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Return of Private Foundation

OMB No 1545-0052

2010

Department of the Treasury
Internal Revenue Serviceor Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2010, or tax year beginning JUL 1, 2010, and ending JUN 30, 2011

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☒ Address change ☐ Name change

Name of foundation The Schocken Foundation, Inc.		A Employer identification number 13-6167181
Number and street (or P O box number if mail is not delivered to street address) 11 Starbrook Drive	Room/suite	B Telephone number (617) 947-8150
City or town, state, and ZIP code Barrington, RI 02806		C If exemption application is pending, check here ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 3,223,354. (Part I, column (d) must be on cash basis)	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Contributions, gifts, grants, etc., received		7,240.		N/A	
Check ☐ if the foundation is not required to attach Sch B					
Interest on savings and temporary cash investments		46.	46.		Statement 1
Dividends and interest from securities		83,531.	83,531.		Statement 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		50,053.			
b Gross sales price for all assets on line 6a		734,055.			
Capital gain net income (from Part IV, line 2)			50,053.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		140,870.	133,630.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees Stmt 3		969.	484.		485.
b Accounting fees Stmt 4		3,694.	1,847.		1,847.
c Other professional fees Stmt 5		8,000.	4,000.		4,000.
17 Interest					
18 Taxes Stmt 6		1,395.	0.		250.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses Stmt 7		817.	0.		817.
24 Total operating and administrative expenses Add lines 13 through 23		14,875.	6,331.		7,399.
25 Contributions, gifts, grants paid		261,000.			261,000.
26 Total expenses and disbursements. Add lines 24 and 25		275,875.	6,331.		268,399.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<135,005.>			
b Net investment income (if negative, enter -0-)			127,299.		
c Adjusted net income (if negative, enter -0-)				N/A	

5

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	160,293.	239,524.	239,524.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations Stmt 8	806,848.	718,869.	732,152.
	b Investments - corporate stock Stmt 9	15,832.	11,856.	102,854.
	c Investments - corporate bonds			
11 Investments - land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other Stmt 10	2,116,869.	1,994,588.	2,148,824.	
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)	3,099,842.	2,964,837.	3,223,354.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
29 Retained earnings, accumulated income, endowment, or other funds	3,099,842.	2,964,837.		
30 Total net assets or fund balances	3,099,842.	2,964,837.		
31 Total liabilities and net assets/fund balances	3,099,842.	2,964,837.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,099,842.
2 Enter amount from Part I, line 27a	2	<135,005.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	2,964,837.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,964,837.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b See Attached Statement				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e 734,055.		684,002.	50,053.	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e			50,053.	
2 Capital gain net income or (net capital loss)		2		50,053.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3		N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	233,076.	2,688,854.	.086682
2008	219,922.	1,782,189.	.123400
2007	231,431.	2,417,137.	.095746
2006	513,263.	2,673,666.	.191970
2005	291,149.	2,699,968.	.107834
2 Total of line 1, column (d)			2 .605632
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .121126
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5			4 3,118,613.
5 Multiply line 4 by line 3			5 377,745.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 1,273.
7 Add lines 5 and 6			7 379,018.
8 Enter qualifying distributions from Part XII, line 4			8 268,399.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	2,546.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,546.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,546.
6 Credits/Payments:			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a 1,400.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	1,400.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,146.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2011 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>NY, RI</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses Stmt 11	X	

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>none</u>	13	X	
14	The books are in care of ► <u>Nathan Rome</u> Telephone no. ► <u>(617) 947-8150</u> Located at ► <u>57 Pine Street, Pawtucket, RI</u> ZIP+4 ► <u>02860</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ► ☐	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No If "Yes," list the years ► _____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 12		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,952,031.
b	Average of monthly cash balances	1b	214,074.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	3,166,105.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,166,105.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	47,492.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,118,613.
6	Minimum investment return. Enter 5% of line 5	6	155,931.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	155,931.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	2,546.
b	Income tax for 2010. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,546.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	153,385.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	153,385.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	153,385.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	268,399.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	268,399.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	268,399.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				153,385.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005	160,665.			
b From 2006	384,378.			
c From 2007	120,345.			
d From 2008	132,857.			
e From 2009	99,778.			
f Total of lines 3a through e	898,023.			
4 Qualifying distributions for 2010 from Part XII, line 4: ▶ \$	268,399.			
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				153,385.
e Remaining amount distributed out of corpus	115,014.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	1,013,037.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	160,665.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	852,372.			
10 Analysis of line 9:				
a Excess from 2006	384,378.			
b Excess from 2007	120,345.			
c Excess from 2008	132,857.			
d Excess from 2009	99,778.			
e Excess from 2010	115,014.			

Part XIV	Private Operating Foundations (see instructions and Part VII-A, question 9)
-----------------	--

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

Part XV	Supplementary Information (continued)
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3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year					
See Statement 13					
Total ▶ 3a					261,000.
b Approved for future payment					
None					
Total ▶ 3b					0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments				14	46.	
4 Dividends and interest from securities				14	83,531.	
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory				18	50,053.	
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)			0.		133,630.	0.
13 Total Add line 12, columns (b), (d), and (e)					133,630.	

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2010

Name of the organization

The Schocken Foundation, Inc.

Employer identification number

13-6167181

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2010)

Name of organization

Employer identification number

The Schocken Foundation, Inc.**13-6167181****Part I Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	Estate of Leopold Kaufmann 22 Sorvangen Oslo, NORWAY 0282	\$ 7,240.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization

Employer identification number

The Schocken Foundation, Inc.13-6167181**Part II Noncash Property** (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

Employer identification number

The Schocken Foundation, Inc.**13-6167181**

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	GE Money Bank CD	P	10/09/09	07/16/10
b	Medallion Bank CD	P	10/09/09	10/27/10
c	US Treasury Notes	P	01/18/08	11/15/10
d	Chevron Corporation-224 shares	P	09/28/88	12/10/10
e	FPA Capital Fund Inc.-370.645 shares	P	04/06/04	12/10/10
f	Iva International Fund-15,000 shares	P	Various	12/10/10
g	Royce Value Trust Inc.-1,000 shares	P	07/30/02	12/10/10
h	Wells Fargo Company-500 shares	P	04/30/81	12/10/10
i	US Treasury Notes	P	08/09/01	01/18/11
j	US Treasury Bill	P	12/30/10	03/31/11
k	Capital Gains Dividends			
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 50,000.		50,000.	0.
b 50,000.		52,206.	<2,206.>
c 100,000.		106,039.	<6,039.>
d 19,190.		3,365.	15,825.
e 14,995.		14,645.	350.
f 242,845.		213,280.	29,565.
g 13,544.		14,655.	<1,111.>
h 14,909.		610.	14,299.
i 125,687.		129,216.	<3,529.>
j 100,000.		99,986.	14.
k 2,885.			2,885.
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			0.
b			<2,206.>
c			<6,039.>
d			15,825.
e			350.
f			29,565.
g			<1,111.>
h			14,299.
i			<3,529.>
j			14.
k			2,885.
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	50,053.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	Amount
Wachovia Securities (925)	46.
Total to Form 990-PF, Part I, line 3, Column A	46.

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	Column (A) Amount
Wachovia Securities (925)	86,416.	2,885.	83,531.
Total to Fm 990-PF, Part I, ln 4	86,416.	2,885.	83,531.

Form 990-PF Legal Fees Statement 3

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Legal fees	969.	484.		485.
To Fm 990-PF, Pg 1, ln 16a	969.	484.		485.

Form 990-PF Accounting Fees Statement 4

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Accounting fees	3,694.	1,847.		1,847.
To Form 990-PF, Pg 1, ln 16b	3,694.	1,847.		1,847.

Form 990-PF	Other Professional Fees	Statement	5
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Administrative assistance	8,000.	4,000.		4,000.
To Form 990-PF, Pg 1, ln 16c	8,000.	4,000.		4,000.

Form 990-PF	Taxes	Statement	6
-------------	-------	-----------	---

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
New York Attorney General fees	250.	0.		250.
Federal Excise Tax	1,145.	0.		0.
To Form 990-PF, Pg 1, ln 18	1,395.	0.		250.

Form 990-PF	Other Expenses	Statement	7
-------------	----------------	-----------	---

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Dues and conferences	695.	0.		695.
Office supplies and expenses	122.	0.		122.
To Form 990-PF, Pg 1, ln 23	817.	0.		817.

Form 990-PF	U.S. and State/City Government Obligations	Statement	8
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Description	U.S. Gov't	Other Gov't	Book Value	Fair Market Value
Government Bonds-see attached schedule for details	X		646,380.	659,663.
Municipal Bonds		X	72,489.	72,489.
Total U.S. Government Obligations			646,380.	659,663.
Total State and Municipal Government Obligations			72,489.	72,489.
Total to Form 990-PF, Part II, line 10a			718,869.	732,152.

Form 990-PF	Corporate Stock	Statement	9
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Description	Book Value	Fair Market Value
Corporate Stock-see attached schedule for details	11,856.	102,854.
Total to Form 990-PF, Part II, line 10b	11,856.	102,854.

Form 990-PF	Other Investments	Statement	10
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Description	Valuation Method	Book Value	Fair Market Value
Mutual Funds-see attached schedule for details	COST	1,994,588.	2,148,824.
Certificates of Deposit-see attached schedule for details	COST	0.	0.
Total to Form 990-PF, Part II, line 13		1,994,588.	2,148,824.

Form 990-PF	List of Substantial Contributors Part VII-A, Line 10	Statement 11
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Name of Contributor	Address
Estate of Leopold Kaufmann	22 Sorvangen Oslo, NORWAY 0282

Form 990-PF	Part VIII - List of Officers, Directors Trustees and Foundation Managers	Statement 12
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Name and Address	Title and Avrg Hrs/Wk	Compen- sation	Employee Ben Plan Expense Contrib Account	Expense Account
Shimon Schocken 15 Hatamar Street	President, Secretary 1.00	0.	0.	0.
Nathan Rome 12 Reservoir Street Winchester, MA 01890	Treasurer 1.00	0.	0.	0.
Naomi Landau Rehov Menashe 15	Director 1.00	0.	0.	0.
Hillel Schocken 87 Haim Levanon Street	Director 1.00	0.	0.	0.
Noa Schocken 18 Harimon Street	Director 1.00	0.	0.	0.
Totals included on 990-PF, Page 6, Part VIII		0.	0.	0.

Form 990-PF

Grants and Contributions
Paid During the Year

Statement 13

Recipient Name and Address	Recipient Relationship and Purpose of Grant	Recipient Status	Amount
American Israel Cultural Foundation 20 East 46th Street New York, NY 10017	None To support artists and their work	Public	10,000.
The Jerusalem Foundation 420 Lexington Avenue, Ste. 1645 New York, NY 10170	None To support artist workshop	Public	15,000.
Greyston Foundation 21 Park Avenue Yonkers, NY 10703	None To support low income individuals become self sufficient	Public	25,000.
Institute for Jewish Spirituality 330 7th Avenue, Ste. 1902 New York, NY 10001	None Educational programs for rabbis	Public	10,000.
Jewish Reconstructionist Federation 101 Greenwood Avenue, Ste. 430 Jenkintown, PA 19046	None Educational program for high school children	Public	15,000.
New Israel Fund P.O. Box 91588 Washington, DC 29009	None Educational programs	Public	25,000.
Central Fund of Israel 980 6th Avenue New York, NY 10018	None To support underprivileged children at Harel High School	Public	15,000.
PEF Israel Endowment Fund 317 Madison Avenue, Ste. 607 New York, NY 10017	None To support social services, health and educational programs	Public	86,000.

The Schocken Foundation, Inc.

13-6167181

Greater Washington Interfaith
Power and Light
100 Allison Street NW
Washington, DC 20001

None
Social programs for
all faith groups

Public

10,000.

Jewish Federation of North
America
25 Broadway, Ste. 1700 New York,
NY 10004

None
To support employment
and advocacy projects
for women, special
needs children

Public

50,000.

Total to Form 990-PF, Part XV, line 3a

261,000.

**SCHOCKEN FOUNDATION C/O
NATHAN ROME**

 JUNE 1 - JUNE 30, 2011
ACCOUNT NUMBER: 1925

Additional information

Gross proceeds	THIS PERIOD 0.00	THIS YEAR 125,687.00
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Portfolio detail
Cash and Sweep Balances

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor.

DESCRIPTION	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
BANK DEPCST SWEEP	7.72	0.02	249,523.62	49.90
Interest Period 06/01/11 - 06/30/11				

Total Cash and Sweep Balances

\$249,523.62

\$49.90

* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365- or 366-day year (as applicable).

Stocks, options & ETFs
Stocks and ETFs

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
CHEVRON CORPORATION CVX - HELD IN MARGIN Acquired 09/28/88 L nc	2.23	700	15.02	10,515.15	102.8400	71,988.00	61,472.85	2,184.30	3.03
WELLS FARGO COMPANY WFC - HELD IN MARGIN Acquired 04/30/81 L nc	0.95	1,100	1.22	1,343.37	28.0600	30,866.00	29,522.63	528.30	1.71
Total Stocks and ETFs	3.18			\$11,858.52		\$102,854.00	\$90,995.48	\$2,712.00	2.64
Total Stocks, options & ETFs	3.18			\$11,858.52		\$102,854.00	\$90,995.48	\$2,712.00	2.64

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.



WELLS
FARGO

ADVISORS

SCHOCKEN FOUNDATION C/O
NATHAN ROMEJUNE 1 - JUNE 30, 2011
ACCOUNT NUMBER. 1925**Fixed Income Securities**

Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix system to arrive at an estimated market value.

Government Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED			
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)	
US TREASURY											
INDEX INFLATION											
NOTES											
CPN 2.000% DUE 04/15/12											
DTD 04/15/07 FC 10/15/07											
Moody AAA S&P NR											
JUN FACTCR 1.10810000											
CUSIP 912828GN4											
Acquired 10/29/09 L nc	1.75	50,000	111.52 104.42	57,760.55 55,464.05	102.1800	56,612.82	-1,147.73	230.10	1,108.10		1.95
US TREASURY											
NOTE											
CPN 3.000% DUE 07/15/12											
DTD 07/15/02 FC 01/15/03											
HELD IN MARGIN											
Moody AAA S&P NR											
JUN FACTCR 1.25060000											
CUSIP 912828AF7											
Acquired 01/08/03 L nc	2.02	50,000	131.10 106.47	65,550.00 53,437.00	104.3440	65,246.30	-303.70	860.22	1,875.90		2.87
US INFL INDX N/B											
CPN 1.875% DUE 07/15/13											
DTD 07/15/03 FC 01/15/04											
Moody AAA S&P NR											
JUN FACTCR 1.22429000											
CUSIP 912828BD1											
Acquired 10/29/09 L nc	1.61	40,000	127.94 104.68	51,177.97 49,147.97	106.2270	52,021.06	843.09	421.06	918.21		1.76

**SCHOCKEN FOUNDATION C/O
NATHAN ROME**

JUNE 1 - JUNE 30, 2011
ACCOUNT NUMBER. 1925

Fixed Income Securities

Government Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
US INFL INDX NTS										
CPN 2.000% DUE 01/15/14										
DTD 01/15/04 FC 07/15/04										
Moody AAA S&P NR										
JUN FACTCR 1.21693000										
CUSIP 912828BW9										
Acquired 10/09/09 L nc		40,000	127.35	50,941.94		52,434.40	1,492.46			
Acquired 01/06/10 L nc		50,000	104.84	48,924.34		65,543.43	626.25			
			125.83	64,917.18						
			106.94	62,576.18						
Total	3.65	90,000		\$115,859.12	107.7190	\$117,977.83	\$2,118.71	\$1,004.47	\$2,190.47	1.86
				\$111,500.52						
US TREASURY INFLATION INDEX NOTES										
CPN 1.250% DUE 04/15/14										
DTD 04/15/03 FC 10/15/09										
Moody AAA S&P NR										
JUN FACTCR 1.06249000										
CUSIP 912828KM1										
Acquired 01/06/10 L nc	0.87	25,000	110.97	27,742.50	106.0550	28,170.59	428.09	68.95	332.02	1.17
			104.60	26,720.50						
US TREASURY NOTE										
INFLATION INDEX NOTES										
CPN 2.000% DUE 07/15/14										
DTD 07/15/04 FC 01/15/05										
Moody AAA S&P NR										
JUN FACTCR 1.19290000										
CUSIP 912828CP3										
Acquired 01/06/10 L nc	1.21	30,000	127.61	38,284.04	109.0470	39,024.64	740.60	328.21	715.74	1.83
			107.24	36,907.04						



WELLS
FARGO

ADVISORS

SCHOCKEN FOUNDATION C/O
NATHAN ROMEJUNE 1 - JUNE 30, 2011
ACCOUNT NUMBER 1925

Fixed Income Securities

Government Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
UNITED STATES TREASURY										
INFLATION INDEX										
CPN 1.625% DUE 01/15/15										
DTD 01/15/05 FC 07/15/05										
Moody AAA S&P NR										
JUN FACTCR 1.17761000										
CUSIP 912828DH0										
Acquired 01/06/10 L nc	1.58	40,000	122.98 105.48	49,593.38 47,780.98	108.4380	51,079.06	1,485.68	351.01	765.44	1.49
US TREASURY										
INFLATION INDEXED										
CPN 1.875% DUE 07/15/15										
DTD 07/15/05 FC 01/15/06										
Moody AAA S&P NR										
JUN FACTCR 1.15602000										
CUSIP 912828EA4										
Acquired 10/09/09 L nc		45,000	120.80 104.68	54,362.15 52,206.20		57,336.75	2,974.60			
Acquired 01/06/10 L nc		40,000	123.26 106.88	49,307.77 47,528.97		50,966.31	1,658.54			
Total	3.35	85,000		\$103,669.92 \$89,735.17	110.2190	\$108,303.06	\$4,633.14	\$844.86	\$1,842.40	1.70
US TREASURY NOTES										
INDEX INFLATION										
CPN 2.000% DUE 01/15/16										
DTD 01/15/06 FC 07/15/06										
Moody AAA S&P NR										
JUN FACTCR 1.13291000										
CUSIP 912828ET3										
Acquired 01/06/10 L nc	1.36	35,000	121.38 107.41	42,485.47 40,960.17	111.0860	44,047.65	1,562.18	363.66	793.03	1.80

**SCHOCKEN FOUNDATION C/O
NATHAN ROME**

JUNE 1 - JUNE 30, 2011
ACCOUNT NUMBER 1925

Fixed Income Securities

Government Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
US INFL INDX NTS CPN 2.500% DUE 07/15/16 DTD 07/15/06 FC 01/15/07 Moody AAA S&P NR JUN FACTCR 1.11343000 CUSIP 912828FL9 Acquired 01/06/10 L nc	1.18	30,000	122.77 111.66	36,832.31 35,547.11	114.3670	38,201.89	1,369.58	382.93	835.07	2.18
US TREASURY INFLATION INDEXED NOTES CPN 1.625% DUE 01/15/18 DTD 01/15/08 FC 07/15/08 Moody AAA S&P NR JUN FACTCR 1.07333000 CUSIP 912828HN3 Acquired 12/10/10 S nc	1.82	50,000	114.84 107.19	57,424.54 55,917.54	109.8980	58,978.41	1,553.87	399.90	872.08	1.47
Total Government Bonds	20.40	525,000		\$646,379.80 \$613,118.05		\$659,663.31	\$13,283.51	\$5,255.37	\$12,248.46	1.86

nc Cost information for this lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS

Taxable Municipal Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
MASSACHUSETTS ST FEDL HWY TAXABLE FED GRANT ANTIC BUILD AMER BDS CPN 2.983% DUE 12/15/15 DTD 12/23/10 FC 06/15/11 Moody AA2 S&P AAA CUSIP 57553PEM7 Acquired 12/30/10 S nc	0.80	25,000	101.33	25,339.50	102.8860	25,721.50	382.00	31.07	745.75	2.89



**SCHOCKEN FOUNDATION C/O
NATHAN ROME**

 JUNE 1 - JUNE 30, 2011
ACCOUNT NUMBER. 1925

Fixed Income Securities
Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
LAFAYETTE LA PUB IMPT SALES TAX SER A BUILD AMER BDS DIRECT PMT TO CPN 5.690% DUJE 03/01/19 DTD 08/18/09 FC 03/01/10 Moody AA3, S&P AA+ CUSIP 5064844U5 Acquired 12/30/10 S nc	0.65	20,000	106.84	21,774.80	105.8310	21,166.20	-608.60	376.17	1,138.00	5.37
PENNSYLVANIA ST TAXABLE 3RD SER B BUILD AMER BDS (ISSUER SUBSIDY) G/O CPN 4.050% DUJE 07/15/19 DTD 12/23/10 FC 07/15/11 Moody AA1 CUSIP 70914PPD8 Acquired 12/30/10 S nc	0.79	25,000	101.47	25,374.50	102.4070	25,601.75	227.25	525.94	1,012.50	3.95
Total Taxable Municipal Bonds	2.24	70,000		\$72,488.80		\$72,489.45	\$0.65	\$933.18	\$2,896.25	4.00
Total Fixed Income Securities	22.64			\$718,868.60 \$685,606.85		\$732,152.76	\$13,284.16	\$6,188.55	\$15,144.71	2.07

nc Cost information for this list is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

Mutual Funds

Mutual fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total Return. If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions.

Open End Mutual Funds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
AMER MUTUAL FD INC A AMRMX - HELD IN MARGIN Acquired 01/16/96 L nc Acquired 02/28/96 L nc Acquired 04/09/96 L nc Acquired 05/08/96 L nc Acquired 06/27/96 L nc		999 963 16,24700 969 944	25.03 25.96 25.75 25.77 25.45	25,014.96 25,009.11 412.02 24,980.82 24,978.24		26,633.36 25,673.57 433.15 25,833.53 25,167.04	1,618.40 664.46 21.13 852.71 188.80		

**SCHOCKEN FOUNDATION C/O
NATHAN ROME**

JUNE 1 - JUNE 30, 2011
ACCOUNT NUMBER: 1925

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 07/09/96 L nc		32.59500	25.53	817.16		868.98	51.82		
Acquired 08/21/96 L nc		943.04000	26.51	25,000.00		25,141.45	141.45		
Acquired 09/19/96 L nc		927.64400	26.96	25,000.00		24,730.99	-269.01		
Acquired 10/08/96 L nc		46.16300	26.43	1,216.85		1,230.71	13.86		
Acquired 11/14/96 L nc		889.04700	28.12	25,000.00		23,701.99	-1,298.01		
Acquired 12/10/96 L nc		478.25500	25.62	12,248.11		12,750.28	502.17		
Acquired 12/27/96 L nc		923.19100	27.08	25,000.00		24,612.27	-387.73		
Acquired 04/08/97 L nc		62.76500	26.22	1,626.24		1,673.31	47.07		
Acquired 07/08/97 L nc		55.10400	26.78	1,638.79		1,469.07	-169.72		
Acquired 10/27/97 L nc		53.15100	31.11	1,649.81		1,417.01	-232.80		
Acquired 12/09/97 L nc		15.90600	30.93	464.92		424.05	-40.87		
Acquired 03/31/98 L nc		745.29500	26.24	19,784.98		19,869.56	-1,915.42		
Acquired 06/29/98 L nc		57.30900	31.78	1,812.68		1,527.86	-284.82		
Acquired 08/29/98 L nc		58.57900	31.44	1,824.14		1,561.72	-262.42		
Acquired 12/11/98 L nc		61.73000	30.08	1,835.86		1,645.72	-190.14		
Acquired 03/29/99 L nc		1,006.06600	28.75	28,924.39		26,821.72	-2,102.67		
Acquired 06/28/99 L nc		64.34900	28.60	1,895.71		1,715.54	-180.17		
Acquired 09/27/99 L nc		60.86900	31.78	1,907.62		1,622.77	-284.85		
Acquired 12/10/99 L nc		65.82800	28.50	1,918.88		1,754.97	-163.91		
Acquired 03/16/00 L nc		81.23900	23.83	1,931.05		2,165.83	234.78		
Acquired 06/26/00 L nc		2,215.41400	23.77	52,660.38		59,062.94	6,402.56		
Acquired 09/25/00 L nc		108.17000	21.80	2,355.94		2,883.81	527.87		
Acquired 12/08/00 L nc		103.39200	22.15	2,375.95		2,756.43	380.48		
Acquired 03/15/01 L nc		102.39700	23.48	2,395.07		2,729.91	334.84		
Acquired 10/08/00 L nc		105.92500	22.99	2,414.02		2,823.96	409.94		
Acquired 01/06/10 L nc		718.56800	22.80	16,376.17		19,157.02	2,780.85		
Acquired 10/08/09 L nc		106.76300	22.55	2,497.18		2,846.30	349.12		
Acquired 01/06/10 L nc		4,426.73700	22.59	100,005.00		118,016.76	18,011.76		
Acquired 01/06/10 L nc		3,760.96900	23.93	90,005.00		100,267.48	10,262.48		
Total	18.28	22,167.70700		\$554,977.05	26.6600	\$590,991.06	\$36,014.01	\$13,300.52	2.25
BLACKROCK FUNDS									
GLOBAL GROWTH FD									
CL C									
MCGGX									
On Reinvestment									
Acquired 03/13/08 L nc		3,154.57400	15.85	50,007.50		46,971.58	-3,035.92		
Acquired 04/08/08 L nc		1,534.68400	16.29	25,007.50		22,851.44	-2,156.06		
Acquired 05/21/08 L nc		1,475.79700	16.94	25,007.50		21,974.63	-3,032.87		
Acquired 01/06/10 L nc		1,466.27600	13.64	20,005.00		21,832.87	1,827.87		
Reinvestments L nc		66.52200	13.17	876.10		990.51	114.41		



WELLS
FARGO

ADVISORS

SCHOCKEN FOUNDATION C/O
NATHAN ROMEJUNE 1 - JUNE 30, 2011
ACCOUNT NUMBER: 1925

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Total	3.54	7,697.85300		\$120,903.60	14.8900	\$114,621.03	-\$6,282.57	N/A	N/A
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$120,027.50			
						-\$5,406.47			
DODGE & COX FDS INTL STK FD DODFX On Reinvestment									
Acquired 03/13/08 L nc		606.94300	41.19	25,047.50		22,323.36	-2,724.14		
Acquired 04/09/08 L nc		585.06900	42.73	25,047.50		21,518.83	-3,528.67		
Acquired 05/21/08 L nc		561.92400	44.49	25,047.50		20,667.56	-4,379.94		
Acquired 01/06/10 L nc		1,521.60700	32.86	50,045.00		55,964.71	5,919.71		
Reinvestments L nc		238.43900	22.14	5,280.16		8,769.79	3,489.63		
Reinvestments S nc		49.17800	35.36	1,739.42		1,808.77	69.35		
Total	4.05	3,563.16000		\$132,207.08	36.7800	\$131,053.02	-\$1,154.06	\$1,763.76	1.35
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$125,187.50			
						\$5,865.52			
FPA CAPITAL FUND INC FPPTX - HE_D IN MARGIN On Reinvestment									
Acquired 04/06/04 L nc		3,678.96300	35.51	145,361.26		168,165.36	22,804.10		
Reinvestments L nc		2,170.06900	35.24	76,491.82		99,193.89	22,702.07		
Total	8.27	5,849.03200		\$221,853.08	45.7100	\$267,359.25	\$45,506.17	N/A	N/A
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$145,361.26			
						\$121,997.99			
FPA NEW INCOME INC FPNIX On Reinvestment									
Acquired 10/08/09 L nc		22,301.51700	11.21	250,005.00		243,309.33	-6,695.67		
Acquired 01/06/10 L nc		4,524.88700	11.05	50,005.00		49,366.65	-638.35		
Reinvestments L nc		415.00600	10.96	4,550.40		4,527.73	-22.67		
Reinvestments S nc		1,104.90700	10.88	12,027.07		12,054.60	27.53		
Total	9.56	28,346.31700		\$316,587.47	10.9100	\$309,258.31	-\$7,329.16	\$12,330.54	3.99
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$300,010.00			
						\$9,248.31			

**SCHOCKEN FOUNDATION C/O
NATHAN ROME**

JUNE 1 - JUNE 30, 2011
ACCOUNT NUMBER: 1925

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
IVA INTERNATIONAL FD CLASS A MIOX									
On Reinvestment									
Acquired 10/09/09 L nc		2,463.68400	14.91	36,734.44		42,128.97	5,394.53		
Acquired 01/06/10 L nc		4,016.06400	14.94	60,005.00		68,674.69	8,669.69		
Acquired 12/30/10 S nc		6,215.04000	16.09	100,005.00		106,277.20	6,272.20		
Reinvestments L nc		506.90900	14.37	7,289.34		8,668.15	1,378.81		
Reinvestments S nc		192.59600	16.85	3,054.57		3,293.40	238.83		
Total	7.08	13,394.29300		\$207,088.35	17.1000	\$229,042.41	\$21,954.06	\$321.46	0.14
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
								\$196,744.44	
								\$32,297.97	

**OPPENHEIMER LIMITED
TERM MUNICIPAL FD CLC
OITCX**

On Reinvestment									
Acquired 03/13/08 L nc		1,666.66700	15.00	25,007.50		23,883.32	-1,124.18		
Acquired 04/08/08 L nc		1,670.00700	14.97	25,007.50		23,931.20	-1,076.30		
Acquired 05/21/08 L nc		1,667.77900	14.99	25,007.50		23,899.27	-1,108.23		
Acquired 12/30/10 S nc		7,062.14700	14.16	100,005.00		101,200.50	1,195.50		
Reinvestments L nc		493.80700	12.98	6,906.53		7,076.27	169.74		
Reinvestments S nc		399.77300	14.19	5,675.14		5,728.81	53.67		
Total	5.74	12,960.18000		\$187,609.17	14.3300	\$185,719.37	-\$1,889.80	\$8,074.19	4.35
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
								\$175,027.50	
								\$10,691.87	
Total Open End Mutual Funds	56.54			\$1,741,225.80		\$1,828,044.45	\$86,818.65	\$35,790.67	1.96

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

Closed End Mutual Funds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
ROYCE VALUE TRUST INC RVT - HELD IN MARGIN Acquired 07/30/02 L nc		13,718	N/A##	N/A		204,672.56	N/A		



**SCHOCKEN FOUNDATION C/O
NATHAN ROME**

 JUNE 1 - JUNE 30, 2011
ACCOUNT NUMBER: 1925

**WELLS
FARGO**
ADVISORS
Mutual Funds
Closed End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 03/13/03 L nc		3,790	N/A##	N/A		56,546.80	N/A		
Acquired 12/23/03 L nc		492	N/A##	8,068.80		7,340.64	-728.16		
Acquired 10/09/09 L nc		1,000	1C.47	10,677.40		14,920.00	4,242.60		
Acquired 01/06/10 L nc		2,500	11.04	28,082.47		37,300.00	9,217.53		
Total	9.92	21,500		\$46,828.67	14.9200	\$320,780.00	\$12,731.97	\$8,600.00	2.68
Total Closed End Mutual Funds	9.92			\$46,828.67		\$320,780.00	\$12,731.97	\$8,600.00	2.68
Total Mutual Funds	66.46			\$1,788,054.47		\$2,148,824.45	\$99,550.62	\$44,390.57	2.07

 ## Cost information for one or more securities is not available. If you have cost information and would like to see it on future statements, contact Your Financial Advisor.
nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.