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Return of Private Foundation

OMB No 1545-0052

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2010

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning FEB 1, 2010, and ending JAN 31, 2011

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation THE RICH FOUNDATION, INC.		A Employer identification number 58-6038037
Number and street (or P.O. box number if mail is not delivered to street address) 11 PIEDMONT CENTER	Room/suite	B Telephone number (404) 262-2499
City or town, state, and ZIP code ATLANTA, GA 30305		C If exemption application is pending, check here ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 48,000,046. (Part I, column (d) must be on cash basis.)	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		1,110,203.	1,110,203.		STATEMENT 2
5a Gross rents		-19.	-19.		STATEMENT 3
b Net rental income or (loss)		-19.			
6a Net gain or (loss) from sale of assets not on line 10		2,107,082.			STATEMENT 1
b Gross sales price for all assets on line 6a		19,315,215.			
7 Capital gain net income (from Part IV, line 2)			2,062,247.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income		-234.	-591.		STATEMENT 4
12 Total. Add lines 1 through 11		3,217,032.	3,171,840.		
13 Compensation of officers, directors, trustees, etc.		4,750.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees		17,561.	13,171.		4,390.
c Other professional fees		343,017.	275,934.		67,083.
17 Interest					
18 Taxes		37,657.	8,052.		0.
19 Depreciation and depletion					
20 Occupancy		19,704.	14,778.		4,926.
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses		135,346.	134,912.		434.
24 Total operating and administrative expenses. Add lines 13 through 23		558,035.	446,847.		76,833.
25 Contributions, gifts, grants paid		1,879,501.			1,879,501.
26 Total expenses and disbursements. Add lines 24 and 25		2,437,536.	446,847.		1,956,334.
27 Subtract line 26 from line 12		779,496.			
a Excess of revenue over expenses and disbursements			2,724,993.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	470,904.	3,457,045.	3,457,045.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock STMT 9	10,453,402.	9,964,760.	12,372,587.
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 10	29,803,412.	28,085,409.	32,170,412.
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)	2.	2.	2.
	16 Total assets (to be completed by all filers)	40,727,720.	41,507,216.	48,000,046.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	24 Unrestricted and complete lines 24 through 26 and lines 30 and 31.			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	27 Capital stock, trust principal, or current funds and complete lines 27 through 31.	40,727,720.	41,507,216.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
30 Total net assets or fund balances	40,727,720.	41,507,216.		
31 Total liabilities and net assets/fund balances	40,727,720.	41,507,216.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	40,727,720.
2 Enter amount from Part I, line 27a	2	779,496.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	41,507,216.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	41,507,216.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 19,315,215.		17,252,968.	2,062,247.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col. (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			2,062,247.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	2,062,247.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8 }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	1,836,257.	39,771,553.	.046170
2008	2,328,809.	45,843,637.	.050799
2007	2,579,385.	52,804,304.	.048848
2006	2,401,375.	48,886,697.	.049121
2005	2,239,143.	47,363,456.	.047276

2 Total of line 1, column (d)	2	.242214
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.048443
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	46,443,331.
5 Multiply line 4 by line 3	5	2,249,854.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	27,250.
7 Add lines 5 and 6	7	2,277,104.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	1,956,334.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)	1	54,500.
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)	2	0.
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	3	54,500.
3	Add lines 1 and 2	4	0.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	5	54,500.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		
6	Credits/Payments		
a	2010 estimated tax payments and 2009 overpayment credited to 2010	6a	40,785.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	61,000.
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	101,785.
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	47,285.
11	Enter the amount of line 10 to be Credited to 2011 estimated tax ☐ 47,285. Refunded ☐ 0.	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ GA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► THOMAS J. ASHER Telephone no ► (404) 262-2499 Located at ► 11 PIEDMONT CENTER, ATLANTA, GA ZIP+4 ► 30305			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ☐	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		4,750.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000



0

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Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A**Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B**Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	12,272,294.
b	Average of monthly cash balances	1b	865,770.
c	Fair market value of all other assets	1c	34,012,526.
d	Total (add lines 1a, b, and c)	1d	47,150,590.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	47,150,590.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	707,259.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	46,443,331.
6	Minimum investment return. Enter 5% of line 5	6	2,322,167.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	2,322,167.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	54,500.
b	Income tax for 2010 (This does not include the tax from Part VI.)	2b	7,204.
c	Add lines 2a and 2b	2c	61,704.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,260,463.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	2,260,463.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,260,463.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,956,334.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,956,334.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,956,334.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				2,260,463.
2 Undistributed income, if any, as of the end of 2010:				
a Enter amount for 2009 only			113,823.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005				
b From 2006				
c From 2007				
d From 2008				
e From 2009				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ 1,956,334.				
a Applied to 2009, but not more than line 2a			113,823.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount	0.			1,842,511.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus: Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income: Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b: Taxable amount - see instructions		0.		
e Undistributed income for 2009: Subtract line 4a from line 2a: Taxable amount - see instr			0.	
f Undistributed income for 2010: Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				417,952.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008				
d Excess from 2009				
e Excess from 2010				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section
- ☐
- 4942(j)(3) or
- ☐
- 4942(j)(5)

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> SEE ATTACHMENT C-1	N/A	PUBLIC CHARITY	UNRESTRICTED	1,879,501.
Total			▶ 3a	1,879,501.
b <i>Approved for future payment</i> NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		Related or exempt function income
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities			14	1,110,203.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property			16	-19.		
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income	531390	357.	16	-591.		
8 Gain or (loss) from sales of assets other than inventory			18	2,107,082.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue.						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		357.		3,216,675.		0.
13 Total. Add line 12, columns (b), (d), and (e)					13	3,217,032.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

FORM 990-PF

GAIN OR (LOSS) FROM SALE OF ASSETS

STATEMENT 1

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED PURCHASED	(F) DATE ACQUIRED VARIOUS	DATE SOLD VARIOUS
STATEMENT D 1.1	18,786,811.	17,208,133.	0.	0.		1,578,678.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED PURCHASED	(F) DATE ACQUIRED VARIOUS	DATE SOLD 12/31/10
PASSTHROUGH - COLCHESTER GLOBAL BOND FUND	10,553.	0.	0.	0.		10,553.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED PURCHASED	(F) DATE ACQUIRED VARIOUS	DATE SOLD 12/31/10
PASSTHROUGH - FORESTER OPPORTUNITIES, L.P.	59,285.	0.	0.	0.		59,285.

(A) DESCRIPTION OF PROPERTY		MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
PASSTHROUGH - FORESTER OPPORTUNITIES, L.P.		PURCHASED	VARIOUS	12/31/10
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
216,661.	0.	0.	0.	216,661.

(A) DESCRIPTION OF PROPERTY		MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
PASSTHROUGH - SANDERSON INTERNATIONAL VALUE FUND		PURCHASED	VARIOUS	12/31/10
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,905.	0.	0.	0.	2,905.

(A) DESCRIPTION OF PROPERTY		MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
PASSTHROUGH - SANDERSON INTERNATIONAL VALUE FUND		PURCHASED	VARIOUS	12/31/10
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
61,475.	0.	0.	0.	61,475.

(A) DESCRIPTION OF PROPERTY		MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
PASSTHROUGH - COLCHESTER GLOBAL BOND FUND		PURCHASED	VARIOUS	12/31/10
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
21,130.	0.	0.	0.	21,130.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	DATE ACQUIRED VARIOUS	DATE SOLD 03/09/10
LITIGATION SETTLEMENT -	5.	0.	0.			

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
LITIGATION - LUCENT	339.	0.	0.	0.	339.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
LITIGATION - WORLD COM	77.	0.	0.	0.	77.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
LITIGATION - AMERICAN INTL. GROUP	777.	0.	0.	0.	777.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
LITIGATION - COCA COLA	233.	0.	0.	0.	0.	08/20/10

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
PASSTHROUGH - MW POST TRADITIONAL HIGH YIELD, L.P.	109,412.	0.	0.	0.	0.	12/31/10

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
PASSTHROUGH - MW POST TRADITIONAL HIGH YIELD, L.P.	45,552.	0.	0.	0.	0.	12/31/10

CAPITAL GAINS DIVIDENDS FROM PART IV	0.
TOTAL TO FORM 990-PF, PART I, LINE 6A	2,107,082.

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	2
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
PASSTHROUGH - COLCHESTER GLOBAL BOND FUND	166,912.	0.	166,912.
PASSTHROUGH - FORESTER OPPORTUNITIES	47,728.	0.	47,728.
PASSTHROUGH - MW POST TRADITIONAL HIGH YIELD, L.P.	261,978.	0.	261,978.
PASSTHROUGH - SANDERSON INTERNATIONAL VALUE FUND	98,395.	0.	98,395.
SUNTRUST NOMINEE - DIVIDENDS/INTEREST	535,190.	0.	535,190.
TOTAL TO FORM 990-PF, PART I, LN 4	1,110,203.	0.	1,110,203.

FORM 990-PF	RENTAL INCOME	STATEMENT	3
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KIND AND LOCATION OF PROPERTY	ACTIVITY NUMBER	GROSS RENTAL INCOME
PASSTHROUGH - FORESTER OPPORTUNITIES	1	-19.
TOTAL TO FORM 990-PF, PART I, LINE 5A		-19.

FORM 990-PF	OTHER INCOME	STATEMENT	4
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
PASSTHROUGH - MW POST TRADITIONAL HIGH YIELD, LP	2,879.	2,879.	
PASSTHROUGH - THE COLCHESTER GLOBAL BOND FUND	-19,849.	-19,849.	
PASSTHROUGH - FORESTER OPPORTUNITIES	6,637.	6,637.	
PASSTHROUGH - FORESTER OPPORTUNITIES, L.P.	357.	0.	
PASSTHROUGH - SANDERSON INTERNATIONAL VALUE FUND	9,742.	9,742.	
TOTAL TO FORM 990-PF, PART I, LINE 11	-234.	-591.	

FORM 990-PF

ACCOUNTING FEES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	17,561.	13,171.		4,390.
TO FORM 990-PF, PG 1, LN 16B	17,561.	13,171.		4,390.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CUSTODY FEES	37,579.	37,579.		0.
INVESTMENT ADVISORY FEES	238,355.	238,355.		0.
OTHER PROFESSIONAL FEES	67,083.	0.		67,083.
TO FORM 990-PF, PG 1, LN 16C	343,017.	275,934.		67,083.

FORM 990-PF

TAXES

STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX	27,807.	0.		0.
FOREIGN TAXES	8,052.	8,052.		0.
STATE TAXES	1,798.	0.		0.
TO FORM 990-PF, PG 1, LN 18	37,657.	8,052.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OTHER EXPENSES	1,395.	1,046.		349.
PASSTHROUGH - MW POST TRADITIONAL HIGH YIELD, L.P.	22,358.	22,358.		0.
PASSTHROUGH - FORESTER OPPORTUNITIES	111,508.	111,508.		0.
ANNUAL REGISTRATION	85.	0.		85.
TO FORM 990-PF, PG 1, LN 23	135,346.	134,912.		434.

FORM 990-PF	CORPORATE STOCK		STATEMENT 9
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
SUNTRUST - SEE ATTACHMENT A-1	9,964,760.	12,372,587.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	9,964,760.	12,372,587.	

FORM 990-PF	OTHER INVESTMENTS		STATEMENT 10
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SUNTRUST - SEE ATTACHMENT A-2	COST	12,218,093.	13,057,906.
OTHER MISC. ASSETS - SEE ATTACHMENT A-3	COST	15,867,316.	19,112,506.
TOTAL TO FORM 990-PF, PART II, LINE 13		28,085,409.	32,170,412.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 11

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
JOEL GOLDBERG 11 PIEDMONT CENTER ATLANTA, GA 30305	PRESIDENT 1.00	750.	0.	0.
THOMAS J. ASHER 11 PIEDMONT CENTER ATLANTA, GA 30305	V.P. & SEC. 20.00	1,000.	0.	0.
DAVID S. BAKER 11 PIEDMONT CENTER ATLANTA, GA 30305	TRUSTEE 1.00	1,000.	0.	0.
MARGARET S. WEILLER 11 PIEDMONT CENTER ATLANTA, GA 30305	TREASURER 1.00	1,000.	0.	0.
L. ANTHONY MONTAG 11 PIEDMONT CENTER ATLANTA, GA 30305	TRUSTEE 1.00	500.	0.	0.
DR. JULIET ASHER GOLDEN 11 PIEDMONT CENTER ATLANTA, GA 30305	TRUSTEE 1.00	500.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		4,750.	0.	0.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 12

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

MS. ANNE BERG, THE RICH FOUNDATION
11 PIEDMONT CENTER, SUITE 204
ATLANTA, GA 30305

TELEPHONE NUMBER

404-262-2266

FORM AND CONTENT OF APPLICATIONS

- 1) NORMAL APPLICATION 2) AUTOBIOGRAPHICAL SKETCH
3) LIST OF OTHER GRANTS RECEIVED 4) ESTIMATED EXPENSES

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

NONE



DETAIL OF ASSETS HELD

AS OF 1/31/11

ACCOUNT C110934

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RICH FOUNDATION/COMBINED CUST

SHARES PAR VALUE	ASSET DESCRIPTION	CUSIP NUMBER MOOYYS	MARKET VALUE MARKET PRICE	% MKT YLD MKT	COST UNIT COST	UNREALIZED GAIN/LOSS	ACCRUED IN EST ANN IN
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DOMESTIC COMMON

ENERGY

1,900.000	APACHE CORP COM	037411105	226,784.00 119.360	0.47 0.50	222,263.44 116.98	4,520.56	19 1.14
	1102734						
4,050.000	CAMERON INTL CORP COM	133428105	215,865.00 53.300	0.45 0.00	135,338.61 33.42	80,526.39	
	1102734						



DETAIL OF ASSETS HELD

AS OF 1/31/11

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RICH FOUNDATION/COMBINED CUST

SHARES PAR VALUE	ASSET DESCRIPTION	CUSIP NUMBER MOODY'S	MARKET VALUE MARKET PRICE	% MKT YLD MKT	COST UNIT COST	UNREALIZED GAIN/LOSS	ACCRUED IN EST ANN IN
2,500.000	EXXON MOBIL CORP COM 7914624	302316102	201,700.00 80.680	0.42 2.18	158,649.55 63.46	43,050.45	4,40
3,800.000	HALLIBURTON CO COM 1102734	404216101	171,000.00 45.000	0.36 0.80	153,434.84 40.38	17,565.16	1,36.
2,700.000	OCCIDENTAL PETE CORP COM 1102734	674599105	261,036.00 96.680	0.54 1.57	195,185.75 72.29	65,850.25	4,10.
14,950.000	TOTAL ENERGY MATERIALS		1,076,385.00	2.24 1.02	864,872.19	211,512.81	19; 11,01;
2,951.000	CALGON CARBON CORP COM 7928044	129603106	42,081.26 14.260	0.09 0.00	43,048.69 14.59	-967.43	;
2,951.000	TOTAL MATERIALS INDUSTRIALS		42,081.26	0.09 0.00	43,048.69	-967.43	;
3,800.000	C H ROBINSON WORLDWIDE INC COM NEW 7914624	12541W209	292,942.00 77.090	0.61 1.51	190,081.18 50.02	102,860.82	4,40;
4,000.000	DONALDSON INC COM 7914624	257651109	234,400.00 58.600	0.49 0.89	149,216.47 37.30	85,183.53	2,08(
3,400.000	EMERSON ELEC CO COM 1102734	291011104	200,192.00 58.880	0.42 2.34	159,115.12 46.80	41,076.88	4,69;
3,850.000	FLUOR CORP COM NEW 1102734	343412102	266,381.50 69.190	0.56 0.72	161,638.14 41.98	104,743.36	1,92;



DETAIL OF ASSETS HELD

AS OF 1/31/11

ACCOUNT C110934

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RICH FOUNDATION/COMBINED CUST

SHARES PAR VALUE	ASSET DESCRIPTION	CUSIP NUMBER MOODYS	MARKET VALUE MARKET PRICE	% MKT YLD MKT	COST UNIT COST	UNREALIZED GAIN/LOSS	ACCRUED IN EST ANN IN
1,764.000	GRAND CANYON ED INC COM 7928044	38526H106	31,910.76 18.090	0.07 0.00	33,222.70 18.83	-1,311.94	
3,300.000	UNITED PARCEL SVC INC CL B COM 1102734	911312106	236,346.00 71.620	0.49 2.63	219,697.93 66.58	16,648.07	6.20
4,000.000	VERISK ANALYTICS INC CL A COM 7914624	92345Y106	135,320.00 33.830	0.28 0.00	114,905.30 28.73	20,414.70	
24,114.000	TOTAL INDUSTRIALS		1,397,492.26	2.91 1.38	1,027,876.84	369,615.42	19.30
	CONSUMER DISCRETIONARY						
870.000	AEROPOSTALE INC COM 7928044	007865108	20,984.40 24.120	0.04 0.00	22,931.83 26.36	-1,947.43	
620.000	CAPELLA EDUCATION CO COM 7928044	139594105	35,495.00 57.250	0.07 0.00	40,430.47 65.21	-4,935.47	
4,100.000	CARNIVAL CORP UNIT COM 1102734	143658300	183,311.00 44.710	0.38 2.24	168,772.87 41.16	14,538.13	4.10
2,100.000	COACH INC COM 1102734	189754104	113,589.00 54.090	0.24 1.11	110,928.96 52.82	2,660.04	1.26
5,300.000	WALT DISNEY CO COM 1102734	254687106	206,011.00 38.870	0.43 1.03	154,560.92 29.16	51,450.08	2.12
458.000	FOSSIL INC COM 7928044	349882100	32,540.90 71.050	0.07 0.00	14,730.89 32.16	17,810.01	



DETAIL OF ASSETS HELD

AS OF 1/31/11

ACCOUNT C110934

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RICH FOUNDATION/COMBINED CUST

SHARES PAR VALUE	ASSET DESCRIPTION	CUSIP MOODYS	MARKET VALUE MARKET PRICE	% MKT YLD MKT	COST UNIT COST	UNREALIZED GAIN/LOSS	ACCRUED INC EST ANN INC
1,848.000	GSI COMMERCE INC COM 7928044	362386102	42,577.92 23.040	0.09 0.00	45,688.81 24.72	-3,110.89	(
554.000	J CREW GROUP INC COM 7928044	46612H402	24,054.68 43.420	0.05 0.00	18,690.75 33.74	5,363.93	(
5,270.000	LEAPFROG ENTERPRISES INC CL A COM 7928044	52186N106	20,342.20 3.860	0.04 0.00	27,159.02 5.15	-6,816.82	(
3,200.000	MCDONALDS CORP COM 1102734	580135101	235,744.00 73.670	0.49 3.31	181,450.54 56.70	54,293.46	(
2,650.000	NIKE INC CL B COM 1102734	654106103	218,572.00 82.480	0.46 1.50	149,042.18 56.24	69,529.82	(
604.000	PEET'S COFFEE & TEA INC COM 7928044	705560100	23,048.64 38.160	0.05 0.00	21,526.71 35.64	1,521.93	(
3,550.000	TJX COS INC COM NEW 1102734	872540109	168,234.50 47.390	0.35 1.27	135,983.30 38.31	32,251.20	(
31,124.000	TOTAL CONSUMER DISCRETIONARY		1,324,505.24	2.76 1.56	1,091,897.25	232,607.99	(
	CONSUMER STAPLES						
5,100.000	COCA-COLA CO COM 1102734	191216100	320,535.00 62.850	0.67 2.80	270,230.07 52.99	50,304.93	(
950.000	COLGATE PALMOLIVE CO COM 1102734	194162103	72,931.50 76.770	0.15 2.76	71,923.58 75.71	1,007.92	503
							2,016



DETAIL OF ASSETS HELD

AS OF 1/31/11

ACCOUNT C110934

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RICH FOUNDATION/COMBINED CUST

SHARES PAR VALUE	ASSET DESCRIPTION	CUSIP NUMBER MOOYYS	MARKET VALUE MARKET PRICE	% MKT YLD MKT	COST UNIT COST	UNREALIZED GAIN/LOSS	ACCRUED IN EST ANN IN
3,350.000	COSTCO WHOLESALE CORP COM 1102734	22160K105	240,664.00 71.840	0.50 1.14	177,699.65 53.04	62,964.35	2,74
6,600.000	KRAFT FOODS INC CL A COM 1102734	50075N104	201,762.00 30.570	0.42 3.80	201,350.46 30.51	411.54	7,65
1,497.000	MEDIFAST INC COM 7928044	58470H101	35,808.24 23.920	0.08 0.00	39,466.03 26.36	-3,657.79	
4,450.000	PEPSICO INC COM 1102734	713448108	286,179.50 64.310	0.60 2.99	260,114.11 58.45	26,065.39	8,54
4,906.000	PROCTER & GAMBLE CO COM 1102734	742718109	309,715.78 63.130	0.65 3.05	297,811.66 60.70	11,904.12	2,36 9,45
26,853.000	TOTAL CONSUMER STAPLES HEALTH CARE		1,467,596.02	3.06 2.69	1,318,595.56	149,000.46	2,86 39,39
4,600.000	ABBOTT LABS COM 1102734	002824100	207,736.00 45.160	0.43 3.90	235,194.47 51.13	-27,458.47	2,02 8,09
3,900.000	ALLERGAN INC COM 1102734	018490102	275,379.00 70.610	0.57 0.28	223,510.67 57.31	51,868.33	78
1,443.000	BIO REFERENCE LABS INC COM 7928044	090576602	33,318.87 23.090	0.07 0.00	32,934.61 22.82	384.26	
509.000	CATALYST HEALTH SOLUTIONS INC COM 7928044	148888103	22,090.60 43.400	0.05 0.00	17,949.67 35.26	4,140.93	



ACCOUNT C110934

DETAIL OF ASSETS HELD

AS OF 1/31/11

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RICH FOUNDATION/COMBINED CUST

SHARES PAR VALUE	ASSET DESCRIPTION	CUSIP NUMBER MOODY'S	MARKET VALUE MARKET PRICE	% MKT YLD MKT	COST UNIT COST	UNREALIZED GAIN/LOSS	ACCRUED IN EST ANN INI
2,721.000	CONCEPTUS INC COM 7928044	206016107	36,747.11 13.505	0.08 0.00	37,177.54 13.66	-430.43	
5,000.000	EXPRESS SCRIPTS INC COM 7914624	302182100	281,650.00 56.330	0.59 0.00	115,581.87 23.12	166,068.13	
3,200.000	GEN-PROBE INC NEW COM 7914624	36866T103	201,248.00 62.890	0.42 0.00	150,203.96 46.94	51,044.04	
1,400.000	IDEXX LABS INC COM 7914624	45168D104	100,380.00 71.700	0.21 0.00	47,112.18 33.65	53,267.82	
1,545.000	MASIMO CORP COM 7928044	574795100	46,311.38 29.975	0.10 0.00	40,588.06 26.27	5,723.32	
813.000	MEDIDATA SOLUTIONS INC COM 7928044	58471A105	20,284.35 24.950	0.04 0.00	13,637.15 16.77	6,647.20	
1,689.000	NATUS MEDICAL INC COM 7928044	639050103	25,636.34 15.060	0.05 0.00	24,685.06 14.62	751.28	
3,602.000	OMNICELL INC COM 7928044	68213N109	50,139.84 13.920	0.11 0.00	43,571.79 12.10	6,568.05	
4,400.000	PATTERSON COS INC COM 7914624	703395103	145,464.00 33.060	0.30 1.21	106,843.69 24.28	38,620.31	1,760
1,505.000	SONOSITE INC COM 7928044	83568G104	50,507.80 33.560	0.11 0.00	42,251.03 28.07	8,256.77	0



DETAIL OF ASSETS HELD

AS OF 1/31/11

ACCOUNT C110934

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RICH FOUNDATION/COMBINED CUST

SHARES PAR VALUE	ASSET DESCRIPTION	CUSIP NUMBER MOOYYS	MARKET VALUE MARKET PRICE	% MKT YLD MKT	COST UNIT COST	UNREALIZED GAIN/LOSS	ACCRUED IN EST ANN IN
5,400.000	STRYKER CORP COM 1102734	863667101	310,824.00 57.560	0.65 1.25	277,084.35 51.31	33,739.65	3.81
3,400.000	STRYKER CORP COM 7914624	863667101	195,704.00 57.560	0.41 1.25	163,765.19 48.17	31,938.81	2.44
2,800.000	TECHNE CORP COM 7914624	878377100	193,060.00 68.950	0.40 1.57	176,277.80 62.96	16,782.20	3.02
955.000	THORATEC CORP COM 7928044	885175307	22,528.45 23.590	0.05 0.00	28,012.71 29.33	-5,484.26	
3,800.000	VARIAN MEDICAL SYS INC COM 7914624	92220P105	256,766.00 67.570	0.54 0.00	178,067.42 46.86	78,698.58	
506.000	ZOLL MED CORP COM 7928044	989922109	20,923.10 41.350	0.04 0.00	14,814.67 29.28	6,108.43	
53,188.000	TOTAL HEALTH CARE FINANCIALS		2,496,498.84	5.20 0.80	1,969,263.89	527,234.95	2.02 19.99
1,951.000	CBOE HLDGS INC COM 7928044	12503H108	44,892.51 23.010	0.09 1.74	46,329.75 23.75	-1,437.24	78
600.000	CME GROUP INC COM 7914624	12572Q105	185,136.00 308.560	0.39 1.49	228,489.76 380.82	-43,353.76	2.76
1,291.000	EZCORP INC COM 7928044	302301106	34,727.90 26.900	0.07 0.00	26,365.42 20.42	8,362.48	



DETAIL OF ASSETS HELD

AS OF 1/31/11

ACCOUNT C110934

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RICH FOUNDATION/COMBINED CUST

SHARES PAR VALUE	ASSET DESCRIPTION	CUSIP NUMBER MOODYS	MARKET VALUE MARKET PRICE	% MKT YLD MKT	COST UNIT COST	UNREALIZED GAIN/LOSS	ACCRUED INC EST ANN INC
6,700.000	JP MORGAN CHASE & CO COM 1102734	46625H100	301,098.00 44.940	0.63 0.45	277,260.85 41.38	23,837.15	1,340
741.000	MSCI INC CL A 7928044	55354G100	25,364.43 34.230	0.05 0.00	20,842.21 28.13	4,522.22	1,340
11,283.000	TOTAL FINANCIALS		591,218.84	1.23 0.83	599,287.99	-8,069.15	4,880
	INFORMATION TECHNOLOGY						
3,390.000	ADVANCED ENERGY INDS INC COM 7928044	007973100	52,358.55 15.445	0.11 0.00	45,663.48 13.47	6,695.07	1,340
1,275.000	ANCESTRY.COM INC COM 7928044	032803108	45,390.00 35.600	0.10 0.00	22,484.94 17.64	22,905.06	1,340
790.000	APPLE INC COM 1102734	037833100	268,062.80 339.320	0.56 0.00	114,306.76 144.69	153,756.04	1,340
4,400.000	AUTOMATIC DATA PROCESSING INC COM 7914624	053015103	210,760.00 47.900	0.44 3.01	182,271.77 41.43	28,488.23	6,330
1,011.000	BLACKBOARD INC COM 7928044	091935502	39,287.46 38.860	0.08 0.00	39,328.72 38.90	-41.26	1,340
4,200.000	BROADCOM CORP CL A COM 1102734	111320107	189,378.00 45.090	0.40 0.71	144,325.02 34.36	45,052.98	1,340
1,953.000	CONSCORE INC COM 7928044	20564W105	46,793.88 23.960	0.10 0.00	32,718.80 16.75	14,075.08	1,340



DETAIL OF ASSETS HELD

AS OF 1/31/11

ACCOUNT C110934

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RICH FOUNDATION/COMBINED CUST

SHARES PAR VALUE	ASSET DESCRIPTION	CUSIP NUMBER MOOYYS	MARKET VALUE MARKET PRICE	% MKT YLD MKT	COST UNIT COST	UNREALIZED GAIN/LOSS	ACCRUED IN EST ANN IN
2,265.000	DEALERTRACK HLDGS INC COM 7928044	242309102	44,767.73 19.765	0.09 0.00	41,432.41 18.29	3,335.32	
4,490.000	ENTROPIC COMMUNICATIONS INC COM 7928044	29386R105	49,255.30 10.970	0.10 0.00	34,689.45 7.73	14,565.85	
560.000	GOOGLE INC CL A COM 1102734	38259P508	336,201.60 600.360	0.70 0.00	274,674.04 490.49	61,527.56	
10,000.000	INTEL CORP COM 7914624	458140100	214,600.00 21.460	0.45 3.38	199,873.39 19.99	14,726.61	7.25
3,585.000	KIT DIGITAL INC COM NEW 7928044	482470200	49,401.30 13.780	0.10 0.00	43,322.97 12.08	6,078.33	
2,201.000	LIQUIDITY SVCS INC COM 7928044	53635B107	31,408.27 14.270	0.07 0.00	24,167.00 10.98	7,241.27	
2,505.000	LIVEPERSON INC COM 7928044	538146101	27,504.90 10.980	0.06 0.00	23,919.24 9.55	3,585.66	
1,600.000	METTLER-TOLEDO INTL INC COM 7914624	592688105	238,704.00 149.190	0.50 0.00	141,759.87 88.60	96,944.13	
5,074.000	NIC INC COM 7928044	62914B100	51,907.02 10.230	0.11 0.00	44,503.04 8.77	7,403.98	
1,970.000	NETSCOUT SYS INC COM 7928044	64115T104	45,152.40 22.920	0.10 0.00	27,422.40 13.92	17,730.00	



DETAIL OF ASSETS HELD

AS OF 1/31/11

ACCOUNT C110934

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RICH FOUNDATION/COMBINED CUST

SHARES PAR VALUE	ASSET DESCRIPTION	CUSIP NUMBER MOODYS	MARKET VALUE MARKET PRICE	% MKT YLD MKT	COST UNIT COST	UNREALIZED GAIN/LOSS	ACCRUED IN EST ANN IN
1,548.000	OSI SYS INC DE COM 7928044	671044105	58,808.52 37.990	0.12 0.00	41,951.91 27.10	16,856.61	(
8,000.000	ORACLE CORP COM 1102734	68389X105	256,240.00 32.030	0.53 0.63	237,766.06 29.72	18,473.94	35! 1,600
6,350.000	QUALCOMM CORP COM 1102734	747525103	343,725.50 54.130	0.72 1.41	264,042.59 41.58	79,682.91	(4,820
563.000	RACKSPACE HOSTING INC COM 7928044	750086100	18,866.13 33.510	0.04 0.00	9,351.82 16.61	9,514.31	((
1,831.000	RADIANT SYS INC COM 7928044	75025N102	33,415.75 18.250	0.07 0.00	33,341.00 18.21	74.75	((
2,156.000	SOLARWINDS INC COM 7928044	83416B109	40,748.40 18.900	0.09 0.00	40,644.64 18.85	103.76	((
1,284.000	SOURCEFIRE INC COM 7928044	83616T108	31,599.24 24.610	0.07 0.00	32,042.89 24.96	-443.65	((
1,992.000	ULTRATECH INC COM 7928044	904034105	44,889.72 22.535	0.09 0.00	38,545.00 19.35	6,344.72	((
2,120.000	VOLTERRA SEMICONDUCTOR CORP COM 7928044	928708106	52,682.00 24.850	0.11 0.00	43,977.19 20.74	8,704.81	0 0
77,113.000	TOTAL INFORMATION TECHNOLOGY		2,821,908.47	5.88 0.76	2,178,526.40	643,382.07	355 21,356
241,576.000	TOTAL DOMESTIC COMMON FOREIGN COMMON & ADR		11,217,685.93	23.37 1.22	9,093,368.81	2,124,317.12	5,441 136,648



DETAIL OF ASSETS HELD

AS OF 1/31/11

ACCOUNT C110934

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RICH FOUNDATION/COMBINED CUST

SHARES PAR VALUE	ASSET DESCRIPTION	CUSIP NUMBER MOODYS	MARKET VALUE MARKET PRICE	% MKT YLD MKT	COST UNIT COST	UNREALIZED GAIN/LOSS	ACCRUED IN EST ANN IN
5,300.000	ACCENTURE PLC CL A COM 1102734	61151C101	272,791.00 51.470	0.57 1.75	247,116.67 46.63	25,674.33	4,77
4,000.000	CENOVUS ENERGY INC COM 7914624	15135U109	138,440.00 34.610	0.29 2.32	100,227.25 25.06	38,212.75	3,21
4,000.000	ENCANA CORP CAD COM US EXCHG 7914624	292505104	129,080.00 32.270	0.27 2.48	104,902.61 26.23	24,177.39	3,20
2,193.000	HARBIN ELECTRIC INC COM 7928044	41145W109	41,886.30 19.100	0.09 0.00	40,597.12 18.51	1,289.18	
50.000	SES SA CHF 1 SHS REED 7914624	4824778	81,732.56 1,634.651	0.17 4.23	63,691.34 1,273.83	18,041.22	3,45
1,449.000	NICE SYS LTD SPONS ADR 7928044	653656108	47,411.28 32.720	0.10 0.00	44,041.27 30.39	3,370.01	
669.000	SXC HEALTH SOLUTIONS CORP COM 7928044	78505P100	32,185.59 48.110	0.07 0.00	16,024.26 23.95	16,161.33	
3,780.000	SCHLUMBERGER LTD COM 1102734	806857108	336,382.20 88.990	0.70 1.12	207,267.27 54.83	129,114.93	3,78
8,000.000	SES S A ADR 7914624	818800104	130,240.00 16.280	0.27 1.01	101,578.48 12.70	28,661.52	1,32
3,497.000	SMART TECHNOLOGIES INC CL A CAD COM SUB VTG US EXCHG 7928044	83172R108	32,941.74 9.420	0.07 0.00	32,959.07 9.42	-17.33	
32,938.000	TOTAL FOREIGN COMMON & ADR		1,243,090.67	2.59 1.59	958,405.34	284,685.33	19,74
274,514.000	TOTAL EQUITY SECURITIES		12,460,776.60 (88190)	25.96 1.26	10,051,774.15 (87014,24)	2,409,002.45	5,44; 156,30'

Adj for pending 1/31/2011
Booked to securities

12372586.60

THE RICH FOUNDATION, INC
 ASSETS - INVESTMENT - MUTUAL FUNDS
 58-6038037
 1/31/2011

STATEMENT A-2

SHS PAR VALUE	ASSET DESCRIPTION		TAX COST		MARKET VALUE
128715 014	ARTIO GLOBAL INVT FDS INTL EQ		3,856,199 00		3,864,025 00
56746 677	FIRST PACIFIC ADVISORS FD		1,620,723 00		2,183,612 13
609588 574	WESTERN ASSET FDS INC		6,741,171 00		7,010,269 00
TOTAL ASSETS			12,218,093 00		13,057,906 13

THE RICH FOUNDATION, INC
 ASSETS - INVESTMENTS - OTHER
 58-6038037
 1/31/2010

STATEMENT A-3

UNITS	ASSET DESCRIPTION	TAX COST	MARKET VALUE
2449 552	Tiff Absolute Return Pool II	2,943,475 00	4,727,635 00
2570762	MW Post Traditional High Yield LP	2,505,958 00	2,570,762 00
111286 14	The Colchester Global Bond Fund	2,903,470 00	3,082,726 00
4441053	Forester Opportunities	3,782,081.00	4,441,053.00
146295 317	The Sanderson International Value FD	3,732,332.00	4,290,330 00
TOTAL ASSETS		15,867,316 00	19,112,506.00

The Rich Foundation, Inc.
Schedule of Grants and Donations
Form 990-PF
1/31/2010

Recipient	Relationship	Status	Purpose	Amount
100 Black Men of Atlanta	N/A	Public	Civic	25,000.00
Adaptive Learning Center	N/A	Public	Educational	5,000.00
AADD	N/A	Public	Civic	25,000.00
Alliance Theatre	N/A	Public	Civic	25,000.00
ALS Association of Georgia	N/A	Public	Civic	5,000.00
American Heart Assn., SE Affiliate	N/A	Public	Medical	37,500.00
American Jewish Committee - Atlanta Chapter	N/A	Public	Civic	10,000.00
Art Station	N/A	Public	Civic	5,000.00
Asian American Service Agency	N/A	Public	Civic	10,000.00
Atlanta Botanical Gardens	N/A	Public	Civic	25,000.00
Atlanta Children's Shelter	N/A	Public	Civic	5,000.00
Atlanta Community Food Bank	N/A	Public	Civic	25,000.00
Atlanta Contemporary Arts Center	N/A	Public	Civic	10,000.00
Atlanta Education Fund	N/A	Public	Educational	25,000.00
Atlanta International School	N/A	Public	Educational	25,000.00
Atlanta Lyric Theatre	N/A	Public	Civic	5,000.00
Atlanta Opera	N/A	Public	Civic	25,000.00
Atlanta Police Foundation	N/A	Public	Civic	25,000.00
Auditory Verbal Center, Inc.	N/A	Public	Civic	6,000.00
Big Brothers Big Sisters	N/A	Public	Civic	5,000.00
Bobby Dodd Institute	N/A	Public	Educational	25,000.00
Boys & Girls Club of Metro Atlanta	N/A	Public	Civic	10,000.00
Buckhead Christian Ministry	N/A	Public	Religious	5,000.00
Camp Sunshine	N/A	Public	Civic	5,000.00
Carrie-Steele Pitts Home	N/A	Public	Civic	25,000.00
Center For Children & Young Adults	N/A	Public	Civic	10,000.00
Center for Puppetry Arts	N/A	Public	Civic	15,000.00
Center for the Visually Impaired	N/A	Public	Civic	10,000.00
Central Atlanta Progress Inc./Capacity	N/A	Public	Civic	100,000.00
Chattahoochee Natural Center	N/A	Public	Civic	25,000.00
Child Development Association	N/A	Public	Civic	5,000.00
Cool Girls	N/A	Public	Civic	5,000.00
Covenant Community, Inc	N/A	Public	Civic	10,000.00
Create Your Dreams	N/A	Public	Civic	5,000.00
Crossroads Community Ministries	N/A	Public	Civic	25,000.00
Elaine Clark Center	N/A	Public	Civic	10,000.00
Emory University Dept. of Neurology	N/A	Public	Educational	125,000.00
Families First	N/A	Public	Civic	10,000.00
Fernbank Museum of Natural History	N/A	Public	Civic	25,000.00
Foundation Center Atlanta	N/A	Public	Civic	4,000.00
Fulton County Court App. Special Adv.	N/A	Public	Civic	5,000.00
Furniture Bank of Metro Atlanta	N/A	Public	Civic	5,000.00
Genesis Shelter	N/A	Public	Civic	20,000.00
George West Mental Health Foundation	N/A	Public	Medical	25,000.00
Georgia Campaign for Adolescent	N/A	Public	Civic	10,000.00
Georgia Center for Child Advocacy	N/A	Public	Civic	10,000.00
Georgia Center for Non-Profits	N/A	Public	Civic	5,000.00

Georgia Conservancy	N/A	Public	Civic	20,000.00
Georgia Ensemble Theatre	N/A	Public	Civic	5,000.00
Georgia Foundation for Independent Colleges	N/A	Public	Educational	20,000.00
Georgia Lions Lighthouse Foundation	N/A	Public	Civic	50,000.00
Georgia Shakespeare Festival	N/A	Public	Civic	5,000.00
Georgia Tech Foundation	N/A	Public	Educational	50,000.00
Girl Scouts of Greater Atlanta	N/A	Public	Civic	25,000.00
Global Health Action	N/A	Public	Civic	10,000.00
Goodwill Industries	N/A	Public	Civic	10,000.00
Hands on Atlanta	N/A	Public	Civic	20,000.00
High Museum of Art	N/A	Public	Civic	100,000.00
Hillside Inc.	N/A	Public	Civic	25,000.00
Horizon Theatre Company	N/A	Public	Civic	5,000.00
Housing Initiative of North Fulton	N/A	Public	Civic	5,000.00
Imagine It Children's Museum	N/A	Public	Civic	10,000.00
Jerusalem House Inc.	N/A	Public	Civic	15,000.00
Jewish Family & Career Services	N/A	Public	Civic	10,000.00
Lekotek of Georgia	N/A	Public	Civic	3,000.00
Little Five Points Community Center	N/A	Public	Civic	5,000.00
Marcus Autism Center	N/A	Public	Medical	25,000.00
Midtown Assistance Center, Inc.	N/A	Public	Civic	10,000.00
Museum of Contemporary Art	N/A	Public	Civic	20,000.00
National Kidney Foundation of Georgia	N/A	Public	Medical	5,000.00
Prevent Blindness Georgia	N/A	Public	Medical	6,000.00
Project Open Hand	N/A	Public	Civic	50,000.00
Refugee Family Services	N/A	Public	Civic	5,000.00
Samaritan House of Atlanta	N/A	Public	Civic	10,000.00
Spellman College	N/A	Public	Educational	50,000.00
Teach for America	N/A	Public	Educational	50,000.00
The Bridge	N/A	Public	Civic	5,000.00
The Study Hall Inc	N/A	Public	Civic	5,000.00
The Temple	N/A	Public	Religious	25,000.00
Theatrical Outfit	N/A	Public	Civic	5,000.00
Trees Atlanta	N/A	Public	Civic	10,000.00
United Negro College Fund, Inc	N/A	Public	Educational	10,000.00
United Way of Metropolitan Atlanta	N/A	Public	Civic	50,000.00
Visiting Nurse/Hospice Atlanta	N/A	Public	Medical	25,000.00
William Breman Jewish Home	N/A	Public	Civic	50,000.00
Woodruff Arts Center	N/A	Public	Civic	150,000.00
Year Up Atlanta	N/A	Public	Educational	25,000.00
YMCA of Metro Atlanta	N/A	Public	Civic	15,000.00
Young Audiences	N/A	Public	Civic	6,000.00
Youth Communication (VOX)	N/A	Public	Civic	12,000.00
Passtrough-Forester Opportunities Fd	N/A	Public	Civic	1.00

Total Grants and Donations				1,879,501.00
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ACCOUNT C110934

ASSETS DISPOSED / SOLD

2/01/10 THROUGH 1/31/11

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RICH FOUNDATION/COMBINED CUST

EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
STIF & MONEY MARKET FUNDS						
FEDERATED GOVT OBLIGS-I #5 FFS						
	NET SALES FOR THE PERIOD					
	CUSIP 609005DF7	1,635,012.340	0.00	1,635,012.34	-1,635,012.34	0.00
	1102734					
	NET SALES FOR THE PERIOD					
	CUSIP 609005DF7	122,897.650	0.00	122,897.65	-122,897.65	0.00
	7914624					
	NET SALES FOR THE PERIOD					
	CUSIP 609005DF7	406,957.270	0.00	406,957.27	-406,957.27	0.00
	7928044					
	TOTAL FOR ASSET	2,164,867.260	0.00	2,164,867.26	-2,164,867.26	0.00
RIDGEWORTH FD-US GOVT SECS MM I #502						
	NET SALES FOR THE PERIOD					
	CUSIP 76628T330	2,470,361.070	0.00	2,470,361.07	-2,470,361.07	0.00
	1102734					
	NET SALES FOR THE PERIOD					
	CUSIP 76628T330	788,769.720	0.00	788,769.72	-788,769.72	0.00
	1129680					
	NET SALES FOR THE PERIOD					
	CUSIP 76628T330	1,884,499.610	0.00	1,884,499.61	-1,884,499.61	0.00
	7914624					
	NET SALES FOR THE PERIOD					
	CUSIP 76628T330	379,678.640	0.00	379,678.64	-379,678.64	0.00
	7928044					
	TOTAL FOR ASSET	5,523,309.040	0.00	5,523,309.04	-5,523,309.04	0.00
TOTAL STIF & MONEY MARKET FUNDS						
		7,688,176.300	0.00	7,688,176.30	-7,688,176.30	0.00



ACCOUNT C110934

ASSETS DISPOSED / SOLD

2/01/10 THROUGH 1/31/11

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RICH FOUNDATION/COMBINED CUST

EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
EQUITY SECURITIES						
ACCENTURE PLC CL A COM						
11/11/10	SOLD THROUGH KNIGHT EQUITY MARKETS L.P. ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.23 SETTLEMENT DATE 11/16/10 300 SHARES AT \$44.6001 CUSIP 61151C101 1102734	300.000	3.00	13,376.80	-13,499.04	-122.24
	TOTAL FOR ASSET	300.000	3.00	13,376.80	-13,499.04	-122.24
ABBOTT LABS COM						
2/10/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.07 SETTLEMENT DATE 2/16/10 100 SHARES AT \$53.0501 CUSIP 002824100 1102734	100.000	1.00	5,303.94	-4,885.06	418.88
	TOTAL FOR ASSET	100.000	1.00	5,422.94	-4,885.06	537.88
3/05/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.07 SETTLEMENT DATE 3/10/10 100 SHARES AT \$54.2401 CUSIP 002824100 1102734	400.000	4.00	19,583.71	-19,540.24	43.47



ASSETS DISPOSED / SOLD

2/01/10 THROUGH 1/31/11

ACCOUNT C110934

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RICH FOUNDATION/COMBINED CUST

EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
9/09/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.35 SETTLEMENT DATE 9/14/10 400 SHARES AT \$51.0401 CUSIP 002824100 1102734	400.000	4.00	20,411.69	-19,540.24	871.45
11/11/10	SOLD THROUGH KNIGHT EQUITY MARKETS L.P. ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.51 SETTLEMENT DATE 11/16/10 600 SHARES AT \$49.9501 CUSIP 002824100 1102734	600.000	6.00	29,963.55	-32,491.02	-2,527.47
12/06/10	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH MISC 0.64 SETTLEMENT DATE 12/09/10 800 SHARES AT \$46.9268 CUSIP 002824100 1102734	800.000	16.00	37,524.80	-43,402.97	-5,878.17
12/23/10	SOLD THROUGH SANFORD C BERNSTEIN & CO LLC MISC 0.65 SETTLEMENT DATE 12/29/10 800 SHARES AT \$47.832 CUSIP 002824100 1102734	800.000	16.00	38,248.95	-43,974.24	-5,725.29
	TOTAL FOR ASSET AEROPOSTALE INC COM	3,200.000	48.00	156,459.58	-168,718.83	-12,259.25



ASSETS DISPOSED / SOLD

2/01/10 THROUGH 1/31/11

ACCOUNT C110934

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RICH FOUNDATION/COMBINED CUST

EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
3/12/10	SOLD ON THE NEW YORK STOCK EXCHANGE, MISC 0.00 SETTLEMENT DATE 3/12/10 CASH IN LIEU OF FRACTIONS CUSIP 007865108 7928044	0.500	0.00	12.92	-13.43	-0.51
1/04/11	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.33 SETTLEMENT DATE 1/07/11 807 SHARES AT \$24.0796 CUSIP 007865108 7928044	807.000	16.14	19,415.77	-21,681.94	-2,266.17
	TOTAL FOR ASSET	807.500	16.14	19,428.69	-21,695.37	-2,266.68
3/24/10	ADVENT SOFTWARE INC COM SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.08 SETTLEMENT DATE 3/29/10 128 SHARES AT \$44.4488 CUSIP 007974108 7928044	128.000	2.56	5,686.81	-2,921.78	2,765.03
3/25/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.11 SETTLEMENT DATE 3/30/10 177 SHARES AT \$44.6024 CUSIP 007974108 7928044	177.000	3.54	7,890.97	-5,490.64	2,400.33



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/01/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.27 SETTLEMENT DATE 7/07/10 343 SHARES AT \$45.5419 CUSIP 007974108 7928044	343.000	6.86	15,613.74	-13,822.90	1,790.84
	TOTAL FOR ASSET	648.000	12.96	29,191.52	-22,235.32	6,956.20
	AEROVIRONMENT INC COM					
12/06/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.15 SETTLEMENT DATE 12/09/10 374 SHARES AT \$23.433 CUSIP 008073108 7928044	374.000	7.48	8,756.31	-10,475.74	-1,719.43
	TOTAL FOR ASSET	745.000	14.90	17,417.13	-19,432.39	-2,015.26
	THROUGH LIQUIDNET INC					
12/06/10	SOLD THROUGH LIQUIDNET INC MISC 0.30 SETTLEMENT DATE 12/09/10 745 SHARES AT \$23.3991 CUSIP 008073108 7928044	745.000	2.92	3,425.17	-3,307.67	117.50
	TOTAL FOR ASSET	1,265.000	25.30	29,598.61	-33,215.80	-3,617.19
	ALLERGAN INC COM					



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
3/05/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.08 SETTLEMENT DATE 3/10/10 100 SHARES AT \$61.7701 CUSIP 018490102 1102734	100.000	1.00	6,175.93	-5,916.66	259.27
5/12/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.32 SETTLEMENT DATE 5/17/10 300 SHARES AT \$63.2501 CUSIP 018490102 1102734	300.000	3.00	18,971.71	-17,819.57	1,152.14
8/11/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.21 SETTLEMENT DATE 8/16/10 200 SHARES AT \$62.92 CUSIP 018490102 1102734	200.000	2.00	12,581.79	-11,787.04	794.75
11/11/10	SOLD THROUGH KNIGHT EQUITY MARKETS L.P. ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.47 SETTLEMENT DATE 11/16/10 400 SHARES AT \$68.9201 CUSIP 018490102 1102734	400.000	4.00	27,563.57	-23,574.08	3,989.49
TOTAL FOR ASSET		1,000.000	10.00	65,293.00	-59,097.35	6,195.65

AMERICAN EXPRESS CO COM



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
10/07/10	SOLD THROUGH CITIGROUP GLOBAL MARKET INC. MISC 1.03 SETTLEMENT DATE 10/13/10 1,600 SHARES AT \$37.9277 CUSIP 025816109 1102734	1,600.000	64.00	60,619.29	-69,300.92	-8,681.63
10/12/10	SOLD THROUGH MORGAN STANLEY & CO. INC ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.40 SETTLEMENT DATE 10/15/10 600 SHARES AT \$38.5808 CUSIP 025816109 1102734	600.000	24.00	23,124.08	-25,036.50	-1,912.42
10/13/10	SOLD THROUGH MORGAN STANLEY & CO. INC ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.27 SETTLEMENT DATE 10/18/10 400 SHARES AT \$39.0176 CUSIP 025816109 1102734	400.000	16.00	15,590.77	-16,512.50	-921.73
11/11/10	SOLD THROUGH KNIGHT EQUITY MARKETS L.P. ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.07 SETTLEMENT DATE 11/16/10 100 SHARES AT \$43.2801 CUSIP 025816109 1102734	100.000	1.00	4,326.94	-4,113.25	213.69
12/17/10	SOLD THROUGH UBS WARBURG ON THE NEW YORK STOCK EXCHANGE, INC. MISC 1.35 SETTLEMENT DATE 12/22/10 1,800 SHARES AT \$44.3244 CUSIP 025816109 1102734	1,800.000	36.00	79,746.57	-75,945.09	3,801.48



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
3/06/10	TOTAL FOR ASSET	4,500.000	141.00	183,407.65	-190,908.26	-7,500.61
	APPLE INC COM					
	SOLD					
	THROUGH RBC CAPITAL MARKETS CORP					
	MISC 0.27	100.000	4.00	21,011.70	-14,095.34	6,916.36
	SETTLEMENT DATE 3/09/10					
	100 SHARES AT #210.1597					
	CUSIP 037833100					
	1102734					
4/08/10	SOLD	200.000	8.00	48,030.06	-28,190.68	19,839.38
	THROUGH UBS WARBURG					
	ON THE OTC BULLETIN BOARD					
	MISC 0.82					
	SETTLEMENT DATE 4/13/10					
	200 SHARES AT #240.1944					
	CUSIP 037833100					
	1102734					
4/28/10	SOLD	150.000	6.00	38,810.84	-19,308.59	19,502.25
	THROUGH RBC CAPITAL MARKETS CORP					
	MISC 0.66					
	SETTLEMENT DATE 5/03/10					
	150 SHARES AT #258.7833					
	CUSIP 037833100					
	1102734					
5/12/10	SOLD	100.000	1.00	26,169.57	-10,426.49	15,743.08
	THROUGH KNIGHT SECS BROADCAST CAP					
	MISC 0.44					
	SETTLEMENT DATE 5/17/10					
	100 SHARES AT #261.7101					
	CUSIP 037833100					
	1102734					



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6/29/10	SOLD THROUGH ISI GROUP INC. MISC 0.49 SETTLEMENT DATE 7/02/10 110 SHARES AT \$258.5351 CUSIP 037833100 1102734	110.000	4.40	28,433.97	-11,469.14	16,964.83
7/06/10	SOLD THROUGH BEAR STEARNS & CO INC MISC 0.68 SETTLEMENT DATE 7/09/10 160 SHARES AT \$250.024 CUSIP 037833100 1102734	160.000	6.40	39,996.76	-16,399.39	23,597.37
9/27/10	SOLD THROUGH DEUTSCHE BANK SECURITIES INC ON THE OTC BULLETIN BOARD MISC 0.59 SETTLEMENT DATE 9/30/10 120 SHARES AT \$292.9705 CUSIP 037833100 1102734	120.000	4.80	35,151.07	-10,813.86	24,337.21
10/19/10	SOLD THROUGH CANTOR FITZGERALD & CO. ON THE OTC BULLETIN BOARD MISC 0.68 SETTLEMENT DATE 10/22/10 130 SHARES AT \$307.9015 CUSIP 037833100 1102734	130.000	2.60	40,023.92	-11,715.02	28,308.90
11/11/10	SOLD THROUGH KNIGHT EQUITY MARKETS L.P. MISC 0.53 SETTLEMENT DATE 11/16/10 100 SHARES AT \$316.2001 CUSIP 037833100 1102734	100.000	1.00	31,618.48	-9,011.55	22,606.93



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
1/18/11	SOLD THROUGH KNIGHT EQUITY MARKETS L.P. MISC 0.70 SETTLEMENT DATE 1/21/11 110 SHARES AT \$333.0594 CUSIP 037833100 1102734	110.000	2.20	36,633.63	-9,912.70	26,720.93
	TOTAL FOR ASSET	1,280.000	40.40	345,980.00	-141,342.76	204,537.24
	ASIAINFO-LINKAGE INC COM					
9/15/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.21 SETTLEMENT DATE 9/20/10 756 SHARES AT \$15.9109 CUSIP 04518A104 7928044	756.000	15.12	12,013.31	-14,967.82	-2,954.51
9/16/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.10 SETTLEMENT DATE 9/21/10 352 SHARES AT \$15.904 CUSIP 04518A104 7928044	352.000	7.04	5,591.07	-6,969.14	-1,378.07
12/08/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.15 SETTLEMENT DATE 12/13/10 517 SHARES AT \$16.4644 CUSIP 04518A104 7928044	517.000	10.34	8,501.60	-10,976.57	-2,474.97



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
12/09/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.11 SETTLEMENT DATE 12/14/10 396 SHARES AT \$16.0092 CUSIP 04518A104 7928044	396.000	7.92	6,331.61	-9,332.43	-3,000.82
	TOTAL FOR ASSET	2,021.000	40.42	32,437.59	-42,245.96	-9,808.37
8/05/10	ATHEROS COMMUNICATIONS INC COM SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.35 SETTLEMENT DATE 8/10/10 783 SHARES AT \$25.7606 CUSIP 04743P108 7928044	783.000	15.66	20,154.54	-26,958.67	-6,804.13
8/06/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.14 SETTLEMENT DATE 8/11/10 300 SHARES AT \$25.8152 CUSIP 04743P108 7928044	300.000	6.00	7,738.42	-8,972.16	-1,233.74
	TOTAL FOR ASSET	1,083.000	21.66	27,892.96	-35,930.83	-8,037.87
	BRIDGEPOINT ED INC COM					



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
8/17/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.15 SETTLEMENT DATE 8/20/10 666 SHARES AT \$13.0113 CUSIP 10807M105 7928044	666.000	33.30	8,632.08	-11,461.46	-2,809.38
8/18/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.14 SETTLEMENT DATE 8/23/10 595 SHARES AT \$13.2339 CUSIP 10807M105 7928044	595.000	29.75	7,844.28	-10,240.64	-2,396.36
	TOTAL FOR ASSET	1,261.000	63.05	16,476.36	-21,682.10	-5,205.74
11/11/10	BROADCOM CORP CL A COM					
	SOLD THROUGH KNIGHT EQUITY MARKETS L.P. MISC 0.21 SETTLEMENT DATE 11/16/10 300 SHARES AT \$40.7501 CUSIP 111320107 1102734	300.000	3.00	12,221.82	-9,598.71	2,623.11
	TOTAL FOR ASSET	300.000	3.00	12,221.82	-9,598.71	2,623.11
10/19/10	BUFFALO WILD WINGS INC COM					
	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.09 SETTLEMENT DATE 10/22/10 106 SHARES AT \$48.2981 CUSIP 119848109 7928044	106.000	5.30	5,114.21	-3,677.68	1,436.53



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
10/20/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.17 SETTLEMENT DATE 10/25/10 198 SHARES AT \$48.0167 CUSIP 119848109 7928044	198.000	9.90	9,497.24	-7,976.13	1,521.11
11/24/10	SOLD THROUGH CANTOR FITZGERALD & CO. ON THE OTC BULLETIN BOARD MISC 0.20 SETTLEMENT DATE 11/30/10 241 SHARES AT \$48.8793 CUSIP 119848109 7928044	241.000	7.23	11,772.48	-9,475.13	2,297.35
12/03/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.32 SETTLEMENT DATE 12/08/10 403 SHARES AT \$45.8625 CUSIP 119848109 7928044	403.000	8.06	18,474.21	-17,018.31	1,455.90
	TOTAL FOR ASSET	948.000	30.49	44,858.14	-38,147.25	6,710.89
	CAMERON INTL CORP COM					
2/10/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.02 SETTLEMENT DATE 2/16/10 50 SHARES AT \$38.96 CUSIP 13342B105 1102734	50.000	0.50	1,947.48	-2,064.45	-116.97



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
3/05/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.06 SETTLEMENT DATE 3/10/10 100 SHARES AT \$44.0101 CUSIP 13342B105 1102734	100.000	1.00	4,399.95	-4,128.90	271.05
3/16/10	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM MISC 0.22 SETTLEMENT DATE 3/19/10 400 SHARES AT \$43.9997 CUSIP 13342B105 1102734	400.000	16.00	17,583.66	-16,562.13	1,021.53
3/17/10	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM MISC 0.28 SETTLEMENT DATE 3/22/10 500 SHARES AT \$44.2448 CUSIP 13342B105 1102734	500.000	20.00	22,102.12	-21,109.75	992.37
5/12/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.13 SETTLEMENT DATE 5/17/10 200 SHARES AT \$39.2901 CUSIP 13342B105 1102734	200.000	2.00	7,855.89	-8,443.90	-588.01
6/01/10	SOLD THROUGH BARCLAYS CAPITAL LE MISC 0.23 SETTLEMENT DATE 6/04/10 400 SHARES AT \$34.0067 CUSIP 13342B105 1102734	400.000	16.00	13,586.45	-16,887.80	-3,301.35



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
6/02/10	SOLD THROUGH BARCLAYS CAPITAL LE MISC 0.28 SETTLEMENT DATE 6/07/10 500 SHARES AT \$32.772 CUSIP 13342B105 1102734	500.000	20.00	16,365.72	-22,989.54	-6,623.82
9/09/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.13 SETTLEMENT DATE 9/14/10 200 SHARES AT \$39.4801 CUSIP 13342B105 1102734	200.000	2.00	7,893.89	-9,563.00	-1,669.11
11/11/10	SOLD THROUGH KNIGHT EQUITY MARKETS L.P. ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.31 SETTLEMENT DATE 11/16/10 400 SHARES AT \$45.6001 CUSIP 13342B105 1102734	400.000	4.00	18,235.73	-19,126.00	-890.27
12/07/10	SOLD THROUGH STIFEL, NICOLAUS & CO., INC. MISC 0.68 SETTLEMENT DATE 12/10/10 800 SHARES AT \$49.5815 CUSIP 13342B105 1102734	800.000	16.00	39,648.52	-27,681.37	11,967.15
	TOTAL FOR ASSET	3,550.000	97.50	149,619.41	-148,556.84	1,062.57
	CAPELLA EDUCATION CO COM					



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
3/24/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.14 SETTLEMENT DATE 3/29/10 115 SHARES AT \$90.754 CUSIP 139594105 7928044	115.000	2.30	10,434.27	-7,968.35	2,465.92
	TOTAL FOR ASSET	115.000	2.30	10,434.27	-7,968.35	2,465.92
11/11/10	CARNIVAL CORP UNIT COM SOLD THROUGH KNIGHT EQUITY MARKETS L.P. ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.15 SETTLEMENT DATE 11/16/10 200 SHARES AT \$42.9301 CUSIP 143658300 1102734	200.000	2.00	8,583.87	-7,916.86	667.01
	TOTAL FOR ASSET	200.000	2.00	8,583.87	-7,916.86	667.01
6/10	CAVIUM NETWORKS COM SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.18 SETTLEMENT DATE 12/09/10 258 SHARES AT \$39.6435 CUSIP 14965A101 7928044	258.000	5.16	10,222.68	-7,045.44	3,177.24



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
12/07/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.20 SETTLEMENT DATE 12/10/10 296 SHARES AT \$39.2452 CUSIP 14965A101 7928044	296.000	5.92	11,610.46	-8,021.38	3,589.08
12/10/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.27 SETTLEMENT DATE 12/15/10 402 SHARES AT \$38.4536 CUSIP 14965A101 7928044	402.000	20.10	15,437.98	-9,696.77	5,741.21
12/16/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.21 SETTLEMENT DATE 12/21/10 321 SHARES AT \$37.044 CUSIP 14965A101 7928044	321.000	6.42	11,884.49	-8,127.65	3,756.84
	TOTAL FOR ASSET	1,277.000	37.60	49,155.61	-32,891.24	16,264.37
	COCA-COLA CO COM					
2/10/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.07 SETTLEMENT DATE 2/16/10 100 SHARES AT \$53.8401 CUSIP 191216100 1102736	100.000	1.00	5,382.94	-4,759.91	623.03



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/25/10	SOLD THROUGH CITIGROUP GLOBAL MARKET INC. MISC 0.54 SETTLEMENT DATE 3/02/10 800 SHARES AT \$52.9475 CUSIP 191216100 1102734	800.000	32.00	42,325.46	-38,893.72	3,431.74
3/05/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.07 SETTLEMENT DATE 3/10/10 100 SHARES AT \$54.76 CUSIP 191216100 1102734	100.000	1.00	5,474.93	-5,167.13	307.80
5/12/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.27 SETTLEMENT DATE 5/17/10 300 SHARES AT \$53.8909 CUSIP 191216100 1102734	300.000	3.00	16,164.00	-15,501.39	662.61
9/09/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.29 SETTLEMENT DATE 9/14/10 300 SHARES AT \$58.0517 CUSIP 191216100 1102734	300.000	3.00	17,412.22	-15,501.39	1,910.83
11/11/10	SOLD THROUGH KNIGHT EQUITY MARKETS L.P. ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.42 SETTLEMENT DATE 11/16/10 400 SHARES AT \$62.3801 CUSIP 191216100 1102734	400.000	4.00	24,947.62	-20,668.52	4,279.10



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
3/10	TOTAL FOR ASSET	2,000.000	44.00	111,707.17	-100,492.06	11,215.11
	COGENT INC COM					
	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.25 SETTLEMENT DATE 8/18/10 1,657 SHARES AT \$8.5747 CUSIP 19239Y108 7928044	1,657.000	33.14	14,174.89	-16,631.81	-2,456.92
8/16/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.04 SETTLEMENT DATE 8/19/10 234 SHARES AT \$8.5689 CUSIP 19239Y108 7928044	234.000	4.68	2,000.40	-2,348.73	-348.33
9/20/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.37 SETTLEMENT DATE 9/23/10 1,992 SHARES AT \$10.7375 CUSIP 19239Y108 7928044	1,992.000	99.60	21,289.13	-20,658.04	631.09
	TOTAL FOR ASSET	3,883.000	137.42	37,464.42	-39,638.58	-2,174.16
	COLGATE PALMOLIVE CO COM					
2/10/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.05 SETTLEMENT DATE 2/16/10 50 SHARES AT \$79.58 CUSIP 194162103 1102734	50.000	0.50	3,978.45	-2,870.39	1,108.06



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
3/05/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.11 SETTLEMENT DATE 3/10/10 100 SHARES AT \$84.1501 CUSIP 194162103 1102734	100.000	1.00	8,413.90	-5,740.78	2,673.12
5/12/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.14 SETTLEMENT DATE 5/17/10 100 SHARES AT \$83.4401 CUSIP 194162103 1102734	100.000	1.00	8,342.87	-5,740.78	2,602.09
8/16/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.77 SETTLEMENT DATE 8/19/10 600 SHARES AT \$76.1227 CUSIP 194162103 1102734	600.000	12.00	45,660.85	-37,198.13	8,462.72
8/26/10	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM MISC 0.50 SETTLEMENT DATE 8/31/10 400 SHARES AT \$74.2924 CUSIP 194162103 1102734	400.000	16.00	29,700.46	-26,028.69	3,671.77
10/05/10	SOLD THROUGH SANFORD C BERNSTEIN & CO LLC MISC 0.51 SETTLEMENT DATE 10/08/10 400 SHARES AT \$74.7688 CUSIP 194162103 1102734	400.000	16.00	29,891.01	-25,576.15	4,314.86



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
11/11/10	SOLD THROUGH KNIGHT EQUITY MARKETS L.P. ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.13 SETTLEMENT DATE 11/16/10 100 SHARES AT \$76.5301 CUSIP 194162103 1102734	100.000	1.00	7,651.88	-6,458.02	1,193.86
12/06/10	SOLD THROUGH WEEDEN AND CO. ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.53 SETTLEMENT DATE 12/09/10 400 SHARES AT \$77.8227 CUSIP 194162103 1102734	400.000	8.00	31,120.55	-28,387.43	2,733.12
	TOTAL FOR ASSET	2,150.000	55.50	164,759.97	-138,000.37	26,759.60
	CONSCORE INC COM					
9/27/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.07 SETTLEMENT DATE 9/30/10 175 SHARES AT \$23.3478 CUSIP 20564W105 7928044	175.000	3.50	4,082.30	-3,116.75	965.55
9/28/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.08 SETTLEMENT DATE 10/01/10 192 SHARES AT \$23.0543 CUSIP 20564W105 7928044	192.000	3.84	4,422.51	-3,419.52	1,002.99



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
9/29/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.06 SETTLEMENT DATE 10/04/10 136 SHARES AT \$23.2473 CUSIP 20564W105 7928044	136.000	2.72	3,158.85	-2,422.16	736.69
	TOTAL FOR ASSET	503.000	10.06	11,663.66	-8,958.43	2,705.23
	COSTCO WHOLESALE CORP COM					
2/10/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.04 SETTLEMENT DATE 2/16/10 50 SHARES AT \$59.04 CUSIP 22160K105 1102734	50.000	0.50	2,951.46	-2,726.00	225.46
3/05/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.08 SETTLEMENT DATE 3/10/10 100 SHARES AT \$60.6401 CUSIP 22160K105 1102734	100.000	1.00	6,062.93	-5,452.00	610.93
5/12/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.29 SETTLEMENT DATE 5/17/10 300 SHARES AT \$57.8601 CUSIP 22160K105 1102734	300.000	3.00	17,354.74	-16,378.01	976.73



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
8/11/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.28 SETTLEMENT DATE 8/16/10 300 SHARES AT \$56.0125 CUSIP 22160K105 1102734	300.000	3.00	16,800.47	-17,083.03	-282.56
8/26/10	SOLD THROUGH MORGAN STANLEY & CO. INC MISC 0.39 SETTLEMENT DATE 8/31/10 400 SHARES AT \$56.4149 CUSIP 22160K105 1102734	400.000	16.00	22,549.57	-26,743.80	-4,194.23
11/11/10	SOLD THROUGH KNIGHT EQUITY MARKETS L.P. MISC 0.43 SETTLEMENT DATE 11/16/10 400 SHARES AT \$64.0101 CUSIP 22160K105 1102734	400.000	4.00	25,599.61	-26,784.88	-1,185.27
12/03/10	SOLD THROUGH COWEN AND COMPANY, LLC ON THE OTC BULLETIN BOARD MISC 0.70 SETTLEMENT DATE 12/08/10 600 SHARES AT \$68.3773 CUSIP 22160K105 1102734	600.000	12.00	41,013.68	-37,210.38	3,803.30
1/03/11	SOLD THROUGH UBS WARBURG ON THE NYSE ALTERNEXT US MISC 0.62 SETTLEMENT DATE 1/06/11 500 SHARES AT \$72.6954 CUSIP 22160K105 1102734	500.000	20.00	36,327.08	-27,518.60	8,808.48
	TOTAL FOR ASSET	2,650.000	59.50	168,659.54	-159,896.70	8,762.84



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
5/04/10	CRYOLIFE INC COM					
	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.15 SETTLEMENT DATE 5/07/10 1,420 SHARES AT \$5.9096 CUSIP 228903100 7928044	1,420.000	28.40	8,363.08	-11,601.40	-3,238.32
6/08/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.18 SETTLEMENT DATE 6/11/10 1,954 SHARES AT \$5.2618 CUSIP 228903100 7928044	1,954.000	39.08	10,242.30	-15,964.18	-5,721.88
	TOTAL FOR ASSET	3,374.000	67.48	18,605.38	-27,565.58	-8,960.20
6/08/10	CYBERSOURCE CORP DEL COM					
	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.87 SETTLEMENT DATE 6/11/10 1,983 SHARES AT \$25.6677 CUSIP 23251J106 7928044	1,983.000	99.15	50,799.03	-33,453.21	17,345.82
	TOTAL FOR ASSET	1,983.000	99.15	50,799.03	-33,453.21	17,345.82
	D6 FASTCHANNEL INC COM					



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
8/30/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.25 SETTLEMENT DATE 9/02/10 894 SHARES AT \$15.9533 CUSIP 23326R109 7928044	894.000	26.87	14,235.13	-28,917.45	-14,682.32
	TOTAL FOR ASSET	894.000	26.87	14,235.13	-28,917.45	-14,682.32
2/10/10	WALT DISNEY CO COM SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.04 SETTLEMENT DATE 2/16/10 100 SHARES AT \$29.4301 CUSIP 254687106 1102734	100.000	1.00	2,941.97	-2,283.25	658.72
3/05/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.04 SETTLEMENT DATE 3/10/10 100 SHARES AT \$32.9901 CUSIP 254687106 1102734	100.000	1.00	3,297.97	-2,283.25	1,014.72
5/12/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.18 SETTLEMENT DATE 5/17/10 300 SHARES AT \$35.4001 CUSIP 254687106 1102734	300.000	3.00	10,616.85	-6,849.75	3,767.10



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
6/07/10	SOLD THROUGH COWEN AND COMPANY, LLC ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.50 SETTLEMENT DATE 6/10/10 873 SHARES AT \$33.4769 CUSIP 254687106 1102734	873.000	34.92	29,189.91	-19,955.05	9,234.86
6/08/10	SOLD THROUGH COWEN AND COMPANY, LLC ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.13 SETTLEMENT DATE 6/11/10 227 SHARES AT \$32.8731 CUSIP 254687106 1102734	227.000	9.08	7,452.98	-5,212.22	2,240.76
9/09/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.17 SETTLEMENT DATE 9/14/10 300 SHARES AT \$34.4801 CUSIP 254687106 1102734	300.000	3.00	10,340.86	-6,888.39	3,452.47
11/11/10	SOLD THROUGH KNIGHT EQUITY MARKETS L.P. ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.25 SETTLEMENT DATE 11/16/10 400 SHARES AT \$36.7601 CUSIP 254687106 1102734	400.000	4.00	14,699.79	-9,184.52	5,515.27
TOTAL FOR ASSET		2,300.000	56.00	78,540.33	-52,656.43	25,883.90
DOUBLE-TAKE SOFTWARE INC COM						



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/12/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.12 SETTLEMENT DATE 2/18/10 1,138 SHARES AT \$8.3028 CUSIP 258598101 7928044	1,138.000	22.76	9,425.71	-11,391.38	-1,965.67
2/16/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.11 SETTLEMENT DATE 2/19/10 947 SHARES AT \$8.4511 CUSIP 258598101 7928044	947.000	18.94	7,984.14	-9,479.47	-1,495.33
2/17/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.13 SETTLEMENT DATE 2/22/10 1,198 SHARES AT \$8.3167 CUSIP 258598101 7928044	1,198.000	23.96	9,939.32	-11,412.66	-1,473.34
	TOTAL FOR ASSET EV3 INC COM	3,283.000	65.66	27,349.17	-32,283.51	-4,934.34
6/28/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.72 SETTLEMENT DATE 7/01/10 1,884 SHARES AT \$22.3491 CUSIP 26928A200 7928044	1,884.000	37.68	42,067.30	-22,789.43	19,277.87
	TOTAL FOR ASSET	1,884.000	37.68	42,067.30	-22,789.43	19,277.87



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/10/10	EHEALTH INC COM					
	SOLD	161.000	3.22	2,890.54	-2,353.64	536.90
	THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD					
	MISC 0.04					
	SETTLEMENT DATE 2/16/10					
	161 SHARES AT \$17.9739					
	CUSIP 28238P109					
	7928044					
2/11/10	SOLD	367.000	7.34	6,569.03	-5,365.14	1,203.89
	THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD					
	MISC 0.09					
	SETTLEMENT DATE 2/17/10					
	367 SHARES AT \$17.9195					
	CUSIP 28238P109					
	7928044					
7/19/10	SOLD	518.000	25.90	5,393.32	-7,572.59	-2,179.27
	THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD					
	MISC 0.10					
	SETTLEMENT DATE 7/22/10					
	518 SHARES AT \$10.462					
	CUSIP 28238P109					
	7928044					
7/21/10	SOLD	595.000	29.75	6,219.31	-8,698.24	-2,478.93
	THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD					
	MISC 0.11					
	SETTLEMENT DATE 7/26/10					
	595 SHARES AT \$10.5028					
	CUSIP 28238P109					
	7928044					



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/22/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.04 SETTLEMENT DATE 7/27/10 182 SHARES AT \$10.5045 CUSIP 28238P109 7928044	182.000	9.10	1,902.68	-2,660.64	-757.96
7/23/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.06 SETTLEMENT DATE 7/28/10 326 SHARES AT \$10.4866 CUSIP 28238P109 7928044	326.000	16.30	3,402.27	-4,765.76	-1,363.49
7/26/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.04 SETTLEMENT DATE 7/29/10 203 SHARES AT \$10.3755 CUSIP 28238P109 7928044	203.000	10.15	2,096.04	-2,967.64	-871.60
	TOTAL FOR ASSET	2,352.000	101.76	28,473.19	-34,383.65	-5,910.46
	EMERSON ELEC CO COM					
3/05/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.06 SETTLEMENT DATE 3/10/10 100 SHARES AT \$48.5301 CUSIP 291011104 1102734	100.000	1.00	4,851.95	-5,042.82	-190.87



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
11/11/10	SOLD THROUGH KNIGHT EQUITY MARKETS L.P. ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.28 SETTLEMENT DATE 11/16/10 300 SHARES AT \$55.6401 CUSIP 291011104 1102734	300.000	3.00	16,688.75	-15,128.46	1,560.29
	TOTAL FOR ASSET	400.000	4.00	21,540.70	-20,171.28	1,369.42
12/13/10	ENTROPIC COMMUNICATIONS INC SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.16 SETTLEMENT DATE 12/16/10 798 SHARES AT \$11.8314 CUSIP 29384R105 7928044	798.000	15.96	9,425.34	-5,670.51	3,754.83
	TOTAL FOR ASSET	798.000	15.96	9,425.34	-5,670.51	3,754.83
	FEI CO COM					
3/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.15 SETTLEMENT DATE 3/08/10 478 SHARES AT \$23.1545 CUSIP 30241L109 7928044	478.000	9.56	11,058.14	-11,463.50	-405.36



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
5/21/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.19 SETTLEMENT DATE 5/26/10 533 SHARES AT \$20.6503 CUSIP 302411109 7928044	533.000	10.66	10,995.76	-13,133.12	-2,137.36
5/24/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.20 SETTLEMENT DATE 5/27/10 561 SHARES AT \$20.6791 CUSIP 302411109 7928044	561.000	11.22	11,589.56	-13,823.04	-2,233.48
	TOTAL FOR ASSET	1,572.000	31.44	33,643.46	-38,419.66	-4,776.20
2/10/10	FLUOR CORP COM NEW SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.03 SETTLEMENT DATE 2/16/10 50 SHARES AT \$43.09 CUSIP 343412102 1102734	50.000	0.50	2,153.97	-2,221.29	-67.32
3/05/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.06 SETTLEMENT DATE 3/10/10 100 SHARES AT \$44.8101 CUSIP 343412102 1102734	100.000	1.00	4,479.95	-4,442.58	37.37



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
5/12/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.18 SETTLEMENT DATE 5/17/10 200 SHARES AT \$53.5601 CUSIP 343412102 1102734	200.000	2.00	10,709.84	-8,885.16	1,824.68
9/09/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.16 SETTLEMENT DATE 9/14/10 200 SHARES AT \$48.6301 CUSIP 343412102 1102734	200.000	2.00	9,723.86	-8,885.16	838.70
11/11/10	SOLD THROUGH KNIGHT EQUITY MARKETS L.P. ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.37 SETTLEMENT DATE 11/16/10 400 SHARES AT \$55.1301 CUSIP 343412102 1102734	400.000	4.00	22,047.67	-17,770.32	4,277.35
1/05/11	SOLD THROUGH GOLDMAN, SACHS & CO. MISC 0.67 SETTLEMENT DATE 1/10/11 600 SHARES AT \$65.3881 CUSIP 343412102 1102734	600.000	24.00	39,208.19	-25,058.89	14,149.30
	TOTAL FOR ASSET	1,550.000	33.50	88,323.48	-67,263.40	21,060.08
	FOSSIL INC COM					



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
9/21/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.38 SETTLEMENT DATE 9/24/10 423 SHARES AT \$51.8214 CUSIP 349882100 7928044	423.000	8.46	21,911.61	-11,894.76	10,016.85
11/04/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.21 SETTLEMENT DATE 11/09/10 202 SHARES AT \$61.1941 CUSIP 349882100 7928044	202.000	10.10	12,350.90	-5,680.24	6,670.66
2/12/10	TOTAL FOR ASSET FUEL SYSTEMS SOLUT COM	625.000	18.56	34,262.51	-17,575.00	16,687.51
2/12/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.24 SETTLEMENT DATE 2/18/10 615 SHARES AT \$29.9316 CUSIP 35952W103 7928044	615.000	12.30	18,395.39	-22,426.69	-4,031.30
	TOTAL FOR ASSET GENOPTIX INC COM	615.000	12.30	18,395.39	-22,426.69	-4,031.30



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
6/17/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.33 SETTLEMENT DATE 6/22/10 1,151 SHARES AT \$16.6981 CUSIP 37243V100 7928044	1,151.000	23.02	19,196.16	-39,311.55	-20,115.39
	TOTAL FOR ASSET	1,151.000	23.02	19,196.16	-39,311.55	-20,115.39
2/10/10	GILEAD SCIENCES INC COM SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.06 SETTLEMENT DATE 2/16/10 100 SHARES AT \$46.0901 CUSIP 375558103 1102734	100.000	1.00	4,607.95	-4,361.89	246.06
3/05/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.06 SETTLEMENT DATE 3/10/10 100 SHARES AT \$47.4901 CUSIP 375558103 1102734	100.000	1.00	4,747.95	-4,361.89	386.06
4/21/10	SOLD THROUGH CITIGROUP GLOBAL MARKET INC. MISC 0.69 SETTLEMENT DATE 4/26/10 1,000 SHARES AT \$40.6732 CUSIP 375558103 1102734	1,000.000	40.00	40,632.51	-43,618.90	-2,986.39



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
4/30/10	SOLD THROUGH LEERINK SWANN AND COMPANY ON THE OTC BULLETIN BOARD MISC 0.61 SETTLEMENT DATE 5/05/10 900 SHARES AT \$40.0731 CUSIP 375558103 1102734	900.000	36.00	36,029.18	-39,257.01	-3,227.83
5/04/10	SOLD THROUGH BEAR STEARNS & CO INC MISC 0.59 SETTLEMENT DATE 5/07/10 900 SHARES AT \$38.7543 CUSIP 375558103 1102734	900.000	36.00	34,842.28	-39,842.31	-5,000.03
5/12/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.14 SETTLEMENT DATE 5/17/10 200 SHARES AT \$40.0401 CUSIP 375558103 1102734	200.000	2.00	8,005.88	-8,981.64	-975.76
5/14/10	SOLD THROUGH SANFORD C BERNSTEIN & CO LLC MISC 2.00 SETTLEMENT DATE 5/19/10 3,100 SHARES AT \$38.0011 CUSIP 375558103 1102734	3,100.000	124.00	117,677.41	-150,388.08	-32,710.67
	TOTAL FOR ASSET	6,300.000	240.00	246,543.16	-290,811.72	-44,268.56
	GLOBAL PAYMENTS INC COM					



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
8/13/10	SOLD THROUGH BNY BROKERAGE INC (ESI) MISC 0.57 SETTLEMENT DATE 8/18/10 900 SHARES AT \$37.2607 CUSIP 37940X102 7914624	900.000	45.00	33,489.06	-33,363.81	125.25
1/14/11	SOLD THROUGH BNY BROKERAGE INC (ESI) MISC 2.88 SETTLEMENT DATE 1/20/11 3,600 SHARES AT \$47.2346 CUSIP 37940X102 7914624	3,600.000	180.00	169,861.68	-154,200.78	15,660.90
	TOTAL FOR ASSET	4,500.000	225.00	203,350.74	-187,564.59	15,786.15
2/10/10	GOOGLE INC CL A COM					
	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.27 SETTLEMENT DATE 2/16/10 40 SHARES AT \$533.10 CUSIP 38259P508 1102734	40.000	0.40	21,323.33	-18,495.96	2,827.37
9/09/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.81 SETTLEMENT DATE 9/14/10 100 SHARES AT \$479.3801 CUSIP 38259P508 1102734	100.000	1.00	47,936.20	-46,380.78	1,555.42



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
10/12/10	SOLD THROUGH THEMIS TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.28 SETTLEMENT DATE 10/15/10 30 SHARES AT \$540.7391 CUSIP 38259P508 1102734	30.000	0.60	16,221.29	-14,012.86	2,208.43
	TOTAL FOR ASSET	170.000	2.00	85,480.82	-78,889.60	6,591.22
	HAEMONETICS CORP COM					
2/04/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.16 SETTLEMENT DATE 2/09/10 227 SHARES AT \$53.784 CUSIP 405024100 7928044	227.000	4.54	12,204.27	-12,607.58	-403.31
	TOTAL FOR ASSET	216.000	4.32	11,592.58	-11,996.64	-404.06
8/17/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.20 SETTLEMENT DATE 8/20/10 216 SHARES AT \$53.6903 CUSIP 405024100 7928044	216.000	4.91	8,917.36	-9,275.18	-357.82
	TOTAL FOR ASSET	610.000	13.77	32,714.21	-33,879.40	-1,165.19



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
11/08/10	HARBIN ELECTRIC INC COM					
	SOLD					
	THROUGH BAYPOINT TRADING LLC					
	ON THE OTC BULLETIN BOARD					
	MISC 0.13	332.000	16.60	7,192.55	-6,739.51	453.04
	SETTLEMENT DATE 11/12/10					
	332 SHARES AT \$21.7147					
	CUSIP 41145W109					
	7928044					
11/09/10	SOLD					
	THROUGH BAYPOINT TRADING LLC					
	ON THE OTC BULLETIN BOARD					
	MISC 0.16	436.000	21.80	9,159.81	-9,563.91	-404.10
	SETTLEMENT DATE 11/15/10					
	436 SHARES AT \$21.0591					
	CUSIP 41145W109					
	7928044					
	TOTAL FOR ASSET	768.000	38.40	16,352.36	-16,303.42	48.94
	HEWLETT-PACKARD CO COM					
2/10/10	SOLD					
	THROUGH KNIGHT SECS BROADCAST CAP					
	MISC 0.03	50.000	0.50	2,400.97	-1,681.96	719.01
	SETTLEMENT DATE 2/16/10					
	50 SHARES AT \$48.03					
	CUSIP 428236103					
	1102734					
3/03/10	SOLD					
	THROUGH STIFEL, NICOLAUS & CO., INC.					
	MISC 0.40	600.000	24.00	30,707.78	-21,058.48	9,649.30
	SETTLEMENT DATE 3/08/10					
	600 SHARES AT \$51.2203					
	CUSIP 428236103					
	1102734					



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
3/05/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.07 SETTLEMENT DATE 3/10/10 100 SHARES AT \$51.9601 CUSIP 428236103 1102734	100.000	1.00	5,194.94	-3,558.36	1,636.58
4/29/10	SOLD THROUGH RBC CAPITAL MARKETS CORP MISC 0.45 SETTLEMENT DATE 5/04/10 500 SHARES AT \$52.7968 CUSIP 428236103 1102734	500.000	20.00	26,377.95	-17,791.80	8,586.15
5/12/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.25 SETTLEMENT DATE 5/17/10 300 SHARES AT \$49.7501 CUSIP 428236103 1102734	300.000	3.00	14,921.78	-10,675.08	4,246.70
8/09/10	SOLD THROUGH BEAR STEARNS & CO INC MISC 1.96 SETTLEMENT DATE 8/12/10 2,700 SHARES AT \$42.9515 CUSIP 428236103 1102734	2,700.000	108.00	115,859.09	-126,138.84	-10,279.75
8/12/10	SOLD THROUGH BARCLAYS CAPITAL LE ON THE OTC BULLETIN BOARD MISC 1.16 SETTLEMENT DATE 8/17/10 1,700 SHARES AT \$40.2226 CUSIP 428236103 1102734	1,700.000	68.00	68,309.26	-71,642.89	-3,333.63



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
8/27/10	SOLD THROUGH GOLDMAN, SACHS & CO. MISC 1.12 SETTLEMENT DATE 9/01/10 1,750 SHARES AT \$37.7606 CUSIP 428236103 1102734	1,750.000	70.00	66,009.93	-58,792.91	7,217.02
	TOTAL FOR ASSET	7,700.000	294.50	329,781.70	-311,340.32	18,441.38
11/12/10	HITTITE MICROWAVE CORP COM SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.18 SETTLEMENT DATE 11/17/10 184 SHARES AT \$55.6566 CUSIP 43365Y104 7928044	184.000	9.20	10,231.43	-6,617.73	3,613.70
11/15/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.23 SETTLEMENT DATE 11/18/10 237 SHARES AT \$55.1795 CUSIP 43365Y104 7928044	237.000	11.85	13,065.46	-8,523.92	4,541.54
11/16/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.28 SETTLEMENT DATE 11/19/10 304 SHARES AT \$53.2476 CUSIP 43365Y104 7928044	304.000	15.20	16,171.79	-10,933.63	5,238.16
	TOTAL FOR ASSET	725.000	36.25	39,468.68	-26,075.28	13,393.40
	INFORMATICA CORP COM					



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
8/17/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.16 SETTLEMENT DATE 8/20/10 299 SHARES AT \$31.5163 CUSIP 45666Q102 7928044	299.000	14.95	9,408.26	-6,820.19	2,588.07
8/19/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.05 SETTLEMENT DATE 8/24/10 87 SHARES AT \$31.5019 CUSIP 45666Q102 7928044	87.000	4.35	2,736.27	-1,984.47	751.80
8/20/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.14 SETTLEMENT DATE 8/25/10 254 SHARES AT \$31.5003 CUSIP 45666Q102 7928044	254.000	12.70	7,988.24	-5,793.74	2,194.50
9/17/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.22 SETTLEMENT DATE 9/22/10 356 SHARES AT \$36.0886 CUSIP 45666Q102 7928044	356.000	7.12	12,840.20	-8,704.12	4,136.08
10/21/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.26 SETTLEMENT DATE 10/26/10 404 SHARES AT \$36.9269 CUSIP 45666Q102 7928044	404.000	20.20	14,898.01	-10,177.85	4,720.16



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
8/06/10	TOTAL FOR ASSET	1,400.000	59.32	47,870.98	-33,480.37	14,390.61
	IRIS INTL INC COM					
	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.15 SETTLEMENT DATE 8/11/10 936 SHARES AT \$9.0579 CUSIP 46270W105 7928044	936.000	18.72	8,459.32	-10,383.89	-1,924.57
8/19/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.15 SETTLEMENT DATE 8/24/10 1,169 SHARES AT \$7.3801 CUSIP 46270W105 7928044	1,169.000	23.38	8,603.81	-13,031.99	-4,428.18
5/10	TOTAL FOR ASSET	2,105.000	42.10	17,063.13	-23,415.88	-6,352.75
	ISHARES TR 1-3 YR TREAS INDEX FD					
	SOLD THROUGH BNY BROKERAGE INC (ESI) MISC 0.85 SETTLEMENT DATE 4/20/10 600 SHARES AT \$83.300417 CUSIP 464287457 7914624	600.000	30.00	49,949.40	-50,325.42	-376.02
5/18/10	SOLD THROUGH BNY BROKERAGE INC (ESI) MISC 3.40 SETTLEMENT DATE 5/21/10 2,400 SHARES AT \$83.7605 CUSIP 464287457 7914624	2,400.000	72.00	200,949.80	-201,301.66	-351.86



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
1/31/10	TOTAL FOR ASSET	3,000.000	102.00	250,899.20	-251,627.08	-727.88
	ITRON INC COM					
	SOLD	157.000	7.85	9,235.32	-9,856.46	-621.14
	THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD					
	MISC 0.16					
	SETTLEMENT DATE 11/04/10					
	157 SHARES AT \$58.8747					
	CUSIP 465741106					
	7928044					
12/03/10	TOTAL FOR ASSET	528.000	10.56	27,914.51	-35,017.28	-7,102.77
	SOLD					
	THROUGH BAYPOINT TRADING LLC					
	ON THE OTC BULLETIN BOARD					
	MISC 0.48					
	SETTLEMENT DATE 12/08/10					
	528 SHARES AT \$52.8893					
	CUSIP 465741106					
	7928044					
1/05/11	TOTAL FOR ASSET	685.000	18.41	37,149.83	-44,873.74	-7,723.91
	J CREW GROUP INC COM					
	SOLD	582.000	11.64	25,964.85	-19,584.65	6,380.20
	THROUGH BAYPOINT TRADING LLC					
	ON THE NEW YORK STOCK EXCHANGE, INC.					
	MISC 0.44					
	SETTLEMENT DATE 1/10/11					
	582 SHARES AT \$44.6339					
	CUSIP 46612H402					
	7928044					
	TOTAL FOR ASSET	582.000	11.64	25,964.85	-19,584.65	6,380.20
	JP MORGAN CHASE & CO COM					



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/10/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.05 SETTLEMENT DATE 2/16/10 100 SHARES AT \$38.9201 CUSIP 46625H100 1102734	100.000	1.00	3,890.96	-3,545.10	345.86
3/05/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.05 SETTLEMENT DATE 3/10/10 100 SHARES AT \$42.4801 CUSIP 46625H100 1102734	100.000	1.00	4,246.96	-3,545.10	701.86
3/18/10	SOLD THROUGH BEAR STEARNS & CO INC MISC 0.61 SETTLEMENT DATE 3/23/10 1,100 SHARES AT \$43.4839 CUSIP 46625H100 1102734	1,100.000	44.00	47,787.68	-38,996.10	8,791.58
5/12/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.14 SETTLEMENT DATE 5/17/10 200 SHARES AT \$41.49 CUSIP 46625H100 1102734	200.000	2.00	8,295.86	-7,006.89	1,288.97
9/09/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.14 SETTLEMENT DATE 9/14/10 200 SHARES AT \$40.2201 CUSIP 46625H100 1102734	200.000	2.00	8,041.88	-6,923.58	1,118.30



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
11/11/10	SOLD THROUGH KNIGHT EQUITY MARKETS L.P. ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.34 SETTLEMENT DATE 11/16/10 500 SHARES AT \$39.9801 CUSIP 46625H100 1102734	500.000	5.00	19,984.71	-17,308.95	2,675.76
	TOTAL FOR ASSET	2,200.000	55.00	92,248.05	-77,325.72	14,922.33
2/10/10	JUNIPER NETWORKS INC COM SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.03 SETTLEMENT DATE 2/16/10 100 SHARES AT \$25.01 CUSIP 48203R104 1102734	100.000	1.00	2,499.97	-2,507.37	-7.40
3/05/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.04 SETTLEMENT DATE 3/10/10 100 SHARES AT \$28.85 CUSIP 48203R104 1102734	100.000	1.00	2,883.96	-2,507.37	376.59
3/15/10	SOLD THROUGH BARCLAYS CAPITAL LE MISC 0.46 SETTLEMENT DATE 3/18/10 1,200 SHARES AT \$29.918 CUSIP 48203R104 1102734	1,200.000	48.00	35,853.14	-30,554.39	5,298.75



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
6/01/10	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM MISC 0.82 SETTLEMENT DATE 6/04/10 1,900 SHARES AT \$25.6818 CUSIP 48203R104 1102734	1,900.000	76.00	48,718.60	-51,953.77	-3,235.17
9/09/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.10 SETTLEMENT DATE 9/16/10 200 SHARES AT \$28.98 CUSIP 48203R104 1102734	200.000	2.00	5,793.90	-5,194.62	599.28
9/24/10	SOLD THROUGH WEEDEN AND CO. ON THE OTC BULLETIN BOARD MISC 0.51 SETTLEMENT DATE 9/29/10 1,000 SHARES AT \$30.0682 CUSIP 48203R104 1102734	1,000.000	20.00	30,047.69	-25,973.10	4,074.59
11/11/10	SOLD THROUGH KNIGHT EQUITY MARKETS L.P. ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.11 SETTLEMENT DATE 11/16/10 200 SHARES AT \$33.8401 CUSIP 48203R104 1102734	200.000	2.00	6,765.91	-5,194.62	1,571.29
1/20/11	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM ON THE NASDAQ OMX BX MISC 1.48 SETTLEMENT DATE 1/25/11 2,200 SHARES AT \$35.0006 CUSIP 48203R104 1102734	2,200.000	88.00	76,911.84	-56,427.52	20,484.32



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
11/05/10	TOTAL FOR ASSET	6,900.000	238.00	209,475.01	-180,312.76	29,162.25
	KIT DIGITAL INC COM NEW					
	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.14 SETTLEMENT DATE 11/10/10 548 SHARES AT \$14.6501 CUSIP 482470200 7928064	548.000	22.38	8,005.73	-5,851.95	2,153.78
11/08/10	TOTAL FOR ASSET	67.000	3.35	943.79	-715.47	228.32
	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.02 SETTLEMENT DATE 11/12/10 67 SHARES AT \$14.1367 CUSIP 482470200 7928064					
	TOTAL FOR ASSET	615.000	25.73	8,949.52	-6,567.42	2,382.10
	K12 INC COM					
	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.05 SETTLEMENT DATE 3/19/10 139 SHARES AT \$22.7861 CUSIP 48273U102 7928064	139.000	2.78	3,164.44	-2,363.29	801.15



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
3/17/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.04 SETTLEMENT DATE 3/22/10 125 SHARES AT \$22.7647 CUSIP 48273U102 7928044	125.000	2.50	2,843.05	-2,125.26	717.79
3/18/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.04 SETTLEMENT DATE 3/23/10 126 SHARES AT \$23.0312 CUSIP 48273U102 7928044	126.000	2.52	2,899.37	-2,142.26	757.11
9/09/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.12 SETTLEMENT DATE 9/14/10 272 SHARES AT \$24.1241 CUSIP 48273U102 7928044	272.000	5.44	6,556.20	-4,624.57	1,931.63
0/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.38 SETTLEMENT DATE 9/15/10 924 SHARES AT \$23.9227 CUSIP 48273U102 7928044	924.000	18.48	22,085.71	-15,709.95	6,375.76
TOTAL FOR ASSET		1,586.000	31.72	37,548.77	-26,965.33	10,583.44
KELLOGG CO COM						



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
9/09/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.17 SETTLEMENT DATE 9/14/10 200 SHARES AT \$50.89 CUSIP 487836108 1102734	200.000	2.00	10,175.83	-10,629.10	-453.27
10/05/10	SOLD THROUGH STIFEL, NICOLAUS & CO., INC. MISC 0.52 SETTLEMENT DATE 10/08/10 600 SHARES AT \$50.8829 CUSIP 487836108 1102734	600.000	24.00	30,505.22	-31,984.31	-1,479.09
10/21/10	SOLD THROUGH COWEN AND COMPANY, LLC ON THE NEW YORK STOCK EXCHANGE, INC. MISC 1.19 SETTLEMENT DATE 10/26/10 1,400 SHARES AT \$50.0017 CUSIP 487836108 1102734	1,400.000	56.00	69,945.19	-75,585.40	-5,640.21
11/02/10	SOLD THROUGH WEEDEN AND CO. ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.42 SETTLEMENT DATE 11/05/10 500 SHARES AT \$49.7458 CUSIP 487836108 1102734	500.000	10.00	24,862.48	-27,426.30	-2,563.82
11/03/10	SOLD THROUGH WEEDEN AND CO. ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.25 SETTLEMENT DATE 11/08/10 300 SHARES AT \$49.6067 CUSIP 487836108 1102734	300.000	6.00	14,875.76	-16,403.40	-1,527.64



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
11/04/10	SOLD THROUGH WEEDEN AND CO. ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.42 SETTLEMENT DATE 11/09/10 500 SHARES AT \$49.3994 CUSIP 487836108 1102734	500.000	10.00	24,689.28	-26,993.72	-2,304.44
11/05/10	SOLD THROUGH WEEDEN AND CO. ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.58 SETTLEMENT DATE 11/10/10 700 SHARES AT \$49.2834 CUSIP 487836108 1102734	700.000	14.00	34,469.80	-37,450.64	-2,980.84
11/08/10	SOLD THROUGH WEEDEN AND CO. ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.17 SETTLEMENT DATE 11/12/10 200 SHARES AT \$49.2399 CUSIP 487836108 1102734	200.000	4.00	9,843.81	-10,294.14	-450.33
	TOTAL FOR ASSET	4,400.000	126.00	219,367.37	-236,767.01	-17,399.64
	KRAFT FOODS INC CL A COM					
11/11/10	SOLD THROUGH KNIGHT EQUITY MARKETS L.P. ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.15 SETTLEMENT DATE 11/16/10 300 SHARES AT \$30.4301 CUSIP 50075N104 1102734	300.000	3.00	9,125.88	-8,828.52	297.36
	TOTAL FOR ASSET	300.000	3.00	9,125.88	-8,828.52	297.36



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
11/18/10	LIQUIDITY SVCS INC COM					
	SOLD					
	THROUGH LIQUIDNET INC	383.000	7.66	5,767.88	-3,940.80	1,827.08
	MISC 0.10					
	SETTLEMENT DATE 11/23/10					
	383 SHARES AT \$15.08					
	CUSIP 53635B107					
	7928044					
	TOTAL FOR ASSET	383.000	7.66	5,767.88	-3,940.80	1,827.08
3/01/10	MSCI INC CL A COM					
	SOLD	2,400.000	120.00	68,770.16	-65,788.56	2,981.60
	MISC 0.88					
	SETTLEMENT DATE 3/04/10					
	2,400 SHARES AT \$28.7046					
	CUSIP 55354G100					
	7914624					
1/03/11	THROUGH BNY BROKERAGE INC (ESI)	233.000	4.66	9,179.97	-6,543.87	2,636.10
	MISC 0.16					
	SETTLEMENT DATE 1/06/11					
	233 SHARES AT \$39.4197					
	CUSIP 55354G100					
	7928044					
1/04/11	THROUGH BAYPOINT TRADING LLC	55.000	1.10	2,199.50	-1,544.69	654.81
	MISC 0.04					
	SETTLEMENT DATE 1/07/11					
	55 SHARES AT \$40.0117					
	CUSIP 55354G100					
	7928044					
	TOTAL FOR ASSET	2,688.000	125.76	80,149.63	-73,877.12	6,272.51



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/10/10	MCDONALDS CORP COM SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.08 SETTLEMENT DATE 2/16/10 100 SHARES AT \$63.2201 CUSIP 580135101 1102734	100.000	1.00	6,320.93	-5,133.99	1,186.94
3/04/10	SOLD THROUGH COWEN AND COMPANY, LLC ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.41 SETTLEMENT DATE 3/09/10 500 SHARES AT \$63.4262 CUSIP 580135101 1102734	500.000	20.00	31,692.69	-25,669.95	6,022.74
3/05/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.08 SETTLEMENT DATE 3/10/10 100 SHARES AT \$63.5201 CUSIP 580135101 1102734	100.000	1.00	6,350.93	-5,133.99	1,216.94
12/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.36 SETTLEMENT DATE 5/17/10 300 SHARES AT \$70.6801 CUSIP 580135101 1102734	300.000	3.00	21,200.67	-15,401.97	5,798.70
9/09/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.25 SETTLEMENT DATE 9/14/10 200 SHARES AT \$73.9001 CUSIP 580135101 1102734	200.000	2.00	14,777.77	-10,267.98	4,509.79



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
10/26/10	SOLD THROUGH DEUTSCHE BANK SECURITIES INC MISC 0.67 SETTLEMENT DATE 10/29/10 500 SHARES AT \$78.7877 CUSIP 580135101 1102734	500.000	20.00	39,373.18	-24,722.67	14,650.51
11/11/10	SOLD THROUGH KNIGHT EQUITY MARKETS L.P. ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.40 SETTLEMENT DATE 11/16/10 300 SHARES AT \$79.5301 CUSIP 580135101 1102734	300.000	3.00	23,855.63	-14,454.69	9,400.94
1/07/11	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM MISC 0.76 SETTLEMENT DATE 1/12/11 600 SHARES AT \$74.1561 CUSIP 580135101 1102734	600.000	24.00	44,468.90	-28,909.38	15,559.52
	TOTAL FOR ASSET	2,600.000	74.00	188,040.70	-129,694.62	58,346.08
1/06/11	MEDIDATA SOLUTIONS INC COM SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.14 SETTLEMENT DATE 1/11/11 347 SHARES AT \$23.0539 CUSIP 58471A105 7928044	347.000	6.94	7,992.62	-5,664.11	2,328.51



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
1/07/11	SOLD THROUGH LIQUIDNET INC MISC 0.22 SETTLEMENT DATE 1/12/11 542 SHARES AT #23.6207 CUSIP 58471A105 7928044	542.000	10.84	12,791.36	-8,723.34	4,068.02
	TOTAL FOR ASSET	889.000	17.78	20,793.98	-14,387.45	6,396.53
2/10/10	MERCK & CO INC NEW COM SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.02 SETTLEMENT DATE 2/16/10 50 SHARES AT #36.27 CUSIP 58933Y105 1102734	50.000	0.50	1,812.98	-1,414.57	398.41
3/05/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.09 SETTLEMENT DATE 3/10/10 200 SHARES AT #37.2901 CUSIP 58933Y105 1102734	200.000	2.00	7,455.93	-5,584.00	1,871.93
5/12/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.28 SETTLEMENT DATE 5/17/10 500 SHARES AT #33.5401 CUSIP 58933Y105 1102734	500.000	5.00	16,764.77	-13,960.00	2,804.77
8/11/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.29 SETTLEMENT DATE 8/16/10 500 SHARES AT #34.88 CUSIP 58933Y105 1102734	500.000	5.00	17,434.71	-13,959.99	3,474.72



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
9/09/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.06 SETTLEMENT DATE 9/14/10 100 SHARES AT \$35.9201 CUSIP 58933Y105 1102734	100.000	1.00	3,590.95	-2,792.00	798.95
11/11/10	SOLD THROUGH KNIGHT EQUITY MARKETS L.P. ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.42 SETTLEMENT DATE 11/16/10 700 SHARES AT \$35.2601 CUSIP 58933Y105 1102734	700.000	7.00	24,674.65	-14,760.13	9,914.52
1/14/11	SOLD THROUGH BARCLAYS CAPITAL LE MISC 1.22 SETTLEMENT DATE 1/20/11 2,100 SHARES AT \$34.27 CUSIP 58933Y105 1102734	2,100.000	84.00	71,881.78	-42,582.50	29,299.28
1/18/11	SOLD THROUGH BARCLAYS CAPITAL LE MISC 1.30 SETTLEMENT DATE 1/21/11 2,000 SHARES AT \$33.7894 CUSIP 58933Y105 1102734	2,000.000	80.00	67,497.50	-43,585.06	23,912.44
1/21/11	SOLD THROUGH LEERINK SWANN AND COMPANY ON THE OTC BULLETIN BOARD MISC 1.31 SETTLEMENT DATE 1/26/11 2,000 SHARES AT \$33.9262 CUSIP 58933Y105 1102734	2,000.000	80.00	67,771.09	-61,229.76	6,541.33



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
1/24/11	SOLD THROUGH LEERINK SWANN AND COMPANY ON THE NEW YORK STOCK EXCHANGE, INC. MISC 1.50 SETTLEMENT DATE 1/27/11 2,304 SHARES AT \$33.8388 CUSIP 58933Y105 1102734	2,304.000	92.16	77,870.94	-83,290.16	-5,419.22
	TOTAL FOR ASSET	10,454.000	356.66	356,755.30	-283,158.17	73,597.13
3/24/10	HONOLITHIC PWR SYS INC COM SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.12 SETTLEMENT DATE 3/29/10 399 SHARES AT \$22.7033 CUSIP 609839105 7928044	399.000	7.98	9,050.52	-8,562.54	487.98
5/07/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.24 SETTLEMENT DATE 5/12/10 671 SHARES AT \$20.7169 CUSIP 609839105 7928044	671.000	13.42	13,887.38	-14,399.66	-512.28
	TOTAL FOR ASSET	1,070.000	21.40	22,937.90	-22,962.20	-24.30
2/10/10	HONSANTO CO NEW COM SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.05 SETTLEMENT DATE 2/16/10 50 SHARES AT \$75.45 CUSIP 61166W101 1102734	50.000	0.50	3,771.95	-3,866.44	-74.49



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
4/07/10	SOLD THROUGH MORGAN KEEGAN & COMPANY INC. MISC 0.93 SETTLEMENT DATE 4/12/10 800 SHARES AT \$68.5175 CUSIP 61166W101 1102734	800.000	32.00	54,781.07	-66,150.79	-11,369.72
4/08/10	SOLD THROUGH MORGAN KEEGAN & COMPANY INC. MISC 0.58 SETTLEMENT DATE 4/13/10 500 SHARES AT \$68.1748 CUSIP 61166W101 1102734	500.000	20.00	34,066.82	-42,796.65	-8,729.83
4/14/10	SOLD THROUGH UBS WARBURG ON THE OTC BULLETIN BOARD MISC 1.40 SETTLEMENT DATE 4/19/10 1,250 SHARES AT \$66.109 CUSIP 61166W101 1102734	1,250.000	50.00	82,584.85	-97,197.77	-14,612.92
	TOTAL FOR ASSET	2,600.000	102.50	175,204.69	-209,991.65	-34,786.96
	NIC INC COM					
10/07/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.09 SETTLEMENT DATE 10/13/10 612 SHARES AT \$8.279 CUSIP 62914B100 7928044	612.000	12.24	5,054.42	-5,367.73	-313.31
	TOTAL FOR ASSET	612.000	12.24	5,054.42	-5,367.73	-313.31
	NETSCOUT SYS INC COM					



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
9/08/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.08 SETTLEMENT DATE 9/13/10 247 SHARES AT \$17.4442 CUSIP 64115T104 7928044	247.000	4.94	4,303.70	-3,438.24	865.46
10/13/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.09 SETTLEMENT DATE 10/18/10 229 SHARES AT \$21.6415 CUSIP 64115T104 7928044	229.000	4.58	4,951.23	-3,187.68	1,763.55
10/14/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.15 SETTLEMENT DATE 10/19/10 400 SHARES AT \$21.7463 CUSIP 64115T104 7928044	400.000	8.00	8,690.37	-5,568.00	3,122.37
10/15/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.11 SETTLEMENT DATE 10/20/10 278 SHARES AT \$21.718 CUSIP 64115T104 7928044	278.000	5.56	6,031.93	-3,869.76	2,162.17
10/18/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.14 SETTLEMENT DATE 10/21/10 380 SHARES AT \$21.5218 CUSIP 64115T104 7928044	380.000	7.60	8,170.54	-5,289.60	2,880.94



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
	TOTAL FOR ASSET	1,534.000	30.68	32,147.77	-21,353.28	10,794.49
	NIKE INC CL B COM					
0/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.04 SETTLEMENT DATE 2/16/10 50 SHARES AT \$62.49 CUSIP 654106103 1102734	50.000	0.50	3,123.96	-2,880.03	243.93
3/05/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.09 SETTLEMENT DATE 3/10/10 100 SHARES AT \$67.9701 CUSIP 654106103 1102734	100.000	1.00	6,795.92	-5,760.06	1,035.86
5/12/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.13 SETTLEMENT DATE 5/17/10 100 SHARES AT \$77.6201 CUSIP 654106103 1102734	100.000	1.00	7,760.88	-5,760.06	2,000.82
9/09/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.13 SETTLEMENT DATE 9/16/10 100 SHARES AT \$74.8801 CUSIP 654106103 1102734	100.000	1.00	7,486.88	-5,760.06	1,726.82



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
11/11/10	SOLD THROUGH KNIGHT EQUITY MARKETS L.P. ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.42 SETTLEMENT DATE 11/16/10 300 SHARES AT \$83.1501 CUSIP 654106103 1102734	300.000	3.00	24,941.61	-17,426.98	7,514.63
	TOTAL FOR ASSET	650.000	6.50	50,109.25	-37,587.19	12,522.06
11/23/10	NUANCE COMMUNICATIONS INC COM SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.29 SETTLEMENT DATE 11/29/10 939 SHARES AT \$17.9886 CUSIP 67020Y100 7928044	939.000	18.78	16,872.23	-13,906.59	2,965.64
12/03/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.14 SETTLEMENT DATE 12/08/10 439 SHARES AT \$18.0892 CUSIP 67020Y100 7928044	439.000	8.78	7,932.24	-6,501.59	1,430.65
12/06/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.12 SETTLEMENT DATE 12/09/10 388 SHARES AT \$17.9153 CUSIP 67020Y100 7928044	388.000	7.76	6,943.26	-5,746.28	1,196.98



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
12/21/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.28 SETTLEMENT DATE 12/27/10 897 SHARES AT \$18.4276 CUSIP 67020Y100 7928044	897.000	21.82	16,507.46	-13,284.57	3,222.89
	TOTAL FOR ASSET	2,663.000	57.14	48,255.19	-39,439.03	8,816.16
	NUVASIVE INC COM					
11/24/10	SOLD THROUGH LIQUIDNET INC MISC 0.19 SETTLEMENT DATE 11/30/10 476 SHARES AT \$23.505 CUSIP 670704105 7928044	476.000	9.52	11,178.67	-16,600.03	-5,421.36
12/03/10	SOLD THROUGH LIQUIDNET INC MISC 0.27 SETTLEMENT DATE 12/08/10 676 SHARES AT \$23.03 CUSIP 670704105 7928044	676.000	13.52	15,554.49	-22,304.70	-6,750.21
	TOTAL FOR ASSET	1,152.000	23.04	26,733.16	-38,904.73	-12,171.57
	OCCIDENTAL PETE CORP COM					
2/10/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.10 SETTLEMENT DATE 2/16/10 100 SHARES AT \$78.1701 CUSIP 674599105 1102734	100.000	1.00	7,815.91	-7,313.05	502.86



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
5/12/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.29 SETTLEMENT DATE 5/17/10 200 SHARES AT #84.7301 CUSIP 674599105 1102734	200.000	2.00	16,943.73	-14,202.90	2,740.83
9/09/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.26 SETTLEMENT DATE 9/14/10 200 SHARES AT #78.3601 CUSIP 674599105 1102734	200.000	2.00	15,669.76	-13,779.70	1,890.06
11/11/10	SOLD THROUGH KNIGHT EQUITY MARKETS L.P. ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.43 SETTLEMENT DATE 11/16/10 300 SHARES AT #84.3201 CUSIP 674599105 1102734	300.000	3.00	25,292.60	-20,669.55	4,623.05
12/08/10	SOLD THROUGH BEAR STEARNS & CO INC MISC 0.62 SETTLEMENT DATE 12/13/10 400 SHARES AT #91.0115 CUSIP 674599105 1102734	400.000	8.00	36,395.98	-28,066.63	8,329.35
1/07/11	SOLD THROUGH BEAR STEARNS & CO INC MISC 0.65 SETTLEMENT DATE 1/12/11 400 SHARES AT #96.0919 CUSIP 674599105 1102734	400.000	16.00	38,420.11	-29,785.28	8,634.83
	TOTAL FOR ASSET	1,600.000	32.00	140,538.09	-113,817.11	26,720.98



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
11/11/10	ORACLE CORP COM					
	SOLD THROUGH KNIGHT EQUITY MARKETS L.P. MISC 0.10 SETTLEMENT DATE 11/16/10 200 SHARES AT \$28.2001 CUSIP 68389X105 1102734	200.000	2.00	5,637.92	-5,445.72	192.20
	TOTAL FOR ASSET	200.000	2.00	5,637.92	-5,445.72	192.20
9/27/10	OPTIONSXPRESS HLDGS INC COM					
	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.50 SETTLEMENT DATE 9/30/10 1,905 SHARES AT \$15.4043 CUSIP 684010101 7928044	1,905.000	38.10	29,306.59	-32,894.37	-3,587.78
	TOTAL FOR ASSET	1,905.000	38.10	29,306.59	-32,894.37	-3,587.78
7/12/10	ORION MARINE GROUP INC COM					
	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.18 SETTLEMENT DATE 7/15/10 806 SHARES AT \$12.8731 CUSIP 68628V308 7928044	806.000	16.12	10,359.42	-14,605.85	-4,246.43



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/13/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.11 SETTLEMENT DATE 7/16/10 473 SHARES AT \$13.0135 CUSIP 68628V308 7928044	473.000	9.46	6,145.82	-8,601.83	-2,456.01
	TOTAL FOR ASSET	1,279.000	25.58	16,505.24	-23,207.68	-6,702.44
	PEPSICO INC COM					
2/10/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.04 SETTLEMENT DATE 2/16/10 50 SHARES AT \$60.61 CUSIP 713448108 1102734	50.000	0.50	3,029.96	-2,325.70	704.26
3/05/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.08 SETTLEMENT DATE 3/10/10 100 SHARES AT \$64.1401 CUSIP 713448108 1102734	100.000	1.00	6,412.93	-4,651.40	1,761.53
5/12/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.34 SETTLEMENT DATE 5/17/10 300 SHARES AT \$67.0901 CUSIP 713448108 1102734	300.000	3.00	20,123.69	-14,544.98	5,578.71



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
8/09/10	SOLD THROUGH BNY BROKERAGE INC (ESI) MISC 0.68 SETTLEMENT DATE 8/12/10 600 SHARES AT \$66.3866 CUSIP 713448108 7914624	600.000	30.00	39,801.28	-31,717.26	8,084.02
8/11/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.22 SETTLEMENT DATE 8/16/10 200 SHARES AT \$65.59 CUSIP 713448108 1102734	200.000	2.00	13,115.78	-11,665.92	1,449.86
9/09/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.11 SETTLEMENT DATE 9/14/10 100 SHARES AT \$65.7001 CUSIP 713448108 1102734	100.000	1.00	6,568.90	-5,832.96	735.94
10/27/10	SOLD THROUGH BNY BROKERAGE INC (ESI) MISC 1.31 SETTLEMENT DATE 11/01/10 1,200 SHARES AT \$64.276 CUSIP 713448108 7914624	1,200.000	60.00	77,069.89	-61,119.45	15,950.44
11/11/10	SOLD THROUGH KNIGHT EQUITY MARKETS L.P. ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.44 SETTLEMENT DATE 11/16/10 400 SHARES AT \$64.9801 CUSIP 713448108 1102734	400.000	4.00	25,987.60	-23,331.84	2,655.76
TOTAL FOR ASSET		2,950.000	101.50	192,110.03	-155,189.51	36,920.52



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
3/10/10	PHASE FORWARD INC COM SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.20 SETTLEMENT DATE 3/15/10 1,274 SHARES AT \$11.9505 CUSIP 71721R406 7928044	1,274.000	25.48	15,199.26	-17,591.39	-2,392.13
5/07/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.44 SETTLEMENT DATE 5/12/10 1,540 SHARES AT \$16.742 CUSIP 71721R406 7928044	1,540.000	77.00	25,705.24	-21,345.42	4,359.82
3/03/10	TOTAL FOR ASSET POLYCOM INC COM SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.19 SETTLEMENT DATE 3/08/10 534 SHARES AT \$27.4595 CUSIP 73172K104 7928044	2,814.000	102.48	40,904.50	-38,936.81	1,967.69
10/05/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.29 SETTLEMENT DATE 10/08/10 660 SHARES AT \$25.9317 CUSIP 73172K104 7928044	660.000	13.20	17,101.43	-17,896.20	-794.77
3/03/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.19 SETTLEMENT DATE 3/08/10 534 SHARES AT \$27.4595 CUSIP 73172K104 7928044	534.000	26.70	14,636.48	-10,809.21	3,827.27



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
11/10	TOTAL FOR ASSET	1,194.000	39.90	31,737.91	-28,705.41	3,032.50
	PROCTER & GAMBLE CO COM					
	SOLD					
	THROUGH MORGAN KEEGAN & COMPANY INC.					
	ON THE NEW YORK STOCK EXCHANGE, INC.					
	MISC 0.64					
	SETTLEMENT DATE 5/14/10					
	600 SHARES AT \$62.5114	600.000	24.00	37,482.20	-32,771.04	4,711.16
	CUSIP 742718109					
	1102734					
11/11/10	TOTAL FOR ASSET	400.000	4.00	25,767.60	-21,847.36	3,920.24
	SOLD					
	THROUGH KNIGHT EQUITY MARKETS L.P.					
	ON THE NEW YORK STOCK EXCHANGE, INC.					
	MISC 0.44					
	SETTLEMENT DATE 11/16/10					
	400 SHARES AT \$64.4301					
	CUSIP 742718109					
	1102734					
	TOTAL FOR ASSET	1,000.000	28.00	63,249.80	-54,618.40	8,631.40
	QUALCOMM CORP COM					
	SOLD					
	THROUGH KNIGHT SECS BROADCAST CAP					
	MISC 0.07					
	SETTLEMENT DATE 2/16/10					
	150 SHARES AT \$37.6301	150.000	1.50	5,642.95	-5,777.49	-134.54
	CUSIP 747525103					
	1102734					
3/05/10	TOTAL FOR ASSET	100.000	1.00	3,889.96	-3,851.66	38.30
	SOLD					
	THROUGH KNIGHT SECS BROADCAST CAP					
	MISC 0.05					
	SETTLEMENT DATE 3/10/10					
	100 SHARES AT \$38.9101					
	CUSIP 747525103					
	1102734					



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
5/12/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.32 SETTLEMENT DATE 5/17/10 500 SHARES AT \$38.1901 CUSIP 747525103 1102734	500.000	5.00	19,089.73	-18,962.05	127.68
5/17/10	SOLD THROUGH BEAR STEARNS & CO INC MISC 0.89 SETTLEMENT DATE 5/20/10 1,400 SHARES AT \$37.2999 CUSIP 747525103 1102734	1,400.000	56.00	52,162.97	-51,158.24	1,004.73
7/01/10	SOLD THROUGH RBC CAPITAL MARKETS CORP MISC 0.66 SETTLEMENT DATE 7/07/10 1,200 SHARES AT \$32.3883 CUSIP 747525103 1102734	1,200.000	48.00	38,817.30	-46,869.58	-8,052.28
9/09/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.21 SETTLEMENT DATE 9/14/10 300 SHARES AT \$40.6901 CUSIP 747525103 1102734	300.000	3.00	12,203.82	-13,550.76	-1,346.94
11/11/10	SOLD THROUGH KNIGHT EQUITY MARKETS L.P. MISC 0.40 SETTLEMENT DATE 11/16/10 500 SHARES AT \$47.3001 CUSIP 747525103 1102734	500.000	5.00	23,644.65	-22,584.60	1,060.05
TOTAL FOR ASSET		4,150.000	119.50	155,451.38	-162,754.38	-7,303.00
QUIDEL CORP COM						



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
10/18/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.49 SETTLEMENT DATE 10/21/10 2,309 SHARES AT \$12.414 CUSIP 74838J101 7928044	2,309.000	46.18	28,617.26	-29,221.15	-603.89
	TOTAL FOR ASSET	2,309.000	46.18	28,617.26	-29,221.15	-603.89
11/02/10	RACKSPACE HOSTING INC COM SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.15 SETTLEMENT DATE 11/05/10 337 SHARES AT \$26.0712 CUSIP 750086100 7928044	337.000	16.85	8,768.99	-5,597.81	3,171.18
11/09/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.09 SETTLEMENT DATE 11/15/10 190 SHARES AT \$27.0162 CUSIP 750086100 7928044	190.000	9.50	5,123.49	-3,156.03	1,967.46
11/22/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.23 SETTLEMENT DATE 11/26/10 484 SHARES AT \$28.0581 CUSIP 750086100 7928044	484.000	20.93	13,558.96	-8,039.58	5,519.38
	TOTAL FOR ASSET	1,011.000	47.28	27,451.44	-16,793.42	10,658.02



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
RESEARCH IN MOTION CDA COM						
3/05/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.09 SETTLEMENT DATE 3/10/10 100 SHARES AT \$70.1401 CUSIP 760975102 1102734	100.000	1.00	7,012.92	-3,680.64	3,332.21
5/12/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.35 SETTLEMENT DATE 5/17/10 300 SHARES AT \$68.0501 CUSIP 760975102 1102734	300.000	3.00	20,411.68	-11,041.92	9,369.76
8/05/10	SOLD THROUGH THEMIS TRADING LLC ON THE OTC BULLETIN BOARD MISC 1.70 SETTLEMENT DATE 8/10/10 1,900 SHARES AT \$52.7904 CUSIP 760975102 1102734	1,900.000	38.00	100,262.06	-94,624.92	5,637.14
06/10	SOLD THROUGH THEMIS TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.45 SETTLEMENT DATE 8/11/10 500 SHARES AT \$52.5026 CUSIP 760975102 1102734	500.000	10.00	26,240.85	-30,856.60	-4,615.75
8/16/10	SOLD THROUGH DEUTSCHE BANK SECURITIES INC MISC 0.69 SETTLEMENT DATE 8/19/10 800 SHARES AT \$51.3325 CUSIP 760975102 1102734	800.000	32.00	41,033.31	-56,439.80	-15,406.49



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
8/20/10	SOLD THROUGH CITIGROUP GLOBAL MARKET INC. MISC 0.50 SETTLEMENT DATE 8/25/10 600 SHARES AT \$48.4458 CUSIP 760975102 1102734	600.000	24.00	29,042.98	-43,004.52	-13,961.54
8/27/10	SOLD THROUGH UBS WARBURG ON THE OTC BULLETIN BOARD MISC 1.09 SETTLEMENT DATE 9/01/10 1,400 SHARES AT \$45.9587 CUSIP 760975102 1102734	1,400.000	56.00	64,285.09	-92,647.58	-28,362.49
	TOTAL FOR ASSET	5,600.000	164.00	288,288.89	-332,295.98	-44,007.09
8/09/10	SXC HEALTH SOLUTIONS CORP COM SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.23 SETTLEMENT DATE 8/12/10 175 SHARES AT \$77.5124 CUSIP 78505P100 7928044	175.000	3.50	13,560.94	-8,383.39	5,177.55
9/21/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.11 SETTLEMENT DATE 9/24/10 165 SHARES AT \$39.0114 CUSIP 78505P100 7928044	165.000	3.30	6,433.47	-3,952.17	2,481.30
	TOTAL FOR ASSET	340.000	6.80	19,994.41	-12,335.56	7,658.85
	SCHLUMBERGER LTD COM					



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2/10/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.04 SETTLEMENT DATE 2/16/10 50 SHARES AT \$63.49 CUSIP 806857108 1102734	50.000	0.50	3,173.96	-1,924.53	1,249.43
3/05/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.08 SETTLEMENT DATE 3/10/10 100 SHARES AT \$63.5201 CUSIP 806857108 1102734	100.000	1.00	6,350.93	-3,849.06	2,501.87
5/12/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.34 SETTLEMENT DATE 5/17/10 300 SHARES AT \$66.5501 CUSIP 806857108 1102734	300.000	3.00	19,961.69	-11,547.18	8,414.51
6/01/10	SOLD THROUGH UBS WARBURG ON THE OTC BULLETIN BOARD MISC 0.90 SETTLEMENT DATE 6/04/10 1,000 SHARES AT \$52.914 CUSIP 806857108 1102734	1,000.000	40.00	52,873.10	-65,545.19	-12,672.09
6/07/10	SOLD THROUGH ISI GROUP INC. MISC 0.56 SETTLEMENT DATE 6/10/10 600 SHARES AT \$54.9674 CUSIP 806857108 1102734	600.000	24.00	32,955.88	-59,167.14	-26,211.26



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
6/25/10	SOLD THROUGH BARCLAYS CAPITAL LE MISC 0.56 SETTLEMENT DATE 6/30/10 570 SHARES AT \$57.7993 CUSIP 806857108 1102734	570.000	22.80	32,922.24	-25,439.91	7,482.33
9/09/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.10 SETTLEMENT DATE 9/14/10 100 SHARES AT \$59.2901 CUSIP 806857108 1102734	100.000	1.00	5,927.91	-3,944.10	1,983.81
11/11/10	SOLD THROUGH KNIGHT EQUITY MARKETS L.P. ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.25 SETTLEMENT DATE 11/16/10 200 SHARES AT \$74.0501 CUSIP 806857108 1102734	200.000	2.00	14,807.77	-7,888.20	6,919.57
	TOTAL FOR ASSET	2,920.000	94.30	168,973.48	-179,305.31	-10,331.83
	SCHWAB CHARLES CORP COM NEW					
2/10/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.05 SETTLEMENT DATE 2/16/10 200 SHARES AT \$17.9001 CUSIP 808513105 1102734	200.000	2.00	3,577.97	-4,348.56	-770.59



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
3/05/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.07 SETTLEMENT DATE 3/10/10 300 SHARES AT \$18.7201 CUSIP 808513105 1102734	300.000	3.00	5,612.96	-6,537.58	-924.62
3/15/10	SOLD THROUGH SANFORD C BERNSTEIN & CO LLC MISC 0.52 SETTLEMENT DATE 3/18/10 2,200 SHARES AT \$18.2612 CUSIP 808513105 1102734	2,200.000	88.00	40,086.12	-47,956.48	-7,870.36
5/12/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.21 SETTLEMENT DATE 5/17/10 700 SHARES AT \$17.7301 CUSIP 808513105 1102734	700.000	7.00	12,403.86	-13,571.04	-1,167.18
5/14/10	SOLD THROUGH MORGAN STANLEY & CO. INC ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.61 SETTLEMENT DATE 5/19/10 2,100 SHARES AT \$16.9097 CUSIP 808513105 1102734	2,100.000	84.00	35,425.76	-41,301.22	-5,875.46
6/15/10	SOLD THROUGH BEAR STEARNS & CO INC MISC 0.17 SETTLEMENT DATE 6/18/10 600 SHARES AT \$15.9462 CUSIP 808513105 1102734	600.000	24.00	9,563.55	-13,162.64	-3,619.09



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
6/16/10	SOLD THROUGH BEAR STEARNS & CO INC MISC 0.25 SETTLEMENT DATE 6/21/10 900 SHARES AT \$15.8387 CUSIP 808513105 1102734	900.000	36.00	14,218.58	-20,448.06	-6,229.48
6/17/10	SOLD THROUGH BEAR STEARNS & CO INC MISC 0.29 SETTLEMENT DATE 6/22/10 1,100 SHARES AT \$15.5557 CUSIP 808513105 1102734	1,100.000	44.00	17,066.98	-25,201.44	-8,134.46
6/22/10	SOLD THROUGH COWEN AND COMPANY, LLC ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.26 SETTLEMENT DATE 6/25/10 1,000 SHARES AT \$15.1328 CUSIP 808513105 1102734	1,000.000	40.00	15,092.54	-19,544.60	-4,452.06
6/23/10	SOLD THROUGH COWEN AND COMPANY, LLC ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.28 SETTLEMENT DATE 6/28/10 1,100 SHARES AT \$14.8798 CUSIP 808513105 1102734	1,100.000	44.00	16,323.50	-17,796.68	-1,473.18
7/08/10	SOLD THROUGH UBS WARBURG ON THE OTC BULLETIN BOARD MISC 0.29 SETTLEMENT DATE 7/13/10 1,200 SHARES AT \$14.141 CUSIP 808513105 1102734	1,200.000	48.00	16,920.91	-19,414.56	-2,493.65



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/09/10	SOLD THROUGH UBS WARBURG ON THE OTC BULLETIN BOARD MISC 0.89 SETTLEMENT DATE 7/14/10 3,700 SHARES AT \$14.1639 CUSIP 808513105 1102734	3,700.000	148.00	52,257.54	-49,746.56	2,510.98
	TOTAL FOR ASSET	15,100.000	568.00	238,530.27	-279,029.42	-40,499.15
5/06/10	SKILLSOFT PUB LTD CO ADR SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.39 SETTLEMENT DATE 5/07/10 2,046 SHARES AT \$11.1411 CUSIP 830928107 7928044	2,046.000	102.30	22,692.00	-20,372.54	2,319.46
	TOTAL FOR ASSET	2,046.000	102.30	22,692.00	-20,372.54	2,319.46
5/10	STRYKER CORP COM SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.07 SETTLEMENT DATE 3/10/10 100 SHARES AT \$54.6101 CUSIP 863667101 1102734	100.000	1.00	5,459.94	-4,433.06	1,026.88
9/09/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.23 SETTLEMENT DATE 9/14/10 300 SHARES AT \$45.78 CUSIP 863667101 1102734	300.000	3.00	13,730.77	-18,756.18	-5,025.41



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
11/11/10	SOLD THROUGH KNIGHT EQUITY MARKETS L.P. ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.35 SETTLEMENT DATE 11/16/10 400 SHARES AT \$51.8501 CUSIP 863667101 1102734	400.000	4.00	20,735.69	-28,646.24	-7,910.55
	TOTAL FOR ASSET	800.000	8.00	39,926.40	-51,835.48	-11,909.08
2/10/10	TJX COS INC COM NEW SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.02 SETTLEMENT DATE 2/16/10 50 SHARES AT \$37.59 CUSIP 872540109 1102734	50.000	0.50	1,878.98	-1,744.82	134.16
3/05/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.11 SETTLEMENT DATE 3/10/10 200 SHARES AT \$41.3501 CUSIP 872540109 1102734	200.000	2.00	8,267.91	-6,979.26	1,288.65
5/11/10	SOLD THROUGH COWEN AND COMPANY, LLC ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.70 SETTLEMENT DATE 5/14/10 900 SHARES AT \$45.7572 CUSIP 872540109 1102734	900.000	36.00	41,126.78	-31,466.87	9,661.91



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
5/12/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.23 SETTLEMENT DATE 5/17/10 300 SHARES AT \$46.2933 CUSIP 872540109 1102734	300.000	3.00	13,884.76	-10,492.17	3,392.59
9/09/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.14 SETTLEMENT DATE 9/14/10 200 SHARES AT \$41.3301 CUSIP 872540109 1102734	200.000	2.00	8,263.88	-7,087.23	1,176.65
11/11/10	SOLD THROUGH KNIGHT EQUITY MARKETS L.P. ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.31 SETTLEMENT DATE 11/16/10 400 SHARES AT \$45.4101 CUSIP 872540109 1102734	400.000	4.00	18,159.73	-14,729.20	3,430.53
1/03/11	SOLD THROUGH BARCLAYS CAPITAL LE MISC 0.67 SETTLEMENT DATE 1/06/11 900 SHARES AT \$43.9046 CUSIP 872540109 1102734	900.000	36.00	39,477.29	-32,373.36	7,103.93
	TOTAL FOR ASSET	2,950.000	83.50	131,059.33	-104,870.91	26,188.42
	TALEO CORP COM					



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
3/10/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.17 SETTLEMENT DATE 3/15/10 493 SHARES AT \$26.0345 CUSIP 87424N104 7928044	493.000	9.86	12,824.98	-11,248.58	1,576.40
8/02/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.13 SETTLEMENT DATE 8/05/10 295 SHARES AT \$25.6022 CUSIP 87424N104 7928044	295.000	7.21	7,545.31	-6,730.90	814.41
8/03/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.09 SETTLEMENT DATE 8/06/10 190 SHARES AT \$25.7164 CUSIP 87424N104 7928044	190.000	4.84	4,881.19	-4,335.15	546.04
9/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.09 SETTLEMENT DATE 8/12/10 190 SHARES AT \$25.6509 CUSIP 87424N104 7928044	190.000	3.80	4,869.78	-4,335.15	534.63
8/10/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.16 SETTLEMENT DATE 8/13/10 366 SHARES AT \$24.8807 CUSIP 87424N104 7928044	366.000	7.32	9,098.86	-8,350.88	747.98



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
	TOTAL FOR ASSET	1,534.000	33.03	39,220.12	-35,000.66	4,219.46
	TASER INTL INC COM					
6/23/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.10 SETTLEMENT DATE 6/28/10 1,399 SHARES AT \$3.895 CUSIP 87651B104 7928044	1,399.000	55.96	5,393.05	-8,218.99	-2,825.94
6/25/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.12 SETTLEMENT DATE 6/30/10 1,748 SHARES AT \$3.95 CUSIP 87651B104 7928044	1,748.000	69.92	6,834.56	-10,300.96	-3,466.40
6/28/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.11 SETTLEMENT DATE 7/01/10 1,575 SHARES AT \$4.0148 CUSIP 87651B104 7928044	1,575.000	52.29	6,270.91	-8,336.22	-2,065.31
6/30/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.04 SETTLEMENT DATE 7/06/10 476 SHARES AT \$3.9675 CUSIP 87651B104 7928044	476.000	9.52	1,878.97	-2,366.05	-487.08



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/01/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.04 SETTLEMENT DATE 7/07/10 566 SHARES AT \$3.67 CUSIP 87651B104 7928044	566.000	11.32	2,065.86	-2,813.42	-747.56
	TOTAL FOR ASSET	5,764.000	199.01	22,443.35	-32,035.64	-9,592.29
	THORATEC CORP COM					
1/25/11	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.33 SETTLEMENT DATE 1/28/11 645 SHARES AT \$25.9577 CUSIP 885175307 7928044	645.000	32.25	16,710.14	-19,595.10	-2,884.96
	TOTAL FOR ASSET	645.000	32.25	16,710.14	-19,595.10	-2,884.96
	3M CO COM					
0/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.05 SETTLEMENT DATE 2/16/10 50 SHARES AT \$78.80 CUSIP 88579Y101 1102734	50.000	0.50	3,939.45	-3,009.58	929.87
3/05/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.10 SETTLEMENT DATE 3/10/10 100 SHARES AT \$82.0901 CUSIP 88579Y101 1102734	100.000	1.00	8,207.91	-6,019.15	2,188.76



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
5/12/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.29 SETTLEMENT DATE 5/17/10 200 SHARES AT \$86.41 CUSIP 88579Y101 1102734	200.000	2.00	17,279.71	-12,038.30	5,241.41
6/03/10	SOLD THROUGH GOLDMAN, SACHS & CO. MISC 0.67 SETTLEMENT DATE 6/08/10 500 SHARES AT \$78.2827 CUSIP 88579Y101 1102734	500.000	20.00	39,120.68	-30,163.25	8,957.43
6/10/10	SOLD THROUGH DEUTSCHE BANK SECURITIES INC MISC 0.64 SETTLEMENT DATE 6/15/10 500 SHARES AT \$76.318 CUSIP 88579Y101 1102734	500.000	20.00	38,138.36	-29,910.38	8,227.98
9/09/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.14 SETTLEMENT DATE 9/14/10 100 SHARES AT \$83.7401 CUSIP 88579Y101 1102734	100.000	1.00	8,372.87	-5,959.76	2,413.11
10/28/10	SOLD THROUGH GOLDMAN, SACHS & CO. MISC 0.73 SETTLEMENT DATE 11/02/10 500 SHARES AT \$85.4862 CUSIP 88579Y101 1102734	500.000	20.00	42,722.37	-32,982.61	9,739.76



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
11/11/10	SOLD THROUGH KNIGHT EQUITY MARKETS L.P. ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.58 SETTLEMENT DATE 11/16/10 400 SHARES AT \$85.6442 CUSIP 88579Y101 1102734	400.000	8.00	34,249.10	-27,922.00	6,327.10
12/02/10	SOLD THROUGH MORGAN STANLEY & CO. INC ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.74 SETTLEMENT DATE 12/07/10 500 SHARES AT \$86.6444 CUSIP 88579Y101 1102734	500.000	10.00	43,311.46	-36,792.66	6,518.80
12/07/10	SOLD THROUGH CITIGROUP GLOBAL MARKET INC. ON THE NYSE ALTERNEXT US MISC 1.22 SETTLEMENT DATE 12/10/10 850 SHARES AT \$84.7577 CUSIP 88579Y101 1102734	850.000	17.00	72,025.83	-69,322.04	2,703.79
	TOTAL FOR ASSET	3,700.000	99.50	307,367.74	-254,119.73	53,248.01
	TRIMBLE NAV LTD COM					
10/08/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.33 SETTLEMENT DATE 10/14/10 563 SHARES AT \$34.5892 CUSIP 896239100 7928044	563.000	11.26	19,462.13	-12,881.44	6,580.69



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
10/11/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.11 SETTLEMENT DATE 10/14/10 188 SHARES AT \$34.0681 CUSIP 896239100 7928044	188.000	3.76	6,400.93	-4,301.44	2,099.49
12/14/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.25 SETTLEMENT DATE 12/17/10 356 SHARES AT \$40.8487 CUSIP 896239100 7928044	356.000	7.12	14,534.77	-7,944.01	6,590.76
12/15/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.11 SETTLEMENT DATE 12/20/10 155 SHARES AT \$40.5893 CUSIP 896239100 7928044	155.000	3.10	6,288.13	-3,417.49	2,870.64
12/16/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.25 SETTLEMENT DATE 12/21/10 363 SHARES AT \$39.7529 CUSIP 896239100 7928044	363.000	7.26	14,422.79	-8,003.53	6,419.26
	TOTAL FOR ASSET	1,625.000	32.50	61,108.75	-36,547.91	24,560.84
	UNITED PARCEL SVC INC CL B COM					



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
11/11/10	SOLD THROUGH KNIGHT EQUITY MARKETS L.P. ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.23 SETTLEMENT DATE 11/16/10 200 SHARES AT \$67.8121 CUSIP 911312106 1102734	200.000	2.00	13,560.19	-12,819.54	740.65
	TOTAL FOR ASSET	200.000	2.00	13,560.19	-12,819.54	740.65
2/10/10	VISA INC CL A COM SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.05 SETTLEMENT DATE 2/16/10 50 SHARES AT \$83.75 CUSIP 92826C839 1102734	50.000	0.50	4,186.95	-2,174.38	2,012.57
3/05/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.11 SETTLEMENT DATE 3/10/10 100 SHARES AT \$87.8401 CUSIP 92826C839 1102734	100.000	1.00	8,782.90	-4,348.75	4,434.15
5/12/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.29 SETTLEMENT DATE 5/17/10 200 SHARES AT \$85.7401 CUSIP 92826C839 1102734	200.000	2.00	17,145.73	-9,560.90	7,584.83



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
6/29/10	SOLD THROUGH CREDIT SUISSE FIRST BOSTON COR MISC 0.74 SETTLEMENT DATE 7/02/10 600 SHARES AT \$72.1357 CUSIP 92826C839 1102734	600.000	24.00	43,256.68	-30,441.79	12,814.89
7/06/10	SOLD THROUGH BARCLAYS CAPITAL LE ON THE OTC BULLETIN BOARD MISC 0.62 SETTLEMENT DATE 7/09/10 500 SHARES AT \$72.3788 CUSIP 92826C839 1102734	500.000	20.00	36,168.78	-27,607.40	8,561.38
7/29/10	SOLD THROUGH COWEN AND COMPANY,LLC ON THE NEW YORK STOCK EXCHANGE, INC. MISC 1.22 SETTLEMENT DATE 8/03/10 1,000 SHARES AT \$71.8009 CUSIP 92826C839 1102734	1,000.000	40.00	71,759.68	-54,712.15	17,047.53
8/18/10	SOLD THROUGH CREDIT SUISSE FIRST BOSTON COR MISC 0.25 SETTLEMENT DATE 8/23/10 200 SHARES AT \$73.0502 CUSIP 92826C839 1102734	200.000	8.00	14,601.79	-12,612.93	1,988.86
8/19/10	SOLD THROUGH CREDIT SUISSE FIRST BOSTON COR MISC 0.61 SETTLEMENT DATE 8/24/10 500 SHARES AT \$71.9272 CUSIP 92826C839 1102734	500.000	20.00	35,942.99	-33,215.81	2,727.18



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
9/10/10	SOLD THROUGH CREDIT SUISSE FIRST BOSTON COR MISC 0.69 SETTLEMENT DATE 9/15/10 600 SHARES AT \$67.7619 CUSIP 92826C839 1102734	600.000	24.00	40,632.45	-46,944.18	-6,311.73
11/11/10	SOLD THROUGH KNIGHT EQUITY MARKETS L.P. ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.13 SETTLEMENT DATE 11/16/10 100 SHARES AT \$78.7701 CUSIP 92826C839 1102734	100.000	1.00	7,875.88	-8,950.54	-1,074.66
12/17/10	SOLD THROUGH WACHOVIA SECURITIES CAPITAL MISC 1.09 SETTLEMENT DATE 12/22/10 950 SHARES AT \$67.6494 CUSIP 92826C839 1102734	950.000	19.00	64,246.84	-78,092.57	-13,845.73
	TOTAL FOR ASSET	4,800.000	159.50	344,600.67	-308,661.40	35,939.27
	VOCUS INC COM					
2/04/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.19 SETTLEMENT DATE 2/09/10 995 SHARES AT \$14.4639 CUSIP 92858J108 7928044	995.000	19.90	14,371.49	-20,778.79	-6,407.30



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/05/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.13 SETTLEMENT DATE 2/10/10 716 SHARES AT \$14.2664 CUSIP 92858J108 7928044	716.000	14.32	10,200.29	-14,963.05	-4,762.76
	TOTAL FOR ASSET	1,711.000	34.22	24,571.78	-35,741.84	-11,170.06
2/24/10	VOLTERRA SEMICONDUCTOR CORP COM SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.17 SETTLEMENT DATE 3/01/10 589 SHARES AT \$22.289 CUSIP 928708106 7928044	589.000	11.78	13,116.27	-10,460.64	2,655.63
3/10/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OTC BULLETIN BOARD MISC 0.14 SETTLEMENT DATE 3/15/10 451 SHARES AT \$23.4233 CUSIP 928708106 7928044	451.000	9.02	10,554.75	-7,117.99	3,436.76
	TOTAL FOR ASSET	1,040.000	20.80	23,671.02	-17,578.63	6,092.39
	WMS INDS INC COM					



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
8/02/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.11 SETTLEMENT DATE 8/05/10 154 SHARES AT \$40.729 CUSIP 929297109 7928044	154.000	3.08	6,269.08	-6,089.27	179.81
8/03/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.25 SETTLEMENT DATE 8/06/10 361 SHARES AT \$40.0405 CUSIP 929297109 7928044	361.000	18.05	14,436.32	-14,274.19	162.13
	TOTAL FOR ASSET	515.000	21.13	20,705.40	-20,363.46	341.94
2/10/10	WAL-MART STORES INC COM SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.07 SETTLEMENT DATE 2/16/10 100 SHARES AT \$53.3101 CUSIP 931142103 1102734	100.000	1.00	5,329.94	-5,736.03	-406.09
3/02/10	SOLD THROUGH ISI GROUP INC. MISC 0.41 SETTLEMENT DATE 3/05/10 600 SHARES AT \$53.5882 CUSIP 931142103 1102734	600.000	24.00	32,128.51	-34,632.75	-2,504.24



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
3/05/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.07 SETTLEMENT DATE 3/10/10 100 SHARES AT \$54.0301 CUSIP 931142103 1102734	100.000	1.00	5,401.94	-5,952.60	-550.66
5/06/10	SOLD THROUGH STIFEL, NICOLAUS & CO., INC. MISC 0.73 SETTLEMENT DATE 5/11/10 800 SHARES AT \$53.9532 CUSIP 931142103 1102734	800.000	32.00	43,129.83	-47,620.80	-4,490.97
5/11/10	SOLD THROUGH CREDIT SUISSE FIRST BOSTON COR MISC 0.63 SETTLEMENT DATE 5/14/10 700 SHARES AT \$52.5287 CUSIP 931142103 1102734	700.000	28.00	36,741.46	-40,758.00	-4,016.54
5/12/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.18 SETTLEMENT DATE 5/17/10 200 SHARES AT \$52.4301 CUSIP 931142103 1102734	200.000	2.00	10,483.84	-11,541.12	-1,057.28
9/09/10	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.18 SETTLEMENT DATE 9/14/10 200 SHARES AT \$52.0201 CUSIP 931142103 1102734	200.000	2.00	10,401.84	-11,541.12	-1,139.28



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
10/14/10	SOLD THROUGH ISI GROUP INC. MISC 1.08 SETTLEMENT DATE 10/19/10 1,200 SHARES AT \$53.1777 CUSIP 931142103 1102734	1,200.000	48.00	63,764.16	-61,979.42	1,784.74
10/15/10	SOLD THROUGH STIFEL, NICOLAUS & CO., INC. MISC 0.55 SETTLEMENT DATE 10/20/10 600 SHARES AT \$53.303 CUSIP 931142103 1102734	600.000	24.00	31,957.25	-30,789.55	1,167.70
10/27/10	SOLD THROUGH LEERINK SWANN AND COMPANY ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.91 SETTLEMENT DATE 11/01/10 1,000 SHARES AT \$53.8172 CUSIP 931142103 1102734	1,000.000	40.00	53,776.29	-51,570.88	2,205.41
10/28/10	SOLD THROUGH BAIRD, ROBERT W. & CO INC MISC 0.37 SETTLEMENT DATE 11/02/10 400 SHARES AT \$53.9417 CUSIP 931142103 1102734	400.000	16.00	21,560.31	-20,909.56	650.75
11/11/10	SOLD THROUGH KNIGHT EQUITY MARKETS L.P. ON THE NEW YORK STOCK EXCHANGE, INC. MISC 0.09 SETTLEMENT DATE 11/16/10 100 SHARES AT \$54.3701 CUSIP 931142103 1102734	100.000	1.00	5,435.92	-5,227.39	208.53



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
1/05/11	SOLD THROUGH COMEN AND COMPANY, LLC ON THE NEW YORK STOCK EXCHANGE, INC. MISC 1.20 SETTLEMENT DATE 1/10/11 1,300 SHARES AT \$4.5352 CUSIP 931142103 1102734	1,300.000	52.00	70,862.56	-66,531.19	4,311.37
	TOTAL FOR ASSET	7,300.000	271.00	390,953.85	-394,790.41	-3,836.56
	WESTERN UNION CO COM					
2/04/10	SOLD THROUGH BNY BROKERAGE INC (ESI) MISC 0.53 SETTLEMENT DATE 2/09/10 2,500 SHARES AT \$16.5269 CUSIP 959802109 7914624	2,500.000	125.00	41,191.72	-53,951.80	-12,760.08
	TOTAL FOR ASSET	2,500.000	125.00	40,429.48	-49,880.00	-9,450.52
2/22/10	SOLD THROUGH BNY BROKERAGE INC (ESI) MISC 0.52 SETTLEMENT DATE 2/25/10 2,500 SHARES AT \$16.222 CUSIP 959802109 7914624	5,000.000	250.00	81,621.20	-103,831.80	-22,210.60
	TOTAL EQUITY SECURITIES	213,565.500	6,657.24	8,082,230.49	-7,533,516.42	548,714.07

MUTUAL FUNDS

COLCHESTER GLOBAL BD FD SHS BEN INT



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/01/10	SOLD MISC 0.00 SETTLEMENT DATE 2/05/10 ADVISORY FEE PER 01/31/10 STMT CUSIP 192805109 1129680	55.978	0.00	1,460.94	-1,241.28	219.66
3/01/10	SOLD MISC 0.00 SETTLEMENT DATE 3/05/10 ADVISORY FEE PER 02/28/10 STMT CUSIP 192805109 1129680	55.950	0.00	1,470.59	-1,240.66	229.93
4/01/10	SOLD MISC 0.00 SETTLEMENT DATE 4/08/10 ADVISORY FEE PER 03/31/10 STMT CUSIP 192805109 1129680	55.922	0.00	1,460.86	-1,240.04	220.82
5/01/10	SOLD MISC 0.00 SETTLEMENT DATE 5/07/10 ADVISORY FEE PER 04/30/10 STATEMENT CUSIP 192805109 1129680	55.894	0.00	1,470.28	-1,239.42	230.86
6/01/10	SOLD MISC 0.00 SETTLEMENT DATE 6/07/10 ADVISORY FEE PER 05/31/10 STATEMENT CUSIP 192805109 1129680	55.866	0.00	1,439.00	-1,238.80	200.20
7/01/10	SOLD MISC 0.00 SETTLEMENT DATE 7/08/10 ADVISORY FEE PER 06/30/10 STATEMENT CUSIP 192805109 1129680	55.838	0.00	1,469.00	-1,238.18	230.82



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
8/01/10	SOLD MISC 0.00 SETTLEMENT DATE 8/06/10 ADVISORY FEE PER 07/31/10 STATEMENT CUSIP 192805109 1129680	55.810	0.00	1,532.00	-1,237.56	294.44
9/01/10	SOLD MISC 0.00 SETTLEMENT DATE 9/09/10 ADVISORY FEE PER 08/31/10 STATEMENT CUSIP 192805109 1129680	55.782	0.00	1,560.00	-1,236.94	323.06
10/01/10	SOLD MISC 0.00 SETTLEMENT DATE 10/07/10 ADVISORY FEE PER 09/30/10 STATEMENT CUSIP 192805109 1129680	55.754	0.00	1,591.00	-1,236.32	354.68
11/01/10	SOLD MISC 0.00 SETTLEMENT DATE 11/05/10 ADVISORY FEE PER 10/31/10 STATEMENT CUSIP 192805109 1129680	55.727	0.00	1,607.00	-1,235.70	371.30
12/01/10	SOLD MISC 0.00 SETTLEMENT DATE 12/08/10 ADVISORY FEE PER 11/30/10 STATEMENT CUSIP 192805109 1129680	55.699	0.00	1,515.00	-1,235.09	279.91
1/01/11	SOLD MISC 0.00 SETTLEMENT DATE 1/07/11 ADVISORY FEE PER 12/31/10 STATEMENT CUSIP 192805109 1129680	55.671	0.00	1,542.00	-1,234.47	307.53
TOTAL FOR ASSET		669.892	0.00	18,117.67	-14,854.46	3,263.21



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5/19/10	FPA PERENNIAL INC SHS					
	SOLD	15,678.896	0.00	500,000.00	-429,915.33	70,084.67
	MISC 0.00					
	SETTLEMENT DATE 5/20/10					
	15,678.896 SHARES AT \$31.89					
	CUSIP 302548102					
	1129680					
	TOTAL FOR ASSET	15,678.896	0.00	500,000.00	-429,915.33	70,084.67
	TIFF ABSOLUTE RETURN POOL II					
1/12/11						
	SOLD	1,295.336	0.00	2,500,000.00	-1,556,525.05	943,474.95
	MISC 0.00					
	SETTLEMENT DATE 1/12/11					
	PARTIAL REDEMPTION					
	CUSIP 99103FWH3					
	1129680					
	TOTAL FOR ASSET	1,295.336	0.00	2,500,000.00	-1,556,525.05	943,474.95
	POST TRADITIONAL HIGH YIELD FD LP					
3/31/10						
	SOLD	1,763.000	0.00	1,763.00	-1,218.63	544.37
	MISC 0.00					
	SETTLEMENT DATE 3/31/10					
	MANAGEMENT FEE PER 02/28/10 STMT					
	CUSIP 992199GP8					
	1129680					
	TOTAL FOR ASSET	1,763.000	0.00	1,763.00	-1,218.63	544.37
4/30/10						
	SOLD	1,766.000	0.00	1,766.00	-1,220.71	545.29
	MISC 0.00					
	SETTLEMENT DATE 4/30/10					
	MANAGEMENT FEE PER 03/31/10 STATEMENT					
	CUSIP 992199GP8					
	1129680					



ACCOUNT C110934

ASSETS DISPOSED / SOLD

2/01/10 THROUGH 1/31/11

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RICH FOUNDATION/COMBINED CUST

EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
5/31/10	SOLD MISC 0.00 SETTLEMENT DATE 5/31/10 MANAGEMENT FEE PER 06/30/10 STATEMENT CUSIP 992199GP8 1129680	1,811.000	0.00	1,811.00	-1,251.81	559.19
6/1/10	SOLD MISC 0.00 SETTLEMENT DATE 6/15/10 MANAGEMENT FEE PER 05/31/10 STATEMENT CUSIP 992199GP8 1129680	1,846.000	0.00	1,846.00	-1,251.19	594.81
6/08/10	SOLD MISC 0.00 SETTLEMENT DATE 6/09/10 PARTIAL REDEMPTION OF POST TRAD'L HIGH YEILD FD LP CUSIP 992199GP8 1129680	1,000,000.000	0.00	1,000,000.00 983596	-691,226.40	308,773.60
7/30/10	SOLD MISC 0.00 SETTLEMENT DATE 7/30/10 MANAGEMENT FEE PER 06/30/10 STATEMENT CUSIP 992199GP8 1129680	1,252.000	0.00	1,252.00	-854.09	397.91
8/1/10	SOLD MISC 0.00 SETTLEMENT DATE 8/31/10 MANAGEMENT FEE PER 07/31/10 STATEMENT CUSIP 992199GP8 1129680	1,268.000	0.00	1,268.00	-877.55	390.45
9/01/10	SOLD MISC 0.00 SETTLEMENT DATE 9/30/10 MANAGEMENT FEE PER 08/31/10 STATEMENT CUSIP 992199GP8 1129680	1,305.000	0.00	1,305.00	-903.57	401.43



ASSETS DISPOSED / SOLD

2/01/10 THROUGH 1/31/11

ACCOUNT C110934

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RICH FOUNDATION/COMBINED CUST

EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
10/29/10	SOLD MISC 0.00 SETTLEMENT DATE 10/29/10 MANAGEMENT FEE PER 9/30/10 STATEMENT CUSIP 992199GP8 1129680	1,305.000	0.00	1,305.00	-915.27	389.73
11/16/10	SOLD MISC 0.00 SETTLEMENT DATE 11/16/10 MANAGEMENT FEE PER 10/31/10 STATEMENT CUSIP 992199GP8 1129680	1,341.000	0.00	1,341.00	-940.52	400.48
12/30/10	SOLD MISC 0.00 SETTLEMENT DATE 12/30/10 MANAGEMENT FEE PER 11/30/10 STATEMENT CUSIP 992199GP8 1129680	1,378.000	0.00	1,378.00	-983.48	394.52
1/01/11	SOLD MISC 0.00 SETTLEMENT DATE 1/14/11 MANAGEMENT FEE PER 12/31/10 STATEMENT CUSIP 992199GP8 1129680	1,369.000	0.00	1,369.00	-959.27	409.73
	TOTAL FOR ASSET	1,016,404.000	0.00	1,016,404.00	-702,602.49	313,801.51
	TOTAL MUTUAL FUNDS	1,034,048.124	0.00	4,034,521.67	-2,703,897.33	1,330,624.34
SCHEDULE TOTAL 8,935,789.924 6,657.24 19,804,928.66 17,925,590.05 1,879,338.41						
Partnership Adj. For 1/31/11; Post Traditional Colchester Global						
				(1,000,000.00)	702,602.49	(297,397.51)
				(18,117.67)	14,854.46	(3263.21)
				18,786,810.79	17,208,133.10	1,578,677.69

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the extended due date for filing your return. See instructions.	Name of exempt organization	Employer identification number
	THE RICH FOUNDATION, INC.	58-6038037
	Number, street, and room or suite no. If a P.O. box, see instructions. 11 PIEDMONT CENTER	
City, town or post office, state, and ZIP code. For a foreign address, see instructions. ATLANTA, GA 30305		

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

THOMAS J. ASHER

- The books are in the care of ☒ 11 PIEDMONT CENTER - ATLANTA, GA 30305

Telephone No. ☒ (404) 262-2499

FAX No. ☐

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until DECEMBER 15, 2011.

5 For calendar year 2010, or other tax year beginning FEB 1, 2010, and ending JAN 31, 2011.

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

7 State in detail why you need the extension

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	101,785.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	101,785.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ☒

Title ☒

Date ☒

Form 8868 (Rev. 1-2011)

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on **e-file for Charities & Nonprofits**.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Name of exempt organization	Employer identification number
	THE RICH FOUNDATION, INC.	58-6038037
	Number, street, and room or suite no. If a P.O. box, see instructions.	
	11 PIEDMONT CENTER	
File by the due date for filing your return. See instructions	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	ATLANTA, GA 30305	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

THOMAS J. ASHER

- The books are in the care of ► 11 PIEDMONT CENTER - ATLANTA, GA 30305

Telephone No. ► (404) 262-2499

FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until—
SEPTEMBER 15, 2011, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☐ calendar year _____ or
► ☒ tax year beginning FEB 1, 2010, and ending JAN 31, 2011.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	101,785.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	40,785.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	61,000.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Paperwork Reduction Act Notice, see Instructions.

Form 8868 (Rev. 1-2011)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a STATEMENT D 1.1		P	VARIOUS	VARIOUS
b PASSTHROUGH - COLCHESTER GLOBAL BOND FUND		P	VARIOUS	12/31/10
c PASSTHROUGH - FORESTER OPPORTUNITIES, L.P.		P	VARIOUS	12/31/10
d PASSTHROUGH - FORESTER OPPORTUNITIES, L.P.		P	VARIOUS	12/31/10
e PASSTHROUGH - SANDERSON INTERNATIONAL VALUE FUND		P	VARIOUS	12/31/10
f PASSTHROUGH - SANDERSON INTERNATIONAL VALUE FUND		P	VARIOUS	12/31/10
g PASSTHROUGH - COLCHESTER GLOBAL BOND FUND		P	VARIOUS	12/31/10
h LITIGATION SETTLEMENT -		P	VARIOUS	03/09/10
i LITIGATION - LUCENT		P	VARIOUS	04/15/10
j LITIGATION - WORLD COM		P	VARIOUS	04/22/10
k LITIGATION - AMERICAN INTL. GROUP		P	VARIOUS	10/05/10
l LITIGATION - COCA COLA		P	VARIOUS	08/20/10
m PASSTHROUGH - MW POST TRADITIONAL HIGH YIELD, L.P.		P	VARIOUS	12/31/10
n PASSTHROUGH - MW POST TRADITIONAL HIGH YIELD, L.P.		P	VARIOUS	12/31/10
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 18,786,811.		17,208,133.	1,578,678.
b 10,553.			10,553.
c 59,285.		8,075.	51,210.
d 216,661.		36,760.	179,901.
e 2,905.			2,905.
f 61,475.			61,475.
g 21,130.			21,130.
h 5.			5.
i 339.			339.
j 77.			77.
k 777.			777.
l 233.			233.
m 109,412.			109,412.
n 45,552.			45,552.
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			1,578,678.
b			10,553.
c			51,210.
d			179,901.
e			2,905.
f			61,475.
g			21,130.
h			5.
i			339.
j			77.
k			777.
l			233.
m			109,412.
n			45,552.
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	2,062,247.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A