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# Return of Private Foundation

## or Section 4947(a)(1) Nonexempt Charitable Trust

### Treated as a Private Foundation

OMB No 1545-0052

**2010**Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2010, or tax year beginning **APR 1, 2010**, and ending **MAR 31, 2011**

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

Name of foundation <b>DAVID AND LAURA FINN FAMILY FOUNDATION</b>		A Employer identification number <b>13-6179414</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>90 WELLINGTON AVE</b>	Room/suite	B Telephone number <b>212-593-6400</b>
City or town, state, and ZIP code <b>NEW ROCHELLE, NY 10804</b>		C If exemption application is pending, check here <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ <b>430,868.</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
(Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		277,999.		N/A	
2 Check <input type="checkbox"/> If the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		2,153.	2,153.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		128,296.			
b Gross sales price for all assets on line 6a <b>501,718.</b>					
7 Capital gain net income (from Part IV, line 2)			128,296.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		408,448.	130,449.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees					
c Other professional fees					
17 Interest					
18 Taxes <b>STMT 2</b>		143.	0.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses <b>STMT 3</b>		2,405.	0.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		2,548.	0.		0.
25 Contributions, gifts, grants paid		169,661.			169,661.
26 Total expenses and disbursements. Add lines 24 and 25		172,209.	0.		169,661.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		236,239.			
b Net investment income (if negative, enter -0-)			130,449.		
c Adjusted net income (if negative, enter -0-)				N/A	

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<b>Part II Balance Sheets</b> Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing	8,580.	5,562.	5,563.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock <b>STMT 5</b>	164,208.	87,205.	87,205.
	c Investments - corporate bonds			
<b>Liabilities</b>	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other <b>STMT 6</b>	64,817.	306,452.	338,100.
	14 Land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶ <b>DUE FROM BROKERAGE</b> )	1,899.	0.	0.
	16 <b>Total assets</b> (to be completed by all filers)	239,504.	399,219.	430,868.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
<b>Net Assets or Fund Balances</b>	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ )			
	23 <b>Total liabilities</b> (add lines 17 through 22)	0.	0.	
<b>Net Assets or Fund Balances</b>	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	94,275.	94,275.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	145,229.	304,944.	
<b>Net Assets or Fund Balances</b>	30 <b>Total net assets or fund balances</b>	239,504.	399,219.	
	31 <b>Total liabilities and net assets/fund balances</b>	239,504.	399,219.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	239,504.
2 Enter amount from Part I, line 27a	2	236,239.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	475,743.
5 Decreases not included in line 2 (itemize) ▶ <b>SEE STATEMENT 4</b>	5	76,524.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	399,219.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE STATEMENT ATTACHED	P	VARIOUS	VARIOUS
b SEE STATEMENT ATTACHED	P	VARIOUS	VARIOUS
c CAPITAL GAINS DIVIDENDS	P	VARIOUS	12/22/10
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 249,423.		219,319.	30,104.
b 252,290.		154,103.	98,187.
c 5.			5.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0- or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			30,104.
b			98,187.
c			5.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	128,296.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	169,848.	354,097.	.479665
2008	171,685.	499,896.	.343441
2007	167,796.	453,923.	.369657
2006	12,631.	266,936.	.047318
2005	12,638.	256,309.	.049308

2 Total of line 1, column (d)	2	1.289389
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.257878
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	322,636.
5 Multiply line 4 by line 3	5	83,201.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,304.
7 Add lines 5 and 6	7	84,505.
8 Enter qualifying distributions from Part XII, line 4	8	169,661.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	1,304.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,304.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,304.
6 Credits/Payments:			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		1.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		1,305.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2011 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>NY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>N/A</b>	13	X	
14	The books are in care of <b>LAURA FINN</b> Telephone no. <b>212-593-6400</b> Located at <b>90 WELLINGTON AVE, NEW ROCHELLE, NY</b> ZIP+4 <b>10804</b>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <b>15</b> <b>N/A</b>			
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country <b>16</b> <b>Yes</b> <b>No</b> <b>X</b>			

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here <b>N/A</b>	<b>1b</b>	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	<b>1c</b>	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <b>N/A</b>	<b>2a</b>	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <b>N/A</b>	<b>2b</b>	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>3a</b>	
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010) <b>N/A</b>	<b>3b</b>	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	<b>4b</b>	X

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FOUNDATION**

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

**5a** During the year did the foundation pay or incur any amount to:

- |   |   |
|---|---|
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?                   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| (3) Provide a grant to an individual for travel, study, or other similar purposes?  | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?                | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

5b

6b

7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DAVID FINN 301 E. 57 ST NEW YORK, NY 10022	PRESIDENT TREASURER 1.00	0.	0.	0.
LAURA FINN 301 E. 57 ST NEW YORK, NY 10022	VICE PRESIDENT 1.00	0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total** number of other employees paid over \$50,000

▶ 0

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services 0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

**Total.** Add lines 1 through 3 0.



**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	320,581.
b	Average of monthly cash balances	1b	6,968.
c	Fair market value of all other assets	1c	
d	<b>Total</b> (add lines 1a, b, and c)	1d	327,549.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	327,549.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	4,913.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	322,636.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	16,132.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	16,132.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	1,304.
b	Income tax for 2010. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,304.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	14,828.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	14,828.
6	Deduction from distributable amount (see instructions)	6	0.
7	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	14,828.

**Part XIII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	169,661.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	169,661.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,304.
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	168,357.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				14,828.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005				
b From 2006				
c From 2007	138,834.			
d From 2008	146,902.			
e From 2009	152,229.			
f Total of lines 3a through e	437,965.			
4 Qualifying distributions for 2010 from Part XII, line 4: ▶ \$	169,661.			
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				14,828.
e Remaining amount distributed out of corpus	154,833.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	592,798.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	592,798.			
10 Analysis of line 9:				
a Excess from 2006				
b Excess from 2007	138,834.			
c Excess from 2008	146,902.			
d Excess from 2009	152,229.			
e Excess from 2010	154,833.			

**DAVID AND LAURA FINN FAMILY  
FOUNDATION**

Form 990-PF (2010)

**13-6179414**

Page 10

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) **N/A**

- 1** **a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶
- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	
<b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
<b>b</b> 85% of line 2a					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test - enter:					
<b>(1)</b> Value of all assets					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
<b>c</b> "Support" alternative test - enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)**

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**NONE**

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE**

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number of the person to whom applications should be addressed:
- b** The form in which applications should be submitted and information and materials they should include:
- c** Any submission deadlines:
- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**DAVID AND LAURA FINN FAMILY  
FOUNDATION**

**Part XV** Supplementary Information (continued)

<b>3 Grants and Contributions Paid During the Year or Approved for Future Payment</b>				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
<b>SEE STATEMENT 7</b>				
<b>Total</b>			<b>▶ 3a</b>	<b>169,661.</b>
<b>b Approved for future payment</b>				
<b>NONE</b>				
<b>Total</b>			<b>▶ 3b</b>	<b>0.</b>

[illegible]



**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

**2010**

Name of the organization

DAVID AND LAURA FINN FAMILY  
FOUNDATION

Employer identification number

13-6179414

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2010)

Name of organization  
**DAVID AND LAURA FINN FAMILY  
 FOUNDATION**

Employer identification number  
**13-6179414**

**Part I** Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	<b>DAVID &amp; LAURA FINN</b> <b>90 WELLINGTON AVE</b> <b>NEW ROCHELLE, NY 10804</b>	\$ 277,999.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)



**Name of organization**

Employer identification number

DAVID AND LAURA FINN FAMILY  
FOUNDATION

13-6179414

**Part II**    **Noncash Property** (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	<b>SECURITIES</b> <hr/> <hr/> <hr/> <hr/>	\$ <u>277,999.</u>	<u>11/16/10</u>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<hr/>	<hr/> <hr/> <hr/> <hr/>	\$ <hr/>	<hr/>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<hr/>	<hr/> <hr/> <hr/> <hr/>	\$ <hr/>	<hr/>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<hr/>	<hr/> <hr/> <hr/> <hr/>	\$ <hr/>	<hr/>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<hr/>	<hr/> <hr/> <hr/> <hr/>	\$ <hr/>	<hr/>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<hr/>	<hr/> <hr/> <hr/> <hr/>	\$ <hr/>	<hr/>

Name of organization

Employer identification number

DAVID AND LAURA FINN FAMILY  
FOUNDATION

13-6179414

**Part III.** Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

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FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDENDS	2,153.	0.	2,153.
TOTAL TO FM 990-PF, PART I, LN 4	2,153.	0.	2,153.

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FORM 990-PF	TAXES	STATEMENT	2
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INTERNAL REVENUE SERVICE	43.	0.		0.
NYS DEPARTMENT OF LAW	100.	0.		0.
TO FORM 990-PF, PG 1, LN 18	143.	0.		0.

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FORM 990-PF	OTHER EXPENSES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK CHARGES	96.	0.		0.
AGENCY FEES	2,034.	0.		0.
TAX SERVICE FEES	275.	0.		0.
TO FORM 990-PF, PG 1, LN 23	2,405.	0.		0.

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FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	4
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DESCRIPTION	AMOUNT
DIFF BTW COST & FMV CONTRIBUTION RECEIVED	76,524.
TOTAL TO FORM 990-PF, PART III, LINE 5	76,524.

FORM 990-PF	CORPORATE STOCK	STATEMENT	5
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK	87,205.	87,205.
OTHER STOCK	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 10B	87,205.	87,205.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	6
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MUTUAL FUND	COST	306,452.	338,100.
TOTAL TO FORM 990-PF, PART II, LINE 13		306,452.	338,100.

FORM 990-PF

GRANTS AND CONTRIBUTIONS  
PAID DURING THE YEAR

STATEMENT 7

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
BETH EL SYNAGOGUE NORTHFIELD ROAD NEW ROCHELLE, NY 10804	NONE	PUBLIC CHARITY	2,205.
BROWN UNIVERSITY BROWN UNIVERSITY PROVIDENCE, RI 02912	NONE	PUBLIC CHARITY	166,666.
NY PUBLIC LIBRARY 476 FIFTH AVENUE NEW YORK, NY 10018	NONE	PUBLIC CHARITY	100.
POLICE ASSOCIATION OF NR NEW YORK NEW ROCHELLE, NY 10804	NONE	PUBLIC CHARITY	20.
THE UNITED SYNAGOGUE OF CONSERVATIVE JUDAISM 820 SECOND AVENUE 10TH FLOOR NEW YORK, NY 10017	NONE	PUBLIC CHARITY	200.
UJA FEDERATION 130 EAST 59TH STREET NEW YORK, NY 10022	NONE	PUBLIC CHARITY	100.
UNIFORM FIREFIGHTER ASSOC. 204 EAST 23RD STREET NEW YORK, NY 10010	NONE	PUBLIC CHARITY	20.
WLIW21 450 WEST 33RD STREET NEW YORK, NY 10001	NONE	PUBLIC CHARITY	50.

DAVID AND LAURA FINN FAMILY FOUNDATION

13-6179414

WNYC NONE  
160 VARICK STREET NEW YORK, NY  
10013

PUBLIC CHARITY 100.

ADL NONE  
NEW YORK NEW ROCHELLE, NY 10804

PUBLIC CHARITY 100.

THIRTEEN ASSOC. NONE  
450 WEST 33RD STREET NEW YORK,  
NY 10001

PUBLIC CHARITY 100.

TOTAL TO FORM 990-PF, PART XV, LINE 3A

169,661.

FSB-NY CUST/FINN FAMILY FOUNDATION  
Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
10. LOWE'S COMPANIES COMMON	06/24/2009	04/08/2010	254.55	182.75	71.80
5. CME GROUP INC	03/31/2010	04/13/2010	1,544.17	1,578.79	-34.62
50. QUANTA SERVICES INC COMMON	03/24/2010	04/14/2010	978.15	1,000.20	-22.05
10. SEAGATE TECHNOLOGY COMMON	09/15/2009	04/21/2010	194.84	154.47	40.37
10. JP MORGAN CHASE & CO	VAR	05/04/2010	429.84	431.35	-1.51
40. TECK CORP CL B SUB VTG COMMON	VAR	05/05/2010	1,484.14	1,654.40	-170.26
120. SYMANTEC CORP COMMON	VAR	05/10/2010	1,940.59	1,943.78	-3.19
55. ELECTRONIC ARTS COMMON	VAR	05/12/2010	974.71	1,092.11	-117.40
30. METLIFE INC COMMON	04/21/2010	05/12/2010	1,307.46	1,405.64	-98.18
40. AGILENT TECHNOLOGIES INC	03/02/2010	05/21/2010	1,243.45	1,292.02	-48.57
20. BMC SOFTWARE COMMON	02/02/2010	06/09/2010	719.31	761.50	-42.19
40. COCA COLA ENTERPRISES COMMON	VAR	06/09/2010	1,010.16	1,123.64	-113.48
15. PRUDENTIAL FINANCIAL INC	VAR	06/09/2010	843.83	766.43	77.40
40. ACCENTURE PLC CLASS A	VAR	06/09/2010	1,466.49	1,366.46	100.03
70. INTEL CORP COMMON	VAR	06/30/2010	1,386.07	1,408.72	-22.65
80. SCHWAB CHARLES CORP NEW	VAR	07/06/2010	1,130.05	1,511.55	-381.50
30. AMERICAN EXPRESS CO COMMON	03/23/2010	07/23/2010	1,314.40	1,231.83	82.57
15. AMERICAN ELECTRIC POWER CO COMMON	06/14/2010	07/29/2010	541.89	490.61	51.28
25. COCA COLA ENTERPRISES COMMON	VAR	07/29/2010	722.78	663.27	59.51
10. SUNCOR ENERGY INC	07/30/2009	07/29/2010	327.39	322.27	5.12
20. AMERICAN EXPRESS CO COMMON	05/19/2010	08/04/2010	881.65	797.16	84.49
45. INTEL CORP COMMON	11/24/2009	08/11/2010	875.16	876.73	-1.57
80. SEAGATE TECHNOLOGY	VAR	08/12/2010	876.00	1,234.25	-358.25
10. METLIFE INC COMMON	05/04/2010	08/13/2010	403.70	444.54	-40.84
5. JP MORGAN CHASE & CO	01/04/2010	08/26/2010	182.59	214.65	-32.06
30. METLIFE INC COMMON	VAR	08/26/2010	1,114.67	1,291.36	-176.69
100. REGIONS FINANCIAL CORP COMMON	05/04/2010	09/14/2010	714.47	871.00	-156.53
10. STANLEY BLACK & DECKER INC	01/04/2010	09/14/2010	608.13	526.88	81.25
60. REGIONS FINANCIAL CORP COMMON	VAR	09/20/2010	413.71	518.76	-105.05
<b>Totals</b>					

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FSB-NY CUST/FINN FAMILY FOUNDATION  
Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
10. AIR PRODUCTS & CHEMICALS	04/21/2010	09/28/2010	833.30	764.97	68.33
20. BAXTER INTERNATIONAL	06/30/2010	09/28/2010	961.08	816.56	144.52
30. TYCO INTERNATIONAL LTD	VAR	09/28/2010	1,124.39	1,111.00	13.39
10. BMC SOFTWARE COMMON	07/29/2010	10/07/2010	425.18	356.62	68.56
40. KELLOGG COMPANY COMMON	04/08/2010	10/14/2010	2,015.18	2,100.94	-85.76
25. YAHOO! INC COMMON	VAR	10/14/2010	423.15	434.72	-11.57
60. BANK OF AMERICA CORP	12/03/2009	10/15/2010	708.98	900.00	-191.02
30. BAXTER INTERNATIONAL	06/30/2010	11/04/2010	1,543.69	1,224.85	318.84
20. VIACOM INC	VAR	11/04/2010	778.78	656.28	122.50
20. CARNIVAL CORPORATION	VAR	11/08/2010	879.60	811.04	68.56
20. MEAD JOHNSON NUTRITION CO COMMON A	VAR	11/08/2010	1,171.86	862.55	309.31
20. CISCO SYSTEMS COMMON	04/14/2010	11/10/2010	488.29	538.19	-49.90
10. EXXON MOBIL CORPORATION	03/09/2010	11/17/2010	690.95	669.80	21.15
2030. VIACOM INC	VAR	12/13/2010	79,940.04	63,085.28	16,854.76
870. TYCO INTERNATIONAL LTD	VAR	12/13/2010	36,026.18	32,469.44	3,556.74
80. CISCO SYSTEMS COMMON	VAR	12/14/2010	1,583.58	1,952.74	-369.16
70. REPUBLIC SERVICES INC COMMON	VAR	01/07/2011	2,070.64	2,086.42	-15.78
40. SUNTRUST BANKS COMMON	11/04/2010	01/07/2011	1,138.66	1,034.82	103.84
20. SEMPRA ENERGY COMMON	06/23/2010	01/10/2011	1,025.25	958.69	66.56
90. WELLS FARGO & CO NEW	08/26/2010	01/20/2011	2,863.60	2,156.80	706.80
5. AMERICAN ELECTRIC POWER CO COMMON	06/14/2010	02/04/2011	178.75	163.53	15.22
40. AMERICAN EXPRESS CO COMMON	10/18/2010	02/04/2011	1,734.36	1,576.62	157.74
80. ANHEUSER BUSCH INBEV SPN	12/23/2010	02/04/2011	4,406.31	4,582.87	-176.56
110. AVERY DENNISON CORPORATION COMMON	VAR	02/04/2011	4,293.91	4,353.68	-59.77
40. BAKER HUGHES COMMON	VAR	02/04/2011	2,740.35	1,949.25	791.10
10. BLACKROCK INC COMMON	11/08/2010	02/04/2011	1,970.56	1,630.00	340.56
50. CVS CORPORATION COMMON	VAR	02/04/2011	1,648.97	1,461.13	187.84
80. CAREFUSION CORP	VAR	02/04/2011	2,154.35	1,961.43	192.92
40. CARNIVAL CORPORATION	VAR	02/04/2011	1,820.36	1,499.19	321.17
300. CITIGROUP INC COMMON	05/19/2010	02/04/2011	1,439.97	1,143.33	296.64
75. COCA COLA ENTERPRISES INC	VAR	02/04/2011	1,913.21	1,206.43	706.78
<b>Totals</b>					

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FSB-NY CUST/FINN FAMILY FOUNDATION  
Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
90. CYTEC INDUSTRIES INC	VAR	02/04/2011	4,949.00	4,713.45	235.55
50. EDISON INTERNATIONAL	VAR	02/04/2011	1,834.95	1,746.92	88.03
130. GENERAL ELECTRIC CO COMMON	VAR	02/04/2011	2,690.94	1,997.14	693.80
200. GENERAL MOTORS CO	VAR	02/04/2011	7,267.86	6,900.17	367.69
5. GOOGLE INC	05/13/2010	02/04/2011	3,044.64	2,588.11	456.53
70. HANSEN NATURAL CORP COMMON	01/07/2011	02/04/2011	4,007.42	3,731.64	275.78
30. HARMAN INTERNATIONAL					
INDUSTRIES COMMON	10/25/2010	02/04/2011	1,465.79	1,063.41	402.38
150. HERTZ GLOBAL HOLDINGS INC					
COMMON	VAR	02/04/2011	2,254.45	1,557.14	697.31
20. HEWLETT-PACKARD CO COMMON	10/12/2010	02/04/2011	949.38	829.05	120.33
70. KROGER COMPANY COMMON	VAR	02/04/2011	1,561.67	1,472.17	89.50
20. MCDONALD'S CORPORATION					
COMMON	VAR	02/04/2011	1,476.58	1,331.86	144.72
60. MCKESSON HBOC INC COMMON	02/01/2011	02/04/2011	4,604.31	4,620.68	-16.37
30. MICROSOFT CORP COMMON	03/05/2010	02/04/2011	829.18	857.96	-28.78
60. NYSE EURONEXT	VAR	02/04/2011	1,952.36	1,784.56	167.80
30. OCCIDENTAL PETROLEUM CORP					
COMMON	VAR	02/04/2011	2,947.74	2,307.00	640.74
380. ON SEMICONDUCTOR					
CORPORATION COMMON	12/14/2010	02/04/2011	4,275.90	3,434.67	841.23
90. ROCHE HOLDINGS LTD					
SPONSORED ADR	VAR	02/04/2011	3,307.43	3,438.19	-130.76
70. ST JUDE MEDICAL COMMON	VAR	02/04/2011	2,942.74	2,582.45	360.29
10. STANLEY BLACK & DECKER INC	06/30/2010	02/04/2011	718.89	508.83	210.06
60. TARGET CORP COMMON	VAR	02/04/2011	3,238.73	3,320.61	-81.88
20. VISA INC CLASS A SHARES	09/28/2010	02/04/2011	1,432.37	1,468.75	-36.38
40. WESTERN UNION CO	03/09/2010	02/04/2011	829.18	652.15	177.03
40. COOPER INDUSTRIES PLC CL A	08/12/2010	02/04/2011	2,493.55	1,724.83	768.72
10. COVIDIEN LTD	06/09/2010	02/04/2011	491.59	400.10	91.49
40. WEATHERFORD INTERNATIONAL	02/17/2010	02/04/2011	978.10	611.33	366.77
<b>Totals</b>			249,423.00	219,319.00	30,103.00

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FSB-NY CUST/FINN FAMILY FOUNDATION  
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
<b>15% RATE CAPITAL GAINS (LOSSES)</b>					
20. CVS CORPORATION COMMON	02/18/2009	04/08/2010	723.63	545.10	178.53
10. HEWLETT-PACKARD CO COMMON	07/17/2008	04/08/2010	529.99	432.12	97.87
10. LOWE'S COMPANIES COMMON	02/18/2009	04/08/2010	254.55	169.28	85.27
45. STATE STREET CORPORATION	04/06/2009	04/20/2010	2,033.85	1,463.05	570.80
60. ORACLE CORP COMMON	06/19/2009	06/21/2010	1,400.68	1,250.15	150.53
60. LOWE'S COMPANIES COMMON	02/18/2009	06/29/2010	1,246.22	1,015.67	230.55
20. ROCHE HOLDINGS LTD					
SPONSORED ADR	07/31/2007	07/23/2010	642.63	890.40	-247.77
20. ROCHE HOLDINGS LTD					
SPONSORED ADR	07/31/2007	07/23/2010	642.81	890.40	-247.59
10. MANPOWER WISCONSIN COMMON	12/30/2008	07/29/2010	473.77	324.53	149.24
10. PEPSCO INCORPORATED	07/31/2007	07/29/2010	649.15	662.90	-13.75
40. SUNCOR ENERGY INC	06/24/2009	07/29/2010	1,309.57	1,177.00	132.57
40. JP MORGAN CHASE & CO	03/31/2009	08/26/2010	1,460.71	1,046.62	414.09
20. EXXON MOBIL CORPORATION	VAR	09/14/2010	1,224.16	1,409.00	-184.84
10. TYCO INTERNATIONAL LTD	03/02/2009	09/28/2010	374.80	200.07	174.73
10. PRUDENTIAL FINANCIAL INC	09/14/2009	10/01/2010	534.06	502.03	32.03
10. ACCENTURE PLC CLASS A	08/31/2009	10/01/2010	443.79	333.24	110.55
25. ACCENTURE PLC CLASS A	08/31/2009	10/05/2010	1,123.98	833.11	290.87
10. BMC SOFTWARE COMMON	12/19/2008	10/07/2010	424.52	259.52	165.00
20. ENTERGY CORP NEW COMMON	06/24/2009	10/11/2010	1,525.15	1,512.22	12.93
15. YAHOO! INC COMMON	03/24/2009	10/14/2010	253.89	206.10	47.79
20. BANK OF AMERICA CORP	05/20/2009	10/15/2010	236.33	232.17	4.16
5. EXXON MOBIL CORPORATION	03/27/2009	11/17/2010	345.48	351.76	-6.28
20. AIR PRODUCTS & CHEMICALS	06/17/2009	11/24/2010	1,722.54	1,289.51	433.03
530. BMC SOFTWARE COMMON	VAR	12/13/2010	25,174.63	13,806.25	11,368.38
1025. TYCO INTERNATIONAL LTD	VAR	12/13/2010	42,444.64	26,079.55	16,365.09
30. BARD C R INCORPORATED					
COMMON	07/31/2007	01/07/2011	2,751.46	2,397.75	353.71
100. VIACOM INC	01/15/2010	01/26/2011	4,233.31	2,961.97	1,271.34
630. VIACOM INC	VAR	01/27/2011	26,702.72	18,660.41	8,042.31
100. VIACOM INC	01/15/2010	01/27/2011	4,229.91	2,961.97	1,267.94
<b>Totals</b>					

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FSB-NY CUST/FINN FAMILY FOUNDATION

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
45. AMERICAN ELECTRIC POWER CO COMMON	VAR	02/04/2011	1,608.71	1,394.52	214.19
900. BMC SOFTWARE COMMON	VAR	02/04/2011	43,813.31	21,638.07	22,175.24
120. BANK OF AMERICA CORP	VAR	02/04/2011	1,727.96	1,390.98	336.98
50. CVS CORPORATION COMMON	02/18/2009	02/04/2011	1,648.97	1,362.75	286.22
40. HEWLETT-PACKARD CO COMMON	07/17/2008	02/04/2011	1,898.76	1,728.49	170.27
25. MANPOWER WISCONSIN COMMON	12/30/2008	02/04/2011	1,710.71	811.31	899.40
55. MICROSOFT CORP COMMON	05/28/2009	02/04/2011	1,520.17	1,122.35	397.82
25. PEPSICO INCORPORATED	VAR	02/04/2011	1,600.71	1,616.85	-16.14
20. PRUDENTIAL FINANCIAL INC	09/14/2009	02/04/2011	1,251.17	1,004.06	247.11
30. ROCHE HOLDINGS LTD					
SPONSORED ADR	07/31/2007	02/04/2011	1,102.48	1,335.60	-233.12
30. STANLEY BLACK & DECKER INC	VAR	02/04/2011	2,156.67	1,570.90	585.77
45. STRYKER CORP COMMON	04/01/2009	02/04/2011	2,626.14	1,485.63	1,140.51
90. TALISMAN ENERGY INC COMMON	05/08/2009	02/04/2011	2,118.55	1,282.62	835.93
30. UNITED TECHNOLOGIES CORP	01/12/2010	02/04/2011	2,464.75	2,138.08	326.67
170. VIACOM INC	01/15/2010	02/04/2011	7,379.02	5,035.35	2,343.67
50. WESTERN UNION CO	12/19/2008	02/04/2011	1,036.48	701.78	334.70
85. YAHOO! INC COMMON	03/24/2009	02/04/2011	1,422.87	1,167.90	254.97
45. COVIDIEN LTD	VAR	02/04/2011	2,212.15	1,915.43	296.72
80. WEATHERFORD INTERNATIONAL	VAR	02/04/2011	1,956.21	1,529.07	427.14
1000. TYCO INTERNATIONAL LTD	VAR	02/04/2011	45,921.92	20,007.10	25,914.82
TOTAL 15% RATE CAPITAL GAINS (LOSSES)			252,291.00	154,103.00	98,188.00
Totals			252,291.00	154,103.00	98,188.00