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Return of Private Foundation

OMB No 1545-0052

2010

Department of the Treasury
Internal Revenue Serviceor Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2010, or tax year beginning APR 1, 2010, and ending MAR 31, 2011

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation E. A. ROBERTS CHARITABLE TRUST #1255000599		A Employer identification number 63-6215720
Number and street (or P O box number if mail is not delivered to street address) P.O. BOX 2886	Room/suite	B Telephone number (251) 690-1411
City or town, state, and ZIP code MOBILE, AL 36652		C If exemption application is pending, check here ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 24,646,034.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
(Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		595,761.	571,739.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		472,369.			
b Gross sales price for all assets on line 6a		12,056,782.			
7 Capital gain net income (from Part IV, line 2)			472,369.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		808.	0.		STATEMENT 2
12 Total. Add lines 1 through 11		1,068,938.	1,044,108.		
13 Compensation of officers, directors, trustees, etc		186,485.	110,781.		75,704.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		22,850.	11,425.		11,425.
c Other professional fees					
17 Interest					
18 Taxes STMT 4		19,389.	560.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses					
24 Total operating and administrative expenses. Add lines 13 through 23		228,724.	122,766.		87,129.
25 Contributions, gifts, grants paid		1,016,536.			1,016,536.
26 Total expenses and disbursements. Add lines 24 and 25		1,245,260.	122,766.		1,103,665.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-176,322.			
b Net investment income (if negative, enter -0-)			921,342.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	600,092.	709,912.	709,912.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations STMT 6	5,516,663.	4,617,492.	4,617,492.
	b	Investments - corporate stock STMT 7	14,062,868.	15,183,934.	15,183,934.
	c	Investments - corporate bonds STMT 8	3,297,664.	3,690,153.	3,690,153.
Liabilities	11	Investments - land, buildings, and equipment, basis ▶			
		Less: accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 9	343,344.	444,543.	444,543.
	14	Land, buildings, and equipment, basis ▶			
		Less: accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers)	23,820,631.	24,646,034.	24,646,034.
	17	Accounts payable and accrued expenses			
	18	Grants payable			
Net Assets or Fund Balances	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0.	0.	
		Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted	23,820,631.	24,646,034.	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
Net Assets or Fund Balances	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances	23,820,631.	24,646,034.	
	31	Total liabilities and net assets/fund balances	23,820,631.	24,646,034.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	23,820,631.
2	Enter amount from Part I, line 27a	2	-176,322.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 5	3	1,001,725.
4	Add lines 1, 2, and 3	4	24,646,034.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	24,646,034.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 12,056,782.		11,584,413.	472,369.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			472,369.

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	472,369.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	1,082,090.	21,908,685.	.049391
2008	1,213,126.	21,949,107.	.055270
2007	1,146,131.	25,437,738.	.045056
2006	1,122,050.	24,300,225.	.046174
2005	1,116,993.	23,592,812.	.047345

2 Total of line 1, column (d)	2	.243236
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.048647
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	23,086,115.
5 Multiply line 4 by line 3	5	1,123,070.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	9,213.
7 Add lines 5 and 6	7	1,132,283.
8 Enter qualifying distributions from Part XII, line 4	8	1,103,665.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.

Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments:

a 2010 estimated tax payments and 2009 overpayment credited to 2010

b Exempt foreign organizations - tax withheld at source

c Tax paid with application for extension of time to file (Form 8868)

d Backup withholding erroneously withheld

7 Total credits and payments. Add lines 6a through 6d

8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

11 Enter the amount of line 10 to be: Credited to 2011 estimated tax ☐4,376. Refunded ☐**Part VII-A Statements Regarding Activities**

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

c Did the foundation file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:

(1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

If "Yes," attach a detailed description of the activities

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

b If "Yes," has it filed a tax return on Form 990-T for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

If "Yes," attach the statement required by General Instruction T.

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

• By language in the governing instrument, or

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year?

If "Yes," complete Part II, col. (c), and Part XV.

8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐

AL

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

Yes No

1a X

1b X

1c X

2 X

3 X

4a X

4b

5 X

6 X

7 X

8b X

9 X

10 X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>REGIONS MORGAN KEEGAN TRUST</u> Telephone no. ► <u>(251) 690-1411</u> Located at ► <u>11 NORTH WATER STREET, 25TH FLOOR, MOBILE, AL</u> ZIP+4 ► <u>36602</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? If "Yes," list the years ► _____, _____, _____	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?		4b
		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		186,485.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	22,868,347.
b Average of monthly cash balances	1b	567,386.
c Fair market value of all other assets	1c	1,947.
d Total (add lines 1a, b, and c)	1d	23,437,680.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	23,437,680.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	351,565.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	23,086,115.
6 Minimum investment return. Enter 5% of line 5	6	1,154,306.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	1,154,306.
2a Tax on investment income for 2010 from Part VI, line 5	2a	18,427.
b Income tax for 2010. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	18,427.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	1,135,879.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	1,135,879.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,135,879.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,103,665.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,103,665.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,103,665.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				1,135,879.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			1,010,295.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005				
b From 2006				
c From 2007				
d From 2008				
e From 2009				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2010 from Part XII, line 4: ▶ \$ 1,103,665.				
a Applied to 2009, but not more than line 2a			1,010,295.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				93,370.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				1,042,509.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008				
d Excess from 2009				
e Excess from 2010				

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Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year INFIRMARY HEALTH SYSTEM, INC. P.O. BOX 2144 MOBILE, AL 36652	N/A	501(C)(3) ORG	UNRESTRICTED CONTRIBUTION	508,268.
UMS-WRIGHT PREPATORY SCHOOL 65 NORTH MOBILE STREET MOBILE, AL 36607	N/A	501(C)(3) ORG	UNRESTRICTED CONTRIBUTION	508,268.
Total				▶ 3a 1,016,536.
b Approved for future payment NONE				
Total				▶ 3b 0.

023811 12-07-10

Form 990-PF (2010)

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income	
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:							
a _____							
b _____							
c _____							
d _____							
e _____							
f _____							
g Fees and contracts from government agencies							
2 Membership dues and assessments							
3 Interest on savings and temporary cash investments							
4 Dividends and interest from securities				14	595,761.		
5 Net rental income or (loss) from real estate:							
a Debt-financed property							
b Not debt-financed property							
6 Net rental income or (loss) from personal property							
7 Other investment income							
8 Gain or (loss) from sales of assets other than inventory				18	472,369.		
9 Net income or (loss) from special events							
10 Gross profit or (loss) from sales of inventory							
11 Other revenue:							
a FEDERAL INCOME TAX							
b REFUND					808.		
c _____							
d _____							
e _____							
12 Subtotal. Add columns (b), (d), and (e)			0.		1,068,938.	0.	
13 Total. Add line 12, columns (b), (d), and (e)						13 1,068,938.	

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.				(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a REGIONS ACCOUNT H705 1255000599 (SEE ATTACHED)				P	VARIOUS	VARIOUS
b REGIONS ACCOUNT H705 1255000599 (SEE ATTACHED)				P	VARIOUS	VARIOUS
c REGIONS ACCOUNT H705 1255000599 (SEE ATTACHED)				P	VARIOUS	VARIOUS
d CAPITAL GAINS DIVIDENDS						
e						
f						
g						
h						
i						
j						
k						
l						
m						
n						
o						

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 8,069,165.		8,043,699.	25,466.
b 3,986,463.		3,540,714.	445,749.
c 400.			400.
d 754.			754.
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			25,466.
b			445,749.
c			400.
d			754.
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	472,369.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES		STATEMENT	1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT	
CAPITAL GAIN DIVIDENDS	754.	754.	0.	
CORPORATE INTEREST	305,789.	0.	305,789.	
DOMESTIC DIVIDENDS	237,010.	0.	237,010.	
FOREIGN DIVIDENDS	6,959.	0.	6,959.	
MUTUAL FUNDS	10,205.	0.	10,205.	
NONQUALIFIED DOMESTIC DIVIDENDS	3,836.	0.	3,836.	
NONQUALIFIED FOREIGN DIVIDENDS	47.	0.	47.	
OID INCOME ON USGI	0.	0.	0.	
OTHER INTEREST	138.	0.	138.	
U.S. GOVERNMENT	31,777.	0.	31,777.	
TOTAL TO FM 990-PF, PART I, LN 4	596,515.	754.	595,761.	

FORM 990-PF	OTHER INCOME		STATEMENT	2
DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	
FEDERAL INCOME TAX REFUND	808.	0.		
TOTAL TO FORM 990-PF, PART I, LINE 11	808.	0.		

FORM 990-PF	ACCOUNTING FEES			STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING & AUDITING FEES	11,425.	11,425.		0.	
TAX PREPARATION FEES	11,425.	0.		11,425.	
TO FORM 990-PF, PG 1, LN 16B	22,850.	11,425.		11,425.	

FORM 990-PF	TAXES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
EXCISE TAX	0.	0.		0.	
FOREIGN TAX	19,389.	560.		0.	
TO FORM 990-PF, PG 1, LN 18	19,389.	560.		0.	

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	5
DESCRIPTION		AMOUNT	
NET UNREALIZED GAINS ON INVESTMENTS IAW SFAS NO. 124		1,001,725.	
TOTAL TO FORM 990-PF, PART III, LINE 3		1,001,725.	

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT	6
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE	
GOVERNMENT OBLIGATIONS	X		4,617,492.	4,617,492.	
TOTAL U.S. GOVERNMENT OBLIGATIONS			4,617,492.	4,617,492.	
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS					
TOTAL TO FORM 990-PF, PART II, LINE 10A			4,617,492.	4,617,492.	

FORM 990-PF	CORPORATE STOCK	STATEMENT	7
DESCRIPTION		BOOK VALUE	FAIR MARKET VALUE
COMMON STOCK		15,183,934.	15,183,934.
TOTAL TO FORM 990-PF, PART II, LINE 10B		15,183,934.	15,183,934.

FORM 990-PF	CORPORATE BONDS	STATEMENT	8
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
CORPORATE OBLIGATIONS	3,690,153.	3,690,153.	
TOTAL TO FORM 990-PF, PART II, LINE 10C	3,690,153.	3,690,153.	

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
EQUITY FUNDS	FMV	347,595.	347,595.
FIXED INCOME FUNDS	COST	95,000.	95,000.
REAL ESTATE ; OIL AND GAS	COST	1,948.	1,948.
TOTAL TO FORM 990-PF, PART II, LINE 13		444,543.	444,543.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 10
 TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
REGIONS BANK TRUST DEPARTMENT P.O. BOX 2527 MOBILE, AL 36622	TRUSTEE 0.00	150,758.	0.	0.
MRS. SARAH L. DAMSON P.O. BOX 160947 MOBILE, AL 36616	DIRECTOR 0.00	100.	0.	0.
MR. T.K. JACKSON, III P.O. BOX 173 MOBILE, AL 36601	DIRECTOR 0.00	150.	0.	0.
MR. JOHN C. JOHNSON 3601 LONGVIEW LANE MOBILE, AL 36608	DIRECTOR 0.00	150.	0.	0.
MRS. JOY M. GRODNICK 112 PINEBROOK DRIVE EAST MOBILE, AL 36608	DIRECTOR 0.00	100.	0.	0.
MR. J. MANNING MCPHILLIPS P.O. BOX 169 MOBILE, AL 36601	DIRECTOR 0.00	0.	0.	0.
ALLEN H. LADD 2065 OLD SHELL ROAD MOBILE, AL 36607	DIRECTOR 0.00	150.	0.	0.
REGIONS BANK TRUST DEPARTMENT P.O. BOX 2527 MOBILE, AL 36622	CUSTODIAN 0.00	35,077.	0.	0.
MANSON MURRAY, ESQ. P.O. DRAWER 2568 MOBILE, AL 36652	DIRECTOR 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		186,485.	0.	0.

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.*

Type or print	Name of exempt organization E. A. ROBERTS CHARITABLE TRUST #1255000599	Employer identification number 63-6215720
	Number, street, and room or suite no. If a P.O. box, see instructions. P.O. BOX 2886	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. MOBILE, AL 36652	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

REGIONS MORGAN KEEGAN TRUST

- The books are in the care of ► **11 NORTH WATER STREET, 25TH FLOOR - MOBILE, AL 36602**
Telephone No ► **(251) 690-1411** FAX No ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **NOVEMBER 15, 2011**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☐ calendar year _____ or
- ☒ tax year beginning **APR 1, 2010**, and ending **MAR 31, 2011**

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
- ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	18,427.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	14,803.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	8,000.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.LHA **For Paperwork Reduction Act Notice, see Instructions.**Form **8868** (Rev. 1-2011)

45	SHORT-TERM GAIN/LOSS - CURRENT SALES	Gain/(loss)	Cost basis	Sales proceeds
	AFLAC INC - 001055102 - MINOR = 3101			
10/20/10	SOLD 200 SHS AFLAC INC ON 10/15/2010 AT 55.53 THRU CONVERGEX COMMISSIONS PAID 16.00 EXPENSES PAID 0.19 TR TRAN#=0000000000000058 TR CD=0 REG=22 PORT=PRIN	2270.13		11089.81 1010000020 SUB TRUST 1255001464
03/18/11	SOLD 1,200 SHS AFLAC INC ON 03/15/2011 AT 48.5665 THRU CONVE RGEX COMMISSIONS PAID 48.00 EXPENSES PAID 1.13 TR TRAN#=0000000000000001 TR CD=0 REG=22 PORT=PRIN	5312.59		58230.67 1103000002 SUB TRUST 1255001464
		7582.72	61,737.76	69320.48
	AT&T INC - 00206R102 - MINOR = 3101			
05/05/10	SOLD 250 SHS AT&T INC ON 04/30/2010 AT 26.22 THRU CONVERGEX COMMISSIONS PAID 15.00 EXPENSES PAID 0.11 TR TRAN#=0000000000000067 TR CD=0 REG=22 PORT=PRIN	37.76		6539.89 1005000017 SUB TRUST 1255001464
06/08/10	SOLD 160 SHS AT&T INC ON 06/03/2010 AT 24.81 THRU CONVERGEX COMMISSIONS PAID 9.60 EXPENSES PAID 0.07 TR TRAN#=0000000000000053 TR CD=0 REG=22 PORT=PRIN	-172.12		3959.93 1006000011 SUB TRUST 1255001464
10/20/10	SOLD 100 SHS AT&T INC ON 10/15/2010 AT 28.71 THRU CONVERGEX COMMISSIONS PAID 8.00 EXPENSES PAID 0.05 TR TRAN#=00000000000000167 TR CD=0 REG=22 PORT=PRIN	200.95		2862.95 1010000055 SUB TRUST 1255001464
		66.59	13,296.18	13362.77
	AT&T INC DTD 05/13/08 5.6% 05/15/2018 - 00206RAM4 - MINOR = 45			
07/13/10	SOLD 3,000 UNITS AT&T INC DTD 05/13/08 5.6% 05/15/2018 ON 07 /08/2010 AT 110.598 THRU J.P. MORGAN SECURITIES INC TR TRAN#=0000000000000025 TR CD=0 REG=22 PORT=PRIN	7.52		3317.94 1007000005 SUB TRUST 1255001455
09/16/10	SOLD 5,000 UNITS AT&T INC DTD 05/13/08 5.6% 05/15/2018 ON 09 /13/2010 AT 114.845 THRU CONVERGEX TR TRAN#=0000000000000023 TR CD=0 REG=22 PORT=PRIN	278.42		5742.25 1009000011 SUB TRUST 1255001455
02/10/11	SOLD 6,000 UNITS AT&T INC DTD 05/13/08 5.6% 05/15/2018 ON 02 /07/2011 AT 108.98 THRU MORGAN STANLEY DW INC. TR TRAN#=0000000000000005 TR CD=0 REG=22 PORT=PRIN	81.26		6538.80 1102000005 SUB TRUST 1255001455
		367.20	15,231.79	15598.99
	ABBOTT LABORATORIES - 002824100 - MINOR = 31001			
05/17/10	SOLD 75 SHS ABBOTT LABS ON 05/12/2010 AT 49.2324 THRU CONVER GEX COMMISSIONS PAID 4.50 EXPENSES PAID 0.06 TR TRAN#=0000000000000028 TR CD=0 REG=22 PORT=PRIN	-464.13		3687.87 1005000031 SUB TRUST 1255001464
10/20/10	SOLD 200 SHS ABBOTT LABS ON 10/15/2010 AT 53.55 THRU CONVERG EX COMMISSIONS PAID 16.00 EXPENSES PAID 0.19 TR TRAN#=00000000000000106 TR CD=0 REG=22 PORT=PRIN	-378.19		10693.81 1010000035 SUB TRUST 1255001464
12/21/10	SOLD 1,000 SHS ABBOTT LABS ON 12/16/2010 AT 47.9256 THRU CON VERGEX COMMISSIONS PAID 40.00 EXPENSES PAID 0.81 TR TRAN#=0000000000000018 TR CD=0 REG=22 PORT=PRIN	-7073.77		47884.79 1012000006 SUB TRUST 1255001464
01/12/11	SOLD 1,376 SHS ABBOTT LABS ON 01/07/2011 AT 48.3843 THRU CON VERGEX COMMISSIONS PAID 55.04 EXPENSES PAID 1.13 TR TRAN#=0000000000000025 TR CD=0 REG=22 PORT=PRIN	-3551.49		66520.63 1101000007 SUB TRUST 1255001464
		-11467.58	140,254.68	128787.10
	AIRTRAN HLDGS INC - 00949P108 - MINOR = 50			

TAX
CODE/DATE TRANSACTIONS

Gain/(loss)

Cost basis

Sales proceeds

EDIT
BER

45 SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)

AIRTRAN HLDGS INC - 00949P108 - MINOR = 50 (CONTINUED)

10/01/10 SOLD 2,600 SHS AIRTRAN HLDGS INC ON 09/28/2010 AT 7 32 THRU 2953 82 10191.82 1010000001
CONVERGEX COMMISSIONS PAID 104 00 EXPENSES PAID 0 33 SUB TRUST 1255001339
TR TRAN#=0000000000000001 TR CD=0 REG=22 PORT=PRIN

2953 82

7,238.00

10191 82

AMERICAN EXPRESS CO - 025816109 - MINOR = 31001

10/20/10 SOLD 100 SHS AMERICAN EXPRESS CO ON 10/15/2010 AT 39 72 THRU -383 07 3963 93 1010000021
CONVERGEX COMMISSIONS PAID 8 00 EXPENSES PAID 0 07 SUB TRUST 1255001464
TR TRAN#=0000000000000061 TR CD=0 REG=22 PORT=PRIN

03/22/11 SOLD 100 SHS AMERICAN EXPRESS CO ON 03/17/2011 AT 43 192 THR 103 85 4311 11 1103000017
U ASSENT LLC COMMISSIONS PAID 8 00 EXPENSES PAID 0 09 SUB TRUST 1255001464
TR TRAN#=0000000000000056 TR CD=0 REG=22 PORT=PRIN

-279 22

8,554 26

8275 04

APACHE CORP - 037411105 - MINOR = 31001

07/02/10 SOLD 370 SHS APACHE CORPORATION ON 06/29/2010 AT 85 85 THRU -6781 64 31749 16 1007000008
CONVERGEX COMMISSIONS PAID 14 80 EXPENSES PAID 0 54 SUB TRUST 1255001464
TR TRAN#=0000000000000043 TR CD=0 REG=22 PORT=PRIN

08/11/10 SOLD 100 SHS APACHE CORPORATION ON 08/06/2010 AT 96 37 THRU -782 89 9630 84 1008000002
CONVERGEX COMMISSIONS PAID 6 00 EXPENSES PAID 0 16 SUB TRUST 1255001464
TR TRAN#=0000000000000005 TR CD=0 REG=22 PORT=PRIN

10/20/10 SOLD 50 SHS APACHE CORPORATION ON 10/15/2010 AT 104.17 THRU -2 46 5204.41 1010000013
CONVERGEX COMMISSIONS PAID 4 00 EXPENSES PAID 0 09 SUB TRUST 1255001464
TR TRAN#=0000000000000037 TR CD=0 REG=22 PORT=PRIN

03/22/11 SOLD 400 SHS APACHE CORPORATION ON 03/17/2011 AT 117 8169 TH -435 67 14721 83 1103000010
RU PERSHING LLC COMMISSIONS PAID 16 00 EXPENSES PAID 0.90 SUB TRUST 1255001464
TR TRAN#=0000000000000029 TR CD=0 REG=22 PORT=PRIN

-8002.66

69,308.90

61306 24

BB& T CORPORATION DTD 7/27/09 3 85% 07/2 - 05531FAC7 - MINOR = 45

12/10/10 SOLD 9,000 UNITS BB& T CORPORATION DTD 7/27/09 3.85% 07/27/2 38 23 9389 79 1012000008
012 ON 12/07/2010 AT 104.331 THRU SCOTT AND STRINGFELLOW INV SUB TRUST 1255001455
CORP
TR TRAN#=0000000000000025 TR CD=0 REG=22 PORT=PRIN

38 23

9 351 56

9389.79

BP CAPITAL MARKETS PLC DTD 10/01/2010 3 - 05565QBN7 - MINOR = 45

10/01/10 SOLD 18,000 UNITS BP CAPITAL MARKETS PLC DTD 10/01/2010 3 12 167.22 18116.10 1010000001
5% 10/01/2015 ON 09/29/2010 AT 100 645 THRU CITIGROUP GLOBAL SUB TRUST 1255001455
MARKETS INC.
TR TRAN#=0000000000000003 TR CD=0 REG=22 PORT=PRIN

167.22

17,948.88

18116 10

BANK AMER CORP - 060505104

05/05/10 SOLD 900 SHS BANK OF AMERICA CORP ON 04/30/2010 AT 18.2578 T 1522 14 16386.74 1005000007
HRU CONVERGEX COMMISSIONS PAID 45.00 EXPENSES PAID 0 28 SUB TRUST 1255001464
TR TRAN#=0000000000000025 TR CD=0 REG=22 PORT=PRIN

10/20/10 SOLD 300 SHS BANK OF AMERICA CORP ON 10/15/2010 AT 12.67 THR -1215 08 3776 93 1010000022
U CONVERGEX COMMISSIONS PAID 24 00 EXPENSES PAID 0.07 SUB TRUST 1255001464
TR TRAN#=0000000000000064 TR CD=0 REG=22 PORT=PRIN

01/12/11 SOLD 1,800 SHS BANK OF AMERICA CORP ON 01/07/2011 AT 14 1203 -2392 39 25344 11 1101000006
THRU CONVERGEX COMMISSIONS PAID 72 00 EXPENSES PAID 0 43 SUB TRUST 1255001464
TR TRAN#=0000000000000021 TR CD=0 REG=22 PORT=PRIN

-2085 33

47,593.11

45507.78

BARCLAYS BANK PLC DTD 04/07/2010 3 9% 04 - 06739GBB4 - MINOR = 45

07/13/10 SOLD 5,000 UNITS BARCLAYS BANK PLC DTD 04/07/2010 3 9% 04/07 24 44 5075 40 1007000006
/2015 ON 07/08/2010 AT 101 508 THRU J P MORGAN SECURITIES I SUB TRUST 1255001455
NC
TR TRAN#=0000000000000031 TR CD=0 REG=22 PORT=PRIN

09/16/10 SOLD 5,000 UNITS BARCLAYS BANK PLC DTD 04/07/2010 3 9% 04/07 184 19 5233 35 1009000027
/2015 ON 09/13/2010 AT 104 667 THRU MORGAN STANLEY DW INC SUB TRUST 1255001455
TR TRAN#=0000000000000132 TR CD=0 REG=22 PORT=PRIN

01/12/11 SOLD 8,000 UNITS BARCLAYS BANK PLC DTD 04/07/2010 3 9% 04/07 183 28 8226 64 1101000005
/2015 ON 01/07/2011 AT 102 833 THRU CANTOR CLEARING SERV SUB TRUST 1255001455
TR TRAN#=0000000000000026 TR CD=0 REG=22 PORT=PRIN

TAX
CODE/DATE TRANSACTIONS

Gain/(loss)

Cost basis

Sales proceeds

EDIT
BER

45 SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)

BARCLAYS BANK PLC DTD 04/07/2010 3 9% 04 - 06739GBB4 - MINOR = 45 (CONTINUED)

		391 91	18,143.48	18535 39	
	BIG LOTS INC - 089302103 - MINOR = 50				
02/11/11	SOLD 120 SHS BIG LOTS INC ON 02/08/2011 AT 38 9097 THRU CONV ERSEX COMMISSIONS PAID 7 20 EXPENSES PAID 0.09 TR TRAN#=0000000000000001 TR CD=0 REG=22 PORT=PRIN	667 08		4661 87 1102000007 SUB TRUST 1255001339	
		667.08	3,994.79	4661 87	
	BLACKROCK INC - 09247X101 - MINOR = 50				
10/20/10	SOLD 50 SHS BLACKROCK INC ON 10/15/2010 AT 176.50 THRU CONVE RGEX COMMISSIONS PAID 4 00 EXPENSES PAID 0 15 TR TRAN#=0000000000000068 TR CD=0 REG=22 PORT=PRIN	862.83		8820 85 1010000023 SUB TRUST 1255001464	
		862.83	7,958.02	8820 85	
	BLACKROCK INC DTD 12/10/2009 3.5% 12/10/ - 09247XAD3 - MINOR = 45				
05/06/10	SOLD 164,000 UNITS BLACKROCK INC DTD 12/10/2009 3 5% 12/10/2 014 ON 04/29/2010 AT 102.375 THRU MORGAN STANLEY DW INC. TR TRAN#=0000000000000005 TR CD=0 REG=22 PORT=PRIN	2987 13		167895.00 1005000004 SUB TRUST 1255001455	
		2987.13	164,907.87	167895 00	
	BRINKER INTL INC - 109641100 - MINOR = 50				
02/18/11	SOLD 400 SHS BRINKER INTL INC ON 02/15/2011 AT 23 8059 THRU CONVERGEX COMMISSIONS PAID 16 00 EXPENSES PAID 0 18 TR TRAN#=0000000000000001 TR CD=0 REG=22 PORT=PRIN	1955 74		9506 18 1102000004 SUB TRUST 1255001464	
03/22/11	SOLD 100 SHS BRINKER INTL INC ON 03/17/2011 AT 24.334 THRU S ANFORD C BERNSTEIN AND CO., LLC COMMISSIONS PAID 6 00 EXPEN SES PAID 0 05 TR TRAN#=0000000000000001 TR CD=0 REG=22 PORT=PRIN	539 74		2427 35 1103000003 SUB TRUST 1255001464	
		2495 48	9,438 05	11933.53	
	CSX CORP DTD 3/27/08 6.25% 04/01/2015 - 126408GN7 - MINOR = 45				
01/12/11	SOLD 8,000 UNITS CSX CORP DTD 3/27/08 6 25% 04/01/2015 ON 01 /07/2011 AT 113.583 THRU SCOTT AND STRINGFELLOW INV CORP TR TRAN#=0000000000000033 TR CD=0 REG=22 PORT=PRIN	109.03		9086.64 1101000006 SUB TRUST 1255001455	
		109 03	8,977 61	9086.64	
	CVS CORP COM - 126650100 - MINOR = 3101				
05/05/10	SOLD 1,300 SHS CVS/CAREMARK CORPORATION ON 04/30/2010 AT 37. 7393 THRU CONVERGEX COMMISSIONS PAID 52.00 EXPENSES PAID 0 8 3 TR TRAN#=0000000000000083 TR CD=0 REG=22 PORT=PRIN	5557.07		49008.26 1005000020 SUB TRUST 1255001464	
06/08/10	SOLD 125 SHS CVS/CAREMARK CORPORATION ON 06/03/2010 AT 34 94 99 THRU CONVERGEX COMMISSIONS PAID 7 50 EXPENSES PAID 0 07 TR TRAN#=0000000000000011 TR CD=0 REG=22 PORT=PRIN	278.67		4361 17 1006000004 SUB TRUST 1255001464	
10/20/10	SOLD 200 SHS CVS/CAREMARK CORPORATION ON 10/15/2010 AT 31.47 THRU CONVERGEX COMMISSIONS PAID 16 00 EXPENSES PAID 0 11 TR TRAN#=0000000000000023 TR CD=0 REG=22 PORT=PRIN	-161.86		6277 89 1010000009 SUB TRUST 1255001464	
12/21/10	SOLD 200 SHS CVS/CAREMARK CORPORATION ON 12/16/2010 AT 33 84 THRU J.P. MORGAN SECURITIES INC COMMISSIONS PAID 8.00 EXPEN SES PAID 0.11 TR TRAN#=0000000000000001 TR CD=0 REG=22 PORT=PRIN	637 89		6759 89 1012000002 SUB TRUST 1255001464	
03/22/11	SOLD 100 SHS CVS/CAREMARK CORPORATION ON 03/17/2011 AT 33 30 2 THRU SANFORD C BERNSTEIN AND CO., LLC COMMISSIONS PAID 5 00 EXPENSES PAID 0 07 TR TRAN#=0000000000000018 TR CD=0 REG=22 PORT=PRIN	389 65		3325 13 1103000008 SUB TRUST 1255001464	
		6701 42	63,030.92	69732 34	
	CA INC DTD 11/13/09 5 375% 12/01/2019 - 12673PAC9 - MINOR = 45				
07/14/10	SOLD 4,000 UNITS CA INC DTD 11/13/09 5 375% 12/01/2019 ON 07 /09/2010 AT 103.806 THRU NATIONAL FINANCIAL SERVICES CORPORA TION TR TRAN#=0000000000000004 TR CD=0 REG=22 PORT=PRIN	-38 37		4152.24 1007000010 SUB TRUST 1255001455	
09/16/10	SOLD 4,000 UNITS CA INC DTD 11/13/09 5 375% 12/01/2019 ON 09 /13/2010 AT 105 803 THRU CONVERGEX TR TRAN#=0000000000000016 TR CD=0 REG=22 PORT=PRIN	44 39		4232 12 1009000010 SUB TRUST 1255001455	
		6 02	8,378.34	8384.36	

TAX
CODE/DATE TRANSACTIONS

Gain/(loss)

Cost basis

Sales proceeds

EDIT
NUMBER

45 SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)

CAMERON INTL CORP - 13342B105 - MINOR = 50

09/14/10	SOLD 200 SHS CAMERON INTL CORP ON 09/09/2010 AT 38 48 THRU C ONVERGEX COMMISSIONS PAID 12 00 EXPENSES PAID 0 13 TR TRAN#=000000000000004 TR CD=0 REG=22 PORT=PRIN	-94 48	2305 16	1009000005
			SUB TRUST	1255001339

-94 48

2,399.64

2305 16

CATERPILLAR INC - 149123101 - MINOR = 456

07/02/10	SOLD 600 SHS CATERPILLAR INC ON 06/29/2010 AT 62 70 THRU CON VERGEX COMMISSIONS PAID 24 00 EXPENSES PAID 0 64 TR TRAN#=000000000000114 TR CD=0 REG=22 PORT=PRIN	1163 27	37595 36	1007000019
			SUB TRUST	1255001464

10/20/10	SOLD 100 SHS CATERPILLAR INC ON 10/15/2010 AT 80 47 THRU CON VERGEX COMMISSIONS PAID 8 00 EXPENSES PAID 0 14 TR TRAN#=000000000000128 TR CD=0 REG=22 PORT=PRIN	2212 46	8038 86	1010000042
			SUB TRUST	1255001464

12/21/10	SOLD 400 SHS CATERPILLAR INC ON 12/16/2010 AT 93 30 THRU SAN FORD C BERNSTEIN AND CO, LLC COMMISSIONS PAID 24 00 EXPENS ES PAID 0.63 TR TRAN#=000000000000028 TR CD=0 REG=22 PORT=PRIN	14186 98	37295 37	1012000008
			SUB TRUST	1255001464

01/12/11	SOLD 899 SHS CATERPILLAR INC ON 01/07/2011 AT 93 5644 THRU C ONVERGEX COMMISSIONS PAID 35 96 EXPENSES PAID 1 42 TR TRAN#=000000000000008 TR CD=0 REG=22 PORT=PRIN	34802 23	84077 02	1101000003
			SUB TRUST	1255001464

52364 94

114,641.67

167006 61

CATERPILLAR INC DTD 12/05/08 7.9% 12/15/ - 149123BQ3 - MINOR = 45

12/10/10	SOLD 6,000 UNITS CATERPILLAR INC DTD 12/05/08 7.9% 12/15/201 8 ON 12/07/2010 AT 129 356 THRU CANTOR CLEARING SERV TR TRAN#=000000000000013 TR CD=0 REG=22 PORT=PRIN	346 38	7761 36	1012000006
			SUB TRUST	1255001455

346 38

7,414.98

7761 36

CHEVRON CORP COM - 166764100 - MINOR = 50

05/05/10	SOLD 265 SHS CHEVRON CORP ON 04/30/2010 AT 82 4047 THRU CONV ERGEX COMMISSIONS PAID 13 25 EXPENSES PAID 0.37 TR TRAN#=000000000000017 TR CD=0 REG=22 PORT=PRIN	1549 88	21823.63	1005000005
			SUB TRUST	1255001464

07/02/10	SOLD 350 SHS CHEVRON CORP ON 06/29/2010 AT 69 24 THRU CONVER GEX COMMISSIONS PAID 14.00 EXPENSES PAID 0 41 TR TRAN#=000000000000046 TR CD=0 REG=22 PORT=PRIN	-2557 05	24219 59	1007000009
			SUB TRUST	1255001464

10/20/10	SOLD 200 SHS CHEVRON CORP ON 10/15/2010 AT 84 49 THRU CONVER GEX COMMISSIONS PAID 16 00 EXPENSES PAID 0 29 TR TRAN#=000000000000040 TR CD=0 REG=22 PORT=PRIN	1580 77	16881 71	1010000014
			SUB TRUST	1255001464

573 60

62,351.33

62924 93

CITIGROUP INC FDIC GUARANTEED DTD 08/06/2009 2.25% 12/10/2012 - 17313YAJ0 - MINOR = 30

09/16/10	SOLD 13,000 UNITS CITIGROUP INC FDIC GUARANTEED DTD 08/06/20 09 2.25% 12/10/2012 ON 09/13/2010 AT 103.144 THRU CANTOR CLE ARING SERV TR TRAN#=000000000000120 TR CD=0 REG=22 PORT=PRIN	118 25	13408 72	1009000025
			SUB TRUST	1255001455

11/12/10	SOLD 25,000 UNITS CITIGROUP INC FDIC GUARANTEED DTD 08/06/20 09 2.25% 12/10/2012 ON 11/08/2010 AT 103 452 THRU CANTOR CLE ARING SERV TR TRAN#=000000000000005 TR CD=0 REG=22 PORT=PRIN	407 98	25863 00	1011000003
			SUB TRUST	1255001455

01/12/11	SOLD 23,000 UNITS CITIGROUP INC FDIC GUARANTEED DTD 08/06/20 09 2.25% 12/10/2012 ON 01/07/2011 AT 102 862 THRU G X CLARK E AND COMPANY TR TRAN#=000000000000057 TR CD=0 REG=22 PORT=PRIN	323 73	23658 26	1101000010
			SUB TRUST	1255001455

02/02/11	SOLD 189,000 UNITS CITIGROUP INC FDIC GUARANTEED DTD 08/06/2 009 2.25% 12/10/2012 ON 01/28/2011 AT 102 9092 THRU G.X CLA RKE AND COMPANY TR TRAN#=000000000000008 TR CD=0 REG=22 PORT=PRIN	509 22	34989 13	1102000001
			SUB TRUST	1255001455

1359 18

96,559.93

97919.11

CLOROX CO - 189054109 - MINOR = 50

10/20/10	SOLD 100 SHS CLOROX CO ON 10/15/2010 AT 68 95 THRU CONVERGEX COMMISSIONS PAID 8.00 EXPENSES PAID 0 12 TR TRAN#=000000000000020 TR CD=0 REG=22 PORT=PRIN	368.54	6886 88	1010000008
			SUB TRUST	1255001464

03/22/11	SOLD 150 SHS CLOROX CO ON 03/17/2011 AT 67 552 THRU SANFORD C BERNSTEIN AND CO., LLC COMMISSIONS PAID 9 00 EXPENSES PAI D 0 20 TR TRAN#=000000000000015 TR CD=0 REG=22 PORT=PRIN	346 09	10123 60	1103000007
			SUB TRUST	1255001464

714 63

16,295.85

17010 48

TAX
CODE/DATE TRANSACTIONS

Gain/(loss)

Cost basis

Sales proceeds

EDIT
BER45 SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)
COINSTAR INC - 19259P300 - MINOR = 50

01/20/11 SOLD 425 SHS COINSTAR INC ON 01/14/2011 AT 42 8629 THRU CONV -267 71 18195 17 1101000005
EREX COMMISSIONS PAID 21 25 EXPENSES PAID 0 31 SUB TRUST 1255001339
TR TRAN#=0000000000000001 TR CD=0 REG=22 PORT=PRIN

-267 71

18,462.88

18195 17

COMCAST CORP NEW CL A - 20030N101 - MINOR = 3101

06/08/10 SOLD 250 SHS COMCAST CORP CL A ON 06/03/2010 AT 18.38 THRU C 248 24 4582 42 1006000001
ONVERGEX COMMISSIONS PAID 12 50 EXPENSES PAID 0 08 SUB TRUST 1255001464
TR TRAN#=0000000000000001 TR CD=0 REG=22 PORT=PRIN

10/20/10 SOLD 100 SHS COMCAST CORP CL A ON 10/15/2010 AT 18 52 THRU C 210 96 1843.96 1010000002
ONVERGEX COMMISSIONS PAID 8 00 EXPENSES PAID 0 04 SUB TRUST 1255001464
TR TRAN#=0000000000000001 TR CD=0 REG=22 PORT=PRIN

459 20

5,967.18

6426 38

COMCAST CORP DTD 06/18/09 5 7% 07/01/201 - 20030NAZ4 - MINOR = 45

09/16/10 SOLD 6,000 UNITS COMCAST CORP DTD 06/18/09 5 7% 07/01/2019 O 189 25 6752 70 1009000008
N 09/13/2010 AT 112 545 THRU SCOTT AND STRINGFELLOW INV CORP SUB TRUST 1255001455
TR TRAN#=0000000000000004 TR CD=0 REG=22 PORT=PRIN

189 25

6,563.45

6752 70

CONAGRA INC - 205887102 - MINOR = 50

05/05/10 SOLD 1,200 SHS CONAGRA FOODS INC ON 04/30/2010 AT 24.6918 TH 1566 54 29581 66 1005000019
RU CONVERGEX COMMISSIONS PAID 48.00 EXPENSES PAID 0 50 SUB TRUST 1255001464
TR TRAN#=0000000000000078 TR CD=0 REG=22 PORT=PRIN

06/08/10 SOLD 275 SHS CONAGRA FOODS INC ON 06/03/2010 AT 24 6563 THRU 455.36 6766.61 1006000003
CONVERGEX COMMISSIONS PAID 13 75 EXPENSES PAID 0.12 SUB TRUST 1255001464
TR TRAN#=0000000000000008 TR CD=0 REG=22 PORT=PRIN

08/11/10 SOLD 2,539 SHS CONAGRA FOODS INC ON 08/06/2010 AT 22.6383 TH -893 95 57376 10 1008000001
RU CONVERGEX COMMISSIONS PAID 101 56 EXPENSES PAID 0 98 SUB TRUST 1255001464
TR TRAN#=0000000000000002 TR CD=0 REG=22 PORT=PRIN

1127 95

92,596.42

93724 37

CONOCOPHILLIPS COM - 20825C104 - MINOR = 31000

05/05/10 SOLD 900 SHS CONOCOPHILLIPS ON 04/30/2010 AT 58 5154 THRU CO 4926.97 52626 97 1005000021
NVERGEX COMMISSIONS PAID 36 00 EXPENSES PAID 0 89 SUB TRUST 1255001464
TR TRAN#=0000000000000088 TR CD=0 REG=22 PORT=PRIN

4926 97

47,700

52626.97

CRACKER BARREL OLD COUNTRY STORE INC - 22410J106 - MINOR = 50

04/09/10 SOLD 150 SHS CRACKER BARREL OLD COUNTRY STORE INC ON 04/06/2 2474 68 7267 18 1004000001
010 AT 48.5087 THRU CONVERGEX COMMISSIONS PAID 9 00 EXPENSES SUB TRUST 1255001339
PAID 0.13
TR TRAN#=0000000000000002 TR CD=0 REG=22 PORT=PRIN

2474.68

4,792.50

7267 18

CREE INC - 225447101 - MINOR = 50

03/28/11 SOLD 180 SHS CREE INC ON 03/23/2011 AT 44 0449 THRU CONVERGE -4266.32 7917 13 1103000006
X COMMISSIONS PAID 10.80 EXPENSES PAID 0 15 SUB TRUST 1255001339
TR TRAN#=0000000000000002 TR CD=0 REG=22 PORT=PRIN

-4266 32

12,183.45

7917.13

CREDIT SUISSE NEW YORK DTD 5/4/09 5 5% 0 - 22546QAA5 - MINOR = 45

07/13/10 SOLD 4,000 UNITS CREDIT SUISSE NEW YORK DTD 5/4/09 5.5% 05/0 18.81 4347 56 1007000002
1/2014 ON 07/08/2010 AT 108 689 THRU MORGAN STANLEY DW INC. SUB TRUST 1255001455
TR TRAN#=0000000000000007 TR CD=0 REG=22 PORT=PRIN

09/16/10 SOLD 8,000 UNITS CREDIT SUISSE NEW YORK DTD 5/4/09 5 5% 05/0 245 81 8873 44 1009000009
1/2014 ON 09/13/2010 AT 110.918 THRU J P MORGAN SECURITIES INC SUB TRUST 1255001455
TR TRAN#=0000000000000010 TR CD=0 REG=22 PORT=PRIN

12/10/10 SOLD 8,000 UNITS CREDIT SUISSE NEW YORK DTD 5/4/09 5 5% 05/0 32 73 1106 42 1012000005
1/2014 ON 12/07/2010 AT 110 642 THRU SCOTT AND STRINGFELLOW INV CORP SUB TRUST 1255001455
TR TRAN#=0000000000000006 TR CD=0 REG=22 PORT=PRIN

297 35

14,030.07

14327 42

DARDEN RESTAURANTS INC - 237194105 - MINOR = 50

TAX
CODE/DATE TRANSACTIONSGain/(loss) Cost basis Sales proceeds EDIT
PER

45 SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)

DARDEN RESTAURANTS INC - 237194105 - MINOR = 50 (CONTINUED)

04/09/10 SOLD 200 SHS DARDEN RESTAURANTS INC ON 04/06/2010 AT 45.1089 1693 32 9013.62 1004000002
THRU CONVERGEX COMMISSIONS PAID 8.00 EXPENSES PAID 0.16 SUB TRUST 1255001339
TR TRAN#=000000000000005 TR CD=0 REG=22 PORT=PRIN

04/09/10 SOLD 900 SHS DARDEN RESTAURANTS INC ON 04/06/2010 AT 45.1089 7619 97 40561.32 1004000002
THRU CONVERGEX COMMISSIONS PAID 36.00 EXPENSES PAID 0.69
TR TRAN#=000000000000003 TR CD=0 REG=22 PORT=PRIN

05/05/10 SOLD 1,251 SHS DARDEN RESTAURANTS INC ON 04/30/2010 AT 45.54 11248 65 56922.66 1005000018
25 THRU CONVERGEX COMMISSIONS PAID 50.04 EXPENSES PAID 0.97 SUB TRUST 1255001464
TR TRAN#=000000000000073 TR CD=0 REG=22 PORT=PRIN

20561.94 85,935.66 106497.60

JOHN DEERE CAPITAL CORP INSD FDIC DTD 12/19/08 2.875% 06/19/2012 - 24424DAA7 - MINOR = 30

09/16/10 SOLD 12,000 UNITS JOHN DEERE CAPITAL CORP INSD FDIC DTD 12/ 68.35 12462.36 1009000017
19/08 2.875% 06/19/2012 ON 09/13/2010 AT 103.853 THRU G X C SUB TRUST 1255001455
LARKE AND COMPANY
TR TRAN#=000000000000066 TR CD=0 REG=22 PORT=PRIN

12/10/10 SOLD 12,000 UNITS JOHN DEERE CAPITAL CORP INSD FDIC DTD 12/ 101.81 12422.52 1012000010
19/08 2.875% 06/19/2012 ON 12/07/2010 AT 103.521 THRU CANTOR SUB TRUST 1255001455
CLEARING SERV
TR TRAN#=000000000000037 TR CD=0 REG=22 PORT=PRIN

01/12/11 SOLD 9,000 UNITS JOHN DEERE CAPITAL CORP INSD FDIC DTD 12/1 82.09 9301.68 1101000011
9/08 2.875% 06/19/2012 ON 01/07/2011 AT 103.352 THRU G X CL SUB TRUST 1255001455
ARKE AND COMPANY
TR TRAN#=000000000000063 TR CD=0 REG=22 PORT=PRIN

252.25 33,934.31 34186.56

WALT DISNEY CO COM - 254687106 - MINOR = 31001

05/05/10 SOLD 600 SHS WALT DISNEY CO ON 04/30/2010 AT 37.211 THRU CON 3385.62 22302.22 1005000003
VERGEX COMMISSIONS PAID 24.00 EXPENSES PAID 0.38 SUB TRUST 1255001464
TR TRAN#=000000000000008 TR CD=0 REG=22 PORT=PRIN

06/08/10 SOLD 200 SHS WALT DISNEY CO ON 06/03/2010 AT 34.7854 THRU CO 844.96 6946.96 1006000002
NVERGEX COMMISSIONS PAID 10.00 EXPENSES PAID 0.12 SUB TRUST 1255001464
TR TRAN#=000000000000005 TR CD=0 REG=22 PORT=PRIN

07/02/10 SOLD 800 SHS WALT DISNEY CO ON 06/29/2010 AT 33.1389 THRU CO 2070.67 26478.67 1007000007
NVERGEX COMMISSIONS PAID 32.00 EXPENSES PAID 0.45 SUB TRUST 1255001464
TR TRAN#=000000000000030 TR CD=0 REG=22 PORT=PRIN

08/30/10 SOLD 2,742 SHS WALT DISNEY CO ON 08/25/2010 AT 31.7856 THRU 5612.54 87044.96 1008000010
CONVERGEX COMMISSIONS PAID 109.68 EXPENSES PAID 1.48 SUB TRUST 1255001464
TR TRAN#=000000000000001 TR CD=0 REG=22 PORT=PRIN

11913.79 130,859.02 142772.81

E M C CORP MASS COM - 268648102 - MINOR = 50

05/05/10 SOLD 250 SHS E M C CORP MASS ON 04/30/2010 AT 19.5766 THRU C 137.54 4881.57 1005000014
ONVERGEX COMMISSIONS PAID 12.50 EXPENSES PAID 0.08 SUB TRUST 1255001464
TR TRAN#=000000000000057 TR CD=0 REG=22 PORT=PRIN

05/17/10 SOLD 275 SHS E M C CORP MASS ON 05/12/2010 AT 18.9901 THRU C 292.96 5208.44 1005000035
ONVERGEX COMMISSIONS PAID 13.75 EXPENSES PAID 0.09 SUB TRUST 1255001464
TR TRAN#=000000000000049 TR CD=0 REG=22 PORT=PRIN

06/08/10 SOLD 400 SHS E M C CORP MASS ON 06/03/2010 AT 18.9481 THRU C 511.23 7563.11 1006000009
ONVERGEX COMMISSIONS PAID 16.00 EXPENSES PAID 0.13 SUB TRUST 1255001464
TR TRAN#=000000000000044 TR CD=0 REG=22 PORT=PRIN

07/02/10 SOLD 3,500 SHS E M C CORP MASS ON 06/29/2010 AT 18.7324 THRU 3718.34 65422.29 1007000021
CONVERGEX COMMISSIONS PAID 140.00 EXPENSES PAID 1.11 SUB TRUST 1255001464
TR TRAN#=000000000000127 TR CD=0 REG=22 PORT=PRIN

10/20/10 SOLD 200 SHS E M C CORP MASS ON 10/15/2010 AT 21.35 THRU CON 727.98 4253.92 1010000049
VERGEX COMMISSIONS PAID 16.00 EXPENSES PAID 0.08 SUB TRUST 1255001464
TR TRAN#=000000000000149 TR CD=0 REG=22 PORT=PRIN

11/23/10 SOLD 2,975 SHS E M C CORP MASS ON 11/18/2010 AT 21.5208 THRU 11761.43 63904.29 1011000011
CONVERGEX COMMISSIONS PAID 119.00 EXPENSES PAID 1.09 SUB TRUST 1255001464
TR TRAN#=000000000000043 TR CD=0 REG=22 PORT=PRIN

17149.48 134,084.14 151233.62

EOG RES INC - 26875P101 - MINOR = 50

05/05/10 SOLD 150 SHS EOG RES INC ON 04/30/2010 AT 111.24 THRU CONVER 2749.85 16676.71 1005000006
GEX COMMISSIONS PAID 9.00 EXPENSES PAID 0.29 SUB TRUST 1255001464
TR TRAN#=000000000000020 TR CD=0 REG=22 PORT=PRIN

TAX
CODE/DATE TRANSACTIONS

Gain/(loss)

Cost basis

EDIT
Sales proceeds PER

45 SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)

EOG RES INC - 26875P101 - MINOR = 50 (CONTINUED)

06/08/10	SOLD 100 SHS EOG RES INC ON 06/03/2010 AT 106.9218 THRU CONV ERSEX COMMISSIONS PAID 6 00 EXPENSES PAID 0 18 TR TRAN#-000000000000014 TR CD=0 REG=22 PORT=PRIN	1401 43	10686 00	1006000005 SUB TRUST 1255001464
08/11/10	SOLD 175 SHS EOG RES INC ON 08/06/2010 AT 97 3422 THRU CONVE RGEX COMMISSIONS PAID 8.75 EXPENSES PAID 0 29 TR TRAN#-000000000000008 TR CD=0 REG=22 PORT=PRIN	777 85	17025.85	1008000003 SUB TRUST 1255001464
10/20/10	SOLD 50 SHS EOG RES INC ON 10/15/2010 AT 100 21 THRU CONVERG EX COMMISSIONS PAID 4.00 EXPENSES PAID 0 09 TR TRAN#-000000000000043 TR CD=0 REG=22 PORT=PRIN	364 12	5006 41	1010000015 SUB TRUST 1255001464
11/23/10	SOLD 627 SHS EOG RES INC ON 11/18/2010 AT 90 5421 THRU CONVE RGEX COMMISSIONS PAID 25.08 EXPENSES PAID 0 96 TR TRAN#-000000000000018 TR CD=0 REG=22 PORT=PRIN	-1470 39	56743 86	1011000007 SUB TRUST 1255001464

3822 86

102,315.97

106138.83

EATON CORP - 278058102 - MINOR = 50

05/05/10	SOLD 1,250 SHS EATON CORP ON 04/30/2010 AT 78 3195 THRU CONV ERSEX COMMISSIONS PAID 50 00 EXPENSES PAID 1.66 TR TRAN#-000000000000097 TR CD=0 REG=22 PORT=PRIN	14672.77	97847 72	1005000022 SUB TRUST 1255001464
10/20/10	SOLD 100 SHS EATON CORP ON 10/15/2010 AT 84 86 THRU CONVERGE X COMMISSIONS PAID 8 00 EXPENSES PAID 0.15 TR TRAN#-0000000000000131 TR CD=0 REG=22 PORT=PRIN	819 25	8477 85	1010000043 SUB TRUST 1255001464
12/21/10	SOLD 100 SHS EATON CORP ON 12/16/2010 AT 99 94 THRU ASSENT L LC COMMISSIONS PAID 8 00 EXPENSES PAID 0 17 TR TRAN#-000000000000032 TR CD=0 REG=22 PORT=PRIN	2327.23	9985 83	1012000009 SUB TRUST 1255001464

17819.25

98,492.15

116311.40

EXELON CORP - 30161N101 - MINOR = 50

05/17/10	SOLD 250 SHS EXELON CORP ON 05/12/2010 AT 42 3769 THRU CONVE RGEX COMMISSIONS PAID 15 00 EXPENSES PAID 0 18 TR TRAN#-000000000000062 TR CD=0 REG=22 PORT=PRIN	-1218.45	10579.05	1005000038 SUB TRUST 1255001464
10/20/10	SOLD 200 SHS EXELON CORP ON 10/15/2010 AT 43.28 THRU CONVERG EX COMMISSIONS PAID 16 00 EXPENSES PAID 0.15 TR TRAN#-0000000000000173 TR CD=0 REG=22 PORT=PRIN	-798 15	8639 85	1010000057 SUB TRUST 1255001464
12/21/10	SOLD 1,200 SHS EXELON CORP ON 12/16/2010 AT 40 4686 THRU CON VERGEX COMMISSIONS PAID 48.00 EXPENSES PAID 0 82 TR TRAN#-000000000000041 TR CD=0 REG=22 PORT=PRIN	-8060 04	48513.50	1012000012 SUB TRUST 1255001464
02/18/11	SOLD 1,718 SHS EXELON CORP ON 02/15/2011 AT 41 2813 THRU CON VERGEX COMMISSIONS PAID 68.72 EXPENSES PAID 1 36 TR TRAN#-000000000000053 TR CD=0 REG=22 PORT=PRIN	568.82	19300.56	1102000013 SUB TRUST 1255001464

-9507 82

96,540 78

87032 96

EXXON MOBIL CORP - 30231G102

07/02/10	SOLD 2,400 SHS EXXON MOBIL CORP ON 06/29/2010 AT 58.00 THRU CONVERGEX COMMISSIONS PAID 96.00 EXPENSES PAID 2 36 TR TRAN#-000000000000049 TR CD=0 REG=22 PORT=PRIN	-26522 36	139101 64	1007000010 SUB TRUST 1255001464
I WASH SALE DISALLOWED LOSS \$26522.36 FOR 2400 SHARES (SWT 09/01/10)				

10/20/10	SOLD 200 SHS EXXON MOBIL CORP ON 10/15/2010 AT 65 62 THRU CO NVERGEX COMMISSIONS PAID 16 00 EXPENSES PAID 0 23 TR TRAN#-000000000000046 TR CD=0 REG=22 PORT=PRIN	-694.23	13107 77	1010000016 SUB TRUST 1255001464
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12/21/10	SOLD 100 SHS EXXON MOBIL CORP ON 12/16/2010 AT 71 95 THRU KN IGHT CLEARING SERVICES LLC COMMISSIONS PAID 6 00 EXPENSES PA ID 0 12 TR TRAN#-000000000000004 TR CD=0 REG=22 PORT=PRIN	287 88	7188 88	1012000003 SUB TRUST 1255001464
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03/22/11	SOLD 400 SHS EXXON MOBIL CORP ON 03/17/2011 AT 80 8907 THRU SANFORD C BERNSTEIN AND CO., LLC COMMISSIONS PAID 16 00 EXP ENSES PAID 0 62 TR TRAN#-000000000000037 TR CD=0 REG=22 PORT=PRIN	-660 11	27246 17	1103000012 SUB TRUST 1255001464
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-27588.82

214,233.28

186644.46

F P L GROUP INC - 302571104 - MINOR = 50

05/17/10	SOLD 120 SHS FPL GROUP INC ON 05/12/2010 AT 52 533 THRU CONV ERSEX COMMISSIONS PAID 7 20 EXPENSES PAID 0 11 TR TRAN#-000000000000065 TR CD=0 REG=22 PORT=PRIN	81.54	6296 65	1005000039 SUB TRUST 1255001464
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81 54

6,215.11

6296 65

TAX
CODE/DATE TRANSACTIONS

Gain/(loss)

Cost basis

Sales proceeds

EDIT
NUMBER

45 SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)

FEDERAL HOME LOAN MTG CORP POOL #G04583 DTD 08/01/08 6% 08/01/2038 - 3128M6M88 - MINOR = 35

04/15/10	PAYMENT ON 131,086 01 UNITS FEDERAL HOME LOAN MTG CORP POOL #G04583 DTD 08/01/08 6% 08/01/2038 ORIGINAL FACE VALUE 210,0 00.00 FHLMC PC GOLD COMB 30 TR TRAN# = 0000000000000006 TR CD=999 REG=12 PORT=PRIN	-387 76	5527 14	1004000005
			SUB TRUST	1255001455
05/17/10	PAYMENT ON 125,558 87 UNITS FEDERAL HOME LOAN MTG CORP POOL #G04583 DTD 08/01/08 6% 08/01/2038 ORIGINAL FACE VALUE 210,0 00 00 FHLMC PC GOLD COMB 30 TR TRAN# = 0000000000000006 TR CD=999 REG=12 PORT=PRIN	-175 35	2499.48	1005000013
			SUB TRUST	1255001455
06/15/10	PAYMENT ON 123,059.39 UNITS FEDERAL HOME LOAN MTG CORP POOL #G04583 DTD 08/01/08 6% 08/01/2038 ORIGINAL FACE VALUE 210,0 00.00 FHLMC PC GOLD COMB 30 TR TRAN# = 0000000000000006 TR CD=999 REG=12 PORT=PRIN	-296.09	4220 46	1006000006
			SUB TRUST	1255001455
07/15/10	PAYMENT ON 118,838 93 UNITS FEDERAL HOME LOAN MTG CORP POOL #G04583 DTD 08/01/08 6% 08/01/2038 ORIGINAL FACE VALUE 210,0 00 00 FHLMC PC GOLD COMB 30 TR TRAN# = 0000000000000006 TR CD=999 REG=12 PORT=PRIN	-344 75	4913 99	1007000012
			SUB TRUST	1255001455
08/16/10	PAYMENT ON 113,924 94 UNITS FEDERAL HOME LOAN MTG CORP POOL #G04583 DTD 08/01/08 6% 08/01/2038 ORIGINAL FACE VALUE 210,0 00 00 FHLMC PC GOLD COMB 30 TR TRAN# = 0000000000000006 TR CD=999 REG=12 PORT=PRIN	-345 59	4925 95	1008000007
			SUB TRUST	1255001455
09/15/10	PAYMENT ON 108,998 99 UNITS FEDERAL HOME LOAN MTG CORP POOL #G04583 DTD 08/01/08 6% 08/01/2038 ORIGINAL FACE VALUE 210,0 00 00 TR TRAN# = 0000000000000022 TR CD=999 REG=12 PORT=PRIN	-254 08	3621 62	1009000007
			SUB TRUST	1255001455
09/16/10	SOLD 105,377 28 UNITS FEDERAL HOME LOAN MTG CORP POOL #G0458 3 DTD 08/01/08 6% 08/01/2038 ON 09/13/2010 AT 107 832 THRU N OMURA SECURITIES / FIX INCOME ORIGINAL FACE VALUE 210,000 00 TR TRAN# = 0000000000000136 TR CD=0 REG=12 PORT=PRIN	860 32	113630 46	1009000028
			SUB TRUST	1255001455

-943 30

140,282 40

139339.10

FEDERAL HOME LOAN MTG CORP POOL #G13378 DTD 12/01/2008 5% 12/01/2023 - 3128MB6P7 - MINOR = 35

07/15/10	PAYMENT ON 89,801 53 UNITS FEDERAL HOME LOAN MTG CORP POOL # G13378 DTD 12/01/2008 5% 12/01/2023 ORIGINAL FACE VALUE 149, 000 00 FHLMC PC GOLD COMB 15 TR TRAN# = 0000000000000010 TR CD=999 REG=12 PORT=PRIN	-176.39	2542.62	1007000013
			SUB TRUST	1255001455
08/16/10	PAYMENT ON 87,258.91 UNITS FEDERAL HOME LOAN MTG CORP POOL # G13378 DTD 12/01/2008 5% 12/01/2023 ORIGINAL FACE VALUE 149, 000 00 FHLMC PC GOLD COMB 15 TR TRAN# = 0000000000000010 TR CD=999 REG=12 PORT=PRIN	-203 07	2927.13	1008000008
			SUB TRUST	1255001455
09/15/10	PAYMENT ON 84,331.78 UNITS FEDERAL HOME LOAN MTG CORP POOL # G13378 DTD 12/01/2008 5% 12/01/2023 ORIGINAL FACE VALUE 149, 000.00 FHLMC PC GOLD COMB 15 TR TRAN# = 0000000000000006 TR CD=999 REG=12 PORT=PRIN	-257 51	3711.86	1009000003
			SUB TRUST	1255001455
10/15/10	PAYMENT ON 80,619.92 UNITS FEDERAL HOME LOAN MTG CORP POOL # G13378 DTD 12/01/2008 5% 12/01/2023 ORIGINAL FACE VALUE 149, 000.00 FHLMC PC GOLD COMB 15 TR TRAN# = 0000000000000002 TR CD=999 REG=12 PORT=PRIN	-263 11	3792 58	1010000004
			SUB TRUST	1255001455
11/15/10	PAYMENT ON 76,827.34 UNITS FEDERAL HOME LOAN MTG CORP POOL # G13378 DTD 12/01/2008 5% 12/01/2023 ORIGINAL FACE VALUE 149, 000.00 FHLMC PC GOLD COMB 15 TR TRAN# = 0000000000000002 TR CD=999 REG=12 PORT=PRIN	-297 29	4285 25	1011000006
			SUB TRUST	1255001455
12/15/10	PAYMENT ON 72,542 09 UNITS FEDERAL HOME LOAN MTG CORP POOL # G13378 DTD 12/01/2008 5% 12/01/2023 ORIGINAL FACE VALUE 149, 000.00 FHLMC PC GOLD COMB 15 TR TRAN# = 0000000000000002 TR CD=999 REG=12 PORT=PRIN	-273.59	3943 66	1012000023
			SUB TRUST	1255001455
01/18/11	PAYMENT ON 68,598 43 UNITS FEDERAL HOME LOAN MTG CORP POOL # G13378 DTD 12/01/2008 5% 12/01/2023 ORIGINAL FACE VALUE 149, 000.00 FHLMC PC GOLD COMB 15 TR TRAN# = 0000000000000002 TR CD=999 REG=12 PORT=PRIN	-236 72	3412 12	1101000022
			SUB TRUST	1255001455
02/15/11	PAYMENT ON 65,186.31 UNITS FEDERAL HOME LOAN MTG CORP POOL # G13378 DTD 12/01/2008 5% 12/01/2023 ORIGINAL FACE VALUE 149, 000 00 FHLMC PC GOLD COMB 15 TR TRAN# = 0000000000000002 TR CD=999 REG=12 PORT=PRIN	-182.37	2628 82	1102000010
			SUB TRUST	1255001455
03/15/11	PAYMENT ON 62,557 49 UNITS FEDERAL HOME LOAN MTG CORP POOL # G13378 DTD 12/01/2008 5% 12/01/2023 ORIGINAL FACE VALUE 149, 000 00 FHLMC PC GOLD COMB 15 TR TRAN# = 0000000000000002 TR CD=999 REG=12 PORT=PRIN	-157 00	2263 06	1103000003
			SUB TRUST	1255001455

-2047 05

31,554 15

29507 10

FEDERAL HOME LOAN MTG CORP POOL #G13220 DTD 06/01/08 5% 07/01/2023 - 3128MBYR2 - MINOR = 35

TAX
CODE/DATE TRANSACTIONS

Gain/(loss)

Cost basis

Sales proceeds

EDIT
NUMBER

45 SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)

FEDERAL HOME LOAN MTG CORP POOL #G13220 DTD 06/01/08 5% 07/01/2023 - 3128MBYR2 - MINOR = 35 (CONTINUED)

06/15/10	PAYMENT ON 85,078.58 UNITS FEDERAL HOME LOAN MTG CORP POOL # G13220 DTD 06/01/08 5% 07/01/2023 ORIGINAL FACE VALUE 139,000.00 FHLMC PC GOLD COMB 15 TR TRAN#-000000000000014 TR CD=999 REG=12 PORT=PRIN	-110.31	1829.05	1006000008	SUB TRUST 1255001455
07/15/10	PAYMENT ON 83,249.53 UNITS FEDERAL HOME LOAN MTG CORP POOL # G13220 DTD 06/01/08 5% 07/01/2023 ORIGINAL FACE VALUE 139,000.00 FHLMC PC GOLD COMB 15 TR TRAN#-000000000000018 TR CD=999 REG=12 PORT=PRIN	-143.64	2381.59	1007000015	SUB TRUST 1255001455
08/16/10	PAYMENT ON 80,867.94 UNITS FEDERAL HOME LOAN MTG CORP POOL # G13220 DTD 06/01/08 5% 07/01/2023 ORIGINAL FACE VALUE 139,000.00 FHLMC PC GOLD COMB 15 TR TRAN#-000000000000018 TR CD=999 REG=12 PORT=PRIN	-149.86	2484.74	1008000010	SUB TRUST 1255001455
09/15/10	PAYMENT ON 78,383.2 UNITS FEDERAL HOME LOAN MTG CORP POOL #G13220 DTD 06/01/08 5% 07/01/2023 ORIGINAL FACE VALUE 139,000.00 FHLMC PC GOLD COMB 15 TR TRAN#-000000000000014 TR CD=999 REG=12 PORT=PRIN	-228.38	3786.67	1009000005	SUB TRUST 1255001455
10/15/10	PAYMENT ON 74,596.53 UNITS FEDERAL HOME LOAN MTG CORP POOL # G13220 DTD 06/01/08 5% 07/01/2023 ORIGINAL FACE VALUE 139,000.00 FHLMC PC GOLD COMB 15 TR TRAN#-000000000000010 TR CD=999 REG=12 PORT=PRIN	-201.40	3339.22	1010000006	SUB TRUST 1255001455
11/15/10	PAYMENT ON 71,257.31 UNITS FEDERAL HOME LOAN MTG CORP POOL # G13220 DTD 06/01/08 5% 07/01/2023 ORIGINAL FACE VALUE 139,000.00 FHLMC PC GOLD COMB 15 TR TRAN#-000000000000010 TR CD=999 REG=12 PORT=PRIN	-220.79	3660.78	1011000008	SUB TRUST 1255001455
12/15/10	PAYMENT ON 67,596.53 UNITS FEDERAL HOME LOAN MTG CORP POOL # G13220 DTD 06/01/08 5% 07/01/2023 ORIGINAL FACE VALUE 139,000.00 FHLMC PC GOLD COMB 15 TR TRAN#-000000000000010 TR CD=999 REG=12 PORT=PRIN	-252.95	4193.93	1012000025	SUB TRUST 1255001455
01/18/11	PAYMENT ON 63,402.6 UNITS FEDERAL HOME LOAN MTG CORP POOL #G13220 DTD 06/01/08 5% 07/01/2023 ORIGINAL FACE VALUE 139,000.00 FHLMC PC GOLD COMB 15 TR TRAN#-000000000000010 TR CD=999 REG=12 PORT=PRIN	-209.37	3471.36	1101000024	SUB TRUST 1255001455
02/15/11	PAYMENT ON 59,931.24 UNITS FEDERAL HOME LOAN MTG CORP POOL # G13220 DTD 06/01/08 5% 07/01/2023 ORIGINAL FACE VALUE 139,000.00 FHLMC PC GOLD COMB 15 TR TRAN#-000000000000010 TR CD=999 REG=12 PORT=PRIN	-124.25	2060.03	1102000012	SUB TRUST 1255001455
03/15/11	PAYMENT ON 57,871.21 UNITS FEDERAL HOME LOAN MTG CORP POOL # G13220 DTD 06/01/08 5% 07/01/2023 ORIGINAL FACE VALUE 139,000.00 FHLMC PC GOLD COMB 15 TR TRAN#-000000000000010 TR CD=999 REG=12 PORT=PRIN	-99.59	1651.31	1103000005	SUB TRUST 1255001455

-1740.54

30,599.22

28858.68

FEDERAL HOME LOAN MORTGAGE CORP POOL #G13444 DTD 01/01/2009 5% 12/01/2021 - 3128MCBM6 - MINOR = 35

04/15/10	PAYMENT ON 319,304.74 UNITS FEDERAL HOME LOAN MORTGAGE CORP POOL #G13444 DTD 01/01/2009 5% 12/01/2021 ORIGINAL FACE VALU E 440,000.00 FHLMC PC GOLD COMB 15 TR TRAN#-000000000000014 TR CD=999 REG=12 PORT=PRIN	-537.29	9121.19	1004000007	SUB TRUST 1255001455
05/17/10	PAYMENT ON 310,183.55 UNITS FEDERAL HOME LOAN MORTGAGE CORP POOL #G13444 DTD 01/01/2009 5% 12/01/2021 ORIGINAL FACE VALU E 440,000.00 FHLMC PC GOLD COMB 15 TR TRAN#-000000000000014 TR CD=999 REG=12 PORT=PRIN	-487.81	8281.16	1005000015	SUB TRUST 1255001455
06/15/10	PAYMENT ON 301,902.39 UNITS FEDERAL HOME LOAN MORTGAGE CORP POOL #G13444 DTD 01/01/2009 5% 12/01/2021 ORIGINAL FACE VALU E 440,000.00 FHLMC PC GOLD COMB 15 TR TRAN#-000000000000018 TR CD=999 REG=12 PORT=PRIN	-337.01	5721.06	1006000009	SUB TRUST 1255001455
07/15/10	PAYMENT ON 296,181.33 UNITS FEDERAL HOME LOAN MORTGAGE CORP POOL #G13444 DTD 01/01/2009 5% 12/01/2021 ORIGINAL FACE VALU E 440,000.00 FHLMC PC GOLD COMB 15 TR TRAN#-000000000000022 TR CD=999 REG=12 PORT=PRIN	-440.82	7483.35	1007000016	SUB TRUST 1255001455
08/16/10	PAYMENT ON 288,697.98 UNITS FEDERAL HOME LOAN MORTGAGE CORP POOL #G13444 DTD 01/01/2009 5% 12/01/2021 ORIGINAL FACE VALU E 440,000.00 FHLMC PC GOLD COMB 15 TR TRAN#-000000000000022 TR CD=999 REG=12 PORT=PRIN	-409.85	6957.69	1008000011	SUB TRUST 1255001455
09/15/10	PAYMENT ON 281,740.29 UNITS FEDERAL HOME LOAN MORTGAGE CORP POOL #G13444 DTD 01/01/2009 5% 12/01/2021 ORIGINAL FACE VALU E 440,000.00 FHLMC PC GOLD COMB 15 TR TRAN#-000000000000018 TR CD=999 REG=12 PORT=PRIN	-629.66	10689.27	1009000006	SUB TRUST 1255001455

TAX
CODE/DATE TRANSACTIONS

Gain/(loss)

Cost basis

Sales proceeds

EDIT
FBER

45 SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)

FEDERAL HOME LOAN MORTGAGE CORP POOL #G13444 DTD 01/01/2009 5% 12/01/2021 - 3128MCBM6 - MINOR = 35 (CONTINUED)

10/15/10 PAYMENT ON 271,051.02 UNITS FEDERAL HOME LOAN MORTGAGE CORP -515.79 8756.13 1010000007
POOL #G13444 DTD 01/01/2009 5% 12/01/2021 ORIGINAL FACE VALU SUB TRUST 1255001455
E 440,000.00 FHLPC PC GOLD COMB 15
TR TRAN#-0000000000000014 TR CD=999 REG=12 PORT=PRIN

11/15/10 PAYMENT ON 262,294.89 UNITS FEDERAL HOME LOAN MORTGAGE CORP -501.73 8517.49 1011000009
POOL #G13444 DTD 01/01/2009 5% 12/01/2021 ORIGINAL FACE VALU SUB TRUST 1255001455
E 440,000.00 FHLPC PC GOLD COMB 15
TR TRAN#-0000000000000014 TR CD=999 REG=12 PORT=PRIN

12/15/10 PAYMENT ON 253,777.4 UNITS FEDERAL HOME LOAN MORTGAGE CORP P -519.99 8827.33 1012000026
OOL #G13444 DTD 01/01/2009 5% 12/01/2021 ORIGINAL FACE VALUE SUB TRUST 1255001455
440,000.00 FHLPC PC GOLD COMB 15
TR TRAN#-0000000000000014 TR CD=999 REG=12 PORT=PRIN

-4379.95

78,734.62

74354.67

FEDERAL HOME LOAN MORTGAGE CORP POOL #G13888 DTD 08/01/2010 5% 06/01/2025 - 3128MCRH0 - MINOR = 35

01/18/11 PAYMENT ON 35,173.6 UNITS FEDERAL HOME LOAN MORTGAGE CORP PO -104.61 1699.21 1101000026
OL #G13888 DTD 08/01/2010 5% 06/01/2025 ORIGINAL FACE VALUE SUB TRUST 1255001455
44,000.00 FHLPC PC GOLD COMB 15
TR TRAN#-0000000000000018 TR CD=999 REG=12 PORT=PRIN

02/15/11 PAYMENT ON 33,474.39 UNITS FEDERAL HOME LOAN MORTGAGE CORP P -103.32 1678.38 1102000014
OOL #G13888 DTD 08/01/2010 5% 06/01/2025 ORIGINAL FACE VALUE SUB TRUST 1255001455
44,000.00 FHLPC PC GOLD COMB 15
TR TRAN#-0000000000000018 TR CD=999 REG=12 PORT=PRIN

03/15/11 PAYMENT ON 31,796.01 UNITS FEDERAL HOME LOAN MORTGAGE CORP P -80.65 1310.08 1103000007
OOL #G13888 DTD 08/01/2010 5% 06/01/2025 ORIGINAL FACE VALUE SUB TRUST 1255001455
44,000.00 FHLPC PC GOLD COMB 15
TR TRAN#-0000000000000018 TR CD=999 REG=12 PORT=PRIN

-288.58

4,976.25

4687.67

FEDERAL HOME LOAN MORTGAGE CORP 5 75% DTD 1/14/02 DUE 1/15/2012 - 3134A4JT2 - MINOR = 30

09/16/10 SOLD 20,000 UNITS FEDERAL HOME LOAN MORTGAGE CORP 5 75% 01/1 70.79 21385.80 1009000023
5/2012 ON 09/13/2010 AT 106.929 THRU CANTOR CLEARING SERV SUB TRUST 1255001455
TR TRAN#-0000000000000109 TR CD=0 REG=12 PORT=PRIN

01/12/11 SOLD 11,000 UNITS FEDERAL HOME LOAN MORTGAGE CORP 5 75% 01/1 48.73 11593.12 1101000019
5/2012 ON 01/07/2011 AT 105.392 THRU G.X. CLARKE AND COMPANY SUB TRUST 1255001455
TR TRAN#-0000000000000112 TR CD=0 REG=12 PORT=PRIN

119.52

32,859.40

32978.92

FEDERAL NATIONAL MORTGAGE ASSN POOL #190370 DTD 05/01/2006 6% 06/01/2036 - 31368HMT7 - MINOR = 35

03/25/11 PAYMENT ON 92,364.39 UNITS FEDERAL NATIONAL MORTGAGE ASSN PO -167.18 1931.35 1103000009
OL #190370 DTD 05/01/2006 6% 06/01/2036 ORIGINAL FACE VALUE SUB TRUST 1255001455
260,000.00 FNMA PASS-THRU LNG 30 YEAR
TR TRAN#-0000000000000002 TR CD=999 REG=12 PORT=PRIN

-167.18

2,098.53

1931.35

FEDERAL NATIONAL MORTGAGE ASSN POOL #555549 15-YR 5% 06/01/2018 - 31385XEW3 - MINOR = 35

04/26/10 PAYMENT ON 27,644.25 UNITS FEDERAL NATIONAL MORTGAGE ASSN PO -32.52 533.69 1004000011
OL #555549 15-YR 5% 06/01/2018 ORIGINAL FACE VALUE 115,000.0 SUB TRUST 1255001455
0 FNMA PASS-THRU INT 15 YEAR
TR TRAN#-0000000000000002 TR CD=999 REG=12 PORT=PRIN

05/25/10 PAYMENT ON 27,110.56 UNITS FEDERAL NATIONAL MORTGAGE ASSN PO -37.29 611.99 1005000020
OL #555549 15-YR 5% 06/01/2018 ORIGINAL FACE VALUE 115,000.0 SUB TRUST 1255001455
0 FNMA PASS-THRU INT 15 YEAR
TR TRAN#-0000000000000002 TR CD=999 REG=12 PORT=PRIN

06/25/10 PAYMENT ON 26,498.57 UNITS FEDERAL NATIONAL MORTGAGE ASSN PO -43.52 714.23 1006000012
OL #555549 15-YR 5% 06/01/2018 ORIGINAL FACE VALUE 115,000.0 SUB TRUST 1255001455
0 FNMA PASS-THRU INT 15 YEAR
TR TRAN#-0000000000000002 TR CD=999 REG=12 PORT=PRIN

07/26/10 PAYMENT ON 25,784.34 UNITS FEDERAL NATIONAL MORTGAGE ASSN PO -40.57 665.77 1007000019
OL #555549 15-YR 5% 06/01/2018 ORIGINAL FACE VALUE 115,000.0 SUB TRUST 1255001455
0 FNMA PASS-THRU INT 15 YEAR
TR TRAN#-0000000000000002 TR CD=999 REG=12 PORT=PRIN

08/25/10 PAYMENT ON 25,118.57 UNITS FEDERAL NATIONAL MORTGAGE ASSN PO -35.69 585.71 1008000013
OL #555549 15-YR 5% 06/01/2018 ORIGINAL FACE VALUE 115,000.0 SUB TRUST 1255001455
0 FNMA PASS-THRU INT 15 YEAR
TR TRAN#-0000000000000002 TR CD=999 REG=12 PORT=PRIN

TAX
CODE/DATE TRANSACTIONS

Gain/(loss)

Cost basis

Sales proceeds

EDIT
BER

45 SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)

FEDERAL NATIONAL MORTGAGE ASSN POOL #555549 15-YR 5% 06/01/2018 - 31385XEW3 - MINOR = 35 (CONTINUED)

09/27/10	PAYMENT ON 24,532.86 UNITS FEDERAL NATIONAL MORTGAGE ASSN PO OL #555549 15-YR 5% 06/01/2018 ORIGINAL FACE VALUE 115,000.00 0 FNMA PASS-THRU INT 15 YEAR TR TRAN#-0000000000000002 TR CD=999 REG=12 PORT=PRIN	-38.91	638.59	1009000034
			SUB TRUST	1255001455
10/25/10	PAYMENT ON 23,894.27 UNITS FEDERAL NATIONAL MORTGAGE ASSN PO OL #555549 15-YR 5% 06/01/2018 ORIGINAL FACE VALUE 115,000.00 0 FNMA PASS-THRU INT 15 YEAR TR TRAN#-0000000000000002 TR CD=999 REG=12 PORT=PRIN	-41.15	675.31	1010000009
			SUB TRUST	1255001455
11/26/10	PAYMENT ON 23,218.96 UNITS FEDERAL NATIONAL MORTGAGE ASSN PO OL #555549 15-YR 5% 06/01/2018 ORIGINAL FACE VALUE 115,000.00 0 FNMA PASS-THRU INT 15 YEAR TR TRAN#-0000000000000002 TR CD=999 REG=12 PORT=PRIN	-39.10	641.63	1011000018
			SUB TRUST	1255001455
12/27/10	PAYMENT ON 22,577.33 UNITS FEDERAL NATIONAL MORTGAGE ASSN PO OL #555549 15-YR 5% 06/01/2018 ORIGINAL FACE VALUE 115,000.00 0 FNMA PASS-THRU INT 15 YEAR TR TRAN#-0000000000000002 TR CD=999 REG=12 PORT=PRIN	-40.15	658.92	1012000031
			SUB TRUST	1255001455

-348.90

6,074.74

5725.84

FANNIE MAE DTD 03/07/08 2.75% 04/11/2011 - 31398APG1 - MINOR = 30

09/16/10	SOLD 10,000 UNITS FANNIE MAE DTD 03/07/08 2.75% 04/11/2011 O N 09/13/2010 AT 101.391 THRU CANTOR CLEARING SERV TR TRAN#-0000000000000142 TR CD=0 REG=12 PORT=PRIN	10.58	10139.10	1009000029
			SUB TRUST	1255001455
12/10/10	SOLD 19,000 UNITS FANNIE MAE DTD 03/07/08 2.75% 04/11/2011 O N 12/07/2010 AT 100.838 THRU CANTOR CLEARING SERV TR TRAN#-0000000000000069 TR CD=0 REG=12 PORT=PRIN	-56.04	19159.22	1012000015
			SUB TRUST	1255001455
01/12/11	SOLD 18,000 UNITS FANNIE MAE DTD 03/07/08 2.75% 04/11/2011 O N 01/07/2011 AT 100.625 THRU G X CLARKE AND COMPANY TR TRAN#-0000000000000105 TR CD=0 REG=12 PORT=PRIN	-54.62	18112.50	1101000018
			SUB TRUST	1255001455

-100.08

47,510.90

47410.82

FEDERAL NATIONAL MORTGAGE ASSN POOL #745950 DTD 10/01/2006 6% 11/01/2036 - 31403DWK1 - MINOR = 35

04/26/10	PAYMENT ON 179,847.47 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #745950 DTD 10/01/2006 6% 11/01/2036 ORIGINAL FACE VALUE 366,000.00 FNMA PASS-THRU LNG 30 YEAR TR TRAN#-0000000000000010 TR CD=999 REG=12 PORT=PRIN	-401.92	5832.88	1004000013
			SUB TRUST	1255001455
05/25/10	PAYMENT ON 174,014.59 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #745950 DTD 10/01/2006 6% 11/01/2036 ORIGINAL FACE VALUE 366,000.00 FNMA PASS-THRU LNG 30 YEAR TR TRAN#-0000000000000010 TR CD=999 REG=12 PORT=PRIN	-1525.67	22141.28	1005000022
			SUB TRUST	1255001455
06/25/10	PAYMENT ON 151,873.31 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #745950 DTD 10/01/2006 6% 11/01/2036 ORIGINAL FACE VALUE 366,000.00 FNMA PASS-THRU LNG 30 YEAR TR TRAN#-0000000000000010 TR CD=999 REG=12 PORT=PRIN	-307.70	4465.49	1006000014
			SUB TRUST	1255001455
07/26/10	PAYMENT ON 147,407.82 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #745950 DTD 10/01/2006 6% 11/01/2036 ORIGINAL FACE VALUE 366,000.00 FNMA PASS-THRU LNG 30 YEAR TR TRAN#-0000000000000010 TR CD=999 REG=12 PORT=PRIN	-273.35	3966.92	1007000021
			SUB TRUST	1255001455
08/25/10	PAYMENT ON 143,440.9 UNITS FEDERAL NATIONAL MORTGAGE ASSN PO OL #745950 DTD 10/01/2006 6% 11/01/2036 ORIGINAL FACE VALUE 366,000.00 FNMA PASS-THRU LNG 30 YEAR TR TRAN#-0000000000000030 TR CD=999 REG=12 PORT=PRIN	-238.39	3459.57	1008000018
			SUB TRUST	1255001455
09/27/10	PAYMENT ON 139,981.33 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #745950 DTD 10/01/2006 6% 11/01/2036 ORIGINAL FACE VALUE 366,000.00 FNMA PASS-THRU LNG 30 YEAR TR TRAN#-0000000000000028 TR CD=999 REG=12 PORT=PRIN	-252.93	3670.70	1009000040
			SUB TRUST	1255001455
10/25/10	PAYMENT ON 136,310.63 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #745950 DTD 10/01/2006 6% 11/01/2036 ORIGINAL FACE VALUE 366,000.00 FNMA PASS-THRU LNG 30 YEAR TR TRAN#-0000000000000010 TR CD=999 REG=12 PORT=PRIN	-220.88	3205.49	1010000011
			SUB TRUST	1255001455
11/26/10	PAYMENT ON 133,105.14 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #745950 DTD 10/01/2006 6% 11/01/2036 ORIGINAL FACE VALUE 366,000.00 FNMA PASS-THRU LNG 30 YEAR TR TRAN#-0000000000000010 TR CD=999 REG=12 PORT=PRIN	-286.96	4164.45	1011000020
			SUB TRUST	1255001455
12/27/10	PAYMENT ON 128,940.69 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #745950 DTD 10/01/2006 6% 11/01/2036 ORIGINAL FACE VALUE 366,000.00 FNMA PASS-THRU LNG 30 YEAR TR TRAN#-0000000000000010 TR CD=999 REG=12 PORT=PRIN	-244.03	3541.53	1012000033
			SUB TRUST	1255001455

-3751.83

58,200.14

54448.31

TAX
CODE/DATE TRANSACTIONS

Gain/(loss)

Cost basis

Sales proceeds

EDIT
ABER

45 SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)

TAX CODE/DATE TRANSACTIONS	Gain/(loss)	Cost basis	Sales proceeds
FEDERAL NATIONAL MORTGAGE ASSN POOL #852932 DTD 04/01/2006 5% 04/01/2021 - 31408JSV4 - MINOR = 35			
02/25/11 PAYMENT ON 21,549 23 UNITS FEDERAL NATIONAL MORTGAGE ASSN PO OL #852932 DTD 04/01/2006 5% 04/01/2021 ORIGINAL FACE VALUE 73,000 00 FNMA PASS-THRU INT 15 YEAR TR TRAN#-0000000000000014 TR CD=999 REG=12 PORT=PRIN	-39 92		565 19 1102000019 SUB TRUST 1255001455
03/25/11 PAYMENT ON 20,984 04 UNITS FEDERAL NATIONAL MORTGAGE ASSN PO OL #852932 DTD 04/01/2006 5% 04/01/2021 ORIGINAL FACE VALUE 73,000 00 FNMA PASS-THRU INT 15 YEAR TR TRAN#-0000000000000018 TR CD=999 REG=12 PORT=PRIN	-43 40		614 49 1103000013 SUB TRUST 1255001455
	-83 32	1,263 00	1179.68
FEDERAL NATIONAL MORTGAGE ASSN POOL #865116 DTD 01/01/2006 6% 02/01/2036 - 31409ADV8 - MINOR = 35			
10/25/10 PAYMENT ON 64,487 83 UNITS FEDERAL NATIONAL MORTGAGE ASSN PO OL #865116 DTD 01/01/2006 6% 02/01/2036 ORIGINAL FACE VALUE 198,000 00 FNMA PASS-THRU LNG 30 YEAR TR TRAN#-0000000000000014 TR CD=999 REG=12 PORT=PRIN	-157 76		1822 45 1010000012 SUB TRUST 1255001455
11/26/10 PAYMENT ON 62,665 38 UNITS FEDERAL NATIONAL MORTGAGE ASSN PO OL #865116 DTD 01/01/2006 6% 02/01/2036 ORIGINAL FACE VALUE 198,000 00 FNMA PASS-THRU LNG 30 YEAR TR TRAN#-0000000000000014 TR CD=999 REG=12 PORT=PRIN	-217 05		2507 50 1011000021 SUB TRUST 1255001455
12/27/10 PAYMENT ON 60,157 88 UNITS FEDERAL NATIONAL MORTGAGE ASSN PO OL #865116 DTD 01/01/2006 6% 02/01/2036 ORIGINAL FACE VALUE 198,000 00 FNMA PASS-THRU LNG 30 YEAR TR TRAN#-0000000000000014 TR CD=999 REG=12 PORT=PRIN	-178 88		2066 44 1012000034 SUB TRUST 1255001455
01/25/11 PAYMENT ON 58,091 44 UNITS FEDERAL NATIONAL MORTGAGE ASSN PO OL #865116 DTD 01/01/2006 6% 02/01/2036 ORIGINAL FACE VALUE 198,000 00 FNMA PASS-THRU LNG 30 YEAR TR TRAN#-0000000000000014 TR CD=999 REG=12 PORT=PRIN	-100 20		1157 59 1101000032 SUB TRUST 1255001455
02/25/11 PAYMENT ON 56,933 85 UNITS FEDERAL NATIONAL MORTGAGE ASSN PO OL #865116 DTD 01/01/2006 6% 02/01/2036 ORIGINAL FACE VALUE 198,000 00 FNMA PASS-THRU LNG 30 YEAR TR TRAN#-0000000000000018 TR CD=999 REG=12 PORT=PRIN	-161 58		1866.64 1102000020 SUB TRUST 1255001455
03/25/11 PAYMENT ON 55,067.21 UNITS FEDERAL NATIONAL MORTGAGE ASSN PO OL #865116 DTD 01/01/2006 6% 02/01/2036 ORIGINAL FACE VALUE 198,000 00 FNMA PASS-THRU LNG 30 YEAR TR TRAN#-0000000000000022 TR CD=999 REG=12 PORT=PRIN	-42.80		494 42 1103000014 SUB TRUST 1255001455
	-858.27	10,773.31	9915 04
FEDERAL NATIONAL MORTGAGE ASSN POOL #889290 DTD 03/01/2008 5% 04/01/2023 - 31410G7B3 - MINOR = 35			
08/25/10 PAYMENT ON 46,413 48 UNITS FEDERAL NATIONAL MORTGAGE ASSN PO OL #889290 DTD 03/01/2008 5% 04/01/2023 ORIGINAL FACE VALUE 89,000 00 FNMA PASS-THRU INT 15 YEAR TR TRAN#-0000000000000014 TR CD=999 REG=12 PORT=PRIN	-103 30		1495.81 1008000015 SUB TRUST 1255001455
09/27/10 PAYMENT ON 44,917.67 UNITS FEDERAL NATIONAL MORTGAGE ASSN PO OL #889290 DTD 03/01/2008 5% 04/01/2023 ORIGINAL FACE VALUE 89,000 00 FNMA PASS-THRU INT 15 YEAR TR TRAN#-0000000000000010 TR CD=999 REG=12 PORT=PRIN	-90 69		1313 20 1009000036 SUB TRUST 1255001455
10/25/10 PAYMENT ON 43,604.47 UNITS FEDERAL NATIONAL MORTGAGE ASSN PO OL #889290 DTD 03/01/2008 5% 04/01/2023 ORIGINAL FACE VALUE 89,000 00 FNMA PASS-THRU INT 15 YEAR TR TRAN#-0000000000000018 TR CD=999 REG=12 PORT=PRIN	-81 14		1174 86 1010000013 SUB TRUST 1255001455
11/26/10 PAYMENT ON 42,429 61 UNITS FEDERAL NATIONAL MORTGAGE ASSN PO OL #889290 DTD 03/01/2008 5% 04/01/2023 ORIGINAL FACE VALUE 89,000 00 FNMA PASS-THRU INT 15 YEAR TR TRAN#-0000000000000018 TR CD=999 REG=12 PORT=PRIN	-143 82		2082 43 1011000022 SUB TRUST 1255001455
12/27/10 PAYMENT ON 40,347.18 UNITS FEDERAL NATIONAL MORTGAGE ASSN PO OL #889290 DTD 03/01/2008 5% 04/01/2023 ORIGINAL FACE VALUE 89,000 00 FNMA PASS-THRU INT 15 YEAR TR TRAN#-0000000000000018 TR CD=999 REG=12 PORT=PRIN	-145 57		2107.76 1012000035 SUB TRUST 1255001455
01/25/11 PAYMENT ON 38,239 42 UNITS FEDERAL NATIONAL MORTGAGE ASSN PO OL #889290 DTD 03/01/2008 5% 04/01/2023 ORIGINAL FACE VALUE 89,000 00 FNMA PASS-THRU INT 15 YEAR TR TRAN#-0000000000000018 TR CD=999 REG=12 PORT=PRIN	-104 82		1517 77 1101000033 SUB TRUST 1255001455
02/25/11 PAYMENT ON 36,721.65 UNITS FEDERAL NATIONAL MORTGAGE ASSN PO OL #889290 DTD 03/01/2008 5% 04/01/2023 ORIGINAL FACE VALUE 89,000 00 FNMA PASS-THRU INT 15 YEAR TR TRAN#-0000000000000022 TR CD=999 REG=12 PORT=PRIN	-107 67		1558 99 1102000021 SUB TRUST 1255001455
03/25/11 PAYMENT ON 35,162.66 UNITS FEDERAL NATIONAL MORTGAGE ASSN PO OL #889290 DTD 03/01/2008 5% 04/01/2023 ORIGINAL FACE VALUE 89,000 00 FNMA PASS-THRU INT 15 YEAR TR TRAN#-0000000000000026 TR CD=999 REG=12 PORT=PRIN	-101 18		1465 03 1103000015 SUB TRUST 1255001455

TAX
CODE/DATE TRANSACTIONS

Gain/(loss)

Cost basis

Sales proceeds

EDIT
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45 SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)

FEDERAL NATIONAL MORTGAGE ASSN POOL #889290 DTD 03/01/2008 5% 04/01/2023 - 31410G7B3 - MINOR = 35 (CONTINUED)

-878.19

13,594.04

12715.85

FEDERAL NATIONAL MORTGAGE ASSN POOL #946351 DTD 08/01/2007 6% 09/01/2037 - 31413HZQ4 - MINOR = 35

12/27/10 PAYMENT ON 54,955.91 UNITS FEDERAL NATIONAL MORTGAGE ASSN PO
OL #946351 DTD 08/01/2007 6% 09/01/2037 ORIGINAL FACE VALUE -93.36 1023.17 1012000037
157,000.00 FNMA PASS-THRU LNG 30 YEAR SUB TRUST 1255001455
TR TRAN#-000000000000026 TR CD=999 REG=12 PORT=PRIN

01/25/11 PAYMENT ON 53,932.74 UNITS FEDERAL NATIONAL MORTGAGE ASSN PO
OL #946351 DTD 08/01/2007 6% 09/01/2037 ORIGINAL FACE VALUE -175.41 1922.26 1101000035
157,000.00 FNMA PASS-THRU LNG 30 YEAR SUB TRUST 1255001455
TR TRAN#-000000000000026 TR CD=999 REG=12 PORT=PRIN

02/25/11 PAYMENT ON 52,010.48 UNITS FEDERAL NATIONAL MORTGAGE ASSN PO
OL #946351 DTD 08/01/2007 6% 09/01/2037 ORIGINAL FACE VALUE -114.01 1249.39 1102000023
157,000.00 FNMA PASS-THRU LNG 30 YEAR SUB TRUST 1255001455
TR TRAN#-000000000000030 TR CD=999 REG=12 PORT=PRIN

03/25/11 PAYMENT ON 50,761.09 UNITS FEDERAL NATIONAL MORTGAGE ASSN PO
OL #946351 DTD 08/01/2007 6% 09/01/2037 ORIGINAL FACE VALUE -64.44 706.23 1103000017
157,000.00 FNMA PASS-THRU LNG 30 YEAR SUB TRUST 1255001455
TR TRAN#-000000000000034 TR CD=999 REG=12 PORT=PRIN

-447.22

5,348.27

4901.05

FEDERAL NATIONAL MORTGAGE ASSN POOL #AE0103 DTD 06/01/10 6% 05/01/2039 - 31419ADH7 - MINOR = 35

09/27/10 PAYMENT ON 189,107.26 UNITS FEDERAL NATIONAL MORTGAGE ASSN P
OOL #AE0103 DTD 06/01/10 6% 05/01/2039 ORIGINAL FACE VALUE 2 -489.88 5558.95 1009000039
00,000.00 FNMA PASS-THRU LNG 30 YEAR SUB TRUST 1255001455
TR TRAN#-000000000000022 TR CD=999 REG=12 PORT=PRIN

10/25/10 PAYMENT ON 183,548.31 UNITS FEDERAL NATIONAL MORTGAGE ASSN P
OOL #AE0103 DTD 06/01/10 6% 05/01/2039 ORIGINAL FACE VALUE 2 -510.70 5795.18 1010000016
00,000.00 FNMA PASS-THRU LNG 30 YEAR SUB TRUST 1255001455
TR TRAN#-000000000000030 TR CD=999 REG=12 PORT=PRIN

11/26/10 PAYMENT ON 177,753.13 UNITS FEDERAL NATIONAL MORTGAGE ASSN P
OOL #AE0103 DTD 06/01/10 6% 05/01/2039 ORIGINAL FACE VALUE 2 -480.94 5457.49 1011000025
00,000.00 FNMA PASS-THRU LNG 30 YEAR SUB TRUST 1255001455
TR TRAN#-000000000000030 TR CD=999 REG=12 PORT=PRIN

12/27/10 PAYMENT ON 172,295.64 UNITS FEDERAL NATIONAL MORTGAGE ASSN P
OOL #AE0103 DTD 06/01/10 6% 05/01/2039 ORIGINAL FACE VALUE 2 -451.20 5119.95 1012000039
00,000.00 FNMA PASS-THRU LNG 30 YEAR SUB TRUST 1255001455
TR TRAN#-000000000000034 TR CD=999 REG=12 PORT=PRIN

01/25/11 PAYMENT ON 167,175.69 UNITS FEDERAL NATIONAL MORTGAGE ASSN P
OOL #AE0103 DTD 06/01/10 6% 05/01/2039 ORIGINAL FACE VALUE 2 -463.10 5254.99 1101000037
00,000.00 FNMA PASS-THRU LNG 30 YEAR SUB TRUST 1255001455
TR TRAN#-000000000000034 TR CD=999 REG=12 PORT=PRIN

02/25/11 PAYMENT ON 161,920.7 UNITS FEDERAL NATIONAL MORTGAGE ASSN PO
OL #AE0103 DTD 06/01/10 6% 05/01/2039 ORIGINAL FACE VALUE 20 -365.92 4152.28 1102000025
0,000.00 FNMA PASS-THRU LNG 30 YEAR SUB TRUST 1255001455
TR TRAN#-000000000000038 TR CD=999 REG=12 PORT=PRIN

03/25/11 PAYMENT ON 157,768.42 UNITS FEDERAL NATIONAL MORTGAGE ASSN P
OOL #AE0103 DTD 06/01/10 6% 05/01/2039 ORIGINAL FACE VALUE 2 -380.72 4320.26 1103000019
00,000.00 FNMA PASS-THRU LNG 30 YEAR SUB TRUST 1255001455
TR TRAN#-000000000000042 TR CD=999 REG=12 PORT=PRIN

-3142.46

38,801.56

35659.10

FIRSTENERGY CORP COM - 337932107 - MINOR = 50

05/05/10 SOLD 2,706 SHS FIRSTENERGY CORP ON 04/30/2010 AT 37.5953 THRU
U CONVERGEX COMMISSIONS PAID 108.24 EXPENSES PAID 1.72 -18062.32 101622.92 1005000024
TR TRAN#-0000000000000107 TR CD=0 REG=22 PORT=PRIN SUB TRUST 1255001464

-18062.32

119,685.24

101622.92

FRONTIER COMMUNICATIONS CORP - 35906A108 - MINOR = 50

07/20/10 SOLD 1,015 SHS FRONTIER COMMUNICATIONS CORP ON 07/15/2010 AT
7.3502 THRU CONVERGEX COMMISSIONS PAID 40.60 EXPENSES PAID 0.13 -224.85 4358.40 1007000029
TR TRAN#-000000000000001 TR CD=0 REG=22 PORT=PRIN SUB TRUST 1255001464

08/11/10 SOLD 1 SH FRONTIER COMMUNICATIONS CORP ON 08/06/2010 AT 7.53
THRU CONVERGEX COMMISSIONS PAID 0.08 EXPENSES PAID 0.01 0.06 7.44 1008000006
TR TRAN#-000000000000026 TR CD=0 REG=22 PORT=PRIN SUB TRUST 1255001464

-224.79

4,590.63

4365.84

TAX
CODE/DATE TRANSACTIONS

Gain/(loss)

Cost basis

Sales proceeds

EDIT
NUMBER

45 SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)

GENERAL ELEC CO COM - 369604103

07/02/10	SOLD 5,000 SHS GENERAL ELECTRIC CO ON 06/29/2010 AT 14 6617 THRU CONVERGEX COMMISSIONS PAID 200 00 EXPENSES PAID 1.24 TR TRAN#-0000000000000119 TR CD=0 REG=22 PORT=PRIN	-10730 91	73107.26	1007000020
			SUB TRUST	1255001464
10/20/10	SOLD 300 SHS GENERAL ELECTRIC CO ON 10/15/2010 AT 16 77 THRU CONVERGEX COMMISSIONS PAID 24 00 EXPENSES PAID 0.09 TR TRAN#-0000000000000134 TR CD=0 REG=22 PORT=PRIN	140 94	5006 91	1010000044
			SUB TRUST	1255001464
12/21/10	SOLD 200 SHS GENERAL ELECTRIC CO ON 12/16/2010 AT 17.5203 TH RU FAHNESTOCK AND COMPANY, INC COMMISSIONS PAID 8 00 EXPENS ES PAID 0 06 TR TRAN#-0000000000000035 TR CD=0 REG=22 PORT=PRIN	252.02	3496 00	1012000010
			SUB TRUST	1255001464
01/12/11	SOLD 500 SHS GENERAL ELECTRIC CO ON 01/07/2011 AT 18 34 THRU CONVERGEX COMMISSIONS PAID 25.00 EXPENSES PAID 0 16 TR TRAN#-0000000000000012 TR CD=0 REG=22 PORT=PRIN	1034 89	9144 84	1101000004
			SUB TRUST	1255001464

-9303.06

100,058.07

90755 01

GENERAL ELECTRIC CO 5% 02/01/2013 - 369604AY9 - MINOR = 45

07/13/10	SOLD 3,000 UNITS GENERAL ELECTRIC CO 5% 02/01/2013 ON 07/0 8/2010 AT 107.389 THRU SCOTT AND STRINGFELLOW INV CORP TR TRAN#-0000000000000019 TR CD=0 REG=22 PORT=PRIN	9 03	3221.67	1007000004
			SUB TRUST	1255001455
11/12/10	SOLD 6,000 UNITS GENERAL ELECTRIC CO 5% 02/01/2013 ON 11/0 8/2010 AT 108 36 THRU SCOTT AND STRINGFELLOW INV CORP TR TRAN#-0000000000000012 TR CD=0 REG=22 PORT=PRIN	130 81	6501 60	1011000004
			SUB TRUST	1255001455
12/10/10	SOLD 8,000 UNITS GENERAL ELECTRIC CO 5% 02/01/2013 ON 12/0 7/2010 AT 107 479 THRU SCOTT AND STRINGFELLOW INV CORP TR TRAN#-0000000000000019 TR CD=0 REG=22 PORT=PRIN	121 08	8598.32	1012000007
			SUB TRUST	1255001455
01/12/11	SOLD 8,000 UNITS GENERAL ELECTRIC CO 5% 02/01/2013 ON 01/0 7/2011 AT 107.027 THRU J.P. MORGAN SECURITIES INC TR TRAN#-0000000000000039 TR CD=0 REG=22 PORT=PRIN	103 25	8562.16	1101000007
			SUB TRUST	1255001455
02/11/11	SOLD 11,000 UNITS GENERAL ELECTRIC CO 5% 02/01/2013 ON 02/ 09/2011 AT 106.825 THRU CANTOR CLEARING SERV TR TRAN#-0000000000000007 TR CD=0 REG=22 PORT=PRIN	155 86	11750 75	1102000007
			SUB TRUST	1255001455
02/14/11	SOLD 73,000 UNITS GENERAL ELECTRIC CO 5% 02/01/2013 ON 02/ 09/2011 AT 106.774 THRU CANTOR CLEARING SERV TR TRAN#-0000000000000011 TR CD=0 REG=22 PORT=PRIN	41 53	3203 22	1102000009
			SUB TRUST	1255001455

561.56

41,276.16

41837.72

GENERAL ELEC CAP CORP FDIC INSURED DTD 01/08/09 2 2% 06/08/2012 - 36967HAHO - MINOR = 30

09/16/10	SOLD 12,000 UNITS GENERAL ELEC CAP CORP FDIC INSURED DTD 01/ 08/09 2 2% 06/08/2012 ON 09/13/2010 AT 102 604 THRU NATIONAL FINANCIAL SERVICES CORPORATION TR TRAN#-0000000000000078 TR CD=0 REG=22 PORT=PRIN	63.25	12312 48	1009000019
			SUB TRUST	1255001455
12/10/10	SOLD 8,000 UNITS GENERAL ELEC CAP CORP FDIC INSURED DTD 01/0 8/09 2 2% 06/08/2012 ON 12/07/2010 AT 102 446 THRU CANTOR CL EARING SERV TR TRAN#-0000000000000029 TR CD=0 REG=22 PORT=PRIN	70 95	8195 68	1012000009
			SUB TRUST	1255001455
01/12/11	SOLD 9,000 UNITS GENERAL ELEC CAP CORP FDIC INSURED DTD 01/0 8/09 2.2% 06/08/2012 ON 01/07/2011 AT 102.337 THRU G X CLAR KE AND COMPANY TR TRAN#-0000000000000069 TR CD=0 REG=22 PORT=PRIN	80 55	9210.33	1101000012
			SUB TRUST	1255001455

214.75

29,503.74

29718.49

GENUINE PARTS CO - 372460105 - MINOR = 50

05/17/10	SOLD 100 SHS GENUINE PARTS CO ON 05/12/2010 AT 42 4318 THRU CONVERGEX COMMISSIONS PAID 6.00 EXPENSES PAID 0 07 TR TRAN#-0000000000000003 TR CD=0 REG=22 PORT=PRIN	86 30	4237 11	1005000027
			SUB TRUST	1255001464
07/02/10	SOLD 70 SHS GENUINE PARTS CO ON 06/29/2010 AT 40 13 THRU CON VERGEX COMMISSIONS PAID 4 20 EXPENSES PAID 0 05 TR TRAN#-0000000000000006 TR CD=0 REG=22 PORT=PRIN	117.00	2804.85	1007000001
			SUB TRUST	1255001464
10/20/10	SOLD 100 SHS GENUINE PARTS CO ON 10/15/2010 AT 46 50 THRU CO NVERGEX COMMISSIONS PAID 8.00 EXPENSES PAID 0 08 TR TRAN#-0000000000000004 TR CD=0 REG=22 PORT=PRIN	802.14	4641.92	1010000003
			SUB TRUST	1255001464

1005 44

10,678.44

11683 88

GOLDMAN SACHS GROUP INC DTD 2/5/09 7 5% - 38141EA25 - MINOR = 45

10/13/10	SOLD 6,000 UNITS GOLDMAN SACHS GROUP INC DTD 2/5/09 7 5% 02/ 15/2010 ON 10/07/2010 AT 121.147 THRU SCOTT AND STRINGFELLOW INV CORP TR TRAN#-0000000000000007 TR CD=0 REG=22 PORT=PRIN	711 76	7268 82	1010000003
			SUB TRUST	1255001455

TAX
CODE/DATE TRANSACTIONS

Gain/(loss)

Cost basis

Sales proceeds
EDIT
BER

45 SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)

GOLDMAN SACHS GROUP INC DTD 2/5/09 7.5% - 38141EA25 - MINOR = 45 (CONTINUED)

01/12/11 SOLD 8,000 UNITS GOLDMAN SACHS GROUP INC DTD 2/5/09 7 5% 02/
15/2019 ON 01/07/2011 AT 116.572 THRU SCOTT AND STRINGFELLOW
INV CORP 584.95 9325 76 1101000003
SUB TRUST 1255001455
TR TRAN#=0000000000000013 TR CD=0 REG=22 PORT=PRIN

1296 71

15,297.87

16594.58

GOLDMAN SACHS GROUP INC DTD 5/6/09 6% 05 - 38141EA33 - MINOR = 45

07/13/10 SOLD 3,000 UNITS GOLDMAN SACHS GROUP INC DTD 5/6/09 6% 05/01
/2014 ON 07/08/2010 AT 107.915 THRU CANTOR CLEARING SERV 42 51 3237 45 1007000003
SUB TRUST 1255001455
TR TRAN#=0000000000000013 TR CD=0 REG=22 PORT=PRIN

09/16/10 SOLD 5,000 UNITS GOLDMAN SACHS GROUP INC DTD 5/6/09 6% 05/01
/2014 ON 09/13/2010 AT 110.935 THRU SCOTT AND STRINGFELLOW I 255 16 5546 75 1009000012
SUB TRUST 1255001455
TR TRAN#=0000000000000031 TR CD=0 REG=22 PORT=PRIN

01/12/11 SOLD 8,000 UNITS GOLDMAN SACHS GROUP INC DTD 5/6/09 6% 05/01
/2014 ON 01/07/2011 AT 110.449 THRU CANTOR CLEARING SERV 448 76 8835 92 1101000004
SUB TRUST 1255001455
TR TRAN#=0000000000000019 TR CD=0 REG=22 PORT=PRIN

746 43

16,873.69

17620 12

GOLDMAN SACHS GROUP INC COM - 38141G104 - MINOR = 31001

06/08/10 SOLD 100 SHS GOLDMAN SACHS GROUP INC ON 06/03/2010 AT 143 75 -1961 02 14368 89 1006000006
14 THRU CONVERGEX COMMISSIONS PAID 6.00 EXPENSES PAID 0 25 SUB TRUST 1255001464
TR TRAN#=0000000000000025 TR CD=0 REG=22 PORT=PRIN **CHANGED** 09/01/10 SWT
I WASH SALE DISALLOWED LOSS \$1961.2 FOR 100 SHARES (SWT
09/01/10)

10/20/10 SOLD 50 SHS GOLDMAN SACHS GROUP INC ON 10/15/2010 AT 152 66 -523 50 7628 87 1010000024
THRU CONVERGEX COMMISSIONS PAID 4.00 EXPENSES PAID 0 13 SUB TRUST 1255001464
TR TRAN#=0000000000000071 TR CD=0 REG=22 PORT=PRIN

-2484 52

24,482.28

21997 76

GOLDMAN SACHS GROUP INC FDIC INSURED DTD 01/21/09 1 625% 07/15/2011 - 38146FAF8 - MINOR = 30

09/16/10 SOLD 15,000 UNITS GOLDMAN SACHS GROUP INC FDIC INSURED DTD 0 36 60 15164.40 1009000013
1/21/09 1 625% 07/15/2011 ON 09/13/2010 AT 101.096 THRU G X SUB TRUST 1255001455
CLARKE AND COMPANY
TR TRAN#=0000000000000039 TR CD=0 REG=22 PORT=PRIN

01/12/11 SOLD 16,000 UNITS GOLDMAN SACHS GROUP INC FDIC INSURED DTD 0 28 05 16112 32 1101000013
1/21/09 1 625% 07/15/2011 ON 01/07/2011 AT 100.702 THRU G.X SUB TRUST 1255001455
CLARKE AND COMPANY
TR TRAN#=0000000000000075 TR CD=0 REG=22 PORT=PRIN

64 65

31,212.07

31276.72

HALLIBURTON CO COM - 406216101 - MINOR = 50

07/02/10 SOLD 2,700 SHS HALLIBURTON CO ON 06/29/2010 AT 25.2213 THRU -8443 43 37771 31 1007000011
CONVERGEX COMMISSIONS PAID 108.00 EXPENSES PAID 1.15 SUB TRUST 1255001464
TR TRAN#=0000000000000052 TR CD=0 REG=22 PORT=PRIN **CHANGED** 09/01/10 SWT
I WASH SALE DISALLOWED LOSS \$5127.25 FOR 400 SHARES (SWT
09/01/10)

-8443 43

46,214.74

37771.31

HESS CORPORATION - 42809H107 - MINOR = 50

07/02/10 SOLD 1,100 SHS HESS CORPORATION ON 06/29/2010 AT 51.7337 THR -10523 89 56862 11 1007000012
U CONVERGEX COMMISSIONS PAID 44.00 EXPENSES PAID 0.96 SUB TRUST 1255001464
TR TRAN#=0000000000000058 TR CD=0 REG=22 PORT=PRIN

10/20/10 SOLD 100 SHS HESS CORPORATION ON 10/15/2010 AT 63.55 THRU CO 220 89 6346 89 1010000018
NVERGEX COMMISSIONS PAID 8.00 EXPENSES PAID 0.11 SUB TRUST 1255001464
TR TRAN#=0000000000000052 TR CD=0 REG=22 PORT=PRIN

12/21/10 SOLD 100 SHS HESS CORPORATION ON 12/16/2010 AT 73.70 THRU AS - 1301-33 7361.87 1012000004
SENT LLC COMMISSIONS PAID 8.00 EXPENSES PAID 0.13 SUB TRUST 1255001464
TR TRAN#=0000000000000007 TR CD=0 REG=22 PORT=PRIN

-9001 67

79,572.54

70570.87

HESS CORPORATION DTD 2/3/09 8.125% 02/15 - 42809HAB3 - MINOR = 45

09/16/10 SOLD 5,000 UNITS HESS CORPORATION DTD 2/3/09 8.125% 02/15/20 260 37 6427 90 1009000018
19 ON 09/13/2010 AT 128.558 THRU J P. MORGAN SECURITIES INC SUB TRUST 1255001455
TR TRAN#=0000000000000072 TR CD=0 REG=22 PORT=PRIN

TAX
CODE/DATE TRANSACTIONS

Gain/(loss)

Cost basis

Sales proceeds

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45 SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)

HESS CORPORATION DTD 2/3/09 8 125% 02/15 - 42809HAB3 - MINOR = 45 (CONTINUED)

TAX CODE/DATE	TRANSACTIONS	Gain/(loss)	Cost basis	Sales proceeds
01/12/11	SOLD 8,000 UNITS HESS CORPORATION DTD 2/3/09 8 125% 02/15/2019 ON 01/07/2011 AT 126 842 THRU SCOTT AND STRINGFELLOW INV CORP TR TRAN#=0000000000000045 TR CD=0 REG=22 PORT=PRIN	343 60		10147 36 1101000008 SUB TRUST 1255001455
		603 97	15,971.29	16575 26
	ITT EDUCATIONAL SVCS INC COM - 45068B109 - MINOR = 50			
08/13/10	SOLD 55 SHS ITT EDL SVCS INC ON 08/10/2010 AT 71.9422 THRU CONVERGEX COMMISSIONS PAID 4.40 EXPENSES PAID 0 07 TR TRAN#=0000000000000005 TR CD=0 REG=22 PORT=PRIN I WASH SALE DISALLOWED LOSS \$2313.12 FOR 55 SHARES (MK 12/02/10)	-2313 12		3952 35 1008000006 SUB TRUST 1255001339 **CHANGED** 12/02/10 MK
		-2313 12	6,265.47	3952.35
	ILLINOIS TOOL WORKS - 452308109 - MINOR = 50			
10/20/10	SOLD 100 SHS ILLINOIS TOOL WORKS INC ON 10/15/2010 AT 49 50 THRU CONVERGEX COMMISSIONS PAID 8.00 EXPENSES PAID 0 09 TR TRAN#=0000000000000137 TR CD=0 REG=22 PORT=PRIN	-287.19		4941.91 1010000045 SUB TRUST 1255001464
11/23/10	SOLD 2,000 SHS ILLINOIS TOOL WORKS INC ON 11/18/2010 AT 47.8 041 THRU CONVERGEX COMMISSIONS PAID 80.00 EXPENSES PAID 1.62 TR TRAN#=0000000000000058 TR CD=0 REG=22 PORT=PRIN	-5116 70		95526 58 1011000014 SUB TRUST 1255001464
		-5403 89	105,872.38	100468 49
	INTEL CORP COM - 458140100			
06/08/10	SOLD 350 SHS INTEL CORP ON 06/03/2010 AT 21.7485 THRU CONVERGEX COMMISSIONS PAID 17 50 EXPENSES PAID 0.13 TR TRAN#=0000000000000047 TR CD=0 REG=22 PORT=PRIN	-152 68		7594 35 1006000010 SUB TRUST 1255001464
07/02/10	SOLD 2,000 SHS INTEL CORP ON 06/29/2010 AT 19 9632 THRU CONVERGEX COMMISSIONS PAID 80.00 EXPENSES PAID 0.68 TR TRAN#=0000000000000130 TR CD=0 REG=22 PORT=PRIN	-931 12		39845 72 1007000022 SUB TRUST 1255001464
08/30/10	SOLD 1,000 SHS INTEL CORP ON 08/25/2010 AT 18.2444 THRU CONVERGEX COMMISSIONS PAID 40 00 EXPENSES PAID 0 31 TR TRAN#=0000000000000019 TR CD=0 REG=22 PORT=PRIN	-1815 91		18204 09 1008000014 SUB TRUST 1255001464
10/20/10	SOLD 200 SHS INTEL CORP ON 10/15/2010 AT 19 46 THRU CONVERGEX COMMISSIONS PAID 16 00 EXPENSES PAID 0 07 TR TRAN#=0000000000000152 TR CD=0 REG=22 PORT=PRIN	-128 07		3875 93 1010000050 SUB TRUST 1255001464
		-3027.78	72,547.87	69520 09
	INTERCONTINENTAL EXCHANGE INC - 45865V100 - MINOR = 50			
10/01/10	SOLD 65 SHS INTERCONTINENTAL EXCHANGE INC ON 09/28/2010 AT 1 05 3142 THRU CONVERGEX COMMISSIONS PAID 3 90 EXPENSES PAID 0 12 TR TRAN#=0000000000000007 TR CD=0 REG=22 PORT=PRIN	-520 01		5788.88 1010000002 SUB TRUST 1255001339
10/20/10	SOLD 50 SHS INTERCONTINENTAL EXCHANGE INC ON 10/15/2010 AT 1 14.08 THRU CONVERGEX COMMISSIONS PAID 4 00 EXPENSES PAID 0.1 0 TR TRAN#=0000000000000075 TR CD=0 REG=22 PORT=PRIN	-213.46		5699 90 1010000025 SUB TRUST 1255001464
11/23/10	SOLD 720 SHS INTERCONTINENTAL EXCHANGE INC ON 11/18/2010 AT 112.1376 THRU CONVERGEX COMMISSIONS PAID 28 80 EXPENSES PAID 1 36 TR TRAN#=0000000000000029 TR CD=0 REG=22 PORT=PRIN	-4150 63		80708.91 1011000008 SUB TRUST 1255001464
		-4884 10	97,082.09	92197.69
	ISHARES TR S&P MIDCAP 400 GROWTH FD - 464287606 - MINOR = 62			
10/20/10	SOLD 500 SHS ISHARES S & P MIDCAP 400 GROWTH FD ON 10/15/2010 AT 89 8805 THRU CONVERGEX COMMISSIONS PAID 40 00 EXPENSES PAID 0.76 TR TRAN#=0000000000000004 TR CD=0 REG=22 PORT=PRIN	2201.29		44899.49 1010000005 SUB TRUST 1255001339
11/08/10	SOLD 200 SHS ISHARES S & P MIDCAP 400 GROWTH FD ON 11/03/2010 AT 92 6202 THRU CONVERGEX COMMISSIONS PAID 16 00 EXPENSES PAID 0 32 TR TRAN#=0000000000000003 TR CD=0 REG=22 PORT=PRIN	1428 44		18507 72 1011000002 SUB TRUST 1255001339
		3629 73	59,777 48	63407 21
	JP MORGAN CHASE & CO COM - 46625H100 - MINOR = 31001			
10/20/10	SOLD 200 SHS J P MORGAN CHASE & CO ON 10/15/2010 AT 38 92 THRU CONVERGEX COMMISSIONS PAID 16 00 EXPENSES PAID 0.14 TR TRAN#=0000000000000078 TR CD=0 REG=22 PORT=PRIN	-1136 14		7767 86 1010000026 SUB TRUST 1255001464

TAX
CODE/DATE TRANSACTIONS

Gain/(loss)

Cost basis

Sales proceeds

EDIT
MBER

45 SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)

JP MORGAN CHASE & CO COM - 46625H100 - MINOR = 31001 (CONTINUED)

03/22/11 SOLD 100 SHS J P MORGAN CHASE & CO ON 03/17/2011 AT 43 7527 208.78 4369 18 1103000019
THRU SANFORD C BERNSTEIN AND CO , LLC COMMISSIONS PAID 6 00 SUB TRUST 1255001464
EXPENSES PAID 0 09
TR TRAN# = 0000000000000065 TR CD=0 REG=22 PORT=PRIN

-927 36

13,064 40

12137 04

JOHNSON & JOHNSON COM - 478160104 - MINOR = 31001

12/21/10 SOLD 1,000 SHS JOHNSON & JOHNSON ON 12/16/2010 AT 62 3342 TH -181 74 6229 31 1012000007
RU CONVERGEX COMMISSIONS PAID 40 00 EXPENSES PAID 1 06 SUB TRUST 1255001464
TR TRAN# = 0000000000000023 TR CD=0 REG=22 PORT=PRIN

-181.74

6,411 05

6229 31

JUNIPER NETWORKS - 48203R104 - MINOR = 50

05/05/10 SOLD 50 SHS JUNIPER NETWORKS INC ON 04/30/2010 AT 29 46 THRU -67 53 1468 97 1005000015
CONVERGEX COMMISSIONS PAID 4 00 EXPENSES PAID 0 03 SUB TRUST 1255001464
TR TRAN# = 0000000000000060 TR CD=0 REG=22 PORT=PRIN

05/17/10 SOLD 150 SHS JUNIPER NETWORKS INC ON 05/12/2010 AT 28 67 THR -20.43 4291 43 1005000036
U CONVERGEX COMMISSIONS PAID 9.00 EXPENSES PAID 0.07 SUB TRUST 1255001464
TR TRAN# = 0000000000000053 TR CD=0 REG=22 PORT=PRIN

10/20/10 SOLD 200 SHS JUNIPER NETWORKS INC ON 10/15/2010 AT 31 65 THR 1312 67 6313 89 1010000051
U CONVERGEX COMMISSIONS PAID 16 00 EXPENSES PAID 0 11 SUB TRUST 1255001464
TR TRAN# = 0000000000000155 TR CD=0 REG=22 PORT=PRIN

11/23/10 SOLD 1,500 SHS JUNIPER NETWORKS INC ON 11/18/2010 AT 33 5376 12736.39 50245 54 1011000012
THRU CONVERGEX COMMISSIONS PAID 60.00 EXPENSES PAID 0 86 SUB TRUST 1255001464
TR TRAN# = 0000000000000047 TR CD=0 REG=22 PORT=PRIN

13961 10

48,358 73

62319.83

KOHLS CORP - 500255104 - MINOR = 3101

02/08/11 SOLD 1,000 SHS KOHLS CORP ON 02/03/2011 AT 50.9397 THRU CONV -3342.08 50898 72 1102000006
EREX COMMISSIONS PAID 40 00 EXPENSES PAID 0.98
TR TRAN# = 0000000000000001 TR CD=0 REG=22 PORT=PRIN

-3342 08

54,240 80

50898.72

KRAFT FOODS INC DTD 02/08/2010 4.125% 02 - 50075NBB9 - MINOR = 45

12/10/10 SOLD 6,000 UNITS KRAFT FOODS INC DTD 02/08/2010 4 125% 02/09 182.18 6366 54 1012000011
/2016 ON 12/07/2010 AT 106.109 THRU MORGAN STANLEY DW INC SUB TRUST 1255001455
TR TRAN# = 0000000000000044 TR CD=0 REG=22 PORT=PRIN

182 18

6,184.36

6366.54

LABORATORY CORP AMER HLDGS COM NEW - 50540R409 - MINOR = 50

05/17/10 SOLD 75 SHS LABORATORY CORP AMER HLDGS COM NEW ON 05/12/2010 302.45 5780 17 1005000032
AT 77 1303 THRU CONVERGEX COMMISSIONS PAID 4 50 EXPENSES PA ID 0 10 SUB TRUST 1255001464
TR TRAN# = 0000000000000031 TR CD=0 REG=22 PORT=PRIN

06/08/10 SOLD 75 SHS LABORATORY CORP AMER HLDGS COM NEW ON 06/03/2010 309.19 5786 90 1006000008
AT 77.24 THRU CONVERGEX COMMISSIONS PAID 6 00 EXPENSES PAID 0 10 SUB TRUST 1255001464
TR TRAN# = 0000000000000037 TR CD=0 REG=22 PORT=PRIN

07/02/10 SOLD 788 SHS LABORATORY CORP AMER HLDGS COM NEW ON 06/29/201 3192 57 60745 10 1007000017
0 AT 77.129 THRU CONVERGEX COMMISSIONS PAID 31 52 EXPENSES P AID 1.03 SUB TRUST 1255001464
TR TRAN# = 0000000000000106 TR CD=0 REG=22 PORT=PRIN

3804 21

68,507.96

72312 17

LINCOLN NATIONAL CORP IND - 534187109 - MINOR = 50

07/02/10 SOLD 2,300 SHS LINCOLN NATIONAL CORP ON 06/29/2010 AT 25 563 -13068.53 58703 97 1007000015
9 THRU CONVERGEX COMMISSIONS PAID 92.00 EXPENSES PAID 1 00 SUB TRUST 1255001464
TR TRAN# = 0000000000000087 TR CD=0 REG=22 PORT=PRIN

10/20/10 SOLD 200 SHS LINCOLN NATIONAL CORP ON 10/15/2010 AT 25 65 TH -694 69 5113.91 1010000027
RU CONVERGEX COMMISSIONS PAID 16 00 EXPENSES PAID 0 09 SUB TRUST 1255001464
TR TRAN# = 0000000000000081 TR CD=0 REG=22 PORT=PRIN

02/18/11 SOLD 1,000 SHS LINCOLN NATIONAL CORP ON 02/15/2011 AT 31 053 1970.10 31013 10 1102000006
7 THRU CONVERGEX COMMISSIONS PAID 40 00 EXPENSES PAID 0 60 SUB TRUST 1255001464
TR TRAN# = 0000000000000022 TR CD=0 REG=22 PORT=PRIN

03/22/11 SOLD 100 SHS LINCOLN NATIONAL CORP ON 03/17/2011 AT 29 32 TH 19.64 2923 94 1103000020
RU ASSENT LLC COMMISSIONS PAID 8.00 EXPENSES PAID 0 06 SUB TRUST 1255001464
TR TRAN# = 0000000000000069 TR CD=0 REG=22 PORT=PRIN

TAX
CODE/DATE TRANSACTIONS

Gain/(loss)

Cost basis

Sales proceeds

EDIT
MBER

45 SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)

LINCOLN NATIONAL CORP IND - 534187109 - MINOR = 50 (CONTINUED)

TAX CODE/DATE	TRANSACTIONS	Gain/(loss)	Cost basis	Sales proceeds	EDIT MBER
	LUBRIZOL CORP - 549271104 - MINOR = 50	-11773 48	109,528.40	97754 92	
03/24/11	SOLD 150 SHS LUBRIZOL CORP ON 03/21/2011 AT 133 9266 THRU CO NVERGEX COMMISSIONS PAID 9 00 EXPENSES PAID 0 39 TR TRAN# = 0000000000000001 TR CD=0 REG=22 PORT=PRIN	5328.04		20079 60 1103000005 SUB TRUST 1255001339	
		5328 04	14,751.56	20079 60	
	MARATHON OIL CORP COM - 565849106 - MINOR = 50				
12/21/10	SOLD 200 SHS MARATHON OIL CORP ON 12/16/2010 AT 34 90 THRU S ANFORD C. BERNSTEIN AND CO., LLC COMMISSIONS PAID 12 00 EXPE NSES PAID 0.12 TR TRAN# = 0000000000000011 TR CD=0 REG=22 PORT=PRIN	183 32		6967 88 1012000005 SUB TRUST 1255001464	
03/22/11	SOLD 350 SHS MARATHON OIL CORP ON 03/17/2011 AT 49 3826 THRU SANFORD C. BERNSTEIN AND CO., LLC COMMISSIONS PAID 17 50 EX PENES PAID 0 33 TR TRAN# = 0000000000000048 TR CD=0 REG=22 PORT=PRIN	5393.10		17266 08 1103000015 SUB TRUST 1255001464	
		5576 42	18,657.54	24233 96	
	MATTEL INC - 577081102 - MINOR = 50				
10/20/10	SOLD 200 SHS MATTEL INC ON 10/15/2010 AT 22 51 THRU CONVERGE X COMMISSIONS PAID 16 00 EXPENSES PAID 0.08 TR TRAN# = 0000000000000007 TR CD=0 REG=22 PORT=PRIN	121 82		4485.92 1010000004 SUB TRUST 1255001464	
01/12/11	SOLD 2,000 SHS MATTEL INC ON 01/07/2011 AT 24.1434 THRU CONV ERGEX COMMISSIONS PAID 80 00 EXPENSES PAID 0.82 TR TRAN# = 0000000000000017 TR CD=0 REG=22 PORT=PRIN	1268.38		48205 98 1101000005 SUB TRUST 1255001464	
03/22/11	SOLD 100 SHS MATTEL INC ON 03/17/2011 AT 24 7257 THRU SANFOR D C. BERNSTEIN AND CO., LLC COMMISSIONS PAID 6 00 EXPENSES P AID 0 05 TR TRAN# = 0000000000000006 TR CD=0 REG=22 PORT=PRIN	284.47		2466 52 1103000004 SUB TRUST 1255001464	
		1674.67	53,483.75	55158 42	
	MCDONALD'S CORP - 580135101 - MINOR = 3101				
07/02/10	SOLD 925 SHS MCDONALDS CORP ON 06/29/2010 AT 66 59 THRU CONV ERGEX COMMISSIONS PAID 37 00 EXPENSES PAID 1.04 TR TRAN# = 0000000000000011 TR CD=0 REG=22 PORT=PRIN	2020 88		61557.71 1007000002 SUB TRUST 1255001464	
		2020.88	59,536.83	61557 71	
	MCDONALDS CORP SERIES MTN DTD 02/29/08 - 58013MEE0 - MINOR = 45				
05/25/10	SOLD 88,000 UNITS MCDONALDS CORP SERIES MTN DTD 02/29/08 5. 35% 03/01/2018 ON 05/20/2010 AT 111.932 THRU BANC/AMERICA SE CUR LLC, MONTGOMERY DIV TR TRAN# = 0000000000000028 TR CD=0 REG=22 PORT=PRIN	71 41		13431.84 1005000025 SUB TRUST 1255001455	
		71 41	13,360 43	13431.84	
	MCGRAW HILL COS INC COM - 580645109 - MINOR = 3101				
05/05/10	SOLD 530 SHS MCGRAW HILL INC ON 04/30/2010 AT 34 086 THRU CO NVERGEX COMMISSIONS PAID 21 20 EXPENSES PAID 0 31 TR TRAN# = 0000000000000001 TR CD=0 REG=22 PORT=PRIN	-1148 66		18044.07 1005000001 SUB TRUST 1255001464	
07/02/10	SOLD 150 SHS MCGRAW HILL INC ON 06/29/2010 AT 29 22 THRU CON VERGEX COMMISSIONS PAID 9.00 EXPENSES PAID 0 08 TR TRAN# = 0000000000000016 TR CD=0 REG=22 PORT=PRIN	-1057 98		4373 92 1007000003 SUB TRUST 1255001464	
10/20/10	SOLD 100 SHS MCGRAW HILL INC ON 10/15/2010 AT 35 73 THRU CON VERGEX COMMISSIONS PAID 8.00 EXPENSES PAID 0.07 TR TRAN# = 0000000000000182 TR CD=0 REG=22 PORT=PRIN	-56 34		3564 93 1010000060 SUB TRUST 1255001464	
03/22/11	SOLD 100 SHS MCGRAW HILL INC ON 03/17/2011 AT 37.2093 THRU S ANFORD C. BERNSTEIN AND CO., LLC COMMISSIONS PAID 6 00 EXPEN SES PAID 0 07 TR TRAN# = 0000000000000009 TR CD=0 REG=22 PORT=PRIN	93 59		3714 86 1103000005 SUB TRUST 1255001464	
		-2169 39	31,867.17	29697 78	
	MEDTRONIC INC COMMON STOCK - 585055106				
10/20/10	SOLD 100 SHS MEDTRONIC INC ON 10/15/2010 AT 33.50 THRU CONVE RGEX COMMISSIONS PAID 8 00 EXPENSES PAID 0 06 TR TRAN# = 0000000000000112 TR CD=0 REG=22 PORT=PRIN	-323 94		3341 94 1010000037 SUB TRUST 1255001464	

TAX
CODE/DATE TRANSACTIONS

Gain/(loss)

Cost basis

Sales proceeds

EDIT
IBER

45 SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)

MEDTRONIC INC COMMON STOCK - 585055106 (CONTINUED)

02/07/11 SOLD 2,400 SHS MEDTRONIC INC ON 02/02/2011 AT 38.3671 THRU C 4002 15 91983.27 1102000002
ONVERGEX COMMISSIONS PAID 96.00 EXPENSES PAID 1.77 SUB TRUST 1255001464
TR TRAN#=000000000000007 TR CD=0 REG=22 PORT=PRIN

3678 21

91,647.00

95325 21

MERCK & CO INC NEW - 58933Y105 - MINOR = 50

10/20/10 SOLD 200 SHS MERCK & CO INC NEW ON 10/15/2010 AT 37.42 THR 558 57 7467 87 1010000038
U CONVERGEX COMMISSIONS PAID 16.00 EXPENSES PAID 0.13 SUB TRUST 1255001464
TR TRAN#=000000000000115 TR CD=0 REG=22 PORT=PRIN

558 57

6,909.30

7467.87

MERRILL LYNCH & CO INC SERIES: MTN DTD 04/25/08 6.875% 04/25/2018 - 59018YN64 - MINOR = 45

07/13/10 SOLD 3,000 UNITS MERRILL LYNCH & CO INC SERIES MTN DTD 04/2 31 83 3211 17 1007000007
5/08 6.875% 04/25/2018 ON 07/08/2010 AT 107.039 THRU SCOTT A SUB TRUST 1255001455
ND STRINGFELLOW INV CORP
TR TRAN#=000000000000037 TR CD=0 REG=22 PORT=PRIN

09/16/10 SOLD 6,000 UNITS MERRILL LYNCH & CO INC SERIES MTN DTD 04/2 307 11 6652 26 1009000015
5/08 6.875% 04/25/2018 ON 09/13/2010 AT 110.871 THRU J.P. MO SUB TRUST 1255001455
RGAN SECURITIES INC
TR TRAN#=000000000000053 TR CD=0 REG=22 PORT=PRIN

01/12/11 SOLD 8,000 UNITS MERRILL LYNCH & CO INC SERIES MTN DTD 04/2 397 74 8798 16 1101000009
5/08 6.875% 04/25/2018 ON 01/07/2011 AT 109.977 THRU SCOTT A SUB TRUST 1255001455
ND STRINGFELLOW INV CORP
TR TRAN#=000000000000051 TR CD=0 REG=22 PORT=PRIN

736 68

17,924.91

18661.59

METLIFE INC COM - 59156R108 - MINOR = 50

05/17/10 SOLD 200 SHS METLIFE INC ON 05/12/2010 AT 43.3876 THRU CONVE 773 82 8667 37 1005000030
RGEX COMMISSIONS PAID 10.00 EXPENSES PAID 0.15 SUB TRUST 1255001464
TR TRAN#=000000000000024 TR CD=0 REG=22 PORT=PRIN

10/20/10 SOLD 200 SHS METLIFE INC ON 10/15/2010 AT 39.92 THRU CONVERG 589 72 7967 86 1010000028
EX COMMISSIONS PAID 16.00 EXPENSES PAID 0.14 SUB TRUST 1255001464
TR TRAN#=000000000000084 TR CD=0 REG=22 PORT=PRIN

1363 54

15,271.69

16635.23

MICROSOFT CORP COM - 594918104 - MINOR = 31001

10/20/10 SOLD 100 SHS MICROSOFT CORP ON 10/15/2010 AT 25.38 THRU CONV 113.89 2529 95 1010000052
ERGEX COMMISSIONS PAID 8.00 EXPENSES PAID 0.05 SUB TRUST 1255001464
TR TRAN#=000000000000158 TR CD=0 REG=22 PORT=PRIN

113 89

2,416.06

2529 95

MICROSOFT CORP DTD 09/27/2010 1.625% 09/ - 594918AG9 - MINOR = 45

12/02/10 SOLD 24,000 UNITS MICROSOFT CORP DTD 09/27/2010 1.625% 09/25 -229 29 23781.84 1012000001
/2015 ON 11/30/2010 AT 99.091 THRU MORGAN STANLEY SUB TRUST 1255001455
TR TRAN#=000000000000004 TR CD=0 REG=22 PORT=PRIN

12/02/10 SOLD 56,000 UNITS MICROSOFT CORP DTD 09/27/2010 1.625% 09/25 -526 41 55373 36 1012000002
/2015 ON 11/30/2010 AT 98.881 THRU MORGAN STANLEY SUB TRUST 1255001455
TR TRAN#=000000000000012 TR CD=0 REG=22 PORT=PRIN

-755 70

79,910.90

79155.20

MONSANTO CO NEW COM - 61166W101 - MINOR = 50

07/02/10 SOLD 500 SHS MONSANTO CO NEW ON 06/29/2010 AT 46.90 THRU CON -16439.85 23429 60 1007000023
VERGEX COMMISSIONS PAID 20.00 EXPENSES PAID 0.40 SUB TRUST 1255001464
TR TRAN#=000000000000138 TR CD=0 REG=22 PORT=PRIN
I WASH SALE DISALLOWED LOSS \$3287.97 FOR 100 SHARES (SWT
09/01/10) **CHANGED** 09/01/10 SWT

10/20/10 SOLD 100 SHS MONSANTO CO NEW ON 10/15/2010 AT 54.50 THRU CON -2604.90 5441 90 1010000053
VERGEX COMMISSIONS PAID 8.00 EXPENSES PAID 0.10 SUB TRUST 1255001464
TR TRAN#=000000000000161 TR CD=0 REG=22 PORT=PRIN

-19044 75

47,916.25

28871 50

MORGAN STANLEY DEAN WITTER & CO - COM NE - 617446448 - MINOR = 50

06/08/10 SOLD 200 SHS MORGAN STANLEY GROUP INC ON 06/03/2010 AT 26.97 -401 92 5381 92 1006000007
01 THRU CONVERGEX COMMISSIONS PAID 12.00 EXPENSES PAID 0.10 SUB TRUST 1255001464
TR TRAN#=000000000000031 TR CD=0 REG=22 PORT=PRIN

TAX
CODE/DATE TRANSACTIONS

Gain/(loss)

Cost basis

Sales proceeds

EDIT
IBER

45 SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)

MORGAN STANLEY DEAN WITTER & CO - COM NE - 617446448 - MINOR = 50 (CONTINUED)

10/20/10 SOLD 200 SHS MORGAN STANLEY GROUP INC ON 10/15/2010 AT 25 55 -590 09 5093 91 1010000029
THRU CONVERGEX COMMISSIONS PAID 16 00 EXPENSES PAID 0.09 SUB TRUST 1255001464
TR TRAN#-0000000000000087 TR CD=0 REG=22 PORT=PRIN

03/22/11 SOLD 2,276 SHS MORGAN STANLEY GROUP INC ON 03/17/2011 AT 27. 753 06 6272 16 1103000021
3108 THRU SANFORD C. BERNSTEIN AND CO, LLC COMMISSIONS PAID SUB TRUST 1255001464
91 04 EXPENSES PAID 1 20
TR TRAN#-0000000000000072 TR CD=0 REG=22 PORT=PRIN

-238 95

16,986 94

16747.99

MOSAIC CO - 61945A107 - MINOR = 50

05/04/10 SOLD 250 SHS MOSAIC CO ON 04/29/2010 AT 51 4817 THRU CONVERG 1185 40 12860 20 1005000001
EX COMMISSIONS PAID 10 00 EXPENSES PAID 0 22 SUB TRUST 1255001339
TR TRAN#-0000000000000002 TR CD=0 REG=22 PORT=PRIN

05/04/10 SOLD 600 SHS MOSAIC CO ON 04/29/2010 AT 51.4817 THRU CONVERG 2844 97 30864 49 1005000001
EX COMMISSIONS PAID 24 00 EXPENSES PAID 0 53
TR TRAN#-0000000000000002 TR CD=0 REG=22 PORT=PRIN

4030.37

39,694.32

43724.69

NEXTERA ENERGY, INC - 65339F101 - MINOR = 50

10/20/10 SOLD 200 SHS NEXTERA ENERGY, INC ON 10/15/2010 AT 55 67 THR 759 29 11117.81 1010000058
U CONVERGEX COMMISSIONS PAID 16 00 EXPENSES PAID 0 19 SUB TRUST 1255001464
TR TRAN#-0000000000000176 TR CD=0 REG=22 PORT=PRIN

02/18/11 SOLD 200 SHS NEXTERA ENERGY, INC ON 02/15/2011 AT 54 3907 T 507 41 10865 93 1102000014
HRU SANFORD C. BERNSTEIN AND CO, LLC COMMISSIONS PAID 12.00 SUB TRUST 1255001464
EXPENSES PAID 0.21
TR TRAN#-0000000000000058 TR CD=0 REG=22 PORT=PRIN

1266 70

20,717 04

21983 74

NORFOLK SOUTHERN CORPORATION - 655844108 - MINOR = 50

10/20/10 SOLD 100 SHS NORFOLK SOUTHERN CORP ON 10/15/2010 AT 62 21 TH 483 89 6212 89 1010000046
RU CONVERGEX COMMISSIONS PAID 8 00 EXPENSES PAID 0 11 SUB TRUST 1255001464
TR TRAN#-0000000000000140 TR CD=0 REG=22 PORT=PRIN

03/22/11 SOLD 50 SHS NORFOLK SOUTHERN CORP ON 03/17/2011 AT 66.5944 T 108 66 3326.66 1103000023
HRU PERSHING LLC COMMISSIONS PAID 3.00 EXPENSES PAID 0 06 SUB TRUST 1255001464
TR TRAN#-0000000000000094 TR CD=0 REG=22 PORT=PRIN

592 55

8,947.00

9539.55

NUCOR CORP - 670346105 - MINOR = 50

05/05/10 SOLD 570 SHS NUCOR CORP ON 04/30/2010 AT 45 8867 THRU CONVER 257 51 26132 18 1005000016
GEX COMMISSIONS PAID 22 80 EXPENSES PAID 0 44 SUB TRUST 1255001464
TR TRAN#-0000000000000063 TR CD=0 REG=22 PORT=PRIN

05/17/10 SOLD 130 SHS NUCOR CORP ON 05/12/2010 AT 46 3129 THRU CONVER 134 49 6012.78 1005000037
GEX COMMISSIONS PAID 7 80 EXPENSES PAID 0.10 SUB TRUST 1255001464
TR TRAN#-0000000000000059 TR CD=0 REG=22 PORT=PRIN

07/02/10 SOLD 250 SHS NUCOR CORP ON 06/29/2010 AT 39 2799 THRU CONVER -1494 59 9809 81 1007000024
GEX COMMISSIONS PAID 10.00 EXPENSES PAID 0 17 SUB TRUST 1255001464
TR TRAN#-0000000000000141 TR CD=0 REG=22 PORT=PRIN

10/20/10 SOLD 100 SHS NUCOR CORP ON 10/15/2010 AT 40 00 THRU CONVERGE -529.83 3991 93 1010000054
X COMMISSIONS PAID 8 00 EXPENSES PAID 0.07 SUB TRUST 1255001464
TR TRAN#-0000000000000164 TR CD=0 REG=22 PORT=PRIN

11/23/10 SOLD 2,177 SHS NUCOR CORP ON 11/18/2010 AT 37.9861 THRU CONV -11690 81 82607 26 1011000013
ERGEX COMMISSIONS PAID 87 08 EXPENSES PAID 1 40 SUB TRUST 1255001464
TR TRAN#-0000000000000054 TR CD=0 REG=22 PORT=PRIN

-13323 23

141,877.19

128553.96

NVIDIA CORP - 67066G104 - MINOR = 50

02/23/11 SOLD 300 SHS NVIDIA CORP ON 02/17/2011 AT 24 7151 THRU CONVE 1911 18 6163.65 1102000012
RGEX COMMISSIONS PAID 18 00 EXPENSES PAID 0 15 SUB TRUST 1255001339
TR TRAN#-0000000000000003 TR CD=0 REG=22 PORT=PRIN

1911 18

4,252 47

6163 65

OCCIDENTAL PETROLEUM CORP - 674599105 - MINOR = 50

10/20/10 SOLD 100 SHS OCCIDENTAL PETE CORP ON 10/15/2010 AT 85 41 THR 520.31 8532 85 1010000019
U CONVERGEX COMMISSIONS PAID 8 00 EXPENSES PAID 0 15 SUB TRUST 1255001464
TR TRAN#-0000000000000055 TR CD=0 REG=22 PORT=PRIN

TAX
CODE/DATE TRANSACTIONS

Gain/(loss)

Cost basis

Sales proceeds

EDIT
MBER

45 SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)

OCCIDENTAL PETROLEUM CORP - 674599105 - MINOR = 50 (CONTINUED)

03/22/11 SOLD 200 SHS OCCIDENTAL PETE CORP ON 03/17/2011 AT 97 2266 T -807 06 19436.94 1103000016
HRU SANFORD C BERNSTEIN AND CO., LLC COMMISSIONS PAID 8 00 SUB TRUST 1255001464
EXPENSES PAID 0 38
TR TRAN#=0000000000000051 TR CD=0 REG=22 PORT=PRIN

-286 75

28,256.54

27969 79

ORACLE CORPORATION DTD 04/09/08 5 75% 04 - 68389XAC9 - MINOR = 45

09/16/10 SOLD 4,000 UNITS ORACLE CORPORATION DTD 04/09/08 5.75% 04/15 241.70 4712 00 1009000016
/2018 ON 09/13/2010 AT 117.80 THRU CANTOR CLEARING SERV SUB TRUST 1255001455
TR TRAN#=0000000000000060 TR CD=0 REG=22 PORT=PRIN

241 70

4,470 30

4712.00

O'REILLY AUTOMOTIVE INC - 686091109 - MINOR = 50

06/14/10 SOLD 340 SHS O'REILLY AUTOMOTIVE INC ON 06/09/2010 AT 49.765 3422 93 16903 05 1006000006
7 THRU CONVERGEX COMMISSIONS PAID 17 00 EXPENSES PAID 0.29 SUB TRUST 1255001339
TR TRAN#=0000000000000005 TR CD=0 REG=22 PORT=PRIN

3422 93

13,480 12

16903.05

PEPSICO INC COM - 713448108

05/17/10 SOLD 150 SHS PEPSICO INC ON 05/12/2010 AT 67 3033 THRU CONVE 213.80 10086 33 1005000028
RGEX COMMISSIONS PAID 9 00 EXPENSES PAID 0 17 SUB TRUST 1255001464
TR TRAN#=0000000000000013 TR CD=0 REG=22 PORT=PRIN

10/20/10 SOLD 100 SHS PEPSICO INC ON 10/15/2010 AT 66.98 THRU CONVERG 162 35 6689 88 1010000010
EX COMMISSIONS PAID 8.00 EXPENSES PAID 0 12 SUB TRUST 1255001464
TR TRAN#=0000000000000027 TR CD=0 REG=22 PORT=PRIN

376.15

16,400.06

16776 21

GCB PEPSICO, INC CONTRA CUSIP FOR 713448BJ6 DTD 10/24/2008 7 9% 11/01/2018 - 71399ADC8 - MINOR = 45

11/02/10 REDEEMED 11/02/2010 61,000 UNITS GCB PEPSICO, INC CONTRA CUS 885 17 14445 64 1011000001
IP FOR 713448BJ6 DTD 10/24/2008 7 9% 11/01/2018 PROCEEDS FRO SUB TRUST 1255001455
M PRORATED TENDER OFFER
TR TRAN#=0000000000000031 TR CD=0 REG=22 PORT=PRIN

885.17

13,560.47

14445 64

PETROBRAS INTL FIN CO DTD 10/30/09 5 75% - 71645WAP6 - MINOR = 45

09/16/10 SOLD 7,000 UNITS PETROBRAS INTL FIN CO DTD 10/30/09 5.75% 01 300 23 7504 70 1009000014
/20/2020 ON 09/13/2010 AT 107 21 THRU MORGAN STANLEY DW INC. SUB TRUST 1255001455
TR TRAN#=0000000000000046 TR CD=0 REG=22 PORT=PRIN

12/13/10 SOLD 7,000 UNITS PETROBRAS INTL FIN CO DTD 10/30/09 5.75% 01 205.42 7406 00 1012000020
/20/2020 ON 12/08/2010 AT 105.80 THRU J P MORGAN SECURITIES SUB TRUST 1255001455
INC
TR TRAN#=0000000000000007 TR CD=0 REG=22 PORT=PRIN

505 65

14,405 05

14910 70

PHILIP MORRIS INTERNATIONAL INC - 718172109 - MINOR = 50

10/20/10 SOLD 200 SHS PHILIP MORRIS INTERNATIONAL INC ON 10/15/2010 A 1824 35 11615 80 1010000011
T 58 16 THRU CONVERGEX COMMISSIONS PAID 16 00 EXPENSES PAID SUB TRUST 1255001464
0 20
TR TRAN#=0000000000000030 TR CD=0 REG=22 PORT=PRIN

11/23/10 SOLD 153 SHS PHILIP MORRIS INTERNATIONAL INC ON 11/18/2010 A 1652.27 8947.29 1011000006
T 58 54 THRU CONVERGEX COMMISSIONS PAID 9.18 EXPENSES PAID 0 SUB TRUST 1255001464
15
TR TRAN#=0000000000000015 TR CD=0 REG=22 PORT=PRIN

3476 62

17,086.47

20563 09

PRICELINE COM INC - 741503403 - MINOR = 50

01/25/11 SOLD 55 SHS PRICELINE COM INC ON 01/20/2011 AT 427 705 THRU 10391 63 23520 01 1101000004
CONVERGEX COMMISSIONS PAID 3 30 EXPENSES PAID 0 46
TR TRAN#=0000000000000002 TR CD=0 REG=22 PORT=PRIN

01/25/11 SOLD 60 SHS PRICELINE COM INC ON 01/20/2011 AT 427.705 THRU 4746 46 8552 74 1101000007
CONVERGEX COMMISSIONS PAID 3 60 EXPENSES PAID 0 49 SUB TRUST 1255001339
TR TRAN#=0000000000000001 TR CD=0 REG=22 PORT=PRIN

15138.09

16,934.66

32072.75

PROCTER & GAMBLE CO COM - 742718109

TAX
CODE/DATE TRANSACTIONS

Gain/(loss)

Cost basis

Sales proceeds

EDIT
NUMBER

45 SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)

PROCTER & GAMBLE CO COM - 742718109 (CONTINUED)

05/05/10	SOLD 260 SHS PROCTER & GAMBLE CO ON 04/30/2010 AT 62 46 THRU CONVERGEX COMMISSIONS PAID 15.60 EXPENSES PAID 0 27 TR TRAN#-000000000000014 TR CD=0 REG=22 PORT=PRIN	-504 67	16223 73 1005000004 SUB TRUST 1255001464
05/17/10	SOLD 75 SHS PROCTER & GAMBLE CO ON 05/12/2010 AT 62 5001 THR U CONVERGEX COMMISSIONS PAID 3 75 EXPENSES PAID 0 08 TR TRAN#-000000000000017 TR CD=0 REG=22 PORT=PRIN	-141 82	4683 68 1005000029 SUB TRUST 1255001464
10/20/10	SOLD 100 SHS PROCTER & GAMBLE CO ON 10/15/2010 AT 63 00 THRU CONVERGEX COMMISSIONS PAID 8 00 EXPENSES PAID 0 11 TR TRAN#-000000000000034 TR CD=0 REG=22 PORT=PRIN	-142 11	6291 89 1010000012 SUB TRUST 1255001464

-788 60

27,987.90

27199 30

PRUDENTIAL FINANCIAL INC COM - 744320102 - MINOR = 50

05/05/10	SOLD 375 SHS PRUDENTIAL FINANCIAL INC ON 04/30/2010 AT 64 59 39 THRU CONVERGEX COMMISSIONS PAID 15 00 EXPENSES PAID 0 41 TR TRAN#-000000000000033 TR CD=0 REG=22 PORT=PRIN	4188 10	24207 30 1005000008 SUB TRUST 1255001464
10/20/10	SOLD 100 SHS PRUDENTIAL FINANCIAL INC ON 10/15/2010 AT 54.47 THRU CONVERGEX COMMISSIONS PAID 8 00 EXPENSES PAID 0 10 TR TRAN#-000000000000090 TR CD=0 REG=22 PORT=PRIN	261 26	5438.90 1010000030 SUB TRUST 1255001464

4449 36

25,196.84

29646.20

ROBERT HALF INTL INC COM - 770323103 - MINOR = 50

08/30/10	SOLD 1,000 SHS ROBERT HALF INTL INC ON 08/25/2010 AT 21 3007 THRU CONVERGEX COMMISSIONS PAID 40 00 EXPENSES PAID 0 36 TR TRAN#-000000000000015 TR CD=0 REG=22 PORT=PRIN	-5535 62	21260 34 1008000013 SUB TRUST 1255001464
10/20/10	SOLD 100 SHS ROBERT HALF INTL INC ON 10/15/2010 AT 27 20 THR U CONVERGEX COMMISSIONS PAID 8 00 EXPENSES PAID 0 05 TR TRAN#-0000000000000143 TR CD=0 REG=22 PORT=PRIN	51 92	2711 95 1010000047 SUB TRUST 1255001464
11/23/10	SOLD 2,610 SHS ROBERT HALF INTL INC ON 11/18/2010 AT 27 5228 THRU CONVERGEX COMMISSIONS PAID 104.40 EXPENSES PAID 1.21 TR TRAN#-000000000000039 TR CD=0 REG=22 PORT=PRIN	2436 14	71728 90 1011000010 SUB TRUST 1255001464

-3047 56

98,748 75

95701 19

ST JUDE MEDICAL INC COM - 790849103 - MINOR = 50

11/16/10	SOLD 350 SHS ST JUDE MED INC ON 11/10/2010 AT 38 6363 THRU C ONVERGEX COMMISSIONS PAID 17.50 EXPENSES PAID 0.22 TR TRAN#-000000000000001 TR CD=0 REG=22 PORT=PRIN	-821.84	9646 41 1011000007 SUB TRUST 1255001339
02/04/11	SOLD 400 SHS ST JUDE MED INC ON 02/01/2011 AT 41.0501 THRU C ONVERGEX COMMISSIONS PAID 32.00 EXPENSES PAID 0 32 TR TRAN#-000000000000016 TR CD=0 REG=22 PORT=PRIN	-361 48	16387 72 1102000005

-1183 32

27,217 45

26034 13

SANDISK CORP - 80004C101 - MINOR = 50

12/23/10	SOLD 100 SHS SANDISK CORP ON 12/20/2010 AT 49.055 THRU SANFO RD C BERNSTEIN AND CO., LLC COMMISSIONS PAID 6 00 EXPENSES PAID 0.09 TR TRAN#-000000000000002 TR CD=0 REG=22 PORT=PRIN	1551.41	4899 41 1012000006 SUB TRUST 1255001339
01/18/11	SOLD 100 SHS SANDISK CORP ON 01/12/2011 AT 51.9516 THRU CONV ERGEX COMMISSIONS PAID 6.00 EXPENSES PAID 0 09 TR TRAN#-000000000000001 TR CD=0 REG=22 PORT=PRIN	1841 07	5189 07 1101000002 SUB TRUST 1255001339

3392 48

6,696 00

10088 48

SCHLUMBERGER LTD COM - 806857108 - MINOR = 50

07/02/10	SOLD 2,613 SHS SCHLUMBERGER LTD ON 06/29/2010 AT 55 9086 THR U CONVERGEX COMMISSIONS PAID 104 52 EXPENSES PAID 2.47 TR TRAN#-000000000000063 TR CD=0 REG=22 PORT=PRIN	-15103 66	92907 90 1007000013 SUB TRUST 1255001464 **CHANGED** 11/06/10 SAD
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I CORRECTED BASIS OF 06/03/04 TAX LOT TO ORIGINAL COST BASIS.
WAS CHANGED IN ERROR JAN 2010. (SAD 11/06/10)

-15103 66

108,011 56

92907 90

SOUTHERN CO - 842587107 - MINOR = 50

10/20/10	SOLD 200 SHS SOUTHERN CO ON 10/15/2010 AT 37 78 THRU CONVERG EX COMMISSIONS PAID 16.00 EXPENSES PAID 0 13 TR TRAN#-0000000000000179 TR CD=0 REG=22 PORT=PRIN	800 79	7539 87 1010000059 SUB TRUST 1255001464
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800 79

6,739 08

7539.87

STATE STREET CORP COM - 857477103 - MINOR = 50

TAX
CODE/DATE TRANSACTIONS

Gain/(loss)

Cost basis

Sales proceeds

EDIT
NUMBER

45 SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)

STATE STREET CORP COM - 857477103 - MINOR = 50 (CONTINUED)

05/05/10	SOLD 650 SHS STATE STREET CORP ON 04/30/2010 AT 43.7024 THRU CONVERGEX COMMISSIONS PAID 26 00 EXPENSES PAID 0 49 TR TRAN#=000000000000037 TR CD=0 REG=22 PORT=PRIN	-905.75		28380 07 1005000009 SUB TRUST 1255001464
07/02/10	SOLD 650 SHS STATE STREET CORP ON 06/29/2010 AT 34.6333 THRU CONVERGEX COMMISSIONS PAID 26 00 EXPENSES PAID 0 38 TR TRAN#=000000000000093 TR CD=0 REG=22 PORT=PRIN	-6654 24		22485.26 1007000016 SUB TRUST 1255001464
08/30/10	SOLD 1,718 SHS STATE STREET CORP ON 08/25/2010 AT 35 0273 TH RU CONVERGEX COMMISSIONS PAID 68.72 EXPENSES PAID 1 02 TR TRAN#=000000000000007 TR CD=0 REG=22 PORT=PRIN	-14656 32		60107.16 1008000011 SUB TRUST 1255001464
		-22216 31	133,188.80	110972 49
SUNOCO INC - 86764P109 - MINOR = 50				
09/28/10	SOLD 275 SHS SUNOCO INC ON 09/23/2010 AT 33 6357 THRU CONVER GEX COMMISSIONS PAID 16 50 EXPENSES PAID 0 16 TR TRAN#=000000000000001 TR CD=0 REG=22 PORT=PRIN	279 38		2518.13 1009000008 SUB TRUST 1255001339
		279 38	2,238 75	2518 13
TALISMAN ENERGY INC DTD 6/1/09 7 75% 06/ - 87425EAL7 - MINOR = 45				
09/16/10	SOLD 7,000 UNITS TALISMAN ENERGY INC DTD 6/1/09 7.75% 06/01/ 2019 ON 09/13/2010 AT 125 07 THRU MORGAN STANLEY DW INC TR TRAN#=0000000000000126 TR CD=0 REG=22 PORT=PRIN	231 03		8754 90 1009000026 SUB TRUST 1255001455
01/12/11	SOLD 6,000 UNITS TALISMAN ENERGY INC DTD 6/1/09 7 75% 06/01/ 2019 ON 01/11/2011 AT 122 088 THRU MORGAN STANLEY DW INC TR TRAN#=000000000000081 TR CD=0 REG=22 PORT=PRIN	58 62		7325 28 1101000014 SUB TRUST 1255001455
		289 65	15,790.53	16080.18
TARGET CORP CALLABLE 5 375% 05/01/2017 - 87612EAP1 - MINOR = 45				
12/10/10	SOLD 6,000 UNITS TARGET CORP CALLABLE 5 375% 05/01/2017 ON 1 2/07/2010 AT 112 799 THRU MORGAN STANLEY DW INC. TR TRAN#=000000000000056 TR CD=0 REG=22 PORT=PRIN	168 87		6767 94 1012000013 SUB TRUST 1255001455
		168 87	6,599.07	6767.94
TENNESSEE VALLEY AUTHORITY SER A PUTABLE - 880591DT6 - MINOR = 30				
01/12/11	SOLD 10,000 UNITS TENNESSEE VALLEY AUTHORITY SER A PUTABLE 6 79% 05/23/2012 ON 01/07/2011 AT 108.56 THRU CANTOR CLEARING SERV TR TRAN#=000000000000094 TR CD=0 REG=12 PORT=PRIN	68 17		10856.00 1101000016 SUB TRUST 1255001455
		68 17	10,787.83	10856 00
TEVA PHARMACEUTICAL INDS SPONS ADR - 881624209 - MINOR = 55				
10/20/10	SOLD 100 SHS TEVA PHARMACEUTICAL INDS SPONS ADR ONE ADR REPR S ONE ORD SHARE ON 10/15/2010 AT 54 02 THRU CONVERGEX COMMI SSIONS PAID 8 00 EXPENSES PAID 0 10 TR TRAN#=0000000000000118 TR CD=0 REG=22 PORT=PRIN	-1092 03		5393.90 1010000039 SUB TRUST 1255001464
02/18/11	SOLD 200 SHS TEVA PHARMACEUTICAL INDS SPONS ADR ONE ADR REPR S ONE ORD SHARE ON 02/15/2011 AT 51.58 THRU ASSENT LLC COMM ISSIONS PAID 16.00 EXPENSES PAID 0.20 TR TRAN#=000000000000034 TR CD=0 REG=22 PORT=PRIN	-2672.06		10299.80 1102000008 SUB TRUST 1255001464
		-3764 09	19,457 79	15693 70
TEXAS INSTRUMENTS INC - 882508104 - MINOR = 31001				
02/18/11	SOLD 100 SHS TEXAS INSTRS INC ON 02/15/2011 AT 35 71 THRU CO NVERGEX COMMISSIONS PAID 5 00 EXPENSES PAID 0.06 TR TRAN#=000000000000044 TR CD=0 REG=22 PORT=PRIN	439 16		3565 94 1102000010 SUB TRUST 1255001464
		439 16	3,126.78	3565 94
THERMO ELECTRON CORP - 883556102 - MINOR = 50				
10/20/10	SOLD 100 SHS THERMO FISHER SCIENTIFIC, INC. ON 10/15/2010 AT 48.87 THRU CONVERGEX COMMISSIONS PAID 8.00 EXPENSES PAID 0 09 TR TRAN#=0000000000000121 TR CD=0 REG=22 PORT=PRIN	-375 59		4878 91 1010000040 SUB TRUST 1255001464
		-375 59	5,254 50	4878.91
TIFFANY & CO - 886547108 - MINOR = 50				
05/05/10	SOLD 575 SHS TIFFANY & CO ON 04/30/2010 AT 49.7543 THRU CONV ERGEX COMMISSIONS PAID 28 75 EXPENSES PAID 0 49 TR TRAN#=000000000000004 TR CD=0 REG=22 PORT=PRIN	3505 01		28579 48 1005000002 SUB TRUST 1255001464

TAX
CODE/DATE TRANSACTIONS

Gain/(loss)

Cost basis

Sales proceeds

EDIT
NUMBER

45 SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)

TIFFANY & CO - 886547108 - MINOR = 50 (CONTINUED)

07/02/10	SOLD 950 SHS TIFFANY & CO ON 06/29/2010 AT 39 9881 THRU CONV ERGEX COMMISSIONS PAID 38 00 EXPENSES PAID 0 64 TR TRAN#=000000000000019 TR CD=0 REG=22 PORT=PRIN	-1702 15	37950 06 1007000004 SUB TRUST 1255001464
10/20/10	SOLD 100 SHS TIFFANY & CO ON 10/15/2010 AT 50 01 THRU CONVER GEX COMMISSIONS PAID 8 00 EXPENSES PAID 0 09 TR TRAN#=000000000000010 TR CD=0 REG=22 PORT=PRIN	823 70	4992.91 1010000005 SUB TRUST 1255001464
11/23/10	SOLD 1,390 SHS TIFFANY & CO ON 11/18/2010 AT 56 177 THRU CON VERGEX COMMISSIONS PAID 55.60 EXPENSES PAID 1 32 TR TRAN#=000000000000003 TR CD=0 REG=22 PORT=PRIN	20379 19	78029.11 1011000004 SUB TRUST 1255001464

23005 75

126,545.81

149551 56

TIME WARNER INC - 887317303 - MINOR = 50

07/02/10	SOLD 100 SHS TIME WARNER INC ON 06/29/2010 AT 30.00 THRU CON VERGEX COMMISSIONS PAID 6.00 EXPENSES PAID 0 05 TR TRAN#=000000000000023 TR CD=0 REG=22 PORT=PRIN	-161 97	2993.95 1007000005 SUB TRUST 1255001464
10/20/10	SOLD 100 SHS TIME WARNER INC ON 10/15/2010 AT 31 73 THRU CON VERGEX COMMISSIONS PAID 8 00 EXPENSES PAID 0 06 TR TRAN#=000000000000013 TR CD=0 REG=22 PORT=PRIN	120.19	3164.94 1010000006 SUB TRUST 1255001464
11/23/10	SOLD 1,875 SHS TIME WARNER INC ON 11/18/2010 AT 30 7274 THRU CONVERGEX COMMISSIONS PAID 75.00 EXPENSES PAID 0 98 TR TRAN#=000000000000007 TR CD=0 REG=22 PORT=PRIN	5977 75	57537.90 1011000005 SUB TRUST 1255001464

5935.97

57,760 82

63696 79

TIME WARNER CABLE 6.75% 07/01/2018 - 88732JAL2 - MINOR = 45

09/16/10	SOLD 5,000 UNITS TIME WARNER CABLE 6.75% 07/01/2018 ON 09/ 13/2010 AT 118.019 THRU MORGAN STANLEY DW INC. TR TRAN#=000000000000087 TR CD=0 REG=22 PORT=PRIN	178.84	5900 95 1009000020 SUB TRUST 1255001455
12/10/10	SOLD 6,000 UNITS TIME WARNER CABLE 6.75% 07/01/2018 ON 12/ 07/2010 AT 116 788 THRU CANTOR FITZGERALD - CASTLEOAK SEC TR TRAN#=000000000000062 TR CD=0 REG=22 PORT=PRIN	244 96	7007.28 1012000014 SUB TRUST 1255001455

423.80

12,484 43

12908 23

TRAVELERS COMPANIES, INC. - 89417E109 - MINOR = 50

10/20/10	SOLD 100 SHS TRAVELERS COMPANIES, INC ON 10/15/2010 AT 53.8 4 THRU CONVERGEX COMMISSIONS PAID 8.00 EXPENSES PAID 0.10 TR TRAN#=000000000000093 TR CD=0 REG=22 PORT=PRIN	134 50	5375.90 1010000031 SUB TRUST 1255001464
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134.50

5,241 40

5375 90

US BANCORP COM - 902973304 - MINOR = 50

05/05/10	SOLD 200 SHS US BANCORP DEL ON 04/30/2010 AT 27 25 THRU CONV ERGEX COMMISSIONS PAID 12 00 EXPENSES PAID 0 10 TR TRAN#=000000000000043 TR CD=0 REG=22 PORT=PRIN	365 71	5437.90 1005000010 SUB TRUST 1255001464
10/20/10	SOLD 100 SHS US BANCORP DEL ON 10/15/2010 AT 22.73 THRU CONV ERGEX COMMISSIONS PAID 8.00 EXPENSES PAID 0.04 TR TRAN#=000000000000097 TR CD=0 REG=22 PORT=PRIN	-171.04	2264.96 1010000032 SUB TRUST 1255001464
02/07/11	SOLD 3,810 SHS US BANCORP DEL ON 02/02/2011 AT 27 4859 THRU CONVERGEX COMMISSIONS PAID 152 40 EXPENSES PAID 2.01 TR TRAN#=000000000000001 TR CD=0 REG=22 PORT=PRIN	5813.69	35678 98 1102000001 SUB TRUST 1255001464

6008.36

37,373.48

43381 84

UNITED STATES TREASURY NOTE 5.00% DTD 2/15/01 DUE 2/15/2011 - 9128276T4 - MINOR = 30

12/10/10	SOLD 12,000 UNITS UNITED STATES TREASURY 5% 02/15/2011 O N 12/07/2010 AT 100 875 THRU FTM FINANCIAL SECURITIES TR TRAN#=000000000000075 TR CD=0 REG=12 PORT=PRIN	-151 04	12105 00 1012000016 SUB TRUST 1255001455
12/13/10	SOLD 186,000 UNITS UNITED STATES TREASURY 5% 02/15/2011 ON 12/10/2010 AT 100 8359 THRU G.X. CLARKE AND COMPANY TR TRAN#=000000000000015 TR CD=0 REG=12 PORT=PRIN	-456.29	31259.14 1012000021 SUB TRUST 1255001455

-607 33

43,971 47

43364 14

UNITED STATES TREASURY INFLATION INDEX BOND DTD 07/15/08 1 375% 07/15/2018 - 912828JE1 - MINOR = 30

07/13/10	SOLD 11,124.19 UNITS UNITED STATES TREASURY INFLATION INDEX BOND DTD 07/15/08 1 375% 07/15/2018 ON 07/08/2010 AT 102 468 8 THRU G.X. CLARKE AND COMPANY ORIGINAL FACE VALUE 11,000 00 TR TRAN#=000000000000045 TR CD=0 REG=12 PORT=PRIN	-5.38	11398 82 1007000009 SUB TRUST 1255001455
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TAX
CODE/DATE TRANSACTIONS

Gain/(loss)

Cost basis

Sales proceeds

EDIT
MBER

45 SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)

UNITED STATES TREASURY INFLATION INDEX BOND DTD 07/15/08 1.375% 07/15/2018 - 912828JE1 - MINOR = 30 (CONTINUED)

08/12/10 SOLD 25,285 5 UNITS UNITED STATES TREASURY INFLATION INDEX B 581 78 26488.53 1008000004
OND DTD 07/15/08 1.375% 07/15/2018 ON 08/09/2010 AT 104 7578
THRU MORGAN STANLEY ORIGINAL FACE VALUE 25,000 00
SUB TRUST 1255001455
TR TRAN#=0000000000000011 TR CD=0 REG=12 PORT=PRIN

11/12/10 SOLD 25,315 25 UNITS UNITED STATES TREASURY INFLATION INDEX 1906 92 27811 08 1011000005
BOND DTD 07/15/08 1.375% 07/15/2018 ON 11/08/2010 AT 109 859
THRU MORGAN STANLEY DW INC ORIGINAL FACE VALUE 25,000 00
SUB TRUST 1255001455
TR TRAN#=0000000000000016 TR CD=0 REG=12 PORT=PRIN

01/12/11 SOLD 19,273 41 UNITS UNITED STATES TREASURY INFLATION INDEX 697 38 20418 46 1101000021
BOND DTD 07/15/08 1.375% 07/15/2018 ON 01/07/2011 AT 105 937
5 THRU G X CLARKE AND COMPANY ORIGINAL FACE VALUE 19,000 00
SUB TRUST 1255001455
TR TRAN#=0000000000000123 TR CD=0 REG=12 PORT=PRIN

3180 70

82,936 19

86116 89

UNITED STATES TREASURY N/B DTD 2/28/09 - 912828KE9 - MINOR = 30

12/10/10 SOLD 8,000 UNITS UNITED STATES TREASURY N/B DTD 2/28/09 875 12 50 8012 50 1012000018
% 02/28/2011 ON 12/07/2010 AT 100.1563 THRU FTN FINANCIAL SE
SUB TRUST 1255001455
CURITIES
TR TRAN#=0000000000000086 TR CD=0 REG=12 PORT=PRIN

12/13/10 SOLD 95,000 UNITS UNITED STATES TREASURY N/B DTD 2/28/09 87 -93 79 95144 73 1012000022
5% 02/28/2011 ON 12/10/2010 AT 100.1523 THRU FTN FINANCIAL S
SUB TRUST 1255001455
ECURITIES
TR TRAN#=0000000000000022 TR CD=0 REG=12 PORT=PRIN

-81.29

103,238 52

103157.23

UNITED STATES TREASURY N/B DTD 11/30/09 - 912828MM9 - MINOR = 30

04/28/10 SOLD 70,000 UNITS UNITED STATES TREASURY N/B DTD 11/30/09 .7 5 47 69964 45 1004000016
5% 11/30/2011 ON 04/26/2010 AT 99.9492 THRU FTN FINANCIAL SE
SUB TRUST 1255001455
CURITIES
TR TRAN#=0000000000000002 TR CD=0 REG=12 PORT=PRIN

05/13/10 SOLD 860,000 UNITS UNITED STATES TREASURY N/B DTD 11/30/09 539 46 860067.19 1005000008
75% 11/30/2011 ON 05/10/2010 AT 100 0078 THRU FTN FINANCIAL
SUB TRUST 1255001455
SECURITIES
TR TRAN#=0000000000000056 TR CD=0 REG=12 PORT=PRIN

06/11/10 SOLD 410,000 UNITS UNITED STATES TREASURY N/B DTD 11/30/09 . 675.78 410816 80 1006000002
75% 11/30/2011 ON 06/08/2010 AT 100.1992 THRU BARCLAYS CAPIT
SUB TRUST 1255001455
AL INC FIXED INCOME
TR TRAN#=0000000000000073 TR CD=0 REG=12 PORT=PRIN

08/12/10 SOLD 200,000 UNITS UNITED STATES TREASURY N/B DTD 11/30/09 82.68 200960.94 1008000003
75% 11/30/2011 ON 08/09/2010 AT 100 4805 THRU BANC/AMERICA S
SUB TRUST 1255001455
ECUR LLC, MONTGOMERY DIV
TR TRAN#=0000000000000007 TR CD=0 REG=12 PORT=PRIN

10/19/10 SOLD 40,000 UNITS UNITED STATES TREASURY N/B DTD 11/30/09 7 83 04 40217 19 1010000008
5% 11/30/2011 ON 10/18/2010 AT 100 543 THRU MORGAN STANLEY
SUB TRUST 1255001455
TR TRAN#=0000000000000006 TR CD=0 REG=12 PORT=PRIN

11/17/10 SOLD 62,000 UNITS UNITED STATES TREASURY N/B DTD 11/30/09 7 293 19 62283 35 1011000012
5% 11/30/2011 ON 11/16/2010 AT 100 457 THRU BANC/AMERICA SEC
SUB TRUST 1255001455
UR LLC, MONTGOMERY DIV
TR TRAN#=0000000000000004 TR CD=0 REG=12 PORT=PRIN

12/22/10 SOLD 220,000 UNITS UNITED STATES TREASURY N/B DTD 11/30/09 417.16 202789 07 1012000029
75% 11/30/2011 ON 12/21/2010 AT 100.3906 THRU G X CLARKE AN
SUB TRUST 1255001455
D COMPANY
TR TRAN#=0000000000000004 TR CD=0 REG=12 PORT=PRIN

02/02/11 SOLD 67,000 UNITS UNITED STATES TREASURY N/B DTD 11/30/09 .7 27.77 67280 04 1102000002
5% 11/30/2011 ON 01/28/2011 AT 100 418 THRU G X CLARKE AND
SUB TRUST 1255001455
COMPANY
TR TRAN#=0000000000000023 TR CD=0 REG=12 PORT=PRIN

02/15/11 SOLD 167,000 UNITS UNITED STATES TREASURY N/B DTD 11/30/09 . - 42 56 - - 140541 41 1102000015
75% 11/30/2011 ON 02/14/2011 AT 100 3867 THRU MLPFS INC/FIXE
SUB TRUST 1255001455
D INCOME
TR TRAN#=0000000000000034 TR CD=0 REG=12 PORT=PRIN

2167 11

2,052,753.33

2054920 44

UNITED STATES TREASURY DTD 02/16/2010 3 - 912828MP2 - MINOR = 30

05/20/10 SOLD 87,000 UNITS UNITED STATES TREASURY DTD 02/16/2010 3 62 2147.03 88988 08 1005000018
5% 02/15/2020 ON 05/19/2010 AT 102.2852 THRU BANC/AMERICA SE
SUB TRUST 1255001455
CUR LLC, MONTGOMERY DIV
TR TRAN#=0000000000000010 TR CD=0 REG=12 PORT=PRIN

TAX
CODE/DATE TRANSACTIONS

Gain/(loss)

Cost basis

Sales proceeds

EDIT
BER

45 SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)

UNITED STATES TREASURY DTD 02/16/2010 3. - 912828MP2 - MINOR = 30 (CONTINUED)

07/13/10 SOLD 13,000 UNITS UNITED STATES TREASURY DTD 02/16/2010 3 62 690 12 13625 12 1007000008
5% 02/15/2020 ON 07/08/2010 AT 104.8086 THRU BARCLAYS CAPITA SUB TRUST 1255001455
L INC FIXED INCOME
TR TRAN#=000000000000041 TR CD=0 REG=12 PORT=PRIN

12/10/10 SOLD 7,000 UNITS UNITED STATES TREASURY DTD 02/16/2010 3 625 441 87 7406 87 1012000017
% 02/15/2020 ON 12/07/2010 AT 105.8125 THRU BANC/AMERICA SEC SUB TRUST 1255001455
UR LLC, MONTGOMERY DIV
TR TRAN#=000000000000080 TR CD=0 REG=12 PORT=PRIN

3279.02

106,741.05

110020 07

UNITED STATES TREASURY N/B DTD 08/16/201 - 912828NU0 - MINOR = 30

01/12/11 SOLD 8,000 UNITS UNITED STATES TREASURY N/B DTD 08/16/2010 -1 87 7978 13 1101000017
75% 08/15/2013 ON 01/07/2011 AT 99 7266 THRU CITIGROUP GLOBA SUB TRUST 1255001455
L MARKETS INC
TR TRAN#=000000000000099 TR CD=0 REG=12 PORT=PRIN

-1 87

7,980.00

7978.13

UNITED STATES TREASURY N/B DTD 11/15/201 - 912828PC8 - MINOR = 30

01/12/11 SOLD 8,000 UNITS UNITED STATES TREASURY N/B DTD 11/15/2010 2 -64 37 7500.63 1101000020
625% 11/15/2020 ON 01/07/2011 AT 93.7578 THRU BANC/AMERICA SUB TRUST 1255001455
SECUR.LLC, MONTGOMERY DIV.
TR TRAN#=000000000000116 TR CD=0 REG=12 PORT=PRIN

-64.37

7,565.00

7500 63

UNITED TECHNOLOGIES CORP COM - 913017109 - MINOR = 50

05/17/10 SOLD 150 SHS UNITED TECHNOLOGIES CORP ON 05/12/2010 AT 73.10 204 27 10955 93 1005000034
08 THRU CONVERGEX COMMISSIONS PAID 9.00 EXPENSES PAID 0 19 SUB TRUST 1255001464
TR TRAN#=000000000000045 TR CD=0 REG=22 PORT=PRIN

10/20/10 SOLD 100 SHS UNITED TECHNOLOGIES CORP ON 10/15/2010 AT 74.75 439.63 7466.87 1010000048
THRU CONVERGEX COMMISSIONS PAID 8.00 EXPENSES PAID 0 13 SUB TRUST 1255001464
TR TRAN#=000000000000146 TR CD=0 REG=22 PORT=PRIN

643 90

17,778.90

18422 80

VCA ANTECH INC - 918194101 - MINOR = 50

11/16/10 SOLD 400 SHS VCA ANTECH INC ON 11/10/2010 AT 22 1842 THRU CO -556 01 3541 41 1011000008
NVERGEX COMMISSIONS PAID 20.00 EXPENSES PAID 0 15 SUB TRUST 1255001339
TR TRAN#=000000000000009 TR CD=0 REG=22 PORT=PRIN

-556.01

4,097 42

3541.41

V F CORP - 918204108 - MINOR = 50

07/02/10 SOLD 400 SHS VF CORP ON 06/29/2010 AT 73.8127 THRU CONVERGEX -4354 82 29508.58 1007000006
COMMISSIONS PAID 16 00 EXPENSES PAID 0 50 SUB TRUST 1255001464
TR TRAN#=000000000000026 TR CD=0 REG=22 PORT=PRIN

10/20/10 SOLD 100 SHS VF CORP ON 10/15/2010 AT 86.77 THRU CONVERGEX C 602 10 8668 85 1010000007
OMMISSIONS PAID 8 00 EXPENSES PAID 0.15 SUB TRUST 1255001464
TR TRAN#=000000000000017 TR CD=0 REG=22 PORT=PRIN

01/12/11 SOLD 150 SHS VF CORP ON 01/07/2011 AT 81 7758 THRU CONVERGEX 105 63 8091.71 1101000002
COMMISSIONS PAID 6 00 EXPENSES PAID 0.21 SUB TRUST 1255001464
TR TRAN#=000000000000004 TR CD=0 REG=22 PORT=PRIN

-3647 09

49,916.23

46269 14

VERIZON COMMUNICATIONS 5 55% 02/15/201 - 92343VAC8 - MINOR = 45

09/16/10 SOLD 5,000 UNITS VERIZON COMMUNICATIONS 5.55% 02/15/2016 O -127 35 5388 85 1009000022
N 09/13/2010 AT 107.777 THRU J.P. MORGAN SECURITIES INC SUB TRUST 1255001455
TR TRAN#=000000000000099 TR CD=0 REG=22 PORT=PRIN

12/10/10 SOLD 6,000 UNITS VERIZON COMMUNICATIONS 5.55% 02/15/2016 O 247 96 6841 50 1012000012
N 12/08/2010 AT 114 025 THRU SCOTT AND STRINGFELLOW INV CORP SUB TRUST 1255001455
TR TRAN#=000000000000050 TR CD=0 REG=22 PORT=PRIN

03/15/11 SOLD 82,000 UNITS VERIZON COMMUNICATIONS 5 55% 02/15/2016 201 47 7863 24 1103000008
ON 03/10/2011 AT 112 332 THRU BARCLAYS CAPITAL INC. FIXED IN SUB TRUST 1255001455
COME
TR TRAN#=000000000000028 TR CD=0 REG=22 PORT=PRIN

322.08

19,771.51

20093 59

VISA INC - CLASS A SHRS - 92826C839 - MINOR = 50

TAX
CODE/DATE TRANSACTIONS

Gain/(loss)

Cost basis

Sales proceeds EDIT
NUMBER

45 SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)

VISA INC - CLASS A SHRS - 92826C839 - MINOR = 50 . (CONTINUED)

05/05/10 SOLD 32 SHS VISA INC - CLASS A SHRS ON 04/30/2010 AT 93 22 T 110 66 2980 42 1005000011
HRU CONVERGEX COMMISSIONS PAID 2 56 EXPENSES PAID 0.06 SUB TRUST 1255001464
TR TRAN#=0000000000000047 TR CD=0 REG=22 PORT=PRIN

10/20/10 SOLD 50 SHS VISA INC - CLASS A SHRS ON 10/15/2010 AT 77 74. T -317 52 3882 93 1010000033
HRU CONVERGEX COMMISSIONS PAID 4 00 EXPENSES PAID 0 07 SUB TRUST 1255001464
TR TRAN#=000000000000100 TR CD=0 REG=22 PORT=PRIN

11/23/10 SOLD 750 SHS VISA INC - CLASS A SHRS ON 11/18/2010 AT 76.244 -5027 84 57152 27 1011000009
3 THRU CONVERGEX COMMISSIONS PAID 30 00 EXPENSES PAID 0 96 SUB TRUST 1255001464
TR TRAN#=0000000000000033 TR CD=0 REG=22 PORT=PRIN
I WASH SALE - PARTIAL LOSS OF \$4597.38 WAS DISALLOWED. (MK
02/04/11) **CHANGED** 02/04/11 MK

-5234 70

69,250 32

64015 62

WACHOVIA CORP DTD 06/08/07 5 75% 06/15/2 - 929903DT6 - MINOR = 45

09/16/10 SOLD 7,000 UNITS WACHOVIA CORP DTD 06/08/07 5 75% 06/15/2017 271.75 7886 76 1009000021
ON 09/13/2010 AT 112.668 THRU SCOTT AND STRINGFELLOW INV CO SUB TRUST 1255001455
RP
TR TRAN#=0000000000000093 TR CD=0 REG=22 PORT=PRIN

01/12/11 SOLD 7,000 UNITS WACHOVIA CORP DTD 06/08/07 5 75% 06/15/2017 227 74 7767.69 1101000015
ON 01/11/2011 AT 110.967 THRU CANTOR CLEARING SERV SUB TRUST 1255001455
TR TRAN#=0000000000000087 TR CD=0 REG=22 PORT=PRIN

499 49

15,154.96

15654 45

WELLPOINT INC - 94973V107 - MINOR = 3101

07/02/10 SOLD 100 SHS WELLPOINT INC ON 06/29/2010 AT 50.31 THRU CONVE -713 69 5022 91 1007000018
RGEX COMMISSIONS PAID 8 00 EXPENSES PAID 0 09 SUB TRUST 1255001464
TR TRAN#=0000000000000111 TR CD=0 REG=22 PORT=PRIN

10/20/10 SOLD 100 SHS WELLPOINT INC ON 10/15/2010 AT 57 62 THRU CONVE 17 30 5753 90 1010000041
RGEX COMMISSIONS PAID 8 00 EXPENSES PAID 0.10 SUB TRUST 1255001464
TR TRAN#=0000000000000125 TR CD=0 REG=22 PORT=PRIN

03/22/11 SOLD 200 SHS WELLPOINT INC ON 03/17/2011 AT 66.3829 THRU CON 129 12 13264.32 1103000022
VERGEX COMMISSIONS PAID 12 00 EXPENSES PAID 0 26 SUB TRUST 1255001464
TR TRAN#=0000000000000089 TR CD=0 REG=22 PORT=PRIN

-567.27

24,608 40

24041.13

WELLS FARGO & CO NEW COM - 949746101

05/05/10 SOLD 500 SHS WELLS FARGO & CO ON 04/30/2010 AT 33.1473 THRU 2888 82 16548 36 1005000012
CONVERGEX COMMISSIONS PAID 25 00 EXPENSES PAID 0 29 SUB TRUST 1255001464
TR TRAN#=0000000000000050 TR CD=0 REG=22 PORT=PRIN
I CORRECTED BASIS OF 07/28/2009 TAX LOT TO ORIGINAL COST BASIS.
WAS CHANGED IN ERROR JAN 2010. (SAD 11/06/10) **CHANGED** 11/06/10 SAD

08/11/10 SOLD 1,000 SHS WELLS FARGO & CO ON 08/06/2010 AT 27.4962 THR -321 51 5491 15 1008000004
U CONVERGEX COMMISSIONS PAID 40 00 EXPENSES PAID 0 47 SUB TRUST 1255001464
TR TRAN#=0000000000000017 TR CD=0 REG=22 PORT=PRIN
I CORRECTED BASIS OF 07/28/2009 TAX LOT TO ORIGINAL COST BASIS.
WAS CHANGED IN ERROR JAN 2010. (SAD 11/06/10) **CHANGED** 11/06/10 SAD

08/30/10 SOLD 2,000 SHS WELLS FARGO & CO ON 08/25/2010 AT 23.3971 THR -4628.18 25178.52 1008000012
U CONVERGEX COMMISSIONS PAID 80 00 EXPENSES PAID 0.80 SUB TRUST 1255001464
TR TRAN#=0000000000000011 TR CD=0 REG=22 PORT=PRIN
I CORRECTED BASIS OF 07/28/2009 TAX LOT TO ORIGINAL COST BASIS.
WAS CHANGED IN ERROR JAN 2010. (SAD 11/06/10) **CHANGED** 11/06/10 SAD

10/20/10 SOLD 100 SHS WELLS FARGO & CO ON 10/15/2010 AT 24 91 THRU CO -282 05 2482 95 1010000034
NVERGEX COMMISSIONS PAID 8 00 EXPENSES PAID 0 05 SUB TRUST 1255001464
TR TRAN#=0000000000000103 TR CD=0 REG=22 PORT=PRIN

-2342 92

52,043.90

49700 98

ZIONS BANCORP COM - 989701107 - MINOR = 50

12/03/10 SOLD 950 SHS ZIONS BANCORPORATION ON 11/30/2010 AT 18 8801 T -4163 85 17897 80 1012000001
HRU CONVERGEX COMMISSIONS PAID 38 00 EXPENSES PAID 0.30 SUB TRUST 1255001339
TR TRAN#=0000000000000002 TR CD=0 REG=22 PORT=PRIN

-4163 85

22,061 65

17897.80

TRANSOCEAN LTD - H8817H100 - MINOR = 50

07/02/10 SOLD 600 SHS TRANSOCEAN LTD ON 06/29/2010 AT 49 00 THRU CONV -9149 07 14015 15 1007000014
ERGEX COMMISSIONS PAID 24 00 EXPENSES PAID 0 50 SUB TRUST 1255001464
TR TRAN#=0000000000000071 TR CD=0 REG=22 PORT=PRIN

TAX
CODE/DATE TRANSACTIONS

Gain/(loss)

Cost basis

Sales proceeds

EDIT
BER

45 SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)

TRANSOCEAN LTD - H8817H100 - MINOR = 50 (CONTINUED)

08/18/10 SOLD 1,228 SHS TRANSOCEAN LTD ON 08/13/2010 AT 53 603 THRU C -191 14 27463 31 1008000009
ONVERGEX COMMISSIONS PAID 49 12 EXPENSES PAID 1 12 SUB TRUST 1255001464
TR TRAN#=0000000000000003 TR CD=0 REG=22 PORT=PRIN

-9340 21

50,818 67

41478 46

TOTAL CODE 45 - SHORT-TERM GAIN/LOSS - CURRENT SALES

25465 17

8,043,699 06

8069164 23

507 LONG-TERM 15% CAPITAL GAIN DIVIDENDS

01/13/11 RECEIVED FROM WELLS FARGO BANK UNITEDHEALTH GROUP SECURITIES 669 82 669 82 1101000015
LITIGATION SETTLEMENT
TR TRAN#=0000000000000001 TR CD=36 REG= PORT=PRIN

01/13/11 RECEIVED FROM WELLS FARGO BANK XEROX CORPORATION SECURITIES 84 54 84 54 1101000016
LITIGATION SETTLEMENT
TR TRAN#=0000000000000002 TR CD=36 REG= PORT=PRIN

TOTAL CODE 507 - LONG-TERM 15% CAPITAL GAIN DIVIDENDS

754 36

0 00

754 36

509 LONG-TERM 15% GAIN/LOSS - CURRENT SALES

ABBOTT LABORATORIES - 002824100 - MINOR = 31001

02/04/11 SOLD 600 SHS ABBOTT LABS ON 02/01/2011 AT 45 2983 THRU MORGAN 201.25 27130 45 1102000001
STANLEY COMMISSIONS PAID 48.00 EXPENSES PAID 0 53
TR TRAN#=0000000000000003 TR CD=0 REG=22 PORT=PRIN

201 25

26,929 20

27130.45

ABBOTT LABS DTD 3/3/09 5.125% 04/01/2019 - 002824AU4 - MINOR = 45

04/20/10 SOLD 17,000 UNITS ABBOTT LABS DTD 3/3/09 5.125% 04/01/2019 O 1055 53 17971.38 1004000008
N 04/15/2010 AT 105 714 THRU CANTOR FITZGERALD - CASTLEOAK S SUB TRUST 1255001455
EC
TR TRAN#=0000000000000002 TR CD=0 REG=22 PORT=PRIN

1055 53

16,915 85

17971.38

AIRTRAN HLDGS INC - 00949P108 - MINOR = 50

10/01/10 SOLD 2,600 SHS AIRTRAN HLDGS INC ON 09/28/2010 AT 7.32 THRU 2171.85 8735 85 1010000001
CONVERGEX COMMISSIONS PAID 104.00 EXPENSES PAID 0 33 SUB TRUST 1255001339
TR TRAN#=0000000000000001 TR CD=0 REG=22 PORT=PRIN

2171 85

6,564.00

8735 85

ALLERGAN INC - 018490102 - MINOR = 50

02/02/11 SOLD 260 SHS ALLERGAN INC ON 01/28/2011 AT 69 0142 THRU KNIG 5127 95 17927.75 1102000002
HT CLEARING SERVICES LLC COMMISSIONS PAID 15 60 EXPENSES PAID 0 34 SUB TRUST 1255001339
D 0 34
TR TRAN#=0000000000000007 TR CD=0 REG=22 PORT=PRIN

5127 95

12,799.80

17927 75

ALTRIA GROUP INC - 02209S103 - MINOR = 5010

02/04/11 SOLD 2,000 SHS ALTRIA GROUP INC ON 02/01/2011 AT 23 65 THRU 30272 55 47179 09 1102000002
CONVERGEX COMMISSIONS PAID 120 00 EXPENSES PAID 0.91
TR TRAN#=0000000000000006 TR CD=0 REG=22 PORT=PRIN

30272 55

16,906.54

47179.09

AMERIPRISE FINANCIAL INC - 03076C106 - MINOR = 50

02/04/11 SOLD 200 SHS AMERIPRISE FINANCIAL INC ON 02/01/2011 AT 62 73 5147 75 12529 75 1102000003
THRU MORGAN STANLEY COMMISSIONS PAID 16 00 EXPENSES PAID 0 25
TR TRAN#=0000000000000010 TR CD=0 REG=22 PORT=PRIN

5147 75

7,382 00

12529 75

APACHE CORP - 037411105 - MINOR = 31001

03/22/11 SOLD 400 SHS APACHE CORPORATION ON 03/17/2011 AT 117 8169 TH 3759.27 32388 03 1103000010
RU PERSHING LLC COMMISSIONS PAID 16.00 EXPENSES PAID 0 90 SUB TRUST 1255001464
TR TRAN#=0000000000000029 TR CD=0 REG=22 PORT=PRIN

3759.27

28,628.76

32388 03

AVON PRODS INC - 054303102 - MINOR = 50

TAX
CODE/DATE TRANSACTIONS

Gain/(loss)

Cost basis

Sales proceeds

EDIT
RBER

509 LONG-TERM 15% GAIN/LOSS - CURRENT SALES (CONTINUED)

AVON PRODS INC - 054303102 - MINOR = 50 (CONTINUED)

05/07/10 SOLD 200 SHS AVON PRODUCTS INC ON 05/04/2010 AT 30 98 THRU C 1871 89 6183.89 1005000004
ONVERGEX COMMISSIONS PAID 12.00 EXPENSES PAID 0 11 SUB TRUST 1255001339
TR TRAN#=000000000000003 TR CD=0 REG=22 PORT=PRIN

1871 89

4,312.00

6183 89

BP CAPITAL MARKETS PLC NORMAL 5 25% 11/0 - 05565QBF4 - MINOR = 45

04/07/10 SOLD 75,000 UNITS BP CAPITAL MARKETS PLC NORMAL 5 25% 11/07/ 7578 00 82529 25 1004000001
2013 ON 04/01/2010 AT 110 039 THRU CREDIT SUISSE FIRST BOSTO SUB TRUST 1255001455
N LLC
TR TRAN#=000000000000006 TR CD=0 REG=22 PORT=PRIN

7578 00

74,951 25

82529 25

BANK AMER CORP - 060505104

03/22/11 SOLD 4,000 SHS BANK OF AMERICA CORP ON 03/17/2011 AT 13 8124 -5459 01 55088.54 1103000018
THRU CONVERGEX COMMISSIONS PAID 160 00 EXPENSES PAID 1 06 SUB TRUST 1255001464
TR TRAN#=000000000000059 TR CD=0 REG=22 PORT=PRIN

-5459 01

60,547.55

55088.54

BRINKER INTL INC - 109641100 - MINOR = 50

05/24/10 SOLD 390 SHS BRINKER INTL INC ON 05/19/2010 AT 17 847 THRU C -414.69 6940 71 1005000012
ONVERGEX COMMISSIONS PAID 19 50 EXPENSES PAID 0.12 SUB TRUST 1255001339
TR TRAN#=000000000000003 TR CD=0 REG=22 PORT=PRIN

-414 69

7,355 40

6940 71

CVS CORP COM - 126650100 - MINOR = 3101

11/09/10 SOLD 350 SHS CVS/CAREMARK CORPORATION ON 11/04/2010 AT 31.12 -2225.83 10877 37 1011000003
87 THRU CONVERGEX COMMISSIONS PAID 17.50 EXPENSES PAID 0 18 SUB TRUST 1255001339
TR TRAN#=000000000000001 TR CD=0 REG=22 PORT=PRIN

-2225.83

13,103.20

10877.37

CAMERON INTL CORP - 13342B105 - MINOR = 50

09/14/10 SOLD 200 SHS CAMERON INTL CORP ON 09/09/2010 AT 38 48 THRU C 1908 11 5378 71 1009000005
ONVERGEX COMMISSIONS PAID 12.00 EXPENSES PAID 0 13 SUB TRUST 1255001339
TR TRAN#=000000000000004 TR CD=0 REG=22 PORT=PRIN

02/11/11 SOLD 100 SHS CAMERON INTL CORP ON 02/08/2011 AT 56 8063 THRU 3195 52 5674.52 1102000008
CONVERGEX COMMISSIONS PAID 6 00 EXPENSES PAID 0.11 SUB TRUST 1255001339
TR TRAN#=000000000000004 TR CD=0 REG=22 PORT=PRIN

5103 63

5,949.60

11053.23

CARPENTER TECHNOLOGY CORP - 144285103 - MINOR = 50

04/28/10 SOLD 250 SHS CARPENTER TECHNOLOGY CORP ON 04/23/2010 AT 41.7 6038 42 10433 42 1004000007
844 THRU CONVERGEX COMMISSIONS PAID 12.50 EXPENSES PAID 0 18 SUB TRUST 1255001339
TR TRAN#=000000000000002 TR CD=0 REG=22 PORT=PRIN

05/26/10 SOLD 175 SHS CARPENTER TECHNOLOGY CORP ON 05/21/2010 AT 35.6 3149 49 6225 99 1005000014
377 THRU CONVERGEX COMMISSIONS PAID 10 50 EXPENSES PAID 0 11 SUB TRUST 1255001339
TR TRAN#=000000000000003 TR CD=0 REG=22 PORT=PRIN

06/01/10 SOLD 175 SHS CARPENTER TECHNOLOGY CORP ON 05/26/2010 AT 37.7 3525.05 6601 55 1006000001
838 THRU CONVERGEX COMMISSIONS PAID 10.50 EXPENSES PAID 0.11 SUB TRUST 1255001339
TR TRAN#=000000000000004 TR CD=0 REG=22 PORT=PRIN

12712 96

10,548 00

23260 96

CHEVRON CORP COM - 166764100 - MINOR = 50

03/22/11 SOLD 500 SHS CHEVRON CORP ON 03/17/2011 AT 101 8532 THRU PER 12648.27 50900.62 1103000011
SHING LLC COMMISSIONS PAID 25 00 EXPENSES PAID 0 98 SUB TRUST 1255001464
TR TRAN#=000000000000034 TR CD=0 REG=22 PORT=PRIN

12648.27

38,252 35

50900 62

CIENA CORP - 171779309 - MINOR = 50

12/15/10 SOLD 750 SHS CIENA CORP ON 12/10/2010 AT 19 0854 THRU CONVER 2375 95 14283 81 1012000005
GEX COMMISSIONS PAID 30 00 EXPENSES PAID 0 24 SUB TRUST 1255001339
TR TRAN#=000000000000008 TR CD=0 REG=22 PORT=PRIN

02/10/11 SOLD 250 SHS CIENA CORP ON 02/07/2011 AT 26 9174 THRU CONVER 3518 42 6716 72 1102000006
GEX COMMISSIONS PAID 12 50 EXPENSES PAID 0 13 SUB TRUST 1255001339
TR TRAN#=000000000000001 TR CD=0 REG=22 PORT=PRIN

TAX
CODE/DATE TRANSACTIONSGain/(loss) Cost basis Sales proceeds EDIT
ABER509 LONG-TERM 15% GAIN/LOSS - CURRENT SALES (CONTINUED)
CIENA CORP - 171779309 - MINOR = 50 (CONTINUED)03/07/11 SOLD 200 SHS CIENA CORP ON 03/02/2011 AT 28 1411 THRU CONVER 3057 47 5616 11 1103000003
GEX COMMISSIONS PAID 12 00 EXPENSES PAID 0 11 SUB TRUST 1255001339
TR TRAN#=0000000000000001 TR CD=0 REG=22 PORT=PRIN

8951 84 17,664 80 26616 64

CIMAREX ENERGY CO - 171798101 - MINOR = 50

06/21/10 SOLD 50 SHS CIMAREX ENERGY CO ON 06/16/2010 AT 80.2804 THRU 2770 45 4010 95 1006000009
CONVERGEX COMMISSIONS PAID 3 00 EXPENSES PAID 0 07 SUB TRUST 1255001339
TR TRAN#=0000000000000002 TR CD=0 REG=22 PORT=PRIN08/25/10 SOLD 175 SHS CIMAREX ENERGY CO ON 08/20/2010 AT 66.9196 THRU 7358.48 11700 23 1008000010
CONVERGEX COMMISSIONS PAID 10 50 EXPENSES PAID 0 20 SUB TRUST 1255001339
TR TRAN#=0000000000000002 TR CD=0 REG=22 PORT=PRIN

10128.93 5,582.25 15711.18

CITIGROUP INC FDIC GUARANTEED DTD 08/06/2009 2.25% 12/10/2012 - 17313YAJ0 - MINOR = 30

02/02/11 SOLD 189,000 UNITS CITIGROUP INC FDIC GUARANTEED DTD 08/06/2 2630 79 159509 26 1102000001
009 2.25% 12/10/2012 ON 01/28/2011 AT 102 9092 THRU G X CLA SUB TRUST 1255001455
RKE AND COMPANY
TR TRAN#=0000000000000008 TR CD=0 REG=22 PORT=PRIN

2630.79 156,878.47 159509 26

COACH INC COM W/RTS ATTACHED EXP 5/2/2011 - 189754104 - MINOR = 50

12/28/10 SOLD 150 SHS COACH INC ON 12/22/2010 AT 56 3062 THRU KNIGHT 5591 29 8436 79 1012000007
CLEARING SERVICES LLC COMMISSIONS PAID 9 00 EXPENSES PAID 0 SUB TRUST 1255001339
14
TR TRAN#=0000000000000001 TR CD=0 REG=22 PORT=PRIN

5591 29 2,845 50 8436 79

CRACKER BARREL OLD COUNTRY STORE INC - 22410J106 - MINOR = 50

03/02/11 SOLD 150 SHS CRACKER BARREL OLD COUNTRY STORE INC ON 02/25/2 2697 91 7490 41 1103000002
011 AT 49.997 THRU CONVERGEX COMMISSIONS PAID 9.00 EXPENSES SUB TRUST 1255001339
PAID 0.14
TR TRAN#=0000000000000003 TR CD=0 REG=22 PORT=PRIN

2697 91 4,792 50 7490.41

CREDIT SUISSE NEW YORK DTD 5/4/09 5 5% 0 - 22546QAA5 - MINOR = 45

12/10/10 SOLD 8,000 UNITS CREDIT SUISSE NEW YORK DTD 5/4/09 5.5% 05/0 470 72 7744 94 1012000005
1/2014 ON 12/07/2010 AT 110 642 THRU SCOTT AND STRINGFELLOW SUB TRUST 1255001455
INV CORP
TR TRAN#=0000000000000006 TR CD=0 REG=22 PORT=PRIN01/12/11 SOLD 8,000 UNITS CREDIT SUISSE NEW YORK DTD 5/4/09 5 5% 05/0 530 16 8836 24 1101000002
1/2014 ON 01/07/2011 AT 110 453 THRU SCOTT AND STRINGFELLOW SUB TRUST 1255001455
INV CORP
TR TRAN#=0000000000000007 TR CD=0 REG=22 PORT=PRIN

1000.88 15,580.30 16581 18

DST SYS INC DEL - 233326107 - MINOR = 50

05/05/10 SOLD 150 SHS DST SYS INC DEL ON 04/30/2010 AT 42 5775 THRU S 752.51 6377 51 1005000002
ANFORD C BERNSTEIN AND CO, LLC COMMISSIONS PAID 9 00 EXPEN SUB TRUST 1255001339
SES PAID 0.11
TR TRAN#=0000000000000004 TR CD=0 REG=22 PORT=PRIN

752.51 5,625.00 6377.51

DENTSPLY INTL INC NEW - 249030107 - MINOR = 50

05/27/10 SOLD 300 SHS DENTSPLY INTL INC NEW ON 05/24/2010 AT 33 0449 1789 30 9895 30 1005000015
THRU CONVERGEX COMMISSIONS PAID 18 00 EXPENSES PAID 0 17 SUB TRUST 1255001339
TR TRAN#=0000000000000001 TR CD=0 REG=22 PORT=PRIN

1789 30 8,106.00 9895 30

DISH NETWORK CORP -A - 25470M109 - MINOR = 50

12/15/10 SOLD 270 SHS DISH NETWORK CORP -A ON 12/10/2010 AT 18.609 TH 1214.64 5010 84 1012000004
RU CONVERGEX COMMISSIONS PAID 13 50 EXPENSES PAID 0.09 SUB TRUST 1255001339
TR TRAN#=0000000000000003 TR CD=0 REG=22 PORT=PRIN02/03/11 SOLD 270 SHS DISH NETWORK CORP -A ON 01/31/2011 AT 21 1477 T 1900.07 5696 27 1102000004
HRU CONVERGEX COMMISSIONS PAID 13 50 EXPENSES PAID 0 11 SUB TRUST 1255001339
TR TRAN#=0000000000000001 TR CD=0 REG=22 PORT=PRIN

3114.71 7,592.40 10707 11

ACCT H705 1255000599
PREP 6072 ADMN 901 INV 91

REGIONS BANK, TRUST DEPARTMENT
TAX TRANSACTION DETAIL

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TAX
CODE/DATE TRANSACTIONS

Gain/(loss)

Cost basis

Sales proceeds

EDIT
BER

509 LONG-TERM 15% GAIN/LOSS - CURRENT SALES (CONTINUED)
ENSCO PLC -- 29358Q109 - MINOR = 55

08/26/10 SOLD 300 SHS ENSCO PLC ON 08/23/2010 AT 41.5389 THRU CONVERG -206 05 12446 45 1008000012
EX COMMISSIONS PAID 15 00 EXPENSES PAID 0.22 SUB TRUST 1255001339
TR TRAN# = 0000000000000007 TR CD=0 REG=22 PORT=PRIN

-206 05

12,652.50

12446 45

EXELON CORP - 30161N101 - MINOR = 50

02/18/11 SOLD 1,718 SHS EXELON CORP ON 02/15/2011 AT 41.2813 THRU CON -6075 37 51550.63 1102000013
VERGEX COMMISSIONS PAID 68 72 EXPENSES PAID 1 36 SUB TRUST 1255001464
TR TRAN# = 0000000000000053 TR CD=0 REG=22 PORT=PRIN

-6075 37

57,626.00

51550 63

EXXON MOBIL CORP - 30231G102

03/22/11 SOLD 400 SHS EXXON MOBIL CORP ON 03/17/2011 AT 80.8907 THRU -2339 77 5093 49 1103000012
SANFORD C. BERNSTEIN AND CO., LLC COMMISSIONS PAID 16 00 EXP SUB TRUST 1255001464
ENSES PAID 0 62
TR TRAN# = 0000000000000037 TR CD=0 REG=22 PORT=PRIN

2339.77

2,753.72

5093 49

FEDERAL HOME LOAN MORTGAGE CORP POOL #G03331 DTD 09/01/07 6% 08/01/2037 - 3128M5A83 - MINOR = 35

04/15/10 PAYMENT ON 178,067 02 UNITS FEDERAL HOME LOAN MORTGAGE CORP -13 61 6968 28 1004000004
POOL #G03331 DTD 09/01/07 6% 08/01/2037 ORIGINAL FACE VALUE SUB TRUST 1255001455
358,272.00 FHLMC PC GOLD COMB 30
TR TRAN# = 0000000000000002 TR CD=999 REG=12 PORT=PRIN

05/17/10 PAYMENT ON 171,098 74 UNITS FEDERAL HOME LOAN MORTGAGE CORP -10 88 5571 33 1005000012
POOL #G03331 DTD 09/01/07 6% 08/01/2037 ORIGINAL FACE VALUE SUB TRUST 1255001455
358,272.00 FHLMC PC GOLD COMB 30
TR TRAN# = 0000000000000002 TR CD=999 REG=12 PORT=PRIN

06/15/10 PAYMENT ON 165,527 41 UNITS FEDERAL HOME LOAN MORTGAGE CORP -11 09 5678 71 1006000005
POOL #G03331 DTD 09/01/07 6% 08/01/2037 ORIGINAL FACE VALUE SUB TRUST 1255001455
358,272.00 FHLMC PC GOLD COMB 30
TR TRAN# = 0000000000000002 TR CD=999 REG=12 PORT=PRIN

07/15/10 PAYMENT ON 159,848 7 UNITS FEDERAL HOME LOAN MORTGAGE CORP P -6 38 3266 54 1007000011
OOL #G03331 DTD 09/01/07 6% 08/01/2037 ORIGINAL FACE VALUE 3 SUB TRUST 1255001455
58,272 00 FHLMC PC GOLD COMB 30
TR TRAN# = 0000000000000002 TR CD=999 REG=12 PORT=PRIN

08/16/10 PAYMENT ON 156,582 16 UNITS FEDERAL HOME LOAN MORTGAGE CORP -9 62 4927 20 1008000006
POOL #G03331 DTD 09/01/07 6% 08/01/2037 ORIGINAL FACE VALUE SUB TRUST 1255001455
358,272.00 FHLMC PC GOLD COMB 30
TR TRAN# = 0000000000000002 TR CD=999 REG=12 PORT=PRIN

09/15/10 PAYMENT ON 151,654 96 UNITS FEDERAL HOME LOAN MORTGAGE CORP -9 12 4668 49 1009000002
POOL #G03331 DTD 09/01/07 6% 08/01/2037 ORIGINAL FACE VALUE SUB TRUST 1255001455
358,272.00 FHLMC PC GOLD COMB 30
TR TRAN# = 0000000000000002 TR CD=999 REG=12 PORT=PRIN

09/16/10 SOLD 146,986 45 UNITS FEDERAL HOME LOAN MORTGAGE CORP POOL # 11224.93 158498 48 1009000024
G03331 DTD 09/01/07 6% 08/01/2037 ON 09/13/2010 AT 107 832 T SUB TRUST 1255001455
HRU NOMURA SECURITIES / FIX INCOME ORIGINAL FACE VALUE 358,2
72 00
TR TRAN# = 0000000000000114 TR CD=0 REG=12 PORT=PRIN

11164.23

7414.80

189579 03

FEDERAL HOME LOAN MTG CORP POOL #G12581 DTD 02/01/07 5% 02/01/2022 - 3128MBCS4 - MINOR = 35

04/15/10 PAYMENT ON 640,974 76 UNITS FEDERAL HOME LOAN MTG CORP POOL 338.57 17061 82 1004000006
#G12581 DTD 02/01/07 5% 02/01/2022 ORIGINAL FACE VALUE 1,365 SUB TRUST 1255001455
,428.00 FHLMC PC GOLD COMB 15
TR TRAN# = 0000000000000010 TR CD=999 REG=12 PORT=PRIN

05/17/10 PAYMENT ON 623,912 94 UNITS FEDERAL HOME LOAN MTG CORP POOL 350 95 17685 80 1005000014
#G12581 DTD 02/01/07 5% 02/01/2022 ORIGINAL FACE VALUE 1,365 SUB TRUST 1255001455
,428 00 FHLMC PC GOLD COMB 15
TR TRAN# = 0000000000000010 TR CD=999 REG=12 PORT=PRIN

06/15/10 PAYMENT ON 606,227 14 UNITS FEDERAL HOME LOAN MTG CORP POOL 346 95 17484 17 1006000007
#G12581 DTD 02/01/07 5% 02/01/2022 ORIGINAL FACE VALUE 1,365 SUB TRUST 1255001455
,428.00 FHLMC PC GOLD COMB 15
TR TRAN# = 0000000000000010 TR CD=999 REG=12 PORT=PRIN

07/15/10 PAYMENT ON 588,742.97 UNITS FEDERAL HOME LOAN MTG CORP POOL 365.59 18423 30 1007000014
#G12581 DTD 02/01/07 5% 02/01/2022 ORIGINAL FACE VALUE 1,365 SUB TRUST 1255001455
,428.00 FHLMC PC GOLD COMB 15
TR TRAN# = 0000000000000014 TR CD=999 REG=12 PORT=PRIN

TAX
CODE/DATE TRANSACTIONS

Gain/(loss)

Cost basis

Sales proceeds

EDIT
BER

509 LONG-TERM 15% GAIN/LOSS - CURRENT SALES (CONTINUED)

FEDERAL HOME LOAN MTG CORP POOL #G12581 DTD 02/01/07 5% 02/01/2022 - 3128MBCS4 - MINOR = 35 (CONTINUED)

08/16/10	PAYMENT ON 570,319 67 UNITS FEDERAL HOME LOAN MTG CORP POOL #G12581 DTD 02/01/07 5% 02/01/2022 ORIGINAL FACE VALUE 1,365 ,428.00 FHLMC PC GOLD COMB 15 TR TRAN#-0000000000000014 TR CD=999 REG=12 PORT=PRIN	307 09	15475 45	1008000009 SUB TRUST 1255001455
09/15/10	PAYMENT ON 554,844 22 UNITS FEDERAL HOME LOAN MTG CORP POOL #G12581 DTD 02/01/07 5% 02/01/2022 ORIGINAL FACE VALUE 1,365 ,428 00 FHLMC PC GOLD COMB 15 TR TRAN#-0000000000000010 TR CD=999 REG=12 PORT=PRIN	417 00	21014 07	1009000004 SUB TRUST 1255001455
10/15/10	PAYMENT ON 533,830 15 UNITS FEDERAL HOME LOAN MTG CORP POOL #G12581 DTD 02/01/07 5% 02/01/2022 ORIGINAL FACE VALUE 1,365 ,428 00 FHLMC PC GOLD COMB 15 TR TRAN#-0000000000000006 TR CD=999 REG=12 PORT=PRIN	419 33	21131 35	1010000005 SUB TRUST 1255001455
11/15/10	PAYMENT ON 512,698 8 UNITS FEDERAL HOME LOAN MTG CORP POOL # G12581 DTD 02/01/07 5% 02/01/2022 ORIGINAL FACE VALUE 1,365, 428.00 FHLMC PC GOLD COMB 15 TR TRAN#-0000000000000006 TR CD=999 REG=12 PORT=PRIN	469 88	23678 86	1011000007 SUB TRUST 1255001455
12/15/10	PAYMENT ON 489,019 94 UNITS FEDERAL HOME LOAN MTG CORP POOL #G12581 DTD 02/01/07 5% 02/01/2022 ORIGINAL FACE VALUE 1,365 ,428 00 FHLMC PC GOLD COMB 15 TR TRAN#-0000000000000006 TR CD=999 REG=12 PORT=PRIN	437 36	22040 41	1012000024 SUB TRUST 1255001455
01/18/11	PAYMENT ON 466,979 53 UNITS FEDERAL HOME LOAN MTG CORP POOL #G12581 DTD 02/01/07 5% 02/01/2022 ORIGINAL FACE VALUE 1,365 ,428.00 FHLMC PC GOLD COMB 15 TR TRAN#-0000000000000006 TR CD=999 REG=12 PORT=PRIN	403 44	20330.68	1101000023 SUB TRUST 1255001455
02/15/11	PAYMENT ON 446,648 85 UNITS FEDERAL HOME LOAN MTG CORP POOL #G12581 DTD 02/01/07 5% 02/01/2022 ORIGINAL FACE VALUE 1,365 ,428 00 FHLMC PC GOLD COMB 15 TR TRAN#-0000000000000006 TR CD=999 REG=12 PORT=PRIN	432 76	21808 40	1102000011 SUB TRUST 1255001455
03/15/11	PAYMENT ON 424,840 45 UNITS FEDERAL HOME LOAN MTG CORP POOL #G12581 DTD 02/01/07 5% 02/01/2022 ORIGINAL FACE VALUE 1,365 ,428.00 FHLMC PC GOLD COMB 15 TR TRAN#-0000000000000006 TR CD=999 REG=12 PORT=PRIN	252 29	12713 68	1103000004 SUB TRUST 1255001455

4541 21

224,306.78

228847 99

FEDERAL HOME LOAN MORTGAGE CORP POOL #G13444 DTD 01/01/2009 5% 12/01/2021 - 3128MCB6 - MINOR = 35

01/18/11	PAYMENT ON 244,950 07 UNITS FEDERAL HOME LOAN MORTGAGE CORP POOL #G13444 DTD 01/01/2009 5% 12/01/2021 ORIGINAL FACE VALU E 440,000 00 FHLMC PC GOLD COMB 15 TR TRAN#-0000000000000014 TR CD=999 REG=12 PORT=PRIN	-577 60	9805 45	1101000025 SUB TRUST 1255001455
02/15/11	PAYMENT ON 235,144 62 UNITS FEDERAL HOME LOAN MORTGAGE CORP POOL #G13444 DTD 01/01/2009 5% 12/01/2021 ORIGINAL FACE VALU E 440,000 00 FHLMC PC GOLD COMB 15 TR TRAN#-0000000000000014 TR CD=999 REG=12 PORT=PRIN	-487.16	8270 15	1102000013 SUB TRUST 1255001455
03/15/11	PAYMENT ON 226,874 47 UNITS FEDERAL HOME LOAN MORTGAGE CORP POOL #G13444 DTD 01/01/2009 5% 12/01/2021 ORIGINAL FACE VALU E 440,000.00 FHLMC PC GOLD COMB 15 TR TRAN#-0000000000000014 TR CD=999 REG=12 PORT=PRIN	-335.04	5687 61	1103000006 SUB TRUST 1255001455

-1399 80

25,163.01

23763 21

FEDERAL NATIONAL MORTGAGE ASSN POOL #555549 15-YR 5% 06/01/2018 - 31385XEW3 - MINOR = 35

01/25/11	PAYMENT ON 21,918 41 UNITS FEDERAL NATIONAL MORTGAGE ASSN PO OL #555549 15-YR 5% 06/01/2018 ORIGINAL FACE VALUE 115,000 0 0 FNMA PASS-THRU INT 15 YEAR TR TRAN#-0000000000000002 TR CD=999 REG=12 PORT=PRIN	-44.66	732 94	1101000029 SUB TRUST 1255001455
02/25/11	PAYMENT ON 21,185 47 UNITS FEDERAL NATIONAL MORTGAGE ASSN PO OL #555549 15-YR 5% 06/01/2018 ORIGINAL FACE VALUE 115,000 0 0 FNMA PASS-THRU INT 15 YEAR TR TRAN#-0000000000000002 TR CD=999 REG=12 PORT=PRIN	-36 36	596.61	1102000016 SUB TRUST 1255001455
03/25/11	PAYMENT ON 20,588.86 UNITS FEDERAL NATIONAL MORTGAGE ASSN PO OL #555549 15-YR 5% 06/01/2018 ORIGINAL FACE VALUE 115,000 0 0 FNMA PASS-THRU INT 15 YEAR TR TRAN#-0000000000000006 TR CD=999 REG=12 PORT=PRIN	-31.44	515.98	1103000010 SUB TRUST 1255001455

-112 46

1957.99

1845 53

FEDERAL NATIONAL MORTGAGE ASSN POOL #745279 DTD 01/01/06 5% 02/01/2021 - 31403C6Q9 - MINOR = 35

04/26/10	PAYMENT ON 166,291 47 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #745279 DTD 01/01/06 5% 02/01/2021 ORIGINAL FACE VALUE 3 40,929 00 FNMA PASS-THRU INT 15 YEAR TR TRAN#-0000000000000006 TR CD=999 REG=12 PORT=PRIN	65.41	3459 72	1004000012 SUB TRUST 1255001455
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TAX
CODE/DATE TRANSACTIONS

Gain/(loss)

Cost basis

Sales proceeds

EDIT
NUMBER

509 LONG-TERM 15% GAIN/LOSS - CURRENT SALES (CONTINUED)

FEDERAL NATIONAL MORTGAGE ASSN POOL #745279 DTD 01/01/06 5% 02/01/2021 - 31403C6Q9 - MINOR = 35 (CONTINUED)

05/25/10	PAYMENT ON 162,831.75 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #745279 DTD 01/01/06 5% 02/01/2021 ORIGINAL FACE VALUE 3 40,929.00 FNMA PASS-THRU INT 15 YEAR TR TRAN# = 0000000000000006 TR CD=999 REG=12 PORT=PRIN	78 35	4144 33	1005000021 SUB TRUST 1255001455
06/25/10	PAYMENT ON 158,687.42 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #745279 DTD 01/01/06 5% 02/01/2021 ORIGINAL FACE VALUE 3 40,929.00 FNMA PASS-THRU INT 15 YEAR TR TRAN# = 0000000000000006 TR CD=999 REG=12 PORT=PRIN	99 89	5283 40	1006000013 SUB TRUST 1255001455
07/26/10	PAYMENT ON 153,404.02 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #745279 DTD 01/01/06 5% 02/01/2021 ORIGINAL FACE VALUE 3 40,929.00 FNMA PASS-THRU INT 15 YEAR TR TRAN# = 0000000000000006 TR CD=999 REG=12 PORT=PRIN	65 49	3463 77	1007000020 SUB TRUST 1255001455
08/25/10	PAYMENT ON 149,940.25 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #745279 DTD 01/01/06 5% 02/01/2021 ORIGINAL FACE VALUE 3 40,929.00 FNMA PASS-THRU INT 15 YEAR TR TRAN# = 0000000000000006 TR CD=999 REG=12 PORT=PRIN	70 13	3709.36	1008000014 SUB TRUST 1255001455
09/27/10	PAYMENT ON 146,230.89 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #745279 DTD 01/01/06 5% 02/01/2021 ORIGINAL FACE VALUE 3 40,929.00 FNMA PASS-THRU INT 15 YEAR TR TRAN# = 0000000000000006 TR CD=999 REG=12 PORT=PRIN	74.01	3914 56	1009000035 SUB TRUST 1255001455
10/25/10	PAYMENT ON 142,316.33 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #745279 DTD 01/01/06 5% 02/01/2021 ORIGINAL FACE VALUE 3 40,929.00 FNMA PASS-THRU INT 15 YEAR TR TRAN# = 0000000000000006 TR CD=999 REG=12 PORT=PRIN	74 09	3918.76	1010000010 SUB TRUST 1255001455
11/26/10	PAYMENT ON 138,397.57 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #745279 DTD 01/01/06 5% 02/01/2021 ORIGINAL FACE VALUE 3 40,929.00 FNMA PASS-THRU INT 15 YEAR TR TRAN# = 0000000000000006 TR CD=999 REG=12 PORT=PRIN	76 81	4062 45	1011000019 SUB TRUST 1255001455
12/27/10	PAYMENT ON 134,335.12 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #745279 DTD 01/01/06 5% 02/01/2021 ORIGINAL FACE VALUE 3 40,929.00 FNMA PASS-THRU INT 15 YEAR TR TRAN# = 0000000000000006 TR CD=999 REG=12 PORT=PRIN	81.80	4326.70	1012000032 SUB TRUST 1255001455
01/25/11	PAYMENT ON 130,008.42 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #745279 DTD 01/01/06 5% 02/01/2021 ORIGINAL FACE VALUE 3 40,929.00 FNMA PASS-THRU INT 15 YEAR TR TRAN# = 0000000000000006 TR CD=999 REG=12 PORT=PRIN	82.08	4341 49	1101000030 SUB TRUST 1255001455
02/25/11	PAYMENT ON 125,666.93 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #745279 DTD 01/01/06 5% 02/01/2021 ORIGINAL FACE VALUE 3 40,929.00 FNMA PASS-THRU INT 15 YEAR TR TRAN# = 0000000000000006 TR CD=999 REG=12 PORT=PRIN	62 74	3318 53	1102000017 SUB TRUST 1255001455
03/25/11	PAYMENT ON 122,348.4 UNITS FEDERAL NATIONAL MORTGAGE ASSN PO OL #745279 DTD 01/01/06 5% 02/01/2021 ORIGINAL FACE VALUE 34 0,929.00 FNMA PASS-THRU INT 15 YEAR TR TRAN# = 0000000000000010 TR CD=999 REG=12 PORT=PRIN	67 84	3588.10	1103000011 SUB TRUST 1255001455

898 64

46,632.53

47531.17

FEDERAL NATIONAL MORTGAGE ASSN POOL #745950 DTD 10/01/2006 6% 11/01/2036 - 31403DWK1 - MINOR = 35

01/25/11	PAYMENT ON 125,399.16 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #745950 DTD 10/01/2006 6% 11/01/2036 ORIGINAL FACE VALUE 366,000.00 FNMA PASS-THRU LNG 30 YEAR TR TRAN# = 0000000000000010 TR CD=999 REG=12 PORT=PRIN	-250 38	3633 61	1101000031 SUB TRUST 1255001455
02/25/11	PAYMENT ON 121,765.55 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #745950 DTD 10/01/2006 6% 11/01/2036 ORIGINAL FACE VALUE 366,000.00 FNMA PASS-THRU LNG 30 YEAR TR TRAN# = 0000000000000010 TR CD=999 REG=12 PORT=PRIN	-228.15	3310 98	1102000018 SUB TRUST 1255001455
03/25/11	PAYMENT ON 118,454.57 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #745950 DTD 10/01/2006 6% 11/01/2036 ORIGINAL FACE VALUE 366,000.00 FNMA PASS-THRU LNG 30 YEAR TR TRAN# = 0000000000000014 TR CD=999 REG=12 PORT=PRIN	-238 90	3467 09	1103000012 SUB TRUST 1255001455

-717 43

11,129.11

10411.68

FEDERAL NATIONAL MORTGAGE ASSN POOL #917142 DTD 06/01/07 6% 06/01/2037 - 31411W5B9 - MINOR = 35

04/26/10	PAYMENT ON 784,313.11 UNITS FEDERAL NATIONAL MTG ASSN POOL # 917142 DTD 06/01/07 6% 06/01/2037 ORIGINAL FACE VALUE 1,178, 825.00 FNMA PASS-THRU LNG 30 YEAR TR TRAN# = 0000000000000014 TR CD=999 REG=12 PORT=PRIN	-46 99	26150 07	1004000014 SUB TRUST 1255001455
05/25/10	PAYMENT ON 758,163.04 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #917142 DTD 06/01/07 6% 06/01/2037 ORIGINAL FACE VALUE 1 ,178,825.00 FNMA PASS-THRU LNG 30 YEAR TR TRAN# = 0000000000000014 TR CD=999 REG=12 PORT=PRIN	-289 35	161026 76	1005000023 SUB TRUST 1255001455

TAX
CODE/DATE TRANSACTIONS

Gain/(loss)

Cost basis

Sales proceeds

EDIT
MBER

509 LONG-TERM 15% GAIN/LOSS - CURRENT SALES (CONTINUED)

FEDERAL NATIONAL MORTGAGE ASSN POOL #917142 DTD 06/01/07 6% 06/01/2037 - 31411W5B9 - MINOR = 35 (CONTINUED)

DATE	DESCRIPTION	GAIN/(LOSS)	COST BASIS	SALES PROCEEDS
06/25/10	PAYMENT ON 597,136 28 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #917142 DTD 06/01/07 6% 06/01/2037 ORIGINAL FACE VALUE 1 ,178,825 00 FNMA PASS-THRU LNG 30 YEAR TR TRAN#-000000000000014 TR CD=999 REG=12 PORT=PRIN	-25 75		14328 52 1006000015 SUB TRUST 1255001455
07/26/10	PAYMENT ON 582,807 76 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #917142 DTD 06/01/07 6% 06/01/2037 ORIGINAL FACE VALUE 1 ,178,825 00 FNMA PASS-THRU LNG 30 YEAR TR TRAN#-000000000000014 TR CD=999 REG=12 PORT=PRIN	-36 54		20333 08 1007000022 SUB TRUST 1255001455
08/25/10	PAYMENT ON 562,474 68 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #917142 DTD 06/01/07 6% 06/01/2037 ORIGINAL FACE VALUE 1 ,178,825 00 FNMA PASS-THRU LNG 30 YEAR TR TRAN#-000000000000018 TR CD=999 REG=12 PORT=PRIN	-14 42		8027 63 1008000016 SUB TRUST 1255001455
09/27/10	PAYMENT ON 554,447 05 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #917142 DTD 06/01/07 6% 06/01/2037 ORIGINAL FACE VALUE 1 ,178,825 00 FNMA PASS-THRU LNG 30 YEAR TR TRAN#-000000000000014 TR CD=999 REG=12 PORT=PRIN	-20 48		11394 92 1009000037 SUB TRUST 1255001455
10/25/10	PAYMENT ON 543,052 13 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #917142 DTD 06/01/07 6% 06/01/2037 ORIGINAL FACE VALUE 1 ,178,825 00 FNMA PASS-THRU LNG 30 YEAR TR TRAN#-000000000000022 TR CD=999 REG=12 PORT=PRIN	-22 41		12470 34 1010000014 SUB TRUST 1255001455
11/26/10	PAYMENT ON 530,581 79 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #917142 DTD 06/01/07 6% 06/01/2037 ORIGINAL FACE VALUE 1 ,178,825 00 FNMA PASS-THRU LNG 30 YEAR TR TRAN#-000000000000022 TR CD=999 REG=12 PORT=PRIN	-10 04		5586.13 1011000023 SUB TRUST 1255001455
12/27/10	PAYMENT ON 524,995.66 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #917142 DTD 06/01/07 6% 06/01/2037 ORIGINAL FACE VALUE 1 ,178,825 00 FNMA PASS-THRU LNG 30 YEAR TR TRAN#-000000000000022 TR CD=999 REG=12 PORT=PRIN	-17 49		9734 11 1012000036 SUB TRUST 1255001455
01/25/11	PAYMENT ON 515,261 55 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #917142 DTD 06/01/07 6% 06/01/2037 ORIGINAL FACE VALUE 1 ,178,825 00 FNMA PASS-THRU LNG 30 YEAR TR TRAN#-000000000000022 TR CD=999 REG=12 PORT=PRIN	-24 12		13420 92 1101000034 SUB TRUST 1255001455
02/25/11	PAYMENT ON 501,840 63 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #917142 DTD 06/01/07 6% 06/01/2037 ORIGINAL FACE VALUE 1 ,178,825 00 FNMA PASS-THRU LNG 30 YEAR TR TRAN#-000000000000026 TR CD=999 REG=12 PORT=PRIN	-33 66		18735 60 1102000022 SUB TRUST 1255001455
03/25/11	PAYMENT ON 483,105 03 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #917142 DTD 06/01/07 6% 06/01/2037 ORIGINAL FACE VALUE 1 ,178,825 00 FNMA PASS-THRU LNG 30 YEAR TR TRAN#-000000000000030 TR CD=999 REG=12 PORT=PRIN	-18.77		10446 38 1103000016 SUB TRUST 1255001455
		-560 02	312,214 48	311654 46
	FEDERAL NATIONAL MORTGAGE ASSN POOL #959596 DTD 11/01/07 6% 11/01/2037 - 31413YRR4 - MINOR = 35			
04/26/10	PAYMENT ON 223,225 97 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #959596 DTD 11/01/07 6% 11/01/2037 ORIGINAL FACE VALUE 3 65,000 00 FNMA PASS-THRU LNG 30 YEAR TR TRAN#-000000000000018 TR CD=999 REG=12 PORT=PRIN	-47 51		6757.12 1004000015 SUB TRUST 1255001455
05/25/10	PAYMENT ON 216,468 85 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #959596 DTD 11/01/07 6% 11/01/2037 ORIGINAL FACE VALUE 3 65,000 00 FNMA PASS-THRU LNG 30 YEAR TR TRAN#-000000000000018 TR CD=999 REG=12 PORT=PRIN	-232 53		33070 92 1005000024 SUB TRUST 1255001455
06/25/10	PAYMENT ON 183,397 93 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #959596 DTD 11/01/07 6% 11/01/2037 ORIGINAL FACE VALUE 3 65,000 00 FNMA PASS-THRU LNG 30 YEAR TR TRAN#-000000000000018 TR CD=999 REG=12 PORT=PRIN	-49 04		6974 70 1006000016 SUB TRUST 1255001455
07/26/10	PAYMENT ON 176,423 23 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #959596 DTD 11/01/07 6% 11/01/2037 ORIGINAL FACE VALUE 3 65,000 00 FNMA PASS-THRU LNG 30 YEAR TR TRAN#-000000000000018 TR CD=999 REG=12 PORT=PRIN	-48 54		6903 76 1007000023 SUB TRUST 1255001455
08/25/10	PAYMENT ON 169,519 47 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #959596 DTD 11/01/07 6% 11/01/2037 ORIGINAL FACE VALUE 3 65,000 00 FNMA PASS-THRU LNG 30 YEAR TR TRAN#-000000000000022 TR CD=999 REG=12 PORT=PRIN	-29 26		4161 55 1008000017 SUB TRUST 1255001455
09/27/10	PAYMENT ON 165,357 92 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #959596 DTD 11/01/07 6% 11/01/2037 ORIGINAL FACE VALUE 3 65,000 00 FNMA PASS-THRU LNG 30 YEAR TR TRAN#-000000000000018 TR CD=999 REG=12 PORT=PRIN	-45 02		6403.51 1009000038 SUB TRUST 1255001455

TAX
CODE/DATE TRANSACTIONS

Gain/(loss)

Cost basis

Sales proceeds EDIT
BER

509 LONG-TERM 15% GAIN/LOSS - CURRENT SALES (CONTINUED)

FEDERAL NATIONAL MORTGAGE ASSN POOL #959596 DTD 11/01/07 6% 11/01/2037 - 31413YRR4 - MINOR = 35 (CONTINUED)

TAX CODE/DATE	TRANSACTIONS	Gain/(loss)	Cost basis	Sales proceeds
10/25/10	PAYMENT ON 158,954 41 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #959596 DTD 11/01/07 6% 11/01/2037 ORIGINAL FACE VALUE 3 65,000 00 FNMA PASS-THRU LNG 30 YEAR TR TRAN#-000000000000026 TR CD=999 REG=12 PORT=PRIN	-47.27		6722 66 1010000015 SUB TRUST 1255001455
11/26/10	PAYMENT ON 152,231.75 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #959596 DTD 11/01/07 6% 11/01/2037 ORIGINAL FACE VALUE 3 65,000 00 FNMA PASS-THRU LNG 30 YEAR TR TRAN#-000000000000026 TR CD=999 REG=12 PORT=PRIN	-37 45		5326.26 1011000024 SUB TRUST 1255001455
12/27/10	PAYMENT ON 146,905 49 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #959596 DTD 11/01/07 6% 11/01/2037 ORIGINAL FACE VALUE 3 65,000.00 FNMA PASS-THRU LNG 30 YEAR TR TRAN#-000000000000030 TR CD=999 REG=12 PORT=PRIN	-32 36		4602 14 1012000038 SUB TRUST 1255001455
01/25/11	PAYMENT ON 142,303.35 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #959596 DTD 11/01/07 6% 11/01/2037 ORIGINAL FACE VALUE 3 65,000.00 FNMA PASS-THRU LNG 30 YEAR TR TRAN#-000000000000030 TR CD=999 REG=12 PORT=PRIN	-39 31		5590 13 1101000036 SUB TRUST 1255001455
02/25/11	PAYMENT ON 136,713 22 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #959596 DTD 11/01/07 6% 11/01/2037 ORIGINAL FACE VALUE 3 65,000 00 FNMA PASS-THRU LNG 30 YEAR TR TRAN#-000000000000034 TR CD=999 REG=12 PORT=PRIN	-28 55		4060 60 1102000024 SUB TRUST 1255001455
03/25/11	PAYMENT ON 132,652 62 UNITS FEDERAL NATIONAL MORTGAGE ASSN P OOL #959596 DTD 11/01/07 6% 11/01/2037 ORIGINAL FACE VALUE 3 65,000 00 FNMA PASS-THRU LNG 30 YEAR TR TRAN#-000000000000038 TR CD=999 REG=12 PORT=PRIN	-35.36		5028.53 1103000018 SUB TRUST 1255001455
		-672.20	96,274.08	95601.88
FIDELITY NATIONAL INFORMATION - 31620M106 - MINOR = 50				
05/12/10	SOLD 470 SHS FIDELITY NATIONAL INFORMATION ON 05/07/2010 AT 28 80 THRU CONVERGEX COMMISSIONS PAID 23 50 EXPENSES PAID 0. 23 TR TRAN#-000000000000004 TR CD=0 REG=22 PORT=PRIN	4112 27		13512 27 1005000008 SUB TRUST 1255001339
		4112 27	9,400 00	13512 27
FLOWERVE CORP - 34354P105 - MINOR = 50				
06/04/10	SOLD 150 SHS FLOWERVE CORP ON 06/01/2010 AT 90 3732 THRU CO NVERGEX COMMISSIONS PAID 9.00 EXPENSES PAID 0.23 TR TRAN#-000000000000001 TR CD=0 REG=22 PORT=PRIN	4242.25		13546.75 1006000003 SUB TRUST 1255001339
		4242 25	9,304 50	13546 75
FRONTIER COMMUNICATIONS CORP - 35906A108 - MINOR = 50				
07/15/10	SOLD 088 SHS FRONTIER COMMUNICATIONS CORP ON 07/08/2010 AT 7 4387 CASH IN LIEU OF FRACTIONAL SHARE TR TRAN#-000000000000025 TR CD=0 REG=22 PORT=PRIN	-0 07		0.65 1007000027 SUB TRUST 1255001464
07/20/10	SOLD 1,015 SHS FRONTIER COMMUNICATIONS CORP ON 07/15/2010 AT 7 3502 THRU CONVERGEX COMMISSIONS PAID 40 60 EXPENSES PAID 0 13 TR TRAN#-000000000000001 TR CD=0 REG=22 PORT=PRIN	-373 60		3061.32 1007000029 SUB TRUST 1255001464
		-373 67	3,435 64	3061.97
FRONTIER OIL CORP - 35914P105 - MINOR = 50				
09/28/10	SOLD 1,000 SHS FRONTIER OIL CORP ON 09/23/2010 AT 12 7649 TH RU CONVERGEX COMMISSIONS PAID 40 00 EXPENSES PAID 0 22 TR TRAN#-000000000000005 TR CD=0 REG=22 PORT=PRIN	-3124.84		12724 68 1009000009 SUB TRUST 1255001339
		-3124.84	15,849 52	12724.68
GENERAL ELEC CO COM - 369604103				
11/26/10	SOLD 2,000 SHS GENERAL ELECTRIC CO ON 11/22/2010 AT 15.9003 THRU PERSHING LLC COMMISSIONS PAID 120 00 EXPENSES PAID 0 54 TR TRAN#-000000000000003 TR CD=0 REG=22 PORT=PRIN	20 06		31680 06 1011000006
02/18/11	SOLD 1,500 SHS GENERAL ELECTRIC CO ON 02/15/2011 AT 21 4616 THRU CONVERGEX COMMISSIONS PAID 60 00 EXPENSES PAID 0.62 TR TRAN#-000000000000039 TR CD=0 REG=22 PORT=PRIN	7801 93		32131 78 1102000009 SUB TRUST 1255001464
		7821.99	55,989.85	63811 84
GENERAL ELECTRIC CO 5% 02/01/2013 - 369604AY9 - MINOR = 45				
02/14/11	SOLD 73,000 UNITS GENERAL ELECTRIC CO 5% 02/01/2013 ON 02/ 09/2011 AT 106.774 THRU CANTOR CLEARING SERV TR TRAN#-000000000000011 TR CD=0 REG=22 PORT=PRIN	4009 09		74741 80 1102000009 SUB TRUST 1255001455

TAX
CODE/DATE TRANSACTIONS

Gain/(loss)

Cost basis

Sales proceeds

EDIT
ABER

509 LONG-TERM 15% GAIN/LOSS - CURRENT SALES (CONTINUED)

GENERAL ELECTRIC CO 5% 02/01/2013 - 369604AY9 - MINOR = 45 (CONTINUED)

4009.09

70,732.71

74741.80

GENZYME CORP MASS - 372917104 - MINOR = 50

12/15/10 SOLD 1,600 SHS GENZYME CORP MASS ON 12/10/2010 AT 69.8354 THRU
RU CONVERGEX COMMISSIONS PAID 64.00 EXPENSES PAID 1.89
TR TRAN# = 000000000000003 TR CD=0 REG=22 PORT=PRIN

-1927.61

111670.75 1012000008

-1927.61

113,598.36

111670.75

HALLIBURTON CO COM - 406216101 - MINOR = 50

07/02/10 SOLD 2,700 SHS HALLIBURTON CO ON 06/29/2010 AT 25.2213 THRU
CONVERGEX COMMISSIONS PAID 108.00 EXPENSES PAID 1.15
TR TRAN# = 000000000000052 TR CD=0 REG=22 PORT=PRIN
I WASH SALE DISALLOWED LOSS \$5127.25 FOR 400 SHARES (SWT
09/01/10)

-15381.75

30217.05 1007000011
SUB TRUST 1255001464
CHANGED 09/01/10 SWT08/25/10 SOLD 3,500 SHS HALLIBURTON CO ON 08/20/2010 AT 27.4529 THRU
CONVERGEX COMMISSIONS PAID 140.00 EXPENSES PAID 1.63
TR TRAN# = 000000000000002 TR CD=0 REG=22 PORT=PRIN

-22836.61

95943.52 1008000007

10/20/10 SOLD 200 SHS HALLIBURTON CO ON 10/15/2010 AT 35.33 THRU CONV
ERGEX COMMISSIONS PAID 16.00 EXPENSES PAID 0.12
TR TRAN# = 000000000000049 TR CD=0 REG=22 PORT=PRIN

-252.41

7049.88 1010000017
SUB TRUST 125500146402/18/11 SOLD 300 SHS HALLIBURTON CO ON 02/15/2011 AT 45.65 THRU CONV
ERGEX COMMISSIONS PAID 15.00 EXPENSES PAID 0.26
TR TRAN# = 000000000000014 TR CD=0 REG=22 PORT=PRIN

3348.52

13679.74 1102000005
SUB TRUST 125500146403/22/11 SOLD 200 SHS HALLIBURTON CO ON 03/17/2011 AT 43.9927 THRU AS
SENT LLC COMMISSIONS PAID 16.00 EXPENSES PAID 0.17
TR TRAN# = 000000000000042 TR CD=0 REG=22 PORT=PRIN

2724.49

8782.37 1103000013
SUB TRUST 1255001464

-32397.76

188,070.32

155672.56

HARRIS CORP - 413875105 - MINOR = 50

08/04/10 SOLD 325 SHS HARRIS CORP ON 07/30/2010 AT 44.5393 THRU CONVE
RGEX COMMISSIONS PAID 19.50 EXPENSES PAID 0.24
TR TRAN# = 000000000000001 TR CD=0 REG=22 PORT=PRIN

5264.53

14455.53 1008000001
SUB TRUST 1255001339

5264.53

9,191.00

14455.53

HESS CORPORATION - 42809H107 - MINOR = 50

03/22/11 SOLD 75 SHS HESS CORPORATION ON 03/17/2011 AT 80.60 THRU ASS
ENT LLC COMMISSIONS PAID 6.00 EXPENSES PAID 0.12
TR TRAN# = 000000000000045 TR CD=0 REG=22 PORT=PRIN

1672.23

6038.88 1103000014
SUB TRUST 1255001464

1672.23

4,366.65

6038.88

INTERCONTINENTAL EXCHANGE INC - 45865V100 - MINOR = 50

10/01/10 SOLD 65 SHS INTERCONTINENTAL EXCHANGE INC ON 09/28/2010 AT 1
05.3142 THRU CONVERGEX COMMISSIONS PAID 3.90 EXPENSES PAID 0
12
TR TRAN# = 000000000000007 TR CD=0 REG=22 PORT=PRIN

200.02

1052.52 1010000002
SUB TRUST 1255001339

200.02

852.50

1052.52

JOHNSON & JOHNSON COM - 478160104 - MINOR = 31001

07/13/10 SOLD 800 SHS JOHNSON & JOHNSON ON 07/08/2010 AT 60.8935 THRU
CONVERGEX COMMISSIONS PAID 32.00 EXPENSES PAID 0.82
TR TRAN# = 000000000000004 TR CD=0 REG=22 PORT=PRIN
I WASH SALE DISALLOWED LOSS \$5405.01 FOR 500 SHARES (SWT
09/01/10)

2895.23

48681.98 1007000002
CHANGED 09/01/10 SWT10/20/10 SOLD 100 SHS JOHNSON & JOHNSON ON 10/15/2010 AT 64.00 THRU C
ONVERGEX COMMISSIONS PAID 8.00 EXPENSES PAID 0.11
TR TRAN# = 0000000000000109 TR CD=0 REG=22 PORT=PRIN

-685.85

6391.89 1010000036
SUB TRUST 125500146412/21/10 SOLD 1,000 SHS JOHNSON & JOHNSON ON 12/16/2010 AT 62.3342 THRU
RU CONVERGEX COMMISSIONS PAID 40.00 EXPENSES PAID 1.06
TR TRAN# = 000000000000023 TR CD=0 REG=22 PORT=PRIN

-7635.79

56063.83 1012000007
SUB TRUST 125500146412/28/10 SOLD 750 SHS JOHNSON & JOHNSON ON 12/22/2010 AT 62.0398 THRU
FAHNESTOCK AND COMPANY, INC COMMISSIONS PAID 30.00 EXPENSE
S PAID 0.79
TR TRAN# = 000000000000003 TR CD=0 REG=22 PORT=PRIN

21610.31

46499.06 1012000010

01/14/11 SOLD 450 SHS JOHNSON & JOHNSON ON 01/11/2011 AT 62.0941 THRU
CONVERGEX COMMISSIONS PAID 18.00 EXPENSES PAID 0.48
TR TRAN# = 000000000000003 TR CD=0 REG=22 PORT=PRIN

12990.62

27923.87 1101000003

TAX
CODE/DATE TRANSACTIONS

Gain/(loss)

Cost basis

Sales proceeds

EDIT
ABER509 LONG-TERM 15% GAIN/LOSS - CURRENT SALES (CONTINUED)
JOHNSON & JOHNSON COM - 478160104 - MINOR = 31001 (CONTINUED)

	29174 52	156,386 11	185560 63
	LEGG MASON INC - 524901105 - MINOR = 50		
05/20/10	SOLD 500 SHS LEGG MASON INC ON 05/17/2010 AT 32 3805 THRU PE RSHING LLC COMMISSIONS PAID 25 00 EXPENSES PAID 0 27 TR TRAN#=000000000000001 TR CD=0 REG=22 PORT=PRIN	5848 13	16164 98 1005000011 SUB TRUST 1255001339
	5848 13	10,316 85	16164.98
	MGM RESORTS INTERNATIONAL - 552953101 - MINOR = 50		
10/20/10	SOLD 300 SHS MGM RESORTS INTERNATIONAL ON 10/15/2010 AT 11 1 101 THRU CONVERGEX COMMISSIONS PAID 24 00 EXPENSES PAID 0 06 TR TRAN#=000000000000001 TR CD=0 REG=22 PORT=PRIN	1514 97	3308 97 1010000004 SUB TRUST 1255001339
	1514 97	1,794.00	3308.97
	MCDONALDS CORP SERIES MTN DTD 02/29/08 - 58013MEE0 - MINOR = 45		
05/25/10	SOLD 88,000 UNITS MCDONALDS CORP SERIES MTN DTD 02/29/08 5 35% 03/01/2018 ON 05/20/2010 AT 111.932 THRU BANC/AMERICA SE CUR.LLC, MONTGOMERY DIV. TR TRAN#=000000000000028 TR CD=0 REG=22 PORT=PRIN	7950 19	85068 32 1005000025 SUB TRUST 1255001455
	7950 19	77,118.13	85068.32
	MEDNAX, INC MEDNAX - 58502B106 - MINOR = 50		
01/19/11	SOLD 270 SHS MEDNAX, INC MEDNAX ON 01/13/2011 AT 69 4209 THR U CONVERGEX COMMISSIONS PAID 13.50 EXPENSES PAID 0 32 TR TRAN#=000000000000001 TR CD=0 REG=22 PORT=PRIN	9690 22	18729 82 1101000004 SUB TRUST 1255001339
	9690 22	9,039.60	18729.82
	METLIFE INC COM - 59156R108 - MINOR = 50		
02/18/11	SOLD 500 SHS METLIFE INC ON 02/15/2011 AT 46.6053 THRU CONVE RGEX COMMISSIONS PAID 20.00 EXPENSES PAID 0 44 TR TRAN#=000000000000025 TR CD=0 REG=22 PORT=PRIN	4836.86	23282 21 1102000007 SUB TRUST 1255001464
	4836 86	18,445 35	23282.21
	MONSANTO CO NEW COM - 61166W101 - MINOR = 50		
02/16/11	SOLD 100 SHS MONSANTO CO NEW ON 02/15/2011 AT 74.71 THRU DEU TSCHER BANK SECURITIES, INC COMMISSIONS PAID 6 00 EXPENSES P AID 0 14 TR TRAN#=000000000000047 TR CD=0 REG=22 PORT=PRIN	-581 94	7464 86 1102000011 SUB TRUST 1255001464
	-581.94	8,046.80	7464.86
	MONSTER WORLDWIDE INC - 611742107 - MINOR = 50		
04/27/10	SOLD 330 SHS MONSTER WORLDWIDE INC ON 04/22/2010 AT 16.55 TH RU CONVERGEX COMMISSIONS PAID 16 50 EXPENSES PAID 0 09 TR TRAN#=000000000000001 TR CD=0 REG=22 PORT=PRIN	1508 01	5444 91 1004000005 SUB TRUST 1255001339
	1508 01	3,936.90	5444 91
	MORGAN STANLEY DEAN WITTER & CO - COM NE - 617446448 - MINOR = 50		
03/22/11	SOLD 2,276 SHS MORGAN STANLEY GROUP INC ON 03/17/2011 AT 27 3108 THRU SANFORD C BERNSTEIN AND CO, LLC COMMISSIONS PAID 91 04 EXPENSES PAID 1.20 TR TRAN#=000000000000072 TR CD=0 REG=22 PORT=PRIN	-1232 35	55794 98 1103000021 SUB TRUST 1255001464
	-1232 35	57,027 33	55794 98
	MORGAN STANLEY 5 05% 01/21/2011 - 61746SBS7 - MINOR = 45		
05/06/10	SOLD 156,000 UNITS MORGAN STANLEY 5 05% 01/21/2011 ON 04/3 0/2010 AT 102.645 THRU MORGAN STANLEY DW-INC- TR TRAN#=000000000000010 TR CD=0 REG=22 PORT=PRIN	4840 68	160126.20 1005000005 SUB TRUST 1255001455
	4840 68	155,285.52	160126 20
	NATIONAL-OILWELL INC - 637071101 - MINOR = 50		
08/17/10	SOLD 1,800 SHS NATIONAL OILWELL VARCO INC ON 08/12/2010 AT 3 9.2307 THRU CONVERGEX COMMISSIONS PAID 72.00 EXPENSES PAID 1 .20 TR TRAN#=000000000000001 TR CD=0 REG=22 PORT=PRIN	18992 78	70542 06 1008000006

TAX
CODE/DATE TRANSACTIONS

Gain/(loss)

Cost basis

Sales proceeds

EDIT
ABER

509 LONG-TERM 15% GAIN/LOSS - CURRENT SALES (CONTINUED)

NATIONAL-OILWELL INC - 637071101 - MINOR = 50 (CONTINUED)

TAX CODE/DATE	TRANSACTIONS	Gain/(loss)	Cost basis	Sales proceeds	EDIT
08/17/10	SOLD 375 SHS NATIONAL OILWELL VARCO INC ON 08/12/2010 AT 39.2307 THRU CONVERGEX COMMISSIONS PAID 15 00 EXPENSES PAID 0 25 TR TRAN#=0000000000000001 TR CD=0 REG=22 PORT=PRIN	2003 95		14696.26 SUB TRUST 1255001339	1008000007
		20996 73	64,241.59	85238 32	
08/19/10	NEWFIELD EXPL CO - 651290108 - MINOR = 50 SOLD 150 SHS NEWFIELD EXPL CO ON 08/16/2010 AT 50 1618 THRU CONVERGEX COMMISSIONS PAID 9 00 EXPENSES PAID 0.13 TR TRAN#=0000000000000001 TR CD=0 REG=22 PORT=PRIN	3172.64		7515 14 SUB TRUST 1255001339	1008000008
08/26/10	SOLD 150 SHS NEWFIELD EXPL CO ON 08/23/2010 AT 49.7043 THRU CONVERGEX COMMISSIONS PAID 9.00 EXPENSES PAID 0.13 TR TRAN#=0000000000000002 TR CD=0 REG=22 PORT=PRIN	3104 02		7446 52 SUB TRUST 1255001339	1008000011
		6276 66	8,685.00	14961.66	
08/27/10	NOBLE ENERGY INC - 655044105 - MINOR = 50 SOLD 350 SHS NOBLE ENERGY INC ON 08/24/2010 AT 65 8111 THRU CONVERGEX COMMISSIONS PAID 21.00 EXPENSES PAID 0 39 TR TRAN#=0000000000000004 TR CD=0 REG=22 PORT=PRIN	1928.50		23012 50 SUB TRUST 1255001339	1008000013
		1928 50	21,084.00	23012.50	
02/23/11	NVIDIA CORP - 67066G104 - MINOR = 50 SOLD 300 SHS NVIDIA CORP ON 02/17/2011 AT 24 7151 THRU CONVE RGEX COMMISSIONS PAID 18 00 EXPENSES PAID 0 15 TR TRAN#=0000000000000003 TR CD=0 REG=22 PORT=PRIN	442 20		1232 73 SUB TRUST 1255001339	1102000012
		442.20	790.53	1232 73	
11/18/10	PEPSICO INC DTD 10/24/08 7.9% 11/01/2018 - 713448BJ6 - MINOR = 45 SOLD 17,000 UNITS PEPSICO INC DTD 10/24/08 7 9% 11/01/2018 O N 11/16/2010 AT 131 738 THRU BARCLAYS CAPITAL INC FIXED INC OME TR TRAN#=0000000000000008 TR CD=0 REG=22 PORT=PRIN	3837.13		22395 46 SUB TRUST 1255001455	1011000013
		3837 13	18,558.33	22395.46	
11/02/10	GCB PEPSICO, INC CONTRA CUSIP FOR 713448BJ6 DTD 10/24/2008 7 9% 11/01/2018 - 71399ADC8 - MINOR = 45 REDEEMED 11/02/2010 61,000 UNITS GCB PEPSICO, INC CONTRA CUS IP FOR 713448BJ6 DTD 10/24/2008 7 9% 11/01/2018 PROCEEDS FRO M PRORATED TENDER OFFER TR TRAN#=0000000000000031 TR CD=0 REG=22 PORT=PRIN	11455.65		65662 00 SUB TRUST 1255001455	1011000001
		11455 65	54,206.35	65662 00	
12/13/10	PHILIP MORRIS INTERNATIONAL INC - 718172109 - MINOR = 50 SOLD 500 SHS PHILIP MORRIS INTERNATIONAL INC ON 12/08/2010 A T 59 31 THRU SCOTT AND STRINGFELLOW INV CORP COMMISSIONS PAI D 40.00 EXPENSES PAID 0 51 TR TRAN#=0000000000000006 TR CD=0 REG=22 PORT=PRIN	19593 72		29614 49 SUB TRUST 1255001464	1012000004
02/04/11	SOLD 1,000 SHS PHILIP MORRIS INTERNATIONAL INC ON 02/01/2011 AT 57.96 THRU SANFORD C. BERNSTEIN AND CO, LLC COMMISSIONS PAID 60.00 EXPENSES PAID 1 12 TR TRAN#=0000000000000013 TR CD=0 REG=22 PORT=PRIN	44090.74		57898.88 SUB TRUST 1255001464	1102000004
03/22/11	SOLD 100 SHS PHILIP MORRIS INTERNATIONAL INC ON 03/17/2011 A T 62 2723 THRU PERSHING LLC COMMISSIONS PAID 5 00 EXPENSES P AID 0.12 TR TRAN#=0000000000000024 TR CD=0 REG=22 PORT=PRIN	1454 12		6222.11 SUB TRUST 1255001464	1103000009
		65138.58	28,596.90	93735.48	
06/02/10	PRECISION CASTPARTS CORP - 740189105 - MINOR = 50 SOLD 100 SHS PRECISION CASTPARTS CORP ON 05/27/2010 AT 119 2 418 THRU CONVERGEX COMMISSIONS PAID 6 00 EXPENSES PAID 0.20 TR TRAN#=0000000000000002 TR CD=0 REG=22 PORT=PRIN	5563.98		11917 98 SUB TRUST 1255001339	1006000002
		5563 98	6,354.00	11917 98	
01/25/11	PRICELINE COM INC - 741503403 - MINOR = 50 SOLD 60 SHS PRICELINE COM INC ON 01/20/2011 AT 427 705 THRU CONVERGEX COMMISSIONS PAID 3 60 EXPENSES PAID 0 49 TR TRAN#=0000000000000001 TR CD=0 REG=22 PORT=PRIN	9977 51		17105.47 SUB TRUST 1255001339	1101000007
		9977 51	7,127 96	17105 47	

TAX
CODE/DATE TRANSACTIONS

Gain/(loss)

Cost basis

Sales proceeds

EDIT
MBER509 LONG-TERM 15% GAIN/LOSS - CURRENT SALES (CONTINUED)
PROCTER & GAMBLE CO COM - 74271810908/05/10 SOLD 750 SHS PROCTER & GAMBLE CO ON 08/02/2010 AT 61.9784 TH 9125.45 46453.01 1008000002
RU CONVERGEX COMMISSIONS PAID 30.00 EXPENSES PAID 0.79
TR TRAN# = 0000000000000001 TR CD=0 REG=22 PORT=PRIN12/14/10 SOLD 750 SHS PROCTER & GAMBLE CO ON 12/09/2010 AT 62.7478 TH 9702.50 47030.05 1012000006
RU FAHNESTOCK AND COMPANY, INC COMMISSIONS PAID 30.00 EXPEN
SES PAID 0.80
TR TRAN# = 0000000000000002 TR CD=0 REG=22 PORT=PRIN

18827.95

74,655.11

93483.06

QEP RESOURCES INC - 74733V100 - MINOR = 50

11/09/10 SOLD 420 SHS QEP RESOURCES INC ON 11/04/2010 AT 34.2214 THRU 5548.41 14351.74 1011000005
PERSHING LLC COMMISSIONS PAID 21.00 EXPENSES PAID 0.25
TR TRAN# = 0000000000000009 TR CD=0 REG=22 PORT=PRIN

SUB TRUST 1255001339

5548.41

8,803.33

14351.74

QUESTAR CORP - 748356102 - MINOR = 50

11/09/10 SOLD 420 SHS QUESTAR CORP ON 11/04/2010 AT 17.7101 THRU CONV 2902.25 7417.12 1011000004
ERGEX COMMISSIONS PAID 21.00 EXPENSES PAID 0.12
TR TRAN# = 0000000000000006 TR CD=0 REG=22 PORT=PRIN

SUB TRUST 1255001339

2902.25

4,514.87

7417.12

RANGE RESOURCES CORP - 75281A109 - MINOR = 50

08/13/10 SOLD 170 SHS RANGE RESOURCES CORP ON 08/10/2010 AT 38.3091 T -466.07 6502.23 1008000005
HRU CONVERGEX COMMISSIONS PAID 10.20 EXPENSES PAID 0.11
TR TRAN# = 0000000000000002 TR CD=0 REG=22 PORT=PRIN

SUB TRUST 1255001339

-466.07

6,968.30

6502.23

ST JUDE MEDICAL INC COM - 790849103 - MINOR = 50

11/16/10 SOLD 350 SHS ST JUDE MED INC ON 11/10/2010 AT 38.6363 THRU C 274.57 3858.57 1011000007
ONVERGEX COMMISSIONS PAID 17.50 EXPENSES PAID 0.22
TR TRAN# = 0000000000000001 TR CD=0 REG=22 PORT=PRIN

SUB TRUST 1255001339

274.57

3,584.00

3858.57

SCHLUMBERGER LTD COM - 806857108 - MINOR = 50

07/02/10 SOLD 2,613 SHS SCHLUMBERGER LTD ON 06/29/2010 AT 55.9086 THR 26156.02 53074.28 1007000013
U CONVERGEX COMMISSIONS PAID 104.52 EXPENSES PAID 2.47
TR TRAN# = 0000000000000063 TR CD=0 REG=22 PORT=PRIN
I CORRECTED BASIS OF 06/03/04 TAX LOT TO ORIGINAL COST BASIS. WAS CHANGED IN ERROR JAN 2010. (SAD 11/06/10) **CHANGED** 11/06/10 SAD

SUB TRUST 1255001464

08/16/10 SOLD 1,000 SHS SCHLUMBERGER LTD ON 08/11/2010 AT 60.0452 THR 31669.18 60004.18 1008000005
U CONVERGEX COMMISSIONS PAID 40.00 EXPENSES PAID 1.02
TR TRAN# = 0000000000000004 TR CD=0 REG=22 PORT=PRIN

57825.20

55,253.26

113078.46

SUNOCO INC - 86764P109 - MINOR = 50

09/28/10 SOLD 275 SHS SUNOCO INC ON 09/23/2010 AT 33.6357 THRU CONVER 1035.03 6715.03 1009000008
GEX COMMISSIONS PAID 16.50 EXPENSES PAID 0.16
TR TRAN# = 0000000000000001 TR CD=0 REG=22 PORT=PRIN

SUB TRUST 1255001339

1035.03

5,680.00

6715.03

US BANCORP COM - 902973304 - MINOR = 50

02/07/11 SOLD 3,810 SHS US BANCORP DEL ON 02/02/2011 AT 27.4859 THRU 7744.29 68887.89 1102000001
CONVERGEX COMMISSIONS PAID 152.40 EXPENSES PAID 2.01
TR TRAN# = 0000000000000001 TR CD=0 REG=22 PORT=PRIN

SUB TRUST 1255001464

7744.29

61,143.60

68887.89

UNION PACIFIC CORP - 907818108 - MINOR = 50

05/05/10 SOLD 400 SHS UNION PAC CORP ON 04/30/2010 AT 77.88 THRU CONV 11015.47 31119.47 1005000013
ERGEX COMMISSIONS PAID 32.00 EXPENSES PAID 0.53
TR TRAN# = 0000000000000054 TR CD=0 REG=22 PORT=PRIN
I CORRECTED BASIS OF TAX LOT TO ORIGINAL COST BASIS. WAS CHANGED IN ERROR JAN 2010. (SAD 11/06/10) **CHANGED** 11/06/10 SAD

SUB TRUST 1255001464

05/17/10 SOLD 100 SHS UNION PAC CORP ON 05/12/2010 AT 76.132 THRU CON 2579.07 7605.07 1005000033
VERGEX COMMISSIONS PAID 8.00 EXPENSES PAID 0.13
TR TRAN# = 0000000000000042 TR CD=0 REG=22 PORT=PRIN
I CORRECTED BASIS OF TAX LOT TO ORIGINAL COST BASIS. WAS CHANGED IN ERROR JAN 2010. (SAD 11/06/10) **CHANGED** 11/06/10 SAD

SUB TRUST 1255001464

TAX
CODE/DATE TRANSACTIONSGain/(loss) Cost basis Sales proceeds EDIT
ABER

509 LONG-TERM 15% GAIN/LOSS - CURRENT SALES (CONTINUED)

UNION PACIFIC CORP - 907818108 - MINOR = 50 (CONTINUED)

08/11/10 SOLD 900 SHS UNION PAC CORP ON 08/06/2010 AT 76 55 THRU CONV 23587.83 68821 83 1008000005
ERGEX COMMISSIONS PAID 72 00 EXPENSES PAID 1.17 SUB TRUST 1255001464
TR TRAN#=000000000000023 TR CD=0 REG=22 PORT=PRIN **CHANGED** 11/06/10 SAD
I CORRECTED BASIS OF TAX LOT TO ORIGINAL COST BASIS. WAS
CHANGED IN ERROR JAN 2010: (SAD 11/06/10)

37182 37 70,364 107546.37

UNITED STATES TREASURY NOTE 5 00% DTD 2/15/01 DUE 2/15/2011 - 9128276T4 - MINOR = 30

12/13/10 SOLD 186,000 UNITS UNITED STATES TREASURY 5% 02/15/2011 663 82 156295 70 1012000021
ON 12/10/2010 AT 100.8359 THRU G X CLARKE AND COMPANY SUB TRUST 1255001455
TR TRAN#=000000000000015 TR CD=0 REG=12 PORT=PRIN

663 82 155,631 88 156295 70

UNITED STATES TREASURY N/B DTD 11/30/09 - 912828MM9 - MINOR = 30

12/22/10 SOLD 220,000 UNITS UNITED STATES TREASURY N/B DTD 11/30/09 94 92 18070.31 1012000029
75% 11/30/2011 ON 12/21/2010 AT 100 3906 THRU G X CLARKE AN SUB TRUST 1255001455
D COMPANY
TR TRAN#=000000000000004 TR CD=0 REG=12 PORT=PRIN

02/15/11 SOLD 167,000 UNITS UNITED STATES TREASURY N/B DTD 11/30/09 141.32 27104 41 1102000015
75% 11/30/2011 ON 02/14/2011 AT 100 3867 THRU MLPPS INC/FIXE SUB TRUST 1255001455
D INCOME
TR TRAN#=000000000000034 TR CD=0 REG=12 PORT=PRIN

236 24 44,938 48 45174 72

UNITED STATES STL CORP NEW COM - 912909108 - MINOR = 50

11/23/10 SOLD 450 SHS UNITED STATES STL CORP NEW ON 11/18/2010 AT 46 5627 52 20957 45 1011000005
6129 THRU CONVERGEX COMMISSIONS PAID 18 00 EXPENSES PAID 0.3
6 TR TRAN#=000000000000001 TR CD=0 REG=22 PORT=PRIN

11/23/10 SOLD 500 SHS UNITED STATES STL CORP NEW ON 11/18/2010 AT 46 9441.86 23286.06 1011000010
6129 THRU CONVERGEX COMMISSIONS PAID 20 00 EXPENSES PAID 0.3 SUB TRUST 1255001339
9 TR TRAN#=000000000000002 TR CD=0 REG=22 PORT=PRIN

15069 38 29,174 13 44243 51

UNITEDHEALTH GROUP INC COM - 91324P102 - MINOR = 50

04/07/10 SOLD 1,000 SHS UNITED HEALTH GROUP INC ON 04/01/2010 AT 32.7 -21928 35 32661.65 1004000001
022 THRU CONVERGEX COMMISSIONS PAID 40.00 EXPENSES PAID 0 55
TR TRAN#=000000000000002 TR CD=0 REG=22 PORT=PRIN

-21928 35 54,590 32661 65

URBAN OUTFITTERS INC - 917047102 - MINOR = 50

09/15/10 SOLD 220 SHS URBAN OUTFITTERS INC ON 09/10/2010 AT 33 04 THR 3473 67 7257 67 1009000006
U CONVERGEX COMMISSIONS PAID 11 00 EXPENSES PAID 0 13 SUB TRUST 1255001339
TR TRAN#=000000000000004 TR CD=0 REG=22 PORT=PRIN

3473.67 3,784 7257 67

VCA ANTECH INC - 918194101 - MINOR = 50

11/16/10 SOLD 400 SHS VCA ANTECH INC ON 11/10/2010 AT 22.1842 THRU CO -104 68 5312 12 1011000008
NVERGEX COMMISSIONS PAID 20 00 EXPENSES PAID 0 15 SUB TRUST 1255001339
TR TRAN#=000000000000009 TR CD=0 REG=22 PORT=PRIN

-104 68 5,416 80 5312 12

V F CORP - 918204108 - MINOR = 50

01/12/11 SOLD 150 SHS VF CORP ON 01/07/2011 AT 81 7758 THRU CONVERGEX 4089.95 4168 45 1101000002
COMMISSIONS PAID 6 00 EXPENSES PAID 0 21 SUB TRUST 1255001464
TR TRAN#=000000000000004 TR CD=0 REG=22 PORT=PRIN

03/22/11 SOLD 200 SHS VF CORP ON 03/17/2011 AT 95 011 THRU SANFORD C 18681.99 18989 83 1103000006
BERNSTEIN AND CO, LLC COMMISSIONS PAID 12 00 EXPENSES PAID SUB TRUST 1255001464
0 37
TR TRAN#=000000000000012 TR CD=0 REG=22 PORT=PRIN

22771.94 386.34 23158 28

VERIZON COMMUNICATIONS COM - 92343V104 - MINOR = 31001

TAX
CODE/DATE TRANSACTIONS

Gain/(loss)

Cost basis

Sales proceeds

EDIT
MBER

509 LONG-TERM 15% GAIN/LOSS - CURRENT SALES (CONTINUED)

VERIZON COMMUNICATIONS COM - 92343V104 - MINOR = 31001 (CONTINUED)

05/05/10	SOLD 630 SHS VERIZON COMMUNICATIONS ON 04/30/2010 AT 29 1855 THRU CONVERGEX COMMISSIONS PAID 31 50 EXPENSES PAID 0 31 TR TRAN#=000000000000104 TR CD=0 REG=22 PORT=PRIN	-5486 01	18355 06 1005000023 SUB TRUST 1255001464 **CHANGED** 11/06/10 SAD
I CORRECTED BASIS OF TAX LOT TO ORIGINAL COST BASIS. WAS CHANGED IN ERROR JAN 2010. (SAD 11/06/10)			
06/08/10	SOLD 225 SHS VERIZON COMMUNICATIONS ON 06/03/2010 AT 27 6301 THRU CONVERGEX COMMISSIONS PAID 11 25 EXPENSES PAID 0 11 TR TRAN#=000000000000056 TR CD=0 REG=22 PORT=PRIN	-2309 26	6205 41 1006000012 SUB TRUST 1255001464 **CHANGED** 11/06/10 SAD
I CORRECTED BASIS OF TAX LOT TO ORIGINAL COST BASIS. WAS CHANGED IN ERROR JAN 2010. (SAD 11/06/10)			
10/20/10	SOLD 100 SHS VERIZON COMMUNICATIONS ON 10/15/2010 AT 32 72 T THRU CONVERGEX COMMISSIONS PAID 8 00 EXPENSES PAID 0 06 TR TRAN#=000000000000170 TR CD=0 REG=22 PORT=PRIN	285 82	3263.94 1010000056 SUB TRUST 1255001464
12/21/10	SOLD 100 SHS VERIZON COMMUNICATIONS ON 12/16/2010 AT 34 66 T THRU KNIGHT CLEARING SERVICES LLC COMMISSIONS PAID 6 00 EXPEN SES PAID 0 06 TR TRAN#=000000000000038 TR CD=0 REG=22 PORT=PRIN	-324 36	3459 94 1012000011 SUB TRUST 1255001464
02/18/11	SOLD 200 SHS VERIZON COMMUNICATIONS ON 02/15/2011 AT 35 7625 THRU MORGAN STANLEY COMMISSIONS PAID 16 00 EXPENSES PAID 0 14 TR TRAN#=000000000000050 TR CD=0 REG=22 PORT=PRIN	-432 23	7136 36 1102000012 SUB TRUST 1255001464

-8266 04

46,686.75

38420 71

VERIZON COMMUNICATIONS 5 55% 02/15/201 - 92343VAC8 - MINOR = 45

03/15/11	SOLD 82,000 UNITS VERIZON COMMUNICATIONS 5 55% 02/15/2016 ON 03/10/2011 AT 112 332 THRU BARCLAYS CAPITAL INC FIXED IN COME TR TRAN#=000000000000028 TR CD=0 REG=22 PORT=PRIN	8808 46	84249 00 1103000008 SUB TRUST 1255001455
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8808 46

75,440.54

84249 00

WELLS FARGO & CO NEW COM - 949746101

08/11/10	SOLD 1,000 SHS WELLS FARGO & CO ON 08/06/2010 AT 27 4962 THR U CONVERGEX COMMISSIONS PAID 40 00 EXPENSES PAID 0 47 TR TRAN#=000000000000017 TR CD=0 REG=22 PORT=PRIN	2396 98	21964 58 1008000004 SUB TRUST 1255001464 **CHANGED** 11/06/10 SAD
I CORRECTED BASIS OF 07/28/2009 TAX LOT TO ORIGINAL COST BASIS. WAS CHANGED IN ERROR JAN 2010. (SAD 11/06/10)			
08/30/10	SOLD 2,000 SHS WELLS FARGO & CO ON 08/25/2010 AT 23.3971 THR U CONVERGEX COMMISSIONS PAID 80 00 EXPENSES PAID 0 80 TR TRAN#=000000000000011 TR CD=0 REG=22 PORT=PRIN	-1016 79	21534 88 1008000012 SUB TRUST 1255001464 **CHANGED** 11/06/10 SAD
I CORRECTED BASIS OF 07/28/2009 TAX LOT TO ORIGINAL COST BASIS. WAS CHANGED IN ERROR JAN 2010. (SAD 11/06/10)			

1380 19

42,119.27

43499 46

WILLIAMS SONOMA INC - 969904101 - MINOR = 50

05/11/10	SOLD 230 SHS WILLIAMS SONOMA INC ON 05/06/2010 AT 28 3285 TH RU CONVERGEX COMMISSIONS PAID 13 80 EXPENSES PAID 0 11 TR TRAN#=000000000000001 TR CD=0 REG=22 PORT=PRIN	3511 64	6501.64 1005000006 SUB TRUST 1255001339
05/13/10	SOLD 250 SHS WILLIAMS SONOMA INC ON 05/10/2010 AT 29.387 THR U CONVERGEX COMMISSIONS PAID 15 00 EXPENSES PAID 0 12 TR TRAN#=000000000000001 TR CD=0 REG=22 PORT=PRIN	4081 63	7331 63 1005000009 SUB TRUST 1255001339

7593 27

6,240

13833.27

TRANSOCEAN LTD - H8817H100 - MINOR = 50

07/02/10	SOLD 600 SHS TRANSOCEAN LTD ON 06/29/2010 AT 49 00 THRU CONV ERSEX COMMISSIONS PAID 24 00 EXPENSES PAID 0 50 TR TRAN#=000000000000071 TR CD=0 REG=22 PORT=PRIN	-9409 19	15360 35 1007000014 SUB TRUST 1255001464
08/18/10	SOLD 1,228 SHS TRANSOCEAN LTD ON 08/13/2010 AT 53 603 THRU C ONVERGEX COMMISSIONS PAID 49 12 EXPENSES PAID 1 12 TR TRAN#=000000000000003 TR CD=0 REG=22 PORT=PRIN	-9488 49	38310 93 1008000009 SUB TRUST 1255001464

-18897 68

72,568.96

53671 28

TOTAL CODE 509 - LONG-TERM 15% GAIN/LOSS - CURRENT SALES

445749 00

3,540,713.64

3986462 64

521 LONG-TERM SALES PROCEEDS

SECURITIES LITIGATION SETTLEMENT PROCEEDS - LITIGATION

10/21/10	RECEIVED FROM CITIZENS BANK, N A SEC V AIG DISTRIBUTION SE CURITIES LITIGATION SETTLEMENT TR TRAN#=000000000000001 TR CD=36 REG= PORT=PRIN	133 15	133 15 1010000016 **CHANGED** 11/16/10 DGJ
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ACCT H705 1255000599
PREP 6072 ADMN 901 INV 91

REGIONS BANK, TRUST DEPARTMENT
TAX TRANSACTION DETAIL

PAGE 140
RUN 05/17/2011
10:57:22 AM

TAX
CODE/DATE TRANSACTIONS

Gain/(loss)

Cost basis

Sales proceeds

EDIT

USER

521 LONG-TERM SALES PROCEEDS (CONTINUED)
SECURITIES LITIGATION SETTLEMENT PROCEEDS - LITIGATION (CONTINUED)

11/22/10 RECEIVED FROM TORREY PINES BANK CARDINAL HEALTH, INC SECURI 267.06 267.06 1011000023
TIES LITIGATION SETTLEMENT
TR TRAN#-0000000000000003 TR CD=36 REG= PORT=PRIN **CHANGED** 12/22/10 DGJ

TOTAL CODE 521 - LONG-TERM SALES PROCEEDS

400 21

0 00

400 21