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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust

OMB No 1545-0052

Treated as a Private Foundation

2010

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning

03/01, 2010, and ending

02/28, 2011

G Check all that apply

 Initial return Initial return of a former public charity Final return Amended return Address change Name change

Name of foundation

A Employer identification number

DOTY FAMILY FOUNDATION

13-2921496

Number and street (or P O box number if mail is not delivered to street address)

C/O BCRS ASSOCIATES, LLC  
100 WALL STREET, 11TH FLOOR

Room/suite

B Telephone number (see page 10 of the instructions)

(212) 440-0800

City or town, state, and ZIP code

NEW YORK, NY 10005

H Check type of organization  Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundationI Fair market value of all assets at end of year (from Part II, col. (c), line 16)  J Accounting method  Cash  Accrual  
16 ► \$ 6,387,456.  Other (specify)   
(Part I, column (d) must be on cash basis)C If exemption application is pending, check here ► D 1 Foreign organizations, check here ►   
2 Foreign organizations meeting the 85% test, check here and attach computation ► E If private foundation status was terminated under section 507(b)(1)(A), check here ► F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► 

## Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)	0.			
2 Check ► <input type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	3,659.	3,659.	0.	ATCH 1
4 Dividends and interest from securities	141,945.	141,945.	0.	ATCH 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	-172,236.			
b Gross sales price for all assets on line 6a	932,836.			
7 Capital gain net income (from Part IV, line 2)		0.		
8 Net short-term capital gain				
9 Income modifications				
10 a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	-26,632.	145,604.	0.	
13 Compensation of officers, directors, trustees, etc	18,000.	13,500.	0.	4,500.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16 a Legal fees (attach schedule)				
b Accounting fees (attach schedule) ATCH 3	7,400.	3,700.	0.	3,700.
c Other professional fees (attach schedule) *	30,077.	30,077.	0.	0.
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions)				
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) ATCH 5	16,219.	8,072.	0.	8,147.
24 Total operating and administrative expenses.				
Add lines 13 through 23	71,696.	55,349.	0.	16,347.
25 Contributions, gifts, grants paid	376,303.			376,303.
26 Total expenses and disbursements. Add lines 24 and 25	447,999.	55,349.	0.	392,650.
27 Subtract line 26 from line 12	-474,631.			
a Excess of revenue over expenses and disbursements				
b Net investment income (if negative, enter -0-)		90,255.		
c Adjusted net income (if negative, enter -0-)			0.	

For Paperwork Reduction Act Notice, see page 30 of the instructions.

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Form 990-PF (2010)

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PAGE 1

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OPERATING AND ADMINISTRATIVE EXPENSES

<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	<b>Beginning of year</b>	<b>End of year</b>	
			<b>(a) Book Value</b>	<b>(b) Book Value</b>	<b>(c) Fair Market Value</b>
<b>Assets</b>	1	Cash - non-interest-bearing . . . . .	563.	563.	563.
	2	Savings and temporary cash investments . . . . .	71,812.	123,260.	123,260.
	3	Accounts receivable ►			
		Less allowance for doubtful accounts ►			
	4	Pledges receivable ►			
		Less allowance for doubtful accounts ►			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ►			
		Less allowance for doubtful accounts ►			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10	a Investments - U S and state government obligations (attach schedule) . . . . .			
		b Investments - corporate stock (attach schedule) <b>ATTACH 6</b> . . . . .	4,135,065.	4,243,460.	4,962,306.
		c Investments - corporate bonds (attach schedule) . . . . .			
	11	Investments - land, buildings, and equipment basis ►			
	Less accumulated depreciation ►				
12	Investments - mortgage loans . . . . .				
13	Investments - other (attach schedule) . . . . .				
14	Land, buildings, and equipment basis ►				
	Less accumulated depreciation ►				
15	Other assets (describe ► <b>ATTACH 7</b> ) . . . . .	1,865,646.	1,231,175.	1,301,327.	
16	<b>Total assets</b> (to be completed by all filers - see the instructions Also, see page 1, item I) . . . . .	6,073,086.	5,598,458.	6,387,456.	
<b>Liabilities</b>	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons . . . . .			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ► . . . . .)			
	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .	0.	0.	
		<b>Foundations that follow SFAS 117, check here ► <input type="checkbox"/></b> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
26	Permanently restricted . . . . .				
	<b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ► <input checked="" type="checkbox"/></b>				
27	Capital stock, trust principal, or current funds . . . . .	6,073,086.	5,598,458.		
28	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .				
29	Retained earnings, accumulated income, endowment, or other funds . . . . .	0.			
30	<b>Total net assets or fund balances</b> (see page 17 of the instructions) . . . . .	6,073,086.	5,598,458.		
31	<b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions) . . . . .	6,073,086.	5,598,458.		
<b>Net Assets or Fund Balances</b>	1				
	2				
	3				
	4				
	5				
	6				

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	6,073,086.
2	Enter amount from Part I, line 27a . . . . .	2	-474,631.
3	Other increases not included in line 2 (itemize) ► <b>ATTACHMENT 8</b> . . . . .	3	3.
4	Add lines 1, 2, and 3 . . . . .	4	5,598,458.
5	Decreases not included in line 2 (itemize) ► . . . . .	5	0.
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6	5,598,458.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a SEE PART IV SCHEDULE</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>(e) Gross sales price</b>	<b>(f) Depreciation allowed (or allowable)</b>	<b>(g) Cost or other basis plus expense of sale</b>	<b>(h) Gain or (loss) (e) plus (f) minus (g)</b>		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
<b>(i) F M V as of 12/31/69</b>	<b>(j) Adjusted basis as of 12/31/69</b>	<b>(k) Excess of col (l) over col (j), if any</b>			
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>2 Capital gain net income or (net capital loss)</b>	<b>{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }</b>		<b>2</b>	<b>-172,236.</b>	
<b>3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)</b> If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8 . . . . .	<b>{ If gain, also enter in Part I, line 8 If (loss), enter -0- in Part I, line 8 . . . . . }</b>		<b>3</b>	<b>0.</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	631,440.	5,932,663.	0.106434
2008	480,515.	6,654,688.	0.072207
2007	778,645.	8,160,687.	0.095414
2006	477,784.	7,960,537.	0.060019
2005	578,414.	7,936,980.	0.072876

- 2 Total of line 1, column (d) . . . . . 2 0.406950
- 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . . 3 0.081390
- 4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5 . . . . . 4 5,981,428.
- 5 Multiply line 4 by line 3 . . . . . 5 486,828.
- 6 Enter 1% of net investment income (1% of Part I, line 27b) . . . . . 6 903.
- 7 Add lines 5 and 6 . . . . . 7 487,731.
- 8 Enter qualifying distributions from Part XII, line 4 . . . . . 8 392,650.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1 . . . Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)	1, 805.
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)	
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . . .	
3	Add lines 1 and 2 . . . . .	3, 1, 805.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . . .	4, 0.
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- . . . . .	5, 1, 805.
6 Credits/Payments		
a	2010 estimated tax payments and 2009 overpayment credited to 2010 . . . . .	6a, 4, 181.
b	Exempt foreign organizations-tax withheld at source . . . . .	6b, 0.
c	Tax paid with application for extension of time to file (Form 8868) . . . . .	6c, 0.
d	Backup withholding erroneously withheld . . . . .	6d
7	Total credits and payments Add lines 6a through 6d . . . . .	7, 4, 181.
8	Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached . . . . .	8
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .	9
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . . .	10, 2, 376.
11	Enter the amount of line 10 to be Credited to 2011 estimated tax ► 2, 376. Refunded ►	11

**Part VII-A Statements Regarding Activities**

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	Yes	No
1a		X	
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .	Yes	No
1b		X	
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
1c	Did the foundation file Form 1120-POL for this year? . . . . .	Yes	No
1c		X	
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► \$ 0. (2) On foundation managers ► \$ 0.		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ 0.		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .	Yes	No
2		X	
If "Yes," attach a detailed description of the activities			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .	Yes	No
3		X	
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	Yes	No
4a		X	
b	If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .	Yes	No
b			X
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .	Yes	No
5		X	
If "Yes," attach the statement required by General Instruction T			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	Yes	No
6		X	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	Yes	No
7		X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ► NY,		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation . . . . .	Yes	No
b		X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV . . . . .	Yes	No
9		X	
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .	Yes	No
10		X	

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions) . . . . .	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? . . . . .	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . .	13	X	
Website address ► N/A				
14	The books are in care of ► BCRS ASSOCIATES, LLC Located at ► 100 WALL STREET, 11TH FLOOR, NEW YORK, NY	Telephone no ►	212-440-0800	
		ZIP + 4 ►	10005	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . .	15		
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	16	Yes	No X
See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-221. If "Yes," enter the name of the foreign country ►				

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.				
			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . .	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . .	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . .	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). . . . .	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? . . . . .	1b	N/A	
c	Organizations relying on a current notice regarding disaster assistance check here . . . . .			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010? . . . . .	1c	N/A	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? . . . . .	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
b	If "Yes," list the years ►			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 22 of the instructions) . . . . .	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010) . . . . .	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .	4a	X	
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010? . . . . .	4b	X	

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5 a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? . . . . .  Yes  No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . .  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes? . . . . .  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) . . . . .  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? . . . . . 5b *N/A*

Organizations relying on a current notice regarding disaster assistance check here ►

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . . *N/A*  Yes  No  
If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .  Yes  No 6b *X*

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . . If "Yes" to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . . . .  Yes  No 7b *N/A*  
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 9		18,000.	0.	0.
-----				
-----				
-----				
-----				
-----				

**2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
N/A		0.	0.	0.
-----				
-----				
-----				
-----				
-----				

Total number of other employees paid over \$50,000 ►

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE	NONE	0.

Total number of others receiving over \$50,000 for professional services . . . . . ►

## Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1	PLEASE NOTE, THE FOUNDATION IS NOT INVOLVED IN ANY DIRECT CHARITABLE ACTIVITIES. ITS PRIMARY PURPOSE IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS EXEMPT UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE.	0.
2	N/A	0.
3	N/A	0.
4	N/A	0.

**Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2		Amount
1	N/A	0.
2	N/A	0.
All other program-related investments See page 24 of the instructions		0.
3	N/A	0.
<b>Total. Add lines 1 through 3 . . . . .</b>		0.

Form 990-PF (2010)

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes	
a Average monthly fair market value of securities	1a 5,300,398.
b Average of monthly cash balances	1b 772,118.
c Fair market value of all other assets (see page 25 of the instructions)	1c 0.
d Total (add lines 1a, b, and c)	1d 6,072,516.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e
2 Acquisition indebtedness applicable to line 1 assets	2 0.
3 Subtract line 2 from line 1d	3 6,072,516.
4 Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4 91,088.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5 5,981,428.
6 Minimum investment return. Enter 5% of line 5	6 299,071.

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ►  and do not complete this part)

1 Minimum investment return from Part X, line 6	1 299,071.
2a Tax on investment income for 2010 from Part VI, line 5	2a 1,805.
b Income tax for 2010 (This does not include the tax from Part VI)	2b
c Add lines 2a and 2b	2c 1,805.
3 Distributable amount before adjustments Subtract line 2c from line 1	3 297,266.
4 Recoveries of amounts treated as qualifying distributions	4
5 Add lines 3 and 4	5 297,266.
6 Deduction from distributable amount (see page 25 of the instructions)	6
7 Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7 297,266.

**Part XII Qualifying Distributions** (see page 25 of the instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes	
a Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a 392,650.
b Program-related investments - total from Part IX-B	1b 0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2 0.
3 Amounts set aside for specific charitable projects that satisfy the	
a Suitability test (prior IRS approval required)	3a 0.
b Cash distribution test (attach the required schedule)	3b 0.
4 Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4 392,650.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5 N/A
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6 392,650.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income (see page 26 of the instructions)**

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7 . . . . .				297,266.
2 Undistributed income, if any, as of the end of 2010			0.	
a Enter amount for 2009 only . . . . .		0.		
b Total for prior years 20 ____,20 ____,20 ____		0.		
3 Excess distributions carryover, if any, to 2010				
a From 2005 . . . . .	191,139.			
b From 2006 . . . . .	96,964.			
c From 2007 . . . . .	379,471.			
d From 2008 . . . . .	151,872.			
e From 2009 . . . . .	335,485.			
f Total of lines 3a through e . . . . .	1,154,931.			
4 Qualifying distributions for 2010 from Part XII, line 4 ► \$ 392,650.			0.	
a Applied to 2009, but not more than line 2a . . . . .		0.		
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions) . . . . .		0.		
c Treated as distributions out of corpus (Election required - see page 26 of the instructions) . . . . .	0.			
d Applied to 2010 distributable amount . . . . .				297,266.
e Remaining amount distributed out of corpus . . . . .	95,384.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))		0.		0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,250,315.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0.		
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions . . . . .		0.		
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions . . . . .			0.	
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011 . . . . .				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) . . . . .		0.		
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions) . . . . .	191,139.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a . . . . .	1,059,176.			
10 Analysis of line 9				
a Excess from 2006 . . . . .	96,964.			
b Excess from 2007 . . . . .	379,471.			
c Excess from 2008 . . . . .	151,872.			
d Excess from 2009 . . . . .	335,485.			
e Excess from 2010 . . . . .	95,384.			

**Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE**

1 a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling				►
b	Check box to indicate whether the foundation is a private operating foundation described in section				<input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)
2 a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed				
b	85% of line 2a				
c	Qualifying distributions from Part XII line 4 for each year listed				
d	Amounts included in line 2c not used directly for active conduct of exempt activities				
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c				
3	Complete 3a, b, or c for the alternative test relied upon				
a	"Assets" alternative test - enter				
(1)	Value of all assets				
(2)	Value of assets qualifying under section 4942(j)(3)(B)(i)				
b	"Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c	"Support" alternative test - enter				
(1)	Total support other than gross investment income (interest, dividends, rents, payments on securities, loans (section 512(a)(5)) or royalties)				
(2)	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii)				
(3)	Largest amount of support from an exempt organization				
(4)	Gross investment income				

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)****1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

SEE STATEMENT ATTACHED

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ►  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i> SEE LIST ATTACHED				376,303.
<b>Total</b> . . . . .			► 3a	376,303.
<i>b Approved for future payment</i> NONE				0.
<b>Total</b> . . . . .			► 3b	0.

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated

**1 Program service revenue**

a \_\_\_\_\_  
 b \_\_\_\_\_  
 c \_\_\_\_\_  
 d \_\_\_\_\_  
 e \_\_\_\_\_  
 f \_\_\_\_\_

g Fees and contracts from government agencies

**2 Membership dues and assessments****3 Interest on savings and temporary cash investments****4 Dividends and interest from securities****5 Net rental income or (loss) from real estate**

a Debt-financed property . . . . .  
 b Not debt-financed property . . . . .

**6 Net rental income or (loss) from personal property****7 Other investment income****8 Gain or (loss) from sales of assets other than inventory****9 Net income or (loss) from special events****10 Gross profit or (loss) from sales of inventory****11 Other revenue**

a \_\_\_\_\_  
 b \_\_\_\_\_  
 c \_\_\_\_\_  
 d \_\_\_\_\_  
 e \_\_\_\_\_

**12 Subtotal Add columns (b), (d), and (e)****13 Total. Add line 12, columns (b), (d), and (e)**

(See worksheet in line 13 instructions on page 29 to verify calculations)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 29 of the instructions)

N/A

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . .  Yes  No

**b If "Yes," complete the following schedule.**

(a) Name of organization	(b) Type of organization	(c) Description of relationship
	N/A	

**Sign Here**  Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge  
1-51211 TRUSTEE

<b>Sign Here</b>	► <i>George E. Doty</i> Signature of officer or trustee
----------------------	--

5/2/11

**TRUSTEE**

<b>Paid Preparer Use Only</b>	Print/Type preparer's name <b>WILLIAM RABETZ</b>	Preparer's signature 	Date <b>4/21/11</b>	Check <input type="checkbox"/> if self-employed	PTIN <b>P00963994</b>
	Firm's name ► BCRS ASSOCIATES, LLC	Firm's EIN ► 13-4078147			
	Firm's address ► 100 WALL STREET - 11TH FL NEW YORK, NY	10005	Phone no	212-440-0800	



<b>Part III Summary of Parts I and II</b> <b>Caution: Read the instructions before completing this part.</b>		<b>(1) Beneficiaries' (see instr.)</b>	<b>(2) Estate's or trust's</b>	<b>(3) Total</b>
13 Net short-term gain or (loss) . . . . .	13			28,010.
14 Net long-term gain or (loss):				
a Total for year . . . . .	14a			-200,246.
b Unrecaptured section 1250 gain (see line 18 of the wrksht) . . . . .	14b			
c 28% rate gain . . . . .	14c			
15 Total net gain or (loss). Combine lines 13 and 14a ►	15			-172,236.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the Capital Loss Carryover Worksheets necessary.

<b>Part IV Capital Loss Limitation</b>	
16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of a The loss on line 15, column (3) or b \$3,000 . . . . .	16 ( 3,000.)
Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the Capital Loss Carryover Worksheet on page 7 of the instructions to figure your capital loss carryover.	

#### **Part V Tax Computation Using Maximum Capital Gains Rates**

Form 1041 filers. Complete this part only if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the worksheet on page 8 of the instructions if

- Either line 14b, col (2) or line 14c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

Form 990-T trusts. Complete this part only if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero.

17 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) . . . . .	17			
18 Enter the smaller of line 14a or 15 in column (2) but not less than zero . . . . .	18			
19 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . . . . .	19			
20 Add lines 18 and 19 . . . . .	20			
21 If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ►	21			
22 Subtract line 21 from line 20 If zero or less, enter -0- . . . . .	22			
23 Subtract line 22 from line 17 If zero or less, enter -0- . . . . .	23			
24 Enter the smaller of the amount on line 17 or \$2,300 . . . . .	24			
25 Is the amount on line 23 equal to or more than the amount on line 24? <input type="checkbox"/> Yes. Skip lines 25 and 26, go to line 27 and check the "No" box <input type="checkbox"/> No. Enter the amount from line 23 . . . . .	25			
26 Subtract line 25 from line 24 . . . . .	26			
27 Are the amounts on lines 22 and 26 the same? <input type="checkbox"/> Yes. Skip lines 27 thru 30 go to line 31 <input type="checkbox"/> No. Enter the smaller of line 17 or line 22 . . . . .	27			
28 Enter the amount from line 26 (If line 26 is blank, enter -0-) . . . . .	28			
29 Subtract line 28 from line 27 . . . . .	29			
30 Multiply line 29 by 15% (15) . . . . .	30			
31 Figure the tax on the amount on line 23 Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . .	31			
32 Add lines 30 and 31 . . . . .	32			
33 Figure the tax on the amount on line 17 Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . .	33			
34 Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) . . . . .	34			

Schedule D (Form 1041) 2010

**Continuation Sheet for Schedule D  
(Form 1041)**

2010

► See instructions for Schedule D (Form 1041).

► Attach to Schedule D to list additional transactions for lines 1a and 6a.

**Name of estate or trust**

DOTY FAMILY FOUNDATION

**Employer identification number**  
13-2921496

## Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . . 28,010.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1941) 2019

JSA  
0F1221 1 000

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

**Employer identification number**

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

**6b Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . . . -200, 246.

**Schedule D-1 (Form 1041) 2010**

JSA  
0F1222 1 000

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				(P or D)	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis			
82,504.		STCG - SEE STATEMENT ATTACHED PROPERTY TYPE: SECURITIES 54,494.				P	VARIOUS	VARIOUS 28,010.
850,332.		LTC(L) - SEE STATEMENT ATTACHED PROPERTY TYPE: SECURITIES 1,050,578.				P	VARIOUS	VARIOUS -200,246.
TOTAL GAIN (LOSS) .....								<u>-172,236.</u>

**DOTY FAMILY FOUNDATION**

**13-2921496**

**SUPPLEMENTARY STATEMENTS**

**PART: XV**

**LINE: 2**

**SUPPLEMENTAL INFORMATION**

THE ORGANIZATION'S PRIMARY ACTIVITY IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS QUALIFYING FOR EXEMPTION UNDER SECTION 501(c)(3) OF THE INTERNAL REVENUE CODE. THE TRUSTEES CHOOSE THESE ORGANIZATIONS BASED UPON THEIR KNOWLEDGE OF THE ORGANIZATIONS' ACTIVITIES. NO CONTRIBUTIONS, GRANTS, GIFTS, LOANS OR SCHOLARSHIPS ARE MADE TO INDIVIDUALS. THE ORGANIZATION DOES NOT PRESENTLY RECEIVE APPLICATIONS OR CONDUCT PROGRAMS FOR DONATIONS IN ITS ACTIVITIES.

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>
INTEREST ON CREDIT BALANCE	3,653. 6.	3,653. 6.	0. 0.
INTEREST ON CHECKING			
TOTAL	<u>3,659.</u>	<u>3,659.</u>	<u>0.</u>

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIESATTACHMENT 2

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>
DIVIDENDS THRU MERRILL LYNCH INTEREST ON BONDS THRU MERRILL LYNCH FOREIGN DIVIDENDS THRU MERRILL LYNCH DIVIDENDS - THORNBURG INT'L FD CL A	70,339. 61,792. 8,075. 1,739.	70,339. 61,792. 8,075. 1,739.	0. 0. 0. 0.
TOTAL	<u>141,945.</u>	<u>141,945.</u>	<u>0.</u>

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
BCRS ASSOCIATES, LLC	7,400.	3,700.	0.	3,700.
TOTALS	<u>7,400.</u>	<u>3,700.</u>	<u>0.</u>	<u>3,700.</u>

ATTACHMENT 4FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
INVESTMENT MANAGEMENT FEES ANNUAL FEE-MERRILL LYNCH	29,927. 150.	29,927. 150.	0. 0.	0. 0.
TOTALS	<u>30,077.</u>	<u>30,077.</u>	<u>0.</u>	<u>0.</u>

FORM 990PF, PART I - OTHER EXPENSESATTACHMENT 5

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
AMORTIZATION	7,374.	7,374.	0.	0.
NYS FILING FEE	250.	0.	0.	250.
WIRE TRANSFER FEES	180.	180.	0.	0.
PUBLICATION NOTICE FEE	125.	0.	0.	125.
FOREIGN TAX PAID	518.	518.	0.	0.
BANK CHARGES	90.	0.	0.	90.
FADICA ANNUAL MEMBERSHIP DUES	7,500.	0.	0.	7,500.
POSTAGE AND SHIPPING	36.	0.	0.	36.
COMPUTER TECHNOLOGY	146.	0.	0.	146.
TOTALS	<u>16,219.</u>	<u>8,072.</u>	<u>0.</u>	<u>8,147.</u>

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 6

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SEE LONG POSITION ATTACHED	4,135,065.	4,243,460.	4,962,306.
TOTALS	<u>4,135,065.</u>	<u>4,243,460.</u>	<u>4,962,306.</u>

FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ML BANK DEPOSIT PROGRAM	878,037.	568,488.	568,488.
ISHARES TRUST S&P MIDCAP	341,428.	160,220.	193,080.
ISHARES S&P SMALLCAP 600	341,746.	196,293.	214,770.
THORNBURG INT'L VALUE FD CL A	304,435.	306,174.	324,989.
TOTALS	<u>1,865,646.</u>	<u>1,231,175.</u>	<u>1,301,327.</u>

ATTACHMENT 7

DOTY FAMILY FOUNDATION

13-2921496

ATTACHMENT 8

**FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES**

DESCRIPTION AMOUNT

ROUNDING 3.  
TOTAL 3.

## DOTY FAMILY FOUNDATION

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

13-2921496

ATTACHMENT 9

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>		<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
		<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	
GEORGE E. DOTY C/O BCRS ASSOCIATES, LLC 100 WALL STREET, 11TH FLOOR NEW YORK, NY 10005	TRUSTEE / PART-TIME	0.	0.	0.
BARBARA E. DOTY C/O BCRS ASSOCIATES, LLC 100 WALL STREET, 11TH FLOOR NEW YORK, NY 10005	TRUSTEE / PART-TIME	0.	0.	0.
GEORGE E. DOTY, JR. C/O BCRS ASSOCIATES, LLC 100 WALL STREET, 11TH FLOOR NEW YORK, NY 10005	TRUSTEE / PART-TIME	0.	0.	0.
WILLIAM W. DOTY C/O BCRS ASSOCIATES, LLC 100 WALL STREET, 11TH FLOOR NEW YORK, NY 10005	TRUSTEE / PART-TIME	0.	0.	0.
ANNE MARIE PAINE C/O BCRS ASSOCIATES, LLC 100 WALL STREET, 11TH FLOOR NEW YORK, NY 10005	TRUSTEE / PART-TIME	18,000.	0.	0.

## ATTACHMENT 9 (CONT'D)

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
VIRGINIA M. DOTY C/O BCRS ASSOCIATES, LLC 100 WALL STREET, 11TH FLOOR NEW YORK, NY 10005	TRUSTEE / PART-TIME	0.	0.	0.
	GRAND TOTALS	<u><u>18,000.</u></u>	<u><u>0.</u></u>	<u><u>0.</u></u>

**DOTY FAMILY FOUNDATION**  
**EIN: 13-2921496**  
**MARCH 2010 -- FEBRUARY 2011 CONTRIBUTIONS**

<b>DATE</b>	<b>NAME OF CHARITY</b>	<b>CITY</b>	<b>STATE</b>	<b>AMOUNT</b>
3/3/2010	Middlebury College Women's Lacrosse	Middlebury	VT	\$150.00
3/3/2010	New York City Ballet	New York	NY	\$375.00
3/8/2010	Girl Scouts Southern NJ Troop 70833	Lawrenceville	NJ	\$150.00
3/9/2010	St. Lawrence Church	Shelton	CT	\$600.00
3/10/2010	UJA Federation of New York	New York	NY	\$750.00
3/15/2010	Girl Scouts Northern NJ - Oradell Girl Scouts Troop 1092	Oradell	NJ	\$150.00
3/15/2010	Manhasset Parents Association for Special Education Manhasset School District	Manhasset	NY	\$300.00
3/17/2010	Asia Society	New York	NY	\$300.00
3/18/2010	Doctors Without Borders	New York	NY	\$3,750.00
3/22/2010	American Heart Association	Dallas	TX	\$750.00
3/30/2010	Americares	Stamford	CT	\$750.00
3/31/2010	Greenwich Council BSA	Greenwich	CT	\$300.00
4/2/2010	Heartsong, Inc.	Scarsdale	NY	\$375.00
4/7/2010	St. Lawrence Church	Shelton	CT	\$3,750.00
4/13/2010	St. Lawrence Church	Shelton	CT	\$600.00
4/22/2010	Jewish Community Relations Council of New York	New York	NY	\$750.00
4/22/2010	Catholic Big Sisters & Big Brothers	New York	NY	\$3,750.00
4/23/2010	Barrington High School Choir	Barrington	IL	\$150.00
4/26/2010	College of Mount Saint Vincent	Riverdale	NY	\$2,250.00
4/29/2010	Annual Bishop's Appeal - Diocese of Bridgeport	Bridgeport	CT	\$150.00
5/6/2010	Parkinson's Unity Walk	Kingston	NJ	\$375.00
5/7/2010	Per Scholas	New York	NY	\$7,500.00
5/10/2010	St. Lawrence Church	Shelton	CT	\$600.00
5/10/2010	Redeemer Church	New York	NY	\$150.00
5/12/2010	Abraham Joshua Heschel School	New York	NY	\$750.00
5/12/2010	St. Lawrence Church	Shelton	CT	\$6,000.00
5/13/2010	Greenwich Council BSA	Greenwich	CT	\$750.00
5/13/2010	Greenwich Council BSA	Greenwich	CT	\$1,500.00
5/13/2010	Greenwich Council BSA	Greenwich	CT	\$750.00
5/14/2010	Amherst College	Amherst	MA	\$750.00
5/26/2010	Greenwich Council BSA	Greenwich	CT	\$750.00
5/27/2010	Alzheimer's Association	Chicago	IL	\$150.00
6/7/2010	Lawrenceville Presbyterian Nursery School	Lawrenceville	NJ	\$300.00
6/16/2010	Susan Komen Race for the Cure	New York	NY	\$150.00
6/8/2010	The University of Chicago	Chicago	IL	\$9,375.00
6/9/2010	Revolution Fitness Youth Boxing	Stamford	CT	\$150.00
6/7/2010	Prep for Prep	New York	NY	\$750.00
6/7/2010	St. Lawrence Church	Shelton	CT	\$600.00
6/11/2010	Harrison Athletic Facilities Citizens Organization	Harrison	NY	\$12,975.00
6/24/2010	Harvard Business School	Cambridge	MA	\$1,492.50
6/30/2010	Collegiate School	New York	NY	\$750.00
7/1/2010	Convent of the Sacred Heart	New York	NY	\$150.00
7/2/2010	Connecticut Children's Foundation	Hartford	CT	\$1,500.00
7/6/2010	St. Lawrence Church	Shelton	CT	\$600.00
7/7/2010	Catholic Near East Welfare Association	New York	NY	\$76,842.00
8/2/2010	St. Lawrence Church	Shelton	CT	\$600.00

**DOTY FAMILY FOUNDATION**  
**EIN: 13-2921496**  
**MARCH 2010 -- FEBRUARY 2011 CONTRIBUTIONS**

<u>DATE</u>	<u>NAME OF CHARITY</u>	<u>CITY</u>	<u>STATE</u>	<u>AMOUNT</u>
8/4/2010	Blowing Rock Community Library	Blowing Rock	NC	\$750.00
8/5/2010	The Museum of Jewish Heritage	New York	NY	\$150.00
8/13/2010	Pan-Mass Challenge	Needham	MA	\$375.00
8/23/2010	University of Pennsylvania Newman Center	Philadelphia	PA	\$150.00
8/24/2010	Mt Sinai Adolescent Health Center	New York	NY	\$375.00
8/24/2010	Johns Hopkins University	Baltimore	MD	\$7,500.00
9/2/2010	St. Lawrence Church	Shelton	CT	\$375.00
9/13/2010	St. Lawrence Church	Shelton	CT	\$600.00
9/16/2010	Greenwich Council BSA	Greenwich	CT	\$750.00
9/23/2010	Georgetown University Hoya Hoop Club	Washington	DC	\$390.00
9/23/2010	Wharton Club of New York	New York	NY	\$750.00
10/4/2010	St. Lawrence Church	Shelton	CT	\$600.00
10/7/2010	Georgetown University Annual Fund	Washington	DC	\$375.00
10/12/2010	St. Lawrence Church	Shelton	CT	\$2,250.00
10/19/2010	Grace Church Community Center	White Plains	NY	\$9,000.00
10/26/2010	Most Holy Trinity Church	East Hampton	NY	\$150.00
11/8/2010	St. Lawrence Church	Shelton	CT	\$600.00
11/9/2010	Harvard Business School	Cambridge	MA	\$6,000.00
11/12/2010	Robin Hood Foundation	New York	NY	\$833.34
11/12/2010	Boys & Girls Clubs of Metropolitan Phoenix	Phoenix	AZ	\$375.00
11/17/2010	The Dalton School	New York	NY	\$1,875.00
11/22/2010	Mount Carmel Guild	Trenton	NJ	\$150.00
11/23/2010	Collegiate School	New York	NY	\$1,875.00
11/23/2010	Mount Sinai SAVI	New York	NY	\$300.00
12/1/2010	Catholic Charities	New York	NY	\$2,062.50
12/1/2010	Trustees of the University of Pennsylvania	Philadelphia	PA	\$5,625.00
12/1/2010	Trustees of the University of Pennsylvania	Philadelphia	PA	\$75,000.00
12/2/2010	Babson College	Babson	MA	\$300.00
12/6/2010	St. Lawrence Church	Shelton	CT	\$600.00
12/6/2010	Cornell University Kheel Center	Ithaca	NY	\$150.00
12/7/2010	Girl Scouts Princeton Service Unit	Princeton	NJ	\$600.00
12/7/2010	Schenectady Theater for Children	Schenectady	NY	\$375.00
12/8/2010	Greenwich Council BSA	Greenwich	CT	\$1,500.00
12/8/2010	Trustees of Amherst College	Amherst	MA	\$750.00
12/8/2010	New York Youth Symphony	New York	NY	\$750.00
12/13/2010	American Jewish Committee (AJC)	New York	NY	\$750.00
12/15/2010	Trustees of the University of Pennsylvania	Philadelphia	PA	\$750.00
12/16/2010	Connecticut Food Bank	Fairfield	CT	\$750.00
12/22/2010	Rosemont College	Rosemont	PA	\$750.00
12/23/2010	Women of Vision Fairfield County	Greenwich	CT	\$750.00
12/23/2010	St. Lawrence Church	Shelton	CT	\$7,500.00
12/23/2010	Asian Relief	Greenbelt	MD	\$1,500.00
12/24/2010	The Public Theater	New York	NY	\$1,875.00
12/27/2010	Fish of Stamford	Stamford	CT	\$375.00
12/28/2010	Trustees of Amherst College	Amherst	MA	\$750.00
12/28/2010	Trustees of Amherst College	Amherst	MA	\$750.00
12/28/2010	Yale Law School	New Haven	CT	\$750.00

**DOTY FAMILY FOUNDATION**  
**EIN: 13-2921496**  
**MARCH 2010 -- FEBRUARY 2011 CONTRIBUTIONS**

<u>DATE</u>	<u>NAME OF CHARITY</u>	<u>CITY</u>	<u>STATE</u>	<u>AMOUNT</u>
12/29/2010	St. Andrew Church	Sag Harbor	NY	\$7,500.00
12/29/2010	Heifer International	Little Rock	AR	\$1,020.00
12/30/2010	Emily N. Carey School	New York	NY	\$750.00
12/30/2010	Asian Relief	Greenbelt	MD	\$3,000.00
12/30/2010	The Moyer Foundation	Seattle	WA	\$750.00
12/31/2010	The Gracie Mansion Conservancy	New York	NY	\$1,875.00
1/4/2011	St. John the Martyr	New York	NY	\$7,500.00
1/4/2011	Saint Thomas More Building Fund	New Haven	CT	\$750.00
1/5/2011	Collegiate School	New York	NY	\$750.00
1/5/2011	University of Connecticut School of Nursing	Storrs	CT	\$150.00
1/6/2011	Johns Hopkins Parents Fund	Baltimore	MD	\$3,750.00
1/7/2011	College of Mount Saint Vincent	Riverdale	NY	\$3,750.00
1/10/2011	Connecticut Food Bank	Fairfield	CT	\$750.00
1/11/2011	Metropolitan Museum of Art	New York	NY	\$412.50
1/11/2011	The Frick Collection	New York	NY	\$375.00
1/19/2011	Catholic Near East Welfare Association	New York	NY	\$30,000.00
1/24/2011	St. Lawrence Church	Shelton	CT	\$600.00
1/26/2011	The Dalton School	New York	NY	\$375.00
2/1/2011	St. Andrew Church	Sag Harbor	NY	\$1,800.00
2/3/2011	Columbia Business School	New York	NY	\$7,500.00
2/7/2011	School of the Holy Child	Rye	NY	\$750.00
2/7/2011	St. Lawrence Church	Shelton	CT	\$600.00
2/8/2011	St. Joseph Parish	Boston	MA	\$1,200.00
2/10/2011	Georgetown University Annual Fund	Washington	DC	\$600.00
2/11/2011	New York City Ballet	New York	NY	\$375.00
2/14/2011	Catholic Big Sisters & Big Brothers	New York	NY	\$750.00
2/17/2011	The Tiny Miracles Foundation	Darien	CT	\$375.00
2/18/2011	Bishop's Annual Appeal - Diocese of Trenton	Trenton	NJ	\$1,050.00
2/25/2011	Cambridge in America	New York	NY	\$9,000.00
2/28/2011	Carnegie Hall Friends	New York	NY	\$375.00

**TOTAL CONTRIBUTIONS PAID \*** **\$376,302.84**

*\*ALL CONTRIBUTIONS WERE MADE TO THE GENERAL PURPOSE  
 FUND OF PUBLIC CHARITABLE ORGANIZATIONS THAT WERE  
 CLASSIFIED UNDER SECTION 501(c)(3) OF THE INTERNAL REVENUE  
 CODE*



## Fiscal Statement

## REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
2,000.0000	ARCHER DANIELS MIDLD	02/01/06	05/03/10	55,486.89	90,916.35	(35,479.46) LT
1,000.0000	ARCHER DANIELS MIDLD	06/25/08	05/03/10	27,718.45	33,695.35	(5,976.90) LT
1,750.0000	BARCLAYS PLC ADR	09/06/06	05/03/10	88,258.90	(52,242.14) LT	
1,000.0000	BARCLAYS PLC ADR	03/19/08	05/03/10	32,932.35	(12,351.34) LT	
500.0000	AMGEN INC COM PV \$0.0001	03/08/09	06/17/10	7,621.07	20,003.11	(12,382.04) LT
2,600.0000	AVON PROD INC	03/26/07	06/17/10	74,432.10	98,841.23	(24,409.13) LT
400.0000	AVON PROD INC	06/25/08	06/17/10	11,451.10	14,670.35	(3,219.25) LT
1,262.0000	ISHARES TRUST S&P MIDCAP	04/04/06	06/09/10	92,686.98	101,098.76	(8,411.78) LT
1,223.0000	ISHARES S&P SMALLCAP 600	04/05/06	06/09/10	67,563.13	80,022.14	(12,458.96) LT
110.0000	GENERAL ELECTRIC	09/27/01	06/17/10	1,736.63	3,984.21	(2,247.58) LT
1,500.0000	GENERAL ELECTRIC	12/06/01	06/17/10	1,681.39	56,760.00	(55,078.61) LT
890.0000	MICROSOFT CORP	12/13/02	06/17/10	23,496.00	23,496.00	(9,445.04) LT
777.0000	MICROSOFT CORP	07/28/00	06/17/10	20,376.75	20,376.75	(0.00) LT
223.0000	MICROSOFT CORP	09/29/00	06/17/10	5,848.16	6,708.40	(860.24) LT
1,478.0000	STATE STREET CORP	11/18/05	06/19/10	54,385.61	85,517.34	(31,131.73) LT
5,115.0000	SCHWAB CHARLES CORP NEW	10/27/05	06/19/10	80,659.90	74,428.47	6,233.43 LT
500.0000	BORG WARNER INC	06/17/10	12/28/10	35,746.54	20,545.24	15,201.30 ST
1,000.0000	ISHARES TRUST S&P MIDCAP	04/06/06	12/28/10	80,629.12	80,109.98	10,519.16 LT
1,000.0000	ISHARES S&P SMALLCAP 600	04/06/06	12/28/10	69,058.08	65,431.03	3,625.05 LT
2,000.0000	MONSTER WORLDWIDE INC	03/26/10	12/28/10	46,757.86	33,949.18	12,808.68 ST
500.0000	ORACLE CORP \$0.01 DEL	06/20/07	12/28/10	15,694.38	9,880.54	5,813.84 LT
500.0000	ORACLE CORP \$0.01 DEL	06/20/07	12/28/10	9,880.53	5,821.70	5,880.53
△ JP MORGAN CHASE & 6.75% 11		45000.0000	01/23/03	02/01/11	45,000.00	.00
TOTAL LT (300,246.13)						
TOTAL ST 88,009.98						

REALIZED GAINS AND (LOSSSES) STATEMENT 1/1

DOTY FAMILY FOUNDATION

Private Banking and  
Investment Group



**24-Hour Assistance: (800) MERRILL**

February 01, 2011 - February 28, 2011

GOVERNMENT AND AGENCY SECURITIES <sup>1</sup>	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
△ FEDERAL NATL MTGE ASSOC 01/24/03 100,000	100,362.61	101.1950	<b>101,195.00</b>	832.39	1,716.67	6,000	4	6,000	5.92
GI OBAL BFNC/MARK NOTES 06 0000% MAY 15 2011									
CUSIP 31359MJH7									
ORIGINAL UNIT/TOTAL COST: 112,579.33/112,579.37									
△ FEDERAL HOME LN MTG CORP 01/24/03 100,000	100,996.25	106.3970	<b>106,397.00</b>	5,400.75	612.15	5,125	4.81		
NOTES 05 125% JU 15 2012									
CUSIP: 31344AQD9									
ORIGINAL UNIT/TOTAL COST: 105,798.11/105,798.12									
<b>TOTAL</b>	200,000	201,358.86	<b>207,592.00</b>	6,233.14	2,328.82	11,125	5.36		

LONG POSITION SCHEDULE Page 1 of 7



## YOUR EMA ASSETS

CORPORATE BONDS		Description		Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Annual Income Yield%
△ HSBC FINANCE CORPORATION GLB	06.750% MAY 15 2011	01/23/03	50,000	50,123.85	101.2250	50,612.50	488.65	965.63		3,375	6.66
MOODY'S: A3 S&P: A	CUSIP: 40429CAA0										
ORIGINAL UNIT/TOTAL COST:	106.8604/53.430.24										
△ CONSOLIDATED EDISON CO	02-A 05 625% JUL 01 2012	01/23/03	50,000	50,545.68	105.9360	52,968.00	2,422.32	445.31		2,813	5.30
MOODY'S: A3 S&P: A-	CUSIP: 209111DZ3										
ORIGINAL UNIT/TOTAL COST:	106 4481/53.2224.09										
△ ALLSTATE CORPORATION	DEBENTURES 07.500% JUN 15 2013	06/05/08	50,000	52,644.33	111.9460	55,973.00	3,328.67	760.42		3,750	6.69
MOODY'S: A3 S&P: A-	CUSIP: 0200002AC5										
ORIGINAL UNIT/TOTAL COST:	110 8377/55.418.85										
△ AMERICAN EXPRESS	GLB 04.875% JUL 15 2013	06/05/08	50,000	50,045.43	106.8740	53,437.00	3,391.57	291.15		2,438	4.56
MOODY'S: A3 S&P: BBB+	CUSIP: 025816AQ2										
ORIGINAL UNIT/TOTAL COST:	100 1907/50.095.35										
△ CITIGROUP INC	06/04/09	50,000	43,569.35	105.1500	52,575.00	9,005.65	1,131.94			2,500	4.75
SUBORDINATED GLB	05.000% SEP 15 2014										
MOODY'S: BAA1 S&P: A-	CUSIP: 172967CQ2										
ORIGINAL UNIT/TOTAL COST:	100 1907/50.095.35										
△ HEALTH CARE PPTY INV INC	06 000% MAR 01 2015	06/23/10	50,000	53,072.83	109.5950	54,797.50	1,724.67			1,475.00	5.47
MOODY'S: BAA2 S&P: BBB	CUSIP: 421915EC9										
ORIGINAL UNIT/TOTAL COST:	107.0847/53.542.35										
△ BANK OF AMERICA CORP	GLB 04.500% APR 01 2015	06/23/10	50,000	50,607.17	104.4520	52,226.00	1,618.83	918.75		2,250	4.30
MOODY'S: A2 S&P: A	CUSIP: 06051GEB1										
ORIGINAL UNIT/TOTAL COST:	101.3967/50.698.35										

## YOUR EMA ASSETS

February 01, 2011 - February 28, 2011						
CORPORATE BONDS (continued)	Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Unrealized Gain/(Loss) Accrued Interest
△ NABISCO INCORPORATED 07 550% JUN 15 2015	MOODY'S BAA2 S&P: BBB CUSIP: 629527AU6	01/24/03	10,000	10,984.45	116.4920	11,649.20 153.10
ORIGINAL UNIT/TOTAL COST: 123 6183/12,361.83						
△ NATIONSBANK CORP SUBORDINATED 07 750% AUG 15 2015	MOODY'S: A3 S&P: A- CUSIP: 638585AN9	01/24/03	40,000	44,020.90	115.2350	46,094.00 2,073.10 111.94
ORIGINAL UNIT/TOTAL COST: 123.33/15.49,332.62						
△ JP MORGAN CHASE SUBORDINATED 05 150% OCT 01 2015	MOODY'S: A1 S&P: A CUSIP: 46625HDF4	06/05/09	50,000	50,067.11	107.7280	53,864.00 3,796.89 1,051.46
ORIGINAL UNIT/TOTAL COST: 100.18/11/50,090.85						
△ AMERICAN EXPRESS CREDIT SFR MTN 05 300% DEC 02 2015	MOODY'S: A2 S&P: BBB+ CUSIP: 02558MOB71	09/17/09	50,000	50,719.34	108.0140	54,007.00 3,287.66 633.06
ORIGINAL UNIT/TOTAL COST 101 8157/50,907.85						
CITIGROUP INC 09/17/09	GI/B 05.300% JAN 07 2016	50,000	49,430.35	106.5560	53,278.00	3,847.65 375.42
	MOODY'S: A3 S&P: A CUSIP: 172967DE8					
△ GOLDMAN SACHS GROUP INC 09/17/09	MOODY'S: A1 S&P: A CUSIP: 38141GEE0	50,000	51,634.46	107.6450	53,822.50	2,188.04 319.51
ORIGINAL UNIT/TOTAL COST 104 1047/52,052.35						
GENERAL ELEC CAP CORP 06/05/08	SFR MTN GLF 05.375% OCT 20 2016	75,000	74,615.60	109.5180	82,138.50	7,522.90 1,433.33
	MOODY'S: AA2 S&P: AA+ CUSIP: 36962GY40					
MERRILL LYNCH & CO 06/05/09	GI/B 06.400% AUG 28 2017	50,000	46,705.35	109.8810	54,940.50	8,235.15 4,032 4.90
	MOODY'S: A2 S&P: A CUSIP: 59018VJ69					

LONG POSITION ~~GENERAL ELECTRIC~~ Date 3 of 7

YOUR EMA ASSETS

CORPORATE BONDS (continued)							February 01, 2011 - February 28, 2011			
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Annual Income Yield%		
△ WALT DISNEY COMPANY SER MTNB GLB 05 875% DEC 15 2017 MOODYS: A2 S&P: A CUSIP: 25468PCB0 ORIGINAL UNIT/TOTAL COST: 104,6437/52,321.85	06/05/08	50,000	51,770.44	114.8200	57,410.00	5,639.56	595.66	2,938	5.11	
GOLDMAN SACHS GROUP INC GLB 05 950% JAN 18 2018 MOODYS: A1 S&P: A CUSIP: 38141GFG4	06/05/09	50,000	47,870.85	108.5630	54,281.50	6,410.65	330.56	2,975	5.48	
PEPSICO INC SENIOR NOTES 05.000% JUN 01 2018 MOODYS: AA3 S&P: A- CUSIP: 713448BHO	06/05/08	50,000	49,277.35	109.4080	54,704.00	5,426.65	604.17	2,500	4.57	
<b>TOTAL</b>		875,000	877,704.84		<b>948,778.20</b>	<b>71,073.36</b>	<b>11,596.41</b>	<b>50,176</b>	<b>5.29</b>	



## YOUR EMA ASSETS

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit	Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income Yield%
CITIGROUP INC	C03/30/00		1,879	42,0894		79,086.17	4,6800		8,793.72	(70,292.45)	
	03/19/08		1,121	20,3947		22,862.54	4,6800		5,246.28	(17,616.26)	
	09/23/09		7,000	4,7607		33,325.35	4,6800		32,760.00	(565.35)	
	06/17/10		10,000	4,0003		40,003.35	4,6800		46,800.00	6,796.65	
Subtotal			20,000			175,277.41			93,600.00	(81,677.41)	
COCA COLA COM	K006/20/07		2,000	51,7426		103,485.35	63,9200		127,840.00	24,354.65	3,761 2.94
COLGATE FALMOLIVE	CL06/27/06		1,250	60,1242		75,155.35	78,5200		98,150.00	22,994.65	2,901 2.95
	06/25/08		250	69,6814		17,420.35	78,5200		19,630.00	2,209.65	581 2.95
Subtotal			1,500			92,575.70			117,780.00	25,204.30	3,482 2.95
CONSTELLATION ENERGY GP	CEG 06/10/03		800	33,9600		27,168.01	31,0700		24,856.00	(2,312.01)	768 3.08
	06/10/03		100	33,8900		3,389.00	31,0700		3,107.00	(282.00)	96 3.08
	06/10/03		100	33,9200		3,392.00	31,0700		3,107.00	(285.00)	96 3.08
	09/23/09		1,000	33,1032		33,103.25	31,0700		31,070.00	(2,033.25)	960 3.08
Subtotal			2,000			67,052.26			62,140.00	(4,912.26)	1,920 3.08
DISNEY (WALT) CO COM STK	DIS 06/10/03		2,945	20,4370		60,187.03	43,7400		128,814.30	68,627.27	1,179 .91
	06/10/03		300	20,4172		6,125.18	43,7400		13,122.00	6,996.82	120 .91
	3,245					66,312.21			141,936.30	75,624.09	1,299 .91
EMERSON ELEC CO	EMR 09/27/01		2,000	22,9200		45,840.01	59,6600		119,320.00	73,479.99	2,760 2.31
EXXON MOBIL CORP	XOM 06/27/06		1,000	59,7237		59,723.76	85,5300		85,530.00	25,806.24	1,761 2.05
GENERAL ELECTRIC	GE 12/16/02		110	26,4000		2,904.00	20,9200		2,301.20	(602.80)	62 2.67
	06/25/08		890	28,3218		25,206.48	20,9200		18,618.80	(6,587.68)	499 2.67
	06/22/09		3,500	11,6615		40,815.35	20,9200		73,220.00	32,404.65	1,961 2.67
	09/23/09		3,000	17,3608		52,082.65	20,9200		62,760.00	10,677.35	1,681 2.67
Subtotal			7,500			121,008.48			156,900.00	35,891.52	4,203 2.67
GLAXOSMITHKLINE PLC ADR	GSK 08/23/05		1,722	47,7205		82,174.77	38,6100		66,486.42	(15,688.35)	3,513 5.28
	06/25/08		278	44,7391		12,437.47	38,6100		10,733.58	(1,703.89)	568 5.28
Subtotal			2,000			94,612.24			77,220.00	(17,392.24)	4,081 5.28
HOME DEPOT INC	HD 09/23/09		3,500	27.7807		97,232.55	37,4700		131,145.00	33,912.45	3,500 2.66

February 01, 2011 - February 28, 2011

24-Hour Assistance: (800) MERRILL



## YOUR EMA ASSETS

February 01, 2011 - February 28, 2011

EQUITIES (continued)							Estimated Current Yield %		
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	
INTEL CORP	INTC	03/30/00	750	56.4070	42,305.26	21.4700	16,102.50	(26,202.76)	
		12/16/02	3,000	18.1900	54,570.00	21.4700	64,410.00	9,840.00	
		12/16/02	485	18.2130	8,833.31	21.4700	10,412.95	1,579.64	
		12/16/02	15	18.2100	273.15	21.4700	322.05	352	
Subtotal			4,250		105,981.72		91,247.50	352	
INTL BUSINESS MACHINES CORP IRM	IBM	08/14/07	1,000	112.2653	112,265.35	161.8800	161,880.00	49,614.65	
MAGNA INTL INC CL A VTC	MGA	12/28/10	1,500	52.1756	78,263.50	49.3600	74,040.00	(4,223.50)	
MCDONALDS CORP COM	MCD	12/28/10	1,000	76.2727	76,272.75	75.6800	75,680.00	(592.75)	
MERCK AND CO INC SHS	MRK	03/28/06	2,000	35.7798	71,559.67	32.5700	65,140.00	(6,419.67)	
		06/25/08	1,000	36.8046	36,804.65	32.5700	32,570.00	(4,234.65)	
Subtotal			3,000		108,364.32		97,710.00	(10,654.32)	
MICROSOFT CORP	MSFT	09/29/00	2,277	30.0824	68,497.85	26.5800	60,522.66	(7,975.19)	
		06/22/09	1,723	23.4331	40,375.24	26.5800	45,797.34	5,422.10	
Subtotal			4,000		108,873.09		106,320.00	(2,553.09)	
MONSANTO CO NEW DEL COM	MON	09/23/09	1,000	78.1148	78,114.85	71.8900	71,890.00	(6,224.85)	
		06/17/10	500	50.1087	25,054.35	71.8900	35,945.00	10,890.65	
Subtotal			1,500		103,169.20		107,835.00	4,665.80	
MONSTER WORLDWIDE INC	MWW	03/26/10	4,000	16.9745	67,898.37	17.1500	68,600.00	701.63	
NATIONAL OILWELL VARCO INC	NOV	12/28/10	1,250	65.4418	81,802.35	79.5700	99,462.50	17,660.15	
NOVARTIS ADR	NVS	09/23/09	1,750	49.1821	86,068.78	56.2700	98,472.50	12,403.72	
OLIN CORP \$1 NEW	OLN	03/26/10	5,000	19.2856	96,428.35	18.6100	93,050.00	(3,378.35)	
ORACLE CORP \$0 01 DEL	ORCL	06/20/07	4,000	19.7610	79,044.28	32.9000	131,600.00	52,555.72	
PEPSICO INC	PEP	05/25/06	1,500	60.4635	90,695.35	63.4200	95,130.00	4,434.65	
PERKINELMER INC	PKI	06/17/10	3,000	22.9201	68,760.55	26.5000	79,500.00	10,739.45	
PRICE T ROWE GROUP INC	TROW	06/17/10	1,600	49.1657	78,665.19	66,9800	107,168.00	28,502.81	
PROCTER & GAMBLE CO	PG	03/30/00	1,562	29.2379	45,669.66	63.0500	98,484.10	52,814.44	
RAYTHEON CO DELAWARE NEW	RTN	09/24/04	1,750	37.1100	64,942.51	51.2100	89,617.50	24,674.99	
SCHLUMBERGER LTD	SLB	06/17/10	1,000	60.6593	60,659.35	93,420.00	93,760.65	32,760.05	
TEXAS INSTRUMENTS	TXN	12/18/09	4,000	25.2032	100,812.95	35.6100	142,440.00	41,627.05	



Private Banking and  
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YOUR EMA ASSETS

24-Hour Assistance: (800) MERRILL

**EQUITIES (continued)**  
*Description*

EQUITIES (continued)										February 01, 2011 - February 28, 2011			
Description	Symbol	Acquired	Quantity	Cost Basis	Unit	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Income Yield%	Current Yield%		
UNITED TECHS CORP COM	UTX	06/27/97	1,250	21,2642		26,580.33	83.5400	104,425.00	77,844.67				
WAL-MART STORES INC	WMT	06/20/07	2,000	48,8716		97,743.35	51.9800	103,960.00	6,216.65	2,126	2.03		
<b>TOTAL</b>						3,164,395.82							
<b>LONG PORTFOLIO</b>						3,805,935.90		641,540.08		79,342	2.08		
	Adjusted/Total Cost Basis						Estimated Market Value	Unrealized Gain/(Loss)	Estimated Interest	Estimated Annual Income			
<b>TOTAL</b>	4,243,454.52					7,462,308.10	718,846.58	13,925.23	149,358		2.38		