Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation
## or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

**Note.** The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

**2010**

For calendar year 2010, or tax year beginning JUL 1, 2010 , and ending JUN 30, 2011

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

Name of foundation: THE LADD FAMILY FOUNDATION

A Employer identification number: 04-3335235

Number and street (or P O box number if mail is not delivered to street address): 125 CLAYBROOK RD. | Room/suite

B Telephone number: UPON REQUEST

City or town, state, and ZIP code: DOVER, MA 02030

C If exemption application is pending, check here ▶ [ ]

D 1. Foreign organizations, check here ▶ [ ]

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 4,373,508.

J Accounting method [X] Cash [ ] Accrual [ ] Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

**Part I** **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| Revenue | 1 Contributions, gifts, grants, etc., received | | | N/A | |
| | 2 Check ▶ [X] if the foundation is not required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | | | | |
| | 4 Dividends and interest from securities | 80,915. | 80,915. | | STATEMENT 1 |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 157,959. | | | |
| | b Gross sales price for all assets on line 6a 1,436,350. | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 157,959. | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) | | | | |
| | 11 Other income | | | | |
| | 12 **Total.** Add lines 1 through 11 | 238,874. | 238,874. | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc | 0. | 0. | | 0. |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees | | | | |
| | b Accounting fees STMT 2 | 5,600. | 2,800. | | 2,800. |
| | c Other professional fees | | | | |
| | 17 Interest | | | | |
| | 18 Taxes STMT 3 | 33,552. | 95. | | 0. |
| | 19 Depreciation and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses STMT 4 | 13,924. | 13,889. | | 35. |
| | 24 **Total operating and administrative expenses.** Add lines 13 through 23 | 53,076. | 16,784. | | 2,835. |
| | 25 Contributions, gifts, grants paid | 203,400. | | | 203,400. |
| | 26 **Total expenses and disbursements.** Add lines 24 and 25 | 256,476. | 16,784. | | 206,235. |
| | 27 Subtract line 26 from line 12 | | | | |
| | a Excess of revenue over expenses and disbursements | <17,602.> | | | |
| | b **Net investment income** (if negative, enter -0-) | | 222,090. | | |
| | c **Adjusted net income** (if negative, enter -0-) | | | N/A | |

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14220119 714793 05301-01 2010.05041 THE LADD FAMILY FOUNDATION 05301-01

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only

| | | Beginning of year | End of year | |
|---|---|---|---|---|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 Cash - non-interest-bearing | 110,925. | 66,884. | 66,884. |
| | 2 Savings and temporary cash investments | | | |
| | 3 Accounts receivable ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | | |
| | 4 Pledges receivable ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 Other notes and loans receivable ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments - U S and state government obligations | | | |
| | b Investments - corporate stock STMT 5 | 2,600,834. | 2,622,841. | 3,361,555. |
| | c Investments - corporate bonds STMT 6 | 902,533. | 906,965. | 945,069. |
| | 11 Investments - land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation ▶ | | | |
| | 12 Investments - mortgage loans | | | |
| | 13 Investments - other | | | |
| | 14 Land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation ▶ | | | |
| | 15 Other assets (describe ▶ ) | | | |
| | 16 **Total assets** (to be completed by all filers) | 3,614,292. | 3,596,690. | 4,373,508. |
| Liabilities | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable | | | |
| | 22 Other liabilities (describe ▶ ) | | | |
| | 23 **Total liabilities** (add lines 17 through 22) | 0. | 0. | |
| Net Assets or Fund Balances | **Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 Unrestricted | | | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | **Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.** | | | |
| | 27 Capital stock, trust principal, or current funds | 0. | 0. | |
| | 28 Paid-in or capital surplus, or land, bldg , and equipment fund | 0. | 0. | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | 3,614,292. | 3,596,690. | |
| | 30 **Total net assets or fund balances** | 3,614,292. | 3,596,690. | |
| | 31 **Total liabilities and net assets/fund balances** | 3,614,292. | 3,596,690. | |

**Part III Analysis of Changes in Net Assets or Fund Balances**

| | | |
|---|---|---|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 3,614,292. |
| 2 Enter amount from Part I, line 27a | 2 | <17,602.> |
| 3 Other increases not included in line 2 (itemize) ▶ | 3 | 0. |
| 4 Add lines 1, 2, and 3 | 4 | 3,596,690. |
| 5 Decreases not included in line 2 (itemize) ▶ | 5 | 0. |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 3,596,690. |

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## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1a | SEE ATTACHED DETAIL | P | VARIOUS | VARIOUS |
| b | SEE ATTACHED DETAIL | P | VARIOUS | VARIOUS |
| c | LONG-TERM CAPITAL GAIN DIVIDENDS | P | VARIOUS | VARIOUS |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 1,436,350. | | 1,320,459. | 9,490. |
| b | | | | 146,333. |
| c | | | | 2,136. |
| d | | | | |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|---|---|---|
| a | | | | 9,490. |
| b | | | | 146,333. |
| c | | | | 2,136. |
| d | | | | |
| e | | | | |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } **2** 157,959.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter -0- in Part I, line 8 **3** N/A

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2009 | 211,445. | 3,859,287. | .054789 |
| 2008 | 225,000. | 4,071,623. | .055261 |
| 2007 | 270,500. | 4,939,088. | .054767 |
| 2006 | 195,500. | 4,782,111. | .040882 |
| 2005 | 215,000. | 4,476,208. | .048032 |

| | | | |
|---|---|---|---|
| 2 | Total of line 1, column (d) | 2 | .253731 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .050746 |
| 4 | Enter the net value of noncharitable-use assets for 2010 from Part X, line 5 | 4 | 4,173,059. |
| 5 | Multiply line 4 by line 3 | 5 | 211,766. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 2,221. |
| 7 | Add lines 5 and 6 | 7 | 213,987. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 206,235. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | | | |
|---|---|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ______ (attach copy of letter if necessary-see instructions) | | | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b | | | 1 | 4,442. |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | | 2 | 0. |
| 3 | Add lines 1 and 2 | | | 3 | 4,442. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | | 4 | 0. |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- | | | 5 | 4,442. |
| 6 | Credits/Payments | | | | |
| a | 2010 estimated tax payments and 2009 overpayment credited to 2010 | 6a | 5,027. | | |
| b | Exempt foreign organizations - tax withheld at source | 6b | | | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | 1,000. | | |
| d | Backup withholding erroneously withheld | 6d | | | |
| 7 | Total credits and payments Add lines 6a through 6d | | | 7 | 6,027. |
| 8 | Enter any **penalty** for underpayment of estimated tax Check here ☒ if Form 2220 is attached | | | 8 | 33. |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | | | 9 | |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | | | 10 | 1,552. |
| 11 | Enter the amount of line 10 to be **Credited to 2011 estimated tax** ▶ 1,552. | Refunded ▶ | | 11 | 0. |

## Part VII-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? | 1b | | X |
| | *If the answer is "Yes" to* **1a** *or* **1b**, *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0. | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0. | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.* | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.* | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.* | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MA | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | 10 | | X |

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**Part VII-A Statements Regarding Activities** *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ N/A

14 The books are in care of ▶ EDWARD H. LADD Telephone no ▶ .

Located at ▶ 125 CLAYBROOK RD., DOVER, MA ZIP+4 ▶ 02030

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 | N/A

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶ | | | |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | | Yes | No |
|---|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly) | | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? | ☐ Yes ☒ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | ☐ Yes ☒ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) | ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? | N/A | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance check here | ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010? | | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | | |
| a | At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? | ☐ Yes ☒ No | | | |
| | If "Yes," list the years ▶ ____, ____, ____, ____ | | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions ) | N/A | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____ | | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2010 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)* | N/A | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010? | | 4b | | X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

*If "Yes," attach the statement required by Regulations section 53.4945-5(d).*

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

*If "Yes" to 6b, file Form 8870.*

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| SEE STATEMENT 7 | | 0. | 0. | 0. |
| | | | | |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ 0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services ▶ | | 0 |

**Part IX-A Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B Summary of Program-Related Investments**

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 N/A | |
| 2 | |
| All other program-related investments See instructions<br>3 | |
| **Total.** Add lines 1 through 3 ▶ | 0. |

## Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | | |
|---|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes | | | |
| a | Average monthly fair market value of securities | | 1a | 4,139,014. |
| b | Average of monthly cash balances | | 1b | 97,594. |
| c | Fair market value of all other assets | | 1c | |
| d | **Total** (add lines 1a, b, and c) | | 1d | 4,236,608. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e 0. | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | | 2 | 0. |
| 3 | Subtract line 2 from line 1d | | 3 | 4,236,608. |
| 4 | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions) | | 4 | 63,549. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4 | | 5 | 4,173,059. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | | 6 | 208,653. |

## Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part )

| | | | | |
|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | 1 | 208,653. |
| 2a | Tax on investment income for 2010 from Part VI, line 5 | 2a 4,442. | | |
| b | Income tax for 2010 (This does not include the tax from Part VI ) | 2b | | |
| c | Add lines 2a and 2b | | 2c | 4,442. |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | | 3 | 204,211. |
| 4 | Recoveries of amounts treated as qualifying distributions | | 4 | 0. |
| 5 | Add lines 3 and 4 | | 5 | 204,211. |
| 6 | Deduction from distributable amount (see instructions) | | 6 | 0. |
| 7 | **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | | 7 | 204,211. |

## Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes | | |
| a | Expenses, contributions, gifts, etc - total from Part I, column (d), line 26 | 1a | 206,235. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 206,235. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b | 5 | 0. |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 206,235. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

| | (a) Corpus | (b) Years prior to 2009 | (c) 2009 | (d) 2010 |
|---|---|---|---|---|
| 1 Distributable amount for 2010 from Part XI, line 7 | | | | 204,211. |
| 2 Undistributed income, if any, as of the end of 2010 | | | | |
| a Enter amount for 2009 only | | | 146,766. | |
| b Total for prior years ____, ____, ____ | | 0. | | |
| 3 Excess distributions carryover, if any, to 2010 | | | | |
| a From 2005 | | | | |
| b From 2006 | | | | |
| c From 2007 | | | | |
| d From 2008 | | | | |
| e From 2009 | | | | |
| f **Total** of lines 3a through e | 0. | | | |
| 4 Qualifying distributions for 2010 from Part XII, line 4 ▶ $ 206,235. | | | | |
| a Applied to 2009, but not more than line 2a | | | 146,766. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2010 distributable amount | | | | 59,469. |
| e Remaining amount distributed out of corpus | 0. | | | |
| 5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a)) | 0. | | | 0. |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 0. | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see instr | | | 0. | |
| f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011 | | | | 144,742. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) | 0. | | | |
| 8 Excess distributions carryover from 2005 not applied on line 5 or line 7 | 0. | | | |
| 9 **Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a | 0. | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2006 | | | | |
| b Excess from 2007 | | | | |
| c Excess from 2008 | | | | |
| d Excess from 2009 | | | | |
| e Excess from 2010 | | | | |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2010 | (b) 2009 | (c) 2008 | (d) 2007 | (e) Total |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon | | | | | |
| a "Assets" alternative test - enter (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2) )

SEE STATEMENT 8

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| a *Paid during the year* | | | | |
| SEE ATTACHED SCHEDULE | | | GENERAL PURPOSE | 203,400. |
| Total | | | ▶ 3a | 203,400. |
| b *Approved for future payment* | | | | |
| NONE | | | | |
| Total | | | ▶ 3b | 0. |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | | 14 | 80,915. | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 157,959. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e) | | 0. | | 238,874. | 0. |

13 Total. Add line 12, columns (b), (d), and (e) 13 238,874.

(See worksheet in line 13 instructions to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) |
|---|---|
| | |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | *Transfers from the reporting foundation to a noncharitable exempt organization of* | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | N/A | |

2a *Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described* in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| N/A | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 1/24/12 Title: TRUSTEE

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| BARRY N. ESQ. CHAIT | [signature] | 1/20/1[illegible] | | |

Firm's name ▶ PARENT, MCLAUGHLIN & NANGLE — Firm's EIN ▶

Firm's address ▶ 160 FEDERAL STREET, 6TH FL. BOSTON, MA 02110 — Phone no 617-426-9440

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

| SOURCE | GROSS AMOUNT | CAPITAL GAINS DIVIDENDS | COLUMN (A) AMOUNT |
|---|---|---|---|
| CORP AND MUTUAL FUND DIVIDENDS | 80,915. | 0. | 80,915. |
| TOTAL TO FM 990-PF, PART I, LN 4 | 80,915. | 0. | 80,915. |

FORM 990-PF ACCOUNTING FEES STATEMENT 2

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| ACCOUNTING & TAX PREPARATION FEES | 5,600. | 2,800. | | 2,800. |
| TO FORM 990-PF, PG 1, LN 16B | 5,600. | 2,800. | | 2,800. |

FORM 990-PF TAXES STATEMENT 3

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| FOREIGN TAXES PAID | 95. | 95. | | 0. |
| FEDERAL TAXES PAID | 33,457. | 0. | | 0. |
| TO FORM 990-PF, PG 1, LN 18 | 33,552. | 95. | | 0. |

FORM 990-PF OTHER EXPENSES STATEMENT 4

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| BANK SERVICE AND CUSTODIAN CHARGES | 13,889. | 13,889. | | 0. |
| FILING FEE | 35. | 0. | | 35. |
| TO FORM 990-PF, PG 1, LN 23 | 13,924. | 13,889. | | 35. |

FORM 990-PF CORPORATE STOCK STATEMENT 5

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|
| | 2,622,841. | 3,361,555. |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 2,622,841. | 3,361,555. |

FORM 990-PF CORPORATE BONDS STATEMENT 6

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|
| | 906,965. | 945,069. |
| TOTAL TO FORM 990-PF, PART II, LINE 10C | 906,965. | 945,069. |

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 7

| NAME AND ADDRESS | TITLE AND AVRG HRS/WK | COMPEN-SATION | EMPLOYEE BEN PLAN CONTRIB | EXPENSE ACCOUNT |
|---|---|---|---|---|
| BERTHE K. LADD<br>125 CLAYBROOK RD., DOVER MA 02030 | TRUSTEE<br>0.00 | 0. | 0. | 0. |
| EDWARD H. LADD<br>125 CLAYBROOK RD., DOVER MA 02030 | TRUSTEE<br>0.00 | 0. | 0. | 0. |
| ANNE K. LADD<br>P.O. BOX 1230 WILSON WY 83014 | TRUSTEE<br>0.00 | 0. | 0. | 0. |
| LEONARD R. CARLMAN<br>P.O. BOX 1230, WILSON WY 83014 | TRUSTEE<br>0.00 | 0. | 0. | 0. |
| EDWARD L. LADD<br>P.O. BOX 1461, WILSON WY 83014 | TRUSTEE<br>0.00 | 0. | 0. | 0. |

| | | | | |
|---|---|---|---|---|
| LAURA HEWITT LADD<br>P.O. BOX 1461, WILSON WY 83014 | TRUSTEE<br>0.00 | 0. | 0. | 0. |
| TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII | | 0. | 0. | 0. |

FORM 990-PF — PART XV - LINE 1A — LIST OF FOUNDATION MANAGERS — STATEMENT 8

NAME OF MANAGER

BERTHE K. LADD
EDWARD H. LADD
ANNE K. LADD

Form 8868
(Rev. January 2011)
Department of the Treasury
Internal Revenue Service

# Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.

OMB No. 1545-1709

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box ▶ [X]
- If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II (on page 2 of this form).

**Do not complete Part II** unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits*.

## Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ [ ]

*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.*

Type or print

File by the due date for filing your return. See instructions.

Name of exempt organization: THE LADD FAMILY FOUNDATION

Employer identification number: 04-3335235

Number, street, and room or suite no. If a P.O. box, see instructions.: 125 CLAYBROOK RD.

City, town or post office, state, and ZIP code. For a foreign address, see instructions.: DOVER, MA 02030

Enter the Return code for the return that this application is for (file a separate application for each return) [0 4]

| Application Is For | Return Code | Application Is For | Return Code |
|---|---|---|---|
| Form 990 | 01 | Form 990-T (corporation) | 07 |
| Form 990-BL | 02 | Form 1041-A | 08 |
| Form 990-EZ | 03 | Form 4720 | 09 |
| Form 990-PF | 04 | Form 5227 | 10 |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05 | Form 6069 | 11 |
| Form 990-T (trust other than above) | 06 | Form 8870 | 12 |

- The books are in the care of ▶ EDWARD H. LADD
  125 CLAYBROOK RD., DOVER, MA - 02030
  Telephone No. ▶ . FAX No. ▶
- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box ▶ [ ] . If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until FEBRUARY 15, 2012 , to file the exempt organization return for the organization named above. The extension is for the organization's return for:
▶ [ ] calendar year ______ or
▶ [X] tax year beginning JUL 1, 2010 , and ending JUN 30, 2011 .

2 If the tax year entered in line 1 is for less than 12 months, check reason: [ ] Initial return [ ] Final return
[ ] Change in accounting period

| | | | |
|---|---|---|---|
| 3a | If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. | 3a | $ 6,027. |
| b | If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit. | 3b | $ 5,027. |
| c | **Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. | 3c | $ 1,000. |

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Paperwork Reduction Act Notice, see Instructions. Form 8868 (Rev. 1-2011)

023841
01-03-11

**Ladd Family Foundation**
**Contributions**
**June 30, 2011**

| Date | Recipient | Amount |
|---|---|---|
| 9/10/2010 | Grand Teton National Park Foundation<br>PO Box 240<br>Moose, WY 83012 | 10,000 |
| 11/30/2010 | VisionSpring<br>322 8th Avenue Suite 12A-02<br>New York, NY 10001 | 5,000 |
| 12/7/2010 | National Wildlife Federation<br>11100 Wildlife Center Drive<br>Reston, VA 20190 | 15,000 |
| 12/10/2010 | Land Trust Alliance<br>1660 L Street NW, Suite 1100<br>Washington, DC 20036-5635 | 25,000 |
| 12/22/2010 | Jackson Hole Youth Soccer<br>PO Box 547<br>Teton Village, WY 83025 | 17,500 |
| 12/27/2010 | One Percent Foundation<br>1950 7th Avenue<br>Sacramento, CA 95818 | 20,000 |
| 1/31/2011 | KIPP Bay Area Schools<br>426 17th Street, Suite 200<br>Oakland, CA 94612 | 22,400 |
| 2/28/2011 | Jackson Hole Ski & Snowboard Club<br>PO Box 461<br>Jackson, WY 83001 | 5,000 |
| 4/19/2011 | Audubon Wyoming<br>511-A Ivinson Street<br>Laramie, WY 82070 | 10,000 |
| 4/27/2011 | Horse Power<br>13 Pony Farm Lane<br>Temple, NH 03084 | 8,500 |
| 5/16/2011 | Community Foundation of Jackson Hole<br>PO Box 574<br>Jackson, WY 83001 | 50,000 |
| 6/9/2011 | Trust for Public Land<br>33 Union Street<br>Boston, MA 02111 | 15,000 |
| | | 203,400 |

**The Ladd Family Foundation**
**Capital Gain/Loss Analysis**

**Part IV, Page 3 of the Form 990-PF**

| Purchase Date | Settlement Date | Shares | Description | Proceeds | Basis | Short Term Gain/Loss | Long Term Gain/Loss | Total Gain/Loss |
|---|---|---|---|---|---|---|---|---|
| VARIOUS | 07/02/2010 | 4,228.84 | SOLD BNY MELLON MONEY MARKET FUND CL M | 4,228 84 | (4,228.84) | 0.00 | 0.00 | 0.00 |
| VARIOUS | 07/07/2010 | 850 00 | SOLD GAP INC 850 SHARES AT $19.5247 | 16,553 22 | (17,266 69) | (713 47) | 0.00 | (713 47) |
| VARIOUS | 07/23/2010 | 2,006.72 | SOLD BNY MELLON MONEY MARKET FUND CL M | 2,006.72 | (2,006.72) | 0 00 | 0 00 | 0.00 |
| VARIOUS | 08/09/2010 | 160 00 | SOLD TARGET CORP 160 SHARES AT $53.2752 | 8,520.69 | (8,094.88) | 425.81 | 0.00 | 425.81 |
| VARIOUS | 08/10/2010 | 70.00 | SOLD AMGEN INC 70 SHARES AT $55.8158 | 3,903.54 | (4,144.70) | (241.16) | 0.00 | (241.16) |
| VARIOUS | 08/11/2010 | 220.00 | SOLD AMGEN INC 220 SHARES AT $53.8869 | 11,843.92 | (12,961.17) | (1,117 25) | 0.00 | (1,117.25) |
| VARIOUS | 08/11/2010 | 110.00 | SOLD HEWLETT PACKARD CO 110 SHARES AT $40.8011 | 4,482.54 | (330.92) | 0.00 | 4,151 62 | 4,151.62 |
| VARIOUS | 08/11/2010 | 340.00 | SOLD HEWLETT PACKARD CO 340 SHARES AT $40.8848 | 13,883.60 | (1,022.84) | 0.00 | 12,860.76 | 12,860.76 |
| VARIOUS | 08/12/2010 | 300.00 | SOLD HEWLETT PACKARD CO 300 SHARES AT $40.2023 | 12,045.49 | (902.51) | 0.00 | 11,142 98 | 11,142.98 |
| VARIOUS | 08/12/2010 | 81.00 | SOLD VISA INC-CLASS A SHRS 81 SHARES AT $72.8508 | 5,896.76 | (5,860.45) | 36.31 | 0.00 | 36.31 |
| VARIOUS | 08/12/2010 | 11.00 | SOLD VISA INC-CLASS A SHRS 11 SHARES AT $72.855 | 801.18 | (824.69) | (23.51) | 0.00 | (23.51) |
| VARIOUS | 08/13/2010 | 16.00 | SOLD VISA INC-CLASS A SHRS 16 SHARES AT $72.6232 | 1,161.63 | (1,100.00) | 61.63 | 0.00 | 61 63 |
| VARIOUS | 08/13/2010 | 42.00 | SOLD VISA INC-CLASS A SHRS 42 SHARES AT $72.6575 | 3,050.73 | (2,904.62) | 146.11 | 0.00 | 146 11 |
| VARIOUS | 08/13/2010 | 6,300 29 | SOLD BNY MELLON MONEY MARKET FUND CL M | 6,300.29 | (6,300.29) | 0 00 | 0.00 | 0.00 |
| VARIOUS | 08/17/2010 | 170.00 | SOLD CVS CAREMARK CORP 170 SHARES AT $28.8049 | 4,893 35 | (5,925 85) | 0 00 | (1,032.50) | (1,032.50) |
| VARIOUS | 08/19/2010 | 3,461.65 | SOLD BNY MELLON MONEY MARKET FUND CL M | 3,461.65 | (3,461 65) | 0 00 | 0.00 | 0 00 |
| VARIOUS | 08/23/2010 | 2,042 26 | SOLD BNY MELLON MONEY MARKET FUND CL M | 2,042.26 | (2,042.26) | 0.00 | 0.00 | 0.00 |
| VARIOUS | 08/24/2010 | 382.00 | SOLD EXELON CORP 382 SHARES AT $40.2095 | 15,340 67 | (18,902.52) | (2,750.99) | (810.86) | (3,561.85) |
| VARIOUS | 08/24/2010 | 12.00 | SOLD EXELON CORP 12 SHARES AT $40.3437 | 483.51 | (600.57) | 0.00 | (117.06) | (117.06) |
| VARIOUS | 08/24/2010 | 26.00 | SOLD EXELON CORP 26 SHARES AT $40.2488 | 1,045.15 | (1,301.23) | 0.00 | (256.08) | (256.08) |
| VARIOUS | 09/02/2010 | 120.00 | SOLD TYCO INTERNATIONAL LTD 120 SHARES AT $38 4787 | 4,611.36 | (4,096 18) | 515 18 | 0 00 | 515.18 |
| VARIOUS | 09/02/2010 | 70.00 | SOLD TYCO INTERNATIONAL LTD 70 SHARES AT $38.4808 | 2,690 11 | (2,475.70) | 214 41 | 0 00 | 214.41 |
| VARIOUS | 09/02/2010 | 60.00 | SOLD TYCO INTERNATIONAL LTD 60 SHARES AT $38.5537 | 2,310.18 | (2,122.03) | 188.15 | 0.00 | 188.15 |
| VARIOUS | 09/07/2010 | 77.00 | SOLD CUMMINS INC 77 SHARES AT $81.0947 | 6,240.33 | (3,840 71) | 2,399 62 | 0.00 | 2,399.62 |
| VARIOUS | 09/07/2010 | 3 00 | SOLD CUMMINS INC 3 SHARES AT $81.1517 | 243.31 | (149.64) | 93.67 | 0.00 | 93 67 |
| VARIOUS | 09/07/2010 | 51.00 | SOLD KBR INC 51 SHARES AT $24.3088 | 1,237.18 | (1,228.75) | 8.43 | 0.00 | 8 43 |
| VARIOUS | 09/08/2010 | 65.00 | SOLD KBR INC 65 SHARES AT $24.4239 | 1,584.27 | (1,536.06) | 48 21 | 0.00 | 48.21 |
| VARIOUS | 09/09/2010 | 40.00 | SOLD KBR INC 40 SHARES AT $24.5542 | 980.15 | (930 40) | 0.00 | 49.75 | 49 75 |
| VARIOUS | 09/09/2010 | 6.00 | SOLD KBR INC 6 SHARES AT $24 4383 | 146 51 | (139.56) | 0 00 | 6.95 | 6.95 |
| VARIOUS | 09/10/2010 | 99.00 | SOLD KBR INC 99 SHARES AT $24 4852 | 2,419.04 | (2,295.29) | 0.00 | 123.75 | 123.75 |
| VARIOUS | 09/10/2010 | 10,000.00 | SOLD BNY MELLON MONEY MARKET FUND CL M | 10,000 00 | (10,000.00) | 0.00 | 0.00 | 0.00 |
| VARIOUS | 09/13/2010 | 10 00 | SOLD BLACKROCK INC 10 SHARES AT $150 569 | 1,505.46 | (2,355.87) | (850.41) | 0.00 | (850.41) |
| VARIOUS | 09/13/2010 | 11.00 | SOLD BLACKROCK INC 11 SHARES AT $151.2527 | 1,663.53 | (2,591.45) | (927.92) | 0.00 | (927.92) |
| VARIOUS | 09/13/2010 | 218.00 | SOLD KBR INC 218 SHARES AT $24 4383 | 5,316 56 | (5,018.33) | 0 00 | 298.23 | 298.23 |
| VARIOUS | 09/13/2010 | 35.00 | SOLD KBR INC 35 SHARES AT $24.825 | 868 17 | (805.70) | 0 00 | 62.47 | 62.47 |
| VARIOUS | 09/13/2010 | 46.00 | SOLD KBR INC 46 SHARES AT $24.7077 | 1,134.23 | (1,058 92) | 0.00 | 75.31 | 75.31 |
| VARIOUS | 09/13/2010 | 5,598 51 | SOLD BNY MELLON MONEY MARKET FUND CL M | 5,598 51 | (5,598.51) | 0.00 | 0 00 | 0.00 |
| VARIOUS | 09/14/2010 | 19 00 | SOLD BLACKROCK INC 19 SHARES AT $153 7742 | 2,921.28 | (3,784.61) | 0.00 | (863.33) | (863.33) |
| VARIOUS | 09/14/2010 | 24 00 | SOLD BLACKROCK INC 24 SHARES AT $153 4151 | 3,681.42 | (4,890 95) | (246.58) | (962 95) | (1,209.53) |
| VARIOUS | 09/14/2010 | 5,473.24 | SOLD BNY MELLON MONEY MARKET FUND CL M | 5,473.24 | (5,473.24) | 0.00 | 0.00 | 0.00 |
| VARIOUS | 09/20/2010 | 50 00 | SOLD AUTOLIV INC 50 SHARES AT $61 6542 | 3,080 16 | (1,761.40) | 245.83 | 1,072.93 | 1,318.76 |
| VARIOUS | 09/20/2010 | 90 00 | SOLD AUTOLIV INC 90 SHARES AT $61.6381 | 5,545.54 | (3,331.80) | 2,213.74 | 0.00 | 2,213.74 |
| VARIOUS | 09/20/2010 | 50 00 | SOLD MERCK & CO INC 50 SHARES AT $36.3806 | 1,818.00 | (1,376.36) | 0.00 | 441.64 | 441.64 |

**The Ladd Family Foundation**
**Capital Gain/Loss Analysis**

**Part IV, Page 3 of the Form 990-PF**

| Purchase Date | Settlement Date | Shares | Description | Proceeds | Basis | Short Term Gain/Loss | Long Term Gain/Loss | Total Gain/Loss |
|---|---|---|---|---|---|---|---|---|
| VARIOUS | 09/20/2010 | 240.00 | SOLD MERCK & CO INC 240 SHARES AT $36.3897 | 8,721.38 | (6,945 84) | 1,082.65 | 692.89 | 1,775.54 |
| VARIOUS | 09/23/2010 | 2,046.55 | SOLD BNY MELLON MONEY MARKET FUND CL M | 2,046 55 | (2,046 55) | 0 00 | 0.00 | 0.00 |
| VARIOUS | 09/30/2010 | 930 00 | SOLD E M C CORP MASS 930 SHARES AT $20 3203 | 18,878 96 | (15,921.23) | 1,007.99 | 1,949.74 | 2,957.73 |
| VARIOUS | 09/30/2010 | 930.00 | SOLD E M C CORP MASS 930 SHARES AT $20.3203 | 18,851 06 | (15,921.23) | 994 49 | 1,935 34 | 2,929.83 |
| VARIOUS | 09/30/2010 | 110.00 | SOLD E M C CORP MASS 110 SHARES AT $20.3237 | 2,233.37 | (2,197.79) | 35.58 | 0.00 | 35.58 |
| VARIOUS | 09/30/2010 | -930.00 | SOLD -REV REVERSAL OF E M C CORP MASS | (18,851.06) | 15,921 23 | (994.49) | (1,935.34) | (2,929.83) |
| VARIOUS | 10/04/2010 | 3,016.79 | SOLD BNY MELLON MONEY MARKET FUND CL M | 3,016.79 | (3,016 79) | 0.00 | 0.00 | 0.00 |
| VARIOUS | 10/05/2010 | 18,851.06 | SOLD BNY MELLON MONEY MARKET FUND CL M | 18,851.06 | (18,851.06) | 0.00 | 0.00 | 0.00 |
| VARIOUS | 10/22/2010 | 2,153.38 | SOLD BNY MELLON MONEY MARKET FUND CL M | 2,153 38 | (2,153 38) | 0.00 | 0.00 | 0.00 |
| VARIOUS | 11/12/2010 | 330.00 | SOLD CISCO SYSTEMS INC 330 SHARES AT $20.4689 | 6,738.13 | (7,209.91) | 0 00 | (471.78) | (471.78) |
| VARIOUS | 11/12/2010 | 250 00 | SOLD CISCO SYSTEMS INC 250 SHARES AT $20 6052 | 5,138.71 | (5,585.11) | 0.00 | (446.40) | (446.40) |
| VARIOUS | 11/18/2010 | 10,270.03 | SOLD BNY MELLON MONEY MARKET FUND CL M | 10,270.03 | (10,270 03) | 0.00 | 0.00 | 0.00 |
| VARIOUS | 11/22/2010 | 180.00 | SOLD LIMITED BRANDS INC 180 SHARES AT $32.945 | 5,921.00 | (3,505 75) | 0.00 | 2,415.25 | 2,415.25 |
| VARIOUS | 11/22/2010 | 167.00 | SOLD WHIRLPOOL CORP 167 SHARES AT $75.7788 | 12,646.50 | (11,311.75) | 0.00 | 1,334.75 | 1,334.75 |
| VARIOUS | 11/22/2010 | 23.00 | SOLD WHIRLPOOL CORP 23 SHARES AT $76.3085 | 1,753.92 | (1,671.36) | 0.00 | 82.56 | 82.56 |
| VARIOUS | 11/23/2010 | 2,188.74 | SOLD BNY MELLON MONEY MARKET FUND CL M | 2,188.74 | (2,188.74) | 0.00 | 0.00 | 0.00 |
| VARIOUS | 11/30/2010 | 5,000.00 | SOLD BNY MELLON MONEY MARKET FUND CL M | 5,000 00 | (5,000.00) | 0.00 | 0 00 | 0.00 |
| VARIOUS | 12/07/2010 | 15,000 00 | SOLD BNY MELLON MONEY MARKET FUND CL M | 15,000 00 | (15,000.00) | 0.00 | 0.00 | 0 00 |
| VARIOUS | 12/14/2010 | 25,000.00 | SOLD BNY MELLON MONEY MARKET FUND CL M | 25,000.00 | (25,000.00) | 0.00 | 0 00 | 0.00 |
| VARIOUS | 12/22/2010 | 17,500.00 | SOLD BNY MELLON MONEY MARKET FUND CL M | 17,500.00 | (17,500.00) | 0 00 | 0.00 | 0 00 |
| VARIOUS | 12/23/2010 | 193.83 | SOLD BNY MELLON MONEY MARKET FUND CL M | 193.83 | (193.83) | 0.00 | 0.00 | 0.00 |
| VARIOUS | 12/28/2010 | 862 45 | SOLD BNY MELLON MONEY MARKET FUND CL M | 862.45 | (862 45) | 0.00 | 0.00 | 0.00 |
| VARIOUS | 01/03/2011 | 80.00 | SOLD HOSPIRA INC 80 SHARES AT $55.1496 | 4,408 70 | (3,741.85) | 0.00 | 666.85 | 666.85 |
| VARIOUS | 01/04/2011 | 95.00 | SOLD HOSPIRA INC 95 SHARES AT $55.2985 | 5,249.47 | (3,950.35) | 0.00 | 1,299.12 | 1,299.12 |
| VARIOUS | 01/04/2011 | 7.00 | SOLD HOSPIRA INC 7 SHARES AT $55.516 | 388.47 | (327.41) | 0.00 | 61.06 | 61.06 |
| VARIOUS | 01/05/2011 | 25.00 | SOLD FRANKLIN RES INC 25 SHARES AT $110.4612 | 2,761.03 | (2,380.98) | 0.00 | 380.05 | 380.05 |
| VARIOUS | 01/05/2011 | 60.00 | SOLD FRANKLIN RES INC 60 SHARES AT $110.474 | 6,625 93 | (6,324.06) | 0.00 | 301.87 | 301.87 |
| VARIOUS | 01/05/2011 | 95.00 | SOLD HOSPIRA INC 95 SHARES AT $54.7128 | 5,193.83 | (3,769 28) | 0.00 | 1,424.55 | 1,424.55 |
| VARIOUS | 01/05/2011 | 15.00 | SOLD HOSPIRA INC 15 SHARES AT $54 8161 | 821 96 | (598.87) | 0.00 | 223 09 | 223.09 |
| VARIOUS | 01/06/2011 | 47 00 | SOLD FRANKLIN RES INC 47 SHARES AT $110.0049 | 5,168 26 | (4,472.52) | 0.00 | 695.74 | 695.74 |
| VARIOUS | 01/06/2011 | 94.00 | SOLD HOSPIRA INC 94 SHARES AT $54 7419 | 5,141 89 | (3,683.72) | 0.00 | 1,458.17 | 1,458.17 |
| VARIOUS | 01/06/2011 | 13.00 | SOLD HOSPIRA INC 13 SHARES AT $54.7296 | 711.24 | (509.45) | 0.00 | 201.79 | 201.79 |
| VARIOUS | 01/06/2011 | 140.00 | SOLD LIMITED BRANDS INC 140 SHARES AT $29 112 | 4,070.01 | (2,726.69) | 0 00 | 1,343.32 | 1,343.32 |
| VARIOUS | 01/06/2011 | 140.00 | SOLD LIMITED BRANDS INC 140 SHARES AT $28 976 | 4,054 05 | (2,726 70) | 0 00 | 1,327.35 | 1,327.35 |
| VARIOUS | 01/06/2011 | 240.00 | SOLD TARGET CORP 240 SHARES AT $54.8796 | 13,161.28 | (11,393 07) | 0 00 | 1,768 21 | 1,768.21 |
| VARIOUS | 01/07/2011 | 21.00 | SOLD HOSPIRA INC 21 SHARES AT $54 9893 | 1,153.92 | (822 96) | 0.00 | 330 96 | 330 96 |
| VARIOUS | 01/07/2011 | 24,691 50 | SOLD BNY MELLON MONEY MARKET FUND CL M | 24,691.50 | (24,691 50) | 0 00 | 0 00 | 0 00 |
| VARIOUS | 01/12/2011 | 4,961.89 | SOLD BNY MELLON MONEY MARKET FUND CL M | 4,961.89 | (4,961.89) | 0.00 | 0.00 | 0.00 |
| VARIOUS | 01/14/2011 | 126.75 | SOLD BNY MELLON MONEY MARKET FUND CL M | 126 75 | (126.75) | 0.00 | 0.00 | 0.00 |
| VARIOUS | 01/21/2011 | 2,251 88 | SOLD BNY MELLON MONEY MARKET FUND CL M | 2,251.88 | (2,251 88) | 0.00 | 0.00 | 0.00 |
| VARIOUS | 01/27/2011 | 410.96 | SOLD BNY MELLON SMALL/MID CAP FUND-M 410.959 UNITS AT $14.60 | 6,000.00 | (4,536 99) | 1,463.01 | 0.00 | 1,463 01 |
| VARIOUS | 01/27/2011 | 400.96 | SOLD BNY MELLON MID CAP STK FD CL M 400.962 UNITS AT $12.47 | 5,000 00 | (3,701 49) | 0 00 | 1,298.51 | 1,298.51 |
| VARIOUS | 01/27/2011 | 354.30 | SOLD BNY MELLON INTERNATIONAL FD CL M 354.296 UNITS AT $11.29 | 4,000 00 | (3,819 31) | 0.00 | 180.69 | 180.69 |

**The Ladd Family Foundation**
**Capital Gain/Loss Analysis**

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| Purchase Date | Settlement Date | Shares | Description | Proceeds | Basis | Short Term Gain/Loss | Long Term Gain/Loss | Total Gain/Loss |
|---|---|---|---|---|---|---|---|---|
| VARIOUS | 01/27/2011 | 212.00 | SOLD CHEVRON CORPORATION 212 SHARES AT $94.8453 | 20,098.33 | (16,641.77) | 0.00 | 3,456.56 | 3,456.56 |
| VARIOUS | 01/27/2011 | 1,198 47 | SOLD DREYFUS/STANDISH MELLON FIXED INCOME 1,198 466 UNITS AT $20.86 | 25,000 00 | (24,444 81) | 27.44 | 527 75 | 555.19 |
| VARIOUS | 01/27/2011 | 284 70 | SOLD DREYFUS PREM STRAT GLBL ST-I 284 697 UNITS AT $14.05 | 4,000.00 | (3,544.48) | 0.00 | 455.52 | 455 52 |
| VARIOUS | 01/27/2011 | 40.00 | SOLD TARGET CORP 40 SHARES AT $54.971 | 2,198.08 | (1,676.32) | 0.00 | 521.76 | 521.76 |
| VARIOUS | 01/27/2011 | 130.00 | SOLD TARGET CORP 130 SHARES AT $54.9394 | 7,136.78 | (5,659.21) | 0.00 | 1,477 57 | 1,477.57 |
| VARIOUS | 01/28/2011 | 115.00 | SOLD RAYTHEON CO 115 SHARES AT $49.5688 | 5,695.70 | (5,286.75) | 0 00 | 408.95 | 408.95 |
| VARIOUS | 01/28/2011 | 115.00 | SOLD RAYTHEON CO 115 SHARES AT $49.557 | 5,696.88 | (5,393.15) | 0.00 | 303.73 | 303.73 |
| VARIOUS | 01/28/2011 | 6,224.61 | SOLD BNY MELLON MONEY MARKET FUND CL M | 6,224.61 | (6,224 61) | 0.00 | 0.00 | 0.00 |
| VARIOUS | 01/31/2011 | 100.00 | SOLD AUTOLIV INC 100 SHARES AT $77.2811 | 7,723.96 | (3,478.00) | 0 00 | 4,245.96 | 4,245.96 |
| VARIOUS | 01/31/2011 | 130.00 | SOLD TARGET CORP 130 SHARES AT $54 5145 | 7,084 15 | (5,448.04) | 0.00 | 1,636.11 | 1,636.11 |
| VARIOUS | 02/02/2011 | 250.00 | SOLD AT & T INC 250 SHARES AT $27 662 | 6,910.87 | (6,492.15) | 0 00 | 418.72 | 418.72 |
| VARIOUS | 02/02/2011 | 60.00 | SOLD ALLIANCE DATA SYS CORP 60 SHARES AT $76.1316 | 4,566.73 | (4,072 10) | 0 00 | 494.63 | 494.63 |
| VARIOUS | 02/02/2011 | 90.00 | SOLD ALLSCRIPTS HEALTHCARE SOLUTIONS INC 90 SHARES AT $21.2101 | 1,907.25 | (1,555.17) | 352.08 | 0.00 | 352.08 |
| VARIOUS | 02/02/2011 | 80.00 | SOLD AMERICAN EXPRESS CO 80 SHARES AT $43 803 | 3,502 73 | (3,398.59) | 104 14 | 0.00 | 104.14 |
| VARIOUS | 02/02/2011 | 50.00 | SOLD AMERIPRISE FINANCIAL INC 50 SHARES AT $62 4866 | 3,123.37 | (3,003 07) | 120.30 | 0.00 | 120 30 |
| VARIOUS | 02/02/2011 | 160.00 | SOLD AMYLIN PHARMACEUTICALS INC 160 SHARES AT $16.1305 | 2,577 95 | (3,136.12) | (558.17) | 0.00 | (558.17) |
| VARIOUS | 02/02/2011 | 30.00 | SOLD ANADARKO PETROLEUM CORP 30 SHARES AT $80.143 | 2,403 70 | (1,276.08) | 1,127.62 | 0.00 | 1,127.62 |
| VARIOUS | 02/02/2011 | 71.00 | SOLD APACHE CORP 71 SHARES AT $120 985 | 8,588.49 | (7,383.58) | 0.00 | 1,204.91 | 1,204.91 |
| VARIOUS | 02/02/2011 | 35.00 | SOLD APPLE INC 35 SHARES AT $344.6095 | 12,060.47 | (7,788.88) | 1,257.52 | 3,014.07 | 4,271.59 |
| VARIOUS | 02/02/2011 | 20.00 | SOLD AUTOLIV INC 20 SHARES AT $75.1933 | 1,503.48 | (675 70) | 0.00 | 827.78 | 827.78 |
| VARIOUS | 02/02/2011 | 440.00 | SOLD BANK OF AMERICA CORP 440 SHARES AT $14 29 | 6,279 56 | (7,008.42) | (728 86) | 0.00 | (728 86) |
| VARIOUS | 02/02/2011 | 70.00 | SOLD CIGNA CORP 70 SHARES AT $42.343 | 2,962.69 | (2,062.01) | 0 00 | 900.68 | 900.68 |
| VARIOUS | 02/02/2011 | 30 00 | SOLD CVS CAREMARK CORP 30 SHARES AT $34.6647 | 1,039.38 | (1,045 74) | 0.00 | (6.36) | (6.36) |
| VARIOUS | 02/02/2011 | 100.00 | SOLD CAPITAL ONE FINANCIAL CORP 100 SHARES AT $49.62 | 4,960.10 | (4,274.18) | 0.00 | 685.92 | 685 92 |
| VARIOUS | 02/02/2011 | 50.00 | SOLD CATERPILLAR INC 50 SHARES AT $99 0735 | 4,952.68 | (3,217.41) | 1,735.27 | 0.00 | 1,735.27 |
| VARIOUS | 02/02/2011 | 70 00 | SOLD CHUBB CORP 70 SHARES AT $58.0019 | 4,058.79 | (531.84) | 0.00 | 3,526.95 | 3,526.95 |
| VARIOUS | 02/02/2011 | 120.00 | SOLD CISCO SYSTEMS INC 120 SHARES AT $21.6401 | 2,594 60 | (2,621.78) | 0.00 | (27 18) | (27.18) |
| VARIOUS | 02/02/2011 | 70.00 | SOLD COMERICA INC 70 SHARES AT $38.733 | 2,710.00 | (2,620.43) | 89.57 | 0.00 | 89.57 |
| VARIOUS | 02/02/2011 | 100 00 | SOLD CONOCOPHILLIPS 100 SHARES AT $72.4629 | 7,244.35 | (5,247.12) | 0.00 | 1,997 23 | 1,997.23 |
| VARIOUS | 02/02/2011 | 46.00 | SOLD CUMMINS INC 46 SHARES AT $106 939 | 4,918 27 | (2,251.15) | 0 00 | 2,667.12 | 2,667.12 |
| VARIOUS | 02/02/2011 | 80.00 | SOLD DANAHER CORP 80 SHARES AT $47.8019 | 3,822 64 | (2,824.40) | 0 00 | 998.24 | 998.24 |
| VARIOUS | 02/02/2011 | 60.00 | SOLD DU PONT (E I) DE NEMOURS 60 SHARES AT $51 933 | 3,114 84 | (2,042 21) | 0 00 | 1,072 63 | 1,072.63 |
| VARIOUS | 02/02/2011 | 100.00 | SOLD E M C CORP MASS 100 SHARES AT $25.573 | 2,555.45 | (1,623.80) | 0.00 | 931.65 | 931.65 |
| VARIOUS | 02/02/2011 | 30 00 | SOLD ENERGIZER HLDGS INC 30 SHARES AT $66.15 | 1,983.92 | (2,128.20) | (144.28) | 0.00 | (144 28) |
| VARIOUS | 02/02/2011 | 210.00 | SOLD FORD MOTOR CO 210 SHARES AT $15.4001 | 3,230.18 | (3,828.11) | (597.93) | 0.00 | (597 93) |
| VARIOUS | 02/02/2011 | 300.00 | SOLD GENERAL ELECTRIC COMPANY 300 SHARES AT $20.7402 | 6,216 54 | (6,080 70) | 135 84 | 0 00 | 135.84 |
| VARIOUS | 02/02/2011 | 15 00 | SOLD GOOGLE INC - CL A 15 SHARES AT $613.2143 | 9,197 76 | (8,313.90) | 0.00 | 883.86 | 883.86 |
| VARIOUS | 02/02/2011 | 100.00 | SOLD HALLIBURTON CO 100 SHARES AT $46.7902 | 4,677.13 | (3,051.64) | 0.00 | 1,625.49 | 1,625.49 |
| VARIOUS | 02/02/2011 | 110.00 | SOLD HESS CORP 110 SHARES AT $84.33 | 9,274.14 | (6,581.99) | 0.00 | 2,692.15 | 2,692.15 |
| VARIOUS | 02/02/2011 | 80.00 | SOLD HOME DEPOT INC 80 SHARES AT $36.5232 | 2,920.36 | (2,165.38) | 0.00 | 754.98 | 754.98 |
| VARIOUS | 02/02/2011 | 110.00 | SOLD HONEYWELL INTL INC 110 SHARES AT $57.802 | 6,356.12 | (4,155.58) | 0 00 | 2,200 54 | 2,200 54 |
| VARIOUS | 02/02/2011 | 160 00 | SOLD HUMAN GENOME SCIENCES INC 160 SHARES AT $24.94 | 3,987 44 | (4,640.93) | (653.49) | 0.00 | (653.49) |
| VARIOUS | 02/02/2011 | 50.00 | SOLD INFORMATICA CORP 50 SHARES AT $48.036 | 2,400.85 | (1,574.44) | 826.41 | 0.00 | 826.41 |

**The Ladd Family Foundation**
**Capital Gain/Loss Analysis**

**Part IV, Page 3 of the Form 990-PF**

| Purchase Date | Settlement Date | Shares | Description | Proceeds | Basis | Short Term Gain/Loss | Long Term Gain/Loss | Total Gain/Loss |
|---|---|---|---|---|---|---|---|---|
| VARIOUS | 02/02/2011 | 43.00 | SOLD INTERNATIONAL BUSINESS MACHINES CORP 43 SHARES AT $163.459 | 7,027.83 | (5,593.96) | 1,433.87 | 0.00 | 1,433.87 |
| VARIOUS | 02/02/2011 | 220.00 | SOLD JPMORGAN CHASE & CO 220 SHARES AT $45 672 | 10,043 69 | (10,183.54) | 0.00 | (139 85) | (139 85) |
| VARIOUS | 02/02/2011 | 80.00 | SOLD LIMITED BRANDS INC 80 SHARES AT $29.1819 | 2,333 06 | (1,558.11) | 0.00 | 774.95 | 774 95 |
| VARIOUS | 02/02/2011 | 90.00 | SOLD MCKESSON CORPORATION 90 SHARES AT $76.733 | 6,904.22 | (5,715.32) | 0.00 | 1,188.90 | 1,188 90 |
| VARIOUS | 02/02/2011 | 80.00 | SOLD MEDTRONIC INC 80 SHARES AT $38.323 | 3,064.34 | (3,064 79) | 0.00 | (0.45) | (0.45) |
| VARIOUS | 02/02/2011 | 110.00 | SOLD MERCK & CO INC 110 SHARES AT $33.753 | 3,710 78 | (3,028.01) | 0.00 | 682.77 | 682.77 |
| VARIOUS | 02/02/2011 | 310.00 | SOLD MICROSOFT CORPORATION 310 SHARES AT $28.00 | 8,674.25 | (9,355.52) | 0.00 | (681.27) | (681.27) |
| VARIOUS | 02/02/2011 | 140.00 | SOLD MORGAN STANLEY 140 SHARES AT $29 813 | 4,171 22 | (4,790.80) | 0.00 | (619.58) | (619 58) |
| VARIOUS | 02/02/2011 | 20.00 | SOLD MOTOROLA INC. 20 SHARES AT $38.583 | 771.28 | (650 28) | 121.00 | 0.00 | 121.00 |
| VARIOUS | 02/02/2011 | 30.00 | SOLD MOTOROLA MOBILITY HOLDINGS-W 30 SHARES AT $28.9801 | 868 84 | (817.43) | 51.41 | 0.00 | 51.41 |
| VARIOUS | 02/02/2011 | 190.00 | SOLD NEWELL RUBBERMAID INC 190 SHARES AT $19.3907 | 3,680.74 | (3,676.47) | 4 27 | 0.00 | 4.27 |
| VARIOUS | 02/02/2011 | 440 00 | SOLD NEWS CORP INC 440 SHARES AT $17.5104 | 7,696.51 | (6,583.89) | 0.00 | 1,112.62 | 1,112.62 |
| VARIOUS | 02/02/2011 | 40 00 | SOLD NEXTERA ENERGY INC 40 SHARES AT $54.793 | 2,190.96 | (2,188.60) | 2.36 | 0.00 | 2.36 |
| VARIOUS | 02/02/2011 | 80.00 | SOLD NORDSTROM INC 80 SHARES AT $40.1432 | 3,209.96 | (2,798 58) | 411.38 | 0.00 | 411.38 |
| VARIOUS | 02/02/2011 | 80.00 | SOLD NORFOLK SOUTHERN CORP 80 SHARES AT $61.0907 | 4,885.73 | (3,987.81) | 0.00 | 897.92 | 897.92 |
| VARIOUS | 02/02/2011 | 90 00 | SOLD OCCIDENTAL PETROLEUM CORP 90 SHARES AT $98.807 | 8,890 84 | (7,599 96) | 1,290.88 | 0.00 | 1,290.88 |
| VARIOUS | 02/02/2011 | 80.00 | SOLD OMNICOM GROUP INC 80 SHARES AT $46.3119 | 3,703.44 | (3,781.20) | (77.76) | 0.00 | (77.76) |
| VARIOUS | 02/02/2011 | 220.00 | SOLD ORACLE CORP 220 SHARES AT $33 2705 | 7,315 41 | (5,952.14) | 1,363.27 | 0.00 | 1,363.27 |
| VARIOUS | 02/02/2011 | 100.00 | SOLD PEPSICO INC 100 SHARES AT $64.5403 | 6,452.11 | (6,131.85) | 0 00 | 320.26 | 320.26 |
| VARIOUS | 02/02/2011 | 580.00 | SOLD PFIZER INC 580 SHARES AT $18.96 | 10,986.15 | (1,245 93) | 0.00 | 9,740.22 | 9,740 22 |
| VARIOUS | 02/02/2011 | 40.00 | SOLD PRAXAIR INC 40 SHARES AT $95 10 | 3,803 21 | (3,357.19) | 0.00 | 446.02 | 446.02 |
| VARIOUS | 02/02/2011 | 80.00 | SOLD PROCTER & GAMBLE CO 80 SHARES AT $62 793 | 5,021.90 | (4,620.00) | 0.00 | 401.90 | 401.90 |
| VARIOUS | 02/02/2011 | 190.00 | SOLD PUBLIC SVC ENTERPRISE GROUP INC 190 SHARES AT $32.5797 | 6,186.60 | (6,389 74) | 0 00 | (203.14) | (203 14) |
| VARIOUS | 02/02/2011 | 100 00 | SOLD QUALCOMM INC 100 SHARES AT $54.0418 | 5,402.28 | (4,805.65) | 0.00 | 596.63 | 596.63 |
| VARIOUS | 02/02/2011 | 140.00 | SOLD SCHWAB CHARLES CORP NEW 140 SHARES AT $18 3817 | 2,570 87 | (2,633 40) | 0 00 | (62.53) | (62.53) |
| VARIOUS | 02/02/2011 | 180.00 | SOLD TEXTRON INC 180 SHARES AT $26.7504 | 4,811.74 | (3,528 34) | 0.00 | 1,283.40 | 1,283.40 |
| VARIOUS | 02/02/2011 | 120.00 | SOLD THERMO FISHER SCIENTIFIC INC. 120 SHARES AT $56.2243 | 6,744.63 | (5,642.83) | 0 00 | 1,101.80 | 1,101 80 |
| VARIOUS | 02/02/2011 | 490 00 | SOLD TIME WARNER INC 490 SHARES AT $35.00 | 17,140.85 | (14,135.63) | 0.00 | 3,005.22 | 3,005.22 |
| VARIOUS | 02/02/2011 | 150.00 | SOLD UNILEVER PLC ADR 150 SHARES AT $29.843 | 4,473.66 | (4,636 13) | 0.00 | (162.47) | (162.47) |
| VARIOUS | 02/02/2011 | 160.00 | SOLD VALE SA-SP ADR 160 SHARES AT $34.9503 | 5,589.06 | (4,314.19) | 0.00 | 1,274.87 | 1,274.87 |
| VARIOUS | 02/02/2011 | 210.00 | SOLD WELLS FARGO & COMPANY 210 SHARES AT $32 9509 | 6,915.78 | (6,278.36) | 149.21 | 488.21 | 637 42 |
| VARIOUS | 02/02/2011 | 70 00 | SOLD COVIDIEN PLC 70 SHARES AT $49 14 | 3,438 47 | (3,330 83) | 107.64 | 0.00 | 107.64 |
| VARIOUS | 02/02/2011 | 80.00 | SOLD TYCO INTERNATIONAL LTD 80 SHARES AT $46 0305 | 3,680.93 | (2,592.79) | 0.00 | 1,088.14 | 1,088 14 |
| VARIOUS | 02/03/2011 | 84,150.60 | SOLD BNY MELLON MONEY MARKET FUND CL M | 84,150.60 | (84,150.60) | 0.00 | 0.00 | 0.00 |
| VARIOUS | 02/07/2011 | 0 29 | SOLD MOTOROLA INC. 0 286 SHARES AT $38 657647 | 11.06 | (9.30) | 1.76 | 0.00 | 1 76 |
| VARIOUS | 02/08/2011 | 11.55 | SOLD BNY MELLON MONEY MARKET FUND CL M | 11.55 | (11 55) | 0.00 | 0.00 | 0.00 |
| VARIOUS | 02/10/2011 | 15 00 | SOLD CISCO SYSTEMS INC 15 SHARES AT $19.16 | 286.79 | (322 02) | 0 00 | (35.23) | (35.23) |
| VARIOUS | 02/10/2011 | 63.00 | SOLD CISCO SYSTEMS INC 63 SHARES AT $19.1503 | 1,203.93 | (1,352.50) | 0.00 | (148.57) | (148.57) |
| VARIOUS | 02/10/2011 | 117.00 | SOLD CISCO SYSTEMS INC 117 SHARES AT $19.143 | 2,235.01 | (2,511 78) | 0.00 | (276.77) | (276.77) |
| VARIOUS | 02/10/2011 | 7 00 | SOLD CISCO SYSTEMS INC 7 SHARES AT $19.1402 | 133.70 | (150.28) | 0.00 | (16.58) | (16.58) |
| VARIOUS | 02/10/2011 | 10.00 | SOLD CISCO SYSTEMS INC 10 SHARES AT $19.14 | 191.00 | (214.68) | 0.00 | (23.68) | (23.68) |
| VARIOUS | 02/10/2011 | 30.00 | SOLD CISCO SYSTEMS INC 30 SHARES AT $19.10 | 571.79 | (644 05) | 0.00 | (72.26) | (72.26) |
| VARIOUS | 02/10/2011 | 498 00 | SOLD CISCO SYSTEMS INC 498 SHARES AT $19.0323 | 9,457.99 | (10,710.16) | 0 00 | (1,252.17) | (1,252 17) |

**The Ladd Family Foundation**
**Capital Gain/Loss Analysis**

**Part IV, Page 3 of the Form 990-PF**

| Purchase Date | Settlement Date | Shares | Description | Proceeds | Basis | Short Term Gain/Loss | Long Term Gain/Loss | Total Gain/Loss |
|---|---|---|---|---|---|---|---|---|
| VARIOUS | 02/16/2011 | 7,459.22 | SOLD BNY MELLON MONEY MARKET FUND CL M | 7,459 22 | (7,459.22) | 0.00 | 0 00 | 0.00 |
| VARIOUS | 02/17/2011 | 3,549.35 | SOLD BNY MELLON MONEY MARKET FUND CL M | 3,549.35 | (3,549.35) | 0.00 | 0.00 | 0.00 |
| VARIOUS | 02/23/2011 | 2,269.71 | SOLD BNY MELLON MONEY MARKET FUND CL M | 2,269.71 | (2,269.71) | 0 00 | 0 00 | 0.00 |
| VARIOUS | 02/28/2011 | 5,000 00 | SOLD BNY MELLON MONEY MARKET FUND CL M | 5,000 00 | (5,000.00) | 0.00 | 0.00 | 0.00 |
| VARIOUS | 03/02/2011 | 324.00 | SOLD MICROSOFT CORPORATION 324 SHARES AT $26 2049 | 8,477 27 | (8,461 39) | 0.00 | 15.88 | 15.88 |
| VARIOUS | 03/02/2011 | 236.00 | SOLD MICROSOFT CORPORATION 236 SHARES AT $26 0945 | 6,148 74 | (6,789.08) | 0.00 | (640.34) | (640.34) |
| VARIOUS | 03/03/2011 | 106.00 | SOLD AMYLIN PHARMACEUTICALS INC 106 SHARES AT $11.4108 | 1,205 28 | (1,948 79) | (743 51) | 0.00 | (743.51) |
| VARIOUS | 03/03/2011 | 440.00 | SOLD AMYLIN PHARMACEUTICALS INC 440 SHARES AT $11.423 | 5,018 10 | (8,403.83) | (3,385.73) | 0.00 | (3,385.73) |
| VARIOUS | 03/04/2011 | 141.00 | SOLD AMYLIN PHARMACEUTICALS INC 141 SHARES AT $11.1133 | 1,564.41 | (2,592.26) | (1,027.85) | 0.00 | (1,027.85) |
| VARIOUS | 03/04/2011 | 26.00 | SOLD AMYLIN PHARMACEUTICALS INC 26 SHARES AT $11 2481 | 291 40 | (478.01) | (186.61) | 0.00 | (186.61) |
| VARIOUS | 03/07/2011 | 61.00 | SOLD AMYLIN PHARMACEUTICALS INC 61 SHARES AT $11.10 | 675.99 | (1,121.47) | (445.48) | 0.00 | (445.48) |
| VARIOUS | 03/07/2011 | 46.00 | SOLD AMYLIN PHARMACEUTICALS INC 46 SHARES AT $11 1002 | 508.76 | (845.70) | (336.94) | 0 00 | (336.94) |
| VARIOUS | 03/08/2011 | 6,788 82 | SOLD BNY MELLON MONEY MARKET FUND CL M | 6,788.82 | (6,788.82) | 0.00 | 0.00 | 0.00 |
| VARIOUS | 03/09/2011 | 351.80 | SOLD BNY MELLON MONEY MARKET FUND CL M | 351.80 | (351.80) | 0.00 | 0.00 | 0 00 |
| VARIOUS | 03/22/2011 | 310.00 | SOLD MEDTRONIC INC 310 SHARES AT $37 6851 | 11,669.76 | (11,443.81) | 0.00 | 225.95 | 225.95 |
| VARIOUS | 03/23/2011 | 2,299 91 | SOLD BNY MELLON MONEY MARKET FUND CL M | 2,299.91 | (2,299.91) | 0.00 | 0.00 | 0.00 |
| VARIOUS | 03/28/2011 | 150 00 | SOLD CHEVRON CORPORATION 150 SHARES AT $106.58 | 15,980.69 | (11,774.84) | 0.00 | 4,205.85 | 4,205.85 |
| VARIOUS | 03/28/2011 | 125.00 | SOLD HESS CORP 125 SHARES AT $83.9635 | 10,490 23 | (6,846.00) | 0.00 | 3,644.23 | 3,644.23 |
| VARIOUS | 04/04/2011 | 63 00 | SOLD PUBLIC SVC ENTERPRISE GROUP INC 63 SHARES AT $30.8915 | 1,944.99 | (2,111.71) | 0.00 | (166.72) | (166 72) |
| VARIOUS | 04/04/2011 | 304 00 | SOLD PUBLIC SVC ENTERPRISE GROUP INC 304 SHARES AT $30.8695 | 9,371.99 | (10,203.74) | 0.00 | (831.75) | (831 75) |
| VARIOUS | 04/04/2011 | 68.00 | SOLD PUBLIC SVC ENTERPRISE GROUP INC 68 SHARES AT $30.9615 | 2,102.62 | (2,286.85) | 0.00 | (184.23) | (184.23) |
| VARIOUS | 04/04/2011 | 35 00 | SOLD BNY MELLON MONEY MARKET FUND CL M | 35 00 | (35.00) | 0.00 | 0.00 | 0.00 |
| VARIOUS | 04/04/2011 | 2,965.69 | SOLD BNY MELLON MONEY MARKET FUND CL M | 2,965.69 | (2,965.69) | 0.00 | 0.00 | 0.00 |
| VARIOUS | 04/05/2011 | 120.00 | SOLD PUBLIC SVC ENTERPRISE GROUP INC 120 SHARES AT $30 9117 | 3,707 17 | (3,698.87) | 8.30 | 0 00 | 8.30 |
| VARIOUS | 04/05/2011 | 247.00 | SOLD PUBLIC SVC ENTERPRISE GROUP INC 247 SHARES AT $30.8809 | 7,622.98 | (7,680.25) | 8 52 | (65.79) | (57.27) |
| VARIOUS | 04/06/2011 | 128 00 | SOLD PUBLIC SVC ENTERPRISE GROUP INC 128 SHARES AT $31.0982 | 3,978.19 | (3,945.46) | 32.73 | 0.00 | 32.73 |
| VARIOUS | 04/07/2011 | 27,936 00 | SOLD BNY MELLON MONEY MARKET FUND CL M | 27,936 00 | (27,936.00) | 0.00 | 0 00 | 0.00 |
| VARIOUS | 04/08/2011 | 27.00 | SOLD BNY MELLON MONEY MARKET FUND CL M | 27 00 | (27.00) | 0.00 | 0 00 | 0.00 |
| VARIOUS | 04/18/2011 | 5,000.00 | SOLD BNY MELLON MONEY MARKET FUND CL M | 5,000 00 | (5,000.00) | 0 00 | 0 00 | 0.00 |
| VARIOUS | 04/19/2011 | 10,000.00 | SOLD BNY MELLON MONEY MARKET FUND CL M | 10,000.00 | (10,000.00) | 0.00 | 0.00 | 0.00 |
| VARIOUS | 04/22/2011 | 2,315.09 | SOLD BNY MELLON MONEY MARKET FUND CL M | 2,315.09 | (2,315 09) | 0.00 | 0 00 | 0.00 |
| VARIOUS | 04/26/2011 | 46.00 | SOLD MOTOROLA INC. 46 SHARES AT $43 5313 | 2,000.56 | (1,495.64) | 504.92 | 0.00 | 504.92 |
| VARIOUS | 04/26/2011 | 40.00 | SOLD MOTOROLA MOBILITY HOLDINGS-W 40 SHARES AT $23 3777 | 933.49 | (1,089.90) | (156.41) | 0.00 | (156 41) |
| VARIOUS | 04/27/2011 | 128.00 | SOLD MOTOROLA INC. 128 SHARES AT $43.2912 | 5,536.04 | (4,122.21) | 1,413.83 | 0 00 | 1,413.83 |
| VARIOUS | 04/27/2011 | 86 00 | SOLD MOTOROLA MOBILITY HOLDINGS-W 86 SHARES AT $23.1737 | 1,989.46 | (2,314.26) | (324.80) | 0.00 | (324.80) |
| VARIOUS | 04/27/2011 | 14.00 | SOLD MOTOROLA MOBILITY HOLDINGS-W 14 SHARES AT $22.8928 | 320.24 | (381.47) | (61.23) | 0.00 | (61.23) |
| VARIOUS | 04/27/2011 | 8,500 00 | SOLD BNY MELLON MONEY MARKET FUND CL M | 8,500.00 | (8,500.00) | 0.00 | 0.00 | 0.00 |
| VARIOUS | 04/29/2011 | 438 87 | SOLD BNY MELLON SM/MID CAP-M 438.871 UNITS AT $15.95 | 7,000.00 | (4,845 14) | 0 00 | 2,154.86 | 2,154.86 |
| VARIOUS | 04/29/2011 | 1,250.92 | SOLD BNY MELLON FOC EQ OPP-M 1,250.919 UNITS AT $13.59 | 17,000 00 | (15,924.20) | 1,075 80 | 0.00 | 1,075.80 |
| VARIOUS | 04/29/2011 | 1,461.99 | SOLD BNY MELLON MID CAP STOCK-M 1,461.988 UNITS AT $13 68 | 20,000.00 | (13,201.75) | 0.00 | 6,798.25 | 6,798.25 |
| VARIOUS | 04/29/2011 | 925.21 | SOLD BNY MELLON SMALL CAP STK-M 925.212 UNITS AT $12.97 | 12,000 00 | (8,845.03) | 0.00 | 3,154.97 | 3,154.97 |
| VARIOUS | 04/29/2011 | 969.31 | SOLD BNY MELLON EMERGING MKTS-M 969.306 UNITS AT $12.38 | 12,000 00 | (11,428.12) | 571.88 | 0.00 | 571.88 |
| VARIOUS | 04/29/2011 | 338 41 | SOLD BNY MELLON INTERNATIONAL-M 338 409 UNITS AT $11 82 | 4,000 00 | (3,648.05) | 0 00 | 351.95 | 351 95 |

**The Ladd Family Foundation**
**Capital Gain/Loss Analysis**

**Part IV, Page 3 of the Form 990-PF**

| Purchase Date | Settlement Date | Shares | Description | Proceeds | Basis | Short Term Gain/Loss | Long Term Gain/Loss | Total Gain/Loss |
|---|---|---|---|---|---|---|---|---|
| VARIOUS | 04/29/2011 | 423.28 | SOLD DREYFUS/NEWTON INTERN EQTY-I 423.281 UNITS AT $18.90 | 8,000.00 | (7,106.89) | 0.00 | 893.11 | 893.11 |
| VARIOUS | 04/29/2011 | 1,158.83 | SOLD DREYFUS US EQUITY FUND-I 1,158 828 UNITS AT $14 67 | 17,000.00 | (15,725.30) | 1,274.70 | 0.00 | 1,274.70 |
| VARIOUS | 04/29/2011 | 272.85 | SOLD DREYFUS PREM STRAT GLBL ST-I 272.851 UNITS AT $14 66 | 4,000 00 | (3,396.99) | 0.00 | 603.01 | 603.01 |
| VARIOUS | 05/02/2011 | 6.00 | SOLD GOOGLE INC - CL A 6 SHARES AT $539.7608 | 3,238 26 | (2,773.20) | 0.00 | 465.06 | 465.06 |
| VARIOUS | 05/02/2011 | 12.00 | SOLD GOOGLE INC - CL A 12 SHARES AT $539.5765 | 6,474.32 | (6,467.00) | 0.00 | 7.32 | 7.32 |
| VARIOUS | 05/02/2011 | 610.00 | SOLD MICROSOFT CORPORATION 610 SHARES AT $25.5775 | 15,577 58 | (14,760.70) | 0.00 | 816.88 | 816.88 |
| VARIOUS | 05/05/2011 | 370.00 | SOLD HOME DEPOT INC 370 SHARES AT $37.1858 | 13,743.69 | (10,014 91) | 0 00 | 3,728.78 | 3,728 78 |
| VARIOUS | 05/09/2011 | 114.00 | SOLD CVS CAREMARK CORP 114 SHARES AT $37.4994 | 4,270.29 | (3,973.80) | 0.00 | 296.49 | 296.49 |
| VARIOUS | 05/09/2011 | 330.00 | SOLD PFIZER INC 330 SHARES AT $20.5931 | 6,782.39 | (708.89) | 0 00 | 6,073.50 | 6,073.50 |
| VARIOUS | 05/10/2011 | 56 00 | SOLD CVS CAREMARK CORP 56 SHARES AT $37.3183 | 2,087.54 | (1,952.04) | 0.00 | 135.50 | 135.50 |
| VARIOUS | 05/10/2011 | 280 00 | SOLD PFIZER INC 280 SHARES AT $20.7351 | 5,794.52 | (601.48) | 0.00 | 5,193.04 | 5,193.04 |
| VARIOUS | 05/16/2011 | 50,000.00 | SOLD BNY MELLON MONEY MARKET FUND CL M | 50,000.00 | (50,000.00) | 0.00 | 0 00 | 0.00 |
| VARIOUS | 05/16/2011 | 8,994.53 | SOLD BNY MELLON MONEY MARKET FUND CL M | 8,994.53 | (8,994.53) | 0.00 | 0 00 | 0.00 |
| VARIOUS | 05/20/2011 | 200.00 | SOLD LIMITED BRANDS INC 200 SHARES AT $39.9591 | 7,983.67 | (3,895.28) | 0 00 | 4,088.39 | 4,088 39 |
| VARIOUS | 05/23/2011 | 2,343.58 | SOLD BNY MELLON MONEY MARKET FUND CL M | 2,343.58 | (2,343.58) | 0.00 | 0.00 | 0.00 |
| VARIOUS | 05/24/2011 | 5,600.00 | SOLD BNY MELLON MONEY MARKET FUND CL M | 5,600.00 | (5,600.00) | 0.00 | 0.00 | 0.00 |
| VARIOUS | 06/08/2011 | 15,000.00 | SOLD BNY MELLON MONEY MARKET FUND CL M | 15,000.00 | (15,000.00) | 0.00 | 0.00 | 0 00 |
| VARIOUS | 06/09/2011 | 15,000.00 | SOLD BNY MELLON MONEY MARKET FUND CL M | 15,000.00 | (15,000.00) | 0 00 | 0.00 | 0.00 |
| VARIOUS | 06/20/2011 | 987.98 | SOLD BNY MELLON MONEY MARKET FUND CL M | 987.98 | (987.98) | 0 00 | 0.00 | 0.00 |
| VARIOUS | 06/23/2011 | 2,288 90 | SOLD BNY MELLON MONEY MARKET FUND CL M | 2,288.90 | (2,288.90) | 0.00 | 0 00 | 0.00 |
| | | | | 1,436,350.42 | (1,280,527.17) | 9,489.91 | 146,333.34 | 155,823.25 |

NOTE> The purchase date of each of these securities is not readily available and has therefore been presented as VARIOUS. However, the attachment here presents information received from the taxpayer's broker which has assured the taxpayer that the classification of short term and long term gains and losses is accurately presented.