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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2010

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning

03/01, 2010, and ending

02/28, 2011

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

Name of foundation

A Employer identification number

DOTY FAMILY FOUNDATION

13-2921496

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

B Telephone number (see page 10 of the instructions)

C/O BCRS ASSOCIATES, LLC

(212) 440-0800

100 WALL STREET, 11TH FLOOR

City or town, state, and ZIP code

NEW YORK, NY 10005

H Check type of organization ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at end

J Accounting method

☒ Cash☐ Accrual

of year (from Part II, col (c), line

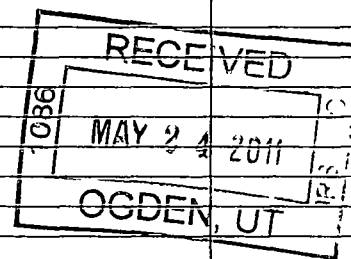
☐ Other (specify)

16) \$ 6,387,456.

(Part I, column (d) must be on cash basis)

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A) check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)	0.		N/A	
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	3,659.	3,659.	0.	ATCH 1
4 Dividends and interest from securities	141,945.	141,945.	0.	ATCH 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	-172,236.			
b Gross sales price for all assets on line 6a	932,836.			
7 Capital gain net income (from Part IV, line 2)		0.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	-26,632.	145,604.	0.	
13 Compensation of officers, directors, trustees, etc.	18,000.	13,500.	0.	4,500.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule) ATCH 3	7,400.	3,700.	0.	3,700.
c Other professional fees (attach schedule) *	30,077.	30,077.	0.	0.
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions)				
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) ATCH 5	16,219.	8,072.	0.	8,147.
24 Total operating and administrative expenses. Add lines 13 through 23	71,696.	55,349.	0.	16,347.
25 Contributions, gifts, grants paid	376,303.			376,303.
26 Total expenses and disbursements. Add lines 24 and 25	447,999.	55,349.	0.	392,650.
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	-474,631.			
b Net investment income (if negative, enter -0-)		90,255.		
c Adjusted net income (if negative, enter -0-)			0.	



For Paperwork Reduction Act Notice, see page 30 of the instructions.

* ATCH 4 JSA

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PAGE 1

ENVELOPE
POSTMARK DATE MAY 20 2011

SCANNED MAY 26 2011

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing		563.	563.	563.
	2	Savings and temporary cash investments		71,812.	123,260.	123,260.
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U S and state government obligations (attach schedule) . .				
	b	Investments - corporate stock (attach schedule) ATCH 6 . .	4,135,065.	4,243,460.	4,962,306.	
	c	Investments - corporate bonds (attach schedule)				
	11	Investments - land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶				
	12	Investments - mortgage loans				
	13	Investments - other (attach schedule)				
	14	Land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶ ATCH 7)	1,865,646.	1,231,175.	1,301,327.		
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	6,073,086.	5,598,458.	6,387,456.		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons .				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)	0.	0.			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>					
	27	Capital stock, trust principal, or current funds	6,073,086.	5,598,458.		
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds . .	0.			
30	Total net assets or fund balances (see page 17 of the instructions)	6,073,086.	5,598,458.			
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	6,073,086.	5,598,458.			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,073,086.
2	Enter amount from Part I, line 27a	2	-474,631.
3	Other increases not included in line 2 (itemize) ▶ ATTACHMENT 8	3	3.
4	Add lines 1, 2, and 3	4	5,598,458.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,598,458.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	-172,236.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8.			3	0.	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	631,440.	5,932,663.	0.106434
2008	480,515.	6,654,688.	0.072207
2007	778,645.	8,160,687.	0.095414
2006	477,784.	7,960,537.	0.060019
2005	578,414.	7,936,980.	0.072876
2 Total of line 1, column (d)		2	0.406950
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		3	0.081390
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5		4	5,981,428.
5 Multiply line 4 by line 3		5	486,828.
6 Enter 1% of net investment income (1% of Part I, line 27b)		6	903.
7 Add lines 5 and 6		7	487,731.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18		8	392,650.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	1,805.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3 Add lines 1 and 2		3	1,805.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,805.
6 Credits/Payments			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	4,181.	
b Exempt foreign organizations-tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	0.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	4,181.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,376.	
11 Enter the amount of line 10 to be Credited to 2011 estimated tax	11	2,376.	Refunded

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) NY,		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <input type="checkbox"/> N/A				
14	The books are in care of <input type="checkbox"/> BCRS ASSOCIATES, LLC Telephone no <input type="checkbox"/> 212-440-0800			
Located at <input type="checkbox"/> 100 WALL STREET, 11TH FLOOR, NEW YORK, NY ZIP + 4 <input type="checkbox"/> 10005				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/>			
and enter the amount of tax-exempt interest received or accrued during the year <input type="checkbox"/> 15				
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country <input type="checkbox"/>				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? <input type="checkbox"/>	1b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010? <input type="checkbox"/>	1c	N/A
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If "Yes," list the years <input type="checkbox"/>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 22 of the instructions) <input type="checkbox"/>	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <input type="checkbox"/>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010) <input type="checkbox"/>	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <input type="checkbox"/>	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010? <input type="checkbox"/>	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a During the year did the foundation pay or incur any amount to
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ **5b** *N/A*
- Organizations relying on a current notice regarding disaster assistance check here ☐
- c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? *N/A* ☐ Yes ☐ No
- If "Yes," attach the statement required by Regulations section 53.4945-5(d)
- 6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ **6b** *X*
- If "Yes" to 6b, file Form 8870
- 7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ **7b** *N/A*

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 9		18,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
N/A		0.	0.	0.

Total number of other employees paid over \$50,000 ☐

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE	NONE	0.
Total number of others receiving over \$50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 PLEASE NOTE, THE FOUNDATION IS NOT INVOLVED IN ANY DIRECT CHARITABLE ACTIVITIES. ITS PRIMARY PURPOSE IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS EXEMPT UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE.	0.
2 N/A	0.
3 N/A	0.
4 N/A	0.

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	0.
2 N/A	0.
All other program-related investments See page 24 of the instructions	
3 N/A	0.
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	5,300,398.
b	Average of monthly cash balances	1b	772,118.
c	Fair market value of all other assets (see page 25 of the instructions)	1c	0.
d	Total (add lines 1a, b, and c)	1d	6,072,516.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	6,072,516.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	91,088.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,981,428.
6	Minimum investment return. Enter 5% of line 5	6	299,071.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	299,071.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	1,805.
b	Income tax for 2010 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	1,805.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	297,266.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	297,266.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	297,266.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	392,650.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	392,650.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	392,650.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				297,266.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.	
b Total for prior years 20____, 20____, 20____		0.		
3 Excess distributions carryover, if any, to 2010				
a From 2005	191,139.			
b From 2006	96,964.			
c From 2007	379,471.			
d From 2008	151,872.			
e From 2009	335,485.			
f Total of lines 3a through e	1,154,931.			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ 392,650.				
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)		0.		
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)	0.			
d Applied to 2010 distributable amount				297,266.
e Remaining amount distributed out of corpus	95,384.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,250,315.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0.		
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions		0.		
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions			0.	
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions)	191,139.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	1,059,176.			
10 Analysis of line 9				
a Excess from 2006	96,964.			
b Excess from 2007	379,471.			
c Excess from 2008	151,872.			
d Excess from 2009	335,485.			
e Excess from 2010	95,384.			

Form 990-PF (2010)

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
	(a) 2010	(b) 2009	(c) 2008	(d) 2007
b 85% of line 2a				
c Qualifying distributions from Part XII line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon				
a "Assets" alternative test - enter				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c "Support" alternative test - enter				
(1) Total support other than gross investment income (interest dividends, rents, payments on securities loans (section 512(a)(5)) or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

SEE STATEMENT ATTACHED

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> SEE LIST ATTACHED				376,303.
Total ▶ 3a				376,303.
b <i>Approved for future payment</i> NONE				0.
Total ▶ 3b				0.

Form 990-PF (2010)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
	N/A	

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

George E Doty
Signature of officer or trustee

Date 5/2/11

TRUSTEE

Print/Type preparer's name	
----------------------------	--

Preparer's signature

Date _____

PTIN

**Paid
Preparer
Use Only**

WILLIAM RABETZ

Firm's name ► BCRS ASSOCIATES, LLC

Firm's address ► 100 WALL STREET - 11TH FL
NEW YORK, NY

10005

Phone no 212-440-0800

Check ☐ if self-employed

P00963994

Form 990-PF (2010)

**SCHEDULE D
(Form 1041)**Department of the Treasury
Internal Revenue Service**Capital Gains and Losses**▶ Attach to Form 1041, Form 5227, or Form 990-T. See the Instructions for
Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

2010

Name of estate or trust

DOTY FAMILY FOUNDATION

Employer identification number

13-2921496

Note: Form 5227 filers need to complete *only* Parts I and II**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	28,010.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2009 Capital Loss Carryover Worksheet	4	()
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back	5	28,010.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	-200,246.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2009 Capital Loss Carryover Worksheet	11	()
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back	12	-200,246.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2010

Part III Summary of Parts I and II		(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
Caution: Read the instructions before completing this part.				
13	Net short-term gain or (loss)	13		28,010.
14	Net long-term gain or (loss):			
a	Total for year	14a		-200,246.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht)	14b		
c	28% rate gain	14c		
15	Total net gain or (loss). Combine lines 13 and 14a	15		-172,236.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet** necessary.

Part IV Capital Loss Limitation	
16	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of a The loss on line 15, column (3) or b \$3,000
16	(3,000.)

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates	
Form 1041 filers. Complete this part only if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.	
Caution: Skip this part and complete the worksheet on page 8 of the instructions if	
• Either line 14b, col (2) or line 14c, col (2) is more than zero, or	
• Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero	
Form 990-T trusts. Complete this part only if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero.	

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17	
18	Enter the smaller of line 14a or 15 in column (2) but not less than zero	18	
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19	
20	Add lines 18 and 19	20	
21	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0-	21	
22	Subtract line 21 from line 20. If zero or less, enter -0-	22	
23	Subtract line 22 from line 17. If zero or less, enter -0-	23	
24	Enter the smaller of the amount on line 17 or \$2,300	24	
25	Is the amount on line 23 equal to or more than the amount on line 24? <input type="checkbox"/> Yes. Skip lines 25 and 26, go to line 27 and check the "No" box <input type="checkbox"/> No. Enter the amount from line 23	25	
26	Subtract line 25 from line 24	26	
27	Are the amounts on lines 22 and 26 the same? <input type="checkbox"/> Yes. Skip lines 27 thru 30, go to line 31 <input type="checkbox"/> No. Enter the smaller of line 17 or line 22	27	
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)	28	
29	Subtract line 28 from line 27	29	
30	Multiply line 29 by 15% (15)	30	
31	Figure the tax on the amount on line 23. Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31	
32	Add lines 30 and 31	32	
33	Figure the tax on the amount on line 17. Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33	
34	Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34	

Schedule D (Form 1041) 2010

Department of the Treasury
Internal Revenue Service

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

2010

13-2921496

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b	28,010.
--	---------

Schedule D-1 (Form 1041) 2010

PAGE 27

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
82,504.		STCG - SEE STATEMENT ATTACHED PROPERTY TYPE: SECURITIES 54,494.				P	VARIOUS 28,010.	VARIOUS
850,332.		LTC(L) - SEE STATEMENT ATTACHED PROPERTY TYPE: SECURITIES 1,050,578.				P	VARIOUS -200,246.	VARIOUS
TOTAL GAIN (LOSS)							<u>-172,236.</u>	

SUPPLEMENTARY STATEMENTS

PART: XV

LINE: 2

SUPPLEMENTAL INFORMATION

THE ORGANIZATION'S PRIMARY ACTIVITY IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS QUALIFYING FOR EXEMPTION UNDER SECTION 501(c)(3) OF THE INTERNAL REVENUE CODE THE TRUSTEES CHOOSE THESE ORGANIZATIONS BASED UPON THEIR KNOWLEDGE OF THE ORGANIZATIONS' ACTIVITIES NO CONTRIBUTIONS, GRANTS, GIFTS, LOANS OR SCHOLARSHIPS ARE MADE TO INDIVIDUALS. THE ORGANIZATION DOES NOT PRESENTLY RECEIVE APPLICATIONS OR CONDUCT PROGRAMS FOR DONATIONS IN ITS ACTIVITIES

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>
INTEREST ON CREDIT BALANCE	3,653.	3,653.	0.
INTEREST ON CHECKING	6.	6.	0.
TOTAL	<u>3,659.</u>	<u>3,659.</u>	<u>0.</u>

ATTACHMENT 2

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>
DIVIDENDS THRU MERRILL LYNCH	70,339.	70,339.	0.
INTEREST ON BONDS THRU MERRILL LYNCH	61,792.	61,792.	0.
FOREIGN DIVIDENDS THRU MERRILL LYNCH	8,075.	8,075.	0.
DIVIDENDS - THORNBURG INT'L FD CL A	1,739.	1,739.	0.
TOTAL	<u>141,945.</u>	<u>141,945.</u>	<u>0.</u>

ATTACHMENT 3FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
BCRS ASSOCIATES, LLC	7,400.	3,700.	0.	3,700.
TOTALS	<u>7,400.</u>	<u>3,700.</u>	<u>0.</u>	<u>3,700.</u>

ATTACHMENT 4FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
INVESTMENT MANAGEMENT FEES	29,927.	29,927.	0.	0.
ANNUAL FEE-MERRILL LYNCH	150.	150.	0.	0.
TOTALS	<u>30,077.</u>	<u>30,077.</u>	<u>0.</u>	<u>0.</u>

DOTY FAMILY FOUNDATION

13-2921496

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
AMORTIZATION	7,374.	7,374.	0.	0.
NYS FILING FEE	250.	0.	0.	250.
WIRE TRANSFER FEES	180.	180.	0.	0.
PUBLICATION NOTICE FEE	125.	0.	0.	125.
FOREIGN TAX PAID	518.	518.	0.	0.
BANK CHARGES	90.	0.	0.	90.
FADICA ANNUAL MEMBERSHIP DUES	7,500.	0.	0.	7,500.
POSTAGE AND SHIPPING	36.	0.	0.	36.
COMPUTER TECHNOLOGY	146.	0.	0.	146.
TOTALS	<u>16,219.</u>	<u>8,072.</u>	<u>0.</u>	<u>8,147.</u>

DOTY FAMILY FOUNDATION

13-2921496

ATTACHMENT 6

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SEE LONG POSITION ATTACHED	4,135,065.	4,243,460.	4,962,306.
TOTALS	<u>4,135,065.</u>	<u>4,243,460.</u>	<u>4,962,306.</u>

ATTACHMENT 7FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ML BANK DEPOSIT PROGRAM	878,037.	568,488.	568,488.
ISHARES TRUST S&P MIDCAP	341,428.	160,220.	193,080.
ISHARES S&P SMALLCAP 600	341,746.	196,293.	214,770.
THORNBURG INT'L VALUE FD CL A	304,435.	306,174.	324,989.
TOTALS	<u>1,865,646.</u>	<u>1,231,175.</u>	<u>1,301,327.</u>

ATTACHMENT 8FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNT

ROUNDING

3.

TOTAL

3.

DOTY FAMILY FOUNDATION

13-2921496

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 9

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
GEORGE E. DOTY C/O BCRS ASSOCIATES, LLC 100 WALL STREET, 11TH FLOOR NEW YORK, NY 10005	TRUSTEE / PART-TIME	0.	0.	0.
BARBARA E. DOTY C/O BCRS ASSOCIATES, LLC 100 WALL STREET, 11TH FLOOR NEW YORK, NY 10005	TRUSTEE / PART-TIME	0.	0.	0.
GEORGE E. DOTY, JR. C/O BCRS ASSOCIATES, LLC 100 WALL STREET, 11TH FLOOR NEW YORK, NY 10005	TRUSTEE / PART-TIME	0.	0.	0.
WILLIAM W. DOTY C/O BCRS ASSOCIATES, LLC 100 WALL STREET, 11TH FLOOR NEW YORK, NY 10005	TRUSTEE / PART-TIME	0.	0.	0.
ANNE MARIE PAINE C/O BCRS ASSOCIATES, LLC 100 WALL STREET, 11TH FLOOR NEW YORK, NY 10005	TRUSTEE / PART-TIME	18,000.	0.	0.

DOTY FAMILY FOUNDATION

13-2921496

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 9 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
VIRGINIA M. DOTY C/O BCBS ASSOCIATES, LLC 100 WALL STREET, 11TH FLOOR NEW YORK, NY 10005	TRUSTEE / PART-TIME	0.	0.	0.
GRAND TOTALS		18,000.	0.	0.

DOTY FAMILY FOUNDATION
EIN: 13-2921496
MARCH 2010 -- FEBRUARY 2011 CONTRIBUTIONS

<u>DATE</u>	<u>NAME OF CHARITY</u>	<u>CITY</u>	<u>STATE</u>	<u>AMOUNT</u>
3/3/2010	Middlebury College Women's Lacrosse	Middlebury	VT	\$150.00
3/3/2010	New York City Ballet	New York	NY	\$375.00
3/8/2010	Girl Scouts Southern NJ Troop 70833	Lawrenceville	NJ	\$150.00
3/9/2010	St. Lawrence Church	Shelton	CT	\$600.00
3/10/2010	UJA Federation of New York	New York	NY	\$750.00
3/15/2010	Girl Scouts Northern NJ - Oradell Girl Scouts Troop 1092	Oradell	NJ	\$150.00
3/15/2010	Manhasset Parents Association for Special Education Manhasset School District	Manhasset	NY	\$300.00
3/17/2010	Asia Society	New York	NY	\$300.00
3/18/2010	Doctors Without Borders	New York	NY	\$3,750.00
3/22/2010	American Heart Association	Dallas	TX	\$750.00
3/30/2010	Americares	Stamford	CT	\$750.00
3/31/2010	Greenwich Council BSA	Greenwich	CT	\$300.00
4/2/2010	Heartsong, Inc.	Scarsdale	NY	\$375.00
4/7/2010	St. Lawrence Church	Shelton	CT	\$3,750.00
4/13/2010	St. Lawrence Church	Shelton	CT	\$600.00
4/22/2010	Jewish Community Relations Council of New York	New York	NY	\$750.00
4/22/2010	Catholic Big Sisters & Big Brothers	New York	NY	\$3,750.00
4/23/2010	Barrington High School Choir	Barrington	IL	\$150.00
4/26/2010	College of Mount Saint Vincent	Riverdale	NY	\$2,250.00
4/29/2010	Annual Bishop's Appeal - Diocese of Bridgeport	Bridgeport	CT	\$150.00
5/6/2010	Parkinson's Unity Walk	Kingston	NJ	\$375.00
5/7/2010	Per Scholas	New York	NY	\$7,500.00
5/10/2010	St. Lawrence Church	Shelton	CT	\$600.00
5/10/2010	Redeemer Church	New York	NY	\$150.00
5/12/2010	Abraham Joshua Heschel School	New York	NY	\$750.00
5/12/2010	St. Lawrence Church	Shelton	CT	\$6,000.00
5/13/2010	Greenwich Council BSA	Greenwich	CT	\$750.00
5/13/2010	Greenwich Council BSA	Greenwich	CT	\$1,500.00
5/13/2010	Greenwich Council BSA	Greenwich	CT	\$750.00
5/14/2010	Amherst College	Amherst	MA	\$750.00
5/26/2010	Greenwich Council BSA	Greenwich	CT	\$750.00
5/27/2010	Alzheimer's Association	Chicago	IL	\$150.00
6/7/2010	Lawrenceville Presbyterian Nursery School	Lawrenceville	NJ	\$300.00
6/16/2010	Susan Komen Race for the Cure	New York	NY	\$150.00
6/8/2010	The University of Chicago	Chicago	IL	\$9,375.00
6/9/2010	Revolution Fitness Youth Boxing	Stamford	CT	\$150.00
6/7/2010	Prep for Prep	New York	NY	\$750.00
6/7/2010	St. Lawrence Church	Shelton	CT	\$600.00
6/11/2010	Harrison Athletic Facilities Citizens Organization	Harrison	NY	\$12,975.00
6/24/2010	Harvard Business School	Cambridge	MA	\$1,492.50
6/30/2010	Collegiate School	New York	NY	\$750.00
7/1/2010	Convent of the Sacred Heart	New York	NY	\$150.00
7/2/2010	Connecticut Children's Foundation	Hartford	CT	\$1,500.00
7/6/2010	St. Lawrence Church	Shelton	CT	\$600.00
7/7/2010	Catholic Near East Welfare Association	New York	NY	\$76,842.00
8/2/2010	St. Lawrence Church	Shelton	CT	\$600.00

DOTY FAMILY FOUNDATION
EIN: 13-2921496
MARCH 2010 -- FEBRUARY 2011 CONTRIBUTIONS

<u>DATE</u>	<u>NAME OF CHARITY</u>	<u>CITY</u>	<u>STATE</u>	<u>AMOUNT</u>
8/4/2010	Blowing Rock Community Library	Blowing Rock	NC	\$750.00
8/5/2010	The Museum of Jewish Heritage	New York	NY	\$150 00
8/13/2010	Pan-Mass Challenge	Needham	MA	\$375 00
8/23/2010	University of Pennsylvania Newman Center	Philadelphia	PA	\$150 00
8/24/2010	Mt Sinai Adolescent Health Center	New York	NY	\$375 00
8/24/2010	Johns Hopkins University	Baltimore	MD	\$7,500 00
9/2/2010	St. Lawrence Church	Shelton	CT	\$375 00
9/13/2010	St. Lawrence Church	Shelton	CT	\$600.00
9/16/2010	Greenwich Council BSA	Greenwich	CT	\$750.00
9/23/2010	Georgetown University Hoya Hoop Club	Washington	DC	\$390 00
9/23/2010	Wharton Club of New York	New York	NY	\$750.00
10/4/2010	St. Lawrence Church	Shelton	CT	\$600 00
10/7/2010	Georgetown University Annual Fund	Washington	DC	\$375.00
10/12/2010	St. Lawrence Church	Shelton	CT	\$2,250 00
10/19/2010	Grace Church Community Center	White Plains	NY	\$9,000.00
10/26/2010	Most Holy Trinity Church	East Hampton	NY	\$150 00
11/8/2010	St. Lawrence Church	Shelton	CT	\$600.00
11/9/2010	Harvard Business School	Cambridge	MA	\$6,000.00
11/12/2010	Robin Hood Foundation	New York	NY	\$833.34
11/12/2010	Boys & Girls Clubs of Metropolitan Phoenix	Phoenix	AZ	\$375.00
11/17/2010	The Dalton School	New York	NY	\$1,875.00
11/22/2010	Mount Carmel Guild	Trenton	NJ	\$150 00
11/23/2010	Collegiate School	New York	NY	\$1,875.00
11/23/2010	Mount Sinai SAVI	New York	NY	\$300.00
12/1/2010	Catholic Charities	New York	NY	\$2,062 50
12/1/2010	Trustees of the University of Pennsylvania	Philadelphia	PA	\$5,625.00
12/1/2010	Trustees of the University of Pennsylvania	Philadelphia	PA	\$75,000 00
12/2/2010	Babson College	Babson	MA	\$300 00
12/6/2010	St. Lawrence Church	Shelton	CT	\$600 00
12/6/2010	Cornell University Kheel Center	Ithaca	NY	\$150.00
12/7/2010	Girl Scouts Princeton Service Unit	Princeton	NJ	\$600 00
12/7/2010	Schenectady Theater for Children	Schenectady	NY	\$375.00
12/8/2010	Greenwich Council BSA	Greenwich	CT	\$1,500 00
12/8/2010	Trustees of Amherst College	Amherst	MA	\$750.00
12/8/2010	New York Youth Symphony	New York	NY	\$750 00
12/13/2010	American Jewish Committee (AJC)	New York	NY	\$750 00
12/15/2010	Trustees of the University of Pennsylvania	Philadelphia	PA	\$750 00
12/16/2010	Connecticut Food Bank	Fairfield	CT	\$750.00
12/22/2010	Rosemont College	Rosemont	PA	\$750 00
12/23/2010	Women of Vision Fairfield County	Greenwich	CT	\$750.00
12/23/2010	St. Lawrence Church	Shelton	CT	\$7,500.00
12/23/2010	Asian Relief	Greenbelt	MD	\$1,500 00
12/24/2010	The Public Theater	New York	NY	\$1,875.00
12/27/2010	Fish of Stamford	Stamford	CT	\$375 00
12/28/2010	Trustees of Amherst College	Amherst	MA	\$750 00
12/28/2010	Trustees of Amherst College	Amherst	MA	\$750 00
12/28/2010	Yale Law School	New Haven	CT	\$750 00

DOTY FAMILY FOUNDATION
EIN: 13-2921496
MARCH 2010 -- FEBRUARY 2011 CONTRIBUTIONS

<u>DATE</u>	<u>NAME OF CHARITY</u>	<u>CITY</u>	<u>STATE</u>	<u>AMOUNT</u>
12/29/2010	St. Andrew Church	Sag Harbor	NY	\$7,500.00
12/29/2010	Heifer International	Little Rock	AR	\$1,020.00
12/30/2010	Emily N. Carey School	New York	NY	\$750.00
12/30/2010	Asian Relief	Greenbelt	MD	\$3,000.00
12/30/2010	The Moyer Foundation	Seattle	WA	\$750.00
12/31/2010	The Gracie Mansion Conservancy	New York	NY	\$1,875.00
1/4/2011	St. John the Martyr	New York	NY	\$7,500.00
1/4/2011	Saint Thomas More Building Fund	New Haven	CT	\$750.00
1/5/2011	Collegiate School	New York	NY	\$750.00
1/5/2011	University of Connecticut School of Nursing	Storrs	CT	\$150.00
1/6/2011	Johns Hopkins Parents Fund	Baltimore	MD	\$3,750.00
1/7/2011	College of Mount Saint Vincent	Riverdale	NY	\$3,750.00
1/10/2011	Connecticut Food Bank	Fairfield	CT	\$750.00
1/11/2011	Metropolitan Museum of Art	New York	NY	\$412.50
1/11/2011	The Frick Collection	New York	NY	\$375.00
1/19/2011	Catholic Near East Welfare Association	New York	NY	\$30,000.00
1/24/2011	St. Lawrence Church	Shelton	CT	\$600.00
1/26/2011	The Dalton School	New York	NY	\$375.00
2/1/2011	St. Andrew Church	Sag Harbor	NY	\$1,800.00
2/3/2011	Columbia Business School	New York	NY	\$7,500.00
2/7/2011	School of the Holy Child	Rye	NY	\$750.00
2/7/2011	St. Lawrence Church	Shelton	CT	\$600.00
2/8/2011	St. Joseph Parish	Boston	MA	\$1,200.00
2/10/2011	Georgetown University Annual Fund	Washington	DC	\$600.00
2/11/2011	New York City Ballet	New York	NY	\$375.00
2/14/2011	Catholic Big Sisters & Big Brothers	New York	NY	\$750.00
2/17/2011	The Tiny Miracles Foundation	Darien	CT	\$375.00
2/18/2011	Bishop's Annual Appeal - Diocese of Trenton	Trenton	NJ	\$1,050.00
2/25/2011	Cambridge in America	New York	NY	\$9,000.00
2/28/2011	Carnegie Hall Friends	New York	NY	\$375.00

TOTAL CONTRIBUTIONS PAID *	<u>\$376,302.84</u>
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**ALL CONTRIBUTIONS WERE MADE TO THE GENERAL PURPOSE
FUND OF PUBLIC CHARITABLE ORGANIZATIONS THAT WERE
CLASSIFIED UNDER SECTION 501(c)(3) OF THE INTERNAL REVENUE
CODE*

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
2,000.0000	ARCHER DANIELS MIDLD	02/04/08	05/03/10	55,486.89	90,916.35	(35,479.46) LT
1,000.0000	ARCHER DANIELS MIDLD	06/25/08	05/03/10	27,718.45	33,695.35	(5,976.90) LT
1,750.0000	BARCLAYS PLC ADR	09/06/06	05/03/10	38,016.76	88,258.90	(52,242.14) LT
1,000.0000	BARCLAYS PLC ADR	03/19/08	05/03/10	20,581.00	32,932.35	(12,351.34) LT
500.0000	AMGEN INC COM PV \$0.0001	03/08/05	06/17/10	27,624.48	7,821.07	20,003.11 LT
2,600.0000	AVON PROD INC	03/26/07	06/17/10	74,432.10	98,841.23	(24,409.13) LT
400.0000	AVON PROD INC	06/25/08	06/17/10	11,451.10	14,670.35	(3,219.25) LT
1,262.0000	ISHARES TRUST S&P MIDCAP	04/06/06	06/09/10	92,686.98	101,098.76	(8,411.78) LT
1,223.0000	ISHARES S&P SMALLCAP 600	04/06/06	06/09/10	67,563.18	80,022.14	(12,458.96) LT
110.0000	GENERAL ELECTRIC	09/27/01	06/17/10	1,736.63	3,984.21	(2,247.58) LT
1,500.0000	GENERAL ELECTRIC	12/06/01	06/17/10	23,684.39	56,760.00	(33,078.61) LT
890.0000	GENERAL ELECTRIC	12/06/02	06/17/10	14,050.98	23,496.00	(9,445.04) LT
777.0000	MICROSOFT CORP	07/26/00	06/17/10	20,376.75	41,327	(20,950.25) LT
223.0000	MICROSOFT CORP	09/29/00	06/17/10	5,848.16	6,708.40	(860.24) LT
1,478.0000	STATE STREET CORP	11/18/05	06/09/10	54,385.61	85,517.34	(31,131.73) LT
5,115.0000	SCHWAB CHARLES CORP NEW	10/27/05	06/09/10	80,659.90	74,426.47	6,233.43 LT
500.0000	BORG WARNER INC COM	06/17/10	12/28/10	35,746.54	20,545.24	15,201.30 ST
1,000.0000	ISHARES TRUST S&P MIDCAP	04/06/06	12/28/10	90,629.12	80,109.98	10,519.16 LT
1,000.0000	ISHARES S&P SMALLCAP 600	04/06/06	12/28/10	69,056.08	65,431.03	3,625.05 LT
2,000.0000	MONSTER WORLDWIDE INC	03/26/10	12/28/10	46,757.86	33,949.18	12,808.68 ST
500.0000	ORACLE CORP \$0.01 DEL	06/20/07	12/28/10	15,694.38	9,880.54	5,813.84 LT
500.0000	ORACLE CORP \$0.01 DEL	06/20/07	12/28/10	15,694.38	9,880.53	5,821.70 LT

Δ JP MORGAN CHASE & 6.75% 11

45000.0000 01/23/03 02/01/11

45,000.00

.00

TOTAL LT (200,246.12)

TOTAL ST 28,009.98

Private Banking and
Investment Group



DOTY FAMILY FOUNDATION

24-Hour Assistance: (800) MERRILL

February 01, 2011 - February 28, 2011

GOVERNMENT AND AGENCY SECURITIES ¹									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
Δ FEDERAL NATL MTGE ASSOC	01/24/03	100,000	100,362.61	101.1950	101,195.00	832.39	1,716.67	6,000	5.92
GI ORAL BENCHMARK NOTES 06 000% MAY 15 2011									
CUSIP: 31359MJH7									
ORIGINAL UNIT/TOTAL COST: 112 5793/112,579.37									
Δ FEDERAL HOME LN MTG CORP	01/24/03	100,000	100,996.25	106.3970	106,397.00	5,400.75	612.15	5,125	4.81
NOTES 05 125% JUL 15 2012									
CUSIP: 313444QD9									
ORIGINAL UNIT/TOTAL COST: 105 7981/105,798.12									
TOTAL		200,000	201,358.86		207,592.00	6,233.14	2,328.82	11,125	5.36

DOTY FAMILY FOUNDATION

YOUR EMA ASSETS

February 01, 2011 - February 28, 2011

CORPORATE BONDS									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ HSBC FINANCE CORPORATION GLB 06.750% MAY 15 2011 MOODY'S: A3 S&P: A CUSIP: 40429CAAO ORIGINAL UNIT/TOTAL COST: 106.8604/53,430.24	01/23/03	50,000	50,123.85	101.2250	50,612.50	488.65	965.63	3,375	6.66
Δ CONSOLIDATED EDISON CO SER 02-A 05 625% JUL 01 2012 MOODY'S: A3 S&P: A CUSIP: 209111DZ3 ORIGINAL UNIT/TOTAL COST: 106.4481/53,224.09	01/23/03	50,000	50,545.68	105.9360	52,968.00	2,422.32	445.31	2,813	5.30
Δ ALLSTATE CORPORATION DEBENTURES 07.500% JUN 15 2013 MOODY'S: A3 S&P: A CUSIP: 020002AC5 ORIGINAL UNIT/TOTAL COST: 110.8377/55,418.85	06/05/08	50,000	52,644.33	111.9460	55,973.00	3,328.67	760.42	3,750	6.69
Δ AMERICAN EXPRESS GLB 04.875% JUL 15 2013 MOODY'S: A3 S&P: BBB+ CUSIP: 025816AQ2 ORIGINAL UNIT/TOTAL COST: 100.1907/50,095.35	06/05/08	50,000	50,045.43	106.8740	53,437.00	3,391.57	291.15	2,438	4.56
CITIGROUP INC SUBORDINATED GLB 05.000% SEP 15 2014 MOODY'S: BAA1 S&P: A CUSIP: 172967CQ2	06/04/09	50,000	43,569.35	105.1500	52,575.00	9,005.65	1,131.94	2,500	4.75
Δ HEALTH CARE PPTY INV INC 06.000% MAR 01 2015 MOODY'S: BAA2 S&P: BBB CUSIP: 421915EC9 ORIGINAL UNIT/TOTAL COST: 107.0847/53,542.35	06/23/10	50,000	53,072.83	109.5950	54,797.50	1,724.67	1,475.00	3,000	5.47
Δ BANK OF AMERICA CORP GLB 04.500% APR 01 2015 MOODY'S: A2 S&P: A CUSIP: 06051GEB1 ORIGINAL UNIT/TOTAL COST: 101.3967/50,698.35	06/23/10	50,000	50,607.17	104.4520	52,226.00	1,618.83	918.75	2,250	4.30



DOTY FAMILY FOUNDATION

24-Hour Assistance: (800) MERRILL

YOUR EMA ASSETS

February 01, 2011 - February 28, 2011

CORPORATE BONDS (continued)	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
Δ NABISCO INCORPORATED 07 550% JUN 15 2015 MOODY'S BAA2 S&P: BBB CUSIP: 629527AU6 ORIGINAL UNIT/TOTAL COST: 123,618.3/12,361.83	10,000	10,984.45	116.4920	11,649.20	664.75	153.10	755	6.48
Δ NATIONS BANK CORP SUBORDINATED 07 750% AUG 15 2015 MOODY'S: A3 S&P: A- CUSIP: 638585AN9 ORIGINAL UNIT/TOTAL COST: 123,331.5/49,332.62	40,000	44,020.90	115.2350	46,094.00	2,073.10	111.94	3,100	6.72
Δ JP MORGAN CHASE SUBORDINATED 05 150% OCT 01 2015 MOODY'S: A1 S&P: A CUSIP: 46625HDF4 ORIGINAL UNIT/TOTAL COST: 100,181.7/50,090.85	50,000	50,067.11	107.7280	53,864.00	3,796.89	1,051.46	2,575	4.78
Δ AMERICAN EXPRESS CREDIT SFR MTN 05 300% DEC 02 2015 MOODY'S: A2 S&P: BBB+ CUSIP: 0258M0B71 ORIGINAL UNIT/TOTAL COST: 101,815.7/50,907.85	50,000	50,719.34	108.0140	54,007.00	3,287.66	633.06	2,650	4.90
CITIGROUP INC GLB 05 300% JAN 07 2016 MOODY'S: A3 S&P: A CUSIP: 172967DE8	50,000	49,430.35	106.5560	53,278.00	3,847.65	375.42	2,650	4.97
Δ GOLDMAN SACHS GROUP INC GLB 05 350% JAN 15 2016 MOODY'S: A1 S&P: A CUSIP: 38141GEE0 ORIGINAL UNIT/TOTAL COST: 104,104.7/52,052.35	50,000	51,634.46	107.6450	53,822.50	2,188.04	319.51	2,675	4.97
GENERAL ELEC CAP CORP SFR MTN GLB 05 375% OCT 20 2016 MOODY'S: AA2 S&P: AA+ CUSIP: 36962GY40	75,000	74,615.60	109.5180	82,138.50	7,522.90	1,433.33	4,032	4.90
MERRILL LYNCH & CO GLB 06 400% AUG 28 2017 MOODY'S: A2 S&P: A CUSIP: 59018YJ69	50,000	46,705.35	109.8810	54,940.50	8,235.15		3,200	5.82

DOTY FAMILY FOUNDATION

YOUR EMA ASSETS

February 01, 2011 - February 28, 2011									
CORPORATE BONDS (continued)	Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income Yield%
Δ	WALT DISNEY COMPANY SER MTNB GLB 05.875% DEC 15 2017 MOODY'S: A2 S&P: A CUSIP: 25468PCB0 ORIGINAL UNIT/TOTAL COST: 104,6437/52,321.85	06/05/08	50,000	51,770.44	114.8200	57,410.00	5,639.56	595.66	2,938 5.11
	GOLDMAN SACHS GROUP INC GLB 05.950% JAN 18 2018 MOODY'S: A1 S&P: A CUSIP: 38141GFG4	06/05/09	50,000	47,870.85	108.5630	54,281.50	6,410.65	330.56	2,975 5.48
	PEPSICO INC SENIOR NOTES 05.000% JUN 01 2018 MOODY'S: AA3 S&P: A- CUSIP: 71344BBH0	06/05/08	50,000	49,277.35	109.4080	54,704.00	5,426.65	604.17	2,500 4.57
TOTAL			875,000	877,704.84		948,778.20	71,073.36	11,596.41	50,176 5.29

DOTY FAMILY FOUNDATION

24-Hour Assistance: (800) MERRILL

YOUR EMA ASSETS

February 01, 2011 - February 28, 2011

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual Income	Estimated Current Yield%
Description					Cost Basis						
CITIGROUP INC		C	03/30/00	1,879	42.0894		79,086.17	4.6800	8,793.72	(70,292.45)	
			03/19/08	1,121	20.3947		22,862.54	4.6800	5,246.28	(17,616.26)	
			09/23/09	7,000	4.7607		33,325.35	4.6800	32,760.00	(565.35)	
			06/17/10	10,000	4.0003		40,003.35	4.6800	46,800.00	6,796.65	
Subtotal				20,000			175,277.41		93,600.00	(81,677.41)	
COCA COLA COM		KO	06/20/07	2,000	51.7426		103,485.35	63.9200	127,840.00	24,354.65	3,761 2.94
COLGATE PALMOLIVE		CL	06/27/06	1,250	60.1242		75,155.35	78.5200	98,150.00	22,994.65	2,901 2.95
			06/25/08	250	69.6814		17,420.35	78.5200	19,630.00	2,209.65	581 2.95
Subtotal				1,500			92,575.70		117,780.00	25,204.30	3,482 2.95
CONSTELLATION ENERGY GP		CEG	06/10/03	800	33.9600		27,168.01	31.0700	24,856.00	(2,312.01)	768 3.08
			06/10/03	100	33.8900		3,389.00	31.0700	3,107.00	(282.00)	96 3.08
			06/10/03	100	33.9200		3,392.00	31.0700	3,107.00	(285.00)	96 3.08
			09/23/09	1,000	33.1032		33,103.25	31.0700	31,070.00	(2,033.25)	960 3.08
Subtotal				2,000			67,052.26		62,140.00	(4,912.26)	1,920 3.08
DISNEY (WALT) CO COM STK		DIS	06/10/03	2,945	20.4370		60,187.03	43.7400	128,814.30	68,627.27	1,179 .91
			06/10/03	300	20.4172		6,125.18	43.7400	13,122.00	6,996.82	120 .91
Subtotal				3,245			66,312.21		141,936.30	75,624.09	1,299 .91
EMERSON ELEC CO		EMR	09/27/01	2,000	22.9200		45,840.01	59.6600	119,320.00	73,479.99	2,760 2.31
EXXON MOBIL CORP COM		XOM	06/27/06	1,000	59.7237		59,723.76	85.5300	85,530.00	25,806.24	1,761 2.05
GENERAL ELECTRIC		GE	12/16/02	110	26.4000		2,904.00	20.9200	2,301.20	(602.80)	62 2.67
			06/25/08	890	28.3218		25,206.48	20.9200	18,618.80	(6,587.68)	499 2.67
			06/22/09	3,500	11.6615		40,815.35	20.9200	73,220.00	32,404.65	1,961 2.67
			09/23/09	3,000	17.3608		52,082.65	20.9200	62,760.00	10,677.35	1,681 2.67
Subtotal				7,500			121,008.48		156,900.00	35,891.52	4,203 2.67
GLAXOSMITHKLINE PLC ADR		GSK	08/23/05	1,722	47.7205		82,174.77	38.6100	66,486.42	(15,688.35)	3,513 5.28
			06/25/08	278	44.7391		12,437.47	38.6100	10,733.58	(1,703.89)	568 5.28
Subtotal				2,000			94,612.24		77,220.00	(17,392.24)	4,081 5.28
HOME DEPOT INC		HD	09/23/09	3,500	27.7807		97,232.55	37.4700	131,145.00	33,912.45	3,500 2.66



DOTY FAMILY FOUNDATION

YOUR EMA ASSETS

February 01, 2011 - February 28, 2011

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
Description											
INTEL CORP		INTC	03/30/00 12/16/02 12/16/02 12/16/02	750 3,000 485 15	56.4070 18.1900 18.2130 18.2100	42,305.26 54,570.00 8,833.31 273.15	21.4700 21.4700 21.4700 21.4700	16,102.50 64,410.00 10,412.95 322.05	(26,202.76) 9,840.00 1,579.64 48.90	543 2,172 352 11	3.37 3.37 3.37 3.37
Subtotal				4,250		105,981.72		91,247.50	(14,734.22)	3,078	3.37
INTL BUSINESS MACHINES CORP IBM		IBM	08/14/07	1,000	112.2653	112,265.35	161.8800	161,880.00	49,614.65	2,600	1.60
MAGNA INTL INC CL A VTG		MGA	12/28/10	1,500	52.1756	78,263.50	49.3600	74,040.00	(4,223.50)	1,500	2.02
MCDONALDS CORP COM		MCD	12/28/10	1,000	76.2727	76,272.75	75.6800	75,680.00	(592.75)	2,440	3.22
MERCK AND CO INC SHS		MRK	03/28/06 06/25/08	2,000 1,000	35.7798 36.8046	71,559.67 36,804.65	32.5700 32.5700	65,140.00 32,570.00	(6,419.67) (4,234.65)	3,041 1,521	4.66 4.66
Subtotal				3,000		108,364.32		97,710.00	(10,654.32)	4,562	4.66
MICROSOFT CORP		MSFT	09/29/00 06/22/09	2,277 1,723	30.0824 23.4331	68,497.85 40,375.24	26.5800 26.5800	60,522.66 45,797.34	(7,975.19) 5,422.10	1,458 1,103	2.40 2.40
Subtotal				4,000		108,873.09		106,320.00	(2,553.09)	2,561	2.40
MONSANTO CO NEW DEL COM		MON	09/23/09 06/17/10	1,000 500	78.1148 50.1087	78,114.85 25,054.35	71.8900 71.8900	71,890.00 35,945.00	(6,224.85) 10,890.65	1,120 560	1.55 1.55
Subtotal				1,500		103,169.20		107,835.00	4,665.80	1,680	1.55
MONSTER WORLDWIDE INC NATIONAL-OILWELL VARCO INC		MWW	03/26/10 NOV 12/28/10	4,000 1,250	16.9745 65.4418	67,898.37 81,802.35	17.1500 79.5700	68,600.00 99,462.50	701.63 17,660.15	551	.55
NOVARTIS ADR		NVS	09/23/09	1,750	49.1821	86,068.78	56.2700	98,472.50	12,403.72	3,494	3.54
OLIN CORP \$1 NEW		OLN	03/26/10	5,000	19.2856	96,428.35	18.6100	93,050.00	(3,378.35)	4,001	4.29
ORACLE CORP \$0 01 DEL		ORCL	06/20/07	4,000	19.7610	79,044.28	32.9000	131,600.00	52,555.72	800	.60
PEPSICO INC		PEP	05/25/06	1,500	60.4635	90,695.35	63.4200	95,130.00	4,434.65	2,880	3.02
PERKINELMER INC COM		PKI	06/17/10	3,000	22.9201	68,760.55	26.5000	79,500.00	10,739.45	840	1.05
PRICE T ROWE GROUP INC		TROW	06/17/10	1,600	49.1657	78,665.19	66.9800	107,168.00	28,502.81	1,984	1.85
PROCTER & GAMBLE CO		PG	03/30/00	1,562	29.2379	45,669.66	63.0500	98,484.10	52,814.44	3,010	3.05
RAYTHEON CO DELAWARE NEW		RTN	09/24/04	1,750	37.1100	64,942.51	51.2100	89,617.50	24,674.99	2,625	2.92
SCHLUMBERGER LTD		SLB	06/17/10	1,000	60.6593	60,659.35	93.4200	93,420.00	32,760.65	1,000	1.07
TEXAS INSTRUMENTS		TXN	12/18/09	4,000	25.2032	100,812.95	35.6100	142,440.00	41,627.05	2,081	1.46

DOTY FAMILY FOUNDATION

YOUR EMA ASSETS

24-Hour Assistance: (800) MERRILL

February 01, 2011 - February 28, 2011

EQUITIES (continued)										
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
UNITED TECHS CORP COM	UTX	06/27/97	1,250	21.2642	26,580.33	83.5400	104,425.00	77,844.67	2,126	2.03
WAL-MART STORES INC	WMT	06/20/07	2,000	48.8716	97,743.35	51.9800	103,960.00	6,216.65	2,420	2.32
TOTAL					3,164,395.82		3,805,935.90	641,540.08	79,342	2.08
LONG PORTFOLIO										
				Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%	
TOTAL				4,243,454.52	4,962,306.10	718,846.58	13,925.23	149,358	2.38	