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Form 990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2010

For calendar year 2010, or tax year beginning 06-01-2010 , and ending 05-31-2011

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

Name of foundation  
SHAW-BURCKHARDT-BRENNER FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)  
C/O HALL RENDERONE AMERICAN SQUARE  
ROOM/SUITE 2000

City or town, state, and ZIP code  
INDIANAPOLIS, IN 46282

A Employer identification number  
23-7076297

B Telephone number (see page 10 of the instructions)  
(317) 977-1449

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐















H Check type of organization ☒ Section 501(c)(3) exempt private foundation


☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation



I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 880,302

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify)   
(Part I, column (d) must be on cash basis.)



Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch. B				
	3 Interest on savings and temporary cash investments	6	6		
	4 Dividends and interest from securities. . . . .	16,854	16,854		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 <input checked="" type="checkbox"/>	55,539			
	b Gross sales price for all assets on line 6a _____ 442,127				
	7 Capital gain net income (from Part IV, line 2) . . .		1,165		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . . <input checked="" type="checkbox"/>	104			
	12 <b>Total.</b> Add lines 1 through 11 . . . . .	72,503	18,025		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule) . . . . . <input checked="" type="checkbox"/>	6,419			
	b Accounting fees (attach schedule) . . . . . <input checked="" type="checkbox"/>	2,200			2,200
	c Other professional fees (attach schedule) . . . . . <input checked="" type="checkbox"/>	6,544	4,909		1,636
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see page 14 of the instructions) <input checked="" type="checkbox"/>	1,132	137		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .	1,240			1,240
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . .				
	24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	17,535	5,046		5,076
	25 Contributions, gifts, grants paid . . . . .	63,470			63,470
	26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	81,005	5,046		68,546
	27 Subtract line 26 from line 12				
	a <b>Excess of revenue over expenses and disbursements</b>	-8,502			
	b <b>Net investment income</b> (if negative, enter -0-)		12,979		
	c <b>Adjusted net income</b> (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .			
	2	Savings and temporary cash investments . . . . .	12,530	23,156	23,156
	3	Accounts receivable  _____ Less allowance for doubtful accounts  _____			
	4	Pledges receivable  _____ Less allowance for doubtful accounts  _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule)  _____ Less allowance for doubtful accounts  _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) . . . . .	482,409 	453,062	558,338
	c	Investments—corporate bonds (attach schedule). . . . .	235,803 	247,322	253,630
	11	Investments—land, buildings, and equipment basis  _____ Less accumulated depreciation (attach schedule)  _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .	42,165 	40,865	45,178
	14	Land, buildings, and equipment basis  _____ Less accumulated depreciation (attach schedule)  _____			
	15	Other assets (describe  _____)			
	16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	772,907	764,405	880,302

Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe  _____)			
	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .		0	

Net Assets or Fund Balances		<b>Foundations that follow SFAS 117, check here  <input type="checkbox"/></b> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
		<b>Foundations that do not follow SFAS 117, check here  <input checked="" type="checkbox"/></b> <b>and complete lines 27 through 31.</b>			
	27	Capital stock, trust principal, or current funds . . . . .	772,907	764,405	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	<b>Total net assets or fund balances</b> (see page 17 of the instructions) . . . . .	772,907	764,405	
	31	<b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions) . . . . .	772,907	764,405	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1	772,907
2	Enter amount from Part I, line 27a . . . . .	2	-8,502
3	Other increases not included in line 2 (itemize)  _____	3	
4	Add lines 1, 2, and 3 . . . . .	4	764,405
5	Decreases not included in line 2 (itemize)  _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	764,405

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1a				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	1,165
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8 . . . . . }		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No  
If “Yes,” the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	42,206	779,534	0 054143
2008	20,334	781,109	0 026032
2007	69,699	1,066,672	0 065342
2006	56,865	1,080,308	0 052638
2005	62,108	1,041,170	0 059652
2 Total of line 1, column (d). . . . .			2 0 257807
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . .			3 0 051561
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5. . . . .			4 832,618
5 Multiply line 4 by line 3. . . . .			5 42,931
6 Enter 1% of net investment income (1% of Part I, line 27b). . . . .			6 130
7 Add lines 5 and 6. . . . .			7 43,061
8 Enter qualifying distributions from Part XII, line 4. . . . .			8 68,546
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18			

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)			
1aExempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
bDomestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		1	130
cAll other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3Add lines 1 and 2. . . . .		3	130
4Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	
5Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- . . . . .		5	130
6Credits/Payments			
a	2010 estimated tax payments and 2009 overpayment credited to 2010	6a	700
b	Exempt foreign organizations—tax withheld at source . . . . .	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld . . . . .	6d	
7Total credits and payments. Add lines 6a through 6d. . . . .		7	700
8Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.		8	
9Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .		9	
10Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. . . . .		10	570
11Enter the amount of line 10 to be Credited to 2011 estimated tax 570   Refunded		11	

Part VII-AStatements Regarding Activities			
1aDuring the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .			YesNo
bDid it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . . If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		1a1b	NoNo
cDid the foundation file Form 1120-POL for this year? . . . . .		1c	No
dEnter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ (2) On foundation managers \$			
eEnter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$			
2Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . If "Yes," attach a detailed description of the activities.		2	No
3Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .		3	No
4aDid the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .		4a	No
bIf "Yes," has it filed a tax return on Form 990-T for this year? . . . . .		4b	
5Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . If "Yes," attach the statement required by General Instruction T.		5	No
6Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .		6	Yes
7Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV		7	Yes
8aEnter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) IN			
bIf the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .		8b	Yes
9Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV . . . . .		9	No
10Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		10	No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Yes	
14	The books are in care of GOELZER INVESTMENT MANAGEMENT Telephone no (317) 264-2600 Located at 111 MONUMENT CIRCLE STE 500 INDIANAPOLIS IN ZIP+4 46204			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		
See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country.				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). Yes No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? If "Yes," list the years 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions ).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes No			
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>	5b	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870.</i>		6b	No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. . . . .		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3. . . . .	



Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	826,993
b	Average of monthly cash balances. . . . .	1b	18,304
c	Fair market value of all other assets (see page 24 of the instructions). . . . .	1c	0
d	Total (add lines 1a, b, and c). . . . .	1d	845,297
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	
3	Subtract line 2 from line 1d. . . . .	3	845,297
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions). . . . .	4	12,679
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	832,618
6	Minimum investment return. Enter 5% of line 5. . . . .	6	41,631

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	41,631
2a	Tax on investment income for 2010 from Part VI, line 5. . . . .	2a	130
b	Income tax for 2010 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	130
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	41,501
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	
5	Add lines 3 and 4. . . . .	5	41,501
6	Deduction from distributable amount (see page 25 of the instructions). . . . .	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	41,501


Part XII

Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	68,546
b	Program-related investments—total from Part IX-B. . . . .	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	
b	Cash distribution test (attach the required schedule). . . . .	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	68,546
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions). . . . .	5	130
6	Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .	6	68,416
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1		Distributable amount for 2010 from Part XI, line 7			
2		Undistributed income, if any, as of the end of 2010			
a		Enter amount for 2009 only.			
b		Total for prior years 20____, 20____, 20____			
3		Excess distributions carryover, if any, to 2010			
a		From 2005.			
b		From 2006.			
c		From 2007.			
d		From 2008.			
e		From 2009.			
f		Total of lines 3a through e.			
4		Qualifying distributions for 2010 from Part XII, line 4  \$ 68,546			
a		Applied to 2009, but not more than line 2a			
b		Applied to undistributed income of prior years (Election required—see page 26 of the instructions)			
c		Treated as distributions out of corpus (Election required—see page 26 of the instructions).			
d		Applied to 2010 distributable amount.			
e		Remaining amount distributed out of corpus			
5		Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)			
6		Enter the net total of each column as indicated below:			
a		Corpus Add lines 3f, 4c, and 4e Subtract line 5			
b		Prior years' undistributed income Subtract line 4b from line 2b.			
c		Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			
d		Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions.			
e		Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions.			
f		Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011.			
7		Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions).			
8		Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions).			
9		Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a.			
10		Analysis of line 9			
a		Excess from 2006.			
b		Excess from 2007.			
c		Excess from 2008.			
d		Excess from 2009.			
e		Excess from 2010.			

Part XIV

Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .	Tax year	Prior 3 years			(e) Total
		(a) 2010	(b) 2009	(c) 2008	(d) 2007	
b	85% of line 2a . . . . .					
c	Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
d	Amounts included in line 2c not used directly for active conduct of exempt activities . . . .					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets . . . . .					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

NONE

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

THOMAS A JENKINS  
PO BOX 356  
INDIANAPOLIS,IN 46206  
(317) 977-1449

b

The form in which applications should be submitted and information and materials they should include

THE SHAW-BURCKHARDT-BRENNER FOUNDATION DOES NOT PROVIDE A STANDARD APPLICATION FORMAT. HOWEVER, THE BOARD LOOKS FOR CERTAIN ELEMENTS IN EACH GRANT REQUEST AND PARTICULARLY ONE THAT RELATES TO THE FUNDING OF A SPECIFIC PROGRAM OR PROJECT. THESE ARE: 1. DETAILED AND SPECIFIC INFORMATION OF A PROGRAM'S PURPOSE AND PROCEDURE, PERSONNEL INVOLVED, ANTICIPATED RESULTS, EVALUATION PROCEDURES, ETC. 2. A DETAILED BUDGET TO JUSTIFY THE AMOUNT REQUESTED WHICH IDENTIFIES ALL PROJECTED EXPENSES AND ANTICIPATED INCOME ASSOCIATED WITH THE PROGRAM AND A COPY OF THE MOST CURRENT FINANCIAL STATEMENT OF THE ORGANIZATION. 3. VISUAL MATERIALS, CHARTS, SUPPORT LETTERS, AND ANY OTHER APPROPRIATE INFORMATION TO SUPPORT THE GRANT REQUEST. 4. A COPY OF THE INTERNAL REVENUE SERVICE DETERMINATION LETTER INDICATING THAT THE APPLYING ORGANIZATION HAS APPROPRIATE TAX-EXEMPT STATUS. UNLESS THIS INFORMATION WAS PREVIOUSLY FURNISHED, THE BOARD CANNOT CONSIDER A REQUEST WITHOUT THIS EVIDENCE. 5. A COPY OF THE MOST RECENT

c

Any submission deadlines

GENERALLY, THE BOARD MEETS TWO TIMES A YEAR, IN MAY AND NOVEMBER/DECEMBER. GRANT REQUESTS RECEIVED A

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

THE BOARD FAVORS PROGRAMS WHICH BENEFIT CHILDREN (OR PROVIDE SERVICES TO CHILDREN) OVER OTHER PROGRAMS, AND THE BOARD PREFERS TO CONSIDER GRANT REQUESTS FOR THE FUNDING OF SPECIFIC PROGRAMS OR PROJECTS BEFORE CONSIDERING REQUESTS FOR GENERAL OPERATING FUNDS.

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total . . . . .			▶ 3a	63,470
b Approved for future payment				
Total . . . . .			▶ 3b	

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions )
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b>	Program service revenue					
<b>a</b>	_____					
<b>b</b>	_____					
<b>c</b>	_____					
<b>d</b>	_____					
<b>e</b>	_____					
<b>f</b>	_____					
<b>g</b>	Fees and contracts from government agencies					
<b>2</b>	Membership dues and assessments. . . .					
<b>3</b>	Interest on savings and temporary cash investments			14	6	
<b>4</b>	Dividends and interest from securities. . . .			14	16,854	
<b>5</b>	Net rental income or (loss) from real estate					
<b>a</b>	Debt-financed property. . . . .					
<b>b</b>	Not debt-financed property. . . . .					
<b>6</b>	Net rental income or (loss) from personal property					
<b>7</b>	Other investment income. . . . .					
<b>8</b>	Gain or (loss) from sales of assets other than inventory			1	1,165	54,374
<b>9</b>	Net income or (loss) from special events					
<b>10</b>	Gross profit or (loss) from sales of inventory. .					
<b>11</b>	Other revenue <b>a</b> <u>NON-DIVIDEND DISTRIBUTIONS</u>			14	104	
<b>b</b>	_____					
<b>c</b>	_____					
<b>d</b>	_____					
<b>e</b>	_____					
<b>12</b>	Subtotal. Add columns (b), (d), and (e). .				18,129	54,374
<b>13</b>	<b>Total.</b> Add line 12, columns (b), (d), and (e). . . . .			<b>13</b>		<b>72,503</b>

(See worksheet in line 13 instructions on page 28 to verify calculations )

[illegible]

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a

Transfers from the reporting foundation to a noncharitable exempt organization of

1

Cash.

1a(1)

No

2

Other assets.

1a(2)

No

b

Other transactions

1

Sales of assets to a noncharitable exempt organization.

1b(1)

No

2

Purchases of assets from a noncharitable exempt organization.

1b(2)

No

3

Rental of facilities, equipment, or other assets.

1b(3)

No

4

Reimbursement arrangements.

1b(4)

No

5

Loans or loan guarantees.

1b(5)

No

6

Performance of services or membership or fundraising solicitations.

1b(6)

No

c

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

1c

No

d

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a

Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐

Yes

☒

No

b

If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
--------------------------	--------------------------	---------------------------------

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

\*\*\*\*\*

Signature of officer or trustee

2011-08-17

Date

\*\*\*\*\*

Title

Paid Preparer's Use Only

Preparer's Signature

JOSEPH S LA ROSA

Date

2011-08-17

Check if self-employed

☐

PTIN

Firm's name

LA ROSA & CO LLC

Firm's EIN

Firm's address

320 N MERIDIAN ST STE 328

Phone no

(317) 634-8777






INDIANAPOLIS, IN 46204

Form 990-PF (2010)


Additional Data

Software ID:  
Software Version:  
EIN: 23-7076297  
Name: SHAW-BURCKHARDT-BRENNER FOUNDATION

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation


(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DAVE BACKER 	DIRECTOR 2 00	0	0	894
2 RUGOSA WAY CAPE ELIZABETH, ME 04107				
THOMAS A JENKINS 	DIRECTOR 2 00	0	0	76
ONE AMERICAN SQ STE 2000 INDIANAPOLIS, IN 46282				
RALPH BARTLEY 	DIRECTOR 2 00	0	0	270
883 20TH STREET JASPER, IN 47546				
DENNIS R JONES 	DIRECTOR 2 00	0	0	0
8017 BEAUMONT GREEN EAST DRIVE INDIANAPOLIS, IN 46250				
DOROTHEA GENETOS 	DIRECTOR 2 00	0	0	0
6471 B MERIDIAN PARKWAY INDIANAPOLIS, IN 46220				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
ASSISTANCE LEAGUE OF INDIANAPOLIS NORTHBROOK CENTER 1475 W 86TH ST STE E INDIANAPOLIS,IN 46260	NONE	PUBL CHARITY	CHARITABLE	4,000
BOYS & GIRLS CLUBS OF INDIANAPOLIS 2236 E 10TH STREET STE 200 INDIANAPOLIS,IN 46201	NONE	PUBL CHARITY	CHARITABLE	2,175
CLAUDE MCNEAL PRODUCTIONS PO BOX 441130 INDIANAPOLIS,IN 46244	NONE	PUBL CHARITY	CHARITABLE	2,000
CONCORD NEIGHBORHOOD CENTER 1310 S MERIDIAN ST INDIANAPOLIS,IN 46225	NONE	PUBL CHARITY	CHARITABLE	2,000
CUMBERLAND COLLEGE 6191 COLLEGE STATION DR WILLIAMSBURG,KY 40769	NONE	PUBL CHARITY	CHARITABLE	3,000
E TENTH UNITED METHODIST CHILDREN & YOUTH CENTER INC 2327 E 10TH STREET INDIANAPOLIS,IN 46201	NONE	PUBL CHARITY	CHARITABLE	3,000
DAY NURSERY 615 N ALABAMA ST STE 300 INDIANAPOLIS,IN 46204	NONE	PUBL CHARITY	CHARITABLE	3,000
INDIANA REPERTORY THEATRE INC 140 W WASHINGTON STREET INDIANAPOLIS,IN 46204	NONE	PUBL CHARITY	CHARITABLE	4,000
INDIANAPOLIS-MARION CO PUBLIC LIBRARY FOUNDATION INC PO BOX 6134 INDIANAPOLIS,IN 46206	NONE	PUBL CHARITY	CHARITABLE	5,000
INDIANAPOLIS SYMPHONY ORCHESTRA 32 E WASHINGTON ST ST 600 INDIANAPOLIS,IN 46204	NONE	PUBL CHARITY	CHARITABLE	3,000
NOBLE OF INDIANA 7701 E 21ST STREET INDIANAPOLIS,IN 46219	NONE	PUBL CHARITY	CHARITABLE	8,000
PLAYBALL INDIANA 7160 ZIONSVILLE RD INDIANAPOLIS,IN 46268	NONE	PUBL CHARITY	CHARITABLE	1,625
THE SALVATION ARMY 540 N ALABAMA ST INDIANAPOLIS,IN 46204	NONE	PUBL CHARITY	CHARITABLE	6,845
JANUS DEVELOPMENTAL SERVICES 1555 WESTFIELD RD NOBLESVILLE,IN 46062	NONE	PUBL CHARITY	CHARITABLE	3,000
JOHN P CRAINE HOUSE 3535 N PENNSYLVANIA ST INDIANAPOLIS,IN 46205	NONE	PUBL CHARITY	CHARITABLE	3,000
<b>Total . . . . .</b>  <b>3a</b>				63,470



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
INDIANAPOLIS ZOO PO BOX 22309 INDIANAPOLIS, IN 46222	NONE	PUBL CHARITY	CHARITABLE	2,000
JUNIOR ACHIEVEMENT OF SOUTHERN INDIANA 233 SE THIRD ST EVANSVILLE, IN 47713	NONE	PUBL CHARITY	CHARITABLE	6,000
RONALD MCDONALD HOUSE OF INDIANA 435 LIMESTONE ST INDIANAPOLIS, IN 46202	NONE	PUBL CHARITY	CHARITABLE	1,825
<b>Total . . . . .</b>  <b>3a</b>				63,470

**TY 2010 Accounting Fees Schedule**

**Name:** SHAW-BURCKHARDT-BRENNER FOUNDATION

**EIN:** 23-7076297

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEES	2,200			2,200

**TY 2010 Compensation Explanation****Name:** SHAW-BURCKHARDT-BRENNER FOUNDATION**EIN:** 23-7076297

Person Name	Explanation
DAVE BACKER	
THOMAS A JENKINS	
RALPH BARTLEY	
DENNIS R JONES	
DOROTHEA GENETOS	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2010 Gain/Loss from Sale of Other Assets Schedule

Name: SHAW-BURCKHARDT-BRENNER FOUNDATION

EIN: 23-7076297

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
PUBLICLY TRADED SECURITIES		PURCHASE			440,962	386,588			54,374	

## TY 2010 General Explanation Attachment

**Name:** SHAW-BURCKHARDT-BRENNER FOUNDATION

**EIN:** 23-7076297

Identifier	Return Reference	Explanation
GENERAL RETURN INFORMATION		ELECTION UNDER REGULATIONS SECTION EXCESS QUALIFYING DISTRIBUTIONS AS PURSUANT TO IRC SECTION 4942(H)(2) FOUNDATION HEREBY ELECTS TO TREAT C EXCESS OF THE IMMEDIATELY PRECEDING BEING MADE OUT OF CORPUS
GENERAL ELECTIONS		

**TY 2010 Investments Corporate  
Bonds Schedule****Name:** SHAW-BURCKHARDT-BRENNER FOUNDATION**EIN:** 23-7076297

<b>Name of Bond</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
ISHARES TR BARCLAYS TIPS BD 107 SH	10,970	11,862
ISHARES TR BARCLAYS TIPS BD 75 SH		
JPMORGAN HIGH YIELD BOND 2740.507 S	21,043	22,856
JPMORGAN SHORT DURATION 14819.14 SH		
RIDGEWORTH SEIX FLTNG RT 1478.098 SH	12,738	13,318
RIDGEWORTH SEIX FLTNG RT 89.791 SH	795	809
VANGUARD GNMA FUND 1403.417 SH	15,074	15,367
VANGUARD GNMA FUND 7517.939 SH	80,967	82,321
VANGUARD INT TERM BOND 7082.974 SH	70,081	71,184
VANGUARD SH TERM INV GR 2371.433 SH	25,485	25,612
VANGUARD SH TERM INV GR 957.187 SH	10,169	10,301

TY 2010 Investments Corporate  
Stock Schedule

Name: SHAW-BURCKHARDT-BRENNER FOUNDATION  
EIN: 23-7076297

Name of Stock	End of Year Book Value	End of Year Fair Market Value
AARONS INC COM 100 SH		
AARONS INC COM 125 SH	2,351	3,526
ABBOTT LABS 150 SH	8,161	7,838
ADVANCED MICRO DEVICES INC COM 600 S	5,082	5,208
AFLAC INC 100 SH	5,067	4,779
ALCOA INC 425 SH		
APPLIED MATERIALS 200 SH	2,559	2,756
ARTIO GLOBAL INVT INTL EQ II 2490 SH		
ARTIO GLOBAL INVT INTL EQ II 2557 SH	19,994	32,828
ASSOCIATED BANC CORP 250 SH	2,833	3,523
ASTON/TAMRO SMALL CAP 502.883 SH	6,734	11,833
ASTON/TAMRO SMALL CAP 651.324 SH		
AT&T INC 175 SH	4,471	5,523
AT&T INC 50 SH	1,442	1,578
ATLAS AIR WORLDWIDE HLDGS INC NEW 50	2,701	3,165
BAXTER INTERNATIONAL INC 100 SH	5,141	5,952
BAXTER INTERNATIONAL INC 50 SH		
BOEING CO COM 50 SH	3,204	3,902
BOEING COMPANY 75 SH	3,617	5,852
BP PLC SPONS ADR 100 SH	5,334	4,624
BP PLC SPONS ADR 100 SH	4,005	4,624
BRISTOL-MYERS SQUIBB CO 125 SH		
CA INC 250 SH		
CHEVRON CORP 100 SH	7,794	10,491
CHEVRON CORP 50 SH		
CISCO SYSTEMS INC 150 SH	3,473	2,520
CISCO SYSTEMS INC 200 SH		
CISCO SYSTEMS INC 350 SH	1,986	1,680
COCA COLA COMPANY 50 SH		
COCA COLA COMPANY 50 SH	2,758	3,340

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CONSTELLATION BRANDS INC 100 SH	1,956	2,196
CONSTELLATION BRANDS INC 175 SH	3,094	3,843
CORNING INC COM 250 SH	5,044	5,038
CVS CAREMARK CORP 100 SH	3,353	3,869
CVS CAREMARK CORP 50 SH	1,802	1,935
CVS CAREMARK CORP 50 SH		
DEAN FOODS CO NEW COM 600 SH	5,214	8,328
DIAMOND HILL LONG SHORT FUND 161 SH	2,524	2,771
DIAMOND HILL LONG SHORT FUND 520 SH	8,548	8,936
DISNEY WALT CO DISNEY COM 5 SH	185	208
DISNEY WALT CO DISNEY COM 75 SH	2,474	3,122
DPL INC 125 SH		
ENERGIZER HOLDINGS INC 100 SH		
EXXON MOBIL CORP 100 SH	6,531	8,347
FIDELITY NATIONAL FINANCIAL 150 SH	2,272	2,397
FIDELITY NATIONAL FINANCIAL 200 SH	2,718	3,196
FIFTH THIRD BANCORP 300 SH	3,348	3,918
FMI LARG CAP FD 5488 SH		
FMI LARGE CAP FUND 4182 SH		
GENERAL ELECTRIC 350 SH	5,485	6,874
GILEAD SCIENCES INC 50 SH	1,871	2,087
GILEAD SCIENCES INC 75 SH	2,937	3,130
GOOGLE INC CL A 5 SH	2,882	2,645
HANESBRANDS INC COM 210 SH	5,310	6,365
HEWLETT PACKARD CO 120 SH		
HEWLETT PACKARD CO 50 SH	2,141	1,869
HEWLETT PACKARD CO 80 SH	3,722	2,990
HOME DEPOT INC 100 SH		
HONEYWELL INTL INC 125 SH	4,822	7,444
IBM CORP 50 SH	6,353	8,447



Name of Stock	End of Year Book Value	End of Year Fair Market Value
INTEL CORP 250 SH	4,926	5,627
ISHARES TR RUSSELL 1000 GR INDX 200S	10,239	12,354
ISHARES TR RUSSELL 1000 GR INDX 406S	24,376	25,079
ISHARES TR S&P MIDCAP 400 GR 125 SH	9,443	14,118
JACOBS ENGINEERING GROUP 75 SH		
JOHNSON CONTROLS 150 SH		
JPMORGAN TR II US REAL EST 144.836 S		
JPMORGAN TR II US REAL EST 562.866 S	7,428	9,878
JPMORGAN US LRG CAP CORE 1788.567 SH	18,995	38,776
KIMBERLY CLARK CORP 25 SH	1,574	1,708
KIMBERLY CLARK CORP 50 SH		
KIMBERLY CLARK CORP 50 SH	3,008	3,415
LEGG MASON INC 200 SH		
MARATHON OIL CORP 125 SH	4,017	6,771
MATTEL INC 200 SH	4,424	5,279
MCDONALDS CORP 50 SH		
MCDONALDS CORP 50 SH	1,706	2,038
MEDTRONIC INC 100 SH	3,675	4,070
MEDTRONIC INC 50 SH	2,050	2,035
MERCK & CO INC NEW 75 SH	2,452	2,756
MERCK & CO INC NEW 75 SH	2,672	2,756
MERIDIAN GROWTH FUND 1.023 SH	45	50
MERIDIAN GROWTH FUND 620.545 SH	20,114	29,941
MICROSOFT CORPORATION 100 SH		
MICROSOFT CORPORATION 300 SH	8,448	7,503
NOKIA CORP SPONSORED ADR 300 SH	2,747	2,106
NORFOLK SOUTHERN 50 SH	2,346	3,666
NORFOLK SOUTHERN 50 SH	3,374	3,665
ORACLE CORPORATION 175 SH	3,918	5,989
ORACLE CORPORATION 50 SH		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
PEPSICO INC 100 SH	6,221	7,112
PFIZER INC 175 SH		
PFIZER INC 275 SH	4,921	5,899
PNC FINL SVCS GROUP INC COM 75 SH	4,574	4,681
PROSPERITY BANCSHARES INC 75 SH		
SAFEWAY INC NEW 100 SH	1,984	2,470
SAFEWAY INC NEW 125 SH	2,847	3,088
SCOUT INTERNATIONAL FUND 934.307 SH	32,000	32,037
SPDR TR UNIT SER 1 282 SH		
T ROWE PRICE NEW ASIA 745.8740 SH	9,466	14,783
TARGET CORP 100 SH	4,673	4,953
TE CONNECTIVITY LTD REG SHS 100 SH	2,163	3,683
TELEFLEX INC 50 SH	2,992	3,105
TEVA PHARMACEUTICAL IND ADR 100 SH		
TEVA PHARMACEUTICAL IND ADR 100 SH	4,944	5,090
TORCHMARK CORP 100 SH	4,387	6,630
TRANSOCEAN LTD 90 SH	7,500	6,233
TRAVELERS COMPANIES INC 100 SH	5,400	6,208
TYCO ELECTRONICS LTD 100 SH		
UNITED TECHNOLOGIES CORP 50 SH	3,340	4,388
US BANCORP 125 SH		
VERIZON COMMUNICATIONS 150 SH		
WALMART STORES INC 100 SH		
ZIONS BANCORP 200 SH	3,149	4,766
CALAMOS MKT NEUTRAL INC 165.199 SH		
CALAMOS MKT NEUTRAL INC 745.053 SH	8,597	9,172
DIAMOND HILL SMALL CAP FD 550.863 SH	15,025	15,231
GABELLI ABC FUND 369.480SH	3,609	3,732
GABELLI ABC FUND 407.824 SH		

**TY 2010 Investments - Other Schedule****Name:** SHAW-BURCKHARDT-BRENNER FOUNDATION**EIN:** 23-7076297

<b>Category/ Item</b>	<b>Listed at Cost or FMV</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
AQR MANAGED FUTURES STRAT 968.992 SH	AT COST	10,025	9,884
CALAMOS CONVERTIBLE FUND 37.930 SH	AT COST	728	782
CALAMOS CONVERTIBLE FUND 670.411 SH	AT COST	12,570	13,824
DIREXION COMMODITY TR STR 176.467 SH	AT COST		
PIMCO DEV LOCAL MARKETS 1241.178 SH	AT COST	12,477	13,740
PIMCO DEV LOCAL MARKETS 19.339 SH	AT COST	202	214
SPDR GOLD SHARES 30 SH	AT COST		
SPDR GOLD SHARES 45 SH	AT COST	4,863	6,734
VANGUARD INDEX FUNDS REIT ETF 100 SH	AT COST		

**TY 2010 Legal Fees Schedule**

**Name:** SHAW-BURCKHARDT-BRENNER FOUNDATION

**EIN:** 23-7076297

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	6,419			

TY 2010 Other Income Schedule

**Name:** SHAW-BURCKHARDT-BRENNER FOUNDATION

**EIN:** 23-7076297

Description	Revenue And Expenses Per Books	Net Invest ment Income	Adjusted Net Income
NON-DIVIDEND DISTRIBUTIONS	104		

## TY 2010 Other Professional Fees Schedule

**Name:** SHAW-BURCKHARDT-BRENNER FOUNDATION

**EIN:** 23-7076297

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	6,522	4,892		1,630
OTHER INVESTMENT FEES	22	17		6

**TY 2010 Taxes Schedule****Name:** SHAW-BURCKHARDT-BRENNER FOUNDATION**EIN:** 23-7076297

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ESTIMATED EXCISE TAX-CURRENT YEA	700			
FOREIGN TAX & FEES PAID	137	137		
EXCISE TAX-PRIOR YEAR BALANCE	295			