



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2010

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning

04/01, 2010, and ending

03/31, 2011

G Check all that apply.

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

Name of foundation

TTEE U/W OF LLOYD G. BALFOUR

A Employer identification number

22-2751372

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

B Telephone number (see page 10 of the instructions)

P O BOX 1802

City or town, state, and ZIP code

PROVIDENCE, RI 02901-1802

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at end

of year (from Part II, col. (c), line

16) \$ 101,121,784.

J Accounting method: ☒ Cash ☐ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis)

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check ☒ if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	2,279,730.	2,277,422.		STMT-1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	2,725,187.			
b Gross sales price for all assets on line 6a	77,648,531.			
7 Capital gain net income (from Part IV, line 2)		2,725,187.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)		665,353.		STMT-22
12 Total. Add lines 1 through 11	5,004,917.	5,667,962.		
13 Compensation of officers, directors, trustees, etc.	304,403.	121,761.		182,642.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule) STMT. 23	33,304.	NONE	NONE	33,304.
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule) STMT. 24	157,685.	63,074.		94,611.
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions) STMT. 25	91,988.	21,988.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) STMT. 26	18,498.	195,372.		16,938.
24 Total operating and administrative expenses. Add lines 13 through 23	605,878.	402,195.	NONE	327,495.
25 Contributions, gifts, grants paid	4,233,196.			4,233,196.
26 Total expenses and disbursements Add lines 24 and 25	4,839,074.	402,195.	NONE	4,560,691.
27 Subtract line 26 from line 12	165,843.			
a Excess of revenue over expenses and disbursements		5,265,767.		
b Net investment income (if negative, enter -0-)				
c Adjusted net income (if negative, enter -0-)				

For Paperwork Reduction Act Notice, see page 30 of the instructions.

JSA

Form 990-PF (2010)

NOV 04 2011
POSTMARK DATE

SCANNED NOV 14 2011

ine

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	NONE	NONE	
	2 Savings and temporary cash investments	2,639,338.	4,326,103.	4,326,103.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ *		*	NONE
	Less: allowance for doubtful accounts ▶	NONE	NONE	NONE
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments - U.S. and state government obligations (attach schedule)	NONE	NONE	NONE
	b Investments - corporate stock (attach schedule)	77,734,268.	75,986,247.	90,183,834.
	c Investments - corporate bonds (attach schedule)	NONE	NONE	NONE
	Liabilities	11 Investments - land, buildings, and equipment, basis (attach schedule)	NONE	
Less: accumulated depreciation (attach schedule) ▶		NONE	NONE	
12 Investments - mortgage loans		NONE	NONE	
13 Investments - other (attach schedule)		5,968,668.	5,968,668.	6,478,294.
14 Land, buildings, and equipment, basis (attach schedule) ▶				
Less: accumulated depreciation (attach schedule) ▶				
15 Other assets (describe ▶)		NONE	120,711.	133,553.
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		86,342,274.	86,401,729.	101,121,784.
17 Accounts payable and accrued expenses				
18 Grants payable				
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
22 Other liabilities (describe ▶)				
23 Total liabilities (add lines 17 through 22)				
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27 Capital stock, trust principal, or current funds	86,342,274.	86,401,729.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	NONE	NONE	
30 Total net assets or fund balances (see page 17 of the instructions)	86,342,274.	86,401,729.		
31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	86,342,274.	86,401,729.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	86,342,274.
2 Enter amount from Part I, line 27a	2	165,843.
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	86,508,117.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 27	5	106,388.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	86,401,729.

Form 990-PF (2010)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV DETAIL					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)			2	2,725,187.	
If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7					
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):			3		
If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8.					

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	4,961,411.	87,044,824.	0.05699834605
2008	4,400,538.	90,317,754.	0.04872284579
2007	4,498,020.	111,153,839.	0.04046661852
2006	5,322,510.	106,263,450.	0.05008787123
2005	4,938,976.	100,765,611.	0.04901449960
2 Total of line 1, column (d)			2 0.24529018119
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.04905803624
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5			4 93,392,855.
5 Multiply line 4 by line 3			5 4,581,670.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 52,658.
7 Add lines 5 and 6			7 4,634,328.
8 Enter qualifying distributions from Part XII, line 4			8 4,560,691.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		1	105,315.
Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	105,315.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	105,315.
6 Credits/Payments.			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a 125,000.		
b Exempt foreign organizations-tax withheld at source	6b NONE		
c Tax paid with application for extension of time to file (Form 8868)	6c NONE		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	125,000.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	19,685.
11 Enter the amount of line 10 to be Credited to 2011 estimated tax ► 19,685. Refunded ►		11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ (2) On foundation managers. ► \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		X
If "Yes," attach a detailed description of the activities		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		X
If "Yes," attach the statement required by General Instruction T		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ► STMT 28		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A **Statements Regarding Activities** *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ▶ N/A				
14	The books are in care of ▶ PRIVATE BANK TAX SERVICES Telephone no. ▶ (888) 866-3275			
Located at ▶ P.O. BOX 1802, PROVIDENCE, RI ZIP + 4 ▶ 02901-1802				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here	▶ ☐		
and enter the amount of tax-exempt interest received or accrued during the year		15		
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ Yes ☒ No Organizations relying on a current notice regarding disaster assistance check here. ☐		1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010? ☐ Yes ☒ No		1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).			
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No If "Yes," list the years ☐			
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 22 of the instructions.) ☐ Yes ☒ No		2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ☐			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010) ☐ Yes ☒ No		3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? ☐ Yes ☒ No		4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010? ☐ Yes ☒ No		4b	X

Form 990-PF (2010)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ Yes ☒ No
Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No
If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 29		304,403.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 ☐ NONE

Form 990-PF (2010)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE

Total number of others receiving over \$50,000 for professional services **NONE****Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	
2	
All other program-related investments. See page 24 of the instructions	
3 NONE	
Total. Add lines 1 through 3	

Form 990-PF (2010)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	91,045,524.
b	Average of monthly cash balances	1b	3,769,557.
c	Fair market value of all other assets (see page 25 of the instructions)	1c	NONE
d	Total (add lines 1a, b, and c)	1d	94,815,081.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	94,815,081.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	4	1,422,226.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	93,392,855.
6	Minimum investment return. Enter 5% of line 5	6	4,669,643.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	4,669,643.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	105,315.
b	Income tax for 2010. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	105,315.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	4,564,328.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	4,564,328.
6	Deduction from distributable amount (see page 25 of the instructions)	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	4,564,328.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	4,560,691.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	4,560,691.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	4,560,691.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				4,564,328.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			4,066,152.	
b Total for prior years 20____, 20____, 20____		NONE		
3 Excess distributions carryover, if any, to 2010.				
a From 2005	NONE			
b From 2006	NONE			
c From 2007	NONE			
d From 2008	NONE			
e From 2009	NONE			
f Total of lines 3a through e	NONE			
4 Qualifying distributions for 2010 from Part XII, line 4. ► \$ 4,560,691.				
a Applied to 2009, but not more than line 2a . . .			4,066,152.	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)		NONE		
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)	NONE			
d Applied to 2010 distributable amount				494,539.
e Remaining amount distributed out of corpus . .	NONE			
5 Excess distributions carryover applied to 2010 . (If an amount appears in column (d), the same amount must be shown in column (a).)	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	NONE			
b Prior years' undistributed income. Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b. Taxable amount - see page 27 of the instructions		NONE		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see page 27 of the instructions				
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				4,069,789.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)	NONE			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions)	NONE			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9.				
a Excess from 2006	NONE			
b Excess from 2007	NONE			
c Excess from 2008	NONE			
d Excess from 2009	NONE			
e Excess from 2010	NONE			

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) **NOT APPLICABLE**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

SEE STATEMENT 30

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT FOR LINE 2

c Any submission deadlines:

SEE ATTACHED STATEMENT FOR LINE 2

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT FOR LINE 2

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE STATEMENT 31				
Total			▶ 3a	4,233,196.
b Approved for future payment				
Total			▶ 3b	

Form **990-PF** (2010)

Enter gross amounts unless otherwise indicated.

(See worksheet in line 13 instructions on page 29 to verify calculations.)

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions.)
----------------------	--

NOT APPLICABLE

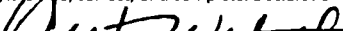
Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.		
(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.				
	 Signature of officer or trustee		10/26/2011 Date		SVP Tax Title
Paid Preparer Use Only	Print/Type preparer's name		Preparer's signature		Date
					Check ☐ if self-employed
	Firm's name ▶ Firm's address ▶		Firm's EIN ▶ Phone no.		

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES
 =====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
AGL CAP CORP DTD 7/2/2003 4.45% DUE 4/15	5,563.	5,563.
AGL RESOURCES INC	943.	943.
AOL TIME WARNER INC NT	7,247.	7,247.
AT&T INC	9,441.	9,441.
AT&T INC SR UNSECD GLOBAL NT	6,550.	6,550.
ABBOTT LABORATORIES	11,819.	11,819.
ABERCROMBIE & FITCH CO CL A	145.	145.
ACERGY S A SPONSORED ADR	106.	106.
ACTUANT CORP COM CL A NEW	34.	34.
ADIDAS AG SPONSORED ADR	277.	277.
ADVANCED AUTO PTS INC COM	98.	98.
AGNICO EAGLE MINES LTD COM	37.	37.
AIR PRODUCTS AND CHEMICALS INC	2,558.	2,558.
ALABAMA PWR CO SR NT SER Q	4,400.	4,400.
ALLEGHENY TECHNOLOGIES INC COM	1,698.	1,698.
ALLERGAN INCORPORATED	526.	526.
ALLIANT TECHSYSTEMS INCORPORATED	53.	53.
ALTERA CORPORATION	24.	24.
AMERADA HESS CORP NT	7,088.	7,088.
AMERICA MOVIL S A DE C V SPONSORED ADR R	556.	556.
AMERICAN ELECTRIC POWER CO	11,278.	11,278.
AMERICAN EAGLE OUTFITTERS NEW COM	469.	469.
AMERICAN EXPRESS CO	4,824.	4,824.
AMERICAN EXPRESS CR ACCOUNT MASTER TR 20	1,001.	1,001.
AMERICREDIT AUTOMOBILE RECEIVABLES TR 20	23.	23.
AMERICREDIT AUTOMOBILE RECEIVABLES TR SE	481.	481.
AMERICREDIT AUTO RECEIVABLES TR 2010-B A	74.	74.
AMERISOURCEBERGEN CORP COM	656.	656.
AMERIPRISE FINANCIAL INC	244.	244.
AMERITECH CAP FUNDING CORP DEB	9,783.	9,783.
AMETEK INC - NEW	123.	123.
ANADARKO PETE CO SR NT	824.	824.

FT0560 L775 10/26/2011 07:25:26

STATEMENT 1

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
ANADARKO PETROLEUM CORP	337.	337.
ANADARKO PETE CORP SR NT	479.	479.
ANALOG DEVICES INC	408.	408.
ANNALY MTG MGMT INC COM	3,332.	3,332.
AON CORP	224.	224.
APACHE CORPORATION	2,596.	2,596.
APTARGROUP INCORPORATED	141.	141.
ARCELORMITTAL SA LUXEMBOURG SR UNSECD NT	6,624.	6,624.
ARTIO GLOBAL INVS INC CL A COM	19.	19.
ARTIO GLOBAL HIGH INCOME FUND CL I	137,287.	137,287.
ATMOS ENERGY CORP DTD 1/16/2003 5.125% D	3,075.	3,075.
AUTOLIV INC	2,768.	2,768.
AVON PRODUCTS INC	2,996.	2,996.
BAE SYS HLDGS INC GTD NT 144A	6,400.	6,400.
BG GROUP PLC- SPON ADR ISIN US0554342032	383.	383.
BNP PARIBAS SPONSORED ADR REPSTG 1/4 SH	773.	773.
BMW VEH LEASE TR 2010-1 ASSET BACKED NT	313.	313.
BAKER HUGHES INCORPORATED	1,233.	1,233.
BANCO BRADESCO S A SPONSORED ADR REPSTG	1,351.	1,351.
BANCORPSOUTH INC	435.	435.
BARD C R INCORPORATED	440.	440.
BARCLAYS BANK PLC SR NT UNITED KINGDOM	1,599.	1,599.
BARNES GROUP INC COM	113.	63.
BAYER A G SPONSORED ADR	1,177.	1,177.
BAYERISCHE MOTOREN WERKE A G ADR	309.	309.
BEAR STEARNS SER 2001-TOP2 CL A2 DTD 5/1	4,771.	4,771.
BEAR STEARNS COML MTG SECS SER 2002-TOP8	7,245.	7,245.
BEAR STEARNS COML MTG SECS TR 2007-PWR16	7,825.	7,825.
BEAR STEARNS COML MTG SECS TR 2007-TOP28	6,248.	6,248.
BEAR STEARNS COML MTG SECS TR 2007-TOP28	1,723.	1,723.
BEBE STORES INC COM	447.	447.
BECTON DICKINSON & CO DEB	10,500.	10,500.
BELDEN INC COM	114.	114.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES
 =====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
BEMIS INC	905.	905.
BERKSHIRE HATHAWAY INC DEL SR UNSECD NT	1,685.	1,685.
BERRY PETE CO CL A	140.	140.
BEST BUY INCORPORATED	3,328.	3,328.
BHP BILLITON LIMITED ADR	6,487.	6,487.
BIOVAIL CORPORATION	300.	300.
BLOCK H & R INC	1,145.	1,145.
BMW VEH LEASE TR 2009-1 ASSET BACKED NT	1,685.	1,685.
BOEING CO	3,496.	3,496.
BOEING CO SR NT	5,253.	5,253.
BOSTON PRIVATE FINL HLDGS INC COM	18.	18.
BOSTON PROPERTIES INC SR NT	2,786.	2,786.
BRADY W H CO CL A	289.	289.
BRANDYWINE OPER PARTNERSHIP LP NT	6,000.	6,000.
BRANDYWINE OPER PARTNERSHIP LP CO GTD NT	2,516.	2,516.
BRISTOL MYERS SQUIBB CO COM	1,560.	1,560.
BRITISH AMERICAN TOBACCO PLC (UK/US\$) IS	1,144.	1,144.
BRITISH TELECOMMUNICATIONS PLC SR NT UNI	7,720.	7,720.
BROADCOM CORP CL A	588.	187.
BROOKLINE BANCORP INC DEL COM	86.	86.
BUCYRUS INTL INC NEW COM	59.	59.
BUNZL PLC SPONSORED ADR NEW	1,181.	1,181.
CBS CORP CL B COM	81.	81.
CD 2007-CD4 COML MTG TR MTG PASSTHRU CTF	1,741.	1,741.
CD 2007-CD5 MTG TR COML MTG PASSTHRU CTF	3,528.	3,528.
CF INDS HLDGS INC COM	399.	399.
C H ROBINSON WORLDWIDE INC COM	183.	183.
CIGNA CORPORATION	70.	70.
CMS ENERGY CORP COM	2,077.	2,077.
CNA FINL CORP SR UNSECD NT	1,438.	1,438.
CNA FINL CORP SR UNSECD NT	1,526.	1,526.
CNOOC LTD SPONSORED ADR	2,425.	2,425.
CNH EQUIP TR 2010-C ASSET BACKED NT CL A	640.	640.

FT0560 L775 10/26/2011 07:25:26

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
CSL LTD ADR	1,033.	1,033.
CVB FINL CORP	253.	253.
CVS CAREMARK CORP COM	1,208.	1,208.
CVS CAREMARK CORP SR UNSECD NT	3,163.	3,163.
CVS CAREMARK CORP SR UNSECD NT	5,934.	5,934.
CABLEVISION NY GROUP CL A COM	47.	47.
CABOT CORP	242.	242.
CABOT OIL & GAS CORP CL A	103.	103.
CALIFORNIA ST GO BDS-TAXB	3,398.	3,398.
CALLAWAY GOLF CO	47.	47.
CANADIAN NATL RY CO	919.	919.
CANADIAN NAT RES LTD NT CANADA	707.	707.
CAPITAL AUTO RECEIVABLES ASSET TR 2007-1	3,470.	3,470.
CAPITAL AUTO RECEIVABLES ASSET TR 2007-3	4,692.	4,692.
CAPITAL ONE FINL CORP SUB NT	2,564.	2,564.
CAPITAL ONE PRIME AUTO RECEIV- ABLES TR	522.	522.
CARDINAL FINL CORP COM	64.	64.
CARDINAL HEALTH INCORPORATED	2,391.	2,391.
CARNIVAL CORP PAIRED CTF 1 COM	1,816.	1,816.
CAROLINA PWR & LT CO NT	5,700.	5,700.
CASEYS GEN STORES INC	46.	46.
CATERPILLAR INCORPORATED	2,794.	2,794.
CELANESE CORP DEL SER A COM	364.	364.
CENOVUS ENERGY INC COM	283.	283.
CENTERPOINT ENERGY INC	3,219.	3,219.
CENTRICA PLC SPONSORED ADR NEW 2004	1,484.	1,484.
CHEUNG KONG HLDGS LTD ADR	1,030.	1,030.
CHEVRONTXACO CORP COM	7,637.	7,637.
CHOICE HOTELS INTL INC	53.	53.
CHRYSLER FINL AUTO SECURITIZA- TION TR 2	1,065.	1,065.
CINEMARK HLDGS INC COM	330.	330.
CITIGROUP INC SR NT	2,552.	2,552.
CITIGROUP INC SR NT	14,950.	14,950.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
CITIBANK CR CARD ISSUANCE TR 2004-C1 NT	1,741.	1,741.
CITIGROUP COM1 MTG TR 2006-C5 COM1 MTG P	6,599.	6,599.
CLARCOR INC COM	135.	135.
CLIFFS NAT RES INC COM	193.	193.
CLOROX COMPANY	386.	386.
COACH INC COM	485.	485.
COBALT CMBS COM1 MTG TR 2007-C3 COM1 MTG	2,377.	2,377.
COCA COLA AMATIL LTD SPONSORED ADR	1,821.	1,821.
COCA COLA CO COM	4,108.	4,108.
COCA COLA ENTERPRISES INC COM	208.	208.
COCA-COLA ENTERPRISES INC NEW COM	316.	316.
COCA COLA HELLENIC BOTTLING CO S A ADR S	366.	366.
COHEN & STEERS INC COM	73.	73.
COLUMBIA LARGE CAP INDEX FUND CLASS Z SH	236,702.	236,702.
COLUMBIA REAL ESTATE EQUITY FUND CLASS Z	16,752.	16,752.
COMCAST CORP DTD 1/10/2003 6.50% DUE 1/1	6,500.	6,500.
COMERICA INC SR UNSEC'D NT	1,648.	1,648.
COMMERCIAL MTG TR 2006-GG7 COM1 MTG PASS	1,226.	1,226.
COMMERCIAL MTG TR 2007-GG9 MTG PASSTHRU	1,511.	1,511.
COMPASS GROUP PLC SPONSORED ADR NEW	1,750.	1,750.
COMTECH TELECOMMUNICATIONS CP COM NEW	194.	194.
CONAGRA FOODS INC BD	405.	405.
CONAGRA FOODS INC SR NT	5,353.	5,353.
CONOCOPHILLIPS COM	13,140.	13,140.
CONOCO FDG CO CO GTD	5,080.	5,080.
CONSOL ENERGY INC	27.	27.
COOPER COMPANIES INC	13.	13.
COOPER TIRE & RUBBER COMPANY	601.	601.
CORN PRODUCTS INTERNATIONAL INC	187.	187.
CORNING INC	801.	801.
COSTCO WHOLESALE CORP	519.	519.
COURIER CORP COM	229.	229.
COX COMMUNICATIONS INC NEW NT	5,813.	5,813.

FT0560 L775 10/26/2011 07:25:26

STATEMENT 5

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
CREDIT SUISSE FIRST BOSTON MTG SECS CORP	6,997.	6,997.
CREDIT SUISSE COML MTG TR SER 2006-C3 CC	14,766.	14,766.
CUMMINS ENGINE INC	1,709.	1,709.
CYTEC INDUSTRIES INCORPORATED	32.	32.
DAIMLERCHRYSLER AUTO TR 2007-A ASSET BAC	4,179.	4,179.
DANONE SPONSORED ADR	782.	782.
DEERE & COMPANY	1,309.	1,309.
DEL MONTE FOODS CO	110.	110.
DENSO CORP UNSPONSORED ADR	301.	301.
DEUTSCHE BK AG GLOBAL MTN GERMANY	1,706.	1,706.
DEVRY INC DEL	47.	47.
DIAGEO CAP PLC NT UNITED KINGDOM	1,375.	1,375.
DIAMOND FOODS INC COM	43.	43.
DIAMOND OFFSHORE DRILLING INC COM	302.	302.
DIEBOLD INC	417.	417.
DIRECTV HLDGS LLC / DIRECTV FING INC SR	957.	957.
DISNEY WALT CO	922.	922.
DISCOVER FINL SVCS COM	77.	77.
DISCOVER FINL SVCS NT	9,738.	9,738.
DOVER CORPORATION	2,595.	2,595.
DOW CHEMICAL COMPANY	458.	458.
DOW CHEM CO NT	11,020.	11,020.
DR PEPPER SNAPPLE INC COM	1,039.	1,039.
EOG RESOURCES INC	534.	534.
EMBRAER OVERSEAS LTD SR UNSECD GTD NT CA	2,869.	2,869.
EMERSON ELEC CO	1,603.	1,603.
EMPLOYERS HLDGS INC COM	317.	317.
ENCANA CORP COM	384.	384.
ENBRIDGE ENERGY PARTNERS L P BD	9,301.	9,301.
ENERGY TRANSFER PARTNERS L P SR NT	3,063.	3,063.
EQUITY ONE SER 2004-3 CLASS AV2 DTD 7/23	23.	23.
ERICSSON L M TEL CO ADR CL B	2,705.	2,705.
ETHAN ALLEN INTERIORS INC	103.	103.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
EUROPEAN INVT BK BD SNAT	1,723.	1,723.
EUROPEAN INVT BK GLOBAL BD SNAT	1,298.	1,298.
EXPEDIA INC COMMON STOCK	323.	323.
EXXON MOBIL CORPORATION	12,890.	12,890.
FANUC CORP UNSP ADR	355.	355.
FASTENAL CO COM	387.	387.
FEDERAL NATL MTG ASSN POOL #E80870	49.	49.
FHLMC GOLD #E91275 DTD 9/1/2002 5.50% DU	1,444.	1,444.
FEDERAL HOME LN MTG CORP POOL #1B4023	8,314.	8,314.
FEDERAL HOME LN MTG CORP POOL #G05852	8,302.	8,302.
FEDERAL HOME LN MTG CORP POOL #G18330	5,872.	5,872.
FEDERAL HOME LN MTG CORP POOL #J10791	8,267.	8,267.
FEDERAL HOME LN MTG CORP POOL #1G3616	11,801.	11,801.
FEDERAL HOME LN MTG CORP POOL #A90580	10,197.	10,197.
FEDERAL HOME LN MTG CORP POOL #A92650	5,847.	5,847.
FEDERAL HOME LN MTG CORP POOL #A93936	5,455.	5,455.
FEDERAL HOME LN MTG CORP POOL #E00881	222.	222.
FEDERAL HOME LN MTG CORP POOL #E00996	738.	738.
FHLMC GOLD #B12573 DTD 3/1/04 5.50% DUE	5,269.	5,269.
FEDERAL HOME LN BKS CONS BD CALL 6/23/10	721.	721.
FEDERAL NATL MTG ASSN MTN CALL 12/28/10	2,094.	2,094.
FNMA POOL #251617 DTD 2/1/1998 6.00% DUE	326.	326.
FEDERAL NATL MTG ASSN POOL #253929	1,740.	1,740.
FNMA POOL #254471 DTD 8/1/2002 6.00% DUE	4,434.	4,434.
FEDERAL NATL MTG ASSN POOL #255890	4,696.	4,696.
FNMA POOL #338365 DTD 4/1/1996 6.00% DUE	116.	116.
FNMA POOL #440390 DTD 9/1/98 7.00% DUE 9	591.	591.
FNMA POOL #457175 DTD 8/1/99 7.00% DUE 8	1,464.	1,464.
FNMA POOL #548844 DTD 8/1/2000 7.50% DUE	446.	446.
FNMA POOL #561495 DTD 2/1/2001 7.50% DUE	403.	403.
FNMA POOL #593098 DTD 7/1/2001 8.00% DUE	2,300.	2,300.
FNMA POOL #596213 DTD 7/1/2001 7.00% DUE	260.	260.
FEDERAL NATL MTG ASSN POOL #AH0943	2,325.	2,325.

FT0560 L775 10/26/2011 07:25:26

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FNMA POOL #648913 DTD 9/1/2002 5.00% DUE	2,487.	2,487.
FEDERAL HOME LN MTG CORP SER 3536 CL PC	9,145.	9,145.
FEDERAL HOME LN MTG CORP SER 3565 CL XA	5,058.	5,058.
FNMA POOL #763907 DTD 1/1/2004 5.00% DUE	3,089.	3,089.
FEDERAL NATL MTG ASSN POOL #888757	8,145.	8,145.
FEDERAL NATL MTG ASSN POOL #888817	7,854.	7,854.
FEDERAL NATL MTG ASSN POOL #918782	7,345.	7,345.
FEDERAL NATL MTG ASSN POOL #930612	2,770.	2,770.
FEDERAL NATL MTG ASSN POOL #932745	1,675.	1,675.
FEDERAL NATL MTG ASSN POOL #933120	1,065.	1,065.
FEDERAL NATL MTG ASSN POOL #940664	19,156.	19,156.
FEDERAL NATL MTG ASSN POOL #953590	955.	955.
FEDERAL NATL MTG ASSN POOL #958781	1,585.	1,585.
FEDERAL NATL MTG ASSN POOL #995586	6,093.	6,093.
FEDERAL NATL MTG ASSN POOL #995681	21,510.	21,510.
FEDERAL NATL MTG ASSN POOL #AA7670	13,264.	13,264.
FEDERAL NATL MTG ASSN POOL #AB1500	5,316.	5,316.
FEDERAL NATL MTG ASSN POOL #AC2816	5,174.	5,174.
FEDERAL NATL MTG ASSN POOL #AD0587	2,358.	2,358.
FEDERAL NATL MTG ASSN POOL #AD3808	5,768.	5,768.
FEDERAL NATL MTG ASSN POOL #AD4165	8,108.	8,108.
FEDERAL NATL MTG ASSN POOL #AD5507	8,461.	8,461.
FEDERAL NATL MTG ASSN POOL #AD7127	24,568.	24,568.
FEDERAL NATL MTG ASSN POOL #AD6942	31,041.	31,041.
FEDERAL NATL MTG ASSN POOL #AE1555	2,148.	2,148.
FEDERAL NATL MTG ASSN POOL #AE6391	793.	793.
FEDERAL NATL MTG ASSN POOL #AE6599	2,413.	2,413.
FEDERATED INVS INC PA CL B	516.	516.
FIDELITY NATL INFORMATION SVCS INC COM	264.	264.
FIFTH THIRD BANCORP COM	544.	544.
FIRST FINL BANCORP	246.	246.
FIRSTMERIT CORP	305.	305.
FLORIDA PWR & LT CO 12/13/2002 4.85% 02/	3,395.	3,395.
FT0560 L775 10/26/2011 07:25:26		

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
FLOWERS FOODS INC COM	214.	214.
FLOWERVE CORP	1,650.	1,650.
FOMENTO ECONOMICO MEXICANO SA SPON ADR U	108.	108.
FORD CR AUTO OWNER TR 2008-B NT CL A-4A	5,940.	5,940.
FRANKLIN AUTO TR 2006-1 ASSET BKD NT CL	1,002.	1,002.
FRANKLIN AUTO TR AUTO LN NT TR SER 2008-	5,628.	5,628.
FRANKLIN RES INC COM	1,034.	1,034.
FREEPORT-MCMORAN COPPER & GOLD INC COM	7,081.	7,081.
FRESENIUS MEDICAL CARE-ADR	189.	189.
FRONTIER OIL CORP COM	79.	79.
G & K SVCS INC CL A	164.	164.
GE CAP CR CARD MASTER NT TR 2009-2 ASSET	3,639.	3,639.
GNMA POOL #609413 DTD 5/1/03 5.50% DUE 5	3,861.	3,861.
GNMA POOL #426776 DTD 6/1/2001 7.00% DUE	390.	390.
GNMA POOL #436031 DTD 12/1/1997 7.50% DU	274.	274.
GNMA POOL #468394 DTD 3/1/2001 7.00% DUE	599.	599.
GNMA POOL #470517 DTD 6/1/99 7.50% DUE 6	381.	381.
GOVERNMENT NATL MTG ASSN POOL #742550	689.	689.
GNMA POOL #495940 DTD 2/1/99 6.00% DUE 2	2,755.	2,755.
GNMA POOL #555841 DTD 7/1/2001 6.50% DUE	1,475.	1,475.
GNMA POOL #564748 DTD 8/1/2001 7.00% DUE	1,354.	1,354.
GS MTG SECS TR 2006-GG8 MTG PASSTHRU CTF	11,450.	11,450.
GARDNER DENVER MACHINERY INC	47.	47.
GAZPROM O A O SPONSORED ADR REG S	384.	384.
GENERAL DYNAMICS CORP	4,986.	4,986.
GENERAL ELEC CO	7,672.	7,672.
GENERAL ELEC CAP CORP SR UNSECD MTN	4,469.	4,469.
GENERAL ELEC CAP CORP SR UNSECD MTN SER	1,366.	1,366.
GENTEX CORP	93.	93.
GLOBAL PMTS INC COM	16.	16.
GOLDMAN SACHS GROUP INC	2,463.	2,463.
GOLDMAN SACHS GROUP INC NT	4,750.	4,750.
GOODRICH B F CO	853.	853.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
GRAINGER W W INCORPORATED	747.	747.
GREAT PLAINS ENERGY INC COM	1,208.	1,208.
GREENHILL & CO INC COM	334.	334.
GREENWICH CAP COML FDG CORP 2003-C2 MTG	106.	106.
GREENWICH CAP COML FDG CORP 2004-GG1 COM	3,651.	3,651.
GREENWICH CAP COML FDG CORP 2005-GG5 MTG	6,845.	6,845.
GUESS INC COM	117.	117.
HCC INS HLDGS INC	1,108.	1,108.
HSBC USA INC NEW SUB NT	2,500.	2,500.
HALLIBURTON CO	2,090.	2,090.
HALLIBURTON CO SR NT	295.	295.
HANCOCK HLDG CO COM	353.	353.
HARBOR INTERNATIONAL FUND INSTL CL	49,206.	49,206.
HARLEY DAVIDSON INC	32.	32.
HARLEY DAVIDSON MOTORCYCLE TR 2010-1 MOT	361.	361.
HEALTH CARE PPTY INVS INC MTN	5,950.	5,950.
HEALTH CARE REIT INC	1,848.	879.
HEINZ H J CO	789.	789.
HERSHEY FOODS CORP	2,078.	2,078.
HESS CORP COM	1,721.	1,721.
HEWLETT PACKARD CO COM	3,358.	3,358.
HORACE MANN EDUCATORS CORP	206.	206.
HUNT J B TRANSPORT SERVICES INC COM	410.	410.
HUNTSMAN CORP COM	327.	327.
HUTCHISON WHAMPOA LTD ADR	1,245.	1,245.
IBERIABANK CORP COM	366.	366.
ITT INDUSTRIES INC	1,044.	1,044.
IDACORP INC	182.	182.
IDEX CORP	172.	172.
ILLINOIS ST GO BDS-TAXBL	2,075.	2,075.
ILLINOIS TOOL WORKS INCORPORATED	1,871.	1,871.
IMPERIAL TOBACCO GROUP ADR UNITED KINGDO	2,690.	2,690.
INDUSTRIAL & COML BK CHINA ADR	1,297.	1,297.

FT0560 L775 10/26/2011 07:25:26

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
INFINITY PPTY & CAS CORP COM	194.	194.
INFOSYS TECHNOLOGIES SP ADR ISIN #US4567	852.	852.
INNOPHOS HLDGS INC COM	47.	47.
INTEL CORPORATION	5,566.	5,566.
INTERFACE INC CL A	42.	42.
INTERNATIONAL BUSINESS MACHS	8,394.	8,394.
INTERNATIONAL BUSINESS MACHS CORP SR UNS	3,578.	3,578.
INTL LEASE FINANCE CORP DTD 4/11/05 5.00	5,850.	5,850.
INTERNATIONAL GAME TECHNOLOGY	30.	30.
INTERNATIONAL PAPER COMPANY	372.	372.
INTL PWR PLC SPONSORED ADR	16,795.	16,795.
INTERNATIONAL SPEEDWAY CORP CL A	47.	47.
INTERSIL CORP CL A	121.	121.
ITC HLDGS CORP COM	502.	502.
J P MORGAN CHASE & CO MEDIUM TERM SR NT	705.	705.
J P MORGAN CHASE & CO COM	1,752.	1,752.
JPMORGAN CHASE & CO GLOBAL SUB HLDG CO N	3,805.	3,805.
J P MORGAN CHASE COML MTG SECS 2002-CIBC	5,292.	5,292.
J PMORGAN CHASE COML MTG SECS CORP 2005-	637.	637.
J P MORGAN CHASE COML MTG SECS CORP 2005	583.	583.
J P MORGAN CHASE COML MTG SECS CORP 2005	5,475.	5,475.
J P MORGAN CHASE COML MTG SEC TR 2006-CB	8,338.	8,338.
J P MORGAN CHASE COML MTG SECS TR 2007-C	669.	669.
J P MORGAN CHASE COML MTG SECS TR 2007 C	2,210.	2,210.
JANUS CAP GROUP INC	39.	39.
JOHNSON & JOHNSON	10,956.	10,956.
JOY GLOBAL INC 00	221.	221.
KAYDON CORPORATION	364.	364.
KELLOGG CO	2,404.	2,404.
KEPPEL CORP LTD SPONSORED ADR	2,380.	2,380.
KEYCORP NEW	589.	589.
KEYCORP SR UNSECD MEDIUM TERM SR NT SER	4,875.	4,875.
KIMBERLY CLARK CORP COM	4,108.	4,108.

FT0560 L775 10/26/2011 07:25:26

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
KINDER MORGAN ENERGY PARTNERS L P SR NT	2,197.	2,197.
KINGFISHER PLC SPONSORED ADR	291.	291.
KOMATSU LTD SPON ADR NEW	436.	436.
KONINKLIJKE AHOLD NV SPONSORED ADR 2007	689.	689.
KRAFT FOODS INC CL A COM	2,040.	2,040.
KRAFT FOODS INC SR UNSEC NT	1,625.	1,625.
KRAFT FOODS INC SR UNSEC NT	2,432.	2,432.
KROGER CO SR NT	4,000.	4,000.
KROGER CO NT	1,185.	1,185.
LANDSTAR SYSTEMS INCORPORATED	59.	59.
ESTEE LAUDER COMPANIES CL A	1,314.	1,314.
LB-UBS COML MTG TR 2004-C2 COML MTG PASS	5,459.	5,459.
LB-UBS CMBS TR 2007-C7 CL A3	6,687.	6,687.
LEAR CORP NEW COM	63.	63.
LENDER PROCESSING SVCS INC COM	516.	516.
LIMITED BRANDS INC COM	14,813.	14,813.
LINCOLN NATL CORP IND	367.	367.
LINCOLN NATL CORP IND UNSEC SR NT	4,375.	4,375.
LINEAR TECHNOLOGY CORP	100.	100.
LITTELFUSE INC COM	74.	74.
LORILLARD INC COM	207.	207.
LOWES COMPANIES INCORPORATED	2,378.	2,378.
LUBRIZOL CORP	271.	271.
LUFKIN INDS INC COM	40.	40.
MARSHALL & ILSLEY MED TERM NT DTD 8/24/2	15,938.	15,938.
MFA FINL INC REITS	2,209.	2,209.
MARSHALL & ILSLEY CORP NEW COM	11.	11.
MARTIN MARIETTA MATLS INC	144.	144.
MASCO CORPORATION	209.	209.
MASCO CORP NT	4,349.	4,349.
MASSEY ENERGY CORP COM	17.	17.
MASTERCARD INC CL A COM	339.	339.
MCDONALDS CORP	12,679.	12,679.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES
 =====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
MCGRAW HILL INCORPORATED	340.	340.
MEAD JOHNSON NUTRITION CO COM	1,277.	1,277.
MEDICIS PHARMACEUTICAL CORP CL A	282.	282.
MENS WEARHOUSE INC	138.	138.
MERCK KGAA ADR	240.	240.
MERCK & CO INC NEW COM	12,710.	12,710.
MERRILL LYNCH & CO INC MTN	9,225.	9,225.
MERRILL LYNCH MTG TR 2007-C1 COML MTG PA	12,198.	12,198.
METLIFE INC	7,088.	7,088.
METLIFE INC SR UNSECD NT	4,725.	4,725.
METROPOLITAN LIFE GLOBAL FDG I SR SECD	7,688.	7,688.
METROPOLITAN TRANSN AUTH N Y R REV BDS-T	2,029.	2,029.
MICROSOFT CORPORATION	10,506.	10,506.
ML CFC COML MTG TR 2006-3 MTG PASSTHRU C	386.	386.
ML-CFC COML MTG TR 2007-9 COML MTG PASST	7,885.	7,885.
MOLSON COORS BREWING CO CL B	64.	64.
MOODYS CORP COM	140.	140.
MORGAN STANLEY DEAN WITTER DISCOVER & CO	855.	855.
MORGAN STANLEY CAP I TR 2006-IQ- 11 MTG	3,745.	3,745.
MORGAN STANLEY DEAN WITTER CAP I TR 2003	9,292.	9,292.
MORGAN STANLEY SER 2003-T11 CL A4 DTD 8/	6,695.	6,695.
MORGAN STANLEY SER 2002-IQ3 CL A4 DTD 12	6,350.	6,350.
MORGAN STANLEY SR UNSECD MTN	6,306.	6,306.
MORGAN STANLEY CAP TR I 2007- HQ11 MTG P	1,710.	1,710.
MURPHY OIL CORP COM	329.	329.
NATIONAL GRID PLC SPONSORED ADR NEW	2,088.	2,088.
NATIONAL OILWELL VARCO INC COM	375.	375.
NATIONAL PENN BANCSHARES INC COM	50.	50.
NATIONAL RURAL UTILS COOP FIN CORP COLL	15,044.	15,044.
NEENAH PAPER INC	4.	4.
NESTLE S A SPONSORED ADR	8,206.	8,206.
NEWMONT MINING CORP	645.	645.
NEWS AMER INC BD	5,300.	5,300.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
NEXEN INC NT CANADA	6,051.	6,051.
NIDEC CORP SPONSORED ADR	554.	554.
NIKE INC CL B	1,210.	1,210.
NINTENDO LTD ADR	438.	438.
NISOURCE INC	1,635.	1,635.
NORDSTROM INCORPORATED	2,116.	2,116.
NORFOLK SOUTHERN CORP NT	3,969.	3,969.
NORTHERN TRUST CORPORATION	2,125.	2,125.
NORTROP CORPORATION	499.	499.
NORTHWEST BANCSHARES INC MD COM	46.	46.
NOVARTIS AG ADR	569.	569.
NOVO-NORDISK A S ADR	829.	829.
NUCOR CORP NT	3,261.	3,261.
OGE ENERGY CORP	1,130.	1,130.
OCCIDENTAL PETROLEUM CORPORATION	8,168.	8,168.
OLIN CORP COM PAR \$1	67.	67.
ONCOR ELEC DELIVERY CO SR SECD NT	5,334.	5,334.
ONTARIO PROV CDA BD CANADA	7,455.	7,455.
ONTARIO PROV CDA US\$ GLOBAL BD CANADA	2,848.	2,848.
ORACLE SYS CORP	3,091.	3,091.
ORACLE CORP SR UNSECD NT	87.	87.
ORIGEN MANFCTRD HSG SER 2002-A CL A3 DTD	1,299.	1,299.
OWENS & MINOR INC NEW COM	90.	90.
PECO ENERGY CO 1ST & REF MTG BD	3,868.	3,868.
P G & E CORPORATION	11,072.	11,072.
PNC BK CORP	2,195.	2,195.
PNC FDG CORP SR MEDIUM TERM SUB NT	3,844.	3,844.
PPG INDUSTRIES INC	604.	604.
PACCAR INCORPORATED	1,289.	1,289.
PACIFIC GAS & ELEC CO FIRST MTG	2,100.	2,100.
PACKAGING CORP OF AMERICA	3,733.	3,733.
PACWEST BANCORP DEL COM	26.	26.
PALL CORPORATION	222.	222.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
PARKER HANNIFIN CORPORATION	2,231.	2,231.
PATTERSON COMPANIES INC	312.	312.
PEABODY ENERGY CORP COM	1,753.	1,753.
PEGASYS SYSTEMS INC COM	38.	38.
PEPSICO INCORPORATED	8,091.	8,091.
PEPSICO INC SR NT	2,683.	2,683.
PERRIGO CO	113.	113.
PETROLEO BRASILEIRO SA PETROBRAS ADR	844.	844.
PETSMART INCORPORATED	99.	99.
PFIZER INC	13,161.	13,161.
PHILIP MORRIS INTL INC COM	13,416.	13,416.
PHILIPPINE LONG DISTANCE TEL SPONSORED A	3,610.	3,610.
PHILLIPS VAN HEUSEN CORP COM	19.	19.
PITNEY BOWES INC GLOBAL MTN	2,375.	2,375.
PLAINS ALL AMERN PIPELINE L P/ PAA FIN C	226.	226.
PORTLAND GEN ELEC CO COM NEW	1,014.	1,014.
POTASH CORP SASK INC	17.	17.
PRECISION CASTPARTS CORPORATION	74.	74.
PRICE T ROWE GROUP INC COM	2,580.	2,580.
PROCTER & GAMBLE CO COM	3,986.	3,986.
PRUDENTIAL FINL INC COM	6,315.	6,315.
PRUDENTIAL FINL INC MTN SER D	3,900.	3,900.
PRUDENTIAL FINL INC SR MTN	2,943.	2,943.
PUBLIC SERVICE ENTERPRISE GROUP INC	1,400.	1,400.
QUALCOMM INC	5,143.	5,143.
QUEBEC PROV CDA BD CANADA	5,781.	5,781.
QUEST DIAGNOSTICS INC	252.	252.
QUESTAR CORP	59.	59.
RADIO SHACK CORP	311.	311.
RANGE RES CORP	23.	23.
RAYONIER INC REIT	334.	334.
REED ELSEVIER P L C SPONSORED ADR NEW CO	1,338.	1,338.
REGAL BELOIT CORP	38.	38.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
REGIS CORP 00	80.	80.
REGIONS FINL CORP NEW SR NT	7,341.	7,341.
REINSURANCE GROUP AMER INC COM	137.	137.
REPUBLIC SERVICES INC CL A	1,398.	1,398.
RESOLUTION FDG CORP FED BOOK ENTRY DTD 7	3,975.	3,975.
RESOURCES CONNECTION INC COM	86.	86.
REYNOLDS AMERICAN INC COM	1,462.	1,462.
RICHARDSON ELECTRS LTD COM	19.	19.
RIO TINTO PLC SPONSORED ADR	596.	596.
ROCHE HLDG LTD SPONSORED ADR	2,603.	2,603.
ROCKWELL INTL CORP	578.	578.
ROGERS COMMUNICATIONS INC CL B	5,467.	5,467.
ROPER INDUSTRIES INCORPORATED NEW	46.	46.
ROSS STORES INC	282.	282.
ROYAL DUTCH SHELL PLC	927.	927.
ROYAL PTT NEDERLAND SPONSORED ADR	1,974.	1,974.
SAFEMAY INC COM NEW	43.	43.
SANDERSON FARMS INC COM	27.	27.
SANTANDER DRIVE AUTO RECVBLS TR SER 2010	85.	85.
SAP AG ADR	281.	281.
SCHLUMBERGER LIMITED	277.	277.
SCHWEITZER-MAUDUIT INTL INC	115.	115.
SEMPRA ENERGY	5,139.	5,139.
SHARP CORP ADR	561.	561.
SHIRE PHARMACEUTICALS GRP PLC SPONSORED	313.	313.
SILGAN HOLDINGS INC COM	234.	234.
SMITH & NEPHEW PLC SPDN ADR NEW	254.	254.
SOLERA HLDGS INC COM	134.	134.
SOTHEBYS HLDGS INC CL A	101.	101.
SOUTH JERSEY INDUSTRIES INCORPORATED	263.	263.
SOUTHERN CO	1,953.	1,953.
SOUTHERN PWR CO SR NT SER B	3,125.	3,125.
STAPLES INCORPORATED	2,077.	2,077.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
STARBUCKS CORP	2,847.	2,847.
STARWOOD HOTELS & RESORTS WORLDWIDE INC	86.	86.
STATE STREET CORP	25.	25.
STEEL DYNAMICS INC	609.	609.
SYNGENTA AG SPONSORED ADR	977.	977.
SYNOVUS FINANCIAL CORPORATION	222.	222.
TCF FINANCIAL CORPORATION	80.	80.
TD AMERITRADE HLDG CORP COM	190.	190.
TJX COMPANIES INCORPORATED NEW	4,568.	4,568.
TNT N V SPONSORED ADR	1,409.	1,409.
TAIWAN SEMICONDUCTOR MFG LTD SPONSORED A	2,050.	2,050.
TALISMAN ENERGY INC	290.	290.
TARGET CORP	6,140.	6,140.
TELECOM ITALIA CAP CO GTY LUXEMBOURG	4,446.	4,446.
TELEFLEX INCORPORATED	367.	367.
TELEFONICA DE ESPANA SA	934.	934.
TELEFONICA EMISIONES S A U SR NT SPAIN	4,488.	4,488.
TELEFONICA EMISIONES S A U CO GTY SPAIN	1,583.	1,583.
TESCO PLC SPONSORED ADR	1,684.	1,684.
TEVA PHARMACEUTICAL INDS LTD ADR	1,160.	1,160.
TEXAS INSTRS INC	3,303.	3,303.
3M CO COM	1,673.	1,673.
TIDEWATER INC	60.	60.
TIFFANY & COMPANY NEW	178.	178.
TIME WARNER CABLE INC COM	1,094.	1,094.
TIME WARNER CABLE INC NT	4,538.	4,538.
TIME WARNER CABLE INC CO GTD NT	4,950.	4,950.
TOTAL S A SPONSORED ADR	1,790.	1,790.
TOWERS WATSON & CO CL A COM	292.	292.
TOYOTA MOTOR CORP UNSPONSORED ADR	361.	361.
TRANSCANADA PIPELINES LTD BD CANADA	2,925.	2,925.
TRANSCANADA CORP COM	1,793.	1,793.
TRAVELERS COS INC COM	1,470.	1,470.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
TREND MICRO INC SPONSORED ADR NEW	597.	597.
TRIUMPH GROUP INC NEW	25.	25.
TUPPERWARE CORP	357.	357.
TYCO INTL LTD / TYCO INTL FIN S A NT BER	5,844.	5,844.
US BANCORP DEL COM NEW	1,775.	1,775.
UNILEVER N V-W/I (NET/USD) US9047847093	1,066.	1,066.
UNION PAC CORP COM	3,866.	3,866.
UNITED PARCEL SERVICE CL B	5,951.	5,951.
UNITED STATES TREAS BILL DTD 01/07/10 DU	10.	10.
UNITED STATES TREAS BD STRIPPED PRIN PMT	7,756.	7,756.
UNITED STATES TREAS BD STRIPPED PRIN PMT	8,354.	8,354.
UNITED STATES TREAS BD DTD 08/17/09 4.50	9,229.	9,229.
UNITED STATES TREAS BD DTD 02/15/10 4.62	10,315.	10,315.
UNITED STATES TREAS NTS	5,053.	5,053.
UNITED STATES TREAS NT INFLATION INDEX	6,428.	6,428.
UNITED STATES TREAS NT DTD 11/16/09 3.37	8,903.	8,903.
UNITED STATES TREAS NT DTD 01/15/10 1.37	10,948.	10,948.
UNITED STATES TREAS NT DTD 02/01/10 0.87	4,057.	4,057.
UNITED STATES TREAS NT DTD 02/16/10 3.62	1,635.	1,635.
UNITED STATES TREAS NT DTD 04/30/10 1.00	744.	744.
UNITED STATES TREAS NT DTD 05/17/10 1.37	3,210.	3,210.
UNITED STATES TREAS NT DTD 05/17/10 3.50	1,092.	1,092.
UNITED STATES TREAS NT DTD 08/02/10 1.75	12.	12.
UNITED STATES TREAS NT DTD 06/30/10 0.62	2,877.	2,877.
UNITED STATES TREAS NT DTD 08/16/10 2.62	6,870.	6,870.
UNITED STATES TREAS NT DTD 08/16/10 0.75	91.	91.
UNITED STS STL CORP NEW COM	441.	441.
UNITED TECHNOLOGIES CORP	12,114.	12,114.
UNITEDHEALTH GROUP INC	3,247.	3,247.
UNUMPROVIDENT CORP	519.	519.
UNUM GROUP SR NT	6,769.	6,769.
UPFC AUTO RECEIVABLES TR 2006-A NT CL A-	205.	205.
USB CAP IX NORMAL INCOME TR SECS CALL 4/ FT0560 L775 10/26/2011 07:25:26	2,623.	2,623.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
UTAH ST TAXABLE GO BDS-TAXBL	4,099.	4,099.
V F CORPORATION	418.	418.
VALEANT PHARMACEUTICALS INTL INC CDA COM	904.	904.
VALE OVERSEAS LTD NT CAYMAN ISLANDS	5,625.	5,625.
VANGUARD MSCI EMERGING MKTS ETF	59,191.	59,191.
VERISIGN INC	1,005.	757.
VERIZON COMMUNICATIONS	9,244.	9,244.
VERIZON MD INC DEB SER A	10,413.	10,413.
VERIZON VA INC 03/14/2003 4.625% 03/15/2	2,313.	2,313.
VIACOM INC CL B COM	1,402.	1,402.
VIMPELCOM LTD SPONSORED ADR	936.	936.
VISA INC CL A COM	539.	539.
VODAFONE GROUP PLC NEW SP ADR	4,487.	4,487.
VOLKSWAGEN AUTO LN ENHANCED TR SER 2008-	1,777.	1,777.
VOLKSWAGEN AUTO LEASE TR NT CL A-2 SER 2	443.	443.
WPP PLC ADR COM	883.	883.
WACHOVIA BK COML MTG TR 2005-C20 MTG PAS	4,845.	4,845.
WACHOVIA BK COML MTG TR 2004- C16 COML M	5,332.	5,332.
WACHOVIA BK COML MTG TR 2005-C22 COML MT	2,495.	2,495.
WACHOVIA BK COML MTG TR 2005-C22 COML MT	1,797.	1,797.
WACHOVIA BK NATL ASSN MEDIUM TERM SUB BK	10,000.	10,000.
WACHOVIA BK COML MTG TR SER 2006 -C27 MT	9,512.	9,512.
WACHOVIA BK COML MTG TR COML MTG PASSTHR	5,744.	5,744.
WACHOVIA BK COML MTG TR COML MTG PASSTHR	6,449.	6,449.
WADDELL & REED FINL INC CL A	176.	176.
WAL-MART STORES INCORPORATED	8,988.	8,988.
WALTER INDS INC	73.	73.
WASTE CONNECTIONS INC COM	126.	126.
WASTE MANAGEMENT INC	5,760.	5,760.
WATTS INDS INC CL A	141.	141.
WEATHERFORD INTL LTD GTD SR NT BERMUDA	900.	900.
WEATHERFORD INTL LTD CO GTY BERMUDA	7,219.	7,219.
WELLS FARGO COMPANY	3,545.	3,545.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
WELLS FARGO & CO NEW SR UNSECD NT STEP U	321.	321.
WESTAR ENERGY INC COM	335.	335.
WESTERN UNION CO COM	113.	113.
WHIRLPOOL CORPORATION	266.	266.
WHITNEY HOLDING CORPORATION	38.	38.
WHOLE FOODS MKT INC COM	324.	324.
WILEY JOHN & SONS INC CL A	37.	37.
WILLIAMS SONOMA INCORPORATED	276.	276.
WINDSTREAM CORP COM	2,673.	2,673.
WOODWARD GOVERNOR CO	152.	152.
XTO ENERGY INC NT	6,843.	6,843.
XCEL ENERGY INC COM	219.	219.
XILINX INCOPORATED	168.	168.
XEROX CORP SR UNSECD NT	816.	816.
ZIONS BANCORP	31.	31.
BANK OF AMERICA MONEY MARKET SAVINGS ACC	4,427.	4,427.
ACCENTURE PLC CL A COM	869.	869.
COVIDIEN LTD PLC COM	2,250.	2,250.
ENDURANCE SPECIALITY HLDGS LTD COM	283.	283.
GARMIN LTD COM	1,657.	1,657.
HERBALIFE LTD COMMON STOCK	400.	400.
INGERSOLL-RAND CO LTD COM	640.	
PARTNERRE HOLDINGS LIMITED	816.	816.
PLATINUM UNDERWRITERS HOLDINGS LTD	205.	205.
SEADRILL LTD COM	562.	562.
WILLIS GROUP HLDGS PLC COM	969.	969.
NOBLE CORP COM	780.	780.
TYCO INTL LTD NEW F COM	4,309.	4,309.
TYCO ELECTRONICS LTD COM	2,752.	2,752.
MILLICOM INTL CELLULAR S A COM	3,954.	3,954.
GAINS FROM MORTGAGE BACKED SECURITIES	1,131.	1,131.
CORE LABORATORIES N V COM	143.	143.

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
TOTAL	2,279,730. =====	2,277,422. =====

THEE U/W OF LLOYD G. BALFOUR

22-2751372

FORM 990PF, PART I - OTHER INCOME
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
GROSVENOR REGISTERED MULTI-STRATEGY FUND		665,353.
TOTALS		665,353.
	=====	=====

FORM 990PF, PART I - LEGAL FEES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
-----	-----	-----	-----	-----
LEGAL FEES - PRINCIPAL (ALLOCA	16,878.			16,878.
LEGAL FEES- CHARITABLE PURPOSE	16,426.			16,426.
	-----	-----	-----	-----
TOTALS	33,304.	NONE	NONE	33,304.
	=====	=====	=====	=====

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
OTHER NONALLOCABLE EXPENSES -	157,685.	63,074.	94,611.
TOTALS	157,685.	63,074.	94,611.
	=====	=====	=====

FORM 990PF, PART I - TAXES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
FOREIGN TAXES	9,631.	9,631.
FEDERAL ESTIMATES - PRINCIPAL	70,000.	
FOREIGN TAXES ON QUALIFIED FOR	9,809.	9,809.
FOREIGN TAXES ON NONQUALIFIED	2,548.	2,548.
	-----	-----
TOTALS	91,988.	21,988.
	=====	=====

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

FORM 990PF, PART I - OTHER EXPENSES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
OTHER ALLOCABLE EXPENSE-PRINCI	15,886.		15,886.
OTHER ALLOCABLE EXPENSE-INCOME	1,052.		1,052.
TRUSTEE/EXECUTOR FEES - NON-AL	1,560.	1,560.	
DIVIDEND INVESTMENT EXPENSE -		193,812.	
TOTALS	18,498.	195,372.	16,938.

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES
=====

DESCRIPTION -----	AMOUNT -----
10-11 TYE SALES ADJ	1,448.
10-11 INCOME ADJ	53.
ADJ CARRYING VALUE	104,887.

TOTAL	106,388.
	=====

STATE(S) WHERE THE FOUNDATION IS REGISTERED
=====

MA

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES
=====

OFFICER NAME:

BANK OF AMERICA, N.A.

ADDRESS:

100 FEDERAL STREET

BOSTON, MA 02110

TITLE:

TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40

COMPENSATION 304,403.

TOTAL COMPENSATION: 304,403.

=====

TTEE U/W OF LLOYD G. BALFOUR
FORM 990PF, PART XV - LINES 2a - 2d
=====

22-2751372

RECIPIENT NAME:

BANK OF AMERICA, N.A.

ADDRESS:

100 FEDERAL STREET

BOSTON, MA 02110

FORM, INFORMATION AND MATERIALS:

SEE ATTACHED STATEMENT

SUBMISSION DEADLINES:

SEE ATTACHED STATEMENT

RESTRICTIONS OR LIMITATIONS ON AWARDS:

SEE ATTACHED STATEMENT

STATEMENT 30

LLOYD G. BALFOUR FOUNDATION BANK OF AMERICA, TRUSTEE

GUIDELINES

Bank of America Company serves as Trustee of the Lloyd G. Balfour Foundation. The Foundation was established in 1973 and was fully funded in 1983 with proceeds from the sale of the L.G. Balfour Company in Attleboro, Massachusetts.

Lloyd Balfour was the sole owner of the company at the time of his death (July 12, 1973). During Mr. Balfour's lifetime, the Company's primary markets were educational institutions, professional groups and corporations. Its principal products were class rings, membership insignia, and a full range of incentive oriented products for employees.

Through the establishment of this Foundation, Mr. Balfour provided that the major portion of his estate be set aside in perpetuity to benefit charitable 501(c)(3) organizations selected by the Trustee.

In accordance with Mr. Balfour's wishes, we give special consideration to:

1. Balfour Company Employees who were employed at the time of Mr. Balfour's tenure.

On an on-going basis, the Foundation provides scholarship support to former employees, their children and grandchildren. Grants awarded in this category are administered by the Attleboro Scholarship Foundation.

Application Process: *Please contact:*
 Attleboro Scholarship Foundation
 Ms. Sue Daggett, Executive Director
 508-226-4414
 89 North Main Street
 P.O. Box 1666
 Attleboro, MA. 02703

2. Charitable Organizations serving the City of Attleboro.

The Foundation considers funding requests from charitable organizations serving the City of Attleboro. Primary consideration is given to the educational, medical and social service needs of the community.

Application Process: *Follow the Philanthropic Management Grant Guidelines*
Due Date: *February 2, 2006*

Bank of America



Updated March 2007

3. Specific institutions mentioned by Mr. Balfour in his will.

Mr. Balfour was closely associated with a number of educational, fraternal, and medical institutions throughout his life and requested that we consider their needs when planning for the allocation of funds. Institutions mentioned in Mr. Balfour's will include: Sigma Chi Endowment Foundation, The National Inter-fraternity Conference Foundation, Indiana University, Phi Delta Phi Foundation, Massachusetts Institute of Technology and University of Notre Dame.

Application Process: *Follow the Philanthropic Management Grant Guidelines*
Due Date: *February 2, 2007*

4. Educational Programs selected by the Trustee.

Mr. Balfour earned much of his personal success from his association with educational institutions. Accordingly, his will also suggests that we give favorable consideration to "institutions furthering education." As Trustee we have focused much of our discretionary funding on education.

We are extremely interested in both traditional and non-traditional education programs serving pre-school aged children to senior citizens. Over the years particular attention has been given to programs that encourage youth to excel in school and continue on with a post-secondary education. We have given favorable consideration to college-readiness and diversity related programs.

We also encourage creative programs that provide access to educational opportunities for underserved populations.

Application Process: *Follow the Philanthropic Management Grant Guidelines*
Due Date: *February 2, 2007*

Priority is given to the New England Region. Applications focusing on general education programs are accepted throughout the year.

PHILANTHROPIC MANAGEMENT

GRANT GUIDELINES - MASSACHUSETTS

Bank of America serves as trustee or co-trustee of numerous trusts and foundations. As steward of these charitable funds, the bank created a highly specialized group within Philanthropic Management that provides *grantmaking solutions* designed to meet the grantmaking and fiduciary needs of these foundations and trusts. This team ensures that meaningful grants are awarded in accordance with the philanthropic mission of each foundation.
www.bankofamerica.com/grantmakingsolutions

Working either with an internal distribution committee or in conjunction with a co-trustee, family member, or external advisor, the Grantmaking Solutions group carefully evaluates grant proposals and proactively seeks opportunities to make grants that promote the philanthropic goals of every trust we hold, whatever its size or mission.

In the foundations where the donor has granted us broad discretion, we have focused our grantmaking in order to have an impact on some of the most compelling needs in our community. To that end, our philanthropic efforts focus on providing **access to education, health care and family service programs that target underserved populations.**

Where appropriate, we encourage requests for **general operating** support to help build capacity and strengthen the non-profits we serve. Program requests are also considered. The majority of grants awarded are for one year, however, we will occasionally consider strategic requests for multi-year support.

Notice to Non-profit Agencies

Grants distributed by Grantmaking Solutions are separate and distinct from Bank of America's proprietary charitable contributions, which are administered by the Bank of America Charitable Foundation. Please go to www.bankofamerica.com/foundation to learn more.

Bank of America



CONTACTS

Please do not hesitate to call us and discuss your grant request before preparing a formal proposal.

Kerry Herlihy Sullivan Senior Vice President	<u>kerry.h.sullivan@bankofamerica.com</u>	(617) 434-4846
Kim Igoe Kasper Senior Program Officer	<u>kim.m.igoe-kasper@bankofamerica.com</u>	(617) 434-4941
Una Flannery Kelley Program Officer	<u>una.f.kelley@bankofamerica.com</u>	(617) 434-5190
Melanie Khoury Grants Manager	<u>melanie.khoury@bankofamerica.com</u>	(617) 434-4898
Laura Sitterley Program Officer	<u>laura.j.sitterley@bankofamerica.com</u>	(617) 434-6454
George Thorn Program Officer	<u>george.thorn@bankofamerica.com</u>	(617) 434-4652

Proposals should be addressed to:

Bank of America
Kerry H. Sullivan
Senior Vice President
Philanthropic Management
MA5-100-16-01
100 Federal Street
Boston, MA 02110

GENERAL GRANTMAKING FOCUS

1. **Education** - We are specifically interested in educational programs that encourage disadvantaged youth to excel in grade school and high school, and to pursue a post secondary education. In order to reach diverse populations, we provide funding to both traditional and non-traditional educational programs serving age groups from pre-school children to senior citizens.
2. **Health Care** - We continue to evaluate health programs that bring primary care and health education to populations that are currently underserved or are unable to afford such services. We are also interested in long-term community-based health care services as well as hospice and respite care.
3. **Family Service** - We are committed to serving needy families by providing access to appropriate social services, housing, childcare and job training. We support services for traditional families and single-parent households, as well as individuals, the elderly, and run-away youth.

Please note, our general grantmaking focus may be subject to change based on the dynamic needs in the community.

- Currently all of the grantmaking trusts and foundations listed are **restricted to Massachusetts with the exception of the L.G. Balfour Foundation**, which has a strong New England focus. If you are applying to the L. G. Balfour Foundation, please also refer to the Balfour Foundation guidelines
- Proposals need not be addressed to a specific foundation or trust; however, only one proposal per organization may be submitted to Philanthropic Management per 12-month period.
- Please observe the following points:
 - No video tapes or dvds
 - No grants to individuals
 - No hand deliveries of proposals will be accepted. Please postmark by proposal deadline.
- Please submit your proposal (original only, no additional copies) on 8 ½ x 11- inch paper without the use of binders or covers. Please number each section 1-10 accordingly as indicated on the Grant Application.
- In lieu of our standard Grant Application, we currently accept the AGM Common Proposal Format (www.agmconnect.org). We do request however, that you also complete a Grant Application Coversheet which is included in this packet.
- Average grants range from \$5,000 to \$30,000.

GRANT APPLICATION

REQUESTS FOR GRANTS MUST CONTAIN THE FOLLOWING INFORMATION IN THE FOLLOWING ORDER. Please be sure to complete, number and label each section.

Please note: In lieu of this standard application, we currently accept and encourage the use of the AGM Common Proposal Format www.agmconnect.org/cpf. However, regardless of which format you choose to follow, we do request that you also complete the Grant Application Coversheet provided under Section 1 below.

1. **GRANT APPLICATION COVERSHEET** (see pages 6 & 7 - attached)
2. **BACKGROUND** (not more than one page)
Provide a brief description of the background and the purpose of the organization.
3. **ORGANIZATIONAL BUDGET**
Include a budget for the entire organization.
4. **GRANT REQUEST** (not more than five pages)
Include a comprehensive description of the services for which you are seeking support. Be sure to include information that highlights the urgent need for your organization, project or program and justifies the amount requested.
5. **PROJECT/PROGRAM BUDGET** (not applicable for general operating requests)
If the requested funds are to be used for anything other than operating expenses of the organization, include a detailed budget for the specific project or program, which justifies the amount required.
6. **SOURCES OF FUNDING**
(A) For special project requests: funds which have been secured to date and the sources of those funds, or;
(B) For operating support requests: foundation and/or corporate grants, which have been received over the past two years.

7. **EVALUATION** (not more than two pages)
Include a detailed description of how you currently evaluate your organization/project and/or how you plan to if seed funding is requested. Please include the evaluation results, if available.
8. **BOARD MEMBERS/STAFF**
(A) A list of the members of the board of trustees, indicating the names and qualifications of officers, and
(B) A list of staff positions and the names of the current occupants of those positions.
9. **TAX STATUS**
Evidence of the tax status of your organization, i.e. a copy of the organization's Federal (IRS) Tax-Exempt Ruling Letter, verifying that the organization is a qualified charity under Section 501(c)(3) of the IRS, and that it is **not** a private foundation.
10. **AUDITED FINANCIAL STATEMENT**
A copy of an audited financial statement for the most recent fiscal year.

**PROPOSALS MUST CONTAIN ALL OF THE ABOVE INFORMATION TO BE
CONSIDERED.**

In addition to the required information, you may include copies of any unsolicited letters of recommendation or other endorsements, such as press clippings that have been received by your organization during the year.

PHILANTHROPIC MANAGEMENT

GRANT APPLICATION COVERSHEET

This coversheet is intended as a *summary* only. We ask that you restrict your answers to the space provided, and that you make any additional comments in the proposal you submit with this coversheet. *Please note, this coversheet must be included with all requests*

NAME OF ORGANIZATION: _____

ADDRESS: _____

CITY: _____ STATE: _____ ZIP CODE: _____

TELEPHONE #: _____ EXT. _____ FAX #: _____

CONTACT E-MAIL ADDRESS: _____

WEB SITE ADDRESS: _____

NAME OF CONTACT PERSON: (Mr. / Ms. / Dr.) _____

TITLE OF CONTACT PERSON: _____

LEGAL NAME OF ORGANIZATION: _____

TAX IDENTIFICATION NUMBER: _____

FEDERAL TAX STATUS: _____

DATE OF IRS DETERMINATION RULING: _____

MISSION OF ORGANIZATION: _____

ORGANIZATIONAL BUDGET INFORMATION:

Current Fiscal Year (FY) Projections:

FY: _____, ending (month /day): _____ Revenue: \$ _____ Expenses: \$ _____

Most Recent Fiscal Year (FY) Completed:

FY: _____, ending (month/ day): _____ Revenue: \$ _____ Expenses: \$ _____

Sources of revenue from the *most recent completed* fiscal year (list % of total operating revenue):

Federal	%	Corporations	%
State	%	Individuals	%
City	%	Endowment	%
Fees	%	United Way	%
Foundations	%	Other (Explain)	%

PLEASE CHECK THE SERVICES PROVIDED BY YOUR ORGANIZATION:

☐ Education ☐ Family Services
☐ Health Care or Hospice Care Serving Disadvantaged Populations
☐ Other (Specify: _____)
☐ Are you a United Way Agency? (yes/no)

AMOUNT OF FUNDS REQUESTED: \$ _____ over _____ months

DESCRIPTION & PURPOSE OF REQUEST (*Specify if operating, program, or capital request*):

APPROXIMATE GEOGRAPHIC LOCATION, DEMOGRAPHIC AND DESCRIPTION OF POPULATION SERVED:

PROJECT TITLE (if applicable): _____

PROJECT BUDGET INFORMATION (if applicable): _____

Current Fiscal Year Projections: Revenue: \$ _____ Expenses: \$ _____

Most Recent Completed Fiscal Year: Revenue: \$ _____ Expenses: \$ _____

Sources of revenue from the *most recent completed* fiscal year. If program is new, list projections.

Federal	%	Corporations	%
State	%	Individuals	%
City	%	Endowment	%
Fees	%	United Way	%
Foundations	%	Other (Explain)	%

MARKET VALUE OF ENDOWMENT: \$ _____

ARE YOU CURRENTLY IN A CAPITAL CAMPAIGN PHASE? _____
(yes/no)

If yes, please indicate amount of campaign: \$ _____

If no, please note date of your last campaign: _____

We agree to report to the Trustee on the expenditure of any funds received from any of its charitable trusts.

Signed: _____ Date: _____

2007 FOUNDATION & TRUST DEADLINES

The attached document, 2007 FOUNDATION & TRUST DEADLINES lists the Foundations and Trusts that adhere to Philanthropic Management General Grantmaking Focus and Grant Guidelines highlighted on the preceding pages.

This is not a complete listing of all of our discretionary trusts and foundations, but represents those that are broadly discretionary in the Massachusetts market.

Note: Some of these trusts and foundations herein also have limited discretion. In these instances, the donor has set forth his/her philanthropic interest. Accordingly, we research organizations and review grant requests to find the optimal way to fulfill each donor's charitable wishes. If a donor specified an additional focus area, it is noted in italics.

We accept only one proposal, per organization, per twelve-month period. Your proposal will be reviewed before one of the following foundations or trusts.

FOUNDATION	PROPOSAL DEADLINE	DETERMINATION DATE
Lloyd G. Balfour Foundation <i>General Education only</i> Please see the attached Balfour Grantmaking Focus section for more detail.	Rolling	Ongoing
Lloyd G. Balfour Foundation <i>Charitable concerns in the City of Attleboro & Favored charities</i> Please see the attached Balfour Grantmaking Focus section for more detail	February 2	April
Frank W. and Carl S. Adams Memorial Fund	February 2	April
Mabel A. Horne Trust	February 2	April

Charles F. Bacon Trust <i>Interest in programs serving elderly women</i>	April 6	June
Ida S. Barter Trust	April 6	June
Florian O. Bartlett Trust	April 6	June
Alfred E. Chase Charity Foundation <i>Strong consideration for organizations operating in Lynn or the North Shore area</i>	April 6	June
Horace Moses Charitable Foundation <i>Restricted to the Springfield area</i>	April 6	June
Deborah Munroe Noonan Memorial Fund u/w/o Frank Noonan <i>Organizations & hospitals directly serving children with disabilities</i> Please call The Medical Foundation (TMF) at (617) 451-0049, or link to their website at www.tmfnet.org/grantmake.html for proposal submission and inquiries.	Letters of intent are due in February of 2007. Invited full proposals will be due in May of 2007	September
Deborah Munroe Noonan Memorial Fund u/w/o Walter Noonan	July 6	September
Charles H. Pearson Trust	July 6	September
Frank Reed and Margaret Jane Peters Memorial Fund II	July 6	September

Albert W. Rice Charitable Foundation <i>Priority given to the Worcester area</i>	July 6	September
Cornerstone Charitable Foundation	September 7	November
Frank Reed and Margaret Jane Peters Memorial Fund I	September 7	November
Fleet Charitable Gift Fund	September 7	November
Perpetual Trust for Charitable Giving	September 7	November
Amelia Sillman Rockwell and Carlos Perry Rockwell Charities Fund	October 12	December
Simeon J. Fortin Charitable Foundation <i>Proposals accepted for cancer research only</i>	October 12	December
S. Elizabeth O'Brien Trust <i>Proposals accepted for research, training or rehabilitation programs pertaining to the treatment or care for the diseases of blindness or cancer only</i>	October 12	December
Charles A. King Trust <i>Research Fellowships Post-Doctoral Medical</i> Please call The Medical Foundation (TMF) at (617) 451-0049, or link to their website at www.tmfnet.org/grantmake.html for proposal submission and inquiries.	December 2006	July 2007

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

SEE ATTACHED STATEMENT

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORTS NAMED ORGANIZATION

AMOUNT OF GRANT PAID 4,233,196.

TOTAL GRANTS PAID:

4,233,196.

=====

STATEMENT 31

BANK OF AMERICA, N A
TAX TRANSACTION DETAIL

PAGE 624
RUN 08/30/2011
07 18 45 AM

TAX
CODE/DATE TRANSACTIONS

TAXABLE

INCOME

PRINCIPAL

EDIT
NUMBER

102 CHARITABLE DEDUCTION - CURRENT INCOME

05/26/10	COMMUNITY VISITING NURSE AGENCY ONE YEAR GRANT MADE TO SUPPORT THE ALZHEIMER'S DISEASE ASSISTANCE PROGRAM TR TRAN#=101460001000 TR CD=823 REG=0 PORT=INC	-20000 00	-20000 00	1005000065
05/26/10	ATTLEBORO SCHOLARSHIP FOUNDATION ONE YEAR GRANT MADE TO SUPPORT THE BALFOUR SCHOLARSHIP PROGRAM TR TRAN#=101460007000 TR CD=823 REG=0 PORT=PRIN	-298000 00	-298000 00	1005000071
05/26/10	ATTLEBORO YMCA ONE YEAR GRANT MADE TO SUPPORT YOUTH DEVELOPMENT PROGRAMMING TR TRAN#=101460008000 TR CD=823 REG=0 PORT=PRIN	-60000 00	-60000 00	1005000072

BANK OF AMERICA, N A
TAX TRANSACTION DETAILPAGE 625
RUN 08/30/2011
07 18 45 AM

TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
102 CHARITABLE DEDUCTION - CURRENT INCOME (CONTINUED)				
05/26/10 HEBRON FOOD PANTRY, INC ONE YEAR GRANT MADE TO SUPPORT THE GENERAL OPERATIONS OF THE ORGANIZATION TR TRAN#-101460002000 TR CD=823 REG=0 PORT=INC	-15000 00	-15000 00		1005000066
05/26/10 MASS INSIGHT EDUCATION ONE YEAR GRANT MADE TO SUPPORT THE MATH & SCIENCE INITIATIVE TR TRAN#-101460003000 TR CD=823 REG=0 PORT=INC	-50000 00	-50000 00		1005000067
05/26/10 NEW HOPE, INC ONE YEAR GRANT MADE TO SUPPORT THE GENERAL OPERATIONS OF THE ORGANIZATION TR TRAN#-101460004000 TR CD=823 REG=0 PORT=INC	-20000 00	-20000 00		1005000068
05/26/10 SOCIETY ST VINCENT DEPAUL US ONE YEAR GRANT MADE TO SUPPORT THE ATTLEBORO ENERGY FUND TR TRAN#-101460005000 TR CD=823 REG=0 PORT=INC	-20000 00	-20000 00		1005000069
05/26/10 MASSACHUSETTS AUDUBON SOCIETY FIRST INSTALLMENT OF A TWO YEAR GRANT MADE FOR CAPACITY BUILDING TO INCREASE ENVIRONMENTAL EDUCATION PROGRAMMING FOR ATTLEBORO AND SURROUNDING COMMUNITIES TR TRAN#-101460006000 TR CD=823 REG=0 PORT=INC	-37000 00	-37000 00		1005000070
05/26/10 THE LITERACY CENTER ONE YEAR GRANT MADE TO SUPPORT THE GENERAL OPERATIONS OF THE ORGANIZATION TR TRAN#-101460009000 TR CD=823 REG=0 PORT=PRIN	-55000 00		-55000 00	1005000073
07/21/10 ATTLEBORO ARTS MUSEUM, INC FINAL INSTALLMENT OF A GRANT AWARDED IN MAY 2009 TO SUPPORT THE GENERAL OPERATIONS OF THE ORGANIZATION TR TRAN#-102020001000 TR CD=823 REG=0 PORT=INC	-40000.00	-40000 00		1007000051
07/21/10 BRISTOL COMM COLLEGE FOUNDATION FINAL INSTALLMENT OF A GRANT AWARDED IN MAY 2008 TO SUPPORT THE RENOVATION OF THE ATTLEBORO SATELLITE CAMPUS TR TRAN#-102020002000 TR CD=823 REG=0 PORT=INC	-100000 00	-100000 00		1007000052
07/21/10 UNIV OF NOTRE DAME DU LAC THIRD INSTALLMENT OF A GRANT AWARDED IN MAY 2008 TO SUPPORT THE BALFOUR SCHOLARS PROGRAM TR TRAN#-102020003000 TR CD=823 REG=0 PORT=INC	-125000 00	-125000 00		1007000053
07/21/10 ATTLEBORO PUBLIC SCHOOL DISTRICT FINAL INSTALLMENT OF A GRANT AWARDED IN MAY 2009 TO SUPPORT THE MCAS PREPARATION PROGRAM TR TRAN#-102020006000 TR CD=823 REG=0 PORT=PRIN	-100000 00		-100000 00	1007000056
07/21/10 GRANTMAKERS FOR EDUCATION ONE YER GRANT FOR NATIONAL MEMBERSHIP TR TRAN#-102020004000 TR CD=823 REG=0 PORT=PRIN	-7000 00		-7000 00	1007000054
08/12/10 BIG BROS BIG SISTERS ATTLEBORO REISSUE OF A STOP PAYMENT THIRD INSTALLMENT OF A GRANT AWARDED IN MAY 2008 TO SUPPORT THE GENERAL OPERATIONS OF THE ORGANIZATION. TR TRAN#-102240001000 TR CD=823 REG=0 PORT=INC	-50000 00	-50000 00		1008000034
08/31/10 THE BOSTON FOUNDATION, INC GRANT REPRESENTS THE ORIGINAL YEAR FOUR INSTALLMENT (\$440,368) PLUS A PERCENTAGE OF THE \$50,000 SHORTFALL (\$32,172) THE GRANT AWARDED IN JUNE 2007 FOR THE ACHIEVING THE DREAM PROGRAM TR TRAN#-102430001000 TR CD=823 REG=0 PORT=INC	-472540 00	-472540 00		1008000075
09/29/10 BALFOUR GOLD DUSTERS ONE YEAR GRANT MADE TO SUPPORT THE GENERAL OPERATIONS OF THE ORGANIZATION AND PROGRAM SUPPORT FOR ITS PRESCRIPTION/MEDICAL EMERGENCY FUND TR TRAN#-102720001000 TR CD=823 REG=0 PORT=INC	-85000 00	-85000 00		1009000073
09/29/10 BOSTON DAY & EVENING ACADEMY FDN ONE YEAR GRANT MADE TO SUPPORT THE GENERAL OPERATIONS OF THE ORGANIZATION TR TRAN#-102720002000 TR CD=823 REG=0 PORT=INC	-40000.00	-40000 00		1009000074

BANK OF AMERICA, N A
TAX TRANSACTION DETAILPAGE 626
RUN 08/30/2011
07 18 45 AM

TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
102 CHARITABLE DEDUCTION - CURRENT INCOME (CONTINUED)				
09/29/10 ROCA, INC ONE YEAR GRANT MADE TO SUPPORT THE DROP-OUT PREVENTION PROGRAM TR TRAN#=102720004000 TR CD=823 REG=0 PORT=INC	-50000 00	-50000 00		1009000076
09/29/10 SQUASHBUSTERS, INC ONE YEAR GRANT MADE TO SUPPORT THE GENERAL OPERATIONS OF THE ORGANIZATION TR TRAN#=102720005000 TR CD=823 REG=0 PORT=INC	-30000 00	-30000 00		1009000077
09/29/10 CITIZEN SCHOOLS, INC FINAL INSTALLMENT OF A GRANT AWARDED IN AUGUST 2009 TO SUPPORT THE HIGH SCHOOL SUCCESS AND COLLEGE READINESS PROGRAM TR TRAN#=102720006000 TR CD=823 REG=0 PORT=INC	-125000 00	-125000 00		1009000078
09/29/10 MIDDLESEX COMM COLLEGE FOUND ONE YEAR GRANT MADE TO SUPPORT THE SUPPLEMENTAL INSTRUCTION INITIATIVE TR TRAN#=102720003000 TR CD=823 REG=0 PORT=INC	-25000 00	-25000 00		1009000075
09/29/10 TUFTS UNIVERSITY FINAL INSTALLMENT OF A GRANT AWARDED IN AUGUST 2009 TO SUPPORT THE MASSACHUSETTS COLLEGE ADVISING CORPS TR TRAN#=102720008000 TR CD=823 REG=0 PORT=PRIN	-100000 00		-100000 00	1009000080
09/29/10 UNIV OF CONNECTICUT FOUNDATION FINAL INSTALLMENT OF A GRANT AWARDED IN AUGUST 2009 TO SUPPORT THE COMPACT SCHOOL REFORM INITIATIVE. TR TRAN#=102720009000 TR CD=823 REG=0 PORT=PRIN	-97500.00		-97500 00	1009000081
09/29/10 PEABODY ESSEX MUSEUM FINAL INSTALLMENT OF A GRANT AWARDED IN AUGUST 2009 TO SUPPORT THE MULTIPLE VISIT PARTNERSHIP PROGRAM TR TRAN#=102720007000 TR CD=823 REG=0 PORT=PRIN	-75000 00		-75000 00	1009000079
10/18/10 MUSEUM AFRICAN AMERICAN HISTORY FINAL INSTALLMENT OF A GRANT AWARDED IN AUGUST 2009 TO SUPPORT THE HISTORY HAPPENS HERE EDUCATION PROGRAM TR TRAN#=102910001000 TR CD=823 REG=0 PORT=INC	-50000 00	-50000 00		1010000044
10/20/10 THE BRADFORD DUNN INSTITUTE FINAL INSTALLMENT OF A GRANT AWARDED IN AUGUST 2009 TO SUPPORT THE RI LITERACY PARTNERSHIP TR TRAN#=102930001000 TR CD=823 REG=0 PORT=INC	-33333 00	-33333 00		1010000046
12/23/10 ACCESS FIRST INSTALLMENT OF A TWO-YEAR GRANT MADE TO SUPPORT THE GENERAL OPERATIONS OF THE ORGANIZATION TR TRAN#=103570002000 TR CD=823 REG=0 PORT=INC	-75000 00	-75000 00		1012000082
12/23/10 LINKING LEARNING TO LIFE, INC FIRST INSTALLMENT OF A TWO-YEAR GRANT MADE TO SUPPORT THE GENERAL OPERATIONS OF THE ORGANIZATION TR TRAN#=103570003000 TR CD=823 REG=0 PORT=INC	-40000 00	-40000 00		1012000083
12/23/10 WORCESTER STATE COLLEGE FIRST INSTALLMENT OF A TWO-YEAR GRANT MADE TO SUPPORT THE LATINOS INVOLVED IN DISCOVERING EDUCATIONAL RESOURCES PROGRAM TR TRAN#=103570004000 TR CD=823 REG=0 PORT=INC	-75000 00	-75000 00		1012000084
12/23/10 MOSAICO COMMUNITY DEVELOPMENT ONE YEAR GRANT MADE TO SUPPORT THE YOUTH EXPERIENCING SUCCESS PROGRAM TR TRAN#=103570001000 TR CD=823 REG=0 PORT=INC	-10000 00	-10000 00		1012000081
12/23/10 UNIVERSITY OF MAINE FIRST INSTALLMENT OF A THREE-YEAR GRANT MADE TO SUPPORT THE MASTERING SCIENCE PROGRAM. TR TRAN#=103570009000 TR CD=823 REG=0 PORT=INC	-68353 00	-68353 00		1012000089
12/23/10 STURDY MEMORIAL FOUNDATION, INC THIRD INSTALLMENT OF A GRANT AWARDED IN DECEMBER 2008 TO SUPPORT THE ENDOSCOPY EXPANSION PROJECT TR TRAN#=103570010000 TR CD=823 REG=0 PORT=INC	-100000 00	-100000 00		1012000090
12/23/10 PHI DELTA PHI LEGAL INSTITUTE FINAL INSTALLMENT OF A GRANT AWARDED IN OCTOBER 2007 TO SUPPORT EXPANSION OF THE BALFOUR SCHOLARSHIP PROGRAM AND	-40000 00	-40000 00		1012000091

BANK OF AMERICA, N A
TAX TRANSACTION DETAILPAGE 627
RUN 08/30/2011
07 18 45 AM

TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
102 CHARITABLE DEDUCTION - CURRENT INCOME (CONTINUED) REINTRODUCTION OF THE LEADERSHIP SEMINARS TR TRAN#=103570011000 TR CD=823 REG=0 PORT=INC				
12/23/10 FAIRFIELD COUNTY COMM FND FIRST INSTALLMENT OF A THREE-YEAR GRANT MADE TO SUPPORT THE GENERAL OPERATIONS FOR THE SUCCESSFUL LAUNCH OF A DISTRICT- WIDE EDUCATION REFORM STRATEGY IN BRIDGEPORT TR TRAN#=103570005000 TR CD=823 REG=0 PORT=INC	-50000.00	-50000.00		1012000085
12/23/10 MASS INSTITUTE OF TECHNOLOGY FIRST INSTALLMENT OF A THREE-YEAR GRANT MADE TO SUPPORT AND EXPAND THE ACADEMIC ENRICHMENT PROGRAMS TR TRAN#=103570006000 TR CD=823 REG=0 PORT=INC	-125000.00	-125000.00		1012000086
12/23/10 STEPPINGSTONE FOUNDATION, INC FIRST INSTALLMENT OF A THREE-YEAR GRANT MADE TO SUPPORT THE GENERAL OPERATIONS OF THE ORGANIZATION TR TRAN#=103570007000 TR CD=823 REG=0 PORT=INC	-50000.00	-50000.00		1012000087
12/23/10 THE POSSE FOUNDATION FIRST INSTALLMENT OF A THREE-YEAR GRANT MADE TO SUPPORT THE GENERAL OPERATIONS FOR THE POSSE BOSTON PROGRAM TR TRAN#=103570008000 TR CD=823 REG=0 PORT=INC	-100000.00	-100000.00		1012000088
01/20/11 COUNCIL ON FOUNDATIONS ONE YEAR GRANT FOR NATIONAL MEMBERSHIP TO THE COUNCIL ON FOUNDATIONS FOR 2011 TR TRAN#=110200001000 TR CD=823 REG=0 PORT=INC	-11470.00	-11470.00		1101000044
02/16/11 BELL FOUNDATION, INC FINAL INSTALLMENT OF A GRANT AWARDED IN FEBRUARY 2010 TO SUPPORT THREE BELL SUMMER CO-ED PROGRAM SITES AND ONE BOYS OF BELL PROGRAM SITE IN BOSTON TR TRAN#=110470001000 TR CD=823 REG=0 PORT=INC	-50000.00	-50000.00		1102000041
02/16/11 COMMUNITY PREPARATORY SCHOOL SECOND INSTALLMENT OF A GRANT AWARDED IN FEBRUARY 2010 TO SUPPORT THE GENERAL OPERATIONS OF THE ORGANIZATION TR TRAN#=110470002000 TR CD=823 REG=0 PORT=INC	-50000.00	-50000.00		1102000042
02/16/11 ENDICOTT COLLEGE FINAL INSTALLMENT OF A GRANT AWARDED IN FEBRUARY 2010 TO SUPPORT THE KEYS TO DEGREES EDUCATING TWO GENERATIONS TOGETHER PROGRAM TR TRAN#=110470003000 TR CD=823 REG=0 PORT=INC	-100000.00	-100000.00		1102000043
02/16/11 LAHEY CLINIC, INC. FINAL INSTALLMENT OF A GRANT AWARDED IN FEBRUARY 2010 TO SUPPORT THE NURSING INTERNSHIP/RESIDENCY PROGRAM TR TRAN#=110470004000 TR CD=823 REG=0 PORT=INC	-30000.00	-30000.00		1102000044
02/16/11 SUMMER SEARCH FOUNDATION FINAL INSTALLMENT OF A GRANT AWARDED IN FEBRUARY 2010 TO SUPPORT THE GENERAL OPERATIONS OF THE ORGANIZATION TR TRAN#=110470005000 TR CD=823 REG=0 PORT=INC	-50000.00	-50000.00		1102000045
02/16/11 SIS OF ST ANN ANNA MARIA COL SECOND INSTALLMENT OF A GRANT AWARDED IN FEBRUARY 2010 TO SUPPORT THE MULTICULTURAL AFFAIRS PROGRAMMING AND CAPACITY BUILDING TR TRAN#=110470006000 TR CD=823 REG=0 PORT=INC	-100000.00	-100000.00		1102000046
03/28/11 ATTLEBORO ENTERPRISES, INC FINAL INSTALLMENT OF A GRANT AWARDED IN MAY 2009 TO SUPPORT THE CAPITAL EXPANSION AND RENOVATION PROJECT TR TRAN#=110870001000 TR CD=823 REG=0 PORT=INC	-150000.00	-150000.00		1103000079
03/28/11 ATTLEBORO SCHOLARSHIP FDN INC ONE YEAR GRANT MADE TO SUPPORT THE BALFOUR SCHOLARSHIP PROGRAM TR TRAN#=110870002000 TR CD=823 REG=0 PORT=INC	-298000.00	-298000.00		1103000080
03/28/11 BAY PATH COLLEGE FIRST INSTALLMENT OF A TWO YEAR GRANT MADE TO SUPPORT THE IMPROVING INFORMATION AND COMMUNICATION TECHNOLOGY LITERACY PROJECT TR TRAN#=110870003000 TR CD=823 REG=0 PORT=INC	-40000.00	-40000.00		1103000081

BANK OF AMERICA, N A
TAX TRANSACTION DETAIL

PAGE 628
RUN 08/30/2011
07 18 45 AM

TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
102 CHARITABLE DEDUCTION - CURRENT INCOME (CONTINUED)				
03/28/11 BLACK MINISTERIAL ALLIANCE FIRST INSTALLMENT OF A TWO YEAR GRANT MADE TO SUPPORT THE VICTORY GENERATION OUT-OF-SCHOOL TIME PROGRAM TR TRAN#110870004000 TR CD=823 REG=0 PORT=INC	-50000 00	-50000 00		1103000082
03/28/11 MASS BUSINESS ALLIANCE FOR ED ONE YEAR GRANT MADE TO SUPPORT THE SCHOOL FINANCE PROJECT TR TRAN#110870005000 TR CD=823 REG=0 PORT=INC	-30000 00	-30000 00		1103000083
03/28/11 MOUNT IDA COLLEGE FINAL INSTALLMENT OF A GRANT AWARDED IN MARCH 2010 TO SUPPORT THE BALFOUR DIVERSITY INITIATIVE. TR TRAN#110870007000 TR CD=823 REG=0 PORT=INC	-100000 00	-100000 00		1103000085
03/28/11 GORDON COLLEGE FINAL INSTALLMENT OF A GRANT AWARDED IN MARCH 2010 TO SUPPORT THE CLARENDON SCHOLARS PROGRAM TR TRAN#110870008000 TR CD=823 REG=0 PORT=INC	-40000 00	-40000 00		1103000086
03/28/11 INDIANA UNIV BLOOMINGTON FINAL INSTALLMENT OF A GRANT AWARDED IN MARCH 2010 TO SUPPORT THE SCHOLARSHIP PROGRAM TR TRAN#110870009000 TR CD=823 REG=0 PORT=INC	-200000 00	-200000.00		1103000087
03/28/11 HARTFORD CONSORTIUM HIGHER ED FINAL INSTALLMENT OF A GRANT AWARDED IN MARCH 2010 TO SUPPORT THE CAREER BEGINNINGS PROGRAM TR TRAN#110870006000 TR CD=823 REG=0 PORT=INC	-20000 00	-20000 00		1103000084
TOTAL CODE 102 - CHARITABLE DEDUCTION - CURRENT INCOME	-4233196 00	-3440696 00	-792500 00	

FORM 6781, PART I -- SECTION 1256 CONTRACTS MARKED TO MARKET

=====						
DESCRIPTION	DATE ACQUIRED	DATE SOLD	GROSS SALES PRICE	COST OR BASIS	LOSS	GAIN

PARTNERSHIP CONTRACTS & STRADDLES		OPEN			27,320.	
					-----	-----
TOTAL GAINS AND LOSSES					27,320.	
					=====	=====

**SCHEDULE D
(Form 1041)**

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

► Attach to Form 1041, Form 5227, or Form 990-T. See the Instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Note: Form 5227 filers need to complete *only* Parts I and II

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					
b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b					1b 1,323,394.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824					2 -10,928.
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts					3
4 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2009 Capital Loss Carryover Worksheet					4 ()
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ►					5 1,312,466.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a LONG-TERM CAPITAL GAIN DIVIDENDS					37,489.
b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b					6b 1,391,479.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824					7 -16,392.
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts					8
9 Capital gain distributions					9 145.
10 Gain from Form 4797, Part I					10
11 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2009 Capital Loss Carryover Worksheet					11 ()
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back ►					12 1,412,721.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2010

JSA

0F1210 2 000

FT0560 L775 10/26/2011 07:25:26

448 -

Part III Summary of Parts I and II		(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
Caution: Read the instructions before completing this part.				
13	Net short-term gain or (loss)	13		1,312,466.
14	Net long-term gain or (loss):			
a	Total for year	14a		1,412,721.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b		145.
c	28% rate gain	14c		
15	Total net gain or (loss). Combine lines 13 and 14a ▶	15		2,725,187.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation	
16	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of: a The loss on line 15, column (3) or b \$3,000 16 ()

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates	
Form 1041 filers. Complete this part only if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.	
Caution: Skip this part and complete the worksheet on page 8 of the instructions if: • Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or • Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.	
Form 990-T trusts. Complete this part only if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.	

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17		
18	Enter the smaller of line 14a or 15 in column (2) but not less than zero 18			
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) 19			
20	Add lines 18 and 19 20			
21	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- . . . ▶ 21			
22	Subtract line 21 from line 20. If zero or less, enter -0- 22			
23	Subtract line 22 from line 17. If zero or less, enter -0- 23			
24	Enter the smaller of the amount on line 17 or \$2,300 24			
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ Yes. Skip lines 25 and 26; go to line 27 and check the "No" box. ☐ No. Enter the amount from line 23 25			
26	Subtract line 25 from line 24 26			
27	Are the amounts on lines 22 and 26 the same? ☐ Yes. Skip lines 27 thru 30, go to line 31. ☐ No. Enter the smaller of line 17 or line 22 27			
28	Enter the amount from line 26 (If line 26 is blank, enter -0-) 28			
29	Subtract line 28 from line 27 29			
30	Multiply line 29 by 15% (.15) 30			
31	Figure the tax on the amount on line 23. Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) 31			
32	Add lines 30 and 31 32			
33	Figure the tax on the amount on line 17. Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) 33			
34	Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) 34			

Schedule D (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 47. DIGITAL RIV INC	01/12/2010	04/01/2010	1,429.00	1,250.00	179.00
3. DIGITAL RIV INC	10/13/2009	04/01/2010	91.00	77.00	14.00
317157.1 FEDERAL NATL MTG ASSN POOL #958781	08/10/2009	04/01/2010	332,767.00	318,371.00	14,396.00
103. QUESTAR CORP	08/26/2009	04/05/2010	4,659.00	3,563.00	1,096.00
319. SKYWORKS SOLUTIONS INC	01/06/2010	04/05/2010	5,091.00	4,765.00	326.00
107.5 AEROPOSTALE COM	10/08/2009	04/06/2010	3,157.00	3,119.00	38.00
99.5 AEROPOSTALE COM	09/18/2009	04/06/2010	2,922.00	2,887.00	35.00
2659. ALPHA NATURAL RESOURCES INC COM	08/25/2009	04/06/2010	142,519.00	91,665.00	50,854.00
3034. ANALOG DEVICES INC	08/25/2009	04/06/2010	88,152.00	85,758.00	2,394.00
1470. CIGNA CORPORATION	08/25/2009	04/06/2010	54,454.00	44,353.00	10,101.00
175. CONCHO RES INC COM	08/25/2009	04/06/2010	9,338.00	5,828.00	3,510.00
4504. CYPRESS SEMICONDUCTO R CORP	08/25/2009	04/06/2010	52,221.00	47,551.00	4,670.00
52. DIRECTV CL A COM	12/30/2009	04/06/2010	1,805.00	1,741.00	64.00
2087. DIRECTV CL A COM	11/30/2009	04/06/2010	72,460.00	66,023.00	6,437.00
689. ENI SPA SPONSORED ADR	08/25/2009	04/06/2010	32,504.00	32,952.00	-448.00
1678. GILEAD SCIENCES INC	08/25/2009	04/06/2010	76,526.00	77,670.00	-1,144.00
58. GILEAD SCIENCES INC	01/12/2010	04/06/2010	2,645.00	2,601.00	44.00
34. INTERNATIONAL BUSINESS MACHS	12/30/2009	04/06/2010	4,356.00	4,491.00	-135.00
265. INTERNATIONAL BUSINESS MACHS	08/19/2009	04/06/2010	33,954.00	32,487.00	1,467.00
641. INTERNATIONAL BUSINESS MACHS	08/25/2009	04/06/2010	82,129.00	76,590.00	5,539.00
30. LIFE TECHNOLOGIES CORP COM	12/30/2009	04/06/2010	1,564.00	1,570.00	-6.00
1341. LIFE TECHNOLOGIES CORP COM	08/25/2009	04/06/2010	69,919.00	61,533.00	8,386.00
2843. MERCK & CO INC NEW COM	11/30/2009	04/06/2010	105,637.00	102,388.00	3,249.00
582. MICROSOFT CORPORATION	12/30/2009	04/06/2010	16,876.00	18,000.00	-1,124.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

JSA
0F1221 3 000

FT0560 L775 10/26/2011 07:25:26

450 -

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 3521. MICROSOFT CORPORATION	08/25/2009	04/06/2010	102,097.00	87,091.00	15,006.00
323. NESTLE S A SPONSORED ADR	08/25/2009	04/06/2010	16,246.00	13,178.00	3,068.00
2484. STATE STREET CORP	10/19/2009	04/06/2010	114,623.00	131,443.00	-16,820.00
31. STATE STREET CORP	12/30/2009	04/06/2010	1,430.00	1,358.00	72.00
450000. UNITED STATES TREAS NT DTD 02/01/10 0.875	01/28/2010	04/06/2010	448,434.00	448,434.00	
276. AEROPOSTALE COM	09/18/2009	04/07/2010	8,089.00	8,007.00	82.00
36. AEROPOSTALE COM	09/18/2009	04/07/2010	1,055.00	1,044.00	11.00
1. FOREST LABS INC COM	12/23/2009	04/07/2010	30.00	32.00	-2.00
125. FOREST LABS INC COM	12/23/2009	04/07/2010	3,755.00	3,755.00	
530. MERCK KGAA ADR	08/25/2009	04/07/2010	13,967.00	16,536.00	-2,569.00
50000. UNITED STATES TREAS NT DTD 02/01/10 0.875% DUE	01/20/2010	04/07/2010	49,871.00	50,084.00	-213.00
100000. UNITED STATES TREAS NT DTD 02/01/10 0.875	01/28/2010	04/07/2010	99,742.00	99,984.00	-242.00
250000. UNITED STATES TREAS NT DTD 02/01/10 0.875	01/14/2010	04/07/2010	249,355.00	250,676.00	-1,321.00
150000. UNITED STATES TREAS NT DTD 02/01/10 0.875	01/14/2010	04/07/2010	149,613.00	149,613.00	
122. HEALTH CARE REIT INC	11/30/2009	04/08/2010	5,490.00	5,272.00	218.00
56. HEALTH CARE REIT INC	09/22/2009	04/08/2010	2,520.00	2,408.00	112.00
101. NOVO-NORDISK A S ADR	08/25/2009	04/08/2010	7,931.00	6,171.00	1,760.00
195. ZIONS BANCORP	08/19/2009	04/08/2010	4,663.00	3,842.00	821.00
3289. INVESCO LTD COM	08/26/2009	04/09/2010	73,687.00	66,660.00	7,027.00
35.5 AEROPOSTALE COM	09/18/2009	04/12/2010	1,078.00	1,030.00	48.00
19.5 AEROPOSTALE COM	09/16/2009	04/12/2010	592.00	554.00	38.00
112. AMERISOURCEBERGEN CORP COM	02/04/2010	04/12/2010	3,282.00	3,093.00	189.00
172. AMERIGROUP CORP COM	08/25/2009	04/12/2010	5,803.00	4,220.00	1,583.00
23. CASEYS GEN STORES INC	03/24/2010	04/12/2010	901.00	726.00	175.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

JSA
0F1221 3.000

FT0560 L775 10/26/2011 07:25:26

451 -

**SCHEDULE D-1
(Form 1041)**

Department of the Treasury
Internal Revenue Service

**Continuation Sheet for Schedule D
(Form 1041)**

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 14. CASEYS GEN STORES INC	03/30/2010	04/12/2010	548.00	442.00	106.00
24. CASEYS GEN STORES INC	08/28/2009	04/12/2010	940.00	666.00	274.00
151. CASEYS GEN STORES INC	08/25/2009	04/12/2010	5,912.00	4,180.00	1,732.00
11. ALLIANT TECHSYSTEMS INCORPORATED	08/26/2009	04/13/2010	869.00	862.00	7.00
157. ALLIANT TECHSYSTEMS INCORPORATED	08/26/2009	04/13/2010	12,403.00	12,307.00	96.00
11. ALLIANT TECHSYSTEMS INCORPORATED	09/17/2009	04/13/2010	869.00	857.00	12.00
76. CALIFORNIA PIZZA KITCHEN INC COM	01/21/2010	04/13/2010	1,583.00	1,125.00	458.00
36. CASEYS GEN STORES INC	08/25/2009	04/13/2010	1,413.00	997.00	416.00
368. INFOSYS TECHNOLOGIES SP ADR ISIN #US4567881085	08/25/2009	04/13/2010	22,901.00	15,829.00	7,072.00
.5 OWENS & MINOR INC NEW COM	08/25/2009	04/13/2010	16.00	15.00	1.00
21. SYBASE INC	08/25/2009	04/13/2010	986.00	722.00	264.00
1080. BAE SYS PLC NEW ISIN #US05523R1077	08/25/2009	04/14/2010	25,417.00	23,857.00	1,560.00
222. CABLEVISION NY GROUP CL A COM	08/26/2009	04/14/2010	5,793.00	3,903.00	1,890.00
103. HOSPIRA INC	08/26/2009	04/14/2010	5,967.00	4,118.00	1,849.00
36. LUBRIZOL CORP	09/24/2009	04/14/2010	3,365.00	2,509.00	856.00
9. LUBRIZOL CORP	08/26/2009	04/14/2010	841.00	568.00	273.00
162. RADIOSHACK CORP	02/26/2010	04/14/2010	3,846.00	3,180.00	666.00
65. V F CORPORATION	08/26/2009	04/14/2010	5,424.00	4,478.00	946.00
547. ANADARKO PETROLEUM CORP	08/26/2009	04/15/2010	40,947.00	29,698.00	11,249.00
134. CHEVRONTXACO CORP COM	12/30/2009	04/15/2010	10,919.00	10,389.00	530.00
1662. CHEVRONTXACO CORP COM	08/26/2009	04/15/2010	135,428.00	118,095.00	17,333.00
79. DOLLAR TREE INC COM	08/26/2009	04/15/2010	4,709.00	3,950.00	759.00
65000. INTL LEASE FINANCE CORP DTD 4/11/05 5.00% DUE	07/30/2009	04/15/2010	65,000.00	65,000.00	
57. LUBRIZOL CORP	08/26/2009	04/15/2010	5,267.00	3,600.00	1,667.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 148. NATIONWIDE HEALTH PPTYS INC	09/22/2009	04/15/2010	5,117.00	4,738.00	379.00
150. NIKE INC CL B	01/04/2010	04/15/2010	11,339.00	9,795.00	1,544.00
373. NIKE INC CL B	08/26/2009	04/15/2010	28,197.00	20,725.00	7,472.00
37. ABERCROMBIE & FITCH CO CL A	12/08/2009	04/16/2010	1,801.00	1,299.00	502.00
94. SYBASE INC	08/25/2009	04/16/2010	4,302.00	3,234.00	1,068.00
1763. CON-WAY INC COM	01/12/2010	04/19/2010	67,689.00	58,762.00	8,927.00
25. COSTCO WHOLESALE CORP	12/30/2009	04/19/2010	1,483.00	1,506.00	-23.00
1495. COSTCO WHOLESALE CORP	02/08/2010	04/19/2010	88,698.00	87,261.00	1,437.00
1408. COSTCO WHOLESALE CORP	09/08/2009	04/19/2010	83,537.00	79,932.00	3,605.00
20. CYTEC INDUSTRIES INCORPORATED	08/25/2009	04/19/2010	950.00	563.00	387.00
41. DOVER CORPORATION	12/30/2009	04/19/2010	1,950.00	1,727.00	223.00
1564. DOVER CORPORATION	08/25/2009	04/19/2010	74,380.00	56,430.00	17,950.00
492. GOLDMAN SACHS GROUP INC	01/12/2010	04/19/2010	79,965.00	82,789.00	-2,824.00
5. GOLDMAN SACHS GROUP INC	12/30/2009	04/19/2010	813.00	833.00	-20.00
550. GOLDMAN SACHS GROUP INC	08/25/2009	04/19/2010	89,392.00	90,578.00	-1,186.00
16. GOOGLE INC CL A	12/30/2009	04/19/2010	8,779.00	9,923.00	-1,144.00
149. GOOGLE INC CL A	02/23/2010	04/19/2010	81,753.00	79,607.00	2,146.00
21. GOOGLE INC CL A	08/25/2009	04/19/2010	11,522.00	9,946.00	1,576.00
169. MARINER ENERGY INC COM	03/16/2010	04/19/2010	4,263.00	2,616.00	1,647.00
187. MARINER ENERGY INC COM	02/17/2010	04/19/2010	4,717.00	2,866.00	1,851.00
61. MARINER ENERGY INC COM	08/25/2009	04/19/2010	1,539.00	783.00	756.00
24. SWIFT ENERGY CO COM	03/30/2010	04/19/2010	855.00	729.00	126.00
34. SWIFT ENERGY CO COM	08/25/2009	04/19/2010	1,212.00	696.00	516.00
321. XL CAPITAL LTD CL A	12/30/2009	04/19/2010	6,241.00	5,867.00	374.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 5982. XL CAPITAL LTD CL A	08/25/2009	04/19/2010	116,300.00	100,064.00	16,236.00
73. AUXILIUM PHARMACEUTICALS INC COM	08/25/2009	04/20/2010	2,598.00	2,091.00	507.00
38. NEENAH PAPER INC	08/25/2009	04/20/2010	707.00	459.00	248.00
59. SWIFT ENERGY CO COM	08/25/2009	04/20/2010	2,211.00	1,207.00	1,004.00
793000. UNITED STATES TREAS NT DTD 01/15/10 1.375	01/22/2010	04/20/2010	790,798.00	792,535.00	-1,737.00
97000. UNITED STATES TREAS NT DTD 01/15/10 1.375% DUE	01/20/2010	04/20/2010	96,731.00	96,830.00	-99.00
44. ZIONS BANCORP	08/19/2009	04/21/2010	1,286.00	867.00	419.00
36. ZIONS BANCORP	08/25/2009	04/21/2010	1,052.00	639.00	413.00
1. MADISON SQUARE GARDEN INC CL A COM	08/26/2009	04/22/2010	22.00	16.00	6.00
268. NRG ENERGY INC COM	08/26/2009	04/22/2010	5,903.00	7,437.00	-1,534.00
418. NATIONWIDE HEALTH PPTYS INC	09/22/2009	04/22/2010	14,456.00	13,383.00	1,073.00
615. NOKIA CORPORATION SPONSORED ADR	02/03/2010	04/22/2010	7,893.00	8,841.00	-948.00
245. PACKAGING CORP OF AMERICA	10/21/2009	04/22/2010	6,168.00	5,193.00	975.00
37. AFFILIATED MANAGERS GROUP INC	10/12/2009	04/23/2010	3,143.00	2,555.00	588.00
17. AFFILIATED MANAGERS GROUP INC	08/25/2009	04/23/2010	1,444.00	1,058.00	386.00
133. APOLLO GROUP INC CL A	08/25/2009	04/23/2010	8,410.00	8,810.00	-400.00
30. APOLLO GROUP INC CL A	12/18/2009	04/23/2010	1,897.00	1,745.00	152.00
232. BUNZL PLC SPONSORED ADR NEW	08/25/2009	04/23/2010	13,645.00	11,217.00	2,428.00
20. CITRIX SYSTEMS INC	12/18/2009	04/23/2010	969.00	786.00	183.00
260. CTRIP COM INTL LTD AMERICAN DEP SHS COM	08/25/2009	04/23/2010	9,937.00	6,650.00	3,287.00
73. DENDREON CORP COM	11/13/2009	04/23/2010	2,925.00	2,108.00	817.00
215. DISCOVER FINL SVCS COM	02/17/2010	04/23/2010	3,476.00	2,940.00	536.00
157. DISCOVER FINL SVCS COM	01/29/2010	04/23/2010	2,539.00	2,144.00	395.00
114. GREEN MOUNTAIN COFFEE ROASTERS INC COM	03/02/2010	04/23/2010	9,926.00	9,574.00	352.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 11. INTERCONTINENTALEXCHANGE	12/18/2009	04/23/2010	1,299.00	1,170.00	129.00
52. J CREW GROUP INC COM	08/25/2009	04/23/2010	2,567.00	1,686.00	881.00
144. LAS VEGAS SANDS CORP	03/02/2010	04/23/2010	3,626.00	2,506.00	1,120.00
62. LULULEMON ATHLETICA INC COM	03/02/2010	04/23/2010	2,698.00	1,887.00	811.00
87. LULULEMON ATHLETICA INC COM	02/17/2010	04/23/2010	3,786.00	2,513.00	1,273.00
4. LULULEMON ATHLETICA INC COM	01/29/2010	04/23/2010	174.00	113.00	61.00
187. MARINER ENERGY INC COM	08/25/2009	04/23/2010	4,786.00	2,401.00	2,385.00
14. MASTERCARD INC CL A COM	03/12/2010	04/23/2010	3,738.00	3,496.00	242.00
43. MASTERCARD INC CL A COM	03/02/2010	04/23/2010	11,480.00	9,891.00	1,589.00
192. MCAFEE INC	08/25/2009	04/23/2010	7,682.00	7,950.00	-268.00
51. MCAFEE INC	01/29/2010	04/23/2010	2,041.00	1,920.00	121.00
206. MICRO SYS INC	08/25/2009	04/23/2010	7,239.00	6,140.00	1,099.00
55. NII HLDGS INC COM	10/12/2009	04/23/2010	2,220.00	1,679.00	541.00
578. PEOPLES UTD FINL INC COM	12/18/2009	04/23/2010	9,161.00	9,742.00	-581.00
39. PETROHAWK ENERGY CORP	09/21/2009	04/23/2010	905.00	933.00	-28.00
367. PETROHAWK ENERGY CORP	08/25/2009	04/23/2010	8,517.00	8,110.00	407.00
14. PRECISION CASTPARTS CORPORATION	08/25/2009	04/23/2010	1,875.00	1,247.00	628.00
168. RADIOSHACK CORP	02/26/2010	04/23/2010	3,886.00	3,298.00	588.00
32. RADIOSHACK CORP	09/30/2009	04/23/2010	740.00	522.00	218.00
31. SILICON LABORATORIES INC COM	11/13/2009	04/23/2010	1,594.00	1,339.00	255.00
29. SILICON LABORATORIES INC COM	08/25/2009	04/23/2010	1,492.00	1,243.00	249.00
23. SYBASE INC	01/15/2010	04/23/2010	1,044.00	987.00	57.00
3. SYBASE INC	12/18/2009	04/23/2010	136.00	127.00	9.00
33. TEMPUR PEDIC INTL INC	03/12/2010	04/23/2010	1,145.00	1,021.00	124.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust
TTEE U/W OF LLOYD G. BALFOUR

Employer identification number
22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 220000. UNITED STATES TREAS NT DTD 01/15/10 1.375	01/20/2010	04/23/2010	219,088.00	219,614.00	-526.00
135000. UNITED STATES TREAS NT DTD 02/01/10 0.875	01/05/2010	04/23/2010	134,762.00	135,492.00	-730.00
86. VEECO INSTRS INC DEL COM	12/18/2009	04/23/2010	4,413.00	2,708.00	1,705.00
43. WADDELL & REED FINL INC CL A	12/18/2009	04/23/2010	1,668.00	1,290.00	378.00
75. WILLIAMS SONOMA INCORPORATED	09/30/2009	04/23/2010	2,296.00	1,500.00	796.00
17. WYNN RESORTS LTD COM	12/18/2009	04/23/2010	1,519.00	1,016.00	503.00
395. ENERGY XXI (BERMUDA) LTD COM	02/17/2010	04/23/2010	8,180.00	7,841.00	339.00
83. PARTNERRE HOLDINGS LIMITED	10/14/2009	04/23/2010	6,762.00	6,703.00	59.00
294.05 FEDERAL NATL MTG ASSN POOL #958781	08/10/2009	04/25/2010	294.00	295.00	-1.00
9536. BOSTON SCIENTIFIC CORPORATION	08/26/2009	04/26/2010	67,629.00	109,797.00	-42,168.00
4876. BRISTOL MYERS SQUIBB CO COM	08/26/2009	04/26/2010	120,347.00	112,002.00	8,345.00
51. NEENAH PAPER INC	08/25/2009	04/26/2010	960.00	616.00	344.00
3. ARCH CAP GROUP LTD COM NEW BERMUDA	09/30/2009	04/26/2010	230.00	202.00	28.00
210. BP AMOCO PLC SPONS ADR (UK/USD) SEDOL 2138556	08/25/2009	04/27/2010	12,102.00	10,979.00	1,123.00
85. NOKIA CORPORATION SPONSORED ADR	02/03/2010	04/27/2010	1,036.00	1,222.00	-186.00
578. NOKIA CORPORATION SPONSORED ADR	01/28/2010	04/27/2010	7,044.00	8,082.00	-1,038.00
1250. NOKIA CORPORATION SPONSORED ADR	08/25/2009	04/27/2010	15,234.00	15,813.00	-579.00
20. WHITING PETROLEUM CORP	01/05/2010	04/27/2010	1,725.00	1,507.00	218.00
170. WILLIS GROUP HLDGS PLC COM	08/26/2009	04/27/2010	5,640.00	4,442.00	1,198.00
11. AGL RESOURCES INC	03/30/2010	04/28/2010	438.00	424.00	14.00
8. ATC TECHNOLOGY CORP COM	08/25/2009	04/28/2010	158.00	158.00	
17. ATC TECHNOLOGY CORP COM	09/08/2009	04/28/2010	337.00	337.00	
90. BANCORPSOUTH INC	08/25/2009	04/28/2010	1,953.00	2,110.00	-157.00
44. EMPLOYERS HLDGS INC COM	03/22/2010	04/28/2010	728.00	682.00	46.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

JSA
0F1221 3 000

FT0560 L775 10/26/2011 07:25:26

456 -

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 22. FIRST FINL BANCORP	03/30/2010	04/28/2010	439.00	393.00	46.00
3. FIRST FINL BANCORP	08/25/2009	04/28/2010	60.00	25.00	35.00
26. GOODRICH PETROLEUM CORP	08/31/2009	04/28/2010	457.00	457.00	
11. GOODRICH PETROLEUM CORP	09/28/2009	04/28/2010	193.00	193.00	
28. OLIN CORP COM PAR \$1	08/25/2009	04/28/2010	591.00	421.00	170.00
30. PACWEST BANCORP DEL COM	08/25/2009	04/28/2010	722.00	557.00	165.00
10. SCHWEITZER-MAUDUIT INTL INC	11/13/2009	04/28/2010	530.00	630.00	-100.00
16. TEREX CORP	12/02/2009	04/28/2010	421.00	309.00	112.00
10. TRIUMPH GROUP INC NEW	03/08/2010	04/28/2010	748.00	544.00	204.00
28. TRIUMPH GROUP INC NEW	08/25/2009	04/28/2010	2,096.00	1,232.00	864.00
31. WESCO INTL INC	11/10/2009	04/28/2010	1,288.00	838.00	450.00
2. WESCO INTL INC	10/28/2009	04/28/2010	83.00	52.00	31.00
3. WHITING PETROLEUM CORP	01/05/2010	04/28/2010	250.00	226.00	24.00
42. XYRATEX LTD COM	08/25/2009	04/28/2010	788.00	279.00	509.00
480. BP AMOCO PLC SPONS ADR (UK/USD) SEDOL 2138556	08/25/2009	04/29/2010	25,262.00	25,094.00	168.00
29. BRADY W H CO CL A	04/12/2010	04/29/2010	1,007.00	929.00	78.00
54. DOLLAR THRIFTY AUTOMOTIVE GROUP	03/16/2010	04/29/2010	2,361.00	1,749.00	612.00
66. DOLLAR THRIFTY AUTOMOTIVE GROUP	02/12/2010	04/29/2010	2,885.00	1,795.00	1,090.00
13. DOLLAR THRIFTY AUTOMOTIVE GROUP	12/17/2009	04/29/2010	568.00	315.00	253.00
309. ECHOSTAR CORP CL A	03/08/2010	04/29/2010	5,887.00	6,349.00	-462.00
15. ECHOSTAR CORP CL A	03/04/2010	04/29/2010	286.00	297.00	-11.00
192. LAWSON SOFTWARE INC COM	11/25/2009	04/29/2010	1,483.00	1,281.00	202.00
96. LAWSON SOFTWARE INC COM	01/13/2010	04/29/2010	741.00	634.00	107.00
2. LAWSON SOFTWARE INC COM	08/25/2009	04/29/2010	15.00	13.00	2.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 4292. SOUTHERN CO	10/21/2009	04/29/2010	148,922.00	143,694.00	5,228.00
151. SYBASE INC	08/25/2009	04/29/2010	6,519.00	5,194.00	1,325.00
53. TALEO CORP COM CL A	03/26/2010	04/29/2010	1,463.00	1,410.00	53.00
65. TALEO CORP COM CL A	08/25/2009	04/29/2010	1,794.00	1,255.00	539.00
154. FOSTER WHEELER AG COM	01/19/2010	04/29/2010	4,777.00	5,109.00	-332.00
69. FOSTER WHEELER AG COM	11/11/2009	04/29/2010	2,140.00	2,277.00	-137.00
59. FOSTER WHEELER AG COM	11/11/2009	04/29/2010	1,830.00	1,947.00	-117.00
12143.58 BEAR STEARNS SER 2001-TOP2 CL A2 DTD 5/1/200	09/30/2009	04/30/2010	12,144.00	12,613.00	-469.00
11024.85 FEDERAL HOME LN MTG CORP POOL #G18330	12/04/2009	04/30/2010	11,025.00	11,491.00	-466.00
2096.67 FEDERAL HOME LN MTG CORP POOL #J10791	12/04/2009	04/30/2010	2,097.00	2,185.00	-88.00
5412.05 FEDERAL HOME LN MTG CORP POOL #1G3616	05/28/2009	04/30/2010	5,412.00	5,694.00	-282.00
6188.9 FEDERAL HOME LN MTG CORP SER 3536 CL PC	10/20/2009	04/30/2010	6,189.00	6,420.00	-231.00
1123.98 FEDERAL HOME LN MTG CORP SER 3565 CL XA	09/21/2009	04/30/2010	1,124.00	1,153.00	-29.00
410.63 FEDERAL NATL MTG ASSN POOL #932745	03/25/2010	04/30/2010	411.00	411.00	
41057.55 FEDERAL NATL MTG ASSN POOL #940664	06/26/2009	04/30/2010	41,058.00	43,001.00	-1,943.00
68785.84 FEDERAL NATL MTG ASSN POOL #995681	04/07/2010	04/30/2010	68,786.00	72,934.00	-4,148.00
1137.72 FEDERAL NATL MTG ASSN POOL #AA7670	10/16/2009	04/30/2010	1,138.00	1,148.00	-10.00
639.62 FEDERAL NATL MTG ASSN POOL #AC2816	10/16/2009	04/30/2010	640.00	640.00	
617.6 FEDERAL NATL MTG ASSN POOL #AD0587	02/24/2010	04/30/2010	618.00	624.00	-6.00
1001.55 FEDERAL NATL MTG ASSN POOL #AD3808	04/06/2010	04/30/2010	1,002.00	1,002.00	
18. HHGREGG INC COM	02/17/2010	04/30/2010	518.00	351.00	167.00
29. HHGREGG INC COM	02/16/2010	04/30/2010	835.00	562.00	273.00
14. WESCO INTL INC	10/28/2009	04/30/2010	578.00	362.00	216.00
33. WESCO INTL INC	08/25/2009	04/30/2010	1,363.00	804.00	559.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

JSA
0F1221 3 000

FT0560 L775 10/26/2011 07:25:26

458 -

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 214. BUFFALO WILD WINGS INC COM	03/12/2010	05/03/2010	8,822.00	10,262.00	-1,440.00
15. INTERCONTINENTALEXCHAN GE	12/18/2009	05/03/2010	1,756.00	1,595.00	161.00
78. INTERCONTINENTALEXCHAN GE	08/25/2009	05/03/2010	9,131.00	7,262.00	1,869.00
48. MANPOWER INCORPORATED WISCONSIN	01/15/2010	05/03/2010	2,694.00	2,764.00	-70.00
69. MANPOWER INCORPORATED WISCONSIN	01/15/2010	05/03/2010	3,872.00	3,872.00	
100. MICRO SYS INC	08/25/2009	05/03/2010	3,734.00	2,981.00	753.00
301. NETEASE.COM INC SPONSORED ADR COM	08/26/2009	05/03/2010	10,247.00	12,866.00	-2,619.00
72. NETEASE.COM INC SPONSORED ADR COM	10/13/2009	05/03/2010	2,451.00	2,921.00	-470.00
104. WADDELL & REED FINL INC CL A	12/18/2009	05/03/2010	3,891.00	3,119.00	772.00
16. WADDELL & REED FINL INC CL A	08/25/2009	05/03/2010	599.00	438.00	161.00
27. WESCO INTL INC	08/25/2009	05/03/2010	1,122.00	658.00	464.00
24. WYNN RESORTS LTD COM	12/18/2009	05/03/2010	2,131.00	1,434.00	697.00
108. WYNN RESORTS LTD COM	08/25/2009	05/03/2010	9,589.00	6,122.00	3,467.00
111. ABBOTT LABORATORIES	12/30/2009	05/04/2010	5,547.00	6,051.00	-504.00
1755. ABBOTT LABORATORIES	11/02/2009	05/04/2010	87,706.00	89,137.00	-1,431.00
95. ABBOTT LABORATORIES	11/02/2009	05/04/2010	4,748.00	4,748.00	
24. CLEAN HBRS INC COM	10/15/2009	05/04/2010	1,495.00	1,439.00	56.00
15. CLEAN HBRS INC COM	10/12/2009	05/04/2010	935.00	895.00	40.00
3. CLEAN HBRS INC COM	10/13/2009	05/04/2010	187.00	177.00	10.00
1588. GILEAD SCIENCES INC	01/12/2010	05/04/2010	61,451.00	71,225.00	-9,774.00
13. GILEAD SCIENCES INC	12/30/2009	05/04/2010	503.00	571.00	-68.00
2786. MASCO CORPORATION	02/08/2010	05/04/2010	43,565.00	39,415.00	4,150.00
2822. ORACLE SYS CORP	08/25/2009	05/04/2010	69,902.00	63,523.00	6,379.00
97. ORACLE SYS CORP	12/30/2009	05/04/2010	2,403.00	2,403.00	

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

THEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 71. ORACLE SYS CORP	12/30/2009	05/04/2010	1,759.00	1,759.00	
36. OWENS ILL INC	09/24/2009	05/04/2010	1,209.00	1,316.00	-107.00
1898. OWENS ILL INC	08/25/2009	05/04/2010	63,718.00	67,815.00	-4,097.00
1324. RIO TINTO PLC SPONSORED ADR	02/23/2010	05/04/2010	63,387.00	68,843.00	-5,456.00
45. STEEL DYNAMICS INC	12/30/2009	05/04/2010	682.00	796.00	-114.00
6287. STEEL DYNAMICS INC	08/25/2009	05/04/2010	95,257.00	106,086.00	-10,829.00
8. WALTER INDS INC	12/30/2009	05/04/2010	622.00	616.00	6.00
731. WALTER INDS INC	12/14/2009	05/04/2010	56,851.00	53,645.00	3,206.00
860. TRANSOCEAN LTD COM	08/25/2009	05/04/2010	62,479.00	66,858.00	-4,379.00
6. COHERENT INC COM	10/13/2009	05/06/2010	216.00	149.00	67.00
14. COHERENT INC COM	08/25/2009	05/06/2010	504.00	320.00	184.00
274. FIDELITY NATL INFORMATION SVCS INC COM	08/26/2009	05/06/2010	7,946.00	6,858.00	1,088.00
92. INTERFACE INC CL A	02/01/2010	05/06/2010	1,152.00	736.00	416.00
12. KAYDON CORPORATION	03/30/2010	05/06/2010	498.00	449.00	49.00
14. KAYDON CORPORATION	11/23/2009	05/06/2010	581.00	513.00	68.00
51. NEENAH PAPER INC	08/25/2009	05/06/2010	827.00	616.00	211.00
52. TEREX CORP	12/02/2009	05/06/2010	1,296.00	1,005.00	291.00
1301. AES CORPORATION	08/26/2009	05/07/2010	13,305.00	18,552.00	-5,247.00
11. TRIUMPH GROUP INC NEW	08/25/2009	05/07/2010	776.00	484.00	292.00
60000. UNITED STATES TREAS BD DTD 08/17/09 4.500% DUE	11/23/2009	05/07/2010	62,175.00	62,091.00	84.00
638686.86 FEDERAL HOME LN MTG CORP POOL #G18330	12/04/2009	05/10/2010	665,532.00	665,681.00	-149.00
261233.76 FEDERAL NATL MTG ASSN POOL #AD0587	02/24/2010	05/10/2010	264,785.00	263,928.00	857.00
189. TEVA PHARMACEUTICAL INDS LTD ADR	08/25/2009	05/10/2010	11,132.00	9,972.00	1,160.00
70000. UNITED STATES TREAS BD DTD 08/17/09 4.500% DUE	11/23/2009	05/10/2010	70,864.00	72,439.00	-1,575.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

JSA
0F1221 3 000

FT0560 L775 10/26/2011 07:25:26

460 -

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 160000. UNITED STATES TREAS BD DTD 08/17/09 4.500	11/23/2009	05/10/2010	161,974.00	161,974.00	
324. ARTIO GLOBAL INVS INC CL A COM	02/02/2010	05/11/2010	6,662.00	8,084.00	-1,422.00
74. BERRY PETE CO CL A	01/19/2010	05/11/2010	2,419.00	2,155.00	264.00
503. CVB FINL CORP	03/24/2010	05/11/2010	5,402.00	5,284.00	118.00
24. COHERENT INC COM	08/25/2009	05/11/2010	871.00	549.00	322.00
191. HAIN CELESTIAL GROUP INC	08/25/2009	05/11/2010	3,775.00	3,449.00	326.00
18. INTERFACE INC CL A	02/01/2010	05/11/2010	241.00	144.00	97.00
42. INTERFACE INC CL A	10/28/2009	05/11/2010	563.00	305.00	258.00
42. NEENAH PAPER INC	08/25/2009	05/11/2010	678.00	507.00	171.00
4. NEENAH PAPER INC	10/28/2009	05/11/2010	65.00	38.00	27.00
61. OSI PHARMACEUTICALS INC	08/25/2009	05/11/2010	3,482.00	2,060.00	1,422.00
169. OLIN CORP COM PAR \$1	08/25/2009	05/11/2010	3,311.00	2,542.00	769.00
974. PMI GROUP INC MASS	04/19/2010	05/11/2010	4,669.00	6,641.00	-1,972.00
40000. UNITED STATES TREAS NT DTD 11/16/09 3.375% DUE	11/23/2009	05/11/2010	39,428.00	40,000.00	-572.00
19. ZIONS BANCORP	04/28/2010	05/11/2010	534.00	528.00	6.00
120. ZIONS BANCORP	08/25/2009	05/11/2010	3,373.00	2,130.00	1,243.00
1. BRADY W H CO CL A	04/12/2010	05/12/2010	34.00	32.00	2.00
29. BRADY W H CO CL A	08/25/2009	05/12/2010	985.00	891.00	94.00
12. EMPLOYERS HLDGS INC COM	03/22/2010	05/12/2010	187.00	186.00	1.00
33. EMPLOYERS HLDGS INC COM	03/30/2010	05/12/2010	514.00	506.00	8.00
31. EMPLOYERS HLDGS INC COM	10/28/2009	05/12/2010	483.00	467.00	16.00
34. EMPLOYERS HLDGS INC COM	08/25/2009	05/12/2010	529.00	511.00	18.00
35. NEENAH PAPER INC	10/28/2009	05/12/2010	619.00	337.00	282.00
168. OLIN CORP COM PAR \$1	08/25/2009	05/12/2010	3,403.00	2,527.00	876.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2010

Name of estate or trust

THEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 50000. UNITED STATES TREAS NT DTD 11/16/09 3.375% DUE	11/23/2009	05/12/2010	49,295.00	50,000.00	-705.00
15000. UNITED STATES TREAS NT DTD 02/01/10 0.875% DUE	01/05/2010	05/12/2010	15,028.00	15,055.00	-27.00
55000. UNITED STATES TREAS NT DTD 02/01/10 0.875% DUE	01/28/2010	05/12/2010	55,103.00	54,991.00	112.00
21. BRADY W H CO CL A	08/25/2009	05/13/2010	710.00	645.00	65.00
81. SYBASE INC	04/22/2010	05/13/2010	5,213.00	3,693.00	1,520.00
138. SYBASE INC	04/26/2010	05/13/2010	8,882.00	6,175.00	2,707.00
97. SYBASE INC	02/08/2010	05/13/2010	6,243.00	3,923.00	2,320.00
446. SYBASE INC	08/26/2009	05/13/2010	28,704.00	15,333.00	13,371.00
185. TELEFONICA DE ESPANA SA	08/25/2009	05/13/2010	10,871.00	14,122.00	-3,251.00
1430. AMR CORPORATION DELAWARE	01/29/2010	05/14/2010	10,154.00	10,052.00	102.00
224. ALLIANCE DATA SYS CORP COM	12/18/2009	05/14/2010	16,453.00	14,461.00	1,992.00
8. ALLIANCE DATA SYS CORP COM	03/12/2010	05/14/2010	588.00	499.00	89.00
156. AVON PRODUCTS INC	04/23/2010	05/14/2010	4,318.00	5,212.00	-894.00
60. AVON PRODUCTS INC	08/25/2009	05/14/2010	1,661.00	1,965.00	-304.00
712. CBS CORP CL B COM	03/30/2010	05/14/2010	10,464.00	10,103.00	361.00
93. COMMScope INC	04/23/2010	05/14/2010	2,660.00	2,969.00	-309.00
27. COMMScope INC	03/30/2010	05/14/2010	772.00	767.00	5.00
296. CONTINENTAL RES INC OKLAHOMA COM	08/25/2009	05/14/2010	13,544.00	10,987.00	2,557.00
175. DENDREON CORP COM	11/13/2009	05/14/2010	7,697.00	5,053.00	2,644.00
723. FINISAR CORP COM	03/30/2010	05/14/2010	11,067.00	11,505.00	-438.00
182. FINISAR CORP COM	04/23/2010	05/14/2010	2,786.00	2,872.00	-86.00
91. FIRST SOLAR INC COM	03/30/2010	05/14/2010	10,988.00	10,988.00	
50. ILLUMINA INC	05/03/2010	05/14/2010	2,070.00	2,070.00	
47. ILLUMINA INC	08/25/2009	05/14/2010	1,945.00	1,663.00	282.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1130. INTERPUBLIC GROUP COS INC	04/23/2010	05/14/2010	8,996.00	11,017.00	-2,021.00
1191. KEYCORP NEW	04/23/2010	05/14/2010	9,919.00	9,919.00	
35. LAMAR ADVERTISING CO CL A	12/18/2009	05/14/2010	1,185.00	1,062.00	123.00
101. LAMAR ADVERTISING CO CL A	10/12/2009	05/14/2010	3,420.00	2,842.00	578.00
92. LAMAR ADVERTISING CO CL A	10/27/2009	05/14/2010	3,115.00	2,537.00	578.00
676. MEMC ELECTRONIC MATERIALS	03/30/2010	05/14/2010	7,902.00	10,507.00	-2,605.00
65. MCGRAW HILL INCORPORATED	08/25/2009	05/14/2010	1,881.00	2,042.00	-161.00
66. MOODYS CORP COM	01/29/2010	05/14/2010	1,417.00	1,827.00	-410.00
290. MOODYS CORP COM	08/25/2009	05/14/2010	6,228.00	7,838.00	-1,610.00
65. NETAPP INC COM	12/18/2009	05/14/2010	2,159.00	2,161.00	-2.00
34. NETFLIX.COM INC COM	08/25/2009	05/14/2010	3,488.00	1,513.00	1,975.00
121. NETLOGIC MICROSYSTEMS INC COM	03/30/2010	05/14/2010	3,396.00	3,597.00	-201.00
32. NETLOGIC MICROSYSTEMS INC COM	03/02/2010	05/14/2010	898.00	898.00	
245. NETLOGIC MICROSYSTEMS INC COM	03/02/2010	05/14/2010	6,876.00	6,961.00	-85.00
77. NETLOGIC MICROSYSTEMS INC COM	03/02/2010	05/14/2010	2,161.00	2,161.00	
130. NORDSTROM INCORPORATED	08/25/2009	05/14/2010	5,178.00	3,764.00	1,414.00
77. PETSMART INCORPORATED	08/25/2009	05/14/2010	2,544.00	1,550.00	994.00
6. PRICELINE COM INC COM	12/18/2009	05/14/2010	1,237.00	1,291.00	-54.00
20. PRICELINE COM INC COM	01/15/2010	05/14/2010	4,122.00	4,144.00	-22.00
46. STARWOOD HOTELS & RESORTS WORLDWIDE INC COM	03/12/2010	05/14/2010	2,214.00	1,948.00	266.00
11. STARWOOD HOTELS & RESORTS WORLDWIDE INC COM	12/18/2009	05/14/2010	529.00	401.00	128.00
188. SYBASE INC	12/18/2009	05/14/2010	12,115.00	7,987.00	4,128.00
149. TEMPUR PEDIC INTL INC	03/12/2010	05/14/2010	5,079.00	4,609.00	470.00
32. TEMPUR PEDIC INTL INC	03/02/2010	05/14/2010	1,091.00	945.00	146.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust THEE U/W OF LLOYD G. BALFOUR	Employer identification number 22-2751372
--	---

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 78. TIFFANY & COMPANY NEW	11/13/2009	05/14/2010	3,493.00	3,276.00	217.00
43. TIFFANY & COMPANY NEW	10/27/2009	05/14/2010	1,926.00	1,754.00	172.00
152000. UNITED STATES TREAS NT DTD 11/16/09 3.375	11/23/2009	05/14/2010	151,133.00	152,000.00	-867.00
135000. UNITED STATES TREAS NT DTD 11/16/09 3.375	11/26/2009	05/14/2010	134,230.00	134,658.00	-428.00
146000. UNITED STATES TREAS NT DTD 11/16/09 3.375	11/23/2009	05/14/2010	145,167.00	145,589.00	-422.00
1480000. UNITED STATES TREAS NT DTD 01/15/10 1.375	01/20/2010	05/14/2010	1,487,978.00	1,477,403.00	10,575.00
1055000. UNITED STATES TREAS NT DTD 02/01/10 0.875	01/28/2010	05/14/2010	1,058,132.00	1,054,835.00	3,297.00
77. VEECO INSTRS INC DEL COM	12/18/2009	05/14/2010	3,429.00	2,425.00	1,004.00
1711. DRYSHIPS INC COM	04/23/2010	05/14/2010	8,752.00	10,591.00	-1,839.00
1415. BAXTER INTERNATIONAL INC	04/26/2010	05/17/2010	59,975.00	67,343.00	-7,368.00
7302.824 ARTIO GLOBAL HIGH INCOME FUND CL I	07/30/2009	05/18/2010	75,000.00	66,697.00	8,303.00
55121.727 COLUMBIA LARGE CAP INDEX FUND CLASS Z SHAR	07/29/2009	05/18/2010	1,200,000.00	1,042,903.00	157,097.00
52. DOLLAR THRIFTY AUTOMOTIVE GROUP	12/17/2009	05/18/2010	2,574.00	1,261.00	1,313.00
1018.953 HARBOR INTERNATIONAL FUND INSTL CL	07/30/2009	05/18/2010	50,000.00	48,156.00	1,844.00
125000. UNITED STATES TREAS BD DTD 08/17/09 4.500	11/23/2009	05/18/2010	129,335.00	129,355.00	-20.00
55000. UNITED STATES TREAS BD DTD 08/17/09 4.500% DUE	02/04/2010	05/18/2010	56,908.00	54,626.00	2,282.00
2810. AVON PRODUCTS INC	08/25/2009	05/19/2010	76,076.00	92,004.00	-15,928.00
113. AVON PRODUCTS INC	12/30/2009	05/19/2010	3,059.00	3,595.00	-536.00
94. BUCYRUS INTL INC NEW COM	01/12/2010	05/19/2010	4,610.00	4,610.00	
142. BUCYRUS INTL INC NEW COM	01/12/2010	05/19/2010	6,963.00	6,963.00	
607. BUCYRUS INTL INC NEW COM	01/12/2010	05/19/2010	29,766.00	39,395.00	-9,629.00
32. BUCYRUS INTL INC NEW COM	01/12/2010	05/19/2010	1,569.00	1,569.00	
62. CHOICE HOTELS INTL INC	10/13/2009	05/19/2010	2,086.00	1,971.00	115.00
2630. COMMScope INC	08/25/2009	05/19/2010	72,422.00	73,351.00	-929.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

THEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 111. COMMScope INC	12/30/2009	05/19/2010	3,057.00	2,939.00	118.00
1315. DENDREON CORP COM	02/23/2010	05/19/2010	55,614.00	41,296.00	14,318.00
2768. NOVELLUS SYS INC	12/14/2009	05/19/2010	67,672.00	66,686.00	986.00
45. NOVELLUS SYS INC	12/30/2009	05/19/2010	1,100.00	1,056.00	44.00
200. PRICELINE COM INC COM	01/25/2010	05/19/2010	37,707.00	40,549.00	-2,842.00
1485. STARWOOD HOTELS & RESORTS WORLDWIDE INC COM	08/25/2009	05/19/2010	67,782.00	45,410.00	22,372.00
45000. UNITED STATES TREAS BD DTD 08/17/09 4.500% DUE	02/04/2010	05/19/2010	46,974.00	44,694.00	2,280.00
55000. UNITED STATES TREAS NT DTD 02/16/10 3.625% DUE	05/14/2010	05/19/2010	56,158.00	55,756.00	402.00
80000. UNITED STATES TREAS NT DTD 04/30/10 1.000% DUE	05/14/2010	05/19/2010	80,353.00	80,338.00	15.00
5000. USB CAP IX NORMAL INCOME TR SECS CALL 4/15/11	09/15/2009	05/19/2010	3,950.00	4,265.00	-315.00
5000. USB CAP IX NORMAL INCOME TR SECS CALL 4/15/11	09/15/2009	05/19/2010	3,950.00	4,249.00	-299.00
5000. USB CAP IX NORMAL INCOME TR SECS CALL 4/15/11	09/15/2009	05/19/2010	3,950.00	4,265.00	-315.00
5000. USB CAP IX NORMAL INCOME TR SECS CALL 4/15/11	09/15/2009	05/19/2010	3,950.00	4,265.00	-315.00
15000. USB CAP IX NORMAL INCOME TR SECS CALL 4/15/11	09/09/2009	05/19/2010	11,850.00	12,619.00	-769.00
15000. USB CAP IX NORMAL INCOME TR SECS CALL 4/15/11	09/10/2009	05/19/2010	11,850.00	12,619.00	-769.00
23. VERTEX PHARMACEUTICALS INC COM	12/30/2009	05/19/2010	858.00	1,001.00	-143.00
2047. VERTEX PHARMACEUTICA LS INC COM	08/25/2009	05/19/2010	76,387.00	75,379.00	1,008.00
3112. MARVELL TECHNOLOGY GROUP LTD COM	02/23/2010	05/19/2010	56,819.00	60,503.00	-3,684.00
98. MARVELL TECHNOLOGY GROUP LTD COM	02/09/2010	05/19/2010	1,789.00	1,789.00	
327. MARVELL TECHNOLOGY GROUP LTD COM	02/09/2010	05/19/2010	5,970.00	7,147.00	-1,177.00
152. MARVELL TECHNOLOGY GROUP LTD COM	02/09/2010	05/19/2010	2,775.00	2,775.00	
12. AMAZON COM INC	12/30/2009	05/20/2010	1,471.00	1,632.00	-161.00
36. TJX COMPANIES INCORPORATED NEW	05/19/2010	05/20/2010	1,571.00	1,551.00	20.00
27. VISA INC CL A COM	12/30/2009	05/20/2010	2,021.00	2,382.00	-361.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TEEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 66. AMERISOURCEBERGEN CORP COM	02/04/2010	05/24/2010	2,018.00	1,823.00	195.00
139. AMERISOURCEBERGEN CORP COM	01/05/2010	05/24/2010	4,250.00	3,681.00	569.00
4. CACI INTL INC CL A	09/27/2009	05/24/2010	183.00	183.00	
8. CACI INTL INC CL A	12/28/2009	05/24/2010	366.00	366.00	
20. CACI INTL INC CL A	04/09/2010	05/24/2010	914.00	914.00	
12. TELEDYNE TECHNOLOGIES INC	04/28/2010	05/24/2010	470.00	511.00	-41.00
13. TELEDYNE TECHNOLOGIES INC	04/12/2010	05/24/2010	509.00	539.00	-30.00
10. TOWERS WATSON & CO CL A COM	03/04/2010	05/24/2010	466.00	458.00	8.00
17. TOWERS WATSON & CO CL A COM	12/01/2009	05/24/2010	792.00	792.00	
1. TOWERS WATSON & CO CL A COM	01/06/2010	05/24/2010	47.00	47.00	
4. TOWERS WATSON & CO CL A COM	02/04/2010	05/24/2010	186.00	186.00	
10. TOWERS WATSON & CO CL A COM	04/28/2010	05/24/2010	466.00	466.00	
1032. ANADARKO PETROLEUM CORP	08/26/2009	05/25/2010	54,228.00	56,029.00	-1,801.00
41. BJ'S WHOLESALE CLUB INC	04/26/2010	05/25/2010	1,565.00	1,601.00	-36.00
13. BJ'S WHOLESALE CLUB INC	12/22/2009	05/25/2010	496.00	436.00	60.00
17. CALIFORNIA PIZZA KITCHEN INC COM	01/21/2010	05/25/2010	298.00	252.00	46.00
42. CALIFORNIA PIZZA KITCHEN INC COM	01/22/2010	05/25/2010	735.00	612.00	123.00
1183. EXXON MOBIL CORPORATION	08/26/2009	05/25/2010	70,272.00	84,244.00	-13,972.00
139. INTERMUNE INC	03/29/2010	05/25/2010	1,220.00	6,400.00	-5,180.00
53. INTERMUNE INC	04/19/2010	05/25/2010	465.00	2,415.00	-1,950.00
269. LAWSON SOFTWARE INC COM	08/25/2009	05/25/2010	2,129.00	1,770.00	359.00
557. NIKE INC CL B	08/26/2009	05/25/2010	40,551.00	30,948.00	9,603.00
24. PARAMETRIC TECHNOLOGY CORP NEW COM	04/28/2010	05/25/2010	398.00	449.00	-51.00
23. PARAMETRIC TECHNOLOGY CORP NEW COM	08/25/2009	05/25/2010	382.00	315.00	67.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part 1 Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 12. TRANSOCEAN LTD COM	12/13/2009	05/25/2010	681.00	966.00	-285.00
388. TRANSOCEAN LTD COM	03/01/2010	05/25/2010	22,014.00	31,110.00	-9,096.00
1618. TRANSOCEAN LTD COM	08/26/2009	05/25/2010	91,799.00	123,834.00	-32,035.00
41. ATC TECHNOLOGY CORP COM	08/25/2009	05/26/2010	749.00	749.00	
15. BE AEROSPACE INC	04/28/2010	05/26/2010	404.00	433.00	-29.00
4. BE AEROSPACE INC	08/25/2009	05/26/2010	108.00	71.00	37.00
19. BELDEN INC COM	03/30/2010	05/26/2010	487.00	515.00	-28.00
10. BELDEN INC COM	08/25/2009	05/26/2010	256.00	215.00	41.00
543. BROCADE COMMUNICATION S SYS INC NEW COM	08/25/2009	05/26/2010	2,918.00	4,176.00	-1,258.00
110. BROCADE COMMUNICATION S SYS INC NEW COM	08/25/2009	05/26/2010	591.00	591.00	
373. CABLEVISION NY GROUP CL A COM	08/26/2009	05/26/2010	9,229.00	6,557.00	2,672.00
63. CALIFORNIA PIZZA KITCHEN INC COM	01/22/2010	05/26/2010	1,143.00	918.00	225.00
40. CALIFORNIA PIZZA KITCHEN INC COM	01/19/2010	05/26/2010	726.00	575.00	151.00
16. CASEYS GEN STORES INC	08/25/2009	05/26/2010	582.00	443.00	139.00
78. CENTRAL EUROPEAN DIST CORP COM	08/25/2009	05/26/2010	1,966.00	2,436.00	-470.00
2. CHOICE HOTELS INTL INC	10/13/2009	05/26/2010	66.00	64.00	2.00
223. CHOICE HOTELS INTL INC	09/25/2009	05/26/2010	7,382.00	6,698.00	684.00
113. DEAN FOODS CO NEW COM	09/24/2009	05/26/2010	1,180.00	1,180.00	
15. DIEBOLD INC	04/28/2010	05/26/2010	437.00	499.00	-62.00
16. DIGITAL RIV INC	04/13/2010	05/26/2010	431.00	431.00	
38. ETHAN ALLEN INTERIORS INC	04/28/2010	05/26/2010	762.00	798.00	-36.00
7. ETHAN ALLEN INTERIORS INC	08/28/2009	05/26/2010	140.00	109.00	31.00
96. FLOWERS FOODS INC COM	08/25/2009	05/26/2010	2,464.00	2,252.00	212.00
41. KEY ENERGY GROUP INC 00	03/05/2010	05/26/2010	376.00	376.00	

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2010

Name of estate or trust
TEE U/W OF LLOYD G. BALFOUR

Employer identification number
22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 113. OSI PHARMACEUTICALS INC	08/25/2009	05/26/2010	6,482.00	3,816.00	2,666.00
9. SANDERSON FARMS INC COM	03/30/2010	05/26/2010	480.00	488.00	-8.00
13. SIGNATURE BANK COM	04/28/2010	05/26/2010	501.00	501.00	
19. TEREX CORP	12/02/2009	05/26/2010	415.00	367.00	48.00
17. ZEBRA TECHNOLOGIES CORP CL A	02/01/2010	05/26/2010	461.00	454.00	7.00
35. XYRATEX LTD COM	05/14/2010	05/26/2010	522.00	522.00	
148. AIR PRODUCTS AND CHEMICALS INC	08/26/2009	05/27/2010	10,416.00	11,196.00	-780.00
34. APACHE CORPORATION	01/27/2010	05/27/2010	3,107.00	3,107.00	
2. APACHE CORPORATION	01/27/2010	05/27/2010	183.00	183.00	
286. APACHE CORPORATION	04/15/2010	05/27/2010	26,135.00	26,135.00	
210. BEST BUY INCORPORATED	12/30/2009	05/27/2010	8,837.00	8,465.00	372.00
359. BHP BILLITON LIMITED ADR	08/26/2009	05/27/2010	23,898.00	22,445.00	1,453.00
45. CALIFORNIA PIZZA KITCHEN INC COM	01/19/2010	05/27/2010	836.00	647.00	189.00
394. CARNIVAL CORP PAIRED CTF 1 COM	08/26/2009	05/27/2010	14,420.00	12,015.00	2,405.00
428. CISCO SYSTEMS INCORPORATED	08/26/2009	05/27/2010	10,072.00	9,390.00	682.00
174. DREAMWORKS ANIMATION INC CL A	12/18/2009	05/27/2010	5,151.00	6,922.00	-1,771.00
217. DREAMWORKS ANIMATION INC CL A	11/13/2009	05/27/2010	6,424.00	7,362.00	-938.00
219187.27 FEDERAL NATL MTG ASSN POOL #932745	03/25/2010	05/27/2010	222,955.00	219,461.00	3,494.00
589. GENERAL ELEC CO	03/01/2010	05/27/2010	9,783.00	9,351.00	432.00
795. HALLIBURTON CO	01/20/2010	05/27/2010	21,345.00	26,341.00	-4,996.00
189. HESS CORP COM	08/26/2009	05/27/2010	10,264.00	9,926.00	338.00
13. HESS CORP COM	12/30/2009	05/27/2010	706.00	706.00	
149. ICON PUB LTD CO SPONSORED ADR	08/25/2009	05/27/2010	4,129.00	3,479.00	650.00
101. INTERNATIONAL BUSINESS MACHS	08/26/2009	05/27/2010	12,706.00	12,086.00	620.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust
TEE U/W OF LLOYD G. BALFOUR

Employer identification number
22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 61. INTERNATIONAL BUSINESS MACHS	01/04/2010	05/27/2010	7,674.00	7,674.00	
459. LAS VEGAS SANDS CORP	03/02/2010	05/27/2010	10,881.00	7,986.00	2,895.00
6. MANPOWER INCORPORATED WISCONSIN	01/15/2010	05/27/2010	283.00	345.00	-62.00
182. MANPOWER INCORPORATED WISCONSIN	12/18/2009	05/27/2010	8,572.00	9,884.00	-1,312.00
69. MANPOWER INCORPORATED WISCONSIN	01/26/2010	05/27/2010	3,250.00	3,593.00	-343.00
1135. MARSHALL & ILSLEY CORP NEW COM	04/23/2010	05/27/2010	9,261.00	11,604.00	-2,343.00
251. METLIFE INC	08/26/2009	05/27/2010	10,351.00	9,554.00	797.00
273. OCCIDENTAL PETROLEUM CORPORATION	08/26/2009	05/27/2010	22,413.00	20,229.00	2,184.00
226. PNC BK CORP	12/30/2009	05/27/2010	14,475.00	12,021.00	2,454.00
406. PNC BK CORP	08/26/2009	05/27/2010	26,004.00	17,064.00	8,940.00
6. PRICELINE COM INC COM	01/15/2010	05/27/2010	1,181.00	1,243.00	-62.00
22. PRICELINE COM INC COM	09/21/2009	05/27/2010	4,329.00	3,620.00	709.00
45. PRICELINE COM INC COM	08/25/2009	05/27/2010	8,855.00	6,868.00	1,987.00
285. PRUDENTIAL FINL INC COM	08/26/2009	05/27/2010	16,778.00	14,459.00	2,319.00
43. SKYWORKS SOLUTIONS INC	01/06/2010	05/27/2010	690.00	642.00	48.00
179. SKYWORKS SOLUTIONS INC	01/06/2010	05/27/2010	2,873.00	2,674.00	199.00
143. SKYWORKS SOLUTIONS INC	12/16/2009	05/27/2010	2,295.00	2,000.00	295.00
321. SOTHEBYS HLDGS INC CL A	05/14/2010	05/27/2010	10,454.00	11,270.00	-816.00
20. STARWOOD HOTELS & RESORTS WORLDWIDE INC COM	12/18/2009	05/27/2010	947.00	729.00	218.00
233. STARWOOD HOTELS & RESORTS WORLDWIDE INC COM	08/25/2009	05/27/2010	11,027.00	7,125.00	3,902.00
157. TEMPUR PEDIC INTL INC	03/02/2010	05/27/2010	5,196.00	4,638.00	558.00
384. TRINA SOLAR LTD SPONSORED ADR	02/17/2010	05/27/2010	6,855.00	10,090.00	-3,235.00
349. TRINA SOLAR LTD SPONSORED ADR	03/30/2010	05/27/2010	6,231.00	8,872.00	-2,641.00
37. TRIUMPH GROUP INC NEW	08/25/2009	05/27/2010	2,554.00	1,628.00	926.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo, day, yr.)	(c) Date sold (mo, day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 100000. UNITED STATES TREAS NT DTD 02/16/10 3.625	05/14/2010	05/27/2010	102,082.00	101,375.00	707.00
261. VEECO INSTRS INC DEL COM	12/18/2009	05/27/2010	10,272.00	8,218.00	2,054.00
170. WADDELL & REED FINL INC CL A	05/14/2010	05/27/2010	4,803.00	5,673.00	-870.00
127. WADDELL & REED FINL INC CL A	08/25/2009	05/27/2010	3,588.00	3,473.00	115.00
237. WASTE MANAGEMENT INC	01/04/2010	05/27/2010	7,709.00	8,077.00	-368.00
615. WASTE MANAGEMENT INC	08/26/2009	05/27/2010	20,006.00	18,611.00	1,395.00
50. CALIFORNIA PIZZA KITCHEN INC COM	01/19/2010	05/28/2010	918.00	719.00	199.00
65. CALIFORNIA PIZZA KITCHEN INC COM	01/15/2010	05/28/2010	1,193.00	911.00	282.00
228. MOLSON COORS BREWING CO CL B	08/26/2009	05/28/2010	9,244.00	10,560.00	-1,316.00
85000. UNITED STATES TREAS BD STRIPPED PRIN PMT 4.375%	04/23/2010	05/28/2010	23,133.00	20,407.00	2,726.00
190000. UNITED STATES TREAS BD STRIPPED PRIN PMT	03/26/2010	05/28/2010	51,709.00	44,491.00	7,218.00
400000. UNITED STATES TREAS NT DTD 05/17/10 1.375	05/14/2010	05/28/2010	401,202.00	400,813.00	389.00
275.91 BEAR STEARNS SER 2001-TOP2 CL A2 DTD 5/1/200	09/30/2009	05/31/2010	276.00	287.00	-11.00
2220.07 FEDERAL HOME LN MTG CORP POOL #J10791	12/04/2009	05/31/2010	2,220.00	2,314.00	-94.00
3981.08 FEDERAL HOME LN MTG CORP SER 3536 CL PC	10/20/2009	05/31/2010	3,981.00	4,129.00	-148.00
1664.83 FEDERAL HOME LN MTG CORP SER 3565 CL XA	09/21/2009	05/31/2010	1,665.00	1,708.00	-43.00
402.44 FEDERAL NATL MTG ASSN POOL #932745	03/25/2010	05/31/2010	402.00	403.00	-1.00
6754.48 FEDERAL NATL MTG ASSN POOL #940664	06/26/2009	05/31/2010	6,754.00	7,074.00	-320.00
11096.84 FEDERAL NATL MTG ASSN POOL #995681	04/07/2010	05/31/2010	11,097.00	11,766.00	-669.00
4510.83 FEDERAL NATL MTG ASSN POOL #AA7670	10/16/2009	05/31/2010	4,511.00	4,552.00	-41.00
531.87 FEDERAL NATL MTG ASSN POOL #AC2816	10/16/2009	05/31/2010	532.00	532.00	
1197.36 FEDERAL NATL MTG ASSN POOL #AD3808	04/06/2010	05/31/2010	1,197.00	1,197.00	
1269.99 FEDERAL NATL MTG ASSN POOL #AD4165	04/20/2010	05/31/2010	1,270.00	1,273.00	-3.00
1529.04 FEDERAL NATL MTG ASSN POOL #AD5507	05/10/2010	05/31/2010	1,529.00	1,591.00	-62.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

THEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 39. CALIFORNIA PIZZA KITCHEN INC COM	01/15/2010	06/01/2010	701.00	546.00	155.00
32. EXPRESS SCRIPTS INC CL A	05/20/2010	06/01/2010	3,273.00	3,234.00	39.00
1077. EXPRESS SCRIPTS INC CL A	03/08/2010	06/01/2010	110,140.00	106,235.00	3,905.00
2555. GENERAL ELEC CO	05/04/2010	06/01/2010	41,646.00	47,830.00	-6,184.00
343. GENERAL ELEC CO	05/20/2010	06/01/2010	5,591.00	5,679.00	-88.00
4212. GENERAL ELEC CO	02/08/2010	06/01/2010	68,654.00	65,958.00	2,696.00
1496. ILLINOIS TOOL WORKS INCORPORATED	12/14/2009	06/01/2010	69,243.00	73,744.00	-4,501.00
122. ILLINOIS TOOL WORKS INCORPORATED	12/14/2009	06/01/2010	5,647.00	5,647.00	
2975. INTERNATIONAL PAPER COMPANY	04/19/2010	06/01/2010	68,257.00	81,791.00	-13,534.00
110. INTERNATIONAL PAPER COMPANY	05/20/2010	06/01/2010	2,524.00	2,383.00	141.00
1969. J P MORGAN CHASE & CO COM	04/19/2010	06/01/2010	77,094.00	89,272.00	-12,178.00
45. J P MORGAN CHASE & CO COM	05/20/2010	06/01/2010	1,762.00	1,728.00	34.00
395. MICRON TECHNOLOGY INC	05/20/2010	06/01/2010	3,448.00	3,443.00	5.00
7810. MICRON TECHNOLOGY INC	03/08/2010	06/01/2010	68,167.00	75,067.00	-6,900.00
1006. MICRON TECHNOLOGY INC	03/08/2010	06/01/2010	8,781.00	8,781.00	
68. UNITED PARCEL SERVICE CL B	05/20/2010	06/01/2010	4,211.00	4,359.00	-148.00
845. UNITED PARCEL SERVICE CL B	12/14/2009	06/01/2010	52,332.00	53,807.00	-1,475.00
493. UNITED PARCEL SERVICE CL B	01/12/2010	06/01/2010	30,532.00	30,931.00	-399.00
74. AMERIGROUP CORP COM	08/25/2009	06/02/2010	2,675.00	1,816.00	859.00
37. CALIFORNIA PIZZA KITCHEN INC COM	01/15/2010	06/02/2010	658.00	518.00	140.00
48. J CREW GROUP INC COM	08/25/2009	06/02/2010	2,154.00	1,556.00	598.00
199. MASIMO CORP COM	10/23/2009	06/03/2010	4,316.00	5,741.00	-1,425.00
705000. UNITED STATES TREAS NT DTD 04/30/10 1.000	05/14/2010	06/04/2010	708,029.00	707,974.00	55.00
33. APTARGROUP INCORPORATE D	09/24/2009	06/07/2010	1,269.00	1,216.00	53.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 4. APTARGROUP INCORPORATED	12/30/2009	06/07/2010	154.00	145.00	9.00
1553. HALLIBURTON CO	01/20/2010	06/07/2010	35,938.00	51,456.00	-15,518.00
2946. HALLIBURTON CO	10/21/2009	06/07/2010	68,173.00	91,940.00	-23,767.00
3737. HALLIBURTON CO	11/13/2009	06/07/2010	86,477.00	115,442.00	-28,965.00
7. HHGREGG INC COM	02/16/2010	06/07/2010	187.00	136.00	51.00
31. HHGREGG INC COM	02/11/2010	06/07/2010	829.00	587.00	242.00
7. HHGREGG INC COM	10/26/2009	06/07/2010	187.00	123.00	64.00
205. LAWSON SOFTWARE INC COM	08/25/2009	06/07/2010	1,619.00	1,349.00	270.00
1701. NIKE INC CL B	08/26/2009	06/07/2010	118,908.00	94,511.00	24,397.00
16. SCHWEITZER-MAUDUIT INTL INC	11/13/2009	06/07/2010	821.00	1,008.00	-187.00
21. SOUTH JERSEY INDUSTRIES INCORPORATED	10/08/2009	06/07/2010	906.00	758.00	148.00
45000. UNITED STATES TREAS BD DTD 08/17/09 4.500% DUE	12/17/2009	06/07/2010	47,897.00	47,691.00	206.00
300000. UNITED STATES TREAS NT DTD 05/17/10 1.375	05/14/2010	06/07/2010	301,909.00	300,609.00	1,300.00
334. WARNER CHILCOTT PLC CL A COM	04/16/2010	06/07/2010	7,439.00	9,061.00	-1,622.00
378. WARNER CHILCOTT PLC CL A COM	10/08/2009	06/07/2010	8,419.00	9,024.00	-605.00
216. CYBERONICS INC COM	12/08/2009	06/08/2010	4,684.00	4,265.00	419.00
53. FOSSIL INC 00	11/18/2009	06/08/2010	1,828.00	1,731.00	97.00
20. FOSSIL INC 00	10/15/2009	06/08/2010	690.00	603.00	87.00
87. PAREXEL INTL CORP COM	08/25/2009	06/08/2010	1,726.00	1,189.00	537.00
156. CASEYS GEN STORES INC	08/25/2009	06/09/2010	5,576.00	4,318.00	1,258.00
38. DEAN FOODS CO NEW COM	08/25/2009	06/09/2010	405.00	405.00	
136. DEAN FOODS CO NEW COM	09/24/2009	06/09/2010	1,450.00	1,450.00	
16. DIGITAL RIV INC	03/21/2010	06/09/2010	413.00	530.00	-117.00
17. DIGITAL RIV INC	04/13/2010	06/09/2010	438.00	531.00	-93.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

THEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1. DIGITAL RIV INC	05/03/2010	06/09/2010	26.00	29.00	-3.00
299. INVERNESS MED INNOVATIONS INC COM	08/25/2009	06/09/2010	8,022.00	10,786.00	-2,764.00
49. KADANT INC COM	03/17/2010	06/09/2010	853.00	723.00	130.00
2. KADANT INC COM	03/30/2010	06/09/2010	35.00	28.00	7.00
10. PACKAGING CORP OF AMERICA	09/18/2009	06/09/2010	210.00	210.00	
310. PACKAGING CORP OF AMERICA	10/21/2009	06/09/2010	6,509.00	6,509.00	
59. ZORAN CORP	12/29/2009	06/09/2010	564.00	564.00	
93. ZORAN CORP	12/30/2009	06/09/2010	889.00	889.00	
158. ZORAN CORP	03/26/2010	06/09/2010	1,510.00	1,740.00	-230.00
39. ZORAN CORP	03/26/2010	06/09/2010	373.00	373.00	
705000. FNMA 30YR TBA DTD JUN 2006 5.000% DUE JUN 203	06/04/2010	06/10/2010	743,637.00	740,250.00	3,387.00
790000. FNMA 30YR TBA DTD JUN 2006 5.500% DUE JUN 203	06/07/2010	06/10/2010	848,139.00	847,275.00	864.00
855000. FNMA 30YR TBA DTD JUN 2006 5.500% DUE JUN 203	05/27/2010	06/10/2010	917,923.00	910,642.00	7,281.00
123. JOY GLOBAL INC 00	02/18/2010	06/11/2010	6,617.00	6,274.00	343.00
1597. AGRUM INC	05/04/2010	06/14/2010	84,250.00	97,016.00	-12,766.00
59. AGRUM INC	05/20/2010	06/14/2010	3,113.00	3,137.00	-24.00
67. AMAZON COM INC	12/30/2009	06/14/2010	8,328.00	9,111.00	-783.00
375. AMAZON COM INC	11/02/2009	06/14/2010	46,612.00	44,636.00	1,976.00
67. BEST BUY INCORPORATED	05/20/2010	06/14/2010	2,783.00	2,741.00	42.00
63. BEST BUY INCORPORATED	12/30/2009	06/14/2010	2,617.00	2,546.00	71.00
1821. BEST BUY INCORPORATE D	08/25/2009	06/14/2010	75,629.00	67,176.00	8,453.00
68. EBAY INC	12/30/2009	06/14/2010	1,519.00	1,617.00	-98.00
1071. EBAY INC	11/02/2009	06/14/2010	23,931.00	24,044.00	-113.00
3092. EBAY INC	09/08/2009	06/14/2010	69,088.00	67,291.00	1,797.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

JSA
0F1221 3 000

FT0560 L775 10/26/2011 07:25:26

473 -

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust
TTEE U/W OF LLOYD G. BALFOUR

Employer identification number
22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 171. EBAY INC	05/20/2010	06/14/2010	3,821.00	3,594.00	227.00
508. MICROSOFT CORPORATION	05/20/2010	06/14/2010	13,027.00	14,065.00	-1,038.00
2630. MICROSOFT CORPORATION	08/25/2009	06/14/2010	67,443.00	65,053.00	2,390.00
2143. OWENS CORNING INC NEW COM	05/04/2010	06/14/2010	70,490.00	75,044.00	-4,554.00
133. OWENS CORNING INC NEW COM	05/20/2010	06/14/2010	4,375.00	4,125.00	250.00
394. OWENS ILL INC	08/26/2009	06/14/2010	11,910.00	13,500.00	-1,590.00
87. PARKER HANNIFIN CORPORATION	05/20/2010	06/14/2010	5,367.00	5,277.00	90.00
56. PARKER HANNIFIN CORPORATION	12/30/2009	06/14/2010	3,454.00	3,043.00	411.00
1552. PARKER HANNIFIN CORPORATION	08/25/2009	06/14/2010	95,736.00	78,899.00	16,837.00
113. PROCTER & GAMBLE CO COM	05/20/2010	06/14/2010	6,933.00	7,040.00	-107.00
146. PROCTER & GAMBLE CO COM	12/30/2009	06/14/2010	8,958.00	8,949.00	9.00
1438. PROCTER & GAMBLE CO COM	11/02/2009	06/14/2010	88,233.00	84,189.00	4,044.00
634. SALESFORCE COM INC COM	04/19/2010	06/14/2010	60,291.00	52,332.00	7,959.00
3748. ANADARKO PETROLEUM CORP	08/26/2009	06/15/2010	166,465.00	203,487.00	-37,022.00
90. CENTRAL EUROPEAN DIST CORP COM	08/25/2009	06/15/2010	2,287.00	2,811.00	-524.00
2936. CHEVRONTXACO CORP COM	08/26/2009	06/15/2010	220,483.00	208,620.00	11,863.00
17. CLEAN HBRS INC COM	05/18/2010	06/15/2010	1,148.00	1,118.00	30.00
86. DRESSER-RAND GROUP INC COM	05/04/2010	06/15/2010	3,020.00	2,970.00	50.00
592. MCDONALDS CORP	01/28/2010	06/15/2010	41,670.00	37,331.00	4,339.00
83. MEDICIS PHARMACEUTICAL CORP CL A	08/25/2009	06/15/2010	1,922.00	1,560.00	362.00
75. SOLARWINDS INC COM	03/26/2010	06/15/2010	1,402.00	1,581.00	-179.00
62. SOLARWINDS INC COM	12/14/2009	06/15/2010	1,159.00	1,290.00	-131.00
291. STAPLES INCORPORATED	12/30/2009	06/15/2010	6,430.00	7,257.00	-827.00
2350. STAPLES INCORPORATED	08/26/2009	06/15/2010	51,926.00	51,680.00	246.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust
TTEE U/W OF LLOYD G. BALFOUR

Employer identification number
22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1621. WASTE MANAGEMENT INC	08/26/2009	06/15/2010	54,427.00	49,055.00	5,372.00
67. AMERICAN PUB ED INC COM	10/13/2009	06/16/2010	3,175.00	2,427.00	748.00
175. PHARMERICA CORP COM	08/25/2009	06/16/2010	2,552.00	3,587.00	-1,035.00
124. SYKES ENTERPRISES INC COM	12/17/2009	06/16/2010	1,989.00	3,277.00	-1,288.00
73. SYKES ENTERPRISES INC COM	11/09/2009	06/16/2010	1,171.00	1,880.00	-709.00
125. CENTRAL EUROPEAN DIST CORP COM	08/25/2009	06/17/2010	3,117.00	3,904.00	-787.00
18. CLEAN HBRS INC COM	05/18/2010	06/17/2010	1,248.00	1,184.00	64.00
8. CLEAN HBRS INC COM	05/26/2010	06/17/2010	555.00	506.00	49.00
44. CYBERONICS INC COM	12/08/2009	06/17/2010	1,040.00	869.00	171.00
115. CYBERONICS INC COM	03/04/2010	06/17/2010	2,719.00	2,088.00	631.00
98. OWENS & MINOR INC NEW COM	08/25/2009	06/17/2010	2,857.00	2,859.00	-2.00
22. SOLARWINDS INC COM	12/14/2009	06/17/2010	412.00	458.00	-46.00
83. SOLARWINDS INC COM	08/25/2009	06/17/2010	1,555.00	1,578.00	-23.00
142. SYKES ENTERPRISES INC COM	11/09/2009	06/17/2010	2,243.00	3,657.00	-1,414.00
107. SYKES ENTERPRISES INC COM	11/27/2009	06/17/2010	1,690.00	2,637.00	-947.00
68. SYKES ENTERPRISES INC COM	03/04/2010	06/17/2010	1,074.00	1,624.00	-550.00
51. THORATEC LABS CORP NEW	08/25/2009	06/17/2010	2,343.00	1,417.00	926.00
135000. UNITED STATES TREAS NT DTD 04/30/10 1.000	05/14/2010	06/17/2010	135,817.00	135,570.00	247.00
44. AGILENT TECHNOLOGIES INC	12/29/2009	06/18/2010	1,436.00	1,339.00	97.00
134. AGILENT TECHNOLOGIES INC	11/13/2009	06/18/2010	4,374.00	3,812.00	562.00
114. CAVIUM NETWORKS INC COM	03/30/2010	06/18/2010	3,161.00	2,838.00	323.00
635. CIENA CORP NEW COM	04/23/2010	06/18/2010	9,253.00	11,525.00	-2,272.00
1. CLEAN HBRS INC COM	05/26/2010	06/18/2010	70.00	63.00	7.00
31. CLEAN HBRS INC COM	05/06/2010	06/18/2010	2,177.00	1,890.00	287.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust
THEE U/W OF LLOYD G. BALFOUR

Employer identification number
22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 201. DIAMOND OFFSHORE DRILLING INC COM	08/25/2009	06/18/2010	12,743.00	18,257.00	-5,514.00
63. DOLLAR THRIFTY AUTOMOTIVE GROUP	05/03/2010	06/18/2010	2,795.00	3,168.00	-373.00
70. EQUINIX INC COM	08/25/2009	06/18/2010	5,919.00	6,087.00	-168.00
24. EQUINIX INC COM	08/25/2009	06/18/2010	2,029.00	2,029.00	
576. GAMESTOP CORP CL A COM	08/25/2009	06/18/2010	11,050.00	11,050.00	
322. GUESS INC COM	12/18/2009	06/18/2010	11,046.00	13,695.00	-2,649.00
86. GUESS INC COM	05/14/2010	06/18/2010	2,950.00	3,346.00	-396.00
114. HEINZ H J CO	12/18/2009	06/18/2010	5,272.00	4,779.00	493.00
252. MICRON TECHNOLOGY INC	03/30/2010	06/18/2010	2,512.00	2,744.00	-232.00
195. NATIONAL OILWELL VARCO INC COM	10/27/2009	06/18/2010	7,413.00	8,512.00	-1,099.00
25. NATIONAL OILWELL VARCO INC COM	05/14/2010	06/18/2010	950.00	994.00	-44.00
136. SANDISK CORP	12/18/2009	06/18/2010	6,663.00	3,437.00	3,226.00
9. SYBASE INC	12/18/2009	06/18/2010	582.00	382.00	200.00
186. SYBASE INC	10/27/2009	06/18/2010	12,027.00	7,604.00	4,423.00
232. TIFFANY & COMPANY NEW	10/27/2009	06/18/2010	10,315.00	9,464.00	851.00
635. WADDELL & REED FINL INC CL A	08/25/2009	06/18/2010	15,642.00	17,366.00	-1,724.00
234. WESTERN DIGITAL CORP	08/25/2009	06/18/2010	8,194.00	7,726.00	468.00
98. MARVELL TECHNOLOGY GROUP LTD COM	01/14/2010	06/18/2010	1,861.00	2,530.00	-669.00
82. MARVELL TECHNOLOGY GROUP LTD COM	03/02/2010	06/18/2010	1,557.00	1,697.00	-140.00
5000. ANADARKO PETE CO SR NT	05/07/2010	06/21/2010	4,250.00	4,880.00	-630.00
357. BROOKLINE BANCORP INC DEL COM	08/25/2009	06/21/2010	3,452.00	3,917.00	-465.00
90. COHEN & STEERS INC COM	10/15/2009	06/21/2010	2,093.00	2,314.00	-221.00
76. COHEN & STEERS INC COM	10/15/2009	06/21/2010	1,768.00	1,768.00	
78. FOSSIL INC 00	10/15/2009	06/22/2010	3,119.00	2,352.00	767.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TEEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 121. HUMAN GENOME SCIENCES INC	12/08/2009	06/22/2010	3,077.00	3,077.00	
50. HUMAN GENOME SCIENCES INC	05/11/2010	06/22/2010	1,272.00	1,272.00	
56. J CREW GROUP INC COM	08/25/2009	06/22/2010	2,292.00	1,816.00	476.00
457. BROOKLINE BANCORP INC DEL COM	08/25/2009	06/23/2010	4,264.00	5,014.00	-750.00
195. BROOKLINE BANCORP INC DEL COM	03/02/2010	06/23/2010	1,819.00	2,021.00	-202.00
265000. FEDERAL HOME LN BKS CONS BD CALL 6/23/10 @1	02/02/2010	06/23/2010	265,000.00	265,080.00	-80.00
536. TALISMAN ENERGY INC	09/09/2009	06/23/2010	8,865.00	9,031.00	-166.00
51. SANDERSON FARMS INC COM	08/25/2009	06/24/2010	2,548.00	2,132.00	416.00
997045.08 FEDERAL NATL MTG ASSN POOL #AD5507	05/10/2010	06/25/2010	1,055,466.00	1,037,394.00	18,072.00
61. COHEN & STEERS INC COM	10/15/2009	06/28/2010	1,331.00	1,568.00	-237.00
76. COHEN & STEERS INC COM	10/02/2009	06/28/2010	1,658.00	1,813.00	-155.00
138. COHEN & STEERS INC COM	10/23/2009	06/28/2010	3,010.00	3,036.00	-26.00
38. EMPLOYERS HLDGS INC COM	08/25/2009	06/28/2010	598.00	571.00	27.00
95. FOSSIL INC 00	10/15/2009	06/28/2010	3,450.00	2,865.00	585.00
35. GREAT PLAINS ENERGY INC COM	08/25/2009	06/28/2010	613.00	631.00	-18.00
380. HUMAN GENOME SCIENCES INC	08/25/2009	06/28/2010	8,925.00	7,292.00	1,633.00
15. HUMAN GENOME SCIENCES INC	05/11/2010	06/28/2010	352.00	352.00	
92. J CREW GROUP INC COM	08/25/2009	06/28/2010	3,521.00	2,983.00	538.00
41. KEY ENERGY GROUP INC 00	02/05/2010	06/28/2010	415.00	521.00	-106.00
13. KEY ENERGY GROUP INC 00	03/05/2010	06/28/2010	132.00	148.00	-16.00
13. KEY ENERGY GROUP INC 00	04/28/2010	06/28/2010	132.00	137.00	-5.00
7. KEY ENERGY GROUP INC 00	02/24/2010	06/28/2010	71.00	73.00	-2.00
51. KEY ENERGY GROUP INC 00	04/08/2010	06/28/2010	517.00	531.00	-14.00
68. OWENS & MINOR INC NEW COM	08/25/2009	06/28/2010	1,960.00	1,984.00	-24.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust TEEE U/W OF LLOYD G. BALFOUR	Employer identification number 22-2751372
--	---

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 22. PARAMETRIC TECHNOLOGY CORP NEW COM	08/25/2009	06/28/2010	373.00	301.00	72.00
76. SANDERSON FARMS INC COM	08/25/2009	06/28/2010	3,865.00	3,178.00	687.00
1. SANDERSON FARMS INC COM	09/28/2009	06/28/2010	51.00	39.00	12.00
23. SILGAN HOLDINGS INC COM	08/25/2009	06/28/2010	669.00	581.00	88.00
1645000. FNMA 30YR TBA DTD JUL 2006 5.500% DUE JUL 203	06/10/2010	06/29/2010	1,769,981.00	1,760,150.00	9,831.00
12. JOY GLOBAL INC 00	02/18/2010	06/29/2010	621.00	612.00	9.00
40. JOY GLOBAL INC 00	02/18/2010	06/29/2010	2,070.00	2,040.00	30.00
27. JOY GLOBAL INC 00	05/07/2010	06/29/2010	1,397.00	1,359.00	38.00
28. SANDERSON FARMS INC COM	09/28/2009	06/29/2010	1,416.00	1,099.00	317.00
17. SANDERSON FARMS INC COM	10/12/2009	06/29/2010	859.00	646.00	213.00
279.3 BEAR STEARNS SER 2001-TOP2 CL A2 DTD 5/1/200	09/30/2009	06/30/2010	279.00	290.00	-11.00
1397.07 FEDERAL HOME LN MTG CORP POOL #J10791	12/04/2009	06/30/2010	1,397.00	1,456.00	-59.00
1500.69 FEDERAL HOME LN MTG CORP SER 3536 CL PC	10/20/2009	06/30/2010	1,501.00	1,557.00	-56.00
2040.35 FEDERAL HOME LN MTG CORP SER 3565 CL XA	09/21/2009	06/30/2010	2,040.00	2,094.00	-54.00
11144.28 FEDERAL NATL MTG ASSN POOL #995681	04/07/2010	06/30/2010	11,144.00	11,816.00	-672.00
863.73 FEDERAL NATL MTG ASSN POOL #AC2816	10/16/2009	06/30/2010	864.00	864.00	
1425.88 FEDERAL NATL MTG ASSN POOL #AD5507	05/10/2010	06/30/2010	1,426.00	1,484.00	-58.00
470000. UNITED STATES TREAS BD STRIPPED PRIN PMT	03/26/2010	06/30/2010	141,865.00	110,547.00	31,318.00
690. VODAFONE GROUP PLC NEW SP ADR	08/25/2009	06/30/2010	14,303.00	15,208.00	-905.00
53. LUBRIZOL CORP	05/07/2010	07/01/2010	4,200.00	4,539.00	-339.00
290. SYNGENTA AG SPONSORED ADR	08/25/2009	07/06/2010	12,883.00	13,702.00	-819.00
299. ABBOTT LABORATORIES	11/02/2009	07/07/2010	14,157.00	15,186.00	-1,029.00
95. ABBOTT LABORATORIES	11/18/2009	07/07/2010	4,498.00	4,562.00	-64.00
1066. ABBOTT LABORATORIES	08/25/2009	07/07/2010	50,473.00	49,236.00	1,237.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

JSA
0F1221 3 000

FT0560 L775 10/26/2011 07:25:26

478 -

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

THEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1105. ABBOTT LABORATORIES	10/05/2009	07/07/2010	52,319.00	52,319.00	
212. ABBOTT LABORATORIES	10/05/2009	07/07/2010	10,038.00	10,600.00	-562.00
19. ABBOTT LABORATORIES	10/05/2009	07/07/2010	900.00	900.00	
92. APPLE COMPUTER INCORPORATED	05/20/2010	07/07/2010	23,501.00	22,243.00	1,258.00
149. APPLE COMPUTER INCORPORATED	03/18/2010	07/07/2010	38,061.00	33,302.00	4,759.00
1. EQUINIX INC COM	12/30/2009	07/07/2010	80.00	107.00	-27.00
24. EQUINIX INC COM	07/27/2009	07/07/2010	1,909.00	2,298.00	-389.00
696. EQUINIX INC COM	08/25/2009	07/07/2010	55,371.00	60,519.00	-5,148.00
87. INTEL CORPORATION	05/20/2010	07/07/2010	1,728.00	1,728.00	
2826. INTEL CORPORATION	06/01/2010	07/07/2010	56,125.00	60,618.00	-4,493.00
58. INTEL CORPORATION	06/01/2010	07/07/2010	1,152.00	1,152.00	
42. JOY GLOBAL INC 00	05/07/2010	07/07/2010	2,157.00	2,114.00	43.00
34. JOY GLOBAL INC 00	02/24/2010	07/07/2010	1,746.00	1,682.00	64.00
540. MCDONALDS CORP	02/08/2010	07/07/2010	36,064.00	34,204.00	1,860.00
24. MCDONALDS CORP	05/20/2010	07/07/2010	1,603.00	1,603.00	
50. MEDCO HEALTH SOLUTIONS INC	12/30/2009	07/07/2010	2,768.00	3,238.00	-470.00
1425. MEDCO HEALTH SOLUTIONS INC	08/25/2009	07/07/2010	78,876.00	80,176.00	-1,300.00
2125. NORDSTROM INCORPORATED	04/19/2010	07/07/2010	70,568.00	89,245.00	-18,677.00
63. NORDSTROM INCORPORATED	05/20/2010	07/07/2010	2,092.00	2,367.00	-275.00
66. PACKAGING CORP OF AMERICA	09/18/2009	07/07/2010	1,433.00	1,386.00	47.00
166. PACKAGING CORP OF AMERICA	09/18/2009	07/07/2010	3,603.00	3,486.00	117.00
763. PEPSICO INCORPORATED	11/30/2009	07/07/2010	47,665.00	47,599.00	66.00
69. PEPSICO INCORPORATED	12/30/2009	07/07/2010	4,310.00	4,236.00	74.00
1484. PEPSICO INCORPORATED	08/25/2009	07/07/2010	92,706.00	85,307.00	7,399.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TEEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 93. PEPSICO INCORPORATED	05/20/2010	07/07/2010	5,810.00	6,022.00	-212.00
37. PEPSICO INCORPORATED	05/20/2010	07/07/2010	2,311.00	2,311.00	
457. PROCTER & GAMBLE CO COM	11/02/2009	07/07/2010	27,629.00	26,756.00	873.00
2033. PROCTER & GAMBLE CO COM	09/21/2009	07/07/2010	122,908.00	116,182.00	6,726.00
790. PROCTER & GAMBLE CO COM	08/25/2009	07/07/2010	47,761.00	42,223.00	5,538.00
217. QEP RES INC COM	08/26/2009	07/07/2010	6,171.00	5,060.00	1,111.00
217. QUESTAR CORP	08/26/2009	07/07/2010	3,412.00	2,446.00	966.00
3419. MARVELL TECHNOLOGY GROUP LTD COM	02/23/2010	07/07/2010	55,879.00	66,471.00	-10,592.00
64. MARVELL TECHNOLOGY GROUP LTD COM	02/10/2010	07/07/2010	1,046.00	1,381.00	-335.00
88. MARVELL TECHNOLOGY GROUP LTD COM	02/10/2010	07/07/2010	1,438.00	1,438.00	
5000. ANADARKO PETE CO SR NT	05/07/2010	07/08/2010	4,250.00	4,880.00	-630.00
58. HOSPIRA INC	05/17/2010	07/08/2010	3,382.00	3,062.00	320.00
115000. UNITED STATES TREAS BD DTD 08/17/09 4.500	12/17/2009	07/08/2010	124,811.00	121,878.00	2,933.00
3000. UNITED STATES TREAS BD DTD 08/17/09 4.500% DUE	06/21/2010	07/08/2010	3,256.00	3,164.00	92.00
15000. UNITED STATES TREAS BD DTD 08/17/09 4.500% DUE	02/04/2010	07/08/2010	16,280.00	14,898.00	1,382.00
165000. UNITED STATES TREAS BD DTD 08/17/09 4.500	02/23/2010	07/08/2010	179,077.00	161,314.00	17,763.00
280000. UNITED STATES TREAS NT DTD 02/16/10 3.625	05/14/2010	07/08/2010	293,759.00	283,850.00	9,909.00
135000. UNITED STATES TREAS NT DTD 04/30/10 1.000	05/14/2010	07/08/2010	135,976.00	135,570.00	406.00
46. ADOBE SYS INC	12/18/2009	07/09/2010	1,248.00	1,709.00	-461.00
229. ADOBE SYS INC	08/25/2009	07/09/2010	6,213.00	7,491.00	-1,278.00
33. AGILENT TECHNOLOGIES INC	11/13/2009	07/09/2010	944.00	939.00	5.00
343. AGILENT TECHNOLOGIES INC	10/27/2009	07/09/2010	9,811.00	8,856.00	955.00
705000. FNMA 30YR TBA DTD JUL 2006 5.000% DUE JUL 203	06/10/2010	07/09/2010	746,584.00	740,691.00	5,893.00
5000. ANADARKO PETE CO SR NT	05/07/2010	07/09/2010	4,300.00	4,880.00	-580.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 235. BEST BUY INCORPORATED	12/30/2009	07/09/2010	8,020.00	9,472.00	-1,452.00
1621. BEST BUY INCORPORATE D	08/26/2009	07/09/2010	55,322.00	60,497.00	-5,175.00
277. CIGNA CORPORATION	08/25/2009	07/09/2010	8,659.00	8,358.00	301.00
228. CAVIUM NETWORKS INC COM	03/30/2010	07/09/2010	6,250.00	5,676.00	574.00
81. CAVIUM NETWORKS INC COM	03/30/2010	07/09/2010	2,220.00	2,010.00	210.00
72. DOLLAR THRIFTY AUTOMOTIVE GROUP	05/03/2010	07/09/2010	3,275.00	3,275.00	
5. DOLLAR THRIFTY AUTOMOTIVE GROUP	05/03/2010	07/09/2010	227.00	251.00	-24.00
40. DOLLAR THRIFTY AUTOMOTIVE GROUP	05/03/2010	07/09/2010	1,819.00	1,819.00	
.989 FIRST HORIZON NATIONAL CORP COM	08/25/2009	07/09/2010	11.00	13.00	-2.00
1204. FRONTIER COMMUNICATI ONS CORP COM	08/26/2009	07/09/2010	8,852.00	9,978.00	-1,126.00
46. HANESBRANDS INC COM	08/25/2009	07/09/2010	1,145.00	962.00	183.00
78. HANESBRANDS INC COM	12/18/2009	07/09/2010	1,941.00	1,990.00	-49.00
46. HANESBRANDS INC COM	12/18/2009	07/09/2010	1,145.00	1,145.00	
51. LABORATORY CORP AMER HLDGS COM NEW	11/13/2009	07/09/2010	3,784.00	3,714.00	70.00
2022. MFA FINL INC REITS	09/22/2009	07/09/2010	14,552.00	16,681.00	-2,129.00
58. MICRON TECHNOLOGY INC	03/30/2010	07/09/2010	492.00	632.00	-140.00
225. MICRON TECHNOLOGY INC	01/29/2010	07/09/2010	1,908.00	1,908.00	
82. MICRON TECHNOLOGY INC	03/02/2010	07/09/2010	695.00	797.00	-102.00
548. MICRON TECHNOLOGY INC	03/02/2010	07/09/2010	4,647.00	4,647.00	
392. NORDSTROM INCORPORATE D	08/25/2009	07/09/2010	13,522.00	11,350.00	2,172.00
10000. PEPSICO INC SR NT	02/02/2010	07/09/2010	10,635.00	10,057.00	578.00
60000. PEPSICO INC SR NT	02/02/2010	07/09/2010	63,811.00	60,319.00	3,492.00
65000. PEPSICO INC SR NT	02/02/2010	07/09/2010	69,129.00	65,298.00	3,831.00
72. PRECISION CASTPARTS CORPORATION	08/25/2009	07/09/2010	7,905.00	6,414.00	1,491.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

THEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 10. PRECISION CASTPARTS CORPORATION	06/18/2010	07/09/2010	1,098.00	1,098.00	
87. PRICE T ROWE GROUP INC COM	02/17/2010	07/09/2010	4,166.00	4,359.00	-193.00
34. PRICE T ROWE GROUP INC COM	02/17/2010	07/09/2010	1,628.00	1,628.00	
238. QUESTAR CORP	08/25/2009	07/09/2010	3,851.00	2,737.00	1,114.00
2332. STAPLES INCORPORATED	08/26/2009	07/09/2010	45,548.00	51,285.00	-5,737.00
52. UNITED TECHNOLOGIES CORP	12/30/2009	07/09/2010	3,481.00	3,657.00	-176.00
755. UNITED TECHNOLOGIES CORP	08/26/2009	07/09/2010	50,549.00	45,134.00	5,415.00
14. UNITED TECHNOLOGIES CORP	12/04/2009	07/09/2010	937.00	1,002.00	-65.00
51. UNITED TECHNOLOGIES CORP	12/04/2009	07/09/2010	3,415.00	3,415.00	
54. CONMED CORP COM	08/25/2009	07/12/2010	946.00	980.00	-34.00
331. HEWITT ASSOCS INC COM	02/04/2010	07/12/2010	15,512.00	12,748.00	2,764.00
34. HEWITT ASSOCS INC COM	02/09/2010	07/12/2010	1,593.00	1,293.00	300.00
39. KENSEY NASH CORP COM	08/25/2009	07/12/2010	899.00	1,005.00	-106.00
43. CONMED CORP COM	08/25/2009	07/13/2010	760.00	780.00	-20.00
90. INFOSYS TECHNOLOGIES SP ADR ISIN #US4567881085	08/25/2009	07/13/2010	5,333.00	3,871.00	1,462.00
57. BJ'S WHOLESALE CLUB INC	12/22/2009	07/14/2010	2,492.00	1,913.00	579.00
43. BJ'S WHOLESALE CLUB INC	12/21/2009	07/14/2010	1,880.00	1,429.00	451.00
48. CONMED CORP COM	08/25/2009	07/14/2010	839.00	871.00	-32.00
63. DEAN FOODS CO NEW COM	08/25/2009	07/14/2010	709.00	1,134.00	-425.00
47. DEAN FOODS CO NEW COM	08/25/2009	07/14/2010	529.00	529.00	
35. KENSEY NASH CORP COM	08/25/2009	07/14/2010	807.00	902.00	-95.00
39. BJ'S WHOLESALE CLUB INC	12/21/2009	07/15/2010	1,703.00	1,296.00	407.00
15. CF INDS HLDGS INC COM	02/18/2010	07/15/2010	1,155.00	1,155.00	
37. CONMED CORP COM	08/25/2009	07/15/2010	645.00	671.00	-26.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TEEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 40. HOSPIRA INC	05/17/2010	07/15/2010	2,354.00	2,112.00	242.00
30. HOSPIRA INC	06/04/2010	07/15/2010	1,765.00	1,544.00	221.00
2430. MFA FINL INC REITS	09/22/2009	07/15/2010	17,644.00	20,047.00	-2,403.00
42. CONMED CORP COM	08/25/2009	07/16/2010	721.00	762.00	-41.00
70000. UNITED STATES TREAS NT DTD 06/30/10 0.625% DUE	07/08/2010	07/16/2010	70,054.00	70,000.00	54.00
53. CONMED CORP COM	08/25/2009	07/19/2010	903.00	962.00	-59.00
42. KENSEY NASH CORP COM	08/25/2009	07/19/2010	924.00	1,083.00	-159.00
2657.21 VOLKSWAGEN AUTO LN ENHANCED TR SER 2008-2 CL A	05/07/2010	07/19/2010	2,657.00	2,760.00	-103.00
19. KENSEY NASH CORP COM	08/25/2009	07/20/2010	431.00	490.00	-59.00
726310.8 FEDERAL NATL MTG ASSN POOL #AA7670	10/16/2009	07/21/2010	754,909.00	732,893.00	22,016.00
445515.83 FEDERAL NATL MTG ASSN POOL #AD3808	04/06/2010	07/21/2010	463,058.00	442,731.00	20,327.00
887320.67 FEDERAL NATL MTG ASSN POOL #AD4165	04/20/2010	07/21/2010	922,259.00	889,262.00	32,997.00
161. ATC TECHNOLOGY CORP COM	08/25/2009	07/22/2010	3,887.00	3,544.00	343.00
14. ATC TECHNOLOGY CORP COM	07/30/2009	07/22/2010	338.00	351.00	-13.00
27. ATC TECHNOLOGY CORP COM	07/30/2009	07/22/2010	652.00	652.00	
298. BEBE STORES INC COM	08/25/2009	07/22/2010	1,702.00	2,262.00	-560.00
26. COHERENT INC COM	08/25/2009	07/22/2010	930.00	595.00	335.00
68. DEAN FOODS CO NEW COM	08/25/2009	07/22/2010	809.00	1,224.00	-415.00
138. BEBE STORES INC COM	08/25/2009	07/23/2010	819.00	1,047.00	-228.00
47. CALIFORNIA PIZZA KITCHEN INC COM	07/13/2010	07/23/2010	772.00	737.00	35.00
26. CALIFORNIA PIZZA KITCHEN INC COM	07/19/2010	07/23/2010	427.00	391.00	36.00
47. EMPLOYERS HLDGS INC COM	08/25/2009	07/23/2010	748.00	707.00	41.00
15. INFINITY PPTY & CAS CORP COM	08/25/2009	07/23/2010	715.00	670.00	45.00
2405.36 FEDERAL NATL MTG ASSN POOL #AA7670	10/16/2009	07/25/2010	2,405.00	2,427.00	-22.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TEEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 2285.26 FEDERAL NATL MTG ASSN POOL #AD3808	04/06/2010	07/25/2010	2,285.00	2,285.00	
1320.34 FEDERAL NATL MTG ASSN POOL #AD4165	04/20/2010	07/25/2010	1,320.00	1,323.00	-3.00
24. AGNICO EAGLE MINES LTD COM	04/23/2010	07/26/2010	1,353.00	1,353.00	
82. AGNICO EAGLE MINES LTD COM	05/14/2010	07/26/2010	4,623.00	5,148.00	-525.00
27. AGNICO EAGLE MINES LTD COM	05/14/2010	07/26/2010	1,522.00	1,522.00	
135. BE AEROSPACE INC	08/25/2009	07/26/2010	4,056.00	2,412.00	1,644.00
15. CALIFORNIA PIZZA KITCHEN INC COM	07/19/2010	07/26/2010	252.00	226.00	26.00
48. CALIFORNIA PIZZA KITCHEN INC COM	07/12/2010	07/26/2010	807.00	707.00	100.00
4191. CORNING INC	01/28/2010	07/26/2010	75,070.00	78,279.00	-3,209.00
349. DISCOVER FINL SVCS COM	06/18/2010	07/26/2010	5,342.00	4,905.00	437.00
60. DOLBY LABORATORIES INC CL A	12/18/2009	07/26/2010	4,129.00	2,777.00	1,352.00
163. EMPLOYERS HLDGS INC COM	08/25/2009	07/26/2010	2,648.00	2,451.00	197.00
34. F5 NETWORKS INC	05/14/2010	07/26/2010	2,960.00	2,379.00	581.00
38. GREENHILL & CO INC COM	06/18/2010	07/26/2010	2,643.00	2,398.00	245.00
34. HEWITT ASSOCS INC COM	05/14/2010	07/26/2010	1,622.00	1,314.00	308.00
232. HEWITT ASSOCS INC COM	08/25/2009	07/26/2010	11,067.00	8,286.00	2,781.00
29. INFINITY PPTY & CAS CORP COM	08/25/2009	07/26/2010	1,390.00	1,296.00	94.00
504. INTERNATIONAL GAME TECHNOLOGY	05/14/2010	07/26/2010	8,392.00	10,712.00	-2,320.00
694. JANUS CAP GROUP INC	03/30/2010	07/26/2010	7,515.00	10,064.00	-2,549.00
289. JANUS CAP GROUP INC	04/23/2010	07/26/2010	3,129.00	4,166.00	-1,037.00
198. JANUS CAP GROUP INC	06/18/2010	07/26/2010	2,144.00	2,032.00	112.00
206. MICRON TECHNOLOGY INC	01/29/2010	07/26/2010	1,728.00	1,774.00	-46.00
1068. MICRON TECHNOLOGY INC	08/25/2009	07/26/2010	8,960.00	7,666.00	1,294.00
407. MYRIAD GENETICS INC COM	03/30/2010	07/26/2010	6,100.00	9,619.00	-3,519.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust TTEE U/W OF LLOYD G. BALFOUR	Employer identification number 22-2751372
--	---

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 238. QEP RES INC COM	08/25/2009	07/26/2010	6,968.00	5,661.00	1,307.00
50. SILICON LABORATORIES INC COM	08/25/2009	07/26/2010	2,164.00	2,143.00	21.00
66. STERICYCLE INC COM	01/29/2010	07/26/2010	4,285.00	3,509.00	776.00
43. STERICYCLE INC COM	08/25/2009	07/26/2010	2,792.00	2,198.00	594.00
216. VCA ANTECH INC COM	08/25/2009	07/26/2010	4,494.00	5,447.00	-953.00
68. VCA ANTECH INC COM	12/18/2009	07/26/2010	1,415.00	1,686.00	-271.00
213. VCA ANTECH INC COM	10/27/2009	07/26/2010	4,431.00	5,145.00	-714.00
160. XCEL ENERGY INC COM	12/18/2009	07/26/2010	3,592.00	3,450.00	142.00
281. XCEL ENERGY INC COM	08/25/2009	07/26/2010	6,309.00	5,611.00	698.00
117. APPLE COMPUTER INCORPORATED	03/18/2010	07/27/2010	30,758.00	26,150.00	4,608.00
2295. CAREFUSION CORP COM	04/19/2010	07/27/2010	49,637.00	63,717.00	-14,080.00
49. CAREFUSION CORP COM	05/20/2010	07/27/2010	1,060.00	1,233.00	-173.00
101. CAREFUSION CORP COM	12/30/2009	07/27/2010	2,184.00	2,502.00	-318.00
1896. CAREFUSION CORP COM	12/14/2009	07/27/2010	41,008.00	46,855.00	-5,847.00
46210.721 COLUMBIA LARGE CAP INDEX FUND CLASS Z SHAR	07/29/2009	07/27/2010	1,000,000.00	874,307.00	125,693.00
831. DR PEPPER SNAPPLE INC COM	05/19/2010	07/27/2010	32,917.00	31,402.00	1,515.00
48. HOSPIRA INC	12/30/2009	07/27/2010	2,777.00	2,447.00	330.00
86. HOSPIRA INC	05/20/2010	07/27/2010	4,975.00	4,366.00	609.00
571. HOSPIRA INC	11/02/2009	07/27/2010	33,031.00	25,942.00	7,089.00
276. ILLINOIS TOOL WORKS INCORPORATED	12/14/2009	07/27/2010	12,039.00	13,605.00	-1,566.00
122. ILLINOIS TOOL WORKS INCORPORATED	12/02/2009	07/27/2010	5,322.00	5,971.00	-649.00
34. ILLINOIS TOOL WORKS INCORPORATED	12/30/2009	07/27/2010	1,483.00	1,650.00	-167.00
1838. ILLINOIS TOOL WORKS INCORPORATED	03/08/2010	07/27/2010	80,174.00	86,020.00	-5,846.00
15. INFINITY PPTY & CAS CORP COM	08/25/2009	07/27/2010	734.00	670.00	64.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

JSA
0F1221 3 000

FT0560 L775 10/26/2011 07:25:26

485 -

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 51. ESTEE LAUDER COMPANIES CL A	05/20/2010	07/27/2010	3,232.00	2,987.00	245.00
47. ESTEE LAUDER COMPANIES CL A	12/30/2009	07/27/2010	2,979.00	2,312.00	667.00
777. ESTEE LAUDER COMPANIES CL A	08/25/2009	07/27/2010	49,248.00	28,038.00	21,210.00
883. MEDCO HEALTH SOLUTIONS INC	08/25/2009	07/27/2010	42,769.00	49,681.00	-6,912.00
47. MEDCO HEALTH SOLUTIONS INC	05/20/2010	07/27/2010	2,276.00	2,643.00	-367.00
1705. PROCTER & GAMBLE CO COM	08/25/2009	07/27/2010	107,319.00	91,126.00	16,193.00
102. PRUDENTIAL FINL INC COM	05/20/2010	07/27/2010	5,708.00	5,737.00	-29.00
1535. PRUDENTIAL FINL INC COM	08/25/2009	07/27/2010	85,895.00	78,987.00	6,908.00
77. SKYWORKS SOLUTIONS INC	08/25/2009	07/27/2010	1,463.00	942.00	521.00
59. SOLARWINDS INC COM	08/25/2009	07/27/2010	814.00	1,121.00	-307.00
75. SOLARWINDS INC COM	08/25/2009	07/27/2010	1,034.00	1,034.00	
3160. QIAGEN N V EURO.01	04/06/2010	07/27/2010	58,564.00	73,054.00	-14,490.00
126. QIAGEN N V EURO.01	05/20/2010	07/27/2010	2,335.00	2,597.00	-262.00
684. BROCADE COMMUNICATION S SYS INC NEW COM	08/25/2009	07/28/2010	3,441.00	5,260.00	-1,819.00
214. BROCADE COMMUNICATION S SYS INC NEW COM	08/25/2009	07/28/2010	1,077.00	1,077.00	
56. CALIFORNIA PIZZA KITCHEN INC COM	07/12/2010	07/28/2010	1,018.00	825.00	193.00
338. PHARMERICA CORP COM	08/25/2009	07/28/2010	4,510.00	6,928.00	-2,418.00
96. PHARMERICA CORP COM	09/30/2009	07/28/2010	1,281.00	1,776.00	-495.00
140. ATC TECHNOLOGY CORP COM	08/25/2009	07/29/2010	3,372.00	3,082.00	290.00
5. APACHE CORPORATION	01/20/2010	07/29/2010	478.00	531.00	-53.00
80. AUTODESK INC (DEL)	04/19/2010	07/29/2010	2,343.00	2,498.00	-155.00
33. AUTODESK INC (DEL)	04/19/2010	07/29/2010	967.00	967.00	
20. AVON PRODUCTS INC	07/27/2010	07/29/2010	605.00	601.00	4.00
10. BAKER HUGHES INCORPORATED	04/06/2010	07/29/2010	486.00	495.00	-9.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust TTEE U/W OF LLOYD G. BALFOUR	Employer identification number 22-2751372
--	---

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 84. BROCADE COMMUNICATIONS SYS INC NEW COM	01/13/2010	07/29/2010	419.00	694.00	-275.00
17. CABOT CORP	08/25/2009	07/29/2010	519.00	357.00	162.00
21. CABOT OIL & GAS CORP CL A	03/08/2010	07/29/2010	643.00	875.00	-232.00
6. CALLAWAY GOLF CO	10/28/2009	07/29/2010	41.00	43.00	-2.00
58. CALLAWAY GOLF CO	08/25/2009	07/29/2010	401.00	400.00	1.00
9. CANADIAN NATL RY CO	04/06/2010	07/29/2010	564.00	553.00	11.00
26. COHERENT INC COM	08/25/2009	07/29/2010	1,003.00	595.00	408.00
12. CONTINENTAL RES INC OKLAHOMA COM	05/20/2010	07/29/2010	551.00	506.00	45.00
24. CUMMINS ENGINE INC	05/20/2010	07/29/2010	1,908.00	1,534.00	374.00
8. CYTEC INDUSTRIES INCORPORATED	08/25/2009	07/29/2010	403.00	225.00	178.00
40. FLOWSERVE CORP	12/30/2009	07/29/2010	3,998.00	3,812.00	186.00
19. FLOWSERVE CORP	05/20/2010	07/29/2010	1,899.00	1,800.00	99.00
65. FRANKLIN RES INC COM	04/06/2010	07/29/2010	6,510.00	7,421.00	-911.00
7. GOODRICH B F CO	07/07/2010	07/29/2010	513.00	473.00	40.00
16. INTERFACE INC CL A	10/28/2009	07/29/2010	199.00	116.00	83.00
49. INTERFACE INC CL A	08/25/2009	07/29/2010	611.00	333.00	278.00
16. LAS VEGAS SANDS CORP	06/14/2010	07/29/2010	433.00	426.00	7.00
39. MEAD JOHNSON NUTRITION CO COM	05/20/2010	07/29/2010	2,051.00	1,893.00	158.00
62. PRESTIGE BRANDS HOLDINGS INC COM	05/06/2010	07/29/2010	507.00	616.00	-109.00
9. PRICE T ROWE GROUP INC COM	12/30/2009	07/29/2010	440.00	483.00	-43.00
9. PRUDENTIAL FINL INC COM	08/25/2009	07/29/2010	506.00	463.00	43.00
227. SYNOVUS FINANCIAL CORPORATION	10/01/2009	07/29/2010	579.00	801.00	-222.00
18. TETRA TECH INC NEW COM	03/30/2010	07/29/2010	386.00	386.00	
26. TETRA TECH INC NEW COM	02/01/2010	07/29/2010	557.00	557.00	

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 10. TETRA TECH INC NEW COM	03/30/2010	07/29/2010	214.00	214.00	
5. TRIUMPH GROUP INC NEW	08/25/2009	07/29/2010	393.00	220.00	173.00
4. UNUMPROVIDENT CORP	04/19/2010	07/29/2010	91.00	102.00	-11.00
34. ZIONS BANCORP	06/10/2010	07/29/2010	757.00	757.00	
17. NABORS INDUSTRIES INC COM	12/30/2009	07/29/2010	317.00	377.00	-60.00
115. NABORS INDUSTRIES INC COM	08/25/2009	07/29/2010	2,146.00	2,170.00	-24.00
3. TYCO ELECTRONICS LTD COM	05/04/2010	07/29/2010	81.00	96.00	-15.00
10. MILLICOM INTL CELLULAR S A COM	05/20/2010	07/29/2010	922.00	802.00	120.00
14. CF INDS HLDGS INC COM	02/18/2010	07/30/2010	1,143.00	1,143.00	
10. CF INDS HLDGS INC COM	02/18/2010	07/30/2010	817.00	1,031.00	-214.00
17. CF INDS HLDGS INC COM	02/18/2010	07/30/2010	1,388.00	1,388.00	
246. DOLLAR TREE INC COM	08/26/2009	07/30/2010	10,868.00	8,200.00	2,668.00
82. DREAMWORKS ANIMATION INC CL A	09/09/2009	07/30/2010	2,545.00	2,790.00	-245.00
54. DREAMWORKS ANIMATION INC CL A	09/09/2009	07/30/2010	1,676.00	1,837.00	-161.00
26. DRESSER-RAND GROUP INC COM	05/04/2010	07/30/2010	963.00	898.00	65.00
42. DRESSER-RAND GROUP INC COM	04/14/2010	07/30/2010	1,556.00	1,435.00	121.00
63. EMPLOYERS HLDGS INC COM	08/25/2009	07/30/2010	990.00	947.00	43.00
37. FOREST LABS INC COM	12/23/2009	07/30/2010	1,026.00	1,194.00	-168.00
28. FOREST LABS INC COM	12/28/2009	07/30/2010	777.00	856.00	-79.00
29. GARDNER DENVER MACHINERY INC	04/12/2010	07/30/2010	1,468.00	1,339.00	129.00
67. HEWITT ASSOCS INC COM	02/09/2010	07/30/2010	3,293.00	2,548.00	745.00
65. HEWITT ASSOCS INC COM	10/12/2009	07/30/2010	3,195.00	2,471.00	724.00
122. HEWITT ASSOCS INC COM	08/26/2009	07/30/2010	5,996.00	4,399.00	1,597.00
248. KING PHARMACEUTICALS INC	04/12/2010	07/30/2010	2,164.00	2,958.00	-794.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 419. KING PHARMACEUTICALS INC	04/14/2010	07/30/2010	3,656.00	4,957.00	-1,301.00
119. KING PHARMACEUTICALS INC	08/26/2009	07/30/2010	1,038.00	1,239.00	-201.00
21. MFA FINL INC REITS	09/22/2009	07/30/2010	155.00	173.00	-18.00
204. MFA FINL INC REITS	11/18/2009	07/30/2010	1,502.00	1,542.00	-40.00
1044. MFA FINL INC REITS	05/25/2010	07/30/2010	7,688.00	7,301.00	387.00
137. PETROHAWK ENERGY CORP	08/26/2009	07/30/2010	2,167.00	2,167.00	
191. PETROHAWK ENERGY CORP	09/04/2009	07/30/2010	3,021.00	3,021.00	
101. PETROHAWK ENERGY CORP	09/04/2009	07/30/2010	1,598.00	1,598.00	
15. TRIUMPH GROUP INC NEW	08/25/2009	07/30/2010	1,155.00	660.00	495.00
150000. UNITED STATES TREAS BD STRIPPED PRIN PMT	07/15/2010	07/30/2010	43,089.00	43,089.00	
13. WHITING PETROLEUM CORP	01/05/2010	07/30/2010	1,128.00	979.00	149.00
66. WHITING PETROLEUM CORP	12/08/2009	07/30/2010	5,724.00	4,254.00	1,470.00
55. ARCH CAP GROUP LTD COM NEW BERMUDA	09/30/2009	07/30/2010	4,267.00	3,712.00	555.00
41. ARCH CAP GROUP LTD COM NEW BERMUDA	09/24/2009	07/30/2010	3,181.00	2,739.00	442.00
1656.4 FEDERAL HOME LN MTG CORP POOL #J10791	12/04/2009	07/31/2010	1,656.00	1,726.00	-70.00
7862.89 FEDERAL HOME LN MTG CORP SER 3536 CL PC	10/20/2009	07/31/2010	7,863.00	8,156.00	-293.00
2040.39 FEDERAL HOME LN MTG CORP SER 3565 CL XA	09/21/2009	07/31/2010	2,040.00	2,094.00	-54.00
16208.62 FEDERAL NATL MTG ASSN POOL #995681	04/07/2010	07/31/2010	16,209.00	17,186.00	-977.00
366.4 FEDERAL NATL MTG ASSN POOL #AC2816	10/16/2009	07/31/2010	366.00	366.00	
1334.18 FEDERAL NATL MTG ASSN POOL #AD7127	07/21/2010	07/31/2010	1,334.00	1,389.00	-55.00
1280.23 FEDERAL NATL MTG ASSN POOL #AD6942	06/25/2010	07/31/2010	1,280.00	1,357.00	-77.00
14. APTARGROUP INCORPORATE D	12/30/2009	08/02/2010	606.00	508.00	98.00
36. APTARGROUP INCORPORATE D	08/25/2009	08/02/2010	1,557.00	1,278.00	279.00
69. EMPLOYERS HLDGS INC COM	08/25/2009	08/02/2010	1,065.00	1,038.00	27.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

THEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 529. BAYERISCHE MOTOREN WERKE A G ADR	04/06/2010	08/03/2010	10,055.00	8,329.00	1,726.00
33. DEAN FOODS CO NEW COM	08/25/2009	08/03/2010	354.00	354.00	
38. DEAN FOODS CO NEW COM	09/09/2009	08/03/2010	408.00	408.00	
9. DEAN FOODS CO NEW COM	09/09/2009	08/03/2010	97.00	97.00	
729. FIDELITY NATL INFORMATION SVCS INC COM	08/26/2009	08/03/2010	21,141.00	18,247.00	2,894.00
138. INFORMATICA CORP	07/29/2010	08/03/2010	4,159.00	4,152.00	7.00
99. MARINER ENERGY INC COM	07/29/2010	08/03/2010	2,408.00	2,358.00	50.00
49. MARINER ENERGY INC COM	08/25/2009	08/03/2010	1,192.00	629.00	563.00
74. PLATINUM UNDERWRITERS HOLDINGS LTD	07/29/2010	08/03/2010	2,902.00	2,887.00	15.00
1645000. FNMA 30YR TBA DTD AUG 2006 5.500% DUE AUG 203	06/29/2010	08/04/2010	1,772,488.00	1,765,291.00	7,197.00
.279 FRONTIER COMMUNICATIO NS CORP COM	08/26/2009	08/04/2010	2.00	2.00	
25000. ANADARKO PETE CO SR NT	05/07/2010	08/05/2010	21,750.00	24,401.00	-2,651.00
20000. ANADARKO PETE CO SR NT	05/07/2010	08/05/2010	17,400.00	19,265.00	-1,865.00
10. DRESSER-RAND GROUP INC COM	04/14/2010	08/05/2010	384.00	342.00	42.00
89. DRESSER-RAND GROUP INC COM	04/14/2010	08/05/2010	3,416.00	3,041.00	375.00
45. DRESSER-RAND GROUP INC COM	04/09/2010	08/05/2010	1,727.00	1,521.00	206.00
97. FOREST LABS INC COM	12/28/2009	08/05/2010	2,764.00	2,967.00	-203.00
77. FOREST LABS INC COM	10/21/2009	08/05/2010	2,194.00	2,251.00	-57.00
106. AEROPOSTALE COM	09/16/2009	08/06/2010	2,668.00	3,012.00	-344.00
172. AEROPOSTALE COM	09/16/2009	08/06/2010	4,329.00	4,329.00	
116. AEROPOSTALE COM	09/16/2009	08/06/2010	2,920.00	3,297.00	-377.00
340000. FNMA 30YR TBA DTD 08/01/09 4.000% DUE 08/15/3	07/09/2010	08/06/2010	349,031.00	343,958.00	5,073.00
226. FOREST LABS INC COM	10/21/2009	08/06/2010	6,159.00	6,608.00	-449.00
109. FOREST LABS INC COM	08/26/2009	08/06/2010	2,970.00	3,158.00	-188.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr)	(c) Date sold (mo., day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1232. EXXON MOBIL CORPORATION	08/26/2009	08/09/2010	76,848.00	76,848.00	
36. HEALTH CARE REIT INC	09/22/2009	08/09/2010	1,640.00	1,517.00	123.00
13. HESS CORP COM	01/18/2010	08/09/2010	725.00	806.00	-81.00
1020. HESS CORP COM	06/15/2010	08/09/2010	56,893.00	56,450.00	443.00
82. ATHEROS COMMUNICATIONS INC COM	08/25/2009	08/10/2010	2,103.00	2,103.00	
1911. BAKER HUGHES INCORPORATED	04/06/2010	08/10/2010	78,101.00	94,666.00	-16,565.00
2083. BAKER HUGHES INCORPORATED	05/04/2010	08/10/2010	85,131.00	101,494.00	-16,363.00
211. BAKER HUGHES INCORPORATED	05/20/2010	08/10/2010	8,623.00	8,748.00	-125.00
28. BARD C R INCORPORATED	12/30/2009	08/10/2010	2,248.00	2,219.00	29.00
316. BARD C R INCORPORATED	12/23/2009	08/10/2010	25,375.00	24,971.00	404.00
5. BARD C R INCORPORATED	05/20/2010	08/10/2010	402.00	406.00	-4.00
35. BARD C R INCORPORATED	05/20/2010	08/10/2010	2,811.00	2,811.00	
527. CANADIAN NATL RY CO	04/06/2010	08/10/2010	33,386.00	32,398.00	988.00
1556. CARDINAL HEALTH INCORPORATED	05/19/2010	08/10/2010	50,526.00	53,077.00	-2,551.00
167. CARDINAL HEALTH INCORPORATED	05/19/2010	08/10/2010	5,423.00	5,423.00	
44. CELANESE CORP DEL SER A COM	12/30/2009	08/10/2010	1,268.00	1,425.00	-157.00
535. CELANESE CORP DEL SER A COM	08/12/2009	08/10/2010	15,412.00	15,086.00	326.00
5. CELANESE CORP DEL SER A COM	07/29/2010	08/10/2010	144.00	140.00	4.00
869. CELANESE CORP DEL SER A COM	08/25/2009	08/10/2010	25,033.00	23,401.00	1,632.00
19. CYTEC INDUSTRIES INCORPORATED	08/25/2009	08/10/2010	949.00	534.00	415.00
91. FIRST SOLAR INC COM	03/20/2010	08/10/2010	11,673.00	12,931.00	-1,258.00
441. FIRST SOLAR INC COM	05/04/2010	08/10/2010	56,568.00	61,742.00	-5,174.00
14. FIRST SOLAR INC COM	07/29/2010	08/10/2010	1,796.00	1,899.00	-103.00
16. FIRST SOLAR INC COM	05/20/2010	08/10/2010	2,052.00	1,712.00	340.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

JSA
0F1221 3 000

FT0560 L775 10/26/2011 07:25:26

491 -

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 34. FLOWSERVE CORP	05/20/2010	08/10/2010	3,399.00	3,221.00	178.00
341. FLOWSERVE CORP	08/25/2009	08/10/2010	34,091.00	30,630.00	3,461.00
107. IBERIABANK CORP COM	03/10/2010	08/10/2010	5,676.00	6,291.00	-615.00
30. IBERIABANK CORP COM	07/29/2010	08/10/2010	1,592.00	1,556.00	36.00
577. MCDERMOTT INTERNATIONAL INCORPORATED	08/02/2010	08/10/2010	7,895.00	7,344.00	551.00
78. MEAD JOHNSON NUTRITION CO COM	05/20/2010	08/10/2010	4,108.00	3,787.00	321.00
40. MEAD JOHNSON NUTRITION CO COM	12/30/2009	08/10/2010	2,107.00	1,778.00	329.00
1052. MEAD JOHNSON NUTRITION CO COM	08/25/2009	08/10/2010	55,405.00	41,473.00	13,932.00
141. MEDICIS PHARMACEUTICAL CORP CL A	07/29/2010	08/10/2010	3,883.00	3,546.00	337.00
20. MEDICIS PHARMACEUTICAL CORP CL A	08/25/2009	08/10/2010	551.00	376.00	175.00
26. MICROSOFT CORPORATION	07/29/2010	08/10/2010	649.00	682.00	-33.00
1642. MICROSOFT CORPORATION	08/25/2009	08/10/2010	40,964.00	40,615.00	349.00
113. NETLOGIC MICROSYSTEMS INC COM	07/29/2010	08/10/2010	3,120.00	3,120.00	
45. PANTRY INC COM	09/29/2009	08/10/2010	944.00	713.00	231.00
8. PANTRY INC COM	08/25/2009	08/10/2010	168.00	120.00	48.00
1262. PRUDENTIAL FINL INC COM	08/25/2009	08/10/2010	73,992.00	64,939.00	9,053.00
134. PRUDENTIAL FINL INC COM	12/30/2009	08/10/2010	7,857.00	6,745.00	1,112.00
14. RTI INTERNATIONAL METALS	03/30/2010	08/10/2010	407.00	441.00	-34.00
18. RTI INTERNATIONAL METALS	04/28/2010	08/10/2010	524.00	477.00	47.00
16. RTI INTERNATIONAL METALS	09/18/2009	08/10/2010	465.00	373.00	92.00
34. SIRONA DENTAL SYS INC COM	07/29/2010	08/10/2010	1,169.00	1,023.00	146.00
36. SIRONA DENTAL SYS INC COM	03/02/2010	08/10/2010	1,238.00	1,238.00	
88. SOLERA HLDGS INC COM	07/29/2010	08/10/2010	3,386.00	3,355.00	31.00
26. SOLERA HLDGS INC COM	08/03/2010	08/10/2010	1,001.00	1,001.00	

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

THEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 12. SOUTH JERSEY INDUSTRIES INCORPORATED	10/08/2009	08/10/2010	571.00	433.00	138.00
17. SOUTH JERSEY INDUSTRIES INCORPORATED	10/06/2009	08/10/2010	809.00	611.00	198.00
1579. STARBUCKS CORP	03/18/2010	08/10/2010	39,905.00	39,745.00	160.00
3. STARBUCKS CORP	05/20/2010	08/10/2010	76.00	77.00	-1.00
151. STARBUCKS CORP	05/20/2010	08/10/2010	3,816.00	3,816.00	
269. TEXAS INSTRS INC	07/29/2010	08/10/2010	6,738.00	6,735.00	3.00
250. TEXAS INSTRS INC	05/20/2010	08/10/2010	6,262.00	6,124.00	138.00
1941. TEXAS INSTRS INC	08/25/2009	08/10/2010	48,615.00	47,394.00	1,221.00
1048. THERMO ELECTRON CORP	06/01/2010	08/10/2010	47,828.00	54,282.00	-6,454.00
131. THERMO ELECTRON CORP	06/01/2010	08/10/2010	5,978.00	5,978.00	
171. THERMO ELECTRON CORP	06/01/2010	08/10/2010	7,812.00	8,857.00	-1,045.00
255. TOTAL S A SPONSORED ADR	08/25/2009	08/10/2010	13,337.00	14,761.00	-1,424.00
6. TOWERS WATSON & CO CL A COM	08/28/2009	08/10/2010	275.00	270.00	5.00
17. TOWERS WATSON & CO CL A COM	09/23/2009	08/10/2010	778.00	762.00	16.00
8. TOWERS WATSON & CO CL A COM	08/25/2009	08/10/2010	366.00	355.00	11.00
12. TOWERS WATSON & CO CL A COM	03/04/2010	08/10/2010	549.00	549.00	
2011. UNUMPROVIDENT CORP	04/19/2010	08/10/2010	44,261.00	51,104.00	-6,843.00
905. UNUMPROVIDENT CORP	04/19/2010	08/10/2010	19,970.00	22,998.00	-3,028.00
86. UNUMPROVIDENT CORP	05/20/2010	08/10/2010	1,898.00	1,841.00	57.00
24. ZEBRA TECHNOLOGIES CORP CL A	02/01/2010	08/10/2010	718.00	641.00	77.00
20. ZEBRA TECHNOLOGIES CORP CL A	05/25/2010	08/10/2010	598.00	520.00	78.00
293. SAIC INC COM	01/14/2010	08/11/2010	4,720.00	5,576.00	-856.00
233. SAIC INC COM	01/15/2010	08/11/2010	3,754.00	4,429.00	-675.00
195. TELEFONICA DE ESPANA SA	08/25/2009	08/11/2010	13,204.00	14,885.00	-1,681.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TEEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a .8 BANCO BRADESCO S A SPONSORED ADR REPSTG PFD SH	07/28/2010	08/12/2010	15.00	15.00	
2342.07 BMW VEH LEASE TR					
2009-1 ASSET BACKED NT CL A	05/10/2010	08/14/2010	2,342.00	2,376.00	-34.00
247.5 BEAR STEARNS SER					
2001-TOP2 CL A2 DTD 5/1/200	09/30/2009	08/15/2010	248.00	257.00	-9.00
51. AFFILIATED MANAGERS GROUP INC	08/02/2010	08/16/2010	3,456.00	3,694.00	-238.00
50. AFFILIATED MANAGERS GROUP INC	07/09/2010	08/16/2010	3,388.00	3,295.00	93.00
66. ALLIANCE DATA SYS CORP COM	03/12/2010	08/16/2010	3,752.00	3,752.00	
57. ANALOG DEVICES INC					
	04/23/2010	08/16/2010	1,607.00	1,607.00	
63. ANALOG DEVICES INC					
	06/18/2010	08/16/2010	1,776.00	1,932.00	-156.00
33. ANALOG DEVICES INC					
	06/18/2010	08/16/2010	930.00	930.00	
101. BIG LOTS INC COM					
	05/14/2010	08/16/2010	3,061.00	3,061.00	
17. BIG LOTS INC COM					
	05/14/2010	08/16/2010	515.00	614.00	-99.00
116. BIG LOTS INC COM					
	05/14/2010	08/16/2010	3,516.00	3,516.00	
306. BLACKBOARD INC COM					
	05/27/2010	08/16/2010	10,415.00	12,295.00	-1,880.00
35. BLACKBOARD INC COM					
	08/02/2010	08/16/2010	1,191.00	1,361.00	-170.00
70. BUCYRUS INTL INC NEW COM					
	01/20/2010	08/16/2010	4,087.00	4,087.00	
3. BUCYRUS INTL INC NEW COM					
	01/20/2010	08/16/2010	175.00	175.00	
208. CAVIUM NETWORKS INC COM					
	07/26/2010	08/16/2010	4,906.00	6,462.00	-1,556.00
22. CAVIUM NETWORKS INC COM					
	08/02/2010	08/16/2010	519.00	604.00	-85.00
59. COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A					
	08/02/2010	08/16/2010	3,430.00	3,262.00	168.00
3. COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A					
	12/18/2009	08/16/2010	174.00	133.00	41.00
135. COMMScope INC					
	03/30/2010	08/16/2010	2,756.00	2,756.00	
147. DEVRY INC DEL					
	04/23/2010	08/16/2010	5,695.00	10,206.00	-4,511.00
19. DEVRY INC DEL					
	08/02/2010	08/16/2010	736.00	1,035.00	-299.00
248. GLOBAL PMTS INC COM					
	12/18/2009	08/16/2010	9,226.00	12,950.00	-3,724.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr)	(c) Date sold (mo., day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 134. GLOBAL PMTS INC COM	02/17/2010	08/16/2010	4,985.00	5,952.00	-967.00
46. GLOBAL PMTS INC COM	08/02/2010	08/16/2010	1,711.00	1,771.00	-60.00
18. NETFLIX.COM INC COM	08/02/2010	08/16/2010	2,437.00	1,821.00	616.00
117. NETFLIX.COM INC COM	08/25/2009	08/16/2010	15,842.00	5,207.00	10,635.00
121. PEGASYSTEMS INC COM	08/25/2009	08/16/2010	2,514.00	2,514.00	
30. PERRIGO CO	08/02/2010	08/16/2010	1,760.00	1,703.00	57.00
234. PERRIGO CO	08/25/2009	08/16/2010	13,725.00	6,963.00	6,762.00
35. ROPER INDUSTRIES INCORPORATED NEW	08/02/2010	08/16/2010	2,083.00	2,202.00	-119.00
240. ROPER INDUSTRIES INCORPORATED NEW	08/25/2009	08/16/2010	14,281.00	11,265.00	3,016.00
237. SOUTHWESTERN ENERGY CO	05/27/2010	08/16/2010	7,912.00	7,912.00	
25. SOUTHWESTERN ENERGY CO	05/27/2010	08/16/2010	835.00	835.00	
30. SOUTHWESTERN ENERGY CO	08/02/2010	08/16/2010	1,002.00	1,002.00	
142. TJX COMPANIES INCORPORATED NEW	05/14/2010	08/16/2010	5,855.00	5,855.00	
73. TJX COMPANIES INCORPORATED NEW	08/02/2010	08/16/2010	3,010.00	3,010.00	
150000. UNITED STATES TREAS BD STRIPPED PRIN PMT	06/23/2010	08/16/2010	45,761.00	45,348.00	413.00
5000. UNITED STATES TREAS BD STRIPPED PRIN PMT 4.375%	07/15/2010	08/16/2010	1,525.00	1,476.00	49.00
8. WALTER INDS INC	08/02/2010	08/16/2010	582.00	615.00	-33.00
26. WALTER INDS INC	01/15/2010	08/16/2010	1,890.00	1,923.00	-33.00
119. WALTER INDS INC	08/25/2009	08/16/2010	8,653.00	7,249.00	1,404.00
6. CF INDS HLDGS INC COM	02/18/2010	08/17/2010	541.00	619.00	-78.00
25. CF INDS HLDGS INC COM	02/18/2010	08/17/2010	2,254.00	2,577.00	-323.00
6. CF INDS HLDGS INC COM	03/17/2010	08/17/2010	541.00	573.00	-32.00
265000. ONTARIO PROV CDA BD CANADA	11/17/2009	08/18/2010	291,031.00	282,334.00	8,697.00
780000. UNITED STATES TREAS NT DTD 05/17/10 1.375	05/14/2010	08/18/2010	794,473.00	781,584.00	12,889.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

JSA
0F1221 3 000

FT0560 L775 10/26/2011 07:25:26

495 -

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).

► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 280000. UNITED STATES TREAS NT DTD 05/17/10 3.500	07/08/2010	08/18/2010	302,356.00	290,981.00	11,375.00
20000. UNITED STATES TREAS NT DTD 08/02/10 1.750% DUE	08/05/2010	08/18/2010	20,298.00	20,168.00	130.00
115000. UNITED STATES TREAS NT DTD 08/16/10 0.750	08/18/2010	08/18/2010	114,914.00	114,987.00	-73.00
38. PLATINUM UNDERWRITERS HOLDINGS LTD	08/25/2009	08/18/2010	1,510.00	1,353.00	157.00
30. LITTELFUSE INC COM	08/25/2009	08/19/2010	1,131.00	758.00	373.00
19. SCHWEITZER-MAUDUIT INTL INC	11/13/2009	08/19/2010	935.00	935.00	
101. SIGNATURE BANK COM	08/25/2009	08/19/2010	3,926.00	3,022.00	904.00
1. SIGNATURE BANK COM	04/28/2010	08/19/2010	39.00	39.00	
3960.57 VOLKSWAGEN AUTO LN ENHANCED TR SER 2008-2 CL A	05/07/2010	08/19/2010	3,961.00	4,115.00	-154.00
57. DIGITAL RIV INC	05/03/2010	08/20/2010	1,571.00	1,643.00	-72.00
40. PETSMART INCORPORATED	08/25/2009	08/20/2010	1,286.00	805.00	481.00
44. TOWERS WATSON & CO CL A COM	08/25/2009	08/20/2010	2,078.00	1,953.00	125.00
657. CANADIAN NATL RY CO	04/06/2010	08/23/2010	39,401.00	40,390.00	-989.00
584. CANADIAN NATL RY CO	04/06/2010	08/23/2010	35,043.00	35,903.00	-860.00
74. CANADIAN NATL RY CO	05/20/2010	08/23/2010	4,440.00	4,104.00	336.00
760. CATERPILLAR INCORPORATED	11/13/2009	08/23/2010	51,602.00	44,948.00	6,654.00
70. DOLLAR GEN CORP NEW COM	05/20/2010	08/23/2010	1,935.00	2,061.00	-126.00
3632. DOLLAR GEN CORP NEW COM	04/19/2010	08/23/2010	100,398.00	105,517.00	-5,119.00
210. DOLLAR GEN CORP NEW COM	07/29/2010	08/23/2010	5,805.00	6,037.00	-232.00
3284. ERICSSON L M TEL CO ADR CL B	08/26/2009	08/23/2010	33,627.00	30,705.00	2,922.00
447. FREEPORT-MCMORAN COPPER & GOLD INC COM	07/27/2010	08/23/2010	31,443.00	31,125.00	318.00
2. FREEPORT-MCMORAN COPPER & GOLD INC COM	07/29/2010	08/23/2010	141.00	141.00	
154. FREEPORT-MCMORAN COPPER & GOLD INC COM	07/27/2010	08/23/2010	10,937.00	10,723.00	214.00
11. HEWLETT PACKARD CO COM	12/01/2009	08/23/2010	428.00	584.00	-156.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TEEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 211. HEWLETT PACKARD CO COM	07/29/2010	08/23/2010	8,218.00	9,838.00	-1,620.00
175. HEWLETT PACKARD CO COM	05/20/2010	08/23/2010	6,816.00	8,062.00	-1,246.00
531. HEWLETT PACKARD CO COM	08/25/2009	08/23/2010	20,698.00	23,900.00	-3,202.00
2028. LOWES COMPANIES INCORPORATED	05/04/2010	08/23/2010	41,767.00	55,090.00	-13,323.00
299. LOWES COMPANIES INCORPORATED	05/20/2010	08/23/2010	6,158.00	7,181.00	-1,023.00
142. LOWES COMPANIES INCORPORATED	12/30/2009	08/23/2010	2,924.00	3,339.00	-415.00
2057. LOWES COMPANIES INCORPORATED	08/25/2009	08/23/2010	42,364.00	43,192.00	-828.00
112. LOWES COMPANIES INCORPORATED	08/25/2009	08/23/2010	2,307.00	2,307.00	
529. UNITED TECHNOLOGIES CORP	08/26/2009	08/23/2010	35,691.00	31,624.00	4,067.00
69. ATC TECHNOLOGY CORP COM	08/25/2009	08/24/2010	1,662.00	1,519.00	143.00
7. ATC TECHNOLOGY CORP COM	04/30/2010	08/24/2010	169.00	149.00	20.00
2. ATC TECHNOLOGY CORP COM	10/12/2009	08/24/2010	48.00	42.00	6.00
17. ATC TECHNOLOGY CORP COM	08/24/2009	08/24/2010	410.00	354.00	56.00
26. ATC TECHNOLOGY CORP COM	04/13/2010	08/24/2010	626.00	475.00	151.00
108. EMPLOYERS HLDGS INC COM	08/25/2009	08/24/2010	1,538.00	1,624.00	-86.00
135000. J P MORGAN CHASE & CO MEDIUM TERM SR NT	02/23/2010	08/24/2010	135,151.00	135,000.00	151.00
92. NOVELL INC	03/30/2010	08/24/2010	527.00	527.00	
127. NOVELL INC	02/03/2010	08/24/2010	727.00	611.00	116.00
20. SILGAN HOLDINGS INC COM	08/25/2009	08/24/2010	591.00	505.00	86.00
24. TOWERS WATSON & CO CL A COM	08/25/2009	08/24/2010	1,095.00	1,065.00	30.00
10. TRIUMPH GROUP INC NEW	08/25/2009	08/24/2010	673.00	440.00	233.00
50000. UNITED STATES TREAS BD DTD 02/15/10 4.625% DUE	07/08/2010	08/24/2010	59,617.00	55,383.00	4,234.00
280000. UNITED STATES TREAS NT DTD 08/16/10 2.625	08/18/2010	08/24/2010	283,160.00	280,755.00	2,405.00
665000. UNITED STATES TREAS NT DTD 08/16/10 0.750	08/18/2010	08/24/2010	666,115.00	664,922.00	1,193.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

JSA
0F1221 3 000

FT0560 L775 10/26/2011 07:25:26

497 -

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 27. WATTS INDS INC CL A	03/08/2010	08/24/2010	827.00	836.00	-9.00
3. ATC TECHNOLOGY CORP COM	04/13/2010	08/25/2010	72.00	55.00	17.00
48. ATC TECHNOLOGY CORP COM	05/25/2010	08/25/2010	1,152.00	830.00	322.00
.5 BABCOX & WILCOX CO NEW COM	08/25/2009	08/25/2010	12.00	12.00	
42. PETSMART INCORPORATED	08/25/2009	08/25/2010	1,368.00	845.00	523.00
23. ENDURANCE SPECIALITY HLDGS LTD COM	07/30/2010	08/25/2010	840.00	840.00	
3. GARDNER DENVER MACHINERY INC	04/12/2010	08/26/2010	142.00	139.00	3.00
7. AGL RESOURCES INC	03/29/2010	08/27/2010	259.00	259.00	
3. AGL RESOURCES INC	03/30/2010	08/27/2010	111.00	116.00	-5.00
8. AGL RESOURCES INC	03/30/2010	08/27/2010	296.00	296.00	
12. AES CORPORATION	08/02/2010	08/27/2010	126.00	128.00	-2.00
93. AES CORPORATION	08/02/2010	08/27/2010	980.00	995.00	-15.00
33.5 BABCOX & WILCOX CO NEW COM	03/02/2010	08/27/2010	789.00	772.00	17.00
4. CACI INTL INC CL A	09/03/2009	08/27/2010	167.00	167.00	
8. CACI INTL INC CL A	12/04/2009	08/27/2010	334.00	334.00	
4. CACI INTL INC CL A	03/16/2010	08/27/2010	167.00	167.00	
3. DIEBOLD INC	04/28/2010	08/27/2010	80.00	80.00	
22. DOLBY LABORATORIES INC CL A	08/02/2010	08/27/2010	1,230.00	1,382.00	-152.00
146. DOLBY LABORATORIES INC CL A	12/18/2009	08/27/2010	8,162.00	6,758.00	1,404.00
40. DOLLAR THRIFTY AUTOMOTIVE GROUP	05/27/2010	08/27/2010	1,917.00	2,227.00	-310.00
325. DOLLAR THRIFTY AUTOMOTIVE GROUP	05/03/2010	08/27/2010	15,576.00	16,342.00	-766.00
69. EMULEX CORP COM NEW	05/26/2010	08/27/2010	642.00	642.00	
21. GREAT PLAINS ENERGY INC COM	07/29/2010	08/27/2010	390.00	379.00	11.00
19. GREENHILL & CO INC COM	08/02/2010	08/27/2010	1,388.00	1,296.00	92.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 145. GREENHILL & CO INC COM	06/18/2010	08/27/2010	10,593.00	9,149.00	1,444.00
50. INTERMEC INC	05/18/2010	08/27/2010	555.00	623.00	-68.00
50. LANDSTAR SYSTEMS INCORPORATED	08/02/2010	08/27/2010	1,835.00	2,049.00	-214.00
83. LANDSTAR SYSTEMS INCORPORATED	03/02/2010	08/27/2010	3,045.00	3,274.00	-229.00
207. LORILLARD INC COM	05/14/2010	08/27/2010	15,922.00	16,334.00	-412.00
30. LORILLARD INC COM	08/02/2010	08/27/2010	2,308.00	2,288.00	20.00
138. NOVELL INC	02/03/2010	08/27/2010	785.00	664.00	121.00
137. PETROHAWK ENERGY CORP	09/12/2009	08/27/2010	2,032.00	2,992.00	-960.00
191. PETROHAWK ENERGY CORP	09/21/2009	08/27/2010	2,833.00	4,127.00	-1,294.00
101. PETROHAWK ENERGY CORP	09/21/2009	08/27/2010	1,498.00	2,182.00	-684.00
66. PETROHAWK ENERGY CORP	08/16/2010	08/27/2010	979.00	1,054.00	-75.00
321. PETROHAWK ENERGY CORP	08/16/2010	08/27/2010	4,764.00	5,126.00	-362.00
9. POTASH CORP SASK INC	08/02/2010	08/27/2010	1,325.00	975.00	350.00
1. PRECISION CASTPARTS CORPORATION	08/02/2010	08/27/2010	116.00	116.00	
16. PRECISION CASTPARTS CORPORATION	08/02/2010	08/27/2010	1,855.00	1,855.00	
17. RTI INTERNATIONAL METALS	09/18/2009	08/27/2010	451.00	396.00	55.00
262. SANDISK CORP	12/18/2009	08/27/2010	8,910.00	6,621.00	2,289.00
63. SANDISK CORP	07/26/2010	08/27/2010	2,143.00	2,143.00	
34. SANDISK CORP	08/02/2010	08/27/2010	1,156.00	1,156.00	
26. SCHWEITZER-MAUDUIT INTL INC	11/13/2009	08/27/2010	1,368.00	1,368.00	
18. SWIFT ENERGY CO COM	04/28/2010	08/27/2010	486.00	646.00	-160.00
1. SWIFT ENERGY CO COM	06/28/2010	08/27/2010	27.00	30.00	-3.00
14. TEREK CORP	06/28/2010	08/27/2010	258.00	293.00	-35.00
20. TEREK CORP	06/28/2010	08/27/2010	369.00	369.00	

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 192. MARVELL TECHNOLOGY GROUP LTD COM	03/02/2010	08/27/2010	3,183.00	3,974.00	-791.00
35. XYRATEX LTD COM	05/05/2010	08/27/2010	402.00	574.00	-172.00
10. XYRATEX LTD COM	05/14/2010	08/27/2010	115.00	159.00	-44.00
109. FOSTER WHEELER AG COM	09/21/2009	08/27/2010	2,369.00	3,714.00	-1,345.00
91. FOSTER WHEELER AG COM	09/21/2009	08/27/2010	1,978.00	1,978.00	
63. FOSTER WHEELER AG COM	08/02/2010	08/27/2010	1,371.00	1,518.00	-147.00
8. CACI INTL INC CL A	11/27/2009	08/30/2010	338.00	338.00	
4. CACI INTL INC CL A	03/09/2010	08/30/2010	169.00	169.00	
14. CACI INTL INC CL A	03/16/2010	08/30/2010	591.00	591.00	
35. DIGITAL RIV INC	05/03/2010	08/30/2010	938.00	1,009.00	-71.00
577. NOVELL INC	02/03/2010	08/30/2010	3,292.00	2,775.00	517.00
7255.08 BEAR STEARNS SER 2001-TOP2 CL A2 DTD 5/1/200	09/30/2009	08/31/2010	7,255.00	7,536.00	-281.00
69582.54 FEDERAL HOME LN MTG CORP POOL #G05852	08/04/2010	08/31/2010	69,583.00	74,899.00	-5,316.00
1830.25 FEDERAL HOME LN MTG CORP POOL #J10791	12/04/2009	08/31/2010	1,830.00	1,908.00	-78.00
695.66 FEDERAL HOME LN MTG CORP POOL #A90580	07/26/2010	08/31/2010	696.00	751.00	-55.00
6418.91 FEDERAL HOME LN MTG CORP SER 3536 CL PC	10/20/2009	08/31/2010	6,419.00	6,658.00	-239.00
2390.15 FEDERAL HOME LN MTG CORP SER 3565 CL XA	09/21/2009	08/31/2010	2,390.00	2,453.00	-63.00
9647.21 FEDERAL NATL MTG ASSN POOL #995681	04/07/2010	08/31/2010	9,647.00	10,229.00	-582.00
288.02 FEDERAL NATL MTG ASSN POOL #AC2816	10/16/2009	08/31/2010	288.00	288.00	
6441.49 FEDERAL NATL MTG ASSN POOL #AD7127	07/21/2010	08/31/2010	6,441.00	6,706.00	-265.00
7144.92 FEDERAL NATL MTG ASSN POOL #AD6942	06/25/2010	08/31/2010	7,145.00	7,575.00	-430.00
185000. UNITED STATES TREAS BD STRIPPED PRIN PMT	08/24/2010	08/31/2010	60,885.00	60,748.00	137.00
111. DEAN FOODS CO NEW COM	09/25/2009	09/01/2010	1,179.00	1,978.00	-799.00
.5 OLD DOMINION FREIGHT LINE INC COM	09/23/2009	09/02/2010	12.00	13.00	-1.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

OMB No. 1545-0092

2010

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

Name of estate or trust

TEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 120. ALLEGHENY TECHNOLOGIE S INC COM	04/06/2010	09/07/2010	5,276.00	5,276.00	
639. ALLEGHENY TECHNOLOGIE S INC COM	04/06/2010	09/07/2010	28,133.00	36,103.00	-7,970.00
41. ALLEGHENY TECHNOLOGIES INC COM	04/06/2010	09/07/2010	1,805.00	1,805.00	
267. CELANESE CORP DEL SER A COM	05/20/2010	09/07/2010	7,489.00	7,059.00	430.00
2597. FIFTH THIRD BANCORP COM	06/14/2010	09/07/2010	29,843.00	35,665.00	-5,822.00
1313. FIFTH THIRD BANCORP COM	03/18/2010	09/07/2010	15,088.00	17,642.00	-2,554.00
210. FIFTH THIRD BANCORP COM	03/18/2010	09/07/2010	2,413.00	2,413.00	
975. NINTENDO LTD ADR	04/06/2010	09/07/2010	33,587.00	42,068.00	-8,481.00
545. NINTENDO LTD ADR	04/06/2010	09/07/2010	18,760.00	23,515.00	-4,755.00
78. NINTENDO LTD ADR	04/06/2010	09/07/2010	2,685.00	2,685.00	
696. QUANTA SVCS INC	07/27/2010	09/07/2010	12,936.00	15,515.00	-2,579.00
1626. QUANTA SVCS INC	07/27/2010	09/07/2010	30,204.00	36,245.00	-6,041.00
55. QUANTA SVCS INC	07/29/2010	09/07/2010	1,022.00	1,197.00	-175.00
290. BLOCK H & R INC	01/15/2010	09/08/2010	3,705.00	6,568.00	-2,863.00
30. CF INDS HLDGS INC COM	03/17/2010	09/08/2010	2,891.00	2,863.00	28.00
28. CF INDS HLDGS INC COM	03/17/2010	09/08/2010	2,698.00	2,673.00	25.00
30. CF INDS HLDGS INC COM	03/29/2010	09/08/2010	2,891.00	2,816.00	75.00
25. CF INDS HLDGS INC COM	03/29/2010	09/08/2010	2,409.00	2,347.00	62.00
30. CF INDS HLDGS INC COM	04/19/2010	09/08/2010	2,891.00	2,651.00	240.00
29. BROCADE COMMUNICATIONS SYS INC NEW COM	01/13/2010	09/09/2010	171.00	240.00	-69.00
87. BROCADE COMMUNICATIONS SYS INC NEW COM	01/13/2010	09/09/2010	513.00	513.00	
340000. FNMA 30YR TBA DTD 09/01/09 4.000% DUE 09/15/3	08/06/2010	09/10/2010	348,952.00	347,743.00	1,209.00
316. KNIGHT CAP GROUP INC COM	10/27/2009	09/13/2010	4,021.00	5,591.00	-1,570.00
118. KNIGHT CAP GROUP INC COM	11/20/2009	09/13/2010	1,501.00	1,820.00	-319.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

JSA
0F1221 3 000

FT0560 L775 10/26/2011 07:25:26

501 -

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust TTEE U/W OF LLOYD G. BALFOUR	Employer identification number 22-2751372
---	--

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 123. KNIGHT CAP GROUP INC COM	07/29/2010	09/13/2010	1,565.00	1,780.00	-215.00
663. BLUE COAT SYS INC COM	06/18/2010	09/14/2010	14,636.00	15,345.00	-709.00
85. BLUE COAT SYS INC COM	08/02/2010	09/14/2010	1,876.00	1,878.00	-2.00
16366.34 BMW VEH LEASE TR 2009-1 ASSET BACKED NT CL A	05/10/2010	09/14/2010	16,366.00	16,604.00	-238.00
37. BUCYRUS INTL INC NEW COM	01/06/2010	09/14/2010	2,513.00	2,820.00	-307.00
11. BUCYRUS INTL INC NEW COM	01/06/2010	09/14/2010	747.00	747.00	
135. COMMSCOPE INC	03/09/2010	09/14/2010	2,918.00	4,735.00	-1,817.00
186. COMMSCOPE INC	03/30/2010	09/14/2010	4,021.00	5,284.00	-1,263.00
264. COMMSCOPE INC	07/26/2010	09/14/2010	5,707.00	7,149.00	-1,442.00
71. COMMSCOPE INC	08/02/2010	09/14/2010	1,535.00	1,506.00	29.00
36. CUMMINS ENGINE INC	08/02/2010	09/14/2010	3,036.00	2,930.00	106.00
9. CUMMINS ENGINE INC	07/26/2010	09/14/2010	759.00	702.00	57.00
1514718.89 FEDERAL HOME LN MTG CORP POOL #G05852	08/04/2010	09/14/2010	1,610,572.00	1,630,453.00	-19,881.00
42. ITC HLDGS CORP COM	07/29/2010	09/14/2010	2,580.00	2,383.00	197.00
45. KANSAS CITY SOUTHN INDS INC	08/16/2010	09/14/2010	1,758.00	1,548.00	210.00
90. KANSAS CITY SOUTHN INDS INC	08/16/2010	09/14/2010	3,516.00	3,096.00	420.00
34. LULULEMON ATHLETICA INC COM	06/18/2010	09/14/2010	1,446.00	1,446.00	
66. LULULEMON ATHLETICA INC COM	08/02/2010	09/14/2010	2,815.00	2,746.00	69.00
1. LULULEMON ATHLETICA INC COM	06/18/2010	09/14/2010	43.00	43.00	
45. OWENS & MINOR INC NEW COM	07/29/2010	09/14/2010	1,169.00	1,193.00	-24.00
46. SILICON LABORATORIES INC COM	07/09/2010	09/14/2010	1,634.00	1,963.00	-329.00
83. SILICON LABORATORIES INC COM	10/27/2009	09/14/2010	2,947.00	3,479.00	-532.00
25. SILICON LABORATORIES INC COM	08/02/2010	09/14/2010	888.00	1,026.00	-138.00
298. TD AMERITRADE HLDG CORP COM	01/29/2010	09/14/2010	4,617.00	5,306.00	-689.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 231. TD AMERITRADE HLDG CORP COM	01/29/2010	09/14/2010	3,584.00	4,113.00	-529.00
64. TD AMERITRADE HLDG CORP COM	08/02/2010	09/14/2010	993.00	1,030.00	-37.00
110000. UNITED STATES TREAS NT DTD 06/30/10 0.625	08/24/2010	09/14/2010	110,305.00	110,305.00	
90. VANCEINFO TECHNOLOGIES INC ADR COM	07/29/2010	09/14/2010	2,646.00	2,237.00	409.00
80. WEATHERFORD INTL LTD COM	08/02/2010	09/14/2010	1,293.00	1,345.00	-52.00
739. WEATHERFORD INTL LTD COM	06/18/2010	09/14/2010	11,946.00	11,255.00	691.00
68121.65 BMW VEH LEASE TR 2009-1 ASSET BACKED NT CL A	05/10/2010	09/15/2010	68,859.00	69,112.00	-253.00
10. LUBRIZOL CORP	05/07/2010	09/15/2010	1,040.00	856.00	184.00
93. ACERGY S A SPONSORED ADR	07/29/2010	09/16/2010	1,558.00	1,560.00	-2.00
459. ACERGY S A SPONSORED ADR	11/09/2009	09/16/2010	7,690.00	6,492.00	1,198.00
48. MENS WEARHOUSE INC	04/15/2010	09/16/2010	1,108.00	1,243.00	-135.00
13. MENS WEARHOUSE INC	04/14/2010	09/16/2010	300.00	335.00	-35.00
218. QUICKSILVER RES INC	04/23/2010	09/16/2010	2,671.00	3,177.00	-506.00
155. QUICKSILVER RES INC	02/17/2010	09/16/2010	1,899.00	2,240.00	-341.00
151. QUICKSILVER RES INC	02/12/2010	09/16/2010	1,850.00	2,128.00	-278.00
20. QUICKSILVER RES INC	07/29/2010	09/16/2010	245.00	254.00	-9.00
306. SAVIENT PHARMACEUTICA LS INC COM	10/13/2009	09/16/2010	6,209.00	4,336.00	1,873.00
2966.41 VOLKSWAGEN AUTO LN ENHANCED TR SER 2008-2 CL A	05/07/2010	09/19/2010	2,966.00	3,082.00	-116.00
19. ABBOTT LABORATORIES	10/27/2009	09/20/2010	985.00	982.00	3.00
930. ABBOTT LABORATORIES	08/10/2010	09/20/2010	48,228.00	47,559.00	669.00
318. ABBOTT LABORATORIES	08/10/2010	09/20/2010	16,495.00	16,262.00	233.00
438. AKAMAI TECHNOLOGIES INC	07/29/2010	09/20/2010	22,988.00	16,875.00	6,113.00
48. AKAMAI TECHNOLOGIES INC	05/20/2010	09/20/2010	2,519.00	1,826.00	693.00
156. AKAMAI TECHNOLOGIES INC	05/20/2010	09/20/2010	8,189.00	5,935.00	2,254.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 64. AKAMAI TECHNOLOGIES INC	12/30/2009	09/20/2010	3,359.00	1,645.00	1,714.00
1229. AKAMAI TECHNOLOGIES INC	10/05/2009	09/20/2010	64,513.00	23,423.00	41,090.00
858. BARD C R INCORPORATED	12/23/2009	09/20/2010	69,097.00	67,801.00	1,296.00
34. BARD C R INCORPORATED	12/23/2009	09/20/2010	2,740.00	2,687.00	53.00
35. BARD C R INCORPORATED	05/08/2010	09/20/2010	2,821.00	2,757.00	64.00
286. COCA COLA CO COM	08/10/2010	09/20/2010	16,532.00	16,284.00	248.00
853. COCA COLA CO COM	08/10/2010	09/20/2010	49,351.00	48,567.00	784.00
290. EDWARDS LIFESCIENCES CORP	05/19/2010	09/20/2010	15,523.00	14,507.00	1,016.00
808. EDWARDS LIFESCIENCES CORP	05/19/2010	09/20/2010	43,395.00	40,421.00	2,974.00
56. EDWARDS LIFESCIENCES CORP	05/20/2010	09/20/2010	3,008.00	2,736.00	272.00
242. EDWARDS LIFESCIENCES CORP	02/23/2010	09/20/2010	12,997.00	10,915.00	2,082.00
3. EDWARDS LIFESCIENCES CORP	07/29/2010	09/20/2010	161.00	172.00	-11.00
78. EDWARDS LIFESCIENCES CORP	07/29/2010	09/20/2010	4,175.00	4,175.00	
100. EXPEDIA INC COMMON STOCK	04/07/2010	09/20/2010	2,860.00	2,526.00	334.00
846. UNITED TECHNOLOGIES CORP	05/04/2010	09/20/2010	59,611.00	62,724.00	-3,113.00
51. UNITED TECHNOLOGIES CORP	12/24/2009	09/20/2010	3,590.00	3,590.00	
159. UNITED TECHNOLOGIES CORP	05/04/2010	09/20/2010	11,194.00	11,789.00	-595.00
74. UNITED TECHNOLOGIES CORP	05/04/2010	09/20/2010	5,210.00	5,210.00	
556. UNITEDHEALTH GROUP INC	01/25/2010	09/20/2010	19,364.00	18,461.00	903.00
1487. UNITEDHEALTH GROUP INC	01/25/2010	09/20/2010	51,879.00	49,373.00	2,506.00
169. UNITEDHEALTH GROUP INC	06/14/2010	09/20/2010	5,896.00	5,195.00	701.00
17. COOPER TIRE & RUBBER COMPANY	03/08/2010	09/21/2010	341.00	317.00	24.00
21. COOPER TIRE & RUBBER COMPANY	04/28/2010	09/21/2010	421.00	421.00	
75. PRESTIGE BRANDS HOLDINGS INC COM	05/06/2010	09/21/2010	653.00	746.00	-93.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

JSA
0F1221 3 000

FT0560 L775 10/26/2011 07:25:26

504 -

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

THEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 90000. UNITED STATES TREAS BD STRIPPED PRIN PMT 4.375%	08/24/2010	09/21/2010	27,005.00	27,005.00	
28. PRESTIGE BRANDS HOLDINGS INC COM	05/06/2010	09/22/2010	246.00	278.00	-32.00
46. PRESTIGE BRANDS HOLDINGS INC COM	05/04/2010	09/22/2010	404.00	455.00	-51.00
87. XYRATEX LTD COM	05/14/2010	09/22/2010	1,410.00	1,387.00	23.00
15. XYRATEX LTD COM	05/17/2010	09/22/2010	243.00	230.00	13.00
110000. AMERICREDIT AUTOMOBILE RECEIVABLES TR S	05/13/2010	09/23/2010	110,417.00	109,995.00	422.00
55000. AMERICREDIT AUTO RECEIVABLES TR 2010-B AUTO	08/12/2010	09/23/2010	55,189.00	55,000.00	189.00
301. ECHOSTAR CORP CL A	03/04/2010	09/23/2010	5,585.00	5,959.00	-374.00
144. EXPEDIA INC COMMON STOCK	04/07/2010	09/23/2010	4,106.00	3,637.00	469.00
95000. SANTANDER DRIVE AUTO RECVBLS TR SER 2010-2	08/19/2010	09/23/2010	95,089.00	94,993.00	96.00
60. CF INDS HLDGS INC COM	04/19/2010	09/24/2010	5,953.00	5,303.00	650.00
21. COOPER TIRE & RUBBER COMPANY	04/16/2010	09/27/2010	423.00	409.00	14.00
7. COOPER TIRE & RUBBER COMPANY	03/08/2010	09/27/2010	141.00	131.00	10.00
16. DIGITAL RIV INC	05/03/2010	09/27/2010	503.00	461.00	42.00
18. INFORMATICA CORP	07/29/2010	09/27/2010	687.00	542.00	145.00
37. KEY ENERGY GROUP INC 00	08/19/2010	09/27/2010	339.00	328.00	11.00
23. KEY ENERGY GROUP INC 00	04/08/2010	09/27/2010	211.00	239.00	-28.00
40. KEY ENERGY GROUP INC 00	04/08/2010	09/27/2010	366.00	366.00	
583. NEW YORK TIMES COMPANY CLASS A	04/23/2010	09/27/2010	4,524.00	6,868.00	-2,344.00
224. NEW YORK TIMES COMPANY CLASS A	06/22/2010	09/27/2010	1,738.00	2,291.00	-553.00
249. NEW YORK TIMES COMPANY CLASS A	07/29/2010	09/27/2010	1,932.00	2,194.00	-262.00
54. PHILLIPS VAN HEUSEN CORP COM	07/29/2010	09/27/2010	3,190.00	2,765.00	425.00
95. QLIK TECHNOLOGIES INC COM	09/14/2010	09/27/2010	2,449.00	2,118.00	331.00
14. XYRATEX LTD COM	05/17/2010	09/27/2010	243.00	215.00	28.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TEEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 27. XYRATEX LTD COM	07/02/2010	09/27/2010	468.00	328.00	140.00
536084.71 FEDERAL NATL MTG ASSN POOL #933120	09/14/2010	09/28/2010	569,841.00	571,977.00	-2,136.00
3. PHILLIPS VAN HEUSEN CORP COM	07/29/2010	09/28/2010	175.00	154.00	21.00
81. PHILLIPS VAN HEUSEN CORP COM	01/14/2010	09/28/2010	4,713.00	3,570.00	1,143.00
141. PHILLIPS VAN HEUSEN CORP COM	12/08/2009	09/28/2010	8,205.00	5,713.00	2,492.00
70000. UNITED STATES TREAS BD DTD 02/15/10 4.625% DUE	07/08/2010	09/29/2010	81,900.00	77,536.00	4,364.00
2043.7 FEDERAL HOME LN MTG CORP POOL #J10791	12/04/2009	09/30/2010	2,044.00	2,130.00	-86.00
17394.93 FEDERAL HOME LN MTG CORP POOL #A90580	07/26/2010	09/30/2010	17,395.00	18,776.00	-1,381.00
5570.45 FEDERAL HOME LN MTG CORP SER 3536 CL PC	10/20/2009	09/30/2010	5,570.00	5,778.00	-208.00
7142.27 FEDERAL NATL MTG ASSN POOL #995681	04/07/2010	09/30/2010	7,142.00	7,573.00	-431.00
2219.34 FEDERAL NATL MTG ASSN POOL #AB1500	09/14/2010	09/30/2010	2,219.00	2,289.00	-70.00
954.15 FEDERAL NATL MTG ASSN POOL #AC2816	10/16/2009	09/30/2010	954.00	939.00	15.00
1294.16 FEDERAL NATL MTG ASSN POOL #AD7127	07/21/2010	09/30/2010	1,294.00	1,347.00	-53.00
1382.04 FEDERAL NATL MTG ASSN POOL #AD6942	06/25/2010	09/30/2010	1,382.00	1,465.00	-83.00
94. ICON PUB LTD CO SPONSORED ADR	08/10/2010	10/01/2010	2,004.00	2,291.00	-287.00
75. ICON PUB LTD CO SPONSORED ADR	07/29/2010	10/01/2010	1,599.00	1,762.00	-163.00
139. LENDER PROCESSING SVCS INC COM	01/26/2010	10/01/2010	4,518.00	5,800.00	-1,282.00
143. COCA COLA ENTERPRISES INC COM	07/30/2010	10/04/2010	4,587.00	4,097.00	490.00
427. COCA COLA ENTERPRISES INC COM	07/12/2010	10/04/2010	13,698.00	11,763.00	1,935.00
703. COCA COLA ENTERPRISES INC COM	05/28/2010	10/04/2010	22,552.00	18,538.00	4,014.00
170. COCA COLA ENTERPRISES INC COM	06/08/2010	10/04/2010	5,454.00	4,269.00	1,185.00
103. ABBOTT LABORATORIES	09/28/2010	10/05/2010	5,464.00	5,387.00	77.00
576. ABBOTT LABORATORIES	08/10/2010	10/05/2010	30,555.00	29,456.00	1,099.00
13. DIGITAL RIV INC	05/03/2010	10/05/2010	448.00	375.00	73.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

JSA
0F1221 3 000

FT0560 L775 10/26/2011 07:25:26

506 -

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TEEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 33. DIGITAL RIV INC	05/06/2010	10/05/2010	1,136.00	915.00	221.00
27. HOSPIRA INC	09/28/2010	10/05/2010	1,531.00	1,566.00	-35.00
73. HOSPIRA INC	07/29/2010	10/05/2010	4,139.00	3,767.00	372.00
922. HOSPIRA INC	11/02/2009	10/05/2010	52,272.00	41,888.00	10,384.00
857. HOSPIRA INC	10/05/2009	10/05/2010	48,587.00	37,221.00	11,366.00
58. INTEL CORPORATION	06/23/2010	10/05/2010	1,108.00	1,318.00	-210.00
87. INTEL CORPORATION	06/11/2010	10/05/2010	1,662.00	1,947.00	-285.00
117. INTEL CORPORATION	05/20/2010	10/05/2010	2,234.00	2,471.00	-237.00
1721. INTEL CORPORATION	01/12/2010	10/05/2010	32,867.00	35,413.00	-2,546.00
68. INTEL CORPORATION	12/30/2009	10/05/2010	1,299.00	1,394.00	-95.00
238. INTEL CORPORATION	09/28/2010	10/05/2010	4,545.00	4,633.00	-88.00
78. NINTENDO LTD ADR	04/27/2010	10/05/2010	2,516.00	3,501.00	-985.00
513. NINTENDO LTD ADR	04/06/2010	10/05/2010	16,551.00	22,134.00	-5,583.00
1272. NINTENDO LTD ADR	04/07/2010	10/05/2010	41,038.00	53,689.00	-12,651.00
182. NINTENDO LTD ADR	05/20/2010	10/05/2010	5,872.00	6,423.00	-551.00
143. NINTENDO LTD ADR	07/29/2010	10/05/2010	4,614.00	4,890.00	-276.00
331. PHILIP MORRIS INTL INC COM	09/28/2010	10/05/2010	18,352.00	18,472.00	-120.00
85. PHILIP MORRIS INTL INC COM	07/29/2010	10/05/2010	4,713.00	4,363.00	350.00
124. PHILIP MORRIS INTL INC COM	12/30/2009	10/05/2010	6,875.00	6,020.00	855.00
297. TYCO INTL LTD NEW F COM	09/28/2010	10/05/2010	11,176.00	11,146.00	30.00
34. TYCO INTL LTD NEW F COM	07/29/2010	10/05/2010	1,279.00	1,259.00	20.00
111. TYCO INTL LTD NEW F COM	05/20/2010	10/05/2010	4,177.00	4,086.00	91.00
78. COMTECH TELECOMMUNICAT IONS CP COM NEW	09/27/2010	10/06/2010	2,212.00	2,064.00	148.00
4. COMTECH TELECOMMUNICATI ONS CP COM NEW	09/13/2010	10/06/2010	113.00	99.00	14.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 285000. UNITED STATES TREAS BD STRIPPED PRIN PMT	08/24/2010	10/06/2010	89,607.00	93,938.00	-4,331.00
10000. UNITED STATES TREAS BD STRIPPED PRIN PMT 4.375%	08/20/2010	10/06/2010	3,144.00	3,249.00	-105.00
165000. UNITED STATES TREAS BD STRIPPED PRIN PMT	09/08/2010	10/06/2010	51,878.00	51,852.00	26.00
90000. UNITED STATES TREAS BD STRIPPED PRIN PMT 4.375%	08/11/2010	10/06/2010	28,297.00	28,297.00	
340000. FNMA 30YR TBA DTD 10/01/09 4.000% DUE 10/14/3	09/10/2010	10/08/2010	352,219.00	347,969.00	4,250.00
95. ANNTAYLOR STORES CORPORATION	08/02/2010	10/08/2010	2,060.00	1,710.00	350.00
44. ANNTAYLOR STORES CORPORATION	07/30/2010	10/08/2010	954.00	767.00	187.00
66. DIAMOND FOODS INC COM	07/29/2010	10/11/2010	2,702.00	2,941.00	-239.00
132. EXPEDIA INC COMMON STOCK	04/07/2010	10/11/2010	3,775.00	3,334.00	441.00
88. NETLOGIC MICROSYSTEMS INC COM	07/29/2010	10/11/2010	2,401.00	2,638.00	-237.00
73. NETLOGIC MICROSYSTEMS INC COM	03/27/2010	10/11/2010	1,992.00	1,958.00	34.00
26. CF INDS HLDGS INC COM	04/19/2010	10/12/2010	3,032.00	2,298.00	734.00
18. CF INDS HLDGS INC COM	05/11/2010	10/12/2010	2,099.00	1,331.00	768.00
26. CF INDS HLDGS INC COM	05/11/2010	10/12/2010	3,032.00	1,922.00	1,110.00
215. VALEANT PHARMACEUTICA LS INTL INC CDA COM	03/30/2010	10/12/2010	5,863.00	3,623.00	2,240.00
121. EXPEDIA INC COMMON STOCK	03/31/2010	10/13/2010	3,437.00	2,949.00	488.00
18. EXPEDIA INC COMMON STOCK	03/31/2010	10/13/2010	511.00	439.00	72.00
110000. UNITED STATES TREAS NT DTD 06/30/10 0.625	09/07/2010	10/13/2010	110,532.00	110,491.00	41.00
25000. UNITED STATES TREAS NT DTD 06/30/10 0.625% DUE	09/28/2010	10/13/2010	25,121.00	25,103.00	18.00
24. ALEXION PHARMACEUTICAL S INC	08/27/2010	10/14/2010	1,612.00	1,377.00	235.00
35. ALEXION PHARMACEUTICAL S INC	08/02/2010	10/14/2010	2,350.00	1,957.00	393.00
6. ALEXION PHARMACEUTICALS INC	12/29/2009	10/14/2010	403.00	290.00	113.00
45. ALLIANCE DATA SYS CORP COM	02/19/2010	10/14/2010	3,081.00	2,857.00	224.00
13. BAIDU COM INC SPONSORED ADR SHS	08/02/2010	10/14/2010	1,267.00	1,105.00	162.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

THEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 46. BAIDU COM INC SPONSORED ADR SHS	08/16/2010	10/14/2010	4,485.00	3,845.00	640.00
61. BE AEROSPACE INC	08/02/2010	10/14/2010	1,993.00	1,832.00	161.00
43. BE AEROSPACE INC	08/27/2010	10/14/2010	1,405.00	1,183.00	222.00
111. BE AEROSPACE INC	12/18/2009	10/14/2010	3,627.00	2,540.00	1,087.00
101. BIG LOTS INC COM	04/30/2010	10/14/2010	3,296.00	4,136.00	-840.00
116. BIG LOTS INC COM	05/25/2010	10/14/2010	3,785.00	4,321.00	-536.00
84. BIG LOTS INC COM	05/14/2010	10/14/2010	2,741.00	3,034.00	-293.00
5421.11 BMW VEH LEASE TR 2009-1 ASSET BACKED NT CL A	05/10/2010	10/14/2010	5,421.00	5,500.00	-79.00
52. BORG WARNER INC	08/02/2010	10/14/2010	2,782.00	2,402.00	380.00
54. BORG WARNER INC	08/27/2010	10/14/2010	2,889.00	2,382.00	507.00
6. BORG WARNER INC	03/30/2010	10/14/2010	321.00	223.00	98.00
55. C H ROBINSON WORLDWIDE INC COM	08/02/2010	10/14/2010	3,955.00	3,636.00	319.00
202. C H ROBINSON WORLDWIDE INC COM	07/09/2010	10/14/2010	14,527.00	11,522.00	3,005.00
14. CABOT CORP	08/27/2010	10/14/2010	477.00	400.00	77.00
20. CHIPOTLE MEXICAN GRILL INC CL A COM	06/18/2010	10/14/2010	3,608.00	3,051.00	557.00
28. CITRIX SYSTEMS INC	09/14/2010	10/14/2010	1,640.00	1,640.00	
52. CITRIX SYSTEMS INC	09/14/2010	10/14/2010	3,046.00	3,046.00	
46. CLOROX COMPANY	08/02/2010	10/14/2010	3,133.00	2,982.00	151.00
12. CLOROX COMPANY	08/27/2010	10/14/2010	817.00	775.00	42.00
119. CLOROX COMPANY	05/14/2010	10/14/2010	8,105.00	7,593.00	512.00
36. COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A	09/14/2010	10/14/2010	2,335.00	2,305.00	30.00
86. DELTA AIR LINES INC DEL NEW COM	08/02/2010	10/14/2010	978.00	1,091.00	-113.00
617. DELTA AIR LINES INC DEL NEW COM	07/09/2010	10/14/2010	7,017.00	7,197.00	-180.00
57. DOLLAR THRIFTY AUTOMOTIVE GROUP	05/23/2010	10/14/2010	2,755.00	3,081.00	-326.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 24. FMC TECHNOLOGIES INC COM	08/02/2010	10/14/2010	1,708.00	1,559.00	149.00
209. FMC TECHNOLOGIES INC COM	03/02/2010	10/14/2010	14,872.00	11,997.00	2,875.00
30. F5 NETWORKS INC	08/02/2010	10/14/2010	2,852.00	2,672.00	180.00
67. F5 NETWORKS INC	05/14/2010	10/14/2010	6,368.00	4,687.00	1,681.00
60. F5 NETWORKS INC	12/18/2009	10/14/2010	5,703.00	3,002.00	2,701.00
33. GEN-PROBE INC COM	08/02/2010	10/14/2010	1,555.00	1,520.00	35.00
31. GEN-PROBE INC COM	12/18/2009	10/14/2010	1,461.00	1,331.00	130.00
58. ILLUMINA INC	08/02/2010	10/14/2010	2,802.00	2,641.00	161.00
394. LIMITED BRANDS INC COM	04/23/2010	10/14/2010	11,371.00	11,233.00	138.00
46. LIMITED BRANDS INC COM	08/02/2010	10/14/2010	1,328.00	1,203.00	125.00
10. LULULEMON ATHLETICA INC COM	08/02/2010	10/14/2010	463.00	416.00	47.00
115. LULULEMON ATHLETICA INC COM	05/27/2010	10/14/2010	5,322.00	4,685.00	637.00
114. NORDSTROM INCORPORATE D	08/16/2010	10/14/2010	4,314.00	3,428.00	886.00
97.5 OLD DOMINION FREIGHT LINE INC COM	08/02/2010	10/14/2010	2,512.00	2,528.00	-16.00
21. PANERA BREAD COMPANY CL A 00	08/02/2010	10/14/2010	1,923.00	1,640.00	283.00
15. PANERA BREAD COMPANY CL A 00	07/09/2010	10/14/2010	1,374.00	1,138.00	236.00
45. RED HAT INC 00	08/27/2010	10/14/2010	1,773.00	1,585.00	188.00
83. RED HAT INC 00	08/02/2010	10/14/2010	3,270.00	2,708.00	562.00
222. TIBCO SOFTWARE INC COM	08/27/2010	10/14/2010	4,033.00	3,167.00	866.00
344. TIBCO SOFTWARE INC COM	08/27/2010	10/14/2010	6,249.00	4,886.00	1,363.00
78. TIBCO SOFTWARE INC COM	08/02/2010	10/14/2010	1,417.00	1,090.00	327.00
451. TRINA SOLAR LTD SPONSORED ADR	07/26/2010	10/14/2010	13,812.00	10,942.00	2,870.00
49. TRINA SOLAR LTD SPONSORED ADR	08/02/2010	10/14/2010	1,501.00	1,090.00	411.00
61. SEADRILL LTD COM	09/14/2010	10/14/2010	1,860.00	1,564.00	296.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 36. SEADRILL LTD COM	08/02/2010	10/14/2010	1,098.00	894.00	204.00
67. SEADRILL LTD COM	06/18/2010	10/14/2010	2,043.00	1,393.00	650.00
35. NOBLE CORP COM	08/02/2010	10/14/2010	1,240.00	1,191.00	49.00
368. NOBLE CORP COM	07/26/2010	10/14/2010	13,038.00	11,934.00	1,104.00
13. CORE LABORATORIES N V COM	08/27/2010	10/14/2010	1,152.00	1,027.00	125.00
23. CORE LABORATORIES N V COM	08/02/2010	10/14/2010	2,039.00	1,806.00	233.00
3. CORE LABORATORIES N V COM	12/18/2009	10/14/2010	266.00	171.00	95.00
236. BLOCK H & R INC	01/15/2010	10/15/2010	2,827.00	5,345.00	-2,518.00
35. DIGITAL RIV INC	05/06/2010	10/15/2010	1,227.00	970.00	257.00
95000. UNITED STATES TREAS NT DTD 06/30/10 0.625% DUE	09/28/2010	10/15/2010	95,449.00	95,390.00	59.00
10. PLATINUM UNDERWRITERS HOLDINGS LTD	07/29/2010	10/15/2010	435.00	390.00	45.00
59. PLATINUM UNDERWRITERS HOLDINGS LTD	12/14/2009	10/15/2010	2,567.00	2,219.00	348.00
39. PLATINUM UNDERWRITERS HOLDINGS LTD	03/02/2010	10/15/2010	1,697.00	1,440.00	257.00
1682. CABOT OIL & GAS CORP CL A	03/08/2010	10/18/2010	51,924.00	70,047.00	-18,123.00
57. CABOT OIL & GAS CORP CL A	05/20/2010	10/18/2010	1,760.00	1,803.00	-43.00
120. CABOT OIL & GAS CORP CL A	09/28/2010	10/18/2010	3,704.00	3,428.00	276.00
160. CISCO SYSTEMS INCORPORATED	12/30/2009	10/18/2010	3,735.00	3,735.00	
610. CISCO SYSTEMS INCORPORATED	05/20/2010	10/18/2010	14,239.00	14,239.00	
157. CISCO SYSTEMS INCORPORATED	07/29/2010	10/18/2010	3,665.00	3,665.00	
1563. DR PEPPER SNAPPLE INC COM	05/19/2010	10/18/2010	53,758.00	59,062.00	-5,304.00
72. DR PEPPER SNAPPLE INC COM	07/29/2010	10/18/2010	2,476.00	2,688.00	-212.00
63. DR PEPPER SNAPPLE INC COM	05/20/2010	10/18/2010	2,167.00	2,341.00	-174.00
24. DR PEPPER SNAPPLE INC COM	09/28/2010	10/18/2010	825.00	852.00	-27.00
923. E M C CORP MASS	09/28/2010	10/18/2010	19,027.00	19,824.00	-797.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2010

Name of estate or trust
TTEE U/W OF LLOYD G. BALFOUR

Employer identification number
22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 340. E M C CORP MASS	07/29/2010	10/18/2010	7,009.00	6,805.00	204.00
1061. E M C CORP MASS	07/07/2010	10/18/2010	21,872.00	20,147.00	1,725.00
448. GENERAL ELEC CO	09/28/2010	10/18/2010	7,228.00	7,342.00	-114.00
42. GENERAL ELEC CO	07/29/2010	10/18/2010	678.00	679.00	-1.00
6651. GENERAL ELEC CO	07/07/2010	10/18/2010	107,308.00	96,681.00	10,627.00
107. GOODRICH B F CO	09/28/2010	10/18/2010	8,229.00	7,877.00	352.00
723. GOODRICH B F CO	07/07/2010	10/18/2010	55,603.00	48,820.00	6,783.00
278. LAS VEGAS SANDS CORP	09/28/2010	10/18/2010	10,720.00	9,879.00	841.00
1402. LAS VEGAS SANDS CORP	06/14/2010	10/18/2010	54,063.00	37,367.00	16,696.00
38. LAS VEGAS SANDS CORP	05/20/2010	10/18/2010	1,465.00	775.00	690.00
273. LENDER PROCESSING SVCS INC COM	01/26/2010	10/18/2010	7,234.00	11,392.00	-4,158.00
1811. MYLAN LABS INC	02/23/2010	10/18/2010	34,892.00	34,831.00	61.00
103. MYLAN LABS INC	05/20/2010	10/18/2010	1,984.00	1,984.00	
37. PEPSICO INCORPORATED	06/11/2010	10/18/2010	2,467.00	2,490.00	-23.00
109. PEPSICO INCORPORATED	09/28/2010	10/18/2010	7,267.00	7,268.00	-1.00
105. SALESFORCE COM INC COM	09/28/2010	10/18/2010	11,040.00	12,000.00	-960.00
6. SALESFORCE COM INC COM	07/29/2010	10/18/2010	631.00	589.00	42.00
69. SALESFORCE COM INC COM	04/19/2010	10/18/2010	7,255.00	5,695.00	1,560.00
38. SALESFORCE COM INC COM	05/20/2010	10/18/2010	3,996.00	3,049.00	947.00
34. SALESFORCE COM INC COM	12/30/2009	10/18/2010	3,575.00	2,500.00	1,075.00
51. SALESFORCE COM INC COM	11/30/2009	10/18/2010	5,362.00	3,171.00	2,191.00
193. SKYWORKS SOLUTIONS INC	07/29/2010	10/18/2010	4,182.00	3,530.00	652.00
233. TARGET CORP	09/28/2010	10/18/2010	12,561.00	12,717.00	-156.00
40. TARGET CORP	05/20/2010	10/18/2010	2,156.00	2,151.00	5.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

THEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 157. TARGET CORP	07/29/2010	10/18/2010	8,464.00	8,140.00	324.00
175. TARGET CORP	12/30/2009	10/18/2010	9,434.00	8,496.00	938.00
1131. TYCO ELECTRONICS LTD COM	05/04/2010	10/18/2010	34,791.00	36,095.00	-1,304.00
328. TYCO ELECTRONICS LTD COM	05/04/2010	10/18/2010	10,090.00	10,090.00	
449. EURAND NV COM	10/23/2009	10/18/2010	4,839.00	5,775.00	-936.00
129. EURAND NV COM	07/29/2010	10/18/2010	1,390.00	1,045.00	345.00
2962.02 VOLKSWAGEN AUTO LN ENHANCED TR SER 2008-2 CL A	05/07/2010	10/19/2010	2,962.00	3,077.00	-115.00
135000. UNITED STATES TREAS NT DTD 08/16/10 2.625	10/06/2010	10/21/2010	135,965.00	135,965.00	
137. HUNTSMAN CORP COM	05/28/2010	10/22/2010	1,824.00	1,393.00	431.00
90. HUNTSMAN CORP COM	05/27/2010	10/22/2010	1,198.00	901.00	297.00
229. KING PHARMACEUTICALS INC	04/23/2010	10/22/2010	3,243.00	2,382.00	861.00
420. KING PHARMACEUTICALS INC	05/04/2010	10/22/2010	5,947.00	4,020.00	1,927.00
132. ANNTAYLOR STORES CORPORATION	06/09/2010	10/25/2010	2,903.00	2,767.00	136.00
18. ANNTAYLOR STORES CORPORATION	07/29/2010	10/25/2010	396.00	307.00	89.00
87. RIVERBED TECHNOLOGY INC COM	09/14/2010	10/25/2010	4,893.00	3,835.00	1,058.00
12. RIVERBED TECHNOLOGY INC COM	07/29/2010	10/25/2010	675.00	441.00	234.00
.784 AON CORP	10/18/2010	10/27/2010	31.00	31.00	
59. BROCADE COMMUNICATIONS SYS INC NEW COM	12/28/2009	10/27/2010	362.00	362.00	
19. BROCADE COMMUNICATIONS SYS INC NEW COM	01/13/2010	10/27/2010	117.00	117.00	
87. BROCADE COMMUNICATIONS SYS INC NEW COM	01/31/2010	10/27/2010	534.00	534.00	
69. CVB FINL CORP	08/11/2010	10/27/2010	540.00	567.00	-27.00
1. KAYDON CORPORATION	11/23/2009	10/27/2010	34.00	34.00	
13. KAYDON CORPORATION	10/06/2010	10/27/2010	447.00	447.00	
7. PROASSURANCE CORP COM	05/26/2010	10/27/2010	403.00	408.00	-5.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).

► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 13. ZEBRA TECHNOLOGIES CORP CL A	05/25/2010	10/27/2010	464.00	338.00	126.00
59. ZORAN CORP	12/24/2009	10/27/2010	383.00	661.00	-278.00
93. ZORAN CORP	12/25/2009	10/27/2010	603.00	1,036.00	-433.00
39. ZORAN CORP	03/21/2010	10/27/2010	253.00	434.00	-181.00
20. ZORAN CORP	03/26/2010	10/27/2010	130.00	220.00	-90.00
42. ZORAN CORP	01/27/2010	10/27/2010	273.00	458.00	-185.00
138. ZORAN CORP	12/14/2009	10/27/2010	895.00	1,444.00	-549.00
153. ZORAN CORP	12/10/2009	10/27/2010	993.00	1,597.00	-604.00
184. ZORAN CORP	12/11/2009	10/27/2010	1,194.00	1,904.00	-710.00
59. ZORAN CORP	11/23/2009	10/27/2010	383.00	582.00	-199.00
123. ZORAN CORP	10/29/2009	10/27/2010	798.00	1,119.00	-321.00
45. XYRATEX LTD COM	10/01/2010	10/27/2010	709.00	647.00	62.00
50000. CITIGROUP INC SR NT	05/27/2010	10/28/2010	55,575.00	51,233.00	4,342.00
50000. CITIGROUP INC SR NT	05/27/2010	10/28/2010	55,575.00	50,910.00	4,665.00
45. WMS INDS INC COM	05/19/2010	10/28/2010	1,900.00	2,121.00	-221.00
27. AGNICO EAGLE MINES LTD COM	05/21/2010	10/29/2010	2,105.00	1,672.00	433.00
32. AGNICO EAGLE MINES LTD COM	04/23/2010	10/29/2010	2,495.00	1,967.00	528.00
67. AGNICO EAGLE MINES LTD COM	11/13/2009	10/29/2010	5,224.00	4,061.00	1,163.00
20. ALEXION PHARMACEUTICAL S INC	12/29/2009	10/29/2010	1,368.00	966.00	402.00
25. ALEXION PHARMACEUTICAL S INC	01/29/2010	10/29/2010	1,710.00	1,161.00	549.00
57. ALLIANCE DATA SYS CORP COM	03/12/2010	10/29/2010	3,471.00	3,558.00	-87.00
20. ALLIANCE DATA SYS CORP COM	02/19/2010	10/29/2010	1,218.00	1,270.00	-52.00
1. ALLIANCE DATA SYS CORP COM	02/19/2010	10/29/2010	61.00	61.00	
207. ALPHA NATURAL RESOURCES INC COM	01/15/2010	10/29/2010	9,337.00	10,014.00	-677.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 26. ALPHA NATURAL RESOURCES INC COM	08/02/2010	10/29/2010	1,173.00	1,039.00	134.00
89. ALPHA NATURAL RESOURCES INC COM	08/16/2010	10/29/2010	4,015.00	3,516.00	499.00
62. ALPHA NATURAL RESOURCES INC COM	07/09/2010	10/29/2010	2,797.00	2,343.00	454.00
33. ANALOG DEVICES INC	06/04/2010	10/29/2010	1,109.00	1,078.00	31.00
39. ANALOG DEVICES INC	04/09/2010	10/29/2010	1,311.00	1,272.00	39.00
59. ATLAS AIR WORLDWIDE HLDGS INC NEW COM	08/27/2010	10/29/2010	3,093.00	2,696.00	397.00
13. ATLAS AIR WORLDWIDE HLDGS INC NEW COM	08/16/2010	10/29/2010	682.00	591.00	91.00
56. BAIDU COM INC SPONSORED ADR SHS	08/16/2010	10/29/2010	6,156.00	4,681.00	1,475.00
265. BORG WARNER INC	03/30/2010	10/29/2010	14,892.00	9,870.00	5,022.00
223. CBS CORP CL B COM	08/16/2010	10/29/2010	3,787.00	3,141.00	646.00
37. CONCHO RES INC COM	08/02/2010	10/29/2010	2,541.00	2,293.00	248.00
79. CONCHO RES INC COM	08/16/2010	10/29/2010	5,425.00	4,741.00	684.00
7. CONCHO RES INC COM	11/13/2009	10/29/2010	481.00	290.00	191.00
217. EXPEDIA INC COMMON STOCK	03/31/2010	10/29/2010	6,252.00	5,289.00	963.00
4. FREEPORT-MCMORAN COPPER & GOLD INC COM	01/05/2010	10/29/2010	378.00	335.00	43.00
461. FREEPORT-MCMORAN COPPER & GOLD INC COM	03/01/2010	10/29/2010	43,574.00	35,156.00	8,418.00
182. HEWLETT PACKARD CO COM	12/30/2009	10/29/2010	7,656.00	9,617.00	-1,961.00
45. MSCI INC COM CL A	08/02/2010	10/29/2010	1,618.00	1,493.00	125.00
410. MSCI INC COM CL A	06/18/2010	10/29/2010	14,745.00	12,280.00	2,465.00
24. MARTIN MARIETTA MATLS INC	12/18/2009	10/29/2010	1,933.00	2,127.00	-194.00
18. MARTIN MARIETTA MATLS INC	08/02/2010	10/29/2010	1,450.00	1,583.00	-133.00
241. MCDONALDS CORP	01/28/2010	10/29/2010	18,708.00	15,197.00	3,511.00
342. MCDONALDS CORP	12/30/2009	10/29/2010	26,549.00	21,491.00	5,058.00
200. MERCADOLIBIRE INC COM	08/27/2010	10/29/2010	13,206.00	13,275.00	-69.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

JSA
0F1221 3 000

FT0560 L775 10/26/2011 07:25:26

515 -

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 79. SBA COMMUNICATIONS CORP	08/02/2010	10/29/2010	3,104.00	2,912.00	192.00
22. SBA COMMUNICATIONS CORP	11/13/2009	10/29/2010	864.00	687.00	177.00
16. TJX COMPANIES INCORPORATED NEW	08/02/2010	10/29/2010	735.00	672.00	63.00
69. TJX COMPANIES INCORPORATED NEW	03/02/2010	10/29/2010	3,171.00	2,876.00	295.00
57. TJX COMPANIES INCORPORATED NEW	01/29/2010	10/29/2010	2,620.00	2,178.00	442.00
39. URBAN OUTFITTERS INC COM	06/18/2010	10/29/2010	1,199.00	1,432.00	-233.00
290. URBAN OUTFITTERS INC COM	12/18/2009	10/29/2010	8,919.00	9,612.00	-693.00
84. URBAN OUTFITTERS INC COM	08/02/2010	10/29/2010	2,583.00	2,716.00	-133.00
133. XILINX INCOPORATED	07/26/2010	10/29/2010	3,571.00	3,571.00	
31. CORE LABORATORIES N V COM	12/18/2009	10/29/2010	2,422.00	1,764.00	658.00
17. VISTAPRINT NV COM	09/14/2010	10/29/2010	713.00	610.00	103.00
49. VISTAPRINT NV COM	09/14/2010	10/29/2010	2,055.00	1,755.00	300.00
5. VISTAPRINT NV COM	08/16/2010	10/29/2010	210.00	145.00	65.00
61. ROYAL CARIBBEAN CRUISES LTD	06/18/2010	10/29/2010	2,414.00	1,817.00	597.00
2180.68 FEDERAL HOME LN MTG CORP POOL #J10791	12/04/2009	10/31/2010	2,181.00	2,273.00	-92.00
33545.01 FEDERAL HOME LN MTG CORP POOL #A90580	07/26/2010	10/31/2010	33,545.00	36,208.00	-2,663.00
14607.25 FEDERAL NATL MTG ASSN POOL #995681	04/07/2010	10/31/2010	14,607.00	15,488.00	-881.00
1743.92 FEDERAL NATL MTG ASSN POOL #AB1500	09/14/2010	10/31/2010	1,744.00	1,798.00	-54.00
1290.91 FEDERAL NATL MTG ASSN POOL #AD7127	07/21/2010	10/31/2010	1,291.00	1,344.00	-53.00
4059.74 FEDERAL NATL MTG ASSN POOL #AD6942	06/25/2010	10/31/2010	4,060.00	4,304.00	-244.00
285.64 FEDERAL NATL MTG ASSN POOL #AE6599	09/14/2010	10/31/2010	286.00	286.00	
90. BE AEROSPACE INC	09/14/2010	11/03/2010	3,312.00	2,784.00	528.00
74. BE AEROSPACE INC	07/29/2010	11/03/2010	2,723.00	2,187.00	536.00
248. BRUKER CORP COM	09/14/2010	11/03/2010	3,664.00	3,428.00	236.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 3. BRUKER CORP COM	07/29/2010	11/03/2010	44.00	38.00	6.00
158. CARTER HLDGS INC COM	05/18/2010	11/03/2010	4,043.00	5,236.00	-1,193.00
15. DOLLAR THRIFTY AUTOMOTIVE GROUP	05/23/2010	11/03/2010	708.00	811.00	-103.00
35. DOLLAR THRIFTY AUTOMOTIVE GROUP	12/17/2009	11/03/2010	1,652.00	849.00	803.00
15. DOLLAR THRIFTY AUTOMOTIVE GROUP	12/08/2009	11/03/2010	708.00	329.00	379.00
120. QLOGIC CORP	03/10/2010	11/03/2010	2,092.00	2,340.00	-248.00
84. QLOGIC CORP	11/18/2009	11/03/2010	1,464.00	1,624.00	-160.00
340000. FNMA 30YR TBA DTD 11/01/09 4.000% DUE 11/15/3	10/08/2010	11/04/2010	352,976.00	351,263.00	1,713.00
287. CARDINAL HEALTH INCORPORATED	05/19/2010	11/04/2010	10,150.00	9,790.00	360.00
167. CARDINAL HEALTH INCORPORATED	05/07/2010	11/04/2010	5,906.00	5,683.00	223.00
111. CARDINAL HEALTH INCORPORATED	05/20/2010	11/04/2010	3,925.00	3,722.00	203.00
187. CARDINAL HEALTH INCORPORATED	09/28/2010	11/04/2010	6,613.00	6,178.00	435.00
3241. CARDINAL HEALTH INCORPORATED	02/08/2010	11/04/2010	114,616.00	105,148.00	9,468.00
160. CISCO SYSTEMS INCORPORATED	12/10/2009	11/04/2010	3,858.00	3,631.00	227.00
27. DEAN FOODS CO NEW COM	11/04/2009	11/04/2010	283.00	461.00	-178.00
83. DRESSER-RAND GROUP INC COM	04/09/2010	11/04/2010	3,061.00	2,804.00	257.00
52. DRESSER-RAND GROUP INC COM	04/08/2010	11/04/2010	1,918.00	1,742.00	176.00
2316. GAMESTOP CORP CL A COM	06/01/2010	11/04/2010	45,768.00	52,017.00	-6,249.00
12. GAMESTOP CORP CL A COM	12/30/2009	11/04/2010	237.00	266.00	-29.00
201. GAMESTOP CORP CL A COM	09/28/2010	11/04/2010	3,972.00	3,989.00	-17.00
138. GAMESTOP CORP CL A COM	07/29/2010	11/04/2010	2,727.00	2,733.00	-6.00
1326. HALLIBURTON CO	10/05/2010	11/04/2010	42,996.00	45,304.00	-2,308.00
246. HALLIBURTON CO	09/28/2010	11/04/2010	7,977.00	8,009.00	-32.00
754. HALLIBURTON CO	09/07/2010	11/04/2010	24,449.00	22,695.00	1,754.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 156. HALLIBURTON CO	09/07/2010	11/04/2010	5,058.00	4,661.00	397.00
350. INTERCONTINENTALEXCHANGE	06/01/2010	11/04/2010	39,754.00	41,206.00	-1,452.00
1. INTERCONTINENTALEXCHANGE	06/01/2010	11/04/2010	114.00	114.00	
61. INTERNATIONAL BUSINESS MACHS	12/27/2009	11/04/2010	8,900.00	8,266.00	634.00
114. INTERNATIONAL BUSINESS MACHS	09/28/2010	11/04/2010	16,633.00	15,379.00	1,254.00
162. INTERNATIONAL BUSINESS MACHS	05/19/2010	11/04/2010	23,636.00	20,875.00	2,761.00
627. OCCIDENTAL PETROLEUM CORPORATION	06/14/2010	11/04/2010	52,807.00	54,082.00	-1,275.00
136. TJX COMPANIES INCORPORATED NEW	09/28/2010	11/04/2010	6,373.00	6,148.00	225.00
142. TJX COMPANIES INCORPORATED NEW	04/26/2010	11/04/2010	6,654.00	6,378.00	276.00
696. TJX COMPANIES INCORPORATED NEW	05/19/2010	11/04/2010	32,613.00	29,985.00	2,628.00
38. TIME WARNER CABLE INC COM	09/28/2010	11/04/2010	2,375.00	2,072.00	303.00
662. TIME WARNER CABLE INC COM	08/23/2010	11/04/2010	41,372.00	35,463.00	5,909.00
137. TIME WARNER CABLE INC COM	08/23/2010	11/04/2010	8,562.00	7,332.00	1,230.00
23. FTI CONSULTING INC COM	12/08/2009	11/05/2010	780.00	1,052.00	-272.00
66. FTI CONSULTING INC COM	07/29/2010	11/05/2010	2,237.00	2,325.00	-88.00
15. OM GROUP INC	11/09/2009	11/05/2010	569.00	502.00	67.00
12. OM GROUP INC	09/21/2010	11/05/2010	455.00	359.00	96.00
97. PRESTIGE BRANDS HOLDINGS INC COM	05/04/2010	11/05/2010	1,086.00	960.00	126.00
23. PRESTIGE BRANDS HOLDINGS INC COM	05/12/2010	11/05/2010	257.00	228.00	29.00
24. SCHWEITZER-MAUDUIT INTL INC	11/13/2009	11/05/2010	1,576.00	1,512.00	64.00
10. SCHWEITZER-MAUDUIT INTL INC	11/14/2009	11/05/2010	657.00	628.00	29.00
90. SYNIVERSE HOLDINGS INC COM	11/03/2010	11/05/2010	2,737.00	2,744.00	-7.00
68. SYNIVERSE HOLDINGS INC COM	07/29/2010	11/05/2010	2,068.00	1,525.00	543.00
3946.68 DAIMLERCHRYSLER AUTO TR 2007-A ASSET BACKED	07/16/2010	11/07/2010	3,947.00	4,099.00	-152.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TEEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 59. PEGASYSTEMS INC COM	08/03/2010	11/08/2010	1,629.00	1,862.00	-233.00
115000. UNITED STATES TREAS NT DTD 06/30/10 0.625	09/28/2010	11/09/2010	115,431.00	115,431.00	
135000. UNITED STATES TREAS NT DTD 08/16/10 2.625	09/28/2010	11/09/2010	135,580.00	135,580.00	
221000. UNITED STATES TREAS NT DTD 08/16/10 2.625	10/06/2010	11/09/2010	221,949.00	221,949.00	
44000. UNITED STATES TREAS NT DTD 08/16/10 2.625% DUE	10/06/2010	11/09/2010	44,189.00	44,189.00	
93. AGA MED HLDGS INC COM	07/29/2010	11/10/2010	1,930.00	1,327.00	603.00
367. AGA MED HLDGS INC COM	06/28/2010	11/10/2010	7,616.00	5,069.00	2,547.00
140. DEAN FOODS CO NEW COM	02/11/2010	11/10/2010	1,089.00	2,022.00	-933.00
311. DEAN FOODS CO NEW COM	05/11/2010	11/10/2010	2,419.00	3,066.00	-647.00
187. FOREST LABS INC COM	09/08/2010	11/10/2010	6,170.00	5,474.00	696.00
8. ZEBRA TECHNOLOGIES CORP CL A	05/25/2010	11/11/2010	300.00	208.00	92.00
36. SIRONA DENTAL SYS INC COM	02/18/2010	11/12/2010	1,320.00	1,201.00	119.00
32. SIRONA DENTAL SYS INC COM	07/29/2010	11/12/2010	1,174.00	963.00	211.00
4014.85 BMW VEH LEASE TR 2009-1 ASSET BACKED NT CL A	05/10/2010	11/14/2010	4,015.00	4,073.00	-58.00
81. CLARCOR INC COM	07/29/2010	11/15/2010	3,240.00	3,009.00	231.00
31. COCA-COLA ENTERPRISES INC NEW COM	05/28/2010	11/15/2010	777.00	684.00	93.00
100. SYNIVERSE HOLDINGS INC COM	07/29/2010	11/15/2010	3,038.00	2,243.00	795.00
42. VANCEINFO TECHNOLOGIES INC ADR COM	07/29/2010	11/15/2010	1,468.00	1,044.00	424.00
52. VANCEINFO TECHNOLOGIES INC ADR COM	03/02/2010	11/15/2010	1,818.00	1,077.00	741.00
76. AMR CORPORATION DELAWARE	10/14/2010	11/16/2010	610.00	478.00	132.00
60. ADVANCED AUTO PTS INC COM	08/02/2010	11/16/2010	3,923.00	3,186.00	737.00
99. ADVANCED AUTO PTS INC COM	06/18/2010	11/16/2010	6,473.00	5,132.00	1,341.00
17. AKAMAI TECHNOLOGIES INC	08/27/2010	11/16/2010	790.00	802.00	-12.00
67. AKAMAI TECHNOLOGIES INC	08/02/2010	11/16/2010	3,115.00	2,505.00	610.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TEEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 14. AMERICAN TOWER SYSTEMS CORP CL A	08/27/2010	11/16/2010	707.00	662.00	45.00
1434. BOEING CO	10/18/2010	11/16/2010	89,624.00	101,278.00	-11,654.00
31. CAMERON INT'L CORP COM	10/14/2010	11/16/2010	1,353.00	1,316.00	37.00
329. CAVIUM NETWORKS INC COM	10/29/2010	11/16/2010	10,885.00	10,508.00	377.00
9. CLIFFS NAT RES INC COM	10/14/2010	11/16/2010	590.00	608.00	-18.00
41. CLOROX COMPANY	05/14/2010	11/16/2010	2,575.00	2,616.00	-41.00
9. COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A	09/14/2010	11/16/2010	556.00	576.00	-20.00
2. EOG RESOURCES INC	07/29/2010	11/16/2010	182.00	202.00	-20.00
51. EOG RESOURCES INC	05/20/2010	11/16/2010	4,636.00	5,016.00	-380.00
1034. EOG RESOURCES INC	03/08/2010	11/16/2010	93,993.00	100,498.00	-6,505.00
57. EOG RESOURCES INC	03/08/2010	11/16/2010	5,181.00	5,535.00	-354.00
69. EOG RESOURCES INC	09/28/2010	11/16/2010	6,272.00	6,289.00	-17.00
14. FASTENAL CO COM	10/14/2010	11/16/2010	716.00	735.00	-19.00
1. FASTENAL CO COM	10/14/2010	11/16/2010	51.00	51.00	
272299.33 FEDERAL NATL MTG ASSN POOL #AD7127	07/21/2010	11/16/2010	284,042.00	283,479.00	563.00
86. GOOGLE INC CL A	10/05/2010	11/16/2010	51,023.00	46,312.00	4,711.00
59. GOOGLE INC CL A	09/28/2010	11/16/2010	35,004.00	30,949.00	4,055.00
8. GOOGLE INC CL A	06/01/2010	11/16/2010	4,746.00	3,909.00	837.00
61. HEINZ H J CO	08/02/2010	11/16/2010	2,901.00	2,734.00	167.00
52. HEINZ H J CO	12/18/2009	11/16/2010	2,473.00	2,180.00	293.00
31. ITT INDUSTRIES INC	08/02/2010	11/16/2010	1,423.00	1,458.00	-35.00
79. KOHLS CORPORATION	09/28/2010	11/16/2010	4,002.00	4,186.00	-184.00
524. KOHLS CORPORATION	08/10/2010	11/16/2010	26,547.00	25,354.00	1,193.00
867. KOHLS CORPORATION	08/10/2010	11/16/2010	43,924.00	41,882.00	2,042.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo, day, yr.)	(c) Date sold (mo, day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 434. KOHLS CORPORATION	08/23/2010	11/16/2010	21,988.00	20,184.00	1,804.00
433. KOHLS CORPORATION	08/23/2010	11/16/2010	21,937.00	20,078.00	1,859.00
8. LABORATORY CORP AMER HLDGS COM NEW	08/02/2010	11/16/2010	647.00	599.00	48.00
42. LAS VEGAS SANDS CORP	08/02/2010	11/16/2010	1,936.00	1,174.00	762.00
97. LAS VEGAS SANDS CORP	07/09/2010	11/16/2010	4,472.00	2,255.00	2,217.00
51. LIFE TECHNOLOGIES CORP COM	10/14/2010	11/16/2010	2,526.00	2,378.00	148.00
173. MCGRAW HILL INCORPORATED	10/14/2010	11/16/2010	6,125.00	6,105.00	20.00
40. MEDNAX INC COM	02/17/2010	11/16/2010	2,363.00	2,166.00	197.00
367. NUVASIVE INC	07/26/2010	11/16/2010	8,883.00	12,506.00	-3,623.00
50. NUVASIVE INC	08/02/2010	11/16/2010	1,210.00	1,650.00	-440.00
34. PALL CORPORATION	10/14/2010	11/16/2010	1,461.00	1,484.00	-23.00
1. PALL CORPORATION	10/14/2010	11/16/2010	43.00	43.00	
60. REPUBLIC SERVICES INC CL A	07/29/2010	11/16/2010	1,672.00	1,922.00	-250.00
161. REPUBLIC SERVICES INC CL A	09/28/2010	11/16/2010	4,487.00	4,834.00	-347.00
3110. REPUBLIC SERVICES INC CL A	07/07/2010	11/16/2010	86,665.00	92,801.00	-6,136.00
2. SALESFORCE COM INC COM	08/02/2010	11/16/2010	222.00	204.00	18.00
49. SOHU COM INC COM	10/29/2010	11/16/2010	3,537.00	3,677.00	-140.00
138. TEMPUR PEDIC INTL INC	07/09/2010	11/16/2010	4,666.00	4,445.00	221.00
16. THERMO ELECTRON CORP	06/01/2010	11/16/2010	812.00	829.00	-17.00
131. THERMO ELECTRON CORP	05/20/2010	11/16/2010	6,647.00	6,718.00	-71.00
48. THERMO ELECTRON CORP	05/20/2010	11/16/2010	2,435.00	2,439.00	-4.00
107. THERMO ELECTRON CORP	09/28/2010	11/16/2010	5,429.00	5,123.00	306.00
526. TIME WARNER CABLE INC COM	08/23/2010	11/16/2010	32,841.00	28,150.00	4,691.00
135000. UNITED STATES TREAS NT DTD 06/30/10 0.625	09/28/2010	11/16/2010	135,374.00	135,374.00	

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 115000. UNITED STATES TREAS NT DTD 06/30/10 0.625	09/28/2010	11/16/2010	115,319.00	115,319.00	
22. WMS INDS INC COM	08/02/2010	11/16/2010	934.00	901.00	33.00
47. WMS INDS INC COM	07/09/2010	11/16/2010	1,996.00	1,875.00	121.00
144. WMS INDS INC COM	08/16/2010	11/16/2010	6,116.00	5,442.00	674.00
231. WMS INDS INC COM	01/29/2010	11/16/2010	9,810.00	8,595.00	1,215.00
519. FRONTLINE LTD COM	10/14/2010	11/16/2010	14,357.00	14,519.00	-162.00
86. DRESSER-RAND GROUP INC COM	04/08/2010	11/17/2010	3,270.00	2,881.00	389.00
67. DRESSER-RAND GROUP INC COM	03/18/2010	11/17/2010	2,548.00	2,175.00	373.00
9. DRESSER-RAND GROUP INC COM	03/18/2010	11/17/2010	342.00	292.00	50.00
512146.85 FEDERAL HOME LN MTG CORP POOL #A90580	07/26/2010	11/17/2010	548,157.00	552,799.00	-4,642.00
65000. UNITED STATES TREAS NT DTD 06/30/10 0.625% DUE	09/21/2010	11/17/2010	65,208.00	65,367.00	-159.00
35000. UNITED STATES TREAS NT DTD 06/30/10 0.625% DUE	09/21/2010	11/17/2010	35,112.00	35,112.00	
15000. WACHOVIA BK COML MTG TR 2005-C22 COML MTG PA	09/22/2010	11/18/2010	16,223.00	16,430.00	-207.00
15000. UNITED STATES TREAS NT DTD 06/30/10 0.625% DUE	09/21/2010	11/19/2010	15,044.00	15,085.00	-41.00
135000. UNITED STATES TREAS NT DTD 06/30/10 0.625	09/21/2010	11/19/2010	135,395.00	135,724.00	-329.00
210000. UNITED STATES TREAS NT DTD 06/30/10 0.625	09/28/2010	11/19/2010	210,615.00	210,862.00	-247.00
3574.38 VOLKSWAGEN AUTO LN ENHANCED TR SER 2008-2 CL A	05/07/2010	11/19/2010	3,574.00	3,713.00	-139.00
116. ANNTAYLOR STORES CORPORATION	07/30/2010	11/22/2010	2,989.00	2,023.00	966.00
161. DEL MONTE FOODS CO	08/30/2010	11/22/2010	2,781.00	2,114.00	667.00
83. J CREW GROUP INC COM	11/18/2010	11/24/2010	3,623.00	3,034.00	589.00
29. J CREW GROUP INC COM	11/19/2010	11/24/2010	1,266.00	1,056.00	210.00
169. QUICKSILVER RES INC	07/29/2010	11/24/2010	2,463.00	2,146.00	317.00
235. SKYWORKS SOLUTIONS INC	12/16/2009	11/24/2010	6,102.00	3,287.00	2,815.00
29. SKYWORKS SOLUTIONS INC	07/29/2010	11/24/2010	750.00	530.00	220.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust THEE U/W OF LLOYD G. BALFOUR	Employer identification number 22-2751372
--	---

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 411. AIRTRAN HLDGS INC COM	07/29/2010	11/26/2010	3,054.00	1,960.00	1,094.00
.5 WASTE CONNECTIONS INC COM	07/29/2010	11/26/2010	13.00	13.00	
633. BOEING CO	10/18/2010	11/29/2010	40,547.00	44,706.00	-4,159.00
159. CELGENE CORP	09/28/2010	11/29/2010	9,451.00	9,213.00	238.00
77. CELGENE CORP	05/20/2010	11/29/2010	4,577.00	4,384.00	193.00
30. CELGENE CORP	12/30/2009	11/29/2010	1,783.00	1,694.00	89.00
117. MEDICIS PHARMACEUTICA L CORP CL A	09/28/2010	11/29/2010	3,072.00	3,507.00	-435.00
1629. MEDICIS PHARMACEUTIC AL CORP CL A	09/20/2010	11/29/2010	42,769.00	48,488.00	-5,719.00
81. MEDICIS PHARMACEUTICAL CORP CL A	09/20/2010	11/29/2010	2,127.00	2,127.00	
652. MEDICIS PHARMACEUTICA L CORP CL A	09/20/2010	11/29/2010	17,118.00	19,309.00	-2,191.00
109. MEDICIS PHARMACEUTICA L CORP CL A	09/20/2010	11/29/2010	2,862.00	2,862.00	
629. TJX COMPANIES INCORPORATED NEW	05/19/2010	11/29/2010	28,287.00	27,098.00	1,189.00
1509. WAL-MART STORES INCORPORATED	11/30/2009	11/29/2010	80,763.00	81,932.00	-1,169.00
20. WAL-MART STORES INCORPORATED	12/30/2009	11/29/2010	1,070.00	1,085.00	-15.00
218. WAL-MART STORES INCORPORATED	09/28/2010	11/29/2010	11,667.00	11,753.00	-86.00
74. INGERSOLL-RAND CO LTD COM	07/29/2010	11/29/2010	3,026.00	2,776.00	250.00
111. INGERSOLL-RAND CO LTD COM	05/20/2010	11/29/2010	4,539.00	3,975.00	564.00
137. INGERSOLL-RAND CO LTD COM	09/28/2010	11/29/2010	5,602.00	4,838.00	764.00
1338. INGERSOLL-RAND CO LTD COM	02/23/2010	11/29/2010	54,709.00	43,272.00	11,437.00
17. ABERCROMBIE & FITCH CO CL A	05/07/2010	11/30/2010	840.00	675.00	165.00
3422.15 FEDERAL HOME LN MTG CORP POOL #J10791	12/04/2009	11/30/2010	3,422.00	3,567.00	-145.00
11545.74 FEDERAL HOME LN MTG CORP POOL #A90580	07/26/2010	11/30/2010	11,546.00	12,462.00	-916.00
13886.18 FEDERAL NATL MTG ASSN POOL #888817	11/16/2010	11/30/2010	13,886.00	15,017.00	-1,131.00
10268.97 FEDERAL NATL MTG ASSN POOL #995681	04/07/2010	11/30/2010	10,269.00	10,888.00	-619.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TEEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 4910.2 FEDERAL NATL MTG ASSN POOL #AB1500	09/14/2010	11/30/2010	4,910.00	5,063.00	-153.00
6077.08 FEDERAL NATL MTG ASSN POOL #AD7127	07/21/2010	11/30/2010	6,077.00	6,327.00	-250.00
3907.72 FEDERAL NATL MTG ASSN POOL #AD6942	06/25/2010	11/30/2010	3,908.00	4,143.00	-235.00
376.21 FEDERAL NATL MTG ASSN POOL #AE6599	09/14/2010	11/30/2010	376.00	377.00	-1.00
27. GREAT PLAINS ENERGY INC COM	11/16/2010	11/30/2010	501.00	500.00	1.00
35. HUNTSMAN CORP COM	05/27/2010	11/30/2010	528.00	350.00	178.00
6. HUNTSMAN CORP COM	06/01/2010	11/30/2010	90.00	59.00	31.00
8. KEY ENERGY GROUP INC 00	10/29/2010	11/30/2010	81.00	79.00	2.00
8. KEY ENERGY GROUP INC 00	05/08/2010	11/30/2010	81.00	90.00	-9.00
32. KEY ENERGY GROUP INC 00	05/08/2010	11/30/2010	325.00	325.00	
14. OM GROUP INC	09/21/2010	11/30/2010	525.00	419.00	106.00
37. PRESTIGE BRANDS HOLDINGS INC COM	05/12/2010	11/30/2010	436.00	366.00	70.00
11. TELEFLEX INCORPORATED	07/23/2010	11/30/2010	550.00	550.00	
45. ABERCROMBIE & FITCH CO CL A	05/07/2010	12/01/2010	2,257.00	1,787.00	470.00
1. ABERCROMBIE & FITCH CO CL A	12/08/2009	12/01/2010	50.00	35.00	15.00
270. SELECT MED HLDGS CORP COM	07/29/2010	12/01/2010	1,671.00	1,747.00	-76.00
55. SOTHEBYS HLDGS INC CL A	04/23/2010	12/01/2010	2,202.00	2,126.00	76.00
22. SOTHEBYS HLDGS INC CL A	03/24/2010	12/01/2010	881.00	704.00	177.00
133. ARUBA NETWORKS INC COM	07/29/2010	12/02/2010	3,043.00	2,362.00	681.00
46. BAYERISCHE MOTOREN WERKE A G ADR	04/06/2010	12/02/2010	1,251.00	724.00	527.00
374. BAYERISCHE MOTOREN WERKE A G ADR	01/29/2010	12/02/2010	10,173.00	5,313.00	4,860.00
189. OCWEN FINL CORP NEW COM	07/29/2010	12/02/2010	1,677.00	1,954.00	-277.00
208. OCWEN FINL CORP NEW COM	12/08/2009	12/02/2010	1,846.00	1,954.00	-108.00
16. ABERCROMBIE & FITCH CO CL A	12/08/2009	12/03/2010	892.00	562.00	330.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 42. ABERCROMBIE & FITCH CO CL A	01/14/2010	12/03/2010	2,340.00	1,386.00	954.00
44. NUVASIVE INC	03/10/2010	12/03/2010	1,016.00	1,929.00	-913.00
41. NUVASIVE INC	06/03/2010	12/03/2010	947.00	1,634.00	-687.00
72. NUVASIVE INC	07/29/2010	12/03/2010	1,663.00	2,344.00	-681.00
203. SYNIVERSE HOLDINGS INC COM	01/14/2010	12/03/2010	6,236.00	3,664.00	2,572.00
17. BELDEN INC COM	06/28/2010	12/06/2010	614.00	414.00	200.00
6. BELDEN INC COM	07/29/2010	12/06/2010	217.00	142.00	75.00
6. BERRY PETE CO CL A	01/19/2010	12/06/2010	241.00	175.00	66.00
31. BERRY PETE CO CL A	06/28/2010	12/06/2010	1,247.00	887.00	360.00
14. BERRY PETE CO CL A	12/22/2009	12/06/2010	563.00	400.00	163.00
54. DEL MONTE FOODS CO	08/30/2010	12/06/2010	1,011.00	709.00	302.00
3. DEL MONTE FOODS CO	08/31/2010	12/06/2010	56.00	39.00	17.00
88. HUNTSMAN CORP COM	06/01/2010	12/06/2010	1,379.00	868.00	511.00
25. LENDER PROCESSING SVCS INC COM	01/26/2010	12/06/2010	725.00	1,043.00	-318.00
112. LENDER PROCESSING SVCS INC COM	03/19/2010	12/06/2010	3,248.00	4,620.00	-1,372.00
4. LENDER PROCESSING SVCS INC COM	03/11/2010	12/06/2010	116.00	163.00	-47.00
107. LENDER PROCESSING SVCS INC COM	03/11/2010	12/06/2010	3,103.00	4,360.00	-1,257.00
18. LENDER PROCESSING SVCS INC COM	02/09/2010	12/06/2010	522.00	695.00	-173.00
35. LENDER PROCESSING SVCS INC COM	02/09/2010	12/06/2010	1,015.00	1,351.00	-336.00
25. LENDER PROCESSING SVCS INC COM	02/09/2010	12/06/2010	725.00	965.00	-240.00
16. SWIFT ENERGY CO COM	11/30/2010	12/06/2010	654.00	580.00	74.00
24. SWIFT ENERGY CO COM	06/28/2010	12/06/2010	980.00	722.00	258.00
7. SWIFT ENERGY CO COM	06/10/2010	12/06/2010	286.00	210.00	76.00
29. BELDEN INC COM	07/29/2010	12/07/2010	1,058.00	686.00	372.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

JSA
0F1221 3 000

FT0560 L775 10/26/2011 07:25:26

525 -

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

THEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 55. CF INDS HLDGS INC COM	05/11/2010	12/07/2010	6,875.00	4,067.00	2,808.00
119. ANNTAYLOR STORES CORPORATION	07/29/2010	12/08/2010	3,089.00	2,031.00	1,058.00
515. COLDWATER CREEK INC COM	09/14/2010	12/08/2010	1,411.00	2,545.00	-1,134.00
273. COLDWATER CREEK INC COM	07/29/2010	12/08/2010	748.00	1,103.00	-355.00
9565.69 DAIMLERCHRYSLER AUTO TR 2007-A ASSET BACKED	07/16/2010	12/08/2010	9,566.00	9,935.00	-369.00
91. FLOWERS FOODS INC COM	06/17/2010	12/08/2010	2,359.00	2,316.00	43.00
93. FLOWERS FOODS INC COM	07/29/2010	12/08/2010	2,410.00	2,251.00	159.00
2. SKYWORKS SOLUTIONS INC	12/16/2009	12/08/2010	55.00	28.00	27.00
75. SKYWORKS SOLUTIONS INC	12/10/2009	12/08/2010	2,044.00	1,040.00	1,004.00
135000. UNITED STATES TREAS BD DTD 02/15/10 4.625	07/08/2010	12/08/2010	139,197.00	139,197.00	
808. ADVANCED MICRO DEVICES INC	11/16/2010	12/09/2010	6,407.00	6,060.00	347.00
2. AGILENT TECHNOLOGIES INC	11/17/2010	12/09/2010	76.00	70.00	6.00
65. AGILENT TECHNOLOGIES INC	10/14/2010	12/09/2010	2,471.00	2,220.00	251.00
340000. FNMA 30YR TBA DTD 12/01/09 4.000% DUE 12/14/3	11/04/2010	12/09/2010	339,867.00	351,794.00	-11,927.00
11. AMERICAN TOWER SYSTEMS CORP CL A	08/27/2010	12/09/2010	559.00	520.00	39.00
69. AMERICAN TOWER SYSTEMS CORP CL A	08/02/2010	12/09/2010	3,507.00	3,225.00	282.00
52. AMERICAN TOWER SYSTEMS CORP CL A	12/18/2009	12/09/2010	2,643.00	2,186.00	457.00
1. ANALOG DEVICES INC	11/17/2010	12/09/2010	38.00	34.00	4.00
18. ANALOG DEVICES INC	04/09/2010	12/09/2010	687.00	587.00	100.00
52. ANALOG DEVICES INC	04/23/2010	12/09/2010	1,984.00	1,592.00	392.00
427. ATHEROS COMMUNICATION S INC COM	10/29/2010	12/09/2010	14,932.00	13,267.00	1,665.00
12. BAIDU COM INC SPONSORED ADR SHS	08/16/2010	12/09/2010	1,297.00	1,003.00	294.00
134. BAIDU COM INC SPONSORED ADR SHS	07/26/2010	12/09/2010	14,480.00	10,755.00	3,725.00
19. BE AEROSPACE INC	07/29/2010	12/09/2010	719.00	561.00	158.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 2. BUCYRUS INTL INC NEW COM	11/17/2010	12/09/2010	178.00	179.00	-1.00
22. BUCYRUS INTL INC NEW COM	01/06/2010	12/09/2010	1,962.00	1,677.00	285.00
69. BUCYRUS INTL INC NEW COM	01/20/2010	12/09/2010	6,153.00	4,909.00	1,244.00
94. BUCYRUS INTL INC NEW COM	01/07/2010	12/09/2010	8,383.00	6,615.00	1,768.00
3. BUCYRUS INTL INC NEW COM	01/31/2010	12/09/2010	268.00	210.00	58.00
32. BUCYRUS INTL INC NEW COM	02/11/2010	12/09/2010	2,854.00	2,128.00	726.00
11. BUCYRUS INTL INC NEW COM	12/19/2009	12/09/2010	981.00	720.00	261.00
57. BUCYRUS INTL INC NEW COM	02/17/2010	12/09/2010	5,083.00	3,507.00	1,576.00
110. CENTERPOINT ENERGY INC	08/02/2010	12/09/2010	1,731.00	1,598.00	133.00
908. CENTERPOINT ENERGY INC	06/18/2010	12/09/2010	14,285.00	12,714.00	1,571.00
5. CENTERPOINT ENERGY INC	11/17/2010	12/09/2010	79.00	79.00	
1. DEVRY INC DEL	11/17/2010	12/09/2010	44.00	46.00	-2.00
269. DEVRY INC DEL	10/14/2010	12/09/2010	11,828.00	11,244.00	584.00
1. HEINZ H J CO	11/17/2010	12/09/2010	49.00	48.00	1.00
1. HEINZ H J CO	12/18/2009	12/09/2010	49.00	42.00	7.00
33. INTERCONTINENTALEXCHAN GE	05/27/2010	12/09/2010	3,882.00	3,856.00	26.00
204. ITRON INC	10/29/2010	12/09/2010	11,287.00	12,343.00	-1,056.00
1. LANDSTAR SYSTEMS INCORPORATED	11/17/2010	12/09/2010	40.00	38.00	2.00
395. LANDSTAR SYSTEMS INCORPORATED	10/14/2010	12/09/2010	15,609.00	14,835.00	774.00
123. SOHU COM INC COM	10/29/2010	12/09/2010	9,591.00	9,229.00	362.00
1. TERADATA CORP DELAWARE COM	11/17/2010	12/09/2010	42.00	39.00	3.00
105. TERADATA CORP DELAWARE COM	07/26/2010	12/09/2010	4,410.00	3,519.00	891.00
39. TERADATA CORP DELAWARE COM	08/02/2010	12/09/2010	1,638.00	1,297.00	341.00
3. TERADATA CORP DELAWARE COM	08/27/2010	12/09/2010	126.00	97.00	29.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 328. MARVELL TECHNOLOGY GROUP LTD COM	03/02/2010	12/09/2010	6,486.00	6,788.00	-302.00
88. MARVELL TECHNOLOGY GROUP LTD COM	03/08/2010	12/09/2010	1,740.00	1,814.00	-74.00
263. MARVELL TECHNOLOGY GROUP LTD COM	03/12/2010	12/09/2010	5,201.00	5,313.00	-112.00
1. MARVELL TECHNOLOGY GROUP LTD COM	11/17/2010	12/09/2010	20.00	19.00	1.00
124. ACTUANT CORP COM CL A NEW	10/25/2010	12/10/2010	3,377.00	2,888.00	489.00
24. ACTUANT CORP COM CL A NEW	07/29/2010	12/10/2010	654.00	499.00	155.00
3. DIEBOLD INC	03/30/2010	12/10/2010	93.00	106.00	-13.00
65000. UNITED STATES TREAS NT DTD 08/16/10 2.625% DUE	09/01/2010	12/10/2010	61,613.00	61,613.00	
13000. UNITED STATES TREAS NT DTD 08/16/10 2.625% DUE	09/16/2010	12/10/2010	12,323.00	12,323.00	
23. BJ'S WHOLESALE CLUB INC	11/04/2010	12/13/2010	1,052.00	977.00	75.00
10. BJ'S WHOLESALE CLUB INC	12/21/2009	12/13/2010	457.00	332.00	125.00
489. CELGENE CORP	08/23/2010	12/13/2010	28,002.00	26,295.00	1,707.00
256. CELGENE CORP	08/23/2010	12/13/2010	14,659.00	13,763.00	896.00
2084. DRESSER-RAND GROUP INC COM	10/05/2010	12/13/2010	86,938.00	78,354.00	8,584.00
865. FRANKLIN RES INC COM	04/06/2010	12/13/2010	101,523.00	98,759.00	2,764.00
70. LIFEPOINT HOSPITALS INC	09/16/2010	12/13/2010	2,569.00	2,467.00	102.00
19. LULULEMON ATHLETICA INC COM	09/28/2010	12/13/2010	1,337.00	873.00	464.00
154. LULULEMON ATHLETICA INC COM	04/16/2010	12/13/2010	10,838.00	6,886.00	3,952.00
110. LULULEMON ATHLETICA INC COM	04/16/2010	12/13/2010	7,907.00	4,919.00	2,988.00
10. LULULEMON ATHLETICA INC COM	04/15/2010	12/13/2010	719.00	446.00	273.00
64. MCDONALDS CORP	09/28/2010	12/13/2010	4,958.00	4,770.00	188.00
24. MCDONALDS CORP	06/11/2010	12/13/2010	1,859.00	1,717.00	142.00
4. MCDONALDS CORP	07/29/2010	12/13/2010	310.00	278.00	32.00
1124. MCDONALDS CORP	02/08/2010	12/13/2010	87,078.00	71,194.00	15,884.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

JSA
0F1221 3 000

FT0560 L775 10/26/2011 07:25:26

528 -

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

THEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 103. MYLAN LABS INC	04/30/2010	12/13/2010	2,048.00	1,983.00	65.00
1767. MYLAN LABS INC	02/23/2010	12/13/2010	35,139.00	33,985.00	1,154.00
212. MYLAN LABS INC	09/28/2010	12/13/2010	4,216.00	3,979.00	237.00
1035. MYLAN LABS INC	08/23/2010	12/13/2010	20,582.00	18,200.00	2,382.00
1035. MYLAN LABS INC	08/23/2010	12/13/2010	20,582.00	18,194.00	2,388.00
185. MYLAN LABS INC	07/29/2010	12/13/2010	3,679.00	3,244.00	435.00
167. PACKAGING CORP OF AMERICA	07/29/2010	12/13/2010	4,466.00	4,013.00	453.00
113. PACKAGING CORP OF AMERICA	12/30/2009	12/13/2010	3,022.00	2,658.00	364.00
267. PACKAGING CORP OF AMERICA	09/28/2010	12/13/2010	7,140.00	6,220.00	920.00
833. PACKAGING CORP OF AMERICA	05/19/2010	12/13/2010	22,275.00	18,735.00	3,540.00
426000. UNITED STATES TREAS NT DTD 06/30/10 0.625	07/21/2010	12/13/2010	426,914.00	426,534.00	380.00
211000. UNITED STATES TREAS NT DTD 06/30/10 0.625	08/24/2010	12/13/2010	211,453.00	211,453.00	
35000. UNITED STATES TREAS NT DTD 06/30/10 0.625% DUE	09/13/2010	12/13/2010	35,075.00	35,075.00	
4000. UNITED STATES TREAS NT DTD 06/30/10 0.625% DUE	09/28/2010	12/13/2010	4,009.00	4,009.00	
179000. UNITED STATES TREAS NT DTD 06/30/10 0.625	08/24/2010	12/13/2010	179,384.00	179,384.00	
42. AAR CORP COM	11/05/2010	12/14/2010	1,133.00	1,008.00	125.00
7. AGL RESOURCES INC	02/28/2010	12/14/2010	250.00	250.00	
8. AGL RESOURCES INC	03/01/2010	12/14/2010	285.00	285.00	
26. AGL RESOURCES INC	03/26/2010	12/14/2010	928.00	928.00	
11. AGL RESOURCES INC	03/29/2010	12/14/2010	392.00	392.00	
20. AGL RESOURCES INC	11/15/2010	12/14/2010	714.00	714.00	
84. ACTUANT CORP COM CL A NEW	07/29/2010	12/14/2010	2,328.00	1,747.00	581.00
229. AMERICAN ELECTRIC POWER CO	01/04/2010	12/14/2010	8,253.00	7,987.00	266.00
303. AMERICAN ELECTRIC POWER CO	05/27/2010	12/14/2010	10,920.00	9,648.00	1,272.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 81. AMERICAN EAGLE OUTFITTERS NEW COM	12/03/2010	12/14/2010	1,222.00	1,222.00	
36. AMERICAN PUB ED INC COM	07/29/2010	12/14/2010	1,250.00	1,628.00	-378.00
42. AMERIGROUP CORP COM	12/03/2010	12/14/2010	1,923.00	1,889.00	34.00
95. AMSURG CORP COM	04/26/2010	12/14/2010	1,979.00	2,040.00	-61.00
27. ANCESTRY COM INC COM	12/08/2010	12/14/2010	774.00	774.00	
73. ANNTAYLOR STORES CORPORATION	07/29/2010	12/14/2010	1,986.00	1,246.00	740.00
17. ANNTAYLOR STORES CORPORATION	06/29/2010	12/14/2010	463.00	287.00	176.00
63. ANNTAYLOR STORES CORPORATION	07/30/2010	12/14/2010	1,710.00	1,099.00	611.00
38. APACHE CORPORATION	04/15/2010	12/14/2010	4,408.00	4,070.00	338.00
2. APACHE CORPORATION	02/07/2010	12/14/2010	232.00	209.00	23.00
140. APACHE CORPORATION	12/30/2009	12/14/2010	16,238.00	14,576.00	1,662.00
286. APACHE CORPORATION	04/26/2010	12/14/2010	33,173.00	29,625.00	3,548.00
138. APPLIED MICRO CIRCUITS CORP COM	08/10/2010	12/14/2010	1,431.00	1,676.00	-245.00
30. ARTHROCARE CORPORATION	03/19/2010	12/14/2010	935.00	852.00	83.00
35. ARUBA NETWORKS INC COM	07/29/2010	12/14/2010	782.00	622.00	160.00
36. ATHEROS COMMUNICATIONS INC COM	11/03/2010	12/14/2010	1,232.00	1,125.00	107.00
39. BJ'S WHOLESALE CLUB INC	12/21/2009	12/14/2010	1,754.00	1,296.00	458.00
69. BARNES GROUP INC COM	12/02/2010	12/14/2010	1,425.00	1,365.00	60.00
373. BHP BILLITON LIMITED ADR	06/15/2010	12/14/2010	33,823.00	25,307.00	8,516.00
3236. BMW VEH LEASE TR 2009-1 ASSET BACKED NT CL A	05/10/2010	12/14/2010	3,236.00	3,283.00	-47.00
500. BOEING CO	01/20/2010	12/14/2010	32,184.00	29,913.00	2,271.00
70. BOSTON PRIVATE FINL HLDGS INC COM	05/26/2010	12/14/2010	406.00	406.00	
17. BRADY W H CO CL A	11/30/2010	12/14/2010	566.00	522.00	44.00
315. BROCADE COMMUNICATION S SYS INC NEW COM	12/28/2009	12/14/2010	1,689.00	1,689.00	

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

JSA
0F1221 3 000

FT0560 L775 10/26/2011 07:25:26

530 -

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

THEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 66. BRUKER CORP COM	07/29/2010	12/14/2010	1,130.00	845.00	285.00
138. CVB FINL CORP	08/11/2010	12/14/2010	1,184.00	1,134.00	50.00
435. CVS CAREMARK CORP COM	06/15/2010	12/14/2010	14,772.00	13,977.00	795.00
4. CACI INTL INC CL A	02/27/2010	12/14/2010	212.00	207.00	5.00
1. CACI INTL INC CL A	03/06/2010	12/14/2010	53.00	51.00	2.00
2. CACI INTL INC CL A	03/16/2010	12/14/2010	106.00	101.00	5.00
13. CACI INTL INC CL A	03/09/2010	12/14/2010	690.00	657.00	33.00
2. CACI INTL INC CL A	04/09/2010	12/14/2010	106.00	97.00	9.00
147. CADENCE DESIGN SYSTEMS INCORPORATED	12/08/2010	12/14/2010	1,213.00	1,208.00	5.00
60. CALLAWAY GOLF CO	09/27/2010	12/14/2010	481.00	416.00	65.00
18. CARTER HLDGS INC COM	05/18/2010	12/14/2010	566.00	597.00	-31.00
9. CARTER HLDGS INC COM	06/02/2010	12/14/2010	283.00	270.00	13.00
8. CASEYS GEN STORES INC	12/09/2010	12/14/2010	331.00	333.00	-2.00
23. CASEYS GEN STORES INC	12/10/2010	12/14/2010	951.00	951.00	
44. CATALYST HEALTH SOLUTIONS INC COM	12/03/2010	12/14/2010	2,079.00	2,021.00	58.00
7. CATALYST HEALTH SOLUTIONS INC COM	06/17/2010	12/14/2010	331.00	275.00	56.00
27. CHEESECAKE FACTORY INCORPORATED	07/29/2010	12/14/2010	847.00	635.00	212.00
24. CHILDREN'S PLACE	10/25/2010	12/14/2010	1,213.00	1,068.00	145.00
18. CLEAN HBRS INC COM	11/03/2010	12/14/2010	1,435.00	1,325.00	110.00
16. CLEAN HBRS INC COM	08/10/2010	12/14/2010	1,274.00	1,020.00	254.00
8. CLEAN HBRS INC COM	08/27/2010	12/14/2010	637.00	490.00	147.00
22. CLEAN HBRS INC COM	05/06/2010	12/14/2010	1,752.00	1,341.00	411.00
10. COHERENT INC COM	08/27/2010	12/14/2010	447.00	373.00	74.00
26. COMTECH TELECOMMUNICAT IONS CP COM NEW	12/10/2010	12/14/2010	722.00	729.00	-7.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

JSA
0F1221 3 000

FT0560 L775 10/26/2011 07:25:26

531 -

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

THEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 11. COMTECH TELECOMMUNICAT IONS CP COM NEW	12/13/2010	12/14/2010	306.00	309.00	-3.00
18. COMTECH TELECOMMUNICAT IONS CP COM NEW	12/13/2010	12/14/2010	500.00	500.00	
21. CONCUR TECHNOLOGIES INC COM	11/08/2010	12/14/2010	1,116.00	1,116.00	
955. CONOCOPHILLIPS COM	04/15/2010	12/14/2010	62,549.00	54,540.00	8,009.00
48. COOPER TIRE & RUBBER COMPANY	11/30/2010	12/14/2010	1,141.00	995.00	146.00
46. COOPER TIRE & RUBBER COMPANY	03/08/2010	12/14/2010	1,094.00	858.00	236.00
30. CUBIST PHARMACEUTICALS INC 00	07/28/2010	12/14/2010	658.00	658.00	
7. CYTEC INDUSTRIES INCORPORATED	11/22/2010	12/14/2010	358.00	339.00	19.00
22. CYTEC INDUSTRIES INCORPORATED	10/25/2010	12/14/2010	1,125.00	1,125.00	
131. DANA HLDG CORP	03/26/2010	12/14/2010	2,234.00	1,634.00	600.00
16. DANA HLDG CORP	07/29/2010	12/14/2010	273.00	184.00	89.00
63. DEL MONTE FOODS CO	08/31/2010	12/14/2010	1,184.00	826.00	358.00
9. DIAMOND FOODS INC COM	07/29/2010	12/14/2010	474.00	401.00	73.00
12. DIAMOND FOODS INC COM	03/10/2010	12/14/2010	632.00	513.00	119.00
16. DIGITAL RIV INC	11/30/2010	12/14/2010	612.00	594.00	18.00
9. DRIL-QUIP INC COM	09/16/2010	12/14/2010	739.00	518.00	221.00
28. EMPLOYERS HLDGS INC COM	10/27/2010	12/14/2010	476.00	448.00	28.00
8. EMULEX CORP COM NEW	05/26/2010	12/14/2010	94.00	88.00	6.00
103. EMULEX CORP COM NEW	10/25/2010	12/14/2010	1,208.00	1,128.00	80.00
24. ESTERLINE TECHNOLOGIES CORP	06/21/2010	12/14/2010	1,605.00	1,248.00	357.00
54. FXCM INC CL A COM	12/03/2010	12/14/2010	722.00	814.00	-92.00
39. FEDERATED INVS INC PA CL B	01/05/2010	12/14/2010	1,030.00	1,084.00	-54.00
42. FEDERATED INVS INC PA CL B	12/21/2009	12/14/2010	1,109.00	1,134.00	-25.00
48. FINISAR CORP COM	07/29/2010	12/14/2010	1,212.00	852.00	360.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

THEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 52. FIRSTMERIT CORP	05/19/2010	12/14/2010	1,000.00	994.00	6.00
64. FRONTIER OIL CORP COM	10/14/2010	12/14/2010	1,062.00	880.00	182.00
37. FRONTIER OIL CORP COM	02/03/2010	12/14/2010	614.00	490.00	124.00
32. G & K SVCS INC CL A	10/22/2010	12/14/2010	959.00	809.00	150.00
18. G & K SVCS INC CL A	10/15/2010	12/14/2010	539.00	436.00	103.00
84. GEO GROUP INC COM	09/14/2010	12/14/2010	2,099.00	1,965.00	134.00
147. GSI COMM INC COM	07/29/2010	12/14/2010	3,390.00	3,460.00	-70.00
168. GAMMON GOLD INC COM	12/14/2009	12/14/2010	1,384.00	1,932.00	-548.00
36. GAYLORD ENTMT CO NEW 00	07/29/2010	12/14/2010	1,224.00	1,056.00	168.00
39. GENESEE & WYO INC CL A COM	08/10/2010	12/14/2010	1,973.00	1,617.00	356.00
81. GRAFTECH INTL LTD COM	12/17/2009	12/14/2010	1,649.00	1,304.00	345.00
81. GREAT PLAINS ENERGY INC COM	11/16/2010	12/14/2010	1,567.00	1,501.00	66.00
11. GREAT PLAINS ENERGY INC COM	07/29/2010	12/14/2010	213.00	199.00	14.00
18. GREENHILL & CO INC COM	07/29/2010	12/14/2010	1,466.00	1,250.00	216.00
42. HAIN CELESTIAL GROUP INC	07/29/2010	12/14/2010	1,182.00	887.00	295.00
50. HEALTHSOUTH CORP NEW COM	07/26/2010	12/14/2010	991.00	921.00	70.00
26. HEALTHSOUTH CORP NEW COM	07/22/2010	12/14/2010	515.00	471.00	44.00
57. HESS CORP COM	06/15/2010	12/14/2010	4,220.00	3,155.00	1,065.00
7. HHGREGG INC COM	11/10/2010	12/14/2010	155.00	159.00	-4.00
66. HUNTSMAN CORP COM	06/01/2010	12/14/2010	1,040.00	651.00	389.00
16. HUNTSMAN CORP COM	06/07/2010	12/14/2010	252.00	149.00	103.00
24. ICF INTL INC COM	04/06/2010	12/14/2010	645.00	617.00	28.00
33. IBERIABANK CORP COM	03/04/2010	12/14/2010	1,887.00	1,905.00	-18.00
30. IGATE CAPITAL CORP COM	12/01/2010	12/14/2010	635.00	600.00	35.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TEEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 20. INNOPHOS HLDGS INC COM	11/02/2010	12/14/2010	703.00	750.00	-47.00
18. INNOPHOS HLDGS INC COM	11/01/2010	12/14/2010	633.00	668.00	-35.00
99. INSULET CORP COM	07/29/2010	12/14/2010	1,458.00	1,461.00	-3.00
1540. INTEL CORPORATION	12/30/2009	12/14/2010	32,940.00	31,600.00	1,340.00
41. INTERMEC INC	05/18/2010	12/14/2010	524.00	511.00	13.00
63. INTERMEC INC	05/13/2010	12/14/2010	804.00	784.00	20.00
59. INTERMEC INC	05/14/2010	12/14/2010	753.00	722.00	31.00
31. INTERNATIONAL SPEEDWAY CORP CL A	04/26/2010	12/14/2010	786.00	956.00	-170.00
96. INTERVAL LEISURE GROUP INC COM	11/18/2010	12/14/2010	1,632.00	1,547.00	85.00
72. INVESTORS BANCORP INC COM	06/23/2010	12/14/2010	942.00	984.00	-42.00
33. ITC HLDGS CORP COM	07/29/2010	12/14/2010	2,046.00	1,872.00	174.00
21. J CREW GROUP INC COM	11/19/2010	12/14/2010	919.00	765.00	154.00
135000. J P MORGAN CHASE COML MTG SECS 2002-CIBC4 CO	04/23/2010	12/14/2010	140,563.00	143,353.00	-2,790.00
40. KADANT INC COM	03/30/2010	12/14/2010	936.00	567.00	369.00
13. KAYDON CORPORATION	09/14/2010	12/14/2010	519.00	482.00	37.00
6. KAYDON CORPORATION	10/06/2010	12/14/2010	239.00	216.00	23.00
20. KAYDON CORPORATION	10/05/2010	12/14/2010	798.00	710.00	88.00
20. KAYDON CORPORATION	03/04/2010	12/14/2010	798.00	690.00	108.00
21. KAYDON CORPORATION	10/22/2010	12/14/2010	838.00	718.00	120.00
99. KEY ENERGY GROUP INC 00	10/29/2010	12/14/2010	1,138.00	980.00	158.00
110. KEY ENERGY GROUP INC 00	08/19/2010	12/14/2010	1,265.00	976.00	289.00
535. KIMBERLY CLARK CORP COM	06/15/2010	12/14/2010	33,105.00	33,705.00	-600.00
79. KIMBERLY CLARK CORP COM	05/25/2010	12/14/2010	4,888.00	4,774.00	114.00
135. KODIAK OIL & GAS CORP COM	04/06/2010	12/14/2010	829.00	531.00	298.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TEEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 33. KRATON PERFORMANCE POLYMERS INC COM	10/18/2010	12/14/2010	920.00	1,061.00	-141.00
87. LKQ CORP	07/29/2010	12/14/2010	2,018.00	1,754.00	264.00
33. LIFE TIME FITNESS INC COM STK	07/29/2010	12/14/2010	1,360.00	1,202.00	158.00
21. LIFEPOINT HOSPITALS INC	09/16/2010	12/14/2010	777.00	740.00	37.00
21. LIFEPOINT HOSPITALS INC	07/29/2010	12/14/2010	777.00	627.00	150.00
423. LINCOLN NATL CORP IND	06/15/2010	12/14/2010	11,842.00	11,764.00	78.00
36. LOGMEIN INC COM	07/29/2010	12/14/2010	1,639.00	1,005.00	634.00
15. LUFKIN INDS INC COM	11/16/2010	12/14/2010	879.00	713.00	166.00
41. LULULEMON ATHLETICA INC COM	04/15/2010	12/14/2010	2,778.00	1,827.00	951.00
2. LULULEMON ATHLETICA INC COM	04/15/2010	12/14/2010	136.00	89.00	47.00
25. LULULEMON ATHLETICA INC COM	04/15/2010	12/14/2010	1,722.00	1,114.00	608.00
43. LULULEMON ATHLETICA INC COM	04/15/2010	12/14/2010	2,986.00	1,916.00	1,070.00
63. LULULEMON ATHLETICA INC COM	04/15/2010	12/14/2010	4,382.00	2,807.00	1,575.00
117. MAKO SURGICAL CORP COM	07/29/2010	12/14/2010	1,787.00	1,258.00	529.00
37. MASTERCARD INC CL A COM	01/04/2010	12/14/2010	9,428.00	9,529.00	-101.00
66. MEDASSETS INC COM	06/17/2010	12/14/2010	1,281.00	1,281.00	
51. MEDICIS PHARMACEUTICAL CORP CL A	09/24/2010	12/14/2010	1,418.00	1,556.00	-138.00
58. MENS WEARHOUSE INC	12/01/2010	12/14/2010	1,424.00	1,424.00	
363. MERCK & CO INC NEW COM	12/30/2009	12/14/2010	13,268.00	13,420.00	-152.00
71. NATIONAL PENN BANCSHARES INC COM	09/27/2010	12/14/2010	552.00	456.00	96.00
547. NESTLE S A SPONSORED ADR	12/01/2010	12/14/2010	31,769.00	30,077.00	1,692.00
4. NETLOGIC MICROSYSTEMS INC COM	03/27/2010	12/14/2010	131.00	107.00	24.00
45. NICE SYS LTD SPONSORED ADR	03/26/2010	12/14/2010	1,481.00	1,458.00	23.00
60. NOVELLUS SYS INC	07/29/2010	12/14/2010	1,961.00	1,616.00	345.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part 1 Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 10. OM GROUP INC	09/21/2010	12/14/2010	388.00	299.00	89.00
35. OM GROUP INC	09/17/2010	12/14/2010	1,357.00	1,046.00	311.00
12. OPENTABLE INC COM	07/29/2010	12/14/2010	821.00	543.00	278.00
188. P G & E CORPORATION	12/30/2009	12/14/2010	8,949.00	8,526.00	423.00
55. PACKAGING CORP OF AMERICA	09/21/2010	12/14/2010	1,471.00	1,265.00	206.00
9. PANTRY INC COM	12/02/2010	12/14/2010	162.00	162.00	
123. PAREXEL INTL CORP COM	07/29/2010	12/14/2010	2,445.00	2,565.00	-120.00
63. PATRIOT COAL CORP COM	08/03/2010	12/14/2010	1,060.00	797.00	263.00
377. PEABODY ENERGY CORP COM	01/07/2010	12/14/2010	23,122.00	19,098.00	4,024.00
34. PRESTIGE BRANDS HOLDINGS INC COM	05/12/2010	12/14/2010	395.00	337.00	58.00
99. PRESTIGE BRANDS HOLDINGS INC COM	05/05/2010	12/14/2010	1,151.00	977.00	174.00
1. PROASSURANCE CORP COM	05/26/2010	12/14/2010	61.00	58.00	3.00
23. PROASSURANCE CORP COM	03/08/2010	12/14/2010	1,414.00	1,287.00	127.00
18. PROASSURANCE CORP COM	03/09/2010	12/14/2010	1,106.00	1,005.00	101.00
72. QLIK TECHNOLOGIES INC COM	12/09/2010	12/14/2010	1,726.00	1,666.00	60.00
39. QUICKSILVER RES INC	07/29/2010	12/14/2010	569.00	495.00	74.00
19. RTI INTERNATIONAL METALS	09/27/2010	12/14/2010	516.00	516.00	
37. RTI INTERNATIONAL METALS	10/22/2010	12/14/2010	1,004.00	1,004.00	
42. RBC BEARINGS INC COM	07/29/2010	12/14/2010	1,553.00	1,297.00	256.00
36. REALPAGE INC COM	12/08/2010	12/14/2010	1,020.00	1,035.00	-15.00
66. RESOURCES CONNECTION INC COM	04/06/2010	12/14/2010	1,249.00	1,238.00	11.00
24. RIVERBED TECHNOLOGY INC COM	07/29/2010	12/14/2010	827.00	441.00	386.00
54. ROCKWOOD HLDGS INC COM	11/03/2010	12/14/2010	2,261.00	1,864.00	397.00
33. ROSETTA RES INC COM	09/16/2010	12/14/2010	1,221.00	693.00	528.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 33. SRA INTL INC CL A COM	07/29/2010	12/14/2010	697.00	728.00	-31.00
42. STR HLDGS INC COM	04/29/2010	12/14/2010	846.00	846.00	
8. SCHWEITZER-MAUDUIT INTL INC	11/30/2010	12/14/2010	487.00	487.00	
57. SHUTTERFLY INC COM	07/29/2010	12/14/2010	1,933.00	1,442.00	491.00
1. SIGNATURE BANK COM	04/07/2010	12/14/2010	47.00	41.00	6.00
13. SIGNATURE BANK COM	05/26/2010	12/14/2010	612.00	524.00	88.00
7. SIGNATURE BANK COM	08/10/2010	12/14/2010	329.00	278.00	51.00
33. SILGAN HOLDINGS INC COM	09/14/2010	12/14/2010	1,151.00	1,058.00	93.00
36. SOLERA HLDGS INC COM	11/08/2010	12/14/2010	1,849.00	1,829.00	20.00
48. SOLUTIA INC NEW COM	11/26/2010	12/14/2010	1,099.00	1,054.00	45.00
99. SONIC CORP COM	10/28/2010	12/14/2010	952.00	896.00	56.00
29. SOTHEBYS HLDGS INC CL A	03/24/2010	12/14/2010	1,299.00	928.00	371.00
7. SOTHEBYS HLDGS INC CL A	08/10/2010	12/14/2010	314.00	212.00	102.00
42. STILLWATER MINING CO	12/10/2010	12/14/2010	900.00	842.00	58.00
26. SWIFT ENERGY CO COM	06/10/2010	12/14/2010	1,047.00	779.00	268.00
30. SYNOPSYS INC	11/11/2010	12/14/2010	806.00	761.00	45.00
47. SYNOPSYS INC	09/27/2010	12/14/2010	1,262.00	1,165.00	97.00
83. TCF FINANCIAL CORPORATION	10/22/2010	12/14/2010	1,252.00	1,159.00	93.00
40. TNS INC COM	04/26/2010	12/14/2010	820.00	1,104.00	-284.00
40. TNS INC COM	04/27/2010	12/14/2010	820.00	1,081.00	-261.00
8. TNS INC COM	04/23/2010	12/14/2010	164.00	216.00	-52.00
24. TALEO CORP COM CL A	11/08/2010	12/14/2010	716.00	775.00	-59.00
22. TELEDYNE TECHNOLOGIES INC	04/12/2010	12/14/2010	964.00	913.00	51.00
15. TELEDYNE TECHNOLOGIES INC	11/30/2010	12/14/2010	657.00	600.00	57.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 18. TELEDYNE TECHNOLOGIES INC	02/01/2010	12/14/2010	789.00	673.00	116.00
12. TELEFLEX INCORPORATED	08/18/2010	12/14/2010	653.00	634.00	19.00
3. TELEFLEX INCORPORATED	07/23/2010	12/14/2010	163.00	163.00	
12. TELEFLEX INCORPORATED	07/29/2010	12/14/2010	653.00	653.00	
11. TELEFLEX INCORPORATED	07/29/2010	12/14/2010	599.00	599.00	
12. TELEFLEX INCORPORATED	10/27/2010	12/14/2010	653.00	653.00	
20. TEREX CORP	05/30/2010	12/14/2010	558.00	444.00	114.00
2. TEREX CORP	06/28/2010	12/14/2010	56.00	42.00	14.00
69. TEREX CORP	06/09/2010	12/14/2010	1,924.00	1,368.00	556.00
28. TETRA TECH INC NEW COM	11/30/2010	12/14/2010	708.00	638.00	70.00
32. TETRA TECH INC NEW COM	02/01/2010	12/14/2010	809.00	705.00	104.00
42. THORATEC LABS CORP NEW	07/29/2010	12/14/2010	1,172.00	1,172.00	
1. TOWERS WATSON & CO CL A COM	01/01/2010	12/14/2010	53.00	52.00	1.00
9. TOWERS WATSON & CO CL A COM	04/23/2010	12/14/2010	474.00	460.00	14.00
33. TUPPERWARE CORP	09/14/2010	12/14/2010	1,567.00	1,430.00	137.00
36. ULTA SALON COSMETICS & FRAGRANCE INC COM	10/14/2010	12/14/2010	1,194.00	1,083.00	111.00
30. ULTIMATE SOFTWARE GROUP INC COM	11/08/2010	12/14/2010	1,408.00	1,279.00	129.00
114. UNION PAC CORP COM	12/30/2009	12/14/2010	10,384.00	7,399.00	2,985.00
30. UNITED NAT FOODS INC	10/14/2010	12/14/2010	1,096.00	1,091.00	5.00
21. UNITED THERAPEUTICS CORP	10/25/2010	12/14/2010	1,324.00	1,167.00	157.00
51. VALASSIS COMMUNICATION S INC	10/18/2010	12/14/2010	1,802.00	1,802.00	
24. VANCEINFO TECHNOLOGIES INC ADR COM	03/02/2010	12/14/2010	845.00	497.00	348.00
21. VITAMIN SHOPPE INC COM	12/02/2010	12/14/2010	688.00	621.00	67.00
251. WAL-MART STORES INCORPORATED	12/30/2009	12/14/2010	13,669.00	13,619.00	50.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TEEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 232. WAL-MART STORES INCORPORATED	10/29/2010	12/14/2010	12,635.00	12,465.00	170.00
21. WARNACO GROUP INC COM	12/02/2010	12/14/2010	1,183.00	1,162.00	21.00
60. WASTE CONNECTIONS INC COM	07/29/2010	12/14/2010	1,631.00	1,529.00	102.00
30. WATTS INDS INC CL A	11/11/2010	12/14/2010	1,059.00	985.00	74.00
2. WATTS INDS INC CL A	03/08/2010	12/14/2010	71.00	62.00	9.00
31. WESCO INTL INC	08/10/2010	12/14/2010	1,574.00	1,106.00	468.00
228. WHITNEY HOLDING CORPORATION	04/28/2010	12/14/2010	2,451.00	3,149.00	-698.00
58. WHITNEY HOLDING CORPORATION	04/28/2010	12/14/2010	624.00	624.00	
48. WILLIAMS SONOMA INCORPORATED	11/05/2010	12/14/2010	1,680.00	1,754.00	-74.00
21. WOODWARD GOVERNOR CO	07/29/2010	12/14/2010	803.00	637.00	166.00
39. WRIGHT EXPRESS CORP COM	07/29/2010	12/14/2010	1,760.00	1,289.00	471.00
66. WRIGHT MED GROUP INC COM	03/26/2010	12/14/2010	1,016.00	1,150.00	-134.00
59. ZIONS BANCORP	06/10/2010	12/14/2010	1,339.00	1,358.00	-19.00
56. ZIONS BANCORP	06/07/2010	12/14/2010	1,271.00	1,226.00	45.00
23. ENDURANCE SPECIALITY HLDGS LTD COM	07/03/2010	12/14/2010	1,065.00	938.00	127.00
17. ENDURANCE SPECIALITY HLDGS LTD COM	07/30/2010	12/14/2010	787.00	658.00	129.00
18. PLATINUM UNDERWRITERS HOLDINGS LTD	03/02/2010	12/14/2010	819.00	665.00	154.00
37. XYRATEX LTD COM	11/30/2010	12/14/2010	578.00	565.00	13.00
43. XYRATEX LTD COM	10/01/2010	12/14/2010	672.00	618.00	54.00
774. TYCO INTL LTD NEW F COM	12/30/2009	12/14/2010	32,469.00	27,794.00	4,675.00
37. AGCO CORP	09/14/2010	12/15/2010	1,772.00	1,444.00	328.00
2. AMR CORPORATION DELAWARE	11/17/2010	12/15/2010	16.00	16.00	
359. AMR CORPORATION DELAWARE	10/14/2010	12/15/2010	2,783.00	2,258.00	525.00
34. ADVANCED AUTO PTS INC COM	06/18/2010	12/15/2010	2,317.00	1,763.00	554.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

THEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 85. ADVANCED MICRO DEVICES INC	11/16/2010	12/15/2010	690.00	637.00	53.00
127. ADVANCED MICRO DEVICES INC	10/29/2010	12/15/2010	1,030.00	938.00	92.00
1. AFFILIATED MANAGERS GROUP INC	11/17/2010	12/15/2010	97.00	85.00	12.00
48. AFFILIATED MANAGERS GROUP INC	07/09/2010	12/15/2010	4,679.00	3,164.00	1,515.00
61. AGILENT TECHNOLOGIES INC	10/14/2010	12/15/2010	2,377.00	2,083.00	294.00
1. ALEXION PHARMACEUTICALS INC	11/17/2010	12/15/2010	79.00	73.00	6.00
31. ALEXION PHARMACEUTICAL S INC	01/29/2010	12/15/2010	2,442.00	1,439.00	1,003.00
63. ALLIANCE DATA SYS CORP COM	12/09/2010	12/15/2010	4,354.00	4,357.00	-3.00
36. AMETEK INC - NEW	08/02/2010	12/15/2010	2,188.00	1,613.00	575.00
17. AMETEK INC - NEW	08/27/2010	12/15/2010	1,033.00	743.00	290.00
1. ANSYS INC	11/17/2010	12/15/2010	53.00	48.00	5.00
31. ANSYS INC	08/02/2010	12/15/2010	1,630.00	1,418.00	212.00
1. ANSYS INC	01/29/2010	12/15/2010	53.00	42.00	11.00
1. ATLAS AIR WORLDWIDE HLDGS INC NEW COM	11/17/2010	12/15/2010	57.00	55.00	2.00
25. ATLAS AIR WORLDWIDE HLDGS INC NEW COM	08/16/2010	12/15/2010	1,430.00	1,137.00	293.00
61. ATMEL CORP	12/09/2010	12/15/2010	711.00	711.00	
79. ATMEL CORP	12/09/2010	12/15/2010	921.00	921.00	
63. AUTODESK INC (DEL)	12/09/2010	12/15/2010	2,444.00	2,400.00	44.00
54. BJ'S WHOLESALE CLUB INC	12/21/2009	12/15/2010	2,462.00	1,795.00	667.00
34. BJ'S WHOLESALE CLUB INC	01/11/2010	12/15/2010	1,550.00	1,117.00	433.00
36. BALLY TECHNOLOGIES INC COM	12/09/2010	12/15/2010	1,510.00	1,510.00	
25. BE AEROSPACE INC	12/18/2009	12/15/2010	940.00	572.00	368.00
69. BIG LOTS INC COM	05/14/2010	12/15/2010	1,940.00	1,940.00	
14. BIG LOTS INC COM	05/27/2010	12/15/2010	394.00	394.00	

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

JSA
0F1221 3 000

FT0560 L775 10/26/2011 07:25:26

540 -

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TEEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 39. BORG WARNER INC	03/30/2010	12/15/2010	2,641.00	1,453.00	1,188.00
2. BROOKDALE SENIOR LIVING INC COM	11/17/2010	12/15/2010	41.00	37.00	4.00
92. BROOKDALE SENIOR LIVING INC COM	12/18/2009	12/15/2010	1,868.00	1,692.00	176.00
2. CBS CORP CL B COM	11/17/2010	12/15/2010	36.00	32.00	4.00
75. CBS CORP CL B COM	08/16/2010	12/15/2010	1,334.00	1,056.00	278.00
41. CF INDS HLDGS INC COM	10/14/2010	12/15/2010	4,949.00	4,789.00	160.00
1. C H ROBINSON WORLDWIDE INC COM	11/17/2010	12/15/2010	78.00	71.00	7.00
26. C H ROBINSON WORLDWIDE INC COM	07/09/2010	12/15/2010	2,038.00	1,483.00	555.00
2. CABOT CORP	11/17/2010	12/15/2010	76.00	68.00	8.00
50. CABOT CORP	10/29/2010	12/15/2010	1,892.00	1,709.00	183.00
85. CAMERON INT'L CORP COM	10/14/2010	12/15/2010	4,178.00	3,607.00	571.00
50. CARMAX INC	10/14/2010	12/15/2010	1,732.00	1,467.00	265.00
62. CELANESE CORP DEL SER A COM	10/14/2010	12/15/2010	2,486.00	2,088.00	398.00
1. CERNER CORP	11/17/2010	12/15/2010	93.00	85.00	8.00
15. CERNER CORP	08/02/2010	12/15/2010	1,400.00	1,173.00	227.00
5. CERNER CORP	08/27/2010	12/15/2010	467.00	374.00	93.00
13. CHIPOTLE MEXICAN GRILL INC CL A COM	06/18/2010	12/15/2010	3,007.00	1,983.00	1,024.00
62. CINEMARK HLDGS INC COM	11/16/2010	12/15/2010	1,103.00	1,107.00	-4.00
1. CINEMARK HLDGS INC COM	11/17/2010	12/15/2010	18.00	18.00	
28. CITRIX SYSTEMS INC	08/15/2010	12/15/2010	1,930.00	2,077.00	-147.00
24. CITRIX SYSTEMS INC	09/29/2010	12/15/2010	1,654.00	1,728.00	-74.00
55. CLIFFS NAT RES INC COM	10/14/2010	12/15/2010	4,222.00	3,717.00	505.00
67. COACH INC COM	12/09/2010	12/15/2010	3,900.00	3,826.00	74.00
4. COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A	09/14/2010	12/15/2010	279.00	256.00	23.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

THEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 48. COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A	12/18/2009	12/15/2010	3,345.00	2,133.00	1,212.00
54. CONCUR TECHNOLOGIES INC COM	12/09/2010	12/15/2010	2,907.00	2,894.00	13.00
35. CONSOL ENERGY INC	12/09/2010	12/15/2010	1,502.00	1,539.00	-37.00
3. CONTINENTAL RES INC OKLAHOMA COM	11/17/2010	12/15/2010	173.00	148.00	25.00
25. CONTINENTAL RES INC OKLAHOMA COM	08/02/2010	12/15/2010	1,444.00	1,187.00	257.00
1. CONTINENTAL RES INC OKLAHOMA COM	07/26/2010	12/15/2010	58.00	47.00	11.00
68. COOPER TIRE & RUBBER COMPANY	08/02/2010	12/15/2010	1,621.00	1,519.00	102.00
38. COOPER TIRE & RUBBER COMPANY	06/18/2010	12/15/2010	906.00	788.00	118.00
12. CREE RESEARCH INC COM	07/26/2010	12/15/2010	798.00	900.00	-102.00
49. CROWN CASTLE INTL CORP	09/14/2010	12/15/2010	2,069.00	2,078.00	-9.00
1. CROWN CASTLE INTL CORP	09/14/2010	12/15/2010	42.00	42.00	
3. CROWN HLDGS INC COM 144A	11/17/2010	12/15/2010	101.00	93.00	8.00
93. CROWN HLDGS INC COM 144A	08/02/2010	12/15/2010	3,132.00	2,636.00	496.00
1. CROWN HLDGS INC COM 144A	08/27/2010	12/15/2010	34.00	28.00	6.00
118. CTRIP COM INTL LTD AMERICAN DEP SHS COM	10/29/2010	12/15/2010	4,902.00	4,902.00	
11. CUMMINS ENGINE INC	07/26/2010	12/15/2010	1,205.00	858.00	347.00
40. CUMMINS ENGINE INC	08/16/2010	12/15/2010	4,382.00	3,097.00	1,285.00
8. DENBURY RES INC NEW	11/17/2010	12/15/2010	153.00	147.00	6.00
57. DENBURY RES INC NEW	08/02/2010	12/15/2010	1,092.00	953.00	139.00
30. DENDREON CORP COM	08/02/2010	12/15/2010	1,093.00	1,029.00	64.00
2. DICK'S SPORTING GOODS INC	11/17/2010	12/15/2010	74.00	67.00	7.00
57. DICK'S SPORTING GOODS INC	06/18/2010	12/15/2010	2,099.00	1,616.00	483.00
3. DISCOVER FINL SVCS COM	11/17/2010	12/15/2010	58.00	55.00	3.00
88. DISCOVER FINL SVCS COM	08/02/2010	12/15/2010	1,691.00	1,383.00	308.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust
TTEE U/W OF LLOYD G. BALFOUR

Employer identification number
22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1. DISCOVER FINL SVCS COM	06/18/2010	12/15/2010	19.00	14.00	5.00
22. EQUINIX INC COM	10/29/2010	12/15/2010	1,865.00	1,858.00	7.00
3. EXPRESS SCRIPTS INC CL A	11/17/2010	12/15/2010	164.00	153.00	11.00
62. EXPRESS SCRIPTS INC CL A	08/02/2010	12/15/2010	3,384.00	2,873.00	511.00
1. FASTENAL CO COM	10/15/2010	12/15/2010	60.00	53.00	7.00
7. FASTENAL CO COM	10/14/2010	12/15/2010	417.00	367.00	50.00
28. FASTENAL CO COM	08/02/2010	12/15/2010	1,667.00	1,399.00	268.00
20. F5 NETWORKS INC	12/09/2010	12/15/2010	2,699.00	2,778.00	-79.00
28. GEN-PROBE INC COM	12/18/2009	12/15/2010	1,604.00	1,202.00	402.00
107. GENTEX CORP	10/29/2010	12/15/2010	3,149.00	2,148.00	1,001.00
90. GRAND CANYON ED INC COM	04/23/2010	12/15/2010	1,693.00	2,345.00	-652.00
3. GRAND CANYON ED INC COM	04/23/2010	12/15/2010	56.00	56.00	
66. GREEN MOUNTAIN COFFEE ROASTERS INC COM	12/09/2010	12/15/2010	2,142.00	2,469.00	-327.00
46. HANESBRANDS INC COM	01/11/2010	12/15/2010	1,185.00	1,203.00	-18.00
1. HANSEN NATURAL CORP COM	11/17/2010	12/15/2010	51.00	50.00	1.00
29. HANSEN NATURAL CORP COM	08/16/2010	12/15/2010	1,469.00	1,282.00	187.00
2. HERTZ GLOBAL HLDGS INC COM	11/17/2010	12/15/2010	29.00	24.00	5.00
191. HERTZ GLOBAL HLDGS INC COM	11/16/2010	12/15/2010	2,764.00	2,228.00	536.00
58. HUMAN GENOME SCIENCES INC	12/25/2009	12/15/2010	1,379.00	1,379.00	
15. HUMAN GENOME SCIENCES INC	05/22/2010	12/15/2010	357.00	357.00	
90. ICON PUB LTD CO SPONSORED ADR	08/02/2010	12/15/2010	1,939.00	2,140.00	-201.00
1. IHS INC CL A COM	11/17/2010	12/15/2010	79.00	72.00	7.00
32. IHS INC CL A COM	11/16/2010	12/15/2010	2,520.00	2,299.00	221.00
16. INTERCONTINENTALEXCHAN GE	05/27/2010	12/15/2010	1,872.00	1,870.00	2.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

THEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 113. INTERSIL CORP CL A	10/29/2010	12/15/2010	1,682.00	1,488.00	194.00
47. INTUIT INC	12/09/2010	12/15/2010	2,281.00	2,248.00	33.00
6. INTUITIVE SURGICAL INC	08/02/2010	12/15/2010	1,547.00	2,009.00	-462.00
135. JDS UNIPHASE CORP COM	12/09/2010	12/15/2010	1,894.00	1,814.00	80.00
41. JOY GLOBAL INC 00	12/09/2010	12/15/2010	3,517.00	3,210.00	307.00
66. KANSAS CITY SOUTHN INDS INC	08/16/2010	12/15/2010	3,231.00	2,271.00	960.00
45. LPL INVT HLDGS INC COM	12/09/2010	12/15/2010	1,510.00	1,611.00	-101.00
34. LABORATORY CORP AMER HLDGS COM NEW	08/02/2010	12/15/2010	2,936.00	2,544.00	392.00
2. LAMAR ADVERTISING CO CL A	11/17/2010	12/15/2010	76.00	69.00	7.00
40. LAMAR ADVERTISING CO CL A	08/02/2010	12/15/2010	1,528.00	1,124.00	404.00
1. LAS VEGAS SANDS CORP	11/17/2010	12/15/2010	43.00	46.00	-3.00
36. LAS VEGAS SANDS CORP	07/09/2010	12/15/2010	1,538.00	837.00	701.00
17. LEAR CORP NEW COM	05/03/2010	12/15/2010	1,624.00	1,389.00	235.00
1. LIFE TECHNOLOGIES CORP COM	11/17/2010	12/15/2010	56.00	50.00	6.00
34. LOGMEIN INC COM	12/09/2010	12/15/2010	1,528.00	1,577.00	-49.00
293. LULULEMON ATHLETICA INC COM	04/15/2010	12/15/2010	20,186.00	13,056.00	7,130.00
52. LULULEMON ATHLETICA INC COM	05/27/2010	12/15/2010	3,615.00	2,119.00	1,496.00
33. MASSEY ENERGY CORP COM	12/09/2010	12/15/2010	1,671.00	1,696.00	-25.00
104. MCDERMOTT INTERNATION AL INCORPORATED	08/27/2010	12/15/2010	2,058.00	1,352.00	706.00
2. MCGRAW HILL INCORPORATE D	11/17/2010	12/15/2010	73.00	71.00	2.00
58. MCGRAW HILL INCORPORATED	10/14/2010	12/15/2010	2,108.00	2,047.00	61.00
1. MEDNAX INC COM	11/17/2010	12/15/2010	66.00	60.00	6.00
1. MOODYS CORP COM	11/17/2010	12/15/2010	27.00	27.00	
57. MOODYS CORP COM	10/14/2010	12/15/2010	1,558.00	1,515.00	43.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 26. NII HLDGS INC COM	08/02/2010	12/15/2010	1,185.00	1,041.00	144.00
5. NII HLDGS INC COM	11/17/2010	12/15/2010	228.00	198.00	30.00
6. NII HLDGS INC COM	05/14/2010	12/15/2010	274.00	230.00	44.00
27. NAVISTAR INTERNATIONAL CORP NEW	12/09/2010	12/15/2010	1,594.00	1,571.00	23.00
53. NETAPP INC COM	10/14/2010	12/15/2010	2,808.00	2,654.00	154.00
10. NETFLIX.COM INC COM	10/14/2010	12/15/2010	1,790.00	1,519.00	271.00
57. NORDSTROM INCORPORATED	12/09/2010	12/15/2010	2,382.00	2,403.00	-21.00
71. OMNIVISION TECHNOLOGIE S INC COM	07/26/2010	12/15/2010	2,065.00	1,806.00	259.00
1. PALL CORPORATION	10/15/2010	12/15/2010	50.00	44.00	6.00
3. PALL CORPORATION	10/14/2010	12/15/2010	149.00	131.00	18.00
38. PALL CORPORATION	08/02/2010	12/15/2010	1,882.00	1,480.00	402.00
14. PANERA BREAD COMPANY CL A 00	07/09/2010	12/15/2010	1,477.00	1,062.00	415.00
4. PATTERSON COMPANIES INC	11/17/2010	12/15/2010	121.00	112.00	9.00
2. PRICE T ROWE GROUP INC COM	11/17/2010	12/15/2010	127.00	115.00	12.00
34. PRICE T ROWE GROUP INC COM	03/13/2010	12/15/2010	2,157.00	1,771.00	386.00
3. PRICE T ROWE GROUP INC COM	02/17/2010	12/15/2010	190.00	150.00	40.00
10. PRICELINE COM INC COM	10/14/2010	12/15/2010	4,035.00	3,476.00	559.00
36. RANGE RES CORP	12/09/2010	12/15/2010	1,510.00	1,534.00	-24.00
1. RED HAT INC 00	11/17/2010	12/15/2010	48.00	41.00	7.00
16. RED HAT INC 00	08/02/2010	12/15/2010	765.00	522.00	243.00
72. RED HAT INC 00	04/23/2010	12/15/2010	3,442.00	2,261.00	1,181.00
30. REGAL BELOIT CORP	10/29/2010	12/15/2010	1,993.00	1,734.00	259.00
14. ROVI CORP COM	07/26/2010	12/15/2010	784.00	624.00	160.00
63. ROVI CORP COM	08/02/2010	12/15/2010	3,529.00	2,741.00	788.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 19. SALESFORCE COM INC COM	08/02/2010	12/15/2010	2,605.00	1,942.00	663.00
6. SALESFORCE COM INC COM	06/18/2010	12/15/2010	823.00	577.00	246.00
68. SKYWORKS SOLUTIONS INC	12/09/2010	12/15/2010	1,849.00	1,849.00	
27. SOLUTIA INC NEW COM	12/09/2010	12/15/2010	619.00	621.00	-2.00
63. SOLUTIA INC NEW COM	12/09/2010	12/15/2010	1,445.00	1,445.00	
19. SOUTHWESTERN ENERGY CO	06/07/2010	12/15/2010	669.00	669.00	
25. SOUTHWESTERN ENERGY CO	06/07/2010	12/15/2010	880.00	880.00	
33. STARWOOD HOTELS & RESORTS WORLDWIDE INC COM	11/16/2010	12/15/2010	1,955.00	1,796.00	159.00
2. STEEL DYNAMICS INC	11/17/2010	12/15/2010	34.00	32.00	2.00
98. STEEL DYNAMICS INC	07/09/2010	12/15/2010	1,671.00	1,458.00	213.00
20. STERICYCLE INC COM	08/02/2010	12/15/2010	1,599.00	1,271.00	328.00
1. TJX COMPANIES INCORPORATED NEW	11/17/2010	12/15/2010	44.00	45.00	-1.00
39. TJX COMPANIES INCORPORATED NEW	01/29/2010	12/15/2010	1,716.00	1,490.00	226.00
3. TEMPUR PEDIC INTL INC	11/17/2010	12/15/2010	108.00	101.00	7.00
81. TEMPUR PEDIC INTL INC	07/09/2010	12/15/2010	2,923.00	2,609.00	314.00
49. TERADATA CORP DELAWARE COM	08/27/2010	12/15/2010	2,086.00	1,590.00	496.00
34. THORATEC LABS CORP NEW	08/16/2010	12/15/2010	941.00	941.00	
2. TIBCO SOFTWARE INC COM	11/17/2010	12/15/2010	41.00	37.00	4.00
104. TIBCO SOFTWARE INC COM	08/02/2010	12/15/2010	2,140.00	1,454.00	686.00
101. TIBCO SOFTWARE INC COM	03/12/2010	12/15/2010	2,078.00	1,098.00	980.00
29. TIFFANY & COMPANY NEW	12/09/2010	12/15/2010	1,854.00	1,816.00	38.00
112. TRINA SOLAR LTD SPONSORED ADR	10/29/2010	12/15/2010	2,581.00	2,581.00	
2. UNITED CONTL HLDGS INC COM	11/17/2010	12/15/2010	49.00	54.00	-5.00
64. UNITED CONTL HLDGS INC COM	09/14/2010	12/15/2010	1,576.00	1,386.00	190.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

THEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 49. VERIFONE HOLDINGS INC COM	10/14/2010	12/15/2010	2,010.00	1,422.00	588.00
1. VERISIGN INC	11/17/2010	12/15/2010	35.00	34.00	1.00
42. VERISIGN INC	08/27/2010	12/15/2010	1,486.00	1,238.00	248.00
17. WALTER INDS INC	10/14/2010	12/15/2010	2,006.00	1,474.00	532.00
31. WARNACO GROUP INC COM	11/16/2010	12/15/2010	1,759.00	1,534.00	225.00
1. WATSON PHARMACEUTICALS INC	11/17/2010	12/15/2010	50.00	50.00	
58. WATSON PHARMACEUTICALS INC	12/09/2010	12/15/2010	2,919.00	2,834.00	85.00
251. WESTERN UNION CO COM	11/16/2010	12/15/2010	4,674.00	4,428.00	246.00
27. WHIRLPOOL CORPORATION	08/16/2010	12/15/2010	2,387.00	2,081.00	306.00
55. WHOLE FOODS MKT INC COM	11/16/2010	12/15/2010	2,732.00	2,523.00	209.00
62. XILINX INCORPORATED	07/26/2010	12/15/2010	1,758.00	1,814.00	-56.00
46. HERBALIFE LTD COMMON STOCK	12/09/2010	12/15/2010	3,165.00	3,177.00	-12.00
11. HERBALIFE LTD COMMON STOCK	07/26/2010	12/15/2010	757.00	565.00	192.00
41. SEADRILL LTD COM	06/18/2010	12/15/2010	1,374.00	852.00	522.00
74. SENSATA TECH HLDG NV COM	11/16/2010	12/15/2010	2,162.00	1,892.00	270.00
1. VISTAPRINT NV COM	11/17/2010	12/15/2010	44.00	40.00	4.00
51. VISTAPRINT NV COM	08/16/2010	12/15/2010	2,249.00	1,478.00	771.00
49. ROYAL CARIBBEAN CRUISES LTD	06/18/2010	12/15/2010	2,038.00	1,459.00	579.00
352. DRYSHIPS INC COM	11/16/2010	12/15/2010	2,159.00	1,845.00	314.00
90000. ONTARIO PROV CDA US\$ GLOBAL BD CANADA	08/18/2010	12/16/2010	99,132.00	103,975.00	-4,843.00
180. FINISAR CORP COM	07/29/2010	12/17/2010	5,091.00	3,194.00	1,897.00
132. FINISAR CORP COM	03/02/2010	12/17/2010	3,733.00	1,697.00	2,036.00
174. QLOGIC CORP	07/29/2010	12/17/2010	2,987.00	2,769.00	218.00
122. QLOGIC CORP	08/16/2010	12/17/2010	2,095.00	1,782.00	313.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

JSA
0F1221 3 000

FT0560 L775 10/26/2011 07:25:26

547 -

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 20. UNITED THERAPEUTICS CORP	10/25/2010	12/17/2010	1,264.00	1,112.00	152.00
26. UNITED THERAPEUTICS CORP	05/26/2010	12/17/2010	1,644.00	1,330.00	314.00
42. UNITED THERAPEUTICS CORP	07/29/2010	12/17/2010	2,655.00	1,985.00	670.00
20. WHITNEY HOLDING CORPORATION	04/28/2010	12/17/2010	210.00	276.00	-66.00
113. WHITNEY HOLDING CORPORATION	02/01/2010	12/17/2010	1,185.00	1,452.00	-267.00
1. WHITNEY HOLDING CORPORATION	01/27/2010	12/17/2010	10.00	13.00	-3.00
2643.96 VOLKSWAGEN AUTO LN ENHANCED TR SER 2008-2 CL A	05/07/2010	12/19/2010	2,644.00	2,747.00	-103.00
63. GRAND CANYON ED INC COM	03/26/2010	12/20/2010	1,180.00	1,685.00	-505.00
255. GRAND CANYON ED INC COM	03/19/2010	12/20/2010	4,774.00	6,501.00	-1,727.00
71. GRAND CANYON ED INC COM	06/02/2010	12/20/2010	1,329.00	1,789.00	-460.00
102. GRAND CANYON ED INC COM	07/29/2010	12/20/2010	1,910.00	2,430.00	-520.00
83. WHITNEY HOLDING CORPORATION	01/27/2010	12/21/2010	893.00	1,044.00	-151.00
1417. ROGERS COMMUNICATION S INC CL B	03/01/2010	12/22/2010	47,888.00	48,089.00	-201.00
867. ROGERS COMMUNICATIONS INC CL B	02/03/2010	12/22/2010	29,301.00	26,950.00	2,351.00
10. TEREX CORP	06/09/2010	12/23/2010	308.00	198.00	110.00
1002. AUTODESK INC (DEL)	09/20/2010	12/27/2010	38,600.00	33,200.00	5,400.00
20. AUTODESK INC (DEL)	09/20/2010	12/27/2010	770.00	661.00	109.00
70. NEWMONT MINING CORP	09/28/2010	12/27/2010	4,176.00	4,500.00	-324.00
19. NEWMONT MINING CORP	07/29/2010	12/27/2010	1,133.00	1,064.00	69.00
1532. NEWMONT MINING CORP	05/19/2010	12/27/2010	91,388.00	82,889.00	8,499.00
41. NEWMONT MINING CORP	05/20/2010	12/27/2010	2,446.00	2,187.00	259.00
1924. SANDISK CORP	10/18/2010	12/27/2010	96,007.00	75,243.00	20,764.00
5. VISA INC CL A COM	12/30/2009	12/27/2010	348.00	441.00	-93.00
1. VISA INC CL A COM	09/28/2010	12/27/2010	70.00	73.00	-3.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TEEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 161. VISA INC CL A COM	07/29/2010	12/27/2010	11,199.00	11,578.00	-379.00
88. WAL-MART STORES INCORPORATED	05/20/2010	12/27/2010	4,688.00	4,590.00	98.00
57. WAL-MART STORES INCORPORATED	07/29/2010	12/27/2010	3,037.00	2,916.00	121.00
856. WAL-MART STORES INCORPORATED	07/07/2010	12/27/2010	45,601.00	41,725.00	3,876.00
265000. FEDERAL NATL MTG ASSN MTN CALL 12/28/10 @100	06/18/2010	12/28/2010	265,000.00	265,000.00	
90. WMS INDS INC COM	07/29/2010	12/29/2010	4,072.00	3,414.00	658.00
38. HHGREGG INC COM	11/10/2010	12/30/2010	814.00	864.00	-50.00
. INDUSTRIAL & COML BK CHINA ADR		12/30/2010	748.00		748.00
6. PANTRY INC COM	11/19/2010	12/30/2010	123.00	123.00	
3. PANTRY INC COM	11/19/2010	12/30/2010	61.00	61.00	
29. PANTRY INC COM	12/01/2010	12/30/2010	593.00	593.00	
27. PANTRY INC COM	12/02/2010	12/30/2010	552.00	552.00	
11555.8 FEDERAL HOME LN MTG CORP POOL #A92650	11/17/2010	12/31/2010	11,556.00	12,404.00	-848.00
8566.3 FEDERAL HOME LN MTG CORP POOL #A93936	12/13/2010	12/31/2010	8,566.00	8,773.00	-207.00
605.69 FEDERAL NATL MTG ASSN POOL #AH0943	12/06/2010	12/31/2010	606.00	612.00	-6.00
15681.3 FEDERAL NATL MTG ASSN POOL #888817	11/16/2010	12/31/2010	15,681.00	16,958.00	-1,277.00
11380.07 FEDERAL NATL MTG ASSN POOL #995681	04/07/2010	12/31/2010	11,380.00	12,066.00	-686.00
3922.65 FEDERAL NATL MTG ASSN POOL #AB1500	09/14/2010	12/31/2010	3,923.00	4,045.00	-122.00
1083.54 FEDERAL NATL MTG ASSN POOL #AD7127	07/21/2010	12/31/2010	1,084.00	1,128.00	-44.00
1639.85 FEDERAL NATL MTG ASSN POOL #AD6942	06/25/2010	12/31/2010	1,640.00	1,739.00	-99.00
21883.77 FEDERAL NATL MTG ASSN POOL #AE1555	12/13/2010	12/31/2010	21,884.00	22,451.00	-567.00
392.62 FEDERAL NATL MTG ASSN POOL #AE6599	09/14/2010	12/31/2010	393.00	394.00	-1.00
67. JOY GLOBAL INC 00	02/24/2010	01/04/2011	5,857.00	3,315.00	2,542.00
11. JOY GLOBAL INC 00	02/04/2010	01/04/2011	962.00	496.00	466.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust TTEE U/W OF LLOYD G. BALFOUR	Employer identification number 22-2751372
--	---

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 65000. UNITED STATES TREAS NT DTD 08/16/10 2.625% DUE	08/11/2010	01/04/2011	61,481.00	69,971.00	-8,490.00 *
13000. UNITED STATES TREAS NT DTD 08/16/10 2.625% DUE	08/26/2010	01/04/2011	12,296.00	13,720.00	-1,424.00 *
57000. UNITED STATES TREAS NT DTD 08/16/10 2.625% DUE	09/16/2010	01/04/2011	53,914.00	58,217.00	-4,303.00 *
20000. UNITED STATES TREAS NT DTD 08/16/10 2.625% DUE	10/06/2010	01/04/2011	18,917.00	20,397.00	-1,480.00
70000. UNITED STATES TREAS NT DTD 08/16/10 2.625% DUE	10/06/2010	01/04/2011	66,210.00	71,389.00	-5,179.00 *
73. ZIONS BANCORP	06/07/2010	01/06/2011	1,806.00	1,598.00	208.00
340000. FNMA 30YR TBA DTD 02/01/09 4.000% DUE 01/01/3	12/09/2010	01/07/2011	338,180.00	338,831.00	-651.00
.5 AMETEK INC - NEW	08/27/2010	01/07/2011	20.00	15.00	5.00
9028.5 DAIMLERCHRYSLER AUTO TR 2007-A ASSET BACKED	07/16/2010	01/07/2011	9,029.00	9,377.00	-348.00
99. AVON PRODUCTS INC	09/28/2010	01/10/2011	2,913.00	3,230.00	-317.00
902. AVON PRODUCTS INC	09/20/2010	01/10/2011	26,536.00	28,771.00	-2,235.00
458. AVON PRODUCTS INC	09/20/2010	01/10/2011	13,474.00	14,533.00	-1,059.00
445. AVON PRODUCTS INC	08/10/2010	01/10/2011	13,092.00	13,745.00	-653.00
851. BROADCOM CORP CL A	11/04/2010	01/10/2011	38,496.00	35,760.00	2,736.00
27. GENESEE & WYO INC CL A COM	08/10/2010	01/10/2011	1,430.00	1,119.00	311.00
41. GENESEE & WYO INC CL A COM	06/16/2010	01/10/2011	2,172.00	1,698.00	474.00
38. GRAINGER W W INCORPORATED	09/28/2010	01/10/2011	5,049.00	4,559.00	490.00
10. GRAINGER W W INCORPORATED	07/29/2010	01/10/2011	1,329.00	1,114.00	215.00
57. GRAINGER W W INCORPORATED	07/27/2010	01/10/2011	7,574.00	6,266.00	1,308.00
392. GRAINGER W W INCORPORATED	07/27/2010	01/10/2011	52,216.00	43,090.00	9,126.00
67. QLIK TECHNOLOGIES INC COM	12/09/2010	01/10/2011	1,754.00	1,551.00	203.00
12. QLIK TECHNOLOGIES INC COM	11/18/2010	01/10/2011	314.00	268.00	46.00
757. TJX COMPANIES INCORPORATED NEW	05/19/2010	01/10/2011	34,639.00	32,613.00	2,026.00
73. TJX COMPANIES INCORPORATED NEW	07/15/2010	01/10/2011	3,340.00	3,045.00	295.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 95. TJX COMPANIES INCORPORATED NEW	07/29/2010	01/10/2011	4,347.00	3,889.00	458.00
2067. TJX COMPANIES INCORPORATED NEW	02/08/2010	01/10/2011	94,581.00	78,814.00	15,767.00
488. 3M CO COM	10/18/2010	01/10/2011	42,414.00	43,543.00	-1,129.00
65. 3M CO COM	09/28/2010	01/10/2011	5,649.00	5,654.00	-5.00
247. 3M CO COM	07/27/2010	01/10/2011	21,468.00	21,419.00	49.00
89525.49 FEDERAL NATL MTG ASSN POOL #AE6599	09/14/2010	01/11/2011	85,665.00	89,777.00	-4,112.00
147. FRONTIER OIL CORP COM	02/03/2010	01/11/2011	2,673.00	1,945.00	728.00
1179. KODIAK OIL & GAS CORP COM	04/06/2010	01/11/2011	7,504.00	4,635.00	2,869.00
235. PATRIOT COAL CORP COM	08/03/2010	01/11/2011	6,047.00	2,972.00	3,075.00
126. PATRIOT COAL CORP COM	07/29/2010	01/11/2011	3,242.00	1,526.00	1,716.00
26. WILLIAMS SONOMA INCORPORATED	11/05/2010	01/11/2011	886.00	950.00	-64.00
61. WILLIAMS SONOMA INCORPORATED	09/28/2010	01/11/2011	2,079.00	1,916.00	163.00
94. QUEST DIAGNOSTICS INC	03/25/2010	01/12/2011	5,159.00	5,429.00	-270.00
21. QUEST DIAGNOSTICS INC	03/25/2010	01/12/2011	1,153.00	1,213.00	-60.00
29. ADVANCED AUTO PTS INC COM	06/18/2010	01/14/2011	1,831.00	1,503.00	328.00
230. ADVANCED AUTO PTS INC COM	05/14/2010	01/14/2011	14,525.00	10,348.00	4,177.00
13. AFFILIATED MANAGERS GROUP INC	07/09/2010	01/14/2011	1,359.00	857.00	502.00
3044.55 BMW VEH LEASE TR 2009-1 ASSET BACKED NT CL A	05/10/2010	01/14/2011	3,045.00	3,089.00	-44.00
292. BORG WARNER INC	03/30/2010	01/14/2011	20,498.00	10,875.00	9,623.00
12. CF INDS HLDGS INC COM	10/14/2010	01/14/2011	1,737.00	1,402.00	335.00
8. CF INDS HLDGS INC COM	03/18/2010	01/14/2011	1,158.00	915.00	243.00
40. CELANESE CORP DEL SER A COM	10/14/2010	01/14/2011	1,732.00	1,347.00	385.00
10. CHIPOTLE MEXICAN GRILL INC CL A COM	06/18/2010	01/14/2011	2,332.00	1,526.00	806.00
7. CHIPOTLE MEXICAN GRILL INC CL A COM	08/02/2010	01/14/2011	1,632.00	1,056.00	576.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TEEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part 1 Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 158. CREE RESEARCH INC COM	07/26/2010	01/14/2011	10,041.00	11,849.00	-1,808.00
17. CREE RESEARCH INC COM	08/02/2010	01/14/2011	1,080.00	1,254.00	-174.00
33. CROWN HLDGS INC COM 144A	08/27/2010	01/14/2011	1,090.00	923.00	167.00
5. CROWN HLDGS INC COM 144A	04/23/2010	01/14/2011	165.00	139.00	26.00
9. CTRIP COM INTL LTD AMERICAN DEP SHS COM	10/29/2010	01/14/2011	380.00	468.00	-88.00
159. EQUINIX INC COM	10/29/2010	01/14/2011	13,832.00	13,429.00	403.00
4. EXPRESS SCRIPTS INC CL A	08/02/2010	01/14/2011	230.00	185.00	45.00
119. EXPRESS SCRIPTS INC CL A	07/26/2010	01/14/2011	6,837.00	5,136.00	1,701.00
19. F5 NETWORKS INC	12/09/2010	01/14/2011	2,720.00	2,639.00	81.00
240. HANSEN NATURAL CORP COM	08/16/2010	01/14/2011	12,958.00	10,605.00	2,353.00
289. HERTZ GLOBAL HLDGS INC COM	11/16/2010	01/14/2011	4,014.00	3,371.00	643.00
362. LPL INVT HLDGS INC COM	12/09/2010	01/14/2011	12,192.00	12,958.00	-766.00
287. LAS VEGAS SANDS CORP	07/09/2010	01/14/2011	13,787.00	6,673.00	7,114.00
104. LULULEMON ATHLETICA INC COM	05/27/2010	01/14/2011	7,453.00	4,237.00	3,216.00
10. LULULEMON ATHLETICA INC COM	05/31/2010	01/14/2011	717.00	375.00	342.00
56. NII HLDGS INC COM	05/14/2010	01/14/2011	2,380.00	2,143.00	237.00
77. OMNIVISION TECHNOLOGIE S INC COM	07/26/2010	01/14/2011	2,346.00	1,958.00	388.00
57. PATTERSON COMPANIES INC	08/02/2010	01/14/2011	1,789.00	1,564.00	225.00
2. PRICELINE COM INC COM	10/14/2010	01/14/2011	873.00	695.00	178.00
38. RED HAT INC 00	04/23/2010	01/14/2011	1,721.00	1,193.00	528.00
28. ROVI CORP COM	08/02/2010	01/14/2011	1,920.00	1,218.00	702.00
5. ROVI CORP COM	08/27/2010	01/14/2011	343.00	213.00	130.00
2. ROVI CORP COM	05/14/2010	01/14/2011	137.00	79.00	58.00
27. TEMPUR PEDIC INTL INC	07/09/2010	01/14/2011	1,106.00	870.00	236.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

JSA
0F1221 3 000

FT0560 L775 10/26/2011 07:25:26

552 -

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust
TTEE U/W OF LLOYD G. BALFOUR

Employer identification number
22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr)	(c) Date sold (mo., day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 388. TIBCO SOFTWARE INC COM	03/12/2010	01/14/2011	8,118.00	4,218.00	3,900.00
26. TRINA SOLAR LTD SPONSORED ADR	09/30/2010	01/14/2011	673.00	712.00	-39.00
67. VERISIGN INC	08/27/2010	01/14/2011	2,199.00	1,925.00	274.00
36. VERISIGN INC	08/02/2010	01/14/2011	1,181.00	981.00	200.00
232. VERISIGN INC	05/27/2010	01/14/2011	7,614.00	6,273.00	1,341.00
220. XILINX INCOPORATED	07/26/2010	01/14/2011	6,949.00	6,436.00	513.00
133. XILINX INCOPORATED	07/11/2010	01/14/2011	4,201.00	3,833.00	368.00
54. XILINX INCOPORATED	08/02/2010	01/14/2011	1,706.00	1,523.00	183.00
109. XILINX INCOPORATED	10/14/2010	01/14/2011	3,443.00	2,880.00	563.00
268. DRYSHIPS INC COM	11/16/2010	01/14/2011	1,442.00	1,405.00	37.00
173. CB RICHARD ELLIS GROUP INC CL A COM	02/04/2010	01/18/2011	3,864.00	2,221.00	1,643.00
69. WESTERN DIGITAL CORP	02/18/2010	01/18/2011	2,344.00	2,950.00	-606.00
65. WESTERN DIGITAL CORP	02/22/2010	01/18/2011	2,208.00	2,739.00	-531.00
10. WESTERN DIGITAL CORP	02/22/2010	01/18/2011	340.00	421.00	-81.00
51. WESTERN DIGITAL CORP	04/06/2010	01/18/2011	1,732.00	2,091.00	-359.00
63. WESTERN DIGITAL CORP	04/06/2010	01/18/2011	2,140.00	2,583.00	-443.00
34. WESTERN DIGITAL CORP	03/22/2010	01/18/2011	1,155.00	1,382.00	-227.00
78. LIFEPOINT HOSPITALS INC	07/29/2010	01/19/2011	2,824.00	2,330.00	494.00
27. CLEAN HBRS INC COM	05/06/2010	01/20/2011	2,253.00	1,646.00	607.00
871. MGIC INVT CORP WIS	04/22/2010	01/20/2011	7,996.00	10,309.00	-2,313.00
179. MGIC INVT CORP WIS	05/07/2010	01/20/2011	1,643.00	1,603.00	40.00
49000. UNITED STATES TREAS NT DTD 08/16/10 2.625% DUE	08/06/2010	01/20/2011	45,880.00	52,496.00	-6,616.00
16000. UNITED STATES TREAS NT DTD 08/16/10 2.625% DUE	08/06/2010	01/20/2011	14,984.00	17,142.00	-2,158.00
13000. UNITED STATES TREAS NT DTD 08/16/10 2.625% DUE	08/21/2010	01/20/2011	12,174.00	13,654.00	-1,480.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust **THEE U/W OF LLOYD G. BALFOUR** Employer identification number **22-2751372**

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 12000. UNITED STATES TREAS NT DTD 08/16/10 2.625% DUE	10/06/2010	01/20/2011	11,238.00	12,238.00	-1,000.00
3304.38 VOLKSWAGEN AUTO LN ENHANCED TR SER 2008-2 CL A	05/07/2010	01/20/2011	3,304.00	3,433.00	-129.00
90. BANCO BRADESCO S A SPONSORED ADR REPSTG PFD SH	07/28/2010	01/21/2011	1,737.00	1,648.00	89.00
70. BAYERISCHE MOTOREN WERKE A G ADR	01/29/2010	01/21/2011	1,764.00	994.00	770.00
20. BRITISH AMERICAN TOBACCO PLC (UK/US\$) ISIN U	04/06/2010	01/21/2011	1,464.00	1,390.00	74.00
100. CENTRICA PLC SPONSORED ADR NEW 2004	04/27/2010	01/21/2011	2,083.00	1,935.00	148.00
130. DANONE SPONSORED ADR	02/19/2010	01/21/2011	1,585.00	1,540.00	45.00
545. GENERAL ELEC CO	12/14/2010	01/21/2011	10,785.00	9,647.00	1,138.00
100. INDUSTRIAL & COML BK CHINA ADR	11/01/2010	01/21/2011	1,541.00	1,635.00	-94.00
32. J CREW GROUP INC COM	11/19/2010	01/21/2011	1,391.00	1,165.00	226.00
200. JULIUS BAER GROUP LTD ADR	05/21/2010	01/21/2011	1,782.00	1,167.00	615.00
196. KELLOGG CO	05/27/2010	01/21/2011	10,186.00	10,474.00	-288.00
190. KINGFISHER PLC SPONSORED ADR	09/23/2010	01/21/2011	1,621.00	1,336.00	285.00
80. KOMATSU LTD SPON ADR NEW	04/28/2010	01/21/2011	2,345.00	1,597.00	748.00
309. METLIFE INC	06/07/2010	01/21/2011	14,266.00	11,925.00	2,341.00
100. NIDEC CORP SPONSORED ADR	07/12/2010	01/21/2011	2,418.00	2,328.00	90.00
40. NOVARTIS AG ADR	12/01/2010	01/21/2011	2,256.00	2,165.00	91.00
117. PARKER HANNIFIN CORPORATION	08/09/2010	01/21/2011	10,117.00	7,634.00	2,483.00
222. REYNOLDS AMERICAN INC COM	12/01/2010	01/21/2011	7,131.00	7,093.00	38.00
30. SAP AG ADR	10/27/2010	01/21/2011	1,639.00	1,550.00	89.00
210. TJX COMPANIES INCORPORATED NEW	06/15/2010	01/21/2011	9,922.00	9,763.00	159.00
571. US BANCORP DEL COM NEW	03/17/2010	01/21/2011	15,365.00	14,923.00	442.00
207. ACCENTURE PLC CL A COM	04/26/2010	01/21/2011	10,590.00	9,168.00	1,422.00
115. ACORDA THERAPEUTICS INC COM	06/03/2010	01/24/2011	2,709.00	4,280.00	-1,571.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 33. ACORDA THERAPEUTICS INC COM	07/29/2010	01/24/2011	777.00	1,056.00	-279.00
80. AMERISOURCEBERGEN CORP COM	10/11/2010	01/24/2011	2,898.00	2,565.00	333.00
30. ANNALY MTG MGMT INC COM	07/12/2010	01/24/2011	533.00	545.00	-12.00 *
25. AON CORP	10/18/2010	01/24/2011	1,120.00	994.00	126.00
81. BLOCK H & R INC	02/02/2010	01/24/2011	1,067.00	1,753.00	-686.00
25. CB RICHARD ELLIS GROUP INC CL A COM	02/04/2010	01/24/2011	560.00	321.00	239.00
346. CF INDS HLDGS INC COM	10/18/2010	01/24/2011	45,594.00	41,406.00	4,188.00
65. CMS ENERGY CORP COM	05/20/2010	01/24/2011	1,256.00	971.00	285.00
5. CENTERPOINT ENERGY INC	10/18/2010	01/24/2011	80.00	84.00	-4.00 *
185. CENTERPOINT ENERGY INC	11/09/2010	01/24/2011	2,960.00	3,071.00	-111.00 *
3052. DOW CHEMICAL COMPANY	12/13/2010	01/24/2011	107,026.00	104,811.00	2,215.00
45. DRESSER-RAND GROUP INC COM	03/18/2010	01/24/2011	1,986.00	1,461.00	525.00
60. ECHOSTAR CORP CL A	03/04/2010	01/24/2011	1,622.00	1,188.00	434.00
70. FIDELITY NATL INFORMATION SVCS INC COM	01/13/2011	01/24/2011	2,126.00	2,092.00	34.00
65. FOREST LABS INC COM	11/24/2010	01/24/2011	2,088.00	2,090.00	-2.00 *
85. GAMESTOP CORP CL A COM	01/03/2011	01/24/2011	1,782.00	1,960.00	-178.00 *
30. HCC INS HLDGS INC	12/22/2010	01/24/2011	901.00	870.00	31.00
376. IGATE CAPITAL CORP COM	12/01/2010	01/24/2011	5,990.00	7,517.00	-1,527.00
662. INTERCONTINENTALEXCHA NGE	06/01/2010	01/24/2011	77,491.00	77,939.00	-448.00
15. INTERCONTINENTALEXCHAN GE	07/29/2010	01/24/2011	1,756.00	1,603.00	153.00
65. INTERCONTINENTALEXCHAN GE	09/28/2010	01/24/2011	7,609.00	6,869.00	740.00
30. LENDER PROCESSING SVCS INC COM	02/09/2010	01/24/2011	973.00	1,158.00	-185.00
75. MICRON TECHNOLOGY INC	01/21/2011	01/24/2011	742.00	736.00	6.00
30. MYLAN LABS INC	01/05/2011	01/24/2011	704.00	643.00	61.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

JSA
0F1221 3 000

FT0560 L775 10/26/2011 07:25:26

555 -

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 140. NISOURCE INC	02/03/2010	01/24/2011	2,631.00	2,113.00	518.00
65. OSHKOSH TRUCK CORP COM	05/14/2010	01/24/2011	2,383.00	2,525.00	-142.00
20. P G & E CORPORATION	11/05/2010	01/24/2011	950.00	965.00	-15.00 *
65. RADIOSHACK CORP	11/04/2010	01/24/2011	1,023.00	1,325.00	-302.00
20. RAYONIER INC REIT	04/26/2010	01/24/2011	1,153.00	1,000.00	153.00
10. SEMPRA ENERGY	09/14/2010	01/24/2011	526.00	535.00	-9.00 *
60. TJX COMPANIES INCORPORATED NEW	05/10/2010	01/24/2011	2,868.00	2,754.00	114.00
711. TARGET CORP	12/27/2010	01/24/2011	39,664.00	42,734.00	-3,070.00
89. TERADATA CORP DELAWARE COM	09/28/2010	01/24/2011	4,049.00	3,445.00	604.00
102. TERADATA CORP DELAWARE COM	07/29/2010	01/24/2011	4,640.00	3,296.00	1,344.00
86. TERADATA CORP DELAWARE COM	05/20/2010	01/24/2011	3,912.00	2,704.00	1,208.00
1045. 3M CO COM	07/27/2010	01/24/2011	94,191.00	90,620.00	3,571.00
25. 3M CO COM	07/29/2010	01/24/2011	2,253.00	2,165.00	88.00
20. TOWERS WATSON & CO CL A COM	04/09/2010	01/24/2011	1,073.00	956.00	117.00
20. WINDSTREAM CORP COM	08/26/2010	01/24/2011	257.00	227.00	30.00
340000. FNMA 30YR TBA DTD 02/01/09 4.000% DUE 02/01/3	01/07/2011	01/25/2011	336,919.00	337,238.00	-319.00
34. FOSTER WHEELER AG COM	02/03/2010	01/25/2011	1,232.00	998.00	234.00
59. FOSTER WHEELER AG COM	02/03/2010	01/25/2011	2,137.00	1,732.00	405.00
183. AMERISOURCEBERGEN CORP COM	10/11/2010	01/26/2011	6,650.00	5,868.00	782.00
41. RAYONIER INC REIT	04/26/2010	01/26/2011	2,473.00	2,050.00	423.00
60. CVB FINL CORP	08/11/2010	01/27/2011	517.00	493.00	24.00
12. DIGITAL RIV INC	01/18/2011	01/27/2011	425.00	445.00	-20.00 *
15. INTERNATIONAL SPEEDWAY CORP CL A	04/26/2010	01/27/2011	442.00	463.00	-21.00 *
32. KEY ENERGY GROUP INC 00	06/07/2010	01/27/2011	423.00	455.00	-32.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 12. KEY ENERGY GROUP INC 00	08/19/2010	01/27/2011	159.00	106.00	53.00
180. SM ENERGY CO COM	11/24/2010	01/27/2011	10,358.00	8,923.00	1,435.00
11. XYRATEX LTD COM	10/01/2010	01/27/2011	146.00	158.00	-12.00 *
31. XYRATEX LTD COM	12/30/2010	01/27/2011	412.00	510.00	-98.00 *
79. CARTER HLDGS INC COM	06/02/2010	01/28/2011	2,159.00	2,370.00	-211.00
99. CARTER HLDGS INC COM	06/22/2010	01/28/2011	2,706.00	2,917.00	-211.00
138. CARTER HLDGS INC COM	07/29/2010	01/28/2011	3,772.00	3,326.00	446.00
58. DIAMOND FOODS INC COM	03/10/2010	01/28/2011	2,951.00	2,480.00	471.00
24. HOSPIRA INC	06/04/2010	01/28/2011	1,312.00	1,235.00	77.00
252. LOUISIANA PACIFIC CORPORATION	07/29/2010	01/28/2011	2,543.00	2,049.00	494.00
69. P G & E CORPORATION	11/05/2010	01/28/2011	3,226.00	3,330.00	-104.00 *
1186. PARKER HANNIFIN CORPORATION	08/09/2010	01/28/2011	103,362.00	77,388.00	25,974.00
23. ULTIMATE SOFTWARE GROUP INC COM	11/08/2010	01/28/2011	1,110.00	981.00	129.00
43. ULTIMATE SOFTWARE GROUP INC COM	10/11/2010	01/28/2011	2,075.00	1,691.00	384.00
8. WESCO INTL INC	12/30/2010	01/28/2011	434.00	425.00	9.00
9385.73 FEDERAL HOME LN MTG CORP POOL #A92650	11/17/2010	01/31/2011	9,386.00	10,075.00	-689.00
4089.12 FEDERAL HOME LN MTG CORP POOL #A93936	12/13/2010	01/31/2011	4,089.00	4,188.00	-99.00
587.96 FEDERAL NATL MTG ASSN POOL #AH0943	12/06/2010	01/31/2011	588.00	594.00	-6.00
13649.29 FEDERAL NATL MTG ASSN POOL #888817	11/16/2010	01/31/2011	13,649.00	14,760.00	-1,111.00
4536.47 FEDERAL NATL MTG ASSN POOL #953590	12/29/2010	01/31/2011	4,536.00	4,855.00	-319.00
14041.13 FEDERAL NATL MTG ASSN POOL #995681	04/07/2010	01/31/2011	14,041.00	14,888.00	-847.00
2300.37 FEDERAL NATL MTG ASSN POOL #AB1500	09/14/2010	01/31/2011	2,300.00	2,372.00	-72.00
3587.32 FEDERAL NATL MTG ASSN POOL #AD7127	07/21/2010	01/31/2011	3,587.00	3,735.00	-148.00
10104.13 FEDERAL NATL MTG ASSN POOL #AD6942	06/25/2010	01/31/2011	10,104.00	10,712.00	-608.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 318.5 FEDERAL NATL MTG ASSN POOL #AE1555	12/13/2010	01/31/2011	319.00	327.00	-8.00
188.78 FEDERAL NATL MTG ASSN POOL #AE6599	09/14/2010	01/31/2011	189.00	189.00	
49. OSHKOSH TRUCK CORP COM	05/14/2010	01/31/2011	1,880.00	1,903.00	-23.00
132. OSHKOSH TRUCK CORP COM	05/04/2010	01/31/2011	5,063.00	5,065.00	-2.00
65. OSHKOSH TRUCK CORP COM	05/04/2010	01/31/2011	2,493.00	2,494.00	-1.00
16. P G & E CORPORATION	11/05/2010	01/31/2011	743.00	772.00	-29.00
7. P G & E CORPORATION	10/20/2010	01/31/2011	325.00	336.00	-11.00
19. P G & E CORPORATION	10/20/2010	01/31/2011	882.00	913.00	-31.00 *
27. CONCUR TECHNOLOGIES INC COM	11/08/2010	02/01/2011	1,393.00	1,459.00	-66.00
27. CONCUR TECHNOLOGIES INC COM	07/29/2010	02/01/2011	1,393.00	1,248.00	145.00
50. JOY GLOBAL INC 00	02/04/2010	02/01/2011	4,493.00	2,256.00	2,237.00
9. JOY GLOBAL INC 00	02/04/2010	02/01/2011	809.00	406.00	403.00
19. P G & E CORPORATION	10/01/2010	02/01/2011	883.00	916.00	-33.00
43. P G & E CORPORATION	10/20/2010	02/01/2011	1,999.00	2,067.00	-68.00
44. P G & E CORPORATION	10/28/2010	02/01/2011	2,046.00	2,093.00	-47.00
14. SOLERA HLDGS INC COM	11/08/2010	02/01/2011	738.00	711.00	27.00
26. SOLERA HLDGS INC COM	07/22/2010	02/01/2011	1,370.00	1,023.00	347.00
18. SOLERA HLDGS INC COM	07/29/2010	02/01/2011	949.00	686.00	263.00
990. SYNOVUS FINANCIAL CORPORATION	04/30/2010	02/01/2011	2,693.00	3,118.00	-425.00
610. SYNOVUS FINANCIAL CORPORATION	02/01/2010	02/01/2011	1,659.00	1,710.00	-51.00
37. VALASSIS COMMUNICATION S INC	10/18/2010	02/01/2011	1,147.00	1,346.00	-199.00
60. VALASSIS COMMUNICATION S INC	09/27/2010	02/01/2011	1,860.00	2,072.00	-212.00
48000. UNITED STATES TREAS NT DTD 08/16/10 2.625% DUE	10/06/2010	02/03/2011	44,721.00	48,953.00	-4,232.00
77000. UNITED STATES TREAS NT DTD 08/16/10 2.625% DUE	09/25/2010	02/03/2011	71,739.00	78,264.00	-6,525.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 260000. FHLMC 30YR TBA DTD FEB 2007 5.500% DUE FEB 203	01/04/2011	02/04/2011	275,102.00	277,103.00	-2,001.00
190000. FHLMC 30YR TBA DTD FEB 2007 5.500% DUE FEB 203	01/04/2011	02/04/2011	201,036.00	202,498.00	-1,462.00
260000. FHLMC 30YR TBA DTD FEB 2007 5.500% DUE FEB 203	01/11/2011	02/04/2011	275,102.00	276,778.00	-1,676.00
112. LKQ CORP	01/27/2011	02/04/2011	2,725.00	2,706.00	19.00
101. LKQ CORP	07/29/2010	02/04/2011	2,457.00	2,036.00	421.00
1642. ADVANCED MICRO DEVICES INC	10/29/2010	02/07/2011	13,765.00	12,124.00	1,641.00
13. ADVANCED MICRO DEVICES INC	11/17/2010	02/07/2011	109.00	96.00	13.00
73. ATHEROS COMMUNICATIONS INC COM	12/16/2010	02/07/2011	3,252.00	2,473.00	779.00
33. ATHEROS COMMUNICATIONS INC COM	11/03/2010	02/07/2011	1,470.00	1,031.00	439.00
85. AVON PRODUCTS INC	08/02/2010	02/07/2011	2,497.00	2,707.00	-210.00
69. BIG LOTS INC COM	04/15/2010	02/07/2011	2,359.00	2,615.00	-256.00
14. BIG LOTS INC COM	04/28/2010	02/07/2011	479.00	529.00	-50.00
75. BIG LOTS INC COM	05/27/2010	02/07/2011	2,565.00	2,701.00	-136.00
389. CROWN CASTLE INTL CORP	09/14/2010	02/07/2011	17,352.00	16,500.00	852.00
1. CROWN CASTLE INTL CORP	08/17/2010	02/07/2011	45.00	42.00	3.00
156. CTRIP COM INTL LTD AMERICAN DEP SHS COM	10/29/2010	02/07/2011	6,542.00	8,111.00	-1,569.00
2. CTRIP COM INTL LTD AMERICAN DEP SHS COM	10/29/2010	02/07/2011	84.00	104.00	-20.00 *
9215.69 DAIMLERCHRYSLER AUTO TR 2007-A ASSET BACKED	07/16/2010	02/07/2011	9,216.00	9,571.00	-355.00
5. F5 NETWORKS INC	12/09/2010	02/07/2011	631.00	695.00	-64.00
895. INTERSIL CORP CL A	10/29/2010	02/07/2011	11,721.00	11,787.00	-66.00
3. INTERSIL CORP CL A	11/17/2010	02/07/2011	39.00	39.00	
173. JDS UNIPHASE CORP COM	12/09/2010	02/07/2011	3,938.00	2,324.00	1,614.00
250. MASSEY ENERGY CORP COM	12/09/2010	02/07/2011	15,759.00	12,852.00	2,907.00
56. MCGRAW HILL INCORPORATED	08/02/2010	02/07/2011	2,061.00	1,738.00	323.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 100. MEDNAX INC COM	07/09/2010	02/07/2011	6,154.00	5,255.00	899.00
46. MEDNAX INC COM	08/02/2010	02/07/2011	2,831.00	2,177.00	654.00
74. NETFLIX.COM INC COM	10/14/2010	02/07/2011	16,301.00	11,242.00	5,059.00
331. OMNIVISION TECHNOLOGIES INC COM	07/26/2010	02/07/2011	8,781.00	8,418.00	363.00
157. OMNIVISION TECHNOLOGIES INC COM	10/14/2010	02/07/2011	4,165.00	3,876.00	289.00
44. OMNIVISION TECHNOLOGIE S INC COM	08/02/2010	02/07/2011	1,167.00	1,024.00	143.00
181. ON SEMICONDUCTOR CORP COM	01/14/2011	02/07/2011	2,123.00	2,110.00	13.00
48. RIVERBED TECHNOLOGY INC COM	01/14/2011	02/07/2011	1,849.00	1,884.00	-35.00
99. RIVERBED TECHNOLOGY INC COM	01/14/2011	02/07/2011	3,813.00	3,886.00	-73.00 *
43. ROVI CORP COM	05/14/2010	02/07/2011	2,787.00	1,699.00	1,088.00
102. TENNECO AUTOMOTIVE INC	01/18/2011	02/07/2011	4,185.00	4,707.00	-522.00
47. TENNECO AUTOMOTIVE INC	01/14/2011	02/07/2011	1,928.00	2,110.00	-182.00
102. TENNECO AUTOMOTIVE INC	01/14/2011	02/07/2011	4,185.00	4,549.00	-364.00
261. THORATEC LABS CORP NEW	08/16/2010	02/07/2011	6,847.00	9,080.00	-2,233.00
86. TRINA SOLAR LTD SPONSORED ADR	09/30/2010	02/07/2011	2,353.00	2,357.00	-4.00
472. TRINA SOLAR LTD SPONSORED ADR	10/29/2010	02/07/2011	12,913.00	12,614.00	299.00
77. TRINA SOLAR LTD SPONSORED ADR	11/16/2010	02/07/2011	2,107.00	1,826.00	281.00
12. TRINA SOLAR LTD SPONSORED ADR	11/17/2010	02/07/2011	328.00	273.00	55.00
133000. UNITED STATES TREAS NT DTD 08/16/10 2.625	09/25/2010	02/07/2011	122,552.00	135,184.00	-12,632.00
57000. UNITED STATES TREAS NT DTD 08/16/10 2.625% DUE	09/11/2010	02/07/2011	52,522.00	57,925.00	-5,403.00
4000. UNITED STATES TREAS NT DTD 08/16/10 2.625% DUE	10/01/2010	02/07/2011	3,686.00	4,059.00	-373.00
376. SEADRILL LTD COM	06/18/2010	02/07/2011	13,375.00	7,818.00	5,557.00
363. VISTAPRINT NV COM	08/16/2010	02/07/2011	19,276.00	10,521.00	8,755.00
104. ROYAL CARIBBEAN CRUISES LTD	06/18/2010	02/07/2011	4,825.00	3,097.00	1,728.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 2293. DRYSHIPS INC COM	11/16/2010	02/07/2011	11,441.00	12,022.00	-581.00
102. AMGEN INC COM	09/28/2010	02/08/2011	5,587.00	5,687.00	-100.00
933. AMGEN INC COM	09/20/2010	02/08/2011	51,101.00	51,769.00	-668.00
923. AMGEN INC COM	09/20/2010	02/08/2011	50,554.00	51,103.00	-549.00
1361. AVON PRODUCTS INC	08/10/2010	02/08/2011	37,725.00	42,037.00	-4,312.00
1652. AVON PRODUCTS INC	07/27/2010	02/08/2011	45,791.00	49,618.00	-3,827.00
1820. BROADCOM CORP CL A	11/04/2010	02/08/2011	83,190.00	76,479.00	6,711.00
259. BROADCOM CORP CL A	10/05/2010	02/08/2011	11,839.00	9,296.00	2,543.00
502. CF INDS HLDGS INC COM	10/18/2010	02/08/2011	73,231.00	60,074.00	13,157.00
1086. COCA COLA CO COM	11/04/2010	02/08/2011	67,890.00	67,568.00	322.00
70. FLOWSERVE CORP	09/28/2010	02/08/2011	9,175.00	7,460.00	1,715.00
224. FLOWSERVE CORP	11/16/2010	02/08/2011	29,359.00	23,549.00	5,810.00
214. GRAINGER W W INCORPORATED	07/27/2010	02/08/2011	28,796.00	23,523.00	5,273.00
176. HERTZ GLOBAL HLDGS INC COM	05/12/2010	02/08/2011	2,785.00	2,288.00	497.00
31. INTERCONTINENTALEXCHAN GE	09/28/2010	02/08/2011	3,698.00	3,276.00	422.00
506. INTERCONTINENTALEXCHA NGE	09/07/2010	02/08/2011	60,368.00	52,060.00	8,308.00
238. INTERCONTINENTALEXCHA NGE	09/07/2010	02/08/2011	28,394.00	24,391.00	4,003.00
417. KELLOGG CO	05/27/2010	02/08/2011	22,210.00	22,284.00	-74.00
17. LENDER PROCESSING SVCS INC COM	02/09/2010	02/08/2011	544.00	656.00	-112.00
65. LENDER PROCESSING SVCS INC COM	04/01/2010	02/08/2011	2,080.00	2,461.00	-381.00
46. LENDER PROCESSING SVCS INC COM	04/01/2010	02/08/2011	1,472.00	1,742.00	-270.00
2056. INGERSOLL-RAND CO LTD COM	02/23/2010	02/08/2011	99,786.00	66,349.00	33,437.00
31. AMERISOURCEBERGEN CORP COM	10/11/2010	02/09/2011	1,133.00	994.00	139.00
94. AMERISOURCEBERGEN CORP COM	08/20/2010	02/09/2011	3,435.00	2,727.00	708.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 50. ATHEROS COMMUNICATIONS INC COM	07/29/2010	02/09/2011	2,229.00	1,283.00	946.00
189. COCA-COLA ENTERPRISES INC NEW COM	05/28/2010	02/09/2011	4,905.00	4,173.00	732.00
10. DRESSER-RAND GROUP INC COM	03/18/2010	02/09/2011	450.00	325.00	125.00
53. DRESSER-RAND GROUP INC COM	02/19/2010	02/09/2011	2,387.00	1,680.00	707.00
99. DRESSER-RAND GROUP INC COM	02/19/2010	02/09/2011	4,460.00	3,138.00	1,322.00
38. EXPEDIA INC COMMON STOCK	03/31/2010	02/09/2011	966.00	926.00	40.00
126. EXPEDIA INC COMMON STOCK	04/23/2010	02/09/2011	3,204.00	3,061.00	143.00
38. EXPEDIA INC COMMON STOCK	04/23/2010	02/09/2011	966.00	923.00	43.00
347. MICRON TECHNOLOGY INC	01/21/2011	02/09/2011	3,928.00	3,407.00	521.00
5. CLEAN HBRS INC COM	05/06/2010	02/11/2011	460.00	305.00	155.00
79. HUNTSMAN CORP COM	06/07/2010	02/11/2011	1,416.00	734.00	682.00
29. SYNOPSYS INC	12/30/2010	02/11/2011	834.00	786.00	48.00
22. SYNOPSYS INC	04/29/2010	02/11/2011	633.00	509.00	124.00
293. INVESTORS BANCORP INC COM	06/23/2010	02/14/2011	3,962.00	4,006.00	-44.00
135. INVESTORS BANCORP INC COM	07/29/2010	02/14/2011	1,826.00	1,778.00	48.00
123. INVESTORS BANCORP INC COM	07/07/2010	02/14/2011	1,663.00	1,594.00	69.00
210. INVESTORS BANCORP INC COM	08/10/2010	02/14/2011	2,840.00	2,626.00	214.00
281. VALASSIS COMMUNICATIO NS INC	09/27/2010	02/14/2011	9,108.00	9,706.00	-598.00
51. VALASSIS COMMUNICATIO S INC	09/30/2010	02/14/2011	1,653.00	1,742.00	-89.00
39. VALASSIS COMMUNICATIO S INC	11/26/2010	02/14/2011	1,264.00	1,291.00	-27.00
2586.14 BMW VEH LEASE TR 2009-1 ASSET BACKED NT CL A	05/10/2010	02/15/2011	2,586.00	2,624.00	-38.00
57. LIFE TIME FITNESS INC COM STK	07/29/2010	02/16/2011	2,403.00	2,075.00	328.00
54. P G & E CORPORATION	10/28/2010	02/16/2011	2,457.00	2,568.00	-111.00
20. P G & E CORPORATION	10/24/2010	02/16/2011	910.00	947.00	-37.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust TTEE U/W OF LLOYD G. BALFOUR	Employer identification number 22-2751372
--	---

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 3. P G & E CORPORATION					
27. QUICKSILVER RES INC	10/19/2010	02/16/2011	137.00	142.00	-5.00
78. RIVERBED TECHNOLOGY INC COM	07/29/2010	02/16/2011	405.00	343.00	62.00
65. RIVERBED TECHNOLOGY INC COM	07/29/2010	02/16/2011	3,256.00	1,432.00	1,824.00
237. SAVIENT PHARMACEUTICA LS INC COM	06/23/2010	02/16/2011	2,713.00	944.00	1,769.00
97. SHUTTERFLY INC COM	07/29/2010	02/16/2011	2,373.00	3,225.00	-852.00
10. CF INDS HLDGS INC COM	07/29/2010	02/16/2011	4,441.00	2,453.00	1,988.00
36. CF INDS HLDGS INC COM	05/11/2010	02/17/2011	1,455.00	739.00	716.00
10. CF INDS HLDGS INC COM	05/19/2010	02/17/2011	5,237.00	2,556.00	2,681.00
2044. PUBLIC SERVICE ENTERPRISE GROUP INC	05/19/2010	02/17/2011	1,455.00	710.00	745.00
512. PUBLIC SERVICE ENTERPRISE GROUP INC	07/26/2010	02/18/2011	65,218.00	69,425.00	-4,207.00
248. STR HLDGS INC COM	01/21/2011	02/18/2011	16,336.00	16,589.00	-253.00
42. STR HLDGS INC COM	04/29/2010	02/22/2011	4,438.00	5,782.00	-1,344.00
105. STR HLDGS INC COM	03/31/2010	02/22/2011	752.00	955.00	-203.00
149. STR HLDGS INC COM	07/29/2010	02/22/2011	1,879.00	2,345.00	-466.00
2738.98 VOLKSWAGEN AUTO LN ENHANCED TR SER 2008-2 CL A	11/15/2010	02/22/2011	2,666.00	2,915.00	-249.00
7. WRIGHT MED GROUP INC COM	05/07/2010	02/22/2011	2,739.00	2,845.00	-106.00
138. WRIGHT MED GROUP INC COM	03/26/2010	02/22/2011	110.00	122.00	-12.00
110. AKAMAI TECHNOLOGIES INC	07/29/2010	02/22/2011	2,177.00	2,116.00	61.00
81. AMAZON COM INC	09/28/2010	02/23/2011	4,421.00	5,622.00	-1,201.00
42. AMERICAN PUB ED INC COM	09/28/2010	02/23/2011	14,596.00	12,903.00	1,693.00
1. BROADCOM CORP CL A	07/29/2010	02/23/2011	1,721.00	1,900.00	-179.00
2080. BROADCOM CORP CL A	02/15/2011	02/23/2011	41.00	43.00	-2.00
76. DEL MONTE FOODS CO	10/05/2010	02/23/2011	84,338.00	74,652.00	9,686.00
	08/31/2010	02/23/2011	1,439.00	996.00	443.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

JSA
0F1221 3.000

FT0560 L775 10/26/2011 07:25:26

563 -

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 4194. FORD MTR CO DEL COM	12/13/2010	02/23/2011	62,822.00	70,208.00	-7,386.00
1. FORD MTR CO DEL COM	02/15/2011	02/23/2011	15.00	16.00	-1.00
2423. FORD MTR CO DEL COM	11/04/2010	02/23/2011	36,294.00	37,808.00	-1,514.00
48. FORD MTR CO DEL COM	07/29/2010	02/23/2011	719.00	626.00	93.00
5758. FORD MTR CO DEL COM	07/27/2010	02/23/2011	86,250.00	74,979.00	11,271.00
3740. FORD MTR CO DEL COM	08/10/2010	02/23/2011	56,022.00	48,208.00	7,814.00
554. FORD MTR CO DEL COM	09/28/2010	02/23/2011	8,298.00	6,896.00	1,402.00
155. FRONTIER OIL CORP COM	04/19/2010	02/23/2011	4,084.00	2,000.00	2,084.00
3057. GILEAD SCIENCES INC	11/04/2010	02/23/2011	117,457.00	122,315.00	-4,858.00
1. GOODRICH B F CO	02/15/2011	02/23/2011	88.00	91.00	-3.00
1174. GOODRICH B F CO	07/07/2010	02/23/2011	102,926.00	79,274.00	23,652.00
36. MEAD JOHNSON NUTRITION CO COM	09/28/2010	02/23/2011	2,154.00	2,049.00	105.00
1. MEAD JOHNSON NUTRITION CO COM	02/15/2011	02/23/2011	60.00	60.00	
3683. NUANCE COMMUNICATION S INC	01/10/2011	02/23/2011	69,057.00	72,420.00	-3,363.00
2. NUANCE COMMUNICATIONS INC	02/15/2011	02/23/2011	38.00	38.00	
44. DEL MONTE FOODS CO	08/31/2010	02/24/2011	833.00	577.00	256.00
92. ECHOSTAR CORP CL A	03/04/2010	02/24/2011	3,004.00	1,821.00	1,183.00
22. FRONTIER OIL CORP COM	04/19/2010	02/25/2011	608.00	284.00	324.00
33. KEY ENERGY GROUP INC 00	08/19/2010	02/25/2011	513.00	293.00	220.00
52. PARAMETRIC TECHNOLOGY CORP NEW COM	01/27/2011	02/25/2011	1,225.00	1,190.00	35.00
33. PARAMETRIC TECHNOLOGY CORP NEW COM	01/28/2011	02/25/2011	778.00	728.00	50.00
15. PATTERSON COMPANIES INC	02/22/2011	02/25/2011	492.00	481.00	11.00
49. ZORAN CORP	07/29/2010	02/25/2011	548.00	426.00	122.00
3756.96 FEDERAL HOME LN MTG CORP POOL #A92650	11/17/2010	02/28/2011	3,757.00	4,033.00	-276.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr)	(c) Date sold (mo., day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 6093.28 FEDERAL HOME LN MTG CORP POOL #A93936	12/13/2010	02/28/2011	6,093.00	6,240.00	-147.00
678.47 FEDERAL NATL MTG ASSN POOL #AH0943	12/06/2010	02/28/2011	678.00	686.00	-8.00
8099.76 FEDERAL NATL MTG ASSN POOL #888817	11/16/2010	02/28/2011	8,100.00	8,759.00	-659.00
6082.16 FEDERAL NATL MTG ASSN POOL #953590	12/29/2010	02/28/2011	6,082.00	6,509.00	-427.00
7784.89 FEDERAL NATL MTG ASSN POOL #995681	04/07/2010	02/28/2011	7,785.00	8,254.00	-469.00
940.07 FEDERAL NATL MTG ASSN POOL #AB1500	09/14/2010	02/28/2011	940.00	969.00	-29.00
4781.66 FEDERAL NATL MTG ASSN POOL #AD7127	07/21/2010	02/28/2011	4,782.00	4,978.00	-196.00
6730.09 FEDERAL NATL MTG ASSN POOL #AD6942	06/25/2010	02/28/2011	6,730.00	7,135.00	-405.00
307.39 FEDERAL NATL MTG ASSN POOL #AE1555	12/13/2010	02/28/2011	307.00	315.00	-8.00
630.54 FEDERAL NATL MTG ASSN POOL #AE6391	01/25/2011	02/28/2011	631.00	626.00	5.00
180.34 FEDERAL NATL MTG ASSN POOL #AE6599	09/14/2010	02/28/2011	180.00	181.00	-1.00
799.09 GOVERNMENT NATL MTG ASSN POOL #742550	02/07/2011	02/28/2011	799.00	818.00	-19.00
96. NOVELLUS SYS INC	07/29/2010	02/28/2011	3,817.00	2,585.00	1,232.00
63. OPENTABLE INC COM	07/29/2010	02/28/2011	5,507.00	2,851.00	2,656.00
111. BROADSOFT INC COM	01/06/2011	03/01/2011	3,891.00	3,212.00	679.00
6. BROADSOFT INC COM	12/17/2010	03/01/2011	210.00	144.00	66.00
35. CF INDS HLDGS INC COM	05/19/2010	03/01/2011	4,830.00	2,485.00	2,345.00
119. J CREW GROUP INC COM	11/19/2010	03/01/2011	5,181.00	4,333.00	848.00
1639. KIMBERLY CLARK CORP COM	05/25/2010	03/01/2011	108,111.00	99,035.00	9,076.00
133. SWIFT ENERGY CO COM	01/27/2011	03/01/2011	5,483.00	5,320.00	163.00
1. TJX COMPANIES INCORPORATED NEW	05/10/2010	03/01/2011	50.00	46.00	4.00
77. TJX COMPANIES INCORPORATED NEW	04/12/2010	03/01/2011	3,849.00	3,513.00	336.00
1. TOWERS WATSON & CO CL A COM	04/23/2010	03/01/2011	58.00	51.00	7.00
6. TOWERS WATSON & CO CL A COM	05/19/2010	03/01/2011	349.00	296.00	53.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 31. TOWERS WATSON & CO CL A COM	03/24/2010	03/01/2011	1,805.00	1,468.00	337.00
692. WAL-MART STORES INCORPORATED	10/29/2010	03/01/2011	36,200.00	37,180.00	-980.00
64. DIAMOND FOODS INC COM	03/10/2010	03/02/2011	3,115.00	2,736.00	379.00
36. DIAMOND FOODS INC COM	03/26/2010	03/02/2011	1,752.00	1,497.00	255.00
47. DIAMOND FOODS INC COM	06/21/2010	03/02/2011	2,287.00	1,816.00	471.00
45. DIAMOND FOODS INC COM	06/09/2010	03/02/2011	2,190.00	1,727.00	463.00
84. RADIOSHACK CORP	11/04/2010	03/02/2011	1,205.00	1,712.00	-507.00
5. CENTERPOINT ENERGY INC	10/29/2010	03/03/2011	79.00	84.00	-5.00 *
11. CENTERPOINT ENERGY INC	11/09/2010	03/03/2011	174.00	183.00	-9.00 *
115. CENTERPOINT ENERGY INC	11/20/2010	03/03/2011	1,823.00	1,923.00	-100.00
70. CENTERPOINT ENERGY INC	11/20/2010	03/03/2011	1,109.00	1,170.00	-61.00 *
25. CHILDREN'S PLACE	10/25/2010	03/03/2011	1,112.00	1,113.00	-1.00
51. CHILDREN'S PLACE	07/29/2010	03/03/2011	2,268.00	2,226.00	42.00
101. LENDER PROCESSING SVCS INC COM	04/01/2010	03/03/2011	3,432.00	3,825.00	-393.00
72. LENDER PROCESSING SVCS INC COM	04/28/2010	03/03/2011	2,447.00	2,720.00	-273.00
14. LENDER PROCESSING SVCS INC COM	04/28/2010	03/03/2011	476.00	529.00	-53.00
42. SRA INTL INC CL A COM	07/29/2010	03/03/2011	1,110.00	927.00	183.00
261. SRA INTL INC CL A COM	06/08/2010	03/03/2011	6,898.00	5,349.00	1,549.00
20. WILLIAMS SONOMA INCORPORATED	09/28/2010	03/03/2011	734.00	628.00	106.00
74. WILLIAMS SONOMA INCORPORATED	07/29/2010	03/03/2011	2,714.00	1,954.00	760.00
2. AMERICAN EXPRESS CO	02/15/2011	03/07/2011	87.00	92.00	-5.00
2604. AMERICAN EXPRESS CO	04/19/2010	03/07/2011	113,581.00	118,582.00	-5,001.00
27. AMERICAN EXPRESS CO	07/29/2010	03/07/2011	1,178.00	1,210.00	-32.00
279. AMERICAN EXPRESS CO	09/28/2010	03/07/2011	12,169.00	12,141.00	28.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1916. AMERICAN EXPRESS CO	07/07/2010	03/07/2011	83,572.00	78,048.00	5,524.00
30. AMERICAN EXPRESS CO	05/20/2010	03/07/2011	1,309.00	1,187.00	122.00
710000. FHLMC 30YR TBA DTD MAR 2007 5.500% DUE MAR 203	02/04/2011	03/07/2011	759,256.00	749,716.00	9,540.00
253. CF INDS HLDGS INC COM	10/18/2010	03/07/2011	32,632.00	30,276.00	2,356.00
1. CF INDS HLDGS INC COM	02/15/2011	03/07/2011	129.00	144.00	-15.00 *
801. COCA COLA CO COM	11/04/2010	03/07/2011	52,141.00	49,836.00	2,305.00
145. COCA COLA CO COM	09/28/2010	03/07/2011	9,439.00	8,562.00	877.00
1650. COCA COLA CO COM	08/10/2010	03/07/2011	107,406.00	93,946.00	13,460.00
2. CUMMINS ENGINE INC	02/15/2011	03/07/2011	199.00	225.00	-26.00
76. CUMMINS ENGINE INC	09/28/2010	03/07/2011	7,577.00	6,879.00	698.00
215. CUMMINS ENGINE INC	09/20/2010	03/07/2011	21,435.00	18,835.00	2,600.00
375. CUMMINS ENGINE INC	09/20/2010	03/07/2011	37,386.00	32,731.00	4,655.00
3643. GRAFTECH INTL LTD COM	11/16/2010	03/07/2011	73,737.00	65,792.00	7,945.00
1. HEWLETT PACKARD CO COM	02/15/2011	03/07/2011	42.00	48.00	-6.00
102. ICF INTL INC COM	12/20/2010	03/07/2011	2,328.00	2,712.00	-384.00
68. ICF INTL INC COM	04/06/2010	03/07/2011	1,552.00	1,749.00	-197.00
87. ICF INTL INC COM	07/29/2010	03/07/2011	1,986.00	2,007.00	-21.00
3542. MICROSOFT CORPORATION	01/24/2011	03/07/2011	90,604.00	101,094.00	-10,490.00
4. MICROSOFT CORPORATION	01/24/2011	03/07/2011	102.00	114.00	-12.00 *
2. VARIAN SEMICONDUCTOR EQUIPMENT ASSOCS INC	02/15/2011	03/07/2011	94.00	94.00	
98. VARIAN SEMICONDUCTOR EQUIPMENT ASSOCS INC	05/20/2010	03/07/2011	4,614.00	2,983.00	1,631.00
54. VARIAN SEMICONDUCTOR EQUIPMENT ASSOCS INC	07/29/2010	03/07/2011	2,543.00	1,573.00	970.00
71. VARIAN SEMICONDUCTOR EQUIPMENT ASSOCS INC	09/28/2010	03/07/2011	3,343.00	2,045.00	1,298.00
2646. AMR CORPORATION DELAWARE	10/14/2010	03/08/2011	17,183.00	16,643.00	540.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust TTEE U/W OF LLOYD G. BALFOUR	Employer identification number 22-2751372
--	---

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 4. AMERIGROUP CORP COM	12/03/2010	03/08/2011	235.00	180.00	55.00
52. AMERIGROUP CORP COM	08/16/2010	03/08/2011	3,053.00	1,976.00	1,077.00
11. AMERIGROUP CORP COM	06/22/2010	03/08/2011	646.00	393.00	253.00
6. BIG LOTS INC COM	05/27/2010	03/08/2011	251.00	216.00	35.00
56. BIG LOTS INC COM	06/18/2010	03/08/2011	2,346.00	1,963.00	383.00
2. BIG LOTS INC COM	11/17/2010	03/08/2011	84.00	60.00	24.00
56. BIG LOTS INC COM	11/16/2010	03/08/2011	2,346.00	1,674.00	672.00
7. CHIPOTLE MEXICAN GRILL INC CL A COM	08/02/2010	03/08/2011	1,744.00	1,056.00	688.00
71. CHIPOTLE MEXICAN GRILL INC CL A COM	05/27/2010	03/08/2011	17,685.00	10,191.00	7,494.00
60. CLIFFS NAT RES INC COM	02/07/2011	03/08/2011	5,793.00	5,482.00	311.00
28. CLIFFS NAT RES INC COM	10/14/2010	03/08/2011	2,703.00	1,892.00	811.00
102. COOPER TIRE & RUBBER COMPANY	06/18/2010	03/08/2011	2,445.00	2,116.00	329.00
128. COOPER TIRE & RUBBER COMPANY	10/14/2010	03/08/2011	3,068.00	2,618.00	450.00
1. CTRIP COM INTL LTD AMERICAN DEP SHS COM	11/17/2010	03/08/2011	39.00	46.00	-7.00
151. CTRIP COM INTL LTD AMERICAN DEP SHS COM	10/29/2010	03/08/2011	5,822.00	7,852.00	-2,030.00
332. CTRIP COM INTL LTD AMERICAN DEP SHS COM	10/29/2010	03/08/2011	12,800.00	17,263.00	-4,463.00 *
8778.45 DAIMLERCHRYSLER AUTO TR 2007-A ASSET BACKED	07/16/2010	03/08/2011	8,778.00	9,117.00	-339.00
83. FIRST SOLAR INC COM	02/07/2011	03/08/2011	11,900.00	13,400.00	-1,500.00
3. GRAND CANYON ED INC COM	03/26/2010	03/08/2011	47.00	78.00	-31.00
42. GRAND CANYON ED INC COM	04/23/2010	03/08/2011	662.00	1,094.00	-432.00
72. GRAND CANYON ED INC COM	05/27/2010	03/08/2011	1,135.00	1,827.00	-692.00
46. GRAND CANYON ED INC COM	05/03/2010	03/08/2011	725.00	1,118.00	-393.00
102. MAKO SURGICAL CORP COM	07/29/2010	03/08/2011	2,061.00	1,097.00	964.00
1492. ON SEMICONDUCTOR CORP COM	01/14/2011	03/08/2011	16,017.00	17,397.00	-1,380.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 74. SKYWORKS SOLUTIONS INC	02/07/2011	03/08/2011	2,516.00	2,664.00	-148.00 *
128. TIBCO SOFTWARE INC COM	03/12/2010	03/08/2011	3,168.00	1,391.00	1,777.00
228. SINA COM ORD	01/14/2011	03/08/2011	19,820.00	19,939.00	-119.00
103. BROADSOFT INC COM	12/17/2010	03/09/2011	4,747.00	2,468.00	2,279.00
115. DEL MONTE FOODS CO	08/31/2010	03/09/2011	2,185.00	1,508.00	677.00
155. DEL MONTE FOODS CO	08/27/2010	03/09/2011	2,945.00	2,024.00	921.00
82. DEL MONTE FOODS CO	09/09/2010	03/09/2011	1,558.00	1,023.00	535.00
125. GRAND CANYON ED INC COM	05/03/2010	03/09/2011	1,948.00	3,038.00	-1,090.00
109050.92 FEDERAL NATL MTG ASSN POOL #AE6599	09/14/2010	03/10/2011	103,053.00	109,358.00	-6,305.00
42. CATALYST HEALTH SOLUTIONS INC COM	06/17/2010	03/11/2011	2,345.00	1,649.00	696.00
41. ROCKWOOD HLDGS INC COM	11/03/2010	03/11/2011	1,855.00	1,415.00	440.00
13. ROCKWOOD HLDGS INC COM	07/29/2010	03/11/2011	588.00	376.00	212.00
53. ANNTAYLOR STORES CORPORATION	01/04/2011	03/14/2011	1,467.00	1,346.00	121.00
67. FRONTIER OIL CORP COM	04/19/2010	03/14/2011	1,817.00	865.00	952.00
2775.04 BMW VEH LEASE TR 2009-1 ASSET BACKED NT CL A	05/10/2010	03/15/2011	2,775.00	2,815.00	-40.00
336. HERTZ GLOBAL HLDGS INC COM	05/12/2010	03/15/2011	4,986.00	4,367.00	619.00
87. P G & E CORPORATION	10/19/2010	03/15/2011	3,735.00	4,114.00	-379.00 *
36. PARTNERRE HOLDINGS LIMITED	02/10/2011	03/15/2011	2,646.00	2,918.00	-272.00
744. ADVANCED MICRO DEVICES INC	01/10/2011	03/16/2011	6,110.00	6,718.00	-608.00
1. ALEXION PHARMACEUTICALS INC	02/15/2011	03/16/2011	95.00	90.00	5.00
63. ALEXION PHARMACEUTICAL S INC	09/28/2010	03/16/2011	5,981.00	4,089.00	1,892.00
120. ALLEGHENY TECHNOLOGIE S INC COM	04/27/2010	03/16/2011	7,401.00	6,970.00	431.00
10. ALLEGHENY TECHNOLOGIES INC COM	04/27/2010	03/16/2011	617.00	580.00	37.00
2. ALLERGAN INCORPORATED	02/15/2011	03/16/2011	139.00	147.00	-8.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 121. ALLERGAN INCORPORATED	09/28/2010	03/16/2011	8,391.00	8,062.00	329.00
1. AMERICAN TOWER SYSTEMS CORP CL A	02/15/2011	03/16/2011	51.00	56.00	-5.00
140. AMERICAN TOWER SYSTEMS CORP CL A	09/28/2010	03/16/2011	7,118.00	7,273.00	-155.00
17. AMERICAN TOWER SYSTEMS CORP CL A	07/29/2010	03/16/2011	864.00	778.00	86.00
18. AMERISOURCEBERGEN CORP COM	08/20/2010	03/16/2011	656.00	522.00	134.00
30. APACHE CORPORATION	09/28/2010	03/16/2011	3,503.00	2,899.00	604.00
109. APPLE COMPUTER INCORPORATED	09/28/2010	03/16/2011	36,433.00	31,343.00	5,090.00
164. AUTODESK INC (DEL)	09/20/2010	03/16/2011	6,311.00	5,417.00	894.00
7. AUTOLIV INC	02/15/2011	03/16/2011	484.00	541.00	-57.00
79. AUTOLIV INC	09/28/2010	03/16/2011	5,461.00	5,098.00	363.00
36. BALLY TECHNOLOGIES INC COM	12/07/2010	03/16/2011	1,248.00	1,617.00	-369.00 *
12. BALLY TECHNOLOGIES INC COM	12/13/2010	03/16/2011	416.00	522.00	-106.00
63. BALLY TECHNOLOGIES INC COM	12/13/2010	03/16/2011	2,184.00	2,743.00	-559.00 *
1. CBS CORP CL B COM	02/15/2011	03/16/2011	23.00	22.00	1.00
260. CBS CORP CL B COM	01/10/2011	03/16/2011	6,017.00	5,054.00	963.00
104. CELANESE CORP DEL SER A COM	02/08/2011	03/16/2011	4,145.00	4,496.00	-351.00
3. CELANESE CORP DEL SER A COM	02/08/2011	03/16/2011	120.00	130.00	-10.00 *
64. CELGENE CORP	08/23/2010	03/16/2011	3,395.00	3,441.00	-46.00
1. CELGENE CORP	08/23/2010	03/16/2011	53.00	54.00	-1.00 *
3. CHEVRONTExACO CORP COM	02/15/2011	03/16/2011	303.00	288.00	15.00
113. CHEVRONTExACO CORP COM	09/28/2010	03/16/2011	11,412.00	9,114.00	2,298.00
1. COACH INC COM	02/15/2011	03/16/2011	50.00	57.00	-7.00
90. COACH INC COM	11/29/2010	03/16/2011	4,522.00	5,035.00	-513.00
66. COCA COLA CO COM	08/10/2010	03/16/2011	4,097.00	3,758.00	339.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 3. COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A	02/15/2011	03/16/2011	221.00	229.00	-8.00
94. COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A	09/28/2010	03/16/2011	6,931.00	6,106.00	825.00
5. CONTINENTAL RES INC OKLAHOMA COM	02/15/2011	03/16/2011	326.00	306.00	20.00
85. CONTINENTAL RES INC OKLAHOMA COM	09/28/2010	03/16/2011	5,550.00	3,877.00	1,673.00
21. CONTINENTAL RES INC OKLAHOMA COM	05/20/2010	03/16/2011	1,371.00	886.00	485.00
375. CORNING INC	03/07/2011	03/16/2011	7,771.00	8,500.00	-729.00
5. CORNING INC	03/07/2011	03/16/2011	104.00	113.00	-9.00 *
98. COSTCO WHOLESALE CORP	11/29/2010	03/16/2011	6,884.00	6,535.00	349.00
94. CROWN HLDGS INC COM 144A	02/08/2011	03/16/2011	3,434.00	3,444.00	-10.00 *
42. CTRIP COM INTL LTD AMERICAN DEP SHS COM	09/30/2010	03/16/2011	1,554.00	2,385.00	-831.00
47. CUMMINS ENGINE INC	09/20/2010	03/16/2011	4,700.00	4,102.00	598.00
8. CUMMINS ENGINE INC	05/20/2010	03/16/2011	800.00	511.00	289.00
116. DEERE & COMPANY	09/28/2010	03/16/2011	10,183.00	8,294.00	1,889.00
14. DEERE & COMPANY	08/10/2010	03/16/2011	1,229.00	950.00	279.00
1. DENDREON CORP COM	09/07/2010	03/16/2011	34.00	40.00	-6.00 *
66. DENDREON CORP COM	09/28/2010	03/16/2011	2,224.00	2,761.00	-537.00 *
184. DICK'S SPORTING GOODS INC	11/29/2010	03/16/2011	7,338.00	6,334.00	1,004.00
6. DOVER CORPORATION	02/15/2011	03/16/2011	375.00	399.00	-24.00
210. DOVER CORPORATION	11/29/2010	03/16/2011	13,125.00	11,505.00	1,620.00
14. E M C CORP MASS	02/15/2011	03/16/2011	357.00	378.00	-21.00
670. E M C CORP MASS	12/27/2010	03/16/2011	17,073.00	15,422.00	1,651.00
78. EDWARDS LIFESCIENCES CORP	08/06/2010	03/16/2011	6,717.00	5,599.00	1,118.00
21. EDWARDS LIFESCIENCES CORP	11/29/2010	03/16/2011	1,808.00	1,391.00	417.00
1. EMERSON ELEC CO	02/15/2011	03/16/2011	57.00	61.00	-4.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust TTEE U/W OF LLOYD G. BALFOUR	Employer identification number 22-2751372
--	---

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 198. EMERSON ELEC CO	01/24/2011	03/16/2011	11,312.00	11,485.00	-173.00
100. EXPRESS SCRIPTS INC CL A	10/05/2010	03/16/2011	5,177.00	4,924.00	253.00
8. EXXON MOBIL CORPORATION	02/15/2011	03/16/2011	645.00	663.00	-18.00
380. FAIRCHILD SEMICON INTL CL A	02/23/2011	03/16/2011	6,560.00	6,897.00	-337.00
176. FIFTH THIRD BANCORP COM	04/08/2010	03/16/2011	2,352.00	2,438.00	-86.00
1. FLOWSERVE CORP	02/15/2011	03/16/2011	119.00	129.00	-10.00
55. FLOWSERVE CORP	11/16/2010	03/16/2011	6,545.00	5,782.00	763.00
103. FOSSIL INC 00	02/23/2011	03/16/2011	8,522.00	7,993.00	529.00
47. FRANKLIN RES INC COM	04/06/2010	03/16/2011	5,429.00	5,366.00	63.00
5. FREEPORT-MCMORAN COPPER & GOLD INC COM	02/15/2011	03/16/2011	255.00	273.00	-18.00
82. FREEPORT-MCMORAN COPPER & GOLD INC COM	09/28/2010	03/16/2011	4,176.00	3,552.00	624.00
1. GOOGLE INC CL A	02/15/2011	03/16/2011	558.00	625.00	-67.00
27. GOOGLE INC CL A	06/01/2010	03/16/2011	15,058.00	13,191.00	1,867.00
12. HCC INS HLDGS INC	12/22/2010	03/16/2011	361.00	348.00	13.00
77. HCC INS HLDGS INC	03/19/2010	03/16/2011	2,314.00	2,218.00	96.00
185. HALLIBURTON CO	09/07/2010	03/16/2011	8,035.00	5,528.00	2,507.00
2. HALLIBURTON CO	02/15/2011	03/16/2011	87.00	91.00	-4.00 *
112. HERSHEY FOODS CORP	06/14/2010	03/16/2011	5,975.00	5,714.00	261.00
201. HUNT J B TRANSPORT SERVICES INC COM	01/10/2011	03/16/2011	8,601.00	8,429.00	172.00
174. INCYTE PHARMACEUTICAL S INC	11/16/2010	03/16/2011	2,459.00	2,794.00	-335.00
1. INCYTE PHARMACEUTICALS INC	11/16/2010	03/16/2011	14.00	16.00	-2.00 *
47. INTERNATIONAL BUSINESS MACHS	05/19/2010	03/16/2011	7,216.00	6,056.00	1,160.00
163. INTUIT INC	09/28/2010	03/16/2011	7,914.00	7,310.00	604.00
3. INTUIT INC	02/15/2011	03/16/2011	146.00	150.00	-4.00 *

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

JSA
0F1221 3 000

FT0560 L775 10/26/2011 07:25:26

572 -

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 219. JDS UNIPHASE CORP COM	02/23/2011	03/16/2011	4,657.00	5,405.00	-748.00 *
124. J P MORGAN CHASE & CO COM	02/23/2011	03/16/2011	5,469.00	5,713.00	-244.00
344. JUNIPER NETWORKS INC	02/08/2011	03/16/2011	14,476.00	13,879.00	597.00
142. KBR INC COM	03/07/2011	03/16/2011	4,675.00	4,860.00	-185.00 *
132. LAS VEGAS SANDS CORP	05/20/2010	03/16/2011	5,022.00	2,694.00	2,328.00
88. ESTEE LAUDER COMPANIES CL A	11/04/2010	03/16/2011	7,838.00	6,373.00	1,465.00
88. LIFE TECHNOLOGIES CORP COM	12/27/2010	03/16/2011	4,409.00	4,871.00	-462.00
4. LIMITED BRANDS INC COM	02/15/2011	03/16/2011	121.00	130.00	-9.00
161. LIMITED BRANDS INC COM	09/28/2010	03/16/2011	4,851.00	4,372.00	479.00
274. LOWES COMPANIES INCORPORATED	12/13/2010	03/16/2011	7,231.00	6,897.00	334.00
2. LULULEMON ATHLETICA INC COM	02/15/2011	03/16/2011	157.00	163.00	-6.00
55. LULULEMON ATHLETICA INC COM	04/15/2010	03/16/2011	4,323.00	2,451.00	1,872.00
11. LULULEMON ATHLETICA INC COM	04/12/2010	03/16/2011	865.00	488.00	377.00
152. MCDERMOTT INTERNATION AL INCORPORATED	12/13/2010	03/16/2011	3,515.00	3,067.00	448.00
123. METLIFE INC	02/08/2011	03/16/2011	5,179.00	5,984.00	-805.00
4. MICROSOFT CORPORATION	01/04/2011	03/16/2011	99.00	120.00	-21.00 *
368. MICROSOFT CORPORATION	01/24/2011	03/16/2011	9,112.00	10,503.00	-1,391.00 *
57. MURPHY OIL CORP COM	12/27/2010	03/16/2011	3,942.00	4,224.00	-282.00
114. NII HLDGS INC COM	09/28/2010	03/16/2011	4,273.00	4,776.00	-503.00
6. NII HLDGS INC COM	07/29/2010	03/16/2011	225.00	228.00	-3.00
3. NII HLDGS INC COM	05/20/2010	03/16/2011	112.00	103.00	9.00
50. NATIONAL OILWELL VARCO INC COM	11/16/2010	03/16/2011	3,725.00	2,880.00	845.00
2. NATIONAL OILWELL VARCO INC COM	02/15/2011	03/16/2011	149.00	157.00	-8.00 *
113. NETLOGIC MICROSYSTEMS INC COM	07/17/2010	03/16/2011	4,533.00	3,666.00	867.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 287. NETLOGIC MICROSYSTEMS INC COM	04/06/2010	03/16/2011	11,512.00	8,843.00	2,669.00
94. NORDSTROM INCORPORATED	10/18/2010	03/16/2011	3,949.00	3,592.00	357.00
82. OCCIDENTAL PETROLEUM CORPORATION	06/14/2010	03/16/2011	7,988.00	7,073.00	915.00
351. ORACLE SYS CORP	09/28/2010	03/16/2011	10,650.00	9,546.00	1,104.00
30. ORACLE SYS CORP	02/15/2011	03/16/2011	910.00	981.00	-71.00 *
41. PPG INDUSTRIES INC	01/24/2011	03/16/2011	3,529.00	3,401.00	128.00
219. PACKAGING CORP OF AMERICA	05/19/2010	03/16/2011	5,942.00	4,925.00	1,017.00
2. PARKER HANNIFIN CORPORATION	02/15/2011	03/16/2011	173.00	183.00	-10.00
106. PARKER HANNIFIN CORPORATION	10/05/2010	03/16/2011	9,156.00	7,579.00	1,577.00
223. PEABODY ENERGY CORP COM	11/04/2010	03/16/2011	15,197.00	12,658.00	2,539.00
75. PERRIGO CO	02/08/2011	03/16/2011	5,606.00	5,360.00	246.00
271. PHILIP MORRIS INTL INC COM	03/07/2011	03/16/2011	16,848.00	17,282.00	-434.00
1. PRECISION CASTPARTS CORPORATION	02/15/2011	03/16/2011	139.00	151.00	-12.00
16. PRECISION CASTPARTS CORPORATION	08/26/2010	03/16/2011	2,221.00	2,197.00	24.00
23. PRECISION CASTPARTS CORPORATION	11/16/2010	03/16/2011	3,192.00	3,040.00	152.00
2. PRICELINE COM INC COM	11/04/2010	03/16/2011	916.00	764.00	152.00
233. QUALCOMM INC	02/08/2011	03/16/2011	11,834.00	12,942.00	-1,108.00
58. RADIOSHACK CORP	11/04/2010	03/16/2011	815.00	1,182.00	-367.00
73. ROCKWELL INTL CORP	02/08/2011	03/16/2011	6,274.00	6,133.00	141.00
89. ROVI CORP COM	10/05/2010	03/16/2011	4,874.00	4,470.00	404.00
212. ST JUDE MEDICAL INCORPORATED	03/07/2011	03/16/2011	10,002.00	10,405.00	-403.00
74. SKYWORKS SOLUTIONS INC	01/10/2011	03/16/2011	2,323.00	2,775.00	-452.00
6. SKYWORKS SOLUTIONS INC	02/15/2011	03/16/2011	188.00	218.00	-30.00
212. SKYWORKS SOLUTIONS INC	02/08/2011	03/16/2011	6,655.00	7,524.00	-869.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 25. SOUTHWESTERN ENERGY CO	05/09/2010	03/16/2011	1,011.00	989.00	22.00
19. SOUTHWESTERN ENERGY CO	05/09/2010	03/16/2011	768.00	750.00	18.00
201. SOUTHWESTERN ENERGY CO	11/16/2010	03/16/2011	8,129.00	7,503.00	626.00
261. STARBUCKS CORP	02/23/2011	03/16/2011	9,146.00	8,539.00	607.00
272. SUCCESSFACTORS INC COM	09/28/2010	03/16/2011	9,442.00	6,903.00	2,539.00
1. TERADATA CORP DELAWARE COM	02/15/2011	03/16/2011	48.00	48.00	
246. TEXAS INSTRS INC	11/16/2010	03/16/2011	8,106.00	7,578.00	528.00
1. UNION PAC CORP COM	02/15/2011	03/16/2011	92.00	98.00	-6.00
85. UNION PAC CORP COM	01/10/2011	03/16/2011	7,842.00	8,266.00	-424.00
147. UNITED PARCEL SERVICE CL B	10/18/2010	03/16/2011	10,377.00	10,239.00	138.00
124. UNITED TECHNOLOGIES CORP	11/04/2010	03/16/2011	9,794.00	9,490.00	304.00
143. UNITEDHEALTH GROUP INC	03/07/2011	03/16/2011	5,999.00	6,225.00	-226.00
94. VARIAN MEDICAL SYSTEMS INC	10/18/2010	03/16/2011	6,162.00	5,854.00	308.00
220. VIACOM INC CL B COM	02/08/2011	03/16/2011	9,560.00	9,649.00	-89.00
282. WALGREEN CO	02/23/2011	03/16/2011	11,512.00	11,912.00	-400.00 *
72. WATERS CORP	11/16/2010	03/16/2011	5,884.00	5,458.00	426.00
59. WATSON PHARMACEUTICALS INC	02/08/2011	03/16/2011	3,210.00	3,287.00	-77.00
187. WHOLE FOODS MKT INC COM	11/16/2010	03/16/2011	11,323.00	8,742.00	2,581.00
93. COVIDIEN LTD PLC COM	03/07/2011	03/16/2011	4,680.00	4,934.00	-254.00
6. NABORS INDUSTRIES INC COM	02/15/2011	03/16/2011	158.00	157.00	1.00
165. FOSTER WHEELER AG COM	02/23/2011	03/16/2011	5,488.00	5,887.00	-399.00
4. TYCO ELECTRONICS LTD COM	02/15/2011	03/16/2011	132.00	151.00	-19.00
157. TYCO ELECTRONICS LTD COM	05/04/2010	03/16/2011	5,191.00	5,011.00	180.00
45. MILLICOM INTL CELLULAR S A COM	09/28/2010	03/16/2011	3,858.00	4,413.00	-555.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 230. AMERICAN ELECTRIC POWER CO	05/27/2010	03/17/2011	7,789.00	7,323.00	466.00
514. FXCM INC CL A COM	12/03/2010	03/17/2011	6,038.00	7,751.00	-1,713.00
68. AMETEK INC - NEW	08/27/2010	03/18/2011	2,778.00	1,982.00	796.00
79. ATMEL CORP	01/08/2011	03/18/2011	901.00	1,122.00	-221.00
977. ATMEL CORP	01/14/2011	03/18/2011	11,141.00	13,623.00	-2,482.00
36. BALLY TECHNOLOGIES INC COM	11/29/2010	03/18/2011	1,255.00	1,682.00	-427.00
63. BALLY TECHNOLOGIES INC COM	12/05/2010	03/18/2011	2,197.00	2,856.00	-659.00
301. BALLY TECHNOLOGIES INC COM	12/09/2010	03/18/2011	10,496.00	13,043.00	-2,547.00
40. CAMERON INT'L CORP COM	10/14/2010	03/18/2011	2,373.00	1,698.00	675.00
395. CARMAX INC	10/14/2010	03/18/2011	13,014.00	11,590.00	1,424.00
46. CLIFFS NAT RES INC COM	10/14/2010	03/18/2011	4,082.00	3,109.00	973.00
15. CLIFFS NAT RES INC COM	08/02/2010	03/18/2011	1,331.00	911.00	420.00
113. GRAND CANYON ED INC COM	05/03/2010	03/18/2011	1,641.00	2,746.00	-1,105.00
73. GRAND CANYON ED INC COM	08/02/2010	03/18/2011	1,060.00	1,766.00	-706.00
219. GRAND CANYON ED INC COM	08/27/2010	03/18/2011	3,180.00	3,854.00	-674.00
33. GREEN MOUNTAIN COFFEE ROASTERS INC COM	12/09/2010	03/18/2011	1,985.00	1,235.00	750.00
55. GREEN MOUNTAIN COFFEE ROASTERS INC COM	08/27/2010	03/18/2011	3,308.00	1,689.00	1,619.00
33. IHS INC CL A COM	11/16/2010	03/18/2011	2,851.00	2,370.00	481.00
22. IHS INC CL A COM	08/02/2010	03/18/2011	1,901.00	1,402.00	499.00
21. IHS INC CL A COM	08/27/2010	03/18/2011	1,814.00	1,300.00	514.00
22. IHS INC CL A COM	06/18/2010	03/18/2011	1,901.00	1,312.00	589.00
361. KINDRED HEALTHCARE INC COM	03/08/2011	03/18/2011	8,286.00	9,427.00	-1,141.00
34. LULULEMON ATHLETICA INC COM	02/07/2011	03/18/2011	2,566.00	2,669.00	-103.00
187. MANPOWER INCORPORATED WISCONSIN	02/07/2011	03/18/2011	11,151.00	12,808.00	-1,657.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 35. ONYX PHARMACEUTICALS INC	08/02/2010	03/18/2011	1,253.00	938.00	315.00
218. SOUTHWESTERN ENERGY CO	06/07/2010	03/18/2011	8,910.00	8,144.00	766.00
30. SOUTHWESTERN ENERGY CO	08/13/2010	03/18/2011	1,226.00	1,117.00	109.00
99. SOUTHWESTERN ENERGY CO	08/27/2010	03/18/2011	4,046.00	3,310.00	736.00
78. ULTA SALON COSMETICS & FRAGRANCE INC COM	10/14/2010	03/18/2011	3,611.00	2,347.00	1,264.00
5. CENTERPOINT ENERGY INC	10/02/2010	03/21/2011	85.00	86.00	-1.00
70. CENTERPOINT ENERGY INC	10/24/2010	03/21/2011	1,189.00	1,189.00	
11. CENTERPOINT ENERGY INC	10/13/2010	03/21/2011	187.00	186.00	1.00
26. CENTERPOINT ENERGY INC	11/09/2010	03/21/2011	442.00	432.00	10.00
1367. COCA COLA CO COM	08/10/2010	03/21/2011	87,037.00	77,832.00	9,205.00
1. INCYTE PHARMACEUTICALS INC	10/18/2010	03/21/2011	14.00	16.00	-2.00
2229. INCYTE PHARMACEUTICA LS INC	11/16/2010	03/21/2011	31,140.00	35,787.00	-4,647.00
1043. JUNIPER NETWORKS INC	02/08/2011	03/21/2011	42,113.00	42,080.00	33.00
278. JUNIPER NETWORKS INC	01/10/2011	03/21/2011	11,225.00	10,389.00	836.00
795. METLIFE INC	02/08/2011	03/21/2011	35,176.00	38,680.00	-3,504.00
1237. METLIFE INC	08/10/2010	03/21/2011	54,732.00	52,246.00	2,486.00
1469. ROVI CORP COM	10/05/2010	03/21/2011	80,266.00	73,788.00	6,478.00
1319. TEXAS INSTRS INC	11/16/2010	03/21/2011	44,676.00	40,629.00	4,047.00
121. TEXAS INSTRS INC	09/28/2010	03/21/2011	4,098.00	3,265.00	833.00
2561.72 VOLKSWAGEN AUTO LN ENHANCED TR SER 2008-2 CL A	05/07/2010	03/21/2011	2,562.00	2,661.00	-99.00
216. MICRON TECHNOLOGY INC	01/21/2011	03/24/2011	2,447.00	2,121.00	326.00
70. ROCKWOOD HLDGS INC COM	07/29/2010	03/24/2011	3,418.00	2,027.00	1,391.00
2. SEMPRA ENERGY	10/07/2010	03/24/2011	105.00	109.00	-4.00
42. THORATEC LABS CORP NEW	08/21/2010	03/24/2011	1,064.00	1,830.00	-766.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 36. THORATEC LABS CORP NEW	07/29/2010	03/24/2011	912.00	1,504.00	-592.00
34. THORATEC LABS CORP NEW	09/07/2010	03/24/2011	861.00	1,252.00	-391.00
24. THORATEC LABS CORP NEW	10/25/2010	03/24/2011	608.00	845.00	-237.00
85. GAMESTOP CORP CL A COM	12/06/2010	03/28/2011	1,866.00	2,074.00	-208.00 *
71. GAMESTOP CORP CL A COM	12/27/2010	03/28/2011	1,559.00	1,583.00	-24.00 *
113. GAMESTOP CORP CL A COM	01/03/2011	03/28/2011	2,481.00	2,606.00	-125.00 *
55. LOGMEIN INC COM	03/08/2011	03/28/2011	2,244.00	1,992.00	252.00
67. LOGMEIN INC COM	02/09/2011	03/28/2011	2,734.00	2,737.00	-3.00 *
66. MEDASSETS INC COM	07/14/2010	03/28/2011	990.00	1,742.00	-752.00
57. MEDASSETS INC COM	06/17/2010	03/28/2011	855.00	1,409.00	-554.00
121. MEDASSETS INC COM	06/28/2010	03/28/2011	1,815.00	2,964.00	-1,149.00
23. MEDASSETS INC COM	05/19/2010	03/28/2011	345.00	547.00	-202.00
13. BERRY PETE CO CL A	01/27/2011	03/29/2011	645.00	570.00	75.00
88. CVB FINL CORP	08/11/2010	03/29/2011	794.00	723.00	71.00
13. CORN PRODUCTS INTERNATIONAL INC	03/15/2011	03/29/2011	647.00	599.00	48.00
12. DIGITAL RIV INC	01/10/2011	03/29/2011	439.00	452.00	-13.00
40. DIGITAL RIV INC	01/18/2011	03/29/2011	1,462.00	1,482.00	-20.00
3. DIGITAL RIV INC	01/19/2011	03/29/2011	110.00	108.00	2.00
555. HERTZ GLOBAL HLDGS INC COM	05/12/2010	03/29/2011	8,565.00	7,214.00	1,351.00
153. HERTZ GLOBAL HLDGS INC COM	05/20/2010	03/29/2011	2,361.00	1,590.00	771.00
30. HORACE MANN EDUCATORS CORP	03/21/2011	03/29/2011	489.00	508.00	-19.00 *
12. INNOPHOS HLDGS INC COM	11/01/2010	03/29/2011	539.00	446.00	93.00
3. INNOPHOS HLDGS INC COM	10/25/2010	03/29/2011	135.00	108.00	27.00
383. KODIAK OIL & GAS CORP COM	04/06/2010	03/29/2011	2,560.00	1,506.00	1,054.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 447. KODIAK OIL & GAS CORP COM	07/29/2010	03/29/2011	2,987.00	1,493.00	1,494.00
855. KODIAK OIL & GAS CORP COM	08/16/2010	03/29/2011	5,714.00	2,545.00	3,169.00
68. LUFKIN INDS INC COM	11/16/2010	03/29/2011	6,145.00	3,231.00	2,914.00
111. PATRIOT COAL CORP COM	02/16/2011	03/29/2011	2,796.00	2,810.00	-14.00
14. RTI INTERNATIONAL METALS	09/22/2010	03/29/2011	433.00	439.00	-6.00
13. SWIFT ENERGY CO COM	02/25/2011	03/29/2011	555.00	597.00	-42.00
10. SWIFT ENERGY CO COM	12/30/2010	03/29/2011	427.00	397.00	30.00
10. TELEFLEX INCORPORATED	02/25/2011	03/29/2011	570.00	585.00	-15.00
66000. UNITED STATES TREAS NT DTD 08/16/10 2.625% DUE	10/01/2010	03/29/2011	61,702.00	66,972.00	-5,270.00
11000. UNITED STATES TREAS NT DTD 08/16/10 2.625% DUE	09/24/2010	03/29/2011	10,284.00	11,126.00	-842.00
44000. UNITED STATES TREAS NT DTD 08/16/10 2.625% DUE	10/16/2010	03/29/2011	41,135.00	43,891.00	-2,756.00
39000. UNITED STATES TREAS NT DTD 08/16/10 2.625% DUE	11/19/2010	03/29/2011	36,460.00	38,296.00	-1,836.00
400000. UNITED STATES TREAS NT DTD 11/30/10 1.375	12/28/2010	03/29/2011	386,719.00	386,953.00	-234.00
1. CACI INTL INC CL A	04/09/2010	03/30/2011	61.00	48.00	13.00
1. CACI INTL INC CL A	04/30/2010	03/30/2011	61.00	48.00	13.00
95. CEPHALON INC CON	10/13/2010	03/30/2011	7,153.00	6,055.00	1,098.00
52. CEPHALON INC CON	10/04/2010	03/30/2011	3,915.00	3,225.00	690.00
39. CEPHALON INC CON	02/04/2011	03/30/2011	2,937.00	2,350.00	587.00
62. CEPHALON INC CON	02/16/2011	03/30/2011	4,668.00	3,655.00	1,013.00
149. CEPHALON INC CON	06/07/2010	03/30/2011	11,219.00	8,694.00	2,525.00
180. CEPHALON INC CON	07/30/2010	03/30/2011	13,553.00	10,249.00	3,304.00
78. CEPHALON INC CON	06/08/2010	03/30/2011	5,873.00	4,435.00	1,438.00
34. COOPER TIRE & RUBBER COMPANY	01/05/2011	03/30/2011	885.00	837.00	48.00
49. OSHKOSH TRUCK CORP COM	05/04/2010	03/30/2011	1,732.00	1,880.00	-148.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 45. OSHKOSH TRUCK CORP COM	05/28/2010	03/30/2011	1,590.00	1,620.00	-30.00
49. OSHKOSH TRUCK CORP COM	05/28/2010	03/30/2011	1,732.00	1,763.00	-31.00
33. ZEBRA TECHNOLOGIES CORP CL A	02/16/2011	03/30/2011	1,287.00	1,272.00	15.00
7852.63 FEDERAL HOME LN MTG CORP POOL #A92650	11/17/2010	03/31/2011	7,853.00	8,429.00	-576.00
4459.18 FEDERAL HOME LN MTG CORP POOL #A93936	12/13/2010	03/31/2011	4,459.00	4,567.00	-108.00
189.15 FEDERAL NATL MTG ASSN POOL #AH0087	03/10/2011	03/31/2011	189.00	187.00	2.00
834.84 FEDERAL NATL MTG ASSN POOL #AH0943	12/06/2010	03/31/2011	835.00	844.00	-9.00
10040.41 FEDERAL NATL MTG ASSN POOL #888817	11/16/2010	03/31/2011	10,040.00	10,858.00	-818.00
4811.76 FEDERAL NATL MTG ASSN POOL #953590	12/29/2010	03/31/2011	4,812.00	5,149.00	-337.00
4543.74 FEDERAL NATL MTG ASSN POOL #995681	04/07/2010	03/31/2011	4,544.00	4,818.00	-274.00
749.78 FEDERAL NATL MTG ASSN POOL #AB1500	09/14/2010	03/31/2011	750.00	773.00	-23.00
1182.7 FEDERAL NATL MTG ASSN POOL #AD7127	07/21/2010	03/31/2011	1,183.00	1,231.00	-48.00
1337.96 FEDERAL NATL MTG ASSN POOL #AD6942	06/25/2010	03/31/2011	1,338.00	1,418.00	-80.00
312.31 FEDERAL NATL MTG ASSN POOL #AE1555	12/13/2010	03/31/2011	312.00	320.00	-8.00
1106.91 FEDERAL NATL MTG ASSN POOL #AE6391	01/25/2011	03/31/2011	1,107.00	1,098.00	9.00
1595.52 GOVERNMENT NATL MTG ASSN POOL #742550	02/07/2011	03/31/2011	1,596.00	1,633.00	-37.00
18.5 TNT N V SPONSORED ADR	03/18/2011	03/31/2011	475.00	471.00	4.00
53. TOWERS WATSON & CO CL A COM	08/10/2010	03/31/2011	2,924.00	2,428.00	496.00
69. TOWERS WATSON & CO CL A COM	07/29/2010	03/31/2011	3,806.00	3,031.00	775.00
25. WILLIAMS SONOMA INCORPORATED	07/29/2010	03/31/2011	990.00	660.00	330.00
14. ZEBRA TECHNOLOGIES CORP CL A	02/16/2011	03/31/2011	548.00	540.00	8.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b **1,323,394.00**

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

JSA
0F1221 3 000

* INDICATES WASH SALE

FT0560 L775 10/26/2011 07:25:26

580 -

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 5303.34 AMERICREDIT AUTOMOBILE RECEIVABLES TR 2	04/11/2007	04/06/2010	5,303.00	5,302.00	1.00
2483.75 ML CFC COML MTG TR					
2006-3 MTG PASSTHRU CTF CL	09/22/2006	04/12/2010	2,484.00	2,459.00	25.00
7237.45 CAPITAL ONE PRIME AUTO RECEIV- ABLES TR 2007-	09/25/2007	04/14/2010	7,237.00	7,237.00	
1736.91 UPFC AUTO RECEIVABLES TR 2006-A NT CL	06/06/2006	04/14/2010	1,737.00	1,737.00	
2543.84 J PMORGAN CHASE COML MTG SECS CORP 2005-LDP	06/10/2005	04/15/2010	2,544.00	2,557.00	-13.00
6043.4 FRANKLIN AUTO TR					
2006-1 ASSET BKD NT CL A-4	10/04/2006	04/20/2010	6,043.00	6,043.00	
3.66 EQUITY ONE SER 2004-3 CLASS AV2 DTD 7/23/04 FLT R	07/14/2004	04/24/2010	4.00	4.00	
1842.46 BEAR STEARNS COML MTG SECS TR 2007-TOP28 PASS	10/11/2007	04/30/2010	1,842.00	1,847.00	-5.00
9.4 FEDERAL NATL MTG ASSN POOL #E80870	08/17/2000	04/30/2010	9.00	9.00	
2439.11 FHLMC GOLD #E91275 DTD 9/1/2002 5.50% DUE 7/1/	04/03/2003	04/30/2010	2,439.00	2,526.00	-87.00
5815.43 FEDERAL HOME LN MTG CORP POOL #1B4023	04/23/2008	04/30/2010	5,815.00	5,852.00	-37.00
58.03 FEDERAL HOME LN MTG CORP POOL #E00881	08/17/2000	04/30/2010	58.00	59.00	-1.00
442.02 FEDERAL HOME LN MTG CORP POOL #E00996	07/02/2001	04/30/2010	442.00	445.00	-3.00
1321.4 FHLMC GOLD #B12573 DTD 3/1/04 5.50% DUE 3/1/19	06/09/2004	04/30/2010	1,321.00	1,345.00	-24.00
258.72 FNMA POOL #251617 DTD 2/1/1998 6.00% DUE 3/1/	07/24/1998	04/30/2010	259.00	259.00	
465.51 FEDERAL NATL MTG ASSN POOL #253929	07/02/2001	04/30/2010	466.00	476.00	-10.00
3435.1 FNMA POOL #254471 DTD 8/1/2002 6.00% DUE 9/1/	08/12/2002	04/30/2010	3,435.00	3,527.00	-92.00
1783.96 FEDERAL NATL MTG ASSN POOL #255890	09/22/2005	04/30/2010	1,784.00	1,784.00	
388.18 FNMA POOL #338365 DTD 4/1/1996 6.00% DUE 4/1/	09/17/1997	04/30/2010	388.00	388.00	
19.51 FNMA POOL #440390 DTD 9/1/98 7.00% DUE 9/1/20	09/09/1998	04/30/2010	20.00	20.00	
78.33 FNMA POOL #457175 DTD 8/1/99 7.00% DUE 8/1/20	07/30/1999	04/30/2010	78.00	78.00	
79.46 FNMA POOL #548844 DTD 8/1/2000 7.50% DUE 8/1/	07/02/2001	04/30/2010	79.00	82.00	-3.00
79.57 FNMA POOL #561495 DTD 2/1/2001 7.50% DUE 2/1/	07/02/2001	04/30/2010	80.00	82.00	-2.00
62.37 FNMA POOL #593098 DTD 7/1/2001 8.00% DUE 7/1/	07/02/2001	04/30/2010	62.00	65.00	-3.00
7.32 FNMA POOL #596213 DTD 7/1/2001 7.00% DUE 7/1/2031	07/02/2001	04/30/2010	7.00	7.00	

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

0F1222 3 000

FT0560 L775 10/26/2011 07:25:26

581 -

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 628.55 FNMA POOL #648913 DTD 9/1/2002 5.00% DUE 10/1/2004	04/03/2003	04/30/2010	629.00	644.00	-15.00
2004.73 FNMA POOL #763907 DTD 1/1/2004 5.00% DUE 1/1/2008	04/02/2004	04/30/2010	2,005.00	2,048.00	-43.00
8856.78 FEDERAL NATL MTG ASSN POOL #888757	10/18/2007	04/30/2010	8,857.00	8,946.00	-89.00
6217.59 FEDERAL NATL MTG ASSN POOL #918782	06/25/2007	04/30/2010	6,218.00	6,262.00	-44.00
1471.73 FEDERAL NATL MTG ASSN POOL #930612	02/09/2009	04/30/2010	1,472.00	1,481.00	-9.00
1373.78 FEDERAL NATL MTG ASSN POOL #995586	03/09/2009	04/30/2010	1,374.00	1,374.00	
138.66 GNMA POOL #609413 DTD 5/1/03 5.50% DUE 5/15/31	02/15/2005	04/30/2010	139.00	142.00	-3.00
9.07 GNMA POOL #426776 DTD 6/1/2001 7.00% DUE 6/15/2031	07/02/2001	04/30/2010	9.00	9.00	
8.19 GNMA POOL #436031 DTD 12/1/1997 7.50% DUE 12/15/2027	12/30/1997	04/30/2010	8.00	8.00	
27.01 GNMA POOL #468394 DTD 3/1/2001 7.00% DUE 3/15/2029	07/13/2001	04/30/2010	27.00	27.00	
9.67 GNMA POOL #470517 DTD 6/1/99 7.50% DUE 6/15/2029	07/30/1999	04/30/2010	10.00	10.00	
521.6 GNMA POOL #495940 DTD 2/1/99 6.00% DUE 2/15/2029	04/26/1999	04/30/2010	522.00	522.00	
61.56 GNMA POOL #555841 DTD 7/1/2001 6.50% DUE 7/15/2029	07/02/2001	04/30/2010	62.00	62.00	
35.23 GNMA POOL #564748 DTD 8/1/2001 7.00% DUE 8/15/2029	09/18/2001	04/30/2010	35.00	36.00	-1.00
4157.56 GREENWICH CAP COML FDG CORP 2003-C2 MTG PASSTH	12/09/2003	04/30/2010	4,158.00	4,178.00	-20.00
5830.45 J P MORGAN CHASE COML MTG SECS TR 2007 CIBC2	09/25/2007	04/30/2010	5,830.00	5,845.00	-15.00
4373.44 ML CFC COML MTG TR 2006-3 MTG PASSTHRU CTF CL	09/22/2006	05/12/2010	4,373.00	4,330.00	43.00
2455.31 CAPITAL AUTO RECEIVABLES ASSET TR 2007-1	04/19/2007	05/14/2010	2,455.00	2,455.00	
1479.74 UPFC AUTO RECEIVABLES TR 2006-A NT CL	06/06/2006	05/14/2010	1,480.00	1,480.00	
6417.4 CAPITAL ONE PRIME AUTO RECEIV- ABLES TR 2007-	09/25/2007	05/15/2010	6,417.00	6,417.00	
523.22 ORIGEN MANFCTRD HSG SER 2002-A CL A3 DTD 3/1/2004	04/23/2004	05/15/2010	523.00	551.00	-28.00
5594.95 FRANKLIN AUTO TR 2006-1 ASSET BKD NT CL A-4	10/04/2006	05/19/2010	5,595.00	5,595.00	
3.6 EQUITY ONE SER 2004-3 CLASS AV2 DTD 7/23/04 FLT R	07/14/2004	05/24/2010	4.00	4.00	
212951.65 FEDERAL NATL MTG ASSN POOL #930612	02/09/2009	05/27/2010	216,612.00	214,349.00	2,263.00
1685.04 BEAR STEARNS COML MTG SECS TR 2007-TOP28 PASS	10/11/2007	05/31/2010	1,685.00	1,689.00	-4.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

0F1222 3 000

FT0560 L775 10/26/2011 07:25:26

582 -

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 9.44 FEDERAL NATL MTG ASSN POOL #E80870	08/17/2000	05/31/2010	9.00	9.00	
665.19 FHLMO GOLD #E91275 DTD 9/1/2002 5.50% DUE 7/1/10	04/03/2003	05/31/2010	665.00	689.00	-24.00
5383.75 FEDERAL HOME LN MTG CORP POOL #1B4023	04/23/2008	05/31/2010	5,384.00	5,417.00	-33.00
10309.32 FEDERAL HOME LN MTG CORP POOL #1G3616	05/28/2009	05/31/2010	10,309.00	10,847.00	-538.00
129.61 FEDERAL HOME LN MTG CORP POOL #E00881	08/17/2000	05/31/2010	130.00	131.00	-1.00
376.3 FEDERAL HOME LN MTG CORP POOL #E00996	07/02/2001	05/31/2010	376.00	379.00	-3.00
1387.93 FHLMO GOLD #B12573 DTD 3/1/04 5.50% DUE 3/1/19	06/09/2004	05/31/2010	1,388.00	1,413.00	-25.00
240.24 FNMA POOL #251617 DTD 2/1/1998 6.00% DUE 3/1/10	07/24/1998	05/31/2010	240.00	240.00	
301.96 FEDERAL NATL MTG ASSN POOL #253929	07/02/2001	05/31/2010	302.00	309.00	-7.00
1688.64 FNMA POOL #254471 DTD 8/1/2002 6.00% DUE 9/1/10	08/12/2002	05/31/2010	1,689.00	1,734.00	-45.00
1852.09 FEDERAL NATL MTG ASSN POOL #255890	09/22/2005	05/31/2010	1,852.00	1,852.00	
515.51 FNMA POOL #338365 DTD 4/1/1996 6.00% DUE 4/1/10	09/17/1997	05/31/2010	516.00	516.00	
19.64 FNMA POOL #440390 DTD 9/1/98 7.00% DUE 9/1/20	09/09/1998	05/31/2010	20.00	20.00	
78.81 FNMA POOL #457175 DTD 8/1/99 7.00% DUE 8/1/20	07/30/1999	05/31/2010	79.00	79.00	
80. FNMA POOL #548844 DTD 8/1/2000 7.50% DUE 8/1/2015	07/02/2001	05/31/2010	80.00	82.00	-2.00
80.11 FNMA POOL #561495 DTD 2/1/2001 7.50% DUE 2/1/10	07/02/2001	05/31/2010	80.00	82.00	-2.00
62.83 FNMA POOL #593098 DTD 7/1/2001 8.00% DUE 7/1/10	07/02/2001	05/31/2010	63.00	65.00	-2.00
7.37 FNMA POOL #596213 DTD 7/1/2001 7.00% DUE 7/1/2031	07/02/2001	05/31/2010	7.00	7.00	
931.41 FNMA POOL #648913 DTD 9/1/2002 5.00% DUE 10/1/10	04/03/2003	05/31/2010	931.00	954.00	-23.00
1848.25 FNMA POOL #763907 DTD 1/1/2004 5.00% DUE 1/1/10	04/02/2004	05/31/2010	1,848.00	1,888.00	-40.00
9748.7 FEDERAL NATL MTG ASSN POOL #888757	10/18/2007	05/31/2010	9,749.00	9,847.00	-98.00
22579.08 FEDERAL NATL MTG ASSN POOL #918782	06/25/2007	05/31/2010	22,579.00	22,740.00	-161.00
1155.96 FEDERAL NATL MTG ASSN POOL #930612	02/09/2009	05/31/2010	1,156.00	1,164.00	-8.00
1081.71 FEDERAL NATL MTG ASSN POOL #995586	03/09/2009	05/31/2010	1,082.00	1,082.00	
2563.06 GNMA POOL #609413 DTD 5/1/03 5.50% DUE 5/15/31	02/15/2005	05/31/2010	2,563.00	2,628.00	-65.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 9.13 GNMA POOL #426776 DTD 6/1/2001 7.00% DUE 6/15/203	07/02/2001	05/31/2010	9.00	9.00	
8.25 GNMA POOL #436031 DTD 12/1/1997 7.50% DUE 12/15/2	12/30/1997	05/31/2010	8.00	8.00	
27.18 GNMA POOL #468394 DTD 3/1/2001 7.00% DUE 3/15	07/13/2001	05/31/2010	27.00	28.00	-1.00
9.85 GNMA POOL #470517 DTD 6/1/99 7.50% DUE 6/15/2029	07/30/1999	05/31/2010	10.00	10.00	
157.26 GNMA POOL #495940 DTD 2/1/99 6.00% DUE 2/15/2	04/26/1999	05/31/2010	157.00	157.00	
57.67 GNMA POOL #555841 DTD 7/1/2001 6.50% DUE 7/15	07/02/2001	05/31/2010	58.00	58.00	
36.36 GNMA POOL #564748 DTD 8/1/2001 7.00% DUE 8/15	09/18/2001	05/31/2010	36.00	38.00	-2.00
626.74 GREENWICH CAP COML FDG CORP 2003-C2 MTG PASSTH	12/09/2003	05/31/2010	627.00	630.00	-3.00
2713.36 J P MORGAN CHASE COML MTG SECS TR 2007 CIBC2	09/25/2007	05/31/2010	2,713.00	2,720.00	-7.00
486.63 ORIGEN MANFCTRD HSG SER 2002-A CL A3 DTD 3/1/20	04/23/2004	05/31/2010	487.00	513.00	-26.00
150000. COBALT CMBS COML MTG TR 2007-C3 COML MTG PAS	03/12/2008	06/02/2010	140,766.00	140,309.00	457.00
8094.5 CAPITAL AUTO RECEIVABLES ASSET TR 2007-1	04/19/2007	06/14/2010	8,095.00	8,095.00	
6525.73 ML CFC COML MTG TR 2006-3 MTG PASSTHRU CTF CL	09/22/2006	06/14/2010	6,526.00	6,460.00	66.00
6149.19 CAPITAL ONE PRIME AUTO RECEIV- ABLES TR 2007-	09/25/2007	06/15/2010	6,149.00	6,149.00	
12132.33 UPFC AUTO RECEIVABLES TR 2006-A NT CL	06/06/2006	06/15/2010	12,132.00	12,130.00	2.00
4989.86 FRANKLIN AUTO TR 2006-1 ASSET BKD NT CL A-4	10/04/2006	06/21/2010	4,990.00	4,990.00	
9.54 FEDERAL NATL MTG ASSN POOL #E80870	08/17/2000	06/30/2010	10.00	10.00	
313.66 FHLMC GOLD #E91275 DTD 9/1/2002 5.50% DUE 7/1/	04/03/2003	06/30/2010	314.00	325.00	-11.00
5372.83 FEDERAL HOME LN MTG CORP POOL #1B4023	04/23/2008	06/30/2010	5,373.00	5,406.00	-33.00
5608.04 FEDERAL HOME LN MTG CORP POOL #1G3616	05/28/2009	06/30/2010	5,608.00	5,901.00	-293.00
58.37 FEDERAL HOME LN MTG CORP POOL #E00881	08/17/2000	06/30/2010	58.00	59.00	-1.00
377.5 FEDERAL HOME LN MTG CORP POOL #E00996	07/02/2001	06/30/2010	378.00	380.00	-2.00
1357.73 FHLMC GOLD #B12573 DTD 3/1/04 5.50% DUE 3/1/19	06/09/2004	06/30/2010	1,358.00	1,382.00	-24.00
331.63 FNMA POOL #251617 DTD 2/1/1998 6.00% DUE 3/1/	07/24/1998	06/30/2010	332.00	332.00	
414.92 FEDERAL NATL MTG ASSN POOL #253929	07/02/2001	06/30/2010	415.00	425.00	-10.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

0F1222 3 000

FT0560 L775 10/26/2011 07:25:26

584 -

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 1483.7 FNMA POOL #254471 DTD 8/1/2002 6.00% DUE 9/1/	08/12/2002	06/30/2010	1,484.00	1,524.00	-40.00
5055.48 FEDERAL NATL MTG ASSN POOL #255890	09/22/2005	06/30/2010	5,055.00	5,055.00	
331.71 FNMA POOL #338365 DTD 4/1/1996 6.00% DUE 4/1/	09/17/1997	06/30/2010	332.00	332.00	
19.75 FNMA POOL #440390 DTD 9/1/98 7.00% DUE 9/1/20	09/09/1998	06/30/2010	20.00	20.00	
79.3 FNMA POOL #457175 DTD 8/1/99 7.00% DUE 8/1/2029	07/30/1999	06/30/2010	79.00	79.00	
80.54 FNMA POOL #548844 DTD 8/1/2000 7.50% DUE 8/1/	07/02/2001	06/30/2010	81.00	83.00	-2.00
80.65 FNMA POOL #561495 DTD 2/1/2001 7.50% DUE 2/1/	07/02/2001	06/30/2010	81.00	83.00	-2.00
63.29 FNMA POOL #593098 DTD 7/1/2001 8.00% DUE 7/1/	07/02/2001	06/30/2010	63.00	66.00	-3.00
9.29 FNMA POOL #596213 DTD 7/1/2001 7.00% DUE 7/1/2031	07/02/2001	06/30/2010	9.00	9.00	
589.45 FNMA POOL #648913 DTD 9/1/2002 5.00% DUE 10/1	04/03/2003	06/30/2010	589.00	604.00	-15.00
574.3 FNMA POOL #763907 DTD 1/1/2004 5.00% DUE 1/1/	04/02/2004	06/30/2010	574.00	587.00	-13.00
12968.16 FEDERAL NATL MTG ASSN POOL #888757	10/18/2007	06/30/2010	12,968.00	13,099.00	-131.00
8898.94 FEDERAL NATL MTG ASSN POOL #918782	06/25/2007	06/30/2010	8,899.00	8,962.00	-63.00
8016.6 FEDERAL NATL MTG ASSN POOL #940664	06/26/2009	06/30/2010	8,017.00	8,396.00	-379.00
2013.23 FEDERAL NATL MTG ASSN POOL #995586	03/09/2009	06/30/2010	2,013.00	2,013.00	
150.65 GNMA POOL #609413 DTD 5/1/03 5.50% DUE 5/15/3	02/15/2005	06/30/2010	151.00	154.00	-3.00
9.19 GNMA POOL #426776 DTD 6/1/2001 7.00% DUE 6/15/203	07/02/2001	06/30/2010	9.00	9.00	
8.3 GNMA POOL #436031 DTD 12/1/1997 7.50% DUE 12/15/2	12/30/1997	06/30/2010	8.00	9.00	-1.00
27.35 GNMA POOL #468394 DTD 3/1/2001 7.00% DUE 3/15	07/13/2001	06/30/2010	27.00	28.00	-1.00
9.89 GNMA POOL #470517 DTD 6/1/99 7.50% DUE 6/15/2029	07/30/1999	06/30/2010	10.00	10.00	
162.42 GNMA POOL #495940 DTD 2/1/99 6.00% DUE 2/15/2	04/26/1999	06/30/2010	162.00	162.00	
58.08 GNMA POOL #555841 DTD 7/1/2001 6.50% DUE 7/15	07/02/2001	06/30/2010	58.00	58.00	
37.67 GNMA POOL #564748 DTD 8/1/2001 7.00% DUE 8/15	09/18/2001	06/30/2010	38.00	39.00	-1.00
503.04 ORIGEN MANFCTRD HSG SER 2002-A CL A3 DTD 3/1/20	04/23/2004	06/30/2010	503.00	530.00	-27.00
1809.63 GREENWICH CAP COML FDG CORP 2003-C2 MTG PASSTH	12/09/2003	07/08/2010	1,810.00	1,819.00	-9.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

0F1222 3 000

FT0560 L775 10/26/2011 07:25:26

585 -

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 2350000. UNITED STATES TREAS BILL DTD 01/07/10 DUE 90000. NUCOR CORP NT		07/08/2010	2,349,990.00	2,349,990.00	
3260.95 J P MORGAN CHASE COML MTG SECS TR 2007 CIBC2	05/28/2008	07/09/2010	102,570.00	90,102.00	12,468.00
1890.07 ML CFC COML MTG TR	09/25/2007	07/12/2010	3,261.00	3,269.00	-8.00
2006-3 MTG PASSTHRU CTF CL	09/22/2006	07/12/2010	1,890.00	1,871.00	19.00
5174.56 BEAR STEARNS COML MTG SECS TR 2007-TOP28 PASS	10/11/2007	07/13/2010	5,175.00	5,187.00	-12.00
150000. AOL TIME WARNER INC NT	07/30/2008	07/14/2010	165,000.00	152,631.00	12,369.00
8309.3 CAPITAL AUTO RECEIVABLES ASSET TR 2007-1	04/19/2007	07/14/2010	8,309.00	8,309.00	
5913.05 CAPITAL ONE PRIME AUTO RECEIV- ABLES TR 2007-	09/25/2007	07/15/2010	5,913.00	5,913.00	
100000. INTERNATIONAL BUSINESS MACHS CORP SR UNSE	01/25/2001	07/15/2010	110,082.00	98,345.00	11,737.00
5373.37 FRANKLIN AUTO TR 2006-1 ASSET BKD NT CL A-4	10/04/2006	07/19/2010	5,373.00	5,373.00	
50000. COMMERCIAL MTG TR 2006-GG7 COML MTG PASSTHRU	07/21/2009	07/23/2010	53,430.00	42,445.00	10,985.00
4.32 EQUITY ONE SER 2004-3 CLASS AV2 DTD 7/23/04 FLT R	07/14/2004	07/24/2010	4.00	4.00	
9.59 FEDERAL NATL MTG ASSN POOL #E80870	08/17/2000	07/31/2010	10.00	10.00	
2170.98 FHLMC GOLD #E91275 DTD 9/1/2002 5.50% DUE 7/1/	04/03/2003	07/31/2010	2,171.00	2,248.00	-77.00
2717.4 FEDERAL HOME LN MTG CORP POOL #1B4023	04/23/2008	07/31/2010	2,717.00	2,734.00	-17.00
17744.4 FEDERAL HOME LN MTG CORP POOL #1G3616	05/28/2009	07/31/2010	17,744.00	18,670.00	-926.00
43.99 FEDERAL HOME LN MTG CORP POOL #E00881	08/17/2000	07/31/2010	44.00	45.00	-1.00
246.26 FEDERAL HOME LN MTG CORP POOL #E00996	07/02/2001	07/31/2010	246.00	248.00	-2.00
1372.94 FHLMC GOLD #B12573 DTD 3/1/04 5.50% DUE 3/1/19	06/09/2004	07/31/2010	1,373.00	1,398.00	-25.00
282.21 FNMA POOL #251617 DTD 2/1/1998 6.00% DUE 3/1/	07/24/1998	07/31/2010	282.00	282.00	
42.97 FEDERAL NATL MTG ASSN POOL #253929	07/02/2001	07/31/2010	43.00	44.00	-1.00
1834.67 FNMA POOL #254471 DTD 8/1/2002 6.00% DUE 9/1/	08/12/2002	07/31/2010	1,835.00	1,884.00	-49.00
3097.19 FEDERAL NATL MTG ASSN POOL #255890	09/22/2005	07/31/2010	3,097.00	3,097.00	
333.54 FNMA POOL #338365 DTD 4/1/1996 6.00% DUE 4/1/	09/17/1997	07/31/2010	334.00	334.00	
19.88 FNMA POOL #440390 DTD 9/1/98 7.00% DUE 9/1/20	09/09/1998	07/31/2010	20.00	20.00	

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

0F1222 3 000

FT0560 L775 10/26/2011 07:25:26

586 -

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example. 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 79.79 FNMA POOL #457175 DTD 8/1/99 7.00% DUE 8/1/20	07/30/1999	07/31/2010	80.00	80.00	
81.08 FNMA POOL #548844 DTD 8/1/2000 7.50% DUE 8/1/	07/02/2001	07/31/2010	81.00	83.00	-2.00
81.19 FNMA POOL #561495 DTD 2/1/2001 7.50% DUE 2/1/	07/02/2001	07/31/2010	81.00	84.00	-3.00
63.75 FNMA POOL #593098 DTD 7/1/2001 8.00% DUE 7/1/	07/02/2001	07/31/2010	64.00	66.00	-2.00
398.44 FNMA POOL #596213 DTD 7/1/2001 7.00% DUE 7/1/	07/02/2001	07/31/2010	398.00	402.00	-4.00
608.21 FNMA POOL #648913 DTD 9/1/2002 5.00% DUE 10/1	04/03/2003	07/31/2010	608.00	623.00	-15.00
1615.21 FNMA POOL #763907 DTD 1/1/2004 5.00% DUE 1/1/	04/02/2004	07/31/2010	1,615.00	1,650.00	-35.00
3277.06 FEDERAL NATL MTG ASSN POOL #888757	10/18/2007	07/31/2010	3,277.00	3,310.00	-33.00
7670.91 FEDERAL NATL MTG ASSN POOL #918782	06/25/2007	07/31/2010	7,671.00	7,725.00	-54.00
7914.94 FEDERAL NATL MTG ASSN POOL #940664	06/26/2009	07/31/2010	7,915.00	8,290.00	-375.00
2202.57 FEDERAL NATL MTG ASSN POOL #995586	03/09/2009	07/31/2010	2,203.00	2,203.00	
1512.87 GNMA POOL #609413 DTD 5/1/03 5.50% DUE 5/15/3	02/15/2005	07/31/2010	1,513.00	1,551.00	-38.00
9.24 GNMA POOL #426776 DTD 6/1/2001 7.00% DUE 6/15/203	07/02/2001	07/31/2010	9.00	9.00	
8.36 GNMA POOL #436031 DTD 12/1/1997 7.50% DUE 12/15/2	12/30/1997	07/31/2010	8.00	9.00	-1.00
27.53 GNMA POOL #468394 DTD 3/1/2001 7.00% DUE 3/15	07/13/2001	07/31/2010	28.00	28.00	
9.86 GNMA POOL #470517 DTD 6/1/99 7.50% DUE 6/15/2029	07/30/1999	07/31/2010	10.00	10.00	
1491.58 GNMA POOL #495940 DTD 2/1/99 6.00% DUE 2/15/2	04/26/1999	07/31/2010	1,492.00	1,492.00	
58.35 GNMA POOL #555841 DTD 7/1/2001 6.50% DUE 7/15	07/02/2001	07/31/2010	58.00	58.00	
36.68 GNMA POOL #564748 DTD 8/1/2001 7.00% DUE 8/15	09/18/2001	07/31/2010	37.00	38.00	-1.00
3004.71 J P MORGAN CHASE COML MTG SECS TR 2007 CIBC2	09/25/2007	07/31/2010	3,005.00	3,012.00	-7.00
477.88 ORIGEN MANFCTRD HSG SER 2002-A CL A3 DTD 3/1/20	04/23/2004	07/31/2010	478.00	503.00	-25.00
100000. CONOCO FDG CO CO GTD	10/03/2001	08/03/2010	106,902.00	99,838.00	7,064.00
20000. ANADARKO PETE CORP SR NT	09/14/2006	08/05/2010	19,638.00	19,915.00	-277.00
2145.82 ML CFC COML MTG TR 2006-3 MTG PASSTHRU CTF CL	09/22/2006	08/12/2010	2,146.00	2,124.00	22.00
1718.68 BEAR STEARNS COML MTG SECS TR 2007-TOP28 PASS	10/11/2007	08/13/2010	1,719.00	1,723.00	-4.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

0F1222 3 000

FT0560 L775 10/26/2011 07:25:26

587 -

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 7843.47 CAPITAL AUTO RECEIVABLES ASSET TR 2007-1	04/19/2007	08/14/2010	7,843.00	7,843.00	
6039.98 CAPITAL ONE PRIME AUTO RECEIV- ABLES TR 2007-	09/25/2007	08/15/2010	6,040.00	6,040.00	
1647.34 J PMORGAN CHASE COML MTG SECS CORP 2005-LDP	06/10/2005	08/15/2010	1,647.00	1,656.00	-9.00
5102.4 FRANKLIN AUTO TR 2006-1 ASSET BKD NT CL A-4	10/04/2006	08/19/2010	5,102.00	5,102.00	
814. HEWLETT PACKARD CO COM	08/19/2009	08/23/2010	31,705.00	37,379.00	-5,674.00
680. HEWLETT PACKARD CO COM	08/19/2009	08/23/2010	26,506.00	31,226.00	-4,720.00
8. ATC TECHNOLOGY CORP COM	08/10/2009	08/24/2010	193.00	164.00	29.00
27. ATC TECHNOLOGY CORP COM	07/06/2009	08/25/2010	648.00	492.00	156.00
45. COHERENT INC COM	08/25/2009	08/26/2010	1,676.00	1,030.00	646.00
73. GARDNER DENVER MACHINERY INC	08/25/2009	08/26/2010	3,448.00	2,358.00	1,090.00
1007. AES CORPORATION	08/25/2009	08/27/2010	10,590.00	14,388.00	-3,798.00
68. BABCOX & WILCOX CO NEW COM	08/25/2009	08/27/2010	1,594.00	1,654.00	-60.00
201.5 BABCOX & WILCOX CO NEW COM	08/25/2009	08/27/2010	4,745.00	4,901.00	-156.00
29. BE AEROSPACE INC	08/25/2009	08/27/2010	793.00	517.00	276.00
12. DIEBOLD INC	08/25/2009	08/27/2010	320.00	320.00	
20. HANCOCK HLDG CO COM	08/25/2009	08/27/2010	571.00	571.00	
59. INTERFACE INC CL A	08/25/2009	08/27/2010	746.00	401.00	345.00
160. LANDSTAR SYSTEMS INCORPORATED	08/25/2009	08/27/2010	5,871.00	6,036.00	-165.00
148. LANDSTAR SYSTEMS INCORPORATED	08/25/2009	08/27/2010	5,437.00	5,584.00	-147.00
84. POTASH CORP SASK INC	08/25/2009	08/27/2010	12,370.00	8,045.00	4,325.00
19. PRECISION CASTPARTS CORPORATION	08/25/2009	08/27/2010	2,203.00	1,693.00	510.00
37. SCHOOL SPECIALTY INC	08/25/2009	08/27/2010	501.00	501.00	
22. TOWERS WATSON & CO CL A COM	08/25/2009	08/27/2010	994.00	977.00	17.00
274. FOSTER WHEELER AG COM	08/25/2009	08/27/2010	5,955.00	5,955.00	
177. FOSTER WHEELER AG COM	08/25/2009	08/27/2010	3,852.00	5,304.00	-1,452.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

0F1222 3 000

FT0560 L775 10/26/2011 07:25:26

588 -

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 4. CACI INTL INC CL A	08/27/2009	08/30/2010	169.00	169.00	
6503.58 BEAR STEARNS COML MTG SECS TR 2007-TOP28 PASS	10/11/2007	08/31/2010	6,504.00	6,520.00	-16.00
9.63 FEDERAL NATL MTG ASSN POOL #E80870	08/17/2000	08/31/2010	10.00	10.00	
291.39 FHLMC GOLD #E91275 DTD 9/1/2002 5.50% DUE 7/1/	04/03/2003	08/31/2010	291.00	302.00	-11.00
8301.75 FEDERAL HOME LN MTG CORP POOL #1B4023	04/23/2008	08/31/2010	8,302.00	8,354.00	-52.00
10730.68 FEDERAL HOME LN MTG CORP POOL #1G3616	05/28/2009	08/31/2010	10,731.00	11,291.00	-560.00
88.39 FEDERAL HOME LN MTG CORP POOL #E00881	08/17/2000	08/31/2010	88.00	90.00	-2.00
250.67 FEDERAL HOME LN MTG CORP POOL #E00996	07/02/2001	08/31/2010	251.00	252.00	-1.00
1558.42 FHLMC GOLD #B12573 DTD 3/1/04 5.50% DUE 3/1/19	06/09/2004	08/31/2010	1,558.00	1,587.00	-29.00
252.97 FNMA POOL #251617 DTD 2/1/1998 6.00% DUE 3/1/	07/24/1998	08/31/2010	253.00	253.00	
42.17 FEDERAL NATL MTG ASSN POOL #253929	07/02/2001	08/31/2010	42.00	43.00	-1.00
2050.98 FNMA POOL #254471 DTD 8/1/2002 6.00% DUE 9/1/	08/12/2002	08/31/2010	2,051.00	2,106.00	-55.00
1672.21 FEDERAL NATL MTG ASSN POOL #255890	09/22/2005	08/31/2010	1,672.00	1,672.00	
369.34 FNMA POOL #338365 DTD 4/1/1996 6.00% DUE 4/1/	09/17/1997	08/31/2010	369.00	369.00	
19.99 FNMA POOL #440390 DTD 9/1/98 7.00% DUE 9/1/20	09/09/1998	08/31/2010	20.00	20.00	
80.28 FNMA POOL #457175 DTD 8/1/99 7.00% DUE 8/1/20	07/30/1999	08/31/2010	80.00	80.00	
81.62 FNMA POOL #548844 DTD 8/1/2000 7.50% DUE 8/1/	07/02/2001	08/31/2010	82.00	84.00	-2.00
81.74 FNMA POOL #561495 DTD 2/1/2001 7.50% DUE 2/1/	07/02/2001	08/31/2010	82.00	84.00	-2.00
5157.8 FNMA POOL #593098 DTD 7/1/2001 8.00% DUE 7/1/	07/02/2001	08/31/2010	5,158.00	5,342.00	-184.00
6.86 FNMA POOL #596213 DTD 7/1/2001 7.00% DUE 7/1/2031	07/02/2001	08/31/2010	7.00	7.00	
606.22 FNMA POOL #648913 DTD 9/1/2002 5.00% DUE 10/1	04/03/2003	08/31/2010	606.00	621.00	-15.00
561.66 FNMA POOL #763907 DTD 1/1/2004 5.00% DUE 1/1/	04/02/2004	08/31/2010	562.00	574.00	-12.00
4122.79 FEDERAL NATL MTG ASSN POOL #888757	10/18/2007	08/31/2010	4,123.00	4,164.00	-41.00
4052.32 FEDERAL NATL MTG ASSN POOL #918782	06/25/2007	08/31/2010	4,052.00	4,081.00	-29.00
9475.77 FEDERAL NATL MTG ASSN POOL #940664	06/26/2009	08/31/2010	9,476.00	9,924.00	-448.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

OF1222 3.000

FT0560 L775 10/26/2011 07:25:26

589 -

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 2991.76 FEDERAL NATL MTG ASSN POOL #995586	03/09/2009	08/31/2010	2,992.00	2,992.00	
2755.42 GNMA POOL #609413 DTD 5/1/03 5.50% DUE 5/15/3	02/15/2005	08/31/2010	2,755.00	2,825.00	-70.00
9.29 GNMA POOL #426776 DTD 6/1/2001 7.00% DUE 6/15/203	07/02/2001	08/31/2010	9.00	9.00	
8.41 GNMA POOL #436031 DTD 12/1/1997 7.50% DUE 12/15/2	12/30/1997	08/31/2010	8.00	9.00	-1.00
27.7 GNMA POOL #468394 DTD 3/1/2001 7.00% DUE 3/15/203	07/13/2001	08/31/2010	28.00	28.00	
9.91 GNMA POOL #470517 DTD 6/1/99 7.50% DUE 6/15/2029	07/30/1999	08/31/2010	10.00	10.00	
174.31 GNMA POOL #495940 DTD 2/1/99 6.00% DUE 2/15/2	04/26/1999	08/31/2010	174.00	174.00	
62.5 GNMA POOL #555841 DTD 7/1/2001 6.50% DUE 7/15/203	07/02/2001	08/31/2010	63.00	63.00	
36.9 GNMA POOL #564748 DTD 8/1/2001 7.00% DUE 8/15/203	09/18/2001	08/31/2010	37.00	38.00	-1.00
3245.78 J P MORGAN CHASE COML MTG SECS TR 2007 CIBC2	09/25/2007	08/31/2010	3,246.00	3,254.00	-8.00
176. DEAN FOODS CO NEW COM	08/25/2009	09/01/2010	1,869.00	3,168.00	-1,299.00
37. DEAN FOODS CO NEW COM	08/25/2009	09/01/2010	393.00	393.00	
117. OWENS ILL INC	08/26/2009	09/03/2010	3,249.00	4,009.00	-760.00
35. V F CORPORATION	08/26/2009	09/03/2010	2,623.00	2,411.00	212.00
13. V F CORPORATION	08/26/2009	09/03/2010	974.00	896.00	78.00
2161. CELANESE CORP DEL SER A COM	08/25/2009	09/07/2010	60,611.00	58,192.00	2,419.00
722. HEWLETT PACKARD CO COM	08/25/2009	09/07/2010	28,930.00	32,497.00	-3,567.00
988. HEWLETT PACKARD CO COM	08/25/2009	09/07/2010	39,574.00	44,469.00	-4,895.00
144. HEWLETT PACKARD CO COM	08/25/2009	09/07/2010	5,768.00	5,768.00	
1763. MICROSOFT CORPORATION	08/25/2009	09/07/2010	42,207.00	43,607.00	-1,400.00
599. MICROSOFT CORPORATION	08/25/2009	09/07/2010	14,335.00	14,816.00	-481.00
526. MICROSOFT CORPORATION	08/25/2009	09/07/2010	12,588.00	12,588.00	
38. PANTRY INC COM	08/25/2009	09/09/2010	852.00	570.00	282.00
32. PANTRY INC COM	08/25/2009	09/10/2010	719.00	480.00	239.00
2126.49 ML CFC COML MTG TR 2006-3 MTG PASSTHRU CTF CL	09/22/2006	09/13/2010	2,126.00	2,105.00	21.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr)	(c) Date sold (mo., day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 93. AEROPOSTALE COM	09/08/2009	09/14/2010	2,175.00	2,175.00	
59. AEROPOSTALE COM	09/13/2009	09/14/2010	1,380.00	1,380.00	
7846.49 CAPITAL AUTO RECEIVABLES ASSET TR 2007-1	04/19/2007	09/14/2010	7,846.00	7,846.00	
1702.09 CAPITAL AUTO RECEIVABLES ASSET TR 2007-3	05/08/2008	09/14/2010	1,702.00	1,724.00	-22.00
5698.99 CAPITAL ONE PRIME AUTO RECEIV- ABLES TR 2007-	09/25/2007	09/14/2010	5,699.00	5,699.00	
150. OWENS & MINOR INC NEW COM	08/25/2009	09/14/2010	3,898.00	4,376.00	-478.00
80. SILICON LABORATORIES INC COM	08/25/2009	09/14/2010	2,841.00	3,429.00	-588.00
5. SILICON LABORATORIES INC COM	08/25/2009	09/14/2010	178.00	214.00	-36.00
68. SILGAN HOLDINGS INC COM	08/25/2009	09/14/2010	2,185.00	1,719.00	466.00
75. SOLARWINDS INC COM	08/27/2009	09/14/2010	1,203.00	1,434.00	-231.00
269. SOLARWINDS INC COM	08/25/2009	09/14/2010	4,313.00	5,113.00	-800.00
26. LUBRIZOL CORP	08/26/2009	09/15/2010	2,705.00	1,642.00	1,063.00
413.58 ORIGEN MANFCTRD HSG SER 2002-A CL A3 DTD 3/1/20	04/23/2004	09/15/2010	414.00	436.00	-22.00
4974.98 FRANKLIN AUTO TR 2006-1 ASSET BKD NT CL A-4	10/04/2006	09/19/2010	4,975.00	4,975.00	
30. LITTELFUSE INC COM	08/25/2009	09/20/2010	1,264.00	758.00	506.00
38. COOPER COMPANIES INC	08/25/2009	09/21/2010	1,754.00	1,100.00	654.00
33. CORN PRODUCTS INTERNATIONAL INC	08/25/2009	09/21/2010	1,281.00	999.00	282.00
40000. MORGAN STANLEY SR UNSECD MTN	05/08/2009	09/21/2010	45,808.00	40,768.00	5,040.00
20000. MORGAN STANLEY SR UNSECD MTN	05/08/2009	09/21/2010	22,904.00	20,366.00	2,538.00
40000. MORGAN STANLEY SR UNSECD MTN	05/08/2009	09/21/2010	45,808.00	40,680.00	5,128.00
64. SILGAN HOLDINGS INC COM	08/25/2009	09/21/2010	2,005.00	1,618.00	387.00
120000. GREENWICH CAP COML FDG CORP 2004-GG1 COML MTG	09/10/2009	09/22/2010	131,325.00	122,161.00	9,164.00
81. SILGAN HOLDINGS INC COM	08/25/2009	09/22/2010	2,527.00	2,047.00	480.00
45599.635 COLUMBIA LARGE CAP INDEX FUND CLASS Z SHAR	07/29/2009	09/23/2010	1,000,000.00	862,745.00	137,255.00
102. SILGAN HOLDINGS INC COM	08/25/2009	09/23/2010	3,176.00	2,578.00	598.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

OF1222 3 000

FT0560 L775 10/26/2011 07:25:26

591 -

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 5.64 EQUITY ONE SER 2004-3 CLASS AV2 DTD 7/23/04 FLT R	07/14/2004	09/24/2010	6.00	6.00	
1810. PETROLEUM GEO-SVCS ASA NEW SPONSORED ADR	08/25/2009	09/24/2010	19,784.00	15,186.00	4,598.00
51. INFORMATICA CORP	08/25/2009	09/27/2010	1,947.00	930.00	1,017.00
32. SCHOOL SPECIALTY INC	08/09/2009	09/27/2010	415.00	930.00	-515.00
44. SILGAN HOLDINGS INC COM	08/25/2009	09/27/2010	1,384.00	1,112.00	272.00
12. TOWERS WATSON & CO CL A COM	08/25/2009	09/27/2010	543.00	533.00	10.00
9200.63 BEAR STEARNS COML MTG SECS TR 2007-TOP28 PASS	10/11/2007	09/30/2010	9,201.00	9,223.00	-22.00
9.74 FEDERAL NATL MTG ASSN POOL #E80870	08/17/2000	09/30/2010	10.00	10.00	
312.56 FHLMO GOLD #E91275 DTD 9/1/2002 5.50% DUE 7/1/	04/03/2003	09/30/2010	313.00	324.00	-11.00
204.2 FEDERAL HOME LN MTG CORP POOL #1B4023	04/23/2008	09/30/2010	204.00	205.00	-1.00
10469.08 FEDERAL HOME LN MTG CORP POOL #1G3616	05/28/2009	09/30/2010	10,469.00	11,015.00	-546.00
44.43 FEDERAL HOME LN MTG CORP POOL #E00881	08/17/2000	09/30/2010	44.00	45.00	-1.00
195.15 FEDERAL HOME LN MTG CORP POOL #E00996	07/02/2001	09/30/2010	195.00	196.00	-1.00
1383.34 FHLMO GOLD #B12573 DTD 3/1/04 5.50% DUE 3/1/19	06/09/2004	09/30/2010	1,383.00	1,408.00	-25.00
333.66 FNMA POOL #251617 DTD 2/1/1998 6.00% DUE 3/1/	07/24/1998	09/30/2010	334.00	334.00	
43.49 FEDERAL NATL MTG ASSN POOL #253929	07/02/2001	09/30/2010	43.00	45.00	-2.00
1945.45 FNMA POOL #254471 DTD 8/1/2002 6.00% DUE 9/1/	08/12/2002	09/30/2010	1,945.00	1,998.00	-53.00
2512.67 FEDERAL NATL MTG ASSN POOL #255890	09/22/2005	09/30/2010	2,513.00	2,513.00	
269.96 FNMA POOL #338365 DTD 4/1/1996 6.00% DUE 4/1/	09/17/1997	09/30/2010	270.00	270.00	
20.12 FNMA POOL #440390 DTD 9/1/98 7.00% DUE 9/1/20	09/09/1998	09/30/2010	20.00	21.00	-1.00
80.78 FNMA POOL #457175 DTD 8/1/99 7.00% DUE 8/1/20	07/30/1999	09/30/2010	81.00	81.00	
82.17 FNMA POOL #548844 DTD 8/1/2000 7.50% DUE 8/1/	07/02/2001	09/30/2010	82.00	85.00	-3.00
82.29 FNMA POOL #561495 DTD 2/1/2001 7.50% DUE 2/1/	07/02/2001	09/30/2010	82.00	85.00	-3.00
56.44 FNMA POOL #593098 DTD 7/1/2001 8.00% DUE 7/1/	07/02/2001	09/30/2010	56.00	58.00	-2.00
6.9 FNMA POOL #596213 DTD 7/1/2001 7.00% DUE 7/1/2031	07/02/2001	09/30/2010	7.00	7.00	

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

OF1222 3 000

FT0560 L775 10/26/2011 07:25:26

592 -

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 614.07 FNMA POOL #648913 DTD 9/1/2002 5.00% DUE 10/1	04/03/2003	09/30/2010	614.00	629.00	-15.00
3317.02 FEDERAL HOME LN MTG CORP SER 3565 CL XA	09/21/2009	09/30/2010	3,317.00	3,404.00	-87.00
1111.93 FNMA POOL #763907 DTD 1/1/2004 5.00% DUE 1/1/	04/02/2004	09/30/2010	1,112.00	1,136.00	-24.00
2679.33 FEDERAL NATL MTG ASSN POOL #888757	10/18/2007	09/30/2010	2,679.00	2,706.00	-27.00
4672.74 FEDERAL NATL MTG ASSN POOL #918782	06/25/2007	09/30/2010	4,673.00	4,706.00	-33.00
7310.75 FEDERAL NATL MTG ASSN POOL #940664	06/26/2009	09/30/2010	7,311.00	7,657.00	-346.00
5194.33 FEDERAL NATL MTG ASSN POOL #995586	03/09/2009	09/30/2010	5,194.00	5,194.00	
133.36 GNMA POOL #609413 DTD 5/1/03 5.50% DUE 5/15/3	02/15/2005	09/30/2010	133.00	137.00	-4.00
9.36 GNMA POOL #426776 DTD 6/1/2001 7.00% DUE 6/15/203	07/02/2001	09/30/2010	9.00	9.00	
8.47 GNMA POOL #436031 DTD 12/1/1997 7.50% DUE 12/15/2	12/30/1997	09/30/2010	8.00	9.00	-1.00
27.87 GNMA POOL #468394 DTD 3/1/2001 7.00% DUE 3/15	07/13/2001	09/30/2010	28.00	28.00	
9.97 GNMA POOL #470517 DTD 6/1/99 7.50% DUE 6/15/2029	07/30/1999	09/30/2010	10.00	10.00	
172.28 GNMA POOL #495940 DTD 2/1/99 6.00% DUE 2/15/2	04/26/1999	09/30/2010	172.00	172.00	
59.21 GNMA POOL #555841 DTD 7/1/2001 6.50% DUE 7/15	07/02/2001	09/30/2010	59.00	59.00	
37.13 GNMA POOL #564748 DTD 8/1/2001 7.00% DUE 8/15	09/18/2001	09/30/2010	37.00	38.00	-1.00
5199.07 ML CFC COML MTG TR 2006-3 MTG PASSTHRU CTF CL	09/22/2006	09/30/2010	5,199.00	5,147.00	52.00
363.3 ORIGEN MANFCTRD HSG SER 2002-A CL A3 DTD 3/1/20	04/23/2004	09/30/2010	363.00	383.00	-20.00
697. HEWITT ASSOCS INC COM	08/26/2009	10/01/2010	35,248.00	25,132.00	10,116.00
65. HEWITT ASSOCS INC COM	09/11/2009	10/01/2010	3,287.00	2,311.00	976.00
70. ICON PUB LTD CO SPONSORED ADR	08/25/2009	10/01/2010	1,492.00	1,597.00	-105.00
192. ICON PUB LTD CO SPONSORED ADR	08/25/2009	10/01/2010	4,094.00	4,094.00	
130. RICHARDSON ELECTRS LTD COM	08/25/2009	10/04/2010	1,360.00	498.00	862.00
1454. ABBOTT LABORATORIES	08/25/2009	10/05/2010	77,131.00	67,157.00	9,974.00
4726. INTEL CORPORATION	08/25/2009	10/05/2010	90,257.00	89,782.00	475.00
831. PHILIP MORRIS INTL INC COM	08/25/2009	10/05/2010	46,075.00	39,397.00	6,678.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

OF1222 3 000

FT0560 L775 10/26/2011 07:25:26

593 -

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 132. RICHARDSON ELECTRS LTD COM	08/25/2009	10/05/2010	1,383.00	505.00	878.00
1905. TYCO INTL LTD NEW F COM	08/25/2009	10/05/2010	71,687.00	61,481.00	10,206.00
74. RICHARDSON ELECTRS LTD COM	08/25/2009	10/07/2010	757.00	283.00	474.00
.68 FIRST HORIZON NATIONAL CORP COM	08/25/2009	10/08/2010	8.00	8.00	
131. RICHARDSON ELECTRS LTD COM	08/25/2009	10/08/2010	1,346.00	501.00	845.00
101. INFORMATICA CORP	08/25/2009	10/11/2010	3,678.00	1,841.00	1,837.00
365. KING PHARMACEUTICALS INC	08/26/2009	10/11/2010	3,724.00	3,799.00	-75.00
113. CNOOC LTD SPONSORED ADR	08/25/2009	10/12/2010	23,717.00	15,538.00	8,179.00
197. HUTCHISON WHAMPOA LTD ADR	08/25/2009	10/12/2010	9,649.00	7,368.00	2,281.00
3958.96 J P MORGAN CHASE COML MTG SECS TR 2007 CIBC2	09/25/2007	10/12/2010	3,959.00	3,969.00	-10.00
20. VALEANT PHARMACEUTICAL S INTL INC CDA COM	08/26/2009	10/12/2010	545.00	261.00	284.00
200000. AMERITECH CAP FUNDING CORP DEB	06/09/2004	10/13/2010	232,274.00	206,276.00	25,998.00
969. KING PHARMACEUTICALS INC	08/26/2009	10/13/2010	13,721.00	10,085.00	3,636.00
30. CABOT CORP	08/25/2009	10/14/2010	1,021.00	630.00	391.00
7221.57 CAPITAL AUTO RECEIVABLES ASSET TR 2007-1	04/19/2007	10/14/2010	7,222.00	7,222.00	
7640.72 CAPITAL AUTO RECEIVABLES ASSET TR 2007-3	05/08/2008	10/14/2010	7,641.00	7,737.00	-96.00
28. CYTEC INDUSTRIES INCORPORATED	08/25/2009	10/14/2010	1,671.00	788.00	883.00
868. DELTA AIR LINES INC DEL NEW COM	08/25/2009	10/14/2010	9,871.00	6,360.00	3,511.00
95. ILLUMINA INC	08/25/2009	10/14/2010	4,589.00	3,362.00	1,227.00
24. MARTIN MARIETTA MATLS INC	10/12/2009	10/14/2010	1,880.00	2,213.00	-333.00
8. MARTIN MARIETTA MATLS INC	09/21/2009	10/14/2010	627.00	793.00	-166.00
28. MARTIN MARIETTA MATLS INC	09/21/2009	10/14/2010	2,193.00	2,193.00	
94. OLD DOMINION FREIGHT LINE INC COM	09/23/2009	10/14/2010	2,422.00	2,514.00	-92.00
336. OLD DOMINION FREIGHT LINE INC COM	08/25/2009	10/14/2010	8,657.00	8,518.00	139.00
184.5 OLD DOMINION FREIGHT LINE INC COM	09/06/2009	10/14/2010	4,754.00	4,301.00	453.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

0F1222 3 000

FT0560 L775 10/26/2011 07:25:26

594 -

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 1. PEGASYSTEMS INC COM	08/12/2009	10/14/2010	27.00	44.00	-17.00
100. PEGASYSTEMS INC COM	08/07/2009	10/14/2010	2,668.00	4,361.00	-1,693.00
17. SOUTH JERSEY INDUSTRIES INCORPORATED	10/06/2009	10/14/2010	856.00	611.00	245.00
11. SOUTH JERSEY INDUSTRIES INCORPORATED	10/07/2009	10/14/2010	554.00	395.00	159.00
62487.53 UNITED STATES TREAS NT INFLATION INDEX	11/18/2008	10/14/2010	69,693.00	55,144.00	14,549.00
62467.27 UNITED STATES TREAS NT INFLATION INDEX	11/20/2008	10/14/2010	69,670.00	54,928.00	14,742.00
17813.01 BEAR STEARNS SER 2001-TOP2 CL A2 DTD 5/1/200	09/30/2009	10/15/2010	17,813.00	18,502.00	-689.00
222.66 CAPITAL ONE PRIME AUTO RECEIV- ABLES TR 2007-	09/25/2007	10/15/2010	223.00	223.00	
15. CYTEC INDUSTRIES INCORPORATED	08/25/2009	10/15/2010	888.00	422.00	466.00
1. DIGITAL RIV INC	10/13/2009	10/15/2010	35.00	26.00	9.00
28. SOUTH JERSEY INDUSTRIES INCORPORATED	10/07/2009	10/15/2010	1,416.00	1,005.00	411.00
17. APTARGROUP INCORPORATE D	08/25/2009	10/18/2010	793.00	603.00	190.00
32. CABOT CORP	08/25/2009	10/18/2010	1,076.00	672.00	404.00
1821. CISCO SYSTEMS INCORPORATED	08/25/2009	10/18/2010	42,506.00	40,317.00	2,189.00
579. PEPSICO INCORPORATED	08/25/2009	10/18/2010	38,602.00	33,283.00	5,319.00
28. PETSMART INCORPORATED	08/25/2009	10/18/2010	1,001.00	564.00	437.00
898. TARGET CORP	08/25/2009	10/18/2010	48,412.00	41,934.00	6,478.00
32. APTARGROUP INCORPORATE D	08/25/2009	10/19/2010	1,486.00	1,136.00	350.00
93. CABOT CORP	08/25/2009	10/19/2010	3,115.00	1,953.00	1,162.00
32. CABOT CORP	08/11/2009	10/19/2010	1,072.00	660.00	412.00
28. CABOT CORP	08/08/2009	10/19/2010	938.00	565.00	373.00
32. CABOT CORP	08/28/2009	10/19/2010	1,072.00	645.00	427.00
4393.2 FRANKLIN AUTO TR 2006-1 ASSET BKD NT CL A-4	10/04/2006	10/19/2010	4,393.00	4,393.00	
51579.67 J P MORGAN CHASE COML MTG SECS TR 2007 CIBC2	09/25/2007	10/19/2010	52,361.00	51,708.00	653.00
11. KING PHARMACEUTICALS INC	08/26/2009	10/19/2010	156.00	114.00	42.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

0F1222 3 000

FT0560 L775 10/26/2011 07:25:26

595 -

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 248. KING PHARMACEUTICALS INC	08/26/2009	10/19/2010	3,512.00	2,581.00	931.00
86. KING PHARMACEUTICALS INC	08/26/2009	10/19/2010	1,218.00	895.00	323.00
24. APTARGROUP INCORPORATE D	08/25/2009	10/20/2010	1,137.00	852.00	285.00
200000. GREENWICH CAP COML FDG CORP 2005-GG5 MTG PASST	03/30/2006	10/21/2010	213,375.00	196,703.00	16,672.00
408. KING PHARMACEUTICALS INC	08/26/2009	10/22/2010	5,777.00	4,246.00	1,531.00
140. BAYER A G SPONSORED ADR	08/25/2009	10/27/2010	10,561.00	8,884.00	1,677.00
20. DIGITAL RIV INC	10/13/2009	10/27/2010	756.00	514.00	242.00
3. ETHAN ALLEN INTERIORS INC	08/28/2009	10/27/2010	47.00	47.00	
24. ETHAN ALLEN INTERIORS INC	08/25/2009	10/27/2010	376.00	369.00	7.00
20. PARAMETRIC TECHNOLOGY CORP NEW COM	08/25/2009	10/27/2010	419.00	274.00	145.00
79. SIGNATURE BANK COM	08/25/2009	10/27/2010	3,328.00	2,364.00	964.00
200. SYNGENTA AG SPONSORED ADR	08/25/2009	10/28/2010	11,257.00	9,450.00	1,807.00
54. WMS INDS INC COM	08/25/2009	10/28/2010	2,281.00	2,332.00	-51.00
1646. BEST BUY INCORPORATE D	08/26/2009	10/29/2010	70,455.00	61,430.00	9,025.00
45. FREEPORT-MCMORAN COPPER & GOLD INC COM	09/22/2009	10/29/2010	4,253.00	3,290.00	963.00
1667. HEWLETT PACKARD CO COM	08/26/2009	10/29/2010	70,122.00	74,382.00	-4,260.00
28. MARTIN MARIETTA MATLS INC	08/22/2009	10/29/2010	2,255.00	2,779.00	-524.00
10. MARTIN MARIETTA MATLS INC	10/12/2009	10/29/2010	805.00	922.00	-117.00
77. MARTIN MARIETTA MATLS INC	08/25/2009	10/29/2010	6,201.00	6,873.00	-672.00
616. MCDONALDS CORP	08/26/2009	10/29/2010	47,818.00	35,179.00	12,639.00
276. URBAN OUTFITTERS INC COM	08/25/2009	10/29/2010	8,489.00	8,053.00	436.00
138. CORE LABORATORIES N V COM	08/25/2009	10/29/2010	10,783.00	6,596.00	4,187.00
1885.59 BEAR STEARNS COML MTG SECS TR 2007-TOP28 PASS	10/11/2007	10/31/2010	1,886.00	1,890.00	-4.00
9.78 FEDERAL NATL MTG ASSN POOL #E80870	08/17/2000	10/31/2010	10.00	10.00	
1131.43 FHLMC GOLD #E91275 DTD 9/1/2002 5.50% DUE 7/1/	04/03/2003	10/31/2010	1,131.00	1,172.00	-41.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

0F1222 3 000

FT0560 L775 10/26/2011 07:25:26

596 -

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 16665.35 FEDERAL HOME LN MTG CORP POOL #1B4023	04/23/2008	10/31/2010	16,665.00	16,770.00	-105.00
160.96 FEDERAL HOME LN MTG CORP POOL #1G3616	05/28/2009	10/31/2010	161.00	169.00	-8.00
44.85 FEDERAL HOME LN MTG CORP POOL #E00881	08/17/2000	10/31/2010	45.00	45.00	
322.97 FEDERAL HOME LN MTG CORP POOL #E00996	07/02/2001	10/31/2010	323.00	325.00	-2.00
1392.15 FHLMO GOLD #B12573 DTD 3/1/04 5.50% DUE 3/1/19	06/09/2004	10/31/2010	1,392.00	1,417.00	-25.00
374.28 FNMA POOL #251617 DTD 2/1/1998 6.00% DUE 3/1/	07/24/1998	10/31/2010	374.00	374.00	
687.18 FEDERAL NATL MTG ASSN POOL #253929	07/02/2001	10/31/2010	687.00	703.00	-16.00
2276.71 FNMA POOL #254471 DTD 8/1/2002 6.00% DUE 9/1/	08/12/2002	10/31/2010	2,277.00	2,338.00	-61.00
1570.85 FEDERAL NATL MTG ASSN POOL #255890	09/22/2005	10/31/2010	1,571.00	1,571.00	
271.44 FNMA POOL #338365 DTD 4/1/1996 6.00% DUE 4/1/	09/17/1997	10/31/2010	271.00	271.00	
20.24 FNMA POOL #440390 DTD 9/1/98 7.00% DUE 9/1/20	09/09/1998	10/31/2010	20.00	21.00	-1.00
81.28 FNMA POOL #457175 DTD 8/1/99 7.00% DUE 8/1/20	07/30/1999	10/31/2010	81.00	81.00	
82.73 FNMA POOL #548844 DTD 8/1/2000 7.50% DUE 8/1/	07/02/2001	10/31/2010	83.00	85.00	-2.00
82.85 FNMA POOL #561495 DTD 2/1/2001 7.50% DUE 2/1/	07/02/2001	10/31/2010	83.00	85.00	-2.00
56.86 FNMA POOL #593098 DTD 7/1/2001 8.00% DUE 7/1/	07/02/2001	10/31/2010	57.00	59.00	-2.00
6.94 FNMA POOL #596213 DTD 7/1/2001 7.00% DUE 7/1/2031	07/02/2001	10/31/2010	7.00	7.00	
4040.99 FNMA POOL #648913 DTD 9/1/2002 5.00% DUE 10/1	04/03/2003	10/31/2010	4,041.00	4,139.00	-98.00
9351.64 FEDERAL HOME LN MTG CORP SER 3536 CL PC	10/20/2009	10/31/2010	9,352.00	9,700.00	-348.00
3587.52 FEDERAL HOME LN MTG CORP SER 3565 CL XA	09/21/2009	10/31/2010	3,588.00	3,681.00	-93.00
1013.02 FNMA POOL #763907 DTD 1/1/2004 5.00% DUE 1/1/	04/02/2004	10/31/2010	1,013.00	1,035.00	-22.00
4917.41 FEDERAL NATL MTG ASSN POOL #888757	10/18/2007	10/31/2010	4,917.00	4,967.00	-50.00
5.34 FEDERAL NATL MTG ASSN POOL #918782	06/25/2007	10/31/2010	5.00	5.00	
6972.02 FEDERAL NATL MTG ASSN POOL #940664	06/26/2009	10/31/2010	6,972.00	7,302.00	-330.00
6216.3 FEDERAL NATL MTG ASSN POOL #995586	03/09/2009	10/31/2010	6,216.00	6,216.00	
1577.65 FEDERAL NATL MTG ASSN POOL #AC2816	10/16/2009	10/31/2010	1,578.00	1,578.00	

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

OF1222 3.000

FT0560 L775 10/26/2011 07:25:26

597 -

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 138.28 GNMA POOL #609413 DTD 5/1/03 5.50% DUE 5/15/3	02/15/2005	10/31/2010	138.00	142.00	-4.00
9.42 GNMA POOL #426776 DTD 6/1/2001 7.00% DUE 6/15/203	07/02/2001	10/31/2010	9.00	10.00	-1.00
8.52 GNMA POOL #436031 DTD 12/1/1997 7.50% DUE 12/15/2	12/30/1997	10/31/2010	9.00	9.00	
28.07 GNMA POOL #468394 DTD 3/1/2001 7.00% DUE 3/15	07/13/2001	10/31/2010	28.00	29.00	-1.00
10.04 GNMA POOL #470517 DTD 6/1/99 7.50% DUE 6/15/2	07/30/1999	10/31/2010	10.00	10.00	
170.75 GNMA POOL #495940 DTD 2/1/99 6.00% DUE 2/15/2	04/26/1999	10/31/2010	171.00	171.00	
1672.71 GNMA POOL #555841 DTD 7/1/2001 6.50% DUE 7/15	07/02/2001	10/31/2010	1,673.00	1,673.00	
37.37 GNMA POOL #564748 DTD 8/1/2001 7.00% DUE 8/15	09/18/2001	10/31/2010	37.00	39.00	-2.00
398.98 ORIGEN MANFCTRD HSG SER 2002-A CL A3 DTD 3/1/20	04/23/2004	10/31/2010	399.00	420.00	-21.00
75000. COX COMMUNICATIONS INC NEW NT	11/02/2000	11/01/2010	75,000.00	74,786.00	214.00
69979.27 BEAR STEARNS SER 2001-TOP2 CL A2 DTD 5/1/200	09/30/2009	11/03/2010	70,679.00	72,686.00	-2,007.00
25. DEAN FOODS CO NEW COM	09/25/2009	11/03/2010	256.00	446.00	-190.00
38. DEAN FOODS CO NEW COM	10/01/2009	11/03/2010	390.00	670.00	-280.00
113. DEAN FOODS CO NEW COM	09/09/2009	11/03/2010	1,159.00	1,984.00	-825.00
28. DEAN FOODS CO NEW COM	09/08/2009	11/03/2010	287.00	491.00	-204.00
19. DEAN FOODS CO NEW COM	09/16/2009	11/03/2010	195.00	330.00	-135.00
340. OWENS ILL INC	08/26/2009	11/03/2010	9,424.00	11,650.00	-2,226.00
57. BE AEROSPACE INC	08/25/2009	11/04/2010	2,120.00	1,017.00	1,103.00
35. BRADY W H CO CL A	08/25/2009	11/04/2010	1,119.00	1,075.00	44.00
2695. CISCO SYSTEMS INCORPORATED	08/25/2009	11/04/2010	64,986.00	59,667.00	5,319.00
14. DEAN FOODS CO NEW COM	09/16/2009	11/04/2010	147.00	243.00	-96.00
136. DEAN FOODS CO NEW COM	08/26/2009	11/04/2010	1,425.00	2,357.00	-932.00
9. DEAN FOODS CO NEW COM	10/03/2009	11/04/2010	94.00	155.00	-61.00
38. DEAN FOODS CO NEW COM	07/27/2009	11/04/2010	398.00	653.00	-255.00
37. DEAN FOODS CO NEW COM	08/20/2009	11/04/2010	388.00	633.00	-245.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

0F1222 3 000

FT0560 L775 10/26/2011 07:25:26

598 -

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr)	(c) Date sold (mo., day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 49. FIRST FINL BANCORP	08/25/2009	11/04/2010	866.00	407.00	459.00
557. GAMESTOP CORP CL A COM	08/08/2009	11/04/2010	11,007.00	14,320.00	-3,313.00
19. GAMESTOP CORP CL A COM	07/27/2009	11/04/2010	375.00	471.00	-96.00
1790. GAMESTOP CORP CL A COM	08/25/2009	11/04/2010	35,373.00	40,156.00	-4,783.00
99. PARAMETRIC TECHNOLOGY CORP NEW COM	08/25/2009	11/04/2010	2,209.00	1,355.00	854.00
1500. PEPSICO INCORPORATED	08/25/2009	11/04/2010	97,722.00	86,227.00	11,495.00
694. AIRTRAN HLDGS INC COM	08/25/2009	11/05/2010	5,173.00	4,823.00	350.00
224. FTI CONSULTING INC COM	08/25/2009	11/05/2010	7,594.00	10,358.00	-2,764.00
100. FIRST FINL BANCORP	08/25/2009	11/05/2010	1,773.00	830.00	943.00
21. OM GROUP INC	09/23/2009	11/05/2010	797.00	660.00	137.00
26. TRIUMPH GROUP INC NEW	08/25/2009	11/05/2010	2,314.00	1,144.00	1,170.00
20. PEGASYSTEMS INC COM	08/07/2009	11/08/2010	552.00	872.00	-320.00
280. PEGASYSTEMS INC COM	08/25/2009	11/08/2010	7,730.00	9,268.00	-1,538.00
55. FIRST FINL BANCORP	08/25/2009	11/09/2010	965.00	457.00	508.00
191. ITT INDUSTRIES INC	11/04/2009	11/09/2010	9,227.00	9,808.00	-581.00
9. DEAN FOODS CO NEW COM	11/04/2009	11/10/2010	70.00	154.00	-84.00
43. DEAN FOODS CO NEW COM	11/03/2009	11/10/2010	334.00	722.00	-388.00
53. FIRST FINL BANCORP	08/25/2009	11/10/2010	944.00	440.00	504.00
328. MARINER ENERGY INC COM	08/25/2009	11/10/2010	8,528.00	4,212.00	4,316.00
19. GARDNER DENVER MACHINERY INC	08/25/2009	11/11/2010	1,199.00	614.00	585.00
159. PETSMART INCORPORATED	08/25/2009	11/11/2010	6,206.00	3,201.00	3,005.00
50. ZEBRA TECHNOLOGIES CORP CL A	08/25/2009	11/11/2010	1,874.00	1,260.00	614.00
30. PETSMART INCORPORATED	08/25/2009	11/12/2010	1,145.00	604.00	541.00
54. SIRONA DENTAL SYS INC COM	08/25/2009	11/12/2010	1,980.00	1,457.00	523.00
6778.53 CAPITAL AUTO RECEIVABLES ASSET TR 2007-1	04/19/2007	11/14/2010	6,779.00	6,779.00	

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

0F1222 3 000

FT0560 L775 10/26/2011 07:25:26

599 -

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 7158.12 CAPITAL AUTO RECEIVABLES ASSET TR 2007-3	05/08/2008	11/14/2010	7,158.00	7,249.00	-91.00
13794.93 BEAR STEARNS SER 2001-TOP2 CL A2 DTD 5/1/200	09/30/2009	11/15/2010	13,795.00	14,328.00	-533.00
18. CLARCOR INC COM	08/25/2009	11/15/2010	720.00	605.00	115.00
318. SYNIVERSE HOLDINGS INC COM	08/25/2009	11/15/2010	9,659.00	5,953.00	3,706.00
107. AKAMAI TECHNOLOGIES INC	11/13/2009	11/16/2010	4,975.00	2,605.00	2,370.00
7. AKAMAI TECHNOLOGIES INC	08/25/2009	11/16/2010	325.00	126.00	199.00
153. AVON PRODUCTS INC	08/25/2009	11/16/2010	4,443.00	5,009.00	-566.00
3. AVON PRODUCTS INC	08/25/2009	11/16/2010	87.00	87.00	
4068. CISCO SYSTEMS INCORPORATED	08/25/2009	11/16/2010	80,307.00	90,066.00	-9,759.00
172. CLOROX COMPANY	08/25/2009	11/16/2010	10,802.00	10,075.00	727.00
206. ITT INDUSTRIES INC	08/25/2009	11/16/2010	9,457.00	10,625.00	-1,168.00
3. LIFE TECHNOLOGIES CORP COM	08/25/2009	11/16/2010	149.00	138.00	11.00
79. MEDNAX INC COM	08/25/2009	11/16/2010	4,668.00	4,244.00	424.00
590. THERMO ELECTRON CORP	08/25/2009	11/16/2010	29,935.00	27,170.00	2,765.00
1262. THERMO ELECTRON CORP	10/05/2009	11/16/2010	64,030.00	56,101.00	7,929.00
30. REGIS CORP 00	09/22/2009	11/17/2010	543.00	506.00	37.00
103. REGIS CORP 00	08/25/2009	11/17/2010	1,863.00	1,716.00	147.00
4571.3 FRANKLIN AUTO TR 2006-1 ASSET BKD NT CL A-4	10/04/2006	11/19/2010	4,571.00	4,571.00	
110. BAYER A G SPONSORED ADR	08/25/2009	11/22/2010	8,557.00	6,981.00	1,576.00
175. SKYWORKS SOLUTIONS INC	08/25/2009	11/24/2010	4,528.00	2,140.00	2,388.00
792. AIRTRAN HLDGS INC COM	08/25/2009	11/26/2010	5,884.00	5,504.00	380.00
326. CELGENE CORP	11/16/2009	11/29/2010	19,378.00	17,533.00	1,845.00
883. WAL-MART STORES INCORPORATED	08/25/2009	11/29/2010	47,259.00	46,090.00	1,169.00
2078. TYCO INTL LTD NEW F COM	08/25/2009	11/29/2010	77,598.00	67,064.00	10,534.00
9.84 FEDERAL NATL MTG ASSN POOL #E80870	08/17/2000	11/30/2010	10.00	10.00	

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr)	(c) Date sold (mo., day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 1200.35 FHLMC GOLD #E91275 DTD 9/1/2002 5.50% DUE 7/1/	04/03/2003	11/30/2010	1,200.00	1,243.00	-43.00
76.36 FEDERAL HOME LN MTG CORP POOL #E00881	08/17/2000	11/30/2010	76.00	77.00	-1.00
290.81 FEDERAL HOME LN MTG CORP POOL #E00996	07/02/2001	11/30/2010	291.00	293.00	-2.00
63863.72 FHLMC GOLD #B12573 DTD 3/1/04 5.50% DU	06/09/2004	11/30/2010	63,864.00	65,021.00	-1,157.00
242.5 FNMA POOL #251617 DTD 2/1/1998 6.00% DUE 3/1/	07/24/1998	11/30/2010	243.00	243.00	
1255.49 FEDERAL NATL MTG ASSN POOL #253929	07/02/2001	11/30/2010	1,255.00	1,285.00	-30.00
1414.76 FNMA POOL #254471 DTD 8/1/2002 6.00% DUE 9/1/	08/12/2002	11/30/2010	1,415.00	1,453.00	-38.00
5934.42 FEDERAL NATL MTG ASSN POOL #255890	09/22/2005	11/30/2010	5,934.00	5,934.00	
272.94 FNMA POOL #338365 DTD 4/1/1996 6.00% DUE 4/1/	09/17/1997	11/30/2010	273.00	273.00	
20.37 FNMA POOL #440390 DTD 9/1/98 7.00% DUE 9/1/20	09/09/1998	11/30/2010	20.00	21.00	-1.00
81.78 FNMA POOL #457175 DTD 8/1/99 7.00% DUE 8/1/20	07/30/1999	11/30/2010	82.00	82.00	
83.28 FNMA POOL #548844 DTD 8/1/2000 7.50% DUE 8/1/	07/02/2001	11/30/2010	83.00	86.00	-3.00
727.51 FNMA POOL #561495 DTD 2/1/2001 7.50% DUE 2/1/	07/02/2001	11/30/2010	728.00	749.00	-21.00
57.28 FNMA POOL #593098 DTD 7/1/2001 8.00% DUE 7/1/	07/02/2001	11/30/2010	57.00	59.00	-2.00
6.98 FNMA POOL #596213 DTD 7/1/2001 7.00% DUE 7/1/2031	07/02/2001	11/30/2010	7.00	7.00	
582.64 FNMA POOL #648913 DTD 9/1/2002 5.00% DUE 10/1	04/03/2003	11/30/2010	583.00	597.00	-14.00
5393.81 FEDERAL HOME LN MTG CORP SER 3536 CL PC	10/20/2009	11/30/2010	5,394.00	5,595.00	-201.00
3427.07 FEDERAL HOME LN MTG CORP SER 3565 CL XA	09/21/2009	11/30/2010	3,427.00	3,517.00	-90.00
1250.59 FNMA POOL #763907 DTD 1/1/2004 5.00% DUE 1/1/	04/02/2004	11/30/2010	1,251.00	1,277.00	-26.00
6891.52 FEDERAL NATL MTG ASSN POOL #888757	10/18/2007	11/30/2010	6,892.00	6,961.00	-69.00
6.31 FEDERAL NATL MTG ASSN POOL #918782	06/25/2007	11/30/2010	6.00	6.00	
8681.01 FEDERAL NATL MTG ASSN POOL #940664	06/26/2009	11/30/2010	8,681.00	9,092.00	-411.00
8995.04 FEDERAL NATL MTG ASSN POOL #995586	03/09/2009	11/30/2010	8,995.00	8,995.00	
821.01 FEDERAL NATL MTG ASSN POOL #AC2816	10/16/2009	11/30/2010	821.00	821.00	
2582.13 GNMA POOL #609413 DTD 5/1/03 5.50% DUE 5/15/3	02/15/2005	11/30/2010	2,582.00	2,647.00	-65.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 9.48 GNMA POOL #426776 DTD 6/1/2001 7.00% DUE 6/15/203	07/02/2001	11/30/2010	9.00	10.00	-1.00
8.59 GNMA POOL #436031 DTD 12/1/1997 7.50% DUE 12/15/2	12/30/1997	11/30/2010	9.00	9.00	
28.22 GNMA POOL #468394 DTD 3/1/2001 7.00% DUE 3/15	07/13/2001	11/30/2010	28.00	29.00	-1.00
10.1 GNMA POOL #470517 DTD 6/1/99 7.50% DUE 6/15/2029	07/30/1999	11/30/2010	10.00	10.00	
1271.85 GNMA POOL #495940 DTD 2/1/99 6.00% DUE 2/15/2	04/26/1999	11/30/2010	1,272.00	1,272.00	
4082.16 GNMA POOL #555841 DTD 7/1/2001 6.50% DUE 7/15	07/02/2001	11/30/2010	4,082.00	4,082.00	
64.49 GNMA POOL #564748 DTD 8/1/2001 7.00% DUE 8/15	09/18/2001	11/30/2010	64.00	67.00	-3.00
239.55 J PMORGAN CHASE COML MTG SECS CORP 2005-LDP	06/10/2005	11/30/2010	240.00	241.00	-1.00
31. SCIENTIFIC GAMES CORP COM CL A	08/25/2009	11/30/2010	232.00	232.00	
27. SCIENTIFIC GAMES CORP COM CL A	09/28/2009	11/30/2010	202.00	202.00	
23. SCIENTIFIC GAMES CORP COM CL A	10/07/2009	11/30/2010	172.00	368.00	-196.00
23. SCIENTIFIC GAMES CORP COM CL A	10/07/2009	11/30/2010	172.00	172.00	
25. CORN PRODUCTS INTERNATIONAL INC	08/25/2009	12/01/2010	1,104.00	757.00	347.00
872. PACCAR INCORPORATED	10/21/2009	12/01/2010	48,919.00	34,459.00	14,460.00
163. QLOGIC CORP	11/18/2009	12/01/2010	2,944.00	3,152.00	-208.00
1081. SELECT MED HLDGS CORP COM	09/29/2009	12/01/2010	6,692.00	11,243.00	-4,551.00
20. AEROPOSTALE COM	09/13/2009	12/02/2010	467.00	610.00	-143.00
125. AEROPOSTALE COM	08/25/2009	12/02/2010	2,916.00	3,434.00	-518.00
202. AEROPOSTALE COM	08/25/2009	12/02/2010	4,712.00	4,712.00	
518. OCWEN FINL CORP NEW COM	09/18/2009	12/02/2010	4,596.00	5,769.00	-1,173.00
92. ROSS STORES INC	08/26/2009	12/02/2010	6,045.00	4,264.00	1,781.00
156. ABERCROMBIE & FITCH CO CL A	08/25/2009	12/03/2010	8,693.00	5,162.00	3,531.00
159. NUVASIVE INC	08/25/2009	12/03/2010	3,671.00	6,527.00	-2,856.00
87. SYNIVERSE HOLDINGS INC COM	08/25/2009	12/03/2010	2,673.00	1,629.00	1,044.00
11020.34 FEDERAL HOME LN MTG CORP POOL #1B4023	04/23/2008	12/04/2010	11,020.00	11,089.00	-69.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

0F1222 3 000

FT0560 L775 10/26/2011 07:25:26

602 -

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 3. BERRY PETE CO CL A	08/25/2009	12/06/2010	121.00	70.00	51.00
171995.59 FEDERAL NATL MTG ASSN POOL #995586	03/09/2009	12/06/2010	173,689.00	170,091.00	3,598.00
161150.52 FEDERAL NATL MTG ASSN POOL #AC2816	10/16/2009	12/06/2010	162,737.00	158,607.00	4,130.00
20. ARCH CAP GROUP LTD COM NEW BERMUDA	09/24/2009	12/06/2010	1,794.00	1,336.00	458.00
49. ARCH CAP GROUP LTD COM NEW BERMUDA	08/26/2009	12/06/2010	4,395.00	3,141.00	1,254.00
143. GARMIN LTD COM	09/22/2009	12/06/2010	4,521.00	5,355.00	-834.00
151. GARMIN LTD COM	09/17/2009	12/06/2010	4,773.00	5,648.00	-875.00
32. BELDEN INC COM	08/25/2009	12/07/2010	1,168.00	687.00	481.00
50. BERRY PETE CO CL A	08/25/2009	12/07/2010	2,053.00	1,162.00	891.00
221. PACKAGING CORP OF AMERICA	09/18/2009	12/07/2010	5,798.00	4,641.00	1,157.00
847. COLDWATER CREEK INC COM	08/25/2009	12/08/2010	2,321.00	6,073.00	-3,752.00
234. FLOWERS FOODS INC COM	08/25/2009	12/08/2010	6,065.00	5,490.00	575.00
87. INFORMATICA CORP	08/25/2009	12/08/2010	3,816.00	1,586.00	2,230.00
91. FOSTER WHEELER AG COM	08/28/2009	12/08/2010	2,877.00	3,291.00	-414.00
127. FOSTER WHEELER AG COM	11/11/2009	12/08/2010	4,016.00	4,191.00	-175.00
61. FOSTER WHEELER AG COM	08/01/2009	12/08/2010	1,929.00	1,956.00	-27.00
213. FOSTER WHEELER AG COM	08/01/2009	12/08/2010	6,735.00	6,829.00	-94.00
42. FOSTER WHEELER AG COM	11/04/2009	12/08/2010	1,328.00	1,327.00	1.00
27. FOSTER WHEELER AG COM	11/04/2009	12/08/2010	854.00	853.00	1.00
11. FOSTER WHEELER AG COM	11/05/2009	12/08/2010	348.00	347.00	1.00
10. FOSTER WHEELER AG COM	11/05/2009	12/08/2010	316.00	315.00	1.00
448. AMERICAN TOWER SYSTEMS CORP CL A	08/25/2009	12/09/2010	22,772.00	14,752.00	8,020.00
14. ANALOG DEVICES INC	10/12/2009	12/09/2010	534.00	394.00	140.00
198. AVON PRODUCTS INC	08/25/2009	12/09/2010	5,788.00	6,483.00	-695.00
94. BE AEROSPACE INC	08/25/2009	12/09/2010	3,557.00	1,680.00	1,877.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

OF1222 3 000

FT0560 L775 10/26/2011 07:25:26

603 -

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example. 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 38. BE AEROSPACE INC	08/25/2009	12/09/2010	1,433.00	678.00	755.00
249. BUCYRUS INTL INC NEW COM	08/25/2009	12/09/2010	22,206.00	8,015.00	14,191.00
26. GARDNER DENVER MACHINERY INC	08/25/2009	12/09/2010	1,794.00	840.00	954.00
140. HEINZ H J CO	08/25/2009	12/09/2010	6,918.00	5,483.00	1,435.00
49. ONYX PHARMACEUTICALS INC	08/25/2009	12/09/2010	1,637.00	1,520.00	117.00
78. ONYX PHARMACEUTICALS INC	09/21/2009	12/09/2010	2,606.00	2,731.00	-125.00
2. ONYX PHARMACEUTICALS INC	09/21/2009	12/09/2010	67.00	67.00	
146. SBA COMMUNICATIONS CORP	11/13/2009	12/09/2010	5,790.00	4,560.00	1,230.00
467. SBA COMMUNICATIONS CORP	08/25/2009	12/09/2010	18,519.00	11,616.00	6,903.00
12. DIEBOLD INC	07/27/2009	12/10/2010	373.00	389.00	-16.00
35. DIEBOLD INC	08/25/2009	12/10/2010	1,088.00	1,064.00	24.00
223. DOLLAR THRIFTY AUTOMOTIVE GROUP	12/08/2009	12/10/2010	10,677.00	4,885.00	5,792.00
37. GARMIN LTD COM	09/17/2009	12/10/2010	1,111.00	1,384.00	-273.00
85. GARMIN LTD COM	09/17/2009	12/10/2010	2,552.00	3,179.00	-627.00
34000. BOSTON PROPERTIES INC SR NT	05/29/2009	12/12/2010	37,654.00	33,743.00	3,911.00
31. BE AEROSPACE INC	08/25/2009	12/13/2010	1,165.00	553.00	612.00
2126.08 BEAR STEARNS COML MTG SECS TR 2007-TOP28 PASS	10/11/2007	12/13/2010	2,126.00	2,131.00	-5.00
554. CELGENE CORP	11/16/2009	12/13/2010	31,724.00	29,796.00	1,928.00
20. GARDNER DENVER MACHINERY INC	08/25/2009	12/13/2010	1,406.00	646.00	760.00
310. PACKAGING CORP OF AMERICA	09/30/2009	12/13/2010	8,290.00	7,034.00	1,256.00
10. PACKAGING CORP OF AMERICA	08/28/2009	12/13/2010	267.00	225.00	42.00
143. SKYWORKS SOLUTIONS INC	08/25/2009	12/13/2010	3,965.00	1,749.00	2,216.00
148. FOSTER WHEELER AG COM	11/05/2009	12/13/2010	4,967.00	4,662.00	305.00
1068. AT&T INC	08/26/2009	12/14/2010	31,228.00	28,334.00	2,894.00
39. AECOM TECHNOLOGY CORP DELAWARE COM	08/25/2009	12/14/2010	1,096.00	1,086.00	10.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 243. AIR PRODUCTS AND CHEMICALS INC	08/26/2009	12/14/2010	21,286.00	18,383.00	2,903.00
333. AMERICAN EXPRESS CO	10/21/2009	12/14/2010	15,378.00	11,827.00	3,551.00
37. APACHE CORPORATION	10/06/2009	12/14/2010	4,292.00	3,579.00	713.00
17. APTARGROUP INCORPORATE D	08/25/2009	12/14/2010	792.00	603.00	189.00
19. ARUBA NETWORKS INC COM	11/27/2009	12/14/2010	424.00	157.00	267.00
63. AUXILIUM PHARMACEUTICA LS INC COM	08/25/2009	12/14/2010	1,280.00	1,280.00	
56. BANCORPSOUTH INC	08/25/2009	12/14/2010	833.00	1,313.00	-480.00
21. BE AEROSPACE INC	08/25/2009	12/14/2010	791.00	375.00	416.00
40. BE AEROSPACE INC	08/25/2009	12/14/2010	1,504.00	714.00	790.00
59. BELDEN INC COM	08/25/2009	12/14/2010	2,163.00	1,266.00	897.00
168. BELO A H CORP CL A	08/25/2009	12/14/2010	1,134.00	643.00	491.00
58. BERRY PETE CO CL A	08/25/2009	12/14/2010	2,485.00	1,348.00	1,137.00
35. BRADY W H CO CL A	08/25/2009	12/14/2010	1,165.00	1,075.00	90.00
4. CACI INTL INC CL A	08/17/2009	12/14/2010	212.00	209.00	3.00
8. CACI INTL INC CL A	11/17/2009	12/14/2010	424.00	417.00	7.00
104. CALLAWAY GOLF CO	08/25/2009	12/14/2010	833.00	718.00	115.00
128. CARDINAL FINL CORP COM	08/25/2009	12/14/2010	1,390.00	938.00	452.00
758. CARNIVAL CORP PAIRED CTF 1 COM	08/26/2009	12/14/2010	32,465.00	23,114.00	9,351.00
351. CATERPILLAR INCORPORATED	11/13/2009	12/14/2010	32,323.00	20,759.00	11,564.00
83. CENTRAL GARDEN & PET CO	08/25/2009	12/14/2010	817.00	1,095.00	-278.00
81. CENTRAL GARDEN & PET CO CL A NON-VTG COM	08/25/2009	12/14/2010	807.00	968.00	-161.00
626. CISCO SYSTEMS INCORPORATED	08/26/2009	12/14/2010	12,251.00	13,734.00	-1,483.00
27. CLARCOR INC COM	08/25/2009	12/14/2010	1,170.00	908.00	262.00
44. COHERENT INC COM	08/25/2009	12/14/2010	1,967.00	1,007.00	960.00
62. COOPER COMPANIES INC	08/25/2009	12/14/2010	3,608.00	1,795.00	1,813.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

OF1222 3 000

FT0560 L775 10/26/2011 07:25:26

605 -

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 36. CORN PRODUCTS INTERNATIONAL INC	08/25/2009	12/14/2010	1,688.00	1,090.00	598.00
31. COURIER CORP COM	08/25/2009	12/14/2010	443.00	515.00	-72.00
50. DIEBOLD INC	08/25/2009	12/14/2010	1,558.00	1,519.00	39.00
47. DIGITAL RIV INC	10/13/2009	12/14/2010	1,797.00	1,207.00	590.00
102. DOLE FOOD CO INC NEW COM	10/26/2009	12/14/2010	1,188.00	1,241.00	-53.00
122. EMPLOYERS HLDGS INC COM	08/25/2009	12/14/2010	2,074.00	1,835.00	239.00
48. ETHAN ALLEN INTERIORS INC	08/25/2009	12/14/2010	946.00	739.00	207.00
410. EXXON MOBIL CORPORATION	08/26/2009	12/14/2010	29,526.00	29,197.00	329.00
49. FIRST FINL BANCORP	08/25/2009	12/14/2010	917.00	407.00	510.00
159. FREEPORT-MCMORAN COPPER & GOLD INC COM	09/22/2009	12/14/2010	18,202.00	11,624.00	6,578.00
19. GARDNER DENVER MACHINERY INC	08/25/2009	12/14/2010	1,341.00	614.00	727.00
609. GENERAL DYNAMICS CORP	10/21/2009	12/14/2010	42,587.00	40,715.00	1,872.00
26. GOODRICH PETROLEUM CORP	08/02/2009	12/14/2010	449.00	694.00	-245.00
9. GOODRICH PETROLEUM CORP	09/12/2009	12/14/2010	155.00	237.00	-82.00
4. GOODRICH PETROLEUM CORP	09/28/2009	12/14/2010	69.00	101.00	-32.00
78. GOODRICH PETROLEUM CORP	08/25/2009	12/14/2010	1,347.00	1,950.00	-603.00
94. GREAT PLAINS ENERGY INC COM	08/25/2009	12/14/2010	1,818.00	1,694.00	124.00
34. HANCOCK HLDG CO COM	08/25/2009	12/14/2010	1,210.00	1,327.00	-117.00
3. HANCOCK HLDG CO COM	08/04/2009	12/14/2010	107.00	123.00	-16.00
17. HANCOCK HLDG CO COM	08/04/2009	12/14/2010	605.00	605.00	
2882.556 HARBOR INTERNATIONAL FUND INSTL CL	07/30/2009	12/14/2010	175,000.00	136,230.00	38,770.00
560. HESS CORP COM	08/26/2009	12/14/2010	41,455.00	29,411.00	12,044.00
300. HEWLETT PACKARD CO COM	08/26/2009	12/14/2010	12,453.00	13,386.00	-933.00
84. HORACE MANN EDUCATORS CORP	08/25/2009	12/14/2010	1,511.00	1,030.00	481.00
25. IDACORP INC	08/25/2009	12/14/2010	938.00	731.00	207.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

0F1222 3 000

FT0560 L775 10/26/2011 07:25:26

606 -

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 42. IDEX CORP	08/25/2009	12/14/2010	1,669.00	1,161.00	508.00
38. INFINITY PPTY & CAS CORP COM	08/25/2009	12/14/2010	2,348.00	1,698.00	650.00
27. INFORMATICA CORP	08/25/2009	12/14/2010	1,187.00	492.00	695.00
633. INTEL CORPORATION	09/01/2009	12/14/2010	13,540.00	12,496.00	1,044.00
93. INTERFACE INC CL A	08/25/2009	12/14/2010	1,503.00	633.00	870.00
197. INTERNATIONAL BUSINESS MACHS	08/26/2009	12/14/2010	28,689.00	23,573.00	5,116.00
33. KADANT INC COM	10/27/2009	12/14/2010	773.00	446.00	327.00
1. KAYDON CORPORATION	11/01/2009	12/14/2010	40.00	38.00	2.00
197. LAWSON SOFTWARE INC COM	08/25/2009	12/14/2010	1,804.00	1,296.00	508.00
33. LITTELFUSE INC COM	08/25/2009	12/14/2010	1,598.00	834.00	764.00
107. MASTERCARD INC CL A COM	08/26/2009	12/14/2010	27,265.00	22,059.00	5,206.00
243. MCDONALDS CORP	08/26/2009	12/14/2010	18,740.00	13,877.00	4,863.00
114. MEDIDATA SOLUTIONS INC COM	08/25/2009	12/14/2010	2,462.00	1,974.00	488.00
54. MONOLITHIC PWR SYS INC COM	08/25/2009	12/14/2010	913.00	1,249.00	-336.00
137. NATIONAL PENN BANCSHARES INC COM	09/11/2009	12/14/2010	1,064.00	826.00	238.00
32. NETLOGIC MICROSYSTEMS INC COM	08/25/2009	12/14/2010	1,051.00	685.00	366.00
253. OCCIDENTAL PETROLEUM CORPORATION	08/26/2009	12/14/2010	23,767.00	18,747.00	5,020.00
141. OPTIMER PHARMACEUTICA LS INC COM	08/25/2009	12/14/2010	1,568.00	1,898.00	-330.00
350. P G & E CORPORATION	10/21/2009	12/14/2010	16,660.00	14,906.00	1,754.00
272. PNC BK CORP	08/26/2009	12/14/2010	16,429.00	11,432.00	4,997.00
75000. PNC FDG CORP SR MEDIUM TERM SUB NT	12/07/2005	12/14/2010	75,000.00	74,971.00	29.00
95. PACWEST BANCORP DEL COM	08/25/2009	12/14/2010	1,828.00	1,764.00	64.00
84. PARAMETRIC TECHNOLOGY CORP NEW COM	08/25/2009	12/14/2010	1,924.00	1,150.00	774.00
45. PATTERSON COMPANIES INC	08/25/2009	12/14/2010	1,362.00	1,244.00	118.00
193. PEPSICO INCORPORATED	08/26/2009	12/14/2010	12,608.00	11,153.00	1,455.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

OF1222 3 000

FT0560 L775 10/26/2011 07:25:26

607 -

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 130. PORTLAND GEN ELEC CO COM NEW	08/25/2009	12/14/2010	2,874.00	2,554.00	320.00
508. PRUDENTIAL FINL INC COM	08/26/2009	12/14/2010	28,590.00	25,773.00	2,817.00
42. QLOGIC CORP	11/18/2009	12/14/2010	737.00	812.00	-75.00
45. REGIS CORP 00	08/25/2009	12/14/2010	838.00	750.00	88.00
62. REINSURANCE GROUP AMER INC COM	08/25/2009	12/14/2010	3,293.00	2,744.00	549.00
26. SAVIENT PHARMACEUTICAL S INC COM	10/13/2009	12/14/2010	301.00	368.00	-67.00
22. SAVIENT PHARMACEUTICAL S INC COM	08/25/2009	12/14/2010	255.00	303.00	-48.00
5. SCHOOL SPECIALTY INC	08/09/2009	12/14/2010	62.00	145.00	-83.00
54. SCHOOL SPECIALTY INC	08/25/2009	12/14/2010	673.00	1,324.00	-651.00
4. SCHWEITZER-MAUDUIT INTL INC	10/31/2009	12/14/2010	244.00	244.00	
17. SCHWEITZER-MAUDUIT INTL INC	11/14/2009	12/14/2010	1,035.00	1,035.00	
106. SCIENTIFIC GAMES CORP COM CL A	08/25/2009	12/14/2010	992.00	1,674.00	-682.00
25. SIGNATURE BANK COM	08/25/2009	12/14/2010	1,177.00	748.00	429.00
27. SIRONA DENTAL SYS INC COM	08/25/2009	12/14/2010	1,089.00	728.00	361.00
27. SKYWORKS SOLUTIONS INC	08/25/2009	12/14/2010	727.00	330.00	397.00
8. SOUTH JERSEY INDUSTRIES INCORPORATED	10/07/2009	12/14/2010	424.00	287.00	137.00
9. SOUTH JERSEY INDUSTRIES INCORPORATED	10/05/2009	12/14/2010	477.00	323.00	154.00
580. STAPLES INCORPORATED	08/26/2009	12/14/2010	12,737.00	12,755.00	-18.00
41. SWIFT ENERGY CO COM	08/25/2009	12/14/2010	1,651.00	839.00	812.00
80. SYNOVUS FINANCIAL CORPORATION	10/01/2009	12/14/2010	193.00	282.00	-89.00
701. SYNOVUS FINANCIAL CORPORATION	10/01/2009	12/14/2010	1,689.00	1,689.00	
298. TARGET CORP	08/26/2009	12/14/2010	17,609.00	14,066.00	3,543.00
45. TETRA TECH INC NEW COM	09/29/2009	12/14/2010	1,139.00	1,139.00	
17. TOWERS WATSON & CO CL A COM	11/26/2009	12/14/2010	895.00	874.00	21.00
44. TOWERS WATSON & CO CL A COM	08/25/2009	12/14/2010	2,313.00	1,953.00	360.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

OF1222 3 000

FT0560 L775 10/26/2011 07:25:26

608 -

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr.)	(c) Date sold (mo, day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 15. TRIUMPH GROUP INC NEW	08/25/2009	12/14/2010	1,362.00	660.00	702.00
189. UNION PAC CORP COM	11/13/2009	12/14/2010	17,216.00	11,988.00	5,228.00
672. UNITED TECHNOLOGIES CORP	08/26/2009	12/14/2010	52,946.00	40,172.00	12,774.00
849. VERIZON COMMUNICATION S	08/26/2009	12/14/2010	29,315.00	25,055.00	4,260.00
21. WMS INDS INC COM	08/25/2009	12/14/2010	948.00	907.00	41.00
1303. WASTE MANAGEMENT INC	08/26/2009	12/14/2010	47,317.00	39,432.00	7,885.00
12. WATTS INDS INC CL A	10/28/2009	12/14/2010	423.00	350.00	73.00
43. WESCO INTL INC	08/25/2009	12/14/2010	2,183.00	1,047.00	1,136.00
42. WESTAR ENERGY INC COM	08/25/2009	12/14/2010	1,059.00	872.00	187.00
56. ZEBRA TECHNOLOGIES CORP CL A	08/25/2009	12/14/2010	2,178.00	1,411.00	767.00
81. ZORAN CORP	10/29/2009	12/14/2010	652.00	737.00	-85.00
49. PLATINUM UNDERWRITERS HOLDINGS LTD	08/25/2009	12/14/2010	2,225.00	1,745.00	480.00
24. TYCO INTL LTD NEW F COM	10/21/2009	12/14/2010	1,007.00	852.00	155.00
204. VISTAPRINT NV COM	08/25/2009	12/14/2010	9,037.00	8,606.00	431.00
30. AGNICO EAGLE MINES LTD COM	11/13/2009	12/15/2010	2,453.00	1,818.00	635.00
30. AKAMAI TECHNOLOGIES INC	08/25/2009	12/15/2010	1,483.00	540.00	943.00
57. ANALOG DEVICES INC	10/12/2009	12/15/2010	2,118.00	1,606.00	512.00
53. AVON PRODUCTS INC	08/25/2009	12/15/2010	1,550.00	1,735.00	-185.00
20. BE AEROSPACE INC	08/25/2009	12/15/2010	752.00	360.00	392.00
43. BELDEN INC COM	08/25/2009	12/15/2010	1,584.00	923.00	661.00
2. BROOKDALE SENIOR LIVING INC COM	11/13/2009	12/15/2010	41.00	33.00	8.00
42. CONCHO RES INC COM	11/13/2009	12/15/2010	3,504.00	1,737.00	1,767.00
1. DENDREON CORP COM	11/13/2009	12/15/2010	36.00	29.00	7.00
4. HANESBRANDS INC COM	08/25/2009	12/15/2010	103.00	84.00	19.00
31. HEINZ H J CO	08/25/2009	12/15/2010	1,561.00	1,214.00	347.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

0F1222 3 000

FT0560 L775 10/26/2011 07:25:26

609 -

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example. 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 26. ICON PUB LTD CO SPONSORED ADR	08/25/2009	12/15/2010	560.00	607.00	-47.00
3. ICON PUB LTD CO SPONSORED ADR	08/25/2009	12/15/2010	65.00	65.00	
42. ILLUMINA INC	08/25/2009	12/15/2010	2,783.00	1,486.00	1,297.00
60. INFORMATICA CORP	08/25/2009	12/15/2010	2,631.00	1,094.00	1,537.00
12. LABORATORY CORP AMER HLDGS COM NEW	11/13/2009	12/15/2010	1,036.00	874.00	162.00
72. LIFE TECHNOLOGIES CORP COM	08/25/2009	12/15/2010	4,018.00	3,304.00	714.00
5. MCGRAW HILL INCORPORATE D	08/25/2009	12/15/2010	182.00	157.00	25.00
30. MEDNAX INC COM	08/25/2009	12/15/2010	1,989.00	1,612.00	377.00
2. ONYX PHARMACEUTICALS INC	08/30/2009	12/15/2010	71.00	62.00	9.00
33. ONYX PHARMACEUTICALS INC	08/25/2009	12/15/2010	1,168.00	1,024.00	144.00
440.5 ORIGEN MANFCTRD HSG SER 2002-A CL A3 DTD 3/1/20	04/23/2004	12/15/2010	441.00	464.00	-23.00
12. PATTERSON COMPANIES INC	08/22/2009	12/15/2010	363.00	340.00	23.00
42. PATTERSON COMPANIES INC	08/25/2009	12/15/2010	1,271.00	1,173.00	98.00
17. PRECISION CASTPARTS CORPORATION	08/25/2009	12/15/2010	2,383.00	1,514.00	869.00
6. TJX COMPANIES INCORPORATED NEW	08/25/2009	12/15/2010	264.00	217.00	47.00
248. BE AEROSPACE INC	08/25/2009	12/16/2010	9,195.00	4,431.00	4,764.00
6905.06 CAPITAL AUTO RECEIVABLES ASSET TR 2007-1	04/19/2007	12/16/2010	6,905.00	6,905.00	
7307.82 CAPITAL AUTO RECEIVABLES ASSET TR 2007-3	05/08/2008	12/16/2010	7,308.00	7,400.00	-92.00
384. SKYWORKS SOLUTIONS INC	08/25/2009	12/16/2010	10,591.00	4,696.00	5,895.00
22. FINISAR CORP COM	11/18/2009	12/17/2010	622.00	204.00	418.00
5. QLOGIC CORP	11/18/2009	12/17/2010	86.00	97.00	-11.00
99. QLOGIC CORP	12/08/2009	12/17/2010	1,700.00	1,861.00	-161.00
101. QLOGIC CORP	12/14/2009	12/17/2010	1,734.00	1,870.00	-136.00
116. UNITED THERAPEUTICS CORP	09/16/2009	12/17/2010	7,334.00	5,637.00	1,697.00
10. UNITED THERAPEUTICS CORP	11/18/2009	12/17/2010	632.00	450.00	182.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

0F1222 3 000

FT0560 L775 10/26/2011 07:25:26

610 -

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 100000. XTO ENERGY INC NT	03/23/2006	12/17/2010	115,828.00	99,917.00	15,911.00
4166.13 FRANKLIN AUTO TR 2006-1 ASSET BKD NT CL A-4	10/04/2006	12/19/2010	4,166.00	4,166.00	
164. DOLE FOOD CO INC NEW COM	10/26/2009	12/20/2010	2,121.00	1,996.00	125.00
100. INFORMATICA CORP	08/25/2009	12/20/2010	4,493.00	1,823.00	2,670.00
34. DIEBOLD INC	08/25/2009	12/22/2010	1,104.00	1,033.00	71.00
1. TEREX CORP	12/02/2009	12/23/2010	31.00	19.00	12.00
21. TEREX CORP	08/25/2009	12/23/2010	648.00	349.00	299.00
144. HEWLETT PACKARD CO COM	09/15/2009	12/27/2010	5,982.00	6,705.00	-723.00
1745. HEWLETT PACKARD CO COM	08/25/2009	12/27/2010	72,486.00	78,542.00	-6,056.00
437. SALESFORCE COM INC COM	11/30/2009	12/27/2010	58,219.00	27,168.00	31,051.00
1222. VISA INC CL A COM	08/25/2009	12/27/2010	85,001.00	84,169.00	832.00
1011. WAL-MART STORES INCORPORATED	08/25/2009	12/27/2010	53,859.00	52,771.00	1,088.00
196. INFORMATICA CORP	08/25/2009	12/29/2010	8,760.00	3,573.00	5,187.00
176. WMS INDS INC COM	08/25/2009	12/29/2010	7,963.00	7,600.00	363.00
26. EMPLOYERS HLDGS INC COM	08/25/2009	12/30/2010	459.00	391.00	68.00
128. FIRST HORIZON NATIONAL CORP COM	08/25/2009	12/30/2010	1,510.00	1,583.00	-73.00
33. GOODRICH PETROLEUM CORP	08/25/2009	12/30/2010	588.00	825.00	-237.00
73. DIGITAL RIV INC	10/13/2009	12/31/2010	2,519.00	1,875.00	644.00
9.88 FEDERAL NATL MTG ASSN POOL #E80870	08/17/2000	12/31/2010	10.00	10.00	
284.4 FHLMC GOLD #E91275 DTD 9/1/2002 5.50% DUE 7/1/	04/03/2003	12/31/2010	284.00	295.00	-11.00
3164.47 FEDERAL HOME LN MTG CORP POOL #1B4023	04/23/2008	12/31/2010	3,164.00	3,184.00	-20.00
3844.87 FEDERAL HOME LN MTG CORP POOL #J10791	12/04/2009	12/31/2010	3,845.00	4,007.00	-162.00
43.78 FEDERAL HOME LN MTG CORP POOL #E00881	08/17/2000	12/31/2010	44.00	44.00	
275.16 FEDERAL HOME LN MTG CORP POOL #E00996	07/02/2001	12/31/2010	275.00	277.00	-2.00
815.68 FHLMC GOLD #B12573 DTD 3/1/04 5.50% DUE 3/1/19	06/09/2004	12/31/2010	816.00	830.00	-14.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

0F1222 3.000

FT0560 L775 10/26/2011 07:25:26

611 -

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 196.4 FNMA POOL #251617 DTD 2/1/1998 6.00% DUE 3/1/	07/24/1998	12/31/2010	196.00	194.00	2.00
41.04 FEDERAL NATL MTG ASSN POOL #253929	07/02/2001	12/31/2010	41.00	42.00	-1.00
1988.28 FNMA POOL #254471 DTD 8/1/2002 6.00% DUE 9/1/	08/12/2002	12/31/2010	1,988.00	2,042.00	-54.00
2680.56 FEDERAL NATL MTG ASSN POOL #255890	09/22/2005	12/31/2010	2,681.00	2,642.00	39.00
318.28 FNMA POOL #338365 DTD 4/1/1996 6.00% DUE 4/1/	09/17/1997	12/31/2010	318.00	312.00	6.00
20.49 FNMA POOL #440390 DTD 9/1/98 7.00% DUE 9/1/20	09/09/1998	12/31/2010	20.00	21.00	-1.00
82.29 FNMA POOL #457175 DTD 8/1/99 7.00% DUE 8/1/20	07/30/1999	12/31/2010	82.00	80.00	2.00
83.84 FNMA POOL #548844 DTD 8/1/2000 7.50% DUE 8/1/	07/02/2001	12/31/2010	84.00	86.00	-2.00
71.14 FNMA POOL #561495 DTD 2/1/2001 7.50% DUE 2/1/	07/02/2001	12/31/2010	71.00	73.00	-2.00
57.7 FNMA POOL #593098 DTD 7/1/2001 8.00% DUE 7/1/2031	07/02/2001	12/31/2010	58.00	60.00	-2.00
8.9 FNMA POOL #596213 DTD 7/1/2001 7.00% DUE 7/1/2031	07/02/2001	12/31/2010	9.00	9.00	
2853.72 FNMA POOL #648913 DTD 9/1/2002 5.00% DUE 10/1	04/03/2003	12/31/2010	2,854.00	2,923.00	-69.00
1445.78 FNMA POOL #763907 DTD 1/1/2004 5.00% DUE 1/1/	04/02/2004	12/31/2010	1,446.00	1,477.00	-31.00
4857.16 FEDERAL NATL MTG ASSN POOL #888757	10/18/2007	12/31/2010	4,857.00	4,906.00	-49.00
6997.75 FEDERAL NATL MTG ASSN POOL #918782	06/25/2007	12/31/2010	6,998.00	7,048.00	-50.00
8616.82 FEDERAL NATL MTG ASSN POOL #940664	06/26/2009	12/31/2010	8,617.00	9,025.00	-408.00
1501.08 GNMA POOL #609413 DTD 5/1/03 5.50% DUE 5/15/3	02/15/2005	12/31/2010	1,501.00	1,539.00	-38.00
9.53 GNMA POOL #426776 DTD 6/1/2001 7.00% DUE 6/15/203	07/02/2001	12/31/2010	10.00	10.00	
8.64 GNMA POOL #436031 DTD 12/1/1997 7.50% DUE 12/15/2	12/30/1997	12/31/2010	9.00	9.00	
28.4 GNMA POOL #468394 DTD 3/1/2001 7.00% DUE 3/15/203	07/13/2001	12/31/2010	28.00	29.00	-1.00
10.17 GNMA POOL #470517 DTD 6/1/99 7.50% DUE 6/15/2	07/30/1999	12/31/2010	10.00	10.00	
755.41 GNMA POOL #495940 DTD 2/1/99 6.00% DUE 2/15/2	04/26/1999	12/31/2010	755.00	735.00	20.00
48.08 GNMA POOL #555841 DTD 7/1/2001 6.50% DUE 7/15	07/02/2001	12/31/2010	48.00	48.00	
39.13 GNMA POOL #564748 DTD 8/1/2001 7.00% DUE 8/15	09/18/2001	12/31/2010	39.00	40.00	-1.00
475. SYNOVUS FINANCIAL CORPORATION	10/01/2009	12/31/2010	1,254.00	1,676.00	-422.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 37. DIGITAL RIV INC	10/13/2009	01/03/2011	1,307.00	950.00	357.00
456. MASTERCARD INC CL A COM	08/26/2009	01/05/2011	103,739.00	94,009.00	9,730.00
135. MASTERCARD INC CL A COM	09/03/2009	01/05/2011	30,712.00	27,190.00	3,522.00
388. PACKAGING CORP OF AMERICA	09/18/2009	01/05/2011	10,311.00	8,148.00	2,163.00
69. FOSTER WHEELER AG COM	11/05/2009	01/05/2011	2,375.00	2,174.00	201.00
41. FOSTER WHEELER AG COM	11/05/2009	01/05/2011	1,411.00	1,292.00	119.00
64. FOSTER WHEELER AG COM	11/30/2009	01/05/2011	2,203.00	1,946.00	257.00
9. FOSTER WHEELER AG COM	11/30/2009	01/05/2011	310.00	274.00	36.00
155450.96 FEDERAL HOME LN MTG CORP POOL #1G3616	05/28/2009	01/06/2011	164,049.00	163,564.00	485.00
313. SYNOVUS FINANCIAL CORPORATION	10/01/2009	01/06/2011	845.00	1,104.00	-259.00
322. SYNOVUS FINANCIAL CORPORATION	09/02/2009	01/06/2011	869.00	1,033.00	-164.00
24. VALEANT PHARMACEUTICAL S INTL INC CDA COM	08/26/2009	01/06/2011	827.00	313.00	514.00
53. SIGNATURE BANK COM	08/25/2009	01/07/2011	2,549.00	1,586.00	963.00
44. ARCH CAP GROUP LTD COM NEW BERMUDA	08/26/2009	01/07/2011	3,809.00	2,820.00	989.00
.865 FIRST HORIZON NATIONAL CORP COM	08/25/2009	01/10/2011	11.00	11.00	
20. SIGNATURE BANK COM	08/25/2009	01/10/2011	953.00	599.00	354.00
191. SKYWORKS SOLUTIONS INC	12/10/2009	01/10/2011	6,043.00	2,649.00	3,394.00
25. SKYWORKS SOLUTIONS INC	12/10/2009	01/10/2011	791.00	347.00	444.00
7. PATRIOT COAL CORP COM	09/14/2009	01/11/2011	180.00	77.00	103.00
29. ITT INDUSTRIES INC	11/04/2009	01/12/2011	1,744.00	1,489.00	255.00
9. QUEST DIAGNOSTICS INC	08/26/2009	01/12/2011	494.00	497.00	-3.00
21376.22 BEAR STEARNS COM MTG SECS TR 2007-TOP28 PASS	10/11/2007	01/13/2011	21,376.00	21,429.00	-53.00
175. ANALOG DEVICES INC	10/12/2009	01/14/2011	6,739.00	4,930.00	1,809.00
265. ANALOG DEVICES INC	11/13/2009	01/14/2011	10,204.00	7,244.00	2,960.00
6404.07 CAPITAL AUTO RECEIVABLES ASSET TR 2007-1	04/19/2007	01/14/2011	6,404.00	6,404.00	

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

0F1222 3 000

FT0560 L775 10/26/2011 07:25:26

613 -

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 6878.49 CAPITAL AUTO RECEIVABLES ASSET TR 2007-3	05/08/2008	01/14/2011	6,878.00	6,966.00	-88.00
16. ILLUMINA INC	08/25/2009	01/14/2011	1,100.00	566.00	534.00
14. LABORATORY CORP AMER HLDGS COM NEW	11/13/2009	01/14/2011	1,277.00	1,019.00	258.00
7. NII HLDGS INC COM	10/12/2009	01/14/2011	297.00	214.00	83.00
433. PATTERSON COMPANIES INC	08/25/2009	01/14/2011	13,594.00	12,097.00	1,497.00
216. TD AMERITRADE HLDG CORP COM	11/16/2009	01/14/2011	4,457.00	4,548.00	-91.00
110. TD AMERITRADE HLDG CORP COM	11/16/2009	01/14/2011	2,270.00	2,316.00	-46.00 *
13452.16 FEDERAL HOME LN MTG CORP POOL #1G3616	05/28/2009	01/18/2011	13,452.00	14,154.00	-702.00
5288.89 FEDERAL HOME LN MTG CORP SER 3536 CL PC	10/20/2009	01/18/2011	5,289.00	5,486.00	-197.00
3393.34 FEDERAL HOME LN MTG CORP SER 3565 CL XA	09/21/2009	01/18/2011	3,393.00	3,482.00	-89.00
6634.32 J PMORGAN CHASE COML MTG SECS CORP 2005-LDP	06/10/2005	01/18/2011	6,634.00	6,667.00	-33.00
405.95 ORIGEN MANFCTRD HSG SER 2002-A CL A3 DTD 3/1/20	04/23/2004	01/18/2011	406.00	428.00	-22.00
91. WESTERN DIGITAL CORP	11/22/2009	01/18/2011	3,091.00	4,174.00	-1,083.00
69. WESTERN DIGITAL CORP	12/10/2009	01/18/2011	2,344.00	3,033.00	-689.00
19. WESTERN DIGITAL CORP	12/19/2009	01/18/2011	645.00	824.00	-179.00
6. WESTERN DIGITAL CORP	11/03/2009	01/18/2011	204.00	249.00	-45.00
44. WESTERN DIGITAL CORP	12/10/2009	01/18/2011	1,495.00	1,805.00	-310.00
79. LIFEPOINT HOSPITALS INC	12/14/2009	01/19/2011	2,860.00	2,358.00	502.00
17. LIFEPOINT HOSPITALS INC	10/27/2009	01/19/2011	615.00	506.00	109.00
2516.03 FRANKLIN AUTO TR 2006-1 ASSET BKD NT CL A-4	10/04/2006	01/20/2011	2,516.00	2,516.00	
240. NESTLE S A SPONSORED ADR	08/25/2009	01/20/2011	13,020.00	9,792.00	3,228.00
62. TEREX CORP	08/25/2009	01/20/2011	1,901.00	1,029.00	872.00
60. ADIDAS AG SPONSORED ADR	09/17/2009	01/21/2011	1,830.00	1,616.00	214.00
50. AMERICA MOVIL S A DE C V SPONSORED ADR REPSTG SER	08/25/2009	01/21/2011	2,922.00	2,395.00	527.00
63. APACHE CORPORATION	10/06/2009	01/21/2011	7,887.00	6,094.00	1,793.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

0F1222 3 000

FT0560 L775 10/26/2011 07:25:26

614 -

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 20. BG GROUP PLC- SPON ADR ISIN US0554342032	08/25/2009	01/21/2011	2,107.00	1,753.00	354.00
50. BNP PARIBAS SPONSORED ADR REPSTG 1/4 SH	08/25/2009	01/21/2011	1,880.00	2,103.00	-223.00
20. BAYER A G SPONSORED ADR	08/25/2009	01/21/2011	1,482.00	1,269.00	213.00
265. BEST BUY INCORPORATED	08/26/2009	01/21/2011	9,333.00	9,890.00	-557.00
50. BHP BILLITON LIMITED ADR	08/25/2009	01/21/2011	4,407.00	3,194.00	1,213.00
30. BUNZL PLC SPONSORED ADR NEW	08/25/2009	01/21/2011	1,782.00	1,451.00	331.00
10. CNOOC LTD SPONSORED ADR	08/25/2009	01/21/2011	2,392.00	1,375.00	1,017.00
140. CSL LTD ADR	08/25/2009	01/21/2011	2,559.00	1,896.00	663.00
150. CHEUNG KONG HLDGS LTD ADR	08/25/2009	01/21/2011	2,551.00	1,911.00	640.00
70. COCA COLA AMATIL LTD SPONSORED ADR	08/25/2009	01/21/2011	1,625.00	1,140.00	485.00
8061.266 COLUMBIA LARGE CAP INDEX FUND CLASS Z SHAR	07/29/2009	01/21/2011	200,000.00	152,519.00	47,481.00
280. COMPASS GROUP PLC SPONSORED ADR NEW	08/25/2009	01/21/2011	2,500.00	1,532.00	968.00
80. DENSO CORP UNSPONSORED ADR	08/25/2009	01/21/2011	1,421.00	1,250.00	171.00
58. GOLDMAN SACHS GROUP INC	08/26/2009	01/21/2011	9,654.00	9,569.00	85.00
90. GRUPO TELEVISIA GDS	08/25/2009	01/21/2011	2,208.00	1,607.00	601.00
40. HUTCHISON WHAMPOA LTD ADR	08/25/2009	01/21/2011	2,372.00	1,496.00	876.00
50. IMPERIAL TOBACCO GROUP ADR UNITED KINGDOM	08/25/2009	01/21/2011	2,886.00	2,879.00	7.00
50. INTL PWR PLC SPONSORED ADR	08/25/2009	01/21/2011	3,290.00	2,344.00	946.00
456. J P MORGAN CHASE & CO COM	08/26/2009	01/21/2011	20,638.00	19,705.00	933.00
130. KEPPEL CORP LTD SPONSORED ADR	08/25/2009	01/21/2011	2,317.00	1,443.00	874.00
40. NESTLE S A SPONSORED ADR	08/25/2009	01/21/2011	2,195.00	1,632.00	563.00
157. NORTHERN TRUST CORPORATION	08/26/2009	01/21/2011	8,297.00	9,337.00	-1,040.00
20. NOVO-NORDISK A S ADR	08/25/2009	01/21/2011	2,227.00	1,222.00	1,005.00
75. OCCIDENTAL PETROLEUM CORPORATION	08/26/2009	01/21/2011	7,339.00	5,558.00	1,781.00
266. ORACLE SYS CORP	08/12/2009	01/21/2011	8,663.00	6,062.00	2,601.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

0F1222 3 000

FT0560 L775 10/26/2011 07:25:26

615 -

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 40. PETROLEO BRASILEIRO SA PETROBRAS ADR	08/25/2009	01/21/2011	1,444.00	1,763.00	-319.00
40. PHILIPPINE LONG DISTANCE TEL SPONSORED ADR	08/25/2009	01/21/2011	2,272.00	2,113.00	159.00
50. REED ELSEVIER P L C SPONSORED ADR NEW COM	08/25/2009	01/21/2011	1,794.00	1,464.00	330.00
100. ROCHE HLDG LTD SPONSORED ADR	08/25/2009	01/21/2011	3,680.00	3,991.00	-311.00
110. ROYAL PTT NEDERLAND SPONSORED ADR	08/25/2009	01/21/2011	1,739.00	1,723.00	16.00
40. SHIRE PHARMACEUTICALS GRP PLC SPONSORED ADR	08/25/2009	01/21/2011	3,134.00	2,021.00	1,113.00
210. TAIWAN SEMICONDUCTOR MFG LTD SPONSORED ADR	08/25/2009	01/21/2011	2,795.00	2,262.00	533.00
140. TESCO PLC SPONSORED ADR	08/25/2009	01/21/2011	2,716.00	2,545.00	171.00
70. TEVA PHARMACEUTICAL INDS LTD ADR	08/25/2009	01/21/2011	3,697.00	3,693.00	4.00
153. THERMO ELECTRON CORP	08/26/2009	01/21/2011	8,490.00	6,989.00	1,501.00
60. TRANSCANADA CORP COM	08/25/2009	01/21/2011	2,228.00	1,808.00	420.00
171. UNITED STS STL CORP NEW COM	11/13/2009	01/21/2011	9,112.00	6,846.00	2,266.00
90. VODAFONE GROUP PLC NEW SP ADR	08/25/2009	01/21/2011	2,550.00	1,984.00	566.00
30. WPP PLC ADR COM	08/25/2009	01/21/2011	1,907.00	1,275.00	632.00
345. WELLS FARGO COMPANY	08/26/2009	01/21/2011	11,240.00	9,503.00	1,737.00
93. AEROPOSTALE COM	10/07/2009	01/24/2011	2,344.00	3,283.00	-939.00
52. AEROPOSTALE COM	10/12/2009	01/24/2011	1,310.00	1,775.00	-465.00
89. BLOCK H & R INC	01/15/2010	01/24/2011	1,172.00	2,016.00	-844.00
70. CROWN HLDGS INC COM 144A	08/26/2009	01/24/2011	2,337.00	1,776.00	561.00
20. HEALTH CARE REIT INC	09/22/2009	01/24/2011	959.00	834.00	125.00
60. ITT INDUSTRIES INC	11/04/2009	01/24/2011	3,513.00	3,081.00	432.00
20. PETROHAWK ENERGY CORP	09/04/2009	01/24/2011	374.00	429.00	-55.00
20. QUEST DIAGNOSTICS INC	08/26/2009	01/24/2011	1,087.00	1,105.00	-18.00
80. SAIC INC COM	01/15/2010	01/24/2011	1,320.00	1,521.00	-201.00
60. SEMPRA ENERGY	01/04/2010	01/24/2011	3,154.00	3,361.00	-207.00 *

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

0F1222 3 000

FT0560 L775 10/26/2011 07:25:26

616 -

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 180. SYMANTEC CORP	08/26/2009	01/24/2011	3,215.00	2,776.00	439.00
244. TARGET CORP	08/25/2009	01/24/2011	13,612.00	11,394.00	2,218.00
64. TERADATA CORP DELAWARE COM	12/30/2009	01/24/2011	2,912.00	1,987.00	925.00
1056. TERADATA CORP DELAWARE COM	11/16/2009	01/24/2011	48,041.00	31,997.00	16,044.00
165. VALEANT PHARMACEUTICA LS INTL INC CDA COM	08/26/2009	01/24/2011	5,852.00	2,153.00	3,699.00
13. VARIAN SEMICONDUCTOR EQUIPMENT ASSOCS INC	12/30/2009	01/24/2011	543.00	466.00	77.00
37. VARIAN SEMICONDUCTOR EQUIPMENT ASSOCS INC	10/19/2009	01/24/2011	1,545.00	1,216.00	329.00
1042. VARIAN SEMICONDUCTOR EQUIPMENT ASSOCS INC	10/19/2009	01/24/2011	43,578.00	34,258.00	9,320.00
60. WILLIS GROUP HLDGS PLC COM	08/26/2009	01/24/2011	2,136.00	1,568.00	568.00
44. FOSTER WHEELER AG COM	11/30/2009	01/24/2011	1,623.00	1,338.00	285.00
78. FOSTER WHEELER AG COM	11/30/2009	01/24/2011	2,877.00	2,371.00	506.00
15. FOSTER WHEELER AG COM	12/23/2009	01/24/2011	553.00	450.00	103.00
85. FOSTER WHEELER AG COM	12/23/2009	01/24/2011	3,135.00	2,551.00	584.00
30. NOBLE CORP COM	08/26/2009	01/24/2011	1,122.00	1,080.00	42.00
50. DIEBOLD INC	08/25/2009	01/25/2011	1,515.00	1,519.00	-4.00
5. FOSTER WHEELER AG COM	12/23/2009	01/25/2011	181.00	150.00	31.00
50. FOSTER WHEELER AG COM	11/02/2009	01/25/2011	1,811.00	1,438.00	373.00
43. DIEBOLD INC	08/25/2009	01/26/2011	1,330.00	1,307.00	23.00
40. ETHAN ALLEN INTERIORS INC	08/25/2009	01/26/2011	919.00	616.00	303.00
225000. MERRILL LYNCH MTG TR 2007-C1 COML MTG PASSTHR	07/14/2008	01/27/2011	242,490.00	218,883.00	23,607.00
111. LOUISIANA PACIFIC CORPORATION	10/13/2009	01/28/2011	1,120.00	784.00	336.00
923. PACCAR INCORPORATED	10/21/2009	01/28/2011	50,773.00	36,475.00	14,298.00
790. PACCAR INCORPORATED	12/30/2009	01/28/2011	43,457.00	28,946.00	14,511.00
63. WESCO INTL INC	08/25/2009	01/28/2011	3,418.00	1,535.00	1,883.00
1916.08 BEAR STEARNS COML MTG SECS TR 2007-TOP28 PASS	10/11/2007	01/31/2011	1,916.00	1,921.00	-5.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

0F1222 3.000

FT0560 L775 10/26/2011 07:25:26

617 -

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 62. COHERENT INC COM	08/25/2009	01/31/2011	3,303.00	1,419.00	1,884.00
9.95 FEDERAL NATL MTG ASSN POOL #E80870	08/17/2000	01/31/2011	10.00	10.00	
277.19 FHLMO GOLD #E91275 DTD 9/1/2002 5.50% DUE 7/1/	04/03/2003	01/31/2011	277.00	287.00	-10.00
4591.86 FEDERAL HOME LN MTG CORP POOL #1B4023	04/23/2008	01/31/2011	4,592.00	4,621.00	-29.00
3374.44 FEDERAL HOME LN MTG CORP POOL #J10791	12/04/2009	01/31/2011	3,374.00	3,517.00	-143.00
43.79 FEDERAL HOME LN MTG CORP POOL #E00881	08/17/2000	01/31/2011	44.00	44.00	
289.29 FEDERAL HOME LN MTG CORP POOL #E00996	07/02/2001	01/31/2011	289.00	291.00	-2.00
17803.13 FHLMO GOLD #B12573 DTD 3/1/04 5.50% DU	06/09/2004	01/31/2011	17,803.00	18,126.00	-323.00
244.28 FNMA POOL #251617 DTD 2/1/1998 6.00% DUE 3/1/	07/24/1998	01/31/2011	244.00	242.00	2.00
341.41 FEDERAL NATL MTG ASSN POOL #253929	07/02/2001	01/31/2011	341.00	349.00	-8.00
2324.53 FNMA POOL #254471 DTD 8/1/2002 6.00% DUE 9/1/	08/12/2002	01/31/2011	2,325.00	2,387.00	-62.00
2163.84 FEDERAL NATL MTG ASSN POOL #255890	09/22/2005	01/31/2011	2,164.00	2,133.00	31.00
281.05 FNMA POOL #338365 DTD 4/1/1996 6.00% DUE 4/1/	09/17/1997	01/31/2011	281.00	275.00	6.00
20.62 FNMA POOL #440390 DTD 9/1/98 7.00% DUE 9/1/20	09/09/1998	01/31/2011	21.00	21.00	
82.73 FNMA POOL #457175 DTD 8/1/99 7.00% DUE 8/1/20	07/30/1999	01/31/2011	83.00	81.00	2.00
84.41 FNMA POOL #548844 DTD 8/1/2000 7.50% DUE 8/1/	07/02/2001	01/31/2011	84.00	87.00	-3.00
72.93 FNMA POOL #561495 DTD 2/1/2001 7.50% DUE 2/1/	07/02/2001	01/31/2011	73.00	75.00	-2.00
58.12 FNMA POOL #593098 DTD 7/1/2001 8.00% DUE 7/1/	07/02/2001	01/31/2011	58.00	60.00	-2.00
7.08 FNMA POOL #596213 DTD 7/1/2001 7.00% DUE 7/1/2031	07/02/2001	01/31/2011	7.00	7.00	
594.52 FNMA POOL #648913 DTD 9/1/2002 5.00% DUE 10/1	04/03/2003	01/31/2011	595.00	609.00	-14.00
2723.54 FEDERAL HOME LN MTG CORP SER 3536 CL PC	10/20/2009	01/31/2011	2,724.00	2,825.00	-101.00
2575.1 FEDERAL HOME LN MTG CORP SER 3565 CL XA	09/21/2009	01/31/2011	2,575.00	2,642.00	-67.00
2270.13 FNMA POOL #763907 DTD 1/1/2004 5.00% DUE 1/1/	04/02/2004	01/31/2011	2,270.00	2,319.00	-49.00
172.34 FEDERAL NATL MTG ASSN POOL #888757	10/18/2007	01/31/2011	172.00	174.00	-2.00
4042.92 FEDERAL NATL MTG ASSN POOL #918782	06/25/2007	01/31/2011	4,043.00	4,072.00	-29.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

0F1222 3 000

FT0560 L775 10/26/2011 07:25:26

618 -

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 5334.76 FEDERAL NATL MTG ASSN POOL #940664	06/26/2009	01/31/2011	5,335.00	5,587.00	-252.00
3642.22 GNMA POOL #609413 DTD 5/1/03 5.50% DUE 5/15/3	02/15/2005	01/31/2011	3,642.00	3,734.00	-92.00
9.59 GNMA POOL #426776 DTD 6/1/2001 7.00% DUE 6/15/203	07/02/2001	01/31/2011	10.00	10.00	
8.7 GNMA POOL #436031 DTD 12/1/1997 7.50% DUE 12/15/2	12/30/1997	01/31/2011	9.00	9.00	
28.57 GNMA POOL #468394 DTD 3/1/2001 7.00% DUE 3/15	07/13/2001	01/31/2011	29.00	29.00	
10.24 GNMA POOL #470517 DTD 6/1/99 7.50% DUE 6/15/2	07/30/1999	01/31/2011	10.00	10.00	
917.97 GNMA POOL #495940 DTD 2/1/99 6.00% DUE 2/15/2	04/26/1999	01/31/2011	918.00	893.00	25.00
50.99 GNMA POOL #555841 DTD 7/1/2001 6.50% DUE 7/15	07/02/2001	01/31/2011	51.00	51.00	
34.53 GNMA POOL #564748 DTD 8/1/2001 7.00% DUE 8/15	09/18/2001	01/31/2011	35.00	36.00	-1.00
150000. ML-CFC COML MTG TR 2007-9 COML MTG PASSTHRU CT	11/01/2007	01/31/2011	159,281.00	150,820.00	8,461.00
417.33 ORIGEN MANFCTRD HSG SER 2002-A CL A3 DTD 3/1/20	04/23/2004	01/31/2011	417.00	440.00	-23.00
271. SYNOVUS FINANCIAL CORPORATION	09/02/2009	01/31/2011	726.00	869.00	-143.00
18. SOLERA HLDGS INC COM	08/25/2009	02/01/2011	949.00	474.00	475.00
108. SYNOVUS FINANCIAL CORPORATION	09/02/2009	02/01/2011	294.00	346.00	-52.00
2. VALEANT PHARMACEUTICALS INTL INC CDA COM	08/26/2009	02/01/2011	77.00	26.00	51.00
336. SYNOVUS FINANCIAL CORPORATION	02/01/2010	02/02/2011	890.00	942.00	-52.00
173. VALEANT PHARMACEUTICA LS INTL INC CDA COM	08/26/2009	02/02/2011	6,699.00	2,258.00	4,441.00
465. ROCHE HLDG LTD SPONSORED ADR	08/25/2009	02/03/2011	17,460.00	18,558.00	-1,098.00
26. ANSYS INC	01/29/2010	02/07/2011	1,430.00	1,096.00	334.00
46. ANSYS INC	12/18/2009	02/07/2011	2,530.00	1,906.00	624.00
187. ANSYS INC	11/13/2009	02/07/2011	10,287.00	7,529.00	2,758.00
82. ATHEROS COMMUNICATIONS INC COM	08/13/2009	02/07/2011	3,653.00	2,139.00	1,514.00
147. ATHEROS COMMUNICATION S INC COM	08/25/2009	02/07/2011	6,549.00	3,832.00	2,717.00
193. AVON PRODUCTS INC	08/25/2009	02/07/2011	5,669.00	6,319.00	-650.00
3. AVON PRODUCTS INC	08/26/2009	02/07/2011	88.00	98.00	-10.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

0F1222 3 000

FT0560 L775 10/26/2011 07:25:26

619 -

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example. 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 117. AVON PRODUCTS INC	12/18/2009	02/07/2011	3,437.00	3,693.00	-256.00
40. COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A	12/18/2009	02/07/2011	3,000.00	1,778.00	1,222.00
47. COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A	09/21/2009	02/07/2011	3,524.00	1,822.00	1,702.00
123. F5 NETWORKS INC	12/18/2009	02/07/2011	15,528.00	6,154.00	9,374.00
84. FINISAR CORP COM	11/18/2009	02/07/2011	3,307.00	778.00	2,529.00
378. HANESBRANDS INC COM	08/25/2009	02/07/2011	9,816.00	7,903.00	1,913.00
29. IDEX CORP	08/25/2009	02/07/2011	1,196.00	802.00	394.00
40. LUBRIZOL CORP	08/26/2009	02/07/2011	4,494.00	2,526.00	1,968.00
420. MCGRAW HILL INCORPORATED	08/25/2009	02/07/2011	15,459.00	13,195.00	2,264.00
82. MEDNAX INC COM	08/25/2009	02/07/2011	5,046.00	4,405.00	641.00
148. ABBOTT LABORATORIES	01/04/2010	02/08/2011	6,742.00	8,069.00	-1,327.00
1105. ABBOTT LABORATORIES	09/13/2009	02/08/2011	50,341.00	56,147.00	-5,806.00
113. ABBOTT LABORATORIES	08/26/2009	02/08/2011	5,148.00	5,212.00	-64.00
216. FRONTIER OIL CORP COM	02/03/2010	02/08/2011	5,016.00	2,859.00	2,157.00
1963.76 J PMORGAN CHASE COML MTG SECS CORP 2005-LDP	06/10/2005	02/08/2011	1,964.00	1,974.00	-10.00
3735.52 J PMORGAN CHASE COML MTG SECS CORP 2005-LDP	06/10/2005	02/08/2011	3,736.00	3,754.00	-18.00
1610. KELLOGG CO	12/01/2009	02/08/2011	85,750.00	85,432.00	318.00
1683. NORTHERN TRUST CORPORATION	08/26/2009	02/08/2011	88,377.00	100,088.00	-11,711.00
1051. TARGET CORP	08/25/2009	02/08/2011	57,558.00	49,079.00	8,479.00
170. TEVA PHARMACEUTICAL INDS LTD ADR	08/25/2009	02/08/2011	8,739.00	8,969.00	-230.00
156. ATHEROS COMMUNICATION S INC COM	08/25/2009	02/09/2011	6,956.00	4,067.00	2,889.00
120. JOY GLOBAL INC 00	02/04/2010	02/09/2011	11,009.00	5,414.00	5,595.00
360. ROCHE HLDG LTD SPONSORED ADR	08/25/2009	02/09/2011	13,179.00	14,368.00	-1,189.00
47. BE AEROSPACE INC	08/25/2009	02/11/2011	1,803.00	838.00	965.00
100000. BOEING CO SR NT	11/17/2009	02/11/2011	101,568.00	99,401.00	2,167.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

0F1222 3 000

FT0560 L775 10/26/2011 07:25:26

620 -

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 465. CB RICHARD ELLIS GROUP INC CL A COM	02/04/2010	02/11/2011	11,343.00	5,971.00	5,372.00
4. CLEAN HBRS INC COM	10/13/2009	02/11/2011	368.00	237.00	131.00
15. GARDNER DENVER MACHINERY INC	08/25/2009	02/11/2011	1,149.00	485.00	664.00
25. LITTELFUSE INC COM	08/25/2009	02/11/2011	1,308.00	632.00	676.00
19. REINSURANCE GROUP AMER INC COM	08/25/2009	02/11/2011	1,147.00	841.00	306.00
84. ROSS STORES INC	08/26/2009	02/11/2011	6,001.00	3,894.00	2,107.00
59. TEREX CORP	08/25/2009	02/11/2011	2,137.00	979.00	1,158.00
6196.31 CAPITAL AUTO RECEIVABLES ASSET TR 2007-1	04/19/2007	02/14/2011	6,196.00	6,196.00	
6624.73 CAPITAL AUTO RECEIVABLES ASSET TR 2007-3	05/08/2008	02/14/2011	6,625.00	6,709.00	-84.00
32. COOPER COMPANIES INC	08/25/2009	02/15/2011	1,964.00	926.00	1,038.00
1987.14 FEDERAL HOME LN MTG CORP POOL #1G3616	05/28/2009	02/15/2011	1,987.00	2,091.00	-104.00
38.7 J PMORGAN CHASE COML MTG SECS CORP 2005-LDP2 PAS	06/10/2005	02/15/2011	39.00	39.00	
40. COOPER COMPANIES INC	08/25/2009	02/16/2011	2,470.00	1,158.00	1,312.00
94. FINISAR CORP COM	11/18/2009	02/16/2011	3,950.00	870.00	3,080.00
1. LIFE TIME FITNESS INC COM STK	08/25/2009	02/16/2011	42.00	30.00	12.00
422. QUICKSILVER RES INC	08/25/2009	02/16/2011	6,334.00	4,895.00	1,439.00
453. SAVIENT PHARMACEUTICA LS INC COM	08/25/2009	02/16/2011	4,537.00	6,236.00	-1,699.00
147. FOSTER WHEELER AG COM	11/02/2009	02/16/2011	5,746.00	4,226.00	1,520.00
37. COOPER COMPANIES INC	08/25/2009	02/17/2011	2,286.00	1,071.00	1,215.00
96. ZORAN CORP	10/29/2009	02/17/2011	886.00	873.00	13.00
53. COOPER COMPANIES INC	08/25/2009	02/18/2011	3,271.00	1,534.00	1,737.00
3741. INTEL CORPORATION	09/01/2009	02/18/2011	82,758.00	73,850.00	8,908.00
275. INTERNATIONAL BUSINESS MACHS	08/26/2009	02/18/2011	45,147.00	32,907.00	12,240.00
2089. ROGERS COMMUNICATION S INC CL B	02/03/2010	02/18/2011	74,128.00	64,935.00	9,193.00
1361. TRAVELERS COS INC COM	08/26/2009	02/18/2011	82,508.00	66,251.00	16,257.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

0F1222 3 000

FT0560 L775 10/26/2011 07:25:26

621 -

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 417. WRIGHT MED GROUP INC COM	08/25/2009	02/22/2011	6,578.00	6,805.00	-227.00
90. GARMIN LTD COM	09/17/2009	02/22/2011	2,890.00	3,366.00	-476.00
105. GARMIN LTD COM	09/29/2009	02/22/2011	3,372.00	3,878.00	-506.00
2015. AKAMAI TECHNOLOGIES INC	10/05/2009	02/23/2011	80,981.00	38,403.00	42,578.00
272. AMAZON COM INC	11/02/2009	02/23/2011	49,014.00	32,376.00	16,638.00
47. AMERICAN PUB ED INC COM	10/13/2009	02/23/2011	1,926.00	1,702.00	224.00
121. ARUBA NETWORKS INC COM	11/27/2009	02/23/2011	3,478.00	997.00	2,481.00
23. BRADY W H CO CL A	08/25/2009	02/23/2011	809.00	706.00	103.00
3246. CISCO SYSTEMS INCORPORATED	08/25/2009	02/23/2011	60,146.00	71,866.00	-11,720.00
3. CISCO SYSTEMS INCORPORATED	08/25/2009	02/23/2011	56.00	66.00	-10.00 *
93. FINISAR CORP COM	11/18/2009	02/23/2011	3,438.00	861.00	2,577.00
5. FRONTIER OIL CORP COM	02/03/2010	02/23/2011	132.00	66.00	66.00
835. MEAD JOHNSON NUTRITION CO COM	08/25/2009	02/23/2011	49,957.00	32,918.00	17,039.00
176. ZORAN CORP	10/29/2009	02/23/2011	1,923.00	1,601.00	322.00
136. ZORAN CORP	10/30/2009	02/23/2011	1,486.00	1,210.00	276.00
61. ECHOSTAR CORP CL A	08/26/2009	02/24/2011	1,992.00	1,198.00	794.00
168. HEALTH CARE REIT INC	09/22/2009	02/24/2011	8,353.00	7,005.00	1,348.00
117. ZORAN CORP	10/30/2009	02/24/2011	1,283.00	1,041.00	242.00
26. FOSTER WHEELER AG COM	11/02/2009	02/24/2011	911.00	748.00	163.00
67. FOSTER WHEELER AG COM	02/10/2010	02/24/2011	2,349.00	1,779.00	570.00
25. DRESSER-RAND GROUP INC COM	02/19/2010	02/25/2011	1,223.00	793.00	430.00
77. ECHOSTAR CORP CL A	08/26/2009	02/25/2011	2,696.00	1,512.00	1,184.00
33. GOODRICH PETROLEUM CORP	08/25/2009	02/25/2011	674.00	825.00	-151.00
4. KADANT INC COM	10/27/2009	02/25/2011	101.00	54.00	47.00
51. KADANT INC COM	10/30/2009	02/25/2011	1,285.00	646.00	639.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

0F1222 3 000

FT0560 L775 10/26/2011 07:25:26

622 -

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 22. KADANT INC COM	08/25/2009	02/25/2011	554.00	273.00	281.00
9. KEY ENERGY GROUP INC 00	10/28/2009	02/25/2011	140.00	76.00	64.00
37. SCHOOL SPECIALTY INC	08/25/2009	02/25/2011	542.00	907.00	-365.00
142. ZORAN CORP	10/30/2009	02/25/2011	1,587.00	1,263.00	324.00
10.02 FEDERAL NATL MTG ASSN POOL #E80870	08/17/2000	02/28/2011	10.00	10.00	
305.08 FHLMO GOLD #E91275 DTD 9/1/2002 5.50% DUE 7/1/	04/03/2003	02/28/2011	305.00	316.00	-11.00
5203.93 FEDERAL HOME LN MTG CORP POOL #1B4023	04/23/2008	02/28/2011	5,204.00	5,236.00	-32.00
3462.17 FEDERAL HOME LN MTG CORP POOL #J10791	12/04/2009	02/28/2011	3,462.00	3,609.00	-147.00
45.65 FEDERAL HOME LN MTG CORP POOL #E00881	08/17/2000	02/28/2011	46.00	46.00	
189.82 FEDERAL HOME LN MTG CORP POOL #E00996	07/02/2001	02/28/2011	190.00	191.00	-1.00
686.44 FHLMO GOLD #B12573 DTD 3/1/04 5.50% DUE 3/1/19	06/09/2004	02/28/2011	686.00	699.00	-13.00
297.58 FNMA POOL #251617 DTD 2/1/1998 6.00% DUE 3/1/	07/24/1998	02/28/2011	298.00	294.00	4.00
45.01 FEDERAL NATL MTG ASSN POOL #253929	07/02/2001	02/28/2011	45.00	46.00	-1.00
1594.61 FNMA POOL #254471 DTD 8/1/2002 6.00% DUE 9/1/	08/12/2002	02/28/2011	1,595.00	1,637.00	-42.00
1529.29 FEDERAL NATL MTG ASSN POOL #255890	09/22/2005	02/28/2011	1,529.00	1,507.00	22.00
201.69 FNMA POOL #338365 DTD 4/1/1996 6.00% DUE 4/1/	09/17/1997	02/28/2011	202.00	197.00	5.00
20.74 FNMA POOL #440390 DTD 9/1/98 7.00% DUE 9/1/20	09/09/1998	02/28/2011	21.00	21.00	
83.31 FNMA POOL #457175 DTD 8/1/99 7.00% DUE 8/1/20	07/30/1999	02/28/2011	83.00	81.00	2.00
84.97 FNMA POOL #548844 DTD 8/1/2000 7.50% DUE 8/1/	07/02/2001	02/28/2011	85.00	87.00	-2.00
74.5 FNMA POOL #561495 DTD 2/1/2001 7.50% DUE 2/1/2016	07/02/2001	02/28/2011	75.00	77.00	-2.00
58.55 FNMA POOL #593098 DTD 7/1/2001 8.00% DUE 7/1/	07/02/2001	02/28/2011	59.00	61.00	-2.00
7.12 FNMA POOL #596213 DTD 7/1/2001 7.00% DUE 7/1/2031	07/02/2001	02/28/2011	7.00	7.00	
557.02 FNMA POOL #648913 DTD 9/1/2002 5.00% DUE 10/1	04/03/2003	02/28/2011	557.00	571.00	-14.00
5321.56 FEDERAL HOME LN MTG CORP SER 3536 CL PC	10/20/2009	02/28/2011	5,322.00	5,520.00	-198.00
1926.64 FEDERAL HOME LN MTG CORP SER 3565 CL XA	09/21/2009	02/28/2011	1,927.00	1,977.00	-50.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

0F1222 3 000

FT0560 L775 10/26/2011 07:25:26

623 -

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr)	(c) Date sold (mo., day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 545.81 FNMA POOL #763907 DTD 1/1/2004 5.00% DUE 1/1/	04/02/2004	02/28/2011	546.00	557.00	-11.00
2356.4 FEDERAL NATL MTG ASSN POOL #888757	10/18/2007	02/28/2011	2,356.00	2,380.00	-24.00
1796.31 FEDERAL NATL MTG ASSN POOL #918782	06/25/2007	02/28/2011	1,796.00	1,809.00	-13.00
7133.68 FEDERAL NATL MTG ASSN POOL #940664	06/26/2009	02/28/2011	7,134.00	7,471.00	-337.00
131.1 GNMA POOL #609413 DTD 5/1/03 5.50% DUE 5/15/3	02/15/2005	02/28/2011	131.00	134.00	-3.00
9.66 GNMA POOL #426776 DTD 6/1/2001 7.00% DUE 6/15/203	07/02/2001	02/28/2011	10.00	10.00	
38.33 GNMA POOL #436031 DTD 12/1/1997 7.50% DUE 12/	12/30/1997	02/28/2011	38.00	39.00	-1.00
28.75 GNMA POOL #468394 DTD 3/1/2001 7.00% DUE 3/15	07/13/2001	02/28/2011	29.00	29.00	
10.31 GNMA POOL #470517 DTD 6/1/99 7.50% DUE 6/15/2	07/30/1999	02/28/2011	10.00	10.00	
1305.01 GNMA POOL #495940 DTD 2/1/99 6.00% DUE 2/15/2	04/26/1999	02/28/2011	1,305.00	1,269.00	36.00
52.22 GNMA POOL #555841 DTD 7/1/2001 6.50% DUE 7/15	07/02/2001	02/28/2011	52.00	52.00	
2400.46 GNMA POOL #564748 DTD 8/1/2001 7.00% DUE 8/15	09/18/2001	02/28/2011	2,400.00	2,476.00	-76.00
120. MEDIDATA SOLUTIONS INC COM	08/25/2009	02/28/2011	3,059.00	2,078.00	981.00
75. NOVO-NORDISK A S ADR	08/25/2009	02/28/2011	9,470.00	4,582.00	4,888.00
16. OPENTABLE INC COM	09/30/2009	02/28/2011	1,399.00	441.00	958.00
565.97 ORIGEN MANFCTRD HSG SER 2002-A CL A3 DTD 3/1/20	04/23/2004	02/28/2011	566.00	596.00	-30.00
480. HESS CORP COM	08/26/2009	03/01/2011	40,767.00	25,209.00	15,558.00
50000. PACIFIC GAS & ELEC CO FIRST MTG	03/18/2004	03/01/2011	50,000.00	49,870.00	130.00
4. TOWERS WATSON & CO CL A COM	01/30/2010	03/01/2011	233.00	199.00	34.00
42. TOWERS WATSON & CO CL A COM	12/14/2009	03/01/2011	2,446.00	1,979.00	467.00
275. WAL-MART STORES INCORPORATED	08/26/2009	03/01/2011	14,386.00	14,258.00	128.00
1955. TYCO INTL LTD NEW F COM	10/21/2009	03/01/2011	88,215.00	69,436.00	18,779.00
16. LENDER PROCESSING SVCS INC COM	02/10/2010	03/03/2011	544.00	604.00	-60.00
1228. HEWLETT PACKARD CO COM	08/25/2009	03/07/2011	51,539.00	55,272.00	-3,733.00
249. ICF INTL INC COM	02/12/2010	03/07/2011	5,683.00	5,824.00	-141.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

0F1222 3 000

FT0560 L775 10/26/2011 07:25:26

624 -

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 16. PRICE T ROWE GROUP INC COM	12/30/2009	03/07/2011	1,055.00	859.00	196.00
776. PRICE T ROWE GROUP INC COM	11/16/2009	03/07/2011	51,151.00	39,606.00	11,545.00
929. VARIAN SEMICONDUCTOR EQUIPMENT ASSOCS INC	10/19/2009	03/07/2011	43,741.00	30,543.00	13,198.00
5. BIG LOTS INC COM	01/29/2010	03/08/2011	209.00	143.00	66.00
39. COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A	09/21/2009	03/08/2011	3,030.00	1,512.00	1,518.00
50. COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A	08/25/2009	03/08/2011	3,884.00	1,771.00	2,113.00
57. GEN-PROBE INC COM	12/18/2009	03/08/2011	3,719.00	2,446.00	1,273.00
40. LABORATORY CORP AMER HLDGS COM NEW	11/13/2009	03/08/2011	3,690.00	2,913.00	777.00
41. MAKO SURGICAL CORP COM	09/25/2009	03/08/2011	828.00	375.00	453.00
7. NII HLDGS INC COM	10/12/2009	03/08/2011	269.00	214.00	55.00
286. NII HLDGS INC COM	08/25/2009	03/08/2011	11,003.00	6,735.00	4,268.00
179. TIBCO SOFTWARE INC COM	01/29/2010	03/08/2011	4,430.00	1,615.00	2,815.00
17. PLATINUM UNDERWRITERS HOLDINGS LTD	03/02/2010	03/08/2011	673.00	628.00	45.00
182. PLATINUM UNDERWRITERS HOLDINGS LTD	08/25/2009	03/08/2011	7,208.00	6,491.00	717.00
85. ARUBA NETWORKS INC COM	11/27/2009	03/09/2011	2,623.00	701.00	1,922.00
196. LAWSON SOFTWARE INC COM	08/25/2009	03/10/2011	2,263.00	1,290.00	973.00
3889.91 BEAR STEARNS COMML MTG SECS TR 2007-TOP28 PASS	10/11/2007	03/11/2011	3,890.00	3,900.00	-10.00
312. LOUISIANA PACIFIC CORPORATION	10/13/2009	03/11/2011	3,061.00	2,203.00	858.00
5882.69 CAPITAL AUTO RECEIVABLES ASSET TR 2007-1	04/19/2007	03/14/2011	5,883.00	5,883.00	
6322.86 CAPITAL AUTO RECEIVABLES ASSET TR 2007-3	05/08/2008	03/14/2011	6,323.00	6,403.00	-80.00
166. FRONTIER OIL CORP COM	02/04/2010	03/14/2011	4,503.00	2,108.00	2,395.00
143. LUBRIZOL CORP	08/26/2009	03/14/2011	19,223.00	9,032.00	10,191.00
65. AMAZON COM INC	11/02/2009	03/16/2011	10,724.00	7,737.00	2,987.00
21. AMERICAN TOWER SYSTEMS CORP CL A	12/30/2009	03/16/2011	1,068.00	910.00	158.00
87. AMERISOURCEBERGEN CORP COM	01/05/2010	03/16/2011	3,171.00	2,304.00	867.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

TTEE U/W OF LLOYD G. BALFOUR

Employer identification number

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 61. AMERISOURCEBERGEN CORP COM	01/05/2010	03/16/2011	2,223.00	1,616.00	607.00
29. APACHE CORPORATION	01/20/2010	03/16/2011	3,387.00	3,078.00	309.00
3. CISCO SYSTEMS INCORPORATED	08/17/2009	03/16/2011	52.00	67.00	-15.00
188. CISCO SYSTEMS INCORPORATED	08/25/2009	03/16/2011	3,240.00	4,162.00	-922.00
24610.336 COLUMBIA LARGE CAP INDEX FUND CLASS Z SHAR	07/29/2009	03/16/2011	600,000.00	465,628.00	134,372.00
19. ECHOSTAR CORP CL A	08/26/2009	03/16/2011	654.00	373.00	281.00
132. ECHOSTAR CORP CL A	08/26/2009	03/16/2011	4,546.00	2,592.00	1,954.00
17. ECHOSTAR CORP CL A	08/26/2009	03/16/2011	585.00	334.00	251.00
144. EXXON MOBIL CORPORATION	08/27/2009	03/16/2011	11,611.00	10,185.00	1,426.00
47. LAS VEGAS SANDS CORP	09/21/2009	03/16/2011	1,788.00	888.00	900.00
194. MORGAN STANLEY DEAN WITTER DISCOVER & CO	08/25/2009	03/16/2011	5,275.00	5,872.00	-597.00
74. PRICE T ROWE GROUP INC COM	11/16/2009	03/16/2011	4,559.00	3,777.00	782.00
302. RADIOSHACK CORP	09/30/2009	03/16/2011	4,244.00	4,926.00	-682.00
37. SALESFORCE COM INC COM	11/30/2009	03/16/2011	4,672.00	2,300.00	2,372.00
74. TARGET CORP	08/25/2009	03/16/2011	3,745.00	3,456.00	289.00
118. TERADATA CORP DELAWARE COM	11/16/2009	03/16/2011	5,664.00	3,575.00	2,089.00
51. UNITED TECHNOLOGIES CORP	01/01/2010	03/16/2011	4,028.00	3,927.00	101.00
270. NABORS INDUSTRIES INC COM	08/25/2009	03/16/2011	7,110.00	5,094.00	2,016.00
1783. AMERICAN ELECTRIC POWER CO	08/26/2009	03/17/2011	60,380.00	56,139.00	4,241.00
968. CATERPILLAR INCORPORATED	11/13/2009	03/17/2011	99,308.00	57,250.00	42,058.00
138.29 CREDIT SUISSE FIRST BOSTON MTG SECS CORP 2002-C	12/21/2009	03/17/2011	138.00	144.00	-6.00
1189. P G & E CORPORATION	10/21/2009	03/17/2011	49,887.00	50,637.00	-750.00
94. P G & E CORPORATION	10/21/2009	03/17/2011	3,944.00	4,003.00	-59.00 *
3509. STAPLES INCORPORATED	08/26/2009	03/17/2011	68,125.00	77,169.00	-9,044.00
242. AKAMAI TECHNOLOGIES INC	08/25/2009	03/18/2011	8,716.00	4,358.00	4,358.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

0F1222 3.000

FT0560 L775 10/26/2011 07:25:26

626

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 44. LABORATORY CORP AMER HLDGS COM NEW	11/13/2009	03/18/2011	3,809.00	3,204.00	605.00
68. LIFE TIME FITNESS INC COM STK	08/25/2009	03/18/2011	2,549.00	2,033.00	516.00
741. LOUISIANA PACIFIC CORPORATION	10/13/2009	03/18/2011	7,189.00	5,233.00	1,956.00
97. ONYX PHARMACEUTICALS INC	08/25/2009	03/18/2011	3,474.00	3,010.00	464.00
71. ONYX PHARMACEUTICALS INC	12/18/2009	03/18/2011	2,543.00	1,996.00	547.00
9334.99 FRANKLIN AUTO TR AUTO LN NT TR SER 2008-A CL	06/06/2008	03/20/2011	9,335.00	9,335.00	
303. CENTERPOINT ENERGY INC	01/21/2010	03/21/2011	5,147.00	4,415.00	732.00
138. CENTERPOINT ENERGY INC	01/04/2010	03/21/2011	2,344.00	2,004.00	340.00
168. PHILIPPINE LONG DISTANCE TEL SPONSORED ADR	08/25/2009	03/21/2011	7,845.00	8,874.00	-1,029.00
7. AEROPOSTALE COM	10/12/2009	03/22/2011	168.00	239.00	-71.00
202. AEROPOSTALE COM	09/14/2009	03/22/2011	4,850.00	5,769.00	-919.00
23. AEROPOSTALE COM	09/16/2009	03/22/2011	552.00	654.00	-102.00
75. AEROPOSTALE COM	09/16/2009	03/22/2011	1,801.00	2,131.00	-330.00
65. AEROPOSTALE COM	09/16/2009	03/22/2011	1,561.00	1,847.00	-286.00
455. PHILIPPINE LONG DISTANCE TEL SPONSORED ADR	08/25/2009	03/22/2011	21,024.00	24,035.00	-3,011.00
25000. DOW CHEM CO NT	07/15/2009	03/23/2011	31,944.00	25,638.00	6,306.00
67. PHILIPPINE LONG DISTANCE TEL SPONSORED ADR	08/25/2009	03/23/2011	3,108.00	3,539.00	-431.00
736. RADIOSHACK CORP	09/30/2009	03/23/2011	10,302.00	12,005.00	-1,703.00
75. MICRON TECHNOLOGY INC	02/25/2010	03/24/2011	850.00	734.00	116.00
60. SEMPRA ENERGY	01/27/2010	03/24/2011	3,142.00	3,408.00	-266.00
79. TOWERS WATSON & CO CL A COM	12/14/2009	03/24/2011	4,321.00	3,723.00	598.00
42. TOWERS WATSON & CO CL A COM	12/17/2009	03/24/2011	2,297.00	1,937.00	360.00
9. GARMIN LTD COM	09/29/2009	03/24/2011	304.00	332.00	-28.00
37. GARMIN LTD COM	09/14/2009	03/24/2011	1,251.00	1,356.00	-105.00
131. GARMIN LTD COM	09/14/2009	03/24/2011	4,431.00	4,801.00	-370.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

0F1222 3 000

FT0560 L775 10/26/2011 07:25:26

627 -

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 115000. BOEING CO SR NT	11/17/2009	03/25/2011	116,892.00	114,311.00	2,581.00
45000. CVS CAREMARK CORP SR UNSECD NT	01/12/2010	03/25/2011	45,935.00	45,489.00	446.00
13. BE AEROSPACE INC	08/25/2009	03/29/2011	454.00	232.00	222.00
2852. BEST BUY INCORPORATE D	08/26/2009	03/29/2011	82,488.00	106,439.00	-23,951.00
3078. CISCO SYSTEMS INCORPORATED	08/26/2009	03/29/2011	53,213.00	67,531.00	-14,318.00 *
34. PATRIOT COAL CORP COM	09/14/2009	03/29/2011	857.00	375.00	482.00
8. SWIFT ENERGY CO COM	08/25/2009	03/29/2011	341.00	164.00	177.00
24. WESCO INTL INC	08/25/2009	03/29/2011	1,477.00	585.00	892.00
26. CACI INTL INC CL A	08/25/2009	03/30/2011	1,593.00	1,229.00	364.00
9. CLEAN HBRS INC COM	10/13/2009	03/30/2011	893.00	532.00	361.00
51. VALEANT PHARMACEUTICAL S INTL INC CDA COM	08/26/2009	03/30/2011	2,463.00	666.00	1,797.00
2. WHITING PETROLEUM CORP	12/08/2009	03/30/2011	149.00	64.00	85.00
91. WHITING PETROLEUM CORP	11/25/2009	03/30/2011	6,797.00	2,931.00	3,866.00
1953.13 BEAR STEARNS COML MTG SECS TR 2007-TOP28 PASS	10/11/2007	03/31/2011	1,953.00	1,958.00	-5.00
10.19 FEDERAL NATL MTG ASSN POOL #E80870	08/17/2000	03/31/2011	10.00	10.00	
287.77 FHLMO GOLD #E91275 DTD 9/1/2002 5.50% DUE 7/1/	04/03/2003	03/31/2011	288.00	298.00	-10.00
5486.96 FEDERAL HOME LN MTG CORP POOL #1B4023	04/23/2008	03/31/2011	5,487.00	5,521.00	-34.00
2089.33 FEDERAL HOME LN MTG CORP POOL #J10791	12/04/2009	03/31/2011	2,089.00	2,178.00	-89.00
130.62 FEDERAL HOME LN MTG CORP POOL #E00881	08/17/2000	03/31/2011	131.00	132.00	-1.00
356.96 FEDERAL HOME LN MTG CORP POOL #E00996	07/02/2001	03/31/2011	357.00	359.00	-2.00
689.19 FHLMO GOLD #B12573 DTD 3/1/04 5.50% DUE 3/1/19	06/09/2004	03/31/2011	689.00	702.00	-13.00
190.4 FNMA POOL #251617 DTD 2/1/1998 6.00% DUE 3/1/	07/24/1998	03/31/2011	190.00	188.00	2.00
764.11 FEDERAL NATL MTG ASSN POOL #253929	07/02/2001	03/31/2011	764.00	782.00	-18.00
826.28 FNMA POOL #254471 DTD 8/1/2002 6.00% DUE 9/1/	08/12/2002	03/31/2011	826.00	848.00	-22.00
2393.81 FEDERAL NATL MTG ASSN POOL #255890	09/22/2005	03/31/2011	2,394.00	2,359.00	35.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

OF1222 3.000

FT0560 L775 10/26/2011 07:25:26

628 -

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

TTEE U/W OF LLOYD G. BALFOUR

22-2751372

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 20.87 FNMA POOL #440390 DTD 9/1/98 7.00% DUE 9/1/20	09/09/1998	03/31/2011	21.00	21.00	
83.82 FNMA POOL #457175 DTD 8/1/99 7.00% DUE 8/1/20	07/30/1999	03/31/2011	84.00	82.00	2.00
85.55 FNMA POOL #548844 DTD 8/1/2000 7.50% DUE 8/1/	07/02/2001	03/31/2011	86.00	88.00	-2.00
72.6 FNMA POOL #561495 DTD 2/1/2001 7.50% DUE 2/1/2016	07/02/2001	03/31/2011	73.00	75.00	-2.00
60.54 FNMA POOL #593098 DTD 7/1/2001 8.00% DUE 7/1/	07/02/2001	03/31/2011	61.00	63.00	-2.00
8.22 FNMA POOL #596213 DTD 7/1/2001 7.00% DUE 7/1/2031	07/02/2001	03/31/2011	8.00	8.00	
921.28 FNMA POOL #648913 DTD 9/1/2002 5.00% DUE 10/1	04/03/2003	03/31/2011	921.00	944.00	-23.00
3599.96 FEDERAL HOME LN MTG CORP SER 3536 CL PC	10/20/2009	03/31/2011	3,600.00	3,734.00	-134.00
1309.08 FEDERAL HOME LN MTG CORP SER 3565 CL XA	09/21/2009	03/31/2011	1,309.00	1,343.00	-34.00
541.72 FNMA POOL #763907 DTD 1/1/2004 5.00% DUE 1/1/	04/02/2004	03/31/2011	542.00	553.00	-11.00
3041.07 FEDERAL NATL MTG ASSN POOL #888757	10/18/2007	03/31/2011	3,041.00	3,072.00	-31.00
1478.79 FEDERAL NATL MTG ASSN POOL #918782	06/25/2007	03/31/2011	1,479.00	1,489.00	-10.00
11204.9 FEDERAL NATL MTG ASSN POOL #940664	06/26/2009	03/31/2011	11,205.00	11,735.00	-530.00
128.65 GNMA POOL #609413 DTD 5/1/03 5.50% DUE 5/15/3	02/15/2005	03/31/2011	129.00	132.00	-3.00
9.71 GNMA POOL #426776 DTD 6/1/2001 7.00% DUE 6/15/203	07/02/2001	03/31/2011	10.00	10.00	
9.01 GNMA POOL #436031 DTD 12/1/1997 7.50% DUE 12/15/2	12/30/1997	03/31/2011	9.00	9.00	
28.93 GNMA POOL #468394 DTD 3/1/2001 7.00% DUE 3/15	07/13/2001	03/31/2011	29.00	29.00	
10.37 GNMA POOL #470517 DTD 6/1/99 7.50% DUE 6/15/2	07/30/1999	03/31/2011	10.00	10.00	
152.63 GNMA POOL #495940 DTD 2/1/99 6.00% DUE 2/15/2	04/26/1999	03/31/2011	153.00	148.00	5.00
48.95 GNMA POOL #555841 DTD 7/1/2001 6.50% DUE 7/15	07/02/2001	03/31/2011	49.00	49.00	
47.68 GNMA POOL #564748 DTD 8/1/2001 7.00% DUE 8/15	09/18/2001	03/31/2011	48.00	49.00	-1.00
.59 J P MORGAN CHASE COML MTG SEC TR 2006-CB15 MTG PA	02/23/2010	03/31/2011	1.00	1.00	
676.89 ORIGEN MANFCTRD HSG SER 2002-A CL A3 DTD 3/1/20	04/23/2004	03/31/2011	677.00	713.00	-36.00
355. TNT N V SPONSORED ADR 12. TNT N V SPONSORED ADR	12/01/2009	03/31/2011	9,115.00	10,602.00	-1,487.00
	11/30/2009	03/31/2011	308.00	349.00	-41.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2010

JSA

OF1222 3.000

FT0560 L775 10/26/2011 07:25:26

629 -

Employer identification number

22-2751372

[illegible]

* INDICATES WASH SALE

Schedule D-1 (Form 1041) 2010

FEDERAL CAPITAL GAIN DIVIDENDS
=====LONG-TERM CAPITAL GAIN DIVIDENDS

15% RATE CAPITAL GAIN DIVIDENDS

ANNALY MTG MGMT INC COM	297.00
ARTIO GLOBAL HIGH INCOME FUND CL I	14,464.00
COLUMBIA REAL ESTATE EQUITY FUND CLASS Z SHAR	1,247.00
EL PASO CORP COM	1,465.00
ENRON CORPORATION	459.00
GENERAL MOTORS CORP COM	61.00
HEALTH CARE REIT INC	14.00
HONEYWELL INTERNATIONAL INC	45.00
NORTEL NETWORKS CORP NEW COM	358.00
RAYONIER INC REIT	908.00
TYCO INTERNATIONAL LTD	2,473.00
UNITEDHEALTH GROUP INC	1,527.00
WORLDCOM INC	3,061.00
BANK AMER CORP DEP SHS REPSTG 1/1200TH PFD	1,164.00
QWEST COMMUNICATIONS INTL INC COM	9,947.00

TOTAL 15% RATE CAPITAL GAIN DIVIDENDS

37,489.

TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS

37,489.

=====

209

A S S E T S U M M A R Y

AS OF 03/31/11

PAGE 2

TOW LLOYD G BALFOUR PLD - COMB

	FEDERAL TAX COST	MARKET VALUE	% OF ACCOUNT	CURRENT YIELD	EST / INC
CASH AND CASH EQUIVALENTS	4,326,102.83	4,326,102.83	4.278	0.120	5,
MONEY MARKET FUNDS					
FIXED INCOME					
GOVERNMENT AND AGENCY	3,173,921.07	3,122,830.94	3.088	2.997	93,
ASSET BACKED (GOVT & AGENCY)	6,757,893.08	6,792,980.55	6.718	4.758	23,
ASSET BACKED (CORPORATE)	4,090,018.42	4,207,772.71	4.161	3.914	164,
MUNICIPAL BONDS	553,676.20	569,129.65	0.563	5.192	29,
CORPORATE BONDS	7,341,557.26	7,706,186.51	7.621	5.207	401,
MUTUAL FUNDS-FIXED	1,326,913.83	1,494,616.00	1.478	8.485	126,
FOREIGN BONDS	1,587,617.35	1,660,181.70	1.642	5.555	92,
OTHER FIXED INCOME	1,823,633.82	1,939,657.20	1.918	4.931	95,
TOTAL FIXED INCOME	26,655,231.03	27,493,355.26	27.188	4.827	1,327,
EQUITIES					
CONSUMER DISCRETIONARY	4,033,311.69	5,108,248.62	5.052	1.256	64,
CONSUMER STAPLES	2,524,995.69	3,032,534.62	2.999	2.383	72,
ENERGY	3,173,965.11	4,498,626.57	4.449	1.435	64,
FINANCIALS	4,430,905.35	5,069,483.43	5.013	1.404	71,
HEALTH CARE	4,429,235.83	5,231,320.85	5.173	1.317	68,
INDUSTRIALS	4,532,769.54	5,708,735.18	5.645	1.488	84,
INFORMATION TECHNOLOGY	6,556,808.74	8,468,268.34	8.374	0.597	50,
MATERIALS	1,866,877.80	2,587,963.83	2.559	1.233	31,
TELECOMMUNICATION SERVICES	871,278.71	1,067,148.00	1.055	3.091	32,
UTILITIES	932,114.29	1,057,324.36	1.046	5.502	58,
MUTUAL FUNDS-EQUITY	13,605,293.85	17,649,949.71	17.454	1.578	278,
OTHER EQUITIES	2,371,460.05	3,210,875.25	3.175	1.665	53,
TOTAL EQUITIES	49,331,016.65	62,690,478.76	61.995	1.486	31,
ALTERNATIVE INVESTMENTS					
HEDGE FUNDS	5,968,668.00	6,478,293.79	6.406	0.000	12,
REAL ESTATE	120,711.05	133,552.99	0.132	9.255	---
TOTAL ALTERNATIVE INVESTMENTS	6,089,379.05	6,611,846.78	6.538	0.187	12,

A S S E T S U M M A R Y

AS OF 03/31/11

PAGE 3

TUW LLOYD G BALFOUR PLD - COMB

	FEDERAL TAX COST	MARKET VALUE	% OF ACCOUNT	CURRENT YIELD	EST / INC
ACCOUNT TOTAL	86,401,728.56	101,121,782.63	100.000	2.251	2,276,

Gains and Losses From Section 1256 Contracts and Straddles

► Attach to your tax return.

OMB No. 1545-0644

2010

Attachment
Sequence No. **82**

Name(s) shown on tax return

TTEE U/W OF LLOYD G. BALFOUR

Identifying number

22-2751372

Check all applicable boxes (see instructions).

A

Mixed straddle election

C

Mixed straddle account election

B

Straddle-by-straddle identification election

D

Net section 1256 contracts loss election

Part I Section 1256 Contracts Marked to Market

(a) Identification of account	(b) (Loss)	(c) Gain
1 SEE STATEMENT 32	27,320.	
2 Add the amounts on line 1 in columns (b) and (c)	(27,320.)	
3 Net gain or (loss). Combine line 2, columns (b) and (c)		-27,320.
4 Form 1099-B adjustments. See instructions and attach schedule		
5 Combine lines 3 and 4		-27,320.
Note: If line 5 shows a net gain, skip line 6 and enter the gain on line 7. Partnerships and S corporations, see instructions.		
6 If you have a net section 1256 contracts loss and checked box D above, enter the amount of loss to be carried back. Enter the loss as a positive number		
7 Combine lines 5 and 6		-27,320.
8 Short-term capital gain or (loss). Multiply line 7 by 40% (.40). Enter here and include on the appropriate line of Schedule D (see instructions)		-10,928.
9 Long-term capital gain or (loss). Multiply line 7 by 60% (.60). Enter here and include on the appropriate line of Schedule D (see instructions)		-16,392.

Part II Gains and Losses From Straddles. Attach a separate schedule listing each straddle and its components.

Section A - Losses From Straddles

(a) Description of property	(b) Date entered into or acquired	(c) Date closed out or sold	(d) Gross sales price	(e) Cost or other basis plus expense of sale	(f) Loss. If column (e) is more than (d), enter difference. Otherwise, enter -0-	(g) Unrecognized gain on offsetting positions	(h) Recognized loss. If column (f) is more than (g), enter difference. Otherwise, enter -0-
10							
11a Enter the short-term portion of losses from line 10, column (h), here and include on the appropriate line of Schedule D (see instructions)							()
b Enter the long-term portion of losses from line 10, column (h), here and include on the appropriate line of Schedule D (see instructions)							()

Section B - Gains From Straddles

(a) Description of property	(b) Date entered into or acquired	(c) Date closed out or sold	(d) Gross sales price	(e) Cost or other basis plus expense of sale	(f) Gain. If column (d) is more than (e), enter difference. Otherwise, enter -0-
12					
13a Enter the short-term portion of gains from line 12, column (f), here and include on the appropriate line of Schedule D (see instructions)					()
b Enter the long-term portion of gains from line 12, column (f), here and include on the appropriate line of Schedule D (see instructions)					()

Part III Unrecognized Gains From Positions Held on Last Day of Tax Year. Memo Entry Only (see instructions)

(a) Description of property	(b) Date acquired	(c) Fair market value on last business day of tax year	(d) Cost or other basis as adjusted	(e) Unrecognized gain. If column (c) is more than (d), enter difference. Otherwise, enter -0-
14				

For Paperwork Reduction Act Notice, see page 4.

Form **6781** (2010)

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete **only Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete **only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization TTEE U/W OF LLOYD G. BALFOUR	Employer identification number 22-2751372
	Number, street, and room or suite no. If a P.O. box, see instructions P O BOX 1802	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. PROVIDENCE, RI 02901-1802	

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► **PRIVATE BANK TAX SERVICES**

Telephone No. ► **(888) 866-3275**

FAX No. ► _____

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 11/15, 20 11, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☐ calendar year 20 ____ or
- ☒ tax year beginning 04/01, 2010, and ending 03/31, 2011.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a \$	96,431.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b \$	100,000.
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c \$	

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 1-2011)