



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form 990-PF

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545 0052

2010

Department of the Treasury
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning 5/01, 2010, and ending 4/30, 2011

G Check all that apply ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name changeTHE MCINERNEY FAMILY FOUNDATION
16 BLUFF POINT
WESTPORT, CT 06880

A Employer identification number

13-3949885

B Telephone number (see the instructions)

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐H Check type of organization. ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year
(from Part II, column (c), line 16)

\$ 1,065,122.

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____

(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and

Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

REVENUE	1 Contributions, gifts, grants, etc., received (att sch)	5,000.			
	2 Ck ☐ if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments	24.	24.	24.	
	4 Dividends and interest from securities	11,912.	11,912.	11,912.	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	58,216.			
	b Gross sales price for all assets on line 6a	373,980.			
	7 Capital gain net income (from Part IV, line 2)		58,216.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit/(loss) (att sch)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	75,152.	70,152.	11,936.		
ADMINISTRATIVE AND OPERATING EXPENSES	13 Compensation of officers, directors, trustees, etc	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch) See St 1	1,550.	1,550.		
	c Other prof fees (attach sch)				
	17 Interest				
	18 Taxes (attach schedule)(see instr.) See Stm 2	177.			
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) See Statement 3	11,505.	11,505.		
	24 Total operating and administrative expenses. Add lines 13 through 23	13,232.	13,055.		
25 Contributions, gifts, grants paid Part XV	65,000.			65,000.	
26 Total expenses and disbursements. Add lines 24 and 25	78,232.	13,055.	0.	65,000.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-3,080.				
b Net investment income (if negative, enter 0)		57,097.			
c Adjusted net income (if negative, enter 0)			11,936.		

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end of year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
A S S E T S	1 Cash – non-interest-bearing			
	2 Savings and temporary cash investments	6,835.	10,492.	10,492.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7 Other notes and loans receivable (attach sch.)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U.S. and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule)	682,764.	676,026.	1,054,630.
	c Investments – corporate bonds (attach schedule)			
	11 Investments – land, buildings, and equipment basis			
L I A B I L I T I E S	Less: accumulated depreciation (attach schedule)			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule)			
	14 Land, buildings, and equipment basis			
	Less: accumulated depreciation (attach schedule)			
	15 Other assets (describe)			
	16 Total assets (to be completed by all filers – see instructions. Also, see page 1, item I)	689,599.	686,518.	1,065,122.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
N E T A S S E T S	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
F U N D A S S E T B A L A N C E S	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	689,599.	686,518.	
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see the instructions)	689,599.	686,518.	
	31 Total liabilities and net assets/fund balances (see the instructions)	689,599.	686,518.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	689,599.
2 Enter amount from Part I, line 27a	2	-3,080.
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	686,519.
5 Decreases not included in line 2 (itemize) <u>See Statement 4</u>	5	1.
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	686,518.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a SEE US TRUST STATEMENTS ATTACHED	P	Various	Various
b SECURITIES LITIGATION SETTLEMENT-WORLDCO	P	Various	Various
c SECURITIES LITIGATION SETTLEMENT-12 TECH	P	Various	Various
d SECURITIES LITIGATION SETTLEMENT - RENAISSANCE HLDG	P	Various	Various
e SECURITIES LITIGATION SETTLEMENT-PEREGRINE SYSTEM	P	Various	Various

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 369,537.		315,764.	53,773.
b 197.			197.
c 42.			42.
d 276.			276.
e 3,928.			3,928.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			53,773.
b			197.
c			42.
d			276.
e			3,928.

2 Capital gain net income or (net capital loss).	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	58,216.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).	If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0- in Part I, line 8	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2009	171,323.	814,985.	0.210216
2008	142,943.	1,095,539.	0.130477
2007	130,147.	1,480,684.	0.087897
2006	130,000.	1,501,741.	0.086566
2005	163,086.	1,349,162.	0.120879

2 Total of line 1, column (d)	2	0.636035
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.127207
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	976,835.
5 Multiply line 4 by line 3	5	124,260.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	571.
7 Add lines 5 and 6	7	124,831.
8 Enter qualifying distributions from Part XII, line 4	8	65,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instr.)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	1,142.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	1,142.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1,142.
6	Credits/Payments		
a	2010 estimated tax pmts and 2009 overpayment credited to 2010	6a	
b	Exempt foreign organizations – tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	0.
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	2.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,144.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be: Credited to 2011 estimated tax ☐ Refunded ☐	11	

Part VII-A Statements Regarding Activities

	Yes	No
1 a		X
b		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		
1 c		X
d		
e		
2		X
3		X
4 a		X
4 b		N/A
5		X
6		X
7	X	
8 a		
8 b	X	
9		X
10		X

Part VII-A Statements Regarding Activities (Continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <u>N/A</u>				
14	The books are in care of <u>TRUSTEES</u> Telephone no. <u></u>			
Located at <u>16 BLUFF POINT WESTPORT CT</u> ZIP + 4 <u>06880</u>				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	N/A	☐	N/A
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country <u></u>				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly).		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010?	☐ Yes ☒ No	
If 'Yes,' list the years <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u> .		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see the instructions.)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u> .		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If 'Yes,' did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

BAA

Form 990-PF (2010)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b X

If 'Yes' to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
THOMAS E MCINERNEY 16 BLUFF POINT WESTPORT, CT 06880	Trustee 0	0.	0.	0.
PAULA MCINERNEY 16 BLUFF PPOINT WESTPORT, CT 06880	Trustee 0	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE'.

[illegible]

Total number of others receiving over \$50,000 for professional services	0
---	---

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1	N/A	
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 <u>N/A</u>	
2	
All other program-related investments See instructions.	
3	
Total. Add lines 1 through 3	0

BAA

Form 990-PF (2010)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a Average monthly fair market value of securities	1a	983,047.
b Average of monthly cash balances	1b	8,664.
c Fair market value of all other assets (see instructions)	1c	
d Total (add lines 1a, b, and c)	1d	991,711.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	991,711.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	14,876.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	976,835.
6 Minimum investment return. Enter 5% of line 5	6	48,842.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6		1	48,842.
2a Tax on investment income for 2010 from Part VI, line 5	2a	1,142.	
b Income tax for 2010 (This does not include the tax from Part VI.)	2b		
c Add lines 2a and 2b	2c	1,142.	
3 Distributable amount before adjustments Subtract line 2c from line 1	3	47,700.	
4 Recoveries of amounts treated as qualifying distributions	4		
5 Add lines 3 and 4	5	47,700.	
6 Deduction from distributable amount (see instructions)	6		
7 Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	47,700.	

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	65,000.
b Program-related investments — total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	65,000.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	65,000.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				47,700.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.	
b Total for prior years 20__, 20__, 20__		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005 97,166.				
b From 2006 58,116.				
c From 2007 58,449.				
d From 2008 88,280.				
e From 2009 130,928.				
f Total of lines 3a through e	432,939.			
4 Qualifying distributions for 2010 from Part XII, line 4. ► \$ 65,000.				
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2010 distributable amount				47,700.
e Remaining amount distributed out of corpus	17,300.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	450,239.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount – see instructions		0.		
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount – see instructions			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see instructions)	97,166.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	353,073.			
10 Analysis of line 9				
a Excess from 2006 58,116.				
b Excess from 2007 58,449.				
c Excess from 2008 88,280.				
d Excess from 2009 130,928.				
e Excess from 2010 17,300.				

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► Attach to Form 990, 990-EZ, or 990-PF

OMB No 1545-0047

2010

Name of the organization

THE MCINERNEY FAMILY FOUNDATION

Employer identification number

13-3949885

Organization type (check one)

Filers of:

Form 990 or 990-EZ

Section:

- ☐ 501(c)(____) (enter number) organization
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II)

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc, purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc, purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc, contributions of \$5,000 or more during the year ► \$ _____

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2 of their Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2010)

Name of organization

Employer identification number

THE MCINERNEY FAMILY FOUNDATION

13-3949885

Part I Contributors (see instructions.)

(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	THOMAS E MCINERNEY 16 BLUFF POINT WESTPORT, CT 06880	\$ 5,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Employer identification number

13-3949885

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	N/A		
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

Schedule B (Form 990, 990-EZ, or 990-PF) (2010)

Employer identification number

13-3949885

For organizations completing Part III, enter total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year (Enter this information once. See instructions.)

► \$ N/A

BAA TEEA0704L 06/23/09 Schedule B (Form 990, 990-EZ, or 990-PF) (2010)

2010

Federal Statements

Page 1

THE MCINERNEY FAMILY FOUNDATION

13-3949885

Statement 1
Form 990-PF, Part I, Line 16b
Accounting Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
ACCOUNTING	\$ 1,550.	\$ 1,550.		
Total	\$ 1,550.	\$ 1,550.	\$ 0.	\$ 0.

Statement 2
Form 990-PF, Part I, Line 18
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
FEDERAL INCOME TAX	\$ 177.			
Total	\$ 177.	\$ 0.	\$ 0.	\$ 0.

Statement 3
Form 990-PF, Part I, Line 23
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
US TRUST INVESTMENT MGMT FEES	\$ 11,505.	\$ 11,505.		
Total	\$ 11,505.	\$ 11,505.	\$ 0.	\$ 0.

Statement 4
Form 990-PF, Part III, Line 5
Other Decreases

ROUNDING				\$ 1.
Total				\$ 1.

Statement 5
Form 990-PF, Part XV, Line 2a-d
Application Submission Information

Name of Grant Program:	MCINERNEY FAMILY FOUNDATION		
Name:	TRUSTEES		
Care Of:	285 RIVERSIDE AVENUE STE 350		
Street Address:	RIVERSIDE, CT 06880		
City, State, Zip Code:			
Telephone:			
Form and Content:	WRITE TO RECEIVE INFORMATION AS TO WHAT FORMAT INFORMATION MUST BE SUBMITTED		
Submission Deadlines:	NONE		

2010

Federal Statements

Page 2

THE MCINERNEY FAMILY FOUNDATION

13-3949885

Statement 5 (continued)
Form 990-PF, Part XV, Line 2a-d
Application Submission Information

Restrictions on Awards: NONE

Statement 6
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
FUTURES FOR EDUCATION FDN ,	NONE		TO FURTHER THE EDUCATIONAL PURPOSES OF THE ORGANIZATION	\$ 5,000.
NEW YORK CARES ,	NONE		AID FOR DISADVANTAGED PERSONS	10,000.
GILDER LEHRMAN INSTITUTE ,	NONE		TO ADVANCE THE EDUCATIONAL GOALS OF THE STUDY OF AMERICAN HISTORY	25,000.
SAINT PETERS COLLEGE-YOU CAN DO IT ,				10,000.
MONROE BOARD OF EDUCATION ,				5,000.
MT SIANI HOSPITAL-REACH OUT AND READ ,				10,000.
Total \$				<u>65,000.</u>

YB0172407

Trade Date



Activity Detail

Account: 41-01-100-5829762 HF MCINERNEY FAMILY FDN

May 01, 2010 through May 31, 2010

Date	Description	Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
Purchases					
05/20/10	ALLEGHENY TECHNOLOGIES INC J.P. MORGAN SECURITIES INC. 05/17 PURC 300.00 SHR @ 54.0800000 MISC.00 COMM 7.50	-16,231.50	\$16,231.50		
05/20/10	AMERICAN SUPERCONDUCTOR CORP GOLDMAN SACHS EXEC & CLEARING LP 05/17 PURC 350.00 SHR @ 32.2800000 MISC.00 COMM 8.75	-11,306.75	11,306.75		
05/21/10	UTD O/S BANK SGD1 ISIN SG1M31001989 SINGAPORE INSTINET 05/18 PURC 350.00 SHR @ 13.6546762 EXP 16.61 FX 05/18 @ 1.38 SGD	-4,795.74	4,795.74		
Total Purchases		-\$32,333.99	\$32,333.99	\$0.00	\$0.00

Sales and Maturities

05/20/10	ALCOA INC CREDIT SUISSE FIRST BOSTON 05/17 SALE 900.00 SHR @ 12.3000000 MISC.19 COMM 27.00	\$11,042.81	-\$15,636.26	-\$2,691.13	-\$1,902.32
05/20/10	LEUCADIA NATL CORP CREDIT SUISSE FIRST BOSTON 05/17 SALE 200.00 SHR @ 23.4000000 MISC.08 COMM 6.00	4,673.92	-5,158.10	-484.18	
05/20/10	PFIZER INC J.P. MORGAN SECURITIES INC. 05/17 SALE 200.00 SHR @ 16.2100000 MISC.06 COMM 5.00	3,236.94	-3,837.00	-600.06	

Trade D

Trade Date

U.S. TRUST



Bank of America Private Wealth Management

Account: 41-01-100-5829762 HF MCINERNEY FAMILY FDN

Activity Detail

May 01, 2010 through May 31, 2010

Date	Description	Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
Sales and Maturities (cont)					
05/21/10	NOBLE GROUP LTD ISIN BMG6542T190 BERMUDA J.P. MORGAN SECURITIES INC. 05/18 SALE 6,000.00 SHR @ 1.32048536 EXP 26.94 FX 05/19 @ 1.401 SGD	7,895.97	-6,470.94		1,425.03
05/21/10	WILMAR INTL LTD ISIN SG1T56S30848 SINGAPORE JP MORGAN SECURITIES, INC. 05/18 SALE 300.00 SHR @ 4.49778708 EXP 4.59 FX 05/19 @ 1.401 SGD	1,344.75	-1,060.09		284.66
Total Sales and Maturities		\$28,194.39	-\$32,162.39	-\$3,775.37	-\$192.63

Net Automated Money Market Transactions

05/03/10	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT MONEY MARKET PURCHASE	-\$248.84	\$248.84
05/05/10	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT MONEY MARKET PURCHASE	-538.28	538.28
05/14/10	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT MONEY MARKET SALE	954.36	-954.36
05/18/10	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT MONEY MARKET PURCHASE	-58.82	58.82
05/20/10	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT MONEY MARKET SALE	3,823.15	-3,823.15
05/21/10	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT MONEY MARKET PURCHASE	-59.40	59.40

4Q0235807



Trade Date

Activity Detail

Account: 41-01-100-5829762 HF MCINERNEY FAMILY FDN

June 01, 2010 through June 30, 2010

Date	Description	Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
Purchases (cont)					

06/23/10	FANUC JPY50 ISIN JP380240006 JAPAN CREDIT AGRICOLE INDOSUEZ 06/18 PURC 10.00 SHR @ 118.387631 EXP 2.37 FX 06/18 @ 90.55 JPY	-1,186.24	1,186.24		
----------	--	-----------	----------	--	--

Total Purchases		-\$13,740.74	\$13,740.74	\$0.00	\$0.00
-----------------	--	--------------	-------------	--------	--------

Sales and Maturities

06/16/10	NOBLE GROUP LTD ISIN BMG6542T1190 BERMUDA CASH IN LIEU OF 545 FRACTIONAL SHARE @ 1.2557 PER SHARE	\$0.68	-\$0.29		\$0.39
06/22/10	APACHE CORP GOLDMAN SACHS EXEC & CLEARING LP 06/17 SALE 60.00 SHR @ 96.4400000 MISC.10 COMM 1.50	5,784.80	-6,317.18		-532.38
06/22/10	NOVO-NORDISK A S ADR DENMARK J.P. MORGAN SECURITIES INC. 06/17 SALE 30.00 SHR @ 81.2900000 MISC.05 COMM .75	2,437.90	-752.93		1,684.97
06/22/10	ROLLS-ROYCE GROUP PLC SPONSORED ADR UNITED KINGDOM INSTINET 06/17 SALE 40.00 SHR @ 44.3200000 MISC.03 COMM 1.20	1,771.57	-1,589.60		181.97
06/22/10	VULCAN MATLS CO J.P. MORGAN SECURITIES INC. 06/17 SALE 50.00 SHR @ 46.9100000 MISC.04 COMM 1.25	2,344.21	-4,060.28		-1,716.07

Trade



Account: 41-01-100-5829762 HF MCINERNEY FAMILY FDN

Activity Detail

June 01, 2010 through June 30, 2010

Date	Description	Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
Sales and Maturities (cont)					
06/23/10	WILMAR INTL LTD ISIN SG1T56930848 SINGAPORE INSTINET 06/18 SALE 500.00 SHR @ 4 20103686 EXP 7.30 FX 06/18 @ 1.3888 SGD	2,093.22	-932.28		1,160.94
Total Sales and Maturities		\$14,432.38	-\$13,652.56	\$0.00	\$779.82

Net Automated Money Market Transactions

06/01/10	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT MONEY MARKET PURCHASE	-\$367.51	\$367.51		
06/07/10	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT MONEY MARKET PURCHASE	-241.10	241.10		
06/08/10	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT MONEY MARKET SALE	241.10	-241.10		
06/10/10	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT MONEY MARKET PURCHASE	-342.40	342.40		
06/14/10	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT MONEY MARKET SALE	138.36	-138.36		
06/15/10	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT MONEY MARKET PURCHASE	707.94	-707.94		
06/16/10	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT MONEY MARKET SALE	-0.68	0.68		
06/17/10	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT MONEY MARKET PURCHASE	-54.00	54.00		

M80234208



Trade Date

Activity Detail

Account: 41-01-100-5829762 HF MCINERNEY FAMILY FDN

Sept. 01, 2010 through Sept. 30, 2010

Date	Description	Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
Purchases (cont)					

Total Purchases **-\$25,238.22** **\$25,238.22** **\$0.00** **\$0.00**

Sales and Maturities

10/04/10	Pfizer Inc Goldman Sachs Exec & Clearing LP 09/29 Sale 500 SHR @ 17.4200 Misc. 15 Comm 12.50	\$8,697.35	-\$9,380.50	-\$683.15	
10/04/10	Quanta SVCS Inc Barclays Capital LE 09/29 Sale 800 SHR @ 19.2013 Misc. 26 Comm 20.00	15,340.78	-6,062.80		9,277.98
10/04/10	Vulcan Matls Co J.P. Morgan Securities Inc. 09/29 Sale 150 SHR @ 36.5700 Misc. 10 Comm 3.75	5,481.65	-8,031.96		-2,550.31
10/05/10	OLAM Intl Ltd ISIN SG1075923504 SINGAPORE Calyon Securities (USA) Inc 09/30 Sale 1,000 SHR @ 2.45254365 Misc 1.16 Comm 7.36	2,444.02	-2,005.23		438.79

Total Sales and Maturities **\$31,963.80** **-\$25,480.49** **-\$683.15** **\$7,166.46**

Net Automated Money Market Transactions

09/01/10	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT MONEY MARKET PURCHASE	-\$90.59	\$90.59		
09/10/10	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT MONEY MARKET PURCHASE	-816.48	816.48		

QK0172708

Trade Date



Activity Detail

Account: 41-01-100-5829762 HF MCINERNEY FAMILY FDN

Oct. 01, 2010 through Oct. 31, 2010

Date	Description	Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
Purchases (cont)					
10/27/10	ALEXION PHARMACEUTICALS INC BARCLAYS CAPITALLE 10/22 PURC 50.00 SHR @ 66.9500000 MISC.00 COMM 1.25	-3,348.75	3,348.75		
10/27/10	GEELY AUTOMOBILE HOLDINGS LTD ISIN KYG3777B1032 CAYMAN ISLANDS CALYON SECURITIES (USA) INC 10/25 PURC 20,000.00 SHR @ 0.55828015 EXP 39.97 FX 10/25 @ 7.7565 HKD	-11,205.58	11,205.58		
10/27/10	SENOMYX INC INSTINET 10/22 PURC 300.00 SHR @ 5.22670000 MISC.00 COMM 9.00	-1,577.01	1,577.01		
11/02/10	NOVOZYMES A/S B SHS COM ISIN DK0010272129 DENMARK INSTINET 10/28 PURC 150 SHR @ 136.070928 MISC.00 COMM 51.03	-20,461.67	20,461.67		
Total Purchases		-\$79,713.97	\$79,713.97	\$0.00	\$0.00

Sales and Maturities

10/04/10	VULCAN MATLS CO J.P. MORGAN SECURITIES INC. 09/29 SALE 150.00 SHR @ 36.5700000 MISC.10 COMM 3.75	\$5,481.65	-\$8,173.78			-\$2,692.13
10/04/10	VULCAN MATLS CO REVERSED PRIOR PERIOD POSTING 09/29 SALE 150 SHR @ 36.5700 MISC.10 COMM 3.75	-5,481.65	8,031.96			2,550.31

Trade Date



Trade Date

U.S. TRUST



Bank of America Private Wealth Management

Account: 41-01-100-5829762 HF MCINERNEY FAMILY FDN

Activity Detail

Oct. 01, 2010 through Oct. 31, 2010

Date	Description	Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
Sales and Maturities (cont)					
10/05/10	OLAM INTL LTD ISIN SG1Q75923504 SINGAPORE CALYON SECURITIES (USA) INC 09/30 SALE 1,000.00 SHR @ 2.45254365 EXP 8.52 FX 09/30 @ 1.317 SGD	2,444.02	-2,262.12		181.90
10/05/10	OLAM INTL LTD ISIN SG1Q75923504 SINGAPORE REVERSED PRIOR PERIOD POSTING 09/30 SALE 1,000 SHR @ 2.45254365 MISC 1.16 COMM 7.36	-2,444.02	2,005.23		-438.79
10/08/10	BRISTOL MYERS SQUIBB CO BARCLAYS CAPITAL LE 10/05 SALE 50.00 SHR @ 27.1500000 MISC .03 COMM 1.25	1,356.22	-1,178.00		178.22
10/08/10	ONEX CORP ISIN CA68272K1030 CANADA INSTINET 10/05 SALE 200.00 SHR @ 28.8922006 EXP 5.96 FX 10/06 @ 1.0065 CAD	5,772.48	-4,931.72	840.76	
10/08/10	NYSE EURONEXT J.P. MORGAN SECURITIES INC. 10/05 SALE 100.00 SHR @ 29.1200000 MISC .05 COMM 2.50	2,909.45	-6,807.05		-3,897.60
10/08/10	NOVO-NORDISK A S ADR DENMARK J.P. MORGAN SECURITIES INC. 10/05 SALE 50.00 SHR @ 97.6700000 MISC .09 COMM 1.25	4,882.16	-1,254.87		3,627.29
10/08/10	VULCAN MATLS CO J.P. MORGAN SECURITIES INC. 10/05 SALE 360.00 SHR @ 37.3602000 MISC .23 COMM 9.00	13,440.44	-19,276.70		-5,836.26

QK0172709



Trade Date

Activity Detail

Account: 41-01-100-5829762 HF MCINERNEY FAMILY FDN

Oct. 01, 2010 through Oct. 31, 2010

Date	Description	Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
Sales and Maturities (cont)					
10/12/10	OLAM INTL LTD ISIN SG1075923504 SINGAPORE CALYON SECURITIES (USA) INC 10/06 SALE 3,000.00 SHR @ 2.46143261 EXP 25.66 FX 10/07 @ 1.3081 SGD	7,358.64	-6,241.87		1,116.77
10/27/10	BORG WARNER INC J.P. MORGAN SECURITIES INC. 10/22 SALE 50.00 SHR @ 54.020000 MISC.05 COMM 1.25	2,699.70	-1,403.02		1,296.68
10/27/10	TENET HEALTHCARE CORP GOLDMAN SACHS EXEC & CLEARING LP 10/22 SALE 2,500.00 SHR @ 4.34020000 MISC.19 COMM 62.50	10,787.81	-13,837.50	-3,049.69	
10/28/10	OLAM INTL LTD ISIN SG1075923504 SINGAPORE CALYON SECURITIES (USA) INC 10/25 SALE 1,000.00 SHR @ 2.44433306 EXP 8.49 FX 10/26 @ 1.2975 SGD	2,435.90	-2,005.23		430.67
10/28/10	RHJ INTL ISIN BE0003815322 BELGIUM JP MORGAN SECURITIES, INC. 10/25 SALE 1,000.00 SHR @ 7.86371130 EXP 19.64 FX 10/28 @ 1.3847 EUR	7,844.06	-17,540.17		-9,696.11
11/02/10	RHJ INTL ISIN BE0003815322 BELGIUM J.P. MORGAN SECURITIES INC. 10/28 SALE 500 SHR @ 7.70323384 MISC.00 COMM 9.63	3,841.99	-8,752.05		-4,910.06
11/02/10	LAS VEGAS SANDS CORP J.P. MORGAN SECURITIES INC. 10/28 SALE 120 SHR @ 44.9312 MISC.10 COMM 3.00	5,388.64	-2,181.00	3,207.64	

Trade Date

Trade Date

Account: 41-01-100-5829762 HF MCINERNEY FAMILY FDN

Activity Detail

Oct. 01, 2010 through Oct. 31, 2010

Date	Description	Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
Sales and Maturities (cont)					
11/02/10	HONG KONG EXCHANGES & CLEARING LTD COM	4,371.00	-2,070.26		2,300.74
	ISIN HK0388045442 HONG KONG J.P. MORGAN SECURITIES INC.				
	10/29 SALE 200 SHR @ 21.9335027				
	MISC 4.74 COMM 10/97				
11/02/10	NOVO-NORDISK A S ADR	5,186.16	-1,254.88		3,931.28
	DENMARK J.P. MORGAN SECURITIES INC.				
	10/28 SALE 50 SHR @ 103.7500				
	MISC .09 COMM 1.25				
Total Sales and Maturities		\$78,274.65	-\$89,133.03	\$998.71	-\$11,857.09

Net Automated Money Market Transactions

10/01/10	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT	-\$154.63	\$154.63		
	MONEY MARKET PURCHASE				
10/04/10	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT	-4,281.56	4,281.56		
	MONEY MARKET PURCHASE				
10/05/10	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT	-2,444.02	2,444.02		
	MONEY MARKET PURCHASE				
10/08/10	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT	6,579.33	-6,579.33		
	MONEY MARKET SALE				
10/12/10	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT	-7,358.64	7,358.64		
	MONEY MARKET PURCHASE				

Trade Date

U.S. TRUST



Bank of America Private Wealth Management

Activity Detail

Account: 41-01-100-5829762 HF MCINERNEY FAMILY FDN

Nov. 01, 2010 through Nov. 30, 2010

Date	Description	Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
Income - Taxable (cont)					
Total Income		\$896.97	\$0.00	\$0.00	\$0.00
Disbursements					
Payments To/For Beneficiary					
11/17/10	TRANSFER TO DDA #XXXXXXXXXX0584 PER CLIENT'S REQUEST	-\$6,500.00			
11/19/10	TRANSFER TO DDA #XXXXXXXXXX0584 PER CLIENT'S REQUEST	7,500.00			
11/22/10	MCINERNEY FAMILY FOUNDATION TRANSFER TO DDA #XXXXXXXXXX0584 PER CLIENT'S REQUEST	-46,000.00			
MCINERNEY FAMILY FOUNDATION					
Total Payments To/For Beneficiary		-\$60,000.00	\$0.00	\$0.00	\$0.00
Total Disbursements					
		-\$60,000.00	\$0.00	\$0.00	\$0.00
Bank Fees					
11/15/10	ASSET FEES DUE	-\$1,012.73			
Total Bank Fees		-\$1,012.73	\$0.00	\$0.00	\$0.00
Sales and Maturities					

11/02/10 HONG KONG EXCHANGES & CLEARING
LTD COM
ISIN HK0388045442 HONG KONG
J.P. MORGAN SECURITIES INC.
10/29 SALE 200.00 SHR @ 21.9335027
EXP 15.71 FX 10/29 @ 7.7507 HKD

\$4,371.00 -\$3,875.97 \$495.03

U10171307

Trade Date



Activity Detail

Account: 41-01-100-5829762 HF MCINERNEY FAMILY FDN

Nov. 01, 2010 through Nov. 30, 2010

Date	Description	Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
Sales and Maturities (cont)					

11/02/10	HONG KONG EXCHANGES & CLEARING LTD COM	-4,371.00	2,070.26			-2,300.74
	ISIN HK0388045442 HONG KONG REVERSED PRIOR PERIOD POSTING 10/29 SALE 200 SHR @ 21.9335027 MISC 4.74 COMM 10.97					
11/16/10	BORG WARNER INC J.P. MORGAN SECURITIES INC. 11/15 SALE 215.00 SHR @ 58.4100000 MISC 22 COMM 6.45	12,551.48	-6,032.97			6,518.51
11/16/10	LAS VEGAS SANDS CORP J.P. MORGAN SECURITIES INC. 11/15 SALE 55.00 SHR @ 49.7600000 MISC 05 COMM 1.65	2,735.10	-999.63	1,735.47		
11/16/10	NYSE EURONEXT J.P. MORGAN SECURITIES INC. 11/15 SALE 295.00 SHR @ 28.8800000 MISC 15 COMM 8.85	8,510.60	-19,456.60			-10,946.00
11/16/10	NOVO-NORDISK A S ADR DENMARK J.P. MORGAN SECURITIES INC. 11/15 SALE 70.00 SHR @ 103.620000 MISC 13 COMM 2.10	7,251.17	-1,756.82			5,494.35
11/17/10	HONG KONG EXCHANGES & CLEARING LTD COM ISIN HK0388045442 HONG KONG INSTINET 11/16 SALE 565.00 SHR @ 23.5713622 EXP 47.77 FX 11/16 @ 7.7548 HKD	13,270.05	-6,751.35	410.69		6,108.01
11/18/10	UTD O/S BANK SGD1 ISIN SG1M31001969 SINGAPORE INSTINET 11/16 SALE 645.00 SHR @ 14.1632168 EXP 31.75 FX 11/17 @ 1.3044 SGD	9,103.52	-9,006.30	97.22		

Trade Date

U.S. TRUST



Bank of America Private Wealth Management

Activity Detail

Account: 41-01-100-5829762 HF MCINERNEY FAMILY FDN

Nov. 01, 2010 through Nov. 30, 2010

Date	Description	Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
Sales and Maturities (cont)					

Total Sales and Maturities

\$53,421.92	-\$45,809.38	\$2,738.41	\$4,874.13
-------------	--------------	------------	------------

Net Automated Money Market Transactions

11/01/10	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT MONEY MARKET PURCHASE	-\$462.74	\$462.74		
11/02/10	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT MONEY MARKET SALE	1,673.88	-1,673.88		
11/15/10	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT MONEY MARKET SALE	1,012.73	-1,012.73		
11/16/10	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT MONEY MARKET SALE	6,500.00	-6,500.00		
11/16/10	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT MONEY MARKET PURCHASE	23,548.35	23,548.35		
11/17/10	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT MONEY MARKET PURCHASE	-13,270.05	13,270.05		
11/18/10	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT MONEY MARKET PURCHASE	-9,103.52	9,103.52		
11/19/10	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT MONEY MARKET PURCHASE	-40.80	40.80		
11/22/10	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT MONEY MARKET PURCHASE	-393.43	393.43		

0A0260607

Trade Date

Account: 41-01-100-5829762 HF MCINERNEY FAMILY FDN

Activity Detail

Dec. 01, 2010 through Dec. 31, 2010

Date	Description	Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
Purchases (cont)					
12/13/10	GEELY AUTOMOBILE HOLDINGS LTD ISIN KYG3777B1032 CAYMAN ISLANDS J.P. MORGAN SECURITIES INC. 12/09 PURC 4,000.00 SHR @ 0.47738531 EXP 6.83 FX 12/09 @ 7.7715 HKD	-1,916.38	1,916.38		
12/13/10	SENOVIX INC INSTINET 12/08 PURC 400.00 SHR @ 6.13500000 MISC.00 COMM 12.00	-2,466.00	2,466.00		
12/14/10	NIDEC CORP ISIN JP3734800000 JAPAN CALYON SECURITIES (USA) INC 12/09 PURC 200.00 SHR @ 106.532146 EXP 42.61 FX 12/09 @ 83.52 JPY	-21,349.04	21,349.04		
Total Purchases		-\$30,961.91	\$30,961.91	\$0.00	\$0.00
Sales and Maturities					
12/13/10	BRISTOL MYERS SQUIBB CO J.P. MORGAN SECURITIES INC. 12/08 SALE 550.00 SHR @ 25.8101000 MISC.24 COMM 13.75	\$14,181.57	-\$12,922.00		\$1,259.57
12/13/10	GOLDCORP INC NEW COM CANADA BARCLAYS CAPITAL LE 12/08 SALE 320.00 SHR @ 45.4000000 MISC.25 COMM 8.00	14,519.75	-5,806.55		8,713.20
Total Sales and Maturities		\$28,701.32	-\$18,728.55	\$0.00	\$9,972.77

7H0163107

Trade Date



Activity Detail

Account: 41-01-100-5829762 HF MCINERNEY FAMILY FDN

Feb. 01, 2011 through Feb. 28, 2011

Date	Description	Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
Sales and Maturities					
02/07/11	BORG WARNER INC GOLDMAN SACHS EXEC & CLEARING LP 02/02 SALE 40.00 SHR @ 66.0600000 MISC.06 COMIM 1.00	\$2,641.34	-\$1,122.41		\$1,518.93
02/07/11	GOLDCORP INC NEW COM CANADA UBS SECURITIES LLC 02/02 SALE 220.00 SHR @ 40.7200000 MISC.18 COMIM 5.50	8,952.72	-3,011.80		5,940.92
02/18/11	HONG KONG EXCHANGES & CLEARING LTD COM ISIN HK0388045442 HONG KONG J.P. MORGAN SECURITIES INC. 02/16 SALE 300.00 SHR @ 21.6174582 EXP 23.21 FX 02/16 @ 7.79 HKD	6,462.02	-3,105.39		3,356.63
Total Sales and Maturities		\$18,056.08	-\$7,239.60	\$0.00	\$10,816.48

Net Automated Money Market Transactions

02/01/11	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT MONEY MARKET PURCHASE	\$0.53	\$0.53		
02/02/11	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT MONEY MARKET PURCHASE	-20.67	20.67		
02/07/11	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT MONEY MARKET PURCHASE	-9,925.76	9,925.76		
02/09/11	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT MONEY MARKET SALE	10,023.20	-10,023.20		

Trade

Trade Date

U.S. TRUST

Bank of America Private Wealth Management

Account: 41-01-100-5829762 HF MCINERNEY FAMILY FDN

Activity Detail

Apr. 01, 2011 through Apr. 30, 2011

Date	Description	Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
Purchases (cont)					
04/14/11	JARDINE STRATEGIC HLDGS LTD ISIN BMG507641022 BERMUDA INSTINET	-14,100.48	14,100.48		
04/20/11	04/11 PURC 500.00 SHR @ 28.1033000 EXP 48.83 FX 04/11 @ 1.0 USD ANHEUSER BUSCH INBEV SA/NV SPONSORED ADR	-24,256.36	24,256.36		
04/20/11	GOLDMAN SACHS EXEC & CLEARING LP 04/15 PURC 400.00 SHR @ 60.6159000 MISC.00 COMM 10.00	-9,934.10	9,934.10		
04/21/11	REGENERON PHARMACEUTICALS CREDIT SUISSE FIRST BOSTON 04/15 PURC 220.00 SHR @ 45.1300000 MISC.00 COMM 5.50	-6,199.07	6,199.07		
04/21/11	JARDINE STRATEGIC HLDGS LTD ISIN BMG507641022 BERMUDA CALYON SECURITIES (USA) INC 04/18 PURC 220.00 SHR @ 28.0800000 EXP 21.46 FX 04/18 @ 1.0 USD				
Total Purchases		-\$111,837.00	\$111,837.00	\$0.00	\$0.00

Sales and Maturities

04/07/11	BERKSHIRE HATHAWAY INC DEL NEW CL B COM CREDIT SUISSE FIRST BOSTON 04/04 SALE 210.00 SHR @ 83.9400000 MISC.34 COMM 5.25	\$17,621.81	-\$15,109.90			\$2,511.91
04/07/11	BORG WARNER INC CREDIT SUISSE FIRST BOSTON 04/04 SALE 60.00 SHR @ 81.3000000 MISC.10 COMM 1.50	4,876.40	-1,683.62			3,192.78

FR0165508

Trade Date



Account: 41-01-100-5829762 HIF MCINERNEY FAMILY FDN

Activity Detail

Apr. 01, 2011 through Apr. 30, 2011

Date	Description	Cash	Tax Cost	Short-term		Long-term
				Realized Gain/Loss	Realized Gain/Loss	
04/07/11	GOLDCORP INC NEW COM CANADA BERNSTEIN, SANFORD C., & CO, INC 04/04 SALE 130.00 SHR @ 49.3800000 MISC .13 COMM 325	6,416.02	-1,779.70			4,636.32
04/07/11	NYSE EURONEXT BERNSTEIN, SANFORD C., & CO, INC 04/04 SALE 605.00 SHR @ 39.0900000 MISC .46 COMM 15.13	23,633.86	-23,779.38			-145.52
04/08/11	HONG KONG EXCHANGES & CLEARING LTD COM ISIN HK0388045442 HONG KONG INSTINET 04/06 SALE 100.00 SHR @ 23.3530622 EXP 8.36 FX 04/06 @ 7.772 HKD	2,326.95	-1,035.13			1,291.82
04/13/11	BORG WARNER INC CREDIT SUISSE FIRST BOSTON 04/08 SALE 20.00 SHR @ 75.5800000 MISC .03 COMM .50	1,511.07	-561.21			949.86
04/13/11	GOLDCORP INC NEW COM CANADA BERNSTEIN, SANFORD C., & CO, INC 04/08 SALE 20.00 SHR @ 54.2400000 MISC .03 COMM .50	1,084.27	-273.80			810.47
04/13/11	MONSANTO CO NEW COM UBS SECURITIES LLC 04/08 SALE 50.00 SHR @ 66.6700000 MISC .07 COMM 1.25	3,332.18	-2,803.73			528.45

Trade Date

U.S. TRUST



Bank of America Private Wealth Management

Activity Detail

Account: 41-01-100-5829762 HF MCINERNEY FAMILY FDN

Apr. 01, 2011 through Apr. 30, 2011

Date	Description	Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
Sales and Maturities (cont)					

04/13/11	NOVO-NORDISKA S ADR DENMARK GOLDMAN SACHS EXEC & CLEARING LP 04/08 SALE 20.00 SHR @ 128.480000 MISC.05 COMM .50	2,569.05	-501.95		2,067.10
04/14/11	UTD O/S BANK SGD1 ISIN SG1M31001969 SINGAPORE J.P. MORGAN SECURITIES INC. 04/11 SALE 705.00 SHR @ 15.4311167 EXP 26.11 FX 04/11 @ 1.2572 SGD	10,852.83	-9,752.69	592.19	507.95
04/20/11	RHJ INTL ISIN BE0003815322 BELGIUM INSTINET 04/15 SALE 1,000.00 SHR @ 8.09281396 EXP 20.23 FX 04/15 @ 1.4399 EUR	8,072.58	-9,648.59		-1,576.01
04/20/11	APACHE CORP UBS SECURITIES LLC 04/15 SALE 200.00 SHR @ 122.250000 MISC.47 COMM 5.00	24,444.53	-13,831.00		10,613.53
04/20/11	BORG WARNER INC GOLDMAN SACHS EXEC & CLEARING LP 04/15 SALE 20.00 SHR @ 71.550000 MISC.03 COMM .50	1,430.47	-561.21		869.26
04/20/11	NOVO-NORDISKA S ADR DENMARK J.P. MORGAN SECURITIES INC. 04/15 SALE 25.00 SHR @ 125.750000 MISC.07 COMM .63	3,143.05	-627.44		2,515.61
04/21/11	NOBLE GROUP LTD ISIN BMG6542T1190 BERMUDA INSTINET 04/18 SALE 3,000.00 SHR @ 1.73186145 EXP 18.06 FX 04/18 @ 1.2501 SGD	5,177.53	-1,608.76		3,568.77

FR0165509

Trade Date



Activity Detail

Account: 41-01-100-5829762 HF MCINERNEY FAMILY FDN

Apr. 01, 2011 through Apr. 30, 2011

Date	Description	Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
Sales and Maturities (cont)					

Total Sales and Maturities	\$116,492.60	-\$83,558.11	\$592.19	\$32,342.30
----------------------------	--------------	--------------	----------	-------------

Net Automated Money Market Transactions

04/01/11	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT	-\$165.24	\$165.24		
04/04/11	MONEY MARKET PURCHASE				
04/04/11	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT	3,000.00	-3,000.00		
04/04/11	MONEY MARKET SALE				
04/04/11	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT	4,000.00	-4,000.00		
04/05/11	MONEY MARKET SALE				
04/05/11	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT	-678.95	678.95		
04/07/11	MONEY MARKET PURCHASE				
04/07/11	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT	-28,471.00	28,471.00		
04/08/11	MONEY MARKET PURCHASE				
04/08/11	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT	28,258.73	-28,258.73		
04/13/11	MONEY MARKET SALE				
04/13/11	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT	-8,496.57	8,496.57		
04/14/11	MONEY MARKET PURCHASE				
04/14/11	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT	9,004.39	-9,004.39		
04/20/11	MONEY MARKET SALE				
04/20/11	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT	-1,902.46	1,902.46		