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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2010

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning **FEB 1, 2010**, and ending **JAN 31, 2011**

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation THE MAKRAY FAMILY FOUNDATION HARRISON & HELD, LLP		A Employer identification number 36-4298517
Number and street (or P O box number if mail is not delivered to street address) 333 W. WACKER DRIVE	Room/suite 1700	B Telephone number 312-332-1111
City or town, state, and ZIP code CHICAGO, IL 60606		C If exemption application is pending, check here ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 22,548,948.84	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
(Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		6,671,202.05		N/A	
2 Check ☐ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		262,461.91	262,461.91		Statement 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		<43,020.15>			
b Gross sales price for all assets on line 6a					
7 Capital gain net income (from Part IV, line 2)			0.00		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		6,890,643.81	262,461.91		
13 Compensation of officers, directors, trustees, etc		0.00	0.00		0.00
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees Stmt 2		8,451.66	8,451.66		0.00
b Accounting fees					
c Other professional fees					
17 Interest					
18 Taxes Stmt 3		10,468.07	0.00		0.00
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses Stmt 4		88,188.46	88,188.46		0.00
24 Total operating and administrative expenses. Add lines 13 through 23		107,108.19	96,640.12		0.00
25 Contributions, gifts, grants paid		407,635.00			407,635.00
26 Total expenses and disbursements. Add lines 24 and 25		514,743.19	96,640.12		407,635.00
27 Subtract line 26 from line 12		6,375,900.62			
a Excess of revenue over expenses and disbursements			165,821.79		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				N/A	

SCANNED DEC 27 2011

Operating and Administrative Expenses

023501
12-07-10

LHA For Paperwork Reduction Act Notice, see the instructions.

Form 990-PF (2010)

THE MAKRAY FAMILY FOUNDATION
HARRISON & HELD, LLP

Part II Balance Sheets		Beginning of year		End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash - non-interest-bearing	11,507,050.94			
	2 Savings and temporary cash investments				
	3 Accounts receivable ▶				
	Less allowance for doubtful accounts ▶				
	4 Pledges receivable ▶				
	Less allowance for doubtful accounts ▶				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ▶				
	Less allowance for doubtful accounts ▶				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments - U S and state government obligations				
	b Investments - corporate stock Stmt 5	3,245,149.74	21,154,140.02	22,548,948.84	
	c Investments - corporate bonds				
Liabilities	11 Investments - land, buildings, and equipment basis ▶				
	Less accumulated depreciation ▶				
	12 Investments - mortgage loans				
	13 Investments - other				
	14 Land, buildings, and equipment basis ▶				
	Less accumulated depreciation ▶				
	15 Other assets (describe ▶)				
	16 Total assets (to be completed by all filers)	14,752,200.68	21,154,140.02	22,548,948.84	
	17 Accounts payable and accrued expenses				
	18 Grants payable				
Net Assets or Fund Balances	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable				
	22 Other liabilities (describe ▶)				
23 Total liabilities (add lines 17 through 22)	0.00	0.00			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ X				
	and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted	14,752,200.68	21,154,140.02		
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☐				
	and complete lines 27 through 31.				
	27 Capital stock, trust principal, or current funds				
28 Paid-in or capital surplus, or land, bldg, and equipment fund					
29 Retained earnings, accumulated income, endowment, or other funds					
30 Total net assets or fund balances	14,752,200.68	21,154,140.02			
31 Total liabilities and net assets/fund balances	14,752,200.68	21,154,140.02			

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	14,752,200.68
2 Enter amount from Part I, line 27a	2	6,375,900.62
3 Other increases not included in line 2 (itemize) ▶ <u>Returned gift from prior year</u>	3	32,709.00
4 Add lines 1, 2, and 3	4	21,160,810.30
5 Decreases not included in line 2 (itemize) ▶ <u>Cost adjustments and accruals</u>	5	6,670.28
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	21,154,140.02

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a GS All Accounts See Statement A			
b GS All Accounts See Statement A			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			<51,183.31>
b			8,163.16
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			<51,183.31>
b			8,163.16
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	<43,020.15>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	152,475.61	13,406,035.63	.011374
2008	369,940.00	15,024,920.00	.024622
2007	506,936.00	16,283,298.00	.031132
2006	5,234,128.00	17,691,465.00	.295856
2005	850,000.00	15,214,343.00	.055868

2 Total of line 1, column (d)	2	.418852
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.083770
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	20,314,084.20
5 Multiply line 4 by line 3	5	1,701,710.83
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,658.22
7 Add lines 5 and 6	7	1,703,369.05
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	407,635.00

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	3,316.44
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.00
3 Add lines 1 and 2		3	3,316.44
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.00
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	3,316.44
6 Credits/Payments			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	10,633.20	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	10,633.20	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	31.38	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	7,285.38	
11 Enter the amount of line 10 to be Credited to 2011 estimated tax	11	7,285.38	Refunded

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ 0.00 (2) On foundation managers ☐ \$ 0.00		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.00		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ IL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Form 990-PF (2010)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X	
14	The books are in care of ▶ ROBERT S. HELD Telephone no ▶ 312-332-5530 Located at ▶ 333 W. WACKER DRIVE, SUITE 1700, CHICAGO, IL ZIP+4 ▶ 60606			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A			
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ▶ ☐	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No If "Yes," list the years ▶		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

Form 990-PF (2010)

Part VII-B. Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870.

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII. Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PAUL MAKRAY, JR. C/O HARRISON & HELD, LLP, 333 W. WACK CHICAGO, IL 60606	PRESIDENT AND	DIRECTOR		
	5.00	0.00	0.00	0.00
CAROL DONOHOE C/O HARRISON & HELD, LLP, 333 W. WACK CHICAGO, IL 60606	VP/SECRETARY	AND DIRECTOR		
	1.00	0.00	0.00	0.00
CHRISTINE BROWNSTEIN C/O HARRISON & HELD, LLP, 333 W. WACK CHICAGO, IL 60606	VP/TREASURER	AND DIRECTOR		
	1.00	0.00	0.00	0.00

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2010)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	20,143,975.28
b	Average of monthly cash balances	1b	479,460.46
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	20,623,435.74
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.00
2	Acquisition indebtedness applicable to line 1 assets	2	0.00
3	Subtract line 2 from line 1d	3	20,623,435.74
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	309,351.54
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	20,314,084.20
6	Minimum investment return. Enter 5% of line 5	6	1,015,704.21

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	1,015,704.21
2a	Tax on investment income for 2010 from Part VI, line 5	2a	3,316.44
b	Income tax for 2010 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	3,316.44
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,012,387.77
4	Recoveries of amounts treated as qualifying distributions	4	0.00
5	Add lines 3 and 4	5	1,012,387.77
6	Deduction from distributable amount (see instructions)	6	0.00
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,012,387.77

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	407,635.00
b	Program-related investments - total from Part IX-B	1b	0.00
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	407,635.00
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.00
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	407,635.00

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2010)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				1,012,387.77
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.00	
b Total for prior years		0.00		
3 Excess distributions carryover, if any, to 2010				
a From 2005				
b From 2006	3,239,385.63			
c From 2007				
d From 2008				
e From 2009				
f Total of lines 3a through e	3,239,385.63			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ 407,635.00				
a Applied to 2009, but not more than line 2a			0.00	
b Applied to undistributed income of prior years (Election required - see instructions)		0.00		
c Treated as distributions out of corpus (Election required - see instructions)	0.00			
d Applied to 2010 distributable amount				407,635.00
e Remaining amount distributed out of corpus	0.00			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)	604,752.77			604,752.77
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,634,632.86			
b Prior years' undistributed income Subtract line 4b from line 2b		0.00		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.00		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.00		
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see instr			0.00	
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				0.00
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.00			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	0.00			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	2,634,632.86			
10 Analysis of line 9				
a Excess from 2006	2,634,632.86			
b Excess from 2007				
c Excess from 2008				
d Excess from 2009				
e Excess from 2010				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(I)(3) or ☐ 4942(I)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

[illegible]

- b 85% of line 2a
- c Qualifying distributions from Part XII,
line 4 for each year listed
- d Amounts included in line 2c not
used directly for active conduct of
exempt activities
- e Qualifying distributions made directly
for active conduct of exempt activities
Subtract line 2d from line 2c
- 3 Complete 3a, b, or c for the
alternative test relied upon
 - a "Assets" alternative test - enter
 - (1) Value of all assets
 - (2) Value of assets qualifying
under section 4942(j)(3)(B)(i)
 - b "Endowment" alternative test - enter
2/3 of minimum investment return
shown in Part X, line 6 for each year
listed
 - c "Support" alternative test - enter
 - (1) Total support other than gross
investment income (interest,
dividends, rents, payments on
securities loans (section
512(a)(5)), or royalties)
 - (2) Support from general public
and 5 or more exempt
organizations as provided in
section 4942(j)(3)(B)(iii)
 - (3) Largest amount of support from
an exempt organization
 - (4) Gross investment income

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)**

1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number of the person to whom applications should be addressed

- b The form in which applications should be submitted and information and materials they should include

- c Any submission deadlines**

- d. Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

3 Grants and Contributions Paid During the Year or Approved for Future Payment

023611 12-07-10

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of:

(1) Cash

(2) Other assets

b Other transactions:

(1) Sales of assets to a noncharitable exempt organization

(2) Purchases of assets from a noncharitable exempt organization

(3) Rental of facilities, equipment, or other assets

(4) Reimbursement arrangements

(5) Loans or loan guarantees

(6) Performance of services or membership or fundraising solicitations

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described

In section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

President

Title

Print/Type preparer's name

Preparer's signature

Date _____

Check ☒ self-employed

PTIN

**Paid
Preparer
Use Only**

MARY-ANN WILSON

Firm's name ► HARRISON & HELD, LLP

Firm's address ► 333 W. WACKER DR., SUITE 1700
CHICAGO IL 60606

Phone no. 312-332-1111.

Form 990-PF (2010)

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2010

Name of the organization

THE MAKRAY FAMILY FOUNDATION
HARRISON & HELD, LLP

Employer identification number

36-4298517

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2010)

Name of organization
THE MAKRAY FAMILY FOUNDATION
HARRISON & HELD, LLP

Employer identification number

36-4298517

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	Estate of Elsie Makray c/o Harrison & Held 333 W. Wacker Dr Chicago, IL 60606	\$ 6,671,202.05	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Name of organization

Employer identification number

THE MAKRAY FAMILY FOUNDATION
HARRISON & HELD, LLP

36-4298517

Part II Noncash Property (see instructions)[illegible]

Name of organization

Employer identification number

THE MAKRAY FAMILY FOUNDATION
HARRISON & HELD, LLP

36-4298517

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Form 990-PF	Dividends and Interest from Securities	Statement	1
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Source	Gross Amount	Capital Gains Dividends	Column (A) Amount
GS All Accounts Dividends	116,281.23	0.00	116,281.23
GS All Accounts Int Pd at purchase	<88,504.01>	0.00	<88,504.01>
GS All Accounts Interest Recd	234,684.69	0.00	234,684.69
Total to Fm 990-PF, Part I, ln 4	262,461.91	0.00	262,461.91

Form 990-PF	Legal Fees	Statement	2
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
HARRISON & HELD	8,451.66	8,451.66		0.00
To Fm 990-PF, Pg 1, ln 16a	8,451.66	8,451.66		0.00

Form 990-PF	Taxes	Statement	3
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
INTERNAL REVENUE SERVICE - 1/31/11 EST. PMT.	10,002.00	0.00		0.00
FOREIGN TAX PAID - GS	466.07	0.00		0.00
To Form 990-PF, Pg 1, ln 18	10,468.07	0.00		0.00

Form 990-PF	Other Expenses			Statement	4
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Goldman Sachs Inv Mgmt Fees	88,188.46	88,188.46		0.00	
To Form 990-PF, Pg 1, ln 23	88,188.46	88,188.46		0.00	

Form 990-PF	Corporate Stock		Statement	5
Description	Book Value		Fair Market Value	
GS	21,154,140.02		22,548,948.84	
Total to Form 990-PF, Part II, line 10b	21,154,140.02		22,548,948.84	

41
41
3

Form 990-PF	Grants and Contributions Paid During the Year	Statement 6
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Recipient Name and Address	Recipient Relationship and Purpose of Grant	Recipient Status	Amount
ALZHEIMER'S ASSOCIATION OF NORTHERN CALIFORNIA & NORTHERN NEVADA - 160 La Avenida Mountain View, CA 94043	n/a HEALTH/RESEARCH	Public Charity	25,000.00
Adopt-A-Family of the Palm Beaches 1712 Second Ave N Lake Worth, FL 33460	N/a	Public Charity	6,000.00
Beloit College 700 College St Beloit, WI 53511	N/a Education	Public Charity	10,000.00
INTERNATIONAL CRANE FOUNDATION E-11376 SHADY LANE ROAD BARABOO, WI 53913	N/a CONSERVATION	Public Charity	25,000.00
Biblical Counseling Center 3233 N. Arlington Heights Rd Arlington Heights, IL 60004	N/a Religious	Public Charity	25,000.00
RAVENSWOOD COMMUNITY DAY CARE 4908 N. DAMEN Chicago, IL 60604	N/a YOUTH ACTIVITY	Public Charity	60,000.00
Conservation Science 2026 W. Nancy Ave Porterville, CA 93257	Conservation	Public Charity	21,000.00
For the Children Foundation 1800 Community Dr Clinton, MO 64735	Youth Activity	Public Charity	50,000.00

From the Top 295 Huntington Ave Boston, MA 02115	Youth Activity	Public Charity	5,000.00
Geneva Lake Conservancy 398 Mill St Fontana, WI 53125	Conservation	Public Charity	20,000.00
Heifer International Sustainable Food Systems 1 World Ave Little Rock, AK 72202	Relieve hunger	Public Charity	15,000.00
Leadership Resources 12575 Ridgeland Ave Palos Heights, IL 60463		Public Charity	25,000.00
Lincoln Park Zoo 2001 N. Clark St Chicago, IL 60614		Public Charity	30,000.00
Magdalene Carney Baha'I Institute 6002 Summit Blvd West Palm Beach, FL 33415	Religious activity	Public Charity	25,000.00
University of California Berkeley Foundation 2080 Addison St #4200 Berkeley, CA 94720	Education	Public Charity	55,635.00
Urological Research Foundation PO Box 11244 St. Louis, MO 63105	Medical research	Public Charity	10,000.00

Total to Form 990-PF, Part XV, line 3a

407,635.00

Makray Foundation
fye 1/31/11
Capital Gains

Account	Short term	Long term
020-57992-6	46,127.08	0.00
020-56730-1	(18,402.01)	0.00
020-57985-0	19,752.85	0.00
020-56729-3	(83,082.75)	0.00
020-57390-3	1,247.96	0.00
020-57388-7	(476.36)	0.00
020-57426-5	(14,587.02)	0.00
020-57428-1	7,707.71	0.00
020-57429-9	7,077.04	0.00
020-56732-7	(10,032.03)	0.00
020-55164-4	(6,515.78)	8,163.16
Total	(51,183.31)	8,163.16



PRIVATE WEALTH MANAGEMENT

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[Realized Gains/Losses](#) [Tax Correspondence](#) [K-1 Timing Matrix](#) [Create Tax Package](#)

Carol Donohoe Makray FF Neuberger: SCV 020-57992-6

1099 revisions Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline. Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March. If you are issued a revised Form 1099 it will be posted separately from your original Form 1099. Please note that we will only furnish the IRS with the final version of your 1099s. If you have any questions, please contact your Private Wealth Management team.

Separate Account Strategy : Neuberger Berman (The Greene Group): Small Cap Value
Base Currency :USD

The Tax Center contains the following information about your account(s) if applicable

- **Realized Gains and Losses.** See your realized gains and losses
- **Tax Correspondence.** See your actual 1099s from 1995 to present
- **Goldman Sachs Mutual Funds.** Shareholder Tax Information.
- **K-1s** can be located on the Fund Correspondence Tab or Tax Correspondence

Select an Account or Group:

Carol Donohoe Makray FF N .. 020-57992-6

2 Choose An Existing View:

 Realized Gains/Losses
 > [Customize](#) this view or
 > [Create One](#)

Choose a Date Range:

From Date: 01-Feb-2010

To Date: 31-Jan-2011

4 Search By Symbol/CUSIP

 Include Options ☒

Gain Type	Gains	Losses	Disallowed	Total
Short-Term	55,636.70	-9,509.62	0.00	46,127.08
Long-Term	0.00	0.00	0.00	0.00
Total Realized Gains/Losses:	55,636.70	-9,509.62	0.00	46,127.08

 Page 1 of 2 [Next](#) > Go to page:

Realized Gains/Losses

Symbol	Description A	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
ACCL	ACCELRY'S INC ORD CMN	05-May-2010	06-Dec-2010	176	1,490.69	1,255.420	235.27	215	Short-Term
AAP	ADVANCED AUTO PARTS INC CMN	05-May-2010	27-May-2010	21	1,075.39	959.700	115.69	22	Short-Term
AAP	ADVANCED AUTO PARTS INC CMN	05-May-2010	11-Nov-2010	10	674.93	457.000	217.93	190	Short-Term
AAP	ADVANCED AUTO PARTS INC CMN	05-May-2010	06-Dec-2010	41	2,812.96	1,873.700	939.26	215	Short-Term
AVAV	AEROVIRONMENT, INC CMN -	11-Oct-2010	06-Dec-2010	28	655.32	655.040	0.28	56	Short-Term
AFFX	AFFYMETRIX INC CMN	17-Sep-2010	06-Dec-2010	125	548.12	613.650	-65.53	80	Short-Term
ARMH	ARM HOLDINGS PLC SPON ADR SPONSORED ADR CMN	05-May-2010	06-May-2010	105	1,146.08	1,164.450	-18.37	1	Short-Term
ARRS	ARRIS GROUP INC CMN	05-May-2010	06-Dec-2010	168	1,777.40	2,055.160	-277.76	215	Short-Term
AVY	AVERY DENNISON CORPORATION CMN	05-May-2010	06-Dec-2010	71	2,844.21	2,722.140	122.07	215	Short-Term
	BECKMAN COULTER, INC CMN	05-May-2010	06-Dec-2010	40	2,322.76	2,476.000	-153.24	215	Short-Term
	BECKMAN COULTER, INC CMN	05-May-2010	18-Jan-2011	10	719.14	619.000	100.14	258	Short-Term
BCO	BRINK'S COMPANY (THE) CMN	05-May-2010	06-Dec-2010	59	1,541.05	1,518.660	22.39	215	Short-Term
BR	BROADRIDGE FINANCIAL SOLUTIONS IN CMN	05-May-2010	06-Dec-2010	80	1,763.97	1,880.000	-116.03	215	Short-Term
BRCD	BROCADE COMMUNICATIONS SYSTEMS CMN	05-May-2010	06-Dec-2010	393	2,080.90	2,490.840	-409.94	215	Short-Term
BRKR	BRUKER CORPORATION CMN	05-May-2010	07-Jun-2010	78	952.06	1,168.430	-216.37	33	Short-Term
CDNS	CADENCE DESIGN SYSTEMS INC CMN	05-May-2010	28-May-2010	62	415.67	443.920	-28.25	23	Short-Term
CDNS	CADENCE DESIGN SYSTEMS INC CMN	05-May-2010	06-Dec-2010	111	910.18	794.760	115.42	215	Short-Term
CBM	CAMBREX CORPORATION CMN	05-May-2010	06-Dec-2010	319	1,409.76	1,360.310	49.45	215	Short-Term
CRI	CARTER'S, INC. CMN	17-Sep-2010	06-Dec-2010	36	1,163.14	889.850	273.29	80	Short-Term
	CELERA CORPORATION CMN	05-May-2010	06-Dec-2010	91	551.45	657.020	-105.57	215	Short-Term
CNP	CENTERPOINT ENERGY, INC CMN	05-May-2010	13-Sep-2010	125	1,900.62	1,811.250	89.37	131	Short-Term

CNP	CENTERPOINT ENERGY, INC CMN	05-May-2010	16-Sep-2010	106	1,605 63	1,535 940	69 69	134	Term
CRDN	CERADYNE INC CALIF CMN	05-May-2010	06-Dec-2010	72	1,995 80	1,565 280	430 52	215	Short-Term
CRL	CHARLES RIV LABS INTL INC CMN	05-May-2010	28-May-2010	21	701 71	679 140	22 57	23	Short-Term
CRL	CHARLES RIV LABS INTL INC CMN	05-May-2010	06-Dec-2010	85	2,874 65	2,748 900	125 75	215	Short-Term
CRL	CHARLES RIV LABS INTL INC CMN	05-May-2010	29-Dec-2010	10	356 99	323.400	33 59	238	Short-Term
CRL	CHARLES RIV LABS INTL INC CMN	05-May-2010	24-Jan-2011	15	558 24	485 100	73.14	264	Short-Term
CHE	CHEMED CORP CMN	05-May-2010	06-Dec-2010	21	1,313 73	1,137 150	176 58	215	Short-Term
CHS	CHICO'S FAS INC CMN	22-Nov-2010	06-Dec-2010	60	752 38	682 590	69 79	14	Short-Term
CIEN	CIENA CORPORATION CMN	05-May-2010	06-Dec-2010	81	1,298 40	1,450 710	-152.31	215	Short-Term
CMS	CMS ENERGY CORPORATION CMN	05-May-2010	06-Dec-2010	79	1,469.37	1,252 150	217 22	215	Short-Term
CMS	CMS ENERGY CORPORATION CMN	05-May-2010	29-Dec-2010	14	262 54	221 900	40.64	238	Short-Term
CMS	CMS ENERGY CORPORATION CMN	05-May-2010	21-Jan-2011	48	919 52	760 800	158.72	261	Short-Term
	COGENT, INC CMN	05-May-2010	30-Aug-2010	151	1,614 49	1,546 240	68 25	117	Short-Term
	COGENT, INC CMN	05-May-2010	01-Sep-2010	23	255 48	235 520	19 96	119	Short-Term
	COGENT, INC CMN	06-May-2010	01-Sep-2010	75	833 08	694 640	138 44	118	Short-Term
CGNX	COGNEX CORP CMN	05-May-2010	07-May-2010	61	1,214 18	1,302 350	-88 17	2	Short-Term
CMVT	COMVERSE TECHNOLOGY INC (NEW) CMN	05-May-2010	06-Dec-2010	147	1,131.88	1,323 000	-191 12	215	Short-Term
STZ	CONSTELLATION BRANDS INC CMN CLASS A	05-May-2010	06-Dec-2010	176	3,822 65	3,192 640	630 01	215	Short-Term
STZ	CONSTELLATION BRANDS INC CMN CLASS A	05-May-2010	29-Dec-2010	33	736 19	598 620	137 57	238	Short-Term
CVG	CONVERGYS CORPORATION CMN	05-May-2010	06-Dec-2010	89	1,187.23	1,110 720	76 51	215	Short-Term
COO	COOPER COMPANIES INC (NEW) CMN	05-May-2010	13-Sep-2010	21	951.03	797 160	153 87	131	Short-Term
COO	COOPER COMPANIES INC (NEW) CMN	05-May-2010	18-Oct-2010	25	1,264 38	949.000	315 38	166	Short-Term
COO	COOPER COMPANIES INC (NEW) CMN	05-May-2010	01-Dec-2010	26	1,413 31	986.960	426 35	210	Short-Term
COO	COOPER COMPANIES INC (NEW) CMN	05-May-2010	06-Dec-2010	31	1,658 47	1,176 760	481 71	215	Short-Term
COO	COOPER COMPANIES INC (NEW) CMN	05-May-2010	29-Dec-2010	4	226 44	151 840	74 60	238	Short-Term
CLGX	CORELOGIC INC CMN	05-May-2010	06-Dec-2010	101	1,895 73	2,029 090	-133 36	215	Short-Term
COCO	CORINTHIAN COLLEGES INC CMN	19-Jul-2010	06-Dec-2010	20	84.40	204 900	-120 50	140	Short-Term
COCO	CORINTHIAN COLLEGES INC CMN	05-May-2010	06-Dec-2010	182	768 02	2,722 720	-1,954.70	215	Short-Term
CVA	COVANTA HOLDING CORP CMN	05-May-2010	06-Dec-2010	115	1,828 46	1,975 700	-147 24	215	Short-Term
CCK	CROWN HOLDINGS INC CMN	05-May-2010	03-Nov-2010	20	634 78	507 200	127.58	182	Short-Term
CCK	CROWN HOLDINGS INC CMN	05-May-2010	06-Dec-2010	91	2,912.86	2,307 760	605 10	215	Short-Term
CCK	CROWN HOLDINGS INC CMN	05-May-2010	29-Dec-2010	4	134 68	101 440	33.24	238	Short-Term
CTS	CTS CORPORATION CMN	05-May-2010	06-Dec-2010	130	1,466 37	1,348.660	117.71	215	Short-Term
CYT	CYTEC INDS INC COMMON STOCK	05-May-2010	17-May-2010	47	2,029 06	2,202 420	-173.36	12	Short-Term
CYT	CYTEC INDS INC COMMON STOCK	17-Nov-2010	06-Dec-2010	21	1,070 35	957 830	112 52	19	Short-Term
DAC	DANAOS CORPORATION CMN	05-May-2010	06-Dec-2010	180	707.38	864.000	-156 62	215	Short-Term
DBD	DIEBOLD INCORPORATED CMN	05-May-2010	06-Dec-2010	42	1,289 37	1,304 210	-14 84	215	Short-Term
DRIV	DIGITAL RIVER INC CMN	05-May-2010	06-Dec-2010	33	1,208 43	918 720	289 71	215	Short-Term
DRC	DRESSER-RAND GROUP INC. CMN	05-May-2010	06-Dec-2010	53	2,218 54	1,818 960	399 58	215	Short-Term
DST	DST SYSTEM INC COMMON STOCK	05-May-2010	06-Dec-2010	53	2,332 49	2,177 240	155 25	215	Short-Term
ESE	ESCO TECHNOLOGIES INC CMN	05-May-2010	06-Dec-2010	21	768.37	674 520	93.85	215	Short-Term

FICO	FAIR ISAAC INC CMN	05-May-2010	11-Nov-2010	31	750 04	688 510	61 53	190	Short-Term
FICO	FAIR ISAAC INC CMN	05-May-2010	06-Dec-2010	67	1,638 79	1,488 070	150 72	215	Short-Term
FAF	FIRST AMERICAN FIN CORP CMN	05-May-2010	11-Nov-2010	142	2,014 63	2,144 200	-129 57	190	Short-Term
FNFG	FIRST NIAGARA FINANCIAL GROUP, CMN	28-May-2010	06-Dec-2010	109	1,410 43	1,443 570	-33 14	192	Short-Term
FCN	FTI CONSULTING, INC CMN	05-May-2010	06-Dec-2010	42	1,554 81	1,800 540	-245 73	215	Short-Term
	GENOPTIX, INC CMN	02-Jul-2010	06-Dec-2010	38	650 54	653 600	-3 06	157	Short-Term
	GENOPTIX, INC. CMN	06-Jul-2010	06-Dec-2010	6	102 72	104 270	-1 56	153	Short-Term
	GENOPTIX, INC CMN	06-Jul-2010	24-Jan-2011	15	372 44	260 690	111 76	202	Short-Term
	GENOPTIX, INC. CMN	21-Sep-2010	24-Jan-2011	18	447 29	262 740	184 55	125	Short-Term
	GENOPTIX, INC CMN	21-Sep-2010	24-Jan-2011	3	74.49	43 790	30 70	125	Short-Term
GLBL	GLOBAL INDS LTD CMN	05-May-2010	06-Dec-2010	164	1,059.91	1,021.720	38 19	215	Short-Term
GVA	GRANITE CONSTRUCTION INC. CMN	05-May-2010	06-Dec-2010	20	552 19	634 800	-82 61	215	Short-Term
HWD	HARRY WINSTON DIAMOND CORP CMN	05-May-2010	06-Dec-2010	86	1,203 97	930.840	273.13	215	Short-Term
HUBB	HUBBELL INCORPORATED CLASS B CMN CLASS B	05-May-2010	06-Dec-2010	42	2,509 03	1,910 160	598 87	215	Short-Term
HBAN	HUNTINGTON BANCSHARES INCORPOR CMN	14-May-2010	06-Dec-2010	225	1,448 97	1,458.020	-9 05	206	Short-Term
IKAN	IKANOS COMMUNICATIONS, INC. CMN	05-May-2010	06-Dec-2010	199	256 70	533 000	-276 30	215	Short-Term
INFN	INFINERA CORPORATION CMN	22-Nov-2010	06-Dec-2010	72	668 87	618.400	50 47	14	Short-Term
INFN	INFINERA CORPORATION CMN	23-Nov-2010	06-Dec-2010	45	418 04	377 450	40 60	13	Short-Term
IN	INTERMEC INC CMN	07-May-2010	06-Dec-2010	79	923 49	913 920	9 57	213	Short-Term
ISIL	INTERSIL CORP CMN CLASS A	05-May-2010	28-May-2010	34	448 41	493.000	-44 59	23	Short-Term
ISIL	INTERSIL CORP CMN CLASS A	05-May-2010	06-Dec-2010	124	1,826 48	1,798 000	28 48	215	Short-Term
IO	ION GEOPHYSICAL CORPORATION CMN	05-May-2010	06-Dec-2010	249	1,989 47	1,464 740	524.73	215	Short-Term
IWM	ISHARES RUSSELL 2000 INDEX FUND	22-Dec-2009	05-May-2010	1,200	84,305 90	74,838 960	9,466.94	134	Short-Term
IWM	ISHARES RUSSELL 2000 INDEX FUND	08-Dec-2009	05-May-2010	1,250	87,818 64	74,975 000	12,843 64	148	Short-Term
IWM	ISHARES RUSSELL 2000 INDEX FUND	10-Feb-2010	05-May-2010	850	59,716 68	50,711 000	9,005 67	84	Short-Term
KSU	KANSAS CITY SOUTHERN CMN	05-May-2010	14-May-2010	50	1,936 37	1,968.500	-32.13	9	Short-Term
KBR	KBR, INC CMN	05-May-2010	06-Dec-2010	67	1,994 55	1,437 150	557 40	215	Short-Term
KEYN	KEYNOTE SYSTEMS INC CMN	05-May-2010	06-Dec-2010	104	1,293.73	1,085 760	207.97	215	Short-Term
	LADISH COMPANY INC CMN	05-May-2010	17-Nov-2010	74	3,363 97	1,980 750	1,383 23	196	Short-Term
	LADISH COMPANY INC CMN	05-May-2010	23-Nov-2010	26	1,202 48	695.940	506 54	202	Short-Term
	LADISH COMPANY INC CMN	05-May-2010	24-Nov-2010	26	1,216 56	695 940	520.62	203	Short-Term
LPS	LENDER PROCESSING SERVICES, IN CMN	22-Oct-2010	06-Dec-2010	66	1,867 76	1,763.920	103 84	45	Short-Term
MTW	MANITOWOC CO INC CMN	18-Nov-2010	06-Dec-2010	53	672 03	600 650	71 38	18	Short-Term
MTW	MANITOWOC CO INC CMN	23-Nov-2010	06-Dec-2010	36	456 47	404 840	51 64	13	Short-Term
WFR	MEMC ELECTRONIC MATERIAL COMMON STOCK	05-May-2010	06-Dec-2010	126	1,504.41	1,576.260	-71 85	215	Short-Term
MRCY	MERCURY COMPUTER SYSTEMS INC CMN	05-May-2010	06-Dec-2010	72	1,385 61	902 880	482 73	215	Short-Term
MTSC	MTS SYSTEMS CORP CMN	05-May-2010	06-Dec-2010	20	754 72	581 600	173 12	215	Short-Term

Page 1 of 2 [Next](#) > Go to page: 

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Carol Donohoe Makray FF Neuberger: SCV 020-57992-6

1099 revisions Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline. Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked revised during the final week of March. If you are issued a revised Form 1099 it will be posted separately from your original Form 1099. Please note that we will only furnish the IRS with the final version of your 1099s. If you have any questions, please contact your Private Wealth Management team.

Separate Account Strategy : Neuberger Berman (The Greene Group): Small Cap Value
Base Currency : USD

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Choose a Date Range.

From Date 01-Feb-2010

To Date 31-Jan-2011

4. Search By Symbol/CUSIP.

Include Options: ☒

Gain Type	Gains	Losses	Disallowed	Total
<u>Short-Term</u>	55,636.70	-9,509.62	0.00	46,127.08
<u>Long-Term</u>	0.00	0.00	0.00	0.00
Total Realized Gains/Losses:	55,636.70	-9,509.62	0.00	46,127.08

< [Previous](#) Page 2 of 2 Go to page:

Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
MTSC	MTS SYSTEMS CORP CMN	05-May-2010	10-Dec-2010	16	606.95	465.280	141.67	219	Short-Term
NAV	NAVISTAR INTL CORP (NEW) CMN	05-May-2010	27-May-2010	14	768.36	684.460	83.90	22	Short-Term
NAV	NAVISTAR INTL CORP (NEW) CMN	05-May-2010	06-Dec-2010	49	2,829.21	2,395.610	433.60	215	Short-Term
	NBTY, INC CMN	05-May-2010	19-Jul-2010	50	2,673.22	1,995.000	678.22	75	Short-Term
	NBTY, INC CMN	11-May-2010	12-Aug-2010	6	323.96	210.600	113.37	93	Short-Term
	NBTY, INC CMN	05-May-2010	12-Aug-2010	13	701.92	518.700	183.22	99	Short-Term
	NBTY, INC CMN	11-May-2010	20-Aug-2010	10	539.91	350.990	188.92	101	Short-Term
	NBTY, INC CMN	13-May-2010	20-Aug-2010	10	539.91	337.560	202.35	99	Short-Term
OMX	OFFICEMAX INC CMN	05-May-2010	06-Dec-2010	133	2,483.06	2,451.190	31.87	215	Short-Term
OGE	OGE ENERGY CORP (HOLDING CO) CMN	05-May-2010	06-Dec-2010	26	1,176.22	1,057.160	119.06	215	Short-Term
ORA	ORMAT TECHNOLOGIES, INC CMN	05-May-2010	06-Dec-2010	32	887.34	1,008.960	-121.62	215	Short-Term
PLL	PALL CORP CMN	05-May-2010	06-Dec-2010	52	2,521.95	1,991.600	530.35	215	Short-Term
PLL	PALL CORP CMN	05-May-2010	31-Jan-2011	9	483.61	344.700	138.91	271	Short-Term
PBY	PEP BOYS MANNY-MOE & JACK CMN	05-May-2010	06-Dec-2010	87	1,095.31	1,094.460	0.85	215	Short-Term
PMC	PHARMERICA CORPORATION CMN	05-May-2010	14-Jun-2010	66	976.27	1,269.180	-292.91	40	Short-Term
PMC	PHARMERICA CORPORATION CMN	05-May-2010	15-Jun-2010	25	368.73	480.750	-112.02	41	Short-Term
RDWR	RADWARE LTD CMN	05-May-2010	14-Sep-2010	17	654.96	331.500	323.46	132	Short-Term
RDWR	RADWARE LTD CMN	05-May-2010	14-Sep-2010	17	648.06	331.500	316.56	132	Short-Term
RDWR	RADWARE LTD CMN	05-May-2010	15-Sep-2010	11	392.93	214.500	178.43	133	Short-Term
RDWR	RADWARE LTD CMN	05-May-2010	20-Sep-2010	16	585.73	312.000	273.73	138	Short-Term
RDWR	RADWARE LTD CMN	05-May-2010	29-Nov-2010	13	411.96	253.500	158.46	208	Short-Term

Short-

STATEMENT A

R0WR	RADWARE LTD CMN	05-May-2010	30-Nov-2010	33	1,023.31	643.500	379.81	209	Term
R	RYDER SYSTEM INC CMN	05-May-2010	06-Dec-2010	52	2,358.68	2,439.320	-80.64	215	Short-Term
R	RYDER SYSTEM INC CMN	05-May-2010	19-Jan-2011	4	202.48	187.640	14.84	259	Short-Term
SCHL	SCHOLASTIC CORPORATION CMN	05-May-2010	21-Oct-2010	14	412.22	369.950	42.27	169	Short-Term
SCHL	SCHOLASTIC CORPORATION CMN	05-May-2010	22-Oct-2010	13	381.68	343.520	38.16	170	Short-Term
SCHL	SCHOLASTIC CORPORATION CMN	05-May-2010	29-Oct-2010	79	2,309.38	2,087.560	221.82	177	Short-Term
SGMS	SCIENTIFIC GAMES CORPORATION CMN CLASS A	25-Jun-2010	06-Dec-2010	40	349.19	416.180	-66.98	164	Short-Term
SGMS	SCIENTIFIC GAMES CORPORATION CMN CLASS A	05-May-2010	06-Dec-2010	167	1,457.88	2,297.920	-840.04	215	Short-Term
SEAC	SEACHANGE INTERNATIONAL INC CMN	05-May-2010	06-Dec-2010	152	1,269.17	1,262.310	6.86	215	Short-Term
SEE	SEALED AIR CORPORATION CMN	05-May-2010	06-Dec-2010	105	2,536.75	2,274.300	262.45	215	Short-Term
SSW	SEASpan CORPORATION CMN	05-May-2010	06-Dec-2010	61	780.17	688.690	91.48	215	Short-Term
SWIR	SIERRA WIRELESS INC CMN	05-May-2010	06-Dec-2010	97	1,098.39	752.920	345.47	215	Short-Term
SLM	SLM CORPORATION CMN	05-May-2010	06-Dec-2010	219	2,588.53	2,584.200	4.33	215	Short-Term
SLM	SLM CORPORATION CMN	05-May-2010	21-Jan-2011	56	791.60	660.800	130.80	261	Short-Term
SUG	SOUTHERN UNION CO (NEW) CMN	05-May-2010	06-Dec-2010	79	1,899.91	1,973.590	-73.68	215	Short-Term
	STERLING BANCSHARES INC CMN	01-Sep-2010	06-Dec-2010	26	169.00	132.180	36.82	96	Short-Term
	STERLING BANCSHARES INC CMN	13-Sep-2010	06-Dec-2010	3	19.50	16.010	3.49	84	Short-Term
	STERLING BANCSHARES INC CMN	17-May-2010	06-Dec-2010	198	1,286.97	1,156.780	130.19	203	Short-Term
TCB	TCF FINANCIAL CORP MINN	27-May-2010	06-Dec-2010	96	1,363.17	1,559.290	-196.12	193	Short-Term
TECUA	TECUMSEH PRODUCTS CO CL-A CMN CLASS A	05-May-2010	22-Sep-2010	57	669.72	779.030	-109.31	140	Short-Term
TECUA	TECUMSEH PRODUCTS CO CL-A CMN CLASS A	05-May-2010	20-Sep-2010	27	315.01	369.010	-54.00	138	Short-Term
TECUA	TECUMSEH PRODUCTS CO CL-A CMN CLASS A	05-May-2010	21-Sep-2010	21	245.71	287.010	-41.30	139	Short-Term
TECUA	TECUMSEH PRODUCTS CO CL-A CMN CLASS A	05-May-2010	23-Sep-2010	10	116.04	136.670	-20.63	141	Short-Term
TECUA	TECUMSEH PRODUCTS CO CL-A CMN CLASS A	05-May-2010	24-Sep-2010	75	888.68	1,025.030	-136.35	142	Short-Term
TKLC	TEKELEC CMN	05-May-2010	06-Dec-2010	122	1,477.39	2,138.660	-661.27	215	Short-Term
TDY	TELEDYNE TECHNOLOGIES INC CMN	05-May-2010	06-Dec-2010	38	1,603.19	1,671.160	-67.97	215	Short-Term
TTI	TETRA TECHNOLOGIES INC (DEL) CMN	05-May-2010	06-Dec-2010	211	2,363.16	2,502.460	-139.30	215	Short-Term
TCBI	TEXAS CAPITAL BANCSHARES, INC. CMN	17-May-2010	06-Dec-2010	45	919.33	836.480	82.85	203	Short-Term
TCBI	TEXAS CAPITAL BANCSHARES, INC CMN	18-May-2010	06-Dec-2010	17	347.30	317.500	29.80	202	Short-Term
TCBI	TEXAS CAPITAL BANCSHARES, INC CMN	01-Sep-2010	06-Dec-2010	8	163.44	125.630	37.80	96	Short-Term
TXT	TEXTRON INC DEL CMN	05-May-2010	06-Dec-2010	126	2,925.67	2,908.080	17.59	215	Short-Term
TXT	TEXTRON INC DEL CMN	05-May-2010	31-Jan-2011	12	314.70	276.960	37.74	271	Short-Term
TIBX	TIBCO SOFTWARE INC CMN	05-May-2010	21-Oct-2010	86	1,548.78	978.680	570.10	169	Short-Term
TIBX	TIBCO SOFTWARE INC. CMN	05-May-2010	11-Nov-2010	105	1,983.53	1,194.900	788.63	190	Short-Term
TIBX	TIBCO SOFTWARE INC. CMN	05-May-2010	06-Dec-2010	69	1,438.62	785.220	653.40	215	Short-Term
UTEK	ULTRATECH INC CMN	05-May-2010	06-Dec-2010	90	1,795.46	1,268.990	526.47	215	Short-Term
UMPQ	UMPQUA HLDGS CORP CMN	14-May-2010	06-Dec-2010	115	1,285.67	1,577.540	-291.87	206	Short-Term
	UTSTARCOM INC CMN	05-May-2010	12-May-2010	411	956.13	1,097.370	-141.24	7	Short-Term
	VERIGY LTD ORD CMN	13-Oct-2010	06-Dec-2010	108	1,382.37	876.320	506.05	54	Short-Term
	VERIGY LTD ORD CMN	13-Oct-2010	23-Dec-2010	40	532.43	324.560	207.87	71	Short-Term
VRNT	VERINT SYSTEMS, INC CMN	05-May-2010	06-Dec-2010	92	3,130.70	2,392.000	738.70	215	Short-Term

STATEMENT A

WRB	W R BERKLEY CORPORATION CMN	05-May-2010	14-May-2010	75	2,020 59	2,025 750	-5 16	9 Short-Term
	WARNER MUSIC GROUP CORP CMN	05-May-2010	06-Dec-2010	245	1,245 57	1,628 610	-383.04	215 Short-Term
	ZORAN CORPORATION CMN	05-May-2010	06-Dec-2010	176	1,411 98	1,633 280	-221 30	215 Short-Term
TOTAL					427,383.17		46,127.08	

< [Previous](#) Page 2 of 2 Go to page: [90](#)

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Prepared for Carole J. Walther
 Tue 29 Nov 2011 4 44 PM EST

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STATEMENT A



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Separate Account Strategy : Loomis Sayles: Large Cap Value

Base Currency :USD

The Tax Center contains the following information about your account(s) if applicable:

- **Realized Gains and Losses.** See your realized gains and losses
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- **K-1s** can be located on the Fund Correspondence Tab or Tax Correspondence.

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Choose a Date Range

From Date 01-Feb-2010

To Date 31-Jan-2011

4 Search By Symbol/CUSIP

Include Options ☒

Gain Type	Gains	Losses	Disallowed	Total
Short-Term	39,512.19	-57,914.20	0.00	-18,402.01
Long-Term	0.00	0.00	0.00	0.00
Total Realized Gains/Losses:	39,512.19	-57,914.20	0.00	-18,402.01

Page 1 of 2 [Next](#) > Go to page: [99](#)

Realized Gains/Losses

Symbol	Description (A)	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
ABB	ABB LTD SPONSORED ADR CMN	05-Feb-2010	05-May-2010	114	2,071.19	1,980.180	91.01	89	Short-Term
APD	AIR PRODUCTS & CHEMICALS INC CMN	05-Mar-2010	10-Jan-2011	59	5,211.11	4,298.080	913.03	311	Short-Term
	ALLEGHENY ENERGY, INC CMN	05-Feb-2010	01-Apr-2010	445	10,309.71	9,352.920	956.79	55	Short-Term
	ALLEGHENY ENERGY, INC CMN	16-Feb-2010	01-Apr-2010	124	2,872.82	2,812.320	60.50	44	Short-Term
AEP	AMERICAN ELECTRIC POWER INC CMN	16-Feb-2010	23-Jul-2010	48	1,705.83	1,604.160	101.67	157	Short-Term
AEP	AMERICAN ELECTRIC POWER INC CMN	05-Feb-2010	23-Jul-2010	310	11,016.81	10,412.900	603.91	168	Short-Term
AEP	AMERICAN ELECTRIC POWER INC CMN	03-Jun-2010	23-Jul-2010	45	1,599.21	1,441.800	157.41	50	Short-Term
AMP	AMERIPRISE FINANCIAL, INC CMN	05-Feb-2010	07-Apr-2010	21	960.10	784.560	175.54	61	Short-Term
AMP	AMERIPRISE FINANCIAL, INC CMN	05-Feb-2010	13-Aug-2010	66	2,812.51	2,465.760	346.75	189	Short-Term
AMP	AMERIPRISE FINANCIAL, INC. CMN	05-Feb-2010	29-Oct-2010	69	3,608.36	2,577.840	1,030.52	266	Short-Term
AMP	AMERIPRISE FINANCIAL, INC CMN	05-Feb-2010	18-Jan-2011	48	2,917.75	1,793.280	1,124.47	347	Short-Term
APC	ANADARKO PETROLEUM CORP CMN	05-Feb-2010	19-Jul-2010	115	5,331.31	7,034.550	-1,703.24	164	Short-Term
APC	ANADARKO PETROLEUM CORP CMN	16-Feb-2010	24-Aug-2010	23	1,076.90	1,558.250	-481.35	189	Short-Term
APC	ANADARKO PETROLEUM CORP CMN	05-Feb-2010	24-Aug-2010	45	2,106.98	2,752.650	-645.67	200	Short-Term
APC	ANADARKO PETROLEUM CORP CMN	27-May-2010	24-Aug-2010	26	1,217.37	1,477.460	-260.09	89	Short-Term
APC	ANADARKO PETROLEUM CORP CMN	03-Jun-2010	24-Aug-2010	30	1,404.65	1,364.100	40.55	82	Short-Term
MT	ARCELORMITTAL CMN	07-May-2010	14-May-2010	30	987.18	997.210	-10.03	7	Short-Term
MT	ARCELORMITTAL CMN	05-Feb-2010	14-May-2010	215	7,074.80	7,987.100	-912.31	98	Short-Term
MT	ARCELORMITTAL CMN	16-Feb-2010	14-May-2010	29	954.27	1,129.840	-175.57	87	Short-Term
BAC	BANK OF AMERICA CORP CMN	05-Feb-2010	20-Jan-2011	302	4,317.00	4,422.060	-105.07	349	Short-Term
BRKB	BERKSHIRE HATHAWAY INC CLASS B	05-Feb-2010	12-Feb-2010	37	2,795.23	2,662.150	133.08	7	Short-Term
									Short-Term

BRKB	BERKSHIRE HATHAWAY INC CLASS B	05-Feb-2010	29-Oct-2010	148	11,739.12	10,648 600	1,090 52	266	Term
BRKB	BERKSHIRE HATHAWAY INC CLASS B	16-Feb-2010	29-Oct-2010	21	1,665 69	1,591 380	74 31	255	Short-Term
BRKB	BERKSHIRE HATHAWAY INC CLASS B	03-Jun-2010	29-Oct-2010	25	1,982 96	1,798 750	184 21	148	Short-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	05-Feb-2010	28-Jul-2010	640	3,643 19	5,216 000	-1,572 81	173	Short-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	16-Feb-2010	02-Aug-2010	179	991 64	1,321 020	-329 38	167	Short-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	05-Feb-2010	02-Aug-2010	590	3,268 55	4,808 500	-1,539 96	178	Short-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	03-Jun-2010	02-Aug-2010	190	1,052 58	1,143 800	-91 22	60	Short-Term
BMV	BRISTOL-MYERS SQUIBB COMPANY CMN	05-Feb-2010	10-Sep-2010	54	1,455 05	1,284 120	170 93	217	Short-Term
CBS	CBS CORPORATION CMN CLASS B	21-Apr-2010	02-Aug-2010	131	2,001 01	2,078.490	-77 48	103	Short-Term
CBS	CBS CORPORATION CMN CLASS B	21-Apr-2010	12-Aug-2010	34	482 79	539 450	-56 66	113	Short-Term
CTL	CENTURYLINK INC CMN	05-Feb-2010	04-Nov-2010	59	2,529 42	1,983 580	545.84	272	Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	05-Feb-2010	02-Aug-2010	192	3,717 47	2,934 890	782 58	178	Short-Term
CAG	CONAGRA INC CMN	05-Feb-2010	24-Mar-2010	92	2,398 94	2,088 400	310.54	47	Short-Term
CNX	CONSOL ENERGY INC. CMN	05-Feb-2010	13-Aug-2010	98	3,596.38	4,382 060	-785 68	189	Short-Term
CNX	CONSOL ENERGY INC CMN	05-Feb-2010	05-Jan-2011	74	3,762 73	3,308 900	453 83	334	Short-Term
CNX	CONSOL ENERGY INC CMN	05-Feb-2010	26-Jan-2011	33	1,693 77	1,475 590	218 18	355	Short-Term
CNX	CONSOL ENERGY INC CMN	16-Feb-2010	26-Jan-2011	24	1,231 83	1,211 760	20 07	344	Short-Term
DTV	DIRECTV CMN	05-Feb-2010	26-May-2010	56	2,111 50	1,694 000	417 50	110	Short-Term
DTV	DIRECTV CMN	05-Feb-2010	02-Aug-2010	82	3,082 77	2,480 500	602 27	178	Short-Term
DTV	DIRECTV CMN	05-Feb-2010	01-Oct-2010	56	2,346 86	1,694 000	652 86	238	Short-Term
DTV	DIRECTV CMN	05-Feb-2010	10-Dec-2010	132	5,303 67	3,993.000	1,310.67	308	Short-Term
DFS	DISCOVER FINANCIAL SERVICES CMN	05-Feb-2010	14-Sep-2010	63	1,008 33	808 920	199 41	221	Short-Term
DPS	DR PEPPER SNAPPLE GROUP, INC. CMN	05-Feb-2010	23-Jul-2010	52	2,052 85	1,379 560	673 29	168	Short-Term
DPS	DR PEPPER SNAPPLE GROUP, INC CMN	03-Jun-2010	10-Sep-2010	30	1,046.06	1,118 400	-72 35	99	Short-Term
DPS	DR PEPPER SNAPPLE GROUP, INC. CMN	16-Feb-2010	10-Sep-2010	61	2,126 98	1,669 570	457.41	206	Short-Term
DPS	DR PEPPER SNAPPLE GROUP, INC. CMN	05-Feb-2010	10-Sep-2010	103	3,591 46	2,732 590	858.87	217	Short-Term
EBAY	EBAY INC CMN	05-Feb-2010	21-Oct-2010	88	2,462.45	1,979 120	483 33	258	Short-Term
ENR	ENERGIZER HOLDINGS, INC. CMN	20-May-2010	25-Oct-2010	20	1,483.90	1,111 080	372 82	158	Short-Term
ENR	ENERGIZER HOLDINGS, INC CMN	03-Jun-2010	09-Dec-2010	10	708 22	565 100	143 12	189	Short-Term
ENR	ENERGIZER HOLDINGS, INC CMN	20-May-2010	09-Dec-2010	68	4,815 91	3,777 660	1,038 24	203	Short-Term
EOG	EOG RESOURCES INC CMN	05-Feb-2010	29-Jun-2010	125	12,719 70	11,426 000	1,293.70	144	Short-Term
EOG	EOG RESOURCES INC CMN	16-Feb-2010	29-Jun-2010	19	1,933 39	1,760 350	173.04	133	Short-Term
EOG	EOG RESOURCES INC CMN	03-Jun-2010	29-Jun-2010	20	2,035 15	2,176 400	-141 25	26	Short-Term
EQT	EQT CORPORATION CMN	05-Feb-2010	11-Aug-2010	245	9,139 86	10,436 090	-1,296 23	187	Short-Term
EQT	EQT CORPORATION CMN	16-Feb-2010	11-Aug-2010	37	1,380 31	1,638 730	-258 42	176	Short-Term
EQT	EQT CORPORATION CMN	02-Jun-2010	11-Aug-2010	25	932.64	963.250	-30.61	70	Short-Term
EQT	EQT CORPORATION CMN	03-Jun-2010	11-Aug-2010	40	1,492 22	1,615 200	-122 98	69	Short-Term
EL	ESTEE LAUDER COS INC CL-A CMN CLASS A	05-Feb-2010	05-Mar-2010	31	1,915 72	1,691 360	224 36	28	Short-Term
EL	ESTEE LAUDER COS INC CL-A CMN CLASS A	05-Feb-2010	26-Apr-2010	41	2,888.97	2,236 960	652 01	80	Short-Term
EL	ESTEE LAUDER COS INC CL-A CMN CLASS A	05-Feb-2010	05-Jan-2011	28	2,309 40	1,527 680	781 72	334	Short-Term
EL	ESTEE LAUDER COS INC CL-A CMN CLASS A	05-Feb-2010	25-Jan-2011	75	6,090 74	4,092 000	1,998 74	354	Short-Term

EL	ESTEE LAUDER COS INC CL-A CMN CLASS A	16-Feb-2010	25-Jan-2011	26	2,111 46	1,505 400	606 05	343	Short-Term
EL	ESTEE LAUDER COS INC CL-A CMN CLASS A	03-Jun-2010	25-Jan-2011	20	1,624 20	1,183 800	440.40	236	Short-Term
XOM	EXXON MOBIL CORPORATION CMN	05-Feb-2010	24-Nov-2010	39	2,710 18	2,495.610	214 57	292	Short-Term
FWLT	FOSTER WHEELER AG CMN	05-Feb-2010	14-May-2010	114	3,039 07	2,973 870	65 20	98	Short-Term
GE	GENERAL ELECTRIC CO CMN	05-Feb-2010	24-Nov-2010	104	1,645 25	1,625 520	19.73	292	Short-Term
HRB	H & R BLOCK INC CMN	05-Feb-2010	10-Feb-2010	109	2,268 76	2,266 110	2 65	5	Short-Term
HRB	H & R BLOCK INC CMN	05-Feb-2010	05-Mar-2010	321	5,388 43	6,673 590	-1,285 16	28	Short-Term
HRB	H & R BLOCK INC CMN	16-Feb-2010	05-Mar-2010	47	788 96	980 420	-191 46	17	Short-Term
HAR	HARMAN INTL INDS INC (NEW) CMN	05-Feb-2010	09-Feb-2010	34	1,389 94	1,167 900	222 04	4	Short-Term
HAR	HARMAN INTL INDS INC (NEW) CMN	05-Feb-2010	07-Dec-2010	48	2,286 15	1,648 800	637 35	305	Short-Term
HAR	HARMAN INTL INDS INC (NEW) CMN	03-May-2010	25-Jan-2011	37	1,636.10	1,496.090	140 01	267	Short-Term
HAR	HARMAN INTL INDS INC (NEW) CMN	05-Feb-2010	25-Jan-2011	158	6,986 60	5,427 300	1,559 30	354	Short-Term
HAR	HARMAN INTL INDS INC (NEW) CMN	16-Feb-2010	25-Jan-2011	29	1,282 35	1,289 050	-6 70	343	Short-Term
HAR	HARMAN INTL INDS INC (NEW) CMN	03-Jun-2010	25-Jan-2011	35	1,547 66	1,132 950	414 71	236	Short-Term
HES	HESS CORPORATION CMN	05-Feb-2010	05-Mar-2010	21	1,281 82	1,189 440	92.38	28	Short-Term
HES	HESS CORPORATION CMN	05-Feb-2010	13-Aug-2010	32	1,694 41	1,812 480	-118 07	189	Short-Term
HPQ	HEWLETT-PACKARD CO CMN	05-Feb-2010	01-Apr-2010	31	1,648 90	1,458 860	190.04	55	Short-Term
HPQ	HEWLETT-PACKARD CO CMN	05-Feb-2010	14-May-2010	77	3,599 62	3,623 620	-24.00	98	Short-Term
HPQ	HEWLETT-PACKARD CO CMN	05-Feb-2010	01-Oct-2010	35	1,418 87	1,647.100	-228 23	238	Short-Term
HPQ	HEWLETT-PACKARD CO CMN	05-Feb-2010	09-Dec-2010	68	2,882 17	3,200 080	-317 91	307	Short-Term
HON	HONEYWELL INTL INC CMN	05-Feb-2010	16-Dec-2010	36	1,900 76	1,336 680	564 08	314	Short-Term
IBM	INTL BUSINESS MACHINES CORP CMN	05-Feb-2010	01-Apr-2010	75	9,620 00	9,203 250	416 75	55	Short-Term
IBM	INTL BUSINESS MACHINES CORP CMN	16-Feb-2010	01-Apr-2010	8	1,026 13	998 320	27 81	44	Short-Term
JNJ	JOHNSON & JOHNSON CMN	05-Feb-2010	05-Mar-2010	31	1,979 32	1,938 120	41.20	28	Short-Term
JNJ	JOHNSON & JOHNSON CMN	05-Feb-2010	24-Nov-2010	9	568 97	562.680	6 29	292	Short-Term
JNJ	JOHNSON & JOHNSON CMN	05-Feb-2010	16-Dec-2010	135	8,433 37	8,440 200	-6 83	314	Short-Term
JNJ	JOHNSON & JOHNSON CMN	16-Feb-2010	16-Dec-2010	28	1,749.14	1,781.640	-32 50	303	Short-Term
JNJ	JOHNSON & JOHNSON CMN	03-Jun-2010	16-Dec-2010	25	1,561 74	1,493 750	67 99	196	Short-Term
JCI	JOHNSON CONTROLS INC CMN	18-Feb-2010	02-Aug-2010	64	1,864 46	1,882 290	-17 83	165	Short-Term
JCI	JOHNSON CONTROLS INC CMN	18-Feb-2010	12-Aug-2010	26	730 32	764 680	-34 36	175	Short-Term
JCI	JOHNSON CONTROLS INC CMN	18-Feb-2010	01-Oct-2010	67	2,042.51	1,970 520	71 99	225	Short-Term
JCI	JOHNSON CONTROLS INC CMN	18-Feb-2010	10-Nov-2010	33	1,220 55	970 560	249 99	265	Short-Term
JCI	JOHNSON CONTROLS INC CMN	18-Feb-2010	05-Jan-2011	52	2,081 87	1,529.360	552 51	321	Short-Term
LOW	LOWES COMPANIES INC CMN	05-Feb-2010	01-Oct-2010	75	1,671 96	1,622 250	49 71	238	Short-Term
MDT	MEDTRONIC INC CMN	05-Feb-2010	17-Mar-2010	52	2,337.17	2,175.160	162 01	40	Short-Term
MRK	MERCK & CO , INC CMN	05-Feb-2010	10-Sep-2010	67	2,429 78	2,446.770	-16 99	217	Short-Term
MSFT	MICROSOFT CORPORATION CMN	05-Feb-2010	02-Jun-2010	330	8,599 69	9,187 200	-587 51	117	Short-Term

Page 1 of 2 Next > Go to page: 99

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Makray Fam Fdn CAROL Loomis Sayles:LCV 020-56730-1

1099 revisions Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline. Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March. If you are issued a revised Form 1099 it will be posted separately from your original Form 1099. Please note that we will only furnish the IRS with the final version of your 1099s. If you have any questions, please contact your Private Wealth Management team.

Separate Account Strategy : Loomis Sayles: Large Cap Value

Base Currency :USD

The Tax Center contains the following information about your account(s) if applicable.

- **Realized Gains and Losses.** See your realized gains and losses
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- **K-1s** can be located on the Fund Correspondence Tab or Tax Correspondence

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2. Choose An Existing View:

Realized Gains/Losses 
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Choose a Date Range

From Date: 01-Feb-2010

To Date: 31-Jan-2011

4 Search By Symbol/CUSIP.

Include Options: ☒

Gain Type	Gains	Losses	Disallowed	Total
<u>Short-Term</u>	39,512.19	-57,914.20	0.00	-18,402.01
<u>Long-Term</u>	0.00	0.00	0.00	0.00
Total Realized Gains/Losses:	39,512.19	-57,914.20	0.00	-18,402.01

< [Previous](#) Page 2 of 2 Go to page: 

Realized Gains/Losses

Symbol	Description 	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
MSFT	MICROSOFT CORPORATION CMN	16-Feb-2010	02-Jun-2010	50	1,302.98	1,413.000	-110.02	106	Short-Term
TAP	MOLSON COORS BREWING CO CMN CLASS B	05-Feb-2010	18-Feb-2010	150	6,072.94	6,187.500	-114.56	13	Short-Term
TAP	MOLSON COORS BREWING CO CMN CLASS B	16-Feb-2010	18-Feb-2010	24	971.67	952.320	19.35	2	Short-Term
NOC	NORTHROP GRUMMAN CORP CMN	05-Feb-2010	14-Apr-2010	20	1,322.17	1,149.000	173.17	68	Short-Term
ORCL	ORACLE CORPORATION CMN	05-Feb-2010	19-Nov-2010	92	2,588.49	2,148.200	440.29	287	Short-Term
OI	OWENS-ILLINOIS INC CMN	05-Feb-2010	12-May-2010	54	1,699.04	1,425.060	273.99	96	Short-Term
OI	OWENS-ILLINOIS INC CMN	05-Feb-2010	31-Jan-2011	133	3,966.31	3,509.860	456.45	360	Short-Term
PEP	PEPSICO INC CMN	05-Feb-2010	26-May-2010	24	1,487.01	1,422.240	64.77	110	Short-Term
PFE	PFIZER INC CMN	05-Feb-2010	21-Apr-2010	188	3,157.01	3,378.000	-220.99	75	Short-Term
PFE	PFIZER INC CMN	05-Feb-2010	21-Apr-2010	852	14,047.62	15,308.820	-1,261.20	75	Short-Term
PFE	PFIZER INC CMN	16-Feb-2010	21-Apr-2010	152	2,552.48	2,687.360	-134.88	64	Short-Term
PX	PRAXAIR, INC CMN SERIES	16-Feb-2010	18-Feb-2010	12	930.18	930.720	-0.54	2	Short-Term
PX	PRAXAIR, INC CMN SERIES	05-Feb-2010	18-Feb-2010	75	5,813.60	5,525.250	288.35	13	Short-Term
PHM	PULTE HOMES, INC CMN	05-Feb-2010	02-Aug-2010	395	3,568.64	4,246.250	-677.61	178	Short-Term
PHM	PULTE HOMES, INC CMN	09-Feb-2010	02-Aug-2010	170	1,535.87	1,820.700	-284.83	174	Short-Term
PHM	PULTE HOMES, INC CMN	16-Feb-2010	02-Aug-2010	82	740.83	947.100	-206.27	167	Short-Term
PHM	PULTE HOMES, INC CMN	03-Jun-2010	02-Aug-2010	85	767.94	884.850	-116.92	60	Short-Term
SLB	SCHLUMBERGER LTD CMN	03-Jun-2010	27-Aug-2010	0.74	43.75	0.000	43.75	85	Short-Term
SLB	SCHLUMBERGER LTD CMN	05-Feb-2010	09-Dec-2010	46	3,728.25	1,965.430	1,762.82	307	Short-Term
SI	SIEMENS AKTIENGESSELLSCHAFT SPONSORED ADR CMN	05-Feb-2010	10-Nov-2010	17	1,955.62	1,429.870	525.75	278	Short-Term
	SMITH INTERNATIONAL INC CMN	05-Feb-2010	19-Feb-2010	154	5,790.24	4,555.320	1,234.92	14	Short-Term
									Short-Term

STATEMENT A

	SMITH INTERNATIONAL INC CMN	05-Feb-2010	28-Apr-2010	21	998 39	621 180	377 21	82	Term
SPY	SPDR S&P 500 ETF TRUST	08-Dec-2009	05-Feb-2010	4,000	423,297 02	438,920.000	-15,622 98	59	Short-Term
SPY	SPDR S&P 500 ETF TRUST	22-Dec-2009	05-Feb-2010	3,900	412,714 60	435,630 000	-22,915 40	45	Short-Term
BK	THE BANK OF NY MELLON CORP CMN	05-Feb-2010	14-Apr-2010	184	5,821 86	4,975 360	846 50	68	Short-Term
GT	THE GOODYEAR TIRE & RUBBER COM CMN	05-Feb-2010	30-Jul-2010	220	2,336 98	2,750 000	-413.02	175	Short-Term
GT	THE GOODYEAR TIRE & RUBBER COM CMN	05-Feb-2010	12-Aug-2010	230	2,353 11	2,875 000	-521.89	188	Short-Term
TRV	THE TRAVELERS COMPANIES, INC CMN	05-Feb-2010	07-Apr-2010	82	4,336 48	4,099 180	237.30	61	Short-Term
TDW	TIDEWATER INC CMN	16-Feb-2010	02-Jun-2010	29	1,196 19	1,326.460	-130 27	106	Short-Term
TDW	TIDEWATER INC CMN	28-Apr-2010	02-Jun-2010	10	412 48	517 880	-105 40	35	Short-Term
TDW	TIDEWATER INC CMN	05-Feb-2010	02-Jun-2010	195	8,043 34	8,584 890	-541 55	117	Short-Term
TDW	TIDEWATER INC CMN	16-Apr-2010	02-Jun-2010	30	1,237 44	1,458 110	-220 67	47	Short-Term
TWX	TIME WARNER INC CMN	05-Feb-2010	21-Apr-2010	300	9,902 49	8,139 000	1,763 49	75	Short-Term
TWX	TIME WARNER INC CMN	16-Feb-2010	21-Apr-2010	43	1,419 36	1,227 650	191 71	64	Short-Term
TOT	TOTAL SA SPONSORED ADR CMN	05-Feb-2010	19-Nov-2010	53	2,800 37	2,893 270	-92.90	287	Short-Term
UNH	UNITEDHEALTH GROUP INCORPORATE CMN	05-Feb-2010	25-Oct-2010	61	2,289 16	1,963 640	325 52	262	Short-Term
VLO	VALERO ENERGY CORPORATION CMN	05-Feb-2010	16-Apr-2010	104	1,972 92	1,844 960	127 96	70	Short-Term
VLO	VALERO ENERGY CORPORATION CMN	16-Feb-2010	13-Aug-2010	84	1,428 11	1,505 280	-77 17	178	Short-Term
VLO	VALERO ENERGY CORPORATION CMN	05-Feb-2010	13-Aug-2010	496	8,432 65	8,799 040	-366 39	189	Short-Term
VLO	VALERO ENERGY CORPORATION CMN	03-Jun-2010	13-Aug-2010	80	1,360 11	1,441 600	-81 50	71	Short-Term
VIAB	VIACOM INC CMN CLASS B	21-Apr-2010	02-Aug-2010	69	2,352.07	2,463 970	-111 90	103	Short-Term
VIAB	VIACOM INC CMN CLASS B	21-Apr-2010	12-Aug-2010	14	450 51	499 940	-49.43	113	Short-Term
VIAB	VIACOM INC CMN CLASS B	21-Apr-2010	01-Oct-2010	59	2,155 11	2,106 870	48 24	163	Short-Term
VOD	VODAFONE GROUP PLC SPONSORED ADR CMN	05-Feb-2010	24-Nov-2010	64	1,666.57	1,399 680	266 89	292	Short-Term
WMT	WAL MART STORES INC CMN	16-Feb-2010	13-Apr-2010	29	1,595 23	1,554 400	40 83	56	Short-Term
WMT	WAL MART STORES INC CMN	05-Feb-2010	13-Apr-2010	205	11,276 66	10,858 850	417 81	67	Short-Term
WY	WEYERHAEUSER CO CMN	05-Feb-2010	28-Apr-2010	31	1,521 94	1,205 630	316 31	82	Short-Term
	XTO ENERGY INC CMN	05-Feb-2010	12-Mar-2010	200	9,393 97	8,878 000	515 97	35	Short-Term
	XTO ENERGY INC CMN	16-Feb-2010	12-Mar-2010	28	1,315 16	1,296 680	18 48	24	Short-Term
TOTAL					1,279,714.83		-18,402.01		

< [Previous](#) Page 2 of 2 Go to page: [99](#)

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1099 revisions Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline. Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March. If you are issued a revised Form 1099 it will be posted separately from your original Form 1099. Please note that we will only furnish the IRS with the final version of your 1099s. If you have any questions, please contact your Private Wealth Management team.

Separate Account Strategy : GS: Government/Corporate Fixed Income

Base Currency :USD

The Tax Center contains the following information about your account(s) if applicable.

- **Realized Gains and Losses.** See your realized gains and losses.
- **Tax Correspondence.** See your actual 1099s from 1995 to present.
- **Goldman Sachs Mutual Funds.** Shareholder Tax Information
- **K-1s** can be located on the Fund Correspondence Tab or Tax Correspondence

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Choose a Date Range

From Date: 01-Feb-2010

To Date: 31-Jan-2011

4 Search By Symbol/CUSIP

Include Options ☒

Gain Type	Gains	Losses	Disallowed	Total
<u>Short-Term</u>	20,598.75	-845.90	0.00	19,752.85
<u>Long-Term</u>	0.00	0.00	0.00	0.00
Total Realized Gains/Losses:	20,598.75	-845.90	0.00	19,752.85

Realized Gains/Losses

Symbol	Description [A]	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
3G1V92	ADOBE SYSTEMS INC 3 25% 02/01/2015 SR LIEN M-W+15 00BP	18-May-2010	11-Jan-2011	150,000	153,030.00	152,795.970	234.03	238	Short-Term
3G2C59	ANHEUSER-BUSCH INBEV WORLDWIDE 4 125% 01/15/2015 USD SER B SR LIEN M-W+30 00BP	10-May-2010	11-Jan-2011	100,000	105,590.00	102,909.570	2,680.43	246	Short-Term
USCORP	COMCAST CORPORATION 6 5% 01/15/2017 M-W+25 00BP	14-May-2010	10-Jan-2011	150,000	173,295.00	168,176.370	5,118.63	241	Short-Term
FE203EJ8	FFCB CA 1 99% 05/06/2013 MN	17-May-2010	06-Aug-2010	200,000	200,000.00	200,147.630	-147.63	81	Short-Term
FL430QP6	FHLMC MTN 4 875% 06/13/2018 JD	10-May-2010	30-Jun-2010	150,000	169,657.50	162,721.470	6,936.03	51	Short-Term
2EZDV2	HOME DEPOT INC 5 4% 03/01/2016 M-W+15 00BP	11-Aug-2010	10-Jan-2011	150,000	168,285.00	168,306.270	-21.27	152	Short-Term
3JHUX2	MEDCO HEALTH SOLUTIONS, INC 2 75% 09/15/2015 SR LIEN M-W+20 00BP	08-Sep-2010	11-Jan-2011	100,000	99,290.00	99,967.000	-677.00	125	Short-Term
3G0GL4	TIME WARNER CABLE INC 3 5% 02/01/2015 M-W+25 00BP	10-May-2010	11-Jan-2011	100,000	102,900.00	100,967.880	1,932.12	246	Short-Term
119NZ6	TIME WARNER INC 3 15% 07/15/2015 SR LIEN M-W+25 00BP	08-Jul-2010	11-Jan-2011	100,000	101,610.00	99,881.000	1,729.00	187	Short-Term
3JBPZ6	TYCO INTERNATIONAL FINANCE S A 3 375% 10/15/2015 M-W+15 00BP	04-May-2010	11-Jan-2011	100,000	102,400.00	100,431.490	1,968.51	252	Short-Term
TOTAL					1,376,057.50		19,752.85		

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(II) This position is an inherited security

(III) This position is an inherited then gifted security

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Makray Fam Fdn PAUL GSAM: LCG (Conc) 020-57390-3

1099 revisions Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline. Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March. If you are issued a revised Form 1099 it will be posted separately from your original Form 1099. Please note that we will only furnish the IRS with the final version of your 1099s. If you have any questions, please contact your Private Wealth Management team.

Separate Account Strategy : GSAM: Large Cap Growth (Concentrated)

Base Currency :USD

The Tax Center contains the following information about your account(s) if applicable:

- **Realized Gains and Losses.** See your realized gains and losses
- **Tax Correspondence.** See your actual 1099s from 1995 to present
- **Goldman Sachs Mutual Funds.** Shareholder Tax Information
- **K-1s** can be located on the Fund Correspondence Tab or Tax Correspondence

Select an Account or Group

Makray Fam Fdn PAUL GSAM: 020-57390-3

2. Choose An Existing View.

Realized Gains/Losses
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Choose a Date Range

From Date 01-Feb-2010

To Date 31-Jan-2011

4 Search By Symbol/CUSIP

Include Options ☒

Gain Type	Gains	Losses	Disallowed	Total
<u>Short-Term</u>	6,511.74	-5,263.78	0.00	1,247.96
<u>Long-Term</u>	0.00	0.00	0.00	0.00
Total Realized Gains/Losses:	6,511.74	-5,263.78	0.00	1,247.96

Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
ALTR	ALTERA CORP CMN	05-Apr-2010	30-Sep-2010	142	4,311.94	3,558.520	753.42	178	Short-Term
AAPL	APPLE, INC CMN	05-Apr-2010	21-Jul-2010	9	2,331.09	2,138.670	192.42	107	Short-Term
AVP	AVON PRODUCTS INC CMN	07-May-2010	12-Oct-2010	84	2,859.37	2,406.480	452.89	158	Short-Term
BAX	BAXTER INTERNATIONAL INC CMN	05-Apr-2010	15-Oct-2010	87	4,273.99	5,081.670	-807.68	193	Short-Term
BAX	BAXTER INTERNATIONAL INC CMN	05-Apr-2010	25-Jan-2011	93	4,695.35	5,432.130	-736.78	295	Short-Term
BAX	BAXTER INTERNATIONAL INC CMN	04-Oct-2010	25-Jan-2011	19	959.27	900.790	58.48	113	Short-Term
BIIB	BIOGEN IDEC INC CMN	05-Apr-2010	05-Nov-2010	66	4,093.70	3,813.720	279.98	214	Short-Term
BIIB	BIOGEN IDEC INC CMN	04-Oct-2010	05-Nov-2010	135	8,373.47	7,732.800	640.67	32	Short-Term
BIIB	BIOGEN IDEC INC CMN	05-Apr-2010	06-Jul-2010	24	1,174.36	1,386.810	-212.45	92	Short-Term
BRCM	BROADCOM CORP CL-A CMN CLASS A	05-Apr-2010	04-Jun-2010	65	2,190.22	2,245.100	-54.88	60	Short-Term
BRCM	BROADCOM CORP CL-A CMN CLASS A	05-Apr-2010	21-Jun-2010	48	1,703.26	1,657.920	45.34	77	Short-Term
BRCM	BROADCOM CORP CL-A CMN CLASS A	05-Apr-2010	02-Aug-2010	18	655.95	621.720	34.23	119	Short-Term
	CB RICHARD ELLIS GROUP, INC CMN CLASS A	05-Apr-2010	14-Oct-2010	199	3,857.26	3,261.610	595.65	192	Short-Term
	CB RICHARD ELLIS GROUP, INC CMN CLASS A	05-Apr-2010	07-Apr-2010	49	797.14	803.110	-5.97	2	Short-Term
SCHW	CHARLES SCHWAB CORPORATION CMN	05-Apr-2010	14-Apr-2010	40	773.71	749.600	24.11	9	Short-Term
EQIX	EQUINIX INC CMN	05-Apr-2010	06-Oct-2010	43	3,024.05	4,364.070	-1,340.02	184	Short-Term
ESRX	EXPRESS SCRIPTS COMMON CMN	05-Apr-2010	13-May-2010	7	728.29	718.760	9.53	38	Short-Term
ESRX	EXPRESS SCRIPTS COMMON CMN	05-Apr-2010	26-Jul-2010	88	3,787.98	4,517.920	-729.94	112	Short-Term
HAL	HALLIBURTON COMPANY CMN	05-Apr-2010	04-Nov-2010	118	3,828.31	3,726.440	101.87	213	Short-Term
HAL	HALLIBURTON COMPANY CMN	05-Apr-2010	29-Dec-2010	41	1,645.98	1,294.780	351.20	268	Short-Term
HAL	HALLIBURTON COMPANY CMN	30-Apr-2010	29-Dec-2010	2	80.29	60.950	19.34	243	Short-Term
HAL	HALLIBURTON COMPANY CMN	30-Apr-2010	25-Jan-2011	51	2,053.47	1,554.250	499.22	270	Short-Term

HAL	HALLIBURTON COMPANY CMN	04-Oct-2010	25-Jan-2011	27	1,087.13	890.190	196.94	113	Term
HAL	HALLIBURTON COMPANY CMN	02-Jun-2010	25-Jan-2011	30	1,207.92	704.460	503.46	237	Short-Term
HAL	HALLIBURTON COMPANY CMN	04-Oct-2010	27-Jan-2011	72	3,140.11	2,373.840	766.27	115	Short-Term
JNJ	JOHNSON & JOHNSON CMN	05-Apr-2010	10-Dec-2010	86	5,353.47	5,649.340	-295.87	249	Short-Term
JNJ	JOHNSON & JOHNSON CMN	05-Apr-2010	12-Apr-2010	5	325.03	328.450	-3.42	7	Short-Term
LOW	LOWES COMPANIES INC CMN	05-Apr-2010	12-Apr-2010	15	381.34	372.000	9.34	7	Short-Term
MSFT	MICROSOFT CORPORATION CMN	05-Apr-2010	25-Jan-2011	146	4,138.37	4,274.880	-136.51	295	Short-Term
MSFT	MICROSOFT CORPORATION CMN	27-May-2010	27-Jan-2011	48	1,384.91	1,255.210	129.70	245	Short-Term
MSFT	MICROSOFT CORPORATION CMN	05-Apr-2010	27-Jan-2011	59	1,702.28	1,727.520	-25.24	297	Short-Term
MSFT	MICROSOFT CORPORATION CMN	05-Apr-2010	12-Apr-2010	37	1,122.72	1,083.360	39.36	7	Short-Term
MSFT	MICROSOFT CORPORATION CMN	05-Apr-2010	03-Sep-2010	77	1,869.64	2,254.560	-384.92	151	Short-Term
OXY	OCCIDENTAL PETROLEUM CORP CMN	05-Apr-2010	10-Dec-2010	45	4,175.77	3,954.600	221.17	249	Short-Term
OXY	OCCIDENTAL PETROLEUM CORP CMN	05-Apr-2010	02-Jun-2010	6	483.81	527.280	-43.47	58	Short-Term
ORCL	ORACLE CORPORATION CMN	05-Apr-2010	28-Jun-2010	56	1,262.51	1,429.680	-167.17	84	Short-Term
PBCT	PEOPLES UNITED FINANCIAL INC CMN	05-Apr-2010	07-Apr-2010	190	3,016.51	2,973.500	43.01	2	Short-Term
PG	PROCTER & GAMBLE COMPANY (THE) CMN	05-Apr-2010	14-Apr-2010	11	692.04	701.800	-9.76	9	Short-Term
PG	PROCTER & GAMBLE COMPANY (THE) CMN	05-Apr-2010	22-Jun-2010	25	1,529.59	1,595.000	-65.41	78	Short-Term
PG	PROCTER & GAMBLE COMPANY (THE) CMN	05-Apr-2010	04-Aug-2010	17	1,022.96	1,084.600	-61.64	121	Short-Term
SLB	SCHLUMBERGER LTD CMN	05-Apr-2010	29-Dec-2010	23	1,904.50	1,515.470	389.03	268	Short-Term
STJ	ST JUDE MEDICAL INC CMN	05-Apr-2010	14-Apr-2010	12	506.76	500.280	6.48	9	Short-Term
TGT	TARGET CORPORATION CMN	05-Apr-2010	12-Apr-2010	7	390.79	375.060	15.73	7	Short-Term
TGT	TARGET CORPORATION CMN	05-Apr-2010	02-Jun-2010	5	268.65	267.900	0.75	58	Short-Term
TGT	TARGET CORPORATION CMN	05-Apr-2010	02-Aug-2010	85	4,390.49	4,554.300	-163.81	119	Short-Term
TMO	THERMO FISHER SCIENTIFIC INC CMN	05-Apr-2010	02-Jun-2010	9	457.77	465.030	-7.26	58	Short-Term
TMO	THERMO FISHER SCIENTIFIC INC CMN	05-Apr-2010	28-Jun-2010	27	1,383.51	1,395.090	-11.58	84	Short-Term
WU	WESTERN UNION COMPANY (THE) CMN	05-Apr-2010	12-Apr-2010	84	1,466.88	1,460.760	6.12	7	Short-Term
WU	WESTERN UNION COMPANY (THE) CMN	05-Apr-2010	03-May-2010	105	1,912.12	1,825.950	86.17	28	Short-Term
WU	WESTERN UNION COMPANY (THE) CMN	05-Apr-2010	04-May-2010	110	1,952.76	1,912.900	39.86	29	Short-Term
TOTAL					106,728.79		1,247.96		

(i) This position is a gifted security

(ii) This position is an inherited security

(iii) This position is an inherited then gifted security

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Makray Fam Fdn PAUL Loomis Sayles: LCV 020-57388-7

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Separate Account Strategy : Loomis Sayles: Large Cap Value

Base Currency : USD

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- **Realized Gains and Losses.** See your realized gains and losses
- **Tax Correspondence.** See your actual 1099s from 1995 to present
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Select an Account or Group

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2 Choose An Existing View.

Realized Gains/Losses

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Choose a Date Range:

From Date. 01-Feb-2010

To Date. 31-Jan-2011

4. Search By Symbol/CUSIP

Include Options. ☒

Gain Type	Gains	Losses	Disallowed	Total
<u>Short-Term</u>	9,056.21	-9,532.57	0.00	-476.36
<u>Long-Term</u>	0.00	0.00	0.00	0.00
Total Realized Gains/Losses:	9,056.21	-9,532.57	0.00	-476.36

Page 1 of 2 [Next](#) > Go to page:

Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
ABB	ABB LTD SPONSORED ADR CMN	05-Apr-2010	05-May-2010	26	472.38	573.300	-100.92	30	Short-Term
APD	AIR PRODUCTS & CHEMICALS INC CMN	05-Apr-2010	10-Jan-2011	24	2,119.77	1,779.360	340.41	280	Short-Term
APD	AIR PRODUCTS & CHEMICALS INC CMN	07-May-2010	10-Jan-2011	4	353.30	285.620	67.68	248	Short-Term
APD	AIR PRODUCTS & CHEMICALS INC CMN	20-May-2010	10-Jan-2011	7	618.27	469.840	148.43	235	Short-Term
APD	AIR PRODUCTS & CHEMICALS INC CMN	30-Jun-2010	10-Jan-2011	2	176.65	131.390	45.26	194	Short-Term
AEP	AMERICAN ELECTRIC POWER INC CMN	05-Apr-2010	23-Jul-2010	82	2,914.12	2,813.420	100.70	109	Short-Term
AMP	AMERIPRISE FINANCIAL, INC CMN	05-Apr-2010	07-Apr-2010	5	228.59	230.350	-1.76	2	Short-Term
AMP	AMERIPRISE FINANCIAL, INC CMN	05-Apr-2010	13-Aug-2010	14	596.58	644.980	-48.40	130	Short-Term
AMP	AMERIPRISE FINANCIAL, INC CMN	05-Apr-2010	29-Oct-2010	43	2,248.69	1,981.010	267.68	207	Short-Term
AMP	AMERIPRISE FINANCIAL, INC CMN	05-Apr-2010	18-Jan-2011	31	1,884.38	1,428.170	456.21	288	Short-Term
APC	ANADARKO PETROLEUM CORP CMN	05-Apr-2010	09-Jun-2010	23	879.73	1,714.650	-834.92	65	Short-Term
APC	ANADARKO PETROLEUM CORP CMN	05-Apr-2010	24-Aug-2010	19	889.62	1,416.450	-526.83	141	Short-Term
APC	ANADARKO PETROLEUM CORP CMN	27-May-2010	24-Aug-2010	6	280.93	340.950	-60.02	89	Short-Term
MT	ARCELORMITTAL CMN	05-Apr-2010	14-May-2010	56	1,842.74	2,586.640	-743.90	39	Short-Term
MT	ARCELORMITTAL CMN	07-May-2010	14-May-2010	7	230.34	232.680	-2.34	7	Short-Term
BAC	BANK OF AMERICA CORP CMN	05-Apr-2010	20-Jan-2011	193	2,758.88	3,485.580	-726.70	290	Short-Term
BRKB	BERKSHIRE HATHAWAY INC CLASS B	05-Apr-2010	29-Oct-2010	39	3,093.42	3,160.560	-67.14	207	Short-Term
BRKB	BERKSHIRE HATHAWAY INC. CLASS B	04-Oct-2010	29-Oct-2010	85	6,742.07	6,943.650	-201.58	25	Short-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	05-Apr-2010	28-Jul-2010	130	740.02	936.000	-195.98	114	Short-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	05-Apr-2010	02-Aug-2010	195	1,080.28	1,404.000	-323.72	119	Short-Term
BMJ	BRISTOL-MYERS SQUIBB COMPANY CMN	05-Apr-2010	10-Sep-2010	12	323.34	321.240	2.10	158	Short-Term

Short-

CBS	CBS CORPORATION CMN CLASS B	21-Apr-2010	02-Aug-2010	28	427 70	444 260	-16 56	103	Term
CBS	CBS CORPORATION CMN CLASS B	21-Apr-2010	12-Aug-2010	7	99 39	111 060	-11 67	113	Short-Term
CTL	CENTURYLINK INC CMN	05-Apr-2010	04-Nov-2010	40	1,714 86	1,446 400	268 46	213	Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	05-Apr-2010	02-Aug-2010	40	774 47	751 200	23 27	119	Short-Term
CNX	CONSOL ENERGY INC CMN	05-Apr-2010	13-Aug-2010	20	733 95	902 800	-168.85	130	Short-Term
CNX	CONSOL ENERGY INC CMN	05-Apr-2010	05-Jan-2011	48	2,440 69	2,166 720	273 97	275	Short-Term
CNX	CONSOL ENERGY INC CMN	26-May-2010	26-Jan-2011	9	461 94	321.990	139.95	245	Short-Term
CNX	CONSOL ENERGY INC CMN	05-Apr-2010	26-Jan-2011	3	153 98	135 420	18 56	296	Short-Term
CNX	CONSOL ENERGY INC CMN	04-Oct-2010	26-Jan-2011	18	923 87	673 560	250 31	114	Short-Term
CNX	CONSOL ENERGY INC CMN	01-Oct-2010	26-Jan-2011	7	359 28	268.260	91 02	117	Short-Term
DTV	DIRECTV CMN	05-Apr-2010	26-May-2010	13	490 17	450 320	39 85	51	Short-Term
DTV	DIRECTV CMN	05-Apr-2010	02-Aug-2010	16	601 51	554 240	47.27	119	Short-Term
DTV	DIRECTV CMN	05-Apr-2010	01-Oct-2010	12	502 90	415 680	87 22	179	Short-Term
DTV	DIRECTV CMN	05-Apr-2010	10-Dec-2010	77	3,093 81	2,667 280	426 53	249	Short-Term
DTV	DIRECTV CMN	04-Oct-2010	10-Dec-2010	10	401 79	414 200	-12 41	67	Short-Term
DFS	DISCOVER FINANCIAL SERVICES CMN	05-Apr-2010	14-Sep-2010	15	240 07	232 950	7 12	162	Short-Term
DPS	DR PEPPER SNAPPLE GROUP, INC CMN	05-Apr-2010	23-Jul-2010	11	434 26	390.390	43 87	109	Short-Term
DPS	DR PEPPER SNAPPLE GROUP, INC. CMN	05-Apr-2010	10-Sep-2010	39	1,359.86	1,384 110	-24.25	158	Short-Term
EBAY	EBAY INC CMN	05-Apr-2010	21-Oct-2010	55	1,539 03	1,505 350	33 68	199	Short-Term
ENR	ENERGIZER HOLDINGS, INC. CMN	20-May-2010	25-Oct-2010	15	1,112 93	833 310	279 62	158	Short-Term
ENR	ENERGIZER HOLDINGS, INC CMN	20-May-2010	09-Dec-2010	5	354 11	277 770	76 34	203	Short-Term
ENR	ENERGIZER HOLDINGS, INC. CMN	04-Oct-2010	09-Dec-2010	45	3,187 01	3,048 300	138 71	66	Short-Term
EOG	EOG RESOURCES INC CMN	05-Apr-2010	29-Jun-2010	33	3,358.00	3,219 810	138 19	85	Short-Term
EQT	EQT CORPORATION CMN	05-Apr-2010	11-Aug-2010	65	2,424 86	2,808 000	-383 14	128	Short-Term
EQT	EQT CORPORATION CMN	02-Jun-2010	11-Aug-2010	6	223 83	231 180	-7 35	70	Short-Term
EL	ESTEE LAUDER COS INC CL-A CMN CLASS A	05-Apr-2010	26-Apr-2010	9	634.16	582 930	51.23	21	Short-Term
EL	ESTEE LAUDER COS INC CL-A CMN CLASS A	05-Apr-2010	05-Jan-2011	17	1,402.13	1,101 090	301 04	275	Short-Term
EL	ESTEE LAUDER COS INC CL-A CMN CLASS A	05-Apr-2010	25-Jan-2011	13	1,055 73	842.010	213.72	295	Short-Term
EL	ESTEE LAUDER COS INC CL-A CMN CLASS A	04-Oct-2010	25-Jan-2011	65	5,278 63	4,063 150	1,215 48	113	Short-Term
XOM	EXXON MOBIL CORPORATION CMN	05-Apr-2010	24-Nov-2010	26	1,806 78	1,770.340	36 44	233	Short-Term
FWLT	FOSTER WHEELER AG CMN	05-Apr-2010	14-May-2010	27	719 77	802 710	-82 94	39	Short-Term
GE	GENERAL ELECTRIC CO CMN	05-Apr-2010	24-Nov-2010	61	965 00	1,127 280	-162 28	233	Short-Term
HAR	HARMAN INTL INDS INC (NEW) CMN	05-Apr-2010	07-Dec-2010	32	1,524.09	1,562 560	-38 47	246	Short-Term
HAR	HARMAN INTL INDS INC (NEW) CMN	04-Oct-2010	25-Jan-2011	135	5,969 55	4,417 200	1,552 35	113	Short-Term
HAR	HARMAN INTL INDS INC (NEW) CMN	05-Apr-2010	25-Jan-2011	22	972 82	1,074.260	-101 44	295	Short-Term
HAR	HARMAN INTL INDS INC (NEW) CMN	03-May-2010	25-Jan-2011	9	397.97	363 910	34 06	267	Short-Term
HES	HESS CORPORATION CMN	05-Apr-2010	13-Aug-2010	7	370 65	449 680	-79 03	130	Short-Term
HPQ	HEWLETT-PACKARD CO CMN	05-Apr-2010	14-May-2010	18	841 47	968 580	-127 11	39	Short-Term
HPQ	HEWLETT-PACKARD CO CMN	05-Apr-2010	01-Oct-2010	16	648 62	860 960	-212 34	179	Short-Term
HPQ	HEWLETT-PACKARD CO CMN	05-Apr-2010	09-Dec-2010	43	1,822 54	2,313 830	-491 29	248	Short-Term
HON	HONEYWELL INTL INC CMN	05-Apr-2010	16-Dec-2010	24	1,267 17	1,090 320	176 85	255	Short-Term

JNJ	JOHNSON & JOHNSON CMN	05-Apr-2010	24-Nov-2010	4	252 87	261 560	-8 69	233	Short-Term
JNJ	JOHNSON & JOHNSON CMN	05-Apr-2010	16-Dec-2010	36	2,248 90	2,354 040	-105 14	255	Short-Term
JNJ	JOHNSON & JOHNSON CMN	04-Oct-2010	16-Dec-2010	85	5,309.90	5,223.250	86 65	73	Short-Term
JCI	JOHNSON CONTROLS INC CMN	05-Apr-2010	02-Aug-2010	13	378 72	429 520	-50 80	119	Short-Term
JCI	JOHNSON CONTROLS INC CMN	05-Apr-2010	12-Aug-2010	5	140 44	165 200	-24 76	129	Short-Term
JCI	JOHNSON CONTROLS INC CMN	05-Apr-2010	01-Oct-2010	14	426 79	462 560	-35 77	179	Short-Term
JCI	JOHNSON CONTROLS INC CMN	05-Apr-2010	10-Nov-2010	24	887 67	792 960	94 71	219	Short-Term
JCI	JOHNSON CONTROLS INC CMN	05-Apr-2010	05-Jan-2011	22	880 79	726 880	153 91	275	Short-Term
JCI	JOHNSON CONTROLS INC CMN	07-May-2010	05-Jan-2011	7	280 25	208 800	71 45	243	Short-Term
JCI	JOHNSON CONTROLS INC CMN	26-May-2010	05-Jan-2011	4	160 14	113 240	46 90	224	Short-Term
LOW	LOWES COMPANIES INC CMN	05-Apr-2010	01-Oct-2010	15	334 39	372 300	-37 91	179	Short-Term
MRK	MERCK & CO , INC CMN	05-Apr-2010	10-Sep-2010	14	507 72	523 320	-15 60	158	Short-Term
MSFT	MICROSOFT CORPORATION CMN	05-Apr-2010	02-Jun-2010	88	2,293 25	2,573 120	-279 87	58	Short-Term
NOC	NORTHROP GRUMMAN CORP CMN	05-Apr-2010	14-Apr-2010	5	330 54	331 600	-1 06	9	Short-Term
ORCL	ORACLE CORPORATION CMN	05-Apr-2010	19-Nov-2010	54	1,519 33	1,378 080	141 25	228	Short-Term
OI	OWENS-ILLINOIS INC CMN	05-Apr-2010	12-May-2010	12	377.56	440 760	-63 20	37	Short-Term
OI	OWENS-ILLINOIS INC CMN	05-Apr-2010	31-Jan-2011	86	2,564 69	3,158 780	-594 09	301	Short-Term
PEP	PEPSICO INC CMN	05-Apr-2010	26-May-2010	6	371 75	395.220	-23 47	51	Short-Term
PFE	PFIZER INC CMN	05-Apr-2010	21-Apr-2010	40	671 70	675 600	-3 90	16	Short-Term
PFE	PFIZER INC CMN	05-Apr-2010	21-Apr-2010	197	3,248 10	3,327 330	-79 23	16	Short-Term
PHM	PULTE HOMES, INC CMN	05-Apr-2010	02-Aug-2010	149	1,346 14	1,706 050	-359 91	119	Short-Term
SLB	SCHLUMBERGER LTD CMN	05-Apr-2010	27-Aug-2010	0 71	41 50	0 000	41 50	144	Short-Term
SLB	SCHLUMBERGER LTD CMN	05-Apr-2010	09-Dec-2010	13	1,053.64	844 170	209 47	248	Short-Term
SLB	SCHLUMBERGER LTD CMN	05-Apr-2010	09-Dec-2010	17	1,377 83	1,118.090	259 74	248	Short-Term
SI	SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN	05-Apr-2010	10-Nov-2010	10	1,150 37	1,026 300	124 07	219	Short-Term
	SMITH INTERNATIONAL INC CMN	05-Apr-2010	28-Apr-2010	5	237 71	222 150	15 56	23	Short-Term
BK	THE BANK OF NY MELLON CORP CMN	05-Apr-2010	14-Apr-2010	43	1,360 54	1,348 050	12 49	9	Short-Term
GT	THE GOODYEAR TIRE & RUBBER COM CMN	05-Apr-2010	30-Jul-2010	45	478 02	586 350	-108 33	116	Short-Term
GT	THE GOODYEAR TIRE & RUBBER COM CMN	05-Apr-2010	12-Aug-2010	47	480 85	612 410	-131 56	129	Short-Term
TRV	THE TRAVELERS COMPANIES, INC CMN	05-Apr-2010	07-Apr-2010	19	1,004 79	1,010 800	-6 01	2	Short-Term
TDW	TIDEWATER INC CMN	16-Apr-2010	02-Jun-2010	7	288 73	340 230	-51.50	47	Short-Term
TDW	TIDEWATER INC CMN	05-Apr-2010	02-Jun-2010	52	2,144.88	2,553 720	-408 84	58	Short-Term
TDW	TIDEWATER INC CMN	28-Apr-2010	02-Jun-2010	2	82 50	103 580	-21 09	35	Short-Term
TWX	TIME WARNER INC. CMN	05-Apr-2010	21-Apr-2010	79	2,607 65	2,514 570	93 08	16	Short-Term
TOT	TOTAL SA SPONSORED ADR CMN	05-Apr-2010	19-Nov-2010	36	1,902 14	2,153 160	-251 02	228	Short-Term
UNH	UNITEDHEALTH GROUP INCORPORATE CMN	05-Apr-2010	25-Oct-2010	42	1,576 14	1,389.780	186 36	203	Short-Term
VLO	VALERO ENERGY CORPORATION CMN	05-Apr-2010	16-Apr-2010	8	151 76	164 960	-13 20	11	Short-Term

Page 1 of 2 [Next](#) > Go to page:

(i) This position is a gifted security

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Makray Fam Fdn PAUL Loomis Sayles: LCV 020-57388-7

1099 revisions Certain Investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline. Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March.

If you are issued a revised Form 1099 it will be posted separately from your original Form 1099. Please note that we will only furnish the IRS with the final version of your 1099s. If you have any questions, please contact your Private Wealth Management team.

Separate Account Strategy : Loomis Sayles: Large Cap Value

Base Currency :USD

The Tax Center contains the following information about your account(s) if applicable:

- **Realized Gains and Losses.** See your realized gains and losses
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- **Goldman Sachs Mutual Funds.** Shareholder Tax Information
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Makray Fam Fdn PAUL Loomi 020-57388-7

2 Choose An Existing View

Realized Gains/Losses

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From Date: 01-Feb-2010

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4 Search By Symbol/CUSIP

Include Options ☒

Gain Type	Gains	Losses	Disallowed	Total
<u>Short-Term</u>	9,056.21	-9,532.57	0.00	-476.36
<u>Long-Term</u>	0.00	0.00	0.00	0.00
Total Realized Gains/Losses:	9,056.21	-9,532.57	0.00	-476.36

< [Previous](#) Page 2 of 2 Go to page: [GO](#)

Realized Gains/Losses

Symbol	Description (A)	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
VLO	VALERO ENERGY CORPORATION CMN	05-Apr-2010	13-Aug-2010	150	2,550.19	3,093.000	-542.81	130	Short-Term
VIAB	VIACOM INC CMN CLASS B	21-Apr-2010	02-Aug-2010	14	477.23	499.940	-22.71	103	Short-Term
VIAB	VIACOM INC CMN CLASS B	21-Apr-2010	12-Aug-2010	2	64.35	71.420	-7.07	113	Short-Term
VIAB	VIACOM INC CMN CLASS B	21-Apr-2010	01-Oct-2010	12	438.33	428.520	9.81	163	Short-Term
VOD	VODAFONE GROUP PLC SPONSORED ADR CMN	05-Apr-2010	24-Nov-2010	37	963.48	868.760	94.72	233	Short-Term
WMT	WAL MART STORES INC CMN	05-Apr-2010	13-Apr-2010	54	2,970.43	2,991.600	-21.17	8	Short-Term
WY	WEYERHAEUSER CO CMN	05-Apr-2010	28-Apr-2010	7	343.66	322.700	20.96	23	Short-Term
WY	WEYERHAEUSER CO CMN	05-Apr-2010	08-Sep-2010	17	273.18	783.700	-510.52	156	Short-Term
TOTAL					132,116.99		-476.36		

< [Previous](#) Page 2 of 2 Go to page: [GO](#)

(1) This position is a gifted security

(11) This position is an inherited security

(111) This position is an inherited then gifted security

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STATEMENT A



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Makray Fam Fdn PAUL Lord, Abbett & Co 020-57426-5

1099 revisions Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline. Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March. If you are issued a revised Form 1099 it will be posted separately from your original Form 1099. Please note that we will only furnish the IRS with the final version of your 1099s. If you have any questions, please contact your Private Wealth Management team.

Separate Account Strategy : Lord, Abbett & Co: Non-US Equity
Base Currency :USD

The Tax Center contains the following information about your account(s) if applicable

- **Realized Gains and Losses.** See your realized gains and losses
- **Tax Correspondence.** See your actual 1099s from 1995 to present.
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- **K-1s** can be located on the Fund Correspondence Tab or Tax Correspondence

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Makray Fam Fdn PAUL Lord, 020-57426-5

2 Choose An Existing View:

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Choose a Date Range

From Date 01-Feb-2010

To Date 31-Jan-2011

4 Search By Symbol/CUSIP:

Include Options ☒

Gain Type	Gains	Losses	Disallowed	Total
Short-Term	18,386.95	-32,973.97	0.00	-14,587.02
Long-Term	0.00	0.00	0.00	0.00
Total Realized Gains/Losses:	18,386.95	-32,973.97	0.00	-14,587.02

Page 1 of 5 [Next](#) > Go to page:

Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
AAUKY	ANGLO AMERICAN PLC ADR CMN	05-Apr-2010	05-May-2010	19	369.10	433.200	-64.10	30	Short-Term
AAUKY	ANGLO AMERICAN PLC ADR CMN	05-Apr-2010	14-May-2010	25	470.91	570.000	-99.09	39	Short-Term
AAUKY	ANGLO AMERICAN PLC ADR CMN	05-Apr-2010	17-May-2010	41	773.33	934.800	-161.47	42	Short-Term
AAUKY	ANGLO AMERICAN PLC ADR CMN	05-Apr-2010	24-May-2010	33	595.63	752.400	-156.77	49	Short-Term
AAUKY	ANGLO AMERICAN PLC ADR CMN	05-Apr-2010	10-Jun-2010	49	933.28	1,117.200	-183.92	66	Short-Term
AAUKY	ANGLO AMERICAN PLC ADR CMN	05-Apr-2010	29-Jun-2010	54	969.92	1,231.200	-261.28	85	Short-Term
BUD	ANHEUSER-BUSCH INBEV S A SPONSORED ADR CMN	17-May-2010	06-Aug-2010	61	3,192.34	2,917.240	275.10	81	Short-Term
BUD	ANHEUSER-BUSCH INBEV S A SPONSORED ADR CMN	16-Jun-2010	05-Nov-2010	7	429.33	360.380	68.95	142	Short-Term
BUD	ANHEUSER-BUSCH INBEV S A SPONSORED ADR CMN	17-May-2010	05-Nov-2010	8	490.66	382.590	108.07	172	Short-Term
BUD	ANHEUSER-BUSCH INBEV S A SPONSORED ADR CMN	24-May-2010	05-Nov-2010	11	674.65	527.260	147.39	165	Short-Term
BUD	ANHEUSER-BUSCH INBEV S A SPONSORED ADR CMN	10-Jun-2010	05-Nov-2010	15	919.98	734.480	185.50	148	Short-Term
MT	ARCELORMITTAL CMN	05-Apr-2010	24-May-2010	39	1,151.55	1,803.300	-651.75	49	Short-Term
AXAHY	AXA-UAP AMERICAN DEPOSITARY SHARES	05-Apr-2010	28-Apr-2010	50	937.63	1,119.500	-181.87	23	Short-Term
AXAHY	AXA-UAP AMERICAN DEPOSITARY SHARES	05-Apr-2010	14-May-2010	25	408.16	559.750	-151.59	39	Short-Term
AXAHY	AXA-UAP AMERICAN DEPOSITARY SHARES	05-Apr-2010	17-May-2010	16	257.68	358.240	-100.56	42	Short-Term
AXAHY	AXA-UAP AMERICAN DEPOSITARY SHARES	05-Apr-2010	01-Jul-2010	42	648.23	940.380	-292.15	87	Short-Term
AXAHY	AXA-UAP AMERICAN DEPOSITARY SHARES	05-Apr-2010	19-Nov-2010	52	903.26	1,164.280	-261.02	228	Short-Term
BAESY	BAE SYSTEMS PLC SPONSORED ADR CMN	05-Apr-2010	26-Apr-2010	35	781.59	806.750	-25.16	21	Short-Term
BAESY	BAE SYSTEMS PLC SPONSORED ADR CMN	05-Apr-2010	27-May-2010	56	1,035.18	1,290.800	-255.62	52	Short-Term
BAESY	BAE SYSTEMS PLC SPONSORED ADR CMN	05-Apr-2010	26-May-2010	69	1,252.29	1,590.450	-338.16	51	Short-Term
STD	BANCO SANTANDER, S A SPON ADR	05-Apr-2010	26-Apr-2010	255	3,415.38	3,571.710	-156.33	21	Short-Term

Short-

BCS	BARCLAYS PLC,AMER DEP SHS ADR CMN	05-Apr-2010	03-Sep-2010	41	830 45	917 860	-87 41	151	Term
BASFY	BASF SE ADR SPONSORED ADR CMN USD0 7322	05-Apr-2010	01-Jul-2010	22	1,203 72	1,404 040	-200 32	87	Short-Term
BAYRY	BAYER AG-SPONSORED ADR SPONSORED ADR CMN	08-Oct-2010	15-Nov-2010	4	305 32	300 290	5 03	38	Short-Term
BAYRY	BAYER AG-SPONSORED ADR SPONSORED ADR CMN	08-Oct-2010	16-Nov-2010	8	598 80	600 580	-1 78	39	Short-Term
BAYRY	BAYER AG-SPONSORED ADR SPONSORED ADR CMN	08-Oct-2010	17-Nov-2010	15	1,121 10	1,126 090	-4 99	40	Short-Term
BAYRY	BAYER AG-SPONSORED ADR SPONSORED ADR CMN	11-Oct-2010	17-Nov-2010	3	224 22	224 510	-0 29	37	Short-Term
BAYRY	BAYER AG-SPONSORED ADR SPONSORED ADR CMN	11-Oct-2010	19-Nov-2010	22	1,736.28	1,646 390	89 89	39	Short-Term
BP	BP P L C SPONSORED ADR CMN	10-May-2010	11-Aug-2010	17	657 29	843.640	-186 35	93	Short-Term
BP	BP P L C. SPONSORED ADR CMN	10-May-2010	15-Sep-2010	26	988 80	1,290 280	-301 48	128	Short-Term
BP	BP P L C SPONSORED ADR CMN	10-May-2010	20-Oct-2010	28	1,156 06	1,389 530	-233 47	163	Short-Term
BP	BP P L C SPONSORED ADR CMN	10-May-2010	04-Nov-2010	27	1,172 02	1,339 900	-167 89	178	Short-Term
BP	BP P L C SPONSORED ADR CMN	21-Jun-2010	04-Nov-2010	34	1,475.87	1,037 340	438 53	136	Short-Term
BP	BP P L C SPONSORED ADR CMN	04-Oct-2010	04-Nov-2010	49	2,126.99	1,999 200	127 79	31	Short-Term
BTI	BRITISH AMERICAN TOBACCO PLC SPONS ADR	05-Apr-2010	09-Apr-2010	27	1,848 13	1,901.470	-53 34	4	Short-Term
BTI	BRITISH AMERICAN TOBACCO PLC SPONS ADR	05-Apr-2010	15-Apr-2010	40	2,713 05	2,817 000	-103 95	10	Short-Term
CX	CEMEX, S A B DE C V SPONSORED ADR CMN	05-Apr-2010	01-Jul-2010	44	417.20	454 020	-36 82	87	Short-Term
CX	CEMEX, S A B DE C V SPONSORED ADR CMN	05-Apr-2010	12-Jul-2010	100	958.79	1,031 870	-73 08	98	Short-Term
CX	CEMEX, S A B DE C V SPONSORED ADR CMN	05-Apr-2010	28-Jul-2010	103	990.27	1,062 830	-72 56	114	Short-Term
CX	CEMEX, S A B DE C.V. SPONSORED ADR CMN	05-Apr-2010	11-Aug-2010	123	1,111 99	1,269 200	-157 21	128	Short-Term
CX	CEMEX, S A B DE C V. SPONSORED ADR CMN	21-Jun-2010	11-Aug-2010	107	967 35	1,213 380	-246 04	51	Short-Term
CEDC	CENTRAL EURO DISTRIBUTION CORPORATION	05-Apr-2010	11-Aug-2010	40	989 75	1,439 250	-449 50	128	Short-Term
CEDC	CENTRAL EURO DISTRIBUTION CORPORATION	05-Apr-2010	15-Sep-2010	54	1,363 57	1,942.990	-579 42	163	Short-Term
CEDC	CENTRAL EURO DISTRIBUTION CORPORATION	16-Apr-2010	16-Dec-2010	25	578 18	971 250	-393.07	244	Short-Term
CEDC	CENTRAL EURO DISTRIBUTION CORPORATION	05-Apr-2010	16-Dec-2010	6	138.76	215 890	-77 12	255	Short-Term
CEDC	CENTRAL EURO DISTRIBUTION CORPORATION	16-Apr-2010	22-Dec-2010	19	446 68	738 150	-291 47	250	Short-Term
CEDC	CENTRAL EURO DISTRIBUTION CORPORATION	21-Jun-2010	22-Dec-2010	38	893 36	968 620	-75.26	184	Short-Term
CEDC	CENTRAL EURO DISTRIBUTION CORPORATION	04-Oct-2010	22-Dec-2010	52	1,222 49	1,095 640	126 85	79	Short-Term
SBS	COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADR	05-Apr-2010	24-May-2010	14	511 33	543 160	-31 83	49	Short-Term
SBS	COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADR	05-Apr-2010	25-May-2010	5	173 28	193 990	-20 71	50	Short-Term
SBS	COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADR	05-Apr-2010	22-Dec-2010	37	1,927 57	1,435 490	492 08	261	Short-Term
SBS	COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADR	05-Apr-2010	21-Jan-2011	70	3,405 06	2,715 800	689 26	291	Short-Term
SBS	COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADR	21-Jun-2010	24-Jan-2011	29	1,431.39	1,137.530	293.86	217	Short-Term
SBS	COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADR	05-Apr-2010	24-Jan-2011	19	937 81	737 150	200 66	294	Short-Term
BVN	COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN	05-Apr-2010	06-Apr-2010	31	1,039 66	1,029 850	9 81	1	Short-Term
BVN	COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN	05-Apr-2010	22-Dec-2010	42	2,019.86	1,395 280	624 58	261	Short-Term
BVN	COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN	17-May-2010	04-Jan-2011	21	966 81	785.300	181 51	232	Short-Term
BVN	COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN	05-Apr-2010	04-Jan-2011	42	1,933 63	1,395 280	538 35	274	Short-Term
DDAIF	DAIMLER AG CMN ISIN DE0007100000	05-Apr-2010	01-Jul-2010	37	1,881 40	1,779.350	102 05	87	Short-Term
DDAIF	DAIMLER AG CMN ISIN DE0007100000	05-Apr-2010	12-Jul-2010	24	1,260 51	1,154 170	106 34	98	Short-Term
DDAIF	DAIMLER AG CMN ISIN DE0007100000	05-Apr-2010	10-Sep-2010	18	999 56	865 630	133 93	158	Short-Term
DBSDY	DBS GROUP HOLDINGS SPONSORED ADR CMN	05-Apr-2010	10-Sep-2010	16	672 80	679 480	-6 68	158	Short-Term

D8SDY	D8S GROUP HOLDINGS SPONSORED ADR CMN	05-Apr-2010	14-Sep-2010	19	794 73	806 880	-12 15	162	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	05-Apr-2010	05-Aug-2010	21	361.19	361 940	-0 75	122	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	05-Apr-2010	06-Aug-2010	37	628 52	637 710	-9 19	123	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	05-Apr-2010	01-Oct-2010	14	263 02	241 300	21 73	179	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	05-Apr-2010	04-Oct-2010	5	91 44	86 180	5 26	182	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	05-Apr-2010	05-Oct-2010	60	1,130 98	1,034 120	96 86	183	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	05-Apr-2010	14-Oct-2010	17	354 87	293 000	61 87	192	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	05-Apr-2010	15-Oct-2010	12	248 96	206 830	42 14	193	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	05-Apr-2010	09-Dec-2010	74	1,650 17	1,275 420	374 75	248	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	05-Apr-2010	10-Dec-2010	33	742 16	568 770	173 39	249	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	15-Apr-2010	16-Dec-2010	6	132 31	108 000	24 31	245	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	05-Apr-2010	16-Dec-2010	2	44 10	34 470	9 63	255	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	06-Apr-2010	16-Dec-2010	25	551 27	432 610	118 66	254	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	07-Apr-2010	16-Dec-2010	28	617 42	478 740	138 69	253	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	09-Apr-2010	16-Dec-2010	20	441 02	353 270	87 75	251	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	13-Apr-2010	16-Dec-2010	9	198 46	158 400	40 06	247	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	14-Apr-2010	16-Dec-2010	12	264 61	211 080	53 53	246	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	15-Apr-2010	17-Dec-2010	1	22 06	18 000	4.06	246	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	10-May-2010	17-Dec-2010	8	176.47	119 600	56 87	221	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	10-May-2010	22-Dec-2010	9	195 29	134.550	60 74	226	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	11-May-2010	23-Dec-2010	8	174 46	117 340	57 12	226	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	12-May-2010	23-Dec-2010	4	87 23	60 400	26 83	225	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	10-May-2010	23-Dec-2010	6	130 85	89 700	41 15	227	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	13-May-2010	23-Dec-2010	11	239 88	164 650	75 23	224	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	14-May-2010	23-Dec-2010	18	392 53	260 040	132 49	223	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	21-Jun-2010	23-Dec-2010	16	348.92	231 680	117 24	185	Short-Term
DTEGY	DEUTSCHE TELEKOM AG SPONSORED ADR CMN	05-Apr-2010	06-Apr-2010	59	792 20	802 320	-10 12	1	Short-Term
DTEGY	DEUTSCHE TELEKOM AG SPONSORED ADR CMN	05-Apr-2010	09-Apr-2010	140	1,879 12	1,903 800	-24 68	4	Short-Term
DTEGY	DEUTSCHE TELEKOM AG SPONSORED ADR CMN	05-Apr-2010	11-Aug-2010	36	467 79	489.550	-21.76	128	Short-Term
DTEGY	DEUTSCHE TELEKOM AG SPONSORED ADR CMN	05-Apr-2010	05-Nov-2010	147	2,104 65	1,998.990	105 66	214	Short-Term
DTEGY	DEUTSCHE TELEKOM AG SPONSORED ADR CMN	05-Apr-2010	08-Nov-2010	95	1,333 03	1,291 870	41 17	217	Short-Term
DTEGY	DEUTSCHE TELEKOM AG SPONSORED ADR CMN	17-May-2010	08-Nov-2010	99	1,389 16	1,106 040	283 12	175	Short-Term
DTEGY	DEUTSCHE TELEKOM AG SPONSORED ADR CMN	21-Jun-2010	08-Nov-2010	101	1,417 23	1,200 890	216 34	140	Short-Term
DTEGY	DEUTSCHE TELEKOM AG SPONSORED ADR CMN	23-Jun-2010	08-Nov-2010	25	350 80	297 390	53 41	138	Short-Term
DTEGY	DEUTSCHE TELEKOM AG SPONSORED ADR CMN	04-Oct-2010	10-Nov-2010	95	1,330 99	1,292 000	38.99	37	Short-Term
DTEGY	DEUTSCHE TELEKOM AG SPONSORED ADR CMN	04-Oct-2010	09-Nov-2010	164	2,325 99	2,230 400	95 59	36	Short-Term
DTEGY	DEUTSCHE TELEKOM AG SPONSORED ADR CMN	23-Jun-2010	09-Nov-2010	11	156 01	130 850	25 16	139	Short-Term

Page 1 of 5 [Next >](#) Go to page:

(i) This position is a gifted security

(ii) This position is an inherited security

(iii) This position is an inherited then gifted security



PRIVATE WEALTH MANAGEMENT

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1099 revisions Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline. Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March.

If you are issued a revised Form 1099 it will be posted separately from your original Form 1099. Please note that we will only furnish the IRS with the final version of your 1099s. If you have any questions, please contact your Private Wealth Management team.

Separate Account Strategy : Lord, Abbett & Co: Non-US Equity

Base Currency :USD

The Tax Center contains the following information about your account(s) if applicable:

- **Realized Gains and Losses.** See your realized gains and losses.
- **Tax Correspondence.** See your actual 1099s from 1995 to present.
- **Goldman Sachs Mutual Funds.** Shareholder Tax Information.
- **K-1s** can be located on the Fund Correspondence Tab or Tax Correspondence.

Select an Account or Group:

Makray Fam Fdn PAUL Lord, 020-57426-5

2. Choose An Existing View.

Realized Gains/Losses
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[Create One](#)

Choose a Date Range

From Date: 01-Feb-2010

To Date: 31-Jan-2011

4. Search By Symbol/CUSIP:

Include Options ☒

Gain Type	Gains	Losses	Disallowed	Total
<u>Short-Term</u>	18,386.95	-32,973.97	0.00	-14,587.02
<u>Long-Term</u>	0.00	0.00	0.00	0.00
Total Realized Gains/Losses:	18,386.95	-32,973.97	0.00	-14,587.02

< [Previous](#) Page 2 of 5 [Next](#) > Go to page:

Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
DTEGY	DEUTSCHE TELEKOM AG SPONSORED ADR CMN	04-Oct-2010	10-Nov-2010	95	1,330.99	1,292.000	38.99	37	Short-Term
DSGY	DSG INTERNATIONAL PLC SPONSORED ADR CMN	05-Apr-2010	09-Apr-2010	309	485.18	519.120	-33.94	4	Short-Term
DSGY	DSG INTERNATIONAL PLC SPONSORED ADR CMN	05-Apr-2010	13-Apr-2010	258	410.55	433.440	-22.89	8	Short-Term
DSGY	DSG INTERNATIONAL PLC SPONSORED ADR CMN	05-Apr-2010	15-Apr-2010	60	97.31	100.800	-3.49	10	Short-Term
DSGY	DSG INTERNATIONAL PLC SPONSORED ADR CMN	05-Apr-2010	26-Apr-2010	748	1,140.23	1,256.640	-116.41	21	Short-Term
DSGY	DSG INTERNATIONAL PLC SPONSORED ADR CMN	05-Apr-2010	27-Apr-2010	88	132.87	147.840	-14.97	22	Short-Term
EONGY	E ON AG SPONSORED ADR CMN	05-Apr-2010	30-Jun-2010	40	1,086.64	1,495.960	-409.32	86	Short-Term
EONGY	E ON AG SPONSORED ADR CMN	05-Apr-2010	01-Jul-2010	38	1,045.06	1,421.170	-376.11	87	Short-Term
EONGY	E ON AG SPONSORED ADR CMN	05-Apr-2010	16-Jul-2010	12	337.56	448.790	-111.23	102	Short-Term
EONGY	E ON AG SPONSORED ADR CMN	05-May-2010	16-Jul-2010	23	646.99	790.470	-143.48	72	Short-Term
EONGY	E ON AG SPONSORED ADR CMN	03-May-2010	16-Jul-2010	8	225.04	297.200	-72.16	74	Short-Term
EONGY	E ON AG SPONSORED ADR CMN	21-Jun-2010	16-Jul-2010	31	872.03	934.030	-62.00	25	Short-Term
E	ENI S P A SPON ADR SPONSORED ADR CMN	05-Apr-2010	05-May-2010	11	461.22	528.440	-67.22	30	Short-Term
E	ENI S P A SPON ADR SPONSORED ADR CMN	05-Apr-2010	18-Jan-2011	25	1,174.49	1,201.010	-26.52	288	Short-Term
ESPGY	ESPRIT HLDGS LTD ADR CMN	13-Apr-2010	10-Jun-2010	15	168.17	244.730	-76.56	58	Short-Term
ESPGY	ESPRIT HLDGS LTD ADR CMN	09-Apr-2010	10-Jun-2010	12	134.54	189.800	-55.26	62	Short-Term
ESPGY	ESPRIT HLDGS LTD ADR CMN	12-Apr-2010	10-Jun-2010	10	112.11	161.700	-49.59	59	Short-Term
ESPGY	ESPRIT HLDGS LTD ADR CMN	13-Apr-2010	29-Jun-2010	17	182.50	277.370	-94.86	77	Short-Term
ESPGY	ESPRIT HLDGS LTD ADR CMN	15-Apr-2010	29-Jun-2010	37	397.21	596.660	-199.45	75	Short-Term
ESPGY	ESPRIT HLDGS LTD ADR CMN	14-Apr-2010	29-Jun-2010	22	236.18	359.440	-123.26	76	Short-Term
ESPGY	ESPRIT HLDGS LTD ADR CMN	21-Jun-2010	12-Jul-2010	66	752.33	785.400	-33.07	21	Short-Term

Short-

STATEMENT A

ESPGY	ESPRIT HLDGS LTD ADR CMN	15-Apr-2010	12-Jul-2010	117	1,333 68	1,886 730	-553 05	88	Term
FMS	FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN	05-Apr-2010	15-Jun-2010	26	1,414 92	1,470.070	-55 15	71	Short-Term
FMS	FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN	05-Apr-2010	03-Sep-2010	25	1,403 21	1,413 530	-10 32	151	Short-Term
GFA	GAFISA, S A SPONSORED ADR CMN	05-Apr-2010	27-Jan-2011	54	697 61	787 740	-90 13	297	Short-Term
GSK	GLAXOSMITHKLINE PLC SPONSORED ADR CMN	17-May-2010	03-Sep-2010	13	508 74	446 330	62 41	109	Short-Term
GSK	GLAXOSMITHKLINE PLC SPONSORED ADR CMN	17-May-2010	10-Sep-2010	21	825 88	720 990	104 89	116	Short-Term
GSK	GLAXOSMITHKLINE PLC SPONSORED ADR CMN	17-May-2010	20-Oct-2010	31	1,271 44	1,064 320	207 12	156	Short-Term
GSK	GLAXOSMITHKLINE PLC SPONSORED ADR CMN	17-May-2010	05-Nov-2010	33	1,346 36	1,132 990	213 37	172	Short-Term
GSK	GLAXOSMITHKLINE PLC SPONSORED ADR CMN	18-May-2010	05-Nov-2010	17	693 58	579 880	113 70	171	Short-Term
GSK	GLAXOSMITHKLINE PLC SPONSORED ADR CMN	18-May-2010	12-Nov-2010	1	39 47	34 110	5.35	178	Short-Term
GSK	GLAXOSMITHKLINE PLC SPONSORED ADR CMN	10-Jun-2010	12-Nov-2010	19	749 84	650 050	99 79	155	Short-Term
GSK	GLAXOSMITHKLINE PLC SPONSORED ADR CMN	15-Jun-2010	12-Nov-2010	6	236 79	215 160	21 63	150	Short-Term
GSK	GLAXOSMITHKLINE PLC SPONSORED ADR CMN	15-Jun-2010	19-Nov-2010	16	636.83	573 760	63 07	157	Short-Term
GSK	GLAXOSMITHKLINE PLC SPONSORED ADR CMN	21-Jun-2010	19-Nov-2010	1	39 80	35.340	4 46	151	Short-Term
HENKY	HENKEL AG AND CO KGAA SPONSORED ADR REPSTG ORDINARY SHRS	05-Apr-2010	28-Jul-2010	8	340 01	378 620	-38 61	114	Short-Term
HENKY	HENKEL AG AND CO KGAA SPONSORED ADR REPSTG ORDINARY SHRS	05-Apr-2010	29-Jul-2010	30	1,251 70	1,419 830	-168 13	115	Short-Term
HENKY	HENKEL AG AND CO KGAA SPONSORED ADR REPSTG ORDINARY SHRS	05-Apr-2010	30-Jul-2010	13	538 75	615 260	-76 51	116	Short-Term
HNP	HUANENG POWER INTL INC ADS SPONSORED ADR CMN SERIES N	16-Jul-2010	21-Oct-2010	24	564 57	554 210	10 36	97	Short-Term
HNP	HUANENG POWER INTL INC ADS SPONSORED ADR CMN SERIES N	29-Jun-2010	21-Oct-2010	160	3,763 78	3,772 080	-8 30	114	Short-Term
HNP	HUANENG POWER INTL INC ADS SPONSORED ADR CMN SERIES N	30-Jun-2010	21-Oct-2010	16	376 38	379 830	-3 45	113	Short-Term
HNP	HUANENG POWER INTL INC ADS SPONSORED ADR CMN SERIES N	04-Oct-2010	04-Nov-2010	9	204 79	222 970	-18 17	31	Short-Term
HNP	HUANENG POWER INTL INC ADS SPONSORED ADR CMN SERIES N	14-Sep-2010	04-Nov-2010	29	659 89	698 200	-38 32	51	Short-Term
HNP	HUANENG POWER INTL INC ADS SPONSORED ADR CMN SERIES N	16-Jul-2010	04-Nov-2010	35	796 41	808 220	-11 81	111	Short-Term
HNP	HUANENG POWER INTL INC ADS SPONSORED ADR CMN SERIES N	04-Oct-2010	05-Nov-2010	40	908 62	990 960	-82 34	32	Short-Term
HNP	HUANENG POWER INTL INC ADS SPONSORED ADR CMN SERIES N	04-Oct-2010	08-Nov-2010	88	1,998 43	2,180 110	-181 68	35	Short-Term
IBN	ICICI BANK LIMITED SPONS ADR	05-Apr-2010	05-Oct-2010	73	3,772 15	3,304 750	467 40	183	Short-Term
IBN	ICICI BANK LIMITED SPONS ADR	05-Apr-2010	21-Jan-2011	23	1,057 53	1,041 220	16 31	291	Short-Term
ITYBY	IMPERIAL TOBACCO GROUP PLC SPON ADR	05-Apr-2010	28-Jul-2010	7	397 78	430 360	-32 58	114	Short-Term
ITYBY	IMPERIAL TOBACCO GROUP PLC SPON ADR	05-Apr-2010	29-Jul-2010	17	966 84	1,045 160	-78 32	115	Short-Term
ITYBY	IMPERIAL TOBACCO GROUP PLC SPON ADR	05-Apr-2010	30-Jul-2010	3	169 34	184.440	-15 10	116	Short-Term
ITYBY	IMPERIAL TOBACCO GROUP PLC SPON ADR	05-Apr-2010	14-Oct-2010	21	1,280 90	1,291 080	-10 18	192	Short-Term
ITYBY	IMPERIAL TOBACCO GROUP PLC SPON ADR	05-Apr-2010	15-Oct-2010	34	2,068 72	2,090 320	-21 60	193	Short-Term
ING	ING GROEP N V SPONS ADR SPONSORED ADR CMN	05-Apr-2010	14-May-2010	234	1,946 65	2,454 800	-508.15	39	Short-Term
ISNPY	INTESA SANPAOLO SPONSORED ADR CMN	05-Apr-2010	28-Apr-2010	36	697 34	827 280	-129 94	23	Short-Term
ISNPY	INTESA SANPAOLO SPONSORED ADR CMN	05-Apr-2010	30-Apr-2010	26	511 06	597 480	-86 42	25	Short-Term
ITF	ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF	05-Apr-2010	05-Aug-2010	38	1,610 62	1,759.480	-148 86	122	Short-Term
ITF	ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF	05-Apr-2010	14-Oct-2010	47	2,088 88	2,176 200	-87 32	192	Short-Term
ITF	ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF	05-Apr-2010	15-Oct-2010	27	1,194 40	1,250 160	-55 76	193	Short-Term
ITF	ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF	05-Apr-2010	20-Oct-2010	42	1,867 93	1,944 690	-76 76	198	Short-Term
ITF	ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF	05-Apr-2010	02-Nov-2010	29	1,257 58	1,342 760	-85 18	211	Short-Term
ITF	ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF	05-Apr-2010	01-Nov-2010	9	390 15	416 720	-26 57	210	Short-Term

STATEMENT A

ITF	ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF	05-Apr-2010	04-Nov-2010	8	354 81	370 420	-15 61	213	Short-Term
ITF	ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF	17-May-2010	04-Nov-2010	36	1,596 63	1,555 170	41 46	171	Short-Term
ITF	ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF	21-Jun-2010	04-Nov-2010	40	1,774 03	1,682 400	91 63	136	Short-Term
ITF	ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF	21-Jun-2010	12-Nov-2010	27	1,211 94	1,135 620	76 32	144	Short-Term
ITF	ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF	04-Oct-2010	12-Nov-2010	26	1,167 05	1,099 800	67 25	39	Short-Term
ITF	ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF	04-Oct-2010	15-Nov-2010	37	1,661 49	1,565 100	96 39	42	Short-Term
ITF	ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF	04-Oct-2010	16-Nov-2010	6	263 72	253 800	9 92	43	Short-Term
ITF	ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF	04-Oct-2010	17-Nov-2010	45	2,006 66	1,903 500	103 16	44	Short-Term
ITF	ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF	04-Oct-2010	18-Nov-2010	32	1,460 01	1,353 600	106.41	45	Short-Term
KMTUY	KOMATSU LTD ADR (NEW) SPONSORED GDS CMN	28-Apr-2010	18-Jan-2011	3	90 99	60 450	30 54	265	Short-Term
KMTUY	KOMATSU LTD ADR (NEW) SPONSORED GDS CMN	27-Apr-2010	18-Jan-2011	72	2,183 80	1,476 890	706 91	266	Short-Term
AHONY	KONINKLIJKE AHOLD N V SPONSORED ADR CMN	05-Apr-2010	06-Apr-2010	78	1,037 67	1,032.720	4.95	1	Short-Term
AHONY	KONINKLIJKE AHOLD N.V. SPONSORED ADR CMN	05-Apr-2010	09-Apr-2010	52	711 83	688 480	23 35	4	Short-Term
AHONY	KONINKLIJKE AHOLD N V SPONSORED ADR CMN	05-Apr-2010	15-Apr-2010	89	1,199 48	1,178 360	21.12	10	Short-Term
AHONY	KONINKLIJKE AHOLD N V. SPONSORED ADR CMN	05-Apr-2010	14-Oct-2010	55	743 16	728 200	14.96	192	Short-Term
AHONY	KONINKLIJKE AHOLD N V. SPONSORED ADR CMN	05-Apr-2010	15-Oct-2010	81	1,080 25	1,072 440	7.81	193	Short-Term
AHONY	KONINKLIJKE AHOLD N V. SPONSORED ADR CMN	05-Apr-2010	04-Nov-2010	79	1,102 45	1,045 960	56 49	213	Short-Term
AHONY	KONINKLIJKE AHOLD N V SPONSORED ADR CMN	05-Apr-2010	05-Nov-2010	63	857 99	834 120	23 87	214	Short-Term
AHONY	KONINKLIJKE AHOLD N V SPONSORED ADR CMN	29-Apr-2010	05-Nov-2010	52	708 18	713 660	-5 48	190	Short-Term
AHONY	KONINKLIJKE AHOLD N V SPONSORED ADR CMN	18-May-2010	05-Nov-2010	60	817 13	766 060	51 07	171	Short-Term
AHONY	KONINKLIJKE AHOLD N V SPONSORED ADR CMN	16-Jun-2010	05-Nov-2010	31	422 19	402 680	19 51	142	Short-Term
AHONY	KONINKLIJKE AHOLD N V SPONSORED ADR CMN	21-May-2010	05-Nov-2010	54	735 42	681 790	53 63	168	Short-Term
AHONY	KONINKLIJKE AHOLD N V SPONSORED ADR CMN	21-Jun-2010	05-Nov-2010	101	1,375.51	1,319.060	56 45	137	Short-Term
AHONY	KONINKLIJKE AHOLD N V SPONSORED ADR CMN	10-Jun-2010	05-Nov-2010	30	408 57	389 600	18 97	148	Short-Term
AHONY	KONINKLIJKE AHOLD N V SPONSORED ADR CMN	21-Jun-2010	03-Dec-2010	65	819 52	848 900	-29.38	165	Short-Term
AHONY	KONINKLIJKE AHOLD N V SPONSORED ADR CMN	04-Oct-2010	03-Dec-2010	59	743 88	777 030	-33 15	60	Short-Term
KT	KT CORPORATION SPONSORED ADR CMN	05-Apr-2010	06-Apr-2010	53	1,075 36	1,064 100	11.26	1	Short-Term
KT	KT CORPORATION SPONSORED ADR CMN	05-Apr-2010	09-Apr-2010	12	250 80	240 930	9 87	4	Short-Term
KT	KT CORPORATION SPONSORED ADR CMN	05-Apr-2010	11-Aug-2010	77	1,396.67	1,545 950	-149 28	128	Short-Term
KT	KT CORPORATION SPONSORED ADR CMN	05-Apr-2010	05-Nov-2010	96	2,024 61	1,927 420	97 19	214	Short-Term
KUB	KUBOTA CORP ADR ADR CMN	05-Apr-2010	10-Jun-2010	28	1,127 86	1,332 920	-205 06	66	Short-Term
KUB	KUBOTA CORP ADR ADR CMN	05-Apr-2010	29-Jun-2010	16	618 24	761 670	-143.43	85	Short-Term
KUB	KUBOTA CORP ADR ADR CMN	05-Apr-2010	01-Jul-2010	26	978 16	1,237 710	-259 55	87	Short-Term
KUB	KUBOTA CORP ADR ADR CMN	05-Apr-2010	02-Jul-2010	25	943 65	1,190 110	-246 46	88	Short-Term
LPL	LG DISPLAY CO LTD SPONSORED ADR CMN 1 ADR = 0.5 ORDINARY SHARES	05-Apr-2010	26-Apr-2010	18	372 72	351 120	21 60	21	Short-Term
LPL	LG DISPLAY CO LTD SPONSORED ADR CMN 1 ADR = 0.5 ORDINARY SHARES	05-Apr-2010	30-Apr-2010	111	2,360 16	2,165 240	194 92	25	Short-Term
LPL	LG DISPLAY CO LTD SPONSORED ADR CMN 1 ADR = 0.5 ORDINARY SHARES	05-Apr-2010	18-May-2010	33	606 67	643 720	-37 05	43	Short-Term
LPL	LG DISPLAY CO LTD SPONSORED ADR CMN 1 ADR = 0.5 ORDINARY SHARES	05-Apr-2010	24-May-2010	75	1,283 51	1,463 000	-179 49	49	Short-Term
LPL	LG DISPLAY CO LTD SPONSORED ADR CMN 1 ADR = 0.5 ORDINARY SHARES	05-Apr-2010	10-Jun-2010	38	618 30	741 250	-122 95	66	Short-Term

< Previous Page 2 of 5 Next > Go to page:



STATEMENT A



PRIVATE WEALTH MANAGEMENT

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1099 revisions Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline. Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March. If you are issued a revised Form 1099 it will be posted separately from your original Form 1099. Please note that we will only furnish the IRS with the final version of your 1099s. If you have any questions, please contact your Private Wealth Management team.

Separate Account Strategy : Lord, Abbett & Co: Non-US Equity
Base Currency :USD

The Tax Center contains the following information about your account(s) if applicable.

- **Realized Gains and Losses.** See your realized gains and losses
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- **Goldman Sachs Mutual Funds.** Shareholder Tax Information
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2 Choose An Existing View

Realized Gains/Losses
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Choose a Date Range:

From Date: 01-Feb-2010
 To Date: 31-Jan-2011

4 Search By Symbol/CUSIP

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Gain Type	Gains	Losses	Disallowed	Total
<u>Short-Term</u>	18,386.95	-32,973.97	0.00	-14,587.02
<u>Long-Term</u>	0.00	0.00	0.00	0.00
Total Realized Gains/Losses:	18,386.95	-32,973.97	0.00	-14,587.02

< [Previous](#) Page 3 of 5 [Next](#) > Go to page:

Realized Gains/Losses

Symbol	Description [A]	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
LPL	LG DISPLAY CO LTD SPONSORED ADR CMN 1 ADR = 0.5 ORDINARY SHARES	05-Apr-2010	28-Jul-2010	98	1,536.59	1,911.660	-375.07	114	Short-Term
LPL	LG DISPLAY CO LTD SPONSORED ADR CMN 1 ADR = 0.5 ORDINARY SHARES	05-Apr-2010	06-Aug-2010	90	1,437.74	1,755.600	-317.86	123	Short-Term
LPL	LG DISPLAY CO LTD SPONSORED ADR CMN 1 ADR = 0.5 ORDINARY SHARES	21-Jun-2010	06-Aug-2010	49	782.77	884.450	-101.68	46	Short-Term
MDIUY	MEDIASET SPA ADR CMN	05-Apr-2010	28-Apr-2010	33	742.48	868.420	-125.94	23	Short-Term
MDIUY	MEDIASET SPA ADR CMN	05-Apr-2010	14-May-2010	32	649.88	842.110	-192.23	39	Short-Term
MDIUY	MEDIASET SPA ADR CMN	05-Apr-2010	17-May-2010	57	1,129.18	1,500.010	-370.83	42	Short-Term
MDIUY	MEDIASET SPA ADR CMN	05-Apr-2010	29-Jun-2010	3	51.27	78.950	-27.68	85	Short-Term
MDIUY	MEDIASET SPA ADR CMN	05-Apr-2010	30-Jun-2010	28	476.55	736.850	-260.30	86	Short-Term
MDIUY	MEDIASET SPA ADR CMN	05-Apr-2010	04-Jan-2011	28	498.14	736.850	-238.71	274	Short-Term
MDIUY	MEDIASET SPA ADR CMN	05-Apr-2010	05-Jan-2011	18	313.15	473.690	-160.54	275	Short-Term
MDIUY	MEDIASET SPA ADR CMN	05-Apr-2010	18-Jan-2011	30	535.79	789.480	-253.69	288	Short-Term
MDIUY	MEDIASET SPA ADR CMN	21-Jun-2010	19-Jan-2011	19	342.89	357.200	-14.31	212	Short-Term
MDIUY	MEDIASET SPA ADR CMN	05-Apr-2010	19-Jan-2011	14	252.65	368.420	-115.77	289	Short-Term
MDIUY	MEDIASET SPA ADR CMN	21-Jun-2010	21-Jan-2011	7	128.85	131.600	-2.75	214	Short-Term
MDIUY	MEDIASET SPA ADR CMN	12-Jul-2010	21-Jan-2011	41	754.69	723.570	31.12	193	Short-Term
MDIUY	MEDIASET SPA ADR CMN	30-Jul-2010	27-Jan-2011	5	97.05	95.000	2.05	181	Short-Term
MDIUY	MEDIASET SPA ADR CMN	12-Jul-2010	27-Jan-2011	23	446.45	405.900	40.54	199	Short-Term
MDIUY	MEDIASET SPA ADR CMN	30-Jul-2010	28-Jan-2011	5	96.63	95.000	1.63	182	Short-Term
MDIUY	MEDIASET SPA ADR CMN	02-Aug-2010	28-Jan-2011	7	135.28	140.460	-5.19	179	Short-Term
MDIUY	MEDIASET SPA ADR CMN	03-Aug-2010	31-Jan-2011	10	194.48	195.740	-1.25	181	Short-Term
MR	MINDRAY MEDICAL INTL LIMITED SPONSORED ADR CMN CLASS A	14-Jun-2010	03-Sep-2010	46	1,315.40	1,452.230	-136.83	81	Short-Term
	MINDRAY MEDICAL INTL LIMITED SPONSORED ADR CMN								Short-Term

STATEMENT A

MR	CLASS A	14-Jun-2010	16-Sep-2010	18	502 59	568 260	-65 67	94	Term
MR	MINDRAY MEDICAL INTL LIMITED SPONSORED ADR CMN CLASS A	16-Jul-2010	24-Sep-2010	16	456 44	477 540	-21 10	70	Short-Term
MR	MINDRAY MEDICAL INTL LIMITED SPONSORED ADR CMN CLASS A	21-Jun-2010	24-Sep-2010	28	798 77	883 680	-84 91	95	Short-Term
MR	MINDRAY MEDICAL INTL LIMITED SPONSORED ADR CMN CLASS A	14-Jun-2010	24-Sep-2010	14	399 39	441 980	-42 60	102	Short-Term
MR	MINDRAY MEDICAL INTL LIMITED SPONSORED ADR CMN CLASS A	29-Jun-2010	24-Sep-2010	22	627 61	685 280	-57 68	87	Short-Term
MTU	MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN	05-Apr-2010	06-Aug-2010	301	1,506 05	1,562 190	-56 14	123	Short-Term
MTU	MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN	05-Apr-2010	14-Sep-2010	154	762 50	799 260	-36.76	162	Short-Term
MITSY	mitsui & co LTD ADR CMN	05-Apr-2010	26-Apr-2010	4	1,273 31	1,403 550	-130 24	21	Short-Term
MITSY	mitsui & co LTD ADR CMN	05-Apr-2010	27-Apr-2010	2	621 64	701 770	-80 13	22	Short-Term
MITSY	mitsui & co LTD ADR CMN	05-Apr-2010	28-Apr-2010	2	621 45	701 770	-80 32	23	Short-Term
MITSY	mitsui & co LTD ADR CMN	05-Apr-2010	05-May-2010	5	1,446 09	1,754 430	-308 34	30	Short-Term
MITSY	mitsui & co LTD ADR CMN	05-Apr-2010	07-May-2010	5	1,410 76	1,754 430	-343 67	32	Short-Term
MITSY	mitsui & co LTD ADR CMN	05-Apr-2010	10-May-2010	3	890 27	1,052 660	-162 39	35	Short-Term
NGG	NATIONAL GRID PLC SPONSORED ADR CMN	05-Apr-2010	03-Sep-2010	56	2,372 31	2,790 140	-417 83	151	Short-Term
NSRGY	NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS)	05-Apr-2010	15-Apr-2010	32	1,621 65	1,625 920	-4 27	10	Short-Term
NSRGY	NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS)	05-Apr-2010	06-Aug-2010	57	2,778 83	2,896 170	-117 34	123	Short-Term
NSRGY	NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS)	10-Jun-2010	22-Dec-2010	4	235 44	189 460	45 98	195	Short-Term
NSRGY	NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS)	05-Apr-2010	22-Dec-2010	36	2,118 97	1,829 160	289 81	261	Short-Term
NSRGY	NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS)	17-May-2010	22-Dec-2010	15	882 90	704 700	178 20	219	Short-Term
NSRGY	NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS)	18-May-2010	22-Dec-2010	12	706 32	566.250	140 07	218	Short-Term
NSANY	NISSAN MOTOR CO LTD SPONSORED ADR	05-Apr-2010	18-May-2010	125	1,935 65	2,210 000	-274.35	43	Short-Term
NSANY	NISSAN MOTOR CO LTD SPONSORED ADR	05-Apr-2010	19-May-2010	73	1,124.96	1,290 640	-165 68	44	Short-Term
NSANY	NISSAN MOTOR CO LTD SPONSORED ADR	05-Apr-2010	29-Jun-2010	195	2,690 52	3,447 600	-757 08	85	Short-Term
NSANY	NISSAN MOTOR CO LTD SPONSORED ADR	05-Apr-2010	01-Jul-2010	81	1,107.15	1,432 080	-324 93	87	Short-Term
NSANY	NISSAN MOTOR CO LTD SPONSORED ADR	05-Apr-2010	29-Jul-2010	60	949 95	1,060.800	-110 85	115	Short-Term
NSANY	NISSAN MOTOR CO LTD SPONSORED ADR	05-Apr-2010	30-Jul-2010	29	440 71	512 720	-72 01	116	Short-Term
NSANY	NISSAN MOTOR CO LTD SPONSORED ADR	21-Jun-2010	30-Jul-2010	123	1,869 21	1,859 060	10 15	39	Short-Term
IX	ORIX CORPORATION SPONS ADR SPONSORED ADR CMN	05-Apr-2010	28-Apr-2010	32	1,409 17	1,442 650	-33.48	23	Short-Term
IX	ORIX CORPORATION SPONS ADR SPONSORED ADR CMN	05-Apr-2010	14-May-2010	12	499 08	540 990	-41 91	39	Short-Term
IX	ORIX CORPORATION SPONS ADR SPONSORED ADR CMN	05-Apr-2010	17-May-2010	7	283 37	315.580	-32 21	42	Short-Term
IX	ORIX CORPORATION SPONS ADR SPONSORED ADR CMN	05-Apr-2010	16-Jun-2010	12	441 88	540 990	-99 11	72	Short-Term
IX	ORIX CORPORATION SPONS ADR SPONSORED ADR CMN	05-Apr-2010	01-Jul-2010	32	1,146 53	1,442.650	-296 12	87	Short-Term
TLK	P T TELEKOMUNIKASI INDONESIA ADS (1 ADS --> 40 ORD SHS)	05-Apr-2010	28-Jul-2010	74	2,701.90	2,718 150	-16 25	114	Short-Term
TLK	P T TELEKOMUNIKASI INDONESIA ADS (1 ADS --> 40 ORD SHS)	05-Apr-2010	29-Jul-2010	25	912 85	918 290	-5 45	115	Short-Term
TLK	P T TELEKOMUNIKASI INDONESIA ADS (1 ADS --> 40 ORD SHS)	21-Jun-2010	29-Jul-2010	19	693 76	660.710	33 05	38	Short-Term
TLK	P T TELEKOMUNIKASI INDONESIA ADS (1 ADS --> 40 ORD SHS)	21-Jun-2010	30-Jul-2010	16	590 71	556 390	34 32	39	Short-Term
PTNR	PARTNER COMMUNICATIONS CO ADR CMN	05-Apr-2010	06-May-2010	76	1,345 27	1,709 450	-364 18	31	Short-Term
PTNR	PARTNER COMMUNICATIONS CO ADR CMN	05-Apr-2010	07-May-2010	53	923 61	1,192.120	-268 51	32	Short-Term
PTNR	PARTNER COMMUNICATIONS CO ADR CMN	05-Apr-2010	10-May-2010	130	2,396 77	2,924 070	-527 30	35	Short-Term
RUK	REED ELSEVIER GROUP PLC SPONSORED ADR CMN	05-Apr-2010	28-Apr-2010	10	310 40	325 240	-14 84	23	Short-Term
RUK	REED ELSEVIER GROUP PLC SPONSORED ADR CMN	05-Apr-2010	14-May-2010	10	290 19	325 240	-35 05	39	Short-Term

STATEMENT A

RUK	REED ELSEVIER GROUP PLC SPONSORED ADR CMN	05-Apr-2010	17-May-2010	48	1,383 40	1,561 160	-177 76	42	Short-Term
RUK	REED ELSEVIER GROUP PLC SPONSORED ADR CMN	05-Apr-2010	10-Jun-2010	36	1,010 36	1,170 870	-160 51	66	Short-Term
RUK	REED ELSEVIER GROUP PLC SPONSORED ADR CMN	05-Apr-2010	29-Jul-2010	19	651 50	617 960	33 54	115	Short-Term
RUK	REED ELSEVIER GROUP PLC SPONSORED ADR CMN	05-Apr-2010	15-Sep-2010	18	604 42	585 430	18 99	163	Short-Term
RUK	REED ELSEVIER GROUP PLC SPONSORED ADR CMN	05-Apr-2010	20-Oct-2010	49	1,714 05	1,593 680	120 37	198	Short-Term
RUK	REED ELSEVIER GROUP PLC SPONSORED ADR CMN	05-Apr-2010	04-Jan-2011	36	1,209 06	1,170 870	38 19	274	Short-Term
RMD	RESMED INC CMN	01-Jul-2010	22-Dec-2010	36	1,281 68	1,073 260	208 42	174	Short-Term
RHHBY	ROCHE HOLDING AG ADR B SHS(NOM CHF 100) VAL 224 184	05-Apr-2010	10-Jun-2010	29	992.51	1,168 610	-176 10	66	Short-Term
RHHBY	ROCHE HOLDING AG ADR B SHS(NOM CHF 100) VAL 224 184	05-Apr-2010	14-Sep-2010	17	604 41	685 040	-80 63	162	Short-Term
RHHBY	ROCHE HOLDING AG ADR B SHS(NOM CHF 100) VAL 224 184	05-Apr-2010	15-Sep-2010	48	1,662 50	1,934 240	-271 74	163	Short-Term
RHHBY	ROCHE HOLDING AG ADR B SHS(NOM CHF 100) VAL 224 184	05-Apr-2010	05-Oct-2010	29	1,028 62	1,168 610	-139 99	183	Short-Term
RHHBY	ROCHE HOLDING AG ADR B SHS(NOM CHF 100) VAL 224 184	05-Apr-2010	14-Oct-2010	38	1,375 86	1,531 280	-155 42	192	Short-Term
RHHBY	ROCHE HOLDING AG ADR B SHS(NOM CHF 100) VAL 224 184	05-Apr-2010	15-Oct-2010	19	701 28	765 640	-64 36	193	Short-Term
RHHBY	ROCHE HOLDING AG ADR B SHS(NOM CHF 100) VAL 224 184	21-Jun-2010	15-Oct-2010	52	1,919 29	1,814 330	104 96	116	Short-Term
RHHBY	ROCHE HOLDING AG ADR B SHS(NOM CHF 100) VAL 224 184	09-Apr-2010	15-Oct-2010	5	184 55	203 920	-19 37	189	Short-Term
RHHBY	ROCHE HOLDING AG ADR B SHS(NOM CHF 100) VAL 224 184	04-Oct-2010	15-Oct-2010	70	2,583 66	2,419.200	164 46	11	Short-Term
RYCEY	ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN	10-Jun-2010	05-Nov-2010	2	97 10	88 830	8 27	148	Short-Term
RYCEY	ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN	21-May-2010	05-Nov-2010	25	1,213.73	1,021.250	192 48	168	Short-Term
RYCEY	ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN	24-May-2010	05-Nov-2010	12	582 59	499 070	83 52	165	Short-Term
RYCEY	ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN	26-May-2010	05-Nov-2010	18	873 89	739 950	133 94	163	Short-Term
RYCEY	ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN	27-May-2010	05-Nov-2010	14	679 69	591 560	88 13	162	Short-Term
RYCEY	ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN	28-May-2010	05-Nov-2010	5	242.75	214.530	28 22	161	Short-Term
RYCEY	ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN	10-Jun-2010	12-Nov-2010	11	541 24	488 570	52 67	155	Short-Term
RYCEY	ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN	11-Jun-2010	12-Nov-2010	8	393 63	348 170	45 46	154	Short-Term
RYCEY	ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN	21-Jun-2010	12-Nov-2010	7	344 43	317 380	27 05	144	Short-Term
RYCEY	ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN	21-Jun-2010	16-Nov-2010	13	612 36	589 420	22 94	148	Short-Term
RYCEY	ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN	05-Aug-2010	17-Nov-2010	4	189 86	179 560	10 30	104	Short-Term
RYCEY	ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN	21-Jun-2010	17-Nov-2010	11	522 10	498 740	23 36	149	Short-Term
RYCEY	ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN	06-Aug-2010	17-Nov-2010	8	379 71	358 580	21 13	103	Short-Term
RYCEY	ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN	09-Aug-2010	17-Nov-2010	10	474 64	448 850	25 79	100	Short-Term
RYCEY	ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN	09-Aug-2010	19-Nov-2010	1	47 16	44 890	2.27	102	Short-Term
RYCEY	ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN	04-Oct-2010	19-Nov-2010	41	1,933 45	1,908 550	24 90	46	Short-Term
RYCEY	ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN	04-Oct-2010	23-Nov-2010	12	570 32	558 600	11 72	50	Short-Term
RYCEY	ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN	04-Oct-2010	24-Nov-2010	24	1,163 70	1,117 200	46 50	51	Short-Term
RYAAY	RYANAIR HOLDINGS PLC ADR SPONSORED ADR CMN	05-Apr-2010	06-Apr-2010	15	445.25	445 960	-0 71	1	Short-Term
RYAAY	RYANAIR HOLDINGS PLC ADR SPONSORED ADR CMN	05-Apr-2010	09-Apr-2010	53	1,578.45	1,575 730	2.72	4	Short-Term
RYAAY	RYANAIR HOLDINGS PLC ADR SPONSORED ADR CMN	05-Apr-2010	15-Apr-2010	61	1,825 29	1,813 580	11 71	10	Short-Term
RYAAY	RYANAIR HOLDINGS PLC ADR SPONSORED ADR CMN	05-Apr-2010	13-Apr-2010	12	358.64	356 770	1 87	8	Short-Term
SNY	SANOFI SPONSORED ADR CMN	05-Apr-2010	10-Jun-2010	27	798 74	1,003 900	-205 16	66	Short-Term

< Previous Page 3 of 5 Next > Go to page: 90

STATEMENT A



PRIVATE WEALTH MANAGEMENT

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Makray Fam Fdn PAUL Lord, Abbett & Co 020-57426-5

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Separate Account Strategy : Lord, Abbett & Co: Non-US Equity
Base Currency :USD

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Choose a Date Range

From Date 01-Feb-2010

To Date 31-Jan-2011

4 Search By Symbol/CUSIP

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Gain Type	Gains	Losses	Disallowed	Total
<u>Short-Term</u>	18,386.95	-32,973.97	0.00	-14,587.02
<u>Long-Term</u>	0.00	0.00	0.00	0.00
Total Realized Gains/Losses:	18,386.95	-32,973.97	0.00	-14,587.02

[< Previous](#) [Page 4 of 5](#) [Next >](#) [Go to page:](#)

Realized Gains/Losses

Symbol	Description A	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
SNY	SANOFI SPONSORED ADR CMN	05-Apr-2010	15-Jun-2010	26	813.79	966.720	-152.93	71	Short-Term
SNY	SANOFI SPONSORED ADR CMN	05-Apr-2010	12-Jul-2010	30	905.16	1,115.440	-210.28	98	Short-Term
SNY	SANOFI SPONSORED ADR CMN	05-Apr-2010	16-Jul-2010	37	1,144.42	1,375.710	-231.29	102	Short-Term
SNY	SANOFI SPONSORED ADR CMN	05-Apr-2010	05-Aug-2010	13	394.56	483.360	-88.80	122	Short-Term
SNY	SANOFI SPONSORED ADR CMN	05-Apr-2010	11-Aug-2010	28	820.50	1,041.080	-220.58	128	Short-Term
SNY	SANOFI SPONSORED ADR CMN	05-Apr-2010	03-Dec-2010	23	736.45	855.170	-118.72	242	Short-Term
SNY	SANOFI SPONSORED ADR CMN	03-May-2010	09-Dec-2010	21	683.92	712.080	-28.16	220	Short-Term
SNY	SANOFI SPONSORED ADR CMN	05-Apr-2010	09-Dec-2010	1	32.57	37.180	-4.61	248	Short-Term
SNY	SANOFI SPONSORED ADR CMN	03-May-2010	27-Jan-2011	2	70.64	67.820	2.83	269	Short-Term
SNY	SANOFI SPONSORED ADR CMN	21-Jun-2010	27-Jan-2011	28	989.00	857.920	131.08	220	Short-Term
SAYCY	SATYAM COMPUTER SERVICES LTD ADR CMN	05-Apr-2010	09-Apr-2010	128	693.77	680.670	13.10	4	Short-Term
SAYCY	SATYAM COMPUTER SERVICES LTD ADR CMN	05-Apr-2010	29-Jul-2010	194	968.18	1,031.640	-63.46	115	Short-Term
SAYCY	SATYAM COMPUTER SERVICES LTD ADR CMN	05-Apr-2010	30-Jul-2010	385	1,894.74	2,047.320	-152.58	116	Short-Term
SAYCY	SATYAM COMPUTER SERVICES LTD ADR CMN	05-Apr-2010	02-Aug-2010	95	468.92	505.180	-36.26	119	Short-Term
SAYCY	SATYAM COMPUTER SERVICES LTD ADR CMN	21-Jun-2010	02-Aug-2010	171	844.05	864.920	-20.87	42	Short-Term
SI	SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN	05-Apr-2010	10-Jun-2010	10	913.55	1,027.190	-113.64	66	Short-Term
SI	SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN	05-Apr-2010	23-Jun-2010	12	1,143.26	1,232.630	-89.37	79	Short-Term
SI	SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN	05-Apr-2010	29-Jun-2010	12	1,089.11	1,232.630	-143.52	85	Short-Term
SI	SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN	05-Apr-2010	30-Jun-2010	11	997.49	1,129.910	-132.42	86	Short-Term
SI	SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN	05-Apr-2010	01-Jul-2010	10	909.08	1,027.190	-118.11	87	Short-Term
SI	SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN	05-Apr-2010	01-Oct-2010	13	1,396.69	1,335.350	61.34	179	Short-Term
	SIEMENS AKTIENGESELLSCHAFT SPONSORED								Short-Term

STATEMENT A

SI	ADR CMN	05-Apr-2010	05-Oct-2010	18	1,908 96	1,848 950	60 01	183	Term
SI	SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN	21-Jun-2010	03-Dec-2010	5	597 88	484 800	113 08	165	Short-Term
SI	SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN	05-Apr-2010	03-Dec-2010	7	837 04	719 040	118 00	242	Short-Term
SI	SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN	21-Jun-2010	09-Dec-2010	24	2,851 44	2,327 040	524 40	171	Short-Term
SI	SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN	04-Oct-2010	09-Dec-2010	11	1,306 91	1,135 420	171 49	66	Short-Term
SI	SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN	04-Oct-2010	22-Dec-2010	20	2,462 41	2,064 400	398 01	79	Short-Term
SI	SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN	08-Nov-2010	22-Dec-2010	17	2,093 05	2,011 740	81 31	44	Short-Term
SPII	SILICONWARE PRECISION IND CO L SPONSORED ADR	16-Apr-2010	29-Jul-2010	170	832 10	1,117 960	-285.86	104	Short-Term
SOHU	SOHU COM INC CMN	05-Apr-2010	29-Apr-2010	34	1,645 27	1,829 200	-183 93	24	Short-Term
SOHU	SOHU.COM INC CMN	16-Apr-2010	21-May-2010	15	644 02	784.140	-140 13	35	Short-Term
SOHU	SOHU COM INC CMN	05-Apr-2010	21-May-2010	22	944 56	1,183 600	-239 05	46	Short-Term
SNE	SONY CORPORATION ADR CMN	05-Apr-2010	13-Apr-2010	27	986 50	1,039 620	-53 12	8	Short-Term
SNE	SONY CORPORATION ADR CMN	05-Apr-2010	18-May-2010	43	1,327 07	1,655.690	-328.62	43	Short-Term
SNE	SONY CORPORATION ADR CMN	05-Apr-2010	24-May-2010	32	1,012.34	1,232 140	-219 80	49	Short-Term
SNE	SONY CORPORATION ADR CMN	05-Apr-2010	25-May-2010	10	300 34	385 050	-84 71	50	Short-Term
SNE	SONY CORPORATION ADR CMN	05-Apr-2010	10-Jun-2010	16	448.60	616 070	-167 47	66	Short-Term
SSUMY	SUMITOMO CORPORATION SPONSORED ADR CMN	05-Apr-2010	30-Jun-2010	35	351 48	420 970	-69 49	86	Short-Term
SSUMY	SUMITOMO CORPORATION SPONSORED ADR CMN	05-Apr-2010	01-Jul-2010	41	416.01	493 140	-77 13	87	Short-Term
TI	TELECOM ITALIA SPA SPONSORED ADR CMN	28-Jul-2010	05-Nov-2010	221	3,197 26	2,872 270	324 99	100	Short-Term
TSCDY	TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN	09-Aug-2010	04-Nov-2010	58	1,198 23	1,126 650	71 58	87	Short-Term
TSCDY	TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN	06-Aug-2010	04-Nov-2010	6	123 96	114.970	8 99	90	Short-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	05-Apr-2010	10-Sep-2010	19	1,031 24	1,211 870	-180 63	158	Short-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	05-Apr-2010	01-Oct-2010	27	1,441 43	1,722 130	-280.70	179	Short-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	05-Apr-2010	05-Oct-2010	31	1,657.38	1,977 260	-319 88	183	Short-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	05-May-2010	05-Nov-2010	11	560.72	653 690	-92.97	184	Short-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	05-Apr-2010	05-Nov-2010	8	407 80	510 260	-102 46	214	Short-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	03-May-2010	05-Nov-2010	7	356 82	417 600	-60 78	186	Short-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	21-Jun-2010	22-Dec-2010	10	512 90	528 200	-15 30	184	Short-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	05-May-2010	22-Dec-2010	2	102 58	118 850	-16 27	231	Short-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	10-May-2010	22-Dec-2010	8	410 32	472 970	-62 65	226	Short-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	10-Jun-2010	22-Dec-2010	7	359 03	364 720	-5 69	195	Short-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	21-Jun-2010	04-Jan-2011	25	1,311.22	1,320 500	-9 28	197	Short-Term
	TOMKINS PLC SPONSORED ADR SPONSORED ADR CMN	05-Apr-2010	10-Jun-2010	44	635 68	645 080	-9 40	66	Short-Term
	TOMKINS PLC SPONSORED ADR SPONSORED ADR CMN	05-Apr-2010	15-Jun-2010	53	807 06	777 030	30 03	71	Short-Term
	TOMKINS PLC SPONSORED ADR SPONSORED ADR CMN	05-Apr-2010	23-Jun-2010	212	3,128 42	3,108 110	20 31	79	Short-Term
	TOMKINS PLC SPONSORED ADR SPONSORED ADR CMN	05-Apr-2010	29-Jun-2010	19	261 28	278 560	-17.28	85	Short-Term
	TOMKINS PLC SPONSORED ADR SPONSORED ADR CMN	05-Apr-2010	30-Jun-2010	50	672 77	733 050	-60 28	86	Short-Term
	TOMKINS PLC SPONSORED ADR SPONSORED ADR CMN	30-Apr-2010	01-Jul-2010	14	186 63	214 440	-27 82	62	Short-Term
	TOMKINS PLC SPONSORED ADR SPONSORED ADR CMN	05-Apr-2010	01-Jul-2010	14	186 63	205 250	-18 63	87	Short-Term
	TOMKINS PLC SPONSORED ADR SPONSORED ADR CMN	21-Jun-2010	02-Jul-2010	130	1,751 11	1,985 100	-233 99	11	Short-Term
	TOMKINS PLC SPONSORED ADR SPONSORED ADR CMN	30-Apr-2010	02-Jul-2010	61	821.67	934 350	-112 68	63	Short-Term

STATEMENT A

TOT	TOTAL SA SPONSORED ADR CMN	05-Apr-2010	07-May-2010	76	3,671.19	4,562.390	-891.20	32	Short-Term
RIG	TRANSOCEAN LTD CMN	05-Apr-2010	26-Apr-2010	5	444.89	445.940	-1.05	21	Short-Term
RIG	TRANSOCEAN LTD CMN	05-Apr-2010	29-Apr-2010	39	3,062.15	3,478.350	-416.20	24	Short-Term
RIG	TRANSOCEAN LTD CMN	13-Apr-2010	05-Aug-2010	7	399.08	592.170	-193.09	114	Short-Term
RIG	TRANSOCEAN LTD CMN	05-Apr-2010	05-Aug-2010	38	2,166.46	3,389.160	-1,222.71	122	Short-Term
RIG	TRANSOCEAN LTD CMN	21-Jun-2010	05-Aug-2010	11	627.13	595.210	31.92	45	Short-Term
VALEP	VALE SA ADR SERIES A	05-Apr-2010	09-Apr-2010	19	546.97	540.400	6.57	4	Short-Term
VALEP	VALE SA ADR SERIES A	05-Apr-2010	13-Apr-2010	34	979.31	967.040	12.27	8	Short-Term
VALEP	VALE SA ADR SERIES A	05-Apr-2010	26-Apr-2010	32	906.50	910.150	-3.65	21	Short-Term
VALEP	VALE SA ADR SERIES A	05-Apr-2010	05-May-2010	130	3,161.37	3,697.500	-536.13	30	Short-Term
VCISY	VINCI S A ADR CMN	05-Apr-2010	06-Apr-2010	45	666.95	678.020	-11.07	1	Short-Term
VCISY	VINCI S A ADR CMN	05-Apr-2010	05-May-2010	18	226.45	271.210	-44.76	30	Short-Term
VCISY	VINCI S A ADR CMN	05-Apr-2010	23-Jun-2010	98	1,121.38	1,476.590	-355.21	79	Short-Term
VCISY	VINCI S A ADR CMN	05-Apr-2010	24-Jun-2010	12	135.95	180.810	-44.86	80	Short-Term
VCISY	VINCI S A ADR CMN	05-Apr-2010	25-Jun-2010	80	875.00	1,205.380	-330.38	81	Short-Term
VOD	VODAFONE GROUP PLC SPONSORED ADR CMN	05-Apr-2010	11-Aug-2010	27	638.96	635.040	3.92	128	Short-Term
VOD	VODAFONE GROUP PLC SPONSORED ADR CMN	05-Apr-2010	05-Nov-2010	82	2,312.18	1,928.640	383.54	214	Short-Term
WPPGY	WPP PLC ADR CMN	29-Apr-2010	05-Oct-2010	3	167.12	159.220	7.91	159	Short-Term
WPPGY	WPP PLC ADR CMN	27-Apr-2010	05-Oct-2010	31	1,726.94	1,684.530	42.41	161	Short-Term
WPPGY	WPP PLC ADR CMN	29-Apr-2010	27-Oct-2010	19	1,082.36	1,008.380	73.98	181	Short-Term
WPPGY	WPP PLC ADR CMN	05-May-2010	27-Oct-2010	6	341.80	303.840	37.96	175	Short-Term
WPPGY	WPP PLC ADR CMN	30-Apr-2010	27-Oct-2010	5	284.83	268.440	16.39	180	Short-Term
XSRAY	XSTRATA PLC ADR CMN	09-Apr-2010	10-Jun-2010	226	653.53	905.860	-252.33	62	Short-Term
HOGS	ZHONGPIN INC CMN	05-Apr-2010	11-Aug-2010	60	865.22	776.870	88.35	128	Short-Term
HOGS	ZHONGPIN INC. CMN	05-Apr-2010	27-Oct-2010	87	1,714.97	1,126.460	588.51	205	Short-Term
HOGS	ZHONGPIN INC CMN	05-Apr-2010	04-Nov-2010	52	1,202.69	673.290	529.41	213	Short-Term
HOGS	ZHONGPIN INC CMN	10-Jun-2010	04-Nov-2010	2	46.26	22.150	24.11	147	Short-Term
HOGS	ZHONGPIN INC CMN	10-Jun-2010	17-Nov-2010	18	347.38	199.350	148.04	160	Short-Term
HOGS	ZHONGPIN INC CMN	04-Oct-2010	18-Nov-2010	9	174.87	152.100	22.77	45	Short-Term
HOGS	ZHONGPIN INC CMN	10-Jun-2010	18-Nov-2010	14	272.01	155.050	116.97	161	Short-Term
HOGS	ZHONGPIN INC CMN	21-Jun-2010	18-Nov-2010	74	1,437.78	1,002.700	435.08	150	Short-Term
HOGS	ZHONGPIN INC CMN	04-Oct-2010	19-Nov-2010	9	173.37	152.100	21.27	46	Short-Term
HOGS	ZHONGPIN INC CMN	04-Oct-2010	22-Nov-2010	22	416.61	371.800	44.81	49	Short-Term
HOGS	ZHONGPIN INC CMN	04-Oct-2010	23-Nov-2010	93	1,675.53	1,571.700	103.83	50	Short-Term
ZFSVY	ZURICH FINANCIAL SERVICES SPONSORED ADR CMN	05-Apr-2010	15-Sep-2010	34	812.28	833.700	-21.42	163	Short-Term
ZFSVY	ZURICH FINANCIAL SERVICES SPONSORED ADR CMN	05-Apr-2010	16-Sep-2010	11	260.04	269.730	-9.69	164	Short-Term
ZFSVY	ZURICH FINANCIAL SERVICES SPONSORED ADR CMN	05-Apr-2010	20-Sep-2010	42	991.49	1,029.870	-38.38	168	Short-Term
ZFSVY	ZURICH FINANCIAL SERVICES SPONSORED ADR CMN	21-Jun-2010	19-Nov-2010	48	1,139.98	1,101.120	38.87	151	Short-Term
ZFSVY	ZURICH FINANCIAL SERVICES SPONSORED ADR CMN	04-Oct-2010	19-Nov-2010	101	2,398.72	2,383.600	15.12	46	Short-Term

< [Previous](#) Page 4 of 5 [Next](#) > Go to page: [99](#)

STATEMENT A



PRIVATE WEALTH MANAGEMENT

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Makray Fam Fdn PAUL Lord, Abbett & Co 020-57426-5

1099 revisions Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline. Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March. If you are issued a revised Form 1099 it will be posted separately from your original Form 1099. Please note that we will only furnish the IRS with the final version of your 1099s. If you have any questions, please contact your Private Wealth Management team.

Separate Account Strategy : Lord, Abbett & Co: Non-US Equity
Base Currency :USD

The Tax Center contains the following information about your account(s) if applicable.

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Realized Gains/Losses
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From Date 01-Feb-2010

To Date 31-Jan-2011

4 Search By Symbol/CUSIP.

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Gain Type	Gains	Losses	Disallowed	Total
Short-Term	18,386.95	-32,973.97	0.00	-14,587.02
Long-Term	0.00	0.00	0.00	0.00
Total Realized Gains/Losses:	18,386.95	-32,973.97	0.00	-14,587.02

< [Previous](#) Page 5 of 5 Go to page:

Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
ZFSVY	ZURICH FINANCIAL SERVICES SPONSORED ADR CMN	05-Apr-2010	19-Nov-2010	143	3,396.21	3,506.450	-110.24	228	Short-Term
TOTAL					382,743.84		-14,587.02		

< [Previous](#) Page 5 of 5 Go to page:

- (i) This position is a gifted security
(ii) This position is an inherited security
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Prepared for Carole J. Walther
Tue 29 Nov 2011 4:28 PM EST

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STATEMENT A



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Makray Fam Fdn PAUL Neuberger Berman:SCV 020-57428-1

1099 revisions Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline. Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March. If you are issued a revised Form 1099 it will be posted separately from your original Form 1099. Please note that we will only furnish the IRS with the final version of your 1099s. If you have any questions, please contact your Private Wealth Management team.

Separate Account Strategy : Neuberger Berman (The Greene Group): Small Cap Value

Base Currency :USD

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2 Choose An Existing View

Realized Gains/Losses

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Choose a Date Range

From Date: 01-Feb-2010

To Date: 31-Jan-2011

4 Search By Symbol/CUSIP.

Include Options ☒

Gain Type	Gains	Losses	Disallowed	Total
<u>Short-Term</u>	8,848.16	-1,140.45	0.00	7,707.71
<u>Long-Term</u>	0.00	0.00	0.00	0.00
Total Realized Gains/Losses:	8,848.16	-1,140.45	0.00	7,707.71

Realized Gains/Losses

Symbol	Description [A]	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
AAP	ADVANCED AUTO PARTS INC CMN	05-Apr-2010	28-Apr-2010	13	593.12	554.840	38.28	23	Short-Term
AAP	ADVANCED AUTO PARTS INC CMN	05-Apr-2010	27-May-2010	9	460.88	384.120	76.76	52	Short-Term
AAP	ADVANCED AUTO PARTS INC CMN	05-Apr-2010	11-Nov-2010	9	607.44	384.120	223.32	220	Short-Term
	ALLEGHENY ENERGY, INC, CMN	05-Apr-2010	16-Apr-2010	47	1,047.78	1,086.170	-38.39	11	Short-Term
ALE	ALLETE INC. CMN	05-Apr-2010	20-Apr-2010	7	246.98	240.240	6.74	15	Short-Term
ALE	ALLETE INC CMN	05-Apr-2010	21-Apr-2010	14	495.98	480.480	15.50	16	Short-Term
ARMH	ARM HOLDINGS PLC SPON ADR SPONSORED ADR CMN	05-Apr-2010	22-Apr-2010	28	332.97	312.710	20.26	17	Short-Term
ARMH	ARM HOLDINGS PLC SPON ADR SPONSORED ADR CMN	05-Apr-2010	28-Apr-2010	21	239.74	234.530	5.21	23	Short-Term
ARMH	ARM HOLDINGS PLC SPON ADR SPONSORED ADR CMN	05-Apr-2010	06-May-2010	45	491.17	502.570	-11.40	31	Short-Term
	BECKMAN COULTER, INC CMN	28-Apr-2010	18-Jan-2011	1	71.91	58.130	13.79	265	Short-Term
	BECKMAN COULTER, INC CMN	05-Apr-2010	18-Jan-2011	18	1,294.46	1,126.900	167.56	288	Short-Term
BRKR	BRUKER CORPORATION CMN	05-Apr-2010	28-Apr-2010	35	527.97	520.100	7.87	23	Short-Term
BRKR	BRUKER CORPORATION CMN	05-Apr-2010	07-Jun-2010	34	415.00	505.240	-90.24	63	Short-Term
CDNS	CADENCE DESIGN SYSTEMS INC CMN	05-Apr-2010	28-May-2010	25	167.60	174.000	-6.40	53	Short-Term
CNP	CENTERPOINT ENERGY, INC CMN	05-Apr-2010	13-Sep-2010	55	836.27	803.990	32.28	161	Short-Term
CNP	CENTERPOINT ENERGY, INC CMN	05-Apr-2010	16-Sep-2010	46	696.77	672.420	24.35	164	Short-Term
CRL	CHARLES RIV LABS INTL INC CMN	05-Apr-2010	28-May-2010	10	334.15	401.320	-67.17	53	Short-Term
CRL	CHARLES RIV LABS INTL INC CMN	05-Apr-2010	29-Dec-2010	21	749.68	842.770	-93.09	268	Short-Term
CRL	CHARLES RIV LABS INTL INC CMN	05-Apr-2010	24-Jan-2011	29	1,079.26	1,163.820	-84.56	294	Short-Term
CMS	CMS ENERGY CORPORATION CMN	05-Apr-2010	29-Dec-2010	27	506.34	426.330	80.01	268	Short-Term
CMS	CMS ENERGY CORPORATION CMN	05-Apr-2010	21-Jan-2011	33	632.17	521.070	111.10	291	Short-Term
CMS	CMS ENERGY CORPORATION CMN	04-Oct-2010	21-Jan-2011	59	1,130.24	1,069.670	60.57	109	Short-Term

Short-

	COGENT, INC CMN	23-Apr-2010	30-Aug-2010	58	620 13	606 530	13 61	129	Term
	COGENT, INC CMN	23-Apr-2010	01-Sep-2010	17	188 83	177 780	11 06	131	Short-Term
	COGENT, INC CMN	06-May-2010	01-Sep-2010	25	277 70	231 550	46 15	118	Short-Term
CGNX	COGNEX CORP CMN	05-Apr-2010	22-Apr-2010	12	242 84	226 200	16 64	17	Short-Term
CGNX	COGNEX CORP CMN	05-Apr-2010	07-May-2010	26	517 52	490 100	27 42	32	Short-Term
CMVT	COMVERSE TECHNOLOGY INC (NEW) CMN	05-Apr-2010	16-Apr-2010	26	232 78	220 480	12 30	11	Short-Term
STZ	CONSTELLATION BRANDS INC CMN CLASS A	05-Apr-2010	29-Dec-2010	63	1,405 46	1,050 190	355 27	268	Short-Term
COO	COOPER COMPANIES INC (NEW) CMN	05-Apr-2010	13-Sep-2010	10	452 87	391 900	60 97	161	Short-Term
COO	COOPER COMPANIES INC (NEW) CMN	05-Apr-2010	18-Oct-2010	22	1,112 66	862 180	250 48	196	Short-Term
COO	COOPER COMPANIES INC (NEW) CMN	05-Apr-2010	01-Dec-2010	22	1,195 87	862 180	333 69	240	Short-Term
COO	COOPER COMPANIES INC (NEW) CMN	04-Oct-2010	29-Dec-2010	6	339 66	276 060	63.60	86	Short-Term
COO	COOPER COMPANIES INC (NEW) CMN	05-Apr-2010	29-Dec-2010	2	113 22	78 380	34 84	268	Short-Term
CCK	CROWN HOLDINGS INC CMN	05-Apr-2010	03-Nov-2010	15	476 09	417 990	58 10	212	Short-Term
CCK	CROWN HOLDINGS INC CMN	05-Apr-2010	29-Dec-2010	8	269.38	222 930	46.45	268	Short-Term
CYT	CYTEC INDS INC COMMON STOCK	05-Apr-2010	14-Apr-2010	16	794 87	786 240	8 63	9	Short-Term
CYT	CYTEC INDS INC COMMON STOCK	05-Apr-2010	17-May-2010	20	863 43	982 800	-119 37	42	Short-Term
FICO	FAIR ISAAC INC CMN	05-Apr-2010	11-Nov-2010	30	725 85	780 880	-55 03	220	Short-Term
FAF	FIRST AMERICAN FIN CORP CMN	05-Apr-2010	11-Nov-2010	62	879 62	902.950	-23.32	220	Short-Term
FAF	FIRST AMERICAN FIN CORP CMN	04-Oct-2010	11-Nov-2010	65	922 19	915 200	6 99	38	Short-Term
	GENOPTIX, INC CMN	02-Jul-2010	24-Jan-2011	15	372 44	258 000	114 44	206	Short-Term
	GENOPTIX, INC CMN	06-Jul-2010	24-Jan-2011	10	248 30	173.790	74 51	202	Short-Term
	GENOPTIX, INC CMN	21-Sep-2010	24-Jan-2011	9	223 47	131 370	92 10	125	Short-Term
	GENOPTIX, INC CMN	04-Oct-2010	24-Jan-2011	35	869 73	498 400	371 33	112	Short-Term
	GENOPTIX, INC CMN	04-Oct-2010	24-Jan-2011	1	24 83	14 240	10 59	112	Short-Term
ISIL	INTERSIL CORP CMN CLASS A	05-Apr-2010	28-May-2010	13	171 44	199 890	-28 45	53	Short-Term
KSU	KANSAS CITY SOUTHERN CMN	05-Apr-2010	14-May-2010	22	852 00	810 780	41 22	39	Short-Term
	LADISH COMPANY INC CMN	04-Oct-2010	24-Nov-2010	23	1,076 20	711 620	364 58	51	Short-Term
	LADISH COMPANY INC CMN	05-Apr-2010	17-Nov-2010	52	2,363 87	1,109 010	1,254 86	226	Short-Term
	LADISH COMPANY INC CMN	04-Oct-2010	17-Nov-2010	12	545.51	371 280	174 23	44	Short-Term
	LADISH COMPANY INC CMN	04-Oct-2010	23-Nov-2010	22	1,017 49	680 680	336 81	50	Short-Term
MRCY	MERCURY COMPUTER SYSTEMS INC CMN	05-Apr-2010	29-Apr-2010	17	226.26	236 970	-10 71	24	Short-Term
MTSC	MTS SYSTEMS CORP CMN	05-Apr-2010	10-Dec-2010	15	569 02	438 000	131 02	249	Short-Term
MTSC	MTS SYSTEMS CORP CMN	04-Oct-2010	10-Dec-2010	16	606 96	487 360	119 60	67	Short-Term
NAV	NAVISTAR INTL CORP (NEW) CMN	05-Apr-2010	27-May-2010	4	219 53	189.820	29 71	52	Short-Term
	NBTY, INC CMN	28-Apr-2010	19-Jul-2010	21	1,122 75	809 200	313 55	82	Short-Term
	NBTY, INC CMN	11-May-2010	12-Aug-2010	3	161 98	105 300	56 68	93	Short-Term
	NBTY, INC CMN	28-Apr-2010	12-Aug-2010	6	323 96	231 200	92 76	106	Short-Term
	NBTY, INC CMN	11-May-2010	20-Aug-2010	4	215 97	140 400	75 57	101	Short-Term
	NBTY, INC CMN	13-May-2010	20-Aug-2010	4	215 97	135 020	80 94	99	Short-Term
PLL	PALL CORP CMN	05-Apr-2010	31-Jan-2011	18	967 22	727 340	239 88	301	Short-Term
PRXL	PAREXEL INTERNATIONAL CORP CMN	05-Apr-2010	26-Apr-2010	11	266 70	270 690	-3 99	21	Short-Term

PRXL	PAREXEL INTERNATIONAL CORP CMN	05-Apr-2010	28-Apr-2010	32	799.47	787.460	12.01	23	Short-Term
POM	PEPCO HOLDINGS INC CMN	05-Apr-2010	23-Apr-2010	45	743.94	787.950	-44.01	18	Short-Term
PMC	PHARMERICA CORPORATION CMN	05-Apr-2010	14-Jun-2010	28	414.17	532.280	-118.11	70	Short-Term
PMC	PHARMERICA CORPORATION CMN	05-Apr-2010	15-Jun-2010	11	162.24	209.110	-46.87	71	Short-Term
RDWR	RADWARE LTD CMN	05-Apr-2010	23-Apr-2010	13	293.31	302.150	-8.84	18	Short-Term
RDWR	RADWARE LTD CMN	05-Apr-2010	14-Sep-2010	7	269.69	162.700	106.99	162	Short-Term
RDWR	RADWARE LTD CMN	05-Apr-2010	14-Sep-2010	8	304.97	185.940	119.03	162	Short-Term
RDWR	RADWARE LTD CMN	05-Apr-2010	15-Sep-2010	5	178.60	116.210	62.39	163	Short-Term
RDWR	RADWARE LTD CMN	05-Apr-2010	20-Sep-2010	6	219.64	139.460	80.19	168	Short-Term
RDWR	RADWARE LTD CMN	05-Apr-2010	29-Nov-2010	16	507.03	371.880	135.15	238	Short-Term
RDWR	RADWARE LTD CMN	05-Apr-2010	30-Nov-2010	4	124.04	92.970	31.07	239	Short-Term
RDWR	RADWARE LTD CMN	04-Oct-2010	30-Nov-2010	34	1,054.32	1,151.920	-97.60	57	Short-Term
R	RYDER SYSTEM INC CMN	05-Apr-2010	19-Jan-2011	9	455.59	356.220	99.37	289	Short-Term
SCHL	SCHOLASTIC CORPORATION CMN	05-Apr-2010	21-Oct-2010	11	323.89	288.700	35.19	199	Short-Term
SCHL	SCHOLASTIC CORPORATION CMN	05-Apr-2010	22-Oct-2010	11	322.96	288.700	34.26	200	Short-Term
SCHL	SCHOLASTIC CORPORATION CMN	05-Apr-2010	29-Oct-2010	25	730.82	656.140	74.68	207	Short-Term
SCHL	SCHOLASTIC CORPORATION CMN	04-Oct-2010	29-Oct-2010	43	1,257.00	1,194.970	62.03	25	Short-Term
SWKS	SKYWORKS SOLUTIONS INC CMN	05-Apr-2010	28-Apr-2010	31	488.93	494.760	-5.83	23	Short-Term
SWKS	SKYWORKS SOLUTIONS INC CMN	05-Apr-2010	30-Apr-2010	33	572.75	526.680	46.07	25	Short-Term
SLM	SLM CORPORATION CMN	05-Apr-2010	21-Jan-2011	107	1,512.53	1,364.850	147.68	291	Short-Term
SUG	SOUTHERN UNION CO (NEW) CMN	05-Apr-2010	29-Apr-2010	16	417.37	421.230	-3.86	24	Short-Term
TECUA	TECUMSEH PRODUCTS CO CL-A CMN CLASS A	05-Apr-2010	22-Sep-2010	25	293.74	320.260	-26.52	170	Short-Term
TECUA	TECUMSEH PRODUCTS CO CL-A CMN CLASS A	05-Apr-2010	20-Sep-2010	11	128.33	140.920	-12.59	168	Short-Term
TECUA	TECUMSEH PRODUCTS CO CL-A CMN CLASS A	05-Apr-2010	21-Sep-2010	9	105.30	115.290	-9.99	169	Short-Term
TECUA	TECUMSEH PRODUCTS CO CL-A CMN CLASS A	05-Apr-2010	23-Sep-2010	4	46.41	51.240	-4.83	171	Short-Term
TECUA	TECUMSEH PRODUCTS CO CL-A CMN CLASS A	05-Apr-2010	24-Sep-2010	36	426.56	461.180	-34.62	172	Short-Term
TKLC	TEKELEC CMN	05-Apr-2010	21-Apr-2010	14	273.12	259.560	13.56	16	Short-Term
TXT	TEXTRON INC DEL CMN	05-Apr-2010	28-Apr-2010	15	355.09	318.460	36.63	23	Short-Term
TXT	TEXTRON INC DEL CMN	05-Apr-2010	31-Jan-2011	19	498.28	403.380	94.90	301	Short-Term
TIBX	TIBCO SOFTWARE INC CMN	05-Apr-2010	21-Oct-2010	76	1,368.68	837.140	531.54	199	Short-Term
TIBX	TIBCO SOFTWARE INC CMN	05-Apr-2010	11-Nov-2010	57	1,076.77	627.860	448.92	220	Short-Term
TIBX	TIBCO SOFTWARE INC CMN	04-Oct-2010	11-Nov-2010	34	642.29	594.320	47.97	38	Short-Term
	UTSTARCOM INC CMN	05-Apr-2010	12-May-2010	168	390.83	485.490	-94.66	37	Short-Term
	VERIGY LTD ORD CMN	13-Oct-2010	23-Dec-2010	76	1,011.61	616.670	394.94	71	Short-Term
WRB	W R BERKLEY CORPORATION CMN	05-Apr-2010	14-May-2010	33	889.06	859.650	29.41	39	Short-Term
TOTAL					55,909.78		7,707.71		

(i) This position is a gifted security

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1099 revisions Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline. Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March. If you are issued a revised Form 1099 it will be posted separately from your original Form 1099. Please note that we will only furnish the IRS with the final version of your 1099s. If you have any questions, please contact your Private Wealth Management team.

Separate Account Strategy : Yacktmann: Dynamic Equity

Base Currency :USD

The Tax Center contains the following information about your account(s) if applicable:

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4 Search By Symbol/CUSIP

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Gain Type	Gains	Losses	Disallowed	Total
Short-Term	8,805.53	-1,728.49	0.00	7,077.04
Long-Term	0.00	0.00	0.00	0.00
Total Realized Gains/Losses:	8,805.53	-1,728.49	0.00	7,077.04

Realized Gains/Losses

Symbol	Description (A)	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
0416C2	AMERICREDIT CORP CMN	05-Apr-2010	22-Jul-2010	415	9,948.09	10,051.300	-103.21	108	Short-Term
CLX	CLOROX CO (THE) (DELAWARE) CMN	05-Apr-2010	17-Dec-2010	230	14,356.86	14,684.840	-327.98	256	Short-Term
KO	COCA-COLA COMPANY (THE) CMN	05-Apr-2010	17-Dec-2010	170	11,098.87	9,410.010	1,688.86	256	Short-Term
KO	COCA-COLA COMPANY (THE) CMN	05-Apr-2010	26-Jan-2011	10	629.10	553.530	75.57	296	Short-Term
CMCSK	COMCAST CORPORATION CMN CLASS A NON VOTING	05-Apr-2010	27-Oct-2010	385	7,323.07	6,869.480	453.59	205	Short-Term
CMCSK	COMCAST CORPORATION CMN CLASS A NON VOTING	05-Apr-2010	26-Jan-2011	325	7,156.13	5,798.910	1,357.22	296	Short-Term
EBAY	EBAY INC CMN	05-Apr-2010	27-Oct-2010	185	5,374.92	4,954.300	420.62	205	Short-Term
EBAY	EBAY INC CMN	04-Oct-2010	27-Oct-2010	65	1,888.48	1,583.870	304.61	23	Short-Term
EBAY	EBAY INC CMN	21-Jun-2010	27-Oct-2010	75	2,179.02	1,684.500	494.52	128	Short-Term
LANC	LANCASTER COLONY CORP CMN	05-Apr-2010	03-May-2010	65	3,569.57	3,829.020	-259.45	28	Short-Term
LANC	LANCASTER COLONY CORP CMN	05-Apr-2010	10-Dec-2010	95	5,285.06	5,596.260	-311.20	249	Short-Term
NWSA	NEWS CORPORATION CMN CLASS A	05-Apr-2010	16-Apr-2010	110	1,755.09	1,599.960	155.13	11	Short-Term
NWSA	NEWS CORPORATION CMN CLASS A	05-Apr-2010	05-Aug-2010	375	5,507.49	5,454.410	53.08	122	Short-Term
NWSA	NEWS CORPORATION CMN CLASS A	05-Apr-2010	20-Oct-2010	105	1,523.74	1,527.240	-3.50	198	Short-Term
PFE	PFIZER INC CMN	05-Apr-2010	26-Jan-2011	430	7,974.84	7,313.400	661.44	296	Short-Term
PG	PROCTER & GAMBLE COMPANY (THE) CMN	05-Apr-2010	31-Aug-2010	185	10,996.60	11,719.750	-723.15	148	Short-Term
UNH	UNITEDHEALTH GROUP INCORPORATE CMN	05-Apr-2010	26-Jan-2011	240	9,689.69	7,893.600	1,796.09	296	Short-Term
VIAB	VIACOM INC CMN CLASS B	05-Apr-2010	03-Dec-2010	265	10,541.73	9,196.930	1,344.80	242	Short-Term
TOTAL					116,798.35		7,077.04		

(i) This position is a gifted security

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Separate Account Strategy : GSAM: Large Cap Growth (Concentrated)**Base Currency :USD**

The Tax Center contains the following information about your account(s) if applicable

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2 Choose An Existing View

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4 Search By Symbol/CUSIP

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Gain Type	Gains	Losses	Disallowed	Total
<u>Short-Term</u>	34,083.40	-44,115.43	0.00	-10,032.03
<u>Long-Term</u>	0.00	0.00	0.00	0.00
Total Realized Gains/Losses:	34,083.40	-44,115.43	0.00	-10,032.03

Realized Gains/Losses

Symbol	Description (A)	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
ALTR	ALTERA CORP CMN	01-Mar-2010	30-Sep-2010	612	18,583.90	15,220.070	3,363.83	213	Short-Term
ALTR	ALTERA CORP CMN	03-Jun-2010	30-Sep-2010	76	2,307.80	1,836.160	471.64	119	Short-Term
AMT	AMERICAN TOWER CORPORATION CMN CLASS A	05-Feb-2010	04-Oct-2010	134	6,828.69	5,393.590	1,435.10	241	Short-Term
AAPL	APPLE, INC CMN	05-Feb-2010	21-Jul-2010	43	11,137.44	8,325.960	2,811.48	166	Short-Term
AVP	AVON PRODUCTS INC CMN	07-May-2010	12-Oct-2010	128	4,357.13	3,667.010	690.12	158	Short-Term
BAX	BAXTER INTERNATIONAL INC CMN	05-Feb-2010	15-Oct-2010	143	7,025.07	8,113.820	-1,088.75	252	Short-Term
BAX	BAXTER INTERNATIONAL INC CMN	05-Feb-2010	25-Jan-2011	178	8,986.79	10,099.720	-1,112.93	354	Short-Term
BIIB	BIOGEN IDEC INC CMN	05-Feb-2010	06-Jul-2010	116	5,676.08	6,160.590	-484.51	151	Short-Term
BIIB	BIOGEN IDEC INC CMN	16-Feb-2010	05-Nov-2010	48	2,977.24	2,641.440	335.80	262	Short-Term
BIIB	BIOGEN IDEC INC CMN	05-Feb-2010	05-Nov-2010	219	13,583.64	11,630.780	1,952.87	273	Short-Term
BIIB	BIOGEN IDEC INC CMN	03-Jun-2010	05-Nov-2010	54	3,349.39	2,641.680	707.71	155	Short-Term
BRCM	BROADCOM CORP CL-A CMN CLASS A	05-Feb-2010	04-Jun-2010	310	10,445.67	8,897.190	1,548.48	119	Short-Term
BRCM	BROADCOM CORP CL-A CMN CLASS A	05-Feb-2010	21-Jun-2010	235	8,338.88	6,744.640	1,594.24	136	Short-Term
BRCM	BROADCOM CORP CL-A CMN CLASS A	05-Feb-2010	02-Aug-2010	89	3,243.33	2,554.350	688.98	178	Short-Term
	CB RICHARD ELLIS GROUP, INC. CMN CLASS A	05-Feb-2010	25-Mar-2010	337	4,847.62	4,192.080	655.54	48	Short-Term
	CB RICHARD ELLIS GROUP, INC CMN CLASS A	05-Feb-2010	07-Apr-2010	227	3,692.90	2,823.750	869.16	61	Short-Term
	CB RICHARD ELLIS GROUP, INC CMN CLASS A	05-Feb-2010	14-Oct-2010	328	6,357.71	4,080.130	2,277.59	251	Short-Term
SCHW	CHARLES SCHWAB CORPORATION CMN	05-Feb-2010	14-Apr-2010	170	3,288.31	3,055.030	233.28	68	Short-Term
EQIX	EQUINIX INC CMN	05-Feb-2010	06-Oct-2010	69	4,852.55	6,346.070	-1,493.52	243	Short-Term
ESRX	EXPRESS SCRIPTS COMMON CMN	05-Feb-2010	13-May-2010	30	3,121.27	2,497.840	623.43	97	Short-Term
ESRX	EXPRESS SCRIPTS COMMON CMN	05-Feb-2010	26-Jul-2010	316	13,602.30	13,155.300	447.00	171	Short-Term
ESRX	EXPRESS SCRIPTS COMMON CMN	16-Feb-2010	26-Jul-2010	54	2,324.44	2,322.810	1.63	160	Short-Term
									Short-Term

ESRX	EXPRESS SCRIPTS COMMON CMN	03-Jun-2010	26-Jul-2010	52	2,238 35	2,694 900	-456 55	53	Term
HAL	HALLIBURTON COMPANY CMN	05-Feb-2010	04-Nov-2010	195	6,326 44	5,499 230	827 21	272	Short-Term
HAL	HALLIBURTON COMPANY CMN	05-Feb-2010	29-Dec-2010	68	2,729 91	1,917 680	812 23	327	Short-Term
HAL	HALLIBURTON COMPANY CMN	05-Feb-2010	25-Jan-2011	171	6,885 17	4,822 400	2,062 77	354	Short-Term
HAL	HALLIBURTON COMPANY CMN	05-Feb-2010	27-Jan-2011	115	5,015 46	3,243 130	1,772 33	356	Short-Term
JNJ	JOHNSON & JOHNSON CMN	05-Feb-2010	12-Apr-2010	22	1,430 16	1,375 440	54 72	66	Short-Term
JNJ	JOHNSON & JOHNSON CMN	05-Feb-2010	10-Dec-2010	141	8,777 22	8,815 320	-38 10	308	Short-Term
LOW	LOWES COMPANIES INC CMN	05-Feb-2010	12-Apr-2010	63	1,601 66	1,367 310	234 35	66	Short-Term
MSFT	MICROSOFT CORPORATION CMN	05-Feb-2010	12-Apr-2010	160	4,855 02	4,464 000	391 02	66	Short-Term
MSFT	MICROSOFT CORPORATION CMN	05-Feb-2010	03-Sep-2010	373	9,056 86	10,406.700	-1,349.84	210	Short-Term
MSFT	MICROSOFT CORPORATION CMN	05-Feb-2010	25-Jan-2011	238	6,746 12	6,640 200	105 92	354	Short-Term
MSFT	MICROSOFT CORPORATION CMN	05-Feb-2010	27-Jan-2011	169	4,876 03	4,715 100	160 93	356	Short-Term
OXY	OCCIDENTAL PETROLEUM CORP CMN	05-Feb-2010	02-Jun-2010	24	1,935 25	1,812 120	123 13	117	Short-Term
OXY	OCCIDENTAL PETROLEUM CORP CMN	05-Feb-2010	10-Dec-2010	72	6,681 24	5,436.350	1,244 89	308	Short-Term
ORCL	ORACLE CORPORATION CMN	05-Feb-2010	28-Jun-2010	269	6,064 59	6,315 310	-250 72	143	Short-Term
PBCT	PEOPLES UNITED FINANCIAL INC CMN	05-Feb-2010	07-Apr-2010	704	11,176 98	10,713 340	463 64	61	Short-Term
PBCT	PEOPLES UNITED FINANCIAL INC CMN	16-Feb-2010	07-Apr-2010	103	1,635 27	1,617.100	18 17	50	Short-Term
PG	PROCTER & GAMBLE COMPANY (THE) CMN	05-Feb-2010	14-Apr-2010	47	2,956 95	2,872 230	84 72	68	Short-Term
PG	PROCTER & GAMBLE COMPANY (THE) CMN	05-Feb-2010	22-Jun-2010	120	7,342 05	7,333 360	8 69	137	Short-Term
PG	PROCTER & GAMBLE COMPANY (THE) CMN	05-Feb-2010	04-Aug-2010	84	5,054 65	5,133 350	-78 70	180	Short-Term
SLB	SCHLUMBERGER LTD CMN	05-Feb-2010	29-Dec-2010	39	3,229 37	2,393.940	835 43	327	Short-Term
SPY	SPDR S&P 500 ETF TRUST	22-Dec-2009	05-Feb-2010	3,900	413,205.18	435,630 000	-22,424 82	45	Short-Term
SPY	SPDR S&P 500 ETF TRUST	08-Dec-2009	05-Feb-2010	4,000	423,800 18	438,920.000	-15,119 82	59	Short-Term
STJ	ST JUDE MEDICAL INC CMN	05-Feb-2010	14-Apr-2010	51	2,153 72	1,923 090	230 63	68	Short-Term
SU	SUNCOR ENERGY INC CMN	05-Feb-2010	24-Feb-2010	402	11,765 53	11,781 810	-16 28	19	Short-Term
SU	SUNCOR ENERGY INC CMN	16-Feb-2010	24-Feb-2010	58	1,697 51	1,728.400	-30 89	8	Short-Term
TGT	TARGET CORPORATION CMN	05-Feb-2010	12-Apr-2010	28	1,563 17	1,371 160	192 01	66	Short-Term
TGT	TARGET CORPORATION CMN	05-Feb-2010	02-Jun-2010	23	1,235 81	1,126.310	109 50	117	Short-Term
TGT	TARGET CORPORATION CMN	16-Feb-2010	02-Aug-2010	52	2,685 95	2,574 000	111 95	167	Short-Term
TGT	TARGET CORPORATION CMN	05-Feb-2010	02-Aug-2010	307	15,857 42	15,033 790	823 63	178	Short-Term
TGT	TARGET CORPORATION CMN	03-Jun-2010	02-Aug-2010	46	2,376 03	2,518 960	-142 93	60	Short-Term
TMO	THERMO FISHER SCIENTIFIC INC CMN	05-Feb-2010	02-Jun-2010	37	1,881 95	1,731 230	150 72	117	Short-Term
TMO	THERMO FISHER SCIENTIFIC INC CMN	05-Feb-2010	28-Jun-2010	125	6,405 17	5,848 750	556 42	143	Short-Term
V	VISA INC CMN CLASS A	05-Feb-2010	05-Feb-2010	98	8,038 92	8,065 990	-27 07	0	Short-Term
V	VISA INC CMN CLASS A	05-Feb-2010	09-Feb-2010	103	8,580 13	8,477.520	102 62	4	Short-Term
WU	WESTERN UNION COMPANY (THE) CMN	05-Feb-2010	12-Apr-2010	357	6,234 27	5,839 710	394 57	66	Short-Term
WU	WESTERN UNION COMPANY (THE) CMN	05-Feb-2010	03-May-2010	446	8,121 98	7,295 540	826 44	87	Short-Term
WU	WESTERN UNION COMPANY (THE) CMN	05-Feb-2010	04-May-2010	305	5,414 49	4,989 100	425 39	88	Short-Term
WU	WESTERN UNION COMPANY (THE) CMN	16-Feb-2010	04-May-2010	162	2,875 89	2,624 400	251 49	77	Short-Term
TOTAL					1,179,436.20		-10,032.03		

(1) This position is a gifted security



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Makray Family Fdn Tactical Adv-Carol 020-55164-4

1099 revisions. Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline. Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked revised during the final week of March. If you are issued a revised Form 1099 it will be posted separately from your original Form 1099. Please note that we will only furnish the IRS with the final version of your 1099s. If you have any questions, please contact your Private Wealth Management team.

Base Currency :USD

The Tax Center contains the following information about your account(s) if applicable

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- **Tax Correspondence.** See your actual 1099s from 1995 to present
- **Goldman Sachs Mutual Funds.** Shareholder Tax Information
- **K-1s** can be located on the Fund Correspondence Tab or Tax Correspondence

Select an Account or Group

Makray Family Fdn Tactical 020-55164-4

2. Choose An Existing View

Realized Gains/Losses

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Choose a Date Range

From Date 01-Feb-2010

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4 Search By Symbol/CUSIP

Include Options ☒

Gain Type	Gains	Losses	Disallowed	Total
Short-Term	0.00	-6,515.78	0.00	-6,515.78
Long-Term	8,163.16	0.00	0.00	8,163.16
Total Realized Gains/Losses:	8,163.16	-6,515.78	0.00	1,647.38

Realized Gains/Losses

Symbol	Description (A)	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
MXEAE43	THE GOLDMAN SACHS GROUP, INC LINKED TO THE MSCI EAFE INDEX 0% COUPON DUE 12/21/2010 STRUCTURED NOTE	10-Dec-2009	21-Dec-2010	200	208,163.16	200,000.000	8,163.16	376	Long-Term
VWO	VANGUARD MSCI EMERGING MKTS ETF	22-Dec-2009	24-May-2010	825	30,705.98	33,297.000	-2,591.02	153	Short-Term
VWO	VANGUARD MSCI EMERGING MKTS ETF	08-Dec-2009	24-May-2010	1,200	44,663.24	48,588.000	-3,924.76	167	Short-Term
TOTAL					283,532.38		1,647.38		

(I) This position is a gifted security

(II) This position is an inherited security

(III) This position is an inherited then gifted security

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- N Recent news is available regarding this company. G Goldman Sachs has recently published research regarding this company. News and Research indicators are based on Eastern Standard Time (United States).

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- This page may include information for accounts that are closed.

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Prepared for Carole J. Walther
Tue 29 Nov 2011 4:15 PM EST

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Makray Fam Fdn Lord Abbett Non-US Eq CAR 020-56729-3

1099 revisions Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline. Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March. If you are issued a revised Form 1099 it will be posted separately from your original Form 1099. Please note that we will only furnish the IRS with the final version of your 1099s. If you have any questions, please contact your Private Wealth Management team.

Separate Account Strategy : Lord, Abbett & Co: Non-US Equity

Base Currency :USD

The Tax Center contains the following information about your account(s) if applicable:

- **Realized Gains and Losses.** See your realized gains and losses.
- **Tax Correspondence.** See your actual 1099s from 1995 to present.
- **Goldman Sachs Mutual Funds.** Shareholder Tax Information.
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2 Choose An Existing View.

Realized Gains/Losses
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Choose a Date Range

From Date 01-Feb-2010

To Date 31-Jan-2011

4 Search By Symbol/CUSIP:

Include Options ☒

Gain Type	Gains	Losses	Disallowed	Total
<u>Short-Term</u>	31,740.21	-114,822.96	0.00	-83,082.75
<u>Long-Term</u>	0.00	0.00	0.00	0.00
Total Realized Gains/Losses:	31,740.21	-114,822.96	0.00	-83,082.75

< [Previous](#) Page 2 of 5 [Next](#) > Go to page:

Realized Gains/Losses

Symbol	Description ^(A)	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	08-Feb-2010	09-Dec-2010	51	1,137.28	777.750	359.53	304	Short-Term
DTEGY	DEUTSCHE TELEKOM AG SPONSORED ADR CMN	08-Feb-2010	06-Apr-2010	108	1,450.13	1,375.940	74.19	57	Short-Term
DTEGY	DEUTSCHE TELEKOM AG SPONSORED ADR CMN	08-Feb-2010	09-Apr-2010	284	3,811.95	3,618.220	193.73	60	Short-Term
DTEGY	DEUTSCHE TELEKOM AG SPONSORED ADR CMN	08-Feb-2010	25-May-2010	265	2,831.34	3,376.150	-544.81	106	Short-Term
DTEGY	DEUTSCHE TELEKOM AG SPONSORED ADR CMN	08-Feb-2010	11-Aug-2010	38	493.78	484.130	9.65	184	Short-Term
DTEGY	DEUTSCHE TELEKOM AG SPONSORED ADR CMN	08-Feb-2010	05-Nov-2010	110	1,574.91	1,401.420	173.49	270	Short-Term
DTEGY	DEUTSCHE TELEKOM AG SPONSORED ADR CMN	17-May-2010	09-Nov-2010	132	1,872.13	1,474.720	397.41	176	Short-Term
DTEGY	DEUTSCHE TELEKOM AG SPONSORED ADR CMN	17-May-2010	08-Nov-2010	68	954.17	759.700	194.47	175	Short-Term
DTEGY	DEUTSCHE TELEKOM AG SPONSORED ADR CMN	08-Feb-2010	08-Nov-2010	151	2,118.82	1,923.770	195.05	273	Short-Term
DTEGY	DEUTSCHE TELEKOM AG SPONSORED ADR CMN	23-Jun-2010	09-Nov-2010	7	99.28	83.270	16.01	139	Short-Term
DTEGY	DEUTSCHE TELEKOM AG SPONSORED ADR CMN	23-Jun-2010	10-Nov-2010	33	462.35	392.550	69.79	140	Short-Term
DTEGY	DEUTSCHE TELEKOM AG SPONSORED ADR CMN	24-Jun-2010	10-Nov-2010	32	448.34	379.840	68.50	139	Short-Term
	DSG INTERNATIONAL PLC SPONSORED ADR CMN	08-Feb-2010	09-Apr-2010	717	1,125.81	1,061.160	64.65	60	Short-Term
	DSG INTERNATIONAL PLC SPONSORED ADR CMN	08-Feb-2010	13-Apr-2010	523	832.23	774.040	58.19	64	Short-Term
	DSG INTERNATIONAL PLC SPONSORED ADR CMN	08-Feb-2010	15-Apr-2010	120	194.63	177.600	17.03	66	Short-Term
	DSG INTERNATIONAL PLC SPONSORED ADR CMN	08-Feb-2010	26-Apr-2010	1,517	2,312.47	2,245.160	67.31	77	Short-Term
	DSG INTERNATIONAL PLC SPONSORED ADR CMN	08-Feb-2010	27-Apr-2010	178	268.77	263.440	5.33	78	Short-Term
EONGY	E ON AG SPONSORED ADR CMN	08-Feb-2010	25-May-2010	85	2,437.75	3,013.250	-575.50	106	Short-Term
EONGY	E ON AG SPONSORED ADR CMN	08-Feb-2010	30-Jun-2010	41	1,113.81	1,453.450	-339.64	142	Short-Term
EONGY	E ON AG SPONSORED ADR CMN	08-Feb-2010	01-Jul-2010	40	1,100.06	1,418.000	-317.94	143	Short-Term
EONGY	E ON AG SPONSORED ADR CMN	05-May-2010	16-Jul-2010	46	1,293.99	1,580.940	-286.95	72	Short-Term
									Short-Term

STATEMENT A

EONGY	E ON AG SPONSORED ADR CMN	03-May-2010	16-Jul-2010	16	450 08	594 400	-144 32	74	Term
EONGY	E ON AG SPONSORED ADR CMN	08-Feb-2010	16-Jul-2010	16	450 08	567.200	-117 12	158	Short-Term
E	ENI S P A SPON ADR SPONSORED ADR CMN	08-Feb-2010	05-May-2010	20	838 58	888 680	-50 10	86	Short-Term
E	ENI S P A SPON ADR SPONSORED ADR CMN	08-Feb-2010	25-May-2010	87	3,079 74	3,865 740	-786.00	106	Short-Term
E	ENI S P A SPON ADR SPONSORED ADR CMN	08-Feb-2010	18-Jan-2011	20	939 59	888 680	50 91	344	Short-Term
ESPGY	ESPRIT HLDGS LTD ADR CMN	09-Apr-2010	25-May-2010	23	243 11	363 770	-120 66	46	Short-Term
ESPGY	ESPRIT HLDGS LTD ADR CMN	15-Apr-2010	25-May-2010	10	105.70	161.260	-55 56	40	Short-Term
ESPGY	ESPRIT HLDGS LTD ADR CMN	12-Apr-2010	25-May-2010	20	211 40	323 390	-111 99	43	Short-Term
ESPGY	ESPRIT HLDGS LTD ADR CMN	13-Apr-2010	25-May-2010	65	687 04	1,060 510	-373 47	42	Short-Term
ESPGY	ESPRIT HLDGS LTD ADR CMN	14-Apr-2010	25-May-2010	44	465 07	718 880	-253 81	41	Short-Term
ESPGY	ESPRIT HLDGS LTD ADR CMN	15-Apr-2010	10-Jun-2010	42	470 88	677 290	-206 41	56	Short-Term
ESPGY	ESPRIT HLDGS LTD ADR CMN	15-Apr-2010	29-Jun-2010	71	762 22	1,144 940	-382 72	75	Short-Term
ESPGY	ESPRIT HLDGS LTD ADR CMN	15-Apr-2010	12-Jul-2010	192	2,188 61	3,096.170	-907 56	88	Short-Term
FMS	FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN	08-Feb-2010	26-Mar-2010	40	2,197.21	1,995 340	201.87	46	Short-Term
FMS	FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN	08-Feb-2010	25-May-2010	80	3,781.53	3,990 680	-209 15	106	Short-Term
FMS	FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN	08-Feb-2010	15-Jun-2010	28	1,523 77	1,396.740	127 03	127	Short-Term
FMS	FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN	08-Feb-2010	03-Sep-2010	21	1,178 70	1,047 550	131 15	207	Short-Term
GFA	GAFISA, S.A SPONSORED ADR CMN	08-Feb-2010	24-Mar-2010	205	2,995 69	2,656 360	339 33	44	Short-Term
GFA	GAFISA, S A SPONSORED ADR CMN	08-Feb-2010	25-May-2010	135	1,445 82	1,749.310	-303 49	106	Short-Term
GFA	GAFISA, S A SPONSORED ADR CMN	08-Feb-2010	27-Jan-2011	37	477 99	479 440	-1 45	353	Short-Term
GSK	GLAXOSMITHKLINE PLC SPONSORED ADR CMN	17-May-2010	25-May-2010	82	2,644 45	2,815 310	-170 86	8	Short-Term
GSK	GLAXOSMITHKLINE PLC SPONSORED ADR CMN	17-May-2010	03-Sep-2010	14	547 87	480 660	67.21	109	Short-Term
GSK	GLAXOSMITHKLINE PLC SPONSORED ADR CMN	17-May-2010	10-Sep-2010	22	865 21	755 330	109 88	116	Short-Term
GSK	GLAXOSMITHKLINE PLC SPONSORED ADR CMN	17-May-2010	20-Oct-2010	24	984 34	823 990	160.35	156	Short-Term
GSK	GLAXOSMITHKLINE PLC SPONSORED ADR CMN	17-May-2010	05-Nov-2010	35	1,427.96	1,201.660	226.30	172	Short-Term
GSK	GLAXOSMITHKLINE PLC SPONSORED ADR CMN	17-May-2010	12-Nov-2010	18	710 36	617 990	92 37	179	Short-Term
GSK	GLAXOSMITHKLINE PLC SPONSORED ADR CMN	17-May-2010	19-Nov-2010	4	159 21	137 330	21 88	186	Short-Term
GSK	GLAXOSMITHKLINE PLC SPONSORED ADR CMN	18-May-2010	19-Nov-2010	7	278.61	238.770	39 84	185	Short-Term
HENKY	HENKEL AG AND CO KGAA SPONSORED ADR REPSTG ORDINARY SHRS	08-Feb-2010	10-Mar-2010	18	814 54	759 600	54.94	30	Short-Term
HENKY	HENKEL AG AND CO KGAA SPONSORED ADR REPSTG ORDINARY SHRS	08-Feb-2010	25-May-2010	111	4,153 54	4,684 200	-530 66	106	Short-Term
HENKY	HENKEL AG AND CO. KGAA SPONSORED ADR REPSTG ORDINARY SHRS	08-Feb-2010	28-Jul-2010	7	297 50	295 400	2 10	170	Short-Term
HENKY	HENKEL AG AND CO KGAA SPONSORED ADR REPSTG ORDINARY SHRS	08-Feb-2010	29-Jul-2010	27	1,126 54	1,139 400	-12.86	171	Short-Term
HENKY	HENKEL AG AND CO. KGAA SPONSORED ADR REPSTG ORDINARY SHRS	08-Feb-2010	30-Jul-2010	12	497 31	506 400	-9 09	172	Short-Term
HBC	HSBC HOLDINGS PLC SPONSORED ADR CMN	08-Feb-2010	25-May-2010	99	4,413 34	4,988.220	-574 88	106	Short-Term
HNP	HUANENG POWER INTL INC ADS SPONSORED ADR CMN SERIES N	29-Jun-2010	21-Oct-2010	140	3,293 30	3,300 570	-7 27	114	Short-Term
HNP	HUANENG POWER INTL INC ADS SPONSORED ADR CMN SERIES N	16-Jul-2010	04-Nov-2010	6	136 53	138 550	-2 02	111	Short-Term
HNP	HUANENG POWER INTL INC ADS SPONSORED ADR CMN SERIES N	29-Jun-2010	04-Nov-2010	27	614 37	636 540	-22 17	128	Short-Term
HNP	HUANENG POWER INTL INC ADS SPONSORED ADR CMN SERIES N	30-Jun-2010	04-Nov-2010	17	386 83	403 570	-16 74	127	Short-Term
HNP	HUANENG POWER INTL INC ADS SPONSORED ADR CMN SERIES N	16-Jul-2010	05-Nov-2010	27	613 31	623 490	-10 18	112	Short-Term
HNP	HUANENG POWER INTL INC ADS SPONSORED ADR CMN SERIES N	16-Jul-2010	08-Nov-2010	30	681.28	692 760	-11 48	115	Short-Term
HNP	HUANENG POWER INTL INC ADS SPONSORED ADR CMN SERIES N	14-Sep-2010	08-Nov-2010	30	681 28	722 270	-40 99	55	Short-Term

IBN	ICICI BANK LIMITED SPONS ADR	08-Feb-2010	05-Oct-2010	46	2,376.96	1,545.140	831.82	239	Short-Term
IBN	ICICI BANK LIMITED SPONS ADR	08-Feb-2010	25-May-2010	86	2,935.99	2,888.740	47.25	106	Short-Term
IBN	ICICI BANK LIMITED SPONS ADR	08-Feb-2010	21-Jan-2011	15	689.69	503.850	185.84	347	Short-Term
ITYBY	IMPERIAL TOBACCO GROUP PLC SPON ADR	08-Feb-2010	14-Oct-2010	14	853.93	902.300	-48.37	248	Short-Term
ITYBY	IMPERIAL TOBACCO GROUP PLC SPON ADR	08-Feb-2010	03-Jun-2010	71	3,997.23	4,575.950	-578.72	115	Short-Term
ITYBY	IMPERIAL TOBACCO GROUP PLC SPON ADR	08-Feb-2010	28-Jul-2010	7	397.78	451.150	-53.37	170	Short-Term
ITYBY	IMPERIAL TOBACCO GROUP PLC SPON ADR	08-Feb-2010	29-Jul-2010	17	966.84	1,095.650	-128.81	171	Short-Term
ITYBY	IMPERIAL TOBACCO GROUP PLC SPON ADR	08-Feb-2010	30-Jul-2010	4	225.79	257.800	-32.01	172	Short-Term
ITYBY	IMPERIAL TOBACCO GROUP PLC SPON ADR	08-Feb-2010	15-Oct-2010	23	1,399.43	1,482.350	-82.92	249	Short-Term
ING	ING GROEP N V. SPONS ADR SPONSORED ADR CMN	08-Feb-2010	14-May-2010	526	4,375.82	4,368.270	7.55	95	Short-Term
ING	ING GROEP N V. SPONS ADR SPONSORED ADR CMN	08-Feb-2010	25-May-2010	351	2,550.11	2,914.950	-364.84	106	Short-Term
ISNPY	INTESA SANPAOLO SPONSORED ADR CMN	08-Feb-2010	28-Apr-2010	53	1,026.65	1,139.500	-112.85	79	Short-Term
ISNPY	INTESA SANPAOLO SPONSORED ADR CMN	08-Feb-2010	30-Apr-2010	54	1,061.43	1,161.000	-99.57	81	Short-Term
ISNPY	INTESA SANPAOLO SPONSORED ADR CMN	08-Feb-2010	25-May-2010	94	1,375.19	2,021.000	-645.81	106	Short-Term
EFA	ISHARES TR-ISHARES MSCI EAFE INDEX FUND ETF	08-Dec-2009	05-Feb-2010	6,750	340,898.34	374,152.500	-33,254.16	59	Short-Term
EFA	ISHARES TR-ISHARES MSCI EAFE INDEX FUND ETF	22-Dec-2009	05-Feb-2010	6,800	343,423.52	373,045.280	-29,621.76	45	Short-Term
ITF	ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF	08-Feb-2010	14-Oct-2010	31	1,377.77	1,313.030	64.74	248	Short-Term
ITF	ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF	08-Feb-2010	25-May-2010	166	6,774.34	7,031.060	-256.72	106	Short-Term
ITF	ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF	08-Feb-2010	05-Aug-2010	34	1,441.07	1,440.100	0.97	178	Short-Term
ITF	ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF	08-Feb-2010	15-Oct-2010	17	752.03	720.050	31.98	249	Short-Term
ITF	ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF	08-Feb-2010	20-Oct-2010	29	1,289.76	1,228.320	61.44	254	Short-Term
ITF	ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF	08-Feb-2010	01-Nov-2010	7	303.45	296.490	6.96	266	Short-Term
ITF	ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF	08-Feb-2010	02-Nov-2010	19	823.93	804.760	19.17	267	Short-Term
ITF	ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF	08-Feb-2010	04-Nov-2010	57	2,528.00	2,414.280	113.72	269	Short-Term
ITF	ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF	08-Feb-2010	12-Nov-2010	36	1,615.92	1,524.810	91.11	277	Short-Term
ITF	ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF	17-May-2010	15-Nov-2010	19	853.20	820.790	32.41	182	Short-Term
ITF	ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF	08-Feb-2010	15-Nov-2010	7	314.33	296.490	17.84	280	Short-Term
ITF	ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF	17-May-2010	16-Nov-2010	4	175.81	172.800	3.01	183	Short-Term
ITF	ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF	17-May-2010	18-Nov-2010	21	958.13	907.190	50.94	185	Short-Term
ITF	ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF	17-May-2010	17-Nov-2010	31	1,382.36	1,339.180	43.18	184	Short-Term
ITUB	ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN	08-Feb-2010	24-Mar-2010	101	2,072.79	1,877.860	194.93	44	Short-Term
ITUB	ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN	08-Feb-2010	25-May-2010	92	1,508.77	1,710.530	-201.76	106	Short-Term
KMTUY	KOMATSU LTD ADR (NEW) SPONSORED GDS CMN	27-Apr-2010	25-May-2010	133	2,343.42	2,728.150	-384.73	28	Short-Term
KMTUY	KOMATSU LTD ADR (NEW) SPONSORED GDS CMN	28-Apr-2010	18-Jan-2011	37	1,122.23	745.560	376.67	265	Short-Term
KMTUY	KOMATSU LTD ADR (NEW) SPONSORED GDS CMN	27-Apr-2010	18-Jan-2011	13	394.30	266.660	127.64	266	Short-Term
AHONY	KONINKLIJKE AHOLD N V. SPONSORED ADR CMN	08-Feb-2010	06-Apr-2010	159	2,115.25	1,954.110	161.14	57	Short-Term
AHONY	KONINKLIJKE AHOLD N V. SPONSORED ADR CMN	08-Feb-2010	09-Apr-2010	105	1,437.37	1,290.450	146.92	60	Short-Term
AHONY	KONINKLIJKE AHOLD N V. SPONSORED ADR CMN	08-Feb-2010	15-Apr-2010	182	2,452.87	2,236.780	216.09	66	Short-Term
AHONY	KONINKLIJKE AHOLD N V. SPONSORED ADR CMN	08-Feb-2010	25-May-2010	313	3,810.58	3,846.770	-36.19	106	Short-Term

< Previous Page 2 of 5 Next > Go to page: 99

LPL*	ORDINARY SHARES	08-Feb-2010	24-May-2010	152	2,601.25	2,424.490	176.76	105	Term
LPL	LG DISPLAY CO LTD SPONSORED ADR CMN 1 ADR = 0.5 ORDINARY SHARES	08-Feb-2010	25-May-2010	159	2,564.62	2,536.150	28.47	106	Short-Term
LPL	LG DISPLAY CO LTD SPONSORED ADR CMN 1 ADR = 0.5 ORDINARY SHARES	08-Feb-2010	10-Jun-2010	42	683.39	669.930	13.47	122	Short-Term
LPL	LG DISPLAY CO LTD SPONSORED ADR CMN 1 ADR = 0.5 ORDINARY SHARES	08-Feb-2010	28-Jul-2010	111	1,740.43	1,770.520	-30.09	170	Short-Term
LPL	LG DISPLAY CO LTD SPONSORED ADR CMN 1 ADR = 0.5 ORDINARY SHARES	08-Feb-2010	06-Aug-2010	146	2,332.34	2,328.790	3.55	179	Short-Term
MDIUY	MEDIASET SPA ADR CMN	08-Feb-2010	28-Apr-2010	66	1,484.97	1,428.900	56.07	79	Short-Term
MDIUY	MEDIASET SPA ADR CMN	08-Feb-2010	14-May-2010	63	1,279.46	1,363.950	-84.49	95	Short-Term
MDIUY	MEDIASET SPA ADR CMN	08-Feb-2010	17-May-2010	116	2,297.99	2,511.400	-213.41	98	Short-Term
MDIUY	MEDIASET SPA ADR CMN	08-Feb-2010	25-May-2010	85	1,479.82	1,840.250	-360.43	106	Short-Term
MDIUY	MEDIASET SPA ADR CMN	08-Feb-2010	29-Jun-2010	4	68.37	86.600	-18.23	141	Short-Term
MDIUY	MEDIASET SPA ADR CMN	08-Feb-2010	30-Jun-2010	35	595.68	757.750	-162.07	142	Short-Term
MDIUY	MEDIASET SPA ADR CMN	08-Feb-2010	04-Jan-2011	19	338.02	411.350	-73.33	330	Short-Term
MDIUY	MEDIASET SPA ADR CMN	08-Feb-2010	05-Jan-2011	12	208.76	259.800	-51.04	331	Short-Term
MDIUY	MEDIASET SPA ADR CMN	08-Feb-2010	18-Jan-2011	20	357.20	433.000	-75.80	344	Short-Term
MDIUY	MEDIASET SPA ADR CMN	26-Mar-2010	19-Jan-2011	3	54.14	77.700	-23.56	299	Short-Term
MDIUY	MEDIASET SPA ADR CMN	08-Feb-2010	19-Jan-2011	20	360.94	433.000	-72.06	345	Short-Term
MDIUY	MEDIASET SPA ADR CMN	26-Mar-2010	21-Jan-2011	32	589.02	828.800	-239.78	301	Short-Term
MDIUY	MEDIASET SPA ADR CMN	26-Mar-2010	27-Jan-2011	16	310.58	414.400	-103.82	307	Short-Term
MDIUY	MEDIASET SPA ADR CMN	12-Jul-2010	27-Jan-2011	4	77.64	70.590	7.05	199	Short-Term
MDIUY	MEDIASET SPA ADR CMN	12-Jul-2010	28-Jan-2011	7	135.27	123.540	11.73	200	Short-Term
MDIUY	MEDIASET SPA ADR CMN	12-Jul-2010	31-Jan-2011	8	155.58	141.190	14.40	203	Short-Term
MR	MINDRAY MEDICAL INTL LIMITED SPONSORED ADR CMN CLASS A	14-Jun-2010	16-Sep-2010	19	530.52	599.830	-69.31	94	Short-Term
MR	MINDRAY MEDICAL INTL LIMITED SPONSORED ADR CMN CLASS A	14-Jun-2010	24-Sep-2010	38	1,084.04	1,199.660	-115.62	102	Short-Term
MR	MINDRAY MEDICAL INTL LIMITED SPONSORED ADR CMN CLASS A	29-Jun-2010	24-Sep-2010	28	798.77	872.180	-73.41	87	Short-Term
MR	MINDRAY MEDICAL INTL LIMITED SPONSORED ADR CMN CLASS A	16-Jul-2010	24-Sep-2010	18	513.49	537.230	-23.74	70	Short-Term
MR	MINDRAY MEDICAL INTL LIMITED SPONSORED ADR CMN CLASS A	14-Jun-2010	03-Sep-2010	49	1,401.18	1,546.940	-145.76	81	Short-Term
MTU	MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN	08-Feb-2010	14-Sep-2010	15	74.27	76.880	-2.62	218	Short-Term
MTU	MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN	05-May-2010	14-Sep-2010	147	727.84	740.500	-12.66	132	Short-Term
MTU	MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN	08-Feb-2010	25-May-2010	663	3,178.83	3,398.270	-219.44	106	Short-Term
MTU	MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN	08-Feb-2010	06-Aug-2010	313	1,566.10	1,604.310	-38.21	179	Short-Term
MITSY	MITSUMI & CO LTD ADR CMN	08-Feb-2010	26-Apr-2010	6	1,909.97	1,740.750	169.22	77	Short-Term
MITSY	MITSUMI & CO LTD ADR CMN	08-Feb-2010	27-Apr-2010	3	932.47	870.380	62.09	78	Short-Term
MITSY	MITSUMI & CO LTD ADR CMN	08-Feb-2010	28-Apr-2010	5	1,553.63	1,450.630	103.00	79	Short-Term
MITSY	MITSUMI & CO LTD ADR CMN	08-Feb-2010	05-May-2010	10	2,892.20	2,901.260	-9.06	86	Short-Term
MITSY	MITSUMI & CO LTD ADR CMN	08-Feb-2010	07-May-2010	11	3,103.68	3,191.380	-87.70	88	Short-Term
MITSY	MITSUMI & CO LTD ADR CMN	08-Feb-2010	10-May-2010	3	890.27	870.380	19.89	91	Short-Term
MITSY	MITSUMI & CO LTD ADR CMN	17-Feb-2010	10-May-2010	3	890.27	932.470	-42.20	82	Short-Term
NBG	NATIONAL BANK OF GREECE - ADR SPONSORED ADR CMN	08-Feb-2010	17-Feb-2010	534	1,957.19	1,890.790	66.40	9	Short-Term
NGG	NATIONAL GRID PLC SPONSORED ADR CMN	08-Feb-2010	25-May-2010	39	1,538.13	1,914.010	-375.88	106	Short-Term
NGG	NATIONAL GRID PLC SPONSORED ADR CMN	08-Feb-2010	03-Sep-2010	58	2,457.04	2,846.480	-389.44	207	Short-Term
NSRGY	NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS)	08-Feb-2010	10-Mar-2010	66	3,321.97	3,036.000	285.97	30	Short-Term

STATEMENT A

NSRGY	NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS)	08-Feb-2010	15-Apr-2010	62	3,141 94	2,852 000	289 94	66	Short-Term
NSRGY	NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS)	08-Feb-2010	25-May-2010	85	3,688 93	3,910 000	-221 07	106	Short-Term
NSRGY	NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS)	08-Feb-2010	06-Aug-2010	60	2,925 08	2,760 000	165 08	179	Short-Term
NSRGY	NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS)	17-May-2010	22-Dec-2010	3	176 58	140 940	35 64	219	Short-Term
NSRGY	NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS)	08-Feb-2010	22-Dec-2010	43	2,530 99	1,978 000	552 99	317	Short-Term
NSANY	NISSAN MOTOR CO LTD SPONSORED ADR	08-Feb-2010	18-May-2010	274	4,242.95	4,384 000	-141 05	99	Short-Term
NSANY	NISSAN MOTOR CO LTD SPONSORED ADR	08-Feb-2010	19-May-2010	161	2,481 07	2,576 000	-94 93	100	Short-Term
NSANY	NISSAN MOTOR CO LTD SPONSORED ADR	08-Feb-2010	25-May-2010	257	3,626 20	4,112 000	-485 80	106	Short-Term
NSANY	NISSAN MOTOR CO LTD SPONSORED ADR	08-Feb-2010	29-Jun-2010	176	2,428.36	2,816 000	-387 64	141	Short-Term
NSANY	NISSAN MOTOR CO LTD SPONSORED ADR	08-Feb-2010	01-Jul-2010	84	1,148 16	1,344 000	-195 84	143	Short-Term
NSANY	NISSAN MOTOR CO LTD SPONSORED ADR	08-Feb-2010	29-Jul-2010	63	997 45	1,008 000	-10 55	171	Short-Term
NSANY	NISSAN MOTOR CO LTD SPONSORED ADR	08-Feb-2010	30-Jul-2010	160	2,431 49	2,560 000	-128 51	172	Short-Term
IX	ORIX CORPORATION SPONS ADR SPONSORED ADR CMN	08-Feb-2010	28-Apr-2010	71	3,126 60	2,693 430	433 17	79	Short-Term
IX	ORIX CORPORATION SPONS ADR SPONSORED ADR CMN	08-Feb-2010	14-May-2010	25	1,039 76	948 390	91 37	95	Short-Term
IX	ORIX CORPORATION SPONS ADR SPONSORED ADR CMN	08-Feb-2010	17-May-2010	16	647 70	606 970	40 73	98	Short-Term
IX	ORIX CORPORATION SPONS ADR SPONSORED ADR CMN	08-Feb-2010	25-May-2010	55	2,036 31	2,086 460	-50.15	106	Short-Term
IX	ORIX CORPORATION SPONS ADR SPONSORED ADR CMN	08-Feb-2010	16-Jun-2010	13	478 71	493 160	-14 45	128	Short-Term
IX	ORIX CORPORATION SPONS ADR SPONSORED ADR CMN	08-Feb-2010	01-Jul-2010	34	1,218 18	1,289 810	-71 63	143	Short-Term
TLK	P T TELEKOMUNIKASI INDONESIA ADS (1 ADS --> 40 ORD SHS)	08-Feb-2010	25-May-2010	72	2,218 30	2,694 870	-476 57	106	Short-Term
TLK	P T TELEKOMUNIKASI INDONESIA ADS (1 ADS --> 40 ORD SHS)	08-Feb-2010	28-Jul-2010	71	2,592 36	2,657.450	-65 09	170	Short-Term
TLK	P T TELEKOMUNIKASI INDONESIA ADS (1 ADS --> 40 ORD SHS)	08-Feb-2010	29-Jul-2010	42	1,533 58	1,572 010	-38 43	171	Short-Term
TLK	P T TELEKOMUNIKASI INDONESIA ADS (1 ADS --> 40 ORD SHS)	26-Mar-2010	29-Jul-2010	4	146 06	140 460	5 60	125	Short-Term
TLK	P T TELEKOMUNIKASI INDONESIA ADS (1 ADS --> 40 ORD SHS)	26-Mar-2010	30-Jul-2010	17	627 61	596 960	30 65	126	Short-Term
PTNR	PARTNER COMMUNICATIONS CO ADR CMN	08-Feb-2010	06-May-2010	146	2,584 34	3,116 440	-532 10	87	Short-Term
PTNR	PARTNER COMMUNICATIONS CO ADR CMN	08-Feb-2010	10-May-2010	249	4,590 76	5,315 030	-724 27	91	Short-Term
PTNR	PARTNER COMMUNICATIONS CO ADR CMN	08-Feb-2010	07-May-2010	100	1,742 67	2,134 550	-391 88	88	Short-Term
PBRA	PETROLEO BRASILEIRO S A SPON ADR	08-Feb-2010	25-May-2010	60	1,664.97	2,039 400	-374 43	106	Short-Term
PUK	PRUDENTIAL CORP (ADR) ADR CMN	08-Feb-2010	25-May-2010	203	2,967 80	3,540 810	-573 01	106	Short-Term
RUK	REED ELSEVIER GROUP PLC SPONSORED ADR CMN	08-Feb-2010	15-Sep-2010	18	604 42	546 250	58 17	219	Short-Term
RUK	REED ELSEVIER GROUP PLC SPONSORED ADR CMN	08-Feb-2010	28-Apr-2010	20	620 80	606 950	13 85	79	Short-Term
RUK	REED ELSEVIER GROUP PLC SPONSORED ADR CMN	08-Feb-2010	14-May-2010	19	551 36	576 600	-25 24	95	Short-Term
RUK	REED ELSEVIER GROUP PLC SPONSORED ADR CMN	08-Feb-2010	17-May-2010	97	2,795 64	2,943 700	-148 06	98	Short-Term
RUK	REED ELSEVIER GROUP PLC SPONSORED ADR CMN	08-Feb-2010	25-May-2010	121	3,210 07	3,672 040	-461 97	106	Short-Term
RUK	REED ELSEVIER GROUP PLC SPONSORED ADR CMN	08-Feb-2010	10-Jun-2010	43	1,206 81	1,304 940	-98 13	122	Short-Term
RUK	REED ELSEVIER GROUP PLC SPONSORED ADR CMN	08-Feb-2010	29-Jul-2010	20	685.79	606 950	78 84	171	Short-Term
RUK	REED ELSEVIER GROUP PLC SPONSORED ADR CMN	08-Feb-2010	04-Jan-2011	25	839 62	758 690	80 94	330	Short-Term
RUK	REED ELSEVIER GROUP PLC SPONSORED ADR CMN	08-Feb-2010	20-Oct-2010	34	1,189 33	1,031 810	157 52	254	Short-Term
RMD	RESMED INC CMN	01-Jul-2010	22-Dec-2010	25	890 06	745 320	144 74	174	Short-Term
RHHBY	ROCHE HOLDING AG ADR B SHS(NOM CHF 100) VAL 224 184	08-Feb-2010	24-Mar-2010	29	1,176 14	1,191 320	-15 18	44	Short-Term

< Previous Page 3 of 5 Next > Go to page: 99



PRIVATE WEALTH MANAGEMENT

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Makray Fam Fdn Lord Abbett Non-US Eq CAR 020-56729-3

1099 revisions Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline. Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March. If you are issued a revised Form 1099 it will be posted separately from your original Form 1099. Please note that we will only furnish the IRS with the final version of your 1099s. If you have any questions, please contact your Private Wealth Management team.

Separate Account Strategy : Lord, Abbett & Co: Non-US Equity

Base Currency :USD

The Tax Center contains the following information about your account(s) if applicable:

- **Realized Gains and Losses.** See your realized gains and losses
- **Tax Correspondence.** See your actual 1099s from 1995 to present
- **Goldman Sachs Mutual Funds.** Shareholder Tax Information
- **K-1s** can be located on the Fund Correspondence Tab or Tax Correspondence

Select an Account or Group:

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2 Choose An Existing View

Realized Gains/Losses
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Choose a Date Range:

From Date 01-Feb-2010

To Date 31-Jan-2011

4 Search By Symbol/CUSIP

Include Options: ☒

Gain Type	Gains	Losses	Disallowed	Total
<u>Short-Term</u>	31,740.21	-114,822.96	0.00	-83,082.75
<u>Long-Term</u>	0.00	0.00	0.00	0.00
Total Realized Gains/Losses:	31,740.21	-114,822.96	0.00	-83,082.75

< [Previous](#) Page 4 of 5 [Next](#) > Go to page: 99

Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
RHHBY	ROCHE HOLDING AG ADR B SHS(NOM CHF 100) VAL 224 184	08-Feb-2010	14-Sep-2010	12	426.65	492.960	-66.31	218	Short-Term
RHHBY	ROCHE HOLDING AG ADR B SHS(NOM CHF 100) VAL 224 184	08-Feb-2010	15-Sep-2010	51	1,766.41	2,095.080	-328.67	219	Short-Term
RHHBY	ROCHE HOLDING AG ADR B SHS(NOM CHF 100) VAL 224 184	08-Feb-2010	05-Oct-2010	25	886.74	1,027.000	-140.26	239	Short-Term
RHHBY	ROCHE HOLDING AG ADR B SHS(NOM CHF 100) VAL 224 184	08-Feb-2010	14-Oct-2010	25	905.17	1,027.000	-121.83	248	Short-Term
RHHBY	ROCHE HOLDING AG ADR B SHS(NOM CHF 100) VAL 224 184	08-Feb-2010	25-May-2010	130	4,258.72	5,340.400	-1,081.68	106	Short-Term
RHHBY	ROCHE HOLDING AG ADR B SHS(NOM CHF 100) VAL 224 184	08-Feb-2010	10-Jun-2010	32	1,095.18	1,314.560	-219.38	122	Short-Term
RHHBY	ROCHE HOLDING AG ADR B SHS(NOM CHF 100) VAL 224 184	08-Feb-2010	15-Oct-2010	90	3,321.85	3,697.200	-375.35	249	Short-Term
RHHBY	ROCHE HOLDING AG ADR B SHS(NOM CHF 100) VAL 224 184	09-Apr-2010	15-Oct-2010	10	369.09	407.840	-38.75	189	Short-Term
RYCEY	ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN	21-May-2010	25-May-2010	26	1,036.60	1,062.110	-25.51	4	Short-Term
RYCEY	ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN	24-May-2010	05-Nov-2010	24	1,165.18	998.140	167.04	165	Short-Term
RYCEY	ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN	21-May-2010	05-Nov-2010	24	1,165.18	980.410	184.78	168	Short-Term
RYCEY	ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN	26-May-2010	05-Nov-2010	6	291.30	246.650	44.65	163	Short-Term
RYCEY	ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN	26-May-2010	12-Nov-2010	18	885.67	739.950	145.72	170	Short-Term
RYCEY	ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN	26-May-2010	16-Nov-2010	1	47.10	41.110	6.00	174	Short-Term
RYCEY	ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN	10-Jun-2010	17-Nov-2010	2	94.93	88.830	6.10	160	Short-Term
RYCEY	ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN	27-May-2010	16-Nov-2010	8	376.84	338.030	38.80	173	Short-Term
RYCEY	ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN	28-May-2010	17-Nov-2010	7	332.25	300.340	31.91	173	Short-Term
RYCEY	ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN	27-May-2010	17-Nov-2010	13	617.03	549.310	67.73	174	Short-Term
RYCEY	ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN	11-Jun-2010	19-Nov-2010	11	518.73	478.740	39.99	161	Short-Term
RYCEY	ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN	10-Jun-2010	19-Nov-2010	15	707.36	666.230	41.13	162	Short-Term
RYCEY	ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN	05-Aug-2010	19-Nov-2010	2	94.31	89.780	4.54	106	Short-Term

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RYCEY	ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN	06-Aug-2010	23-Nov-2010	5	237 63	224 110	13 52	109	Term
RYCEY	ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN	06-Aug-2010	24-Nov-2010	4	193 95	179 290	14 66	110	Short-Term
RYCEY	ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN	05-Aug-2010	23-Nov-2010	3	142 58	134 660	7 92	110	Short-Term
RYCEY	ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN	09-Aug-2010	24-Nov-2010	13	630 33	583 500	46 83	107	Short-Term
RYAAY	RYANAIR HOLDINGS PLC ADR SPONSORED ADR CMN	08-Feb-2010	06-Apr-2010	23	682 71	607 180	75 53	57	Short-Term
RYAAY	RYANAIR HOLDINGS PLC ADR SPONSORED ADR CMN	08-Feb-2010	09-Apr-2010	108	3,216 46	2,851 120	365 35	60	Short-Term
RYAAY	RYANAIR HOLDINGS PLC ADR SPONSORED ADR CMN	08-Feb-2010	13-Apr-2010	25	747 17	659 980	87 19	64	Short-Term
RYAAY	RYANAIR HOLDINGS PLC ADR SPONSORED ADR CMN	08-Feb-2010	15-Apr-2010	123	3,680 51	3,247 100	433 41	66	Short-Term
SNY	SANOFI SPONSORED ADR CMN	08-Feb-2010	25-May-2010	146	4,114 91	5,268 510	-1,153 60	106	Short-Term
SNY	SANOFI SPONSORED ADR CMN	08-Feb-2010	10-Jun-2010	28	828 33	1,010 400	-182 07	122	Short-Term
SNY	SANOFI SPONSORED ADR CMN	08-Feb-2010	15-Jun-2010	35	1,095 49	1,263 000	-167 51	127	Short-Term
SNY	SANOFI SPONSORED ADR CMN	08-Feb-2010	12-Jul-2010	27	814 64	974 310	-159 67	154	Short-Term
SNY	SANOFI SPONSORED ADR CMN	08-Feb-2010	16-Jul-2010	39	1,206 28	1,407 340	-201 06	158	Short-Term
SNY	SANOFI SPONSORED ADR CMN	08-Feb-2010	05-Aug-2010	14	424 92	505 200	-80 28	178	Short-Term
SNY	SANOFI SPONSORED ADR CMN	08-Feb-2010	11-Aug-2010	29	849 81	1,046 480	-196.68	184	Short-Term
SNY	SANOFI SPONSORED ADR CMN	03-May-2010	27-Jan-2011	5	176 61	169 540	7 06	269	Short-Term
SNY	SANOFI SPONSORED ADR CMN	08-Feb-2010	27-Jan-2011	15	529 82	541 290	-11 46	353	Short-Term
SNY	SANOFI SPONSORED ADR CMN	08-Feb-2010	03-Dec-2010	16	512.32	577 370	-65 05	298	Short-Term
SNY	SANOFI SPONSORED ADR CMN	08-Feb-2010	09-Dec-2010	15	488 52	541.290	-52 77	304	Short-Term
SAP	SAP AG (SPON ADR)	08-Feb-2010	25-May-2010	105	4,349 02	4,559 820	-210 80	106	Short-Term
SAYCY	SATYAM COMPUTER SERVICES LTD ADR CMN	08-Feb-2010	09-Apr-2010	271	1,468 84	1,338 930	129 91	60	Short-Term
SAYCY	SATYAM COMPUTER SERVICES LTD ADR CMN	08-Feb-2010	25-May-2010	475	2,318 91	2,346 830	-27 92	106	Short-Term
SAYCY	SATYAM COMPUTER SERVICES LTD ADR CMN	08-Feb-2010	29-Jul-2010	205	1,023.07	1,012 840	10 23	171	Short-Term
SAYCY	SATYAM COMPUTER SERVICES LTD ADR CMN	08-Feb-2010	30-Jul-2010	406	1,998 09	2,005 930	-7 84	172	Short-Term
SAYCY	SATYAM COMPUTER SERVICES LTD ADR CMN	08-Feb-2010	02-Aug-2010	281	1,387 01	1,388 340	-1 33	175	Short-Term
SOMLY	SECOM LTD (ADR) ADR CMN	08-Feb-2010	25-May-2010	44	3,744 33	3,999.600	-255 27	106	Short-Term
SI	SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN	08-Feb-2010	01-Oct-2010	14	1,504 13	1,193 640	310 49	235	Short-Term
SI	SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN	08-Feb-2010	05-Oct-2010	12	1,272 64	1,023 120	249 52	239	Short-Term
SI	SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN	08-Feb-2010	25-May-2010	65	5,439.10	5,541 900	-102.80	106	Short-Term
SI	SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN	08-Feb-2010	10-Jun-2010	8	730 84	682 080	48 76	122	Short-Term
SI	SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN	08-Feb-2010	23-Jun-2010	8	762 16	682 080	80 08	135	Short-Term
SI	SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN	08-Feb-2010	29-Jun-2010	12	1,089 11	1,023 120	65 99	141	Short-Term
SI	SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN	08-Feb-2010	30-Jun-2010	12	1,088 17	1,023 120	65 05	142	Short-Term
SI	SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN	08-Feb-2010	01-Jul-2010	10	909 08	852.600	56 48	143	Short-Term
SI	SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN	26-Mar-2010	22-Dec-2010	13	1,600 57	1,271 160	329 41	271	Short-Term
SI	SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN	08-Nov-2010	22-Dec-2010	12	1,477 45	1,420 050	57 40	44	Short-Term
SI	SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN	08-Feb-2010	03-Dec-2010	8	956 61	682 080	274 53	298	Short-Term
SI	SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN	08-Feb-2010	09-Dec-2010	2	237 62	170 520	67 10	304	Short-Term
SI	SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN	26-Mar-2010	09-Dec-2010	22	2,613 83	2,151 190	462 64	258	Short-Term
SPIL	SILICONWARE PRECISION IND CO L SPONSORED ADR	16-Apr-2010	25-May-2010	595	3,155 28	3,912 840	-757 56	39	Short-Term
SPIL	SILICONWARE PRECISION IND CO L SPONSORED ADR	16-Apr-2010	29-Jul-2010	186	910.41	1,223 170	-312 76	104	Short-Term

STATEMENT A

nclude... 11/29/2011

SOHU	SOHU COM INC CMN	08-Feb-2010	29-Apr-2010	70	3,387.33	3,449.530	-62.20	80	Short-Term
SOHU	SOHU COM INC CMN	16-Apr-2010	21-May-2010	31	1,330.96	1,620.560	-289.60	35	Short-Term
SOHU	SOHU COM INC CMN	08-Feb-2010	21-May-2010	43	1,846.18	2,118.990	-272.82	102	Short-Term
SNE	SONY CORPORATION ADR CMN	08-Feb-2010	13-Apr-2010	72	2,630.67	2,388.480	242.19	64	Short-Term
SNE	SONY CORPORATION ADR CMN	08-Feb-2010	18-May-2010	87	2,685.00	2,886.090	-201.09	99	Short-Term
SNE	SONY CORPORATION ADR CMN	08-Feb-2010	24-May-2010	65	2,056.33	2,156.270	-99.94	105	Short-Term
SNE	SONY CORPORATION ADR CMN	08-Feb-2010	25-May-2010	20	600.67	663.470	-62.80	106	Short-Term
SNE	SONY CORPORATION ADR CMN	08-Feb-2010	03-Jun-2010	102	3,053.82	3,383.690	-329.87	115	Short-Term
SNE	SONY CORPORATION ADR CMN	08-Feb-2010	10-Jun-2010	33	925.23	1,094.720	-169.49	122	Short-Term
SSUMY	SUMITOMO CORPORATION SPONSORED ADR CMN	08-Feb-2010	17-Feb-2010	188	2,004.28	2,150.650	-146.37	9	Short-Term
SSUMY	SUMITOMO CORPORATION SPONSORED ADR CMN	08-Feb-2010	19-Feb-2010	128	1,347.35	1,464.270	-116.92	11	Short-Term
SSUMY	SUMITOMO CORPORATION SPONSORED ADR CMN	08-Feb-2010	25-May-2010	271	2,810.22	3,100.130	-289.91	106	Short-Term
SSUMY	SUMITOMO CORPORATION SPONSORED ADR CMN	08-Feb-2010	30-Jun-2010	41	411.74	469.020	-57.28	142	Short-Term
SSUMY	SUMITOMO CORPORATION SPONSORED ADR CMN	08-Feb-2010	01-Jul-2010	48	487.04	549.100	-62.06	143	Short-Term
SWDBY	SWEDBANK A B ADR CMN	08-Feb-2010	17-Feb-2010	157	1,403.38	1,405.150	-1.77	9	Short-Term
SWDBY	SWEDBANK A B ADR CMN	08-Feb-2010	19-Feb-2010	403	3,623.65	3,606.850	16.80	11	Short-Term
TI	TELECOM ITALIA SPA SPONSORED ADR CMN	28-Jul-2010	05-Nov-2010	160	2,314.76	2,079.470	235.29	100	Short-Term
TSCDY	TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN	09-Aug-2010	04-Nov-2010	54	1,115.60	1,048.950	66.65	87	Short-Term
TSCDY	TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN	06-Aug-2010	04-Nov-2010	6	123.96	114.970	8.99	90	Short-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	08-Feb-2010	22-Mar-2010	24	1,535.88	1,366.080	169.80	42	Short-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	08-Feb-2010	24-Mar-2010	56	3,600.27	3,187.520	412.75	44	Short-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	08-Feb-2010	10-Sep-2010	20	1,085.51	1,138.400	-52.89	214	Short-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	08-Feb-2010	01-Oct-2010	29	1,548.20	1,650.680	-102.48	235	Short-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	08-Feb-2010	05-Oct-2010	21	1,122.74	1,195.320	-72.58	239	Short-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	08-Feb-2010	25-May-2010	80	4,366.32	4,553.600	-187.28	106	Short-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	03-May-2010	22-Dec-2010	14	718.07	835.200	-117.13	233	Short-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	08-Feb-2010	22-Dec-2010	5	256.45	284.600	-28.15	317	Short-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	05-May-2010	04-Jan-2011	16	839.18	950.820	-111.64	244	Short-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	08-Feb-2010	05-Nov-2010	17	866.57	967.640	-101.07	270	Short-Term
	TOMKINS PLC SPONSORED ADR SPONSORED ADR CMN	08-Feb-2010	25-May-2010	328	4,208.82	3,676.620	532.20	106	Short-Term
	TOMKINS PLC SPONSORED ADR SPONSORED ADR CMN	08-Feb-2010	10-Jun-2010	41	592.34	459.580	132.76	122	Short-Term
	TOMKINS PLC SPONSORED ADR SPONSORED ADR CMN	08-Feb-2010	15-Jun-2010	72	1,096.39	807.060	289.33	127	Short-Term
	TOMKINS PLC SPONSORED ADR SPONSORED ADR CMN	08-Feb-2010	23-Jun-2010	203	2,995.61	2,275.470	720.14	135	Short-Term
	TOMKINS PLC SPONSORED ADR SPONSORED ADR CMN	08-Feb-2010	29-Jun-2010	20	275.03	224.180	50.85	141	Short-Term
	TOMKINS PLC SPONSORED ADR SPONSORED ADR CMN	08-Feb-2010	30-Jun-2010	53	713.14	594.090	119.05	142	Short-Term
	TOMKINS PLC SPONSORED ADR SPONSORED ADR CMN	08-Feb-2010	01-Jul-2010	26	346.59	291.440	55.15	143	Short-Term
	TOMKINS PLC SPONSORED ADR SPONSORED ADR CMN	24-Mar-2010	01-Jul-2010	3	39.99	41.650	-1.66	99	Short-Term
	TOMKINS PLC SPONSORED ADR SPONSORED ADR CMN	30-Apr-2010	02-Jul-2010	148	1,993.57	2,266.950	-273.38	63	Short-Term
	TOMKINS PLC SPONSORED ADR SPONSORED ADR CMN	24-Mar-2010	02-Jul-2010	52	700.44	721.880	-21.44	100	Short-Term

< Previous Page 4 of 5 Next > Go to page:





PRIVATE WEALTH MANAGEMENT

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1099 revisions Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline. Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March. If you are issued a revised Form 1099 it will be posted separately from your original Form 1099. Please note that we will only furnish the IRS with the final version of your 1099s. If you have any questions, please contact your Private Wealth Management team.

Separate Account Strategy : Lord, Abbett & Co: Non-US Equity

Base Currency :USD

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- **Tax Correspondence.** See your actual 1099s from 1995 to present
- **Goldman Sachs Mutual Funds.** Shareholder Tax Information
- **K-1s** can be located on the Fund Correspondence Tab or Tax Correspondence.

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2 Choose An Existing View

 Realized Gains/Losses
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Choose a Date Range.

From Date: 01-Feb-2010

To Date: 31-Jan-2011

4 Search By Symbol/CUSIP

Include Options. ☒

Gain Type	Gains	Losses	Disallowed	Total
<u>Short-Term</u>	31,740.21	-114,822.96	0.00	-83,082.75
<u>Long-Term</u>	0.00	0.00	0.00	0.00
Total Realized Gains/Losses:	31,740.21	-114,822.96	0.00	-83,082.75

Page 1 of 5 [Next](#) > Go to page:

Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
AAUKY	ANGLO AMERICAN PLC ADR CMN	08-Feb-2010	05-May-2010	40	777.04	716.000	61.04	86	Short-Term
AAUKY	ANGLO AMERICAN PLC ADR CMN	08-Feb-2010	14-May-2010	49	922.98	877.100	45.88	95	Short-Term
AAUKY	ANGLO AMERICAN PLC ADR CMN	08-Feb-2010	17-May-2010	83	1,565.52	1,485.700	79.82	98	Short-Term
AAUKY	ANGLO AMERICAN PLC ADR CMN	08-Feb-2010	24-May-2010	67	1,209.32	1,199.300	10.02	105	Short-Term
AAUKY	ANGLO AMERICAN PLC ADR CMN	08-Feb-2010	25-May-2010	152	2,667.55	2,720.800	-53.25	106	Short-Term
AAUKY	ANGLO AMERICAN PLC ADR CMN	17-Feb-2010	10-Jun-2010	19	361.89	365.430	-3.55	113	Short-Term
AAUKY	ANGLO AMERICAN PLC ADR CMN	08-Feb-2010	10-Jun-2010	40	761.86	716.000	45.86	122	Short-Term
AAUKY	ANGLO AMERICAN PLC ADR CMN	17-Feb-2010	29-Jun-2010	43	772.34	827.030	-54.69	132	Short-Term
AAUKY	ANGLO AMERICAN PLC ADR CMN	19-Feb-2010	29-Jun-2010	11	197.58	207.910	-10.33	130	Short-Term
BUD	ANHEUSER-BUSCH INBEV S A SPONSORED ADR CMN	17-May-2010	25-May-2010	57	2,609.98	2,725.950	-115.97	8	Short-Term
BUD	ANHEUSER-BUSCH INBEV S A SPONSORED ADR CMN	17-May-2010	06-Aug-2010	63	3,297.01	3,012.890	284.12	81	Short-Term
BUD	ANHEUSER-BUSCH INBEV S A SPONSORED ADR CMN	24-May-2010	05-Nov-2010	11	674.66	527.260	147.39	165	Short-Term
BUD	ANHEUSER-BUSCH INBEV S A SPONSORED ADR CMN	17-May-2010	05-Nov-2010	19	1,165.31	908.650	256.67	172	Short-Term
MT	ARCELORMITTAL CMN	08-Feb-2010	17-Feb-2010	73	2,813.20	2,758.670	54.53	9	Short-Term
MT	ARCELORMITTAL CMN	08-Feb-2010	24-May-2010	79	2,332.62	2,985.410	-652.79	105	Short-Term
MT	ARCELORMITTAL CMN	08-Feb-2010	25-May-2010	57	1,600.53	2,154.030	-553.50	106	Short-Term
AXAHY	AXA-UAP AMERICAN DEPOSITARY SHARES	08-Feb-2010	28-Apr-2010	110	2,062.78	2,138.710	-75.93	79	Short-Term
AXAHY	AXA-UAP AMERICAN DEPOSITARY SHARES	08-Feb-2010	14-May-2010	49	799.99	952.700	-152.71	95	Short-Term
AXAHY	AXA-UAP AMERICAN DEPOSITARY SHARES	08-Feb-2010	17-May-2010	34	547.57	661.060	-113.49	98	Short-Term
AXAHY	AXA-UAP AMERICAN DEPOSITARY SHARES	08-Feb-2010	25-May-2010	101	1,518.00	1,963.720	-445.72	106	Short-Term
AXAHY	AXA-UAP AMERICAN DEPOSITARY SHARES	08-Feb-2010	01-Jul-2010	49	756.27	952.700	-196.43	143	Short-Term
									Short-Term

AXAMFY	AXA-UAP AMERICAN DEPOSITARY SHARES	08-Feb-2010	19-Nov-2010	36	625 33	699 940	-74.61	284	Term
BAESY	BAE SYSTEMS PLC SPONSORED ADR CMN	08-Feb-2010	10-Mar-2010	130	2,915 39	2,818 400	96.99	30	Short-Term
BAESY	BAE SYSTEMS PLC SPONSORED ADR CMN	08-Feb-2010	22-Mar-2010	111	2,602 75	2,406 480	196 27	42	Short-Term
BAESY	BAE SYSTEMS PLC SPONSORED ADR CMN	08-Feb-2010	24-Mar-2010	141	3,202 54	3,056 880	145 66	44	Short-Term
BAESY	BAE SYSTEMS PLC SPONSORED ADR CMN	08-Feb-2010	26-Apr-2010	69	1,540.85	1,495 920	44 93	77	Short-Term
BAESY	BAE SYSTEMS PLC SPONSORED ADR CMN	08-Feb-2010	25-May-2010	88	1,613 01	1,907.840	-294 83	106	Short-Term
BAESY	BAE SYSTEMS PLC SPONSORED ADR CMN	08-Feb-2010	26-May-2010	91	1,651 57	1,972 880	-321 31	107	Short-Term
BAESY	BAE SYSTEMS PLC SPONSORED ADR CMN	08-Feb-2010	27-May-2010	74	1,367 91	1,604.320	-236 41	108	Short-Term
STD	BANCO SANTANDER, S A SPON ADR	08-Feb-2010	17-Feb-2010	29	389 38	373.710	15 67	9	Short-Term
STD	BANCO SANTANDER, S A SPON ADR	08-Feb-2010	26-Apr-2010	515	6,897 74	6,636.650	261 09	77	Short-Term
BCS	BARCLAYS PLC,AMER DEP SHS ADR CMN	08-Feb-2010	25-May-2010	229	3,720 54	3,790 610	-70 07	106	Short-Term
BCS	BARCLAYS PLC,AMER DEP SHS ADR CMN	08-Feb-2010	03-Sep-2010	42	850 71	695 220	155 49	207	Short-Term
BASFY	BASF SE ADR SPONSORED ADR CMN USDO 7322	08-Feb-2010	25-May-2010	53	2,628.22	2,888 500	-260 28	106	Short-Term
BASFY	BASF SE ADR SPONSORED ADR CMN USDO 7322	08-Feb-2010	01-Jul-2010	22	1,203 72	1,199 000	4 72	143	Short-Term
BAYRY	BAYER AG-SPONSORED ADR SPONSORED ADR CMN	08-Oct-2010	15-Nov-2010	3	228 98	225 220	3 76	38	Short-Term
BAYRY	BAYER AG-SPONSORED ADR SPONSORED ADR CMN	08-Oct-2010	16-Nov-2010	6	449 10	450 440	-1 34	39	Short-Term
BAYRY	BAYER AG-SPONSORED ADR SPONSORED ADR CMN	11-Oct-2010	17-Nov-2010	1	74 74	74.840	-0 10	37	Short-Term
BAYRY	BAYER AG-SPONSORED ADR SPONSORED ADR CMN	08-Oct-2010	17-Nov-2010	10	747.40	750.730	-3 33	40	Short-Term
BAYRY	BAYER AG-SPONSORED ADR SPONSORED ADR CMN	11-Oct-2010	19-Nov-2010	15	1,183 82	1,122 540	61 28	39	Short-Term
BRGY	BG GROUP PLC SPON ADR ADR CMN	08-Feb-2010	25-May-2010	69	4,844 40	5,890 530	-1,046 13	106	Short-Term
BNPQY	BNP PARIBAS SPONSORED ADR CMN	10-May-2010	25-May-2010	70	1,929 16	2,371 600	-442 44	15	Short-Term
BNPQY	BNP PARIBAS SPONSORED ADR CMN	11-May-2010	25-May-2010	28	771 67	929 670	-158 01	14	Short-Term
BP	BP P L C SPONSORED ADR CMN	10-May-2010	15-Sep-2010	28	1,064 86	1,389 530	-324 67	128	Short-Term
BP	BP P L C SPONSORED ADR CMN	10-May-2010	25-May-2010	69	2,854 48	3,424 190	-569 71	15	Short-Term
BP	BP P L C SPONSORED ADR CMN	10-May-2010	11-Aug-2010	9	347 98	446 630	-98 65	93	Short-Term
BP	BP P L C SPONSORED ADR CMN	10-May-2010	20-Oct-2010	18	743 17	893 270	-150 10	163	Short-Term
BP	BP P L C SPONSORED ADR CMN	10-May-2010	04-Nov-2010	75	3,255 60	3,721.950	-466.35	178	Short-Term
BTI	BRITISH AMERICAN TOBACCO PLC SPONS ADR	08-Feb-2010	10-Mar-2010	32	2,143 80	2,090 360	53 45	30	Short-Term
BTI	BRITISH AMERICAN TOBACCO PLC SPONS ADR	08-Feb-2010	09-Apr-2010	57	3,901.63	3,723 440	178.19	60	Short-Term
BTI	BRITISH AMERICAN TOBACCO PLC SPONS ADR	08-Feb-2010	15-Apr-2010	81	5,493 93	5,291 210	202 72	66	Short-Term
CX	CEMEX, S A B DE C V SPONSORED ADR CMN	08-Feb-2010	24-Feb-2010	88	838 66	839 490	-0 83	16	Short-Term
CX	CEMEX, S A B DE C V SPONSORED ADR CMN	08-Feb-2010	25-May-2010	254	2,489 15	2,423 080	66 07	106	Short-Term
CX	CEMEX, S A B DE C V SPONSORED ADR CMN	08-Feb-2010	01-Jul-2010	44	417 20	403 730	13 47	143	Short-Term
CX	CEMEX, S A B DE C V SPONSORED ADR CMN	08-Feb-2010	12-Jul-2010	104	997 14	954 280	42 86	154	Short-Term
CX	CEMEX, S A B DE C V SPONSORED ADR CMN	08-Feb-2010	28-Jul-2010	109	1,047 96	1,000 160	47 81	170	Short-Term
CX	CEMEX, S A B DE C V SPONSORED ADR CMN	08-Feb-2010	11-Aug-2010	241	2,178 79	2,211 350	-32 56	184	Short-Term
CEDC	CENTRAL EURO DISTRIBUTION CORPORATION	12-Mar-2010	15-Sep-2010	56	1,414 07	2,081 830	-667 76	187	Short-Term
CEDC	CENTRAL EURO DISTRIBUTION CORPORATION	16-Apr-2010	15-Sep-2010	1	25 25	38.850	-13 60	152	Short-Term
CEDC	CENTRAL EURO DISTRIBUTION CORPORATION	12-Mar-2010	25-May-2010	101	2,390 06	3,754 720	-1,364 66	74	Short-Term
CEDC	CENTRAL EURO DISTRIBUTION CORPORATION	12-Mar-2010	11-Aug-2010	42	1,039 24	1,561 370	-522 13	152	Short-Term
CEDC	CENTRAL EURO DISTRIBUTION CORPORATION	16-Apr-2010	16-Dec-2010	18	416 29	699 300	-283.01	244	Short-Term

CEDC	CENTRAL EURO DISTRIBUTION CORPORATION	16-Apr-2010	22-Dec-2010	74	1,739 70	2,874 890	-1,135 19	250	Short-Term
CCH	COCA-COLA HELLENIC BOTTLING CO SPONSORED ADR CMN	08-Feb-2010	29-Mar-2010	152	4,097 30	3,358 790	738 51	49	Short-Term
SBS	COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADR	08-Feb-2010	24-May-2010	29	1,059 18	963 000	96 18	105	Short-Term
SBS	COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADR	08-Feb-2010	25-May-2010	12	415 88	398 480	17 40	106	Short-Term
SBS	COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADR	08-Feb-2010	03-Jun-2010	79	3,036 94	2,623 350	413 59	115	Short-Term
SBS	COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADR	08-Feb-2010	22-Dec-2010	22	1,146 13	730.550	415 58	317	Short-Term
SBS	COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADR	08-Feb-2010	24-Jan-2011	33	1,628 82	1,095 830	532 99	350	Short-Term
SBS	COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADR	08-Feb-2010	21-Jan-2011	48	2,334 90	1,593 940	740 96	347	Short-Term
BVN	COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN	08-Feb-2010	06-Apr-2010	66	2,213 47	2,000 060	213 41	57	Short-Term
BVN	COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN	08-Feb-2010	25-May-2010	80	2,775 22	2,424 310	350 91	106	Short-Term
BVN	COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN	08-Feb-2010	22-Dec-2010	28	1,346 57	848 510	498 06	317	Short-Term
BVN	COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN	08-Feb-2010	04-Jan-2011	43	1,979 66	1,303 070	676 59	330	Short-Term
CS	CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN	08-Feb-2010	24-Feb-2010	22	972.81	890 800	82 01	16	Short-Term
CS	CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN	08-Feb-2010	25-May-2010	55	2,059 16	2,227 010	-167.85	106	Short-Term
DDAIF	DAIMLER AG CMN ISIN. DE0007100000	08-Feb-2010	10-Sep-2010	19	1,055 09	861.520	193 57	214	Short-Term
DDAIF	DAIMLER AG CMN ISIN DE0007100000	08-Feb-2010	25-May-2010	109	4,941 12	4,942 390	-1 27	106	Short-Term
DDAIF	DAIMLER AG CMN ISIN DE0007100000	08-Feb-2010	01-Jul-2010	35	1,779 70	1,587 010	192 70	143	Short-Term
DDAIF	DAIMLER AG CMN ISIN DE0007100000	08-Feb-2010	12-Jul-2010	25	1,313.03	1,133.580	179 46	154	Short-Term
DBSDY	DBS GROUP HOLDINGS SPONSORED ADR CMN	08-Feb-2010	14-Sep-2010	21	878 39	850 080	28 31	218	Short-Term
DBSDY	DBS GROUP HOLDINGS SPONSORED ADR CMN	08-Feb-2010	25-May-2010	116	4,290 76	4,695 680	-404.92	106	Short-Term
DBSDY	DBS GROUP HOLDINGS SPONSORED ADR CMN	08-Feb-2010	10-Sep-2010	18	756 90	728 640	28 26	214	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	08-Feb-2010	01-Oct-2010	15	281 81	228 750	53 06	235	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	08-Feb-2010	04-Oct-2010	5	91 44	76 250	15 19	238	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	08-Feb-2010	05-Oct-2010	63	1,187 52	960 750	226 77	239	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	08-Feb-2010	14-Oct-2010	18	375 74	274 500	101 24	248	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	08-Feb-2010	25-May-2010	310	3,915 23	4,727 500	-812 27	106	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	08-Feb-2010	05-Aug-2010	13	223 59	198 250	25 34	178	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	08-Feb-2010	06-Aug-2010	23	390 71	350 750	39.96	179	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	08-Feb-2010	10-Dec-2010	19	427 31	289 750	137 56	305	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	29-Mar-2010	10-Dec-2010	3	67 47	50 850	16 62	256	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	07-Apr-2010	16-Dec-2010	6	132 31	102 590	29 72	253	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	29-Mar-2010	16-Dec-2010	7	154 36	118 650	35 71	262	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	06-Apr-2010	16-Dec-2010	57	1,256 90	986 350	270 55	254	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	07-Apr-2010	17-Dec-2010	6	132 35	102 590	29 76	254	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	07-Apr-2010	22-Dec-2010	6	130 19	102.590	27 60	259	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	07-Apr-2010	23-Dec-2010	43	937.72	735 210	202 51	260	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	08-Feb-2010	15-Oct-2010	13	269 71	198 250	71.46	249	Short-Term

Page 1 of 5 [Next >](#) Go to page: [90](#)

(i) This position is a gifted security

(ii) This position is an inherited security

(iii) This position is an inherited then gifted security



PRIVATE WEALTH MANAGEMENT

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Makray Fam Fdn Lord Abbett Non-US Eq CAR 020-56729-3

1099 revisions Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March. If you are issued a revised Form 1099 it will be posted separately from your original Form 1099 Please note that we will only furnish the IRS with the final version of your 1099s. If you have any questions, please contact your Private Wealth Management team.

Separate Account Strategy : Lord, Abbett & Co: Non-US Equity
Base Currency :USD

The Tax Center contains the following information about your account(s) if applicable

- **Realized Gains and Losses.** See your realized gains and losses
- **Tax Correspondence.** See your actual 1099s from 1995 to present
- **Goldman Sachs Mutual Funds.** Shareholder Tax Information
- **K-1s** can be located on the Fund Correspondence Tab or Tax Correspondence.

Select an Account or Group

Makray Fam Fdn Lord Abbett 020-56729-3

2 Choose An Existing View.

Realized Gains/Losses

> [Customize](#) this view or
> [Create One](#)

Choose a Date Range

From Date 01-Feb-2010

To Date 31-Jan-2011

4 Search By Symbol/CUSIP

Include Options ☒

Gain Type	Gains	Losses	Disallowed	Total
Short-Term	31,740.21	-114,822.96	0.00	-83,082.75
Long-Term	0.00	0.00	0.00	0.00
Total Realized Gains/Losses:	31,740.21	-114,822.96	0.00	-83,082.75

[< Previous](#) Page 5 of 5 Go to page:

Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
TOT	TOTAL SA SPONSORED ADR CMN	11-Mar-2010	07-May-2010	35	1,690.68	2,041.030	-350.35	57	Short-Term
TOT	TOTAL SA SPONSORED ADR CMN	08-Feb-2010	07-May-2010	118	5,700.01	6,544.810	-844.80	88	Short-Term
RIG	TRANSOCEAN LTD CMN	08-Feb-2010	26-Apr-2010	10	889.78	840.600	49.18	77	Short-Term
RIG	TRANSOCEAN LTD CMN	08-Feb-2010	29-Apr-2010	78	6,124.30	6,556.680	-432.38	80	Short-Term
RIG	TRANSOCEAN LTD CMN	08-Feb-2010	25-May-2010	32	1,727.33	2,689.920	-962.59	106	Short-Term
RIG	TRANSOCEAN LTD CMN	08-Feb-2010	05-Aug-2010	45	2,565.55	3,782.700	-1,217.15	178	Short-Term
RIG	TRANSOCEAN LTD CMN	13-Apr-2010	05-Aug-2010	15	855.18	1,268.950	-413.77	114	Short-Term
TUWOY	TULLOW OIL PLC ADR CMN	30-Apr-2010	25-May-2010	88	632.70	779.650	-146.95	25	Short-Term
TUWOY	TULLOW OIL PLC ADR CMN	03-May-2010	25-May-2010	13	93.47	116.270	-22.80	22	Short-Term
VALEP	VALE SA ADR SERIES A	17-Feb-2010	09-Apr-2010	38	1,093.94	915.570	178.37	51	Short-Term
VALEP	VALE SA ADR SERIES A	17-Feb-2010	13-Apr-2010	69	1,987.43	1,662.480	324.95	55	Short-Term
VALEP	VALE SA ADR SERIES A	17-Feb-2010	26-Apr-2010	64	1,812.99	1,542.010	270.98	68	Short-Term
VALEP	VALE SA ADR SERIES A	24-Feb-2010	05-May-2010	62	1,507.73	1,501.040	6.69	70	Short-Term
VALEP	VALE SA ADR SERIES A	17-Feb-2010	05-May-2010	202	4,912.30	4,866.970	45.33	77	Short-Term
VCISY	VINCI S A ADR CMN	08-Feb-2010	06-Apr-2010	85	1,259.81	1,104.000	155.81	57	Short-Term
VCISY	VINCI S A ADR CMN	08-Feb-2010	05-May-2010	36	452.91	467.580	-14.67	86	Short-Term
VCISY	VINCI S A ADR CMN	08-Feb-2010	25-May-2010	287	2,976.13	3,727.610	-751.48	106	Short-Term
VCISY	VINCI S A ADR CMN	08-Feb-2010	23-Jun-2010	86	984.07	1,116.980	-132.92	135	Short-Term
VCISY	VINCI S A ADR CMN	08-Feb-2010	24-Jun-2010	10	113.29	129.880	-16.59	136	Short-Term
VCISY	VINCI S A ADR CMN	08-Feb-2010	25-Jun-2010	69	754.69	896.190	-141.50	137	Short-Term
VOD	VODAFONE GROUP PLC SPONSORED ADR CMN	08-Feb-2010	25-May-2010	203	3,794.00	4,429.660	-635.66	106	Short-Term

Short-

VOD	VODAFONE GROUP PLC SPONSORED ADR CMN	08-Feb-2010	11-Aug-2010	16	378 65	349 140	29 51	184	Term
VOD	VODAFONE GROUP PLC SPONSORED ADR CMN	08-Feb-2010	05-Nov-2010	55	1,550 85	1,200 160	350 70	270	Short-Term
WPPGY	WPP PLC ADR CMN	27-Apr-2010	25-May-2010	63	2,743 42	3,423 390	-679 97	28	Short-Term
WPPGY	WPP PLC ADR CMN	29-Apr-2010	25-May-2010	4	174 19	212 290	-38 10	26	Short-Term
WPPGY	WPP PLC ADR CMN	29-Apr-2010	27-Oct-2010	21	1,196 29	1,114 520	81 77	181	Short-Term
WPPGY	WPP PLC ADR CMN	29-Apr-2010	05-Oct-2010	15	835.61	796 090	39 52	159	Short-Term
XSRAY	XSTRATA PLC ADR CMN	12-Apr-2010	25-May-2010	364	950 02	1,446 930	-496 91	43	Short-Term
XSRAY	XSTRATA PLC ADR CMN	09-Apr-2010	25-May-2010	531	1,385 89	2,128 350	-742 46	46	Short-Term
XSRAY	XSTRATA PLC ADR CMN	13-Apr-2010	10-Jun-2010	177	511 83	691 590	-179 76	58	Short-Term
XSRAY	XSTRATA PLC ADR CMN	12-Apr-2010	10-Jun-2010	80	231 34	318 010	-86 67	59	Short-Term
HOGS	ZHONGPIN INC CMN	08-Feb-2010	25-May-2010	139	1,549.82	1,649.460	-99 64	106	Short-Term
HOGS	ZHONGPIN INC CMN	08-Feb-2010	11-Aug-2010	59	850.80	700 130	150 67	184	Short-Term
HOGS	ZHONGPIN INC CMN	11-Mar-2010	27-Oct-2010	37	729 36	500 500	228 85	230	Short-Term
HOGS	ZHONGPIN INC CMN	08-Feb-2010	27-Oct-2010	23	453 38	272 930	180 45	261	Short-Term
HOGS	ZHONGPIN INC CMN	11-Mar-2010	04-Nov-2010	36	832 63	486 980	345.65	238	Short-Term
HOGS	ZHONGPIN INC CMN	11-Mar-2010	17-Nov-2010	13	250.89	175 850	75 04	251	Short-Term
HOGS	ZHONGPIN INC CMN	11-Mar-2010	18-Nov-2010	66	1,282.34	892 790	389 55	252	Short-Term
HOGS	ZHONGPIN INC CMN	11-Mar-2010	19-Nov-2010	6	115 58	81 160	34 42	253	Short-Term
HOGS	ZHONGPIN INC CMN	11-Mar-2010	23-Nov-2010	7	126 12	94 690	31 43	257	Short-Term
HOGS	ZHONGPIN INC CMN	11-Mar-2010	22-Nov-2010	15	284 05	202 910	81 14	256	Short-Term
HOGS	ZHONGPIN INC CMN	10-Jun-2010	23-Nov-2010	56	1,008 92	620 180	388 74	166	Short-Term
ZFSVY	ZURICH FINANCIAL SERVICES SPONSORED ADR CMN	08-Feb-2010	25-May-2010	150	2,881.45	3,337 500	-456 05	106	Short-Term
ZFSVY	ZURICH FINANCIAL SERVICES SPONSORED ADR CMN	08-Feb-2010	16-Sep-2010	10	236 40	222 500	13 90	220	Short-Term
ZFSVY	ZURICH FINANCIAL SERVICES SPONSORED ADR CMN	08-Feb-2010	15-Sep-2010	32	764 50	712 000	52 50	219	Short-Term
ZFSVY	ZURICH FINANCIAL SERVICES SPONSORED ADR CMN	08-Feb-2010	20-Sep-2010	40	944 28	890 000	54 28	224	Short-Term
ZFSVY	ZURICH FINANCIAL SERVICES SPONSORED ADR CMN	08-Feb-2010	19-Nov-2010	99	2,351 22	2,202 750	148 47	284	Short-Term
ZFSVY	ZURICH FINANCIAL SERVICES SPONSORED ADR CMN	22-Mar-2010	19-Nov-2010	25	593 74	627 580	-33 84	242	Short-Term
ZFSVY	ZURICH FINANCIAL SERVICES SPONSORED ADR CMN	23-Mar-2010	19-Nov-2010	12	285 00	302 550	-17 55	241	Short-Term
ZFSVY	ZURICH FINANCIAL SERVICES SPONSORED ADR CMN	24-Mar-2010	19-Nov-2010	10	237 50	247 700	-10 20	240	Short-Term
ZFSVY	ZURICH FINANCIAL SERVICES SPONSORED ADR CMN	25-Mar-2010	19-Nov-2010	55	1,306 23	1,367 260	-61.03	239	Short-Term
TOTAL					1,345,936.97		-83,082.75		

◀ Previous Page 5 of 5 Go to page:



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(ii) This position is an inherited security

(iii) This position is an inherited then gifted security

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