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# Return of Private Foundation

## or Section 4947(a)(1) Nonexempt Charitable Trust

### Treated as a Private Foundation

**2010**Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2010, or tax year beginning JUL 1, 2010, and ending JUN 30, 2011

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

Name of foundation <b>THE SPERRY FUND</b>		A Employer identification number <b>13-6114308</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>99 PARK AVENUE</b>	Room/suite <b>2220</b>	B Telephone number <b>(212) 370-1144</b>
City or town, state, and ZIP code <b>NEW YORK, NY 10016</b>		C If exemption application is pending, check here <input type="checkbox"/> D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$ 17,019,104.</b> (Part I, column (d) must be on cash basis.)	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		118.	118.		STATEMENT 1
4 Dividends and interest from securities		227,714.	227,714.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		54,324.			
b Gross sales price for all assets on line 6a		230,443.			
7 Capital gain net income (from Part IV, line 2)			54,324.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		212,212.	0.		STATEMENT 3
12 Total. Add lines 1 through 11		494,368.	282,156.		
13 Compensation of officers, directors, trustees, etc		4,000.	0.		4,000.
14 Other employee salaries and wages		28,500.	0.		28,500.
15 Pension plans, employee benefits		8,204.	0.		8,204.
16a Legal fees					
b Accounting fees STMT 4		12,109.	12,109.		0.
c Other professional fees STMT 5		68,852.	0.		68,852.
17 Interest					
18 Taxes STMT 6		250.	0.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 7		64,961.	53,100.		11,509.
24 Total operating and administrative expenses. Add lines 13 through 23		186,876.	65,209.		121,065.
25 Contributions, gifts, grants paid		857,375.			857,375.
26 Total expenses and disbursements. Add lines 24 and 25		1,044,251.	65,209.		978,440.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-549,883.			
b Net investment income (if negative, enter -0-)			216,947.		
c Adjusted net income (if negative, enter -0-)				N/A	

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LHA For Paperwork Reduction Act Notice, see the instructions.

Form 990-PF (2010)

ENVELOPE  
POSTMARK DATE JAN 31 2012

SCANNED FEB 09 2012

Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
Assets	1 Cash - non-interest-bearing	15,747.	11,765.	11,765.
	2 Savings and temporary cash investments	588,699.	568,916.	568,916.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 9	14,647,232.	16,438,419.	16,438,419.
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe STATEMENT 10)	27.	4.	4.
	16 Total assets (to be completed by all filers)	15,251,705.	17,019,104.	17,019,104.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
Net Assets or Fund Balances	23 Total liabilities (add lines 17 through 22)	0.	0.	
	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input checked="" type="checkbox"/>			
	24 Unrestricted	15,251,705.	17,019,104.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	15,251,705.	17,019,104.	
Net Assets or Fund Balances	31 Total liabilities and net assets/fund balances	15,251,705.	17,019,104.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	15,251,705.
2 Enter amount from Part I, line 27a	2	-549,883.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 8	3	2,317,282.
4 Add lines 1, 2, and 3	4	17,019,104.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	17,019,104.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 230,443.		176,119.	54,324.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			54,324.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	54,324.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	924,828.	14,682,377.	.062989
2008	841,444.	18,316,173.	.045940
2007	822,858.	19,613,791.	.041953
2006	821,038.	17,232,938.	.047644
2005	826,845.	16,986,407.	.048677

2 Total of line 1, column (d)	2	.247203
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.049441
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	14,726,000.
5 Multiply line 4 by line 3	5	728,068.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,169.
7 Add lines 5 and 6	7	730,237.
8 Enter qualifying distributions from Part XII, line 4	8	978,440.
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.		

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	2,169.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,169.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,169.
6 Credits/Payments:			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	8,716.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	8,716.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	6,547.	
11 Enter the amount of line 10 to be: Credited to 2011 estimated tax <input checked="" type="checkbox"/> 6,547. Refunded <input type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>N/A</b>	13	X	
14	The books are in care of <b>ROBERT J. BARLETTA, TREASURER</b> Telephone no. <b>(212) 370-1144</b> Located at <b>99 PARK AVENUE, SUITE 2220, NEW YORK, NY</b> ZIP+4 <b>10016</b>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country	16	Yes	No
				X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? If "Yes," list the years	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?		X

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

X

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		4,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

☒ 0

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ANTAEUS ENTERPRISES, INC. - 99 PARK AVENUE, SUITE 2220, NEW YORK, NY 10016	ADMINISTRATIVE	93,704.
THOMAS L. PARKINSON	SCHOLARSHIP	
BOX 125, 8240 PEACH LN, FOGELSVILLE, PA 18051	CONSULTANT	68,852.
<b>Total</b> number of others receiving over \$50,000 for professional services		<b>0</b>

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.		Amount
1	N/A	
2		
All other program-related investments. See instructions.		
3		
Total. Add lines 1 through 3		0

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	0.
b	Average of monthly cash balances	1b	303,022.
c	Fair market value of all other assets	1c	14,647,232.
d	<b>Total</b> (add lines 1a, b, and c)	1d	14,950,254.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	14,950,254.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	224,254.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	14,726,000.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	736,300.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	736,300.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	2,169.
b	Income tax for 2010. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,169.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	734,131.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	734,131.
6	Deduction from distributable amount (see instructions)	6	0.
7	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	734,131.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	978,440.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	978,440.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	2,169.
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	976,271.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				734,131.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005	13,064.			
b From 2006	20,338.			
c From 2007				
d From 2008				
e From 2009	222,590.			
f Total of lines 3a through e	255,992.			
4 Qualifying distributions for 2010 from Part XII, line 4: ► \$	978,440.			
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				734,131.
e Remaining amount distributed out of corpus	244,309.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	500,301.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	13,064.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	487,237.			
10 Analysis of line 9:				
a Excess from 2006	20,338.			
b Excess from 2007				
c Excess from 2008				
d Excess from 2009	222,590.			
e Excess from 2010	244,309.			



**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b> <b>GRANTS (SEE ATTACHED SCHEDULE)</b>				857,375.
<b>Total</b>			<b>3a</b>	857,375.
<b>b Approved for future payment</b> <b>SCHOLARSHIPS (SEE ATTACHED SCHEDULE)</b>				2,570,915.
<b>Total</b>			<b>3b</b>	2,570,915.

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments			14	118.		
4 Dividends and interest from securities			14	227,714.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18	54,324.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a <b>UNRELATED BUSINESS</b>						
b <b>TAXABLE INCOME</b>	900000	2,778.				
c <b>OTHER PARTNERSHIP INCOME</b>			14	209,434.		
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		2,778.		491,590.		0.
13 Total. Add line 12, columns (b), (d), and (e)					13	494,368.

(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]

**Part XVII** Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- |  |       |     |
|--|-------|-----|
| <p><b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p><b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of:</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p><b>b</b> Other transactions:</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p><b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p><b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p> |       | Yes |
|  | 1a(1) |     |
|  | 1a(2) |     |
|  | 1b(1) |     |
|  | 1b(2) |     |
|  | 1b(3) |     |
|  | 1b(4) |     |
|  | 1b(5) |     |
|  | 1b(6) |     |
|  | 1c    |     |

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date: \_\_\_\_\_

**TREASURER**

Title

Print/Type preparer's name

Preparer's signature \_\_\_\_\_

Date \_\_\_\_\_

Check ☐ if  
self-employed

PTIN

PO0185063

**Paid  
Preparer  
Use Only**

STUART KAMELHAR

Firm's name ► ELLIOT HOROWITZ & COMPANY, LLP

Firm's address ► 675 THIRD AVENUE  
NEW YORK, NY 10017

Phone no. 212-972-7500

Form 990-PF (2010)

## THE SPERRY FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	K-1 - PROSPECT CAPITAL PARTNERS			12/31/10
b	K-1 - PROSPECT CAPITAL PARTNERS	P		12/31/10
c	K-1 - VENTURE INVESTMENT ASSOCIATES			12/31/10
d	K-1 - VENTURE INVESTMENT ASSOCIATES II			12/31/10
e	K-1 - VENTURE INVESTMENT ASSOCIATES III	P		12/31/10
f	K-1 - VENTURE INVESTMENT ASSOCIATES III			12/31/10
g	K-1 - VENTURE INVESTMENT ASSOCIATES IV			12/31/10
h	K-1 - VENTURE INVESTMENT ASSOCIATES IV	P		12/31/10
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a		164,757.	-164,757.
b	135,258.		135,258.
c		290.	-290.
d		3,412.	-3,412.
e	12,683.		12,683.
f		3,002.	-3,002.
g		4,658.	-4,658.
h	82,502.		82,502.
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-164,757.
b			135,258.
c			-290.
d			-3,412.
e			12,683.
f			-3,002.
g			-4,658.
h			82,502.
i			
j			
k			
l			
m			
n			
o			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	54,324.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

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FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

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SOURCE	AMOUNT
INTEREST	118.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	118.

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FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
PROSPECT CAPITAL PARTNERS	206,702.	0.	206,702.
VENTURE INVESTMENT ASSOCIATES II	368.	0.	368.
VENTURE INVESTMENT ASSOCIATES III	2,922.	0.	2,922.
VENTURE INVESTMENT ASSOCIATES IV	17,703.	0.	17,703.
VENTURE INVESTMENT ASSOCIATES LP	19.	0.	19.
TOTAL TO FM 990-PF, PART I, LN 4	227,714.	0.	227,714.

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FORM 990-PF OTHER INCOME STATEMENT 3

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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
UNRELATED BUSINESS TAXABLE INCOME	2,778.	0.	
OTHER PARTNERSHIP INCOME	209,434.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	212,212.	0.	

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FORM 990-PF	ACCOUNTING FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES	12,109.	12,109.			0.
TO FORM 990-PF, PG 1, LN 16B	12,109.	12,109.			0.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
THOMAS L. PARKINSON	68,852.	0.			68,852.
TO FORM 990-PF, PG 1, LN 16C	68,852.	0.			68,852.

FORM 990-PF	TAXES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
STATE TAXES	250.	0.			0.
TO FORM 990-PF, PG 1, LN 18	250.	0.			0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
CUSTODY FEES	1,500.	1,500.			0.
PHONE AND COMPUTER EXPENSE	5,400.	0.			5,400.
FILING FEES	750.	0.			750.
OFFICE EXPENSE	859.	0.			859.
SELECTION COMMITTEE FEES	4,500.	0.			4,500.
ADMINISTRATION	3,300.	3,300.			0.
INVESTMENT OPERATIONS	31,300.	31,300.			0.

## THE SPERRY FUND

13-6114308

FINANCIAL REPORTING	17,000.	17,000.	0.
MEALS	352.	0.	0.
TO FORM 990-PF, PG 1, LN 23	64,961.	53,100.	11,509.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	8
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DESCRIPTION	AMOUNT
UNREALIZED APPRECIATION ON PARTNERSHIP ASSETS	2,317,282.
TOTAL TO FORM 990-PF, PART III, LINE 3	2,317,282.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
PROSPECT CAPITAL PARTNERS	FMV	15,312,000.	15,312,000.
VENTURE INVESTMENT ASSOCIATES II	FMV	33,710.	33,710.
VENTURE INVESTMENT ASSOCIATES III	FMV	333,054.	333,054.
VENTURE INVESTMENT ASSOCIATES IV	FMV	674,939.	674,939.
VENTURE INVESTMENT ASSOCIATES L.P.	FMV	84,716.	84,716.
TOTAL TO FORM 990-PF, PART II, LINE 13		16,438,419.	16,438,419.

FORM 990-PF	OTHER ASSETS	STATEMENT	10
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
DIVIDENDS RECEIVABLE	27.	4.	4.
TO FORM 990-PF, PART II, LINE 15	27.	4.	4.

FORM 990-PF      PART VIII - LIST OF OFFICERS, DIRECTORS      STATEMENT 11  
 TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN EXPENSE CONTRIB ACCOUNT
FREDERICK W. BEINECKE 99 PARK AVENUE, SUITE 2200 NEW YORK, NY 10016	PRESIDENT/DIRECTOR 2.00	0.	0. 0.
WILLIAM S. BEINECKE 99 PARK AVENUE, SUITE 2200 NEW YORK, NY 10016	DIRECTOR 2.00	0.	0. 0.
R. SCOTT GREATHEAD 99 PARK AVENUE, SUITE 2200 NEW YORK, NY 10016	SECRETARY/DIRECTOR 2.00	2,000.	0. 0.
MELVYN L. SHAFFIR 99 PARK AVENUE, SUITE 2200 NEW YORK, NY 10016	DIRECTOR 2.00	2,000.	0. 0.
ROBERT J. BARLETTA 99 PARK AVENUE, SUITE 2200 NEW YORK, NY 10016	TREASURER 5.00	0.	0. 0.
JOHN B. BEINECKE 99 PARK AVENUE, SUITE 2200 NEW YORK, NY 10016	VICE-PRESIDENT/DIRECTOR 2.00	0.	0. 0.
FRANCES B. ELSTON 99 PARK AVENUE, SUITE 2200 NEW YORK, NY 10016	DIRECTOR 2.00	0.	0. 0.
SARAH B. RICHARDSON 99 PARK AVENUE, SUITE 2200 NEW YORK, NY 10016	DIRECTOR 2.00	0.	0. 0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		4,000.	0. 0.

**THE SPERRY FUND**  
**EIN 13-6114308**  
**FORM 990-PF**  
**30-Jun-11**

The Sperry Fund  
EIN: 13-6114308

Attachment. Form 990-PF,  
Pg 3, Part IV (a)

Page 3, Part IV; CAPITAL GAINS & LOSSES

(a) From Passthroughs:

	<u>Gain/(Loss)</u>
Sched K-1: Venture Investment Associations, LP - EIN: 22-3248650	(290)
Sched K-1: Venture Investment Associations, II - EIN: 22-3284167	(3,412)
Sched K-1: Venture Investment Associations, III - EIN: 22-3543341	(3,002)
Sched K-1: Venture Investment Associations, III - EIN: 22-3543341	12,683
Sched K-1: Venture Investment Associations, IV - EIN: 22-3679965	(4,658)
Sched K-1: Venture Investment Associations, IV - EIN: 22-3679965	82,502
Sched K-1: Prospect Capital Parters - EIN: 13-3745680	(164,757)
Sched K-1: Prospect Capital Parters - EIN: 13-3745680	135,258
	<hr/>
	<b>54,324</b>

**The Sperry Fund - FY 6/30/11**

980100

EIN: 13-6114308

Beinecke Scholarship Program

Date	Reference	Jrnl	Trans Description	Amount
7/1/10			Beginning Balance	
7/8/10	1797	CDJ	Aimee Carbaugh - Beinecke Scholarship	4,000 00
6/2/11	1925	CDJ	Andrew J Pope - Beinecke Scholarship	4,000 00
7/8/10	1807	CDJ	Andrew Werner - Beinecke Scholarship	4,000 00
8/17/10	Transfer6	CDJ	Balliol College	4,000 00
6/2/11	1927	CDJ	Benjamin J Sacks - Beinecke Scholarship	4,000 00
7/8/10	1814	CDJ	Benjamin Lockwood - Beinecke Scholarship	4,000 00
8/20/10	1881	CDJ	Brown University - Beinecke Scholarship Hananie Albert [REDACTED]	6,000 00
8/16/10	1832	CDJ	Brown University - Beinecke Scholarship Paula S Dias	6,000 00
8/16/10	1847	CDJ	CA State University-Fullerton - Beinecke Scholarship Jeffrey M Hunger	15,000 00
7/8/10	1809	CDJ	Calista McRae - Beinecke Scholarship	4,000 00
6/2/11	1923	CDJ	Christopher G Fiorello - Beinecke Scholarship	4,000 00
6/2/11	1929	CDJ	Citytrack Incorporated - grant#954815 payment 2 of 3	10,000 00
9/20/10	Transfer 1	CDJ	Clare College Tutor - Beinecke Scholarship Rudi E Batzell	6,000 00
5/10/11	Transfer1	CDJ	Clare College Tutor's Account	3,000 00
8/16/10	1844	CDJ	Columbia University - Beinecke Scholarship Anne R. Holt	7,500 00
8/16/10	1823	CDJ	Columbia University - Beinecke Scholarship Yesenia Barragan	7,500 00
9/7/10	1882	CDJ	Columbia University - grant#954814	25,000 00
8/16/10	1871	CDJ	Cornell University - Beinecke Scholarship Ashley E Smith	7,500 00
8/16/10	1860	CDJ	Cornell University - Beinecke Scholarship Jason C Oaks	6,000 00
8/17/10	Transfer5	CDJ	Corpus Christi College	7,500 00
5/18/11	Transfer3	CDJ	Corpus Christi College	2,500 00
8/16/10	1833	CDJ	CUNY Graduate Center - Beinecke Scholarship Mya B Dosch	7,500 00
7/8/10	1811	CDJ	David Suzenski - Beinecke Scholarship	4,000 00
7/8/10	1806	CDJ	David Ungvary - Beinecke Scholarship	4,000 00
7/8/10	1799	CDJ	Devin Goure - Beinecke Scholarship	4,000 00
8/16/10	1875	CDJ	Duke University - Beinecke Scholarship David A Suzenski	15,000 00
8/16/10	1825	CDJ	Duke University - Beinecke Scholarship Luke J Caldwell	6,000 00
8/16/10	1834	CDJ	Duke University - Beinecke Scholarship Robert F Dudley	7,500 00
8/16/10	1870	CDJ	Duke University - Beinecke Scholarship Sarah E Robinson	6,000 00
8/16/10	1820	CDJ	Duke University - Beinecke Scholarship Zaid Adhami	6,000 00
7/8/10	1798	CDJ	Dustin Chacon - Beinecke Scholarship	4,000 00
7/8/10	1803	CDJ	Elizabeth Ogonek - Beinecke Scholarship	4,000 00
7/20/10	1803V	CDJ	Elizabeth Ogonek - Beinecke Scholarship	(4,000 00)
7/8/10	1812	CDJ	Erik Andersen - Beinecke Scholarship	4,000 00
8/16/10	1835	CDJ	Georgetown University - Beinecke Scholarship Zachary Foster	15,000 00
8/16/10	1853	CDJ	Harvard University - Beinecke Scholarship Benjamin B Lockwood	4,000 00
8/16/10	1879	CDJ	Harvard University - Beinecke Scholarship Calista A McRae	4,000 00
8/16/10	1821	CDJ	Harvard University - Beinecke Scholarship Enk Andersen	15,000 00
8/16/10	1836	CDJ	Harvard University - Beinecke Scholarship Joanna R. Grossman	14,000 00
6/2/11	1924	CDJ	Hudson A McFann - Beinecke Scholarship	4,000 00
7/8/10	1800	CDJ	James Hrdlicka - Beinecke Scholarship	4,000 00
6/2/11	1926	CDJ	Jessica M Rizzo - Beinecke Scholarship	4,000 00
6/2/11	1922	CDJ	Jodi Guinn - Beinecke Scholarship	4,000 00
8/16/10	1828	CDJ	Johns Hopkins University - Beinecke Scholarship Catherine E Cobb	6,000 00
7/8/10	1813	CDJ	Julie Camarda - Beinecke Scholarship	4,000 00
6/2/11	1930	CDJ	Keewaydin Foundation - grant#954817-payment 2 of 5	25,000 00
8/17/10	Transfer3	CDJ	King's College Third Account	12,000 00
6/2/11	1928	CDJ	Kyera C Singleton - Beinecke Scholarship	4,000 00
7/8/10	1796	CDJ	Luke Caldwell - Beinecke Scholarship	4,000 00
8/16/10	1857	CDJ	MA Institute of Technology - Beinecke Scholarship Rebecca Millsop	4,000 00
8/16/10	1869	CDJ	MA Institute of Technology - Beinecke Scholarship Susanna M Rnard	5,000 00
5/9/11	1920	CDJ	Mass Institute of Technology - Beinecke Scholarship Rebecca V Millsop [REDACTED]	6,000 00
8/16/10	1851	CDJ	McGill University - Beinecke Scholarship Patrick R. Kennedy	15,000 00
7/8/10	1804	CDJ	Michael Stahl - Beinecke Scholarship	4,000 00
7/8/10	1808	CDJ	Mya Dosch - Beinecke Scholarship	4,000 00
7/8/10	1810	CDJ	Nathana O'Brien - Beinecke Scholarship	[REDACTED]
[REDACTED] 10	1839	CDJ	New York University - Beinecke Scholarship Cary Gitter	15,000 00
8/16/10	1861	CDJ	New York University - Beinecke Scholarship Nathana O'Brien	[REDACTED]
[REDACTED] 10	1884	CDJ	Northwestern University - Beinecke Scholar Felipe Alberto Herrera [REDACTED]	2,000 00
8/16/10	1829	CDJ	Pnncton University - Beinecke Scholarship Adam D Crager	6,000 00
8/16/10	1842	CDJ	Pnncton University - Beinecke Scholarship Heidi L. Hausse	6,000 00

**The Sperry Fund - FY 6/30/11**

980100

EIN: 13-6114308

Beinecke Scholarship Program

Date	Reference	Jrnl	Trans Description	Amount
8/16/10	1864	CDJ	Princeton University - Beinecke Scholarship Helen Pfeifer	7,500 00
5/9/11	1921	CDJ	Princeton University - Beinecke Scholarship Helen Pfeifer	6,240 00
8/16/10	1868	CDJ	Princeton University - Beinecke Scholarship Joseph A Ricci	6,000 00
8/16/10	1850	CDJ	Queens College - Beinecke Scholarship Patrick D Kelley	15,000 00
7/8/10	1802	CDJ	Rebecca Millsop - Beinecke Scholarship	4,000 00
8/16/10	1866	CDJ	Regents, Univ of California - Beinecke Scholarship Hillary Pietrcola	5,000 00
8/16/10	1863	CDJ	Regents, Univ of California - Beinecke Scholarship Gillian K Osborne	15,000 00
5/9/11	1919	CDJ	Regents, Univ of California - Beinecke Scholarship Grace T Harpster	3,885 00
8/16/10	1876	CDJ	Regents, Univ of California - Beinecke Scholarship John C Sylak	6,000 00
8/16/10	1867	CDJ	Regents, Univ of California - Beinecke Scholarship Rachel Preminger	5,000 00
8/16/10	1872	CDJ	Regents, Univ of California - Beinecke Scholarship Sarah-Neel Smith	5,000 00
8/16/10	1843	CDJ	Regents, Univ of California - Beinecke Scholarship Vanessa Holguin	10,000 00
8/16/10	1826	CDJ	Rutgers University - Beinecke Scholarship Julie B Camarda	10,000 00
7/8/10	1805	CDJ	Serena Stein - Beinecke Scholarship	4,000 00
8/17/10	Transfer4	CDJ	St Anthony's College	15,000 00
8/17/10	Transfer2	CDJ	St Hilda's College	8,000 00
5/10/11	Transfer2	CDJ	St Hilda's College	3,000 00
3/22/11	Transfer	CDJ	St John's College Operational	3,500 00
8/16/10	1831	CDJ	Stanford Law School - Beinecke Scholarship Emily C Curran	9,000 00
9/17/10	1885	CDJ	Stanford University - Beinecke Scholar Andrew P Schupantiz	5,000 00
9/17/10	1886	CDJ	Stanford University - Beinecke Scholar Rodolfo Cortes	6,000 00
8/16/10	1852	CDJ	Stanford University - Beinecke Scholarship Matthew P Loar	8,000 00
8/16/10	1840	CDJ	Stony Brook University - Beinecke Scholarship Ashley D Gossehn-Ildari	6,000 00
5/9/11	1917	CDJ	The University of Chicago - Beinecke Scholar Victoria Baranov	5,000 00
8/16/10	1827	CDJ	Univ of Maryland, College Park - Beinecke Scholarship Dustin A Chacon	6,000 00
8/16/10	1862	CDJ	Univ of Southern California - Beinecke Scholarship Elizabeth Ogonek	15,000 00
10/14/10	1892	CDJ	University of British Columbia - Beinecke Scholarship Abigail M Scott	15,000 00
8/16/10	1824	CDJ	University of Chicago - Beinecke Scholarship Lauren K Caldwell	6,000 00
8/16/10	1830	CDJ	University of Chicago - Beinecke Scholarship Molly K Cunningham	15,000 00
8/16/10	1822	CDJ	University of Chicago - Beinecke Scholarship Victoria Baranov	2,000 00
8/17/10	Transfer1	CDJ	University of Edinburgh	15,000 00
8/16/10	1855	CDJ	University of Florida - Beinecke Scholarship Germain Mavah	18,000 00
8/16/10	1859	CDJ	University of Kansas - Beinecke Scholarship Angela Nierman Bahns	6,000 00
8/16/10	1854	CDJ	University of Michigan - Beinecke Scholarship Holly E Rapp	6,000 00
8/16/10	1874	CDJ	University of Notre Dame - Beinecke Scholarship Michael J Stahl	15,000 00
8/16/10	1873	CDJ	University of Pennsylvania - Beinecke Scholarship Laura E Sockol	3,750 00
8/16/10	1858	CDJ	University of Pennsylvania - Beinecke Scholarship M. Emily Neumeier	7,500 00
8/16/10	1837	CDJ	University of Pittsburgh - Beinecke Scholarship Gregory S Ganderberger	15,000 00
8/20/10	1880	CDJ	University of Pittsburgh - Beinecke Scholarship Samuel E Pittman	9,000 00
9/7/10	1883	CDJ	University of Pittsburgh - Beinecke Scholarship Samuel E Pittman	9,000 00
12/16/10	1895	CDJ	University of Pittsburgh - Beinecke Scholarship Samuel E Pittman	3,000 00
9/8/10	1880V	CDJ	University of Pittsburgh - Beinecke Scholarship Samuel E Pittman	(9,000 00)
8/16/10	1846	CDJ	University of Virginia - Beinecke Scholarship James F Hrdlicka	6,000 00
8/16/10	1838	CDJ	University of Wisconsin - Beinecke Scholarship Rebecca R Genauer	7,000 00
7/8/10	1801	CDJ	Veronica Koven-Matsay - Beinecke Scholarship	4,000 00
12/16/10	1897	CDJ	Wildlife Conservation Society - Beinecke African Scholarship Inaoyom Imong	18,000 00
8/16/10	1878	CDJ	Wildlife Conservation Society - Beinecke Scholarship Inaoyom Imong	18,000 00
12/16/10	1878V	CDJ	Wildlife Conservation Society - Beinecke Scholarship Inaoyom Imong	(18,000 00)
6/21/11	1931	CDJ	Wildlife Conservation Society - grant#955110	40,000 00
8/16/10	1877	CDJ	Yale Law School - Beinecke Scholarship Charles W Tyler	7,500 00
12/16/10	1896	CDJ	Yale Law School - Beinecke Scholarship Charles W Tyler	7,500 00
5/9/11	1918	CDJ	Yale University - Beinecke Scholar Devin Goure	4,500 00
8/16/10	1849	CDJ	Yale University - Beinecke Scholarship Benjamin T Jones	4,000 00
8/16/10	1841	CDJ	Yale University - Beinecke Scholarship Devin R Goure	15,000 00
8/16/10	1845	CDJ	Yale University - Beinecke Scholarship Sylvia Houghteling	4,500 00
7/8/10	1795	CDJ	Zaid Adhami - Beinecke Scholarship	4,000 00
7/20/10	1795V	CDJ	Zaid Adhami - Beinecke Scholarship	(4,000 00)
6/30/11			Ending Balance	857,375 00

# THE BEINECKE SCHOLARSHIP PROGRAM

## FINANCIAL OBLIGATIONS AS OF JUNE 30, 2011

The Sperry Fund  
EIN: 13-6114308

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		REMAINING
		FUNDS
	SCHOOL	AVAILABLE
<b>2011 BEINECKE SCHOLARS (21)</b>		
<b>New African Scholar</b>	<b>TBA</b>	<b>\$36,000</b>
Tyler Bamford	Senior	\$34,000
Daniel Carranza	Senior	\$34,000
Katherine Finley	Senior	\$34,000
Blythe George	Senior	\$34,000
Byron Gray	Senior	\$34,000
Jamie Greenberg	Senior	\$34,000
Jasmine Hu	Senior	\$34,000
Kip Hutchins	Senior	\$34,000
Michelle Kahn	Senior	\$34,000
Patricia Kim	Senior	\$34,000
Spencer Lenfield	Senior	\$34,000
Adam Lerner	Senior	\$34,000
Sarah Lukowski	Senior	\$34,000
Sean McElroy	Senior	\$34,000
Kristen Mills	Senior	\$34,000
Michael Murto	Senior	\$34,000
James Purcell	Senior	\$34,000
Caress Reeves	Senior	\$34,000
Cristina Vignone	Senior	\$34,000
Wenfei Zhou	Senior	\$34,000
<b>Nsengi Barakabuye</b>	<b>UEA</b>	<b>\$36,000</b>
Asad Asad	HARVARD	\$34,000
Marie Devlin	HARVARD	\$34,000
Christopher Fiorello	BERKELEY	\$30,000
James Gerien-Chen	LEAVE-1	\$34,000
Grace Harpster	BERKELEY	\$30,115
Catherine Hood	LEAVE-1	\$34,000
Erica Kowsz	LEAVE-1	\$34,000
Kevin Madore	HARVARD	\$34,000
Paige Patchin	BRITISH COLUMBIA	\$34,000
J Mackenzie Pierce	LEAVE-1	\$34,000
Andrew Pope	HARVARD	\$30,000
Leslie Ribovich	PRINCETON	\$34,000
Jessica Rizzo	YALE	\$30,000
Seth Robinson	HARVARD DIVINITY	\$34,000
Charles Rousseau	CAMBRIDGE	\$34,000
Amanda Rysling	UMASS/ FULBRIGHT	\$34,000
Kyera Singleton	MICHIGAN	\$30,000
Hana Suckstorff	LEAVE-1	\$34,000
Valeria Tsygankova	LONDON	\$34,000
Anise Vance	QUEENS UNIVERSITY BELFAST	\$34,000

# THE BEINECKE SCHOLARSHIP PROGRAM

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2009 BEINECKE SCHOLARS (22)		
Inaoyom Imong	LIEPZIG	\$18,000
Zaid Adhami	DUKE	\$24,000
Luke Caldwell	DUKE	\$24,000
Aimee Carbaugh	LEAVE-1	\$15,000
Dustin Chacon	MARYLAND	\$24,000
Michael Esquivel	LEAVE-2	\$34,000
Nicole Gervoso	COLUMBIA	\$4,000
Devin Goure	YALE	\$10,500
James Hrdlicka	VIRGINIA	\$24,000
Veronica Koven-Matsay	NORTH CAROLINA	\$26,000
Hudson McFann	NYU	\$30,000
Joshua McIntire	NOTRE DAME	\$34,000
Rebecca Millsop	MIT	\$20,000
Elizabeth Ogonek	USC	\$15,000
Janae Peters	LEAVE-2	\$34,000
Gabrielle Ramaiah	HARVARD	\$34,000
Benjamin Sacks	PRINCETON	\$30,000
Claire Saperstein	LEAVE-2	\$34,000
Michael Stahl	NOTRE DAME	\$15,000
Serena Stein	OXFORD	\$15,000
David Ungvary	HARVARD	\$20,000
Andrew Werner	CHICAGO	\$30,000
2008 BEINECKE SCHOLARS (18)		
Hananie Albert	BROWN	\$18,000
Rudi Batzell	HARVARD	\$15,000
Catherine Cobb	JOHNS HOPKINS	\$18,000
Rodolfo Cortes	STANFORD	\$18,000
Nathaniel Del Prete	TORONTO	\$24,000
Paula Dias	BROWN	\$18,000
Mya Dosch	CUNY	\$22,500
Jodi Guinn	HARVARD LAW SCHOOL	\$30,000
Heidi Hausse	PRINCETON	\$18,000
Dhananjay Jagannathan	CHICAGO	\$22,500
Calista McRae	HARVARD	\$26,000
Kojo Minta	OXFORD (UK)	\$13,000
Jason Oaks	CORNELL	\$18,000
Nathana O'Brien	NYU/YALE LAW SCHOOL	\$22,500
Sarah Robinson	DUKE	\$18,000
Hanns Sockel	LEAVE-3	\$34,000
David Suzenski	DUKE	\$15,000
Dmitry Taubinsky	HARVARD	\$30,000
2007 BEINECKE SCHOLARS (12)		
Erik Andersen	HARVARD	\$15,000
Yesina Barragan	COLUMBIA	\$15,000
Julie Camarda	RUTGERS	\$20,000
Robert Dudley	DUKE	\$15,000
Rebecca Genauer	WISCONSIN	\$11,000
Patrick Kennedy	MCGILL	\$3,000
Benjamin Lockwood	HARVARD	\$26,000
Holly Rabb (Lutwitz)	MICHIGAN	\$12,000
Emily Neumeier	PENN	\$15,000
Joseph Ricci	PRINCETON	\$12,000
Ashley Smith	CORNELL	\$15,000
John Sylak	BERKELEY	\$12,000



**THE BEINECKE SCHOLARSHIP PROGRAM**  
**FINANCIAL OBLIGATIONS AS OF JUNE 30, 2011**

The Sperry Fund  
 EIN: 13-6114308

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<b>2006 BEINECKE SCHOLARS (10)</b>		
Victoria Baranov	CHICAGO	\$19,000
Lauren Caldwell	CHICAGO	\$12,000
Adam Crager	PRINCETON	\$6,000
Benjamin Jones	YALE	\$3,250
Matthew Loar	STANFORD	\$8,000
Hillary Pietricola	UCLA	\$5,000
Andrew Schupanitz	STANFORD	\$5,000
Rachel Shalav	YALE LAW SCHOOL	\$15,800
Laura Sockol	PENN	\$3,750
Charles Tyler	YALE LAW SCHOOL	\$15,000
		REMAINING
		FUNDS
	STATUS	AVAILABLE
DEBT	BEINECKE SCHOLARSHIP PROGRAM	\$2,480,915
	BEINECKE AFRICAN SCHOLARS PROGR	\$90,000
OUTSTANDING	TOTAL OBLIGATIONS OUTSTANDING	\$2,570,915