Form **990-PF**
Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2010

For calendar year 2010, or tax year beginning 07/01 **, 2010, and ending** 06/30, **20**11

G Check all that apply
- [ ] Initial return
- [ ] Initial return of a former public charity
- [ ] Final return
- [ ] Amended return
- [ ] Address change
- [ ] Name change

Name of foundation: THE SAMUEL RUBIN FOUNDATION, INC.

A Employer identification number: 13-6164671

Number and street (or P O box number if mail is not delivered to street address): 777 UNITED NATIONS PLAZA
Room/suite: 10D

B Telephone number (see page 10 of the instructions): (212) 697-8945

City or town, state, and ZIP code: NEW YORK, NY 10017

C If exemption application is pending, check here ▶ [ ]
D 1. Foreign organizations, check here ▶ [ ]
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]
E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 11,947,419.

J Accounting method: [X] Cash [ ] Accrual
[ ] Other (specify) ______________
(Part I, column (d) must be on cash basis)

SCANNED NOV 2 2 2011

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue 1	Contributions, gifts, grants, etc , received (attach schedule)	0.			
2	Check ▶ [ ] if the foundation is **not** required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	301,754.	301,754.		ATCH 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	477,299.			
b	Gross sales price for all assets on line 6a 6,615,833.				
7	Capital gain net income (from Part IV, line 2)		477,299.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	**Total.** Add lines 1 through 11	779,053.	779,053.		
Operating and Administrative Expenses 13	Compensation of officers, directors, trustees, etc	25,000.	1,250.		23,750.
14	Other employee salaries and wages	65,890.	9,884.		56,007.
15	Pension plans, employee benefits	20,372.	1,022.		19,350.
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule) ATCH 2	16,825.	12,619.	0.	4,206.
c	Other professional fees (attach schedule) *	76,189.	76,189.		
17	Interest				
18	Taxes (attach schedule) (see page 14 of the instructions) **	10,847.	3,239.		6,608.
19	Depreciation (attach schedule) and depletion	286.	94.		
20	Occupancy	26,842.	8,858.		17,984.
21	Travel, conferences, and meetings	4,540.	1,135.		3,405.
22	Printing and publications	677.	169.		508.
23	Other expenses (attach schedule) ATCH 5	14,176.	3,544.		10,632.
24	**Total operating and administrative expenses.** Add lines 13 through 23	261,644.	118,003.	0.	142,450.
25	Contributions, gifts, grants paid	548,361.			548,361.
26	**Total expenses and disbursements** Add lines 24 and 25	810,005.	118,003.	0.	690,811.
27	Subtract line 26 from line 12				
a	**Excess of revenue over expenses and disbursements**	-30,952.			
b	**Net investment income** (if negative, enter -0-)		661,050.		
c	**Adjusted net income** (if negative, enter -0-)				

RECEIVED NOV 0 7 2011 OGDEN, UT IRS-OSC

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash - non-interest-bearing	14,673.	10,812.	10,812.
	2 Savings and temporary cash investments	339,558.	249,068.	249,068.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments - U S and state government obligations (attach schedule) **	3,075,903.	3,224,616.	3,244,328.
	b Investments - corporate stock (attach schedule) ATCH 7	7,463,682.	7,384,434.	8,417,512.
	c Investments - corporate bonds (attach schedule) ATCH 8	27,618.	21,838.	17,829.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule)			
	14 Land, buildings, and equipment basis ▶ 22,565.			
	Less accumulated depreciation (attach schedule) ▶ 18,956.	3,895.	3,609.	3,609.
	15 Other assets (describe ▶ ATCH 9)	4,261.	4,261.	4,261.
	16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	10,929,590.	10,898,638.	11,947,419.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)		0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	10,929,590.	10,898,638.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ [ ]			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see page 17 of the instructions)	10,929,590.	10,898,638.	
	31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	10,929,590.	10,898,638.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	10,929,590.
2 Enter amount from Part I, line 27a	2	-30,952.
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	10,898,638.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	10,898,638.

**ATCH 6

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	477,299.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	670,976.	11,440,085.	0.058651
2008	686,232.	10,797,701.	0.063554
2007	816,144.	13,644,504.	0.059815
2006	823,369.	13,740,197.	0.059924
2005	812,349.	12,946,509.	0.062747

2 **Total** of line 1, column (d)	2	0.304691
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.060938
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	11,538,029.
5 Multiply line 4 by line 3	5	703,104.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	6,611.
7 Add lines 5 and 6	7	709,715.
8 Enter qualifying distributions from Part XII, line 4	8	690,811.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ____________ (attach copy of ruling letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	13,221.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	13,221.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	13,221.
6	Credits/Payments		
a	2010 estimated tax payments and 2009 overpayment credited to 2010 — 6a: 3,123.		
b	Exempt foreign organizations-tax withheld at source — 6b: 0.		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 0.		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	3,123.
8	Enter any **penalty** for underpayment of estimated tax Check here ☒ if Form 2220 is attached	8	265.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	10,363.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be **Credited to 2011 estimated tax** ▶ Refunded ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶$ ________ (2) On foundation managers ▶$ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ NY,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ▶ N/A			
14	The books are in care of ▶ CORA WEISS Telephone no ▶ 212-697-8945 Located at ▶ 777 UNITED NATIONS PLAZA, NEW YORK, NY ZIP + 4 ▶ 10017			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 22 of the instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2010 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	Yes	X No	
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	Yes	X No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?	Yes	X No	
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)	Yes	X No	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	Yes	X No	
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?			5b
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	Yes	No	
If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	Yes	X No	
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			6b — No: X
If "Yes" to 6b, file Form 8870			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	Yes	X No	
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?			7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 10		25,000.	1,250.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 11		65,890.	11,233.	0.

Total number of other employees paid over $50,000 ▶ NONE

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
ATTACHMENT 12		76,189.
Total number of others receiving over $50,000 for professional services ▶		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see page 24 of the instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	11,401,059.
b	Average of monthly cash balances	1b	304,663.
c	Fair market value of all other assets (see page 25 of the instructions)	1c	8,013.
d	**Total** (add lines 1a, b, and c)	1d	11,713,735.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	11,713,735.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	175,706.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	11,538,029.
6	**Minimum investment return.** Enter 5% of line 5	6	576,901.

Part XI **Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	576,901.
2a	Tax on investment income for 2010 from Part VI, line 5 2a 13,221.		
b	Income tax for 2010 (This does not include the tax from Part VI) 2b		
c	Add lines 2a and 2b	2c	13,221.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	563,680.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	563,680.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	563,680.

Part XII **Qualifying Distributions** (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	690,811.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	690,811.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	N/A
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	690,811.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				563,680.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.	
b Total for prior years 20 08 ,20 07 ,20 06		0.		
3 Excess distributions carryover, if any, to 2010				
a From 2005 . . . . . . 195,124.				
b From 2006 . . . . . . 175,198.				
c From 2007 . . . . . . 159,938.				
d From 2008 . . . . . . 149,708.				
e From 2009 . . . . . . 101,849.				
f **Total** of lines 3a through e	781,817.			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ $ 690,811.				
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2010 distributable amount				563,680.
e Remaining amount distributed out of corpus	127,131.			
5 Excess distributions carryover applied to 2010 *(If an amount appears in column (d), the same amount must be shown in column (a))*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	908,948.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions		0.		
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions			0.	
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions)	195,124.			
9 **Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a	713,824.			
10 Analysis of line 9				
a Excess from 2006 . . . 175,198.				
b Excess from 2007 . . . 159,938.				
c Excess from 2008 . . . 149,708.				
d Excess from 2009 . . . 101,849.				
e Excess from 2010 . . . 127,131.				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [ ] 4942(j)(3) or [ ] 4942(j)(5)

	Tax year (a) 2010	Prior 3 years (b) 2009	(c) 2008	(d) 2007	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ [ ] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed:

N/A

b The form in which applications should be submitted and information and materials they should include:

ATTACHMENT 13

c Any submission deadlines

1ST FRIDAY OF JANUARY, MAY AND SEPTEMBER

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

ATTACHMENT 14

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATTACHMENT 15				
Total . . . . ▶ **3a**				548,361.
b *Approved for future payment*				
Total . . . . ▶ **3b**				

JSA
0E1491 1 000
06E119 A070 10/26/2011 9:57:36 AM V 10-8.1

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income (See page 28 of the instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	301,754.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	477,299.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				779,053.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 779,053.

(See worksheet in line 13 instructions on page 29 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 29 of the instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

[signature] President | 10/28/11 | President

Signature of officer or trustee | Date | Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
MYLES SCHUMER	[signature]	10/28/11		P01346138

Firm's name ▶ CORNICK, GARBER & SANDLER, LLP — Firm's EIN ▶ 13-2620561

Firm's address ▶ 825 THIRD AVENUE, 4TH FL
NEW YORK, NY 10022-9524 — Phone no 212-557-3900

JSA
0E1493 1 000

06E119 A070 10/26/2011 9:57:36 AM V 10-8.1

THE SAMUEL RUBIN FOUNDATION, INC. 13-6164671

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		LONG TERM GAINS - SEE ATTACHED SCHEDULE PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
4,533,271.		4,059,548.					473,723.	
		SHORT TERM GAINS - SEE ATTACHED SCHEDULE PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
2,082,562.		2,078,986.					3,576.	
TOTAL GAIN(LOSS)							477,299.	

The Samuel Rubin Foundation, Inc.
FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX INVESTMENT INCOME

Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/Loss
Life Sciences	2,288 01	7/1/2002	10/13/2010	25,534.19	23,040 26	2,493.93
Life Sciences	4.1	9/1/2002	10/13/2010	45 76	39.81	5 95
Life Sciences	1,080.01	9/1/2002	10/13/2010	12,052.91	10,486 90	1,566.01
Life Sciences	1,728.91	12/1/2002	10/13/2010	19,294 64	16,372.78	2,921.86
NESTLE SA, CHAM UND	400	9/1/2003	10/6/2010	21,804.07	8,730 84	13,073 23
BAKER HUGHES INC	575	9/1/2003	4/8/2011	41,281 45	18,711.80	22,569.65
Life Sciences	4,909 97	9/4/2003	10/13/2010	54,795 27	53,862.37	932.9
Life Sciences	1,524 00	9/4/2003	10/22/2010	16,992 60	16,718 28	274.32
Technology	5,112 00	4/20/2005	12/10/2010	58,839 12	36,397 44	22,441 68
WEATHERFORD INTL INC	720	5/24/2006	4/11/2011	15,384 74	17,845 24	-2,460 50
BAKER HUGHES INC	305	8/15/2006	4/8/2011	21,897 11	22,455 41	-558.3
WEATHERFORD INTL INC	1,200 00	10/1/2006	4/11/2011	25,641 23	25,082 82	558 41
WEATHERFORD INTL INC	370	1/19/2007	4/11/2011	7,906 05	7,131 14	774 91
Genzyme Corp	580	2/22/2007	7/27/2010	38,803.26	37,033 00	1,770 26
UNITED PARCEL SERVICE CL B	600	3/5/2007	5/2/2011	45,121 11	41,954 10	3,167 01
QUEST DIAGNOSTICS INC	390	8/3/2007	9/24/2010	19,144 85	22,095 92	-2,951.07
SAP AKLENGESELLSCHAFT	390	8/16/2007	10/1/2010	19,603 45	19,958 17	-354.72
MICROSOFT CORP	1,560 00	1/18/2008	10/22/2010	39,470 61	51,827.26	-12,356 65
NESTLE SA, CHAM UND	1,070 00	1/23/2008	10/6/2010	58,325 89	45,013 32	13,312 57
Automatic Data Processing Inc.	970	1/23/2008	5/16/2011	52,301 10	37,340 05	14,961.05
MICROSOFT CORP	900	2/14/2008	10/22/2010	22,771 51	25,857.00	-3,085.49
JUNIPER NETWORKS INC	110	3/3/2008	5/1/2011	4,219 06	2,865 50	1,353 56
U S TREASURY NOTES SER F-2013	11,550 00	3/13/2008	9/1/2010	1,218,525 00	1,177,513 47	41,011 53
AUTODESK INC	1,010.00	4/7/2008	10/21/2010	34,456.16	34,084 17	371.99
AUTODESK INC	380	4/9/2008	10/21/2010	12,963.71	12,539.43	424 28
MICROSOFT CORP	1,070 00	4/15/2008	10/22/2010	27,072 79	30,253.82	-3,181 03
U S TREASURY NOTES SER F-2013	7,000 00	4/29/2008	9/1/2010	738,500 00	693,984.34	44,515 66
HOME DEPOT INC	1,560 00	5/22/2008	2/25/2011	57,820.59	42,053.70	15,766 89
INTERNATIONAL GAME TECHNOLOGY	1,395.00	6/9/2008	10/11/2010	20,743 85	46,432 58	-25,688.73
LOWES COMPANIES INC	1,230.00	6/11/2008	7/13/2010	26,070 76	28,348 67	-2,277.91
JUNIPER NETWORKS INC	760	6/11/2008	5/1/2011	29,149 84	19,555 94	9,593 90
JUNIPER NETWORKS INC	260	8/1/2008	5/1/2011	9,972 31	6,733 40	3,238 91
JUNIPER NETWORKS INC	570	8/1/2008	6/6/2011	17,998.32	14,761 69	3,236 63
QUEST DIAGNOSTICS INC	520	8/14/2008	9/24/2010	25,526.47	28,078.39	-2,551 92
GOOGLE INC	30	10/1/2008	9/3/2010	14,107 30	12,380.10	1,727 20
GOOGLE INC	40	10/7/2008	9/3/2010	18,809 73	14,166 40	4,643 33
GOOGLE INC	120	10/7/2008	10/12/2010	65,370 33	42,499 19	22,871.14
Perkinelmer Inc	1,030 00	10/8/2008	7/19/2010	19,613 13	23,930 71	-4,317.58
MONSANTO CO	540	10/8/2008	12/21/2010	36,011.78	43,177.54	-7,165 76
BECTON DICKINSON & CO	290	10/8/2008	2/23/2011	23,101 82	22,430 51	671 31
SAP AKLENGESELLSCHAFT	1,460.00	10/23/2008	10/1/2010	73,387 26	49,559 99	23,827.27
WALT DISNEY CO	1,150 00	11/12/2008	7/6/2010	36,807.42	24,093.54	12,713 88
Perkinelmer Inc	820	11/21/2008	7/19/2010	15,614.34	12,419.97	3,194 37
CERNER CORP	510	11/21/2008	10/28/2010	44,146 28	15,793 53	28,352.75
BECTON DICKINSON & CO	690	12/5/2008	2/23/2011	54,966 41	42,806 91	12,159.50
MONSANTO CO	370	12/15/2008	12/21/2010	24,674.73	25,940 81	-1,266 08
MONSANTO CO	130	12/15/2008	2/11/2011	9,759.13	9,114.34	644.79
US TREASURY NOTES SERT-2011	450	12/18/2008	9/1/2010	45,393 75	45,253.13	140.62
LOWES COMPANIES INC	660	2/13/2009	7/13/2010	13,989 19	11,992 20	1,996 99
AMERICAN EXPRESS CO	300	2/17/2009	5/18/2011	15,266.29	4,280 55	10,985 74
SOUTHWEST AIRLINES CO	935	2/19/2009	10/28/2010	12,816 95	6,573 05	6,243 90
INTERNATIONAL GAME TECHNOLOGY	1,140 00	2/25/2009	10/11/2010	16,951.97	10,811.65	6,140 32
Automatic Data Processing Inc.	1,010.00	3/3/2009	5/16/2011	54,457 85	33,362 32	21,095 53
SOUTHWEST AIRLINES CO	1,285.00	3/9/2009	10/28/2010	17,614 73	6,675.19	10,939 54
BECTON DICKINSON & CO	80	3/11/2009	2/23/2011	6,372 92	4,949 47	1,423 45
Genzyme Corp	80	4/8/2009	7/27/2010	5,352.17	4,548 32	803.85

The Samuel Rubin Foundation, Inc.
FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX INVESTMENT INCOME

Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/Loss
Automatic Data Processing Inc.	220	5/6/2009	5/16/2011	11,862.11	8,079.06	3,783.05
MICROSOFT CORP	780	5/22/2009	10/22/2010	19,735.30	15,410 85	4,324.45
HESS CORP	810	6/17/2009	4/11/2011	67,418.40	43,420.70	23,997.70
SHERWIN WILLIAMS CO	560	6/22/2009	1/13/2011	46,829.59	29,440.04	17,389.55
AMERICAN EXPRESS CO	760	6/23/2009	5/18/2011	38,674.59	17,245.84	21,428.75
Genzyme Corp	630	7/8/2009	7/27/2010	42,148.37	34,196.27	7,952 10
Genzyme Corp	100	7/8/2009	8/5/2010	6,901.54	5,427 98	1,473 56
US TREASURY BILL	2,850.00	8/25/2009	3/21/2011	297,691.41	287,716 40	9,975.01
US TREASURY BILL	1,810.00	8/25/2009	6/22/2011	191,492.21	182,725.16	8,767.05
MONSANTO CO	520	9/14/2009	2/11/2011	39,036.54	40,386 84	-1,350.30
QUEST DIAGNOSTICS INC	200	9/21/2009	9/24/2010	9,817.87	10,404.40	-586 53
Genzyme Corp	260	10/23/2009	8/5/2010	17,944 01	13,635 96	4,308.05
SCHLUMBERGER LTD	430	1/28/2010	4/8/2011	39,258.07	27,871 53	11,386.54
BOSTON SCIENTIFIC CORP	5,970.00	2/12/2010	1/11/2011	44,533 65	43,797 71	735 94
Genzyme Corp	460	5/27/2010	8/5/2010	31,747 10	23,026 36	8,720 74
MANPOWER INC WIS	1,890.00	6/10/2010	11/3/2010	106,660 91	80,484 89	26,176.02
FEDEX CORP	330	6/28/2010	4/29/2011	31,467.17	25,079 77	6,387.40
MARTIN MARIETTA MATERIALS INC	300	6/29/2010	1/25/2011	25,437.38	25,118 52	318 86
Less Opening balance adj. per Broker's Statement					4,161 00	(4,161 00)
Total LONG TERM GAINS				**4,533,271.48**	**4,059,547.71**	**473,723.77**
CERNER CORP	40	7/12/2010	10/28/2010	3,462.45	3,216.19	246 26
HOME DEPOT INC	610	7/13/2010	2/25/2011	22,609.34	17,413.85	5,195 49
MICROSOFT CORP	550	7/27/2010	10/22/2010	13,915.92	14,370 40	-454.48
Vulcan Materials Co	590	8/27/2010	1/12/2011	24,259.74	22,581 19	1,678.55
U S TREASURY BILL DTD 6/3/10	20,000.00	9/1/2010	12/2/2010	2,000,000.00	1,999,368.06	631 94
JUNIPER NETWORKS INC	580	4/18/2011	6/6/2011	18,314.07	22,036.29	-3,722 22
Total SHORT TERM GAINS				**2,082,561.52**	**2,078,985.98**	**3,575.54**
				6,615,833.00	**6,138,533.69**	**477,299.31**

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INTEREST INCOME	76,542.	76,542.
LESS - ACCRUED INTEREST PAID	-2,938.	-2,938.
DIVIDEND INCOME	228,150.	228,150.
TOTAL	301,754.	301,754.

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
ACCOUNTING FEES		16,825.	12,619.		4,206.
	TOTALS	16,825.	12,619.	0.	4,206.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
CUSTODIAN AND INVESTMENT FEES	76,189.	76,189.
TOTALS	76,189.	76,189.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
PAYROLL TAXES	7,262.	654.	6,608.
FOREIGN WITHHOLDING TAX	2,585.	2,585.	
FEDERAL EXCISE TAX	1,000.		
TOTALS	10,847.	3,239.	6,608.

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
OFFICE EXPENSES	10,199.	2,550.	7,649.
INSURANCE	3,977.	994.	2,983.
TOTALS	14,176.	3,544.	10,632.

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

ATTACHMENT 6

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
U.S. TREASURY AND GOVERNMENT AGENCY OBLIGATIONS (SEE SCHEDULE ATTACHED)	3,075,903.	3,224,616.	3,244,328.
US OBLIGATIONS TOTAL	3,075,903.	3,224,616.	3,244,328.

ATTACHMENT 7

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
CORPORATE STOCK (SEE SCHEDULE ATTACHED)	3,596,155.	3,476,493.	4,089,550.
MUTUAL FUNDS (SEE SCHEDULE ATTACHED)	3,867,527.	3,907,941.	4,327,962.
TOTALS	7,463,682.	7,384,434.	8,417,512.

ATTACHMENT 8

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
CORPORATE BONDS (SEE SCHEDULE ATTACHED)	27,618.	21,838.	17,829.
TOTALS	27,618.	21,838.	17,829.

SAMUEL RUBIN FOUNDATION, INC.

SCHEDULE OF INVESTMENTS

AS AT JUNE 30, 2011

	Description	Number of Shares or Face Amount	Cost	Market Value
Corporate Bonds and Notes:				
GNMA PL#002671M--1	6.00% due 11/20/28	$ 34,000	$ -	$ 1,536
GNMA PL#002766M--2	6.00% due 6/20/29	46,000	-	2,445
GNMA PL#002972M--4	7.5% due 9/20/30	55,000	680	432
GNMA PL#591923X	6.00% due 3/15/33	95,000	13,919	9,441
GNMA PL#608432X	5.50% due 5/15/33	66,000	7,239	3,975
Total corporate bonds and notes			21,838	17,829
Mutual Funds:				
Exeter Fund Inc - Core Plus Bond		188,237	1,881,880	$ 2,130,847
Exeter Fund Inc - Financial Services		31,370	238,438	192,297
Exeter Fund Inc - High Yield Bond		37,159	373,982	414,689
Exeter Fund Inc - International Fund		38,249	338,418	368,337
Exeter Fund Inc - Life Sciences		24,865	288,654	327,226
Exeter Fund Inc - Real Estate Series		11,474	118,266	155,814
Exeter Fund Inc - Small Cap Fund - Class A		23,934	286,660	237,904
Exeter Fund Inc - Technology		15,470	127,121	196,780
Exeter Fund Inc - World Opportunity - Class A		33,087	254,522	304,068
Total mutual funds			3,907,941	4,327,962
Common Stocks:				
AMERICAN EXPRESS CO		1,150	26,096	$ 59,455
AUTODESK INC		2,570	58,296	99,202
BAKER HUGHES INC		1,555	71,453	112,831
BANK NEW YORK INC		5,360	150,664	137,323
BECTON DICKINSON & CO		740	47,440	63,766
BOSTON SCIENTIFIC CORP		17,440	119,612	120,510
CARNIVAL CORP		2,220	86,466	83,539
CERNER CORP		1,020	41,006	62,332
CISCO SYS INC		7,365	185,869	114,968
DISCOVER FINANCIAL		1,770	44,801	47,348
ELECTRONIC ARTS		5,610	203,525	132,396
EMC CORP-MASS		4,420	44,758	121,771
ERICSSON		7,080	90,579	101,810
FEDEX CORP		720	54,720	68,292
FLOWSERVE		570	67,550	62,637
GENERAL MILLS INC		2,570	79,560	95,655
GOOGLE INC		330	149,574	167,105
HESS CORP		1,580	83,638	118,121
KFAFT FOODS INC CLASS A		2,950	88,352	103,929

(Continued)

SAMUEL RUBIN FOUNDATION, INC.

SCHEDULE OF INVESTMENTS

AS AT JUNE 30, 2011

	Description	Number of Shares or Face Amount	Cost	Market Value
Common Stocks:				
KROGER CO		$ 2,860	57,751	$ 70,928
LIBERTY GLOBAL INC		1,250	44,517	56,300
MARTIN MARIETTA MATERIALS INC		260	21,490	20,792
MASTERCARD INC		400	86,641	120,536
MONSANTO CO		1,810	99,444	131,297
NESTLE SA, CHAM UND		1,620	55,992	100,540
NORFOLK SOUTHERN CORP		1,420	89,537	106,401
OWENS ILLINOIS INC		1,670	48,758	43,103
QIAGEN-EUR		2,010	41,176	38,230
QUALCOMM INC		2,330	91,035	132,321
SAFEWAY INC SR SUB DEBS REG		2,880	62,961	67,306
SCHLUMBERGER LTD		1,100	72,679	95,040
SCHWAB CHARLES CORP		6,600	98,005	108,570
SOUTHWEST AIRLINES CO		7,115	43,388	81,253
STATE STREET CORP		1,870	89,532	84,318
STRAYER EDUCATION INC		200	25,503	25,278
SYNGENTA LTD BASEL		140	45,273	47,193
TELENOR ASA-NOK		5,720	91,509	94,168
TIME WARNER INC		4,190	126,461	152,390
TOYOTA MOTOR CORP		580	46,079	47,804
UNILEVER PLC SPONS ADR NEW		2,908	55,776	94,190
UNITED PARCEL SERVICE CL B		900	48,324	65,637
VISA INC		1,310	97,500	110,381
VULCAN MATERIALS CO		510	19,519	19,650
WASHINGTON POST		60	25,170	25,137
WALT DISNEY CO		2,550	46,474	99,552
WEATHERFORD INTL INC		3,930	68,446	73,688
WESTERN UNION COMPANY		5,220	83,594	104,557
Total common stocks			3,476,493	4,089,550
United States Government Obligations:				
Federal Home Loan Bank	6.750% due 3/15/31	24,000	31,185	$ 30,758
Federal National Mortgage Association	3.750% due 3/27/19	295,000	313,057	312,148
Federal National Mortgage Association	6.625% due 11/15/30	40,000	50,975	50,526
Federal National Mortgage Association	6.250% due 5/15/29	42,000	51,122	50,992
Federal National Mortgage Association	7.250% due 5/15/30	38,000	51,632	51,207
U S Treasury Notes	2.375% due 10/31/14	350,000	353,117	366,051
U S Treasury Notes	2.625% due 12/31/14	150,000	150,680	158,109
U S Treasury Notes	1.125% due 9/30/15	296,000	296,185	294,082
U S Treasury Notes	1.375% due 11/30/15	1,940,000	1,926,663	1,930,455
Total United States Government Obligations			3,224,616	3,244,328
Total Investments			$ 10,630,888	$ 11,679,669

ATTACHMENT 9

FORM 990PF, PART II - OTHER ASSETS

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
SECURITY DEPOSITS	4,261.	4,261.	4,261.
TOTALS	4,261.	4,261.	4,261.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 10

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
CORA WEISS C/O SAMUEL RUBIN FOUNDATION 777 UNITED NATIONS PLAZA 10D NEW YORK, NY 10017	PRESIDENT 40.00	25,000.	1,250.	0.
PETER WEISS C/O SAMUEL RUBIN FOUNDATION 777 UNITED NATIONS PLAZA 10D NEW YORK, NY 10017	TREASURER 1.00	0.	0.	0.
JUDY WEISS C/O SAMUEL RUBIN FOUNDATION 777 UNITED NATIONS PLAZA 10D NEW YORK, NY 10017	VICE PRESIDENT 1.00	0.	0.	0.
TAMARA WEISS C/O SAMUEL RUBIN FOUNDATION 777 UNITED NATIONS PLAZA 10D NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 10 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
DANIEL WEISS C/O SAMUEL RUBIN FOUNDATION 777 UNITED NATIONS PLAZA 10D NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
ALISON BERNSTEIN C/O SAMUEL RUBIN FOUNDATION 777 UNITED NATIONS PLAZA 10D NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
	GRAND TOTALS	25,000.	1,250.	0.

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

ATTACHMENT 11

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
LAURANNE JONES 93 HAWTHORNE AVENUE BLOOMFIELD, NJ	GRANTS ADMINISTRATOR 40.00	65,890.	11,233.	0.
	TOTAL COMPENSATION	65,890.	11,233.	0.

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

ATTACHMENT 12

NAME AND ADDRESS	TYPE OF SERVICE	COMPENSATION
MANNING & NAPPIER 1100 CHASE SQUARE ROCHESTER, NY 14604	INVESTMENT ADVISOR	76,189.
	TOTAL COMPENSATION	76,189.

990PF, PART XV - FORM AND CONTENTS OF SUBMITTED APPLICATIONS

A FULL STATEMENT AS TO THE PURPOSE OF THE REQUEST, INCLUDING A BUDGET AND A COPY OF THE TREASURY DEPARTMENT TAX EXEMPT DETERMINATION LETTER.

990PF, PART XV - RESTRICTIONS OR LIMITATIONS ON AWARDS

NO GRANTS TO INDIVIDUALS, FOR BUILDING FUNDS, ENDOWMENTS OR SCHOLARSHIPS.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 15

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
VARIOUS - SEE STATEMENT ATTACHED	NONE EXEMPT ORGANIZATIONS	PURSUIT OF PEACE AND JUSTICE AND THE SEARCH FOR AN EQUITABLE REALLOCATION OF THE WORLD'S RESOURCES THROUGH THE FULLEST IMPLEMENTATION OF SOCIAL, ECONOMIC, POLITICAL, CIVIL AND CULTURAL RIGHTS FOR ALL THE WORLD'S PEOPLE.	471,700.
DISBURSEMENTS ON BEHALF OF CHARITABLE ORGANIZATION	NONE EXEMPT ORGANIZATIONS	CHARITABLE DISBURSEMENTS	1,661.
TRANSNATIONAL INSTITUTE PO BOX 14656 1001 LD 1001 LD AMSTERDAM NETHERLANDS	NONE EXEMPT ORGANIZATION	PURSUIT OF INTERNATIONAL PEACE AND JUSTICE	75,000.
		TOTAL CONTRIBUTIONS PAID	548,361.

SAMUEL RUBIN FOUNDATION, INC.

SCHEDULE OF GRANTS PAID

FOR THE YEAR ENDED JUNE 30, 2011

Direct Grants Paid:

A.J. Muste Memorial Institute (For: European Center for Constitutional and Human Rights)	$ 5,000
A.J. Muste Memorial Institute (For: Palestine-Israel Journal)	7,500
Americans for Peace Now	36,000
American University/Washington College of Law	5,000
Amnesty International USA	1,000
Berkeley Public Education Foundation	5,000
Center for Constitutional Rights	41,000
Center for International Policy	5,000
Center for the Study of the Americas (for: Maestra)	5,000
Creative Visions Foundation (for: The Runner)	7,500
Downtown Community Television Center	50,000
Edmund Burke School	20,000
Encounter Programs	7,500
Global Kids	25,000
Global Policy Forum	3,500
Government Accountability Project	3,500
Grantmakers Without Borders	500
Grassroots International	12,500
Institute for Policy Studies	5,000
Institute for Research & Education on Human Rights	5,000
Institute for War & Peace Reporting	12,000
Interfaze Educational Productions (For: Separate and Unequal)	3,500
International Civil Society Action Network (for: The Global Network of Women Peacebuilders)	5,000
International Documentary Association (for: Bringing King to China)	5,000
Island Affordable Housing Fund (for: Island Grown Initiative)	5,000
Jane Addams Peace Association (for: Women's International League for Peace & Freedom)	2,000
Just Associates	1,000
Lawyers Committee on Nuclear Policy	50,000
Lawyers Committee on Nuclear Policy (for: International Association of Lawyers Against Nuclear Arms)	5,000
Long Island Alliance for Peaceful Alternatives	2,500
Michigan State University/African Studies Center	5,000
Military Families Speak Out Education Fund	2,500

SAMUEL RUBIN FOUNDATION, INC.

SCHEDULE OF GRANTS PAID

FOR THE YEAR ENDED JUNE 30, 2011

Direct Grants Paid (Continued):

National Foundation for Jewish Culture (for: Nuremburg: it's Lesson for Today)	10,000
New America Foundation (for: Arms & Security Initiative)	5,000
New Israel Fund (for: Isha L'Isha Haifa Feminist Center)	10,000
New York University (for: The Margaret Sanger Papers Project)	5,000
Nonviolent Peaceforce	10,000
Peace Action Fund of New York State	5,000
Peace Boat US	5,000
Ploughshares Fund (for: Peace and Security Funders Group)	2,000
Sea The World Productions (for. Martha's Vineyard Film Festival)	2,500
St. John's United Methodist Church (for: The Coffin Sermon Project)	2,000
The Abortion Access Project	6,000
The Alexandria Academy (for: Peace Chile International)	2,000
The American Fund for Charities (for: Peace Direct)	10,000
The Commonwealth Foundation (for: Project on Defense Alternatives)	1,200
The International Peace Research Association Foundation (for: Oxford International Encyclopedia of Peace)	5,000
The Osborne Association	1,000
The Tides Center (for: September 11th Families for Peaceful Tomorrows)	5,000
Transnational Institute	75,000
Tri-Valley Communities Against a Radioactive Environment	7,500
United for a Fair Economy	5,000
University of San Francisco (for: Witness to Guantanamo)	5,000
WNET ORG (For: Women, War & Peace)	10,000
Women's Action for New Directions Education Fund	1,000
Women's International Network for Guatemalan Solutions	5,000
World Federalist Movement – Institute for Global Policy	2,500
Total direct grants paid	546,700
Other grants paid	1,661
Total Grants Paid	$ 548,361