Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2010

For calendar year 2010, or tax year beginning APR 1, 2010 , and ending MAR 31, 2011

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation
THE HEALTHCARE FOUNDATION FOR ORANGE COUNTY

A Employer identification number
33-0644620

Number and street (or P O box number if mail is not delivered to street address)
1450 N. TUSTIN AVENUE
Room/suite 209

B Telephone number
(714) 245-1650

City or town, state, and ZIP code
SANTA ANA, CA 92705

C If exemption application is pending, check here ▶ ☐
D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 15,458,774.

J Accounting method ☐ Cash ☒ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received	3,500.		N/A	
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	568.	568.		STATEMENT 1
	4 Dividends and interest from securities	372,508.	372,508.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	736,593.			
	b Gross sales price for all assets on line 6a 16,522.				
	7 Capital gain net income (from Part IV, line 2)		736,593.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total.** Add lines 1 through 11	1,113,169.	1,109,669.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages	47,161.	0.		33,013.
	15 Pension plans, employee benefits	12,932.	0.		9,052.
	16a Legal fees STMT 3	<7,140.>	0.		0.
	b Accounting fees STMT 4	35,763.	0.		0.
	c Other professional fees STMT 5	92,595.	87,174.		0.
	17 Interest				
	18 Taxes STMT 6	20,028.	0.		2,608.
	19 Depreciation and depletion	3,092.	0.		
	20 Occupancy	18,503.	0.		0.
	21 Travel, conferences, and meetings	13,877.	0.		11,229.
	22 Printing and publications				
	23 Other expenses STMT 7	19,504.	0.		7,645.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	256,315.	87,174.		63,547.
	25 Contributions, gifts, grants paid	637,437.			637,437.
	26 **Total expenses and disbursements.** Add lines 24 and 25	893,752.	87,174.		700,984.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	219,417.			
	b **Net investment income** (if negative, enter -0-)		1,022,495.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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023501 12-07-10 LHA For Paperwork Reduction Act Notice, see the instructions. Form **990-PF** (2010)

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash - non-interest-bearing	20,645.	3,693.	3,693.
	2 Savings and temporary cash investments	1,025,570.	614,769.	614,769.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ 1,234,553.			
	Less: allowance for doubtful accounts ▶	1,222,999.	1,234,553.	1,234,553.
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	5,847.	1,401.	1,401.
	10a Investments - U.S. and state government obligations STMT 10	1,794,593.	1,462,095.	1,462,095.
	b Investments - corporate stock STMT 11	6,580,103.	6,375,501.	6,375,501.
	c Investments - corporate bonds STMT 12	521,845.	561,920.	561,920.
	11 Investments - land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 13	3,019,810.	4,958,969.	4,958,969.
	14 Land, buildings, and equipment: basis ▶ 108,793.			
	Less: accumulated depreciation STMT 14 ▶ 99,358.	8,719.	9,435.	9,435.
	15 Other assets (describe ▶ STATEMENT 15)	397,298.	236,438.	236,438.
	16 **Total assets** (to be completed by all filers)	14,597,429.	15,458,774.	15,458,774.
Liabilities	17 Accounts payable and accrued expenses	5,992.	3,507.	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 16)	10,157.	0.	
	23 **Total liabilities** (add lines 17 through 22)	16,149.	3,507.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted	13,255,578.	14,129,565.	
	25 Temporarily restricted	1,325,702.	1,325,702.	
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances**	14,581,280.	15,455,267.	
	31 **Total liabilities and net assets/fund balances**	14,597,429.	15,458,774.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	14,581,280.
2 Enter amount from Part I, line 27a	2	219,417.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 9	3	655,664.
4 Add lines 1, 2, and 3	4	15,456,361.
5 Decreases not included in line 2 (itemize) ▶ BOOK/TAX DEPRECIATION DIFFERENCE	5	1,094.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	15,455,267.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b	SEE ATTACHED STATEMENTS			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	16,522.			736,593.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	
a				
b				
c				
d				
e				736,593.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	736,593.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col. (c))
2009	488,285.	11,594,800.	.042112
2008	1,282,147.	12,529,119.	.102333
2007	374,444.	15,520,182.	.024126
2006	683,186.	14,782,868.	.046215
2005	594,040.	15,800,968.	.037595

2 Total of line 1, column (d)	2	.252381
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.050476
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	12,474,609.
5 Multiply line 4 by line 3	5	629,668.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	10,225.
7 Add lines 5 and 6	7	639,893.
8 Enter qualifying distributions from Part XII, line 4	8	700,984.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	10,225.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	10,225.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	10,225.
6 Credits/Payments:				
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	17,840.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments Add lines 6a through 6d			7	17,840.
8 Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	7,615.
11 Enter the amount of line 10 to be: **Credited to 2011 estimated tax** ▶ 7,615. **Refunded** ▶			11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
If the answer is "Yes" to **1a** *or* **1b**, *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

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Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ WWW.HFOC.ORG

14 The books are in care of ▶ KRAMER & OLSEN ACCOUNTANCY CORP Telephone no ▶ (714) 558-3236

Located at ▶ SANTA ANA, CALIFORNIA ZIP+4 ▶ 92705

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2010 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No
If "Yes," attach the statement required by Regulations section 53.4945-5(d).
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X (No)
If "Yes" to 6b, file Form 8870.
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 17		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
MMC, INC. 8150 BEVERLY BLVD., LOS ANGELES, CA 90048	SEE FOOTNOTE	73,873.
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 HEALTH CARE WEBSITE MAINTENANCE: LUMINYS, INC.	3,700.
2 HEALTH CARE TRAINING/MTG. HOSTED/FUNDED ANNUAL MEETING; SESSION WITH DONOR FOUNDATIONS	13,193.
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	11,825,145.
b	Average of monthly cash balances	1b	827,739.
c	Fair market value of all other assets	1c	11,694.
d	**Total** (add lines 1a, b, and c)	1d	12,664,578.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	12,664,578.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	189,969.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	12,474,609.
6	**Minimum investment return.** Enter 5% of line 5	6	623,730.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	623,730.
2a	Tax on investment income for 2010 from Part VI, line 5 2a 10,225.		
b	Income tax for 2010. (This does not include the tax from Part VI) 2b		
c	Add lines 2a and 2b	2c	10,225.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	613,505.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	613,505.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	613,505.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	700,984.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	700,984.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	10,225.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	690,759.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				613,505.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			537,755.	
b Total for prior years: ____ , ____ , ____		0.		
3 Excess distributions carryover, if any, to 2010				
a From 2005				
b From 2006				
c From 2007				
d From 2008				
e From 2009				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ $ 700,984.				
a Applied to 2009, but not more than line 2a			537,755.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				163,229.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				450,276.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008				
d Excess from 2009				
e Excess from 2010				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed:

SEE STATEMENT 18

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE STATEMENT 19				
Total			▶ 3a	637,437.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	568.	
4 Dividends and interest from securities			14	372,508.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	736,593.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		1,109,669.	0.

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 1,109,669.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

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12-07-10

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of.			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Lilia Tanakeyowma | 8/3/11 | Chairman, Board of Directors

Signature of officer or trustee | Date | Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
GARY KRAMER		8/2/11		

Firm's name ▶ KRAMER & OLSEN ACCOUNTANCY CORP. | Firm's EIN ▶

Firm's address ▶ 2010 N. TUSTIN AVE. SANTA ANA, CA 92705 | Phone no (714)558-3236

Form **4562**

Department of the Treasury
Internal Revenue Service (99)

Depreciation and Amortization 990-PF
(Including Information on Listed Property)

▶ See separate instructions. ▶ Attach to your tax return.

OMB No 1545-0172

2010

Attachment Sequence No 67

Name(s) shown on return	Business or activity to which this form relates	Identifying number
THE HEALTHCARE FOUNDATION FOR ORANGE COUNTY	FORM 990-PF PAGE 1	33-0644620

Part I Election To Expense Certain Property Under Section 179 **Note:** *If you have any listed property, complete Part V before you complete Part I.*

1	Maximum amount (see instructions)	1	500,000.
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation	3	2,000,000.
4	Reduction in limitation. Subtract line 3 from line 2. If zero or less, enter -0-	4	
5	Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions	5	

6 (a) Description of property	(b) Cost (business use only)	(c) Elected cost
7 Listed property. Enter the amount from line 29	7	

8	Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction. Enter the **smaller** of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2009 Form 4562	10	
11	Business income limitation. Enter the smaller of business income (not less than zero) or line 5	11	
12	Section 179 expense deduction. Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2011. Add lines 9 and 10, less line 12 ▶ 13		

Note: *Do not use Part II or Part III below for listed property. Instead, use Part V.*

Part II **Special Depreciation Allowance and Other Depreciation (Do not** include listed property.)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	657.

Part III **MACRS Depreciation (Do not** include listed property.) (See instructions.)

Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2010	17	1,735.
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ▶ ☐		

Section B - Assets Placed in Service During 2010 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only - see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property		4,900.	7 YRS.	HY	200DB	700.
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs.		S/L	
h Residential rental property	/		27.5 yrs.	MM	S/L	
	/		27.5 yrs.	MM	S/L	
i Nonresidential real property	/		39 yrs.	MM	S/L	
	/			MM	S/L	

Section C - Assets Placed in Service During 2010 Tax Year Using the Alternative Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
20a Class life					S/L	
b 12-year			12 yrs.		S/L	
c 40-year	/		40 yrs.	MM	S/L	

Part IV **Summary** (See instructions.)

21	Listed property. Enter amount from line 28	21	
22	**Total.** Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return. Partnerships and S corporations - see instr.	22	3,092.
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

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12-21-10 LHA **For Paperwork Reduction Act Notice, see separate instructions.** Form **4562** (2010)

10120801 769165 038109 2010.04000 THE HEALTHCARE FOUNDATION F 038109_1

Part V **Listed Property** (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement.)

Note: *For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete* **only** *24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.*

Section A - Depreciation and Other Information (Caution: *See the instructions for limits for passenger automobiles.***)**

24a Do you have evidence to support the business/investment use claimed? ☐ Yes ☐ No **24b** If "Yes," is the evidence written? ☐ Yes ☐ No

(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/ Convention	(h) Depreciation deduction	(i) Elected section 179 cost
25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use						25		
26 Property used more than 50% in a qualified business use:								
		%						
		%						
		%						
27 Property used 50% or less in a qualified business use:								
		%				S/L -		
		%				S/L -		
		%				S/L -		
28 Add amounts in column (h), lines 25 through 27. Enter here and on line 21, page 1						28		
29 Add amounts in column (i), line 26. Enter here and on line 7, page 1							29	

Section B - Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person.
If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles.

	(a) Vehicle		(b) Vehicle		(c) Vehicle		(d) Vehicle		(e) Vehicle		(f) Vehicle	
30 Total business/investment miles driven during the year (**do not** include commuting miles)												
31 Total commuting miles driven during the year												
32 Total other personal (noncommuting) miles driven												
33 Total miles driven during the year. Add lines 30 through 32												
	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
34 Was the vehicle available for personal use during off-duty hours?												
35 Was the vehicle used primarily by a more than 5% owner or related person?												
36 Is another vehicle available for personal use?												

Section C - Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who **are not** more than 5% owners or related persons.

	Yes	No
37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?		
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use?		

Note: *If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles.*

Part VI **Amortization**

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
42 Amortization of costs that begins during your 2010 tax year:					
43 Amortization of costs that began before your 2010 tax year				43	
44 **Total.** Add amounts in column (f). See the instructions for where to report				44	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr.)	(d) Date sold (mo , day, yr)
1a	SMITH BARNEY #71865-DETAIL AVAILABLE UPON REQUEST		VARIOUS	VARIOUS
b	SMITH BARNEY #71094-DETAIL AVAILABLE UPON REQUEST		VARIOUS	VARIOUS
c	SMITH BARNEY #71097-DETAIL AVAILABLE UPON REQUEST		VARIOUS	VARIOUS
d	SMITH BARNEY #71098-DETAIL AVAILABLE UPON REQUEST		VARIOUS	VARIOUS
e	SMITH BARNEY #71099-DETAIL AVAILABLE UPON REQUEST		VARIOUS	VARIOUS
f	SMITH BARNEY #71102-DETAIL AVAILABLE UPON REQUEST		VARIOUS	VARIOUS
g	SMITH BARNEY #71576-DETAIL AVAILABLE UPON REQUEST		VARIOUS	VARIOUS
h	SMITH BARNEY #71578-DETAIL AVAILABLE UPON REQUEST		VARIOUS	VARIOUS
i	CAPITAL GAINS DIVIDENDS			
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				55,400.
b				279,877.
c				34,806.
d				111,209.
e				88,023.
f				62,748.
g				82,312.
h				5,696.
i	16,522.			16,522.
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
	(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	
a				55,400.
b				279,877.
c				34,806.
d				111,209.
e				88,023.
f				62,748.
g				82,312.
h				5,696.
i				16,522.
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	736,593.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

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Asset Number	Description of property / Date placed in service	Method/ IRC sec	Life or rate	Line No.	Cost or other basis	Basis reduction	Accumulated depreciation/amortization	Current year deduction
1	VARIOUS EQUIPMENT							
	VARIES	SL	7.00	16	60,000.		60,000.	0.
7	MICROEDGE SOFTWARE							
	033199		60M	43	12,700.		12,700.	0.
15	COMPUTER WORKSTATION							
	091703	200DB	5.00	17	1,433.	717.	716.	0.
16	HP 8000N							
	103103	200DB	5.00	17	1,945.	973.	972.	0.
17	LAPTOP							
	021704	200DB	5.00	17	2,290.	1,320.	970.	0.
18	LAPTOP							
	030104	200DB	5.00	17	2,299.	1,325.	974.	0.
19	PHOTOCOPIER							
	030904	200DB	7.00	17	1,675.	838.	773.	64.
20	LCD PROJECTOR							
	110204	200DB	7.00	17	1,672.		1,411.	174.
22	COMPUTER							
	010105	200DB	5.00	17	2,839.		2,839.	0.
23	EQUIPMENT							
	092905	200DB	7.00	17	3,297.		2,561.	294.
24	PRINTER							
	100705	200DB	5.00	17	349.		329.	20.
25	EQUIPMENT							
	120205	200DB	7.00	17	115.		89.	10.
27	EXTERNAL HARD DRIVES							
	052507	200DB	5.00	17	603.		462.	66.
28	COMPUTER EQUIPMENT							
	013108	200DB	5.00	17	749.	375.	246.	51.
29	EQUIPMENT							
	022508	200DB	7.00	17	1,063.	532.	270.	75.
30	SOFTWARE							
	071608	SL	3.00	16	3,945.	1,973.	1,150.	657.
31	LAPTOP & ACCESSORIES							
	042709	200DB	5.00	17	1,037.	519.	104.	166.
32	COMPUTER							
	052709	200DB	5.00	17	1,799.	900.	180.	288.
33	DESK							
	052709	200DB	7.00	17	1,286.	643.	92.	157.
34	PRINTER (MAGICOLO 1680MF)							
	062909	200DB	5.00	17	724.	362.	72.	116.
35	ARTWORK							
	052709	200DB	7.00	17	1,674.	837.	120.	205.
36	ARTWORK							
	092909	200DB	7.00	17	399.	200.	28.	49.
37	CREDENZA							
	062810	200DB	7.00	19C	971.			139.
38	CHAIRS & DESK							
	071510	200DB	7.00	19C	3,929.			561.
	* TOTAL 990-PF PG 1 DEPR & AMORT							
					108,793.	11,514.	87,058.	3,092.

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- Current year section 179 (D) - Asset disposed

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
U.S. TREASURY	568.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	568.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
CITI SMITH BARNEY	388,727.	16,522.	372,205.
DELPHI	81.	0.	81.
EDISON INT'L	133.	0.	133.
MANULIFE	77.	0.	77.
METLIFE	12.	0.	12.
TOTAL TO FM 990-PF, PART I, LN 4	389,030.	16,522.	372,508.

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL (REFUND)	<7,140.>	0.		0.
TO FM 990-PF, PG 1, LN 16A	<7,140.>	0.		0.

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	35,763.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	35,763.	0.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MANAGEMENT FEES	92,595.	87,174.		0.
TO FORM 990-PF, PG 1, LN 16C	92,595.	87,174.		0.

FORM 990-PF TAXES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INTERNAL REVENUE SERVICE	16,102.	0.		0.
PAYROLL TAXES	3,726.	0.		2,608.
FOREIGN TAXES	200.	0.		0.
TO FORM 990-PF, PG 1, LN 18	20,028.	0.		2,608.

FORM 990-PF OTHER EXPENSES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADMINISTRATIVE AND OTHER	9,925.	0.		5,669.
INSURANCE	4,768.	0.		0.
EQUIPMENT LEASE	980.	0.		0.
TELEPHONE AND PAGERS	2,198.	0.		1,649.
SUPPLIES	1,633.	0.		327.
TO FORM 990-PF, PG 1, LN 23	19,504.	0.		7,645.

FOOTNOTES STATEMENT 8

THE FOUNDATION LEASES EMPLOYEES FROM MMC, INC. THEY PAID THIS VENDOR $73,873 FOR THE YEAR ENDING 3/31/11 IN WAGES AND RELATED EXPENSES.

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 9

DESCRIPTION	AMOUNT
UNREALIZED GAINS AND LOSSES	633,953.
VALUATION ADJUSTMENT-CRT	21,711.
TOTAL TO FORM 990-PF, PART III, LINE 3	655,664.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 10

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
MS SMITH BARNEY #71097	X		1,462,095.	1,462,095.
TOTAL U.S. GOVERNMENT OBLIGATIONS			1,462,095.	1,462,095.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			1,462,095.	1,462,095.

FORM 990-PF CORPORATE STOCK STATEMENT 11

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MS SMITH BARNEY #71094	1,576,130.	1,576,130.
MS SMITH BARNEY #71098	1,608,461.	1,608,461.
MS SMITH BARNEY #71099	1,296,950.	1,296,950.
MS SMITH BARNEY #71102	723,185.	723,185.
MS SMITH BARNEY #71578	108,819.	108,819.
MS SMITH BARNEY #71865	1,061,956.	1,061,956.
TOTAL TO FORM 990-PF, PART II, LINE 10B	6,375,501.	6,375,501.

FORM 990-PF CORPORATE BONDS STATEMENT 12

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MS SMITH BARNEY #71578	561,920.	561,920.
TOTAL TO FORM 990-PF, PART II, LINE 10C	561,920.	561,920.

FORM 990-PF OTHER INVESTMENTS STATEMENT 13

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MS SMITH BARNEY #71583	FMV	1,743,565.	1,743,565.
MS SMITH BARNEY #71097	FMV	1,198,103.	1,198,103.
MS SMITH BARNEY #71576	FMV	280.	280.
MS SMITH BARNEY #72373	FMV	716,781.	716,781.
MS SMITH BARNEY #72195	FMV	657,276.	657,276.
MS SMITH BARNEY #72178	FMV	642,964.	642,964.
TOTAL TO FORM 990-PF, PART II, LINE 13		4,958,969.	4,958,969.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 14

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
VARIOUS EQUIPMENT	60,000.	60,000.	0.
MICROEDGE SOFTWARE	12,700.	12,700.	0.
COMPUTER WORKSTATION	1,433.	1,433.	0.
HP 8000N	1,945.	1,945.	0.
LAPTOP	2,290.	2,290.	0.
LAPTOP	2,299.	2,299.	0.
PHOTOCOPIER	1,675.	1,675.	0.
LCD PROJECTOR	1,672.	1,585.	87.
COMPUTER	2,839.	2,839.	0.
EQUIPMENT	3,297.	2,855.	442.
PRINTER	349.	349.	0.
EQUIPMENT	115.	99.	16.
EXTERNAL HARD DRIVES	603.	528.	75.
COMPUTER EQUIPMENT	749.	672.	77.
EQUIPMENT	1,063.	877.	186.
SOFTWARE	3,945.	3,780.	165.
LAPTOP & ACCESSORIES	1,037.	789.	248.
COMPUTER	1,799.	1,368.	431.

DESK	1,286.	892.	394.
PRINTER (MAGICOLO 1680MF)	724.	550.	174.
ARTWORK	1,674.	1,162.	512.
ARTWORK	399.	277.	122.
CREDENZA	971.	139.	832.
CHAIRS & DESK	3,929.	561.	3,368.
TOTAL TO FM 990-PF, PART II, LN 14	108,793.	101,664.	7,129.

FORM 990-PF OTHER ASSETS STATEMENT 15

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
CRT ASSETS HELD IN TRUST	112,860.	0.	0.
DEPOSIT	859.	859.	859.
SETTLEMENT RECOVERY RECEIVABLE	283,579.	235,579.	235,579.
TO FORM 990-PF, PART II, LINE 15	397,298.	236,438.	236,438.

FORM 990-PF OTHER LIABILITIES STATEMENT 16

DESCRIPTION	BOY AMOUNT	EOY AMOUNT
CHARITABLE TRUSTS PAYABLE	10,157.	0.
TOTAL TO FORM 990-PF, PART II, LINE 22	10,157.	0.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 17

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
LILIA M. TANAKEYOWMA 1450 N. TUSTIN AVE. STE. 209 SANTA ANA, CA 92705	CHAIRMAN 5.00	0.	0.	0.
J.FERNANDO NIEBLA 1450 N. TUSTIN AVE. STE. 209 SANTA ANA, CA 92705	VICE CHAIRMAN 5.00	0.	0.	0.
MARVEN E. HOWARD 1450 N. TUSTIN AVE. STE. 209 SANTA ANA, CA 92705	CHIEF FINANCIAL OFFICER 5.00	0.	0.	0.
JOHN O. STRONG, M.D. 1450 N. TUSTIN AVE. STE. 209 SANTA ANA, CA 92705	SECRETARY 5.00	0.	0.	0.
TIMOTHY P. MULLINS 1450 N. TUSTIN AVE. STE. 209 SANTA ANA, CA 92705	MEMBER 1.00	0.	0.	0.
QUYNH KIEU M.C. 1450 N. TUSTIN AVE. STE. 209 SANTA ANA, CA 92705	MEMBER 5.00	0.	0.	0.
ANTHONY MAGNO 1450 N. TUSTIN AVE. STE. 209 SANTA ANA, CA 92705	MEMBER 5.00	0.	0.	0.
DONALD P. KENNEDY 1450 N. TUSTIN AVE. STE. 209 SANTA ANA, CA 92705	MEMBER 2.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 18
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

CATHERINE KOENIG, THE HEALTHCARE FOUNDATION FOR ORANGE COUNTY
1450 N. TUSTIN AVE. STE. 209
SANTA ANA, CA 92705

TELEPHONE NUMBER

(714) 245-1650

FORM AND CONTENT OF APPLICATIONS

SEE ATTACHED APPLICATION GUIDELINES

ANY SUBMISSION DEADLINES

SEE ATTACHED APPLICATION GUIDELINES

RESTRICTIONS AND LIMITATIONS ON AWARDS

SEE ATTACHED APPLICATION GUIDELINES

FORM 990-PF GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR STATEMENT 19

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
CHILDRENS HOSPITAL OF ORANGE COUNTY 455 S. MAIN STREET ORANGE, CA 92868	NONE EXPANSION OF ORAL SEDATION SERVICES	NON-PROFIT CORP	167,937.
CHILDRENS HOSPITAL OF ORANGE COUNTY 455 S. MAIN STREET ORANGE, CA 92868	NONE COLLABORATIVE AGAINST OBESITY	NON-PROFIT CORP	250,000.
HOAG MEMORIAL HOSPITAL PRESBYTERIAN ONE HOAG DRIVE NEWPORT BEACH, CA 92658	NONE MOMS PREGNANCY & DIABETES PROGRAM	NON-PROFIT CORP	75,000.
ST. JOSEPH HEALTH SYSTEM 1100 WEST STEWART DRIVE ORANGE, CA 92863	NONE TOGETHER IN PREVENTION: MOBILE ORAL HEALTH SERVICES	NON-PROFIT CORP	100,000.
VIETNAMESE AMERICAN CANCER FOUNDATION 17150 NEWHOPE STREET, #501-502 FOUNTAIN VALLEY, CA 92708	NONE THE PROMISE: FROM WOMAN TO WOMAN, BREAST & CERVICAL CANCER	NON-PROFIT CORP	9,500.
YMCA NORTH ORANGE COUNTY 215 E. COMMONWEALTH AVE., STE E FULLERTON, CA 92832	NONE EARLY BREAST CANCER SCREENING & EDUCATION PROGRAM	NON-PROFIT CORP	25,000.
ONE O.C. 1901 E. FOURTH STREET, STE 100 SANTA ANA, CA 92705	NONE MOBILIZES VOLUNTEER ACTION	NON-PROFIT CORP	10,000.
TOTAL TO FORM 990-PF, PART XV, LINE 3A			637,437.

Mutual funds

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency Although money market funds seek to preserve the value of your investment at $1 00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall. Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below $1 00 per share In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders This liquidation process could take up to one month or more During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account.

Certain mutual funds may not be transferable to other broker-dealers. For further information, please refer to the fund's Prospectus or call your Financial Advisor

Consulting Group Research (CGR) rating codes (FL, AL or NL) may be shown for certain mutual funds Please refer to the "Guide to Investment Ratings" at the end of this statement for a description of these rating codes All research ratings represent the "opinions" of Consulting Group Research and are not representations or guarantees of performance.

Yield is the current distribution annualized, divided by the fund's net asset value at the end of the statement period Distributions may consist of income, capital gains or the return of capital Distributions and current dividend for funds are based upon information provided by an outside vendor and are not verified by us ***"Tax-Based Cost vs. Current Value"*** *is being provided for information purposes only* ***"Cash Distributions (since inception)"*** *when shown, may reflect distributions on positions no longer held in the account, and may not reflect all distributions received in cash due to but not limited to the following. investments made prior to 1/1/89, asset transfers, recent activity and certain adjustments made in your account.* ***"Total Purchases vs. Current Value"*** *is provided to assist you in comparing your* ***"Total purchases"*** *excluding reinvested distributions, with the current value of the fund's shares in your account* ***"Fund Value Increase/Decrease"*** *reflects the difference between your total purchases and the current value of the fund's shares, plus cash distributions since inception*

Number of shares	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	**Net Value Increase/ Decrease**	Yield	Anticipated income (annualized)
30,528 957	EATON VANCE COMMODITY STRATEGY FUND CL I **Rating.** CG RESEARCH : AL	EICSX	01/19/11	$ 339,482.00	$ 11.12	$ 11 62	$ 354,746 48	$ 15,264 48 ST			
30,528 957	**Total Purchases**			**339,482 00**	**11.12**	**11 62**	**354,746 48**	**15,264.48**			
20.07	Reinvestments to date			216.76	10.80	11 62	233.21	16.45 ST			
30,549 027	**Tax-based Cost vs Current Value**			**339,698.76**	**11 12**		**354,979 69**	**15,280 93**		**6.781**	**24,072 63**
	Total Purchases vs. Current Value			**339,482 00**			**354,979 69**		**15,497 69**		
	Fund Value Increase/Decrease								**15,497 69**		
36,386 066	PIMCO COMMODITY REAL RETURN STRATEGY FUND CL P **Rating.** CG RESEARCH : FL	PCRPX	01/19/11	339,482 00	9 33	9 68	352,217.12	12,735.12 ST			
36,386 066	**Total Purchases**			**339,482.00**	**9.33**	**9 68**	**352,217.12**	**12,735.12**			
990 146	Reinvestments to date			9,238 06	9.329	9 68	9,584 61	346 55 ST			
37,376 212	**Tax-based Cost vs. Current Value**			**348,720 06**	**9 33**		**361,801 73**	**13,081.67**		**9 121**	**33,003 19**

Mutual funds *continued*

Number of shares	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/ Decrease	Yield	Anticipated income (annualized)
	PIMCO COMMODITY REAL RETURN STRATEGY FUND CL P	PCRPX									
	Total Purchases vs Current Value			$ 339,482 00			$ 361,801.73		$ 22,319.73		
	Fund Value Increase/Decrease								22,319 73		
Total mutual funds (Tax based)				$ 688,418.82			$ 716,781.42	$ 28,362.60 ST		7.96	
								$ 0 00 LT			$ 57,075 82
Total Fund Value Increase/Decrease									$ 37,817.42		
Total portfolio value				$ 689,634.05			$ 717,996.65	$ 28,362.60 ST		7.94	$ 57,076.54
								$ 0.00 LT			

TRANSACTION DETAILS *All transactions appearing are based on trade-date*

Investment activity

Date	Activity	Description	Quantity	Price	Amount
03/16/11	Reinvest	EATON VANCE COMMODITY STRATEGY FUND CL I WITHDRAWAL, PENDING REINVEST			$ -216.76
03/16/11	Reinvest	EATON VANCE COMMODITY STRATEGY FUND CL I REINVESTMENT SHS FOR 03/16/11 REINVESTED AMOUNT $216.76	20.07	10.80	0 00
03/17/11	Reinvest	PIMCO COMMODITY REAL RETURN STRATEGY FUND CL P WITHDRAWAL, PENDING REINVEST			-9,238 06
03/17/11	Reinvest	PIMCO COMMODITY REAL RETURN STRATEGY FUND CL P REINVESTMENT SHS FOR 03/17/11 REINVESTED AMOUNT $9,238.06	990.146	9 33	0 00
Total securities bought and other subtractions					$ -9,454 82
Total securities sold and other additions					$ 0 00

Alternative Investments

The value and prices assigned to the investments are estimates based on available information typically received from the funds or other sources. These values and prices may not be realized upon the sale or ultimate disposition of the investment and may not reflect more recent market volatility Citigroup Global Markets Inc (CGMI) does not maintain custody of these investments They are listed below solely as a service to the customer and are not covered by SIPC. If your investment is held in "nominee name" at your request, CGMI is the owner of record on the books and records of the issuer. The issuer will only recognize CGMI as the owner of the investment for all purposes including, but not limited to, communications, capital calls and distributions CGMI is not required to take any action with respect to your investment unless valid instructions are received from you in a timely manner. Some positions reflected on your statement may not represent interests in the fund, but rather redemption proceeds withheld by the issuer pending final valuations which are not subject to the investment performance of the fund and may or may not accrue interest for the length of the withholding.

Cash distributions from these investments are categorized as "other income" herein and will not be reported as taxable income on your year-end Form 1099 You will receive either a Schedule K-1 or such other documentation from the fund each year for use in preparing your annual tax return. Cash distributions that are classified as income may in fact be a return of principal as a result of a sale of assets, particularly private equity and real estate funds For more information regarding the source of a cash distribution, please consult the fund's investor reports, investor services or year-end tax report

Due to delays in the availability of the quarterly estimated values for private equity and real estate funds and for certain hedge and fixed income alternatives that report off cycle quarterly estimated values, this report could contain valuation information, specifically valuation dates, that are inconsistent with other holdings and reflect your contributions and distributions subsequent to the valuation dates, if any, as opposed to the actual valuation of underlying assets, which in most cases is going to be prior to the "as of" date on your statement.

The service on those investments designated "(RPTG ONLY)" is limited to performance reporting only. No investment advice or research is provided. If you have any questions, please contact your Financial Advisor

Hedge Funds and Funds of Hedge Funds	Commitment/ Aggregate Investment	No of Units	NAV	As of	Estimated value	Estimated value + Distributions + Redemptions
PRIV ADV HED EQTY QP LTD CL A	$ 600,000			02/28/11	$ 657,276	$ 657,276
Total Alternative Investments					$ 657,276.00	

Please notify your Financial Advisor if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your Investment Advisory accounts, or to reasonably modify existing restrictions

For a copy of the applicable SEC Form ADV Disclosure Document for Morgan Stanley Smith Barney LLC, or for any Investment Adviser with whom we contract to manage your investment advisory account, please contact your Financial Advisor. These Disclosure Documents contain important information about advisory programs

Message: *Important Information For Advisory Clients*
We are notifying you that Morgan Stanley Smith Barney's new ADV Part 2A, and any applicable new ADV Part 2A for the managers and/or sub-managers servicing your account, will be available beginning March 31, 2011 in PDF format for you to review at www.smithbarney.com/ADV. Please visit this website and read these disclosure documents carefully. To view or print these documents you will need to obtain the Adobe Reader, available free of charge at Adobe's website www.adobe.com. You also may, without revoking your consent to electronic delivery, ask your Financial Advisor for a paper copy of these documents

Money fund

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency Although money market funds seek to preserve the value of your investment at $1 00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below $1 00 per share In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders This liquidation process could take up to one month or more During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account.

Number of shares	Description	Current value	Accrued dividends	Annualized % dividend yield	Anticipated Income (annualized)
34,025.56	WESTERN ASSET MONEY MARKET FUND CLASS A	$ 34,025 56		06%	$ 20 41
Total money fund		$ 34,025.56	$ 0.00	05%	$ 20.41

Preferred stocks

Citi Investment Research & Analysis (CIRA) ratings may be shown for certain securities. In addition, Standard & Poor's research ratings may be shown for certain securities CIRA is not the author of, does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of research prepared by Standard & Poor's. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance CIRA stock recommendations include an investment rating and risk rating The Investment Rating Code (1, 2 or 3) is a function of CIRA's expectation of total return (forecast price appreciation and dividend yield within the next 12 months) and a Risk Rating. The Risk Rating (L, M, H or S) represents the stock's expected risk, taking into account price volatility and fundamental criteria. Please refer to the end of this statement for a guide describing CIRA ratings.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
417	AFFILIATED MANAGERS GROUP CV PFD Rated BB	AMGZO	02/25/09	**$ 9,069.75**	$ 21.75	$ 49 813	**$ 20,772 02**	**$ 11,702.27** LT	5.119%	$ 1,063 35
22	BANK OF AMERICA CORP CONV PFD 7.25% SER L Rated BB+	BACPRL	02/20/09	**4,261 62**	193 71	1,010.99	**22,241.78**	**17,980 16** LT	7.171	1,595 00
15	CITIGROUP T-DECS DUE 12/15/12 7 5% TANGIBLE DIV ENHANCED C/S	CPRH	03/15/10	1,748.95	116 596	126 50	1,897 50	148 55 LT		
37			03/25/10	4,763.24	128 736	126.50	4,680 50	(82.74) LT		
52				**6,512.19**	**125.234**		**6,578 00**	**65.81**	**5 928**	**390.00**
226	CROWN CASTLE INT CONV 6.25% Rated CCC+	CCIKO	02/25/09	**8,934.08** *R*	45 00	60.625	**13,701 25**	**4,767.17** LT	5.149	705 57
132	GENERAL MOTORS COMPANY 4 75% SER B MANDATORY CONV JR PFD	GMPRB	11/22/10	**6,680 43**	50.609	48.20	**6,362 40**	**(318 03)** ST	4 927	313 50
235	HARTFORD FINL SVCS GROUP INC DEP SHS REPSTG 1/40TH INT ON SHS OF MANDATORY CONV PFD STK Rated BB+	HIGPRA	03/26/10	**6,180 74**	26 301	26 049	**6,121 52**	**(59.22)** LT	6 956	425 82

Preferred stocks *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
230	PPL CORP PREF CONV 9 50% MAND CONV EQUITY UNITS	PPLPRU	08/11/10	$ 12,545.72	$ 54 546	$ 53 24	$ 12,245 20	($ 300 52) ST	8 921 %	$ 1,092 50
63	STANLEY BLACK & DECKER UNITS CONV PFD UNITS 4.75% Rated BBB+	SWU	11/04/10	6,674.69	105.947	119 62	7,536.06	861.37 ST	3 552	267.75
76	VALE CAPITAL II MAND CONV NTS INTO VALE 6.75%	CJS	03/24/10	6,770 84	89.09	92.752	7,049.15	278 31 LT	2.008	141.59
6	WELLS FARGO & CO 7.5% CONV CL A PFD Rated A-	WFCPRL	04/13/09	3,483.49	580.581	1,035.20	6,211.20	2,727.71 LT	7 244	450.00
Total preferred stocks				**$ 71,113.55**			**$ 108,818.58**	**$ 242.82 ST**	**5.92**	
								$ 37,462.21 LT		**$ 6,445 08**

Bonds

Unrealized gains & losses have been adjusted to account for the accretion of OID (original issue discount), the amortization of premium, and/or the accretion of market discount.

Call features shown indicate the next regularly scheduled call date and price. Your holdings may be subject to other redemption features including sinking funds or extraordinary calls.

The research rating for Moody's Investors Service and Standard & Poor's may be shown for certain fixed income securities All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance Your Financial Advisor will be pleased to provide you with further information or assistance in interpreting research ratings.

International bonds

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued interest	Current value	Unrealized Gain/(loss) Original/ Adjusted	Current % Yield/ Anticip Income (annualized)	Ordinary Income/ Capital gain/(loss)
6,000	INGERSOLL-RAND CO LTD	03/04/10	$ 11,525.25	$ 192.087	271.50	$ 16,290.00	$ 4,764.75 LT	1 657	$ 0 00
	CONV BOOK/ENTRY	45687AAD4	**$ 11,525.25**	$ 192.087	**$ 124.50**		**$ 4,764.75** LT	**$ 270 00**	**$ 4,764.75**
	DTD 04/06/2009								
	INT: 04.500% MATY: 04/15/2012								
	EXCHANGE RATE: 1.0000000								
	Amount denominated in:								
	U S. dollars								
	Rating: BAA1/BBB+								

International bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip Income (annualized)	Ordinary Income/ Capital gain/(loss)
4,000	ARCELORMITTAL CONV	12/21/09	$ 6,549 60	$ 163.74	145 00	$ 5,800 00	($ 749 60)	LT	3 448	$ 0 00
	BOOK/ENTRY	03938LAK0	$ 6,549 60	$ 163.74	$ 75 56		($ 749.60)	LT	$ 200 00	($ 749 60)
	DTD 05/06/2009									
	INT 05.000% MATY· 05/15/2014									
	EXCHANGE RATE· 1 0000000									
	Amount denominated in.									
	U S dollars									
	Rating: BAA3/BBB-									
Total international bonds			$ 18,074.85		**$ 200 06**	**$ 22,090.00**	**$ 0.00**	**ST**	**2.12**	**$ 0.00**
10,000			**$ 18,074.85**				**$ 4,015.15**	**LT**	**$ 470.00**	**$ 4,015.15**

Please note: ***Amounts*** *are denominated in the currency of the issue.* ***Price*** *is a function of* ***exchange rate*** *and market price.*
Market value *is denominated in U.S. dollars. Changes in* ***exchange rate*** *will affect the "face value in U S dollars" and* ***market value.***

Corporate bonds

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip Income (annualized)	Ordinary Income/ Capital gain/(loss)
5,000	CORE LABS LP CV	02/25/09	$ 4,937.50	$ 98.75	222 875	$ 11,143.75	$ 6,206 25	LT		$ 48 75
	BOOK/ENTRY DD 12/20/06	21868FAB9	$ 4,937 50	$ 98 75			$ 6,206 25	LT		$ 6,157 50
5,000	INT: 00 250% MATY. 10/31/2011	08/04/09	5,539 66	110 793	222 875	11,143.75	5,604.09	LT		0.00
			5,539 66	110.793			5,604.09	LT		5,604.09
10,000			10,477.16	104 80		**22,287.50**	11,810.34		**.112**	**48.75**
			10,477 16	104 80	**10 49**		**11,810.34**		**25 00**	**11,761.59**
6,000	MYLAN LABORATORIES INC SR	01/24/11	6,830 83	113 847	110.125	6,607 50	(223.33)	ST		0.00
	UNSECD CV NOTES BOOK/ENTRY	628530AG2	6,830.83	113.847			(223.33)	ST		(223 33)
6,000	DD 3/7/2007	02/09/11	6,856 81	114.28	110.125	6,607 50	(249.31)	ST		0 00
	INT. 01.250% MATY: 03/15/2012		6,856.81	114.28			(249 31)	ST		(249 31)
12,000	Exchange. OTC		13,687 64	114 10		**13,215 00**	(472.64)		**1 135**	**0 00**
	Rating S&P BB		**13,687 64**	114 10	**6 67**		**(472 64)**		**150 00**	**(472 64)**
6,000	STANLEY WORKS CV NOTES	10/28/10	6,916 00	115 266	124 46	**7,467 60**	551 60	ST		0 00
	DTD 03/20/2007	854616AM1	**6,916 00**	115 266			**551 60**	ST		**551 60**
	INT 00.000% MATY· 05/17/2012									
	Rating: BAA1/A-									

Corporate bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip Income (annualized)	Ordinary Income/ Capital gain/(loss)
12,000	AMGEN INC CV BOOK/ENTRY DD 11/09/06 INT 00.375% MATY. 02/01/2013 Rating A3/A+	01/22/10 031162AQ3	$ 12,022.50 **$ 12,013.68**	$ 100.187 $ 100 114	99.375 **$ 7.50**	**$ 11,925 00**	($ 97.50) **($ 88.68)**	LT LT	.377 **$ 45 00**	**$ 0.00** **($ 88 68)**
6,000	ANIXTER INTL INC GLOBAL CV BOOK/ENTRY DD 5/16/2007 INT: 01 000% MATY: 02/15/2013 Rating: S&P B+	02/25/09 035290AJ4	4,500.00 **4,500.00**	75.00 75.00	125.50 **7.67**	**7,530.00**	3,030.00 **3,030.00**	LT LT	**796** **60.00**	**727.20** **2,302.80**
7,000	MEDTRONIC INC GLOBAL CV BOOK/ENTRY DD 8/07/06 INT: 01 625% MATY: 04/15/2013 Rating A1/AA-	02/25/09 585055AM8	6,440.00 **6,440.00**	92.00 92 00	103.00 **52.45**	**7,210.00**	770.00 **770.00**	LT LT	**1.577** **113 75**	**272.16** **497 84**
4,000	GILEAD SCIENCES INC CV BOOK/ENTRY DD 11/28/06 INT: 00 625% MATY 05/01/2013 Rating. S&P A-	02/25/09 375558AH6	5,440 00 **5,440.00**	136 00 136 00	121 875 **10 42**	**4,875 00**	(565 00) **(565 00)**	LT LT	**512** **25 00**	**0 00** **(565 00)**
13,000	SBA COMMUNICATIONS CORP BOOK/ENTRY DTD 05/16/2008 INT 01.875% MATY. 05/01/2013	08/20/09 78388JAN6	11,620.09 **11,620.09**	89 385 89.385	114.00 **101.56**	**14,820.00**	3,199.91 **3,199.91**	LT LT	**1 644** **243.75**	**570.53** **2,629.38**
4,000	TEXTRON INC CONV BOOK/ENTRY DTD 05/05/2009 INT 04.500% MATY 05/01/2013 Rating: S&P BBB-	10/28/10 883203BN0	6,881.30 **6,881.30**	172.032 172 032	214.00 **75.00**	**8,560 00**	1,678.70 **1,678.70**	ST ST	**2 102** **180.00**	**0 00** **1,678.70**
11,000	MOLSON COORS BREWING COMPANY CV SR NOTES DD 6/15/2007 INT 02.500% MATY: 07/30/2013 Rating: S&P BBB-	02/25/09 60871RAA8	11,550 00 **11,294 47**	105.00 102 677	116 125 **46 60**	**12,773.75**	1,223.75 **1,479 28**	LT LT	**2.152** **275 00**	**0.00** **1,479 28**
22,000	EMC CORP GLOBAL CV BOOK/ENTRY DD 02/02/2007 INT: 01.750% MATY 12/01/2013 Rating: S&P A-	10/13/10 268648AM4	30,690.00 **30,690.00**	139 50 139 50	170.50 **128.33**	**37,510 00**	6,820 00 **6,820 00**	ST ST	**1.026** **385.00**	**0 00** **6,820 00**

Corporate bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip Income (annualized)	Ordinary Income/ Capital gain/(loss)
5,000	CEPHALON INC CONV	01/25/10	$ 5,608 63	$ 112 172	119.375	$ 5,968 75	$ 360.12	LT		$ 0 00
	DTD 05/27/2009	156708AR0	$ 5,608.63	$ 112.172			$ 360.12	LT		$ 360.12
6,000	INT 02.500% MATY 05/01/2014	08/11/10	6,509.72	108.495	119.375	7,162 50	652 78	ST		0.00
			6,424.02	107 067			738.48	ST		738 48
11,000			12,118.35	110.20		**13,131 25**	1,012 90		**2 094**	**0 00**
			12,032 65	109.40	**114.58**		**1,098 60**		**275 00**	**1,098 60**
12,000	INTL GAME TECHNOLOGY CONV	02/10/11	14,100.72	117 506	114 75	**13,770 00**	(330 72)	ST	**2 832**	**0 00**
	BOOK/ENTRY 144A	459902AQ5	**14,100 72**	117.506	**162.50**		**(330.72)**	ST	**390.00**	**(330.72)**
	DTD 05/11/2009									
	INT· 03.250% MATY· 05/01/2014									
	Rating: BAA2/BBB									
5,000	ALLEGHENY TECHNOLOGIES INC	06/08/09	5,825.00	116.50	175.875	8,793.75	2,968.75	LT		0.00
	SNR UNSEC NTS DTD 06/02/2009	01741RAD4	5,529.40	110.588			3,264.35	LT		3,264 35
5,000	INT· 04 250% MATY· 06/01/2014	09/24/09	5,939 22	118.784	175 875	8,793 75	2,854.53	LT		0.00
	Rating. S&P BBB-		5,637 55	112 751			3,156 20	LT		3,156.20
10,000			11,764 22	117.60		**17,587.50**	5,823 28		**2 416**	**0 00**
			11,166.95	111.70	**141.67**		**6,420.55**		**425 00**	**6,420.55**
7,000	COVANTA HOLDINGS CORP CNV	11/10/10	8,093.11	115.615	118 00	**8,260 00**	166.89	ST	**2 754**	**0 00**
	BK/ENTRY	22282EAC6	**8,093.11**	115.615	**75 83**		**166.89**	ST	**227 50**	**166 89**
	DTD 06/01/2010									
	INT: 03.250% MATY: 06/01/2014									
	Rating: BA3/B									
5,000	STEEL DYNAMICS INC CONV	09/11/09	6,308 27	126 165	128 00	6,400 00	91.73	LT		0.00
	BOOK/ENTRY	858119AP5	6,308.27	126.165			91 73	LT		91.73
5,000	DTD 06/09/2009	10/21/09	5,968.20	119.364	128.00	6,400 00	431 80	LT		0.00
	INT: 05.125% MATY: 06/15/2014		5,673.45	113.469			726.55	LT		726.55
10,000	Rating: S&P BB+		12,276.47	122.80		**12,800 00**	523.53		**4.003**	**0 00**
			11,981.72	119.80	**150 90**		**818.28**		**512.50**	**818.28**
5,000	NEWMONT MINING CORP NTS REV CV	10/28/10	7,063 68	141 273	131.875	**6,593.75**	(469.93)	ST	**.947**	**0 00**
	BOOK ENTRY DTD 10/15/2007	651639AH9	**7,063 68**	141.273	**13.19**		**(469.93)**	ST	**62 50**	**(469.93)**
	INT: 01.250% MATY. 07/15/2014									
	Rating: S&P BBB+									
6,000	AVIS BUDGET GROUP INC	02/10/11	7,354 92	122.582	131.75	**7,905.00**	550.08	ST	**2.656**	**0 00**
	CNV DTD 10/13/2010	053774AB1	**7,354 92**	122 582	**105 00**		**550 08**	ST	**210.00**	**550.08**
	INT· 03 500% MATY· 10/01/2014									

HEALTHCARE FOUNDATION *Account number 602-71578-14 531*

Corporate bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip Income (annualized)	Ordinary Income/ Capital gain/(loss)
10,000	AMR CORP CONV	09/24/10	$ 9,969.10	$ 99.691	105.25	$ 10,525.00	$ 555.90	ST		$ 0.00
	BOOK/ENTRY	001765BC9	$ 9,969.10	$ 99.691			$ 555.90	ST		$ 555.90
2,000	DTD 09/28/2009	09/27/10	2,006.66	100.333	105.25	2,105.00	98.34	ST		0.00
	INT: 06.250% MATY: 10/15/2014		2,005.90	100.295			99.10	ST		99.10
12,000	Rating: S&P CCC+		11,975.76	99.80		12,630 00	654.24		5.938	0.00
			11,975.00	99.80	**345.83**		**655.00**		**750.00**	**655.00**
5,000	TTM TECHNOLOGIES INC	09/24/10	4,810.95	96.219	137.25	6,862.50	2,051.55	ST		18.90
	CONV BOOK/ENTRY	87305RAC3	4,810.95	96.219			2,051 55	ST		2,032.65
2,000	DTD 05/04/2008	09/27/10	1,921.64	96.082	137.25	2,745.00	823.36	ST		7.80
	INT: 03.250% MATY: 05/15/2015		1,921.64	96.082			823.36	ST		815.56
7,000	Rating: S&P BB-		6,732.59	96.20		9,607 50	2,874.91		2.367	26.70
			6,732 59	96.20	**85.94**		**2,874.91**		**227 50**	**2,848 21**
7,000	MF GLOBAL HOLDINGS LTD	02/22/11	7,321 69	104 595	102.625	7,183 75	(137.94)	ST	1 827	0.00
	DTD 02/11/2011	55277JAA6	**7,315 28**	104 504	**18.23**		**(131.53)**	ST	**131 25**	**(131.53)**
	INT: 01 875% MATY: 02/01/2016									
	Rating: S&P BBB-									
5,000	EQUINIX INC CONV SUB NTS	10/07/10	5,926.96	118.539	134.375	6,718.75	791.79	ST	3 534	0 00
	BOOK/ENTRY	29444UAH9	**5,853 30**	117.066	**69.93**		**865 45**	ST	**237 50**	**865.45**
	DTD 06/12/2009									
	INT: 04.750% MATY. 06/15/2016									
	Rating. S&P B-									
5,000	VIRGIN MEDIA INC	03/01/10	6,069.15	121.383	169.375	8,468.75	2,399.60	LT		0 00
	CVBD 04/16/2008	92769LAB7	5,911.35	118 227			2,557.40	LT		2,557.40
1,000	INT: 06.500% MATY: 11/15/2016	03/25/10	1,220.00	122.00	169.375	1,693.75	473.75	LT		0.00
	Rating: S&P B+		1,189.27	118.927			504.48	LT		504 48
6,000			7,289.15	121.50		10,162.50	2,873.35		3 837	0.00
			7,100.62	118.30	**147.33**		**3,061.88**		**390 00**	**3,061 88**
4,000	BIOMARIN PHAMACEUTICAL CV	02/25/09	3,105.00	77.625	135.375	5,415.00	2,310.00	LT		193.88
	BOOK/ENTRY	09061GAD3	3,105.00	77.625			2,310.00	LT		2,116.12
6,000	DD 4/23/2007	02/01/10	6,837 56	113.959	135.375	8,122 50	1,284.94	LT		0.00
	INT: 01.875% MATY. 04/23/2017		6,837.56	113 959			1,284.94	LT		1,284.94
10,000	Rating: S&P B-		9,942.56	99.40		13,537.50	3,594.94		1.385	193.88
			9,942.56	99.40	**82.29**		**3,594 94**		**187.50**	**3,401 06**
6,000	TELEFLEX INCCNV	08/10/10	6,141.72	102.362	112.625	6,757.50	615.78	ST		0.00
	BK/ENTRY	879369AA4	6,130.02	102.167			627.48	ST		627.48
6,000	DTD 08/09/2010	11/10/10	6,489.23	108.153	112.625	6,757.50	268.27	ST		0.00
	INT: 03.875% MATY: 08/01/2017		6,463 98	107.733			293.52	ST		293.52
12,000	Rating: B2/B+		12,630.95	105.30		13,515 00	884.05		3 44	0.00
			12,594.00	105.00	**77 50**		**921.00**		**465.00**	**921.00**

HEALTHCARE FOUNDATION   Account number 602-71578-14 531

Corporate bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip Income (annualized)	Ordinary Income/ Capital gain/(loss)
7,000	SANDISK CORP	10/25/10	$ 6,695 41	$ 95.648	113.25	**$ 7,927 50**	$ 1,232.09	ST	**1.324**	**$ 17 73**
	CVBD DTD 08/25/2010	80004CAD3	**$ 6,695.41**	$ 95 648	**$ 13.42**		**$ 1,232.09**	ST	**$ 105.00**	**$ 1,214.36**
	INT 01.500% MATY. 08/15/2017									
	Rating: S&P BB-									
6,000	NEWPARK RESOURCES INC	10/21/10	6,486 34	108 105	103 75	**6,225 00**	(261.34)	ST	**3 855**	**0.00**
	CNV BK/ENTRY	651718AC2	**6,458.76**	107.646	**118.00**		**(233 76)**	ST	**240 00**	**(233 76)**
	DTD 10/04/2010									
	INT. 04.000% MATY 10/01/2017									
	Rating: S&P CCC+									
12,000	DANAHER CORP CONV	10/07/09	11,651.52	97 096	150 00	**18,000 00**	6,348 48	LT		**0 00**
	DTD 3/16/01	235851AF9	**11,696.16**	97 468 ##			**6,303.84**	LT		**6,303.84**
	INT: 00.000% MATY 01/22/2021									
	Rating A2/A+									
	Next call on 01/22/12 @ 80.857									
8,000	FISHER SCIENTIFIC INTL CONV	02/25/09	9,430 00	117 875	136.625	10,930.00	1,500.00	LT		0.00
	DTD 3/3/04	3389900D7	9,254.64	115 683			1,675.36	LT		1,675.36
6,000	INT. 03 250% MATY 03/01/2024	12/21/09	8,065.19	134 419	136.625	8,197 50	132.31	LT		0 00
			7,888 56	131 476			308.94	LT		308 94
1,000		08/11/10	1,202.93	120.293	136 625	1,366.25	163.32	ST		0 00
			1,194.48	119 448			171 77	ST		171 77
15,000			18,698.12	124.70		**20,493.75**	1,795.63		**2 378**	**0 00**
			18,337 68	122.30	**40 62**		**2,156 07**		**487.50**	**2,156 07**
14,000	COOPER CAMERON INTL CORP	02/25/09	14,210.00	101.50	161 375	**22,592 50**	8,382 50	LT	**1 549**	**0 00**
	GLOBAL CV BOOK/ENTRY	13342BAB1	**14,189.00**	101 35	**103.06**		**8,403 50**	LT	**350 00**	**8,403 50**
	DD 8/14/2006									
	INT: 02.500% MATY: 06/15/2026									
	Rating BAA1/BBB+									
	Next call on 06/20/11 @ 100 000									
11,000	TRIUMPH GROUP INC GLOBAL CV	10/21/09	12,496 77	113 607	163.875	**18,026 25**	5,529 48	LT	**1.601**	**0 00**
	BOOK/ENTRY DD 12/12/06	896818AB7	**12,386.22**	112 602	**144.38**		**5,640.03**	LT	**288 75**	**5,640.03**
	INT 02 625% MATY: 10/01/2026									
	Next call on 10/06/11 @ 100.000									
6,000	ON SEMICONDUCTOR CORP CV	02/25/09	3,930.00	65.50	117.75	7,065 00	3,135 00	LT		148 86
	BOOK/ENTRY	682189AG0	3,930.00	65 50			3,135 00	LT		2,986 14
5,000	DD 04/04/07	09/10/09	5,680 85	113 617	117 75	5,887.50	206 65	LT		0 00
	INT: 02.625% MATY: 12/15/2026		5,627.70	112 554			259 80	LT		259 80
11,000	Rating: S&P BB		9,610.85	87.40		**12,952 50**	3,341 65		**2.229**	**148.86**
	Next call on 12/20/13 @ 100 000		**9,557.70**	86 90	**85 02**		**3,394 80**		**288 75**	**3,245 94**

Corporate bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip Income (annualized)	Ordinary Income/ Capital gain/(loss)
14,000	ST MARY&EXP GLOBAL CV BOOK/ENTRY DD 4/4/2007 INT. 03 500% MATY. 04/01/2027 Next call on 04/06/12 @ 100 000	02/25/09 792228AD0	$ 11,060 00 **$ 11,060.00**	$ 79 00 $ 79.00	143 125 **$ 245.00**	**$ 20,037 50**	$ 8,977.50 **$ 8,977.50**	LT LT	2 445 **$ 490.00**	**$ 214 48** **$ 8,763 02**
6,000	NUANCE COMMUNICATIONS INC CONV BOOK/ENTRY DTD 11/29/2007 INT: 02.750% MATY: 08/15/2027 Rating S&P BB- Next call on 08/20/14 @ 100 000	09/23/10 67020YAB6	6,439.52 **6,428.52**	107.325 107.142	125.375 **21.08**	**7,522.50**	1,082.98 **1,093.98**	ST ST	**2.193** **165.00**	**0 00** **1,093.98**
7,000	WESCO INTL INC CONV BOOK/ENTRY DTD 08/27/2009 INT: 06 000% MATY: 09/15/2029 Rating: S&P B Next call on 09/15/16 @ 100.000	12/21/09 95082PAH8	9,028.18 **8,939.07**	128 974 127.701	237 50 **18.67**	**16,625 00**	7,596.82 **7,685.93**	LT LT	**2.526** **420 00**	**0.00** **7,685.93**
11,000	L-3 COMMUNICATIONS CORP CONV CONTINGENT DEBT SECS- DTD 12/09/2005 INT. 03.000% MATY. 08/01/2035 Rating: BA1/BB+	02/25/09 502413AW7	11,000.00 **11,000.00**	100.00 100.00	100.875 **55.00**	**11,096 25**	96.25 **96.25**	LT LT	**2 973** **330.00**	**0.00** **96.25**
11,000	CHESAPEAKE ENERGY CONV DTD 03/07/06 INT: 02 750% MATY. 11/15/2035 Rating: BA3/BB	02/25/09 165167BW6	7,823.75 **7,823 75**	71.125 71 125	116.50 **114.28**	**12,815.00**	4,991 25 **4,991.25**	LT LT	**2 36** **302 50**	**132.22** **4,859.03**
7,000	VERISIGN INC CV BOOK ENTRY DTD 03/07/08 INT: 03.250% MATY: 08/15/2037	12/21/09 92343EAD4	6,190.79 **6,190 79**	88 439 88 439	120 50 **29.07**	**8,435.00**	2,244.21 **2,244.21**	LT LT	**2 697** **227.50**	**21.14** **2,223 07**
7,000	MICROCHIP TECHNOLOGY INC BOOK/ENTRY	02/25/09 595017AB0	4,567.50 4,567.50	65.25 65.25	136.375	9,546 25	4,978.75 4,978.75	LT LT		94.78 4,883 97
5,000	DTD 03/31/2008 INT. 02.125% MATY: 12/15/2037	12/21/09	5,056.75 5,054 75	101 135 101 095	136.375	6,818.75	1,762.00 1,764 00	LT LT		0 00 1,764 00
12,000			9,624 25 **9,622 25**	80 20 80 20	**75 08**	**16,365 00**	6,740.75 **6,742.75**		**1 558** **255 00**	**94 78** **6,647 97**

Corporate bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip Income (annualized)	Ordinary Income/ Capital gain/(loss)
12,000	TRANSOCEAN INC	02/25/09	$ 9,900 00	$ 82.50	97 375	**$ 11,685.00**	$ 1,785.00	LT	**1.54**	**$ 109.20**
	CV BOOK/ENTRY	893830AW9	**$ 9,900 00**	$ 82 50	**$ 53 00**		**$ 1,785.00**	LT	**$ 180.00**	**$ 1,675.80**
	DTD 12/11/2007									
	INT: 01.500% MATY. 12/15/2037									
	Rating: BAA3/BBB									
	Next call on 12/20/12 @ 100.000									
18,000	PEABODY ENERGY CORP CV JR SUB	02/25/09	12,780.00	71 00	132 50	23,850 00	11,070 00	LT	3 584	93 42
	DEB BOOK/ENTRY	704549AG9	**12,780.00**	71 00	**251 75**		**11,070 00**	LT	855.00	10,976.58
	DD 12/20/2006									
	INT: 04.750% MATY. 12/15/2041									
	Rating: BA3/B+									
	Next call on 08/28/23 @ 100.000									
Total corporate bonds			$ 418,511.32		**$ 3,404 84**	**$ 536,225.10**	**$ 16,681.37**	**ST**	**2.04**	**$ 2,671.05**
401,000			**$ 416,332.75**				**$ 103,210.98**	**LT**	**$ 10,978.75**	**$ 117,221.30**
Total portfolio value			**$ 539,546 71**			**$ 701,159.24**	**$ 16,924.19**	**ST**	**2.55**	**$ 2,671.05**
							$ 144,688.34	**LT**	**$ 17,914.24**	**$ 121,236.45**

Original Issue Discount
R The basis for this tax lot has been adjusted due to a reclassification of income.

TRANSACTION DETAILS *All transactions appearing are based on trade-date.*

Investment activity

Date	Activity	Description	Quantity	Price	Amount
03/29/11	Tender	FISHER SCIENTIFIC INTL CONV DTD 3/3/04 DUE 03/01/2024 RATE 3 250	-15,000		$ 0.00
03/29/11	Tender	FISHER SCIENTIFIC INTL CONV DTD 3/3/04 DUE 03/01/2024 RATE 3.250	15,000		0.00
Total securities bought and other subtractions					**$ 0 00**
Total securities sold and other additions					**$ 0 00**

HEALTHCARE FOUNDATION ***Account number 602-71576-16 531***

Exchange traded & closed end funds

Citi Investment Research & Analysis (CIRA) ratings may be shown for certain closed-end funds All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. CIRA closed-end fund recommendations include an investment rating and a risk rating. The Investment Rating code (1,2, 3) is based upon CIRA's expectation of the security's performance relative to its peer group of closed-end funds. The Risk Rating (L, M, H or S) represents the fund's expected risk, taking into account the quality and liquidity of the underlying securities, financial leverage and foreign currency exposure. Please refer to the end of this statement for a guide describing CIRA ratings.

Closed end investment companies are grouped below by portfolio designations. Gain/Loss is provided to assist in tax preparation It is not intended to calculate investment returns or performance

Consulting Group Research (CGR) conducts on-going research on a wide variety of exchange-traded funds for certain investment advisory programs Your individual exchange-traded fund holdings in your brokerage account may or may not be covered by CGR. Please contact your Financial Advisor for further information regarding whether your exchange-traded fund holdings are covered by CGR.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
40	ALPINE GLOBAL PREMIER PPTYS FD SHS OF BEN INT Equity portfolio	AWP	12/31/10	**$ 283.44**	$ 7.086	$ 7 01	**$ 280 40**	**($ 3.04)** ST	**5 649%**	**$ 15.84**
Total closed end fund equity allocation							**$ 280.40**			
Total exchange traded funds and closed end funds				$ 283.44			$ 280.40	($ 3.04) ST $ 0.00 LT	5.64	$ 15.84
Total portfolio value				$ 560.28			$ 557.24	($ 3.04) ST $ 0.00 LT	2 87	$ 16 00

TRANSACTION DETAILS

All transactions appearing are based on trade-date.

Money fund activity

Date	Activity	Description	Amount
		Opening money fund balance	**$ 275 02**
03/01/11	Autoinvest	WESTERN ASSET MONEY MARKET FUND CLASS A	1.82

All transactions are traded at $1 00 per share.

Date	Activity	Description	Amount
		Closing balance	**$ 276.84**

EARNINGS DETAILS

*The tax status of earnings is reliable to the best of our knowledge. **Taxable** and **non-taxable** designations refer to the federal income tax status of your securities, not of your account.*

Other dividends

Date	Description	Comment	Taxable	Non-taxable	Amount
03/31/11	ALPINE GLOBAL PREMIER PPTYS FD SHS OF BEN INT	CASH DIV ON 40 0000 SHS X/D 03/23/11	$ 1.32		$ 1 32
Total other dividends earned			**$ 1.32**	**$ 0.00**	**$ 1.32**

SEPARATELY MANAGED ACCOUNTS

Consulting Group Research (CGR) rating codes (FL, AL or NL) may be shown for certain separate account managers Please refer to the "Guide to Investment Ratings" at the end of this statement for a description of these rating codes. All research ratings represent the "opinions" of CGR and are not representations or guarantees of performance

	Rating		Rating
Invesco Aim - Mid Cap Core	AL		

PORTFOLIO DETAILS

Your holdings are valued using the most current prices available to Citigroup Global Markets Inc. (CGMI). In most cases, these values are as of 03/31/11, but in some cases CGMI's sources are unable to provide timely information. To see the date of the most recent price update, please view your account online at www.smithbarney.com.

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.
Please Note: *unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Money fund

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency. Although money market funds seek to preserve the value of your investment at $1.00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below $1 00 per share. In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders. This liquidation process could take up to one month or more During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account

Number of shares	Description	Current value	Accrued dividends	Annualized % dividend yield	Anticipated Income (annualized)
122,181.74	WESTERN ASSET MONEY MARKET FUND CLASS A	**$ 122,181 74**		.06%	**$ 73.30**
Total money fund		**$ 122,181 74**	**$ 0.00**	05%	**$ 73 30**

Common stocks & options

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
471	WEATHERFORD INTERNATIONAL LTD.-CHF	WFT	03/17/11	**$ 9,608.31**	$ 20 399	$ 22 60	**$ 10,644 60**	**$ 1,036 29** ST		
82	TYCO INTL LTD CHF	TYC	04/29/09	1,847.20	22.526	44.77	3,671 14	1,823 94 LT		
40			02/12/10	1,371 87	34 296	44 77	1,790 80	418.93 LT		

HEALTHCARE FOUNDATION   Account number 602-71102-19 531

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
57	TYCO INTL LTD CHF	TYC	03/04/10	$ 2,079.58	$ 36 483	$ 44 77	$ 2,551 89	$ 472 31 LT		
179				**5,298.65**	**29.601**		**8,013 83**	**2,715 18**	**2.233**	**179.00**
173	AETNA INC NEW	AET	02/12/10	4,931.33	28.504	37.43	6,475.39	1,544 06 LT		
98			03/04/10	2,976 49	30 372	37 43	3,668.14	691 65 LT		
271				**7,907 82**	**29 18**		**10,143 53**	**2,235 71**	**1 602**	**162 60**
117	ALLIANT TECHSYSTEMS INC	ATK	05/07/10	9,098.96	77.768	70.67	8,268 39	(830 57) ST		
55			06/03/10	3,744 94	68.089	70 67	3,886 85	141 91 ST		
47			06/22/10	3,085 72	65.653	70.67	3,321.49	235.77 ST		
44			02/03/11	3,135.89	71.27	70 67	3,109.48	(26.41) ST		
77			02/28/11	5,562 59	72 241	70 67	5,441.59	(121 00) ST		
340				**24,628 10**	**72 436**		**24,027 80**	**(600 30)**	**283**	**68 00**
363	AVON PRODUCTS INC	AVP	03/14/11	**9,765 06**	26.901	27.04	**9,815 52**	**50 46** ST	**3 402**	**333.96**
259	BEST BUY INC	BBY	01/07/11	9,122 55	35 222	28.72	7,438.48	(1,684 07) ST		
73			02/28/11	2,350.23	32.194	28.72	2,096 56	(253 67) ST		
154			03/25/11	4,487.85	29.141	28 72	4,422.88	(64.97) ST		
486				**15,960.63**	**32.841**		**13,957.92**	**(2,002 71)**	**2 089**	**291.60**
37	BIOGEN IDEC INC	BIIB	04/14/10	2,026 24	54 763	73.47	2,718 39	692.15 ST		
77			05/07/10	3,873 85	50 309	73.47	5,657.19	1,783 34 ST		
37			06/03/10	1,807.53	48.852	73 47	2,718 39	910.86 ST		
151				**7,707 62**	**51 044**		**11,093 97**	**3,386 35**		
633	BOSTON SCIENTIFIC CORP	BSX	08/21/09	7,203.16	11 379	7 19	4,551.27	(2,651 89) LT		
474			10/30/09	3,874.19	8.173	7 19	3,408.06	(466 13) LT		
121			01/12/10	1,088.79	8 998	7 19	869 99	(218.80) LT		
153			01/21/10	1,403 07	9 17	7.19	1,100 07	(303 00) LT		
720			01/22/10	6,576 77	9.134	7 19	5,176 80	(1,399.97) LT		
191			02/12/10	1,398.85	7 323	7 19	1,373 29	(25.56) LT		
679			03/18/10	4,773.91	7 03	7.19	4,882.01	108.10 LT		
91			03/24/10	643.37	7 07	7.19	654 29	10.92 LT		
544			04/13/10	3,748 16	6 89	7.19	3,911 36	163.20 ST		
683			07/29/10	3,847.20	5 632	7.19	4,910.77	1,063.57 ST		
4,289				**34,557 47**	**8 057**		**30,837.91**	**(3,719.56)**		
71	CRH PLC ADR-USD	CRH	01/11/11	1,330.23	18.735	23.22	1,648 62	318 39 ST		
416			01/14/11	8,525.80	20.494	23 22	9,659.52	1,133.72 ST		
487				**9,856 03**	**20 238**		**11,308 14**	**1,452 11**	**3 708**	**419 31**
331	CA INC	CA	02/04/11	**8,113 64**	24.512	24 18	**8,003 58**	**(110 06)** ST	**661**	**52.96**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
72	CAMERON INTERNATIONAL CORP	CAM	06/03/10	$ 2,454.10	$ 34 084	$ 57.10	$ 4,111.20	$ 1,657.10 ST		
110			07/29/10	4,341 28	39 466	57.10	6,281.00	1,939 72 ST		
182				**6,795 38**	**37 337**		**10,392 20**	**3,596.82**		
360	CARTERS INC	CRI	09/16/10	**8,978 58**	24.94	28 63	**10,306 80**	**1,328 22** ST		
244	CON-WAY INC	CNW	02/03/11	**8,140 45**	33 362	39 29	**9,586.76**	**1,446.31** ST	**1.018**	**97.60**
209	DRESSER-RAND GROUP INC	DRC	11/04/10	**7,710 07**	36 89	53 62	**11,206 58**	**3,496 51** ST		
215	EDISON INTERNATIONAL	EIX	06/03/10	**7,041.12**	32 749	36.59	**7,866 85**	**825.73** ST	**3.498**	**275.20**
198	EXPEDITORS INTL OF WASH INC	EXPD	05/06/10	**7,969.40**	40.249	50.15	**9,929.70**	**1,960.30** ST	**.797**	**79.20**
148	GENUINE PARTS CO	GPC	09/05/08	6,147.92	41.54	53.64	7,938.72	1,790.80 LT		
15			11/03/08	600.75	40.05	53 64	804.60	203.85 LT		
15			03/24/10	637 50	42.50	53.64	804.60	167.10 LT		
178				**7,386 17**	**41.495**		**9,547.92**	**2,161 75**	**3 355**	**320.40**
1	GENZYME CORP	GENZ	01/06/10	48.94	48.944	76.155	76.16	27.22 LT		
10			03/24/10	561 00	56.10	76 155	761.55	200.55 LT		
96			04/14/10	5,038.89	52.488	76 155	7,310.88	2,271.99 ST		
63			06/03/10	3,123.60	49.58	76 155	4,797 77	1,674.17 ST		
170				**8,772 43**	**51 603**		**12,946 36**	**4,173.93**		
1	HOLOGIC INC	HOLX	01/28/10	15 12	15.116	22.20	22.20	7.08 LT		
157			02/12/10	2,511.70	15.998	22.20	3,485 40	973 70 LT		
25			03/24/10	468 50	18 74	22.20	555.00	86 50 LT		
282			04/13/10	4,989.06	17.691	22 20	6,260.40	1,271.34 ST		
298			07/29/10	4,165 18	13.977	22.20	6,615 60	2,450 42 ST		
763				**12,149.56**	**15.923**		**16,938 60**	**4,789.04**		
97	ITT CORP	ITT	09/21/06	4,733.04	48 79	60.05	5,824.85	1,091.81* LT		
55			09/05/08	3,347.85	60 87	60.05	3,302.75	(45.10) LT		
10			11/03/08	449.50	44.95	60.05	600.50	151.00 LT		
112			01/22/10	5,546.64	49.523	60.05	6,725.60	1,178.96 LT		
56			04/14/10	3,101.42	55.382	60.05	3,362.80	261 38 ST		
68			07/29/10	3,402.70	50.039	60 05	4,083 40	680.70 ST		
56			11/02/10	2,634.60	47.046	60 05	3,362 80	728 20 ST		
454				**23,215.75**	**51.136**		**27,262 70**	**4,046 95**	**1.665**	**454 00**
92	INTL FLAVORS & FRAGRANCES INC	IFF	03/25/04	3,192.14	34.69	62 30	5,731 60	2,539.46* LT		
37			04/27/04	1,344 65	36 34	62.30	2,305.10	960.45* LT		
30			11/03/08	971.70	32.39	62 30	1,869.00	897.30 LT		

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
	INTL FLAVORS & FRAGRANCES INC	IFF								
2 5588	Reinvestments to date			$ 101 43	$ 39 639	$ 62 30	$ 159 41	$ 57 98 LT		
161.5588				**5,609 92**	**34 724**		**10,065.11**	**4,455 19**	**1.733**	**174.48**
226	KROGER CO	KR	01/06/10	4,559.14	20.173	23.97	5,417 22	858.08 LT		
154			01/22/10	3,346 73	21 732	23 97	3,691 38	344 65 LT		
71			06/03/10	1,412.76	19 898	23.97	1,701 87	289.11 ST		
451				**9,318 63**	**20 662**		**10,810 47**	**1,491 84**	**1 752**	**189 42**
59	LABORATORY CORP AMER HLDGS NEW	LH	08/21/09	4,095.78	69.42	92 13	5,435.67	1,339 89 LT		
64			09/17/09	4,072.54	63.633	92.13	5,896.32	1,823 78 LT		
8			03/24/10	596 32	74 54	92 13	737 04	140 72 LT		
131				**8,764 64**	**66 906**		**12,069.03**	**3,304 39**		
109	LEGG MASON INC	LM	09/05/08	4,750 22	43 58	36 09	3,933.81	(816 41) LT		
127			10/13/08	2,647 98	20 85	36.09	4,583 43	1,935 45 LT		
50			11/03/08	1,041 00	20 82	36 09	1,804 50	763 50 LT		
173			03/11/09	2,171 03	12 549	36 09	6,243 57	4,072 54 LT		
190			05/13/09	3,558 36	18 728	36 09	6,857 10	3,298 74 LT		
74			01/28/10	1,941.06	26 23	36 09	2,670 66	729 60 LT		
54			03/24/10	1,614 60	29 90	36.09	1,948 86	334 26 LT		
777				**17,724 25**	**22.811**		**28,041.93**	**10,317 68**	**665**	**186 48**
176	LINEAR TECHNOLOGY CORPORATION	LLTC	05/01/06	6,261.24	35 57	33 63	5,918 88	(342 36)*LT		
75			10/31/08	1,696 50	22.62	33.63	2,522 25	825 75 LT		
35			11/03/08	797.30	22.78	33 63	1,177.05	379 75 LT		
20			03/24/10	572.40	28 62	33.63	672.60	100 20 LT		
306				**9,327 44**	**30 482**		**10,290.78**	**963 34**	**2 854**	**293 76**
201	MARSH & MCLENNAN COS INC	MMC	04/05/06	5,927.27	29 48	29.81	5,991 81	64 54* LT		
20			11/03/08	584.40	29 22	29.81	596 20	11 80 LT		
115			03/18/10	2,824 58	24 561	29 81	3,428 15	603 57 LT		
336				**9,336 25**	**27 786**		**10,016 16**	**679 91**	**2 817**	**282 24**
190	MOLSON COORS BREWING CO CL B	TAP	03/14/11	**8,206 37**	43 191	46 89	**8,909 10**	**702 73** ST	**2 388**	**212 80**
9 9715	MOTOROLA SOLUTIONS INC	MSI	09/05/08	366 82	36 83	44 69	445 63	78 81 LT		
80.1897			10/13/08	1,668.81	20 835	44.69	3.583 68	1,914 87 LT		
37.8119			11/03/08	780 94	20 677	44 69	1,689 81	908 87 LT		
79 1909			01/22/10	2,356 76	29.796	44 69	3,539.04	1,182 28 LT		
106 3013			01/28/10	2,806.78	26.435	44 69	4,750.61	1,943 83 LT		

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
30.5347	MOTOROLA SOLUTIONS INC	MSI	03/24/10	$ 900.84	$ 29.537	$ 44 69	$ 1,364 60	$ 463 76 LT		
344				**8,880.95**	**25 817**		**15,373 37**	**6,492 42**		
78.725	MOTOROLA MOBILITY HOLDINGS INC	MMI	09/05/08	2,421.43	30 794	24.40	1,920.89	(500 54) LT		
70 166			10/13/08	1,220 91	17 421	24.40	1,712.05	491.14 LT		
33.0854			11/03/08	571.34	17.289	24.40	807.28	235 94 LT		
69 292			01/22/10	1,724.21	24 912	24 40	1,690 72	(33.49) LT		
93 0136			01/28/10	2,053.44	22.103	24.40	2,269 53	216.09 LT		
26.718			03/24/10	659.06	24 696	24.40	651 92	(7.14) LT		
206			03/15/11	4,852.80	23.557	24.40	5,026 40	173 60 ST		
577				**13,503.19**	**23.402**		**14,078.79**	**575.60**		
102	NEWFIELD EXPLORATION COMPANY	NFX	10/13/08	2,225.22	21.815	76.01	7,753.02	5,527 80 LT		
30			11/03/08	687 60	22 92	76.01	2,280.30	1,592 70 LT		
12			03/24/10	607.08	50.59	76.01	912.12	305.04 LT		
144				**3,519 90**	**24.444**		**10,945 44**	**7,425.54**		
177	NORTHERN TRUST CORP	NTRS	05/07/10	9,199 10	51.972	50.75	8,982.75	(216.35) ST		
64			09/15/10	3,106 91	48.545	50 75	3,248 00	141.09 ST		
62			11/02/10	3,133 36	50 538	50 75	3,146 50	13 14 ST		
303				**15,439.37**	**50.955**		**15,377.25**	**(62 12)**	**2 206**	**339.36**
52	PICO HOLDINGS INC -NEW-	PICO	07/07/10	1,569.05	30 174	30 06	1,563.12	(5.93) ST		
19			07/16/10	569.26	29 961	30 06	571 14	1 88 ST		
10			07/20/10	304.24	30 423	30.06	300.60	(3 64) ST		
111			07/21/10	3,383 85	30 485	30.06	3,336 66	(47 19) ST		
192				**5,826.40**	**30.346**		**5,771.52**	**(54 88)**		
30	PARKER-HANNIFIN CORP	PH	06/27/06	1,519.42	50.64	94 68	2,840 40	1,320 98* LT		
50			10/13/08	2,109.40	42.188	94.68	4,734 00	2,624.60 LT		
20			11/03/08	785 80	39.29	94.68	1,893 60	1,107.80 LT		
11			03/24/10	726.22	66 02	94 68	1,041 48	315 26 LT		
111				**5,140.84**	**46.314**		**10,509 48**	**5,368.64**	**1.351**	**142.08**
409	PEOPLES UNITED FINCL INC	PBCT	07/12/07	7,386.22	18.05	12.58	5,145 22	(2,241 00)*LT		
166			10/20/08	2,821.78	16 998	12.58	2,088 28	(733.50) LT		
95			11/03/08	1,643 50	17 30	12.58	1,195 10	(448.40) LT		
380			05/13/09	6,258.37	16 469	12 58	4,780.40	(1,477.97) LT		
156			06/17/09	2,364.87	15 159	12 58	1,962.48	(402.39) LT		
76			03/24/10	1,185 60	15 60	12 58	956 08	(229 52) LT		
57			04/13/10	940 75	16 504	12 58	717 06	(223 69) ST		

HEALTHCARE FOUNDATION Account number 602-71102-19 531

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
185	PEOPLES UNITED FINCL INC	PBCT	04/14/10	$ 3,094.46	$ 16.726	$ 12.58	$ 2,327 30	($ 767.16) ST		
374			02/28/11	4,899.40	13 10	12.58	4,704 92	(194 48) ST		
1,898				**30,594 95**	**16.12**		**23,876 84**	**(6,718 11)**	**4 928**	**1,176.76**
75	PIONEER NATURAL RESOURCES CO	PXD	10/31/08	2,046.40	27.285	101.92	7,644 00	5,597.60 LT		
35			11/03/08	921 55	26 33	101.92	3,567 20	2,645 65 LT		
16			03/24/10	868 32	54.27	101 92	1,630 72	762 40 LT		
126				**3,836.27**	**30 447**		**12,841.92**	**9,005 65**	**078**	**10 08**
222	PITNEY BOWES INC	PBI	08/21/09	4,974.58	22.408	25 69	5,703.18	728.60 LT		
101			09/17/09	2,506.05	24.812	25 69	2,594 69	88.64 LT		
88			01/11/10	1,949 16	22.149	25.69	2,260.72	311.56 LT		
34			03/24/10	835.38	24.57	25.69	873 46	38 08 LT		
445				**10,265.17**	**23.068**		**11,432 05**	**1,166 88**	**5 76**	**658 60**
203	PROGRESSIVE CORP-OHIO-	PGR	07/13/07	4,568 78	22 50	21 13	4,289 39	(279.39)*LT		
160			04/23/08	2,872 48	17.95	21.13	3,380 80	508.32* LT		
65			11/03/08	924.95	14.23	21 13	1,373.45	448.50 LT		
41			03/24/10	758.91	18.51	21 13	866 33	107.42 LT		
469				**9,125 12**	**19 457**		**9,909 97**	**784 85**	**1.888**	**187.13**
113	QUEST DIAGNOSTICS INC	DGX	07/13/07	6,225.65	55 09	57.72	6,522.36	296 71* LT		
53			03/11/08	2,453 27	46.28	57.72	3,059 16	605 89* LT		
10			09/05/08	530 10	53 01	57 72	577 20	47 10 LT		
15			11/03/08	716 25	47.75	57.72	865.80	149.55 LT		
12			03/24/10	690.60	57.55	57.72	692.64	2 04 LT		
96			07/29/10	4,446 81	46 32	57 72	5,541.12	1,094 31 ST		
299				**15,062 68**	**50.377**		**17,258 28**	**2,195 60**	**693**	**119 60**
371	REPUBLIC SVCS INC	RSG	03/25/04	6,398 51	17.24	30.04	11,144 84	4,746 33* LT		
40			11/03/08	963 20	24 08	30.04	1,201 60	238.40 LT		
26			03/24/10	752.70	28 95	30.04	781.04	28.34 LT		
2 9023	Reinvestments to date			88.22	30 396	30 04	87 19	(1 03) LT		
439 9023				**8,202 63**	**18 646**		**13,214.67**	**5,012 04**	**2 663**	**351 92**
298	SAFEWAY INC NEW	SWY	06/18/09	6,269 74	21 039	23 54	7,014 92	745.18 LT		
165			07/07/09	3,247.10	19.679	23.54	3,884.10	637.00 LT		
70			08/21/09	1,337 70	19.11	23.54	1,647 80	310.10 LT		
262			09/17/09	5.045 10	19.256	23 54	6,167.48	1,122.38 LT		
50			03/24/10	1,215.50	24 31	23 54	1,177.00	(38.50) LT		
127			07/29/10	2,596 45	20.444	23 54	2,989.58	393.13 ST		

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Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
190	SAFEWAY INC NEW	SWY	02/04/11	$ 4,006.53	$ 21.087	$ 23.54	$ 4,472 60	$ 466.07 ST		
86			02/28/11	1,870 33	21.748	23.54	2,024 44	154.11 ST		
1,248				**25,588.45**	**20 504**		**29,377 92**	**3,789 47**	**2 039**	**599.04**
2	SEALED AIR CORP -NEW-	SEE	04/20/10	45 64	22.821	26.66	53.32	7.68 ST		
172			04/21/10	3,983.59	23.16	26.66	4,585.52	601 93 ST		
126			04/27/10	2,894.64	22.973	26 66	3,359.16	464.52 ST		
300				**6,923.87**	**23 08**		**7,998 00**	**1,074.13**	**1.95**	**156.00**
101	SIGMA-ALDRICH CORP	SIAL	12/23/05	3,238 94	32.06	63.64	6,427 64	3,188.70* LT		
15			09/05/08	805 35	53.69	63 64	954 60	149.25 LT		
25			11/03/08	1,100 75	44 03	63.64	1,591.00	490.25 LT		
15			03/24/10	806 85	53 79	63.64	954 60	147.75 LT		
156				**5,951.89**	**38 153**		**9,927 84**	**3,975 95**	**1.131**	**112 32**
1,090	SMART TECHNOLOGIES INC CL A	SMT	03/17/11	**10,105.94**	9.271	10.21	**11,128.90**	**1,022.96** ST		
242	SOUTHWESTERN ENERGY CO DEL	SWN	01/07/11	9,166.14	37.876	42.97	10,398.74	1,232 60 ST		
70			02/03/11	2,733.32	39.047	42.97	3,007 90	274.58 ST		
48			02/28/11	1,893.84	39 454	42.97	2,062 56	168.72 ST		
360				**13,793 30**	**38 315**		**15,469 20**	**1,675.90**		
320	SYMANTEC CORP	SYMC	10/31/08	4,113.25	12.853	18.54	5,932.80	1,819.55 LT		
155			11/03/08	2,045.85	13.199	18.54	2,873.70	827.85 LT		
130			05/13/09	1,956 42	15 049	18.54	2,410.20	453 78 LT		
134			09/17/09	2,146 96	16.022	18.54	2,484.36	337 40 LT		
80			03/24/10	1,356.00	16.95	18.54	1,483.20	127.20 LT		
95			04/13/10	1,617.38	17.025	18.54	1,761.30	143.92 ST		
462			07/29/10	6,108.61	13 222	18 54	8,565 48	2,456.87 ST		
1,376				**19,344 47**	**14 058**		**25,511.04**	**6,166 57**		
15	TECHNE CORP	TECH	07/19/07	881.68	58.77	71.59	1,073 85	192 17* LT		
15			11/03/08	1,064 55	70.97	71.59	1,073.85	9.30 LT		
65			02/04/09	3,512.71	54.041	71.59	4,653 35	1,140 64 LT		
57			02/12/10	3,477.76	61.013	71 59	4,080 63	602 87 LT		
152				**8,936.70**	**58.794**		**10,881.68**	**1,944.98**	**1.508**	**164.16**
108 7398	TEVA PHARMACEUTICAL INDS LTD ADR	TEVA	07/17/07	4,600.24	42.385	50.17	5,455.48	855.24* LT		
6.2602			11/03/08	264.83	42.385	50.17	314.07	49.24 LT		
93			04/14/10	5,732 85	61.643	50.17	4,665 81	(1,067.04) ST		
208				**10,597 92**	**50.952**		**10,435.36**	**(162.56)**	**1.435**	**149.76**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
46	UGI CORP NEW	UGI	09/28/06	$ 1,140 77	$ 24 79	$ 32.90	$ 1,513.40	$ 372.63* LT		
166			12/20/06	4,536 43	27 32	32 90	5,461 40	924 97* LT		
40			11/03/08	966.80	24 17	32 90	1,316.00	349.20 LT		
30			03/24/10	786 87	26 229	32 90	987.00	200.13 LT		
4.0147	Reinvestments to date			112 60	28.046	32.90	132 08	19 48 LT		
286 0147				**7,543.47**	**26 374**		**9,409 88**	**1,866.41**	**3.039**	**286 01**
347	VCA ANTECH INC	WOOF	10/22/10	**6,939 76**	19.999	25 17	**8,733 99**	**1,794 23** ST		
270	VALERO ENERGY CORP-NEW	VLO	09/15/10	4,660 71	17 261	29.82	8,051 40	3,390 69 ST		
172			11/03/10	3,093 08	17 983	29.82	5,129 04	2,035.96 ST		
442				**7,753 79**	**17 543**		**13,180.44**	**5,426 65**	**67**	**88 40**
15	WATERS CORP	WAT	10/31/08	670.05	44.67	86.90	1,303 50	633 45 LT		
20			11/03/08	889.60	44.48	86 90	1,738.00	848 40 LT		
75			03/11/09	2,497 20	33 296	86 90	6,517.50	4,020 30 LT		
10			03/24/10	663 80	66.38	86.90	869 00	205 20 LT		
120				**4,720 65**	**39 339**		**10,428.00**	**5,707 35**		
187	WESTERN UNION COMPANY (THE)	WU	02/12/10	3,024.48	16.173	20.77	3,883.99	859 51 LT		
254			03/04/10	4,074.46	16.041	20 77	5,275.58	1,201.12 LT		
118			03/18/10	1,982.16	16 798	20.77	2,450.86	468.70 LT		
559				**9,081 10**	**16.245**		**11,610 43**	**2,529 33**	**1.348**	**156 52**
261	WILLIAMS COS INC	WMB	03/11/09	2,842 08	10 889	31.18	8,137.98	5,295 90 LT		
118			08/21/09	2,042 34	17 308	31 18	3,679 24	1,636 90 LT		
33			03/24/10	757.02	22.94	31 18	1,028.94	271 92 LT		
412				**5,641 44**	**13 693**		**12,846 16**	**7,204 72**	**1 603**	**206 00**
238	WISCONSIN ENERGY CORP HLDG CO	WEC	03/25/04	3,782.66	15 89	30 50	7,259 00	3,476.34* LT		
50			11/03/08	1,066.50	21.33	30.50	1,525 00	458 50 LT		
26			03/24/10	651.17	25.045	30 50	793.00	141.83 LT		
314				**5,500 33**	**17.517**		**9,577.00**	**4,076 67**	**3.409**	**326 56**
18	ZIMMER HOLDINGS INC	ZMH	11/03/08	819.18	45 51	60 53	1,089.54	270.36 LT		
79			03/11/09	2,665 38	33 739	60 53	4,781 87	2,116.49 LT		
73			07/29/10	3,814 36	52 251	60 53	4,418.69	604 33 ST		

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
29	ZIMMER HOLDINGS INC	ZMH	11/02/10	$ 1,420.64	$ 48.987	$ 60 53	$ 1,755.37	$ 334.73 ST		
199				8,719.56	43.817		12,045 47	3,325 91		
Total common stocks and options				**$ 586,389.85**			**$ 723,184.74**	**$ 41,868.41 ST**	**1.28**	
								$ 94,926.48 LT		**$ 9,303 31**
Total portfolio value				**$ 708,571.59**			**$ 845,366.48**	**$ 41,868.41 ST**	**1.10**	**$ 9,376.61**
								$ 94,926.48 LT		

*Based on information supplied by client or other financial institution, not verified by us.

TRANSACTION DETAILS *All transactions appearing are based on trade-date*

Investment activity

Date	Activity	Description	Quantity	Price	Amount
02/28/11	Sold	PARKER-HANNIFIN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction. TRADE AS OF 02/28/11	-32	$ 88.8967	$ 2,844 63
02/28/11	Bought	PEOPLES UNITED FINCL INC MorganStanley SmithBarney LLC acted as your agent in this transaction. CGMI AND/OR ITS AFFILIATES TRADE AS OF 02/28/11	374	13.10	-4,899 40
02/28/11	Bought	SAFEWAY INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction. TRADE AS OF 02/28/11	86	21.748	-1,870 33
02/28/11	Bought	SOUTHWESTERN ENERGY CO DEL MorganStanley SmithBarney LLC acted as your agent in this transaction. TRADE AS OF 02/28/11	48	39 4549	-1,893 84

SEPARATELY MANAGED ACCOUNTS

Consulting Group Research (CGR) rating codes (FL, AL or NL) may be shown for certain separate account managers. Please refer to the "Guide to Investment Ratings" at the end of this statement for a description of these rating codes. All research ratings represent the "opinions" of CGR and are not representations or guarantees of performance.

	Rating	Rating
Neuberger Berman Large Cap Select	FL	

PORTFOLIO DETAILS

Your holdings are valued using the most current prices available to Citigroup Global Markets Inc. (CGMI). In most cases, these values are as of 03/31/11, but in some cases CGMI's sources are unable to provide timely information. To see the date of the most recent price update, please view your account online at www.smithbarney.com.

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.
Please Note: *unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor*

Money fund

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency. Although money market funds seek to preserve the value of your investment at $1 00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below $1.00 per share. In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders This liquidation process could take up to one month or more. During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account.

Number of shares	Description	Current value	Accrued dividends	Annualized % dividend yield	Anticipated Income (annualized)
148,489.26	WESTERN ASSET MONEY MARKET FUND CLASS A	$ 148,489 26		06%	$ 89.09
Total money fund		$ 148,489 26	$ 0 00	.05%	$ 89 09

Common stocks & options

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
1,370	NIELSEN HOLDINGS BV	NLSN	01/26/11	$ 34,403 58	$ 25 112	$ 27 31	$ 37,414 70	$ 3,011 12 ST		

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Average % yield	Anticipated Income (annualized)
383	AMERICAN TOWER CORP-CLASS A	AMT	02/21/08	$ 14,937 00	$ 39.00	$ 51 82	$ 19,847.06	$ 4,910.06*	LT		
195			11/03/08	6,395.32	32 796	51 82	10,104.90	3,709 58	LT		
754			05/06/09	23,225 09	30 802	51 82	39,072.28	15,847 19	LT		
57			02/04/10	2,355.24	41.32	51.82	2,953.74	598 50	LT		
311			03/28/11	15,596.00	50.147	51 82	16,116 02	520.02	ST		
1,700				**62,508 65**	**36.77**		**88,094 00**	**25,585 35**			
63	BHP BILLITON PLC SPONSORED ADR	BBL	12/18/09	3,868 67	61.407	79 60	5,014 80	1,146 13	LT		
135			01/08/10	9,204 60	68.182	79 60	10,746 00	1,541.40	LT		
194			01/29/10	11,526 80	59 416	79 60	15,442 40	3,915.60	LT		
43			02/04/10	2,500.02	58 14	79.60	3,422.80	922.78	LT		
250			09/28/10	15,939 48	63 757	79 60	19,900 00	3,960 52	ST		
685				**43,039 57**	**62 831**		**54,526 00**	**11,486 43**		**2 286**	**1,246.70**
167	BLACKROCK INC	BLK	10/07/10	28,724 00	172 00	201 01	33,568.67	4,844 67	ST		
186			11/03/10	31,052 64	166 949	201 01	37,387 86	6,335.22	ST		
353				**59,776.64**	**169 339**		**70,956 53-**	**11,179 89**		**2 736**	**1,941 50**
305	BOEING CO	BA	11/19/10	19,718.25	64.65	73.93	22,548.65	2,830 40	ST		
327			12/06/10	21,816 52	66 717	73.93	24,175.11	2,358.59	ST		
152			12/17/10	9,837.01	64.717	73.93	11,237 36	1,400.35	ST		
784				**51,371.78**	**65 525**		**57,961.12**	**6,589 34**		**2 272**	**1,317.12**
387	BORGWARNER INC	BWA	12/15/10	26,567.16	68.649	79.69	30,840.03	4,272.87	ST		
472			02/03/11	30,738.86	65 124	79 69	37,613.68	6,874 82	ST		
859				**57,306 02**	**66 712**		**68,453.71**	**11,147.69**			
38	CATERPILLAR INC	CAT	09/16/09	2,000.34	52.64	111.35	4,231.30	2,230 96	LT		
147			12/10/09	8,349 17	56.797	111.35	16,368 45	8,019 28	LT		
209			01/29/10	11,031 90	52 784	111.35	23,272 15	12,240 25	LT		
41			12/21/10	3,867.49	94 329	111.35	4,565 35	697 86	ST		
435				**25,248 90**	**58.043**		**48,437.25**	**23,188.35**		**1.58**	**765 60**
244	CUMMINS INC	CMI	09/28/10	22,001 04	90 168	109 62	26,747 28	4,746.24	ST		
179			10/27/10	15,894 66	88 797	109 62	19,621.98	3,727.32	ST		
63			11/02/10	5,774 99	91 666	109 62	6,906 06	1,131 07	ST		
486				**43,670 69**	**89.857**		**53,275 32**	**9,604 63**		**957**	**510 30**
282	DEERE & CO	DE	12/07/10	23,193 32	82 245	96 89	27,322 98	4,129 66	ST		
184			12/13/10	15,177 94	82 488	96 89	17,827.76	2,649 82	ST		
80			12/21/10	6,778.43	84 73	96.89	7,751.20	972 77	ST		
546				**45,149.69**	**82.692**		**52,901 94**	**7,752 25**		**1.444**	**764 40**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
768	DISCOVERY COMMUNICATION INC SER C	DISCK	11/18/10	$ 28,558.46	$ 37 185	$ 35 21	$ 27,041 28	($ 1,517.18) ST		
478			12/30/10	17,459.14	36.525	35.21	16,830 38	(628 76) ST		
415			03/29/11	14,131 91	34.052	35.21	14,612.15	480.24 ST		
1,661				**60,149.51**	**36 213**		**58,483.81**	**(1,665 70)**		
398	EOG RESOURCES INC	EOG	03/02/11	**42,267.40**	106.199	118 51	**47,166.98**	**4,899.58** ST	.54	254 72
187	EBAY INC	EBAY	04/08/10	4,948.73	26 463	31.04	5,804.48	855 75 ST		
734			04/27/10	17,572 84	23.941	31 04	22,783.36	5,210.52 ST		
375			09/23/10	9,072.15	24 192	31.04	11,640 00	2,567.85 ST		
295			03/17/11	8,849 23	29 997	31 04	9,156 80	307 57 ST		
1,591				**40,442 95**	**25 42**		**49,384 64**	**8,941 69**		
10	GOLDMAN SACHS GROUP INC	GS	09/16/09	1,772.09	177.208	158 60	1,586 00	(186 09) LT		
66			01/28/10	10,114.14	153.244	158.60	10,467.60	353 46 LT		
62			02/04/10	9,379.98	151.29	158.60	9,833.20	453.22 LT		
92			07/16/10	13,743.50	149 385	158 60	14,591.20	847.70 ST		
91			07/21/10	13,479.22	148.123	158 60	14,432.60	953.38 ST		
30			03/17/11	4,650.00	155.00	158.60	4,758 00	108.00 ST		
351				**53,138.93**	**151.393**		**55,668.60**	**2,529.67**	.882	491.40
1,323	HEWLETT PACKARD CO	HPQ	03/17/11	54,672 18	41.324	40.97	54,203.31	(468.87) ST		
347			03/23/11	14,548.01	41.925	40.97	14,216.59	(331 42) ST		
1,670				**69,220 19**	**41 449**		**68,419.90**	**(800 29)**	.781	534.40
283	INTL BUSINESS MACHINES CORP	IBM	10/21/09	34,869.19	123 212	163.07	46,148 81	11,279.62 LT		
57			01/29/10	7,010.43	122.99	163 07	9,294.99	2,284.56 LT		
29			03/24/10	3,734.04	128.76	163 07	4,729.03	994.99 LT		
369				**45,613 66**	**123.614**		**60,172.83**	**14,559.17**	1 594	959.40
411	LUBRIZOL CORP	LZ	12/17/10	45,097.39	109.726	133.96	55,057.56	9,960.17 ST		
87			02/07/11	9,824.43	112.924	133.96	11,654.52	1,830 09 ST		
498				**54,921.82**	**110.285**		**66,712 08**	**11,790.26**	1 074	717 12
457	MONSANTO CO NEW	MON	10/19/10	26,312.19	57.575	72.26	33,022.82	6,710.63 ST		
111			11/03/10	6,553 12	59.037	72 26	8,020.86	1 467.74 ST		
75			11/04/10	4,694.10	62.588	72 26	5,419 50	725 40 ST		
643				**37,559.41**	**58.413**		**46,463.18**	**8,903 77**	1 549	720 16
437	NEXTERA ENERGY INC	NEE	05/06/09	25,053.68	57.331	55 12	24,087.44	(966.24) LT		
496			01/29/10	24,253.41	48.898	55.12	27,339.52	3,086 11 LT		
48			02/04/10	2,329.92	48.54	55.12	2,645.76	315.84 LT		

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Average % yield	Anticipated Income (annualized)
121	NEXTERA ENERGY INC	NEE	04/09/10	$ 5,980.88	$ 49 428	$ 55 12	$ 6,669 52	$ 688 64	ST		
1,102				**57,617 89**	**52 285**		**60,742 24**	**3,124 35**		**3 991**	**2,424 40**
4	PRAXAIR INC	PX	07/30/09	296.88	74 22	101 60	406 40	109 52	LT		
139			09/16/09	10,904 19	78 447	101 60	14,122 40	3,218.21	LT		
130			01/29/10	9,874 59	75 958	101 60	13,208.00	3,333 41	LT		
320			04/29/10	27,014 27	84.419	101 60	32,512.00	5,497 73	ST		
593				**48,089 93**	**81.096**		**60,248.80**	**12,158 87**		**1.968**	**1,186 00**
411	SCHLUMBERGER LTD	SLB	10/20/10	**26,299.73**	63.989	93.26	**38,329.86**	**12,030 13**	ST	**1.072**	**411 00**
374	SUNCOR ENERGY INC NEW	SU	04/27/10	12,643.52	33.806	44 84	16,770 16	4,126.64	ST		
667			09/28/10	20,722 82	31 068	44.84	29,908 28	9,185 46	ST		
1,041				**33,366 34**	**32 052**		**46,678 44**	**13,312.10**		**909**	**424 73**
633	TECK RESOURCES LTD CL B SUB VTG	TCK	03/17/11	**34,173 96**	53 987	53 02	**33,561 66**	**(612.30)**	ST	**1.133**	**380 43**
500	UNITED PARCEL SERVICE CL B	UPS	05/10/10	33,564 25	67 128	74 32	37,160 00	3,595 75	ST		
267			06/16/10	16,817.53	62 987	74 32	19,843 44	3,025 91	ST		
146			07/21/10	8,713 12	59 678	74 32	10,850 72	2,137 60	ST		
151			07/29/10	9,723 45	64 393	74 32	11,222 32	1,498.87	ST		
107			09/13/10	7,278 07	68 019	74 32	7,952 24	674 17	ST		
1,171				**76,096 42**	**64 984**		**87,028.72**	**10,932.30**		**2 798**	**2,435 68**
Total common stocks and options				**$ 1,101,433.66**			**$ 1,311,082.31**	**$ 130,290.31**	**ST**	**1.33**	
								$ 79,358.34	**LT**		**$ 17,485 06**
Total portfolio value				**$ 1,249,922.92**			**$ 1,459,571.57**	**$ 130,290.31**	**ST**	**1.20**	**$ 17,574 15**
								$ 79,358.34	**LT**		

*Based on information supplied by client or other financial institution, not verified by us

Unsettled purchases/sales

This section only displays transactions that have not settled during this statement period. The "Portfolio details" section includes any securities purchased and omits any securities sold or sold short as of the trade-date.

Trade Date	Settlement Date	Activity	Description	Quantity	Price	Amount
03/29/11	04/01/11	Bought	DISCOVERY COMMUNICATION INC SER C MorganStanley SmithBarney LLC acted as your agent in this transaction. CGMI AND/OR ITS AFFILIATES	415	$ 34.0528	$ -14,131.91
Total Securities Bought						**$ -14,131 91**
Total Securities Sold						**$ 0 00**
Total Unsettled purchases/sales						**$ -14,131.91**

TRANSACTION DETAILS

All transactions appearing are based on trade-date

Investment activity

Date	Activity	Description	Quantity	Price	Amount
03/02/11	Bought	EOG RESOURCES INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	398	$ 106.1995	$ -42,267.40
03/02/11	Sold	HONEYWELL INTL INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	-752	56.4495	42,449 20
03/02/11	Sold	SUNCOR ENERGY INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	-383	47.2714	18,104 60
03/17/11	Bought	EBAY INC MorganStanley SmithBarney LLC acted as your agent in this transaction. CGMI AND/OR ITS AFFILIATES	295	29.9974	-8,849.23
03/17/11	Bought	GOLDMAN SACHS GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	30	155.00	-4,650.00
03/17/11	Bought	HEWLETT PACKARD CO MorganStanley SmithBarney LLC acted as your agent in this transaction	1,323	41.3244	-54,672 18

HEALTHCARE FOUNDATION ***Account number 602-71098-15 531***

SEPARATELY MANAGED ACCOUNTS

Consulting Group Research (CGR) rating codes (FL, AL or NL) may be shown for certain separate account managers Please refer to the "Guide to Investment Ratings" at the end of this statement for a description of these rating codes. All research ratings represent the "opinions" of CGR and are not representations or guarantees of performance.

	Rating		Rating
Davis Advisors - Large Cap Value	FL		

PORTFOLIO DETAILS

Your holdings are valued using the most current prices available to Citigroup Global Markets Inc. (CGMI). In most cases, these values are as of 03/31/11, but in some cases CGMI's sources are unable to provide timely information. To see the date of the most recent price update, please view your account online at www.smithbarney.com.

Securities purchased or sold are included or excluded in this section as of the trade-date This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.
Please Note: *unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Money fund

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency. Although money market funds seek to preserve the value of your investment at $1 00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall. Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below $1.00 per share. In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders. This liquidation process could take up to one month or more During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account.

Number of shares	Description	Current value	Accrued dividends	Annualized % dividend yield	Anticipated Income (annualized)
89,473.77	WESTERN ASSET MONEY MARKET FUND CLASS A	$ 89,473.77		.06%	$ 53.68
Total money fund		**$ 89,473.77**	**$ 0 00**	**.05%**	**$ 53.68**

Common stocks & options

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
150	TRANSOCEAN LTD SWITZERLAND NEW	RIG	10/27/08	$ 9,917.47	$ 66.116	$ 77.95	$ 11,692 50	$ 1,775.03 LT		
50			11/03/08	3,996.50	79 93	77.95	3,897.50	(99.00) LT		
200				**13,913 97**	**69.57**		**15,590.00**	**1,676.03**		
150	TYCO INTL LTD CHF	TYC	11/03/08	**3,653 40**	24.356	44.77	**6,715.50**	**3,062.10** LT	**2.233**	**150.00**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
240	AGILENT TECHNOLOGIES INC	A	10/27/08	$ 4,670 23	$ 19 459	$ 44 78	$ 10,747 20	$ 6,076 97 LT		
225			11/03/08	5,074 11	22 551	44 78	10,075.50	5,001 39 LT		
185			02/20/09	2,793 22	15 098	44 78	8,284 30	5,491 08 LT		
150			08/02/10	4,302 47	28 683	44 78	6,717 00	2,414 53 ST		
800				**16,840 03**	**21 05**		**35,824 00**	**18,983 97**		
315	AMERICAN EXPRESS CO	AXP	10/27/08	7,617 27	24.181	45 20	14,238 00	6,620.73 LT		
650			11/03/08	18,166 20	27 948	45.20	29,380 00	11,213 80 LT		
675			02/18/09	9,467.55	14 026	45.20	30,510.00	21,042.45 LT		
85			02/04/10	3,213.85	37 81	45.20	3,842 00	628 15 LT		
125			08/02/10	5,638.14	45 105	45.20	5,650.00	11.86 ST		
1,850				**44,103 01**	**23.839**		**83,620.00**	**39,516 99**	**1.592**	**1,332 00**
125	AMERIPRISE FINANCIAL INC	AMP	10/27/08	2,666.06	21 328	61 08	7,635 00	4,968 94 LT		
200			11/03/08	4,296.68	21 483	61.08	12,216 00	7,919 32 LT		
325				**6,962 74**	**21 424**		**19,851.00**	**12,888.26**	**1.178**	**234 00**
175	BANK NEW YORK MELLON CORP	BK	10/27/08	4,862 12	27 783	29 87	5,227 25	365 13 LT		
250			11/03/08	8,082.45	32.329	29 87	7,467 50	(614 95) LT		
300			02/18/09	7,288.80	24 296	29 87	8,961.00	1,672 20 LT		
55			03/09/09	1,003 69	18.248	29.87	1,642.85	639 16 LT		
465			12/18/09	12,362 58	26.586	29.87	13,889.55	1,526 97 LT		
145			02/04/10	4,052 46	27.948	29.87	4,331 15	278.69 LT		
90			06/08/10	2,250.00	25 00	29.87	2,688 30	438.30 ST		
225			08/02/10	5,758.65	25.594	29.87	6,720.75	962 10 ST		
145			10/07/10	3,842 50	26 50	29 87	4,331 15	488.65 ST		
1,850				**49,503.25**	**26 759**		**55,259 50**	**5,756 25**	**1.74**	**962 00**
235	BAXTER INTL INC	BAX	07/28/10	**10,359 20**	44 081	53.77	**12,635 95**	**2,276 75** ST	**2 306**	**291.40**
225	BECTON DICKINSON & CO	BDX	06/08/09	15,108 88	67 15	79 62	17,914 50	2,805.62 LT		
25			08/02/10	1,737 47	69.498	79.62	1,990 50	253.03 ST		
250				**16,846 35**	**67.385**		**19,905 00**	**3,058 65**	**2.059**	**410.00**
95	BED BATH & BEYOND	BBBY	10/27/08	2,274 92	23.946	48 27	4,585 65	2,310 73 LT		
125			11/03/08	3,185 81	25.486	48 27	6,033 75	2,847 94 LT		
445			10/27/09	16,013.33	35 985	48 27	21,480 15	5,466 82 LT		
665				**21,474.06**	**32 292**		**32,099 55**	**10,625 49**		
48	BERKSHIRE HATHAWAY INC CL B	BRKB	10/27/08	3,539.52	73.74	83.63	4,014 24	474 72 LT		
400			11/03/08	30,992 00	77 48	83 63	33,452 00	2,460 00 LT		
200			02/18/09	10,700 00	53 50	83 63	16,726 00	6,026.00 LT		

HEALTHCARE FOUNDATION

Account number 602-71098-15 531

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
110	BERKSHIRE HATHAWAY INC CL B	BRKB	02/04/10	$ 7,981 49	$ 72 559	$ 83.63	$ 9,199.30	$ 1,217.81 LT		
758				**53,213 01**	**70 202**		**63,391 54**	**10,178 53**		
185	CVS CAREMARK CORP	CVS	10/27/08	4,957.86	26.799	34 32	6,349.20	1,391.34 LT		
250			11/03/08	7,801.63	31.206	34 32	8,580.00	778.37 LT		
570			11/26/08	15,524.52	27.236	34.32	19,562 40	4,037.88 LT		
185			12/29/09	6,012.50	32.50	34.32	6,349 20	336.70 LT		
300			01/15/10	10,163.73	33.879	34.32	10,296 00	132 27 LT		
395			06/14/10	12,635.89	31.989	34.32	13,556.40	920 51 ST		
215			08/19/10	6,171.62	28 705	34.32	7,378 80	1,207.18 ST		
2,100				**63,267.75**	**30 128**		**72,072 00**	**8,804 25**	**1 456**	**1,050 00**
530	CANADIAN NATURAL RES LTD	CNQ	10/27/08	10,514 35	19.838	49 43	26,197.90	15,683.55 LT		
200			11/03/08	4,904 00	24.52	49 43	9,886 00	4,982 00 LT		
600			02/18/09	9,455 25	15.758	49 43	29,658.00	20,202 75 LT		
1,330				**24,873 60**	**18 702**		**65,741.90**	**40,868 30**	**.748**	**492.10**
132	COCA-COLA CO	KO	02/03/10	7,237.09	54.826	66 34	8,756.88	1,519 79 LT		
86			03/18/10	4,630.83	53 846	66.34	5,705.24	1,074 41 LT		
172			05/24/10	8,872.53	51.584	66.34	11,410.48	2,537.95 ST		
390				**20,740.45**	**53 181**		**25,872.60**	**5,132.15**	**2 833**	**733.20**
700	COSTCO WHOLESALE CORP NEW	COST	10/27/08	36,270 78	51.815	73.32	51,324.00	15,053.22 LT		
525			11/03/08	28,995 44	55.229	73.32	38,493 00	9,497.56 LT		
125			08/02/10	7,147.90	57 183	73.32	9,165 00	2,017.10 ST		
1,350				**72,414 12**	**53 64**		**98,982 00**	**26,567 88**	**1 118**	**1,107.00**
425.0666	DEVON ENERGY CORP NEW	DVN	10/27/08	28,930 88	68 062	91 77	39,008.36	10,077.48 LT		
275			11/03/08	21,498 90	78.177	91.77	25,236 75	3,737.85 LT		
700 0666				**50,429 78**	**72.036**		**64,245 11**	**13,815 33**	**74**	**476 05**
200	DIAGEO PLC SPON ADR-NEW	DEO	10/27/08	11,252.44	56.262	76.22	15,244 00	3,991 56 LT		
150			11/03/08	9,445.80	62.972	76.22	11,433.00	1,987.20 LT		
50			08/02/10	3,568.71	71.374	76.22	3,811.00	242.29 ST		
400				**24,266 95**	**60 667**		**30,488 00**	**6,221 05**	**3.247**	**990 00**
175	EOG RESOURCES INC	EOG	10/27/08	11,625 79	66.433	118 51	20,739 25	9,113 46 LT		
150			11/03/08	11,386 73	75 911	118.51	17,776 50	6,389 77 LT		
200			02/18/09	11,191 00	55.955	118.51	23,702.00	12,511.00 LT		
50			02/04/10	4,690 00	93 80	118 51	5,925 50	1,235.50 LT		
100			08/02/10	10,153.08	101 53	118 51	11,851 00	1,697.92 ST		
675				**49,046.60**	**72.662**		**79,994 25**	**30,947.65**	**.54**	**432 00**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
139	EXPRESS SCRIPTS INC.COMMON	ESRX	10/27/08	$ 3,602 28	$ 25.915	$ 55 61	$ 7,729 79	$ 4,127.51 LT		
400			01/22/10	17,147.54	42 868	55 61	22,244.00	5,096 46 LT		
110			07/16/10	5,224.98	47.499	55 61	6,117 10	892.12 ST		
649				**25,974 80**	**40 023**		**36,090 89**	**10,116 09**		
7	GOOGLE INC CLASS A	GOOG	01/15/09	2,029.81	289.973	586.76	4,107 32	2,077 51 LT		
13			02/18/09	4,554.42	350 34	586 76	7,627.88	3,073.46 LT		
15			08/02/10	7,367 00	491 133	586 76	8,801.40	1,434 40 ST		
35				**13,951.23**	**398.607**		**20,536 60**	**6,585.37**		
470	GRUPO TELEVISA SA DE CV SPON ADR	TV	10/27/08	6,439 33	13.70	24.53	11,529 10	5,089 77 LT		
100			11/03/08	1,771 89	17 718	24.53	2,453 00	681.11 LT		
45			11/21/08	610 48	13 566	24.53	1,103 85	493 37 LT		
615				**8,821.70**	**14.344**		**15,085 95**	**6,264 25**	**627**	**94 71**
450	HARLEY-DAVIDSON INC	HOG	10/27/08	8,806.23	19 569	42.49	19,120.50	10,314.27 LT		
250			11/03/08	5,940 10	23 76	42 49	10,622.50	4,682 40 LT		
150			08/02/10	4,197 92	27.986	42 49	6,373.50	2,175.58 ST		
850				**18,944.25**	**22 287**		**36,116 50**	**17,172 25**	**.941**	**340 00**
375	HEWLETT PACKARD CO	HPQ	10/27/08	11,940.26	31.84	40 97	15,363 75	3,423 49 LT		
75			11/03/08	2,922.35	38.964	40.97	3,072 75	150.40 LT		
450				**14,862.61**	**33 028**		**18,436 50**	**3,573.89**	**.781**	**144 00**
665	IRON MTN INC (DEL)	IRM	10/27/08	13,462.13	20.243	31.23	20,767 95	7,305 82 LT		
225			11/03/08	5,566.28	24.739	31 23	7,026.75	1,460.47 LT		
275			08/02/10	6,596.15	23.986	31 23	8,588.25	1,992.10 ST		
100			10/08/10	2,041 36	20.413	31 23	3,123.00	1,081.64 ST		
1,265				**27,665 92**	**21 87**		**39,505 95**	**11,840 03**	**2 401**	**948 75**
48	JOHNSON & JOHNSON	JNJ	09/11/08	3,393.12	70 69	59.25	2,844.00	(549 12) LT		
75			11/03/08	4,584.75	61 13	59.25	4,443.75	(141.00) LT		
205			03/05/09	9,839 41	47 997	59.25	12,146.25	2,306.84 LT		
200			06/25/09	11,247.60	56 238	59.25	11,850 00	602 40 LT		
92			09/24/09	5,583.43	60 689	59 25	5,451 00	(132.43) LT		
40			02/04/10	2,528.40	63 21	59.25	2,370.00	(158 40) LT		
18			04/27/10	1,161.01	64.50	59 25	1,066 50	(94 51) ST		
122			06/14/10	7,148.36	58 593	59 25	7,228 50	80 14 ST		
800				**45,486.08**	**56 858**		**47,400 00**	**1,913 92**	**3.645**	**1,728 00**
440	KRAFT FOODS INC CLASS A	KFT	02/11/11	**13,418 68**	30.497	31 36	**13,798.40**	**379 72** ST	**3 698**	**510 40**
175	LOCKHEED MARTIN CORP	LMT	11/11/10	**12,260 17**	70 058	80.40	**14,070.00**	**1,809.83** ST	**3 731**	**525 00**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
825	LOEWS CORP	L	10/27/08	$ 23,207.50	$ 28.13	$ 43.09	$ 35,549 25	$ 12,341.75 LT		
375			11/03/08	12,457.88	33.221	43 09	16,158.75	3,700.87 LT		
300			08/02/10	11,323 47	37.744	43 09	12,927.00	1,603.53 ST		
1,500				**46,988.85**	**31 326**		**64,635 00**	**17,646 15**	.58	375 00
164 0033	MERCK & CO INC NEW	MRK	11/07/08	2,437.36	14.863	33.01	5,413.75	2,976.39 LT		
40 3612			11/11/08	602 39	14.926	33 01	1,332 32	729.93 LT		
230 6355			02/18/09	4,239.41	18.383	33 01	7,613.28	3,373.87 LT		
575			03/18/09	15,344.22	26.688	33.01	18,980.75	3,636.53 LT		
220			02/04/10	8,278.16	37.628	33.01	7,262.20	(1,015 96) LT		
5			02/10/10	181 90	36.38	33.01	165.05	(16.85) LT		
315			12/01/10	10,955.32	34 778	33.01	10,398.15	(557.17) ST		
1,550				**42,038 76**	**27.122**		**51,165.50**	**9,126.74**	4 604	2,356.00
575	MICROSOFT CORP	MSFT	11/03/08	**12,964.24**	22 546	25.39	**14,599 25**	**1,635.01** LT	2 52	368.00
135	MONSANTO CO NEW	MON	02/04/10	10,296 44	76.269	72 26	9,755.10	(541.34) LT		
175			01/12/11	13,023 50	74.42	72 26	12,645.50	(378.00) ST		
310				**23,319 94**	**75.226**		**22,400 60**	**(919 34)**	1.549	347.20
500	OCCIDENTAL PETROLEUM CORP-DEL	OXY	10/27/08	22,314.30	44 628	104.49	52,245.00	29,930 70 LT		
250			11/03/08	13,494.00	53.976	104.49	26,122.50	12,628.50 LT		
200			02/18/09	10,412.80	52 064	104.49	20,898.00	10,485 20 LT		
950				**46,221.10**	**48.654**		**99,265 50**	**53,044 40**	1.76	1,748.00
680	PFIZER INC	PFE	03/03/09	8,101 31	11.913	20.31	13,810.80	5,709.49 LT		
580			01/19/10	11,550 06	19.913	20.31	11,779.80	229 74 LT		
720			02/04/10	13,183.20	18.31	20.31	14,623.20	1,440.00 LT		
90			02/16/10	1,601.94	17.799	20 31	1,827 90	225 96 LT		
2,070				**34,436.51**	**16.636**		**42,041.70**	**7,605.19**	3.938	1,656 00
400	PHILIP MORRIS INTL INC	PM	11/03/08	**17,112 00**	42.78	65.63	**26,252.00**	**9,140.00** LT	3.90	1,024.00
235	PROCTER & GAMBLE CO	PG	07/11/06	13,343.30	56.78	61.60	14,476 00	1,132.70* LT		
150			11/03/08	9,722 48	64.816	61.60	9,240 00	(482.48) LT		
385				**23,065 78**	**59 911**		**23,716 00**	**650 22**	3.128	741 90
1,565	PROGRESSIVE CORP-OHIO-	PGR	10/27/08	19,324 78	12.348	21.13	33,068.45	13,743.67 LT		
600			11/03/08	8,514.66	14.191	21 13	12,678 00	4,163 34 LT		
300			08/02/10	5,973.12	19 91	21 13	6,339.00	365.88 ST		
2,465				**33,812 56**	**13 717**		**52,085 45**	**18,272.89**	1.888	983 54
250	SEALED AIR CORP -NEW-	SEE	10/27/08	4,718.93	18 875	26.66	6,665 00	1,946 07 LT		
1,150			11/03/08	19,749 18	17.173	26.66	30,659.00	10,909.82 LT		

HEALTHCARE FOUNDATION — ***Account number 602-71098-15 531***

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
200	SEALED AIR CORP -NEW-	SEE	08/02/10	$ 4,415 30	$ 22 076	$ 26.66	$ 5,332 00	$ 916.70 ST		
1,600				**28,883 41**	**18 052**		**42,656.00**	**13,772 59**	**1 95**	**832.00**
480	TEXAS INSTRUMENTS INC	TXN	10/27/08	8,501 47	17 711	34 56	16,588.80	8,087 33 LT		
450			11/03/08	8,565 57	19 034	34 56	15,552 00	6,986 43 LT		
95			11/05/08	1,765 10	18 58	34 56	3,283 20	1,518 10 LT		
1,025				**18,832.14**	**18 373**		**35,424 00**	**16,591 86**	**1 504**	**533 00**
164	TRANSATLANTIC HLDGS INC	TRH	10/27/08	6,748 37	41 148	48 67	7,981.88	1,233 51 LT		
200			11/03/08	8,468 58	42.342	48.67	9,734 00	1,265.42 LT		
25			03/05/09	678.31	27.132	48 67	1,216 75	538.44 LT		
389				**15,895 26**	**40 862**		**18,932.63**	**3,037 37**	**1.725**	**326 76**
1,100	WELLS FARGO & CO NEW	WFC	01/27/09	17,619 58	16.017	31.71	34,881 00	17,261 42 LT		
1,700			02/18/09	22,761 47	13 389	31.71	53,907.00	31,145.53 LT		
100			02/04/10	2,734 80	27 348	31 71	3,171 00	436.20 LT		
2,900				**43,115 85**	**14 868**		**91,959 00**	**48,843 15**	**63**	**580 00**
Total common stocks and options				**$ 1,109,980.11**			**$ 1,608,461.32**	**$ 27,170.13 ST**	**1.54**	
								$ 471,311.08 LT		**$ 24,822 01**
Total portfolio value				**$ 1,199,453.88**			**$ 1,697,935.09**	**$ 27,170.13 ST**	**1.46**	**$ 24,875 69**
								$ 471,311.08 LT		

*Based on information supplied by client or other financial institution, not verified by us.

TRANSACTION DETAILS *All transactions appearing are based on trade-date*

Money fund activity

Opening money fund balance **$ 87,786.49**

All transactions are traded at $1 00 per share

Date	Activity	Description	Amount
03/02/11	Autoinvest	WESTERN ASSET MONEY MARKET FUND CLASS A	559.00
03/07/11	Autoinvest	WESTERN ASSET MONEY MARKET FUND CLASS A	85 00
03/11/11	Autoinvest	WESTERN ASSET MONEY MARKET FUND CLASS A	92.00
03/15/11	Autoinvest	WESTERN ASSET MONEY MARKET FUND CLASS A	93.75
03/16/11	Autoinvest	WESTERN ASSET MONEY MARKET FUND CLASS A	513 69
03/21/11	Autoinvest	WESTERN ASSET MONEY MARKET FUND CLASS A	208 00
03/28/11	Autoinvest	WESTERN ASSET MONEY MARKET FUND CLASS A	131.25
		MONEY FUND EARNINGS REINVESTED (SEE DETAILS UNDER EARNINGS DETAILS)	4 59
		Closing balance	**$ 89,473 77**

HEALTHCARE FOUNDATION **Account number 602-71097-16 531**

Money fund

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency. Although money market funds seek to preserve the value of your investment at $1 00 per share. there can be no assurance that will occur and it is possible to lose money should the fund value per share fall. Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below $1.00 per share. In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders This liquidation process could take up to one month or more. During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account.

Number of shares	Description	Current value	Accrued dividends	Annualized % dividend yield	Anticipated Income (annualized)
88,572 65	WESTERN ASSET MONEY MARKET FUND CLASS A	$ 88,572.65		.06%	$ 53 14
Total money fund		$ 88,572.65	$ 0 00	.05%	$ 53 14

Mortgage and asset backed securities

Current Value is calculated as follows: Original Principal Amount x Factor x Price = Current Value

Original principal amount	Description	Date acquired/ CUSIP #	Cost	Share cost	Current share price/accrued interest	Current value	Unrealized gain/(loss)	Current % yield	Anticipated Income (annualized)
7,000	FNMA PL#824421 DTD 05/01/2005 INT: 05 000% MATY. 05/01/2035 FACTOR. 44389803 Curr face $ 3,107.29 Int paid monthly	01/26/11 31406Y4E7	$ 3,284.97	$ 105 421	$ 105.24 $ 12 95	$ 3,270 11	($ 14 86) ST	4 751 %	$ 155.36
200,000	FNMA PL#735580 DTD 05/01/2005 INT 05 000% MATY 06/01/2035 FACTOR .39842612 Curr face $ 79,685.22 Int paid monthly	05/16/08 31402RFV6	77,586 27	98.53	105 303 332 02	83,910 93	6,324 66* LT	4 748	3,984 26
16,000	FNMA PL#888893 DTD 11/01/2007 INT. 05.500% MATY. 08/01/2037 FACTOR: .44119847 Curr.face $ 7,059.18 Int paid monthly	01/26/11 31410GRN5	7,602.98	107 32	107.463 32.35	7,586 00	(16.98) ST	5 118	388.25
17,000	FHLMC PL#G03432 DTD 10/01/2007 INT 05 500% MATY: 11/01/2037	01/15/08 3128M5ED8	6,610 64	101 35	106.904	6,807.90	197 26* LT		
39,000	FACTOR. .37460228 Curr face $ 20,977 73 Int paid monthly	01/23/08	15,272.97	101 85	106 904	15,618 13	345 16* LT		
56,000			21,883 61	39 10	96 15	22,426 03	542 42	5.144	1,153 77

Mortgage and asset backed securities *continued*

Original principal amount	Description	Date acquired/ CUSIP #	Cost	Share cost	Current share price/accrued interest	Current value	Unrealized gain/(loss)	Current % yield	Anticipated Income (annualized)
6,000	FNMA PL#889579 DTD 05/01/2008 INT: 06.000% MATY: 05/01/2038	10/24/08 31410KJY1	$ 2,383.86	$ 101.628	$ 108.951	$ 2,495.54	$ 111.68 LT		
45,000	FACTOR. .38175336 Curr.face $ 85,894.51	01/14/09	$ 18,881 02	$ 104.078	$ 108.951	$ 18,716.58	($ 164.44) LT		
47,000	Int paid monthly	04/22/09	$ 19,853.46	$ 104.726	$ 108.951	$ 19,548.43	($ 305 03) LT		
70,000		05/11/09	$ 29,739.07	$ 105.125	$ 108.951	$ 29,114.69	($ 624 38) LT		
57,000		06/18/09	$ 23,799.11	$ 104.359	$ 108 951	$ 23,707.67	($ 91 44) LT		
225,000			**$ 94,656.52**	**$ 42.10**	**$ 429 47**	**$ 93,582.91**	**($ 1,073.61)**	5.507	$ 5,153.67
20,000	FNMA PL#995018 DTD 10/01/2008 INT: 05.500% MATY: 06/01/2038 FACTOR. .43942950 Curr.face $ 8,788 59 Int paid monthly	05/12/09 31416BK72	**$ 9,476 41**	$ 103 921	$ 107 244 **$ 40 28**	**$ 9,425 24**	**($ 51.17)** LT	5 128%	$ 483 37
25,000	FNMA PL#889697 DTD 06/01/2008 INT. 06.000% MATY: 07/01/2038 FACTOR· .40036996 Curr.face $ 10,009.25 Int paid monthly	12/08/09 31410KNN0	**11,403.85**	107 25	108 92 **50 05**	**10,902 07**	**(501.78)** LT	5 508	600.55
13,000	FNMA PL#889982 DTD 10/01/2008 INT: 05.500% MATY. 11/01/2038	04/22/09 31410KXK5	6,139.39	103.777	107.182	6,112 37	(27 02) LT		
18,000	FACTOR: .43867662 Curr.face $ 39,042.22	06/18/09	8,301.61	102.71	107 182	8,463 28	161 67 LT		
31,000	Int paid monthly	07/10/09	14,623.23	104 109	107 182	14,575.65	(47.58) LT		
27,000		08/27/09	12,690 98	104.00	107 182	12,694.92	3.94 LT		
89,000			**41,755.21**	**46 90**	**178.94**	**41,846 22**	**91.01**	5 131	2,147 32
3,000	FNMA PL#995876 DTD 06/01/2009 INT 06.000% MATY. 11/01/2038 FACTOR. .51011250 Curr.face $ 1,530 34 Int paid monthly	07/13/09 31416CJV9	**1,684.82**	105 289	108 951 **7 65**	**1,667 32**	**(17.50)** LT	5.507	91 82

Mortgage and asset backed securities *continued*

Original principal amount	Description	Date acquired/ CUSIP #	Cost	Share cost	Current share price/accrued interest	Current value	Unrealized gain/(loss)	Current % yield	Anticipated Income (annualized)
126,000	FHLMC PL#G06031 DTD 09/01/2010 INT: 05 500% MATY· 03/01/2040 FACTOR. .76929374 Curr.face $ 96,931 01 Int paid monthly	02/07/11 3128M8A87	$ 102,855.15	$ 105 89	$ 106 904 $ 444 27	$ 103,623.13	$ 767.98 ST	5 144 %	$ 5,331.20
120,000	FNMA PL#AB1389 DTD 07/01/2010 INT 04.500% MATY. 08/01/2040 FACTOR: .87195400 Curr face $ 104,634 48 Int paid monthly	02/02/11 31416WRK0	106,355 89	101 632	101 909 392 38	106,631 95	276 06 ST	4 415	4,708 55
81,000	FHLMC PL#A97047 DTD 02/01/2011 INT: 04.500% MATY: 02/01/2041 FACTOR: .99847969 Curr face $ 80,876 85 Int paid monthly	02/11/11 312945ZL5	81,411.54	100 66	101.737 303.29	82,281.69	870 15 ST	4.423	3,639 45
82,000	FNMA PL#AH5583 DTD 02/01/2011 INT: 04 500% MATY: 02/01/2041 FACTOR: .99797544 Curr.face $ 81,833 99 Int paid monthly	02/09/11 3138A7FZ6	82,474.57	100.781	102 002 306.88	83,472 30	997.73 ST	4.411	3,682.52
53,000	FNMA PL#AE0984 DTD 02/01/2011 INT. 04.500% MATY: 02/01/2041 FACTOR: .99722443 Curr.face $ 52,852.89 Int paid monthly	03/02/11 31419BCW3	53,852.14	101 89	101 909 198 20	53,861 86	9 72 ST	4 415	2,378 38
Total mortgage and asset backed securities 1,103,000			$ 696,283.93		$ 2,824.88	$ 704,487.76	$ 2,889.80 ST $ 5,314.03 LT	4 81	$ 33,898 47

Mutual funds

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency Although money market funds seek to preserve the value of your investment at $1.00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall. Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below $1 00 per share. In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders. This liquidation process could take up to one month or more. During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account.

Certain mutual funds may not be transferable to other broker-dealers. For further information, please refer to the fund's Prospectus or call your Financial Advisor.

Yield is the current distribution annualized, divided by the fund's net asset value at the end of the statement period. Distributions may consist of income, capital gains or the return of capital. Distributions and current dividend for funds are based upon information provided by an outside vendor and are not verified by us. ***"Tax-Based Cost vs. Current Value"*** *is being provided for information purposes only.* ***"Cash Distributions (since inception)"*** *when shown, may reflect distributions on positions no longer held in the account, and may not reflect all distributions received in cash due to but not limited to the following: investments made prior to 1/1/89, asset transfers, recent activity and certain adjustments made in your account.* ***"Total Purchases vs. Current Value"*** *is provided to assist you in comparing your* ***"Total purchases"*** *excluding reinvested distributions, with the current value of the fund's shares in your account.* ***"Fund Value Increase/Decrease"*** *reflects the difference between your total purchases and the current value of the fund's shares, plus cash distributions since inception.*

Number of shares	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	**Net Value Increase/ Decrease**	Yield	Anticipated income (annualized)
31,195	FIXED INCOME SHARES FD SERIES	FXICX	06/25/08	$ 393,057.00	$ 12 60	$ 12.89	$ 402,103.55	$ 9,046.55 LT			
3,880	C		03/31/09	43,223 20	11.14	12 89	50,013 20	6,790.00 LT			
2,754			01/04/11	35,141 04	12.76	12 89	35,499 06	358.02 ST			
3,625			01/19/11	46,327.50	12 78	12 89	46,726.25	398.75 ST			
5,075			02/23/11	65,061.50	12 82	12.89	65,416.75	355.25 ST			
46,529				**582,810 24**	**12.526**		**599,758 81**	**16,948.57**		**9.627**	**57,742.48**
	Cash distributions (since inception)								**370,869.10**		
	Total Purchases vs. Current Value			**582,810 24**			**599,758.81**		**16,948 57**		
	Fund Value Increase/Decrease								**387,817.67**		
41,765	FIXED INCOME SHARES FD	FXIMX	06/25/08	433,938 35	10.39	10 33	431,432.45	(2,505.90) LT			
1,950	SERIES M		07/06/09	17,803 50	9 13	10.33	20,143.50	2,340 00 LT			
750			03/24/10	7,395.00	9 86	10.33	7,747 50	352 50 LT			
2,352			01/05/11	24,013.92	10.21	10 33	24,296 16	282.24 ST			
4,550			01/19/11	46,956.00	10 32	10.33	47,001 50	45 50 ST			
6,556			02/23/11	67,526.80	10.30	10.33	67,723 48	196.68 ST			
57,923				**597,633.57**	**10 318**		**598,344.59**	**711.02**		**5.401**	**32,321.03**
	Cash distributions (since inception)								**223,350.92**		
	Total Purchases vs. Current Value			**597,633 57**			**598,344 59**		**711 02**		
	Fund Value Increase/Decrease								**224,061 94**		
Total mutual funds (Tax based)				**$ 1,180,443.81**			**$ 1,198,103.40**	**$ 1,636.44 ST**		**7.51**	
								$ 16,023.15 LT			**$ 90,063 51**
Total Fund Value Increase/Decrease									**$ 611,879.61**		

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Bonds

Unrealized gains & losses have been adjusted to account for the accretion of OID (original issue discount), the amortization of premium, and/or the accretion of market discount

Call features shown indicate the next regularly scheduled call date and price Your holdings may be subject to other redemption features including sinking funds or extraordinary calls.

The research rating for Moody's Investors Service and Standard & Poor's may be shown for certain fixed income securities All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance Your Financial Advisor will be pleased to provide you with further information or assistance in interpreting research ratings

Government & government sponsored entity (GSE) bonds

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted	Current % Yield/ Anticip Income (annualized)	Ordinary Income/ Capital gain/(loss)
94,000	US TSY INFLATION INDEX NTS CPI	03/25/09	$ 102,567 48	$ 102.66	100.289	$ 104,579.32	$ 2,011 84 LT		$ 0.00
	U NON-SEASONALLY ADJ	912828FB1	$ 104,333 23	$ 100.053			$ 246.09 LT		$ 246 09
15,000	DTD 04/28/2006	07/06/09	16,580 00	102.828	100 289	16,688 19	108 19 LT		0 00
	INT 02 375% MATY: 04/15/2011		16,650.92	100 065			37.27 LT		37.27
15,000	Factor· 1.10934	09/03/09	16,724 38	102.617	100.289	16,688 19	(36.19) LT		0.00
	Curr face $ 170,838.36		16,651.25	100 067			36.94 LT		36 94
15,000		03/24/10	16,899 80	103.281	100.289	16,688.19	(211.61) LT		0 00
			16,899.80	103.281			(211.61) LT		(211 61)
5,000		05/13/10	5,616.66	102.695	100 289	5,562.73	(53.93) ST		0.00
			5,616.66	102 695			(53.93) ST		(53.93)
5,000		07/14/10	5,578 69	101 547	100 289	5,562.73	(15.96) ST		0.00
			5,551.36	100.084			11.37 ST		11 37
5,000		08/12/10	5,573 78	101 453	100.289	5,562.73	(11.05) ST		0 00
			5,551 64	100 089			11 09 ST		11 09
154,000			169,540.79	110.10		**171,332 08**	1,791 29	**2.368**	**0 00**
			171,254 86	111 20	**1,872 65**		**77 22**	**4,057 41**	**77.22**
181,000	U S TREASURY BILLS	03/10/11	180,877.10	99 932	99 933	**180,878 73**	1 63 ST		**0 00**
	DTD 03/10/2011	9127953J8	**180,889 59**	99.939			**(10 86)** ST		**(10 86)**
	INT 00.000% MATY· 09/08/2011								
	Int paid at maturity								
37,000	U S TREASURY NOTES SER G-2015	03/24/10	36,882.89	99 683	100.523	37,193 51	310 62 LT		61 23
	DTD 02/28/2010	912828MQ0	36,882 89	99 683			310.62 LT		249 39
75,000	INT 00.875% MATY· 02/29/2012	05/26/10	75,152.33	100.203	100.523	75,392.25	239.92 ST		0.00
			75,078.75	100.105			313 50 ST		313 50
5,000		06/29/10	5,029.30	100.585	100 523	5,026 15	(3.15) ST		0.00
			5,016 10	100 322			10 05 ST		10.05
10,000		01/19/11	10,059 76	100 597	100.523	10,052 30	(7 46) ST		0 00
			10,049 40	100.494			2 90 ST		2 90
127,000			127,124.28	100.10		**127,664.21**	539 93	**.87**	**61 23**
			127,027.14	100.00	**96 63**		**637.07**	**1,111.25**	**575 84**

Government & government sponsored entity (GSE) bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip Income (annualized)	Ordinary Income/ Capital gain/(loss)
12,000	US TSY INFLATION INDEX NTS CPI	07/06/09	$ 15,069.75	$ 105.828	107.109	$ 15,740 27	$ 670.52	LT		$ 0.00
	U NON-SEASONALLY ADJ	912828AF7	$ 15,065.01	$ 102.514			$ 675.26	LT		$ 675 26
15,000	DTD 07/15/2002	09/03/09	18,993 82	105.57	107.109	19,675 33	681 51	LT		0 00
	INT· 03.000% MATY. 07/15/2012		18,835.30	102 536			840 03	LT		840 03
5,000	Factor 1 22463	03/11/10	6,509.52	108.265	107.109	6,558 44	48.92	LT		0 00
	Curr.face $ 88,173.36		6,509.52	108.265			48 92	LT		48 92
25,000		03/24/10	32,424 83	107 703	107.109	32,792 22	367.39	LT		0.00
			32,424.83	107 703			367.39	LT		367.39
5,000		06/29/10	6,458.13	106.531	107.109	6,558.44	100 31	ST		0.00
			6,458.13	106.531			100 31	ST		100.31
5,000		07/29/10	6,453.73	106.375	107.109	6,558 44	104.71	ST		0.00
			6,453.73	106 375			104.71	ST		104.71
5,000		01/19/11	6,490.49	106.687	107 109	6,558.44	67.95	ST		0 00
			6,490.49	106.687			67.95	ST		67.95
72,000			92,400.27	128 30		**94,441.58**	2,041.31		**2 80**	**0 00**
			92,237 01	128 10	**555 35**		**2,204 57**		**2,645 20**	**2,204 57**
30,000	U S TREASURY NOTES SER W-2013	04/29/10	29,885 13	99 617	101.16	**30,348 00**	462.87	ST	**1 359**	**0 00**
	DTD 03/15/2010	912828MT4	**29,885.13**	99.617	**19 06**		**462.87**	ST	**412 50**	**462.87**
	INT· 01 375% MATY 03/15/2013									
29,000	FEDERAL NATL MTG ASSOCIATION	01/11/11	28,665 63	98 847	98.479	28,558 91	(106.72)	ST		0.00
	BK/ ENTRY	31398A5W8	28,665 63	98 847			(106.72)	ST		(106 72)
40,000	DTD 20101101	01/19/11	39,595 04	98 987	98 479	39,391 60	(203 44)	ST		0.00
	INT· 00.750% MATY· 12/18/2013		39,595.04	98 987			(203.44)	ST		(203 44)
69,000			68,260.67	98.90		**67,950.51**	(310.16)		**.761**	**0 00**
			68,260 67	98.90	**148.06**		**(310.16)**		**517.50**	**(310 16)**
15,000	US TSY INFLATION INDEX BDS CPI	09/29/10	27,313.92	135.093	128.969	26,336.37	(977.55)	ST		0.00
	U NON-SEASONALLY ADJ	912810FD5	27,405 60	134.205			(1,069.23)	ST		(1,069 23)
10,000	DTD 4/15/1998	10/12/10	18,537.90	137.457	128.969	17,557 58	(980.32)	ST		0 00
	INT: 03.625% MATY: 04/15/2028		18,591.55	136.564			(1,033.97)	ST		(1,033 97)
25,000	Factor: 1 36138		45,851.82	183 40		**43,893.95**	(1,957.87)		**2.81**	**0 00**
	Curr.face $ 34,034.50		**45,997 15**	184 00	**569 42**		**(2,103 20)**		**1,233 75**	**(2,103.20)**

Government & government sponsored entity (GSE) bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip Income (annualized)	Ordinary income/ Capital gain/(loss)
25,000	US TSY INFLATION INDEX BDS CPI	10/12/10	$ 30,864.05	$ 121.515	113.273	$ 29,042.35	($ 1,821.70)	ST		$ 0 00
	U NON-SEASONALLY ADJ	912810PZ5	$ 31,029.90	$ 121 025			($ 1,987.55)	ST		($ 1,987 55)
5,000	DTD 01/30/2009	01/19/11	5,762.24	113 101	113.273	5,808.47	46 23	ST		0 00
	INT. 02.500% MATY: 01/15/2029		5,793 39	112.979			15 08	ST		15 08
30,000	Factor 1 02557		36,626.29	122.10		34,850 82	(1,775.47)		2 207	0.00
	Curr face $ 30,767 10		36,823.29	122.70	161 48		(1,972.47)		769.17	(1,972 47)
Total government & government sponsored entity (GSE) bonds			$ 750,566.35		$ 3,422.65	$ 751,359.88	($ 3,365.87)	ST	1 43	$ 61.23
688,000			$ 752,374.84				$ 2,350.91	LT	$ 10,746.78	($ 1,076.19)
Total portfolio value			$ 2,717,675.23			$ 2,742,523.69	$ 1,160.37	ST	4.91	$ 61.23
							$ 23,688.09	LT	$ 134,761.90	($ 1,076.19)

*Based on information supplied by client or other financial institution, not verified by us

HEALTHCARE FOUNDATION **Account number 602-71094-19 531**

SEPARATELY MANAGED ACCOUNTS

Consulting Group Research (CGR) rating codes (FL, AL or NL) may be shown for certain separate account managers Please refer to the "Guide to Investment Ratings" at the end of this statement for a description of these rating codes. All research ratings represent the "opinions" of CGR and are not representations or guarantees of performance.

	Rating	Rating
Victory Capital Mgmt - Large Cap Grwoth	AL	

PORTFOLIO DETAILS

Your holdings are valued using the most current prices available to Citigroup Global Markets Inc. (CGMI). In most cases, these values are as of 03/31/11, but in some cases CGMI's sources are unable to provide timely information. To see the date of the most recent price update, please view your account online at www.smithbarney.com.

Securities purchased or sold are included or excluded in this section as of the trade-date This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold
***Please Note:** unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Money fund

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Number of shares	Description	Current value	Accrued dividends	Annualized % dividend yield	Anticipated Income (annualized)
101,696 55	WESTERN ASSET MONEY MARKET FUND CLASS A	**$ 101,696.55**		.06%	**$ 61.01**
Total money fund		**$ 101,696.55**	**$ 0.00**	.05%	**$ 61.01**

Common stocks & options

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
409	ALEXION PHARMACEUTICALS INC	ALXN	12/16/10	$ 32,924 95	$ 80 501	$ 98 68	$ 40,360.12	$ 7,435.17 ST		
60			01/21/11	5,092.50	84.875	98.68	5,920 80	828.30 ST		

HEALTHCARE FOUNDATION

Account number 602-71094-19 531

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
89	ALEXION PHARMACEUTICALS INC	ALXN	03/07/11	$ 8,716 84	$ 97 942	$ 98 68	$ 8,782 52	$ 65 68 ST		
558				**46,734 29**	**83 753**		**55,063.44**	**8,329 15**		
91	ALLERGAN INC	AGN	01/14/08	6,191.58	68.03	71 02	6,462 82	271 24* LT		
345			11/04/08	13,943 69	40 416	71 02	24,501.90	10,558 21 LT		
212			09/16/09	12,431 28	58 638	71.02	15,056 24	2,624 96 LT		
9			02/04/10	520 11	57 79	71.02	639.18	119 07 LT		
199			06/15/10	12,164.27	61.127	71 02	14,132.98	1,968 71 ST		
856				**45,250.93**	**52 863**		**60,793.12**	**15,542.19**	**281**	**171.20**
213	AMAZON COM INC	AMZN	05/26/09	16,664 07	78 235	180 13	38,367.69	21,703 62 LT		
16			02/04/10	1,869 76	116 86	180 13	2,882 08	1,012 32 LT		
38			09/14/10	5,545 34	145 93	180.13	6,844 94	1,299 60 ST		
267				**24,079 17**	**90 184**		**48,094 71**	**24,015 54**		
134	APPLE INC	AAPL	03/30/06	8,439 74	62.98	348.507	46,699 94	38,260.20* LT		
165			11/04/08	17,669.85	107 09	348.507	57,503.66	39,833.81 LT		
18			02/04/10	3,480 12	193.34	348.507	6,273 13	2,793 01 LT		
317				**29,589 71**	**93 343**		**110,476 73**	**80,887 02**		
1,078	ARM HOLDINGS PLC ADR	ARMH	01/28/10	9,685.72	8.984	28 17	30,367 26	20,681 54 LT		
196			02/04/10	1,902.77	9 708	28.17	5,521.32	3,618.55 LT		
1,274				**11,588 49**	**9 096**		**35,888 58**	**24,300 09**	**436**	**156 70**
1,363	CB RICHARD ELLIS GROUP CL A	CBG	03/07/11	**33,776 37**	24 78	26 70	**36,392 10**	**2,615 73** ST		
935	CAMERON INTERNATIONAL CORP	CAM	10/13/10	40,814 53	43.651	57.10	53,388 50	12,573 97 ST		
19			01/12/11	984.35	51.807	57.10	1,084 90	100.55 ST		
142			03/07/11	8,734.02	61 507	57 10	8,108.20	(625 82) ST		
1,096				**50,532 90**	**46 107**		**62,581.60**	**12,048 70**		
297	CATERPILLAR INC	CAT	03/28/11	**32,734.45**	110 217	111.35	**33,070 95**	**336.50** ST	**1 58**	**522 72**
99	CHIPOTLE MEXICAN GRILL	CMG	02/07/11	**24,454 77**	247 017	272.37	**26,964 63**	**2,509 86** ST		
487	CITRIX SYSTEMS INC	CTXS	07/28/10	23,282.79	47.808	73 46	35,775.02	12,492.23 ST		
183			08/20/10	10,834.57	59 205	73 46	13,443.18	2,608.61 ST		
166			09/24/10	11,696 21	70.459	73 46	12,194 36	498.15 ST		
836				**45,813.57**	**54 801**		**61,412 56**	**15,598.99**		
18	COGNIZANT TECH SOLUTIONS CL A	CTSH	12/18/07	586.13	32 56	81 40	1,465 20	879.07* LT		
240			03/28/08	7,124 33	29 68	81 40	19,536 00	12,411 67* LT		
139			06/20/08	4,853.88	34.92	81 40	11,314.60	6,460 72 LT		
565			11/04/08	11,524.31	20.397	81.40	45,991.00	34,466 69 LT		

HEALTHCARE FOUNDATION ***Account number 602-71094-19 531***

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
115	COGNIZANT TECH SOLUTIONS CL A	CTSH	02/04/10	$ 5,008.02	$ 43.548	$ 81.40	$ 9,361.00	$ 4,352.98 LT		
1,077				**29,096 67**	**27.016**		**87,667 80**	**58,571.13**		
1,198	EMC CORP-MASS	EMC	03/28/11	**32,920.80**	27 479	26.56	**31,818.88**	**(1,101.92)** ST		
363	EXPEDITORS INTL OF WASH INC	EXPD	05/21/08	16,874.31	46.48	50.15	18,204.45	1,330.14* LT		
86			11/04/08	3,286 92	38.22	50 15	4,312.90	1,025.98 LT		
25			02/04/10	842.75	33.71	50.15	1,253 75	411.00 LT		
309			04/19/10	12,106 68	39 18	50.15	15,496 35	3,389 67 ST		
109			09/14/10	4,826.32	44.278	50 15	5,466 35	640.03 ST		
892				**37,936.98**	**42 53**		**44,733.80**	**6,796.82**	**797**	**356 80**
418	EXPRESS SCRIPTS INC.COMMON	ESRX	02/05/10	17,421.00	41.677	55.61	23,244 98	5,823.98 LT		
230			03/09/10	11,364.24	49.409	55 61	12,790 30	1,426.06 LT		
282			04/19/10	14,467 52	51 303	55 61	15,682.02	1,214 50 ST		
930				**43,252 76**	**46 508**		**51,717 30**	**8,464 54**		
612	FREEPORT MCMORAN COPPER & GOLD CL B	FCX	01/25/11	32,277.40	52.74	55 55	33,996 60	1,719.20 ST		
106			02/07/11	6,072.57	57 288	55 55	5,888 30	(184.27) ST		
718				**38,349.97**	**53 412**		**39,884 90**	**1,534 93**	**1 80**	**718 00**
14	GOOGLE INC CLASS A	GOOG	04/09/08	6,479 34	462 81	586.76	8,214.64	1,735.30* LT		
41			11/04/08	14,388.13	350.93	586 76	24,057 16	9,669.03 LT		
37			12/09/08	11,580.52	312 987	586.76	21,710.12	10,129.60 LT		
15			02/07/11	9,241.19	616 079	586 76	8,801 40	(439.79) ST		
107				**41,689.18**	**389.619**		**62,783 32**	**21,094 14**		
488	ILLUMINA INC	ILMN	03/29/11	**32,854.65**	67 325	70 07	**34,194 16**	**1,339 51** ST		
104	INTUITIVE SURGICAL INC	ISRG	12/09/08	14,125.32	135 82	333.46	34,679.84	20,554.52 LT		
24			02/04/10	7,871.28	327.97	333.46	8,003.04	131.76 LT		
128				**21,996 60**	**171 848**		**42,682 88**	**20,686 28**		
828	JOHNSON CONTROLS INC	JCI	12/21/10	32,591.99	39 362	41 57	34,419 96	1,827 97 ST		
202			01/21/11	7,831.24	38.768	41 57	8,397.14	565 90 ST		
191			02/16/11	8,031.36	42.049	41.57	7,939.87	(91.49) ST		
1,221				**48,454 59**	**39 684**		**50,756.97**	**2,302 38**	**1 539**	**781.44**
66	JUNIPER NETWORKS INC	JNPR	09/06/07	2,307 90	34 96	42 08	2,777.28	469 38* LT		
680			04/09/08	15,626 40	22 98	42.08	28,614 40	12,988.00* LT		
482			11/04/08	8,834 58	18.329	42 08	20,282.56	11,447 98 LT		
272			05/26/09	6,567.77	24.146	42 08	11,445.76	4,877 99 LT		
76			02/04/10	1,899.24	24.99	42.08	3,198.08	1,298 84 LT		
1,576				**35,235.89**	**22.358**		**66,318 08**	**31,082 19**		

HEALTHCARE FOUNDATION — Account number 602-71094-19 531

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
452	MONSANTO CO NEW	MON	01/25/11	$ 32,188.59	$ 71.213	$ 72.26	$ 32,661.52	$ 472 93 ST		
93			02/07/11	7,022 62	75.512	72.26	6,720.18	(302.44) ST		
545				**39,211.21**	**71 947**		**39,381.70**	**170.49**	**1.549**	**610 40**
409	OCCIDENTAL PETROLEUM CORP-DEL	OXY	10/13/10	34,670.52	84.769	104 49	42,736 41	8,065.89 ST		
80			12/06/10	7,436 56	92.957	104 49	8,359.20	922.64 ST		
18			01/12/11	1,759.69	97 76	104 49	1,880.82	121.13 ST		
84			02/07/11	8,184 09	97 429	104 49	8,777.16	593 07 ST		
591				**52,050 86**	**88 073**		**61,753.59**	**9,702.73**	**1 76**	**1,087 44**
342	PERRIGO COMPANY	PRGO	06/09/10	20,275 40	59 284	79 52	27,195.84	6,920 44 ST		
287			07/06/10	16,451 50	57 322	79 52	22,822.24	6,370 74 ST		
114			01/21/11	8,076.93	70.85	79.52	9,065 28	988 35 ST		
743				**44,803.83**	**60 301**		**59,083 36**	**14,279 53**	**.352**	**208 04**
633	POTASH CORP SASK INC-	POT	11/18/10	29,519.45	46.634	58.93	37,302 69	7,783 24 ST		
180			12/06/10	8,636.93	47 982	58 93	10,607 40	1,970 47 ST		
135			02/07/11	8,283 15	61 356	58 93	7,955 55	(327 60) ST		
948				**46,439 53**	**48.987**		**55,865 64**	**9,426 11**	**475**	**265.44**
72	PRICELINE.COM INC (NEW)	PCLN	07/30/10	15,957 35	221.629	506 44	36,463.68	20,506.33 ST		
54			09/23/10	18,130.66	335 752	506 44	27,347 76	9,217.10 ST		
126				**34,088 01**	**270 54**		**63,811.44**	**29,723 43**		
67	QUALCOMM INC	QCOM	01/29/08	2,704.47	40 36	54.83	3,673.61	969.14* LT		
105			05/19/08	4,996 95	47.59	54.83	5,757.15	760.20* LT		
83			06/20/08	4,018.03	48.41	54.83	4,550 89	532 86 LT		
514			11/04/08	19,051.92	37.066	54.83	28,182.62	9,130.70 LT		
58			02/04/10	2,231.84	38 48	54 83	3,180.14	948.30 LT		
336			09/21/10	14,599.20	43 45	54 83	18,422.88	3,823.68 ST		
182			02/07/11	9,984.32	54.858	54.83	9,979 06	(5.26) ST		
1,345				**57,586 73**	**42 815**		**73,746 35**	**16,159.62**	**1 386**	**1,022 20**
2	SCHLUMBERGER LTD	SLB	05/19/08	215.09	107.54	93 26	186.52	(28.57)*LT		
138			11/04/08	7,170 00	51 956	93 26	12,869.88	5,699.88 LT		
446			02/11/09	19,042 15	42 695	93 26	41,593 96	22,551 81 LT		
52			02/04/10	3,259 36	62.68	93 26	4,849 52	1,590 16 LT		
201			03/07/11	18,131 53	90 206	93 26	18,745 26	613 73 ST		
839				**47,818 13**	**56 994**		**78,245 14**	**30,427 01**	**1 072**	**839 00**
717	SCHWAB CHARLES CORP	SCHW	03/09/09	8,170.22	11 395	18.03	12,927 51	4,757.29 LT		
909			05/26/09	15,914 59	17 507	18.03	16,389 27	474 68 LT		

HEALTHCARE FOUNDATION ***Account number 602-71094-19 531***

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Average % yield	Anticipated Income (annualized)
117	SCHWAB CHARLES CORP	SCHW	02/04/10	$ 2,084.53	$ 17.816	$ 18.03	$ 2,109.51	$ 24 98	LT		
617			12/15/10	10,315.31	16.718	18.03	11,124.51	809 20	ST		
2,360				**36,484.65**	**15 46**		**42,550.80**	**6,066.15**		**1 331**	**566.40**
472	STARWOOD HOTELS & RESORTS WORLDWIDE INC -NEW	HOT	07/28/10	23,134.47	49.013	58 12	27,432 64	4,298.17	ST		
245			08/20/10	11,516.69	47 006	58.12	14,239.40	2,722.71	ST		
112			12/06/10	6,715 07	59.956	58.12	6,509.44	(205.63)	ST		
829				**41,366.23**	**49 899**		**48,181.48**	**6,815 25**		**.722**	**348.18**
236	UNION PACIFIC CORP	UNP	03/26/09	10,152.96	43 021	98.33	23,205 88	13,052 92	LT		
36			02/04/10	2,215.08	61 53	98.33	3,539.88	1,324 80	LT		
94			05/24/10	6,592 00	70 127	98.33	9,243.02	2,651 02	ST		
72			09/14/10	5,719.87	79 442	98 33	7,079.76	1,359 89	ST		
438				**24,679 91**	**56.347**		**43,068 54**	**18,388.63**		**1 545**	**665.76**
Total common stocks and options				**$ 1,130,871.83**			**$ 1,608,984.55**	**$ 132,956.35**	**ST**	**.51**	
								$ 345,156.37	**LT**		**$ 8,319.72**
Total portfolio value				**$ 1,232,568.38**			**$ 1,710,681.10**	**$ 132,956.35**	**ST**	**.48**	**$ 8,380.73**
								$ 345,156.37	**LT**		

**Based on information supplied by client or other financial institution, not verified by us.*

Unsettled purchases/sales

This section only displays transactions that have not settled during this statement period The "Portfolio details" section includes any securities purchased and omits any securities sold or sold short as of the trade-date

Trade Date	Settlement Date	Activity	Description	Quantity	Price	Amount
03/29/11	04/01/11	Bought	ILLUMINA INC CGMI AND/OR ITS AFFILIATES	488	$ 67.3251	$ -32,854 65
Total Securities Bought						**$ -32,854.65**
Total Securities Sold						**$ 0.00**
Total Unsettled purchases/sales						**$ -32,854.65**

Mutual funds

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency Although money market funds seek to preserve the value of your investment at $1.00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below $1.00 per share. In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders This liquidation process could take up to one month or more. During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account.

Certain mutual funds may not be transferable to other broker-dealers. For further information, please refer to the fund's Prospectus or call your Financial Advisor.

Consulting Group Research (CGR) rating codes (FL, AL or NL) may be shown for certain mutual funds. Please refer to the "Guide to Investment Ratings" at the end of this statement for a description of these rating codes All research ratings represent the "opinions" of Consulting Group Research and are not representations or guarantees of performance

Yield is the current distribution annualized, divided by the fund's net asset value at the end of the statement period. Distributions may consist of income, capital gains or the return of capital Distributions and current dividend for funds are based upon information provided by an outside vendor and are not verified by us. ***"Tax-Based Cost vs. Current Value"*** *is being provided for information purposes only* ***"Cash Distributions (since inception)"*** *when shown, may reflect distributions on positions no longer held in the account, and may not reflect all distributions received in cash due to but not limited to the following. investments made prior to 1/1/89, asset transfers, recent activity and certain adjustments made in your account* ***"Total Purchases vs. Current Value"*** *is provided to assist you in comparing your* ***"Total purchases"*** *excluding reinvested distributions, with the current value of the fund's shares in your account* ***"Fund Value Increase/Decrease"*** *reflects the difference between your total purchases and the current value of the fund's shares, plus cash distributions since inception*

Number of shares	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Net Value Increase/ Decrease	Yield	Anticipated income (annualized)
10,395.547	DREYFUS HIGH YIELD FUND CL A	DPLTX	03/06/09	$ 55,200 35	$ 5 31	$ 6 76	$ 70,273 90	$ 15,073 55	LT			
10,395 547	**Total Purchases**			**55,200.35**	**5.31**	**6.76**	**70,273 90**	**15,073 55**				
1,017.773	Reinvestments to date			6,223.74	6 115	6 76	6,880.15	656 41	LT			
1,093.363	Reinvestments to date			7,120.09	6 512	6.76	7,391.13	271.04	ST			
12,506 683	**Tax-based Cost vs. Current Value**			**68,544.18**	**5.481**		**84,545 18**	**16,001 00**			**8.846**	**7,478.99**
	Total Purchases vs Current Value			**55,200.35**			**84,545.18**			**29,344 83**		
	Fund Value Increase/Decrease									**29,344.83**		
17,182.131	LAZARD EMERGING MKTS EQUITY PORT INST'L SHS **Rating:** CG RESEARCH : FL	LZEMX	01/28/10	300,000 00	17.46	21 61	371,305.85	71,305.85	LT			
17,182.131	**Total Purchases**			**300,000.00**	**17.46**	**21 61**	**371,305 85**	**71,305 85**				
266.56	Reinvestments to date			5,577.80	20.925	21 61	5,760 36	182.56	ST			
17,448 691	**Tax-based Cost vs. Current Value**			**305,577.80**	**17 513**		**377,066 21**	**71,488.41**			**1.17**	**4,414.51**
	Total Purchases vs. Current Value			**300,000 00**			**377,066 21**			**77,066 21**		
	Fund Value Increase/Decrease									**77,066 21**		
9,006 403	LORD ABBETT HIGH YIELD FUND CL A	LHYAX	03/06/09	48,364.39	5.37	7.93	71,420.78	23,056.39	LT			
9,006 403	**Total Purchases**			**48,364.39**	**5 37**	**7.93**	**71,420.78**	**23,056 39**				
1,011 349	Reinvestments to date			6,910.29	6.832	7.93	8,020 00	1,109.71	LT			
836.937	Reinvestments to date			6,402 23	7.649	7 93	6,636.91	234 68	ST			

Mutual funds *continued*

Number of shares	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/ Decrease	Yield	Anticipated income (annualized)
	LORD ABBETT HIGH YIELD FUND CL A	LHYAX									
10,854.689	**Tax-based Cost vs. Current Value**			**$ 61,676.91**	**$ 5.682**		**$ 86,077 69**	**$ 24,400.78**		**7 767**	**$ 6,686 48**
	Total Purchases vs. Current Value			**48,364.39**			**86,077 69**		**37,713 30**		
	Fund Value Increase/Decrease								**37,713.30**		
7,594 4578	NEUBERGER BERMAN HIGH INCOME BD. FD INST'L CL.	NHILX	03/06/09	48,506.84	6.387	9 53	72,375.18	23,868 34 LT			
7,594 4578	**Total Purchases**			**48,506.84**	**6.39**	**9 53**	**72,375 18**	**23,868 34**			
717 5472	Reinvestments to date			5,916.60	8.245	9.53	6,838.22	921 62 LT			
757 322	Reinvestments to date			6,977.57	9 213	9.53	7,217 28	239 71 ST			
9,069 327	**Tax-based Cost vs. Current Value**			**61,401 01**	**6.77**		**86,430 68**	**25,029.67**		**7 712**	**6,665.95**
	Total Purchases vs Current Value			**48,506 84**			**86,430 68**		**37,923.84**		
	Fund Value Increase/Decrease								**37,923 84**		
55,045 872	PIMCO UNCONSTRAINED BOND FUND CLASS P	PUCPX	01/28/10	600,000.00	10.90	11.17	614,862.39	14,862 39 LT			
4,672.956			01/18/11	52,010.00	11 13	11.17	52,196 92	186.92 ST			
59,718.828	**Total Purchases**			**652,010 00**	**10.92**	**11.17**	**667,059 31**	**15,049.31**			
110.978	Reinvestments to date			1,214.10	10 94	11.17	1,239.62	25.52 LT			
1,407.998	Reinvestments to date			15,693 86	11.146	11.17	15,727 34	33 48 ST			
61,237 804	**Tax-based Cost vs. Current Value**			**668,917 96**	**10 923**		**684,026.27**	**15,108 31**		**2.282**	**15,615 63**
	Total Purchases vs. Current Value			**652,010 00**			**684,026.27**		**32,016.27**		
	Fund Value Increase/Decrease								**32,016.27**		
7,616 672	PIMCO HIGH YIELD FUND CL P **Rating**: CG RESEARCH · AL	PHLPX	03/06/09	48,365 87	6.349	9 45	71,977.55	23,611.68 LT			
7,616.672	**Total Purchases**			**48,365 87**	**6.35**	**9.45**	**71,977 55**	**23,611.68**			
759 721	Reinvestments to date			6,179 56	8.133	9.45	7,179 36	999 80 LT			
647.314	Reinvestments to date			5,936.42	9.17	9 45	6,117.12	180.70 ST			
9,023.707	**Tax-based Cost vs. Current Value**			**60,481.85**	**6.703**		**85,274.03**	**24,792.18**		**7.248**	**6,181 23**
	Total Purchases vs. Current Value			**48,365.87**			**85,274 03**		**36,908.16**		
	Fund Value Increase/Decrease								**36,908.16**		
29,069.767	PIMCO FDS - EMERGING MARKETS BOND P **Rating:** CG RESEARCH : FL	PEMPX	01/28/10	300,000.00	10.32	11.09	322,383.72	22,383 72 LT			
29,069.767	**Total Purchases**			**300,000.00**	**10.32**	**11 09**	**322,383 72**	**22,383 72**			
113.977	Reinvestments to date			1,191.06	10.45	11.09	1,264 00	72.94 LT			
1,487 569	Reinvestments to date			16,351.48	10 992	11 09	16,497.14	145 66 ST			
30,671.313	**Tax-based Cost vs Current Value**			**317,542 54**	**10 353**		**340,144 86**	**22,602.32**		**4.932**	**16,777.20**

Mutual funds *continued*

Number of shares	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/ Decrease	Yield	Anticipated income (annualized)
	PIMCO FDS - EMERGING MARKETS BOND P	PEMPX									
	Total Purchases vs. Current Value			**$ 300,000 00**			**$ 340,144.86**		**$ 40,144 86**		
	Fund Value Increase/Decrease								**40,144 86**		
Total mutual funds (Tax based)				**$ 1,544,142.25**			**$ 1,743,564.92**	**$ 1,474.75 ST** **$ 197,947.92 LT**		**3 66**	**$ 63,819 99**
Total Fund Value Increase/Decrease									**$ 291,117 47**		
Total portfolio value				**$ 1,549,262.15**			**$ 1,748,684.82**	**$ 1,474.75 ST** **$ 197,947.92 LT**		**3.64**	**$ 63,823 06**

TRANSACTION DETAILS

All transactions appearing are based on trade-date.

Investment activity

Date	Activity	Description	Quantity	Price	Amount
03/01/11	Reinvest	DREYFUS HIGH YIELD FUND CL A WITHDRAWAL, PENDING REINVEST			$ -506 54
03/01/11	Reinvest	DREYFUS HIGH YIELD FUND CL A REINVESTMENT SHS FOR 02/28/11 REINVESTED AMOUNT $506.54	74 601	6.79	0.00
03/01/11	Reinvest	LORD ABBETT HIGH YIELD FUND CL A WITHDRAWAL, PENDING REINVEST			-534.56
03/01/11	Reinvest	LORD ABBETT HIGH YIELD FUND CL A REINVESTMENT SHS FOR 02/28/11 REINVESTED AMOUNT $534 56	67 072	7.97	0 00
03/01/11	Reinvest	NEUBERGER BERMAN HIGH INCOME BD FD INST'L CL. WITHDRAWAL, PENDING REINVEST			-525.47
03/01/11	Reinvest	NEUBERGER BERMAN HIGH INCOME BD. FD INST'L CL REINVESTMENT SHS FOR 02/28/11 REINVESTED AMOUNT $525 47	54 908	9.57	0 00
03/01/11	Reinvest	PIMCO UNCONSTRAINED BOND FUND CLASS P WITHDRAWAL, PENDING REINVEST			-954 71

HEALTHCARE FOUNDATION — ***Account number 602-71865-16 531***

SEPARATELY MANAGED ACCOUNTS

Consulting Group Research (CGR) rating codes (FL, AL or NL) may be shown for certain separate account managers. Please refer to the "Guide to Investment Ratings" at the end of this statement for a description of these rating codes. All research ratings represent the "opinions" of CGR and are not representations or guarantees of performance.

	Rating		Rating
AEW Capital Management - Reits	AL		

PORTFOLIO DETAILS

Your holdings are valued using the most current prices available to Citigroup Global Markets Inc. (CGMI). In most cases, these values are as of 03/31/11, but in some cases CGMI's sources are unable to provide timely information. To see the date of the most recent price update, please view your account online at www.smithbarney.com.

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold
Please Note: *unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Money fund

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency. Although money market funds seek to preserve the value of your investment at $1.00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall. Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below $1.00 per share. In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders This liquidation process could take up to one month or more During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account.

Number of shares	Description	Current value	Accrued dividends	Annualized % dividend yield	Anticipated Income (annualized)
17,978.19	WESTERN ASSET MONEY MARKET FUND CLASS A	**$ 17,978.19**		**.06%**	**$ 10.78**
Total money fund		**$ 17,978.19**	**$ 0.00**	**.05%**	**$ 10.78**

Common stocks & options

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
320	AMB PROPERTY CORP	AMB	10/13/09	$ 7,143 97	$ 22 324	$ 35 97	$ 11,510.40	$ 4,366.43 LT		
183			01/29/10	4,479.11	24.476	35.97	6,582.51	2,103.40 LT		

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
97	AMB PROPERTY CORP	AMB	06/25/10	$ 2,451 77	$ 25.276	$ 35.97	$ 3,489 09	$ 1,037 32 ST		
101			01/31/11	3,375 23	33 418	35 97	3,632.97	257 74 ST		
132			02/24/11	4,622 07	35 015	35 97	4,748.04	125 97 ST		
833				**22,072 15**	**26.497**		**29,963 01**	**7,890 86**	**3 113**	**932.96**
56	ALEXANDRIA REAL EST EQUITIES	ARE	10/21/09	3,028 86 *R*	54 406	77 97	4,366 32	1,337 46 LT		
27			12/14/09	1,593.19 *R*	59 325	77 97	2,105.19	512 00 LT		
64			02/16/10	3,848 41 *R*	60 37	77 97	4,990 08	1,141.67 LT		
30			09/29/10	2,081 41 *R*	69 46	77 97	2,339 10	257 69 ST		
37			12/28/10	2,724.78	73 642	77.97	2,884 89	160 11 ST		
214				**13,276 65**	**62.04**		**16,685 58**	**3,408 93**	**2 308**	**385 20**
245	AMERICAN ASSETS TRUST INC	AAT	01/31/11	5,234 94	21.367	21 27	5,211 15	(23 79) ST		
127			02/24/11	2,682 74	21 123	21 27	2,701 29	18 55 ST		
372				**7,917.68**	**21.284**		**7,912 44**	**(5 24)**	**3 196**	**252.96**
164	AMERICAN CAMPUS CMNTYS INC	ACC	08/30/10	4,892.15 *R*	30.118	33 00	5,412 00	519 85 ST		
82			02/24/11	2,643.27	32.235	33 00	2,706 00	62 73 ST		
87			03/21/11	2,771.52	31 856	33 00	2,871.00	99 48 ST		
333				**10,306.94**	**30 952**		**10,989 00**	**682 06**	**4.09**	**449 55**
264	AVALONBAY CMNTYS INC	AVB	10/13/09	19,127 96	72.454	120 08	31,701 12	12,573 16 LT		
121			01/29/10	9,432 56	77 955	120 08	14,529 68	5,097 12 LT		
42			02/16/10	3,190.53	75 965	120 08	5,043 36	1,852.83 LT		
27			03/18/10	2,414.59	89 429	120 08	3,242 16	827 57 LT		
454				**34,165.64**	**75.255**		**54,516 32**	**20,350 68**	**2 331**	**1,271 20**
657	BIOMED REALTY TRUST INC	BMR	10/13/09	8,210 17 *R*	12 708	19 02	12,496.14	4,285 97 LT		
331			01/29/10	4,811 05 *R*	14 697	19.02	6,295.62	1,484 57 LT		
988				**13,021.22**	**13.179**		**18,791.76**	**5,770 54**	**4 206**	**790.40**
368	BOSTON PROPERTIES INC	BXP	10/13/09	23,341.08 *R*	64 259	94 85	34,904 80	11,563 72 LT		
190			01/29/10	12,346.17 *R*	65.604	94.85	18,021.50	5,675 33 LT		
558				**35,687 25**	**63 956**		**52,926.30**	**17,239 05**	**2.108**	**1,116 00**
302	BROOKFIELD PROPERTIES CORP-CAD	BPO	10/20/10	5,301.37 *R*	17.694	17 72	5,351 44	50.07 ST		
307			12/02/10	5,154.47	16 789	17.72	5,440.04	285 57 ST		
609				**10,455.84**	**17 169**		**10,791 48**	**335 64**	**3 16**	**341 04**
236	CAMDEN PROPERTY TRUST SBI	CPT	10/13/09	8,943.80 *R*	38.127	56 82	13,409 52	4,465 72 LT		
176			01/29/10	6,919.44 *R*	39 545	56 82	10,000 32	3,080 88 LT		
55			09/29/10	2,590 58 *R*	47 216	56 82	3,125.10	534 52 ST		
467				**18,453.82**	**39.516**		**26,534 94**	**8,081.12**	**3.449**	**915 32**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
407	CAMPUS CREST COMMUNITIES INC	CCG	10/20/10	$ 5,132.39	$ 12.61	$ 11.83	$ 4,814.81	($ 317.58) ST		
172			02/24/11	2,321.40	13.496	11.83	2,034.76	(286 64) ST		
579				**7,453.79**	**12 874**		**6,849 57**	**(604 22)**	**5.409**	**370.56**
308	CORESITE RLTY CORP	COR	09/29/10	**4,969 76**	16 135	15 84	**4,878 72**	**(91 04)** ST	**3.282**	**160 16**
147	CORPORATE OFFICE PROPERTIES TR SBI (MD)	OFC	12/02/10	**5,065 71** *R*	34.594	36.14	**5,312 58**	**246 87** ST	**4 565**	**242.55**
147	DCT INDUSTRIAL TRUST INC	DCT	10/13/09	715.22 *R*	5.008	5 55	815 85	100 63 LT		
300			01/07/10	1,590.33 *R*	5.408	5.55	1,665.00	74.67 LT		
1,021			01/29/10	5,055 59 *R*	5.058	5.55	5,666.55	610.96 LT		
1,468				**7,361 14**	**5 014**		**8,147.40**	**786.26**	**5.045**	**411.04**
977	DEVELOPERS DIVERSIFIED RLTY CP CORP	DDR	07/21/10	10,021.28 *R*	10.277	14 00	13,678.00	3,656.72 ST		
178			12/02/10	2,348.94	13.196	14.00	2,492.00	143 06 ST		
1,155				**12,370.22**	**10 71**		**16,170.00**	**3,799 78**	**1.142**	**184 80**
112	DIGITAL REALTY TR INC	DLR	10/13/09	5,124 84	45 757	58.14	6,511 68	1,386 84 LT		
79			01/29/10	3,800 69	48 11	58.14	4,593 06	792.37 LT		
191				**8,925 53**	**46.731**		**11,104 74**	**2,179 21**	**4 678**	**519.52**
469	DUPONT FABROS TECHNOLOGY INC	DFT	10/13/09	7,693.48	16 404	24 25	11,373.25	3,679.77 LT		
78			10/21/09	1,281.94	16.435	24.25	1,891.50	609 56 LT		
222			01/29/10	3,717.61	16 746	24 25	5,383 50	1,665 89 LT		
111			10/20/10	2 654 37	23.913	24.25	2.691 75	37 38 ST		
880				**15,347.40**	**17 44**		**21,340 00**	**5,992.60**	**1 979**	**422 40**
185	ENTERTAINMENT PPTYS TR SBI	EPR	10/13/09	5,988.50 *R*	33.975	46.82	8,661.70	2,673 20 LT		
83			01/29/10	2,799.29 *R*	34 93	46.82	3,886.06	1,086.77 LT		
51			02/16/10	1,738.31 *R*	35.288	46.82	2,387.82	649.51 LT		
66			05/21/10	2,583.25 *R*	39 942	46.82	3,090 12	506 87 ST		
48			12/02/10	2,236.87	46.601	46 82	2,247 36	10.49 ST		
433				**15,346 22**	**35 442**		**20,273 06**	**4,926 84**	**5.98**	**1,212 40**
140	EQUITY LIFESTYLE PROPERTIES INC	ELS	10/13/09	6,192 20	44 23	57.65	8,071 00	1,878 80 LT		
39			01/29/10	1,905 93	48 87	57.65	2,248 35	342 42 LT		
71			07/21/10	3,552 95	50 041	57.65	4,093.15	540.20 ST		
250				**11,651 08**	**46 604**		**14,412 50**	**2,761 42**	**2.601**	**375 00**
855	EQUITY RESIDENTIAL	EQR	10/13/09	25,252.60	29 535	56 41	48,230 55	22,977 95 LT		
43			01/07/10	1,458.04	33 908	56 41	2,425.63	967 59 LT		
452			01/29/10	14,687.79	32.495	56 41	25,497 32	10,809 53 LT		
1,350				**41,398 43**	**30 666**		**76,153 50**	**34,755 07**	**2 393**	**1,822 50**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
19	ESSEX PROPERTY TRUST INC	ESS	01/07/10	$ 1,599 80 R	$ 84 692	$ 124 00	$ 2,356.00	$ 756 20 LT		
49			01/29/10	3,960.68 R	81 20	124 00	6,076 00	2,115.32 LT		
68				**5,560.48**	**81 772**		**8,432.00**	**2,871 52**	**3.354**	**282 88**
782	EXTRA SPACE STORAGE INC	EXR	10/13/09	8,121.86	10 386	20 71	16,195 22	8,073 36 LT		
468			01/29/10	5,324.90	11 378	20.71	9,692 28	4,367.38 LT		
1,250				**13,446 76**	**10 757**		**25,887 50**	**12,440 74**	**2 704**	**700 00**
237	FEDERAL REALTY INVT TR SBI-NEW	FRT	10/13/09	14,251.09 R	60 237	81 56	19,329 72	5,078 63 LT		
124			01/29/10	8,083.12 R	65.266	81 56	10,113 44	2,030.32 LT		
28			09/29/10	2,290 35 R	81 825	81.56	2,283.68	(6 67) ST		
389				**24,624.56**	**63 302**		**31,726 84**	**7,102 28**	**3.285**	**1,042.52**
224	FIRST POTOMAC REALTY TRUST	FPO	10/13/09	2,542 92 R	11 589	15 75	3,528.00	985.08 LT		
270			01/29/10	3,573 49 R	13 472	15 75	4,252 50	679.01 LT		
159			05/21/10	2,230.23 R	14.145	15.75	2,504 25	274 02 ST		
284			12/02/10	4,600 26	16 198	15 75	4,473 00	(127 26) ST		
147			12/28/10	2,515 55	17 112	15 75	2,315 25	(200 30) ST		
1,084				**15,462 45**	**14 264**		**17,073 00**	**1,610 55**	**5 079**	**867.20**
652	HCP INC (NEW)	HCP	10/13/09	18,657.26 R	29.288	37 94	24,736 88	6,079 62 LT		
321			01/29/10	9,028 16 R	28.798	37.94	12,178 74	3,150 58 LT		
142			06/25/10	4,572 62 R	32 538	37.94	5,387.48	814.86 ST		
98			12/28/10	3,621.05	36 949	37 94	3,718.12	97.07 ST		
1,213				**35,879 09**	**29 579**		**46,021 22**	**10,142.13**	**5 06**	**2,328 96**
22	HEALTH CARE REIT INC	HCN	10/13/09	910.10 R	43 23	52.44	1,153.68	243.58 LT		
28			10/21/09	1,195 20 R	44.548	52 44	1,468.32	273 12 LT		
39			12/14/09	1,726 10 R	45 999	52 44	2,045 16	319.06 LT		
62			01/29/10	2,592 18 R	43 55	52 44	3,251 28	659.10 LT		
53			10/20/10	2,698 20 R	51.348	52 44	2,779 32	81.12 ST		
51			03/21/11	2,651.89	51 997	52 44	2,674 44	22 55 ST		
255				**11,773 67**	**46.171**		**13,372 20**	**1,598 53**	**5 263**	**703 80**
1,053	HOST HOTELS & RESORTS INC	HST	10/13/09	11,898 06	11 299	17 61	18,543 33	6,645.27 LT		
259			10/21/09	2,816 47	10.874	17.61	4,560 99	1,744 52 LT		
43			11/06/09	449.69	10.458	17.61	757.23	307.54 LT		
689			01/29/10	7,424.73	10.776	17 61	12,133.29	4,708.56 LT		
198			05/21/10	2,761 51	13 947	17.61	3,486.78	725 27 ST		
2,242				**25,350 46**	**11 307**		**39,481.62**	**14,131 16**	**454**	**179 36**

HEALTHCARE FOUNDATION **Account number 602-71865-16 531**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
450	KILROY REALTY CORPORATION	KRC	10/13/09	$ 11,288 69 *R*	$ 26.486	$ 38.83	$ 17,473.50	$ 6,184.81 LT		
230			01/29/10	6,478.18 *R*	29.216	38 83	8,930 90	2,452.72 LT		
680				**17,766 87**	**26.128**		**26,404 40**	**8,637 53**	**3.605**	**952.00**
498	KITE REALTY GROUP TRUST	KRG	10/13/09	1,847.26 *R*	3.944	5.31	2,644.38	797 12 LT		
429			01/07/10	1,803 88 *R*	4.381	5.31	2,277.99	474.11 LT		
565			01/29/10	2,040.13 *R*	3.787	5.31	3,000.15	960.02 LT		
1,492				**5,691.27**	**3 815**		**7,922.52**	**2,231.25**	**4.519**	**358.08**
545	LIBERTY PROPERTY TRUST-SBI	LRY	10/13/09	16,864 20 *R*	31 349	32.90	17,930 50	1,066.30 LT		
48			01/07/10	1,543.30 *R*	32 558	32.90	1,579 20	35.90 LT		
256			01/29/10	7,842 03 *R*	30.937	32.90	8,422 40	580.37 LT		
849				**26,249 53**	**30.918**		**27,932.10**	**1,682 57**	**5.775**	**1,613 10**
304	MACERICH COMPANY	MAC	10/13/09	8,696 83	28.608	49.53	15,057.12	6,360.29 LT		
8			11/12/09	241 28	30.16	49.53	396 24	154.96 LT		
76			12/14/09	2,413.52	31.756	49 53	3,764.28	1,350.76 LT		
182			01/29/10	5,665.21	31.127	49 53	9,014.46	3,349 25 LT		
10			02/16/10	385 33	38.533	49 53	495 30	109 97 LT		
69			06/25/10	2,745.65	39 792	49.53	3,417.57	671.92 ST		
63			10/20/10	2,858 05	45 365	49 53	3,120.39	262.34 ST		
712				**23,005.87**	**32 312**		**35,265.36**	**12,259 49**	**4 037**	**1,424.00**
31	NATIONAL RETAIL PROPERTIES INC	NNN	10/13/09	623.13 *R*	20 455	26 13	810.03	186.90 LT		
93			01/29/10	1,859 57 *R*	20.35	26.13	2,430.09	570.52 LT		
108			03/18/10	2,531.72 *R*	23 708	26 13	2,822 04	290.32 LT		
95			08/30/10	2,276.27 *R*	24.05	26 13	2,482 35	206 08 ST		
97			03/21/11	2,427.76	25.028	26.13	2,534.61	106.85 ST		
424				**9,718 45**	**22.921**		**11,079.12**	**1,360.67**	**5 817**	**644.48**
394	NATIONWIDE HEALTH PPTYS INC	NHP	10/13/09	12,188.94 *R*	31.178	42.53	16,756.82	4,567.88 LT		
285			01/29/10	9,467.62 *R*	33.437	42.53	12,121.05	2,653.43 LT		
66			03/18/10	2,362.30 *R*	35.957	42.53	2,806.98	444.68 LT		
62			07/21/10	2,235 45 *R*	36 167	42.53	2,636.86	401 41 ST		
119			12/02/10	4,209 98	35.378	42.53	5,061.07	851 09 ST		
926				**30,464 29**	**32 899**		**39,382 78**	**8,918.49**	**4.514**	**1,777.92**
648	OMEGA HEALTHCARE INVESTORS INC	OHI	10/13/09	9,607 69 *R*	15.498	22 34	14,476.32	4,868.63 LT		
305			01/29/10	5,625 50 *R*	18.898	22.34	6,813.70	1,188.20 LT		
953				**15,233.19**	**15 984**		**21,290 02**	**6,056.83**	**6.624**	**1,410 44**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
51	PEBBLEBROOK HOTEL TRUST	PEB	12/14/09	$ 1,071 56	$ 21.01	$ 22 15	$ 1,129 65	$ 58 09 LT		
159			02/16/10	3,208.25	20.177	22 15	3,521.85	313 60 LT		
286			07/21/10	4,874 70	17 044	22 15	6,334.90	1,460 20 ST		
496				**9,154 51**	**18.457**		**10,986 40**	**1,831 89**	**2 167**	**238 08**
400	PIEDMONT OFFICE REALTY TRUST	PDM	02/16/10	**6,402.36** *R*	16.397	19 41	**7,764 00**	**1,361.64** LT	**6 491**	**504 00**
310	PUBLIC STORAGE (MD)	PSA	10/13/09	21,985 57	70 921	110 91	34,382.10	12,396.53 LT		
144			01/29/10	11,539 32	80 134	110 91	15,971.04	4,431 72 LT		
27			08/30/10	2,647 58	98.058	110 91	2,994 57	346.99 ST		
20			02/24/11	2,187.78	109.389	110 91	2,218 20	30 42 ST		
501				**38,360 25**	**76 567**		**55,565 91**	**17,205 66**	**2 885**	**1,603 20**
475	RAMCO GERSHENSON PROPERTIES	RPT	05/21/10	5,128 76 *R*	10 952	12 53	5,951 75	822.99 ST		
201			10/20/10	2,404 62 *R*	12 015	12.53	2,518 53	113 91 ST		
676				**7,533 38**	**11 144**		**8,470 28**	**936 90**	**13 407**	**1,135 68**
452	REGENCY CENTERS CORP	REG	10/13/09	14,837.12 *R*	34 046	43.48	19,652 96	4,815 84 LT		
43			10/21/09	1,485 52 *R*	35.767	43.48	1,869.64	384.12 LT		
156			01/29/10	5,139 10 *R*	34.016	43.48	6,782.88	1,643 78 LT		
651				**21,461.74**	**32 967**		**28,305 48**	**6,843 74**	**4 875**	**1,380 12**
308	RETAIL OPPORTUNITY INVESTMENTS CORP	ROIC	10/13/09	3,158.54 *R*	10 295	10.94	3,369 52	210.98 LT		
415			03/18/10	4,284 46 *R*	10 364	10 94	4,540.10	255 64 LT		
723				**7,443 00**	**10 295**		**7,909.62**	**466 62**	**2 925**	**231.36**
595	SIMON PPTY GROUP INC NEW	SPG	10/13/09	40,197 98 *R*	67 728	107.16	63,760 20	23,562 22 LT		
5			11/16/09	376.64 *R*	75.493	107 16	535.80	159 16 LT		
293			01/29/10	21,402 21 *R*	73 214	107 16	31,397.88	9,995 67 LT		
24			02/16/10	1,754.65 *R*	73.28	107 16	2,571.84	817.19 LT		
26			03/18/10	2,232.06 *R*	85.978	107.16	2,786.16	554 10 LT		
32			07/21/10	2,655.92 *R*	83 088	107.16	3,429 12	773.20 ST		
33			09/29/10	3,079.17 *R*	93.36	107 16	3,536.28	457 11 ST		
30			12/28/10	2,975.74	99.191	107.16	3,214 80	239.06 ST		
1,038				**74,674 37**	**71.941**		**111,232 08**	**36,557 71**	**2 986**	**3,321 60**
135	STARWOOD HOTELS & RESORTS WORLDWIDE INC -NEW	HOT	10/13/09	4,493.62	33 286	58 12	7,846.20	3,352 58 LT		
72			01/29/10	2,427.12	33.71	58.12	4,184 64	1,757.52 LT		
52			08/30/10	2,394 97	46 057	58 12	3,022 24	627.27 ST		
41			12/28/10	2,493.52	60.817	58.12	2,382.92	(110.60) ST		
300				**11,809 23**	**39.364**		**17,436.00**	**5,626.77**	**.722**	**126 00**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
362	VORNADO REALTY TR SBI	VNO	10/13/09	$ 22,334.50 R	$ 61 732	$ 87.50	$ 31,675.00	$ 9,340.50 LT		
1			11/10/09	68.73 R	68.77	87.50	87 50	18 77 LT		
176			01/29/10	11,533.92 R	65 568	87 50	15,400.00	3,866.08 LT		
24			01/31/11	2,115.12	88 13	87 50	2,100.00	(15.12) ST		
563				36,052.27	64 036		49,262 50	13,210 23	3 154	1,553.88
Total common stocks and options				**$ 768,364.52**			**$ 1,061,955.85**	**$ 16,757.92 ST**	**3.44**	
								$ 276,833.41 LT		**$ 36,554.22**
Total portfolio value				**$ 786,342.71**			**$ 1,079,934.04**	**$ 16,757.92 ST**	**3.38**	**$ 36,565.00**
								$ 276,833.41 LT		

R The basis for this tax lot has been adjusted due to a reclassification of income

TRANSACTION DETAILS *All transactions appearing are based on trade-date*

Investment activity

Date	Activity	Description	Quantity	Price	Amount
03/21/11	Bought	AMERICAN CAMPUS CMNTYS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	87	$ 31 8565	$ -2,771 52
03/21/11	Sold	CAMDEN PROPERTY TRUST SBI MorganStanley SmithBarney LLC acted as your agent in this transaction	-42	56 636	2,378.66
03/21/11	Bought	HEALTH CARE REIT INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	51	51.9978	-2,651.89
03/21/11	Bought	NATIONAL RETAIL PROPERTIES INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	97	25.0285	-2,427.76
Total securities bought and other subtractions					**$ -7,851 17**
Total securities sold and other additions					**$ 2,378 66**

HEALTHCARE FOUNDATION

Account number 602-72178-16 531

PORTFOLIO DETAILS

Your holdings are valued using the most current prices available to Citigroup Global Markets Inc. (CGMI). In most cases, these values are as of 03/31/11, but in some cases CGMI's sources are unable to provide timely information. To see the date of the most recent price update, please view your account online at www.smithbarney.com.

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.

Please Note: *unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Alternative Investments

The value and prices assigned to the investments are estimates based on available information typically received from the funds or other sources. These values and prices may not be realized upon the sale or ultimate disposition of the investment and may not reflect more recent market volatility Citigroup Global Markets Inc. (CGMI) does not maintain custody of these investments. They are listed below solely as a service to the customer and are not covered by SIPC If your investment is held in "nominee name" at your request, CGMI is the owner of record on the books and records of the issuer. The issuer will only recognize CGMI as the owner of the investment for all purposes including, but not limited to, communications, capital calls and distributions CGMI is not required to take any action with respect to your investment unless valid instructions are received from you in a timely manner Some positions reflected on your statement may not represent interests in the fund, but rather redemption proceeds withheld by the issuer pending final valuations which are not subject to the investment performance of the fund and may or may not accrue interest for the length of the withholding.

Cash distributions from these investments are categorized as "other income" herein and will not be reported as taxable income on your year-end Form 1099 You will receive either a Schedule K-1 or such other documentation from the fund each year for use in preparing your annual tax return. Cash distributions that are classified as income may in fact be a return of principal as a result of a sale of assets, particularly private equity and real estate funds. For more information regarding the source of a cash distribution, please consult the fund's investor reports, investor services or year-end tax report.

Due to delays in the availability of the quarterly estimated values for private equity and real estate funds and for certain hedge and fixed income alternatives that report off cycle quarterly estimated values, this report could contain valuation information, specifically valuation dates, that are inconsistent with other holdings and reflect your contributions and distributions subsequent to the valuation dates, if any, as opposed to the actual valuation of underlying assets, which in most cases is going to be prior to the "as of" date on your statement.

The service on those investments designated "(RPTG ONLY)" is limited to performance reporting only No investment advice or research is provided. If you have any questions, please contact your Financial Advisor.

Hedge Funds and Funds of Hedge Funds	Commitment/ Aggregate Investment	No of Units	NAV	As of	Estimated value	Estimated value + Distributions + Redemptions
PA STABLE VALUE FD LTD (3C1)	$ 600,000			02/28/11	$ 642,964	$ 642,964
Total Alternative Investments					**$ 642,964.00**	

Alternative Investments

The value and prices assigned to the investments are estimates based on available information typically received from the funds or other sources These values and prices may not be realized upon the sale or ultimate disposition of the investment and may not reflect more recent market volatility Citigroup Global Markets Inc. (CGMI) does not maintain custody of these investments They are listed below solely as a service to the customer and are not covered by SIPC If your investment is held in "nominee name" at your request, CGMI is the owner of record on the books and records of the issuer The issuer will only recognize CGMI as the owner of the investment for all purposes including, but not limited to, communications, capital calls and distributions. CGMI is not required to take any action with respect to your investment unless valid instructions are received from you in a timely manner Some positions reflected on your statement may not represent interests in the fund, but rather redemption proceeds withheld by the issuer pending final valuations which are not subject to the investment performance of the fund and may or may not accrue interest for the length of the withholding.

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Due to delays in the availability of the quarterly estimated values for private equity and real estate funds and for certain hedge and fixed income alternatives that report off cycle quarterly estimated values, this report could contain valuation information, specifically valuation dates, that are inconsistent with other holdings and reflect your contributions and distributions subsequent to the valuation dates, if any, as opposed to the actual valuation of underlying assets, which in most cases is going to be prior to the "as of" date on your statement.

The service on those investments designated "(RPTG ONLY)" is limited to performance reporting only. No investment advice or research is provided. If you have any questions, please contact your Financial Advisor.

Hedge Funds and Funds of Hedge Funds	Commitment/ Aggregate Investment	No of Units	NAV	As of	Estimated value	Estimated value + Distributions + Redemptions
PRIV ADV HED EQTY QP LTD CL A	$ 600,000			02/28/11	$ 657,276	$ 657,276
Total Alternative Investments					**$ 657,276.00**	

Please notify your Financial Advisor if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your Investment Advisory accounts, or to reasonably modify existing restrictions.

For a copy of the applicable SEC Form ADV Disclosure Document for Morgan Stanley Smith Barney LLC, or for any Investment Adviser with whom we contract to manage your investment advisory account, please contact your Financial Advisor. These Disclosure Documents contain important information about advisory programs

Message: *Important Information For Advisory Clients*
We are notifying you that Morgan Stanley Smith Barney's new ADV Part 2A, and any applicable new ADV Part 2A for the managers and/or sub-managers servicing your account, will be available beginning March 31, 2011 in PDF format for you to review at www.smithbarney.com/ADV Please visit this website and read these disclosure documents carefully. To view or print these documents you will need to obtain the Adobe Reader, available free of charge at Adobe's website www.adobe com. You also may, without revoking your consent to electronic delivery, ask your Financial Advisor for a paper copy of these documents.

THE HEALTHCARE FOUNDATION FOR ORANGE COUNTY

Grant Application

Thank you for downloading our grant application form. Grants are limited to applicants located in or having programs operating within the Foundation's primary service area of Santa Ana, Tustin, Orange and Anaheim, or which otherwise serve populations previously served by United Western Medical Center's (UWMC) hospitals. To qualify for a grant your organization must be a 501(c)(3) non-profit organization.

Funds for our annual grantmaking activities draw on the proceeds of the sale of United Western Medical Centers to Tenet, and are intended to continue the charitable legacy of those hospitals, and their nonprofit community partners, in central Orange County. In addition, a portion of our grantmaking comes from charitable remainder trusts, gifts and bequests of generous individuals and families, who want their charitable giving to improve the health of County residents. The Foundation also provides stewardship for grants from other foundations, dedicated to improving health services in Orange County. The Foundation seeks to support efforts of community-based organizations to foster better health of the population – particularly of children, adolescents and families – in Central Orange County. Additional information can be found in the current Request for Proposals (RFP), located on our website.

Directions

To apply for a grant, complete this Microsoft Word form, save it to your computer, and then print and verify your information. To move from field-to-field, use Tab and Shift-Tab.

When entering dollar amounts, use commas and prefix with a dollar sign (e.g., $0,000).

Once you are ready to submit your application to HFOC, return to our home page at www.hfoc.org and click APPLY FOR A GRANT. Fill out the online form, attach your document, and click the Submit button. Your application will be submitted to HFOC automatically.

Remember: Print and Verify Your Information before Submitting

If you have a question, please go to www.hfoc.org and choose Contact Us to send a question.

Sincerely,

The HealthCare Foundation for Orange County

THE HEALTHCARE FOUNDATION FOR ORANGE COUNTY

HealthCare for Orange County Funds

The HealthCare Foundation for Orange County is entrusted with funds from a number of sources. Choose the fund that best suites your project. ***Please check only one.***

☐ Healthy Orange County – This fund is for community-based non-profit organizations or collaboratives addressing specific health priorities in Orange County.

☒ Gold Fund for Health – This fund is used to increase access to information, early detection and treatment services for breast and cervical cancer among underserved women in Orange County.

Organization Information

Organization Legal Name:	Vietnamese American Cancer Foundation
Federal Tax ID Number:	91-2170415
Executive Director:	Tanh "Tom" Phan
Address:	17150 Newhope St., #502
City, State, Zip:	Fountain Valley, CA 92708
Telephone:	714-751-5805
Fax:	714-751-5824
E-mail:	info@vacf.org
Website:	www.vacf.org
Parent Organization:	
Subsidiaries:	
Number of Board Members:	5
Full-time employees:	2
Part-time employees:	2
Contractors:	
Fiscal Sponsor:	
Number of locations:	1
Primary County:	Orange County
Cities Served:	☒ Tustin ☒ Santa Ana ☒ Anaheim ☒ Orange Other Cities: Garden Grove, Westminster, Stanton, etc.
Tax Status:	☒ 501(c)(3) and: ☐ 509(a)(1) ☐ 509(a)(2) ☐ 509(a)(3)
Annual Operating Budget:	[$267,953]

Primary Organization's Mission:

The Vietnamese American Cancer Foundation (VACF) is an independent 501(c)(3) nonprofit organization dedicated to preventing cancer and improving quality of life through cancer education, research, advocacy, and services in the Vietnamese American community.

Founded in 2002 by community leaders and physicians in response to the lack of cancer awareness and services in the Vietnamese American community, VACF provides patient assistance services to help our clients navigate the complex health care system and advocate for better cancer care.

Over the years, we have developed programs and services aimed at increasing screening rates, education, and access to cancer/cancer-related services in our community that did not exist prior to our establishment.

1) Women's Health Programs: VACF staff and volunteers assist an average of about 200 women to receive free/low-cost breast and cervical cancer screenings each year. We help guide disadvantaged women through the complex health care system and link them to the necessary breast and cervical health services, including psychological, financial, or transportation resources.

2) Weekly Cancer Education Radio Show: Aired on Little Saigon Radio (AM-1480) every Thursday, from 5:25pm to 6:00pm, Dr. Bich Lien Nguyen (Chair and Founder) and guest speakers inform the community about the latest cancer information and treatment options. The radio station estimates 50,000-120,000 listeners during peak hours.

3) Hepatitis Screening and Educational Program: Vietnamese are considered a "high-risk" group for hepatitis B and C viral infection, with an infection rate about 10 times higher than the general population. We developed an extensive hepatitis and liver cancer program where we have screened over 2,750 high-risk individuals for viral hepatitis over the last six years.

4) General Patient Navigation: Clients also call us for help and referral to resources for other cancers such as lung, ovarian, leukemia, or colorectal. VACF's staff links clients to affordable services and provides help with language interpretation when needed.

5) Steps of Hope Celebration: A celebratory dinner for cancer survivors and their loved ones. This event attracts an average of 450 people each year. In light of the culture that traditionally keeps silent about cancer, this dinner is a bold community event that encourages cancer survivors and their families to openly share their story of survival.

In a short amount of time VACF has gained much recognition for our services. In June 2008, VACF was one of five recipients of the CalOptima Safety Net Award in Honor of Mary K. Dewane, an honorable award given to organizations that support and contribute to Orange County's safety-net system. Furthermore, for four consecutive years, we have been voted as one of the "Top 5" nonprofit organizations that "makes the most difference in the Vietnamese American community" through the Golden Wave Award (2007-2010).

VACF works with a variety of community-based organizations, physicians, and other nonprofits to provide services to disadvantaged Vietnamese Americans. Thus, we have the means and expertise to coordinate services and find resources for our community.

Organizational Revenue & Expenses

Note: Please use comma-formatting for all dollars figures (e.g., $1,234).

Revenue:	Last Year	This Year	Next Year
1. Foundations/corporate giving	[$233,337]	[$195,571]	[$230,860]
2. Government grants	[$0]	[$0]	[$0]
3. Individual contributions	[$69,908]	[$57,607]	[$75,000]
4. In-kind contributions	[$0]	[$0]	[$0]
5. Earned income	[$0]	[$0]	[$0]
6. Other	[$573]	[$404]	[$0]
7. Total Revenue	**[$303,818]**	**[$253,582]**	**[$305,860]**

Expenses:

Personnel Expenses			
8. Consultants and professional fees	[$20,538]	[$19,909]	[$30,900]
9. Salaries and wages	[$154,935]	[$158,983]	[$157,248]
10. Benefits	[$37,135]	[$28,000]	[$29,827]
Non-personnel Expenses			
11. Capital equipment (computers, furniture, etc)	[$438]	[$333]	[$2,000]
12. Communication & media (including web)	[$25,578]	[$25,000]	[$24,100]
13. Materials & office supplies	[$7,654]	[$11,700]	[$7,000]
14. Other expenses*	[$12,404]	[$9,666]	[$28,000]
15. Leases/facility rental	[$22,595]	[$22,500]	[$21,600]
16. Subcontracts	[$0]	[$0]	[$0]
17. Training, conferences, meetings	[$575]	[$255]	[$1,500]
18. Travel and mileage	[$1,350]	[$607]	[$1,000]
19. Project evaluation/data collection	[$0]	[$0]	[$0]
20. Total Expenses	**[$283,202]**	**[$267,953]**	**[$303,175]**

THE HEALTHCARE FOUNDATION FOR ORANGE COUNTY

Proposal Information

☒ Existing Project ☐ New Project

Project Title:

The Promise: From Woman-to-Woman

Project Summary:

On behalf of low-income, uninsured Vietnamese women living in Orange County, VACF respectfully requests $9,500 from the HealthCare Foundation of Orange County (HFOC) to support our breast and cervical cancer program, The Promise: From Woman-to-Woman. We will utilize culturally-appropriate outreach strategies to target disadvantaged Vietnamese women and connect them to free/low-cost breast and cervical cancer screening, treatment, and/or support services.

Continual partnership and support from HFOC will ensure that VACF will have the resources to link at least 120 women, age 18 years and older, to free/low-cost breast and cervical health services. We will also conduct eight educational radio shows outreaching to at least 50,000 listeners to educate them on how they can take an active role to prevent breast and cervical cancer. In addition, we will conduct eight breast and cervical health workshops at community clinics, beauty colleges, and other community locations to educate Vietnamese women about how they can personally engage in early detection and prevention.

VACF is one of few nonprofits where Vietnamese women trust to talk to about the sensitive topics of breast and cervical health. But due to the continuing economic recession, our main support for our breast cancer services in 2011 has been reduced by 1/3. Continuing partnership and financial support from HFOC is thus important to our community and will help to ensure that access to in-language, culturally-appropriate breast and cervical health services remain available to our underserved women.

Project Lead or Primary Contact Information:

First name: Tanh "Tom"

Last name: Phan

Title: Executive Director

Phone: 714-751-5805

Fax: 714-751-5824

E-mail: tanhphan@vacf.org

Address: 17150 Newhope St, Suite #502

City: Fountain Valley

State: CA

Zip Code: 92708

Project Description

Project Start Date: February 1, 2011

Project End Date: January 31, 2012

Proposed Approach

The Promise: From Woman-to-Woman consists of two main components: 1) Patient Navigation and care coordination for breast and cervical cancer health services; and 2) Community targeted outreach and education.

Patient Navigation and Care Coordination:

Our patient navigation consists of varying levels of assistance. In general, when women call in and ask for help, we refer or make the appointments for them to get free or low-cost mammograms, clinical breast exams, and/or Pap tests. If they request transportation or language interpretation, we either personally assist them or contact our partners to arrange for the services.

To ensure that our clients were linked to care, we follow-up with them about one month from the date of their appointment. Our follow-up contact rate is over 85%, and we continue to coordinate services for clients needing further diagnostic testing.

For patients already diagnosed with breast or cervical cancer, their request for assistance is often more involved. Most cancer patients call to request information about support groups, translated educational materials, wig banks, and linkage to financial support services, such as help with applying to Medi-Cal or other financial assistance. Those who are confused about their diagnosis call to request a one-on-one explanation about their medical condition. These patients are often frustrated at their current primary care physician or oncologist because they are not receiving the information needed to truly understand their diagnosis and treatment. We have a dedicated board member, Dr. Bich Lien Nguyen, who is a medical oncologist. In addition to her volunteer work on the board, she spends a substantial amount of volunteer time helping individual patients understand their diagnosis.

Our volunteer doctor personally communicates with the patient and advises the patient on what to ask, which tests to request, and what she should expect from treatment. She spends an average of 45 minutes with each client during these free consultation sessions. Since she is a breast specialist, women using fee-for-service or private health insurance would normally pay $400-$500 per consultation. This free service is thus a valuable resource to help bridge the gaps in breast care in our community and help save money for our underserved women.

For legal purposes, our volunteer doctor is not allowed to give "second opinions" or prognoses, but her guidance have empowered many patients to take responsibility for their health and advocate for better quality of care. Several patients that we helped have become some of our most dedicated volunteers and have helped VACF continue the mission of saving lives through their inspiring story of survival.

Our linguistically appropriate and culturally sensitive patient navigation component has been proven to help our low-income, underserved clients access needed breast and cervical health services. Last year, we helped our clients received 140 free mammograms, 166 free pap smears, and 74 free clinical breast exams, among other services. Just for the services mentioned, we have helped saved at least $18,020 for our low-income clients.

Community Outreach and Education:

The Vietnamese American community is blessed with strong ethnic media that reaches an average of 50,000-120,000 listeners during peak hours. Dr. Bich Lien Nguyen and other board members host VACF's weekly cancer educational radio shows aired on Little Saigon Radio (AM-1480) every Thursday, from 5:25 pm to 6:00 pm.

We invite guest speakers, physicians, cancer patients and survivors, and other community leaders to discuss various topics on cancer including current research, screening guidelines, and treatment. This has been one of the most effective strategies to break cultural barriers, de-mystify myths and inaccurate information, and encourage the community to take personal responsibility to learn about cancer and engage in early detection. As such, about 65% of clients who call VACF state that they heard about our organization through the Little Saigon Radio station.

We also publicize our services and programs through direct community outreach by participating in events such as Lunar New Year, Vietnamese Mother's Day, health fairs, and others. By participating in these events, VACF is able to distribute educational materials and recruit participants for our screenings.

By conducting education and outreach, we encourage and remind our community to take initiative and personal responsibility to engage in early detection and prevention of cancer. We have had many success stories from women finding breast or cervical cancer in the early stages. However, there are still many women who come to VACF with late diagnoses. We still have much work to do to decrease the morbidity and mortality rate that our women experience. With the increasing number of women seeking VACF's help, we know that we have built a strong foundation of trust for Vietnamese women.

Needs Addressed

Getting access to breast and cervical cancer is very important to our disadvantaged women because of the health disparity they experience from these cancers. Studies show that Vietnamese women get breast cancer about 10 years younger than non-Hispanic Whites (Phan JC and Lin AB, 2002). This is one possible reason that explains the disparity in the higher number of late stage diagnoses for Vietnamese women.

About 36% of Vietnamese women in Orange County have "never had a mammogram," compared to 21% non-Hispanic White women (OCHNA 2005 Breast Health Report). Another study shows that Vietnamese women under age 40 who are diagnosed with breast cancer have an increased mortality risk of 75% compared to non-Hispanic Whites (Komen/UCI Data Project, 2008).

Vietnamese women get cervical cancer at a rate that is five times higher than the general population (Nguyen TT, 2006). They also have one of the highest mortality rates from this cancer compared to other ethnic groups (McCracken et al., 2007). Despite their high risk of cervical cancer, Vietnamese American women have a low prevalence of Pap testing, thus missing the opportunity to detect this preventable cancer.

VACF serves the largest Vietnamese American population outside the country of Viet Nam (U.S. Census 2000 estimate 135,548 people, but due to possible under-counting, the community's estimate is at least 250,000 people). Since about 90% of the Vietnamese American population is foreign-born, there are many characteristics of the community that prevent Vietnamese women from receiving timely breast and cervical health services:

1) Vietnamese Americans experience a higher rate of poverty than the general population. According to the US Census 2000, per capita income for Vietnamese in Orange County was $15,434 compared to $31,110 for non-Hispanic Whites. About 15% of Vietnamese people live below poverty as opposed to 10% of the total Orange County population. Those who are not citizens had a poverty rate of 22 percent. Although there are government programs to help low-income women, such as the Cancer Detection Programs and Family PACT, these programs may not be familiar to limited-English speaking individuals.

2) Many Vietnamese American women lack adequate medical insurance since most are in service occupations (nail/hair technicians, seamstresses, and waitresses) that provide little to no

benefits. As a result, these women are not seeking timely preventive services and have difficulty in accessing affordable medical care.

3) Older Vietnamese physicians who originally studied in Viet Nam and received their license in America are not always consistent in screening women for breast and cervical cancer. A study shows that Vietnamese women who receive care from Vietnamese physicians are less likely to obtain Pap tests since the doctors are not well-trained in preventive care and may not recommend such tests (Lai KQ, et al, 2004).

There is a significant lack of cancer health information translated in the Vietnamese language. About 65% of Vietnamese Americans do not speak English well, and 45% are linguistically isolated (very little to no English comprehension) compared to 4.1% for the total U.S. population (Southeast Asian American Statistical Profile, 2004).

4) Recent Vietnamese American immigrants/refugees still hold to cultural values of modesty, self-sacrifice, and karma (the belief that disease is the result of past deeds) that prevent them from seeking women wellness services until conditions are unbearable. There are also many false beliefs among Vietnamese people. For example, a study in San Francisco reports that 39% of Vietnamese women believe poor hygiene causes breast and cervical cancer (Phan and Lin, 2002).

With multiple barriers to care, Vietnamese women in Orange County need a comprehensive breast and cervical cancer program that provides them with culturally appropriate health education and navigation.

References:

1. Phan JC and Lin AB. Breast cancer characteristics of Vietnamese women in the Greater San Francisco Bay Area. Western Journal of Medicine. March 2002; 176:87-91.

2. Orange County Health Needs Assessment. OCHNA 2005 Breast Health Report. Available online: http://www.ochna.org/publications/documents/Komen_2005BreastHealthReport_002.pdf. Accessed December 1, 2009.

3. Komen/UCI Data Project (2008). Orange County Affiliate of Susan G. Komen for the Cure. Available online: http://www.ockomen.com/site/c.mlI4IhNYJwE/b.4174303/k.4DCF/KomenUCI_Data_Project.htm. Accessed May 5, 2009.

4. Nguyen TT et al. Community-based participatory research increases cervical cancer screening among Vietnamese-Americans. Journal of Health Care for the Poor and Underserved. 2006;17(2 Suppl): 31-54.

5. McCracken et al. Cancer Incidence, Mortality, and Associated Risk Factors Among Asian Americans of Chinese, Filipino, Vietnamese, Korean, and Japanese Ethnicities. CA Cancer Journal for Clinicians. July/August 2007; 57:190-205.

6. Ky Q. Lai et al. Increasing Vietnamese-American physicians' knowledge of cervical cancer and pap testing: Impact of continuing medical education programs. Ethnicity and Disease. 2004; 14:122-127.

7. Southeast Asia Resource Action Center (SEARAC), 2004. Southeast Asian American Statistical Profile. Available online: http://www.searac.org/seastatprofilemay04.pdf. Accessed December 1, 2009.

Types or Amounts of Service to be Offered

Goal: To ensure that every Vietnamese woman has a fair chance at preventing breast and cervical cancer or living cancer free regardless of age, income, insurance status, or language proficiency.

Over the last 6 years, VACF has developed and fine-tuned our breast and cervical cancer program. Our dedicated staff and partners have assisted over 1000 disadvantaged women in the last 6 years.

Specifically, our program, The Promise: From Woman-to-Woman will conduct the following types of services:

Referral and Patient Navigation: We propose to assist, guide, and link a total of 120 low-income, uninsured Vietnamese American women, ages 18 and older, to needed free/low-cost breast and cervical cancer services through referral and direct patient navigation. For patients already diagnosed with breast or cervical cancer, we will provide more in-depth assistance to support them through their cancer survival journey.

In addition, for women requiring more intensive assistance, we serve as advocates and case managers to coordinate services, screenings, and available treatment options. Our volunteer physicians also get involve if a woman requests for a doctor to help her understand her cancer diagnosis and treatment and guide her through the health care system.

Community Outreach and Education: We propose to reach over 50,000 individuals in the general community to raise awareness and knowledge of the importance of early detection and prevention of breast and cervical cancer. About 65% of all calls to VACF are from individuals who heard our educational radio show, so it is a very effective means of outreach. For this current proposal, we will conduct eight (8) educational radio shows, and participate in four (4) outreach events and/or health fairs. Staff will be responsible for attending and coordinating with volunteers for all outreach events.

Aside from the radio, our experience shows that in-community education is an effective means of engaging the "hard to reach" population. Over the years, we have developed collaborative relationships with community clinics, beauty colleges, and other local businesses. We will partner will them to conduct eight (8) breast and cervical health workshops. These educational workshops vary in size, with those at clinics having about 5-10 participants and those at beauty colleges with a larger audience of 30-40 women. We have had success working with beauty colleges and cosmetology schools in the past and our partnership with them helps us to spread the message about early detection and work towards improving the health of our community.

We will measure the effectiveness of these workshops through pre- and post-surveys. On average, there is about a 5-10% increase in more correct answers from pre- to post-test. Women have also given us feedback that they really like our workshops because they know about breast self-examination, but they were never shown how to conduct it properly.

Outcome Measures

Describe the intended impact of this project in terms of number of people helped, how they are helped, and how their change in condition will be measured.

Objective #1 (Patient Navigation and Linkage to Care): By January 31, 2011, VACF will link at least 120 unduplicated women to free/low-cost breast and cervical health services. Through in-depth patient navigation and follow-up, we will help our clients access screenings, diagnostic services, cancer support, and other related services.

Objective #2 (Community Education and Outreach): By January 31, 2011, VACF will increase breast and cervical health knowledge for limited-English Vietnamese women by an average of 5-10% through 8 in-community workshops. The change in knowledge will be measured by the number of correct answers pre- and post-test. In addition, we will also increase awareness on breast and cervical cancer for over 50,000 individuals through 8 radio shows and 4 health fairs/community outreach events.

The Executive Director directly supports all program/project implementation. The Executive Director is responsible for the daily operations of the program, including staff management, implementation, process monitoring, and program evaluation.

VACF uses client in-take forms, detailed logs, and a patient database to document and monitor activities. We receive continual feedback from the clients we serve and utilize the information to inform program changes, make improvements, and develop new program strategies.

The number of clients served will be kept and recorded. Follow-up data allows us to document who we actually linked to care.

To track and evaluate our program's achievements, we will use the matrix below to measure our quarterly targets:

	2/1/11-4/30/11	5/1/11-7/31/11	8/1/11-10/31/11	11/1/11-1/31/12	Total
Objective 1:	30 clients	30 clients	30 clients	30 clients	120 clients
Objective 2:	2 workshop	2 workshops	2 workshops	2 workshop	8 workshops
Objective 3:	2 radio shows	2 radio shows	2 radio shows	2 radio shows	8 radio shows
	1 outreach	1 outreach	1 outreach	1 outreach	4 outreach

In addition to knowledge and behavioral change outcomes, we also anticipate that this program will help break cultural and language barriers as well. The combination of strategies will facilitate access to care and help women take initiative to seek breast and cervical cancer screening.

Conclusion

The treatment of cancer is highly effective when it is discovered in the early stages. Thus, prevention is the key to saving lives. We know that over the years, VACF's programs and efforts have increased knowledge, awareness, and changed the health attitudes and behaviors of the Vietnamese American community. We have had many success stories from clients who believed that VACF helped to save their life.

Project Sustainability

Will this project endure beyond the proposed funding period? If so, how, and what sources of funding will be utilized.

The Executive Director and Board of Directors will engage in ongoing fund development and community fundraising to sustain programs and services.

Some fund development strategies include:

1. Our major fundraising event occurs in the spring every year, drawing about 400-500 supporters. The annual dinner typically raises about $20,000-$30,000 for core operations.

2. VACF currently receives a small percentage from groceries sales of one local Asian supermarket. VACF is currently building similar relationships with other local supermarkets and businesses.

3. We have established a fund development plan and will lead in seeking and obtaining new funding sources. We are preparing to apply for several new grants for 2011.

4. VACF also has committed community support from Board members and individuals who donate a substantial amount of funds to support our organization. We utilize our website, newsletters and mailing, and donation boxes at some community events.

5. We are establishing a few new partnerships with businesses who are interested in donating to VACF on an ongoing basis. These connections were recently made through our board members. The amount is not large, but still significant. We will continue to develop these relationships.

THE HEALTHCARE FOUNDATION FOR ORANGE COUNTY

Project Budget

Budget Summary

Note: Please use comma-formatting for all dollars figures (e.g., $1,234).

Time period covered in months (must be less than 60): 12

Sources of Revenue for this Project

Funds requested from HFOC:	[$9,500]
Funds requested from other sources that will support this project:	[$99,556]
Total funds (add two lines above):	[$109,056]
Willing to accept partial funding:	☒

Note: Please use comma-formatting for all dollars figures (e.g., $1,234).

Personnel Expenses	Funds from HFOC	Funds from Other Sources	Total
1. Consultants and professional fees	[$0]	[$0]	[$0]
2. Salaries and wages	[$5,500]	[$61,476]	[$66,976]
3. Benefits	[$900]	[$12,495]	[$13,395]
Non-personnel Expenses			
4. Capital equipment (computers, furniture, etc)	[$0]	[$0]	[$0]
5. Communication & media (including website)	[$1,700]	[$3,980]	[$5,680]
6. Materials & office supplies	[$0]	[$1,875]	[$1,875]
7. Other expenses*	[$0]	[$9,000]	[$9,000]
8. Leases/facility rental	[$1,250	[$9,750]	[$11,000]
9. Subcontracts	[$0]	[$0]	[$0]
10. Training, conferences, meetings	[$0]	[$500	[$500]
11. Travel and mileage	[$150]	[$480]	[$630]
12. Project evaluation/data collection	[$0]	[$0]	[$0]
13. Total Expenses	**[$9,500]**	**[$99,556]**	**[$109,056]**

** Other expenses: please document other expenses in budget narrative.*

Budget Narrative

We've implemented some cost-cutting measures, so our 2011 breast and cervical program budget is about $109,056. We request a total of $9,500 to support our program.

We have received pledges of support from Allergan Foundation ($2,500), Pacific Life Foundation ($7,500), and Orange County Affiliate of Susan K. Komen for the Cure® ($60,000) for this program. Overall, funding for our program has been reduced compared to last year. We are continuing to apply for other sources of funding and are in the process of conducting a campaign to raise additional funds for this project.

We truly need support for both breast and cervical cancer services for 2011. HFOC's support is very important in helping us continue our services for disadvantage Vietnamese women living in Orange County. *In addition, we will continue to seek other grants and community donation as* well.

Personnel:

Since patient navigation requires intensive staff time, we request support for staff salary.

Program Coordinator (.80 FTE): This postion is very important to the program because the Program Coordinator will work directly with clients to assist them in finding appropriate resources. Collects and records all in-take forms, surveys, and other tracking forms. Finds and researches community resources for clients, coordinates referrals, case manage, and follow-up with clients as needed. Coordinates and conducts health education and patient navigation. We are requesting $4,000 for this position.

Project Director (.25 FTE): Because VACF is a small organization, the Executive Director will serve as Project Director. Provides overall supervision of staff, helps with program implementation, financials, and day-to-day activities of the organization; updates and reports to Board of Directors. Provides guidance and research for staff on this project. Leads the program evaluation, and completes and submit reports. Works and strategizes with staff and Board to seek and secure future funding for this program. We request $1,500 to fund this position.

Fringe Benefits: Calculated at 20% of salary, which includes: benefits and insurance, worker's comp, payroll taxes, etc. We are requesting $900 to support this expense.

Program Expenses:

Communication and Media: Each half-hour radio show costs $425 at our current discounted rate. Biweekly announcements to advertise about our breast and cervical cancer program cost $30 per announcement ($780 per year). We propose to conduct at least 4 shows on breast cancer and 4 shows on cervical cancer for a total of $3,400. Every two weeks we will have one promotional announcement. We are asking for $1,700 to support 4 educational radio shows on cervical cancer. Included in this cost is telephone, but we will not request support for this item.

Office Space: Rent is $16,500 annually; for this project we estimate rent to be $11,000. We would like to request $1,250 to support office space.

Travel: Mileage is calculated at $.50 x 100 miles/month for 1 FTE. Staff members travel to clinics, hospitals, screening sites, meetings and outreach events. Calculated at $.50 x 100 miles x 12 months x 1.05 FTE = $630. We would like to request $150 to help with this expense.

In-kind Support:

Program Manager (.90 FTE): Works with management team and Program Coordinator to *implement all activities of the program. Ensures that all aspects of the program are going as* planned, and communicates with Executive Director to reassess activities if necessary. Initiates meetings and network opportunities with other organizations. Conducts outreach, educational workshops, and patient navigation for breast cancer patients. We have requested funding for this position through Susan G. Komen for the Cure; thus, the Program Manager focuses on the breast component.

Senior Volunteer (.25 FTE): This year we received a volunteer from the Senior Volunteer Center, who works part-time and donates her services. Although she speaks very little English and lacks computer skills, she works hard and has reliable hours at VACF. We are currently training her, and she will assist with preparation for outreach events, appointment reminders, and other administrative support for the program. Her addition to our program helps us to work more efficiently and bring down the overall program costs.

Communication & Media: Patient navigation is conducted mostly through telephone and our staff communicates with clients on a daily basis. We estimate it will cost about $1500 in phone bills for this project. Website and email costs about $100 a year.

Office Supplies: General office supplies include paper, toner, printing, copying, folders & binders, etc., that will cost about $1,875 for this program.

Other Expenses: Other expenses include postage, event fees, outreach costs, some indirect costs, and other such expenses estimated to be around $9,000.

Education and Training: Since this program requires direct client services, it is optimal to allow staff to have continual education. We send staff to various trainings and community workshops in order to learn about resources and information that will help our clients.

Demographics

Age Group

- ☐ 0 – 5 Early Childhood
- ☐ 6 – 11 Middle School
- ☒ 12 – 25 Youth/Young Adult
- ☒ 25 – 55 Adults
- ☒ 56+ Seniors

Geograhic Area

- ☒ Tustin
- ☒ Santa Ana
- ☒ Anaheim
- ☒ Orange
- ☒ Other

Ethnicity

- ☐ African American
- ☐ Asian/Pacific Islander
- ☐ Caucasian
- ☐ Hispanic/Latino/South & Central American
- ☒ Southeast Asian
- ☐ Other

Gender

- ☐ Male
- ☒ Female

HFOC Objective Areas

Please check each item this project seeks to address:

- ☐ Empower parents and caregivers with information and support, to assure family health
- ☒ Bring culturally relevant information and services into communities
- ☒ Remove access barriers to care
- ☐ Address community health priorities and delivery system gaps
- ☒ Assess the changing health needs of women, children and adolescents to develop effective health strategies

THE HEALTHCARE FOUNDATION FOR ORANGE COUNTY

Grant Application

Thank you for downloading our grant application form. Grants are limited to applicants located in or having programs operating within the Foundation's primary service area of Santa Ana, Tustin, Orange and Anaheim, or which otherwise serve populations previously served by United Western Medical Center's (UWMC) hospitals. To qualify for a grant your organization must be a 501(c)(3) non-profit organization.

Funds for our annual grantmaking activities draw on the proceeds of the sale of United Western Medical Centers to Tenet, and are intended to continue the charitable legacy of those hospitals, and their nonprofit community partners, in central Orange County. In addition, a portion of our grantmaking comes from charitable remainder trusts, gifts and bequests of generous individuals and families, who want their charitable giving to improve the health of County residents. The Foundation also provides stewardship for grants from other foundations, dedicated to improving health services in Orange County. The Foundation seeks to support efforts of community-based organizations to foster better health of the population – particularly of children, adolescents and families – in Central Orange County. Additional information can be found in the current Request for Proposals (RFP), located on our website.

Directions

To apply for a grant, complete this Microsoft Word form, save it to your computer, and then print and verify your information. To move from field-to-field, use Tab and Shift-Tab.

When entering dollar amounts, use commas and prefix with a dollar sign (e.g., $0,000).

Once you are ready to submit your application to HFOC, return to our home page at www.hfoc.org and click APPLY FOR A GRANT. Fill out the online form, attach your document, and click the Submit button. Your application will be submitted to HFOC automatically.

Remember: Print and Verify Your Information before Submitting

If you have a question, please go to www.hfoc.org and choose Contact Us to send a question.

Sincerely,

The HealthCare Foundation for Orange County

THE HEALTHCARE FOUNDATION FOR ORANGE COUNTY

HealthCare for Orange County Funds

The HealthCare Foundation for Orange County is entrusted with funds from a number of sources. Choose the fund that best suites your project. ***Please check only one.***

☐ Healthy Orange County – This fund is for community-based non-profit organizations or collaboratives addressing specific health priorities in Orange County.

☒ Gold Fund for Health – This fund is used to increase access to information, early detection and treatment services for breast and cervical cancer among underserved women in Orange County.

Organization Information

Organization Legal Name: [YWCA of North Orange County]

Federal Tax ID Number: [95-1687482]

Executive Director: [Diane Masseth-Jones]

Address: [215 E. Commonwealth Avenue, Suite E]

City, State, Zip: [Fullerton, CA 92832]

Telephone: [1.714. 871.4488]

Fax: [1.714. 871.4709]

E-mail: [dmassethjones@ywcanoc.org]

Website: [www.ywcanoc.org]

Parent Organization: [YWCA of the USA]

Subsidiaries: [None]

Number of Board Members: [16 Directors]

Full-time employees: [5 Full Time Employees]

Part-time employees: [4 Part Time Employees]

Contractors: [1-Outside Contractor-Bookkeeper]

Fiscal Sponsor: [None]

Number of locations: [2 locations]

Primary County: [Orange County]

Cities Served: ☒ Tustin ☒ Santa Ana ☒ Anaheim ☒ Orange

Other Cities: [Anaheim, Anaheim Hills, Brea, Buena Park, Capsitrano Beach, Costa Mesa, Fountain Valley, Fullerton, Garden Grove, Irvine, La Habra, MIdway City, Placentia, Stanton, Yorba Linda and Westminster]

Tax Status: ☒ 501(c)(3) and: ☐ 509(a)(1) ☐ 509(a)(2) ☐ 509(a)(3)

Annual Operating Budget: [Enter annual operating budget]

Primary Organization's Mission:

[The YWCA is dedicated to eliminate racism, empower women and promote peace, justice, freedom and dignity for all. We accomplish our mission through the vision of empowering generations of women by addressing their changing needs. Through strategic partnerships we equip individuals to achieve and maintain social, physical, financial, intelectual and spiritual well being.]

Organizational Revenue & Expenses

Note: Please use comma-formatting for all dollars figures (e.g., $1,234).

Revenue:	**Last Year**	**This Year**	**Next Year**
1. Foundations/corporate giving	[$312,480]	[$249,512]	[$417,985]
2. Government grants	[$25,000]	[$25,000]	[$25,000]
3. Individual contributions	[$26,987]	[$24,971]	[$42,170]
4. In-kind contributions	[$43,985]	[$39,935]	[$43,985]
5. Earned income	[$0]	[$0]	[$0]
6. Other	[$28,206]	[$29,623]	$60,785
7. Total Revenue	**[$436,658]**	**[$369,041]**	**[$589,925]**
Expenses:			
Personnel Expenses			
8. Consultants and professional fees	[$42,704]	[$25,196]	[$40,780]
9. Salaries and wages	[$244,608]	[$230,957]	[$288,043]
10. Benefits	[$22,589]	[$21,384]	[$54,787]
Non-personnel Expenses			
11. Capital equipment (computers, furniture, etc)	[$3,335]	[$5,397]	[$2,200]
12. Communication & media (including web)	[$5,000]	[$5,000]	[$9,248]
13. Materials & office supplies	[$11,784]	[$7,453]	[$14,607]
14. Other expenses*	[$100,467]	[$82,591]	[$55,973]
15. Leases/facility rental	[$26,565]	[$27,802]	[$26,565]
16. Subcontracts	[$0]	[$0]	[$0]
17. Training, conferences, meetings	[$9,316]	[$4,963]	[$9,260]
18. Travel and mileage	[$2,913]	[$3,173]	[$3,542]
19. Project evaluation/data collection	[$0]	[$0]	[$0]
20. Total Expenses	**[$469,281]**	**[$413,916]**	**[$505,005]**

THE HEALTHCARE FOUNDATION FOR ORANGE COUNTY

Proposal Information

☒ Existing Project ☐ New Project

Project Title:

[Early Breast Cancer Screening and Education Program.]

Project Summary:

[The YWCA Early Breast Cancer Screening and Education Program is the largest mobile, breast caner screening and educaton program in Orange County. We provide quality breast cancer screening services to underserved, linguistically isolated, multiethnic women, 40 years and older, in their own neighborhoods, in their own languages, and in a manner consistent with their cultural beliefs. In 2009, the YWCA screened or led to screening 6,149 uninsured or underserved women, who received mammograms, clinical breast exam and breast self exam/awareness education.in over 140 clinics and in 54 neighorhoods thoroughtout Orange County. Through screening in local churches, temples, senior centers, and other community based sites, as well as educational events at health fairs, cultural festivals, and women's groups, the project will help to overcome barriers such as language, lack of transportation, lack of childcare, and fear of large clinical settings to ensure that many of the country's hardest to reach women have access to quality breast care.

Project Lead or Primary Contact Information:

First name: [Diane]

Last name: [Masseth-Jones]

Title: [Executive Director]

Phone: [1.714. 871.4488, ext. 200]

Fax: [1. 714. 871.4709]

E-mail: [dmassethjones@ywcanoc.org]

Address: [215 E. Commonwealth Avenue, Suite E]

City: [Fullerton]

State: [CA]

Zip Code: [92832]

Project Description

Project Start Date: [Ongoing]

Project End Date: [Ongoing]

Proposed Approach

[Since 1993, over 51,000 of the hardest to reach uninsured women,age 40-64, of diverse ethnic backgrounds throughout Orange County participated in our breast health screenings and education program. In 2011, we anticipate over 5,000 women to utilize our services. We are experienced and trained facilitators using innovative and culturally appropriate one on one personal outreach to reduce barriers, educate and navigate uninsured women to free quality local mobile breast health screenings in partnership with the California Cancer Detection

Program: Every Woman Counts (CDP:EWC) qualifed physicians, and two mammographers--Inner Images and Whitefield Radiology.

We reduce awareness barriers regarding susceptibility, risk factors, the importance of early detection and available resources through culturally appropriate breast health education and outreach. Our multi-ethnic staff understands cultural barriers and addresses the issues personally via phone and in the community. Annually we participate in more than 80 health fairs, ethnic festivals, cultural and educational events, including the annual Vietnamese Tet and Korean Festivals, Breast Self Exam Education/Awareness Workshops with church groups, women's group spas and in-home intergenerational gatherings. We promote our free breast cancer early detection screening schedules by distributing flyers and posters, e-mail blasts, placing notices in faith based newsletters, church bulletins, and announcing screenings in written, electronic and broadcast media.

We reduce fear barriers by creating trust and one-on-one relationships with women that we lead to screenings. Our staff personally qualified and schedules each woman, filling out the paperwork and answering questions. This process takes two to five personal contacts to schedule an appointment. We also mail reminders to women and call each one to maximize compliance. Our final step is to coordinate screening days, and operations to make sure each woman has a positive experience. From filling out paperwork and translating, to chaperoning during clinical services, we assist women through the entire potentially intimidating process. We also empower women by sharing breast health education to ensure compliance with annual screenings, monthly breast self-exams and activities to reduce risk of developing breast cancer.

We reduce cost barriers We reduce cost barriers by helping new clients become eligible for free screenings by qualifying them for CDP:EWC, Medicare or Medical/Healthy Families, then making appointments for approved women at our screenings. We also lead women previously screened to be rescreened the next year. We hold screenings almost every Saturday so women don't have to take a day off work for a mammogram. We reduce cost barriers for women who do not qualify for CDP:EWC by referring ineligible clients to resources for low cost screenings, by directing high risk and symptomatic women under 40 to the Komen Treatment Fund or other low cost clinics, and by directing linguistically isolated Medicare patients with no place to go for health care to our screenings (covered by Medicare) or another culturally appropriate local Medicare provider.

We reduce childcare barriers by welcoming and providing child care using special videos and coloring activitis for children at each of our 160 scheduled screenings. Our screenings are held in local neighborhoods where women can conveniently bring their children with them.

We reduce transportation barriers by holding screenings at convenient locations including churches, temples, mosques, senior and community centers located in low income neighborhoods and or on bus routes throughout Orange County. Most of our screenings are in cities with the highest number of uninsured women including Anaheim, Fullerton, Garden Grove Orange, Santa Ana, and Westminster.

Many callers, including undocumented women, qualify for CDP:EWC. However, some women will qualify for additional healthcare. Our staff opens the door for women to apply for Medical or

Healthy Families and find culturally appropriate providers, including screening at our clinics. Some women, due to age, income, or existing breast health systems will need referrals to other providers. Our knowledgeable staff keeps up to date on all community breast health resources for timely navigation assuring that no woman will fall through the cracks of the medical care system.

Because our outreach, we successfully target the hardest to reach groups. Other non-profit organizations utilize our screenings or provide information. The following organizations have collaborated with the YWCA : American Cancer Society, Cancer Legal Resources Center, Alzheimer's and American Heart Association. This collaboration maximizes our outreach efforts that effectively impact women's general health and legal needs when women face cancer.

Needs Addressed

According to a study issued by the Public Health Institute/California Department of Public Health Chronic Disease Surveillance and Research Branch, Sacramento, California, breast cancer is one of the most commonly diagnosed cancers among California women and the second most common cause of cancer-related deaths, regardless of race or ethnicity.

Early detection is the key to successful treatment of breast cancer. When breast cancer is caught at an early stage, 97% have a probability of surviving 5 years. However, once the cancer has spread beyond the breast to other parts of the body a women's likelihood of surviving 5 years decreases to 20% (ACS Facts and Figures 2008). Women who receive regular breast cancer screening, regularly scheduled physical examinations and mammograms have the best opportunity to detect breast cancer at an earlier stage when the likelihood of survival is much higher.

Access to health care coverage is a gateway to important health services. The Urban Institute reports that people without health care coverage receive less medical care, such as preventive screenings, and less timely care. Although the lack of health care coverage may not fully obstruct the utilization of health care, it is certain that it greatly diminishes the amount and quality of care a person receives, endangering his or her health and well being. According to the California Health Interview Survey (2007) 16% of adult women do not have health insurance and 13% are living below the federal poverty level . With the news of increasing unemployment in the county (9.5% as of April 2010), it would be expected that more adults currently lack health coverage due to lay-offs and smaller household incomes. (U.S. Bureau of Labor Statistics. 2010.)

Barriers to health care coverage. The Centers for Disease Control and Prevention (CDC) reports some of the commonly known barriers to access of care include: gaps in coverage for pre-retirement aged adults, educational level, income level, race/ethnicity and experience with the health care delivery system. Women without health insurance are less likely to have access to cancer screening services that are so essential in identifying breast cancer early Uninsured women with breast cancer were 76% more likely than those with health insurance to be diagnosed at a later stage (OCKomen Affiliate & UCI Data Project, 2008).

Gaps in Coverage for Pre-Retirement Adults: The 2009 Community Profile Report, compiled by the Orange County Affiliate, Susan G. Komen for the Cure predicts that the number of women, aged 55-69 years, will increase by 60%from 2005 to 2020. Almost one of every 15 (6.8% or an estimated 17,224) adults, ages 55 to 64 years living in Orange County is estimated to be without health insurance. Since these adults are not yet eligible for Medicare, their access to health care is limited at a time in their lives when they begin to need and utilize it more. Of the estimated 6.8% without health coverage, 72.2% (12,443) were White, 11% (1,902) were

Hispanic, and 16.5% (2,840) were Vietnamese. Of this population 70.4% (11,839) were female (OCHNA 2009).

Educational Level: In Orange County, adults who reported their level of education as less than high school were nearly twice as likely to be without health care coverage as those who reported high school in turn, twice as likely to be without coverage than their less as college counterparts. Over 42% of adults without health care coverage have no more than a high school education. (OCHNA, 2009).

Income Level: Adults with a reported household income of $10,000 to $24,999 were the most likely to be without health care coverage (two in five adults). Approximately one in three adults in the less than $10,000 income range was likely to be without health care coverage. In Orange County more than 9% of adults are without health care coverage, approximately 80% (145,868) reported an annual household income below $50,000 (OCHNA 2009). Women living in poverty or low income families cannot afford transportation costs or childcare. Providing early breast cancer screenings available in their own neighborhoods overcome these obstacles.

Geographic Areas In Need: A large proportion of women who live in North Orange County such as Anaheim, Brea, Fullerton, La Habra, Orange and Santa Ana were diagnosed with late stage breast cancer than women who live in the coastal cities such as Huntington Beach, Laguna Beach, Newport Beach, Seal Beach, as well as inland cities in the South of Orange County such as Costa Mesa, Irvine, Lake Forest, Mission Viejo, and Tustin are less likely to have a cancer that has progressed to late stage diagnosis. Differences were attributed to lack of existing health services, neighborhood socioeconomic status which are strongly correlated to late stage diagnosis (OCKomen Affiliate: UCI Data Project). Out of 164 screenings offered by the YWCA in 2009, 108 were conducted in North County cities identified as at-risk. Enter needs addressed. Please limit response to 800 words.]

Types or Amounts of Service to be Offered

[he services offered by the YWCA Early Breast Cancer Screening and Education Program are designed to save lives by reducing barriers to quality breast cancer early detection screening, diagnosis, and treatment for Orange County women through culturally sensitive and dignified multi-ethnic education and outreach. A special emphasis on navigating underserved women to free or low cost breast health screening and diagnositc programs are also provided based on each woman's age and eligibility. In order to reach these women, the program will:

Screen or lead to screenings 5,000 uninsured, multiethnic, linguistically isolated women 40 years or older who would otherwise go undiagnosed, or diagnosed at a later more life-threatning stage.

Organize and arrange over 140 screening events at area churches, temples, senior centers and other community locations providing free mammograms, clinical breast exams and breast self-exam education to uninsured, multicultural women in accessible and confortable neighborhood locations.

Promote early detection targeting hardest to reach women through participating in over 80 health, community and ethnic fairs, distributing promotional flyers at churches and community, promote in newsletters,and press releases to appropriate local and ethnic media.

Establish an ongoing series of breast health education workshops focusing on lifestyle changes and breast self exam education with local women's groups and the community-at-large.

Process over 20,000 points of contact with women via telephone providing crucial information about breast health and communtiy resources, making informed, appropriate referrals and scheduling appointments for early breast cancer screenings.

Navigate and refer 250 women who present with abnormal clinical breast exams or mammograms at YWCA screenings to local breast cancer centers, hospitals, or low cost clinics for diagnostic services and further treatment if warrented.

Collaborate with local physicians, medical providers, the breast cancer community, governmental agencies, academic institutions, similar non-profits, neighborhood screening sites, and women's groups throughout the county. Some of our collaborative partners include: Cancer Detection Partnership: Every Woman Counts, OraAssocation; Vietnamese American Cancer Foundation, OC Korean American Federation and local breast cancer centers and low cost clinics.

Maintain quarterly advisory board committee meetings to monitor best practice methodology, quality control and efficient, effective services to the clients we serve.

Continue to work with the Susan G. Komen for the Cure, Orange County Affiliate and the Cancer Detection Partnership providing needed follow up services to women 40 years or older for diagnosic and further medical treatment if warrented. Also, these organizations provides early breast cancer screening to women 40 years or younger who do not qualify for YWCA screenings.

Continue to work with Inner Images Inc., and Whitefield Radiology, two leading mobile imaging companies in Southern California providing mammography services to a wide range of clients and institutions, including the Cancer Detection Program:Every Woman Counts.

Continue to work with physicians qualified by the California Detection Partnership:Every Woman Counts program. Physicians include: Kae Ja Pai, M.D., Internal Medicine, physician at majority Korean/English language screenings. Daniel Reich, M.D. and Ronald Schreier, M.D. Obstetrics/Gynecology, physicians at maority Spanish/English language screenings; Phuong Chi Phan, M.D., Internal Medicine, physician at majority Vietnamese/English language screenings. nge County Breast Cancer Coalition, representing 60 members from the breast cancer community, Avon Breast Care Fund Foundation, Susan G. Komen for the Cure, Orange County Affiliate, OC Asian Pacific Islander Enter types or amounts of service to be offered. Please limit reponse to 800 words.]

Outcome Measures

Describe the intended impact of this project in terms of number of people helped, how they are helped, and how their change in condition will be measured.

[Success in this program can be defined by screening the hardest to reach women, increasing access to health care for more women, and empowering them to take chargeof their health. Measures of program impact include:

Reach 20,000 points of telephone contacts including incoming calls for information and appointments and outgoing calls for scheduling mammograms/clinical breast exams, providing breast health information, and referrals to appropriate resources. Outcome: Educate and empower women to get screened, qualifying women for screenings and/or lead to screenng 5,000 uninsured, multiethnic women.

Women I receive screening and education at 140 YWCA community screening events. Outcome: Detect 250 abnormal clinical breast exams and mammograms at screenings resulting in approximately 24 breast cancer diagnosis. This service opens the door for women to receive free diagnostic and treatment services, provides peace of mind to women who have normal screening results and empwoers woen to care for their own health.

Qualify 200 women ages 40-64 eligible for MediCal/Healthy Families for additional medical care, including access to YWCA screenings. Outcome: women and families r eceive access to early prevention leading to healthier lifestyle which may ultimately reduce breast cancer risk.

Refer 350 ineligible underserved women ages 40-64 to low cost clinics and provides. Outcome:women access care through low cost resoru ces, eve n if they do not quality for Cancer Detection Partnership: Every Woman Counts.

Refer 100 symptomat ic women under age 40 to UCI Medical Center, Komen Treatment Grant Program and local clinics for funded or low cost diagnostics. Outcome: opens the door for women to receive free or low cost diagnostic and treatment service.Describe outcome measures.

Project Sustainability

Will this project endure beyond the proposed funding period? If so, how, and what sources of funding will be utilized.

[The YWCA North Orange County's Early Breast Cancer Screening and Education Program has been serving uninsured women in Orange County since 1996. We are confident that we will be serving uninsured, multicultural women in the future due to our diversified funding base.

However, since the decline in the economy, it has been more difficult to raise and maintain our funding base, requiring more manpower and resources to secure funding opportunities. To date we have diversified our funding base to include the following funding sources:

1. Grants: We have submitted over $345,000 grant applications to community, family and private foundtions for fiscal year 2009/2010. We have secured $242,000 and have $62,000 pending, including this grant. In 2011 we will also be applying for a government grant under the Department of Health.

2. Individual gifts and Special Events: Through individual gifts and special events, we expect to raise over $15,000 to support the Early Breast Cancer Screening and Education program.

3. Legacy Program: In concert with the Orange County Community Foundation, we anticipate raising over $10,000 in planned gifts that will be place in an Endowment with Return on Investments going to the Early Breast Cancer Screening Program.

4. Collaborative Support: We have worked hard in establishing a collaborative with over 60 agencies, non-profit, governmental, and community based organizations. This is significant when you consider in-kind donations to help support our program. We estimate that we secure over $30,000 in value for in-kind support is shared with the YWCA resulting in securing screening sites throughout Orange County, volunteer time, supplies, and in-kind marketing/media contributions. Describe project sustainability. Please limit response to 500 words.]

THE HEALTHCARE FOUNDATION FOR ORANGE COUNTY

Project Budget

Budget Summary

Note: Please use comma-formatting for all dollars figures (e.g., $1,234).

Time period covered in months (must be less than 60): [24 months]

Sources of Revenue for this Project

Funds requested from HFOC:	[$25,000]
Funds requested from other sources that will support this project:	[$290,266]
Total funds (add two lines above):	[$315,266]
Willing to accept partial funding:	☒

Note: Please use comma-formatting for all dollars figures (e.g., $1,234).

Personnel Expenses	**Funds from HFOC**	**Funds from Other Sources**	**Total**
1. Consultants and professional fees	[$2,000]	[$11,464]	[$13,464]
2. Salaries and wages	[$15,000]	[$186,224]	[$201,224]
3. Benefits	[$2,000]	[$48,306]	[$50,306]
Non-personnel Expenses			
4. Capital equipment (computers, furniture, etc)	[$0]	[$0]	[$0]
5. Communication & media (including website)	[$2,000]	[$2,486]	[$4,486]
6. Materials & office supplies	[$0]	[$3,366]	[$3,366]
7. Other expenses*	[$0]	[$20,322]	[$20,322]
8. Leases/facility rental	[$4,000]	[$10,705]	[$14,705]
9. Subcontracts	[$0]	[$0]	[$0]
10. Training, conferences, meetings	[$0]	[$2,393]	[$2,393]
11. Travel and mileage	[$0]	[$5,000]	[$5,000]
12. Project evaluation/data collection	[$0]	[$0]	[$0]
13. Total Expenses	**[$25,000]**	**[$290,226]**	**[$ 315,226]**

* *Other expenses: please document other expenses in budget narrative.*

Budget Narrative

[Equipment:Actual use expense of leases for postal meter and duplicator; computers, network, and phone equipment.

Postage: Grants, reports, reminder letters to women

Printing:Stationary and envelopes,intake forms, flyers, forms for qualiflying women in Every Woman Counts or Family Pack programs.

Telephones/cell phones for four lines, voice mail, and fax line to maintain communication for staff, clients, scheduling. Cell phones used in the field.

Postage used on mail contacting women unavailable by phone, return quarterly reports, follow up correspondance.

Computer/Software/Information Technician maintenance for computers, network and software/data management activities.

Models/ learning resources   Anatomical Models, Displays; BSE models; 5000 printed educational materials @$0.26

Legal/ accounting: Annual audit, 990s, taxes, and legal retainer.

Bookkeeping: All accounts, financials, banking, payroll, MAS 90 software

Insurance: Liability, Director's Officers, Commercial, Auto, Property

Business Tax & License. Required in city of Fullerton

Publications: Grant newsletters, breast health resources, references

Staff hiring/ training: Cost to post jobs, training materials, and staff workshops

Dues YWCA Pacific Region. YWCA requirement

Dues other organizations: Membership in local coalition, advocacy groups

Bank charges/ payroll Processing and administrative costs

Misc. Expenses: Monthly storage and other minor unexpected program costs

Repairs/ maintenance: Cost to fix resources in the office and in the field

Security: Program utilizes 80% of office space. Cost reflects 80% of totalescribe project budget.
]

Demographics

Age Group

- ☐ 0 – 5 Early Childhood
- ☐ 6 – 11 Middle School
- ☐ 12 – 25 Youth/Young Adult
- ☒ 25 – 55 Adults
- ☒ 56+ Seniors

Geograhic Area

- ☒ Tustin
- ☒ Santa Ana
- ☒ Anaheim
- ☒ Orange
- ☒ Other

Ethnicity

- ☒ African American
- ☒ Asian/Pacific Islander
- ☒ Caucasian
- ☒ Hispanic/Latino/South & Central American
- ☒ Southeast Asian
- ☒ Other

Gender

- ☐ Male
- ☒ Female

HFOC Objective Areas

Please check each item this project seeks to address:

- ☐ Empower parents and caregivers with information and support, to assure family health
- ☒ Bring culturally relevant information and services into communities
- ☒ Remove access barriers to care
- ☒ Address community health priorities and delivery system gaps
- ☐ Assess the changing health needs of women, children and adolescents to develop effective health strategies

Grant Name	Name of Organization	Address	Satus	Purpose of Grant	Grant Amount
Gold Fund For Health	Vietnamese American Cancer Foundation	17150 Newhope St. #502 Foundation Valley, Ca 92708	501C3	Utilize culturally appropriate out creach strategies to target diadvaneaged vietnamese women and connec them to free/low-cost breast and Cervical Cancer Screening, Treatment, and/or support services.	$9,500
	YWCA North Orange County	215 E Commonwealth Ave Suite E Fullerton, CA 92832	501C3	Provides quality breast cancer screening services to underserved, linguistically isolated, ultiethnic women 40 years and older	$25,000
Partners for Health	Children's Hopsital Orange County		501C3	disciplinary team will focus on a three-tiered approached to fight overweight and obesity Prevention, early intervention,	$250,000
	St. Joseph Hospital of Orange	1100 West Steward Drive Orange, Ca 92868	501C3	Partnered with Health Smiles the SmileMobile school based prevention program will broaden the spectrm of oral care to underserved neighborhoods.	$100,000
	Hoag Memorial Hospital Presbyterian	One Hoag Drive, Newport Beach, Ca 92658	501C3	Improve birth and maternal outcome of others and babies living in proverty by identifying diabetic and at-risk clients early.	$75,000
				Total	$459,500

THE HEALTHCARE FOUNDATION FOR ORANGE COUNTY

Partners for Health Grant Application Cover Page

1450 North Tustin Avenue Suite 103, Santa Ana, CA 92705-8641
(714) 245-1650 FAX (714) 245-1653

Project Title: CHOC Collaborative Against Obesity

Hospital Organization Proposed to Administer the Project:
Hospital Name: Children's Hospital of Orange County (CHOC Children's)
Address: 455 S. Main Street
Orange, CA
Hospital Lead Contact Name: Carol Ann Lawhon Title: Director of Foundation Relations
Address (if different from above): ______
Phone: 717-532-8682 Fax: 714-532-8380 E-mail: clawhon@choc.org

Check one:
This hospital is a ☐ private non-profit ☐ government-run
☐ acute care hospital licensed by the State of California

Amount Requested: $ 750,000 for 36 Months

Date Budget Begins: July 1, 2009 **Date Budget Ends:** June 30, 2012

Project Lead Contact Name (Designated to address detailed questions during the review process)
Name: Carmen Namenek Title: Manager, Community Outreach & Education
Address (if different from above address): ______

Phone: 714-532-7560 Fax: 714-289-4087 E-Mail: cnamenek@choc.org

Project Partners (List all – attach pages as needed)

(1) Organization Name: **CHOC Children's Community Clinics**
Name of Executive Officer: Kathy Kolodge
Contact Name (if different from Executive): ______ Title ______
Address: 455 S. Main Street Orange, CA
Phone 714-532-8578 Fax 714-532-8754 E-Mail kkolodge@choc.org

(2) Organization Name: **CHOC Children's Specialty Clinics**
Name of Executive Officer: Kathy Kolodge
Contact Name (if different from Executive): ______ Title ______
Address: 455 S. Main Street Orange, CA
Phone 714-532-8578 Fax 714-532-8754 E-Mail kkolodge@choc.org

(3) Organization Name: **Boys & Girls Clubs of Santa Ana and Garden Grove**
Name of Executive Officer: Pat Halberstadt
Contact Name (if different from Executive): ______ Title ______
Address: 1615 E. 17th Street Santa Ana, CA 92705
Phone 714-543-5540 Fax 714-565-4613 E-Mail ______

(4) Organization Name: **Orange County Department of Education**
Name of Executive Officer: William Habermehl
Contact Name (if different from Executive): Pamela Kahn Title: Health & Wellness Coordinator
Address: 200 Kalmus Drive, Costa Mesa CA 92626
Phone714-966-4000 Fax 714-662-3570 E-Mail pkahn@ocde.us

THE HEALTHCARE FOUNDATION FOR ORANGE COUNTY

Partners for Health Grant Application Cover Page

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(714) 245-1650 FAX (714) 245-1653

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Phone: 717-532-8682 Fax: 714-532-8380 E-mail: clawhon@choc.org

Check one:
This hospital is a ☒ private non-profit ☐ government-run
acute care hospital licensed by the State of California

Amount Requested: $ 750,000 for 36 Months

Date Budget Begins: July 1, 2009 **Date Budget Ends:** June 30, 2012

Project Lead Contact Name (Designated to address detailed questions during the review process)
Name: Carmen Namenek Title: Manager, Community Outreach & Education
Address (if different from above address): ____

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Name of Executive Officer: Pat Halberstadt
Contact Name (if different from Executive): ____ Title ____
Address: 1615 E. 17th Street Santa Ana, CA 92705
Phone 714-543-5540 Fax 714-565-4613 E-Mail ____

(4) Organization Name: **Orange County Unified School District** [illegible]
Name of Executive Officer: William Habermehl
Contact Name (if different from Executive): Pamela Kahn Title: Health & Wellness Coordinator
Address: 200 Kalmus Drive, Costa Mesa CA 92626
Phone 714-966-4000 Fax 714-662-3570 E-Mail pkahn@ocde.us

Healthcare Foundation for Orange County
CHOC Collaborative Against Obesity
December 9, 2008

Description of Participating Organizations

Children's Hospital of Orange County (CHOC Children's)

Children's health is fundamental to healthy communities. And when they are sick, these children deserve access to quality healthcare. Across the nation, children battle life-threatening diseases that do not discriminate based on economic status, race, age, or insurance coverage. Locally and regionally, Children's Hospital of Orange County provides children and families with access to a full continuum of pediatric specialists, state-of-the-art technology and nationally recognized hospital programs, regardless of their ability to pay. Established in 1964, Children's Hospital of Orange County (CHOC Children's) is a 232-bed hospital and the anchor of an innovative, regional, not-for-profit pediatric health system which has grown to include CHOC Children's at Mission Hospital (48-bed acute care hospital in Mission Viejo), five outpatient clinics around Orange County, two Breathmobile™ mobile asthma clinics, and one Healthy Tomorrows van. Our mission is to *nurture, advance and protect the health and well-being of children.* Patients from throughout Orange County, as well as some from neighboring counties, seek services at CHOC Children's.

The CHOC Children's Foundation at Children's Hospital of Orange County is responsible for securing philanthropic support to ensure the health and well-being of children. All charitable support for the hospital and clinic is sought through the CHOC Children's Foundation.

CHOC Children's Community Clinics

CHOC is dedicated to providing comprehensive primary pediatric care to children from infancy through 18 years of age. The clinics provide sick and well-child care. In addition to five community based clinics (Orange, Costa Mesa, Garden Grove, Santa Ana and Clinica CHOC Para Ninos), CHOC has three mobile healthcare units that visit different schools and community centers; one Healthy Tomorrows van provides primary health care services, immunizations and screenings to school-age children, while the other two Breathmobile mobile asthma clinics are focused on specialty care for asthma screenings and treatment.

The community clinics will be key in dispersing nutritional education, physical activity information, and early intervention services for children and families who are overweight or obese. Each CHOC Clinic has a Community Health Educator who is able to provide group and individual education and instruction in a variety of subjects.

CHOC Children's Specialty Clinics

Specialty Clinics are designed to provide comprehensive specialized pediatric care to children with specific chronic illnesses or medical conditions through effective utilization of pediatric sub-specialists, nurse practitioners, nursing staff, and support staff. Based on community need the clinics meet daily, weekly or monthly. Clinic services include consultation, evaluation, care of chronic conditions and treatment of episodic illnesses. The clinics are available to all persons seeking specialty pediatric care and are seen by appointment. One of the goals of the Specialty Clinics is to provide facilities for teaching of pediatric specialty care to appropriate residents, fellows, nurses and other students involved in the health care profession. The clinics are also an integral part in the continuum of healthcare for the child and family.

Specifically for this proposal, the CHOC specialty clinic division of Endocrine and Diabetes provides care and education for families and patients with pre-diabetes, Type I and Type II diabetes, and a wide variety of endocrine disorders. Clinicians and Educators provide care at the Orange and Costa Mesa clinics.

Boys and Girls Clubs of Santa Ana and Garden Grove

The Boys and Girls Clubs of Santa Ana and Garden Grove have collaborated with CHOC-based clinical and education programs, and have a proven track record of success in reaching targeted populations to promote health and well-being. Two of our community based clinics share the same campus as these well established Clubs.

The mission of the Boys and Girls Club is *to promote the positive and healthy development of youth, especially from disadvantaged circumstances, by providing services in a safe environment that build the skills, civility and self-confidence necessary to succeed in a competitive world.* Additionally, the Club's vision is *to provide safe places and results-based programs where young people learn, grow, have life changing experiences, and establish ongoing relationships with caring adult professionals that will lead to opportunity, accomplishment and a life as productive, responsible adults.* With the help of CHOC Children's continued participation through clinical and educational interventions, the mission and vision of the Boys and Girls Clubs are fulfilled and furthered.

Orange County Department of Education (OCDE)

CHOC Community Education has been providing school based education and interventions for over 10 years in most of all of the 28 different School Districts in Orange County. With education programs starting at the pre-school through the high school level, the Community Educators have established trusted and collaborative relationships with teachers, principals and parents alike.

Community Education staff will continue to provide and expand our Healthy Habits nutrition education program for children at various school sites in the county with an emphasis on those districts surrounding our clinic service area.

In addition, we will partner and collaborate with the OCDE efforts of their Health and Wellness programs and initiatives to "provide the support our schools need to maintain a healthy environment that fosters learning".

Proof of Nonprofit Status

Please see attached documentation of CHOC Children's Foundation IRS exemption letter.

California Hospital License

Please see attached licensure documentation for CHOC Children's.

Description of Addressed Need

The Center for Disease Control and Prevention states that childhood obesity has grown into an epidemic. A growing concern in Orange County and across the country is the rise of Type 2 diabetes in children. Ten years ago, Type 2 diabetes (previously known as adult-onset diabetes) was virtually unheard of in children. Currently, about 20% percent of the children newly diagnosed with diabetes at CHOC have Type 2 diabetes. With the clear link between childhood obesity and Type 2 diabetes, there are undoubtedly more children who are at risk.

Additionally, obesity is a major risk factor for more than just diabetes, but also respiratory diseases, heart disease, sleep apnea, musculoskeletal problems, hypertension and strokes. Studies indicate that contributing factors to obesity are reduced exercise, changes in diet, sugar-laden sodas replacing milk and other options as the childhood beverage of choice, schools signing franchise agreements with high-sugar/high-calorie food vendors, and living in neighborhoods that have limited access to healthy foods and/or few opportunities for physical activity.

In 2006, a total of 17,113 children were considered overweight in California; 58 % of them were in the 5-11 age group (Pediatric Nutrition Surveillance, Grown Indicators by Race/Ethnicity and Age 2006). The importance of these statistics is that overweight children serve as indicator for overall nutritional health. Overweight adolescents have a 70% chance of becoming overweight or obese adults—the time is now for education and intervention to prevent this epidemic from growing even more widespread.

Project Description

Evidence shows that successful intervention for overweight and obesity must involve more than just the individual. For this reason, CHOC is bridging together family-based programs, outpatient clinic healthcare providers, educational programs at schools, and local communities. In this effort, the multi-disciplinary team will focus on a three-tiered approach to fight overweight and obesity: prevention, early intervention, and treatment.

Prevention

CHOC Children's Endocrine Department currently has a bilingual family-based obesity and diabetes education/prevention program based out of Costa Mesa. Community based education that is culturally competent, fun, and involves the entire family unit is imperative to prevent and treat overweight children and adolescents, obesity and Type 2 diabetes. This prevention based class is five weeks long and provides nutritional and exercise counseling, addresses cultural beliefs about diabetes, assists with behavior changes for healthy lifestyles, promotes media literacy and provides diabetes education.

At this time, the class is geared towards Hispanic families, and the program is offered by a bilingual Certified Diabetes Educator (CDE).

CHOC's Community Education Department offers the Healthy Habits school education program which teaches children the importance of eating a wide variety of foods and getting exercise everyday, "Stress Free Feeding," a program for parents of children aged 0-5 years, and a Lactation Education program. Studies indicate that breastfeeding reduces the risk for cardiovascular disease and diabetes later in life and that exclusive breastfeeding can reduce the risk of childhood obesity (American Association of Pediatrics, 2005).

Early Intervention

Early intervention programs address the needs of children who are overweight; this condition can be prevented and treated largely through proper nutrition and physical activity. CHOC offers weight management classes based out of the community clinics to provide education for children and adolescents who are overweight or have a high BMI (body mass index). The "New You" clinic and class is a six-month program led by a Registered Dietician (RD) and pediatrician, and "FIT-tastic" is an eight-week class that focuses on physical activity and nutrition. Both of these classes help educate children on good choices for their nutrition and overall health, with the goal of reducing their BMI and increasing knowledge about good food choices, and ultimately changing behavior (for example, reduction in soda consumption).

CHOC physicians also make referrals for one-on-one appointments with the Registered Dietician. These meetings allow the dietician to provide personalized care for each patient and develop the best plan for success to reduce their propensity towards obesity and Type 2 diabetes.

Treatment

Because of the known link between Type 2 diabetes and obesity, multidisciplinary treatment programs based through CHOC's Specialty Care Clinics help aggressively address children and adolescents in Orange County who are obese (based on BMI). Endocrine and Cardiology specialists work with CDEs, RDs, the Lipid Clinic, and Psychologists, to provide treatment for health conditions associated with obesity, while further providing educational sessions in nutrition and physical activity.

CHOC Collaborative Against Obesity Implementation

Year One

In Year One, CHOC's team will develop an advisory steering committee to coordinate services, provide direction and oversight of the multi-faceted program, and begin a plan for long-term sustainability. Members of the community who have successfully completed one of the prevention focused education classes will be invited to join this advisory committee. Under the advisory counsel's direction, CHOC's obesity prevention team will focus on expanding the prevention program into one clinic in Central Orange County (Boys and Girls Clinic in Garden Grove or Santa Ana). This will include the family-based program, Lactation Education, FIT-tastic and New You weight management classes.

During the first year, the team will build relationships in the Vietnamese community and collaborate with service providers (such as Champions for Change) within this community with the goal of expanding overweight and obesity prevention programs in a culturally appropriate manner. The 2008 Conditions of Children Report indicates that the Asian population has a similar trend to other ethnic groups, at 12.2% of children ages 0-4 being overweight; 12% aged 5-11 years, and then dropping to only 7.9% for the 12-19 age group. With this information, the team will focus more heavily on the early intervention and family educational sessions to decrease the overweight population in the 0-4 age group, and 5-11 age group. Training additional educators will be crucial in Year One, to address the expansion needs in future years. Via Grand Rounds, local providers will be educated on the obesity prevention efforts.

Year Two

In Year Two, the team will continue to build upon the activities started in Year One, and will assess and revise as needed. The prevention programs will expand to one more clinic, also in the central/north areas of Orange County. Training with a Vietnamese-speaking nurse and physician will begin, with marketing and education efforts beginning in order to being providing prevention, early intervention and treatment programs for the Vietnamese population. Training for additional educators and providers will continue as well.

Year Three

In Year Three, CHOC will continue the initiatives started previously, while concurrently piloting the expansion of comprehensive obesity services in the Vietnamese population. Additionally, with the steering committee and team working together, CHOC could feasibly begin a comprehensive obesity program, with referrals and coordination of all the CHOC and surrounding community programs. CHOC would also host an Obesity Symposium for local and regional healthcare providers and community educators.

This three-year approach was selected to maintain reasonable expectations and achievable results, while concurrently achieving widespread success through clinic participation, the Boys and Girls Clubs and the Orange County schools. The annual review of the program's components will allow the team to make necessary adjustments along the way when necessary to continue successful rollout of the program.

Role of Each Partner Organization

The prevention program will be extended to each of the CHOC Community Clinics during the three-year rollout of the program. Community educators will lead prevention and education programs/seminars at the schools and at the Boys and Girls Clubs. Additionally, the FIT-tastic program will continue to use Boys and Girls Club's in-kind gift of space for the program. The CHOC Specialty Clinics will be the primary host for all treatment programs for young patients and their families.

CHOC Children's Community Clinics, Boys and Girls Clubs, OCDE: Health Educators will provide group and individual instruction and education to children and families in schools, at the clinics and in the community (at health fairs and other community events) on the importance of proper nutrition and physical activity efforts. In addition they will co-present the FIT-tastic program with the Registered Dietician.

CHOC Children's Community Clinics and Specialty Care Clinics: A Registered Dietician will provide one-on-one educational and nutritional counseling, facilitate the New You Clinic and the FIT-tastic program in the community clinics and provide curriculum support to materials and handouts produced for the community.

Primary Care Physicians: Will provide education to families as part of the routine check up, refer families to appropriate services with the Community Educator, Registered Dietician or specialty clinic. They will also provide community provider education through Grand Rounds and the Obesity Symposium to increase and unify the efforts of obesity prevention in Orange County.

Specialty Clinics: Will provide comprehensive care to families referred by the Registered Dietician or Primary Care Physician to their clinic. Will also participate in provider education via Grand Rounds and the Obesity Symposium. Through the Endocrine Department, Certified Diabetes Educators will provide their family based education and intervention program at community clinic locations and train the community education staff on the implementation of the program to expand the number of classes that can be offered. They will also provide expertise in the area of physical activity and developing simple yet effective activities that families can do for free or low cost ways of increasing physical fitness.

Desired Outcomes

Outcomes from the CHOC Collaborative Against Obesity are to see a significant change in behavior based upon a measurable increase in knowledge regarding nutrition and physical activity. Collectively, these outcomes will decrease on BMI (body mass index). Data will be tracked at the onset of program attendance and again at the completion of programs. The number of children and families attending programs will be tracked as well.

While we may never know exact reduction in diabetes due directly to the program's intervention (because of other things changing in the environment such as school lunch and reward structure, media, and government rules on calories on billboards), the team can measure objective risk factors for diabetes. This measurement will be assessed before the educational prevention-based programs and after the program.

With the reduction in BMI, the team anticipates a correlating decrease in type 2 diabetes, overweight, and obesity. Ultimately, through this collaborative effort across the county, CHOC Children's and its partners hope to see a decline in overweight and obesity in alignment with the Healthy People 2010 goals (reducing the proportion of children and adolescents who are overweight to 5%).

Project Sustainability

As mentioned previously, one of the goals for Healthy People 2010 is to reduce the proportion of children and adolescents who are overweight to 5% for ages 6-19. Reports from 2006 indicate that none of the age or other groups achieved this goal; in fact, most groups had three to six times the recommended proportion of overweight children. These startling numbers indicate the need for greater intervention programs. The CHOC Collaborative Against Obesity is designed to fill the gap in nutrition education and obesity prevention programs throughout Orange County. Additionally, there are few programs focused on the nutritional needs of the Vietnamese population to fight overweight and obesity. This comprehensive program further seeks to serve the needs of this ethnic group.

One of the major components of the CHOC Collaborative Against Obesity Steering Committee is to develop a financial sustainability plan for the initiatives outlined in the program. We anticipate this will be achieved partially through insurance

Budget

Please see attached budget for direct costs of the project in line item detail.

Funding will be distributed to the CHOC Children's Specialty Care Clinics to provide the funding for the Medical Director, Pediatrician and Certified Diabetes Educator. All other partners will be providing in-kind support for the project.

Budget Justification

All staffing information for the project is listed below. Having the proper staffing infrastructure is vital to successful project implementation.

Non-salary expenses are directly related to the prevention and early-intervention needs of the program. Food demonstrations, handouts/materials (printing of materials) are essential for all of the prevention-focused programs and early interventions. Premiums and incentives for program participants help with program retention, which in turn produces better educated children and families regarding nutrition, healthy eating habits and physical activity. Outside training/travel and mileage are compensation the Community Educators who will be training the OCDE partners in overweight and obesity education.

Additional funds are designated each year for program evaluation, tracking measures to be able to collect and analyze pre-and post-program data.

Staffing

The following positions will be funded in direct correlation with the rollout of the proposed project:
Program Coordinator (.4 FTE / $30/hr)
Registered Dietician (.4 FTE / $30.50/hr)
Medical Director (.05 FTE / $87.54/hr)
Pediatrician (.1 FTE / $60/hr)

Vietnamese Pediatrician (.05 FTE in Years 2-3 / $63/hr)
Certified Diabetes Educator (.4 FTE / $42 hr)
Community Health Worker (.2 FTE / $18/hr)
Community Health Educator (.4 FTE / $26/hr)
Administrative Assistant (.1 FTE / $20/hr)

Role of Each Staff Member

Program Manager: (In-Kind) Will provide fiscal and program oversight for the CHOC Collaborative Against Obesity. The Program Manager will complete grant reporting requirements and assist in the development of the Obesity Symposium.

Program Coordinator: The Program Coordinator works in the Community Education Department and is both a Certified Health Education Specialist and a Lactation Educator. She will have program oversight for the prevention and intervention aspects of the program including the FIT-tastic, Healthy Habits and Stress-Free Feeding programs. She will be the liaison with community providers and will work on increasing the number of services offered in the schools and community. The Coordinator in conjunction with the Medical Director will chair the Collaborative's meetings.

Registered Dietician: Will provide one-on-one counseling, education and support in the Primary Care Clinics, facilitate and oversee the New You Clinic and program and lead the FIT-tastic educational program. Will also be a content expert for the development of new materials and curriculum related to nutrition and diet. Will assist in the development of the Obesity Symposium for community providers and physicians.

Medical Director: Will oversee the Primary Care Physicians and provide continuing education opportunities for providers both in the clinics and in the community on obesity prevention, diagnosis and treatment. Will oversee the development of the Obesity Symposium in the community and co-chair the Collaborative's meetings.

Pediatrician: Will provide education and interventions to families as part of the routine well-child visits and make referrals to educators, dieticians and specialty providers as appropriate. Will provide the New You Clinic in conjunction with the Registered Dietician and train the Vietnamese Pediatrician on the New You program. Will work in collaboration with the Medical Director to provide physician educational opportunities.

Vietnamese Pediatrician: Will provide education and interventions to families as part of the routine well-child visits and make referrals to educators, dieticians and specialty providers as appropriate. Will provide the New You Clinic in conjunction with a Registered Dietician or Community Educator (as appropriate). Will work in collaboration with the Medical Director to provide physician educational opportunities.

Certified Diabetes Educator: Will provide training to the Community Health Educators on the family-based obesity/diabetes prevention and education program. The CDE will also provide the community based classes and work in conjunction with the

Vietnamese Pediatrician to adapt and pilot the program in the Vietnamese community. This position is part of the Endocrine Department and is also an Exercise Physiologist and will be a content expert for all physical activity components of each program deployed in the community.

Community Health Worker: Works in collaboration with the CDE to provide outreach to the community and support to the community based classes. This is a bilingual position that will assist in the scheduling and follow-up with families participating in the program.

Community Health Educator: Is a bilingual, Bachelor's prepared health educator who will provide the school based and community based programs including Healthy Habits, Stress-Free Feeding and FIT-tastic programs. The Educator will also be responsible for participating and initiating community outreach efforts including collaborative building and partnership opportunities.

Administrative Assistant: Will provide support to the Program manager and Coordinator in completing all grant reporting requirements, facilitating the acquisition of supplies and materials for the program and taking meeting notes and minutes for the collaborative.

Board of Directors

Please see attached for the Board of Directors for the CHOC Children's Foundation, the Boys & Girls Clubs, and the Orange County Department of Education.

Business Plan

CHOC Children's has a Business Development department that is responsible for a wide array of services that support both Children's Hospital of Orange County, CHOC Children's at Mission Hospital, and all community clinics. Directed by the hospital's strategic plan, mission statement and vision, the Business Development Department is responsible for identifying and securing new hospital business, program development, strategic planning, market research and analysis, application assistance for government insurance programs: MediCal, Healthy Families, and California Kids, and more.

Ultimately the department's primary goal is to secure new business for the hospital while managing the existing business relationships with our physician, medical group, and provider partners.

CHOC's Business Plan is driven by the Strategic Plan, and is designed to work towards the following goals:

1. Partnerships and Affiliations—Secure partnerships and affiliations that enhance CHOC's services and network of care.
2. Workforce/Culture—Create a high-performance culture that attracts, engages, develops and retains healthcare's finest people.
3. Operations and Infrastructure—Deliver exceptional and efficient care in premier facilities.

4. Clinical Quality, Safety and Service—Provide exceptional and compassionate healthcare in a safe patient environment.
5. Physician Alignment—Ensure CHOC is the hospital partner of choice for primary and specialty care physicians.
6. Growth and Program Development—Establish CHOC as the region's largest and most distinguished pediatric tertiary/quaternary hospital.
7. Financial Stewardship—Increase financial strength to fully realize CHOC's mission and vision.

The CHOC Children's Foundation at Children's Hospital of Orange County would like to thank you, in advance, for your consideration of this project.

THE HEALTHCARE FOUNDATION FOR ORANGE COUNTY

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Partners for Health Grant Application Cover Page
1450 North Tustin Avenue Suite 209, Santa Ana, CA 92705-8641
(714) 245-1650 FAX (714) 245-1653

ORIGINAL

Project Title: MOMS Pregnancy & Diabetes Program

Hospital Organization Proposed to Administer the Project:
Hospital Name: Hoag Memorial Hospital Presbyterian
Address: One Hoag Drive, Newport Beach, CA 92658-6100

Hospital Lead Contact Name: Rebecca Reza Barnard, RN, MSN Title: Program Manager, Community Medicine
Address (if different from above):
Phone: (949) 764-6953 Fax: (949) 631-4271 Email: Rebecca.barnard@hoaghospital.org

Amount Requested: $125,000 for 12 Months
Dec 1 - Nov 30
Date Budget Begins: ~~July 1, 2010~~ **Date Budget Ends:** June 30, 2011

Project Lead Contact Name (Designated to address detailed questions during the review process)
Mail agreement
Name: Michele Silva Title: Director of Development
Address (if different from above address):
1128 W. Santa Ana Blvd. Santa Ana, CA 92703
Phone: (714) 352-3420 Fax: (714) 972-2620 E-Mail: msilva@momsorangecounty.org

Project Partners (List all – attach pages as needed)

(1) Organization Name: MOMS Orange County
Name of Executive Officer: Pamela Pimentel, RN
Contact Name (if different from Executive): Michele Silva Title Director of Development
Address: 1128 W. Santa Ana Blvd. Santa Ana, CA 92703

Phone (714) 352-3420 Fax (714) 972-2620 E-Mail msilva@momsorangecounty.org

(2) Organization Name:
Name of Executive Officer:
Contact Name (if different from Executive): Title
Address:

Phone Fax E-Mail

(3) Organization Name:
Name of Executive Officer:
Contact Name (if different from Executive): Title
Address:

Phone Fax E-Mail

☐ Additional partners – information attached

Brief Summary of Project: The mission of MOMS Orange County (MOMS) is to help women and their families have healthy babies by offering health care coordination, education and access to community services. A study by the American Diabetes Association (ADA) found that the number of pregnant women with pre-existing diabetes in CA has more than doubled in seven years. The ADA also found that babies born to mothers with untreated gestational diabetes have nearly double the normal risk of becoming obese in childhood not to mention developing diabetes and serious health problems in adulthood. MOMS is enhancing its current pregnancy and diabetes service delivery model by developing an intensive community-based pregnancy and diabetes prevention and self-management program which will include prevention-based classes facilitated by a bilingual Diabetes Coordinator. The HealthCare Foundation for Orange County's service area of central Orange County is also MOMS primary service area (Santa Ana, Tustin, Orange and Anaheim).

Hoag Memorial Hospital Presbyterian (Hoag) and MOMS have had a long history of collaboration in the area of community health. Hoag Hospital supports programs and organizations in the community that are targeted at improving the community's overall health primarily through disease prevention and health promotion. In 2009, led by its commitment to promoting the management of diabetes for all populations, Hoag Hospital opened the Mary & Dick Allen Diabetes Center. In the MOMS Pregnancy & Diabetes Program, Hoag Hospital will share clinical expertise and support while MOMS shares its community-based experience and best practices.

The objectives of the enhanced MOMS Pregnancy & Diabetes program are to identify diabetic and at-risk clients early and provide risk-targeted education and support (telephonic and group classes) with an emphasis on self-management and lifestyle change. The goals are to improve birth outcomes, maternal outcomes, and subsequently, decrease the infant's risk of developing childhood obesity, diabetes and other serious health issues later on in life.

Please check the Foundation goal area(s) this project seeks to address:

_X_ **Empower parents and caregivers with information and support** to ensure family health

_X_ **Bring culturally relevant services and information** into communities

_X_ **Remove access barriers to care**

_X_ **Encourage innovative services and proven models** for health priorities and delivery system gaps

_X_ **Assess the changing health needs** of children, adolescents and families of community health

Signatures: By signing this form, the applicant is certifying that the information contained here and in the attached application are true and correct, and that the proposed project has been approved by each of the applicant organizations. Further, the applicant understands and agrees that acceptance of a grant from the Foundation will require these organizations to participate in required financial and programmatic reporting, to permit visits to the program site(s) by Foundation representatives, and to permit the Foundation to include information on this project and its participating organizations in Foundation publications.

Hospital Organization: Hoag Memorial Presbyterian Hospital

Rebecca Barnard, Man, Pr

Executive Director/Official

Partner Organization: MOMS Orange County

Pamela Pimentel, RN

Executive Director/Official

The HealthCare Foundation of Orange County – Partners For Health 2010
Hoag Hospital and MOMS Orange County – Pregnancy & Diabetes Program

Description of Participating Organizations

Hoag Memorial Hospital Presbyterian

Hoag Memorial Hospital Presbyterian, located in Newport Beach, California, is a full-service, not-for-profit community medical center serving Southern California and the surrounding area for more than 50 years. Hoag Hospital's advanced medical programs are led by a high-caliber medical and nursing staff, in conjunction with a sensitive, supportive approach to patient care. **Hoag's mission as a not-for-profit, faith-based hospital is to provide the highest quality healthcare services to the communities it serves.**

Hoag Hospital has long been committed to the community. Hoag Hospital supports programs and organizations in the community that are targeted at improving the community's overall health primarily through disease prevention and health promotion. Hoag Hospital plays an active role in securing additional partnerships to continually develop, enhance and coordinate services available in the community, especially those for vulnerable and disadvantaged populations. Hoag's commitment to the well-being of area residents extends well beyond the borders of its hospital and health centers and into the lives of many who may never even pass through the hospital doors.

In 1995, the Community Medicine Department at Hoag Hospital was established under the leadership of its Director, Gwyn Parry, MD. The Community Medicine Department offers necessary healthcare-related services which are unduplicated in the community and provides financial support to existing community based non-profit organizations which already provide effective healthcare and related social services to meet community health needs.

In 2010, The Mary & Dick Allen Diabetes Center at Hoag Hospital opened its doors. One of the largest diabetes education programs in Orange County, The Allen Center makes a difference by improving the quality of life for all people with diabetes or at risk of diabetes through prevention, early detection, prompt care, education and support. Already leading the way in offering the very latest diabetes care and best outcomes for adults, Hoag is collaborating with community organizations to expand this care to include children, adolescents, young adults and the underserved.

MOMS Orange County

MOMS Orange County (MOMS) was "born" in 1992 as a public-private partnership created to help solve a severe prenatal care crisis in Orange County and to increase access to prenatal care for low-income women in Orange County. **The mission of MOMS is to help women and their families have healthy babies by offering health care coordination, education, and access to community services.** MOMS is a uniquely qualified community-based organization that provides access to prenatal care, well-woman care, health screenings, health education and referral services through monthly home visits and group classes. Each year, MOMS serves more than 3,500 low-income families in underserved communities in Orange County (more than 2,000 families reside in the HealthCare Foundation for Orange County's service area of Central Orange County). <u>MOMS efforts have resulted in improved birth outcomes, improved infant health and development, and improved maternal health.</u>

MOMS community-based Maternal-Child Health Coordination (Home Visitation) program is a low-cost, high impact alternative to traditional case management and health education. Under nurse supervision, MOMS provides access to prenatal care, well-woman care, health screenings, health education and referral services through monthly home visits and group classes. MOMS clients receive one-on-one education and support during pregnancy and through the baby's first birthday. During pregnancy, the program focuses on measures to increase the mother's chance of delivering a healthy baby. After birth, the focus is on promoting the infant's healthy development and the mother's health and well-being. This is accomplished by establishing a medical home, tracking immunizations, promoting nutrition, educating parents on early brain stimulation, screening for achievement of developmental milestones, promoting infant play and exercise, enhancing parenting skills, and promoting well woman care.

MOMS does not provide any direct medical care but works with physicians and public health nurses to make sure clients are accessing appropriate care. One key element to MOMS approach is that Maternal Child Health Coordinators share the same culture and language and are able to gain the trust and confidence of the client because of the personalized relationship developed over time. This enables MOMS staff to observe a mother and her baby in their home on multiple occasions - allowing for unique opportunities to observe for potential health risks, including risk factors for gestational diabetes and other potential health risks that can be averted with education and intervention. MOMS has been recognized by the Health Care Agency of Orange County, the Children & Families Commission of Orange County, the California Department of Health Services, and the United States Congress for its innovative work in the community and its positive impact on the health of women and babies in Orange County. The health of the community has improved because MOMS mothers access prenatal care; babies are born healthy and reach their developmental milestones on time; and fathers are prepared for a positive role in the family.

MOMS success working with high risk, low income pregnant women is key to the project as evidenced by the impact to birth outcomes. MOMS has demonstrated through rigorous outside evaluation that its program is not only sound, but is bringing children in a highly disadvantaged population to health and developmental levels that exceed those set by the general U.S. population. Of the 1,350 babies born to MOMS families in 2009:

- **Improved birth weights** –Only 6.4% born at a low birth weight (compared to a U.S. rate of 8.3% and CA rate of 6.8%). MOMS babies are born healthier.
- **Reduced rates of premature births** – MOMS mothers are less likely to deliver babies prematurely (6.5% preterm rate compared to U.S. rate of 12.8%, CA rate of 10.7%, and O.C. rate of 9.2%).
- **Decreased Neonatal Intensive Care Unit (NICU) admissions** – MOMS babies are less likely to be admitted to the NICU (6.4% admission rate compared to 10% countywide)

Description of the Problem or Need to be Addressed

A recent study published by the American Diabetes Association (ADA) found that the number of pregnant women with pre-existing diabetes in California has more than doubled in seven years. According to the California Diabetes and Pregnancy Program (CDAPP), diabetes is a complication for up to 7-14% of pregnant women and gestational diabetes has increased 60%. Gestational diabetes mellitus (GDM) is high blood glucose that starts during pregnancy in women who have never had diabetes and negatively affects the fetus during pregnancy. Diabetes alters fuel metabolism producing hyperglycemia and hypoglycemia for both the mother and fetus. Exposure to hyperglycemia en-utero can lead to childhood obesity and predispose the fetus to adult diabetes. Babies born to mothers with untreated GDM have nearly double the normal risk of becoming obese in childhood not to mention developing diabetes and serious health problems in adulthood.

A study conducted at Harvard Medical School by Dr. Matthew Gillman found that even babies under 6 months old are more likely to be overweight today compared to those 20 years ago. Research has shown that accelerated weight gain in a child's early months can predict weight problems later in life and that conditions and poor health habits during pregnancy and the first year of life can contribute to an infant's risk for childhood obesity including gestational diabetes, lack of breastfeeding, poor nutrition, overfeeding, lack of play and exercise. Pediatric obesity experts now say intervention should begin very early. The risk of becoming overweight or obese, it increasingly seems, begins before a child is born, establishes roots in infancy and may be entrenched by the time a tot starts kindergarten.

Furthermore, women who develop GDM have a 20% to 50% chance of developing Type 2 diabetes beyond their pregnancy and are at higher risk for other heart and blood vessel disease risk factors, including obesity, high blood pressure, cholesterol problems, metabolic syndrome, and clogged blood vessels.[1] Most recently, study findings published in the Journal of the American Medical Association[2] emphasized that obesity in itself increases the risk of many pregnancy complications such as spina bifida, heart problems, cleft palate and a number of other defects. Furthermore, women with gestational diabetes have an increased risk of recurrence in subsequent pregnancies. [3] This data is a shocking testament to the compelling need to identify warning signs and initiate preventive measures as early on in pregnancy as possible.

Target Population

Despite the perceived wealth in Orange County, more than 40% of the 45,000 babies born each year are born to women living in poverty--MOMS serves more than 3,500 of these families each year. Low-income, medically underserved women are at higher risk for delivering infants with poor birth outcomes and subsequent health problems. Poverty is a significant factor that can cause poor birth and maternal outcomes-including gestational diabetes, premature birth, medical complications, and maternal depression. The target population for the MOMS Pregnancy & Diabetes Program are low-income pregnant women and their babies in Orange County. Of the 3,500 families served, more than 2,000 live in the HealthCare Foundation for Orange County's service area of Santa Ana, Anaheim, Orange and Tustin (listed among top 10 Orange County cities with the highest rates of individuals and families in poverty, according to the U.S. Census Bureau's American Community Survey, 2008). Gestational diabetes and Type 2 diabetes are serious health risks for MOMS clients and their babies. In 2009, MOMS served 4,820 mothers and babies in Maternal Child Health Coordination (Home Visitation). Of the total served, 50% were identified as diabetic or at-risk of developing diabetes. Clients are the "working poor" who are uninsured or underinsured and have difficulty paying for health care and, therefore are unlikely to access preventative health care. MOMS has been recognized by the California Department of Health Services Diabetes & Pregnancy Program for improving the outcomes of pregnant women with diabetes and reducing their risk of Type 2 diabetes.

-general tract

[1] Diabetes Care, August 2008 (vol.31, no. 8)
[2] Journal of the American Medical Association, February 2010
[3] American Journal of Obstetrics and Gynecology, July 2010

Description of the Proposed Project

MOMS believes that pregnancy and early parenting offers a window of time when a woman can make healthy lifestyle choices that will affect her life-long health as well as the health of her baby and entire family. Prenatal care is of utmost importance for all pregnant women. For those with diabetes, there is a higher than normal risk of infant and maternal morbidity and mortality. Diabetes alters fuel metabolism producing hyperglycemia and hypoglycemia for both the mother and fetus. Exposure to hyperglycemia in uteri can lead to childhood obesity and predispose the fetus to adult impaired islet cell function. Scientific evidence has shown that many of the complications of diabetes in pregnancy can be reduced or prevented by interventions during pregnancy.

MOMS pregnancy and diabetes prevention efforts have long been a part of its in-home health coordination model as a part of its efforts to combat the spread of the obesity epidemic through early interventions. The goal has been to improve birth outcomes for babies born to pregnant diabetic mothers and reduce the risk of developing GDM for women who are identified as at-risk clients. Clients with diabetes and those identified as at-risk of developing diabetes during their pregnancy are automatically enrolled into the Pregnancy & Diabetes program. Each case is reviewed monthly or more often if needed by MOMS Registered Nurse (RN) Case Managers and a diabetic care plan is developed with a focus on nutrition, exercise and maintaining blood sugars. A Registered Dietician consultant is available as needed to provide support to the RN Case Managers for high-risk cases or when there is an issue outside of their scope of knowledge. Clients are educated on maintaining blood glucose in the target range and accessing appropriate medical care to promote healthy birth outcomes. After pregnancy, clients are encouraged to exclusively breastfeed their babies and maintain their blood glucose through proper nutrition and exercise. It has been shown that breastfeeding reduces risk of diabetes for children later in life and "exclusive breastfeeding can reduce the risk of childhood obesity."

While MOMS has had moderate success, <u>the number of MOMS incoming clients in need of specialized diabetes care coordination continues to increase significantly</u>. At the same time, recently released data reflects an alarming increase in national and state rates of maternal morbidity and mortality along with diabetes impact on birth outcome. Combining valuable lessons learned through its own community-based model, <u>MOMS is developing an intensive community-based pregnancy and diabetes prevention, education and self-management program which will include targeted prevention-based classes facilitated by a bilingual Diabetes Coordinator.</u> During this six-month planning period (July – December 2010), MOMS has acquired the services of Martha Londono, MPH, to research, develop and pilot the enhanced diabetes program with plans to fully implement in January 2011. It is MOMS intention to hire Ms. Londono permanently as the Diabetes Coordinator should funding be secured. Ms. Londono has over 25 years of experience as a community health professional with a diverse background in nursing administration, program development, implementation, training and curriculum development in South America and the US. She is certified by the Centers for Disease Control (CDC) in the area of Diabetes Education and has worked with the CDC's National Diabetes Education Program and helped to develop a Spanish-language diabetes prevention curriculum *Diabetes: La Comunidad en Accion*, in Mexico and the US.

In the preliminary period, MOMS will assess existing models of diabetes prevention to identify the model most complimentary to its current prevention work. The model will not be a "medical model" but will <u>apply best practices in the areas of screening, early identification of diabetes, and self-management using in-home education and group classes</u>. Additionally, the Center for Disease Control's Road to Health Tool kit, California Diabetes and Pregnancy Program Guidelines for Care and the 2010 March of Dimes Pregnancy and Diabetes Report recommendations will also be adapted into the enhanced program. With experience in depression-risk screening, MOMS will modify its current assessment tool to comprehensively determine GDM risk based on additional indicators. The tool will assess each clients' risk level based on ethnicity, history of depression(results in poor lifestyle decisions), history of diabetes, maternal and paternal history of diabetes, GDM in previous pregnancies and age (clients ≤18 years or ≥30 years are at greater risk at developing GDM). MOMS Maternal Child Health Coordination team has received Type II diabetes education and will undergo additional training in gestational diabetes and targeted prevention strategies. The Diabetes Coordinator will pilot the new Diabetes & Pregnancy classes in September 2010. The group classes will include:

- **Diabetes in Pregnancy** – A four-class series with a focus on managing diabetes while pregnant
- **Post-Partum Healthy Living** – A three-class series with a focus on the mothers' wellness after delivery; keeping infants healthy to decrease risk of developing diabetes and childhood obesity; and developing health attitudes about food and infant feeding. The class will include light exercise.
- **Diabetes Support Group** – Weekly group discussions with a focus on managing diabetes during and after pregnancy.

The Diabetes Coordinator will also make personal phone invitations to all diabetic and at-risk clients for classes and be available to provide one-on-one consultation and diabetes management and support telephonically as needed.

<u>The goal of the enhanced MOMS Pregnancy & Diabetes program is to improve birth and maternal outcomes of mothers and babies living in poverty by identifying diabetic and at-risk clients early and providing risk-targeted education and both telephonic and group support with an emphasis on self-management and lifestyle change.</u> MOMS is confident that its results-oriented experience with client care and education combined with an effective, nation-wide model will produce successful outcomes.

Hoag Hospital and MOMS Orange County have a long history of collaboration and service to the community. From the MOMS Care Loan Program in the late 1990s to the research, development and implementation of HealthCare Foundation of Orange County-funded programs, *Brave Mothers* maternal depression program and *For Her Health* women's health program, Hoag has provided ongoing clinical expertise as well as help to secure funding and resources. By marrying Hoag's clinical expertise and MOMS community experience, two effective community-based prevention programs were developed and have since been integrated into MOMS core services. Furthermore, both Dr. Parry and Rebecca Barnard have served on the MOMS Orange County Advisory Council. Rebecca Barnard, the Program Manager for the Community Medicine Department and a past Community Mother of the Year award recipient, currently serves on the MOMS board of directors. Most recently, Dr. Parry introduced MOMS to Dr. Patricia A. Riba, founder and Medical Director of Orange County's Children's Wellness Program. Dr. Riba was named as an Agent of Change by The Children and Families Commission of Orange County for her work in childhood obesity and diabetes in Orange County. Dr. Riba began offering childhood obesity prevention classes, "*Dr. Riba's Health Club: Nutrition with Mommy*" at The Margaret E. Oser Health Education Center at MOMS.

MOMS has met with the clinical diabetes team at The Mary & Dick Allen Diabetes Center at Hoag Hospital regarding the development of their community-based program. Discussion on collaborative opportunities are on-going and the expectation is that the team at Hoag Hospital will share and provide clinical expertise through the development of the diabetes curriculum specific to MOMS target population while MOMS program staff will share best practices and help to inform Hoag's community-based efforts.

For the Pregnancy & Diabetes Program, Dr. Parry and Rebecca Barnard has committed to providing clinical oversight and support during the development and implementation. Ms. Barnard will continue to assist MOMS in the six-month planning phase (July – December 2010) and will provide clinical expertise. Dr. Parry will assist in identifying professional and clinical experts to advise and participate in the project as needed as well as help to identify opportunities for MOMS and MOMS clients to participate in community initiatives on pregnancy and diabetes, health fairs, community forums and policy forums.

Desired Results

MOMS is currently undergoing a comprehensive evaluation of its programs including the pregnancy and diabetes program. Based on the information reflected in the results of the evaluation, MOMS will apply the lessons learned to the enhanced program. Baseline data will be established and outcome measurement indicators will be confirmed from the results of the evaluation. Health indicators will be monitored regularly and evaluated by a contracted program evaluator to measure the achievement of project objectives and outcomes. Client focus groups will be conducted to collect direct feedback as to the effectiveness of the program and client satisfaction. MOMS Program Committee consisting of staff, board and volunteers will meet quarterly to evaluate program outcomes, focus group data, and feedback from frontline staff.

The HealthCare Foundation of Orange County – Partners For Health 2010
Hoag Hospital and MOMS Orange County – Pregnancy & Diabetes Program

Anticipated Outcomes	Major strategy	Measure for strategy	Activities planned (Milestones)	Health Indicators
1. Decreased rate of at-risk pregnant clients developing diabetes. **2. Positive birth outcomes for babies born to mothers with diabetes or at-risk for developing GDM during their pregnancy** **3. Positive maternal outcomes for clients with diabetes or at-risk for developing GDM during pregnancy.**	a. Screen all MOMS clients for risk of diabetes b. Increase the number of pregnant diabetic clients or those at risk for developing diabetes enrolled in MOMS Pregnancy & Diabetes program c. Provide increased diabetes education and support by establishing a Diabetes Coordinator position	a. 100% of MOMS clients will be screened for diabetes b.100% of pregnant diabetic clients or those at risk for developing diabetes will be enrolled in MOMS Pregnancy & Diabetes program c. 100% of MOMS clients will receive increased diabetes education. d. 100% of MOMS clients will receive education on nutrition and proper feeding of an infant e. 100% of MOMS clients will receive education on breastfeeding	•Screen 2,500 clients for risk of diabetes (1,490 reside in HFOC's service area) •Provide in-home health care coordination •Provide disease management support •Provide diabetes health education •Monitor blood sugar levels •Provide diabetes education and support through home visits" •Provide diabetes management classes (prenatal and postpartum) approximately 132 in a 12-month period •Provide weekly diabetes support groups •Provide telephone consultation for diabetes management (number to be determined) •Provide education on nutrition and proper feeding during home visits and group classes •Monitor infant nutrition, feeding and weight during home visits •Refer nutrition, feeding, and weight concerns to MOMS RN and RD for review, recommendations and referrals to community partners for medical care and community resources,	• Time of entry into care • Maternal Weight • Body Mass Index (BMI) • Blood sugar levels • Gestational age at birth • Maternal Weight Gained • Breastfeeding rates • Infant Birth weight • NICU admissions • Birth defect rate • Neonatal loss rate • Prenatal Hospital Admission • Caesarean-section rate • Length of hospital stay

Program Sustainability

Gaps in Service

MOMS has identified a significant loss of state-funded Diabetes & Pregnancy clinics called Sweet Success in Orange County, particularly for the at-risk population. All 12 Sweet Success sites are no longer in existence. MOMS continues to encounter many clients who are unable to access culturally appropriate, diabetes monitoring and support in Orange County and oftentimes, their enrollment in the MOMS Pregnancy & Diabetes program is their first and only exposure to diabetes education and support. In light of the current economic environment and the increasing need for diabetes prevention services (which are directly tied to birth outcomes), this gap in service is likely to remain a concern for some time to come.

Community Assets

MOMS helps clients who are diabetic or at-risk for diabetes access appropriate medical care by referring to community partners for additional support and education. Upon screening and identification of clients, MOMS makes referrals to hospital and community-based diabetes clinics which provide the clinical support and monitoring to complement the diabetes education and support provided by MOMS. Diabetes clinics referred to include the Diabetes Education Clinic at St. Joseph Hospital, Garden Grove Hospital, Western Medical Center – Santa Ana, Fountain Valley Hospital, Orange Coast Memorial Medical Center, Nhan Hoa Comprehensive Clinic, Latino Health Access and WIC.

Additionally, the community assets utilized during the project will be the network of community collaborative and partners already in existence through other ongoing projects. Currently, MOMS is actively engaged with The California Endowment-funded Santa Ana Building Healthy Communities project and would leverage and strengthen the partnerships with other organizations also serving the community in the area of health and wellness.

MOMS has had a long history of collaborating with the Orange County Regional Perinatal Program (OCRPP), a state-funded program that aims to improve pregnancy outcomes and reduce maternal and neonatal morbidity and mortality. The OCRPP provides professional education and perinatal resource materials as well as consultation for patient care management and standards of care. Through the Orange County Perinatal Council, the OCRPP uses the multidisciplinary quality improvement approach to interpret and analyze data for the region and to assist in the identification and implementation of community perinatal standards to achieve evidence based perinatal care. In January 2010, MOMS was awarded the California Department of Public Health contract and now houses the Orange County Regional Perinatal Program at its Santa Ana location. MOMS hopes to capitalize on their in-house presence, particularly in the area of diabetes and pregnancy.

Sustained Funding

MOMS is one of the few organizations in Orange County qualified to access "Targeted Case Management" (TCM) funding from the County of Orange. The County accesses these funds from the California Department of Health Services through a Federal Government draw down. This stream of funding has continued through this difficult budget time, projected at reduced levels, at both the federal and state level. Even though TCM reimbursements have occurred two years behind services rendered, payments schedules have become more consistent. MOMS has been able to apply this revenue to current operating expenses which has helped to sustain TCM services through these difficult economic times. With diabetes prevention as a core element of its service base, MOMS is fortified by its solid birth and maternal outcomes and well-positioned to secure philanthropic funding to support long-term funding of the MOMS Pregnancy & Diabetes Program.

A key to MOMS success and financial stability over the years has been its diversified funding base. MOMS has not relied on government funding or grant funding alone. MOMS has a proven track record of securing funding, meeting program objectives, sustaining new programs and incorporating them into its core services. MOMS has also been very successful in securing non-traditional revenue sources to fund its programs. MOMS will continue to aggressively seek fee-for-service contracts and other contracted revenue sources to leverage against philanthropic funding.

A $125,000 grant from the Healthcare Foundation for Orange County is essential to the success of MOMS Pregnancy & Diabetes program because it will <u>increase access to diabetes prevention and primary care services for more than 2,000 families residing in the HealthCare Foundation of Orange County's service area of Santa Ana, Tustin, Anaheim and Orange and thereby, the improved health status of mothers and positive birth outcomes of babies living in poverty.</u>

Budget

See attached budget

Staffing

See attached budget narrative

MOMS Orange County and Hoag Hospital
Pregnancy and Diabetes Program
Fiscal Year July 1, 2010 - June 30, 2011
Projected Budget as of August 16, 2010

Expense		**Amount**
Salaries		
	Registered Nurses - .6 FTE ($51 per hr)	$63,720
	Maternal Child Health Coordinators - 3 FTEs ($23 per hr)	$144,000
	Program Support Assistants - .3 FTE ($18 per hr)	$11,400
	Data Administration Support Staff - .3 FTE ($19 per hr)	$12,000
	Diabetes Coordinator - .5 FTE ($36 per hour)	$38,000
Salaries subtotal		$269,120
Benefits (15%)		$40,368
Salaries & Benefits Total		**$309,488**
Rent		$10,000
Program/Education Materials		$2,000
Telephone		$1,080
Mileage (Home Visits)		$5,400
Training/Staff Development		$2,000
Consultant - Registered Dietician		$7,800
Consultant - Program Evaluator		$1,500
Operating Expense Total		**$29,780**
Subtotal		**$339,268**
Indirect Expenses (10%)		**$33,927**
Total Expenses		**$373,195**
Committed Funding Allocated to Program		
	Children & Families Commission of Orange County*	$45,000
	CalOptima*	$45,000
	Weingart Foundation*	$16,660
	Sisters of St. Joseph Healthcare Foundation	$50,000
	Ueberroth Family Foundation	$50,000
Committed Revenue Total		**$206,660**
Funding Need		**($166,535)**
Pending Grant Requests		
	Kaiser Permanente	$30,000
	Pacific Life Foundation	$10,000
Pending Grant Requests Total		**$40,000**
	*Portion of funding allocated to this program	

*No material costs for Hoag Hospital are expected. The value of in-kind clinical support is still to be determined.

MOMS Orange County Pregnancy & Diabetes Program
FY 2010-2011 Budget Narrative for Healthcare Foundation for Orange County
8/19/10

Staff	Role in Project	FTE (1 FTE= 2,080 hours)	Total Estimated Hours and Average Hourly Rates or Estimated Annual Costs
Registered Nurses	Evaluates all diabetes screening results and triages clients as needed to the appropriate medical and community resources. Includes RN/CEO who is responsible for operational and clinical oversight of the program and evaluation process.	0.6	1,250 hours at $51 per hour
Maternal Child Health Coordinators (home visitors)	Under nurse supervision, conducts health/diabetes screenings, education and referral services through monthly home visits.	3	6,240 hours at $23 per hour (2,080 hours per home visitor)
Program Support Assistants	Responsible for clerical support of program and preparation of program materials.	0.3	633 hours at $18 per hour
Data Admin Support Staff	Responsible for data entry.	0.3	632 hours at $19 per hour
Diabetes Coordinator	Responsible for diabetes classes, support groups and one-on-one education and support.	0.5	1,056 hours at $36 per hour
Benefits	Includes medical, life, worker's compensation and disability insurance, payroll taxes, and uniforms.	N/A	15% of total salaries
Operating Expenses			
Rent	Mortgage	N/A	$10,000
Program/Education Materials	Educational Materials	N/A	$2,000
Telephone	Cell phone and land lines for project staff	N/A	$360 per home visitor
Mileage	Mileage for home visits	N/A	$1,800 per home visitor
Training/Staff Development	On-going diabetes training	N/A	$2,000
Consultant – Registered Dietician	Consultant has been retained to provide consultation as needed to RNs for high-risk cases.	N/A	104 hours (2 per week) at $75 per hour
Consultant – Program Evaluator	Outside consultant will be engaged to establish baseline data, develop evaluation plan and conduct formal program evaluation.	N/A	15 hours at $100 per hour
Indirect Expenses	Administrative and overhead expenses related to the program.	N/A	10% of total budget

No material costs for Hoag Hospital are expected. The value of in-kind clinical support is still to be determined.

THE HEALTHCARE FOUNDATION FOR ORANGE COUNTY

Partners for Health Grant Application Cover Page
1450 North Tustin Avenue Suite 209, Santa Ana, CA 92705-8641
(714) 245-1650 FAX (714) 245-1653

RECEIVED AUG 24 2010

Project Title: **Together in Prevention: Leveraging Mobile Oral Health Services in Orange County Underserved Schools – a Partnership between St. Joseph Puente a la Salud and Healthy Smiles For Kids of Orange County**

Hospital Organization Proposed to Administer the Project:
Hospital Name: St. Joseph Hospital of Orange
Address: 1100 West Stewart Drive
Orange, CA 92868
Hospital Lead Contact Name: Dulce Medina Title: Clinic Manager, Puente a La Salud
Address (if different from above):
Phone: (714) 771-8000 ext. 15831 Fax: (714) 744-8629 Email: Dulce.Medina@stjoe.org

Amount Requested: $100,000 for 12 Months

Date Budget Begins: January 1, 2011 **Date Budget Ends**: December 31, 2011

Project Lead Contact Name (Designated to address detailed questions during the review process)
Name: Diane Limbo, RN, CPNP Title: Senior Director of Operations, Healthy Smiles for Kids
Address (if different from above address): 10602 Chapman Avenue #200 Garden Grove, CA 92840
Phone: 714.537.0700 x7921 Fax:714.537.0733 E-Mail: dlimbo@healthysmilesoc.org

Project Partners (List all – attach pages as needed)

(1) Organization Name: St. Joseph Hospital of Orange
Name of Executive Officer: Linda Simon, Vice President of Mission Integration
Contact Name (if different from Executive): Dulce Medina Title: Clinic Manager, Puente a La Salud
Address: 1100 West Stewart Drive
Orange, CA 92868
Phone: (714) 771-8000 ext. 15831 Fax: (714) 744-8629 Email: Dulce.Medina@stjoe.org

(2) Organization Name: Healthy Smiles For Kids of Orange County
Name of Executive Officer: Elizabeth Bear
Contact Name (if different from Executive): Jeanette Storey Title: Director of Development
Address: 10602 Chapman Avenue #200 Garden Grove, CA 92840
Phone: 714.537.0700 x7928 Fax: 714.537.0733 E-Mail: jstorey@healthysmilesoc.org

[PLEASE REMEMBER TO SIGN REVERSE SIDE]

Brief Summary of Project:

Healthy Smiles For Kids of Orange County and St. Joseph Puente a la Salud provide oral care and education to children in underserved schools, shelters, community centers and health fairs through our mobile RV dental clinics. These clinics are staffed by high quality Dentists, Registered Dental Assistants and Hygienists and oral health educators who collectively screen almost 8,000 children a year for decay. Our proposal to the Healthcare Foundation for Orange County centers on strengthening our mutual resources by improving personnel availability, communication and scheduling efficiencies between our mobile oral health prevention programs – to the benefit of screening and treating more low-income children within underserved central Orange County public schools.

Please check the Foundation goal area(s) this project seeks to address:

___ **Empower parents and caregivers with information and support** to ensure family health

___ **Bring culturally relevant services and information** into communities

_x_ **Remove access barriers to care**

_x_ **Encourage innovative services and proven models** for health priorities and delivery system gaps

___ **Assess the changing health needs** of children, adolescents and families of community health

Signatures: By signing this form, the applicant is certifying that the information contained here and in the attached application are true and correct, and that the proposed project has been approved by each of the applicant organizations. Further, the applicant understands and agrees that acceptance of a grant from the Foundation will require these organizations to participate in required financial and programmatic reporting, to permit visits to the program site(s) by Foundation representatives, and to permit the Foundation to include information on this project and its participating organizations in Foundation publications.

Hospital Organization: St. Joseph Health System Orange County

Executive Director/Official | Authorized Officer of Board

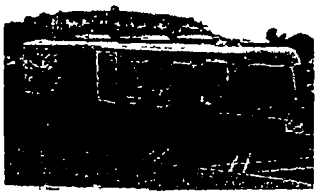
Partner Organization: Healthy Smiles For Kids of Orange County

Gary Van Arnam, Chair

Elizabeth Bear, CEO

Executive Director/Official | Authorized Officer of Board

☐ Additional partner signatures are attached



Healthy Smiles

St. Joseph Hospital

ST JOSEPH HEALTH SYSTEM

Together in Prevention: Mobile Oral Health Services in Orange County Underserved Elementary Schools

I. Organizational Overview

St. Joseph Hospital's Puente a La Salud Mobile Community Dental Clinic and Healthy Smiles for Kids of Orange County provide oral care and education to children in underserved schools, shelters, community centers and health fairs through our mobile dental clinics. These clinics are staffed by high quality Dentists, Registered Dental Assistants and Hygienists, Oral Health Educators and Care Coordinators who collectively screen almost 8,000 children per year for dental decay. Our proposal to the Healthcare Foundation for Orange County centers on strengthening our mutual resources by improving personnel availability, communication and scheduling efficiencies between our mobile oral health prevention programs – to the benefit of screening and treating more low-income children at underserved public elementary schools in Central Orange County.

St. Joseph Hospital of Orange is a 525-bed nonprofit community hospital committed to offering care to those in need without regard to their financial status or level of insurance. This is especially important since Orange County does not have a county hospital to provide services to low-income members of our community. While our hospital provides comprehensive care throughout Orange County and the surrounding areas, our primary service area is central Orange County, including Santa Ana, Tustin, Orange and Anaheim. ***Our vision is to bring people together to provide compassionate care, promote health improvement and create healthy communities. Our mission is to continually improve the health and quality of life of people in the communities we serve.*** We strive to ensure all of our interactions with the people we serve reflect our Hospital's commitment to the values of dignity, excellence, service and justice.

__Puente a La Salud Mobile Community Clinics__

St. Joseph Hospital is **strongly committed to reaching out to the most vulnerable members of our local communities to provide them with quality services and to empower them to take steps to improve their own health.** Much of this outreach is performed by our *La Amistad de Jose Family Health Center* and our *Puente a La Salud Mobile Community Clinics*. Through these clinics and our other community benefit programs, our hospital makes important contributions to building a stronger, more comprehensive healthcare safety net in Orange County.

Puente was established in 1996 and now operates four licensed mobile clinics providing comprehensive dental, vision and primary medical care to Orange County's low income, uninsured and underinsured populations. Puente has developed a high level of trust in the community by eliminating barriers and providing culturally competent health education and primary care services that are responsive to the needs of underserved communities. Both are licensed by the *State of California Department of Health Services* and are accredited by The *Joint Commission* (formerly known as *JACHO*).

Puente answers an urgent countywide need in dental care -- a greatly neglected, yet essential area of health care. In response to this need, we have developed a comprehensive dental education, screening and __treatment program__. Aiming to serve the poorest and most vulnerable, our clinics focus on the meeting the needs of low-income families facing such barriers to accessing dental services as poverty, frequent mobility, language and cultural differences, low literacy, and insufficient/inadequate dental health knowledge. Our clinic patients do not have medical insurance or dental insurance.

__**Healthy Smiles for Kids of Orange County**__ is a focal point for pediatric oral health care. The mission of Healthy Smiles is to improve the oral health of children through collaboration directed toward prevention, education, treatment and advocacy. Healthy Smiles' vision is to help children enter school pain free and ready to learn. The Healthy Smiles Garden Grove 'Smile Center' is a 7,000 square foot treatment and education center that in 2009-2010 provided care to approximately 10,000 low-income children. Healthy Smiles is the primary referral source for pediatric specialty dental care from Orange County's 23 community clinics (The Collaborative). Healthy Smiles provides community outreach through screenings and education programs, partnering with schools and participating in community based events. Healthy Smiles partners with the local chapters of the American Academy of Pediatrics and the National Association of Pediatric Nurse Practitioners, providing training programs to pediatricians and NPs to better integrate oral health into the daily practice of primary care medicine.

In 2007, Healthy Smiles received an Economic Impact grant from the Pacific Life Foundation, which helped establish prevention and education programs by the **SmileMobile**, a mobile two-chair dental clinic within a custom RV. The SmileMobile operates in underserved schools in central Orange County to provide oral education, screenings, and fluoride varnish applications and sealants by pediatric dentists. SmileMobile school programs also include Care Coordination, provided by professionals who are bilingual and work with families whose children require extensive restoration and follow-up due to advanced decay. In 2009, the SmileMobile enabled specialized pediatric dentists, Registered Dental Assistants and educators to screen 7,108 children in 37 schools in Santa Ana, Anaheim, Garden Grove, Buena Park, Placentia and Westminster.

II. Target Population

Significant efforts have been made nationwide to address the epidemic of decay afflicting children; however, access barriers still exist for poor and minority children, making them especially vulnerable. The National Oral Health Surveillance Survey ranked California 24th in the prevalence of decay in children, and approximately 71% of children have experienced decay by the third grade. Approximately 43% of children in Orange County are eligible for free and reduced lunch programs (2010 Community Indicators Report), and the California Smile Survey found that children who live within this level of poverty are more than twice as likely to have untreated decay as their affluent peers. Many low-income families are also faced with transportation barriers for health-related appointments, and can be penalized by employers for taking time away from work to accommodate a child's health and/or dental wellness.

In order to address these challenges, Puente a La Salud and Healthy Smiles For Kids administer mobile dental clinics that provide oral health education, screenings, fluoride treatment, sealants and treatments at high need schools, community centers, health fairs and shelters. In 2011, Puente a La Salud will target uninsured children 0 to 18 years of age within central Orange County public schools, family resource centers, youth centers, and community health fairs who fall within 200% of the Federal Poverty Guidelines (or below). Puente will also target uninsured children of agricultural workers and children who are covered under Denti-cal insurance. Puente will address areas where most families are referred from, which include: Central City Community Health Center, Anaheim; Corbin Family Resource Center, Santa Ana; Diamond and Wilson Elementary Schools, Santa Ana; González-Northgate Supermarket, Anaheim; La Amistad de Jose Health Center, Orange; Oak View Elementary and Family Resource Center, Huntington Beach; Trinity Cristo Rey Church, Santa Ana; and the School Districts of Orange, Garden Grove, Anaheim, Santa Ana and Buena Park. All of Puente's Community sites are within walking distance for patients thus overcoming the transportation barrier that many of them face.

Through this proposal, Puente a La Salud will partner with the Healthy Smiles 'SmileMobile' school-based prevention program to broaden the spectrum of oral care currently available to elementary school children in underserved neighborhoods. In FY 2009-2010, the Healthy Smiles SmileMobile visited 37 different public schools in six different districts, targeting children from low-income families (based on the number of children who qualify for free or reduced-cost meals). Many of these children have never been screened by a dental provider, and require follow-up treatments, such as sealants for healthy teeth or restoration for caries, that is difficult for their families to manage. **As such, Healthy Smiles and Puente will primarily target children aged 0 to 18 in high need elementary schools in central Orange County (where our clinics currently serve), thereby focusing our limited resources to a specific age range and level of service coordination.**

III. Proposed Project

Our proposal to the Healthcare Foundation for Orange County is to formalize a coordinated program of school-based, oral health prevention and treatment efforts that leverage clinical personnel, availability, supplies and oral health educator time within our respective mobile clinics. The Healthy Smiles school-based prevention program is currently the County's leader in screening a high volume of children for oral decay through its mobile program. In FY 2009-2010, Healthy Smiles screened 7,108 children and provided fluoride varnish to approximately 87% of children screened (or 6,200); approximately 1,400 children received sealants. Healthy Smiles also referred approximately 2,400 children to safety-net clinics within the Orange County Children's Oral Health Collaborative (the Collaborative), including to Puente a La Salud.

The current scope of the Healthy Smiles mobile secondary prevention program is to screen children for early identification of dental caries, provide oral health education to classes and parents, and refer children and families to partner safety-net clinics. The SmileMobile visits a school site for a full or half day, where dentists, Registered Dental Assistants and Care Coordinators screen children for decay, apply fluoride varnish and refer children for restorative treatments to member clinics within the Orange County Children's Oral Health Collaborative ('the Collaborative'). The Healthy Smiles team has been able to consult as many as 200 children in one day, and as few as 35; variables include parental approval, the number of students at a school, the level of decay among students at a particular school site, and the number of dental professionals available to work on the SmileMobile.

The Puente mobile dental clinic also provides screenings, fluoride and sealants to children in public schools, but returns to schools to provide actual treatments to children with dental caries. Puente receives patient referrals through partners within the Collaborative, including Healthy Smiles, and in 2009 received 300 referrals from community school nurses in Santa Ana, Anaheim and Garden Grove. Every case referred (100%) was managed by a Puente Care Coordinator who worked with families by phone or in-person to provide information and identify dental treatment options for children. In FY 2010, Puente exceeded its goals by providing 1,464 pediatric dental visits out of 1081 unduplicated pediatric dental patients. Of these visits, treatments were provided to 434 children aged 0 to 13 years of age. In addition to its core dental services, Puente also provided 241 oral health education encounters at public schools and health fairs screenings, and captured data on all patients using new technology within its clinic (CCPRO).

Puente a La Salud offers a complementary range of oral care to a smaller subset of the same population served by the Healthy Smiles secondary prevention program. However, Healthy Smiles does not have sufficient resources – personnel and supplies - to apply sealants to most children who are eligible in schools, and does not conduct any type of restorative treatment on children during a SmileMobile school visit. As such, we envision a program where Healthy Smiles will refer elementary schools with higher numbers of children who are eligible for sealants, and/or who require restorative treatments, to the Puente a La Salud clinic where they can access care by a high quality dentist without having to leave school grounds.

The Healthy Smiles and Puente mobile dental clinics were initially modeled on guidelines from the (now defunct) California Children's Dental Disease Prevention Program (CCDDPP), which provided funding for programs that could effectively screen, deliver fluoride and sealant, and educate children in underserved schools and areas. Guidelines are still available at (http://www.cdph.ca.gov/programs/Pages/CCDDPP.aspx), and include details of how screenings are conducted, requirements for delivering fluoride varnish, and a general recommendation for the assessment and use of sealants in children.

IV. Project Outcomes

The deliverables for our proposed program are as follow:

A: Improve oral health for low-income children at underserved Orange County schools by increasing the presence and coordination of both Healthy Smiles and Puente mobile units at school sites.

i. Puente a La Salud and Healthy Smiles will schedule mobile clinic visits to high-need schools in the districts of Anaheim, Orange, Santa Ana, Buena Park, Garden Grove, Westminster and the Oak View Elementary and Resource Center. Improved coordination will support both organizations in reaching more children in underserved areas through scheduling, increased referrals and information sharing post-screenings. Our goal is for both organizations to improve efficiencies in ways that support more time at schools (more children treated), and follow-up visits to previous sites for sealant applications and treatments (Puente).

ii. Puente will receive more referrals from partners within the Collaborative, and in 2011 will aim to provide children with at least 416 dental treatment visits within underserved schools and community areas. Services can be defined to include fluoride varnish applications, sealants, and/or oral care treatments, depending on the condition of a child's mouth.

iii. Healthy Smiles will aim to provide SmileMobile secondary prevention services (i.e. screenings, education, fluoride varnish and/or care coordination) to at least 37 schools in central Orange County (approximately 7,000 children). Our goal is a total program goal, and based on data collected by the SmileMobile prevention and outreach team during the FY 2010-2011 school year.

B: Improve care coordination efforts between Puente and Healthy Smiles for children screened at public schools.

i. Puente will provide care coordination to over 100 children who are referred by the Collaborative, and will work with Healthy Smiles to matriculate cases that may require sedation for advanced restoration.

ii. In 2011, Healthy Smiles will provide care coordination and referrals for over 2,000 children seen by the SmileMobile at public schools. Children and families will receive instruction on the level of care needed, and Coordinators will work with families to identify clinics within the Collaborative, including Puente and Healthy Smiles, that could provide services needed.

"Partners for Health"

Puente a La Salud and Healthy Smiles For Kids

Project Budget – Jan 1, 2011 – Dec 31, 2011

[illegible]	Budget
Salaries (Puente)	$34,939
Salaries (Healthy Smiles)	$37,845
Benefits (15%)	$10,918
Total Personnel	**$83,702**
Operating Expenses	**$6,819**
Indirect Expense (10%)	**$9,052**
Total Program Cost	**$99,573**

Staffing List by POSITION	Hours Worked Per Week	FTE Standard	Annual Budget
Dentist (Puente)	8.00	0.20 FTE	**$26,183**
Dental Assistant (Puente)	5.00	0.12 FTE	**$4,553**
Clinic Assistant (Puente)	4.00	0.10 FTE	**$4,204**
Pediatric Dentist (Healthy Smiles)	4.00	0.10 FTE	**$22,100**
Registered Dental Assistants (Healthy Smiles)	6.00	0.15FTE	**$9,597**
Oral Health Educator (Healthy Smiles)	4.00	0.10 FTE	**$6,148**
Subtotal - Staffing Hours (Puente)	**17.00**		**$34,939**
Subtotal - Staffing Hours (Healthy Smiles)	**14.00**		**$37,845**
Benefits	**15%**		**$10,918**
Total Personnel			**$83,702**
[illegible]			
Dental Supplies (Puente)			**$4,819**
Hygiene Kits (Healthy Smiles and Puente)			$2,000
Subtotal - Operating Expenses			**$6,819**
Personnel and Operating Expenses			**$90,521**
Indirect Expenses	**10%**		**$9,052**
Total Program Costs			**$99,573**

VI. Budget Narrative

During the project period (January 1, 2011 – December 31, 2011), the Healthcare Foundation for Orange County will support a significant part (approx. 50%) of the total respective budgets required to run both the Puente a La Salud and Healthy Smiles mobile clinics, in proportion to the scope and number of schools (children) served by this project. Puente and Healthy Smiles have similar resource structures, and will utilize the award to fund clinicians, Registered Dental Assistants, a Clinic Assistant (Puente) and an Oral Health Educator (Healthy Smiles). Funding will support clinical personnel who conduct similar work in our two respective clinics; the Healthy Smiles Educator works with teachers and children – in classrooms – to provide culturally sensitive lessons in oral health. This position places salary support for Healthy Smiles at a slightly higher level than Puente's, however Puente's clinic requires more supplies (due to the types of treatments conducted), so the budget roughly balances to equal resources for both partners. Care Coordination at Healthy Smiles for school based prevention services is almost fully funded by grants, which will support collaborative goals outlined in our proposal during the following year of operations.

VII. Staff

Clinic Manager (Puente and Healthy Smiles) will ensure the program goals and objectives are achieved.

Dental Director (Puente) will provide supervision and consultation services to dental staff.

Dentists (Puente and Healthy Smiles): 8 hours/week (Puente) 4 hours/week (Healthy Smiles), budgeted at **$48,283.** The Dentists will provide dental screenings and treatments (Puente only) within his/her scope of practice, for pediatric patients admitted to the program. The Dentist supervises dental support staff and participates in department quality improvement process and peer review.

Dental Assistants (Puente and Healthy Smiles): 10 hours/week, budgeted at **$14,150.00.** The Dental Assistant assists dentist during procedures and performs oral duties as instructed. Dental Assistants also drive the mobile clinic to and from community sites, prepare dental