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Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

2010

Open to Public Inspection

A For the 2010 calendar year, or tax year beginning 07-01-2010 and ending 06-30-2011

B Check if applicable
- ☐ Address change
- ☐ Name change
- ☐ Initial return
- ☐ Terminated
- ☐ Amended return
- ☐ Application pending

C Name of organization
American Lung Association

Doing Business As

Number and street (or P O box if mail is not delivered to street address) | Room/suite
14 Wall Street

City or town, state or country, and ZIP + 4
New York, NY 10005

D Employer identification number
13-1632524

E Telephone number
(212) 315-8700

G Gross receipts $ 59,628,492

F Name and address of principal officer
Adrienne Glasgow
14 Wall Street No 8C
New York, NY 10005

H(a) Is this a group return for affiliates? ☐ Yes ☑ No

H(b) Are all affiliates included? ☐ Yes ☐ No
If "No," attach a list (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status ☑ 501(c)(3) ☐ 501(c) ( ) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527

J Website: ▶ www lungusa org

K Form of organization ☑ Corporation ☐ Trust ☐ Association ☐ Other ▶ | **L** Year of formation 1918 | **M** State of legal domicile ME

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities
The mission of the American Lung Association is to save lives by improving lung health and preventing lung disease

2 Check this box ▶☐ if the organization discontinued its operations or disposed of more than 25% of its net assets

3	Number of voting members of the governing body (Part VI, line 1a)	3	26
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	26
5	Total number of individuals employed in calendar year 2010 (Part V, line 2a)	5	130
6	Total number of volunteers (estimate if necessary)	6	101,658
7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	0
b	Net unrelated business taxable income from Form 990-T, line 34	7b	0

			Prior Year	Current Year
Revenue	8	Contributions and grants (Part VIII, line 1h)	18,197,281	11,915,758
	9	Program service revenue (Part VIII, line 2g)	27,977,401	33,841,279
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	851,200	1,057,248
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	747,614	1,750,772
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	47,773,496	48,565,057
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	5,848,208	6,101,403
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0	0
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	8,388,484	9,706,509
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	0	0
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶2,073,989		
	17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24f)	33,314,059	32,755,570
	18	Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	47,550,751	48,563,482
	19	Revenue less expenses Subtract line 18 from line 12	222,745	1,575
Net Assets or Fund Balances			Beginning of Current Year	End of Year
	20	Total assets (Part X, line 16)	28,345,476	29,342,436
	21	Total liabilities (Part X, line 26)	20,687,576	19,026,863
	22	Net assets or fund balances Subtract line 21 from line 20	7,657,900	10,315,573

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

▶ ****** | 2012-03-05
Signature of officer | Date

▶ ADRIENNE GIASGOW cfo
Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check if self-employed ▶ ☐	PTIN
Scott Thompsett	Scott Thompsett			

Firm's name ▶ GRANT THORNTON LLP | Firm's EIN ▶

Firm's address ▶ 666 THIRD AVENUE
NEW YORK, NY 100174011 | Phone no ▶ (212) 599-0100

May the IRS discuss this return with the preparer shown above? (see instructions) ☐ Yes ☐ No

Part III **Statement of Program Service Accomplishments**

Check if Schedule O contains a response to any question in this Part III . . . . ☑

1 Briefly describe the organization's mission

The American Lung Association's mission is to save lives by improving lung health and preventing lung disease, with the ultimate vision of a world free of lung disease The National Headquarters of the American Lung Association fights lung disease through its own activities and by servicing, supporting, and leading its local Lung Associations Among its varied responsibilities, the National Headquarters - Funds research into the causes, prevention, and cures of lung disease - Advocates at the national level for policies that protect lung health, including fighting for healthy air - Provides a broad array of health educational programs and services to support smoking cessation, help prevent lung disease and to assist people with lung disease in better managing their condition - Supports local Lung Associations' implementation of health educational programs and dissemination of educational material - Provides an array of learning opportunities and tools to develop nationwide volunte

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . ☐ Yes ☑ No

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . ☐ Yes ☑ No

If "Yes," describe these changes on Schedule O

4 Describe the exempt purpose achievements for each of the organization's three largest program services by expenses Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code) (Expenses $ 15,463,373 including grants of $ 327,681) (Revenue $ 20,335,292)

Health Education Every year, the American Lung Association helps people manage their lung disease, overcome their nicotine addiction, and live healthier lives The two key components of the National Headquarters' work are supporting our network of local Lung Associations and developing lung health education materials for the public Local Lung Associations rely on us to develop health programs and provide expert training, guidance and materials to support their mission outreach We are also a trusted resource where the public can find information on myriad lung diseases, make treatment decisions, and get support and tools to manage their conditions or care for a loved one This past year we provided a wide array of services to help prevent and treat lung disease In 2010-11 we substantially advanced this mission, touching lives from coast to coast Lung Disease For Over 40 Years, the Choice of People with Chronic Lung Disease - In the U S alone, over 37 million adults have a chronic lung disease, such as COPD, asthma, pulmonary fibrosis, sarcoidosis or lung cancer Because these chronic conditions do not have a cure, people need to learn how to manage living with their lung disease American Lung Association Better Breathers Clubs help by providing patient-focused, community-based educational opportunities and support These support groups offer a welcoming venue for people with chronic lung disease and their loved ones, who often feel alone and isolated Together, members learn the skills that help them manage their condition and improve their quality of life Hundreds of clubs are serving many thousands of people across the U S living with chronic lung disease Connecting Patient and Families with Expert Advice - In all of our programs and health education materials, people with questions about lung health are referred to the American Lung Association's Lung HelpLine at 1-800-LUNG-USA In fiscal year 2010-11, the nurses and respiratory therapists who staff the Lung HelpLine answered over 200,000 calls on topics ranging from clean air to helping a family member quit smoking Matching Lung Cancer Patients with Help - The Lung Cancer Clinical Trials Matching Service is specifically designed for lung cancer patients and their families and is being conducted in collaboration with twelve lung cancer advocacy organizations This service provides personalized education and helps to identify clinical trial options based on a person's specific diagnosis, stage and treatment history Each person is also assigned a specially trained Clinic Trials Navigator who serves as a guide though the process Supporting Asthma-Friendly Learning Environments - Through the American Lung Association's Asthma-Friendly School's Initiative (AFSI), 200 districts nationwide worked toward creating safer school environments for students, faculty, and staff Technical assistance and one-on-one support was provided to ten new AFSI coalitions that established long-term asthma management plans for their schools AFSI focuses on four strategies to implement a comprehensive approach to asthma management in school, maximizing health services, providing asthma education, creating a healthy school environment, and ensuring full participation in physical activity for all students In the 2010-11 school year, 13,000 students were reached through the Open Airways For Schools program, a recommended component of AFSI that builds self-management skills for students with asthma Highlighting Disparities in Lung Health - This year, we continued to spotlight the ways in which some groups are disproportionately affected by lung disease in our society in the ongoing Disparities in Lung Health Series The report Missed Opportunities Influenza and Pneumonia vaccination in Older Adults investigated the possible causes of and solutions to the low rates of life-saving vaccinations being given among African American and Latino seniors Tobacco Helping Thousands of Smokers to Quit - The American Lung Association's Freedom From Smoking and Not-On-Tobacco (N-O-T) have helped hundreds of thousands of teen and adult smokers quit These community-based programs guide the smokers through the quitting process, helping them to determine their smoking pattern, create an individualized quit plan and navigate the first few weeks as a nonsmoker Because not everyone quits smoking the same way, the Lung Association also offers Freedom From Smoking Online and one-on-one cessation counseling through the Lung HelpLine In fiscal year 2010-11, these programs helped over 48,000 smokers end their addiction to nicotine and transition to a new, smokefree lifestyle Supporting Smokefree Communities - In fiscal year 2010-11, the American Lung Association was awarded ARRA funds through the Communities Putting Prevention to Work program This funding enabled us to provide technical assistance and training to communities nationwide in support of their efforts to improve community health by decreasing smoking rates and reducing exposure to harmful secondhand smoke

4b (Code) (Expenses $ 10,911,612 including grants of $ 0) (Revenue $ 10,539,814)

FIELD FUNDRAISING The American Lung Association's Planned Giving team focuses its work on assisting with field development by providing support to the ALA chartered regional associations This support includes training local staff to be effective planned giving fundraisers, helping develop annual regional work plans, being informed on local follow up activities pertaining to requests from donors for planned giving information, reviewing quarterly reports on planned giving income and bequest notifications, sending reports to regional CEOs on the planned giving work in their geographic areas A variety of National staff provide Special Events support to the ALA chartered regional associations This support is designed to assist in the development, marketing and implementation of events and promotions strategies Among the assistance provided are planning (goal setting, strategic review, development of template material, feasibility studies), training (monthly conference calls, best practice staff training, listserv based materials, staff training webinars, etc), implementation (sponsorship calls, recruitment, event attendance/participation), coaching (staff, leadership, volunteers), coordination of collateral, solicit national sponsors/teams, build, facilitate and integrate e-commerce platforms, and evaluation of new events The primary focus is to increase the Chartered Associations net revenue and fully integrate best practice strategies into the overall work plan The Major Gifts team works with the ALA chartered regional associations on joint funding prospects and provides one-on-one assistance when requested Among the support activities are compilation of research lists and development of research profiles, evaluation of funding proposals, strategic advice regarding funding initiatives and prospects, providing training at staff meetings and conference calls This year we provided a wealth screening overlay and a follow-on research tool, a donor centric assessment, and predictive donor modeling for the chartered association staff The Direct Response team develops and implements campaign plans for all direct mail appeals, telemarketing campaigns and the residential program in conjunction with our direct response consulting agency All funds generated are deposited directly into local association accounts on a regular (weekly or bi-weekly) basis with detailed reports by campaign for the current period and YTD Local associations are billed monthly for direct response expenses and quarterly for a 30% share of the net income generated by the programs Associations are provided with a budget for each program along with cash flow and billing schedules, as well as quarterly updates on actual performance Billed expenses are reconciled to actual costs at the end of the fiscal year Images of all rollout mailing packages are posted on LungNet for reference by local associations Monthly direct response calls or meetings are conducted to which all local CEOs are invited to participate for updates on the program and any issues or questions that arise

4c (Code) (Expenses $ 6,498,708 including grants of $ 5,397,304) (Revenue $ 2,966,173)

Research Funding research has been a cornerstone of the Lung Association's fight against lung disease for more than a century In 2010-11, our donors again made it possible to fund high quality researchers seeking treatments and cures for an array of lung diseases from asthma to lung cancer Our Nationwide Research Awards and Grants Program fosters laboratory and patient-centered and social behavior research to prevent, treat and hopefully find a cure for all lung diseases In 2010-11, our program funded 55 grants to further promising, cutting-edge research Additional support in funding this year's research program came from partnerships with AAAAI, the CHEST Foundation and NTM Info The Lung Association's Asthma Clinical Research Centers Network (ACRC) continues to contribute major improvements to asthma treatments through a network of 18 clinical centers throughout the country In 2010-11, ongoing ACRC research centered on two major questions is a dietary supplement of soy isoflavones is an effective treatment in patients with poorly controlled asthma and will the treatment of sinusitis (chronic disease of the nose and sinuses) with nasal steroids improve asthma control

4d Other program services (Describe in Schedule O)

(Expenses $ 8,861,868 including grants of $ 376,418) (Revenue $ 0)

4e **Total program service expenses** ▶$ 41,735,561

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1	Yes	
2	Is the organization required to complete Schedule B, Schedule of Contributors (see instruction)?	2	Yes	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		No
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? *If "Yes," complete Schedule C, Part II*	4	Yes	
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? *If "Yes," complete Schedule C, Part III*	5		
6	Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6		No
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? *If "Yes," complete Schedule D, Part II*	7		No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8		No
9	Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9	Yes	
10	Did the organization, directly or through a related organization, hold assets in term, permanent,or quasi-endowments? *If "Yes," complete Schedule D, Part V*	10	Yes	
11	If the organization's answer to any of the following questions is 'Yes,' then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable			
a	Did the organization report an amount for land, buildings, and equipment in Part X, line10? *If "Yes," complete Schedule D, Part VI.*	11a	Yes	
b	Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII.*	11b		No
c	Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII.*	11c		No
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX.*	11d	Yes	
e	Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X.*	11e	Yes	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? *If "Yes," complete Schedule D, Part X.*	11f	Yes	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI, XII, and XIII*	12a	Yes	
b	Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," and if the organization answered 'No' to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional*	12b		No
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13		No
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		No
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, and program service activities outside the United States? *If "Yes," complete Schedule F, Parts I and IV*	14b		No
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or assistance to any organization or entity located outside the U S ? *If "Yes," complete Schedule F, Parts II and IV*	15		No
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or assistance to individuals located outside the U S ? *If "Yes," complete Schedule F, Parts III and IV*	16		No
17	Did the organization report a total of more than $15,000, of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I (see instructions)*	17		No
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18		No
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		No
20a	Did the organization operate one or more hospitals? *If "Yes," complete Schedule H*	20a		No
b	If "Yes" to line 20a, did the organization attach its audited financial statement to this return? **Note.** Some Form 990 filers that operate one or more hospitals must attach audited financial statements (see instructions)	20b		

Part IV Checklist of Required Schedules *(continued)*

			Yes	No
21	Did the organization report more than $5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21	Yes	
22	Did the organization report more than $5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22		No
23	Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b–24d and complete Schedule K. If "No," go to line 25*	24a		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	**Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I*	25b		No
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? *If "Yes," complete Schedule L, Part II*	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? *If "Yes," complete Schedule L, Part III*	27		No
28	Was the organization a party to a business transaction with one of the following parties? (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28b		No
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? *If "Yes," complete Schedule L, Part IV*	28c		No
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29		No
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? *If "Yes," complete Schedule R, Part I*	33		No
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1*	34		No
35	Is any related organization a controlled entity within the meaning of section 512(b)(13)?	35		No
a	Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2* ☐ **Yes** ☑ **No**			
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? **Note.** All Form 990 filers are required to complete Schedule O	38	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response to any question in this Part V . . . . ☐

					Yes	No
1a	Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable . .	1a	54			
b	Enter the number of Forms W-2G included in line 1a *Enter -0-* if not applicable	1b	0			
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?			1c	Yes	
2a	Enter the number of employees reported on Form W-3, *Transmittal of Wage and Tax Statements* filed for the calendar year ending with or within the year covered by this return	2a	130			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?			2b	Yes	
	Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)					
3a	Did the organization have unrelated business gross income of $1,000 or more during the year?			3a		No
b	If "Yes," has it filed a Form 990-T for this year? *If "No," provide an explanation in Schedule O*			3b		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?			4a		No
b	If "Yes," enter the name of the foreign country ▶ See instructions for filing requirements for Form TD F 90-22 1, Report of Foreign Bank and Financial Accounts					
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?			5a		No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			5b		No
c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?			5c		
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible?			6a		No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?			6b		
7	**Organizations that may receive deductible contributions under section 170(c).**					
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?			7a	Yes	
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?			7b	Yes	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?			7c		No
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d				
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			7e		No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			7f		No
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?			7g		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?			7h		
8	**Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?			8		
9	**Sponsoring organizations maintaining donor advised funds.**					
a	Did the organization make any taxable distributions under section 4966?			9a		
b	Did the organization make a distribution to a donor, donor advisor, or related person?			9b		
10	**Section 501(c)(7) organizations.** Enter					
a	Initiation fees and capital contributions included on Part VIII, line 12	10a				
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b				
11	**Section 501(c)(12) organizations.** Enter					
a	Gross income from members or shareholders	11a				
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b				
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?			12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b				
13	**Section 501(c)(29) qualified nonprofit health insurance issuers.**					
a	Is the organization licensed to issue qualified health plans in more than one state? **Note.** See the instructions for additional information the organization must report on Schedule O			13a		
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b				
c	Enter the amount of reserves on hand	13c				
14a	Did the organization receive any payments for indoor tanning services during the tax year?			14a		No
b	If "Yes," has it filed a Form 720 to report these payments? *If "No," provide an explanation in Schedule O*			14b		

Part VI **Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response to any question in this Part VI . . . ☑

Section A. Governing Body and Management

				Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year	1a	26		
b	Enter the number of voting members included in line 1a, above, who are independent	1b	26		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		2		No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?		3		No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		4		No
5	Did the organization become aware during the year of a significant diversion of the organization's assets?		5		No
6	Does the organization have members or stockholders?		6		No
7a	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?		7a		No
b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons?		7b		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following				
a	The governing body?		8a	Yes	
b	Each committee with authority to act on behalf of the governing body?		8b	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O		9		No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

			Yes	No
10a	Does the organization have local chapters, branches, or affiliates?	10a	Yes	
b	If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?	10b	Yes	
11a	Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?	11a	Yes	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990			
12a	Does the organization have a written conflict of interest policy? *If "No," go to line 13*	12a	Yes	
b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes	
c	Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done	12c	Yes	
13	Does the organization have a written whistleblower policy?	13	Yes	
14	Does the organization have a written document retention and destruction policy?	14	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	15a	Yes	
b	Other officers or key employees of the organization	15b	Yes	
	If "Yes" to line 15a or 15b, describe the process in Schedule O (See instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		No
b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	16b		

Section C. Disclosure

17 List the States with which a copy of this Form 990 is required to be filed ▶ AL , AK , AZ , AR , CA , CO , CT , DC , FL , GA , HI , IL , KS , KY , ME , MD , MA , MI , MN , MS , NH , NJ , NM , NY , NC , ND , OH , OK , OR , PA , RI , SC , TN , VA , WA , WV , WI

18 Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection Indicate how you make these available Check all that apply

☑ Own website ☐ Another's website ☑ Upon request

19 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public See Additional Data Table

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization ▶

ADRIENNE GLASGOW
14 WALL STREET NO 8C
New York, NY 10005
(212) 315-8762

Part VII **Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response to any question in this Part VII . . . .

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation, and **current** key employees Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees, if any See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (check all that apply) Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
See Additional Data Table										

Part VII **Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** (continued)

(A) Name and Title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (check all that apply): Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
See Additional Data Table										

1b Sub-Total ▶			
c Total from continuation sheets to Part VII, Section A ▶			
d Total (add lines 1b and 1c) ▶	1,674,667	0	273,506

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 in reportable compensation from the organization ▶15

		Yes	No
3 Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	3		No
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	4	Yes	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person*	5		No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization

(A) Name and business address	(B) Description of services	(C) Compensation
RR DONNELLY CORP 111 SOUTH WACKER DRIVE CHICAGO, IL 60606	MARKETING	6,046,247
INFOCISION MANAGEMENT CORP 325 SPRINGSIDE DRIVE AKRON, OH 44333	TELEMARKETING	2,757,872
LABEL TECH 16 INTERSTATE DRIVE SOMERSWORTH, NH 03878	PRINTING	2,390,041
ALANIZ PO BOX 799 425 N IRIS STREET MT PLEASANT, IA 52641	PRINTING	2,350,712
BRICKMILL MARKETING SERVICES 24 MILL BROOK ROAD WILTON, NJ 03086	MARKETING	2,128,642

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 in compensation from the organization ▶37

Part VIII Statement of Revenue

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, gifts, grants and other similar amounts	1a Federated campaigns . . . 1a					
	b Membership dues 1b	24,997				
	c Fundraising events 1c					
	d Related organizations . . . 1d					
	e Government grants (contributions) 1e	1,042,436				
	f All other contributions, gifts, grants, and similar amounts not included above 1f	10,848,325				
	g Noncash contributions included in lines 1a-1f $					
	h **Total.** Add lines 1a-1f . . . . ▶		11,915,758			
Program Service Revenue		Business Code				
	2a PROGRAM SERVICE CONTRACTS	900099	2,817,030	2,817,030		
	b CHARTERED ASSOCIATION ASSESSMENTS	900099	4,623,154	4,623,154		
	c MARKETING RESPONSE DATA CENTER FEES	900099	26,401,095	26,401,095		
	d					
	e					
	f All other program service revenue					
	g **Total.** Add lines 2a–2f . . . . ▶		33,841,279			
Other Revenue	3 Investment income (including dividends, interest and other similar amounts) . . . . . ▶		674,325			674,325
	4 Income from investment of tax-exempt bond proceeds . . ▶		0			
	5 Royalties . . . . ▶		745,093			745,093
		(i) Real / (ii) Personal				
	6a Gross Rents					
	b Less rental expenses					
	c Rental income or (loss)					
	d Net rental income or (loss) . . . . ▶					
		(i) Securities / (ii) Other				
	7a Gross amount from sales of assets other than inventory	(i) 11,427,380				
	b Less cost or other basis and sales expenses	(i) 11,044,457				
	c Gain or (loss)	(i) 382,923				
	d Net gain or (loss) . . . . ▶		382,923			382,923
	8a Gross income from fundraising events (not including $ ____ of contributions reported on line 1c) See Part IV, line 18 . . . a					
	b Less direct expenses . . . b					
	c Net income or (loss) from fundraising events . . ▶		0			
	9a Gross income from gaming activities See Part IV, line 19 . a					
	b Less direct expenses . . . . b					
	c Net income or (loss) from gaming activities . . . ▶		0			
	10a Gross sales of inventory, less returns and allowances . a	49,056				
	b Less cost of goods sold . . b	18,978				
	c Net income or (loss) from sales of inventory . . ▶		30,078	30,078		
	Miscellaneous Revenue	Business Code				
	11a LEGAL SETTLEMENT RECOVERY	900099	507,435			507,435
	b OTHER	900099	468,166			468,166
	c					
	d All other revenue					
	e **Total.** Add lines 11a–11d . . . . . . ▶		975,601			
	12 **Total revenue.** See Instructions . . . ▶		48,565,057	33,871,357		2,777,942

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.
All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

	Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	**(A)** Total expenses	**(B)** Program service expenses	**(C)** Management and general expenses	**(D)** Fundraising expenses
1	Grants and other assistance to governments and organizations in the U S See Part IV, line 21	6,101,403	6,101,403		
2	Grants and other assistance to individuals in the U S See Part IV, line 22	0			
3	Grants and other assistance to governments, organizations, and individuals outside the U S See Part IV, lines 15 and 16	0			
4	Benefits paid to or for members	0			
5	Compensation of current officers, directors, trustees, and key employees	1,837,994	720,824	972,902	144,268
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0			
7	Other salaries and wages	5,305,248	4,242,360	437,694	625,194
8	Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	1,493,189	1,026,867	291,470	174,852
9	Other employee benefits	528,473	363,431	103,158	61,884
10	Payroll taxes	541,605	372,462	105,721	63,422
a	Fees for services (non-employees) Management	0			
b	Legal	1,247,228		1,247,228	
c	Accounting	95,004		95,004	
d	Lobbying	669,116	669,116		
e	Professional fundraising services *See Part IV, line 17*	0			
f	Investment management fees	53,359		53,359	
g	Other	2,611,684	2,383,696	227,988	
12	Advertising and promotion	718,820	718,820		
13	Office expenses	632,538	409,655	187,126	35,757
14	Information technology	27,145	15,542	9,728	1,875
15	Royalties	0			
16	Occupancy	1,015,469	511,106	425,777	78,586
17	Travel	611,636	415,257	147,784	48,595
18	Payments of travel or entertainment expenses for any federal, state, or local public officials	0			
19	Conferences, conventions, and meetings	356,937	244,556	90,311	22,070
20	Interest	0			
21	Payments to affiliates	0			
22	Depreciation, depletion, and amortization	186,445	70,670	97,068	18,707
23	Insurance	101,009	42,344	49,193	9,472
24	Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24f If line 24f amount exceeds 10% of line 25, column (A) amount, list line 24f expenses on Schedule O)				
a	PUBLIC AWARENESS CAMPAIGN	23,954,184	23,140,493	24,903	788,788
b	BAD DEBT EXPENSES	184,824		184,824	
c	SERVICE CHARGES	6,822	3,609	2,694	519
d	MISCELLANEOUS	283,350	283,350		
e					
f	All other expenses				
25	**Total functional expenses.** Add lines 1 through 24f	48,563,482	41,735,561	4,753,932	2,073,989
26	**Joint costs.** Check here ☑ if following SOP 98-2 (ASC 958-720) Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation	1,599,177	834,248	0	764,929

Part X **Balance Sheet**

				(A) Beginning of year		(B) End of year
Assets	1	Cash—non-interest-bearing		162,222	1	499
	2	Savings and temporary cash investments		9,283,322	2	7,378,085
	3	Pledges and grants receivable, net			3	
	4	Accounts receivable, net		721,983	4	2,084,891
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L			5	
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers, and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Schedule L			6	
	7	Notes and loans receivable, net		142,564	7	0
	8	Inventories for sale or use			8	21,380
	9	Prepaid expenses and deferred charges		746,881	9	623,785
	10a	Land, buildings, and equipment cost or other basis *Complete Part VI of Schedule D*	10a 2,853,674			
	b	Less accumulated depreciation	10b 2,465,510	448,967	10c	388,164
	11	Investments—publicly traded securities		12,014,575	11	14,054,898
	12	Investments—other securities See Part IV, line 11			12	
	13	Investments—program-related See Part IV, line 11			13	
	14	Intangible assets			14	
	15	Other assets See Part IV, line 11		4,824,962	15	4,790,734
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)		28,345,476	16	29,342,436
Liabilities	17	Accounts payable and accrued expenses		2,199,083	17	2,280,726
	18	Grants payable		2,530,340	18	3,160,233
	19	Deferred revenue		1,618,561	19	2,889,026
	20	Tax-exempt bond liabilities			20	
	21	Escrow or custodial account liability *Complete Part IV of Schedule D*		2,191,646	21	2,050,636
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons *Complete Part II of Schedule L*			22	
	23	Secured mortgages and notes payable to unrelated third parties		2,579,183	23	2,229,182
	24	Unsecured notes and loans payable to unrelated third parties			24	
	25	Other liabilities Complete Part X of Schedule D		9,568,763	25	6,417,060
	26	**Total liabilities.** Add lines 17 through 25		20,687,576	26	19,026,863
Net Assets or Fund Balances		**Organizations that follow SFAS 117, check here ▶ ☑ and complete lines 27 through 29, and lines 33 and 34.**				
	27	Unrestricted net assets		5,068,395	27	7,394,100
	28	Temporarily restricted net assets		791,862	28	1,123,830
	29	Permanently restricted net assets		1,797,643	29	1,797,643
		Organizations that do not follow SFAS 117, check here ▶ ☐ and complete lines 30 through 34.				
	30	Capital stock or trust principal, or current funds			30	
	31	Paid-in or capital surplus, or land, building or equipment fund			31	
	32	Retained earnings, endowment, accumulated income, or other funds			32	
	33	Total net assets or fund balances		7,657,900	33	10,315,573
	34	Total liabilities and net assets/fund balances		28,345,476	34	29,342,436

Part XI **Reconcilliation of Net Assets**

Check if Schedule O contains a response to any question in this Part XI . . . . ☑

1	Total revenue (must equal Part VIII, column (A), line 12) . . .	1	48,565,057
2	Total expenses (must equal Part IX, column (A), line 25)	2	48,563,482
3	Revenue less expenses Subtract line 2 from line 1	3	1,575
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A)) . .	4	7,657,900
5	Other changes in net assets or fund balances (explain in Schedule O)	5	2,656,098
6	Net assets or fund balances at end of year Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B))	6	10,315,573

Part XII **Financial Statements and Reporting**

Check if Schedule O contains a response to any question in this Part XII . . . . ☑

			Yes	No
1	Accounting method used to prepare the Form 990 ☐ Cash ☑ Accrual ☐ Other ____________ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? . .	2a		No
b	Were the organization's financial statements audited by an independent accountant?	2b	Yes	
c	If "Yes," to 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	2c	Yes	
d	If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both ☑ Separate basis ☐ Consolidated basis ☐ Both consolidated and separated basis			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a	Yes	
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits . .	3b	Yes	

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SCHEDULE A
(Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2010

Open to Public Inspection

Name of the organization
American Lung Association

Employer identification number
13-1632524

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☑ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)** (Complete Part II)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)

9 ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)

10 ☐ An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a ☐ Type I **b** ☐ Type II **c** ☐ Type III - Functionally integrated **d** ☐ Type III - Other

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

f If the organization received a written determination from the IRS that it is a Type I, Type II or Type III supporting organization, check this box ☐

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

		Yes	No
(i) a person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the the supported organization?	**11g(i)**		
(ii) a family member of a person described in (i) above?	**11g(ii)**		
(iii) a 35% controlled entity of a person described in (i) or (ii) above?	**11g(iii)**		

h Provide the following information about the supported organization(s)

(i) Name of supported organization	**(ii)** EIN	**(iii)** Type of organization (described on lines 1- 9 above or IRC section **(see instructions)**)	**(iv)** Is the organization in col (i) listed in your governing document?		**(v)** Did you notify the organization in col (i) of your support?		**(vi)** Is the organization in col (i) organized in the U S ?		**(vii)** Amount of support
			Yes	**No**	**Yes**	**No**	**Yes**	**No**	
Total									

Part II **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")	24,681,358	13,991,885	14,100,696	18,165,400	11,915,758	82,855,097
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 **Total.** Add lines 1 through 3	24,681,358	13,991,885	14,100,696	18,165,400	11,915,758	82,855,097
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						2,537,467
6 **Public Support.** Subtract line 5 from line 4						80,317,630

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
7 Amounts from line 4	24,681,358	13,991,885	14,100,696	18,165,400	11,915,758	82,855,097
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	2,435,520	1,454,604	584,295	985,737	1,419,418	6,879,574
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)	52,144	121,136	101,141	165,047	975,601	1,415,069
11 **Total support** (Add lines 7 through 10)						91,149,740

12 Gross receipts from related activities, etc (See instructions) | 12 | 156,559,557

13 **First Five Years** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** ▶☐

Section C. Computation of Public Support Percentage

14 Public Support Percentage for 2010 (line 6 column (f) divided by line 11 column (f)) | 14 | 88 116 %

15 Public Support Percentage for 2009 Schedule A, Part II, line 14 | 15 | 90 500 %

16a **33 1/3% support test—2010.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☑

b **33 1/3% support test—2009.** If the organization did not check the box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

17a **10%-facts-and-circumstances test—2010.** If the organization did not check a box on line 13, 16a, or 16b and line 14 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization ▶☐

b **10%-facts-and-circumstances test—2009.** If the organization did not check a box on line 13, 16a, 16b, or 17a and line 15 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization ▶☐

18 **Private Foundation** If the organization did not check a box on line 13, 16a, 16b, 17a or 17b, check this box and see instructions ▶☐

Part III **Support Schedule for Organizations Described in Section 509(a)(2)**
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	**(a)** 2006	**(b)** 2007	**(c)** 2008	**(d)** 2009	**(e)** 2010	**(f)** Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 **Public Support** (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in)	**(a)** 2006	**(b)** 2007	**(c)** 2008	**(d)** 2009	**(e)** 2010	**(f)** Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
13 **Total support** (Add lines 9, 10c, 11 and 12)						

14 **First Five Years** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section501(c)(3) organization, check this box and **stop here** ▶☐

Section C. Computation of Public Support Percentage

15 Public Support Percentage for 2010 (line 8 column (f) divided by line 13 column (f))	**15**	
16 Public support percentage from 2009 Schedule A, Part III, line 15	**16**	

Section D. Computation of Investment Income Percentage

17 Investment income percentage for **2010** (line 10c column (f) divided by line 13 column (f))	**17**	
18 Investment income percentage from **2009** Schedule A, Part III, line 17	**18**	

19a **33 1/3% support tests—2010.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3% and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

b **33 1/3% support tests—2009.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

20 **Private Foundation** If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions ▶☐

Part IV **Supplemental Information.** Supplemental Information. Complete this part to provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

SCHEDULE C (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ Complete if the organization is described below.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

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If the organization answered "Yes," to Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered "Yes," to Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered "Yes," to Form 990, Part IV, Line 5 (Proxy Tax) or Form 990-EZ, Part V, line 35a (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization	Employer identification number
American Lung Association	13-1632524

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV

2 Political expenditures ▶ $

3 Volunteer hours

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ $

2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ $

3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☐ No

4a Was a correction made? ☐ Yes ☐ No

b If "Yes," describe in Part IV

Part I-C Complete if the organization is exempt under section 501(c) except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ $

2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt funtion activities ▶ $

3 Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b ▶ $

4 Did the filing organization file **Form 1120-POL** for this year? ☐ Yes ☐ No

5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

(a) Name	**(b)** Address	**(c)** EIN	**(d)** Amount paid from filing organization's funds If none, enter -0-	**(e)** Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-

Part II-A **Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

A Check ☐ if the filing organization belongs to an affiliated group
B Check ☐ if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	**(a)** Filing Organization's Totals	**(b)** Affiliated Group Totals
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)		
b Total lobbying expenditures to influence a legislative body (direct lobbying)		
c Total lobbying expenditures (add lines 1a and 1b)		
d Other exempt purpose expenditures		
e Total exempt purpose expenditures (add lines 1c and 1d)		
f Lobbying nontaxable amount Enter the amount from the following table in both columns		

If the amount on line 1e, column (a) or (b) is:	**The lobbying nontaxable amount is:**
Not over $500,000	20% of the amount on line 1e
Over $500,000 but not over $1,000,000	$100,000 plus 15% of the excess over $500,000
Over $1,000,000 but not over $1,500,000	$175,000 plus 10% of the excess over $1,000,000
Over $1,500,000 but not over $17,000,000	$225,000 plus 5% of the excess over $1,500,000
Over $17,000,000	$1,000,000

	(a)	(b)
g Grassroots nontaxable amount (enter 25% of line 1f)		
h Subtract line 1g from line 1a If zero or less, enter -0-		
i Subtract line 1f from line 1c If zero or less, enter -0-		

j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? ☐ **Yes** ☐ **No**

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period

Calendar year (or fiscal year beginning in)	**(a)** 2007	**(b)** 2008	**(c)** 2009	**(d)** 2010	**(e)** Total
2a Lobbying non-taxable amount					
b Lobbying ceiling amount (150% of line 2a, column(e))					
c Total lobbying expenditures					
d Grassroots non-taxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

		(a) Yes	(a) No	(b) Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
a	Volunteers?	Yes		
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?	Yes		
c	Media advertisements?	Yes		359,419
d	Mailings to members, legislators, or the public?	Yes		18,263
e	Publications, or published or broadcast statements?	Yes		36,000
f	Grants to other organizations for lobbying purposes?	Yes		2,500
g	Direct contact with legislators, their staffs, government officials, or a legislative body?	Yes		233,743
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?	Yes		3,540
i	Other activities? If "Yes," describe in Part IV	Yes		15,654
j	Total lines 1c through 1i			669,119
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		No	
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

			Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1		
2	Did the organization make only in-house lobbying expenditures of $2,000 or less?	2		
3	Did the organization agree to carryover lobbying and political expenditures from the prior year?	3		

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, lines 1 and 2 are answered "No" OR if Part III-A, line 3 is answered "Yes".

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) non-deductible lobbying and political expenditures **(do not include amounts of political expenses for which the section 527(f) tax was paid).**		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, and Part II-B, line 1i Also, complete this part for any additional information

Identifier	Return Reference	Explanation
Schedule C, Part II-B, Line 1(i)		THE AMERICAN LUNG ASSOCIATION VOLUNTEERS AND STAFF ENGAGE IN A WIDE RANGE OF ADVOCACY ACTIVITIES TO FURTHER OUR MISSION TO SAVE LIVES BY IMPROVING LUNG HEALTH AND PREVENTING LUNG DISEASE OUR WORK INCLUDES EFFORTS TO EDUCATE MEMBERS OF CONGRESS, THEIR STAFF AND THE PUBLIC ON LUNG HEALTH ISSUES WE ADVOCATE FOR CLEAN, HEALTHY AIR TO REDUCE THE HEALTH IMPACTS OF AIR POLLUTION WE FOCUS ON SUPPORTING THE IMPLEMENTATION AND STRENGTHENING OF THE NATION'S CLEAN AIR LAWS WE STRONGLY SUPPORT LUNG HEALTH RESEARCH FUNDING INCLUDING FUNDING FOR LUNG CANCER, CHRONIC OBSTRUCTIVE PULMONARY DISEASE (COPD), ASTHMA, TUBERCULOSIS AND OTHER LUNG DISEASES FURTHERMORE, THE AMERICAN LUNG ASSOCIATION VOLUNTEERS AND STAFF ACTIVELY ADVOCATE IN WASHINGTON, D C AND IN THE STATES FOR TOBACCO CONTROL LAWS, INCLUDING EFFORTS TO REGULATE TOBACCO PRODUCTS, PROMOTE TOBACCO CESSATION AND ELIMINATE EXPOSURE TO SECONDHAND SMOKE Specifically, the $15,654 in lobbying expenditures reported on Line 1(i) represents 50% of the costs of holding Advocacy Day (inclusive of the time of the VP and the Director of Advocacy spent planning and implementing the event)

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

► Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.
► Attach to Form 990. ► See separate instructions.

OMB No 1545-0047

2010

Open to Public Inspection

Name of the organization	Employer identification number
American Lung Association	13-1632524

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate contributions to (during year)		
3	Aggregate grants from (during year)		
4	Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit ☐ Yes ☐ No

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or pleasure)
☐ Preservation of an historically importantly land area
☐ Protection of natural habitat
☐ Preservation of a certified historic structure
☐ Preservation of open space

2 Complete lines 2a–2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

			Held at the End of the Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 8/17/06	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the taxable year ►________

4 Number of states where property subject to conservation easement is located ►________

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting and enforcing conservation easements during the year ►________

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ► $ ________

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization’s financial statements that describes the organization’s accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1 ► $ ________

(ii) Assets included in Form 990, Part X ► $ ________

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items

a Revenues included in Form 990, Part VIII, line 1 ► $ ________

b Assets included in Form 990, Part X ► $ ________

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

3 Using the organization's accession and other records, check any of the following that are a significant use of its collection items (check all that apply)

a ☐ Public exhibition
d ☐ Loan or exchange programs
b ☐ Scholarly research
e ☐ Other
c ☐ Preservation for future generations

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☑ No

b If "Yes," explain the arrangement in Part XIV and complete the following table

		Amount
c Beginning balance	1c	
d Additions during the year	1d	
e Distributions during the year	1e	
f Ending balance	1f	

2a Did the organization include an amount on Form 990, Part X, line 21? ☑ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current Year	**(b)** Prior Year	**(c)** Two Years Back	**(d)** Three Years Back	**(e)** Four Years Back
1a Beginning of year balance	980,525	950,135			
b Contributions					
c Investment earnings or losses	201,713	97,595			
d Grants or scholarships	72,000	56,728			
e Other expenditures for facilities and programs					
f Administrative expenses	10,554	10,477			
g End of year balance	1,099,684	980,525			

2 Provide the estimated percentage of the year end balance held as

a Board designated or quasi-endowment ▶

b Permanent endowment ▶ 100 000 %

c Term endowment ▶

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

		Yes	No
(i) unrelated organizations	3a(i)		No
(ii) related organizations	3a(ii)		No
b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?	3b		

4 Describe in Part XIV the intended uses of the organization's endowment funds

Part VI Investments—Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of investment	**(a)** Cost or other basis (investment)	**(b)** Cost or other basis (other)	**(c)** Accumulated depreciation	**(d)** Book value
1a Land				
b Buildings				
c Leasehold improvements		205,553	113,217	92,336
d Equipment		1,707,228	1,661,932	45,296
e Other		940,893	690,361	250,532
Total. Add lines 1a-1e *(Column (d) should equal Form 990, Part X, column (B), line 10(c).)* ▶				388,164

Part VII Investments—Other Securities. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	**(b)** Book value	**(c)** Method of valuation Cost or end-of-year market value
(1)Financial derivatives		
(2)Closely-held equity interests		
Other		
Total. *(Column (b) should equal Form 990, Part X, col (B) line 12)* ▶		

Part VIII Investments—Program Related. See Form 990, Part X, line 13.

(a) Description of investment type	**(b)** Book value	**(c)** Method of valuation Cost or end-of-year market value
Total. *(Column (b) should equal Form 990, Part X, col (B) line 13)* ▶		

Part IX Other Assets. See Form 990, Part X, line 15.

(a) Description	**(b)** Book value
(1) DUE FROM CHARTERED ASSOC	3,326,055
(2) OTHER ASSETS	1,464,679
Total. *(Column (b) should equal Form 990, Part X, col.(B) line 15.)* . . . . ▶	4,790,734

Part X Other Liabilities. See Form 990, Part X, line 25.

1 **(a)** Description of Liability	**(b)** Amount
Federal Income Taxes	0
OTHER LIABILITIES	394,837
PENSION AND LIFE INSURANCE BENEFIT	3,533,831
ACCRUED VACATION EXPENSES	647,750
ACCRUED ANNUITIES	444,471
DEFERRED RENT	424,278
DUE FROM CHARTERED ASSOC	971,893
Total. *(Column (b) should equal Form 990, Part X, col (B) line 25)* ▶	6,417,060

2. Fin 48 (ASC 740) Footnote In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC740)

Part XI Reconciliation of Change in Net Assets from Form 990 to Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	48,565,057
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	48,563,482
3	Excess or (deficit) for the year Subtract line 2 from line 1	3	1,575
4	Net unrealized gains (losses) on investments	4	124,418
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	2,531,680
9	Total adjustments (net) Add lines 4 - 8	9	2,656,098
10	Excess or (deficit) for the year per financial statements Combine lines 3 and 9	10	2,657,673

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements			1	78,829,384
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12				
a	Net unrealized gains on investments	2a	124,418		
b	Donated services and use of facilities	2b	27,608,229		
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIV)	2d	2,531,680		
e	Add lines **2a** through **2d**			2e	30,264,327
3	Subtract line **2e** from line **1**			3	48,565,057
4	Amounts included on Form 990, Part VIII, line 12, but not on line **1**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIV)	4b			
c	Add lines **4a** and **4b**			4c	
5	Total Revenue Add lines **3** and **4c.** (This should equal Form 990, Part I, line 12)			5	48,565,057

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements			1	76,171,711
2	Amounts included on line 1 but not on Form 990, Part IX, line 25				
a	Donated services and use of facilities	2a	27,608,229		
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIV)	2d			
e	Add lines **2a** through **2d**			2e	27,608,229
3	Subtract line **2e** from line **1**			3	48,563,482
4	Amounts included on Form 990, Part IX, line 25, but not on line **1:**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIV)	4b			
c	Add lines **4a** and **4b**			4c	
5	Total expenses Add lines **3** and **4c.** (This should equal Form 990, Part I, line 18)			5	48,563,482

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b Also complete this part to provide any additional information

Identifier	Return Reference	Explanation
Schedule D		Part IV, Line 2b Escrow and Custodial Arrangements The American Lung Association holds certain annuity funds on behalf of its Chartered Associations The asset and related liability are reported on the organization's balance sheet and effectively net out Part V, Line 4 DESCRIPTION OF INTENDED USE OF ENDOWMENT FUNDS American Lung Association maintains various donor restricted funds whose purpose is to provide long-term support for its programs In classifying such funds for financial statement purposes as either permanently restricted, temporarily restricted or unrestricted net assets, the Board of Directors looks to explicit directions of the donor where applicable and the provisions of the laws of the State of New York National Headquarters has adopted investment policies for endowment assets that attempt to provide a predictable stream of funding to programs supported by its endowment while seeking to maintain the purchasing power of the endowment assets
Schedule D	Part X Description of Uncertain Tax Positions Under FIN48	In July 2006, the FASB issued guidance in the area of "Accounting for Uncertainty in Income Taxes " The standard clarifies the accounting for uncertainty in tax positions taken or expected to be taken in a tax return, including issues relating to financial statement recognition and measurement This standard provides that the tax effects from an uncertain tax position can be recognized in the financial statements only if the position is "more-likely-than-not" to be sustained if the position were to be challenged by a taxing authority The standard also provides guidance on measurement, classification, interest and penalties, and disclosure It was effective for National Headquarters on July 1, 2009, and had no impact on the accompanying financial statements The tax years ended 2007, 2008, 2009 and 2010 are still open to audit for both federal and state purposes National Headquarters has processes presently in place to ensure the maintenance of its tax-exempt status, to identify and report unrelated income, to determine its filing and tax obligations in jurisdictions for which it has nexus, and to identify and evaluate other matters that may be considered tax positions
Schedule D, Part XI, Line 9		Change in fair value of beneficial interest in trust - $247,590 Change in value of Split Interest Agreements - ($6,558) Pension and life insurance benefit plan activities - $2,290,648 Total - $2,531,680 Schedule D, Part XII, Line 2d- Change in fair value of beneficial interest in trust - $247,590 Change in value of Split Interest Agreements - ($6,558) Pension and life insurance benefit plan activities - $2,290,648 Total Change Reportable on Line 2(d) - $2,531,680

Schedule I (Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990

OMB No 1545-0047

2010

Open to Public Inspection

Name of the organization
American Lung Association

Employer identification number
13-1632524

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . ☑ Yes ☐ No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Governments and Organizations in the United States.

Complete if the organization answered "Yes" to Form 990, Part IV, line 21 for any recipient that received more than $5,000. Check this box if no one recipient received more than $5,000. Part II can be duplicated if additional space is needed. . . . ▶ ☐

1 (a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
See Additional Data Table							

2 Enter total number of section 501(c)(3) and government organizations . . . ▶ 75

3 Enter total number of other organizations . . . ▶ 0

Part III **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22. Use Schedule I-1 (Form 990) if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance

Part IV **Supplemental Information.** Complete this part to provide the information required in Part I, line 2, and any other additional information.

Identifier	Return Reference	Explanation
Schedule I, Part I, Line 2	Procedure for Monitoring Grants in the US	Award recipients are required to submit a renewal application after their first year of funding Renewal applications are then reviewed by our Research Committee Chairs for approval of second year funding At the time of termination (after the second year of funding), award recipients are required to submit a summary of their activities, copies of presentations and/or publications, and a cash disbursement report for the entire grant time

Additional Data

Return to Form

Software ID:
Software Version:
EIN: 13-1632524
Name: American Lung Association

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Baylor College of Medicine One Baylor Plaza Houston, TX 770303411	74-1613878	501(c)(3)	107,500		FMV		Research
Brigham & Women's Hospital 75 Francis Street Boston, MA 02115	04-2312909	501(c)(3)	40,000		FMV		Research
Case Western Reserve University10900 Euclid Ave Cleveland, OH 441064960	34-1018992	501(c)(3)	120,000		FMV		Research
Children's Hospital Medical Center-CincinnatiChildrens Hospital Medical Center Cincinnati, OH 452293026	31-0833936	501(c)(3)	140,000		FMV		Research
Colorado State University 200 West Lake Street Fort Collins, CO 80523	84-6000545	government	60,000		FMV		Research
Cornell UniversityVeterinary Medical Center Upper To Ithaca, NY 14853	15-0532082	501(c)(3)	40,000		FMV		Research
Dana-Farber Cancer Institute4 4 Binney Street Boston, MA 02115	04-2263040	501(c)(3)	100,000		FMV		Research
Drexel University2900 Queen Lane Philadelphia, PA 19129	23-1352630	501(c)(3)	40,000		FMV		Research
Georgetown University3800 Reservoir Rd NW Washington, DC 200571465	53-0196603	501(c)(3)	21,000		FMV		Research
Georgia State University Research Foundation Inc140 Decatur St Urban Life Building Atlanta, GA 30303	58-1845423	501(c)(3)	38,761		FMV		Research
Harvard School of Public HealthHSPH I Room 305 665 Huntington Ave Boston, MA 02115	04-2103580	501(c)(3)	40,000		FMV		Research
Indiana UniversityPO Box 66057 Indianapolis, IN 46266	35-6001673	501(c)(3)	155,000		FMV		Research

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Lovelace Respiratory Research Inst2425 Ridgecrest Drive SE Albuquerque, NM 87108	85-0110669	501(c)(3)	40,000		FMV		Research
Massachusetts General Hospital55 Fruit Street Boston, MA 02114	04-1564655	501(c)(3)	140,000		FMV		Research
National Jewish Medical and Research Center1400 Jackson Street Denver, CO 80206	74-2044647	501(c)(3)	115,000		FMV		Research
NYU School of Medicine545 First Avenue New York, NY 10016	13-5562308	501(c)(3)	77,500		FMV		Research
Oregon Health & Science University3181 SW Sam Jackson Park Road Portland, OR 97239	93-1176109	government	40,000		FMV		Research
Regents of the University of California SF3333 California St Suite 315 Box San Francisco, CA 94118	94-6036493	501(c)(3)	137,500		FMV		Research
Regents of Univ of Michigan 3003 S State Street Ann Arbor, MI 48109	38-6006309	501(c)(3)	65,000		FMV		Research
Rochester General Hospital 1425 Portland Ave Rochester, NY 14621	16-0743134	501(c)(3)	40,000		FMV		Research
Seattle Biomedical Research Institute307 Westlake Ave N Suite 500 Seattle, WA 98109	91-0961784	501(c)(3)	40,000		FMV		Research
Stanford UniversityCorte Madera Creek Bldg Ste 142 3 Palo Alto, CA 94304	94-1156365	501(c)(3)	115,000		FMV		Research
Texas Tech University Health Sciences Center3601 4th St Lubbock, TX 79430	75-6043842	501(c)(3)	40,000		FMV		Research
The Children's Hospital of Philadelphia3615 Civic Center Blvd Philadelphia, PA 19104	23-1352166	501(c)(3)	32,500		FMV		Research

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
The Ohio State University Research Foundation1960 Kenny Road Columbus, OH 43210	31-6401599	501(c)(3)	247,500		FMV		Research
The Rockefeller University 1230 York Ave New York, NY 10065	13-1624158	501(c)(3)	32,500		FMV		Research
The Trustees of the University of Pennsylvania BRBII/III 438 421 Curie Blvd Philadelphia, PA 19104	23-1352685	501(c)(3)	112,500		FMV		Research
The University of North Carolina at Chapel Hill104 Airport Drive Suite 2200 Camp Chapel Hill, NC 27599	59-1711424	501(c)(3)	61,000		FMV		Research
The University of Texas MD Anderson Cancer Cente1515 Holcombe Blvd Houston, TX 77030	74-6001118	government	139,860		FMV		Research
The University of Wisconsin 600 Highland Ave Madison, WI 53792	39-0743975	501(c)(3)	40,000		FMV		Research
Trustees of Boston University B U Medical Campus72 East Concord St R304 Boston, MA 02118	04-2103547	501(c)(3)	32,500		FMV		Research
University of Massachusetts 333 South Street Suite 450 Shrewsbury, MA 015454176	04-3167352	government	32,500		FMV		Research
University of Alabama at BirminghamUniversity Station Birmingham, AL 35294	63-6005396	501(c)(3)	32,500		FMV		Research
University of Chicago5801 South Ellis Avenue Chicago, IL 60637	36-2177139	501(c)(3)	40,000		FMV		Research
University of Cincinnati51 Goodman Drive PO Box 210222 Cincinnati, OH 452210222	31-0896555	501(c)(3)	39,844		FMV		Research
University of Florida2055 Mowry Rd Suite 250 PO Box 1 Gainesville, FL 32611	59-0974739	501(c)(3)	39,738		FMV		Research

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of Georgia Research Foundation617 Boyd GSRC Athens, GA 306027411	58-1353149	501(c)(3)	39,197		FMV		Research
University of Illinois at Urbana-Champaign601 S Mathews Avenue Urbana, IL 61801	37-6000511	government	100,000		FMV		Research
University of Miami Miller School of MedicinePO Box 025405 Miami, FL 33102	59-0624458	501(c)(3)	77,500		FMV		Research
University of Pennsylvania Medical CenterSuite 1016A Abramson Research Bui Philadelphia, PA 19104	23-2810852	501(c)(3)	40,000		FMV		Research
University of Pittsburgh Office of Financial Information Pittsburgh, PA 15260	25-0965591	501(c)(3)	105,000		FMV		Research
University of Washington 3917 University Way NE Seattle, WA 98105	91-6001537	government	263,000		FMV		Research
USCUniversity of Southern CaliforniaBiggy St NRT5509 Los Angeles, CA 90033	95-1642394	501(c)(3)	32,500		FMV		Research
UT Southwestern Medical Center5323 Harry Hines Blvd Dallas, TX 753909034	75-2556007	501(c)(3)	40,000		FMV		Research
Virginia Commonwealth UniversityRoom 356E Smith Bldg 410 North 12 Richmond, VA 23298	54-0757884	501(c)(3)	40,000		FMV		Research
Washington University School of Medicine902 Yalem Box 8052 660 S Euclid A St Louis, MO 63110	43-0653611	501(c)(3)	80,000		FMV		Research
West Virginia University Research Corporation1 Medical Rd 4052 HSN PO Box 9128 Morgantown, WV 26506	55-0665758	501(c)(3)	40,000		FMV		Research
Yale University2 Whitney Avenue 6th Fl New Haven, CT 06510	06-0646973	501(c)(3)	32,500		FMV		Research

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of Vermont85 South Prospect Street Burlington, VT 05405	03-0179440	501(c)(3)	179,000		FMV		Research
University of VirginiaPO Box 400202 Charlottesville, VA 22904	54-6001796	501(c)(3)	75,000		FMV		Research
Duke UniversityBox 104132 Durham, NC 27708	56-0532129	501(c)(3)	75,000		FMV		Research
University of Missouri - Kansas City5100 Rockhill Road AC202 Kansas City, MO 641102499	43-6003859	government	75,000		FMV		Research
University of ArizonaPO Box 3308 Tucson, AZ 85722	74-2652689	501(c)(3)	75,000		FMV		Research
Univ of South Florida3802 Spectrum Blvd Suite 100 Tampa, FL 33612	59-2959590	501(c)(3)	37,500		FMV		Research
Trustees of Columbia University722 W168th Street 4th Fl New York, NY 10032	15-5598093	501(c)(3)	141,500		FMV		Research
Regents of the Univ of CA San Diego9500 Gilman Drive La Jolla, CA 92093	95-6006144	501(c)(3)	183,000		FMV		Research
Nemours Children's Clinic - Jacksonvillec/o Finance Dept 10140 Centurion Pa Jacksonville, FL 32256	59-0634433	501(c)(3)	243,000		FMV		Research
North Shore Long Island Jewish300 Community Drive Manhasset, NY 11030	11-1562701	501(c)(3)	75,000		FMV		Research
Northwestern University619 Clark Street Evanston, IL 60208	36-2167817	501(c)(3)	100,000		FMV		Research
NYU Medical CollegeChildren's & Women's Physician40 Sunshine Cottage Road Admim Bld Valhalla, NY 10595	13-1099420	501(c)(3)	163,000		FMV		Research

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Johns Hopkins University 1101 E33rd Street Suite D200 Baltimore, MD 21218	52-0595110	501(c)(3)	498,500		FMV		Research
Louisiana State University Health Sciences Center433 Bolivar Street New Orleans, LA 70112	72-6087770	government	75,000		FMV		Research
American Asthma Foundation4 Embarcadero Center San Francisco, CA 94111	20-8452401	501(c)(3)	83,333		FMV		Research
Eastern Virginia Medical School358 Mowbray Arch Ste 307 Norfolk, VA 23507	54-6055378	government	15,000		FMV		Federal Grants & Program Site Grants
ALA of California424 Pendleton Way Oakland, CA 94621	94-0362650	501(c)(3)	48,250		FMV		Federal Grants & Program Site Grants
ALA of Mid-Atlantic3001 Old Gettyburg Road Camp Hill, PA 17011	25-1825116	501(c)(3)	100,235		FMV		Federal Grants & Program Site Grants
ALA of Mountain Pacific 7420 SW Bridgeport Road Suite 200 Tigard, OR 97224	93-0386887	501(c)(3)	14,609		FMV		Federal Grants & Program Site Grants
ALA of New York116 John Street New York, NY 10038	26-2818624	501(c)(3)	51,192		FMV		Federal Grants & Program Site Grants
ALA of the Plains Gulf Region2325 Severn Avenue Suite 8 Metairie, LA 70001	63-0320189	501(c)(3)	45,239		FMV		Federal Grants & Program Site Grants
ALA of Midland States1950 Arlingate Lane Columbus, OH 43228	31-4379531	501(c)(3)	150,000		FMV		Federal Grants & Program Site Grants
ALA of New England45 Ash Street East Hartford, CT 06108	06-0646594	501(c)(3)	164,966		FMV		Federal Grants & Program Site Grants
ALA of the Southeast6852 Belfort Oaks Place Jacksonville, FL 32216	59-0662271	501(c)(3)	41,000		FMV		Federal Grants & Program Site Grants

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ALA of the Southwest5600 Greenwood Plaza Blvd Suite 10 Greenwood Village, CO 80111	86-0111676	501(c)(3)	35,977		FMV		Federal Grants & Program Site Grants
ALA of the Upper Midwest 3000 Kelly Lane Springfield, IL 62707	20-4392201	501(c)(3)	22,610		FMV		Federal Grants & Program Site Grants

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Schedule J (Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees
▶ Complete if the organization answered "Yes" to Form 990, Part IV, question 23.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2010

Open to Public Inspection

Name of the organization
American Lung Association

Employer identification number
13-1632524

Part I Questions Regarding Compensation

			Yes	No
1a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items ☐ First-class or charter travel ☐ Housing allowance or residence for personal use ☐ Travel for companions ☐ Payments for business use of personal residence ☐ Tax idemnification and gross-up payments ☐ Health or social club dues or initiation fees ☐ Discretionary spending account ☐ Personal services (e g , maid, chauffeur, chef)			
b	If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement orprovision of all the expenses described above? If "No," complete Part III to explain	**1b**		
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?	**2**		
3	Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director Check all that apply ☑ Compensation committee ☑ Written employment contract ☑ Independent compensation consultant ☑ Compensation survey or study ☑ Form 990 of other organizations ☑ Approval by the board or compensation committee			
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization			
a	Receive a severance payment or change-of-control payment from the organization or a related organization?	**4a**		No
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	**4b**		No
c	Participate in, or receive payment from, an equity-based compensation arrangement? If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III	**4c**		No
	Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.			
5	For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of			
a	The organization?	**5a**		No
b	Any related organization? If "Yes," to line 5a or 5b, describe in Part III	**5b**		No
6	For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of			
a	The organization?	**6a**		No
b	Any related organization? If "Yes," to line 6a or 6b, describe in Part III	**6b**		No
7	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	**7**	Yes	
8	Were any amounts reported in Form 990, Part VII, paid or accured pursuant to a contract that was subject to the initial contract exception described in Regs section 53 4958-4(a)(3)? If "Yes," describe in Part III	**8**		No
9	If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?	**9**		

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(i)-(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
(1) CHARLES D CONNOR	(i)	319,142	45,000	0	32,610	12,388	409,140	0
	(ii)	0	0	0	0	0	0	0
(2) ADRIENNE GIASGOW	(i)	199,186	0	0	0	9,159	208,345	0
	(ii)	0	0	0	0	0	0	0
(3) B SUSAN DAVIS	(i)	205,941	0	0	27,411	9,180	242,532	0
	(ii)	0	0	0	0	0	0	0
(4) SUSAN J RAPPAPORT	(i)	162,647	0	0	21,648	17,766	202,061	0
	(ii)	0	0	0	0	0	0	0
(5) JANET WIDMER	(i)	153,120	0	0	20,380	17,873	191,373	0
	(ii)	0	0	0	0	0	0	0
(6) PAUL BILLINGS	(i)	154,111	0	0	20,512	1,012	175,635	0
	(ii)	0	0	0	0	0	0	0
(7) DELIA NAUGHTON	(i)	133,168	0	0	17,725	8,963	159,856	0
	(ii)	0	0	0	0	0	0	0
(8) RUSSELL BURWELL	(i)	138,755	0	0	18,468	8,978	166,201	0
	(ii)	0	0	0	0	0	0	0
(9) CARRIE MARTIN	(i)	145,073	0	0	19,309	8,999	173,381	0
	(ii)	0	0	0	0	0	0	0
(10)								
(11)								
(12)								
(13)								
(14)								
(15)								
(16)								

Part III **Supplemental Information**

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8 Also complete this part for any additional information

Identifier	Return Reference	Explanation
Schedule J, Part I, Line 7		The American Lung Association provided one discretionary bonus in the year ending June 30, 2011 This bonus was provided to the President & Ceo, Charles Connor The bonus was awarded based on merit and was determined by the Leadership Committee The Leadership Committee then passes its recommendation to the Executive Committee where the Chairman of the Board ultimately approves the bonus

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SCHEDULE O (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.

OMB No 1545-0047

2010

Open to Public Inspection

Name of the organization
American Lung Association

Employer identification number
13-1632524

Identifier	Return Reference	Explanation
Form 990, Part VI, Section B		Line 11 Review process of Form 990 The American Lung Association prepares its Form 990 and then submits it to an outside accounting firm for review The Association has established the following review process to ensure that the information reported is complete and accurate Once the Form 990 has been prepared, reviewed by management and its external accounting firm, and is ready to be filed with the Internal Revenue Service, it is submitted electronically to members of the organization's governing body, the Audit Committee, for any comments prior to its submission The members of the governing body have 10 days to review the form, they subsquently meet by conference call to review any comments by the group and agree to any changes that may need to be made to the form prior to its submission to the Internal Revenue Service Each of these comments are documented, addressed and finalized in board minutes before the submission Line 12 Conflict of Interest Policy ALA currently has in place a conflict of interest policy which it annually monitors and enforces The board currently mandates that all members of management, the governing body, and staff annually sign a conflict of interest policy and disclose any potential or actual conflicts that may exist The signed conflict of interest policy is submitted to the governance committee These statements are reviewed for potential or actual conflicts If a potential or actual conflict of interest exists, the committee will notify members of management about such conflict and investigate the conflict If management establishes that an actual conflict exists, the member of management will be notified immediately and will not be allowed to vote or be a part of any decisions about any such transactions that have to do with the conflict until such time there is no longer a conflict Line 15 Compensation Review The American Lung Association has established a compensation policy for their leadership committee to follow in establishing the compensation for their CEO, top management official, other officers or key employees The policy mandates that executive compensation be periodically reviewed by the committee and that the committee should be free of conflicts of interest In addition, the approving committee needs to review appropriate and adequate data to determine the reasonableness of the compensation being considered The committee may use a variety of information and studies that are available to determine that the appropriate level of compensation is being paid to its executives The committee's decision on the amount of compensation paid is documented in a contemporaneously written format and should document the date of the decision, the members present during the meeting and those who voted on it, the details of the transaction that was approved and the comparable date used and relied upon to make the decision The compensation review process for the CEO and other officer or key employees was last undertaken in December 2010

Additional Data

Software ID:
Software Version:
EIN: 13-1632524
Name: American Lung Association

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
DON AWERKAMP PHD JD BOARD MEMBER	2 0	X						0	0	0
LEE A BAGGOTT MD FCCP BOARD MEMBER	2 0	X						0	0	0
CHRISTINE BRYANT SECRETARY/TREASURER	8 0	X		X				0	0	0
TIMOTHY D BYRUM MSN CRNP BOARD MEMBER	2 0	X						0	0	0
ARTHUR CERULLO JD BOARD MEMBER	2 0	X						0	0	0
CAROLYN H CLIFT LLM BOARD MEMBER	2 0	X						0	0	0
JOHN F EMANUEL JD BOARD MEMBER	2 0	X						0	0	0
KATHRYN A FORBES CPA BOARD MEMBER	2 0	X						0	0	0
ERNEST V FREEMAN BOARD MEMBER	2 0	X						0	0	0
MICHAEL A GARDNER BOARD MEMBER	2 0	X						0	0	0
ADAM S GOLDBERG ESQ BOARD MEMBER	2 0	X						0	0	0
OSCAR GONZALES MBA PHD BOARD MEMBER	2 0	X						0	0	0
H JAMES GOODEN BOARD CHAIR	6 0	X		X				0	0	0
PAULINE GRANT MS MBA FACHE BOARD MEMBER	2 0	X						0	0	0
VIRGINIA L HALL BOARD MEMBER	2 0	X						0	0	0
ELIZABETH BAKER KEFFER BOARD MEMBER	2 0	X						0	0	0
ROSS P LANZAFAME BOARD MEMBER	2 0	X						0	0	0
JAMES L MCDANIEL MD FACP BOARD MEMBER	2 0	X						0	0	0
LESLIE M NEWMAN JD BOARD MEMBER	2 0	X						0	0	0
MARY H PARTRIDGE BOARD MEMBER	4 0	X						0	0	0
GERI REINARDY MPA BOARD MEMBER	2 0	X						0	0	0
ALBERT A RIZZO MD BOARD MEMBER	4 0	X						0	0	0
FRANK SCOTT ROTRUCK BOARD MEMBER	2 0	X						0	0	0
E NEIL SCHACHTER MD BOARD MEMBER	2 0	X						0	0	0
ROBERT G TWEEL JD BOARD MEMBER	2 0	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply) Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
DEAN A ZERBE JD LLM BOARD MEMBER	2 0	X						0	0	0
CHARLES D CONNOR PRESIDENT & CEO	40 0			X				364,142	0	44,998
ADRIENNE GIASGOW CHIEF FINANCIAL OFFICER	40 0			X				199,186	0	9,159
B SUSAN DAVIS CHIEF DEVELOPMENT OFFICER	40 0			X				205,941	0	36,591
JAMES THIE CHIEF INFORMATION OFFICER	40 0			X				18,524	0	1,125
SUSAN J RAPPAPORT VP RESEARCH AND PROGRAM	40 0				X			162,647	0	39,414
JANET WIDMER VP FIELD SUPPORT	40 0					X		153,120	0	38,253
PAUL BILLINGS VP NATIONAL POLICY & ADVOCACY	40 0					X		154,111	0	21,524
DELIA NAUGHTON VP BUSINESS & MARKETING	40 0					X		133,168	0	26,688
RUSSELL BURWELL VP GOVERNANCE	40 0					X		138,755	0	27,446
CARRIE MARTIN VP COMMUNICATIONS & MARKETING	40 0					X		145,073	0	28,308

Identifier	Return Reference	Explanation
Form 990, Part VI, Section C		Line 19 Disclosure The three most recent Form 990's and Annual Reports are available on the American Lung Association's website, www lungusa org Governing documents and the conflict of interest policy are available to the public per request The website also provides the names of Board Directors and a copy of the Ethics Policy

Identifier	Return Reference	Explanation
Form 990		Part XI, Line 5 Other Changes in net assets or fund balances Consists of unrealized gains on investments of $124,418, change in fair value of beneficial interest in trust of $247,590, change in value of split-interest agreements of ($6,558) and pension and life insurance benefit plan activities of $2,290,648 Part XII, Line 2c Financial Statements and Reporting The joint Finance/Audit Committee of the Board has the fiduciary responsibility for hiring of the audit firm, the review of the risk issues for the association and the final audit review and package that is accepted by the Board The Committee meets with the Audit firm independently from staff during the audit review process and recommends to the Board the acceptance of the Audit and its findings

Identifier	Return Reference	Explanation
Other Program Service Accomplishments	Part III, Line 4d	Advocacy Every year, the American Lung Association fights for public policies that support our mission to save lives by improving lung health and preventing lung disease In 2010-11, our advocacy efforts again yielded important results Healthy Air In early 2011, we launched our new Healthy Air Campaign to protect the Clean Air Act from attempts in Congress to weaken the law and to push the Environmental Protection Agency to implement its lifesaving protections We successfully stopped a federal appropriations bill we called "toxic for public health" that was loaded up with policy changes that would weaken and delay clean air protections Later, the U S Senate held firm to protect and maintain the Clean Air Act, rejecting several attempts to block the law Our volunteers testified in Houston, Sacramento and Arlington, VA in support of tighter ozone standards President and CEO Charles Connor appeared with EPA Administrator Lisa Jackson to announce a proposal to cleanup mercury and air toxics from coal-fired power plants Our volunteers turned out in large numbers at hearings in Chicago, Philadelphia and Atlanta to support power plant cleanup We submitted extensive comments with our partners on the need to clean up these power plants We issued Toxic Air The Case for Cleaning Up Coal-fired Power Plants to call attention to the 84 hazardous air pollutants that come from coal- and oil- fired power plants That report urged EPA to finally adopt measures that clean up those emissions that had been required in the Clean Air Act Amendments of 1990, but not yet put in place 21 years later Working with a diverse coalition of supporters on a long campaign, we celebrated in December, 2010 when President Obama signed the reauthorization of the bi-partisan Diesel Emissions Reduction Act This is legislation that authorizes the cleanup of older, dirty diesel engines with state of the art pollution controls Our State of the Air 2011 report acknowledged progress against air pollution in many areas, but found that roughly half of all Americans live in areas where air pollution levels endanger lives This twelfth annual report also found that nearly every major city was still burdened by air pollution, although most of the cities with the highest ozone and particle levels had reduced their pollution levels The State of the Air report (www stateoftheair org) included a national air quality "report card" that assigned A-F grades to communities across the country It also ranked cities and counties most affected by the most widespread types of pollution, ozone and particle pollution, and graphed trends for each city and county with monitored data since 1996 Health Care The American Lung Association worked to implement the landmark Patient Protection and Affordable Care Act and expand insurance coverage of quit-smoking treatments The law extends health insurance coverage to millions of Americans who are currently uninsured -- including those with lung disease The law puts a major emphasis on prevention and management of chronic diseases, including COPD and asthma Lung Disease In April, our volunteers raised the profile and the need for increased funding for lung cancer research with the first Lung Cancer Research Call-in Day Volunteers from across the country contacted their members of Congress to urge more funding for lifesaving lung cancer research at the National Institutes of Health We continued to advocate for lung cancer and research and programs to support all lung diseases, including asthma, COPD and tuberculosis throughout the year Tobacco Control The American Lung helped ensure that the U S Food and Drug Administration (FDA) is moving forward with the new law that gives FDA effective authority to regulate tobacco products' manufacture, distribution and sale to protect the public health The FDA finalized important new graphic warning labels for cigarette packages The Lung Association led an aggressive effort to support these tough new labels In November 2010, we issued our 3rd annual comprehensive look at state coverage of tobacco cessation treatment, Helping Smokers Quit State Cessation Coverage The report showed states are missing a big opportunity to help smokers quit and to save taxpayer money by covering comprehensive tobacco treatments Earlier in September in collaboration with researchers at Penn State University we released Smoking Cessation the Economic Benefits, a report that showed for each dollar invested in smoking cessation, states see an average potential return on investment of $1 26 State of Tobacco Control 2010 graded the strength of state and federal laws to protect citizens from tobacco-caused illnesses at the heart of America's chronic disease crisis The report found that the federal government had taken some important steps forward over the past few years, while most states failed to enact critical policy measures to protect people from deadly tobacco products No state received all A's and eight states received all F's Our 2010 State Legislated Actions on Tobacco Issues report and online database provided most of the data that underpins the State of Tobacco Control report Additional American Lung Association program Service Accomplishments Field Program Development SUPPORT OF AMERICAN LUNG ASSOCIATION CHARTERED ASSOCIATIONS The American Lung Association national headquarters supports its 10 chartered associations through coaching, training, consultation and technical assistance American Lung Association staff and volunteers throughout the country are provided skill-building and other learning opportunities to help them successfully deliver the American Lung Association's mission Through implementation of these staff learning and volunteer development offerings, chartered associations are kept current on best practices in lung health programs and delivery, advocacy, financial management, fundraising, leadership development and volunteer management One-on-one coaching and consultation by national headquarters staff is offered as needed or requested Individual discipline groups (e g chief executive officers, chief financial officers, chief development officers, program managers, etc) meet regularly with national headquarters peers to share ideas, problem-solve, and network The American Lung Association conducts a nationwide volunteer and staff recognition program Volunteers and staff are recognized on an ongoing basis for outstanding performance An annual recognition ceremony is held at a meeting of the American Lung Association Board of Directors and Nationwide Assembly where awards for highest achievement are given in specific categories The American Lung Association Board of Directors provides strategic direction for the national headquarters and its chartered associations Coordination and monitoring of national and chartered association strategic alignment is provided through a Board-approved metric-based performance management system The American Lung Association Board of Directors is responsible for oversight of chartered association compliance to policies and performance standards Assistance is provided by the national headquarters to those chartered associations that do not meet requirements and/or standards