

See a Social Security Number? Say Something! Report Privacy Problems to https://public.resource.org/privacy Or call the IRS Identity Theft Hotline at 1-800-908-4490



DLN: 93493103008522

Department of the Treasury Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

Open to Public Inspection

A For the 2010 calendar year, or tax year beginning 07-01-2010 and ending 06-30-2011 D Employer identification number B Check if applicable NRDC Action Fund Inc 13-3976062 Address change Doing Business As Name change E Telephone number ☐ Initial return Number and street (or P O box if mail is not delivered to street address) Room/suite (212) 727-2700 40 WEST 20TH STREET Terminated **G** Gross receipts \$ 2,302,865 City or town, state or country, and ZIP + 4 NEW YORK, NY 10011 Amended return Application pending Name and address of principal officer **H(a)** Is this a group return for affiliates? Yes No PETER LEHNER EXEC DIRECTOR 40 WEST 20TH STREET H(b) Are all affiliates included? NEW YORK, NY 10011 If "No," attach a list (see instructions) H(c) Group exemption number ▶ 「 501(c)(3) **▽** Tax-exempt status 501(c) (4) 🖪 (insert no) ☐ 4947(a)(1) or ☐ 527 Website: ► www nrdcactionfund ora L Year of formation 1996 M State of legal domicile NY Part I Summary Briefly describe the organization's mission or most significant activities TO PROMOTE EDUCATIONAL AND LEGISLATIVE ACTIVITIES THAT PROTECT THE ENVIRONMENT, TO ENGAGE IN TARGETED MEDIA CAMPAIGNS AND OTHER OUTREACH THAT PROMOTE NRDC ACTION FUND'S OBJECTIVES ON Activities & Governance **ENVIRONMENTAL ISSUES** 2 Check this box 📂 if the organization discontinued its operations or disposed of more than 25% of its net assets Number of voting members of the governing body (Part VI, line 1a) . . 26 4 23 4 Number of independent voting members of the governing body (Part VI, line 1b) . 5 Total number of individuals employed in calendar year 2010 (Part V, line 2a) . 0 6 0 Total number of volunteers (estimate if necessary) 0 **7a** Total unrelated business revenue from Part VIII, column (C), line 12 . **b** Net unrelated business taxable income from Form 990-T, line 34 0 **Prior Year Current Year** 5,114,826 2,302,865 Contributions and grants (Part VIII, line 1h) . Program service revenue (Part VIII, line 2g) . 0 0 10 Investment income (Part VIII, column (A), lines 3, 4, and 7d) 0 0 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) 0 0 11 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12 2,302,865 5,114,826 13 Grants and similar amounts paid (Part IX, column (A), lines 1-3). 1,450,000 745,000 14 Benefits paid to or for members (Part IX, column (A), line 4) 0 15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-Expenses 390.547 552.507 16a Professional fundraising fees (Part IX, column (A), line 11e) . 0 0 b Total fundraising expenses (Part IX, column (D), line 25) $\blacktriangleright 40,417$ **17** Other expenses (Part IX, column (A), lines 11a-11d, 11f-24f) . 2,087,595 2,057,569 18 3,928,142 3,355,076 Total expenses Add lines 13-17 (must equal Part IX, column (A), line 25) 19 Revenue less expenses Subtract line 18 from line 12 . 1,186,684 -1,052,211 (Assets or | nd Balances | **Beginning of Current End of Year** Year 20 2,949,311 1,694,463 Total assets (Part X, line 16) . . . 21 503,577 300,940 Total liabilities (Part X, line 26) 22 Net assets or fund balances Subtract line 21 from line 20 2,445,734 1,393,523 Signature Block Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge. 2012-04-09 Signature of officer Date Sign Here Peter Lehner Executive Director Type or print name and title Check if self Preparer's signature employed 🕨 Firm's name F GRANT THORNTON LLP Paid

NEW YORK, NY 100174011

May the IRS discuss this return with the preparer shown above? (see instructions) \dots

Fırm's address 🕨 666 THIRD AVENUE

Preparer

Use Only

Phone no 🕨 (212) 599-

Firm's EIN

Par	HII		of Program Serv					 .দ
1	Brief		rganization's mission	<u> </u>				
NRDO PROT STAF NOW	C ACT TECT T RTS TH	ION FUND, ("AC THE ENVIRONM HE CLEAN ENER E TIME FOR LEA	TION FUND"), WAS ENT NRDC ACTION GY ECONOMY, RED	I FUND'S MISSIO UCES POLLUTIO TION FROM OUR	N IS TO ACHIEV N, AND SUSTAIN: ELECTED OFFICI	CATIONAL AND LEGISLA E THE PASSAGE OF LEGI S VIBRANT COMMUNITIE ALS, OUR CURRENT GOA	SLATION THA S FOR ALL AN	T JUMP 1ERICANS
2	the pr	or Form 990 or				which were not listed on	┌ Yes ┌ N	o
3	servi	es?				nducts, any program	┌ Yes ┌ N	lo
4	Desc Secti	ribe the exempt pon 501(c)(3) and	ourpose achievement	s for each of the o	947(a)(1) trusts a	largest program services t are required to report the ar service reported		and
4a	energy Fund environte beingy voice energy staff real A a me scient for of Action have advor No O ballot camp group the p Action most qualif anti-e electe the c environte known debar leade meet ameet ameet ameet ameet ameet	Action Fund brings to by and public health is educates citizens an onment to do the said debated in the halls is in their districts. Po by The poll results re- produced "Running Comerican majority su ssage linking support strice research on effecture message and evall in Fund is taking adva- used social media to cating for environme. N Prop 23 The Action is measure that would con Fund launches swifture and the said possible of the En- port of the En- of the En-	solutions are given a fair d public officials, advocate me EDUCATE The Action is of Congress and provide illing The action fund laund evealed broad-based supports those issues. The ist for clean energy with joctive messaging, placing is uating their impact on columnage of innovative online turn out activists at local interest of the	tizens, lifting their voice learing by honest legistes for pro-environment. Fund is educating the them with the information of the properties of the propert	slators who are serving to solutions and the elect public and elected office fution they need to act a effort to educate the omote clean energy and earn energy, honesty, and video interviews shirtly and health Developing the energy and earn energy, honesty, and video interviews shirtly and health Developing the elected lead of the elected lead	745,000) (Revenue \$ commental majority in America The their constituents instead of power their constituents who support them, as tall we have to address climate change and public health are bad political towed how previous campaigns a pop Messages That Stand Up The Avation messages Additionally, we adders in congress Educating the teather the general public about envirous early messages are heard ADVOC an energy at the ballot box and the everse progress on addressing climate the diffusion for the first of reducing greated the dirty energy proposition action ACTIVATE The Action Fund Without it, this critical constituence for the first of calls and messages to be clean Air Act. At our prodding, a tion Fund has assembled an exclusion Fund has assembled an exclusion Fund has assembled an exclusion fund the most structure them at the most structure them at the most structure them at the most structure the environment and public health progressional intelligence and strand activate them at the most structure them at the most structure them at the most structure them at the structure and water, the Action Fund quie in large part to this strategic efficiency and activate them at the structure and public health	e Action Fund make reiful polluting indicated and activates other with when environmentals listen to the public the depth of supper Running Clean platforms. It demind candidates had action Fund conduct for Fund conduction Fund conduction Fund conduction Fund conduction Fund conduction Fund conduction Fund for the Morganizations, and lobal warming polen. Hold People Resister in those places is reaching out to y's voice has been activists to voice to legislators, urging activists also share usive group of "amakers trust and vitagy decisions." Wategic moments water in 2011, whe civil with the Senate voice in the country work in the country work in the country with the senate voice.	ustries The Action r supporters of the ental issues are ro-environment ort for clean The Action Fund onstrated that the successfully used its cutting-edge ing key audiences al Media The r example, we not is directly ental champions position 23 was a o ON Prop 23" community lution, as well as sponsible The s where it matters provide high is ilenced and their opinions to them to defend dispassadors", alue We educate the help them hen Congress is in Tea Party ambassadors to otted down four , the ambassador to ental insurance in the congress is out the down four the ambassador to otted down four , the ambassador independent in the congress is out the down four the ambassador in the congress is out the down four the ambassador in the congress is out the down four the ambassador in the congress is out the down four the ambassador in the congress is out the down four the ambassador in the congress is out the congress is
4b	(Code	е) (Expenses \$	incl	uding grants of \$) (Revenue \$)
4c	(Code	e) (Expenses \$	ıncl	uding grants of \$) (Revenue \$)
4d		er program servic ienses \$	es (Describe in Sch	edule O) uding grants of \$) (Revenue \$)	
4e	Tota	ıl program service	e expenses ⊩ \$	3,108,535				
							Ec	rm 990 (2010)

Part IV	Checklist	of Red	uired	Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	1		No
2	Is the organization required to complete Schedule B, Schedule of Contributors (see instruction)? 💋	2	Yes	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	3	Yes	
4	Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If</i> "Yes," complete Schedule C, Part II	4		
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	5		No
6	Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	6		No
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? If "Yes," complete Schedule D, Part II	7		No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III	8		No
9	Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV	9		No
10	Did the organization, directly or through a related organization, hold assets in term, permanent,or quasi- endowments? If "Yes," complete Schedule D, Part V	10		No
11	If the organization's answer to any of the following questions is 'Yes,' then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable			
а	Did the organization report an amount for land, buildings, and equipment in Part X, line10? If "Yes," complete Schedule D, Part VI.	11a		No
b	Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII.	11b		No
C	Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII.	11c		No
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX.	11d		No
e	Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X.	11e		No
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X.	11f	Yes	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI, XII, and XIII	12a		No
b	Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered 'No' to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional	12b	Yes	
13	Is the organization a school described in section 170(b)(1)(A)(II)? If "Yes," complete Schedule E	13		No
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		No
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the United States? <i>If</i> "Yes," complete Schedule F, Parts I and IV	14b		No
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the U S? If "Yes," complete Schedule F, Parts II and IV	15		No
16	Did the organization report on Part IX, column (A), line 3, more than $$5,000$ of aggregate grants or assistance to individuals located outside the U S ? If "Yes," complete Schedule F, Parts III and IV.	16		Νο
17	Did the organization report a total of more than \$15,000, of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions)	17		No
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	18		No
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III	19		No
20a	Did the organization operate one or more hospitals? If "Yes," complete Schedule H	20a		Νo
b	If "Yes" to line 20a, did the organization attach its audited financial statement to this return? Note. Some Form 990 filers that operate one or more hospitals must attach audited financial statements (see instructions)	20b		

Par	t IV Checklist of Required Schedules (continued)			
21	Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	21	Yes	
22	Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22		No
23	Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b-24d and complete Schedule K. If "No," go to line 25	24a		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I	25b		Νo
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? If "Yes," complete Schedule L, Part II	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? If "Yes," complete Schedule L, Part III	27		No
28	Was the organization a party to a business transaction with one of the following parties? (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
а	A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part			
		28a		No
	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes,"</i> complete Schedule L, Part IV	28b		No
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV	28c		No
29	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	29		No
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M	30		No
31	Part I	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? If "Yes," complete Schedule R, Part I	33		No
34	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1	34	Yes	
35	Is any related organization a controlled entity within the meaning of section 512(b)(13)?	35		No
а	Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2			
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If</i> "Yes," complete Schedule R, Part V, line 2	36		_
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19?	38	Yes	

Part V	Statements	Regarding	Other	TRS Filings	and Tax	Compliance

Form	990 (2010)			Page 5
Pa	Statements Regarding Other IRS Filings and Tax Compliance Check if Schedule O contains a response to any question in this Part V			
	Check it schedule O contains a response to any question in this Part V	• •	Yes	No
1a	Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable .		163	110
h	Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable	<u> </u>		
ь	1b			
C	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c		
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax			
	Statements filed for the calendar year ending with or within the year covered by this return			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?	2b		
	Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	20		
3a	Did the organization have unrelated business gross income of \$1,000 or more during the			
b	year?	3a 3b		No
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority			
	over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a		N o
Ь	If "Yes," enter the name of the foreign country			
	See instructions for filing requirements for Form TD F 90-22 1, Report of Foreign Bank and Financial Accounts			
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a		No
	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b		No
c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?			
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the	5c 6a	Yes	
va	organization solicit any contributions that were not tax deductible?	Va	162	
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b	Yes	
7	Organizations that may receive deductible contributions under section 170(c).			
а	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and	7a		
ь	services provided to the payor?	7b		
	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to			
d	file Form 8282?	7c		
u	The s, indicate the number of forms 0202 med during the year			
е	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e		
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f		
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a	79		
	Form 1098-C?	7h		
8	the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess			
	business holdings at any time during the year?	8		
9	Sponsoring organizations maintaining donor advised funds. Did the organization make any taxable distributions under section 4966?	9a		
	Did the organization make a distribution to a donor, donor advisor, or related person?	9b		
10	Section 501(c)(7) organizations. Enter			
	Initiation fees and capital contributions included on Part VIII, line 12 10a			
Ь	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities			
11	Section 501(c)(12) organizations. Enter			
	Gross income from members or shareholders			
Ь	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)			
122	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a		
	If "Yes," enter the amount of tax-exempt interest received or accrued during the			
	year 12b			
13 a	Section 501(c)(29) qualified nonprofit health insurance issuers. Is the organization licensed to issue qualified health plans in more than one state?			
	Note. See the instructions for additional information the organization must report on Schedule O	13a		
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans			
С	Enter the amount of reserves on hand			
142	Did the organization receive any payments for indoor tanning services during the tax year?	14a		N o
	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O	14b		<u> </u>
	,		orm 99) (2010

Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response to any question in this	s Part V I
---	------------

Se	ction A. Governing Body and Management			
			Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year			
b	Enter the number of voting members included in line 1a, above, who are independent			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2		No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3		No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4		No
5	Did the organization become aware during the year of a significant diversion of the organization's assets? .	5		Νo
6	Does the organization have members or stockholders?	6	Yes	
7a	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?	7a	Yes	
b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons?	7b	Yes	
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following			
а	The governing body?	8a	Yes	
b	Each committee with authority to act on behalf of the governing body?	8b	Yes	
9	9		Νο	
	ction B. Policies (This Section B requests information about policies not required by the Internal venue Code.)			
-110	Tonac couci,		Yes	No
10a	Does the organization have local chapters, branches, or affiliates?	10a		Νο
b	If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?	10b		
11a	Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?	11a	Yes	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990			
12a	Does the organization have a written conflict of interest policy? If "No," go to line 13	12a	Yes	
b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes	
c	Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done	12c	Yes	
13	Does the organization have a written whistleblower policy?	13	Yes	
14	Does the organization have a written document retention and destruction policy?	14	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
а	The organization's CEO, Executive Director, or top management official	15a	Yes	
b	Other officers or key employees of the organization	15b	Yes	
	If "Yes" to line 15a or 15b, describe the process in Schedule O (See instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		No
b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the			
Se	organization's exempt status with respect to such arrangements?	16b		

- List the States with which a copy of this Form 990 is required to be filed AL, AK, AZ, AR, CA, CO, CT, FL, GA, HI, IL, KS, KY, ME, MD, MA, MN, MS, MO, NH, NJ, NY, NC, ND, OH, OK, OR, PA, RI, SC, TN, UT, VA, WA, WV, WI
- Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c) (3)s only) available for public inspection. Indicate how you make these available. Check all that apply Own website. Another's website. Upon request
- 19 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public See Additional Data Table

(212) 727-4516

State the name, physical address, and telephone number of the person who possesses the books and records of the organization SARAH A GILLMAN CFO
40 WEST 20TH STREET
NEW YORK, NY 10011

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response to any question in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year

- ◆ List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation, and **current** key employees Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's current key employees, if any See instructions for definition of "key employee"
- ◆ List the organization's five current highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations
- ◆ List all of the organization's former directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

(A) Name and Title	(B) Average hours		((tion (hat a	che		II		(D) Reportable compensation	Reportable Reportable		
	per week (describe hours for related organizations in Schedule O)	Individual trustee or director	Institutional Trustee	Officei	Key employee	Highest compensated employee	Former	organization (W- 2/1099-MISC)	organizations (W- 2/1099- MISC)	compensation from the organization and related organizations	
(1) Frances Beinecke See Sch O President/Director	1 0	х		х				0	381,279	49,784	
(2) Patrıcıa Sullıvan Treasurer	1 0	х		х				0	176,695	33,720	
(3) Dayna Bochco Director	1 0	х						0	0	0	
(4) Wendy Abrams Director	1 0	х						0	0	0	
(5) John Adams Director	1 0	х						0	158,600	60,006	
(6) George Denny Director (through 6/17/11)	1 0	Х						0	0	0	
(7) Patricia Bauman Director/Vice Chairperson	1 0	Х		х				0	0	0	
(8) Honorable Sherwood Boehlert Director	1 0	х						0	0	0	
(9) Arı Emanuel Director	1 0	х						0	0	0	
(10) Bob Epstein Chairperson	1 0	Х		х				0	0	0	
(11) Michael C Finnegan Director	1 0	х						0	0	0	
(12) Barbarına Heyerdahl Dırector	1 0	Х						0	0	0	
(13) Hamilton Candee Director	1 0	х						0	0	0	
(14) Honorable Bob Kerrey Director	1 0	х						0	0	0	
(15) Mary Moran Director	1 0	х						0	0	0	
(16) Hamilton Kean Director	1 0	х						0	0	0	
	•	•	•	•	•	•	-	•			

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and Title	(B) Average hours	((tion (che)		II		(D) Reportable compensation	(E) Reportable compensation	(F) Estimated amount of other	
	per week (describe hours for related organizations in Schedule O)	Individual trustee or director	Institutional Trustee	Officei	Key employee	Highest compensated employee	Former	from the organization (W- 2/1099-MISC)	from related organizations (W- 2/1099- MISC)	compensation from the organization and related organizations
(17) Eric Wepsic Director	1 0	х						0	0	0
(18) William Haney Director	1 0	x						0	0	0
(19) Daniel R Tishman Director	1 0	х						0	0	0
(20) Douglas N Varley Director	1 0	х						0	0	0
(21) Anne Bartley Director	1 0	х						0	0	0
(22) Cindy Harrell Horn Director	1 0	х						0	0	0
(23) John Podesta Director	1 0	х						0	0	0
(24) Edward James Olmos Director	1 0	х						0	0	0
(25) Vernice Miller-Travis Director	1 0	х						0	0	0
(26) Jorge Mursuli Director	1 0	х						0	0	0
(27) Lucy Blake Director	1 0	х						0	0	0
(28) Peter Lehner EXECUTIVE DIRECTOR	1 5			х				0	252,806	37,534
1b Sub-Total					•		►			
c Total from continuation sheets t						F				
d Total (add lines 1b and 1c)			•	•			•	0	969,380	181,044

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 in reportable compensation from the organization ►0

			Yes	No
3	Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual	,		NI -
		3		Νo
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual	4	Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for			
	services rendered to the organization? If "Yes," complete Schedule J for such person	5		Νo

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization

(A) Name and business address	(B) Description of services	(C) Compensation
Compound Design 448 S Hill St Suite 605 LOS ANGELES, CA 90013	Advertising & Design	203,094
3. Total number of independent contractors (including but not limited to those listed above)	who recould more than	

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization ►1

Form 9		-					Page 9
Part \	<u>/IIII</u>	Statement of Revenue	(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514	
Contributions, gifts, grants and other similar amounts	b c d e f	Federated campaigns	2,865				
Program Service Revenue	2a b c d e	All other program service revenue					
	b c	Investment income (including dividends, interest and other similar amounts)	0 0 0 0				
	d	from sales of assets other than inventory	0				
Other Revenue	b c	(not including \$ of contributions reported on line 1c) See Part IV, line 18 Less direct expenses b Net income or (loss) from fundraising events	0				
	c 10a	Less direct expenses	0				
	c 11a b c		Code				
	e	Total. Add lines 11a-11d	. 2,302,865				

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.

Α	Section 501(c)(3) and 501(c)(4) organizations mus Il other organizations must complete column (A) but are not required to c			(D).	
	ot include amounts reported on lines 6b, b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the U.S. See Part IV, line 21	745,000	745,000		
2	Grants and other assistance to individuals in the U.S. See Part IV, line 22	0			
3	Grants and other assistance to governments, organizations, and individuals outside the U.S. See Part IV, lines 15 and 16	0			
4	Benefits paid to or for members	0			
5	Compensation of current officers, directors, trustees, and key employees	15,744	472	15,272	
6	Compensation not included above, to disqualified persons (as defined under section $4958(f)(1)$) and persons described in section $4958(c)(3)(B)$	0			
7	Other salaries and wages	415,902	315,057	87,072	13,773
8	Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	0			
9	Other employee benefits	120,861	88,348	28,656	3,857
10	Payroll taxes	0			
а	Fees for services (non-employees) Management	50,370	50,370		
b	Legal	39,432	39,432		
c	Accounting	3,675	3,675		
d	Lobbying	59,767	59,767		
e	Professional fundraising services See Part IV, line 17	0			
f	Investment management fees	0			
g	Other	894,145	895,438	270	-1,563
12	Advertising and promotion	618,811	609,534	4,824	4,453
13	Office expenses	18,404	9,890	7,959	555
14	Information technology	46,924	44,060	2,864	
15	Royalties	0			_
16	Occupancy	0			
17	Travel	31,393	6,094	25,324	-25
18	Payments of travel or entertainment expenses for any federal, state, or local public officials	0	,	,	
19	Conferences, conventions, and meetings	2,287	2,366	201	-280
20	Interest	0	,		_
21	Payments to affiliates	0			-
22	Depreciation, depletion, and amortization	0			
23	Insurance	0			_
24	Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24f If line 24f amount exceeds 10% of line 25, column (A) amount, list line 24f expenses on Schedule O)				
а	COALITION EXPENSE	163,000	163,000		
b	FILING FEES	10,880	10,940	-10	-50
c	BANKING FEES	8,243			8,243
d	CREDIT CARD COSTS	7,648		156	7,492
е	MISCELLANEOUS EXPENSES	102,590	65,092	33,536	3,962
f	All other expenses				
25	Total functional expenses. Add lines 1 through 24f	3,355,076	3,108,535	206,124	40,417
26	Joint costs. Check here ► ☐ If following SOP 98-2 (ASC 958-720) Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation	. , ,	. ,		,

Pa	irt X	Balance Sheet				
				(A) Beginning of year		(B) End of year
	1	Cash—non-interest-bearing		2,926,953	1	1,687,125
	2	Savings and temporary cash investments			2	
	3	Pledges and grants receivable, net		20,020	3	0
	4	Accounts receivable, net			4	
	5	Receivables from current and former officers, directors, trustees, highest compensated employees Complete Part II of				
		Schedule L			5	
	6	Receivables from other disqualified persons (as defined under sec persons described in section $4958(c)(3)(B)$, and contributing empsponsoring organizations of section $501(c)(9)$ voluntary employee organizations (see instructions)	loyers, and			
ets		Schedule L			6	
Assets	7	Notes and loans receivable, net			7	
⋖	8	Inventories for sale or use			8	
	9	Prepaid expenses and deferred charges		2,338	9	7,338
	10a	Land, buildings, and equipment cost or other basis $\it Complete Part VI \ of \ Schedule \ D$	10a			
	Ь	Less accumulated depreciation	10b		10c	
	11	Investments—publicly traded securities			11	
	12	Investments—other securities See Part IV , line 11			12	
	13	Investments—program-related See Part IV, line 11		13		
	14	Intangible assets			14	
	15	Other assets See Part IV, line 11		0	15	0
	16	Total assets. Add lines 1 through 15 (must equal line 34)		2,949,311	16	1,694,463
	17	Accounts payable and accrued expenses .		503,577	17	300,940
	18	Grants payable		18		
	19	Deferred revenue			19	
(0	20	Tax-exempt bond liabilities			20	
<u>.a</u>	21	Escrow or custodial account liability Complete Part IV of Schedule I			21	
abilities	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified				
		persons Complete Part II of Schedule L			22	
	23	Secured mortgages and notes payable to unrelated third parties			23	
	24	Unsecured notes and loans payable to unrelated third parties .			24	
	25	Other liabilities Complete Part X of Schedule D			25	
	26	Total liabilities. Add lines 17 through 25		503,577	26	300,940
S e S		Organizations that follow SFAS 117, check here ▶ and complete through 29, and lines 33 and 34.	te lines 27			
Balances	27	Unrestricted net assets			27	
Ω α	28	Temporarily restricted net assets		2,445,734	28	1,393,523
Fund	29	Permanently restricted net assets			29	
or Fu		Organizations that do not follow SFAS 117, check here ► ☐ and olines 30 through 34.	complet e			
	30	Capital stock or trust principal, or current funds			30	
Assets	31	Paid-in or capital surplus, or land, building or equipment fund .			31	
	32	Retained earnings, endowment, accumulated income, or other fund	s		32	
Š	33	Total net assets or fund balances		2,445,734	33	1,393,523
_	34	Total liabilities and net assets/fund balances		2.949.311	34	1.694.463

Pa	Check if Schedule O contains a response to any question in this Part XI			. [
1	Total revenue (must equal Part VIII, column (A), line 12)	1		7 :	302,86
2	Total expenses (must equal Part IX, column (A), line 25)	2			355,07
3	Revenue less expenses Subtract line 2 from line 1	3			052,21
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4		2,4	145,734
5	Other changes in net assets or fund balances (explain in Schedule O)	5			
6	Net assets or fund balances at end of year Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B))	6		1,3	393,52
Pai	rt XIII Financial Statements and Reporting				
	Check if Schedule O contains a response to any question in this Part XII		•	୮	
				Yes	No
1	Accounting method used to prepare the Form 990 Cash Accrual Other If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O				
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?		2a		No
Ь	Were the organization's financial statements audited by an independent accountant?		2b	Yes	
c	If "Yes," to 2a or 2b, does the organization have a committee that assumes responsibility for oversight of audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O		2c	Yes	
d	If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were on a separate basis, consolidated basis, or both	ssued			
	Separate basis Consolidated basis Both consolidated and separated basis				
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in th Single Audit Act and OMB Circular A-133?		3a		No
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	required	3b		

DLN: 93493103008522

OMB No 1545-0047

SCHEDULE C

(Form 990 or 990-EZ)

Department of the Treasury Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527 ► Complete if the organization is described below. ► Attach to Form 990 or Form 990-EZ. ► See separate instructions.

Open to Public Inspection

If the organization answered "Yes," to Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities),

- ◆ Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- ◆ Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered "Yes," to Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)). Complete Part II-B. Do not complete Part II-A.

	me of the organization OC Action Fund Inc			Employer	entific	atıon numb	er
IVIX	Se Action Fund The			13-397606	52		
ar	t I-A Complete if the or	ganization is exempt unde	er section 501(c) or is a section 5.	27 or	ganizatio	n.
1	Provide a description of the or	ganızatıon's dırect and ındırect pol	ıtıcal campaıgn ac	tivities in Part IV			
2	Political expenditures			▶	\$_		985,313
3	V olunteer hours				_		0
ar	t I-B Complete if the or	ganization is exempt unde	er section 501(c)(3).			
1		e tax incurred by the organization			\$_		
2		e tax incurred by organization man			\$_		
3	If the organization incurred a s	ection 4955 tax, did it file Form 4	720 for this year?			┌ Yes	┌ No
4a	Was a correction made?					☐ Yes	☐ No
ь	If "Yes," describe in Part IV						
ar	t I-C Complete if the or	ganization is exempt unde	r section 501(c) except section 5	01(c)	(3).	
1	Enter the amount directly expe	ended by the filing organization for	section 527 exem	pt function activities 🕨	\$ _		985,313
2	Enter the amount of the filing of exempt funtion activities	rganızatıon's funds contributed to	other organization	s for section 527	\$_		0
3	Total exempt function expendi	tures Add lines 1 and 2 Enter he	re and on Form 112	20-POL, line 17b ►	\$		985,313
4	Did the filing organization file I	Form 1120-POL for this year?				┌ Yes	✓ No
5	organization made payments f amount of political contribution	nd employer identification number For each organization listed, enter ns received that were promptly and political action committee (PAC)	the amount paid fr d directly delivered	om the filing organization I to a separate political oi	's funds ganıza	s Alsoente tion, such a	er the
	(a) Name	(b) Address	(c) EIN	(d) A mount paid fron filing organization's funds If none, enter -) - co) A mount on ontributions and promp rectly deliv separate p rganization enter -	received tly and ered to a olitical If none,

Sc	hedule C (Form 990 or 990-EZ) 2010						Page 2
P	art II-A Complete if the organization under section 501(h)).	is ex	cempt under	section 501(c)(3) and fi	led Form 5768	
A B	Check If the filing organization belongs to a Check If the filing organization checked bo			" provisions apply	/		
	Limits on Lobbying E (The term "expenditures" means a)		(a) Filing Organization's Totals	(b) Affiliated Group Totals
<u>1</u> a	Total lobbying expenditures to influence public o	pinion	(grass roots lob	oyıng)			
b	Total lobbying expenditures to influence a legisl	atıve b	ody (direct lobby	ıng)			
c	Total lobbying expenditures (add lines 1a and 1	b)					
d	Other exempt purpose expenditures						
е	Total exempt purpose expenditures (add lines 1	c and :	Ld)				
f	Lobbying nontaxable amount Enter the amount columns	from th	e following table	ın both			
	If the amount on line 1e, column (a) or (b) is:	The	lobbying nontaxa	ble amount is:			
	Not over \$500,000	20%	of the amount on lin	e 1e			
	Over \$500,000 but not over \$1,000,000	\$100	,000 plus 15% of the	excess over \$500,00	0		
	Over \$1,000,000 but not over \$1,500,000	\$175	,000 plus 10% of the	excess over \$1,000,0	000		
	Over \$1,500,000 but not over \$17,000,000	\$225	,000 plus 5% of the	excess over \$1,500,00	00		
	Over \$17,000,000	\$1,00	00,000				
	Grassroots nontaxable amount (enter 25% of lir	ne 1 f)					
_	Subtract line 1g from line 1a If zero or less, ent	-					
i	Subtract line 1f from line 1c If zero or less, ente	er - 0 -					
j	If there is an amount other than zero on either li section 4911 tax for this year?	ne 1h d	or line 11, did the	organization file F	orm 4720 rep	orting	┌ Yes ┌ No
	4-Year Av (Some organizations that made a columns below. See t	secti	on 501(h) ele		have to co		ne five
	Lobbying Exp	endit	ures During 4	l-Year Averag	jing Period		
	Calendar year (or fiscal year						

	Lobbying Expenditures During 4-Year Averaging Period							
	Calendar year (or fiscal year beginning in)	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) Total		
2a	Lobbying non-taxable amount							
b	Lobbying ceiling amount (150% of line 2a, column(e))							
С	Total lobbying expenditures							
d	Grassroots non-taxable amount							
e	Grassroots ceiling amount (150% of line 2d, column (e))							
f	Grassroots lobbying expenditures							

Part II-B	Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768
	(election under section 501(h)).	

		(a)		(b)		
		Yes	No	A	moun	ıt
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of					
а	Volunteers?					
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?					
С	Media advertisements?					
d	Mailings to members, legislators, or the public?					
е	Publications, or published or broadcast statements?					
f	Grants to other organizations for lobbying purposes?					
g	Direct contact with legislators, their staffs, government officials, or a legislative body?					
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?					
i	Other activities? If "Yes," describe in Part IV					
j	Total lines 1c through 1i					
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?					
b	If "Yes," enter the amount of any tax incurred under section 4912					
С	If "Yes," enter the amount of any tax incurred by organization managers under section 4912					
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?					
Par	t III-A Complete if the organization is exempt under section $501(c)(4)$, section $501(c)(6)$.	501(c)(5), o	r se	ectio	n
			_		Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?			1		
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?			2		ĺ

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, lines 1 and 2 are answered "No" OR if Part III-A, line 3 is answered "Yes".

Did the organization agree to carryover lobbying and political expenditures from the prior year?

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) non-deductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
а	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and	4	
_	political expenditure next year?	_	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, and Part II-B, line 1i Also, complete this part for any additional information

Ident if ier	Return Reference	Explanation
Schedule C, Part I-A, Line 1		The amount reported on Schedule C, Part I-A, Line 2 reflects staff time, as well as consulting and other discretionary expenses, that NRDC Action Fund spent on work related to limited political campaign activities on environmental issues (specifically clean energy issues) during the November 2010 election cycle. These political expenditures were directed mainly toward congressional races for House seats in Ohio Districts 13 and 16, where NRDC Action Fund sent direct mail pieces to more than 200,000 households. NRDC Action Fund also purchased print and online ads concerning clean energy issues that ran in conjunction with 19 House races throughout the country. b \$117,563 of the total political expenditures was spent on staff salaries (including benefits and overhead), while the remaining \$867,750 was spent on discretionary expenses, including consultants and other vendors, advertising and grants to other organizations. C. The total amount of political expenditures represents 29 percent of the organization's overall expenditures for the year.

1

efile GRAPHIC print - DO NOT PROCESS

As Filed Data -

DLN: 93493103008522

OMB No 1545-0047

SCHEDULE D

(Form 990)

Department of the Treasury

Supplemental Financial Statements

► Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.

	·	offit 990. F See separate histructions.	1_	
	ne of the organization C Action Fund Inc		Emp	loyer identification number
				3976062
Pa	organizations Maintaining Donor Active organization answered "Yes" to Form 99		unds	or Accounts. Complete if th
	organization answered Tes to Form 99	(a) Donor advised funds	(b) Funds and other accounts
	Total number at end of year		`	•
2	Aggregate contributions to (during year)			
3	Aggregate grants from (during year)			
ŀ	Aggregate value at end of year			
i	Did the organization inform all donors and donor advi funds are the organization's property, subject to the	-	or advı	sed Yes No
j	Did the organization inform all grantees, donors, and used only for charitable purposes and not for the ben conferring impermissible private benefit			
Par	t II Conservation Easements. Complete	ıf the organization answered "Yes" t	o Form	n 990, Part IV, line 7.
<u>!</u>	Preservation of land for public use (e g , recreating Protection of natural habitat Preservation of open space Complete lines 2a-2d if the organization held a qual easement on the last day of the tax year	Preservation of a c	ertified	cally importantly land area d historic structure enservation
				Held at the End of the Year
а	Total number of conservation easements		2a	
ь	Total acreage restricted by conservation easements		2b	
с	Number of conservation easements on a certified his	toric structure included in (a)	2c	
d	Number of conservation easements included in (c) a	cquired after 8/17/06	2d	
3	Number of conservation easements modified, transfe	• rred, released, extinguished, or terminate:	d by th	e organization during
	the taxable year 🛌			
ļ	Number of states where property subject to conserva	ation eacament is located .		
	Does the organization have a written policy regarding			violations, and
,	enforcement of the conservation easements it holds?		annig or	Yes No
•	Staff and volunteer hours devoted to monitoring, insp	pecting and enforcing conservation easem	ents di	uring the year 🟲
,	A mount of expenses incurred in monitoring, inspecti	ng, and enforcing conservation easements	during	; the year ► \$
3	Does each conservation easement reported on line 2 $170(h)(4)(B)(i)$ and $170(h)(4)(B)(ii)$?	2 (d) above satisfy the requirements of sec	tion	┌ Yes ┌ No
)	In Part XIV, describe how the organization reports of balance sheet, and include, if applicable, the text of the the organization's accounting for conservation easer	the footnote to the organization's financial		
ar	Organizations Maintaining Collection Complete if the organization answered		or Otl	ner Similar Assets.
.a	If the organization elected, as permitted under SFAS art, historical treasures, or other similar assets held provide, in Part XIV, the text of the footnote to its fire	for public exhibition, education or research	ch in fu	
b	If the organization elected, as permitted under SFAS historical treasures, or other similar assets held for provide the following amounts relating to these items	public exhibition, education, or research ii		•
	(i) Revenues included in Form 990, Part VIII, line 1			► \$
	(ii) Assets included in Form 990, Part X			► \$
2	If the organization received or held works of art, histofollowing amounts required to be reported under SFA		r finan	

Revenues included in Form 990, Part VIII, line 1

Assets included in Form 990, Part X

Par	t III	Organizations Maintaining Co	llections of Art	<u>, His</u>	tori	<u>cal Tr</u>	easur	es, or C	the	r Similar A	ssets (c	ontınued)
3		ng the organization's accession and othens (check all that apply)	r records, check any	of th	ie foll	owing t	hat are	a sıgnıfıca	ant us	se of its collec	tion	
а	Γ	Public exhibition		d	Γ	Loan	orexcha	ange prog	rams			
b	Γ	Scholarly research		e	Γ	O ther						
c	Γ	Preservation for future generations										
4		vide a description of the organization's co : XIV	ollections and expla	ın hov	v they	/ furthe	r the or	ganızatıor	ı's ex	empt purpose	ın	
5		ing the year, did the organization solicit o ets to be sold to raise funds rather than t			,					ılar	┌ Yes	┌ No
Pa	rt IV	Escrow and Custodial Arrang Part IV, line 9, or reported an an						answere	d "Y	es" to Form	990,	
1a		he organization an agent, trustee, custod uded on Form 990, Part X?						other ass	ets r	not	☐ Yes	┌ No
b	If"\	es," explain the arrangement in Part XIV	/ and complete the	follow	ıng ta	able		г				
								-	_	A	mount	
с	_	Jinning balance						-	1c			
d		ditions during the year						-	1d			
e		tributions during the year						-	1e			
f		ling balance						L	1 f		_	
2a		the organization include an amount on Fo		21?							│ Yes	∏ No
		es," explain the arrangement in Part XIV							_			
Pa	rt V	Endowment Funds. Complete	f the organization (a)Current Year		were Prior \			orm 990, Years Back		<u>t IV, line 10.</u> Three Years Back		ears Back
1a	Bea	inning of year balance	(a)Curient real	(0)	PHOL	Cai	(C)TWO	Tears back	(u)	illiee Teals back	(e)i oui i	ears back
 Ь		ntributions							1			
c		estment earnings or losses										
d		nts or scholarships										
e		er expenditures for facilities programs										
f	Adr	nınıstratıve expenses										
g	End	of year balance										
2	Prov	vide the estimated percentage of the yea	r end balance held a	ıs								
а	Boa	rd designated or quasi-endowment 🕨										
b	Peri	manent endowment 🕨										
с	Terr	m endowment 🕨										
3a		there endowment funds not in the posses	ssion of the organiza	ation t	that a	re held	l and ad	mınıstere	d for	the		T
	_	anization by unrelated organizations								3a	(i) Yes	No
		related organizations		٠. ٠							(ii)	
b		(es" to 3a(II), are the related organization			ched	ule R?				3	вь	i
4	Des	cribe in Part XIV the intended uses of th	e organization's end	lowme	ent fu	nds				<u></u>	•	
Pai	rt VI	Investments—Land, Buildings	s, and Equipme	nt. S	ee F	orm 9	90, Par	t X, line	10.	_		
		Description of investment				a) Cost o sıs (ınve	or other estment)	(b) Cost or basis (ot		(c) Accumula depreciation		Book value
1a	Land											
b	Build	ıngs										
c	Leas	ehold improvements										
d	Equip	oment										
е	Othe	r	<u> </u>									
		d lines 1 s 1 s (Column (d) should say a Fa	own OOO Bart V!	(E)	lim-	10/-11				- L		

Part VIII Investments—Other Securities. See	form 990, Part X, line 12	2.	
(a) Description of security or category	(b)Book value		d of valuation
(including name of security)	(B)Book value	Cost or end-of	-year market value
(1)Financial derivatives			
(2)Closely-held equity interests			
Other			
Total. (Column (b) should equal Form 990, Part X, col (B) line 12)			
		1.2	
Part VIII Investments—Program Related. See	: Form 990, Part X, line .		
(a) Description of investment type	(b) Book value		d of valuation
	(-,	Cost or end-of	-year market value
Total. (Column (b) should equal Form 990, Part X, col (B) line 13)			
Part IX Other Assets. See Form 990, Part X, In	e 15.		
	e 15.		(b) Book value
Part IX Other Assets. See Form 990, Part X, In	e 15.		(b) Book value
Part IX Other Assets. See Form 990, Part X, In	e 15.		(b) Book value
Part IX Other Assets. See Form 990, Part X, In	e 15.		(b) Book value
Part IX Other Assets. See Form 990, Part X, In	e 15.		(b) Book value
Part IX Other Assets. See Form 990, Part X, In	e 15.		(b) Book value
Part IX Other Assets. See Form 990, Part X, In	e 15.		(b) Book value
Part IX Other Assets. See Form 990, Part X, In	e 15.		(b) Book value
Part IX Other Assets. See Form 990, Part X, In	e 15.		(b) Book value
Part IX Other Assets. See Form 990, Part X, In	e 15.		(b) Book value
Part IX Other Assets. See Form 990, Part X, In	e 15.		(b) Book value
Part IX Other Assets. See Form 990, Part X, In	e 15.		(b) Book value
Part IX Other Assets. See Form 990, Part X, In	e 15.		(b) Book value
Part IX Other Assets. See Form 990, Part X, In	e 15.		(b) Book value
Part IX Other Assets. See Form 990, Part X, In	e 15.		(b) Book value
Part IX Other Assets. See Form 990, Part X, In	e 15.		(b) Book value
Part IX Other Assets. See Form 990, Part X, In	e 15.		(b) Book value
Part IX Other Assets. See Form 990, Part X, In	e 15.		(b) Book value
Part IX Other Assets. See Form 990, Part X, In	e 15.		(b) Book value
Part IX Other Assets. See Form 990, Part X, Im (a) Descrip	e 15. tion		(b) Book value
Part IX Other Assets. See Form 990, Part X, lin (a) Descrip Total. (Column (b) should equal Form 990, Part X, col.(B) line 1:	e 15. tion 5.)		(b) Book value
Part IX Other Assets. See Form 990, Part X, lin (a) Descrip (b) Descrip Total. (Column (b) should equal Form 990, Part X, col.(B) line 1: Part X Other Liabilities. See Form 990, Part X	e 15. tion 5.)		(b) Book value
Part IX Other Assets. See Form 990, Part X, lin (a) Descrip Total. (Column (b) should equal Form 990, Part X, col.(B) line 1:	e 15. tion 5.)		(b) Book value
Total. (Column (b) should equal Form 990, Part X, col.(B) line 1: Part X Other Liabilities. See Form 990, Part X 1 (a) Description of Liability	e 15. tion 5.) , line 25. (b) A mount		(b) Book value
Part IX Other Assets. See Form 990, Part X, lin (a) Descrip (b) Descrip Total. (Column (b) should equal Form 990, Part X, col.(B) line 1: Part X Other Liabilities. See Form 990, Part X	e 15. tion 5.)		(b) Book value
Total. (Column (b) should equal Form 990, Part X, col.(B) line 1: Part X Other Liabilities. See Form 990, Part X 1 (a) Description of Liability	e 15. tion 5.) , line 25. (b) A mount		(b) Book value
Total. (Column (b) should equal Form 990, Part X, col.(B) line 1: Part X Other Liabilities. See Form 990, Part X 1 (a) Description of Liability	e 15. tion 5.) , line 25. (b) A mount		(b) Book value
Total. (Column (b) should equal Form 990, Part X, col.(B) line 1: Part X Other Liabilities. See Form 990, Part X 1 (a) Description of Liability	e 15. tion 5.) , line 25. (b) A mount		(b) Book value
Total. (Column (b) should equal Form 990, Part X, col.(B) line 1: Part X Other Liabilities. See Form 990, Part X 1 (a) Description of Liability	e 15. tion 5.) , line 25. (b) A mount		(b) Book value
Total. (Column (b) should equal Form 990, Part X, col.(B) line 1: Part X Other Liabilities. See Form 990, Part X 1 (a) Description of Liability	e 15. tion 5.) , line 25. (b) A mount	, , , , , , , , , , , , , , , , , , ,	(b) Book value
Total. (Column (b) should equal Form 990, Part X, col.(B) line 1: Part X Other Liabilities. See Form 990, Part X 1 (a) Description of Liability	e 15. tion 5.) , line 25. (b) A mount		(b) Book value
Total. (Column (b) should equal Form 990, Part X, col.(B) line 1: Part X Other Liabilities. See Form 990, Part X 1 (a) Description of Liability	e 15. tion 5.) , line 25. (b) A mount		(b) Book value
Total. (Column (b) should equal Form 990, Part X, col.(B) line 1: Part X Other Liabilities. See Form 990, Part X 1 (a) Description of Liability	e 15. tion 5.) , line 25. (b) A mount		(b) Book value
Total. (Column (b) should equal Form 990, Part X, col.(B) line 1: Part X Other Liabilities. See Form 990, Part X 1 (a) Description of Liability	e 15. tion 5.) , line 25. (b) A mount		(b) Book value
Total. (Column (b) should equal Form 990, Part X, col.(B) line 1: Part X Other Liabilities. See Form 990, Part X 1 (a) Description of Liability	e 15. tion 5.) , line 25. (b) A mount		(b) Book value
Total. (Column (b) should equal Form 990, Part X, col.(B) line 1: Part X Other Liabilities. See Form 990, Part X 1 (a) Description of Liability	e 15. tion 5.) , line 25. (b) A mount		(b) Book value
Total. (Column (b) should equal Form 990, Part X, col.(B) line 1: Part X Other Liabilities. See Form 990, Part X 1 (a) Description of Liability	e 15. tion 5.) , line 25. (b) A mount		(b) Book value
Total. (Column (b) should equal Form 990, Part X, col.(B) line 1: Part X Other Liabilities. See Form 990, Part X 1 (a) Description of Liability	e 15. tion 5.) , line 25. (b) A mount		(b) Book value
Total. (Column (b) should equal Form 990, Part X, col.(B) line 1: Part X Other Liabilities. See Form 990, Part X 1 (a) Description of Liability	e 15. tion 5.) , line 25. (b) A mount		(b) Book value
Total. (Column (b) should equal Form 990, Part X, col.(B) line 1: Part X Other Liabilities. See Form 990, Part X 1 (a) Description of Liability	e 15. tion 5.) , line 25. (b) A mount		(b) Book value
Total. (Column (b) should equal Form 990, Part X, col.(B) line 1: Part X Other Liabilities. See Form 990, Part X 1 (a) Description of Liability	e 15. tion 5.) , line 25. (b) A mount		(b) Book value
Total. (Column (b) should equal Form 990, Part X, col.(B) line 1: Part X Other Liabilities. See Form 990, Part X 1 (a) Description of Liability	e 15. tion 5.) , line 25. (b) A mount		(b) Book value
Total. (Column (b) should equal Form 990, Part X, col.(B) line 1: Part X Other Liabilities. See Form 990, Part X 1 (a) Description of Liability	e 15. tion 5.) , line 25. (b) A mount		(b) Book value
Total. (Column (b) should equal Form 990, Part X, col.(B) line 1: Part X Other Liabilities. See Form 990, Part X 1 (a) Description of Liability	e 15. tion 5.) , line 25. (b) A mount		(b) Book value

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	2,302,865
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	3,355,076
3	Excess or (deficit) for the year Subtract line 2 from line 1	3	-1,052,211
4	Net unrealized gains (losses) on investments	4	
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	
9	Total adjustments (net) Add lines 4 - 8	9	
10	Excess or (deficit) for the year per financial statements Combine lines 3 and 9	10	-1,052,211
Par	XII Reconciliation of Revenue per Audited Financial Statements With Revenue p	er R	eturn
1	Total revenue, gains, and other support per audited financial statements	1	2,322,155
2	A mounts included on line 1 but not on Form 990, Part VIII, line 12		
а	Net unrealized gains on investments 2a		
b	Donated services and use of facilities		
c	Recoveries of prior year grants		
d	Other (Describe in Part XIV) 2d		
e	Add lines 2a through 2d	2e	19,290
3	Subtract line 2e from line 1	3	2,302,865
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1		
а	Investment expenses not included on Form 990, Part VIII, line 7b . 4a		
Ь	Other (Describe in Part XIV)		
c	Add lines 4a and 4b	4c	
5	Total Revenue Add lines 3 and 4c. (This should equal Form 990, Part I, line 12)	5	2,302,865
	Reconciliation of Expenses per Audited Financial Statements With Expenses	per	
1	Total expenses and losses per audited financial statements	1	3,374,366
2	Amounts included on line 1 but not on Form 990, Part IX, line 25		
а	Donated services and use of facilities		
ь	Prior year adjustments		
c	Other losses		
d	Other (Describe in Part XIV) 2d		
e	Add lines 2a through 2d	2e	19,290
3	Subtract line 2e from line 1	3	3,355,076
4	A mounts included on Form 990, Part IX, line 25, but not on line 1:		
а	Investment expenses not included on Form 990, Part VIII, line 7b 4a		
b	Other (Describe in Part XIV)		
c	Add lines 4a and 4b	4c	
5	Total expenses Add lines 3 and 4c. (This should equal Form 990, Part I, line 18)	5	3,355,076

Part XI Reconciliation of Change in Net Assets from Form 990 to Financial Statements

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information

Ident if ier	Return Reference	Explanation
income tax	part X other liabilities	The FASB Accounting Codification ("ASC") established criterion that an individual tax position must meet for some or all of the benefits of that position to be recognized in an entity's financial statements. This standard requires that a tax position be recognized or derecognized based on a "more likely than not" threshold NRDC has processes presently in place to ensure the maintenance of its tax-exempt status, to identify and report unrelated income, determine its filing and tax obligations in jurisdictions for which it has nexus, and to review other matters that may be considered a tax position. The tax years ending 2008, 2009, and 2010 remain open to audit for both federal and state purposes. This standard has no impact on NRDC's 2011 and 2010 consolidated financial statements. NRDC does not believe its 2011 and 2010 consolidated financial statements include any uncertain tax positions.
Form 990, Schedule D, Part XI, XII, & XIII		NRDC Action Fund does not receive standalone financial statements, its operations are consolidated within the financial statements of its parent organization, Natural Resources Defense Council The parts XI, XII and XIII reconciliation on Schedule D tie back to NRDC Action Fund's financial information in the audited financial statements (Footnote M) and not to the consolidated numbers

efile GRAPHIC print - DO NOT PROCESS | As Filed Data -

Schedule I (Form 990)

Grants and Other Assistance to Organizations, Governments and Individuals in the United States

OMB No 1545-0047

DLN: 93493103008522

Department of the Treasury Internal Revenue Service Name of the organization

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22. Attach to Form 990

Inspection Employer identification number

NRDC Action Fund Inc						13-3976062	
Part I General Inform	mation on Grants	and Assistance				13-39/0002	
 Does the organization mathe selection criteria use Describe in Part IV the o 	nintain records to sub d to award the grants	stantiate the amount of th					ר Yes Γ
Part II Grants and Ot Form 990, Part 1	her Assistance to IV, line 21 for any		Organizations in d more than \$5,000.	the United States . Check this box if r	no one recipient rece	ived more than \$5,0	
1 (a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) A mount of cash grant	(e) A mount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1) Californians for Clean Energy and Jobs1100 11th St Ste 200 Sacramento C Sacramento, CA 95814	27-3284139	501(c)(4)	110,000				Environmental Aims
(2) Californians to Stop the Dirty Energy Proposition 1100 11th St Ste 200 Sacramento C Sacramento, CA 95814	27-1781659	501(c)(4)	635,000				No on Prop 23
2 Enter total number of sec	tion 501(c)(3) and co	overnment organizations				l	<u> </u>
3 Enter total number of oth							• 0
	y = = = = = = = = = = = = = = = =					<u> </u>	

Part III	Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 22	2.
	Use Schedule I-1 (Form 990) if additional space is needed.	

(a)Type of grant or assistance	(b)Number of recipients	(c)A mount of cash grant	(d)A mount of non-cash assistance	(e)Method of valuation (book, FMV, appraisal, other)	(f)Description of non-cash assistance

Supplemental Information. Complete this part to provide the information required in Part I, line 2, and any other additional information.

Ident if ier	Return Reference	Explanation
Schedule I, Part I, Line 1		NRDC Action Fund only makes grants to select tax-exempt organizations or social welfare organizations that support our
		tax-exempt mission

efile GRAPHIC print - DO NOT PROCESS | As Filed Data -**Compensation Information**

DLN: 93493103008522

Employer identification number

OMB No 1545-0047

Schedule J (Form 990)

Department of the Treasury Internal Revenue Service

Name of the organization

For certain Officers, Directors, Trustees, Key Employees, and Highest **Compensated Employees**

► Complete if the organization answered "Yes" to Form 990, Part IV, question 23.

► Attach to Form 990. ► See separate instructions.

Open to Public Inspection

INICI	oc Action Fund Inc		13-3976062			
Pa	rt I Questions Regarding Compensation)	55 55.055			
	-				Yes	Νo
1a	Check the appropriate box(es) if the organization prov 990, Part VII, Section A, line 1a Complete Part III					
	First-class or charter travel	Г	Housing allowance or residence for personal use			
	Travel for companions	Г	Payments for business use of personal residence			
	Tax idemnification and gross-up payments	\vdash	Health or social club dues or initiation fees			
	Discretionary spending account	Γ	Personal services (e g , maid, chauffeur, chef)			
b	If any of the boxes in line 1a are checked, did the orgonic reimbursement orprovision of all the expenses descr			1b		
2	Did the organization require substantiation prior to re officers, directors, trustees, and the CEO/Executive			2		
3	Indicate which, if any, of the following the organization organization's CEO/Executive Director Check all the Compensation committee	at appl				
	Independent compensation consultant	, V				
	Form 990 of other organizations		Approval by the board or compensation committee			
4	During the year, did any person listed in Form 990, For a related organization	Part VII	I, Section A, line 1a with respect to the filing organization			
а	Receive a severance payment or change-of-control	paymer	nt from the organization or a related organization?	4a		Νο
ь	Participate in, or receive payment from, a supplemen	ntal non	iqualified retirement plan?	4b		Νo
c	Participate in, or receive payment from, an equity-ba	ased co	mpensation arrangement?	4c		Νo
	If "Yes" to any of lines 4a-c, list the persons and pro					
	Only 501(c)(3) and 501(c)(4) organizations only mu	st com	plete lines 5-9.			
5	For persons listed in form 990, Part VII, Section A, compensation contingent on the revenues of	lıne 1a,	, did the organization pay or accrue any			
а	The organization?			5a		No
b	Any related organization?			5b		Νo
	If "Yes," to line 5a or 5b, describe in Part III					
6	For persons listed in form 990, Part VII, Section A, compensation contingent on the net earnings of	line 1a,	, did the organization pay or accrue any			
а	The organization?			6a		No
b	Any related organization?			6b		Νo
	If "Yes," to line 6a or 6b, describe in Part III					
7	For persons listed in Form 990, Part VII, Section A, payments not described in lines 5 and 6? If "Yes," d			7		No
8	Were any amounts reported in Form 990, Part VII, p subject to the initial contract exception described in					
	ın Part III			8		Νo
9	If "Yes" to line 8, did the organization also follow the section 53 4958-6(c)?	rebutt	able presumption procedure described in Regulations	9		

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(I)-(III) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a

(A) Name		(B) Breakdown of	W-2 and/or 1099-MI	SC compensation	(C) Retirement and	(D) Nontaxable	(E) Total of columns	(F) Compensation
	_	(i) Base compensation	(ii) Bonus & ıncentive compensation	(iii) Other reportable compensation	other deferred compensation	benefits	(B)(ı)-(D)	reported in prior Form 990 or Form 990-EZ
(1) Frances Beinecke See Sch O	(I) (II)	0 381,279	0	0 0	0 34,300	0 15,484		0
(2) Peter Lehner	(I) (II)	0 252,806	0 0	0 0	0 22,050	0 15,484		0 0
(3) Patricia Sullivan	(I) (II)	0 176,695	0 0	0 0	0 26,483	0 7,237		0 0
(4) John Adams	(I) (II)	0 158,600	0	0 0	0	0 60,006		0
(5)								
(6)								
(7)								
(8)								
(9)								
(10)								
(11)								
(12)								
(13)								
(14)								
(15)								
(16)								

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8 Also complete this part for any additional information

Ident if ier	Return Reference	Explanation
Compensation Information		Part II, John H Adams Column B(I) Base Compensation After thirty-five years of committed service as NRDC's Founder and President, John Adams retired on April 1, 2006 John became a part-time consultant for NRDC and received \$158,500 for these services in fiscal year 2011 Column D Nontaxable Benefits As part of his retirement agreement, NRDC provides John Adams with medical and dental benefits and a long term care plan The values of these benefits are Medical \$17,021 per year LTC \$42,985 per year Form 990, Part VII & Schedule J, Part I The officers reported on NRDC Action Fund's Form 990, Frances Beinecke, Patricia Sullivan and Peter Lehner, receive their compensation from the parent organization, Natural Resources Defense Council, Inc (NRDC) Accordingly, all compensation is being reported on NRDC Action Fund's Form 990 as related organization compensation. However, a portion of each officer's compensation is reimbursed by NRDC Action Fund to NRDC based on services rendered to NRDC Action Fund. In the interest of clarity, NRDC Action Fund is disclosing the following salary and benefits amounts as having been reimbursed by NRDC Action Fund to NRDC for these services. Salary Benefits President Beinecke \$2,173 \$616 Treasurer Sullivan \$2,474 \$698 Executive Director Lehner \$11,098 \$3,127.

Schedule J (Form 990) 2010

efile GRAPHIC print - DO NOT PROCESS

As Filed Data -

DLN: 93493103008522

Supplemental Information to Form 990 or 990-EZ

Department of the Treasury
Internal Revenue Service

SCHEDULE 0

(Form 990 or 990-EZ)

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.

Attach to Form 990 or 990-FZ.

2010

Open to Public

Internal Revenue Service	► Attach to Form 990 or 990-EZ.	Inspection
Name of the organizat NRDC Action Fund Inc	on	Employer identification number
		13-3976062

ldentifier	Return Reference	Explanation
Governing Body and Management	FORM 990, PART VI, SECTION A	LINE 6 - NRDC Action Fund's sole corporate member is its parent organization, Natural Resources Defense Council, Inc ("NRDC") Line 7a - The sole corporate member, NRDC, has the sole authority to appoint NRDC Action Fund's Board of Directors on an annual basis. This power is reserved to NRDC in NRDC Action Fund's organizing documents. Line 7b - If the Board of Directors should have a vacancy during the year, the sole corporate member has the right to approve the Board's election of a replacement to fill that vacancy.

ldentifier	Return Reference	Explanation
Policies	FORM 990, PART VI, SECTION B	Line 11 - NRDC Action Fund's Form 990 is prepared by a nationally recognized accounting firm in conjunction with the organization's senior management and financial team. Upon completion, the Form 990 is distributed to senior management and THE BOARD OF DIRECTORS' EXECUTIVE COMMITTEE for review and approval. A copy of the final Form 990 is shared with the full board of directors prior to its electronic filling with the Internal Revenue Service. LINE 12 - Each officer, director, trustee and key employee of NRDC Action Fund is required to annually disclose any conflicts of interest that arise by virtue of employment, board service, or position with either NRDC Action Fund or NRDC NRDC Action Fund monitors compliance with its conflict of interest policy through an annual questionnaire/disclosure statement that is distributed to these individuals. Potential conflicts are investigated immediately. LINE 15 - NRDC Action Fund does not directly compensate any of its officers, directors and/or key employees. All compensation is paid by the parent organization, Natural Resources Defense Council and is reimbursed by NRDC Action Fund to NRDC. The processes used for determining compensation as paid by the parent entity are described on that organization's Form 990.

ldentifier	Return Reference	Explanation
Disclosure	FORM 990, PART VI, SECTION C	LINE 19 - NRDC Action Fund makes its Form 990 available to the public by retaining a copy at its place of business. The Form 990 is likewise published on the internet at www guidestar org. NRDC Action Fund's financial statements are available on its website. The organization's governing documents and conflict of interest policy are not ordinarily made available to the public, but, if requested, will be provided at management's discretion.

ldentifier	Return Reference	Explanation
Form 990, Part IV		Line 5 - NRDC Action Fund is not subject to the Section 6033(e) notice and reporting requirements since it does not receive membership dues, assessments or similar amounts as defined in Rev. Proc. 98-19

ldentifier	Return Reference	Explanation
Form 990, Part VII		Frances Beinecke, relinquished her role as President and Board Member, on June 14, 2010 (and this was reflected on the prior year 990) because she was appointed to President Obama's Oil Commission. When her service on the commission terminated, she returned to her role as President and Board Member at the May 19, 2011 Board meeting. Form 990, Part VII - Hours Officers Frances Beinecke, Peter Lehner and Patricia Sullivan perform most of their work responsibilities for a related party, the Natural Resources Defense Council. Average hours worked per week for the related party is approximately 40 for each officer.

efile GRAPHIC print - DO NOT PROCESS | As Filed Data -

DLN: 93493103008522

SCHEDULE R (Form 990)

Related Organizations and Unrelated Partnerships

► Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.

► Attach to Form 990. ► See separate instructions.

2010

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Name of the organization NRDC Action Fund Inc 13-3976062									
Part I Identification of Disregarded Entities (C	omplete	ıf the organizatior	answered "Yes"	on Form 990, Part	•				
(a) Name, address, and EIN of disregarded entity		(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity			
Part II Identification of Related Tax-Exempt Or or more related tax-exempt organizations du			the organization	answered "Yes" or	n Form 990, Part	IV, line 34 becau	se it had	one	
(a) Name, address, and EIN of related organization		(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	Section 5 cont	(g) 512(b)(13 trolled nization	
(1) NATURAL RESOURCES DEFENSE COUNCIL INC							Yes	No	
40 WEST 20TH STREET	ENVIR	ONMENTAL	NY	501(C)(3)	7	NA			
NEW YORK, NY 10011 13-2654926				(-)(-)					
For Privacy Act and Paperwork Reduction Act Notice, see the Ins	tructions	for Form 990.	Cat No 501	1.35Y	I	Schedule R (Form 990	2010	

(a) ame, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512- 514)	(f) Share of total income		(g) Share of end-of-year assets		i) ortionate tions?	(i) Code V—UBI amount in box 20 Schedule K-1 (Form 1065)		20 of managing 1 partner?		(k) Percentage ownership
								Yes	No			Yes	No	
				ble as a Corpora zations treated as a						nswered "Y	es" on	Form	990,	Part IV,
(a) Name, address, and EIN of related organization		(b) Primary activity	(c) Legal domicile (state or foreign country)	Direct cor	(d) Direct controlling entity (C corp, S or trust		ntity Share of total income corp,		(f) f total income	(g) Share of end-of-year assets			(h) Percentage ownership	
					1	I								

Sched	dule R (Form 990) 2010		Р	age 3
Par	rt V Transactions With Related Organizations (Complete if the organization answered "Yes" on Form 990, Part IV, line 34,	35, 35A, or 36.)		
	Note. Complete line 1 if any entity is listed in Parts II, III or IV		Yes	No
1 Du	uring the tax year, did the orgranization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?			
а	Receipt of (i) interest (ii) annuities (iii) royalties (iv) rent from a controlled entity	1a		No
b	Gift, grant, or capital contribution to other organization(s)	1b		No
c	Gıft, grant, or capıtal contribution from other organization(s)	1c		No
d	Loans or loan guarantees to or for other organization(s)	1d		No
e	Loans or loan guarantees by other organization(s)	1e		No
f	Sale of assets to other organization(s)	1f		No
g	Purchase of assets from other organization(s)	1 g		No
h	Exchange of assets	1h		No
i	Lease of facilities, equipment, or other assets to other organization(s)	1i		No
j	Lease of facilities, equipment, or other assets from other organization(s)	1j		No
k	Performance of services or membership or fundraising solicitations for other organization(s)	1k		No
1	Performance of services or membership or fundraising solicitations by other organization(s)	11		No
m	Sharing of facilities, equipment, mailing lists, or other assets	1m	1 Yes	
n	Sharing of paid employees	1n	Yes	\perp
o	Reimbursement paid to other organization for expenses	10	Yes	+
р	Reimbursement paid by other organization for expenses	1р		No
q	O ther transfer of cash or property to other organization(s)	1q		No
	Other transfer of cash or property from other organization(s)	1r		No
2	If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and tra			
	(a) (b) (c)	(d) Method of determi	ınına ar	nount

(a) Name of other organization	(b) Transaction type(a-r)	(c) Amount involved	(d) Method of determining amount involved
(1) NATURAL RESOURCES DEFENSE COUNCIL INC	M, N,	431,646	
(2)			
(3)			
(4) 			
(5)			
(6)			

Part VI Unrelated Organizations Taxable as a Partnership (Complete if the organization answered "Yes" on Form 990, Part IV, line 37.)

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d Are parti sect 501(d organiz	ners ion :)(3) :ations?	(e) Share of end-of-year assets		rtionate cions?	(g) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	Gene mana part	agın tner?
			Yes	No		Yes	No		Yes	N
										+
										-
										t
										+
										\dagger
										╀
										+
										L
										+
										t
										ı

Part VII Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule R (see instructions)

Identifier I Return Reference I	er Return Reference Explanation
---------------------------------	---------------------------------

Schedule R (Form 990) 2010