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Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052
2010

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning 07-01-2010 , and ending 06-30-2011

G Check all that apply Initial return Initial return of a former public charity Final return
 Amended return Address change Name change

Name of foundation ROCKEFELLER ARCHIVE CENTER		A Employer identification number 20-8030810
Number and street (or P O box number if mail is not delivered to street address) Room/suite 15 DAYTON AVENUE		B Telephone number (see page 10 of the instructions) (914) 366-6310
City or town, state, and ZIP code SLEEPY HOLLOW, NY 10591		C If exemption application is pending, check here <input type="checkbox"/> D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 116,000,913	J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	796,900			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	1,056	1,056	1,056	
	4 Dividends and interest from securities.		5,630,110	5,630,110	
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	0			
	b Gross sales price for all assets on line 6a _____				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	797,956	5,631,166	5,631,166		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	560,000			560,000
	14 Other employee salaries and wages	1,968,614			1,741,235
	15 Pension plans, employee benefits	821,857			713,454
	16a Legal fees (attach schedule).	87,441			77,017
	b Accounting fees (attach schedule).				75,000
	c Other professional fees (attach schedule)	931,291	461,365	461,365	366,560
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)	28,691		28,691	
	19 Depreciation (attach schedule) and depletion	324,845			
	20 Occupancy	786,537			580,502
	21 Travel, conferences, and meetings	142,076			140,256
	22 Printing and publications	29,333			29,333
	23 Other expenses (attach schedule).	516,795			554,414
	24 Total operating and administrative expenses. Add lines 13 through 23	6,197,480	461,365	490,056	4,837,771
	25 Contributions, gifts, grants paid.	92,901			92,901
26 Total expenses and disbursements. Add lines 24 and 25	6,290,381	461,365	490,056	4,930,672	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-5,492,425				
b Net investment income (if negative, enter -0-)		5,169,801			
c Adjusted net income (if negative, enter -0-)			5,141,110		

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

Part II Balance Sheets		Beginning of year			End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing					
	2	Savings and temporary cash investments	1,716,106	918,444	918,444		
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)					
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10a	Investments—U S and state government obligations (attach schedule)					
	b	Investments—corporate stock (attach schedule)					
	c	Investments—corporate bonds (attach schedule)					
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
	12	Investments—mortgage loans					
	13	Investments—other (attach schedule)	84,817,274	93,739,316	93,739,316		
	14	Land, buildings, and equipment basis ▶ <u>22,299,329</u> Less accumulated depreciation (attach schedule) ▶ <u>956,176</u>	20,621,512	21,343,153	21,343,153		
15	Other assets (describe ▶ _____)	6,685					
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	107,161,577	116,000,913	116,000,913			
Liabilities	17	Accounts payable and accrued expenses	816,095	454,410			
	18	Grants payable					
	19	Deferred revenue		112,400			
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule)					
	22	Other liabilities (describe ▶ _____)	1,292,633	378,231			
23	Total liabilities (add lines 17 through 22)	2,108,728	945,041				
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/>						
	and complete lines 24 through 26 and lines 30 and 31.						
	24	Unrestricted	83,155,199	54,100,082			
	25	Temporarily restricted		39,058,140			
	26	Permanently restricted	21,897,650	21,897,650			
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/>						
	and complete lines 27 through 31.						
27	Capital stock, trust principal, or current funds						
28	Paid-in or capital surplus, or land, bldg, and equipment fund						
29	Retained earnings, accumulated income, endowment, or other funds						
30	Total net assets or fund balances (see page 17 of the instructions)	105,052,849	115,055,872				
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	107,161,577	116,000,913				

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	105,052,849
2	Enter amount from Part I, line 27a	2	-5,492,425
3	Other increases not included in line 2 (itemize) ▶ _____	3	15,495,448
4	Add lines 1, 2, and 3	4	115,055,872
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	115,055,872

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(j) FMV as of 12/31/69	(k) Adjusted basis as of 12/31/69	(l) Excess of col (i) over col (j), if any	
a			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	5,192,209	87,424,391	0.05939
2008	4,678,455	95,890,788	0.04879
2007			
2006			
2005			

2	Total of line 1, column (d).	2	0.10818
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.05409
4	Enter the net value of noncharitable-use assets for 2010 from Part X, line 5.	4	90,793,519
5	Multiply line 4 by line 3.	5	4,911,021
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	51,698
7	Add lines 5 and 6.	7	4,962,719
8	Enter qualifying distributions from Part XII, line 4.	8	5,935,256

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	51,698
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2.	3	51,698
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	51,698
6	Credits/Payments		
a	2010 estimated tax payments and 2009 overpayment credited to 2010	6a	
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	62,500
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d.	7	62,500
8	Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	1,421
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	9,381
11	Enter the amount of line 10 to be Credited to 2011 estimated tax <input type="checkbox"/> 9,381 Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		No
c Did the foundation file Form 1120-POL for this year?		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		No
b If "Yes," has it filed a tax return on Form 990-T for this year?		No
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		No
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <input type="checkbox"/> NY _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? <i>If "Yes," complete Part XIV</i>	Yes	
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address www.rockarch.org	13	Yes	
14	The books are in care of ROBERT D ROSENDALE CPA Telephone no (914) 366-6310 Located at 15 DAYTON AVENUE SLEEPY HOLLOW NY ZIP+4 10591			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year. 15			
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No

See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes", enter the name of the foreign country.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
1b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>	1b	No
1c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years 20___, 20___, 20___, 20___		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions).	2b	No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20___, 20___, 20___, 20___		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.</i>)	3b	No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions). Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here. **5b** **No**

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
If "Yes" to 6b, file Form 8870. **6b** **No**

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No **7b** **No**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MICHELE HILTZIK 15 DAYTON AVENUE SLEEPY HOLLOW, NY 10591	ASST DIRECTOR 35 00	79,000	16,905	847
CAROL RADOVICH 15 DAYTON AVENUE SLEEPY HOLLOW, NY 10591	ASST DIRECTOR 35 00	79,000	16,834	2,584
ROBERT BATTALY JR 15 DAYTON AVENUE SLEEPY HOLLOW, NY 10591	ASST DIRECTOR 35 00	79,000	15,204	
ERWIN LEVOLD 15 DAYTON AVENUE SLEEPY HOLLOW, NY 10591	SENIOR RESEARCH AR 35 00	84,741	22,444	1,081
LEE R HILTZIK 15 DAYTON AVENUE SLEEPY HOLLOW, NY 10591	ASST DIRECTOR 35 00	85,333	42,859	3,330

Total number of other employees paid over \$50,000. **15**

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
PATTERSON BELKNAP WEBB & TYLER 1133 AVENUE OF THE AMERICAS NEW YORK, NY 10036	LEGAL	120,627
KJM CONSULTING INC 11 CHAPMAN DRIVE EAST LYME, CT 06333	PROJECT IT CONSULTNG	96,775
THE ROCKEFELLER UNIVERSITY 1230 YORK AVENUE NEW YORK, NY 10065	FINANCIAL SERVICES	736,882
Total number of others receiving over \$50,000 for professional services.		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 ARCHIVAL PROGRAM - The Rockefeller Archive Center is an independent operating foundation that preserves and makes available for research the archival collections of members of the Rockefeller family, institutions and organizations founded by Rockefeller family members (including the Rockefeller Foundation, Rockefeller Brothers Fund, Winthrop Rockefeller Foundation, General Education Board, Rockefeller University, Population Council, Asia Society, and many other organizations) and the records of other philanthropic and service organizations such as the Commonwealth Fund, Russell Sage Foundation, W T Grant Foundation, Markle Foundation, the Social Science Research Council and the Foundation Center. The Center also holds extensive collections of the personal papers of trustees, officers, faculty, and associates who were affiliated with these institutions. The Archive Center is located in Hillcrest, a home of Westchester County field stone built for Martha Baird Rockefeller (1895-1971), th	5,224,223
2 RESEARCH & EDUCATION - The Research and Education Department oversees many of the external programs of the Rockefeller Archive Center. It administers a competitive grant program that awards 40 to 50 research grants each year to graduate students, faculty members, and independent scholars. It organizes and hosts several workshops and conferences each year. And staff members on the research and education team edit and publish a series of research reports describing scholarly work at the RAC, a publishing program that is now primarily electronic and web-based. The 2009 renovation of the Archive Center's Carriage House provides space for the research and education staff as well as three to six visiting scholars. The scholar-in-residence program serves researchers who have done research at the Archive Center and learned that they need to spend an extended period of time, ranging from several months to a full academic year, on their archival research. The staff has also expanded an annual te	711,033
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions. 3	
Total. Add lines 1 through 3.	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	91,093,378
b	Average of monthly cash balances.	1b	1,082,783
c	Fair market value of all other assets (see page 24 of the instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	92,176,161
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	92,176,161
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions).	4	1,382,642
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	90,793,519
6	Minimum investment return. Enter 5% of line 5.	6	4,539,676

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	
2a	Tax on investment income for 2010 from Part VI, line 5.	2a	
b	Income tax for 2010 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	
6	Deduction from distributable amount (see page 25 of the instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	4,930,672
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	1,004,584
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	5,935,256
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions).	5	51,698
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	5,883,558

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only.				
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2010				
a From 2005.				
b From 2006.				
c From 2007.				
d From 2008.				
e From 2009.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ _____				
a Applied to 2009, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required—see page 26 of the instructions).				
d Applied to 2010 distributable amount.				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2010 <i>(If an amount appears in column (d), the same amount must be shown in column (a).)</i>				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions				
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions				
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions).				
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions).				
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2006.				
b Excess from 2007.				
c Excess from 2008.				
d Excess from 2009.				
e Excess from 2010.				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling. 2006-06-20

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	4,539,676	4,371,220	4,017,197		12,928,093
b 85% of line 2a	3,858,725	3,715,537	3,414,617		10,988,879
c Qualifying distributions from Part XII, line 4 for each year listed	5,935,256	5,251,174	5,301,556		16,487,986
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c	5,935,256	5,251,174	5,301,556		16,487,986
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.	3,026,450	2,914,146	3,196,359		9,136,955
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

GRANTS ADMINSTRATOR
15 DAYTON AVENUE
SLEEPY HOLLOW, NY 10591
(914) 366-6311

b The form in which applications should be submitted and information and materials they should include

GRANTEES COMPLETE A FOUR-PAGE APPLICATION AND MAIL IT TO THE ADDRESS PROVIDED ABOVE APPLCANTS MAY ALSO E-MAIL AN APPLICATION TO RACGRANTS@ROCKARCH.ORG

c Any submission deadlines

NOVEMBER 15TH OF EACH CALENDAR YEAR AWARDS ARE ANNOUNCED I

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

AWARDS ARE MADE TO INDIVIDUALS, INSTITUIONS ARE NOT ELIGIBLE TO APPLY THE GRANT DOES NOT SUPPORT RESEARCH AT OTHER INSTITUTIONS, AND IT DOES NOT PROVIDE GENERAL TUITION SUPPORT APPLICATION TO THE GRANT-IN-AID PROGRAM IS OPEN TO U S CITIZENS AND CITIZENS OF FOREIGN COUNTRIES CERTAIN U S GOVERNMENT REQUIREMENTS WILL APPLY TO NON-U S CITIZENS

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Additional Data Table				
Total				▶ 3a 92,901
b <i>Approved for future payment</i>				
Total				▶ 3b

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Schedule of Contributors

OMB No 1545-0047

▶ Attach to Form 990, 990-EZ, or 990-PF.

2010

Department of the Treasury
Internal Revenue Service

Name of organization
ROCKEFELLER ARCHIVE CENTER

Employer identification number
20-8030810

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule—

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. . . . ▶ \$ _____

Caution. An Organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
ROCKEFELLER ARCHIVE CENTER

Employer identification number

20-8030810

Part I Contributors (see Instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
5	THE ROCKEFELLER FOUNDATION 420 FIFTH AVENUE NEW YORK, NY 10018	\$ 181,200	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
4	NEAR EAST FOUNDATION 900 S CROUSE AVENUE SYRACUSE, NY 13244	\$ 11,200	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
3	RUSSELL SAGE FOUNDATION 112 EAST 64TH STREET NEW YORK, NY 10065	\$ 10,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
2	THE COMMONWEALTH FUND ONE EAST 75TH STREET NEW YORK, NY 10021	\$ 94,500	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
1	THE ROCKEFELLER UNIVERSITY 1230 YORK AVENUE NEW YORK, NY 10065	\$ 500,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
—		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

Name of organization ROCKEFELLER ARCHIVE CENTER	Employer identification number 20-8030810
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Part II Noncash Property (see Instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—	<hr/> <hr/> <hr/> <hr/>	\$ _____	_____
—	<hr/> <hr/> <hr/> <hr/>	\$ _____	_____
—	<hr/> <hr/> <hr/> <hr/>	\$ _____	_____
—	<hr/> <hr/> <hr/> <hr/>	\$ _____	_____
—	<hr/> <hr/> <hr/> <hr/>	\$ _____	_____
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—	<hr/> <hr/> <hr/> <hr/>	\$ _____	_____
—	<hr/> <hr/> <hr/> <hr/>	\$ _____	_____
—	<hr/> <hr/> <hr/> <hr/>	\$ _____	_____

Name of organization ROCKEFELLER ARCHIVE CENTER	Employer identification number 20-8030810
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Part III **Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year.** (Complete columns (a) through (e) and the following line entry)
For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ► \$

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	_____ _____ _____	_____ _____ _____	_____ _____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____ _____		_____ _____ _____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	_____ _____ _____	_____ _____ _____	_____ _____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____ _____		_____ _____ _____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	_____ _____ _____	_____ _____ _____	_____ _____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____ _____		_____ _____ _____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	_____ _____ _____	_____ _____ _____	_____ _____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____ _____		_____ _____ _____	

TY 2010 Accounting Fees Schedule

Name: ROCKEFELLER ARCHIVE CENTER

EIN: 20-8030810

Software ID: 10000105

Software Version: 2010v3.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
accounting and tax services		0	0	75,000

TY 2010 Contractor Compensation Explanation

Name: ROCKEFELLER ARCHIVE CENTER

EIN: 20-8030810

Software ID: 10000105

Software Version: 2010v3.2

Contractor	Explanation
THE ROCKEFELLER UNIVERSITY	
PATTERSON BELKNAP WEBB & TYLER	
KJM CONSULTING INC	

TY 2010 Employee Compensation Explanation

Name: ROCKEFELLER ARCHIVE CENTER

EIN: 20-8030810

Software ID: 10000105

Software Version: 2010v3.2

Employee	Explanation
MICHELE HILTZIK	
CAROL RADOVICH	
ROBERT BATTALY JR	
ERWIN LEVOLD	
LEE R HILTZIK	

TY 2010 Land, Etc. Schedule

Name: ROCKEFELLER ARCHIVE CENTER

EIN: 20-8030810

Software ID: 10000105

Software Version: 2010v3.2

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Miscellaneous	2,523,018		2,523,018	2,500,505
Land	4,852,251		4,852,251	4,838,000
Buildings	13,167,100	910,073	12,257,027	12,128,399
Machinery and Equipment	1,673,471	43,953	1,629,518	1,876,249
Furniture and Fixtures	42,994	2,150	40,844	
Auto./Transportation Equip.	40,495		40,495	

TY 2010 Legal Fees Schedule

Name: ROCKEFELLER ARCHIVE CENTER

EIN: 20-8030810

Software ID: 10000105

Software Version: 2010v3.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL ADVISE	87,441	0	0	77,017

TY 2010 Other Expenses Schedule

Name: ROCKEFELLER ARCHIVE CENTER

EIN: 20-8030810

Software ID: 10000105

Software Version: 2010v3.2

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
SECURITY	49,761			49,761
scholarly conferences and workshops	29,402			29,402
POSTAGE AND SUPPLIES	147,870			185,461
MISCELLANEOUS	577			577
MEMBERSHIPS	27,268			27,268
DIGITIZATION AND MICROFILMING	196,654			196,682
COMPUTER, SOFTWARE & LICENSING	65,263			65,263

TY 2010 Other Increases Schedule

Name: ROCKEFELLER ARCHIVE CENTER

EIN: 20-8030810

Software ID: 10000105

Software Version: 2010v3.2

Description	Amount
NET APPRECIATION	15,495,448

TY 2010 Other Liabilities Schedule

Name: ROCKEFELLER ARCHIVE CENTER

EIN: 20-8030810

Software ID: 10000105

Software Version: 2010v3.2

Description	Beginning of Year - Book Value	End of Year - Book Value
DUE TO THE ROCKEFELLER UNIVERSITY	1,153,324	210,230
EXCISE TAXES PAYABLE	139,309	168,001

TY 2010 Other Professional Fees Schedule

Name: ROCKEFELLER ARCHIVE CENTER

EIN: 20-8030810

Software ID: 10000105

Software Version: 2010v3.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FINANCIAL SERVICES/INVESTMENT FEES	736,365	461,365	461,365	214,500
ADVISORY & PROFESSIONAL SUPPORT	194,926	0	0	152,060

TY 2010 Taxes Schedule

Name: ROCKEFELLER ARCHIVE CENTER

EIN: 20-8030810

Software ID: 10000105

Software Version: 2010v3.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXCISE TAXES	28,691		28,691	

Additional Data

Software ID: 10000105
Software Version: 2010v3.2
EIN: 20-8030810
Name: ROCKEFELLER ARCHIVE CENTER

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JAMES A SMITH 15 DAYTON AVENUE SLEEPY HOLLOW, NY 10591	Vice President 35 00	170,000	38,714	8,146
ROBERT D ROSENDALE 15 DAYTON AVENUE SLEEPY HOLLOW, NY 10591	COO 35 00	180,000	50,817	8,271
JACK MEYERS 15 DAYTON AVENUE SLEEPY HOLLOW, NY 10591	President & CEO 35 00	210,000	69,375	14,200
ERIC WANNER 15 DAYTON AVENUE SLEEPY HOLLOW, NY 10591	Trustee 1 00	0		
MEGAN SNIFFIN-MARINOFF 15 DAYTON AVENUE SLEEPY HOLLOW, NY 10591	Trustee 1 00	0		
JUDITH RODIN 15 DAYTON AVENUE SLEEPY HOLLOW, NY 10591	Trustee 1 00	0		
DAVID ROCKEFELLER JR 15 DAYTON AVENUE SLEEPY HOLLOW, NY 10591	Trustee 1 00	0		
MARC TESSIER-LAVIGNE 15 DAYTON AVENUE SLEEPY HOLLOW, NY 10591	Trustee 1 00	0		
STEPHEN HEINTZ 15 DAYTON AVENUE SLEEPY HOLLOW, NY 10591	Trustee 1 00	0		
FRED BOHEN 15 DAYTON AVENUE SLEEPY HOLLOW, NY 10591	Sec/Tres 1 00	0		
NEIL L RUDENSTINE 15 DAYTON AVENUE SLEEPY HOLLOW, NY 10591	Chairman 1 00	0		

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
IZUMI YASUE 4-28-5 FANABASHI SETAGAYA-KU, SETAGAYA-KU 156-0055 JA	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	3,000
SINCLAIR WYNCHANK 5 ERWIN ROAD RONDEBOSCH, RONDEBOSCH 7700 SF	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	5,000
KAY WHITEHEAD 1 CATHERINE STREET BEULAH PARK, BEULAH PARK 5067 AS	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	3,691
ROSEMARY WALL 57 WATERLOO ROAD LONDON, LONDON SE1 8WA UK	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	2,500
SARAH VAN BEURDEN 486G UNIVERSITY HALL 230 NORTH OVAL COLUMBUS, OH 43210	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	1,000
AMIN T TURKI 45 RUE DULM PARIS, PARIS 75005 FR	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	1,693
JUDITH SYGA 27 RUE DAUBENTON PARIS, PARIS 75005 FR	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	2,672
WAYNE SOON 129 DICKINSON HALL PRINCETON, NJ 08540	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	2,384
MARK SCHULTZ UNIVERSITY WAY ROMEDEVILLE, IL 60446	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	1,250
ALICIA SCHORTGEN 6363 VICKERY BLVD DALLAS, TX 75214	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	1,649
SABINE SCHLEIERMACHER BETTENHOCHHAUS NR 37 BERLIN, BERLYN 10117 GM	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	3,500
JOSIE RODBERG 9 GREENE AVENUE 2 BROOKLYN, NY 11238	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	998
ADELE OLTMAN 15 MAGAW PLACE 5D NEW WORK, NY 10033	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	762
TORE C OLSSON 287 SAVANNAH AVENUE ATHENS, GA 30610	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	2,038
AMY OFFNER 675 STERLING PL 3R BROOKLYN, NY 11216	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	891
Total				92,901

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LEANDRO MORGENFELD OLLEROS 3668 BUERNOS AIRES, BUERNOS AIRES CP1427 AR	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	2,500
MARIBEL MOREY 13 MORTON STREET APT 3 NEW YORK, NY 10014	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	1,906
CECELIA MOORE 5313 WILLOW ROCK ROAD ELFLAND, NC 27243	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	1,013
LENA MCQUADE 5804 TELEGRAPH AVENUE OAKLAND, CA 94609	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	2,098
LOSCHKE EMIL FUCHS STREET 1 LEIPZIG, LEIPZIG D-04105 GM	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	4,818
ANA KORNDORFER RUA LIBERATO SALZANO VIEIRA DACUNHA RIO GRANDE DO SUL, RIO GRANDE DO SUL 93900-000 BR	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	3,354
MATT KOHLSTEDT 732 E JOHNSON STREET MADISON, WI 53703	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	2,500
SHIRA KLEIN 1 RUPON STREET REHOVOT, REHOVOT 76353 IS	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	1,250
KENNETH KASPERSKI 9 HIBISCUS CT HOMOSASSA, FL 34446	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	2,500
MIRIAM INTRATOR 415 AVENUE C APT 2B BROOKLYN, NY 11218	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	771
YU-LING HUANG 207 WINDIANA AVENUE URBANA, IL 61801	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	2,494
TODD HOLMES 386 PROSPECT STREET E2 NEW HAVEN, CT 06511	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	1,028
EMILY HAUPTMANN 215 N BERKLEY STREET KALAMAZOO, MI 49006	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	2,500
DAVID HAMILTON 142 NORTH STREET ST ANDREWS, SCOTLAND KY16 9AF UK	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	3,000
ELISE HAGESFELD 14530 SUPERIOR RD CLEVELAND HEIGHTS, OH 44118	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	1,542
Total			3a	92,901

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GEOFFREY GUY 5433 S KENWOOD AVENUE 1 CHICAGO,IL 60615	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	2,500
SHAWN FOSTER 3001 CHAMBERLAIN STREET NORTH UNIT6 MAPLEWOOD,NJ 55109	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	2,500
NICOLA FOOTE 10100 VILLAGIO PALMS WAY 204 ESTERO,FL 33928	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	2,308
ELIZABETH F ENGLE PO BOX 7395 JACKSON,WY 83002	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	1,481
NATHAN CROWE 3350 COLFAX AVENUE S APT3 MINNEAPOLIS,MN 55408	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	2,776
CLARISSA CEGLIO 5 SKINNER ROAD BROAD BROOK,CT 06016	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	2,144
RENATO CAMURRI 7 CONTRA DELLE GRAZIE VICENZA,VICENZA 36100 IT	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	3,888
DIANE BURNETTE 170 WOODLAWN AVENUE WINDER,GA 30680	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	1,250
JULIA BROCK 1170 HORSELEG CREEK ROAD ROME,GA 30165	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	502
JILL BRIGGS 4619 FLORIDA STREET SAN DIEGO,CA 92116	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	2,500
STEFANIE BATOR 1500 WEST KENNEDY ROAD LAKE FOREST,IL 60045	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	1,000
MARSHA BARRETT 85 EASTON AVENUE APT 4H NEW BRUNSWICK,NJ 08901	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	2,500
JESSICA ALLISON 10750 SW 11TH ST L113 MIAMI,FL 33174	NONE	NONE	REIMBURSEMENT OF TRAVEL EXPENSES	1,250
Total				92,901