17,616,304 ) (Revenue \$ 5,975,721 )

Research - University researchers administered more than 800 awards for studies based within the disciplines of engineering, science and humanities

4d

Other program services (Describe in Schedule O ) See also Additional Data for Description

(Expenses \$ 68,598,170 including grants of \$ 1,937,749 ) (Revenue \$ 16,597,131 )






















4e

Total program service expenses

\$ 874,485,783

Part IV

Checklist of Required Schedules

		Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A.</i> 	1	Yes
2	Is the organization required to complete Schedule B, Schedule of Contributors (see instruction)? 	2	Yes
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I.</i> 	3	No
4	<b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II.</i> 	4	Yes
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III.</i>	5	
6	Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I.</i> 	6	Yes
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? <i>If "Yes," complete Schedule D, Part II.</i> 	7	No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III.</i> 	8	Yes
9	Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV.</i> 	9	Yes
10	Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V.</i> 	10	Yes
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
a	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI.</i> 	11a	Yes
b	Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII.</i> 	11b	Yes
c	Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII.</i> 	11c	No
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX.</i> 	11d	No
e	Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X.</i> 	11e	Yes
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X.</i> 	11f	No
12a	Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI, XII, and XIII.</i> 	12a	No
b	Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered 'No' to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional.</i> 	12b	Yes
13	Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E.</i> 	13	Yes
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a	Yes
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the United States? <i>If "Yes," complete Schedule F, Parts I and IV.</i> 	14b	Yes
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the U S ? <i>If "Yes," complete Schedule F, Parts II and IV.</i> 	15	Yes
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the U S ? <i>If "Yes," complete Schedule F, Parts III and IV.</i> 	16	Yes
17	Did the organization report a total of more than \$15,000, of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I (see instructions)</i>	17	No
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II.</i>	18	Yes
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III.</i>	19	No
20a	Did the organization operate one or more hospitals? <i>If "Yes," complete Schedule H.</i>	20a	No
b	If "Yes" to line 20a, did the organization attach its audited financial statement to this return? <b>Note.</b> Some Form 990 filers that operate one or more hospitals must attach audited financial statements (see instructions)	20b	

Part IV

Checklist of Required Schedules (continued)

21	Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i> . . . . .	21	Yes	
22	Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i> . . . . .	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i> . . . . .	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b-24d and complete Schedule K. If "No," go to line 25</i> . . . . .	24a	Yes	
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? . . . .	24b		No
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? . . . . .	24c		No
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? . . . .	24d		No
25a	<b>Section 501(c)(3) and 501(c)(4) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i> . . . . .	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i> . . . . .	25b		No
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i> . . . . .	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? <i>If "Yes," complete Schedule L, Part III</i> . . . . .	27	Yes	
28	Was the organization a party to a business transaction with one of the following parties? (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> . . . . .	28a	Yes	
b	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> . . . . .	28b	Yes	
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i> . . . . .	28c	Yes	
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i> . . . . .	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i> . . . . .	30	Yes	
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i> . . . . .	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i> . . . . .	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i> . . . . .	33	Yes	
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1</i> . . . . .	34	Yes	
35	Is any related organization a controlled entity within the meaning of section 512(b)(13)? . . . . .	35	Yes	
a	Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i> . . . . .			
36	<b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i> . . . . .	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i> . . . . .	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O . . . . .	38	Yes	

<b>Part V</b> <b>Statements Regarding Other IRS Filings and Tax Compliance</b>					
Check if Schedule O contains a response to any question in this Part V <input checked="" type="checkbox"/>					
		<b>Yes</b>	<b>No</b>		
<b>1a</b>	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable.	<b>1a</b>	19,284		
<b>b</b>	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable.	<b>1b</b>	0		
<b>c</b>	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	<b>1c</b>	Yes		
<b>2a</b>	Enter the number of employees reported on Form W-3, <i>Transmittal of Wage and Tax Statements</i> filed for the calendar year ending with or within the year covered by this return.	<b>2a</b>	17,037		
<b>b</b>	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? <b>Note.</b> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions).	<b>2b</b>	Yes		
<b>3a</b>	Did the organization have unrelated business gross income of \$1,000 or more during the year?	<b>3a</b>	Yes		
<b>b</b>	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O.	<b>3b</b>	Yes		
<b>4a</b>	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	<b>4a</b>	Yes		
<b>b</b>	If "Yes," enter the name of the foreign country: FR, EI, AU, IT, UK, BR, MP, IS, MX, CI See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.				
<b>5a</b>	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	<b>5a</b>			No
<b>b</b>	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	<b>5b</b>			No
<b>c</b>	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?	<b>5c</b>			
<b>6a</b>	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible?	<b>6a</b>			No
<b>b</b>	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	<b>6b</b>			
<b>7</b>	<b>Organizations that may receive deductible contributions under section 170(c).</b>				
<b>a</b>	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	<b>7a</b>	Yes		
<b>b</b>	If "Yes," did the organization notify the donor of the value of the goods or services provided?	<b>7b</b>	Yes		
<b>c</b>	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	<b>7c</b>	Yes		
<b>d</b>	If "Yes," indicate the number of Forms 8282 filed during the year.	<b>7d</b>	4		
<b>e</b>	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<b>7e</b>			No
<b>f</b>	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	<b>7f</b>			No
<b>g</b>	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	<b>7g</b>			
<b>h</b>	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	<b>7h</b>			
<b>8</b>	<b>Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.</b> Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	<b>8</b>			No
<b>9</b>	<b>Sponsoring organizations maintaining donor advised funds.</b>				
<b>a</b>	Did the organization make any taxable distributions under section 4966?	<b>9a</b>			No
<b>b</b>	Did the organization make a distribution to a donor, donor advisor, or related person?	<b>9b</b>			No
<b>10</b>	<b>Section 501(c)(7) organizations.</b> Enter:				
<b>a</b>	Initiation fees and capital contributions included on Part VIII, line 12.	<b>10a</b>			
<b>b</b>	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities.	<b>10b</b>			
<b>11</b>	<b>Section 501(c)(12) organizations.</b> Enter:				
<b>a</b>	Gross income from members or shareholders.	<b>11a</b>			
<b>b</b>	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them).	<b>11b</b>			
<b>12a</b>	<b>Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041?	<b>12a</b>			
<b>b</b>	If "Yes," enter the amount of tax-exempt interest received or accrued during the year.	<b>12b</b>			
<b>13</b>	<b>Section 501(c)(29) qualified nonprofit health insurance issuers.</b>				
<b>a</b>	Is the organization licensed to issue qualified health plans in more than one state? <b>Note.</b> See the instructions for additional information the organization must report on Schedule O.	<b>13a</b>			
<b>b</b>	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans.	<b>13b</b>			
<b>c</b>	Enter the amount of reserves on hand.	<b>13c</b>			
<b>14a</b>	Did the organization receive any payments for indoor tanning services during the tax year?	<b>14a</b>			No
<b>b</b>	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O.	<b>14b</b>			

Part VI

**Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.  
Check if Schedule O contains a response to any question in this Part VI ☒

Section A. Governing Body and Management

			Yes	No
<b>1a</b>	Enter the number of voting members of the governing body at the end of the tax year . . . . .	<b>1a</b>	49	
<b>b</b>	Enter the number of voting members included in line 1a, above, who are independent . . . . .	<b>1b</b>	38	
<b>2</b>	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? . . . . .	<b>2</b>		No
<b>3</b>	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? . . . . .	<b>3</b>		No
<b>4</b>	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? . . . . .	<b>4</b>		No
<b>5</b>	Did the organization become aware during the year of a significant diversion of the organization's assets? . . . . .	<b>5</b>		No
<b>6</b>	Does the organization have members or stockholders? . . . . .	<b>6</b>		No
<b>7a</b>	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body? . . . . .	<b>7a</b>		No
<b>b</b>	Are any decisions of the governing body subject to approval by members, stockholders, or other persons? . . . . .	<b>7b</b>		No
<b>8</b>	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following . . . . .			
<b>a</b>	The governing body? . . . . .	<b>8a</b>	Yes	
<b>b</b>	Each committee with authority to act on behalf of the governing body? . . . . .	<b>8b</b>	Yes	
<b>9</b>	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O . . . . .	<b>9</b>		No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

			Yes	No
<b>10a</b>	Does the organization have local chapters, branches, or affiliates? . . . . .	<b>10a</b>		No
<b>b</b>	If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization? . . . . .	<b>10b</b>		
<b>11a</b>	Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form? . . . . .	<b>11a</b>	Yes	
<b>b</b>	Describe in Schedule O the process, if any, used by the organization to review this Form 990 . . . . .			
<b>12a</b>	Does the organization have a written conflict of interest policy? If "No," go to line 13 . . . . .	<b>12a</b>	Yes	
<b>b</b>	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts? . . . . .	<b>12b</b>	Yes	
<b>c</b>	Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done . . . . .	<b>12c</b>	Yes	
<b>13</b>	Does the organization have a written whistleblower policy? . . . . .	<b>13</b>	Yes	
<b>14</b>	Does the organization have a written document retention and destruction policy? . . . . .	<b>14</b>	Yes	
<b>15</b>	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? . . . . .			
<b>a</b>	The organization's CEO, Executive Director, or top management official . . . . .	<b>15a</b>	Yes	
<b>b</b>	Other officers or key employees of the organization . . . . . If "Yes" to line 15a or 15b, describe the process in Schedule O (See instructions ) . . . . .	<b>15b</b>	Yes	
<b>16a</b>	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? . . . . .	<b>16a</b>	Yes	
<b>b</b>	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements? . . . . .	<b>16b</b>	Yes	

Section C. Disclosure

<b>17</b>	List the States with which a copy of this Form 990 is required to be filed <b>IN</b>
<b>18</b>	Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you make these available. Check all that apply. <input type="checkbox"/> Own website <input type="checkbox"/> Another's website <input checked="" type="checkbox"/> Upon request
<b>19</b>	Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public. See Additional Data Table.
<b>20</b>	State the name, physical address, and telephone number of the person who possesses the books and records of the organization. <b>Andrew Paluf</b> Controllars Office 731 Grace Hall Notre Dame, IN 46556 (574) 631-3288

Check if Schedule O contains a response to any question in this Part VII . . . . . ☐

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

Form **990** (2010)



## Part VII

2	Total number of individuals (including but not limited to those listed above) who received more than \$100,000 in reportable compensation from the organization	783
---	---	-----

		Yes	No
3	Did the organization list any <b>former</b> officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i> . . . . .	3 Yes	
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i> . . . . .	4 Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i> . . . . .	5 Yes	

## **Section B. Independent Contractors**

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization		
(A) Name and business address	(B) Description of services	(C) Compensation
Barton Malow Company 26500 American Drive Southfield, MI 48034	Construction	18,797,081
Ziolkowski Construction Inc 4050 Ralph Jones Dr South Bend, IN 46628	Construction	8,738,886
Gibson-Lewis LLC 1001 West 11th Street Mishawaka, IN 46544	Construction	7,287,091
Congregation of Holy Cross US Provinc PO Box 774 Notre Dame, IN 46556	Teaching/Admin Services	4,781,013
Geupel DeMars Hagerman LLC 7930 Castleway Drive Indianapolis, IN 46250	Construction	4,635,755
2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization ▶128		

Part VIII

Statement of Revenue

				(A)	(B)	(C)	(D)	
				Total revenue	Related or exempt function revenue	Unrelated business revenue	Revenue excluded from tax under sections 512, 513, or 514	
Contributions, gifts, grants and other similar amounts	1a Federated campaigns . . . . . 1a							
	b Membership dues . . . . . 1b							
	c Fundraising events . . . . . 1c			620,615				
	d Related organizations . . . . . 1d							
	e Government grants (contributions) 1e			82,145,085				
	f All other contributions, gifts, grants, and 1f similar amounts not included above			230,212,819				
	g Noncash contributions included in lines 1a-1f \$			40,904,454				
	h Total. Add lines 1a-1f . . . . . ▶			312,978,519				
Program Service Revenue				Business Code				
	2a Student tuition & fees			611310	439,073,661	439,073,661		
	b Auxiliary enterprises			611710	198,693,530	194,732,498	3,961,032	
	c Educational programs			900099	16,258,668	16,029,413	229,255	
	d Research contracts (go			611310	5,604,402	5,604,402		
	e Student services			611710	2,666,737	2,666,737		
	f All other program service revenue				5,815,151	5,815,151		
	g Total. Add lines 2a-2f . . . . . ▶			668,112,149				
Other Revenue	3 Investment income (including dividends, interest and other similar amounts) . . . . . ▶				105,879,664		-3,671,026	109,550,690
	4 Income from investment of tax-exempt bond proceeds . . ▶							
	5 Royalties . . . . . ▶				3,701,753			3,701,753
				(i) Real	(ii) Personal			
	6a Gross Rents			9,806,954				
	b Less rental expenses			8,228,937				
	c Rental income or (loss)			1,578,017				
	d Net rental income or (loss) . . . . . ▶				1,578,017			1,578,017
				(i) Securities	(ii) Other			
	7a Gross amount from sales of assets other than inventory			1,805,582,000				
	b Less cost or other basis and sales expenses			1,507,954,209				
	c Gain or (loss)			297,627,791				
	d Net gain or (loss) . . . . . ▶				297,627,791			297,627,791
	8a Gross income from fundraising events (not including \$ 620,615 of contributions reported on line 1c) See Part IV, line 18 . . . . .							
	a			45,501				
	b Less direct expenses . . . . . b			299,902				
	c Net income or (loss) from fundraising events . . ▶				-254,401			-254,401
	9a Gross income from gaming activities See Part IV, line 19 . a			5,353				
	b Less direct expenses . . . . . b			5,637				
	c Net income or (loss) from gaming activities . . ▶				-284			-284
	10a Gross sales of inventory, less returns and allowances .							
	a							
	b Less cost of goods sold . . . . . b							
c Net income or (loss) from sales of inventory . . ▶								
Miscellaneous Revenue			Business Code					
11a Alumni Association			900099	3,269,878	2,992,392	277,486		
b								
c								
d All other revenue . . . . .				4,062,746	4,062,746			
e Total. Add lines 11a-11d . . . . . ▶				7,332,624				
12 Total revenue. See Instructions . . . . . ▶				1,396,955,832	670,977,000	796,747	412,203,566	

Part IX

Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.

All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the U S See Part IV, line 21	12,029,646	12,029,646		
2	Grants and other assistance to individuals in the U S See Part IV, line 22	182,854,174	182,854,174		
3	Grants and other assistance to governments, organizations, and individuals outside the U S See Part IV, lines 15 and 16	6,777,580	6,777,580		
4	Benefits paid to or for members				
5	Compensation of current officers, directors, trustees, and key employees . . . . .	10,242,455	1,604,633	7,568,771	1,069,051
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) . . . . .				
7	Other salaries and wages	383,956,461	318,032,416	51,802,984	14,121,061
8	Pension plan contributions (include section 401(k) and section 403(b) employer contributions) . . . . .	35,738,890	19,679,430	14,670,248	1,389,212
9	Other employee benefits . . . . .	72,607,215	36,358,532	34,429,337	1,819,346
10	Payroll taxes . . . . .	23,087,582	18,558,418	3,645,424	883,740
a	Fees for services (non-employees) Management . . . . .				
b	Legal . . . . .	3,095,715	793,193	2,302,522	
c	Accounting . . . . .	2,950,285	59,174	2,891,111	
d	Lobbying . . . . .	296,887		296,887	
e	Professional fundraising services See Part IV, line 17 . . . . .				
f	Investment management fees . . . . .	7,263,693		7,263,693	
g	Other . . . . .	18,005,823	10,214,068	6,720,719	1,071,036
12	Advertising and promotion . . . . .	2,734,428	2,606,627	86,604	41,197
13	Office expenses . . . . .	57,339,801	47,268,965	7,888,723	2,182,113
14	Information technology . . . . .	12,469,412	5,623,843	6,529,858	315,711
15	Royalties . . . . .	398,462	398,462		
16	Occupancy . . . . .	60,485,697	51,700,444	7,735,467	1,049,786
17	Travel . . . . .	37,188,804	31,749,496	3,094,124	2,345,184
18	Payments of travel or entertainment expenses for any federal, state, or local public officials . . . . .	242		242	
19	Conferences, conventions, and meetings . . . . .	4,537,438	3,198,630	1,284,322	54,486
20	Interest . . . . .	23,020,096	19,109,644	3,500,672	409,780
21	Payments to affiliates . . . . .				
22	Depreciation, depletion, and amortization . . . . .	48,618,117	45,745,740	2,640,771	231,606
23	Insurance . . . . .	985,197	952,719	32,478	
24	Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24f If line 24f amount exceeds 10% of line 25, column (A) amount, list line 24f expenses on Schedule O )				
a	Other educational expen	34,474,162	32,734,970	1,307,456	431,736
b	Food and entertainment	31,665,199	26,434,979	3,857,475	1,372,745
c	Investment O ffice expen	14,353,445		14,353,445	
d					
e					
f	All other expenses				
25	Total functional expenses. Add lines 1 through 24f	1,087,176,906	874,485,783	183,903,333	28,787,790
26	Joint costs. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720) Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X

Balance Sheet

					(A)		(B)
					Beginning of year		End of year
Assets	1	Cash—non-interest-bearing . . . . .				1	
	2	Savings and temporary cash investments . . . . .			107,363,077	2	90,006,300
	3	Pledges and grants receivable, net . . . . .			181,605,087	3	214,759,799
	4	Accounts receivable, net . . . . .			24,729,776	4	26,850,987
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L . . . . .				5	
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers, and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Schedule L . . . . .				6	
	7	Notes and loans receivable, net . . . . .			45,836,222	7	86,275,412
	8	Inventories for sale or use . . . . .			9,608,415	8	10,036,218
	9	Prepaid expenses and deferred charges . . . . .			24,572,335	9	28,216,498
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D.	10a	1,686,419,012			
	b	Less: accumulated depreciation . . . . .	10b	482,006,752	1,154,017,849	10c	1,204,412,260
	11	Investments—publicly traded securities . . . . .			482,559,276	11	665,173,840
	12	Investments—other securities. See Part IV, line 11 . . . . .			5,706,617,665	12	6,791,030,428
	13	Investments—program-related. See Part IV, line 11 . . . . .				13	
	14	Intangible assets . . . . .				14	
	15	Other assets. See Part IV, line 11 . . . . .			16,767,312	15	21,551,647
16	Total assets. Add lines 1 through 15 (must equal line 34) . . . . .			7,753,677,014	16	9,138,313,389	
Liabilities	17	Accounts payable and accrued expenses . . . . .			81,814,586	17	82,697,769
	18	Grants payable . . . . .				18	
	19	Deferred revenue . . . . .			70,129,659	19	62,190,574
	20	Tax-exempt bond liabilities . . . . .			367,667,649	20	364,293,399
	21	Escrow or custodial account liability. Complete Part IV of Schedule D . . . . .				21	
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L . . . . .				22	
	23	Secured mortgages and notes payable to unrelated third parties . . . . .			53,638,301	23	54,171,117
	24	Unsecured notes and loans payable to unrelated third parties . . . . .			150,000,000	24	310,000,000
	25	Other liabilities. Complete Part X of Schedule D . . . . .			714,095,266	25	682,058,175
	26	Total liabilities. Add lines 17 through 25 . . . . .			1,437,345,461	26	1,555,411,034
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.						
	27	Unrestricted net assets . . . . .			2,755,620,326	27	3,361,867,405
	28	Temporarily restricted net assets . . . . .			2,266,694,050	28	2,834,319,205
	29	Permanently restricted net assets . . . . .			1,294,017,177	29	1,386,715,745
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 30 through 34.						
	30	Capital stock or trust principal, or current funds . . . . .				30	
	31	Paid-in or capital surplus, or land, building or equipment fund . . . . .				31	
	32	Retained earnings, endowment, accumulated income, or other funds . . . . .				32	
	33	Total net assets or fund balances . . . . .			6,316,331,553	33	7,582,902,355
34	Total liabilities and net assets/fund balances . . . . .			7,753,677,014	34	9,138,313,389	

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response to any question in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	1,396,955,832
2	Total expenses (must equal Part IX, column (A), line 25)	2	1,087,176,906
3	Revenue less expenses Subtract line 2 from line 1	3	309,778,926
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	6,316,331,553
5	Other changes in net assets or fund balances (explain in Schedule O)	5	956,791,876
6	Net assets or fund balances at end of year Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B))	6	7,582,902,355

Part XII Financial Statements and Reporting

Check if Schedule O contains a response to any question in this Part XII

		Yes	No
1	Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?		No
b	Were the organization's financial statements audited by an independent accountant?	Yes	
c	If "Yes," to 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	Yes	
d	If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separated basis		
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	Yes	
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	Yes	

SCHEDULE A

(Form 990 or 990EZ)

Department of the Treasury  
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2010

Open to Public  
Inspection

Name of the organization  
University of Notre Dame du Lac

Employer identification number  
35-0868188

Part I

Reason for Public Charity Status (All organizations must complete this part.) See instructions

The organization is not a private foundation because it is (For lines 1 through 11, check only one box )

- 1

☐

A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2

☒

A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E )
- 3

☐

A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4

☐

A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state
- 5

☐

An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II )
- 6

☐

A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7

☐

An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)** (Complete Part II )
- 8

☐

A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II )
- 9

☐

An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III )
- 10

☐

An organization organized and operated exclusively to test for public safety See**section 509(a)(4).**
- 11

☐

An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a

☐

Type I

b

☐

Type II

c

☐

Type III - Functionally integrated

d

☐

Type III - Other
- e

☐

By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)
- f

☐

If the organization received a written determination from the IRS that it is a Type I, Type II or Type III supporting organization, check this box
- g

☐

Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

(i)

a person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the the supported organization?

(ii)

a family member of a person described in (i) above?

(iii)

a 35% controlled entity of a person described in (i) or (ii) above?
- h

☐

Provide the following information about the supported organization(s)

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document?		(v) Did you notify the organization in col (i) of your support?		(vi) Is the organization in col (i) organized in the U S ?		(vii) Amount of support
			Yes	No	Yes	No	Yes	No	
Total									

Part II

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public Support. Subtract line 5 from line 4						

Section B. Total Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV )						
11 Total support (Add lines 7 through 10)						
12 Gross receipts from related activities, etc (See instructions )					12	
13 First Five Years If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here ▶						

Section C. Computation of Public Support Percentage		
14 Public Support Percentage for 2010 (line 6 column (f) divided by line 11 column (f))	14	
15 Public Support Percentage for 2009 Schedule A, Part II, line 14	15	
16a 33 1/3% support test—2010. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ▶		
b 33 1/3% support test—2009. If the organization did not check the box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ▶		
17a 10%-facts-and-circumstances test—2010. If the organization did not check a box on line 13, 16a, or 16b and line 14 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization ▶		
b 10%-facts-and-circumstances test—2009. If the organization did not check a box on line 13, 16a, 16b, or 17a and line 15 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization ▶		
18 Private Foundation If the organization did not check a box on line 13, 16a, 16b, 17a or 17b, check this box and see instructions ▶		

Part III

Support Schedule for Organizations Described in Section 509(a)(2)  
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support						
Calendar year (or fiscal year beginning in) 	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public Support (Subtract line 7c from line 6 )						

Section B. Total Support						
Calendar year (or fiscal year beginning in) 	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support (Add lines 9, 10c, 11 and 12.)						
14 First Five Years If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and <b>stop here</b> 						

Section C. Computation of Public Support Percentage		
15 Public Support Percentage for 2010 (line 8 column (f) divided by line 13 column (f))	15	
16 Public support percentage from 2009 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage		
17 Investment income percentage for 2010 (line 10c column (f) divided by line 13 column (f))	17	
18 Investment income percentage from 2009 Schedule A, Part III, line 17	18	
19a 33 1/3% support tests—2010. If the organization did not check the box on line 14, and line 15 is more than 33 1/3% and line 17 is not more than 33 1/3%, check this box and <b>stop here</b> . The organization qualifies as a publicly supported organization 		
b 33 1/3% support tests—2009. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and <b>stop here</b> . The organization qualifies as a publicly supported organization 		
20 Private Foundation If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions 		



Part IV

**Supplemental Information.** Supplemental Information. Complete this part to provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

<b>Facts And Circumstances Test</b>

Additional Data

Software ID:

Software Version:

EIN: 35-0868188

Name: University of Notre Dame du Lac

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
John F Affleck-Graves PhD Executive VP & Trustee	40 00	X		X				528,019	0	135,744
Thomas G Burish PhD Provost & Trustee	40 00	X		X				959,932	0	137,603
Rev John I Jenkins CSC PhD President & Trustee	40 00	X		X				609,536	0	128,863
Rev Timothy R Scully CSC PhD Trustee	40 00	X						167,276	0	47,510
Rev Jose E Ahumada F CSC Trustee	2 00	X						0	0	0
David M Baron Trustee	2 00	X						0	0	0
Rev E William Beauchamp CSC Trustee	2 00	X						0	0	0
Cathleen P Black Trustee	2 00	X						0	0	0
John J Brennan Trustee	2 00	X						0	0	0
Stephen J Brogan Trustee	2 00	X						0	0	0
Robert M Conway Trustee	2 00	X						0	0	0
John P Delaney Jr Trustee	2 00	X						0	0	0
James J Dunne III Trustee	2 00	X						0	0	0
Jose Enrique Fernandez Trustee	2 00	X						0	0	0
James F Flaherty III Trustee	2 00	X						0	0	0
W Douglas Ford Trustee	2 00	X						0	0	0
Kathleen M Fox Trustee	2 00	X						0	0	0
Marye Anne Fox Trustee	2 00	X						0	0	0
Stephanie A Gallo Trustee	2 00	X						0	0	0
John W Glynn Jr Trustee	2 00	X						0	0	0
William M Goodyear Trustee	2 00	X						0	0	0
Nancy M Haegel Trustee	2 00	X						0	0	0
Enrique Hernandez Jr Trustee	2 00	X						0	0	0
Carol Hank Hoffmann Trustee	2 00	X						0	0	0
Douglas Tong Hsu Trustee	2 00	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
Most Rev Daniel Jenky CSC DD Trustee	2 00	X						0	0	0
John W Jordan II Trustee	2 00	X						0	0	0
Rev James B King CSC Trustee	2 00	X						0	0	0
Hon Diana Lewis Trustee	2 00	X						0	0	0
Patrick F McCartan Trustee	2 00	X						0	0	0
Richard C Notebaert Trustee	2 00	X						0	0	0
Richard A Nussbaum II Trustee	2 00	X						0	0	0
Rev Thomas J O'Hara CSC Trustee	2 00	X						0	0	0
Joseph I O'Neill III Trustee	2 00	X						0	0	0
Philip J Purcell III Trustee	2 00	X						0	0	0
J Christopher Reyes Trustee	2 00	X						0	0	0
James E Rohr Trustee	2 00	X						0	0	0
Phillip B Rooney Trustee	2 00	X						0	0	0
Shayla Keough Rumely Trustee	2 00	X						0	0	0
John F Sandner Trustee	2 00	X						0	0	0
William J Shaw Trustee	2 00	X						0	0	0
Kenneth E Stinson Trustee	2 00	X						0	0	0
Phyllis W Stone Trustee	2 00	X						0	0	0
Anne E Thompson Trustee	2 00	X						0	0	0
Sara Martinez Tucker Trustee	2 00	X						0	0	0
Rev David T Tyson CSC Trustee	2 00	X						0	0	0
Roderick K West Trustee	2 00	X						0	0	0
Hon Ann Claire Williams Trustee	2 00	X						0	0	0
Michael Wong Trustee	2 00	X						0	0	0
Robert J Bernhard PhD VP for Research	40 00			X				305,923	0	83,878

**Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099- MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustees	Officer	Key employee	Highest compensated employee	Former			
Janet M Botz VP - Public Affairs & Comm	40 00			X				321,640	0	37,390
Marianne Corr JD VP & General Counsel	40 00			X				425,806	0	32,014
Rev Thomas P Doyle CSC VP for Student Affairs	40 00			X				136,120	0	6,139
Dennis C Jacobs PhD VP & Associate Provost	40 00			X				229,344	0	50,864
James J Lyphout MBA VP for Business Operations	40 00			X				292,827	0	40,608
Scott C Malpass MBA VP & Chief Invest Officer	40 00			X				2,040,632	0	837,414
Christine M Maziar PhD VP & Senior Assoc Provost	40 00			X				300,466	0	31,634
Louis M Nanni MA VP for Univ Relations	40 00			X				330,144	0	45,292
Donald B Pope-Davis PhD VP & Associate Provost	40 00			X				229,670	0	74,235
John A Sejdinaj MBA CCM VP for Finance	40 00			X				323,574	0	49,600
John B Swarbrick Jr Athletic Director	40 00				X			826,500	0	200,442
Michael P Brey Head Coach, Basketball	40 00					X		572,704	0	44,139
Michael D Donovan MBA JD Managing Director, Invest	40 00					X		954,148	0	343,116
Brian Kelly Head Coach, Football	40 00					X		2,380,180	0	44,121
Muffet McGraw Head Coach, Basketball	40 00					X		675,941	0	55,685
Gordon D Wishon VP & Associate Provost	40 00					X		579,758	0	4,792
Jeffrey Kantor Former Officer Chem & Biomolecular Engineering Prof	40 00						X	241,811	0	49,300
Rev Mark L Poorman CSC PhD Former VP for Student Affairs	0 00						X	181,501	0	9,182
Charles J Weis Former Head Coach, Football	0 00						X	2,055,839	0	0

Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)

4d. Other program services			
(Code	) (Expenses \$	68,598,170	including grants of \$ 1,937,749 ) (Revenue \$ 16,597,131 )
Public service - The University holds many conferences and educational events on its campus throughout the year Also, the University provides volunteers and financial support for other agencies in the community The University's ACE program is a two-year service program offering college graduates the opportunity to serve as full-time teachers in under-resourced schools across the southern United States Academic support - The University operates library facilities containing nearly 2,000,000 volumes, serving the University and local community The University publishes numerous academic periodicals and volumes and operates a museum of art housing over 21,000 works			

SCHEDULE C

(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ **Complete if the organization is described below.**  
▶ **Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.**

OMB No 1545-0047

2010

Open to Public  
Inspection

If the organization answered “Yes,” to Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered “Yes,” to Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered “Yes,” to Form 990, Part IV, Line 5 (Proxy Tax) or Form 990-EZ, Part V, line 35a (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization University of Notre Dame du Lac	Employer identification number 35-0868188
---	--

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

- 1

Provide a description of the organization’s direct and indirect political campaign activities in Part IV
- 2

Political expenditures ▶ \$
- 3

Volunteer hours

Part I-B Complete if the organization is exempt under section 501(c)(3).

- 1

Enter the amount of any excise tax incurred by the organization under section 4955 ▶ \$ 0
- 2

Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ \$ 0
- 3

If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☐ No
- 4a

Was a correction made? ☐ Yes ☐ No
- b

If “Yes,” describe in Part IV

Part I-C Complete if the organization is exempt under section 501(c) except section 501(c)(3).

- 1

Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ \$
- 2

Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt funtion activities ▶ \$
- 3

Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b ▶ \$
- 4

Did the filing organization file **Form 1120-POL** for this year? ☐ Yes ☐ No
- 5

Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization’s funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-

Part II-A

Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

A

Check

☐

if the filing organization belongs to an affiliated group

B

Check

☐

if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing Organization's Totals	(b) Affiliated Group Totals												
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)															
b Total lobbying expenditures to influence a legislative body (direct lobbying)															
c Total lobbying expenditures (add lines 1a and 1b)															
d Other exempt purpose expenditures															
e Total exempt purpose expenditures (add lines 1c and 1d)															
f Lobbying nontaxable amount Enter the amount from the following table in both columns															
<table><tr><td>If the amount on line 1e, column (a) or (b) is:</td><td>The lobbying nontaxable amount is:</td></tr><tr><td>Not over \$500,000</td><td>20% of the amount on line 1e</td></tr><tr><td>Over \$500,000 but not over \$1,000,000</td><td>\$100,000 plus 15% of the excess over \$500,000</td></tr><tr><td>Over \$1,000,000 but not over \$1,500,000</td><td>\$175,000 plus 10% of the excess over \$1,000,000</td></tr><tr><td>Over \$1,500,000 but not over \$17,000,000</td><td>\$225,000 plus 5% of the excess over \$1,500,000</td></tr><tr><td>Over \$17,000,000</td><td>\$1,000,000</td></tr></table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000	Over \$17,000,000	\$1,000,000		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000														
Over \$17,000,000	\$1,000,000														
g Grassroots nontaxable amount (enter 25% of line 1f)															
h Subtract line 1g from line 1a If zero or less, enter -0-															
i Subtract line 1f from line 1c If zero or less, enter -0-															
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?		<input type="checkbox"/> Yes	<input type="checkbox"/> No												

4-Year Averaging Period Under Section 501(h)  
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) Total
2a Lobbying non-taxable amount					
b Lobbying ceiling amount (150% of line 2a, column(e))					
c Total lobbying expenditures					
d Grassroots non-taxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B

Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

		(a)		(b)
		Yes	No	Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
	a Volunteers?		No	
	b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?	Yes		
	c Media advertisements?		No	
	d Mailings to members, legislators, or the public?	Yes		
	e Publications, or published or broadcast statements?		No	
	f Grants to other organizations for lobbying purposes?		No	
	g Direct contact with legislators, their staffs, government officials, or a legislative body?	Yes		336,699
	h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?		No	
	i Other activities? If "Yes," describe in Part IV		No	
j Total lines 1c through 1i				336,699
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		No	
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

		Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1	
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3	Did the organization agree to carryover lobbying and political expenditures from the prior year?	3	

Part III-B

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, lines 1 and 2 are answered "No" OR if Part III-A, line 3 is answered "Yes".

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) non-deductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
		2a	
		2b	
		2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV

Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, and Part II-B, line 1i. Also, complete this part for any additional information.

Identifier	Return Reference	Explanation
Part IV, Supplemental Information		Part II-B, Line 1 The University has hired professional consultants who engage in direct and grassroots lobbying. Along with a few University employees, these consultants work on Notre Dame's behalf meeting with or calling government officials or legislators requesting support for specific projects regarding the higher education funding authorization and other legislation which could impact the University.



SCHEDULE D  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Supplemental Financial Statements

► Complete if the organization answered "Yes," to Form 990,  
Part IV, line 6, 7, 8, 9, 10, 11, or 12.  
► Attach to Form 990. ► See separate instructions.

OMB No 1545-0047

2010

Open to Public Inspection

Name of the organization University of Notre Dame du Lac	Employer identification number 35-0868188
---	--

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year	120
2	Aggregate contributions to (during year)	1,476,5230
3	Aggregate grants from (during year)	1,362,4790
4	Aggregate value at end of year	6,838,9210
5	Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? <div>YesNo</div>	
6	Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? <div>YesNo</div>	

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1

Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or pleasure)

☐ Preservation of an historically importantly land area

☐ Protection of natural habitat

☐ Preservation of a certified historic structure

☐ Preservation of open space

2

Complete lines 2a–2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Year
2a	
2b	
2c	
2d	

3

Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the taxable year ►

4

Number of states where property subject to conservation easement is located ►

5

Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

YesNo

6

Staff and volunteer hours devoted to monitoring, inspecting and enforcing conservation easements during the year ►

7

Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ► \$

8

Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)?

YesNo

9

In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization’s financial statements that describes the organization’s accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a

If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b

If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1

► \$ 0

(ii) Assets included in Form 990, Part X

► \$ 0

2

If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items

a

Revenues included in Form 990, Part VIII, line 1

► \$ 0

b

Assets included in Form 990, Part X

► \$ 0

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3

Using the organization's accession and other records, check any of the following that are a significant use of its collection items (check all that apply)

a

☒ Public exhibition

d

☒ Loan or exchange programs

b

☒ Scholarly research

e

☐ Other

c

☒ Preservation for future generations

4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes☒ No

Part IV

Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☒ Yes☐ No

b

If "Yes," explain the arrangement in Part XIV and complete the following table

	Amount
1c	2,686
1d	31,640
1e	34,219
1f	107

2a

Did the organization include an amount on Form 990, Part X, line 21?

☐ Yes☒ No

b

If "Yes," explain the arrangement in Part XIV

Part V

Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a)Current Year	(b)Prior Year	(c)Two Years Back	(d)Three Years Back	(e)Four Years Back
1a	Beginning of year balance . . . . .	5,340,685,012	4,920,742,718	6,351,854,688	
b	Contributions . . . . .	167,659,430	135,156,367	104,550,162	
c	Investment earnings or losses . . . . .	1,115,963,818	525,883,589	-1,298,974,803	
d	Grants or scholarships . . . . .	80,194,525	75,825,598	71,071,937	
e	Other expenditures for facilities and programs . . . . .	160,770,664	165,272,064	165,615,392	
f	Administrative expenses . . . . .	0	0	0	
g	End of year balance . . . . .	6,383,343,071	5,340,685,012	4,920,742,718	

2

Provide the estimated percentage of the year end balance held as

a

Board designated or quasi-endowment ▶ 43 300 %

b

Permanent endowment ▶ 55 600 %

c

Term endowment ▶ 1 100 %

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by

(i)

unrelated organizations . . . . .

3a(i)

☐ Yes☐ No

(ii)

related organizations . . . . .

3a(ii)

☐ Yes☐ No

b

If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

3b

☐ Yes☐ No

4

Describe in Part XIV the intended uses of the organization's endowment funds

Part VI

Investments—Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of investment	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land . . . . .	9,300,000	13,953,925		23,253,925
b Buildings . . . . .	52,358,175	1,205,642,740	313,565,816	944,435,099
c Leasehold improvements . . . . .		12,788,677	1,930,580	10,858,097
d Equipment . . . . .	71,406	214,366,038	118,072,121	96,365,323
e Other . . . . .		177,938,051	48,438,235	129,499,816
Total. Add lines 1a-1e (Column (d) should equal Form 990, Part X, column (B), line 10(c).) ▶				1,204,412,260



Part XI Reconciliation of Change in Net Assets from Form 990 to Financial Statements					
1	Total revenue (Form 990, Part VIII, column (A), line 12)			1	1,396,955,832
2	Total expenses (Form 990, Part IX, column (A), line 25)			2	1,087,176,906
3	Excess or (deficit) for the year Subtract line 2 from line 1			3	309,778,926
4	Net unrealized gains (losses) on investments			4	879,774,489
5	Donated services and use of facilities			5	
6	Investment expenses			6	
7	Prior period adjustments			7	
8	Other (Describe in Part XIV)			8	75,079,368
9	Total adjustments (net) Add lines 4 - 8			9	954,853,857
10	Excess or (deficit) for the year per financial statements Combine lines 3 and 9			10	1,264,632,783
Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return					
1	Total revenue, gains, and other support per audited financial statements . . . . .			1	2,088,145,272
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12				
a	Net unrealized gains on investments . . . . .	2a	879,774,489		
b	Donated services and use of facilities . . . . .	2b			
c	Recoveries of prior year grants . . . . .	2c			
d	Other (Describe in Part XIV) . . . . .	2d	-164,597,056		
e	Add lines 2a through 2d . . . . .			2e	715,177,433
3	Subtract line 2e from line 1 . . . . .			3	1,372,967,839
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1				
a	Investment expenses not included on Form 990, Part VIII, line 7b . . . . .	4a	24,293,532		
b	Other (Describe in Part XIV) . . . . .	4b	-305,539		
c	Add lines 4a and 4b . . . . .		4c		
5	Total Revenue Add lines 3 and 4c. (This should equal Form 990, Part I, line 12 ) . . . . .			5	1,396,955,832

Part XIIIReconciliation of Expenses per Audited Financial Statements With Expenses per Return				
1	Total expenses and losses per audited financial statements . . . . .	1	823,512,489	
2	Amounts included on line 1 but not on Form 990, Part IX, line 25			
a	Donated services and use of facilities . . . . .	2a		
b	Prior year adjustments . . . . .	2b		
c	Other losses . . . . .	2c		
d	Other (Describe in Part XIV) . . . . .	2d	-61,822,325	
e	Add lines 2a through 2d . . . . .	2e	-61,822,325	
3	Subtract line 2e from line 1 . . . . .	3	885,334,814	
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b . . . . .	4a	24,293,532	
b	Other (Describe in Part XIV) . . . . .	4b	177,548,560	
c	Add lines 4a and 4b . . . . .	4c	201,842,092	
5	Total expenses Add lines 3 and 4c. (This should equal Form 990, Part I, line 18 ) . . . . .	5	1,087,176,906	

Part XIVSupplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information.

Identifier	Return Reference	Explanation
	Part III, Line 1a	The University does not capitalize the cost of library books, nor the cost or fair value of its art collection. The latter is held for exhibition and educational purposes only and not for financial gain.
	Part III, Line 4	The Snite Museum of Art maintains a collection of over 25,000 original works of art which are utilized for exhibition, teaching, research and educational outreach programs.
	Part IV, Line 1b	The University of Notre Dame's Legal Aid Clinic opened a custodial bank account which is held by the Indiana Bar Foundation for the purpose of holding client's funds in trust. The interest earned on the funds is remitted to the Foundation and used to pay filing fees, recording and various court fees for clients of limited financial means.
Description of Intended Use of Endowment Funds	Part V, Line 4	Endowment distributions are used to fund a variety of operational needs, including scholarships and fellowships, faculty chairs, academic programs, libraries, other endowment programs and general operations.
Part XI, Line 8 - Other Adjustments		Net gain/(loss) on debt-related derivative instruments 2,045,962. Change in value of split-interest agreements 6,689,844. Net pension and postretirement benefits-related changes 62,127,864. Other non-operating changes in net assets 4,215,698.
Part XII, Line 2d - Other Adjustments		Tuition discounts -174,077,996. Room discounts -3,470,564. Net gain/(loss) on debt-related derivative instruments 2,045,962. Change in value of split-interest agreements 6,689,844. Other non-operating changes in net assets 4,215,698.
Part XII, Line 4b - Other Adjustments		Direct expenses of fundraising events -299,902. Direct expenses from gaming activities -5,637.
Part XIII, Line 2d - Other Adjustments		Net pension and postretirement benefits-related changes -62,127,864. Direct expenses of fundraising events 299,902. Direct expenses from gaming activities 5,637.
Part XIII, Line 4b - Other Adjustments		Tuition scholarships and fellowships 174,077,996. Room & board discounts 3,470,564.
		Part X. The University recognizes asset retirement obligations when incurred. A discounting technique is used to calculate the present value of the capitalized asset retirement costs and the related obligation. Asset retirement costs are depreciated over the estimated remaining useful life of the related asset and the asset retirement obligation is accreted annually to the current present value. Upon settlement of an obligation, any difference between the retirement obligation and the cost to settle is recognized as a gain or loss in the consolidated statement of changes in unrestricted net assets. The University's conditional asset retirement obligations relate primarily to asbestos remediation and will be settled upon undertaking associated renovation projects. Part XI, Line 10. The University is the majority owner of an externally managed company, the activities of which are reflected in the University's consolidated financial statements. During the year ended June 30, 2011, the University adopted the provisions of FASB ASC 958-810-45, the cumulative effect of which was a reclassification of the \$1,938,019 minority owner's noncontrolling interest in the company from a liability to unrestricted net assets. As such, this amount reconciles the total per Schedule D, Part XI, Line 10 (\$1,264,632,783) to the difference between the beginning and ending net assets on core form Part I, Line 22 (\$1,266,570,802).

Additional Data

Software ID:

Software Version:

EIN: 35-0868188

Name: University of Notre Dame du Lac

Form 990, Schedule D, Part VII - Investments— Other Securities

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Other short term investments	171,592,178	F
Public equities U S	331,894,110	F
Public equities Non U S	823,772,925	F
Public equities Long- short strategies	876,421,673	F
Fixed income securities	252,082,853	F
Marketable alternatives	932,011,317	F
Private equity	2,075,538,648	F
Real estate	532,182,196	F
Other real assets	642,844,456	F
Defined benefit pension plan assets	66,834,559	F

Form 990, Schedule D, Part X, - Other Liabilities

1 (a) Description of Liability	(b) Amount
Debt-related derivative instruments	13,670,385
Accrued pension plan contribution	11,000,000
Short-term borrowing	100,060,000
Refundable advances	16,599,096
Liabilities associated with investments	316,508,078
Obligations under split-interest agreements	71,778,529
Conditional asset retirement obligations	22,117,808
Pension and other postretirement benefit obligations	81,877,515
Government advances for student loans	29,582,375
Other liabilities	18,864,389

SCHEDULE E

(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

Schools

►Complete if the organization answered "Yes" to Form 990, Part IV, line 13,  
or Form 990-EZ, Part VI, line 48.  
► Attach to Form 990 or Form 990-EZ.

OMB No 1545-0047

2010

Open to Public  
Inspection

Name of the organization  
University of Notre Dame du Lac

Employer identification number

35-0868188

Part I

- 1 Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?
- 2 Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?
- 3 Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe If "No," please explain If you need more space use Part II

- 4 Does the organization maintain the following?
- a Records indicating the racial composition of the student body, faculty, and administrative staff?
- b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?
- c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?
- d Copies of all material used by the organization or on its behalf to solicit contributions?
- If you answered "No" to any of the above, please explain If you need more space, use Part II

- 5 Does the organization discriminate by race in any way with respect to
- a Students' rights or privileges?

b Admissions policies?

c Employment of faculty or administrative staff?

d Scholarships or other financial assistance?

e Educational policies?

f Use of facilities?

g Athletic programs?

h Other extracurricular activities?

If you answered "Yes" to any of the above, please explain If you need more space, use Part II

6a Does the organization receive any financial aid or assistance from a governmental agency?

b Has the organization's right to such aid ever been revoked or suspended?

If you answered "Yes" to either line 6a or line 6b, explain on Part II

7 Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," explain on Part II

	YES	NO
1	Yes	
2	Yes	
3	Yes	
4a	Yes	
4b	Yes	
4c	Yes	
4d	Yes	
5a		No
5b		No
5c		No
5d		No
5e		No
5f		No
5g		No
5h		No
6a	Yes	
6b		No
7	Yes	

**Part III Supplemental Information**

Complete this part to provide the explanations required by Part I, lines 3, 4d, 5h, 6b, and 7, as applicable. Also complete this part to provide any other additional information (see instructions).

Identifier	Return Reference	Explanation
Explanation of Nondiscriminatory Policy Publication	Schedule E, Part I, Line 3	The University nondiscrimination policy is disclosed in the Admission Policies and Procedures Handbook given to each student. The University draws students nationally and internationally and enrolls meaningful numbers of minority students.
Explanation of Government Financial Assistance	Schedule E, Part I, Line 6	The University receives financial assistance from various governmental agencies within the Federal Government and local jurisdictions. The governmental grants and contracts support certain research projects and student financial aid.

**SCHEDULE F**  
**(Form 990)**

Department of the Treasury  
Internal Revenue Service

## Statement of Activities Outside the United States

▶ **Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.**

▶ **Attach to Form 990. ▶ See separate instructions.**

OMB No 1545-0047

# 2010

## Open to Public Inspection

Name of the organization  
University of Notre Dame du Lac

**Employer identification number**  
35-0868188

**Part I General Information on Activities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

**1 For grantmakers.** Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☒ **Yes** ☐ **No**

**2 For grant makers.** Describe in Part V the organization's procedures for monitoring the use of grant funds outside the United States

**3** Activites per Region (Use Part V if additional space is needed )

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region or independent contractors	(d) Activities conducted in region (by type) (e.g., fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region/investments in region
See Add'l Data					
<b>3a</b> Sub-total	0	0			651,411
<b>b</b> Total from continuation sheets to Part I	7	87			3,530,418,232
<b>c Totals</b> (add lines 3a and 3b)	7	87			3,531,069,643



[illegible]**Schedule F (Form 990) 2010**

Part III

Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 16. Use Part V if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
Housing and Other Assistance	Europe (Including Iceland & Greenland)	2	13,700	Check/Wire/Direct Deposit			
Housing and Other Assistance	Central America and the Caribbean	1	5,000	Check/Wire/Direct Deposit			
Housing and Other Assistance	Sub-Saharan Africa	5	16,630	Check/Wire/Direct Deposit			
Housing and Other Assistance	East Asia and the Pacific	1	500	Check/Wire/Direct Deposit			
Research/Travel Grant	East Asia and the Pacific	1	1,973	Check/Wire/Direct Deposit			
Research/Travel Grant	Europe (Including Iceland & Greenland)	13	17,160	Check/Wire/Direct Deposit			
Research/Travel Grant	North America	3	1,314	Check/Wire/Direct Deposit			
Research/Travel Grant	South America	1	200	Check/Wire/Direct Deposit			

**Part IV Foreign Forms**

- 1

Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926 (see instructions for Form 926)*

☒ Yes ☐ No
- 2

Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to file Form 3520 and/or Form 3520-A. (see instructions for Forms 3520 and 3520-A)*

☐ Yes ☒ No
- 3

Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with respect to Certain Foreign Corporations. (see instructions for Form 5471)*

☒ Yes ☐ No
- 4

Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see instructions for Form 8621)*

☒ Yes ☐ No
- 5

Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with respect to Certain Foreign Partnerships. (see instructions for Form 8865)*

☒ Yes ☐ No
- 6

Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report (see instructions for Form 5713).*

☐ Yes ☒ No

**Part V**   **Supplemental Information**

Complete this part to provide the information (see instructions) required in Part I, line 2, and any additional information.

Identifier	Return Reference	Explanation
Procedure for Monitoring Grants Outside the U S		Schedule F, Part I, Line 2 All grants made for research activities are initiated by a formal sub-contract executed by both parties The terms and conditions of each grant contain all the guidelines for invoicing, billing, record retention, audits, etc Research and Sponsored Programs Accounting ("RSPA") and the Office of Research work in tandem to ensure the guidelines are adhered to All sub-contracts for research activities are paid on a cost-reimbursement basis All invoices paid for costs are approved by the Principal Investigator based on completion of work, deliverables met, etc In addition, RSPA monitors the payments against the total budget to ensure payment is not made above the stipulated ceiling The University has developed a policy containing guidelines surrounding sub-contracts, some of which were addressed above The policy can be found at <a href="http://controller.nd.edu/policies-and-procedures/rspa/subrecipient_monitoring.pdf">http://controller.nd.edu/policies-and-procedures/rspa/subrecipient_monitoring.pdf</a> The University provides funding to students and other related individuals for research and other activities to support the furtherance of their education Funds provided are monitored through applications, budgets and a requirement to document the use of funds either prior to the distribution of funds or upon completion of the activity

Identifier	Return Reference	Explanation
Other Information	Schedule F, Part V	Part II, Line 1, Column (d) Payments related to work performed on research subcontract related to federal, state or private grant originally made to the University

Additional Data

Software ID:

Software Version:

EIN: 35-0868188

Name: University of Notre Dame du Lac

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Europe (Including Iceland & Greenland)			Program Services	Agent of the University	1,163
Russia & the Newly Independent States -			Program Services	Agent of the University	140
Central America and the Caribbean			Program Services	Artistic Performance	7,800
Europe (Including Iceland & Greenland) -			Program Services	Artistic Performance	134,158
North America			Program Services	Artistic Performance	500
Central America and the Caribbean -			Program Services	Conference/Seminar Presentation	1,776
East Asia and the Pacific -			Program Services	Conference/Seminar Presentation	85,971
Europe (Including Iceland & Greenland) -			Program Services	Conference/Seminar Presentation	419,903
Middle East and North Africa -			Program Services	Conference/Seminar Presentation	27,735
North America - Canada and Mexico, but			Program Services	Conference/Seminar Presentation	108,720
Russia & the Newly Independent States -			Program Services	Conference/Seminar Presentation	6,851
South America - Argentina, Bolivia,			Program Services	Conference/Seminar Presentation	17,324
South Asia - Afghanistan, Bangladesh,			Program Services	Conference/Seminar Presentation	15,393
Sub-Saharan Africa - Angola,			Program Services	Conference/Seminar Presentation	30,399
Europe (Including Iceland & Greenland) -			Program Services	Consulting	11,213
Middle East and North Africa -			Program Services	Consulting	7,710
North America - Canada and Mexico, but			Program Services	Consulting	3,767
Sub-Saharan Africa - Angola,			Program Services	Consulting	91,522
Europe (Including Iceland & Greenland)			Program Services	Development/Fundraising	0
Central America and the Caribbean -	2	4	Program Services	Humanitarian Efforts	2,459,092
East Asia and the Pacific			Program Services	Humanitarian Efforts	0
Europe (Including Iceland & Greenland) -			Program Services	Humanitarian Efforts	48,197
South America			Program Services	Humanitarian Efforts	58,185
South Asia			Program Services	Humanitarian Efforts	68,286
Sub-Saharan Africa			Program Services	Humanitarian Efforts	244,057
East Asia and the Pacific			Program Services	Instruction	0
Europe (Including Iceland & Greenland) -			Program Services	Instruction	48,234
Middle East and North Africa			Program Services	Instruction	4,587
North America			Program Services	Instruction	154
South America			Program Services	Instruction	2,111
East Asia and the Pacific -			Program Services	International Study Program	1,503,945
Europe (Including Iceland & Greenland) -	4	79	Program Services	International Study Program	18,646,803
Middle East and North Africa -			Program Services	International Study Program	104,673
North America - Canada and Mexico, but		1	Program Services	International Study Program	259,389
Russia & the Newly Independent States -			Program Services	International Study Program	40,975
South America - Argentina, Bolivia,	1	2	Program Services	International Study Program	579,617
Sub-Saharan Africa - Angola,			Program Services	International Study Program	83,881
Central America and the Caribbean -			Program Services	Internship/Fellowship	21,100
East Asia and the Pacific -			Program Services	Internship/Fellowship	57,952
Europe (Including Iceland & Greenland) -			Program Services	Internship/Fellowship	73,467
Middle East and North Africa -			Program Services	Internship/Fellowship	26,879
North America - Canada and Mexico, but			Program Services	Internship/Fellowship	11,400
South America			Program Services	Internship/Fellowship	60,513
South Asia			Program Services	Internship/Fellowship	12,200
Sub-Saharan Africa			Program Services	Internship/Fellowship	156,668
Central America and the Caribbean			Program Services	Language Acquisition	2,000
East Asia and the Pacific -			Program Services	Language Acquisition	16,300
Europe (Including Iceland & Greenland) -			Program Services	Language Acquisition	51,050
Middle East and North Africa -			Program Services	Language Acquisition	7,000
North America - Canada and Mexico, but			Program Services	Language Acquisition	1,000
Russia & the Newly Independent States -			Program Services	Language Acquisition	5,500
South America - Argentina, Bolivia,			Program Services	Language Acquisition	9,750
North America - Canada and Mexico, but			Program Services	Prizes and Awards	100,723
Central America and the Caribbean -			Program Services	Recruitment	8,257
East Asia and the Pacific -			Program Services	Recruitment	19,799
Europe (Including Iceland & Greenland)		1	Program Services	Recruitment	46,561
Middle East and North Africa -			Program Services	Recruitment	1,139
North America - Canada and Mexico, but			Program Services	Recruitment	3,932
South America - Argentina, Bolivia,			Program Services	Recruitment	18,409
South Asia - Afghanistan, Bangladesh,			Program Services	Recruitment	3,691
Central America and the Caribbean -			Program Services	Research	11,184
East Asia and the Pacific -			Program Services	Research	61,822
Europe (Including Iceland & Greenland) -			Program Services	Research	601,632
Middle East and North Africa -			Program Services	Research	39,357
North America - Canada and Mexico, but			Program Services	Research	27,832
Russia & the Newly Independent States -			Program Services	Research	16,350
South America - Argentina, Bolivia,			Program Services	Research	76,112
South Asia - Afghanistan, Bangladesh,			Program Services	Research	15,750
Sub-Saharan Africa - Angola,			Program Services	Research	35,841
East Asia and the Pacific			Investment Operations		72,299
Europe (Including Iceland & Greenland) -			Investment Operations		74,861
Middle East and North Africa -			Investment Operations		14
North America - Canada and Mexico, but			Investment Operations		10,084
Russia & the Newly Independent States -			Investment Operations		8,225
South America - Argentina, Bolivia,			Investment Operations		57,434
South Asia - Afghanistan, Bangladesh,			Investment Operations		25,483
Sub-Saharan Africa - Angola,			Investment Operations		4,842
Central America and the Caribbean -			Investments		2,656,427,000
East Asia and the Pacific -			Investments		125,195,000
Europe (Including Iceland & Greenland) -			Investments		571,240,000
Middle East and North Africa -			Investments		1,491,000
North America - Canada and Mexico, but			Investments		37,338,000
Russia & the Newly Independent States -			Investments		5,879,000
South America			Investments		5,347,000
South Asia			Investments		1,109,000
Sub-Saharan Africa			Investments		100,065,000

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Central America and the Caribbean	See Footnote 2, Part V	193,726	Check/Wire			
		Europe (Including Iceland & Greenland)	See Footnote 2, Part V	66,325	Check/Wire			
		Sub-Saharan Africa	See Footnote 2, Part V	54,845	Check/Wire			
		Europe (Including Iceland & Greenland)	See Footnote 2, Part V	499,771	Check/Wire			
		East Asia and the Pacific	See Footnote 2, Part V	23,719	Check/Wire			
		Europe (Including Iceland & Greenland)	See Footnote 2, Part V	105,685	Check/Wire			
		South America	See Footnote 2, Part V	18,500	Check/Wire			
		Middle East and North Africa	See Footnote 2, Part V	147,580	Check/Wire			
		Central America and the Caribbean	See Footnote 2, Part V	140,123	Check/Wire			
		Europe (Including Iceland & Greenland)	See Footnote 2, Part V	322,241	Check/Wire			
		Europe (Including Iceland & Greenland)	See Footnote 2, Part V	30,712	Check/Wire			
		East Asia and the Pacific	See Footnote 2, Part V	18,000	Check/Wire			
		East Asia and the Pacific	See Footnote 2, Part V	132,250	Check/Wire			
		Sub-Saharan Africa	See Footnote 2, Part V	834,060	Check/Wire			
		Europe (Including Iceland & Greenland)	See Footnote 2, Part V	1,139,952	Check/Wire			
		Europe (Including Iceland & Greenland)	See Footnote 2, Part V	638,770	Check/Wire			
		Europe (Including Iceland & Greenland)	See Footnote 2, Part V	115,715	Check/Wire			
		Europe (Including Iceland & Greenland)	See Footnote 2, Part V	16,126	Check/Wire			
		Sub-Saharan Africa	See Footnote 2, Part V	13,112	Check/Wire			
		Europe (Including Iceland & Greenland)	See Footnote 2, Part V	138,325	Check/Wire			
		Sub-Saharan Africa	See Footnote 2, Part V	97,774	Check/Wire			
		East Asia and the Pacific	See Footnote 2, Part V	991,200	Check/Wire			
		Europe (Including Iceland & Greenland)	See Footnote 2, Part V	20,000	Check/Wire			
		Sub-Saharan Africa	See Footnote 2, Part V	131,327	Check/Wire			
		Europe (Including Iceland & Greenland)	See Footnote 2, Part V	33,336	Check/Wire			
		Europe (Including Iceland & Greenland)	See Footnote 2, Part V	11,779	Check/Wire			
		Europe (Including Iceland & Greenland)	See Footnote 2, Part V	197,964	Check/Wire			
		Europe (Including Iceland & Greenland)	See Footnote 2, Part V	49,242	Check/Wire			
		Central America and the Caribbean	See Footnote 2, Part V	148,017	Wire Transfer			
		Central America and the Caribbean	See Footnote 2, Part V	447,404	Wire Transfer			

SCHEDULE G  
(Form 990 or 990-EZ)

Supplemental Information Regarding  
Fundraising or Gaming Activities

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19,  
or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.  
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2010

Open to Public  
Inspection

Department of the Treasury  
Internal Revenue Service

Name of the organization University of Notre Dame du Lac	Employer identification number 35-0868188
---	--

Part I Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17.

- 1

Indicate whether the organization raised funds through any of the following activities. Check all that apply.

a

☐

Mail solicitations

e

☐

Solicitation of non-government grants

b

☐

Internet and e-mail solicitations

f

☐

Solicitation of government grants

c

☐

Phone solicitations

g

☐

Special fundraising events

d

☐

In-person solicitations
- 2a

Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services?

☐ Yes

☐ No
- b

If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization. Form 990-EZ filers are not required to complete this table.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
Total . . . . . ▶						

- 3

List all states in which the organization is registered or licensed to solicit funds or has been notified it is exempt from registration or licensing.

Part II Fundraising Events.

Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 on Form 990-EZ, line 6a. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other Events	(d) Total Events
		Lou's Lads (event type)	Christmas Dinner (event type)	4 (total number)	(Add col (a) through col (c))
Revenue	1	Gross receipts . . . .	440,501	88,220	137,395
	2	Less Charitable contributions . . . .	440,501	74,740	105,374
	3	Gross income (line 1 minus line 2) . . . .		13,480	32,021
Direct Expenses	4	Cash prizes . . . .			
	5	Non-cash prizes . . . .			
	6	Rent/facility costs . . . .	96,425	3,530	
	7	Food and beverages . . . .			
	8	Entertainment . . . .			
	9	Other direct expenses . . . .	54,771	61,832	83,344
	10	Direct expense summary Add lines 4 through 9 in column (d) . . . . . ▶			
	11	Net income summary Combine lines 3 and 10 in column (d). . . . . ▶			

Part III Gaming.

Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming
					(Add col (a) through col (c))
Revenue	1	Gross revenue . . . . .			
	2	Cash prizes . . . . .			
Direct Expenses	3	Non-cash prizes . . . . .			
	4	Rent/facility costs . . . . .			
	5	Other direct expenses . . . . .			
	6	Volunteer labor . . . . .			
		<input type="checkbox"/> Yes      % <input type="checkbox"/> No	<input type="checkbox"/> Yes      % <input type="checkbox"/> No	<input type="checkbox"/> Yes      % <input type="checkbox"/> No	
		7 Direct expense summary Add lines 2 through 5 in column (d) . . . . . ▶			
		8 Net gaming income summary Combine lines 1 and 7 in column (d) . . . . . ▶			

9 Enter the state(s) in which the organization operates gaming activities \_\_\_\_\_

a Is the organization licensed to operate gaming activities in each of these states? . . . . . ☐ Yes ☐ No

b If "No," Explain \_\_\_\_\_

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? . . . . . ☐ Yes ☐ No

b If "Yes," Explain \_\_\_\_\_



11

Does the organization operate gaming activities with nonmembers?

Yes

No

12

Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?

Yes

No

13

Indicate the percentage of gaming activity operated in

a	The organization's facility	13a
b	An outside facility	13b

14

Provide the name and address of the person who prepares the organization's gaming/special events books and records

Name

Address

15a

Does the organization have a contract with a third party from whom the organization receives gaming revenue?

Yes

No

b

If "Yes," enter the amount of gaming revenue received by the organization \$ and the amount of gaming revenue retained by the third party \$

c

If "Yes," enter name and address

Name

Address

16 Gaming manager information

Name

Gaming manager compensation \$

Description of services provided

Director/officer

Employee

Independent contractor

17

Mandatory distributions

a

Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?

Yes

No

b

Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year \$

Part IV Complete this part to provide additional information for responses to question on Schedule G (see instructions.)

Identifier	ReturnReference	Explanation
------------	-----------------	-------------

Schedule I  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Name of the organization  
University of Notre Dame du Lac

Grants and Other Assistance to Organizations,  
Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.  
▶ Attach to Form 990

OMB No 1545-0047

2010

Open to Public  
Inspection

Employer identification number

35-0868188

Part I General Information on Grants and Assistance

1

Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☒ Yes ☐ No

2

Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21 for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Part II can be duplicated if additional space is needed. ☐

1 (a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
See Additional Data Table							

2

Enter total number of section 501(c)(3) and government organizations

84

3

Enter total number of other organizations

12

Part III

Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 22.  
Use Schedule I-1 (Form 990) if additional space is needed.

(a)Type of grant or assistance	(b)Number of recipients	(c)Amount of cash grant	(d)Amount of non-cash assistance	(e)Method of valuation (book, FMV, appraisal, other)	(f)Description of non-cash assistance
(1) Tuition Scholarships, Fellowships, and Room and Board Discounts	7391	177,548,560			
(2) Athletics Grant-in-Aid	329	930,301			
(3) Housing and Other Assistance	227	233,566			
(4) Prizes and Awards	520	715,052			
(5) Research and Travel Grants	3408	3,426,694			

Part IV

Supplemental Information. Complete this part to provide the information required in Part I, line 2, and any other additional information.

Identifier	Return Reference	Explanation
Procedure for Monitoring Grants in the U S	Part I, Line 2	Schedule I, Part I, Line 2 All grants made for research activities are initiated by a formal sub-contract executed by both parties The terms and conditions of each grant contain all the guidelines for invoicing, billing, record retention, audits, etc All sub-contracts for research activities are paid on a cost-reimbursement basis All invoices paid for costs are approved by the Principal Investigator based on completion of work, deliverables met, etc In addition, RSPA monitors the payments against the total budget to ensure payment is not made above the stipulated ceiling The University has developed a policy containing guidelines surrounding sub-contracts, some of which were addressed above This policy can be found at <a href="http://controller.nd.edu/policies-and-procedures/rspa/subrecipient_monitoring.pdf">http //controller nd edu/policies-and-procedures/rspa/subrecipient_ monitoring pdf</a> Charitable organizations that receive contributions from the University are carefully selected and require documentation to support the University's interest in the organization to ensure consistency with the core values of the University Charitable contributions also require approval by the Executive Vice President The University provides funding to students and other related individuals for research and other activities to support the furtherance of their education Funds provided are monitored through applications, budgets and a requirement to document the use of funds either prior to the distribution of funds or upon completion of the activity
Other Information	Part IV	Part II, Line 1, Column (h) Payments related to work performed on research subcontract related to federal, state or private grant originally made to the University

Software ID:

Software Version:

EIN: 35-0868188

Name: University of Notre Dame du Lac

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Alzheimer's Disease Research Foundation34 Washington Street Wellesley Hills, MA 02481	52-2396428	501(c)(3)	50,000				See Footnote 2, Part IV
American Cancer Society1599 Clifton Rd Ne Atlanta, GA 30329	23-7040934	501(c)(3)	5,460				See Footnote 2, Part IV
Ara Parseghian Medical Research Foundation5050 N Via De La Granja Tucson, AZ 857187407	86-0775966	501(c)(3)	60,000				See Footnote 2, Part IV
Arizona State UniversityPost Office Box 873503 Tempe, AZ 852870001	86-0196696	State of AZ	227,982				See Footnote 2, Part IV
Ball Aerospace & Technologies CorpPost Office Box 70203 Chicago, IL 606730203	84-1315001		85,643				See Footnote 2, Part IV
Basis Policy Research LLCSte 103-296 9650 Strickland Rd Raleigh, NC 276151902	27-0475968		172,303				See Footnote 2, Part IV
Boomer Esiason Foundation7475 Wisconsin Avenue Bethesda, MD 20814	11-3142753	501(c)(3)	5,250				See Footnote 2, Part IV
Building Tomorrow Inc407 Fulton Street Indianapolis, IN 46202	56-2614329	501(c)(3)	25,000				See Footnote 2, Part IV
Catholic University of America620 Michigan Avenue Northeast Washington, DC 200640001	53-0196583	501(c)(3)	30,301				See Footnote 2, Part IV
Center for the Homeless Inc813 South Michigan Street South Bend, IN 46601	35-1768544	501(c)(3)	38,942		FMV	Rental Waiver	See Footnote 2, Part IV
CICP Foundation Inc111 Monument Circle Suite 1800 Indianapolis, IN 46204	35-2065457	501(c)(3)	10,000				See Footnote 2, Part IV
City of Mishawaka600 East Third Street Mishawaka, IN 46544	35-6001115	City-Mishawaka	14,269				See Footnote 2, Part IV

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
City of South Bend227 W Jefferson Blvd Suite 1400 South Bend, IN 46601	35-6001201	City-South Bend	78,480				See Footnote 2, Part IV
Clinical Research Management IncRidge Road Suite A Hinkley, OH 44233	54-1716562		28,830				See Footnote 2, Part IV
Cold Spring Harbor LaboratoryPO Box 100 Cold Spring Harbor, NY 11724	11-2013303	501(c)(3)	15,000				See Footnote 2, Part IV
DePaul University1 East Jackson Boulevard Chicago, IL 606042287	36-2167048	501(c)(3)	10,885				See Footnote 2, Part IV
Early Childhood Development Center10 Child Care Center Notre Dame, IN 46556	35-1188922	501(c)(3)	200,000				See Footnote 2, Part IV
Fischoff National Chamber Music Association303 Brownson Hall Notre Dame, IN 46556	35-1650154	501(c)(3)	11,000				See Footnote 2, Part IV
Foundation of St Joseph Regional Medical Center837 Cedar Street Suite 350 South Bend, IN 46617	35-1654543	501(c)(3)	11,470				See Footnote 2, Part IV
George Washington University44983 Knoll Square Ashburn, VA 201472692	53-0196584	501(c)(3)	154,332				See Footnote 2, Part IV
Georgetown UniversityPost Office Box 571164 Washington, DC 200570001	53-0196603	501(c)(3)	45,000				See Footnote 2, Part IV
Georgia Tech Applied Research CorporationPost Office Box 277004 Atlanta, GA 303847004	58-2374837	501(c)(3)	92,507				See Footnote 2, Part IV
Georgia Tech Research CorporationPost Office Box 100117 Atlanta, GA 303840117	58-0603146	501(c)(3)	59,270				See Footnote 2, Part IV
Harvard University1350 Massachusetts Avenue Cambridge, MA 021383800	04-2103580	501(c)(3)	506,294				See Footnote 2, Part IV

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
IBMPost Office Box 7247-0276 Philadelphia, PA 191700001	13-0871985		45,997				See Footnote 2, Part IV
IBMPost Office Box 218 Yorktown Heights, NY 105980218	13-0871985		1,068				See Footnote 2, Part IV
Indiana Economic Development Foundation Inc One North Capital Avenue Indianapolis, IN 46204	20-2664607	501(c)(3)	10,000				See Footnote 2, Part IV
Indiana UniversityPost Office Box 66057 Indianapolis, IN 462660001	35-6001673	State of IN	274,285				See Footnote 2, Part IV
Johns Hopkins University 12529 Collections Center Drive Chicago, IL 606930001	52-0595110	501(c)(3)	50,851				See Footnote 2, Part IV
Kelly Cares FoundationPO Box 518 Notre Dame, IN 46556	26-3591070	501(c)(3)	17,700				See Footnote 2, Part IV
Knowledge Networks Inc75 Remittance Chicago, IL 606750001	94-3314617		268,621				See Footnote 2, Part IV
Lake Superior State University650 West Easterday Avenue Sault Sainte Marie, MI 497831626	38-2576021	State of MI	8,959				See Footnote 2, Part IV
Lawrence Berkeley National LaboratoryPost Office Box 39000 San Francisco, CA 941390001	94-2951741	Federal	456,073				See Footnote 2, Part IV
LeTourneau UniversityPost Office Box 7001 Longview, TX 756077001	75-1081109	501(c)(3)	30,569				See Footnote 2, Part IV
Logan Community Resources 1235 North Eddy Street South Bend, IN 46617	35-0965639	501(c)(3)	19,200				See Footnote 2, Part IV
Michigan State University 301 Administration Bldg East Lansing, MI 488241046	38-6005984	State of MI	613,859				See Footnote 2, Part IV

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Mid-Atlantic Technology Research & Innovation CenterPost Office Box 8396 South Charleston, WV 253030396	20-0208597	501(c)(3)	120,021				See Footnote 2, Part IV
Missouri Botanical GardenPO Box 299 Saint Louis, MO 63166	43-0666759	501(c)(3)	15,000				See Footnote 2, Part IV
Muscular Dystrophy Association3300 East Sunrise Drive Tucson, AZ 85718	13-1665552	501(c)(3)	8,720				See Footnote 2, Part IV
MZA Associates Corporation2021 Girard Boulevard SE Albuquerque, NM 871063140	85-0400557		226,585				See Footnote 2, Part IV
New York Blood Center1200 Prospect Avenue Westbury, NY 115902723	13-1949477	501(c)(3)	93,574				See Footnote 2, Part IV
Northeastern University360 Huntington Avenue Boston, MA 021155000	04-1679980	501(c)(3)	44,103				See Footnote 2, Part IV
Northern Jet Management Post Office Box 888380 Grand Rapids, MI 495888380	38-3261631		368,366				See Footnote 2, Part IV
Northwestern University633 Clark Street G547 Evanston, IL 602080001	36-2167817	501(c)(3)	39,525				See Footnote 2, Part IV
Oaklawn Psychiatric Center IncPost Office Box 809 Goshen, IN 465287000	35-1070041	501(c)(3)	22,888				See Footnote 2, Part IV
Old Dominion Univ Research FoundationPost Office Box 6369 Norfolk, VA 235080369	54-6068198	501(c)(3)	46,588				See Footnote 2, Part IV
Pennsylvania State University227 West Beaver Avenue State College, PA 168014842	24-6000376	State of PA	186,354				See Footnote 2, Part IV
Princeton UniversityPost Office Box 5292 Princeton, NJ 085446242	21-0634501	501(c)(3)	62,485				See Footnote 2, Part IV

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Purdue University23510 Network Place Chicago,IL 606731235	35-6002041	State of IN	2,272,510				See Footnote 2, Part IV
Ranken Technical College4431 Finney Avenue Saint Louis,MO 63113	43-0471057	501(c)(3)	25,000				See Footnote 2, Part IV
Regents of Univ of MichiganPost Office Box 223131 Pittsburgh,PA 152512131	38-6006309	State of MI	688,123				See Footnote 2, Part IV
Rensselaer Polytechnic InstPost Office Box 33375 Hartford,CT 061500001	14-1340095	501(c)(3)	126,587				See Footnote 2, Part IV
Research Foundation of SUNYPost Office Box 9 Albany,NY 122010009	14-1368361	501(c)(3)	8,298				See Footnote 2, Part IV
Resources for the Future Inc1616 P Street Northwest Washington,DC 200361400	53-0220900	501(c)(3)	48,225				See Footnote 2, Part IV
Rice UniversityPost Office Box 1892 Houston,TX 772511892	74-1109620	501(c)(3)	36,773				See Footnote 2, Part IV
Rockefeller University1230 York Avenue New York,NY 100656399	13-1624158	501(c)(3)	67,848				See Footnote 2, Part IV
Saint Michael's College1 Winooski Park Colchester,VT 054391000	03-0179403	501(c)(3)	8,931				See Footnote 2, Part IV
Sorian Inc1400 East Angela Boulevard South Bend,IN 466171364	27-1264142		192,586				See Footnote 2, Part IV
South Bend Symphony Orchestra120 West LaSalle Avenue South Bend,IN 46601	35-6042189	501(c)(3)	28,725				See Footnote 2, Part IV
St Joseph County227 W Jefferson Blvd 7th Floor South Bend,IN 46601	35-6000194	County-St Joe	48,515				See Footnote 2, Part IV



**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
St Louis Children's Hospital Foundation1 Childrens Place Saint Louis, MO 63110	43-1626863	501(c)(3)	10,000				See Footnote 2, Part IV
St Pius X Parish52553 Fir Road Granger, IN 465308865	35-0940397		5,918				See Footnote 2, Part IV
The Foundation for the Center for Hospice and Palliative Care Inc111 Sunnybrook Court South Bend, IN 46637	30-0433147	501(c)(3)	11,355				See Footnote 2, Part IV
The Nature Conservancy101 East Grand River Avenue Lansing, MI 489064374	53-0242652	501(c)(3)	98,591				See Footnote 2, Part IV
The Pennsylvania State UniversityJames M Elliot Building Suite 202 University Park, PA 16802	24-6000376	State of PA	200,000				See Footnote 2, Part IV
Trimeric CorporationPost Office Box 826 Buda, TX 786100826	11-3695961		29,470				See Footnote 2, Part IV
United Way of Greater St Louis910 N 11th Street Saint Louis, MO 63101	43-0714167	501(c)(3)	50,000				See Footnote 2, Part IV
Univ of Georgia Research Foundation Inc475 North Lumpkin Street Athens, GA 306012744	58-1353149	501(c)(3)	54,219				See Footnote 2, Part IV
Univ of Kansas Center for Research Inc2385 Irving Hill Road Lawrence, KS 660457563	48-0680117	State of KS	26,503				See Footnote 2, Part IV
Univ of South Carolina Research FoundationPost Office Box 751475 Charlotte, NC 282751475	57-0967350	State of SC	21,071				See Footnote 2, Part IV
Univ of Wisconsin (Regents) UW-Madison GAR Account Milwaukee, WI 532780001	39-6006492	State of WI	164,938				See Footnote 2, Part IV
University of Alaska FairbanksPost Office Box 757880 Fairbanks, AK 997757880	92-6000147	State of AK	5,402				See Footnote 2, Part IV

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV , appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of California (Regents)Post Office Box 989062 West Sacramento, CA 957989062	94-6036494	State of CA	778,701				See Footnote 2, Part IV
University of California (Regents)9500 Gilman Drive 0009 La Jolla,CA 920930001	95-6006144	State of CA	83,757				See Footnote 2, Part IV
University of California (Regents)2195 Hearst Avenue Berkeley,CA 947200001	94-6002123	State of CA	220,924				See Footnote 2, Part IV
University of California RiversideAccounting Office Riverside,CA 925210001	95-6006142	State of CA	15,861				See Footnote 2, Part IV
University of Chicago6054 South Drexel Avenue Chicago,IL 606372612	36-2177139	501(c)(3)	243,905				See Footnote 2, Part IV
University of Great Falls 1301 20th Street South Great Falls,MT 594054996	81-0231777	501(c)(3)	13,291				See Footnote 2, Part IV
University of IllinoisPost Office Box 4610 Springfield,IL 627084610	37-6000511	State of IL	228,383				See Footnote 2, Part IV
University of Minnesota (Regents)Post Office Box 1450 Minneapolis,MN 554850001	41-6007513	State of MN	160,645				See Footnote 2, Part IV
University of New Hampshire 51 College Road Durham,NH 038242620	02-6000937	State of NH	24,744				See Footnote 2, Part IV
University of New Mexico1 University of New Mexico Albuquerque, NM 871310001	85-6000642	State of NM	141,863				See Footnote 2, Part IV
University of North Carolina-Chapel HillPost Office Box 402420 Atlanta,GA 303840001	56-6001393	State of NC	56,529				See Footnote 2, Part IV
University of Texas at Austin Post Office Box 7159 Austin,TX 787137159	74-6000203	State of TX	29,021				See Footnote 2, Part IV

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of Texas at Tyler 3900 University Blvd ADM 369 Tyler,TX 757990001	75-1396988	State of TX	9,103				See Footnote 2, Part IV
University of Texas Medical Branch GalvestonPost Office Box 4786-750 Houston,TX 77210	74-1343044	State of TX	19,889				See Footnote 2, Part IV
University of Texas Medical Branch Galveston301 University Boulevard Galveston,TX 775550001	74-1343044	State of TX	7,857				See Footnote 2, Part IV
University of ToledoPost Office Box 72327 Cleveland,OH 441920002	34-6401483	State of OH	30,787				See Footnote 2, Part IV
University of VirginiaPost Office Box 400195 Charlottesville,VA 229044195	54-6001796	State of VA	149,500				See Footnote 2, Part IV
University of Washington 12455 Collections Drive Chicago,IL 606930001	91-6001537	State of WA	255,836				See Footnote 2, Part IV
University of WyomingPost Office Box 3355 Laramie,WY 820712001	83-6000331	State of WY	50,453				See Footnote 2, Part IV
Utah State University2400 Old Main Hill Logan,UT 843220001	87-6000528	State of UT	7,391				See Footnote 2, Part IV
Virginia Polytechnic Institute and State University1880 Pratt Drive Blacksburg,VA 240603580	54-6001805	State of VA	27,158				See Footnote 2, Part IV
Wayne State UniversityPost Office Box 02788 Detroit,MI 482020788	38-6028429	State of MI	42,458				See Footnote 2, Part IV
Yale University180 York Street New Haven,CT 06511	06-0646973	501(c)(3)	200,000				See Footnote 2, Part IV
YouGovPolimetrix285 Hamilton Avenue Palo Alto,CA 943012538	98-0547173		17,500				See Footnote 2, Part IV

Schedule J  
(Form 990)

Compensation Information

OMB No 1545-0047

2010

Open to Public Inspection

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990, Part IV, question 23.

▶ Attach to Form 990. ▶ See separate instructions.

Name of the organization  
University of Notre Dame du Lac

Employer identification number  
35-0868188

Part I

Questions Regarding Compensation

		Yes	No
1a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items		
	<div><div><input checked="" type="checkbox"/> First-class or charter travel</div><div><input checked="" type="checkbox"/> Housing allowance or residence for personal use</div></div>		
	<div><div><input checked="" type="checkbox"/> Travel for companions</div><div><input type="checkbox"/> Payments for business use of personal residence</div></div>		
	<div><div><input checked="" type="checkbox"/> Tax idemnification and gross-up payments</div><div><input checked="" type="checkbox"/> Health or social club dues or initiation fees</div></div>		
	<div><div><input type="checkbox"/> Discretionary spending account</div><div><input type="checkbox"/> Personal services (e g , maid, chauffeur, chef)</div></div>		
b	If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all the expenses described above? If "No," complete Part III to explain	1b	Yes
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?	2	Yes
3	Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director Check all that apply		
	<div><div><input checked="" type="checkbox"/> Compensation committee</div><div><input type="checkbox"/> Written employment contract</div></div>		
	<div><div><input checked="" type="checkbox"/> Independent compensation consultant</div><div><input checked="" type="checkbox"/> Compensation survey or study</div></div>		
	<div><div><input type="checkbox"/> Form 990 of other organizations</div><div><input checked="" type="checkbox"/> Approval by the board or compensation committee</div></div>		
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization		
a	Receive a severance payment or change-of-control payment from the organization or a related organization?	4a	Yes
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b	Yes
c	Participate in, or receive payment from, an equity-based compensation arrangement?	4c	No
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III		
	Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.		
5	For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of		
a	The organization?	5a	Yes
b	Any related organization?	5b	No
	If "Yes," to line 5a or 5b, describe in Part III		
6	For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of		
a	The organization?	6a	No
b	Any related organization?	6b	No
	If "Yes," to line 6a or 6b, describe in Part III		
7	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	7	No
8	Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regs section 53 4958-4(a)(3)? If "Yes," describe in Part III	8	No
9	If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?	9	

**Part II** **Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

**Note.** The sum of columns (B)(i)-(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
See Additional Data Table								
( 2 )								
( 3 )								
( 4 )								
( 5 )								
( 6 )								
( 7 )								
( 8 )								
( 9 )								
( 10 )								
( 11 )								
( 12 )								
( 13 )								
( 14 )								
( 15 )								
( 16 )								

**Part III Supplemental Information**

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8. Also complete this part for any additional information.

Identifier	Return Reference	Explanation
	Part I, Line 1a	First class travel-Air travel other than coach class (typically business class) is allowed for Officers and key employees for travel to Alaska, Hawaii and destinations outside North America or for necessary medical reasons (accompanied by appropriate supporting documentation), if the situation warrants and with approval from a Dean or Officer. Charter travel-Travel on the University plane or other plane leased by the University is available to Officers and key employees of the University, and requires approval by the President's Office. Any incidental personal use is taxed to the individual. Travel for companions-Spouses of faculty and staff are occasionally asked to travel on University business. Spouse travel reimbursement by the University requires approval by a Dean or Officer, or their authorized representative, and if the business purpose of the spousal travel is not properly documented, it will be taxed to the employee. Tax indemnification and gross-up payments-May occasionally be provided to officers or key employees of the University. An example of when this type of payment may be provided would be when tax treatment of an item is uncertain. Housing allowance or residence for personal use-May be provided to officers or key employees of the University, typically on a temporary basis (and appropriately included in the individual's income) to a new key employee or officer as they transition to the area. Certain officers or key employees are members of the CSC Religious Order and live in University dormitories or apartments, while providing guidance to students for the convenience of the University. Social club dues-Social club dues are occasionally provided as a benefit for certain highest compensated employees of the University, and these dues are added to the individual's income to reflect any personal use (as reported on an annual basis).
	Part I, Lines 4a-b	(i)-Termination payment of \$553,823 was made during the reporting period to Gordon D. Wishon under a separation agreement. 4a(ii)-Termination payment of \$2,054,744 was made during the reporting period to Charles J. Weis under a separation agreement that includes additional annual payments through December, 2015. 4b-John Affleck-Graves, Executive Vice President, Thomas Burish, Provost, John W. Swarbrick, Athletic Director, Scott Malpass, Vice President and Chief Investment Officer, and Michael Donovan, Investments Managing Director, participated in nonqualified deferred compensation arrangements under Internal Revenue Code Section 457(f) during calendar 2010. Affleck-Graves received an accrued benefit of \$95,000 in 2010. Burish received an accrued benefit of \$99,120 and was paid \$493,311 as the vested benefit under his nonqualified deferred compensation plan in 2010. Swarbrick received an accrued benefit of \$150,000 during that calendar year. Malpass received an accrued benefit of \$806,250 in 2010. Donovan received an accrued benefit of \$312,000 in 2010. The accrued benefit and related earnings vest to all three participants at the end of the term of each plan (5 or 6 years).
	Part I, Line 5	a(i)-Scott Malpass, Vice President and Chief Investment Officer, received incentive compensation which was in part calculated contingent on the performance of the University's unitized investment pool. Malpass received \$1,202,025 in calendar 2010 related to this program. 5a(ii)-Michael D. Donovan, Managing Director, Investments, received incentive compensation which was in part calculated contingent on the performance of the University's unitized investment pool. Donovan received \$512,596 in calendar 2010 related to this program.
Supplemental Information	Part III	Schedule J, Part II-Compensation of the Congregation of Holy Cross, United States Province, Inc. a. There are amounts listed on Form 990, Part VII, in Columns D-F, as well as the amounts listed on Schedule J, Part II, Columns B-F for members of the Holy Cross religious order (Fr. Thomas Doyle, Fr. John Jenkins, Fr. Mark Poorman, and Fr. Timothy Scully). The amounts listed are paid directly to their religious order, Congregation of Holy Cross, United States Province, Inc., rather than to these individuals. b. Fr. Timothy Scully is a member of the Congregation of Holy Cross, United States Province, Inc. religious order and received no compensation for his participation as a Trustee of the University. However, Fr. Scully is also a faculty member of the University. As a result of this faculty position (and not his position as a Trustee), he received the compensation indicated above, which was paid directly to his religious order. c. Most of the amount included on Schedule J, Part II, Column D for Fr. Jenkins and Fr. Scully represents a qualified tuition benefit offered to members of the Congregation of Holy Cross, United States Province, Inc. Form 990, Part VII, Line 5-Compensation from an Unrelated Organization. The current head football coach is permitted to receive compensation from external sources with prior written approval from the University. The University is not a party to any agreements between the coach and any third party for the payment of compensation to the coach, and the coach does not provide services to the University as a result of any such agreements. Therefore, any external income that the coach may receive is not reported for purposes of the University's response to the question raised on Form 990, Part VII, Line 5. Name Unrelated Compensation Organization Type Amount Michael P. Brey Play by Play Sports Cash \$695,000 Muffet McGraw Play by Play Sports Cash \$232,500 Charles J. Weis Play by Play Sports Cash \$469,727 Schedule J, Part II, Line (B)(iii)-A significant part of the amount reported for Brian Kelly on Schedule J, Part II, Line (B)(iii) represents a one-time payment to Coach Kelly.

Software ID:

Software Version:

EIN: 35-0868188

Name: University of Notre Dame du Lac

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other compensation				
John F Affleck-Graves PhD	(i)	500,127	0	27,892	119,500	16,244	663,763	0
	(ii)	0	0	0	0	0	0	0
Thomas G Burish PhD	(i)	444,240	0	515,692	123,620	13,983	1,097,535	455,880
	(ii)	0	0	0	0	0	0	0
Rev John I Jenkins CSC PhD	(i)	554,036	0	55,500	0	128,863	738,399	0
	(ii)	0	0	0	0	0	0	0
Rev Timothy R Scully CSC PhD	(i)	151,981	0	15,295	0	47,510	214,786	0
	(ii)	0	0	0	0	0	0	0
Robert J Bernhard PhD	(i)	287,926	0	17,997	24,500	59,378	389,801	0
	(ii)	0	0	0	0	0	0	0
Janet M Botz	(i)	304,010	0	17,630	24,500	12,890	359,030	0
	(ii)	0	0	0	0	0	0	0
Marianne Corr JD	(i)	408,186	0	17,620	24,500	7,514	457,820	0
	(ii)	0	0	0	0	0	0	0
Dennis C Jacobs PhD	(i)	227,934	400	1,010	23,150	27,714	280,208	0
	(ii)	0	0	0	0	0	0	0
James J Lyphout MBA	(i)	271,240	0	21,587	24,500	16,108	333,435	0
	(ii)	0	0	0	0	0	0	0
Scott C Malpass MBA	(i)	810,536	1,202,025	28,071	830,750	6,664	2,878,046	369,439
	(ii)	0	0	0	0	0	0	0
Christine M Maziar PhD	(i)	282,629	0	17,837	24,500	7,134	332,100	0
	(ii)	0	0	0	0	0	0	0
Louis M Nanni MA	(i)	320,073	0	10,071	24,500	20,792	375,436	0
	(ii)	0	0	0	0	0	0	0
Donald B Pope-Davis PhD	(i)	227,274	0	2,396	23,250	50,985	303,905	0
	(ii)	0	0	0	0	0	0	0
John A Sejdinaj MBA CCM	(i)	306,569	0	17,005	24,500	25,100	373,174	0
	(ii)	0	0	0	0	0	0	0
John B Swarbrick Jr	(i)	630,334	155,000	41,166	174,500	25,942	1,026,942	0
	(ii)	0	0	0	0	0	0	0
Michael P Brey	(i)	448,333	85,406	38,965	24,500	19,639	616,843	0
	(ii)	0	0	0	0	0	0	0
Michael D Donovan MBA JD	(i)	424,932	512,596	16,620	336,500	6,616	1,297,264	187,983
	(ii)	0	0	0	0	0	0	0
Brian Kelly	(i)	617,846	0	1,762,334	24,500	19,621	2,424,301	0
	(ii)	0	0	0	0	0	0	0
Muffet McGraw	(i)	562,215	78,824	34,902	24,500	31,185	731,626	0
	(ii)	0	0	0	0	0	0	0
Gordon D Wishon	(i)	25,925	0	553,833	2,635	2,157	584,550	0
	(ii)	0	0	0	0	0	0	0
Jeffrey Kantor Former Officer	(i)	241,674	0	137	24,500	24,800	291,111	0
	(ii)	0	0	0	0	0	0	0
Rev Mark L Poorman CSC PhD	(i)	164,186	800	16,515	0	9,182	190,683	0
	(ii)	0	0	0	0	0	0	0
Charles J Weis	(i)	0	0	2,055,839	0	0	2,055,839	0
	(ii)	0	0	0	0	0	0	0

Schedule K  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Name of the organization  
University of Notre Dame du Lac

Supplemental Information on Tax Exempt Bonds

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Schedule O (Form 990).  
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2010

Open to Public Inspection

Employer identification number

35-0868188

Part I

Bond Issues

	(a) Issuer Name	(b) Issuer EIN	(c) CUSIP #	(d) Date Issued	(e) Issue Price	(f) Description of Purpose	(g) Defeased		(h) On Behalf of Issuer		(i) Pool financing	
							Yes	No	Yes	No	Yes	No
A	St Joseph County Indiana	35-6000194	79061ABD8	12-16-2003	65,000,000	See Part V		X		X		X
B	St Joseph County Indiana	35-6000194	79061ABA4	12-08-2005	185,000,000	See Part V		X		X		X
C	St Joseph County Indiana	35-6000194	79061ABE6	12-14-2007	75,000,000	See Part V		X		X		X
D	St Joseph County Indiana	35-6000194	79061ABK2	09-30-2009	153,953,342	See Part V		X		X		X

Part II

Proceeds

		A	B	C		D			
1	Amount of bonds retired	12,340,000	110,000,000						
2	Amount of bonds legally defeased								
3	Total proceeds of issue	65,326,176	188,456,420	75,546,119		153,953,386			
4	Gross proceeds in reserve funds								
5	Capitalized interest from proceeds	510,157	2,389,124	261,689					
6	Proceeds in refunding escrow								
7	Issuance costs from proceeds	333,733	370,716	246,545		953,386			
8	Credit enhancement from proceeds								
9	Working capital expenditures from proceeds								
10	Capital expenditures from proceeds	29,389,306	70,348,646	24,337,420					
11	Other spent proceeds	35,092,980	115,347,934	50,700,465		153,000,000			
12	Other unspent proceeds								
13	Year of substantial completion	2007		2010		2011		Yes	No
		Yes	No	Yes	No	Yes	No		
14	Were the bonds issued as part of a current refunding issue?	X		X		X		X	
15	Were the bonds issued as part of an advance refunding issue?		X		X		X		X
16	Has the final allocation of proceeds been made?	X		X		X			X
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X		X	

Part III

Private Business Use

				A		B		C		D	
				Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?				X		X		X		X
2	Are there any lease arrangements that may result in private business use of bond-financed property?			X		X		X			X



Part IIIPrivate Business Use (Continued)

3a	Are there any management or service contracts that may result in private business use?	A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
		X		X		X			X
b	Are there any research agreements that may result in private business use of bond-financed property?	X		X		X			X
c	Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property?	X		X		X			X
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government	0 040 %		0 060 %		0 040 %			
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government	0 030 %		0 040 %		0 030 %			
6	Total of lines 4 and 5	0 070 %		0 100 %		0 070 %			
7	Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities?	X		X		X		X	

Part IVArbitrage

1	Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue?	A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
			X		X		X		X
2	Is the bond issue a variable rate issue?	X		X		X			X
3a	Has the organization or the governmental issuer entered into a hedge with respect to the bond issue?	X		X		X			X
b	Name of provider	See Part V		Goldman Sachs		See Part V			
c	Term of hedge	25 0000000000000		25 0000000000000					
d	Was the hedge superintegrated?		X		X		X		
e	Was a hedge terminated?		X		X		X		
4a	Were gross proceeds invested in a GIC?		X	X			X		X
b	Name of provider	NA		See Part V		NA		NA	
c	Term of GIC								
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?	X		X					
5	Were any gross proceeds invested beyond an available temporary period?		X		X		X		X
6	Did the bond issue qualify for an exception to rebate?	X		X		X		X	

Part VSupplemental Information

Complete this part to provide additional information for responses to questions on Schedule K (see instructions)

Identifier	Return Reference	Explanation
See Additional Data Table		

Part V

Supplemental Information

Identifier	Return Reference	Explanation
Part I Bond Issues	(f) Description of Purpose	(A) \$65,000,000 St Joseph County, Indiana Variable Rate Educational Facilities Revenue Bonds, Series 2003 originally issued on December 16, 2003 Bond proceeds were utilized to refund the Indiana Educational Facilities Authority Educational Facilities Revenue Bonds, Series 1992 dated November 5, 1992 and to refund the Indiana Educational Facilities Authority Educational Facilities Revenue Bonds, Series 1994 dated November 30, 1994 Proceeds were also applied to finance and reimburse a portion of the costs of various campus improvements Those improvements include (i) construction of a performing arts center and science learning center, (ii) general repairs and improvements to the Alumni and Dillon Residence Halls, (iii) renovation and improvements to utility infrastructure and (iv) general construction and renovation projects
		(B) \$185,000,000 St Joseph County, Indiana Variable Rate Educational Facilities Revenue Bonds, Series 2005 originally issued on December 8, 2005, with CUSIP number 79061ABA4 (reflected in Part I (c) and (d)) \$75,000,000 of the Series 2005 Bonds were then converted from a weekly rate period to a term rate period commencing November 6, 2008, with a new CUSIP number 79061ABF3 and ending February 29, 2012 \$110,000,000 of the Series 2005 Bonds were refunded by the St Joseph County Series 2009 Bonds (see Part I (D)) Bond proceeds were used to refund the Indiana Health and Educational Facility Financing Authority Educational Facilities Revenue Bonds, Series 1995 dated August 9, 1995, to refund the St Joseph County, Indiana Educational Facilities Revenue Bonds, Series 1996 dated September 12, 1996, and to refund a portion of the St Joseph County, Indiana Variable Rate Educational Facilities Revenue Bonds, Series 2002 dated March 5, 2002 Proceeds were also utilized to finance and reimburse a portion of the costs of various campus improvements Those improvements include (i) the construction of new roads along the south and east boundaries of the University's campus and the realignment of Angela Boulevard, Edison Road and Ivy Road in connection therewith, and the closing of Juniper Road, (ii) renovation and improvements to the Student Health Center, (iii) repair and regilding of the dome on top of the Administration Building, (iv) renovation and improvements to laboratory facilities within the Galvin Life Science Building, (v) general construction and renovation projects
		(C) \$75,000,000 St Joseph County, Indiana Educational Facilities Refunding Revenue Bonds, Series 2007 originally issued on December 14, 2007 Bond proceeds were utilized to refund the St Joseph County, Indiana Educational Facilities Revenue Bonds, Series 1997 dated November 20, 1997, and to refund the Indiana Educational Facilities Authority Educational Facilities Refunding Revenue Bonds, Series 1997 dated November 19, 1997 Proceeds were also applied to finance and reimburse a portion of the costs of various campus improvements These improvements include (i) the construction of an addition to the Mason Support Services Center, (ii) the renovation and improvements to a laboratory facility within Nieuwland Science, (iii) general construction and renovation projects
		(D) Total issue price of \$153,953,341 65, comprised of an original issue premium of \$7,388,341 65 and principal amount of \$146,565,000 St Joseph County, Indiana Educational Facilities Refunding Revenue Bonds, Series 2009 issued on September 30, 2009 Bond proceeds were used to refund the St Joseph County, Indiana Variable Rate Educational Facilities Revenue Bonds, Series 1998 dated October 1, 1998 and (\$110,000,000 of) the St Joseph County, Indiana Variable Rate Educational Facilities Revenue Bonds, Series 2005 dated December 8, 2005 (see Part I (B))
Part II Proceeds	Line 1 Amount of bonds retired	Line 1 (B) Reflects the amount of the Series 2005 Bonds refunded by the Series 2009 Bonds
	Line 3 Total proceeds of issue	Line 3 (A), (B), (C), (D) - Amount reported on Line 3 includes investment earnings and as a result, does not agree to issue price listed on Part I Line 3(B) The original par amount of the Series 2005 Bond was \$185,000,000 The amount reported includes the \$185,000,000 par plus investment earnings \$110,000,000 was later refunded as part of the Series 2009 Bonds \$75,000,000 remain outstanding as the Series 2005 Bonds Line 3(D) The Series 2009 Bonds refunded the Series 1998 Bonds (\$43,000,000) and a portion of the Series 2005 Bonds (\$110,000,000 of \$185,000,000) This amount reported includes the original issue price of \$153,953,341 65 (including the original issue premium of \$7,388,341 65, a portion of which is amortized each year) plus investment earnings of \$44 66
	Line 5 Capitalized interest from proceeds	Line 5(A) Includes capitalized interest and swap payments
	Line 10 Capital expenditures from proceeds	Line 10(D) The Series 2009 Bonds was a refunding issue that did not fund capital expenditures
	Line 11 Other spent proceeds	Line 11(A), (B), (C), (D) Includes refunded bonds Line 11(B) Also includes capitalized line of credit fees that were not taken into account in determining the yield on the issue (per the Schedule K instructions)
	Line 13 Year of substantial completion	Line 13(C) A project financed by the Series 2007 Bonds has net been formally closed yet and is reflected as 2011 This may be revised on next year's Schedule K Line 13(D) Bonds only refunded previous issues and did not finance capital expenditures Therefore, response was left blank
	Line 16 Has the final allocation of proceeds been made?	Line 16(A), (B), (C) The University's intent upon issuance of bonds was that equity contributions would first be allocated to any potential private business use in the financed facilities Line 16(D) Bonds only refunded previous issues and did not finance capital expenditures Therefore, response was indicated as "NO "
Part III Private Business Use		Line 2(D) The Series 2009 Bonds refunded the Series 1998 and a portion of the Series 2005 Bonds Schedule K does not require consideration of the projects financed by the Series 1998 Bonds The Series 2005 Bond projects are considered and reflected in Column B of this Schedule Therefore, response was indicated as "NO " Line 3a(D) The Series 2009 Bonds refunded the Series 1998 and a portion of the Series 2005 Bonds Schedule K does not require consideration of the projects financed by the Series 1998 Bonds The Series 2005 Bond projects are considered and reflected in Column B of this Schedule Therefore, response was indicated as "NO " Line 3b(D) The Series 2009 Bonds refunded the Series 1998 and a portion of the Series 2005 Bonds Schedule K does not require consideration of the projects financed by the Series 1998 Bonds The Series 2005 Bond projects are considered and reflected in Column B of this Schedule Therefore, response was indicated as "NO " Line 3c(A), (B), (C) Internal General Counsel routinely reviews agreements External counsel is occasionally engaged to review agreements Line 3c(D) The Series 2009 Bonds refunded the Series 1998 and a portion of the Series 2005 Bonds Schedule K does not require consideration of the projects financed by the Series 1998 Bonds The Series 2005 Bond projects are considered and reflected in Column B of this Schedule Therefore, response was indicated as "NO " Line 4(A), (B), (C) The University reported the private business use percentages in Part III of Schedule K after reviewing the private business activity on campus The University's intent upon issuance of the bonds was that equity contributions would first be allocated to any potential private business use in the financed facilities The University financed a number of infrastructure/renovation projects that met the criteria as Qualified Improvement Exceptions Line 4(D) The Series 2009 Bonds refunded the Series 1998 and a portion of the Series 2005 Bonds Schedule K does not require consideration of the projects financed by the Series 1998 Bonds The Series 2005 Bond projects are considered and reflected in Column B of this Schedule Therefore, response was left blank Line 5(A), (B), (C) The University reported the private business use percentages in Part III of Schedule K after reviewing the private business activity on campus The University's intent upon issuance of the bonds was that equity contributions would first be allocated to any potential private business use in the financed facilities The University financed a number of infrastructure/renovation projects that met the criteria as Qualified Improvement Exceptions Line 5(D) The Series 2009 Bonds refunded the Series 1998 and a portion of the Series 2005 Bonds Schedule K does not require consideration of the projects financed by the Series 1998 Bonds The Series 2005 Bond projects are considered and reflected in Column B of this Schedule Therefore, response was left blank
Part IV Arbitrage		Line 3b(A) Name of provider Goldman Sachs Line 3c(A) Term of hedge 19 0 Line 3b(A) Name of provider HSBC Line 3c(A) Term of hedge 29 2 Line 3b(C) Name of provider Goldman Sachs Line 3c(C) Term of hedge 19 0 Line 3b(C) Name of provider HSBC Line 3c(C) Term of hedge 29 2 Line 4b(B) Name of provider AIG Matched Funding Corp Line 4c(B) Term of hedge 0 2 Line 4b(B) Name of provider AIG Matched Funding Corp Line 4c(B) Term of hedge 2 0

Schedule L  
(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

Transactions with Interested Persons

▶ Complete if the organization answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V lines 38a or 40b.  
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2010

Open to Public Inspection

Name of the organization

University of Notre Dame du Lac

Employer identification number

35-0868188

Part I Excess Benefit Transactions (section 501(c)(3) and section 501 (c)(4) organizations only).

Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

1	(a) Name of disqualified person	(b) Description of transaction	(c) Corrected?	
			Yes	No

2 Enter the amount of tax imposed on the organization managers or disqualified persons during the year under section 4958 . . . . . ▶ \$ \_\_\_\_\_

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization . . . . . ▶ \$ \_\_\_\_\_

Part II Loans to and/or From Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 26, or Form 990-EZ, Part V, line 38a

(a) Name of interested person and purpose	(b) Loan to or from the organization?		(c) Original principal amount	(d) Balance due	(e) In default?		(f) Approved by board or committee?		(g) Written agreement?	
	To	From			Yes	No	Yes	No	Yes	No
Total . . . . . ▶ \$ _____										

Part III Grants or Assistance Benefitting Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of grant or type of assistance
(1) See Part V	See Part V	206,919
(2) See Part V	See Part V	44,500

Part IVBusiness Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1) Pamela Burish	See Part V	36,710	See Part V		No
(2) American Electric Power Co Inc	See Part V	3,352,296	See Part V		No
(3) Reinhart FoodService LLC	See Part V	150,308	See Part V		No
(4) Jones Day	See Part V	919,795	See Part V		No
(5) Xerox Corporation	See Part V	1,602,314	See Part V		No

Part VSupplemental Information

Complete this part to provide additional information for responses to questions on Schedule L (see instructions)

Identifier	Return Reference	Explanation
Part III		(a) Name of Person N/A(b) Relationship between Interested Person and Organization Employees(c) Amount of grant \$206,919(c) Type of Assistance Qualified tuition reduction(a) Name of Person N/A(b) Relationship between Interested Person and Organization Children/siblings of Trustees(c) Amount of grant \$44,500(c) Type of Assistance Merit Scholarships
Part IV		1 (a) Pamela Burish(b) Relationship between interested person and the organization Spouse of Officer/Trustee Thomas Burish (d) Description of transaction Compensation from University to Mrs Burish as an Adjunct Instructor and Fellow for the Institute for Educational Initiatives 2 (a) American Electric Power Co , Inc (b) Relationship between interested person and the organization University Trustees Sara Martinez Tucker and Richard C Notebaert are members of the Board of Directors for American Electric Power Co , Inc , which provides power for the University campus (d) Description of transaction American Electric Power Co , Inc 's charges are determined by rates negotiated between American Electric Power Co , Inc and the State of Indiana, at arm's length These charges and the relationship between American Electric Power Co , Inc and the University are in compliance with the University's overall conflict of interest policy 3 (a) Reinhart FoodService, LLC(b) Relationship between interested person and the organization University Trustee J Christopher Reyes is an owner and co-chairman of Reyes Holdings, LLC Reinhart FoodService, LLC is a wholly-owned subsidiary of Reyes Holdings, LLC (c) Represents less than 1 percent of total University food expenditures paid(d) Description of transaction Reinhart FoodService, LLC provides food distribution services to the University These services are negotiated at arm's length and are in compliance with the University's overall conflict of interest policy 4 (a) Jones Day(b) Relationship between interested person and the organization University Trustee Stephen Brogan is the Managing Partner of Jones Day In addition, Patrick McCartan is also a University Trustee and a Senior Partner with Jones Day (d) Description of transaction Jones Day provides legal services to the University These services are negotiated at arm's length and are in compliance with the University's overall conflict of interest policy 5 (a) Xerox Corporation(b) Relationship between interested person and the organization University Trustee Richard C Notebaert is a member of the Board of Directors for Xerox Corporation (d) Description of transaction Xerox provides office equipment to the University and has an athletics sponsorship arrangement with the University as well These services are negotiated at arm's length and are in compliance with the University's overall conflict of interest policy

SCHEDULE M  
(Form 990)

Department of the Treasury  
Internal Revenue Service

NonCash Contributions

►Complete if the organization answered "Yes" on Form 990, Part IV, lines 29 or 30.  
► Attach to Form 990.

OMB No 1545-0047

2010

Open to Public Inspection

Name of the organization  
University of Notre Dame du Lac

Employer identification number  
35-0868188

Part I Types of Property

	(a) Check if applicable	(b) Number of Contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining oncash contribution amounts
1 Art—Works of art . . . .	X	43	0	
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications	X		0	
5 Clothing and household goods . . . . .				
6 Cars and other vehicles .				
7 Boats and planes . . . .				
8 Intellectual property . .				
9 Securities—Publicly traded	X	665	40,904,454	Proceeds from sale
10 Securities—Closely held stock . . . . .				
11 Securities—Partnership, LLC, or trust interests .				
12 Securities—Miscellaneous				
13 Qualified conservation contribution—Historic structures . . . . .				
14 Qualified conservation contribution—Other . .				
15 Real estate—Residential .				
16 Real estate—Commercial				
17 Real estate—Other . .				
18 Collectibles . . . . .				
19 Food inventory . . . . .	X	2	0	
20 Drugs and medical supplies				
21 Taxidermy . . . . .				
22 Historical artifacts . .				
23 Scientific specimens . .				
24 Archeological artifacts .				
25 Other ► ( <u>Equipment</u> )	X	9	0	
26 Other ► ( <u>Other</u> )	X	62	0	
27 Other ► ( <u>Jewelry</u> )	X	1	0	
28 Other ► ( <u>                    </u> )				
29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement . . . .	29			18
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period? . . . . .	30a			No
b If "Yes," describe the arrangement in Part II				
31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?	31	Yes		
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell non-cash contributions? . . . . .	32a			No
b If "Yes," describe in Part II				
33 If the organization did not report revenues in column (c) for a type of property for which column (a) is checked, describe in Part II				

Part II

**Supplemental Information.** Complete this part to provide the information required by Part I, lines 30b, 32b, and 33. Also complete this part for any additional information.

Identifier	Return Reference	Explanation
Method for Determining Number of Contributors	Part I, Column (b)	The amounts shown in Part I, Column B for "Number of Contributions" represent the total number of contributions, not the total number of items contributed
Non Reporting of Revenue	Part I, Line 33	For gifted securities, the University records the proceeds received upon liquidation, broken down between contribution (for the value at date of gift) and gain or loss (for the difference between the value at date of gift and proceeds) Outside of gifted securities, the University's policy is to record as revenues only those non-cash contributions in excess of one million dollars

**SCHEDULE O**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Information to Form 990 or 990-EZ**

**Complete to provide information for responses to specific questions on  
Form 990 or to provide any additional information.**  
**▶ Attach to Form 990 or 990-EZ.**

OMB No 1545-0047

**2010**

**Open to Public  
Inspection**

<b>Name of the organization</b> University of Notre Dame du Lac	<b>Employer identification number</b> 35-0868188
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Identifier	Return Reference	Explanation
University's Mission	Form 990, Part I, Line 1 and Part III, Line 1	The University of Notre Dame is a Catholic academic community of higher learning, animated from its origins by the Congregation of Holy Cross. The University is dedicated to the pursuit and sharing of truth for its own sake. The University seeks to cultivate in its students not only an appreciation for the great achievements of human beings, but also a disciplined sensibility to the poverty, injustice, and oppression that burden the lives of so many. The aim is to create a sense of human solidarity and concern for the common good that will bear fruit as learning becomes service to justice. This statement speaks of the University of Notre Dame as a place of teaching and research, of scholarship and publication, and of service and community. *From the mission statement of the University of Notre Dame <a href="http://nd.edu/aboutnd/mission-statement/">http://nd.edu/aboutnd/mission-statement/</a>

Identifier	Return Reference	Explanation
Form 990, Part VI, Section B, line 11		After internal review of the completed Form 990 and external review by the University's outside tax accountants (PricewaterhouseCoopers), the return is presented to the University's Tax Strategy Committee, which includes University officers (Executive Vice President, Vice President for Finance and General Counsel), the Chief Audit Executive, the Controller and members of his tax and compliance staff, and PricewaterhouseCoopers. Following this internal process, the return is presented for review to the Audit Committee of the Board of Trustees, who review the return and discuss it at a meeting prior to filing the return. Upon completion of their review, it is provided to the full Board of Trustees, also prior to the filing of the return.



Identifier	Return Reference	Explanation
	Form 990, Part VI, Section B, line 12c	<p>All faculty, staff and students who are in a position to influence or commit resources of the University of Notre Dame are considered "members" who must complete and submit on no less than an annual basis a Conflict of Interest Disclosure Survey. Such surveys are evaluated by the appropriate "Reviewer." Each member's Reviewer depends on whether they are faculty, staff, department chair, or dean, but ultimately all actual, potential, and perceived conflicts of interest are reviewed by the University Conflicts Committee. The Reviewer determines whether an actual, potential, or perceived conflict of interest exists and makes a recommendation to the University Conflicts Committee as to what conditions or restrictions, if any, should be imposed by the University to manage, reduce, or eliminate such conflicts. The Reviewer, with input from the member if needed, develops a Management Plan for submission to the University Conflicts Committee. The University Conflicts Committee then makes a decision regarding disposition of a disclosure based on the Reviewer's recommendation, the details regarding the Member's actual, potential, or perceived conflict of interest, and the proposed Management Plan. Members of the University's Board of Trustees are governed by a separate conflict of interest policy and must disclose all financial interests and material facts pertinent to any potential conflict on their annual Conflict of Interest statement (or whenever potential conflicts arise) to the Audit Committee of the Board. This policy is coordinated, administered, reviewed, and monitored by the University's internal Audit &amp; Advisory Services department. After any discussion or presentation of the financial interests and material facts to the Audit Committee, the individual must recuse himself/herself during the discussion of, and voting on, the potential conflict. The Audit Committee shall assess whether the potential conflict is reasonable and the result of arms-length negotiation conforming to the University's written policies, as well as if the action furthers the University's charitable purposes and is in the best interests of the University. The Chairman of the Audit Committee shall, if appropriate, appoint a disinterested person or Committee to investigate alternatives to the proposed transaction or arrangement. Should the Audit Committee have reason to believe a Trustee-member has failed to disclose all financial interests and material facts pertinent to a potential conflict of interest, it shall inform the individual of such, and afford the individual the opportunity to further explain all interests and facts pertinent to the potential conflict. If it is determined that an individual has not disclosed all financial interests and material facts pertinent to an actual or potential conflict of interest, corrective action shall be taken as deemed appropriate. The minutes of any meeting of the University's Board of Trustees or other governing board of the University considering a conflict of interest, including committees and sub-committees of such boards, shall include the names of those present for discussion and voting, as well as those recused from the discussion and voting, on any transaction, arrangement, relationship, or other action involving a potential conflict of interest.</p>

Identifier	Return Reference	Explanation
	Form 990, Part VI, Section B, line 15	<p>The Compensation Committee of the Board of Trustees develops, in conjunction with the President, Executive Vice President, and Vice President of Human Resources, an overall Total Reward Philosophy for Key Employees, which supports the University's overall mission, and shall recommend such Total Reward Philosophy to the Board for approval. The Committee acts on behalf of the Board to implement the executive compensation policy, approve executive compensation levels, adopt a benefit and perquisite policy, and justify reasonable compensation paid to the President, Executive Vice President, and Provost of the University, and other persons who are "disqualified persons" under Internal Revenue Code Section 4958 (intermediate sanctions). The Committee researches competitive and reasonable annual base salary levels, annual incentive plans, executive benefit plans, welfare benefits and supplemental benefits of the named executives and other key individuals. Upon completion of the research, the Committee approves the specific levels and amounts of total rewards that will be paid to, or provided for the benefit of, the named executives and other key individuals. The Committee examines the reasonableness of compensation levels. In so doing, the Committee assesses the nature and scope of the position by reviewing the basis on which compensation was paid. This includes a review of compensation for, but not limited to, exceptional performance, additional duties, and/or the unique background, experiences, personal skills and the abilities of the executive, as well as special challenges facing the University that require the use of such attributes or skills. The Committee's evaluation includes valid market data provided by an independent third party consultant that details each compensation component and the total package in light of the University's executive compensation philosophy. The Committee conducts such examination to be able to establish a presumption under Section 4958 that the total reward package offered to "disqualified persons" is reasonable.</p>

Identifier	Return Reference	Explanation
	Form 990, Part VI, Section C, line 19	<p>The University makes its governing documents (<a href="http://www.nd.edu/aboutnd/leadership/pdf/bylaws.pdf">http://www.nd.edu/aboutnd/leadership/pdf/bylaws.pdf</a>, <a href="http://www.nd.edu/aboutnd/leadership/pdf/statutes.pdf">http://www.nd.edu/aboutnd/leadership/pdf/statutes.pdf</a>) and financial statements (<a href="http://cfweb-prod.nd.edu/controller/annual-report/">http://cfweb-prod.nd.edu/controller/annual-report/</a>) available to the public on the University's website. Notre Dame makes its conflict of interest policy that applies to all employees available to the public by posting it on the University's website (<a href="http://policy.nd.edu/policy_files/ConflictofInterestPolicy.pdf">http://policy.nd.edu/policy_files/ConflictofInterestPolicy.pdf</a>). This information, as well as copies of Form 990 and Form 990-T, is also available upon request. Form 990 is also available on the charity websites Guidestar (<a href="http://www2.guidestar.org/">http://www2.guidestar.org/</a>) and Charity Navigator (<a href="http://www.charitynavigator.org/">http://www.charitynavigator.org/</a>). Notre Dame does not make its trustees' conflict of interest policy available to the public.</p>

Identifier	Return Reference	Explanation
Changes in Net Assets or Fund Balances	Form 990, Part XI, line 5	Net unrealized gain/(loss) on investments 879,774,489 Net gain/(loss) on debt-related derivative instruments 2,045,962 Change in value of split-interest agreements 6,689,844 Net pension and postretirement benefits-related changes 62,127,864 Other non-operating changes in net assets 4,215,698 Cumulative effect of change in accounting principle 1,938,019 Total to Form 990, Part XI, Line 5 956,791,876

SCHEDULE R  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.  
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2010

Open to Public Inspection

Name of the organization  
University of Notre Dame du Lac

Employer identification number  
35-0868188

Part I Identification of Disregarded Entities (Complete if the organization answered "Yes" on Form 990, Part IV, line 33.)

(a) Name, address, and EIN of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1) BCMR Feeder - TE GP LLC 518 17th Street Suite 1700 Denver, CO 802024130	Feeder Fund	DE	-63,878	189,103	N/A
(2) Dynamo Brasil III LLC Ave Ataulfo de Paiva 7th E 8 2240-0 Andares, Rio de Janeiro BR	Investments	BR	-459,000	93,358,000	N/A
(3) ND Properties I LLC Controllers Office 731 Grace Hall Notre Dame, IN 46556	Leasing	IN	446,000	15,141,806	N/A

Part II Identification of Related Tax-Exempt Organizations (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled organization	
						Yes	No
(1) Association of Notre Dame Clubs Inc  Controllers Office 731 Grace Hall  Notre Dame, IN 46556 31-1050144	Alumni Association	IN	501(c)(3)	Line 11c, III-FI	University of Notre Dame du Lac	Yes	
(2) Fundacion University of Notre Dame Chile  Av Vicuna Mackenna 4860 Macul Pr Internacionales, Santiago CI	University Study Abroad Program	CI	N/A	N/A	University of Notre Dame du Lac	Yes	
(3) Innovation Park at Notre Dame Inc  Controllers Office 804 Grace Hall  Notre Dame, IN 46556 94-3445005	Research Park	IN	501(c)(3)	Line 11a, I	University of Notre Dame du Lac	Yes	
(4) The University of Notre Dame (USA) in England  1 Suffolk Street London SW1Y 4HG UK	University Study Abroad Program	UK	N/A	N/A	University of Notre Dame du Lac	Yes	
(5) University of Notre Dame du Lac Gillen Foundation  Controllers Office 731 Grace Hall  Notre Dame, IN 46556 23-7125378	Research Facility Operations	MI	501(c)(3)	Line 11a, I	University of Notre Dame du Lac	Yes	
(6) Voice of the Fighting Irish Inc  Controllers Office 731 Grace Hall  Notre Dame, IN 46556 31-1135580	Radio Station	IN	501(c)(3)	Line 11a, I	University of Notre Dame du Lac	Yes	

Part III

Identification of Related Organizations Taxable as a Partnership

(Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512- 514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	
(1) IPND Leverage Lender LLC  Controllers Office 731 Grace Hall Notre Dame, IN46556 27-1678084	Finance	IN	N/A	Investment	418,079	12,865,260		No			No	99 900 %
(2) Magna NYC Co- Investment LP  300 Centerville Rd Suite 300 East Warwick, RI02886 26-1523052	Real Estate Investment	DE	N/A	Investment	389,948	45,548,865		No			No	88 200 %
(3) Ment Energy Partners VIII LP  13727 Noel Rd Suite 500 Dallas, TX75240 75-2530212	Direct Energy Investments	DE	N/A	Investment	30,585,442	85,668,752		No			No	82 900 %
(4) Qiming II Investor Holdings LLC  11400 SE 6th Street Suite 100 Bellevue, WA98004 27-0464551	Investments	WA	N/A	Investment	531,977	3,093,964		No			No	100 000 %
(5) Santa Fe Interests LLC  300 Park Blvd Suite 500 Itasca, IL60143 20-3977995	Rental Real Estate	DE	N/A	Investment	1,361,204	51,763,938		No			No	90 000 %
(6) SSGA US GovernmentCredit Bond Index NL QP CTF  One Lincoln Street Boston, MA02111 27-6126714	Common Trust Fund	MA	N/A	Investment	5,631,767			No			No	0 %
(7) Thor Palmer House Co- Investor LP  25 West 39th Street New York, NY10018 20-3283109	Real Estate Investment	DE	N/A	Investment	-1,611,632	52,393,776		No			No	68 700 %

Part IV

Identification of Related Organizations Taxable as a Corporation or Trust

(Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership
See Additional Data Table							

Part V

Transactions With Related Organizations (Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35, 35A, or 36.)

Note. Complete line 1 if any entity is listed in Parts II, III or IV

1

During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

a

Receipt of (i) interest (ii) annuities (iii) royalties (iv) rent from a controlled entity

b

Gift, grant, or capital contribution to other organization(s)

c

Gift, grant, or capital contribution from other organization(s)

d

Loans or loan guarantees to or for other organization(s)

e

Loans or loan guarantees by other organization(s)

f

Sale of assets to other organization(s)

g

Purchase of assets from other organization(s)

h

Exchange of assets

i

Lease of facilities, equipment, or other assets to other organization(s)

j

Lease of facilities, equipment, or other assets from other organization(s)

k

Performance of services or membership or fundraising solicitations for other organization(s)

l

Performance of services or membership or fundraising solicitations by other organization(s)

m

Sharing of facilities, equipment, mailing lists, or other assets

n

Sharing of paid employees

o

Reimbursement paid to other organization for expenses

p

Reimbursement paid by other organization for expenses

q

Other transfer of cash or property to other organization(s)

r

Other transfer of cash or property from other organization(s)

Yes

No

1a

1b

1c

1d

1e

1f

1g

1h

1i

1j

1k

1l

1m

1n

1o

1p

1q

1r

No

No

No

No

No

No

No

No

Yes

Yes

Yes

Yes

No

Yes

No

No

No

Yes

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

(a) Name of other organization	(b) Transaction type(a-r)	(c) Amount involved	(d) Method of determining amount involved
(1) See Additional Data Table			
(2)			
(3)			
(4)			
(5)			
(6)			

Schedule R (Form 990) 2010

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

[illegible]



**Part VII**   **Supplemental Information**

Complete this part to provide additional information for responses to questions on Schedule R (see instructions)

Identifier	Return Reference	Explanation
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Software ID:  
Software Version:  
EIN: 35-0868188  
Name: University of Notre Dame du Lac

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512 (b)(13) controlled organization	
						Yes	No
Association of Notre Dame Clubs Inc  Controlllers Office 731 Grace Hall Notre Dame, IN46556 31-1050144	Alumni Association	IN	501(c)(3)	Line 11c, III-FI	University of Notre Dame du Lac	Yes	
Fundacion University of Notre Dame Chile  Av Vicuna Mackenna 4860 Macul Pr Internacionales, Santiago CI	University Study Abroad Program	CI	N/A	N/A	University of Notre Dame du Lac	Yes	
Innovation Park at Notre Dame Inc  Controlllers Office 804 Grace Hall Notre Dame, IN46556 94-3445005	Research Park	IN	501(c)(3)	Line 11a, I	University of Notre Dame du Lac	Yes	
The University of Notre Dame (USA ) in England  1 Suffolk Street London SW1Y 4HG UK	University Study Abroad Program	UK	N/A	N/A	University of Notre Dame du Lac	Yes	
University of Notre Dame du Lac Gillen Foundation  Controlllers Office 731 Grace Hall Notre Dame, IN46556 23-7125378	Research Facility Operations	MI	501(c)(3)	Line 11a, I	University of Notre Dame du Lac	Yes	
Voice of the Fighting Irish Inc  Controlllers Office 731 Grace Hall Notre Dame, IN46556 31-1135580	Radio Station	IN	501(c)(3)	Line 11a, I	University of Notre Dame du Lac	Yes	

Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income (\$)	(g) Share of end-of-year assets (\$)	(h) Dispropportionate allocations?		(i) Code V-UBI amount on Box 20 of K-1 (\$)	(j) General or Managing Partner?		(k) Percentage ownership
							Yes	No		Yes	No	
IPND Leverage Lender LLC  Controllars Office 731 Grace Hall Notre Dame, IN46556 27-1678084	Finance	IN	N/A	Investment	418,079	12,865,260		No			No	99 900 %
Magna NYC Co-Investment LP  300 Centerville Rd Suite 300 East Warwick, RI02886 26-1523052	Real Estate Investment	DE	N/A	Investment	389,948	45,548,865		No			No	88 200 %
Merit Energy Partners VIII LP  13727 Noel Rd Suite 500 Dallas, TX75240 75-2530212	Direct Energy Investments	DE	N/A	Investment	30,585,442	85,668,752		No			No	82 900 %
Qiming II Investor Holdings LLC  11400 SE 6th Street Suite 100 Bellevue, WA98004 27-0464551	Investments	WA	N/A	Investment	531,977	3,093,964		No			No	100 000 %
Santa Fe Interests LLC  300 Park Blvd Suite 500 Itasca, IL60143 20-3977995	Rental Real Estate	DE	N/A	Investment	1,361,204	51,763,938		No			No	90 000 %
SSGA US GovernmentCredit Bond Index NL QP CTF  One Lincoln Street Boston, MA02111 27-6126714	Common Trust Fund	MA	N/A	Investment	5,631,767			No			No	0 %
Thor Palmer House Co-Investor LP  25 West 39th Street New York, NY10018 20-3283109	Real Estate Investment	DE	N/A	Investment	-1,611,632	52,393,776		No			No	68 700 %

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income (\$)	(g) Share of end-of-year assets (\$)	(h) Percentage ownership
BCMR Feeder - TE LP 518 17th Street Suite 1700 Denver, CO 80202 98-0582629	Feeder Fund	CA	N/A	C	-632,393	1,872,115	99 000 %
Bradley-DeBartolo Inc 731 Grace Hall Notre Dame, IN 46556 34-1765932	Real Estate Owner/Lessor	OH	N/A	C	-161,137	3,485,860	100 000 %
India Equity Growth Fund (Investors) Limited 65 Chulia Street 39-08 O CBC Centr 049513 SN	Investments	MP	N/A	C	-2,411,672	79,317,168	76 500 %
Kingdom Ridge Capital Fund Ltd 81 Main Street Suite 209 White Plains, NY 10601	Investments	CJ	N/A	C	-4,039,403	97,111,137	69 900 %
Tegean Offshore Fund Ltd Two Grand Central Tower 140 E 45th New York, NY 100177157	Feeder Fund	CJ	N/A	C	9,418,891	80,915,657	88 600 %
TDR Capital II C Holdings LP 50 Lothian Rd Festival Square Edinburgh EH3 9WJ UK 98-0648852	Investments	UK	N/A	C	92,387	19,226,113	100 000 %
Sorin Management Limited c/o Apex Fund Services Ltd 4th Fl CyberCity, Ebene MP	Investments	MP	N/A	C			100 000 %
298 Charitable Remainder Unitrusts	UND is trustee and remainder beneficiary of 298 CRTs	IN		T			

Form 990, Schedule R, Part V - Transactions With Related Organizations

(a) Name of other organization		(b) Transaction type(a-r)	(c) Amount Involved (\$)	(d) Method of determining amount involved
(1)	BCMR Feeder - TE LP	B	5,396,224	Funding Provided
(2)	Fundacion University of Notre Dame Chile	B	311,250	Cash Transfer
(3)	Innovation Park at Notre Dame Inc	B	1,011,649	Expenses Incurred
(4)	Innovation Park at Notre Dame Inc	I	162,400	Revenue Earned
(5)	Innovation Park at Notre Dame Inc	K	100,000	Revenue Earned
(6)	IPND Leverage Lender LLC	B	682,676	Funding Provided
(7)	Magna NYC Co-Investment LP	B	226,669	Funding Provided
(8)	Merit Energy Partners VIII LP	B	7,920,000	Funding Provided
(9)	Merit Energy Partners VIII LP	R	18,526,165	Amount Received
(10)	Qiming II Investor Holdings LLC	B	1,650,000	Funding Provided
(11)	Qiming II Investor Holdings LLC	R	810,353	Amount Received
(12)	Santa Fe Interests LLC	J	369,790	Expenses Incurred
(13)	Santa Fe Interests LLC	R	900,000	Amount Received
(14)	SSGA US GovernmentCredit Bond Index NL QP CTF	B	156,390,935	Funding Provided
(15)	SSGA US GovernmentCredit Bond Index NL QP CTF	R	131,131,499	Amount Received
(16)	TDR Capital II C LP	B	4,069,406	Funding Provided
(17)	Tegean Offshore Fund Ltd	R	10,000,000	Amount Received
(18)	The University of Notre Dame (USA ) in England	B	4,634,534	Funding Provided
(19)	Thor Palmer House Co-Investor LP	B	900,000	Funding Provided
(20)	University of Notre Dame Gillen Foundation	B	676,504	Funding Provided
(21)	Voice of the Fighting Irish Inc	B	79,639	Funding Provided