



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2010

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year **2010**, or tax year beginning **06/01, 2010**, and ending **05/31, 2011**

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation: **GRIFFITH, KATHERINE T GENERAL TOW 410024012**
A Employer identification number: **03-6004364**
Number and street (or P O box number if mail is not delivered to street address): **178 MAIN STREET, PO BOX 174**
Room/suite: **(802) 860-5523**
City or town, state, and ZIP code: **NEW BRITAIN, CT 06050**
B Telephone number (see page 10 of the instructions)

H Check type of organization: ☐ Section 501(c)(3) exempt private foundation
☒ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ **228,804.**
J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____ (Part I, column (d) must be on cash basis)
C If exemption application is pending, check here ☐
D 1 Foreign organizations, check here ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ☐
E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))				(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)					
	2	Check <input type="checkbox"/> if the foundation is not required to attach Sch B					
	3	Interest on savings and temporary cash investments					
	4	Dividends and interest from securities		6,950.	6,950.		STMT 1
	5a	Gross rents					
	b	Net rental income or (loss)					
	6a	Net gain or (loss) from sale of assets not on line 10		27,632.			
	b	Gross sales price for all assets on line 6a	226,948.				
	7	Capital gain net income (from Part IV, line 2)			27,632.		
	8	Net short-term capital gain					
	9	Income modifications					
	10a	Gross sales less returns and allowances					
Operating and Administrative Expenses	b	Less Cost of goods sold					
	c	Gross profit or (loss) (attach schedule)					
	11	Other income (attach schedule)					
	12	Total. Add lines 1 through 11		34,582.	34,582.		
	13	Compensation of officers, directors, trustees, etc		4,074.	2,716.		1,358.
	14	Other employee salaries and wages			NONE	NONE	
	15	Pension plans, employee benefits			NONE	NONE	
	16a	Legal fees (attach schedule)	STMT 2	32.	21.	NONE	10.
	b	Accounting fees (attach schedule)	STMT 3	475.	317.	NONE	158.
	c	Other professional fees (attach schedule)					
	17	Interest					
	18	Taxes (attach schedule) (see page 14 of the instructions)	STMT 4	44.	44.		
	19	Depreciation (attach schedule) and depletion					
	20	Occupancy					
	21	Travel, conferences, and meetings			NONE	NONE	
	22	Printing and publications			NONE	NONE	
	23	Other expenses (attach schedule)					
	24	Total operating and administrative expenses. Add lines 13 through 23		4,625.	3,098.	NONE	1,526.
	25	Contributions, gifts, grants paid		4,361.			4,361.
	26	Total expenses and disbursements. Add lines 24 and 25		8,986.	3,098.	NONE	5,887.
	27	Subtract line 26 from line 12					
	a	Excess of revenue over expenses and disbursements		25,596.			
	b	Net investment income (if negative, enter -0-)			31,484.		
	c	Adjusted net income (if negative, enter -0-)					

For Paperwork Reduction Act Notice, see page 30 of the instructions.

JSA

Form 990-PF (2010)

0E1410 1 000

IN5037 N571 08/24/2011 10:10:55

410024012

7

P3

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing		7,464.	8,294.	8,294.
	2	Savings and temporary cash investments				
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶	NONE			
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U S and state government obligations (attach schedule) . .				
	b	Investments - corporate stock (attach schedule)				
	c	Investments - corporate bonds (attach schedule)				
	11	Investments - land, buildings, and equipment basis Less: accumulated depreciation (attach schedule) ▶				
	12	Investments - mortgage loans				
	13	Investments - other (attach schedule)	STMT 5	186,502.	211,458.	220,510.
	14	Land, buildings, and equipment basis Less: accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		193,966.	219,752.	228,804.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons .				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)					
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>					
	27	Capital stock, trust principal, or current funds	193,966.	219,752.		
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds . .				
30	Total net assets or fund balances (see page 17 of the instructions)	193,966.	219,752.			
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	193,966.	219,752.			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	193,966.
2	Enter amount from Part I, line 27a	2	25,596.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 6	3	190.
4	Add lines 1, 2, and 3	4	219,752.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	219,752.

Form 990-PF (2010)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV DETAIL					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)			2	27,632.	
<div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; padding-left: 5px;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div> </div>					
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).			3		
<div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; padding-left: 5px;"> If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8. </div> </div>					

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	7,402.	198,118.	0.03736157240
2008			
2007			
2006			
2005			

2 Total of line 1, column (d)	2	0.03736157240
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.03736157240
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	213,668.
5 Multiply line 4 by line 3	5	7,983.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	315.
7 Add lines 5 and 6	7	8,298.
8 Enter qualifying distributions from Part XII, line 4	8	5,887.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	630.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	630.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	630.
6 Credits/Payments			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a		
b Exempt foreign organizations-tax withheld at source	6b		NONE
c Tax paid with application for extension of time to file (Form 8868)	6c		NONE
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		NONE
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		630.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2011 estimated tax	11		NONERefunded

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions)		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

Form 990-PF (2010)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)			X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?			X
Website address ▶ N/A				
14	The books are in care of ▶ SEE STATEMENT 7 Telephone no. ▶			
Located at ▶ ZIP + 4 ▶				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here			
and enter the amount of tax-exempt interest received or accrued during the year 15				
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	Yes	No	X
See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)?		
Organizations relying on a current notice regarding disaster assistance check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 22 of the instructions)		X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?		X

Form 990-PF (2010)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b** ☐ Yes ☒ No
Organizations relying on a current notice regarding disaster assistance check here ☐
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No
If "Yes," attach the statement required by Regulations section 53.4945-5(d)
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ☐ Yes ☒ No
If "Yes" to 6b, file Form 8870
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** ☐ Yes ☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		4,074.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 **NONE**

Form 990-PF (2010)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over \$50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 SALVATION ARMY, GRIFFITH MEMORIAL LIBRARY, TOWN OF DANBY, YOUNG WOMENS CHRISTIAN ASSOC, NORTHFIELD MOUNT HERMON SCHOOL FIRST CONG. CHURCH OF DANBY, FRIENDS OF VALLEY FORGE PARK,	4,360.
2 TOWN OF MOUNT TABOR, DANBY MOUNT TABOR FIRE DIST. 1, AMERICAN BIBLE SOCIETY, SL GRIFFITH CHRISTMAS FUND	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions	
3 NONE	
Total. Add lines 1 through 3	

Form 990-PF (2010)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	209,425.
b	Average of monthly cash balances	1b	7,497.
c	Fair market value of all other assets (see page 25 of the instructions)	1c	NONE
d	Total (add lines 1a, b, and c)	1d	216,922.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	216,922.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	4	3,254.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	213,668.
6	Minimum investment return. Enter 5% of line 5	6	10,683.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	10,683.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	630.
b	Income tax for 2010. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	630.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	10,053.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	10,053.
6	Deduction from distributable amount (see page 25 of the instructions)	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	10,053.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	5,887.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	5,887.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	5,887.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				10,053.
2 Undistributed income, if any, as of the end of 2010.				
a Enter amount for 2009 only			4,959.	
b Total for prior years 20 <u>08</u> , 20 <u> </u> , 20 <u> </u>		NONE		
3 Excess distributions carryover, if any, to 2010:				
a From 2005	NONE			
b From 2006	NONE			
c From 2007	NONE			
d From 2008	NONE			
e From 2009	NONE			
f Total of lines 3a through e	NONE			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ <u>5,887.</u>				
a Applied to 2009, but not more than line 2a			4,959.	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)		NONE		
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)	NONE			
d Applied to 2010 distributable amount				928.
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	NONE			
b Prior years' undistributed income. Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b. Taxable amount - see page 27 of the instructions		NONE		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see page 27 of the instructions				
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				9,125.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)	NONE			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions)	NONE			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9				
a Excess from 2006	NONE			
b Excess from 2007	NONE			
c Excess from 2008	NONE			
d Excess from 2009	NONE			
e Excess from 2010	NONE			

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) **NOT APPLICABLE**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include.

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE STATEMENT 12				
Total			▶ 3a	4,361.
b Approved for future payment				
Total			▶ 3b	

Form 990-PF (2010)

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions.)
(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue				
a _____				
b _____				
c _____				
d _____				
e _____				
f _____				
g Fees and contracts from government agencies				
2 Membership dues and assessments				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities		14	6,950.	
5 Net rental income or (loss) from real estate				
a Debt-financed property				
b Not debt-financed property				
6 Net rental income or (loss) from personal property .				
7 Other investment income				
8 Gain or (loss) from sales of assets other than inventory		18	27,632.	
9 Net income or (loss) from special events . . .				
10 Gross profit or (loss) from sales of inventory . .				
11 Other revenue a _____				
b _____				
c _____				
d _____				
e _____				
12 Subtotal. Add columns (b), (d), and (e)			34,582.	
13 Total. Add line 12, columns (b), (d), and (e)				34,582.

Part XVI-B	Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Part III	
		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Laura F Greene | 08/24/2011 | Trustee
Signature of officer or trustee | Date | Title

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	Firm's name ▶			Firm's EIN ▶	
	Firm's address ▶			Phone no.	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
		TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS				1,671.	
1,491.00		60.062 ASTON FDS MONTAG & CALDWELL GROWT PROPERTY TYPE: SECURITIES 1,390.00				10/18/2010 101.00	01/18/2011
957.00		33.771 INVESCO INTERNATIONAL GROWTH FUND PROPERTY TYPE: SECURITIES 924.00				10/18/2010 33.00	01/18/2011
373.00		12.832 INVESCO INTERNATIONAL GROWTH FUND PROPERTY TYPE: SECURITIES 351.00				10/18/2010 22.00	04/18/2011
480.00		35.195 ARTIO TOTAL RETURN BOND FD-I #152 PROPERTY TYPE: SECURITIES 458.00				08/07/2006 22.00	06/11/2010
97.00		7.01 ARTIO TOTAL RETURN BOND FD-I #1524 PROPERTY TYPE: SECURITIES 91.00				08/07/2006 6.00	07/16/2010
732.00		51.388 ARTIO TOTAL RETURN BOND FD-I #152 PROPERTY TYPE: SECURITIES 701.00				04/16/2010 31.00	10/18/2010
9,186.00		645.08 ARTIO TOTAL RETURN BOND FD-I #152 PROPERTY TYPE: SECURITIES 8,413.00				10/16/2009 773.00	10/18/2010
297.00		6.536 BARON ASSET FD GROWTH & INC PROPERTY TYPE: SECURITIES 259.00				02/10/2010 38.00	10/18/2010
10,253.00		225.829 BARON ASSET FD GROWTH & INC PROPERTY TYPE: SECURITIES 8,569.00				07/16/2009 1,684.00	10/18/2010
376.00		8.275 BARON ASSET FD GROWTH & INC PROPERTY TYPE: SECURITIES 351.00				07/16/2010 25.00	10/18/2010

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
181.00		6.665 BUFFALO SMALL CAP FD #1444 PROPERTY TYPE: SECURITIES 160.00				10/18/2010 21.00	01/18/2011
26.00		.97 BUFFALO SMALL CAP FD #1444 PROPERTY TYPE: SECURITIES 23.00				10/18/2010 3.00	04/18/2011
3,421.00		74.653 COLUMBIA VALUE & RESTRUCTURING FU PROPERTY TYPE: SECURITIES 3,002.00				02/10/2010 419.00	10/18/2010
19,612.00		427.935 COLUMBIA VALUE & RESTRUCTURING F PROPERTY TYPE: SECURITIES 17,284.00				01/16/2009 2,328.00	10/18/2010
2,333.00		50.908 COLUMBIA VALUE & RESTRUCTURING FU PROPERTY TYPE: SECURITIES 2,082.00				07/16/2010 251.00	10/18/2010
102.00		3.413 DAVIS NY VENTURE FD INC CL Y FUND PROPERTY TYPE: SECURITIES 142.00				10/16/2007 -40.00	07/16/2010
233.00		7.097 DAVIS NY VENTURE FD INC CL Y FUND PROPERTY TYPE: SECURITIES 212.00				02/10/2010 21.00	10/18/2010
10,089.00		306.838 DAVIS NY VENTURE FD INC CL Y FUN PROPERTY TYPE: SECURITIES 11,009.00				01/16/2009 -920.00	10/18/2010
615.00		18.718 DAVIS NY VENTURE FD INC CL Y FUND PROPERTY TYPE: SECURITIES 568.00				06/11/2010 47.00	10/18/2010
3,155.00		28.215 DODGE & COX STK FD COM PROPERTY TYPE: SECURITIES 2,829.00				10/18/2010 326.00	01/18/2011
253.00		2.262 DODGE & COX STK FD COM PROPERTY TYPE: SECURITIES 227.00				10/18/2010 26.00	04/18/2011

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
997.00		90.19 FEDERATED TOTAL RETURN BOND FUND I PROPERTY TYPE: SECURITIES 973.00				09/27/2004 24.00	06/11/2010
195.00		17.385 FEDERATED TOTAL RETURN BOND FUND PROPERTY TYPE: SECURITIES 188.00				09/27/2004 7.00	07/16/2010
1,287.00		112.63 FEDERATED TOTAL RETURN BOND FUND PROPERTY TYPE: SECURITIES 1,247.00				04/16/2010 40.00	10/18/2010
18,344.00		1604.922 FEDERATED TOTAL RETURN BOND FUN PROPERTY TYPE: SECURITIES 17,298.00				10/16/2009 1,046.00	10/18/2010
96.00		4.798 FIDELITY ADVISOR EMERGING MARKET C PROPERTY TYPE: SECURITIES 114.00				10/16/2007 -18.00	07/16/2010
3,302.00		138.353 FIDELITY ADVISOR EMERGING MARKET PROPERTY TYPE: SECURITIES 1,870.00				01/16/2009 1,432.00	10/18/2010
301.00		12.618 FIDELITY ADVISOR EMERGING MARKET PROPERTY TYPE: SECURITIES 248.00				06/11/2010 53.00	10/18/2010
27.00		2.913 FIDELITY HIGH INCOME FUND #455 PROPERTY TYPE: SECURITIES 26.00				10/18/2010 1.00	04/18/2011
357.00		6.983 FIDELITY SELECT PORTFOLIOS SELECT PROPERTY TYPE: SECURITIES 378.00				10/18/2010 -21.00	04/18/2011
997.00		16.071 HARBOR INTERNATIONAL FUND PROPERTY TYPE: SECURITIES 961.00				10/18/2010 36.00	01/18/2011
293.00		4.678 HARBOR INTERNATIONAL FUND PROPERTY TYPE: SECURITIES 280.00				10/18/2010 13.00	04/18/2011

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj. basis	Gain or (loss)	
3,334.00		151.968 HARTFORD MUT FDS INC MIDCAP FD C PROPERTY TYPE: SECURITIES 3,112.00				06/11/2010 222.00	10/18/2010
188.00		7.552 HARTFORD MUT FDS INC MIDCAP FD CL PROPERTY TYPE: SECURITIES 155.00				06/11/2010 33.00	01/18/2011
57.00		2.266 HARTFORD MUT FDS INC MIDCAP FD CL PROPERTY TYPE: SECURITIES 46.00				06/11/2010 11.00	04/18/2011
83.00		3.591 PERKINS MID CAP VALUE FUND INSTITU PROPERTY TYPE: SECURITIES 76.00				10/18/2010 7.00	01/18/2011
71.00		2.974 PERKINS MID CAP VALUE FUND INSTITU PROPERTY TYPE: SECURITIES 63.00				10/18/2010 8.00	04/18/2011
249.00		18.944 MFS RESEARCH INTERNATIONAL FUND I PROPERTY TYPE: SECURITIES 323.00				03/17/2008 -74.00	07/16/2010
237.00		15.39 MFS RESEARCH INTERNATIONAL FUND I PROPERTY TYPE: SECURITIES 227.00				01/19/2010 10.00	10/18/2010
5,044.00		327.131 MFS RESEARCH INTERNATIONAL FUND PROPERTY TYPE: SECURITIES 4,279.00				01/16/2009 765.00	10/18/2010
541.00		35.085 MFS RESEARCH INTERNATIONAL FUND I PROPERTY TYPE: SECURITIES 450.00				06/11/2010 91.00	10/18/2010
2,105.00		189.313 PIMCO TOTAL RETURN FUND #35 PROPERTY TYPE: SECURITIES 2,044.00				03/29/2004 61.00	06/11/2010
490.00		43.237 PIMCO TOTAL RETURN FUND #35 PROPERTY TYPE: SECURITIES 467.00				03/29/2004 23.00	07/16/2010

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
2,779.00		237.754 PIMCO TOTAL RETURN FUND #35 PROPERTY TYPE: SECURITIES 2,635.00				04/16/2010 144.00	10/18/2010
37,022.00		3167.005 PIMCO TOTAL RETURN FUND #35 PROPERTY TYPE: SECURITIES 33,954.00				10/16/2009 3,068.00	10/18/2010
97.00		9.087 PIMCO INVESTMENT GRADE CORP BOND F PROPERTY TYPE: SECURITIES 108.00				10/18/2010 -11.00	04/18/2011
6,889.00		228.556 T ROWE PRICE GROWTH STOCK FUND PROPERTY TYPE: SECURITIES 5,890.00				02/10/2010 999.00	10/18/2010
25,552.00		847.787 T ROWE PRICE GROWTH STOCK FUND PROPERTY TYPE: SECURITIES 20,669.00				01/16/2009 4,883.00	10/18/2010
1,920.00		63.699 T ROWE PRICE GROWTH STOCK FUND PROPERTY TYPE: SECURITIES 1,718.00				07/16/2010 202.00	10/18/2010
4,862.00		97.164 T ROWE PRICE MID-CAP GROWTH FD #6 PROPERTY TYPE: SECURITIES 3,707.00				07/16/2009 1,155.00	06/11/2010
99.00		1.982 T ROWE PRICE MID-CAP GROWTH FD #64 PROPERTY TYPE: SECURITIES 91.00				02/10/2010 8.00	06/11/2010
104.00		4.906 ROYCE SPECIAL EQUITY FD #327 PROPERTY TYPE: SECURITIES 95.00				10/18/2010 9.00	01/18/2011
78.00		3.587 ROYCE SPECIAL EQUITY FD #327 PROPERTY TYPE: SECURITIES 69.00				10/18/2010 9.00	04/18/2011
264.00		25.803 TD ASSET MGMT SHORT TERM BOND #4 PROPERTY TYPE: SECURITIES 261.00				01/16/2009 3.00	06/11/2010

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
311.00		17.59 VANGUARD SELECTED VALUE FUND #934 PROPERTY TYPE: SECURITIES 272.00				02/10/2010 39.00	10/18/2010
15,515.00		877.595 VANGUARD SELECTED VALUE FUND #93 PROPERTY TYPE: SECURITIES 10,190.00				04/16/2009 5,325.00	10/18/2010
756.00		42.765 VANGUARD SELECTED VALUE FUND #934 PROPERTY TYPE: SECURITIES 700.00				07/16/2010 56.00	10/18/2010
1,715.00		156.741 VANGUARD GNMA FIXED INCOME PROPERTY TYPE: SECURITIES 1,653.00				03/17/2008 62.00	06/11/2010
140.00		12.642 VANGUARD GNMA FIXED INCOME PROPERTY TYPE: SECURITIES 133.00				03/17/2008 7.00	07/16/2010
2,260.00		203.758 VANGUARD GNMA FIXED INCOME PROPERTY TYPE: SECURITIES 2,189.00				04/16/2010 71.00	10/18/2010
21,895.00		1974.258 VANGUARD GNMA FIXED INCOME PROPERTY TYPE: SECURITIES 20,947.00				10/16/2009 948.00	10/18/2010
162.00		12.114 VANGUARD INFLATION PROTECTED SEC PROPERTY TYPE: SECURITIES 165.00				10/18/2010 -3.00	04/18/2011
TOTAL GAIN(LOSS)						----- 27,632. =====	

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
ASTON FDS MONTAG & CALDWELL GROWTH FUND	300.	300.
INVESCO INTERNATIONAL GROWTH FUND INSTIT	165.	165.
ARTIO TOTAL RETURN BOND FD-I #1524	119.	119.
COLUMBIA VALUE & RESTRUCTURING FUND #205	232.	232.
DODGE & COX STK FD COM	234.	234.
FEDERATED TOTAL RETURN BOND FUND INSTITU	325.	325.
FIDELITY HIGH INCOME FUND #455	590.	590.
FIDELITY SELECT PORTFOLIOS SELECT GOLD P	456.	456.
HARBOR INTERNATIONAL FUND	181.	181.
PERKINS MID CAP VALUE FUND INSTITUTIONAL	20.	20.
PIMCO TOTAL RETURN FUND #35	470.	470.
PIMCO INVESTMENT GRADE CORP BOND FUND CL	2,610.	2,610.
ROYCE SPECIAL EQUITY FD #327	7.	7.
TD ASSET MGMT US GOVT PORT INSTL #2	3.	3.
TD ASSET MGMT SHORT TERM BOND #4	219.	219.
VANGUARD GNMA FIXED INCOME	310.	310.
VANGUARD INTERM-TERM TREAS FD #35	466.	466.
VANGUARD INFLATION PROTECTED SEC 119	243.	243.
	-----	-----
TOTAL	6,950.	6,950.
	=====	=====

FORM 990PF, PART I - LEGAL FEES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
LEGAL ADS; COURT OR BOND FEES	32.	21.		10.
TOTALS	32.	21.	NONE	10.
	=====	=====	=====	=====

FORM 990PF, PART I - ACCOUNTING FEES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
TAX PREPARATION FEE (NON-ALLOC	475.	317.		158.
TOTALS	475.	317.	NONE	158.
	=====	=====	=====	=====

FORM 990PF, PART I - TAXES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FOREIGN TAXES ON QUALIFIED FOR	44.	44.
TOTALS	44.	44.
	=====	=====

GRIFFITH, KATHERINE T GENERAL TOW 410024012

03-6004364

FORM 990PF, PART II - OTHER INVESTMENTS

=====

DESCRIPTION	COST/ FMV C OR F	ENDING BOOK VALUE	ENDING FMV
		-----	----
SEE ATTACHED INVESTMENT REVIEW	C		
TOTAL FIXED INCOME	C	107,389.	104,142.
TOTAL EQUITIES	C	104,069.	116,368.
		-----	-----
TOTALS		211,458.	220,510.
		=====	=====

GRIFFITH K IRREV TUW FBO CHARITIES

41-0024-01-2

Investment Review Settlement Date Positions

Frozen Date: 05/31/2011

<u>Par / Shares</u>	<u>Description</u> <u>CUSIP Number</u>	<u>Price</u> <u>Price Date</u>	<u>Tax Cost</u> <u>Carrying Value</u>	<u>Market Value</u> <u>Accrual</u>
CASH AND EQUIVALENTS				
Cash				
	INCOME CASH	0.000000	0 00 0.00	0 00 0.00000
	PRINCIPAL CASH	0.000000	0.00 0.00	0 00 0 00000
Total Cash			0.00 0.00	0 00 0.00000
Cash Equivalents				
6,658.610	TD ASSET MGMT US GOVT INSTL SVS #6 87237U-83-2	1.000000 12/ 18/ 2006	6,658.61 6,658.61	6,658.61 0.07000
1,634.960	TD ASSET MGMT US GOVT INSTL SVS #6 87237U-83-2	1.000000 12/ 18/ 2006	1,634.96 1,634.96	1,634.96 0 04000
0.000	TD ASSET MGMT US GOVT PORT INSTL #2 87237U-84-0	1.000000 12/ 12/ 2006	0 00 0 00	0 00 0.10000
Total Cash Equivalents			8,293.57 8,293.57	8,293.57 0.21000
Total CASH AND EQUIVALENTS			8,293.57 8,293.57	8,293.57 0.21000

GRIFFITH K IRREV TUW FBO CHARITIES

41-0024-01-2

Investment Review Settlement Date Positions

Frozen Date: 05/31/2011

<u>Par / Shares</u>	<u>Description</u> <u>CUSIP Number</u>	<u>Price</u> <u>Price Date</u>	<u>Tax Cost</u> <u>Carrying Value</u>	<u>Market Value</u> <u>Accrual</u>
FIXED INCOME				
Mutual Funds - Fixed				
1,454.492	FIDELITY HIGH INCOME FUND #455 316146-40-6	9.180000 05/31/2011	13,048.24 13,048.24	13,352.24 68,230.00
2,990.566	PIMCO INVESTMENT GRADE CORP BOND FUND CLASS I 722005-81-6	10.810000 05/31/2011	35,011.33 35,011.33	32,328.02 137,740.00
1,911.762	TD ASSET MGMT SHORT TERM BOND #4 87237U-87-3	10.260000 05/31/2011	19,616.94 19,616.94	19,614.68 23,880.00
2,188.031	VANGUARD INTERMEDIATE TERM TREASURY FUND #35 922031-80-2	11.570000 05/31/2011	26,134.79 26,134.79	25,315.52 46,100.00
1,000.865	VANGUARD INFLATION PROTECTED SEC 119 922031-86-9	13.520000 05/31/2011	13,577.94 13,577.94	13,531.69 0.00000
Total Mutual Funds - Fixed			107,389.24 107,389.24	104,142.15 275,950.00
Total FIXED INCOME			107,389.24 107,389.24	104,142.15 275,950.00

GRIFFITH K IRREV TUW FBO CHARITIES

41-0024-01-2

Investment Review Settlement Date Positions

Frozen Date: 05/31/2011

<u>Par / Shares</u>	<u>Description</u> <u>CUSIP Number</u>	<u>Price</u> <u>Price Date</u>	<u>Tax Cost</u> <u>Carrying Value</u>	<u>Market Value</u> <u>Accrual</u>
EQUITIES				
Mutual Funds - Equities				
1,552.074	ASTON FDS MONTAG & CALDWELL GROWTH FUND CL I 00078H-28-1	25.650000 05/31/2011	35,919.27 35,919.27	39,810.70 0.00000
347.841	INVESCO INTERNATIONAL GROWTH FUND INSTITUTIONAL CLASS 008882-77-1	30.110000 05/31/2011	9,520.42 9,520.42	10,473.49 0.00000
82.294	BUFFALO SMALL CAP FD #1444 119804-10-2	27.960000 05/31/2011	1,975.87 1,975.87	2,300.94 0.00000
341.017	DODGE & COX STK FD #145 256219-10-6	116.920000 05/31/2011	34,190.38 34,190.38	39,871.71 0.00000
132.555	FIDELITY SELECT PORTFOLIOS SELECT GOLD PT FUND #41 316390-78-0	49.360000 05/31/2011	7,072.67 7,072.67	6,542.91 0.00000
159.876	HARBOR INTERNATIONAL FD- INST CL FUND #11 411511-30-6	65.550000 05/31/2011	9,555.78 9,555.78	10,479.87 0.00000
88.956	HARTFORD MUT FDS INC MIDCAP FD CL Y FD# 229 416645-68-7	26.210000 05/31/2011	1,820.03 1,820.03	2,331.54 0.00000
94.948	PERKINS MID CAP VALUE FUND INSTITUTIONAL CLASS 47103C-24-1	24.250000 05/31/2011	2,019.55 2,019.55	2,302.49 0.00000
103.324	ROYCE SPECIAL EQUITY FD #327 780905-78-2	21.820000 05/31/2011	1,995.19 1,995.19	2,254.53 0.00000
Total Mutual Funds - Equities			104,069.16 104,069.16	116,368.18 0.00000
Total EQUITIES			104,069.16 104,069.16	116,368.18 0.00000
Total Settlement Date Position			219,751.97 219,751.97	228,803.90 276.16000

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

=====

DESCRIPTION	AMOUNT
-----	-----
CASH ACCRUAL ADJUSTING ENTRY	184.
ROUNDING ADJUSTMENT	6.

TOTAL	190.
	=====

FORM 990PF, PART VII-A, LINE 14 - BOOKS ARE IN THE CARE OF
=====

NAME: TD WEALTH MANAGEMENT
KELLY A ROSS

ADDRESS: 111 MAIN ST.
BURLINGTON, VT 05401

TELEPHONE NUMBER: (802)860-5523

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

TD WEALTH MANAGEMENT

ADDRESS:

111 MAIN ST.

BURLINGTON, VT

TITLE:

TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

COMPENSATION 4,074.

TOTAL COMPENSATION:

4,074.

=====

RECIPIENT NAME:
 RICHARD SMITH
 ADDRESS:
 TRUSTEE OF SILAS L. GRIFFITH
 WALLINGFORD, VT 05773
 RELATIONSHIP:
 NONE
 PURPOSE OF GRANT:
 CHARITABLE
 FOUNDATION STATUS OF RECIPIENT:
 CHARITY
 AMOUNT OF GRANT PAID 104.

RECIPIENT NAME:
 SALVATION ARMY
 ATTN: MR. RICHARD D ALLEN
 ADDRESS:
 NORTHERN N/E DIVISION
 WEST NYACK, NY 10994
 RELATIONSHIP:
 NONE
 PURPOSE OF GRANT:
 CHARITABLE
 FOUNDATION STATUS OF RECIPIENT:
 CHARITY
 AMOUNT OF GRANT PAID 208.

RECIPIENT NAME:
 YOUNG WOMENS CHRISTIAN ASSOC
 ADDRESS:
 ATTN: REBECCA GURNEY
 S. HERO, VT 05486
 RELATIONSHIP:
 NONE
 PURPOSE OF GRANT:
 CHARITABLE
 FOUNDATION STATUS OF RECIPIENT:
 CHARITY
 AMOUNT OF GRANT PAID 519.

RECIPIENT NAME:
TOWN OF DANBY
ADDRESS:
PO BOX 231
DANBY, VT 05739
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHARITABLE
FOUNDATION STATUS OF RECIPIENT:
MUNICIPAL
AMOUNT OF GRANT PAID 779.

RECIPIENT NAME:
TOWN OF MOUNT TABOR
ADDRESS:
ATTN: WILLIAM BASSO II, TREASURER
MOUNT TABOR, VT 05739-0245
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHARITABLE
FOUNDATION STATUS OF RECIPIENT:
MUNICIPAL
AMOUNT OF GRANT PAID 260.

RECIPIENT NAME:
FIRST CONGREGATIONAL CHURCH OF DANBY
ADDRESS:
ATTN: TRUSTEES, MARION STONE
DANBY, VT 05739-9659
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHARITABLE
FOUNDATION STATUS OF RECIPIENT:
CHARITY
AMOUNT OF GRANT PAID 519.

RECIPIENT NAME:

S L GRIFFITH MEMORIAL LIBRARY

ADDRESS:

ATTN: ROGER M BROMLEY

DANBY, VT 05739

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

CHARITABLE

FOUNDATION STATUS OF RECIPIENT:

EDUCATIONAL

AMOUNT OF GRANT PAID 1,038.

RECIPIENT NAME:

DANBY MOUNT TABOR FIRE DIST #1

ADDRESS:

ATTN: SHEILA H CARR, TREASURER

DANBY, VT 05739

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

CHARITABLE

FOUNDATION STATUS OF RECIPIENT:

MUNCIPAL

AMOUNT OF GRANT PAID 208.

RECIPIENT NAME:

NORTHFIELD MOUNT HERMON SCHOOL

ADDRESS:

ATTN: CFO'S

MOUNT HERMON, MA 01354

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

CHARITABLE

FOUNDATION STATUS OF RECIPIENT:

EDUCATIONAL

AMOUNT OF GRANT PAID 311.

GRIFFITH, KATHERINE T GENERAL TUW 410024012

03-6004364

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

AMERICAN BIBLE SOCIETY

ADDRESS:

LEGACY DEPARTMENT

NEW YORK, NY 10023

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

CHARITABLE

FOUNDATION STATUS OF RECIPIENT:

CHARITY

AMOUNT OF GRANT PAID 104.

RECIPIENT NAME:

THE FRIENDS OF VALLEY FORGE PARK

ATTN: DON R. NAMOILI, CHARIMAN

ADDRESS:

1400 N OUTER LINE DRIVE

KING OF PRUSSA, PA 19406

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

CHARITABLE

FOUNDATION STATUS OF RECIPIENT:

CULTURAL

AMOUNT OF GRANT PAID 311.

TOTAL GRANTS PAID:

4,361.

=====

STATEMENT 12

GRIFFITH, KATHERINE T GENERAL TUV 410024012
 Schedule D Detail of Short-term Capital Gains and Losses

03-6004364

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
CAPITAL GAINS (LOSSES) HELD FOR INVESTMENT	PURPOSES				
60.062 ASTON FDS MONTAG & CALDWELL GROWTH FUND CL I	10/18/2010	01/18/2011	1,491.00	1,390.00	101.00
33.771 INVESCO INTERNATIONAL AL GROWTH FUND INSTITUTIONAL CLASS	10/18/2010	01/18/2011	957.00	924.00	33.00
12.832 INVESCO INTERNATIONAL AL GROWTH FUND INSTITUTIONAL CLASS	10/18/2010	04/18/2011	373.00	351.00	22.00
51.388 ARTIO TOTAL RETURN	04/16/2010	10/18/2010	732.00	701.00	31.00
6.536 BARON ASSET FD	02/10/2010	10/18/2010	297.00	259.00	38.00
8.275 BARON ASSET FD	07/16/2010	10/18/2010	376.00	351.00	25.00
6.665 BUFFALO SMALL CAP FD	10/18/2010	01/18/2011	181.00	160.00	21.00
.97 BUFFALO SMALL CAP FD	10/18/2010	04/18/2011	26.00	23.00	3.00
74.653 COLUMBIA VALUE & RESTRUCTURING FUND #2051	02/10/2010	10/18/2010	3,421.00	3,002.00	419.00
50.908 COLUMBIA VALUE & RESTRUCTURING FUND #2051	07/16/2010	10/18/2010	2,333.00	2,082.00	251.00
7.097 DAVIS NY VENTURE FD					
INC CL Y FUND 909	02/10/2010	10/18/2010	233.00	212.00	21.00
18.718 DAVIS NY VENTURE FD					
INC CL Y FUND 909	06/11/2010	10/18/2010	615.00	568.00	47.00
28.215 DODGE & COX STK FD	10/18/2010	01/18/2011	3,155.00	2,829.00	326.00
2.262 DODGE & COX STK FD	10/18/2010	04/18/2011	253.00	227.00	26.00
112.63 FEDERATED TOTAL RETURN BOND FUND INSTITUTIONAL SHARES	04/16/2010	10/18/2010	1,287.00	1,247.00	40.00
12.618 FIDELITY ADVISOR EMERGING MARKET CLASS I FUND #1290	06/11/2010	10/18/2010	301.00	248.00	53.00
2.913 FIDELITY HIGH INCOME	10/18/2010	04/18/2011	27.00	26.00	1.00
6.983 FIDELITY SELECT PORTFOLIOS SELECT GOLD PT FUND #41	10/18/2010	04/18/2011	357.00	378.00	-21.00
16.071 HARBOR INTERNATIONAL	10/18/2010	01/18/2011	997.00	961.00	36.00
4.678 HARBOR INTERNATIONAL	10/18/2010	04/18/2011	293.00	280.00	13.00
151.968 HARTFORD MUT FDS INC MIDCAP FD CL Y FD# 229	06/11/2010	10/18/2010	3,334.00	3,112.00	222.00
Totals					

JSA
 OF0971 2 000

03-6004364

[illegible]

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
CAPITAL GAINS (LOSSES) HELD FOR INVESTMENT PURPOSES					
35.195 ARTIO TOTAL RETURN	08/07/2006	06/11/2010	480.00	458.00	22.00
7.01 ARTIO TOTAL RETURN	08/07/2006	07/16/2010	97.00	91.00	6.00
645.08 ARTIO TOTAL RETURN	10/16/2009	10/18/2010	9,186.00	8,413.00	773.00
225.829 BARON ASSET FD	07/16/2009	10/18/2010	10,253.00	8,569.00	1,684.00
427.935 COLUMBIA VALUE & RESTRUCTURING FUND #2051	01/16/2009	10/18/2010	19,612.00	17,284.00	2,328.00
3.413 DAVIS NY VENTURE FD INC CL Y FUND 909	10/16/2007	07/16/2010	102.00	142.00	-40.00
306.838 DAVIS NY VENTURE FD INC CL Y FUND 909	01/16/2009	10/18/2010	10,089.00	11,009.00	-920.00
90.19 FEDERATED TOTAL RETURN BOND FUND INSTITUTIONAL SHARE	09/27/2004	06/11/2010	997.00	973.00	24.00
17.385 FEDERATED TOTAL RETURN BOND FUND INSTITUTIONAL SHARE	09/27/2004	07/16/2010	195.00	188.00	7.00
1604.922 FEDERATED TOTAL RETURN BOND FUND INSTITUTIONAL SHARE	01/16/2009	10/18/2010	18,344.00	17,298.00	1,046.00
4.798 FIDELITY ADVISOR EMERGING MARKET CLASS I FUND #1290	10/16/2007	07/16/2010	96.00	114.00	-18.00
138.353 FIDELITY ADVISOR EMERGING MARKET CLASS I FUND #1290	01/16/2009	10/18/2010	3,302.00	1,870.00	1,432.00
18.944 MFS RESEARCH INTERNATIONAL FUND I FUND #899	03/17/2008	07/16/2010	249.00	323.00	-74.00
327.131 MFS RESEARCH INTERNATIONAL FUND I FUND #899	01/16/2009	10/18/2010	5,044.00	4,279.00	765.00
189.313 PIMCO TOTAL RETURN	03/29/2004	06/11/2010	2,105.00	2,044.00	61.00
43.237 PIMCO TOTAL RETURN	03/29/2004	07/16/2010	490.00	467.00	23.00
3167.005 PIMCO TOTAL 847.787 T ROWE PRICE	10/16/2009	10/18/2010	37,022.00	33,954.00	3,068.00
25.803 TD ASSET MGMT SHORT	01/16/2009	10/18/2010	25,552.00	20,669.00	4,883.00
877.595 VANGUARD SELECTED	01/16/2009	06/11/2010	264.00	261.00	3.00
156.741 VANGUARD GNMA	04/16/2009	10/18/2010	15,515.00	10,190.00	5,325.00
12.642 VANGUARD GNMA FIXED	03/17/2008	06/11/2010	1,715.00	1,653.00	62.00
Totals	03/17/2008	07/16/2010	140.00	133.00	7.00

FEDERAL CAPITAL GAIN DIVIDENDS
=====LONG-TERM CAPITAL GAIN DIVIDENDS

15% RATE CAPITAL GAIN DIVIDENDS

ASTON FDS MONTAG & CALDWELL GROWTH FUND CL I	66.00
FIDELITY SELECT PORTFOLIOS SELECT GOLD PT FUN	340.00
PIMCO INVESTMENT GRADE CORP BOND FUND CLASS I	976.00
TD ASSET MGMT SHORT TERM BOND #4	30.00
VANGUARD INTERM-TERM TREAS FD #35	260.00

TOTAL 15% RATE CAPITAL GAIN DIVIDENDS

1,671.00

TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS

1,671.00
=====