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Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

OMB No 1545-0052

2010

Department of the Treasury
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2010, or tax year beginning **MAY 1, 2010**, and ending **APR 30, 2011**

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation STANLEY AND CATHERINE MAAS FOUNDATION		A Employer identification number 11-2690167
Number and street (or P O box number if mail is not delivered to street address) 38 MALLARD RD	Room/suite	B Telephone number 516-627-1901
City or town, state, and ZIP code MANHASSET, NY 11030-1220		C If exemption application is pending, check here ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 2,745,082.	J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
(Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		60,714.			
2 Check ☐ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		76,278.	65,573.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		68,188.			
b Gross sales price for all assets on line 6a		798,424.			
7 Capital gain net income (from Part IV, line 2)			68,188.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		20.	20.	0.	STATEMENT 2
12 Total. Add lines 1 through 11		205,200.	133,781.	0.	
13 Compensation of officers, directors, trustees, etc		0.	0.	0.	0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		7,500.	0.	0.	0.
c Other professional fees STMT 4		31,627.	29,281.	0.	0.
17 Interest					
18 Taxes STMT 5		3,076.	0.	0.	0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses					
24 Total operating and administrative expenses. Add lines 13 through 23		42,203.	29,281.	0.	0.
25 Contributions, gifts, grants paid		62,300.			62,300.
26 Total expenses and disbursements. Add lines 24 and 25		104,503.	29,281.	0.	62,300.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		100,697.			
b Net investment income (if negative, enter -0-)			104,500.		
c Adjusted net income (if negative, enter -0-)				0.	

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

						Beginning of year		End of year	
						(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash - non-interest-bearing				14,356.	22,769.	22,769.	
	2	Savings and temporary cash investments				86,666.	96,801.	96,801.	
	3	Accounts receivable ▶							
		Less: allowance for doubtful accounts ▶							
	4	Pledges receivable ▶							
		Less: allowance for doubtful accounts ▶							
	5	Grants receivable							
	6	Receivables due from officers, directors, trustees, and other disqualified persons							
	7	Other notes and loans receivable ▶							
		Less: allowance for doubtful accounts ▶							
	8	Inventories for sale or use							
	9	Prepaid expenses and deferred charges							
	10a	Investments - U.S. and state government obligations	STMT 6			595,736.	358,695.	369,103.	
	b	Investments - corporate stock	STMT 7			1,251,401.	1,278,764.	1,761,730.	
	c	Investments - corporate bonds	STMT 8			142,151.	422,524.	476,013.	
Liabilities	11	Investments - land, buildings, and equipment basis ▶							
		Less: accumulated depreciation ▶							
	12	Investments - mortgage loans							
	13	Investments - other	STMT 9			0.	14,990.	14,996.	
	14	Land, buildings, and equipment: basis ▶							
		Less: accumulated depreciation ▶							
	15	Other assets (describe ▶)	STATEMENT 10)			7,206.	3,670.	3,670.	
	16	Total assets (to be completed by all filers)				2,097,516.	2,198,213.	2,745,082.	
	17	Accounts payable and accrued expenses							
	18	Grants payable							
Net Assets or Fund Balances	19	Deferred revenue							
	20	Loans from officers, directors, trustees, and other disqualified persons							
	21	Mortgages and other notes payable							
	22	Other liabilities (describe ▶)							
	23	Total liabilities (add lines 17 through 22)				0.	0.		
		Foundations that follow SFAS 117, check here ▶ ☐							
		and complete lines 24 through 26 and lines 30 and 31.							
	24	Unrestricted							
	25	Temporarily restricted							
	26	Permanently restricted							
		Foundations that do not follow SFAS 117, check here ▶ ☒							
		and complete lines 27 through 31.							
	27	Capital stock, trust principal, or current funds				0.	0.		
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				0.	0.		
	29	Retained earnings, accumulated income, endowment, or other funds				2,097,516.	2,198,213.		
	30	Total net assets or fund balances				2,097,516.	2,198,213.		
	31	Total liabilities and net assets/fund balances				2,097,516.	2,198,213.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,097,516.
2	Enter amount from Part I, line 27a	2	100,697.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	2,198,213.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,198,213.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENTS				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 798,424.		730,236.	68,188.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			68,188.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	68,188.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	62,300.	1,365,546.	.045623
2008	69,122.	1,047,944.	.065960
2007	64,081.	1,352,252.	.047388
2006	73,707.	1,372,910.	.053687
2005	64,802.	1,357,371.	.047741

2 Total of line 1, column (d)	2	.260399
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.052080
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	2,434,848.
5 Multiply line 4 by line 3	5	126,807.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,045.
7 Add lines 5 and 6	7	127,852.
8 Enter qualifying distributions from Part XII, line 4	8	62,300.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	2,090.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,090.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,090.
6 Credits/Payments:			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	1,600.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	1,600.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	490.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2011 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>NY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ► <u>N/A</u>				
14	The books are in care of ► <u>EVELYN LEV</u>	Telephone no. ► <u>516-627-1901</u>		
Located at ► <u>38 MALLARD RD, MANHASSET, NY</u>		ZIP+4 ► <u>11030</u>		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes	☒ No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes	☒ No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No
(6)	Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes	☒ No
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010?	☐ Yes	☒ No
If "Yes," list the years ►			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes	☒ No
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870.

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CATHERINE NOLAN 19 TURKEY HILL CIRCLE WESTPORT, CT 06880	SECRETARY	0.00	0.	0.
EVELYN LEV 38 MALLARD RD. MANHASSET, NY 11030	PRESIDENT	15.00	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,449,896.
b	Average of monthly cash balances	1b	22,031.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	2,471,927.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,471,927.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	37,079.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,434,848.
6	Minimum investment return. Enter 5% of line 5	6	121,742.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	121,742.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	2,090.
b	Income tax for 2010. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,090.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	119,652.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	119,652.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	119,652.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	62,300.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	62,300.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	62,300.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				119,652.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			47,615.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005				
b From 2006				
c From 2007				
d From 2008				
e From 2009				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2010 from Part XII, line 4: ▶ \$ 62,300.				
a Applied to 2009, but not more than line 2a			47,615.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				14,685.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				104,967.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008				
d Excess from 2009				
e Excess from 2010				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities			14	76,278.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income			14	20.		
8 Gain or (loss) from sales of assets other than inventory			18	68,188.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		144,486.		0.
13 Total. Add line 12, columns (b), (d), and (e)					13	144,486.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash		X
	(2) Other assets		X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization		X
	(2) Purchases of assets from a noncharitable exempt organization		X
	(3) Rental of facilities, equipment, or other assets		X
	(4) Reimbursement arrangements		X
	(5) Loans or loan guarantees		X
	(6) Performance of services or membership or fundraising solicitations		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees		X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

OFFICER

Title

Print/Type preparer's name

Preparer's signature

Date

Check ☐ self-employed

PTIN

**Paid
Preparer
Use Only**

RICHARD CEPLER

RICHARD CEPLER

7/29/11

Firm's name ► **KOFLER, LEVENSTEIN, ROMANOTTO & CO., P.**

Firm's EIN ▶

Firm's address ► 100 MERRICK ROAD, SUITE 210E
ROCKVILLE CENTRE, NY 11570-4881

Phone no. (516) 536-0300

Form **990-PF** (2010)

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2010

Name of the organization

Employer identification number

STANLEY AND CATHERINE MAAS FOUNDATION

11-2690167

Organization type (check one).

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2010)

Name of organization

Employer identification number

STANLEY AND CATHERINE MAAS FOUNDATION

11-2690167

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	CHARITABLE REMAINDER UNITRUST CATHERINE T. MAAS TRUSTEE 38 MALLARD ROAD MANHASSET, NY 11030	\$ 12,290.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
2	ESTATE OF CATHERINE T MAAS 38 MALLARD ROAD MANHASSET, NY 11030	\$ 48,424.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Employer identification number

11-2690167

[illegible]

Name of organization

Employer identification number

STANLEY AND CATHERINE MAAS FOUNDATION**11-2690167**

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once. See instructions.) ▶ \$

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.						(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	US	TSY	INFL	PROT	NOTE 02.375% DUE 04/15/11 FACTOR	P	04/01/09	04/15/11
b	US	TSY	INFL	PROT	NOTE 02.375% DUE 04/15/11 FACTOR	P	05/26/10	04/15/11
c	US	TSY	INFL	PROT	NOTE 03.000% DUE 07/15/12 FACTOR	P	04/01/09	08/19/10
d	US	TSY	INFL	PROT	NOTE 03.000% DUE 07/15/12 FACTOR	P	04/01/09	08/17/10
e	US	TSY	NOTE	01.000	% DUE 12/31/11 DTD 12/31/09 FC	P	01/12/10	08/13/10
f	US	TSY	NOTE	01.000	% DUE 12/31/11 DTD 12/31/09 FC	P	01/14/10	08/13/10
g	US	TSY	NOTE	02.375	% DUE 07/31/17 DTD 07/31/10 FC	P	08/13/10	02/04/11
h	US	TSY	NOTE	02.375	% DUE 07/31/17 DTD 07/31/10 FC	P	08/17/10	02/04/11
i	US	TSY	NOTE	02.375	% DUE 07/31/17 DTD 07/31/10 FC	P	11/29/10	02/04/11
j	US	TSY	NOTE	02.375	% DUE 10/31/14 DTD 10/31/09 FC	P	05/12/10	02/10/11
k	US	TSY	NOTE	02.375	% DUE 10/31/14 DTD 10/31/09 FC	P	08/20/10	02/10/11
l	US	TSY	NOTE	02.375	% DUE 10/31/14 DTD 10/31/09 FC	P	11/10/10	02/10/11
m	US	TSY	NOTE	02.375	% DUE 10/31/14 DTD 10/31/09 FC	P	11/29/10	02/10/11
n	US	TSY	NOTE	02.500	% DUE 06/30/17 DTD 06/30/10 FC	P	08/19/10	02/09/11
o	US	TSY	NOTE	04.000	% DUE 02/15/15 DTD 02/15/05 FC	P	09/09/09	02/01/11

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	25,577.		26,279.	-702.
b	4,448.		4,524.	-76.
c	6,443.		6,472.	-29.
d	10,310.		10,356.	-46.
e	8,064.		8,015.	49.
f	4,032.		4,006.	26.
g	20,386.		21,410.	-1,024.
h	10,679.		11,233.	-554.
i	971.		1,018.	-47.
j	5,119.		5,056.	63.
k	2,048.		2,098.	-50.
l	2,048.		2,112.	-64.
m	4,095.		4,196.	-101.
n	5,856.		6,204.	-348.
o	4,392.		4,291.	101.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-702.
b			-76.
c			-29.
d			-46.
e			49.
f			26.
g			-1,024.
h			-554.
i			-47.
j			63.
k			-50.
l			-64.
m			-101.
n			-348.
o			101.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.							(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)	
1a	US	TSY NOTE	04.000	%	DUE	02/15/15 DTD 02/15/05	FC	P	09/28/09	02/01/11
b	US	TSY NOTE	04.000	%	DUE	02/15/15 DTD 02/15/05	FC	P	10/29/09	02/01/11
c	US	TSY NOTE	04.000	%	DUE	02/15/15 DTD 02/15/05	FC	P	12/30/09	02/01/11
d	AON CORP							P	07/29/09	06/09/10
e	AON CORP							P	07/29/09	05/19/10
f	AON CORP							P	09/09/09	06/10/10
g	AON CORP							P	09/09/09	06/09/10
h	APACHE CORP							P	10/07/08	08/04/10
i	APOLLO GROUP INC CL A							P	09/30/09	05/14/10
j	APOLLO GROUP INC CL A							P	10/01/09	05/14/10
k	APOLLO GROUP INC CL A							P	02/16/10	05/14/10
l	APOLLO GROUP INC CL A							P	02/19/10	05/14/10
m	AT&T INC							P	10/07/08	04/06/11
n	AT&T INC							P	10/07/08	10/06/10
o	CHEVRON CORP							P	06/26/08	04/13/11

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	9,882.		9,683.	199.
b	2,196.		2,147.	49.
c	2,196.		2,134.	62.
d	916.		952.	-36.
e	1,860.		1,825.	35.
f	38.		43.	-5.
g	1,679.		1,883.	-204.
h	1,755.		1,485.	270.
i	1,697.		2,336.	-639.
j	318.		436.	-118.
k	53.		60.	-7.
l	212.		228.	-16.
m	2,222.		1,905.	317.
n	1,977.		1,800.	177.
o	1,254.		1,177.	77.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			199.
b			49.
c			62.
d			-36.
e			35.
f			-5.
g			-204.
h			270.
i			-639.
j			-118.
k			-7.
l			-16.
m			317.
n			177.
o			77.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CHEVRON CORP	P	06/26/08	02/09/11
b	CHUBB CORP	P	12/19/06	01/26/11
c	CHUBB CORP	P	05/01/08	01/26/11
d	CHUBB CORP	P	05/09/08	01/26/11
e	CHUBB CORP	P	05/09/08	02/02/11
f	CHUBB CORP	P	07/15/08	02/02/11
g	CHUBB CORP	P	06/24/09	02/02/11
h	DIAGEO PLC NEW GB SPON ADR	P	10/07/08	01/12/11
i	EATON CORP	P	10/07/08	05/26/10
j	EATON CORP	P	02/19/10	05/26/10
k	EXXON MOBIL CORP	P	07/26/05	07/07/10
l	EXXON MOBIL CORP	P	11/04/05	07/07/10
m	EXXON MOBIL CORP	P	09/19/06	07/07/10
n	GOLDMAN SACHS GROUP INC	P	10/07/08	08/11/10
o	HASBRO INC	P	01/07/09	06/23/10

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,926.		1,962.	-36.
b	590.		515.	75.
c	1,415.		1,288.	127.
d	59.		53.	6.
e	1,569.		1,422.	147.
f	291.		233.	58.
g	2,324.		1,574.	750.
h	1,436.		1,165.	271.
i	3,530.		2,477.	1,053.
j	69.		68.	1.
k	1,510.		1,551.	-41.
l	174.		174.	0.
m	174.		197.	-23.
n	907.		700.	207.
o	945.		675.	270.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-36.
b			75.
c			127.
d			6.
e			147.
f			58.
g			750.
h			271.
i			1,053.
j			1.
k			-41.
l			0.
m			-23.
n			207.
o			270.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	HASBRO INC	P	01/21/09	06/23/10
b	HASBRO INC	P	01/21/09	01/12/11
c	HASBRO INC	P	02/06/09	01/12/11
d	HESS CORP	P	10/07/08	02/25/11
e	HESS CORP	P	10/07/08	12/15/10
f	HESS CORP	P	10/07/08	03/23/11
g	HOME DEPOT INC	P	03/03/10	05/19/10
h	HOME DEPOT INC	P	03/03/10	10/13/10
i	HOME DEPOT INC	P	03/04/10	10/13/10
j	HOME DEPOT INC	P	03/25/10	10/20/10
k	HOME DEPOT INC	P	03/25/10	10/13/10
l	HOME DEPOT INC	P	03/30/10	10/20/10
m	HOME DEPOT INC	P	07/07/10	10/20/10
n	HUNTINGTON INGALLS INDS INC	P	10/07/08	04/11/11
o	HUNTINGTON INGALLS INDS INC	P	03/24/09	04/11/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	863.	555.	308.
b	407.	238.	169.
c	1,674.	875.	799.
d	2,243.	1,709.	534.
e	1,908.	1,646.	262.
f	1,442.	1,140.	302.
g	1,821.	1,678.	143.
h	31.	32.	-1.
i	1,124.	1,135.	-11.
j	742.	785.	-43.
k	1,155.	1,209.	-54.
l	31.	33.	-2.
m	1,980.	1,770.	210.
n	77.	63.	14.
o	77.	49.	28.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			308.
b			169.
c			799.
d			534.
e			262.
f			302.
g			143.
h			-1.
i			-11.
j			-43.
k			-54.
l			-2.
m			210.
n			14.
o			28.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	HUNTINGTON INGALLS INDS INC	P	03/27/09	04/11/11
b	HUNTINGTON INGALLS INDS INC	P	07/29/09	03/31/11
c	HUNTINGTON INGALLS INDS INC	P	07/29/09	04/11/11
d	INTEL CORP	P	04/25/08	04/13/11
e	KELLOGG CO	P	03/10/10	05/26/10
f	LOCKHEED MARTIN CORP	P	11/22/05	05/05/10
g	METLIFE INC	P	10/07/08	05/14/10
h	NATL-OILWELLVARCO INC	P	01/06/10	11/03/10
i	NATL-OILWELLVARCO INC	P	01/07/10	11/03/10
j	NATL-OILWELLVARCO INC	P	01/07/10	11/10/10
k	NATL-OILWELLVARCO INC	P	01/14/10	11/10/10
l	NATL-OILWELLVARCO INC	P	01/21/10	11/10/10
m	NATL-OILWELLVARCO INC	P	03/11/10	11/10/10
n	NATL-OILWELLVARCO INC	P	03/11/10	12/02/10
o	NATL-OILWELLVARCO INC	P	05/05/10	12/02/10

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 115.		78.	37.
b 33.		23.	10.
c 345.		238.	107.
d 1,964.		2,204.	-240.
e 1,967.		1,945.	22.
f 1,444.		1,032.	412.
g 1,943.		1,789.	154.
h 325.		281.	44.
i 1,623.		1,411.	212.
j 177.		141.	36.
k 2,473.		1,968.	505.
l 118.		90.	28.
m 471.		350.	121.
n 1,800.		1,267.	533.
o 186.		131.	55.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			37.
b			10.
c			107.
d			-240.
e			22.
f			412.
g			154.
h			44.
i			212.
j			36.
k			505.
l			28.
m			121.
n			533.
o			55.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	NATL-OILWELLVARCO INC	P	05/05/10	12/08/10
b	NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ	P	10/07/08	09/08/10
c	NIKE INC CL B	P	09/16/09	07/07/10
d	NIKE INC CL B	P	09/17/09	09/15/10
e	NIKE INC CL B	P	09/17/09	07/07/10
f	NIKE INC CL B	P	01/27/10	09/29/10
g	NIKE INC CL B	P	01/27/10	09/15/10
h	NIKE INC CL B	P	02/17/10	09/29/10
i	NIKE INC CL B	P	02/18/10	09/29/10
j	NIKE INC CL B	P	02/19/10	09/29/10
k	NOBLE CORP CHF	P	06/15/10	03/10/11
l	NOBLE CORP CHF	P	06/15/10	02/25/11
m	NOBLE CORP CHF	P	08/04/10	03/10/11
n	NOBLE CORP CHF	P	08/09/10	03/10/11
o	NORTHROP GRUMMAN CORP	P	10/07/08	10/26/10

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,976.		1,393.	583.
b 1,909.		1,411.	498.
c 1,615.		1,360.	255.
d 534.		407.	127.
e 202.		175.	27.
f 878.		700.	178.
g 1,525.		1,273.	252.
h 2,075.		1,675.	400.
i 239.		193.	46.
j 160.		128.	32.
k 643.		465.	178.
l 2,347.		1,676.	671.
m 2,442.		2,090.	352.
n 86.		68.	18.
o 1,777.		1,578.	199.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			583.
b			498.
c			255.
d			127.
e			27.
f			178.
g			252.
h			400.
i			46.
j			32.
k			178.
l			671.
m			352.
n			18.
o			199.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	NORTHROP GRUMMAN CORP	P	10/07/08	03/23/11
b	NORTHROP GRUMMAN CORP	P	10/07/08	12/15/10
c	ORACLE CORP	P	10/07/08	01/19/11
d	PG & E CORP (HOLDING COMPANY)	P	05/01/08	08/18/10
e	PG & E CORP (HOLDING COMPANY)	P	05/09/08	08/18/10
f	PG & E CORP (HOLDING COMPANY)	P	07/15/08	08/18/10
g	PG & E CORP (HOLDING COMPANY)	P	10/07/08	01/05/11
h	PG & E CORP (HOLDING COMPANY)	P	11/26/08	01/05/11
i	PG & E CORP (HOLDING COMPANY)	P	11/26/08	01/12/11
j	PG & E CORP (HOLDING COMPANY)	P	02/06/09	01/12/11
k	PG & E CORP (HOLDING COMPANY)	P	07/09/09	01/12/11
l	PHILIP MORRIS INTL INC	P	10/07/08	04/27/11
m	PNC FINANCIAL SERVICES GROUP	P	01/20/10	07/14/10
n	PNC FINANCIAL SERVICES GROUP	P	02/03/10	07/14/10
o	PNC FINANCIAL SERVICES GROUP	P	02/03/10	07/28/10

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,100.		2,504.	596.
b 1,984.		1,687.	297.
c 2,006.		1,103.	903.
d 900.		806.	94.
e 720.		643.	77.
f 225.		192.	33.
g 94.		72.	22.
h 1,975.		1,570.	405.
i 47.		37.	10.
j 140.		117.	23.
k 2,002.		1,623.	379.
l 2,354.		1,574.	780.
m 2,139.		2,045.	94.
n 550.		489.	61.
o 1,014.		924.	90.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			596.
b			297.
c			903.
d			94.
e			77.
f			33.
g			22.
h			405.
i			10.
j			23.
k			379.
l			780.
m			94.
n			61.
o			90.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PNC FINANCIAL SERVICES GROUP	P	02/08/10	07/28/10
b	PNC FINANCIAL SERVICES GROUP	P	02/19/10	07/28/10
c	PNC FINANCIAL SERVICES GROUP	P	03/31/10	07/28/10
d	PPG INDUSTRIES INC	P	10/07/08	12/16/10
e	PUBLIC SERVICE ENTERPRSE GROUP INC	P	10/07/08	09/15/10
f	PUBLIC SERVICE ENTERPRSE GROUP INC	P	10/07/08	03/16/11
g	PUBLIC SERVICE ENTERPRSE GROUP INC	P	02/06/09	03/16/11
h	PUBLIC SERVICE ENTERPRSE GROUP INC	P	03/27/09	03/16/11
i	PUBLIC SERVICE ENTERPRSE GROUP INC	P	10/19/09	03/16/11
j	PUBLIC SERVICE ENTERPRSE GROUP INC	P	02/19/10	03/16/11
k	SHERWIN WILLIAMS CO	P	10/22/08	06/30/10
l	SHERWIN WILLIAMS CO	P	01/27/09	06/30/10
m	SHERWIN WILLIAMS CO	P	02/06/09	06/30/10
n	ST JUDE MEDICAL INC	P	03/24/10	02/16/11
o	ST JUDE MEDICAL INC	P	03/25/10	03/10/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	716.	614.	102.
b	60.	52.	8.
c	1,909.	1,908.	1.
d	2,035.	1,288.	747.
e	1,856.	1,689.	167.
f	213.	200.	13.
g	152.	159.	-7.
h	91.	87.	4.
i	2,248.	2,313.	-65.
j	61.	62.	-1.
k	921.	738.	183.
l	638.	436.	202.
m	708.	490.	218.
n	2,071.	1,780.	291.
o	1,165.	996.	169.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			102.
b			8.
c			1.
d			747.
e			167.
f			13.
g			-7.
h			4.
i			-65.
j			-1.
k			183.
l			202.
m			218.
n			291.
o			169.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ST JUDE MEDICAL INC	P	03/25/10	02/16/11
b	ST JUDE MEDICAL INC	P	03/30/10	03/10/11
c	ST JUDE MEDICAL INC	P	04/21/10	03/10/11
d	ST JUDE MEDICAL INC	P	04/21/10	04/20/11
e	TOTAL S.A. FRANCE SPON ADR	P	10/07/08	07/28/10
f	TOTAL S.A. FRANCE SPON ADR	P	10/07/08	06/15/10
g	TOTAL S.A. FRANCE SPON ADR	P	12/03/08	07/28/10
h	TOTAL S.A. FRANCE SPON ADR	P	02/06/09	07/28/10
i	TOTAL S.A. FRANCE SPON ADR	P	02/06/09	08/11/10
j	TOTAL S.A. FRANCE SPON ADR	P	02/18/09	08/11/10
k	TOTAL S.A. FRANCE SPON ADR	P	02/20/09	08/11/10
l	TOTAL S.A. FRANCE SPON ADR	P	02/19/10	08/11/10
m	VODAFONE GROUP PLC NEW SPON ADR	P	10/07/08	11/17/10
n	VODAFONE GROUP PLC NEW SPON ADR	P	10/07/08	09/01/10
o	WALGREEN CO	P	10/13/10	03/02/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 188.		166.	22.
b 49.		41.	8.
c 291.		251.	40.
d 2,362.		1,879.	483.
e 701.		734.	-33.
f 1,919.		2,045.	-126.
g 1,102.		1,048.	54.
h 50.		54.	-4.
i 50.		54.	-4.
j 906.		883.	23.
k 755.		730.	25.
l 151.		175.	-24.
m 1,938.		1,557.	381.
n 1,899.		1,664.	235.
o 2,450.		2,074.	376.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			22.
b			8.
c			40.
d			483.
e			-33.
f			-126.
g			54.
h			-4.
i			-4.
j			23.
k			25.
l			-24.
m			381.
n			235.
o			376.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

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3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	WALGREEN CO	P	10/19/10	03/02/11
b	WALGREEN CO	P	10/20/10	03/02/11
c	WELLS FARGO & CO NEW	P	04/16/09	05/14/10
d	WELLS FARGO & CO NEW	P	04/16/09	02/02/11
e	WELLS FARGO & CO NEW	P	05/08/09	02/02/11
f	WELLS FARGO & CO NEW	P	05/13/09	02/02/11
g	WELLS FARGO & CO NEW	P	05/13/09	03/02/11
h	ABB LTD SPON ADR	P	03/26/09	09/22/10
i	ACCENTURE PLC IRELAND CL A	P	03/26/09	09/22/10
j	ACCENTURE PLC IRELAND CL A	P	03/26/09	05/07/10
k	AECOM TECHNOLOGY CORP	P	03/26/09	03/17/11
l	AECOM TECHNOLOGY CORP	P	03/26/09	09/22/10
m	AECOM TECHNOLOGY CORP	P	07/09/09	03/17/11
n	AECOM TECHNOLOGY CORP	P	07/09/09	03/18/11
o	AGCO CORP	P	03/26/09	09/22/10

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	42.	34.	8.
b	2,450.	2,017.	433.
c	1,888.	1,093.	795.
d	592.	339.	253.
e	953.	761.	192.
f	657.	500.	157.
g	2,282.	1,801.	481.
h	335.	231.	104.
i	336.	253.	83.
j	282.	221.	61.
k	847.	850.	-3.
l	488.	531.	-43.
m	768.	885.	-117.
n	482.	549.	-67.
o	277.	145.	132.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			8.
b			433.
c			795.
d			253.
e			192.
f			157.
g			481.
h			104.
i			83.
j			61.
k			-3.
l			-43.
m			-117.
n			-67.
o			132.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ALLERGAN INC	P	04/09/10	09/22/10
b	AMERIPRISE FINANCIAL INC	P	04/01/10	09/22/10
c	ANADARKO PETROLEUM CORP	P	05/20/10	09/22/10
d	APPLE INC	P	03/26/09	09/22/10
e	AVON PRODUCTS INC	P	11/13/09	09/22/10
f	AVON PRODUCTS INC	P	11/13/09	02/07/11
g	BARD C R INC	P	03/26/09	09/09/10
h	BORG WARNER INC	P	06/22/10	09/22/10
i	BROADCOM CORP CL A	P	06/24/10	09/22/10
j	CAMERON INTL CORP	P	03/26/09	09/22/10
k	CARNIVAL CORP NEW (PAIRED STOCK)	P	09/25/09	09/22/10
l	CELGENE CORP	P	04/09/10	09/22/10
m	CERNER CORP	P	03/26/09	09/22/10
n	CISCO SYSTEMS INC	P	07/25/05	09/22/10
o	CISCO SYSTEMS INC	P	10/19/05	12/20/10

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 262.		254.	8.
b 93.		92.	1.
c 330.		326.	4.
d 1,434.		543.	891.
e 286.		311.	-25.
f 1,908.		2,249.	-341.
g 2,630.		2,676.	-46.
h 292.		249.	43.
i 163.		175.	-12.
j 41.		25.	16.
k 372.		331.	41.
l 512.		555.	-43.
m 317.		183.	134.
n 173.		153.	20.
o 841.		731.	110.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			8.
b			1.
c			4.
d			891.
e			-25.
f			-341.
g			-46.
h			43.
i			-12.
j			16.
k			41.
l			-43.
m			134.
n			20.
o			110.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CISCO SYSTEMS INC	P	10/19/05	09/22/10
b	CLOROX CO	P	03/26/09	09/22/10
c	CLOROX CO	P	03/26/09	05/07/10
d	CLOROX CO	P	03/26/09	11/15/10
e	CNOOC LTD SPON ADR	P	06/22/10	09/22/10
f	COMPANHIA DE BEBIDAS DAS AMERS AMBEV SPON ADR	P	03/26/09	01/18/11
g	COMPANHIA DE BEBIDAS DAS AMERS AMBEV SPON ADR	P	03/26/09	09/22/10
h	CORNING INC	P	07/09/10	09/22/10
i	DANAHER CORP	P	03/26/09	09/22/10
j	DARDEN RESTAURANTS INC	P	04/15/09	06/22/10
k	DOLBY LABORATORIES INC CL A	P	10/24/08	05/07/10
l	DOLBY LABORATORIES INC CL A	P	10/24/08	03/29/11
m	DOLBY LABORATORIES INC CL A	P	10/24/08	09/22/10
n	DOLBY LABORATORIES INC CL A	P	10/30/08	03/29/11
o	DOLBY LABORATORIES INC CL A	P	03/26/09	03/29/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 43.		34.	9.
b 66.		51.	15.
c 308.		257.	51.
d 2,172.		1,748.	424.
e 187.		177.	10.
f 1,494.		531.	963.
g 968.		393.	575.
h 223.		227.	-4.
i 366.		251.	115.
j 1,243.		1,052.	191.
k 386.		180.	206.
l 639.		390.	249.
m 362.		180.	182.
n 738.		459.	279.
o 197.		137.	60.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			9.
b			15.
c			51.
d			424.
e			10.
f			963.
g			575.
h			-4.
i			115.
j			191.
k			206.
l			249.
m			182.
n			279.
o			60.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	DOVER CORP	P	11/09/09	09/22/10
b	ECOLAB INC	P	03/26/09	02/16/11
c	ECOLAB INC	P	03/26/09	09/22/10
d	FEDEX CORP	P	08/10/10	09/22/10
e	FLOWERVE CORP	P	03/26/09	09/22/10
f	GAP INC	P	09/25/09	10/29/10
g	GAP INC	P	09/25/09	09/22/10
h	GOLDMAN SACHS GROUP INC	P	07/09/09	07/09/10
i	GOLDMAN SACHS GROUP INC	P	11/13/09	07/09/10
j	GOOGLE INC CL A	P	09/22/08	09/22/10
k	HARRIS CORP DELA	P	03/26/09	09/22/10
l	HARRIS CORP DELA	P	03/26/09	11/30/10
m	HEWLETT PACKARD CO	P	03/26/09	09/22/10
n	HEWLETT PACKARD CO	P	03/26/09	01/03/11
o	INTEL CORP	P	04/24/97	09/22/10

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 303.		245.	58.
b 2,824.		1,980.	844.
c 202.		139.	63.
d 336.		345.	-9.
e 213.		117.	96.
f 1,803.		2,053.	-250.
g 335.		389.	-54.
h 1,379.		1,445.	-66.
i 1,241.		1,590.	-349.
j 516.		442.	74.
k 269.		177.	92.
l 1,734.		1,152.	582.
m 394.		330.	64.
n 2,461.		1,881.	580.
o 474.		471.	3.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			58.
b			844.
c			63.
d			-9.
e			96.
f			-250.
g			-54.
h			-66.
i			-349.
j			74.
k			92.
l			582.
m			64.
n			580.
o			3.

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	INTEL CORP	P	04/24/97	04/06/11
b	INTEL CORP	P	10/28/05	04/06/11
c	INTEL CORP	P	02/14/06	04/06/11
d	INTL BUSINESS MACH	P	03/26/09	09/22/10
e	JACOBS ENGINEERING GROUP INC	P	03/26/09	09/22/10
f	JOHNSON & JOHNSON COM	P	08/15/05	06/22/10
g	JOHNSON & JOHNSON COM	P	11/03/05	06/22/10
h	MCDONALDS CORP	P	03/26/09	05/07/10
i	MCDONALDS CORP	P	03/26/09	09/22/10
j	MICROSOFT CORP	P	07/25/05	10/29/10
k	MICROSOFT CORP	P	07/25/05	09/22/10
l	MICROSOFT CORP	P	10/19/05	10/29/10
m	MICROSOFT CORP	P	10/28/05	10/29/10
n	NATL-OILWELLVARCO INC	P	02/28/06	06/22/10
o	NATL-OILWELLVARCO INC	P	10/24/08	06/22/10

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,050.		998.	52.
b 594.		688.	-94.
c 694.		748.	-54.
d 794.		590.	204.
e 74.		85.	-11.
f 835.		887.	-52.
g 2,385.		2,441.	-56.
h 614.		498.	116.
i 451.		332.	119.
j 750.		725.	25.
k 294.		311.	-17.
l 670.		622.	48.
m 80.		76.	4.
n 182.		367.	-185.
o 729.		481.	248.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			52.
b			-94.
c			-54.
d			204.
e			-11.
f			-52.
g			-56.
h			116.
i			119.
j			25.
k			-17.
l			48.
m			4.
n			-185.
o			248.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	NATL-OILWELLVARCO INC	P	03/26/09	06/22/10
b	NIKE INC CL B	P	03/26/09	09/22/10
c	ORACLE CORP	P	02/19/10	09/22/10
d	PEPSICO INC	P	08/24/05	09/22/10
e	PEPSICO INC	P	08/24/05	05/07/10
f	PETROLEO BRASILEIRO SA SPON ADR	P	05/20/09	09/22/10
g	PIONEER NAT RES CO	P	05/20/10	09/22/10
h	PRAXAIR INC	P	03/26/09	09/22/10
i	PRICE T ROWE GROUP INC	P	03/26/09	09/22/10
j	PRICELINE.COM INC NEW	P	03/26/09	05/20/10
k	PRICELINE.COM INC NEW	P	03/26/09	09/22/10
l	PROCTER & GAMBLE CO	P	01/04/06	08/10/10
m	QUALCOMM INC	P	10/06/08	05/20/10
n	QUALCOMM INC	P	10/10/08	05/20/10
o	QUALCOMM INC	P	10/28/08	05/20/10

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,240.		1,139.	101.
b 385.		239.	146.
c 300.		267.	33.
d 200.		164.	36.
e 386.		327.	59.
f 138.		167.	-29.
g 463.		401.	62.
h 704.		549.	155.
i 544.		320.	224.
j 738.		333.	405.
k 674.		167.	507.
l 3,391.		3,297.	94.
m 359.		399.	-40.
n 359.		379.	-20.
o 897.		907.	-10.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			101.
b			146.
c			33.
d			36.
e			59.
f			-29.
g			62.
h			155.
i			224.
j			405.
k			507.
l			94.
m			-40.
n			-20.
o			-10.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	QUALCOMM INC	P	03/26/09	06/24/10
b	QUALCOMM INC	P	03/26/09	05/20/10
c	RED HAT INC	P	03/26/09	04/06/11
d	RED HAT INC	P	03/26/09	09/22/10
e	SCHEIN HENRY INC	P	03/26/09	09/22/10
f	TEXAS INSTRUMENTS	P	07/25/05	09/22/10
g	THE DIRECTV GROUP CL A	P	03/26/09	09/22/10
h	THERMO FISHER SCIENTIFIC INC	P	03/26/09	09/22/10
i	UNION PACIFIC CORP	P	03/26/09	09/22/10
j	VARIAN MEDICAL SYSTEMS INC	P	03/26/09	09/22/10
k	VISA INC CL A	P	04/23/09	09/22/10
l	WAL MART STORES INC	P	03/26/09	09/22/10
m	WAL MART STORES INC	P	03/26/09	05/07/10
n	WAL MART STORES INC	P	03/26/09	02/25/11
o	WSTN DIGITAL CORP	P	10/01/09	09/22/10

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,671.		1,890.	-219.
b 179.		197.	-18.
c 2,519.		988.	1,531.
d 184.		88.	96.
e 226.		159.	67.
f 457.		555.	-98.
g 545.		302.	243.
h 386.		291.	95.
i 968.		526.	442.
j 540.		270.	270.
k 282.		235.	47.
l 216.		210.	6.
m 262.		262.	0.
n 1,861.		1,888.	-27.
o 133.		178.	-45.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-219.
b			-18.
c			1,531.
d			96.
e			67.
f			-98.
g			243.
h			95.
i			442.
j			270.
k			47.
l			6.
m			0.
n			-27.
o			-45.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	WSTN DIGITAL CORP	P	10/01/09	09/30/10
b	WSTN DIGITAL CORP	P	10/26/09	09/30/10
c	XILINX INC	P	04/15/09	12/20/10
d	XILINX INC	P	04/15/09	10/08/10
e	XILINX INC	P	04/15/09	09/22/10
f	XILINX INC	P	10/26/09	12/20/10
g	3M CO	P	08/10/10	02/07/11
h	3M CO	P	08/10/10	09/22/10
i	AEGON NV ADR N Y SHS NETHERLANDS ADR	P	06/16/06	05/21/10
j	AEGON NV ADR N Y SHS NETHERLANDS ADR	P	06/22/06	05/21/10
k	AEGON NV ADR N Y SHS NETHERLANDS ADR	P	10/24/08	05/21/10
l	AEGON NV ADR N Y SHS NETHERLANDS ADR	P	02/20/09	05/21/10
m	AEGON NV ADR N Y SHS NETHERLANDS ADR	P	02/23/09	05/21/10
n	ALCATEL-LUCENT SPON ADR	P	01/02/08	02/23/11
o	ALCATEL-LUCENT SPON ADR	P	01/02/08	02/02/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,158.		1,456.	-298.
b 706.		908.	-202.
c 506.		368.	138.
d 973.		776.	197.
e 200.		163.	37.
f 1,294.		1,077.	217.
g 2,307.		2,262.	45.
h 433.		435.	-2.
i 425.		1,191.	-766.
j 361.		1,014.	-653.
k 675.		560.	115.
l 1,263.		891.	372.
m 943.		676.	267.
n 1,632.		2,552.	-920.
o 985.		2,088.	-1,103.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-298.
b			-202.
c			138.
d			197.
e			37.
f			217.
g			45.
h			-2.
i			-766.
j			-653.
k			115.
l			372.
m			267.
n			-920.
o			-1,103.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ALCATEL-LUCENT SPON ADR	P	01/02/08	02/15/11
b	ALCATEL-LUCENT SPON ADR	P	01/02/08	02/03/11
c	ALCATEL-LUCENT SPON ADR	P	03/30/09	02/23/11
d	ALCATEL-LUCENT SPON ADR	P	03/30/09	02/25/11
e	ALUMINA LTD SPON ADR	P	07/27/05	01/10/11
f	ALUMINA LTD SPON ADR	P	07/27/05	10/11/10
g	ALUMINA LTD SPON ADR	P	10/21/05	01/11/11
h	ALUMINA LTD SPON ADR	P	10/21/05	01/10/11
i	ANGLOGOLD ASHANTI LTD NEW SPON ADR	P	03/03/08	05/28/10
j	ANGLOGOLD ASHANTI LTD NEW SPON ADR	P	03/18/08	05/28/10
k	ASTRAZENECA PLC SPON ADR	P	01/11/10	07/01/10
l	BARRICK GOLD CORP CAD	P	11/13/06	05/07/10
m	BARRICK GOLD CORP CAD	P	03/20/08	12/06/10
n	BARRICK GOLD CORP CAD	P	03/20/08	05/07/10
o	BARRICK GOLD CORP CAD	P	04/30/08	12/06/10

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,380.		2,197.	-817.
b 986.		2,139.	-1,153.
c 2,620.		994.	1,626.
d 5.		2.	3.
e 409.		713.	-304.
f 1,225.		2,662.	-1,437.
g 601.		1,062.	-461.
h 40.		69.	-29.
i 1,045.		912.	133.
j 669.		544.	125.
k 1,126.		1,145.	-19.
l 602.		406.	196.
m 164.		130.	34.
n 1,377.		1,382.	-5.
o 1,638.		1,148.	490.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-817.
b			-1,153.
c			1,626.
d			3.
e			-304.
f			-1,437.
g			-461.
h			-29.
i			133.
j			125.
k			-19.
l			196.
m			34.
n			-5.
o			490.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BARRICK GOLD CORP CAD	P	04/30/08	04/08/11
b	BARRICK GOLD CORP CAD	P	09/16/08	04/08/11
c	BP PLC SPON ADR	P	02/23/07	05/21/10
d	BP PLC SPON ADR	P	02/26/07	05/21/10
e	BP PLC SPON ADR	P	06/05/07	05/21/10
f	BP PLC SPON ADR	P	03/30/09	05/21/10
g	BP PLC SPON ADR	P	04/30/10	05/21/10
h	BP PLC SPON ADR	P	06/18/10	08/04/10
i	BP PLC SPON ADR	P	06/18/10	07/15/10
j	BP PLC SPON ADR	P	06/18/10	11/10/10
k	BP PLC SPON ADR	P	06/18/10	11/09/10
l	BP PLC SPON ADR	P	06/18/10	11/03/10
m	CAMECO CORP CANADA CAD	P	08/08/08	12/01/10
n	CAMECO CORP CANADA CAD	P	09/16/08	12/01/10
o	DAIWA HOUSE IND LTD ADR JAPAN ADR	P	11/26/07	03/29/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,856.		1,302.	554.
b 491.		249.	242.
c 661.		944.	-283.
d 2,821.		4,118.	-1,297.
e 3,041.		4,718.	-1,677.
f 264.		235.	29.
g 970.		1,165.	-195.
h 2,128.		1,740.	388.
i 998.		870.	128.
j 2,021.		1,514.	507.
k 2,035.		1,514.	521.
l 1,898.		1,450.	448.
m 2,241.		1,940.	301.
n 1,046.		663.	383.
o 502.		499.	3.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			554.
b			242.
c			-283.
d			-1,297.
e			-1,677.
f			29.
g			-195.
h			388.
i			128.
j			507.
k			521.
l			448.
m			301.
n			383.
o			3.

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

3

STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	DAIWA HOUSE IND LTD ADR JAPAN ADR	P	02/13/08	03/29/11
b	DAIWA HOUSE IND LTD ADR JAPAN ADR	P	03/05/08	03/29/11
c	EMBRAER AIRCRAFT CORP **NAME CHANGE:12/2010**	P	10/30/09	12/06/10
d	EMBRAER AIRCRAFT CORP **NAME CHANGE:12/2010**	P	10/30/09	12/07/10
e	EMBRAER S A SPON ADR	P	10/30/09	01/26/11
f	EMBRAER S A SPON ADR	P	11/03/09	01/26/11
g	IMPALA PLATINUM HLDG LTD SPON ADR	P	08/20/08	01/21/11
h	IMPALA PLATINUM HLDG LTD SPON ADR	P	09/04/08	01/21/11
i	IMPALA PLATINUM HLDG LTD SPON ADR	P	03/12/09	01/21/11
j	IMPALA PLATINUM HLDG LTD SPON ADR	P	03/12/09	01/21/11
k	IVANHOE MINES LTD CAD	P	05/30/06	09/24/10
l	IVANHOE MINES LTD CAD	P	05/30/06	07/21/10
m	IVANHOE MINES LTD CAD	P	05/30/06	11/08/10
n	IVANHOE MINES LTD CAD	P	05/30/06	09/24/10
o	IVANHOE MINES LTD CAD	P	01/09/08	11/08/10

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 878.		735.	143.
b 502.		386.	116.
c 805.		595.	210.
d 1,459.		1,080.	379.
e 128.		88.	40.
f 995.		624.	371.
g 593.		516.	77.
h 2,214.		1,804.	410.
i 686.		300.	386.
j 1,060.		460.	600.
k 210.		62.	148.
l 1,198.		492.	706.
m 104.		28.	76.
n 661.		194.	467.
o 991.		404.	587.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			143.
b			116.
c			210.
d			379.
e			40.
f			371.
g			77.
h			410.
i			386.
j			600.
k			148.
l			706.
m			76.
n			467.
o			587.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a IVANHOE MINES LTD CAD		P	03/19/08	11/08/10
b IVANHOE MINES LTD CAD		P	03/30/09	11/08/10
c KINROSS GOLD CORP NEW CAD		P	07/07/09	09/29/10
d KINROSS GOLD CORP NEW CAD		P	07/07/09	10/01/10
e MAGNA INTL INC CL A SUB VTG CANADA ORD CAD		P	06/05/08	05/10/10
f MAGNA INTL INC CL A SUB VTG CANADA ORD CAD		P	06/05/08	05/07/10
g MAGNA INTL INC CL A SUB VTG CANADA ORD CAD		P	06/20/08	09/27/10
h MAGNA INTL INC CL A SUB VTG CANADA ORD CAD		P	06/20/08	09/14/10
i MAGNA INTL INC CL A SUB VTG CANADA ORD CAD		P	06/20/08	05/10/10
j MAGNA INTL INC CL A SUB VTG CANADA ORD CAD		P	07/14/08	09/27/10
k MAGNA INTL INC CL A SUB VTG CANADA ORD CAD		P	07/14/08	01/14/11
l MAGNA INTL INC CL A SUB VTG CANADA ORD CAD		P	07/14/08	12/13/10
m MAGNA INTL INC CL A SUB VTG CANADA ORD CAD		P	07/14/08	12/10/10
n MAGNA INTL INC CL A SUB VTG CANADA ORD CAD		P	07/14/08	10/15/10
o NEWCREST MINING LTD SPON AUSTRALIA ADR		P	07/18/06	10/27/10

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 443.		172.	271.
b 1,617.		359.	1,258.
c 836.		814.	22.
d 873.		851.	22.
e 1,086.		1,043.	43.
f 494.		487.	7.
g 318.		266.	52.
h 1,186.		998.	188.
i 868.		799.	69.
j 636.		443.	193.
k 2,347.		1,108.	1,239.
l 151.		83.	68.
m 1,259.		692.	567.
n 1,138.		720.	418.
o 1,495.		559.	936.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			271.
b			1,258.
c			22.
d			22.
e			43.
f			7.
g			52.
h			188.
i			69.
j			193.
k			1,239.
l			68.
m			567.
n			418.
o			936.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	NEXEN INC CAD	P	10/09/07	04/04/11
b	NEXEN INC CAD	P	10/09/07	03/04/11
c	NEXEN INC CAD	P	08/07/08	04/04/11
d	NEXEN INC CAD	P	01/28/09	04/04/11
e	OAO GAZPROM LEVEL 1 ADR PROGRAM (RUSSIA) SPON	P	10/15/10	03/08/11
f	REXAM PLC SPON ADR	P	10/26/09	06/04/10
g	REXAM PLC SPON ADR	P	10/27/09	06/04/10
h	ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS	P	07/25/05	10/05/10
i	ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS	P	07/25/05	04/07/11
j	SEGA SAMMY HLDG INC SPON ADR	P	01/08/08	10/27/10
k	SEVEN & I HLDGS CO LTD ADR	P	12/24/08	05/12/10
l	SEVEN & I HLDGS CO LTD ADR	P	01/07/09	05/12/10
m	SEVEN & I HLDGS CO LTD ADR	P	01/08/09	05/12/10
n	SHISEIDO CO LTD SPONS ADR JAPAN	P	07/27/05	07/16/10
o	SIEMENS A G SPON ADR	P	09/29/08	02/08/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 25.		31.	-6.
b 1,710.		1,948.	-238.
c 1,064.		1,267.	-203.
d 583.		343.	240.
e 1,438.		1,009.	429.
f 233.		227.	6.
g 1,632.		1,591.	41.
h 1,965.		2,073.	-108.
i 1,776.		1,508.	268.
j 5,601.		4,594.	1,007.
k 202.		252.	-50.
l 556.		649.	-93.
m 961.		1,124.	-163.
n 1,873.		1,053.	820.
o 1,781.		1,302.	479.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-6.
b			-238.
c			-203.
d			240.
e			429.
f			6.
g			41.
h			-108.
i			268.
j			1,007.
k			-50.
l			-93.
m			-163.
n			820.
o			479.

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

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STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SIEMENS A G SPON ADR	P	09/29/08	02/01/11
b	SOCIETE GENERALE FRANCE SPONS ADR ADR	P	10/20/08	08/10/10
c	SOCIETE GENERALE FRANCE SPONS ADR ADR	P	01/16/09	08/10/10
d	SOCIETE GENERALE FRANCE SPONS ADR ADR	P	02/23/09	08/10/10
e	STATOIL ASA SPON ADR	P	11/09/10	04/27/11
f	SUMITOMO MITSUI TRUST HOLDINGS SPON ADR	P	04/01/11	04/01/11
g	SUMITOMO TRUST & BANKING *EXCHANGE EFF:	P	01/17/08	04/01/11
h	SUMITOMO TRUST & BANKING *EXCHANGE EFF:	P	03/14/08	04/01/11
i	SUMITOMO TRUST & BANKING *EXCHANGE EFF:	P	09/16/10	04/01/11
j	SUNCOR ENERGY INC NEW CAD	P	05/21/10	04/07/11
k	SUNCOR ENERGY INC NEW CAD	P	05/21/10	03/03/11
l	SUNCOR ENERGY INC NEW CAD	P	05/21/10	02/08/11
m	SWISSCOM AG SPON ADR	P	07/27/05	07/21/10
n	TDK CORP ADR JAPAN ADR	P	12/12/08	05/06/10
o	TELECOM ITALIA SPA NEW REPSTG 10 SAVINGS SHS	P	07/27/05	03/24/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,971.		1,395.	576.
b 332.		317.	15.
c 854.		609.	245.
d 47.		23.	24.
e 1,274.		958.	316.
f 3.		3.	0.
g 1,684.		2,061.	-377.
h 1,674.		2,116.	-442.
i 1,387.		1,381.	6.
j 1,950.		1,274.	676.
k 1,072.		666.	406.
l 1,540.		1,100.	440.
m 1,807.		1,620.	187.
n 3,826.		1,964.	1,862.
o 1,996.		4,108.	-2,112.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			576.
b			15.
c			245.
d			24.
e			316.
f			0.
g			-377.
h			-442.
i			6.
j			676.
k			406.
l			440.
m			187.
n			1,862.
o			-2,112.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	UNITED UTILITIES GROUP ADR	P	07/27/05	06/18/10
b	UNITED UTILITIES GROUP ADR	P	12/05/08	06/18/10
c	UNITED UTILITIES GROUP ADR	P	12/10/08	06/18/10
d	UNITED UTILITIES GROUP ADR	P	03/30/09	06/18/10
e	AGILENT TECHNOLOGIES INC	P	02/23/10	10/28/10
f	AKAMAI TECHNOLOGIES INC	P	02/18/09	10/28/10
g	AMER TOWER CORP CL A	P	02/18/09	10/28/10
h	ANSYS INC	P	02/18/09	10/28/10
i	BECKMAN COULTER INC	P	02/18/09	10/28/10
j	BIO RAD LABORATORIES INC CL A	P	02/18/09	10/28/10
k	BORG WARNER INC	P	02/18/09	10/28/10
l	BRINKER INTL INC	P	07/26/05	11/18/10
m	BRINKER INTL INC	P	10/21/05	11/18/10
n	BRINKER INTL INC	P	11/08/05	11/18/10
o	BRINKER INTL INC	P	01/15/08	11/18/10

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,039.		1,926.	-887.
b 2,062.		2,196.	-134.
c 1,727.		1,899.	-172.
d 735.		610.	125.
e 139.		123.	16.
f 413.		141.	272.
g 412.		219.	193.
h 179.		98.	81.
i 431.		401.	30.
j 181.		126.	55.
k 111.		40.	71.
l 1,052.		1,543.	-491.
m 714.		973.	-259.
n 150.		192.	-42.
o 376.		315.	61.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-887.
b			-134.
c			-172.
d			125.
e			16.
f			272.
g			193.
h			81.
i			30.
j			55.
k			71.
l			-491.
m			-259.
n			-42.
o			61.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

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3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BRINKER INTL INC	P	08/10/09	11/19/10
b	BRINKER INTL INC	P	08/10/09	11/18/10
c	BRINKER INTL INC	P	10/28/10	11/19/10
d	CEPHALON INC	P	02/18/09	10/28/10
e	CEPHALON INC	P	02/18/09	04/26/11
f	CEPHALON INC	P	03/30/09	04/26/11
g	CEPHALON INC	P	08/30/10	04/26/11
h	CHESAPEAKE ENERGY CORP OKLA	P	02/18/09	10/28/10
i	COVANCE INC	P	07/26/05	10/28/10
j	CUMMINS INC	P	02/18/09	08/30/10
k	CUMMINS INC	P	02/18/09	12/30/10
l	EXPRESS SCRIPTS INC	P	02/18/09	10/28/10
m	FREEPORT-MCMORAN COPPER & GOLD INC	P	03/03/09	12/30/10
n	FREEPORT-MCMORAN COPPER & GOLD INC	P	03/30/09	12/30/10
o	FREEPORT-MCMORAN COPPER & GOLD INC	P	10/28/10	12/30/10

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	224.	176.	48.
b	1,654.	1,291.	363.
c	37.	37.	0.
d	132.	149.	-17.
e	2,128.	2,092.	36.
f	1,520.	1,374.	146.
g	1,672.	1,255.	417.
h	42.	33.	9.
i	279.	290.	-11.
j	1,346.	410.	936.
k	2,639.	547.	2,092.
l	194.	113.	81.
m	4,052.	955.	3,097.
n	2,741.	912.	1,829.
o	238.	192.	46.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			48.
b			363.
c			0.
d			-17.
e			36.
f			146.
g			417.
h			9.
i			-11.
j			936.
k			2,092.
l			81.
m			3,097.
n			1,829.
o			46.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FURIEX PHARMACEUTICALS INC COM	P	07/26/05	06/23/10
b	FURIEX PHARMACEUTICALS INC COM	P	07/26/05	06/14/10
c	FURIEX PHARMACEUTICALS INC COM	P	10/21/05	06/23/10
d	FURIEX PHARMACEUTICALS INC COM	P	07/13/06	06/23/10
e	GATX CORP	P	02/18/09	10/28/10
f	GENL DYNAMICS CORP	P	02/18/09	10/28/10
g	GLOBAL PAYMENTS INC	P	07/26/05	10/28/10
h	GLOBAL PAYMENTS INC	P	11/08/05	10/28/10
i	GOODRICH CORP	P	05/17/10	10/28/10
j	HARRIS CORP DELA	P	02/18/09	10/28/10
k	HARSCO CORP	P	07/26/05	10/28/10
l	INTEGRYS ENERGY GROUP INC	P	02/18/09	10/28/10
m	INTL GAME TECHNOLOGY	P	02/18/09	10/28/10
n	INTUIT	P	02/18/09	10/28/10
o	JEFFERIES GROUP INC NEW	P	07/26/05	10/28/10

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 122.		131.	-9.
b 5.		5.	0.
c 44.		53.	-9.
d 11.		15.	-4.
e 255.		175.	80.
f 135.		106.	29.
g 39.		33.	6.
h 117.		132.	-15.
i 163.		148.	15.
j 363.		331.	32.
k 96.		120.	-24.
l 212.		159.	53.
m 156.		95.	61.
n 285.		134.	151.
o 48.		42.	6.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-9.
b			0.
c			-9.
d			-4.
e			80.
f			29.
g			6.
h			-15.
i			15.
j			32.
k			-24.
l			53.
m			61.
n			151.
o			6.

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

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STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ONEOK INC NEW	P	12/10/09	10/28/10
b	PHARMACEUTICAL PRODUCT DEVELOPMENT INC	P	07/26/05	10/28/10
c	PROGRESSIVE CORP OHIO	P	05/17/10	10/28/10
d	PULTE GROUP INC	P	02/18/09	10/28/10
e	RAYMOND JAMES FINANCIAL CORP	P	07/26/05	10/28/10
f	REPUBLIC SERVICES INC	P	07/26/05	10/28/10
g	SCIENTIFIC GAMES CORP CL A	P	02/18/09	09/09/10
h	SCIENTIFIC GAMES CORP CL A	P	03/03/09	09/09/10
i	SCIENTIFIC GAMES CORP CL A	P	03/30/09	09/10/10
j	SCIENTIFIC GAMES CORP CL A	P	03/30/09	09/09/10
k	SCOTTS MIRACLE-GRO CO CL A	P	07/26/05	10/28/10
l	SNAP ON INC	P	07/26/05	10/28/10
m	SNAP ON INC	P	10/21/05	10/28/10
n	ST MARY LD & EXPL CO **NAME CHANGE 06/ 2010**	P	12/18/09	05/03/10
o	ST MARY LD & EXPL CO **NAME CHANGE 06/ 2010**	P	01/11/10	05/03/10

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 198.		165.	33.
b 206.		221.	-15.
c 43.		41.	2.
d 111.		136.	-25.
e 171.		120.	51.
f 61.		35.	26.
g 865.		1,067.	-202.
h 51.		52.	-1.
i 525.		650.	-125.
j 268.		325.	-57.
k 108.		74.	34.
l 151.		107.	44.
m 50.		37.	13.
n 3,355.		3,031.	324.
o 5,431.		5,015.	416.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			33.
b			-15.
c			2.
d			-25.
e			51.
f			26.
g			-202.
h			-1.
i			-125.
j			-57.
k			34.
l			44.
m			13.
n			324.
o			416.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	URS CORP NEW	P	05/12/10	10/28/10
b	VALSPAR CORP	P	10/22/07	10/28/10
c	YUM! BRANDS INC	P	02/18/09	10/28/10
d	BRENTWOOD N. Y. U F S D GO ULT NPFG B/E /R/ 5.000	P	01/19/06	05/24/10
e	COUNTY OF TOMPKINS, NY NPFG BE/R/ 5.000 021511	P	02/07/08	02/15/11
f	DEUTSCHE BK CAP FD TR IX6.625% PERPETUAL CALL	P	05/28/10	01/31/11
g	DEUTSCHE BK CAP FD TR IX6.625% PERPETUAL CALL	P	05/28/10	01/31/11
h	DEUTSCHE BK CAP FD TR IX6.625% PERPETUAL CALL	P	05/28/10	01/31/11
i	DEUTSCHE BK CAP FD TR IX6.625% PERPETUAL CALL	P	05/28/10	01/31/11
j	DEUTSCHE BK CAP FD TR IX6.625% PERPETUAL CALL	P	05/28/10	01/31/11
k	DEUTSCHE BK CAP FD TR IX6.625% PERPETUAL CALL	P	05/28/10	01/31/11
l	DEUTSCHE BK CAP FD TR IX6.625% PERPETUAL CALL	P	05/28/10	01/31/11
m	DEUTSCHE BK CAP FD TR IX6.625% PERPETUAL CALL	P	05/28/10	01/31/11
n	HSBC HLDGS PLC SER A 6.200% PREFERRED CLBL PAR	P	06/11/10	02/09/11
o	HSBC HLDGS PLC SER A 6.200% PREFERRED CLBL PAR	P	06/11/10	02/09/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 78.		96.	-18.
b 64.		51.	13.
c 294.		174.	120.
d 27,724.		26,166.	1,558.
e 25,000.		25,000.	0.
f 948.		838.	110.
g 2,426.		2,147.	279.
h 739.		654.	85.
i 1,200.		1,063.	137.
j 2,422.		2,147.	275.
k 1,225.		1,084.	141.
l 716.		634.	82.
m 2,099.		1,861.	238.
n 1,240.		1,113.	127.
o 238.		214.	24.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-18.
b			13.
c			120.
d			1,558.
e			0.
f			110.
g			279.
h			85.
i			137.
j			275.
k			141.
l			82.
m			238.
n			127.
o			24.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.						(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	HSBC	HLDGS	PLC	SER A6.200%	PREFERRED CLBL PAR	P	06/11/10	02/09/11
b	HSBC	HLDGS	PLC	SER A6.200%	PREFERRED CLBL PAR	P	06/11/10	02/08/11
c	HSBC	HLDGS	PLC	SER A6.200%	PREFERRED CLBL PAR	P	06/11/10	02/08/11
d	HSBC	HLDGS	PLC	SER A6.200%	PREFERRED CLBL PAR	P	06/11/10	02/08/11
e	HSBC	HLDGS	PLC	SER A6.200%	PREFERRED CLBL PAR	P	06/11/10	02/08/11
f	HSBC	HLDGS	PLC	SER A6.200%	PREFERRED CLBL PAR	P	06/11/10	02/08/11
g	HSBC	HLDGS	PLC	SER A6.200%	PREFERRED CLBL PAR	P	06/11/10	02/11/11
h	HSBC	HLDGS	PLC	SER A6.200%	PREFERRED CLBL PAR	P	06/11/10	02/11/11
i	MERRILL	LYNCH	CAP	6.450% DUE 12/15/66	CALLABLE	P	06/16/10	02/15/11
j	MERRILL	LYNCH	CAP	6.450% DUE 12/15/66	CALLABLE	P	06/16/10	02/15/11
k	MERRILL	LYNCH	CAP	6.450% DUE 12/15/66	CALLABLE	P	06/16/10	02/15/11
l	MERRILL	LYNCH	CAP	6.450% DUE 12/15/66	CALLABLE	P	06/16/10	02/15/11
m	MERRILL	LYNCH	CAP	6.450% DUE 12/15/66	CALLABLE	P	06/16/10	02/15/11
n	MERRILL	LYNCH	CAP	6.450% DUE 12/15/66	CALLABLE	P	06/16/10	02/15/11
o	MERRILL	LYNCH	CAP	6.450% DUE 12/15/66	CALLABLE	P	06/16/10	02/15/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	477.	428.	49.
b	1,215.	1,091.	124.
c	977.	877.	100.
d	2,429.	2,183.	246.
e	1,000.	899.	101.
f	1,214.	1,091.	123.
g	239.	214.	25.
h	263.	235.	28.
i	230.	199.	31.
j	1,219.	1,056.	163.
k	1,978.	1,714.	264.
l	989.	857.	132.
m	3,887.	3,368.	519.
n	253.	219.	34.
o	253.	219.	34.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			49.
b			124.
c			100.
d			246.
e			101.
f			123.
g			25.
h			28.
i			31.
j			163.
k			264.
l			132.
m			519.
n			34.
o			34.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MERRILL LYNCH CAP 6.450% DUE 12/15/66 CALLABLE	P	06/16/10	02/14/11
b	NEW YORK NY CITY TRANSTL FIN AU RV SER B B/E /R/	P	02/06/08	09/20/10
c	NEW YORK ST DORM AU REV AMBAC B/E /R/ 5.500	P	12/31/07	06/02/10
d	NEW YORK ST DORM AUTH LEASE SER A REV /R/ 5.375	P	01/10/08	05/24/10
e	NEW YORK ST URBAN DEV RV SER A REV FSA B/E /R/	P	12/16/05	06/02/10
f	TRIBOROUGH BRIDGE TUNNEL AU NY GEN PURP 97A	P	08/09/05	05/24/10
g	AKAMAI TECHNOLOGIES INC	P	07/10/09	09/22/10
h	AKAMAI TECHNOLOGIES INC	P	07/30/09	09/22/10
i	AMAZON.COM INC	P	06/09/06	09/22/10
j	AMAZON.COM INC	P	08/01/06	04/26/11
k	AMAZON.COM INC	P	08/01/06	09/22/10
l	AMGEN INC	P	01/02/08	12/14/10
m	AMGEN INC	P	01/02/08	09/22/10
n	ANADARKO PETROLEUM CORP	P	07/25/05	09/22/10
o	AUTODESK INC	P	11/17/05	09/22/10

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 921.		797.	124.
b 27,359.		26,370.	989.
c 26,015.		25,583.	432.
d 27,843.		26,683.	1,160.
e 43,052.		41,210.	1,842.
f 26,596.		25,588.	1,008.
g 751.		277.	474.
h 1,002.		334.	668.
i 2,283.		508.	1,775.
j 1,481.		208.	1,273.
k 761.		130.	631.
l 850.		700.	150.
m 1,411.		1,167.	244.
n 827.		669.	158.
o 951.		1,214.	-263.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			124.
b			989.
c			432.
d			1,160.
e			1,842.
f			1,008.
g			474.
h			668.
i			1,775.
j			1,273.
k			631.
l			150.
m			244.
n			158.
o			-263.

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	AUTODESK INC	P	05/04/06	09/22/10
b	BED BATH & BEYOND INC	P	01/02/08	03/10/11
c	BED BATH & BEYOND INC	P	01/02/08	09/22/10
d	BIOGEN IDEC INC	P	08/17/05	09/22/10
e	BROADCOM CORP CL A	P	09/07/06	09/22/10
f	CABLEVISION SYSTEMS CORP N Y GROUP CL A	P	01/03/06	09/22/10
g	CISCO SYSTEMS INC	P	01/03/06	09/22/10
h	COCA COLA CO COM	P	07/25/05	09/22/10
i	COMCAST CORP NEW SPECIAL CL A	P	01/03/06	09/22/10
j	COVIDIEN PLC **EXCHANGES EFF 03/2011**	P	01/03/06	09/22/10
k	CVS CAREMARK CORP	P	10/22/08	09/22/10
l	DOLBY LABORATORIES INC CL A	P	10/24/08	09/22/10
m	ELECTRONIC ARTS	P	09/09/05	10/13/10
n	ELECTRONIC ARTS	P	09/09/05	09/22/10
o	ELECTRONIC ARTS	P	06/09/06	10/28/10

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 634.		801.	-167.
b 460.		285.	175.
c 210.		142.	68.
d 1,646.		1,233.	413.
e 325.		271.	54.
f 254.		192.	62.
g 325.		258.	67.
h 874.		660.	214.
i 1,012.		1,025.	-13.
j 196.		183.	13.
k 453.		429.	24.
l 602.		300.	302.
m 415.		1,400.	-985.
n 162.		560.	-398.
o 47.		128.	-81.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-167.
b			175.
c			68.
d			413.
e			54.
f			62.
g			67.
h			214.
i			-13.
j			13.
k			24.
l			302.
m			-985.
n			-398.
o			-81.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ELECTRONIC ARTS	P	06/09/06	10/15/10
b	ELECTRONIC ARTS	P	06/09/06	10/13/10
c	ELECTRONIC ARTS	P	08/06/08	10/28/10
d	ELECTRONIC ARTS	P	11/21/08	11/03/10
e	ELECTRONIC ARTS	P	11/21/08	10/28/10
f	ELECTRONIC ARTS	P	08/19/09	11/05/10
g	ELECTRONIC ARTS	P	08/19/09	11/03/10
h	ELECTRONIC ARTS	P	08/19/09	11/10/10
i	ELECTRONIC ARTS	P	02/19/10	11/15/10
j	ELECTRONIC ARTS	P	02/19/10	11/16/10
k	EXPEDIA INC (DELA)	P	07/18/08	09/22/10
l	EXPEDIA INC (DELA)	P	02/27/09	02/11/11
m	EXPEDIA INC (DELA)	P	02/27/09	09/22/10
n	EXPEDIA INC (DELA)	P	02/27/09	02/25/11
o	EXPEDIA INC (DELA)	P	02/27/09	02/24/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	362.	941.	-579.
b	415.	1,070.	-655.
c	156.	450.	-294.
d	77.	88.	-11.
e	468.	530.	-62.
f	235.	285.	-50.
g	155.	190.	-35.
h	323.	380.	-57.
i	236.	250.	-14.
j	311.	333.	-22.
k	1,277.	874.	403.
l	434.	159.	275.
m	426.	120.	306.
n	902.	359.	543.
o	502.	199.	303.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-579.
b			-655.
c			-294.
d			-11.
e			-62.
f			-50.
g			-35.
h			-57.
i			-14.
j			-22.
k			403.
l			275.
m			306.
n			543.
o			303.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FIRST SOLAR INC	P	12/02/08	04/05/11
b	FIRST SOLAR INC	P	02/27/09	04/29/11
c	FIRST SOLAR INC	P	07/01/10	04/29/11
d	FLUOR CORP NEW	P	11/19/08	09/22/10
e	FOREST LABORATORIES	P	07/25/05	09/22/10
f	FREEPORT-MCMORAN COPPER & GOLD INC	P	02/27/09	09/22/10
g	GENL ELECTRIC CO	P	07/18/08	09/22/10
h	GENZYME CORP GEN DIV *MERGER EFF: 06/2011*	P	07/25/05	09/22/10
i	GENZYME CORP GEN DIV *MERGER EFF:06/2011*	P	05/04/06	02/17/11
j	HOME DEPOT INC	P	01/02/08	09/22/10
k	INTEL CORP	P	07/25/05	08/19/10
l	INTEL CORP	P	07/25/05	01/06/11
m	INTEL CORP	P	07/25/05	09/22/10
n	JOHNSON & JOHNSON COM	P	08/15/05	09/22/10
o	JUNIPER NETWORKS INC	P	10/22/08	09/22/10

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 774.		570.	204.
b 717.		532.	185.
c 1,434.		1,143.	291.
d 248.		166.	82.
e 315.		403.	-88.
f 845.		302.	543.
g 330.		556.	-226.
h 2,130.		2,052.	78.
i 3,012.		2,344.	668.
j 927.		809.	118.
k 856.		1,206.	-350.
l 1,239.		1,607.	-368.
m 285.		402.	-117.
n 621.		633.	-12.
o 1,477.		926.	551.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			204.
b			185.
c			291.
d			82.
e			-88.
f			543.
g			-226.
h			78.
i			668.
j			118.
k			-350.
l			-368.
m			-117.
n			-12.
o			551.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	JUNIPER NETWORKS INC	P	11/06/08	09/22/10
b	LIBERTY GLOBAL INC CL A	P	05/04/06	03/30/11
c	LIBERTY GLOBAL INC CL A	P	05/04/06	09/22/10
d	LIBERTY GLOBAL INC CL A	P	05/04/06	03/31/11
e	LIBERTY MEDIA INTERACTIVE SER A	P	01/03/06	09/22/10
f	LIBERTY MEDIA INTERACTIVE SER A	P	03/17/08	09/22/10
g	LIBERTY MEDIA CAPITAL CORP SER A	P	02/27/09	09/22/10
h	MADISON SQUARE GARDEN CO CL A	P	01/03/06	09/22/10
i	MADISON SQUARE GARDEN CO CL A	P	01/03/06	03/30/11
j	MADISON SQUARE GARDEN CO CL A	P	04/09/08	03/30/11
k	NASDAQ OMX GROUP (THE)	P	05/09/08	09/22/10
l	NATL-OILWELLVARCO INC	P	02/28/06	09/22/10
m	PALL CORP	P	09/20/05	09/22/10
n	PEPSICO INC	P	08/24/05	09/22/10
o	PROCTER & GAMBLE CO	P	01/04/06	09/22/10

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 148.		84.	64.
b 252.		122.	130.
c 300.		204.	96.
d 373.		183.	190.
e 483.		688.	-205.
f 153.		193.	-40.
g 2,567.		263.	2,304.
h 248.		190.	58.
i 404.		237.	167.
j 135.		81.	54.
k 292.		581.	-289.
l 515.		843.	-328.
m 821.		556.	265.
n 666.		546.	120.
o 309.		294.	15.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			64.
b			130.
c			96.
d			190.
e			-205.
f			-40.
g			2,304.
h			58.
i			167.
j			54.
k			-289.
l			-328.
m			265.
n			120.
o			15.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	QUALCOMM INC	P	10/06/08	06/02/10
b	QUALCOMM INC	P	10/10/08	06/02/10
c	QUALCOMM INC	P	10/17/08	06/10/10
d	QUALCOMM INC	P	11/06/09	06/21/10
e	QUALCOMM INC	P	11/06/09	07/12/10
f	QUALCOMM INC	P	02/02/10	07/12/10
g	ROCHE HLDG LTD SPONS ADR SWITZ ADR	P	09/17/08	07/23/10
h	ROCHE HLDG LTD SPONS ADR SWITZ ADR	P	09/23/08	09/22/10
i	ROCHE HLDG LTD SPONS ADR SWITZ ADR	P	09/23/08	07/23/10
j	ROCHE HLDG LTD SPONS ADR SWITZ ADR	P	04/22/09	09/28/10
k	ROCHE HLDG LTD SPONS ADR SWITZ ADR	P	02/03/10	09/28/10
l	SANDISK CORP	P	01/02/08	09/22/10
m	SEARS HOLDINGS CORP	P	02/27/09	06/29/10
n	SEARS HOLDINGS CORP	P	02/27/09	06/21/10
o	SEARS HOLDINGS CORP	P	02/27/09	06/10/10

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	534.	598.	-64.
b	356.	379.	-23.
c	869.	993.	-124.
d	540.	655.	-115.
e	350.	437.	-87.
f	874.	992.	-118.
g	646.	817.	-171.
h	172.	206.	-34.
i	485.	617.	-132.
j	659.	595.	64.
k	494.	632.	-138.
l	1,789.	1,677.	112.
m	679.	371.	308.
n	381.	186.	195.
o	388.	186.	202.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-64.
b			-23.
c			-124.
d			-115.
e			-87.
f			-118.
g			-171.
h			-34.
i			-132.
j			64.
k			-138.
l			112.
m			308.
n			195.
o			202.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	TEXAS INSTRUMENTS	P	07/25/05	03/10/11
b	TEXAS INSTRUMENTS	P	07/25/05	09/22/10
c	THE DIRECTV GROUP CL A	P	01/03/06	09/22/10
d	TYCO ELECTRONICS LTD **NAME CHANGE:03/2011**	P	07/18/08	09/22/10
e	TYCO ELECTRONICS LTD **NAME CHANGE:03/2011**	P	02/27/09	09/22/10
f	TYCO INTL LTD CHF	P	01/03/06	09/22/10
g	UNITEDHEALTH GROUP INC	P	07/25/05	09/22/10
h	VERTEX PHARMACEUTICAL INC	P	11/06/08	09/22/10
i	WALT DISNEY CO (HOLDING CO) DISNEY COM	P	10/05/01	09/22/10
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,032.		925.	107.
b 763.		925.	-162.
c 1,044.		308.	736.
d 846.		1,095.	-249.
e 846.		285.	561.
f 586.		676.	-90.
g 2,311.		3,312.	-1,001.
h 181.		135.	46.
i 1,531.		882.	649.
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			107.
b			-162.
c			736.
d			-249.
e			561.
f			-90.
g			-1,001.
h			46.
i			649.
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	68,188.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDENDS	59,412.	0.	59,412.
INTEREST	16,866.	0.	16,866.
TOTAL TO FM 990-PF, PART I, LN 4	76,278.	0.	76,278.

FORM 990-PF	OTHER INCOME	STATEMENT	2
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MAAS CHARITABLE REMAINDER UNITRUST	20.	20.	0.
TOTAL TO FORM 990-PF, PART I, LINE 11	20.	20.	0.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	7,500.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 16B	7,500.	0.	0.	0.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	31,627.	29,281.	0.	0.
TO FORM 990-PF, PG 1, LN 16C	31,627.	29,281.	0.	0.

FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
NYS DEPT OF LAW	250.	0.	0.	0.	
FOREIGN TAXES PAID	1,289.	0.	0.	0.	
FEDERAL ESTIMATES TAXES	1,537.	0.	0.	0.	
TO FORM 990-PF, PG 1, LN 18	3,076.	0.	0.	0.	

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT	6
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE	
UBS 63388 20 SEE SCHEDULE ATTACHED	X		144,582.	150,052.	
UBS 63388 20 SEE SCHEDULE ATTACHED	X		60,681.	61,114.	
UBS 68328 20 SEE SCHEDULE ATTACHED		X	153,432.	157,937.	
TOTAL U.S. GOVERNMENT OBLIGATIONS			205,263.	211,166.	
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			153,432.	157,937.	
TOTAL TO FORM 990-PF, PART II, LINE 10A			358,695.	369,103.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	7
DESCRIPTION	BOOK VALUE		FAIR MARKET VALUE	
UBS 63392 20 SEE SCHEDULE ATTACHED	239,716.		437,208.	
UBS 63391 20 SEE SCHEDULE ATTACHED	355,458.		396,378.	
UBS 63389 20 SEE SCHEDULE ATTACHED	365,355.		458,661.	
UBS 63390 20 SEE SCHEDULE ATTACHED	122,753.		190,918.	
UBS 68328 20 SEE SCHEDULE ATTACHED	58,441.		73,526.	
UBS 68329 20 SEE SCHEDULE ATTACHED	137,041.		205,039.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,278,764.		1,761,730.	

FORM 990-PF	CORPORATE BONDS	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
UBS 63388 20 SEE SCHEDULE ATTACHED	168,318.	198,635.
UBS 68328 20 SEE SCHEDULE ATTACHED	254,206.	277,378.
TOTAL TO FORM 990-PF, PART II, LINE 10C	422,524.	476,013.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
UBS 63388 20 SEE SCHEDULE ATTACHED	COST	14,990.	14,996.
TOTAL TO FORM 990-PF, PART II, LINE 13		14,990.	14,996.

FORM 990-PF	OTHER ASSETS	STATEMENT	10
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
ACCRUED INTEREST RECEIVABLE	7,206.	3,670.	3,670.
TO FORM 990-PF, PART II, LINE 15	7,206.	3,670.	3,670.

FORM 990-PF

GRANTS AND CONTRIBUTIONS
PAID DURING THE YEAR

STATEMENT 11

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
AMERICAN RED CROSS 2025 E STREET NW WASHINGTON, DC 20006	NONE GENERAL SUPPORT	PUBLIC CHARITY	2,000.
CALVARY HOSPITAL 150 55TH STREET BROOKLYN, NY 11220	NONE GENERAL SUPPORT	PUBLIC CHARITY	5,000.
CLARK GARDENS 193 I.U. WILLETS ROAD ALBERTSON, NY 11507	NONE GENERAL SUPPORT	PUBLIC CHARITY	300.
COVENANT HOUSE PO BOX 731 NEW YORK, NY 10108	NONE GENERAL SUPPORT	PUBLIC CHARITY	500.
HOSPICE CARE NETWORK 99 SUNNYSIDE BOULEVARD WOODBURY, NY 11797	NONE GENERAL SUPPORT	PUBLIC CHARITY	15,000.
IRISH AMERICAN CULTURAL INSTITUTE 1 LACKAWANNA PLACE MORRISTOWN, NJ 07960	NONE GENERAL SUPPORT	PUBLIC CHARITY	1,000.
JERICO JEWISH CENTER 430 N BROADWAY JERICO, NY 11753	NONE GENERAL SUPPORT	PUBLIC CHARITY	5,000.
LONG ISLAND ALZHEIMERS FOUNDATION 5 CHANNEL DRIVE PORT WASHINGTON, NY 11050	NONE GENERAL SUPPORT	FOUNDATION	1,000.

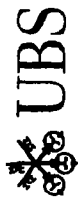
STANLEY AND CATHERINE MAAS FOUNDATION

11-2690167

NORTH SHORE LI JEWISH HEALTH SYSTEM 125 COMMUNITY DRIVE GREAT NECK, NY 11021	NONE GENERAL SUPPORT	FOUNDATION	12,000.
PARKINSON'S DISEASE FOUNDATION, INC 1359 BROADWAY NEW YORK, NY 10018	NONE GENERAL SUPPORT	FOUNDATION	1,000.
SALVATION ARMY 615 SLATERS LANE - PO BOX 269 ALEXANDRIA, VA 22313	NONE GENERAL SUPPORT	PUBLIC CHARITY	1,500.
SIMON WISENTHAL CENTER 1399 SOUTH ROXBURY LOS ANGELES, CA 90035	NONE GENERAL SUPPORT	PUBLIC CHARITY	5,000.
ST FRANCIS HOSPITAL 100 PORT WASHINGTON BLVD ROSLYN, NY 11576	NONE GENERAL SUPPORT	PUBLIC CHARITY	12,000.
THE WHITEY FORD FOUNDATION PO BOX 160 SEA CLIFF, NY 11579	NONE GENERAL SUPPORT	PUBLIC CHARITY	500.
VOLUNTEERS OF AMERICA 1660 DUKE ST ALEXANDRIA, VA 22314	NONE GENERAL SUPPORT	PUBLIC CHARITY	500.

TOTAL TO FORM 990-PF, PART XV, LINE 3A

62,300.



Account name: STANLEY AND CATHERINE MAAS
Account number: RF 68328 20

Your assets • Fixed income • Preferred securities (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
Total				\$160,504.14		\$175,898.46	\$15,394.32	

Total estimated annual income: \$12,081

Your total assets

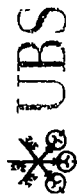
Cash and money balances			Value on Apr 29 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Equities			10,965.44	2.10%	10,965.44		
Convertible securities			11,190.00		10,870.20	255.00	319.80
Closed end funds & Exchange traded products			62,335.54		47,570.46	4,832.00	14,765.08
Total accrued interest			116.16				
Total equities			73,641.70	14.09%	58,440.66	5,087.00	15,084.88
Fixed income			157,936.70		153,432.49	7,313.00	4,504.21
Municipal securities							
Closed end funds & Exchange traded products			59,157.62		54,076.73	4,237.00	5,080.88
Mutual funds			42,322.11		39,624.51	2,146.00	2,697.60
Preferred securities			175,898.46		150,504.14	12,081.00	15,394.32
Total accrued interest			2,741.29				
Total fixed income			438,056.18	83.81%	407,637.87	25,777.00	27,677.01
Total			\$522,663.32	100.00%	\$477,043.97	\$30,864.00	\$42,761.89

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Mar 31		Cash and money balance					\$22,726.10
Apr 1	Dividend	NUVEEN MULTI-STRATEGY INCOME & GROWTH 2 PAID ON 3/24/8				617.12	
Apr 1	Dividend	VIRTUS MULTI-SECTOR SHORT TERM BOND FUND - CLASS A AS OF 03/31/11				44.64	
Apr 1	Reinvestment	VIRTUS MULTI-SECTOR SHORT TERM BOND FUND - CLASS A DIVIDEND REINVESTED AT 4.85 NAV ON 03/31/11 AS OF 03/31/11		9.204		-44.64	

continued next page



Portfolio Management Program
April 2011

Account name:
Account number:

STANLEY AND CATHERINE MAAS
RF 68328 20

Your Financial Advisor:
ROBERT D. COHEN
516-745-8800/800-333-7707

Your assets • Fixed income • Preferred securities (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
FLEET CAP TRUST VIII								
7 2000% DUE 03/15/32								
CALL 03/08/07 @ 25 00								
Symbol FBF PRIM Exchange NYSE								
EAI \$1,307 Current yield 7 15%	May 28, 10	467 000	22 400	10,460 80	25 170	11,754 39	1,293 59	ST
	Sep 22, 10	259 000	25 037	6,484 75	25 170	6,519 03	34 28	ST
Security total		726 000		16,945 55		18,273 42	1,327 87	
GOLDMAN SACHS GROUP INC								
FLTNQ RATE SR D								
3 ML +678PS								
Symbol GS PRD Exchange NYSE								
EAI \$562 Current yield 4 34%	May 28, 10	568 000	18 900	10,735 20	22 810	12,956 08	2,220 88	ST
ING GROEP N V 8 500% PREFERRED								
CLBL PAR VALUE - 25 00 USD								
Symbol IGK Exchange NYSE								
EAI \$1,870 Current yield 8 13%	May 28, 10	482 000	21 667	10,443 79	26 130	12,594 66	2,150 87	ST
	Feb 9, 11	398 000	25 830	10,280 34	26 130	10,399 74	119 40	ST
Security total		880 000		20,724 13		22,994 40	2,270 27	
SANTANDER FINANCE PREF SA UNI								
SER 10 10 500% PREFERRED CLBL								
Symbol STD PRE Exchange NYSE								
EAI \$1,399 Current yield 9 12%	Jun 3, 10	159 000	26 330	4,186 47	28 790	4,577 61	391 14	ST
	Jun 4, 10	159 000	26 200	4,165 80	28 790	4,577 61	411 81	ST
	Sep 22, 10	39 000	28 490	1,111 11	28 790	1,122 81	11 70	ST
	Nov 10, 10	41 000	28 698	1,176 65	28 790	1,180 39	3 74	ST
	Jan 27, 11	135 000	27 830	3,757 05	28 790	3,886 65	129 60	ST
Security total		533 000		14,397 08		15,345 07	947 99	
SANTANDER FIN PFD SA GTD PFD								
PREFERRED CLBL PAR VALUE -								
25 00 USD								
Symbol STD PRB Exchange NYSE								
EAI \$1,303 Current yield 4 96%	Jun 16, 10	513 000	16 279	8,351 41	20 390	10,460 07	2,108 66	ST
	Dec 21, 10	776 000	17 464	13,552 41	20 390	15,822 64	2,270 23	ST
Security total		1,289 000		21,903 82		26,282 71	4,378 89	

continued next page





Account name: STANLEY AND CATHERINE MAAS
Account number: RF 68328 20

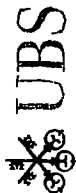
Your assets • Fixed income • Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Total reinvested	87 290	4 797		418 79	4 910	428 59	9 80		
EAI \$557 Current yield 4.80%									
Security total	2,362 065	4 627	10,509 46	10,928 25		11,597 73	669 48	1,088 27	
Total			\$38,041.94	\$39,624.51		\$42,322.11	\$2,697.60	\$4,280.17	
Total estimated annual income: \$2,146									

Preferred securities

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
BANK OF AMER CORP SER 5 PREFERRED CLBL								
Symbol BML PRL Exchange NYSE								
EAI \$1.382 Current yield 5.36%	May 13, 10	928 000	18 186	16,877 07	19 070	17,596 96	819 89	ST
	Dec 21, 10	424 000	17 049	7,229 04	19 070	8,085 68	856 64	ST
Security total		1,352 000		24,106 11		25,782 64	1,576 53	
BANK OF AMER CORP 8 625% PREFERRED CLBL								
Symbol BML PRQ Exchange NYSE								
EAI \$1.503 Current yield 8.01%	Feb 25, 11	697 000	26 039	18,149 87	26 920	18,763 24	613 37	ST
BARCLAYS BANK PLC ADR PFD SR 5 SER 5 8 125% PREFERRED CLBL PAR VALUE - 25 00 USD								
Symbol BCS PRD Exchange NYSE								
EAI \$1.858 Current yield 7.63%	Jun 2, 10	346 000	24 140	8,352 44	26 600	9,203 60	851 16	ST
	Feb 2, 11	77 000	25 780	1,985 06	26 600	2,048 20	63 14	ST
	Mar 9, 11	492 000	25 790	12,688 68	26 600	13,087 20	398 52	ST
Security total		915 000		23,026 18		24,339 00	1,312.82	
CITIGROUP CAPITAL XII FXD/FLT TRUST PFD 8 50% DUE 3/30/2040								
Symbol C PRJ Exchange NYSE								
EAI \$897 Current yield 8.04%	May 28, 10	422 000	24 919	10,516 20	26 450	11,161 90	645 70	ST

continued next page



Portfolio Management Program
April 2011

Account name:
Account number:

STANLEY AND CATHERINE MAAS
RF 68328 20

Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets • Fixed income • Closed end funds & Exchange traded products (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
Total				\$54,076.73		\$59,157.62	\$5,080.88	

Total estimated annual income: \$4,237

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.
Investment return is the current value minus the amount you invested. It does not include any cash dividends that were not reinvested.

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
HARTFORD FLOATING RATE										
FUND CLASS A										
Symbol HFLAX										
Trade date	Jun 16, 10	1,236.488	8.500	10,510.15	10,510.15	8.980	11,103.66	593.51		ST
Trade date	Feb 15, 11	490.043	9.000	4,410.39	4,410.39	8.980	4,400.59	-9.80		ST
Total reinvested		52.359	8.810	461.33	461.33	8.980	470.18	8.85		
EAI \$805 Current yield 5.04%										
Security total		1,778.890	8.647	14,920.54	15,381.87		15,974.43	592.56	1,053.89	

LOOMIS SAYLES

STRATEGIC INCOME FUND

CLASS A

Symbol NEFZX

Trade date Jun 16, 10

Total reinvested

EAI \$784 Current yield 5.32%

Security total

899.568	14.020	12,611.94	12,611.94	15.570	14,006.26	1,394.32				ST
47.764	14.706	702.45	702.45	15.570	743.69	41.24				
947.332	14.055	13,314.39	13,314.39		14,749.95	1,435.56	2,138.01			

VIRTUS MULTI-SECTOR

SHORT TERM BOND

FUND - CLASS A

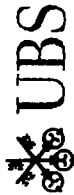
Symbol NARAX

Trade date Jun 16, 10

2,274.775	4.620	10,509.46	10,509.46	4.910	11,169.14	659.68				ST
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Account name: STANLEY AND CATHERINE MAAS
Account number: RF 68328 20

Your assets • Fixed income • Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
Total		\$145,000,000		\$153,432.49		\$157,936.70	\$4,504.21	

Total accrued interest: \$2,741.29

Total estimated annual income: \$7,313

* Indicates cost basis information provided by you or another third party. UBS FS has not verified this information and does not guarantee its accuracy.

Closed end funds & Exchange traded products

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
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BLACKROCK PREFERRED

OPPORTUNITY TRUST SHS

Symbol BPP Exchange NYSE

EAI 5864 Current yield 6.04%

	Jun 23, 10	406 000	10 338	4,197.46	10 734	4,358.00	160.54	ST
	Jul 12, 10	88 000	10 420	916.96	10 734	944.59	27.63	ST
	Dec 21, 10	839 000	10 340	8,675.26	10 734	9,005.82	330.56	ST
Security total		1,333 000		13,789.68		14,308.42	518.73	

EATON VANCE SENIOR INCOME

TRUST SBI

Symbol EVF Exchange NYSE

EAI 5537 Current yield 5.58%

	Jun 11, 10	1,251 000	6 640	8,306.64	7 320	9,157.32	850.68	ST
	Earnings	64 000	7 066	452.24	7 320	468.48	16.24	
Security total		1,315 000		8 758.88		9,625.80	866.92	

MORGAN STANLEY EMERGING

MARKETS DOMESTIC DEBT FD INC

Symbol EDD Exchange NYSE

EAI 5368 Current yield 6.89%

	Jun 2, 10	297 000	14 540	4,172.98	17 400	4,993.80	820.82	ST
	Earnings	20 000	16 589	331.79	17 400	348.00	16.21	
Security total		307 000		4 504.77		5,341.80	837.03	

NUVEEN MULTI-STRATEGY INCOME &

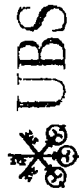
GROWTH 2

Symbol JQC Exchange NYSE

EAI 52,468 Current yield 8.26%

	May 28, 10	1,330 000	7 868	10,464.48	9 200	12,236.00	1,771.52	ST
	Dec 21, 10	1,455 000	8 529	12,410.44	9 200	13,386.00	975.56	ST
	Feb 15, 11	463 000	8 960	4,118.48	9 200	4,259.60	111.12	ST
Security total		3 248 000		27,023.40		29,881.60	2,858.20	

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Portfolio Management Program
April 2011

Account name:
Account number:

STANLEY AND CATHERINE MAAS
RF 68328 20

Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets > Fixed income > Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
LONG ISLAND PWR AU NY								
SER E-CIF CRC CFIG /R/								
RATE 05 000% MATURES 12/01/22								
CALLABLE 12/01/16 @ 100 00								
ACCURED INTEREST \$308 33								
CUSIP 542690876								
Moody A3 S&P A-								
EAI \$750 Current yield 4.73%								
Original cost basis \$16,042 50	Oct 20, 06	15,000 000	104 192	15,628 94 ¹	105 663	15,849 45	220 51	LT
PORT AUTH NY & NJ								
FSA RV BE/R/								
RATE 05 000% MATURES 12/01/22								
CALLABLE 06/01/15 @ 101 00								
ACCURED INTEREST \$513 89								
CUSIP 73358TNL1								
Moody Aa2 S&P AA+								
EAI \$1,250 Current yield 4.61%								
Original cost basis \$26,140 25	Apr 02, 08	25,000 000	103 165	25,791 43 ¹	108 521	27,130 25	1,338 82	LT
NYS DORM AUTH REV NORTH								
REV FGIC B/E /R/								
RATE 05 250% MATURES 07/01/23								
ACCURED INTEREST \$430 20								
CUSIP 649903LM1								
Moody Not rated S&P 888								
EAI \$1,313 Current yield 4.94%								
Original cost basis \$27,373 50	Jul 11, 07	25,000 000	107 794	26,948 60 ¹	106 240	26,560 00	-388 60	LT
NYC MUNI WTR FIN AU W&S								
SYS SER B RV AMBAC BE/R/								
RATE 05 000% MATURES 06/15/24								
CALLABLE 12/15/14 @ 100 00								
ACCURED INTEREST \$558 33								
CUSIP 64970KU54								
Moody Aa1 S&P AAA								
EAI \$1,500 Current yield 4.75%								
Original cost basis \$31,574 10	May 19, 09	30,000 000	103 547	31,064 31	105 215	31,564 50	500 19	LT

continued next page





Account name: STANLEY AND CATHERINE MAAS
Account number: RF 68328 20

Your assets • Equities • Closed end funds & Exchange traded products (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
COHEN & STEERS INFRASTRUCTURE FUND INC								
Symbol UTF Exchange NYSE								
EAI \$3,829 Current yield 7.84%	Sep 22, 09	2,570.343	13.303	34,195.00	18.370	47,217.19	13,022.19	LT
Earnings		88.283	13.708	1,210.21	18.370	1,621.76	411.55	
Security total		2,658.626		35,405.21		48,838.95	13,433.74	
Total				\$47,570.46		\$62,335.54	\$14,765.08	

Total estimated annual income: \$4,832

Fixed income

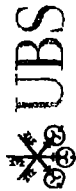
Municipal securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been automatically adjusted for mandatory amortization of bond premium.

on coupon tax-exempt municipal securities and for accreted original issue discount for securities issued at a discount. When original cost basis is displayed, amortization has been done using the constant yield method otherwise amortization has been done using the straight line method.

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
LAKELAND CE/ITL SCH DST								
SER B FSA BE/R/								
RATE 05.000% MATURES 06/15/18								
ACCRUED INTEREST \$465.27								
CUSIP 511648JN8								
Moody Aa2 S&P Not rated								
EAI \$1,250 Current yield 4.29%								
Original cost basis \$28,514.25	Jan 24, 08	25,000.000	110.155	27,538.95	116.489	29,122.25	1,583.30	LT
NEW YORK ST ENVIRONMENTA								
ST WTR OLD RV B/E /R/								
RATE 05.000% MATURES 06/15/20								
CALLABLE 06/15/16 @ 100.00								
ACCRUED INTEREST \$465.27								
CUSIP 64986APM7								
Moody Aa1 S&P AA+								
EAI \$1,250 Current yield 4.51%								
Original cost basis \$27,252.00	Jan 31, 08	25,000.000	105.841	26,460.26	110.841	27,710.25	1,249.99	LT

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Portfolio Management Program
April 2011

Account name:
Account number:

STANLEY AND CATHERINE MAAS
RF 68328 20

Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Apr 1 (\$)	Closing balance on Apr 29 (\$)	Price per share on Apr 29 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	224.19	0.00				
RMA MONEY MKT PORTFOLIO	22,501.91	10,965.44	1.00	0.01%	Mar 25 to Apr 24	31
Total	\$22,726.10	\$10,965.44				

Equities

Convertible securities

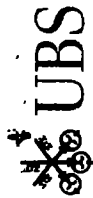
Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
FORD MOTOR CO CONV B/E								
RATE 04 250% MATURES 11/15/15								
ACCRUED INTEREST \$116.16								
CUSIP 345370CN8								
Moodys Ba3 S&P B+								
EAI \$255 Current yield 2.28%	Mar 29, 11	6,000,000	181.170	10,870.20	186.500	11,190.00	319.80	ST

Closed end funds & Exchange traded products

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
CALAMOS CONV & HIGH INCOME								
Symbol CHY Exchange NYSE								
EAI \$1,003 Current yield 7.43%	Dec 21, 10	959,000	12.356	11,850.22	13.730	13,167.07	1,316.85	ST
	Earnings	24,000	13.126	315.03	13.730	329.52	14.49	
Security total		983,000		12,165.25		13,496.59	1,331.34	

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Account name: STANLEY AND CATHERINE MAAS
Account number: RF 68329 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
YAHOO INC								
Symbol YHOO Exchange OTC	Mar 20, 09	65 000	13 665	888 27	17 700	1,150 50	262 23	LT
	Feb 19, 10	80 000	15 480	1,238 40	17 700	1,416 00	177 60	LT
Security total		145 000	14 667	2,126 67		2,566 50	439 83	
Total				\$137,041.04		\$205,038.95	\$67,997.91	
Total estimated annual income: \$2,214								

¹ Indicates cost basis information provided by you or another third party. UBS FS has not verified this information and does not guarantee its accuracy.

Your total assets

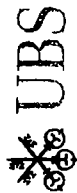
	Value on Apr 29 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	3,351.76	1.61%	3,351.76 ✓		
Equities	205,038.95	98.39%	137,041.04 ✓	2,214.00	67,997.91
Total	\$208,390.71	100.00%	\$140,392.80	\$2,214.00	\$67,997.91

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Mar 31		Cash and money balance					\$2,330.11
Apr 1	Dividend	COCA COLA CO COM PAID ON	75			35 25	
Apr 1	Foreign Dividend	SCHLUMBERGER LTD NETHERLANDS ANTILLES PAID ON 30				7 50	2,372 86
Apr 4	Dividend	FLUOR CORP NEW PAID ON	45			5 63	
Apr 4	Sold	LIBERTY GLOBAL INC CL A Other fees and charges \$ 01		-6 000	42 014 700	252 08	
Apr 4	Sold	Average Priced Trade ▶ SYMBOL LBTYA ▶ CUSIP NO 530555101 ▶ Location of Execution 09 ▶ Capacity Agent and charges \$ 02		-20 000	26 964 000	539 26	
		Average Priced Trade ▶ SYMBOL MSG ▶ CUSIP NO 55826P100 ▶ Location of Execution 09 ▶ Capacity Agent					

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ACCESS
April 2011

Account name:
STANLEY AND CATHERINE MAAS
Account number:
RF 68329 20

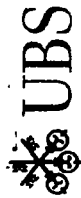
Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets > Equities > Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
TYCO INTL LTD CHF								
Symbol TYC Exchange NYSE								
EAI \$95 Current yield 2.05%	Jan 3, 06	30 000	45 000	1,351 99 ¹	48 740	1,462 20	110 21	LT
	Feb 2, 06	7 000	41 900	293 77 ¹	48 740	341 18	47 41	LT
	Nov 8, 07	25 000	41 060	1,026 50 ¹	48 740	1,218 50	192 00	LT
	Jan 2, 08	20 000	39 210	784 26 ¹	48 740	974 80	190 54	LT
	Jul 18 08	13 000	41 420	538 46 ¹	48 740	633 62	95 16	LT
Security total		95 000	42 052	3,994 98		4,630 30	635 32	
UNITED PARCEL SERVICE INC CL B								
Symbol UPS Exchange NYSE								
EAI \$52 Current yield 2.77%	Mar 16, 11	15 000	71 542	1,073 13	74 970	1,124 55	51 42	ST
	Mar 30, 11	10 000	74 591	745 91	74 970	749 70	3 79	ST
Security total		25 000	72 762	1,819 04		1,874 25	55 21	
UNITEDHEALTH GROUP INC								
Symbol UNH Exchange NYSE								
EAI \$70 Current yield 1.02%	Jul 25, 05	30 000	50 950	1,528 50 ¹	49 230	1,476 90	-51 60	LT
	May 4, 06	40 000	46 900	1,876 00 ¹	49 230	1,969 20	93 20	LT
	May 1, 08	30 000	32 960	988 80 ¹	49 230	1,476 90	488 10	LT
	Apr 1, 09	40 000	20 691	827 68	49 230	1,969 20	1,141 52	LT
Security total		140 000	37 293	5,220 98		6,892 20	1,671 22	
VERTEX PHARMACEUTICAL INC								
Symbol VRTX Exchange OTC								
WALT DISNEY CO (HOLDING CO)	Nov 6, 08	40 000	26 970	1,078 90 ¹	55 050	2,202 00	1,123 10	LT
DISNEY COM								
Symbol DIS Exchange NYSE								
EAI \$36 Current yield 0.93%	Oct 5, 01	65 000	19 590	1,273 35 ¹	43 100	2,801 50	1,528 15	LT
	Sep 26, 05	25 000	22 990	574 75 ¹	43 100	1,077 50	502 75	LT
Security total		90 000	20 534	1,848 10		3,879 00	2,030 90	
WEATHERFORD INTL LTD CHF								
Symbol WFT Exchange NYSE								
	Jul 25, 05	210 000	15 860	3,331 13 ¹	21 580	4,531 80	1,200 67	LT
	Apr 1, 09	56 000	11 454	641 44	21 580	1,208 48	567 04	LT
Security total		266 000	14 934	3,972 57		5,740 28	1,767 71	

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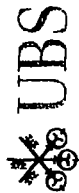


Account name. STANLEY AND CATHERINE MAAS
Account number. RF 68329 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
SANDISK CORP								
Symbol SNDK Exchange OTC	Jan 2, 08	5 000	33 540	167 70 ¹	49 330	246 65	78 95	LT
	Feb 27, 09	35 000	8 930	312 55	49 330	1,726.55	1,414 00	LT
	Mar 9, 11	10 000	46 243	462 44	49 330	493 30	30 86	ST
Security total		50 000	18 854	942 69		2,466.50	1,523 81	
SCHLUMBERGER LTD NETHERLANDS ANTILLES								
Symbol SLB Exchange NYSE	Jun 10, 10	15 000	57 875	868.13	89 750	1,346 25	478 12	ST
EAI \$30 Current yield 1.11%	Jul 1, 10	5 000	54 803	274 02	89 750	448 75	174 73	ST
	Aug 24, 10	10 000	55 211	552 11	89 750	897 50	345 39	ST
Security total		30 000	56 475	1,694 26		2,692 50	998 24	
SCHWAB CHARLES CORP NEW								
Symbol SCHW Exchange NYSE	Feb 27, 09	130 000	12 828	1,667 67	18 310	2,380 30	712 63	LT
EAI \$31 Current yield 1.30%								
SEAGATE TECHNOLOGY PLC SHS								
Symbol STX Exchange OTC	Feb 27, 09	190 000	4 230	803 70	17 620	3,347 80	2,544 10	LT
EAI \$137 Current yield 4.09%								
TE CONNECTIVITY LTD CHF								
Symbol TEL Exchange NYSE	Feb 27, 09	95 000	9 508	903 30	35 850	3,405 75	2,502 45	LT
EAI \$61 Current yield 1.79%								
TEXAS INSTRUMENTS								
Symbol TXN Exchange NYSE	Jul 25, 05	35 000	30 840	1,079 52 ¹	35 530	1,243 55	164 03	LT
EAI \$57 Current yield 1.46%	Oct 31, 05	40 000	28 600	1,144 00 ¹	35 530	1,421 20	277 20	LT
	Aug 7, 08	35 000	25 730	900 55 ¹	35 530	1,243 55	343 00	LT
Security total		110 000	28 401	3,124 07		3,908 30	784 23	
THE DIRECTV GROUP CL A								
Symbol DTV Exchange OTC	Jan 3, 06	45 000	12 320	554 41 ¹	48 590	2,186 55	1,632 14	LT
THERMO FISHER SCIENTIFIC INC								
Symbol TMO Exchange NYSE	Jun 10, 10	15 000	51 145	767 18	59 990	899 85	132 67	ST
	Jul 1, 10	10 000	47 914	479 15	59 990	599 90	120 75	ST
	Oct 13, 10	15 000	49 164	737 46	59 990	899 85	162 39	ST
Security total		40 000	49 595	1,983 79		2,399 60	415 81	

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ACCESS
April 2011

Account name:
Account number:

STANLEY AND CATHERINE MAAS
RF 68329 20

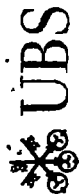
Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		110 000	30 183	3,320 09		2,983 20	-336 89	
NATL-OILWELLVARCO INC								
Symbol NOV Exchange NYSE								
EAI \$15 Current yield 0 56%	Feb 28, 06	5 000	70 240	351 21 ¹	76 690	383 45	32 24	LT
	Oct 24, 08	30 000	24 060	721 80 ¹	76 690	2,300 70	1,578 90	LT
Security total		35 000	30 657	1,073 01		2,684 15	1,611 14	
NETAPP INC								
Symbol NTAP Exchange OTC								
	Feb 24, 11	10 000	50 719	507 20	52 110	521 10	13 90	ST
	Feb 25, 11	15 000	52 287	784 31	52 110	781 65	-2 66	ST
	Mar 16, 11	10 000	47 011	470 12	52 110	521 10	50 98	ST
Security total		35 000	50 332	1,761 63		1,823 85	62 22	
NUCOR CORP								
Symbol NUE Exchange NYSE								
EAI \$73 Current yield 3 11%	Feb 27, 09	30 000	33 728	1,011 85	46 960	1,408 80	396 95	LT
	Feb 19, 10	20 000	43 979	879 59	46 960	939 20	59 61	LT
Security total		50 000	37 829	1,891 44		2,348 00	456 56	
NVIDIA CORP								
Symbol NVDA Exchange OTC								
	May 30, 08	115 000	24 460	2,812 90 ¹	20 000	2,300 00	-512 90	LT
	Sep 10, 08	45 000	10 940	492 30 ¹	20 000	900 00	407 70	LT
Security total		160 000	20 658	3,305 20		3,200 00	-105 20	
ORACLE CORP								
Symbol ORCL Exchange OTC								
EAI \$8 Current yield 0 64%	Oct 13, 10	35 000	28 496	997 36	35 960	1,258 60	261 24	ST
PALL CORP								
Symbol PLL Exchange NYSE								
EAI \$42 Current yield 1 20%	Sep 20, 05	60 000	27 780	1,666 80 ¹	58 440	3,506 40	1,839 60	LT
PEPSICO INC								
Symbol PEP Exchange NYSE								
EAI \$96 Current yield 2 79%	Aug 24, 05	50 000	54 550	2,727 57 ¹	68 890	3,444 50	716 93	LT
PROCTER & GAMBLE CO								
Symbol PG Exchange NYSE								
EAI \$116 Current yield 3 25%	Jan 4, 06	55 000	58 880	3,238 40 ¹	64 900	3,569 50	331 10	LT

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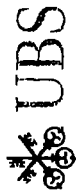


Account name: STANLEY AND CATHERINE MAAS
Account number: RF 68329 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
JUNIPER NETWORKS INC								
Symbol JNPR Exchange NYSE	Nov 6, 08	25 000	16 780	419 571	38 330	958 25	538 68	LT
	Feb 27, 09	50 000	14 358	861 49	38 330	2,299 80	1,438 31	LT
Security total		85 000	15 071	1,281 06		3,258 05	1,976 99	
L-3 COMMUNICATIONS HOLDINGS CORP								
Symbol LLL Exchange NYSE	Jul 25, 05	40 000	78 160	3,126 401	80 190	3,207 60	81 20	LT
EAI 572 Current yield 2.24%								
LIBERTY MEDIA INTERACTIVE SER A								
Symbol LINTA Exchange OTC	Mar 17, 08	3 000	16 050	48 151	17 480	52 44	4 29	LT
	Feb 27, 09	127 000	3 170	402 59	17 480	2,219 96	1,817 37	LT
Security total		130 000	3 467	450 74		2,272 40	1,821 66	
LIBERTY MEDIA CAPITAL CORP SER A								
Symbol LCAPA Exchange OTC	Feb 27, 09	30 000	5 258	157 75	82 270	2,468 10	2,310 35	LT
LIBERTY MEDIA STARZ SER A								
Symbol LSTZA Exchange OTC	Jan 3, 06	10 000	19 278	192 781	76 850	768 50	575 72	LT
MICROSOFT CORP								
Symbol MSFT Exchange OTC	Jul 25, 05	110 000	25 890	2,847 901	25 920	2,851 20	3 30	LT
EAI 580 Current yield 2.47%	Aug 6, 08	15 000	26 750	401 251	25 920	388 80	-12 45	LT
Security total		125 000	25 993	3,249 15		3,240 00	-9 15	
MONSANTO CO NEW								
Symbol MON Exchange NYSE	Jan 21, 10	10 000	81 807	818 07	68 040	680 40	-137 67	LT
EAI 534 Current yield 1.67%	Jan 27, 10	5 000	77 000	385 00	68 040	340 20	-44 80	LT
	Feb 19, 10	5 000	77 770	388 85	68 040	340 20	-48 65	LT
	Oct 4, 10	10 000	47 720	477 21	68 040	680 40	203 19	ST
Security total		30 000	68 971	2,069 13		2,041 20	-27 93	
NASDAQ OMX GROUP (THE)								
Symbol NDAQ Exchange OTC	May 9, 08	55 000	38 710	2,129 051	27 120	1,491 60	-637 45	LT
	Jun 11, 08	10 000	31 890	318 901	27 120	271 20	-47 70	LT
	Dec 2, 09	45 000	19 380	872 14	27 120	1,220 40	348 26	LT

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April 2011

Account name:
Account number

STANLEY AND CATHERINE MAAS
RF 68329 20

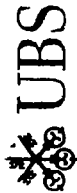
Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
FOREST LABORATORIES								
Symbol FRX Exchange NYSE	Jul 25, 05	85 000	40 280	3,423 80 ¹	33 160	2,818 60	-605 20	LT
	Oct 16, 07	10 000	38 320	383 22 ¹	33 160	331 60	-51 62	LT
	Jul 18, 08	15 000	36 710	550 65 ¹	33 160	497 40	-53 25	LT
Security total		110 000	39 615	4,357 67		3,647 60	-710 07	
FREEPORT-MCMORAN COPPER & GOLD INC								
Symbol FCX Exchange NYSE	Feb 27, 09	60 000	15 118	907 13	55 020	3,301 20	2,394 07	LT
EAI \$60 Current yield 1 82%								
GENL ELECTRIC CO								
Symbol GE Exchange NYSE	Jul 18, 08	10 000	27 810	278 10 ¹	20 450	204 50	-73 60	LT
EAI \$114 Current yield 2 93%	Feb 27, 09	180 000	8 558	1,540 57	20 450	3,681 00	2,140 43	LT
Security total		190 000	9 572	1,818 67		3,885 50	2,066 83	
GOOGLE INC CL A								
Symbol GOOG Exchange OTC	Sep 22, 08	1 000	442 100	442 10 ¹	544 100	544 10	102 00	LT
	Sep 23, 08	1 000	436 130	436 13 ¹	544 100	544 10	107 97	LT
	Oct 22, 08	3 000	358 190	1 074 58 ¹	544 100	1,632 30	557 72	LT
Security total		5 000	390 562	1,952 81		2,720 50	767 69	
HOME DEPOT INC								
Symbol HD Exchange NYSE	Jan 2, 08	110 000	26 970	2,966 96 ¹	37 150	4,086 50	1,119 54	LT
EAI \$110 Current yield 2 69%								
HUMAN GENOME SCIENCES INC								
Symbol HGS Exchange OTC	Feb 28, 11	30 000	25 000	750 00	29 470	884 10	134 10	ST
IMMUNOGEN INC								
Symbol IMGN Exchange OTC	Oct 14, 10	38 000	7 385	280 66	13 360	507 68	227 02	ST
	Oct 15, 10	62 000	7 713	478 21	13 360	828 32	350 11	ST
Security total		100 000	7 589	758 87		1,336 00	577 13	
ISIS PHARMACEUTICALS INC								
Symbol ISIS Exchange OTC	Oct 22, 10	75 000	9 231	692 39	9 380	703 50	11 11	ST
JOHNSON & JOHNSON COM								
Symbol JNJ Exchange NYSE	Aug 15, 05	60 000	63 330	3 799 85 ¹	65 720	3,943 20	143 35	LT
EAI \$137 Current yield 3 47%								

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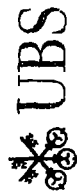


Account name: STANLEY AND CATHERINE MAAS
Account number: RF 68329 20

Your assets • Equities • Common stock (continued)

Holding	Symbol	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
CREE INC									
	Symbol CREE Exchange OTC	Aug 16, 05	20 000	24 060	481 251	40 740	814 80	333 55	LT
		Aug 5, 10	5 000	69 799	349 00	40 740	203 70	-145 30	ST
		Jan 19, 11	20 000	54 376	1,089 53	40 740	814 80	-274 73	ST
		Apr 20, 11	20 000	39 248	784 96	40 740	814 80	29 84	ST
	Security total		65 000	41 611	2,704 74		2,648 10	-56 64	
CVS CAREMARK CORP									
	Symbol CVS Exchange NYSE	Oct 22, 08	15 000	28 630	429 451	36 220	543 30	113 85	LT
	EAI \$55 Current yield 1.38%	Oct 28, 08	5 000	25 990	129 971	36 220	181 10	51 13	LT
		Nov 6, 08	10 000	29 970	299 701	36 220	362 20	62 50	LT
		Feb 27, 09	15 000	25 840	387 60	36 220	543 30	155 70	LT
		Nov 19, 09	65 000	30 829	2,003 90	36 220	2,354 30	350 40	LT
	Security total		110 000	29 551	3,250 62		3,984 20	733 58	
DOLBY LABORATORIES INC CL A									
	Symbol DLB Exchange NYSE	Oct 24, 08	30 000	29 980	899 481	50 060	1,501 80	602 32	LT
		Apr 6, 11	5 000	49 047	245 24	50 060	250 30	5 06	ST
	Security total		35 000	32 706	1,144 72		1,752 10	607 38	
EBAY INC									
	Symbol EBAY Exchange OTC	Mar 14, 07	90 000	30 540	2,757 601	34 390	3,095 10	337 50	LT
		Jul 18, 08	20 000	24 000	480 001	34 390	687 80	207 80	LT
	Security total		110 000	29 433	3,237 60		3,782 90	545 30	
FIRST SOLAR INC									
	Symbol FSLR Exchange OTC	Feb 27, 09	5 000	106 382	531 91	139 570	697 85	165 94	LT
		Jul 1, 10	10 000	114 342	1,143 43	139 570	1,395 70	252 27	ST
	Security total		15 000	111 689	1,675 34		2,093 55	418 21	
FLUOR CORP NEW									
	Symbol FLR Exchange NYSE	Nov 19, 08	10 000	33 240	332 401	69 940	699 40	367 00	LT
	EAI \$23 Current yield 0.73%	Nov 20, 08	20 000	30 470	609 401	69 940	1,398 80	789 40	LT
		Feb 27, 09	15 000	33 366	500 50	69 940	1,049 10	548 60	LT
	Security total		45 000	32 051	1,442 30		3,147 30	1,705 00	

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April 2011

Account name: STANLEY AND CATHERINE MAAS
Account number: RF 58329 20

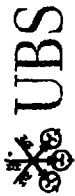
Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
CABLEVISION SYSTEMS CORP NY								
GROUP CL A								
Symbol CVC Exchange NYSE								
EAI \$60 Current yield 1 42%	Jan 3, 06	100 000	19 226	1,922 65 ¹	35 230	3,523 00	1,600 35	LT
	Apr 9, 08	20 000	19 599	391 99 ¹	35 230	704 60	312 61	LT
Security total		120 000	19 289	2,314 64		4,227 60	1,912 96	
CELGENE CORP								
Symbol CELG Exchange OTC	Dec 2, 08	15 000	49 510	742 65 ¹	58 880	883 20	140 55	LT
	Feb 27, 09	20 000	45 145	902 91	58 880	1,177 60	274 69	LT
	Feb 19, 10	20 000	59 810	1,196 20	58 880	1,177 60	-18 60	LT
Security total		55 000	51 668	2,841 76		3,238 40	396 64	
CISCO SYSTEMS INC								
Symbol CSCO Exchange OTC	Jan 3, 06	190 000	17 250	3,273 70 ¹	17 520	3,328 80	55 10	LT
EAI \$46 Current yield 1 38%								
CME GROUP INC								
Symbol CME Exchange OTC	Feb 25, 11	5 000	310 599	1,553 00	295 770	1,478 85	-74 15	ST
EAI \$28 Current yield 1 89%								
COCA COLA CO COM								
Symbol KO Exchange NYSE	Jul 25, 05	65 000	44 020	2,861 30 ¹	67 460	4,384 90	1,523 60	LT
EAI \$141 Current yield 2 79%	Jul 18, 08	10 000	50 050	500 50 ¹	67 460	674 60	174 10	LT
Security total		75 000	44 824	3,361 80		5,059 50	1,697 70	
COMCAST CORP NEW SPECIAL CL A								
Symbol CMCSK Exchange OTC	Jan 3, 06	172 000	17 090	2,939 48 ¹	24 550	4,222 60	1,283 12	LT
EAI \$135 Current yield 1 83%	Mar 14, 08	5 000	18 610	93 07 ¹	24 550	122 75	29 68	LT
	Feb 19, 10	123 000	14 768	1,816 50	24 550	3,019 65	1,203 15	LT
Security total		300 000	16 164	4,849 05		7,365 00	2,515 95	
COVIDIEN PLC								
Symbol COV Exchange NYSE	Jan 3, 06	28 000	36 560	1,023 68 ¹	55 690	1,559 32	535 64	LT
EAI \$28 Current yield 1 44%	Feb 2, 06	7 000	34 040	238 31 ¹	55 690	389 83	151 52	LT
Security total		35 000	36 057	1,261 99		1,949 15	687 16	

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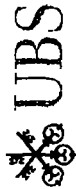


Account name: STANLEY AND CATHERINE MAAS
Account number: RF 68329 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Sep 25, 06	35 000	41 610	1,456 35 ¹	78 940	2,762 90	1,306 55	LT
ANHEUSER BUSCH INBEV SPON ADR		55 000	42 956	2,792 15		5,131 10	2,338 95	
Symbol BUD Exchange NYSE								
EAI \$29 Current yield 1 51%	Dec 2, 10	10 000	57 286	572 87	63 970	639 70	66 83	ST
	Dec 14, 10	10 000	57 902	579 03	63 970	639 70	60 67	ST
	Jan 6, 11	10 000	57 737	577 38	63 970	639 70	62 32	ST
Security total		30 000	57 643	1,729 28		1,919 10	189 82	
APACHE CORP								
Symbol APA Exchange NYSE								
EAI \$12 Current yield 0 45%	Jul 23, 10	15 000	89 695	1,345 43	133 370	2,000 55	655 12	ST
	Aug 12, 10	5 000	93 067	465 34	133 370	666 85	201 51	ST
Security total		20 000	90 539	1,810 77		2,667 40	856 63	
AUTODESK INC								
Symbol ADSK Exchange OTC								
	May 4, 06	15 000	40 030	600 45 ¹	44 980	674 70	74 25	LT
	Feb 27, 09	50 000	12 777	638 89	44 980	2,249 00	1,610 11	LT
Security total		65 000	19 067	1,239 34		2,923 70	1,684 36	
BED BATH & BEYOND INC								
Symbol BBBY Exchange OTC								
	Jan 2, 08	65 000	28 480	1,851 46 ¹	56 130	3,648 45	1,796 99	LT
BIOGEN IDEC INC								
Symbol BIIB Exchange OTC								
	Aug 17, 05	120 000	41 090	4,930 97 ¹	97 650	11,718 00	6,787 03	LT
BLACKROCK INC								
Symbol BLK Exchange NYSE								
EAI \$83 Current yield 2 82%	Mar 20, 09	4 000	114 340	457 36	195 940	783 76	326 40	LT
	Mar 30, 09	3 000	126 042	378 13	195 940	587 82	209 69	LT
	Apr 8, 09	4 000	128 523	514 09	195 940	783 76	269 67	LT
	Nov 10, 10	4 000	165 953	663 81	195 940	783 76	119 95	ST
Security total		15 000	134 226	2 013 39		2,939 10	925 71	
BROADCOM CORP CL A								
Symbol BRCM Exchange OTC								
EAI \$25 Current yield 1 01%	Sep 7, 06	45 000	27 120	1,220 40 ¹	35 190	1,583 55	363 15	LT
	Jul 18, 08	25 000	28 130	703 25 ¹	35 190	879 75	176 50	LT
Security total		70 000	27 481	1,923 65		2,463 30	539 65	

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April 2011

Account name:
Account number

STANLEY AND CATHERINE MAAS
RF 68329 20

Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See important information about your statement at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Apr 1 (\$)	Closing balance on Apr 29 (\$)	Price per share on Apr 29 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	0.00	1,480.79				
RMA MONEY MKT PORTFOLIO	2,330.11	1,870.97	1.00	0.01%	Mar 25 to Apr 24	31
Total	\$2,330.11	\$3,351.76				

Firs + Solar (unsold + held transactions)
+ 476.33
3,828.09

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
AKAMAI TECHNOLOGIES INC								
Symbol AKAM	Jul 30, 09	25 000	16 692	417 31	34 430	860 75	443 44	LT
Exchange OTC	Aug 18, 09	25 000	17 689	442 24	34 430	860 75	418 51	LT
Security total		50 000	17 191	859 55		1,721 50	861 95	
AMAZON COM INC								
Symbol AMZN	Aug 1, 06	2 000	25 050	52 10 1	195 810	391 62	339 52	LT
Exchange OTC	Sep 29, 08	25 000	65 350	1,633 75 1	195 810	4,895 25	3,261 50	LT
Security total		27 000	62 439	1,685 85		5,286 87	3,601 02	
AMGEN INC								
Symbol AMGN	Jan 2, 08	30 000	46 670	1,400 10 1	56 850	1,705 50	305 40	LT
Exchange OTC	May 22, 08	5 000	42 870	214 35 1	56 850	284 25	69 90	LT
	Feb 19, 10	25 000	57 237	1,430 93	56 850	1,421 25	-9 68	LT
Security total		60 000	50 756	3,045 38		3,411 00	365 62	
ANADARKO PETROLEUM CORP								
Symbol APC	Jul 25, 05	10 000	44 600	446 00 1	78 940	789 40	343 40	LT
Exchange NYSE	Oct 14, 05	20 000	44 490	889 80 1	78 940	1,578 80	589 00	LT
EAI 523 Current yield 0.45%								

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Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63388 20

Your assets (continued)

Your total assets

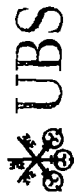
	Value on Apr 29 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	26,485.34	5.86%	26,485.34		
Cash alternatives	14,996.40	3.32%	14,989.82		6.58
Fixed income					
Asset backed securities	150,052.40		144,582.15	7,524.00	5,470.29
Mutual funds	198,634.65		168,317.95	14,478.00	30,316.70
Government securities	61,114.24		60,680.54	1,062.00	433.71
Total accrued interest	812.64				
Total fixed income	410,613.93	90.82%	373,580.64	23,064.00	36,230.70
Total	\$452,095.67	100.00%	\$415,055.80	\$23,064.00	\$36,227.28

Account activity this month

For more information about the price/value shown for restricted securities, see Important information about your statement at the end of this document

Date	Activity	Description	Year expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Mar 31		Cash and money balance				494.52	\$6,699.73
Apr 4	Dividend	ALLIANZ FIXED INCOME SHARES SERIES C AS OF 03/31/11					
Apr 4	Dividend	ALLIANZ FIXED INCOME SHARES SERIES M AS OF 03/31/11				362.52	7,556.77
Apr 15	Interest	US TSY INFL PROT BOND 03 625 % DUE 04/15/28 FACTOR 1.364720000000 PAID ON 4000				98.94	
Apr 15	Return Of Principal	FHLMC PL A97046 04 5000 DUE 02/01/41 FACTOR 0.996624300000 PAID ON 15000				24.11	
Apr 15	Interest	FHLMC PL A97046 04 5000 DUE 02/01/41 FACTOR 0.996624300000 PAID ON 15000				56.15	
Apr 15	Return Of Principal	FHLMC PL A97047 04 5000 DUE 02/01/41 FACTOR 0.996426600000 PAID ON 13000				25.69	
Apr 15	Interest	FHLMC PL A97047 04 5000 DUE 02/01/41 FACTOR 0.996426600000 PAID ON 13000				48.68	
Apr 15	Interest	US TSY INFL PROT NOTE 02 375 % DUE 04/15/11 FACTOR 1.112060000000				356.55	

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April 2011

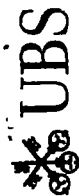
Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63388 20

Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets • Fixed income • Government securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
US TREASURY NOTE								
RATE 1.3750% MATURES 03/15/13								
ACCRUED INTEREST \$6.72								
EAI \$55 Current yield 1.35%	Mar 26, 10	4,000,000	99,265	3,970.63	101,512	4,060.48	89.85	LT
FNMA NTS								
RATE 0.7500% MATURES 12/18/13								
ACCRUED INTEREST \$19.10								
CUSIP 31398A5W8								
EAI \$53 Current yield 0.76%	Jan 11, 11	7,000,000	98,847	6,919.29	99,214	6,944.98	25.69	ST
US TSY INFL PROT BOND								
RATE 3.6250% DUE 04/15/28								
CURRENT PAR VALUE 5,471								
ACCRUED INTEREST \$7.58								
EAI \$198 Current yield 2.75%	Sep 09, 10	2,000,000	132,546	3,626.06	131,914	3,608.51	-17.55	ST
	Mar 29, 11	2,000,000	128,265	3,508.94	131,914	3,608.51	99.57	ST
Security total		4,000,000		7,135.00		7,217.01	82.02	
US TSY INFL PROT NOTE								
RATE 2.5000% DUE 01/15/29								
CURRENT PAR VALUE 5,152								
ACCRUED INTEREST \$37.00								
EAI \$129 Current yield 2.15%	Sep 09, 10	1,000,000	116,296	1,198.37	116,164	1,196.95	-1.42	ST
	Oct 12, 10	1,000,000	121,515	1,252.15	116,164	1,196.95	-55.20	ST
	Mar 29, 11	3,000,000	112,593	3,480.54	116,164	3,590.86	110.22	ST
Security total		5,000,000		5,931.16		5,984.76	53.60	
Total		\$53,000,000		\$60,680.54		\$61,114.24	\$433.71	
Total accrued interest: \$227.61								
Total estimated annual income: \$1,062								





Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63388 20

Your assets • Fixed income • Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
ALLIANZ FIXED INCOME									
SHARES SERIES M									
Symbol FXIMX									
Trade date Apr 1, 09	8,200,000	8.490	69,618.00	69,618.00	10.560	86,592.00	16,974.00		LT
Trade date Jan 10, 11	75,000	10.340	775.50	775.50	10.560	792.00	16.50		ST
Trade date Feb 18, 11	1,120,000	10.260	11,491.20	11,491.20	10.560	11,827.20	336.00		ST
EAI \$5.167 Current yield 5.21%									
Security total	9,395,000	8.716	81,884.70	81,884.70		99,211.20	17,326.50		
Total			\$168,317.95	\$168,317.95		\$198,634.65	\$30,316.70	\$30,316.70	
Total estimated annual income: \$14,478									

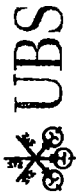
Government securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID). If you have made a

tax election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
U.S. TREASURY NOTE								
RATE 0.8750% MATURES 02/29/12								
ACCRUED INTEREST \$29.95								
EAI \$184 Current yield 0.87%	Mar 03, 10	6,000,000	100,132	6,007.97	100.555	6,033.30	25.33	LT
	Mar 25, 10	9,000,000	99,660	8,969.41	100.555	9,049.95	80.54	LT
	Apr 06, 10	1,000,000	99,570	995.70	100.555	1,005.55	9.85	LT
	Jun 02, 10	1,000,000	100,250	1,002.50	100.555	1,005.55	3.05	ST
	Nov 29, 10	4,000,000	100,644	4,025.79	100.555	4,022.20	-3.59	ST
Security total		21,000,000		21,001.37		21,116.55	115.18	
U.S. TSY INF. PROT. NOTE								
RATE 3.0000% DUE 07/15/12								
CURRENT PAR VALUE 14,766								
ACCRUED INTEREST \$127.26								
EAI \$443 Current yield 2.81%	Apr 01, 09	7,000,000	106,734	9,193.23	106.938	9,211.10	17.87	LT
	Sep 10, 09	1,000,000	106,125	1,305.82	106.938	1,315.87	10.05	LT
	May 27, 10	4,000,000	106,140	5,224.04	106.938	5,263.49	39.45	ST
Security total		12,000,000		15,723.09		15,790.46	67.37	

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Account name:
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STANLEY AND CATHERINE MAAS
RF 63388 20

Your Financial Advisor
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets • Fixed income • Asset backed securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
FHLMC PL A97046								
RATE 04 5000% MATURES 02/01/41								
CURRENT PAR VALUE 14,949								
ACCURED INTEREST \$52.32								
CUSIP 312945ZK7								
EAI \$673 Current yield 4.37%	Feb 09, 11	15,000,000	100,554	15,032.18	102,884	15,380.12	347.94	ST
FHLMC PL A97047								
RATE 04 5000% MATURES 02/01/41								
CURRENT PAR VALUE 12,954								
ACCURED INTEREST \$45.33								
CUSIP 312945ZL5								
EAI \$583 Current yield 4.37%	Feb 11, 11	13,000,000	100,660	13,039.03	102,884	13,327.59	288.56	ST
Total		\$289,000,000		\$144,582.15		\$150,052.40	\$5,470.29	

Total accrued interest: \$585.03

Total estimated annual income: \$7,524

Mutual funds

Total/reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

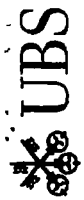
Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include any cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
ALLIANZ FIXED INCOME									
SHARES SERIES C									
Symbol FXICX									
Trade date Apr 1, 09	5,885,000	11.190	65,853.15	65,853.15	13.230	77,858.55	12,005.40		LT
Trade date Jan 6, 10	540,000	12.370	6,679.80	6,679.80	13.230	7,144.20	464.40		LT
Trade date Dec 30, 10	350,000	12.610	4,413.50	4,413.50	13.230	4,630.50	217.00		ST
Trade date Feb 18, 11	740,000	12.820	9,486.80	9,486.80	13.230	9,790.20	303.40		ST
EAI \$9.311 Current yield 9.36%									
Security total	7,515,000	11.501	86,433.25	86,433.25	99,423.45	12,990.20	12,990.20		

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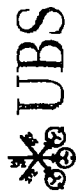


Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63388 20

Your assets • Fixed income • Asset backed securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
FNMA PL 848647								
RATE 05 5000% MATURES 01/01/36								
CURRENT PAR VALUE		2,331						
ACCURED INTEREST \$9 96								
CUSIP 31408DZL1								
EAI \$128 Current yield 5 09%	Mar 17, 06	7,000 000	98 560	2,297 05	108 138	2,520 59	223 54	LT
FNMA POOL								
RATE 5 000% MATURITY 02/01/36								
FACTOR 0 441985700000								
CURRENT PAR VALUE		15,173						
ACCURED INTEREST \$59 00								
CUSIP 31403C5L0								
EAI \$759 Current yield 4 72%	Apr 01, 09	35,000 000	103 398	15 688 93	106 040	16,089 44	400 51	LT
FNMA PL 190391 06 0000								
RATE 6 00% DUE 09/01/38								
FACTOR 0 447019080000								
CURRENT PAR VALUE		55,711						
ACCURED INTEREST \$259 97								
CUSIP 31368HNG4								
EAI \$3,343 Current yield 5 48%	Apr 01, 09	120,000 000	104 609	49,249 22	109 470	51,538 20	2,288 98	LT
	Jun 25, 09	22,000 000	104 648	9,032 39	109 470	9,448 67	416 28	LT
Security total		142,000 000		58,281 61		60,986 85	2,705 26	
FNMA PL 889982								
RATE 05 5000% MATURES 11/01/38								
CURRENT PAR VALUE		3,416						
ACCURED INTEREST \$14 61								
CUSIP 31410KXK5								
EAI \$188 Current yield 5 10%	Jun 25, 09	8,000 000	103 394	3,532 02	107 794	3,582 24	150 22	LT
FNMA PL AB1389								
RATE 04 5000% MATURES 08/01/40								
CURRENT PAR VALUE		11,305						
ACCURED INTEREST \$39 56								
CUSIP 31416WRK0								
EAI \$509 Current yield 4 37%	Feb 02, 11	13,000 000	101 632	11,577 12	103 033	11,647 88	70 76	ST

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Apr 1 (\$)	Closing balance on Apr 29 (\$)	Price per share on Apr 29 (\$)	Average rate	Dividend/Interest period	Days in period
RMA MONEY MKT PORTFOLIO	6,699.73	26,485.34	1.00	0.01%	Mar 25 to Apr 24	31

Cash alternatives

Money market instruments

Holding	Trade date	Quantity	Purchase price (\$)	Cost basis (\$)	Price on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
U S TREASURY BILL MATURES 09/08/11	Mar 10 11	15,000,000	99.932	14,989.82	99.976	14,996.40	6.58	5T

Fixed income

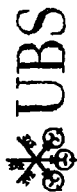
Asset backed securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. The cost basis for asset backed securities has been adjusted automatically for return of principal payments and if issued at a discount, accreted original issue discount (OID).

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
FNMA PL 735141 RATE 05 5000% MATURES 01/01/35 CURRENT PAR VALUE 11.946 ACCRUED INTEREST \$51.10 CUSIP 31402QWA5 EAL \$657 Current yield 5.08%	Oct 09, 07	40,000,000	98.160	11,726.31	108.200	12,925.57	1,199.26	LT
FNMA PL AE0115 RATE 05 5000% MATURES 12/01/35 CURRENT PAR VALUE 12.433 ACCRUED INTEREST \$53.18 CUSIP 31419ADV6 EAL \$684 Current yield 5.07%	Apr 19, 11	16,000,000	107.839	13,407.90	108.518	13,492.04	84.14	5T

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Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63389 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
3M CO								
Symbol MMM Exchange NYSE								
EAI \$189 Current yield 2.26%								
	Dec 10, 08	2 000	57 360	114 72 ¹	97 210	194 42	79 70	LT
	Dec 17, 08	14 000	58 620	820 70 ¹	97 210	1,360 94	540 24	LT
	Feb 6, 09	14 000	52 510	735 14	97 210	1,360 94	625 80	LT
	Jul 1, 09	16 000	60 858	973 74	97 210	1,555 36	581 62	LT
	Feb 19, 10	1 000	81 507	81 51	97 210	97 21	15 70	LT
	Jun 9, 10	15 000	75 643	1,134 66	97 210	1,458 15	323 49	ST
	Mar 24, 11	24 000	92 418	2,218 05	97 210	2,333 04	114 99	ST
Security total		86 000	70 680	6,078 52	8,360 06	2,281 54		
Total				\$365,355.28		\$458,661.06	\$93,305.78	

Total estimated annual income: \$10,661

¹ Indicates cost basis information provided by you or another third party. UBS FS has not verified this information and does not guarantee its accuracy.

Asterisk * indicates the calculation of unrealized gains/losses based upon a UBS Financial Services adjustment to cost basis.

Your total assets

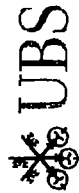
	Value on Apr. 29 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	7,538.45	1.62%	7,538.45 ✓		
Equities	458,661.06	98.38%	365,355.28 ✓	10,661.00	93,305.78
Total	\$466,199.51	100.00%	\$372,893.73	\$10,661.00	\$93,305.78

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Mar 31		Cash and money balance					\$7,214.08
Apr 1	Cash in Lieu	HUNTINGTON INGALLS INDS INC AS OF 03/31/11		33 20		33 20	
Apr 1	Spin Off	HUNTINGTON INGALLS INDS INC AS OF 03/31/11		16 000	640 64		
Apr 1	Dividend	REYNOLDS AMERN INC (HOLDING CO) PAID ON 69				36 57	7,283 85

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Jan 14, 11	15 000	78 898	1,183 47	89 580	1,343 70	160 23	ST
		141 000	56 400	7,952 45		12,630 78	4,678 33	

VIACOM INC NEW CL B

Symbol VIA B Exchange NYSE
EAI \$41 Current yield 1 16%

Security total

VODAFONE GROUP PLC NEW SPON

ADR

Symbol VOD Exchange NYSE
EAI \$237 Current yield 4 45%

	Oct 7, 08	74 000	21 330	1,578 42 ¹	29 120	2,154 88	576 46	LT
	Nov 5, 08	81 000	18 910	1,531 71 ¹	29 120	2,358 72	827 01	LT
	Feb 6, 09	9 000	20 390	183 51	29 120	262 08	78 57	LT
	Mar 27, 09	14 000	16 497	230 97	29 120	407 68	176 71	LT
	Feb 19, 10	5 000	22 197	110 99	29 120	145 60	34 61	LT
Security total		183 000	19 867	3,635 60		5,328 96	1,693 36	

WALT DISNEY CO (HOLDING CO)

DISNEY COM

Symbol DIS Exchange NYSE
EAI \$60 Current yield 0 92%

	Oct 7, 08	136 000	26 730	3,635 28 ¹	43 100	5,861 60	2,226 32	LT
	Feb 6, 09	7 000	19 047	133 33	43 100	301 70	168 37	LT
	Mar 27, 09	8 000	18 567	148 54	43 100	344 80	196 26	LT
Security total		151 000	25 941	3,917 15		6,508 10	2,590 95	

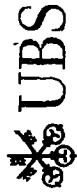
WELLS FARGO & CO NEW

Symbol WFC Exchange NYSE
EAI \$91 Current yield 1 65%

	May 13, 09	33 000	25 016	825 54	29 110	960 63	135 09	LT
	Dec 16, 09	72 000	26 035	1,874 57	29 110	2,095 92	221 35	LT
	Feb 19, 10	3 000	27 358	82 07	29 110	87 33	5 26	LT
	Mar 11, 10	41 000	29 543	1,211 28	29 110	1,193 51	-17 77	LT
	Jul 14, 10	41 000	27 678	1,134 80	29 110	1,193 51	58 71	ST
Security total		190 000	26 991	5,128 26		5,530 90	402 64	

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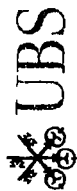


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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Jul 7, 10 Dec 15, 10	49 000 56 000 107 000	35 982 41 759 39 113	1,763 12 2,338 52 4,185 14	53 440 53 440	2,618 56 2,992 64 5,718 08	855 44 654 12 1,532 94	ST ST
STANLEY BLACK & DECKER INC COM								
Symbol SWK Exchange NYSE								
EAI \$125 Current yield 2.26%	Jun 30, 10 Aug 11, 10	42 000 34 000 76 000	50 771 55 886 53 060	2,132 39 1,900 14 4,032 53	72.650 72.650	3,051 30 2,470 10 5,521 40	918 91 569 96 1,488 87	ST ST
Security total								
TARGET CORP								
Symbol TGT Exchange NYSE								
EAI \$165 Current yield 2.04%	Jan 12, 11 Jan 14, 11 Feb 4, 11 Mar 3, 11	77 000 2 000 39 000 47 000 165 000	55 609 55 247 54 360 51 589 54 165	4,281 91 110 49 2,120 07 2,424 71 8,937 18	49 100 49 100 49 100 49 100	3,780 70 98 20 1,914 90 2,307 70 8,101 50	-501 21 -12 29 -205 17 -117 01 -835 68	ST ST ST ST
Security total								
TRAVELERS COS INC/THE								
Symbol TRV Exchange NYSE								
EAI \$308 Current yield 2.59%	Apr 1, 09 Apr 2, 09 Nov 4, 09 Nov 10, 09 Feb 10, 10 Feb 11, 10 Feb 16, 10 Feb 9, 11	17 000 36 000 29 000 9 000 13 000 25 000 18 000 41 000 188 000	41 406 42 136 50 829 53 676 49 603 49 422 51 440 58 622 49 935	703 91 1,516 92 1,474 04 483 09 644 85 1,235 56 925 92 2,403 53 9,387 82	63 280 63 280 63 280 63 280 63 280 63 280 63 280 63 280	1,075 76 2,278 08 1,835 12 569 52 822 64 1,582 00 1,139 04 2,594 48 11,896 64	371.85 761 16 361 08 86 43 177 79 346 44 213 12 190 95 2,508 82	LT LT LT LT LT LT LT ST
Security total								
UNTD TECHNOLOGIES CORP								
Symbol UTX Exchange NYSE								
EAI \$271 Current yield 2.15%	Oct 7, 08 Feb 6, 09 Mar 27, 09 Feb 16, 10 Dec 15, 10	106 000 3 000 3 000 1 000 13 000	50 870 49 220 44 460 66 130 79 199	5,392 22 147 66 133 38 66 13 1,029 59	89 580 89 580 89 580 89 580 89 580	9,495 48 268 74 268 74 89 58 1,164 54	4,103 26 121 08 135 36 23 45 134 95	LT LT LT LT ST

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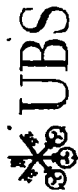
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Sep 29, 10	28 000	56 577	1,584 18	69 440	1,944 32	360 14	ST
	Oct 1, 10	9 000	55 692	501 24	69 440	624 96	123 72	ST
		337 000	45 591	15,364 31		23,401 28	8,036 97	
PPG INDUSTRIES INC								
Symbol PPG Exchange NYSE								
EAI \$246 Current yield 2.41%	Oct 7, 08	71 000	51 520	3,658 10 ¹	94 670	6,721 57	3,063 47	LT
	Mar 27, 09	9 000	35 630	320 67	94 670	852 03	531 36	LT
	Feb 19, 10	1 000	62 510	62 51	94 670	94 67	32 16	LT
	Feb 28, 11	27 000	87 931	2,374 14	94 670	2,556 09	181 95	ST
Security total		108 000	59 402	6,415 42		10,224 36	3,808 94	
PRUDENTIAL FINANCIAL INC								
Symbol PRU Exchange NYSE								
EAI \$174 Current yield 1.82%	Jun 3, 09	45 000	40 516	1,823 22	63 420	2,853 90	1,030 68	LT
	Dec 16, 09	34 000	51 453	1,749 40	63 420	2,156 28	406 88	LT
	Jan 27, 10	21 000	50 048	1,051 02	63 420	1,331 82	280 80	LT
	Jan 28, 10	11 000	50 801	558 81	63 420	697 62	138 81	LT
	Feb 16, 10	3 000	49 760	149 28	63 420	190 26	40 98	LT
	Feb 19, 10	1 000	51 356	51 36	63 420	63 42	12 06	LT
	Feb 24, 10	32 000	51 293	1,641 40	63 420	2,029 44	388 04	LT
	Feb 25, 10	4 000	51 665	206 66	63 420	253 68	47 02	LT
Security total		151 000	47 888	7,231 15		9,576 42	2,345 27	
QUEST DIAGNOSTICS INC								
Symbol DGX Exchange NYSE								
EAI \$39 Current yield 0.71%	Mar 14, 11	57 000	56 865	3,241 31	56 380	3,213 66	-27 65	ST
	Apr 20, 11	40 000	58 235	2,329 43	56 380	2,255 20	-74 23	ST
Security total		97 000	57 430	5 570 74		5,468 86	-101 88	
REYNOLDS AMERN INC (HOLDING CO)								
Symbol RAI Exchange NYSE								
EAI \$146 Current yield 5.70%	Jan 27, 11	69 000	32 521	2,243 96	37 110	2,560 59	316 63	ST
ST JUDE MEDICAL INC								
Symbol STJ Exchange NYSE								
EAI \$90 Current yield 1.57%	Apr 21, 10	2 000	41 750	83 50	53 440	106 88	23 38	LT



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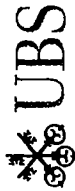


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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
PEPSICO INC								
Symbol PEP Exchange NYSE								
EAI \$156 Current yield 2.80%	Oct 15, 08	48 000	54 120	2,597 76 ¹	68 890	3,306 72	708 96	LT
	Jul 1, 09	31 000	56 492	1,751 27	68 890	2,135 59	384 32	LT
	Feb 16, 10	2 000	61 150	122 30	68 890	137 78	15 48	LT
Security total		81 000	55 202	4,471 33		5,580 09	1,108 76	
PFIZER INC								
Symbol PFE Exchange NYSE								
EAI \$514 Current yield 3.81%	Apr 9, 09	71 000	13 469	956 32	20 970	1,488 87	532 55	LT
	Aug 5, 09	122 000	15 711	1,916 83	20 970	2,558 34	641 51	LT
	Oct 7, 09	66 000	16 715	1,103 20	20 970	1,384 02	280 82	LT
	Oct 13, 09	56 000	16 816	941 72	20 970	1,174 32	232 60	LT
	Nov 11, 09	107 000	17 692	1,893 14	20 970	2,243 79	350 65	LT
	Feb 16, 10	1 000	17 679	17 68	20 970	20 97	3 29	LT
	Sep 29, 10	89 000	17 399	1,548 56	20 970	1,866 33	317 77	ST
	Oct 1, 10	1 000	17 168	17 17	20 970	20 97	3 80	ST
	Jan 5, 11	127 000	18 040	2,291 09	20 970	2,663 19	372 10	ST
	Jan 14, 11	3 000	18 245	54 74	20 970	62 91	8 17	ST
Security total		643 000	16 704	10,740 45		13,483 71	2,743 26	
PG & E CORP (HOLDING COMPANY)								
Symbol PCG Exchange NYSE								
EAI \$162 Current yield 3.95%	Oct 21, 09	44 000	42 471	1,868 72	46 080	2,027 52	158 80	LT
	Feb 19, 10	1 000	42 710	42 71	46 080	46 08	3 37	LT
	Sep 15, 10	44 000	45 334	1,994 73	46 080	2,027 52	32 79	ST
Security total		89 000	43 889	3,906 16		4,101 12	194 96	
PHILIP MORRIS INTL INC								
Symbol PM Exchange NYSE								
EAI \$863 Current yield 3.69%	Oct 7, 08	222 000	44 960	9,981 12 ¹	69 440	15,415 68	5,434 56	LT
	Oct 7, 08	35 000	44 960	1,573 60 ¹	69 440	2,430 40	856 80	LT
	Nov 19, 08	32 000	38 380	1,228 28 ¹	69 440	2,222 08	993 80	LT
	Feb 6, 09	4 000	37 300	149 20	69 440	277 76	128 56	LT
	Feb 16, 10	4 000	49 250	197 00	69 440	277 76	80 76	LT
	Feb 19, 10	3 000	49 897	149 69	69 440	208 32	58 63	LT

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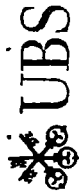
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Sep 1, 10	49 000	39 432	1,932 21	46 790	2,292 71	360 50	ST
	Jan 19, 11	50 000	45 813	2,290 65	46 790	2,339 50	48 85	ST
	Jan 20, 11	2 000	45 335	90 67	46 790	93 58	2 91	ST
		320 000	37 806	12 097 81		14,972 80	2,874 99	
NESTLE S A SPONSORED ADR								
REPSTG REG SHS SWITZ ADR								
Symbol NSRGY Exchange OTC								
EAI \$161 Current yield 2 61%								
Security total	Oct 7, 08	11 000	39 200	431 20 1	62 200	684 20	253 00	LT
	Oct 8, 08	26 000	39 670	1,031 42 1	62 200	1,617 20	585 78	LT
	Feb 6, 09	7 000	32 680	228 76	62 200	435 40	206 64	LT
	Mar 27, 09	8 000	32 900	263 20	62 200	497 60	234 40	LT
	Aug 19, 09	46 000	40 181	1,848 34	62 200	2,861 20	1,012 86	LT
	Aug 26, 09	1 000	40 436	40 44	62 200	62 20	21 76	LT
		99 000	38 822	3,843 36		6,157 80	2,314 44	
NORTHROP GRUMMAN CORP								
Symbol NOC Exchange NYSE								
EAI \$202 Current yield 3 14%								
Security total	Oct 7, 08	12 000	49 165	589 99	63 610	763 32	173 33 *	LT
	Feb 6, 09	5 000	43 436	217 18	63 610	318 05	100 87 *	LT
	Mar 24, 09	17 000	37 822	642 98	63 610	1,081 37	438 39 *	LT
	Mar 27, 09	22 000	40 692	895 24	63 610	1,399 42	504 18 *	LT
	Jul 29, 09	41 000	40 291	1,651 97	63 610	2,608 01	956 04 *	LT
	Feb 19, 10	4 000	55 260	221 04	63 610	254 44	33 40 *	LT
		101 000	41 766	4,218 40		6,424 61	2,206 21	
ORACLE CORP								
Symbol ORCL Exchange OTC								
EAI \$103 Current yield 0 67%								
Security total	Oct 7, 08	210 000	17 230	3,618 30 1	35 960	7,551 60	3,933 30	LT
	Feb 6, 09	18 000	17 887	321 98	35 960	647 28	325 30	LT
	Dec 2, 09	54 000	22 618	1,221 42	35 960	1,941 84	720 42	LT
	Feb 19, 10	7 000	24 277	169 94	35 960	251 72	81 78	LT
	Apr 9, 10	73 000	25 980	1,896 54	35 960	2,625 08	728 54	LT
	Apr 13, 11	68 000	33 881	2,303 94	35 960	2,445 28	141 34	ST
		430 000	22 168	9,532 12		15,462 80	5,930 68	

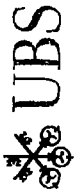
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Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63389 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Nov 4, 09 Feb 19, 10	18 000 2 000 217 000	70 868 77 250 83 407	1,275 62 154 50 18,099 34	79 250 79 250	1,426 50 158 50 17,197 25	150 88 4 00 -902 09	LT LT
MASTERCARD INC CL A								
Symbol MA Exchange NYSE								
EAI \$11 Current yield 0 21%	May 14, 10 May 19, 10 May 20, 10	10 000 6 000 3 000 19 000	216 032 207 259 204 255 211 403	2,160 33 1,243 56 612 77 4,016 66	275 890 275 890 275 890	2,758 90 1,655 34 827 67 5,241 91	598 57 411 78 214 90 1,225 25	ST ST ST
Security total								
MCDONALDS CORP								
Symbol MCD Exchange NYSE								
EAI \$200 Current yield 3 11%	Oct 26, 10 Nov 17, 10 Apr 6, 11	25 000 27 000 30 000 82 000	78 790 77 560 76 739 77 635	1,969 75 2,094 12 2,302 18 6,366 05	78 310 78 310 78 310	1,957 75 2,114 37 2,349 30 6,421 42	-12 00 20 25 47 12 55 37	ST ST ST
Security total								
MEDTRONIC INC								
Symbol MDT Exchange NYSE								
EAI \$224 Current yield 2 15%	Apr 29, 09 May 6, 09 Jul 7, 09 Aug 19, 09 Sep 2, 09 Sep 9, 09 Feb 16, 10 Feb 19, 10 Aug 18, 10	45 000 48 000 5 000 48 000 22 000 25 000 1 000 1 000 54 000 249 000	31 221 32 020 33 737 37 113 38 279 39 018 42 970 43 588 36 453 35 200	1,404 96 1,536 98 168 69 1,781 45 842 15 975 45 42 97 43 59 1,968 46 8,764 70	41 750 41 750 41 750 41 750 41 750 41 750 41 750 41 750 41 750 10,395 75	1,878 75 2,004 00 208 75 2,004 00 918 50 1,043 75 41 75 41 75 2,254 50 10,395 75	473 79 467 02 40 06 222 55 76 35 68 30 -1 22 -1 84 286 04 1,631 05	LT LT LT LT LT LT LT LT ST
Security total								
METLIFE INC								
Symbol MET Exchange NYSE								
EAI \$237 Current yield 1 58%	Oct 7, 08 Oct 22, 08 Feb 6, 09 Feb 19, 10	137 000 77 000 4 000 1 000	38 060 31 330 30 645 35 008	5,214 22 ¹ 2,412 47 ¹ 122 58 35 01	46 790 46 790 46 790 46 790	6,410 23 3,602 83 187 16 46 79	1,196 01 1,190 36 64 58 11 78	LT LT LT LT continued next page



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Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63389 20

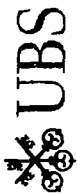
Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		202 000	60 676	12,256 47		13,275 44	1,018 97	
JPMORGAN CHASE & CO								
Symbol JPM Exchange NYSE								
EAI \$381 Current yield 2 19%								
	Nov 12, 08	53 000	34 770	1,842 98 ¹	45 630	2,418 39	575 41	LT
	Dec 3, 08	65 000	27 790	1,806 55 ¹	45 630	2,965 95	1,159 40	LT
	Dec 17, 08	28 000	31 760	889 28 ¹	45 630	1,277 64	388 36	LT
	Jan 7, 09	16 000	28 970	463 52 ¹	45 630	730 08	266 56	LT
	Feb 6, 09	36 000	26 140	941 04	45 630	1,642 68	701 64	LT
	Feb 11, 09	22 000	25 806	567 73	45 630	1,003 86	436 13	LT
	Feb 11, 09	19 000	25 810	490 39 ¹	45 630	866 97	376 58	LT
	Feb 12, 09	10 000	24 692	246 93	45 630	456 30	209 37	LT
	Jun 2, 09	28 000	34 940	978 33	45 630	1,277 64	299 31	LT
	Feb 19, 10	6 000	40 048	240 29	45 630	273 78	33 49	LT
	Feb 2, 11	50 000	45 649	2,282 46	45 630	2,281 50	-0 96	ST
	Feb 25, 11	48 000	46 789	2,245 89	45 630	2,190 24	-55 65	ST
Security total		381 000	34 109	12,995 39		17,385 03	4,389 64	
LOCKHEED MARTIN CORP								
Symbol LMT Exchange NYSE								
EAI \$651 Current yield 3 79%								
	Nov 22, 05	27 000	60 710	1,639 17 ¹	79 250	2,139 75	500 58	LT
	Sep 27, 07	4 000	105 790	423 16 ¹	79 250	317 00	-106 16	LT
	May 1, 08	7 000	106 090	742 63 ¹	79 250	554 75	-187 88	LT
	May 9, 08	10 000	105 480	1,054 80 ¹	79 250	792 50	-262 30	LT
	Oct 7, 08	66 000	100 310	6,620 46 ¹	79 250	5,230 50	-1,389 96	LT
	Nov 5, 08	19 000	85 670	1,627 73 ¹	79 250	1,505 75	-121 98	LT
	Feb 6, 09	6 000	79 960	479 76	79 250	475 50	-4 26	LT
	Mar 4, 09	14 000	60 890	852 52 ¹	79 250	1,109 50	256 98	LT
	Mar 5, 09	5 000	59 941	299 71	79 250	396 25	96 54	LT
	Jul 22, 09	16 000	75 707	1,211 32	79 250	1,268 00	56 68	LT
	Aug 26, 09	23 000	74 693	1,717 96	79 250	1,822 75	104 79	LT



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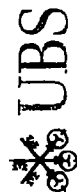


Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63389 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
INTEL CORP								
Symbol INTC Exchange OTC								
EAI \$187 Current yield 3.13%	Apr 25, 08	120 000	22 260	2,671 50 ¹	23 150	2,778 00	106 50	LT
	May 1, 08	32 000	22 880	732 16 ¹	23 150	740 80	8 64	LT
	May 9, 08	54 000	23 310	1,258 74 ¹	23 150	1,250 10	-8 64	LT
	Jul 15, 08	7 000	20 360	142 52 ¹	23 150	162 05	19 53	LT
	Oct 7, 08	26 000	16 450	427 70 ¹	23 150	601 90	174 20	LT
	Feb 6, 09	4 000	14 575	58 30	23 150	92 60	34 30	LT
	Mar 27, 09	10 000	15 317	153 18	23 150	231 50	78 32	LT
	Feb 16, 10	4 000	20 777	83 11	23 150	92 60	9 49	LT
	Feb 19, 10	1 000	20 807	20 81	23 150	23 15	2 34	LT
Security total		258 000	21 504	5,548 02		5,972 70	424 68	
INTL BUSINESS MACH								
Symbol IBM Exchange NYSE								
EAI \$246 Current yield 1.76%	Oct 7, 08	32 000	95 770	3,064 64 ¹	170 580	5,458 56	2,393 92	LT
	Feb 6, 09	3 000	93 770	281 31	170 580	511 74	230 43	LT
	Jun 23, 10	17 000	130 240	2,214 08	170 580	2,899 86	685 78	ST
	Jul 28, 10	15 000	128 850	1,932 75	170 580	2,558 70	625 95	ST
	Nov 3, 10	14 000	143 094	2,003 33	170 580	2,388 12	384 79	ST
	Nov 8, 10	1 000	146 178	146 18	170 580	170 58	24 40	ST
Security total		82 000	117 589	9,642 29		13,987 56	4,345 27	
JOHNSON CONTROLS INC								
Symbol JCI Exchange NYSE								
EAI \$117 Current yield 1.56%	May 26, 10	71 000	28 174	2,000 35	41 000	2,911 00	910 65	ST
	Jun 1, 10	1 000	28 314	28 31	41 000	41 00	12 69	ST
	Dec 2, 10	54 000	39 017	2,106 93	41 000	2,214 00	107 07	ST
	Mar 23, 11	57 000	40 338	2,299 28	41 000	2,337 00	37 72	ST
Security total		183 000	35 163	6,434 87		7,503 00	1,068 13	
JOHNSON & JOHNSON COM								
Symbol JNJ Exchange NYSE								
EAI \$461 Current yield 3.47%	Oct 7, 08	56 000	63 070	3,531 97 ¹	65 720	3,680 32	148 35	LT
	Apr 22, 09	33 000	51 750	1,707 77	65 720	2,168 76	460 99	LT
	Oct 28, 09	32 000	59 765	1,912 48	65 720	2,103 04	190 56	LT

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Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63389 20

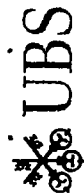
Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Dec 16, 10	58 000	36 697	2,128 47	38 580	2,237 64	109 17	ST
Security total		247 000	34 876	8,614 44		9,529 26	914 82	
GOLDMAN SACHS GROUP INC								
Symbol GS Exchange NYSE								
EAI \$133 Current yield 0.93%								
	Oct 7, 08	51 000	116 730	5,953 44 ¹	151 010	7,701 51	1,748 07	LT
	Mar 11, 09	10 000	90 340	903 42 ¹	151 010	1,510 10	606 68	LT
	Mar 11, 09	4 000	90 341	361 37	151 010	604 04	242 67	LT
	Mar 31, 10	10 000	170 740	1,707 40	151 010	1,510 10	-197 30	LT
	Apr 7, 10	6 000	177 266	1,063 60	151 010	906 06	-157 54	LT
	Mar 3, 11	14 000	163 804	2,293 26	151 010	2,114 14	-179 12	ST
Security total		95 000	129 289	12,282 49		14,345 95	2,063 46	
HASBRO INC								
Symbol HAS Exchange OTC								
EAI \$107 Current yield 2.57%								
	Feb 6, 09	19 000	23 646	449 29	46 840	889 96	440 67	LT
	Jun 10, 09	68 000	26 015	1,769 04	46 840	3,185 12	1,416 08	LT
	Feb 16, 10	2 000	34 890	69 78	46 840	93 68	23 90	LT
Security total		89 000	25 709	2,288 11		4,168 76	1,880 65	
HESS CORP								
Symbol HES Exchange NYSE								
EAI \$28 Current yield 0.47%								
	Oct 7, 08	21 000	63 310	1,329 56 ¹	85 960	1,805 16	475 60	LT
	Feb 6, 09	8 000	58 450	467 60	85 960	687 68	220 08	LT
	Mar 27, 09	10 000	58 460	584 60	85 960	859 60	275 00	LT
	Jul 9, 09	28 000	48 542	1,359 20	85 960	2,406 88	1,047 68	LT
	Feb 19, 10	2 000	60 808	121 62	85 960	171 92	50 30	LT
Security total		69 000	55 979	3,862 58		5,931 24	2,068 66	
HONEYWELL INTL INC								
Symbol HON Exchange NYSE								
EAI \$177 Current yield 2.17%								
	May 26, 10	76 000	42 562	3,234 76	61 230	4,653 48	1,418 72	ST
	May 28, 10	11 000	42 986	472 85	61 230	673 53	200 68	ST
	Aug 11, 10	46 000	42 244	1,943 25	61 230	2,816 58	873 33	ST
Security total		133 000	42 488	5,650 86		8,143 59	2,492 73	

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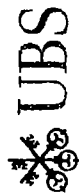


Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63389 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Jan 7, 10	3 000	79 199	237 60	109 440	328 32	90 72	LT
		139 000	87 121	12,109 88		15,212 16	3,102.28	
DANAHER CORP								
Symbol DHR Exchange NYSE	Mar 2, 11	49 000	50 134	2,456 60	55 240	2,706 76	250 16	ST
EAI \$4 Current yield 0.13%	Mar 3, 11	7 000	51 184	358 29	55 240	386 68	28 39	ST
Security total		56 000	50 266	2,814 89		3,093 44	278 55	
DIAGEO PLC NEW GB SPON ADR								
Symbol DEO Exchange NYSE	Oct 7, 08	74 000	61 340	4,539 16 ¹	81 370	6,021 38	1,482 22	LT
EAI \$191 Current yield 3.05%	Feb 6, 09	2 000	55 550	111 10	81 370	162 74	51 64	LT
	Feb 19, 10	1 000	66 339	66 34	81 370	81 37	15 03	LT
Security total		77 000	61 255	4,716 60		6,265 49	1,548 89	
EXXON MOBIL CORP								
Symbol XOM Exchange NYSE	Sep 19, 06	9 000	65 690	591 21 ¹	87 980	791 82	200 61	LT
EAI \$274 Current yield 2.13%	Oct 23, 06	20 000	69 480	1,389 60 ¹	87 980	1,759 60	370 00	LT
	Sep 27, 07	17 000	93 080	1,582 36 ¹	87 980	1,495 66	-86 70	LT
	May 1, 08	16 000	89 080	1,425 28 ¹	87 980	1,407 68	-17 60	LT
	May 9, 08	28 000	88 660	2,482 48 ¹	87 980	2,463 44	-19 04	LT
	Jul 15, 08	4 000	85 030	340 12 ¹	87 980	351 92	11 80	LT
	Feb 19, 10	2 000	65 988	131 98	87 980	175 96	43 98	LT
	Dec 8, 10	30 000	71 748	2,152 45	87 980	2,639 40	486 95	ST
	Mar 14, 11	20 000	82 311	1,646 23	87 980	1,759 60	113 37	ST
Security total		146 000	80 423	11,741 71		12,845 08	1,103 37	
GENL MILLS INC								
Symbol GIS Exchange NYSE	Oct 19, 09	92 000	33 011	3,037 02	38 580	3,549 36	512 34	LT
EAI \$277 Current yield 2.91%	Feb 19, 10	2 000	36 130	72 26	38 580	77 16	4 90	LT
	Apr 14, 10	10 000	35 330	353 30	38 580	385 80	32 50	LT
	Apr 15, 10	50 000	35 211	1,760 55	38 580	1,929 00	168 45	LT
	Nov 10, 10	25 000	36 000	900 00	38 580	964 50	64 50	ST
	Nov 12, 10	10 000	36 284	362 84	38 580	385 80	22 96	ST

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Account name: STANLEY AND CATHERINE MAAS
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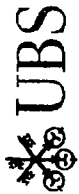
Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
BANK OF NEW YORK MELLON CORP								
Symbol BK Exchange NYSE								
EAI \$241 Current yield 1.79%								
	Oct 7, 08	206 000	24.910	5,131.46	28.960	5,965.76	834.30	LT
	Oct 8, 08	83 000	25.930	2,152.19	28.960	2,403.68	251.49	LT
	Oct 7, 09	51 000	28.347	1,445.74	28.960	1,476.96	31.22	LT
	Feb 3, 10	61 000	28.772	1,755.11	28.960	1,766.56	11.45	LT
	Feb 8, 10	4 000	26.948	107.80	28.960	115.84	8.04	LT
	Mar 31, 10	59 000	30.837	1,819.41	28.960	1,708.64	-110.77	LT
Security total		464 000	26.749	12,411.71		13,437.44	1,025.73	
BANK OF AMER CORP								
Symbol BAC Exchange NYSE								
EAI \$22 Current yield 0.33%								
	May 14, 10	30 000	16.280	488.40	12.280	368.40	-120.00	ST
	May 19, 10	332 000	16.322	5,419.14	12.280	4,076.96	-1,342.18	ST
	Jun 9, 10	113 000	15.259	1,724.32	12.280	1,387.64	-336.68	ST
	Jul 14, 10	74 000	15.539	1,149.91	12.280	908.72	-241.19	ST
Security total		549 000	15.996	8,781.77		6,741.72	-2,040.05	
BECTON DICKINSON & CO								
Symbol BDX Exchange NYSE								
EAI \$125 Current yield 1.91%								
	Dec 22, 09	23 000	77.883	1,791.33	85.940	1,976.62	185.29	LT
	Jan 14, 10	19 000	76.741	1,458.09	85.940	1,632.86	174.77	LT
	Jan 21, 10	7 000	76.661	536.63	85.940	601.58	64.95	LT
	Feb 16, 11	26 000	80.486	2,092.65	85.940	2,234.44	141.79	ST
	Feb 18, 11	1 000	81.168	81.17	85.940	85.94	4.77	ST
Security total		76 000	78.419	5,959.87		6,531.44	571.57	
CHEVRON CORP								
Symbol CVX Exchange NYSE								
EAI \$434 Current yield 2.85%								
	Jun 26, 08	51 000	98.100	5,003.10	109.440	5,581.44	578.34	LT
	Jul 31, 08	34 000	85.510	2,907.39	109.440	3,720.96	813.57	LT
	Oct 7, 08	1 000	75.530	75.53	109.440	109.44	33.91	LT
	Feb 6, 09	3 000	73.290	219.87	109.440	328.32	108.45	LT
	Oct 28, 09	25 000	76.810	1,920.27	109.440	2,736.00	815.73	LT
	Jan 6, 10	22 000	79.369	1,746.12	109.440	2,407.68	661.56	LT

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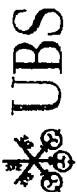


Your assets • Equities • Common stock (continued)

Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63389 20

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr. 29 (\$)	Value on Apr. 29 (\$)	Unrealized gain or loss (\$)	Holding period
Security total								
ACE LTD CHF								
Symbol ACE Exchange NYSE								
EAI \$99 Current yield 1.96%								
	Nov 10, 10	45 000	45.399	2,042.96	57.130	2,570.85	527.89	ST
	Nov 12, 10	6 000	43.416	260.50	57.130	342.78	82.28	ST
Security total		322 000	35.014	11,274.64		18,395.86	7,121.22	
AIR PROD & CHEMICAL INC								
Symbol APD Exchange NYSE								
EAI \$125 Current yield 2.42%								
	Sep 8, 10	39 000	55.003	2,145.14	67.250	2,622.75	477.61	ST
	Feb 2, 11	35 000	62.479	2,186.77	67.250	2,353.75	166.98	ST
	Feb 11, 11	1 000	63.392	63.39	67.250	67.25	3.86	ST
Security total		75 000	58.604	4,395.30		5,043.75	648.45	
AON CORP								
Symbol AON Exchange NYSE								
EAI \$59 Current yield 1.15%								
	Apr 14, 10	27 000	75.480	2,037.97	95.520	2,579.04	541.07	LT
	Apr 28, 10	27 000	77.598	2,095.16	95.520	2,579.04	483.88	LT
Security total		54 000	76.539	4,133.13		5,158.08	1,024.95	
APACHE CORP								
Symbol APA Exchange NYSE								
EAI \$44 Current yield 0.45%								
	Jul 28, 10	85 000	36.639	3,114.37	52.170	4,434.45	1,320.08	ST
	Aug 2, 10	13 000	38.100	495.30	52.170	678.21	182.91	ST
Security total		98 000	36.833	3,609.67		5,112.66	1,502.99	
AT&T INC								
Symbol T Exchange NYSE								
EAI \$604 Current yield 5.53%								
	Oct 7, 08	52 000	82.500	4,290.00	133.370	6,935.24	2,645.24	LT
	Oct 19, 09	22 000	102.882	2,263.42	133.370	2,934.14	670.72	LT
Security total		74 000	88.560	6,553.42		9,869.38	3,315.96	
AT&T INC								
Symbol T Exchange NYSE								
EAI \$604 Current yield 5.53%								
	Oct 7, 08	254 000	26.090	6,626.86	31.120	7,904.48	1,277.62	LT
	Feb 6, 09	4 000	26.200	104.80	31.120	124.48	19.68	LT
	Mar 27, 09	6 000	25.980	155.88	31.120	186.72	30.84	LT
	Nov 11, 09	81 000	26.367	2,135.75	31.120	2,520.72	384.97	LT
	Feb 16, 10	1 000	25.310	25.31	31.120	31.12	5.81	LT
	Feb 19, 10	5 000	25.098	125.49	31.120	155.60	30.11	LT
Security total		351 000	26.137	9,174.09		10,923.12	1,749.03	

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April 2011

Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63389 20

Your Financial Advisor:
ROBERT D. COHEN
516-745-8800/800-333-7707

Your assets

Some prices income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See Important information about your statement at the end of this document for more information.

Cash

Cash and money balances

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Opening balance on Apr 1 (\$)	Closing balance on Apr 29 (\$)	Price per share on Apr 29 (\$)	Average rate	Dividend/Interest period	Days in period
RMA MONEY MKT PORTFOLIO				7,214.08	7,538.45	1.00	0.01%	Mar 25 to Apr 24	31

Unrealized Profit Loss \$ 319.04
+ 78.59
8,319.04

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
ABBOTT LABS								
Symbol ABT Exchange NYSE								
EAI \$369 Current yield 3.69%								
	May 20, 09	77,000	43.508	3,350.12	52.040	4,007.08	656.96	LT
	Jul 29, 09	37,000	45.574	1,686.27	52.040	1,925.48	239.21	LT
	Sep 2, 09	40,000	45.166	1,806.65	52.040	2,081.60	274.95	LT
	Feb 19, 10	3,000	54.397	163.19	52.040	156.12	-7.07	LT
	Mar 11, 10	35,000	55.199	1,931.99	52.040	1,821.40	-110.59	LT
Security total		192,000	46.553	8,938.22		9,991.68	1,053.46	

ACCENTURE PLC IRELAND CL A

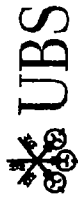
Symbol ACN Exchange NYSE

EAI \$290 Current yield 1.58%

Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
Oct 7, 08	135,000	32.210	4,348.35	57.130	7,712.55	3,364.20	LT
Feb 6, 09	8,000	32.787	262.30	57.130	457.04	194.74	LT
Feb 18, 09	34,000	31.080	1,056.86	57.130	1,942.42	885.56	LT
Feb 18, 09	14,000	31.084	435.18	57.130	799.82	364.64	LT
Feb 20, 09	15,000	29.864	447.96	57.130	856.95	408.99	LT
Mar 27, 09	16,000	27.840	445.44	57.130	914.08	468.64	LT
Feb 19, 10	5,000	40.858	204.29	57.130	285.65	81.36	LT
Sep 15, 10	22,000	39.470	868.36	57.130	1,256.86	388.50	ST
Sep 20, 10	22,000	41.019	902.44	57.130	1,256.86	354.42	ST

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Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63390 20

Your assets (continued)

Your total assets

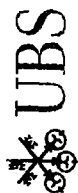
Cash	Value on Apr 29 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash and money balances	4,073.47	2.09%	4,073.47		
Equities	190,918.34	97.91%	122,753.27	1,758.00	68,165.07
Total	\$194,991.81	100.00%	\$126,826.74	\$1,758.00	\$68,165.07

Account activity this month

For more information about the price/value shown for restricted securities, see Important information about your statement at the end of this document

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Mar 31		Cash and money balance					\$3,428.65
Apr 1	Sold	DOLBY LABORATORIES INC CL A Other fees and charges \$ 04		-32 000	49 178100	1,573 66	
Apr 1	Bought	Average Priced Trade SYMBOL DLB CUSIP NO 25659T107 Location of Execution 09 Capacity Agent		26 000	72 548800	-1,886 27	
Apr 1	Dividend	FEDEX CORP PAID ON 27				3 24	
Apr 1	Dividend	NIKE INC CL B PAID ON 46				14 26	
Apr 1	Foreign Dividend	SCHLUMBERGER LTD NETHERLANDS ANTILLES PAID ON 34				8 50	
Apr 1	Dividend	UNION PACIFIC CORP PAID ON 44				16 72	3,158 76
Apr 7	Foreign Dividend	PETROLEO BRASILEIRO SA SPON ADR PAID ON 42				7 69	
Apr 7	Foreign Tax Withheld	PETROLEO BRASILEIRO SA SPON ADR				-1 15	3,165 30
Apr 8	Foreign Dividend	PETROLEO BRASILEIRO SA SPON ADR PAID ON 42 AS OF 04/07/11				21	
Apr 8	Foreign Tax Withheld	PETROLEO BRASILEIRO SA SPON ADR AS OF 04/07/11				- 05	3,165 46
Apr 11	Sold	INTEL CORP Other fees and charges \$ 05		-118 000	19 815000	2,338 12	
		Average Priced Trade SYMBOL INTC CUSIP NO 458140100 Location of Execution 09 Capacity Agent					

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ACCESS
April 2011

Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63390 20

Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

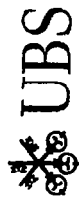
Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		43 000	55 851	2,401 59		3,859 25	1,457 66	
TEXAS INSTRUMENTS								
Symbol TXN Exchange NYSE								
EAI \$62 Current yield 1.45%	Oct 19, 05	65 000	29 250	1,901 25 ¹	35 530	2,309 45	408 20	LT
	Oct 28, 05	55 000	27 620	1,519 10 ¹	35 530	1,954 15	435 05	LT
Security total		120 000	28 503	3,420 35		4,263 60	843 25	
THE DIRECTV GROUP CL A								
Symbol DTV Exchange OTC	Mar 26, 09	88 000	23 247	2,045 81	48 590	4,275 92	2,230 11	LT
THERMO FISHER SCIENTIFIC INC								
Symbol TMO Exchange NYSE	Mar 26, 09	34 000	36 320	1,234 88	59 990	2,039 66	804 78	LT
	Feb 4, 10	12 000	47 549	570 59	59 990	719 88	149 29	LT
Security total		46 000	39 249	1,805 47		2,759 54	954 07	
UNION PACIFIC CORP								
Symbol UNP Exchange NYSE	Mar 26, 09	19 000	43 830	832 77	103 470	1,965 93	1,133 16	LT
EAI \$67 Current yield 1.47%	Jul 24, 09	25 000	57 859	1,446 50	103 470	2,586 75	1,140 25	LT
Security total		44 000	51 802	2,279 27		4,552 68	2,273 41	
VARIAN MEDICAL SYSTEMS INC								
Symbol VAR Exchange NYSE	Mar 26, 09	36 000	29 970	1,078 92	70 200	2,527 20	1,448 28	LT
	Feb 4, 10	25 000	48 700	1,217 50	70 200	1,755 00	537 50	LT
Security total		61 000	37 646	2,296 42		4,282 20	1,985 78	
VISA INC CL A								
Symbol V Exchange NYSE	Apr 23, 09	20 000	58 669	1,173 39	78 120	1,562 40	389 01	LT
EAI \$12 Current yield 0.77%								
WALT DISNEY CO (HOLDING CO)								
Symbol DIS Exchange NYSE	Feb 25, 11	44 000	42 914	1,888 22	43 100	1,896 40	8 18	ST
EAI \$18 Current yield 0.95%								
Total				\$122,753.27		\$190,918.34	\$68,165.07	

Total estimated annual income: \$1,758

¹ Indicates cost basis information provided by you or another third party. UBS FS has not verified this information and does not guarantee its accuracy.



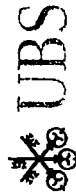


Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63390 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
PEPSICO INC								
Symbol PEP Exchange NYSE								
EAI \$98 Current yield 2.79%	Aug 24, 05	21 000	54 550	1,145 58	68 890	1,446 69	301 11	LT
	Feb 10, 06	30 000	57 980	1,739 40	68 890	2,066 70	327 30	LT
Security total		51 000	56 568	2,884 98		3,513 39	628 41	
PETROLEO BRASILEIRO SA SPON ADR								
Symbol PBR Exchange NYSE								
EAI \$6 Current yield 0.38%	May 20, 09	32 000	41 707	1,334 64	37 330	1,194 56	-140 08	LT
	Jun 22, 10	10 000	38 026	380 26	37 330	373 30	-6 96	ST
Security total		42 000	40 831	1,714 90		1,567 86	-147 04	
PIONEER NAT RES CO								
Symbol PXD Exchange NYSE								
EAI \$1 Current yield 0.09%	May 20, 10	33 000	57 339	2,236 25	102 230	3,986 97	1,750 72	ST
	Feb 16, 11	6 000	100 624	603 75	102 230	613 38	9 63	ST
Security total		45 000	63 111	2,840 00		4,600 35	1,760 35	
POLO RALPH LAUREN CORP								
Symbol RL Exchange NYSE								
EAI \$14 Current yield 0.63%	Oct 29, 10	17 000	97 207	1,652 53	130 770	2,223 09	570 56	ST
PRAXAIR INC								
Symbol PX Exchange NYSE								
EAI \$76 Current yield 1.88%	Mar 26, 09	38 000	68 680	2,609 84	106 420	4,043 96	1,434 12	LT
PRICE T ROWE GROUP INC								
Symbol TROW Exchange OTC								
EAI \$81 Current yield 1.94%	Mar 26, 09	55 000	29 085	1,890 56	64 250	4,176 25	2,285 69	LT
PRICELINE COM INC NEW								
Symbol PCLN Exchange OTC								
Mar 26, 09	8 000	83 370	666 96	547 010	4,376 08	3,709 12		LT
SCHEIN HENRY INC								
Symbol HSIC Exchange OTC								
Mar 26, 09	62 000	39 840	2,470 08	73 070	4,530 34	2,060 26		LT
SCHLUMBERGER LTD NETHERLANDS ANTILLES								
Symbol SLB Exchange NYSE								
EAI \$43 Current yield 1.11%	Mar 26, 09	34 000	45 800	1,557 20	89 750	3,051 50	1,494 30	LT
	Feb 16, 11	9 000	93 821	844 39	89 750	807 75	-36 64	ST

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April 2011

Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63390 20

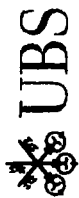
Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
HESS CORP								
Symbol HES Exchange NYSE								
EAI \$14 Current yield 0.48%	Jan 18, 11	34,000	82.405	2,801.80	85.960	2,922.64	120.84	ST
INTL BUSINESS MACH								
Symbol IBM Exchange NYSE								
EAI \$90 Current yield 1.76%	Mar 26, 09	30,000	98.270	2,948.10	170.580	5,117.40	2,169.30	LT
JACOBS ENGINEERING GROUP INC								
Symbol JEC Exchange NYSE								
	Mar 26, 09	39,000	42.250	1,647.75	49.610	1,934.79	287.04	LT
	Oct 8, 10	23,000	40.171	923.95	49.610	1,141.03	217.08	ST
Security total		62,000	41.479	2,571.70		3,075.82	504.12	
MCDONALDS CORP								
Symbol MCD Exchange NYSE								
EAI \$134 Current yield 3.11%	Mar 26, 09	55,000	55.277	3,040.28	78.310	4,307.05	1,266.77	LT
MICROSOFT CORP								
Symbol MSFT Exchange OTC								
EAI \$94 Current yield 2.47%	Oct 28, 05	32,000	25.430	813.76 ¹	25.920	829.44	15.68	LT
	Mar 26, 09	63,000	18.267	1,150.87	25.920	1,632.96	482.09	LT
	Apr 6, 11	52,000	26.094	1,356.91	25.920	1,347.84	-9.07	ST
Security total		147,000	22.596	3,321.54		3,810.24	488.70	
NETEASE COM INC SPON ADR								
Symbol NTES Exchange OTC								
	Apr 6, 11	37,000	51.314	1,898.64	49.280	1,823.36	-75.28	ST
NIKE INC CL B								
Symbol NKE Exchange NYSE								
EAI \$57 Current yield 1.51%	Mar 26, 09	30,000	47.840	1,435.20	82.320	2,469.60	1,034.40	LT
	Oct 6, 09	16,000	64.318	1,029.09	82.320	1,317.12	288.03	LT
Security total		46,000	53.572	2,464.29		3,786.72	1,322.43	
ORACLE CORP								
Symbol ORCL Exchange OTC								
EAI \$25 Current yield 0.67%	Feb 19, 10	88,000	24.315	2,139.80	35.960	3,164.48	1,024.68	LT
	Oct 29, 10	16,000	29.503	472.05	35.960	575.36	103.31	ST
Security total		104,000	25.114	2,611.85		3,739.84	1,127.99	

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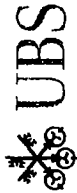


Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63390 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		67 000	41 685	2,792 91		4,558 68	1,765 77	
EBAY INC								
Symbol EBAY Exchange OTC	Mar 17, 11	75 000	30 603	2,295 24	34 390	2,579 25	284 01	ST
EMC CORP MASS								
Symbol EMC Exchange NYSE	Sep 30, 10	159 000	20 463	3,253 66	28 340	4,506 06	1,252 40	ST
	Feb 7, 11	22 000	25 992	571 84	28 340	623 48	51 64	ST
Security total		181 000	21 135	3,825 50		5,129 54	1,304 04	
EXPRESS SCRIPTS INC								
Symbol ESRX Exchange OTC	Mar 26, 09	50 000	22 842	1,142 14	56 740	2,837 00	1,694 86	LT
FEDEX CORP								
Symbol FDX Exchange NYSE	Aug 10, 10	20 000	86 134	1,722 69	95 670	1,913 40	190 71	ST
EAI \$13 Current yield 0.50%	Aug 18, 10	7 000	83 903	587 32	95 670	669 69	82 37	ST
Security total		27 000	85 556	2 310 01		2,583 09	273 08	
FLOWERVE CORP								
Symbol FLS Exchange NYSE	Mar 26, 09	21 000	58 330	1,224 93	126 620	2,659 02	1,434 09	LT
EAI \$27 Current yield 1.02%								
FLUOR CORP NEW								
Symbol FLR Exchange NYSE	Mar 29, 11	25 000	72 548	1,886 27	69 940	1,818 44	-67 83	ST
EAI \$13 Current yield 0.71%								
GOOGLE INC CL A								
Symbol GOOG Exchange OTC	Sep 23, 08	1 000	436 130	436 13 ¹	544 100	544 10	107 97	LT
	Oct 22, 08	2 000	358 190	716 38 ¹	544 100	1,088 20	371 82	LT
	Dec 29, 08	1 000	294 740	294 74 ¹	544 100	544 10	249 36	LT
	Mar 26, 09	3 000	355 250	1,065 75	544 100	1,632 30	566 55	LT
	Jan 3, 11	2 000	602 532	1,205 07	544 100	1,088 20	-116 87	ST
Security total		9 000	413 119	3,718 07		4,896 90	1,178 83	
HALLIBURTON CO (HOLDING COMPANY)								
Symbol HAL Exchange NYSE	Mar 26, 09	64 000	17 547	1,123 06	50 480	3,230 72	2,107 66	LT
EAI \$34 Current yield 0.71%	Nov 15, 10	31 000	36 009	1,116 29	50 480	1,564 88	448 59	ST
Security total		95 000	23 572	2,239 35		4,795 60	2,556 25	

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April 2011

Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63390 20

Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
CELGENE CORP								
Symbol CELG Exchange OTC	Apr 9, 10	6 000	61 663	369 98	58 880	353 28	-16 70	LT
	Apr 22, 10	31 000	57 983	1,796 87	58 880	1,825 28	28 41	LT
Security total		37 000	58 564	2,166 85		2,178 56	11 71	
CERNER CORP								
Symbol CERN Exchange OTC	Mar 26, 09	28 000	45 866	1,284 27	120 180	3,365 04	2,080 77	LT
CISCO SYSTEMS INC								
Symbol CSCO Exchange OTC	Oct 19, 05	50 000	17 000	850 00 ¹	17 520	876 00	26 00	LT
EAI \$29 Current yield 1.38%	Oct 28, 05	50 000	17 180	859 00 ¹	17 520	876 00	17 00	LT
	Jul 16, 08	20 000	21 170	423 40 ¹	17 520	350 40	-73 00	LT
Security total		120 000	17 770	2,132 40		2,102 40	-30 00	
CNOOC LTD SPON ADR								
Symbol CEO Exchange NYSE	Jun 22, 10	9 000	177 048	1,593 43	249 450	2,245 05	651 62	ST
EAI \$48 Current yield 2.14%								
COGNIZANT TECH SOLUTIONS CRP								
Symbol CTSI Exchange OTC	Mar 26, 09	62 000	21 267	1,318 60	82 900	5,139 80	3,821 20	LT
COMPANHIA DE BEBIDAS DAS AMERS								
AMBEV SPON ADR								
Symbol ABV Exchange NYSE	Mar 26, 09	146 000	9 834	1,435 76	32 580	4,756 68	3,320 92	LT
EAI \$108 Current yield 2.27%								
CORNING INC								
Symbol GLW Exchange NYSE	Jul 9, 10	131 000	17 472	2,288 94	20 940	2,743 14	454 20	ST
EAI \$26 Current yield 0.95%								
DANAHER CORP								
Symbol DHR Exchange NYSE	Mar 26, 09	35 000	27 845	974 58	55 240	1,933 40	958 82	LT
EAI \$5 Current yield 0.14%	Oct 30, 09	28 000	34 546	967 31	55 240	1,546 72	579 41	LT
Security total		63 000	30 824	1,941 89		3,480 12	1,538 23	
DOVER CORP								
Symbol DOV Exchange NYSE	Nov 9, 09	56 000	40 842	2,287 16	68 040	3,810 24	1,523 08	LT
EAI \$74 Current yield 1.62%	Mar 4, 10	11 000	45 977	505 75	68 040	748 44	242 69	LT

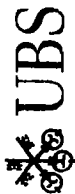
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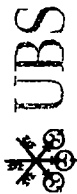


Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63390 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
ALLERGAN INC								
Symbol AGN Exchange NYSE								
EAI \$8 Current yield 0.26%	Apr 9, 10	39 000	63.408	2,472.92	79.560	3,102.84	629.92	LT
AMAZON COM INC								
Symbol AMZN Exchange OTC	Feb 7, 11	11 000	177.377	1,951.16	195.810	2,153.91	202.75	ST
AMERIPRISE FINANCIAL INC								
Symbol AMP Exchange NYSE								
EAI \$35 Current yield 1.48%	Apr 1, 10	38 000	45.975	1,747.08	62.060	2,358.28	611.20	LT
ANADARKO PETROLEUM CORP								
Symbol APC Exchange NYSE								
EAI \$14 Current yield 0.44%	May 20, 10	26 000	54.362	1,413.43	78.940	2,052.44	639.01	ST
	Feb 16, 11	14 000	77.679	1,087.51	78.940	1,105.16	17.65	ST
Security total		40 000	62.524	2,500.94		3,157.60	656.66	
APPLE INC								
Symbol AAPL Exchange OTC	Mar 26, 09	23 000	108.535	2,496.32	350.130	8,052.99	5,556.67	LT
BORG WARNER INC								
Symbol BWA Exchange NYSE	Jun 22, 10	56 000	41.470	2,322.35	77.240	4,325.44	2,003.09	ST
BROADCOM CORP C.L.A.								
Symbol BRCM Exchange OTC								
EAI \$22 Current yield 1.02%	Jun 24, 10	44 000	35.071	1,543.12	35.190	1,548.36	5.24	ST
	Feb 7, 11	17 000	46.136	784.33	35.190	598.23	-186.10	ST
Security total		61 000	38.155	2,327.45		2,146.59	-180.86	
CAMERON INTL CORP								
Symbol CAM Exchange NYSE	Mar 26, 09	71 000	24.930	1,770.03	52.720	3,743.12	1,973.09	LT
CARNIVAL CORP NEW (PAIRED STOCK)								
Symbol CCL Exchange NYSE								
EAI \$80 Current yield 2.63%	Sep 25, 09	64 000	33.147	2,121.46	38.070	2,436.48	315.02	LT
	Oct 29, 10	16 000	42.684	682.95	38.070	609.12	-73.83	ST
Security total		80 000	35.055	2,804.41		3,045.60	241.19	
CATERPILLAR INC								
Symbol CAT Exchange NYSE								
EAI \$35 Current yield 1.52%	Nov 30, 10	20 000	84.749	1,694.99	115.410	2,308.20	613.21	ST

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ACCESS
April 2011

Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63390 20

Your Financial Advisor
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See important information about your statement at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Apr 1 (\$)	Closing balance on Apr 29 (\$)	Price per share on Apr 29 (\$)	Average rate	Dividend/Interest period	Days in period
RMA MONEY MKT PORTFOLIO	3,328.65	4,073.47	1.00	0.01%	Mar 25 to Apr 24	31

Price Year Harris Street adj
4,068.59

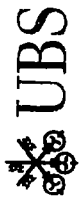
Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
ABB LTD SPON ADR								
Symbol ABB Exchange NYSE	Mar 26, 09	69,000	14.449	997.02	27.490	1,896.81	899.79	LT
EAI \$169 Current yield 4.02%	Aug 17, 09	34,000	17.815	605.72	27.490	934.66	328.94	LT
	Mar 4, 10	50,000	20.764	1,038.23	27.490	1,374.50	336.27	LT
Security total		153,000	17.261	2,640.97		4,205.97	1,565.00	
ACCENTURE PLC IRELAND CL A								
Symbol ACN Exchange NYSE	Mar 26, 09	42,000	31.629	1,328.42	57.130	2,399.46	1,071.04	LT
EAI \$38 Current yield 1.58%								
AFLAC INC								
Symbol AFL Exchange NYSE	Dec 20, 10	48,000	56.556	2,714.72	56.190	2,697.12	-17.60	ST
EAI \$85 Current yield 2.13%	Mar 18, 11	23,000	50.875	1,170.14	56.190	1,292.37	122.23	ST
Security total		71,000	54.716	3,884.86		3,989.49	104.63	
AGCO CORP								
Symbol AGCO Exchange NYSE	Mar 26, 09	26,000	20.700	538.20	57.580	1,497.08	958.88	LT
	Sep 9, 10	26,000	37.616	978.04	57.580	1,497.08	519.04	ST
Security total		52,000	29.158	1,516.24		2,994.16	1,477.92	

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Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63391 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr. 29 (\$)	Value on Apr. 29 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		371 000	16 970	6,295 92		8,662 85	2,366 93	
Total				\$355,458.12		\$396,377.83	\$40,919.71	

Total estimated annual income: \$10,196

* Indicates cost basis information provided by you or another third party. UBS FS has not verified this information and does not guarantee its accuracy.

Your total assets

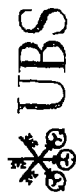
	Value on Apr. 29 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	26,847.57	6.34%	26,847.57		
Equities	396,377.83	93.66%	355,458.12	10,196.00	40,919.71
Total	\$423,225.40	100.00%	\$382,305.69	\$10,196.00	\$40,919.71

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Mar 31		Cash and money balance					\$25,246.29
Apr 1	Sold	DAIWA HOUSE IND LTD ADR JAPAN ADR Other fees and charges \$ 04		-15 000	125 475100	1,882 09	
Apr 1	Foreign Tax Withheld	Average Priced Trade ▶ SYMBOL DWAHY ▶ CUSIP NO 234062206 ▶ Location of Execution 09 ▶ Capacity Agent				-3 04	
Apr 1	Foreign Dividend	NEXEN INC CAD PAID ON	392			20 28	
Apr 1	Exchange	SUMITOMO TRUST & BANKING *EXCHANGE EFF 04/2011* SPON ADR		-910 000			
Apr 1	Exchange	SUMITOMO MITSUI TRUST HOLDINGS SPON ADR		1,355 000			27,145 62
Apr 4	Cash In Lieu	SUMITOMO MITSUI TRUST HOLDINGS SPON ADR AS OF 04/01/11				2 97	27,148 59
Apr 7	Foreign Dividend	GLAXO SMITHKLINE PLC ADR PAID ON	271			166 75	

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April 2011

Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63391 20

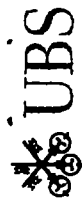
Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
TOYOTA MOTOR CORP NEW JAPAN SPON ADR								
Symbol TM Exchange NYSE								
EAI \$78 Current yield 1 19%								
	Sep 9, 10	40 000	69 916	2,796 65	79 680	3,187 20	390 55	ST
	Sep 10, 10	14 000	70 607	988 50	79 680	1,115 52	127 02	ST
	Oct 20, 10	28 000	71 649	2 006 18	79 680	2,231 04	224 86	ST
Security total		82 000	70 626	5,791 33		6,533 76	742 43	
VODAFONE GROUP PLC NEW SPON ADR								
Symbol VOD Exchange NYSE								
EAI \$505 Current yield 4 45%								
	May 25, 06	13 000	24 690	320 97 ¹	29 120	378 56	57 59	LT
	Aug 4, 06	41 000	22 330	915 69 ¹	29 120	1,193 92	278 23	LT
	Jun 17, 08	30 000	29 740	892 25 ¹	29 120	873 60	-18 65	LT
	Jul 22, 08	98 000	25 620	2,510 98 ¹	29 120	2,853 76	342 78	LT
	Sep 24, 08	83 000	22 360	1,856 12 ¹	29 120	2,416 96	560 84	LT
	Nov 10, 08	125 000	16 830	2,103 98 ¹	29 120	3,640 00	1,536 02	LT
Security total		390 000	22 051	8,599 99		11,356 80	2,756 81	
WACOAL HOLDINGS CORP ADR								
Symbol WACLX Exchange OTC								
EAI \$98 Current yield 1 54%								
	Jul 27, 05	75 000	61 060	4,579 50 ¹	64 160	4,812 00	232 50	LT
	May 30, 07	12 000	62 600	751 20 ¹	64 160	769 92	18 72	LT
	Nov 5, 07	12 000	60 850	730 20 ¹	64 160	769 92	39 72	LT
Security total		99 000	61 221	6,060 90		6,351 84	290 94	
WOLTERS KLUWER NV SPONSORED ADR NETHERLANDS ADR								
Symbol WTKWY Exchange OTC								
EAI \$293 Current yield 3 38%								
	Feb 24, 09	49 000	16 285	798 00	23 350	1,144 15	346 15	LT
	Feb 24, 09	35 000	16 260	569 10 ¹	23 350	817 25	248 15	LT
	Mar 30, 09	76 000	15 586	1,184 60	23 350	1,774 60	590 00	LT
	Apr 29, 09	48 000	16 603	796 97	23 350	1,120 80	323 83	LT
	Jun 3, 09	45 000	19 469	876 15	23 350	1,050 75	174 60	LT
	Jun 12, 09	95 000	17 667	1,678 42	23 350	2,218 25	539 83	LT
	Jun 15, 09	23 000	17 072	392 68	23 350	537 05	144 37	LT



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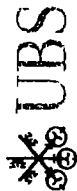


Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63391 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Sep 16, 10	398 000	3 469	1,380 94	3 550	1,412 90	31 96	ST
		1,355 000	4 102	5,557 71		4,810 25	-747 46	
SUNCOR ENERGY INC NEW CAD								
Symbol SU Exchange NYSE								
EAI 557 Current yield 0 88%	May 21, 10	140 000	28 956	4,053 84	46 040	6,445 60	2,391 76	ST
SWISSCOM AG SPON ADR								
Symbol SCMWY Exchange OTC								
EAI 5404 Current yield 4 63%	Jul 27, 05	52 000	33 060	1,719 12 ¹	45 900	2,386 80	667 68	LT
	Oct 21, 05	50 000	32 760	1,638 08 ¹	45 900	2,295 00	656 92	LT
	Oct 28, 05	6 000	32 680	196 08 ¹	45 900	275 40	79 32	LT
	Jan 30, 06	31 000	30 860	956 71 ¹	45 900	1,422 90	466 19	LT
	Jan 31, 06	34 000	30 670	1,042 78 ¹	45 900	1,560 60	517 82	LT
	Jun 8, 07	17 000	33 800	574 60 ¹	45 900	780 30	205 70	LT
Security total		190 000	32 249	6,127 37		8,721 00	2,593 63	
TAKEDA PHARMACEUTICAL CO LTD								
SPON ADR								
Symbol TKPYX Exchange OTC								
EAI 576 Current yield 3 77%	May 12, 10	83 000	21 549	1,788 57	24 290	2,016 07	227 50	ST
TELECOM ITALIA SPA NEW REPSTG								
10 SAVINGS SHS SPON ADR								
Symbol TIA Exchange NYSE								
EAI 51,191 Current yield 11 88%	Jul 27, 05	1 000	27 020	27 02 ¹	12 890	12 89	-14 13	LT
	Sep 15, 05	15 000	27 090	406 35 ¹	12 890	193 35	-213 00	LT
	Oct 21, 05	84 000	25 130	2,111 00 ¹	12 890	1,082 76	-1,028 24	LT
	Oct 28, 05	9 000	23 870	214 84 ¹	12 890	116 01	-98 83	LT
	Nov 22, 05	26 000	23 200	603 20 ¹	12 890	335 14	-258 06	LT
	Nov 23, 05	16 000	23 940	383 08 ¹	12 890	206 24	-176 84	LT
	Nov 25, 05	47 000	23 710	1,114 37 ¹	12 890	605 83	-508 54	LT
	Feb 8, 06	331 000	23 830	7,887 73 ¹	12 890	4,266 59	-3,621 14	LT
	Oct 19, 06	36 000	24 600	885 50 ¹	12 890	464 04	-421 56	LT
	May 2, 08	75 000	16 610	1,245 75 ¹	12 890	966 75	-279 00	LT
	Dec 13, 10	138 000	10 949	1,511 02	12 890	1,778 82	267 80	ST
Security total		778 000	21 067	16,389 96		10,028 42	-6,361 54	

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April 2011

Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63391 20

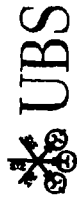
Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
SIEMENS A G SPON ADR								
Symbol SI Exchange NYSE								
EAI \$132 Current yield 1.85%	Sep 29, 08	19 000	92.970	1,766.43 ¹	145.940	2,772.86	1,006.43	LT
	Oct 24, 08	30 000	50.570	1,517.10 ¹	145.940	4,378.20	2,861.10	LT
Security total		49 000	67.011	3,283.53		7,151.06	3,867.53	
SK TELECOM CO LTD ADR								
Symbol SKM Exchange NYSE								
EAI \$521 Current yield 3.80%	Feb 14, 08	96 000	22.610	2,170.77 ¹	18.980	1,822.08	-348.69	LT
	Apr 28, 08	54 000	22.520	1,216.08 ¹	18.980	1,024.92	-191.16	LT
	Jun 11, 08	60 000	20.720	1,243.20 ¹	18.980	1,138.80	-104.40	LT
	Apr 13, 09	60 000	16.209	972.57	18.980	1,138.80	166.23	LT
	Apr 14, 09	65 000	16.066	1,044.29	18.980	1,233.70	189.41	LT
	May 20, 09	246 000	15.953	3,924.46	18.980	4,669.08	744.62	LT
	Aug 20, 09	56 000	15.794	884.48	18.980	1,062.88	178.40	LT
	Feb 25, 11	50 000	17.499	874.98	18.980	949.00	74.02	ST
	Feb 28, 11	36 000	17.547	631.72	18.980	683.28	51.56	ST
Security total		723 000	17.929	12,962.55		13,722.54	759.99	
SOCIETE GENERALE FRANCE SPONS								
ADR ADR								
Symbol SCGLY Exchange OTC								
EAI \$137 Current yield 3.25%	Feb 23, 09	127 000	5.686	722.24	13.380	1,699.26	977.02	LT
	May 17, 10	188 000	8.838	1,661.58	13.380	2,515.44	853.86	ST
Security total		315 000	7.568	2,383.82		4,214.70	1,830.88	
STATOIL ASA SPON ADR								
Symbol STO Exchange NYSE								
EAI \$179 Current yield 3.20%	Nov 9, 10	51 000	21.289	1,085.74	29.310	1,494.81	409.07	ST
	Nov 9, 10	45 000	21.289	958.01	29.310	1,318.95	360.94	ST
	Nov 10, 10	95 000	21.296	2,023.19	29.310	2,784.45	761.26	ST
Security total		191 000	21.293	4,066.94		5,598.21	1,531.27	
SUMITOMO MITSUI TRUST HOLDINGS								
SPON ADR								
Symbol SUTNY Exchange OTC								
	Jan 17, 08	480 000	4.293	2,060.74 ¹	3.550	1,704.00	-356.74	LT
	Mar 14, 08	477 000	4.434	2,116.03 ¹	3.550	1,693.35	-422.68	LT



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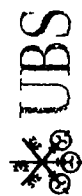


Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63391 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
SANOFI-AVENTIS SA SPON ADR								
Symbol SNY Exchange NYSE								
EAI \$304 Current yield 2.79%								
	Oct 2, 07	50 000	42 450	2 122 50 ¹	39 520	1 976 00	-146 50	LT
	Apr 8, 08	27 000	38 420	1 037 34 ¹	39 520	1 067 04	29 70	LT
	Apr 14, 08	33 000	38 130	1 258 29 ¹	39 520	1 304 16	45 87	LT
	May 22, 08	73 000	37 490	2 737 10 ¹	39 520	2 884 96	147 86	LT
	Aug 1, 08	41 000	35 430	1 452 63 ¹	39 520	1 620 32	167 69	LT
	Mar 30, 09	12 000	27 275	327 31	39 520	474 24	146 93	LT
	Jul 1, 09	40 000	30 196	1 207 85	39 520	1 580 80	372 95	LT
Security total		276 000	36 750	10 143 02		10 907 52	764 50	
SEKISUI HOUSE LTD SPON ADR								
Symbol SKHSY Exchange OTC								
EAI \$98 Current yield 2.09%								
	Sep 26, 07	188 000	12 390	2 329 32 ¹	9 620	1 808 56	-520 76	LT
	Mar 7, 08	299 000	8 930	2 670 34 ¹	9 620	2 876 38	206 04	LT
Security total		487 000	10 266	4 999 66		4 684 94	-314 72	
SEVEN & I HLDGS CO LTD ADR								
Symbol SVNDY Exchange OTC								
EAI \$205 Current yield 2.30%								
	Feb 17, 09	13 000	49 960	649 49 ¹	50 000	650 00	0 51	LT
	Feb 23, 09	66 000	43 492	2 870 52	50 000	3 300 00	429 48	LT
	Jun 5, 09	56 000	47 305	2 649 12	50 000	2 800 00	150 88	LT
	Aug 20, 09	43 000	46 846	2 014 37	50 000	2 150 00	135 63	LT
Security total		178 000	45 975	8 183 50		8 900 00	716 50	
SHISEIDO CO LTD SPONS								
ADR JAPAN								
Symbol SDOY Exchange OTC								
EAI \$158 Current yield 2.97%								
	Jul 27, 05	42 000	12 540	526 68 ¹	16 600	697 20	170 52	LT
	Oct 21, 05	89 000	15 240	1 356 36 ¹	16 600	1 477 40	121 04	LT
	Dec 17, 08	51 000	20 520	1 046 72 ¹	16 600	846 60	-200 12	LT
	Mar 31, 09	75 000	14 786	1 108 98	16 600	1 245 00	136 02	LT
	Apr 1, 09	64 000	14 579	933 06	16 600	1 062 40	129 34	LT
Security total		321 000	15 488	4 971 80		5 328 60	356 80	

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April 2011

Account name:
Account number:

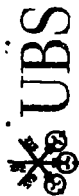
STANLEY AND CATHERINE MAAS
RF 63391 20

Your Financial Advisor:
ROBERT D. COHEN
516-745-8800/800-333-7707

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
P T TELEKOMUNIKASI INDONESIA								
SPON ADR								
Symbol TLK Exchange NYSE								
EAI \$136 Current yield 2.99%	Feb 17, 11	63 000	33 434	2,106 38	36 140	2,276 82	170 44	ST
	Feb 25, 11	63 000	34 019	2,143 20	36 140	2,276 82	133 62	ST
Security total		126 000	33 727	4,249 58		4,553 64	304 06	
PANASONIC CORP SPON ADR								
Symbol PC Exchange NYSE								
EAI \$55 Current yield 0.86%	Aug 17, 07	195 000	17 620	3,435 90 ¹	12 290	2,396 55	-1,039 35	LT
	Sep 11, 07	129 000	17 160	2,213 64 ¹	12 290	1,585 41	-628 23	LT
	Sep 27, 10	99 000	13 769	1,363 20	12 290	1,216 71	-146 49	ST
	Mar 17, 11	100 000	11 842	1,184 21	12 290	1,229 00	44 79	ST
Security total		523 000	15 673	8,196 95		6,427 67	-1,769 28	
ROHM CO LTD ADR								
Symbol ROHCY Exchange OTC								
EAI \$127 Current yield 2.16%	Jan 15, 09	13 000	24 780	322 18 ¹	30 270	393 51	71 33	LT
	Jan 30, 09	26 000	25 320	658 32 ¹	30 270	787 02	128 70	LT
	Feb 19, 09	61 000	24 444	1,491 13	30 270	1,846 47	355 34	LT
	Nov 8, 10	94 000	30 795	2,894 77	30 270	2,845 38	-49 39	ST
Security total		194 000	27 662	5,366 40		5,872 38	505 98	
ROYAL DUTCH SHELL PLC ADS								
REPSTG 2 CL B ORD SHS SPON ADR								
Symbol RDS B Exchange NYSE								
EAI \$467 Current yield 4.29%	Jul 25, 05	55 000	62 830	3,455 65 ¹	78 360	4,309 80	854 15	LT
	Oct 19, 05	32 000	62 200	1,990 40 ¹	78 360	2,507 52	517 12	LT
	Mar 3, 06	8 000	64 880	519 05 ¹	78 360	626 88	107 83	LT
	Feb 27, 07	7 000	67 990	475 95 ¹	78 360	548 52	72 57	LT
	Feb 13, 08	8 000	69 800	558 40 ¹	78 360	626 88	68 48	LT
	Feb 13, 08	5 000	69 790	348 97 ¹	78 360	391 80	42 83	LT
	Mar 30, 09	12 000	43 071	516 86	78 360	940 32	423 46	LT
	Feb 2, 10	12 000	55 087	661 05	78 360	940 32	279 27	LT
Security total		139 000	61 341	8,526 33		10,892 04	2,365 71	

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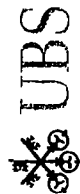


Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63391 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
	Aug 20, 09	51 000	32 369	1,650 83	29 790	1,519 29	-131 54	LT
	Aug 27, 09	61 000	32 483	1,981 49	29 790	1,817 19	-164 30	LT
	Oct 4, 10	49 000	31 723	1,554 46	29 790	1,459 71	-94 75	ST
Security total		183 000	32 424	5,933 67		5,451 57	-482 10	
NIPPON TELEGRAPH & TEL CORP SPON								
ADR								
Symbol NTT Exchange NYSE								
EAI \$401 Current yield 2.75%								
	Mar 3, 06	20 000	22 360	447 20 ¹	23 150	463 00	15 80	LT
	May 15, 07	18 000	24 290	437 22 ¹	23 150	416 70	-20 52	LT
	May 30, 07	112 000	22 860	2,560 86 ¹	23 150	2,592 80	31 94	LT
	Jun 18, 07	125 000	22 720	2 840 25 ¹	23 150	2,893 75	53 50	LT
	Sep 28, 07	107 000	23 270	2,489 89 ¹	23 150	2,477 05	-12 84	LT
	Apr 14, 09	137 000	19 537	2,676 68	23 150	3,171 55	494 87	LT
	Dec 15, 10	112 000	22 744	2,547 38	23 150	2,592 80	45 42	ST
Security total		631 000	22 186	13,998 48		14,607 65	608 17	
NOKIA CORP SPCNS ADR FINLAND								
ADR								
Symbol NOK Exchange NYSE								
EAI \$386 Current yield 4.25%								
	Jan 30, 09	86 000	12 230	1,052 19 ¹	9 230	793 78	-258 41	LT
	Feb 19, 09	160 000	10 654	1,704 72	9 230	1,476 80	-227 92	LT
	Feb 19, 09	36 000	10 650	383 56 ¹	9 230	332 28	-51 28	LT
	Jul 20, 09	90 000	13 108	1,179 78	9 230	830 70	-349 08	LT
	Nov 18, 09	167 000	13 950	2,329 74	9 230	1,541 41	-788 33	LT
	Apr 30, 10	74 000	12 172	900 78	9 230	683 02	-217 76	ST
	May 24, 10	61 000	10 075	614 58	9 230	563 03	-51 55	ST
	Feb 11, 11	218 000	9 416	2,052 75	9 230	2,012 14	-40 61	ST
	Mar 16, 11	51 000	8 064	733 89	9 230	839 93	106 04	ST
Security total		983 000	11 141	10,951 99		9,073 09	-1,878 90	
OAO GAZPROM LEVEL 1 ADR								
PROGRAM (RUSSIA) SPON ADR								
Symbol OGZPY Exchange GTC								
EAI \$34 Current yield 0.72%								
	Oct 15, 10	278 000	10 733	2,984 01	17 060	4,742 68	1,758 67	ST

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April 2011

Account name:
Account number:

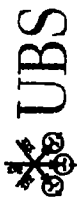
STANLEY AND CATHERINE MAAS
RF 63391 20

Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
MS&AD INS GROUP HLDGS ADR								
Symbol MSADY Exchange OTC								
EAI \$230 Current yield 2.09%								
	Nov 8, 07	83 000	18 410	1,528 03	11 810	980 23	-547 80	LT
	Nov 28, 07	132 000	18 730	2,472 54	11 810	1,558 92	-913 62	LT
	Dec 4, 07	144 000	18 050	2,599 20	11 810	1,700 64	-898 56	LT
	Feb 25, 10	73 000	12 654	923 75	11 810	862 13	-61 62	LT
	Aug 4, 10	56 000	11 374	636 98	11 810	661 36	24 38	ST
	Aug 13, 10	156 000	11 515	1,796 37	11 810	1,842 36	45 99	ST
	Apr 13, 11	55 000	11 017	605 97	11 810	649 55	43 58	ST
	Apr 14, 11	52 000	11 107	577 57	11 810	614 12	36 55	ST
	Apr 15, 11	181 000	11 135	2,015 49	11 810	2,137 61	122 12	ST
Security total		932 000	14 116	13,155 90		11,006 92	-2,148 98	
NEWREST MINING LTD SPON								
AUSTRALIA ADR								
Symbol NCMGY Exchange OTC								
EAI \$54 Current yield 0.59%								
	Jul 18, 06	33 000	14 340	473 27	45 710	1,508 43	1,035 16	LT
	Aug 13, 08	50 000	21 130	1,056 57	45 710	2,285 50	1,228 93	LT
	Aug 10, 09	84 000	25 114	2,109 61	45 710	3,839 64	1,730 03	LT
	May 28, 10	33 000	27 367	903 14	45 710	1,508 43	605 29	ST
Security total		200 000	22 713	4,542 59		9,142 00	4,599 41	
NEXEN INC CAD								
Symbol NXY Exchange NYSE								
EAI \$69 Current yield 0.80%								
	Jan 28, 09	27 000	14 900	402 34	26 430	713 61	311 27	LT
	Feb 23, 09	74 000	12 055	892 11	26 430	1,955 82	1,063 71	LT
	Oct 28, 09	87 000	21 955	1,910 12	26 430	2,299 41	389 29	LT
	May 18, 10	58 000	21 463	1,244 86	26 430	1,532 94	288 08	ST
	May 19, 10	36 000	21 055	758 01	26 430	951 48	193 47	ST
	Oct 20, 10	44 000	21 643	952 32	26 430	1,162 92	210 60	ST
Security total		326 000	18 895	6,159 76		8,616 18	2,456 42	
NINTENDO LTD ADR NEW JAPAN ADR								
Symbol NTDGY Exchange OTC								
EAI \$185 Current yield 3.39%								
	Aug 3, 09	22 000	33 949	746 89	29 790	655 38	-91 51	LT

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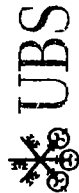


Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63391 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
HOME RETAIL GROUP PLC SPON ADR								
Symbol HMRTV Exchange OTC								
EAI \$155 Current yield 6.22%	Jan 7, 11	47 000	12 721	597 91	14 830	697 01	99 10	ST
	Jan 10, 11	73 000	12 724	928 90	14 830	1,082 59	153 69	ST
	Mar 10, 11	48 000	12 736	611 37	14 830	711 84	100 47	ST
Security total		168 000	12 727	2,138 18		2,491 44	353 26	
KAO CORP REPSTG 10 SHS COM								
SPON ADR								
Symbol KCRPY Exchange OTC								
EAI \$213 Current yield 2.31%	Apr 23, 09	280 000	20 192	5,653 97	24 910	6,974 80	1,320 83	LT
	May 18, 09	50 000	20 780	1,039 01	24 910	1,245 50	206 49	LT
	May 18, 09	40 000	20 780	831 21	24 910	996 40	165 19	LT
Security total		370 000	20 336	7,524 19		9,216 70	1,692 51	
KINROSS GOLD CORP NEW CAD								
Symbol KGC Exchange NYSE								
EAI \$68 Current yield 0.63%	Jul 7, 09	82 000	18 494	1,516 57	15 840	1,298 88	-217 69	LT
	Jul 9, 09	85 000	17 958	1,526 43	15 840	1,346 40	-180 03	LT
	Jul 10, 09	91 000	17 679	1,608 86	15 840	1,441 44	-167 42	LT
	Oct 30, 09	137 000	18 263	2,502 14	15 840	2,170 08	-332 06	LT
	Feb 4, 10	59 000	16 512	974 23	15 840	934 56	-39 67	LT
	Aug 18, 10	50 000	15 466	773 31	15 840	792 00	18 69	ST
	Jan 21, 11	109 000	17 165	1,871 03	15 840	1,726 56	-144 47	ST
	Feb 24, 11	66 000	15 633	1,031 80	15 840	1,045 44	13 64	ST
Security total		679 000	17 385	11,804 37		10,755 36	-1,049 01	
KOREA ELECTRIC POWER CRP								
SPONSORED ADR								
SHS								
Symbol KEP Exchange NYSE								
	Jul 25, 05	173 000	17 860	3,089 78	12 100	2,093 30	-996 48	LT
	Oct 19, 05	78 000	15 880	1,238 96	12 100	943 80	-295 16	LT
	Oct 3, 06	53 000	19 480	1,227 24	12 100	762 30	-464 94	LT
	Mar 17, 08	110 000	14 000	1,540 14	12 100	1,331 00	-209 14	LT
Security total		424 000	16 736	7,096 12		5,130 40	-1,965 72	

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April 2011

Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63391 20

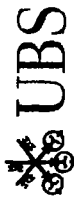
Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Nov 24, 10	765 000	5 863	4,485 27	6 750	5,163 75	678 48	ST
Security total		1,374 000	5 788	7,952 60		9,274 50	1,321 90	
FUJI FILM HOLDINGS CORP ADR								
Symbol FUJY Exchange OTC								
EAI \$53 Current yield 0.76%								
Security total	Feb 8, 06	79 000	32 330	2,554 07 ¹	31 030	2,451 37	-102 70	LT
	Mar 23, 06	89 000	32 510	2,893 39 ¹	31 030	2,761 67	-131 72	LT
	Mar 5, 08	57 000	36 770	2,095 94 ¹	31 030	1,768 71	-327 23	LT
Security total		225 000	33 526	7,543 40		6,981 75	-561 65	
GLAXO SMITHKLINE PLC ADR								
Symbol GSK Exchange NYSE								
EAI \$571 Current yield 4.83%								
Security total	Jan 8, 10	92 000	41 123	3,783 38	43 660	4,016 72	233 34	LT
	Jan 14, 10	45 000	41 928	1,886 78	43 660	1,964 70	77 92	LT
	Apr 22, 10	59 000	39 016	2,301 99	43 660	2,575 94	273 95	LT
	Feb 8, 11	75 000	38 854	2,514 05	43 660	3,274 50	360 45	ST
Security total		271 000	40 170	10,886 20		11,831 86	945 66	
GOLD FIELDS LTD SPON ADR								
Symbol GFI Exchange NYSE								
EAI \$127 Current yield 1.08%								
Security total	Nov 7, 07	2 000	18 190	36 39 ¹	17 840	35 68	-0 71	LT
	Feb 1, 08	109 000	14 570	1,588 52 ¹	17 840	1,944 56	356 04	LT
	Feb 5, 08	229 000	13 580	3,110 58 ¹	17 840	4,085 36	974 78	LT
	Apr 7, 08	58 000	13 850	803 50 ¹	17 840	1,034 72	231 22	LT
	May 21, 08	159 000	14 150	2,249 85 ¹	17 840	2,836 56	586 71	LT
	Feb 4, 10	101 000	11 124	1,123 54	17 840	1,801 84	678 30	LT
Security total		658 000	13 545	8,912 38		11,738 72	2,826 34	
HACHIJUNI BANK LTD ADR								
Symbol HACBY Exchange OTC								
EAI \$41 Current yield 1.06%								
Security total	Jun 12, 08	14 000	65 220	913 09 ¹	59 560	833 84	-79 25	LT
	Jul 28, 08	33 000	61 720	2,036 76 ¹	59 560	1,965 48	-71 28	LT
	Oct 6, 09	18 000	53 858	969 46	59 560	1,072 08	102 62	LT
		65 000	60 297	3,919 31		3,871 40	-47 91	

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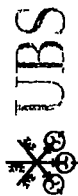


Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63391 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
	Apr 8, 11	24 000	18 513	444 32	19 850	476 40	32 08	ST
	Apr 11, 11	17 000	18 597	316 16	19 850	337 45	21 29	ST
	Apr 12, 11	52 000	18 560	965 14	19 850	1,032 20	67 06	ST
	Apr 20, 11	60 000	19 115	1,146 95	19 850	1,191 00	44 05	ST
	Apr 21, 11	42 000	19 494	818 77	19 850	833 70	14 93	ST
Security total		214 000	18 891	4,042 64		4,247 90	205 26	
DAIWA HOUSE IND LTD ADR JAPAN								
ADR								
Symbol: DWAHY Exchange OTC								
EAI \$56 Current yield 1.45%	Mar 5, 08	15 000	96 410	1,542 56 ¹	120 340	1,925 44	382 88	LT
	Aug 28, 08	16 000	93 480	1,495 68 ¹	120 340	1,925 44	429 76	LT
Security total		32 000	94 945	3,038 24		3,850 88	812 64	
EAST JAPAN RAILWAY CO ADR								
Symbol: EJPRY Exchange OTC								
EAI \$64 Current yield 1.89%	Feb 24, 11	183 000	11 568	2,117 11	9 180	1,679 94	-437 17	ST
	Mar 2, 11	186 000	11 560	2,168 76	9 180	1,707 48	-461 28	ST
Security total		369 000	11 615	4,285 87		3,387 42	-898 45	
ELECTRICITE DE FRANCE ADR								
Symbol: ECIFY Exchange OTC								
EAI \$182 Current yield 2.76%	Mar 22, 10	368 000	10 280	3,783 11	8 430	3,102 24	-680 87	LT
	Nov 10, 10	331 000	8 848	2,928 72	8 430	2,790 33	-138 39	ST
	Apr 7, 11	84 000	7 843	658 89	8 430	708 12	49 23	ST
Security total		783 000	9 413	7,370 72		6,600 69	-770 03	
EMBRAER S A SPON ADR								
Symbol: ERJ Exchange NYSE								
EAI \$22 Current yield 0.53%	Nov 3, 09	71 000	20 144	1,430 27	32 480	2,306 08	875 81	LT
	May 14, 10	58 000	22 541	1,307 42	32 480	1,883 84	576 42	ST
Security total		129 000	21 222	2,737 69		4,189 92	1,452 23	
FINMECCANICA SPA ADR								
Symbol: FINMY Exchange OTC								
EAI \$262 Current yield 2.82%	May 13, 10	52 000	6 027	313 41	6 750	351 00	37 59	ST
	May 14, 10	244 000	5 724	1,396 68	6 750	1,647 00	250 32	ST
	May 19, 10	313 000	5 614	1,757 24	6 750	2,112 75	355 51	ST

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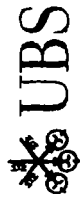
Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63391 20

Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
CARREFOUR SA ADR								
Symbol CRRY Exchange OTC								
EAI \$206 Current yield 2.14%	Sep 25, 09	200 000	9 059	1,811 80	9 460	1,892 00	80 20	LT
	Oct 5, 09	210 000	8 738	1 835 08	9 460	1,986 60	151 52	LT
	Oct 22, 09	200 000	9 158	1 831 74	9 460	1,892 00	60 26	LT
	Oct 28, 09	193 000	8 794	1 697 39	9 460	1,825 78	128 39	LT
	Dec 2, 10	215 000	8 637	1,856 96	9 460	2,033 90	176 94	ST
Security total		1,018 000	8 873	9 032 97		9,630 28	597 31	
CENTRAIS ELETRICAS BRAS SA								
SPON ADR REP 50 COM (ELETROBRAS)								
Symbol EBR Exchange NYSE	Jun 6, 07	19 000	13 060	248 211	14 820	281 58	33 37	LT
	Aug 6 07	44 000	12 760	561 441	14 820	652 08	90 64	LT
Security total		63 000	12 852	809 65		933 66	124 01	
CENTRAIS ELETRICAS BRAS SA								
SPON ADR REP 50 PREF CL B ADR								
Symbol EBR B Exchange NYSE	Feb 9, 07	6 000	11 100	66 611	18 740	112 44	45 83	LT
	May 24, 07	50 000	12 510	625 671	18 740	937 00	311 33	LT
	Dec 20, 07	86 000	12 550	1,079 301	18 740	1,611 64	532 34	LT
	Sep 10, 08	65 000	12 720	827 031	18 740	1,218 10	391 07	LT
	Oct 13, 08	55 000	9 870	543 071	18 740	1,030 70	487 63	LT
	Oct 22, 08	119 000	8 610	1,024 591	18 740	2,230 06	1,205 47	LT
Security total		381 000	10 935	4,166 27		7,139 94	2,973 67	
DAI NIPPON PRINTING CO SPON ADR								
Symbol DNPLY Exchange OTC								
EAI \$158 Current yield 2.49%	Feb 3, 10	193 000	13 906	2,683 91	11 980	2,312 14	-371 77	LT
	Feb 4, 10	287 000	13 757	3,948 49	11 980	3,438 26	-510 23	LT
	Feb 5, 10	50 000	13 549	677 45	11 980	599 00	-78 45	LT
Security total		530 000	13 792	7,309 85		6,349 40	-960 45	
DAIICHI SANKYO CO LTD ADR								
Symbol DSKY Exchange OTC								
EAI \$128 Current yield 3.01%	Apr 7, 11	19 000	18 489	351 30	19 850	377 15	25 85	ST



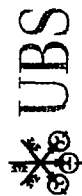


Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63391 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		233 000	31 789	7,406 86		11,878 34	4,471 48	
ASTRAZENECA PLC SPON ADR								
Symbol AZN Exchange NYSE								
EAI \$561 Current yield 5.12%								
	Jan 11, 10	55 000	47 709	2,624 02	49 830	2,740 65	116 63	LT
	Jan 14, 10	39 000	49 211	1,919 25	49 830	1,943 37	24 12	LT
	Apr 22, 10	50 000	45 120	2,256 04	49 830	2,491 50	235 46	LT
	Feb 8, 11	76 000	48 587	3,692 61	49 830	3,787 08	94 47	ST
Security total		220 000	47 691	10,491 92		10,962 60	470 68	
AXIS CAPITAL HOLDINGS LTD SHS								
Symbol AXS Exchange NYSE								
EAI \$175 Current yield 2.60%								
	Oct 22, 09	121 000	30 526	3,693 65	35 360	4,278 56	584 91	LT
	Feb 4, 10	69 000	28 501	1,966 57	35 360	2,439 84	473 27	LT
Security total		190 000	29 791	5,660 22		6,718 40	1,058 18	
BARRICK GOLD CORP CAD								
Symbol ABX Exchange NYSE								
EAI \$130 Current yield 0.94%								
	Sep 16, 08	24 000	27 680	664 35	51 010	1,224 24	559 89	LT
	Feb 27, 09	15 000	30 109	451 64	51 010	765 15	313 51	LT
	Feb 27, 09	11 000	30 850	339 35	51 010	561 11	221 76	LT
	Apr 9, 09	51 000	28 816	1,469 62	51 010	2,601 51	1,131 89	LT
	Aug 18, 09	77 000	33 483	2,578 20	51 010	3,927 77	1,349 57	LT
	Dec 17, 09	32 000	38 507	1,232 25	51 010	1,632 32	400 07	LT
	Jan 20, 11	60 000	46 928	2,815 70	51 010	3,060 60	244 90	ST
Security total		270 000	35 374	9 551 11		13,772 70	4,221 59	
CAMECO CORP CANADA CAD								
Symbol CCJ Exchange NYSE								
EAI \$144 Current yield 1.42%								
	Sep 16, 08	53 000	23 680	1,255 04	29 480	1,562 44	307 40	LT
	Mar 30, 09	8 000	16 799	134 40	29 480	235 84	101 44	LT
	Mar 3, 10	52 000	27 018	1 404 95	29 480	1,532 96	128 01	LT
	Apr 7, 10	83 000	26 917	2,234 14	29 480	2,446 84	212 70	LT
	May 25, 10	70 000	23 536	1,647 58	29 480	2,063 60	416 02	ST
	Mar 16, 11	79 000	32 511	2,568 44	29 480	2,328 92	-239 52	ST
Security total		345 000	26 796	9,244 55		10,170 50	926 05	

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Account name:
Account number

STANLEY AND CATHERINE MAAS
RF 63391 20

Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See important information about your statement at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Apr 1 (\$)	Closing balance on Apr 29 (\$)	Price per share on Apr 29 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	28 86	0 00				
RMA MONEY MKT PORTFOLIO	25,217 43	26,847 57	1 00	0 01%	Mar 25 to Apr 24	31
Total	\$25,246.29	\$26,847.57				

Stop liquidated transactions
Eliminate unrealized transactions
26,351.48

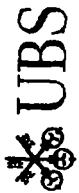
Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
ALLIANZ SE ADR								
Symbol AZSEY Exchange OTC								
EAI \$156 Current yield 2.90%	May 21, 10	341 000	10 158	3,464 01	15 750	5,370 75	1,906 74	ST
ALUMINA LTD SPON ADR								
Symbol AWC Exchange NYSE								
EAI \$97 Current yield 2.39%								
	Oct 21, 05	10 000	17 130	171 341	10 080	100 80	-70 54	LT
	Oct 28, 05	5 000	17 390	86 951	10 080	50 40	-36 55	LT
	Nov 19, 07	85 000	24 440	2,077 421	10 080	856 80	-1,220 62	LT
	Mar 30, 09	303 000	3 320	1,006 02	10 080	3,054 24	2,048 22	LT
Security total		403 000	8 292	3,341 73		4,062 24	720 51	
ANGLOGOLD ASHANTI LTD NEW SPON ADR								
Symbol AU Exchange NYSE								
EAI \$47 Current yield 0.40%								
	Mar 18, 08	62 000	34 000	2,108 001	50 980	3,160 76	1,052 76	LT
	Jul 25, 08	112 000	24 620	2,757 441	50 980	5,709 76	2,952 32	LT
	Jan 20, 10	22 000	38 804	853 71	50 980	1,121 56	267 85	LT
	Feb 8, 11	37 000	45 613	1,687 71	50 980	1,886 26	198 55	ST

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Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63393 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
Total					\$437,207.75	\$197,492.16	

Total estimated annual income: \$4,529

¹ Indicates cost basis information provided by you or another third party. UBS FS has not verified this information and does not guarantee its accuracy.

Your total assets

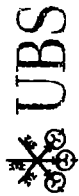
	Value on Apr 29 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	16,784.71	3.70%	16,784.71		
Equities	437,207.75	96.30%	239,715.59	4,529.00	197,492.16
Total	\$453,992.46	100.00%	\$256,500.30	\$4,529.00	\$197,492.16

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Mar 31		Cash and money balance					\$23,737.25
Apr 1	Dividend	EASTMAN CHEMICAL CO PAID ON	99			46.53	
Apr 1	Dividend	GOODRICH CORP PAID ON	94			27.26	23,811.04
Apr 7	Bought	D R HORTON INC		352.000	11.533400	-4,059.76	
		Average Priced Trade					
Apr 7	Bought	PULTE GROUP INC		928.000	7.362100	-6,832.03	12,919.25
		Average Priced Trade					
Apr 8	Dividend	INTL GAME TECHNOLOGY PAID ON	267			16.02	12,935.27
Apr 15	Dividend	CHESAPEAKE ENERGY CORP OKLA PAID ON				14.33	
		191					
Apr 15	Dividend	REPUBLIC SERVICES INC PAID ON	383			76.60	
Apr 15	Dividend	VALSPAR CORP PAID ON	127			22.86	13,049.06
Apr 18	Dividend	RAYMOND JAMES FINANCIAL CORP PAID ON				26.65	13,075.71
		205					
Apr 21	Fee Charged	QUARTERLY FEE				-1,650.11	11,425.60

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April 2011

Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63392 20

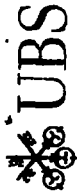
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Feb 16, 10	2 000	42 047	84 09	61 770	123 54	39 45	LT
TIX COS INC NEW								
Symbol TIX Exchange NYSE								
EAI \$152 Current yield 1 42%	Feb 18, 09	113 000	21 569	2,437 38	53 620	6,059 06	3,621 68	LT
	Mar 30, 09	81 000	25 649	2,077 61	53 620	4,343 22	2,265 61	LT
	Jan 11, 10	6 000	38 450	230 70	53 620	321 72	91 02	LT
Security total		200 000	23 728	4,745 69		10,724 00	5,978 31	
URS CORP NEW								
Symbol URS Exchange NYSE	May 12, 10	148 000	48 197	7,133 27	44 750	6,623 00	-510 27	ST
VALSPAR CORP								
Symbol VAL Exchange NYSE								
EAI \$91 Current yield 1 82%	Oct 22, 07	32 000	25 250	808 00 ¹	39 310	1,257 92	449 92	LT
	Oct 23, 07	10 000	25 090	250 90 ¹	39 310	393 10	142 20	LT
	Jan 15, 08	25 000	19 340	483 50 ¹	39 310	982 75	499 25	LT
	Apr 28, 09	30 000	23 559	706 78	39 310	1,179 30	472 52	LT
	Aug 10, 09	30 000	26 465	793 96	39 310	1,179 30	385 34	LT
Security total		127 000	23 962	3,043 14		4,992 37	1,949 23	
XLINX INC								
Symbol XLINX Exchange OTC								
EAI \$210 Current yield 2 18%	Feb 18, 10	192 000	25 559	4,907 44	34 860	6,693 12	1,785 68	LT
	Oct 28, 10	2 000	26 200	52 40	34 860	69 72	17 32	ST
	Jan 5, 11	82 000	29 462	2,415 93	34 860	2,858 52	442 59	ST
Security total		276 000	26 724	7,375 77		9,621 36	2,245 59	
YUM! BRANDS INC								
Symbol YUM! Exchange NYSE								
EAI \$209 Current yield 1 86%	Feb 18, 09	114 000	29 000	3,306 00	53 640	6,114 96	2,808 96	LT
	Mar 3, 09	5 000	24 710	123 55	53 640	268 20	144 65	LT
	Mar 30, 09	84 000	27 960	2,348 64	53 640	4,505 76	2,157 12	LT
	Feb 16, 10	6 000	33 489	200 94	53 640	321 84	120 90	LT
Security total		209 000	28 608	5,979 13		11,210 76	5,231 63	

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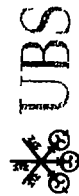


Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63392 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (S)	Value on Apr 29 (S)	Unrealized gain or loss (\$)	Holding period
REPUBLIC SERVICES INC								
Symbol RSG Exchange NYSE								
EAI \$306 Current yield 2.53%								
	Jul 26, 05	37 000	17 480	646 761	31 620	1,169 94	523 18	LT
	Oct 21, 05	20 000	18 020	360 461	31 620	632 40	271 94	LT
	Jan 20, 06	2 000	22 280	44 561	31 620	63 24	18 68	LT
	May 2, 06	24 000	32 580	781 921	31 620	758 88	-23 04	LT
	May 2, 06	2 000	35 540	71 081	31 620	63 24	-7 84	LT
	Jun 13, 06	27 000	23 780	642 061	31 620	853 74	211 68	LT
	Jul 6, 06	6 000	27 200	163 201	31 620	189 72	26 52	LT
	Jul 13, 06	20 000	22 700	454 051	31 620	632 40	178 35	LT
	Jan 15, 08	7 000	14 030	98 211	31 620	221 34	123 13	LT
	Jan 15, 08	4 000	12 280	49 121	31 620	126 48	77 36	LT
	Feb 18, 09	115 000	23 860	2,743 90	31 620	3,636 30	892 40	LT
	Mar 3, 09	25 000	19 180	479 50	31 620	790 50	311 00	LT
	Mar 30, 09	90 000	17 290	1,556 10	31 620	2,845 80	1,289 70	LT
	Jan 11, 10	4 000	28 827	115 31	31 620	126 48	11 17	LT
Security total		383 000	21 426	8,206 23		12,110 46	3,904 23	
SCOTT'S MIRACLE-GRO CO CL A								
Symbol SMG Exchange NYSE								
EAI \$118 Current yield 1.77%								
	Jul 26, 05	31 000	37 140	1,151 431	56 470	1,750 57	599 14	LT
	Oct 19, 06	10 000	46 140	461 421	56 470	564 70	103 28	LT
	Mar 27, 07	15 000	44 050	660 751	56 470	847 05	186 30	LT
	Jan 15, 08	60 000	34 050	2,045 401	56 470	3,388 20	1,342 80	LT
	Jan 11, 10	2 000	40 000	80 00	56 470	112 94	32 94	LT
Security total		118 000	37 280	4,399 00		6,663 46	2,264 46	
SNAP ON INC								
Symbol SNA Exchange NYSE								
EAI \$114 Current yield 2.07%								
	Oct 21, 05	7 000	37 230	260 611	61 770	432 39	171 78	LT
	Mar 7, 06	5 000	38 070	190 351	61 770	308 85	118 50	LT
	Jul 6, 06	10 000	39 830	398 301	61 770	617 70	219 40	LT
	Jul 13, 06	5 000	38 900	194 501	61 770	308 85	114 35	LT
	Apr 29, 09	40 000	33 384	1,335 39	61 770	2,470 80	1,135 41	LT
	Aug 13, 09	20 000	37 732	754 66	61 770	1,235 40	480 74	LT

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Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63392 20

Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Feb 16, 10	10,000	20,709	207,09	30,850	308,50	101,41	LT
		189,000	28,599	5,405,14		5,850,65	425,51	
PROGRESSIVE CORP OHIO								
Symbol PGR Exchange NYSE								
EAL \$137 Current yield 1.82%								
PULTE GROUP INC								
Symbol PHM Exchange NYSE								
	May 17, 10	344,000	20,400	7,017,60	21,940	7,547,36	529,76	ST
	Feb 18, 09	131,000	9,719	1,273,22	8,130	1,065,03	-208,19	LT
	Mar 30, 09	89,000	10,879	968,31	8,130	723,57	-244,74	LT
	Aug 6, 09	54,000	12,041	650,26	8,130	439,02	-211,24	LT
	Jan 11, 10	2,000	10,980	21,96	8,130	16,26	-5,70	LT
	Feb 16, 10	6,000	11,509	69,06	8,130	48,78	-20,28	LT
	Apr 4, 11	928,000	7,362	6,832,03	8,130	7,544,64	712,61	ST
Security total		1,210,000	8,111	9,813,84		9,837,30	22,46	

RAYMOND JAMES FINANCIAL CORP

Symbol RJF Exchange NYSE

EAL \$107 Current yield 1.39%

	Jul 26, 05	64,000	20,070	1,284,48 ¹	37,500	2,400,00	1,115,52	LT
	Oct 21, 05	34,000	20,600	700,40 ¹	37,500	1,275,00	574,60	LT
	Mar 7, 06	15,000	28,310	424,65 ¹	37,500	562,50	137,85	LT
	May 2, 06	20,000	29,600	592,00 ¹	37,500	750,00	158,00	LT
	Jul 13, 06	10,000	28,090	280,90 ¹	37,500	375,00	94,10	LT
	Mar 27, 07	25,000	30,360	759,00 ¹	37,500	937,50	178,50	LT
	Jan 15, 08	25,000	28,420	710,55 ¹	37,500	937,50	226,95	LT
	Mar 3, 09	10,000	13,309	133,10	37,500	375,00	241,90	LT
	Feb 16, 10	2,000	26,210	52,42	37,500	75,00	22,58	LT
Security total		205,000	24,085	4,937,50		7,687,50	2,750,00	

REINSURANCE GROUP AMER INC NEW

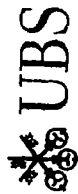
Symbol RGA Exchange NYSE

EAL \$64 Current yield 0.75%

	Dec 10, 09	70,000	46,345	3,244,18	63,300	4,431,00	1,186,82	LT
	Dec 11, 09	64,000	46,829	2,997,10	63,300	4,051,20	1,054,10	LT
Security total		134,000	46,577	6,241,28		8,482,20	2,240,92	

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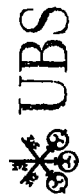


Account name: STANLEY AND CATHERINE MAAS
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
JEFFRIES GROUP INC NEW								
Symbol JEF Exchange NYSE								
EAI \$66 Current yield 1 24%	Jul 26, 05	55 000	20 910	1,150 05	24 170	1,329 35	179 30	LT
	Oct 21, 05	50 000	20 630	1,031 50	24 170	1,208 50	177 00	LT
	Mar 7, 06	10 000	27 900	279 00	24 170	241 70	-37 30	LT
	Jan 15, 08	85 000	17 470	1,484 95	24 170	2,054 45	569 50	LT
	Mar 3, 09	20 000	8 979	179 59	24 170	483 40	303 81	LT
Security total		220 000	18 750	4,125 09		5,317 40	1,192 31	
JOY GLOBAL INC								
Symbol JOYG Exchange OTC								
EAI \$130 Current yield 0 69%	Feb 18, 09	42 000	19 830	832 86	100 950	4,239 90	3,407 04	LT
	Mar 25, 09	65 000	22 295	1,449 19	100 950	6,561 75	5,112 56	LT
	Mar 30, 09	75 000	20 870	1,565 25	100 950	7,571 25	6,006 00	LT
	Feb 16, 10	4 000	48 669	194 68	100 950	403 80	209 12	LT
Security total		186 000	21 731	4,041 98		18,776 70	14,734 72	
NEWFIELD EXPLORATION COS								
Symbol NFX Exchange NYSE								
	Feb 18, 09	108 000	20 335	2,196 18	70 800	7,646 40	5,450 22	LT
	Mar 30, 09	89 000	22 698	2,020 17	70 800	6,301 20	4,281 03	LT
	Jan 11, 10	6 000	49 310	295 86	70 800	424 80	128 94	LT
	Oct 28, 10	2 000	58 986	117 97	70 800	141 60	23 63	ST
Security total		205 000	22 586	4,630 18		14,514 00	9,883 82	
ONEOK INC NEW								
Symbol OKE Exchange NYSE								
EAI \$229 Current yield 2 98%	Dec 10, 09	108 000	41 343	4,465 08	69 940	7,553 52	3,088 44	LT
	Feb 16, 10	2 000	42 320	84 64	69 940	139 88	55 24	LT
Security total		110 000	41 361	4,549 72		7,693 40	3,143 68	
PHARMACEUTICAL PROD								
Symbol PPD Exchange OTC								
EAI \$113 Current yield 1 94%	Jul 26, 05	104 000	27 598	2,870 27	30 850	3,208 40	338 13	LT
	Aug 9, 05	10 000	26 914	269 14	30 850	308 50	39 36	LT
	Oct 21, 05	50 000	30 599	1,530 02	30 850	1,542 50	12 48	LT
	Jul 13, 06	15 000	35 241	528 62	30 850	462 75	-65 87	LT

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Account name: STANLEY AND CATHERINE MAAS
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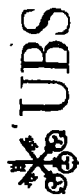
Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
INTEGRYS ENERGY GROUP INC								
Symbol IEG Exchange NYSE								
EAI \$294 Current yield 5.20%	Feb 18, 09	38 000	39 753	1,510 64	52 360	1,989 68	479 04	LT
	Mar 3, 09	25 000	22 197	554 93	52 360	1,309 00	754 07	LT
	Mar 30, 09	45 000	25 898	1,165 41	52 360	2,356 20	1,190 79	LT
Security total		108 000	29 916	3,230 98		5,654 88	2,423 90	
INTERCONTINENTAL EXCHANGE INC								
Symbol ICE Exchange NYSE								
	Feb 18, 09	43 000	58 690	2,523 67	120 350	5,175 05	2,651 38	LT
	Mar 30, 09	31 000	75 425	2,338 18	120 350	3,730 85	1,392 67	LT
	Jan 11, 10	2 000	108 090	216 18	120 350	240 70	24 52	LT
	Oct 28, 10	2 000	114 674	229 35	120 350	240 70	11 35	ST
Security total		78 000	68 043	5,307 38		9,387 30	4,079 92	
INTL GAME TECHNOLOGY								
Symbol IGT Exchange NYSE								
EAI \$64 Current yield 1.36%	Feb 18, 09	145 000	9 479	1,374 46	17 690	2,565 05	1,190 59	LT
	Mar 30, 09	120 000	9 087	1,090 44	17 690	2,122 80	1,032 36	LT
	Jan 11, 10	2 000	19 760	39 52	17 690	35 38	-4 14	LT
Security total		267 000	9 380	2,504 42		4,723 23	2,218 81	
INTL RECTIFIER CORP								
Symbol IRF Exchange NYSE								
	Feb 18, 09	143 000	13 280	1,899 04	34 560	4,942 08	3,043 04	LT
	Mar 30, 09	115 000	13 490	1,551 35	34 560	3,974 40	2,423 05	LT
	Sep 18, 09	2 000	19 750	39 50	34 560	69 12	29 62	LT
	Jan 11, 10	12 000	21 577	258 92	34 560	414 72	155 80	LT
	Oct 28, 10	6 000	22 946	137 68	34 560	207 36	69 68	ST
Security total		278 000	13 980	3,886 49		9,607 68	5,721 19	
INTUIT								
Symbol INTU Exchange OTC								
	Feb 18, 09	144 000	22 250	3,204 00	55 560	8,000 64	4,796 64	LT
	Mar 30, 09	111 000	26 390	2,929 29	55 560	6,167 16	3,237 87	LT
	Jan 11, 10	4 000	31 330	125 32	55 560	222 24	96 92	LT
	Feb 16, 10	8 000	29 707	237 66	55 560	444 48	206 82	LT
Security total		267 000	24 331	6,496 27		14,834 52	8,338 25	

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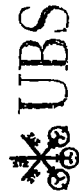


Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63392 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
	Jul 13, 06	13 000	40 890	531 571	53 240	692 12	160 55	LT
	Mar 27, 07	20 000	39 020	780 421	53 240	1,064 80	284 38	LT
	Jan 15, 08	35 000	37 250	1,303 751	53 240	1,863 40	559 55	LT
	Jan 11, 10	6 000	47 607	285 64	53 240	319 44	33 80	LT
Security total		91 000	40 312	3,668 43		4,844 84	1,176 41	
GOODRICH CORP								
Symbol GR Exchange NYSE								
EAI \$109 Current yield 1.31%	May 17, 10	94 000	73 848	6,941 77	88 370	8,306 78	1,365 01	ST
HARRIS CORP DELA								
Symbol HRS Exchange NYSE								
EAI \$155 Current yield 1.88%	Feb 18, 09	77 000	41 430	3,190 11	53 130	4,091 01	900 90	LT
	Mar 3, 09	10 000	32 786	327 87	53 130	531 30	203 43	LT
	Mar 30, 09	64 000	27 658	1,770 14	53 130	3,400 32	1,630 18	LT
	Feb 16, 10	4 000	45 600	182 40	53 130	212 52	30 12	LT
Security total		155 000	35 294	5,470 52		8,235 15	2,764 63	
HARSCO CORP								
Symbol HSC Exchange NYSE								
EAI \$121 Current yield 2.30%	Jul 26, 05	91 000	29 890	2,719 991	35 600	3,239 60	519 61	LT
	Oct 21, 05	20 000	30 660	613 201	35 600	712 00	98 80	LT
	Jul 13, 06	20 000	36 610	732 201	35 600	712 00	-20 20	LT
	Jan 15, 08	10 000	54 290	542 901	35 600	356 00	-186 90	LT
	Mar 3, 09	5 000	18 020	90 10	35 600	178 00	87 90	LT
	Feb 16, 10	2 000	29 711	59 42	35 600	71 20	11 78	LT
Security total		148 000	32 147	4,757 81		5,268 80	510 99	
HELIX ENERGY SOLUTIONS GROUP INC								
Symbol HLY Exchange NYSE								
	Feb 18, 09	93 000	4 099	381 29	18 930	1,760 49	1,379 20	LT
	Feb 25, 09	205 000	2 568	526 44	18 930	3,880 65	3,354 21	LT
	Mar 30, 09	212 000	5 219	1,106 53	18 930	4,013 16	2,906 63	LT
	Oct 28, 10	26 000	12 386	322 05	18 930	492 18	170 13	ST
Security total		536 000	4 359	2,336 31		10,146 48	7,810 17	

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Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63392 20

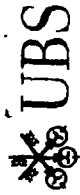
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		99 000	24 659	2,441 20		10,617 75	8 176 55	
EATON VANCE CORP NON VTG Symbol EV Exchange NYSE EAI \$144 Current yield 2.13%	Jul 26, 05	108 000	24 500	2,646 00 ¹	33 770	3,647 16	1,001 16	LT
	Oct 21, 05	45 000	24 320	1,094 40 ¹	33 770	1,519 65	425 25	LT
	Mar 7, 06	15 000	26 910	403 65 ¹	33 770	506 55	102 90	LT
	Jul 6, 06	10 000	24 820	248 20 ¹	33 770	337 70	89 50	LT
	Jan 15, 08	20 000	37 410	748 20 ¹	33 770	675 40	-72 80	LT
	Oct 28, 10	2 000	28 976	57 95	33 770	67 54	9 59	ST
Security total		200 000	25 992	5,198 40		6,754 00	1,555 60	
EXPRESS SCRIPTS INC Symbol ESRX Exchange OTC	Feb 18, 09	94 000	28 278	2,658 17	56 740	5,333 56	2,675 39	LT
	Mar 3, 09	10 000	23 108	231 09	56 740	567 40	336 32	LT
	Mar 30, 09	76 000	21 878	1,662 80	56 740	4,312 24	2,649 44	LT
	Feb 16, 10	4 000	43 375	173 50	56 740	226 96	53 46	LT
Security total		184 000	25 682	4,725 55		10,440 16	5,714 61	
GATX CORP Symbol GMT Exchange NYSE EAI \$180 Current yield 2.75%	Feb 18, 09	86 000	21 845	1,878 67	42 270	3,635 22	1,756 55	LT
	Mar 30, 09	65 000	20 029	1 301 89	42 270	2,747 55	1,445 66	LT
	Feb 16, 10	4 000	26 600	106 40	42 270	169 08	62 68	LT
Security total		155 000	21 206	3,286 96		6,551 85	3,264 89	
GENL DYNAMICS CORP Symbol GD Exchange NYSE EAI \$171 Current yield 2.58%	Feb 18, 09	53 000	52 970	2,807 43	72 820	3,859 46	1,052 03	LT
	Mar 30, 09	38 000	41 299	1,569 36	72 820	2,767 16	1,197 80	LT
Security total		91 000	48 097	4,376 79		6,626 62	2,249 83	
GLOBAL PAYMENTS INC Symbol GPN Exchange NYSE EAI \$7 Current yield 0.14%	Nov 8, 05	2 000	43 900	87 80 ¹	53 240	106 48	18 68	LT
	Nov 23, 05	5 000	42 650	213 25 ¹	53 240	266 20	52 95	LT
	Jul 6, 06	10 000	46 600	466 00 ¹	53 240	532 40	66 40	LT

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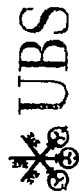


Account name:
STANLEY AND CATHERINE MAAS
Account number:
RF 63392 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
CSX CORPORATION								
Symbol: CSX Exchange NYSE								
EAI \$166 Current yield 1.32%	Feb 18, 09	93,000	28.220	2,624.46	78.690	7,318.17	4,693.71	LT
	Mar 30, 09	65,000	25.978	1,688.57	78.690	5,114.85	3,426.28	LT
	Sep 18, 09	2,000	45.540	91.08	78.690	157.38	66.30	LT
Security total		160,000	27.526	4,404.11		12,590.40	8,186.29	
CUMMINS INC								
Symbol: CMI Exchange NYSE								
EAI \$184 Current yield 0.87%	Feb 18, 09	80,000	22.803	1,824.29	120.180	9,614.40	7,790.11	LT
	Mar 30, 09	89,000	24.559	2,185.80	120.180	10,696.02	8,510.22	LT
	Feb 16, 10	4,000	56.760	227.04	120.180	480.72	253.68	LT
	Oct 28, 10	2,000	89.090	178.18	120.180	240.36	62.18	ST
Security total		175,000	25.230	4,415.31		21,031.50	16,616.19	
D R HORTON INC								
Symbol: DHI Exchange NYSE								
EAI \$116 Current yield 1.21%	Feb 18, 09	218,000	8.929	1,946.72	12.440	2,711.92	765.20	LT
	Mar 3, 09	30,000	7.518	225.55	12.440	373.20	147.65	LT
	Mar 30, 09	173,000	10.029	1,735.12	12.440	2,152.12	417.00	LT
	Apr 4, 11	352,000	11.533	4,059.76	12.440	4,378.88	319.12	ST
Security total		773,000	10.307	7,967.15		9,616.12	1,648.97	
DARDEN RESTAURANTS INC								
Symbol: DRI Exchange NYSE								
EAI \$204 Current yield 2.73%	Feb 18, 09	82,000	27.649	2,267.22	46.970	3,851.54	1,584.32	LT
	Mar 3, 09	5,000	25.560	127.80	46.970	234.85	107.05	LT
	Mar 30, 09	64,000	34.710	2,221.44	46.970	3,006.08	784.64	LT
	Jan 11, 10	6,000	33.929	203.58	46.970	281.82	78.24	LT
	Oct 28, 10	2,000	45.266	90.53	46.970	93.94	3.41	ST
Security total		159,000	30.884	4,910.57		7,468.23	2,557.66	
EASTMAN CHEMICAL CO								
Symbol: EMN Exchange NYSE								
EAI \$186 Current yield 1.75%	Feb 18, 09	54,000	24.040	1,298.16	107.250	5,791.50	4,493.34	LT
	Mar 3, 09	5,000	19.408	97.04	107.250	536.25	439.21	LT
	Mar 30, 09	40,000	26.150	1,046.00	107.250	4,290.00	3,244.00	LT

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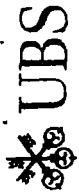
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		133 000	20 228	2,690 26		10,272 92	7,582 66	
BOSTON PROPERTIES INC								
Symbol BXP Exchange NYSE								
EAI \$156 Current yield 1 91%	Feb 18, 09	44 000	38 500	1,694 00	104 530	4,599 32	2,905 32	LT
	Mar 30, 09	32 000	32 987	1,055 58	104 530	3,344 96	2,289 38	LT
	Oct 28, 10	2 000	86 572	173 14	104 530	209 06	35 92	ST
Security total		78 000	37 471	2,922 72		8,153 34	5,230 62	
CABOT OIL & GAS CORP								
Symbol COG Exchange NYSE								
EAI \$26 Current yield 0 21%	May 17, 10	216 000	32 617	7,045 32	56 280	12,156 48	5,111 16	ST
	Oct 28, 10	2 000	28 196	56 39	56 280	112 56	56 17	ST
Security total		218 000	32 577	7,101 71		12,269 04	5,167 33	
CHESAPEAKE ENERGY CORP OKLA								
Symbol CHK Exchange NYSE								
EAI \$57 Current yield 0 89%	Feb 18, 09	106 000	16 468	1,745 61	33 670	3,569 02	1,823 41	LT
	Mar 3, 09	5 000	13 577	67 89	33 670	168 35	100 46	LT
	Mar 30, 09	80 000	17 368	1,389 44	33 670	2,693 60	1,304 16	LT
Security total		191 000	16 769	3,202 94		6,430 97	3,228 03	
COVANCE INC								
Symbol CVD Exchange NYSE								
	Jul 26, 05	42 000	48 360	2,031 12 ¹	62 600	2,629 20	598 08	LT
	Oct 21, 05	30 000	49 570	1,487 10 ¹	62 600	1,878 00	390 90	LT
	Nov 8, 05	15 000	47 170	707 61 ¹	62 600	939 00	231 39	LT
	Mar 7, 06	5 000	56 480	282 40 ¹	62 600	313 00	30 60	LT
	Jul 6, 06	5 000	61 280	306 40 ¹	62 600	313 00	6 60	LT
	Jul 13, 06	5 000	59 960	299 80 ¹	62 600	313 00	13 20	LT
	Feb 18, 09	10 000	40 260	402 60	62 600	626 00	223 40	LT
	Mar 30, 09	10 000	35 770	357 70	62 600	626 00	268 30	LT
	Jan 11, 10	2 000	55 644	111 29	62 600	125 20	13 91	LT
	Feb 16, 10	2 000	54 997	109 99	62 600	125 20	15 21	LT
Security total		126 000	48 381	5,096 01		7,887 60	1,791 59	

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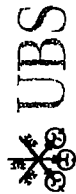


Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63392 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Feb 16, 10	2 000	41 910	83 82	55 290	110 58	26 76	LT
Security total		124 000	24 581	3 048 00		6,855 96	3,807 96	
AUTODESK INC								
Symbol ADSK Exchange OTC	Feb 18, 09	55 000	16 627	914 53	44 980	2,473 90	1,559 37	LT
	Mar 3, 09	5 000	12 067	60 34	44 980	224 90	164 56	LT
	Mar 18, 09	50 000	13 396	669 83	44 980	2,249 00	1,579 17	LT
	Mar 30, 09	81 000	15 417	1,248 84	44 980	3,643 38	2,394 54	LT
	Sep 18, 09	2 000	23 540	47 08	44 980	89 96	42 88	LT
	Oct 28, 10	8 000	35 480	283 84	44 980	359 84	76 00	ST
Security total		201 000	16 042	3,224 46		9,040 98	5,816 52	
BARD C R INC								
Symbol BCR Exchange NYSE	Feb 18, 09	35 000	86 990	3,044 65	106 750	3,736 25	691 60	LT
EAI \$45 Current yield 0 68%	Mar 30, 09	25 000	79 649	1,991 24	106 750	2,668 75	677 51	LT
	Jan 11, 10	2 000	81 820	163 64	106 750	213 50	49 86	LT
Security total		62 000	83 863	5,199 53		6,618 50	1,418 97	
BECKMAN COULTER INC								
Symbol BEC Exchange NYSE	Feb 18, 09	66 000	50 160	3,310 56	82 850	5,468 10	2,157 54	LT
EAI \$98 Current yield 0 92%	Mar 3, 09	5 000	40 980	204 90	82 850	414 25	209 35	LT
	Mar 30, 09	58 000	49 530	2,872 74	82 850	4,805 30	1,932 56	LT
Security total		129 000	49 521	6,388 20		10,687 65	4,299 45	
BIO RAD LABORATORIES INC CL A								
Symbol BIO Exchange NYSE	Feb 18, 09	29 000	63 000	1,827 00	125 120	3,628 48	1,801 48	LT
	Mar 30, 09	19 000	65 080	1,236 52	125 120	2,377 28	1,140 76	LT
	Sep 18, 09	2 000	93 970	187 94	125 120	250 24	62 30	LT
	Feb 16, 10	2 000	94 350	188 70	125 120	250 24	61 54	LT
Security total		52 000	56 157	3,440 16		6,506 24	3,066 08	
BORG WARNER INC								
Symbol BWA Exchange NYSE	Feb 18, 09	69 000	20 000	1,380 00	77 240	5,329 56	3,949 56	LT
	Mar 3, 09	5 000	16 160	80 80	77 240	386 20	305 40	LT
	Mar 30, 09	55 000	20 098	1,105 42	77 240	4,248 20	3,142 78	LT
	Sep 18, 09	4 000	31 010	124 04	77 240	308 96	184 92	LT

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ACCESS
April 2011

Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63392 20

Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See Important information about your statement at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Apr 1 (\$)	Closing balance on Apr 29 (\$)	Price per share on Apr 29 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	0.00	5,319.97				
RMA MONEY MKT PORTFOLIO	23,737.25	11,464.74	1.00	0.01%	Mar 25 to Apr 24	31
Total	\$23,737.25	\$16,784.71				

Print Your Harris Strategy adj.
- 2.09
167182.62

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Apr 29 (\$)	Value on Apr 29 (\$)	Unrealized gain or loss (\$)	Holding period
AGILENT TECHNOLOGIES INC								
Symbol A Exchange NYSE	Feb 23, 10	158 000	30.749	4,858.45	49.910	7,885.78	3,027.33	LT
AKAMAI TECHNOLOGIES INC								
Symbol AKAM Exchange OTC	Feb 18, 09	107 000	17.675	1,891.29	34.430	3,684.01	1,792.72	LT
	Mar 30, 09	81 000	19.123	1,549.00	34.430	2,788.83	1,239.83	LT
	Jan 11, 10	4 000	26.080	104.32	34.430	137.72	33.40	LT
	Feb 16, 10	2 000	25.620	51.24	34.430	68.86	17.62	LT
Security total		194 000	18.535	3,595.85		6,679.42	3,083.57	
AMER TOWER CORP CL A								
Symbol AMT Exchange NYSE	Feb 18, 09	128 000	27.418	3,509.50	52.310	6,695.68	3,186.18	LT
	Mar 30, 09	99 000	31.038	3,072.76	52.310	5,178.69	2,105.93	LT
	Jan 11, 10	4 000	43.790	175.16	52.310	209.24	34.08	LT
Security total		231 000	29.253	6,757.42		12,083.61	5,326.19	
ANSYS INC								
Symbol ANSS Exchange OTC	Feb 18, 09	67 000	24.593	1,647.76	55.290	3,704.43	2,056.67	LT
	Mar 3, 09	5 000	18.971	94.86	55.290	276.45	181.59	LT
	Mar 30, 09	50 000	24.431	1,221.56	55.290	2,764.50	1,542.94	LT

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