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Form 990



Department of the Treasury  
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

2010

Open to Public Inspection

A For the 2010 calendar year, or tax year beginning 07-01-2010 and ending 06-30-2011

B Check if applicable

☐ Address change

☐ Name change

☐ Initial return

☐ Terminated

☐ Amended return

☐ Application pending

C Name of organization

THE TRUSTEES OF COLUMBIA UNIVERSITY  
IN THE CITY OF NEW YORK

Doing Business As

Number and street (or P O box if mail is not delivered to street address)  
615 WEST 131ST STREET MC 8741

Room/suite

City or town, state or country, and ZIP + 4  
NEW YORK, NY 100277922

F Name and address of principal officer

Lee C Bollinger  
615 West 131st St MC 8741  
New York, NY 100277922

D Employer identification number

13-5598093

E Telephone number

(212) 854-4684

G Gross receipts \$ 8,606,815,764

I Tax-exempt status

☒ 501(c)(3)

☐ 501(c) ( )

☐ (Insert no )

☐ 4947(a)(1) or

☐ 527

J Website:

www.columbia.edu

K Form of organization

☒ Corporation

☐ Trust

☐ Association

☐ Other

L Year of formation

1754

M State of legal domicile

NY

Part I Summary			
Activities & Governance	1	Briefly describe the organization's mission or most significant activities SEE STATEMENT 1	
	2	Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets	
	3	Number of voting members of the governing body (Part VI, line 1a)	23
	4	Number of independent voting members of the governing body (Part VI, line 1b)	17
	5	Total number of individuals employed in calendar year 2010 (Part V, line 2a)	31,900
	6	Total number of volunteers (estimate if necessary)	6,150
	7a	Total unrelated business revenue from Part VIII, column (C), line 12	7,361,439
	7b	Net unrelated business taxable income from Form 990-T, line 34	0
Revenue	8	Contributions and grants (Part VIII, line 1h)	Prior Year1,233,756,486Current Year1,969,196,418
	9	Program service revenue (Part VIII, line 2g)	1,918,020,3152,016,494,653
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d )	341,794,161570,158,034
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	152,309,297154,118,767
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	3,645,880,2594,709,967,872
	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3 )	468,203,483496,039,784
Expenses	14	Benefits paid to or for members (Part IX, column (A), line 4)	00
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	2,004,970,8892,079,131,206
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	784,949628,224
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶90,231,859	
	17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24f)	932,711,7261,005,589,666
	18	Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	3,406,671,0473,581,388,880
	19	Revenue less expenses Subtract line 18 from line 12	239,209,2121,128,578,992
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	Beginning of Current Year11,018,079,749End of Year13,398,567,044
	21	Total liabilities (Part X, line 26)	2,602,978,8572,839,864,974
	22	Net assets or fund balances Subtract line 21 from line 20	8,415,100,89210,558,702,070

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer

ANNE SULLIVAN EXEC VP FOR FINANCE

Date

2012-05-15

Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name

Firm's name ▶ PricewaterhouseCoopers LLP

Firm's address ▶ 300 Madison Avenue  
New York, NY 10017

Preparer's signature

Date

Check if self-employed ☐

PTIN

Firm's EIN ▶

Phone no ▶ (646) 471-3000

May the IRS discuss this return with the preparer shown above? (see instructions)

☐ Yes

☐ No

For Paperwork Reduction Act Notice, see the separate instructions.

Cat No 11282Y

Form 990 (2010)

Part IIISTatement of Program Service Accomplishments

Check if Schedule O contains a response to any question in this Part III

1

Briefly describe the organization’s mission

COLUMBIA UNIVERSITY IS ONE OF THE WORLD'S MOST IMPORTANT CENTERS OF RESEARCH AND AT THE SAME TIME A DISTINCTIVE AND DISTINGUISHED LEARNING ENVIRONMENT FOR UNDERGRADUATES AND GRADUATE STUDENTS IN MANY SCHOLARLY AND PROFESSIONAL FIELDS THE UNIVERSITY RECOGNIZES THE IMPORTANCE OF ITS LOCATION IN NEW YORK CITY AND SEEKS TO LINK ITS RESEARCH AND TEACHING TO THE VAST RESOURCES OF A GREAT METROPOLIS IT SEEKS TO ATTRACT A DIVERSE AND INTERNATIONAL FACULTY AND STUDENT BODY, TO SUPPORT RESEARCH AND TEACHING ON GLOBAL ISSUES, AND TO CREATE ACADEMIC RELATIONSHIPS WITH MANY COUNTRIES AND REGIONS IT EXPECTS ALL AREAS OF THE UNIVERSITY TO ADVANCE KNOWLEDGE AND LEARNING AT THE HIGHEST LEVEL AND TO CONVEY THE PRODUCTS OF ITS EFFORTS TO THE WORLD

2

Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

If “Yes,” describe these new services on Schedule O

3

Did the organization cease conducting, or make significant changes in how it conducts, any program services?

If “Yes,” describe these changes on Schedule O

4

Describe the exempt purpose achievements for each of the organization’s three largest program services by expenses

Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a

(Code ) (Expenses \$ 1,183,055,788 including grants of \$ 355,435,655 ) (Revenue \$ 1,006,538,000 )

COLUMBIA UNIVERSITY IS A PRIVATE, NONSECTARIAN, NONPROFIT INSTITUTION OF HIGHER EDUCATION WHOSE ACTIVITIES ARE CONCENTRATED AT TWO LOCATIONS IN NEW YORK CITY BUT EXTEND ACROSS THE GLOBE THE UNIVERSITY PROVIDES INSTRUCTION THROUGH SIXTEEN UNDERGRADUATE, GRADUATE, AND PROFESSIONAL SCHOOLS IT OPERATES A VARIETY OF RESEARCH INSTITUTES AND A LIBRARY SYSTEM TO SUPPORT ITS TEACHING AND LEARNING, BASIC AND APPLIED RESEARCH, PATIENT CARE, AND PUBLIC SERVICE ACTIVITIES THE UNIVERSITY ENROLLS APPROXIMATELY 27,606 FULL-TIME AND PART-TIME STUDENTS AND EMPLOYS APPROXIMATELY 30,000 FULL-TIME AND PART-TIME EMPLOYEES, INCLUDING 5,503 FULL-TIME FACULTY MEMBERS

4b

(Code ) (Expenses \$ 693,935,603 including grants of \$ 4,584,671 ) (Revenue \$ 781,512,828 )

THE UNIVERSITY, THROUGH THE COLUMBIA UNIVERSITY MEDICAL CENTER AND ITS MEDICAL FACULTY PRACTICE PLAN, PROVIDES DIRECT PATIENT CARE AND OTHER CLINICAL AND EDUCATIONAL SERVICES TO HOSPITALS AND OTHER HEALTH CARE INSTITUTIONS THROUGH CONTRACTUAL AGREEMENTS FOR SERVICES THE CLINICAL FACULTY HANDLED APPROXIMATELY 1 7 MILLION OUTPATIENT AND EMERGENCY ROOM VISITS AND PARTICIPATED IN INSTRUCTION AND SUPERVISION OF 600 UNIVERSITY MEDICAL STUDENTS AND 900 RESIDENTS AND FELLOWS AT NEW YORK PRESBYTERIAN HOSPITAL AND OTHER AFFILIATED HOSPITALS

4c

(Code ) (Expenses \$ 559,431,000 including grants of \$ 134,121,958 ) (Revenue \$ 102,574,983 )

THE UNIVERSITY PERFORMS RESEARCH, TRAINING, AND OTHER SERVICES UNDER GRANTS AND CONTRACTS WITH AGENCIES OF THE FEDERAL GOVERNMENT AND OTHER SPONSORING ORGANIZATIONS

4d
























Other program services (Describe in Schedule O ) See also Additional Data for Description

(Expenses \$ 717,812,287 including grants of \$ 1,897,500 ) (Revenue \$ 125,868,842 )

4e

Total program service expenses \$ 3,154,234,678

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A 	1 Yes	
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instruction)?	2	No
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I 	3	No
4 <b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II 	4 Yes	
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	5	
6 Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I 	6 Yes	
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? If "Yes," complete Schedule D, Part II 	7	No
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III 	8 Yes	
9 Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV 	9	No
10 Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? If "Yes," complete Schedule D, Part V 	10 Yes	
11 If the organization's answer to any of the following questions is 'Yes,' then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI. 	11a Yes	
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII. 	11b Yes	
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII. 	11c	No
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX. 	11d	No
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X. 	11e Yes	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X. 	11f	No
12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI, XII, and XIII 	12a	No
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered 'No' to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional 	12b Yes	
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E 	13 Yes	
14a Did the organization maintain an office, employees, or agents outside of the United States?	14a Yes	
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the United States? If "Yes," complete Schedule F, Parts I and IV 	14b Yes	
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the U S ? If "Yes," complete Schedule F, Parts II and IV 	15 Yes	
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the U S ? If "Yes," complete Schedule F, Parts III and IV 	16 Yes	
17 Did the organization report a total of more than \$15,000, of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions) 	17 Yes	
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II 	18 Yes	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III 	19	No
20a Did the organization operate one or more hospitals? If "Yes," complete Schedule H	20a	No
b If "Yes" to line 20a, did the organization attach its audited financial statement to this return? <b>Note.</b> Some Form 990 filers that operate one or more hospitals must attach audited financial statements (see instructions)	20b	

Part IV

Checklist of Required Schedules (continued)

21	Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i> . . . . .	21	Yes	
22	Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i> . . . . .	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i> . . . . .	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b–24d and complete Schedule K. If "No," go to line 25</i> . . . . .	24a	Yes	
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? . . . .	24b		No
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? . . . . .	24c		No
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? . . . .	24d		No
25a	<b>Section 501(c)(3) and 501(c)(4) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i> . . . . .	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i> . . . . .	25b		No
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i> . . . . .	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? <i>If "Yes," complete Schedule L, Part III</i> . . . . .	27		No
28	Was the organization a party to a business transaction with one of the following parties? (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> . . . . .	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> . . . . .	28b	Yes	
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i> . . . . .	28c	Yes	
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i> . . . . .	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i> . . . . .	30	Yes	
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i> . . . . .	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i> . . . . .	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i> . . . . .	33	Yes	
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1</i> . . . . .	34	Yes	
35	Is any related organization a controlled entity within the meaning of section 512(b)(13)? . . . . .	35	Yes	
a	Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i> . . . . .			
36	<b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i> . . . . .	36	Yes	
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i> . . . . .	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O . . . . .	38	Yes	

<b>Part V</b> <b>Statements Regarding Other IRS Filings and Tax Compliance</b>			
Check if Schedule O contains a response to any question in this Part V <input checked="" type="checkbox"/>			
		<b>Yes</b>	<b>No</b>
<b>1a</b>	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable.	<b>1a</b>	43,225
<b>b</b>	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable.	<b>1b</b>	0
<b>c</b>	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	<b>1c</b>	Yes
<b>2a</b>	Enter the number of employees reported on Form W-3, <i>Transmittal of Wage and Tax Statements</i> filed for the calendar year ending with or within the year covered by this return.	<b>2a</b>	31,900
<b>b</b>	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?  <b>Note.</b> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions).	<b>2b</b>	Yes
<b>3a</b>	Did the organization have unrelated business gross income of \$1,000 or more during the year?	<b>3a</b>	Yes
<b>b</b>	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O.	<b>3b</b>	Yes
<b>4a</b>	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	<b>4a</b>	Yes
<b>b</b>	If "Yes," enter the name of the foreign country: _____ See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.		
<b>5a</b>	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	<b>5a</b>	No
<b>b</b>	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	<b>5b</b>	No
<b>c</b>	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?	<b>5c</b>	
<b>6a</b>	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible?	<b>6a</b>	No
<b>b</b>	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	<b>6b</b>	
<b>7</b>	<b>Organizations that may receive deductible contributions under section 170(c).</b>		
<b>a</b>	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	<b>7a</b>	Yes
<b>b</b>	If "Yes," did the organization notify the donor of the value of the goods or services provided?	<b>7b</b>	Yes
<b>c</b>	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	<b>7c</b>	No
<b>d</b>	If "Yes," indicate the number of Forms 8282 filed during the year.	<b>7d</b>	
<b>e</b>	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<b>7e</b>	No
<b>f</b>	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	<b>7f</b>	No
<b>g</b>	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	<b>7g</b>	
<b>h</b>	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	<b>7h</b>	
<b>8</b>	<b>Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.</b> Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	<b>8</b>	No
<b>9</b>	<b>Sponsoring organizations maintaining donor advised funds.</b>		
<b>a</b>	Did the organization make any taxable distributions under section 4966?	<b>9a</b>	No
<b>b</b>	Did the organization make a distribution to a donor, donor advisor, or related person?	<b>9b</b>	No
<b>10</b>	<b>Section 501(c)(7) organizations.</b> Enter		
<b>a</b>	Initiation fees and capital contributions included on Part VIII, line 12.	<b>10a</b>	
<b>b</b>	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities.	<b>10b</b>	
<b>11</b>	<b>Section 501(c)(12) organizations.</b> Enter		
<b>a</b>	Gross income from members or shareholders.	<b>11a</b>	
<b>b</b>	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them).	<b>11b</b>	
<b>12a</b>	<b>Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041?	<b>12a</b>	
<b>b</b>	If "Yes," enter the amount of tax-exempt interest received or accrued during the year.	<b>12b</b>	
<b>13</b>	<b>Section 501(c)(29) qualified nonprofit health insurance issuers.</b>		
<b>a</b>	Is the organization licensed to issue qualified health plans in more than one state? <b>Note.</b> See the instructions for additional information the organization must report on Schedule O.	<b>13a</b>	
<b>b</b>	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans.	<b>13b</b>	
<b>c</b>	Enter the amount of reserves on hand.	<b>13c</b>	
<b>14a</b>	Did the organization receive any payments for indoor tanning services during the tax year?	<b>14a</b>	No
<b>b</b>	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O.	<b>14b</b>	

Part VI

Governance, Management, and Disclosure

For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.  
Check if Schedule O contains a response to any question in this Part VI

Section A. Governing Body and Management			Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year	23		
b	Enter the number of voting members included in line 1a, above, who are independent	17		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	Yes	
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3		No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4		No
5	Did the organization become aware during the year of a significant diversion of the organization's assets?	5	Yes	
6	Does the organization have members or stockholders?	6		No
7a	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?	7a		No
b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons?	7b		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following			
a	The governing body?	8a	Yes	
b	Each committee with authority to act on behalf of the governing body?	8b	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9		No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)			Yes	No
10a	Does the organization have local chapters, branches, or affiliates?	10a		No
b	If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?	10b		
11a	Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?	11a	Yes	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990			
12a	Does the organization have a written conflict of interest policy? If "No," go to line 13	12a	Yes	
b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes	
c	Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done	12c	Yes	
13	Does the organization have a written whistleblower policy?	13	Yes	
14	Does the organization have a written document retention and destruction policy?	14	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	15a	Yes	
b	Other officers or key employees of the organization	15b	Yes	
	If "Yes" to line 15a or 15b, describe the process in Schedule O (See instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		No
b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	16b		

Section C. Disclosure	
17	List the States with which a copy of this Form 990 is required to be filedCA
18	Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c) (3)s only) available for public inspection. Indicate how you make these available. Check all that apply. <input type="checkbox"/> Own website <input type="checkbox"/> Another's website <input checked="" type="checkbox"/> Upon request
19	Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public. See Additional Data Table.
20	State the name, physical address, and telephone number of the person who possesses the books and records of the organization. RENOTTA YOUNG DEP CONTROLLER 615 W 131ST MC 8749 New York, NY 10027 (212) 854-4684

Check if Schedule O contains a response to any question in this Part VII ☐

**1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation, and **current** key employees Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees, if any See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

[illegible]



## Part VII

(A) Name and Title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
See Additional Data Table										
<b>1b Sub-Total</b>										
<b>c Total from continuation sheets to Part VII, Section A</b>										
<b>d Total (add lines 1b and 1c)</b>								28,585,192	0	2,412,525

**2** Total number of individuals (including but not limited to those listed above) who received more than \$100,000 in reportable compensation from the organization **4,128**

		Yes	No
3	Did the organization list any <b>former</b> officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i> . . . . .	3 Yes	
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i> . . . . .	4 Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i> . . . . .	5	No

## **Section B. Independent Contractors**

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization

(A)	(B)	(C)
Name and business address	Description of services	Compensation
LEND LEASE US CONSTRUCTION LMB	CONSTRUCTION	17,873,694
DAVIS BRODY BOND LLP	ARCHITECTURAL	14,384,926
STRUCTURE TONE	CONSTRUCTION	12,424,410
HELLMAN CONSTRUCTION CO INC	CONSTRUCTION	11,551,384
JA JENNINGS INC	CONSTRUCTION	6,457,471

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization ▶288

Part VIII Statement of Revenue

			(A)	(B)	(C)	(D)	
			Total revenue	Related or exempt function revenue	Unrelated business revenue	Revenue excluded from tax under sections 512, 513, or 514	
Contributions, gifts, grants and other similar amounts	1a Federated campaigns . . . . . 1a						
	b Membership dues . . . . . 1b						
	c Fundraising events . . . . . 1c		4,506,102				
	d Related organizations . . . . . 1d		0				
	e Government grants (contributions) 1e		882,981,000				
	f All other contributions, gifts, grants, and similar amounts not included above 1f		1,081,709,316				
	g Noncash contributions included in lines 1a-1f \$		45,091,686				
	h Total. Add lines 1a-1f . . . . .		1,969,196,418				
Program Service Revenue	2a		Business Code				
	TUITION & FEES		611600	1,006,538,000	1,006,538,000		
	b OTHER EDUCATION & RESEARCH		621110	102,574,983	102,574,983		
	c PATIENT CARE REVENUE		541700	781,512,828	781,512,828		
	d AUXILIARY ENTERPRISES		900099	115,878,000	110,052,359	5,825,641	
	e OTHER SOURCES		900099	7,830,717	7,830,717		
	f All other program service revenue			2,160,125			
	g Total. Add lines 2a-2f . . . . .			2,016,494,653			
	Other Revenue	3 Investment income (including dividends, interest and other similar amounts) . . . . .			22,758,519		22,758,519
4 Income from investment of tax-exempt bond proceeds . .			0				
5 Royalties . . . . .			74,898,272		74,898,272		
6a Gross Rents		(i) Real	(ii) Personal				
		141,891,797					
		b Less rental expenses	129,369,600				
		c Rental income or (loss)	12,522,197				
d Net rental income or (loss) . . . . .			12,522,197		15,207	12,506,990	
7a Gross amount from sales of assets other than inventory		(i) Securities	(ii) Other				
		4,312,764,328					
		b Less cost or other basis and sales expenses	3,765,364,813				
		c Gain or (loss)	547,399,515				
d Net gain or (loss) . . . . .			547,399,515			547,399,515	
8a Gross income from fundraising events (not including \$ 4,506,102 of contributions reported on line 1c) See Part IV, line 18 . . . . .		a					
		b Less direct expenses . . . . . b	1,865,582				
		c Net income or (loss) from fundraising events . .	2,113,479				
9a Gross income from gaming activities See Part IV, line 19 . a							
b Less direct expenses . . . . . b							
c Net income or (loss) from gaming activities . .			0				
10a Gross sales of inventory, less returns and allowances . . . . .		a					
	b Less cost of goods sold . . . . . b						
	c Net income or (loss) from sales of inventory . .		0				
Miscellaneous Revenue		Business Code					
11a PARTNERSHIP INCOME		900099	66,946,195		1,520,591	65,425,604	
b							
c							
d All other revenue . . . . .							
e Total. Add lines 11a-11d . . . . .			66,946,195				
12 Total revenue. See Instructions . . . . .			4,709,967,872	2,010,669,012	7,361,439	722,741,003	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.  
All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the U S See Part IV, line 21	83,263,468	83,263,468		
2	Grants and other assistance to individuals in the U S See Part IV, line 22	355,369,148	355,369,148		
3	Grants and other assistance to governments, organizations, and individuals outside the U S See Part IV, lines 15 and 16	57,407,168	57,407,168		
4	Benefits paid to or for members	0			
5	Compensation of current officers, directors, trustees, and key employees . . . . .	17,891,144	0	17,891,144	0
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) . . . . .	322,330	322,330	0	0
7	Other salaries and wages	1,654,922,186	1,506,505,390	106,941,424	41,475,372
8	Pension plan contributions (include section 401(k) and section 403(b) employer contributions) . . . . .	101,636,346	76,538,380	18,779,975	6,317,991
9	Other employee benefits . . . . .	216,226,323	162,983,190	39,713,271	13,529,862
10	Payroll taxes . . . . .	88,132,877	66,126,127	16,549,710	5,457,040
a	Fees for services (non-employees) Management . . . . .	0	0	0	0
b	Legal . . . . .	20,179,929	13,965,392	5,964,561	249,976
c	Accounting . . . . .	2,341,369	0	2,335,177	6,192
d	Lobbying . . . . .	571,902	0	571,902	0
e	Professional fundraising services See Part IV, line 17 . . . . .	628,224			628,224
f	Investment management fees . . . . .	25,629,202	0	25,629,202	0
g	Other . . . . .	81,138,057	67,550,360	11,924,259	1,663,438
12	Advertising and promotion . . . . .	4,340,341	1,855,848	1,299,149	1,185,344
13	Office expenses . . . . .	257,953,289	232,909,089	17,979,669	7,064,531
14	Information technology . . . . .	16,685,142	10,173,699	5,994,844	516,599
15	Royalties . . . . .	0			
16	Occupancy . . . . .	145,533,412	138,306,954	5,756,981	1,469,477
17	Travel . . . . .	53,384,995	50,673,577	1,084,454	1,626,964
18	Payments of travel or entertainment expenses for any federal, state, or local public officials . . . . .	0			
19	Conferences, conventions, and meetings . . . . .	15,466,347	11,750,603	262,795	3,452,949
20	Interest . . . . .	59,086,000	55,316,312	3,243,821	525,867
21	Payments to affiliates . . . . .	0			
22	Depreciation, depletion, and amortization . . . . .	175,248,000	164,067,178	9,621,115	1,559,707
23	Insurance . . . . .	45,412,020	45,719,281	-319,322	12,061
24	Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24f If line 24f amount exceeds 10% of line 25, column (A) amount, list line 24f expenses on Schedule O )				
a	LIBRARY	24,454,117	24,445,139	1,230	7,748
b	EMPLOYEE EDUCATION	12,094,125	11,184,446	714,327	195,352
c	DUES & SUBSCRIPTIONS	8,336,186	6,852,696	1,137,229	346,261
d	BAD DEBT	1,497,011	1,520,088	-23,077	0
e	UNRELATED BUS INCOME TAX EXP	251,903	0	251,903	0
f	All other expenses	55,986,319	9,428,815	43,616,600	2,940,904
25	Total functional expenses. Add lines 1 through 24f	3,581,388,880	3,154,234,678	336,922,343	90,231,859
26	Joint costs. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720) Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X

Balance Sheet

					(A)		(B)
					Beginning of year		End of year
Assets	1	Cash—non-interest-bearing . . . . .				1	
	2	Savings and temporary cash investments . . . . .			710,398,453	2	598,858,292
	3	Pledges and grants receivable, net . . . . .			396,746,000	3	998,758,000
	4	Accounts receivable, net . . . . .			232,278,242	4	226,569,456
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L . . . . .				5	
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers, and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Schedule L . . . . .				6	
	7	Notes and loans receivable, net . . . . .			111,273,660	7	108,931,084
	8	Inventories for sale or use . . . . .			1,456,273	8	1,885,000
	9	Prepaid expenses and deferred charges . . . . .			38,162,048	9	34,444,148
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D.	10a	5,527,633,394			
	b	Less: accumulated depreciation . . . . .	10b	2,019,846,639	3,308,164,000	10c	3,507,786,755
	11	Investments—publicly traded securities . . . . .			2,201,771,588	11	3,195,965,939
	12	Investments—other securities. See Part IV, line 11 . . . . .			3,795,293,412	12	4,352,804,825
	13	Investments—program-related. See Part IV, line 11 . . . . .				13	
	14	Intangible assets . . . . .				14	
	15	Other assets. See Part IV, line 11 . . . . .			222,536,073	15	372,563,545
16	Total assets. Add lines 1 through 15 (must equal line 34) . . . . .			11,018,079,749	16	13,398,567,044	
Liabilities	17	Accounts payable and accrued expenses . . . . .			464,262,857	17	538,904,974
	18	Grants payable . . . . .				18	
	19	Deferred revenue . . . . .			188,383,000	19	196,898,000
	20	Tax-exempt bond liabilities . . . . .			1,202,304,000	20	1,423,861,000
	21	Escrow or custodial account liability. Complete Part IV of Schedule D . . . . .				21	
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L . . . . .				22	
	23	Secured mortgages and notes payable to unrelated third parties . . . . .				23	
	24	Unsecured notes and loans payable to unrelated third parties . . . . .			130,829,000	24	115,299,000
	25	Other liabilities. Complete Part X of Schedule D . . . . .			617,200,000	25	564,902,000
	26	Total liabilities. Add lines 17 through 25 . . . . .			2,602,978,857	26	2,839,864,974
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.						
	27	Unrestricted net assets . . . . .			5,692,654,495	27	4,736,075,673
	28	Temporarily restricted net assets . . . . .			730,964,000	28	3,681,110,000
	29	Permanently restricted net assets . . . . .			1,991,482,397	29	2,141,516,397
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 30 through 34.						
	30	Capital stock or trust principal, or current funds . . . . .				30	
	31	Paid-in or capital surplus, or land, building or equipment fund . . . . .				31	
	32	Retained earnings, endowment, accumulated income, or other funds . . . . .				32	
	33	Total net assets or fund balances . . . . .			8,415,100,892	33	10,558,702,070
34	Total liabilities and net assets/fund balances . . . . .			11,018,079,749	34	13,398,567,044	

**Part XI Reconciliation of Net Assets**

Check if Schedule O contains a response to any question in this Part XI ☒

<b>1</b>	Total revenue (must equal Part VIII, column (A), line 12)	<b>1</b>	4,709,967,872
<b>2</b>	Total expenses (must equal Part IX, column (A), line 25)	<b>2</b>	3,581,388,880
<b>3</b>	Revenue less expenses Subtract line 2 from line 1	<b>3</b>	1,128,578,992
<b>4</b>	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	<b>4</b>	8,415,100,892
<b>5</b>	Other changes in net assets or fund balances (explain in Schedule O)	<b>5</b>	1,015,022,186
<b>6</b>	Net assets or fund balances at end of year Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B))	<b>6</b>	10,558,702,070

**Part XII Financial Statements and Reporting**

Check if Schedule O contains a response to any question in this Part XII ☒

		Yes	No
<b>1</b>	Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
<b>2a</b>	Were the organization's financial statements compiled or reviewed by an independent accountant?		No
<b>b</b>	Were the organization's financial statements audited by an independent accountant?	Yes	
<b>c</b>	If "Yes," to 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	Yes	
<b>d</b>	If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separated basis		
<b>3a</b>	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	Yes	
<b>b</b>	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	Yes	

SCHEDULE A

(Form 990 or 990EZ)

Department of the Treasury  
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2010

Open to Public  
Inspection

Name of the organization THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK	Employer identification number  13-5598093
--------------------------------------------------------------------------------------------	--------------------------------------------------

Part I

Reason for Public Charity Status (All organizations must complete this part.) See instructions

The organization is not a private foundation because it is (For lines 1 through 11, check only one box )

- 1

☐

A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2

☒

A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E )
- 3

☐

A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4

☐

A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state
- 5

☐

An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II )
- 6

☐

A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7

☐

An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)** (Complete Part II )
- 8

☐

A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II )
- 9

☐

An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III )
- 10

☐

An organization organized and operated exclusively to test for public safety See**section 509(a)(4).**
- 11

☐

An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h  

a

☐

Type I

b

☐

Type II

c

☐

Type III - Functionally integrated

d

☐

Type III - Other
- e

☐

By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)
- f

☐

If the organization received a written determination from the IRS that it is a Type I, Type II or Type III supporting organization, check this box
- g

☐

Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?  

(i)

a person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the the supported organization?

11g(i)

☐

☐

(ii)

a family member of a person described in (i) above?

11g(ii)

☐

☐

(iii)

a 35% controlled entity of a person described in (i) or (ii) above?

11g(iii)

☐

☐

h

☐

Provide the following information about the supported organization(s)

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document?		(v) Did you notify the organization in col (i) of your support?		(vi) Is the organization in col (i) organized in the U S ?		(vii) Amount of support
			Yes	No	Yes	No	Yes	No	
Total									

For Paperwork Reduction Act Notice, see the Instructions for Form 990

Cat No 11285F

Schedule A (Form 990 or 990-EZ) 2010

Part II

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public Support. Subtract line 5 from line 4						

Section B. Total Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV )						
11 Total support (Add lines 7 through 10)						
12 Gross receipts from related activities, etc (See instructions )					12	
13 First Five Years If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here ▶						

Section C. Computation of Public Support Percentage						
14 Public Support Percentage for 2010 (line 6 column (f) divided by line 11 column (f))					14	
15 Public Support Percentage for 2009 Schedule A, Part II, line 14					15	
16a 33 1/3% support test—2010. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ▶						
b 33 1/3% support test—2009. If the organization did not check the box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ▶						
17a 10%-facts-and-circumstances test—2010. If the organization did not check a box on line 13, 16a, or 16b and line 14 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization ▶						
b 10%-facts-and-circumstances test—2009. If the organization did not check a box on line 13, 16a, 16b, or 17a and line 15 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization ▶						
18 Private Foundation If the organization did not check a box on line 13, 16a, 16b, 17a or 17b, check this box and see instructions ▶						

Part IIIPart III

Support Schedule for Organizations Described in Section 509(a)(2)  
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support						
Calendar year (or fiscal year beginning in) 	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public Support (Subtract line 7c from line 6 )						

Section B. Total Support						
Calendar year (or fiscal year beginning in) 	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV )						
13 Total support (Add lines 9, 10c, 11 and 12 )						
14 First Five Years If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section501(c)(3) organization, check this box and <b>stop here</b> 						

Section C. Computation of Public Support Percentage		
15 Public Support Percentage for 2010 (line 8 column (f) divided by line 13 column (f))	15	
16 Public support percentage from 2009 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage		
17 Investment income percentage for 2010 (line 10c column (f) divided by line 13 column (f))	17	
18 Investment income percentage from 2009 Schedule A, Part III, line 17	18	
19a 33 1/3% support tests—2010. If the organization did not check the box on line 14, and line 15 is more than 33 1/3% and line 17 is not more than 33 1/3%, check this box and <b>stop here</b> . The organization qualifies as a publicly supported organization 		
b 33 1/3% support tests—2009. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and <b>stop here</b> . The organization qualifies as a publicly supported organization 		
20 Private Foundation If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions 		



Part IV

**Supplemental Information.** Supplemental Information. Complete this part to provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

Additional Data

Software ID:

Software Version:

EIN: 13-5598093

Name: THE TRUSTEES OF COLUMBIA UNIVERSITY  
IN THE CITY OF NEW YORK

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
LEE C BOLLINGER PRESIDENT	60 0	X		X				964,181	0	968,750
KENNETH A FORDE MD TRUSTEE	3 0	X						789,000	0	2,592
WILLIAM V CAMPBELL TRUSTEE	3 0	X						0	0	0
MARK E KINGDON TRUSTEE	3 0	X						0	0	0
PHILIP MILSTEIN TRUSTEE	3 0	X						0	0	0
ESTA E STECHER TRUSTEE	3 0	X						0	0	0
RICHARD E WITTEN TRUSTEE	3 0	X						0	0	0
ARMEN A AVANESSIANS TRUSTEE	3 0	X						0	0	0
A'LELIA BUNDLES TRUSTEE	3 0	X						0	0	0
JOSE A CABRANES TRUSTEE	3 0	X						0	0	0
NOAM GOTTESMAN TRUSTEE	3 0	X						0	0	0
JAMES HARDEN TRUSTEE	3 0	X						0	0	0
ANN F KAPLAN TRUSTEE	3 0	X						0	0	0
GERRY LENFEST TRUSTEE	3 0	X						0	0	0
PAUL J MADDON TRUSTEE	3 0	X						0	0	0
VIKRAM PANDIT TRUSTEE	3 0	X						0	0	0
MICHAEL B ROTHFELD TRUSTEE	3 0	X						0	0	0
JONATHAN D SCHILLER TRUSTEE	3 0	X						0	0	0
KYRIAKOS TSAKOPOULOS TRUSTEE	3 0	X						0	0	0
FAYE WATTLETON TRUSTEE	3 0	X						0	0	0
STEPHEN H CASE TRUSTEE EMERITUS	3 0	X						0	0	0
SAVIO WTUNG TRUSTEE EMERITUS	3 0	X						0	0	0
LISA CARNOY TRUSTEE	3 0	X						0	0	0
FIONA DRUCKENMILLER TRUSTEE	3 0	X						0	0	0
JANE E BOOTH GENERAL COUNSEL	60 0			X				466,352	0	50,087

**Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
JEROME DAVIS SECRETARY	60 0			X				250,942	0	37,336
ROBERT KASDIN SENIOR EXECUTIVE VP	60 0			X				659,634	0	59,271
ANNE R SULLIVAN EXECUTIVE VP FOR FINANCE	60 0			X				362,037	0	32,134
CLAUDE M STEELE PROVOST	60 0			X				552,418	0	49,547
NICHOLAS B DIRKS EXEC VP - ARTS & SCIENCES	60 0				X			422,430	0	59,533
SUSAN K FEAGIN EXEC VP - UNIV DEV & ALUM REL	60 0				X			503,092	0	32,935
DR LEE GOLDMAN MD EXEC VP FOR HEALTH SCIENCES	60 0				X			1,008,140	0	518,659
PETER HOLLAND EXEC VP OF INVESTMENT MGMT	60 0				X			3,890,742	0	56,224
JOSEPH A IENUSO EXEC VP OF FACILITIES	60 0				X			400,992	0	90,371
NIRMAL NARVEKAR PRESIDENT OF INVESTMENT MGMT	60 0				X			4,331,695	0	52,236
FREDERICK M VAN SICKLE EXEC VP - UNIV DEV & ALUM REL	60 0				X			322,145	0	67,047
DAVID N SILVERS CLINICAL PROFESSOR	60 0					X		4,962,979	0	39,409
JEFFREY W MOSES PROFESSOR OF MEDICINE	60 0					X		3,039,632	0	52,921
CRAIG R SMITH PROFESSOR OF SURGERY	60 0					X		2,074,964	0	73,607
EMILE BACHA PROFESSOR OF SURGERY	60 0					X		1,636,448	0	51,446
JAN MODEST QUAEGEBEUR PROFESSOR OF SURGERY	60 0					X		1,485,728	0	62,151
ALAN BRINKLEY FORMER PROVOST	60 0						X	461,641	0	56,269

Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)

4d. Other program services					
(Code )	(Expenses \$	61,284,000	including grants of \$	0 )	(Revenue \$ 0 )
LIBRARY					
(Code )	(Expenses \$	214,109,000	including grants of \$	0 )	(Revenue \$ 0 )
CAMPUS OPERATIONS					
(Code )	(Expenses \$	213,015,000	including grants of \$	0 )	(Revenue \$ 0 )
INSTITUTIONAL SUPPORT					
(Code )	(Expenses \$	93,914,000	including grants of \$	0 )	(Revenue \$ 115,878,000 )
AUXILIARY ENTERPRISES					
(Code )	(Expenses \$	135,490,287	including grants of \$	1,897,500 )	(Revenue \$ 9,990,842 )
OTHER SOURCES					

SCHEDULE C  
(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ **Complete if the organization is described below.**  
▶ **Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.**

OMB No 1545-0047

2010

Open to Public Inspection

If the organization answered “Yes,” to Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered “Yes,” to Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered “Yes,” to Form 990, Part IV, Line 5 (Proxy Tax) or Form 990-EZ, Part V, line 35a (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK	Employer identification number 13-5598093
--------------------------------------------------------------------------------------------	----------------------------------------------

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1	Provide a description of the organization’s direct and indirect political campaign activities in Part IV	
2	Political expenditures	▶ \$
3	Volunteer hours	

Part I-B Complete if the organization is exempt under section 501(c)(3).

1	Enter the amount of any excise tax incurred by the organization under section 4955	▶ \$
2	Enter the amount of any excise tax incurred by organization managers under section 4955	▶ \$
3	If the organization incurred a section 4955 tax, did it file Form 4720 for this year?	<input type="checkbox"/> Yes <input type="checkbox"/> No
4a	Was a correction made?	<input type="checkbox"/> Yes <input type="checkbox"/> No
b	If “Yes,” describe in Part IV	

Part I-C Complete if the organization is exempt under section 501(c) except section 501(c)(3).

1	Enter the amount directly expended by the filing organization for section 527 exempt function activities	▶ \$
2	Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt funtion activities	▶ \$
3	Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b	▶ \$
4	Did the filing organization file <b>Form 1120-POL</b> for this year?	<input type="checkbox"/> Yes <input type="checkbox"/> No
5	Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization’s funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV	

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-

Part II-A

Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

A

Check

☐

if the filing organization belongs to an affiliated group

B

Check

☐

if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing Organization's Totals	(b) Affiliated Group Totals												
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)															
b Total lobbying expenditures to influence a legislative body (direct lobbying)															
c Total lobbying expenditures (add lines 1a and 1b)															
d Other exempt purpose expenditures															
e Total exempt purpose expenditures (add lines 1c and 1d)															
f Lobbying nontaxable amount Enter the amount from the following table in both columns															
<table><thead><tr><th>If the amount on line 1e, column (a) or (b) is:</th><th>The lobbying nontaxable amount is:</th></tr></thead><tbody><tr><td>Not over \$500,000</td><td>20% of the amount on line 1e</td></tr><tr><td>Over \$500,000 but not over \$1,000,000</td><td>\$100,000 plus 15% of the excess over \$500,000</td></tr><tr><td>Over \$1,000,000 but not over \$1,500,000</td><td>\$175,000 plus 10% of the excess over \$1,000,000</td></tr><tr><td>Over \$1,500,000 but not over \$17,000,000</td><td>\$225,000 plus 5% of the excess over \$1,500,000</td></tr><tr><td>Over \$17,000,000</td><td>\$1,000,000</td></tr></tbody></table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000	Over \$17,000,000	\$1,000,000		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000														
Over \$17,000,000	\$1,000,000														
g Grassroots nontaxable amount (enter 25% of line 1f)															
h Subtract line 1g from line 1a If zero or less, enter -0-															
i Subtract line 1f from line 1c If zero or less, enter -0-															
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?		<input type="checkbox"/> Yes	<input type="checkbox"/> No												

4-Year Averaging Period Under Section 501(h)  
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) Total
2a Lobbying non-taxable amount					
b Lobbying ceiling amount (150% of line 2a, column(e))					
c Total lobbying expenditures					
d Grassroots non-taxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B

Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

		(a)		(b)	
		Yes	No	Amount	
<b>1</b> During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of	<b>a</b> Volunteers?		No		
	<b>b</b> Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?	Yes			
	<b>c</b> Media advertisements?		No		
	<b>d</b> Mailings to members, legislators, or the public?		No		
	<b>e</b> Publications, or published or broadcast statements?		No		
	<b>f</b> Grants to other organizations for lobbying purposes?	Yes			2,861
	<b>g</b> Direct contact with legislators, their staffs, government officials, or a legislative body?	Yes			90,158
	<b>h</b> Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?		No		
	<b>i</b> Other activities? If "Yes," describe in Part IV	Yes			569,041
	<b>j</b> Total lines 1c through 1i				662,060
	<b>2a</b> Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		No		
<b>b</b> If "Yes," enter the amount of any tax incurred under section 4912					
<b>c</b> If "Yes," enter the amount of any tax incurred by organization managers under section 4912					
<b>d</b> If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?					

Part III-A

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

			Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1		
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2		
3	Did the organization agree to carryover lobbying and political expenditures from the prior year?	3		

Part III-B

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, lines 1 and 2 are answered "No" OR if Part III-A, line 3 is answered "Yes".

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) non-deductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
		2a	
		2b	
		2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV

Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, and Part II-B, line 1i. Also, complete this part for any additional information.

Identifier	Return Reference	Explanation
SUPPLEMENTAL INFORMATION	SCHEDULE C	LINE 1A - VOLUNTEERS N/A LINE 1B - PAID STAFF OR MANAGEMENT THE UNIVERSITY HAS OFFICES OF GOVERNMENT AND COMMUNITY AFFAIRS AT BOTH ITS MORNINGSIDE AND MEDICAL CENTER CAMPUSES WHOSE FUNCTION IS TO KEEP THE UNIVERSITY COMMUNITY INFORMED ABOUT NATIONAL, STATE AND LOCAL LEGISLATION AND POLICY THAT HAS AN IMPACT ON THE UNIVERSITY AND, WHEN APPROPRIATE, TO ADVOCATE FOR THE UNIVERSITY'S INTERESTS WITH RESPECT TO THIS POLICY EMPLOYEES REGULARLY INVOLVED IN THESE ACTIVITIES INCLUDE EXECUTIVE VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS ASSISTANT VICE PRESIDENT FOR GOVERNMENT RELATIONS PROJECT COORDINATOR FOR STATE AND FEDERAL RELATIONS DEPUTY VICE PRESIDENT AND ASSOCIATE DEAN FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER ASSISTANT VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER LINE 1C - MEDIA ADVERTISEMENTS NONE LINE 1D - MAILINGS TO MEMBERS, LEGISLATORS OR THE PUBLIC NONE LINE 1E - PUBLICATIONS, OR PUBLISHED OR BROADCAST STATEMENTS NONE LINE 1F - GRANTS TO OTHER ORGANIZATIONS FOR LOBBYING PURPOSES THE UNIVERSITY BELONGS TO CERTAIN HIGHER EDUCATION TRADE ASSOCIATIONS A SMALL PORTION OF THE MEMBERSHIP FEES PAID TO SOME OF THOSE ORGANIZATIONS GOES TO SUPPORT LOBBYING-RELATED ACTIVITIES THESE FEES ARE REGULARLY REPORTED ON THE UNIVERSITY'S LOBBYING DISCLOSURE FILINGS LINE 1G - DIRECT CONTACT WITH LEGISLATORS, THEIR STAFFS, GOVERNMENT OFFICIALS, OR A LEGISLATIVE BODY THE PRESIDENT, THE SENIOR EXECUTIVE VICE PRESIDENT, THE EXECUTIVE VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS, THE ASSISTANT VICE PRESIDENT FOR GOVERNMENT RELATIONS, THE PROJECT COORDINATOR FOR STATE AND FEDERAL RELATIONS CONTACTED FEDERAL, STATE AND LOCAL LEGISLATORS AND THEIR POLICY STAFFS ON HIGHER EDUCATION ISSUES THE EXECUTIVE VICE PRESIDENT FOR HEALTH SCIENCE, THE DEPUTY VICE PRESIDENT AND ASSOCIATE DEAN FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER, AND THE ASSISTANT VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER, CONTACTED FEDERAL, STATE AND LOCAL LEGISLATORS AND THEIR POLICY STAFFS ON HIGHER EDUCATION ISSUES, HEALTH SCIENCES ISSUES AND OTHER ISSUES AFFECTING ACADEMIC MEDICAL CENTERS THE AMOUNTS SHOWN ON LINE 1G REFLECT PAID STAFF AND MANAGEMENT ACTIVITIES LINE 1H - RALLIES, DEMONSTRATIONS, SEMINARS, CONVENTIONS, SPEECHES, LECTURES OR ANY OTHER MEANS N/A LINE 1I - OTHER ACTIVITIES DURING THE REPORTING PERIOD, THE UNIVERSITY RETAINED OUTSIDE FIRMS FOR ADVOCACY AT THE FEDERAL, STATE AND LOCAL LEVELS THE AMOUNTS SHOWN ON LINE 1I INCLUDE AMOUNTS PAID TO SUCH OUTSIDE FIRMS FOR ALL ACTIVITIES CONDUCTED BY SUCH FIRMS, INCLUDING CERTAIN OF THE ACTIVITIES DESCRIBED ABOVE AND GENERAL ADVISORY SERVICES TO THE UNIVERSITY IN CONNECTION WITH ITS LOBBYING ACTIVITIES

SCHEDULE D

(Form 990)

Department of the Treasury  
Internal Revenue Service

Supplemental Financial Statements

▶ **Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.**  
▶ **Attach to Form 990. ▶ See separate instructions.**

OMB No 1545-0047

2010

Open to Public  
Inspection

**Name of the organization**  
THE TRUSTEES OF COLUMBIA UNIVERSITY  
IN THE CITY OF NEW YORK

**Employer identification number**  
  
13-5598093

Part I

Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year	6	
2 Aggregate contributions to (during year)	0	
3 Aggregate grants from (during year)	776,000	
4 Aggregate value at end of year	27,055,905	
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	

Part II

Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or pleasure)☐ Preservation of an historically importantly land area☐ Protection of natural habitat☐ Preservation of a certified historic structure☐ Preservation of open space

2 Complete lines 2a–2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 8/17/06	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the taxable year ▶ \_\_\_\_\_

4 Number of states where property subject to conservation easement is located ▶ \_\_\_\_\_

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting and enforcing conservation easements during the year ▶ \_\_\_\_\_

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ \_\_\_\_\_

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1 ▶ \$ \_\_\_\_\_

(ii) Assets included in Form 990, Part X ▶ \$ \_\_\_\_\_

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items

a Revenues included in Form 990, Part VIII, line 1 ▶ \$ \_\_\_\_\_

b Assets included in Form 990, Part X ▶ \$ \_\_\_\_\_

For Privacy Act and Paperwork Reduction Act Notice, see the Intructions for Form 990

Cat No 52283D

Schedule D (Form 990) 2010



Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3

Using the organization's accession and other records, check any of the following that are a significant use of its collection items (check all that apply)

a

☒ Public exhibition

b

☒ Scholarly research

c

☒ Preservation for future generations

d

☒ Loan or exchange programs

e

☐ Other

4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes☒ No

Part IV

Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes☐ No

b

If "Yes," explain the arrangement in Part XIV and complete the following table

	Amount
1c	
1d	
1e	
1f	

c

Beginning balance

d

Additions during the year

e

Distributions during the year

f

Ending balance

2a

Did the organization include an amount on Form 990, Part X, line 21?

☐ Yes☐ No

b

If "Yes," explain the arrangement in Part XIV

Part V

Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a)Current Year	(b)Prior Year	(c)Two Years Back	(d)Three Years Back	(e)Four Years Back
1a	Beginning of year balance . . . . .	6,516,512,000	5,892,798,000	7,345,226,000	
b	Contributions . . . . .	202,175,000	159,335,000	159,922,000	
c	Investment earnings or losses . . . . .	1,503,257,000	946,146,321	-1,138,085,412	
d	Grants or scholarships . . . . .	71,786,000	72,771,000	81,367,000	
e	Other expenditures for facilities and programs . . . . .	329,582,000	387,564,000	365,444,000	
f	Administrative expenses . . . . .	30,998,000	21,432,321	27,453,588	
g	End of year balance . . . . .	7,789,578,000	6,516,512,000	5,892,798,000	

2

Provide the estimated percentage of the year end balance held as

a

Board designated or quasi-endowment ▶ 40 000 %

b

Permanent endowment ▶ 60 000 %

c

Term endowment ▶ 0 %

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by

(i)

unrelated organizations . . . . .

3a(i)

Yes

No

(ii)

related organizations . . . . .

3a(ii)

No

b

If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

3b

4

Describe in Part XIV the intended uses of the organization's endowment funds

Part VI

Investments—Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of investment	(a) Cost or other basis (investment)	(b)Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land . . . . .		373,791,000		373,791,000
b Buildings . . . . .	1,014,774,283	3,812,770,111	1,844,167,639	2,983,376,755
c Leasehold improvements . . . . .				
d Equipment . . . . .		326,298,000	175,679,000	150,619,000
e Other . . . . .				
Total. Add lines 1a-1e (Column (d) should equal Form 990, Part X, column (B), line 10(c).) . . . . .				3,507,786,755



Part XI

Reconciliation of Change in Net Assets from Form 990 to Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	
3	Excess or (deficit) for the year Subtract line 2 from line 1	3	
4	Net unrealized gains (losses) on investments	4	
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	
9	Total adjustments (net) Add lines 4 - 8	9	
10	Excess or (deficit) for the year per financial statements Combine lines 3 and 9	10	

Part XII

Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements . . . . .	1	
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12		
a	Net unrealized gains on investments . . . . .	2a	
b	Donated services and use of facilities . . . . .	2b	
c	Recoveries of prior year grants . . . . .	2c	
d	Other (Describe in Part XIV) . . . . .	2d	
e	Add lines 2a through 2d . . . . .	2e	
3	Subtract line 2e from line 1 . . . . .	3	
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1		
a	Investment expenses not included on Form 990, Part VIII, line 7b . . . . .	4a	
b	Other (Describe in Part XIV) . . . . .	4b	
c	Add lines 4a and 4b . . . . .	4c	
5	Total Revenue Add lines 3 and 4c. (This should equal Form 990, Part I, line 12 ) . . . . .	5	

Part XIII

Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements . . . . .	1	
2	Amounts included on line 1 but not on Form 990, Part IX, line 25		
a	Donated services and use of facilities . . . . .	2a	
b	Prior year adjustments . . . . .	2b	
c	Other losses . . . . .	2c	
d	Other (Describe in Part XIV) . . . . .	2d	
e	Add lines 2a through 2d . . . . .	2e	
3	Subtract line 2e from line 1 . . . . .	3	
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b . . . . .	4a	
b	Other (Describe in Part XIV) . . . . .	4b	
c	Add lines 4a and 4b . . . . .	4c	
5	Total expenses Add lines 3 and 4c. (This should equal Form 990, Part I, line 18 ) . . . . .	5	

Part XIV

Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information.

Identifier	Return Reference	Explanation
DETAIL OF UNIVERSITY COLLECTIONS/TEXT OF AUDIT FOOTNOTE	SCHEDULE D, PART III, LINES 1A & 4	COLLECTIONS AT THE UNIVERSITY INCLUDE WORKS OF ART, LITERARY WORKS, HISTORICAL TREASURES, AND ARTIFACTS THAT ARE MAINTAINED IN THE UNIVERSITY'S GALLERIES, LIBRARIES, AND BUILDINGS. THESE COLLECTIONS ARE PROTECTED AND PRESERVED FOR PUBLIC EXHIBITION, EDUCATION, RESEARCH, AND THE FURTHERANCE OF PUBLIC SERVICE AND, THEREFORE, ARE NOT RECOGNIZED AS ASSETS ON THE CONSOLIDATED BALANCE SHEET. COSTS ASSOCIATED WITH PURCHASING ADDITIONS AND MAINTAINING THESE COLLECTIONS ARE RECORDED AS OPERATING EXPENSES IN THE PERIOD IN WHICH THE ITEMS ARE ACQUIRED.
INTENDED USE OF ENDOWMENT FUNDS	SCHEDULE D, PART V, LINE 4	COLUMBIA UNIVERSITY'S ENDOWMENT FUNDS SUPPORT A RANGE OF PURPOSES IN ACCORDANCE WITH THE UNIVERSITY'S MISSION, INCLUDING FINANCIAL AID, FACULTY SALARIES AND SUPPORT, RESEARCH, CAPITAL PROJECTS, GENERAL SUPPORT OF THE UNIVERSITY, SCHOOLS, DEPARTMENTS, INSTITUTES AND CENTERS.
PART X, LINE 2 (FIN 48)		THE UNIVERSITY BELIEVES THAT ITS TAX POSITIONS AS THEY RELATE TO THE IMPACT ON THE FINANCIAL STATEMENTS OF FIN 48 ARE HIGHLY CERTAIN.

SCHEDULE E

(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

Schools

►Complete if the organization answered "Yes" to Form 990, Part IV, line 13,  
or Form 990-EZ, Part VI, line 48.  
► Attach to Form 990 or Form 990-EZ.

OMB No 1545-0047

2010

Open to Public  
Inspection

Name of the organization  
THE TRUSTEES OF COLUMBIA UNIVERSITY  
IN THE CITY OF NEW YORK

Employer identification number

13-5598093

Part I

- 1 Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?
- 2 Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?
- 3 Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe If "No," please explain If you need more space use Part II

- 4 Does the organization maintain the following?

a Records indicating the racial composition of the student body, faculty, and administrative staff?

b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?

c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?

d Copies of all material used by the organization or on its behalf to solicit contributions?

If you answered "No" to any of the above, please explain If you need more space, use Part II

- 5 Does the organization discriminate by race in any way with respect to

a Students' rights or privileges?

b Admissions policies?

c Employment of faculty or administrative staff?

d Scholarships or other financial assistance?

e Educational policies?

f Use of facilities?

g Athletic programs?

h Other extracurricular activities?

If you answered "Yes" to any of the above, please explain If you need more space, use Part II

- 6a Does the organization receive any financial aid or assistance from a governmental agency?
- b Has the organization's right to such aid ever been revoked or suspended?
- If you answered "Yes" to either line 6a or line 6b, explain on Part II
- 7 Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," explain on Part II

	YES	NO
1	Yes	
2	Yes	
3	Yes	
4a	Yes	
4b	Yes	
4c	Yes	
4d	Yes	
5a		No
5b		No
5c		No
5d		No
5e		No
5f		No
5g		No
5h		No
6a	Yes	
6b		No
7	Yes	

**Part III**   **Supplemental Information**

Complete this part to provide the explanations required by Part I, lines 3, 4d, 5h, 6b, and 7, as applicable. Also complete this part to provide any other additional information (see instructions).

Identifier	Return Reference	Explanation
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[illegible]**Schedule F (Form 990) 2010**

Part III

Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 16. Use Part V if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
See Add'l Data							



**Part IV Foreign Forms**

- 1

Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926 (see instructions for Form 926)*

☒

Yes

☐

No
- 2

Did the organization have an interest in a foreign trust during the tax year? *If " Yes," the organization may be required to file Form 3520 and/or Form 3520-A. (see instructions for Forms 3520 and 3520-A)*

☒

Yes

☐

No
- 3

Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with respect to Certain Foreign Corporations. (see instructions for Form 5471)*

☒

Yes

☐

No
- 4

Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see instructions for Form 8621)*

☒

Yes

☐

No
- 5

Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with respect to Certain Foreign Partnerships. (see instructions for Form 8865)*

☒

Yes

☐

No
- 6

Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report (see instructions for Form 5713).*

☒

Yes

☐

No

Part V

Supplemental Information

Complete this part to provide the information (see instructions) required in Part I, line 2, and any additional information.

Identifier	Return Reference	Explanation
SUPPLEMENTAL INFORMATION		<p>SCHEDULE F, PART I, LINE 2 ORGANIZATION'S PROCEDURES FOR MONITORING GRANT FUNDS THE UNIVERSITY MAINTAINS A WRITTEN POLICY THAT GOVERNS THE MONITORING OF ALL SPONSORED PROJECT GRANT FUNDING THIS POLICY IS CONTAINED IN THE "SPONSORED PROJECTS HANDBOOK" THE POLICY STATES THAT THE PRIMARY OBLIGATION FOR THE DAY-TO-DAY MANAGEMENT OF SPONSORED PROJECTS AND INSURING COMPLIANCE WITH FEDERAL AND OTHER SPONSOR REGULATIONS IS THE RESPONSIBILITY OF THE PRINCIPAL INVESTIGATOR SUPPORTED, AS NECESSARY, BY HIS OR HER ADMINISTRATIVE STAFF ADDITIONALLY, THE POLICY SPECIFIES THE FOLLOWING MONITORING PROCEDURES - MONTHLY ACCOUNT RECONCILIATION - REVIEW OF SALARY AND BENEFIT CHARGES - REVIEW OF VENDOR INVOICES - REVIEW OF CHARGES INITIATED BY SERVICE OR RECHARGE CENTERS - REVIEW OF FACILITIES AND ADMINISTRATIVE CHARGES - MONITORING OF SUB-AWARDS THROUGH REVIEW OF CHARGES AND TECHNICAL PERFORMANCE REPORTS, CONDUCTING PERIODIC ONSITE VISITS, AND INITIATING AUDITS/REVIEWS WHEN NECESSARY EXPENDITURES RELATED TO FOREIGN ACTIVITY EXPENDITURES RELATED TO FOREIGN ACTIVITY ARE GENERATED FROM A NUMBER OF SCHOOLS AND CENTERS AS WELL AS THROUGH CERTAIN CENTRAL ADMINISTRATIVE ACTIVITY EXPENDITURES RELATED TO FOREIGN ACTIVITY ARE GENERATED FROM A NUMBER OF SCHOOLS AND CENTERS AS WELL AS THROUGH CERTAIN CENTRAL ADMINISTRATIVE ACTIVITY MOST OF THE ACTIVITY RELATES TO U S GOVERNMENT FUNDED PROGRAM SERVICES OTHER ACTIVITY INCLUDES FACULTY AND STAFF TRAVEL FOR EDUCATIONAL, RESEARCH, FUNDRAISING AND OTHER PROGRAM SERVICES NOT ALL OF THE OVERSEAS EXPENDITURES ARE SEPARATELY TRACKED AS FOREIGN ACTIVITY THE AMOUNTS REPRESENTED ON SCHEDULE F REPRESENT THE UNIVERSITY'S GOOD FAITH EFFORTS TO CAPTURE FOREIGN ACTIVITY CONSISTENT WITH THESE EFFORTS, LINE (11) ON PAGE 2 OF SCHEDULE F INCLUDES \$11,155,934 FOR GRANTMAKING ACTIVITY IN SUB-SAHARAN AFRICA FOR WHICH INDIVIDUAL GRANT AMOUNTS ARE NOT SHOWN IN PART II OF SCHEDULE F THESE GRANTS WERE GENERALLY MADE TO SUPPORT EDUCATION AND RESEARCH OR FOR GENERAL PROGRAM SUPPORT AND PAID BY WIRE TRANSFER ----- FORM 990, SCHEDULE F, PART I THE AMOUNTS LISTED ON SCHEDULE F, PART I AS TOTAL EXPENDITURES FOR INVESTMENTS FOR CERTAIN REGIONS INCLUDES BOTH THE BOOK VALUE OF INVESTMENTS AND SEPARATELY TRACKED INVESTMENT EXPENDITURES IN THAT REGION THE BREAKOUT OF THE AMOUNTS ARE AS FOLLOWS 1) CENTRAL AMERICA AND THE CARIBBEAN - BOOK VALUE \$3,225,376,389 2) EAST ASIA AND THE PACIFIC - BOOK VALUE \$433,408,965 AND EXPENDITURES \$22,124,221 3) EUROPE - BOOK VALUE \$561,443,866 AND EXPENDITURES \$1,957,151 4) NORTH AMERICA - BOOK VALUE \$21,753,778 5) SOUTH AMERICA - EXPENDITURES \$41,032 6) SUB-SAHARAN AFRICA - BOOK VALUE \$41,382,642 -----</p>

Additional Data

Software ID:

Software Version:

EIN: 13-5598093

Name: THE TRUSTEES OF COLUMBIA UNIVERSITY  
IN THE CITY OF NEW YORK

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Central America and the Caribbean	1	9	Program Services	EDUC/RESEARCH/OUTREACH	352,431
Central America and the Caribbean			Grantmaking		389,507
Central America and the Caribbean			Investments		3,225,376,389
East Asia and the Pacific	7	95	Program Services	EDUC/RESEARCH/OUTREACH	6,057,521
East Asia and the Pacific			Grantmaking		1,526,443
East Asia and the Pacific			Fundraising		316,001
East Asia and the Pacific			Investments		455,533,186
Europe (Including Iceland and Greenland)	7	297	Program Services	EDUC/RESEARCH/OUTREACH	9,813,217
Europe (Including Iceland and Greenland)			Grantmaking		8,127,848
Europe (Including Iceland and Greenland)			Fundraising		184,630
Europe (Including Iceland and Greenland)			Investments		563,401,017
Middle East and North Africa	3	82	Program Services	EDUC/RESEARCH/OUTREACH	2,578,546
Middle East and North Africa			Grantmaking		150,882
Middle East and North Africa			Fundraising		56,914
North America	0	77	Program Services	EDUC/RESEARCH/OUTREACH	1,108,829
North America			Grantmaking		2,099,453
North America			Investments		21,753,778
Russia and the Newly Independent States	0	124	Program Services	EDUC/RESEARCH/OUTREACH	1,040,069
Russia and the Newly Independent States			Grantmaking		295,285
South America	1	14	Program Services	EDUC/RESEARCH/OUTREACH	1,245,224
South America			Grantmaking		1,939,552
South America			Fundraising		29,774
South America			Investments		41,032
South Asia	2	121	Program Services	EDUC/RESEARCH/OUTREACH	1,458,860
South Asia			Grantmaking		1,491,087
South Asia			Fundraising		64,459
Sub-Saharan Africa	33	1,139	Program Services	EDUC/RESEARCH/OUTREACH	105,745,212
Sub-Saharan Africa			Grantmaking		41,387,112
Sub-Saharan Africa			Fundraising		7,888
Sub-Saharan Africa			Investments		41,382,642

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	GENERAL SUPPORT	6,400	DIRECT DEBIT		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	49,500	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	EDUC/RESEARCH	145,895	CHECK/WIRE		N/A	N/A
		South America	EDUC/RESEARCH	530,031	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	EDUC/RESEARCH	35,370	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	14,600	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	EDUC/RESEARCH	630,000	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	GENERAL SUPPORT	282,222	WIRE TRANS		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EQUIPMENT	8,160	CHECK		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	6,000	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	EDUC/RESEARCH	15,864	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	181,850	CHECK/WIRE		N/A	N/A



Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	EDUC/RESEARCH	34,842	CHECK/WIRE		N/A	N/A
		South America	EDUC/RESEARCH	27,838	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	GENERAL SUPPORT	691,754	WIRE TRANS		N/A	N/A
		Europe/Iceland/Greenland	GENERAL SUPPORT	5,671	WIRE TRANS		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	GENERAL SUPPORT	6,768	WIRE TRANS		N/A	N/A
		Europe/Iceland/Greenland	GENERAL SUPPORT	135,000	WIRE TRANS		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	178,600	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	GENERAL SUPPORT	178,600	WIRE TRANS		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	EDUC/RESEARCH	426,357	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	145,874	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	18,127	CHECK/WIRE		N/A	N/A
		East Asia/Pacific	EDUC/RESEARCH	91,600	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia/Pacific	GENERAL SUPPORT	91,600	CHECK		N/A	N/A
		Sub-Saharan Africa	EQUIPMENT	5,467	CHECK		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Cent America/Caribbean	GENERAL SUPPORT	150,472	WIRE TRANS		N/A	N/A
		Europe/Iceland/Greenland	GENERAL SUPPORT	112,526	WIRE TRANS		N/A	N/A



Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	26,862	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	2,874,220	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	EDUC/RESEARCH	9,097	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	68,000	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Russia	EDUC/RESEARCH	194,333	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	73,784	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	EDUC/RESEARCH	214,650	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	39,200	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	TUITION	18,929	WIRE TRANS		N/A	N/A
		East Asia/Pacific	GENERAL SUPPORT	12,005	WIRE TRANS		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	EDUC/RESEARCH	57,672	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	159,773	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	GENERAL SUPPORT	159,773	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	GENERAL SUPPORT	18,212	WIRE TRANS		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia/Pacific	EDUC/RESEARCH	131,255	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	194,617	CHECK/WIRE		N/A	N/A



Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South America	EDUC/RESEARCH	134,310	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	GENERAL SUPPORT	156,342	WIRE TRANS		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	GENERAL SUPPORT	888,282	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	1,044,624	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	1,974,204	CHECK/WIRE		N/A	N/A
		South Asia	EDUC/RESEARCH	17,500	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South Asia	EDUC/RESEARCH	69,936	WIRE TRANS		N/A	N/A
		East Asia/Pacific	EDUC/RESEARCH	20,369	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia/Pacific	GENERAL SUPPORT	69,936	WIRE TRANS		N/A	N/A
		South America	EDUC/RESEARCH	40,427	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	EDUC/RESEARCH	222,892	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	222,365	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	EDUC/RESEARCH	17,905	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	GENERAL SUPPORT	117,065	WIRE TRANS		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	EDUC/RESEARCH	1 17,065	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	1 27,762	CHECK/WIRE		N/A	N/A



Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	EDUC/RESEARCH	280,122	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	17,145	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia/Pacific	GENERAL SUPPORT	106,329	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	81,501	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	EDUC/RESEARCH	25,000	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	24,792	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia/Pacific	EDUC/RESEARCH	24,000	CHECK/WIRE		N/A	N/A
		North America	EDUC/RESEARCH	11,953	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	911,126	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	14,729	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	1,446,936	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	36,038	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	164,567	CHECK/WIRE		N/A	N/A
		South Asia	CONFERENCE	44,963	WIRE TRANS		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South Asia	GENERAL SUPPORT	17,885	WIRE TRANS		N/A	N/A
		South Asia	EDUC/RESEARCH	44,963	CHECK/WIRE		N/A	N/A



Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	EDUC/RESEARCH	17,885	CHECK/WIRE		N/A	N/A
		East Asia/Pacific	EDUC/RESEARCH	13,667	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	GENERAL SUPPORT	125,105	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	117,300	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South Asia	EDUC/RESEARCH	63,500	CHECK/WIRE		N/A	N/A
		South Asia	DATA COLLECTION	63,500	WIRE TRANS		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	EDUC/RESEARCH	537,500	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	297,294	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	EDUC/RESEARCH	30,600	CHECK/WIRE		N/A	N/A
		North America	EDUC/RESEARCH	17,200	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South America	GENERAL SUPPORT	427,331	WIRE TRANS		N/A	N/A
		Russia	EDUC/RESEARCH	50,929	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	MEMBERSHIP DUES	107,631	CREDIT		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	128,908	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	EDUC/RESEARCH	1,180,377	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	40,175	CHECK/WIRE		N/A	N/A



Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South Asia	EDUC/RESEARCH	34,125	CHECK/WIRE		N/A	N/A
		South America	EDUC/RESEARCH	137,250	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	RESEARCH	97,110	CHECK		N/A	N/A
		North America	EDUC/RESEARCH	97,110	CHECK		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	EDUC/RESEARCH	135,184	CHECK/WIRE		N/A	N/A
		North America	TECH FEES	10,643	WIRE TRANS		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	TRAVEL	12,919	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	157,178	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	GENERAL SUPPORT	157,178	WIRE TRANS		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	114,346	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	EDUC/RESEARCH	42,583	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	87,476	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South Asia	EDUC/RESEARCH	57,100	CHECK/WIRE		N/A	N/A
		South America	EDUC/RESEARCH	92,947	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	EDUC/RESEARCH	22,750	CHECK/WIRE		N/A	N/A
		North America	RESEARCH	31,317	CHECK		N/A	N/A



Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	EDUC/RESEARCH	134,812	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	10,000	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	EDUC/RESEARCH	6,392	CHECK/WIRE		N/A	N/A
		South Asia	GENERAL SUPPORT	79,011	WIRE TRANS		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	EDUC/RESEARCH	25,003	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	12,000	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia/Pacific	EDUC/RESEARCH	18,196	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	351,983	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	GENERAL SUPPORT	474,197	WIRE TRANS		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	279,328	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	113,051	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	667,495	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia/Pacific	EDUC/RESEARCH	89,100	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	40,504	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	79,707	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	286,780	CHECK/WIRE		N/A	N/A



Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	19,477	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	17,589	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	91,844	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	12,200	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	39,619	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	371,071	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	16,099	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	93,649	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	45,662	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	47,045	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	114,567	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	12,165	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	115,386	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	15,265	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	127,835	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	115,507	CHECK/WIRE		N/A	N/A



Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	277,178	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	77,977	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	38,263	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	63,444	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	547,133	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	203,495	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	443,305	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	33,607	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	45,437	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	50,100	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	588,828	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	16,500	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	131,280	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	155,150	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	116,641	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	65,175	CHECK/WIRE		N/A	N/A



Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	EDUC/RESEARCH	32,000	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	131,021	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	EDUC/RESEARCH	5,700	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	29,299	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	43,149	CHECK/WIRE		N/A	N/A
		South Asia	EDUC/RESEARCH	125,526	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	1,140,702	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	34,588	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	EDUC/RESEARCH	269,676	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	424,614	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	EDUC/RESEARCH	18,989	CHECK/WIRE		N/A	N/A
		North America	EDUC/RESEARCH	30,000	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	79,011	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	37,195	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	346,635	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	101,792	CHECK/WIRE		N/A	N/A



Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	9,471	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	121,559	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	806,933	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	150,472	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	37,224	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	206,702	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	7,584	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	204,396	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	442,196	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	22,856	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	18,944	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	138,681	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	519,350	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	35,402	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	13,301	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	205,293	CHECK/WIRE		N/A	N/A



Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	152,565	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	114,748	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	20,545	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	38,591	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	15,562	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	696,681	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	29,881	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	96,572	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia/Pacific	EDUC/RESEARCH	13,734	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	92,147	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	43,087	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	26,278	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	110,318	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EDUC/RESEARCH	384,291	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	27,801	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	193,462	CHECK/WIRE		N/A	N/A



Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	17,693	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	191,976	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	96,554	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	22,768	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	111,292	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	153,401	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	60,449	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	EQUIPMENT	7,050	WIRE TRANS		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia/Pacific	EQUIPMENT	54,825	CHECK		N/A	N/A
		Europe/Iceland/Greenland	EQUIPMENT	13,394	WIRE TRANS		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	GENERAL SUPPORT	11,840	CHECK		N/A	N/A
		Europe/Iceland/Greenland	EQUIPMENT	15,665	WIRE TRANS		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	EQUIPMENT	7,261	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	GENERAL SUPPORT	56,327	WIRE TRANS		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	GENERAL SUPPORT	91,327	WIRE TRANS		N/A	N/A
		South America	GENERAL SUPPORT	73,911	CHECK		N/A	N/A



Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia/Pacific	TRAVEL SUPPORT	26,982	WIRE TRANS		N/A	N/A
		South America	CONSULTANT FEE	184,262	WIRE TRANS		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South America	GENERAL SUPPORT	42,090	CHECK		N/A	N/A
		Sub-Saharan Africa	GENERAL SUPPORT	4,013,471	CHECK		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia/Pacific	GENERAL SUPPORT	8,777	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	GENERAL SUPPORT	5,262	WIRE TRANS		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	EDUC/RESEARCH	143,124	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	TRAVEL SUPPORT	8,326	WIRE TRANS		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	GENERAL SUPPORT	18,750	WIRE TRANS		N/A	N/A
		Europe/Iceland/Greenland	GENERAL SUPPORT	77,997	WIRE TRANS		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Cent America/Caribbean	CONSULTANT FEE	46,296	WIRE TRANS		N/A	N/A
		South Asia	GENERAL SUPPORT	54,338	WIRE TRANS		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	GENERAL SUPPORT	12,720	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	GENERAL SUPPORT	23,515	WIRE TRANS		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	GENERAL SUPPORT	11,958	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	GENERAL SUPPORT	160,939	CHECK		N/A	N/A



Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	CONSULTANT FEE	56,000	WIRE TRANS		N/A	N/A
		East Asia/Pacific	TRAVEL SUPPORT	6,262	WIRE TRANS		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	GENERAL SUPPORT	6,300	CHECK		N/A	N/A
		Sub-Saharan Africa	GENERAL SUPPORT	701,078	WIRE TRANS		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South Asia	GENERAL SUPPORT	13,259	WIRE TRANS		N/A	N/A

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV , appraisal, other)
TRAVEL	Cent America/Caribbean	45	22,213	CHECK		N/A	N/A
TRAVEL	Cent America/Caribbean	18	10,977	CHECK		N/A	N/A
DISSERTATION	East Asia/Pacific	4	57,369	CHECK		N/A	N/A
FELLOWSHIP	East Asia/Pacific	1	6,697	CHECK		N/A	N/A
GENERAL SUPPORT	East Asia/Pacific	59	98,869	CHECK		N/A	N/A
INTERNSHIP AWARD	East Asia/Pacific	5	19,300	DIRECT DEPOS		N/A	N/A
RESEARCH	East Asia/Pacific	32	78,053	DIRECT DEPOS		N/A	N/A
TRAVEL	East Asia/Pacific	6	18,276	CHECK		N/A	N/A
TRAVEL	East Asia/Pacific	18	10,578	CHECK		N/A	N/A
TRAVEL	East Asia/Pacific	169	326,318	CHECK		N/A	N/A
FELLOWSHIP	Europe/Iceland/Greenland	5	116,214	CHECK		N/A	N/A
GENERAL SUPPORT	Europe/Iceland/Greenland	17	133,428	CHECK		N/A	N/A
HONORARIUM	Europe/Iceland/Greenland	18	31,750	CHECK		N/A	N/A
INTERNSHIP AWARD	Europe/Iceland/Greenland	2	10,300	CHECK		N/A	N/A
RESEARCH	Europe/Iceland/Greenland	54	205,511	DIRECT DEPOS		N/A	N/A
TRAVEL	Europe/Iceland/Greenland	12	66,934	CREDIT		N/A	N/A
TRAVEL	Europe/Iceland/Greenland	62	42,649	CHECK		N/A	N/A
TRAVEL	Europe/Iceland/Greenland	167	312,970	CHECK		N/A	N/A
GENERAL SUPPORT	Europe/Iceland/Greenland	11	32,535	CHECK		N/A	N/A
INTERNSHIP AWARD	Middle East/North Africa	1	10,000	CHECK		N/A	N/A
RESEARCH	Middle East/North Africa	12	39,086	DIRECT DEPOS		N/A	N/A
TRAVEL	Middle East/North Africa	1	11,500	CREDIT		N/A	N/A
TRAVEL	Middle East/North Africa	25	38,947	CHECK		N/A	N/A
PRIZE	North America	3	10,000	CHECK		N/A	N/A
GENERAL SUPPORT	NORTH AMERICA	16	10,098	CHECK		N/A	N/A
RESEARCH	North America	3	7,750	DEPOSIT		N/A	N/A
TRAVEL	North America	38	18,241	CHECK		N/A	N/A
TRAVEL	North America	47	45,963	CHECK		N/A	N/A
TRAVEL	Russia	31	42,511	CHECK		N/A	N/A
INTERNSHIP AWARD	South America	6	19,300	CHECK		N/A	N/A
RESEARCH	South America	8	34,170	DIRECT DEPOS		N/A	N/A
TRAVEL	South America	2	5,702	CHECK		N/A	N/A
TRAVEL	South America	1	11,500	CREDIT		N/A	N/A
TRAVEL	South America	20	9,620	CHECK		N/A	N/A
TRAVEL	South America	70	149,563	CHECK		N/A	N/A
GENERAL SUPPORT	South Asia	27	53,486	CHECK		N/A	N/A
INTERNSHIP AWARD	South Asia	2	6,400	CHECK		N/A	N/A
RESEARCH	South Asia	5	22,418	CHECK		N/A	N/A
TRAVEL	South Asia	1	11,500	CHECK		N/A	N/A
TRAVEL	South Asia	3	12,588	CHECK		N/A	N/A
TRAVEL	South Asia	55	223,039	DIRECT DEPOS		N/A	N/A
INTERNSHIP AWARD	Sub-Saharan Africa	4	15,200	CHECK		N/A	N/A
RESEARCH	Sub-Saharan Africa	11	80,899	CHECK		N/A	N/A
TRAVEL	Sub-Saharan Africa	9	6,000	CHECK		N/A	N/A
TRAVEL	Sub-Saharan Africa	70	88,806	CHECK		N/A	N/A
GENERAL SUPPORT	Sub-Saharan Africa	8	16,877	CHECK		N/A	N/A
DISASTER ASSISTANCE	East Asia/Pacific	1	8,839	CHECK		N/A	N/A
DISASTER ASSISTANCE	Cent America/Caribbean	1	9,077	CHECK		N/A	N/A
LECTURE	Russia	2	7,512	CHECK		N/A	N/A
NSF GRANT	South Asia	1	8,165	CHECK		N/A	N/A



Part II Fundraising Events.

Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 on Form 990-EZ, line 6a. List events with gross receipts greater than \$5,000.

Revenue			(a) Event #1	(b) Event #2	(c) Other Events	(d) Total Events	
			<u>ANNUAL DINNER</u>	<u>AWARDS DINNER</u>	<u>48</u>	(Add col (a) through	
			(event type)	(event type)	(total number)	col (c))	
	1	Gross receipts . . . . .	1,322,343	746,775	4,302,566	6,371,684	
	2	Less Charitable contributions . . . . .	1,150,293	576,675	2,779,134	4,506,102	
3	Gross income (line 1 minus line 2) . . . . .	172,050	170,100	1,523,432	1,865,582		
Direct Expenses	4	Cash prizes . . . . .	0	0	0	0	
	5	Non-cash prizes . . . . .	1,200	6,745		7,945	
	6	Rent/facility costs . . . . .	0	3,225		3,225	
	7	Food and beverages . . . . .	248,478	174,687		423,165	
	8	Entertainment . . . . .	0	0		0	
	9	Other direct expenses . . . . .	30,838	124,874	1,523,432	1,679,144	
	10	Direct expense summary Add lines 4 through 9 in column (d). . . . . ▶					2,113,479
	11	Net income summary Combine lines 3 and 10 in column (d). . . . . ▶					-247,897

Part III Gaming.

Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming
					(Add col (a) through col (c))
Revenue	1	Gross revenue . . . . .			
	2	Cash prizes . . . . .			
Direct Expenses	3	Non-cash prizes . . . . .			
	4	Rent/facility costs . . . . .			
	5	Other direct expenses . . . . .			
	6	Volunteer labor . . . . .	<input type="checkbox"/> Yes _____% <input type="checkbox"/> No	<input type="checkbox"/> Yes _____% <input type="checkbox"/> No	<input type="checkbox"/> Yes _____% <input type="checkbox"/> No
7 Direct expense summary Add lines 2 through 5 in column (d) . . . . . ▶					
8 Net gaming income summary Combine lines 1 and 7 in column (d) . . . . . ▶					

9 Enter the state(s) in which the organization operates gaming activities \_\_\_\_\_

a Is the organization licensed to operate gaming activities in each of these states? . . . . .

☐ Yes ☐ No

b If "No," Explain \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? . . . . .

☐ Yes ☐ No

b If "Yes," Explain \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

11

Does the organization operate gaming activities with nonmembers?

☐ Yes ☐ No

12

Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?

☐ Yes ☐ No

13

Indicate the percentage of gaming activity operated in

a	The organization's facility	13a
b	An outside facility	13b

14

Provide the name and address of the person who prepares the organization's gaming/special events books and records

Name ▶ \_\_\_\_\_

Address ▶ \_\_\_\_\_

15a

Does the organization have a contract with a third party from whom the organization receives gaming revenue?

☐ Yes ☐ No

b

If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ \_\_\_\_\_ and the amount of gaming revenue retained by the third party ▶ \$ \_\_\_\_\_

c

If "Yes," enter name and address

Name ▶ \_\_\_\_\_

Address ▶ \_\_\_\_\_

16 Gaming manager information

Name ▶ \_\_\_\_\_

Gaming manager compensation ▶ \$ \_\_\_\_\_

Description of services provided ▶ \_\_\_\_\_

☐ Director/officer      ☐ Employee      ☐ Independent contractor

17

Mandatory distributions

a

Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?

☐ Yes ☐ No

b

Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ \_\_\_\_\_

Part IV Complete this part to provide additional information for responses to question on Schedule G (see instructions.)

Identifier	ReturnReference	Explanation
------------	-----------------	-------------

Schedule I  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Grants and Other Assistance to Organizations,  
Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.  
▶ Attach to Form 990

OMB No 1545-0047

2010

Open to Public  
Inspection

Name of the organization  
THE TRUSTEES OF COLUMBIA UNIVERSITY  
IN THE CITY OF NEW YORK

Employer identification number  
13-5598093

Part I

General Information on Grants and Assistance

- 1

Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . .

☒ Yes ☐ No
- 2

Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II

Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21 for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Part II can be duplicated if additional space is needed. . . . . ▶ ☐

1 (a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
See Additional Data Table							

2

Enter total number of section 501(c)(3) and government organizations . . . . .

▶ 261

3

Enter total number of other organizations . . . . .

▶ 0



Part IIIGrants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 22.  
Use Schedule I-1 (Form 990) if additional space is needed.

(a)Type of grant or assistance	(b)Number of recipients	(c)Amount of cash grant	(d)Amount of non-cash assistance	(e)Method of valuation (book, FMV, appraisal, other)	(f)Description of non-cash assistance
(1) STUDENT TUITION GRANTS AND SCHOLARSHIPS	23820	355,367,148		N/A	N/A

Part IVSupplemental Information. Complete this part to provide the information required in Part I, line 2, and any other additional information.

Identifier	Return Reference	Explanation
DESCRIPTION OF ORGANIZATION'S PROCEDURES FOR MONITORING THE USE OF GRANTS	FORM 990, SCHEDULE I	THE UNIVERSITY MAINTAINS A WRITTEN POLICY THAT GOVERNS THE MONITORING OF THE USE OF SPONSORED PROJECT GRANT FUNDING BY SUBRECIPIENTS THE POLICY MANDATES MONITORING IN TWO AREAS (A) SUBRECIPIENT INSTITUTION COMPLIANCE WITH THE AUDITING REQUIREMENTS OF OMB CIRCULAR A-133, AND (B) SUBRECIPIENT INSTITUTION'S APPROPRIATE MANAGEMENT OF SUBAWARD THE UNIVERSITY'S SPONSORED PROJECTS FINANCE GROUP COLLECTS A-133 REPORTS FROM SUBRECIPIENT INSTITUTIONS, AND THE PRINCIPAL INVESTIGATORS CARRY OUT THE SECOND TYPE OF MONITORING, PRINCIPALLY BY - ROUTINELY GATHERING AND REVIEWING TECHNICAL PERFORMANCE REPORTS, - ROUTINELY REVIEWING INVOICES AND EXPENSES RELATIVE TO BUDGET, - CONDUCTING PERIODIC ON-SITE VISITS, WHEN NECESSARY, AND - INITIATING AUDITS, WHEN NECESSARY

Software ID:  
Software Version:  
EIN: 13-5598093  
Name: THE TRUSTEES OF COLUMBIA UNIVERSITY  
IN THE CITY OF NEW YORK

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
1199 SEIU NATL BENEFIT FUND FOR HEALTH AND HUMAN SERV 330 WEST 42ND STREET NEW YORK, NY 10036	13-1628401	501(C)(3)	20,000		N/A	N/A	RESEARCH
ADULTS AND CHILDREN IN TRUST1047 AMSTERDAM AVENUE NEW YORK, NY 10025	13-1623934	501(C)(3)	11,500		N/A	N/A	FINANCIAL AID

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ALBANY MED COLLEGE SPONSORED PROGRAMS MC-107 47 NEW SCOTLAND AVE ALBANY,NY 12208	14-1338310	501(C)(3)	359,738		N/A	N/A	RESEARCH
ALBERT EINSTEIN COLLEGE OF MED1300 MORRIS PARK AVE BRONX,NY 10461	13-1624225	501(C)(3)	2,904,112		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ALEXANDER ROBERTSON SCHOOL3 WEST 95TH STREET NEW YORK,NY 10025	13-1760095	501(C)(3)	8,000		N/A	N/A	FINANCIAL AID
ALIANZA DOMINICANA INC2410 AMSTERDAM AVE NEW YORK,NY 10033	13-3402057	501(C)(3)	171,634		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
AMER COLLEGE OF NURSE MIDWIVES8403 COLESVILLE ROAD SUITE 1550 SILVER SPRING, MD 20910	74-1685515	501(C)(3)	97,655		N/A	N/A	RESEARCH
AMER MUSEUM OF NATURAL HISTORY79TH ST AT CENTRAL PARK WEST WEST NEW YORK,NY 10024	13-6162659	501(C)(3)	26,601		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
APICC/O KATHY WAREY 1275 K STREET NW SUITE 1000 WASHINGTON,DC 20009	23-7256856	501(C)(3)	45,600		N/A	N/A	RESEARCH
ARC XVI FT WA4111 BROADWAY NEW YORK,NY 10033	13-2745426	501(C)(3)	100,000		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ARTSTOR151 EAST 61ST STREET NEW YORK, NY 10065	30-0152767	501(C)(3)	121,505		N/A	N/A	RESEARCH
ATMOSPHERIC & ENVIRONMENTALPO BOX 5175 NEW YORK, NY 10087	04-2608324	501(C)(3)	13,059		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
AZ STATE UNIVERSITY AWARD MANAGEMENT PO BOX 876011 TEMPE,AZ 85287	86-0196696	501(C)(3)	153,927		N/A	N/A	RESEARCH
BARNARD COLLEGE3009 BROADWAY CONTROLLERS OFF 015 MILBANK NEW YORK,NY 10027	13-1628149	501(C)(3)	387,457		N/A	N/A	RESEARCH



Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BARROW ARCTIC SCI CONSORTIUMPO BOX 577 BARROW, AK 99723	92-0158733	501(C)(3)	30,084		N/A	N/A	RESEARCH
BATTELLEATTN CASHIER PO BOX 84262 SEATTLE, WA 98124	31-4379427	501(C)(3)	37,117		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BAYLOR COLLEGE OF MEDICINEBAYLOR COLLEGE OF MEDICINE PO BOX 201361 HOUSTON,TX 77216	74-1613878	501(C)(3)	268,077		N/A	N/A	RESEARCH
BAYLOR RES INSTTEXAS NEUROLOGY PA-RES DPT 6301 GASTON AVENUESUITE 100 DALLAS,TX 75214	75-2654757	501(C)(3)	17,779		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BETH ISRAEL MED CTR515 WEST 57TH STREET ACCOUNTS PAYABLE NEW YORK,NY 10019	13-5564934	501(C)(3)	20,000		N/A	N/A	RESEARCH
BIOSBERMUDA INSTITUTE OF OCEAN SCI C/O BURKE HERBERT BANK PO BOX 60 ALEXANDRIA,VA 22313	06-0706038	501(C)(3)	124,411		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BK STREET COLLEGE OF EDU610 W 112 ST NEW YORK,NY 10025	13-5562167	501(C)(3)	16,303		N/A	N/A	RESEARCH
BLOOD SYS INCPO BOX 53022 PHOENIX,AZ 85072	86-0098929	501(C)(3)	6,543		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BOSTON MED CTR CORP RESEARCH AND SERV GRANTS ADMIN PO BOX 845700 BOSTON,MA 02284	04-3314093	501(C)(3)	59,244		N/A	N/A	RESEARCH
BOSTON UNIVERSITY OFFICE OF THE COMPTROLLER GRANT AND CONTRACT ACCOUNTING 25 B BOSTON,MA 02215	04-2103547	501(C)(3)	803,555		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BRANDEIS UNIVERSITY SPONSORED PROGRAMS ACCOUNTING MS 144 PO BOX 549110 WALTHAM,MA 02454	21-2103552	501(C)(3)	272,574		N/A	N/A	RESEARCH
BRENTWOOD BIOMEDICAL RES INSTATTN KENNETH HICKMAN 11301 WILSHIRE BLVD BLDG 114 ROO LOS ANGELES,CA 90073	95-4183712	501(C)(3)	8,500		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BRIGHAM & WOMENS HOSPITALPO BOX 3887 BOSTON,MA 02241	04-2312909	501(C)(3)	248,329		N/A	N/A	RESEARCH
BROADWAY COMMUNITY INC601 WEST 114TH STREET NEW YORK,NY 10025	13-3652817	501(C)(3)	8,000		N/A	N/A	SUPPLIES FOR PANTRY

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BROADWAY PRESBYTERIAN CHURCH BROADWAY PRESBYTERIAN NURSERY SCHOO 601 WEST 114TH STREET NEW YORK,NY 10025	13-1623916	501(C)(3)	8,000		N/A	N/A	FINANCIAL AID
BRONX LEBANON HOSPITAL CTR1276 FULTON AVE BRONX,NY 10456	13-1974191	501(C)(3)	734,527		N/A	N/A	RESEARCH



Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BROWN UNIVERSITY CASHIERS OFFICE PO BOX 1911 PROVIDENCE,RI 02912	05-0258804	501(C)(3)	70,710		N/A	N/A	RESEARCH
CA INST OF TECH TREASURY SERVICES 1200 E CALIFORNIA BLVD PASADENA,CA 91125	95-1643307	501(C)(3)	250,427		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CA PACIFIC MED CTR RES INSTC/O KEVIN SULLIVAN 475 BRANNAN STREET SUITE 220 SAN FRANCISCO,CA 94107	94-0562680	501(C)(3)	29,166		N/A	N/A	RESEARCH
CARE GROUP LLC8333 NAAB ROAD SUITE 400 ATT RESEARCH DIRECTOR INDIANAPOLIS,IN 46260	35-2000276	501(C)(3)	13,200		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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CARLETON COLLEGE SERC ONE NORTH COLLEGE ST B SERC SCIENCE EDUCATION RESOURCE CTR NORTHFILED,MN 55057	41-0694747	501(C)(3)	7,263		N/A	N/A	RESEARCH
CARNEGIE HILL IMAGING 70 EAST 90TH STREET NEW YORK,NY 10128	20-3492938	501(C)(3)	55,671		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CARNEGIE MELLON UNIVERSITYP O BOX 371032M PITTSBURGH,PA 15250	25-0969449	501(C)(3)	9,102		N/A	N/A	RESEARCH
CATHEDRAL COMMUNITY CARES OF ST JOHN THE DIVINE1047 AMSTERDAM AVENUE NEW YORK,NY 10025	13-1623934	501(C)(3)	8,000		N/A	N/A	SUPPLIES FOR PANTRY

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CHAI383 DORCHESTER AVENUE SUITE 400 BOSTON,MA 02127	27-1414646	501(C)(3)	149,605		N/A	N/A	RESEARCH
CHILDFUND INTL USA2821 EMERYWOOD PARKWAY PO BOX 26484 RICHMOND,VA 23261	54-0536100	501(C)(3)	175,634		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CHILDRENS HOSPITAL300 LONGWOOD AVE RES FINANCE DEPT BOSTON,MA 02115	04-2774441	501(C)(3)	24,325		N/A	N/A	RESEARCH
CHILDRENS HOSPITALLOS ANGELES 4650 SUNSET BOULEVARD LOS ANGELES,CA 90027	95-1690977	501(C)(3)	147,270		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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CHILDRENS HOSPITAL OF ORANGE455 SOUTH MAIN STREET C/O DANIELLE HOWARD ASSISTANT DIRE ORANGE,CA 92868	95-2321786	501(C)(3)	10,213		N/A	N/A	RESEARCH
CHILDRENS HOSPITAL OF PHILADELPHIALOCK BOX 1457 POBOX 8500 PHILADELPHIA,PA 19178	23-1352166	501(C)(3)	151,167		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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CHILDREN'S LEARNING CENTER OF MORNINGSIDE HEIGHTS90 LA SALLE STREET NEW YORK,NY 10025	13-4111840	501(C)(3)	10,000		N/A	N/A	FINANCIAL AID
CHRISTIANA CARE HEALTH SERV4735 OGLETOWN-STANTON ROAD MAP 2 SUITE 3203 NEWARK,DE 19713	51-0103684	501(C)(3)	747,349		N/A	N/A	RESEARCH



Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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CICATELLI ASSO INC505 8TH AVE 16TH FLOOR NEW YORK,NY 10018	13-3020576	501(C)(3)	324,513		N/A	N/A	RESEARCH
CLINICAL RES FOUND INC 800 ZORN AVENUE LOUISVILLE,KY 40206	61-1144514	501(C)(3)	7,700		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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CNTR FOR FETAL MED & WOMEN6310 W SAN VINCENTE BLVD 510 LOS ANGELES,CA 90048	95-3865023	501(C)(3)	64,996		N/A	N/A	RESEARCH
COLD SPRING HARBOR LABKATHLEEN WALL-ROONEY OFFICE OF SPONSORED PROGRAMS PO COLD SPRING HA,NY 11724	11-2013303	501(C)(3)	681,294		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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COLUMBIA UNIVERSITY DICK SAVITT TENNIS CENTER575 WEST 218TH STREET NEW YORK,NY 10034	13-5598093	501(C)(3)	7,000		N/A	N/A	RESEARCH
COMMUNITY IMPACT INC COLUMBIA UNIVERSITY 2980 BROADWAY 105 EARL HALL NEW YORK,NY 10027	13-3386904	501(C)(3)	11,000		N/A	N/A	YOUTH PROGRAMS

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
COMMUNITY RES INITIATIVE230 WEST 38TH STREET 17TH FLOOR NEW YORK,NY 10018	13-3632234	501(C)(3)	17,081		N/A	N/A	RESEARCH
COOK FOR YOUR LIFE32 BROADWAY SUITE 1101 NEW YORK,NY 10004	26-0525634	501(C)(3)	29,687		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
COOPER HEALTH SYS3 COOPER PLAZA SUITE 220 CAMDEN,NJ 08103	21-0634462	501(C)(3)	109,651		N/A	N/A	RESEARCH
CORNELL UNIVERSITY SPONSORED FUNDS ACCT PO BOX 22 ITHACA,NY 14851	15-0532082	501(C)(3)	1,372,387		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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CORNELL UNIVERSITY MED COLLEGEFINANCE RESEARCH ACCOUNTING 575 LEXINGTON AVENUE 9TH FLOOR NEW YORK,NY 10022	13-1623978	501(C)(3)	2,256,406		N/A	N/A	RESEARCH
CRA INCATTN MARTHA HEATLEY 5901 PALISADE AVENUE RIVERDALE,NY 10471	23-7227845	501(C)(3)	18,000		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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CREIGHTON UNIVERSITY 2500 CALIFORNIA PLAZA CONTROLLER S OFFICE OMAHA,NE 68178	47-0376583	501(C)(3)	31,420		N/A	N/A	RESEARCH
DCMFM OF CHRISTIANA CARE 774 CHRISTIANA ROAD SUITE 109 NEWARK,DE 19713	20-5891272	501(C)(3)	229,903		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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DENVER RES INST1055 CLERMONT ST DENVER,CO 80220	84-1392442	501(C)(3)	10,000		N/A	N/A	RESEARCH
DREXEL UNIVERSITY COMMERCE BANK PO BOX 95000-1090 PHILADELPHIA,PA 19195	23-1352630	501(C)(3)	14,180		N/A	N/A	RESEARCH



Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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DREXEL UNIVERSITY COLLEGE OFPO BOX 95000-1010 PHILADELPHIA,PA 19195	23-2979433	501(C)(3)	170,131		N/A	N/A	RESEARCH
DUKE UNIVERSITYOFFICE OF SPONSORED PROGRAMS 2200 WEST MAIN STREET SUITE 300 DURHAM,NC 27705	56-0532129	501(C)(3)	227,034		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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ECONOMIC OPPORTUNITY COMMOF NASSAU COUNTY INC 134 JACKSON STREET HEMPSTEAD,NY 11550	11-2195458	501(C)(3)	224,388		N/A	N/A	RESEARCH
ED DEV CTR INC55 CHAPEL ST ATTN ACCOUNTS RECEIVABLE NEWTON,MA 02458	04-2241718	501(C)(3)	98,741		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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EMORY UNIVERSITY OFFICE OF GRANTS CONTRACTS 4TH FLOOR MS 1599-001-1BH 1599 CL ATLANTA,GA 30322	58-0566256	501(C)(3)	631,301		N/A	N/A	RESEARCH
EMSIPOBOX 202669 DALLAS,TX 75320	75-1444139	501(C)(3)	260,446		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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EUREKA SCIENTIFIC2452 DELMER STREET STE 100 OAKLAND,CA 94602	94-3160967	501(C)(3)	12,477		N/A	N/A	RESEARCH
FAMILY HEALTH INTLPO BOX 13950 RES TRIANGLE PK, NC 27709	23-7413005	501(C)(3)	280,271		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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FEINSTEIN INST FOR MED RESOFFICE OF GRANTS CONTRACTS NORTH SHORE-LONG ISLAND JEWISH 5 D LAKE SUCCESS,NY 11042	11-2673595	501(C)(3)	11,590		N/A	N/A	RESEARCH
FIGURE SKATING IN HARLEM361 WEST 125TH STREET FOURTH FLOOR NEW YORK,NY 10027	13-3945168	501(C)(3)	6,000		N/A	N/A	YOUTH PROGRAMS

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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FRED HUTCHINSON CANCER RES CTR 1100 FAIRVIEW AVE N PO BOX 19024 SEATTLE,WA 98109	23-7156071	501(C)(3)	420,065		N/A	N/A	RESEARCH
GA STATE UNIVERSITY OFFICE OF RESEARCH PO BOX 3999 ATLANTA,GA 30302	58-1845423	501(C)(3)	19,074		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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GA TECH RES CORPPO BOX 100117 ATLANTA,GA 30384	58-0603146	501(C)(3)	15,471		N/A	N/A	RESEARCH
GALOIS INC421 SW SIXTH SUITE 300 PORTLAND,OR 97204	93-1278540	501(C)(3)	82,948		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
GEISINGER CLINIC100 NORTH ACADEMY AVENUE WEIS CENTER FOR RESEARCH DANVILLE,PA 17822	23-6291113	501(C)(3)	54,124		N/A	N/A	RESEARCH
GEORGE MASON UNIVERSITYOFFICE OF SPONSORED PROGRAMS CASHIRE S OFFICE - MS 2E1 4400 UNI FAIRFAX,VA 22030	54-0836354	501(C)(3)	257,113		N/A	N/A	RESEARCH



Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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GEORGE WA UNIVERSITY GRANTS CONTRACTS ACCOUNTING 44983 KNOLL SQUARE BUILDING II SEC ASHBURN,VA 20147	53-0196584	501(C)(3)	264,111		N/A	N/A	RESEARCH
GEORGETOWN UNIVERSITYOFFICE OF SPONSORED ACCOUNTING BOX 571164 WASHINGTON,DC 20057	53-0196603	501(C)(3)	284,837		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
GRAHAM WINDHAM-BEACON CENTER YOUTH LITERACY PROGR625 WEST 133RD STREET ROOM 252 NEW YORK, NY 10027	13-2926426	501(C)(3)	6,000		N/A	N/A	FAMILY ENRICHMENT
GULF REGIONAL RES109 HOLLY DRIVE METARIE, LA 70005	72-1485603	501(C)(3)	7,500		N/A	N/A	RESEARCH

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HALE HOUSE CENTER INC 152 WEST 122ND STREET NEW YORK, NY 10027	13-2700687	501(C)(3)	9,000		N/A	N/A	FINANCIAL AID
HARLEM ACADEMY1330 FIFTH AVENUE NEW YORK, NY 10026	56-2454573	501(C)(3)	9,000		N/A	N/A	FINANCIAL AID

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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HARLEM HOSPITAL CTR 506 LENOX AVE NEW YORK, NY 10037	13-2655001	501(C)(3)	466,495		N/A	N/A	RESEARCH
HARLEM UNITED COMMUN AIDS CTR123-125 WEST 124TH STREET NEW YORK, NY 10027	13-3461695	501(C)(3)	79,150		N/A	N/A	RESEARCH

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HARVARD UNIVERSITY PRESIDENT FELLOWS OF HARVARD PO BOX 415649 BOSTON,MA 02241	04-2103580	501(C)(3)	497,798		N/A	N/A	RESEARCH
HEALTH RES INC RIVERVIEW CENTER 150 BROADWAY SUITE 560 MENANDS,NY 12204	14-1402155	501(C)(3)	186,039		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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HENRY FORD HEALTH SYS ONE FORD PLACE 5EF DETROIT,MI 48202	13-8125702	501(C)(3)	113,037		N/A	N/A	RESEARCH
HENRY JACKSON FOUND FOR THE ADVANCEMENT OF MILITAR 6720-A ROCKLEDGE DRIVE SUITE 100 BETHESDA,MD 20817	52-1317896	501(C)(3)	135,427		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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HHARAT RIVERDALE 5901 PALISADE AVE RIVERDALE, NY 10471	13-1739971	501(C)(3)	215,479		N/A	N/A	RESEARCH
HOSPITAL FOR SPECIAL CARE 2150 CORBIN AVENUE NEW BRITAIN,CT 06053	06-0646766	501(C)(3)	89,953		N/A	N/A	RESEARCH

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HOSPITAL FOR SPECIAL SURGERY535 EAST 70TH STREET NEW YORK,NY 10021	13-1624135	501(C)(3)	154,713		N/A	N/A	RESEARCH
IN UNIVERSITYFINANCIAL MANAGEMENT SERVICES P O BOX 66057 INDIANAPOLIS,IN 46266	35-6001673	501(C)(3)	433,812		N/A	N/A	RESEARCH



Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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INSTR SOFTWARE TECH INCPO BOX 963 NEW PALTZ,NY 12561	14-1802784	501(C)(3)	40,000		N/A	N/A	RESEARCH
JOHN HOPKINS UNIVERSITYCENTRAL LOCKBOX C/O BANK OF AMERICA 100 WEST 33RD NEW YORK,NY 10001	52-0595110	501(C)(3)	149,423		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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JOHNS HOPKINS UNIVERSITY CENTRAL CENTRAL LOCKBOX C/O BANK OF AMERICA 12529 COLLECTIONS CHICAGO,IL 60693	15-2059511	501(C)(3)	1,469,472		N/A	N/A	RESEARCH
JSI RES & TRAINING INST 44 FARNSWORTH STREET BOSTON,MA 02210	04-2679824	501(C)(3)	153,748		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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KAISER FOUND RES INST 1800 HARRISON ST 16TH FLR OAKLAND,CA 94612	94-1105628	501(C)(3)	507,621		N/A	N/A	RESEARCH
KUMC RES INSTKUMC RES INSTITUTE INC ATTN SPONSORED PROGRAMS ADMIN 390 KANSAS CITY,KS 66160	48-1108830	501(C)(3)	11,819		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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LA BIOMEDICAL RES INST PO BOX 60637-TERMINAL ANNEX LOS ANGELES,CA 90060	95-2138184	501(C)(3)	26,570		N/A	N/A	RESEARCH
LA STATE UNIVERSITY OFFICE OF ACCOUNTING SERVICES SPONSORED PROGRAM ACCOUNTING BATON ROUGE,LA 70803	72-6000848	501(C)(3)	20,629		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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LEHIGH VALLEY HEALTH SERVFINANCE DEPARTMENT 2100 MACK BLVD 5TH FLOOR ALLENTOWN,PA 18103	23-1689692	501(C)(3)	25,737		N/A	N/A	RESEARCH
LONG ISLAND UNIVERSITY 700 NORTHERN BLVD BROOKVILLE,NY 11548	11-1633516	501(C)(3)	49,214		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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LOVELACE BIOMEDICAL & ENV2425 RIDGECREST DR SE ALBUQUERQUE,NM 87108	51-0154068	501(C)(3)	29,445		N/A	N/A	RESEARCH
LSU HEALTH SCIENCES CTROFFICE OF SPONSORED PROGRAMS 1501 KINGS HIGHWAY 1-214 SHREVEPORT,LA 71103	72-0702002	501(C)(3)	19,294		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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MA GEN HOSPITALBANK OF AMERICA MASS GEN HOSPITAL RESEARCH FINANCE BOSTON,MA 02241	04-1564655	501(C)(3)	1,513,859		N/A	N/A	RESEARCH
MAGEE SCIENTIFIC1101 COWPER STREET BERKELEY,CA 94702	51-0620129	501(C)(3)	68,902		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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MAYO CLINIC200 1ST STREET SW ROCHESTER,MN 55905	41-6011702	501(C)(3)	111,127		N/A	N/A	RESEARCH
MAYO CLINIC JACKSONVILLE4500 SAN PABLO ROAD GRIFFIN MEDICAL RESEARCH JACKSONVILLE,FL 32224	59-3337028	501(C)(3)	70,967		N/A	N/A	RESEARCH



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MCGRIPO BOX 945552 ATTN CLAIMS ATLANTA,GA 30394	58-1418202	501(C)(3)	28,630		N/A	N/A	RESEARCH
ME GEOLOGICAL SURVEY STATE OF MAINE 22 STATE HOUSE STATION AUGUSTA,ME 04333	01-6000001	501(C)(3)	67,072		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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ME MED CTR22 BRAMHALL STREET PORTLAND, ME 04102	01-0238552	501(C)(3)	100,503		N/A	N/A	RESEARCH
MED COLLEGE OF WISCONSIN8701 WATERTOWN PLANK ROAD MILWAUKEE, WI 53226	39-0806261	501(C)(3)	10,072		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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MEDSTAR RES INSTBOX 632010 BALTIMORE,MD 21263	52-6056274	501(C)(3)	8,400		N/A	N/A	RESEARCH
MEMORIAL SLOAN KETTERINGCANCER CTR FINANCE PO BOX 26338 GENERAL POST OFFICE NEW YORK,NY 10087	13-1924236	501(C)(3)	471,411		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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MI STATE UNIVERSITY218 W FEE HALL CTSI ATTN ANN SMITH EAST LANSING,MI 48824	38-6005984	501(C)(3)	271,870		N/A	N/A	RESEARCH
MINNEAPOLIS HEART INST FOUND920 E 28TH STREET SUITE 100 MINNEAPOLIS,MN 55407	41-1426406	501(C)(3)	136,200		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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MITCASHIERS OFFICE NE49-3077 77 MASSACHUSETTS AVENUE CAMBRIDGE,MA 02139	04-2103594	501(C)(3)	736,087		N/A	N/A	RESEARCH
MONTEFIORE MED CNTR GPO 29581 NEW YORK,NY 10087	13-1740114	501(C)(3)	99,000		N/A	N/A	RESEARCH

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MOREHOUSE SCH OF MEDICINE720 WESTVIEW DRIVE SW ATLANTA,GA 30310	58-1438873	501(C)(3)	7,000		N/A	N/A	RESEARCH
MOUNT SINAI MEDICAL CTRONE GUSTAVE L LEVY PLACE BOX 3500 NEW YORK,NY 10029	13-1624096	501(C)(3)	24,948		N/A	N/A	RESEARCH

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MOUNT SINAI SCH OF MEDICINE1 GUSTAVE LEVY PLACE NEW YORK,NY 10029	13-6171197	501(C)(3)	1,034,516		N/A	N/A	RESEARCH
N CAROLINA A T STATE UNIVERSITYOFFICE OF CONTRACTS GRANTS 1601 E MARKET STREET GREENSBORO,NC 27411	56-6000007	501(C)(3)	392,702		N/A	N/A	RESEARCH

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N SHORE LIJ HEALTH SYS FINANCE DEPT 972 BRUSH HOLLOW ROAD 5TH FLOOR WESTBURY,NY 11590	11-3418133	501(C)(3)	180,389		N/A	N/A	RESEARCH
NATL AERONAUTICS & SPACE4800 OAK GROVE DRIVE ATTN RAYMOND WILKENS PASADENA,CA 91109	53-0201509	501(C)(3)	237,737		N/A	N/A	RESEARCH



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NATL BUREAU OF ECONOMIC RES IN365 FIFTH AVENUE 5TH FLOOR SUITE 5318 NEW YORK,NY 10016	13-1641075	501(C)(3)	51,898		N/A	N/A	RESEARCH
NATL JEWISH HEALTH 1400 JACKSON ST ROOM M213 ATTN DIANE SULLIVAN DENVER,CO 80206	74-2044647	501(C)(3)	15,776		N/A	N/A	RESEARCH

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NATL OPINION RES CTR55 EAST MONROE STREET 20TH FLOOR CHICAGO,IL 60603	36-2167808	501(C)(3)	350,088		N/A	N/A	RESEARCH
NAZARENE COMPASSIONATE12351 W 96TH TERRACE SUITE 100 LENEXA,KS 66215	43-1550318	501(C)(3)	219,112		N/A	N/A	RESEARCH

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NC STATE UNIVERSITY OFFICE OF CONTRACTS AND GRANTS BOX 7214 RALEIGH,NC 27695	56-6000756	501(C)(3)	104,065		N/A	N/A	RESEARCH
NEW AMER FOUND1899 L STREET NW SUITE 400 WASHINGTON,DC 20036	52-2096845	501(C)(3)	17,133		N/A	N/A	RESEARCH

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NEW YORK ACADEMY OF MEDICINEBUSINESS OFFICE 1216 FIFTH AVENUE ROOM 600 NEW YORK, NY 10029	13-1656674	501(C)(3)	148,312		N/A	N/A	RESEARCH
NEW YORK BOTANICAL GARDENS2900 SOUTHERN BLVD ATTN CELINETT RODRIQUEZ BRONX, NY 10458	13-1693134	501(C)(3)	49,585		N/A	N/A	RESEARCH

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NEW YORK MED ALLIANCE 1400 PELHAM PARKWAY S BLD 1 3C15 ACP BRONX,NY 10461	13-4169311	501(C)(3)	70,135		N/A	N/A	RESEARCH
NEW YORK MED COLLEGE 40 SUNSHINE COTTAGE UNIVERSITY REGISTRAR- ROOM 127 VALHALLA,NY 10595	13-1099420	501(C)(3)	16,911		N/A	N/A	RESEARCH

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NEW YORK PRESBYTERIAN HOSPITAL525 EAST 68TH STREET BOX 156 NEW YORK,NY 10021	13-3957095	501(C)(3)	446,136		N/A	N/A	RESEARCH
NEW YORK UNIVERSITY 726 BROADWAY 9TH FLOOR NEW YORK,NY 10003	13-5562308	501(C)(3)	339,931		N/A	N/A	RESEARCH

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NJ INST OF TECHPO BOX 18110 NEWARK,NJ 07191	22-6000910	501(C)(3)	16,363		N/A	N/A	RESEARCH
NORTHERN CA INSTFOR RESEARCH AND EDUCATION INC 4150 CLEMENT STREET SAN FRANCISCO,CA 94121	94-3084159	501(C)(3)	144,193		N/A	N/A	RESEARCH

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NORTHERN IL UNIVERSITY GRANT FISCAL ADMINISTRATION LOWDEN HALL 201 DEKALB,IL 60115	36-6008480	501(C)(3)	55,908		N/A	N/A	RESEARCH
NORTHERN MANHATTAN PERINATALPARTNERSHIP 127 WEST 127TH STREET NEW YORK,NY 10027	13-3782555	501(C)(3)	20,000		N/A	N/A	RESEARCH



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NORTHWESTERN UNIVERSITYACCOUNTING SERVICES FOR RESEARCH AN 750 N LAKE SHORE DRIVE 7TH FLOOR CHICAGO,IL 60611	36-2167817	501(C)(3)	108,579		N/A	N/A	RESEARCH
NY BLOOD CTRACCOUNTS RECEIVABLE PO BOX 9674 UNIONDALE,NY 11553	13-1949477	501(C)(3)	1,550,882		N/A	N/A	RESEARCH

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NY STEM CELL FOUND 1995 BROADWAY SUITE 600 NEW YORK,NY 10023	20-2905531	501(C)(3)	506,286		N/A	N/A	RESEARCH
NYC DEPT HLTH & MENTAL HYGIENE125 WORTH STREET ROOM 204 NEW YORK,NY 10013	13-6400434	501(C)(3)	217,065		N/A	N/A	RESEARCH

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NYHQ MED STAFF SOC56-45 MAIN STREET ATTN MEDICAL STAFF SOC FLUSHING,NY 11355	11-1839362	501(C)(3)	90,418		N/A	N/A	RESEARCH
NYU SCH OF MEDICINE SPONSORED PROGRAMS PO BOX 415026 BOSTON,MA 02241	13-5562309	501(C)(3)	1,362,065		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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OH STATE UNIVERSITY 1960 KENNY ROAD COLUMBUS,OH 43210	31-6025986	501(C)(3)	100,502		N/A	N/A	RESEARCH
OR HEALTH & SCI UNIVERSITYOFFICE TECHNOLOGY RESEARCH COLLAB 2525 SW FIRST AVE SUITE 120 PORTLAND,OR 97201	93-1176109	501(C)(3)	6,466		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
PACE UNIVERSITY78 N BROADWAY PACE ENERGY CLIMATE CENTER WHITE PLAINS,NY 10603	13-5562314	501(C)(3)	47,759		N/A	N/A	RESEARCH
PACT INC1828 L STREET NW SUITE 300 WASHINGTON,DC 20036	13-2702768	501(C)(3)	70,214		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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PATHFINDER INTL9 GALEN ST SUITE 217 WATERTOWN,MA 02472	53-0235320	501(C)(3)	627,920		N/A	N/A	RESEARCH
PENN STATE UNIVERSITY RESEARCH ACCOUNTING 401 RIDER II BUILDING 227 W BEAVE STATE COLLEGE,PA 16801	24-6000376	501(C)(3)	168,313		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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POLYTECHNIC UNIVERSITYCONTRACTS AND GRANTS OFFICE PO BOX 353 SIX METROTECH CENTER BROOKLYN,NY 11201	11-1630820	501(C)(3)	132,775		N/A	N/A	RESEARCH
PRINCETON UNIVERSITY ACCOUNTS PAYABLE DEPT 701 CARNEGIE CENTER SUITE 444 PRINCETON,NJ 08540	21-0634501	501(C)(3)	229,461		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
PUB HEALTH INST555 12TH STREET 10TH FLOOR OAKLAND,CA 94607	94-1646278	501(C)(3)	422,777		N/A	N/A	RESEARCH
PUB HEALTH SOLUTIONS INC220 CHURCH STREET 5TH FLOOR NEW YORK,NY 10013	13-5669201	501(C)(3)	117,063		N/A	N/A	RESEARCH



Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
PUB PRIVATE VENTURES 2000 MARKET ST SUITE 550 PHILADELPHIA,PA 19103	23-2048721	501(C)(3)	6,949		N/A	N/A	RESEARCH
PURDUE UNIVERSITY SPONSORED PROGRAM SERVICES 23510 NETWORK PLACE CHICAGO,IL 60673	35-6002041	501(C)(3)	238,223		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
PURPLE CIRCLE DAY CARE 251 WEST 100TH STREET NEW YORK,NY 10022	13-3073319	501(C)(3)	8,000		N/A	N/A	FINANCIAL AID
QUANTITATIVE IMAGING OF IA100 OAKDALE CAMPUS 221 TIC IOWA CITY,IA 52242	26-0767229	501(C)(3)	5,904		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
QUEENS COMPREHENSIVE PERINATAL COUNCIL INC FAMILY DEV CENTER 111-06 MER JAMAICA,NY 11433	11-2870422	501(C)(3)	272,197		N/A	N/A	RESEARCH
RANDFILE 53174 LOS ANGELES,CA 90074	95-1958142	501(C)(3)	171,805		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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RARITAN BAY MED CTR 530 NEW BRUNSWICK AVENUE PERT AMBOY,NJ 08861	22-1494442	501(C)(3)	46,794		N/A	N/A	RESEARCH
RED BALLOON DAY CARE CENTER INC560 RIVERSIDE DRIVE NEW YORK,NY 10027	13-2772534	501(C)(3)	6,000		N/A	N/A	FINANCIAL AID

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
REGENTS OF THE UNIVERSITY OF CA CASHIERS OFFICE P O BOX 989062 WEST SACREMENO, CA 95798	94-6036494	501(C)(3)	240,879		N/A	N/A	RESEARCH
REGENTS OF THE UNIVERSITY OF CA EXTRAMURAL FUND ACCOUNTING 2195 HEARST AVE RM 130 1130 BERKELEY, CA 94720	94-6002123	501(C)(3)	295,860		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
REGENTS OF THE UNIVERSITY OF CA ACCOUNTING OFFICE EMF BOX 0897 UNIVERSITY OF CALIF SAN FRANCISCO SAN FRANCISCO ,CA 94143	94-6036493	501(C)(3)	502,292		N/A	N/A	RESEARCH
REGENTS OF THE UNIVERSITY OF CA IRVINE CENTRAL CASHIER IRVINE,CA 92697	95-2226406	501(C)(3)	1,241,885		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
REGENTS OF THE UNIVERSITY OF MICHBOX 223131 PITTSBURGH,PA 15251	38-6006309	501(C)(3)	1,849,896		N/A	N/A	RESEARCH
REGENTS OF THE UNIVERSITY OF MINN SPONSERED FINANCIAL REPORTING 450 MCNAMARA ALUMI CENTER 200 OAK MINNEAPOLIS,MN 55455	41-6007513	501(C)(3)	76,659		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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REGENTS UNIVERSITY OF CALIFORNIA BOX 951432 1125 MURPHY HALL 405 HILGARD AVENUE LOS ANGELES,CA 90095	95-6006143	501(C)(3)	287,153		N/A	N/A	RESEARCH
RENAISSANCE HEALTH CARE NETWORK 115 WEST 116TH STREET NEW YORK,NY 10026	13-2655001	501(C)(3)	5,500		N/A	N/A	JOURNAL PROJECT



Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
RES FOUND FOR MENTAL HYGIENERIVERVIEW CENTER 150 BROADWAY SUITE 301 MENANDS, NY 12204	14-1410842	501(C)(3)	4,166,157		N/A	N/A	RESEARCH
RES FOUND OF CUNY230 WEST 41ST STREET 7TH FLOOR NEW YORK, NY 10036	13-1988190	501(C)(3)	663,843		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
RES FOUND OF STATE UNIVERSITY OF NYPO BOX 9 CASH RECEIPT DEPT ALBANY,NY 12201	14-1368361	501(C)(3)	2,599,615		N/A	N/A	RESEARCH
RES INST NATIONWIDE CHILDRENS700 CHILDREN S DRIVE COLUMBUS,OH 43205	31-6056230	501(C)(3)	12,151		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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RETINA FOUND OF THE SOUTHWEST9900 NCENTRAL EXPRESSWAY SUITE 400 DALLAS,TX 75231	51-0151514	501(C)(3)	171,206		N/A	N/A	RESEARCH
REV LINNETTE C WILLIAMSON MEMORIAL PARK ASSOCIAT141 WEST 139TH STREET C44 NEW YORK,NY 10030	13-3861293	501(C)(3)	6,000		N/A	N/A	SUPPLIES FOR YOUTH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ROCKEFELLER UNIVERSITY1230 YORK AVE BOX 259 NEW YORK,NY 10065	13-1624158	501(C)(3)	2,281,421		N/A	N/A	RESEARCH
ROCKY MOUNTAIN TREE RING RESEA2901 MOORE LANE FORT COLLINS,CO 80526	84-1413400	501(C)(3)	45,436		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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ROWAN UNIVERSITY201 MULICA HILL RD GLASSBORO,NJ 08028	22-2764819	501(C)(3)	27,970		N/A	N/A	RESEARCH
RUSH UNIVERSITY MED CTR1700 WEST VAN BUREN FUND ACCOUNTING ROOM 277 CHICAGO,IL 60612	36-2174823	501(C)(3)	78,353		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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RUTGERS STATE UNIVERSITY3 RUTGERS PLAZA NEW BRUNSWICK,NJ 08901	22-6001086	501(C)(3)	115,008		N/A	N/A	RESEARCH
RWANDA WORKS950 THRID AVENUA PO BOX 250782 NEW YORK,NY 10022	26-1844856	501(C)(3)	14,997		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SAINT PETERS UNIVERSITY HOSPITAL 254 EASTON AVENUE 4TH FLOOR MOB ROOM 4010 NEW BRUNSWICK, NJ 08901	22-1487330	501(C)(3)	734,834		N/A	N/A	RESEARCH
SANFORD RES USD2301 EAST 60TH STREET NORTH SIOUX FALLS,SD 57104	46-0450378	501(C)(3)	179,828		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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SAVE THE CHILDREN FEDERATIONINC 54 WILTON ROAD WESTPORT,CT 06880	06-0726487	501(C)(3)	948,209		N/A	N/A	RESEARCH
SCHEPENS EYE RES INSTITUTE20 STANIFORD STREET BOSTON,MA 02114	04-2129889	501(C)(3)	94,041		N/A	N/A	RESEARCH



Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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SCRIPPS RES INSTATTN OFF OF SPONSORED PROGRAMS 10550 NORTH TORREY PINES RD LA JOLLA,CA 92037	33-0435954	501(C)(3)	57,648		N/A	N/A	RESEARCH
SEATTLE CHILDRENS HOSPITALSEATTLE CHILDRENS RESEARCH INSTITUT PO BOX 24728 SEATTLE,WA 98124	91-0564748	501(C)(3)	161,944		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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SEATTLE INST BIOMEDICAL CLINICAL RESEARCH 1660 S COLUMBIAN WAY S-151F SEATTLE, WA 98108	91-1452438	501(C)(3)	152,223		N/A	N/A	RESEARCH
SEATTLE UNIVERSITY901 12TH AVENUE SEATTLE, WA 98122	91-0565006	501(C)(3)	32,895		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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SIERRA BIOMEDICAL RES CORP1000 LOCUST STREET RENO,NV 89502	88-0250268	501(C)(3)	10,200		N/A	N/A	RESEARCH
SMITH COLLEGEOFFICE OF THE CONTROLLER COLLEGE HALL RM 204 10 ELM STREET NORTHAMPTON,MA 01063	04-1843040	501(C)(3)	8,218		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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SMITHSONIAN INSTOSP CLEARING ACCOUNT 24411 NETWORK PLACE CHICAGO,IL 60673	53-0206027	501(C)(3)	117,090		N/A	N/A	RESEARCH
SOUTHERN METHODIST UNIVERSITYGRANT AND CONTRACT ACCOUNTING P O BOX 750259 DALLAS,TX 75275	75-0800689	501(C)(3)	616,838		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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SOUTHWEST RES INSTPO BOX 841671 DALLAS, TX 75284	74-1070544	501(C)(3)	187,428		N/A	N/A	RESEARCH
SRI INTLP O BOX 2767 MENLO PARK,CA 94025	94-1160950	501(C)(3)	71,132		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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ST JOHNS MERCY HEALTH SYS615 SOUTH NEW BALLAS ROAD ST LOUIS,MO 63141	43-0653493	501(C)(3)	36,364		N/A	N/A	RESEARCH
ST LUKES FOUND CV CLINI RESEARST LUKE S HOPITAL/ MAHI CV RESEARCH DEPARTMENT 4401 WORNAL KANSAS CITY,MO 64111	44-6014699	501(C)(3)	37,700		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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ST LUKES ROOSEVELT HOSPITAL555 W 57TH ST FUND ACCOUNTING DEPT NEW YORK,NY 10019	13-2999730	501(C)(3)	213,867		N/A	N/A	RESEARCH
ST LUKES ROOSEVELT INST432 WEST 58TH STREET NEW YORK,NY 10019	13-2914343	501(C)(3)	376,807		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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ST VINCENTS HOSPITAL MED CTR170 WEST 12TH STREET COMPREHENSIVE HIV CTR SANBORN BUIL NEW YORK, NY 10011	13-5562343	501(C)(3)	12,654		N/A	N/A	RESEARCH
STANFORD UNIVERSITYPO BOX 44253 SAN FRANCISCO, CA 94144	94-1156365	501(C)(3)	394,154		N/A	N/A	RESEARCH



Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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STEVENS INST OF TECH CASTLE PT ON THE HUDSON HOBOKEN,NJ 07030	22-1487354	501(C)(3)	12,025		N/A	N/A	RESEARCH
STRUCTURED EMPLOYMENT ECO DEV 915 BROADWAY 17TH FLOOR NEW YORK,NY 10010	13-2875743	501(C)(3)	45,000		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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SUFFOLK COUNTY PERINATAL COALITION INC 475 EAST MAIN STREET SUITE 207 PATCHOGUE,NY 11772	11-2978393	501(C)(3)	219,117		N/A	N/A	RESEARCH
SUNY DOWNSTATE MED CNTR450 CLARKSON AVE 98 BSB 1-112 BROOKLYN,NY 11203	14-6013200	501(C)(3)	39,168		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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SURVEY RES MGT5775 CENTRAL AVE SUITE 225 BOULDER,CO 80301	84-1277395	501(C)(3)	43,761		N/A	N/A	RESEARCH
SYMANTEC CORPFILE 32168 PO BOX 60000 SAN FRANCISCO,CA 94160	77-0181864	501(C)(3)	112,500		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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TEACHERS COLLEGE525 W 120TH STREET BOX 30 NEW YORK,NY 10027	13-1624202	501(C)(3)	1,011,189		N/A	N/A	RESEARCH
TECHNOSERVE INC148 EAST AVENUE SUITE 3H NORWALK,CT 06851	13-2626135	501(C)(3)	18,659		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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TEMPLE UNIVERSITYCASH OPERATIONS 043-13 CARNELL HALL 1803 N BROAD STREET PHILADELPHIA, PA 19122	23-1365971	501(C)(3)	42,265		N/A	N/A	RESEARCH
THE UNIVERSITY OF CHICAGOFINANCIAL SERVICES 6054 SOUTH DREXEL AVENUE CHICAGO,IL 60637	36-2177139	501(C)(3)	1,726,224		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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THE UNIVERSITY OF NC AT GREENSBOROCONTRACT AND GRANTS PO BOX 26170 GREENSBORO,NC 27402	56-6001468	501(C)(3)	50,792		N/A	N/A	RESEARCH
THOMAS JEFFERSON UNIVERSITYSPONSORED PROGRAMS ACCT OFF 1020 WALNUT ST RM 525 SCOTT BLDG PHILADELPHIA,PA 19107	23-1352651	501(C)(3)	323,299		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
TIGR9712 MEDICAL CENTER DR ROCKVILLE,MD 20850	52-1784418	501(C)(3)	13,400		N/A	N/A	RESEARCH
TOP HONORS INC323 WEST 75TH STREET 4E NEW YORK,NY 10023	75-3062601	501(C)(3)	9,000		N/A	N/A	TUTORING SUPPLIES

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
TRANSLATIONAL GENOMICS RES INS445 N FIFTH STREET SUITE 600 PHOENIX,AZ 85004	75-3065445	501(C)(3)	210,994		N/A	N/A	RESEARCH
TRISTATE MED GROUP INC 605 SHARON ROAD BEAVER,PA 15009	25-1775949	501(C)(3)	8,400		N/A	N/A	RESEARCH



Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
TRUSTEES OF THE UNIVERSITY OF PENNTHE TRUSTEES OF THE UNIVERSITY OF P 3451 WALNUT STREET - ROOM 329 PHILADELPHIA, PA 19104	23-1352685	501(C)(3)	213,116		N/A	N/A	RESEARCH
TUFTS UNIVERSITY SPONSORED PROGRAMS ACCOUNTING 169 HOLLAND STREET SOMEVILLE, MA 02144	04-2103634	501(C)(3)	39,719		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
TULANE UNIVERSITY GRANTS AND CONTRACTS ACCT 800 EAST COMMERCE RD SUITE 203 HARAHAN,LA 70123	72-0423889	501(C)(3)	11,196		N/A	N/A	RESEARCH
TX TECH UNIVERSITY HSC PO BOX 41105 LUBBOCK,TX 79409	75-6002622	501(C)(3)	8,241		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UC REGENTSUCSD CENTRAL CASHIER 9500 GILMAN DR LA JOLLA,CA 92093	95-6006144	501(C)(3)	294,879		N/A	N/A	RESEARCH
UGA RES FOUND INC CONTRACTS GRANTS DIV 475 NORTH LUMPKIN STREET ATHENS,GA 30602	58-1353149	501(C)(3)	18,488		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UMASS AMHERST140 HICKS WAY ACCOUNTS PAYABLE 405 GOODELL BUILD AMHERST,MA 01003	04-3167352	501(C)(3)	212,599		N/A	N/A	RESEARCH
UMDNJFXB CENTER 65 BERGEN STREET SSB GA41 NEWARK,NJ 07101	22-1775306	501(C)(3)	670,555		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UMDNJ NJMSDIV OF CARDIOLOGY 185 SOUTH ORANGE AVE MSB I-538 NEWARK,NJ 07103	12-2177530	501(C)(3)	1,757,668		N/A	N/A	RESEARCH
UNC AT CHAPEL HILL384 MEDICAL SCHOOL WIND D ATTN FRED JARSKOG CHEPEL HILL,NC 27599	56-6001393	501(C)(3)	978,846		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF AL AT BIRMINGHAMGRANTS CONTRACTS ACCOUNTING 1530 3RD AVENUE S BIRMINGHAM,AL 35294	63-6005396	501(C)(3)	103,640		N/A	N/A	RESEARCH
UNIVERSITY OF AR TREASURER S OFFICE PO BOX 1404 FAYETTEVILLE,AR 72702	71-6003252	501(C)(3)	451,741		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF ARIZONA FRS401020 PO BOX 3520 TUCSON,AZ 85722	86-6004791	501(C)(3)	35,611		N/A	N/A	RESEARCH
UNIVERSITY OF CA SANTA CRUZUCSC CASHIER OFF 1156 HIGH ST SANTA CRUZ,CA 95064	94-1539563	501(C)(3)	315,123		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF CINCINNATIACCOUNTANTS REC PO BOX 691031 CINCINNATI,OH 45269	31-6000989	501(C)(3)	166,343		N/A	N/A	RESEARCH
UNIVERSITY OF COLORADOHEALTH SCI CTR OFF OF GRANTS CONTRACTS F428 PO DENVER,CO 80291	84-6000555	501(C)(3)	281,084		N/A	N/A	RESEARCH



Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF FL CONTRACTS GRANTS ACCOUNTING SERVI PO BOX 113001 GAINESVILLE,FL 32611	59-6001874	501(C)(3)	381,474		N/A	N/A	RESEARCH
UNIVERSITY OF FLPO BOX 113001 123 GRINTER HALL GAINESVILLE,FL 32611	59-6002052	501(C)(3)	31,857		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF IA UNIVERSITY OF IA BUS OFF B5 JESSUP HALL IOWA CITY,IA 52242	42-6004813	501(C)(3)	99,095		N/A	N/A	RESEARCH
UNIVERSITY OF IL AT CHICAGO GRANTS CONTRACTS PO BOX 20787 SPRINGFIELD,IL 62708	37-6000511	501(C)(3)	218,306		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF KS CTR FOR RES INCACCOUNTS RECEIVABLE 2385 IRVING HILL RD LAWRENCE,KS 66044	48-0680117	501(C)(3)	37,236		N/A	N/A	RESEARCH
UNIVERSITY OF KY RES FOUND109 KINKEAD HALL LEXINGTON,KY 40506	61-6033693	501(C)(3)	41,826		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF MA MED SCHBURSARS OFFICE 55 LAKE AVENUE NORTH WORCHESTER,MA 01605	82-5530000	501(C)(3)	13,336		N/A	N/A	RESEARCH
UNIVERSITY OF MDOFF OF THE COMPTROLLER CONTRACTS GRANTS ACCOUNTING 4101 COLLEGE PARK,MD 20742	52-6002033	501(C)(3)	116,599		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF MIAMI SPONSORED PROGRAMS PO BOX 025405 MIAMI,FL 33102	59-0624458	501(C)(3)	673,159		N/A	N/A	RESEARCH
UNIVERSITY OF MO COLUMBIA ARP O BOX 807012 KANSAS CITY,MO 64180	43-6003859	501(C)(3)	149,833		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF NEW H OFFICE OF SPONSORED RESEARCH SERVICE BUILDING ROOM 109 DURHAM,NH 03824	02-6000937	501(C)(3)	80,613		N/A	N/A	RESEARCH
UNIVERSITY OF PAP-221 FRANKLIN BUILDING 3451 WALNUT STREET PHILADELPHIA,PA 19104	12-3125268	501(C)(3)	79,094		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF PITTSBURGHPO BOX 371220 PITTSBURGH,PA 15251	25-0965591	501(C)(3)	350,413		N/A	N/A	RESEARCH
UNIVERSITY OF ROCHESTER601 ELMWOOD AVENUE DEPT OF CARDIOLOGY BOX 679 ROCHESTER,NY 14642	16-0743209	501(C)(3)	88,035		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF ROCHESTER910 GENESEE STREET SUITE 210 BROOKS LANDING BUSINESS CENTER ROCHESTER,NY 14611	00-0007239	501(C)(3)	9,314		N/A	N/A	RESEARCH
UNIVERSITY OF S FLPO BOX 864568 RESEARCH PROJECTS RECEIVABLES ORLANDO,FL 32886	59-3102112	501(C)(3)	180,597		N/A	N/A	RESEARCH



Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF SOUTHERN CA SPONSORED PROJECTS ACCOUNTING FILE NO 52095 LOS ANGELES,CA 90074	95-1642394	501(C)(3)	69,000		N/A	N/A	RESEARCH
UNIVERSITY OF SOUTHERN ME96 FALMOUTH STREET PO BOX 9300 PORTLAND,ME 04104	01-6000769	501(C)(3)	113,130		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF TN BURSARS OFFICE 210 STUDENT SERV BLDG KNOXVILLE,TN 37996	62-6001636	501(C)(3)	6,906		N/A	N/A	RESEARCH
UNIVERSITY OF TX AT ARLINGTONOFFICE OF ACCOUNTING BUS SER BOX 19136 ARLINGTON,TX 76019	75-6000121	501(C)(3)	1,191,133		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF TX AT AUSTINPOBOX 7159 AUSTIN, TX 78713	74-6000203	501(C)(3)	368,486		N/A	N/A	RESEARCH
UNIVERSITY OF TX MED BRANCHAMEGY BANK OF TEXAS PO BOX 4786-750 HOUSTON,TX 77210	74-6000949	501(C)(3)	251,635		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF TX SOUTHWESTERNGRANTS ACCTING PO BOX 841765 DALLAS,TX 75284	00-0155944	501(C)(3)	88,658		N/A	N/A	RESEARCH
UNIVERSITY OF TX SOUTHWESTERN MEDUT SOUTHWESTERN PO BOX 841753 DALLAS,TX 75284	75-6002868	501(C)(3)	26,310		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF UTAHRES ACCTING 201 S PRESIDENTS CIR RM 406 SALT LAKE CITY,UT 84112	87-6000525	501(C)(3)	35,241		N/A	N/A	RESEARCH
UNIVERSITY OF VA ATTNOFF OF SPONSORED PROGRAMS PO BOX 400195 CHARLOTTESVILLE,VA 22904	54-6001796	501(C)(3)	102,955		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF VTAND STATE AGRICULTURAL COLLEGE GRANT CONTRACT ACCOUNTING WATERM BURLINGTON,VA 05405	03-0179440	501(C)(3)	124,863		N/A	N/A	RESEARCH
UNIVERSITY OF WAGRANT CONTRACT ACCOUNTING 3917 UNIVERSITY WAY NE SEATTLE,WA 98105	91-6001537	501(C)(3)	542,229		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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VANDERBILT UNIVERSITY 2200 CHILDREN S WAY 11124 DOCTOR S OFFICE TOWER NASHVILLE,TN 37232	62-0476822	501(C)(3)	310,388		N/A	N/A	RESEARCH
VASSAR COLLEGE ACCOUNTING SERVICES 124 RAYMOND AVENUE BOX 12 POUGHKEEPSIE,NY 12604	14-1338587	501(C)(3)	14,812		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
VERITAS THERAPEUTIC FOUNDATION INC912 AMSTERDAM AVENUE NEW YORK,NY 10025	13-3082021	501(C)(3)	7,500		N/A	N/A	STAFF DEVELOPMENT
W HARLEM ENVIRONMENTAL ACTION 1854 AMSTERDAM AVENUE 2ND FLOOR NEW YORK,NY 10031	13-3800068	501(C)(3)	134,401		N/A	N/A	RESEARCH



Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
WA ADVENTIST STUDY SIT PO BOX 64147 BALTIMORE,MD 21264	52-1532556	501(C)(3)	28,400		N/A	N/A	RESEARCH
WA UNIVERSITY SPONSORED PROJECTS 700 ROSEDALE AVENUE ST LOUIS,MO 63112	43-0653611	501(C)(3)	375,080		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
WAKE FOREST UNIVERSITYHEALTH SCIENCES CONTROLLER S OFFICE MEDICAL CENTER WINSTON SALEM, NC 27157	56-0532138	501(C)(3)	16,861		N/A	N/A	RESEARCH
WENDY HILLIARD FOUNDATION792 COLUMBUS AVENUE 17T NEW YORK,NY 10025	13-3879321	501(C)(3)	10,000		N/A	N/A	GYM RENTAL

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
WEST SIDE CAMPAIGN AGAINST HUNGER263 WEST 86TH STREET NEW YORK,NY 10024	13-1635259	501(C)(3)	10,000		N/A	N/A	SUPPLIES FOR PANTRY
WESTERN ENVIRONMENTAL TECH LAB16326 COLLECTIONS CENTER DRIVE CHICAGO,IL 60693	93-1072453	501(C)(3)	325,793		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
WILLIAM S MIDDLETON MEM2500 OVERLOOK TERRACE MADISON,WI 53705	74-1612229	501(C)(3)	7,977		N/A	N/A	RESEARCH
WOMENS COMMITTEE FOR REFUGEEWOMEN CHILDREN C/O IRC 122 E 42ND STREET 12 FL NEW YORK,NY 10168	13-5660870	501(C)(3)	83,697		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
WOODS HOLE OCEANOGRAPHIC INST ACCOUNTS RECEIVABLE MS 14 569 WOODS HOLE ROAD WOODS HOLE, MA 02543	04-2105850	501(C)(3)	429,814		N/A	N/A	RESEARCH
WORLD VISION INC34834 WEYERHAEUSER WAY S FEDERAL WAY, WA 98001	95-1922279	501(C)(3)	100,730		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
YALE UNIVERSITY55 WHITNEY AVENUE PO BOX 208351 INTL EDU FELLOWSHI NEW HAVEN,CT 06520	06-0646973	501(C)(3)	1,831,083		N/A	N/A	RESEARCH
CARE151 ELLIS ST ATLANTA,GA 30303	13-1685039	501(C)(3)	957,107		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ALBERT EINSTEIN MED COLLEGE5401 OLD YORK ROAD SUITE 363/304 PHILADELPHIA, PA 19141	23-2664784	501(C)(3)	6,700		N/A	N/A	RESEARCH
AMER REFUGEE COMM430 OAK GROVE STREET SUITE 204 MINNEAPOLIS,MN 55403	36-3241033	501(C)(3)	1,476,114		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
FUND FOR PUBLIC HEALTH IN NY22 CORTLANDT ST SUITE 1103 NEW YORK,NY 10007	05-0539199	501(C)(3)	40,000		N/A	N/A	



Schedule J  
(Form 990)

Compensation Information

OMB No 1545-0047

2010

Open to Public Inspection

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990, Part IV, question 23.

▶ Attach to Form 990. ▶ See separate instructions.

Name of the organization  
THE TRUSTEES OF COLUMBIA UNIVERSITY  
IN THE CITY OF NEW YORK

Employer identification number  
  
13-5598093

Part I

Questions Regarding Compensation

		Yes	No
1a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items		
	<div><div><input type="checkbox"/> First-class or charter travel</div><div><input checked="" type="checkbox"/> Travel for companions</div><div><input checked="" type="checkbox"/> Tax idemnification and gross-up payments</div><div><input type="checkbox"/> Discretionary spending account</div></div> <div><div><input checked="" type="checkbox"/> Housing allowance or residence for personal use</div><div><input type="checkbox"/> Payments for business use of personal residence</div><div><input type="checkbox"/> Health or social club dues or initiation fees</div><div><input checked="" type="checkbox"/> Personal services (e g , maid, chauffeur, chef)</div></div>		
b	If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all the expenses described above? If "No," complete Part III to explain	1b	Yes
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?	2	Yes
3	Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director Check all that apply		
	<div><div><input checked="" type="checkbox"/> Compensation committee</div><div><input checked="" type="checkbox"/> Independent compensation consultant</div><div><input checked="" type="checkbox"/> Form 990 of other organizations</div></div> <div><div><input type="checkbox"/> Written employment contract</div><div><input checked="" type="checkbox"/> Compensation survey or study</div><div><input checked="" type="checkbox"/> Approval by the board or compensation committee</div></div>		
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization		
a	Receive a severance payment or change-of-control payment from the organization or a related organization?	4a	No
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b	Yes
c	Participate in, or receive payment from, an equity-based compensation arrangement?	4c	No
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III		
	Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.		
5	For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of		
a	The organization?	5a	Yes
b	Any related organization?	5b	No
	If "Yes," to line 5a or 5b, describe in Part III		
6	For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of		
a	The organization?	6a	No
b	Any related organization?	6b	No
	If "Yes," to line 6a or 6b, describe in Part III		
7	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	7	Yes
8	Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regs section 53 4958-4(a)(3)? If "Yes," describe in Part III	8	Yes
9	If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?	9	Yes

**Part II** **Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

**Note.** The sum of columns (B)(i)-(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
See Additional Data Table								
( 2 )								
( 3 )								
( 4 )								
( 5 )								
( 6 )								
( 7 )								
( 8 )								
( 9 )								
( 10 )								
( 11 )								
( 12 )								
( 13 )								
( 14 )								
( 15 )								
( 16 )								

Part IIISupplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8. Also complete this part for any additional information.

Identifier	Return Reference	Explanation
SUPPLEMENTAL COMPENSATION INFORMATION		<p>NOTES TO PART I, LINE 1A TRAVEL FOR COMPANIONS IN CERTAIN CIRCUMSTANCES, THE SPOUSE OF THE PRESIDENT OR OTHER KEY EMPLOYEE MAY TRAVEL FOR UNIVERSITY PURPOSES. EXAMPLES OF SUCH TRAVEL INCLUDE ATTENDANCE AT FUNDRAISING OR ALUMNI EVENTS, AS WELL AS REPRESENTING THE UNIVERSITY AT AWARDS PRESENTATIONS OR INAUGURATIONS. IN ACCORDANCE WITH APPLICABLE LEGAL STANDARDS, THE UNIVERSITY WILL PAY FOR SPOUSAL TRAVEL AS A REGULAR BUSINESS EXPENSE IF THE SPOUSAL TRAVEL SERVES A "BONA FIDE BUSINESS PURPOSE" OF THE UNIVERSITY. TAX INDEMNIFICATION AND GROSS-UP PAYMENTS AS APPROVED BY THE BOARD OF TRUSTEES, THE UNIVERSITY PAYS CERTAIN MEDICAL, DENTAL AND DISABILITY INSURANCE PREMIUMS ON THE PRESIDENT'S BEHALF, INCLUDING A TAX GROSS-UP ON THAT AMOUNT. THE TOTAL AMOUNT FOR PREMIUMS AND THE TAXES THEREON \$13,556 IS SHOWN IN COLUMN (B)(III) AS OTHER REPORTABLE COMPENSATION. HOUSING ALLOWANCE OR RESIDENCE FOR PERSONAL USE/PERSONAL SERVICES AS A CONDITION OF EMPLOYMENT, THE PRESIDENT IS REQUIRED TO LIVE IN A HOME ON THE UNIVERSITY'S CAMPUS WHICH IS FURNISHED AND MAINTAINED AT THE UNIVERSITY'S EXPENSE. THE PRESIDENT'S HOUSING HAS BEEN VALUED AT \$333,937 AND IS REPORTED AS A NON-TAXABLE BENEFIT. THE UNIVERSITY ALSO PROVIDES A CAR AND DRIVER TO BE USED BY THE PRESIDENT IN CONNECTION WITH HIS DUTIES. THE PRESIDENT IS RESPONSIBLE FOR ANY PERSONAL USE OF THE HOUSEHOLD STAFF OR CAR AND DRIVER AND OTHER PERSONAL EXPENSES. PERSONAL SERVICES OTHER REPORTABLE COMPENSATION INCLUDES \$5,546 IMPUTED TO DR. GOLDMAN WITH RESPECT TO PERSONAL USE OF A CAR AND DRIVER PROVIDED BY THE UNIVERSITY (SEE PART I, COLUMN (B)(III)).</p> <p>NOTE TO PART I, LINE 4B THE UNIVERSITY HAS AGREED TO PROVIDE PRESIDENT BOLLINGER WITH SUPPLEMENTAL RETIREMENT INCOME BASED ON CUMULATIVE ANNUAL CREDITS INCLUDING AMOUNTS FOR ACHIEVING PERFORMANCE MEASURES, SUBJECT TO SATISFYING VESTING CONDITIONS RELATED TO CONTINUING SERVICES TO THE UNIVERSITY. CREDITS AWARDED DURING THE CALENDAR YEAR REPORTING PERIOD \$583,333 ARE INCLUDED AS DEFERRED COMPENSATION. NOTE TO PART I, LINE 5A DR. DAVID SILVERS' COMPENSATION IS COMPRISED OF BASE COMPENSATION AND INCENTIVE COMPENSATION DETERMINED FROM HIS PERFORMANCE OF, AND REVENUES FROM, CLINICAL DUTIES IN THE UNIVERSITY'S DERMATOPATHOLOGY PRACTICE WHERE HE IS THE DIRECTOR, AND IS SUBJECT TO A CAP FOR EACH ACADEMIC YEAR. NOTE TO PART I, LINE 7 NOTE TO N. P. NARVEKAR AND PETER HOLLAND'S COMPENSATION THE UNIVERSITY HAS IMPLEMENTED A BONUS PROGRAM UNDER WHICH MR. NARVEKAR AND MR. HOLLAND ARE AWARDED PERFORMANCE-BASED BONUSES, A PORTION OF WHICH IS PAID CURRENTLY AND A PORTION OF WHICH IS SUBJECT TO SATISFYING VESTING CONDITIONS RELATED TO CONTINUING SERVICE WITH THE UNIVERSITY. BONUS AMOUNTS AWARDED AND DEFERRED FROM PREVIOUS PERIODS WITH EARNINGS THEREON PAID DURING THE CALENDAR YEAR REPORTING PERIOD ARE INCLUDED AS BONUS AND INCENTIVE COMPENSATION IN COLUMN B (II). AMOUNTS AWARDED DURING THE CALENDAR YEAR REPORTING PERIOD BUT SUBJECT TO VESTING ARE INCLUDED AS DEFERRED COMPENSATION IN COLUMN C. COLUMN (F) COMPENSATION REPORTED IN PRIOR FORM 990. THIS AMOUNT IS ALSO INCLUDED IN COLUMN (E), PART II. THEREFORE, THE NUMBER IN COLUMN E REPRESENTS SOME DOUBLE REPORTING. THE ACTUAL AMOUNT EARNED DURING THE CALENDAR YEAR REPORTING PERIOD (CALCULATED BY SUBTRACTING COLUMN F FROM COLUMN E) IS \$2,803,017 FOR MR. NARVEKAR AND \$2,519,168 FOR MR. HOLLAND. PART I, LINES 8 AND 9 THE APPOINTMENT OF PROVOST CLAUDE STEELE WAS ELIGIBLE FOR TREATMENT UNDER THE INITIAL CONTRACT EXCEPTION DESCRIBED IN IRS REGULATIONS 53.4958-4(A)(3), AND THE UNIVERSITY ALSO FOLLOWED THE REBUTTABLE PRESUMPTION PROCEDURE DESCRIBED IN IRS REGULATIONS SECTION 53.4958-6(C). NOTE TO PROVOST BRINKLEY'S COMPENSATION PROVOST BRINKLEY STEPPED DOWN ON AUGUST 30, 2010. NOTE TO MS. FEAGIN'S COMPENSATION MS. FEAGIN STEPPED DOWN AS EXECUTIVE VICE PRESIDENT ON DECEMBER 31, 2010 AND BECAME SPECIAL ADVISOR TO THE PRESIDENT EFFECTIVE JANUARY 1, 2011. NOTE TO DR. GOLDMAN'S COMPENSATION THE UNIVERSITY HAS AGREED TO DR. GOLDMAN WITH SUPPLEMENTAL RETIREMENT INCOME BASED ON CUMULATIVE ANNUAL CREDITS INCLUDING AMOUNTS FOR ACHIEVING PERFORMANCE MEASURES, SUBJECT TO SATISFYING VESTING CONDITIONS RELATED TO CONTINUING SERVICES TO THE UNIVERSITY. CREDITS AWARDED FOR THE CALENDAR YEAR REPORTING PERIOD (\$480,000) ARE INCLUDED AS DEFERRED COMPENSATION. NOTE TO MR. VAN SICKLE'S COMPENSATION MR. VAN SICKLE BECAME EXECUTIVE VICE PRESIDENT ON JANUARY 1, 2011. NOTE TO KENNETH FORDE'S COMPENSATION KENNETH FORDE'S REPORTED BASE COMPENSATION WAS PAID FROM A DEFERRED COMPENSATION AGREEMENT IN EFFECT WHEN DR. FORDE WAS A PROFESSOR OF SURGERY AT THE UNIVERSITY AND THE REPORTED NON-TAXABLE BENEFITS REFLECT BENEFITS FROM PARTICIPATION IN A RETIREE BENEFITS PLAN. DR. FORDE IS RETIRED FROM HIS UNIVERSITY POSITION AND RECEIVES NO COMPENSATION OR OTHER BENEFITS FOR HIS DUTIES AS A TRUSTEE. NOTE TO DR. MOSES', DR. SMITH'S, DR. BACHA'S, &amp; DR. QUAEGBEUR'S COMPENSATION THE COMPENSATION OF DR. MOSES, DR. SMITH, DR. BACHA, AND DR. QUAEGBEUR IS SUPPORTED BY THEIR CLINICAL ACTIVITIES, GRANTS, AND SUPPORT FROM THE UNIVERSITY'S HOSPITAL AFFILIATES. NOTE ON TRUSTEES' TIME DEVOTED TO POSITION (3 HOURS PER WEEK) REPRESENTS AN AVERAGE FOR ALL TRUSTEES BASED ON ATTENDANCE AT QUARTERLY MEETINGS AND REGULARLY SCHEDULED TELEPHONIC CONFERENCES THROUGHOUT THE YEAR. BOARD OFFICERS, COMMITTEE CHAIRS AND OTHER TRUSTEES MAY DEVOTE SIGNIFICANTLY MORE TIME TO THE POSITION.</p>

Software ID:

Software Version:

EIN: 13-5598093

Name: THE TRUSTEES OF COLUMBIA UNIVERSITY  
IN THE CITY OF NEW YORK

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other compensation				
LEE C BOLLINGER	(i) (ii)	950,625 0	0 0	13,556 0	608,618 0	360,132 0	1,932,931 0	0 0
JANE E BOOTH	(i) (ii)	466,352 0	0 0	0 0	25,285 0	24,802 0	516,439 0	0 0
ALAN BRINKLEY	(i) (ii)	448,308 0	0 0	13,333 0	37,535 0	18,734 0	517,910 0	0 0
JEROME DAVIS	(i) (ii)	250,942 0	0 0	0 0	25,285 0	12,051 0	288,278 0	0 0
NICHOLAS B DIRKS	(i) (ii)	421,968 0	0 0	462 0	25,285 0	34,248 0	481,963 0	0 0
SUSAN K FEAGIN	(i) (ii)	503,092 0	0 0	0 0	25,285 0	7,650 0	536,027 0	0 0
DR LEE GOLDMAN MD	(i) (ii)	932,994 0	0 0	75,146 0	505,285 0	13,374 0	1,526,799 0	0 0
PETER HOLLAND	(i) (ii)	727,569 0	1,375,305 0	1,787,868 0	25,285 0	30,939 0	3,946,966 0	1,375,305 0
JOSEPH A IENUSO	(i) (ii)	400,952 0	0 0	40 0	25,285 0	65,086 0	491,363 0	0 0
ROBERT KASDIN	(i) (ii)	659,634 0	0 0	0 0	25,285 0	33,986 0	718,905 0	0 0
NIRMAL NARVEKAR	(i) (ii)	812,109 0	1,530,269 0	1,989,317 0	25,285 0	26,951 0	4,383,931 0	1,530,269 0
ANNE R SULLIVAN	(i) (ii)	362,037 0	0 0	0 0	20,623 0	11,511 0	394,171 0	0 0
CLAUDE M STEELE	(i) (ii)	552,284 0	0 0	134 0	25,285 0	24,262 0	601,965 0	0 0
FREDERICK M VAN SICKLE	(i) (ii)	322,145 0	0 0	0 0	25,285 0	41,762 0	389,192 0	0 0
KENNETH A FORDE MD	(i) (ii)	789,000 0	0 0	0 0	0 0	2,592 0	791,592 0	0 0
DAVID N SILVERS	(i) (ii)	2,997,648 0	1,965,331 0	0 0	30,302 0	9,107 0	5,002,388 0	0 0
JEFFREY W MOSES	(i) (ii)	3,039,632 0	0 0	0 0	24,302 0	28,619 0	3,092,553 0	0 0
CRAIG R SMITH	(i) (ii)	2,074,964 0	0 0	0 0	34,469 0	39,138 0	2,148,571 0	0 0
EMILE BACHA	(i) (ii)	1,489,448 0	0 0	147,000 0	28,347 0	23,099 0	1,687,894 0	0 0
JAN MODEST QUAEGEBEUR	(i) (ii)	1,485,728 0	0 0	0 0	34,601 0	27,550 0	1,547,879 0	0 0

Schedule K  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Supplemental Information on Tax Exempt Bonds

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Schedule O (Form 990).  
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2010

Open to Public Inspection

Name of the organization  
THE TRUSTEES OF COLUMBIA UNIVERSITY  
IN THE CITY OF NEW YORK

Employer identification number  
13-5598093

Part I

Bond Issues

(a) Issuer Name	(b) Issuer EIN	(c) CUSIP #	(d) Date Issued	(e) Issue Price	(f) Description of Purpose	(g) Defeased		(h) On Behalf of Issuer		(i) Pool financing	
						Yes	No	Yes	No	Yes	No
A DORMITORY AUTHORITY OF STATE OF NEW YORK 2003A&B	14-6000293	649901R49	03-05-2003	122,696,491	FUND NEW QUALIFIED PROJECTS		X		X		X
B DORMITORY AUTHORITY OF STATE OF NEW YORK 2004A	14-6000293	64983TSW4	06-08-2004	75,547,466	REFUND 1994A BONDS		X		X		X
C DORMITORY AUTHORITY OF STATE OF NEW YORK 2004B&C	14-6000293	64983TZD8	06-30-2004	158,627,817	FUND NEW QUALIFIED PROJECTS		X		X		X
D DORMITORY AUTHORITY OF STATE OF NEW YORK 2006A	14-6000293	64983QUE7	01-18-2006	239,661,669	FUND NEW QUALIFIED PROJECTS		X		X		X

Part II

Proceeds

		A		B		C		D	
1	Amount of bonds retired	24,655,000		39,320,000		26,385,000		21,780,000	
2	Amount of bonds legally defeased	0		0		0		0	
3	Total proceeds of issue	123,718,793		75,547,466		159,406,375		246,367,334	
4	Gross proceeds in reserve funds	0		0		0		0	
5	Capitalized interest from proceeds	0		0		0		0	
6	Proceeds in refunding escrow	0		0		0		0	
7	Issuance costs from proceeds	802,880		0		1,020,611		1,516,855	
8	Credit enhancement from proceeds	0		0		0		0	
9	Working capital expenditures from proceeds	0		0		0		0	
10	Capital expenditures from proceeds	122,097,219		0		157,343,063		243,286,427	
11	Other spent proceeds	818,694		75,547,466		1,042,701		1,564,052	
12	Other unspent proceeds	0		0		0		0	
13	Year of substantial completion	2004		2007				2009	
		Yes	No	Yes	No	Yes	No	Yes	No
14	Were the bonds issued as part of a current refunding issue?		X	X			X		X
15	Were the bonds issued as part of an advance refunding issue?		X		X		X		X
16	Has the final allocation of proceeds been made?	X		X		X		X	
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X		X	

Part III

Private Business Use

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X		X		X
2	Are there any lease arrangements that may result in private business use of bond-financed property?	X		X		X		X	

Part III

Private Business Use (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use?	X		X		X		X	
b	Are there any research agreements that may result in private business use of bond-financed property?	X		X		X		X	
c	Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property?	X		X		X		X	
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government	0 %		0 %		0 230 %		0 %	
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government	0 %		0 %		0 060 %		0 %	
6	Total of lines 4 and 5	0 %		0 %		0 290 %		0 %	
7	Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities?	X		X		X		X	

Part IV

Arbitrage

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue?		X		X		X		X
2	Is the bond issue a variable rate issue?		X		X		X		X
3a	Has the organization or the governmental issuer entered into a hedge with respect to the bond issue?		X		X		X		X
b	Name of provider								
c	Term of hedge								
d	Was the hedge superintegrated?								
e	Was a hedge terminated?								
4a	Were gross proceeds invested in a GIC?		X		X		X		X
b	Name of provider								
c	Term of GIC								
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
5	Were any gross proceeds invested beyond an available temporary period?		X		X		X		X
6	Did the bond issue qualify for an exception to rebate?	X			X	X		X	

Part V

Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule K (see instructions)

Identifier	Return Reference	Explanation
SCHEDULE K, PART II, LINE 3		DIFFERENCES IN AMOUNTS ENTERED IN PART I, COLUMN (E) AND PART II, LINE 3 REPRESENT INVESTMENT EARNINGS
SCHEDULE K, PART II, LINE 11		OTHER SPENT PROCEEDS AMOUNTS REPORTED ON SCHEDULE K, PART II, LINE 11 REPRESENT THE NEW YORK STATE BOND TAX ASSOCIATED WITH EACH ISSUE ----- SCHEDULE K, PART II, LINE 13 YEAR OF SUBSTANTIAL COMPLETION LINE 13 HAS BEEN LEFT BLANK FOR SERIES 2004A AND 2006B ISSUES SINCE THESE WERE REFUNDING ISSUES AND AS SUCH NO PROJECTS WERE ASSOCIATED WITH THE BONDS ----- SCHEDULE K, PART III, LINES 4-5 PRIVATE BUSINESS USE PERCENTAGE FOR ALL ISSUES, THE UNIVERSITY HAS ALLOCATED EQUITY INVESTED IN THE FINANCED PROPERTIES TO SOURCES OF PRIVATE BUSINESS USE, WITH THE EXCEPTION OF ISSUANCE COSTS, WITHIN THE REQUIRED TIME FRAME AND HAS CAREFULLY MANAGED THE TYPES OF ACTIVITIES CONDUCTED IN BOND-FINANCED FACILITIES AS SUCH, THE UNIVERSITY HAS REPORTED 0% PRIVATE BUSINESS USE FOR THE SERIES 2003A&B, SERIES 2004B&C, SERIES 2009A, AND SERIES 2011A ISSUES AND MINIMAL PRIVATE BUSINESS USE FOR THE REMAINING ISSUES ON SCHEDULE K

Schedule L  
(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

Transactions with Interested Persons

▶ Complete if the organization answered  
"Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c,  
or Form 990-EZ, Part V lines 38a or 40b.  
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2010

Open to Public Inspection

Name of the organization  
THE TRUSTEES OF COLUMBIA UNIVERSITY  
IN THE CITY OF NEW YORK

Employer identification number  
  
13-5598093

Part I Excess Benefit Transactions (section 501(c)(3) and section 501 (c)(4) organizations only).

Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

1	(a) Name of disqualified person	(b) Description of transaction	(c) Corrected?	
			Yes	No

2 Enter the amount of tax imposed on the organization managers or disqualified persons during the year under section 4958 . . . . . ▶ \$ \_\_\_\_\_

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization . . . . . ▶ \$ \_\_\_\_\_

Part II Loans to and/or From Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 26, or Form 990-EZ, Part V, line 38a

(a) Name of interested person and purpose	(b) Loan to or from the organization?		(c)Original principal amount	(d)Balance due	(e) In default?		(f) Approved by board or committee?		(g)Written agreement?	
	To	From			Yes	No	Yes	No	Yes	No
Total . . . . . ▶ \$ _____										

Part III Grants or Assistance Benefitting Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b)Relationship between interested person and the organization	(c)Amount of grant or type of assistance

**Part IV**

**Business Transactions Involving Interested Persons.**  
Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
See Additional Data Table					

**Part V**

**Supplemental Information**  
Complete this part to provide additional information for responses to questions on Schedule L (see instructions)

Identifier	Return Reference	Explanation
NOTES TO SCHEDULE L		UNLESS NOTED, ALL AMOUNTS SHOWN ON SCHEDULE L REPRESENT PAYMENTS BY THE UNIVERSITY TO THE INTERESTED PERSON THE SPOUSES OF KEY EMPLOYEES/OFFICERS LISTED IN SCHEDULE L WERE EMPLOYEES OF THE UNIVERSITY DURING THE REPORTING PERIOD THEIR COMPENSATION REPORTED ON SCHEDULE L WAS DETERMINED IN ACCORDANCE WITH THE UNIVERSITY'S REGULAR COMPENSATION PRACTICES APPLICABLE TO SIMILARLY SITUATED EMPLOYEES ALL OF THE BUSINESS TRANSACTIONS INVOLVING INTERESTED PERSONS DESCRIBED IN SCHEDULE L, PART IV WERE CONDUCTED IN THE ORDINARY COURSE OF BUSINESS AND AT ARM'S LENGTH NO UNIVERSITY OFFICIAL WHO WAS ALSO AN OFFICER OR DIRECTOR OF AN INTERESTED PERSON PARTICIPATED IN OR INFLUENCED THE UNIVERSITY'S DECISION ABOUT ANY TRANSACTION



## Additional Data

**Software ID:**

**Software Version:**

**EIN:** 13-5598093

**Name:** THE TRUSTEES OF COLUMBIA UNIVERSITY  
IN THE CITY OF NEW YORK

### Form 990, Schedule L, Part IV - Business Transactions Involving Interested Persons

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction \$	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
EVANGELINE MORPHOS	SPOUSE OF OFFICER	91,317	EMPLOYMENT		No
CLAIRE F ULLMAN	SPOUSE OF OFFICER	12,000	EMPLOYMENT		No
JANAKI BAKHLE	SPOUSE OF KEY EMPLOYEE	137,062	EMPLOYMENT		No
JILL S GOLDMAN	SPOUSE OF KEY EMPLOYEE	81,951	EMPLOYMENT		No
CITIGROUP	TRUSTEE IS OFFICER	510,866	CARD PAYMENTS/ACCOUNT FEES		No
CITIGROUP	TRUSTEE IS OFFICER	411,946	RENT/INTEREST PMTS TO UNIV		No
APPLE COMPUTER	TRUSTEE IS DIRECTOR	2,579,267	PURCHASE OF GOODS		No
GOLDMAN SACHS	TRUSTEES ARE OFFICER/DIR	7,307,949	CONTRACTS, FEES & COMMISSIONS		No
GOLDMAN SACHS	TRUSTEES ARE OFFICER/DIR	605,898	DISTRIBUTIONS AND INTEREST		No

SCHEDULE M  
(Form 990)

Department of the Treasury  
Internal Revenue Service

NonCash Contributions

►Complete if the organization answered "Yes" on Form 990, Part IV, lines 29 or 30.  
► Attach to Form 990.

OMB No 1545-0047

2010

Open to Public Inspection

Name of the organization  
THE TRUSTEES OF COLUMBIA UNIVERSITY  
IN THE CITY OF NEW YORK

Employer identification number  
13-5598093

Part I Types of Property

	(a) Check if applicable	(b) Number of Contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining oncash contribution amounts
1 Art—Works of art . . . .	X	2	0	
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications				
5 Clothing and household goods . . . . .				
6 Cars and other vehicles .				
7 Boats and planes . . . .				
8 Intellectual property . .	X	5	0	
9 Securities—Publicly traded				
10 Securities—Closely held stock . . . . .				
11 Securities—Partnership, LLC, or trust interests .				
12 Securities—Miscellaneous	X	415	45,091,686	COST/SALES PRICE
13 Qualified conservation contribution—Historic structures . . . . .				
14 Qualified conservation contribution—Other . .				
15 Real estate—Residential .				
16 Real estate—Commercial	X	1	0	
17 Real estate—Other . .				
18 Collectibles . . . . .	X	7	0	
19 Food inventory . . . . .	X	3	0	
20 Drugs and medical supplies				
21 Taxidermy . . . . .				
22 Historical artifacts . .				
23 Scientific specimens . .				
24 Archeological artifacts .				
25 Other ► ( <u>EQUIPMENT</u> )	X	8	0	0
26 Other ► ( <u>PERSONAL PAPERS</u> )	X	2	0	0
27 Other ► ( <u>BOOKS</u> )	X	6	0	0
28 Other ► ( <u>                    </u> )				
29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement . . . .	29			10
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period? . . . . .	30a	Yes	No	No
b If "Yes," describe the arrangement in Part II		Yes		
31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?	31	Yes		
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell non-cash contributions? . . . . .	32a		No	
b If "Yes," describe in Part II				
33 If the organization did not report revenues in column (c) for a type of property for which column (a) is checked, describe in Part II				

Part III

**Supplemental Information.** Complete this part to provide the information required by Part I, lines 30b, 32b, and 33. Also complete this part for any additional information.

Identifier	Return Reference	Explanation
DESCRIPTION OF CONTRIBUTED PROPERTY FOR WHICH REVENUES ARE NOT REPORTED	SCHEDULE M, PART I LINE 33	UNDER FAS 116, CONTRIBUTIONS OF WORKS OF ART, HISTORICAL TREASURES, AND SIMILAR ASSETS NEED NOT BE RECOGNIZED AS REVENUES AND CAPITALIZED IF THE DONATED ITEMS ARE ADDED TO COLLECTIONS HELD FOR PUBLIC EXHIBITION, EDUCATION, OR RESEARCH IN FURTHERANCE OF PUBLIC SERVICE RATHER THAN FINANCIAL GAIN BECAUSE THE UNIVERSITY UTILIZES CONTRIBUTIONS OF ART AND HISTORICAL TREASURES IN FURTHERANCE OF PUBLIC SERVICE, THESE CONTRIBUTIONS ARE NOT RECOGNIZED AS REVENUE FOR FINANCIAL STATEMENT PURPOSES IN ADDITION, MINOR GIFTS, SUCH AS FOOD OR SUPPLIES, ARE CONSIDERED DE MINIMUS
NUMBER OF CONTRIBUTIONS	SCHEDULE M, PART I, COLUMN (B)	THE FIGURES IN COLUMN (B) REPRESENT THE NUMBER OF CONTRIBUTIONS RECEIVED

2010

Open to Public  
Inspection

SCHEDULE O

(Form 990 or 990-EZ)

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on  
Form 990 or to provide any additional information.  
▶ Attach to Form 990 or 990-EZ.

Name of the organization

THE TRUSTEES OF COLUMBIA UNIVERSITY

IN THE CITY OF NEW YORK

Employer identification number

13-5598093

Identifier	Return Reference	Explanation
SUPPLEMENTAL DISCLOSURES		<p>FORM 990, PART I, LINE 4 AND PART VI, LINE 1B DETAIL REGARDING THE NUMBER OF INDEPENDENT V OTING MEMBERS THE UNIVERSITY'S PRESIDENT, A VOTING MEMBER OF THE BOARD, IS NOT COUNTED AS INDEPENDENT BECAUSE HE IS AN EMPLOYEE OF THE UNIVERSITY IN ACCORDANCE WITH THE FORM 990 R EPORTING INSTRUCTIONS, THE UNIVERSITY HAS ALSO NOT COUNTED AS INDEPENDENT FOR THE PURPOSES OF THIS QUESTION THE FOUR TRUSTEES WHO SERVE AS OFFICERS OR DIRECTORS OF ENTITIES LISTED AS INTERESTED PERSONS ON SCHEDULE L, NOR THE TRUSTEE LISTED ON SCHEDULE J WHO IS RETIRED F ROM THE UNIVERSITY AND RECEIVED PREVIOUSLY -ACCRUED COMPENSATION DURING THE REPORTING PERIO D BASED UPON HIS PRIOR UNIVERSITY EMPLOYMENT -----</p> <p>----- FORM 990, PART I, LINE 6 DETAIL REGARDING THE NUMBER OF VOLUNTEERS THE N UMBER SHOWN IS AN ESTIMATE OF ALUMNI VOLUNTEERS WHO WORK WITH THE UNIVERSITY'S CENTRAL ALU MINI OFFICE ADDITIONAL VOLUNTEERS WORK IN MANY OF THE UNIVERSITY'S SCHOOLS, CENTERS AND OT HER PROGRAMS -----</p> <p>----- FORM 990, PART V, LINE 4B FOREIGN COUNTRY LIST BANGLADESH BRAZIL CHINA CONGO DR ETHIOPIA FRANCE GERMANY INDIA ITALY COTE D'IVOIRE (IVORY COAST) JAPAN JORDAN KAZAKHSTAN KENYA LESOTHO MALAWI MALI MOZAMBIQUE NIGERIA RWANDA SOUTH AFRICA SWAZILAND TAJIKISTAN TANZANIA TURKEY UNITED KINGDOM (ENGLAND, NORTHERN IRELAND, SCOTLAND, AND WALES) ZAMBIA -----</p> <p>----- FORM 990, PART V, LINES 7G AND 7H CONTRIBUTIONS OF CERTAIN TY PES OF PROPERTY DURING THE YEAR ENDED JUNE 30, 2011, THE UNIVERSITY DID NOT RECEIVE ANY CO NTRIBUTIONS OF QUALIFIED INTELLECTUAL PROPERTY, CARS, BOATS, AIRPLANES, OR OTHER VEHICLES AS A RESULT, THE UNIVERSITY WAS NOT REQUIRED TO FILE FORMS 8899 OR 1098-C TO REPORT SUCH CONTRIBUTIONS -----</p> <p>----- FORM 990, PAR T VI, LINE 2 DETAIL OF FAMILY/BUSINESS RELATIONSHIPS ARMEN AVANESSIONS AND ESTA STECHER - BUSINESS RELATIONSHIP ANN KAPLAN AND ESTA STECHER - BUSINESS RELATIONSHIP-----</p> <p>----- FORM 990, PART VI, SECTION A, LINE 5 IN NOVE MBER 2010, THE UNIVERSITY LEARNED IT HAD BEEN DEFRAUDED FOR THE AMOUNT OF \$5,249,748 39 WH EN CERTAIN ELECTRONIC PAY MENTS WERE MADE TO A FRADULENT VENDOR BANK ACCOUNT THE UNIVERSIT Y IMMEDIATELY NOTIFIED THE POLICE INDICTMENTS HAVE BEEN ISSUED AND THE UNIVERSITY IS COOP ERATING WITH THE CRIMINAL INVESTIGATION IN AUGUST 2011, THE UNIVERSITY RECEIVED REIMBURSE MENT FROM ITS INSURANCE CARRIER FOR THE FULL AMOUNT OF THE LOSS, LESS A \$250,000 DEDUCTIBLE -----</p> <p>----- FORM 990, PART VI, LINE 11B PROCESS USED BY MANAGEMENT AND/OR GOVERNING BODY TO REVIEW 990 A SUBCOMMITTEE COMPRISED OF MEMBERS OF TRUSTEES AUDIT COMMITTEE REVIEWED THE FORM 990 FOLLOWING THAT REVIEW, THE FORM 990 WAS MADE AVAILABLE TO ALL TRUSTEES VIA A SECURE WEBSITE AND THEN PRESENTED TO THE FULL BOARD OF TRUSTEES AT A MEETING ON MAY 10, 2012 CHANGES MADE SUBSEQUENT TO THE MAY 10, 2012 MEETING WERE REPORTED TO THE SUBCOMMITTEE, AND ALL TRUSTEES WERE NOTIFIED OF THE C HANGES VIA ELECTRONIC MAIL THE REVISED FORM WAS POSTED ON THE SECURE WEBSITE FOR TRUSTEE REVIEW PRIOR TO FILING -----</p> <p>----- FORM 990, PART VI, LINE 12C DESCRIPTION OF PROCESS TO MONITOR TRANSACTIONS FOR CONFLICTS OF IN TEREST ALL TRUSTEES ARE SUBJECT TO THE "STATEMENT OF CONFLICT OF INTEREST POLICY FOR THE T RUSTEES OF COLUMBIA UNIVERSITY " WHEN A TRUSTEE BECOMES AWARE OF AN EXISTING OR POTENTIAL CONFLICT OF INTEREST, HE OR SHE HAS A DUTY TO (A) DISCLOSE THE CIRCUMSTANCES TO THE BOARD CHAIR OR OTHERS, AS APPROPRIATE, (B) REFRAIN FROM ACTING TO INFLUENCE ANY DECISION WHICH MIGHT IN IMPROPERLY BENEFIT THE TRUSTEE OR A FAMILY MEMBER, AND (C) RECUSE HIMSELF OR HERS ELF FROM PARTICIPATION IN ANY DISCUSSIONS OR DECISIONS ABOUT THE POTENTIAL TRANSACTION OR ACTIVITY IN ADDITION, ALL TRUSTEES ARE REQUIRED TO DISCLOSE ANNUALLY INTERESTS THAT COULD GIVE RISE TO CONFLICTS THE DISCLOSURES ARE REVIEWED FOR APPROPRIATE ACTION ALL OFFICERS AND KEY EMPLOYEES ARE SUBJECT TO THE "STATEMENT OF UNIVERSITY POLICY ON CONFLICTS OF INTE REST," AND ARE REQUIRED TO DISCLOSE ANNUALLY INTERESTS THAT COULD GIVE RISE TO CONFLICTS THESE DISCLOSURES ARE REVIEWED THROUGH A FORMAL ADMINISTRATIVE PROCESS ANY DISCLOSURES TH AT COULD GIVE RISE TO CONFLICTS AS IDENTIFIED THROUGH SUCH REVIEW ARE REPORTED TO AN APPRO PRIATE SENIOR OFFICER ANY SUBSTANTIVE CONCERNS ARE ALSO REPORTED OT THE CHAIR OF THE BOAR D OF TRUSTEES -----</p> <p>----- FORM 990, PAR T VI, LINES 15A AND 15B COMPENSATION PROCESS THE UNIVERSITY FOLLOWS THE REVIEW PROCESS DES CRIBED BELOW FOR ALL OFFICERS AND KEY EMPLOYEES THIS PROCESS HAS BEEN IN PLACE SINCE AT L EAST 2000 THE COMPENSATION FOR THE ORGANIZATION'S PRESIDENT IS REVIEWED BY A COMPENSATION COMMITTEE OF INDEPENDENT TRUSTEES AND APPROVED BY</p>

Identifier	Return Reference	Explanation
SUPPLEMENTAL DISCLOSURES		<p>THE INDEPENDENT TRUSTEES ON THE FULL BOARD THE COMPENSATION OF OTHER OFFICERS AND KEY EMPLOYEES IS REVIEWED AND APPROVED BY THE COMPENSATION COMMITTEE OF INDEPENDENT TRUSTEES IN CONNECTION WITH THE PRESIDENT'S COMPENSATION, THE COMPENSATION COMMITTEE AND THE BOARD REVIEW COMPARABILITY DATA AND MINUTES OF DELIBERATIONS ARE RECORDED CONTEMPORANEOUSLY WITH A NY ACTION WITH RESPECT TO OTHER OFFICERS AND KEY EMPLOYEES, THE COMMITTEE FOLLOWS THE SAME PROCESS FOR REVIEW OF COMPARABILITY DATA AND CONTEMPORANEOUS DOCUMENTATION OF ITS ACTIONS IN THE CASE OF THE ORGANIZATION'S PRESIDENT AND CERTAIN OTHER OFFICERS AND KEY EMPLOYEES, THE ORGANIZATION HAS ALSO CONSULTED WITH INDEPENDENT COMPENSATION CONSULTANTS IN DETERMINING COMPENSATION THE COMPENSATION OF THE PRESIDENT AND THE EXECUTIVE VICE PRESIDENT OF THE INVESTMENT MANAGEMENT COMPANY IS ALSO REVIEWED AND APPROVED BY A COMPENSATION COMMITTEE OF THE INVESTMENT MANAGEMENT COMPANY'S BOARD OF MANAGERS SUCH COMPENSATION COMMITTEE IS COMPRISED OF INDEPENDENT MEMBERS OF THE BOARD MANAGERS "INDEPENDENT TRUSTEE" OR "INDEPENDENT MEMBER" AS USED IN THIS FOOTNOTE MEANS WITHOUT A CONFLICT OF INTEREST WITHIN THE MEANING OF PARAGRAPH (c)(1)(iii) OF REGULATION 53.4958-6 OF THE INTERNAL REVENUE CODE IN ACCORDANCE WITH THE FORM 990 INSTRUCTIONS, PART VI, LINE 1B ABOVE USES A DIFFERENT DEFINITION ----- FORM 990, PART VI, QUESTION 19 DOCUMENTS AVAILABILITY TO PUBLIC THE ORGANIZATION'S CHARTER AND STATUTES, FINANCIAL STATEMENTS AND THE "STATEMENT OF UNIVERSITY POLICY ON CONFLICTS OF INTEREST" ARE AVAILABLE ON THE ORGANIZATION'S WEBSITE AT WWW.COLUMBIA.EDU -----</p> <p>----- FORM 990, PART VIII DETAIL REGARDING ROYALTY INCOME/EXPENSE THE UNIVERSITY PERIODICALLY FUNDS AND DEVELOPS PATENTS FOR CERTAIN TECHNOLOGIES, THEN LICENSES THE USAGE OF THESE PATENTS TO COMPANIES OVER SEVERAL YEARS THE REVENUE, NET OF PAYMENTS DUE TO THIRD PARTIES, IS RECORDED IN "REVENUE FROM OTHER EDUCATIONAL AND RESEARCH ACTIVITIES" IN THE CONSOLIDATED STATEMENT OF ACTIVITIES COSTS INCURRED WITH DEVELOPING AND MAINTAINING THESE PATENTS ARE EXPENSED AS INCURRED SO THAT WHILE ROYALTIES ARE PAID TO FACULTY AND OTHERS IN CERTAIN CIRCUMSTANCES THESE AMOUNTS ARE NOT REFLECTED IN THE STATEMENT OF FUNCTIONAL EXPENSES -----</p> <p>--- FORM 990, PART VIII, LINE 8 AND SCHEDULE G, PART II DESCRIPTION OF ACCOUNTING FOR FUNDRAISING EVENTS AMOUNTS REPORTED FOR FUNDRAISING EVENTS REPRESENT REVENUE AND EXPENSES THAT ARE SEPARATELY TRACKED THE REVENUE AND EXPENSES FROM ADDITIONAL FUNDRAISING ACTIVITIES ARE NOT SEPARATELY TRACKED AND ARE INCLUDED WITHIN CONTRIBUTION REVENUE AND FUNDRAISING EXPENSES ----- FORM 990, PART IX, LINE 11F INVESTMENT MANAGEMENT FEES THE INVESTMENT MANAGEMENT FEES REPORTED ON THE STATEMENT OF FUNCTIONAL EXPENSES REPRESENT FEES TO THIRD PARTY INVESTMENT MANAGERS AND INCLUDE MANAGEMENT FEE AND PERFORMANCE FEE EXPENSES ASSOCIATED WITH SEPARATELY MANAGED ACCOUNTS INVESTMENT MANAGEMENT FEES ASSOCIATED WITH FUND INVESTMENTS ARE REPORTED NET AGAINST INVESTMENT INCOME AND THEREFORE ARE NOT INCLUDED IN THE STATEMENT OF FUNCTIONAL EXPENSES -----</p> <p>----- FORM 990, PART IX FUNCTIONAL EXPENSES FORM 990, PART IX FUNCTIONAL EXPENSE TOTALS REFLECT THE ELIMINATION OF DEPARTMENTAL OVERHEAD RECOVERIES INCURRED BY PROGRAMMATIC BUSINESS UNITS THE MAJORITY OF RECOVERED EXPENSES ARE SHOWN IN LINE 24F, OTHER EXPENSES -----</p>

Identifier	Return Reference	Explanation
FORM 990, PART X, LINE 7	NOTES AND LOANS RECEIVABLE	<p>LOANS RECEIVABLE FROM STUDENTS TOTAL \$91,631,000 LOANS RECEIVABLE FROM NON-KEY EMPLOYEES TOTAL \$20,537,084 THE LOANS HAVE VARIOUS INTEREST RATES RANGING FROM 1 95% TO 6 5%, WITH MATURITY DATES RANGING FROM 2011 THROUGH 2039 THE OUTSTANDING BALANCES RANGE FROM \$10,366 TO \$2,398,778 AND ARE GENERALLY SECURED BY A PRINCIPAL RESIDENCE LOANS RECEIVABLE FROM OTHER 501(C)(3) ORGANIZATIONS TOTAL \$1,784,448 -----</p> <p>--- FORM 990, PART XI, LINE 5 OTHER CHANGES IN NET ASSETS OR FUND BALANCE UNREALIZED GAINS 923,981,187 CHANGE IN FUNDS HELD BY OTHER IN PERPETUITY 25,211,000 PRESENT VALUE ADJUSTMENT TO SPLIT INTEREST AGREEMENTS (769,000) CHANGES IN PENSION AND POST-RETIREMENT OBLIGATIONS 66,599,000 ----- TOTAL OTHER CHANGES IN NET ASSETS \$1,015,022,187 -----</p> <p>----- FORM 990, PART XII, LINE 2 AUDIT OF THE UNIVERSITY'S FINANCIAL STATEMENTS THE CONSOLIDATED FINANCIAL STATEMENTS OF THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK ARE AUDITED BY PRICEWATERHOUSECOOPERS, AN INDEPENDENT AUDITOR THE UNCONSOLIDATED FINANCIAL STATEMENTS REFLECTED IN THIS RETURN REPRESENT APPROXIMATELY 99% OF THE NET ASSETS OF THE CONSOLIDATED GROUP THE UNIVERSITY'S BOARD OF TRUSTEES HAS AN AUDIT COMMITTEE, COMPRISED OF INDEPENDENT TRUSTEES, WHICH IS RESPONSIBLE FOR OVERSIGHT OF THE AUDIT, REVIEW, AND COMPILATION OF THE FINANCIAL STATEMENTS AND SELECTION OF THE INDEPENDENT AUDITOR -----</p>

SCHEDULE R  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.  
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2010

Open to Public Inspection

Name of the organization  
THE TRUSTEES OF COLUMBIA UNIVERSITY  
IN THE CITY OF NEW YORK

Employer identification number  
  
13-5598093

Part I

Identification of Disregarded Entities (Complete if the organization answered "Yes" on Form 990, Part IV, line 33.)

(a) Name, address, and EIN of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
See Additional Data Table					

Part II

Identification of Related Tax-Exempt Organizations (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled organization	
						Yes	No
See Additional Data Table							



Part III

Identification of Related Organizations Taxable as a Partnership (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512- 514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproprtionate allocations?		(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	
(1) AP MC FUND I LP  ENTSUJI GADELIUS BLDG 1F 2-39 AKASAKA 5-CHOME, TOKYO, MINATO-KU 107-0052 JA 98-0504300	INVESTMENTS	JA	NA	EXCLUDED	14	5,424,581		No	0		No	66 478 %
(2) AP RX FUND I LP  STRATEGIC SCENARIO CO BLDG 1F 2-39 AKASAKA 5-CHOME, TOKYO, MI 107-0052 JA 98-0515907	INVESTMENTS	JA	NA	EXCLUDED	45	13,659,253		No	0		No	99 503 %
(3) MERIT ENERGY PARTNERS V LP  13727 NOEL ROAD SUITE 500 DALLAS, TX75240 75-2411038	INVESTMENTS	TX	NA	EXCLUDED	27,959,798	43,611,016		No	0		No	97 333 %
(4) PRIDES MONSTER FUND LP  200 HIGH STREET SUITE 700 BOSTON, MA02110 27-0574628	INVESTMENTS	DE	NA	EXCLUDED	0	2,613,872		No	0		No	99 891 %

Part IV

Identification of Related Organizations Taxable as a Corporation or Trust (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership
See Additional Data Table							

Part V

Transactions With Related Organizations (Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35, 35A, or 36.)

Note. Complete line 1 if any entity is listed in Parts II, III or IV

1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

a Receipt of (i) interest (ii) annuities (iii) royalties (iv) rent from a controlled entity

b Gift, grant, or capital contribution to other organization(s)

c Gift, grant, or capital contribution from other organization(s)

d Loans or loan guarantees to or for other organization(s)

e Loans or loan guarantees by other organization(s)

f Sale of assets to other organization(s)

g Purchase of assets from other organization(s)

h Exchange of assets

i Lease of facilities, equipment, or other assets to other organization(s)

j Lease of facilities, equipment, or other assets from other organization(s)

k Performance of services or membership or fundraising solicitations for other organization(s)

l Performance of services or membership or fundraising solicitations by other organization(s)

m Sharing of facilities, equipment, mailing lists, or other assets

n Sharing of paid employees

o Reimbursement paid to other organization for expenses

p Reimbursement paid by other organization for expenses

q Other transfer of cash or property to other organization(s)

r Other transfer of cash or property from other organization(s)

Yes

No

1a Yes

1b Yes

1c No

1d No

1e No

1f No

1g No

1h No

1i No

1j No

1k No

1l No

1m No

1n No

1o No

1p Yes

1q Yes

1r No

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

(a) Name of other organization	(b) Transaction type(a-r)	(c) Amount involved	(d) Method of determining amount involved
(1)			
See Additional Data Table			
(2)			
(3)			
(4)			
(5)			
(6)			

Schedule R (Form 990) 2010

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

[illegible]

Part VII

Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule R (see instructions)

Identifier	Return Reference	Explanation
SCHEDULE R		<p>COLUMBIA UNIVERSITY OWNS AN 81 57% INTEREST IN 2700 BROADWAY CONDOMINIUM, WHICH IS TREATED AS A CONDOMINIUM ASSOCIATION UNDER NEW YORK STATE LAW ----- SCHEDULE R, PART I END-OF-YEAR ASSET REPORTING CERTAIN DISREGARDED ENTITIES LISTED IN SCHEDULE R, PART I MAY HOLD VEHICLES, OFFICE EQUIPMENT AND RELATED ITEMS, THE VALUE OF WHICH IS INCLUDED IN THE UNIVERSITY'S BALANCE SHEET IN PART X OF THIS FORM 990 BUT IS NOT REFLECTED IN COLUMN (E) END-OF-YEAR ASSETS ----- SCHEDULE R, PART I INCOME/ASSETS ATTRIBUTABLE TO CERTAIN DISREGARDED ENTITIES COLUMBIA UNIVERSITY IS THE SOLE MEMBER OF CIM I, LLC WHICH OWNS 100% OF THE SHARES ENTITLED TO THE INCOME AND ASSETS OF FPCM INFLATION-LINKED OPPORTUNITIES FUND, LIMITED TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO CIM I, LLC REPRESENT A 100% SHARE OF TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO FPCM INFLATION-LINKED OPPORTUNITIES FUND, LIMITED, AS REPORTED IN SCHEDULE R, PART IV THE SHARES OWNED BY CIM I ARE NON-VOTING SHARES ----- SCHEDULE R, PART I, COLUMNS (D) AND (E) INCOME AND ASSETS ATTRIBUTABLE TO CERTAIN DISREGARDED ENTITIES COLUMBIA UNIVERSITY IS THE SOLE MEMBER OF CIM V, LLC, WHICH IS THE SOLE MEMBER OF CONQUEST MANAGED FUTURES SELECT FUND II, LLC TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO CIM V, LLC REPRESENT A 100% SHARE OF TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO CONQUEST MANAGED FUTURES SELECT FUND II, LLC, AS REPORTED IN SCHEDULE R, PART I COLUMBIA UNIVERSITY IS THE SOLE MEMBER OF CIM XIII, LLC, WHICH OWNS A 100% INTEREST IN THE PROFITS, LOSSES AND CAPITAL OF HAMILTON FORESTS, LP HAMILTON FORESTS, LP IS THE SOLE MEMBER OF CIM PARENT, LLC WHICH IS THE SOLE MEMBER OF CIM TIMBER, LLC TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO CIM XIII, LLC REPRESENT A 100% SHARE OF TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO HAMILTON FORESTS, LP, WHICH INCLUDES 100% SHARE OF TOTAL INCOME AND END OF YEAR ASSETS ATTRIBUTABLE TO CIM PARENT, LLC AND CIM TIMBER, LLC, AS REPORTED IN SCHEDULE R, PART I ----- SCHEDULE R, PART IV CONSISTENT WITH GAAP, THE UNIVERSITY DOES NOT CONSOLIDATE THE ENTITIES LISTED IN PART IV SCHEDULE R WHICH HAVE INVESTMENTS AS THEIR PRIMARY PURPOSE THIS IS BECAUSE THE UNIVERSITY DOES NOT HAVE SUFFICIENT VOTING POWER WITH RESPECT TO THESE ENTITIES TO SATISFY THE CONTROL TEST FOR CONSOLIDATION UNDER GAAP THE AMOUNTS SHOWN IN SCHEDULE R, PART IV, COLUMN (G) REFLECT THE UNIVERSITY'S SHARE OF THE NET ASSETS OF THESE ENTITIES FOR THE FISCAL YEAR REPORTING PERIOD WHICH, CONSISTENT WITH GAAP, IS REFLECTED ON LINE 16 OF THE BALANCE SHEET IN PART X OF THIS FORM 990 SIMILARLY, THE UNIVERSITY'S SHARE OF ANY CURRENT INCOME AND REALIZED GAINS FROM THESE ENTITIES IS REFLECTED IN COLUMN (F) OF SCHEDULE R, PART IV AND ON THE STATEMENT OF REVENUE IN PART VIII THESE ENTITIES EACH OPERATE ON A CALENDAR-YEAR BASIS, AND THE UNIVERSITY'S SHARE OF THE GROSS INCOME AND TOTAL ASSETS FOR EACH OF THESE ENTITIES FOR THE CALENDAR YEAR ENDED DECEMBER 31, 2010 IS SET FORTH BELOW</p> <p>APIS GLOBAL DEEP VALUE OFFSHORE, LTD SHARE OF TOTAL (GROSS) INCOME \$4,485,847 SHARE OF END-OF-YEAR (GROSS) ASSETS \$28,119,097 ASM HUDSON RIVER FUND SHARE OF TOTAL (GROSS) INCOME \$21,042,458 SHARE OF END-OF-YEAR (GROSS) ASSETS \$140,211,637 CALUNIUS LITIGATION RISK FUND LP SHARE OF TOTAL (GROSS) INCOME \$244 SHARE OF END-OF-YEAR (GROSS) ASSETS \$703,103 COLUMBIA/PATRON SCOTLAND LP SHARE OF TOTAL (GROSS) LOSS \$691,720 SHARE OF END-OF-YEAR (GROSS) ASSETS \$8,017,834 FPCM INFLATION-LINKED OPPORTUNITIES FUND, LTD SHARE OF TOTAL (GROSS) INCOME \$57,235,335 SHARE OF END-OF-YEAR (GROSS) ASSETS \$1,415,363,673 HARBOUR LITIGATION INVESTMENT OFFSHORE FUND SHARE OF TOTAL (GROSS) INCOME \$0 SHARE OF END-OF-YEAR (GROSS) ASSETS \$1,652,147 INDEA LONG TERM OPPORTUNITIES FUND SHARE OF TOTAL (GROSS) INCOME \$3,352,009 SHARE OF END-OF-YEAR (GROSS) ASSETS \$55,995,339 NCH INVESTORS FUND (CU) CORP SHARE OF TOTAL (GROSS) INCOME \$214,568 SHARE OF END-OF-YEAR (GROSS) ASSETS \$2,409,357 ----- SCHEDULE R, PART IV AS OF JUNE 30, 2011, THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK HELD MORE THAN A 50% BENEFICIAL INTEREST IN 57 CHARITABLE REMAINDER TRUSTS DOMICILED IN NEW YORK, 2 CHARITABLE REMAINDER TRUSTS DOMICILED IN FLORIDA, AND 4 POOLED INCOME FUNDS DOMICILED IN NEW YORK</p>

Form 990, Schedule R, Part I - Identification of Disregarded Entities

(a) Name, address, and EIN of disregarded entity	(b) Primary Activity	(c) Legal Domicile (State or Foreign Country)	(d) Total income (\$)	(e) End-of-year assets (\$)	(f) Direct Controlling Entity
COLUMBIA INVESTMENT MGT COMPANY LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	INVEST MGMT	NY	0	0	NA
CIM I LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901851	INVEST HOLDNG	DE	0	467,849,177	NA
CIM II LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901854	INVEST HOLDNG	DE	0	0	NA
CIM III LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901855	INVEST HOLDNG	DE	0	185,357	NA
CIM IV LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901856	INVEST HOLDNG	DE	8,908,454	206,010,349	NA
CIM V LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901861	INVEST HOLDNG	DE	4,047,668	27,864,080	NA
CONQUEST MANAGED FUTURES SELECT FUND II 540 MADISON AVENUE 20TH FLOOR NEW YORK, NY 10022	INVESTMENTS	DE	4,047,668	27,864,080	CIM V
CIM VI LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901857	INVEST HOLDNG	DE	3,478,181	0	NA
CIM VII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901858	INVEST HOLDNG	DE	0	0	NA
CIM VIII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901859	INVEST HOLDNG	DE	0	0	NA
CIM IX LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901860	INVEST HOLDNG	DE	0	0	NA
CIM X LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901862	INVEST HOLDNG	DE	0	0	NA
CIM XI LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901863	INVEST HOLDNG	DE	15,964	15,700	NA
CIM XII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901864	INVEST HOLDNG	DE	-2,787,135	18,235,234	NA
CIM XIII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901865	INVEST HOLDNG	DE	700,000	40,031,445	NA
HAMILTON FORESTS LP 15 PIEDMONT CENTER SUITE 1250 ATLANTA, GA 30305	INVESTMENTS	DE	700,000	40,031,445	CIM XIII
CIM PARENT LLC 15 PIEDMONT CENTER SUITE 1250 ATLANTA, GA 30305	INVESTMENTS	DE	-109,387	40,209,501	HAMILTON
CIM TIMBER LLC 15 PIEDMONT CENTER SUITE 1250 ATLANTA, GA 30305	INVESTMENTS	DE	-109,387	40,145,407	CIM PARENT
CIM XIV LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901866	INVEST HOLDNG	DE	1,989,355	27,145	NA
CIM XV LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901868	INVEST HOLDNG	DE	8,818,175	66,911,254	NA
CIM XVI LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3951901	INVEST HOLDNG	DE	3,761	0	NA
CIM XVII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 14-1977498	INVEST HOLDNG	DE	-6,138	5,562,554	NA
CIM XVIII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 14-1977500	INVEST HOLDNG	DE	0	0	NA
CIM XIX LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 14-1977503	INVEST HOLDNG	DE	0	0	NA
CIM XX LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 14-1977505	INVEST HOLDNG	DE	0	0	NA
CIM XXI LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 14-1977507	INVEST HOLDNG	DE	0	0	NA
DYNAMO BRASIL VI LLC 1209 ORANGE STREET WILMINGTON, DE 19801 13-5598093	INVESTMENTS	DE	0	134,141,418	NA
COLUMBIA AFFILIATED PHYSICIANS IPA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 26-2162648	PHYSICNS PRAC	NY	0	0	NA
COLUMBIA DIGITAL KNOWLEDGE VENTURES LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 06-1576880	NEW MEDIA	NY	0	0	NA
HITHER PROPERTY LLC 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 27-0201222	REAL ESTATE	DE	0	0	NA
COLUMBIA GLOBAL CENTERS LLC 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 13-5598093	EDUCATION	NY	0	0	NA
COLUMBIA GLOBAL RESEARCH INITIATIVES LLC 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 13-5598093	EDUCATION	NY	0	0	NA
CU MIDDLE EAST RESEARCH CENTER LLC 5 MOHD AL SAD AL-BATAYNEH ST P O BOX 144706,AMMAN 11814 JO 13-5598093	EDUCATION	JO	0	4,243,306	NA
CU GSAPP LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	EDUCATION	NY	0	0	NA
CU STUDIO LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	EDUCATION	NY	0	0	NA
EI RWANDA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTH RESCH	NY	0	0	NA
MSPH ETHIOPIA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	4,739,320	NA
MSPH KENYA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	6,030,957	NA
MSPH LESOTHO LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	1,333,813	NA
MSPH MOZAMBIQUE LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	9,021,474	NA
MSPH NIGERIA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	11,678,208	NA
MSPH RWANDA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	3,357,332	NA
MSPH SOUTH AFRICA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	4,705,339	NA
MSPH TANZANIA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	9,939,164	NA
MSPH TAJIKISTAN LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	0	NA

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512 (b)(13) controlled organization	
						Yes	No
CIS I LLC  615 WEST 131ST STREET MC8741 NEW YORK, NY10027 13-3901821	TITLE HOLDING	NY	501(C)(2)	N/A	COLUMBIA	Yes	
CIS II LLC  615 WEST 131ST STREET MC8741 NEW YORK, NY10027 13-3901826	TITLE HOLDING	NY	501(C)(2)	N/A	COLUMBIA	Yes	
COLUMBIA OPTHALMOLOGY CONSULTANTS INC  635 WEST 165TH STREET ROOM 218 NEW YORK, NY10032 13-3908637	HEALTHCARE	NY	501(C)(3)	7	COLUMBIA	Yes	
COLUMBIA UNIVERSITY HEALTH CARE INC  630 WEST 168TH STREET NEW YORK, NY10032 13-3948652	HEALTHCARE	NY	501(C)(3)	9	COLUMBIA	Yes	
COL PRESBYTERIAN MEDICAL CENTER FUND INC  630 WEST 168TH STREET NEW YORK, NY10032 13-6162924	FUNDRAISING	NY	501(C)(3)	11A	NA		No
COL UNIV RETIREE MED LIFE INS BNFITS TR  535 WEST 116TH STREET NEW YORK, NY10027 13-3800106	BENEFITS SUPP	NY	501(C)(3)	11A	COLUMBIA	Yes	
COLUMBIA UNIVERSITY PRESS  136 SOUTH BROADWAY IRVINGTON, NY10533 13-1623968	ACADEMIC PUB	NY	501(C)(3)	11A	COLUMBIA	Yes	
FRIENDS OF COLUMBIA PSYCHOANALYTIC CTR  1051 RIVERSIDE DRIVE NEW YORK, NY10032 13-3118570	EDUC SUPPORT	NY	501(C)(3)	11A	NA		No
MORNINGSIDE HEIGHTS LEGAL SERVICES INC  435 WEST 116TH STREET BOX C16 RM8 NEW YORK, NY10027 51-0192355	CLINICAL EDUC	NY	501(C)(3)	11A	COLUMBIA	Yes	
REID HALL INC  535 WEST 116TH STREET NEW YORK, NY10027 13-1611126	EDU/CUL EXCH	NY	501(C)(3)	11A	COLUMBIA	Yes	
CENTRE UNIVERSITAIRE AMERICAN REID HALL  535 WEST 116TH STREET NEW YORK, NY10027	EDUCATION	FR	N/A	N/A	REID HALL	Yes	
COLUMBIA UNIVERSITY PROGRAMS IN PARIS  4 RUE DE CHEVREUSE PARIS 75006 FR	EDUCATION	FR	N/A	N/A	REID HALL	Yes	

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						Yes	No
CU NEW YORK  4 RUE DE CHEVREUSE PARIS 75006 FR	EDUCATION	FR	N/A	N/A	REID HALL	Yes	
RESEARCH COLLECTIONS AND PRES CONSORTIUM  400 FORRESTAL ROAD PRINCETON, NJ08540 22-3751732	LIBRARY COLL	NJ	501(C)(3)	11A	NA		No
FOUNDATION FOR CTR FOR ENERGY MARINE  3225 GALLOWS RD FAIRFAX, VA22037 54-1962639	EDUC SUPPORT	DE	501(C)(3)	11	NA		No
THE CLYDE & HELEN WU FAMILY FOUNDATION  1275 AUDOBON AVENUE GROSSE POINTE PARK, MI48230 38-3441481	EDUC SUPPORT	MI	501(C)(3)	11	NA		No
CENTER FOR ENVIRONMENT ECONOMY & SOCIETY  2852 BROADWAY NEW YORK, NY10025	RESEARCH	DR	N/A	N/A	CIP	Yes	
CENTER FOR NATL HLTH DEVELP IN ETHIOPIA  KEBELE 06 H NO 447 P O BOX 664,ADDIS ABABA CODE 1250 ET	HEALTHCARE	ET	N/A	N/A	COLUMBIA	Yes	
CENTERS FOR INTERNATIONAL PROGRAMS INC  535 WEST 116TH STREET NEW YORK, NY10027 13-5598093	EDUC OUTREACH	DE	N/A	N/A	COLUMBIA	Yes	
CENTERS FOR INTERNATIONAL PROJECTS TRUST  APT 101 K-62 HAUZ KHAS ENCLAVE NEW DELHI 110016 IN	RESEARCH	IN	N/A	N/A	CIP	Yes	
COLUMBIA GLOBAL CENTER IN INDIA  630 W 168TH STREET NEW YORK, NY10032	RESEARCH	IN	N/A	N/A	CGC LLC	Yes	
COLUMBIA UK FUND LTD  615 WEST 131ST STREET MC8741 NEW YORK, NY10027	FUNDRAISING	UK	N/A	N/A	COLUMBIA	Yes	
COLUMBIA UNIVERSITY HONG KONG FUND  GPO BOX 5314 CONNAUGHT PLACE HK	FUNDRAISING	HK	N/A	N/A	COLUMBIA	Yes	
GLOBAL HEALTH RESEARCH CNTR-CENTRAL ASIA  1255 AMSTERDAM AVENUE NEW YORK, NY10027	RESEARCH	DE	N/A	N/A	COLUMBIA	Yes	

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c) (3))	(f) Direct controlling entity	(g) Section 512 (b)(13) controlled organization	
						Yes	No
MSPH SWAZILAND  615 WEST 131ST STREET MC8741 NEW YORK, NY10027	HEALTHCARE	NY	N/A	N/A	CIP	Yes	



Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income (\$)	(g) Share of end-of-year assets (\$)	(h) Percentage ownership
16 EAST 60TH STREET CORPORATION 615 WEST 131ST STREET MC 8741 NEW YORK, NY10027 13-3675222	REAL ESTATE	NY	NA	C-CORP	0	0	100 000 %
2301 12TH AVENUE OWNERS CORP 615 WEST 131ST STREET MC 8741 NEW YORK, NY10027 99-1786950	REAL ESTATE	NY	NA	C-CORP	0	0	100 000 %
COLUMBIA PRESBYTERIAN IPA 161 FORT WASHINGTON AVE SUITE 132 NEW YORK, NY10032 13-3396165	PHYS PRACTICE	NY	NA	C-CORP	0	0	100 000 %
FATHOM KNOWLEDGE NETWORK INC 615 WEST 131ST STREET MC 8741 NEW YORK, NY10027 13-4054997	NEW MEDIA	NY	NA	C-CORP	0	0	100 000 %
FREEZER BOX INC 615 WEST 131ST STREET MC 8741 NEW YORK, NY10027 99-1596696	REAL ESTATE	NY	NA	C-CORP	0	0	100 000 %
MORNINGSIDE INC 311 LOW MEMORIAL LIBRARY NEW YORK, NY10027 06-1430120	REAL ESTATE	NY	NA	C-CORP	0	0	100 000 %
ALLIANCE FOR COMMUNITY ENHANCEMENT AT CU 615 WEST 131ST STREET MC 8741 NEW YORK, NY10027 13-4159743	COMM OUTREACH	NY	NA	C-CORP	0	0	100 000 %
COLUMBIA COMMITTEE FOR COMMUNITY SERVICE 615 WEST 131ST STREET MC 8741 NEW YORK, NY10027 13-6168288	SUPPORT COMM	NY	NA	C-CORP	0	0	100 000 %
COLUMBIA DOCTORS OF NEW JERSEY 630 WEST 168TH STREET NEW YORK, NY10032 26-4364931	HEALTHCARE	NJ	NA	C-CORP	1,135,104	422,386	100 000 %
ASSC FOR COLLABORATIVE STUDIO X PROJECTS PRACA TIRADENTES 48 - CENTRO RIO DE JANEIRO 20060-070 BR	RESEARCH	BR	NA	PRIVATE LAW ASC	0	0	100 000 %
COLUMBIA INTERNATIONAL CENTER IN BEIJING TOWERA TSINGHUA SCIENCEPARK CHENGFURD,HAIDIAN 100084 CH	EDUCATION	CH	CGC LLC	FOREIGN CORP	0	0	100 000 %
COL GLOBAL CENTER EASTERN & SOUTH AFRICA PO BOX 51412 NAIROBI 00100 KE	RESEARCH	KE	CIP LLC	LTD GUARANTEE	0	0	100 000 %
COL GLOBAL DANISMANLIK VE ARASTIRMA MECIDIYE MAH MAVI APT NO 37/7 ISTANBUL TU	RESEARCH	TU	CGC LLC	LTD COMPANY	0	0	100 000 %
COL RESEARCH & CONSULTANCY SERVICES PLC EXPRESS TOWERS - 11TH FLOOR MUMBAI 400021 IN	RESEARCH	IN	CIP LLC	LTD COMPANY	0	0	100 000 %
APIS GLOBAL DEEP VALUE OFFSHORE LTD A HOUSE 20 GENESIS H PO BOX 1344 GRAND CAYMAN KY1-1108 CJ	INVESTMENTS	CJ	NA	FOREIGN CORP	-3,769,243	0	77 690 %
ASM HUDSON RIVER FUND WALKER HOUSE 87 MARY STREET GEORGE TOWN, GRAND CAYMAN KY1- 9002 CJ	INVESTMENTS	CJ	NA	REG MUTUAL FUND	3,959,446	130,860,000	100 000 %
CALUNIUS LITIGATION RISK FUND LP 1ST FLOOR DOREY COURT ADMIRAL PARK ST PETER PORT, GUERNSEY GY1 6HJ GK 98-0684876	INVESTMENTS	GK	NA	FOREIGN CORP	0	1,239,407	75 000 %
COLUMBIAPATRON SCOTLAND LP 50 LOTHIAN ROAD FESTIVAL SQUARE EDINBURGH, SCOTLAND EH3 9BY UK	INVESTMENTS	UK	NA	FOREIGN CORP	32,533	9,778,909	100 000 %
FPCM INFLATION LINKED OPPORTUNITIES LTD WALKER HOUSE 87 MARY STREET GEORGE TOWN, GRAND CAYMAN KY1- 9002 CJ	INVESTMENTS	CJ	CIM I LLC	FOREIGN CORP	0	467,849,177	100 000 %
HARBOUR LITIGATION INVEST OFFSHORE FUND PO BOX 309 UGLAND HOUSE GRAND CAYMAN KY1-1104 CJ 98-0660716	INVESTMENTS	CJ	NA	FOREIGN CORP	8,813	3,347,215	100 000 %

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income (\$)	(g) Share of end-of-year assets (\$)	(h) Percentage ownership
INDEA LONG TERM OPPORTUNITIES FUND WALKERS SPV LTD PO BOX 908GT GEORGE TOWN, GRAND CAYMAN KY1-11 CJ	INVESTMENTS	CJ	NA	FOREIGN CORP	0	50,730,000	51 500 %
NCH INVESTORS FUND (CU) CORP UGLAND S CHURCH ST PO BOX 309 GEORGE TOWN, GRAND CAYMAN KY1-1104 CJ	INVESTMENTS	CJ	NA	FOREIGN CORP	180,756	2,409,357	100 000 %
CHARITABLE REMAINDER TRUSTS (57)	N/A	NY	NA	TRUST			
CHARITABLE REMAINDER TRUSTS (2)	N/A	FL	NA	TRUST			
POOLED INCOME FUNDS (4)	N/A	NY	NA	TRUST			

Form 990, Schedule R, Part V - Transactions With Related Organizations

(a) Name of other organization		(b) Transaction type(a-r)	(c) Amount Involved (\$)	(d) Method of determining amount involved
(1)	AP MC FUND I LP	B	481,366	FMV
(2)	COLUMBIA UNIVERSITY PRESS	B	956,000	FMV
(3)	REID HALL INC	B	232,010	FMV
(4)	REID HALL INC	A	15,066	FMV
(5)	COLUMBIA OPHTHALMOLOGY CONSULTANTS INC	P	52,000	FMV
(6)	COLUMBIA UNIVERSITY HEALTH CARE INC	B	4,584,671	FMV
(7)	COLUMBIAPATRON SCOTLAND LP	B	695,246	FMV
(8)	CALUNIUS LITIGATION RISK FUND LP	B	1,036,649	FMV
(9)	CU RETIREE MEDICAL & LIFE INS BENEFITS TR	Q	11,195,453	FMV
(10)	MORNINGSIDE HEIGHTS LEGAL SERVICES INC	R	65,307	FMV
(11)	HARBOUR LITIGATION INVEST OFFSHORE FUND	B	2,289,600	FMV

**SCHEDULE K  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Information on Tax-Exempt Bonds**

► **Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information on Schedule O (Form 990).**

► **Attach to Form 990.**

► **See separate instructions.**

OMB No 1545-0047

**2010**

**Open to Public  
Inspection**

Name of the organization **THE TRUSTEES OF COLUMBIA UNIVERSITY  
IN THE CITY OF NEW YORK**

**Employer identification number**  
**13-5598093**

**Part I Bond Issues**

(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pooled Financing	
						Yes	No	Yes	No	Yes	No
<b>A</b> D UNIT F4 RUTH FITA F TATE F NEW J FI 0005AE	14-0000000	04001F4	01/05/2000	100,000,000	FUND NEW UNLIFIED EF TE T						
<b>B</b> D UNIT F4 RUTH FITA F TATE F NEW J FI 0004E	14-0000000	04001F4	01/05/2000	75,547,466	FUND 104E E ND						
<b>C</b> D UNIT F4 RUTH FITA F TATE F NEW J FI 0004EW	14-0000000	04001F4	01/05/2000	157,343,063	FUND NEW UNLIFIED EF TE T						
<b>D</b> D UNIT F4 RUTH FITA F TATE F NEW J FI 0004E	14-0000000	04001F4	01/05/2000	21,780,000	FUND NEW UNLIFIED EF TE T						

**Part II Proceeds**

	<b>A</b>		<b>B</b>		<b>C</b>		<b>D</b>	
<b>1</b> Amount of bonds retired . . . . .	24,655,000.		39,320,000.		26,385,000.		21,780,000.	
<b>2</b> Amount of bonds legally defeased . . . . .	0.		0.		0.		0.	
<b>3</b> Total proceeds of issue . . . . .	123,718,793.		75,547,466.		159,406,375.		246,367,334.	
<b>4</b> Gross proceeds in reserve funds . . . . .	0.		0.		0.		0.	
<b>5</b> Capitalized interest from proceeds . . . . .	0.		0.		0.		0.	
<b>6</b> Proceeds in refunding escrows . . . . .	0.		0.		0.		0.	
<b>7</b> Issuance costs from proceeds . . . . .	802,880.		0.		1,020,611.		1,516,855.	
<b>8</b> Credit enhancement from proceeds . . . . .	0.		0.		0.		0.	
<b>9</b> Working capital expenditures from proceeds . . . . .	0.		0.		0.		0.	
<b>10</b> Capital expenditures from proceeds . . . . .	122,097,219.		0.		157,343,063.		243,286,427.	
<b>11</b> Other spent proceeds . . . . .	818,694.		75,547,466.		1,042,701.		1,564,052.	
<b>12</b> Other unspent proceeds . . . . .	0.		0.		0.		0.	
<b>13</b> Year of substantial completion . . . . .	2004				2007		2009	
	<b>Yes</b>	<b>No</b>	<b>Yes</b>	<b>No</b>	<b>Yes</b>	<b>No</b>	<b>Yes</b>	<b>No</b>
<b>14</b> Were the bonds issued as part of a current refunding issue? . . . . .		X	X			X		X
<b>15</b> Were the bonds issued as part of an advance refunding issue? . . . . .		X		X		X		X
<b>16</b> Has the final allocation of proceeds been made? . . . . .	X		X		X		X	
<b>17</b> Does the organization maintain adequate books and records to support the final allocation of proceeds? . . . . .	X		X		X		X	

**Part III Private Business Use**

	<b>A</b>		<b>B</b>		<b>C</b>		<b>D</b>	
<b>1</b> Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds? . . . . .	<b>Yes</b>	<b>No</b>	<b>Yes</b>	<b>No</b>	<b>Yes</b>	<b>No</b>	<b>Yes</b>	<b>No</b>
		X				X		X
<b>2</b> Are there any lease arrangements that may result in private business use of bond-financed property . . . . .	X				X		X	

For Paperwork Reduction Act Notice, see the Instructions for Form 990

Schedule K (Form 990) 2010

**SCHEDULE K  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Information on Tax-Exempt Bonds**

► **Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information on Schedule O (Form 990).**

► **Attach to Form 990.**

► **See separate instructions.**

OMB No 1545-0047

**2010**

**Open to Public  
Inspection**

Name of the organization **THE TRUSTEES OF COLUMBIA UNIVERSITY  
IN THE CITY OF NEW YORK**

**Employer identification number**  
**13-5598093**

**Part I Bond Issues**

(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pooled Financing	
						Yes	No	Yes	No	Yes	No
<b>A</b> D EBIT F L RUTH F I T F T E F N E W A F I _ _ _ _ E	14-0000000	040000000	01/15/2000	107,000,000	FUND NEW UNLIFIED EF TE T						
<b>B</b> D EBIT F L RUTH F I T F T E F N E W A F I _ _ _ _ E	14-0000000	040000000	04/15/2000	107,000,000	FUND NEW UNLIFIED EF TE T						
<b>C</b> D EBIT F L RUTH F I T F T E F N E W A F I _ _ _ _ E	14-0000000	040000000	05/14/2000	117,000,000	FUND NEW UNLIFIED EF TE T						
<b>D</b> D EBIT F L RUTH F I T F T E F N E W A F I _ _ _ _ E	14-0000000	040000000	02/15/2011	1,102,100	FUND NEW UNLIFIED EF TE T						

**Part II Proceeds**

	<b>A</b>		<b>B</b>		<b>C</b>		<b>D</b>	
<b>1</b> Amount of bonds retired . . . . .	13,625,000.		0.		0.		0.	
<b>2</b> Amount of bonds legally defeased . . . . .	0.		0.		0.		0.	
<b>3</b> Total proceeds of issue . . . . .	167,228,781.		295,785,662.		117,067,254.		312,102,192.	
<b>4</b> Gross proceeds in reserve funds . . . . .	0.		0.		0.		0.	
<b>5</b> Capitalized interest from proceeds . . . . .	0.		0.		0.		0.	
<b>6</b> Proceeds in refunding escrows . . . . .	41,168,413.		0.		0.		0.	
<b>7</b> Issuance costs from proceeds . . . . .	1,095,577.		1,641,578.		823,388.		1,678,394.	
<b>8</b> Credit enhancement from proceeds . . . . .	0.		0.		0.		0.	
<b>9</b> Working capital expenditures from proceeds . . . . .	0.		0.		0.		0.	
<b>10</b> Capital expenditures from proceeds . . . . .	0.		292,178,836.		115,269,253.		191,470,723.	
<b>11</b> Other spent proceeds . . . . .	124,964,791.		1,965,248.		974,613.		2,574,008.	
<b>12</b> Other unspent proceeds . . . . .	0.		0.		0.		116,379,277.	
<b>13</b> Year of substantial completion . . . . .			2009		2009			
	<b>Yes</b>	<b>No</b>	<b>Yes</b>	<b>No</b>	<b>Yes</b>	<b>No</b>	<b>Yes</b>	<b>No</b>
<b>14</b> Were the bonds issued as part of a current refunding issue? . . . . .		X		X		X		X
<b>15</b> Were the bonds issued as part of an advance refunding issue? . . . . .	X			X		X		X
<b>16</b> Has the final allocation of proceeds been made? . . . . .	X			X		X		X
<b>17</b> Does the organization maintain adequate books and records to support the final allocation of proceeds? . . . . .	X		X		X		X	

**Part III Private Business Use**

	<b>A</b>		<b>B</b>		<b>C</b>		<b>D</b>	
<b>1</b> Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds? . . . . .	<b>Yes</b>	<b>No</b>	<b>Yes</b>	<b>No</b>	<b>Yes</b>	<b>No</b>	<b>Yes</b>	<b>No</b>
				X		X		X
<b>2</b> Are there any lease arrangements that may result in private business use of bond-financed property . . . . .			X		X		X	

For Paperwork Reduction Act Notice, see the Instructions for Form 990

Schedule K (Form 990) 2010

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PAGE 2

**Part III Private Business Use (Continued)**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
<b>3a</b> Are there any management or service contracts that may result in private business use of bond-financed property? . . . . .	X				X		X	
<b>b</b> Are there any research agreements that may result in private business use of bond-financed property? . . . . .	X				X		X	
<b>c</b> Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property? . . . . .	X				X		X	
<b>4</b> Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government . . . . . ▶	0.0000 %				0.0000 %		.2300 %	
<b>5</b> Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government . . . . . ▶	0.0000 %				0.0000 %		.0600 %	
<b>6</b> Total of lines 4 and 5 . . . . .	0.0000 %				0.0000 %		.2900 %	
<b>7</b> Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities? . . . . .	X				X		X	

**Part IV Arbitrage**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
<b>1</b> Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue? . . . . .		X		X		X		X
<b>2</b> Is the bond issue a variable rate issue? . . . . .		X		X		X		X
<b>3a</b> Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue? . . . . .		X		X		X		X
<b>b</b> Name of provider . . . . .								
<b>c</b> Term of hedge . . . . .								
<b>d</b> Was the hedge superintegrated? . . . . .								
<b>e</b> Was the hedge terminated? . . . . .								
<b>4a</b> Were gross proceeds invested in a GIC? . . . . .		X		X		X		X
<b>b</b> Name of provider . . . . .								
<b>c</b> Term of GIC . . . . .								
<b>d</b> Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied? . . . . .								
<b>5</b> Were any gross proceeds invested beyond an available temporary period? . . . . .		X		X		X		X
<b>6</b> Did the bond issue qualify for an exception to rebate? . . . . .	X			X	X		X	

**Part V Supplemental Information. Complete this part to provide additional information for responses to questions on Schedule K (see instructions)**

**Part III Private Business Use (Continued)**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
<b>3a</b> Are there any management or service contracts that may result in private business use of bond-financed property? . . . . .								
<b>b</b> Are there any research agreements that may result in private business use of bond-financed property? . . . . .								
<b>c</b> Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property? . . . . .								
<b>4</b> Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government . . . . . ▶		%		%		%		%
<b>5</b> Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government . . . . . ▶		%		%		%		%
<b>6</b> Total of lines 4 and 5 . . . . .		%		%		%		%
<b>7</b> Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities? . . . . .								

**Part IV Arbitrage**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
<b>1</b> Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue? . . . . .								
<b>2</b> Is the bond issue a variable rate issue? . . . . .								
<b>3a</b> Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue? . . . . .								
<b>b</b> Name of provider . . . . .								
<b>c</b> Term of hedge . . . . .								
<b>d</b> Was the hedge superintegrated? . . . . .								
<b>e</b> Was the hedge terminated? . . . . .								
<b>4a</b> Were gross proceeds invested in a GIC? . . . . .								
<b>b</b> Name of provider . . . . .								
<b>c</b> Term of GIC . . . . .								
<b>d</b> Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied? . . . . .								
<b>5</b> Were any gross proceeds invested beyond an available temporary period? . . . . .								
<b>6</b> Did the bond issue qualify for an exception to rebate? . . . . .								

**Part V Supplemental Information. Complete this part to provide additional information for responses to questions on Schedule K (see instructions)**

SCHEDULE K, PART II, LINE 3  
DIFFERENCES IN AMOUNTS ENTERED IN PART I, COLUMN (E) AND PART II, LINE 3  
REPRESENT INVESTMENT EARNINGS.

**Part III Private Business Use (Continued)**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
<b>3a</b> Are there any management or service contracts that may result in private business use of bond-financed property? . . . . .								
<b>b</b> Are there any research agreements that may result in private business use of bond-financed property? . . . . .								
<b>c</b> Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property? . . . . .								
<b>4</b> Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government . . . . . ▶		%		%		%		%
<b>5</b> Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government . . . . . ▶		%		%		%		%
<b>6</b> Total of lines 4 and 5 . . . . .		%		%		%		%
<b>7</b> Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities? . . . . .								

**Part IV Arbitrage**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
<b>1</b> Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue? . . . . .								
<b>2</b> Is the bond issue a variable rate issue? . . . . .								
<b>3a</b> Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue? . . . . .								
<b>b</b> Name of provider . . . . .								
<b>c</b> Term of hedge . . . . .								
<b>d</b> Was the hedge superintegrated? . . . . .								
<b>e</b> Was the hedge terminated? . . . . .								
<b>4a</b> Were gross proceeds invested in a GIC? . . . . .								
<b>b</b> Name of provider . . . . .								
<b>c</b> Term of GIC . . . . .								
<b>d</b> Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied? . . . . .								
<b>5</b> Were any gross proceeds invested beyond an available temporary period? . . . . .								
<b>6</b> Did the bond issue qualify for an exception to rebate? . . . . .								

**Part V Supplemental Information. Complete this part to provide additional information for responses to questions on Schedule K (see instructions)**

SCHEDULE K, PART II, LINE 11

OTHER SPENT PROCEEDS AMOUNTS REPORTED ON SCHEDULE K, PART II, LINE 11

REPRESENT THE NEW YORK STATE BOND TAX ASSOCIATED WITH EACH ISSUE.

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**Part III Private Business Use (Continued)**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
<b>3a</b> Are there any management or service contracts that may result in private business use of bond-financed property? . . . . .								
<b>b</b> Are there any research agreements that may result in private business use of bond-financed property? . . . . .								
<b>c</b> Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property? . . . . .								
<b>4</b> Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government . . . . . ▶		%		%		%		%
<b>5</b> Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government . . . . . ▶		%		%		%		%
<b>6</b> Total of lines 4 and 5 . . . . .		%		%		%		%
<b>7</b> Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities? . . . . .								

**Part IV Arbitrage**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
<b>1</b> Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue? . . . . .								
<b>2</b> Is the bond issue a variable rate issue? . . . . .								
<b>3a</b> Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue? . . . . .								
<b>b</b> Name of provider . . . . .								
<b>c</b> Term of hedge . . . . .								
<b>d</b> Was the hedge superintegrated? . . . . .								
<b>e</b> Was the hedge terminated? . . . . .								
<b>4a</b> Were gross proceeds invested in a GIC? . . . . .								
<b>b</b> Name of provider . . . . .								
<b>c</b> Term of GIC . . . . .								
<b>d</b> Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied? . . . . .								
<b>5</b> Were any gross proceeds invested beyond an available temporary period? . . . . .								
<b>6</b> Did the bond issue qualify for an exception to rebate? . . . . .								

**Part V Supplemental Information. Complete this part to provide additional information for responses to questions on Schedule K (see instructions)**

SCHEDULE K, PART II, LINE 13

**Part III Private Business Use (Continued)**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
<b>3a</b> Are there any management or service contracts that may result in private business use of bond-financed property? . . . . .								
<b>b</b> Are there any research agreements that may result in private business use of bond-financed property? . . . . .								
<b>c</b> Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property? . . . . .								
<b>4</b> Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government . . . . . ▶		%		%		%		%
<b>5</b> Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government . . . . . ▶		%		%		%		%
<b>6</b> Total of lines 4 and 5 . . . . .		%		%		%		%
<b>7</b> Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities? . . . . .								

**Part IV Arbitrage**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
<b>1</b> Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue? . . . . .								
<b>2</b> Is the bond issue a variable rate issue? . . . . .								
<b>3a</b> Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue? . . . . .								
<b>b</b> Name of provider . . . . .								
<b>c</b> Term of hedge . . . . .								
<b>d</b> Was the hedge superintegrated? . . . . .								
<b>e</b> Was the hedge terminated? . . . . .								
<b>4a</b> Were gross proceeds invested in a GIC? . . . . .								
<b>b</b> Name of provider . . . . .								
<b>c</b> Term of GIC . . . . .								
<b>d</b> Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied? . . . . .								
<b>5</b> Were any gross proceeds invested beyond an available temporary period? . . . . .								
<b>6</b> Did the bond issue qualify for an exception to rebate? . . . . .								

**Part V Supplemental Information. Complete this part to provide additional information for responses to questions on Schedule K (see instructions)**

YEAR OF SUBSTANTIAL COMPLETION LINE 13 HAS BEEN LEFT BLANK FOR SERIES 2004A AND 2006B ISSUES SINCE THESE WERE REFUNDING ISSUES AND AS SUCH NO PROJECTS WERE ASSOCIATED WITH THE BONDS.

**Part III Private Business Use (Continued)**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
<b>3a</b> Are there any management or service contracts that may result in private business use of bond-financed property? . . . . .								
<b>b</b> Are there any research agreements that may result in private business use of bond-financed property? . . . . .								
<b>c</b> Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property? . . . . .								
<b>4</b> Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government . . . . . ▶		%		%		%		%
<b>5</b> Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government . . . . . ▶		%		%		%		%
<b>6</b> Total of lines 4 and 5 . . . . .		%		%		%		%
<b>7</b> Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities? . . . . .								

**Part IV Arbitrage**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
<b>1</b> Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue? . . . . .								
<b>2</b> Is the bond issue a variable rate issue? . . . . .								
<b>3a</b> Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue? . . . . .								
<b>b</b> Name of provider . . . . .								
<b>c</b> Term of hedge . . . . .								
<b>d</b> Was the hedge superintegrated? . . . . .								
<b>e</b> Was the hedge terminated? . . . . .								
<b>4a</b> Were gross proceeds invested in a GIC? . . . . .								
<b>b</b> Name of provider . . . . .								
<b>c</b> Term of GIC . . . . .								
<b>d</b> Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied? . . . . .								
<b>5</b> Were any gross proceeds invested beyond an available temporary period? . . . . .								
<b>6</b> Did the bond issue qualify for an exception to rebate? . . . . .								

**Part V Supplemental Information. Complete this part to provide additional information for responses to questions on Schedule K (see instructions)**

SCHEDULE K, PART III, LINES 4-5  
PRIVATE BUSINESS USE PERCENTAGE

**Part III Private Business Use (Continued)**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
<b>3a</b> Are there any management or service contracts that may result in private business use of bond-financed property? . . . . .								
<b>b</b> Are there any research agreements that may result in private business use of bond-financed property? . . . . .								
<b>c</b> Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property? . . . . .								
<b>4</b> Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government . . . . . ▶		%		%		%		%
<b>5</b> Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government . . . . . ▶		%		%		%		%
<b>6</b> Total of lines 4 and 5 . . . . .		%		%		%		%
<b>7</b> Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities? . . . . .								

**Part IV Arbitrage**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
<b>1</b> Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue? . . . . .								
<b>2</b> Is the bond issue a variable rate issue? . . . . .								
<b>3a</b> Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue? . . . . .								
<b>b</b> Name of provider . . . . .								
<b>c</b> Term of hedge . . . . .								
<b>d</b> Was the hedge superintegrated? . . . . .								
<b>e</b> Was the hedge terminated? . . . . .								
<b>4a</b> Were gross proceeds invested in a GIC? . . . . .								
<b>b</b> Name of provider . . . . .								
<b>c</b> Term of GIC . . . . .								
<b>d</b> Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied? . . . . .								
<b>5</b> Were any gross proceeds invested beyond an available temporary period? . . . . .								
<b>6</b> Did the bond issue qualify for an exception to rebate? . . . . .								

**Part V Supplemental Information. Complete this part to provide additional information for responses to questions on Schedule K (see instructions)**

FOR ALL ISSUES, THE UNIVERSITY HAS ALLOCATED EQUITY INVESTED IN THE FINANCED PROPERTIES TO SOURCES OF PRIVATE BUSINESS USE, WITH THE

**Part III Private Business Use** (Continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
<b>3a</b> Are there any management or service contracts that may result in private business use of bond-financed property? . . . . .								
<b>b</b> Are there any research agreements that may result in private business use of bond-financed property? . . . . .								
<b>c</b> Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property? . . . . .								
<b>4</b> Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government . . . . . ▶		%		%		%		%
<b>5</b> Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government . . . . . ▶		%		%		%		%
<b>6</b> Total of lines 4 and 5 . . . . .		%		%		%		%
<b>7</b> Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities? . . . . .								

**Part IV Arbitrage**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
<b>1</b> Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue? . . . . .								
<b>2</b> Is the bond issue a variable rate issue? . . . . .								
<b>3a</b> Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue? . . . . .								
<b>b</b> Name of provider . . . . .								
<b>c</b> Term of hedge . . . . .								
<b>d</b> Was the hedge superintegrated? . . . . .								
<b>e</b> Was the hedge terminated? . . . . .								
<b>4a</b> Were gross proceeds invested in a GIC? . . . . .								
<b>b</b> Name of provider . . . . .								
<b>c</b> Term of GIC . . . . .								
<b>d</b> Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied? . . . . .								
<b>5</b> Were any gross proceeds invested beyond an available temporary period? . . . . .								
<b>6</b> Did the bond issue qualify for an exception to rebate? . . . . .								

**Part V Supplemental Information. Complete this part to provide additional information for responses to questions on Schedule K**(see instructions)

EXCEPTION OF ISSUANCE COSTS, WITHIN THE REQUIRED TIME FRAME AND HAS CAREFULLY MANAGED THE TYPES OF ACTIVITIES CONDUCTED IN BOND-FINANCED FACILITIES. AS SUCH, THE UNIVERSITY HAS REPORTED 0 PRIVATE BUSINESS USE

**Part III Private Business Use** (Continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
<b>3a</b> Are there any management or service contracts that may result in private business use of bond-financed property? . . . . .								
<b>b</b> Are there any research agreements that may result in private business use of bond-financed property? . . . . .								
<b>c</b> Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property? . . . . .								
<b>4</b> Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government . . . . . ▶		%		%		%		%
<b>5</b> Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government . . . . . ▶		%		%		%		%
<b>6</b> Total of lines 4 and 5 . . . . .		%		%		%		%
<b>7</b> Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities? . . . . .								

**Part IV Arbitrage**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
<b>1</b> Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue? . . . . .								
<b>2</b> Is the bond issue a variable rate issue? . . . . .								
<b>3a</b> Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue? . . . . .								
<b>b</b> Name of provider . . . . .								
<b>c</b> Term of hedge . . . . .								
<b>d</b> Was the hedge superintegrated? . . . . .								
<b>e</b> Was the hedge terminated? . . . . .								
<b>4a</b> Were gross proceeds invested in a GIC? . . . . .								
<b>b</b> Name of provider . . . . .								
<b>c</b> Term of GIC . . . . .								
<b>d</b> Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied? . . . . .								
<b>5</b> Were any gross proceeds invested beyond an available temporary period? . . . . .								
<b>6</b> Did the bond issue qualify for an exception to rebate? . . . . .								

**Part V Supplemental Information. Complete this part to provide additional information for responses to questions on Schedule K**(see instructions)

FOR THE SERIES 2003A&B, SERIES 2004B&C, SERIES 2009A, AND SERIES 2011A  
ISSUES AND MINIMAL PRIVATE BUSINESS USE FOR THE REMAINING ISSUES ON  
SCHEDULE K.

**Part III Private Business Use (Continued)**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
<b>3a</b> Are there any management or service contracts that may result in private business use of bond-financed property? . . . . .			X		X		X	
<b>b</b> Are there any research agreements that may result in private business use of bond-financed property? . . . . .			X		X		X	
<b>c</b> Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property? . . . . .			X		X		X	
<b>4</b> Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government . . . . . ▶		%		0.0000 %		0.0000 %		0.0000 %
<b>5</b> Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government . . . . . ▶		%		0.0000 %		0.0000 %		0.0000 %
<b>6</b> Total of lines 4 and 5 . . . . .		%		0.0000 %		0.0000 %		0.0000 %
<b>7</b> Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities? . . . . .			X		X		X	

**Part IV Arbitrage**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
<b>1</b> Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue? . . . . .		X	X			X		X
<b>2</b> Is the bond issue a variable rate issue? . . . . .		X		X	X			X
<b>3a</b> Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue? . . . . .		X		X		X		X
<b>b</b> Name of provider . . . . .								
<b>c</b> Term of hedge . . . . .								
<b>d</b> Was the hedge superintegrated? . . . . .								
<b>e</b> Was the hedge terminated? . . . . .								
<b>4a</b> Were gross proceeds invested in a GIC? . . . . .		X		X		X		X
<b>b</b> Name of provider . . . . .								
<b>c</b> Term of GIC . . . . .								
<b>d</b> Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied? . . . . .								
<b>5</b> Were any gross proceeds invested beyond an available temporary period? . . . . .		X		X		X		X
<b>6</b> Did the bond issue qualify for an exception to rebate? . . . . .		X	X		X		X	

**Part V Supplemental Information. Complete this part to provide additional information for responses to questions on Schedule K (see instructions)**