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Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response to any question in this Part III

☒ X

- 1 Briefly describe the organization's mission:

THE NEW YORK FOUNDATION FOR THE ARTS' (NYFA) MISSION IS TO EMPOWER ARTISTS AT CRITICAL STAGES IN THEIR CREATIVE LIVES. NYFA ACCOMPLISHES THIS BY OFFERING FINANCIAL ASSISTANCE AND INFORMATION TO ARTISTS AND ORGANIZATIONS THAT DIRECTLY SERVE ARTISTS, BY SUPPORTING ARTS

- 2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

☐ Yes ☒ No

If "Yes," describe these new services on Schedule O.

- 3 Did the organization cease conducting, or make significant changes in how it conducts, any program services?

☐ Yes ☒ No

If "Yes," describe these changes on Schedule O

- 4 Describe the exempt purpose achievements for each of the organization's three largest program services by expenses.

Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

- 4a (Code:) (Expenses \$
- 3,153,740.
- including grants of \$
- 2,861,372.
-) (Revenue \$
- 294,683.
-)

FISCAL SPONSORSHIP:

SINCE 1976, NYFA HAS PROVIDED FISCAL SPONSORSHIP TO INDIVIDUAL ARTISTS AND EMERGING ORGANIZATIONS, MAKING IT THE OLDEST PROGRAM OF ITS KIND IN THE COUNTRY. IT MAKES IT POSSIBLE FOR INDIVIDUALS AND ORGANIZATIONS WITHOUT NOT-FOR-PROFIT STATUS TO RAISE FUNDS FOR UPCOMING FILMS, THEATRICAL PERFORMANCES, ART INSTALLATIONS AND OTHER PROJECTS.

- 4b (Code:) (Expenses \$
- 1,809,237.
- including grants of \$
- 1,427,293.
-) (Revenue \$)

ARTIST FELLOWSHIPS:

IN 1984, NYFA WAS SELECTED BY THE NEW YORK STATE COUNCIL ON THE ARTS TO ADMINISTER THE STATE'S ARTISTS' FELLOWSHIPS. THE PROGRAM HAS SINCE EXPANDED TO AWARD DIRECT GRANTS OF \$7,000 EACH TO APPROXIMATELY 100 NEW YORK STATE ARTISTS EACH YEAR. THESE FELLOWSHIPS ARE ARTIST-CENTERED AND ALLOW ARTISTS THE FREEDOM TO USE THE GRANT IN WHATEVER MANNER WILL HAVE THE GREATEST IMPACT ON THEIR CREATIVE LIVES. THE AWARDS ARE MADE IN 16 DIFFERENT DISCIPLINES.

- 4c (Code:) (Expenses \$
- 2,671,096.
- including grants of \$
- 2,533,800.
-) (Revenue \$)

NEW YORK CITY THEATER PROGRAM:

THE ANDREW W. MELLON NEW YORK CITY THEATER PROGRAM HAS AWARDED OVER \$2.5 MILLION IN GRANTS TO 34 SMALL AND MID-SIZED THEATER COMPANIES WITH BUDGETS UNDER \$3.5 MILLION. THE AWARDS CAN BE USED FOR EITHER CORE OR PROJECT SUPPORT.

- 4d Other program services (Describe in Schedule O.)

(Expenses \$ 713,015. including grants of \$) (Revenue \$ 466,424.)

- 4e Total program service expenses
- 8,347,088.**

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	X	
2 Is the organization required to complete Schedule B, Schedule of Contributors?	X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I		X
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II		X
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III		
6 Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I		X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III		X
9 Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV		X
10 Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? If "Yes," complete Schedule D, Part V	X	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI	X	
b Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII		X
c Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII		X
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX		X
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	X	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	X	
12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI, XII, and XIII	X	
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional		X
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E		X
14a Did the organization maintain an office, employees, or agents outside of the United States?		X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the United States? If "Yes," complete Schedule F, Parts I and IV	X	
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? If "Yes," complete Schedule F, Parts II and IV		X
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? If "Yes," complete Schedule F, Parts III and IV	X	
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I		X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	X	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III		X
20a Did the organization operate one or more hospitals? If "Yes," complete Schedule H		X
b If "Yes" to line 20a, did the organization attach its audited financial statements to this return? Note. Some Form 990 filers that operate one or more hospitals must attach audited financial statements (see instructions)		

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Part IV Checklist of Required Schedules (continued)

	Yes	No
21 Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	X	
22 Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	X	
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J	X	
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25		X
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I		X
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I		X
26 Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? If "Yes," complete Schedule L, Part II		X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? If "Yes," complete Schedule L, Part III		X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV		X
b A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV		X
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV		X
29 Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	X	
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I		X
34 Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1		X
35 Is any related organization a controlled entity within the meaning of section 512(b)(13)?		X
a Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19?	X	

Note. All Form 990 filers are required to complete Schedule O

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Part V Statements Regarding Other IRS Filings and Tax ComplianceCheck if Schedule O contains a response to any question in this Part V ☐

	Yes	No
1a Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable		
1b Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable		
1c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?		
2a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return		
2b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	X	
3a Did the organization have unrelated business gross income of \$1,000 or more during the year?		X
3b If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O		
4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		X
4b If "Yes," enter the name of the foreign country: _____ See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts		
5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		X
5b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		X
5c If "Yes," to line 5a or 5b, did the organization file Form 8886-T?		
6a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible?		X
6b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		
7 Organizations that may receive deductible contributions under section 170(c).		
a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	X	
b If "Yes," did the organization notify the donor of the value of the goods or services provided?	X	
c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		X
d If "Yes," indicate the number of Forms 8282 filed during the year		
e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		X
f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		X
g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?		
h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?		
8 Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?		
9 Sponsoring organizations maintaining donor advised funds.		
a Did the organization make any taxable distributions under section 4966?		
b Did the organization make a distribution to a donor, donor advisor, or related person?		
10 Section 501(c)(7) organizations. Enter:		
a Initiation fees and capital contributions included on Part VIII, line 12		
b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities		
11 Section 501(c)(12) organizations. Enter:		
a Gross income from members or shareholders		
b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)		
12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?		
b If "Yes," enter the amount of tax-exempt interest received or accrued during the year		
13 Section 501(c)(29) qualified nonprofit health insurance issuers.		
a Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O		
b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans		
c Enter the amount of reserves on hand		
14a Did the organization receive any payments for indoor tanning services during the tax year?		X
b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O		

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Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response to any question in this Part VI

☒**Section A. Governing Body and Management**

	Yes	No
1a Enter the number of voting members of the governing body at the end of the tax year	1a	20
b Enter the number of voting members included in line 1a, above, who are independent	1b	20
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	X
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3	X
4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4	X
5 Did the organization become aware during the year of a significant diversion of the organization's assets?	5	X
6 Does the organization have members or stockholders?	6	X
7a Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?	7a	X
b Are any decisions of the governing body subject to approval by members, stockholders, or other persons?	7b	X
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
a The governing body?	8a	X
b Each committee with authority to act on behalf of the governing body?	8b	X
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9	X

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
10a Does the organization have local chapters, branches, or affiliates?	10a	X
b If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?	10b	
11a Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?	11a	X
b Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12a Does the organization have a written conflict of interest policy? If "No," go to line 13	12a	X
b Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	X
c Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done	12c	X
13 Does the organization have a written whistleblower policy?	13	X
14 Does the organization have a written document retention and destruction policy?	14	X
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a The organization's CEO, Executive Director, or top management official	15a	X
b Other officers or key employees of the organization	15b	X
If "Yes" to line 15a or 15b, describe the process in Schedule O. (See instructions.)		
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	X
b If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	16b	

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed **► NY**

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you make these available. Check all that apply.
☐ Own website ☒ Another's website ☒ Upon request

19 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public.

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: **►**
MICHAEL ROYCE - 212-366-6900
20 JAY STREET, SUITE 740, BROOKLYN, NY 11201

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent ContractorsCheck if Schedule O contains a response to any question in this Part VII ☐**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.

- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."

- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations

- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.

- List all of the organization's **former** directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
J. WHITNEY STEVENS CHAIR	10.00	X		X				0.	0.	0.
HOWARD ROTHMAN VICE CHAIR	10.00	X		X				0.	0.	0.
JOHN. F. WILLIAMS II TREASURER	10.00	X		X				0.	0.	0.
MARGRET LOUIS SECRETARY	5.00	X		X				0.	0.	0.
KATHLEEN O'GRADY BOARD MEMBER	10.00	X						0.	0.	0.
MARGARET C. AYERS BOARD MEMBER	2.00	X						0.	0.	0.
MARNIE BLACK BOARD MEMBER	1.00	X						0.	0.	0.
JUDITH K. BRODSKY BOARD MEMBER	2.00	X						0.	0.	0.
ANITA DURST BOARD MEMBER	2.00	X						0.	0.	0.
PATRICIA ERICKSON BOARD MEMBER	1.00	X						0.	0.	0.
MASON GRANGER BOARD MEMBER	1.00	X						0.	0.	0.
KARL KELLNER BOARD MEMBER	2.00	X						0.	0.	0.
NATHAN LYONS BOARD MEMBER	1.00	X						0.	0.	0.
MARJORIE W. MARTAY BOARD MEMBER	1.00	X						0.	0.	0.
DAVID S.E. NOBLE BOARD MEMBER	1.00	X						0.	0.	0.
PAULANNE QUEEN BOARD MEMBER	1.00	X						0.	0.	0.
CAROL ROSS BOARD MEMBER	2.00	X						0.	0.	0.

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
DAVE SCHROEDER BOARD MEMBER	2.00	X						0.	0.	0.
MARJORIE CROES SILVERMAN BOARD MEMBER	1.00	X						0.	0.	0.
GEOFF VULETTA BOARD MEMBER	1.00	X						0.	0.	0.
SHANA C. WATERMAN BOARD MEMBER	2.00	X						0.	0.	0.
ELIZABETH YEE BOARD MEMBER	1.00	X						0.	0.	0.
MICHAEL ROYCE EXECUTIVE DIRECTOR	50.00			X				239,163.	0.	10,046.
SEBI VITALE DIRECTOR OF FINANCE	50.00			X				73,620.	0.	0.
MARK ROSSIER DEPUTY DIRECTOR	50.00					X		109,035.	0.	6,011.
SUSAN BALL DIRECTOR OF PROGRAMS	50.00					X		106,891.	0.	3,985.
1b Sub-total								528,709.	0.	20,042.
c Total from continuation sheets to Part VII, Section A								0.	0.	0.
d Total (add lines 1b and 1c)								528,709.	0.	20,042.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 in reportable compensation from the organization **3**

	Yes	No
3 Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization

(A) Name and business address	(B) Description of services	(C) Compensation
TIPPING GARDNER, 270 LAFAYETTE STREET, SUITE 506, NEW YORK, NY 10012	NEW WEBSITE DEVELOPMENT	141,550.

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization **1**

Part VIII Statement of Revenue

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, gifts, grants and other similar amounts	1 a Federated campaigns	1a					
	b Membership dues	1b					
	c Fundraising events	1c	209,981.				
	d Related organizations	1d					
	e Government grants (contributions)	1e	1,519,422.				
	f All other contributions, gifts, grants, and similar amounts not included above	1f	7,713,182.				
	g Noncash contributions included in lines 1a-1f \$		357,816.				
	h Total. Add lines 1a-1f			9,442,585.			
Program Service Revenue	2 a <u>ARTIST SERVICE INCOME</u>	Business Code	561499	430,413.	430,413.		
	b <u>ADMINISTRATIVE FEES</u>		561000	330,694.	330,694.		
	c						
	d						
	e						
	f All other program service revenue						
	g Total. Add lines 2a-2f			761,107.			
	Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)			15,005.		
4 Income from investment of tax-exempt bond proceeds							
5 Royalties							
6 a Gross Rents		(i) Real	43,534.				
b Less: rental expenses		(ii) Personal					
c Rental income or (loss)			43,534.				
d Net rental income or (loss)				43,534.			43,534.
7 a Gross amount from sales of assets other than inventory		(i) Securities	702,429.				
b Less: cost or other basis and sales expenses		(ii) Other	702,232.				
c Gain or (loss)			197.				
d Net gain or (loss)				197.			197.
8 a Gross income from fundraising events (not including \$ 209,981. of contributions reported on line 1c). See Part IV, line 18		a	45,440.				
b Less: direct expenses		b	45,440.				
c Net income or (loss) from fundraising events				0.			
9 a Gross income from gaming activities. See Part IV, line 19		a					
b Less: direct expenses		b					
c Net income or (loss) from gaming activities							
10 a Gross sales of inventory, less returns and allowances		a					
b Less: cost of goods sold	b						
c Net income or (loss) from sales of inventory							
Miscellaneous Revenue			Business Code				
11 a <u>MISCELLANEOUS</u>		900099	26,015.			26,015.	
b							
c							
d All other revenue							
e Total. Add lines 11a-11d			26,015.				
12 Total revenue. See instructions.			10288443.	761,107.	0.	84,751.	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns

All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the U.S. See Part IV, line 21	3,345,044.	3,345,044.		
2 Grants and other assistance to individuals in the U.S. See Part IV, line 22	3,397,001.	3,397,001.		
3 Grants and other assistance to governments, organizations, and individuals outside the U.S. See Part IV, lines 15 and 16	80,420.	80,420.		
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	373,135.	82,145.	144,720.	146,270.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	1,119,137.	811,615.	168,814.	138,708.
8 Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	17,096.	13,505.	3,115.	476.
9 Other employee benefits	110,318.	66,870.	22,057.	21,391.
10 Payroll taxes	119,982.	71,990.	25,195.	22,797.
11 Fees for services (non-employees)				
a Management				
b Legal				
c Accounting	36,642.	23,102.	5,177.	8,363.
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees				
g Other				
12 Advertising and promotion	2,700.	5.	2,695.	
13 Office expenses	35,784.	22,779.	5,830.	7,175.
14 Information technology				
15 Royalties				
16 Occupancy	286,030.	161,860.	65,648.	58,522.
17 Travel	50,500.	29,034.	21,013.	453.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	24,188.	623.	23,565.	
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	182,881.	115,269.	25,898.	41,714.
23 Insurance	17,489.	11,024.	2,471.	3,994.
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24f. If line 24f amount exceeds 10% of line 25, column (A) amount, list line 24f expenses on Schedule O.)				
a <u>EQUIPMENT RENTAL/REPAIR</u>	87,537.	52,491.	19,870.	15,176.
b <u>PROJECT PRODUCTION COST</u>	61,812.	35,073.		26,739.
c <u>CC & BANK CHARGES</u>	23,908.	12,954.	7,219.	3,735.
d <u>HOSPITALITY</u>	12,289.	6,292.	4,524.	1,473.
e <u>PROFESSIONAL DEVELOPMEN</u>	5,854.	435.	3,109.	2,310.
f All other expenses	27,851.	7,557.	15,045.	5,249.
25 Total functional expenses. Add lines 1 through 24f	9,417,598.	8,347,088.	565,965.	504,545.
26 Joint costs. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720). Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X Balance Sheet

		(A) Beginning of year		(B) End of year
Assets	1 Cash - non-interest-bearing	148.	1	928,716.
	2 Savings and temporary cash investments	1,441,434.	2	2,062,611.
	3 Pledges and grants receivable, net	227,035.	3	416,360.
	4 Accounts receivable, net		4	
	5 Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		5	
	6 Receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions)		6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use		8	
	9 Prepaid expenses and deferred charges	6,028.	9	24,766.
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 1,294,045.		
	b Less: accumulated depreciation	10b 544,995.	10c	749,050.
	11 Investments - publicly traded securities	1,781,167.	11	1,557,379.
	12 Investments - other securities. See Part IV, line 11		12	
	13 Investments - program-related. See Part IV, line 11		13	
	14 Intangible assets		14	
	15 Other assets. See Part IV, line 11	37,478.	15	37,478.
16 Total assets. Add lines 1 through 15 (must equal line 34)	4,199,380.	16	5,776,360.	
Liabilities	17 Accounts payable and accrued expenses	130,911.	17	137,445.
	18 Grants payable	227,047.	18	891,861.
	19 Deferred revenue		19	
	20 Tax-exempt bond liabilities		20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22 Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23 Secured mortgages and notes payable to unrelated third parties		23	
	24 Unsecured notes and loans payable to unrelated third parties		24	
	25 Other liabilities. Complete Part X of Schedule D	293,357.	25	328,247.
	26 Total liabilities. Add lines 17 through 25	651,315.	26	1,357,553.
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets	1,048,115.	27	923,850.
	28 Temporarily restricted net assets	1,912,862.	28	2,797,869.
	29 Permanently restricted net assets	587,088.	29	697,088.
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building, or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds		32	
	33 Total net assets or fund balances	3,548,065.	33	4,418,807.
	34 Total liabilities and net assets/fund balances	4,199,380.	34	5,776,360.

Form 990 (2010)

Part XI Reconciliation of Net AssetsCheck if Schedule O contains a response to any question in this Part XI ☒

1	Total revenue (must equal Part VIII, column (A), line 12)	1	10,288,443.
2	Total expenses (must equal Part IX, column (A), line 25)	2	9,417,598.
3	Revenue less expenses. Subtract line 2 from line 1	3	870,845.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	3,548,065.
5	Other changes in net assets or fund balances (explain in Schedule O)	5	-103.
6	Net assets or fund balances at end of year. Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B))	6	4,418,807.

Part XII Financial Statements and ReportingCheck if Schedule O contains a response to any question in this Part XII ☐

- 1 Accounting method used to prepare the Form 990. ☐ Cash ☒ Accrual ☐ Other _____
If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.
- 2a Were the organization's financial statements compiled or reviewed by an independent accountant?
- b Were the organization's financial statements audited by an independent accountant?
- c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?
If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.
- d If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both.
☒ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis
- 3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?
- b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.

	Yes	No
2a		X
2b	X	
2c	X	
3a		X
3b		

Form 990 (2010)

Department of the Treasury
Internal Revenue Service

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No. 1545-0047

2010

**Open to Public
Inspection**

Name of the organization

NEW YORK FOUNDATION FOR THE ARTS, INC.

Employer identification number

23-7129564

Part I	Reason for Public Charity Status (All organizations must complete this part) See instructions.
--------	---

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state: _____

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II)

9 ☐ An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III)

10 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a ☐ Type I b ☐ Type II c ☐ Type III - Functionally integrated d ☐ Type III - Other

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).

f ☐ If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box _____

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? _____

(ii) A family member of a person described in (i) above? _____

(iii) A 35% controlled entity of a person described in (i) or (ii) above? _____

h Provide the following information about the supported organization(s).

[illegible]

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2010

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	9058067.	10531801.	7557905.	6501377.	9442585.	43091735.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3	9058067.	10531801.	7557905.	6501377.	9442585.	43091735.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						7882638.
6 Public support. Subtract line 5 from line 4						35209097.

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
7 Amounts from line 4	9058067.	10531801.	7557905.	6501377.	9442585.	43091735.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	232,491.	333,611.	169,544.	17,448.	58,539.	811,633.
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets. (Explain in Part IV.)	541.	32,485.	40,872.	55,512.	26,015.	155,425.
11 Total support. Add lines 7 through 10						44058793.
12 Gross receipts from related activities, etc. (see instructions)					12	3,663,670.
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here <input type="checkbox"/>						

Section C. Computation of Public Support Percentage

14 Public support percentage for 2010 (line 6, column (f) divided by line 11, column (f))	14	79.91 %
15 Public support percentage from 2009 Schedule A, Part II, line 14	15	86.98 %
16a 33 1/3% support test - 2010. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization <input checked="" type="checkbox"/>		
b 33 1/3% support test - 2009. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
17a 10% -facts-and-circumstances test - 2010. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
b 10% -facts-and-circumstances test - 2009. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions <input type="checkbox"/>		

Schedule A (Form 990 or 990-EZ) 2010

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support. (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
13 Total support. (Add lines 9, 10c, 11, and 12)						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here <input type="checkbox"/>						

Section C. Computation of Public Support Percentage

15 Public support percentage for 2010 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2009 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2010 (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from 2009 Schedule A, Part III, line 17	18	%

19a 33 1/3% support tests - 2010. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ☐

b 33 1/3% support tests - 2009. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ☐

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ☐

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

- ▶ Complete if the organization answered "Yes," to Form 990,
Part IV, line 6, 7, 8, 9, 10, 11, or 12.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No. 1545-0047

2010

Open to Public
Inspection

Name of the organization

NEW YORK FOUNDATION FOR THE ARTS, INC.

Employer identification number

23-7129564

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate contributions to (during year)		
3 Aggregate grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?		<input type="checkbox"/> Yes <input type="checkbox"/> No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?		<input type="checkbox"/> Yes <input type="checkbox"/> No

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

<input type="checkbox"/> Preservation of land for public use (e.g., recreation or education)	<input type="checkbox"/> Preservation of an historically important land area
<input type="checkbox"/> Protection of natural habitat	<input type="checkbox"/> Preservation of a certified historic structure
<input type="checkbox"/> Preservation of open space	

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶

4 Number of states where property subject to conservation easement is located ▶

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 8

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1	▶ \$
(ii) Assets included in Form 990, Part X	▶ \$

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items.

a Revenues included in Form 990, Part VIII, line 1	▶ \$
b Assets included in Form 990, Part X	▶ \$

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

- a ☐ Public exhibition d ☐ Loan or exchange programs
 b ☐ Scholarly research e ☐ Other _____
 c ☐ Preservation for future generations

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV and complete the following table:

	Amount
c Beginning balance	1c
d Additions during the year	1d
e Distributions during the year	1e
f Ending balance	1f

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	507,165.	763,483.	929,506.		
b Contributions	118,311.	1,043.			
c Net investment earnings, gains, and losses	3,836.	4,139.	-161,523.		
d Grants or scholarships	11,000.	11,500.	4,500.		
e Other expenditures for facilities and programs					
f Administrative expenses		250,000.			
g End of year balance	618,312.	507,165.	763,483.		

2 Provide the estimated percentage of the year end balance held as:

- a Board designated or quasi-endowment ☐ %
 b Permanent endowment ☒ 100.00 %
 c Term endowment ☐ %

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

- (i) unrelated organizations
 (ii) related organizations

	Yes	No
3a(i)		X
3a(ii)		X
3b		

b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

4 Describe in Part XIV the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment. See Form 990, Part X, line 10

Description of investment	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		602,142.	142,157.	459,985.
d Equipment		691,903.	402,838.	289,065.
e Other				
Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c).)				749,050.

Schedule D (Form 990) 2010

Part VII Investments - Other Securities. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation. Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
(I)		

Total. (Col (b) must equal Form 990, Part X, col (B) line 12.) ▶**Part VIII Investments - Program Related.** See Form 990, Part X, line 13

(a) Description of investment type	(b) Book value	(c) Method of valuation. Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		

Total. (Col (b) must equal Form 990, Part X, col (B) line 13.) ▶**Part IX Other Assets.** See Form 990, Part X, line 15

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	

Total. (Column (b) must equal Form 990, Part X, col (B) line 15) ▶**Part X Other Liabilities.** See Form 990, Part X, line 25

1. (a) Description of liability	(b) Amount
(1) Federal income taxes	
(2) DUE TO SPONSORED EMERGING ORGS.	233,718.
(3) DEFERRED RENT	94,529.
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
(11)	
Total. (Column (b) must equal Form 990, Part X, col (B) line 25) ▶	328,247.

FIN 48 (ASC 740) Footnote. In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740).

Part XI Reconciliation of Change in Net Assets from Form 990 to Audited Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	10,288,443.
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	9,417,598.
3	Excess or (deficit) for the year. Subtract line 2 from line 1	3	870,845.
4	Net unrealized gains (losses) on investments	4	-103.
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV.)	8	
9	Total adjustments (net). Add lines 4 through 8	9	-103.
10	Excess or (deficit) for the year per audited financial statements. Combine lines 3 and 9	10	870,742.

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements	1	10,288,340.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains on investments	2a	-103.
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIV.)	2d	
e	Add lines 2a through 2d	2e	-103.
3	Subtract line 2e from line 1	3	10,288,443.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV.)	4b	
c	Add lines 4a and 4b	4c	0.
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	5	10,288,443.

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements	1	9,417,598.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIV.)	2d	
e	Add lines 2a through 2d	2e	0.
3	Subtract line 2e from line 1	3	9,417,598.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV.)	4b	
c	Add lines 4a and 4b	4c	0.
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)	5	9,417,598.

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4; Part X, line 2, Part XI, line 8, Part XII, lines 2d and 4b; and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information.

PART V, LINE 4: NYFA'S ENDOWMENT FUNDS ARE AS FOLLOWS:**1. GERI ASHUR AWARD FUND**

THIS FUND SUPPORTS A BIENNIAL AWARD IN SCREENWRITING.

2. CONCORDIA FOUNDATION CAREER ADVANCEMENT AWARD FUND

THIS FUND SUPPORTS ANNUAL AWARDS TO ADVANCE ARTISTS' CAREERS.

3. THE BUDDY FELLOWSHIP FUND

THIS FUND SUPPORTS ANNUAL AWARDS TO A FEMALE ARTIST.

4. THE CANADIAN WOMEN'S CLUB

Part XIV Supplemental Information (continued)

THIS FUND SUPPORTS ANNUAL AWARDS TO A FEMALE CANADIAN CITIZEN ATTENDING AN EDUCATIONAL INSTITUTION FULL-TIME IN THE NEW YORK TRI-STATE AREA.

PART X, LINE 2: NYFA IS A NOT-FOR-PROFIT ORGANIZATION EXEMPT FROM FEDERAL INCOME TAXES UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE AND HAS BEEN DESIGNATED AS AN ORGANIZATION WHICH IS NOT A PRIVATE FOUNDATION. MANAGEMENT HAS EVALUATED ALL INCOME TAX POSITIONS AND CONCLUDED THAT NO DISCLOSURES RELATING TO UNCERTAIN POSITIONS WERE REQUIRED IN THE FINANCIAL STATEMENTS.

SCHEDULE F
(Form 990)

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

- Complete if the organization answered "Yes" to Form 990,
Part IV, line 14b, 15, or 16.
► Attach to Form 990. ► See separate instructions.

OMB No 1545-0047

2010

Open to Public
Inspection

Name of the organization

Employer identification number

NEW YORK FOUNDATION FOR THE ARTS, INC.

23-7129564

Part I **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

1 For grantmakers. Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ... ☒ **Yes** ☐ **No**

2 For grantmakers. Describe in Part V the organization's procedures for monitoring the use of grant funds outside the United States

3 Activities per Region (The following Part I, line 3 table can be duplicated if additional space is needed)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e.g., fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
EUROPE (INCLUDING ICELAND & GREENLAND)	0	0	GRANTS TO RECIPIENTS LOCATED IN REGION		36,420.
SOUTH AMERICA	0	0	GRANT TO ONE RECIPIENT LOCATED IN REGION		40,000.
EAST ASIA AND THE PACIFIC	0	0	GRANT TO ONE RECIPIENT LOCATED IN REGION		4,000.
3 a Sub-total	0	0			80,420.
b Total from continuation sheets to Part I	0	0			0.
c Totals (add lines 3a and 3b)	0	0			80,420.

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2010

Part III Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 16.

Part III can be duplicated if additional space is needed

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
ARTIST FUND	EUROPE (INCLUDING ICELAND & GREENLAND)	3	36,420	WIRE	0		
ARTIST FUND	SOUTH AMERICA	1	40,000	WIRE	0		
ARTIST FUND	EAST ASIA AND THE PACIFIC	1	4,000	WIRE	0		

Part IV Foreign Forms

- 1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926) ☐ Yes ☒ No
- 2 Did the organization have an interest in a foreign trust during the tax year? If "Yes," the organization may be required to file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A) ☐ Yes ☒ No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with respect to Certain Foreign Corporations. (see Instructions for Form 5471) ☐ Yes ☒ No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? If "Yes," the organization may be required to file Form 8621, Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621) ☐ Yes ☒ No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with respect to Certain Foreign Partnerships (see Instructions for Form 8865) ☐ Yes ☒ No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? If "Yes," the organization may be required to file Form 5713, International Boycott Report (see Instructions for Form 5713) ☐ Yes ☒ No

Schedule F (Form 990) 2010

Part V Supplemental Information

Complete this part to provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable.

Also complete this part to provide any additional information.

SCHEDULE F, PART I, LINE 2: PROSPECTIVE GRANTEEES ARE REQUIRED TO SUBMIT AN APPLICATION DESCRIBING THEIR PROJECT AND THE USE OF GRANT FUNDS TOWARDS THE PROJECT. GRANTEEES ARE REQUIRED TO PROVIDE A FINAL REPORT DESCRIBING THE PROJECT OUTCOME AND USE OF THE GRANT FUNDS TOWARDS THE PROJECT. IF A FINAL REPORT IS NOT SUBMITTED, ADDITIONAL GRANT FUNDS WILL NOT BE PROVIDED, UNTIL NYFA RECEIVES A FINAL REPORT.

SCHEDULE F, PART I, LINE 3: GRANT EXPENSE WAS RECORDED USING THE ACCRUAL METHOD OF ACCOUNTING.

Department of the Treasury
Internal Revenue Service

**Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19,
or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.**

OMB No 1545-0047

2010

Open To Public Inspection

Name of the organization

NEW YORK FOUNDATION FOR THE ARTS, INC.

Employer identification number

23-7129564

Part I

Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

- 1** Indicate whether the organization raised funds through any of the following activities. Check all that apply.

- a ☐ Mail solicitations
b ☐ Internet and email solicitations
c ☐ Phone solicitations
d ☐ In-person solicitations
e ☐ Solicitation of non-government grants
f ☐ Solicitation of government grants
g ☐ Special fundraising events

- 2 a** Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services?

☐ Yes☐ No

- b** If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
Total						

Total

- 3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

Part II Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

	(a) Event #1 ANNUAL GALA (event type)	(b) Event #2 (event type)	(c) Other events NONE (total number)	(d) Total events (add col. (a) through col. (c))
Revenue				
1 Gross receipts	255,421.			255,421.
2 Less: Charitable contributions	209,981.			209,981.
3 Gross income (line 1 minus line 2)	45,440.			45,440.
Direct Expenses				
4 Cash prizes				
5 Noncash prizes				
6 Rent/facility costs				
7 Food and beverages	39,330.			39,330.
8 Entertainment				
9 Other direct expenses	6,110.			6,110.
10 Direct expense summary. Add lines 4 through 9 in column (d)				(45,440)
11 Net income summary. Combine line 3, column (d), and line 10				0.

Part III Gaming. Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a

	(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))
Revenue				
1 Gross revenue				
Direct Expenses				
2 Cash prizes				
3 Noncash prizes				
4 Rent/facility costs				
5 Other direct expenses				
6 Volunteer labor	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	
7 Direct expense summary. Add lines 2 through 5 in column (d)				()
8 Net gaming income summary. Combine line 1, column d, and line 7				

9 Enter the state(s) in which the organization operates gaming activities: _____

a Is the organization licensed to operate gaming activities in each of these states? .. ☐ Yes ☐ No

b If "No," explain: _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? .. ☐ Yes ☐ No

b If "Yes," explain: _____

- 11** Does the organization operate gaming activities with nonmembers? ☐ Yes ☐ No
- 12** Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? ☐ Yes ☐ No
- 13** Indicate the percentage of gaming activity operated in:
- | | | |
|--------------------------------------|------------|---|
| a The organization's facility | 13a | % |
| b An outside facility | 13b | % |
- 14** Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ► _____

Address ► _____

- 15a** Does the organization have a contract with a third party from whom the organization receives gaming revenue? ☐ Yes ☐ No

b If "Yes," enter the amount of gaming revenue received by the organization ► \$ _____ and the amount of gaming revenue retained by the third party ► \$ _____

c If "Yes," enter name and address of the third party:

Name ► _____

Address ► _____

16 Gaming manager information:

Name ► _____

Gaming manager compensation ► \$ _____

Description of services provided ► _____

☐ Director/officer☐ Employee☐ Independent contractor**17** Mandatory distributions.

a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? ☐ Yes ☐ No

b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ► \$ _____

Part IV **Supplemental Information.** Complete this part to provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions)

**SCHEDULE I
(Form 990)**

Department of the Treasury
Internal Revenue Service

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

OMB No 1545-0047

2010

Open to Public
Inspection

Name of the organization

NEW YORK FOUNDATION FOR THE ARTS, INC.

Employer identification number
23-7129564

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☒ Yes ☐ No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Part II can be duplicated if additional space is needed. ▶ ☐

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
3-LEGGED DOG, INC. 80 GREENWICH STREET NEW YORK, NY 10006	13-4002491	501(C)(3)	176,500.	0.			GRANTS TO ORGANIZATIONS FOR NEW YORK THEATER PROGRAM
ARS NOVA THEATER I, INC 511 WEST 54TH STREET, 2ND FLOOR NEW YORK, NY 10019	80-0339038	501(C)(3)	125,000.	0.			GRANTS TO ORGANIZATIONS FOR NEW YORK THEATER PROGRAM
ATLANTIC THEATER COMPANY 76 NINTH AVENUE., SUITE 537 NEW YORK, NY 10011	13-3218253	501(C)(3)	100,000.	0.			GRANTS TO ORGANIZATIONS FOR NEW YORK THEATER PROGRAM
BIG DANCE THEATER INC. 303 CLINTON STREET BROOKLYN, NY 11231	52-2143843	501(C)(3)	20,000.	0.			GRANTS TO ORGANIZATIONS FOR NEW YORK THEATER PROGRAM
CIVILIANS INC. 138 SOUTH OXFORD STREET., 3C BROOKLYN, NY 11217	11-3621605	501(C)(3)	81,500.	0.			GRANTS TO ORGANIZATIONS FOR NEW YORK THEATER PROGRAM
ELEVATOR REPAIR SERVICE THEATER, INC - 138 SOUTH OXFORD ST, #2D - BROOKLYN, NY 11217	13-3787877	501(C)(3)	90,000.	0.			GRANTS TO ORGANIZATIONS FOR NEW YORK THEATER PROGRAM
2 Enter total number of section 501(c)(3) and government organizations			62.				
3 Enter total number of other organizations			0.				

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2010)

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
HOME FOR CONTEMPORARY THEATRE & ART - HERE ARTS CENTER, 145 SIXTH AVE - NEW YORK, NY 10013	13-3449416	501(C)(3)	140,000.	0.			GRANTS TO ORGANIZATIONS FOR NEW YORK THEATER PROGRAM
LARK THEATRE COMPANY, INC. 311 WEST 43RD STREET., SUITE 406 NEW YORK, NY 10036	13-3779197	501(C)(3)	122,000.	0.			GRANTS TO ORGANIZATIONS FOR NEW YORK THEATER PROGRAM
MINT THEATER COMPANY 311 WEST 43RD, #307 NEW YORK, NY 10036	13-3687725	501(C)(3)	102,000.	0.			GRANTS TO ORGANIZATIONS FOR NEW YORK THEATER PROGRAM
NATIONAL ASIAN AMERICAN THEATRE CO. INC - 520 8TH AVENUE, SUITE #308 - NEW YORK, NY 10018	13-3486145	501(C)(3)	20,000.	0.			GRANTS TO ORGANIZATIONS FOR NEW YORK THEATER PROGRAM
OPEN CHANNELS NY, INC DIXON PLACE 161A CHRYSTIE STREET NEW YORK, NY 10002	13-3486263	501(C)(3)	85,000.	0.			GRANTS TO ORGANIZATIONS FOR NEW YORK THEATER PROGRAM
PAGE SEVENTY-THREE PRODUCTIONS INC. - 138 SOUTH OXFORD STREET SUITE #5C - BROOKLYN, NY 11217	13-4059276	501(C)(3)	45,000.	0.			GRANTS TO ORGANIZATIONS FOR NEW YORK THEATER PROGRAM
PERFORMANCE SPACE 122, INC 150 FIRST AVE NEW YORK, NY 10009	13-3522283	501(C)(3)	200,000.	0.			GRANTS TO ORGANIZATIONS FOR NEW YORK THEATER PROGRAM
PREGONES TOURING PUERTO RICAN THEATRE COLLECTION, INC. - 571-575 WALTON AVE - BRONX, NY 10451	13-3266893	501(C)(3)	101,500.	0.			GRANTS TO ORGANIZATIONS FOR NEW YORK THEATER PROGRAM
SARATOGA INTERNATIONAL THEATER INSTITUTE, INC. - 520 8TH AVENUE, 3RD FLOOR, SUITE #310 - NEW YORK, NY 10018	13-3730731	501(C)(3)	102,000.	0.			GRANTS TO ORGANIZATIONS FOR NEW YORK THEATER PROGRAM

LHA

Schedule I (Form 990)

NEW YORK FOUNDATION FOR THE ARTS, INC.

Part II	Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)							
	(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
	SPANISH THEATRE REPERTORY CO. LTD 138 EAST 27TH STREET NEW YORK, NY 10016	13-2672755	501(C)(3)	200,000.	0.			GRANTS TO ORGANIZATIONS FOR NEW YORK THEATER PROGRAM
	THE ST. ANN'S WAREHOUSE, INC 55 WASHINGTON STREET SUITE #458 BROOKLYN, NY 11201	11-2665242	501(C)(3)	200,000.	0.			GRANTS TO ORGANIZATIONS FOR NEW YORK THEATER PROGRAM
	THE VINEYARD THEATER AND WORKSHOP CENTER, INC. - 108 EAST 15TH STREET - NEW YORK, NY 10003	13-3904262	501(C)(3)	200,000.	0.			GRANTS TO ORGANIZATIONS FOR NEW YORK THEATER PROGRAM
	THE WOOSTER GROUP PO BOX 654, CANAL STREET STATION NEW YORK, NY 10013	13-3761711	501(C)(3)	176,500.	0.			GRANTS TO ORGANIZATIONS FOR NEW YORK THEATER PROGRAM
	THE WORKING THEATER COMPANY 520 8TH AVENUE SUITE 303 NEW YORK, NY 10018	13-1394732	501(C)(3)	45,000.	0.			GRANTS TO ORGANIZATIONS FOR NEW YORK THEATER PROGRAM
	THEATER ET AL, INC. 5-49 49TH AVENUE LONG ISLAND CITY, NY 11101	13-4038993	501(C)(3)	50,000.	0.			GRANTS TO ORGANIZATIONS FOR NEW YORK THEATER PROGRAM
	WOMENS PROJECT & PRODUCTIONS, INC. 55 WEST END AVENUE #2 NEW YORK, NY 10023	13-3369451	501(C)(3)	126,500.	0.			GRANTS TO ORGANIZATIONS FOR NEW YORK THEATER PROGRAM
	BIG TREE PRODUCTIONS, INC. 42 HORATIO ST, #9 NEW YORK, NY 10014	13-4200666	501(C)(3)	20,000.	0.			GRANTS TO ORGANIZATIONS FOR BUILD PROGRAM
	BROOKLYN ARTS EXCHANGE 421 FIFTH AVENUE BROOKLYN, NY 11215	11-3071458	501(C)(3)	10,000.	0.			GRANTS TO ORGANIZATIONS FOR BUILD PROGRAM
	LHA							
								Schedule I (Form 990)

LHA

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CHEZ BUSHWICK, INC 304 BOERUM STREET #23 BROOKLYN, NY 11206	56-2630951	501(C)(3)	20,000.	0.			GRANTS TO ORGANIZATIONS FOR BUILD PROGRAM
CORBIN DANCES, INC C/O PATRICK CORBIN 196 WEST 134TH S NEW YORK, NY 10030	20-3901165	501(C)(3)	10,000.	0.			GRANTS TO ORGANIZATIONS FOR BUILD PROGRAM
DANZA ESPANA/ASDT INC. 109 WEST 27TH STREET, 8TH FLOOR ATTN: YLOY YBARRA - NEW YORK, NY 10001	13-3399840	501(C)(3)	10,000.	0.			GRANTS TO ORGANIZATIONS FOR BUILD PROGRAM
FRACTURED ATLAS PRODUCTIONS, INC. 248 W 35TH STREET 10TH FLOOR NEW YORK, NY 10001	11-3451703	501(C)(3)	12,500.	0.			GRANTS TO ORGANIZATIONS FOR BUILD PROGRAM
MAPP INTERNATIONAL PRODUCTIONS 140 SECOND AVE SUITE #502 NEW YORK, NY 10003	13-3757070	501(C)(3)	20,000.	0.			GRANTS TO ORGANIZATIONS FOR BUILD PROGRAM
MOVING THEATER FDR STATION P.O. BOX 1449 NEW YORK, NY 10150	20-0021714	501(C)(3)	20,000.	0.			GRANTS TO ORGANIZATIONS FOR BUILD PROGRAM
THE FIELD 161 SIXTH AVENUE, 14TH FL NEW YORK, NY 10013	13-3357408	501(C)(3)	21,925.	0.			GRANTS TO ORGANIZATIONS FOR BUILD PROGRAM
YIN MEI DANCE 43 RICHARDS ROAD PORT WASHINGTON, NY 11050	12-3700733	501(C)(3)	10,000.	0.			GRANTS TO ORGANIZATIONS FOR BUILD PROGRAM
ARTS COUNCIL OF SOUTHERN FINGER LAKES - 32 WEST MARKET STREET - CORNING, NY 14830	16-0999315	501(C)(3)	5,870.	0.			GRANTS TO ORGANIZATION FOR SOS PROGRAM

LHA

Schedule I (Form 990)

Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II)							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ARTS & CULTURAL COUNCIL FOR GREATER ROCHESTER - 277 N. GOODMAN STREET - ROCHESTER, NY 14607	22-2309669	501(C)(3)	12,378.	0.			GRANTS TO ORGANIZATION FOR SOS PROGRAM
EAST END ARTS COUNCIL 133 EAST MAIN STREET RIVERHEAD, NY 11901	11-2285495	501(C)(3)	12,378.	0.			GRANTS TO ORGANIZATION FOR SOS PROGRAM
GARRISON ART CENTER 23 GARRISON LANDING P.O. BOX 4 GARRISON, NY 10524	13-6201469	501(C)(3)	7,378.	0.			GRANTS TO ORGANIZATION FOR SOS PROGRAM
THE ARTS CENTER OF THE CAPITAL REGION - C/O LAUREN HITTINGER 265 RIVER STREET - TROY, NY 12180	14-1484756	501(C)(3)	12,378.	0.			GRANTS TO ORGANIZATION FOR SOS PROGRAM
ADIRONDACK LAKES CENTER FOR THE ARTS - PO BOX 205 - BLUE MOUNTAIN LAKE, NY 12812	14-1501361	501(C)(3)	5,000.	0.			STATE AND LOCAL PARTNERSHIPS
CHENANGO COUNTY COUNCIL OF THE ARTS - VICTORIA KAPPEL 27 WEST MAIN STREET - NORWICH, NY 13815	22-2187522	501(C)(3)	29,000.	0.			STATE AND LOCAL PARTNERSHIPS
WESTCHESTER ARTS COUNCIL 31 MAMARONECK AVENUE WHITE PLAINS, NY 10601	13-2604827	501(C)(3)	15,000.	0.			STATE AND LOCAL PARTNERSHIPS
CULTURAL RESOURCES COUNCIL JOHN. H. MULROY CIVIC CENTER SYRACUSE, NY 13202	15-0625350	501(C)(3)	17,500.	0.			STATE AND LOCAL PARTNERSHIPS
PHELPS ARTS CENTER 15 CHURCH STREET PHELPS, NY 14532	20-5975539	501(C)(3)	5,000.	0.			STATE AND LOCAL PARTNERSHIPS

LHA

Schedule I (Form 990)

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SARATOGA ARTS INC. 320 BROADWAY SARATOGA SPRINGS, NY 12866	14-1632037	501(C)(3)	7,500.	0.			STATE AND LOCAL PARTNERSHIPS
COMMUNITY FOUNDATION FOR GREATER BUFFALO - C/O CLOTILDE PEREZ-BODE DEDECKER, 712 MAIN STREET - BUFFALO, NY 14202	22-2743917	501(C)(3)	20,000.	0.			STATE AND LOCAL PARTNERSHIPS
AN DIE MUSIK 1060 FIFTH AVENUE NEW YORK, NY 10128	13-3345336	501(C)(3)	43,200.	0.			GRANTS TO EMERGING ORGANIZATIONS
AMERICAN CENTER FOR MUSICAL ARTS 201 W. 72ND STREET, 3K NEW YORK, NY 10023	13-3961427	501(C)(3)	10,000.	0.			GRANTS TO EMERGING ORGANIZATIONS
ART STRIDES, INC. 102 WEST 75TH STREET, GARDEN LEVEL NEW YORK, NY 10023	01-0938170	501(C)(3)	32,484.	0.			GRANTS TO EMERGING ORGANIZATIONS
ADARSH ALPHONS PROJECTS 273 BENNETT AVENUE, SUITE 3E NEW YORK, NY 10040	27-3066150	501(C)(3)	6,234.	0.			GRANTS TO EMERGING ORGANIZATIONS
BLACK AMERICAN HERITAGE FOUNDATION P.O. BOX 772 MIDDLE ISLAND, NY 11953	22-2715288	501(C)(3)	8,710.	0.			GRANTS TO EMERGING ORGANIZATIONS
BALLROOM BASIX USA 178 EAST 117 STREET, SUITE 1B NEW YORK, NY 10035	27-5265003	501(C)(3)	26,242.	0.			GRANTS TO EMERGING ORGANIZATIONS
ESOPUS MUSICALIA P.O. BOX 899 STONE RIDGE, NY 12484	27-1229419	501(C)(3)	7,625.	0.			GRANTS TO EMERGING ORGANIZATIONS

Schedule I (Form 990)

LHA

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
DRUMSONGS PRODUCTIONS, INC. P.O. BOX 340716 ROCHDALE VILLAGE, NY 11434	06-1550859	501(C)(3)	7,360.	0.			GRANTS TO EMERGING ORGANIZATIONS
GLOBAL EMPOWERMENT THEATRE 285 CENTRAL PARK WEST NEW YORK, NY 10024	27-4263636	501(C)(3)	19,971.	0.			GRANTS TO EMERGING ORGANIZATIONS
HEIDI LATSKY DANCE 400 W. 43RD STREET, #21S NEW YORK, NY 10036	20-4193098	501(C)(3)	19,289.	0.			GRANTS TO EMERGING ORGANIZATIONS
INTERNATIONAL NETWORK FOR THE CONSERVATION OF CONTEMPORARY ART - 240 GREENE STREET, STE 406 - NEW YORK, NY 10003	37-1585169	501(C)(3)	36,720.	0.			GRANTS TO EMERGING ORGANIZATIONS
THE IMPOSSIBLE MUSIC SESSIONS 276 THIRD AVENUE, #4C NEW YORK, NY 11215	27-1181892	501(C)(3)	6,605.	0.			GRANTS TO EMERGING ORGANIZATIONS
THE LAUNDROMAT PROJECT 275 MACDONOUGH STREET BROOKLYN, NY 11233	87-0753479	501(C)(3)	29,906.	0.			GRANTS TO EMERGING ORGANIZATIONS
MUSIC AND MENTORING HOUSE 14 WEST 121ST STREET NEW YORK, NY 10027	27-3983709	501(C)(3)	56,620.	0.			GRANTS TO EMERGING ORGANIZATIONS
NP CONTEMPORARY ARTS CENTER 131 CHRYSTIE STREET, GROUND FLOOR NEW YORK, NY 10002	27-3346497	501(C)(3)	8,637.	0.			GRANTS TO EMERGING ORGANIZATIONS
PARTHENIA 67-25 47TH AVENUE WOODSIDE, NY 11377	77-0698322	501(C)(3)	8,780.	0.			GRANTS TO EMERGING ORGANIZATIONS
LHA							

Schedule I (Form 990)

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
ARTISTS' SPONSORED PROJECTS	213	2,361,538.	0.		
FELLOWSHIPS	105	727,300.	0.		
ARTIST FUND	38	263,065.	0.		
SOS - OPPORTUNITY GRANTS	19	17,193.	0.		
ROSS FUND	2	1,000.	0.		
Part IV Supplemental Information. Complete this part to provide the information required in Part I, line 2, and any other additional information					

SCHEDULE I, PART I, LINE 2: ARTISTS' FELLOWSHIPS ARE CHOSEN BASED ON THE SINGLE CRITERION OF WORK THAT DEMONSTRATES A COMPELLING VISION AS DEFINED BY THE ASSEMBLED PANEL'S COLLECTIVE OPINION. MATERIALS ARE REVIEWED AND VOTED ON BY THE PANELISTS IN ELIMINATION ROUNDS WHICH PRODUCE A SMALLER POOL FOR EACH ROUND. AN ARTIST'S ADVANCEMENT IS A PRODUCT OF THE COLLECTIVE VOTE OF THE PANEL. A SINGLE PANELIST CANNOT ENSURE AN APPLICANT'S SUCCESS OR FAILURE. PANEL PROCEEDINGS ARE REVIEWED BY THE ARTISTS' ADVISORY COMMITTEE AND BY NYFA'S BOARD OF TRUSTEES. NEITHER THE COMMITTEE NOR THE BOARD REVIEWS THE WORK OF APPLICANTS OR MAKES ANY AESTHETIC JUDGMENTS.

Part III Continuation of Grants and Other Assistance to Individuals in the United States (Schedule I (Form 990), Part III.)

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
ROTHMAN GRANT	5	5,000.	0.		
SHELLEY PINZ AWARD	1	7,000.	0.		
NYSICA SLP	30	10,126.	0.		
NYSICA CHAIRMAN	20	4,679.	0.		
MARK PROGRAM	1	100.	0.		

Schedule I (Form 990)

Part IV Supplemental Information

RECOMMENDATIONS FOR POTENTIAL PANELISTS COME FROM MANY SOURCES INCLUDING: THE ARTISTS' ADVISORY COMMITTEE, ARTS AND CULTURAL ORGANIZATIONS AROUND NEW YORK STATE AND INDIAN NATIONS LOCATED IN NEW YORK STATE, AND SUGGESTIONS FROM PRACTICING ARTISTS. ALL POTENTIAL PANELISTS MUST BE PRACTICING ARTISTS IN THE DISCIPLINE THEY REVIEW AND MUST RECEIVE THREE RECOMMENDATIONS FROM THEIR FIELD. THE ARTISTS' ADVISORY COMMITTEE THEN APPROVES FINAL CHOICES OF THE PANELIST THAT REFLECT CONSIDERATIONS OF GENRE, AGE, ETHNICITY, AND GEOGRAPHY WITHIN NEW YORK STATE AND INDIAN NATIONS LOCATED HEREIN. APPLICANTS MAY PARTICIPATE IN THIS PROCESS BY SUGGESTING THEMSELVES OR OTHERS AS PANEL CANDIDATES ON THE APPLICATION. PANELISTS CHANGE EACH YEAR. THOUGH FELLOWSHIPS ARE UNRESTRICTED CASH GRANTS WE DO REQUIRE THAT THEY REPORT ON HOW THE FUNDS WERE USED AND TO DESCRIBE THE CREATIVE WORK ACCOMPLISHED.

THEATER GRANTS, A DIVERSE NATIONAL PANEL OF THEATER LEADERS IS CONVENED TO REVIEW ALL APPLICATIONS FOR CONSIDERATION AND DELIBERATE ON THE SUBMITTED REQUESTS. THE AMOUNT FOR EACH GRANTEE IS DECIDED BY THE MELLON FOUNDATION BASED ON THE PANEL'S RANKINGS. THE MELLON FOUNDATION SENDS A LIST OF GRANTEES WITH THE AMOUNT OF THE GRANT TO THE NYFA PROJECT MANAGER. THE PROJECT MANAGER DRAFTS AWARD LETTERS TO THE THEATERS WHICH ARE REVIEWED BY NYFA'S EXECUTIVE DIRECTOR AND BY MELLON. THE PROJECT MANAGER SENDS THESE LETTERS, AND THE THEATERS THEN SUBMIT BUDGETS FOR THEIR PLANNED EXPENDITURES. THE BUDGETS ARE REVIEWED BY THE PROJECT MANAGER AND THE FINANCE DIRECTOR AND THEN SUBMITTED TO MELLON FOR FINAL APPROVAL. AFTER THE REVIEW, THE PROJECT MANAGER REQUESTS THE INITIAL AWARD CHECKS FROM THE FINANCE DEPARTMENT AND THE CHECKS ARE THEN SENT TO THE THEATERS ALONG WITH THEIR REPORTING GUIDELINES. EACH RECIPIENT IS REQUIRED TO SEND TO NYFA AN

Part IV Supplemental Information

INTERIM REPORT ON HOW THE DOLLARS WERE USED. IN THE CASE OF THE GRANTEEES WHOSE ACTIVITIES ARE COMPLETE, THEY SUBMIT A FINAL REPORT AT THAT TIME. THE PROGRAM OFFICER VERIFIES THAT THE DOLLARS WERE ALLOCATED APPROPRIATELY ACCORDING TO THE APPROVED BUDGETS. IF THERE ARE ANY QUESTIONS, THE PROJECT MANAGER DISCUSSES THE MATTER WITH THE RECIPIENT FOR CLARIFICATION AND REQUESTS A CHANGE OF SCOPE LETTER AND A CORRESPONDING NEW BUDGET FOR REVIEW, AS NEEDED. CHANGES OF SCOPE MUST BE APPROVED BY THE EXECUTIVE DIRECTOR WHO RUNS MAJOR CHANGES BY MELLON FOR APPROVAL. ONCE THE PROJECT MANAGER, IN CONSULTATION WITH THE DIRECTOR OF DEVELOPMENT, REVIEWS THE INTERIM/FINAL REPORTS, RECIPIENTS CAN RECEIVE ANY SECOND DISBURSEMENTS. THE PROJECT MANAGER CREATES A SUMMARY REPORT OF ALL THE GRANTEEES' MID-TERM REPORTING AND SUBMITS THIS TO MELLON. AT THE END OF THE GRANT TERM, ANY GRANTEEES WHO FILED AN INTERIM REPORT WILL THEN FILE A FINAL REPORT TO CONCLUDE THEIR AWARD CYCLE, WHEREIN THE PROCESS STATED FOR THE INTERIM REPORT IS REPEATED.

**SCHEDULE J
(Form 990)**

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest
Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990,
Part IV, line 23.

▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2010

Open to Public
Inspection

Name of the organization

NEW YORK FOUNDATION FOR THE ARTS, INC.

Employer identification number

23-7129564

Part I Questions Regarding Compensation

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990,
Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items

- | | |
|--|--|
| <input type="checkbox"/> First-class or charter travel | <input type="checkbox"/> Housing allowance or residence for personal use |
| <input type="checkbox"/> Travel for companions | <input type="checkbox"/> Payments for business use of personal residence |
| <input type="checkbox"/> Tax indemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees |
| <input type="checkbox"/> Discretionary spending account | <input type="checkbox"/> Personal services (e g , maid, chauffeur, chef) |

b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or
reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors,
trustees, and the CEO/Executive Director, regarding the items checked in line 1a?

3 Indicate which, if any, of the following the organization uses to establish the compensation of the organization's
CEO/Executive Director. Check all that apply

- | | |
|---|---|
| <input checked="" type="checkbox"/> Compensation committee | <input type="checkbox"/> Written employment contract |
| <input type="checkbox"/> Independent compensation consultant | <input checked="" type="checkbox"/> Compensation survey or study |
| <input checked="" type="checkbox"/> Form 990 of other organizations | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

4 During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing
organization or a related organization

a Receive a severance payment or change-of-control payment from the organization or a related organization?

b Participate in, or receive payment from, a supplemental nonqualified retirement plan?

c Participate in, or receive payment from, an equity-based compensation arrangement?

If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III

Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5-9.

5 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation
contingent on the revenues of

a The organization?

b Any related organization?

If "Yes" to line 5a or 5b, describe in Part III.

6 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation
contingent on the net earnings of

a The organization?

b Any related organization?

If "Yes" to line 6a or 6b, describe in Part III

7 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments
not described in lines 5 and 6? If "Yes," describe in Part III

8 Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the
initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III

9 If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in
Regulations section 53.4958-6(c)?

Yes No

1b

2

4a

4b

4c

5a

5b

6a

6b

7

8

9

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2010

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a

(A) Name	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
	(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1 MICHAEL ROYCE	(i) 224,163.	(ii) 15,000.	(iii) 0.	9,022.	1,024.	249,209.	0.
	(ii) 0.	0.	0.	0.	0.	0.	0.
2	(i)						
	(ii)						
3	(i)						
	(ii)						
4	(i)						
	(ii)						
5	(i)						
	(ii)						
6	(i)						
	(ii)						
7	(i)						
	(ii)						
8	(i)						
	(ii)						
9	(i)						
	(ii)						
10	(i)						
	(ii)						
11	(i)						
	(ii)						
12	(i)						
	(ii)						
13	(i)						
	(ii)						
14	(i)						
	(ii)						
15	(i)						
	(ii)						
16	(i)						
	(ii)						

SCHEDULE M
(Form 990)

Department of the Treasury
Internal Revenue Service

Noncash Contributions

► Complete if the organizations answered "Yes" on Form
990, Part IV, lines 29 or 30.
► Attach to Form 990.

OMB No 1545-0047

2010

Open to Public
Inspection

Name of the organization

NEW YORK FOUNDATION FOR THE ARTS, INC.

Employer identification number

23-7129564

Part I **Types of Property**

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art - Works of art				
2 Art - Historical treasures				
3 Art - Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities - Publicly traded	X	4	357,816.	FAIR MARKET VALUE
10 Securities - Closely held stock				
11 Securities - Partnership, LLC, or trust interests				
12 Securities - Miscellaneous				
13 Qualified conservation contribution - Historic structures				
14 Qualified conservation contribution - Other				
15 Real estate - Residential				
16 Real estate - Commercial				
17 Real estate - Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ► ()				
26 Other ► ()				
27 Other ► ()				
28 Other ► ()				

29 Number of Forms 8283 received by the organization during the tax year for contributions
for which the organization completed Form 8283, Part IV, Donee Acknowledgement

29

30a During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for
at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for
the entire holding period?

b If "Yes," describe the arrangement in Part II.

31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?

32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash
contributions?

b If "Yes," describe in Part II

33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked,
describe in Part II

	Yes	No
30a		X
31	X	
32a		X
33		

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule M (Form 990) (2010)

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.

OMB No 1545-0047

2010

Open to Public
Inspection

Name of the organization

NEW YORK FOUNDATION FOR THE ARTS, INC.

Employer identification number

23-7129564

FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

NYFA ACCOMPLISHES THIS BY OFFERING FINANCIAL ASSISTANCE AND INFORMATION
TO ARTISTS AND ORGANIZATIONS THAT DIRECTLY SERVE ARTISTS, BY SUPPORTING
ARTS PROGRAMMING IN THE COMMUNITY, AND BY BUILDING COLLABORATIVE
RELATIONSHIPS WITH OTHERS WHO ADVOCATE FOR THE ARTS IN NEW YORK STATE
AND THROUGHOUT THE COUNTRY. EACH YEAR NYFA AWARDS CLOSE TO \$1 MILLION
TO INDIVIDUAL ARTISTS AND SMALL AND EMERGING ORGANIZATIONS THROUGH ITS
FELLOWSHIP AND OTHER GRANT PROGRAMS. NYFA'S LEARNING PROGRAMS SERVE
THOUSANDS OF ARTISTS THROUGH WORKSHOPS, PANEL DISCUSSIONS, AND
INDIVIDUAL MENTORING ON TOPICS RANGING FROM GRANT WRITING AND BUDGETING
TO CREATING AN ARTIST PORTFOLIO AND AUDIENCE DEVELOPMENT. THE FISCAL
SPONSORSHIP PROGRAM IS ONE OF THE LARGEST AND MOST ESTABLISHED IN THE
COUNTRY AND HELPS ARTISTS AND ORGANIZATIONS RAISE AND MANAGE OVER \$5
MILLION ANNUALLY.

FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

PROGRAMMING IN THE COMMUNITY, AND BY BUILDING COLLABORATIVE
RELATIONSHIPS WITH OTHERS WHO ADVOCATE FOR THE ARTS IN NEW YORK STATE
AND THROUGHOUT THE COUNTRY. EACH YEAR NYFA AWARDS CLOSE TO \$1 MILLION
TO INDIVIDUAL ARTISTS AND SMALL AND EMERGING ORGANIZATIONS THROUGH ITS
FELLOWSHIP AND OTHER GRANT PROGRAMS. NYFA'S LEARNING PROGRAMS SERVE
THOUSANDS OF ARTISTS THROUGH WORKSHOPS, PANEL DISCUSSIONS, AND
INDIVIDUAL MENTORING ON TOPICS RANGING FROM GRANT WRITING AND BUDGETING
TO CREATING AN ARTIST PORTFOLIO AND AUDIENCE DEVELOPMENT. THE FISCAL
SPONSORSHIP PROGRAM IS ONE OF THE LARGEST AND MOST ESTABLISHED IN THE
COUNTRY AND HELPS ARTISTS AND ORGANIZATIONS RAISE AND MANAGE OVER \$5

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule O (Form 990 or 990-EZ) (2010)

032211
01-24-11

Name of the organization

NEW YORK FOUNDATION FOR THE ARTS, INC.

Employer identification number

23-7129564

MILLION ANNUALLY.

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:

IMMIGRANT OUTREACH PROGRAM, NYFA SOURCE, MARK PROGRAM, NYFA LEARNING,
CLASSIFIED, AND BOOT CAMP.

EXPENSES \$ 713,015. INCLUDING GRANTS OF \$ 0. REVENUE \$ 466,424.

FORM 990, PART VI, SECTION A, LINE 1: THE EXECUTIVE COMMITTEE HAS THE
AUTHORITY TO ACT ON BEHALF OF THE GOVERNING BODY WHENEVER NECESSARY.FORM 990, PART VI, SECTION B, LINE 11: AFTER IT IS PREPARED BY THE
ORGANIZATION'S INDEPENDENT ACCOUNTANTS, THE EXECUTIVE DIRECTOR, THE
DIRECTOR OF FINANCE AND ADMINISTRATION, AS WELL AS THE AUDIT COMMITTEE,
REVIEW A DRAFT OF THE FORM 990. THE BOARD OF TRUSTEES ARE PROVIDED A DRAFT
OF THE 990 FOR REVIEW. ONCE REVIEWED, THE BOARD IS ASKED TO APPROVE THE 990
FOR FILING.FORM 990, PART VI, SECTION B, LINE 12C: CONFLICT OF INTEREST POLICY FOR
THE TRUSTEES: ANY DIRECTOR OF OFFICER WHO HAS ANY PERSONAL INTEREST IN ANY
DECISION REGARDING A CONTRACT, SALE, PURCHASE, LEASE, EXCHANGE OR SERVICE
RELATED IN ANY WAY TO NYFA MUST DECLARE THAT INTEREST TO THE BOARD AND
REFRAIN FROM VOTING UPON SUCH DECISION OR, DEPENDING UPON THE DEGREE OF
PERSONAL INTEREST, PARTICIPATING IN ANY WAY IN THE DECISION-MAKING PROCESS.
DIRECTORS WILL ADDITIONALLY DISCLOSE THEIR DIRECT OR INDIRECT FINANCIAL
INTEREST IN ANY PROSPECTIVE TRANSACTIONS INVOLVING THEMSELVES, THEIR
IMMEDIATE FAMILIES, THEIR BUSINESSES, AND NYFA. DIRECTORS WILL ABSTAIN
FROM VOTING ON ANY BOARD MATTER IN WHICH THEY HOLD A FINANCIAL INTEREST AND
MAY NOT SEEK TO BEAR INFLUENCE ON ANY OTHER DIRECTOR OR STAFF MEMBER ON ANY

Name of the organization

NEW YORK FOUNDATION FOR THE ARTS, INC.

Employer identification number

23-7129564

NYFA ACTION IN WHICH THEIR FINANCIAL INTEREST IS INVOLVED. IN THE EVENT THAT ANY DIRECTOR HAS A CONFLICT OF INTEREST, SUCH DIRECTOR SHALL INFORM THE BOARD. IF THOSE CIRCUMSTANCES REQUIRE THE NONPARTICIPATION OF THE AFFECTED DIRECTOR, THE BOARD MAY NONETHELESS REQUEST FROM THE DIRECTOR ANY APPROPRIATE NON CONFIDENTIAL INFORMATION WHICH MIGHT INFORM ITS DECISION.

NO DIRECTOR SHALL CAST A VOTE, NOR TAKE PART IN THE FINAL DELIBERATION IN ANY MATTER IN WHICH HE OR SHE, MEMBERS OF HIS OR HER IMMEDIATE FAMILY, OR ANY ORGANIZATION TO WHICH SUCH DIRECTOR HAS ALLEGIANCE, HAS A PERSONAL INTEREST THAT MAY BE SEEN AS COMPETING WITH THE INTEREST OF NYFA. ANY DIRECTOR WHO BELIEVES HE OR SHE MAY HAVE SUCH A CONFLICT OF INTEREST SHALL SO NOTIFY THE BOARD PRIOR TO DELIBERATION ON THE MATTER IN QUESTION, AND THE BOARD SHALL MAKE THE FINAL DETERMINATION AS TO WHETHER ANY DIRECTOR HAS A CONFLICT OF INTEREST IN ANY MATTER. THE MINUTES OF THE BOARD MEETING SHALL REFLECT DISCLOSURE OF ANY CONFLICT OF INTEREST AND THE RECUSAL OF THE INTERESTED DIRECTOR.

FORM 990, PART VI, SECTION B, LINE 15: EACH YEAR THE BOARD, LEAD BY THE CHAIRPERSON, PUTS TOGETHER AN ANNUAL REVIEW COMMITTEE, COMPRISED OF THE CHAIR, TREASURER AND A BOARD REPRESENTATIVE FROM THE FOUNDATION WORLD.

THIS GROUP RESEARCHES REPORTS AND SURVEYS ON SALARIES FOR CEO OF NOT FOR PROFITS WITH SIMILAR MISSIONS, BUDGET SIZES AND GEOGRAPHY, AND CONTRAST THESE SALARIES AGAINST THOSE LISTED ON WEBSITES SUCH AS GUIDESTAR TO DETERMINE AN APPROPRIATE COMPENSATION FOR THE EXECUTIVE DIRECTOR.

IN FY '11, THE BOARD OF TRUSTEES APPROVED SALARY INCREASES RANGING FROM 3% TO 5%. THE PERCENTAGE INCREASE WAS BASED ON THE AMOUNT OF YEARS THE

Name of the organization

NEW YORK FOUNDATION FOR THE ARTS, INC.

Employer identification number

23-7129564

EMPLOYEE HAD BEEN EMPLOYED BY NYFA. THE PROCESS WAS REVIEWED BY THE NYFA FINANCE COMMITTEE (MADE UP OF 5 TRUSTEES FROM THE BOARD) AND ULTIMATELY APPROVED BY THE ENTIRE NYFA BOARD.

FORM 990, PART VI, SECTION C, LINE 19: THE CONFLICT OF INTEREST POLICY AND GOVERNING DOCUMENTS ARE MADE TO THE PUBLIC AT ANYTIME UPON REQUEST. THE AUDITED FINANCIAL STATEMENTS ARE POSTED ON THE WEBSITE AND ARE ALSO AVAILABLE UPON REQUEST.

FORM 990, PART XI, LINE 5, CHANGES IN NET ASSETS:

NET UNREALIZED LOSSES ON INVESTMENTS: -103.

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print	Name of exempt organization NEW YORK FOUNDATION FOR THE ARTS, INC.	Employer identification number 23-7129564
File by the due date for filing your return See instructions	Number, street, and room or suite no. If a P O. box, see instructions 20 JAY STREET, NO. 740	
	City, town or post office, state, and ZIP code For a foreign address, see instructions BROOKLYN, NY 11201	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 1

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

FELIX ROSENWASSER

- The books are in the care of ► **20 JAY STREET, SUITE 740 - BROOKLYN, NY 11201**
Telephone No. ► **212-366-6900** FAX No ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **FEBRUARY 15, 2012** , to file the exempt organization return for the organization named above. The extension is for the organization's return for
► ☐ calendar year _____ or
► ☒ tax year beginning **JUL 1, 2010** , and ending **JUN 30, 2011** .

- 2 If the tax year entered in line 1 is for less than 12 months, check reason. ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	0.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

LHA For Paperwork Reduction Act Notice, see Instructions.

Form 8868 (Rev. 1-2011)