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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

2010

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2010, or tax year beginning APR 1, 2010, and ending MAR 31, 2011

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

Name of foundation <b>JOHN AND KELLY HARTMAN FOUNDATION</b>		A Employer identification number <b>22-3139258</b>
Number and street (or P.O. box number if mail is not delivered to street address)	Room/suite	B Telephone number <b>860-313-4930</b>
<b>CUMMINGS &amp; LOCKWOOD LLC, P.O. BOX 271820</b>		
City or town, state, and ZIP code <b>WEST HARTFORD, CT 06127</b>		C If exemption application is pending, check here <input type="checkbox"/>
		D 1. Foreign organizations, check here <input type="checkbox"/>
		2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ <b>12,618,439.</b> (Part I, column (d) must be on cash basis.)	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	91,935.		N/A	
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	511.	511.		STATEMENT 1
	4 Dividends and interest from securities	205,837.	205,837.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	10,168.			
	b Gross sales price for all assets on line 6a	10,168.			
	7 Capital gain net income (from Part IV, line 2)		10,168.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	308,451.	216,516.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees STMT 3	57,746.	57,746.		0.
	b Accounting fees				
	c Other professional fees STMT 4	56,948.	56,948.		0.
	17 Interest				
	18 Taxes				
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses				
	24 Total operating and administrative expenses. Add lines 13 through 23	114,694.	114,694.		0.
	25 Contributions, gifts, grants paid	558,404.			558,404.
26 Total expenses and disbursements. Add lines 24 and 25	673,098.	114,694.		558,404.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	<364,647.>				
b Net investment income (if negative, enter -0-)		101,822.			
c Adjusted net income (if negative, enter -0-)			N/A		

Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	2,096,087.	1,723,367.	1,723,367.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 5	11,094,064.	11,102,137.	10,895,072.
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers)	13,190,151.	12,825,504.	12,618,439.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	1,111,458.	1,111,458.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	12,078,693.	11,714,046.	
	30 Total net assets or fund balances	13,190,151.	12,825,504.	
	31 Total liabilities and net assets/fund balances	13,190,151.	12,825,504.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	13,190,151.
2 Enter amount from Part I, line 27a	2	<364,647.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	12,825,504.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	12,825,504.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a CLASS ACTION SETTLEMENT</b>	<b>P</b>		<b>10/13/10</b>
<b>b CAPITAL GAINS DIVIDENDS</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 254.			254.
<b>b</b> 9,914.			9,914.
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
<b>a</b>			254.
<b>b</b>			9,914.
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	<b>2</b>	10,168.
	<b>3</b>	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	582,711.	10,535,211.	.055311
2008	659,068.	11,638,732.	.056627
2007	944,031.	12,992,583.	.072659
2006	354,671.	8,503,094.	.041711
2005	306,301.	7,320,696.	.041840

<b>2</b> Total of line 1, column (d)	<b>2</b>	.268148
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	.053630
<b>4</b> Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	<b>4</b>	11,515,400.
<b>5</b> Multiply line 4 by line 3	<b>5</b>	617,571.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	1,018.
<b>7</b> Add lines 5 and 6	<b>7</b>	618,589.
<b>8</b> Enter qualifying distributions from Part XII, line 4	<b>8</b>	558,404.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	2,036.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,036.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,036.
6 Credits/Payments:			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	10,569.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	10,569.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	8,533.	
11 Enter the amount of line 10 to be: Credited to 2011 estimated tax <input type="checkbox"/> 8,533. Refunded <input type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> FL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

N/A

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**Part VII-A** Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► PAUL L. BOURDEAU, ESQ. Located at ► CUMMINGS & LOCKWOOD, BOX 271820, W. HRTFD, CT Telephone no. ► 860-313-4930 ZIP+4 ► 06127			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15 N/A		
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes No	X X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

6b

X

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LARRY BIEHL	TRUSTEE			
CUMMINGS & LOCKWOOD, P.O. BOX 271820				
WEST HARTFORD, CT 06127	0.00	0.	0.	0.
PAUL L. BOURDEAU	TRUSTEE			
CUMMINGS & LOCKWOOD, P.O. BOX 271820				
WEST HARTFORD, CT 06127	0.00	0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	9,963,502.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	1,727,259.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	11,690,761.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	11,690,761.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	175,361.
<b>5</b>	<b>Net value of noncharitable-use assets</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	11,515,400.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	575,770.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	575,770.
<b>2a</b>	Tax on investment income for 2010 from Part VI, line 5	<b>2a</b>	2,036.
<b>b</b>	Income tax for 2010. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	2,036.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	573,734.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0.
<b>5</b>	Add lines 3 and 4	<b>5</b>	573,734.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	573,734.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	558,404.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	558,404.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	0.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	558,404.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
<b>1</b> Distributable amount for 2010 from Part XI, line 7				573,734.
<b>2</b> Undistributed income, if any, as of the end of 2010				
<b>a</b> Enter amount for 2009 only			0.	
<b>b</b> Total for prior years:		0.		
<b>3</b> Excess distributions carryover, if any, to 2010:				
<b>a</b> From 2005				
<b>b</b> From 2006				
<b>c</b> From 2007				
<b>d</b> From 2008				
<b>e</b> From 2009	43,375.			
<b>f</b> Total of lines 3a through e	43,375.			
<b>4</b> Qualifying distributions for 2010 from Part XII, line 4: ► \$ 558,404.				
<b>a</b> Applied to 2009, but not more than line 2a			0.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2010 distributable amount				558,404.
<b>e</b> Remaining amount distributed out of corpus	0.			
<b>5</b> Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	15,330.			15,330.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	28,045.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
<b>f</b> Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				0.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
<b>8</b> Excess distributions carryover from 2005 not applied on line 5 or line 7	0.			
<b>9</b> Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	28,045.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2006				
<b>b</b> Excess from 2007				
<b>c</b> Excess from 2008				
<b>d</b> Excess from 2009	28,045.			
<b>e</b> Excess from 2010				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling

☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

**b 85% of line 2a**

**c** Qualifying distributions from Part XII,  
line 4 for each year listed

**d** Amounts included in line 2c not used directly for active conduct of exempt activities

**e** Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

**(1) Value of all assets**

**(2) Value of assets qualifying under section 4942(j)(3)(B)(i)**

**b** "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

**(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)**

**(3) Largest amount of support from an exempt organization**

**(4) Gross investment income**

<b>Part XV</b>	<b>Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)</b>
----------------	--

## 1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a The name, address, and telephone number of the person to whom applications should be addressed:**

PAUL L. BOURDEAU, ESQ. CUMMINGS & LOCKWOOD, 860-313-4930

P.O. BOX 271820, WHARTFORD, CT 06127

**b The form in which applications should be submitted and information and materials they should include:**

LETTER

**c Any submission deadlines:**

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**NONE**





## Part XVII

**Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

- |          |  | Yes   | No |
|----------|--|-------|----|
| <b>1</b> | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?  |       |    |
| <b>a</b> | Transfers from the reporting foundation to a noncharitable exempt organization of:   |       |    |
|          | (1) Cash   | 1a(1) | X  |
|          | (2) Other assets   | 1a(2) | X  |
| <b>b</b> | Other transactions:  |       |    |
|          | (1) Sales of assets to a noncharitable exempt organization   | 1b(1) | X  |
|          | (2) Purchases of assets from a noncharitable exempt organization   | 1b(2) | X  |
|          | (3) Rental of facilities, equipment, or other assets   | 1b(3) | X  |
|          | (4) Reimbursement arrangements   | 1b(4) | X  |
|          | (5) Loans or loan guarantees   | 1b(5) | X  |
|          | (6) Performance of services or membership or fundraising solicitations   | 1b(6) | X  |
| <b>c</b> | Sharing of facilities, equipment, mailing lists, other assets, or paid employees   | 1c    | X  |
| <b>d</b> | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |       |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date \_\_\_\_\_

Title

**Paid  
Preparer  
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if  
self-employed

PTIN
------

ELISE G. THOMAS

Else's Terms

7/7/11	
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Firm's name ► CUMMINGS & LOCKWOOD LLC

Firm's EIN ▶

Firm's address ► PO BOX 271820

WEST HARTFORD, CT 06127

Phone no. (860) 313-4900

Form **990-PF** (2010)

**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

**2010**

Name of the organization

**JOHN AND KELLY HARTMAN FOUNDATION**

Employer identification number

**22-3139258**

Organization type (check one).

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2010)

Name of organization

Employer identification number

JOHN AND KELLY HARTMAN FOUNDATION

22-3139258

**Part I Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	JOHN W HARTMAN CHARITABLE LEAD UNITRUST DTD 5/17/94  CUMMINGS & LOCKWOOD P.O. BOX 271820  WEST HARTFORD, CT 06127	\$ 91,935.	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)



Name of organization

Employer identification number

JOHN AND KELLY HARTMAN FOUNDATION

22-3139258

**Part II Noncash Property** (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

Employer identification number

**JOHN AND KELLY HARTMAN FOUNDATION****22-3139258****Part III**

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

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FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

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SOURCE	AMOUNT
CHARLES SCHWAB TRUST CHECKING ACCT	10.
CHARLES SCHWAB TRUST VALUE ADVANTAGE	501.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	511.

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FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
ARTISAN INTL FUND	8,750.	0.	8,750.
BOSTON PROPERTIES INC	12,000.	0.	12,000.
CGM REALTY FUND	8,072.	0.	8,072.
COHEN & STREERS REALTY	11,558.	0.	11,558.
DODGE & COX INTL STOCK	15,366.	0.	15,366.
GUGGENHEIM EXCH TRD FD	19,849.	0.	19,849.
ISHARES IBOXX INVESTOP	28,843.	0.	28,843.
S P D R S&P 500 ETF TR	24,479.	0.	24,479.
VANGUARD 500 INDEX FD INVESTOR SHARE	33,076.	0.	33,076.
VANGUARD INFLATION PROTECTED SECURITIES FUND	14,323.	0.	14,323.
VANGUARD INTERM TERM US TRSY FUND ADMIRAL SHARE	39,435.	9,914.	29,521.
TOTAL TO FM 990-PF, PART I, LN 4	215,751.	9,914.	205,837.

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FORM 990-PF LEGAL FEES STATEMENT 3

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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CUMMINGS & LOCKWOOD LLC, LEGAL, ACCOUNTING & TRUSTEES FEES	57,746.	57,746.		0.
TO FM 990-PF, PG 1, LN 16A	57,746.	57,746.		0.

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FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
KRITZER-BIEHL, INC. INVESTMENT ADVISORY & TRUSTEES FEES	56,948.	56,948.		0.	
TO FORM 990-PF, PG 1, LN 16C	56,948.	56,948.		0.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	5
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
49,183.114 SHS ARTISAN INTL FD	1,556,400.	1,104,161.		
6,000 SHS BOSTON PROPERTIES INC	234,423.	569,100.		
33,831.442 SHS CGM REALTY FUND	1,066,558.	953,032.		
9,828.283 SHS COHEN & STREERS REALTY SHS	816,061.	611,122.		
31,041.784 SHS DODGE & COX INTL STOCK FD	1,350,669.	1,136,440.		
23,000 SHS GUGGENHEIM EXCH TRD FD	973,023.	1,090,660.		
5,500 SHS ISHARES IBOXX INVESTOP	504,790.	595,100.		
10,800 SHS S P D R S&P 500 ETF	1,639,440.	1,431,972.		
43,140.638 SHS VANGUARD INFLATION PROTECTED SECURITIES FD	500,000.	567,731.		
75,679.888 SHS VANGUARD INTERM TERM TREAS US TRSY FD ADMIRAL SHARE	840,621.	849,885.		
16,261.62 SHS VANGUARD 500 INDEX FD INVESTOR SHARE	1,620,152.	1,985,869.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	11,102,137.	10,895,072.		

FORM 990-PF	LIST OF SUBSTANTIAL CONTRIBUTORS PART VII-A, LINE 10	STATEMENT 6
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NAME OF CONTRIBUTOR	ADDRESS
JOHN & KELLY HARTMAN CHARITABLE LEAD UNITRUST DTD 5/17/94	CUMMINGS & LOCKWOOD P.O. BOX 271820  WEST HARTFORD, CT 06127

FORM 990-PF	GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR	STATEMENT 7
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RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
AURORA WOMEN AND GIRLS FOUNDATION, INC P.O. BOX 260158, HARTFORD, CT 06126	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	1,000.
BOSTON UNIVERSITY, DEPARTMENT OF ATHLETICS, 285 BABCOCK STREET, BOSTON, MA 02215	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	20,000.
CAPITAL CLASSICS INC (CAPITAL CLASSICS SHAKESPEARE FESTIVAL), P.O. BOX 370096, HARTFORD, CT 06137	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	1,500.
CHARIOTS OF HOPE INC, 45 BARBER POND ROAD, BLOOMFIELD, CT 06002	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	500.
CHARIOTS OF HOPE INC, 45 BARBER POND ROAD, BLOOMFIELD, CT 06002	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	250.
COMMUNITY FOUNDATION OF SE CT, 147 STATE ST, P.O. BOX 769, NEW LONDON, CT 06320	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	8,000.

COMMUNITY HEALTH RESOURCES, 995 DAY HILL ROAD, WINDSOR, CT 06095	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	500.
CONNECTICUT CHILDREN'S MEDICAL CENTER, 282 WASHINGTON STREET, HARTFORD, CT 06106	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	250.
CONNECTICUT WOMENS HALL OF FAME, 1265 ASYLUM AVE, HARTFORD, CT 06105	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	300.
CONNECTICUT SPORTS FOUNDATION AGAINST CANCER, INC 129 MAIN STREET, OLD SAYBROOK, CT 06475	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	300.
DA VINCI SOCIETY, 530 DIVISADERO STREET #253, SAN FRANCISCO, CA 94117	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	50,000.
DA VINCI SOCIETY, 530 DIVISADERO STREET #253, SAN FRANCISCO, CA 94117	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	15,000.
DA VINCI SOCIETY, 530 DIVISADERO STREET #253, SAN FRANCISCO, CA 94117	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	7,500.
HARTFORD STAGE COMPANY, 50 CHURCH STREET, HARTFORD, CT 06103	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	4,000.
HARTFORD STAGE COMPANY, 50 CHURCH STREET, HARTFORD, CT 06103	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	2,000.
LYME PUBLIC LIBRARY, 482 HAMBURG RD, LYME, CT 06371	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	6,000.

THE BRIDGE FAMILY CENTER, INC 1022 FARMINGTON AVENUE, WEST HARTFORD, CT 06107	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	2,200.
THE MAYOR'S CHARITY BALL (HOPEWORKS), P.O BOX 270788, WEST HARTFORD, CT 06127	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	100.
VIDA VERDE NATURE EDUCATION, 1043 TUNITAS CREEK RD, HALF MOON BAY, CA 94019	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	15,000.
WESTERN FOLKLIFE CENTER, 501 RAILROAD ST, ELKO, NV 89801	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	25,000.
WESTERN FOLKLIFE CENTER, 501 RAILROAD ST, ELKO, NV 89801	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	15,000.
COLCHESTER LEARNING FOUNDATION INC, P.O. BOX 215, COLCHESTER, CT 06415	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	1,000.
CONNECTICUT CHILDREN'S MEDICAL CENTER, 282 WASHINGTON STREET, HARTFORD, CT 06106	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	1,000.
CONNECTICUT SCIENCE CENTER, 50 COLUMBUS BLVD, HARTFORD, CT 06103	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	2,500.
COVENANT PREPARATORY SCHOOL, 135 BROAD STREET, HARTFORD, CT 06105	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	1,404.
CRADLE FOUNDATION, 2049 RIDGE AVE, EVANSTON, IL 60201	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	15,000.

DUKE UNIVERSITY SPECIAL COLLECTIONS LIBRARY, P.O. BOX 90581, DURHAM, NC 27708	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	100,000.
EPILEPSY FOUNDATION OF CONNECTICUT, 386 MAIN STREET, MIDDLETOWN, CT 06457	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	1,200.
FAMILYHOOD CONNECTION INC, P.O. BOX 10677, OAKLAND, CA 94610	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	20,000.
FLORENCE GRISWOLD MUSEUM, 96 LYME STREET, OLD LYME, CT 06371	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	10,000.
FREINDS OF ELIZABETH PARK INC, P.O. BOX 370361, WEST HARTFORD, CT 06137	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	250.
GIRL SCOUTS OF CONNECTICUT (GSOFC), 340 WASHINGTON STREET, HARTFORD, CT 06106	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	1,000.
GIRL SCOUTS OF CONNECTICUT (GSOFC), 340 WASHINGTON STREET, HARTFORD, CT 06106	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	1,000.
HARTFORD AREA HABITAT FOR HUMANITY, P.O. BOX 1933, HARTFORD, CT 06144	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	300.
HARTFORD AREA HABITAT FOR HUMANITY, P.O. BOX 1933, HARTFORD, CT 06144	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	300.
HARTFORD AREA HABITAT FOR HUMANITY, P.O. BOX 1933, HARTFORD, CT 06144	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	2,500.



HARTFORD STAGE COMPANY, 50 CHURCH STREET, HARTFORD, CT 06103	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	1,000.
HARTFORD STAGE COMPANY, 50 CHURCH STREET, HARTFORD, CT 06103	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	2,500.
HARTFORD STAGE COMPANY (BRAND:NEW 2010 SCHOLARSHIP), 50 CHURCH STREET, HARTFORD, CT 06103	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	50,000.
HARTFORD STAGE COMPANY, 50 CHURCH STREET, HARTFORD, CT 06103	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	5,000.
HARTFORD STAGE COMPANY, 50 CHURCH STREET, HARTFORD, CT 06103	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	8,000.
HARTFORD STAGE COMPANY, 50 CHURCH STREET, HARTFORD, CT 06103	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	700.
HILL-STEAD MUSEUM, 35 MOUNTAIN ROAD, FARMINGTON, CT 06032	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	4,400.
HILL-STEAD MUSEUM, 35 MOUNTAIN ROAD, FARMINGTON, CT 06032	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	4,400.
HYDE SCHOOL, 616 HIGH STREET, BATH, ME 04530	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	5,000.
I HAVE A FRIEND YOUTH CENTER, 309 SOUTH MAIN STREET, MIDDLETOWN, CT 06457	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	500.

INTERCULTURE FOUNDATION, 530 DIVISADERO STREET #253, SAN FRANCISCO, CA 94117	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	55,000.
INTERCULTURE FOUNDATION, 530 DIVISADERO STREET #253, SAN FRANCISCO, CA 94117	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	10,000.
JDRF, 20 BATTERSON PARK ROAD, 3RD FL, FARMINGTON, CT 06032	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	250.
JDRF, 20 BATTERSON PARK ROAD, 3RD FL, FARMINGTON, CT 06032	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	250.
KENT SCHOOL, P.O. BOX 2006, KENT, CT 06757	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	1,000.
MARINERS HOSPITAL FOUNDATION, 91500 OVERSEAS HIGHWAY, TAVENIER, FL 33070	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	1,000.
MIDDLEBURY COLLEGE-FRIENDS OF WOMEN'S HOCKEY (MIDDLEBURY COLLEGE), - KENYON ARENA, MIDDLEBURY, VT 05753	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	15,000.
MIDDLEBURY COLLEGE-FRIENDS OF WOMEN'S HOCKEY (MIDDLEBURY COLLEGE), - KENYON ARENA, MIDDLEBURY, VT 05753	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	15,000.
MIDDLESEX HOSPITAL, 28 CRESCENT ST, MIDDLETOWN, CT 06457	NONE	PUBLIC	5,000.
MONTESSORI SCHOOL OF GREATER HARTFORD, 141 NORTH MAIN STREET, WEST HARTFORD, CT 06107	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	500.

MONTESSORI SCHOOL OF GREATER HARTFORD, 141 NORTH MAIN STREET, WEST HARTFORD, CT 06107	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	500.
NATIONAL KIDNEY FOUNDATION (NKF OF CT), 2139 SILAS DEANE HIGHWAY, ROCKY HILL, CT 06067	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	500.
OCEAN REEF LIBRARY, 200 ANCHOR DRIVE, KEY LARGO, FL 33037	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	15,000.
OCEAN REEF MEDICAL CENTER, 50 BARRACUDA LANE, KEY LARGO, FL 33037	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	10,000.
ONE FAMILY INC., 186 SOUTH STREET, 4TH FL, BOSTON, MA 02111	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	1,000.
OUR COMPANIONS, P.O. BOX 673, BLOOMFIELD, CT 06002	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	100.
OUR COMPANIONS, P.O. BOX 673, BLOOMFIELD, CT 06002	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	250.
PMC (JIMMY FUND), 77 FOURTH AVE, NEEDHAM, MA 02494	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	500.
SKIDMORE COLLEGE, 815 NORTH BROADWAY, SARATOGA SPRINGS, NY 12866	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	1,000.
SPECIAL OLYMPICS CONNECTICUT INC, 2666 STATE STREET, SUITE 1, HAMDEN, CT 06517	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	1,000.

ST LUKE'S SCHOOL, P.O BOX 1148/377, NEW CANAAN, CT 06840	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	5,000.
THE BRIDGE FAMILY CENTER, INC 1022 FARMINGTON AVENUE, WEST HARTFORD, CT 06107	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	2,200.
THEATERWORKS, 233 PEARL STREET, HARTFORD, CT 06103	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	250.
TIME FOR LYME, INC P.O. BOX 31269, GREENWICH, CT 06831	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	1,500.
WEST HARTFORD ART LEAGUE, 37 BUENA VISTA RD, WEST HARTFORD, CT 06107	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	250.
THE FIRST TEE OF CONNECTICUT (THE CONNECTICUT GOLF FOUNDATION INC) - 525 BROOK ST, SUITE 206, ROCKY HILL, CT 06067	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	500.
RENBROOK PARENT ASSOCIATION, 2865 ALBANY AVENUE, WEST HARTFORD, CT 06117	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	250.
NATIONAL MULTIPLE SCLEROSIS SOCIETY, 980 2ND AVENUE, APT 1A, NEW YORK, NY 10022	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	200.
COMMUNITY HEALTH RESOURCES, 995 DAY HILL ROAD, WINDSOR, CT 06095	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	250.
CONNECTICUT CHILDREN'S MEDICAL CENTER, 282 WASHINGTON STREET, HARTFORD, CT 06106	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	500.

## JOHN AND KELLY HARTMAN FOUNDATION

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JDRF, 20 BATTERSON PARK ROAD, 3RD FL, FARMINGTON, CT 06032	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	500.
PRO BONO PARTNERSHIP, 280 TRUMBULL ST, 28TH FLOOR HARTFORD, CT 06103	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	500.
CONNECTICUT WOMENS HALL OF FAME, 1265 ASYLUM AVE, HARTFORD, CT 06105	NONE CURRENT USE FOR CHARITABLE PURPOSES	PUBLIC	300.
TOTAL TO FORM 990-PF, PART XV, LINE 3A			558,404.