



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2010

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning MAY 1, 2010, and ending APR 30, 2011

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation ERNEST AND JOAN TREFZ FOUNDATION		A Employer identification number 06-1485833
Number and street (or P.O. box number if mail is not delivered to street address) 10 MIDDLE STREET	Room/suite	B Telephone number (203) 367-3621
City or town, state, and ZIP code BRIDGEPORT, CT 06604		C If exemption application is pending, check here <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 10,010,260. (Part I, column (d) must be on cash basis)	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		286,615.	286,615.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10					
b Gross sales price for all assets on line 6a					
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		286,615.	286,615.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 2		1,450.	0.		0.
c Other professional fees					
17 Interest					
18 Taxes					
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses					
24 Total operating and administrative expenses. Add lines 13 through 23		1,450.	0.		0.
25 Contributions, gifts, grants paid		385,050.			385,050.
26 Total expenses and disbursements. Add lines 24 and 25		386,500.	0.		385,050.
27 Subtract line 26 from line 12.					
a Excess of revenue over expenses and disbursements		<99,885.>			
b Net investment income (if negative, enter -0-)			286,615.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of year amounts only		
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value	
Assets	1 Cash - non-interest-bearing	<1.>		
	2 Savings and temporary cash investments	18,688.	120,249.	120,249.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 3	3,901,220.	3,912,473.	9,890,011.
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers)	3,919,907.	4,032,722.	10,010,260.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons	213,000.	425,700.	
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	213,000.	425,700.	
Foundations that follow SFAS 117, check here <input type="checkbox"/>	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	3,706,907.	3,607,022.	
	30 Total net assets or fund balances	3,706,907.	3,607,022.	
31 Total liabilities and net assets/fund balances	3,919,907.	4,032,722.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,706,907.
2 Enter amount from Part I, line 27a	2	<99,885.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	3,607,022.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,607,022.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{		3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	366,391.	7,747,764.	.047290
2008	371,770.	7,339,750.	.050652
2007	177,600.	6,997,224.	.025381
2006	146,600.	4,302,521.	.034073
2005	150,281.	3,362,169.	.044698

2 Total of line 1, column (d)	2	.202094
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.040419
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	9,296,157.
5 Multiply line 4 by line 3	5	375,741.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,866.
7 Add lines 5 and 6	7	378,607.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	385,050.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	2,866.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,866.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	2,866.
6 Credits/Payments:			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	235.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	235.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	2,631.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2011 estimated tax <input type="checkbox"/> Refunded <input checked="" type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2010)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address **► N/A**

14 The books are in care of **► ERNEST TREFZ, TRUSTEE** Telephone no **► 203-367-3621**
 Located at **► 10 MIDDLE STREET, BRIDGEPORT, CT** ZIP+4 **► 06604**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here **►**
 and enter the amount of tax-exempt interest received or accrued during the year **► 15** **N/A**

16 At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? **Yes** **No**
 See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country **16** **X**

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? Yes No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes No		
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

Form 990-PF (2010)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 4		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2010)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	9,276,459.
b	Average of monthly cash balances	1b	161,264.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	9,437,723.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	9,437,723.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	141,566.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	9,296,157.
6	Minimum investment return Enter 5% of line 5	6	464,808.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	464,808.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	2,866.
b	Income tax for 2010. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,866.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	461,942.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	461,942.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	461,942.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	385,050.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	385,050.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	2,866.
6	Adjusted qualifying distributions Subtract line 5 from line 4	6	382,184.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2010)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				461,942.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			380,260.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005				
b From 2006				
c From 2007				
d From 2008				
e From 2009				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2010 from Part XII, line 4: ▶ \$ 385,050.				
a Applied to 2009, but not more than line 2a			380,260.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				4,790.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus: Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				457,152.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008				
d Excess from 2009				
e Excess from 2010				

Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE STATEMENT 5				
Total			▶ 3a	385,050.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

MANAGING TRUSTEE

Title

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date _____

Check ☐ if
self-employed

PTIN

LEWIS COHEN, CPA

LEWIS COHEN, CPA

07/12/11

P-00045697

Firm's name ► CAPOSSELA, COHEN, LLC

Firm's EIN ▶

Firm's address ► 368 CENTER STREET
SOUTHPORT, CT 06890

Phone no. 203.254.7000

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
-------------	--	-----------	---

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
MAIRS & POWER GROWTH FUND	11,253.	0.	11,253.
PEOPLE'S SECURITIES INC - INTEREST	275,186.	0.	275,186.
PEOPLE'S SECURITIES INC. - DIVIDENDS	1.	0.	1.
PRIME VALUE OBLIGATIONS	175.	0.	175.
TOTAL TO FM 990-PF, PART I, LN 4	286,615.	0.	286,615.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	2
-------------	-----------------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	1,450.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	1,450.	0.		0.

FORM 990-PF	CORPORATE STOCK	STATEMENT	3
-------------	-----------------	-----------	---

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
PEOPLE'S SECURITIES INC. - SECURITIES HELD	3,489,178.	9,409,590.
MAIRS & POWER GROWTH FUND	423,295.	480,421.
TOTAL TO FORM 990-PF, PART II, LINE 10B	3,912,473.	9,890,011.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 4
TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
ERNEST C. TREFZ 10 MIDDLE STREET BRIDGEPORT, CT 06604	TRUSTEE 2.00	0.	0.	0.
JOAN M. TREFZ 10 MIDDLE STREET BRIDGEPORT, CT 06604	TRUSTEE 2.00	0.	0.	0.
CHRISTIAN C. TREFZ 10 MIDDLE STREET BRIDGEPORT, CT 06604	TRUSTEE 1.00	0.	0.	0.
PAUL D. TREFZ 10 MIDDLE STREET BRIDGEPORT, CT 06604	TRUSTEE 1.00	0.	0.	0.
LINDA M. TREFZ 10 MIDDLE STREET BRIDGEPORT, CT 06604	TRUSTEE 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF	GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR	STATEMENT	5
-------------	--	-----------	---

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
AHLBIN CENTER FOR REHABILITATION 226 MILL HILL AVENUE BRIDGEPORT, CT 06610	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO		3,000.
ALPHA COMMUNITY SERVICES 3387 CLINTON AVENUE BRIDGEPORT, CT 06605	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO		5,000.
AMERICAN CANCER SOCIETY 372 DANBURY ROAD #3 WILTON, CT 06897	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO		5,000.
AMERICAN RED CROSS 158 BROOKLAWN AVENUE BRIDGEPORT, CT 06604	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO		4,500.
BARNUM MUSEUM 820 MAIN STREET BRIDGEPORT, CT 06604	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO		2,500.
BOYS & GIRLS VILLAGE 528 WHEELERS FARMS ROAD MILFORD, CT 06460	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO		10,000.
BRIDGE ACADEMY 510 BARNUM AVE 3RD FLOOR BRIDGEPORT, CT 06608	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO		2,500.
BRIDGE HOUSE 880 FAIRFIELD AVENUE BRIDGEPORT, CT 06605	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO		2,000.

BRIDGEPORT HOSPITAL FOUNDATION 267 GRANT STREET BRIDGEPORT, CT 06610	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	20,000.
BRIDGEPORT HOSPITAL SCHOOL OF NURSING 200 MILL HILL AVENUE BRIDGEPORT, CT 06610	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	4,000.
BRIDGEPORT PUBLIC EDUCATION FUND 446 UNIVERSITY AVENUE BRIDGEPORT, CT 06604	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	5,000.
BRIDGEPORT YMCA 850 PARK AVENUE BRIDGEPORT, CT 06604	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	2,000.
BURROUGHS COMMUNITY CENTER 2470 FAIRFIELD AVENUE BRIDGEPORT, CT 06605	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	2,000.
CARDINAL SHEHAN CENTER 1494 MAIN STREET BRIDGEPORT, CT 06604	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	6,000.
CASINO NIGHT FOR KIDS WITH CANCER 32 BROOKSIDE PLACE NEW ROCHELLE, NY 10801	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	1,000.
CHILD GUIDANCE CENTER OF GREATER BRIDGEPORT 180 FAIRFIELD AVENUE BRIDGEPORT, CT 06604	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	2,500.
CHILDREN'S COMMUNITY SCHOOL 31 WOLCOTT ST WATERBURY, CT 06702	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	3,000.
CT ASSOC CHILDREN & ADULTS W/DISABILITES 25 VAN ZANT STREET EAST NORWALK, CT 06850	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	5,000.

ERNEST AND JOAN TREFZ FOUNDATION

06-1485833

CT BEARDSLEY PARK 1875 NOBILE AVE. BRIDGEPORT, CT 06610	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	5,000.
CT BURN CARE FOUNDATION 601 BOSTON POST ROAD MILFORD, CT 06460	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	5,000.
DANBURY HOSPITAL DEVELOPMENT FUND 24 HOSPITAL AVENUE DANBURY, CT 06810	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	5,000.
DISCOVERY MUSEUM 4450 PARK AVENUE BRIDGEPORT, CT 06604	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	2,500.
DOMUS FOUNDATION 417 SHIPPAN AVE STAMFORD, CT 06920	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	1,000.
FAIRFIELD COUNTY CHILDREN'S CHOIR P.O. BOX 110588 TRUMBULL, CT 06611	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	1,000.
FAIRFIELD COUNTY COMMUNITY FOUNDATION 383 MAIN STREET NORWALK, CT 06851	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	7,500.
FAIRFIELD THEATRE CO. 70 SANFORD ST FAIRFIELD, CT 06824	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	2,500.
FAIRFIELD UNIVERSITY NORTH BENSON ROAD FAIRFIELD, CT 06824	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	10,000.
FAMILY & CHILDREN'S AID 75 WEST STREET DANBURY, CT 06810	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	2,000.

FAMILY REENTRY 9 MOTT AVENUE NORWALK, CT 06850	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	1,250.
GOODWILL INDUSTRIES 165 OCEAN TERRACE BRIDGEPORT, CT 06605	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	2,500.
GREATER BRIDGEPORT SYMPHONY 446 UNIVERSITY AVENUE BRIDGEPORT, CT 06604	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	7,500.
GROUNDWORK BRIDGEPORT 510 BARNUM AVENUE, SUITE 304 BRIDGEPORT, CT 06608	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	1,000.
HABITAT FOR HUMANITY OF GREATER BRIDGPORT 1470 BARNUM AVENUE BRIDGEPORT, CT 06610	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	3,000.
HEIFER INTERNATIONAL 216 WACHUSETT STREET RUTLAND, MA 01543	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	1,500.
HOUSATONIC COMMUNITY COLLEGE FOUNDATION 900 LAFAYETTE BLVRD. BRIDGEPORT, CT 06604	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	5,000.
IDEA - INDIVIDUALS WITH DISABILITIES EDUCATION ACT 2212 SIXTH STREET BERKELEY, CA 94710	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	1,000.
INNER CITY FOUNDATION FOR CHARITY AND EDUCATION 238 JEWETT AVENUE BRIDGEPORT, CT 06606	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	4,700.
JUNIOR ACHIEVEMENT OF WESTERN CT 177 STATE STREET BRIDGEPORT, CT 06604	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	5,000.

MAKE A WISH FOUNDATION 126 MONROE TURNPIKE TRUMBULL, CT 06611	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	2,000.
MERCY LEARNING CENTER 637 PARK AVENUE BRIDGEPORT, CT 06604	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	5,000.
MOUNT CARMEL CHRISTIAN CHURCH 5100 RIDGE ROAD NORTH HAVEN, CT 06473	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	5,000.
MUSIC & ARTS CENTER FOR HUMANITY 510 BARNUM AVE 3RD FLOOR BRIDGEPORT, CT 06608	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	1,500.
READ TO GROW INC. 53 SCHOOL GROUND ROAD, UNIT 3 BRANFORD, CT 06405	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	1,000.
RYASAP 2470 FAIRFIELD AVENUE BRIDGEPORT, CT 06605	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	2,000.
SACRED HEART UNIVERSITY SCHOLARSHIP FUND 5151 PARK AVENUE FAIRFIELD, CT 06825	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	20,000.
SCHOOL VOLUNTEER ASSOCIATION OF BRIDGEPORT 280 TESINY AVE BRIDGEPORT, CT 06606	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	1,500.
SOUTHWEST CT EMS COUNCIL 611 OLD POST ROAD FAIRFIELD, CT 06824	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	1,500.
ST VINENTS SPECIAL NEEDS SERVICES 95 MERRITT BLVD TRUMBULL, CT 06611	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	500.

ST. LUKE'S LIFE WORKS 141 FRANKLIN STREET STAMFORD, CT 06901	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	1,000.
ST. VINCENT'S COLLEGE 2800 MAIN STREET BRIDGEPORT, CT 06606	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	5,000.
ST. VINCENT'S MEDICAL CENTER FOUNDATION 2800 MAIN STREET BRIDGEPORT, CT 06606	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	25,000.
THE DIAPER BANK 1440 WHALLEY AVE. #110 NEW HAVEN, CT 06515	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	1,000.
THE KENNEDY CENTER 2440 RESERVOIR AVENUE TRUMBULL, CT 06611	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	8,000.
THE RYAN MCELROY CHILDRENS CANCER CENTER 198 ROUTE 22 PAWLING, NY 12564	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	5,000.
THE WORKPLACE INC. 350 FAIRFIELD AVENUE BRIDGEPORT, CT 06604	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	3,000.
THOMAS MERTON CENTER 43 MADISON AVENUE BRIDGEPORT, CT 06604	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	5,000.
TOWN OF TRUMBULL BUSINESS EDUCATION INITIATIVE 5866 MAIN STREET TRUMBULL, CT 06611	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	1,000.
UNITED WAY CAMPAIGN OF COASTAL FAIRFIELD COUNTY 75 WASHINGTON AVE BRIDGEPORT, CT 06604	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	30,000.

ERNEST AND JOAN TREFZ FOUNDATION

06-1485833

UNIVERSITY OF BRIDGEPORT 271 PARK AVENUE BRIDGEPORT, CT 06601	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	35,000.
WORLD EMERGENCY RELIEF PO BOX 131570 CARLSBAD, CA 92013	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	1,500.
YMCA CAMP HI ROCK 162 EAST STREET MT WASHINGTON, MA 01258	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	39,000.
YMCA CAMP HI ROCK (PLANTAIN POND MORTGAGE CLUB) 162 EAST STREET MT WASHINGTON, MA 01258	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	600.
ABCD INC 1070 PARK AVENUE BRIDGEPORT, CT 06604	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	2,000.
CAROLINE HOUSE 574 STILLMAN STREET BRIDGEPORT, CT 06608	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	1,000.
CENTER FOR WOMEN & FAMILIES 733 FAIRFIELD AVENUE BRIDGEPORT, CT 06604	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	5,000.
ST JOSEPH HIGH SCHOOL 2320 HUNTINGTON TURNPIKE TRUMBULL, CT 06611	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	10,000.
BARNUM FESTIVAL 1070 MAIN STREET BRIDGEPORT, CT 06604	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	5,000.
BRIDGEPORT YOUTH MINISTRY 506 LOGAN STREET BRIDEGPORT, CT 06607	NONE IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATIO	3,000.
TOTAL TO FORM 990-PF, PART XV, LINE 3A		385,050.