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Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation**  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

OMB No 1545-0052

**2010**

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning

07/01, 2010, and ending

06/30, 2011

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☒ Address change☐ Name change

Name of foundation

A Employer identification number

CAROLINE &amp; SIGMUND SCHOTT FUND

11-2856561

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

B Telephone number (see page 10 of the instructions)

675 MASSACHUSETTS AVENUE

8TH FL

(617) 876-7700

City or town, state, and ZIP code

CAMBRIDGE, MA 02139

H Check type of organization ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end

J Accounting method ☐ Cash ☒ Accrual

of year (from Part II, col (c), line

☐ Other (specify)

16) \$ 44,877,350.

(Part I, column (d) must be on cash basis)

E If private foundation status was terminated under section 507(b)(1)(A) check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B) check here ☐**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

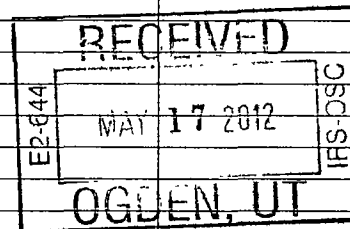
(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

|     |                                                                                  |            |           |   |           |
|-----|----------------------------------------------------------------------------------|------------|-----------|---|-----------|
| 1   | Contributions, gifts, grants, etc. received (attach schedule)                    | 0          |           |   |           |
| 2   | Check <input type="checkbox"/> if the foundation is not required to attach Sch B |            |           |   |           |
| 3   | Interest on savings and temporary cash investments                               | 1,561      | 1,561     |   | ATCH 1    |
| 4   | Dividends and interest from securities                                           | 988,103    | 988,103   |   | ATCH 2    |
| 5a  | Gross rents                                                                      |            |           |   |           |
| b   | Net rental income or (loss)                                                      |            |           |   |           |
| 6a  | Net gain or (loss) from sale of assets not on line 10                            | 473,752    |           |   |           |
| b   | Gross sales price for all assets on line 6a                                      | 5,899,588  |           |   |           |
| 7   | Capital gain net income (from Part IV, line 2)                                   |            | 473,752   |   |           |
| 8   | Net short-term capital gain                                                      |            |           |   |           |
| 9   | Income modifications                                                             |            |           |   |           |
| 10a | Gross sales less returns and allowances                                          |            |           |   |           |
| b   | Less Cost of goods sold                                                          |            |           |   |           |
| c   | Gross profit or (loss) (attach schedule)                                         |            |           |   |           |
| 11  | Other income (attach schedule)                                                   | 654,113    | 604,113   |   | ATCH 3    |
| 12  | Total Add lines 1 through 11                                                     | 2,117,529  | 2,067,529 |   |           |
| 13  | Compensation of officers, directors, trustees, etc.                              | 0          |           |   |           |
| 14  | Other employee salaries and wages                                                |            |           |   |           |
| 15  | Pension plans, employee benefits                                                 |            |           |   |           |
| 16a | Legal fees (attach schedule) ATCH 4                                              | 6,124      | 0         | 0 | 7,547     |
| b   | Accounting fees (attach schedule) ATCH 5                                         | 55,381     | 0         | 0 | 53,071    |
| c   | Other professional fees (attach schedule) *                                      | 368,520    | 345,464   |   | 22,111    |
| 17  | Interest                                                                         |            |           |   |           |
| 18  | Taxes (attach schedule) (see page 14 of the instructions) *                      | 39,299     | 32,325    |   |           |
| 19  | Depreciation (attach schedule) and depletion                                     |            |           |   |           |
| 20  | Occupancy                                                                        | 12,848     |           |   | 12,848    |
| 21  | Travel, conferences, and meetings                                                | 7,295      |           |   | 7,263     |
| 22  | Printing and publications                                                        |            |           |   |           |
| 23  | Other expenses (attach schedule) ATCH 8                                          | 68,021     | 10,909    |   | 53,168    |
| 24  | Total operating and administrative expenses. Add lines 13 through 23             | 557,488    | 388,698   | 0 | 156,008   |
| 25  | Contributions, gifts, grants paid                                                | 7,569,675  |           |   | 1,919,675 |
| 26  | Total expenses and disbursements Add lines 24 and 25                             | 8,127,163  | 388,698   | 0 | 2,075,683 |
| 27  | Subtract line 26 from line 12                                                    | -6,009,634 |           |   |           |
| a   | Excess of revenue over expenses and disbursements                                |            |           |   |           |
| b   | Net investment income (if negative, enter -0-)                                   |            | 1,678,831 |   |           |
| c   | Adjusted net income (if negative, enter -0-)                                     |            |           |   |           |



For Paperwork Reduction Act Notice, see page 30 of the instructions.

\* ATCH 6 JSA \*\* ATCH 7

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0E1410 1 000 MAY 18 2012 Operating and Administrative Expenses due

PAGE 1

| Part II Balance Sheets                                                                                                                 |                                                                                                                | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)                     |                                                              | Beginning of year     | End of year |  |
|----------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------|-----------------------|-------------|--|
|                                                                                                                                        |                                                                                                                | (a) Book Value                                                                                                                         | (b) Book Value                                               | (c) Fair Market Value |             |  |
| Assets                                                                                                                                 | 1                                                                                                              | Cash - non-interest-bearing . . . . .                                                                                                  |                                                              |                       |             |  |
|                                                                                                                                        | 2                                                                                                              | Savings and temporary cash investments . . . . .                                                                                       | 1,082,927.                                                   | 2,026,717.            | 2,026,717.  |  |
|                                                                                                                                        | 3                                                                                                              | Accounts receivable ▶ . . . . .                                                                                                        |                                                              |                       |             |  |
|                                                                                                                                        |                                                                                                                | Less allowance for doubtful accounts ▶ . . . . .                                                                                       |                                                              |                       |             |  |
|                                                                                                                                        | 4                                                                                                              | Pledges receivable ▶ . . . . .                                                                                                         |                                                              |                       |             |  |
|                                                                                                                                        |                                                                                                                | Less allowance for doubtful accounts ▶ . . . . .                                                                                       |                                                              |                       |             |  |
|                                                                                                                                        | 5                                                                                                              | Grants receivable . . . . .                                                                                                            |                                                              |                       |             |  |
|                                                                                                                                        | 6                                                                                                              | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions) |                                                              |                       |             |  |
|                                                                                                                                        | 7                                                                                                              | Other notes and loans receivable (attach schedule) ▶ . . . . .                                                                         |                                                              |                       |             |  |
|                                                                                                                                        |                                                                                                                | Less allowance for doubtful accounts ▶ . . . . .                                                                                       |                                                              |                       |             |  |
|                                                                                                                                        | 8                                                                                                              | Inventories for sale or use . . . . .                                                                                                  |                                                              |                       |             |  |
|                                                                                                                                        | 9                                                                                                              | Prepaid expenses and deferred charges . . . . . <b>ATCH 9</b>                                                                          | 16,716.                                                      | 17,243.               | 17,243.     |  |
|                                                                                                                                        | 10 a                                                                                                           | Investments - U S and state government obligations (attach schedule) . . . . .                                                         |                                                              |                       |             |  |
|                                                                                                                                        | b                                                                                                              | Investments - corporate stock (attach schedule) <b>ATCH 10</b>                                                                         | 23,073,230.                                                  | 25,769,350.           | 25,769,350. |  |
|                                                                                                                                        | c                                                                                                              | Investments - corporate bonds (attach schedule) <b>ATCH 11</b>                                                                         | 6,891,681.                                                   | 7,948,845.            | 7,948,845.  |  |
|                                                                                                                                        | Liabilities                                                                                                    | 11                                                                                                                                     | Investments - land, buildings, and equipment basis . . . . . |                       |             |  |
|                                                                                                                                        |                                                                                                                | Less accumulated depreciation (attach schedule) ▶ . . . . .                                                                            |                                                              |                       |             |  |
| 12                                                                                                                                     |                                                                                                                | Investments - mortgage loans . . . . .                                                                                                 |                                                              |                       |             |  |
| 13                                                                                                                                     |                                                                                                                | Investments - other (attach schedule) . . . . . <b>ATCH 12</b>                                                                         | 8,709,994.                                                   | 9,093,492.            | 9,093,492.  |  |
| 14                                                                                                                                     |                                                                                                                | Land, buildings, and equipment basis . . . . .                                                                                         |                                                              |                       |             |  |
|                                                                                                                                        |                                                                                                                | Less accumulated depreciation (attach schedule) ▶ . . . . .                                                                            |                                                              |                       |             |  |
| 15                                                                                                                                     |                                                                                                                | Other assets (describe ▶ . . . . . <b>ATCH 13</b> )                                                                                    | 8,289.                                                       | 21,703.               | 21,703.     |  |
| 16                                                                                                                                     |                                                                                                                | Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I) . . . . .                                | 39,782,837.                                                  | 44,877,350.           | 44,877,350. |  |
| 17                                                                                                                                     |                                                                                                                | Accounts payable and accrued expenses . . . . .                                                                                        | 140,619.                                                     | 133,387.              |             |  |
| 18                                                                                                                                     |                                                                                                                | Grants payable . . . . .                                                                                                               | 10,000.                                                      | 5,660,000.            |             |  |
| Net Assets or Fund Balances                                                                                                            | 19                                                                                                             | Deferred revenue . . . . .                                                                                                             |                                                              |                       |             |  |
|                                                                                                                                        | 20                                                                                                             | Loans from officers, directors, trustees, and other disqualified persons . . . . .                                                     |                                                              |                       |             |  |
|                                                                                                                                        | 21                                                                                                             | Mortgages and other notes payable (attach schedule) . . . . .                                                                          |                                                              |                       |             |  |
|                                                                                                                                        | 22                                                                                                             | Other liabilities (describe ▶ . . . . . <b>ATCH 14</b> )                                                                               | 111,397.                                                     | 42,000.               |             |  |
|                                                                                                                                        | 23                                                                                                             | Total liabilities (add lines 17 through 22) . . . . .                                                                                  | 262,016.                                                     | 5,835,387.            |             |  |
| Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. | 24                                                                                                             | Unrestricted . . . . .                                                                                                                 | 39,520,821.                                                  | 39,041,963.           |             |  |
|                                                                                                                                        | 25                                                                                                             | Temporarily restricted . . . . .                                                                                                       |                                                              |                       |             |  |
|                                                                                                                                        | 26                                                                                                             | Permanently restricted . . . . .                                                                                                       |                                                              |                       |             |  |
|                                                                                                                                        | Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/> |                                                                                                                                        |                                                              |                       |             |  |
|                                                                                                                                        | 27                                                                                                             | Capital stock, trust principal, or current funds . . . . .                                                                             |                                                              |                       |             |  |
|                                                                                                                                        | 28                                                                                                             | Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .                                                                |                                                              |                       |             |  |
|                                                                                                                                        | 29                                                                                                             | Retained earnings, accumulated income, endowment, or other funds . . . . .                                                             |                                                              |                       |             |  |
|                                                                                                                                        | 30                                                                                                             | Total net assets or fund balances (see page 17 of the instructions) . . . . .                                                          | 39,520,821.                                                  | 39,041,963.           |             |  |
| 31                                                                                                                                     | Total liabilities and net assets/fund balances (see page 17 of the instructions) . . . . .                     | 39,782,837.                                                                                                                            | 44,877,350.                                                  |                       |             |  |

### Part III Analysis of Changes in Net Assets or Fund Balances

|   |                                                                                                                                                                      |   |             |
|---|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|-------------|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . . | 1 | 39,520,821. |
| 2 | Enter amount from Part I, line 27a . . . . .                                                                                                                         | 2 | -6,009,634. |
| 3 | Other increases not included in line 2 (itemize) ▶ <b>ATTACHMENT 15</b> . . . . .                                                                                    | 3 | 5,572,776.  |
| 4 | Add lines 1, 2, and 3 . . . . .                                                                                                                                      | 4 | 39,083,963. |
| 5 | Decreases not included in line 2 (itemize) ▶ <b>ATTACHMENT 16</b> . . . . .                                                                                          | 5 | 42,000.     |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 . . . . .                                                      | 6 | 39,041,963. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co) |  |  | (b) How acquired<br>P-Purchase<br>D-Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|----------------------------------------------------------------------------------------------------------------------------------|--|--|----------------------------------------------|--------------------------------------|----------------------------------|
| <b>1a</b> SEE PART IV SCHEDULE                                                                                                   |  |  |                                              |                                      |                                  |
| <b>b</b>                                                                                                                         |  |  |                                              |                                      |                                  |
| <b>c</b>                                                                                                                         |  |  |                                              |                                      |                                  |
| <b>d</b>                                                                                                                         |  |  |                                              |                                      |                                  |
| <b>e</b>                                                                                                                         |  |  |                                              |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| <b>a</b>              |                                            |                                                 |                                              |
| <b>b</b>              |                                            |                                                 |                                              |
| <b>c</b>              |                                            |                                                 |                                              |
| <b>d</b>              |                                            |                                                 |                                              |
| <b>e</b>              |                                            |                                                 |                                              |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F M V as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col (i)<br>over col (j), if any | (l) Gains (Col (h) gain minus<br>col (k), but not less than -0-) or<br>Losses (from col (h)) |
|--------------------------|--------------------------------------|-----------------------------------------------|----------------------------------------------------------------------------------------------|
| <b>a</b>                 |                                      |                                               |                                                                                              |
| <b>b</b>                 |                                      |                                               |                                                                                              |
| <b>c</b>                 |                                      |                                               |                                                                                              |
| <b>d</b>                 |                                      |                                               |                                                                                              |
| <b>e</b>                 |                                      |                                               |                                                                                              |

|                                                                                                                                                                                                                                 |                                                                                   |          |          |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------|----------|----------|
| <b>2</b> Capital gain net income or (net capital loss)                                                                                                                                                                          | { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 | <b>2</b> | 473,752. |
| <b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)<br>If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions)<br>If (loss), enter -0- in Part I, line 8. |                                                                                   | <b>3</b> |          |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

| (a)<br>Base period years<br>Calendar year (or tax year beginning in) | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col (b) divided by col (c)) |
|----------------------------------------------------------------------|------------------------------------------|----------------------------------------------|-----------------------------------------------------------|
| 2009                                                                 | 2,337,426.                               | 39,544,282.                                  | 0.059109                                                  |
| 2008                                                                 | 2,881,087.                               | 33,704,010.                                  | 0.085482                                                  |
| 2007                                                                 | 3,110,337.                               | 57,532,279.                                  | 0.054062                                                  |
| 2006                                                                 | 582,433.                                 | 54,100,386.                                  | 0.010766                                                  |
| 2005                                                                 | 2,767,800.                               | 51,125,543.                                  | 0.054137                                                  |

|                                                                                                                                                                                                                                         |          |             |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|-------------|
| <b>2</b> Total of line 1, column (d)                                                                                                                                                                                                    | <b>2</b> | 0.263556    |
| <b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years                                                   | <b>3</b> | 0.052711    |
| <b>4</b> Enter the net value of noncharitable-use assets for 2010 from Part X, line 5                                                                                                                                                   | <b>4</b> | 42,878,768. |
| <b>5</b> Multiply line 4 by line 3                                                                                                                                                                                                      | <b>5</b> | 2,260,183.  |
| <b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)                                                                                                                                                                     | <b>6</b> | 16,788.     |
| <b>7</b> Add lines 5 and 6                                                                                                                                                                                                              | <b>7</b> | 2,276,971.  |
| <b>8</b> Enter qualifying distributions from Part XII, line 4.<br>If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18. | <b>8</b> | 2,075,683.  |

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)**

|                                                                                                                                                                                                                                                |    |         |          |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------|----------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1<br>Date of ruling or determination letter: _____ (attach copy of ruling letter if necessary - see instructions) |    |         |          |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b                                                                                              |    | 1       | 33,577.  |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)                                                                                                                       |    |         |          |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                                    |    | 2       |          |
| 3 Add lines 1 and 2                                                                                                                                                                                                                            |    | 3       | 33,577.  |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                                  |    | 4       | 0.       |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-                                                                                                                                                      |    | 5       | 33,577.  |
| 6 Credits/Payments                                                                                                                                                                                                                             |    |         |          |
| a 2010 estimated tax payments and 2009 overpayment credited to 2010                                                                                                                                                                            | 6a | 34,867. |          |
| b Exempt foreign organizations-tax withheld at source                                                                                                                                                                                          | 6b | 0.      |          |
| c Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                                          | 6c | 0.      |          |
| d Backup withholding erroneously withheld                                                                                                                                                                                                      | 6d |         |          |
| 7 Total credits and payments. Add lines 6a through 6d                                                                                                                                                                                          | 7  | 34,867. |          |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached                                                                                                                 | 8  |         |          |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed                                                                                                                                                                | 9  |         |          |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid                                                                                                                                                   | 10 | 1,290.  |          |
| 11 Enter the amount of line 10 to be Credited to 2011 estimated tax                                                                                                                                                                            | 11 | 1,290.  | Refunded |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                                         | Yes | No |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                                                 |     | X  |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities |     | X  |
| c Did the foundation file Form 1120-POL for this year?                                                                                                                                                                                                                                                                                                  |     |    |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation: \$ _____ (2) On foundation managers: \$ _____                                                                                                                                                                            |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers: \$ _____                                                                                                                                                                                                        |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If "Yes," attach a detailed description of the activities                                                                                                                                                                                          |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes                                                                                                                            |     | X  |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                                          |     | X  |
| b If "Yes," has it filed a tax return on Form 990-T for this year?                                                                                                                                                                                                                                                                                      |     |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If "Yes," attach the statement required by General Instruction T                                                                                                                                                                                    |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?                    | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV                                                                                                                                                                                                                      | X   |    |
| 8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions): DE, MA,                                                                                                                                                                                                                           |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation                                                                                                                                           | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV                                                                                       |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses                                                                                                                                                                                                                   |     | X  |

Form 990-PF (2010)

**Part VII-A Statements Regarding Activities (continued)**

|                                              |                                                                                                                                                                                                                                                                                                                                                                           |    |     |    |
|----------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|----|
| 11                                           | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions) . . . . .                                                                                                                                                          | 11 |     | X  |
| 12                                           | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? . . . . .                                                                                                                                                                                                                                           | 12 |     | X  |
| 13                                           | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . .                                                                                                                                                                                                                                             | 13 | X   |    |
| Website address <input type="checkbox"/> N/A |                                                                                                                                                                                                                                                                                                                                                                           |    |     |    |
| 14                                           | The books are in care of <input type="checkbox"/> ALFRED MILLER Telephone no <input type="checkbox"/> 617-876-7700                                                                                                                                                                                                                                                        |    |     |    |
|                                              | Located at <input type="checkbox"/> 675 MASSACHUSETTS AVENUE CAMBRIDGE, MA ZIP + 4 <input type="checkbox"/> 02139                                                                                                                                                                                                                                                         |    |     |    |
| 15                                           | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . . and enter the amount of tax-exempt interest received or accrued during the year . . . . .                                                                                                                                                                   | 15 |     |    |
| 16                                           | At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country <input type="checkbox"/> | 16 | Yes | No |
|                                              |                                                                                                                                                                                                                                                                                                                                                                           |    |     | X  |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | Yes | No |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a During the year did the foundation (either directly or indirectly)                                                                                                                                                                                                                                                                                                                                                                                                                                      |     |    |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                       |     |    |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                               |     |    |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                   |     |    |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                         |     |    |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                |     |    |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                               |     |    |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? . . . . . Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>                                                                                                                                               | 1b  | X  |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010? . . . . .                                                                                                                                                                                                                                                                                                        | 1c  | X  |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))                                                                                                                                                                                                                                                                                                                            |     |    |
| a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <input type="checkbox"/>                                                                                                                                                                                                                        |     |    |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 22 of the instructions) . . . . .                                                                                                                                                                        | 2b  |    |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <input type="checkbox"/>                                                                                                                                                                                                                                                                                                                                                                 |     |    |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                |     |    |
| b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010) . . . . . | 3b  |    |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .                                                                                                                                                                                                                                                                                                                                                                               | 4a  | X  |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010? . . . . .                                                                                                                                                                                                                                                              | 4b  | X  |

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions). ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b** ☐ Yes ☒ No  
Organizations relying on a current notice regarding disaster assistance check here ☐

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No  
If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ☐ Yes ☒ No  
If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** ☐ Yes ☒ No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| ATTACHMENT 17        |                                                           | 0.                                        | 0.                                                                    | 0.                                    |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |

**2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| NONE                                                          |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

Total number of other employees paid over \$50,000 ☐

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services** (see page 23 of the instructions). If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000                        | (b) Type of service | (c) Compensation |
|------------------------------------------------------------------------------------|---------------------|------------------|
| ATTACHMENT 18                                                                      |                     | 126,211.         |
|                                                                                    |                     |                  |
|                                                                                    |                     |                  |
|                                                                                    |                     |                  |
|                                                                                    |                     |                  |
|                                                                                    |                     |                  |
| Total number of others receiving over \$50,000 for professional services . . . . . |                     | NONE             |

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

|        | Expenses |
|--------|----------|
| 1 NONE |          |
| 2      |          |
| 3      |          |
| 4      |          |

**Part IX-B Summary of Program-Related Investments** (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

|                                                                       | Amount |
|-----------------------------------------------------------------------|--------|
| 1 NONE                                                                |        |
| 2                                                                     |        |
| All other program-related investments See page 24 of the instructions |        |
| 3 NONE                                                                |        |
| Total. Add lines 1 through 3 . . . . .                                |        |

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

|          |                                                                                                                           |           |             |
|----------|---------------------------------------------------------------------------------------------------------------------------|-----------|-------------|
| <b>1</b> | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes                |           |             |
| <b>a</b> | Average monthly fair market value of securities                                                                           | <b>1a</b> | 30,120,239. |
| <b>b</b> | Average of monthly cash balances                                                                                          | <b>1b</b> | 889,267.    |
| <b>c</b> | Fair market value of all other assets (see page 25 of the instructions)                                                   | <b>1c</b> | 12,522,238. |
| <b>d</b> | <b>Total</b> (add lines 1a, b, and c)                                                                                     | <b>1d</b> | 43,531,744. |
| <b>e</b> | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)                 | <b>1e</b> |             |
| <b>2</b> | Acquisition indebtedness applicable to line 1 assets                                                                      | <b>2</b>  | 0.          |
| <b>3</b> | Subtract line 2 from line 1d                                                                                              | <b>3</b>  | 43,531,744. |
| <b>4</b> | Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions) | <b>4</b>  | 652,976.    |
| <b>5</b> | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4               | <b>5</b>  | 42,878,768. |
| <b>6</b> | <b>Minimum investment return.</b> Enter 5% of line 5                                                                      | <b>6</b>  | 2,143,938.  |

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|           |                                                                                                           |           |            |
|-----------|-----------------------------------------------------------------------------------------------------------|-----------|------------|
| <b>1</b>  | Minimum investment return from Part X, line 6                                                             | <b>1</b>  | 2,143,938. |
| <b>2a</b> | Tax on investment income for 2010 from Part VI, line 5                                                    | <b>2a</b> | 33,577.    |
| <b>b</b>  | Income tax for 2010 (This does not include the tax from Part VI)                                          | <b>2b</b> |            |
| <b>c</b>  | Add lines 2a and 2b                                                                                       | <b>2c</b> | 33,577.    |
| <b>3</b>  | Distributable amount before adjustments. Subtract line 2c from line 1                                     | <b>3</b>  | 2,110,361. |
| <b>4</b>  | Recoveries of amounts treated as qualifying distributions                                                 | <b>4</b>  |            |
| <b>5</b>  | Add lines 3 and 4                                                                                         | <b>5</b>  | 2,110,361. |
| <b>6</b>  | Deduction from distributable amount (see page 25 of the instructions)                                     | <b>6</b>  |            |
| <b>7</b>  | <b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | <b>7</b>  | 2,110,361. |

**Part XII Qualifying Distributions** (see page 25 of the instructions)

|          |                                                                                                                                                                     |           |            |
|----------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|------------|
| <b>1</b> | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes                                                                           |           |            |
| <b>a</b> | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26                                                                                       | <b>1a</b> | 2,075,683. |
| <b>b</b> | Program-related investments - total from Part IX-B                                                                                                                  | <b>1b</b> | 0.         |
| <b>2</b> | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                                                           | <b>2</b>  | 0.         |
| <b>3</b> | Amounts set aside for specific charitable projects that satisfy the                                                                                                 |           |            |
| <b>a</b> | Suitability test (prior IRS approval required)                                                                                                                      | <b>3a</b> | 0.         |
| <b>b</b> | Cash distribution test (attach the required schedule)                                                                                                               | <b>3b</b> | 0.         |
| <b>4</b> | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                                                   | <b>4</b>  | 2,075,683. |
| <b>5</b> | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions) | <b>5</b>  | N/A        |
| <b>6</b> | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4                                                                                               | <b>6</b>  | 2,075,683. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see page 26 of the instructions)

|                                                                                                                                                                                             | (a)<br>Corpus | (b)<br>Years prior to 2009 | (c)<br>2009 | (d)<br>2010 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| <b>1</b> Distributable amount for 2010 from Part XI, line 7 . . . . .                                                                                                                       |               |                            |             | 2,110,361.  |
| <b>2</b> Undistributed income, if any, as of the end of 2010                                                                                                                                |               |                            |             |             |
| <b>a</b> Enter amount for 2009 only . . . . .                                                                                                                                               |               |                            |             |             |
| <b>b</b> Total for prior years 20 08, 20 07, 20 06 . . . . .                                                                                                                                |               |                            |             |             |
| <b>3</b> Excess distributions carryover, if any, to 2010                                                                                                                                    |               |                            |             |             |
| <b>a</b> From 2005 . . . . . 664,406.                                                                                                                                                       |               |                            |             |             |
| <b>b</b> From 2006 . . . . .                                                                                                                                                                |               |                            |             |             |
| <b>c</b> From 2007 . . . . . 697,359.                                                                                                                                                       |               |                            |             |             |
| <b>d</b> From 2008 . . . . . 1,004,705.                                                                                                                                                     |               |                            |             |             |
| <b>e</b> From 2009 . . . . . 372,186.                                                                                                                                                       |               |                            |             |             |
| <b>f</b> Total of lines 3a through e . . . . .                                                                                                                                              | 2,738,656.    |                            |             |             |
| <b>4</b> Qualifying distributions for 2010 from Part XII, line 4 ► \$ 2,075,683.                                                                                                            |               |                            |             |             |
| <b>a</b> Applied to 2009, but not more than line 2a . . . . .                                                                                                                               |               |                            |             |             |
| <b>b</b> Applied to undistributed income of prior years (Election required - see page 26 of the instructions) . . . . .                                                                     |               |                            |             |             |
| <b>c</b> Treated as distributions out of corpus (Election required - see page 26 of the instructions) . . . . .                                                                             |               |                            |             |             |
| <b>d</b> Applied to 2010 distributable amount . . . . .                                                                                                                                     |               |                            |             | 2,075,683.  |
| <b>e</b> Remaining amount distributed out of corpus . . . . .                                                                                                                               | 0.            |                            |             |             |
| <b>5</b> Excess distributions carryover applied to 2010 . . . . .                                                                                                                           | 34,678.       |                            |             | 34,678.     |
| (If an amount appears in column (d), the same amount must be shown in column (a) )                                                                                                          |               |                            |             |             |
| <b>6</b> Enter the net total of each column as indicated below:                                                                                                                             |               |                            |             |             |
| <b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5 . . . . .                                                                                                                          | 2,703,978.    |                            |             |             |
| <b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .                                                                                                          |               |                            |             |             |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . . |               |                            |             |             |
| <b>d</b> Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions . . . . .                                                                                           |               |                            |             |             |
| <b>e</b> Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions . . . . .                                                             |               |                            |             |             |
| <b>f</b> Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011 . . . . .                                                                |               |                            |             | 0.          |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) . . . . .                   |               |                            |             |             |
| <b>8</b> Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions) . . . . .                                                               | 629,728.      |                            |             |             |
| <b>9</b> Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a . . . . .                                                                                              | 2,074,250.    |                            |             |             |
| <b>10</b> Analysis of line 9                                                                                                                                                                |               |                            |             |             |
| <b>a</b> Excess from 2006 . . . . .                                                                                                                                                         |               |                            |             |             |
| <b>b</b> Excess from 2007 . . . . . 697,359.                                                                                                                                                |               |                            |             |             |
| <b>c</b> Excess from 2008 . . . . . 1,004,705.                                                                                                                                              |               |                            |             |             |
| <b>d</b> Excess from 2009 . . . . . 372,186.                                                                                                                                                |               |                            |             |             |
| <b>e</b> Excess from 2010 . . . . .                                                                                                                                                         |               |                            |             |             |

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**Part XIV Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9) **NOT APPLICABLE**

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling . . . . .

**b** Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

**2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

| Tax year                                                                                                                                                    | Prior 3 years |          |          |          | (e) Total |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|----------|----------|-----------|
|                                                                                                                                                             | (a) 2010      | (b) 2009 | (c) 2008 | (d) 2007 |           |
| b 85% of line 2a . . . . .                                                                                                                                  |               |          |          |          |           |
| c Qualifying distributions from Part XII, line 4 for each year listed . . . . .                                                                             |               |          |          |          |           |
| d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .                                                           |               |          |          |          |           |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .                                   |               |          |          |          |           |
| <b>3</b> Complete 3a, b, or c for the alternative test relied upon                                                                                          |               |          |          |          |           |
| <b>a</b> "Assets" alternative test - enter                                                                                                                  |               |          |          |          |           |
| (1) Value of all assets . . . . .                                                                                                                           |               |          |          |          |           |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .                                                                                     |               |          |          |          |           |
| <b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X line 6 for each year listed . . . . .                        |               |          |          |          |           |
| <b>c</b> "Support" alternative test - enter                                                                                                                 |               |          |          |          |           |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . . |               |          |          |          |           |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .                                      |               |          |          |          |           |
| (3) Largest amount of support from an exempt organization . . . . .                                                                                         |               |          |          |          |           |
| (4) Gross investment income . . . . .                                                                                                                       |               |          |          |          |           |

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

ATTACHMENT 19

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient                            | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount     |
|--------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|------------|
| Name and address (home or business)  |                                                                                                           |                                |                                  |            |
| <i>a Paid during the year</i>        |                                                                                                           |                                |                                  |            |
| ATTACHMENT 20                        |                                                                                                           |                                |                                  |            |
| <b>Total</b> . . . . .               |                                                                                                           |                                | ▶ <b>3a</b>                      | 1,909,675. |
| <i>b Approved for future payment</i> |                                                                                                           |                                |                                  |            |
| ATTACHMENT 21                        |                                                                                                           |                                |                                  |            |
| <b>Total</b> . . . . .               |                                                                                                           |                                | ▶ <b>3b</b>                      | 5,660,000. |

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## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

| Enter gross amounts unless otherwise indicated                    |                      | Unrelated business income |                       | Excluded by section 512, 513, or 514 |  | (e)<br>Related or exempt<br>function income<br>(See page 28 of<br>the instructions ) |
|-------------------------------------------------------------------|----------------------|---------------------------|-----------------------|--------------------------------------|--|--------------------------------------------------------------------------------------|
|                                                                   | (a)<br>Business code | (b)<br>Amount             | (c)<br>Exclusion code | (d)<br>Amount                        |  |                                                                                      |
| <b>1</b> Program service revenue                                  |                      |                           |                       |                                      |  |                                                                                      |
| a _____                                                           |                      |                           |                       |                                      |  |                                                                                      |
| b _____                                                           |                      |                           |                       |                                      |  |                                                                                      |
| c _____                                                           |                      |                           |                       |                                      |  |                                                                                      |
| d _____                                                           |                      |                           |                       |                                      |  |                                                                                      |
| e _____                                                           |                      |                           |                       |                                      |  |                                                                                      |
| f _____                                                           |                      |                           |                       |                                      |  |                                                                                      |
| g Fees and contracts from government agencies                     |                      |                           |                       |                                      |  |                                                                                      |
| <b>2</b> Membership dues and assessments . . . . .                |                      |                           |                       |                                      |  |                                                                                      |
| <b>3</b> Interest on savings and temporary cash investments       |                      |                           | 14                    | 1,561.                               |  |                                                                                      |
| <b>4</b> Dividends and interest from securities . . . . .         |                      |                           | 14                    | 988,103.                             |  |                                                                                      |
| <b>5</b> Net rental income or (loss) from real estate             |                      |                           |                       |                                      |  |                                                                                      |
| a Debt-financed property . . . . .                                |                      |                           |                       |                                      |  |                                                                                      |
| b Not debt-financed property . . . . .                            |                      |                           |                       |                                      |  |                                                                                      |
| <b>6</b> Net rental income or (loss) from personal property .     |                      |                           |                       |                                      |  |                                                                                      |
| <b>7</b> Other investment income . . . . .                        |                      |                           | 14                    | 654,113.                             |  |                                                                                      |
| <b>8</b> Gain or (loss) from sales of assets other than inventory |                      |                           | 18                    | 473,752.                             |  |                                                                                      |
| <b>9</b> Net income or (loss) from special events . . .           |                      |                           |                       |                                      |  |                                                                                      |
| <b>10</b> Gross profit or (loss) from sales of inventory . .      |                      |                           |                       |                                      |  |                                                                                      |
| <b>11</b> Other revenue a _____                                   |                      |                           |                       |                                      |  |                                                                                      |
| b _____                                                           |                      |                           |                       |                                      |  |                                                                                      |
| c _____                                                           |                      |                           |                       |                                      |  |                                                                                      |
| d _____                                                           |                      |                           |                       |                                      |  |                                                                                      |
| e _____                                                           |                      |                           |                       |                                      |  |                                                                                      |
| <b>12</b> Subtotal Add columns (b), (d), and (e) . . . .          |                      |                           |                       | 2,117,529.                           |  |                                                                                      |
| <b>13</b> Total. Add line 12, columns (b), (d), and (e) . . . . . |                      |                           |                       | 13                                   |  | 2,117,529.                                                                           |

(See worksheet in line 13 instructions on page 29 to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

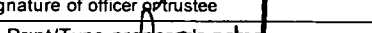
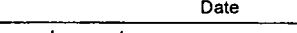
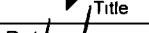
## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? |                                                                                                                                                                                                                                                                                                                                                                                              | Yes   | No |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|----|
| <b>a</b>                                                                                                                                                                                                                                              | Transfers from the reporting foundation to a noncharitable exempt organization of                                                                                                                                                                                                                                                                                                            |       |    |
| (1)                                                                                                                                                                                                                                                   | Cash . . . . .                                                                                                                                                                                                                                                                                                                                                                               | 1a(1) | X  |
| (2)                                                                                                                                                                                                                                                   | Other assets . . . . .                                                                                                                                                                                                                                                                                                                                                                       | 1a(2) | X  |
| <b>b</b>                                                                                                                                                                                                                                              | Other transactions                                                                                                                                                                                                                                                                                                                                                                           |       |    |
| (1)                                                                                                                                                                                                                                                   | Sales of assets to a noncharitable exempt organization . . . . .                                                                                                                                                                                                                                                                                                                             | 1b(1) | X  |
| (2)                                                                                                                                                                                                                                                   | Purchases of assets from a noncharitable exempt organization . . . . .                                                                                                                                                                                                                                                                                                                       | 1b(2) | X  |
| (3)                                                                                                                                                                                                                                                   | Rental of facilities, equipment, or other assets . . . . .                                                                                                                                                                                                                                                                                                                                   | 1b(3) | X  |
| (4)                                                                                                                                                                                                                                                   | Reimbursement arrangements . . . . .                                                                                                                                                                                                                                                                                                                                                         | 1b(4) | X  |
| (5)                                                                                                                                                                                                                                                   | Loans or loan guarantees . . . . .                                                                                                                                                                                                                                                                                                                                                           | 1b(5) | X  |
| (6)                                                                                                                                                                                                                                                   | Performance of services or membership or fundraising solicitations . . . . .                                                                                                                                                                                                                                                                                                                 | 1b(6) | X  |
| <b>c</b>                                                                                                                                                                                                                                              | Sharing of facilities, equipment, mailing lists, other assets, or paid employees . . . . .                                                                                                                                                                                                                                                                                                   | 1c    | X  |
| <b>d</b>                                                                                                                                                                                                                                              | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |       |    |

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

| b. If "Yes," complete the following schedule |                          |                                 |
|----------------------------------------------|--------------------------|---------------------------------|
| (a) Name of organization                     | (b) Type of organization | (c) Description of relationship |
|                                              |                          |                                 |
|                                              |                          |                                 |
|                                              |                          |                                 |
|                                              |                          |                                 |
|                                              |                          |                                 |

|                                                                          |                                                                                                                                                                                                                                                                                                                                     |                            |                                                                                               |  |                                                                                              |
|--------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|-----------------------------------------------------------------------------------------------|--|----------------------------------------------------------------------------------------------|
| Sign Here                                                                | Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge. |                            |                                                                                               |  |                                                                                              |
|                                                                          |  POA                                                                                                                                                                                                                                             |                            |  5/8/2012 |  |                                                                                              |
| Paid Preparer Use Only                                                   | Signature of officer or trustee                                                                                                                                                                                                                                                                                                     |                            | Date                                                                                          |  | Title                                                                                        |
|                                                                          |                                                                                                                                                                                                                                                  |                            |            |  |  5/3/12 |
|                                                                          | Print/Type preparer's name                                                                                                                                                                                                                                                                                                          |                            | Preparer's signature                                                                          |  | PTIN                                                                                         |
|                                                                          |                                                                                                                                                                                                                                                  |                            |            |  |  5/3/12 |
| Firm's name                                                              |                                                                                                                                                                                                                                                                                                                                     | Firm's EIN                 |                                                                                               |  | <input type="checkbox"/> if self-employed                                                    |
| Firm's address                                                           |                                                                                                                                                                                                                                                                                                                                     | 10017-2049                 |                                                                                               |  | Phone no.                                                                                    |
| ROSEN SEYMOUR SHAPSS MARTIN & CO LLP<br>757 THIRD AVENUE<br>NEW YORK, NY |                                                                                                                                                                                                                                                                                                                                     | 13-5653927<br>212-303-1800 |                                                                                               |  | P00631557                                                                                    |

**SCHEDULE D**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Capital Gains and Losses**

► Attach to Form 1041, Form 5227, or Form 990-T. See the Instructions for  
Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

**2010**

Name of estate or trust

Employer identification number

CAROLINE & SIGMUND SCHOTT FUND

11-2856561

**Note:** Form 5227 filers need to complete **only** Parts I and II.

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property<br>(Example 100 shares 7% preferred of "Z" Co) | (b) Date acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss) for<br>the entire year<br>Subtract (e) from (d) |
|----------------------------------------------------------------------------|--------------------------------------|----------------------------------|-----------------|-----------------------------------------------|--------------------------------------------------------------------|
| <b>1a</b>                                                                  |                                      |                                  |                 |                                               |                                                                    |
|                                                                            |                                      |                                  |                 |                                               |                                                                    |
|                                                                            |                                      |                                  |                 |                                               |                                                                    |
|                                                                            |                                      |                                  |                 |                                               |                                                                    |
|                                                                            |                                      |                                  |                 |                                               |                                                                    |

|                                                                                                                                                          |           |         |
|----------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|---------|
| <b>b</b> Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b . . . . .                                                               | <b>1b</b> | 99,936. |
| <b>2</b> Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 . . . . .                                                               | <b>2</b>  |         |
| <b>3</b> Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .                                          | <b>3</b>  |         |
| <b>4</b> Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2009 Capital Loss<br>Carryover Worksheet . . . . .               | <b>4</b>  | ( )     |
| <b>5</b> <b>Net short-term gain or (loss).</b> Combine lines 1a through 4 in column (f) Enter here and on line 13,<br>column (3) on the back . . . . . ► | <b>5</b>  | 99,936. |

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property<br>(Example 100 shares 7% preferred of "Z" Co) | (b) Date acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss) for<br>the entire year<br>Subtract (e) from (d) |
|----------------------------------------------------------------------------|--------------------------------------|----------------------------------|-----------------|-----------------------------------------------|--------------------------------------------------------------------|
| <b>6a</b><br>LONG-TERM CAPITAL GAIN DIVIDENDS                              |                                      |                                  | ATCH 1          |                                               | 47,041.                                                            |
|                                                                            |                                      |                                  |                 |                                               |                                                                    |
|                                                                            |                                      |                                  |                 |                                               |                                                                    |
|                                                                            |                                      |                                  |                 |                                               |                                                                    |
|                                                                            |                                      |                                  |                 |                                               |                                                                    |

|                                                                                                                                                            |           |          |
|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|----------|
| <b>b</b> Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b . . . . .                                                                  | <b>6b</b> | 326,775. |
| <b>7</b> Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 . . . . .                                                            | <b>7</b>  |          |
| <b>8</b> Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .                                             | <b>8</b>  |          |
| <b>9</b> Capital gain distributions . . . . .                                                                                                              | <b>9</b>  |          |
| <b>10</b> Gain from Form 4797, Part I . . . . .                                                                                                            | <b>10</b> |          |
| <b>11</b> Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2009 Capital Loss<br>Carryover Worksheet . . . . .                | <b>11</b> | ( )      |
| <b>12</b> <b>Net long-term gain or (loss).</b> Combine lines 6a through 11 in column (f) Enter here and on line 14a,<br>column (3) on the back . . . . . ► | <b>12</b> | 373,816. |

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2010

**Part III Summary of Parts I and II****Caution:** Read the instructions *before* completing this part.

|           |                                                                      | (1) Beneficiaries'<br>(see instr ) | (2) Estate's<br>or trust's | (3) Total |
|-----------|----------------------------------------------------------------------|------------------------------------|----------------------------|-----------|
| <b>13</b> | <b>Net short-term gain or (loss)</b> . . . . .                       | <b>13</b>                          |                            | 99,936.   |
| <b>14</b> | <b>Net long-term gain or (loss):</b>                                 |                                    |                            |           |
| a         | Total for year . . . . .                                             | <b>14a</b>                         |                            | 373,816.  |
| b         | Unrecaptured section 1250 gain (see line 18 of the wrksht) . . . . . | <b>14b</b>                         |                            |           |
| c         | 28% rate gain . . . . .                                              | <b>14c</b>                         |                            | 29,928.   |
| <b>15</b> | <b>Total net gain or (loss).</b> Combine lines 13 and 14a . . . . .  | <b>15</b>                          |                            | 473,752.  |

**Note:** If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and **do not** complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

**Part IV Capital Loss Limitation**

|           |                                                                                                                           |           |     |
|-----------|---------------------------------------------------------------------------------------------------------------------------|-----------|-----|
| <b>16</b> | Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the <b>smaller</b> of | <b>16</b> | ( ) |
| a         | The loss on line 15, column (3) or b \$3,000                                                                              |           |     |

**Note:** If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

**Part V Tax Computation Using Maximum Capital Gains Rates**

**Form 1041 filers.** Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

**Caution:** Skip this part and complete the worksheet on page 8 of the instructions if

- Either line 14b, col (2) or line 14c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

**Form 990-T trusts.** Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero.

|           |                                                                                                                                                                                                                                                        |           |  |
|-----------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|--|
| <b>17</b> | Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) . . . . .                                                                                                                                                                        | <b>17</b> |  |
| <b>18</b> | Enter the <b>smaller</b> of line 14a or 15 in column (2) but not less than zero . . . . .                                                                                                                                                              | <b>18</b> |  |
| <b>19</b> | Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . . . . .                                                                                   | <b>19</b> |  |
| <b>20</b> | Add lines 18 and 19 . . . . .                                                                                                                                                                                                                          | <b>20</b> |  |
| <b>21</b> | If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- . . . . .                                                                                                                                              | <b>21</b> |  |
| <b>22</b> | Subtract line 21 from line 20. If zero or less, enter -0- . . . . .                                                                                                                                                                                    | <b>22</b> |  |
| <b>23</b> | Subtract line 22 from line 17. If zero or less, enter -0- . . . . .                                                                                                                                                                                    | <b>23</b> |  |
| <b>24</b> | Enter the <b>smaller</b> of the amount on line 17 or \$2,300 . . . . .                                                                                                                                                                                 | <b>24</b> |  |
| <b>25</b> | Is the amount on line 23 equal to or more than the amount on line 24?<br><input type="checkbox"/> <b>Yes.</b> Skip lines 25 and 26, go to line 27 and check the "No" box<br><input type="checkbox"/> <b>No.</b> Enter the amount from line 23. . . . . | <b>25</b> |  |
| <b>26</b> | Subtract line 25 from line 24. . . . .                                                                                                                                                                                                                 | <b>26</b> |  |
| <b>27</b> | Are the amounts on lines 22 and 26 the same?<br><input type="checkbox"/> <b>Yes.</b> Skip lines 27 thru 30, go to line 31<br><input type="checkbox"/> <b>No.</b> Enter the <b>smaller</b> of line 17 or line 22 . . . . .                              | <b>27</b> |  |
| <b>28</b> | Enter the amount from line 26 (If line 26 is blank, enter -0-) . . . . .                                                                                                                                                                               | <b>28</b> |  |
| <b>29</b> | Subtract line 28 from line 27 . . . . .                                                                                                                                                                                                                | <b>29</b> |  |
| <b>30</b> | Multiply line 29 by 15% ( 15 ) . . . . .                                                                                                                                                                                                               | <b>30</b> |  |
| <b>31</b> | Figure the tax on the amount on line 23. Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . .                                                                           | <b>31</b> |  |
| <b>32</b> | Add lines 30 and 31 . . . . .                                                                                                                                                                                                                          | <b>32</b> |  |
| <b>33</b> | Figure the tax on the amount on line 17. Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . .                                                                           | <b>33</b> |  |
| <b>34</b> | <b>Tax on all taxable income.</b> Enter the <b>smaller</b> of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) . . . . .                                                                                         | <b>34</b> |  |

Schedule D (Form 1041) 2010



**SCHEDULE D-1**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D**  
**(Form 1041)**

► See instructions for Schedule D (Form 1041).  
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

**2010**

Name of estate or trust

CAROLINE & SIGMUND SCHOTT FUND

Employer identification number

11-2856561

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co) | (b) Date<br>acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price<br>(see page 4 of the<br>instructions) | (e) Cost or other basis<br>(see page 4 of the<br>instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|------------------------------------------------------------------------|-----------------------------------------|----------------------------------|--------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| <b>1a</b> 131.25 UTS STANDARD<br>CHARTERED PLC RTS EXP 11/             | 06/07/2010                              | 10/28/2010                       | 1,044.                                                 | 903.                                                           | 141.                                        |
| 75.001 SHS FURIEX<br>PHARMACEUTICALS INC                               | 07/29/2009                              | 07/02/2010                       | 740.                                                   | 653.                                                           | 87.                                         |
| 57.666 SHS FURIEX<br>PHARMACEUTICALS INC                               | 08/18/2009                              | 07/02/2010                       | 570.                                                   | 485.                                                           | 85.                                         |
| 8.333 SHS FURIEX<br>PHARMACEUTICALS INC                                | 04/09/2010                              | 07/02/2010                       | 82.                                                    | 85.                                                            | -3.                                         |
| 300 SHS SYMANTEC CORP                                                  | 04/09/2010                              | 07/02/2010                       | 4,149.                                                 | 5,006.                                                         | -857.                                       |
| 100 SHS RESEARCH IN MOTION<br>LTD                                      | 01/21/2010                              | 10/19/2010                       | 4,724.                                                 | 6,434.                                                         | -1,710.                                     |
| 200 SHS RESEARCH IN MOTION<br>LTD                                      | 07/02/2010                              | 10/19/2010                       | 9,448.                                                 | 9,736.                                                         | -288.                                       |
| 300 SHS PATTERSON-UTI<br>ENERGY INC                                    | 04/09/2010                              | 11/10/2010                       | 5,959.                                                 | 4,272.                                                         | 1,687.                                      |
| AUSTRALIAN GOVT BOND 6.25%                                             | 05/25/2010                              | 09/03/2010                       | 138,459.                                               | 123,111.                                                       | 15,348.                                     |
| 610 SHS MEDICAL ACTION<br>INDS                                         | 03/18/2010                              | 08/09/2010                       | 6,480.                                                 | 7,965.                                                         | -1,485.                                     |
| 1025 SHS MEDICAL ACTION<br>INDS                                        | 03/18/2010                              | 09/03/2010                       | 9,290.                                                 | 13,384.                                                        | -4,094.                                     |
| 835 SHS MEDICAL ACTION<br>INDS                                         | 03/19/2010                              | 09/03/2010                       | 7,568.                                                 | 10,906.                                                        | -3,338.                                     |
| 1210 SHS BEST BUY CO                                                   | 06/15/2010                              | 04/15/2011                       | 34,778.                                                | 46,719.                                                        | -11,941.                                    |
| 2780 SHS KON PHILIPS ELEC<br>NV                                        | 12/16/2010                              | 05/02/2011                       | 83,469.                                                | 83,069.                                                        | 400.                                        |
| 106 SHS KON PHILIPS ELEC<br>NV                                         | 05/04/2011                              | 05/05/2011                       | 3,121.                                                 | 0.                                                             | 3,121.                                      |
| 480 SHS HEWLETT PACKARD CO                                             | 06/29/2010                              | 03/17/2011                       | 19,920.                                                | 21,610.                                                        | -1,690.                                     |
| 190 SHS GENZYME CORP                                                   | 04/27/2010                              | 12/10/2010                       | 13,337.                                                | 10,100.                                                        | 3,237.                                      |
| 200 SHS TIME WARNER INC                                                | 08/06/2010                              | 04/21/2011                       | 7,220.                                                 | 6,534.                                                         | 686.                                        |
| 100 SHS MICROSOFT CORP                                                 | 04/09/2010                              | 03/22/2011                       | 2,527.                                                 | 3,002.                                                         | -475.                                       |
| 100 SHS UMB FINANCIAL CORP                                             | 10/14/2010                              | 03/22/2011                       | 3,857.                                                 | 3,583.                                                         | 274.                                        |
| 100 SHS UNIT CORPORATION                                               | 04/09/2010                              | 01/26/2011                       | 4,888.                                                 | 4,446.                                                         | 442.                                        |
| 800 SHS MEDICAL ACTION<br>INDS INC                                     | 03/19/2010                              | 11/02/2010                       | 7,972.                                                 | 10,449.                                                        | -2,477.                                     |
| 1100 SHS VESTAS WIND<br>SYSTEMS                                        | 05/25/2010                              | 10/27/2010                       | 36,365.                                                | 50,147.                                                        | -13,782.                                    |
| 444.633 SHS EATON VANCE SR<br>LN                                       | 07/31/2009                              | 07/01/2010                       | 3,881.                                                 | 3,659.                                                         | 222.                                        |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

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**SCHEDULE D-1**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D**  
**(Form 1041)**

▶ See instructions for Schedule D (Form 1041).  
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

**2010**

Name of estate or trust

Employer identification number

CAROLINE & SIGMUND SCHOTT FUND

11-2856561

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co) | (b) Date<br>acquired<br>(mo, day, yr) | (c) Date sold<br>(mo, day, yr) | (d) Sales price<br>(see page 4 of the<br>instructions) | (e) Cost or other basis<br>(see page 4 of the<br>instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|------------------------------------------------------------------------|---------------------------------------|--------------------------------|--------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| 1a 455.427 SHS EATON VANCE SR<br>LN                                    | 08/31/2009                            | 07/01/2010                     | 3,976.                                                 | 3,794.                                                         | 182.                                        |
| 456.691 SHS EATON VANCE SR<br>LN                                       | 09/30/2009                            | 07/01/2010                     | 3,987.                                                 | 3,873.                                                         | 114.                                        |
| 449.809 SHS EATON VANCE SR<br>LN                                       | 10/30/2009                            | 07/01/2010                     | 3,926.                                                 | 3,823.                                                         | 103.                                        |
| 440.071 SHS EATON VANCE SR<br>LN                                       | 11/30/2009                            | 07/01/2010                     | 3,842.                                                 | 3,719.                                                         | 123.                                        |
| 429.62 SHS EATON VANCE SR<br>LOAN                                      | 12/31/2009                            | 07/01/2010                     | 3,751.                                                 | 3,699.                                                         | 52.                                         |
| 435.78 SHS EATON VANCE SR<br>LOAN                                      | 01/29/2010                            | 07/01/2010                     | 3,804.                                                 | 3,817.                                                         | -13.                                        |
| 381.774 SHS EATON VANCE SR<br>LN                                       | 02/26/2010                            | 07/01/2010                     | 3,333.                                                 | 3,344.                                                         | -11.                                        |
| 405.317 SHS EATON VANCE SR<br>LN                                       | 03/31/2010                            | 07/01/2010                     | 3,538.                                                 | 3,607.                                                         | -69.                                        |
| 398.972 SHS EATON VANCE SR<br>LN                                       | 04/30/2010                            | 07/01/2010                     | 3,483.                                                 | 3,583.                                                         | -100.                                       |
| 437.669 SHS EATON VANCE SR<br>LN                                       | 05/28/2010                            | 07/01/2010                     | 3,821.                                                 | 3,851.                                                         | -30.                                        |
| BOSTON TRUST & INV MGMT -<br>SCHEDULE ATTACHED                         | VAR                                   | VAR                            | 79,056.                                                | 59,457.                                                        | 19,599.                                     |
| 555 SHS SUNPOWER CORP CL B                                             | 06/04/2010                            | 05/27/2011                     | 11,487.                                                | 6,297.                                                         | 5,190.                                      |
| 770 SHS SUNPOWER CORP CL B                                             | 06/04/2010                            | 05/31/2011                     | 15,979.                                                | 8,736.                                                         | 7,243.                                      |
| 920 SHS HUBBELL INC                                                    | 06/16/2010                            | 03/08/2011                     | 62,187.                                                | 40,481.                                                        | 21,706.                                     |
| 4450 SHS HUDSON CITY                                                   | 02/08/2011                            | 03/07/2011                     | 45,248.                                                | 48,404.                                                        | -3,156.                                     |
| 1020 SHS J CREW                                                        | 09/17/2010                            | 02/17/2011                     | 44,310.                                                | 34,519.                                                        | 9,791.                                      |
| 1700 SHS STR HOLDINGS                                                  | 02/08/2011                            | 03/18/2011                     | 30,542.                                                | 33,078.                                                        | -2,536.                                     |
| 500 SHS STR HOLDINGS                                                   | 02/09/2011                            | 03/18/2011                     | 8,973.                                                 | 9,722.                                                         | -749.                                       |
| 1200 SHS TRINA SOLAR LTD                                               | 05/25/2010                            | 01/24/2011                     | 33,562.                                                | 20,046.                                                        | 13,516.                                     |
| 300 SHS RESEARCH IN MOTION<br>LTD                                      | 12/16/2009                            | 08/06/2010                     | 15,532.                                                | 19,437.                                                        | -3,905.                                     |
| 200 SHS RESEARCH IN MOTION<br>LTD                                      | 01/21/2010                            | 08/06/2010                     | 10,354.                                                | 12,868.                                                        | -2,514.                                     |
| 500 SHS SYMANTEC CORP                                                  | 08/18/2009                            | 08/06/2010                     | 6,507.                                                 | 7,482.                                                         | -975.                                       |
| 100 SHS SMA SOLAR<br>TECHNOLOGY                                        | VAR                                   | 07/28/2010                     | 12,689.                                                | 12,991.                                                        | -302.                                       |
| 220 SHS SOHU COM INC                                                   | VAR                                   | 12/21/2010                     | 14,602.                                                | 13,764.                                                        | 838.                                        |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

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Schedule D-1 (Form 1041) 2010

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**SCHEDULE D-1**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D**  
**(Form 1041)**

► See instructions for Schedule D (Form 1041).  
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

**2010**

Name of estate or trust

CAROLINE & SIGMUND SCHOTT FUND

Employer identification number

11-2856561

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co) | (b) Date<br>acquired<br>(mo, day, yr) | (c) Date sold<br>(mo, day, yr) | (d) Sales price<br>(see page 4 of the<br>instructions) | (e) Cost or other basis<br>(see page 4 of the<br>instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|------------------------------------------------------------------------|---------------------------------------|--------------------------------|--------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| <b>1a</b> 345 SHS HONDA MOTOR CO LTD<br>ADR                            | VAR                                   | 02/15/2011                     | 15,139.                                                | 11,734.                                                        | 3,405.                                      |
| 29 SHS JULIUS BAER GROUP<br>LTD                                        | VAR                                   | 04/04/2011                     | 1,248.                                                 | 1,116.                                                         | 132.                                        |
| 505 SHS VEOLIA ENVIRONMENT<br>ADR                                      | VAR                                   | 04/04/2011                     | 15,751.                                                | 14,264.                                                        | 1,487.                                      |
| 281 SHS JULIUS BAER GROUP<br>LTD                                       | VAR                                   | 04/05/2011                     | 12,069.                                                | 10,813.                                                        | 1,256.                                      |
| NOVARTIS AG ADR                                                        | VAR                                   | 04/13/2011                     | 2.                                                     | 2.                                                             |                                             |
| 240 SHS SAP AG ADR                                                     | VAR                                   | 04/20/2011                     | 15,682.                                                | 9,163.                                                         | 6,519.                                      |
| 1205 SHS GRUPO TELEVISIA SA                                            | VAR                                   | 04/20/2011                     | 27,704.                                                | 25,057.                                                        | 2,647.                                      |
| 63 SHS VEOLIA ENVIRONMENT<br>ADR                                       | VAR                                   | 04/20/2011                     | 1,990.                                                 | 1,702.                                                         | 288.                                        |
| 1232 SHS VEOLIA<br>ENVIRONMENT                                         | VAR                                   | 04/21/2011                     | 38,818.                                                | 33,274.                                                        | 5,544.                                      |
| 235 SHS JOHNSON MATTHEY<br>PLC                                         | VAR                                   | 06/01/2011                     | 16,044.                                                | 7,325.                                                         | 8,719.                                      |
| 485 SHS AUSTRALIA & NZ BKG<br>GR                                       | VAR                                   | 06/16/2011                     | 10,879.                                                | 7,541.                                                         | 3,338.                                      |
| 292 UTS STANDARD CHARTERED<br>PLC                                      | VAR                                   | 11/01/2010                     | 2,458.                                                 | 0.                                                             | 2,458.                                      |
| 55 SHS ADIDAS AG                                                       | VAR                                   | 11/08/2010                     | 3,597.                                                 | 3,406.                                                         | 191.                                        |
| 5 SHS SMA SOLAR TECHNOLOGY<br>AG                                       | VAR                                   | 11/08/2010                     | 588.                                                   | 640.                                                           | -52.                                        |
| 25 SHS VOSSLOH AG                                                      | VAR                                   | 11/08/2010                     | 3,043.                                                 | 3,172.                                                         | -129.                                       |
| 105 SHS JULIUS BAER GROUP<br>LTD                                       | VAR                                   | 11/08/2010                     | 4,371.                                                 | 4,041.                                                         | 330.                                        |
| 20 SHS CASINO GUICHARD<br>PARRACH                                      | VAR                                   | 11/08/2010                     | 1,905.                                                 | 1,849.                                                         | 56.                                         |
| 1460 SHS HANSEN<br>TRANSMISSIONS                                       | VAR                                   | 11/08/2010                     | 1,210.                                                 | 3,014.                                                         | -1,804.                                     |
| 25 SHS SONOVA HOLDINGS                                                 | VAR                                   | 11/08/2010                     | 3,091.                                                 | 3,168.                                                         | -77.                                        |
| 165 SHS STANDARD CHARTERED<br>PLC                                      | VAR                                   | 11/08/2010                     | 5,148.                                                 | 4,584.                                                         | 564.                                        |
| 100 SHS FANUC LTD                                                      | VAR                                   | 11/09/2010                     | 15,312.                                                | 6,928.                                                         | 8,384.                                      |
| 615 SHS AMP LIMITED                                                    | VAR                                   | 11/09/2010                     | 3,302.                                                 | 3,632.                                                         | -330.                                       |
| 300 SHS HANG SENG BANK LTD                                             | VAR                                   | 11/09/2010                     | 4,704.                                                 | 4,411.                                                         | 293.                                        |
| 285 SHS ABB LTD ADR                                                    | VAR                                   | 11/08/2010                     | 6,103.                                                 | 6,259.                                                         | -156.                                       |

**1b Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . . .

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**SCHEDULE D-1**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D**  
**(Form 1041)**

► See instructions for Schedule D (Form 1041).

► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

**2010**

Name of estate or trust

CAROLINE & SIGMUND SCHOTT FUND

Employer identification number

11-2856561

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co) | (b) Date<br>acquired<br>(mo, day, yr) | (c) Date sold<br>(mo, day, yr) | (d) Sales price<br>(see page 4 of the<br>instructions) | (e) Cost or other basis<br>(see page 4 of the<br>instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|------------------------------------------------------------------------|---------------------------------------|--------------------------------|--------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| <b>1a</b> 200 SHS ACERGY SA SPONS<br>ADR                               | VAR                                   | 11/08/2010                     | 4,418.                                                 | 3,866.                                                         | 552.                                        |
| 10 SHS ALCON INC                                                       | VAR                                   | 11/08/2010                     | 1,660.                                                 | 1,602.                                                         | 58.                                         |
| 280 SHS L'AIR LIQUIDE ADR                                              | VAR                                   | 11/08/2010                     | 7,381.                                                 | 6,232.                                                         | 1,149.                                      |
| 60 SHS AMER MOVIL SA DE CV                                             | VAR                                   | 11/08/2010                     | 3,534.                                                 | 3,034.                                                         | 500.                                        |
| 190 SHS AUSTRALIA & NZ BKG<br>GR                                       | VAR                                   | 11/08/2010                     | 4,602.                                                 | 4,459.                                                         | 143.                                        |
| 80 SHS ASML HOLDING NV                                                 | VAR                                   | 11/08/2010                     | 2,671.                                                 | 2,463.                                                         | 208.                                        |
| 165 SHS AXA ADR                                                        | VAR                                   | 11/08/2010                     | 3,109.                                                 | 3,667.                                                         | -558.                                       |
| 210 SHS CEMIG SA SPONS ADR                                             | VAR                                   | 11/08/2010                     | 3,758.                                                 | 3,205.                                                         | 553.                                        |
| 30 SHS NEW ORIENTAL ED &<br>TECH                                       | VAR                                   | 11/08/2010                     | 3,102.                                                 | 2,103.                                                         | 999.                                        |
| 80 SHS JOHNSON MATTHEY PLC                                             | VAR                                   | 11/08/2010                     | 5,054.                                                 | 4,302.                                                         | 752.                                        |
| 85 SHS HSBC HOLDINGS PLC<br>ADR                                        | VAR                                   | 11/08/2010                     | 4,731.                                                 | 4,848.                                                         | -117.                                       |
| 130 SHS HENKEL KGAA SPONS<br>ADR                                       | VAR                                   | 11/08/2010                     | 6,356.                                                 | 5,977.                                                         | 379.                                        |
| 70 SHS KUBOTA CORP-SPONS<br>ADR                                        | VAR                                   | 11/08/2010                     | 3,366.                                                 | 3,203.                                                         | 163.                                        |
| 110 SHS HONDA MOTOR CO LTD                                             | VAR                                   | 11/08/2010                     | 3,998.                                                 | 3,878.                                                         | 120.                                        |
| 65 SHS NOVARTIS AG ADR                                                 | VAR                                   | 11/08/2010                     | 3,764.                                                 | 3,398.                                                         | 366.                                        |
| 130 SHS KONINKLIJKE<br>PHILIPS                                         | VAR                                   | 11/08/2010                     | 4,116.                                                 | 4,226.                                                         | -110.                                       |
| 290 SHS PEARSON PLC ADR                                                | VAR                                   | 11/08/2010                     | 4,550.                                                 | 4,468.                                                         | 82.                                         |
| 130 SHS ROCHE HOLDINGS LTD<br>ADR                                      | VAR                                   | 11/08/2010                     | 4,806.                                                 | 5,206.                                                         | -400.                                       |
| 80 SHS SAP AG ADR                                                      | VAR                                   | 11/08/2010                     | 4,155.                                                 | 3,055.                                                         | 1,100.                                      |
| 175 SHS SK TELECOM CO LTD<br>ADR                                       | VAR                                   | 11/08/2010                     | 3,282.                                                 | 3,354.                                                         | -72.                                        |
| 215 SHS SIMS METAL MGMT<br>LTD                                         | VAR                                   | 11/08/2010                     | 3,662.                                                 | 4,475.                                                         | -813.                                       |
| 115 SHS SONY CORP ADR                                                  | VAR                                   | 11/08/2010                     | 3,861.                                                 | 4,205.                                                         | -344.                                       |
| 60 SHS SMITH & NEPHEW PLC<br>ADR                                       | VAR                                   | 11/08/2010                     | 2,833.                                                 | 3,083.                                                         | -250.                                       |
| 45 SHS SOHU COM INC                                                    | VAR                                   | 11/08/2010                     | 3,583.                                                 | 2,815.                                                         | 768.                                        |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

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Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D**  
**(Form 1041)**

► See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

CAROLINE &amp; SIGMUND SCHOTT FUND

Employer identification number

11-2856561

### Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

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|                                                                                                     |         |
|-----------------------------------------------------------------------------------------------------|---------|
| <b>1b Total.</b> Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . . | 99,936. |
|-----------------------------------------------------------------------------------------------------|---------|

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Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co) | (b) Date<br>acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price<br>(see page 4 of the<br>instructions) | (e) Cost or other basis<br>(see page 4 of the<br>instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|------------------------------------------------------------------------|-----------------------------------------|----------------------------------|--------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| 6a 7643.232 SHS COMMODITYREAL<br>RETURN STRATEGY FUND                  | 12/11/2003                              | 11/05/2010                       | 70,241.                                                | 112,356.                                                       | -42,115.                                    |
| 3238.161 SHS COMMODITYREAL<br>RETURN STRATEGY FUND                     | 12/30/2003                              | 11/05/2010                       | 29,759.                                                | 44,363.                                                        | -14,604.                                    |
| 9952.484 SHS COMMODITYREAL<br>RETURN STRATEGY FUND                     | 12/30/2003                              | 12/28/2010                       | 92,658.                                                | 136,349.                                                       | -43,691.                                    |
| 2726.194 SHS COMMODITYREAL<br>RETURN STRATEGY FUND                     | 03/18/2004                              | 12/28/2010                       | 25,381.                                                | 43,101.                                                        | -17,720.                                    |
| 1373.445 SHS COMMODITYREAL<br>RETURN STRATEGY FUND                     | 06/17/2004                              | 12/28/2010                       | 12,787.                                                | 20,176.                                                        | -7,389.                                     |
| 1863.978 SHS COMMODITYREAL<br>RETURN STRATEGY FUND                     | 09/16/2004                              | 12/28/2010                       | 17,354.                                                | 27,363.                                                        | -10,009.                                    |
| 5566.176 SHS COMMODITYREAL<br>RETURN STRATEGY FUND                     | 10/15/2004                              | 12/28/2010                       | 51,821.                                                | 89,448.                                                        | -37,627.                                    |
| 750 SHS SPDR GOLD TRUST                                                | 06/02/2009                              | 11/05/2010                       | 102,298.                                               | 72,370.                                                        | 29,928.                                     |
| 1175 SHS NOKIA CORP ADR                                                | 06/16/2008                              | 09/16/2010                       | 11,838.                                                | 30,186.                                                        | -18,348.                                    |
| 400 SHS SYMANTEC CORP                                                  | 04/08/2009                              | 07/02/2010                       | 5,532.                                                 | 6,454.                                                         | -922.                                       |
| 200 SHS US BANCORP                                                     | 09/18/2008                              | 07/02/2010                       | 4,484.                                                 | 7,238.                                                         | -2,754.                                     |
| 200 SHS MCKESSON CORP                                                  | 12/10/2008                              | 07/15/2010                       | 13,677.                                                | 6,858.                                                         | 6,819.                                      |
| 100 SHS M&T BANK CORP                                                  | 05/19/2009                              | 07/23/2010                       | 8,643.                                                 | 5,058.                                                         | 3,585.                                      |
| 200 SHS DELL INC                                                       | 08/14/2008                              | 07/23/2010                       | 2,684.                                                 | 5,082.                                                         | -2,398.                                     |
| 400 SHS BOK FINANCIAL CORP                                             | 03/24/2009                              | 07/23/2010                       | 19,193.                                                | 14,199.                                                        | 4,994.                                      |
| 200 SHS BROOKFIELD ASSET<br>MGMT                                       | 12/20/2007                              | 10/14/2010                       | 5,965.                                                 | 7,181.                                                         | -1,216.                                     |
| 600 SHS PATTERSON-UTI<br>ENERGY                                        | 02/21/2007                              | 10/14/2010                       | 10,962.                                                | 14,043.                                                        | -3,081.                                     |
| 1100 SHS PATTERSON-UTI<br>ENERGY                                       | 04/05/2007                              | 10/14/2010                       | 20,097.                                                | 24,999.                                                        | -4,902.                                     |
| 100 SHS UNIT CORPORATION                                               | 09/16/2005                              | 10/14/2010                       | 3,870.                                                 | 4,935.                                                         | -1,065.                                     |
| 200 SHS UNIT CORPORATION                                               | 01/15/2008                              | 10/14/2010                       | 7,739.                                                 | 9,733.                                                         | -1,994.                                     |
| 250 SHS CAREFUSION CORP                                                | 07/25/2005                              | 10/19/2010                       | 6,160.                                                 | 7,993.                                                         | -1,833.                                     |
| 100 SHS CAREFUSION CORP                                                | 08/08/2005                              | 10/19/2010                       | 2,464.                                                 | 3,312.                                                         | -848.                                       |
| 50 SHS CAREFUSION CORP                                                 | 02/07/2008                              | 10/19/2010                       | 1,232.                                                 | 1,620.                                                         | -388.                                       |
| 50 SHS CAREFUSION CORP                                                 | 07/10/2009                              | 10/19/2010                       | 1,232.                                                 | 825.                                                           | 407.                                        |
| 400 SHS CAREFUSION CORP                                                | 10/05/2009                              | 10/19/2010                       | 9,857.                                                 | 8,581.                                                         | 1,276.                                      |

**6b Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . . .

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Employer identification number

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co) | (b) Date<br>acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price<br>(see page 4 of the<br>instructions) | (e) Cost or other basis<br>(see page 4 of the<br>instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|------------------------------------------------------------------------|-----------------------------------------|----------------------------------|--------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| <b>6a</b>                                                              |                                         |                                  |                                                        |                                                                |                                             |
| 1000 SHS DELL INC                                                      | 08/08/2008                              | 11/10/2010                       | 14,331.                                                | 25,281.                                                        | -10,950.                                    |
| 500 SHS DELL INC                                                       | 08/14/2008                              | 11/10/2010                       | 7,165.                                                 | 12,704.                                                        | -5,539.                                     |
| 1000 SHS PATTERSON-UTI<br>ENERGY INC                                   | 04/05/2007                              | 11/10/2010                       | 19,862.                                                | 22,726.                                                        | -2,864.                                     |
| 675 SHS APACHE CORP                                                    | 07/17/2008                              | 09/03/2010                       | 62,760.                                                | 72,896.                                                        | -10,136.                                    |
| 1020 SHS CLOROX CO                                                     | 06/28/2007                              | 09/09/2010                       | 67,606.                                                | 62,995.                                                        | 4,611.                                      |
| 411 SHS FRONTIER<br>COMMUNICATION                                      | 02/20/2008                              | 09/09/2010                       | 3,145.                                                 | 3,538.                                                         | -393.                                       |
| 1300 SHS BAXTER INTL INC                                               | 06/23/2009                              | 04/20/2011                       | 70,637.                                                | 65,426.                                                        | 5,211.                                      |
| 1270 SHS MEDTRONIC INC                                                 | 11/18/2008                              | 04/12/2011                       | 51,116.                                                | 40,885.                                                        | 10,231.                                     |
| 1830 SHS TRINA SOLAR LTD<br>ADR                                        | 05/25/2010                              | 06/08/2011                       | 35,980.                                                | 30,579.                                                        | 5,401.                                      |
| 740 SHS STATE STREET CORP                                              | 01/12/1998                              | 04/15/2011                       | 33,422.                                                | 19,439.                                                        | 13,983.                                     |
| 40 SHS HOME DEPOT INC                                                  | 03/09/1998                              | 03/17/2011                       | 1,429.                                                 | 888.                                                           | 541.                                        |
| 450 SHS HOME DEPOT INC                                                 | 04/13/1998                              | 03/17/2011                       | 16,074.                                                | 10,646.                                                        | 5,428.                                      |
| 140 SHS ILLINOIS TOOL<br>WORKS                                         | 10/30/2007                              | 03/17/2011                       | 7,536.                                                 | 7,948.                                                         | -412.                                       |
| 125 SHS FASTENAL CO                                                    | 03/20/2007                              | 03/17/2011                       | 7,580.                                                 | 4,448.                                                         | 3,132.                                      |
| 440 SHS CISCO SYSTEMS INC                                              | 11/07/1997                              | 03/17/2011                       | 7,471.                                                 | 4,058.                                                         | 3,413.                                      |
| 270 SHS MEDTRONIC INC                                                  | 09/25/1998                              | 03/17/2011                       | 10,007.                                                | 7,711.                                                         | 2,296.                                      |
| 235 SHS STATE STREET CORP                                              | 01/12/1998                              | 03/17/2011                       | 10,222.                                                | 6,173.                                                         | 4,049.                                      |
| 450 SHS GENZYME CORP                                                   | 10/16/2009                              | 12/10/2010                       | 31,589.                                                | 25,082.                                                        | 6,507.                                      |
| 725 SHS AMGEN INC                                                      | 07/11/2002                              | 12/10/2010                       | 38,853.                                                | 24,177.                                                        | 14,676.                                     |
| 100 SHS CONOCOPHILLIPS                                                 | 11/25/2008                              | 04/21/2011                       | 8,016.                                                 | 5,080.                                                         | 2,936.                                      |
| 100 SHS CONOCOPHILLIPS                                                 | 04/09/2010                              | 04/21/2011                       | 8,016.                                                 | 5,474.                                                         | 2,542.                                      |
| 300 SHS SPECTRA ENERGY<br>CORP                                         | 03/18/2008                              | 04/21/2011                       | 8,337.                                                 | 7,156.                                                         | 1,181.                                      |
| 100 SHS WALGREEN CO                                                    | 08/14/2008                              | 04/21/2011                       | 4,278.                                                 | 3,745.                                                         | 533.                                        |
| 300 SHS WALGREEN CO                                                    | 04/09/2010                              | 04/21/2011                       | 12,833.                                                | 11,057.                                                        | 1,776.                                      |
| 100 SHS TYCO INTL LTD                                                  | 05/02/2006                              | 04/21/2011                       | 4,935.                                                 | 4,186.                                                         | 749.                                        |

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Employer identification number

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co) | (b) Date<br>acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price<br>(see page 4 of the<br>instructions) | (e) Cost or other basis<br>(see page 4 of the<br>instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|------------------------------------------------------------------------|-----------------------------------------|----------------------------------|--------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| <b>6a</b>                                                              |                                         |                                  |                                                        |                                                                |                                             |
| 200 SHS TYCO INTL LTD                                                  | 08/15/2007                              | 04/21/2011                       | 9,871.                                                 | 8,702.                                                         | 1,169.                                      |
| 800 SHS MICROSOFT CORP                                                 | 03/25/2009                              | 03/22/2011                       | 20,216.                                                | 14,426.                                                        | 5,790.                                      |
| 600 SHS MICROSOFT CORP                                                 | 04/08/2009                              | 03/22/2011                       | 15,162.                                                | 11,439.                                                        | 3,723.                                      |
| 500 SHS MICROSOFT CORP                                                 | 07/10/2009                              | 03/22/2011                       | 12,635.                                                | 11,147.                                                        | 1,488.                                      |
| 200 SHS MICROSOFT CORP                                                 | 08/18/2009                              | 03/22/2011                       | 5,054.                                                 | 4,665.                                                         | 389.                                        |
| 500 SHS UMB FINANCIAL CORP                                             | 09/22/2009                              | 03/22/2011                       | 19,285.                                                | 20,297.                                                        | -1,012.                                     |
| 300 SHS CLOROX CO                                                      | 05/12/2008                              | 02/04/2011                       | 19,117.                                                | 16,671.                                                        | 2,446.                                      |
| 800 SHS PATTERSON-UTI<br>ENERGY                                        | 10/17/2008                              | 01/06/2011                       | 16,577.                                                | 9,596.                                                         | 6,981.                                      |
| 400 SHS PATTERSON-UTI<br>ENERGY                                        | 02/13/2009                              | 01/06/2011                       | 8,288.                                                 | 3,764.                                                         | 4,524.                                      |
| 100 SHS DELL INC                                                       | 08/08/2008                              | 01/06/2011                       | 1,383.                                                 | 2,528.                                                         | -1,145.                                     |
| 800 SHS DELL INC                                                       | 09/30/2008                              | 01/06/2011                       | 11,064.                                                | 12,504.                                                        | -1,440.                                     |
| 800 SHS DELL INC                                                       | 08/18/2009                              | 01/06/2011                       | 11,064.                                                | 11,390.                                                        | -326.                                       |
| 100 SHS UNIT CORPORATION                                               | 10/06/2006                              | 01/26/2011                       | 4,888.                                                 | 4,389.                                                         | 499.                                        |
| 100 SHS CLOROX CO                                                      | 05/12/2008                              | 01/26/2011                       | 6,335.                                                 | 5,557.                                                         | 778.                                        |
| 200 SHS CLOROX CO                                                      | 05/28/2008                              | 01/26/2011                       | 12,669.                                                | 11,354.                                                        | 1,315.                                      |
| 1000 SHS AT&T CORP                                                     | 06/26/2008                              | 10/07/2010                       | 28,279.                                                | 34,063.                                                        | -5,784.                                     |
| 2000 SHS AT&T CORP                                                     | 06/26/2008                              | 12/07/2010                       | 57,424.                                                | 68,126.                                                        | -10,702.                                    |
| 1300 SHS BMC SOFTWARE INC                                              | 11/01/2007                              | 10/07/2010                       | 55,553.                                                | 43,224.                                                        | 12,329.                                     |
| 870 SHS CHECKPOINT<br>SOFTWARE                                         | 03/15/2005                              | 10/07/2010                       | 32,250.                                                | 18,626.                                                        | 13,624.                                     |
| 1250 SHS CON EDISON CO OF<br>NY                                        | 01/17/2008                              | 12/09/2010                       | 60,605.                                                | 57,143.                                                        | 3,462.                                      |
| 737 SHS CONOCOPHILLIPS                                                 | 01/23/2007                              | 12/21/2010                       | 49,083.                                                | 47,905.                                                        | 1,178.                                      |
| 1423 SHS EXXON MOBIL CORP                                              | 07/17/2008                              | 11/10/2010                       | 100,575.                                               | 92,108.                                                        | 8,467.                                      |
| 330 SHS FLEXTRONICS INTL<br>LTD                                        | 10/08/2008                              | 12/21/2010                       | 2,609.                                                 | 1,678.                                                         | 931.                                        |
| 3000 SHS GAMESA CP TECH<br>NEW OR                                      | 06/02/2005                              | 12/09/2010                       | 19,825.                                                | 41,510.                                                        | -21,685.                                    |
| 1455 SHS HEINZ (HJ)                                                    | 02/17/2009                              | 12/30/2010                       | 71,970.                                                | 48,257.                                                        | 23,713.                                     |

**6b Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . . .



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Employer identification number

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co) | (b) Date<br>acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price<br>(see page 4 of the<br>instructions) | (e) Cost or other basis<br>(see page 4 of the<br>instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|------------------------------------------------------------------------|-----------------------------------------|----------------------------------|--------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| <b>6a</b>                                                              |                                         |                                  |                                                        |                                                                |                                             |
| 1725 SHS IDACORP INC                                                   | 06/07/2007                              | 12/09/2010                       | 64,215.                                                | 55,852.                                                        | 8,363.                                      |
| 396 SHS KYOCERA LTD ADR                                                | 10/08/2008                              | 12/21/2010                       | 40,846.                                                | 26,100.                                                        | 14,746.                                     |
| 1100 SHS NOVARTIS A G SPON<br>ADR                                      | 11/29/2006                              | 10/07/2010                       | 63,845.                                                | 64,124.                                                        | -279.                                       |
| 570 SHS VERIZON<br>COMMUNICATIONS                                      | 02/20/2008                              | 10/07/2010                       | 18,870.                                                | 17,791.                                                        | 1,079.                                      |
| 570 SHS VERIZON<br>COMMUNICATIONS                                      | 02/20/2008                              | 10/12/2010                       | 18,509.                                                | 17,791.                                                        | 718.                                        |
| 130208.333 EATON VANCE SR<br>LOAN                                      | 05/29/2009                              | 07/01/2010                       | 1,136,719.                                             | 1,000,000.                                                     | 136,719.                                    |
| 459.662 SHS EATON VANCE SR<br>LN                                       | 06/30/2009                              | 07/01/2010                       | 4,013.                                                 | 3,668.                                                         | 345.                                        |
| BOSTON TRUST & INV MGMT -<br>SCHEDULE ATTACHED                         | VAR                                     | VAR                              | 421,942.                                               | 258,574.                                                       | 163,368.                                    |
| 1385 SHS SUNPOWER CORP CL<br>B                                         | 04/08/2010                              | 05/24/2011                       | 28,606.                                                | 28,937.                                                        | -331.                                       |
| 50 SHS SUNPOWER CORP CL B                                              | 04/08/2010                              | 05/25/2011                       | 1,034.                                                 | 834.                                                           | 200.                                        |
| 400 SHS EMERSON ELECTRIC<br>CO                                         | 08/18/2009                              | 05/24/2011                       | 21,600.                                                | 13,702.                                                        | 7,898.                                      |
| 600 SHS UNIT CORPORATION                                               | 10/17/2008                              | 05/24/2011                       | 33,316.                                                | 24,053.                                                        | 9,263.                                      |
| 100 SHS MCKESSON CORP                                                  | 12/10/2008                              | 05/24/2011                       | 8,416.                                                 | 3,429.                                                         | 4,987.                                      |
| 575 SHS VERIZON<br>COMMUNICATIONS                                      | 02/20/2008                              | 01/10/2011                       | 21,280.                                                | 21,485.                                                        | -205.                                       |
| 1175 SHS BIOGEN IDEC INC                                               | 04/29/2008                              | 03/08/2011                       | 84,021.                                                | 74,457.                                                        | 9,564.                                      |
| 455 SHS CLOROX                                                         | 08/02/2007                              | 01/06/2011                       | 27,859.                                                | 28,101.                                                        | -242.                                       |
| 1075 SHS CLOROX                                                        | 08/02/2007                              | 01/06/2011                       | 65,810.                                                | 61,502.                                                        | 4,308.                                      |
| 580 SHS ITRON INC                                                      | 09/18/2008                              | 02/18/2011                       | 37,021.                                                | 52,685.                                                        | -15,664.                                    |
| 200 SHS ITRON INC                                                      | 09/18/2008                              | 02/18/2011                       | 12,771.                                                | 18,176.                                                        | -5,405.                                     |
| 95 SHS ITRON INC                                                       | 11/04/2008                              | 02/18/2011                       | 6,057.                                                 | 4,996.                                                         | 1,061.                                      |
| 200 SHS KYOCERA LTD                                                    | 10/14/2008                              | 03/21/2011                       | 17,376.                                                | 13,182.                                                        | 4,194.                                      |
| 760 SHS MEDTRONIC INC                                                  | 12/10/1999                              | 02/18/2011                       | 29,996.                                                | 28,148.                                                        | 1,848.                                      |
| 700 SHS MEDTRONIC INC                                                  | 04/05/2007                              | 02/18/2011                       | 27,628.                                                | 34,135.                                                        | -6,507.                                     |
| 720 SHS MEDTRONIC INC                                                  | 11/18/2008                              | 02/18/2011                       | 28,407.                                                | 23,176.                                                        | 5,231.                                      |
| 550 SHS MEDTRONIC INC                                                  | 11/18/2008                              | 02/18/2011                       | 21,821.                                                | 17,704.                                                        | 4,117.                                      |

**6b Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . . .

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**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co) | (b) Date<br>acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price<br>(see page 4 of the<br>instructions) | (e) Cost or other basis<br>(see page 4 of the<br>instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|------------------------------------------------------------------------|-----------------------------------------|----------------------------------|--------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| <b>6a</b> 3500 SHS MEMC ELEC<br>MATERIALS                              | 02/04/2010                              | 02/22/2011                       | 51,056.                                                | 41,933.                                                        | 9,123.                                      |
| 775 SHS 3M COMPANY                                                     | 08/11/2005                              | 02/24/2011                       | 71,810.                                                | 56,010.                                                        | 15,800.                                     |
| 450 SHS 3M COMPANY                                                     | 04/05/2007                              | 02/24/2011                       | 41,685.                                                | 34,291.                                                        | 7,394.                                      |
| 980 SHS ROTH & RAU                                                     | 03/17/2008                              | 01/07/2011                       | 16,915.                                                | 76,116.                                                        | -59,201.                                    |
| 940 SHS ROTH & RAU                                                     | 04/17/2008                              | 01/07/2011                       | 16,109.                                                | 21,661.                                                        | -5,552.                                     |
| 1000 SHS SYMANTEC CORP                                                 | 03/24/2009                              | 08/06/2010                       | 13,014.                                                | 14,331.                                                        | -1,317.                                     |
| 200 SHS SYMANTEC CORP                                                  | 04/08/2009                              | 08/06/2010                       | 2,603.                                                 | 3,227.                                                         | -624.                                       |
| 400 SHS SYMANTEC CORP                                                  | 05/19/2009                              | 08/06/2010                       | 5,205.                                                 | 5,970.                                                         | -765.                                       |
| 800 SHS SYMANTEC CORP                                                  | 07/10/2009                              | 08/06/2010                       | 10,411.                                                | 12,412.                                                        | -2,001.                                     |
| 200 SHS M&T BANK CORP                                                  | 05/19/2009                              | 08/06/2010                       | 17,332.                                                | 10,117.                                                        | 7,215.                                      |
| L'AIR LIQUIDE - ADR                                                    | VAR                                     | 07/06/2010                       | 20.                                                    | 22.                                                            | -2.                                         |
| 735 SHS CANON INC ADR                                                  | VAR                                     | 07/20/2010                       | 28,119.                                                | 27,766.                                                        | 353.                                        |
| 545 SHS YARA INT'L ASA                                                 | VAR                                     | 08/24/2010                       | 21,088.                                                | 14,737.                                                        | 6,351.                                      |
| 310 SHS LONZA GROUP AG                                                 | VAR                                     | 08/24/2010                       | 25,417.                                                | 27,595.                                                        | -2,178.                                     |
| 3900 SHS KASIKORNBANK PLC                                              | VAR                                     | 09/08/2010                       | 14,298.                                                | 8,223.                                                         | 6,075.                                      |
| 1045 SHS NET SERVICOS DE<br>COMM                                       | VAR                                     | 09/20/2010                       | 13,549.                                                | 12,049.                                                        | 1,500.                                      |
| 2735 SHS NET SERVICOS DE<br>COMM                                       | VAR                                     | 09/21/2010                       | 35,214.                                                | 26,968.                                                        | 8,246.                                      |
| 145 SHS NEW ORIENTAL ED &<br>TECH                                      | VAR                                     | 09/24/2010                       | 14,261.                                                | 11,373.                                                        | 2,888.                                      |
| 2476 SHS HANSEN<br>TRANSMISSIONS                                       | VAR                                     | 01/19/2011                       | 2,351.                                                 | 4,997.                                                         | -2,646.                                     |
| 6870 SHS HANSEN<br>TRANSMISSIONS                                       | VAR                                     | 01/20/2011                       | 6,422.                                                 | 10,278.                                                        | -3,856.                                     |
| 247 SHS SONY CORP ADR                                                  | VAR                                     | 01/19/2011                       | 8,913.                                                 | 9,031.                                                         | -118.                                       |
| 8270 SHS HANSEN<br>TRANSMISSIONS                                       | VAR                                     | 01/21/2011                       | 7,805.                                                 | 11,010.                                                        | -3,205.                                     |
| 138 SHS SONY CORP ADR                                                  | VAR                                     | 01/21/2011                       | 4,721.                                                 | 5,045.                                                         | -324.                                       |
| 1150 SHS TAIWAN<br>SEMICONDUCTOR                                       | VAR                                     | 01/24/2011                       | 15,310.                                                | 10,142.                                                        | 5,168.                                      |
| 865 SHS PEARSON PLC ADR                                                | VAR                                     | 01/24/2011                       | 14,568.                                                | 9,562.                                                         | 5,006.                                      |

**6b Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . . .

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**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co)                             | (b) Date<br>acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price<br>(see page 4 of the<br>instructions) | (e) Cost or other basis<br>(see page 4 of the<br>instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|----------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------|--------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| <b>6a</b> 585 SHS VODAFONE GROUP PLC<br>ADR                                                        | VAR                                     | 01/24/2011                       | 16,781.                                                | 12,025.                                                        | 4,756.                                      |
| 1854 SHS HANSEN<br>TRANSMISSIONS                                                                   | VAR                                     | 01/24/2011                       | 1,736.                                                 | 2,468.                                                         | -732.                                       |
| 375 SHS VESTAS WIND<br>SYSTEMS                                                                     | VAR                                     | 01/24/2011                       | 13,602.                                                | 21,257.                                                        | -7,655.                                     |
| 250 SHS NOVARTIS AG ADR                                                                            | VAR                                     | 02/03/2011                       | 14,168.                                                | 12,907.                                                        | 1,261.                                      |
| 520 SHS UNILEVER NV NY SH<br>F                                                                     | VAR                                     | 02/15/2011                       | 15,262.                                                | 13,770.                                                        | 1,492.                                      |
| 2280 SHS SK TELECOM CO LTD                                                                         | VAR                                     | 02/25/2011                       | 39,811.                                                | 43,303.                                                        | -3,492.                                     |
| 570 SHS SUBSEA 7 SA SPON<br>ADR                                                                    | VAR                                     | 03/04/2011                       | 14,817.                                                | 5,822.                                                         | 8,995.                                      |
| 630 SHS ABB LTD ADR                                                                                | VAR                                     | 03/04/2011                       | 15,350.                                                | 13,534.                                                        | 1,816.                                      |
| 635 SHS CEMIG SA-SPONS ADR                                                                         | VAR                                     | 03/15/2011                       | 11,289.                                                | 8,102.                                                         | 3,187.                                      |
| 610 SHS L'AIR LIQUIDE ADR                                                                          | VAR                                     | 03/18/2011                       | 15,472.                                                | 11,570.                                                        | 3,902.                                      |
| 225 SHS JOHNSON MATTHEY<br>PLC                                                                     | VAR                                     | 03/18/2011                       | 13,468.                                                | 8,030.                                                         | 5,438.                                      |
| 155 SHS SONOVA HOLDINGS                                                                            | VAR                                     | 03/25/2011                       | 15,170.                                                | 9,087.                                                         | 6,083.                                      |
| 150 SHS NEW ORIENTAL ED &<br>TECH                                                                  | VAR                                     | 05/04/2011                       | 17,667.                                                | 8,855.                                                         | 8,812.                                      |
| 75 SHS HENKEL KGAA-SPONS<br>ADR                                                                    | VAR                                     | 05/23/2011                       | 4,220.                                                 | 3,449.                                                         | 771.                                        |
| 79 SHS HENKEL KGAA-SPONS<br>ADR                                                                    | VAR                                     | 05/24/2011                       | 4,477.                                                 | 2,326.                                                         | 2,151.                                      |
| 46 SHS HENKEL KGAA-SPONS<br>ADR                                                                    | VAR                                     | 05/25/2011                       | 2,585.                                                 | 1,244.                                                         | 1,341.                                      |
| 45 SHS SUNPOWER CORP CL B                                                                          | 04/08/2010                              | 05/27/2011                       | 931.                                                   | 750.                                                           | 181.                                        |
| ALCON/NOVARTIS AG MERGER                                                                           | VAR                                     | 04/08/2011                       | 2,043.                                                 | 0.                                                             | 2,043.                                      |
|                                                                                                    |                                         |                                  |                                                        |                                                                |                                             |
|                                                                                                    |                                         |                                  |                                                        |                                                                |                                             |
|                                                                                                    |                                         |                                  |                                                        |                                                                |                                             |
|                                                                                                    |                                         |                                  |                                                        |                                                                |                                             |
|                                                                                                    |                                         |                                  |                                                        |                                                                |                                             |
|                                                                                                    |                                         |                                  |                                                        |                                                                |                                             |
|                                                                                                    |                                         |                                  |                                                        |                                                                |                                             |
|                                                                                                    |                                         |                                  |                                                        |                                                                |                                             |
| <b>6b Total.</b> Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . . . |                                         |                                  |                                                        |                                                                | 326,775.                                    |

FEDERAL CAPITAL GAIN DIVIDENDSATTACHMENT 1LONG-TERM CAPITAL GAIN DIVIDENDS

## 15% RATE CAPITAL GAIN DIVIDENDS

PIMCO TOTAL RETURN III INSTL SHS  
JULIUS BAER GROUP LTD

46,276.  
765.

TOTAL 15% RATE CAPITAL GAIN DIVIDENDS

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47,041.

TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS

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47,041.

FEDERAL FOOTNOTESPART 1, LINE 25, COL D - CONTRIBUTIONS, GIFTS AND GRANTS PAID  
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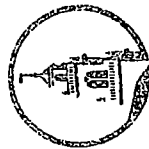
|                                        |             |
|----------------------------------------|-------------|
| LINE 25, COL A - TOTAL CONTRIBUTIONS   | \$7,569,675 |
| LESS: GRANTS APPROVED                  | (5,660,000) |
| ADD: CONTRIBUTIONS ACCRUED @ 6/30/2010 |             |
| AND PAID DURING F/Y 6/30/2011          | 10,000      |

|                                    |             |
|------------------------------------|-------------|
| LINE 25, COL D - CONTRIBUTIONS FOR |             |
| CHARITABLE PURPOSES                | \$1,919,675 |

## CAPITAL GAINS - BOSTON TRUST &amp; INV MANAGEMENT COMPANY

|          | SALES<br>PROCEEDS | COST      | SHORT<br>TERM |
|----------|-------------------|-----------|---------------|
| JULY '10 | 1,532.04          | 2,355.84  | (823.80)      |
| AUG '10  | 0.00              | 0.00      | 0.00          |
| SEPT'10  | 3,453.89          | 2,407.78  | 1,046.11      |
| OCT '10  | 19,409.51         | 7,915.35  | 11,494.16     |
| NOV'10   | 27,207.46         | 24,772.92 | 2,434.54      |
| DEC '10  | 2,067.49          | 1,436.86  | 630.63        |
| JAN '11  | 0.00              | 0.00      | 0.00          |
| FEB '11  | 0.00              | 0.00      | 0.00          |
| MAR '11  | 3,250.42          | 2,971.15  | 279.27        |
| APR '11  | 17,084.40         | 11,901.97 | 5,182.43      |
| MAY '11  | 1,151.54          | 1,292.51  | (140.97)      |
| JUNE '11 | 3,899.15          | 4,402.99  | (503.84)      |
|          | -----             | -----     | -----         |
|          | 79,055.90         | 59,457.37 | 19,598.53     |
|          | =====             | =====     | =====         |

|          | SALES<br>PROCEEDS | COST       | LONG-<br>TERM |
|----------|-------------------|------------|---------------|
| JULY '10 | 13,484.97         | 8,476.46   | 5,008.51      |
| AUG '10  | 21,702.13         | 32,127.38  | (10,425.25)   |
| SEPT'10  | 19,502.88         | 9,907.92   | 9,594.96      |
| OCT '10  | 15,565.59         | 12,141.11  | 3,424.48      |
| NOV'10   | 89,738.65         | 62,460.34  | 27,278.31     |
| DEC '10  | 82,980.58         | 39,417.86  | 43,562.72     |
| JAN '11  | 31,699.46         | 8,844.55   | 22,854.91     |
| FEB '11  | 29,603.57         | 12,429.58  | 17,173.99     |
| MAR '11  | 50,261.99         | 22,916.05  | 27,345.94     |
| APR '11  | 6,909.92          | 5,753.01   | 1,156.91      |
| MAY '11  | 42,305.39         | 29,952.23  | 12,353.16     |
| JUNE '11 | 18,186.94         | 14,147.94  | 4,039.00      |
|          | -----             | -----      | -----         |
|          | 421,942.07        | 258,574.43 | 163,367.64    |
|          | =====             | =====      | =====         |
|          | 500,997.97        | 318,031.80 | 182,966.17    |
|          | =====             | =====      | =====         |



**Boston Trust & Investment  
Management Company**

One Beacon Street Boston, Massachusetts 02108  
617-726-7250

STATEMENT PERIOD FROM 06/30/10 TO 07/31/10  
ASSETS SOLD

CAROLINE & SIGMUND SCHOTT FND-SC IMA  
ACCOUNT # 1-06428

PAGE 12

| DATE     | DESCRIPTION                                                   | CASH     | COST BASIS | SHORT TERM | GAIN/LOSS | LONG TERM |
|----------|---------------------------------------------------------------|----------|------------|------------|-----------|-----------|
| ----     | -----                                                         | ----     | -----      | -----      | -----     | -----     |
| EQUITIES |                                                               |          |            |            |           |           |
| 07/01/10 | SOLD 75 SHS OF ALVARION LTD<br>75 SHARES AT \$2.0595          | 153.65   | 314.89-    | 161.24-    | 0.00      | 0.00      |
| 07/02/10 | SOLD 180 SHS OF ALVARION LTD<br>180 SHARES AT \$2.0025        | 356.78   | 752.43-    | 395.65-    | 0.00      | 0.00      |
| 07/07/10 | SOLD 200 SHS OF ALVARION LTD<br>200 SHARES AT \$1.9537        | 386.67   | 792.25-    | 405.58-    | 0.00      | 0.00      |
| 07/08/10 | SOLD 402 SHS OF WAINWRIGHT BK & TR<br>402 SHARES AT \$18.5079 | 7,423.89 | 2,469.86-  | 0.00       | 4,954.03  |           |
| 07/08/10 | SOLD 50 SHS OF ALVARION LTD<br>50 SHARES AT \$1.9373          | 95.82    | 185.79-    | 58.37-     | 31.60-    |           |
| 07/09/10 | SOLD 150 SHS OF ALVARION LTD<br>150 SHARES AT \$1.9093        | 283.34   | 510.98-    | 0.00       | 227.64-   |           |
| 07/12/10 | SOLD 225 SHS OF GENTEX CORPORATION<br>225 SHARES AT \$17.5803 | 3,948.69 | 3,488.98-  | 0.00       | 459.71    |           |
| 07/12/10 | SOLD 100 SHS OF ALVARION LTD<br>100 SHARES AT \$1.8171        | 179.66   | 318.24-    | 0.00       | 138.58-   |           |
| 07/13/10 | SOLD 200 SHS OF ALVARION LTD<br>200 SHARES AT \$1.8162        | 359.17   | 636.49-    | 0.00       | 277.32-   |           |
| 07/14/10 | SOLD 80 SHS OF ALVARION LTD<br>80 SHARES AT \$1.8339          | 145.04   | 254.59-    | 0.00       | 109.55-   |           |
| 07/29/10 | SOLD 75 SHS OF NUTRI SYS INC NEW<br>75 SHARES AT \$22.4836    | 1,684.30 | 1,107.80-  | 197.04     | 379.46    |           |



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STATEMENT PERIOD FROM 06/30/10 TO 07/31/10  
ASSETS SOLD

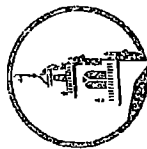
CAROLINE & SIGMUND SCHOTT FND-SC IMA  
ACCOUNT # 1-06428

PAGE 13

| DATE | DESCRIPTION                                       | CASH      | COST BASIS | SHORT TERM | GAIN/LOSS | LONG TERM |
|------|---------------------------------------------------|-----------|------------|------------|-----------|-----------|
| ---- | -----                                             | ----      | -----      | -----      | -----     | -----     |
|      | TOTAL EQUITIES (PRINCIPAL)                        | 15,017.01 | 10,832.30- | 823.80-    | 5,008.51  |           |
|      | MONEY MARKET                                      |           |            |            |           |           |
|      | TOTAL SALES OF SEI DAILY INCOME TR GOVT II PORT A | 3,950.24  | 3,950.24-  | 0.00       | 0.00      | 0.00      |
|      | TOTAL MONEY MARKET (PRINCIPAL)                    | 3,950.24  | 3,950.24-  | 0.00       | 0.00      | 0.00      |
|      | TOTAL ASSETS SOLD                                 | 18,967.25 | 14,782.54- | 823.80-    | 5,008.51  |           |

ST 247200 84 2355 823.80 7  
1532 04  
LT 13484 97 46 5008 51





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**STATEMENT PERIOD FROM 07/31/10 TO 08/31/10  
ASSETS SOLD**

CAROLINE & SIGMUND SCHOTT FND-SC IMA  
ACCOUNT # 1-06428

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| DATE         | DESCRIPTION                                                               | CASH     | COST BASIS | GAIN/LOSS  |           |
|--------------|---------------------------------------------------------------------------|----------|------------|------------|-----------|
|              |                                                                           |          |            | SHORT TERM | LONG TERM |
|              |                                                                           |          |            | -----      | -----     |
| FIXED INCOME |                                                                           |          |            |            |           |
|              |                                                                           |          |            | -----      | -----     |
| 08/23/10     | SOLD 10 SHS OF PARKWAY PPTYS INC<br>10 SHARES AT \$14.9556                | 149.19   | 421.63-    | 0.00       | 272.44-   |
| 08/24/10     | SOLD 25 SHS OF PARKWAY PPTYS INC<br>25 SHARES AT \$14.1799                | 353.68   | 1,054.09-  | 0.00       | 700.41-   |
| 08/25/10     | SOLD 50 SHS OF PARKWAY PPTYS INC<br>50 SHARES AT \$13.9482                | 695.81   | 2,108.17-  | 0.00       | 1,412.36- |
| 08/26/10     | SOLD 90 SHS OF PARKWAY PPTYS INC<br>90 SHARES AT \$13.6731                | 1,227.80 | 3,707.15-  | 0.00       | 2,479.35- |
|              | TOTAL FIXED INCOME (PRINCIPAL)                                            | 2,426.48 | 7,291.04-  | 0.00       | 4,864.56- |
| EQUITIES     |                                                                           |          |            |            |           |
|              |                                                                           |          |            | -----      | -----     |
| 08/02/10     | SOLD 150 SHS OF TIMBERLAND CO<br>150 SHARES AT \$17.907                   | 2,681.44 | 2,843.14-  | 0.00       | 161.70-   |
| 08/23/10     | SOLD 25 SHS OF STRAYER ED INC<br>25 SHARES AT \$167.7874                  | 4,193.88 | 1,434.76-  | 0.00       | 2,759.12  |
| 08/23/10     | SOLD 350 SHS OF WILMINGTON TRUST CORPORATION<br>350 SHARES AT \$9.2016    | 3,209.95 | 8,708.84-  | 0.00       | 5,498.89- |
| 08/24/10     | SOLD 25 SHS OF STRAYER ED INC<br>25 SHARES AT \$164.655                   | 4,115.63 | 1,434.75-  | 0.00       | 2,680.88  |
| 08/24/10     | SOLD 150 SHS OF WILMINGTON TRUST CORPORATION<br>150 SHARES AT \$8.8766    | 1,326.97 | 3,732.36-  | 0.00       | 2,405.39- |
| 08/25/10     | SOLD 25 SHS OF NEW JERSEY RESOURCES CORPORATION<br>25 SHARES AT \$36.5508 | 912.92   | 541.98-    | 0.00       | 370.94    |
| 08/25/10     | SOLD 225 SHS OF WILMINGTON TRUST CORPORATION<br>225 SHARES AT \$8.5134    | 1,908.68 | 5,598.53-  | 0.00       | 3,689.85- |



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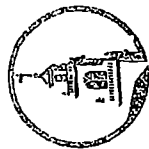
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STATEMENT PERIOD FROM 07/31/10 TO 08/31/10  
ASSETS SOLD

CAROLINE & SIGMUND SCHOTT FND-SC IMA  
ACCOUNT # 1-06428

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| DATE     | DESCRIPTION                                                               | CASH      | COST BASIS | GAIN/LOSS  |            |
|----------|---------------------------------------------------------------------------|-----------|------------|------------|------------|
|          |                                                                           |           |            | SHORT TERM | LONG TERM  |
| 08/26/10 | SOLD 25 SHS OF NEW JERSEY RESOURCES CORPORATION<br>25 SHARES AT \$37.0841 | 926.18    | 541.98-    | 0.00       | 384.20     |
|          | TOTAL EQUITIES (PRINCIPAL)                                                | 19,275.65 | 24,836.34- | 0.00       | 5,560.69-  |
|          | TOTAL ASSETS SOLD                                                         | 21,702.13 | 32,127.38- | 0.00       | 10,425.25- |



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STATEMENT PERIOD FROM 08/31/10 TO 09/30/10  
ASSETS SOLD

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ACCOUNT # 1-06428

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| DATE     | DESCRIPTION                                                       | CASH      | COST BASIS | GAIN/LOSS  |           |
|----------|-------------------------------------------------------------------|-----------|------------|------------|-----------|
|          |                                                                   |           |            | SHORT TERM | LONG TERM |
| 09/03/10 | SOLD 50 SHS OF DIONEX CORP<br>50 SHARES AT \$72.8689              | 3,642.04  | 1,401.34-  | 0 00       | 2,240.70  |
| 09/17/10 | SOLD 50 SHS OF PLANTRONICS INC NEW<br>50 SHARES AT \$30.0195      | 1,499.63  | 986.84-    | 0 00       | 512.79    |
| 09/17/10 | SOLD 50 SHS OF JONES LANG LASALLE INC<br>50 SHARES AT \$81.1745   | 4,057.08  | 1,414.55-  | 0.00       | 2,642.53  |
| 09/27/10 | SOLD 100 SHS OF TIMBERLAND CO<br>100 SHARES AT \$18.7097          | 1,867.88  | 1,895.43-  | 0.00       | 27.55-    |
| 09/27/10 | SOLD 175 SHS OF SKYWORKS SOLUTIONS INC<br>175 SHARES AT \$19.7522 | 3,453.89  | 2,407.78-  | 1,046.11   | 0.00      |
| 09/28/10 | SOLD 50 SHS OF TIMBERLAND CO<br>50 SHARES AT \$18.5461            | 925.72    | 947.71-    | 0.00       | 21.99-    |
| 09/30/10 | SOLD 50 SHS OF QUALITY SYS INC<br>50 SHARES AT \$64.2469          | 3,210.98  | 1,847.51-  | 0.00       | 1,363.47  |
| 09/30/10 | SOLD 50 SHS OF JONES LANG LASALLE INC<br>50 SHARES AT \$86.0234   | 4,299.55  | 1,414.54-  | 0.00       | 2,885.01  |
|          | TOTAL EQUITIES (PRINCIPAL)                                        | 22,956.77 | 12,315.70- | 1,046.11   | 9,594.96  |

MONEY MARKET

TOTAL SALES OF SEI DAILY INCOME TR GOVT II PORT A

ST 3453<sup>89</sup> 2407<sup>78</sup> 1046<sup>11</sup>  
LT 19502<sup>88</sup> 9907<sup>92</sup> 9594<sup>96</sup>



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**STATEMENT PERIOD FROM 09/30/10 TO 10/31/10  
ASSETS SOLD**

CAROLINE & SIGMUND SCHOTT FND-SC IMA  
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| DATE     | DESCRIPTION                                                               | CASH      | COST BASIS | GAIN/LOSS  |           |
|----------|---------------------------------------------------------------------------|-----------|------------|------------|-----------|
|          |                                                                           |           |            | SHORT TERM | LONG TERM |
|          |                                                                           |           |            |            |           |
|          | <b>EQUITIES</b>                                                           |           |            |            |           |
|          | -----                                                                     |           |            |            |           |
| 10/08/10 | SOLD 10 SHS OF CREDO PETE CORP<br>10 SHARES AT \$8.2597                   | 82.30     | 155.85-    | 0.00       | 73.55-    |
| 10/12/10 | SOLD 25 SHS OF CREDO PETE CORP<br>25 SHARES AT \$8.4913                   | 211.59    | 389.61-    | 0.00       | 178.02-   |
| 10/14/10 | SOLD 10 SHS OF CREDO PETE CORP<br>10 SHARES AT \$8.2438                   | 82.15     | 155.84-    | 0.00       | 73.69-    |
| 10/19/10 | SOLD 25 SHS OF CREDO PETE CORP<br>25 SHARES AT \$8.2205                   | 204.82    | 389.61-    | 0.00       | 184.79-   |
| 10/21/10 | SOLD 20 SHS OF CREDO PETE CORP<br>20 SHARES AT \$8.20                     | 163.43    | 311.69-    | 0.00       | 148.26-   |
| 10/22/10 | SOLD 10 SHS OF CREDO PETE CORP<br>10 SHARES AT \$8.20                     | 81.75     | 155.85-    | 0.00       | 74.10-    |
| 10/25/10 | SOLD 50 SHS OF NEW JERSEY RESOURCES CORPORATION<br>50 SHARES AT \$40.6401 | 2,030.40  | 1,083.97-  | 0.00       | 946.43    |
| 10/25/10 | SOLD 175 SHS OF IMMUCOR INC<br>175 SHARES AT \$17.1322                    | 2,992.76  | 4,348.83-  | 0.00       | 1,356.07- |
| 10/27/10 | SOLD 325 SHS OF RIVERBED TECHNOLOGY INC<br>325 SHARES AT \$54.4718        | 17,694.85 | 7,002.16-  | 10,692.69  | 0.00      |
| 10/29/10 | SOLD 525 SHS OF SKYWORKS SOLUTIONS INC<br>525 SHARES AT \$21.8039         | 11,431.05 | 6,063.05-  | 801.47     | 4,566.53  |



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| DATE | DESCRIPTION                                       | CASH      | COST BASIS | GAIN/LOSS  |           |
|------|---------------------------------------------------|-----------|------------|------------|-----------|
|      |                                                   |           |            | SHORT TERM | LONG TERM |
|      |                                                   | ----      | -----      | -----      | -----     |
|      | TOTAL EQUITIES (PRINCIPAL)                        | 34,975.10 | 20,056.46- | 11,494.16  | 3,424.48  |
|      | MONEY MARKET                                      |           |            |            |           |
|      | -----                                             |           |            |            |           |
|      | TOTAL SALES OF SEI DAILY INCOME TR GOVT II PORT A | 8,165.76  | 9,165.76-  | 0.00       | 0.00      |
|      | TOTAL MONEY MARKET (PRINCIPAL)                    | 9,165.76  | 9,165.76-  | 0.00       | 0.00      |
|      | TOTAL ASSETS SOLD                                 | 44,140.86 | 29,222.22- | 11,494.16  | 3,424.48  |

ST 19409<sup>51</sup> 7915<sup>35</sup> 11,494<sup>16</sup>  
LT 15565<sup>59</sup> 12141<sup>11</sup> 3424<sup>48</sup>





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STATEMENT PERIOD FROM 10/31/10 TO 11/30/10  
ASSETS SOLD

CAROLINE & SIGMUND SCHOTT FND-SC IMA  
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PAGE 13

| DATE     | DESCRIPTION                                                     | CASH     | COST BASIS | GAIN/LOSS  |           |
|----------|-----------------------------------------------------------------|----------|------------|------------|-----------|
|          |                                                                 |          |            | SHORT TERM | LONG TERM |
|          |                                                                 |          |            | -----      | -----     |
|          | FIXED INCOME                                                    |          |            |            |           |
|          | -----                                                           |          |            |            |           |
| 11/10/10 | SOLD 50 SHS OF CORPORATE OFFICE PTYS TR<br>50 SHARES AT \$36.01 | 1,795.86 | 1,935.99-  | 0.00       | 140.13-   |
|          | TOTAL FIXED INCOME (PRINCIPAL)                                  | 1,795.86 | 1,935.99-  | 0.00       | 140.13-   |
|          | EQUITIES                                                        |          |            |            |           |
|          | -----                                                           |          |            |            |           |
| 11/03/10 | SOLD 25 SHS OF CREDO PETE CORP<br>25 SHARES AT \$8.0268         | 199.86   | 389.61-    | 0.00       | 189.65-   |
| 11/04/10 | SOLD 25 SHS OF CREDO PETE CORP<br>25 SHARES AT \$8.0379         | 200.25   | 386.88-    | 0.00       | 186.63-   |
| 11/05/10 | SOLD 20 SHS OF LIFEWAY FOODS INC<br>20 SHARES AT \$9.9811       | 199.07   | 140.76-    | 0.00       | 58.31     |
| 11/08/10 | SOLD 10 SHS OF LIFEWAY FOODS INC<br>10 SHARES AT \$10.0069      | 99.82    | 70.38-     | 0.00       | 29.44     |
| 11/09/10 | SOLD 20 SHS OF LIFEWAY FOODS INC<br>20 SHARES AT \$10.0129      | 199.66   | 140.76-    | 0.00       | 58.90     |
| 11/10/10 | SOLD 50 SHS OF BALDOR ELECTRIC COMPANY<br>50 SHARES AT \$44.56  | 2,223.36 | 1,081.26-  | 0.00       | 1,142.10  |
| 11/10/10 | SOLD 75 SHS OF CLARCOR INC<br>75 SHARES AT \$41.95              | 3,140.84 | 1,186.95-  | 0.00       | 1,953.89  |
| 11/10/10 | SOLD 25 SHS OF COHERENT INC<br>25 SHARES AT \$44.68             | 1,113.13 | 551.80-    | 0.00       | 561.33    |
| 11/10/10 | SOLD 25 SHS OF DIONEX CORP<br>25 SHARES AT \$92.76              | 2,315.11 | 700.67-    | 0.00       | 1,614.44  |
| 11/10/10 | SOLD 100 SHS OF GENTEX CORPORATION<br>100 SHARES AT \$21.97     | 2,190.86 | 1,550.66-  | 0.00       | 640.20    |





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STATEMENT PERIOD FROM 10/31/10 TO 11/30/10  
ASSETS SOLD

CARLINE & SIGMUND SCHOTT FND-SC IMA  
ACCOUNT # 1-06428

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| DATE     | DESCRIPTION                                                             | CASH     | COST BASIS | SHORT TERM | GAIN/LOSS | LONG TERM |
|----------|-------------------------------------------------------------------------|----------|------------|------------|-----------|-----------|
| ----     | -----                                                                   | ----     | -----      | -----      | -----     | -----     |
| 11/10/10 | SOLD 50 SHS OF GENESEE & WYD INC<br>50 SHARES AT \$46.16                | 2,303.36 | 530.99-    | 0.00       | 0.00      | 1,772.37  |
| 11/10/10 | SOLD 50 SHS OF HAIN CELESTIAL GROUP INC<br>50 SHARES AT \$26.06         | 1,298.37 | 722.76-    | 0.00       | 0.00      | 575.61    |
| 11/10/10 | SOLD 50 SHS OF LANDAUER INC<br>50 SHARES AT \$63.58                     | 3,174.34 | 1,684.79-  | 0.00       | 0.00      | 1,489.55  |
| 11/10/10 | SOLD 25 SHS OF LINDSAY CORPORATION<br>25 SHARES AT \$61.76              | 1,540.12 | 551.87-    | 0.00       | 0.00      | 988.25    |
| 11/10/10 | SOLD 25 SHS OF MINERALS TECHNOLOGIES INC<br>25 SHARES AT \$59.60        | 1,486.12 | 967.99-    | 0.00       | 0.00      | 518.13    |
| 11/10/10 | SOLD 50 SHS OF NEW JERSEY RESOURCES CORPORATION<br>50 SHARES AT \$41.51 | 2,070.86 | 1,083.97-  | 0.00       | 0.00      | 986.89    |
| 11/10/10 | SOLD 75 SHS OF PLANTRONICS INC NEW<br>75 SHARES AT \$35.95              | 2,690.85 | 1,480.26-  | 0.00       | 0.00      | 1,210.59  |
| 11/10/10 | SOLD 50 SHS OF SIMPSON MFG INC<br>50 SHARES AT \$27.48                  | 1,369.37 | 791.14-    | 0.00       | 0.00      | 578.23    |
| 11/10/10 | SOLD 50 SHS OF WABTEC CORP<br>50 SHARES AT \$48.50                      | 2,420.35 | 652.19-    | 0.00       | 0.00      | 1,768.16  |
| 11/10/10 | SOLD 25 SHS OF ITRON INC<br>25 SHARES AT \$60.18                        | 1,500.62 | 486.78-    | 0.00       | 0.00      | 1,013.84  |
| 11/10/10 | SOLD 50 SHS OF SOUTH JERSEY INDS INC<br>50 SHARES AT \$51.46            | 2,568.35 | 1,476.29-  | 0.00       | 0.00      | 1,092.06  |
| 11/10/10 | SOLD 100 SHS OF POWER INTEGRATIONS INC<br>100 SHARES AT \$38.21         | 3,814.83 | 2,145.05-  | 0.00       | 0.00      | 1,669.78  |
| 11/10/10 | SOLD 50 SHS OF NATIONAL INSTRS CORP<br>50 SHARES AT \$35.35             | 1,762.87 | 1,630.25-  | 0.00       | 0.00      | 132.62    |
| 11/10/10 | SOLD 50 SHS OF TEAM INC<br>50 SHARES AT \$19.89                         | 989.88   | 635.77-    | 0.00       | 0.00      | 354.11    |





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| CAROLINE & SIGMUND SCHOTT FND-SC IMA<br>ACCOUNT # 1-06428 |                                                                     | STATEMENT PERIOD FROM 10/31/10 TO 11/30/10<br>ASSETS SOLD |            |            | GAIN/LOSS |  | PAGE 15 |  |
|-----------------------------------------------------------|---------------------------------------------------------------------|-----------------------------------------------------------|------------|------------|-----------|--|---------|--|
| DATE                                                      | DESCRIPTION                                                         | CASH                                                      | COST BASIS | SHORT TERM | LONG TERM |  |         |  |
| 11/10/10                                                  | SOLD 25 SHS OF LIFEWAY FOODS INC<br>25 SHARES AT \$10.0484          | 250.56                                                    | 175.94-    | 0.00       | 74.62     |  |         |  |
| 11/10/10                                                  | SOLD 100 SHS OF MERIDIAN BIOSCIENCE INC<br>100 SHARES AT \$23.1178  | 2,305.64                                                  | 1,933.84-  | 0.00       | 371.80    |  |         |  |
| 11/10/10                                                  | SOLD 75 SHS OF BLACKBAUD INC<br>75 SHARES AT \$26.51                | 1,982.86                                                  | 1,693.49-  | 0.00       | 289.37    |  |         |  |
| 11/10/10                                                  | SOLD 50 SHS OF BANK HAWAII CORP<br>50 SHARES AT \$45.28             | 2,259.36                                                  | 2,725.02-  | 0.00       | 465.66-   |  |         |  |
| 11/10/10                                                  | SOLD 75 SHS OF J2 GLOBAL COMMUNICATIONS INC<br>75 SHARES AT \$27.99 | 2,093.86                                                  | 2,371.52-  | 0.00       | 277.66-   |  |         |  |
| 11/10/10                                                  | SOLD 50 SHS OF WATTS WATER TECHNOLOGIES INC<br>50 SHARES AT \$34.65 | 1,727.87                                                  | 1,872.39-  | 0.00       | 144.52-   |  |         |  |
| 11/10/10                                                  | SOLD 75 SHS OF LKQ CORP<br>75 SHARES AT \$22.62                     | 1,691.12                                                  | 965.77-    | 0.00       | 725.35    |  |         |  |
| 11/10/10                                                  | SOLD 50 SHS OF HIBBETT SPORTS INC<br>50 SHARES AT \$27.73           | 1,381.80                                                  | 1,294.49-  | 0.00       | 87.41     |  |         |  |
| 11/10/10                                                  | SOLD 100 SHS OF UMPQUA HLDGS CORP<br>100 SHARES AT \$11.6726        | 1,161.14                                                  | 1,578.04-  | 0.00       | 416.90-   |  |         |  |
| 11/10/10                                                  | SOLD 50 SHS OF WILEY JOHN & SONS INC<br>50 SHARES AT \$43.47        | 2,168.86                                                  | 2,384.77-  | 0.00       | 215.91-   |  |         |  |
| 11/10/10                                                  | SOLD 50 SHS OF UNITED NAT FOODS INC<br>50 SHARES AT \$36.89         | 1,839.86                                                  | 932.65-    | 0.00       | 907.21    |  |         |  |
| 11/10/10                                                  | SOLD 50 SHS OF WEST PHARMACEUTICAL SVCS INC<br>50 SHARES AT \$39.31 | 1,960.86                                                  | 2,310.44-  | 0.00       | 349.58-   |  |         |  |
| 11/10/10                                                  | SOLD 25 SHS OF CREDO PETE CORP<br>25 SHARES AT \$8.0375             | 200.26                                                    | 382.77-    | 0.00       | 182.51-   |  |         |  |
| 11/10/10                                                  | SOLD 100 SHS OF AMBASSADORS GROUP INC<br>100 SHARES AT \$11.2404    | 1,117.94                                                  | 1,771.33-  | 0.00       | 653.39-   |  |         |  |







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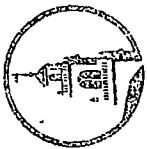
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STATEMENT PERIOD FROM 10/31/10 TO 11/30/10  
ASSETS SOLD

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| DATE     | DESCRIPTION                                                                | CASH     | COST BASIS | SHORT TERM | GAIN/LOSS | LONG TERM |
|----------|----------------------------------------------------------------------------|----------|------------|------------|-----------|-----------|
| ----     | -----                                                                      | ----     | -----      | -----      | -----     | -----     |
| 11/10/10 | SOLD 25 SHS OF QUALITY SYS INC<br>25 SHARES AT \$63.99                     | 1,595.87 | 817.89-    | 0 00       |           | 777 98    |
| 11/10/10 | SOLD 75 SHS OF EHEALTH INC<br>75 SHARES AT \$14.26                         | 1,064.13 | 1,542.77-  | 0 00       |           | 478 64-   |
| 11/10/10 | SOLD 50 SHS OF INDEPENDENT BK CORP MASS<br>50 SHARES AT \$25.54            | 1,272.37 | 1,375.39-  | 0.00       |           | 103.02-   |
| 11/10/10 | SOLD 25 SHS OF ICU MED INC<br>25 SHARES AT \$36.94                         | 919.63   | 801.39-    | 0.00       |           | 118.24    |
| 11/10/10 | SOLD 100 SHS OF POLYCOM INC<br>100 SHARES AT \$34.9825                     | 3,492.09 | 1,810.98-  | 0.00       |           | 1,681.11  |
| 11/10/10 | SOLD 75 SHS OF INVESTMENT TECHNOLOGY GROUP INC NEW<br>75 SHARES AT \$15.30 | 1,142.13 | 1,861.16-  | 0.00       |           | 719 03-   |
| 11/10/10 | SOLD 50 SHS OF COMPUTER PROGRAMS & SYS INC<br>50 SHARES AT \$47.63         | 2,376.85 | 1,572.56-  | 0 00       |           | 804.29    |
| 11/10/10 | SOLD 25 SHS OF GEN-PROBE INC NEW<br>25 SHARES AT \$49.11                   | 1,223.87 | 1,116.14-  | 0.00       |           | 107.73    |
| 11/10/10 | SOLD 50 SHS OF SOUTHSIDE BANCSHARES INC<br>50 SHARES AT \$19.57            | 973.88   | 1,191.08-  | 0.00       |           | 217.20-   |
| 11/10/10 | SOLD 50 SHS OF TEMPUR-PEDIC INTL<br>50 SHARES AT \$35.35                   | 1,762.87 | 907.92-    | 0.00       |           | 854.95    |
| 11/10/10 | SOLD 50 SHS OF MARTEK BIOSCIENCES CORP<br>50 SHARES AT \$22.73             | 1,131.88 | 1,116.11-  | 0.00       |           | 15.77     |
| 11/10/10 | SOLD 75 SHS OF T-3 ENERGY SRVCS INC<br>75 SHARES AT \$34.63                | 2,591.85 | 1,958.98-  | 632.87     |           | 0 00      |
| 11/10/10 | SOLD 125 SHS OF TCF FINL CORP<br>125 SHARES AT \$14.03264                  | 1,747.20 | 1,824.47-  | 77.27-     |           | 0.00      |
| 11/10/10 | SOLD 50 SHS OF CANTEL MEDICAL CORP<br>50 SHARES AT \$20.23                 | 1,006.88 | 1,027.12-  | 20 24-     |           | 0.00      |



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**STATEMENT PERIOD FROM 10/31/10 TO 11/30/10  
ASSETS SOLD**

CAROLINE & SIGMUND SCHOTT FND-SC IMA  
ACCOUNT # 1-06428

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| DATE     | DESCRIPTION                                                           | CASH     | COST BASIS | SHORT TERM | GAIN/LOSS | LONG TERM |
|----------|-----------------------------------------------------------------------|----------|------------|------------|-----------|-----------|
| ----     | -----                                                                 | ----     | -----      | -----      | -----     | -----     |
| 11/10/10 | SOLD 75 SHS OF LULULEMON ATHLETICA INC<br>75 SHARES AT \$48.01        | 3,595.33 | 2,006.06-  | 1,589.27   |           | 0.00      |
| 11/10/10 | SOLD 25 SHS OF SIGNATURE BANK<br>25 SHARES AT \$44.43                 | 1,106.88 | 953.80-    | 153.08     |           | 0.00      |
| 11/10/10 | SOLD 75 SHS OF APOGEE ENTERPRISES<br>75 SHARES AT \$11.95             | 890.88   | 1,050.52-  | 159.64-    |           | 0.00      |
| 11/10/10 | SOLD 50 SHS OF UMB FINANCIAL CORPORATION<br>50 SHARES AT \$38.82      | 1,936.36 | 2,122.09-  | 185.73-    |           | 0.00      |
| 11/10/10 | SOLD 75 SHS OF HORACE MANN EDUCATORS CORP NEW<br>75 SHARES AT \$17.99 | 1,343.87 | 1,291.45-  | 52.42      |           | 0.00      |
| 11/10/10 | SOLD 50 SHS OF BLUE COAT SYSTEMS INC COM NEW<br>50 SHARES AT \$27.97  | 1,399.87 | 1,554.99-  | 161.12-    |           | 0.00      |
| 11/10/10 | SOLD 25 SHS OF CAPELLA EDUCATION COMPANY<br>25 SHARES AT \$54.55      | 1,359.87 | 2,224.44-  | 864.57-    |           | 0.00      |
| 11/10/10 | SOLD 25 SHS OF MIDDLEBY CORP<br>25 SHARES AT \$75.82                  | 1,891.61 | 1,575.08-  | 316.53     |           | 0.00      |
| 11/10/10 | SOLD 50 SHS OF UNDER ARMOUR INC<br>50 SHARES AT \$50.03               | 2,496.85 | 1,722.32-  | 774.53     |           | 0.00      |
| 11/10/10 | SOLD 25 SHS OF CARBO CERAMICS INC<br>25 SHARES AT \$86.81             | 2,166.36 | 1,702.37-  | 463.99     |           | 0.00      |
| 11/10/10 | SOLD 100 SHS OF OCWEN FINANCIAL<br>100 SHARES AT \$9.21               | 914.88   | 1,020.20-  | 105.32-    |           | 0.00      |
| 11/10/10 | SOLD 50 SHS OF COMMVAULT SYSTEMS<br>50 SHARES AT \$30.19              | 1,504.87 | 1,485.92-  | 18.95      |           | 0.00      |
| 11/10/10 | SOLD 75 SHS OF LIQUIDITY SERVICES INC<br>75 SHARES AT \$16.87         | 1,259.90 | 1,253.11-  | 6.79       |           | 0.00      |
| 11/12/10 | SOLD 75 SHS OF LIFEWAY FOODS INC<br>75 SHARES AT \$9.9175             | 741.37   | 526.84-    | 0.00       |           | 214.53    |





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STATEMENT PERIOD FROM 10/31/10 TO 11/30/10  
ASSETS SOLD

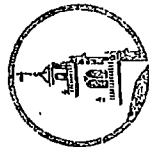
CAROLINE & SIGMUND SCHOTT FND-SC IMA  
ACCOUNT # 1-06428

PAGE 18

| DATE     | DESCRIPTION                                               | CASH       | COST BASIS  | SHORT TERM | GAIN/LOSS | LONG TERM |
|----------|-----------------------------------------------------------|------------|-------------|------------|-----------|-----------|
| ----     | -----                                                     | ----       | -----       | -----      | -----     | -----     |
| 11/16/10 | SOLD 5 SHS OF LIFEWAY FOODS INC<br>5 SHARES AT \$9.9642   | 49.65      | 34.99-      | 0.00       | 14.66     |           |
| 11/16/10 | SOLD 25 SHS OF LIFEWAY FOODS INC<br>25 SHARES AT \$10.00  | 249.28     | 174.93-     | 0.00       | 74.35     |           |
| 11/19/10 | SOLD 15 SHS OF LIFEWAY FOODS INC<br>15 SHARES AT \$9.9226 | 148.16     | 104.92-     | 0.00       | 43.24     |           |
| 11/22/10 | SOLD 20 SHS OF DIONEX CORP<br>20 SHARES AT \$88.7739      | 1,774.85   | 560.54-     | 0.00       | 1,214.31  |           |
| 11/22/10 | SOLD 5 SHS OF LIFEWAY FOODS INC<br>5 SHARES AT \$9.9171   | 49.41      | 34.97-      | 0.00       | 14.44     |           |
| 11/23/10 | SOLD 25 SHS OF DIONEX CORP<br>25 SHARES AT \$90.0267      | 2,249.82   | 700.67-     | 0.00       | 1,549.15  |           |
| 11/24/10 | SOLD 30 SHS OF DIONEX CORP<br>30 SHARES AT \$90.37649741  | 2,710.24   | 840.81-     | 0.00       | 1,869.43  |           |
|          | TOTAL EQUITIES (PRINCIPAL)                                | 115,150.25 | 85,297.27-  | 2,434.54   | 27,418.44 |           |
|          | MONEY MARKET                                              |            |             |            |           |           |
|          | -----                                                     |            |             |            |           |           |
|          | TOTAL SALES OF SEI DAILY INCOME TR GOVT II PORT A         | 156,775.03 | 156,775.03- | 0.00       | 0.00      |           |
|          | TOTAL MONEY MARKET (PRINCIPAL)                            | 156,775.03 | 156,775.03- | 0.00       | 0.00      |           |
|          | TOTAL ASSETS SOLD                                         | 273,721.14 | 244,008.29- | 2,434.54   | 27,278.31 |           |

ST 27207<sup>46</sup> 24772<sup>92</sup> 2434<sup>54</sup>  
LT 87942<sup>79</sup> 60524<sup>35</sup> 27418<sup>44</sup>





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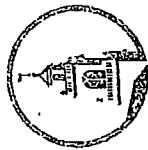
STATEMENT PERIOD FROM 11/30/10 TO 12/31/10

CAROLINE & SIGMUND SCHOTT FND-SC IMA  
ACCOUNT # 1-06428

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| DATE     | DESCRIPTION                                                          | CASH      | COST BASIS | SHORT TERM | GAIN/LOSS |           |
|----------|----------------------------------------------------------------------|-----------|------------|------------|-----------|-----------|
|          |                                                                      |           |            |            |           |           |
| EQUITIES |                                                                      |           |            |            |           |           |
| 12/02/10 | SOLD 25 SHS OF DIME CMNTY BANCSHARES INC<br>25 SHARES AT \$13.8901   | 346.38    | 350.54-    | 0.00       |           | 4.16-     |
| 12/03/10 | SOLD 50 SHS OF DIME CMNTY BANCSHARES INC<br>50 SHARES AT \$13.7281   | 684.79    | 701.08-    | 0.00       |           | 16.29-    |
| 12/06/10 | SOLD 150 SHS OF DIME CMNTY BANCSHARES INC<br>150 SHARES AT \$13.5064 | 2,021.37  | 2,103.24-  | 0.00       |           | 81.87-    |
| 12/06/10 | SOLD 50 SHS OF LIFEWAY FOODS INC<br>50 SHARES AT \$9.53              | 474.80    | 349.14-    | 0.00       |           | 125.66    |
| 12/09/10 | SOLD 25 SHS OF BALDOR ELECTRIC COMPANY<br>25 SHARES AT \$63.26       | 1,577.62  | 540.63-    | 0.00       |           | 1,036.99  |
| 12/09/10 | SOLD 25 SHS OF PLANTRONICS INC NEW<br>25 SHARES AT \$36.22           | 901.63    | 493.42-    | 0.00       |           | 408.21    |
| 12/09/10 | SOLD 100 SHS OF NET 1 UEPS TECHNOLOGIES INC<br>100 SHARES AT \$12.50 | 1,243.87  | 2,506.00-  | 0.00       |           | 1,262.13- |
| 12/09/10 | SOLD 150 SHS OF CREDD PETE CORP<br>150 SHARES AT \$7.50              | 1,117.38  | 2,296.62-  | 0.00       |           | 1,179.24- |
| 12/09/10 | SOLD 25 SHS OF AMERICAN STS WTR CO<br>25 SHARES AT \$35.02           | 871.63    | 946.86-    | 75.23-     |           | 0.00      |
| 12/10/10 | SOLD 550 SHS OF BALDOR ELECTRIC COMPANY<br>550 SHARES AT \$63.2701   | 34,786.91 | 11,893.81- | 0.00       |           | 22,893.10 |
| 12/13/10 | SOLD 75 SHS OF LULULEMON ATHLETICA INC<br>75 SHARES AT \$55.0085     | 4,123.64  | 1,680.53-  | 705.86     |           | 1,737.25  |
| 12/22/10 | SOLD 25 SHS OF T-3 ENERGY SRVCS INC<br>25 SHARES AT \$39.0799        | 976.16    | 639.65-    | 0.00       |           | 336.51    |
| 12/23/10 | SOLD 25 SHS OF T-3 ENERGY SRVCS INC<br>25 SHARES AT \$38.8804        | 971.20    | 637.58-    | 0.00       |           | 333.62    |





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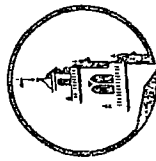
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STATEMENT PERIOD FROM 11/30/10 TO 12/31/10  
ASSETS SOLD

CAROLINE & SIGMUND SCHOTT FND-SC IMA  
ACCOUNT # 1-06428

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| DATE     | DESCRIPTION                                                            | CASH                | COST BASIS          | SHORT TERM          | GAIN/LOSS | LONG TERM |
|----------|------------------------------------------------------------------------|---------------------|---------------------|---------------------|-----------|-----------|
| ----     | -----                                                                  | ----                | -----               | -----               | -----     | -----     |
| 12/27/10 | SOLD 150 SHS OF DIONEX CORP<br>150 SHARES AT \$118.0321                | 17,700.72           | 4,204.03-           | 0.00                | 0.00      | 13,496.69 |
| 12/27/10 | SOLD 25 SHS OF T-3 ENERGY SRVCS INC<br>25 SHARES AT \$39.5368          | 987.61              | 637.57-             | 0.00                | 0.00      | 350.04    |
| 12/28/10 | SOLD 15 SHS OF LIFEWAY FOODS INC<br>15 SHARES AT \$9.8155              | 146.81              | 104.56-             | 0.00                | 0.00      | 42.25     |
| 12/28/10 | SOLD 325 SHS OF MARTEK BIOSCIENCES CORP<br>325 SHARES AT \$31.50210024 | 10,233.08           | 6,956.53-           | 0.00                | 0.00      | 3,276.55  |
| 12/29/10 | SOLD 25 SHS OF LIFEWAY FOODS INC<br>25 SHARES AT \$9.7513              | 243.10              | 174.27-             | 0.00                | 0.00      | 68.83     |
| 12/29/10 | SOLD 25 SHS OF MARTEK BIOSCIENCES CORP<br>25 SHARES AT \$31.50620063   | 787.22              | 514.92-             | 0.00                | 0.00      | 272.30    |
| 12/29/10 | SOLD 25 SHS OF T-3 ENERGY SRVCS INC<br>25 SHARES AT \$39.0518          | 975.50              | 637.58-             | 0.00                | 0.00      | 337.92    |
| 12/30/10 | SOLD 75 SHS OF T-3 ENERGY SRVCS INC<br>75 SHARES AT \$38.8313          | 2,910.00            | 1,876.94-           | 0.00                | 0.00      | 1,033.06  |
| 12/31/10 | SOLD 25 SHS OF T-3 ENERGY SRVCS INC<br>25 SHARES AT \$38.70            | 966.65              | 609.22-             | 0.00                | 0.00      | 357.43    |
|          | TOTAL EQUITIES (PRINCIPAL)                                             | 85,048.07           | 40,854.72-          | 630.63              |           | 43,562.72 |
|          | MONEY MARKET                                                           |                     |                     |                     |           |           |
|          | TOTAL SALES OF SEI DAILY INCOME TR GOVT II PORT A                      | 32,063.79           | 32,063.79-          | 0.00                | 0.00      | 0.00      |
|          | ST                                                                     | 2067 <sup>49</sup>  | 1436 <sup>86</sup>  | 430 <sup>63</sup>   |           |           |
|          | LT                                                                     | 82980 <sup>58</sup> | 39417 <sup>86</sup> | 43562 <sup>72</sup> |           |           |



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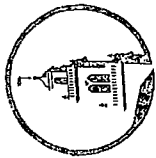
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STATEMENT PERIOD FROM 12/31/10 TO 01/31/11  
ASSETS SOLD

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CAROLINE & SIGMUND SCHOTT FND-SC IMA  
ACCOUNT # 1-06428

| DATE         | DESCRIPTION                                                        | CASH      | COST BASIS | SHORT TERM | GAIN/LOSS<br>LONG TERM |
|--------------|--------------------------------------------------------------------|-----------|------------|------------|------------------------|
| ----         | -----                                                              | ----      | -----      | -----      | -----                  |
| EQUITIES     |                                                                    |           |            |            |                        |
| -----        |                                                                    |           |            |            |                        |
| 01/03/11     | SOLD 75 SHS OF GENTEX CORPORATION<br>75 SHARES AT \$29.4178        | 2,204.36  | 1,163.00-  | 0.00       | 1,041.36               |
| 01/03/11     | SOLD 10 SHS OF LIFEWAY FOODS INC<br>10 SHARES AT \$9.696           | 93.60     | 69.71-     | 0.00       | 23.89                  |
| 01/03/11     | SOLD 129 SHS OF LULULEMON ATHLETICA INC<br>129 SHARES AT \$69.3503 | 8,942.75  | 2,265.80-  | 0.00       | 6,676.95               |
| 01/07/11     | SOLD 100 SHS OF LULULEMON ATHLETICA INC<br>100 SHARES AT \$67.448  | 6,742.14  | 1,385.25-  | 0.00       | 5,356.89               |
| 01/14/11     | SOLD 100 SHS OF GENTEX CORPORATION<br>100 SHARES AT \$31.4555      | 3,142.94  | 1,550.66-  | 0.00       | 1,592.28               |
| 01/18/11     | SOLD 25 SHS OF GENTEX CORPORATION<br>25 SHARES AT \$31.4241        | 784.92    | 387.66-    | 0.00       | 397.26                 |
| 01/28/11     | SOLD 146 SHS OF LULULEMON ATHLETICA INC<br>146 SHARES AT \$67.0725 | 9,788.75  | 2,022.47-  | 0.00       | 7,766.28               |
|              | TOTAL EQUITIES (PRINCIPAL)                                         | 31,699.46 | 8,844.55-  | 0.00       | 22,854.91              |
| MONEY MARKET |                                                                    |           |            |            |                        |
| -----        |                                                                    |           |            |            |                        |
|              | TOTAL SALES OF SEI DAILY INCOME TR GOVT II PORT A                  | 21,121.80 | 21,121.80- | 0.00       | 0.00                   |
|              | TOTAL MONEY MARKET (PRINCIPAL)                                     | 21,121.80 | 21,121.80- | 0.00       | 0.00                   |
|              | TOTAL ASSETS SOLD                                                  | 52,821.26 | 29,966.35- | 0.00       | 22,854.91              |



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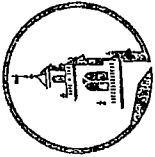
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STATEMENT PERIOD FROM 01/31/11 TO 02/28/11  
ASSETS SOLD

CAROLINE & SIGMUND SCHOTT FND-SC IMA  
ACCOUNT # 1-06428

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| DATE     | DESCRIPTION                                                        | CASH      | COST BASIS | GAIN/LOSS  |           |
|----------|--------------------------------------------------------------------|-----------|------------|------------|-----------|
|          |                                                                    |           |            | SHORT TERM | LONG TERM |
|          | EQUITIES                                                           |           |            |            |           |
| 02/09/11 | SOLD 150 SHS OF GENTEX CORPORATION<br>150 SHARES AT \$31.0802      | 4,658.14  | 2,325.99-  | 0.00       | 2,332.15  |
| 02/15/11 | SOLD 75 SHS OF DIME CMNTY BANCSHARES INC<br>75 SHARES AT \$15.5807 | 1,165.47  | 1,051.62-  | 0.00       | 113.85    |
| 02/15/11 | SOLD 25 SHS OF DIAMOND FOODS INC<br>25 SHARES AT \$51.3194         | 1,282.21  | 409.05-    | 0.00       | 873.16    |
| 02/16/11 | SOLD 75 SHS OF DIME CMNTY BANCSHARES INC<br>75 SHARES AT \$15.5591 | 1,164.61  | 1,051.62-  | 0.00       | 112.99    |
| 02/16/11 | SOLD 50 SHS OF DIAMOND FOODS INC<br>50 SHARES AT \$51.5265         | 2,574.96  | 818.09-    | 0.00       | 1,756.87  |
| 02/17/11 | SOLD 25 SHS OF DIME CMNTY BANCSHARES INC<br>25 SHARES AT \$15.5335 | 387.53    | 350.54-    | 0.00       | 36.99     |
| 02/17/11 | SOLD 25 SHS OF DIAMOND FOODS INC<br>25 SHARES AT \$51.8484         | 1,295.52  | 409.05-    | 0.00       | 886.47    |
| 02/18/11 | SOLD 50 SHS OF POLYCOM INC<br>50 SHARES AT \$48.542                | 2,425.50  | 886.12-    | 0.00       | 1,539.38  |
| 02/18/11 | SOLD 50 SHS OF DIAMOND FOODS INC<br>50 SHARES AT \$51.9996         | 2,598.62  | 818.09-    | 0.00       | 1,780.53  |
| 02/22/11 | SOLD 225 SHS OF POLYCOM INC<br>225 SHARES AT \$47.8116             | 10,750.59 | 3,900.37-  | 0.00       | 6,850.22  |
| 02/24/11 | SOLD 25 SHS OF DIAMOND FOODS INC<br>25 SHARES AT \$52.046          | 1,300.42  | 409.04-    | 0.00       | 891.38    |



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| STATEMENT PERIOD FROM 01/31/11 TO 02/28/11 |                                                   |           |            |            |                        | PAGE 14 |
|--------------------------------------------|---------------------------------------------------|-----------|------------|------------|------------------------|---------|
| CAROLINE & SIGMUND SCHOTT FND-SC IMA       |                                                   |           |            |            |                        |         |
| ACCOUNT # 1-06428                          |                                                   |           |            |            |                        |         |
| ASSETS SOLD                                |                                                   |           |            |            |                        |         |
| DATE                                       | DESCRIPTION                                       | CASH      | COST BASIS | SHORT TERM | GAIN/LOSS<br>LONG TERM |         |
| ----                                       | -----                                             | ----      | -----      | -----      | -----                  |         |
|                                            | TOTAL EQUITIES (PRINCIPAL)                        | 29,603.57 | 12,429.58- | 0.00       | 17,173.99              |         |
|                                            | MONEY MARKET                                      |           |            |            |                        |         |
|                                            | -----                                             |           |            |            |                        |         |
|                                            | TOTAL SALES OF SEI DAILY INCOME TR GOVT II PORT A | 15,640.91 | 15,640.91- | 0.00       | 0.00                   |         |
|                                            | TOTAL MONEY MARKET (PRINCIPAL)                    | 15,640.91 | 15,640.91- | 0.00       | 0.00                   |         |
|                                            | TOTAL ASSETS SOLD                                 | 45,244.48 | 28,070.49- | 0.00       | 17,173.99              |         |





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STATEMENT PERIOD FROM 02/28/11 TO 03/31/11  
ASSETS SOLD

CAROLINE & SIGMUND SCHOTT FND-SC IMA  
ACCOUNT # 1-06428

| DATE     | DESCRIPTION                                                        | CASH      | COST BASIS | GAIN/LOSS  |           |
|----------|--------------------------------------------------------------------|-----------|------------|------------|-----------|
|          |                                                                    |           |            | SHORT TERM | LONG TERM |
|          |                                                                    |           |            | -----      | -----     |
| EQUITIES |                                                                    |           |            |            |           |
|          |                                                                    |           |            | -----      | -----     |
| 03/02/11 | SOLD 175 SHS OF GENTEX CORPORATION<br>175 SHARES AT \$29.6756      | 5,188.69  | 2,713.66-  | 0.00       | 2,475.03  |
| 03/09/11 | SOLD 225 SHS OF POLYCOM INC<br>225 SHARES AT \$48.9028             | 10,997.24 | 2,618.76-  | 0.00       | 8,378.48  |
| 03/09/11 | SOLD 150 SHS OF TEMPUR-PEDIC INTL<br>150 SHARES AT \$48.1662       | 7,220.98  | 2,723.75-  | 0.00       | 4,497.23  |
| 03/14/11 | SOLD 25 SHS OF GENESEE & WYO INC<br>25 SHARES AT \$53.8617         | 1,345.67  | 265.49-    | 0.00       | 1,080.18  |
| 03/14/11 | SOLD 25 SHS OF GEN-PROBE INC NEW<br>25 SHARES AT \$64.8456         | 1,620.28  | 1,488.44-  | 131.84     | 0.00      |
| 03/17/11 | SOLD 125 SHS OF GENTEX CORPORATION<br>125 SHARES AT \$27.6391      | 3,451.63  | 1,938.32-  | 0.00       | 1,513.31  |
| 03/18/11 | SOLD 50 SHS OF GENTEX CORPORATION<br>50 SHARES AT \$27.0646        | 1,351.89  | 775.33-    | 0.00       | 576.56    |
| 03/23/11 | SOLD 425 SHS OF WILSHIRE BANCORP<br>425 SHARES AT \$4.9038         | 2,077.64  | 3,210.43-  | 0.00       | 1,132.79- |
| 03/24/11 | SOLD 20 SHS OF DIME CMNTY BANCSHARES INC<br>20 SHARES AT \$14.4109 | 287.55    | 280.43-    | 0.00       | 7.12      |
| 03/24/11 | SOLD 150 SHS OF WILSHIRE BANCORP<br>150 SHARES AT \$4.8702         | 728.20    | 1,087.39-  | 0.00       | 359.19-   |
| 03/25/11 | SOLD 25 SHS OF DIME CMNTY BANCSHARES INC<br>25 SHARES AT \$14.3099 | 356.91    | 350.54-    | 0.00       | 6.37      |
| 03/25/11 | SOLD 125 SHS OF WILSHIRE BANCORP<br>125 SHARES AT \$4.87809958     | 607.80    | 884.36-    | 0.00       | 276.56-   |
| 03/28/11 | SOLD 150 SHS OF POLYCOM INC<br>150 SHARES AT \$49.3004             | 7,391.12  | 1,745.84-  | 0.00       | 5,645.28  |



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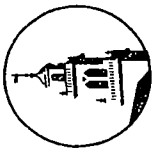
STATEMENT PERIOD FROM 02/28/11 TO 03/31/11  
ASSETS SOLD

CAROLINE & SIGMUND SCHOTT FND-SC IMA  
ACCOUNT # 1-06428

PAGE 19

| DATE     | DESCRIPTION                                                        | CASH      | COST BASIS | SHORT TERM | GAIN/LOSS | LONG TERM |
|----------|--------------------------------------------------------------------|-----------|------------|------------|-----------|-----------|
| ----     | -----                                                              | ----      | -----      | -----      | -----     | -----     |
| 03/28/11 | SOLD 25 SHS OF DIME CMNTY BANCSHARES INC<br>25 SHARES AT \$14.1364 | 352.60    | 350.53-    | 0.00       | 2.07      |           |
| 03/28/11 | SOLD 175 SHS OF WILSHIRE BANCORP<br>175 SHARES AT \$4.79689947     | 836.75    | 1,205.11-  | 0.00       | 368.36-   |           |
| 03/29/11 | SOLD 75 SHS OF POLYCOM INC<br>75 SHARES AT \$49.32                 | 3,696.99  | 872.92-    | 0.00       | 2,824.07  |           |
| 03/29/11 | SOLD 25 SHS OF DIME CMNTY BANCSHARES INC<br>25 SHARES AT \$14.1122 | 352.00    | 337.08-    | 0.00       | 14.92     |           |
| 03/29/11 | SOLD 75 SHS OF WILSHIRE BANCORP<br>75 SHARES AT \$4.836            | 361.50    | 503.46-    | 0.00       | 141.96-   |           |
| 03/30/11 | SOLD 30 SHS OF DIME CMNTY BANCSHARES INC<br>30 SHARES AT \$14.568  | 436.09    | 400.45-    | 0.00       | 35.64     |           |
| 03/31/11 | SOLD 50 SHS OF WABTEC CORP<br>50 SHARES AT \$64.447                | 3,220.74  | 652.20-    | 0.00       | 2,568.54  |           |
| 03/31/11 | SOLD 25 SHS OF GEN-PROBE INC NEW<br>25 SHARES AT \$65.2398         | 1,630.14  | 1,482.71-  | 147.43     | 0.00      |           |
|          | TOTAL EQUITIES (PRINCIPAL)                                         | 53,512.41 | 25,887.20- | 279.27     | 27,345.94 |           |
|          | MONEY MARKET                                                       |           |            |            |           |           |
|          | TOTAL SALES OF SEI DAILY INCOME TR GOVT II PORT A                  | 26,086.60 | 26,086.60- | 0.00       | 0.00      |           |
|          | TOTAL MONEY MARKET (PRINCIPAL)                                     | 26,086.60 | 26,086.60- | 0.00       | 0.00      |           |
|          | TOTAL ASSETS SOLD                                                  | 79,599.01 | 51,973.80- | 279.27     | 27,345.94 |           |

ST 3250<sup>42</sup> 2971<sup>15</sup> 279<sup>27</sup>  
LT 50261<sup>99</sup> 22916<sup>05</sup> 27345<sup>94</sup>



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STATEMENT PERIOD FROM 03/31/11 TO 04/30/11  
ASSETS SOLD

CAROLINE & SIGMUND SCHOTT FND-SC IMA  
ACCOUNT # 1-06428

PAGE 14

| DATE     | DESCRIPTION                                                               | CASH      | COST BASIS | SHORT TERM | GAIN/LOSS<br>LONG TERM |
|----------|---------------------------------------------------------------------------|-----------|------------|------------|------------------------|
|          | EQUITIES                                                                  |           |            |            |                        |
| 04/01/11 | SOLD 50 SHS OF GEN-PROBE INC NEW<br>50 SHARES AT \$65.0119                | 3,249.00  | 2,929.81-  | 319.19     | 0.00                   |
| 04/04/11 | SOLD 25 SHS OF SOUTHSIDE BANCSHARES INC<br>25 SHARES AT \$21.5512         | 537.98    | 588.38-    | 0.00       | 50.40-                 |
| 04/05/11 | SOLD 25 SHS OF LANDAUER INC<br>25 SHARES AT \$61.1072                     | 1,527.20  | 842.39-    | 0.00       | 684.81                 |
| 04/05/11 | SOLD 25 SHS OF SOUTHSIDE BANCSHARES INC<br>25 SHARES AT \$21.4016         | 534.23    | 587.87-    | 0.00       | 53.64-                 |
| 04/06/11 | SOLD 25 SHS OF LANDAUER INC<br>25 SHARES AT \$61.35570435                 | 1,533.35  | 842.39-    | 0.00       | 690.96                 |
| 04/06/11 | SOLD 50 SHS OF SOUTHSIDE BANCSHARES INC<br>50 SHARES AT \$21.4533         | 1,071.08  | 1,158.83-  | 0.00       | 87.75-                 |
| 04/07/11 | SOLD 25 SHS OF SOUTHSIDE BANCSHARES INC<br>25 SHARES AT \$21.4415         | 535.16    | 578.26-    | 0.00       | 43 10-                 |
| 04/14/11 | SOLD 470 SHS OF AMERICAN MED SYS HLDGS INC COM<br>470 SHARES AT \$29.4527 | 13,835.40 | 8,972.16-  | 4,863.24   | 0.00                   |
| 04/26/11 | SOLD 25 SHS OF BLACKBOARD INC<br>25 SHARES AT \$46.8679                   | 1,170.92  | 1,154.89-  | 0.00       | 16.03                  |
|          | TOTAL EQUITIES (PRINCIPAL)                                                | 23,994.32 | 17,654.98- | 5,182.43   | 1,156.91               |

MONEY MARKET

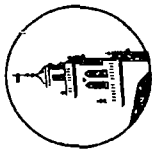
TOTAL SALES OF SEI DAILY INCOME TR GOVT II PORT A

17,665.23

0.00

0.00

ST 17084<sup>40</sup> 11901<sup>97</sup> 5182<sup>43</sup>  
LT 6909<sup>92</sup> 5753<sup>01</sup> 1156<sup>91</sup>



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STATEMENT PERIOD FROM 04/30/11 TO 05/31/11  
ASSETS SOLD

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| DATE<br>----      | DESCRIPTION<br>-----                                                 | CASH<br>----- | COST BASIS<br>----- | SHORT TERM<br>----- | GAIN/LOSS |           |
|-------------------|----------------------------------------------------------------------|---------------|---------------------|---------------------|-----------|-----------|
|                   |                                                                      |               |                     |                     | -----     | -----     |
| EQUITIES<br>----- |                                                                      |               |                     |                     |           |           |
| 05/02/11          | SOLD 50 SHS OF CAPELLA EDUCATION COMPANY<br>50 SHARES AT \$50.4303   | 2,519.91      | 3,225.36-           | 0.00                |           | 705.45-   |
| 05/02/11          | SOLD 50 SHS OF TEMPUR-PEDIC INTL<br>50 SHARES AT \$61.3183           | 3,064.30      | 907.92-             | 0.00                |           | 2,156.38  |
| 05/03/11          | SOLD 75 SHS OF CAPELLA EDUCATION COMPANY<br>75 SHARES AT \$49.7041   | 3,725.44      | 3,823.89-           | 0.00                |           | 98.45-    |
| 05/04/11          | SOLD 75 SHS OF CAPELLA EDUCATION COMPANY<br>75 SHARES AT \$49.5216   | 3,711.74      | 3,745.10-           | 0.00                |           | 33.36-    |
| 05/06/11          | SOLD 25 SHS OF CARBO CERAMICS INC<br>25 SHARES AT \$153.63850127     | 3,840.35      | 1,304.02-           | 0.00                |           | 2,536.33  |
| 05/06/11          | SOLD 225 SHS OF TEMPUR-PEDIC INTL<br>225 SHARES AT \$59.9674         | 13,485.66     | 4,032.52-           | 0.00                |           | 9,453.14  |
| 05/13/11          | SOLD 100 SHS OF WRIGHT MEDICAL GROUP, INC<br>100 SHARES AT \$15.6014 | 1,558.55      | 1,774.93-           | 0.00                |           | 216.38-   |
| 05/16/11          | SOLD 200 SHS OF WRIGHT MEDICAL GROUP, INC<br>200 SHARES AT \$15.4968 | 3,096.24      | 3,547.67-           | 0.00                |           | 451.43-   |
| 05/17/11          | SOLD 0.75 SHS OF SOUTHSIDE BANCSHARES INC                            | 15.18         | 16.39-              | 0.00                |           | 1.21-     |
| 05/19/11          | SOLD 25 SHS OF GENESEE & WYO INC<br>25 SHARES AT \$58.0283           | 1,450.24      | 265.50-             | 0.00                |           | 1,184.74  |
| 05/24/11          | SOLD 50 SHS OF ORMAT TECHNOLOGIES INC<br>50 SHARES AT \$23.3058      | 1,163.70      | 2,396.21-           | 0.00                |           | 1,232.51- |
| 05/25/11          | SOLD 25 SHS OF ORMAT TECHNOLOGIES INC<br>25 SHARES AT \$23.2922      | 581.46        | 1,198.11-           | 0.00                |           | 616.65-   |
| 05/27/11          | SOLD 25 SHS OF NATIONAL INSTRS CORP<br>25 SHARES AT \$28.4607        | 710.70        | 503.30-             | 0.00                |           | 207.40    |



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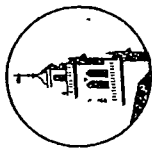
STATEMENT PERIOD FROM 04/30/11 TO 05/31/11  
ASSETS SOLD

CAROLINE & SIGMUND SCHOTT FND-SC IMA  
ACCOUNT # 1-06428

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| DATE     | DESCRIPTION                                                     | CASH      | COST BASIS | GAIN/LOSS  |           |
|----------|-----------------------------------------------------------------|-----------|------------|------------|-----------|
|          |                                                                 |           |            | SHORT TERM | LONG TERM |
| 05/27/11 | SOLD 25 SHS OF ORMAT TECHNOLOGIES INC<br>25 SHARES AT \$22.3525 | 557.94    | 1,198.10-  | 0.00       | 640.16-   |
| 05/31/11 | SOLD 100 SHS OF NATIONAL INSTRS CORP<br>100 SHARES AT \$28.2708 | 2,823.98  | 2,013.21-  | 0.00       | 810.77    |
| 05/31/11 | SOLD 50 SHS OF TEAM INC<br>50 SHARES AT \$23.0622               | 1,151.54  | 1,292.51-  | 140.97-    | 0.00      |
|          | TOTAL EQUITIES (PRINCIPAL)                                      | 43,456.93 | 31,244.74- | 140.97-    | 12,353.16 |
|          | MONEY MARKET                                                    |           |            |            |           |
|          | TOTAL SALES OF SEI DAILY INCOME TR GOVT II PORT A               | 19,012.06 | 19,012.06- | 0.00       | 0.00      |
|          | TOTAL MONEY MARKET (PRINCIPAL)                                  | 19,012.06 | 19,012.06- | 0.00       | 0.00      |
|          | TOTAL ASSETS SOLD                                               | 62,468.99 | 50,256.80- | 140.97-    | 12,353.16 |

ST 1151<sup>54</sup> 1292<sup>51</sup> 140<sup>97</sup> >  
LT 42305<sup>39</sup> 29952<sup>23</sup> 12353<sup>16</sup>



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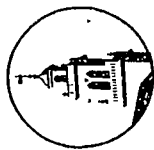
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STATEMENT PERIOD FROM 05/31/11 TO 06/30/11  
ASSETS SOLD

CAROLINE & STIGMUND SCHOTT FND-SC IMA  
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| DATE     | DESCRIPTION                     | CASH   | COST BASIS | SHORT TERM | GAIN/LOSS |  |
|----------|---------------------------------|--------|------------|------------|-----------|--|
|          |                                 |        |            |            | LONG TERM |  |
|          |                                 |        |            |            |           |  |
|          | FIXED INCOME                    |        |            |            |           |  |
|          | -----                           |        |            |            |           |  |
| 06/06/11 | SOLD 25 SHS OF PARKWAY PTYS INC | 445.59 | 966.35-    | 0.00       | 520.76-   |  |
|          | 25 SHARES AT \$17.846           |        |            |            |           |  |
| 06/07/11 | SOLD 50 SHS OF PARKWAY PTYS INC | 863.17 | 1,932.70-  | 0.00       | 1,069.53- |  |
|          | 50 SHARES AT \$17.289           |        |            |            |           |  |
| 06/08/11 | SOLD 25 SHS OF PARKWAY PTYS INC | 431.98 | 533.31-    | 0.00       | 101.33-   |  |
|          | 25 SHARES AT \$17.3017          |        |            |            |           |  |
| 06/10/11 | SOLD 25 SHS OF PARKWAY PTYS INC | 430.23 | 531.52-    | 0.00       | 101.29-   |  |
|          | 25 SHARES AT \$17.2334          |        |            |            |           |  |
| 06/14/11 | SOLD 25 SHS OF PARKWAY PTYS INC | 405.62 | 531.52-    | 0.00       | 125.90-   |  |
|          | 25 SHARES AT \$16.2469          |        |            |            |           |  |
| 06/17/11 | SOLD 25 SHS OF PARKWAY PTYS INC | 416.78 | 531.52-    | 0.00       | 114.74-   |  |
|          | 25 SHARES AT \$16.6933          |        |            |            |           |  |
| 06/21/11 | SOLD 25 SHS OF PARKWAY PTYS INC | 405.99 | 531.52-    | 0.00       | 125.53-   |  |
|          | 25 SHARES AT \$16.2643          |        |            |            |           |  |
| 06/22/11 | SOLD 25 SHS OF PARKWAY PTYS INC | 405.53 | 464.41-    | 0.00       | 58.88-    |  |
|          | 25 SHARES AT \$16.2447          |        |            |            |           |  |
| 06/23/11 | SOLD 25 SHS OF PARKWAY PTYS INC | 404.81 | 464.41-    | 0.00       | 59.60-    |  |
|          | 25 SHARES AT \$16.2151          |        |            |            |           |  |
| 06/24/11 | SOLD 50 SHS OF PARKWAY PTYS INC | 826.59 | 928.81-    | 0.00       | 102.22-   |  |
|          | 50 SHARES AT \$16.5534          |        |            |            |           |  |



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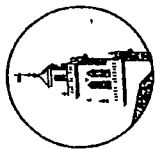
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**STATEMENT PERIOD FROM 05/31/11 TO 06/30/11  
ASSETS SOLD**

CAROLINE & SIGMUND SCHOTT FND-SC IMA  
ACCOUNT # 1-06428

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| DATE<br>---- | DESCRIPTION<br>-----                                                | CASH<br>---- | COST BASIS<br>----- | SHORT TERM<br>----- | GAIN/LOSS<br>LONG TERM<br>----- |
|--------------|---------------------------------------------------------------------|--------------|---------------------|---------------------|---------------------------------|
|              | TOTAL FIXED INCOME (PRINCIPAL)                                      | 5,036.29     | 7,416.07-           | 0.00                | 2,379.78-                       |
|              | EQUITIES<br>-----                                                   |              |                     |                     |                                 |
| 06/01/11     | SOLD 25 SHS OF NATIONAL INSTRS CORP<br>25 SHARES AT \$28.4094       | 709.42       | 503.30-             | 0.00                | 206.12                          |
| 06/01/11     | SOLD 50 SHS OF TEAM INC<br>50 SHARES AT \$22.9903                   | 1,147.93     | 1,271.52-           | 123.59-             | 0.00                            |
| 06/07/11     | SOLD 50 SHS OF TEAM INC<br>50 SHARES AT \$22.20                     | 1,108.36     | 1,233.17-           | 124.81-             | 0.00                            |
| 06/10/11     | SOLD 25 SHS OF TEAM INC<br>25 SHARES AT \$21.281                    | 531.22       | 614.31-             | 83.09-              | 0.00                            |
| 06/16/11     | SOLD 25 SHS OF TEAM INC<br>25 SHARES AT \$21.2547                   | 530.54       | 614.31-             | 83.77-              | 0.00                            |
| 06/21/11     | SOLD 25 SHS OF TEAM INC<br>25 SHARES AT \$21.3332                   | 532.49       | 613.21-             | 80.72-              | 0.00                            |
| 06/22/11     | SOLD 81 SHS OF SUNPOWER CORP CL B                                   | 1,883.25     | 1,017.83-           | 0.00                | 865.42                          |
| 06/27/11     | SOLD 25 SHS OF UNDER ARMOUR INC<br>25 SHARES AT \$73.353            | 1,832.99     | 861.16-             | 0.00                | 971.83                          |
| 06/28/11     | SOLD 100 SHS OF UNDER ARMOUR INC<br>100 SHARES AT \$71.5648         | 7,153.29     | 3,038.80-           | 0.00                | 4,114.49                        |
| 06/30/11     | SOLD 25 SHS OF MINERALS TECHNOLOGIES INC.<br>25 SHARES AT \$64.8474 | 1,620.31     | 1,367.25-           | 7.86-               | 260.92                          |



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| DATE<br>---- | DESCRIPTION<br>-----                              | CASH<br>---- | COST BASIS<br>----- | SHORT TERM<br>----- | GAIN/LOSS<br>LONG TERM<br>----- |
|--------------|---------------------------------------------------|--------------|---------------------|---------------------|---------------------------------|
|              | TOTAL EQUITIES (PRINCIPAL)                        | 17,049.80    | 11,134.86-          | 503.84-             | 6,418.78                        |
|              | MONEY MARKET<br>-----                             |              |                     |                     |                                 |
|              | TOTAL SALES OF SEI DAILY INCOME TR GOVT II PORT A | 9,039.33     | 9,039.33-           | 0.00                | 0.00                            |
|              | TOTAL MONEY MARKET (PRINCIPAL)                    | 9,039.33     | 9,039.33-           | 0.00                | 0.00                            |
|              | TOTAL ASSETS SOLD                                 | 31,125.42    | 27,590.26-          | 503.84-             | 4,039.00                        |

ST 3899<sup>15</sup> 4402<sup>99</sup> 503<sup>84</sup> 7  
LT 18186<sup>94</sup> 14147<sup>94</sup> 4039<sup>00</sup>



ATTACHMENT 1FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

| <u>DESCRIPTION</u>      | <u>REVENUE<br/>AND<br/>EXPENSES<br/>PER BOOKS</u> | <u>NET<br/>INVESTMENT<br/>INCOME</u> |
|-------------------------|---------------------------------------------------|--------------------------------------|
| BOSTON TRUST & INV      | 700.                                              | 700.                                 |
| LORING WOLCOTT COOLIDGE | 75.                                               | 75.                                  |
| WAINWRIGHT BANK         | 34.                                               | 34.                                  |
| OTHER INTEREST INCOME   | 752.                                              | 752.                                 |
| TOTAL                   | <u>1,561.</u>                                     | <u>1,561.</u>                        |

ATTACHMENT 2FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| <u>DESCRIPTION</u>                     | <u>REVENUE<br/>AND<br/>EXPENSES<br/>PER BOOKS</u> | <u>NET<br/>INVESTMENT<br/>INCOME</u> |
|----------------------------------------|---------------------------------------------------|--------------------------------------|
| BOSTON TRUST & INV MANAGEMENT CO       | 10,710.                                           | 10,710.                              |
| CHARLES SCHWAB & CO/PRENTISS SMITH     | 74,213.                                           | 74,213.                              |
| COMMODITYREALRETURN STRATEGY FUND      | 329,381.                                          | 329,381.                             |
| CRA QUALIFIED INVESTMENT FUND INST SHS | 22,632.                                           | 22,632.                              |
| LORING WOLCOTT & COOLIDGE              | 51,520.                                           | 51,520.                              |
| LORING WOLCOTT & COOLIDGE              | 46,048.                                           | 46,048.                              |
| PIMCO TOTAL RETURN FUND                | 369,979.                                          | 369,979.                             |
| STATE STREET                           | 83,620.                                           | 83,620.                              |
| TOTAL                                  | <u>988,103.</u>                                   | <u>988,103.</u>                      |

ATTACHMENT 3FORM 990PF, PART I - OTHER INCOME

| DESCRIPTION                           | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS | NET<br>INVESTMENT<br>INCOME |
|---------------------------------------|-----------------------------------------|-----------------------------|
| BERNARD L MADOFF                      | 50,000.                                 | 0.                          |
| BOSTON COMMON INTL SOCIAL FUND LLC    | 172,659.                                | 172,659.                    |
| BOSTON TRUST & INV MANAGEMENT CO      | 417.                                    | 417.                        |
| CHARLES SCHWAB & CO, INC              | 5,355.                                  | 5,355.                      |
| COLCHESTER GLOBAL BOND FUND           | 97,068.                                 | 97,068.                     |
| GENERATION IM GLOBAL EQUITY FUND LLC  | 383,005.                                | 383,005.                    |
| GMO FORESTRY FUND 8-B LP              | 5,662.                                  | 5,662.                      |
| INVESTABLE EMERGING MKTS COUNTRY FUND | -64,755.                                | -64,755.                    |
| LORING WOLCOTT & COOLIDGE             | 1,026.                                  | 1,026.                      |
| OTHER INCOME                          | 3,676.                                  | 3,676.                      |
| TOTALS                                | <u>654,113.</u>                         | <u>604,113.</u>             |

ATTACHMENT 4FORM 990PF, PART I - LEGAL FEES

| <u>DESCRIPTION</u> | <u>REVENUE<br/>AND<br/>EXPENSES<br/>PER BOOKS</u> | <u>NET<br/>INVESTMENT<br/>INCOME</u> | <u>ADJUSTED<br/>NET<br/>INCOME</u> | <u>CHARITABLE<br/>PURPOSES</u> |
|--------------------|---------------------------------------------------|--------------------------------------|------------------------------------|--------------------------------|
| LEGAL FEES         | 6,124.                                            |                                      |                                    | 7,547.                         |
| TOTALS             | <u>6,124.</u>                                     | <u>0.</u>                            | <u>0.</u>                          | <u>7,547.</u>                  |

ATTACHMENT 5FORM 990PF, PART I - ACCOUNTING FEES

| <u>DESCRIPTION</u> | <u>REVENUE<br/>AND<br/>EXPENSES<br/>PER BOOKS</u> | <u>NET<br/>INVESTMENT<br/>INCOME</u> | <u>ADJUSTED<br/>NET<br/>INCOME</u> | <u>CHARITABLE<br/>PURPOSES</u> |
|--------------------|---------------------------------------------------|--------------------------------------|------------------------------------|--------------------------------|
| ACCOUNTING FEES    | 55,381.                                           |                                      |                                    | 53,071.                        |
| TOTALS             | <u>55,381.</u>                                    | <u>0.</u>                            | <u>0.</u>                          | <u>53,071.</u>                 |

ATTACHMENT 6FORM 990PF, PART I - OTHER PROFESSIONAL FEES

| <u>DESCRIPTION</u>         | <u>REVENUE<br/>AND<br/>EXPENSES<br/>PER BOOKS</u> | <u>NET<br/>INVESTMENT<br/>INCOME</u> | <u>CHARITABLE<br/>PURPOSES</u> |
|----------------------------|---------------------------------------------------|--------------------------------------|--------------------------------|
| CONSULTING FEES            | 23,056.                                           |                                      |                                |
| INVESTMENT ADVISORY FEES   | 126,211.                                          | 126,211.                             |                                |
| INVESTMENT MANAGEMENT FEES | 219,253.                                          | 219,253.                             | 22,111.                        |
| TOTALS                     | <u>368,520.</u>                                   | <u>345,464.</u>                      | <u>22,111.</u>                 |

FORM 990PF, PART I - TAXES

| DESCRIPTION                    | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS | NET<br>INVESTMENT<br>INCOME |
|--------------------------------|-----------------------------------------|-----------------------------|
| FEDERAL EXCISE TAX             | 6,974.                                  |                             |
| FOREIGN TAX WITHHELD           | 12,405.                                 | 12,405.                     |
| FOREIGN TAXES-BC INTL SOCIAL   | 10,538.                                 | 10,538.                     |
| FOREIGN TAXES-COLCHESTER GLOBL | 22.                                     | 22.                         |
| FOREIGN TAXES-GEN IM GLOBAL EQ | 6,188.                                  | 6,188.                      |
| FOREIGN TAXES-INVESTABLE EMERG | 3,172.                                  | 3,172.                      |
| TOTALS                         | 39,299.                                 | 32,325.                     |

ATTACHMENT 8

FORM 990PF, PART I - OTHER EXPENSES

| DESCRIPTION                    | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS | NET<br>INVESTMENT<br>INCOME | CHARITABLE<br>PURPOSES |
|--------------------------------|-----------------------------------------|-----------------------------|------------------------|
| DED RELATED TO PORTFOLIO INC.  | 175.                                    | 175.                        |                        |
| DED RELATED TO PORTFOLIO INC.  | 2,778.                                  | 2,778.                      |                        |
| DED RELATED TO PORTFOLIO INC.  | 7,956.                                  | 7,956.                      |                        |
| INSURANCE                      | 5,504.                                  |                             | 5,504.                 |
| MANAGEMENT FEE                 | 35,000.                                 |                             | 35,000.                |
| OFFICE EXPENSE                 | 5,898.                                  |                             | 5,794.                 |
| PROFESSIONAL DEVELOPMENT       | 6,870.                                  |                             | 6,870.                 |
| BOOK VS TAX DIFF - EATON VANCE | 3,840.                                  |                             |                        |
| TOTALS                         | 68,021.                                 | 10,909.                     | 53,168.                |



ATTACHMENT 9FORM 990PF, PART II - PREPAID EXPENSES AND DEFERRED CHARGES

| <u>DESCRIPTION</u>         | <u>BEGINNING<br/>BOOK VALUE</u> | <u>ENDING<br/>BOOK VALUE</u> | <u>ENDING<br/>FMV</u> |
|----------------------------|---------------------------------|------------------------------|-----------------------|
| PREPAID EXPENSES           | 1,375.                          | 1,375.                       | 1,375.                |
| PREPAID FEDERAL EXCISE TAX | 15,341.                         | 15,868.                      | 15,868.               |
| TOTALS                     | <u>16,716.</u>                  | <u>17,243.</u>               | <u>17,243.</u>        |

ATTACHMENT 10FORM 990PF, PART II - CORPORATE STOCK

| <u>DESCRIPTION</u>             | <u>BEGINNING<br/>BOOK VALUE</u> | <u>ENDING<br/>BOOK VALUE</u> | <u>ENDING<br/>FMV</u> |
|--------------------------------|---------------------------------|------------------------------|-----------------------|
| ARTISAN EMERGING MARKETS       | 0.                              | 1,500,000.                   | 1,482,581.            |
| CRA QUALIFIED INVESTMENT FUND  | 601,908.                        | 624,540.                     | 625,065.              |
| LORING, WOLCOTT & COOLIDGE     | 4,838,313.                      | 4,710,169.                   | 6,076,728.            |
| UNITED STATES TRUST COMPANY    | 789,978.                        | 798,055.                     | 1,088,398.            |
| CHARLES SCHWAB & CO, INC       | 3,239,755.                      | 2,559,886.                   | 2,888,512.            |
| NYES LEDGE CAPITAL OFFSHORE FD | 1,700,000.                      | 2,500,000.                   | 2,993,626.            |
| CITY OF LONDON-INVST EMERG MK  | 1,727,282.                      | 136,043.                     | 1,984,594.            |
| FORESTER DIVERSIFIED LTD       | 5,000,000.                      | 5,000,000.                   | 5,796,728.            |
| EATON VANCE MANAGEMENT         | 1,048,278.                      | 0.                           | 0.                    |
| STATE STREET INTERNATIONAL     | 2,237,100.                      | 2,229,002.                   | 2,833,118.            |
| ALLOWANCE UNREALIZED GAIN/LOSS | 1,890,616.                      | 5,711,655.                   |                       |
| TOTALS                         | <u>23,073,230.</u>              | <u>25,769,350.</u>           | <u>25,769,350.</u>    |

ATTACHMENT 11FORM 990PF, PART II - CORPORATE BONDS

| <u>DESCRIPTION</u>             | <u>BEGINNING<br/>BOOK VALUE</u> | <u>ENDING<br/>BOOK VALUE</u> | <u>ENDING<br/>FMV</u> |
|--------------------------------|---------------------------------|------------------------------|-----------------------|
| PIMCO TOTAL RETURN FUND III    | 4,444,981.                      | 5,161,236.                   | 5,312,857.            |
| COMMODITY REAL RETURN STRATEGY | 3,499,090.                      | 3,355,315.                   | 2,635,988.            |
| ALLOWANCE FOR UNREALIZED GAIN  | -1,052,390.                     | -567,706.                    |                       |
| TOTALS                         | <u>6,891,681.</u>               | <u>7,948,845.</u>            | <u>7,948,845.</u>     |

ATTACHMENT 12FORM 990PF, PART II - OTHER INVESTMENTS

| <u>DESCRIPTION</u>             | <u>BEGINNING<br/>BOOK VALUE</u> | <u>ENDING<br/>BOOK VALUE</u> | <u>ENDING<br/>FMV</u> |
|--------------------------------|---------------------------------|------------------------------|-----------------------|
| BOSTON COMMON INTL SOCIAL FUND | 5,361,035.                      | 4,884,088.                   | 3,236,359.            |
| COLCHESTER GLOBAL BOND FUND    | 2,137,970.                      | 1,680,596.                   | 1,766,546.            |
| GENERATION IM GLOBAL EQUITY    | 2,119,486.                      | 2,974,917.                   | 3,446,749.            |
| GMO FORESTRY FUND              | 779,350.                        | 457,577.                     | 643,157.              |
| LYME FOREST FUND III TE LP     | 0.                              | 5,972.                       | 681.                  |
| ALLOW FOR UNREALIZED GAIN/LOSS | -1,687,847.                     | -909,658.                    |                       |
| TOTALS                         | <u>8,709,994.</u>               | <u>9,093,492.</u>            | <u>9,093,492.</u>     |

ATTACHMENT 13FORM 990PF, PART II - OTHER ASSETS

| <u>DESCRIPTION</u>         | <u>BEGINNING<br/>BOOK VALUE</u> | <u>ENDING<br/>BOOK VALUE</u> | <u>ENDING<br/>FMV</u> |
|----------------------------|---------------------------------|------------------------------|-----------------------|
| DUE FROM BROKER            | 0.                              | 2,178.                       | 2,178.                |
| ACCRUED INT/DIV RECEIVABLE | 8,289.                          | 19,525.                      | 19,525.               |
| TOTALS                     | <u>8,289.</u>                   | <u>21,703.</u>               | <u>21,703.</u>        |

ATTACHMENT 14FORM 990PF, PART II - OTHER LIABILITIES

| <u>DESCRIPTION</u>            | <u>BEGINNING<br/>BOOK VALUE</u> | <u>ENDING<br/>BOOK VALUE</u> |
|-------------------------------|---------------------------------|------------------------------|
| DUE TO BROKER                 | 110,878.                        | 0.                           |
| DUE TO SCHOTT FDTN FOR PUB ED | 519.                            | 0.                           |
| DEFERRED FEDERAL EXCISE TAXES | 0.                              | 42,000.                      |
| TOTALS                        | <u>111,397.</u>                 | <u>42,000.</u>               |

ATTACHMENT 15FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

| <u>DESCRIPTION</u>                       | <u>AMOUNT</u>     |
|------------------------------------------|-------------------|
| UNREALIZED GAIN ON MARKETABLE SECURITIES | 5,572,776.        |
| TOTAL                                    | <u>5,572,776.</u> |

ATTACHMENT 16FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNT

DEFERRED FEDERAL EXCISE TAX

42,000.

TOTAL

42,000.



## CAROLINE &amp; SIGMUND SCHOTT FUND

11-2856561

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 17

| NAME AND ADDRESS                                                                                           | TITLE AND AVERAGE HOURS PER<br>WEEK DEVOTED TO POSITION | COMPENSATION | CONTRIBUTIONS<br>TO EMPLOYEE<br>BENEFIT PLANS | EXPENSE ACCT<br>AND OTHER<br>ALLOWANCES |
|------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|--------------|-----------------------------------------------|-----------------------------------------|
| LISELOTTE J LEEDS<br>C/O CAROLINE & SIGMUND SCHOTT FUND<br>675 MASSACHUSETTS AVENUE<br>CAMBRIDGE, MA 02139 | VICE-CHAIR/TREAS-BD<br>.50                              | 0.           | 0.                                            | 0.                                      |
| GERARD G LEEDS<br>C/O CAROLINE & SIGMUND SCHOTT FUND<br>675 MASSACHUSETTS AVENUE<br>CAMBRIDGE, MA 02139    | VICE PRES (BOARD)<br>.25                                | 0.           | 0.                                            | 0.                                      |
| GREG JOBIN-LEEDS<br>C/O SCHOTT FDTN FOR PUBLIC EDUC<br>675 MASSACHUSETTS AVENUE<br>CAMBRIDGE, MA 02139     | CHAIR/PRES (BOARD)<br>6.00                              | 0.           | 0.                                            | 0.                                      |
| MARIA JOBIN-LEEDS<br>C/O CAROLINE & SIGMUND SCHOTT FUND<br>675 MASSACHUSETTS AVENUE<br>CAMBRIDGE, MA 02139 | VP/SECY (BOARD)<br>.25                                  | 0.           | 0.                                            | 0.                                      |
| GRAND TOTALS                                                                                               |                                                         | 0.           | 0.                                            | 0.                                      |

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 18

| <u>NAME AND ADDRESS</u>                                           | <u>TYPE OF SERVICE</u> | <u>COMPENSATION</u> |
|-------------------------------------------------------------------|------------------------|---------------------|
| CAMBRIDGE ASSOCIATES LLC<br>100 SUMMER STREET<br>BOSTON, MA 02110 | INVESTMENT ADVISORY    | 126,211.            |
| TOTAL COMPENSATION                                                |                        | <u>126,211.</u>     |

FORM 990PF, PART XV - INFORMATION REGARDING FOUNDATION MANAGERS

LISELOTTE J. LEEDS  
GERARD G. LEEDS

FORM 990FP, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20

| RECIPIENT NAME AND ADDRESS                                                                        | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND<br>FOUNDATION STATUS OF RECIPIENT |  | PURPOSE OF GRANT OR CONTRIBUTION                                      | AMOUNT     |
|---------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|--|-----------------------------------------------------------------------|------------|
|                                                                                                   |                                                                                  |  |                                                                       |            |
| NORTH SHORE CHILD AND FAMILY GUIDANCE CENTER<br>480 OLD WESTBURY ROAD<br>ROSLYN HEIGHTS, NY 11577 | N/A<br>501(C)(3)                                                                 |  | SUSTAIN TRIAGE, EMERGENCY AND HIGH RISK RESPONSE<br>TO YOUNG CHILDREN | 10,000.    |
| THE SCHOTT FOUNDATION FOR PUBLIC EDUCATION<br>675 MASSACHUSETTS AVENUE<br>BOSTON, MA 02139        | N/A<br>501(C)(3)                                                                 |  | EDUCATION EXCELLENCE                                                  | 1,820,000. |
| AGITARTE, INC<br>15 BRAEBURN ROAD<br>HYDE PARK, MA 02136                                          | N/A<br>501(C)(3)                                                                 |  | NURTURE SOCIALLY CONSCIOUS ARTISTS                                    | 15,175.    |
| SOUTHERN POVERTY LAW CENTER<br>400 WASHINGTON AVENUE<br>MONTGOMERY, AL 36104                      | N/A<br>501(C)(3)                                                                 |  | JUSTICE EDUCATION                                                     | 10,000.    |
| NEIGHBOR TO NEIGHBOR FBO STUDENT IMMIGRANT MOVMT<br>33 HARRISON AVENUE<br>BOSTON, MA 02111        | N/A<br>501(C)(3)                                                                 |  | EDUCATION FOR IMMIGRANT STUDENTS                                      | 10,000.    |
| THE ALGEBRA PROJECT, INC<br>99 BISHOP ALLEN DRIVE<br>CAMBRIDGE, MA 02139                          | N/A<br>501(C)(3)                                                                 |  | MATH LITERACY                                                         | 2,000.     |

FORM 990FF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

| RECIPIENT NAME AND ADDRESS                                                                             | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND<br>FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION                           | AMOUNT            |
|--------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|------------------------------------------------------------|-------------------|
| HIGHLANDER RESEARCH AND EDUCATION CENTER<br>1959 HIGHLANDER WAY<br>NEW MARKET, TN 37820                | N/A<br>501(C) (3)                                                                | PUBLIC EDUCATION                                           | 10,000.           |
| PROGRESSIVE TECHNOLOGY PROJECT<br>2801 21ST AVENUE SOUTH<br>MINNEAPOLIS, MN 55407                      | N/A<br>501(C) (3)                                                                | DEVELOP TECHNOLOGY SYSTEMS FOR GRASSROOTS<br>ORGANIZATIONS | 10,000.           |
| CADRE - COMMUNITY FOR ADVANC'G DISCOVERY RES IN ED<br>8510 1/2 SOUTH BROADWAY<br>LOS ANGELES, CA 90003 | N/A<br>501(C) (3)                                                                | EVENT SPONSORSHIP                                          | 500.              |
| WOMENS LEADERSHIP FUND<br>110 WALL STREET, 2ND FLOOR<br>NEW YORK, NY 10005                             | N/A<br>501(C) (3)                                                                | WOMEN'S LEADERSHIP                                         | 10,000.           |
| JUSTICE MATTERS<br>436 14TH STREET<br>OAKLAND, CA 94612                                                | N/A<br>501(C) (3)                                                                | SOCIAL AND RACIAL JUSTICE EDUCATION                        | 10,000.           |
| YOUNG PEOPLE'S PROJECT<br>99 BISHOP ALLEN DRIVE<br>CAMBRIDGE, MA 02139                                 | N/A<br>501(C) (3)                                                                | EDUCATION AND COMMUNITY DEVELOPMENT                        | 2,000.            |
| TOTAL CONTRIBUTIONS PAID                                                                               |                                                                                  |                                                            | <u>1,909,675.</u> |

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 21

| RECIPIENT NAME AND ADDRESS                                                                    | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND<br>FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT            |
|-----------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|----------------------------------|-------------------|
| THE SCHOTT FOUNDATION FOR PUBLIC EDUCATION<br>675 MASSACHUSETTS AVENUE<br>CAMBRIDGE, MA 02139 | N/A<br>501(C)(3)                                                                 | EDUCATION EXCELLENCE             | 5,660,000.        |
| TOTAL CONTRIBUTIONS APPROVED                                                                  |                                                                                  |                                  | <u>5,660,000.</u> |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                                       |                    |                          |                              | Date acquired  | Date sold  |
|----------------------------------------|--------------------------------|-----------------------------------------------------------------------------------|--------------------|--------------------------|------------------------------|----------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                               | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss) |            |
|                                        |                                | TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS                                            |                    |                          |                              | 47,041.        |            |
| 70,241.                                |                                | 7643.232 SHS COMMODITYREALRETURN STRATEG<br>PROPERTY TYPE: SECURITIES<br>112,356. |                    |                          |                              | -42,115.       | 11/05/2010 |
| 29,759.                                |                                | 3238.161 SHS COMMODITYREALRETURN STRATEG<br>PROPERTY TYPE: SECURITIES<br>44,363.  |                    |                          |                              | -14,604.       | 11/05/2010 |
| 92,658.                                |                                | 9952.484 SHS COMMODITYREALRETURN STRATEG<br>PROPERTY TYPE: SECURITIES<br>136,349. |                    |                          |                              | -43,691.       | 12/28/2010 |
| 25,381.                                |                                | 2726.194 SHS COMMODITYREALRETURN STRATEG<br>PROPERTY TYPE: SECURITIES<br>43,101.  |                    |                          |                              | -17,720.       | 12/28/2010 |
| 12,787.                                |                                | 1373.445 SHS COMMODITYREALRETURN STRATEG<br>PROPERTY TYPE: SECURITIES<br>20,176.  |                    |                          |                              | -7,389.        | 12/28/2010 |
| 17,354.                                |                                | 1863.978 SHS COMMODITYREALRETURN STRATEG<br>PROPERTY TYPE: SECURITIES<br>27,363.  |                    |                          |                              | -10,009.       | 12/28/2010 |
| 51,821.                                |                                | 5566.176 SHS COMMODITYREALRETURN STRATEG<br>PROPERTY TYPE: SECURITIES<br>89,448.  |                    |                          |                              | -37,627.       | 12/28/2010 |
| 102,298.                               |                                | 750 SHS SPDR GOLD TRUST<br>PROPERTY TYPE: SECURITIES<br>72,370.                   |                    |                          |                              | 29,928.        | 11/05/2010 |
| 1,044.                                 |                                | 131.25 UTS STANDARD CHARTERED PLC RTS EX<br>PROPERTY TYPE: SECURITIES<br>903.     |                    |                          |                              | 141.           | 10/28/2010 |
| 11,838.                                |                                | 1175 SHS NOKIA CORP ADR<br>PROPERTY TYPE: SECURITIES<br>30,186.                   |                    |                          |                              | -18,348.       | 09/16/2010 |
| 740.                                   |                                | 75.001 SHS FURIEX PHARMACEUTICALS INC<br>PROPERTY TYPE: SECURITIES<br>653.        |                    |                          |                              | 87.            | 07/02/2010 |
|                                        |                                | 57.666 SHS FURIEX PHARMACEUTICALS INC                                             |                    |                          |                              |                | 07/02/2010 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                       |                          |                                |                                    | P<br>or<br>D | Date<br>acquired     | Date sold  |
|----------------------------------------------|---------------------------------------|-------------------------------------------------------------------|--------------------------|--------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                         | FMV<br>as of<br>12/31/69 | Adj basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |            |
| 570.                                         |                                       | PROPERTY TYPE: SECURITIES<br>485.                                 |                          |                                |                                    |              | 85.                  |            |
|                                              |                                       | 8.333 SHS FURIEX PHARMACEUTICALS INC<br>PROPERTY TYPE: SECURITIES |                          |                                |                                    | P            | 04/09/2010           | 07/02/2010 |
| 82.                                          |                                       | 85.                                                               |                          |                                |                                    |              | -3.                  |            |
|                                              |                                       | 300 SHS SYMANTEC CORP<br>PROPERTY TYPE: SECURITIES                |                          |                                |                                    | P            | 04/09/2010           | 07/02/2010 |
| 4,149.                                       |                                       | 5,006.                                                            |                          |                                |                                    |              | -857.                |            |
|                                              |                                       | 400 SHS SYMANTEC CORP<br>PROPERTY TYPE: SECURITIES                |                          |                                |                                    | P            | 04/08/2009           | 07/02/2010 |
| 5,532.                                       |                                       | 6,454.                                                            |                          |                                |                                    |              | -922.                |            |
|                                              |                                       | 200 SHS US BANCORP<br>PROPERTY TYPE: SECURITIES                   |                          |                                |                                    | P            | 09/18/2008           | 07/02/2010 |
| 4,484.                                       |                                       | 7,238.                                                            |                          |                                |                                    |              | -2,754.              |            |
|                                              |                                       | 200 SHS MCKESSON CORP<br>PROPERTY TYPE: SECURITIES                |                          |                                |                                    | P            | 12/10/2008           | 07/15/2010 |
| 13,677.                                      |                                       | 6,858.                                                            |                          |                                |                                    |              | 6,819.               |            |
|                                              |                                       | 100 SHS M&T BANK CORP<br>PROPERTY TYPE: SECURITIES                |                          |                                |                                    | P            | 05/19/2009           | 07/23/2010 |
| 8,643.                                       |                                       | 5,058.                                                            |                          |                                |                                    |              | 3,585.               |            |
|                                              |                                       | 200 SHS DELL INC<br>PROPERTY TYPE: SECURITIES                     |                          |                                |                                    | P            | 08/14/2008           | 07/23/2010 |
| 2,684.                                       |                                       | 5,082.                                                            |                          |                                |                                    |              | -2,398.              |            |
|                                              |                                       | 400 SHS BOK FINANCIAL CORP<br>PROPERTY TYPE: SECURITIES           |                          |                                |                                    | P            | 03/24/2009           | 07/23/2010 |
| 19,193.                                      |                                       | 14,199.                                                           |                          |                                |                                    |              | 4,994.               |            |
|                                              |                                       | 100 SHS RESEARCH IN MOTION LTD<br>PROPERTY TYPE: SECURITIES       |                          |                                |                                    | P            | 01/21/2010           | 10/19/2010 |
| 4,724.                                       |                                       | 6,434.                                                            |                          |                                |                                    |              | -1,710.              |            |
|                                              |                                       | 200 SHS RESEARCH IN MOTION LTD<br>PROPERTY TYPE: SECURITIES       |                          |                                |                                    | P            | 07/02/2010           | 10/19/2010 |
| 9,448.                                       |                                       | 9,736.                                                            |                          |                                |                                    |              | -288.                |            |
|                                              |                                       | 200 SHS BROOKFIELD ASSET MGMT<br>PROPERTY TYPE: SECURITIES        |                          |                                |                                    | P            | 12/20/2007           | 10/14/2010 |
| 5,965.                                       |                                       | 7,181.                                                            |                          |                                |                                    |              | -1,216.              |            |



**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                             |                          |                                |                                    | P<br>or<br>D | Date<br>acquired       | Date sold  |
|----------------------------------------------|---------------------------------------|-------------------------------------------------------------------------|--------------------------|--------------------------------|------------------------------------|--------------|------------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                               | FMV<br>as of<br>12/31/69 | Adj basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss)   |            |
| 10,962.                                      |                                       | 600 SHS PATTERSON-UTI ENERGY<br>PROPERTY TYPE: SECURITIES<br>14,043.    |                          |                                |                                    | P            | 02/21/2007<br>-3,081.  | 10/14/2010 |
| 20,097.                                      |                                       | 1100 SHS PATTERSON-UTI ENERGY<br>PROPERTY TYPE: SECURITIES<br>24,999.   |                          |                                |                                    | P            | 04/05/2007<br>-4,902.  | 10/14/2010 |
| 3,870.                                       |                                       | 100 SHS UNIT CORPORATION<br>PROPERTY TYPE: SECURITIES<br>4,935.         |                          |                                |                                    | P            | 09/16/2005<br>-1,065.  | 10/14/2010 |
| 7,739.                                       |                                       | 200 SHS UNIT CORPORATION<br>PROPERTY TYPE: SECURITIES<br>9,733.         |                          |                                |                                    | P            | 01/15/2008<br>-1,994.  | 10/14/2010 |
| 6,160.                                       |                                       | 250 SHS CAREFUSION CORP<br>PROPERTY TYPE: SECURITIES<br>7,993.          |                          |                                |                                    | P            | 07/25/2005<br>-1,833.  | 10/19/2010 |
| 2,464.                                       |                                       | 100 SHS CAREFUSION CORP<br>PROPERTY TYPE: SECURITIES<br>3,312.          |                          |                                |                                    | P            | 08/08/2005<br>-848.    | 10/19/2010 |
| 1,232.                                       |                                       | 50 SHS CAREFUSION CORP<br>PROPERTY TYPE: SECURITIES<br>1,620.           |                          |                                |                                    | P            | 02/07/2008<br>-388.    | 10/19/2010 |
| 1,232.                                       |                                       | 50 SHS CAREFUSION CORP<br>PROPERTY TYPE: SECURITIES<br>825.             |                          |                                |                                    | P            | 07/10/2009<br>407.     | 10/19/2010 |
| 9,857.                                       |                                       | 400 SHS CAREFUSION CORP<br>PROPERTY TYPE: SECURITIES<br>8,581.          |                          |                                |                                    | P            | 10/05/2009<br>1,276.   | 10/19/2010 |
| 5,959.                                       |                                       | 300 SHS PATTERSON-UTI ENERGY INC<br>PROPERTY TYPE: SECURITIES<br>4,272. |                          |                                |                                    | P            | 04/09/2010<br>1,687.   | 11/10/2010 |
| 14,331.                                      |                                       | 1000 SHS DELL INC<br>PROPERTY TYPE: SECURITIES<br>25,281.               |                          |                                |                                    | P            | 08/08/2008<br>-10,950. | 11/10/2010 |
| 7,165.                                       |                                       | 500 SHS DELL INC<br>PROPERTY TYPE: SECURITIES<br>12,704.                |                          |                                |                                    | P            | 08/14/2008<br>-5,539.  | 11/10/2010 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                               |                    |                          |                              | Date acquired          | Date sold  |
|----------------------------------------|--------------------------------|---------------------------------------------------------------------------|--------------------|--------------------------|------------------------------|------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                       | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss)         |            |
| 19,862.                                |                                | 1000 SHS PATTERSON-UTI ENERGY INC<br>PROPERTY TYPE: SECURITIES<br>22,726. |                    |                          |                              | 04/05/2007<br>-2,864.  | 11/10/2010 |
| 62,760.                                |                                | 675 SHS APACHE CORP<br>PROPERTY TYPE: SECURITIES<br>72,896.               |                    |                          |                              | 07/17/2008<br>-10,136. | 09/03/2010 |
| 138,459.                               |                                | AUSTRALIAN GOVT BOND 6.25%<br>PROPERTY TYPE: SECURITIES<br>123,111.       |                    |                          |                              | 05/25/2010<br>15,348.  | 09/03/2010 |
| 67,606.                                |                                | 1020 SHS CLOROX CO<br>PROPERTY TYPE: SECURITIES<br>62,995.                |                    |                          |                              | 06/28/2007<br>4,611.   | 09/09/2010 |
| 3,145.                                 |                                | 411 SHS FRONTIER COMMUNICATION<br>PROPERTY TYPE: SECURITIES<br>3,538.     |                    |                          |                              | 02/20/2008<br>-393.    | 09/09/2010 |
| 6,480.                                 |                                | 610 SHS MEDICAL ACTION INDS<br>PROPERTY TYPE: SECURITIES<br>7,965.        |                    |                          |                              | 03/18/2010<br>-1,485.  | 08/09/2010 |
| 9,290.                                 |                                | 1025 SHS MEDICAL ACTION INDS<br>PROPERTY TYPE: SECURITIES<br>13,384.      |                    |                          |                              | 03/18/2010<br>-4,094.  | 09/03/2010 |
| 7,568.                                 |                                | 835 SHS MEDICAL ACTION INDS<br>PROPERTY TYPE: SECURITIES<br>10,906.       |                    |                          |                              | 03/19/2010<br>-3,338.  | 09/03/2010 |
| 70,637.                                |                                | 1300 SHS BAXTER INTL INC<br>PROPERTY TYPE: SECURITIES<br>65,426.          |                    |                          |                              | 06/23/2009<br>5,211.   | 04/20/2011 |
| 34,778.                                |                                | 1210 SHS BEST BUY CO<br>PROPERTY TYPE: SECURITIES<br>46,719.              |                    |                          |                              | 06/15/2010<br>-11,941. | 04/15/2011 |
| 83,469.                                |                                | 2780 SHS KON PHILIPS ELEC NV<br>PROPERTY TYPE: SECURITIES<br>83,069.      |                    |                          |                              | 12/16/2010<br>400.     | 05/02/2011 |
| 3,121.                                 |                                | 106 SHS KON PHILIPS ELEC NV<br>PROPERTY TYPE: SECURITIES<br>0.            |                    |                          |                              | 05/04/2011<br>3,121.   | 05/05/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                          |                    |                          |                              | Date acquired         | Date sold  |
|----------------------------------------|--------------------------------|----------------------------------------------------------------------|--------------------|--------------------------|------------------------------|-----------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                  | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss)        |            |
| 51,116.                                |                                | 1270 SHS MEDTRONIC INC<br>PROPERTY TYPE: SECURITIES<br>40,885.       |                    |                          |                              | 11/18/2008<br>10,231. | 04/12/2011 |
| 35,980.                                |                                | 1830 SHS TRINA SOLAR LTD ADR<br>PROPERTY TYPE: SECURITIES<br>30,579. |                    |                          |                              | 05/25/2010<br>5,401.  | 06/08/2011 |
| 33,422.                                |                                | 740 SHS STATE STREET CORP<br>PROPERTY TYPE: SECURITIES<br>19,439.    |                    |                          |                              | 01/12/1998<br>13,983. | 04/15/2011 |
| 19,920.                                |                                | 480 SHS HEWLETT PACKARD CO<br>PROPERTY TYPE: SECURITIES<br>21,610.   |                    |                          |                              | 06/29/2010<br>-1,690. | 03/17/2011 |
| 1,429.                                 |                                | 40 SHS HOME DEPOT INC<br>PROPERTY TYPE: SECURITIES<br>888.           |                    |                          |                              | 03/09/1998<br>541.    | 03/17/2011 |
| 16,074.                                |                                | 450 SHS HOME DEPOT INC<br>PROPERTY TYPE: SECURITIES<br>10,646.       |                    |                          |                              | 04/13/1998<br>5,428.  | 03/17/2011 |
| 7,536.                                 |                                | 140 SHS ILLINOIS TOOL WORKS<br>PROPERTY TYPE: SECURITIES<br>7,948.   |                    |                          |                              | 10/30/2007<br>-412.   | 03/17/2011 |
| 7,580.                                 |                                | 125 SHS FASTENAL CO<br>PROPERTY TYPE: SECURITIES<br>4,448.           |                    |                          |                              | 03/20/2007<br>3,132.  | 03/17/2011 |
| 7,471.                                 |                                | 440 SHS CISCO SYSTEMS INC<br>PROPERTY TYPE: SECURITIES<br>4,058.     |                    |                          |                              | 11/07/1997<br>3,413.  | 03/17/2011 |
| 10,007.                                |                                | 270 SHS MEDTRONIC INC<br>PROPERTY TYPE: SECURITIES<br>7,711.         |                    |                          |                              | 09/25/1998<br>2,296.  | 03/17/2011 |
| 10,222.                                |                                | 235 SHS STATE STREET CORP<br>PROPERTY TYPE: SECURITIES<br>6,173.     |                    |                          |                              | 01/12/1998<br>4,049.  | 03/17/2011 |
| 13,337.                                |                                | 190 SHS GENZYME CORP<br>PROPERTY TYPE: SECURITIES<br>10,100.         |                    |                          |                              | 04/27/2010<br>3,237.  | 12/10/2010 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                        |                          |                                |                                    | P<br>or<br>D | Date<br>acquired     | Date sold  |
|----------------------------------------------|---------------------------------------|--------------------------------------------------------------------|--------------------------|--------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                          | FMV<br>as of<br>12/31/69 | Adj basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |            |
| 31,589.                                      |                                       | 450 SHS GENZYME CORP<br>PROPERTY TYPE: SECURITIES<br>25,082.       |                          |                                |                                    | P            | 10/16/2009           | 12/10/2010 |
|                                              |                                       |                                                                    |                          |                                |                                    |              | 6,507.               |            |
| 38,853.                                      |                                       | 725 SHS AMGEN INC<br>PROPERTY TYPE: SECURITIES<br>24,177.          |                          |                                |                                    | P            | 07/11/2002           | 12/10/2010 |
|                                              |                                       |                                                                    |                          |                                |                                    |              | 14,676.              |            |
| 7,220.                                       |                                       | 200 SHS TIME WARNER INC<br>PROPERTY TYPE: SECURITIES<br>6,534.     |                          |                                |                                    | P            | 08/06/2010           | 04/21/2011 |
|                                              |                                       |                                                                    |                          |                                |                                    |              | 686.                 |            |
| 8,016.                                       |                                       | 100 SHS CONOCOPHILLIPS<br>PROPERTY TYPE: SECURITIES<br>5,080.      |                          |                                |                                    | P            | 11/25/2008           | 04/21/2011 |
|                                              |                                       |                                                                    |                          |                                |                                    |              | 2,936.               |            |
| 8,016.                                       |                                       | 100 SHS CONOCOPHILLIPS<br>PROPERTY TYPE: SECURITIES<br>5,474.      |                          |                                |                                    | P            | 04/09/2010           | 04/21/2011 |
|                                              |                                       |                                                                    |                          |                                |                                    |              | 2,542.               |            |
| 8,337.                                       |                                       | 300 SHS SPECTRA ENERGY CORP<br>PROPERTY TYPE: SECURITIES<br>7,156. |                          |                                |                                    | P            | 03/18/2008           | 04/21/2011 |
|                                              |                                       |                                                                    |                          |                                |                                    |              | 1,181.               |            |
| 4,278.                                       |                                       | 100 SHS WALGREEN CO<br>PROPERTY TYPE: SECURITIES<br>3,745.         |                          |                                |                                    | P            | 08/14/2008           | 04/21/2011 |
|                                              |                                       |                                                                    |                          |                                |                                    |              | 533.                 |            |
| 12,833.                                      |                                       | 300 SHS WALGREEN CO<br>PROPERTY TYPE: SECURITIES<br>11,057.        |                          |                                |                                    | P            | 04/09/2010           | 04/21/2011 |
|                                              |                                       |                                                                    |                          |                                |                                    |              | 1,776.               |            |
| 4,935.                                       |                                       | 100 SHS TYCO INTL LTD<br>PROPERTY TYPE: SECURITIES<br>4,186.       |                          |                                |                                    | P            | 05/02/2006           | 04/21/2011 |
|                                              |                                       |                                                                    |                          |                                |                                    |              | 749.                 |            |
| 9,871.                                       |                                       | 200 SHS TYCO INTL LTD<br>PROPERTY TYPE: SECURITIES<br>8,702.       |                          |                                |                                    | P            | 08/15/2007           | 04/21/2011 |
|                                              |                                       |                                                                    |                          |                                |                                    |              | 1,169.               |            |
| 2,527.                                       |                                       | 100 SHS MICROSOFT CORP<br>PROPERTY TYPE: SECURITIES<br>3,002.      |                          |                                |                                    | P            | 04/09/2010           | 03/22/2011 |
|                                              |                                       |                                                                    |                          |                                |                                    |              | -475.                |            |
| 3,857.                                       |                                       | 100 SHS UMB FINANCIAL CORP<br>PROPERTY TYPE: SECURITIES<br>3,583.  |                          |                                |                                    | P            | 10/14/2010           | 03/22/2011 |
|                                              |                                       |                                                                    |                          |                                |                                    |              | 274.                 |            |

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**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                         |                          |                                |                                    | P<br>or<br>D | Date<br>acquired     | Date sold  |
|----------------------------------------------|---------------------------------------|---------------------------------------------------------------------|--------------------------|--------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                           | FMV<br>as of<br>12/31/69 | Adj basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |            |
| 20,216.                                      |                                       | 800 SHS MICROSOFT CORP<br>PROPERTY TYPE: SECURITIES<br>14,426.      |                          |                                |                                    | P            | 03/25/2009           | 03/22/2011 |
|                                              |                                       |                                                                     |                          |                                |                                    |              | 5,790.               |            |
| 15,162.                                      |                                       | 600 SHS MICROSOFT CORP<br>PROPERTY TYPE: SECURITIES<br>11,439.      |                          |                                |                                    | P            | 04/08/2009           | 03/22/2011 |
|                                              |                                       |                                                                     |                          |                                |                                    |              | 3,723.               |            |
| 12,635.                                      |                                       | 500 SHS MICROSOFT CORP<br>PROPERTY TYPE: SECURITIES<br>11,147.      |                          |                                |                                    | P            | 07/10/2009           | 03/22/2011 |
|                                              |                                       |                                                                     |                          |                                |                                    |              | 1,488.               |            |
| 5,054.                                       |                                       | 200 SHS MICROSOFT CORP<br>PROPERTY TYPE: SECURITIES<br>4,665.       |                          |                                |                                    | P            | 08/18/2009           | 03/22/2011 |
|                                              |                                       |                                                                     |                          |                                |                                    |              | 389.                 |            |
| 19,285.                                      |                                       | 500 SHS UMB FINANCIAL CORP<br>PROPERTY TYPE: SECURITIES<br>20,297.  |                          |                                |                                    | P            | 09/22/2009           | 03/22/2011 |
|                                              |                                       |                                                                     |                          |                                |                                    |              | -1,012.              |            |
| 19,117.                                      |                                       | 300 SHS CLOROX CO<br>PROPERTY TYPE: SECURITIES<br>16,671.           |                          |                                |                                    | P            | 05/12/2008           | 02/04/2011 |
|                                              |                                       |                                                                     |                          |                                |                                    |              | 2,446.               |            |
| 4,888.                                       |                                       | 100 SHS UNIT CORPORATION<br>PROPERTY TYPE: SECURITIES<br>4,446.     |                          |                                |                                    | P            | 04/09/2010           | 01/26/2011 |
|                                              |                                       |                                                                     |                          |                                |                                    |              | 442.                 |            |
| 16,577.                                      |                                       | 800 SHS PATTERSON-UTI ENERGY<br>PROPERTY TYPE: SECURITIES<br>9,596. |                          |                                |                                    | P            | 10/17/2008           | 01/06/2011 |
|                                              |                                       |                                                                     |                          |                                |                                    |              | 6,981.               |            |
| 8,288.                                       |                                       | 400 SHS PATTERSON-UTI ENERGY<br>PROPERTY TYPE: SECURITIES<br>3,764. |                          |                                |                                    | P            | 02/13/2009           | 01/06/2011 |
|                                              |                                       |                                                                     |                          |                                |                                    |              | 4,524.               |            |
| 1,383.                                       |                                       | 100 SHS DELL INC<br>PROPERTY TYPE: SECURITIES<br>2,528.             |                          |                                |                                    | P            | 08/08/2008           | 01/06/2011 |
|                                              |                                       |                                                                     |                          |                                |                                    |              | -1,145.              |            |
| 11,064.                                      |                                       | 800 SHS DELL INC<br>PROPERTY TYPE: SECURITIES<br>12,504.            |                          |                                |                                    | P            | 09/30/2008           | 01/06/2011 |
|                                              |                                       |                                                                     |                          |                                |                                    |              | -1,440.              |            |
| 11,064.                                      |                                       | 800 SHS DELL INC<br>PROPERTY TYPE: SECURITIES<br>11,390.            |                          |                                |                                    | P            | 08/18/2009           | 01/06/2011 |
|                                              |                                       |                                                                     |                          |                                |                                    |              | -326.                |            |

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**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                            |                          |                                |                                    | P<br>or<br>D | Date<br>acquired     | Date sold  |
|----------------------------------------------|---------------------------------------|------------------------------------------------------------------------|--------------------------|--------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                              | FMV<br>as of<br>12/31/69 | Adj basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |            |
| 4,888.                                       |                                       | 100 SHS UNIT CORPORATION<br>PROPERTY TYPE: SECURITIES<br>4,389.        |                          |                                |                                    | P            | 10/06/2006           | 01/26/2011 |
|                                              |                                       |                                                                        |                          |                                |                                    |              | 499.                 |            |
| 6,335.                                       |                                       | 100 SHS CLOROX CO<br>PROPERTY TYPE: SECURITIES<br>5,557.               |                          |                                |                                    | P            | 05/12/2008           | 01/26/2011 |
|                                              |                                       |                                                                        |                          |                                |                                    |              | 778.                 |            |
| 12,669.                                      |                                       | 200 SHS CLOROX CO<br>PROPERTY TYPE: SECURITIES<br>11,354.              |                          |                                |                                    | P            | 05/28/2008           | 01/26/2011 |
|                                              |                                       |                                                                        |                          |                                |                                    |              | 1,315.               |            |
| 28,279.                                      |                                       | 1000 SHS AT&T CORP<br>PROPERTY TYPE: SECURITIES<br>34,063.             |                          |                                |                                    | P            | 06/26/2008           | 10/07/2010 |
|                                              |                                       |                                                                        |                          |                                |                                    |              | -5,784.              |            |
| 57,424.                                      |                                       | 2000 SHS AT&T CORP<br>PROPERTY TYPE: SECURITIES<br>68,126.             |                          |                                |                                    | P            | 06/26/2008           | 12/07/2010 |
|                                              |                                       |                                                                        |                          |                                |                                    |              | -10,702.             |            |
| 55,553.                                      |                                       | 1300 SHS BMC SOFTWARE INC<br>PROPERTY TYPE: SECURITIES<br>43,224.      |                          |                                |                                    | P            | 11/01/2007           | 10/07/2010 |
|                                              |                                       |                                                                        |                          |                                |                                    |              | 12,329.              |            |
| 32,250.                                      |                                       | 870 SHS CHECKPOINT SOFTWARE<br>PROPERTY TYPE: SECURITIES<br>18,626.    |                          |                                |                                    | P            | 03/15/2005           | 10/07/2010 |
|                                              |                                       |                                                                        |                          |                                |                                    |              | 13,624.              |            |
| 60,605.                                      |                                       | 1250 SHS CON EDISON CO OF NY<br>PROPERTY TYPE: SECURITIES<br>57,143.   |                          |                                |                                    | P            | 01/17/2008           | 12/09/2010 |
|                                              |                                       |                                                                        |                          |                                |                                    |              | 3,462.               |            |
| 49,083.                                      |                                       | 737 SHS CONOCOPHILLIPS<br>PROPERTY TYPE: SECURITIES<br>47,905.         |                          |                                |                                    | P            | 01/23/2007           | 12/21/2010 |
|                                              |                                       |                                                                        |                          |                                |                                    |              | 1,178.               |            |
| 100,575.                                     |                                       | 1423 SHS EXXON MOBIL CORP<br>PROPERTY TYPE: SECURITIES<br>92,108.      |                          |                                |                                    | P            | 07/17/2008           | 11/10/2010 |
|                                              |                                       |                                                                        |                          |                                |                                    |              | 8,467.               |            |
| 2,609.                                       |                                       | 330 SHS FLEXTRONICS INTL LTD<br>PROPERTY TYPE: SECURITIES<br>1,678.    |                          |                                |                                    | P            | 10/08/2008           | 12/21/2010 |
|                                              |                                       |                                                                        |                          |                                |                                    |              | 931.                 |            |
| 19,825.                                      |                                       | 3000 SHS GAMESA CP TECH NEW OR<br>PROPERTY TYPE: SECURITIES<br>41,510. |                          |                                |                                    | P            | 06/02/2005           | 12/09/2010 |
|                                              |                                       |                                                                        |                          |                                |                                    |              | -21,685.             |            |

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**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                               |                          |                                |                                    | P<br>or<br>D | Date<br>acquired     | Date sold  |
|----------------------------------------------|---------------------------------------|---------------------------------------------------------------------------|--------------------------|--------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                                 | FMV<br>as of<br>12/31/69 | Adj basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |            |
| 71,970.                                      |                                       | 1455 SHS HEINZ (HJ)<br>PROPERTY TYPE: SECURITIES<br>48,257.               |                          |                                |                                    | P            | 02/17/2009           | 12/30/2010 |
|                                              |                                       |                                                                           |                          |                                |                                    |              | 23,713.              |            |
| 64,215.                                      |                                       | 1725 SHS IDACORP INC<br>PROPERTY TYPE: SECURITIES<br>55,852.              |                          |                                |                                    | P            | 06/07/2007           | 12/09/2010 |
|                                              |                                       |                                                                           |                          |                                |                                    |              | 8,363.               |            |
| 40,846.                                      |                                       | 396 SHS KYOCERA LTD ADR<br>PROPERTY TYPE: SECURITIES<br>26,100.           |                          |                                |                                    | P            | 10/08/2008           | 12/21/2010 |
|                                              |                                       |                                                                           |                          |                                |                                    |              | 14,746.              |            |
| 7,972.                                       |                                       | 800 SHS MEDICAL ACTION INDS INC<br>PROPERTY TYPE: SECURITIES<br>10,449.   |                          |                                |                                    | P            | 03/19/2010           | 11/02/2010 |
|                                              |                                       |                                                                           |                          |                                |                                    |              | -2,477.              |            |
| 63,845.                                      |                                       | 1100 SHS NOVARTIS A G SPON ADR<br>PROPERTY TYPE: SECURITIES<br>64,124.    |                          |                                |                                    | P            | 11/29/2006           | 10/07/2010 |
|                                              |                                       |                                                                           |                          |                                |                                    |              | -279.                |            |
| 18,870.                                      |                                       | 570 SHS VERIZON COMMUNICATIONS<br>PROPERTY TYPE: SECURITIES<br>17,791.    |                          |                                |                                    | P            | 02/20/2008           | 10/07/2010 |
|                                              |                                       |                                                                           |                          |                                |                                    |              | 1,079.               |            |
| 18,509.                                      |                                       | 570 SHS VERIZON COMMUNICATIONS<br>PROPERTY TYPE: SECURITIES<br>17,791.    |                          |                                |                                    | P            | 02/20/2008           | 10/12/2010 |
|                                              |                                       |                                                                           |                          |                                |                                    |              | 718.                 |            |
| 36,365.                                      |                                       | 1100 SHS VESTAS WIND SYSTEMS<br>PROPERTY TYPE: SECURITIES<br>50,147.      |                          |                                |                                    | P            | 05/25/2010           | 10/27/2010 |
|                                              |                                       |                                                                           |                          |                                |                                    |              | -13,782.             |            |
| 1,136,719.                                   |                                       | 130208.333 EATON VANCE SR LOAN<br>PROPERTY TYPE: SECURITIES<br>1,000,000. |                          |                                |                                    | P            | 05/29/2009           | 07/01/2010 |
|                                              |                                       |                                                                           |                          |                                |                                    |              | 136,719.             |            |
| 4,013.                                       |                                       | 459.662 SHS EATON VANCE SR LN<br>PROPERTY TYPE: SECURITIES<br>3,668.      |                          |                                |                                    | P            | 06/30/2009           | 07/01/2010 |
|                                              |                                       |                                                                           |                          |                                |                                    |              | 345.                 |            |
| 3,881.                                       |                                       | 444.633 SHS EATON VANCE SR LN<br>PROPERTY TYPE: SECURITIES<br>3,659.      |                          |                                |                                    | P            | 07/31/2009           | 07/01/2010 |
|                                              |                                       |                                                                           |                          |                                |                                    |              | 222.                 |            |
| 3,976.                                       |                                       | 455.427 SHS EATON VANCE SR LN<br>PROPERTY TYPE: SECURITIES<br>3,794.      |                          |                                |                                    | P            | 08/31/2009           | 07/01/2010 |
|                                              |                                       |                                                                           |                          |                                |                                    |              | 182.                 |            |

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**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                                       |                          |                                |                                    | P<br>or<br>D | Date<br>acquired     | Date sold  |
|----------------------------------------------|---------------------------------------|-----------------------------------------------------------------------------------|--------------------------|--------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                                         | FMV<br>as of<br>12/31/69 | Adj basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |            |
| 3,987.                                       |                                       | 456.691 SHS EATON VANCE SR LN<br>PROPERTY TYPE: SECURITIES<br>3,873.              |                          |                                |                                    | P            | 09/30/2009<br>114.   | 07/01/2010 |
| 3,926.                                       |                                       | 449.809 SHS EATON VANCE SR LN<br>PROPERTY TYPE: SECURITIES<br>3,823.              |                          |                                |                                    | P            | 10/30/2009<br>103.   | 07/01/2010 |
| 3,842.                                       |                                       | 440.071 SHS EATON VANCE SR LN<br>PROPERTY TYPE: SECURITIES<br>3,719.              |                          |                                |                                    | P            | 11/30/2009<br>123.   | 07/01/2010 |
| 3,751.                                       |                                       | 429.62 SHS EATON VANCE SR LOAN<br>PROPERTY TYPE: SECURITIES<br>3,699.             |                          |                                |                                    | P            | 12/31/2009<br>52.    | 07/01/2010 |
| 3,804.                                       |                                       | 435.78 SHS EATON VANCE SR LOAN<br>PROPERTY TYPE: SECURITIES<br>3,817.             |                          |                                |                                    | P            | 01/29/2010<br>-13.   | 07/01/2010 |
| 3,333.                                       |                                       | 381.774 SHS EATON VANCE SR LN<br>PROPERTY TYPE: SECURITIES<br>3,344.              |                          |                                |                                    | P            | 02/26/2010<br>-11.   | 07/01/2010 |
| 3,538.                                       |                                       | 405.317 SHS EATON VANCE SR LN<br>PROPERTY TYPE: SECURITIES<br>3,607.              |                          |                                |                                    | P            | 03/31/2010<br>-69.   | 07/01/2010 |
| 3,483.                                       |                                       | 398.972 SHS EATON VANCE SR LN<br>PROPERTY TYPE: SECURITIES<br>3,583.              |                          |                                |                                    | P            | 04/30/2010<br>-100.  | 07/01/2010 |
| 3,821.                                       |                                       | 437.669 SHS EATON VANCE SR LN<br>PROPERTY TYPE: SECURITIES<br>3,851.              |                          |                                |                                    | P            | 05/28/2010<br>-30.   | 07/01/2010 |
| 421,942.                                     |                                       | BOSTON TRUST & INV MGMT - SCHEDULE ATTAC<br>PROPERTY TYPE: SECURITIES<br>258,574. |                          |                                |                                    | P            | VAR<br>163,368.      | VAR        |
| 79,056.                                      |                                       | BOSTON TRUST & INV MGMT - SCHEDULE ATTAC<br>PROPERTY TYPE: SECURITIES<br>59,457.  |                          |                                |                                    | P            | VAR<br>19,599.       | VAR        |
| 28,606.                                      |                                       | 1385 SHS SUNPOWER CORP CL B<br>PROPERTY TYPE: SECURITIES<br>28,937.               |                          |                                |                                    | P            | 04/08/2010<br>-331.  | 05/24/2011 |



**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                         |                          |                                |                                    | P<br>or<br>D | Date<br>acquired     | Date sold             |
|----------------------------------------------|---------------------------------------|---------------------------------------------------------------------|--------------------------|--------------------------------|------------------------------------|--------------|----------------------|-----------------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                           | FMV<br>as of<br>12/31/69 | Adj basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |                       |
| 1,034.                                       |                                       | 50 SHS SUNPOWER CORP CL B<br>PROPERTY TYPE: SECURITIES<br>834.      |                          |                                |                                    | P            | 04/08/2010           | 05/25/2011<br>200.    |
| 11,487.                                      |                                       | 555 SHS SUNPOWER CORP CL B<br>PROPERTY TYPE: SECURITIES<br>6,297.   |                          |                                |                                    | P            | 06/04/2010           | 05/27/2011<br>5,190.  |
| 15,979.                                      |                                       | 770 SHS SUNPOWER CORP CL B<br>PROPERTY TYPE: SECURITIES<br>8,736.   |                          |                                |                                    | P            | 06/04/2010           | 05/31/2011<br>7,243.  |
| 21,600.                                      |                                       | 400 SHS EMERSON ELECTRIC CO<br>PROPERTY TYPE: SECURITIES<br>13,702. |                          |                                |                                    | P            | 08/18/2009           | 05/24/2011<br>7,898.  |
| 33,316.                                      |                                       | 600 SHS UNIT CORPORATION<br>PROPERTY TYPE: SECURITIES<br>24,053.    |                          |                                |                                    | P            | 10/17/2008           | 05/24/2011<br>9,263.  |
| 8,416.                                       |                                       | 100 SHS MCKESSON CORP<br>PROPERTY TYPE: SECURITIES<br>3,429.        |                          |                                |                                    | P            | 12/10/2008           | 05/24/2011<br>4,987.  |
| 62,187.                                      |                                       | 920 SHS HUBBELL INC<br>PROPERTY TYPE: SECURITIES<br>40,481.         |                          |                                |                                    | P            | 06/16/2010           | 03/08/2011<br>21,706. |
| 45,248.                                      |                                       | 4450 SHS HUDSON CITY<br>PROPERTY TYPE: SECURITIES<br>48,404.        |                          |                                |                                    | P            | 02/08/2011           | 03/07/2011<br>-3,156. |
| 44,310.                                      |                                       | 1020 SHS J CREW<br>PROPERTY TYPE: SECURITIES<br>34,519.             |                          |                                |                                    | P            | 09/17/2010           | 02/17/2011<br>9,791.  |
| 30,542.                                      |                                       | 1700 SHS STR HOLDINGS<br>PROPERTY TYPE: SECURITIES<br>33,078.       |                          |                                |                                    | P            | 02/08/2011           | 03/18/2011<br>-2,536. |
| 8,973.                                       |                                       | 500 SHS STR HOLDINGS<br>PROPERTY TYPE: SECURITIES<br>9,722.         |                          |                                |                                    | P            | 02/09/2011           | 03/18/2011<br>-749.   |
| 33,562.                                      |                                       | 1200 SHS TRINA SOLAR LTD<br>PROPERTY TYPE: SECURITIES<br>20,046.    |                          |                                |                                    | P            | 05/25/2010           | 01/24/2011<br>13,516. |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                            |                          |                                |                                    | P<br>or<br>D | Date<br>acquired     | Date sold  |
|----------------------------------------------|---------------------------------------|------------------------------------------------------------------------|--------------------------|--------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                              | FMV<br>as of<br>12/31/69 | Adj basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |            |
| 21,280.                                      |                                       | 575 SHS VERIZON COMMUNICATIONS<br>PROPERTY TYPE: SECURITIES<br>21,485. |                          |                                |                                    | P            | 02/20/2008           | 01/10/2011 |
|                                              |                                       |                                                                        |                          |                                |                                    |              | -205.                |            |
| 84,021.                                      |                                       | 1175 SHS BIOGEN IDEC INC<br>PROPERTY TYPE: SECURITIES<br>74,457.       |                          |                                |                                    | P            | 04/29/2008           | 03/08/2011 |
|                                              |                                       |                                                                        |                          |                                |                                    |              | 9,564.               |            |
| 27,859.                                      |                                       | 455 SHS CLOROX<br>PROPERTY TYPE: SECURITIES<br>28,101.                 |                          |                                |                                    | P            | 08/02/2007           | 01/06/2011 |
|                                              |                                       |                                                                        |                          |                                |                                    |              | -242.                |            |
| 65,810.                                      |                                       | 1075 SHS CLOROX<br>PROPERTY TYPE: SECURITIES<br>61,502.                |                          |                                |                                    | P            | 08/02/2007           | 01/06/2011 |
|                                              |                                       |                                                                        |                          |                                |                                    |              | 4,308.               |            |
| 37,021.                                      |                                       | 580 SHS ITRON INC<br>PROPERTY TYPE: SECURITIES<br>52,685.              |                          |                                |                                    | P            | 09/18/2008           | 02/18/2011 |
|                                              |                                       |                                                                        |                          |                                |                                    |              | -15,664.             |            |
| 12,771.                                      |                                       | 200 SHS ITRON INC<br>PROPERTY TYPE: SECURITIES<br>18,176.              |                          |                                |                                    | P            | 09/18/2008           | 02/18/2011 |
|                                              |                                       |                                                                        |                          |                                |                                    |              | -5,405.              |            |
| 6,057.                                       |                                       | 95 SHS ITRON INC<br>PROPERTY TYPE: SECURITIES<br>4,996.                |                          |                                |                                    | P            | 11/04/2008           | 02/18/2011 |
|                                              |                                       |                                                                        |                          |                                |                                    |              | 1,061.               |            |
| 17,376.                                      |                                       | 200 SHS KYOCERA LTD<br>PROPERTY TYPE: SECURITIES<br>13,182.            |                          |                                |                                    | P            | 10/14/2008           | 03/21/2011 |
|                                              |                                       |                                                                        |                          |                                |                                    |              | 4,194.               |            |
| 29,996.                                      |                                       | 760 SHS MEDTRONIC INC<br>PROPERTY TYPE: SECURITIES<br>28,148.          |                          |                                |                                    | P            | 12/10/1999           | 02/18/2011 |
|                                              |                                       |                                                                        |                          |                                |                                    |              | 1,848.               |            |
| 27,628.                                      |                                       | 700 SHS MEDTRONIC INC<br>PROPERTY TYPE: SECURITIES<br>34,135.          |                          |                                |                                    | P            | 04/05/2007           | 02/18/2011 |
|                                              |                                       |                                                                        |                          |                                |                                    |              | -6,507.              |            |
| 28,407.                                      |                                       | 720 SHS MEDTRONIC INC<br>PROPERTY TYPE: SECURITIES<br>23,176.          |                          |                                |                                    | P            | 11/18/2008           | 02/18/2011 |
|                                              |                                       |                                                                        |                          |                                |                                    |              | 5,231.               |            |
| 21,821.                                      |                                       | 550 SHS MEDTRONIC INC<br>PROPERTY TYPE: SECURITIES<br>17,704.          |                          |                                |                                    | P            | 11/18/2008           | 02/18/2011 |
|                                              |                                       |                                                                        |                          |                                |                                    |              | 4,117.               |            |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                            |                          |                                |                                    | P<br>or<br>D | Date<br>acquired     | Date sold  |
|----------------------------------------------|---------------------------------------|------------------------------------------------------------------------|--------------------------|--------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                              | FMV<br>as of<br>12/31/69 | Adj basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |            |
| 51,056.                                      |                                       | 3500 SHS MEMC ELEC MATERIALS<br>PROPERTY TYPE: SECURITIES<br>41,933.   |                          |                                |                                    | P            | 02/04/2010           | 02/22/2011 |
|                                              |                                       |                                                                        |                          |                                |                                    |              | 9,123.               |            |
| 71,810.                                      |                                       | 775 SHS 3M COMPANY<br>PROPERTY TYPE: SECURITIES<br>56,010.             |                          |                                |                                    | P            | 08/11/2005           | 02/24/2011 |
|                                              |                                       |                                                                        |                          |                                |                                    |              | 15,800.              |            |
| 41,685.                                      |                                       | 450 SHS 3M COMPANY<br>PROPERTY TYPE: SECURITIES<br>34,291.             |                          |                                |                                    | P            | 04/05/2007           | 02/24/2011 |
|                                              |                                       |                                                                        |                          |                                |                                    |              | 7,394.               |            |
| 16,915.                                      |                                       | 980 SHS ROTH & RAU<br>PROPERTY TYPE: SECURITIES<br>76,116.             |                          |                                |                                    | P            | 03/17/2008           | 01/07/2011 |
|                                              |                                       |                                                                        |                          |                                |                                    |              | -59,201.             |            |
| 16,109.                                      |                                       | 940 SHS ROTH & RAU<br>PROPERTY TYPE: SECURITIES<br>21,661.             |                          |                                |                                    | P            | 04/17/2008           | 01/07/2011 |
|                                              |                                       |                                                                        |                          |                                |                                    |              | -5,552.              |            |
| 15,532.                                      |                                       | 300 SHS RESEARCH IN MOTION LTD<br>PROPERTY TYPE: SECURITIES<br>19,437. |                          |                                |                                    | P            | 12/16/2009           | 08/06/2010 |
|                                              |                                       |                                                                        |                          |                                |                                    |              | -3,905.              |            |
| 10,354.                                      |                                       | 200 SHS RESEARCH IN MOTION LTD<br>PROPERTY TYPE: SECURITIES<br>12,868. |                          |                                |                                    | P            | 01/21/2010           | 08/06/2010 |
|                                              |                                       |                                                                        |                          |                                |                                    |              | -2,514.              |            |
| 6,507.                                       |                                       | 500 SHS SYMANTEC CORP<br>PROPERTY TYPE: SECURITIES<br>7,482.           |                          |                                |                                    | P            | 08/18/2009           | 08/06/2010 |
|                                              |                                       |                                                                        |                          |                                |                                    |              | -975.                |            |
| 13,014.                                      |                                       | 1000 SHS SYMANTEC CORP<br>PROPERTY TYPE: SECURITIES<br>14,331.         |                          |                                |                                    | P            | 03/24/2009           | 08/06/2010 |
|                                              |                                       |                                                                        |                          |                                |                                    |              | -1,317.              |            |
| 2,603.                                       |                                       | 200 SHS SYMANTEC CORP<br>PROPERTY TYPE: SECURITIES<br>3,227.           |                          |                                |                                    | P            | 04/08/2009           | 08/06/2010 |
|                                              |                                       |                                                                        |                          |                                |                                    |              | -624.                |            |
| 5,205.                                       |                                       | 400 SHS SYMANTEC CORP<br>PROPERTY TYPE: SECURITIES<br>5,970.           |                          |                                |                                    | P            | 05/19/2009           | 08/06/2010 |
|                                              |                                       |                                                                        |                          |                                |                                    |              | -765.                |            |
| 10,411.                                      |                                       | 800 SHS SYMANTEC CORP<br>PROPERTY TYPE: SECURITIES<br>12,412.          |                          |                                |                                    | P            | 07/10/2009           | 08/06/2010 |
|                                              |                                       |                                                                        |                          |                                |                                    |              | -2,001.              |            |

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| Kind of Property                       |                                | Description                                                            |                    |                          |                              | Date acquired       | Date sold  |
|----------------------------------------|--------------------------------|------------------------------------------------------------------------|--------------------|--------------------------|------------------------------|---------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                    | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss)      |            |
| 17,332.                                |                                | 200 SHS M&T BANK CORP<br>PROPERTY TYPE: SECURITIES<br>10,117.          |                    |                          |                              | P 05/19/2009 7,215. | 08/06/2010 |
| 20.                                    |                                | L'AIR LIQUIDE - ADR<br>PROPERTY TYPE: SECURITIES<br>22.                |                    |                          |                              | P VAR -2.           | 07/06/2010 |
| 28,119.                                |                                | 735 SHS CANON INC ADR<br>PROPERTY TYPE: SECURITIES<br>27,766.          |                    |                          |                              | P VAR 353.          | 07/20/2010 |
| 12,689.                                |                                | 100 SHS SMA SOLAR TECHNOLOGY<br>PROPERTY TYPE: SECURITIES<br>12,991.   |                    |                          |                              | P VAR -302.         | 07/28/2010 |
| 21,088.                                |                                | 545 SHS YARA INT'L ASA<br>PROPERTY TYPE: SECURITIES<br>14,737.         |                    |                          |                              | P VAR 6,351.        | 08/24/2010 |
| 25,417.                                |                                | 310 SHS LONZA GROUP AG<br>PROPERTY TYPE: SECURITIES<br>27,595.         |                    |                          |                              | P VAR -2,178.       | 08/24/2010 |
| 14,298.                                |                                | 3900 SHS KASIKORNBANK PLC<br>PROPERTY TYPE: SECURITIES<br>8,223.       |                    |                          |                              | P VAR 6,075.        | 09/08/2010 |
| 13,549.                                |                                | 1045 SHS NET SERVICOS DE COMM<br>PROPERTY TYPE: SECURITIES<br>12,049.  |                    |                          |                              | P VAR 1,500.        | 09/20/2010 |
| 35,214.                                |                                | 2735 SHS NET SERVICOS DE COMM<br>PROPERTY TYPE: SECURITIES<br>26,968.  |                    |                          |                              | P VAR 8,246.        | 09/21/2010 |
| 14,261.                                |                                | 145 SHS NEW ORIENTAL ED & TECH<br>PROPERTY TYPE: SECURITIES<br>11,373. |                    |                          |                              | P VAR 2,888.        | 09/24/2010 |
| 14,602.                                |                                | 220 SHS SOHU COM INC<br>PROPERTY TYPE: SECURITIES<br>13,764.           |                    |                          |                              | P VAR 838.          | 12/21/2010 |
| 2,351.                                 |                                | 2476 SHS HANSEN TRANSMISSIONS<br>PROPERTY TYPE: SECURITIES<br>4,997.   |                    |                          |                              | P VAR -2,646.       | 01/19/2011 |

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**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                            |                          |                                |                                    | P<br>or<br>D | Date<br>acquired     | Date sold  |
|----------------------------------------------|---------------------------------------|------------------------------------------------------------------------|--------------------------|--------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                              | FMV<br>as of<br>12/31/69 | Adj basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |            |
| 6,422.                                       |                                       | 6870 SHS HANSEN TRANSMISSIONS<br>PROPERTY TYPE: SECURITIES<br>10,278.  |                          |                                |                                    | P            | VAR<br>-3,856.       | 01/20/2011 |
| 8,913.                                       |                                       | 247 SHS SONY CORP ADR<br>PROPERTY TYPE: SECURITIES<br>9,031.           |                          |                                |                                    | P            | VAR<br>-118.         | 01/19/2011 |
| 7,805.                                       |                                       | 8270 SHS HANSEN TRANSMISSIONS<br>PROPERTY TYPE: SECURITIES<br>11,010.  |                          |                                |                                    | P            | VAR<br>-3,205.       | 01/21/2011 |
| 4,721.                                       |                                       | 138 SHS SONY CORP ADR<br>PROPERTY TYPE: SECURITIES<br>5,045.           |                          |                                |                                    | P            | VAR<br>-324.         | 01/21/2011 |
| 15,310.                                      |                                       | 1150 SHS TAIWAN SEMICONDUCTOR<br>PROPERTY TYPE: SECURITIES<br>10,142.  |                          |                                |                                    | P            | VAR<br>5,168.        | 01/24/2011 |
| 14,568.                                      |                                       | 865 SHS PEARSON PLC ADR<br>PROPERTY TYPE: SECURITIES<br>9,562.         |                          |                                |                                    | P            | VAR<br>5,006.        | 01/24/2011 |
| 16,781.                                      |                                       | 585 SHS VODAFONE GROUP PLC ADR<br>PROPERTY TYPE: SECURITIES<br>12,025. |                          |                                |                                    | P            | VAR<br>4,756.        | 01/24/2011 |
| 1,736.                                       |                                       | 1854 SHS HANSEN TRANSMISSIONS<br>PROPERTY TYPE: SECURITIES<br>2,468.   |                          |                                |                                    | P            | VAR<br>-732.         | 01/24/2011 |
| 13,602.                                      |                                       | 375 SHS VESTAS WIND SYSTEMS<br>PROPERTY TYPE: SECURITIES<br>21,257.    |                          |                                |                                    | P            | VAR<br>-7,655.       | 01/24/2011 |
| 14,168.                                      |                                       | 250 SHS NOVARTIS AG ADR<br>PROPERTY TYPE: SECURITIES<br>12,907.        |                          |                                |                                    | P            | VAR<br>1,261.        | 02/03/2011 |
| 15,139.                                      |                                       | 345 SHS HONDA MOTOR CO LTD ADR<br>PROPERTY TYPE: SECURITIES<br>11,734. |                          |                                |                                    | P            | VAR<br>3,405.        | 02/15/2011 |
| 15,262.                                      |                                       | 520 SHS UNILEVER NV NY SH F<br>PROPERTY TYPE: SECURITIES<br>13,770.    |                          |                                |                                    | P            | VAR<br>1,492.        | 02/15/2011 |

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**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                            |                    |                          |                              | P or D | Date acquired  | Date sold  |
|----------------------------------------|--------------------------------|------------------------------------------------------------------------|--------------------|--------------------------|------------------------------|--------|----------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                    | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis |        | Gain or (loss) |            |
| 39,811.                                |                                | 2280 SHS SK TELECOM CO LTD<br>PROPERTY TYPE: SECURITIES<br>43,303.     |                    |                          |                              | P      | VAR<br>-3,492. | 02/25/2011 |
| 14,817.                                |                                | 570 SHS SUBSEA 7 SA SPON ADR<br>PROPERTY TYPE: SECURITIES<br>5,822.    |                    |                          |                              | P      | VAR<br>8,995.  | 03/04/2011 |
| 15,350.                                |                                | 630 SHS ABB LTD ADR<br>PROPERTY TYPE: SECURITIES<br>13,534.            |                    |                          |                              | P      | VAR<br>1,816.  | 03/04/2011 |
| 11,289.                                |                                | 635 SHS CEMIG SA-SPONS ADR<br>PROPERTY TYPE: SECURITIES<br>8,102.      |                    |                          |                              | P      | VAR<br>3,187.  | 03/15/2011 |
| 15,472.                                |                                | 610 SHS L'AIR LIQUIDE ADR<br>PROPERTY TYPE: SECURITIES<br>11,570.      |                    |                          |                              | P      | VAR<br>3,902.  | 03/18/2011 |
| 13,468.                                |                                | 225 SHS JOHNSON MATTHEY PLC<br>PROPERTY TYPE: SECURITIES<br>8,030.     |                    |                          |                              | P      | VAR<br>5,438.  | 03/18/2011 |
| 15,170.                                |                                | 155 SHS SONOVA HOLDINGS<br>PROPERTY TYPE: SECURITIES<br>9,087.         |                    |                          |                              | P      | VAR<br>6,083.  | 03/25/2011 |
| 1,248.                                 |                                | 29 SHS JULIUS BAER GROUP LTD<br>PROPERTY TYPE: SECURITIES<br>1,116.    |                    |                          |                              | P      | VAR<br>132.    | 04/04/2011 |
| 15,751.                                |                                | 505 SHS VEOLIA ENVIRONMENT ADR<br>PROPERTY TYPE: SECURITIES<br>14,264. |                    |                          |                              | P      | VAR<br>1,487.  | 04/04/2011 |
| 12,069.                                |                                | 281 SHS JULIUS BAER GROUP LTD<br>PROPERTY TYPE: SECURITIES<br>10,813.  |                    |                          |                              | P      | VAR<br>1,256.  | 04/05/2011 |
| 2.                                     |                                | NOVARTIS AG ADR<br>PROPERTY TYPE: SECURITIES<br>2.                     |                    |                          |                              | P      | VAR            | 04/13/2011 |
| 15,682.                                |                                | 240 SHS SAP AG ADR<br>PROPERTY TYPE: SECURITIES<br>9,163.              |                    |                          |                              | P      | VAR<br>6,519.  | 04/20/2011 |

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**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                           |                          |                                 |                                     | P<br>or<br>D | Date<br>acquired     | Date sold  |
|----------------------------------------------|---------------------------------------|-----------------------------------------------------------------------|--------------------------|---------------------------------|-------------------------------------|--------------|----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                             | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj. basis |              | Gain<br>or<br>(loss) |            |
| 27,704.                                      |                                       | 1205 SHS GRUPO TELEVISA SA<br>PROPERTY TYPE: SECURITIES<br>25,057.    |                          |                                 |                                     | P            | VAR<br>2,647.        | 04/20/2011 |
| 1,990.                                       |                                       | 63 SHS VEOLIA ENVIRONMENT ADR<br>PROPERTY TYPE: SECURITIES<br>1,702.  |                          |                                 |                                     | P            | VAR<br>288.          | 04/20/2011 |
| 38,818.                                      |                                       | 1232 SHS VEOLIA ENVIRONMENT<br>PROPERTY TYPE: SECURITIES<br>33,274.   |                          |                                 |                                     | P            | VAR<br>5,544.        | 04/21/2011 |
| 17,667.                                      |                                       | 150 SHS NEW ORIENTAL ED & TECH<br>PROPERTY TYPE: SECURITIES<br>8,855. |                          |                                 |                                     | P            | VAR<br>8,812.        | 05/04/2011 |
| 4,220.                                       |                                       | 75 SHS HENKEL KGAA-SPONS ADR<br>PROPERTY TYPE: SECURITIES<br>3,449.   |                          |                                 |                                     | P            | VAR<br>771.          | 05/23/2011 |
| 4,477.                                       |                                       | 79 SHS HENKEL KGAA-SPONS ADR<br>PROPERTY TYPE: SECURITIES<br>2,326.   |                          |                                 |                                     | P            | VAR<br>2,151.        | 05/24/2011 |
| 2,585.                                       |                                       | 46 SHS HENKEL KGAA-SPONS ADR<br>PROPERTY TYPE: SECURITIES<br>1,244.   |                          |                                 |                                     | P            | VAR<br>1,341.        | 05/25/2011 |
| 16,044.                                      |                                       | 235 SHS JOHNSON MATTHEY PLC<br>PROPERTY TYPE: SECURITIES<br>7,325.    |                          |                                 |                                     | P            | VAR<br>8,719.        | 06/01/2011 |
| 10,879.                                      |                                       | 485 SHS AUSTRALIA & NZ BKG GR<br>PROPERTY TYPE: SECURITIES<br>7,541.  |                          |                                 |                                     | P            | VAR<br>3,338.        | 06/16/2011 |
| 2,458.                                       |                                       | 292 UTS STANDARD CHARTERED PLC<br>PROPERTY TYPE: SECURITIES<br>0.     |                          |                                 |                                     | P            | VAR<br>2,458.        | 11/01/2010 |
| 3,597.                                       |                                       | 55 SHS ADIDAS AG<br>PROPERTY TYPE: SECURITIES<br>3,406.               |                          |                                 |                                     | P            | VAR<br>191.          | 11/08/2010 |
| 588.                                         |                                       | 5 SHS SMA SOLAR TECHNOLOGY AG<br>PROPERTY TYPE: SECURITIES<br>640.    |                          |                                 |                                     | P            | VAR<br>-52.          | 11/08/2010 |

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| Kind of Property                             |                                       | Description                                                           |                          |                                |                                    | P<br>or<br>D | Date<br>acquired     | Date sold  |
|----------------------------------------------|---------------------------------------|-----------------------------------------------------------------------|--------------------------|--------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                             | FMV<br>as of<br>12/31/69 | Adj basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |            |
| 3,043.                                       |                                       | 25 SHS VOSSLOH AG<br>PROPERTY TYPE: SECURITIES<br>3,172.              |                          |                                |                                    | P            | VAR<br>-129.         | 11/08/2010 |
| 4,371.                                       |                                       | 105 SHS JULIUS BAER GROUP LTD<br>PROPERTY TYPE: SECURITIES<br>4,041.  |                          |                                |                                    | P            | VAR<br>330.          | 11/08/2010 |
| 1,905.                                       |                                       | 20 SHS CASINO GUICHARD PARRACH<br>PROPERTY TYPE: SECURITIES<br>1,849. |                          |                                |                                    | P            | VAR<br>56.           | 11/08/2010 |
| 1,210.                                       |                                       | 1460 SHS HANSEN TRANSMISSIONS<br>PROPERTY TYPE: SECURITIES<br>3,014.  |                          |                                |                                    | P            | VAR<br>-1,804.       | 11/08/2010 |
| 3,091.                                       |                                       | 25 SHS SONOVA HOLDINGS<br>PROPERTY TYPE: SECURITIES<br>3,168.         |                          |                                |                                    | P            | VAR<br>-77.          | 11/08/2010 |
| 5,148.                                       |                                       | 165 SHS STANDARD CHARTERED PLC<br>PROPERTY TYPE: SECURITIES<br>4,584. |                          |                                |                                    | P            | VAR<br>564.          | 11/08/2010 |
| 15,312.                                      |                                       | 100 SHS FANUC LTD<br>PROPERTY TYPE: SECURITIES<br>6,928.              |                          |                                |                                    | P            | VAR<br>8,384.        | 11/09/2010 |
| 3,302.                                       |                                       | 615 SHS AMP LIMITED<br>PROPERTY TYPE: SECURITIES<br>3,632.            |                          |                                |                                    | P            | VAR<br>-330.         | 11/09/2010 |
| 4,704.                                       |                                       | 300 SHS HANG SENG BANK LTD<br>PROPERTY TYPE: SECURITIES<br>4,411.     |                          |                                |                                    | P            | VAR<br>293.          | 11/09/2010 |
| 6,103.                                       |                                       | 285 SHS ABB LTD ADR<br>PROPERTY TYPE: SECURITIES<br>6,259.            |                          |                                |                                    | P            | VAR<br>-156.         | 11/08/2010 |
| 4,418.                                       |                                       | 200 SHS ACERGY SA SPONS ADR<br>PROPERTY TYPE: SECURITIES<br>3,866.    |                          |                                |                                    | P            | VAR<br>552.          | 11/08/2010 |
| 1,660.                                       |                                       | 10 SHS ALCON INC<br>PROPERTY TYPE: SECURITIES<br>1,602.               |                          |                                |                                    | P            | VAR<br>58.           | 11/08/2010 |



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| Kind of Property                             |                                       | Description                                                          |                          |                                |                                    | P<br>or<br>D | Date<br>acquired     | Date sold  |
|----------------------------------------------|---------------------------------------|----------------------------------------------------------------------|--------------------------|--------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                            | FMV<br>as of<br>12/31/69 | Adj basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |            |
| 7,381.                                       |                                       | 280 SHS L'AIR LIQUIDE ADR<br>PROPERTY TYPE: SECURITIES<br>6,232.     |                          |                                |                                    | P            | VAR<br>1,149.        | 11/08/2010 |
| 3,534.                                       |                                       | 60 SHS AMER MOVIL SA DE CV<br>PROPERTY TYPE: SECURITIES<br>3,034.    |                          |                                |                                    | P            | VAR<br>500.          | 11/08/2010 |
| 4,602.                                       |                                       | 190 SHS AUSTRALIA & NZ BKG GR<br>PROPERTY TYPE: SECURITIES<br>4,459. |                          |                                |                                    | P            | VAR<br>143.          | 11/08/2010 |
| 2,671.                                       |                                       | 80 SHS ASML HOLDING NV<br>PROPERTY TYPE: SECURITIES<br>2,463.        |                          |                                |                                    | P            | VAR<br>208.          | 11/08/2010 |
| 3,109.                                       |                                       | 165 SHS AXA ADR<br>PROPERTY TYPE: SECURITIES<br>3,667.               |                          |                                |                                    | P            | VAR<br>-558.         | 11/08/2010 |
| 3,758.                                       |                                       | 210 SHS CEMIG SA SPONS ADR<br>PROPERTY TYPE: SECURITIES<br>3,205.    |                          |                                |                                    | P            | VAR<br>553.          | 11/08/2010 |
| 3,102.                                       |                                       | 30 SHS NEW ORIENTAL ED & TECH<br>PROPERTY TYPE: SECURITIES<br>2,103. |                          |                                |                                    | P            | VAR<br>999.          | 11/08/2010 |
| 5,054.                                       |                                       | 80 SHS JOHNSON MATTHEY PLC<br>PROPERTY TYPE: SECURITIES<br>4,302.    |                          |                                |                                    | P            | VAR<br>752.          | 11/08/2010 |
| 4,731.                                       |                                       | 85 SHS HSBC HOLDINGS PLC ADR<br>PROPERTY TYPE: SECURITIES<br>4,848.  |                          |                                |                                    | P            | VAR<br>-117.         | 11/08/2010 |
| 6,356.                                       |                                       | 130 SHS HENKEL KGAA SPONS ADR<br>PROPERTY TYPE: SECURITIES<br>5,977. |                          |                                |                                    | P            | VAR<br>379.          | 11/08/2010 |
| 3,366.                                       |                                       | 70 SHS KUBOTA CORP-SPONS ADR<br>PROPERTY TYPE: SECURITIES<br>3,203.  |                          |                                |                                    | P            | VAR<br>163.          | 11/08/2010 |
| 3,998.                                       |                                       | 110 SHS HONDA MOTOR CO LTD<br>PROPERTY TYPE: SECURITIES<br>3,878.    |                          |                                |                                    | P            | VAR<br>120.          | 11/08/2010 |

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**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                           |                          |                                |                                    | P<br>or<br>D | Date<br>acquired     | Date sold  |
|----------------------------------------------|---------------------------------------|-----------------------------------------------------------------------|--------------------------|--------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                             | FMV<br>as of<br>12/31/69 | Adj basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |            |
| 3,764.                                       |                                       | 65 SHS NOVARTIS AG ADR<br>PROPERTY TYPE: SECURITIES<br>3,398.         |                          |                                |                                    | P            | VAR<br>366.          | 11/08/2010 |
| 4,116.                                       |                                       | 130 SHS KONINKLIJKE PHILIPS<br>PROPERTY TYPE: SECURITIES<br>4,226.    |                          |                                |                                    | P            | VAR<br>-110.         | 11/08/2010 |
| 4,550.                                       |                                       | 290 SHS PEARSON PLC ADR<br>PROPERTY TYPE: SECURITIES<br>4,468.        |                          |                                |                                    | P            | VAR<br>82.           | 11/08/2010 |
| 4,806.                                       |                                       | 130 SHS ROCHE HOLDINGS LTD ADR<br>PROPERTY TYPE: SECURITIES<br>5,206. |                          |                                |                                    | P            | VAR<br>-400.         | 11/08/2010 |
| 4,155.                                       |                                       | 80 SHS SAP AG ADR<br>PROPERTY TYPE: SECURITIES<br>3,055.              |                          |                                |                                    | P            | VAR<br>1,100.        | 11/08/2010 |
| 3,282.                                       |                                       | 175 SHS SK TELECOM CO LTD ADR<br>PROPERTY TYPE: SECURITIES<br>3,354.  |                          |                                |                                    | P            | VAR<br>-72.          | 11/08/2010 |
| 3,662.                                       |                                       | 215 SHS SIMS METAL MGMT LTD<br>PROPERTY TYPE: SECURITIES<br>4,475.    |                          |                                |                                    | P            | VAR<br>-813.         | 11/08/2010 |
| 3,861.                                       |                                       | 115 SHS SONY CORP ADR<br>PROPERTY TYPE: SECURITIES<br>4,205.          |                          |                                |                                    | P            | VAR<br>-344.         | 11/08/2010 |
| 2,833.                                       |                                       | 60 SHS SMITH & NEPHEW PLC ADR<br>PROPERTY TYPE: SECURITIES<br>3,083.  |                          |                                |                                    | P            | VAR<br>-250.         | 11/08/2010 |
| 3,583.                                       |                                       | 45 SHS SOHU COM INC<br>PROPERTY TYPE: SECURITIES<br>2,815.            |                          |                                |                                    | P            | VAR<br>768.          | 11/08/2010 |
| 2,696.                                       |                                       | 150 SHS SCOTTISH SO ENERGY<br>PROPERTY TYPE: SECURITIES<br>3,170.     |                          |                                |                                    | P            | VAR<br>-474.         | 11/08/2010 |
| 3,250.                                       |                                       | 275 SHS BANCO SANTANDER CENT<br>PROPERTY TYPE: SECURITIES<br>3,754.   |                          |                                |                                    | P            | VAR<br>-504.         | 11/08/2010 |

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| Kind of Property                             |                                       | Description                                                           |                          |                                |                                    | P<br>or<br>D | Date<br>acquired     | Date sold  |
|----------------------------------------------|---------------------------------------|-----------------------------------------------------------------------|--------------------------|--------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                             | FMV<br>as of<br>12/31/69 | Adj basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |            |
| 3,724.                                       |                                       | 175 SHS STATOIL ASA ADR<br>PROPERTY TYPE: SECURITIES<br>3,455.        |                          |                                |                                    | P            | VAR                  | 11/08/2010 |
|                                              |                                       |                                                                       |                          |                                |                                    |              | 269.                 |            |
| 4,261.                                       |                                       | 95 SHS TENARIS SA SPONS ADR<br>PROPERTY TYPE: SECURITIES<br>4,199.    |                          |                                |                                    | P            | VAR                  | 11/08/2010 |
|                                              |                                       |                                                                       |                          |                                |                                    |              | 62.                  |            |
| 4,070.                                       |                                       | 370 SHS TAIWAN SEMICONDUCTOR<br>PROPERTY TYPE: SECURITIES<br>3,944.   |                          |                                |                                    | P            | VAR                  | 11/08/2010 |
|                                              |                                       |                                                                       |                          |                                |                                    |              | 126.                 |            |
| 1,975.                                       |                                       | 85 SHS GRUPO TELEVISIA SA<br>PROPERTY TYPE: SECURITIES<br>1,798.      |                          |                                |                                    | P            | VAR                  | 11/08/2010 |
|                                              |                                       |                                                                       |                          |                                |                                    |              | 177.                 |            |
| 5,347.                                       |                                       | 170 SHS UNILEVER NV NY SH F<br>PROPERTY TYPE: SECURITIES<br>5,221.    |                          |                                |                                    | P            | VAR                  | 11/08/2010 |
|                                              |                                       |                                                                       |                          |                                |                                    |              | 126.                 |            |
| 3,834.                                       |                                       | 130 SHS VEOLIA ENVIRONMENT ADR<br>PROPERTY TYPE: SECURITIES<br>4,526. |                          |                                |                                    | P            | VAR                  | 11/08/2010 |
|                                              |                                       |                                                                       |                          |                                |                                    |              | -692.                |            |
| 7,646.                                       |                                       | 270 SHS VODAFONE GROUP PLC<br>PROPERTY TYPE: SECURITIES<br>6,218.     |                          |                                |                                    | P            | VAR                  | 11/08/2010 |
|                                              |                                       |                                                                       |                          |                                |                                    |              | 1,428.               |            |
| 1,769.                                       |                                       | 55 SHS VESTAS WIND SYSTEMS<br>PROPERTY TYPE: SECURITIES<br>3,222.     |                          |                                |                                    | P            | VAR                  | 11/08/2010 |
|                                              |                                       |                                                                       |                          |                                |                                    |              | -1,453.              |            |
| 10,498.                                      |                                       | 200 SHS TERUMO CORPORATION<br>PROPERTY TYPE: SECURITIES<br>9,933.     |                          |                                |                                    | P            | VAR                  | 11/10/2010 |
|                                              |                                       |                                                                       |                          |                                |                                    |              | 565.                 |            |
| 6,698.                                       |                                       | 1500 SHS KASIKORNBANK PLC<br>PROPERTY TYPE: SECURITIES<br>2,370.      |                          |                                |                                    | P            | VAR                  | 11/10/2010 |
|                                              |                                       |                                                                       |                          |                                |                                    |              | 4,328.               |            |
| 931.                                         |                                       | 45 SHS SUNPOWER CORP CL B<br>PROPERTY TYPE: SECURITIES<br>750.        |                          |                                |                                    | P            | 04/08/2010           | 05/27/2011 |
|                                              |                                       |                                                                       |                          |                                |                                    |              | 181.                 |            |
| 2,043.                                       |                                       | ALCON/NOVARTIS AG MERGER<br>PROPERTY TYPE: SECURITIES<br>0.           |                          |                                |                                    | P            | VAR                  | 04/08/2011 |
|                                              |                                       |                                                                       |                          |                                |                                    |              | 2,043.               |            |
| TOTAL GAIN(LOSS) .....                       |                                       | .....                                                                 |                          |                                |                                    |              | <u>473,752.</u>      |            |