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**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

2010

For calendar year 2010, or tax year beginning April 1, 2010, and ending March 31, 2011

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☒ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation The Adaptec Foundation		A Employer identification number 77-0425467
Number and street (or P.O. box number if mail is not delivered to street address) 691 South Milpitas Blvd,	Room/suite 208	B Telephone number (see page 10 of the instructions) 408-945-8600
City or town, state, and ZIP code Milpitas, CA 95035		C If exemption application is pending, check here <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 0	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
(Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	7,565			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	8	8		
	4 Dividends and interest from securities				
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	7,573	7,573			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23				
	25 Contributions, gifts, grants paid	183,826			183,826
26 Total expenses and disbursements. Add lines 24 and 25	183,826			183,826	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-176,253				
b Net investment income (if negative, enter -0-)		8			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			176,253	0	0
	2 Savings and temporary cash investments					
	3 Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)					
	7 Other notes and loans receivable (attach schedule) ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments—U.S. and state government obligations (attach schedule)					
	b Investments—corporate stock (attach schedule)					
	c Investments—corporate bonds (attach schedule)					
	11 Investments—land, buildings, and equipment: basis ▶					
Liabilities	Less: accumulated depreciation (attach schedule) ▶					
	12 Investments—mortgage loans					
	13 Investments—other (attach schedule)					
	14 Land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation (attach schedule) ▶					
	15 Other assets (describe ▶)					
	16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)			176,253	0	0
	17 Accounts payable and accrued expenses					
	18 Grants payable					
	19 Deferred revenue					
Net Assets or Fund Balances	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable (attach schedule)					
	22 Other liabilities (describe ▶)					
	23 Total liabilities (add lines 17 through 22)					
	Foundations that follow SFAS 117, check here <input type="checkbox"/>					
	and complete lines 24 through 26 and lines 30 and 31.					
	24 Unrestricted					
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/>					
	and complete lines 27 through 31.					
Net Assets or Fund Balances	27 Capital stock, trust principal, or current funds					
	28 Paid-in or capital surplus, or land, bldg., and equipment fund					
	29 Retained earnings, accumulated income, endowment, or other funds			176,253	0	
	30 Total net assets or fund balances (see page 17 of the instructions)			176,253	0	
	31 Total liabilities and net assets/fund balances (see page 17 of the instructions)			176,253	0	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	176,253
2 Enter amount from Part I, line 27a	2	-176,253
3 Other increases not included in line 2 (itemize) ▶	3	0
4 Add lines 1, 2, and 3	4	0
5 Decreases not included in line 2 (itemize) ▶	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	0

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	n/a			
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	31,392	200,229	0.1568
2008	27,350	207,218	0.1320
2007	41,884	237,373	0.1764
2006	109,823	243,109	0.4517
2005	193,998	355,709	0.5454
2	Total of line 1, column (d)		2 1.4623
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		3 0.2925
4	Enter the net value of noncharitable-use assets for 2010 from Part X, line 5		4
5	Multiply line 4 by line 3		5
6	Enter 1% of net investment income (1% of Part I, line 27b)		6
7	Add lines 5 and 6		7
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.		8

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter. (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1		0
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		0
3	Add lines 1 and 2	3		0
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5		0
6	Credits/Payments:			
a	2010 estimated tax payments and 2009 overpayment credited to 2010	6a		0
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		0
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7		0
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		0
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		0
11	Enter the amount of line 10 to be: Credited to 2011 estimated tax Refunded	11		0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		✓
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		✓
c Did the foundation file Form 1120-POL for this year?		✓
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ <u>None</u> (2) On foundation managers. ► \$ <u>None</u>		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ <u>None</u>		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		✓
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i>		✓
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		✓
b If "Yes," has it filed a tax return on Form 990-T for this year?		✓
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		✓
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	✓	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	✓	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ►		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	✓	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? <i>If "Yes," complete Part XIV</i>		✓
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		✓

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		✓
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► n/a	13	✓	
14	The books are in care of ► ADPT Corporation Telephone no. ► 408-945-8600 Located at ► 691 S. Milpitas Blvd, Suite 208, Milpitas CA ZIP+4 ► 95035			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here. ► <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No ✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? . . . Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	✓
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 22 of the instructions.)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to:
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐ **5b**
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No
If "Yes," attach the statement required by Regulations section 53.4945–5(d).
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No **6b**
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
If "Yes" to 6b, file Form 8870.
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No **7b**
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Mary Dotz 691 S. Milpitas Blvd, Suite 208, Milpitas CA	Director, 1/2 hr	0	0	0
John O'Hanlon 691 S. Milpitas Blvd, Suite 208, Milpitas CA	Director, 1/2 hr	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None - No Compensation				

Total number of other employees paid over \$50,000 ☐

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

Part IX-A Summary of Direct Charitable Activities

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)Form **990-PF** (2010)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	
b	Average of monthly cash balances	1b	31,445
c	Fair market value of all other assets (see page 25 of the instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	31,445
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	31,445
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	472
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	30,973
6	Minimum investment return. Enter 5% of line 5	6	1,549

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,549
2a	Tax on investment income for 2010 from Part VI, line 5	2a	0
b	Income tax for 2010. (This does not include the tax from Part VI.)	2b	0
c	Add lines 2a and 2b	2c	0
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,549
4	Recoveries of amounts treated as qualifying distributions	4	0
5	Add lines 3 and 4	5	1,549
6	Deduction from distributable amount (see page 25 of the instructions)	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,549

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	183,826
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	183,826
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	183,826

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				1,549
2 Undistributed income, if any, as of the end of 2010:				
a Enter amount for 2009 only			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2010:				
a From 2005	176,325			
b From 2006	97,874			
c From 2007	30,210			
d From 2008	16,557			
e From 2009	20,599			
f Total of lines 3a through e	341,565			
4 Qualifying distributions for 2010 from Part XII, line 4: ► \$ 183,826				
a Applied to 2009, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c Treated as distributions out of corpus (Election required—see page 26 of the instructions)	182,277			
d Applied to 2010 distributable amount				1,549
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	523,842			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount—see page 27 of the instructions		0		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount—see page 27 of the instructions			0	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)	0			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions)	176,325			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	347,517			
10 Analysis of line 9:				
a Excess from 2006	97,874			
b Excess from 2007	30,210			
c Excess from 2008	16,557			
d Excess from 2009	20,599			
e Excess from 2010	182,277			

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling **▶** _____

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
b 85% of line 2a					0
c Qualifying distributions from Part XII, line 4 for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					0
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter $\frac{2}{3}$ of minimum investment return shown in Part X, line 6 for each year listed					0
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

none

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

none

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See statement 1				\$183,826
Total			▶ 3a	183,826
b <i>Approved for future payment</i> None				
Total			▶ 3b	

Enter gross amounts unless otherwise indicated.

(See worksheet in line 13 instructions on page 29 to verify calculations.)

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 29 of the instructions.)
---------------	---

[illegible]

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	✓
	(2) Other assets	1a(2)	✓
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	✓
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	✓
	(3) Rental of facilities, equipment, or other assets	1b(3)	✓
	(4) Reimbursement arrangements	1b(4)	✓
	(5) Loans or loan guarantees	1b(5)	✓
	(6) Performance of services or membership or fundraising solicitations	1b(6)	✓
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	✓
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☐ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee: [Signature] Date: May 12, 11 Title: Director

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if self-employed

PTIN

Firm's name ▶

Firm's EIN ►

Firm's address ►

Phone no

From the Foundation Account

	<u>Recipient Organization</u>	<u>Address of Organization</u>	<u>City, State</u>	<u>Amount</u>	<u>Notes</u>
4186	Arts Council			2,500 00	
4187	Child Advocates			2,500 00	
4188	Community Health			2,500 00	
4189	Family Supportive housing			2,500 00	
4190	Foundation for the Control Disease			2,500 00	
4191	Friends for youth			2,500 00	
4192	Girl Scouts of Santa Clara			2,500 00	
4193	Hospice of the Valley			2,500 00	
4194	Junior Achievement			1,500 00	
4195	Leukemia			1,500 00	
4196	Loaves & Fishes			2,500 00	
4197	Lucille Packard			2,500 00	
4198	Milpitas High School			2,000 00	
4199	Rebuilding together			500 00	
4200	Ronald McDonald			2,500 00	
4201	Sacred Heart			2,500 00	
4202	San Jose Museum of Art			2,500 00	
4203	San Jose Rep			2,500 00	
4204	Stroke Awareness			2,500 00	
4205	Synopsys Technology Outreach			2,500 00	
4206	Tech Museum of Innovation			2,500 00	
4207	The Children's Health Council			2,500 00	
4208	Theatre Works			2,500 00	
4209	Via Services			2,500 00	
4210	YWCA			2,500 00	
4211	Stevens Creek Elementary	10300 Ainsworth Drive	Cupertino, CA 95014	150 00	
4212	Fresh Brain Inc	1744 E Doxey Street	Ogden, Utah 84403	750 00	
4213	The Leukemia and Lymphoma Society	675 N First Street suite 1100	San Jose, CA 95112	50 00	
4214	Fremont Education Foundation	4210 Technology Drive	Fremont, CA 94538	2,500 00	
4215	Newark Schools Foundation	5715 Musick Ave	Newark, CA 94560	2,500 00	
4216	Alum Rock Educational Foundation	2930 Gay Ave	San Jose, CA 95127	2,500 00	
4217	Berryessa Education Foundation	1376 Piedmont Rd	San Jose, CA 95132	2,500 00	
4218	Cambrian School District Educational Foundation	4115 Jacksol Drive	San Jose, CA 95124	2,500 00	
4219	Campbell Education Foundation	155 N Third Street	Campbell, CA 95008	2,500 00	
4220	Cupertino Educational Endowment Foundations	10301 Vista Drive	Cupertino, CA 94104	1,925 00	
4221	Evergreen Valley Educational Foundation	3188 Quimby Road	San Jose, CA 95148	2,500 00	
4222	Franklin-McKinley Education Foundation	645 Wool Creek Drive	San Jose, CA 95112	2,500 00	
4223	Fremont Union High School Foundation	589 W Fremont Ave	Sunnyvale, CA 94087	2,500 00	
4224	Lakeside School Community Foundation	19621 Black Road	Los Gatos, CA 95033	2,500 00	
4225	Loma Prieta Education Fund	23800 Summit Road	Los Gatos, CA 95033	2,500 00	
4226	Los Gatos Educational Foundation	17010 Roberts Road	Los Gatos, CA 95032	2,500 00	
4228	Metropolitan Education District Foundation	760 Hillsdale Ave	San Jose, CA 95136	2,500 00	
4229	Moreland Educational Foundation	4711 Campbell Ave	San Jose, CA 95130	2,500 00	
4230	Mountain View Education Foundation	750-A San Pierre Way	Mountain View, CA 94043	2,500 00	
4231	Mountain View-Los Altos High School Foundation	1299 Bryant Ave	Mountain View, CA 94040-4599	2,500 00	
4232	Oak Grove Computer Literacy Education Foundation	6578 Santa Theresa Blvd	San Jose, CA 95119	2,500 00	
4233	Orchard Educational Foundation	921 Fox Lane	San Jose, CA 95131-1602	2,500 00	
4234	Palo Alto Education Fund	25 Churchill Ave	Palo Alto, CA 94306	2,500 00	
4235	San Jose Education Foundation	855 Lenzen Ave	San Jose, CA 95126-2736	2,500 00	
4236	Santa Clara School Foundation	1889 Lawrence Road	Santa Clara, CA 95052	2,500 00	
4237	Saratoga Education Foundation	20460 Forrest Hills Drive	Saratoga, CA 95070	2,500 00	
4238	Sunnyvale School District Education Foundation	819 West Iowa Ave	Sunnyvale, CA 94086-5926	2,500 00	
4239	DVHS Grad Night	10550 Albion Rd	San Ramon, CA 94582	150 00	
4240	Second Harvest Food Bank	P O Box 90069	San Jose, CA 95109-3069	250 00	
4243	Los Altos Education Fund	201 Covington Road	Los Altos, CA 94024	2,500 00	
4250	Oak Grove School District	6578 Santa Theresa Blvd	San Jose, CA 95119	2,500 00	
4251	The Mahindra Foundation USA	488 Ellis Street	Mountain View, CA 94043	150 00	
4252	CRWGC	1100 Hasbrouck Way	Arroyo Grande, CA 93420	600 00	
4253	Palo Alto Center - Palo Alto Medical Foundation	795 El Camino Real	Palo Alto, CA 94301	2,500 00	
4254	ValleyCare Charitable Foundation	1111 E Stanley Blvd	Livermore, CA 94550	2,500 00	
4255	Washington Hospital Healthcare Foundation	2000 Mowry Ave	Fremont, CA 94538-1716	2,500 00	
4257	O'Connor Hospital Foundation	2105 Forest Avenue	San Jose, CA 95128-1425	2,500 00	
4258	VMC Foundation	2400 Moorpark Ave, Suite 207	San Jose, CA 95128	2,500 00	
4259	Kaiser Foundation Hospital	250 Hospital Parkway	San Jose, CA 95119-1199	2,500 00	
4260	Stroke Awareness Foundation	2105 S Bascom Avenue	Campbell, CA 95008	2,500 00	
4261	El Camino Hospital Foundation	2500 Grant Road	Mountain View, CA 94040	2,500 00	
4301	Pancreatic Cancer Action Network	2141 Rosecrans Avenue, Suite 7000	El Segundo, CA 90245	100 00	
4302	Manitha Neyam Trust, Inc	97 Charter Road	Acton, MA 01720	500 00	
4303	American Red Cross	P O Box 37295	Washington, DC 20013	300 00	
4304	DVHS Academic Boosters	10550 Albion Road	San Ramon, CA 94582	500 00	
4305	American Cancer Society	California Division, P O Box 24175	San Francisco, CA 94124-0175	50 00	
4306	Manitha Neyam Trust, Inc	97 Charter Road	Acton, MA 01720	200 00	
4308	Almaden Valley Counseling			750 00	
4309	American Cancer Society			1,650 00	
4310	American Diabetes Association			600 00	

From the Foundation Account

	<u>Recipient Organization</u>	<u>Address of Organization</u>	<u>City, State</u>	<u>Amount</u>	<u>Notes</u>
4311	American Lung Assoc of FL - Central Area			150 00	
4312	American Red Cross			2,400 00	
4313	Avon Foundation			750 00	
4314	Bay Area Rescue Mission			150 00	
4315	Boy Scouts of America			300 00	
4316	Breast Cancer 3-Day			1,800 00	
4318	CHOC Foundation - Pediatric Surgery Fund			300 00	
4319	City Team Ministries			225 00	
4320	CRS Programs Around the World			2,250 00	
4321	CRWGC			1,800 00	
4322	Doctors Without Borders			2,500 00	
4323	The Family Giving Tree			1,215 00	
4324	Fresh Brain Inc			2,250 00	
4325	Habitat for Humanity			450 00	
4327	Inn Vision - The Way Home			1,500 00	
4328	Lance Armstrong Foundation			300 00	
4329	Los Angeles Mission			150 00	
4330	MAG Amenca			156 00	
4331	Mahindra Foundation			450 00	
4332	March of Dimes - March for Babies			150 00	
4333	Milpitas Food Pantry			2,500 00	
4334	Orange County Ronald McDonald House			300 00	
4335	Sankara Eye Foundation			2,500 00	
4336	Save the Children			1,080 00	
4337	Second Harvest Food Bank of SC and SM County			2,250 00	
4338	Second Harvest Food Bank of Orange County			2,500 00	
4339	Smile Train Inc			1,500 00	
4340	Special Olympics			300 00	
4341	Union Rescue Mission			150 00	
4342	Upaknti (SOCARE)			1,500 00	
4343	US Friends of World Food Program			300 00	
4344	USA Cares Inc			300 00	
4345	Wheelchair Foundation Cal Poly Chapter			150 00	
4366	Pancreatic Cancer Action Network	2141 Rosecrans Avenue, Suite 7000	El Segundo, CA 90245	300 00	
4367	Manitha Neyam Trust, Inc	97 Charter Road	Acton, MA 01720	1,500 00	
4368	American Red Cross	P O Box 37295	Washington, DC 20013	900 00	
4369	DVHS Academic Boosters	10550 Albion Road	San Ramon, CA 94582	1,500 00	
4370	American Cancer Society	California Division, P O Box 24175	San Francisco, CA 94124-0175	150 00	
				188,101 00	
		Less checks not cleared		(4,275 00)	
				183,826 00	

ADAPTEC FOUNDATION
cash reconciliation (Part III, Balance sheet, line 2)
FYE: MARCH 31, 2011

Prior year balance	176,253
Plus	
CY interest income	8
CY contribution rec'd	7,565 from Adaptec, Inc
Minus	
CY contributions granted	(183,826)
Ending balance	<u><u>\$ 0</u></u>

- Balance per bank stmts
0 diff - outstanding checks

Note - there is a \$300 credit / refund coming from FY'10 return, and will be used to partiall repay ADPT for the \$6,000 advanced during FY'11 to cover checks written in excess of balance available.

ADAPTEC FOUNDATION
AVG MONTHLY CASH BALANCES & INTEREST INCOME EARNED
FYE: MARCH 31, 2011

	non-interest	interest				
	<u>BofA</u>	<u>Columbia Mgmt</u>	<u>transfer</u>	<u>Total</u>	<u>interest income</u>	<u>Bal Change</u>
31-Mar-10	9,426.55	169,325.00		178,751.55		
30-Apr-10	56,951.55	59,325.00	110,000.00	116,276.55		(110,000.00)
31-May-10	32,726.55	34,325.00	25,000.00	67,051.55		(25,000.00)
30-Jun-10	14,350.55	9,325.00	25,000.00	23,675.55		(25,000.00)
31-Jul-10	7,433.89	(0.00)	9,333.34	7,433.89	8.34	(9,325.00)
31-Aug-10	8,433.89	(0.00)		8,433.89		
30-Sep-10	3,433.89	(0.00)		3,433.89		
31-Oct-10	933.89	(0.00)		933.89		
30-Nov-10	933.89	(0.00)		933.89		
31-Dec-10	933.89	(0.00)		933.89		
31-Jan-11	-	(0.00)		(0.00)		
28-Feb-11	-	(0.00)		(0.00)		
31-Mar-11	-	(0.00)				
				\$ 31,445.57	\$ 8.34	(169,325.00)
				Avg cash balance	Interest income	