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Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation**  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

OMB No. 1545-0052

**2010**

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning

06/01, 2010, and ending

05/31, 2011

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

Name of foundation

THE BALLMANN FAMILY PRIVATE FOUNDATION

A Employer identification number

43-6466750

Number and street (or P O box number if mail is not delivered to street address)

P.O. BOX 387

Room/suite

B Telephone number (see page 10 of the instructions)

(314) 418-2643

City or town, state, and ZIP code

ST. LOUIS, MO 63166

H Check type of organization. ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end

of year (from Part II, col (c), line

16) \$ 29,256,488.

J Accounting method ☒ Cash ☐ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis)

C If exemption application is

pending, check here ☐D 1 Foreign organizations, check here ☐

2 Foreign organizations meeting the

85% test, check here and attach

computation ☐

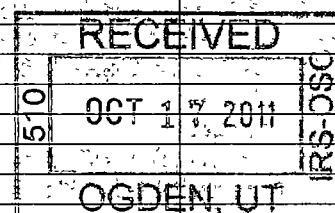
E If private foundation status was terminated

under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination

under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses** (The  
total of amounts in columns (b), (c), and (d)  
may not necessarily equal the amounts in  
column (a) (see page 11 of the instructions))

|                                                                                                  | (a) Revenue and<br>expenses per<br>books | (b) Net investment<br>income | (c) Adjusted net<br>income | (d) Disbursements<br>for charitable<br>purposes<br>(cash basis only) |
|--------------------------------------------------------------------------------------------------|------------------------------------------|------------------------------|----------------------------|----------------------------------------------------------------------|
| <b>Revenue</b>                                                                                   |                                          |                              |                            |                                                                      |
| 1 Contributions, gifts, grants etc., received (attach schedule)                                  |                                          |                              |                            |                                                                      |
| 2 Check <input checked="" type="checkbox"/> if the foundation is not required to<br>attach Sch B |                                          |                              |                            |                                                                      |
| 3 Interest on savings and temporary cash investments                                             |                                          |                              |                            |                                                                      |
| 4 Dividends and interest from securities                                                         | 921,214.                                 | 920,342.                     | 872.                       |                                                                      |
| 5a Gross rents                                                                                   |                                          |                              |                            |                                                                      |
| b Net rental income or (loss)                                                                    |                                          |                              |                            |                                                                      |
| 6a Net gain or (loss) from sale of assets not on line 10                                         | 1,850,815.                               |                              |                            |                                                                      |
| b Gross sales price for all<br>assets on line 6a 12,133,458.                                     |                                          |                              |                            |                                                                      |
| 7 Capital gain net income (from Part IV, line 2)                                                 |                                          | 1,850,815.                   |                            |                                                                      |
| 8 Net short-term capital gain                                                                    |                                          |                              |                            |                                                                      |
| 9 Income modifications                                                                           |                                          |                              |                            |                                                                      |
| 10a Gross sales less returns<br>and allowances                                                   |                                          |                              |                            |                                                                      |
| b Less Cost of goods sold                                                                        |                                          |                              |                            |                                                                      |
| c Gross profit or (loss) (attach schedule)                                                       |                                          |                              |                            |                                                                      |
| 11 Other income (attach schedule)                                                                |                                          |                              |                            |                                                                      |
| 12 Total. Add lines 1 through 11                                                                 | 2,772,029.                               | 2,771,157.                   | 872.                       |                                                                      |
| <b>Operating and Administrative Expenses</b>                                                     |                                          |                              |                            |                                                                      |
| 13 Compensation of officers, directors, trustees, etc.                                           | 85,496.                                  | 42,748.                      |                            | 42,748.                                                              |
| 14 Other employee salaries and wages                                                             |                                          | NONE                         | NONE                       |                                                                      |
| 15 Pension plans, employee benefits                                                              |                                          | NONE                         | NONE                       |                                                                      |
| 16a Legal fees (attach schedule)                                                                 |                                          |                              |                            |                                                                      |
| b Accounting fees (attach schedule) STMT 1                                                       | 16,140.                                  | NONE                         | NONE                       | 16,140.                                                              |
| c Other professional fees (attach schedule)                                                      |                                          |                              |                            |                                                                      |
| 17 Interest                                                                                      |                                          |                              |                            |                                                                      |
| 18 Taxes (attach schedule) (see page 14 of the instructions)                                     | 65,826.                                  | 5,146.                       |                            |                                                                      |
| 19 Depreciation (attach schedule) and depletion                                                  |                                          |                              |                            |                                                                      |
| 20 Occupancy                                                                                     |                                          |                              |                            |                                                                      |
| 21 Travel, conferences, and meetings                                                             |                                          | NONE                         | NONE                       |                                                                      |
| 22 Printing and publications                                                                     |                                          | NONE                         | NONE                       |                                                                      |
| 23 Other expenses (attach schedule)                                                              |                                          |                              |                            |                                                                      |
| 24 Total operating and administrative expenses.<br>Add lines 13 through 23                       | 167,462.                                 | 47,894.                      | NONE                       | 58,888.                                                              |
| 25 Contributions, gifts, grants paid                                                             | 1,236,456.                               |                              |                            | 1,236,456.                                                           |
| 26 Total expenses and disbursements. Add lines 24 and 25                                         | 1,403,918.                               | 47,894.                      | NONE                       | 1,295,344.                                                           |
| 27 Subtract line 26 from line 12                                                                 |                                          |                              |                            |                                                                      |
| a Excess of revenue over expenses and disbursements                                              | 1,368,111.                               |                              |                            |                                                                      |
| b Net investment income (if negative, enter -0-)                                                 |                                          | 2,723,263.                   |                            |                                                                      |
| c Adjusted net income (if negative, enter -0-)                                                   |                                          |                              | 872.                       |                                                                      |



For Paperwork Reduction Act Notice, see page 30 of the instructions.

JSA

Form 990-PF (2010)

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| <b>Part II Balance Sheets</b>      |                                                                                                                                                    | Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.) |                |                       |
|------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|----------------|-----------------------|
|                                    |                                                                                                                                                    | Beginning of year                                                                                                    | End of year    |                       |
|                                    |                                                                                                                                                    | (a) Book Value                                                                                                       | (b) Book Value | (c) Fair Market Value |
| <b>Assets</b>                      | 1 Cash - non-interest-bearing . . . . .                                                                                                            | -7,916.                                                                                                              | -654.          | -654.                 |
|                                    | 2 Savings and temporary cash investments . . . . .                                                                                                 | 212,476.                                                                                                             | 141,760.       | 141,760.              |
|                                    | 3 Accounts receivable ▶ . . . . .                                                                                                                  |                                                                                                                      |                |                       |
|                                    | Less: allowance for doubtful accounts ▶ . . . . .                                                                                                  |                                                                                                                      |                |                       |
|                                    | 4 Pledges receivable ▶ . . . . .                                                                                                                   |                                                                                                                      |                |                       |
|                                    | Less: allowance for doubtful accounts ▶ . . . . .                                                                                                  |                                                                                                                      |                |                       |
|                                    | 5 Grants receivable . . . . .                                                                                                                      |                                                                                                                      |                |                       |
|                                    | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions) . . . . . |                                                                                                                      |                |                       |
|                                    | 7 Other notes and loans receivable (attach schedule) ▶ . . . . .                                                                                   |                                                                                                                      |                |                       |
|                                    | Less: allowance for doubtful accounts ▶ . . . . .                                                                                                  | NONE                                                                                                                 |                |                       |
|                                    | 8 Inventories for sale or use . . . . .                                                                                                            |                                                                                                                      |                |                       |
|                                    | 9 Prepaid expenses and deferred charges . . . . .                                                                                                  |                                                                                                                      |                |                       |
|                                    | 10 a Investments - U.S. and state government obligations (attach schedule) . . . . .                                                               |                                                                                                                      |                |                       |
|                                    | b Investments - corporate stock (attach schedule) . STMT 3 . . . . .                                                                               | 21,891,693.                                                                                                          | 23,818,010.    | 28,874,762.           |
|                                    | c Investments - corporate bonds (attach schedule) . STMT 13 . . . . .                                                                              | 700,000.                                                                                                             | 200,000.       | 240,620.              |
|                                    | 11 Investments - land, buildings, and equipment basis . . . . .                                                                                    |                                                                                                                      |                |                       |
| <b>Liabilities</b>                 | Less: accumulated depreciation (attach schedule) ▶ . . . . .                                                                                       |                                                                                                                      |                |                       |
|                                    | 12 Investments - mortgage loans . . . . .                                                                                                          |                                                                                                                      |                |                       |
|                                    | 13 Investments - other (attach schedule) . . . . .                                                                                                 |                                                                                                                      |                |                       |
|                                    | 14 Land, buildings, and equipment basis . . . . .                                                                                                  |                                                                                                                      |                |                       |
|                                    | Less: accumulated depreciation (attach schedule) ▶ . . . . .                                                                                       |                                                                                                                      |                |                       |
|                                    | 15 Other assets (describe ▶ . . . . .)                                                                                                             |                                                                                                                      |                |                       |
|                                    | 16 <b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I) . . . . .                                  | 22,796,253.                                                                                                          | 24,159,116.    | 29,256,488.           |
|                                    | 17 Accounts payable and accrued expenses . . . . .                                                                                                 |                                                                                                                      |                |                       |
|                                    | 18 Grants payable . . . . .                                                                                                                        |                                                                                                                      |                |                       |
|                                    | 19 Deferred revenue . . . . .                                                                                                                      |                                                                                                                      |                |                       |
| <b>Net Assets or Fund Balances</b> | 20 Loans from officers, directors, trustees, and other disqualified persons . . . . .                                                              |                                                                                                                      |                |                       |
|                                    | 21 Mortgages and other notes payable (attach schedule) . . . . .                                                                                   |                                                                                                                      |                |                       |
|                                    | 22 Other liabilities (describe ▶ . . . . .)                                                                                                        |                                                                                                                      |                |                       |
|                                    | 23 <b>Total liabilities</b> (add lines 17 through 22) . . . . .                                                                                    |                                                                                                                      |                |                       |
|                                    | Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.                      |                                                                                                                      |                |                       |
|                                    | 24 Unrestricted . . . . .                                                                                                                          |                                                                                                                      |                |                       |
|                                    | 25 Temporarily restricted . . . . .                                                                                                                |                                                                                                                      |                |                       |
|                                    | 26 Permanently restricted . . . . .                                                                                                                |                                                                                                                      |                |                       |
|                                    | Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>                        |                                                                                                                      |                |                       |
|                                    | 27 Capital stock, trust principal, or current funds . . . . .                                                                                      | 22,796,253.                                                                                                          | 24,159,116.    |                       |
| <b>Net Assets or Fund Balances</b> | 28 Paid-in or capital surplus, or land, bldg., and equipment fund . . . . .                                                                        |                                                                                                                      |                |                       |
|                                    | 29 Retained earnings, accumulated income, endowment, or other funds . . . . .                                                                      |                                                                                                                      |                |                       |
|                                    | 30 <b>Total net assets or fund balances</b> (see page 17 of the instructions) . . . . .                                                            | 22,796,253.                                                                                                          | 24,159,116.    |                       |
|                                    | 31 <b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions) . . . . .                                               | 22,796,253.                                                                                                          | 24,159,116.    |                       |

**Part III Analysis of Changes in Net Assets or Fund Balances**

|                                                                                                                                                                        |   |             |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|-------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . . | 1 | 22,796,253. |
| 2 Enter amount from Part I, line 27a . . . . .                                                                                                                         | 2 | 1,368,111.  |
| 3 Other increases not included in line 2 (itemize) ▶ . . . . .                                                                                                         | 3 |             |
| 4 Add lines 1, 2, and 3 . . . . .                                                                                                                                      | 4 | 24,164,364. |
| 5 Decreases not included in line 2 (itemize) ▶ . . . . .                                                                                                               | 5 | 5,248.      |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 . . . . .                                                      | 6 | 24,159,116. |

Form 990-PF (2010)

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)                                                                                                 |                                            |                                                 | (b) How acquired<br>P-Purchase<br>D-Donation                                              | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|-------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------|----------------------------------|
| 1a SEE PART IV DETAIL                                                                                                                                                                                                              |                                            |                                                 |                                                                                           |                                      |                                  |
| b                                                                                                                                                                                                                                  |                                            |                                                 |                                                                                           |                                      |                                  |
| c                                                                                                                                                                                                                                  |                                            |                                                 |                                                                                           |                                      |                                  |
| d                                                                                                                                                                                                                                  |                                            |                                                 |                                                                                           |                                      |                                  |
| e                                                                                                                                                                                                                                  |                                            |                                                 |                                                                                           |                                      |                                  |
| (e) Gross sales price                                                                                                                                                                                                              | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g)                                              |                                      |                                  |
| a                                                                                                                                                                                                                                  |                                            |                                                 |                                                                                           |                                      |                                  |
| b                                                                                                                                                                                                                                  |                                            |                                                 |                                                                                           |                                      |                                  |
| c                                                                                                                                                                                                                                  |                                            |                                                 |                                                                                           |                                      |                                  |
| d                                                                                                                                                                                                                                  |                                            |                                                 |                                                                                           |                                      |                                  |
| e                                                                                                                                                                                                                                  |                                            |                                                 |                                                                                           |                                      |                                  |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69                                                                                                                                        |                                            |                                                 | (i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |                                      |                                  |
| (i) F.M.V. as of 12/31/69                                                                                                                                                                                                          | (j) Adjusted basis<br>as of 12/31/69       | (k) Excess of col. (i)<br>over col. (j), if any |                                                                                           |                                      |                                  |
| a                                                                                                                                                                                                                                  |                                            |                                                 |                                                                                           |                                      |                                  |
| b                                                                                                                                                                                                                                  |                                            |                                                 |                                                                                           |                                      |                                  |
| c                                                                                                                                                                                                                                  |                                            |                                                 |                                                                                           |                                      |                                  |
| d                                                                                                                                                                                                                                  |                                            |                                                 |                                                                                           |                                      |                                  |
| e                                                                                                                                                                                                                                  |                                            |                                                 |                                                                                           |                                      |                                  |
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 }                                                                                                |                                            |                                                 | 2                                                                                         | 1,850,815.                           |                                  |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).<br>If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions).<br>If (loss), enter -0- in Part I, line 8. . . . . |                                            |                                                 | 3                                                                                         |                                      |                                  |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

| (a)<br>Base period years<br>Calendar year (or tax year beginning in)                                                                                                                                                                     | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col. (b) divided by col. (c)) |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|----------------------------------------------|-------------------------------------------------------------|
| 2009                                                                                                                                                                                                                                     | 1,049,866.                               | 24,764,179.                                  | 0.04239454092                                               |
| 2008                                                                                                                                                                                                                                     | 1,061,950.                               | 22,870,314.                                  | 0.04643355574                                               |
| 2007                                                                                                                                                                                                                                     | 1,533,802.                               | 29,252,669.                                  | 0.05243289082                                               |
| 2006                                                                                                                                                                                                                                     | 1,493,521.                               | 28,756,895.                                  | 0.05193610089                                               |
| 2005                                                                                                                                                                                                                                     | 1,418,727.                               | 27,834,741.                                  | 0.05096964976                                               |
| 2 Total of line 1, column (d) . . . . .                                                                                                                                                                                                  |                                          |                                              | 2 0.24416673813                                             |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .                                                 |                                          |                                              | 3 0.04883334763                                             |
| 4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5 . . . . .                                                                                                                                                 |                                          |                                              | 4 27,007,831.                                               |
| 5 Multiply line 4 by line 3 . . . . .                                                                                                                                                                                                    |                                          |                                              | 5 1,318,883.                                                |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) . . . . .                                                                                                                                                                   |                                          |                                              | 6 27,233.                                                   |
| 7 Add lines 5 and 6 . . . . .                                                                                                                                                                                                            |                                          |                                              | 7 1,346,116.                                                |
| 8 Enter qualifying distributions from Part XII, line 4 . . . . .<br>If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18 |                                          |                                              | 8 1,295,344.                                                |

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)**

|    |                                                                                                                                                                                                                                                       |    |         |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . .<br>Date of ruling or determination letter: _____ (attach copy of ruling letter if necessary - see instructions) |    |         |
| b  | Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .                                                                                             | 1  | 54,465. |
| c  | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).                                                                                                                              |    |         |
| 2  | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .                                                                                                                                   | 2  |         |
| 3  | Add lines 1 and 2 . . . . .                                                                                                                                                                                                                           | 3  | 54,465. |
| 4  | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .                                                                                                                                 | 4  | NONE    |
| 5  | <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .                                                                                                                                              | 5  | 54,465. |
| 6  | <b>Credits/Payments</b>                                                                                                                                                                                                                               |    |         |
| a  | 2010 estimated tax payments and 2009 overpayment credited to 2010 . . . . .                                                                                                                                                                           | 6a | 60,840. |
| b  | Exempt foreign organizations-tax withheld at source . . . . .                                                                                                                                                                                         | 6b | NONE    |
| c  | Tax paid with application for extension of time to file (Form 8868) . . . . .                                                                                                                                                                         | 6c | NONE    |
| d  | Backup withholding erroneously withheld . . . . .                                                                                                                                                                                                     | 6d |         |
| 7  | Total credits and payments Add lines 6a through 6d . . . . .                                                                                                                                                                                          | 7  | 60,840. |
| 8  | Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached . . . . .                                                                                                         | 8  |         |
| 9  | <b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .                                                                                                                                                 | 9  |         |
| 10 | <b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .                                                                                                                                     | 10 | 6,375.  |
| 11 | Enter the amount of line 10 to be <b>Credited to 2011 estimated tax</b> <input type="checkbox"/> <b>6,375. Refunded</b> <input type="checkbox"/> <b>11</b>                                                                                            | 11 |         |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                                                   | Yes | No |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .                                                                                                                                                                                 |     | X  |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities |     | X  |
| c Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .                                                                                                                                                                                                                                                                                           |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year<br>(1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____                                                                                                                                                                                     |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____                                                                                                                                                                                                                |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .<br>If "Yes," attach a detailed description of the activities                                                                                                                                                                                          |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .                                                                                                                            |     | X  |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .                                                                                                                                                                                                                                                          |     | X  |
| b If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .                                                                                                                                                                                                                                                                               |     |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .<br>If "Yes," attach the statement required by General Instruction T                                                                                                                                                                                    |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .                     | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV                                                                                                                                                                                                                               | X   |    |
| 8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ <b>STMT 15</b>                                                                                                                                                                                                                             |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation . . . . .                                                                                                                                           | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV . . . . .                                                                                       |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .                                                                                                                                                                                                                   |     | X  |

Form 990-PF (2010)

**Part VII-A Statements Regarding Activities (continued)**

|                                                                                                                                                       |                                                                                                                                                                                                                        |    |     |      |
|-------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|------|
| 11                                                                                                                                                    | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)                 | 11 |     | X    |
| 12                                                                                                                                                    | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?                                                                                                  | 12 |     | X    |
| 13                                                                                                                                                    | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?                                                                                                    | 13 | X   |      |
| Website address <u>N/A</u>                                                                                                                            |                                                                                                                                                                                                                        |    |     |      |
| 14                                                                                                                                                    | The books are in care of <u>US BANK NA</u> Telephone no. <u>(314) 418-2643</u><br>Located at <u>PO BOX 387, ST LOUIS, MO</u> ZIP + 4 <u>63166</u>                                                                      |    |     |      |
| 15                                                                                                                                                    | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u> |    |     |      |
| 16                                                                                                                                                    | At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?                              | 16 | Yes | No X |
| See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country <u></u> |                                                                                                                                                                                                                        |    |     |      |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Yes                                     | No                                     |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------|
| 1a During the year did the foundation (either directly or indirectly)                                                                                                                                                                                                                                                                                                                                                                                                                            |                                         |                                        |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                           | <input type="checkbox"/> Yes            | <input checked="" type="checkbox"/> No |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                   | <input type="checkbox"/> Yes            | <input checked="" type="checkbox"/> No |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                       | <input type="checkbox"/> Yes            | <input checked="" type="checkbox"/> No |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                             | <input checked="" type="checkbox"/> Yes | <input type="checkbox"/> No            |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes            | <input checked="" type="checkbox"/> No |
| (6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).                                                                                                                                                                                                                                                   | <input type="checkbox"/> Yes            | <input checked="" type="checkbox"/> No |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)?                                                                                                                                                                                                                                                            | 1b                                      | X                                      |
| Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>                                                                                                                                                                                                                                                                                                                                                                                      |                                         |                                        |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?                                                                                                                                                                                                                                                                                                        | 1c                                      | X                                      |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))                                                                                                                                                                                                                                                                                                                  |                                         |                                        |
| a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010?                                                                                                                                                                                                                                                                                                                                              | <input type="checkbox"/> Yes            | <input checked="" type="checkbox"/> No |
| If "Yes," list the years <u></u>                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                         |                                        |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 22 of the instructions.)                                                                                                                                                                       | 2b                                      | X                                      |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u></u>                                                                                                                                                                                                                                                                                                                                                                        |                                         |                                        |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?                                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes            | <input checked="" type="checkbox"/> No |
| b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010) | 3b                                      |                                        |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                               | 4a                                      | X                                      |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?                                                                                                                                                                                                                                                              | 4b                                      | X                                      |

Form 990-PF (2010)

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a During the year did the foundation pay or incur any amount to
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ Yes ☒ No  
Organizations relying on a current notice regarding disaster assistance check here ☐
- c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No  
If "Yes," attach the statement required by Regulations section 53.4945-5(d)
- 6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No  
If "Yes" to 6b, file Form 8870.
- 7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

- 1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (if not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| SEE STATEMENT 16     |                                                           | 85,496.                                   | -0-                                                                   | -0-                                   |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |

- 2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| NONE                                                          |                                                           | NONE             | NONE                                                                  | NONE                                  |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

Total number of other employees paid over \$50,000 ☐ NONE

Form 990-PF (2010)

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)****3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than \$50,000                        | (b) Type of service | (c) Compensation |
|------------------------------------------------------------------------------------|---------------------|------------------|
| NONE                                                                               |                     | NONE             |
|                                                                                    |                     |                  |
|                                                                                    |                     |                  |
|                                                                                    |                     |                  |
|                                                                                    |                     |                  |
|                                                                                    |                     |                  |
| Total number of others receiving over \$50,000 for professional services . . . . . |                     | NONE             |

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

|        | Expenses |
|--------|----------|
| 1 NONE |          |
| 2      |          |
| 3      |          |
| 4      |          |

**Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

|                                                                       | Amount |
|-----------------------------------------------------------------------|--------|
| 1 NONE                                                                |        |
| 2                                                                     |        |
| All other program-related investments See page 24 of the instructions |        |
| 3 NONE                                                                |        |
| Total. Add lines 1 through 3 . . . . .                                |        |

Form 990-PF (2010)

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

|   |                                                                                                                          |                |
|---|--------------------------------------------------------------------------------------------------------------------------|----------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:              |                |
| a | Average monthly fair market value of securities                                                                          | 1a 27,419,118. |
| b | Average of monthly cash balances                                                                                         | 1b NONE        |
| c | Fair market value of all other assets (see page 25 of the instructions)                                                  | 1c NONE        |
| d | <b>Total</b> (add lines 1a, b, and c)                                                                                    | 1d 27,419,118. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)                | 1e             |
| 2 | Acquisition indebtedness applicable to line 1 assets                                                                     | 2 NONE         |
| 3 | Subtract line 2 from line 1d                                                                                             | 3 27,419,118.  |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions) | 4 411,287.     |
| 5 | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4              | 5 27,007,831.  |
| 6 | <b>Minimum investment return.</b> Enter 5% of line 5                                                                     | 6 1,350,392.   |

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|    |                                                                                                           |              |
|----|-----------------------------------------------------------------------------------------------------------|--------------|
| 1  | Minimum investment return from Part X, line 6                                                             | 1 1,350,392. |
| 2a | Tax on investment income for 2010 from Part VI, line 5                                                    | 2a 54,465.   |
| b  | Income tax for 2010. (This does not include the tax from Part VI)                                         | 2b           |
| c  | Add lines 2a and 2b                                                                                       | 2c 54,465.   |
| 3  | Distributable amount before adjustments. Subtract line 2c from line 1                                     | 3 1,295,927. |
| 4  | Recoveries of amounts treated as qualifying distributions                                                 | 4 NONE       |
| 5  | Add lines 3 and 4                                                                                         | 5 1,295,927. |
| 6  | Deduction from distributable amount (see page 25 of the instructions)                                     | 6 NONE       |
| 7  | <b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 1,295,927. |

**Part XII Qualifying Distributions** (see page 25 of the instructions)

|   |                                                                                                                                                                     |               |
|---|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:                                                                          |               |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26                                                                                       | 1a 1,295,344. |
| b | Program-related investments - total from Part IX-B                                                                                                                  | 1b            |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                                                           | 2 NONE        |
| 3 | Amounts set aside for specific charitable projects that satisfy the:                                                                                                |               |
| a | Suitability test (prior IRS approval required)                                                                                                                      | 3a NONE       |
| b | Cash distribution test (attach the required schedule)                                                                                                               | 3b NONE       |
| 4 | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                                                   | 4 1,295,344.  |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions) | 5 N/A         |
| 6 | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4                                                                                               | 6 1,295,344.  |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see page 26 of the instructions)

|                                                                                                                                                                                      | (a)<br>Corpus | (b)<br>Years prior to 2009 | (c)<br>2009 | (d)<br>2010 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2010 from Part XI, line 7 . . . . .                                                                                                                       |               |                            |             | 1,295,927.  |
| 2 Undistributed income, if any, as of the end of 2010                                                                                                                                |               |                            |             |             |
| a Enter amount for 2009 only . . . . .                                                                                                                                               |               |                            | 20,646.     |             |
| b Total for prior years 20 08, 20 , 20 . . . . .                                                                                                                                     |               | NONE                       |             |             |
| 3 Excess distributions carryover, if any, to 2010                                                                                                                                    |               |                            |             |             |
| a From 2005 . . . . .                                                                                                                                                                | NONE          |                            |             |             |
| b From 2006 . . . . .                                                                                                                                                                | NONE          |                            |             |             |
| c From 2007 . . . . .                                                                                                                                                                | NONE          |                            |             |             |
| d From 2008 . . . . .                                                                                                                                                                | NONE          |                            |             |             |
| e From 2009 . . . . .                                                                                                                                                                | NONE          |                            |             |             |
| f Total of lines 3a through e . . . . .                                                                                                                                              | NONE          |                            |             |             |
| 4 Qualifying distributions for 2010 from Part XII, line 4 ► \$ 1,295,344.                                                                                                            |               |                            |             |             |
| a Applied to 2009, but not more than line 2a . . . . .                                                                                                                               |               |                            | 20,646.     |             |
| b Applied to undistributed income of prior years (Election required - see page 26 of the instructions) . . . . .                                                                     |               | NONE                       |             |             |
| c Treated as distributions out of corpus (Election required - see page 26 of the instructions) . . . . .                                                                             | NONE          |                            |             |             |
| d Applied to 2010 distributable amount . . . . .                                                                                                                                     |               |                            |             | 1,274,698.  |
| e Remaining amount distributed out of corpus . . . . .                                                                                                                               | NONE          |                            |             |             |
| 5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a) ) . . . . .                                        | NONE          |                            |             | NONE        |
| 6 Enter the net total of each column as indicated below:                                                                                                                             |               |                            |             |             |
| a Corpus Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .                                                                                                                         | NONE          |                            |             |             |
| b Prior years' undistributed income. Subtract line 4b from line 2b . . . . .                                                                                                         |               | NONE                       |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . . |               | NONE                       |             |             |
| d Subtract line 6c from line 6b. Taxable amount - see page 27 of the instructions . . . . .                                                                                          |               | NONE                       |             |             |
| e Undistributed income for 2009 Subtract line 4a from line 2a. Taxable amount - see page 27 of the instructions . . . . .                                                            |               |                            |             |             |
| f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011 . . . . .                                                                |               |                            |             | 21,229.     |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) . . . . .                   | NONE          |                            |             |             |
| 8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions) . . . . .                                                               | NONE          |                            |             |             |
| 9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a . . . . .                                                                                              | NONE          |                            |             |             |
| 10 Analysis of line 9                                                                                                                                                                |               |                            |             |             |
| a Excess from 2006 . . . . .                                                                                                                                                         | NONE          |                            |             |             |
| b Excess from 2007 . . . . .                                                                                                                                                         | NONE          |                            |             |             |
| c Excess from 2008 . . . . .                                                                                                                                                         | NONE          |                            |             |             |
| d Excess from 2009 . . . . .                                                                                                                                                         | NONE          |                            |             |             |
| e Excess from 2010 . . . . .                                                                                                                                                         | NONE          |                            |             |             |

**Part XIV Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9) **NOT APPLICABLE**

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling . . . . .

**b** Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

|                                                                                                                                                                   | Tax year | Prior 3 years |          |          | (e) Total |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|---------------|----------|----------|-----------|
|                                                                                                                                                                   | (a) 2010 | (b) 2009      | (c) 2008 | (d) 2007 |           |
| <b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .                     |          |               |          |          |           |
| <b>b</b> 85% of line 2a . . . . .                                                                                                                                 |          |               |          |          |           |
| <b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .                                                                            |          |               |          |          |           |
| <b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .                                                          |          |               |          |          |           |
| <b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .                                  |          |               |          |          |           |
| <b>3</b> Complete 3a, b, or c for the alternative test relied upon                                                                                                |          |               |          |          |           |
| <b>a</b> "Assets" alternative test - enter                                                                                                                        |          |               |          |          |           |
| <b>(1)</b> Value of all assets . . . . .                                                                                                                          |          |               |          |          |           |
| <b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i). . . . .                                                                                     |          |               |          |          |           |
| <b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .                             |          |               |          |          |           |
| <b>c</b> "Support" alternative test - enter                                                                                                                       |          |               |          |          |           |
| <b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . . |          |               |          |          |           |
| <b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .                                      |          |               |          |          |           |
| <b>(3)</b> Largest amount of support from an exempt organization . . . . .                                                                                        |          |               |          |          |           |
| <b>(4)</b> Gross investment income . . . . .                                                                                                                      |          |               |          |          |           |

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number of the person to whom applications should be addressed.

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient                                             | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount     |
|-------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|------------|
| Name and address (home or business)                   |                                                                                                           |                                |                                  |            |
| <b>a Paid during the year</b><br><br>SEE STATEMENT 29 |                                                                                                           |                                |                                  |            |
| <b>Total</b>                                          |                                                                                                           |                                | ▶ <b>3a</b>                      | 1,236,456. |
| <b>b Approved for future payment</b>                  |                                                                                                           |                                |                                  |            |
| <b>Total</b>                                          |                                                                                                           |                                | ▶ <b>3b</b>                      |            |

[illegible]

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

NOT APPLICABLE



**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                                |                    |                           |                              | Date acquired            | Date sold  |
|----------------------------------------|--------------------------------|----------------------------------------------------------------------------|--------------------|---------------------------|------------------------------|--------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                        | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss)           |            |
|                                        |                                | TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS                                     |                    |                           |                              | 147,664.                 |            |
| 8,352.00                               |                                | 1446. APAC TELESERVICES INC<br>PROPERTY TYPE: SECURITIES<br>7,900.00       |                    |                           |                              | 11/12/2010<br>452.00     | 03/10/2011 |
| 164,875.00                             |                                | 6020. ATT INC<br>PROPERTY TYPE: SECURITIES<br>188,426.00                   |                    |                           |                              | 08/30/2006<br>-23,551.00 | 09/08/2010 |
| 14,055.00                              |                                | 973. A T P OIL & GAS CORPORATION<br>PROPERTY TYPE: SECURITIES<br>17,314.00 |                    |                           |                              | 06/10/2010<br>-3,259.00  | 08/05/2010 |
| 231,411.00                             |                                | 4577. ABBOTT LABORATORIES<br>PROPERTY TYPE: SECURITIES<br>201,174.00       |                    |                           |                              | 03/10/2006<br>30,237.00  | 09/08/2010 |
| 12,681.00                              |                                | 265. ABBOTT LABORATORIES<br>PROPERTY TYPE: SECURITIES<br>11,648.00         |                    |                           |                              | 03/10/2006<br>1,033.00   | 12/23/2010 |
| 162,846.00                             |                                | 2087. AIR PRODS CHEMICALS INC<br>PROPERTY TYPE: SECURITIES<br>142,732.00   |                    |                           |                              | 06/02/2009<br>20,114.00  | 09/08/2010 |
| 37,484.00                              |                                | 413. AIR PRODS CHEMICALS INC<br>PROPERTY TYPE: SECURITIES<br>28,246.00     |                    |                           |                              | 06/02/2009<br>9,238.00   | 12/23/2010 |
| 19,860.00                              |                                | 2714. AIRTRAN HLDGS INC<br>PROPERTY TYPE: SECURITIES<br>15,022.00          |                    |                           |                              | 07/12/2010<br>4,838.00   | 09/28/2010 |
| 38,494.00                              |                                | 597. ALLERGAN INC<br>PROPERTY TYPE: SECURITIES<br>28,252.00                |                    |                           |                              | 06/29/2009<br>10,242.00  | 09/08/2010 |
| 41,724.00                              |                                | 600. ALLERGAN INC<br>PROPERTY TYPE: SECURITIES<br>28,394.00                |                    |                           |                              | 06/29/2009<br>13,330.00  | 12/23/2010 |

**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                                   |                    |                           |                              | Date acquired            | Date sold  |
|----------------------------------------|--------------------------------|-------------------------------------------------------------------------------|--------------------|---------------------------|------------------------------|--------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                           | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss)           |            |
| 10,353.00                              |                                | 390. AMEDISYS INC<br>PROPERTY TYPE: SECURITIES<br>18,034.00                   |                    |                           |                              | 05/10/2010<br>-7,681.00  | 07/14/2010 |
| 715.00                                 |                                | . AIG 2008 SECURITIES LITIGATION<br>PROPERTY TYPE: SECURITIES                 |                    |                           |                              | 715.00                   | 09/24/2010 |
| 21,267.00                              |                                | 404. AMERICAN ITALIAN PASTA CO CL A<br>PROPERTY TYPE: SECURITIES<br>12,429.00 |                    |                           |                              | 10/16/2009<br>8,838.00   | 06/22/2010 |
| 13,517.00                              |                                | 684. AMERICAN MED SYS HLDGS INC<br>PROPERTY TYPE: SECURITIES<br>14,595.00     |                    |                           |                              | 05/18/2010<br>-1,078.00  | 11/05/2010 |
| 37,106.00                              |                                | 700. AMGEN INC<br>PROPERTY TYPE: SECURITIES<br>39,275.00                      |                    |                           |                              | 11/23/2009<br>-2,169.00  | 09/08/2010 |
| 148,424.00                             |                                | 2800. AMGEN INC<br>PROPERTY TYPE: SECURITIES<br>163,949.00                    |                    |                           |                              | 11/07/2008<br>-15,525.00 | 09/08/2010 |
| 130,848.00                             |                                | 1418. APACHE CORP<br>PROPERTY TYPE: SECURITIES<br>92,250.00                   |                    |                           |                              | 08/30/2006<br>38,598.00  | 09/08/2010 |
| 51,725.00                              |                                | 437. APACHE CORP<br>PROPERTY TYPE: SECURITIES<br>28,430.00                    |                    |                           |                              | 08/30/2006<br>23,295.00  | 12/23/2010 |
| 334,514.00                             |                                | 1269. APPLE INC<br>PROPERTY TYPE: SECURITIES<br>85,306.00                     |                    |                           |                              | 08/30/2006<br>249,208.00 | 09/08/2010 |
| 110,659.00                             |                                | 342. APPLE INC<br>PROPERTY TYPE: SECURITIES<br>22,990.00                      |                    |                           |                              | 08/30/2006<br>87,669.00  | 12/23/2010 |
| 71,471.00                              |                                | 5500. BANK OF AMERICA CORP<br>PROPERTY TYPE: SECURITIES<br>74,177.00          |                    |                           |                              | 09/08/2010<br>-2,706.00  | 12/23/2010 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                             |                          |                                 |                                    | P<br>or<br>D | Date<br>acquired         | Date sold  |
|----------------------------------------------|---------------------------------------|-------------------------------------------------------------------------|--------------------------|---------------------------------|------------------------------------|--------------|--------------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                               | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss)     |            |
| 13,811.00                                    |                                       | 297. BANK OF HAWAII CORP<br>PROPERTY TYPE: SECURITIES<br>16,204.00      |                          |                                 |                                    |              | 10/03/2008<br>-2,393.00  | 12/21/2010 |
| 3,650.00                                     |                                       | 128. B E AEROSPACE INC<br>PROPERTY TYPE: SECURITIES<br>1,183.00         |                          |                                 |                                    |              | 10/16/2008<br>2,467.00   | 08/11/2010 |
| 9,066.00                                     |                                       | 254. B E AEROSPACE INC<br>PROPERTY TYPE: SECURITIES<br>2,347.00         |                          |                                 |                                    |              | 10/16/2008<br>6,719.00   | 10/27/2010 |
| 4,524.00                                     |                                       | 113. B E AEROSPACE INC<br>PROPERTY TYPE: SECURITIES<br>1,044.00         |                          |                                 |                                    |              | 10/16/2008<br>3,480.00   | 01/18/2011 |
| 3,345.00                                     |                                       | 97. BERRY PETE CO CL A<br>PROPERTY TYPE: SECURITIES<br>1,711.00         |                          |                                 |                                    |              | 05/28/2009<br>1,634.00   | 10/27/2010 |
| 4,010.00                                     |                                       | 81. BERRY PETE CO CL A<br>PROPERTY TYPE: SECURITIES<br>1,428.00         |                          |                                 |                                    |              | 05/21/2009<br>2,582.00   | 04/13/2011 |
| 5,643.00                                     |                                       | 493. BIG 5 SPORTING GOODS CORP<br>PROPERTY TYPE: SECURITIES<br>8,719.00 |                          |                                 |                                    |              | 04/20/2010<br>-3,076.00  | 07/12/2010 |
| 12,004.00                                    |                                       | 651. BIOMED REALTY TRUST INC<br>PROPERTY TYPE: SECURITIES<br>4,139.00   |                          |                                 |                                    |              | 03/30/2009<br>7,865.00   | 08/05/2010 |
| 37,915.00                                    |                                       | 250. BLACKROCK INC<br>PROPERTY TYPE: SECURITIES<br>57,250.00            |                          |                                 |                                    |              | 11/23/2009<br>-19,335.00 | 09/08/2010 |
| 151,658.00                                   |                                       | 1000. BLACKROCK INC<br>PROPERTY TYPE: SECURITIES<br>176,288.00          |                          |                                 |                                    |              | 06/29/2009<br>-24,630.00 | 09/08/2010 |
| 4,385.00                                     |                                       | 368. BRANDYWINE REALTY TRUST<br>PROPERTY TYPE: SECURITIES<br>1,732.00   |                          |                                 |                                    |              | 04/14/2009<br>2,653.00   | 10/29/2010 |

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**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                             |                          |                                 |                                    | P<br>or<br>D | Date<br>acquired         | Date sold  |
|----------------------------------------------|---------------------------------------|-------------------------------------------------------------------------|--------------------------|---------------------------------|------------------------------------|--------------|--------------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                               | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss)     |            |
| 12,042.00                                    |                                       | 1107. BRANDYWINE REALTY TRUST<br>PROPERTY TYPE: SECURITIES<br>4,251.00  |                          |                                 |                                    |              | 04/21/2009<br>7,791.00   | 12/03/2010 |
| 7,720.00                                     |                                       | 532. BRIDGEPOINT EDUCATION INC<br>PROPERTY TYPE: SECURITIES<br>9,393.00 |                          |                                 |                                    |              | 03/05/2010<br>-1,673.00  | 10/15/2010 |
| 2,150.00                                     |                                       | 244. BRIGHTPOINT INC<br>PROPERTY TYPE: SECURITIES<br>1,452.00           |                          |                                 |                                    |              | 07/29/2009<br>698.00     | 11/05/2010 |
| 1,184.00                                     |                                       | 131. BRIGHTPOINT INC<br>PROPERTY TYPE: SECURITIES<br>779.00             |                          |                                 |                                    |              | 07/29/2009<br>405.00     | 11/08/2010 |
| 7,818.00                                     |                                       | 650. BRIGHTPOINT INC<br>PROPERTY TYPE: SECURITIES<br>3,850.00           |                          |                                 |                                    |              | 07/29/2009<br>3,968.00   | 02/04/2011 |
| 8,844.00                                     |                                       | 894. BRIGHTPOINT INC<br>PROPERTY TYPE: SECURITIES<br>4,685.00           |                          |                                 |                                    |              | 07/17/2009<br>4,159.00   | 03/23/2011 |
| 69,381.00                                    |                                       | 2633. BRISTOL MYERS SQUIBB CO<br>PROPERTY TYPE: SECURITIES<br>70,904.00 |                          |                                 |                                    |              | 09/08/2010<br>-1,523.00  | 12/23/2010 |
| 85,429.00                                    |                                       | 3000. CVS CAREMARK CORP<br>PROPERTY TYPE: SECURITIES<br>103,532.00      |                          |                                 |                                    |              | 08/30/2006<br>-18,103.00 | 09/08/2010 |
| 9,156.00                                     |                                       | 135. CAPELLA EDUCATION CO<br>PROPERTY TYPE: SECURITIES<br>9,403.00      |                          |                                 |                                    |              | 09/22/2010<br>-247.00    | 10/15/2010 |
| 230,849.00                                   |                                       | 6758. CARNIVAL CORP<br>PROPERTY TYPE: SECURITIES<br>182,326.00          |                          |                                 |                                    |              | 06/02/2009<br>48,523.00  | 09/08/2010 |
| 34,265.00                                    |                                       | 742. CARNIVAL CORP<br>PROPERTY TYPE: SECURITIES<br>20,019.00            |                          |                                 |                                    |              | 06/02/2009<br>14,246.00  | 12/23/2010 |

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**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                               |                          |                                 |                                    | P<br>or<br>D | Date<br>acquired        | Date sold  |
|----------------------------------------------|---------------------------------------|---------------------------------------------------------------------------|--------------------------|---------------------------------|------------------------------------|--------------|-------------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                                 | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss)    |            |
| 65,457.00                                    |                                       | 843. CHEVRON CORPORATION<br>PROPERTY TYPE: SECURITIES<br>54,441.00        |                          |                                 |                                    |              | 08/30/2006<br>11,016.00 | 09/08/2010 |
| 53,062.00                                    |                                       | 585. CHEVRON CORPORATION<br>PROPERTY TYPE: SECURITIES<br>37,779.00        |                          |                                 |                                    |              | 08/30/2006<br>15,283.00 | 12/23/2010 |
| 215.00                                       |                                       | . CHILDREN'S PLACE INC SECURITIES LITIGA<br>PROPERTY TYPE: SECURITIES     |                          |                                 |                                    |              | 215.00                  | 02/23/2011 |
| 6,369.00                                     |                                       | 674. CHINA VALVES TECHNOLOGY INC<br>PROPERTY TYPE: SECURITIES<br>7,663.00 |                          |                                 |                                    |              | 11/15/2010<br>-1,294.00 | 11/22/2010 |
| 40,553.00                                    |                                       | 1961. CISCO SYS INC<br>PROPERTY TYPE: SECURITIES<br>40,691.00             |                          |                                 |                                    |              | 03/10/2006<br>-138.00   | 09/08/2010 |
| 86,600.00                                    |                                       | 4415. CISCO SYS INC<br>PROPERTY TYPE: SECURITIES<br>91,611.00             |                          |                                 |                                    |              | 03/10/2006<br>-5,011.00 | 12/23/2010 |
| 24.00                                        |                                       | .6 CITIGROUP INC<br>PROPERTY TYPE: SECURITIES<br>23.00                    |                          |                                 |                                    |              | 09/08/2010<br>1.00      | 05/25/2011 |
| 6,351.00                                     |                                       | 261. CITI TRENDS INC<br>PROPERTY TYPE: SECURITIES<br>6,564.00             |                          |                                 |                                    |              | 07/02/2009<br>-213.00   | 10/01/2010 |
| 11,685.00                                    |                                       | 326. CITY HLDG CO<br>PROPERTY TYPE: SECURITIES<br>11,342.00               |                          |                                 |                                    |              | 07/02/2009<br>343.00    | 04/07/2011 |
| 4,351.00                                     |                                       | 95. COINSTAR INC<br>PROPERTY TYPE: SECURITIES<br>3,811.00                 |                          |                                 |                                    |              | 07/14/2010<br>540.00    | 10/27/2010 |
| 3,491.00                                     |                                       | 61. COINSTAR INC<br>PROPERTY TYPE: SECURITIES<br>1,809.00                 |                          |                                 |                                    |              | 02/25/2010<br>1,682.00  | 10/29/2010 |

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| Kind of Property                       |                                | Description                                                                    |                    |                           |                              | P or D | Date acquired           | Date sold  |
|----------------------------------------|--------------------------------|--------------------------------------------------------------------------------|--------------------|---------------------------|------------------------------|--------|-------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                            | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis |        | Gain or (loss)          |            |
| 3,949.00                               |                                | 67. COINSTAR INC<br>PROPERTY TYPE: SECURITIES<br>1,987.00                      |                    |                           |                              |        | 02/25/2010<br>1,962.00  | 11/01/2010 |
| 6,788.00                               |                                | 166. COINSTAR INC<br>PROPERTY TYPE: SECURITIES<br>4,924.00                     |                    |                           |                              |        | 02/25/2010<br>1,864.00  | 01/18/2011 |
| 127,722.00                             |                                | 7097. COMCAST CORP CL A<br>PROPERTY TYPE: SECURITIES<br>123,001.00             |                    |                           |                              |        | 11/07/2008<br>4,721.00  | 09/08/2010 |
| 1,804.00                               |                                | 84. COMTECH TELECOMMUNICATIONS CORP<br>PROPERTY TYPE: SECURITIES<br>2,781.00   |                    |                           |                              |        | 10/16/2009<br>-977.00   | 07/22/2010 |
| 8,591.00                               |                                | 400. COMTECH TELECOMMUNICATIONS CORP<br>PROPERTY TYPE: SECURITIES<br>14,967.00 |                    |                           |                              |        | 05/06/2009<br>-6,376.00 | 07/22/2010 |
| 200,895.00                             |                                | 3671. CONOCOPHILLIPS<br>PROPERTY TYPE: SECURITIES<br>176,133.00                |                    |                           |                              |        | 06/02/2009<br>24,762.00 | 09/08/2010 |
| 22,003.00                              |                                | 329. CONOCOPHILLIPS<br>PROPERTY TYPE: SECURITIES<br>15,785.00                  |                    |                           |                              |        | 06/02/2009<br>6,218.00  | 12/23/2010 |
| 14,931.00                              |                                | 677. CUBIST PHARMACEUTICALS INC<br>PROPERTY TYPE: SECURITIES<br>13,907.00      |                    |                           |                              |        | 12/11/2008<br>1,024.00  | 01/18/2011 |
| 2,457.00                               |                                | 16. DECKERS OUTDOOR CORP<br>PROPERTY TYPE: SECURITIES<br>2,252.00              |                    |                           |                              |        | 04/29/2008<br>205.00    | 06/23/2010 |
| 3,171.00                               |                                | 42. DECKERS OUTDOOR CORP<br>PROPERTY TYPE: SECURITIES<br>1,970.00              |                    |                           |                              |        | 04/29/2008<br>1,201.00  | 11/30/2010 |
| 3,648.00                               |                                | 45. DECKERS OUTDOOR CORP<br>PROPERTY TYPE: SECURITIES<br>1,231.00              |                    |                           |                              |        | 11/07/2008<br>2,417.00  | 12/08/2010 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                                     |                          |                                 |                                    | P<br>or<br>D | Date<br>acquired        | Date sold  |
|----------------------------------------------|---------------------------------------|---------------------------------------------------------------------------------|--------------------------|---------------------------------|------------------------------------|--------------|-------------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                                       | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss)    |            |
| 4,501.00                                     |                                       | 49. DECKERS OUTDOOR CORP<br>PROPERTY TYPE: SECURITIES<br>1,193.00               |                          |                                 |                                    |              | 11/07/2008<br>3,308.00  | 02/22/2011 |
| 3,369.00                                     |                                       | 38. DECKERS OUTDOOR CORP<br>PROPERTY TYPE: SECURITIES<br>925.00                 |                          |                                 |                                    |              | 11/07/2008<br>2,444.00  | 02/28/2011 |
| 8,256.00                                     |                                       | 717. DIAMONDROCK HOSPITALITY CO<br>PROPERTY TYPE: SECURITIES<br>6,605.00        |                          |                                 |                                    |              | 01/07/2010<br>1,651.00  | 12/22/2010 |
| 3,248.00                                     |                                       | 280. DIAMONDROCK HOSPITALITY CO<br>PROPERTY TYPE: SECURITIES<br>2,579.00        |                          |                                 |                                    |              | 01/07/2010<br>669.00    | 12/23/2010 |
| 8,809.00                                     |                                       | 339. DIGITAL RIVER INC<br>PROPERTY TYPE: SECURITIES<br>18,713.00                |                          |                                 |                                    |              | 04/19/2007<br>-9,904.00 | 08/13/2010 |
| 64,383.00                                    |                                       | 1897. DISNEY WALT CO<br>PROPERTY TYPE: SECURITIES<br>45,880.00                  |                          |                                 |                                    |              | 11/07/2008<br>18,503.00 | 09/08/2010 |
| 69,907.00                                    |                                       | 1859. DISNEY WALT CO<br>PROPERTY TYPE: SECURITIES<br>44,961.00                  |                          |                                 |                                    |              | 11/07/2008<br>24,946.00 | 12/23/2010 |
| 14,663.00                                    |                                       | 790. DOUGLAS EMMITT INC<br>PROPERTY TYPE: SECURITIES<br>13,208.00               |                          |                                 |                                    |              | 12/03/2010<br>1,455.00  | 02/18/2011 |
| 2,334.00                                     |                                       | 78. DREAMWORKS ANIMATION SKG INC CL A<br>PROPERTY TYPE: SECURITIES<br>2,967.00  |                          |                                 |                                    |              | 05/11/2010<br>-633.00   | 12/14/2010 |
| 8,679.00                                     |                                       | 290. DREAMWORKS ANIMATION SKG INC CL A<br>PROPERTY TYPE: SECURITIES<br>5,423.00 |                          |                                 |                                    |              | 03/04/2009<br>3,256.00  | 12/14/2010 |
| 4,415.00                                     |                                       | 182. DUPONT FABROS TECHNOLOGY<br>PROPERTY TYPE: SECURITIES<br>1,527.00          |                          |                                 |                                    |              | 05/12/2009<br>2,888.00  | 06/07/2010 |

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| Kind of Property                       |                                | Description                                                                |                    |                           |                              | Date acquired            | Date sold  |
|----------------------------------------|--------------------------------|----------------------------------------------------------------------------|--------------------|---------------------------|------------------------------|--------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                        | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss)           |            |
| 194,204.00                             |                                | 3948. ECOLAB INC<br>PROPERTY TYPE: SECURITIES<br>178,307.00                |                    |                           |                              | 08/30/2006<br>15,897.00  | 09/08/2010 |
| 24,679.00                              |                                | 492. ECOLAB INC<br>PROPERTY TYPE: SECURITIES<br>22,221.00                  |                    |                           |                              | 08/30/2006<br>2,458.00   | 12/23/2010 |
| 2,904.00                               |                                | 46. EMERGENCY MEDICAL SERVICES A<br>PROPERTY TYPE: SECURITIES<br>1,419.00  |                    |                           |                              | 04/19/2007<br>1,485.00   | 12/15/2010 |
| 3,783.00                               |                                | 54. EMERGENCY MEDICAL SERVICES A<br>PROPERTY TYPE: SECURITIES<br>1,666.00  |                    |                           |                              | 04/19/2007<br>2,117.00   | 02/09/2011 |
| 3,398.00                               |                                | 54. EMERGENCY MEDICAL SERVICES A<br>PROPERTY TYPE: SECURITIES<br>2,896.00  |                    |                           |                              | 05/05/2010<br>502.00     | 02/15/2011 |
| 9,878.00                               |                                | 157. EMERGENCY MEDICAL SERVICES A<br>PROPERTY TYPE: SECURITIES<br>4,246.00 |                    |                           |                              | 09/19/2007<br>5,632.00   | 02/15/2011 |
| 304,899.00                             |                                | 6059. EMERSON ELEC CO<br>PROPERTY TYPE: SECURITIES<br>195,317.00           |                    |                           |                              | 06/02/2009<br>109,582.00 | 09/08/2010 |
| 82,668.00                              |                                | 1440. EMERSON ELEC CO<br>PROPERTY TYPE: SECURITIES<br>50,139.00            |                    |                           |                              | 06/02/2009<br>32,529.00  | 12/23/2010 |
| 3,345.00                               |                                | 114. ENERSYS<br>PROPERTY TYPE: SECURITIES<br>2,133.00                      |                    |                           |                              | 05/04/2009<br>1,212.00   | 11/17/2010 |
| 32,694.00                              |                                | 404. EQUINIX INC<br>PROPERTY TYPE: SECURITIES<br>38,517.00                 |                    |                           |                              | 09/08/2010<br>-5,823.00  | 12/23/2010 |
| 5,814.00                               |                                | 216. EVERCORE PARTNERS INC<br>PROPERTY TYPE: SECURITIES<br>6,583.00        |                    |                           |                              | 12/14/2009<br>-769.00    | 09/27/2010 |

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| Kind of Property                             |                                       | Description                                                                 |                          |                                 |                                    | P<br>or<br>D | Date<br>acquired        | Date sold  |
|----------------------------------------------|---------------------------------------|-----------------------------------------------------------------------------|--------------------------|---------------------------------|------------------------------------|--------------|-------------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                                   | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss)    |            |
| 6,029.00                                     |                                       | 224. EVERCORE PARTNERS INC<br>PROPERTY TYPE: SECURITIES<br>6,574.00         |                          |                                 |                                    |              | 09/17/2009<br>-545.00   | 09/27/2010 |
| 251,286.00                                   |                                       | 5682. EXPRESS SCRIPTS INC<br>PROPERTY TYPE: SECURITIES<br>183,124.00        |                          |                                 |                                    |              | 06/02/2009<br>68,162.00 | 09/08/2010 |
| 16,084.00                                    |                                       | 297. EXPRESS SCRIPTS INC<br>PROPERTY TYPE: SECURITIES<br>9,572.00           |                          |                                 |                                    |              | 06/02/2009<br>6,512.00  | 12/23/2010 |
| 61,212.00                                    |                                       | 838. EXXON MOBIL CORP<br>PROPERTY TYPE: SECURITIES<br>61,157.00             |                          |                                 |                                    |              | 06/02/2009<br>55.00     | 12/23/2010 |
| 11,877.00                                    |                                       | 1466. FAIRCHILD SEMICON INTL CL A<br>PROPERTY TYPE: SECURITIES<br>14,645.00 |                          |                                 |                                    |              | 01/29/2010<br>-2,768.00 | 08/13/2010 |
| 129,889.00                                   |                                       | 2605. FASTENAL CO<br>PROPERTY TYPE: SECURITIES<br>93,883.00                 |                          |                                 |                                    |              | 06/02/2009<br>36,006.00 | 09/08/2010 |
| 23,423.00                                    |                                       | 395. FASTENAL CO<br>PROPERTY TYPE: SECURITIES<br>14,236.00                  |                          |                                 |                                    |              | 06/02/2009<br>9,187.00  | 12/23/2010 |
| 3,335.00                                     |                                       | 115. FINISAR CORPORATION<br>PROPERTY TYPE: SECURITIES<br>2,776.00           |                          |                                 |                                    |              | 12/03/2010<br>559.00    | 12/22/2010 |
| 2,115.00                                     |                                       | 53. FINISAR CORPORATION<br>PROPERTY TYPE: SECURITIES<br>1,279.00            |                          |                                 |                                    |              | 12/03/2010<br>836.00    | 02/07/2011 |
| 143,769.00                                   |                                       | 3000. FLUOR CORP<br>PROPERTY TYPE: SECURITIES<br>150,880.00                 |                          |                                 |                                    |              | 06/02/2009<br>-7,111.00 | 09/08/2010 |
| 30,505.00                                    |                                       | 258. FLOWSERVE CORP<br>PROPERTY TYPE: SECURITIES<br>25,503.00               |                          |                                 |                                    |              | 09/08/2010<br>5,002.00  | 12/23/2010 |

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                                   |                          |                                 |                                    | P<br>or<br>D | Date<br>acquired         | Date sold  |
|----------------------------------------------|---------------------------------------|-------------------------------------------------------------------------------|--------------------------|---------------------------------|------------------------------------|--------------|--------------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                                     | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss)     |            |
| 16,421.00                                    |                                       | 230. FOSSIL INC<br>PROPERTY TYPE: SECURITIES<br>6,881.00                      |                          |                                 |                                    |              | 06/11/2009<br>9,540.00   | 12/03/2010 |
| 295,848.00                                   |                                       | 3714. FREEPORT MCMORAN COPPER GOLD<br>PROPERTY TYPE: SECURITIES<br>195,392.00 |                          |                                 |                                    |              | 06/29/2009<br>100,456.00 | 09/08/2010 |
| 33,695.00                                    |                                       | 286. FREEPORT MCMORAN COPPER GOLD<br>PROPERTY TYPE: SECURITIES<br>16,276.00   |                          |                                 |                                    |              | 06/02/2009<br>17,419.00  | 12/23/2010 |
| 3,256.00                                     |                                       | 347. GT SOLAR INTERNATIONAL INC<br>PROPERTY TYPE: SECURITIES<br>2,999.00      |                          |                                 |                                    |              | 10/01/2010<br>257.00     | 12/09/2010 |
| 5,393.00                                     |                                       | 516. GT SOLAR INTERNATIONAL INC<br>PROPERTY TYPE: SECURITIES<br>4,322.00      |                          |                                 |                                    |              | 12/06/2010<br>1,071.00   | 01/18/2011 |
| 5,632.00                                     |                                       | 68. GARDNER DENVER INC<br>PROPERTY TYPE: SECURITIES<br>3,079.00               |                          |                                 |                                    |              | 03/08/2010<br>2,553.00   | 05/06/2011 |
| 119,818.00                                   |                                       | 3292. GENERAL MILLS INC<br>PROPERTY TYPE: SECURITIES<br>86,629.00             |                          |                                 |                                    |              | 06/02/2009<br>33,189.00  | 09/08/2010 |
| 41,550.00                                    |                                       | 1166. GENERAL MILLS INC<br>PROPERTY TYPE: SECURITIES<br>30,683.00             |                          |                                 |                                    |              | 06/02/2009<br>10,867.00  | 12/23/2010 |
| 1,907.00                                     |                                       | 113. GENOPTIX INC<br>PROPERTY TYPE: SECURITIES<br>3,448.00                    |                          |                                 |                                    |              | 01/28/2010<br>-1,541.00  | 07/13/2010 |
| 6,311.00                                     |                                       | 374. GENOPTIX INC<br>PROPERTY TYPE: SECURITIES<br>11,510.00                   |                          |                                 |                                    |              | 07/02/2009<br>-5,199.00  | 07/13/2010 |
| 2,524.00                                     |                                       | 79. GEOEYE INC<br>PROPERTY TYPE: SECURITIES<br>2,280.00                       |                          |                                 |                                    |              | 01/07/2010<br>244.00     | 07/21/2010 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                            |                    |                           |                              | Date acquired           | Date sold  |
|----------------------------------------|--------------------------------|------------------------------------------------------------------------|--------------------|---------------------------|------------------------------|-------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                    | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss)          |            |
| 923.00                                 |                                | 29. GEOEYE INC<br>PROPERTY TYPE: SECURITIES<br>837.00                  |                    |                           |                              | 01/07/2010<br>86.00     | 07/22/2010 |
| 2,667.00                               |                                | 69. GEOEYE INC<br>PROPERTY TYPE: SECURITIES<br>1,863.00                |                    |                           |                              | 01/07/2010<br>804.00    | 08/10/2010 |
| 5,481.00                               |                                | 124. GEOEYE INC<br>PROPERTY TYPE: SECURITIES<br>3,254.00               |                    |                           |                              | 09/30/2009<br>2,227.00  | 10/18/2010 |
| 8,877.00                               |                                | 666. GLACIER BANCORP INC NEW<br>PROPERTY TYPE: SECURITIES<br>13,879.00 |                    |                           |                              | 03/18/2009<br>-5,002.00 | 10/25/2010 |
| 3,537.00                               |                                | 372. GLIMCHER REALTY TRUST<br>PROPERTY TYPE: SECURITIES<br>1,469.00    |                    |                           |                              | 09/21/2009<br>2,068.00  | 05/19/2011 |
| 4,963.00                               |                                | 518. GLIMCHER REALTY TRUST<br>PROPERTY TYPE: SECURITIES<br>4,771.00    |                    |                           |                              | 02/18/2011<br>192.00    | 05/20/2011 |
| 9,514.00                               |                                | 993. GLIMCHER REALTY TRUST<br>PROPERTY TYPE: SECURITIES<br>3,646.00    |                    |                           |                              | 10/06/2009<br>5,868.00  | 05/20/2011 |
| 33,046.00                              |                                | 222. GOLDMAN SACHS GROUP INC<br>PROPERTY TYPE: SECURITIES<br>31,866.00 |                    |                           |                              | 06/02/2009<br>1,180.00  | 09/08/2010 |
| 7,024.00                               |                                | 349. GRAFTECH INTL LTD<br>PROPERTY TYPE: SECURITIES<br>5,672.00        |                    |                           |                              | 01/05/2010<br>1,352.00  | 02/25/2011 |
| 15,692.00                              |                                | 778. GRAFTECH INTL LTD<br>PROPERTY TYPE: SECURITIES<br>11,543.00       |                    |                           |                              | 02/24/2010<br>4,149.00  | 03/14/2011 |
| 3,285.00                               |                                | 203. GULFPORT ENERGY CORP<br>PROPERTY TYPE: SECURITIES<br>2,862.00     |                    |                           |                              | 08/05/2010<br>423.00    | 10/26/2010 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                        |                    |                          |                              | Date acquired           | Date sold  |
|----------------------------------------|--------------------------------|--------------------------------------------------------------------|--------------------|--------------------------|------------------------------|-------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss)          |            |
| 3,525.00                               |                                | 137. GULFPORT ENERGY CORP<br>PROPERTY TYPE: SECURITIES<br>1,904.00 |                    |                          |                              | 08/02/2010<br>1,621.00  | 02/03/2011 |
| 2,534.00                               |                                | 88. GULFPORT ENERGY CORP<br>PROPERTY TYPE: SECURITIES<br>1,223.00  |                    |                          |                              | 08/02/2010<br>1,311.00  | 02/23/2011 |
| 6,560.00                               |                                | 231. GULFPORT ENERGY CORP<br>PROPERTY TYPE: SECURITIES<br>3,147.00 |                    |                          |                              | 08/02/2010<br>3,413.00  | 03/03/2011 |
| 3,645.00                               |                                | 111. GULFPORT ENERGY CORP<br>PROPERTY TYPE: SECURITIES<br>1,512.00 |                    |                          |                              | 06/14/2010<br>2,133.00  | 04/13/2011 |
| 4,443.00                               |                                | 193. HARBIN ELECTRIC INC<br>PROPERTY TYPE: SECURITIES<br>2,946.00  |                    |                          |                              | 07/08/2009<br>1,497.00  | 10/12/2010 |
| 3,221.00                               |                                | 156. HARBIN ELECTRIC INC<br>PROPERTY TYPE: SECURITIES<br>3,439.00  |                    |                          |                              | 03/08/2010<br>-218.00   | 10/26/2010 |
| 413.00                                 |                                | 20. HARBIN ELECTRIC INC<br>PROPERTY TYPE: SECURITIES<br>305.00     |                    |                          |                              | 07/08/2009<br>108.00    | 10/26/2010 |
| 7,353.00                               |                                | 410. HARBIN ELECTRIC INC<br>PROPERTY TYPE: SECURITIES<br>5,388.00  |                    |                          |                              | 07/08/2009<br>1,965.00  | 11/22/2010 |
| 4,024.00                               |                                | 582. HARMONIC INC<br>PROPERTY TYPE: SECURITIES<br>5,939.00         |                    |                          |                              | 06/20/2008<br>-1,915.00 | 08/02/2010 |
| 2,011.00                               |                                | 248. HARMONIC INC<br>PROPERTY TYPE: SECURITIES<br>2,531.00         |                    |                          |                              | 06/20/2008<br>-520.00   | 12/17/2010 |
| 3,505.00                               |                                | 360. HARMONIC INC<br>PROPERTY TYPE: SECURITIES<br>3,187.00         |                    |                          |                              | 01/30/2009<br>318.00    | 02/07/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                         |                    |                           |                              | Date acquired           | Date sold  |
|----------------------------------------|--------------------------------|---------------------------------------------------------------------|--------------------|---------------------------|------------------------------|-------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                 | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss)          |            |
| 2,566.00                               |                                | 432. HAWAIIAN HLDGS INC<br>PROPERTY TYPE: SECURITIES<br>3,160.00    |                    |                           |                              | 12/23/2009<br>-594.00   | 10/01/2010 |
| 3,667.00                               |                                | 547. HAWAIIAN HLDGS INC<br>PROPERTY TYPE: SECURITIES<br>3,367.00    |                    |                           |                              | 11/11/2009<br>300.00    | 02/03/2011 |
| 6,577.00                               |                                | 989. HAWAIIAN HLDGS INC<br>PROPERTY TYPE: SECURITIES<br>5,224.00    |                    |                           |                              | 04/16/2009<br>1,353.00  | 02/04/2011 |
| 120,994.00                             |                                | 2607. HJ HEINZ CO<br>PROPERTY TYPE: SECURITIES<br>97,346.00         |                    |                           |                              | 06/02/2009<br>23,648.00 | 09/08/2010 |
| 44,326.00                              |                                | 893. HJ HEINZ CO<br>PROPERTY TYPE: SECURITIES<br>33,345.00          |                    |                           |                              | 06/02/2009<br>10,981.00 | 12/23/2010 |
| 71,370.00                              |                                | 1857. HEWLETT PACKARD CO<br>PROPERTY TYPE: SECURITIES<br>61,592.00  |                    |                           |                              | 03/10/2006<br>9,778.00  | 09/08/2010 |
| 131,107.00                             |                                | 3143. HEWLETT PACKARD CO<br>PROPERTY TYPE: SECURITIES<br>104,245.00 |                    |                           |                              | 03/10/2006<br>26,862.00 | 12/23/2010 |
| 3,032.00                               |                                | 125. HHGREGG INC<br>PROPERTY TYPE: SECURITIES<br>2,758.00           |                    |                           |                              | 03/15/2010<br>274.00    | 10/13/2010 |
| 18,989.00                              |                                | 866. HHGREGG INC<br>PROPERTY TYPE: SECURITIES<br>17,627.00          |                    |                           |                              | 02/23/2010<br>1,362.00  | 12/15/2010 |
| 5,440.00                               |                                | 156. IDACORP INC<br>PROPERTY TYPE: SECURITIES<br>5,007.00           |                    |                           |                              | 05/21/2010<br>433.00    | 09/21/2010 |
| 3,021.00                               |                                | 84. IDACORP INC<br>PROPERTY TYPE: SECURITIES<br>2,696.00            |                    |                           |                              | 05/21/2010<br>325.00    | 10/14/2010 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                 | Description                                                                         |                    |                           |                              | Date acquired           | Date sold  |
|----------------------------------------|---------------------------------|-------------------------------------------------------------------------------------|--------------------|---------------------------|------------------------------|-------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis                                                                 | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss)          |            |
| 3,969.00                               |                                 | 173. INTERACTIVE INTELLIGENCE INC<br>PROPERTY TYPE: SECURITIES<br>3,624.00          |                    |                           |                              | 04/30/2010<br>345.00    | 10/15/2010 |
| 4,182.00                               |                                 | 153. INTERACTIVE INTELLIGENCE INC<br>PROPERTY TYPE: SECURITIES<br>3,151.00          |                    |                           |                              | 04/20/2010<br>1,031.00  | 11/03/2010 |
| 4,392.00                               |                                 | 168. INTERACTIVE INTELLIGENCE INC<br>PROPERTY TYPE: SECURITIES<br>3,460.00          |                    |                           |                              | 04/20/2010<br>932.00    | 11/10/2010 |
| 210,603.00                             |                                 | 1674. INTERNATIONAL BUSINESS MACHINES CO<br>PROPERTY TYPE: SECURITIES<br>143,094.00 |                    |                           |                              | 11/07/2008<br>67,509.00 | 09/08/2010 |
| 47,456.00                              |                                 | 326. INTERNATIONAL BUSINESS MACHINES COR<br>PROPERTY TYPE: SECURITIES<br>27,866.00  |                    |                           |                              | 11/07/2008<br>19,590.00 | 12/23/2010 |
| 3,397.00                               |                                 | 595. INTERNATIONAL COAL GROUP INC<br>PROPERTY TYPE: SECURITIES<br>2,794.00          |                    |                           |                              | 03/25/2010<br>603.00    | 10/27/2010 |
| 2,749.00                               |                                 | 373. INTERNATIONAL COAL GROUP INC<br>PROPERTY TYPE: SECURITIES<br>1,734.00          |                    |                           |                              | 03/12/2010<br>1,015.00  | 11/30/2010 |
| 1,313.00                               |                                 | 158. INTERNATIONAL COAL GROUP INC<br>PROPERTY TYPE: SECURITIES<br>1,158.00          |                    |                           |                              | 12/15/2010<br>155.00    | 01/04/2011 |
| 1,521.00                               |                                 | 188. INTERNATIONAL COAL GROUP INC<br>PROPERTY TYPE: SECURITIES<br>1,378.00          |                    |                           |                              | 12/15/2010<br>143.00    | 01/05/2011 |
| 3,333.00                               |                                 | 329. INTERNATIONAL COAL GROUP INC<br>PROPERTY TYPE: SECURITIES<br>1,792.00          |                    |                           |                              | 12/15/2010<br>1,541.00  | 03/03/2011 |
| 4,213.00                               |                                 | 433. INTERNATIONAL COAL GROUP INC<br>PROPERTY TYPE: SECURITIES<br>1,952.00          |                    |                           |                              | 03/12/2010<br>2,261.00  | 03/14/2011 |

**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                                 |                          |                                 |                                    | P<br>or<br>D | Date<br>acquired        | Date sold  |
|----------------------------------------------|---------------------------------------|-----------------------------------------------------------------------------|--------------------------|---------------------------------|------------------------------------|--------------|-------------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                                   | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss)    |            |
| 18,422.00                                    |                                       | 1281. INTERNATIONAL COAL GROUP INC<br>PROPERTY TYPE: SECURITIES<br>5,762.00 |                          |                                 |                                    |              | 03/15/2010<br>12,660.00 | 05/03/2011 |
| 58,481.00                                    |                                       | 2166. INTERNATIONAL PAPER CO<br>PROPERTY TYPE: SECURITIES<br>46,822.00      |                          |                                 |                                    |              | 09/08/2010<br>11,659.00 | 12/23/2010 |
| 85,550.00                                    |                                       | 2182. J P MORGAN CHASE CO<br>PROPERTY TYPE: SECURITIES<br>89,855.00         |                          |                                 |                                    |              | 03/10/2006<br>-4,305.00 | 09/08/2010 |
| 3,019.00                                     |                                       | 112. J2 GLOBAL COMMUNICATONS INC<br>PROPERTY TYPE: SECURITIES<br>2,543.00   |                          |                                 |                                    |              | 04/04/2008<br>476.00    | 11/17/2010 |
| 2,925.00                                     |                                       | 148. JAMES RIV COAL CO<br>PROPERTY TYPE: SECURITIES<br>2,792.00             |                          |                                 |                                    |              | 08/06/2010<br>133.00    | 11/30/2010 |
| 7,024.00                                     |                                       | 282. JAMES RIV COAL CO<br>PROPERTY TYPE: SECURITIES<br>5,091.00             |                          |                                 |                                    |              | 08/06/2010<br>1,933.00  | 01/05/2011 |
| 2,954.00                                     |                                       | 145. JAMES RIV COAL CO<br>PROPERTY TYPE: SECURITIES<br>3,186.00             |                          |                                 |                                    |              | 01/27/2011<br>-232.00   | 03/14/2011 |
| 713.00                                       |                                       | 35. JAMES RIV COAL CO<br>PROPERTY TYPE: SECURITIES<br>627.00                |                          |                                 |                                    |              | 03/11/2010<br>86.00     | 03/14/2011 |
| 12,176.00                                    |                                       | 1795. JETBLUE AIRWAYS CORP<br>PROPERTY TYPE: SECURITIES<br>11,483.00        |                          |                                 |                                    |              | 09/29/2010<br>693.00    | 11/11/2010 |
| 10,869.00                                    |                                       | 181. JO ANN STORES INC<br>PROPERTY TYPE: SECURITIES<br>6,858.00             |                          |                                 |                                    |              | 02/22/2010<br>4,011.00  | 12/27/2010 |
| 10,537.00                                    |                                       | 175. JO ANN STORES INC<br>PROPERTY TYPE: SECURITIES<br>6,509.00             |                          |                                 |                                    |              | 02/18/2010<br>4,028.00  | 01/06/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                                         |                    |                           |                              | P or D | Date acquired           | Date sold  |
|----------------------------------------|--------------------------------|-------------------------------------------------------------------------------------|--------------------|---------------------------|------------------------------|--------|-------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                                 | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis |        | Gain or (loss)          |            |
| 47,059.00                              |                                | 798. JOHNSON JOHNSON<br>PROPERTY TYPE: SECURITIES<br>44,858.00                      |                    |                           |                              |        | 06/02/2009<br>2,201.00  | 09/08/2010 |
| 272,251.00                             |                                | 250000. JPMORGAN 6M FCX #AI# 18.37% 11/2<br>PROPERTY TYPE: SECURITIES<br>250,000.00 |                    |                           |                              |        | 05/19/2010<br>22,251.00 | 11/24/2010 |
| 3,194.00                               |                                | 87. KOPPERS HLDGS INC<br>PROPERTY TYPE: SECURITIES<br>3,618.00                      |                    |                           |                              |        | 02/22/2011<br>-424.00   | 03/14/2011 |
| 1,175.00                               |                                | 32. KOPPERS HLDGS INC<br>PROPERTY TYPE: SECURITIES<br>947.00                        |                    |                           |                              |        | 01/22/2010<br>228.00    | 03/14/2011 |
| 2,695.00                               |                                | 78. LSB INDS INC<br>PROPERTY TYPE: SECURITIES<br>1,371.00                           |                    |                           |                              |        | 06/02/2009<br>1,324.00  | 03/07/2011 |
| 4,647.00                               |                                | 118. LSB INDS INC<br>PROPERTY TYPE: SECURITIES<br>2,075.00                          |                    |                           |                              |        | 06/02/2009<br>2,572.00  | 04/07/2011 |
| 5,809.00                               |                                | 95. LITTELFUSE INC<br>PROPERTY TYPE: SECURITIES<br>4,057.00                         |                    |                           |                              |        | 10/12/2010<br>1,752.00  | 05/06/2011 |
| 2,921.00                               |                                | 72. LULULEMON ATHLETICA INC<br>PROPERTY TYPE: SECURITIES<br>2,731.00                |                    |                           |                              |        | 05/05/2010<br>190.00    | 07/30/2010 |
| 2,819.00                               |                                | 62. LULULEMON ATHLETICA INC<br>PROPERTY TYPE: SECURITIES<br>1,964.00                |                    |                           |                              |        | 05/05/2010<br>855.00    | 10/14/2010 |
| 8,741.00                               |                                | 177. LULULEMON ATHLETICA INC<br>PROPERTY TYPE: SECURITIES<br>5,116.00               |                    |                           |                              |        | 01/29/2010<br>3,625.00  | 11/09/2010 |
| 5,112.00                               |                                | 67. MWI VETERINARY SUPPLY INC<br>PROPERTY TYPE: SECURITIES<br>2,462.00              |                    |                           |                              |        | 04/19/2007<br>2,650.00  | 03/10/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                               |                    |                          |                              | Date acquired            | Date sold  |
|----------------------------------------|--------------------------------|---------------------------------------------------------------------------|--------------------|--------------------------|------------------------------|--------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                       | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss)           |            |
| 5,148.00                               |                                | 63. MWI VETERINARY SUPPLY INC<br>PROPERTY TYPE: SECURITIES<br>2,315.00    |                    |                          |                              | 04/19/2007<br>2,833.00   | 05/11/2011 |
| 7,789.00                               |                                | 277. MADISON SQUARE GARDEN INC<br>PROPERTY TYPE: SECURITIES<br>6,804.00   |                    |                          |                              | 12/07/2010<br>985.00     | 03/01/2011 |
| 6,711.00                               |                                | 230. MADISON SQUARE GARDEN INC<br>PROPERTY TYPE: SECURITIES<br>5,461.00   |                    |                          |                              | 12/06/2010<br>1,250.00   | 03/03/2011 |
| 7,314.00                               |                                | 144. MAGELLAN HEALTH SVCS INC<br>PROPERTY TYPE: SECURITIES<br>6,039.00    |                    |                          |                              | 03/01/2010<br>1,275.00   | 05/11/2011 |
| 165,002.00                             |                                | 5200. MARATHON OIL CORPORATION<br>PROPERTY TYPE: SECURITIES<br>180,480.00 |                    |                          |                              | 03/10/2006<br>-15,478.00 | 09/08/2010 |
| 3,254.00                               |                                | 170. MASTEC INC<br>PROPERTY TYPE: SECURITIES<br>2,060.00                  |                    |                          |                              | 07/02/2009<br>1,194.00   | 03/10/2011 |
| 4,392.00                               |                                | 55. MAXIMUS INC<br>PROPERTY TYPE: SECURITIES<br>3,476.00                  |                    |                          |                              | 12/03/2010<br>916.00     | 05/06/2011 |
| 168,384.00                             |                                | 2217. MCDONALDS CORP<br>PROPERTY TYPE: SECURITIES<br>134,237.00           |                    |                          |                              | 06/02/2009<br>34,147.00  | 09/08/2010 |
| 46,514.00                              |                                | 605. MCDONALDS CORP<br>PROPERTY TYPE: SECURITIES<br>36,632.00             |                    |                          |                              | 06/02/2009<br>9,882.00   | 12/23/2010 |
| 3,557.00                               |                                | 421. MEADOWBROOK INS GROUP INC<br>PROPERTY TYPE: SECURITIES<br>3,680.00   |                    |                          |                              | 08/05/2010<br>-123.00    | 09/29/2010 |
| 3,912.00                               |                                | 455. MEADOWBROOK INS GROUP INC<br>PROPERTY TYPE: SECURITIES<br>3,179.00   |                    |                          |                              | 08/05/2010<br>733.00     | 10/15/2010 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                                 |                    |                          |                              | Date acquired           | Date sold  |
|----------------------------------------|--------------------------------|-----------------------------------------------------------------------------|--------------------|--------------------------|------------------------------|-------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                         | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss)          |            |
| 6,293.00                               |                                | 732. MEADOWBROOK INS GROUP INC<br>PROPERTY TYPE: SECURITIES<br>5,774.00     |                    |                          |                              | 08/13/2009<br>519.00    | 10/15/2010 |
| 2,522.00                               |                                | 99. MEDIDATA SOLUTIONS INC<br>PROPERTY TYPE: SECURITIES<br>2,664.00         |                    |                          |                              | 02/09/2011<br>-142.00   | 03/31/2011 |
| 3,448.00                               |                                | 97. MICROS SYS INC<br>PROPERTY TYPE: SECURITIES<br>2,631.00                 |                    |                          |                              | 04/19/2007<br>817.00    | 08/13/2010 |
| 2,606.00                               |                                | 62. MICROS SYS INC<br>PROPERTY TYPE: SECURITIES<br>1,681.00                 |                    |                          |                              | 04/19/2007<br>925.00    | 09/29/2010 |
| 2,880.00                               |                                | 50. MIDDLEBY CORP<br>PROPERTY TYPE: SECURITIES<br>3,422.00                  |                    |                          |                              | 04/19/2007<br>-542.00   | 07/30/2010 |
| 3,131.00                               |                                | 42. MIDDLEBY CORP<br>PROPERTY TYPE: SECURITIES<br>2,875.00                  |                    |                          |                              | 04/19/2007<br>256.00    | 11/04/2010 |
| 594.00                                 |                                | 8. MIDDLEBY CORP<br>PROPERTY TYPE: SECURITIES<br>537.00                     |                    |                          |                              | 10/19/2007<br>57.00     | 11/05/2010 |
| 183.00                                 |                                | . MONEYGRAM INTERNATIONAL INC SECURITIES<br>PROPERTY TYPE: SECURITIES       |                    |                          |                              | 183.00                  | 04/12/2011 |
| 4,796.00                               |                                | 184. MULTI FINELINE ELECTRONIX INC<br>PROPERTY TYPE: SECURITIES<br>4,499.00 |                    |                          |                              | 11/01/2010<br>297.00    | 05/03/2011 |
| 9,143.00                               |                                | 496. NCI INC CL A<br>PROPERTY TYPE: SECURITIES<br>13,705.00                 |                    |                          |                              | 12/17/2009<br>-4,562.00 | 09/28/2010 |
| 1,179.00                               |                                | 109. NET 1 UEPS TECHNOLOGIES INC<br>PROPERTY TYPE: SECURITIES<br>1,908.00   |                    |                          |                              | 11/02/2009<br>-729.00   | 09/23/2010 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                                |                    |                           |                               | Date acquired           | Date sold  |
|----------------------------------------|--------------------------------|----------------------------------------------------------------------------|--------------------|---------------------------|-------------------------------|-------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                        | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj. basis | Gain or (loss)          |            |
| 5,591.00                               |                                | 517. NET 1 UEPS TECHNOLOGIES INC<br>PROPERTY TYPE: SECURITIES<br>8,544.00  |                    |                           |                               | 07/02/2009<br>-2,953.00 | 09/23/2010 |
| 5,366.00                               |                                | 38. NEWMARKET CORP<br>PROPERTY TYPE: SECURITIES<br>4,521.00                |                    |                           |                               | 01/21/2010<br>845.00    | 03/15/2011 |
| 15,793.00                              |                                | 111. NEWMARKET CORP<br>PROPERTY TYPE: SECURITIES<br>11,315.00              |                    |                           |                               | 02/18/2010<br>4,478.00  | 03/16/2011 |
| 146,147.00                             |                                | 2509. NORFOLK SOUTHN CORP<br>PROPERTY TYPE: SECURITIES<br>101,269.00       |                    |                           |                               | 06/02/2009<br>44,878.00 | 09/08/2010 |
| 30,537.00                              |                                | 490. NORFOLK SOUTHN CORP<br>PROPERTY TYPE: SECURITIES<br>19,778.00         |                    |                           |                               | 06/02/2009<br>10,759.00 | 12/23/2010 |
| 22,040.00                              |                                | 771. NU SKIN ENTERPRISES INC - A<br>PROPERTY TYPE: SECURITIES<br>21,547.00 |                    |                           |                               | 02/03/2011<br>493.00    | 03/14/2011 |
| 4,831.00                               |                                | 63. OIL STATES INTERNATIONAL INC<br>PROPERTY TYPE: SECURITIES<br>2,878.00  |                    |                           |                               | 08/09/2010<br>1,953.00  | 04/19/2011 |
| 3,225.00                               |                                | 103. OMNI VISION TECHNOLOGIES INC<br>PROPERTY TYPE: SECURITIES<br>3,286.00 |                    |                           |                               | 12/07/2010<br>-61.00    | 03/01/2011 |
| 4,737.00                               |                                | 256. OPLINK COMMUNICATIONS INC<br>PROPERTY TYPE: SECURITIES<br>3,056.00    |                    |                           |                               | 06/11/2009<br>1,681.00  | 08/05/2010 |
| 2,476.00                               |                                | 152. OPLINK COMMUNICATIONS INC<br>PROPERTY TYPE: SECURITIES<br>2,600.00    |                    |                           |                               | 04/26/2010<br>-124.00   | 08/13/2010 |
| 49.00                                  |                                | 3. OPLINK COMMUNICATIONS INC<br>PROPERTY TYPE: SECURITIES<br>25.00         |                    |                           |                               | 03/26/2009<br>24.00     | 08/13/2010 |

**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                                        |                    |                           |                              | Date acquired            | Date sold  |
|----------------------------------------|--------------------------------|------------------------------------------------------------------------------------|--------------------|---------------------------|------------------------------|--------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                                | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss)           |            |
| 3,790.00                               |                                | 191. OPLINK COMMUNICATIONS INC<br>PROPERTY TYPE: SECURITIES<br>1,587.00            |                    |                           |                              | 03/26/2009<br>2,203.00   | 10/07/2010 |
| 2,866.00                               |                                | 116. OPLINK COMMUNICATIONS INC<br>PROPERTY TYPE: SECURITIES<br>964.00              |                    |                           |                              | 03/26/2009<br>1,902.00   | 01/31/2011 |
| 12,539.00                              |                                | 573. OPLINK COMMUNICATIONS INC<br>PROPERTY TYPE: SECURITIES<br>9,704.00            |                    |                           |                              | 12/03/2010<br>2,835.00   | 03/10/2011 |
| 3,020.00                               |                                | 138. OPLINK COMMUNICATIONS INC<br>PROPERTY TYPE: SECURITIES<br>1,146.00            |                    |                           |                              | 03/26/2009<br>1,874.00   | 03/10/2011 |
| 188,771.00                             |                                | 7901. ORACLE CORPORATION<br>PROPERTY TYPE: SECURITIES<br>137,872.00                |                    |                           |                              | 11/07/2008<br>50,899.00  | 09/08/2010 |
| 56,272.00                              |                                | 1789. ORACLE CORPORATION<br>PROPERTY TYPE: SECURITIES<br>36,566.00                 |                    |                           |                              | 06/02/2009<br>19,706.00  | 12/23/2010 |
| 1251072.00                             |                                | 107204.117 PIMCO TOTAL RETURN FUND INST<br>PROPERTY TYPE: SECURITIES<br>1121355.00 |                    |                           |                              | 06/03/2009<br>129,717.00 | 11/10/2010 |
| 1000000.00                             |                                | 91996.32 PIMCO TOTAL RETURN FUND INST<br>PROPERTY TYPE: SECURITIES<br>962,282.00   |                    |                           |                              | 06/03/2009<br>37,718.00  | 03/28/2011 |
| 10,830.00                              |                                | 459. PAREXEL INTL CORP<br>PROPERTY TYPE: SECURITIES<br>10,027.00                   |                    |                           |                              | 11/05/2010<br>803.00     | 05/04/2011 |
| 10,625.00                              |                                | 435. PAREXEL INTL CORP<br>PROPERTY TYPE: SECURITIES<br>8,726.00                    |                    |                           |                              | 12/01/2010<br>1,899.00   | 05/11/2011 |
| 180,511.00                             |                                | 2760. PEPSICO INC<br>PROPERTY TYPE: SECURITIES<br>166,452.00                       |                    |                           |                              | 03/10/2006<br>14,059.00  | 09/08/2010 |

**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                              |                          |                                 |                                    | P<br>or<br>D | Date<br>acquired         | Date sold  |
|----------------------------------------------|---------------------------------------|--------------------------------------------------------------------------|--------------------------|---------------------------------|------------------------------------|--------------|--------------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                                | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss)     |            |
| 81,338.00                                    |                                       | 1240. PEPSICO INC<br>PROPERTY TYPE: SECURITIES<br>68,645.00              |                          |                                 |                                    |              | 06/02/2009<br>12,693.00  | 12/23/2010 |
| 3,927.00                                     |                                       | 357. PERICOM SEMICONDUCTOR CORP<br>PROPERTY TYPE: SECURITIES<br>4,167.00 |                          |                                 |                                    |              | 10/14/2009<br>-240.00    | 12/22/2010 |
| 4,501.00                                     |                                       | 65. PHILLIPS VAN HEUSEN CORP<br>PROPERTY TYPE: SECURITIES<br>3,637.00    |                          |                                 |                                    |              | 04/19/2007<br>864.00     | 12/08/2010 |
| 3,124.00                                     |                                       | 52. PHILLIPS VAN HEUSEN CORP<br>PROPERTY TYPE: SECURITIES<br>2,954.00    |                          |                                 |                                    |              | 03/25/2010<br>170.00     | 01/18/2011 |
| 11,294.00                                    |                                       | 188. PHILLIPS VAN HEUSEN CORP<br>PROPERTY TYPE: SECURITIES<br>10,427.00  |                          |                                 |                                    |              | 08/07/2007<br>867.00     | 01/18/2011 |
| 5,309.00                                     |                                       | 176. PLEXUS CORP<br>PROPERTY TYPE: SECURITIES<br>5,217.00                |                          |                                 |                                    |              | 07/14/2010<br>92.00      | 10/29/2010 |
| 11,569.00                                    |                                       | 414. PLEXUS CORP<br>PROPERTY TYPE: SECURITIES<br>11,750.00               |                          |                                 |                                    |              | 08/16/2010<br>-181.00    | 12/14/2010 |
| 156,799.00                                   |                                       | 2600. PROCTER & GAMBLE CO<br>PROPERTY TYPE: SECURITIES<br>161,278.00     |                          |                                 |                                    |              | 08/30/2006<br>-4,479.00  | 09/08/2010 |
| 18,944.00                                    |                                       | 291. PROCTER & GAMBLE CO<br>PROPERTY TYPE: SECURITIES<br>15,644.00       |                          |                                 |                                    |              | 06/02/2009<br>3,300.00   | 12/23/2010 |
| 113,223.00                                   |                                       | 2500. RAYTHEON COMPANY<br>PROPERTY TYPE: SECURITIES<br>124,925.00        |                          |                                 |                                    |              | 01/27/2009<br>-11,702.00 | 09/08/2010 |
| 487.00                                       |                                       | . RAYTHEON COMPANY SECURITY LITIGATION<br>PROPERTY TYPE: SECURITIES      |                          |                                 |                                    |              | 487.00                   | 07/08/2010 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                                |                    |                          |                              | Date acquired           | Date sold  |
|----------------------------------------|--------------------------------|----------------------------------------------------------------------------|--------------------|--------------------------|------------------------------|-------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                        | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss)          |            |
| 203.00                                 |                                | . RAYTHEON COMPANY SECURITY LITIGATION<br>PROPERTY TYPE: SECURITIES        |                    |                          |                              | 203.00                  | 07/08/2010 |
| 9,056.00                               |                                | 491. REHABCARE GROUP INC<br>PROPERTY TYPE: SECURITIES<br>11,721.00         |                    |                          |                              | 05/18/2010<br>-2,665.00 | 08/11/2010 |
| 2,264.00                               |                                | 145. RENASANT CORP<br>PROPERTY TYPE: SECURITIES<br>2,428.00                |                    |                          |                              | 01/19/2011<br>-164.00   | 05/04/2011 |
| 2,405.00                               |                                | 154. RENASANT CORP<br>PROPERTY TYPE: SECURITIES<br>2,342.00                |                    |                          |                              | 01/26/2010<br>63.00     | 05/04/2011 |
| 6,000.00                               |                                | 954. REPUBLIC AIRWAYS HOLDINGS IN<br>PROPERTY TYPE: SECURITIES<br>7,646.00 |                    |                          |                              | 11/23/2010<br>-1,646.00 | 02/09/2011 |
| 17,390.00                              |                                | 317. ROCK TENN CO CL A<br>PROPERTY TYPE: SECURITIES<br>15,343.00           |                    |                          |                              | 02/24/2010<br>2,047.00  | 12/15/2010 |
| 4,944.00                               |                                | 72. ROCK TENN CO CL A<br>PROPERTY TYPE: SECURITIES<br>4,316.00             |                    |                          |                              | 01/25/2011<br>628.00    | 02/22/2011 |
| 9,483.00                               |                                | 143. ROCK TENN CO CL A<br>PROPERTY TYPE: SECURITIES<br>8,572.00            |                    |                          |                              | 01/25/2011<br>911.00    | 03/10/2011 |
| 257,969.00                             |                                | 250000. RBC 3MO AMZN #AI#<br>PROPERTY TYPE: SECURITIES<br>250,000.00       |                    | 13.000%                  | 6/0                          | 03/03/2010<br>7,969.00  | 06/08/2010 |
| 141,800.00                             |                                | 4000. ST JUDE MED INC<br>PROPERTY TYPE: SECURITIES<br>143,156.00           |                    |                          |                              | 11/07/2008<br>-1,356.00 | 09/08/2010 |
| 71,493.00                              |                                | 1224. SCHLUMBERGER LTD<br>PROPERTY TYPE: SECURITIES<br>71,371.00           |                    |                          |                              | 03/10/2006<br>122.00    | 09/08/2010 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                                       |                    |                           |                              | P or D | Date acquired          | Date sold  |
|----------------------------------------|--------------------------------|-----------------------------------------------------------------------------------|--------------------|---------------------------|------------------------------|--------|------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                               | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis |        | Gain or (loss)         |            |
| 47,873.00                              |                                | 918. SEMPRA ENERGY<br>PROPERTY TYPE: SECURITIES<br>48,735.00                      |                    |                           |                              |        | 09/08/2010<br>-862.00  | 12/23/2010 |
| 4,575.00                               |                                | 129. SILGAN HLDGS INC<br>PROPERTY TYPE: SECURITIES<br>3,422.00                    |                    |                           |                              |        | 04/20/2007<br>1,153.00 | 12/15/2010 |
| 4,757.00                               |                                | 104. SILGAN HLDGS INC<br>PROPERTY TYPE: SECURITIES<br>2,759.00                    |                    |                           |                              |        | 04/20/2007<br>1,998.00 | 04/18/2011 |
| 3,501.00                               |                                | 204. SKYWORKS SOLUTIONS INC<br>PROPERTY TYPE: SECURITIES<br>3,395.00              |                    |                           |                              |        | 06/04/2010<br>106.00   | 08/13/2010 |
| 6,746.00                               |                                | 285. SKYWORKS SOLUTIONS INC<br>PROPERTY TYPE: SECURITIES<br>4,889.00              |                    |                           |                              |        | 09/24/2010<br>1,857.00 | 11/09/2010 |
| 5,010.00                               |                                | 184. SKYWORKS SOLUTIONS INC<br>PROPERTY TYPE: SECURITIES<br>2,274.00              |                    |                           |                              |        | 11/09/2009<br>2,736.00 | 12/03/2010 |
| 2,977.00                               |                                | 108. SKYWORKS SOLUTIONS INC<br>PROPERTY TYPE: SECURITIES<br>1,488.00              |                    |                           |                              |        | 12/11/2009<br>1,489.00 | 12/06/2010 |
| 8,434.00                               |                                | 306. SKYWORKS SOLUTIONS INC<br>PROPERTY TYPE: SECURITIES<br>3,782.00              |                    |                           |                              |        | 11/09/2009<br>4,652.00 | 12/06/2010 |
| 11,426.00                              |                                | 326. SMURFIT STONE CONTAINER ENTERPRISES<br>PROPERTY TYPE: SECURITIES<br>8,340.00 |                    |                           |                              |        | 12/15/2010<br>3,086.00 | 01/25/2011 |
| 9,807.00                               |                                | 267. SMURFIT STONE CONTAINER ENTERPRISES<br>PROPERTY TYPE: SECURITIES<br>6,830.00 |                    |                           |                              |        | 12/15/2010<br>2,977.00 | 01/26/2011 |
| 4,868.00                               |                                | 198. SOLUTIA INC<br>PROPERTY TYPE: SECURITIES<br>3,296.00                         |                    |                           |                              |        | 03/31/2010<br>1,572.00 | 04/13/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                             |                          |                                 |                                    | P<br>or<br>D | Date<br>acquired         | Date sold  |
|----------------------------------------------|---------------------------------------|-------------------------------------------------------------------------|--------------------------|---------------------------------|------------------------------------|--------------|--------------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                               | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss)     |            |
| 20,479.00                                    |                                       | 1822. SONIC WALL INC<br>PROPERTY TYPE: SECURITIES<br>15,540.00          |                          |                                 |                                    |              | 04/22/2010<br>4,939.00   | 06/04/2010 |
| 83,282.00                                    |                                       | 2500. SOUTHWESTERN ENERGY CO<br>PROPERTY TYPE: SECURITIES<br>98,375.00  |                          |                                 |                                    |              | 06/29/2009<br>-15,093.00 | 09/08/2010 |
| 64,941.00                                    |                                       | 968. STANLEY BLACK DECKER INC<br>PROPERTY TYPE: SECURITIES<br>56,681.00 |                          |                                 |                                    |              | 09/08/2010<br>8,260.00   | 12/23/2010 |
| 95,107.00                                    |                                       | 5000. STAPLES INC<br>PROPERTY TYPE: SECURITIES<br>122,500.00            |                          |                                 |                                    |              | 03/10/2006<br>-27,393.00 | 09/08/2010 |
| 111,819.00                                   |                                       | 3000. STATE STR CORP<br>PROPERTY TYPE: SECURITIES<br>125,930.00         |                          |                                 |                                    |              | 11/07/2008<br>-14,111.00 | 09/08/2010 |
| 3,179.00                                     |                                       | 114. STONE ENERGY CORP<br>PROPERTY TYPE: SECURITIES<br>1,609.00         |                          |                                 |                                    |              | 08/05/2010<br>1,570.00   | 02/25/2011 |
| 4,883.00                                     |                                       | 168. STONE ENERGY CORP<br>PROPERTY TYPE: SECURITIES<br>2,371.00         |                          |                                 |                                    |              | 08/05/2010<br>2,512.00   | 03/03/2011 |
| 3,422.00                                     |                                       | 106. STONE ENERGY CORP<br>PROPERTY TYPE: SECURITIES<br>1,496.00         |                          |                                 |                                    |              | 08/05/2010<br>1,926.00   | 04/13/2011 |
| 5,121.00                                     |                                       | 162. STONE ENERGY CORP<br>PROPERTY TYPE: SECURITIES<br>2,287.00         |                          |                                 |                                    |              | 08/05/2010<br>2,834.00   | 04/19/2011 |
| 1,884.00                                     |                                       | 161. SYKES ENTERPRISES INC<br>PROPERTY TYPE: SECURITIES<br>2,809.00     |                          |                                 |                                    |              | 05/27/2010<br>-925.00    | 08/05/2010 |
| 1,919.00                                     |                                       | 164. SYKES ENTERPRISES INC<br>PROPERTY TYPE: SECURITIES<br>3,065.00     |                          |                                 |                                    |              | 05/04/2009<br>-1,146.00  | 08/05/2010 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                                         |                    |                           |                              | Date acquired           | Date sold  |
|----------------------------------------|--------------------------------|-------------------------------------------------------------------------------------|--------------------|---------------------------|------------------------------|-------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                                 | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss)          |            |
| 7,072.00                               |                                | 584. SYKES ENTERPRISES INC<br>PROPERTY TYPE: SECURITIES<br>10,145.00                |                    |                           |                              | 06/12/2009<br>-3,073.00 | 08/09/2010 |
| 3,243.00                               |                                | 102. SYNEX CORP<br>PROPERTY TYPE: SECURITIES<br>2,986.00                            |                    |                           |                              | 10/29/2010<br>257.00    | 03/25/2011 |
| 2,901.00                               |                                | 127. SYNIVERSE HLDGS INC<br>PROPERTY TYPE: SECURITIES<br>2,143.00                   |                    |                           |                              | 09/29/2008<br>758.00    | 08/02/2010 |
| 4,109.00                               |                                | 135. SYNIVERSE HLDGS INC<br>PROPERTY TYPE: SECURITIES<br>2,291.00                   |                    |                           |                              | 01/28/2010<br>1,818.00  | 10/29/2010 |
| 16,955.00                              |                                | 557. SYNIVERSE HLDGS INC<br>PROPERTY TYPE: SECURITIES<br>9,356.00                   |                    |                           |                              | 07/02/2009<br>7,599.00  | 10/29/2010 |
| 138.00                                 |                                | . SYNTAX-BRILLIAN CORP SECURITIES LITIGA<br>PROPERTY TYPE: SECURITIES               |                    |                           |                              | 138.00                  | 11/02/2010 |
| 1,150.00                               |                                | 345. TELE COMMUNICATIONS SYSTEMS INC<br>PROPERTY TYPE: SECURITIES<br>2,731.00       |                    |                           |                              | 11/11/2009<br>-1,581.00 | 08/05/2010 |
| 4,153.00                               |                                | 1246. TELE COMMUNICATIONS SYSTEMS INC<br>PROPERTY TYPE: SECURITIES<br>9,127.00      |                    |                           |                              | 05/12/2009<br>-4,974.00 | 08/05/2010 |
| 5,489.00                               |                                | 105. TELEDYNE TECHNOLOGIES INC<br>PROPERTY TYPE: SECURITIES<br>4,196.00             |                    |                           |                              | 04/20/2007<br>1,293.00  | 02/25/2011 |
| 150,421.00                             |                                | 2785. TEVA PHARMACEUTICAL INDS LTD A D R<br>PROPERTY TYPE: SECURITIES<br>128,877.00 |                    |                           |                              | 06/02/2009<br>21,544.00 | 09/08/2010 |
| 46,642.00                              |                                | 885. TEVA PHARMACEUTICAL INDS LTD A D R<br>PROPERTY TYPE: SECURITIES<br>40,954.00   |                    |                           |                              | 06/02/2009<br>5,688.00  | 12/23/2010 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                              |                    |                           |                              | Date acquired           | Date sold  |
|----------------------------------------|--------------------------------|--------------------------------------------------------------------------|--------------------|---------------------------|------------------------------|-------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                      | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss)          |            |
| 2,518.00                               |                                | 282. TRIQUINT SEMICONDUCTOR INC<br>PROPERTY TYPE: SECURITIES<br>2,343.00 |                    |                           |                              | 10/21/2009<br>175.00    | 09/24/2010 |
| 3,137.00                               |                                | 240. TRIQUINT SEMICONDUCTOR INC<br>PROPERTY TYPE: SECURITIES<br>1,994.00 |                    |                           |                              | 10/21/2009<br>1,143.00  | 12/08/2010 |
| 3,455.00                               |                                | 257. TRIQUINT SEMICONDUCTOR INC<br>PROPERTY TYPE: SECURITIES<br>2,136.00 |                    |                           |                              | 10/21/2009<br>1,319.00  | 02/09/2011 |
| 3,098.00                               |                                | 239. TRIQUINT SEMICONDUCTOR INC<br>PROPERTY TYPE: SECURITIES<br>1,465.00 |                    |                           |                              | 10/23/2009<br>1,633.00  | 02/10/2011 |
| 1,956.00                               |                                | 50. TUPPERWARE BRANDS CORP<br>PROPERTY TYPE: SECURITIES<br>1,653.00      |                    |                           |                              | 11/16/2007<br>303.00    | 07/30/2010 |
| 3,841.00                               |                                | 66. TUPPERWARE BRANDS CORP<br>PROPERTY TYPE: SECURITIES<br>2,182.00      |                    |                           |                              | 11/16/2007<br>1,659.00  | 03/14/2011 |
| 4,451.00                               |                                | 193. VECTREN CORPORATION<br>PROPERTY TYPE: SECURITIES<br>4,602.00        |                    |                           |                              | 05/10/2010<br>-151.00   | 06/23/2010 |
| 2,658.00                               |                                | 107. VECTREN CORPORATION<br>PROPERTY TYPE: SECURITIES<br>2,552.00        |                    |                           |                              | 05/10/2010<br>106.00    | 09/15/2010 |
| 6,508.00                               |                                | 262. VECTREN CORPORATION<br>PROPERTY TYPE: SECURITIES<br>7,631.00        |                    |                           |                              | 11/08/2007<br>-1,123.00 | 09/15/2010 |
| 4,257.00                               |                                | 109. VEECO INSTRS INC DEL<br>PROPERTY TYPE: SECURITIES<br>4,494.00       |                    |                           |                              | 05/28/2010<br>-237.00   | 08/06/2010 |
| 3,982.00                               |                                | 77. VEECO INSTRS INC DEL<br>PROPERTY TYPE: SECURITIES<br>2,992.00        |                    |                           |                              | 05/28/2010<br>990.00    | 02/15/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                                          |                    |                           |                              | Date acquired           | Date sold  |
|----------------------------------------|--------------------------------|----------------------------------------------------------------------|--------------------|---------------------------|------------------------------|-------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                  | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss)          |            |
| 12,090.00                              |                                | 300. WMS INDS INC<br>PROPERTY TYPE: SECURITIES<br>8,296.00           |                    |                           |                              | 06/12/2009<br>3,794.00  | 10/13/2010 |
| 191,497.00                             |                                | 3712. WAL MART STORES INC<br>PROPERTY TYPE: SECURITIES<br>185,557.00 |                    |                           |                              | 06/02/2009<br>5,940.00  | 09/08/2010 |
| 15,411.00                              |                                | 288. WAL MART STORES INC<br>PROPERTY TYPE: SECURITIES<br>14,397.00   |                    |                           |                              | 06/02/2009<br>1,014.00  | 12/23/2010 |
| 14,243.00                              |                                | 565. WELLS FARGO CO<br>PROPERTY TYPE: SECURITIES<br>15,903.00        |                    |                           |                              | 11/07/2008<br>-1,660.00 | 09/08/2010 |
| 22,969.00                              |                                | 743. WELLS FARGO CO<br>PROPERTY TYPE: SECURITIES<br>20,913.00        |                    |                           |                              | 11/07/2008<br>2,056.00  | 12/23/2010 |
| 2,396.00                               |                                | 643. WET SEAL INC CL A<br>PROPERTY TYPE: SECURITIES<br>2,553.00      |                    |                           |                              | 06/09/2010<br>-157.00   | 01/13/2011 |
| 9,168.00                               |                                | 2460. WET SEAL INC CL A<br>PROPERTY TYPE: SECURITIES<br>7,574.00     |                    |                           |                              | 07/02/2009<br>1,594.00  | 01/13/2011 |
| 6,715.00                               |                                | 942. WILSHIRE BANCORP INC<br>PROPERTY TYPE: SECURITIES<br>13,353.00  |                    |                           |                              | 09/15/2008<br>-6,638.00 | 07/13/2010 |
| 3,690.00                               |                                | 122. WOODWARD, INC.<br>PROPERTY TYPE: SECURITIES<br>3,695.00         |                    |                           |                              | 10/21/2008<br>-5.00     | 08/09/2010 |
| 3,795.00                               |                                | 101. WOODWARD, INC.<br>PROPERTY TYPE: SECURITIES<br>2,111.00         |                    |                           |                              | 04/19/2007<br>1,684.00  | 12/06/2010 |
| 18,220.00                              |                                | 563. WOODWARD, INC.<br>PROPERTY TYPE: SECURITIES<br>8,581.00         |                    |                           |                              | 03/30/2009<br>9,639.00  | 03/03/2011 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                              |                          |                                 |                                    | P<br>or<br>D | Date<br>acquired             | Date sold  |
|----------------------------------------------|---------------------------------------|--------------------------------------------------------------------------|--------------------------|---------------------------------|------------------------------------|--------------|------------------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                                | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss)         |            |
| 3,788.00                                     |                                       | 56. WORLD ACCEP CORP<br>PROPERTY TYPE: SECURITIES<br>3,287.00            |                          |                                 |                                    |              | 03/10/2011<br>501.00         | 05/06/2011 |
| 3,065.00                                     |                                       | 71. PLATINUM UNDERWRITER HLDGS<br>PROPERTY TYPE: SECURITIES<br>2,443.00  |                          |                                 |                                    |              | 05/25/2007<br>622.00         | 10/14/2010 |
| 4,775.00                                     |                                       | 131. PLATINUM UNDERWRITER HLDGS<br>PROPERTY TYPE: SECURITIES<br>5,195.00 |                          |                                 |                                    |              | 08/05/2010<br>-420.00        | 03/14/2011 |
| 6,598.00                                     |                                       | 181. PLATINUM UNDERWRITER HLDGS<br>PROPERTY TYPE: SECURITIES<br>6,227.00 |                          |                                 |                                    |              | 05/25/2007<br>371.00         | 03/14/2011 |
| TOTAL GAIN(LOSS) .....                       |                                       | .....                                                                    |                          |                                 |                                    |              | -----<br>1,850,815.<br>===== |            |

FORM 990PF, PART I - ACCOUNTING FEES

=====

| DESCRIPTION<br>-----           | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS<br>----- | NET<br>INVESTMENT<br>INCOME<br>----- | ADJUSTED<br>NET<br>INCOME<br>----- | CHARITABLE<br>PURPOSES<br>----- |
|--------------------------------|--------------------------------------------------|--------------------------------------|------------------------------------|---------------------------------|
| TAX PREPARATION FEE (NON-ALLOC | 16,140.                                          |                                      |                                    | 16,140.                         |
| TOTALS                         | 16,140.                                          | NONE                                 | NONE                               | 16,140.                         |
|                                | =====                                            | =====                                | =====                              | =====                           |

FORM 990PF, PART I - TAXES

=====

| DESCRIPTION<br>----- | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS<br>----- | NET<br>INVESTMENT<br>INCOME<br>----- |
|----------------------|--------------------------------------------------|--------------------------------------|
| FEDERAL EXCISE TAXES | 60,680.                                          |                                      |
| FOREIGN TAXES PAID   | 5,146.                                           | 5,146.                               |
|                      | -----                                            | -----                                |
| TOTALS               | 65,826.                                          | 5,146.                               |
|                      | =====                                            | =====                                |

THE BALLMANN FAMILY PRIVATE FOUNDATION

43-6466750

FORM 990PF, PART II - CORPORATE STOCK

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| DESCRIPTION               | ENDING<br>BOOK VALUE | ENDING<br>FMV |
|---------------------------|----------------------|---------------|
| -----                     | -----                | ---           |
| MARATHON OIL CORP         | 75,563.              | 110,579.      |
| SCHLUMBERGER LTD          |                      |               |
| STAPLES INC               |                      |               |
| PEPSICO INC               | 6,945.               | 8,256.        |
| ABBOTT LABS               | 111,674.             | 109,488.      |
| GOLDMAN SACHS GROUP INC   | 161,343.             | 169,414.      |
| JP MORGAN CHASE & CO      |                      |               |
| HEWLETT PACKARD CO        |                      |               |
| ECOLAB INC                | 47,874.              | 58,173.       |
| APACHE CORP               | 41,962.              | 80,367.       |
| CHEVRON CORP              | 101,519.             | 164,919.      |
| CVS/CAREMARK CORP         |                      |               |
| PROCTER & GAMBLE CO       | 59,618.              | 74,303.       |
| ATT INC                   | 111,238.             | 125,609.      |
| APPLE INC                 | 59,761.              | 309,221.      |
| CISCO SYS INC             | 23,323.              | 18,883.       |
| BE AEROSPACE INC          | 15,512.              | 27,017.       |
| GARDNER DENVER INC        | 7,974.               | 19,772.       |
| MWI VETERINARY SUPPLY INC | 7,158.               | 17,703.       |
| TELEDYNE TECHNOLOGIES INC |                      |               |
| WMS INDS INC              |                      |               |
| WOODWARD GOVERNOR CO      |                      |               |
| BRIGHTPOINT INC           | 11,203.              | 14,030.       |
| MIDDLEBY CORP             | 12,180.              | 15,919.       |
| PHILLIPS VAN HEUSEN CORP  |                      |               |
| ENTERTAINMENT PTYS TR     | 14,393.              | 19,335.       |
| AMEDISYS INC              |                      |               |
| EMERGENCY MED SVCS CORP   |                      |               |
| DIGITAL RIVER INC         |                      |               |

THE BALLMANN FAMILY PRIVATE FOUNDATION

43-6466750

FORM 990PF, PART II - CORPORATE STOCK

=====

| DESCRIPTION                    | ENDING<br>BOOK VALUE | ENDING<br>FMV |
|--------------------------------|----------------------|---------------|
| -----                          | -----                | ----          |
| MICROS SYS INC                 | 7,431.               | 13,990.       |
| CITY HLDG CO                   |                      |               |
| COMTECH TELECOMMUNICATIONS     |                      |               |
| DECKERS OUTDOOR CORP           | 10,079.              | 16,489.       |
| FOSSIL INC                     |                      |               |
| ICU MED INC                    | 16,549.              | 21,680.       |
| J2 GLOBAL COMMUNICATIONS INC   | 15,494.              | 18,206.       |
| NET 1 UEPS TECHNOLOGIES INC    |                      |               |
| PROASSURANCE CORPORATION       | 16,785.              | 21,866.       |
| SILIGAN HLDGS INC              | 8,329.               | 14,769.       |
| SVB FINL GROUP                 | 7,589.               | 19,120.       |
| TUPPERWARE BRANDS CORP         | 12,874.              | 22,518.       |
| VECTREN CORPORATION            |                      |               |
| AMGEN INC                      |                      |               |
| BANK OF HAWAII CORP            |                      |               |
| BERRY PETE CO                  | 9,888.               | 22,746.       |
| BIOMED REALTY TRUST INC        |                      |               |
| BRANDYWINE REALTY TRUST        |                      |               |
| COMCAST CORP                   | 6,985.               | 10,172.       |
| COMPLETE PRODUCTION SERVICES   | 18,929.              | 22,669.       |
| CRANE CO                       | 17,968.              | 21,398.       |
| CUBIST PHARMACEUTICALS INC     |                      |               |
| DISNEY WALT CO                 | 90,550.              | 155,863.      |
| DREAMWORKS ANIMATION SKG INC   |                      |               |
| DUPONT FABROS TECHNOLOGY       | 13,460.              | 21,147.       |
| EMERSON ELEC CO                | 35.                  | 55.           |
| ENERSYS                        | 9,342.               | 20,728.       |
| FLUSHING FINANCIAL CORPORATION | 7,538.               | 14,279.       |
| GENOPTIX INC                   |                      |               |

THE BALLMANN FAMILY PRIVATE FOUNDATION

43-6466750

FORM 990PF, PART II - CORPORATE STOCK

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| DESCRIPTION                   | ENDING<br>BOOK VALUE | ENDING<br>FMV |
|-------------------------------|----------------------|---------------|
| -----                         | -----                | ----          |
| GILEAD SCIENCES INC           | 36.                  | 42.           |
| GLACIER BANCORP INC NEW       |                      |               |
| HARMONIC INC                  | 10,697.              | 14,022.       |
| HAWAIIAN HLDGS INC            |                      |               |
| IBM CORP                      |                      |               |
| MEDNAX INC                    | 15,661.              | 20,366.       |
| OPLINK COMMUNICATIONS INC     |                      |               |
| ORACLE CORPORATION            | 52,056.              | 96,158.       |
| RAYTHEON COMPANY              |                      |               |
| ST JUDE MED INC               |                      |               |
| STATE STR CORP                |                      |               |
| SYKES ENTERPRISES INC         |                      |               |
| SYNERSE HLDGS INC             |                      |               |
| TELE COMMUNICATIONS SYSTEMS   |                      |               |
| TELDYNE TECHNOLOGIES INC      | 12,751.              | 15,908.       |
| TITAN MACHY INC               | 14,112.              | 17,146.       |
| WELLS FARGO CO                | 75,769.              | 76,372.       |
| WET SEAL INC                  |                      |               |
| WILSHIRE BANCORP INC          | 58,126.              | 86,429.       |
| ACCENTURE LTD                 | 98,683.              | 123,119.      |
| ACE LTD                       |                      |               |
| PLATINUM UNDERWRITER HLDGS    |                      |               |
| I SHARES MSCI EAFE INDEX FUND | 1,013,151.           | 1,391,075.    |
| MIDCAP SPDR TRUST SER 1       | 1,049,478.           | 1,971,864.    |
| PIMCO TOTAL RETURN FUND       | 10,166,363.          | 10,696,307.   |
| ATP OIL & GAS CORPORATION     |                      |               |
| AIR PRODS CHEMICALS INC       |                      |               |
| AIRTRAN HLDGS INC             |                      |               |
| ALLERGAN INC                  | 38,000.              | 66,432.       |

THE BALLMANN FAMILY PRIVATE FOUNDATION

43-6466750

FORM 990PF, PART II - CORPORATE STOCK

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| DESCRIPTION                   | ENDING<br>BOOK VALUE | ENDING<br>FMV |
|-------------------------------|----------------------|---------------|
| -----                         | -----                | ---           |
| AMERICAN ITALIAN PASTA CO     |                      |               |
| AMERICAN MED SYS HLDGS INC    |                      |               |
| AMTRUST FINANCIAL SERVICES    | 13,965.              | 24,389.       |
| BIG 5 SPORTING GOODS CORP     |                      |               |
| BLACKROCK INC                 |                      |               |
| BRIDGEPOINT EDUCATION INC     |                      |               |
| CINEMARK HLDGS INC            | 23,672.              | 28,536.       |
| CITI TRENDS INC               |                      |               |
| COINSTAR INC                  |                      |               |
| CONOCOPHILLIPS                |                      |               |
| DIAMONDROCK HOSPITALITY CO    |                      |               |
| EVERCORE PARTNERS INC         |                      |               |
| EXPRESS SCRIPTS INC           | 677.                 | 1,251.        |
| EXXON MOBIL CORP              | 121,293.             | 138,727.      |
| FAIRCHILD SEMICON INTL        |                      |               |
| FASTENAL CO                   |                      |               |
| FLOUR CORP                    |                      |               |
| FREEMPORT MCMORAN COPPER GOLD |                      |               |
| GENERAL MILLS INC             | 66,893.              | 101,095.      |
| GEOEYE INC                    | 19,510.              | 19,471.       |
| GLIMCHER REALTY TRUST         |                      |               |
| GRAFTECH INTL LTD             |                      |               |
| HANGER ORTHOPEDIC GROUP INC   | 15,494.              | 22,409.       |
| HHGREGG INC                   |                      |               |
| HJ HEINZ CO                   |                      |               |
| IBERIABANK CORPORATION        | 15,976.              | 17,214.       |
| IDACORP INC                   | 9,561.               | 11,181.       |
| INTERACTIVE INTELLIGENCE INC  | 9,660.               | 19,063.       |
| INTERNATIONAL COAL GROUP INC  |                      |               |

THE BALLMANN FAMILY PRIVATE FOUNDATION

43-6466750

FORM 990PF, PART II - CORPORATE STOCK  
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| DESCRIPTION<br>-----       | ENDING<br>BOOK VALUE<br>----- | ENDING<br>FMV<br>--- |
|----------------------------|-------------------------------|----------------------|
| JAMES RIV COAL CO          | 13,405.                       | 16,287.              |
| JO ANN STORES INC          |                               |                      |
| JOHNSON JOHNSON            | 95,674.                       | 114,528.             |
| KOPPERS HLDGS INC          | 10,436.                       | 13,564.              |
| LAKELAND FINANCIAL CORP    | 12,425.                       | 13,209.              |
| LSB INDS INC               |                               |                      |
| MAGELLAN HEALTH SVCS INC   | 12,980.                       | 16,464.              |
| MASTEC INC                 | 19,509.                       | 35,154.              |
| MCDONALDS CORP             | 41,052.                       | 55,284.              |
| MEADOWBROOK INS GROUP INC  |                               |                      |
| NCI INC                    |                               |                      |
| NEWMARKET CORP             |                               |                      |
| NORFOLK SOUTHN CORP        | 40.                           | 73.                  |
| PERICOM SEMICONDUCTOR CORP | 16,429.                       | 15,075.              |
| PFIZER INC                 | 98,175.                       | 120,849.             |
| REHABCARE GROUP INC        |                               |                      |
| RENASANT CORP              |                               |                      |
| ROCK TENN CO               |                               |                      |
| SCHULMAN A INC             | 13,248.                       | 16,518.              |
| SIGNATURE BK               | 17,888.                       | 23,451.              |
| SKYWORKS SOLUTIONS INC     |                               |                      |
| SOLUTIA INC                | 12,879.                       | 18,852.              |
| SONIC WALL INC             |                               |                      |
| SOUTHWESTERN ENERGY CO     |                               |                      |
| TEXAS ROADHOUSE INC        | 17,048.                       | 19,642.              |
| TRIQUINT SEMOCONDUCTOR INC | 9,609.                        | 15,100.              |
| VEECO INSTRS INC           | 12,579.                       | 20,157.              |
| WAL MART STORES INC        |                               |                      |
| CARNIVAL CORP              |                               |                      |

THE BALLMANN FAMILY PRIVATE FOUNDATION

43-6466750

FORM 990PF, PART II - CORPORATE STOCK

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| DESCRIPTION                    | ENDING<br>BOOK VALUE | ENDING<br>FMV |
|--------------------------------|----------------------|---------------|
| -----                          | -----                | ---           |
| HARBIN ELECTRIC INC            | 15,271.              | 16,797.       |
| LULULEMON ATHLETICA INC        | 549,911.             | 750,445.      |
| TEVA PHARMACEUTICAL INDS LTD   | 8,993.               | 9,578.        |
| ISHARES MSCI EMERGING MKTS ETF | 118,411.             | 166,793.      |
| SPDR GOLD TRUST                | 9,435.               | 9,444.        |
| ALLEGiant TRAVEL CO            | 114,621.             | 147,782.      |
| AMAZON COM INC                 | 66,117.              | 74,454.       |
| AMERICAN EQUITY INVT LIFE      | 70,792.              | 92,090.       |
| AMERICAN EXPRESS CO            | 55,001.              | 80,338.       |
| AMERICAN TOWER CORP            | 66,312.              | 76,711.       |
| AMERIPRISE FINL INC            | 10,838.              | 11,365.       |
| AMERISOURCEBERGEN CORP         | 20,287.              | 28,354.       |
| AMPHENOL CORP                  | 1,961.               | 2,289.        |
| ARTIC CAT INC                  | 11,450.              | 9,976.        |
| ASHFORD HOSPITALITY TR INC     | 21,497.              | 23,770.       |
| BMC SOFTWARE INC               | 73.                  | 73.           |
| BANK OF AMERICA CORP           | 18,249.              | 23,302.       |
| BANK OF THE OZARKS INC         | 78,326.              | 91,852.       |
| BORG WARNER INC                | 46,691.              | 52,422.       |
| BUFFALO WILD WINGS INC         | 48,019.              | 58,193.       |
| C H ROBINSON WORLDWIDE INC     | 19,530.              | 24,797.       |
| CMS ENERGY CORP                | 13,124.              | 14,369.       |
| CAMERON INTL CORP              | 28,969.              | 41,618.       |
| CARDTRONICS INC                | 19,812.              | 19,070.       |
| CASH AMERICA INTL INC          | 16.                  | 19.           |
| CBS CORP CLASS B NON VOTING    | 32,264.              | 49,361.       |
| CENTENE CORP                   |                      |               |
| CENTERPOINT ENERGY INC         |                      |               |
| CERNER CORPORATION             |                      |               |

THE BALLMANN FAMILY PRIVATE FOUNDATION  
 FORM 990PF, PART II - CORPORATE STOCK  
 =====

43-6466750

| DESCRIPTION<br>-----         | ENDING<br>BOOK VALUE<br>----- | ENDING<br>FMV<br>---- |
|------------------------------|-------------------------------|-----------------------|
| CITIGROUP INC                | 66,275.                       | 69,749.               |
| CLECO CORPORATION            | 10,056.                       | 10,316.               |
| CLIFFS NATURAL RESOURCES INC | 38,637.                       | 51,790.               |
| COLONIAL PPOPERTIES TRUST    | 17,039.                       | 22,218.               |
| COMERICA INC                 | 59,117.                       | 57,993.               |
| CONCHO RES INC               | 60,706.                       | 81,743.               |
| COOPER TIRE & RUBR CO        | 17,424.                       | 18,289.               |
| CSX CORP                     | 64.                           | 79.                   |
| CUMMINS INC                  | 67,843.                       | 86,823.               |
| CYBERONICS INC               | 17,003.                       | 16,423.               |
| DICKS SPORTING GOODS INC     | 44,891.                       | 69,227.               |
| DIRECTV                      | 69,296.                       | 87,201.               |
| DOLBY LABORATORIES INC       | 37,055.                       | 30,708.               |
| DOLLAR TREE INC              | 37,211.                       | 50,418.               |
| DOW CHEM CO                  | 49,559.                       | 69,225.               |
| DR PEPPER SNAPPLE GROUP      | 44,995.                       | 48,039.               |
| EMC CORPORATION              | 72,481.                       | 102,663.              |
| EATON CORP                   | 61,085.                       | 81,949.               |
| EDISON INTL                  | 46,256.                       | 52,428.               |
| EDWARDS LIFESCIENCES CORP    | 43,528.                       | 64,241.               |
| ENTEGRIS INC                 | 15,836.                       | 19,214.               |
| FED EX CORP                  | 50,268.                       | 55,716.               |
| FERRO CORP                   | 16,759.                       | 14,895.               |
| FIFTH THIRD BANCORP          | 68,209.                       | 76,009.               |
| FINISAR CORPORATION          | 9,997.                        | 12,707.               |
| FLOWSERVE CORP               | 99.                           | 121.                  |
| F5 NETWORKS INC              | 52,786.                       | 62,128.               |
| GIII APPAREL GROUP LTD       | 15,676.                       | 21,031.               |
| GENERAL CABLE CORPORATION    | 18,943.                       | 18,161.               |

THE BALLMANN FAMILY PRIVATE FOUNDATION

43-6466750

FORM 990PF, PART II - CORPORATE STOCK

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| DESCRIPTION                   | ENDING<br>BOOK VALUE | ENDING<br>FMV |
|-------------------------------|----------------------|---------------|
| -----                         | -----                | ----          |
| GENERAL ELECTRIC CO           | 113,179.             | 140,819.      |
| GOOGLE INC                    | 80,638.              | 90,462.       |
| GRAINGER W W INC              | 50,883.              | 69,190.       |
| GT SOLAR INTERNATIONAL INC    | 12,658.              | 20,327.       |
| GULFPORT ENERGY CORP          | 11,056.              | 21,512.       |
| HEALTH MGMT ASSOC INC         | 20,692.              | 24,590.       |
| HEALTHSPRING INC              | 12,230.              | 12,410.       |
| INTEL CORP                    | 77,188.              | 97,176.       |
| INVACARE CORP                 | 17,268.              | 18,469.       |
| JOY GLOBAL INC                | 53,420.              | 72,885.       |
| KAPSTONE PAPER & PACKAGING    | 17,386.              | 17,898.       |
| KRAFT FOODS INC               | 41,496.              | 47,175.       |
| LAUDER ESTEE COS INC          | 60,255.              | 105,585.      |
| LIFEPOINT HOSPITALS INC       | 17,760.              | 17,388.       |
| LITTELFUSE INC                | 11,841.              | 17,940.       |
| LSB INDS INC                  | 10,869.              | 25,469.       |
| MACYS INC                     | 51,029.              | 70,843.       |
| MASTERCARD INC                | 62,486.              | 91,569.       |
| MATTEL INC                    | 55,158.              | 66,674.       |
| MAXIMUS INC                   | 15,806.              | 21,956.       |
| MEASUREMENT SPECIALTIES INC   | 9,058.               | 14,535.       |
| MEDCO HEALTH SOLUTIONS INC    | 63,165.              | 81,948.       |
| MEDICIS PHARMACEUTICAL CORP   | 10,300.              | 10,417.       |
| MEDIDATA SOLUTIONS INC        | 17,385.              | 15,173.       |
| MOSIAC CO                     | 45,644.              | 53,988.       |
| MULTI FINELINE ELECTRONIX INC | 18,164.              | 16,677.       |
| NETFLIX COM INC               | 45,823.              | 84,760.       |
| NETGEAR INC                   | 16,127.              | 27,412.       |
| NEWFIELD EXPL CO              | 54,927.              | 80,333.       |

THE BALLMANN FAMILY PRIVATE FOUNDATION

43-6466750

FORM 990PF, PART II - CORPORATE STOCK

=====

| DESCRIPTION                   | ENDING<br>BOOK VALUE | ENDING<br>FMV |
|-------------------------------|----------------------|---------------|
| -----                         | -----                | ----          |
| NIKE INC                      | 61,380.              | 69,925.       |
| NORTHWEST BANCSHARES INC      | 15,069.              | 16,591.       |
| OCCIDENTAL PETROLEUM CORP     | 97,685.              | 136,430.      |
| OIL STATES INTERNATIONAL INC  | 9,530.               | 16,917.       |
| OMNI VISION TECHNOLOGIES INC  | 16,120.              | 18,432.       |
| PGE CORP                      | 773.                 | 694.          |
| PERRIGO CO                    | 33,679.              | 48,769.       |
| PERRY ELLIS INTERNATIONAL     | 20,200.              | 22,499.       |
| PHILIP MORRIS INTL            | 119,728.             | 159,716.      |
| POLARIS INDS INC              | 15,862.              | 22,622.       |
| POLO RALPH LAUREN CORP        | 64,372.              | 100,022.      |
| PRAXAIR INC                   | 61,959.              | 75,464.       |
| PRECISION CASTPARTS CORP      | 47,999.              | 60,641.       |
| PRICELINE COM INC             | 137,152.             | 217,410.      |
| PROSPERITY BANCSHARES INC     | 18,515.              | 19,250.       |
| PRUDENTIAL FINANCIAL INC      | 51,359.              | 60,591.       |
| PUBLIC SVC ENTERPRISE GRP INC | 47,628.              | 50,820.       |
| QUALCOMM INC                  | 69,016.              | 98,314.       |
| RF MICRO DEVICES INC          | 19,508.              | 16,241.       |
| RENASANT CORP                 | 7,836.               | 7,874.        |
| ROCKWELL COLLINS INC          | 64,642.              | 68,160.       |
| SALESFORCE COM INC            | 101,668.             | 128,812.      |
| SALLY BEAUTY COMPANY          | 18,303.              | 23,028.       |
| SONIC AUTOMOTIVE INC          | 17,196.              | 15,762.       |
| STANLEY BLACK DECKER INC      | 59.                  | 74.           |
| STONE ENERGY CORP             | 6,280.               | 15,130.       |
| SYNNEX CORP                   | 13,365.              | 15,159.       |
| TAL INTL GROUP INC            | 16,543.              | 15,516.       |
| TARGET CORPORATION            | 78,379.              | 73,304.       |

THE BALLMANN FAMILY PRIVATE FOUNDATION  
 FORM 990PF, PART II - CORPORATE STOCK  
 =====

43-6466750

| DESCRIPTION<br>-----           | ENDING<br>BOOK VALUE<br>----- | ENDING<br>FMV<br>----- |
|--------------------------------|-------------------------------|------------------------|
| THERMO FISHER SCIENTIFIC INC   | 55,214.                       | 81,027.                |
| TRIUMPH GROUP INC              | 18,770.                       | 22,819.                |
| TWO HARBORS INVESTMENT CORP    | 19,305.                       | 19,235.                |
| UMB FINL CORP                  | 18,036.                       | 19,790.                |
| UNITED HEALTH GROUP INC        | 5,475.                        | 7,489.                 |
| UNITED TECHNOLOGIES CORP       | 145,555.                      | 185,985.               |
| UNUM GROUP                     | 49,468.                       | 60,224.                |
| VERIZON COMMUNICATIONS INC     | 70,340.                       | 85,308.                |
| VIEWPOINT FINL GROUP INC       | 12,524.                       | 14,111.                |
| VISA INC                       | 59,383.                       | 69,874.                |
| VOLTERRA SEMICONDUCTOR CORP    | 17,077.                       | 19,144.                |
| WESBANCO INC                   | 10,022.                       | 9,747.                 |
| WILLIAMS COS INC               | 14,657.                       | 18,583.                |
| WORLD ACCEP CORP               | 11,042.                       | 12,803.                |
| WYNN RESORTS LTD               | 62,537.                       | 103,004.               |
| XEROX CORP                     | 47,696.                       | 53,929.                |
| 3M CO                          | 74,278.                       | 84,187.                |
| INGERSOLL RAND PLC             | 50,293.                       | 72,954.                |
| ISHARES MSCI EMERGING MKTS ETF | 896,018.                      | 1,169,330.             |
| ISHARES S&P SMALLCAP 600 INDEX | 1,171,756.                    | 1,558,768.             |
| GAM ABSOLUTE RETURN BOND FUND  | 500,000.                      | 507,054.               |
| GCA CREDIT OPPORTUN OFFSHORE   | 1,000,000.                    | 1,006,082.             |
| IDR CORE EQUITY RE STRATEGY    | 203,779.                      | 203,336.               |
|                                | -----                         | -----                  |
| TOTALS                         | 23,818,010.                   | 28,874,762.            |
|                                | =====                         | =====                  |

THE BALLMANN FAMILY PRIVATE FOUNDATION  
 FORM 990PF, PART II - CORPORATE BONDS  
 =====

43-6466750

| DESCRIPTION<br>-----           | ENDING<br>BOOK VALUE<br>----- | ENDING<br>FMV<br>----- |
|--------------------------------|-------------------------------|------------------------|
| ROYAL BANK OF CANADA 6/8/10    |                               |                        |
| JPMORGAN CHASE 18.37% 11/24/10 | 200,000.                      | 240,620.               |
| RBC 4YR GSCI COMMOD 8/30/13    | 200,000.                      | 240,620.               |
| TOTALS                         | =====                         | =====                  |

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES  
=====

| DESCRIPTION<br>----- | AMOUNT<br>----- |
|----------------------|-----------------|
| COST ADJ             | 5,248.          |
|                      | -----           |
| TOTAL                | 5,248.          |
|                      | =====           |

-----  
STATE(S) WHERE THE FOUNDATION IS REGISTERED  
=====

MO

THE BALLMANN FAMILY PRIVATE FOUNDATION

43-6466750

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

US BANK NA

ADDRESS:

PO BOX 387

ST LOUIS, MO 63166

TITLE:

TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 0

COMPENSATION ..... 85,496.

TOTAL COMPENSATION:

85,496.

=====

STATEMENT 16

RECIPIENT NAME:  
CHILDREN'S HOME SOCIETY OF MISSOURI  
ATTN KAREN NOLTE PRES & CEO  
ADDRESS:  
9445 LITZSINGER RD  
SAINT LOUIS, MO 63144-2113  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
EXEMPT PURPOSE  
FOUNDATION STATUS OF RECIPIENT:  
PUBLIC  
AMOUNT OF GRANT PAID ..... 62,500.

RECIPIENT NAME:  
PEREGRINE SOCIETY INC OF STL  
ATTN: MR ALFRED WESSELS JR EXEC DIR  
ADDRESS:  
2343 HAMPTON AVE  
SAINT LOUIS, MO 63139-2908  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
EXEMPT PURPOSE  
FOUNDATION STATUS OF RECIPIENT:  
PUBLIC  
AMOUNT OF GRANT PAID ..... 25,000.

RECIPIENT NAME:  
GOOD SHEPARD CHILDREN & FAMILY SVCS  
ATTN: PEGGY SLATER, EXEC DIR  
ADDRESS:  
1340 PARTRIDGE AVE  
SAINT LOUIS, MO 63140  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
EXEMPT PURPOSE  
FOUNDATION STATUS OF RECIPIENT:  
PUBLIC  
AMOUNT OF GRANT PAID ..... 25,000.

RECIPIENT NAME:  
INDEPENDENCE CENTER  
ATTN: J. MICHAEL KELLER EXE DIRECTO  
ADDRESS:  
4245 FOREST PARK AVE  
ST LOUIS, MO 63108-2810  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
EXEMPT PURPOSE  
FOUNDATION STATUS OF RECIPIENT:  
PUBLIC  
AMOUNT OF GRANT PAID ..... 25,000.

RECIPIENT NAME:  
THE GOOD SAMARITAN HOME  
C/O ST ANDREWS MGMT SVCS  
ADDRESS:  
6633 DELMAR BLVD STE 300  
SAINT LOUIS, MO 63130-4505  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
EXEMPT PURPOSE  
FOUNDATION STATUS OF RECIPIENT:  
PUBLIC  
AMOUNT OF GRANT PAID ..... 93,750.

RECIPIENT NAME:  
SHRINERS CHILDRENS HOSPI  
ATTN: JIM ROWE  
ADDRESS:  
PO BOX 863770  
ORLANDO, FL 32886-3770  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
EXEMPT PURPOSE  
FOUNDATION STATUS OF RECIPIENT:  
PUBLIC  
AMOUNT OF GRANT PAID ..... 62,500.

RECIPIENT NAME:

MISSOURI COUNCIL FOR THE BLIND  
ATTN: WILLIAM HAWKINS, TREASURER

ADDRESS:

5453 CHIPPEWA ST  
SAINT LOUIS, MO 63109-1635

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EXEMPT PURPOSE

FOUNDATION STATUS OF RECIPIENT:

PUBLIC

AMOUNT OF GRANT PAID ..... 62,500.

RECIPIENT NAME:

HUMANE SOCIETY OF MISSOURI  
ATTN: ANNE GOECKNER, CFO

ADDRESS:

1201 MACKLIND AVE  
SAINT LOUIS, MO 63110-1431

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EXEMPT PURPOSE

FOUNDATION STATUS OF RECIPIENT:

PUBLIC

AMOUNT OF GRANT PAID ..... 62,500.

RECIPIENT NAME:

ANNIE MALONE CHILDRENS H  
ATTN MR RICHARD L KIN

ADDRESS:

2612 ANNIE MALONE DRIVE  
SAINT LOUIS, MO 63113-2929

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EXEMPT PURPOSE

FOUNDATION STATUS OF RECIPIENT:

PUBLIC

AMOUNT OF GRANT PAID ..... 25,000.

RECIPIENT NAME:  
ST LOUIS COLLEGE OF PHARMACY  
ATTN BRET SCHOTT  
ADDRESS:  
4588 PARKVIEW PLACE  
SAINT LOUIS, MO 63110-1029  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
EXEMPT PURPOSE  
FOUNDATION STATUS OF RECIPIENT:  
PUBLIC  
AMOUNT OF GRANT PAID ..... 62,500.

RECIPIENT NAME:  
THE YOUTHBRIDGE CAMPUS  
ADDRESS:  
12685 OLIVE STREET ROAD STE 100  
ST LOUIS, MO 63141  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
EXEMPT PURPOSE  
FOUNDATION STATUS OF RECIPIENT:  
PUBLIC  
AMOUNT OF GRANT PAID ..... 62,500.

RECIPIENT NAME:  
MEALS ON WHEELS  
ATTN: MS KATHRYN WORKMAN, TREASURER  
ADDRESS:  
25 BEAVER DRIVE  
SAINT LOUIS, MO 63141-7901  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
EXEMPT PURPOSE  
FOUNDATION STATUS OF RECIPIENT:  
PUBLIC  
AMOUNT OF GRANT PAID ..... 62,500.

RECIPIENT NAME:  
BEREA COLLEGE  
ADDRESS:  
C P O 2214 BERE A COLLEGE  
BEREA, KY 40404  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
EXEMPT PURPOSE  
FOUNDATION STATUS OF RECIPIENT:  
PUBLIC  
AMOUNT OF GRANT PAID ..... 25,000.

RECIPIENT NAME:  
RANKEN JORDAN HOME FOR CHILDREN  
ATTN: LAUREEN K TANNER R N,M S N  
ADDRESS:  
11365 DORSETT ROAD  
MARYLAND HEIGHTS, MO 63043-3411  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
EXEMPT PURPOSE  
FOUNDATION STATUS OF RECIPIENT:  
PUBLIC  
AMOUNT OF GRANT PAID ..... 25,000.

RECIPIENT NAME:  
ST LOUIS ALTENHEIM  
ATTN: MS MARY E BEHRNS  
ADDRESS:  
5408 S BROADWAY  
SAINT LOUIS, MO 63111-2023  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
EXEMPT PURPOSE  
FOUNDATION STATUS OF RECIPIENT:  
PUBLIC  
AMOUNT OF GRANT PAID ..... 25,000.

RECIPIENT NAME:  
MIRIAM FOUNDATION  
ATTN LAURA K DERICKSON, DEV DIR  
ADDRESS:  
501 BACON AVE  
SAINT LOUIS, MO 63119-1512  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
EXEMPT PURPOSE  
FOUNDATION STATUS OF RECIPIENT:  
PUBLIC  
AMOUNT OF GRANT PAID ..... 25,000.

RECIPIENT NAME:  
EPWORTH CHILDRENS HOME  
ADDRESS:  
110 N ELM AVE  
ST LOUIS, MO 63119-2418  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
EXEMPT PURPOSE  
FOUNDATION STATUS OF RECIPIENT:  
PUBLIC  
AMOUNT OF GRANT PAID ..... 25,000.

RECIPIENT NAME:  
ST ANDREWS AT HOME SERVICES  
MRS. MARY ALICE RYAN  
ADDRESS:  
6633 DELMAR BLVD  
SAINT LOUIS, MO 63130-4505  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
EXEMPT PURPOSE  
FOUNDATION STATUS OF RECIPIENT:  
PUBLIC  
AMOUNT OF GRANT PAID ..... 62,500.

RECIPIENT NAME:  
THE SALVATION ARMY  
ATTN KATHY PROBST  
ADDRESS:  
1130 HAMPTON AVE  
SAINT LOUIS, MO 63139-3147  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
EXEMPT PURPOSE  
FOUNDATION STATUS OF RECIPIENT:  
PUBLIC  
AMOUNT OF GRANT PAID ..... 62,500.

RECIPIENT NAME:  
BARNES-JEWISH HOSPITAL FOUNDATION  
ADDRESS:  
1001 HIGHLANDS PLAZA DR WEST STE 14  
ST LOUIS, MO 63110-1337  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
EXEMPT PURPOSE  
FOUNDATION STATUS OF RECIPIENT:  
PUBLIC  
AMOUNT OF GRANT PAID ..... 37,500.

RECIPIENT NAME:  
VISITING NURSE ASSOCIATION  
ADDRESS:  
11440 OLIVE BLVD SUITE 200  
CREVE COEUR, MO 63141  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
EXEMPT PURPOSE  
FOUNDATION STATUS OF RECIPIENT:  
PUBLIC  
AMOUNT OF GRANT PAID ..... 62,500.

RECIPIENT NAME:

RANKEN TECHNICAL COLLEGE  
ATTN: MR STAN SHOUN, PRESIDENT

ADDRESS:

4431 FINNEY AVE  
SAINT LOUIS, MO 63113-2811

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EXEMPT PURPOSE

FOUNDATION STATUS OF RECIPIENT:

PUBLIC

AMOUNT OF GRANT PAID ..... 62,500.

RECIPIENT NAME:

EDGEWOOD CHILDRENS CENTE  
ATTN WAYNE CRULL, PRESIDENT & CEO

ADDRESS:

330 N GORE AVE  
SAINT LOUIS, MO 63119-1600

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EXEMPT PURPOSE

FOUNDATION STATUS OF RECIPIENT:

PUBLIC

AMOUNT OF GRANT PAID ..... 25,000.

RECIPIENT NAME:

THE DEACONESS FOUNDATION  
ATTN JERRY W PAUL, CEO & PRES

ADDRESS:

211 N BROADWAY STE 1260  
SAINT LOUIS, MO 63102-2733

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EXEMPT PURPOSE

FOUNDATION STATUS OF RECIPIENT:

PUBLIC

AMOUNT OF GRANT PAID ..... 25,000.

RECIPIENT NAME:  
CULVER STOCKTON COLLEGE  
ADDRESS:  
ONE COLLEGE HILL  
CANTON, MO 63435  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
SCHOLARSHIP AWARDS PROGRAM  
FOUNDATION STATUS OF RECIPIENT:  
PUBLIC  
AMOUNT OF GRANT PAID ..... 5,000.

RECIPIENT NAME:  
COLUMBIA COLLEGE  
ADDRESS:  
1001 ROGERS STREET  
COLUMBIA, MO 65216  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
SCHOLARSHIP AWARDS PROGRAM  
FOUNDATION STATUS OF RECIPIENT:  
PUBLIC  
AMOUNT OF GRANT PAID ..... 2,500.

RECIPIENT NAME:  
BAPTIST BIBLE COLLEGE  
ADDRESS:  
628 E KEARNEY  
SPRINGFIELD, MO 65803  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
SCHOLARSHIP AWARDS PROGRAM  
FOUNDATION STATUS OF RECIPIENT:  
PUBLIC  
AMOUNT OF GRANT PAID ..... 5,000.

RECIPIENT NAME:  
HAMPTON UNIVERSITY  
ADDRESS:  
100 EAST QUEEN  
HAMPTON, VA 23668  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
SCHOLARSHIP AWARDS PROGRAM  
FOUNDATION STATUS OF RECIPIENT:  
PUBLIC  
AMOUNT OF GRANT PAID ..... 2,706.

RECIPIENT NAME:  
ST LOUIS UNIVERSITY SCHOOL NURSING  
ATTN STEVE SIEVERS DIR OF DEVELOPMN  
ADDRESS:  
3437 CAROLINE ST RM 3111  
SAINT LOUIS, MO 63104-1111  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
EXEMPT PURPOSE  
FOUNDATION STATUS OF RECIPIENT:  
PUBLIC  
AMOUNT OF GRANT PAID ..... 37,500.

RECIPIENT NAME:  
UNITY SCHOOL OF CHRISTIANITY OF MO  
ATTN BRUCE SCHALL  
ADDRESS:  
1901 NW BLUE PKWY  
UNITY VILLAGE, MO 64065-0001  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
EXEMPT PURPOSE  
FOUNDATION STATUS OF RECIPIENT:  
PUBLIC  
AMOUNT OF GRANT PAID ..... 25,000.

RECIPIENT NAME:  
UNIVERSITY OF MO - ST LOUIS  
ADDRESS:  
ONE UNIVERSITY BLVD  
ST LOUIS, MO 63121  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
SCHOLARSHIP AWARDS PROGRAM  
FOUNDATION STATUS OF RECIPIENT:  
PUBLIC  
AMOUNT OF GRANT PAID ..... 5,000.

RECIPIENT NAME:  
SOUTHERN ILLINOIS UNIVERSITY -  
EDWARDSVILLE  
ADDRESS:  
PO BOX 1042  
EDWARDSVILLE, IL 32026-1042  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
SCHOLARSHIP AWARDS PROGRAM  
FOUNDATION STATUS OF RECIPIENT:  
PUBLIC  
AMOUNT OF GRANT PAID ..... 5,000.

RECIPIENT NAME:  
MISSOURI STATE UNIVERSITY  
ADDRESS:  
901 NATIONAL AVENUE  
SPRINGFIELD, MO 65897  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
SCHOLARSHIP AWARDS PROGRAM  
FOUNDATION STATUS OF RECIPIENT:  
PUBLIC  
AMOUNT OF GRANT PAID ..... 15,000.

RECIPIENT NAME:  
UNIVERSITY OF MISSOURI AT  
COLUMBIA  
ADDRESS:  
11 JESSE HALL  
COLUMBIA, MO 65211  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
SCHOLARSHIP AWARDS PROGRAM  
FOUNDATION STATUS OF RECIPIENT:  
PUBLIC  
AMOUNT OF GRANT PAID ..... 15,000.

RECIPIENT NAME:  
WASHINGTON UNIVERSITY  
ADDRESS:  
ONE BROOKINGS DRIVE  
ST LOUIS, MO 63130  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
SCHOLARSHIP AWARDS PROGRAM  
FOUNDATION STATUS OF RECIPIENT:  
PUBLIC  
AMOUNT OF GRANT PAID ..... 5,000.

RECIPIENT NAME:  
TRUMAN STATE UNIVERSITY  
ADDRESS:  
100 EAST NORMAL  
KIRKSVILLE, MO 63501  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
SCHOLARSHIP AWARDS PROGRAM  
FOUNDATION STATUS OF RECIPIENT:  
PUBLIC  
AMOUNT OF GRANT PAID ..... 7,500.

## RECIPIENT NAME:

RHODES COLLEGE

## ADDRESS:

2000 N PARKWAY

MEMPHIS, TN 38112

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

SCHOLARSHIP AWARDS PROGRAM

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC

AMOUNT OF GRANT PAID ..... 2,500.

## RECIPIENT NAME:

ST LOUIS UNIVERSITY

## ADDRESS:

221 N GRAND AVE

ST LOUIS, MO 63103

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

SCHOLARSHIP AWARDS PROGRAM

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC

AMOUNT OF GRANT PAID ..... 10,000.

TOTAL GRANTS PAID:

1,236,456.

=====

**SCHEDULE D  
(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Capital Gains and Losses**

► Attach to Form 1041, Form 5227, or Form 990-T. See the Instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

**2010**

Name of estate or trust

THE BALLMANN FAMILY PRIVATE FOUNDATION

Employer identification number

43-6466750

Note: Form 5227 filers need to complete only Parts I and II.

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property<br>(Example 100 shares 7% preferred of "Z" Co) | (b) Date acquired<br>(mo, day, yr.) | (c) Date sold<br>(mo, day, yr.) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss) for<br>the entire year<br>Subtract (e) from (d) |
|----------------------------------------------------------------------------|-------------------------------------|---------------------------------|-----------------|-----------------------------------------------|--------------------------------------------------------------------|
| 1a                                                                         |                                     |                                 |                 |                                               |                                                                    |
|                                                                            |                                     |                                 |                 |                                               |                                                                    |
|                                                                            |                                     |                                 |                 |                                               |                                                                    |
|                                                                            |                                     |                                 |                 |                                               |                                                                    |
|                                                                            |                                     |                                 |                 |                                               |                                                                    |

|                                                                                                                              |    |         |
|------------------------------------------------------------------------------------------------------------------------------|----|---------|
| b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b                                                    | 1b | 88,532. |
| 2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824                                                    | 2  |         |
| 3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts                               | 3  |         |
| 4 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2009 Capital Loss Carryover Worksheet      | 4  | ( )     |
| 5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back | 5  | 88,532. |

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property<br>(Example 100 shares 7% preferred of "Z" Co) | (b) Date acquired<br>(mo, day, yr.) | (c) Date sold<br>(mo, day, yr.) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss) for<br>the entire year<br>Subtract (e) from (d) |
|----------------------------------------------------------------------------|-------------------------------------|---------------------------------|-----------------|-----------------------------------------------|--------------------------------------------------------------------|
| 6a<br>LONG-TERM CAPITAL GAIN DIVIDENDS                                     |                                     | STMT 1                          |                 |                                               | 147,664.                                                           |
|                                                                            |                                     |                                 |                 |                                               |                                                                    |
|                                                                            |                                     |                                 |                 |                                               |                                                                    |
|                                                                            |                                     |                                 |                 |                                               |                                                                    |
|                                                                            |                                     |                                 |                 |                                               |                                                                    |

|                                                                                                                                |    |            |
|--------------------------------------------------------------------------------------------------------------------------------|----|------------|
| b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b                                                       | 6b | 1,614,613. |
| 7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824                                                 | 7  |            |
| 8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts                                  | 8  |            |
| 9 Capital gain distributions                                                                                                   | 9  | 6.         |
| 10 Gain from Form 4797, Part I                                                                                                 | 10 |            |
| 11 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2009 Capital Loss Carryover Worksheet       | 11 | ( )        |
| 12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back | 12 | 1,762,283. |

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2010

JSA

0F1210 2 000

SL6005 L732 06/13/2011 12:15:39

265990T

77 -

**Part III Summary of Parts I and II****Caution:** Read the instructions before completing this part.

|           |                                                                       | (1) Beneficiaries'<br>(see instr.) | (2) Estate's<br>or trust's | (3) Total  |
|-----------|-----------------------------------------------------------------------|------------------------------------|----------------------------|------------|
| <b>13</b> | <b>Net short-term gain or (loss)</b> . . . . .                        | <b>13</b>                          |                            | 88,532.    |
| <b>14</b> | <b>Net long-term gain or (loss):</b>                                  |                                    |                            |            |
| a         | Total for year . . . . .                                              | <b>14a</b>                         |                            | 1,762,283. |
| b         | Unrecaptured section 1250 gain (see line 18 of the wrksht) . . . . .  | <b>14b</b>                         |                            | 6.         |
| c         | 28% rate gain . . . . .                                               | <b>14c</b>                         |                            |            |
| <b>15</b> | <b>Total net gain or (loss).</b> Combine lines 13 and 14a . . . . . ▶ | <b>15</b>                          |                            | 1,850,815. |

**Note:** If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

**Part IV Capital Loss Limitation**

|           |                                                                                                                                                                                 |           |     |
|-----------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|-----|
| <b>16</b> | Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of:<br>a The loss on line 15, column (3) or b \$3,000 . . . . . | <b>16</b> | ( ) |
|-----------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|-----|

**Note:** If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

**Part V Tax Computation Using Maximum Capital Gains Rates**

**Form 1041 filers.** Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

**Caution:** Skip this part and complete the worksheet on page 8 of the instructions if:

- Either line 14b, col (2) or line 14c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

**Form 990-T trusts.** Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

|           |                                                                                                                                                                                                                                                          |           |  |
|-----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|--|
| <b>17</b> | Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) . . . . .                                                                                                                                                                          | <b>17</b> |  |
| <b>18</b> | Enter the <b>smaller</b> of line 14a or 15 in column (2) but not less than zero . . . . .                                                                                                                                                                | <b>18</b> |  |
| <b>19</b> | Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . . . . .                                                                                     | <b>19</b> |  |
| <b>20</b> | Add lines 18 and 19 . . . . .                                                                                                                                                                                                                            | <b>20</b> |  |
| <b>21</b> | If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- . . . . . ▶                                                                                                                                              | <b>21</b> |  |
| <b>22</b> | Subtract line 21 from line 20. If zero or less, enter -0- . . . . .                                                                                                                                                                                      | <b>22</b> |  |
| <b>23</b> | Subtract line 22 from line 17. If zero or less, enter -0- . . . . .                                                                                                                                                                                      | <b>23</b> |  |
| <b>24</b> | Enter the <b>smaller</b> of the amount on line 17 or \$2,300 . . . . .                                                                                                                                                                                   | <b>24</b> |  |
| <b>25</b> | Is the amount on line 23 equal to or more than the amount on line 24?<br><input type="checkbox"/> <b>Yes.</b> Skip lines 25 and 26, go to line 27 and check the "No" box.<br><input type="checkbox"/> <b>No.</b> Enter the amount from line 23 . . . . . | <b>25</b> |  |
| <b>26</b> | Subtract line 25 from line 24 . . . . .                                                                                                                                                                                                                  | <b>26</b> |  |
| <b>27</b> | Are the amounts on lines 22 and 26 the same?<br><input type="checkbox"/> <b>Yes.</b> Skip lines 27 thru 30, go to line 31. <input type="checkbox"/> <b>No.</b> Enter the smaller of line 17 or line 22 . . . . .                                         | <b>27</b> |  |
| <b>28</b> | Enter the amount from line 26 (If line 26 is blank, enter -0-) . . . . .                                                                                                                                                                                 | <b>28</b> |  |
| <b>29</b> | Subtract line 28 from line 27 . . . . .                                                                                                                                                                                                                  | <b>29</b> |  |
| <b>30</b> | Multiply line 29 by 15% (.15) . . . . .                                                                                                                                                                                                                  | <b>30</b> |  |
| <b>31</b> | Figure the tax on the amount on line 23. Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . .                                                                             | <b>31</b> |  |
| <b>32</b> | Add lines 30 and 31 . . . . .                                                                                                                                                                                                                            | <b>32</b> |  |
| <b>33</b> | Figure the tax on the amount on line 17. Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . .                                                                             | <b>33</b> |  |
| <b>34</b> | <b>Tax on all taxable income.</b> Enter the <b>smaller</b> of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) . . . . .                                                                                           | <b>34</b> |  |

Schedule D (Form 1041) 2010

**SCHEDULE D-1**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D**  
**(Form 1041)**

▶ See instructions for Schedule D (Form 1041).  
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

**2010**

Name of estate or trust

THE BALLMANN FAMILY PRIVATE FOUNDATION

Employer identification number

43-6466750

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example<br>100 sh. 7% preferred of "Z" Co) | (b) Date<br>acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|-------------------------------------------------------------------------|-----------------------------------------|----------------------------------|-----------------|-----------------------------------------------|---------------------------------------------|
| 1a 1446. APAC TELESERVICES<br>INC                                       | 11/12/2010                              | 03/10/2011                       | 8,352.00        | 7,900.00                                      | 452.00                                      |
| 973. A T P OIL & GAS<br>CORPORATION                                     | 06/10/2010                              | 08/05/2010                       | 14,055.00       | 17,314.00                                     | -3,259.00                                   |
| 2714. AIRTRAN HLDGS INC                                                 | 07/12/2010                              | 09/28/2010                       | 19,860.00       | 15,022.00                                     | 4,838.00                                    |
| 390. AMEDISYS INC                                                       | 05/10/2010                              | 07/14/2010                       | 10,353.00       | 18,034.00                                     | -7,681.00                                   |
| 404. AMERICAN ITALIAN<br>PASTA CO CL A                                  | 10/16/2009                              | 06/22/2010                       | 21,267.00       | 12,429.00                                     | 8,838.00                                    |
| 684. AMERICAN MED SYS<br>HLDGS INC                                      | 05/18/2010                              | 11/05/2010                       | 13,517.00       | 14,595.00                                     | -1,078.00                                   |
| 700. AMGEN INC                                                          | 11/23/2009                              | 09/08/2010                       | 37,106.00       | 39,275.00                                     | -2,169.00                                   |
| 5500. BANK OF AMERICA CORP                                              | 09/08/2010                              | 12/23/2010                       | 71,471.00       | 74,177.00                                     | -2,706.00                                   |
| 493. BIG 5 SPORTING GOODS<br>CORP                                       | 04/20/2010                              | 07/12/2010                       | 5,643.00        | 8,719.00                                      | -3,076.00                                   |
| 250. BLACKROCK INC                                                      | 11/23/2009                              | 09/08/2010                       | 37,915.00       | 57,250.00                                     | -19,335.00                                  |
| 532. BRIDGEPOINT EDUCATION<br>INC                                       | 03/05/2010                              | 10/15/2010                       | 7,720.00        | 9,393.00                                      | -1,673.00                                   |
| 2633. BRISTOL MYERS SQUIBB<br>CO                                        | 09/08/2010                              | 12/23/2010                       | 69,381.00       | 70,904.00                                     | -1,523.00                                   |
| 135. CAPELLA EDUCATION CO                                               | 09/22/2010                              | 10/15/2010                       | 9,156.00        | 9,403.00                                      | -247.00                                     |
| 674. CHINA VALVES<br>TECHNOLOGY INC                                     | 11/15/2010                              | 11/22/2010                       | 6,369.00        | 7,663.00                                      | -1,294.00                                   |
| .6 CITIGROUP INC                                                        | 09/08/2010                              | 05/25/2011                       | 24.00           | 23.00                                         | 1.00                                        |
| 95. COINSTAR INC                                                        | 07/14/2010                              | 10/27/2010                       | 4,351.00        | 3,811.00                                      | 540.00                                      |
| 61. COINSTAR INC                                                        | 02/25/2010                              | 10/29/2010                       | 3,491.00        | 1,809.00                                      | 1,682.00                                    |
| 67. COINSTAR INC                                                        | 02/25/2010                              | 11/01/2010                       | 3,949.00        | 1,987.00                                      | 1,962.00                                    |
| 166. COINSTAR INC                                                       | 02/25/2010                              | 01/18/2011                       | 6,788.00        | 4,924.00                                      | 1,864.00                                    |
| 84. COMTECH TELECOMMUNICAT<br>IONS CORP                                 | 10/16/2009                              | 07/22/2010                       | 1,804.00        | 2,781.00                                      | -977.00                                     |
| 717. DIAMONDROCK<br>HOSPITALITY CO                                      | 01/07/2010                              | 12/22/2010                       | 8,256.00        | 6,605.00                                      | 1,651.00                                    |
| 280. DIAMONDROCK<br>HOSPITALITY CO                                      | 01/07/2010                              | 12/23/2010                       | 3,248.00        | 2,579.00                                      | 669.00                                      |
| 790. DOUGLAS EMMITT INC                                                 | 12/03/2010                              | 02/18/2011                       | 14,663.00       | 13,208.00                                     | 1,455.00                                    |
| 78. DREAMWORKS ANIMATION<br>SKG INC CL A                                | 05/11/2010                              | 12/14/2010                       | 2,334.00        | 2,967.00                                      | -633.00                                     |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

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Schedule D-1 (Form 1041) 2010

**SCHEDULE D-1**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D**  
**(Form 1041)**

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

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|-------------------------------------------------------------------------|-----------------------------------------|----------------------------------|-----------------|-----------------------------------------------|---------------------------------------------|
| 1a 54. EMERGENCY MEDICAL<br>SERVICES A                                  | 05/05/2010                              | 02/15/2011                       | 3,398.00        | 2,896.00                                      | 502.00                                      |
| 404. EQUINIX INC                                                        | 09/08/2010                              | 12/23/2010                       | 32,694.00       | 38,517.00                                     | -5,823.00                                   |
| 216. EVERCORE PARTNERS INC                                              | 12/14/2009                              | 09/27/2010                       | 5,814.00        | 6,583.00                                      | -769.00                                     |
| 1466. FAIRCHILD SEMICON<br>INTL CL A                                    | 01/29/2010                              | 08/13/2010                       | 11,877.00       | 14,645.00                                     | -2,768.00                                   |
| 115. FINISAR CORPORATION                                                | 12/03/2010                              | 12/22/2010                       | 3,335.00        | 2,776.00                                      | 559.00                                      |
| 53. FINISAR CORPORATION                                                 | 12/03/2010                              | 02/07/2011                       | 2,115.00        | 1,279.00                                      | 836.00                                      |
| 258. FLOWSERVE CORP                                                     | 09/08/2010                              | 12/23/2010                       | 30,505.00       | 25,503.00                                     | 5,002.00                                    |
| 347. GT SOLAR INTERNATIONAL<br>L INC                                    | 10/01/2010                              | 12/09/2010                       | 3,256.00        | 2,999.00                                      | 257.00                                      |
| 516. GT SOLAR INTERNATIONAL<br>L INC                                    | 12/06/2010                              | 01/18/2011                       | 5,393.00        | 4,322.00                                      | 1,071.00                                    |
| 113. GENOPTIX INC                                                       | 01/28/2010                              | 07/13/2010                       | 1,907.00        | 3,448.00                                      | -1,541.00                                   |
| 79. GEOEYE INC                                                          | 01/07/2010                              | 07/21/2010                       | 2,524.00        | 2,280.00                                      | 244.00                                      |
| 29. GEOEYE INC                                                          | 01/07/2010                              | 07/22/2010                       | 923.00          | 837.00                                        | 86.00                                       |
| 69. GEOEYE INC                                                          | 01/07/2010                              | 08/10/2010                       | 2,667.00        | 1,863.00                                      | 804.00                                      |
| 518. GLIMCHER REALTY TRUST                                              | 02/18/2011                              | 05/20/2011                       | 4,963.00        | 4,771.00                                      | 192.00                                      |
| 203. GULFPORT ENERGY CORP                                               | 08/05/2010                              | 10/26/2010                       | 3,285.00        | 2,862.00                                      | 423.00                                      |
| 137. GULFPORT ENERGY CORP                                               | 08/02/2010                              | 02/03/2011                       | 3,525.00        | 1,904.00                                      | 1,621.00                                    |
| 88. GULFPORT ENERGY CORP                                                | 08/02/2010                              | 02/23/2011                       | 2,534.00        | 1,223.00                                      | 1,311.00                                    |
| 231. GULFPORT ENERGY CORP                                               | 08/02/2010                              | 03/03/2011                       | 6,560.00        | 3,147.00                                      | 3,413.00                                    |
| 111. GULFPORT ENERGY CORP                                               | 06/14/2010                              | 04/13/2011                       | 3,645.00        | 1,512.00                                      | 2,133.00                                    |
| 156. HARBIN ELECTRIC INC                                                | 03/08/2010                              | 10/26/2010                       | 3,221.00        | 3,439.00                                      | -218.00                                     |
| 432. HAWAIIAN HLDGS INC                                                 | 12/23/2009                              | 10/01/2010                       | 2,566.00        | 3,160.00                                      | -594.00                                     |
| 125. HHGREGG INC                                                        | 03/15/2010                              | 10/13/2010                       | 3,032.00        | 2,758.00                                      | 274.00                                      |
| 866. HHGREGG INC                                                        | 02/23/2010                              | 12/15/2010                       | 18,989.00       | 17,627.00                                     | 1,362.00                                    |
| 156. IDACORP INC                                                        | 05/21/2010                              | 09/21/2010                       | 5,440.00        | 5,007.00                                      | 433.00                                      |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

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Schedule D-1 (Form 1041) 2010

**SCHEDULE D-1**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D**  
**(Form 1041)**

▶ See instructions for Schedule D (Form 1041).

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|------------------------------------------------------------------------|-----------------------------------------|----------------------------------|-----------------|-----------------------------------------------|---------------------------------------------|
| 1a 84. IDACORP INC                                                     | 05/21/2010                              | 10/14/2010                       | 3,021.00        | 2,696.00                                      | 325.00                                      |
| 173. INTERACTIVE<br>INTELLIGENCE INC                                   | 04/30/2010                              | 10/15/2010                       | 3,969.00        | 3,624.00                                      | 345.00                                      |
| 153. INTERACTIVE<br>INTELLIGENCE INC                                   | 04/20/2010                              | 11/03/2010                       | 4,182.00        | 3,151.00                                      | 1,031.00                                    |
| 168. INTERACTIVE<br>INTELLIGENCE INC                                   | 04/20/2010                              | 11/10/2010                       | 4,392.00        | 3,460.00                                      | 932.00                                      |
| 595. INTERNATIONAL COAL<br>GROUP INC                                   | 03/25/2010                              | 10/27/2010                       | 3,397.00        | 2,794.00                                      | 603.00                                      |
| 373. INTERNATIONAL COAL<br>GROUP INC                                   | 03/12/2010                              | 11/30/2010                       | 2,749.00        | 1,734.00                                      | 1,015.00                                    |
| 158. INTERNATIONAL COAL<br>GROUP INC                                   | 12/15/2010                              | 01/04/2011                       | 1,313.00        | 1,158.00                                      | 155.00                                      |
| 188. INTERNATIONAL COAL<br>GROUP INC                                   | 12/15/2010                              | 01/05/2011                       | 1,521.00        | 1,378.00                                      | 143.00                                      |
| 329. INTERNATIONAL COAL<br>GROUP INC                                   | 12/15/2010                              | 03/03/2011                       | 3,333.00        | 1,792.00                                      | 1,541.00                                    |
| 2166. INTERNATIONAL PAPER<br>CO                                        | 09/08/2010                              | 12/23/2010                       | 58,481.00       | 46,822.00                                     | 11,659.00                                   |
| 148. JAMES RIV COAL CO                                                 | 08/06/2010                              | 11/30/2010                       | 2,925.00        | 2,792.00                                      | 133.00                                      |
| 282. JAMES RIV COAL CO                                                 | 08/06/2010                              | 01/05/2011                       | 7,024.00        | 5,091.00                                      | 1,933.00                                    |
| 145. JAMES RIV COAL CO                                                 | 01/27/2011                              | 03/14/2011                       | 2,954.00        | 3,186.00                                      | -232.00                                     |
| 1795. JETBLUE AIRWAYS CORP                                             | 09/29/2010                              | 11/11/2010                       | 12,176.00       | 11,483.00                                     | 693.00                                      |
| 181. JO ANN STORES INC                                                 | 02/22/2010                              | 12/27/2010                       | 10,869.00       | 6,858.00                                      | 4,011.00                                    |
| 175. JO ANN STORES INC                                                 | 02/18/2010                              | 01/06/2011                       | 10,537.00       | 6,509.00                                      | 4,028.00                                    |
| 250000. JPMORGAN 6M FCX<br>#AI# 18.37% 11/24/10                        | 05/19/2010                              | 11/24/2010                       | 272,251.00      | 250,000.00                                    | 22,251.00                                   |
| 87. KOPPERS HLDGS INC                                                  | 02/22/2011                              | 03/14/2011                       | 3,194.00        | 3,618.00                                      | -424.00                                     |
| 95. LITTELFUSE INC                                                     | 10/12/2010                              | 05/06/2011                       | 5,809.00        | 4,057.00                                      | 1,752.00                                    |
| 72. LULULEMON ATHLETICA<br>INC                                         | 05/05/2010                              | 07/30/2010                       | 2,921.00        | 2,731.00                                      | 190.00                                      |
| 62. LULULEMON ATHLETICA<br>INC                                         | 05/05/2010                              | 10/14/2010                       | 2,819.00        | 1,964.00                                      | 855.00                                      |
| 177. LULULEMON ATHLETICA<br>INC                                        | 01/29/2010                              | 11/09/2010                       | 8,741.00        | 5,116.00                                      | 3,625.00                                    |
| 277. MADISON SQUARE GARDEN<br>INC                                      | 12/07/2010                              | 03/01/2011                       | 7,789.00        | 6,804.00                                      | 985.00                                      |
| 230. MADISON SQUARE GARDEN<br>INC                                      | 12/06/2010                              | 03/03/2011                       | 6,711.00        | 5,461.00                                      | 1,250.00                                    |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

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|---------------------------------------------------------------------------|-----------------------------------------|----------------------------------|-----------------|-----------------------------------------------|---------------------------------------------|
| 1a 55. MAXIMUS INC                                                        | 12/03/2010                              | 05/06/2011                       | 4,392.00        | 3,476.00                                      | 916.00                                      |
| 421. MEADOWBROOK INS GROUP<br>INC                                         | 08/05/2010                              | 09/29/2010                       | 3,557.00        | 3,680.00                                      | -123.00                                     |
| 455. MEADOWBROOK INS GROUP<br>INC                                         | 08/05/2010                              | 10/15/2010                       | 3,912.00        | 3,179.00                                      | 733.00                                      |
| 99. MEDIDATA SOLUTIONS INC                                                | 02/09/2011                              | 03/31/2011                       | 2,522.00        | 2,664.00                                      | -142.00 *                                   |
| 184. MULTI FINELINE<br>ELECTRONIX INC                                     | 11/01/2010                              | 05/03/2011                       | 4,796.00        | 4,499.00                                      | 297.00                                      |
| 496. NCI INC CL A                                                         | 12/17/2009                              | 09/28/2010                       | 9,143.00        | 13,705.00                                     | -4,562.00                                   |
| 109. NET 1 UEPS<br>TECHNOLOGIES INC                                       | 11/02/2009                              | 09/23/2010                       | 1,179.00        | 1,908.00                                      | -729.00                                     |
| 771. NU SKIN ENTERPRISES<br>INC - A                                       | 02/03/2011                              | 03/14/2011                       | 22,040.00       | 21,547.00                                     | 493.00                                      |
| 63. OIL STATES INTERNATION<br>AL INC                                      | 08/09/2010                              | 04/19/2011                       | 4,831.00        | 2,878.00                                      | 1,953.00                                    |
| 103. OMNI VISION<br>TECHNOLOGIES INC                                      | 12/07/2010                              | 03/01/2011                       | 3,225.00        | 3,286.00                                      | -61.00 *                                    |
| 152. OPLINK COMMUNICATIONS<br>INC                                         | 04/26/2010                              | 08/13/2010                       | 2,476.00        | 2,600.00                                      | -124.00                                     |
| 573. OPLINK COMMUNICATIONS<br>INC                                         | 12/03/2010                              | 03/10/2011                       | 12,539.00       | 9,704.00                                      | 2,835.00                                    |
| 459. PAREXEL INTL CORP                                                    | 11/05/2010                              | 05/04/2011                       | 10,830.00       | 10,027.00                                     | 803.00                                      |
| 435. PAREXEL INTL CORP                                                    | 12/01/2010                              | 05/11/2011                       | 10,625.00       | 8,726.00                                      | 1,899.00                                    |
| 52. PHILLIPS VAN HEUSEN<br>CORP                                           | 03/25/2010                              | 01/18/2011                       | 3,124.00        | 2,954.00                                      | 170.00                                      |
| 176. PLEXUS CORP                                                          | 07/14/2010                              | 10/29/2010                       | 5,309.00        | 5,217.00                                      | 92.00                                       |
| 414. PLEXUS CORP                                                          | 08/16/2010                              | 12/14/2010                       | 11,569.00       | 11,750.00                                     | -181.00                                     |
| 491. REHABCARE GROUP INC                                                  | 05/18/2010                              | 08/11/2010                       | 9,056.00        | 11,721.00                                     | -2,665.00                                   |
| 145. RENASANT CORP                                                        | 01/19/2011                              | 05/04/2011                       | 2,264.00        | 2,428.00                                      | -164.00                                     |
| 954. REPUBLIC AIRWAYS<br>HOLDINGS IN                                      | 11/23/2010                              | 02/09/2011                       | 6,000.00        | 7,646.00                                      | -1,646.00                                   |
| 317. ROCK TENN CO CL A                                                    | 02/24/2010                              | 12/15/2010                       | 17,390.00       | 15,343.00                                     | 2,047.00                                    |
| 72. ROCK TENN CO CL A                                                     | 01/25/2011                              | 02/22/2011                       | 4,944.00        | 4,316.00                                      | 628.00                                      |
| 143. ROCK TENN CO CL A                                                    | 01/25/2011                              | 03/10/2011                       | 9,483.00        | 8,572.00                                      | 911.00                                      |
| 250000. RBC 3MO AMZN #AI#<br>13.000% 6/08/10                              | 03/03/2010                              | 06/08/2010                       | 257,969.00      | 250,000.00                                    | 7,969.00                                    |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

**SCHEDULE D-1**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D**  
**(Form 1041)**

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

**2010**

Name of estate or trust

THE BALLMANN FAMILY PRIVATE FOUNDATION

Employer identification number

43-6466750

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co) | (b) Date<br>acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|------------------------------------------------------------------------|-----------------------------------------|----------------------------------|-----------------|-----------------------------------------------|---------------------------------------------|
| 1a 918. SEMPRA ENERGY                                                  | 09/08/2010                              | 12/23/2010                       | 47,873.00       | 48,735.00                                     | -862.00                                     |
| 204. SKYWORKS SOLUTIONS<br>INC                                         | 06/04/2010                              | 08/13/2010                       | 3,501.00        | 3,395.00                                      | 106.00                                      |
| 285. SKYWORKS SOLUTIONS<br>INC                                         | 09/24/2010                              | 11/09/2010                       | 6,746.00        | 4,889.00                                      | 1,857.00                                    |
| 108. SKYWORKS SOLUTIONS<br>INC                                         | 12/11/2009                              | 12/06/2010                       | 2,977.00        | 1,488.00                                      | 1,489.00                                    |
| 326. SMURFIT STONE<br>CONTAINER ENTERPRISES                            | 12/15/2010                              | 01/25/2011                       | 11,426.00       | 8,340.00                                      | 3,086.00                                    |
| 267. SMURFIT STONE<br>CONTAINER ENTERPRISES                            | 12/15/2010                              | 01/26/2011                       | 9,807.00        | 6,830.00                                      | 2,977.00                                    |
| 1822. SONIC WALL INC                                                   | 04/22/2010                              | 06/04/2010                       | 20,479.00       | 15,540.00                                     | 4,939.00                                    |
| 968. STANLEY BLACK DECKER<br>INC                                       | 09/08/2010                              | 12/23/2010                       | 64,941.00       | 56,681.00                                     | 8,260.00                                    |
| 114. STONE ENERGY CORP                                                 | 08/05/2010                              | 02/25/2011                       | 3,179.00        | 1,609.00                                      | 1,570.00                                    |
| 168. STONE ENERGY CORP                                                 | 08/05/2010                              | 03/03/2011                       | 4,883.00        | 2,371.00                                      | 2,512.00                                    |
| 106. STONE ENERGY CORP                                                 | 08/05/2010                              | 04/13/2011                       | 3,422.00        | 1,496.00                                      | 1,926.00                                    |
| 162. STONE ENERGY CORP                                                 | 08/05/2010                              | 04/19/2011                       | 5,121.00        | 2,287.00                                      | 2,834.00                                    |
| 161. SYKES ENTERPRISES INC                                             | 05/27/2010                              | 08/05/2010                       | 1,884.00        | 2,809.00                                      | -925.00                                     |
| 102. SYNEX CORP                                                        | 10/29/2010                              | 03/25/2011                       | 3,243.00        | 2,986.00                                      | 257.00                                      |
| 135. SYNIVERSE HLDGS INC                                               | 01/28/2010                              | 10/29/2010                       | 4,109.00        | 2,291.00                                      | 1,818.00                                    |
| 345. TELE COMMUNICATIONS<br>SYSTEMS INC                                | 11/11/2009                              | 08/05/2010                       | 1,150.00        | 2,731.00                                      | -1,581.00                                   |
| 282. TRIQUINT SEMICONDUCTO<br>R INC                                    | 10/21/2009                              | 09/24/2010                       | 2,518.00        | 2,343.00                                      | 175.00                                      |
| 193. VECTREN CORPORATION                                               | 05/10/2010                              | 06/23/2010                       | 4,451.00        | 4,602.00                                      | -151.00                                     |
| 107. VECTREN CORPORATION                                               | 05/10/2010                              | 09/15/2010                       | 2,658.00        | 2,552.00                                      | 106.00                                      |
| 109. VEECO INSTRS INC DEL                                              | 05/28/2010                              | 08/06/2010                       | 4,257.00        | 4,494.00                                      | -237.00                                     |
| 77. VEECO INSTRS INC DEL                                               | 05/28/2010                              | 02/15/2011                       | 3,982.00        | 2,992.00                                      | 990.00                                      |
| 643. WET SEAL INC CL A                                                 | 06/09/2010                              | 01/13/2011                       | 2,396.00        | 2,553.00                                      | -157.00                                     |
| 56. WORLD ACCEP CORP                                                   | 03/10/2011                              | 05/06/2011                       | 3,788.00        | 3,287.00                                      | 501.00                                      |
| 131. PLATINUM UNDERWRITER<br>HLDGS                                     | 08/05/2010                              | 03/14/2011                       | 4,775.00        | 5,195.00                                      | -420.00                                     |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . . 88,532.00

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

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\* INDICATES WASH SALE

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Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

THE BALLMANN FAMILY PRIVATE FOUNDATION

43-6466750

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example<br>100 sh. 7% preferred of "Z" Co.) | (b) Date<br>acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|--------------------------------------------------------------------------|-----------------------------------------|----------------------------------|-----------------|-----------------------------------------------|---------------------------------------------|
| 6a 6020. ATT INC                                                         | 08/30/2006                              | 09/08/2010                       | 164,875.00      | 188,426.00                                    | -23,551.00                                  |
| 4577. ABBOTT LABORATORIES                                                | 03/10/2006                              | 09/08/2010                       | 231,411.00      | 201,174.00                                    | 30,237.00                                   |
| 265. ABBOTT LABORATORIES                                                 | 03/10/2006                              | 12/23/2010                       | 12,681.00       | 11,648.00                                     | 1,033.00                                    |
| 2087. AIR PRODS CHEMICALS<br>INC                                         | 06/02/2009                              | 09/08/2010                       | 162,846.00      | 142,732.00                                    | 20,114.00                                   |
| 413. AIR PRODS CHEMICALS<br>INC                                          | 06/02/2009                              | 12/23/2010                       | 37,484.00       | 28,246.00                                     | 9,238.00                                    |
| 597. ALLERGAN INC                                                        | 06/29/2009                              | 09/08/2010                       | 38,494.00       | 28,252.00                                     | 10,242.00                                   |
| 600. ALLERGAN INC                                                        | 06/29/2009                              | 12/23/2010                       | 41,724.00       | 28,394.00                                     | 13,330.00                                   |
| . AIG 2008 SECURITIES<br>LITIGATION                                      |                                         | 09/24/2010                       | 715.00          |                                               | 715.00                                      |
| 2800. AMGEN INC                                                          | 11/07/2008                              | 09/08/2010                       | 148,424.00      | 163,949.00                                    | -15,525.00                                  |
| 1418. APACHE CORP                                                        | 08/30/2006                              | 09/08/2010                       | 130,848.00      | 92,250.00                                     | 38,598.00                                   |
| 437. APACHE CORP                                                         | 08/30/2006                              | 12/23/2010                       | 51,725.00       | 28,430.00                                     | 23,295.00                                   |
| 1269. APPLE INC                                                          | 08/30/2006                              | 09/08/2010                       | 334,514.00      | 85,306.00                                     | 249,208.00                                  |
| 342. APPLE INC                                                           | 08/30/2006                              | 12/23/2010                       | 110,659.00      | 22,990.00                                     | 87,669.00                                   |
| 297. BANK OF HAWAII CORP                                                 | 10/03/2008                              | 12/21/2010                       | 13,811.00       | 16,204.00                                     | -2,393.00                                   |
| 128. B E AEROSPACE INC                                                   | 10/16/2008                              | 08/11/2010                       | 3,650.00        | 1,183.00                                      | 2,467.00                                    |
| 254. B E AEROSPACE INC                                                   | 10/16/2008                              | 10/27/2010                       | 9,066.00        | 2,347.00                                      | 6,719.00                                    |
| 113. B E AEROSPACE INC                                                   | 10/16/2008                              | 01/18/2011                       | 4,524.00        | 1,044.00                                      | 3,480.00                                    |
| 97. BERRY PETE CO CL A                                                   | 05/28/2009                              | 10/27/2010                       | 3,345.00        | 1,711.00                                      | 1,634.00                                    |
| 81. BERRY PETE CO CL A                                                   | 05/21/2009                              | 04/13/2011                       | 4,010.00        | 1,428.00                                      | 2,582.00                                    |
| 651. BIOMED REALTY TRUST<br>INC                                          | 03/30/2009                              | 08/05/2010                       | 12,004.00       | 4,139.00                                      | 7,865.00                                    |
| 1000. BLACKROCK INC                                                      | 06/29/2009                              | 09/08/2010                       | 151,658.00      | 176,288.00                                    | -24,630.00                                  |
| 368. BRANDYWINE REALTY<br>TRUST                                          | 04/14/2009                              | 10/29/2010                       | 4,385.00        | 1,732.00                                      | 2,653.00                                    |
| 1107. BRANDYWINE REALTY<br>TRUST                                         | 04/21/2009                              | 12/03/2010                       | 12,042.00       | 4,251.00                                      | 7,791.00                                    |
| 244. BRIGHTPOINT INC                                                     | 07/29/2009                              | 11/05/2010                       | 2,150.00        | 1,452.00                                      | 698.00                                      |
| 131. BRIGHTPOINT INC                                                     | 07/29/2009                              | 11/08/2010                       | 1,184.00        | 779.00                                        | 405.00                                      |

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . .

Schedule D-1 (Form 1041) 2010

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Employer identification number

THE BALLMANN FAMILY PRIVATE FOUNDATION

43-6466750

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example:<br>100 sh 7% preferred of "Z" Co) | (b) Date<br>acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|-------------------------------------------------------------------------|-----------------------------------------|----------------------------------|-----------------|-----------------------------------------------|---------------------------------------------|
| 6a 650. BRIGHTPOINT INC                                                 | 07/29/2009                              | 02/04/2011                       | 7,818.00        | 3,850.00                                      | 3,968.00                                    |
| 894. BRIGHTPOINT INC                                                    | 07/17/2009                              | 03/23/2011                       | 8,844.00        | 4,685.00                                      | 4,159.00                                    |
| 3000. CVS CAREMARK CORP                                                 | 08/30/2006                              | 09/08/2010                       | 85,429.00       | 103,532.00                                    | -18,103.00                                  |
| 6758. CARNIVAL CORP                                                     | 06/02/2009                              | 09/08/2010                       | 230,849.00      | 182,326.00                                    | 48,523.00                                   |
| 742. CARNIVAL CORP                                                      | 06/02/2009                              | 12/23/2010                       | 34,265.00       | 20,019.00                                     | 14,246.00                                   |
| 843. CHEVRON CORPORATION                                                | 08/30/2006                              | 09/08/2010                       | 65,457.00       | 54,441.00                                     | 11,016.00                                   |
| 585. CHEVRON CORPORATION                                                | 08/30/2006                              | 12/23/2010                       | 53,062.00       | 37,779.00                                     | 15,283.00                                   |
| . CHILDREN'S PLACE INC<br>SECURITIES LITIGATION                         |                                         | 02/23/2011                       | 215.00          |                                               | 215.00                                      |
| 1961. CISCO SYS INC                                                     | 03/10/2006                              | 09/08/2010                       | 40,553.00       | 40,691.00                                     | -138.00                                     |
| 4415. CISCO SYS INC                                                     | 03/10/2006                              | 12/23/2010                       | 86,600.00       | 91,611.00                                     | -5,011.00                                   |
| 261. CITI TRENDS INC                                                    | 07/02/2009                              | 10/01/2010                       | 6,351.00        | 6,564.00                                      | -213.00                                     |
| 326. CITY HLDG CO                                                       | 07/02/2009                              | 04/07/2011                       | 11,685.00       | 11,342.00                                     | 343.00                                      |
| 7097. COMCAST CORP CL A                                                 | 11/07/2008                              | 09/08/2010                       | 127,722.00      | 123,001.00                                    | 4,721.00                                    |
| 400. COMTECH TELECOMMUNICA<br>TIONS CORP                                | 05/06/2009                              | 07/22/2010                       | 8,591.00        | 14,967.00                                     | -6,376.00                                   |
| 3671. CONOCOPHILLIPS                                                    | 06/02/2009                              | 09/08/2010                       | 200,895.00      | 176,133.00                                    | 24,762.00                                   |
| 329. CONOCOPHILLIPS                                                     | 06/02/2009                              | 12/23/2010                       | 22,003.00       | 15,785.00                                     | 6,218.00                                    |
| 677. CUBIST PHARMACEUTICAL<br>S INC                                     | 12/11/2008                              | 01/18/2011                       | 14,931.00       | 13,907.00                                     | 1,024.00                                    |
| 16. DECKERS OUTDOOR CORP                                                | 04/29/2008                              | 06/23/2010                       | 2,457.00        | 2,252.00                                      | 205.00                                      |
| 42. DECKERS OUTDOOR CORP                                                | 04/29/2008                              | 11/30/2010                       | 3,171.00        | 1,970.00                                      | 1,201.00                                    |
| 45. DECKERS OUTDOOR CORP                                                | 11/07/2008                              | 12/08/2010                       | 3,648.00        | 1,231.00                                      | 2,417.00                                    |
| 49. DECKERS OUTDOOR CORP                                                | 11/07/2008                              | 02/22/2011                       | 4,501.00        | 1,193.00                                      | 3,308.00                                    |
| 38. DECKERS OUTDOOR CORP                                                | 11/07/2008                              | 02/28/2011                       | 3,369.00        | 925.00                                        | 2,444.00                                    |
| 339. DIGITAL RIVER INC                                                  | 04/19/2007                              | 08/13/2010                       | 8,809.00        | 18,713.00                                     | -9,904.00                                   |
| 1897. DISNEY WALT CO                                                    | 11/07/2008                              | 09/08/2010                       | 64,383.00       | 45,880.00                                     | 18,503.00                                   |
| 1859. DISNEY WALT CO                                                    | 11/07/2008                              | 12/23/2010                       | 69,907.00       | 44,961.00                                     | 24,946.00                                   |

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . .

Schedule D-1 (Form 1041) 2010

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THE BALLMANN FAMILY PRIVATE FOUNDATION

43-6466750

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co) | (b) Date<br>acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|------------------------------------------------------------------------|-----------------------------------------|----------------------------------|-----------------|-----------------------------------------------|---------------------------------------------|
| 6a 290. DREAMWORKS ANIMATION<br>SKG INC CL A                           | 03/04/2009                              | 12/14/2010                       | 8,679.00        | 5,423.00                                      | 3,256.00                                    |
| 182. DUPONT FABROS<br>TECHNOLOGY                                       | 05/12/2009                              | 06/07/2010                       | 4,415.00        | 1,527.00                                      | 2,888.00                                    |
| 3948. ECOLAB INC                                                       | 08/30/2006                              | 09/08/2010                       | 194,204.00      | 178,307.00                                    | 15,897.00                                   |
| 492. ECOLAB INC                                                        | 08/30/2006                              | 12/23/2010                       | 24,679.00       | 22,221.00                                     | 2,458.00                                    |
| 46. EMERGENCY MEDICAL<br>SERVICES A                                    | 04/19/2007                              | 12/15/2010                       | 2,904.00        | 1,419.00                                      | 1,485.00                                    |
| 54. EMERGENCY MEDICAL<br>SERVICES A                                    | 04/19/2007                              | 02/09/2011                       | 3,783.00        | 1,666.00                                      | 2,117.00                                    |
| 157. EMERGENCY MEDICAL<br>SERVICES A                                   | 09/19/2007                              | 02/15/2011                       | 9,878.00        | 4,246.00                                      | 5,632.00                                    |
| 6059. EMERSON ELEC CO                                                  | 06/02/2009                              | 09/08/2010                       | 304,899.00      | 195,317.00                                    | 109,582.00                                  |
| 1440. EMERSON ELEC CO                                                  | 06/02/2009                              | 12/23/2010                       | 82,668.00       | 50,139.00                                     | 32,529.00                                   |
| 114. ENERSYS                                                           | 05/04/2009                              | 11/17/2010                       | 3,345.00        | 2,133.00                                      | 1,212.00                                    |
| 224. EVERCORE PARTNERS INC                                             | 09/17/2009                              | 09/27/2010                       | 6,029.00        | 6,574.00                                      | -545.00                                     |
| 5682. EXPRESS SCRIPTS INC                                              | 06/02/2009                              | 09/08/2010                       | 251,286.00      | 183,124.00                                    | 68,162.00                                   |
| 297. EXPRESS SCRIPTS INC                                               | 06/02/2009                              | 12/23/2010                       | 16,084.00       | 9,572.00                                      | 6,512.00                                    |
| 838. EXXON MOBIL CORP                                                  | 06/02/2009                              | 12/23/2010                       | 61,212.00       | 61,157.00                                     | 55.00                                       |
| 2605. FASTENAL CO                                                      | 06/02/2009                              | 09/08/2010                       | 129,889.00      | 93,883.00                                     | 36,006.00                                   |
| 395. FASTENAL CO                                                       | 06/02/2009                              | 12/23/2010                       | 23,423.00       | 14,236.00                                     | 9,187.00                                    |
| 3000. FLUOR CORP                                                       | 06/02/2009                              | 09/08/2010                       | 143,769.00      | 150,880.00                                    | -7,111.00                                   |
| 230. FOSSIL INC                                                        | 06/11/2009                              | 12/03/2010                       | 16,421.00       | 6,881.00                                      | 9,540.00                                    |
| 3714. FREEPORT MCMORAN<br>COPPER GOLD                                  | 06/29/2009                              | 09/08/2010                       | 295,848.00      | 195,392.00                                    | 100,456.00                                  |
| 286. FREEPORT MCMORAN<br>COPPER GOLD                                   | 06/02/2009                              | 12/23/2010                       | 33,695.00       | 16,276.00                                     | 17,419.00                                   |
| 68. GARDNER DENVER INC                                                 | 03/08/2010                              | 05/06/2011                       | 5,632.00        | 3,079.00                                      | 2,553.00                                    |
| 3292. GENERAL MILLS INC                                                | 06/02/2009                              | 09/08/2010                       | 119,818.00      | 86,629.00                                     | 33,189.00                                   |
| 1166. GENERAL MILLS INC                                                | 06/02/2009                              | 12/23/2010                       | 41,550.00       | 30,683.00                                     | 10,867.00                                   |
| 374. GENOPTIX INC                                                      | 07/02/2009                              | 07/13/2010                       | 6,311.00        | 11,510.00                                     | -5,199.00                                   |
| 124. GEOEYE INC                                                        | 09/30/2009                              | 10/18/2010                       | 5,481.00        | 3,254.00                                      | 2,227.00                                    |

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . .

Schedule D-1 (Form 1041) 2010

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THE BALLMANN FAMILY PRIVATE FOUNDATION

43-6466750

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co) | (b) Date<br>acquired<br>(mo., day, yr) | (c) Date sold<br>(mo., day, yr) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|------------------------------------------------------------------------|----------------------------------------|---------------------------------|-----------------|-----------------------------------------------|---------------------------------------------|
| 6a 666. GLACIER BANCORP INC<br>NEW                                     | 03/18/2009                             | 10/25/2010                      | 8,877.00        | 13,879.00                                     | -5,002.00                                   |
| 372. GLIMCHER REALTY TRUST                                             | 09/21/2009                             | 05/19/2011                      | 3,537.00        | 1,469.00                                      | 2,068.00                                    |
| 993. GLIMCHER REALTY TRUST                                             | 10/06/2009                             | 05/20/2011                      | 9,514.00        | 3,646.00                                      | 5,868.00                                    |
| 222. GOLDMAN SACHS GROUP<br>INC                                        | 06/02/2009                             | 09/08/2010                      | 33,046.00       | 31,866.00                                     | 1,180.00                                    |
| 349. GRAFTECH INTL LTD                                                 | 01/05/2010                             | 02/25/2011                      | 7,024.00        | 5,672.00                                      | 1,352.00                                    |
| 778. GRAFTECH INTL LTD                                                 | 02/24/2010                             | 03/14/2011                      | 15,692.00       | 11,543.00                                     | 4,149.00                                    |
| 193. HARBIN ELECTRIC INC                                               | 07/08/2009                             | 10/12/2010                      | 4,443.00        | 2,946.00                                      | 1,497.00                                    |
| 20. HARBIN ELECTRIC INC                                                | 07/08/2009                             | 10/26/2010                      | 413.00          | 305.00                                        | 108.00                                      |
| 410. HARBIN ELECTRIC INC                                               | 07/08/2009                             | 11/22/2010                      | 7,353.00        | 5,388.00                                      | 1,965.00                                    |
| 582. HARMONIC INC                                                      | 06/20/2008                             | 08/02/2010                      | 4,024.00        | 5,939.00                                      | -1,915.00                                   |
| 248. HARMONIC INC                                                      | 06/20/2008                             | 12/17/2010                      | 2,011.00        | 2,531.00                                      | -520.00                                     |
| 360. HARMONIC INC                                                      | 01/30/2009                             | 02/07/2011                      | 3,505.00        | 3,187.00                                      | 318.00                                      |
| 547. HAWAIIAN HLDGS INC                                                | 11/11/2009                             | 02/03/2011                      | 3,667.00        | 3,367.00                                      | 300.00                                      |
| 989. HAWAIIAN HLDGS INC                                                | 04/16/2009                             | 02/04/2011                      | 6,577.00        | 5,224.00                                      | 1,353.00                                    |
| 2607. HJ HEINZ CO                                                      | 06/02/2009                             | 09/08/2010                      | 120,994.00      | 97,346.00                                     | 23,648.00                                   |
| 893. HJ HEINZ CO                                                       | 06/02/2009                             | 12/23/2010                      | 44,326.00       | 33,345.00                                     | 10,981.00                                   |
| 1857. HEWLETT PACKARD CO                                               | 03/10/2006                             | 09/08/2010                      | 71,370.00       | 61,592.00                                     | 9,778.00                                    |
| 3143. HEWLETT PACKARD CO                                               | 03/10/2006                             | 12/23/2010                      | 131,107.00      | 104,245.00                                    | 26,862.00                                   |
| 1674. INTERNATIONAL<br>BUSINESS MACHINES CORP                          | 11/07/2008                             | 09/08/2010                      | 210,603.00      | 143,094.00                                    | 67,509.00                                   |
| 326. INTERNATIONAL<br>BUSINESS MACHINES CORP                           | 11/07/2008                             | 12/23/2010                      | 47,456.00       | 27,866.00                                     | 19,590.00                                   |
| 433. INTERNATIONAL COAL<br>GROUP INC                                   | 03/12/2010                             | 03/14/2011                      | 4,213.00        | 1,952.00                                      | 2,261.00                                    |
| 1281. INTERNATIONAL COAL<br>GROUP INC                                  | 03/15/2010                             | 05/03/2011                      | 18,422.00       | 5,762.00                                      | 12,660.00                                   |
| 2182. J P MORGAN CHASE CO                                              | 03/10/2006                             | 09/08/2010                      | 85,550.00       | 89,855.00                                     | -4,305.00                                   |
| 112. J2 GLOBAL COMMUNICATO<br>NS INC                                   | 04/04/2008                             | 11/17/2010                      | 3,019.00        | 2,543.00                                      | 476.00                                      |
| 35. JAMES RIV COAL CO                                                  | 03/11/2010                             | 03/14/2011                      | 713.00          | 627.00                                        | 86.00                                       |

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . .

Schedule D-1 (Form 1041) 2010

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Employer identification number

THE BALLMANN FAMILY PRIVATE FOUNDATION

43-6466750

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co) | (b) Date<br>acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|------------------------------------------------------------------------|-----------------------------------------|----------------------------------|-----------------|-----------------------------------------------|---------------------------------------------|
| 6a 798. JOHNSON JOHNSON                                                | 06/02/2009                              | 09/08/2010                       | 47,059.00       | 44,858.00                                     | 2,201.00                                    |
| 32. KOPPERS HLDGS INC                                                  | 01/22/2010                              | 03/14/2011                       | 1,175.00        | 947.00                                        | 228.00                                      |
| 78. LSB INDS INC                                                       | 06/02/2009                              | 03/07/2011                       | 2,695.00        | 1,371.00                                      | 1,324.00                                    |
| 118. LSB INDS INC                                                      | 06/02/2009                              | 04/07/2011                       | 4,647.00        | 2,075.00                                      | 2,572.00                                    |
| 67. MWI VETERINARY SUPPLY<br>INC                                       | 04/19/2007                              | 03/10/2011                       | 5,112.00        | 2,462.00                                      | 2,650.00                                    |
| 63. MWI VETERINARY SUPPLY<br>INC                                       | 04/19/2007                              | 05/11/2011                       | 5,148.00        | 2,315.00                                      | 2,833.00                                    |
| 144. MAGELLAN HEALTH SVCS<br>INC                                       | 03/01/2010                              | 05/11/2011                       | 7,314.00        | 6,039.00                                      | 1,275.00                                    |
| 5200. MARATHON OIL<br>CORPORATION                                      | 03/10/2006                              | 09/08/2010                       | 165,002.00      | 180,480.00                                    | -15,478.00                                  |
| 170. MASTEC INC                                                        | 07/02/2009                              | 03/10/2011                       | 3,254.00        | 2,060.00                                      | 1,194.00                                    |
| 2217. MCDONALDS CORP                                                   | 06/02/2009                              | 09/08/2010                       | 168,384.00      | 134,237.00                                    | 34,147.00                                   |
| 605. MCDONALDS CORP                                                    | 06/02/2009                              | 12/23/2010                       | 46,514.00       | 36,632.00                                     | 9,882.00                                    |
| 732. MEADOWBROOK INS GROUP<br>INC                                      | 08/13/2009                              | 10/15/2010                       | 6,293.00        | 5,774.00                                      | 519.00                                      |
| 97. MICROS SYS INC                                                     | 04/19/2007                              | 08/13/2010                       | 3,448.00        | 2,631.00                                      | 817.00                                      |
| 62. MICROS SYS INC                                                     | 04/19/2007                              | 09/29/2010                       | 2,606.00        | 1,681.00                                      | 925.00                                      |
| 50. MIDDLEBY CORP                                                      | 04/19/2007                              | 07/30/2010                       | 2,880.00        | 3,422.00                                      | -542.00                                     |
| 42. MIDDLEBY CORP                                                      | 04/19/2007                              | 11/04/2010                       | 3,131.00        | 2,875.00                                      | 256.00                                      |
| 8. MIDDLEBY CORP                                                       | 10/19/2007                              | 11/05/2010                       | 594.00          | 537.00                                        | 57.00                                       |
| . MONEYGRAM INTERNATIONAL<br>INC SECURITIES LITIGATION                 |                                         | 04/12/2011                       | 183.00          |                                               | 183.00                                      |
| 517. NET 1 UEPS<br>TECHNOLOGIES INC                                    | 07/02/2009                              | 09/23/2010                       | 5,591.00        | 8,544.00                                      | -2,953.00                                   |
| 38. NEWMARKET CORP                                                     | 01/21/2010                              | 03/15/2011                       | 5,366.00        | 4,521.00                                      | 845.00                                      |
| 111. NEWMARKET CORP                                                    | 02/18/2010                              | 03/16/2011                       | 15,793.00       | 11,315.00                                     | 4,478.00                                    |
| 2509. NORFOLK SOUTHN CORP                                              | 06/02/2009                              | 09/08/2010                       | 146,147.00      | 101,269.00                                    | 44,878.00                                   |
| 490. NORFOLK SOUTHN CORP                                               | 06/02/2009                              | 12/23/2010                       | 30,537.00       | 19,778.00                                     | 10,759.00                                   |
| 256. OPLINK COMMUNICATIONS<br>INC                                      | 06/11/2009                              | 08/05/2010                       | 4,737.00        | 3,056.00                                      | 1,681.00                                    |
| 3. OPLINK COMMUNICATIONS<br>INC                                        | 03/26/2009                              | 08/13/2010                       | 49.00           | 25.00                                         | 24.00                                       |

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . .

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THE BALLMANN FAMILY PRIVATE FOUNDATION

43-6466750

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co) | (b) Date<br>acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|------------------------------------------------------------------------|-----------------------------------------|----------------------------------|-----------------|-----------------------------------------------|---------------------------------------------|
| 6a 191. OPLINK COMMUNICATIONS<br>INC                                   | 03/26/2009                              | 10/07/2010                       | 3,790.00        | 1,587.00                                      | 2,203.00                                    |
| 116. OPLINK COMMUNICATIONS<br>INC                                      | 03/26/2009                              | 01/31/2011                       | 2,866.00        | 964.00                                        | 1,902.00                                    |
| 138. OPLINK COMMUNICATIONS<br>INC                                      | 03/26/2009                              | 03/10/2011                       | 3,020.00        | 1,146.00                                      | 1,874.00                                    |
| 7901. ORACLE CORPORATION                                               | 11/07/2008                              | 09/08/2010                       | 188,771.00      | 137,872.00                                    | 50,899.00                                   |
| 1789. ORACLE CORPORATION                                               | 06/02/2009                              | 12/23/2010                       | 56,272.00       | 36,566.00                                     | 19,706.00                                   |
| 107204.117 PIMCO TOTAL<br>RETURN FUND INST                             | 06/03/2009                              | 11/10/2010                       | 1,251,072.00    | 1,121,355.00                                  | 129,717.00                                  |
| 91996.32 PIMCO TOTAL<br>RETURN FUND INST                               | 06/03/2009                              | 03/28/2011                       | 1,000,000.00    | 962,282.00                                    | 37,718.00                                   |
| 2760. PEPSICO INC                                                      | 03/10/2006                              | 09/08/2010                       | 180,511.00      | 166,452.00                                    | 14,059.00                                   |
| 1240. PEPSICO INC                                                      | 06/02/2009                              | 12/23/2010                       | 81,338.00       | 68,645.00                                     | 12,693.00                                   |
| 357. PERICOM SEMICONDUCTOR<br>CORP                                     | 10/14/2009                              | 12/22/2010                       | 3,927.00        | 4,167.00                                      | -240.00                                     |
| 65. PHILLIPS VAN HEUSEN<br>CORP                                        | 04/19/2007                              | 12/08/2010                       | 4,501.00        | 3,637.00                                      | 864.00                                      |
| 188. PHILLIPS VAN HEUSEN<br>CORP                                       | 08/07/2007                              | 01/18/2011                       | 11,294.00       | 10,427.00                                     | 867.00                                      |
| 2600. PROCTER & GAMBLE CO                                              | 08/30/2006                              | 09/08/2010                       | 156,799.00      | 161,278.00                                    | -4,479.00                                   |
| 291. PROCTER & GAMBLE CO                                               | 06/02/2009                              | 12/23/2010                       | 18,944.00       | 15,644.00                                     | 3,300.00                                    |
| 2500. RAYTHEON COMPANY                                                 | 01/27/2009                              | 09/08/2010                       | 113,223.00      | 124,925.00                                    | -11,702.00                                  |
| . RAYTHEON COMPANY<br>SECURITY LITIGATION                              |                                         | 07/08/2010                       | 487.00          |                                               | 487.00                                      |
| . RAYTHEON COMPANY<br>SECURITY LITIGATION                              |                                         | 07/08/2010                       | 203.00          |                                               | 203.00                                      |
| 154. RENASANT CORP                                                     | 01/26/2010                              | 05/04/2011                       | 2,405.00        | 2,342.00                                      | 63.00                                       |
| 4000. ST JUDE MED INC                                                  | 11/07/2008                              | 09/08/2010                       | 141,800.00      | 143,156.00                                    | -1,356.00                                   |
| 1224. SCHLUMBERGER LTD                                                 | 03/10/2006                              | 09/08/2010                       | 71,493.00       | 71,371.00                                     | 122.00                                      |
| 129. SILGAN HLDGS INC                                                  | 04/20/2007                              | 12/15/2010                       | 4,575.00        | 3,422.00                                      | 1,153.00                                    |
| 104. SILGAN HLDGS INC                                                  | 04/20/2007                              | 04/18/2011                       | 4,757.00        | 2,759.00                                      | 1,998.00                                    |
| 184. SKYWORKS SOLUTIONS<br>INC                                         | 11/09/2009                              | 12/03/2010                       | 5,010.00        | 2,274.00                                      | 2,736.00                                    |
| 306. SKYWORKS SOLUTIONS<br>INC                                         | 11/09/2009                              | 12/06/2010                       | 8,434.00        | 3,782.00                                      | 4,652.00                                    |
| 198. SOLUTIA INC                                                       | 03/31/2010                              | 04/13/2011                       | 4,868.00        | 3,296.00                                      | 1,572.00                                    |

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . .

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89

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**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co) | (b) Date<br>acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|------------------------------------------------------------------------|-----------------------------------------|----------------------------------|-----------------|-----------------------------------------------|---------------------------------------------|
| 6a 2500. SOUTHWESTERN ENERGY<br>CO                                     | 06/29/2009                              | 09/08/2010                       | 83,282.00       | 98,375.00                                     | -15,093.00                                  |
| 5000. STAPLES INC                                                      | 03/10/2006                              | 09/08/2010                       | 95,107.00       | 122,500.00                                    | -27,393.00                                  |
| 3000. STATE STR CORP                                                   | 11/07/2008                              | 09/08/2010                       | 111,819.00      | 125,930.00                                    | -14,111.00                                  |
| 164. SYKES ENTERPRISES INC                                             | 05/04/2009                              | 08/05/2010                       | 1,919.00        | 3,065.00                                      | -1,146.00                                   |
| 584. SYKES ENTERPRISES INC                                             | 06/12/2009                              | 08/09/2010                       | 7,072.00        | 10,145.00                                     | -3,073.00                                   |
| 127. SYNIVERSE HLDGS INC                                               | 09/29/2008                              | 08/02/2010                       | 2,901.00        | 2,143.00                                      | 758.00                                      |
| 557. SYNIVERSE HLDGS INC                                               | 07/02/2009                              | 10/29/2010                       | 16,955.00       | 9,356.00                                      | 7,599.00                                    |
| . SYNTAX-BRILLIAN CORP<br>SECURITIES LITIGATION                        |                                         | 11/02/2010                       | 138.00          |                                               | 138.00                                      |
| 1246. TELE COMMUNICATIONS<br>SYSTEMS INC                               | 05/12/2009                              | 08/05/2010                       | 4,153.00        | 9,127.00                                      | -4,974.00                                   |
| 105. TELEDYNE TECHNOLOGIES<br>INC                                      | 04/20/2007                              | 02/25/2011                       | 5,489.00        | 4,196.00                                      | 1,293.00                                    |
| 2785. TEVA PHARMACEUTICAL<br>INDS LTD A D R                            | 06/02/2009                              | 09/08/2010                       | 150,421.00      | 128,877.00                                    | 21,544.00                                   |
| 885. TEVA PHARMACEUTICAL<br>INDS LTD A D R                             | 06/02/2009                              | 12/23/2010                       | 46,642.00       | 40,954.00                                     | 5,688.00                                    |
| 240. TRIQUINT SEMICONDUCTO<br>R INC                                    | 10/21/2009                              | 12/08/2010                       | 3,137.00        | 1,994.00                                      | 1,143.00                                    |
| 257. TRIQUINT SEMICONDUCTO<br>R INC                                    | 10/21/2009                              | 02/09/2011                       | 3,455.00        | 2,136.00                                      | 1,319.00                                    |
| 239. TRIQUINT SEMICONDUCTO<br>R INC                                    | 10/23/2009                              | 02/10/2011                       | 3,098.00        | 1,465.00                                      | 1,633.00                                    |
| 50. TUPPERWARE BRANDS CORP                                             | 11/16/2007                              | 07/30/2010                       | 1,956.00        | 1,653.00                                      | 303.00                                      |
| 66. TUPPERWARE BRANDS CORP                                             | 11/16/2007                              | 03/14/2011                       | 3,841.00        | 2,182.00                                      | 1,659.00                                    |
| 262. VECTREN CORPORATION                                               | 11/08/2007                              | 09/15/2010                       | 6,508.00        | 7,631.00                                      | -1,123.00                                   |
| 300. WMS INDS INC                                                      | 06/12/2009                              | 10/13/2010                       | 12,090.00       | 8,296.00                                      | 3,794.00                                    |
| 3712. WAL MART STORES INC                                              | 06/02/2009                              | 09/08/2010                       | 191,497.00      | 185,557.00                                    | 5,940.00                                    |
| 288. WAL MART STORES INC                                               | 06/02/2009                              | 12/23/2010                       | 15,411.00       | 14,397.00                                     | 1,014.00                                    |
| 565. WELLS FARGO CO                                                    | 11/07/2008                              | 09/08/2010                       | 14,243.00       | 15,903.00                                     | -1,660.00                                   |
| 743. WELLS FARGO CO                                                    | 11/07/2008                              | 12/23/2010                       | 22,969.00       | 20,913.00                                     | 2,056.00                                    |
| 2460. WET SEAL INC CL A                                                | 07/02/2009                              | 01/13/2011                       | 9,168.00        | 7,574.00                                      | 1,594.00                                    |
| 942. WILSHIRE BANCORP INC                                              | 09/15/2008                              | 07/13/2010                       | 6,715.00        | 13,353.00                                     | -6,638.00                                   |

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## Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

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**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . . 1,614,613.00

Schedule D-1 (Form 1041) 2010

FEDERAL CAPITAL GAIN DIVIDENDS  
=====LONG-TERM CAPITAL GAIN DIVIDENDS  
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## 15% RATE CAPITAL GAIN DIVIDENDS

TOTAL 15% RATE CAPITAL GAIN DIVIDENDS

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147,664.00

TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS

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147,664.00  
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