Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation
## or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

**Note.** The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

**2010**

**For calendar year 2010, or tax year beginning , 2010, and ending ,**

G Check all that apply: ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

THE LAWRENCE FOUNDATION
530 WILSHIRE BOULEVARD #207
SANTA MONICA, CA 90401-1427

A Employer identification number: 95-4804431

B Telephone number (see the instructions): 970-870-9456

C If exemption application is pending, check here ► ☐

D 1 Foreign organizations, check here ► ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, column (c), line 16)* ► $ 4,224,242.

J Accounting method [X] Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis )*

**Part I** **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions) )*

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| **REVENUE** | | | | | |
| 1 | Contributions, gifts, grants, etc, received (att sch) | | | | |
| 2 | Ck ► [X] if the foundn is **not** req to att Sch B | | | | |
| 3 | Interest on savings and temporary cash investments | 7. | 7. | N/A | |
| 4 | Dividends and interest from securities | 67,746. | 67,746. | | |
| 5a | Gross rents | | | | |
| b | Net rental income or (loss) | | | | |
| 6a | Net gain/(loss) from sale of assets not on line 10 | 150,673. | | | |
| b | Gross sales price for all assets on line 6a 851,115. | | | | |
| 7 | Capital gain net income (from Part IV, line 2) | | 150,673. | | |
| 8 | Net short-term capital gain | | | | |
| 9 | Income modifications | | | | |
| 10a | Gross sales less returns and allowances | | | | |
| b | Less Cost of goods sold | | | | |
| c | Gross profit/(loss) (att sch) | | | | |
| 11 | Other income (attach schedule) | | | | |
| 12 | **Total.** Add lines 1 through 11 | 218,426. | 218,426. | | |
| **OPERATING AND ADMINISTRATIVE EXPENSES** | | | | | |
| 13 | Compensation of officers, directors, trustees, etc | 44,500. | | | 44,500. |
| 14 | Other employee salaries and wages | | | | |
| 15 | Pension plans, employee benefits | | | | |
| 16a | Legal fees (attach schedule) | | | | |
| b | Accounting fees (attach sch) SEE ST 1 | 8,000. | | | 8,000. |
| c | Other prof fees (attach sch) | | | | |
| 17 | Interest | | | | |
| 18 | Taxes (attach schedule)(see instr ) SEE STM 2 | 4,461. | 1,057. | | 3,404. |
| 19 | Depreciation (attach sch) and depletion | | | | |
| 20 | Occupancy | | | | |
| 21 | Travel, conferences, and meetings | 16,948. | | | 16,948. |
| 22 | Printing and publications | | | | |
| 23 | Other expenses (attach schedule) SEE STATEMENT 3 | 33,597. | 19,337. | | 14,260. |
| 24 | **Total operating and administrative expenses.** Add lines 13 through 23 | 107,506. | 20,394. | | 87,112. |
| 25 | Contributions, gifts, grants paid PART XV | 201,000. | | | 201,000. |
| 26 | **Total expenses and disbursements.** Add lines 24 and 25 | 308,506. | 20,394. | | 288,112. |
| 27 | Subtract line 26 from line 12: | | | | |
| a | **Excess of revenue over expenses and disbursements** | -90,080. | | | |
| b | **Net investment income** (if negative, enter -0-) | | 198,032. | | |
| c | **Adjusted net income** (if negative, enter -0-) | | | | |

RECEIVED
MAY 19 2011
OGDEN, UT
IRS-OSC

SCANNED MAY 23 2011

**BAA For Paperwork Reduction Act Notice, see the instructions.** TEEA0504L 07/23/10 Form **990-PF** (2010)

64 1

## Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

| | | Beginning of year | End of year | |
|---|---|---|---|---|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| ASSETS | 1 Cash – non-interest-bearing | | | |
| | 2 Savings and temporary cash investments | 220,995. | 258,060. | 258,060. |
| | 3 Accounts receivable ► | | | |
| | Less: allowance for doubtful accounts ► | | | |
| | 4 Pledges receivable ► | | | |
| | Less: allowance for doubtful accounts ► | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions) | | | |
| | 7 Other notes and loans receivable (attach sch) ► | | | |
| | Less: allowance for doubtful accounts ► | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments – U S and state government obligations (attach schedule) | | | |
| | b Investments – corporate stock (attach schedule) | 3,693,495. | 3,571,199. | 3,966,182. |
| | c Investments – corporate bonds (attach schedule) | | | |
| | 11 Investments – land, buildings, and equipment basis ► | | | |
| | Less: accumulated depreciation (attach schedule) ► | | | |
| | 12 Investments – mortgage loans | | | |
| | 13 Investments – other (attach schedule) | | | |
| | 14 Land, buildings, and equipment: basis ► | | | |
| | Less accumulated depreciation (attach schedule) ► | | | |
| | 15 Other assets (describe ► ) | | | |
| | 16 Total assets (to be completed by all filers – see instructions. Also, see page 1, item I) | 3,914,490. | 3,829,259. | 4,224,242. |
| LIABILITIES | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, & other disqualified persons | | | |
| | 21 Mortgages and other notes payable (attach schedule) | | | |
| | 22 Other liabilities (describe ► SEE STATEMENT 4 ) | 373. | 5,222. | |
| | 23 Total liabilities (add lines 17 through 22) | 373. | 5,222. | |
| NET ASSETS OR FUND BALANCES | Foundations that follow SFAS 117, check here ► [X] and complete lines 24 through 26 and lines 30 and 31. | | | |
| | 24 Unrestricted | 3,914,117. | 3,824,037. | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | Foundations that do not follow SFAS 117, check here ► [ ] and complete lines 27 through 31. | | | |
| | 27 Capital stock, trust principal, or current funds | | | |
| | 28 Paid-in or capital surplus, or land, building, and equipment fund | | | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | | | |
| | 30 Total net assets or fund balances (see the instructions) | 3,914,117. | 3,824,037. | |
| | 31 Total liabilities and net assets/fund balances (see the instructions) | 3,914,490. | 3,829,259. | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 3,914,117. |
| 2 | Enter amount from Part I, line 27a | 2 | -90,080. |
| 3 | Other increases not included in line 2 (itemize) ► | 3 | |
| 4 | Add lines 1, 2, and 3 | 4 | 3,824,037. |
| 5 | Decreases not included in line 2 (itemize) ► | 5 | |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30 | 6 | 3,824,037. |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company) | (b) How acquired P — Purchase D — Donation | (c) Date acquired (month, day, year) | (d) Date sold (month, day, year) |
|---|---|---|---|---|
| 1a | SEE STATEMENT 5 | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) Fair Market Value as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of column (i) over column (j), if any | (l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h)) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss). If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 | 2 | 150,673. |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0- in Part I, line 8 | 3 | 38,164. |

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (column (b) divided by column (c)) |
|---|---|---|---|
| 2009 | 223,785. | 3,607,387. | 0.062035 |
| 2008 | 256,986. | 3,684,174. | 0.069754 |
| 2007 | 447,953. | 5,155,704. | 0.086885 |
| 2006 | 440,373. | 4,907,431. | 0.089736 |
| 2005 | 528,802. | 4,657,752. | 0.113532 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | 0.421942 |
| 3 | Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0.084388 |
| 4 | Enter the net value of noncharitable-use assets for 2010 from Part X, line 5 | 4 | 3,984,300. |
| 5 | Multiply line 4 by line 3 | 5 | 336,227. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 1,980. |
| 7 | Add lines 5 and 6 | 7 | 338,207. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 288,112. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

| | | | |
|---|---|---|---|
| 1 a Exempt operating foundations described in section 4940(d)(2), check here ► [ ] and enter 'N/A' on line 1. Date of ruling or determination letter. ________ (attach copy of letter if necessary – see instr.) b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► [ ] and enter 1% of Part I, line 27b c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b) | | 1 | 3,961. |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | 2 | 0. |
| 3 Add lines 1 and 2 | | 3 | 3,961. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | 4 | 0. |
| 5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- | | 5 | 3,961. |
| 6 Credits/Payments: | | | |
| a 2010 estimated tax pmts and 2009 overpayment credited to 2010 | 6a 4,705. | | |
| b Exempt foreign organizations – tax withheld at source | 6b | | |
| c Tax paid with application for extension of time to file (Form 8868) | 6c | | |
| d Backup withholding erroneously withheld | 6d | | |
| 7 Total credits and payments Add lines 6a through 6d | | 7 | 4,705. |
| 8 Enter any **penalty** for underpayment of estimated tax Check here [X] if Form 2220 is attached | | 8 | |
| 9 **Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ► | | 9 | 0. |
| 10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ► | | 10 | 744. |
| 11 Enter the amount of line 10 to be. **Credited to 2011 estimated tax** ► 744. | **Refunded** ► | 11 | 0. |

## Part VII-A Statements Regarding Activities

| | | Yes | No |
|---|---|---|---|
| 1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? | 1b | | X |
| *If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities* | | | |
| c Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ► $ 0. **(2)** On foundation managers ► $ 0. | | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ 0. | | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities.* | 2 | | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes* | 3 | | X |
| 4a Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b If 'Yes,' has it filed a tax return on **Form 990-T** for this year? | 4b | N/A | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T.* | 5 | | X |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV* | 7 | X | |
| 8a Enter the states to which the foundation reports or with which it is registered (see the instructions) ► CA | | | |
| b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation* | 8b | X | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV* | 9 | | X |
| 10 Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses* | 10 | | X |

## Part VII-A Statements Regarding Activities (Continued)

| | | Line | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ► WWW.THELAWRENCEFOUNDATION.ORG

14 The books are in care of ► LORI MITCHELL Telephone no ► 970-870-9456

Located at ► 530 WILSHIRE BLVD. #207 SANTA MONICA CA ZIP + 4 ► 90401-1427

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here N/A ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

| | | Line | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If 'Yes,' enter the name of the foreign country ► | | | |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

| **File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.** | | Line | Yes | No |
|---|---|---|---|---|
| **1 a** During the year did the foundation (either directly or indirectly) | | | | |
| **(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? | ☐ Yes ☒ No | | | |
| **(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | ☐ Yes ☒ No | | | |
| **(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? | ☐ Yes ☒ No | | | |
| **(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | ☐ Yes ☒ No | | | |
| **(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | ☐ Yes ☒ No | | | |
| **(6)** Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) | ☐ Yes ☒ No | | | |
| **b** If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? | | 1b | N/A | |
| Organizations relying on a current notice regarding disaster assistance check here | ► ☐ | | | |
| **c** Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010? | | 1c | | X |
| **2** Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)). | | | | |
| **a** At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__ | ☐ Yes ☒ No | | | |
| **b** Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see the instructions ) | | 2b | N/A | |
| **c** If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ► 20__ , 20__ , 20__ , 20__ | | | | |
| **3a** Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | ☐ Yes ☒ No | | | |
| **b** If 'Yes,' did it have excess business holdings in 2010 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010 )* | | 3b | N/A | |
| **4a** Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | 4a | | X |
| **b** Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010? | | 4b | | X |



TEEA0305L 02/16/11

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ► ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

*If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)*

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X (No)

*If 'Yes' to 6b, file Form 8870.*

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| (a) Name and address | (b) Title and average hours per week devoted to position | (c) Compensation **(If not paid, enter -0-)** | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| JEFFREY LAWRENCE<br>530 WILSHIRE BLVD., SUITE 207<br>SANTA MONICA, CA 90401 | TRUSTEE<br>5.00 | 0. | 0. | 0. |
| DIANE TROTH<br>530 WILSHIRE BLVD., SUITE 207<br>SANTA MONICA, CA 90401 | TRUSTEE<br>5.00 | 0. | 0. | 0. |
| LORI READ MITCHELL<br>530 WILSHIRE BLVD., SUITE 207<br>SANTA MONICA, CA 90401 | EXEC. DIRECT<br>20.00 | 44,500. | 0. | 0. |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'**

| (a) Name and address of each employee paid more than $50,000 | (b) Title and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ► 0

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services ► | | 0 |

## Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|---|---|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

## Part IX-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 N/A | |
| 2 | |
| All other program-related investments. See instructions<br>3 | |
| **Total.** Add lines 1 through 3 ► | 0. |



TEEA0307L 02/16/11

## Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | |
|---|---|---|
| 1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes: | | |
| a Average monthly fair market value of securities | 1a | 3,839,173. |
| b Average of monthly cash balances | 1b | 205,802. |
| c Fair market value of all other assets (see instructions) | 1c | |
| d Total (add lines 1a, b, and c) | 1d | 4,044,975. |
| e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0. | | |
| 2 Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 Subtract line 2 from line 1d | 3 | 4,044,975. |
| 4 Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions) | 4 | 60,675. |
| 5 **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 3,984,300. |
| 6 **Minimum investment return.** Enter 5% of line 5 | 6 | 199,215. |

## Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

| | | |
|---|---|---|
| 1 Minimum investment return from Part X, line 6 | 1 | 199,215. |
| 2a Tax on investment income for 2010 from Part VI, line 5 2a 3,961. | | |
| b Income tax for 2010 (This does not include the tax from Part VI ) 2b | | |
| c Add lines 2a and 2b | 2c | 3,961. |
| 3 Distributable amount before adjustments Subtract line 2c from line 1 | 3 | 195,254. |
| 4 Recoveries of amounts treated as qualifying distributions | 4 | |
| 5 Add lines 3 and 4 | 5 | 195,254. |
| 6 Deduction from distributable amount (see instructions) | 6 | |
| 7 **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | 7 | 195,254. |

## Part XII Qualifying Distributions (see instructions)

| | | |
|---|---|---|
| 1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes. | | |
| a Expenses, contributions, gifts, etc — total from Part I, column (d), line 26 | 1a | 288,112. |
| b Program-related investments — total from Part IX-B | 1b | |
| 2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes | 2 | |
| 3 Amounts set aside for specific charitable projects that satisfy the: | | |
| a Suitability test (prior IRS approval required) | 3a | |
| b Cash distribution test (attach the required schedule) | 3b | |
| 4 **Qualifying distributions** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 288,112. |
| 5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions) | 5 | |
| 6 **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 288,112. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII** **Undistributed Income** (see instructions)

| | | (a) Corpus | (b) Years prior to 2009 | (c) 2009 | (d) 2010 |
|---|---|---|---|---|---|
| **1** Distributable amount for 2010 from Part XI, line 7 | | | | | 195,254. |
| **2** Undistributed income, if any, as of the end of 2010: | | | | | |
| **a** Enter amount for 2009 only | | | | 0. | |
| **b** Total for prior years: 20___, 20___, 20___ | | | 0. | | |
| **3** Excess distributions carryover, if any, to 2010: | | | | | |
| **a** From 2005 | 329,848. | | | | |
| **b** From 2006 | 202,271. | | | | |
| **c** From 2007 | 197,048. | | | | |
| **d** From 2008 | 73,864. | | | | |
| **e** From 2009 | 44,504. | | | | |
| **f Total** of lines 3a through e | | 847,535. | | | |
| **4** Qualifying distributions for 2010 from Part XII, line 4 ► $ 288,112. | | | | | |
| **a** Applied to 2009, but not more than line 2a | | | | 0. | |
| **b** Applied to undistributed income of prior years (Election required – see instructions) | | | 0. | | |
| **c** Treated as distributions out of corpus (Election required – see instructions) | | 0. | | | |
| **d** Applied to 2010 distributable amount | | | | | 195,254. |
| **e** Remaining amount distributed out of corpus | | 92,858. | | | |
| **5** Excess distributions carryover applied to 2010 *(If an amount appears in column (d), the same amount must be shown in column (a).)* | | 0. | | | 0. |
| **6 Enter the net total of each column as indicated below:** | | | | | |
| **a** Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | | 940,393. | | | |
| **b** Prior years' undistributed income. Subtract line 4b from line 2b | | | 0. | | |
| **c** Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | 0. | | |
| **d** Subtract line 6c from line 6b. Taxable amount – see instructions | | | 0. | | |
| **e** Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount – see instructions | | | | 0. | |
| **f** Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011 | | | | | 0. |
| **7** Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) | | 0. | | | |
| **8** Excess distributions carryover from 2005 not applied on line 5 or line 7 (see instructions) | | 329,848. | | | |
| **9 Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a | | 610,545. | | | |
| **10** Analysis of line 9 | | | | | |
| **a** Excess from 2006 | 202,271. | | | | |
| **b** Excess from 2007 | 197,048. | | | | |
| **c** Excess from 2008 | 73,864. | | | | |
| **d** Excess from 2009 | 44,504. | | | | |
| **e** Excess from 2010 | 92,858. | | | | |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2010 | (b) 2009 | (c) 2008 | (d) 2007 | (e) Total |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: | | | | | |
| a 'Assets' alternative test — enter | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c 'Support' alternative test — enter | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year — see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

SEE STATEMENT 6

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ► ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

SEE STATEMENT 7

b The form in which applications should be submitted and information and materials they should include:

SEE STATEMENT FOR LINE 2A

c Any submission deadlines

SEE STATEMENT FOR LINE 2A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE STATEMENT FOR LINE 2A

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| *a Paid during the year*<br>SEE STATEMENT 8 | | | | |
| **Total** | | | ► 3a | 201,000. |
| *b Approved for future payment* | | | | |
| **Total** | | | ► 3b | |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (see the instructions) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 7. | |
| 4 Dividends and interest from securities | | | 14 | 67,746. | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 150,673. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | | | 218,426. | |

13 **Total.** Add line 12, columns (b), (d), and (e) 13 218,426.

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See the instructions.) |
|---|---|
| N/A | |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | Yes | No |
|---|---|---|---|
| 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| (1) Cash | 1a (1) | | X |
| (2) Other assets | 1a (2) | | X |
| b Other transactions | | | |
| (1) Sales of assets to a noncharitable exempt organization | 1b (1) | | X |
| (2) Purchases of assets from a noncharitable exempt organization | 1b (2) | | X |
| (3) Rental of facilities, equipment, or other assets | 1b (3) | | X |
| (4) Reimbursement arrangements | 1b (4) | | X |
| (5) Loans or loan guarantees | 1b (5) | | X |
| (6) Performance of services or membership or fundraising solicitations | 1b (6) | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is 'Yes,' complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| N/A | | | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| N/A | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 5·16·11 Title: ~~TRUSTEE~~ Executive Director

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| ANDREW M. LEVINSON | ANDREW M. LEVINSON | 5/12/11 | | P00012910 |

Firm's name ▶ GISH SEIDEN LLP — Firm's EIN ▶ 95-1444411

Firm's address ▶ 21700 OXNARD STREET SUITE 850 WOODLAND HILLS, CA 91367 — Phone no 818-854-6100

**STATEMENT 1**
**FORM 990-PF, PART I, LINE 16B**
**ACCOUNTING FEES**

| | (A) EXPENSES PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| ACCOUNTING FEES | $ 8,000. | | | $ 8,000. |
| TOTAL | $ 8,000. | $ 0. | | $ 8,000. |

**STATEMENT 2**
**FORM 990-PF, PART I, LINE 18**
**TAXES**

| | (A) EXPENSES PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| FOREIGN TAXES WITHHELD | $ 1,057. | $ 1,057. | | |
| PAYROLL TAXES | 3,404. | | | $ 3,404. |
| TOTAL | $ 4,461. | $ 1,057. | | $ 3,404. |

**STATEMENT 3**
**FORM 990-PF, PART I, LINE 23**
**OTHER EXPENSES**

| | (A) EXPENSES PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| DUES AND SUBSCRIPTIONS | $ 1,657. | | | $ 1,657. |
| FINANCIAL MANAGEMENT FEES | 19,337. | $ 19,337. | | |
| GRANT MANAGEMENT | 5,893. | | | 5,893. |
| INSURANCE | 1,774. | | | 1,774. |
| INTERNET EXPENSES | 633. | | | 633. |
| OFFICE EXPENSE | 2,535. | | | 2,535. |
| REGISTRY OF CHARITABLE TRUSTS | 10. | | | 10. |
| TELEPHONE | 1,523. | | | 1,523. |
| WEBSITE MAINTENANCE | 235. | | | 235. |
| TOTAL | $ 33,597. | $ 19,337. | | $ 14,260. |

**STATEMENT 4**
**FORM 990-PF, PART II, LINE 22**
**OTHER LIABILITIES**

| | |
|---|---|
| PAYROLL TAX PAYABLE | $ 4,249. |
| CREDIT CARD PAYABLE | 973. |
| TOTAL | $ 5,222. |

**STATEMENT 5**
**FORM 990-PF, PART IV, LINE 1**
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| ITEM | (A) DESCRIPTION | (B) HOW ACQUIRED | (C) DATE ACQUIRED | (D) DATE SOLD |
|---|---|---|---|---|
| 1 | CREDIT SUISSE 39 LT - SEE SCHEDULE | PURCHASED | VARIOUS | VARIOUS |
| 2 | CREDIT SUISSE 47 ST - SEE SCHEDULE | PURCHASED | VARIOUS | VARIOUS |
| 3 | CREDIT SUISSE 47 LT - SEE SCHEDULE | PURCHASED | VARIOUS | VARIOUS |
| 4 | CREDIT SUISSE 01 ST - SEE SCHEDULE | PURCHASED | VARIOUS | VARIOUS |
| 5 | CREDIT SUISSE 01 LT - SEE SCHEDULE | PURCHASED | VARIOUS | VARIOUS |
| 6 | CREDIT SUISSE 30 ST - SEE SCHEDULE | PURCHASED | VARIOUS | VARIOUS |
| 7 | CREDIT SUISSE 30 LT - SEE SCHEDULE | PURCHASED | VARIOUS | VARIOUS |
| 8 | CREDIT SUISSE 96 LT - SEE SCHEDULE | PURCHASED | VARIOUS | VARIOUS |
| 9 | CREDIT SUISSE 96 ST - CAP GAIN DISTR | PURCHASED | VARIOUS | VARIOUS |
| 10 | CREDIT SUISSE 96 LT - CAP GAIN DISTR | PURCHASED | VARIOUS | VARIOUS |
| 11 | CREDIT SUISSE 25 ST - SEE SCHEDULE | PURCHASED | VARIOUS | VARIOUS |
| 12 | CREDIT SUISSE 25 LT - SEE SCHEDULE | PURCHASED | VARIOUS | VARIOUS |
| 13 | LB OFFSHORE LONG/SHORT FUND II | PURCHASED | VARIOUS | VARIOUS |
| 14 | LB OFFSHORE DIVERSIFIED ARB FUND | PURCHASED | VARIOUS | VARIOUS |

| ITEM | (E) GROSS SALES | (F) DEPREC. ALLOWED | (G) COST BASIS | (H) GAIN (LOSS) | (I) FMV 12/31/69 | (J) ADJ. BAS. 12/31/69 | (K) EXCESS (I)-(J) | (L) GAIN (LOSS) |
|---|---|---|---|---|---|---|---|---|
| 1 | 32,869. | | 37,399. | -4,530. | | | | $ -4,530. |
| 2 | 27,682. | | 25,761. | 1,921. | | | | 1,921. |
| 3 | 55,525. | | 38,522. | 17,003. | | | | 17,003. |
| 4 | 78,917. | | 73,960. | 4,957. | | | | 4,957. |
| 5 | 225,745. | | 208,189. | 17,556. | | | | 17,556. |
| 6 | 19,615. | | 15,588. | 4,027. | | | | 4,027. |
| 7 | 60,249. | | 56,168. | 4,081. | | | | 4,081. |
| 8 | 55,000. | | 53,331. | 1,669. | | | | 1,669. |
| 9 | 27,125. | | 0. | 27,125. | | | | 27,125. |
| 10 | 17,689. | | 0. | 17,689. | | | | 17,689. |
| 11 | 8,644. | | 8,510. | 134. | | | | 134. |
| 12 | 213,807. | | 163,241. | 50,566. | | | | 50,566. |
| 13 | 22,886. | | 14,411. | 8,475. | | | | 8,475. |
| 14 | 5,362. | | 5,362. | 0. | | | | 0. |
| | | | | | | | TOTAL | $ 150,673. |

**STATEMENT 6**
**FORM 990-PF, PART XV, LINE 1A**
**FOUNDATION MANAGERS - 2% OR MORE CONTRIBUTORS**

JEFFREY LAWRENCE
DIANE TROTH

**STATEMENT 7**
**FORM 990-PF, PART XV, LINE 2A-D**
**APPLICATION SUBMISSION INFORMATION**

| | |
|---|---|
| NAME OF GRANT PROGRAM: | ALL GRANT PROGRAMS |
| NAME: | THE LAWRENCE FOUNDATION |
| CARE OF: | LORI MITCHELL, EXECUTIVE DIRECTOR |
| STREET ADDRESS: | 530 WILSHIRE BLVD, #207 |
| CITY, STATE, ZIP CODE: | SANTA MONICA, CA 90401-1427 |
| TELEPHONE: | 970-870-9456 |

**STATEMENT 7 (CONTINUED)**
**FORM 990-PF, PART XV, LINE 2A-D**
**APPLICATION SUBMISSION INFORMATION**

| | |
|---|---|
| FORM AND CONTENT: | SEE GUIDELINES FROM WEBSITE |
| SUBMISSION DEADLINES: | SEE GUIDELINES FROM WEBSITE |
| RESTRICTIONS ON AWARDS: | SEE GUIDELINES FROM WEBSITE |

**STATEMENT 8**
**FORM 990-PF, PART XV, LINE 3A**
**RECIPIENT PAID DURING THE YEAR**

| NAME AND ADDRESS | DONEE RELATIONSHIP | FOUNDATION STATUS | PURPOSE OF GRANT | AMOUNT |
|---|---|---|---|---|
| BRIGHT BEGINNINGS, INC.<br>128 M ST, NW<br>WASHINGTON, DC 20001 | NONE | 501C3 | TO SUPPORT CHILDBIRTH AND FAMILY RESOURCE CENTER | $ 5,000. |
| CARE USA<br>151 ELLIS ST NE<br>ATLANTA, GA 30303 | NONE | 501C3 | FOR FIGHTING GLOBAL POVERTY | 5,000. |
| CHILDREN'S BUREAU OF SOUTHERN CALIFORNIA<br>1910 MAGNOLIA AVE<br>LOS ANGELES, CA 90007 | NONE | 501C3 | FOR CHILD ABUSE PREVENTION, PARENTING EDUCATION | 5,000. |
| CHILDREN'S ORGAN TRANSPLANT ASSOCIATION<br>2501 WEST COTA DRIVE<br>BLOOMINGTON, IN 47403 | NONE | 501C3 | TO ASSIST PARENTS OF CHILDREN WHO REQUIRE ORGAN TRANSPLANTS | 5,000. |
| ENVIRONMENTAL LAW ALLIANCE WORLDWIDE<br>1877 GARDEN AVE<br>EUGENE, OR 97403 | NONE | 501C3 | TO HELP COMMUNITIES PROTECT ENVIRONMENT THROUGH THE LAW | 5,000. |
| ORANGUTAN FOUNDATION INTERNATIONAL<br>824 S. WELLESLEY AVE.<br>LOS ANGELES, CA 90049 | NONE | 501C3 | TO PROTECT ANIMAL AND RAINFOREST HABITAT | 30,000. |
| PACIFIC ENVIRONMENT<br>251 KEARNY ST, SECOND FLOOR<br>SAN FRANCISCO, CA 94108 | NONE | 501C3 | TO PROMOTE ENVIRONMENTAL ACTIVISM | 5,000. |
| TURTLE ISLAND RESTORATION NETWORK<br>P.O. BOX 370<br>FOREST KNOLLS, CA 94933 | NONE | 501C3 | TO RESTORE MARINE SPECIES HABITAT | 5,000. |

**STATEMENT 8 (CONTINUED)**
**FORM 990-PF, PART XV, LINE 3A**
**RECIPIENT PAID DURING THE YEAR**

| NAME AND ADDRESS | DONEE RELATIONSHIP | FOUND-ATION STATUS | PURPOSE OF GRANT | AMOUNT |
|---|---|---|---|---|
| FEEDING AMERICA<br>35 EAST WACKER DR, STE 2000<br>CHICAGO, IL 60601 | NONE | 501C3 | FOR DOMESTIC HUNGER RELIEF | $ 5,000. |
| AMERICAN FARMLAND TRUST<br>1200 18TH STREET NW, SUITE 800<br>WASHINGTON, DC 20036 | NONE | 501C3 | TO PROTECT THE NATION'S FARM AND RANCH LAND | 5,000. |
| DOCTORS WITHOUT BORDERS<br>333 7TH AVENUE, 2ND FLOOR<br>NEW YORK, NY 10001 | NONE | 501C3 | TO PROVIDE EMERGENCY MEDICAL CARE TO PEOPLE IN CRISIS | 5,000. |
| ECOTRUST<br>721 NW 9TH AVENUE, SUITE 200<br>PORTLAND, OR 97209 | NONE | 501C3 | TO SUPPORT ECONOMISTS WHO ARE INVOLVED IN ENVIRONMENTAL AND SOCIAL JUSTICE ISSUES | 10,000. |
| GREEN CORPS<br>369 BROADWAY, SUITE 200<br>SAN FRANCISCO, CA 94133 | NONE | 501C3 | TO SUPPORT SCHOOL FOR ENVIRONMENTAL ORGANIZERS | 5,000. |
| OCEANA, INC.<br>1350 CONNECTICUT AVE NW, 5TH FLOOR<br>WASHINGTON, DC 20036 | NONE | 501C3 | TO SUPPORT OCEAN CONSERVATION | 5,000. |
| SECOND CHANCE WILDLIFE REHAB CENTER<br>2730 COLFAX AVE<br>PUEBLO, CO 81003 | NONE | 501C3 | TO PROVIDE A WILDLIFE REHABILITATION FACILITY | 2,500. |
| WILDLIFE CONSERVATION SOCIETY<br>2300 SOUTHERN BOULEVARD<br>BRONX, NY 10460 | NONE | 501C3 | TO SUPPORT CONSERVATION OF WILDLIFE AND WILD PLACES | 2,500. |
| A PRECIOUS CHILD<br>557 BURBANK STREET, UNIT E<br>BROOMFIELD, CO 80020 | NONE | 501C3 | TO PROVIDE SOCIAL SERVICES FOR CHILDREN | 5,000. |
| BEYOND SHELTER<br>1200 WILSHIRE BLVD, SUITE 600<br>LOS ANGELES, CA 90017 | NONE | 501C3 | TO COMBAT POVERTY AND HOMELESSNESS AMONG FAMILIES WITH CHILDREN | 5,000. |

**STATEMENT 8 (CONTINUED)**
**FORM 990-PF, PART XV, LINE 3A**
**RECIPIENT PAID DURING THE YEAR**

| NAME AND ADDRESS | DONEE RELATIONSHIP | FOUND-ATION STATUS | PURPOSE OF GRANT | AMOUNT |
|---|---|---|---|---|
| EARTH UNIVERSITY<br>3525 PIEDMONT ROAD, NE<br>ATLANTA, GA 30305 | NONE | 501C3 | TO PROVIDE EDUCATION THAT PROMOTES SCIENCE, TECHNOLOGY AND ENTREPRENEURSHIP WHILE FOCUSING ON A COMMITMENT TO SOCIAL AND ENVIRONMENTAL SERVICE | $ 5,000. |
| ENGINEERS WITHOUT BORDERS<br>4665 NAUTILUS COURT, SUITE 300<br>BOULDER, CO 80301 | NONE | 501C3 | TO PROVIDE BASIC HUMAN NEEDS SUCH AS CLEAN WATER, POWER, SANITATION AND EDUCATION | 5,000. |
| FOREST ETHICS<br>ONE HAIGHT STREET<br>SAN FRANCISCO, CA 94102 | NONE | 501C3 | TO PROTECT ENDANGERED FORESTS AND WILD PLACES, WILDLIFE, AND HUMAN WELL-BEING | 5,000. |
| FRIENDS OF THE SWAINSON'S HAWK<br>915 L STREET, C-425<br>SACRAMENTO, CA 95814 | NONE | 501C3 | TO EDUCATE THE PUBLIC ABOUT THE IMPORTANT OF RARE WILDLIFE | 5,000. |
| FRIENDS OF THE RIVER<br>1418 20TH STREET, SUITE 100<br>SACRAMENTO, CA 95811 | NONE | 501C3 | TO PROTECT AND RESTORE CALIFORNIA RIVERS | 5,000. |
| GLOBAL FOOTPRINT NETWORK<br>312 CLAY STREET, SUITE 300<br>OAKLAND, CA 94607 | NONE | 501C3 | TO PUT AN END TO ECOLOGICAL OVERSHOOT AND GET OUR ECONOMIES BACK INTO BALANCE | 5,000. |
| NEW MOMS INC.<br>2845 W. MCLEAN AVE.<br>CHICAGO, IL 60647 | NONE | 501C3 | TO ENABLE, EMPOWER, AND EQUIP AT-RISK ADOLESCENT PARENTS AND THEIR CHILDREN | 5,000. |

**STATEMENT 8 (CONTINUED)**
**FORM 990-PF, PART XV, LINE 3A**
**RECIPIENT PAID DURING THE YEAR**

| NAME AND ADDRESS | DONEE RELATIONSHIP | FOUND-ATION STATUS | PURPOSE OF GRANT | AMOUNT |
|---|---|---|---|---|
| NATURAL RESOURCES DEFENSE COUNCIL<br>40 WEST 20TH STREET<br>NEW YORK, NY 10011 | NONE | 501C3 | TO SAFEGUARD THE EARTH: ITS PEOPLE, ITS PLANTS AND ANIMALS AND THE NATURAL SYSTEMS | $ 5,000. |
| RAINFOREST ALLIANCE<br>665 BROADWAY, SUITE 500<br>NEW YORK, NY 10012 | NONE | 501C3 | TO PREVENT DEFORESTATION AND ENVIRONMENTAL DESTRUCTION | 5,000. |
| SANTA BARBARA CHANNEL KEEPER<br>714 BOND AVENUE<br>SANTA BARBARA, CA 93103 | NONE | 501C3 | TO PROTECT AND RESTORE THE SANTA BARBARA CHANNEL AND ITS WATERSHEDS | 5,000. |
| THE ANGEL'S DEPOT<br>1495 POINSETTIA AVENUE, SUITE 151<br>VISTA, CA 92081 | NONE | 501C3 | TO PROVIDE FOOD FOR THE ELDERLY POOR LIVING IN SAN DIEGO COUNTY | 5,000. |
| THE CORAL REEF ALLIANCE<br>351 CALIFORNIA STREET, SUITE 650<br>SAN FRANCISCO, CA 94104 | NONE | 501C3 | TO IMPLEMENT EFFECTIVE AND FINANCIALLY SUSTAINABLE CORAL CONSERVATION STRATEGIES | 5,000. |
| UCLA FOUNDATION<br>10920 WILSHIRE BOULEVARD, SUITE 900<br>LOS ANGELES, CA 90024 | NONE | 501C3 | TO BUILD, SUSTAIN, AND ADVANCE UCLA | 1,000. |
| WATSONVILLE WETLANDS WATCH<br>PO BOX 1239<br>FREEDOM, CA 95019 | NONE | 501C3 | TO PROTECT AND RESTORE THE WETLANDS OF THE PAJARO VALLEY | 5,000. |
| YAMPA VALLEY SUSTAINABLE COUNCIL<br>PO BOX 881461<br>STEAMBOAT SPRINGS, CO 80488 | NONE | 501C3 | TO ADVANCE ENVIRONMENTAL, ECONOMIC, AND SOCIAL SUSTAINABILITY FOR CURRENT AND FUTURE GENERATIONS | 5,000. |

**STATEMENT 8 (CONTINUED)**
**FORM 990-PF, PART XV, LINE 3A**
**RECIPIENT PAID DURING THE YEAR**

| NAME AND ADDRESS | DONEE RELATIONSHIP | FOUND-ATION STATUS | PURPOSE OF GRANT | AMOUNT |
|---|---|---|---|---|
| 5 LOAVES MINISTRY<br>23811 WASHINGTON AVE., # C<br>110-297<br>MURRIETA, CA 92562 | NONE | 501C3 | TO FEED CHILDREN IN DEVELOPING NATIONS | $ 2,500. |
| CALIFORNIA WILDERNESS COALITION<br>1212 BROADWAY #1700<br>OAKLAND, CA 94612 | NONE | 501C3 | TO PROTECT THE NATURAL LANDSCAPES THAT MAKE CALIFORNIA UNIQUE | 5,000. |
| CHESAPEAKE SERVICE SYSTEMS<br>1100 EXECUTIVE BOULEVARD<br>CHESAPEAKE, VA 23320 | NONE | 501C3 | TO IMPROVE THE HEALTH AND QUALITY OF LIFE OF PEOPLE WITH DEVELOPMENTAL DISABILITIES | 5,000. |
| FAMILY & CHILDREN'S PLACE<br>2303 RIVER ROAD, SUITE 200<br>LOUISVILLE, KY 40206 | NONE | 501C3 | TO HEAL THE TRAUMA OF ABUSE, VIOLENCE AND NEGLECT | 2,500. |
| | | | TOTAL | $ 201,000. |

CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC

[redacted]

**Managed Account**
**Statement**

Statement Period: 12/01/2010 - 12/31/2010

Ø basis. Was spun off from Discovery Holding in 2008.

## Schedule of Realized Gains and Losses Year-to-Date

| Disposition Date | Acquisition Date | Closing Transaction | Description | Security Identifier | Quantity | Cost Basis | Proceeds | Realized Gain/Loss |
|---|---|---|---|---|---|---|---|---|
| **Long Term** | | | | | | | | |
| 02/16/10 | 06/08/07 | CLEU | MADISON SQUARE GARDEN INC CL A | MSG | 0.500 | 12.22 | 9.88 | -2.34 |
| 03/19/10 | 06/08/07 | SELL | PALM INC CASH MERGER | PALM | 70.000 | 1,243.20 | 288.40 | -954.80 |
| 03/19/10 | 10/29/07 | SELL | PALM INC CASH MERGER | PALM | 110.000 | 1,044.32 | 453.20 | -591.12 |
| 03/23/10 | 06/08/07 | SELL | ARROW ELECTRS INC COM | ARW | 130.000 | 5,224.70 | 3,991.13 | -1,233.57 |
| 03/25/10 | 10/29/07 | SELL | PALM INC CASH MERGER | PALM | 170.000 | 1,613.94 | 651.24 | -962.70 |
| 03/30/10 | 01/10/08 | SELL | KB HOME COM | KBH | 130.000 | 2,408.57 | 2,185.34 | -223.23 |
| 03/30/10 | 10/06/08 | SELL | KB HOME COM | KBH | 110.000 | 1,969.35 | 1,849.13 | -120.22 |
| 04/30/10 | 06/08/07 | CLEU | BAKER HUGHES INC COM | BHI | 0.074 | 4.77 | 3.67 | -1.10 |
| 05/06/10 | 06/08/07 | SELL | GILEAD SCIENCES INC | GILD | 40.000 | 1,586.20 | 1,602.88 | 16.68 |
| 05/25/10 | 06/08/07 | SELL | OSI PHARMACEUTICALS C/A EFF 6/8/10 1 OLD | 671040103 | 40.000 | 1,427.60 | 2,295.62 | 868.02 |
| 06/03/10 | 06/08/07 | TNDG | OSI PHARMACEUTICALS C/A EFF 6/8/10 1 OLD | 671040103 | 70.000 | 2,498.30 | 4,025.00 | 1,526.70 |
| 06/03/10 | 01/07/08 | TNDG | OSI PHARMACEUTICALS C/A EFF 6/8/10 1 OLD | 671040103 | 30.000 | 1,335.00 | 1,725.00 | 390.00 |
| 06/14/10 | 01/24/08 | SELL | NEW YORK TIMES CO CL A | NYT | 210.000 | 3,146.12 | 1,967.95 | -1,178.17 |
| 06/18/10 | 06/08/07 | SELL | CUMMINS ENGINE INC | CMI | 30.000 | 1,405.35 | 2,235.00 | 829.65 |
| 06/18/10 | 06/08/07 | SELL | GENCORP INC COM | GY | 350.000 | 4,595.50 | 1,760.50 | -2,835.00 |
| 07/12/10 | 06/08/07 | CLEU | VISHAY PRECISION GROUP INC COM | VPG | 0.715 | ~~18.64~~ | 8.67 | -9.97 |
| 09/13/10 | 10/29/08 | SELL | 2ASCENT MEDIA CORP COM SER A | ASCMA | 17.000 | Please Provide | 479.60 | ~~N/A~~ 479.60 |
| 09/14/10 | 06/08/07 | SELL | BUCYRUS INTL INC NEW COM | BUCY | 30.000 | 1,035.30 | 2,055.35 | 1,020.05 |
| 09/15/10 | 06/08/07 | SELL | COGNEX CORP | CGNX | 100.000 | 2,289.00 | 2,264.06 | -24.94 |
| 09/17/10 | 06/08/07 | SELL | L 1 IDENTITY SOLUTIONS INC COM | ID | 140.000 | 2,819.60 | 1,363.60 | -1,456.00 |
| 11/04/10 | 06/08/07 | SELL | L 1 IDENTITY SOLUTIONS INC COM | ID | 60.000 | 1,208.40 | 706.80 | -501.60 |
| 11/04/10 | 01/20/09 | SELL | L 1 IDENTITY SOLUTIONS INC COM | ID | 80.000 | 510.27 | 942.41 | 432.14 |
| 11/04/10 | 06/08/07 | CLEU | UNIFI INC COM NEW | UFI | 0.333 | 2.86 | 5.00 | 2.14 |
| **Total Long Term** | | | | | | $37,399.21 | $32,869.43 | ~~-$5,009.38~~ -4,529.78 |
| **Total Short Term and Long Term** | | | | | | $37,399.21 | $32,869.43 | ~~-$5,009.38~~ -4,529.78 |

Adjusted to produce realized loss

The Schedule of Realized Gains and Losses is not reported to the IRS. This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes; for example, it does not reflect disallowed losses from wash sale events. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. Typically these adjustments are received after year-end and there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports or online displays you may have available to you. Return of capital information has been obtained from sources we believe to be reliable.

When you report your cost basis on your tax return, it should be verified with your own records. In particular, there may be other adjustments which you need to make and you should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

2Realized gains and losses are not reported for securities for which cost basis or proceeds are not available.

CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC
2121 Avenue of the Stars
Suite 3300
Los Angeles, CA 90067-5014
(800) 237-5022

[redacted]

# Managed Account Statement

Statement Period: 12/01/2010 - 12/31/2010

## Schedule of Realized Gains and Losses Year-to-Date

| Disposition Date | Acquisition Date | Closing Transaction | Description | Security Identifier | Quantity | Cost Basis | Proceeds | Realized Gain/Loss |
|---|---|---|---|---|---|---|---|---|
| **Short Term** | | | | | | | | |
| 01/07/10 | 10/21/09 | SELL | YANZHOU COAL MINING CO LTD SPONSORED ADR | YZC | 24.000 | 372.47 | 604.35 | 231.88 |
| 01/11/10 | 12/02/09 | SELL | GLOBAL X FDS GLOBAL X CHINA | CHII | 7.000 | 114.80 | 115.92 | 1.12 |
| 01/26/10 | 06/15/09 | SELL | PHILIPPINE LONG DISTANCE TEL CO ADR | PHI | 9.000 | 466.14 | 519.65 | 53.51 |
| 03/26/10 | 04/08/09 | SELL | PETROCHINA CO LTD SPONS ADR | PTR | 4.000 | 334.29 | 448.19 | 113.90 |
| 04/07/10 | 01/21/10 | SELL | MECHEL OAO SPONSORED ADR | MTL | 9.000 | 212.00 | 267.68 | 55.68 |
| 04/23/10 | 12/04/09 | SELL | LAS VEGAS SANDS CORP COM | LVS | 27.000 | 435.49 | 683.40 | 247.91 |
| 04/23/10 | 09/30/09 | SELL | WYNN RESORTS LTD COM | WYNN | 7.000 | 495.34 | 629.48 | 134.14 |
| 04/26/10 | 12/04/09 | SELL | LAS VEGAS SANDS CORP COM | LVS | 8.000 | 129.04 | 209.19 | 80.15 |
| 04/26/10 | 12/10/09 | SELL | LAS VEGAS SANDS CORP COM | LVS | 4 000 | 61.16 | 104.59 | 43.43 |
| 06/17/10 | 12/02/09 | SELL | AU OPTRONICS CORP SPON ADR | AUO | 50.000 | 546.90 | 484.51 | -62.39 |
| 06/18/10 | 12/02/09 | SELL | BANCO BRADESCO S A SPONSORED ADR | BBD | 15.400 | 309.96 | 269.50 | -40.46 |
| 06/24/10 | 07/22/09 | SELL | BRASIL FOODS S A SPONSORED ADR | BRFS | 20.000 | 208.90 | 288.57 | 79.67 |
| 07/28/10 | 10/14/09 | SELL | EMPRESA NACIONAL DE ELECTRIC SA CHILE | EOC | 5.000 | 237.42 | 251.80 | 14.38 |
| 07/30/10 | 10/14/09 | SELL | EMPRESA NACIONAL DE ELECTRIC SA CHILE | EOC | 5.000 | 237.41 | 247.65 | 10.24 |
| 08/17/10 | 09/24/09 | SELL | ISHARES INC MCSI TURKEY INDEX FD | TUR | 2.000 | 99.58 | 123.70 | 24.12 |
| 09/16/10 | 12/14/09 | SELL | GLOBAL X FDS GLOBAL X CHINA FINLS | CHIX | 1.000 | 15.14 | 13.84 | -1.30 |
| 09/23/10 | 11/17/09 | SELL | BRASIL TELECOM S A SPONSORED ADR REPSTG | BTM | 0.647 | 20.47 | 13.00 | -7.47 |
| 09/23/10 | 11/17/09 | SELL | BRASIL TELECOM S A SPONSORED ADR REPSTG | BTM | 13.353 | 422.14 | 268.13 | -154.01 |
| 10/05/10 | 09/21/10 | SELL | BANCO BRADESCO S A SPONSORED ADR | BBD | 37.000 | 702.22 | 774.44 | 72.22 |
| 10/05/10 | 07/28/10 | SELL | GRUPO TELEVISA SA DE CV SPON ADR REPSTG | TV | 15 000 | 280.28 | 310.35 | 30.07 |
| 10/05/10 | 12/02/09 | SELL | VIMPELCOM LTD SPONSORED ADR | VIP | 6.000 | 119.64 | 84.24 | -35.40 |
| 10/05/10 | 05/07/10 | SELL | VIMPELCOM LTD SPONSORED ADR | VIP | 13.000 | 197.85 | 182.52 | -15.33 |
| 10/07/10 | 11/17/09 | SELL | BRASIL TELECOM S A SPONSORED ADR REPSTG | BTM C | 0.079 | 1.33 | 0.70 | -0.63 |
| 10/07/10 | 11/17/09 | SELL | BRASIL TELECOM S A SPONSORED ADR REPSTG | BTM C | 8.600 | 143.84 | 76.41 | -67.43 |
| 10/07/10 | 11/17/09 | SELL | BRASIL TELECOM S A SPONSORED ADR REPSTG | BTM C | 10.320 | 172.61 | 91.70 | -80.91 |
| 10/08/10 | 11/17/09 | SELL | BRASIL TELECOM S A SPONSORED ADR REPSTG | BTM | 1.808 | 57.15 | 38.01 | -19.14 |
| 10/08/10 | 11/17/09 | SELL | BRASIL TELECOM S A SPONSORED ADR REPSTG | BTM | 18.192 | 575.15 | 382.55 | -192.60 |
| 10/08/10 | 10/14/09 | SELL | EMPRESA NACIONAL DE ELECTRIC SA CHILE | EOC | 2.000 | 94.97 | 107.72 | 12.75 |
| 10/08/10 | 12/03/09 | SELL | EMPRESA NACIONAL DE ELECTRIC SA CHILE | EOC | 9.000 | 438.92 | 484.72 | 45.80 |
| 10/08/10 | 04/23/10 | SELL | EMPRESA NACIONAL DE ELECTRIC SA CHILE | EOC | 5.000 | 234.00 | 269.29 | 35.29 |
| 10/08/10 | 12/14/09 | SELL | GLOBAL X FDS GLOBAL X CHINA FINLS | CHIX | 72.000 | 1,090.08 | 1,022.14 | -67.94 |
| 10/08/10 | 12/16/09 | SELL | GLOBAL X FDS GLOBAL X CHINA FINLS | CHIX | 63.000 | 919.83 | 894.38 | -25.45 |
| 10/08/10 | 02/03/10 | SELL | GLOBAL X FDS GLOBAL X CHINA FINLS | CHIX | 8.000 | 104.87 | 113.57 | 8.70 |
| 10/11/10 | 07/06/10 | SELL | CTRIP COM INTL LTD AMERICAN DEP SHS | CTRP | 5.000 | 161.95 | 231.26 | 69.31 |
| 10/11/10 | 12/02/09 | SELL | GLOBAL X FDS GLOBAL X CHINA | CHII | 27.000 | 442.79 | 455.05 | 12.26 |





[redacted]
THE LAWRENCE FOUNDATION

[redacted]

## Schedule of Realized Gains and Losses Year-to-Date *(continued)*

| Disposition Date | Acquisition Date | Closing Transaction | Description | Security Identifier | Quantity | Cost Basis | Proceeds | Realized Gain/Loss |
|---|---|---|---|---|---|---|---|---|
| **Short Term** *(continued)* | | | | | | | | |
| 10/11/10 | 12/04/09 | SELL | GLOBAL X FDS GLOBAL X CHINA | CHII | 33.000 | 541.85 | 556.17 | 14.32 |
| 10/11/10 | 12/08/09 | SELL | GLOBAL X FDS GLOBAL X CHINA | CHII | 37.000 | 596.99 | 623.58 | 26.59 |
| 10/11/10 | 10/14/09 | SELL | ISHARES INC MSCI TAIWAN INDEX FD | EWT | 4.000 | 50.60 | 54.16 | 3.56 |
| 10/15/10 | 06/23/10 | SELL | BARCLAYS BK PLC IPATH ETNS LKD MSCI | INP | 8.000 | 521.52 | 631.52 | 110.00 |
| 10/15/10 | 01/05/10 | SELL | ISHARES INC MSCI TAIWAN INDEX FD | EWT | 28.000 | 368.42 | 380.27 | 11.85 |
| 10/18/10 | 11/19/09 | SELL | BRASIL FOODS S A SPONSORED ADR | BRFS | 24.000 | 285.08 | 362.41 | 77.33 |
| 10/19/10 | 01/05/10 | SELL | ISHARES INC MSCI TAIWAN INDEX FD | EWT | 17.000 | 223.69 | 221.51 | -2.18 |
| 10/19/10 | 06/16/10 | SELL | ISHARES INC MSCI TAIWAN INDEX FD | EWT | 75.000 | 881.91 | 977.26 | 95.35 |
| 10/27/10 | 11/06/09 | SELL | CHINA UNICOM HONG KONG LTD ADR | CHU | 21.000 | 291.27 | 301.18 | 9 91 |
| 11/02/10 | 10/19/10 | SELL | CEMEX S A B DE C V SPONSOR ADR NEW REP | CX | 80.000 | 625.50 | 724.00 | 98 50 |
| 11/02/10 | 11/06/09 | SELL | CHINA UNICOM HONG KONG LTD ADR | CHU | 18.000 | 249.66 | 255.26 | 5.60 |
| 11/02/10 | 12/07/09 | SELL | CHINA UNICOM HONG KONG LTD ADR | CHU | 47.000 | 617 58 | 666.51 | 48.93 |
| 11/03/10 | 10/12/10 | SELL | PETROCHINA CO LTD SPONS ADR | PTR | 5.000 | 633.07 | 635.50 | 2 43 |
| 11/08/10 | 02/08/10 | SELL | GLOBAL X FDS GLOBAL X CHINA MATLS | CHIM | 42.000 | 498.09 | 670.74 | 172.65 |
| 11/08/10 | 03/17/10 | SELL | GLOBAL X FDS GLOBAL X CHINA MATLS | CHIM | 40.000 | 541.20 | 638.80 | 97.60 |
| 11/10/10 | 06/18/10 | SELL | ANGLOGOLD ASHANTI LTD SPONORED ADR | AU | 19.000 | 852.53 | 970.33 | 117 80 |
| 11/18/10 | 10/18/10 | SELL | MARKET VECTORS ETF TR CHINA A SHS ETF | PEK | 13.000 | 614.82 | 587.93 | -26.89 |
| 11/24/10 | 10/12/10 | SELL | PETROCHINA CO LTD SPONS ADR | PTR | 5.000 | 633.07 | 613.70 | -19.37 |
| 11/30/10 | 03/17/10 | SELL | GLOBAL X FDS GLOBAL X CHINA MATLS | CHIM | 1.000 | 13.53 | 14.59 | 1.06 |
| 11/30/10 | 06/16/10 | SELL | GLOBAL X FDS GLOBAL X CHINA MATLS | CHIM | 19.000 | 217.72 | 277.16 | 59.44 |
| 11/30/10 | 12/10/09 | SELL | LAS VEGAS SANDS CORP COM | LVS | 7.000 | 107.03 | 353.51 | 246.48 |
| 12/02/10 | 02/03/10 | SELL | GLOBAL X FDS GLOBAL X CHINA FINLS | CHIX | 24.000 | 314.62 | 350.78 | 36.16 |
| 12/02/10 | 06/16/10 | SELL | GLOBAL X FDS GLOBAL X CHINA MATLS | CHIM | 29.000 | 332.31 | 435.20 | 102.89 |
| 12/02/10 | 06/18/10 | SELL | GLOBAL X FDS GLOBAL X CHINA MATLS | CHIM | 14.000 | 161.56 | 210.10 | 48.54 |
| 12/09/10 | 02/03/10 | SELL | GLOBAL X FDS GLOBAL X CHINA FINLS | CHIX | 18.000 | 235.96 | 252.26 | 16.30 |
| 12/09/10 | 03/03/10 | SELL | GLOBAL X FDS GLOBAL X CHINA FINLS | CHIX | 26.000 | 359.84 | 364.37 | 4.53 |
| 12/09/10 | 05/07/10 | SELL | VIMPELCOM LTD SPONSORED ADR | VIP | 20.000 | 304.38 | 286.46 | -17.92 |
| 12/09/10 | 07/30/10 | SELL | VIMPELCOM LTD SPONSORED ADR | VIP | 1.000 | 16.31 | 14.32 | -1 99 |
| 12/15/10 | 07/30/10 | SELL | VIMPELCOM LTD SPONSORED ADR | VIP | 22.000 | 358.82 | 316.59 | -42.23 |
| 12/20/10 | 03/03/10 | SELL | GLOBAL X FDS GLOBAL X CHINA FINLS | CHIX | 13.000 | 179.92 | 175.92 | -4.00 |
| 12/20/10 | 03/08/10 | SELL | GLOBAL X FDS GLOBAL X CHINA FINLS | CHIX | 22.000 | 308.88 | 297.72 | -11.16 |
| 12/21/10 | 02/23/10 | SELL | ISHARES INC MCSI TURKEY INDEX FD | TUR | 2.000 | 103.01 | 128.60 | 25.59 |
| 12/21/10 | 10/06/10 | SELL | JSC MMC NORILSK NICKEL SPONSORED ADR | NILSY | 2.000 | 36.02 | 46.74 | 10.72 |
| 12/29/10 | 03/08/10 | SELL | GLOBAL X FDS GLOBAL X CHINA FINLS | CHIX | 19.000 | 266.76 | 253.68 | -13.08 |
| 12/29/10 | 04/09/10 | SELL | GLOBAL X FDS GLOBAL X CHINA FINLS | CHIX | 32.000 | 477.76 | 427.26 | -50.50 |
| 12/30/10 | 04/09/10 | SELL | GLOBAL X FDS GLOBAL X CHINA FINLS | CHIX | 7.000 | 104.51 | 93.22 | -11.29 |
| 12/30/10 | 05/03/10 | SELL | GLOBAL X FDS GLOBAL X CHINA FINLS | CHIX | 50.000 | 657.50 | 665.85 | 8.35 |
| 12/30/10 | 05/14/10 | SELL | GLOBAL X FDS GLOBAL X CHINA FINLS | CHIX | 88.000 | 1,096.39 | 1,171.90 | 75.51 |
| 12/30/10 | 07/12/10 | SELL | GLOBAL X FDS GLOBAL X CHINA FINLS | CHIX | 46.000 | 625 57 | 612.58 | -12.99 |
| **Total Short Term** | | | | | | $25,761.38 | $27,681.84 | $1,920.46 |
| **Long Term** | | | | | | | | |
| 01/05/10 | 04/07/08 | SELL | COMPANHIA ENERGETICA DE MINAS GERAIS ADR | CIG | 0.250 | 3.86 | 4.68 | 0.82 |

[redacted]

CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC
2121 Avenue of the Stars
Suite 3300
Los Angeles, CA 90067-5014
(800) 237-5022

**Managed Account Statement**

Statement Period: 12/01/2010 - 12/31/2010

## Schedule of Realized Gains and Losses Year-to-Date *(continued)*

| Disposition Date | Acquisition Date | Closing Transaction | Description | Security Identifier | Quantity | Cost Basis | Proceeds | Realized Gain/Loss |
|---|---|---|---|---|---|---|---|---|
| **Long Term** *(continued)* | | | | | | | | |
| 01/05/10 | 08/11/08 | SELL | COMPANHIA ENERGETICA DE MINAS GERAIS ADR | CIG | 8.750 | 151.61 | 163.63 | 12.02 |
| 01/05/10 | 10/09/08 | SELL | COMPANHIA ENERGETICA DE MINAS GERAIS ADR | CIG | 10.000 | 117.01 | 186.99 | 69.98 |
| 01/05/10 | 06/12/08 | SELL | ISHARES INC MSCI SOUTH KOREA INDEX FD | EWY | 9.000 | 495.35 | 443.09 | -52.26 |
| 01/06/10 | 07/10/08 | SELL | CHINA LIFE INS CO LTD SPONS ADR REPSTG | LFC | 3.000 | 162.99 | 228.21 | 65.22 |
| 01/11/10 | 10/14/08 | SELL | COMPANHIA ENERGETICA DE MINAS GERAIS ADR | CIG | 13.000 | 170.53 | 237.77 | 67 24 |
| 01/11/10 | 09/18/08 | SELL | ICICI BK LTD ADR ISIN#US45104G1040 | IBN | 7.000 | 167.34 | 265.02 | 97 68 |
| 01/11/10 | 06/21/07 | SELL | POSCO SPON ADR | PKX | 3.000 | 374.38 | 417.39 | 43.01 |
| 01/14/10 | 10/07/08 | SELL | ISHARES TR FTSE CHINA 25 INDEX FD | FXI | 3.000 | 87.16 | 125.88 | 38.72 |
| 01/26/10 | 11/07/08 | SELL | PHILIPPINE LONG DISTANCE TEL CO ADR | PHI | 8.000 | 328.32 | 461.91 | 133 59 |
| 01/26/10 | 12/05/08 | SELL | PHILIPPINE LONG DISTANCE TEL CO ADR | PHI | 4.000 | 190.44 | 230.95 | 40 51 |
| 01/27/10 | 11/07/08 | SELL | GRUPO TELEVISA SA DE CV SPON ADR REPSTG | TV | 3.000 | 48.33 | 58.39 | 10.06 |
| 01/27/10 | 11/13/08 | SELL | GRUPO TELEVISA SA DE CV SPON ADR REPSTG | TV | 3.000 | 44.37 | 58.39 | 14.02 |
| 01/27/10 | 11/18/08 | SELL | GRUPO TELEVISA SA DE CV SPON ADR REPSTG | TV | 12.000 | 167.72 | 233.53 | 65.81 |
| 02/03/10 | 12/10/08 | SELL | ISHARES INC MSCI TAIWAN INDEX FD | EWT | 11.000 | 88.97 | 131.90 | 42.93 |
| 02/03/10 | 12/12/08 | SELL | ISHARES INC MSCI TAIWAN INDEX FD | EWT | 26.000 | 206.13 | 311.77 | 105.64 |
| 02/08/10 | 10/07/08 | SELL | ISHARES TR FTSE CHINA 25 INDEX FD | FXI | 7.000 | 203.36 | 263.36 | 60.00 |
| 02/08/10 | 10/09/08 | SELL | ISHARES TR FTSE CHINA 25 INDEX FD | FXI | 4.000 | 112.12 | 150.49 | 38 37 |
| 02/08/10 | 10/16/08 | SELL | ISHARES TR FTSE CHINA 25 INDEX FD | FXI | 2.000 | 55.61 | 75.24 | 19.63 |
| 02/08/10 | 10/15/08 | SELL | TEVA PHARMACEUTICAL INDUSTRIES LTD ADR | TEVA | 1.000 | 39.06 | 57.03 | 17 97 |
| 02/08/10 | 11/07/08 | SELL | TEVA PHARMACEUTICAL INDUSTRIES LTD ADR | TEVA | 2.000 | 85.16 | 114.06 | 28.90 |
| 02/08/10 | 12/11/08 | SELL | TEVA PHARMACEUTICAL INDUSTRIES LTD ADR | TEVA | 1.000 | 43.43 | 57.03 | 13.60 |
| 02/08/10 | 01/06/09 | SELL | TEVA PHARMACEUTICAL INDUSTRIES LTD ADR | TEVA | 1.000 | 41.91 | 57.04 | 15.13 |
| 02/09/10 | 10/16/08 | SELL | ISHARES TR FTSE CHINA 25 INDEX FD | FXI | 6.000 | 166.84 | 228.97 | 62.13 |
| 02/09/10 | 10/24/08 | SELL | ISHARES TR FTSE CHINA 25 INDEX FD | FXI | 21.000 | 460.60 | 801.40 | 340.80 |
| 02/10/10 | 11/07/08 | CLEU | CHUNGHWA TELECOM CO LTD SPONS ADR NEW | CHT | 0.636 | 11.44 | 12.36 | 0.92 |
| 02/22/10 | 11/19/08 | SELL | BAIDU COM INC SPONS ADR REPSTG ORD SHS | BIDU | 1.000 | 115.69 | 506.24 | 390.55 |
| 02/22/10 | 02/06/09 | SELL | BAIDU COM INC SPONS ADR REPSTG ORD SHS | BIDU | 1.000 | 124.58 | 506.24 | 381.66 |
| 02/22/10 | 02/17/09 | SELL | BAIDU COM INC SPONS ADR REPSTG ORD SHS | BIDU | 1.000 | 122.93 | 506.24 | 383.31 |
| 02/23/10 | 07/01/08 | SELL | TURKCELL ILETISIM HIZMETLERI A S SPONS | TKC | 1.000 | 14.60 | 15.74 | 1.14 |
| 02/23/10 | 08/12/08 | SELL | TURKCELL ILETISIM HIZMETLERI A S SPONS | TKC | 17.000 | 300.17 | 267.58 | -32 59 |
| 03/24/10 | 09/21/06 | SELL | PETROLEO BRASILEIRO SA SPONSORED ADR | PBR A | 1.000 | 17.78 | 40 27 | 22.49 |
| 03/24/10 | 09/26/06 | SELL | PETROLEO BRASILEIRO SA SPONSORED ADR | PBR A | 8.000 | 142.33 | 322.14 | 179.81 |





[redacted] THE LAWRENCE FOUNDATION

[redacted]

## Schedule of Realized Gains and Losses Year-to-Date *(continued)*

| Disposition Date | Acquisition Date | Closing Transaction | Description | Security Identifier | Quantity | Cost Basis | Proceeds | Realized Gain/Loss |
|---|---|---|---|---|---|---|---|---|
| **Long Term** *(continued)* | | | | | | | | |
| 03/24/10 | 10/06/06 | SELL | PETROLEO BRASILEIRO SA SPONSORED ADR | PBR A | 18.000 | 325.27 | 724.81 | 399.54 |
| 03/25/10 | 11/07/08 | CLEU | CHUNGHWA TELECOM CO LTD SPONS ADR NEW | 17133Q403 | 0.389 | 6.36 | 7.39 | 1.03 |
| 03/26/10 | 12/11/08 | SELL | PETROCHINA CO LTD SPONS ADR | PTR | 2.000 | 189.24 | 224.09 | 34.85 |
| 04/12/10 | 07/15/08 | SELL | ISHARES INC MCSI TURKEY INDEX FD | TUR | 7.000 | 320.72 | 431.06 | 110.34 |
| 04/12/10 | 08/08/08 | SELL | ISHARES INC MCSI TURKEY INDEX FD | TUR | 1.000 | 54.35 | 61.58 | 7.23 |
| 04/12/10 | 11/07/08 | SELL | ISHARES INC MCSI TURKEY INDEX FD | TUR | 1.000 | 27.78 | 61.58 | 33.80 |
| 04/12/10 | 04/02/09 | SELL | ISHARES INC MCSI TURKEY INDEX FD | TUR | 1.000 | 25.97 | 61.58 | 35.61 |
| 05/06/10 | 08/12/08 | SELL | TURKCELL ILETISIM HIZMETLERI A S SPONS | TKC | 13.000 | 229.54 | 187.35 | -42 19 |
| 05/07/10 | 06/17/08 | SELL | SASOL LTD SPONSORED ADR | SSL | 6.000 | 357.34 | 215.10 | -142.24 |
| 05/07/10 | 12/10/08 | SELL | SASOL LTD SPONSORED ADR | SSL | 1.000 | 30.20 | 35.85 | 5.65 |
| 05/07/10 | 12/11/08 | SELL | SASOL LTD SPONSORED ADR | SSL | 7.000 | 214.24 | 250.96 | 36.72 |
| 05/10/10 | 10/14/08 | CLEU | COMPANHIA ENERGETICA DE MINAS GERAIS ADR | CIG | 0.700 | 8.35 | 10.25 | 1.90 |
| 05/14/10 | 07/10/08 | SELL | CHINA LIFE INS CO LTD SPONS ADR REPSTG | LFC | 13.000 | 706.30 | 836.70 | 130.40 |
| 05/21/10 | 07/13/07 | SELL | GAZPROM O A O SPON ADR REG S | OGZPY | 3.000 | 136.80 | 57.93 | -78.87 |
| 05/21/10 | 08/08/07 | SELL | GAZPROM O A O SPON ADR REG S | OGZPY | 6.000 | 264.90 | 115.86 | -149.04 |
| 05/21/10 | 11/28/07 | SELL | GAZPROM O A O SPON ADR REG S | OGZPY | 5.000 | 257.25 | 96.55 | -160.70 |
| 06/17/10 | 03/27/09 | SELL | AU OPTRONICS CORP SPON ADR | AUO | 42.000 | 364.95 | 406.98 | 42.03 |
| 06/18/10 | 11/18/08 | SELL | CREDICORP LTD COM | BAP | 1.000 | 39.01 | 94.50 | 55.49 |
| 06/18/10 | 12/15/08 | SELL | CREDICORP LTD COM | BAP | 1.000 | 43.08 | 94.50 | 51.42 |
| 06/18/10 | 01/28/09 | SELL | CREDICORP LTD COM | BAP | 2.000 | 88.20 | 189 00 | 100.80 |
| 06/18/10 | 02/03/09 | SELL | CREDICORP LTD COM | BAP | 1.000 | 40.01 | 94.50 | 54.49 |
| 06/18/10 | 10/09/08 | SELL | BANCO BRADESCO S A SPONSORED ADR | BBD | 6.600 | 68.32 | 115.50 | 47.18 |
| 06/18/10 | 10/21/08 | SELL | BANCO BRADESCO S A SPONSORED ADR | BBD | 22.000 | 222.62 | 385.00 | 162 38 |
| 06/18/10 | 12/15/08 | SELL | GOLD FIELDS LTD NEW SPONS ADR | GFI | 18.000 | 173.33 | 254.36 | 81.03 |
| 06/18/10 | 12/17/08 | SELL | GOLD FIELDS LTD NEW SPONS ADR | GFI | 8.000 | 77.03 | 113.05 | 36.02 |
| 06/18/10 | 12/18/08 | SELL | GOLD FIELDS LTD NEW SPONS ADR | GFI | 15.000 | 127.34 | 211 96 | 84.62 |
| 06/18/10 | 01/15/09 | SELL | GOLD FIELDS LTD NEW SPONS ADR | GFI | 21.000 | 160.84 | 296.75 | 135.91 |
| 06/21/10 | 02/14/08 | SELL | DESARROLLADORA HOMEX S A DE C V | HXM | 6.000 | 337.05 | 171 59 | -165.46 |
| 06/21/10 | 06/16/08 | SELL | DESARROLLADORA HOMEX S A DE C V | HXM | 2.000 | 134.50 | 57 19 | -77.31 |
| 06/21/10 | 08/12/08 | SELL | TURKCELL ILETISIM HIZMETLERI A S SPONS | TKC | 1.000 | 17.66 | 13.60 | -4.06 |
| 06/21/10 | 09/24/08 | SELL | TURKCELL ILETISIM HIZMETLERI A S SPONS | TKC | 10.000 | 160.31 | 136.00 | -24.31 |
| 06/21/10 | 10/09/08 | SELL | TURKCELL ILETISIM HIZMETLERI A S SPONS | TKC | 11.000 | 144.72 | 149.60 | 4 88 |
| 06/21/10 | 03/05/09 | SELL | TURKCELL ILETISIM HIZMETLERI A S SPONS | TKC | 12.000 | 135.48 | 163.20 | 27.72 |
| 06/25/10 | 06/12/08 | SELL | ISHARES INC MSCI SOUTH KOREA INDEX FD | EWY | 5.000 | 275.19 | 234.87 | -40.32 |
| 06/25/10 | 07/23/08 | SELL | ISHARES INC MSCI SOUTH KOREA INDEX FD | EWY | 5.000 | 255.98 | 234.86 | -21.12 |
| 07/06/10 | 10/24/08 | SELL | ISHARES TR FTSE CHINA 25 INDEX FD | FXI | 14.000 | 307.06 | 560.84 | 253.78 |
| 07/06/10 | 10/24/08 | SELL | ISHARES TR FTSE CHINA 25 INDEX FD | FXI | 9.000 | 197.40 | 357.03 | 159.63 |
| 07/06/10 | 11/07/08 | SELL | ISHARES TR FTSE CHINA 25 INDEX FD | FXI | 4.000 | 99.84 | 158.68 | 58.84 |
| 07/07/10 | 11/07/08 | SELL | ISHARES TR FTSE CHINA 25 INDEX FD | FXI | 7.000 | 174.72 | 277.69 | 102.97 |
| 07/07/10 | 02/17/09 | SELL | ISHARES TR FTSE CHINA 25 INDEX FD | FXI | 16.000 | 401.88 | 634.72 | 232.84 |
| 07/12/10 | 09/24/08 | SELL | CHINA MOBILE LTD SPON ADR S A | CHL | 8.000 | 413.14 | 407.13 | -6.01 |
| 07/12/10 | 11/07/08 | SELL | CHINA MOBILE LTD SPON ADR S A | CHL | 1.000 | 42.91 | 50.89 | 7.98 |
| 07/12/10 | 01/14/09 | SELL | CHINA MOBILE LTD SPON ADR S A | CHL | 2.000 | 90.08 | 101.78 | 11.70 |

## Schedule of Realized Gains and Losses Year-to-Date *(continued)*

| Disposition Date | Acquisition Date | Closing Transaction | Description | Security Identifier | Quantity | Cost Basis | Proceeds | Realized Gain/Loss |
|---|---|---|---|---|---|---|---|---|
| **Long Term** *(continued)* | | | | | | | | |
| 07/12/10 | 01/29/09 | SELL | CHINA MOBILE LTD SPON ADR S A | CHL | 6.000 | 270.58 | 305.35 | 34.77 |
| 07/12/10 | 03/05/09 | SELL | CHINA MOBILE LTD SPON ADR S A | CHL | 2.000 | 85.38 | 101.78 | 16.40 |
| 07/12/10 | 04/13/09 | SELL | CHINA MOBILE LTD SPON ADR S A | CHL | 7.000 | 319.54 | 356.24 | 36.70 |
| 07/30/10 | 01/06/09 | SELL | TEVA PHARMACEUTICAL INDUSTRIES LTD ADR | TEVA | 3.000 | 125.73 | 145.09 | 19.36 |
| 07/30/10 | 01/13/09 | SELL | TEVA PHARMACEUTICAL INDUSTRIES LTD ADR | TEVA | 3.000 | 125.94 | 145.08 | 19.14 |
| 08/02/10 | 10/09/08 | SELL | COMPANHIA DE BEBIDAS DAS AMERS AMBEV | ABV | 3.000 | 123.36 | 327.96 | 204.60 |
| 08/02/10 | 10/14/08 | SELL | COMPANHIA DE BEBIDAS DAS AMERS AMBEV | ABV | 1.000 | 47.67 | 109.32 | 61.65 |
| 08/02/10 | 10/18/06 | SELL | GERDAU S A SPONSORED ADR | GGB | 17.000 | 126.32 | 255.70 | 129.38 |
| 08/03/10 | 02/25/08 | SELL | FOMENTO ECONOMICO MEX S A B DE C V NEW | FMX | 6.000 | 257.97 | 301.30 | 43.33 |
| 08/03/10 | 12/12/08 | SELL | ISHARES INC MSCI TAIWAN INDEX FD | EWT | 20.000 | 158.56 | 256.20 | 97.64 |
| 08/03/10 | 01/07/09 | SELL | ISHARES INC MSCI TAIWAN INDEX FD | EWT | 30.000 | 228.25 | 384.30 | 156.05 |
| 08/17/10 | 04/02/09 | SELL | ISHARES INC MCSI TURKEY INDEX FD | TUR | 3.000 | 77.91 | 185.56 | 107.65 |
| 08/30/10 | 07/23/08 | SELL | ISHARES INC MSCI SOUTH KOREA INDEX FD | EWY | 11.000 | 563.16 | 528.77 | -34.39 |
| 08/30/10 | 07/24/08 | SELL | ISHARES INC MSCI SOUTH KOREA INDEX FD | EWY | 1.000 | 51.68 | 48.07 | -3.61 |
| 09/16/10 | 07/14/08 | SELL | CHINA LIFE INS CO LTD SPONS ADR REPSTG | LFC | 1.000 | 53.73 | 59.55 | 5.82 |
| 09/16/10 | 11/07/08 | SELL | CHUNGHWA TELECOM CO LTD SPONS ADR NEW | CHT | 6.000 | 107.83 | 130.33 | 22.50 |
| 09/21/10 | 01/13/09 | SELL | TEVA PHARMACEUTICAL INDUSTRIES LTD ADR | TEVA | 3.000 | 125.94 | 166.20 | 40.26 |
| 09/21/10 | 01/22/09 | SELL | TEVA PHARMACEUTICAL INDUSTRIES LTD ADR | TEVA | 5.000 | 212.40 | 277.00 | 64.60 |
| 09/21/10 | 03/05/09 | SELL | TEVA PHARMACEUTICAL INDUSTRIES LTD ADR | TEVA | 2.000 | 86.88 | 110.80 | 23 92 |
| 09/21/10 | 03/20/09 | SELL | TEVA PHARMACEUTICAL INDUSTRIES LTD ADR | TEVA | 3.000 | 132.77 | 166.20 | 33.43 |
| 10/01/10 | 07/24/08 | SELL | ISHARES INC MSCI SOUTH KOREA INDEX FD | EWY | 6.000 | 310.08 | 326.05 | 15.97 |
| 10/01/10 | 08/12/08 | SELL | ISHARES INC MSCI SOUTH KOREA INDEX FD | EWY | 27.000 | 1,322.79 | 1,467.23 | 144.44 |
| 10/01/10 | 11/07/08 | SELL | ISHARES INC MSCI SOUTH KOREA INDEX FD | EWY | 1.000 | 27.49 | 54.34 | 26.85 |
| 10/01/10 | 11/18/08 | SELL | ISHARES INC MSCI SOUTH KOREA INDEX FD | EWY | 12.000 | 282.81 | 652.11 | 369.30 |
| 10/01/10 | 05/08/09 | SELL | TEVA PHARMACEUTICAL INDUSTRIES LTD ADR | TEVA | 6.000 | 266 32 | 321.61 | 55 29 |
| 10/05/10 | 12/12/08 | SELL | ANGLOGOLD ASHANTI LTD SPONORED ADR | AU | 10.000 | 270.00 | 473.43 | 203 43 |
| 10/05/10 | 12/11/08 | SELL | SASOL LTD SPONSORED ADR | SSL | 3.000 | 91.81 | 140.42 | 48.61 |
| 10/05/10 | 12/15/08 | SELL | SASOL LTD SPONSORED ADR | SSL | 8.000 | 229.00 | 374.44 | 145.44 |
| 10/05/10 | 01/02/09 | SELL | SASOL LTD SPONSORED ADR | SSL | 1.000 | 32.32 | 46.80 | 14.48 |
| 10/05/10 | 05/08/09 | SELL | TEVA PHARMACEUTICAL INDUSTRIES LTD ADR | TEVA | 2.000 | 88.77 | 106.66 | 17 89 |
| 10/05/10 | 09/17/09 | SELL | TEVA PHARMACEUTICAL INDUSTRIES LTD ADR | TEVA | 5.000 | 255.53 | 266.66 | 11.13 |
| 10/05/10 | 02/17/09 | SELL | VIMPELCOM LTD SPONSORED ADR | VIP | 12.000 | 66.70 | 168.48 | 101 78 |
| 10/05/10 | 03/13/09 | SELL | VIMPELCOM LTD SPONSORED ADR | VIP | 10.000 | 57.59 | 140 40 | 82 81 |
| 10/06/10 | 11/18/08 | SELL | ISHARES INC MSCI SOUTH KOREA INDEX FD | EWY | 1.000 | 23.57 | 55.98 | 32.41 |
| 10/06/10 | 12/01/08 | SELL | ISHARES INC MSCI SOUTH KOREA INDEX FD | EWY | 12.000 | 267.81 | 671.81 | 404.00 |

[redacted]

## Schedule of Realized Gains and Losses Year-to-Date *(continued)*

| Disposition Date | Acquisition Date | Closing Transaction | Description | Security Identifier | Quantity | Cost Basis | Proceeds | Realized Gain/Loss |
|---|---|---|---|---|---|---|---|---|
| **Long Term** *(continued)* | | | | | | | | |
| 10/06/10 | 02/17/09 | SELL | ISHARES INC MSCI SOUTH KOREA INDEX FD | EWY | 15.000 | 369.26 | 839.77 | 470.51 |
| 10/06/10 | 03/05/09 | SELL | ISHARES INC MSCI SOUTH KOREA INDEX FD | EWY | 7.000 | 150.36 | 391.89 | 241.53 |
| 10/06/10 | 04/23/09 | SELL | ISHARES INC MSCI SOUTH KOREA INDEX FD | EWY | 11.000 | 360.33 | 615.83 | 255.50 |
| 10/06/10 | 09/28/09 | SELL | MELCO CROWN ENTMT LTD ADR | MPEL | 22.000 | 160.16 | 117.97 | -42.19 |
| 10/07/10 | 02/03/09 | SELL | CREDICORP LTD COM | BAP | 4.000 | 160.03 | 471.73 | 311.70 |
| 10/07/10 | 02/09/09 | SELL | CREDICORP LTD COM | BAP | 4.000 | 160.04 | 471.72 | 311.68 |
| 10/08/10 | 12/17/08 | SELL | BARCLAYS BK PLC IPATH ETNS LKD MSCI | INP | 4.000 | 131.81 | 316.66 | 184.85 |
| 10/08/10 | 04/24/09 | SELL | BARCLAYS BK PLC IPATH ETNS LKD MSCI | INP | 9.000 | 334.08 | 712.50 | 378.42 |
| 10/08/10 | 01/07/09 | SELL | ISHARES INC MSCI TAIWAN INDEX FD | EWT | 20.000 | 152.16 | 273.82 | 121.66 |
| 10/08/10 | 02/06/09 | SELL | ISHARES INC MSCI TAIWAN INDEX FD | EWT | 44.000 | 324.69 | 602.41 | 277.72 |
| 10/08/10 | 04/29/09 | SELL | ISHARES INC MSCI TAIWAN INDEX FD | EWT | 31.000 | 297.59 | 424.43 | 126.84 |
| 10/11/10 | 04/29/09 | SELL | ISHARES INC MSCI TAIWAN INDEX FD | EWT | 45.000 | 431.99 | 609.31 | 177.32 |
| 10/11/10 | 06/01/09 | SELL | ISHARES INC MSCI TAIWAN INDEX FD | EWT | 36.000 | 409.61 | 487.44 | 77.83 |
| 10/12/10 | 04/24/09 | SELL | BARCLAYS BK PLC IPATH ETNS LKD MSCI | INP | 7.000 | 259.83 | 549.36 | 289.53 |
| 10/12/10 | 09/18/08 | SELL | ISHARES INC MSCI SOUTH AFRICA | EZA | 12.000 | 548.11 | 824 25 | 276 14 |
| 10/12/10 | 11/07/08 | SELL | ISHARES INC MSCI SOUTH AFRICA | EZA | 6.000 | 195.00 | 412.13 | 217.13 |
| 10/13/10 | 01/30/09 | SELL | KOREA FUND INC COM NEW | KF | 6.000 | 131.98 | 253.81 | 121.83 |
| 10/13/10 | 02/17/09 | SELL | KOREA FUND INC COM NEW | KF | 4.000 | 79.80 | 169.21 | 89 41 |
| 10/13/10 | 03/16/09 | SELL | KOREA FUND INC COM NEW | KF | 7.000 | 138.35 | 296.11 | 157.76 |
| 10/13/10 | 04/06/09 | SELL | KOREA FUND INC COM NEW | KF | 7.000 | 174.54 | 296.12 | 121.58 |
| 10/14/10 | 11/07/08 | SELL | ISHARES INC MSCI SOUTH AFRICA | EZA | 1.000 | 32.50 | 70.04 | 37.54 |
| 10/14/10 | 11/13/08 | SELL | ISHARES INC MSCI SOUTH AFRICA | EZA | 10.000 | 310.00 | 700.36 | 390.36 |
| 10/14/10 | 12/01/08 | SELL | ISHARES INC MSCI SOUTH AFRICA | EZA | 5.000 | 161.15 | 350.18 | 189.03 |
| 10/15/10 | 04/24/09 | SELL | BARCLAYS BK PLC IPATH ETNS LKD MSCI | INP | 6.000 | 222.72 | 473.64 | 250.92 |
| 10/15/10 | 05/08/09 | SELL | BARCLAYS BK PLC IPATH ETNS LKD MSCI | INP | 7.000 | 276.36 | 552.58 | 276 22 |
| 10/15/10 | 06/10/09 | SELL | BARCLAYS BK PLC IPATH ETNS LKD MSCI | INP | 9.000 | 472.50 | 710.46 | 237.96 |
| 10/15/10 | 10/14/09 | SELL | ISHARES INC MSCI TAIWAN INDEX FD | EWT | 62 000 | 784.30 | 842.04 | 57 74 |
| 10/18/10 | 07/22/09 | SELL | BRASIL FOODS S A SPONSORED ADR | BRFS | 24.000 | 250.68 | 362.40 | 111.72 |
| 10/18/10 | 10/05/09 | SELL | BRASIL FOODS S A SPONSORED ADR | BRFS | 16.000 | 207.04 | 241.60 | 34.56 |
| 10/18/10 | 12/01/08 | SELL | ISHARES INC MSCI SOUTH AFRICA | EZA | 6.000 | 193.38 | 417.54 | 224.16 |
| 10/18/10 | 12/04/08 | SELL | ISHARES INC MSCI SOUTH AFRICA | EZA | 3.000 | 98.40 | 208.77 | 110.37 |
| 10/18/10 | 01/14/09 | SELL | ISHARES INC MSCI SOUTH AFRICA | EZA | 12 000 | 392.00 | 835.08 | 443.08 |
| 10/18/10 | 03/05/09 | SELL | ISHARES INC MSCI SOUTH AFRICA | EZA | 14.000 | 404.60 | 974.26 | 569.66 |
| 10/18/10 | 03/27/09 | SELL | ISHARES INC MSCI SOUTH AFRICA | EZA | 19.000 | 694.45 | 1,322.21 | 627.76 |
| 10/19/10 | 01/07/09 | SELL | SK TELECOM LTD SPONSORED ADR | SKM | 4.000 | 68.71 | 72.52 | 3.81 |
| 10/19/10 | 01/14/09 | SELL | SK TELECOM LTD SPONSORED ADR | SKM | 9.000 | 150.02 | 163.17 | 13.15 |
| 10/19/10 | 01/27/09 | SELL | SK TELECOM LTD SPONSORED ADR | SKM | 19.000 | 329.08 | 344.47 | 15 39 |
| 10/19/10 | 10/08/07 | SELL | SILICONWARE PRECISION INDS LTD | SPIL | 25.100 | 250.42 | 133.03 | -117.39 |
| 10/19/10 | 04/11/08 | SELL | SILICONWARE PRECISION INDS LTD | SPIL | 10.100 | 86.62 | 53.53 | -33 09 |
| 10/19/10 | 07/18/08 | SELL | SILICONWARE PRECISION INDS LTD | SPIL | 80.800 | 632.00 | 428.26 | -203.74 |
| 10/19/10 | 09/25/08 | SELL | SILICONWARE PRECISION INDS LTD | SPIL | 20.000 | 129.82 | 106.00 | -23 82 |
| 10/19/10 | 10/08/09 | SELL | SILICONWARE PRECISION INDS LTD | SPIL | 128.000 | 907.52 | 678.43 | -229.09 |
| 10/20/10 | 11/24/08 | SELL | HUANENG PWR INTL INC SPONSORED ADR SER N | HNP | 13.000 | 285.76 | 312.97 | 27.21 |

## Schedule of Realized Gains and Losses Year-to-Date *(continued)*

| Disposition Date | Acquisition Date | Closing Transaction | Description | Security Identifier | Quantity | Cost Basis | Proceeds | Realized Gain/Loss |
|---|---|---|---|---|---|---|---|---|
| **Long Term** *(continued)* | | | | | | | | |
| 10/20/10 | 12/01/08 | SELL | HUANENG PWR INTL INC SPONSORED ADR SER N | HNP | 7.000 | 164.00 | 168 52 | 4.52 |
| 10/20/10 | 12/03/08 | SELL | HUANENG PWR INTL INC SPONSORED ADR SER N | HNP | 4.000 | 103.65 | 96.30 | -7.35 |
| 10/20/10 | 12/04/08 | SELL | HUANENG PWR INTL INC SPONSORED ADR SER N | HNP | 7.000 | 174.27 | 168.52 | -5.75 |
| 10/20/10 | 12/08/08 | SELL | HUANENG PWR INTL INC SPONSORED ADR SER N | HNP | 2.000 | 56.66 | 48.16 | -8.50 |
| 10/20/10 | 01/27/09 | SELL | SK TELECOM LTD SPONSORED ADR | SKM | 5.000 | 86.60 | 92.66 | 6 06 |
| 10/20/10 | 05/21/09 | SELL | SK TELECOM LTD SPONSORED ADR | SKM | 29.000 | 463.11 | 537.40 | 74.29 |
| 10/21/10 | 10/14/08 | SELL | COMPANHIA DE BEBIDAS DAS AMERS AMBEV | ABV | 3.000 | 143.00 | 421.10 | 278.10 |
| 10/21/10 | 10/15/08 | SELL | COMPANHIA DE BEBIDAS DAS AMERS AMBEV | ABV | 1 000 | 44.60 | 140.37 | 95 77 |
| 10/21/10 | 11/07/08 | SELL | COMPANHIA DE BEBIDAS DAS AMERS AMBEV | ABV | 1.000 | 47.27 | 140.37 | 93.10 |
| 10/25/10 | 12/30/08 | SELL | HUANENG PWR INTL INC SPONSORED ADR SER N | HNP | 2.000 | 56.56 | 47.02 | -9.54 |
| 10/25/10 | 05/22/09 | SELL | HUANENG PWR INTL INC SPONSORED ADR SER N | HNP | 18.000 | 466.19 | 423.18 | -43.01 |
| 10/25/10 | 06/11/09 | SELL | HUANENG PWR INTL INC SPONSORED ADR SER N | HNP | 15.000 | 444.75 | 352.65 | -92.10 |
| 11/04/10 | 09/28/09 | SELL | MELCO CROWN ENTMT LTD ADR | MPEL | 49.000 | 356.72 | 328.79 | -27.93 |
| 11/04/10 | 10/01/09 | SELL | MELCO CROWN ENTMT LTD ADR | MPEL | 55.000 | 376.75 | 369.05 | -7.70 |
| 11/09/10 | 11/07/08 | SELL | CHUNGHWA TELECOM CO LTD SPONS ADR NEW | CHT | 2.635 | 47.35 | 66.00 | 18.65 |
| 11/09/10 | 12/12/08 | SELL | 2CHUNGHWA TELECOM CO LTD SPONS ADR NEW | CHT | 13.365 | Please Provide | 334.80 | N/A |
| 11/09/10 | 03/30/09 | SELL | CHUNGHWA TELECOM CO LTD SPONS ADR NEW | CHT | 21.000 | 372.75 | 526.05 | 153.30 |
| 11/09/10 | 03/06/08 | SELL | SOUTHERN COPPER CORP DEL COM | SCCO | 3.000 | 112.10 | 139.26 | 27.16 |
| 11/09/10 | 10/21/08 | SELL | SOUTHERN COPPER CORP DEL COM | SCCO | 5.000 | 61.21 | 232.10 | 170.89 |
| 11/10/10 | 12/17/08 | SELL | ANGLOGOLD ASHANTI LTD SPONORED ADR | AU | 2.000 | 53.98 | 102.14 | 48.16 |
| 11/10/10 | 02/02/09 | SELL | ANGLOGOLD ASHANTI LTD SPONORED ADR | AU | 3.000 | 83.61 | 153.21 | 69.60 |
| 11/10/10 | 02/05/09 | SELL | ANGLOGOLD ASHANTI LTD SPONORED ADR | AU | 7.000 | 180.30 | 357.49 | 177.19 |
| 11/12/10 | 11/07/08 | SELL | COMPANHIA DE BEBIDAS DAS AMERS AMBEV | ABV | 2.000 | 94.54 | 273.46 | 178.92 |
| 11/12/10 | 11/19/08 | SELL | COMPANHIA DE BEBIDAS DAS AMERS AMBEV | ABV | 2.000 | 86.38 | 273.46 | 187.08 |
| 12/01/10 | 10/01/09 | SELL | MELCO CROWN ENTMT LTD ADR | MPEL | 28.000 | 191.80 | 173.04 | -18.76 |
| 12/01/10 | 10/13/09 | SELL | MELCO CROWN ENTMT LTD ADR | MPEL | 35.000 | 242.90 | 216.30 | -26 60 |

[redacted]

## Schedule of Realized Gains and Losses Year-to-Date *(continued)*

| Disposition Date | Acquisition Date | Closing Transaction | Description | Security Identifier | Quantity | Cost Basis | Proceeds | Realized Gain/Loss |
|---|---|---|---|---|---|---|---|---|
| **Long Term** *(continued)* | | | | | | | | |
| 12/02/10 | 10/21/09 | SELL | YANZHOU COAL MINING CO LTD SPONSORED ADR | YZC | 12.000 | 186.24 | 334.96 | 148 72 |
| 12/02/10 | 10/23/09 | SELL | YANZHOU COAL MINING CO LTD SPONSORED ADR | YZC | 8.000 | 128.67 | 223.30 | 94.63 |
| 12/06/10 | 10/14/08 | SELL | COMPANHIA ENERGETICA DE MINAS GERAIS ADR | CIG | 4.250 | 50.68 | 74.70 | 24.02 |
| 12/06/10 | 10/15/08 | SELL | COMPANHIA ENERGETICA DE MINAS GERAIS ADR | CIG | 10.750 | 114.36 | 188.95 | 74.59 |
| 12/08/10 | 10/08/09 | SELL | WYNN RESORTS LTD COM | WYNN | 6.000 | 419.62 | 606.12 | 186.50 |
| 12/10/10 | 10/15/08 | SELL | COMPANHIA ENERGETICA DE MINAS GERAIS ADR | CIG | 12.625 | 134.30 | 207.30 | 73 00 |
| 12/10/10 | 01/16/09 | SELL | COMPANHIA ENERGETICA DE MINAS GERAIS ADR | CIG | 24.750 | 258.46 | 406.39 | 147.93 |
| 12/10/10 | 01/26/09 | SELL | COMPANHIA ENERGETICA DE MINAS GERAIS ADR | CIG | 8.250 | 82.73 | 135.46 | 52.73 |
| 12/10/10 | 01/27/09 | SELL | COMPANHIA ENERGETICA DE MINAS GERAIS ADR | CIG | 1.375 | 13.72 | 22.59 | 8.87 |
| 12/10/10 | 09/24/09 | SELL | ISHARES INC MCSI TURKEY INDEX FD | TUR | 2.000 | 99.58 | 133.78 | 34.20 |
| 12/13/10 | 10/21/08 | SELL | SOUTHERN COPPER CORP DEL COM | SCCO | 5 000 | 61.20 | 239.15 | 177.95 |
| 12/13/10 | 11/04/09 | SELL | SOUTHERN COPPER CORP DEL COM | SCCO | 5.000 | 166.53 | 239.15 | 72.62 |
| 12/15/10 | 10/08/09 | SELL | WYNN RESORTS LTD COM | WYNN | 2.000 | 139.87 | 201.72 | 61.85 |
| 12/15/10 | 10/20/09 | SELL | WYNN RESORTS LTD COM | WYNN | 4.000 | 254.90 | 403.44 | 148.54 |
| 12/15/10 | 10/20/09 | SELL | WYNN RESORTS LTD COM | WYNN | 6.000 | 382.36 | 601.90 | 219.54 |
| 12/17/10 | 09/24/09 | SELL | ISHARES INC MCSI TURKEY INDEX FD | TUR | 4.000 | 199.16 | 255.42 | 56.26 |
| 12/17/10 | 11/06/09 | SELL | ISHARES INC MCSI TURKEY INDEX FD | TUR | 3.000 | 147.88 | 191.56 | 43.68 |
| 12/17/10 | 05/22/07 | SELL | TAIWAN SEMICONDUCTOR MFG CO SPONSORED | TSM | 20.780 | 215.77 | 261.00 | 45.23 |
| 12/17/10 | 08/20/08 | SELL | TAIWAN SEMICONDUCTOR MFG CO SPONSORED | TSM | 2.220 | 22.75 | 27.88 | 5.13 |
| 12/21/10 | 11/06/09 | SELL | ISHARES INC MCSI TURKEY INDEX FD | TUR | 9.000 | 443.65 | 578.71 | 135.06 |
| 12/21/10 | 08/10/09 | SELL | JSC MMC NORILSK NICKEL SPONSORED ADR | NILSY | 28.000 | 299.88 | 654.36 | 354.48 |
| 12/21/10 | 12/02/09 | SELL | JSC MMC NORILSK NICKEL SPONSORED ADR | NILSY | 26.000 | 373.88 | 607.62 | 233.74 |
| 12/29/10 | 03/30/07 | SELL | AMERICA MOVIL SAB DE C V SPONSORED ADR | AMX | 7.000 | 334.39 | 396.85 | 62.46 |
| 12/29/10 | 08/20/08 | SELL | TAIWAN SEMICONDUCTOR MFG CO SPONSORED | TSM | 31.000 | 317.71 | 381.95 | 64.24 |
| **Total Long Term** | | | | | | ~~$41,152.66~~ | ~~$59,558.23~~ | ~~$18,070.77~~ |
| | | | | | | $38,521.16 | $55,524.94 | $17,003.78 |

0 CA

0 CA

41,152.66 +
Adj to cost basis 2,631.50 −
38,521.16 *

Total Proceeds 83,206.78 +
ST Proceeds 27,681.84 −
LT Proceeds 55,524.94 *

0 CA

55,524.94 +
38,521.16 −
LT Gain 17,003.78 *

CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC
2121 Avenue of the Stars
Suite 3300
Los Angeles, CA 90067-5014
(800) 237-5022

**Managed Account Statement**

Statement Period: 12/01/2010 - 12/31/2010

## Schedule of Realized Gains and Losses Year-to-Date *(continued)*

| Disposition Date | Acquisition Date | Closing Transaction | Description | Security Identifier | Quantity | Cost Basis | Proceeds | Realized Gain/Loss |
|---|---|---|---|---|---|---|---|---|
| **Total Short Term and Long Term** | | | | | | ~~-$66,914.04~~ 64,282.54 | ~~$87,240.07~~ 83,206.78 | ~~$19,991.23~~ 18,924.24 |

The Schedule of Realized Gains and Losses is not reported to the IRS. This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes; for example, it does not reflect disallowed losses from wash sale events. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. Typically these adjustments are received after year-end and there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports or online displays you may have available to you. Return of capital information has been obtained from sources we believe to be reliable.
When you report your cost basis on your tax return, it should be verified with your own records. In particular, there may be other adjustments which you need to make and you should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

2Realized gains and losses are not reported for securities for which cost basis or proceeds are not available.

## Transactions by Type of Activity

| Process/ Settlement Date | Trade/ Transaction Date | Activity Type | Description | Quantity | Price | Accrued Interest | Amount | CCY |
|---|---|---|---|---|---|---|---|---|
| **Securities Bought and Sold** | | | | | | | | |
| 12/03/10 | 11/30/10 | PURCHASED | CHINA PETE & CHEM CORP SPONSORED ADR REPSTG H SHS AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT | 4.000 | 93.0566 | | -372.23 | USD |
| 12/03/10 | 11/30/10 | PURCHASED | CTRIP COM INTL LTD AMERICAN DEP SHS ISIN#US22943F1003 AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT | 12.000 | 44.0401 | | -528.48 | USD |
| 12/03/10 | 11/30/10 | PURCHASED | GERDAU S A SPONSORED ADR AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT | 8.000 | 11.6199 | | -92.96 | USD |
| 12/03/10 | 11/30/10 | SOLD | GLOBAL X FDS GLOBAL X CHINA MATLS ETF AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT | -20.000 | 14.5876 | | 291.75 | USD |
| 12/03/10 | 11/30/10 | SOLD | LAS VEGAS SANDS CORP COM AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT | -7.000 | 50.5010 | | 353.51 | USD |
| 12/03/10 | 11/30/10 | PURCHASED | MOBILE TELESYSTEMS OJSC SPONSORED ADR ISIN#US6074091090 AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT | 14.000 | 21.0090 | | -294.13 | USD |
| 12/03/10 | 11/30/10 | PURCHASED | TENARIS S A SPONSORED ADR AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT | 6.000 | 42.6490 | | -255.89 | USD |

[redacted]

## Schedule of Realized Gains and Losses Year-to-Date

| Disposition Date | Acquisition Date | Closing Transaction | Description | Security Identifier | Quantity | Cost Basis | Proceeds | Realized Gain/Loss |
|---|---|---|---|---|---|---|---|---|
| **Short Term** | | | | | | | | |
| 02/19/10 | 03/05/09 | SELL | BERKSHIRE HATHAWAY INC DEL CL B NEW | BRK B | 145.000 | 6,873.00 | 11,478.45 | 4,605.45 |
| 04/21/10 | 10/28/09 | SELL | TRANSOCEAN LTD ZUG NAMEN AKT | RIG | 5.000 | 417.61 | 456.50 | 38.89 |
| 04/21/10 | 10/28/09 | SELL | TRANSOCEAN LTD ZUG NAMEN AKT | RIG | 50.000 | 4,176.14 | 4,545.00 | 368.86 |
| 05/06/10 | 06/12/09 | SELL | COMCAST CORP CL A | CMCSA | 465.000 | 6,592.35 | 8,835.00 | 2,242.65 |
| 05/27/10 | 04/06/10 | SELL | MONSANTO CO NEW COM | MON | 115.000 | 8,009.55 | 5,635.00 | -2,374.55 |
| 06/17/10 | 10/28/09 | SELL | TRANSOCEAN LTD ZUG NAMEN AKT | RIG | 50.000 | 4,176.14 | 2,495.00 | -1,681.14 |
| 06/17/10 | 11/19/09 | SELL | TRANSOCEAN LTD ZUG NAMEN AKT | RIG | 48.000 | 4,171.68 | 2,395.20 | -1,776.48 |
| 07/08/10 | 01/20/10 | CLEU | FRONTIER COMMUNICATIONS CORP | FTR | 0.025 | 0.20 | 0.17 | -0.03 |
| 07/12/10 | 04/06/10 | SELL | MONSANTO CO NEW COM | MON | 30.000 | 2,089.45 | 1,562.70 | -526.75 |
| 07/12/10 | 04/14/10 | SELL | MONSANTO CO NEW COM | MON | 80.000 | 5,278.40 | 4,167.20 | -1,111.20 |
| 08/06/10 | 11/25/09 | SELL | LEAR CORP COM NEW | LEA | 78.000 | 4,975.19 | 6,333.16 | 1,357.97 |
| 08/06/10 | 11/30/09 | SELL | LEAR CORP COM NEW | LEA | 6.000 | 378.00 | 487.17 | 109.17 |
| 09/10/10 | 10/28/09 | SELL | BERKSHIRE HATHAWAY INC DEL CL B NEW | BRK B | 9.000 | 589.68 | 742.50 | 152.82 |
| 09/17/10 | 01/20/10 | SELL | FRONTIER COMMUNICATIONS CORP | FTR | 150.000 | 1,193.51 | 1,200.00 | 6.49 |
| 09/27/10 | 11/19/09 | SELL | TRANSOCEAN LTD ZUG NAMEN AKT | RIG | 7.000 | 608.37 | 442.05 | -166.32 |
| 09/27/10 | 12/04/09 | SELL | TRANSOCEAN LTD ZUG NAMEN AKT | RIG | 6.000 | 497.20 | 378.90 | -118.30 |
| 09/27/10 | 12/08/09 | SELL | TRANSOCEAN LTD ZUG NAMEN AKT | RIG | 55.000 | 4,539.70 | 3,473.25 | -1,066.45 |
| 09/27/10 | 05/06/10 | SELL | TRANSOCEAN LTD ZUG NAMEN AKT | RIG | 30.000 | 2,167.50 | 1,894.50 | -273.00 |
| 09/27/10 | 01/20/10 | SELL | VERIZON COMMUNICATIONS COM | VZ | 250.000 | 7,222.52 | 8,235.00 | 1,012.48 |
| 10/19/10 | 12/11/09 | SELL | HOLLY CORP PAR | HOC | 183.000 | 4,575.00 | 6,163.49 | 1,588.49 |
| 12/03/10 | 05/07/10 | SELL | WEATHERFORD INTL LTD REG | WFT | 58.000 | 878.70 | 1,262.66 | 383.96 |
| 12/06/10 | 12/11/09 | SELL | HOLLY CORP PAR | HOC | 182.000 | 4,550.00 | 6,734.00 | 2,184.00 |
| **Total Short Term** | | | | | | $73,959.89 | $78,916.90 | $4,957.01 |
| **Long Term** | | | | | | | | |
| 01/08/10 | 01/17/08 | SELL | WEATHERFORD INTL LTD REG | WFT | 355.000 | 10,830.88 | 7,242.00 | -3,588.88 |
| 01/15/10 | 02/17/04 | SELL | TARGET CORP | TGT | 80.000 | 3,356.00 | 4,011.38 | 655.38 |
| 01/15/10 | 08/14/07 | SELL | TARGET CORP | TGT | 100.000 | 6,016.00 | 5,014.23 | -1,001.77 |
| 03/04/10 | 09/19/05 | SELL | COVANCE INC | CVD | 195.000 | 9,740.25 | 11,729.25 | 1,989.00 |
| 03/04/10 | 01/11/08 | SELL | SPX CORPORATION | SPW | 130.000 | 12,409.61 | 8,060.00 | -4,349.61 |
| 03/05/10 | 09/25/03 | SELL | TEVA PHARMACEUTICAL INDUSTRIES LTD ADR | TEVA | 220.000 | 6,308.44 | 13,532.20 | 7,223.76 |
| 03/05/10 | 10/23/03 | SELL | TEVA PHARMACEUTICAL INDUSTRIES LTD ADR | TEVA | 80.000 | 2,242.00 | 4,920.80 | 2,678.80 |
| 03/05/10 | 02/17/04 | SELL | TEVA PHARMACEUTICAL INDUSTRIES LTD ADR | TEVA | 60.000 | 2,010.00 | 3,690.60 | 1,680.60 |
| 04/19/10 | 05/22/03 | SELL | BANK OF NEW YORK MELLON CORP COM | BK | 40.000 | 1,145.73 | 1,276.00 | 130.27 |
| 04/20/10 | 12/18/07 | SELL | FLUOR CORP NEW COM | FLR | 190.000 | 12,683.06 | 9,944.60 | -2,738.46 |
| 06/15/10 | 10/05/04 | SELL | HOSPIRA INC COM | HSP | 100.000 | 2,994.68 | 5,498.19 | 2,503.51 |
| 06/15/10 | 08/05/05 | SELL | QUEST DIAGNOSTICS INC COM | DGX | 50.000 | 2,354.41 | 2,669.00 | 314.59 |
| 06/15/10 | 05/22/03 | SELL | 3M CO COM | MMM | 60.000 | 3,707.89 | 4,740.00 | 1,032.11 |
| 06/16/10 | 02/27/07 | SELL | APPLE INC COM | AAPL | 15.000 | 1,298.65 | 3,958.05 | 2,659.40 |
| 07/09/10 | 06/12/09 | SELL | COMCAST CORP CL A | CMCSA | 365.000 | 5,174.64 | 6,562.70 | 1,388.06 |
| 07/13/10 | 03/10/06 | SELL | SEAGATE TECHNOLOGY PLC SHS | STX | 650.000 | 15,590.88 | 9,392.50 | -6,198.38 |
| 08/09/10 | 03/17/04 | SELL | PRAXAIR INC | PX | 92.000 | 3,298.74 | 8,165.00 | 4,866.26 |
| 09/10/10 | 03/05/09 | SELL | BERKSHIRE HATHAWAY INC DEL CL B NEW | BRK B | 105.000 | 4,977.00 | 8,662.50 | 3,685.50 |
| 09/16/10 | 10/05/04 | SELL | HOSPIRA INC COM | HSP | 142.000 | 4,252.44 | 7,907.98 | 3,655.54 |

CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC
[illegible] Avenue of the Stars
Suite [illegible]
Los Angeles, CA [illegible]
([illegible]) [illegible]

# Brokerage
## Account Statement

Statement Period: 12/01/2010 - 12/31/2010

## Schedule of Realized Gains and Losses Year-to-Date *(continued)*

| Disposition Date | Acquisition Date | Closing Transaction | Description | Security Identifier | Quantity | Cost Basis | Proceeds | Realized Gain/Loss |
|---|---|---|---|---|---|---|---|---|
| **Long Term** *(continued)* | | | | | | | | |
| 10/07/10 | 12/18/07 | SELL | FLUOR CORP NEW COM | FLR | 103.000 | 6,875.55 | 5,389.99 | -1,485.56 |
| 10/13/10 | 01/11/08 | SELL | SPX CORPORATION | SPW | 93.000 | 8,877.65 | 6,477.45 | -2,400.20 |
| 10/18/10 | 10/11/04 | SELL | MCGRAW HILL COMPANIES INC | MHP | 300.000 | 11,954.36 | 10,632.00 | -1,322.36 |
| 11/01/10 | 10/11/04 | SELL | MCGRAW HILL COMPANIES INC | MHP | 65.000 | 2,590.11 | 2,505.75 | -84.36 |
| 11/01/10 | 06/16/05 | SELL | MCGRAW HILL COMPANIES INC | MHP | 135.000 | 5,726.70 | 5,204.25 | -522.45 |
| 11/01/10 | 08/14/07 | SELL | MCGRAW HILL COMPANIES INC | MHP | 100.000 | 5,214.00 | 3,855.00 | -1,359.00 |
| 11/17/10 | 08/01/03 | SELL | SCHLUMBERGER LTD COM ISIN#AN8068571086 | SLB | 19.000 | 428.02 | 1,414.55 | 986.53 |
| 11/18/10 | 08/05/05 | SELL | QUEST DIAGNOSTICS INC COM | DGX | 280.000 | 13,184.69 | 14,252.00 | 1,067.31 |
| 11/24/10 | 03/16/04 | SELL | AGILENT TECHNOLOGIES INC COM | A | 150.000 | 4,248.53 | 5,400.00 | 1,151.47 |
| 11/24/10 | 06/16/05 | SELL | AGILENT TECHNOLOGIES INC COM | A | 180.000 | 4,185.32 | 6,480.00 | 2,294.68 |
| 11/24/10 | 08/14/07 | SELL | AGILENT TECHNOLOGIES INC COM | A | 70.000 | 2,562.70 | 2,520.00 | -42.70 |
| 11/29/10 | 01/17/08 | SELL | WEATHERFORD INTL LTD REG | WFT | 15.000 | 457.64 | 300.00 | -157.64 |
| 11/29/10 | 02/03/09 | SELL | WEATHERFORD INTL LTD REG | WFT | 266.000 | 2,793.00 | 5,320.00 | 2,527.00 |
| 12/03/10 | 03/10/06 | SELL | SEAGATE TECHNOLOGY PLC SHS | STX | 200.000 | 4,797.19 | 2,994.00 | -1,803.19 |
| 12/03/10 | 06/12/06 | SELL | SEAGATE TECHNOLOGY PLC SHS | STX | 450.000 | 9,947.96 | 6,736.50 | -3,211.46 |
| 12/03/10 | 02/03/09 | SELL | WEATHERFORD INTL LTD REG | WFT | 184.000 | 1,932.00 | 4,005.68 | 2,073.68 |
| 12/14/10 | 09/19/05 | SELL | COVANCE INC | CVD | 105.000 | 5,244.75 | 5,319.30 | 74.55 |
| 12/14/10 | 01/24/08 | SELL | COVANCE INC | CVD | 50.000 | 4,246.50 | 2,533.00 | -1,713.50 |
| 12/15/10 | 05/23/03 | SELL | AFFILIATED MANAGERS GROUP INC COM | AMG | 70.000 | 2,257.00 | 6,842.50 | 4,585.50 |
| 12/15/10 | 10/23/03 | SELL | AFFILIATED MANAGERS GROUP INC COM | AMG | 6.000 | 273.60 | 586.50 | 312.90 |
| **Total Long Term** | | | | | | $208,188.57 | $225,745.45 | $17,556.88 |
| **Total Short Term and Long Term** | | | | | | $282,148.46 | $304,662.35 | $22,513.89 |

The Schedule of Realized Gains and Losses is not reported to the IRS. This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes; for example, it does not reflect disallowed losses from wash sale events. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. Typically these adjustments are received after year-end and there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports or online displays you may have available to you. Return of capital information has been obtained from sources we believe to be reliable.

When you report your cost basis on your tax return, it should be verified with your own records. In particular, there may be other adjustments which you need to make and you should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

[redacted]

CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC
2121 Avenue of the Stars
Suite 3300
Los Angeles, CA 90067-5014
(800) 237-5022

# Managed Account Statement

Statement Period: 12/01/2010 - 12/31/2010

## Income and Expense Summary

| | Current Period Taxable | Current Period Non Taxable | Year-to-Date Taxable | Year-to-Date Non Taxable |
|---|---|---|---|---|
| **Dividend Income** | | | | |
| Equities | 988.73 | 0.00 | 7,540.84 | 0.00 |
| Money Market | 0.18 | 0.00 | 1.86 | 0.00 |
| **Expenses** | | | | |
| Withholding Taxes | -70.11 | 0.00 | -727.77 | 0.00 |
| Fees(Foreign Securities) | -64.83 | 0.00 | -222.01 | 0 00 |
| **Total Dividends, Interest, Income and Expenses** | **$853.97** | **$0.00** | **$6,592.92** | **$0.00** |

## Schedule of Realized Gains and Losses Year-to-Date

| Disposition Date | Acquisition Date | Closing Transaction | Description | Security Identifier | Quantity | Cost Basis | Proceeds | Realized Gain/Loss |
|---|---|---|---|---|---|---|---|---|
| **Short Term** | | | | | | | | |
| 01/08/10 | 02/17/09 | SELL | NOVARTIS AG SPONSORED ADR | NVS | 41.000 | 1,727.53 | 2,136.15 | 408.62 |
| 01/12/10 | 02/17/09 | SELL | NOVARTIS AG SPONSORED ADR | NVS | 28.000 | 1,179.77 | 1,471.76 | 291.99 |
| 01/12/10 | 04/14/09 | SELL | NOVARTIS AG SPONSORED ADR | NVS | 1.000 | 36.89 | 52.56 | 15.67 |
| 01/14/10 | 04/14/09 | SELL | NOVARTIS AG SPONSORED ADR | NVS | 27.000 | 996.09 | 1,432.67 | 436.58 |
| 01/20/10 | 04/14/09 | SELL | NOVARTIS AG SPONSORED ADR | NVS | 21.000 | 774.73 | 1,123.34 | 348.61 |
| 01/20/10 | 05/08/09 | SELL | NOVARTIS AG SPONSORED ADR | NVS | 35.000 | 1,331.69 | 1,872.24 | 540.55 |
| 03/25/10 | 08/03/09 | SELL | NINTENDO LTD ADR | NTDOY | 25.000 | 848.74 | 1,030.49 | 181.75 |
| 05/21/10 | 04/30/10 | SELL | BP PLC SPONS ADR | BP | 18.000 | 953.48 | 793.37 | -160.11 |
| 06/04/10 | 11/18/09 | SELL | REXAM PLC SPONSORED ADR NEW | REXMY | 60.000 | 1,462.29 | 1,399.93 | -62.36 |
| 07/01/10 | 01/12/10 | SELL | ASTRAZENECA PLC SPONSORED ADR | AZN | 17.000 | 806.31 | 796.71 | -9.60 |
| 07/15/10 | 06/23/10 | SELL | BP PLC SPONS ADR | BP | 33.000 | 986.52 | 1,220.86 | 234.34 |
| 08/04/10 | 06/23/10 | SELL | BP PLC SPONS ADR | BP | 41.000 | 1,225.68 | 1,615.53 | 389 85 |
| 11/03/10 | 06/23/10 | SELL | BP PLC SPONS ADR | BP | 35.000 | 1,046.31 | 1,476.45 | 430 14 |
| 11/09/10 | 06/23/10 | SELL | BP PLC SPONS ADR | BP | 37.000 | 1,106.10 | 1,601.89 | 495.79 |
| 11/10/10 | 06/23/10 | SELL | BP PLC SPONS ADR | BP | 37.000 | 1,106.10 | 1,590.66 | 484.56 |
| **Total Short Term** | | | | | | **$15,588.23** | **$19,614.61** | **$4,026.38** |
| **Long Term** | | | | | | | | |
| 01/28/10 | 05/11/07 | SELL | TOPPAN PRTG LTD ADR | TONPY | 35.000 | 1,842.58 | 1,564 52 | -278.06 |
| 02/02/10 | 11/20/08 | SELL | TECHNIP SPONS ADR ISIN#US8785462099 | TKPPY | 6.000 | 143.63 | 448.30 | 304 67 |
| 02/23/10 | 11/20/08 | SELL | TECHNIP SPONS ADR ISIN#US8785462099 | TKPPY | 38.000 | 909.65 | 2,788.81 | 1,879.16 |
| 03/04/10 | 02/18/05 | SELL | SHISEIDO LTD SPON ADR | SSDOY | 30.000 | 418.38 | 677.07 | 258.69 |
| 03/08/10 | 05/10/05 | SELL | FUJIFILM HLDGS CORP ADR 2 ORD | FUJIY | 71.000 | 2,499.27 | 2,290.43 | -208.84 |





[redacted]
THE LAWRENCE FOUNDATION

[redacted]

# Schedule of Realized Gains and Losses Year-to-Date *(continued)*

| Disposition Date | Acquisition Date | Closing Transaction | Description | Security Identifier | Quantity | Cost Basis | Proceeds | Realized Gain/Loss |
|---|---|---|---|---|---|---|---|---|
| **Long Term** *(continued)* | | | | | | | | |
| 04/14/10 | 05/14/07 | SELL | TOPPAN PRTG LTD ADR | TONPY | 55.000 | 2,914.04 | 2,509.93 | -404.11 |
| 04/14/10 | 05/14/07 | SELL | TOPPAN PRTG LTD ADR | TONPY | 9.000 | 476.84 | 393.75 | -83.09 |
| 04/30/10 | 10/21/08 | SELL | TDK CORP AMERICAN DEP SHS | TTDKY | 5.000 | 173.43 | 323.50 | 150.07 |
| 05/06/10 | 10/21/08 | SELL | TDK CORP AMERICAN DEP SHS | TTDKY | 8.000 | 277.49 | 518.79 | 241.30 |
| 05/06/10 | 12/12/08 | SELL | TDK CORP AMERICAN DEP SHS | TTDKY | 34.000 | 1,131.56 | 2,204.88 | 1,073.32 |
| 05/07/10 | 08/15/07 | SELL | BARRICK GOLD CORP COM | ABX | 39.000 | 1,265.94 | 1,669.05 | 403.11 |
| 05/07/10 | 03/14/08 | SELL | MAGNA INTERNATIONAL INC COM | MGA | 2.000 | 139 33 | 141.27 | 1.94 |
| 05/07/10 | 04/29/08 | SELL | MAGNA INTERNATIONAL INC COM | MGA | 23.000 | 1,761.73 | 1,624.65 | -137.08 |
| 05/14/10 | 12/24/08 | SELL | SEVEN & I HLDGS CO LTD ADR | SVNDY | 9.000 | 567.38 | 445.33 | -122.05 |
| 05/14/10 | 01/07/09 | SELL | SEVEN & I HLDGS CO LTD ADR | SVNDY | 17.000 | 1,003.30 | 841.18 | -162.12 |
| 05/21/10 | 02/17/05 | SELL | AEGON N V ORD AMER REG | AEG | 100.000 | 1,318.67 | 582.06 | -736.61 |
| 05/21/10 | 10/24/08 | SELL | AEGON N V ORD AMER REG | AEG | 105.000 | 462.99 | 611.16 | 148.17 |
| 05/21/10 | 11/14/08 | SELL | AEGON N V ORD AMER REG | AEG | 203.000 | 1,002.74 | 1,181.58 | 178.84 |
| 05/21/10 | 02/26/07 | SELL | BP PLC SPONS ADR | BP | 4.000 | 257.38 | 176.31 | -81 07 |
| 05/21/10 | 06/01/07 | SELL | BP PLC SPONS ADR | BP | 77.000 | 5,196.37 | 3,393 88 | -1,802.49 |
| 05/21/10 | 08/15/07 | SELL | BP PLC SPONS ADR | BP | 39.000 | 2,530.71 | 1,718.98 | -811.73 |
| 05/28/10 | 02/12/08 | SELL | ANGLOGOLD ASHANTI LTD SPONORED ADR | AU | 15.000 | 521.99 | 629.75 | 107.76 |
| 05/28/10 | 02/12/08 | SELL | ANGLOGOLD ASHANTI LTD SPONORED ADR | AU | 9.000 | 313.20 | 376.37 | 63.17 |
| 05/28/10 | 03/17/08 | SELL | ANGLOGOLD ASHANTI LTD SPONORED ADR | AU | 18.000 | 612.88 | 752.73 | 139.85 |
| 06/17/10 | 10/17/08 | SELL | UNITED UTILS GROUP PLC SPON ADR | UUGRY | 146.000 | 3,214.16 | 2,322.48 | -891.68 |
| 06/17/10 | 12/10/08 | SELL | UNITED UTILS GROUP PLC SPON ADR | UUGRY | 70.000 | 1,230.45 | 1,113.52 | -116.93 |
| 06/17/10 | 12/11/08 | SELL | UNITED UTILS GROUP PLC SPON ADR | UUGRY | 36.000 | 638.47 | 572.66 | -65.81 |
| 07/08/10 | 11/19/07 | SELL | SEGA SAMMY HLDGS INC SPONS ADR REPSTG COM | SGAMY | 475.000 | 1,577.05 | 1,718.46 | 141.41 |
| 07/16/10 | 02/18/05 | SELL | SHISEIDO LTD SPON ADR | SSDOY | 63.000 | 878.60 | 1,404.93 | 526.33 |
| 07/21/10 | 01/14/08 | SELL | IVANHOE MINES LTD ISIN#CA46579N1033 | IVN | 47.000 | 496.78 | 791.81 | 295.03 |
| 07/21/10 | 02/18/05 | SELL | SWISSCOM SPON ADR | SCMWY | 6.000 | 236.94 | 221.29 | -15.65 |
| 07/21/10 | 01/27/06 | SELL | SWISSCOM SPON ADR | SCMWY | 41.000 | 1,282.09 | 1,512.17 | 230 08 |
| 08/10/10 | 10/17/08 | SELL | SOCIETE GENERALE FRANCE SPON ADR | SCGLY | 45.000 | 543.60 | 533.51 | -10.09 |
| 08/10/10 | 11/24/08 | SELL | SOCIETE GENERALE FRANCE SPON ADR | SCGLY | 20.000 | 140.63 | 237.11 | 96.48 |
| 08/10/10 | 01/16/09 | SELL | SOCIETE GENERALE FRANCE SPON ADR | SCGLY | 5.000 | 42.30 | 59.28 | 16.98 |
| 09/14/10 | 04/29/08 | SELL | MAGNA INTERNATIONAL INC COM | MGA | 12.000 | 919.16 | 948.78 | 29.62 |
| 09/27/10 | 04/29/08 | SELL | MAGNA INTERNATIONAL INC COM | MGA | 10.000 | 765.97 | 794.49 | 28.52 |
| 09/28/10 | 07/07/09 | SELL | KINROSS GOLD CORP COM NO PAR | KGC | 45.000 | 832.27 | 854.11 | 21.84 |
| 09/29/10 | 07/07/09 | SELL | KINROSS GOLD CORP COM NO PAR | KGC | 26.000 | 480.86 | 493 48 | 12 62 |
| 10/05/10 | 12/16/05 | SELL | ROYAL DUTCH SHELL PLC SPONSORED ADR | RDS B | 3.000 | 193.21 | 178.60 | -14.61 |
| 10/05/10 | 03/03/06 | SELL | ROYAL DUTCH SHELL PLC SPONSORED ADR | RDS B | 24.000 | 1,553.00 | 1,428.77 | -124 23 |
| 10/11/10 | 02/18/05 | SELL | ALUMINA LTD SPONSORED ADR | AWC | 90.000 | 1,696.88 | 720.66 | -976 22 |
| 10/15/10 | 04/29/08 | SELL | MAGNA INTERNATIONAL INC COM | MGA | 10.000 | 765.97 | 875 39 | 109 42 |
| 10/26/10 | 11/19/07 | SELL | SEGA SAMMY HLDGS INC SPONS ADR REPSTG COM | SGAMY | 805.000 | 2,672.68 | 3,183.94 | 511.26 |
| 10/27/10 | 11/19/07 | SELL | SEGA SAMMY HLDGS INC SPONS ADR REPSTG COM | SGAMY | 276.000 | 916.35 | 1,084.16 | 167.81 |
| 10/28/10 | 07/12/06 | SELL | NEWCREST MINING LTD ADR | NCMGY | 20.000 | 311.91 | 766.27 | 454.36 |
| 11/02/10 | 06/17/08 | SELL | VODAFONE GROUP PLC SPON ADR NEW | VOD | 36.000 | 1,070.70 | 992.57 | -78.13 |

[redacted]

CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC
2121 Avenue of the Stars
Suite 3300
Los Angeles, CA 90067-5014
(800) 237-5022

# Managed Account Statement

Statement Period: 12/01/2010 - 12/31/2010

## Schedule of Realized Gains and Losses Year-to-Date *(continued)*

| Disposition Date | Acquisition Date | Closing Transaction | Description | Security Identifier | Quantity | Cost Basis | Proceeds | Realized Gain/Loss |
|---|---|---|---|---|---|---|---|---|
| **Long Term** *(continued)* | | | | | | | | |
| 11/05/10 | 01/14/08 | SELL | IVANHOE MINES LTD ISIN#CA46579N1033 | IVN | 85.000 | 898.42 | 2,275.68 | 1,377.26 |
| 11/08/10 | 01/14/08 | SELL | IVANHOE MINES LTD ISIN#CA46579N1033 | IVN | 37.000 | 391.08 | 964.49 | 573.41 |
| 12/01/10 | 08/07/08 | SELL | CAMECO CORP COM ISIN#CA13321L1085 | CCJ | 46.000 | 1,511.94 | 1,718.48 | 206.54 |
| 12/01/10 | 09/11/08 | SELL | CAMECO CORP COM ISIN#CA13321L1085 | CCJ | 19.000 | 453.84 | 709.80 | 255.96 |
| 12/06/10 | 08/15/07 | SELL | BARRICK GOLD CORP COM | ABX | 22.000 | 714.12 | 1,199.24 | 485.12 |
| 12/06/10 | 10/30/09 | SELL | EMBRAER EMPRESA N/C EFF 11/23/10 | 29081M102 | 52.000 | 1,146.86 | 1,550.10 | 403.24 |
| 12/10/10 | 04/29/08 | SELL | MAGNA INTERNATIONAL INC COM | MGA | 20.000 | 765.97 | 1,007.41 | 241.44 |
| 12/10/10 | 07/10/08 | SELL | MAGNA INTERNATIONAL INC COM | MGA | 3.000 | 84.52 | 151.11 | 66.59 |
| **Total Long Term** | | | | | | $56,168.26 | $60,249.03 | $4,080.77 |
| **Total Short Term and Long Term** | | | | | | $71,756.49 | $79,863.64 | $8,107.15 |

The Schedule of Realized Gains and Losses is not reported to the IRS. This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes; for example, it does not reflect disallowed losses from wash sale events Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. Typically these adjustments are received after year-end and there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports or online displays you may have available to you. Return of capital information has been obtained from sources we believe to be reliable.

When you report your cost basis on your tax return, it should be verified with your own records. In particular, there may be other adjustments which you need to make and you should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

## Transactions by Type of Activity

| Process/ Settlement Date | Trade/ Transaction Date | Activity Type | Description | Quantity | Price | Accrued Interest | Amount | CCY |
|---|---|---|---|---|---|---|---|---|
| **Securities Bought and Sold** | | | | | | | | |
| 12/01/10 | | STOCK SPLIT RECEIVED | MAGNA INTERNATIONAL INC COM ISIN#CA5592224011 RD 11/16 PD 12/01/10 | 27.000 | | | 0.00 | USD |
| 12/06/10 | 12/01/10 | SOLD | CAMECO CORP COM ISIN#CA13321L1085 Exec Brk QRCC AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT | -65.000 | 37.3581 | | 2,428.28 | USD |
| 12/07/10 | 12/02/10 | PURCHASED | CAREFOUR SA ADR Exec Brk UBSW AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT | 169.000 | 8.6636 | | -1,464.15 | USD |

[redacted]

CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC
2121 Avenue of the Stars
Suite 3300
Los Angeles, CA 90067-5014
(800) 237-6022

# Brokerage Account Statement

Statement Period: 10/01/2010 - 12/31/2010

## Income and Expense Summary

| | Current Period Taxable | Current Period Non Taxable | Year-to-Date Taxable | Year-to-Date Non Taxable |
|---|---|---|---|---|
| **Dividend Income** | | | | |
| Equities | 15,297.97 | 0.00 | 35,357.52 | 0.00 |
| **Total Dividends, Interest, Income and Expenses** | **$15,297.97** | **$0.00** | **$35,357.52** | **$0.00** |
| **Distributions** | | | | |
| Long - Term Capital Gain Distributions | 17,689.31 | 0.00 | 17,689.31 | 0.00 |
| Short - Term Capital Gain Distributions | 27,124.66 | 0.00 | 27,124.66 | 0.00 |
| **Total Distributions** | **$44,813.97** | **$0.00** | **$44,813.97** | **$0.00** |

## Schedule of Realized Gains and Losses Year-to-Date

| Disposition Date | Acquisition Date | Closing Transaction | Description | Security Identifier | Quantity | Cost Basis | Proceeds | Realized Gain/Loss |
|---|---|---|---|---|---|---|---|---|
| **Long Term** | | | | | | | | |
| 03/22/10 | 06/16/05 | SELL | PIMCO LOW DURATION CLASS A | PTLAX | 2,655.479 | 26,953.11 | 27,670.09 | 716.98 |
| 03/22/10 | 07/01/05 | SELL | PIMCO LOW DURATION CLASS A | PTLAX | 253.189 | 2,569.87 | 2,638.23 | 68.36 |
| 03/22/10 | 08/01/05 | SELL | PIMCO LOW DURATION CLASS A | PTLAX | 321.871 | 3,250.90 | 3,353.90 | 103.00 |
| 03/22/10 | 09/01/05 | SELL | PIMCO LOW DURATION CLASS A | PTLAX | 345.440 | 3,502.76 | 3,599.48 | 96.72 |
| 03/22/10 | 10/03/05 | SELL | PIMCO LOW DURATION CLASS A | PTLAX | 371.209 | 3,738.07 | 3,868.00 | 129.93 |
| 03/22/10 | 11/01/05 | SELL | PIMCO LOW DURATION CLASS A | PTLAX | 361.143 | 3,618.65 | 3,763.11 | 144.46 |
| 03/22/10 | 12/01/05 | SELL | PIMCO LOW DURATION CLASS A | PTLAX | 386.651 | 3,870.38 | 4,028.90 | 158.52 |
| 03/22/10 | 12/15/05 | SELL | PIMCO LOW DURATION CLASS A | PTLAX | 493.781 | 4,932.87 | 5,145.20 | 212 33 |
| 03/22/10 | 01/03/06 | SELL | PIMCO LOW DURATION CLASS A | PTLAX | 89.548 | 894.58 | 933.09 | 38.51 |
| **Total Long Term** | | | | | | $53,331.19 | $55,000.00 | $1,668.81 |
| **Total Short Term and Long Term** | | | | | | **$53,331.19** | **$55,000.00** | **$1,668.81** |

The Schedule of Realized Gains and Losses is not reported to the IRS. This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes; for example, it does not reflect disallowed losses from wash sale events. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. Typically these adjustments are received after year-end and there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports or online displays you may have available to you. Return of capital information has been obtained from sources we believe to be reliable.

When you report your cost basis on your tax return, it should be verified with your own records. In particular, there may be other adjustments which you need to make and you should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

[redacted]

# Brokerage Account Statement

Statement Period: 12/01/2010 - 12/31/2010

## Portfolio Holdings *(continued)*

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

## Income and Expense Summary

| | Current Period | | Year-to-Date | |
|---|---|---|---|---|
| | Taxable | Non Taxable | Taxable | Non Taxable |
| **Dividend Income** | | | | |
| Equities | 0.00 | 0.00 | 7,228.85 | 0.00 |
| Money Market | 0.97 | 0.00 | 3.32 | 0 00 |
| **Total Dividends, Interest, Income and Expenses** | **$0.97** | **$0.00** | **$7,232.17** | **$0.00** |

## Schedule of Realized Gains and Losses Year-to-Date

| Disposition Date | Acquisition Date | Closing Transaction | Description | Security Identifier | Quantity | Cost Basis | Proceeds | Realized Gain/Loss |
|---|---|---|---|---|---|---|---|---|
| **Short Term** | | | | | | | | |
| 09/24/10 | 09/30/09 | SELL | EATON VANCE FLOATING RATE FUND | ECBLX | 69.448 | 582.67 | 607.67 | 25.00 |
| 09/24/10 | 10/30/09 | SELL | EATON VANCE FLOATING RATE FUND | ECBLX | 71.954 | 607 29 | 629.60 | 22.31 |
| 09/24/10 | 11/30/09 | SELL | EATON VANCE FLOATING RATE FUND | ECBLX | 68.954 | 580.59 | 603.35 | 22.76 |
| 09/24/10 | 12/31/09 | SELL | EATON VANCE FLOATING RATE FUND | ECBLX | 228.253 | 1,947.00 | 1,997.21 | 50.21 |
| 09/24/10 | 01/29/10 | SELL | EATON VANCE FLOATING RATE FUND | ECBLX | 68.843 | 598.93 | 602.38 | 3.45 |
| 09/24/10 | 02/26/10 | SELL | EATON VANCE FLOATING RATE FUND | ECBLX | 61.759 | 536.07 | 540.39 | 4.32 |
| 09/24/10 | 03/31/10 | SELL | EATON VANCE FLOATING RATE FUND | ECBLX | 69.226 | 610.57 | 605.73 | -4 84 |
| 09/24/10 | 04/30/10 | SELL | EATON VANCE FLOATING RATE FUND | ECBLX | 66.492 | 591.78 | 581.81 | -9 97 |
| 09/24/10 | 05/28/10 | SELL | EATON VANCE FLOATING RATE FUND | ECBLX | 70.168 | 610.46 | 613.97 | 3.51 |
| 09/24/10 | 06/30/10 | SELL | EATON VANCE FLOATING RATE FUND | ECBLX | 73.653 | 634.15 | 644.46 | 10.31 |
| 09/24/10 | 07/30/10 | SELL | EATON VANCE FLOATING RATE FUND | ECBLX | 71.780 | 623.77 | 628.08 | 4.31 |
| 09/24/10 | 08/31/10 | SELL | EATON VANCE FLOATING RATE FUND | ECBLX | 67.309 | 586.26 | 588.94 | 2.68 |
| **Total Short Term** | | | | | | **$8,509.54** | **$8,643.59** | **$134.05** |





[redacted] THE LAWRENCE FOUNDATION

[redacted]

## Schedule of Realized Gains and Losses Year-to-Date *(continued)*

| Disposition Date | Acquisition Date | Closing Transaction | Description | Security Identifier | Quantity | Cost Basis | Proceeds | Realized Gain/Loss |
|---|---|---|---|---|---|---|---|---|
| **Long Term** | | | | | | | | |
| 06/23/10 | 02/24/09 | SELL | EATON VANCE FLOATING RATE FUND | ECBLX | 1,676.301 | 11,164.16 | 14,500.00 | 3,335.84 |
| 09/24/10 | 02/24/09 | SELL | EATON VANCE FLOATING RATE FUND | ECBLX | 22,347.723 | 148,835.84 | 195,542.58 | 46,706 74 |
| 09/24/10 | 03/31/09 | SELL | EATON VANCE FLOATING RATE FUND | ECBLX | 76.695 | 508.49 | 671.08 | 162.59 |
| 09/24/10 | 04/30/09 | SELL | EATON VANCE FLOATING RATE FUND | ECBLX | 69.997 | 498.38 | 612.47 | 114.09 |
| 09/24/10 | 05/29/09 | SELL | EATON VANCE FLOATING RATE FUND | ECBLX | 72.782 | 545.14 | 636.84 | 91.70 |
| 09/24/10 | 06/30/09 | SELL | EATON VANCE FLOATING RATE FUND | ECBLX | 74.804 | 582.72 | 654.54 | 71.82 |
| 09/24/10 | 07/31/09 | SELL | EATON VANCE FLOATING RATE FUND | ECBLX | 68.923 | 556.21 | 603.08 | 46 87 |
| 09/24/10 | 08/31/09 | SELL | EATON VANCE FLOATING RATE FUND | ECBLX | 67.049 | 550.47 | 586.68 | 36.21 |
| **Total Long Term** | | | | | | $163,241.41 | $213,807.27 | $50,565.86 |
| **Total Short Term and Long Term** | | | | | | $171,750.95 | $222,450.86 | $50,699.91 |

The Schedule of Realized Gains and Losses is not reported to the IRS. This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes; for example, it does not reflect disallowed losses from wash sale events. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. Typically these adjustments are received after year-end and there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports or online displays you may have available to you. Return of capital information has been obtained from sources we believe to be reliable.

When you report your cost basis on your tax return, it should be verified with your own records. In particular, there may be other adjustments which you need to make and you should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

## Transactions by Type of Activity

| Process/ Settlement Date | Activity Type | Description | Quantity | Price | Accrued Interest | Amount | CCY |
|---|---|---|---|---|---|---|---|
| **Dividends and Interest** | | | | | | | |
| 12/31/10 | MONEY MARKET FUND INCOME RECEIVED | FEDERATED PRIME CASH | | | | 0.97 | USD |
| **Total Dividends and Interest** | | | | | $0.00 | $0.97 | |
| **Total Value of all Transactions** | | | | | $0.00 | $0.97 | |

The price and quantity displayed may have been rounded.

## Money Market Fund Detail

| Date | Activity Type | Description | Amount | Balance |
|---|---|---|---|---|
| **Sweep Money Market Fund** | | | | |
| **FEDERATED PRIME CASH SERIES** | | | | |
| Account Number: 0000132143 Current Yield: 0.01% Activity Ending: 12/31/10 | | | | |
| 12/01/10 | Opening Balance | | 111,610.04 | 111,610.04 |

[redacted]

## Summary of Gains and Losses

| | Realized This Period | Realized Year-to-Date | Unrealized |
|---|---|---|---|
| Short-Term Gain/Loss | 0.00 | 0.00 | 10,764.83 |
| Long-Term Gain/Loss | 0 00 | -5,009.38 | 71,358 75 |
| **Net Gain/Loss** | **0.00** | **-5,009.38** | **82,123.58** |

This summary excludes transactions where cost basis information is not available.

## Customer Service Information

| Your Relationship Manager: 01X | Contact Information | Customer Service Information |
|---|---|---|
| YOSHIMURA/WEBSTER<br>PRIVATE BANKING USA<br>2121 AVE OF THE STARS STE 3300<br>LOS ANGELES CA 90067-5060 | **Telephone Number:** (800) 237-5022<br>**Fax Number:** (310) 282-8053 | **Web Site:** www.credit-suisse.com |
| **Portfolio Manager:** PINNACLE ASSOCIATES LTD | | **Portfolio Investment Style:** SMALL TO MID CAPITALIZATION GROWTH |

**As you requested, copies of this statement have been sent to:**
PINNACLE ASSOCIATES LTD.

## Portfolio Holdings

| Quantity | Opening Date | Account Number | Activity Ending | Opening Balance | Closing Balance | Accrued Income | Income This Year | 30-Day Yield | Current Yield |
|---|---|---|---|---|---|---|---|---|---|
| **Cash, Money Funds, and FDIC Deposits 1.00% of Portfolio** | | | | | | | | | |
| **Money Market** | | | | | | | | | |
| **FEDERATED PRIME CASH SERIES** | | | | | | | | | |
| 5,092.630 | 12/01/10 | 0000128188 | 12/31/10 | 6,742.09 | 5,092.63 | 0.00 | 0.82 | 0.01% | 0.01% |
| **Total Money Market** | | | | **$6,742.09** | **$5,092.63** | **$0.00** | **$0.82** | | |
| **Total Cash, Money Funds, and FDIC Deposits** | | | | **$6,742.09** | **$5,092.63** | **$0.00** | **$0.82** | | |

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities 99.00% of Portfolio** | | | | | | | | |
| **Common Stocks** | | | | | | | | |
| **3LAZARD LTD SHS A**<br>ISIN#BMG540501027<br>Dividend Option: Cash | | | *Security Identifier:* LAZ | | | | | |
| 100.000 | 05/13/08 | 35.9780 | 3,597.80 | 39.5600 | 3,956.00 | 358.20 | 50.00 | 1.26% |
| 50.000 | 05/19/08 | 37.1860 | 1,859.30 | 39.5600 | 1,978.00 | 118.70 | 25.00 | 1.26% |
| **150.000** | **Total** | | **$5,457.10** | | **$5,934.00** | **$476.90** | **$75.00** | |

[redacted]

CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC
2121 Avenue of the Stars
Suite 3300
Los Angeles, CA 90067-5014
(800) 237-5022

# Managed Account Statement

Statement Period: 12/01/2010 - 12/31/2010

## Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| **ORIENT EXPRESS HOTELS LTD CL A SHS** | | | *Security Identifier:* OEH | | | | | |
| ISIN#BMG677431071 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 200.000 | 07/29/09 | 8 9990 | 1,799.88 | 12.9900 | 2,598.00 | 798.12 | | |
| 120.000 | 08/13/09 | 10.6860 | 1,282.34 | 12.9900 | 1,558.80 | 276.46 | | |
| 110.000 | 11/17/09 | 8.9700 | 986.72 | 12.9900 | 1,428.90 | 442.18 | | |
| 170 000 | 01/28/10 | 9.8590 | 1,675.95 | 12.9900 | 2,208.30 | 532.35 | | |
| 130.000 | 11/09/10 | 11.2090 | 1,457.14 | 12.9900 | 1,688.70 | 231.56 | | |
| **730.000** | **Total** | | **$7,202.03** | | **$9,482.70** | **$2,280.67** | **$0.00** | |
| **FOSTER WHEELER AG COM** | | | *Security Identifier:* FWLT | | | | | |
| ISIN#CH0018666781 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 80.000 | 06/18/10 | 25.2500 | 2,020.00 | 34.5200 | 2,761.60 | 741.60 | | |
| 100.000 | 07/14/10 | 22.5780 | 2,257.84 | 34.5200 | 3,452.00 | 1,194.16 | | |
| 90.000 | 09/16/10 | 24.1180 | 2,170.64 | 34.5200 | 3,106.80 | 936.16 | | |
| **270.000** | **Total** | | **$6,448.48** | | **$9,320.40** | **$2,871.92** | **$0.00** | |
| **ROYAL CARIBBEAN CRUISES LTD** | | | *Security Identifier:* RCL | | | | | |
| ISIN#LR0008862868 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 210.000 | 01/06/09 | 14.9950 | 3,148.94 | 47.0000 | 9,870.00 | 6,721.06 | | |
| **3AMR CORP DELAWARE COMMON STOCK** | | | *Security Identifier:* AMR | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 450.000 | 04/07/08 | 9.9600 | 4,481.94 | 7.7900 | 3,505.50 | -976.44 | | |
| **3ARRIS GROUP INC COM** | | | *Security Identifier:* ARRS | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 420.000 | 06/08/07 | 8.8490 | 3,716.75 | 11.2200 | 4,712.40 | 995.65 | | |
| **3ATMEL CORP** | | | *Security Identifier:* ATML | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 950.000 | 06/08/07 | 5.5700 | 5,291.50 | 12.3200 | 11,704.00 | 6,412.50 | | |
| 450.000 | 11/23/09 | 4.0100 | 1,804.46 | 12.3200 | 5,544.00 | 3,739.54 | | |
| 260.000 | 01/12/10 | 4.9200 | 1,279.07 | 12.3200 | 3,203.20 | 1,924.13 | | |
| **1,660.000** | **Total** | | **$8,375.03** | | **$20,451.20** | **$12,076.17** | **$0.00** | |



[redacted]
THE LAWRENCE FOUNDATION

## Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| **3AVNET INC** | | | *Security Identifier:* AVT | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 150.000 | 06/08/07 | 42.1600 | 6,324.00 | 33.0300 | 4,954.50 | -1,369.50 | | |
| 150.000 | 03/23/10 | 29.7790 | 4,466.78 | 33.0300 | 4,954.50 | 487.72 | | |
| **300.000** | **Total** | | **$10,790.78** | | **$9,909.00** | **-$881.78** | **$0.00** | |
| **BAKER HUGHES INC COM** | | | *Security Identifier:* BHI | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 19.944 | 06/08/07 | 64.9430 | 1,295.23 | 57.1700 | 1,140.20 | -155.03 | 11.97 | 1.04% |
| 36.032 | 03/19/08 | 55.2110 | 1,989.35 | 57.1700 | 2,059.92 | 70.57 | 21.62 | 1.04% |
| 28.025 | 06/11/08 | 71.9190 | 2,015.50 | 57.1700 | 1,602.16 | -413.34 | 16.81 | 1.04% |
| **84.000** | **Total** | | **$5,300.08** | | **$4,802.28** | **-$497.80** | **$50.40** | |
| **3BARRETT BILL CORP COM** | | | *Security Identifier:* BBG | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 60.000 | 06/25/08 | 57.3360 | 3,440.16 | 41.1300 | 2,467.80 | -972.36 | | |
| 30.000 | 07/15/08 | 49.0950 | 1,472.85 | 41.1300 | 1,233.90 | -238.95 | | |
| **90.000** | **Total** | | **$4,913.01** | | **$3,701.70** | **-$1,211.31** | **$0.00** | |
| **BELO CORP COM SER A** | | | *Security Identifier:* BLC | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 120.000 | 12/10/08 | 1.8290 | 219.50 | 7.0800 | 849.60 | 630.10 | | |
| 340.000 | 04/15/09 | 0.9890 | 336.19 | 7.0800 | 2,407.20 | 2,071.01 | | |
| **460.000** | **Total** | | **$555.69** | | **$3,256.80** | **$2,701.11** | **$0.00** | |
| **BRINKS CO COM** | | | *Security Identifier:* BCO | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 140.000 | 08/24/10 | 19.4100 | 2,717.40 | 26.8800 | 3,763.20 | 1,045.80 | 56.00 | 1.48% |
| **BROOKS AUTOMATION INC NEW COM** | | | *Security Identifier:* BRKS | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 330.000 | 01/22/10 | 9.3970 | 3,101.03 | 9.0700 | 2,993.10 | -107.93 | | |
| **3BUCYRUS INTL INC NEW COM** | | | *Security Identifier:* BUCY | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 70.000 | 06/08/07 | 34.5100 | 2,415.70 | 89.4000 | 6,258.00 | 3,842.30 | 7.00 | 0.11% |
| 60.000 | 08/28/07 | 31.4470 | 1,886.84 | 89.4000 | 5,364.00 | 3,477.16 | 6.00 | 0.11% |
| 60.000 | 04/27/09 | 20.0980 | 1,205.88 | 89.4000 | 5,364.00 | 4,158.12 | 6.00 | 0.11% |
| **190.000** | **Total** | | **$5,508.42** | | **$16,986.00** | **$11,477.58** | **$19.00** | |
| **3CAE INC** | | | *Security Identifier:* CAE | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 650.000 | 06/08/07 | 12.9000 | 8,385.00 | 11.5300 | 7,494.50 | -890.50 | | |

[redacted]

CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC
2121 Avenue of the Stars
Suite 3300
Los Angeles, CA 90067-5014
(800) 237-6022

**Managed Account Statement**

Statement Period: 12/01/2010 - 12/31/2010

## Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| **3CABLEVISION SYS CORP (NEW) NY GROUP CL A COM** | | | *Security Identifier:* CVC | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 350.000 | 06/08/07 | 29.7090 | 10,398.19 | 33.8400 | 11,844.00 | 1,445.81 | 175 00 | 1.47% |
| **3CAMECO CORP COM ISIN#CA13321L1085** | | | *Security Identifier:* CCJ | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 450.000 | 06/08/07 | 51.8600 | 23,337 00 | 40.3800 | 18,171.00 | -5,166.00 | | |
| **3CINCINNATI BELL INC NEW COM** | | | *Security Identifier:* CBB | | | | | |
| Dividend Option. Cash | | | | | | | | |
| 1,350.000 | 06/08/07 | 5.9200 | 7,992.00 | 2.8000 | 3,780.00 | -4,212.00 | | |
| 970.000 | 12/23/10 | 2.3500 | 2,279.50 | 2.8000 | 2,716.00 | 436.50 | | |
| **2,320.000** | **Total** | | **$10,271.50** | | **$6,496.00** | **-$3,775.50** | **$0.00** | |
| **3COGNEX CORP** | | | *Security Identifier:* CGNX | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 50.000 | 06/08/07 | 22.8900 | 1,144.50 | 29.4200 | 1,471.00 | 326.50 | 16.00 | 1.08% |
| 90.000 | 01/02/08 | 19.9650 | 1,796.88 | 29.4200 | 2,647.80 | 850.92 | 28.80 | 1.08% |
| **140.000** | **Total** | | **$2,941.38** | | **$4,118.80** | **$1,177.42** | **$44.80** | |
| **3CROWN MEDIA HLDGS INC CL A** | | | *Security Identifier:* CRWN | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 250.000 | 06/08/07 | 7.2000 | 1,800.00 | 2.6200 | 655.00 | -1,145.00 | | |
| **3CUMMINS ENGINE INC** | | | *Security Identifier:* CMI | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 170.000 | 06/08/07 | 46.8450 | 7,963.65 | 110.0100 | 18,701.70 | 10,738.05 | 178.50 | 0.95% |
| **3DIEBOLD INC** | | | *Security Identifier:* DBD | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 110.000 | 10/13/08 | 26.5250 | 2,917.77 | 32.0500 | 3,525.50 | 607.73 | 118.80 | 3.36% |
| **3DISCOVERY COMMUNICATIONS INC NEW COM SER A** | | | *Security Identifier:* DISCA | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 130.000 | 06/08/07 | 23.4800 | 3,052.40 | 41.7000 | 5,421.00 | 2,368.60 | | |

[redacted]

## Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| **DISCOVERY COMMUNICATIONS INC** *(continued)* | | | | | | | | |
| 40.000 | 07/10/08 | 20.6320 | 825.29 | 41.7000 | 1,668.00 | 842.71 | | |
| **170.000** | **Total** | | **$3,877.69** | | **$7,089.00** | **$3,211.31** | **$0.00** | |
| **3DISCOVERY COMMUNICATIONS INC** | | | *Security Identifier:* DISCK | | | | | |
| COM SER C COM NEW | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 170.000 | 06/08/07 | 23.4800 | 3,991.60 | 36.6900 | 6,237.30 | 2,245.70 | | |
| **3FLUSHING FINL CORP COM** | | | *Security Identifier:* FFIC | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 250.000 | 06/08/07 | 16.4000 | 4,100.00 | 14.0000 | 3,500.00 | -600.00 | 130.00 | 3.71% |
| **GANNETT COMPANY INC** | | | *Security Identifier:* GCI | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 220.000 | 09/02/09 | 8.0100 | 1,762.11 | 15.0900 | 3,319.80 | 1,557.69 | 35 20 | 1.06% |
| 100.000 | 06/14/10 | 16.1190 | 1,611.85 | 15.0900 | 1,509.00 | -102.85 | 16.00 | 1.06% |
| **320.000** | **Total** | | **$3,373.96** | | **$4,828.80** | **$1,454.84** | **$51.20** | |
| **3GILEAD SCIENCES INC** | | | *Security Identifier:* GILD | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 160 000 | 06/08/07 | 39.6550 | 6,344.80 | 36.2400 | 5,798.40 | -546.40 | | |
| **3GOODRICH CORP** | | | *Security Identifier:* GR | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 150.000 | 06/08/07 | 56.5900 | 8,488.50 | 88.0700 | 13,210.50 | 4,722.00 | 174.00 | 1.31% |
| **GOODRICH PETE CORP COM NEW** | | | *Security Identifier:* GDP | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 70.000 | 05/05/09 | 24.9940 | 1,749.58 | 17.6400 | 1,234.80 | -514.78 | | |
| 50.000 | 05/13/09 | 25.5820 | 1,279.09 | 17.6400 | 882.00 | -397.09 | | |
| 90.000 | 11/18/09 | 22.8590 | 2,057.31 | 17.6400 | 1,587.60 | -469.71 | | |
| **210.000** | **Total** | | **$5,085.98** | | **$3,704.40** | **-$1,381.58** | **$0.00** | |
| **3HARMONIC INC COM** | | | *Security Identifier:* HLIT | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 700.000 | 06/08/07 | 8.7300 | 6,111.00 | 8.5700 | 5,999.00 | -112.00 | | |
| 110.000 | 11/01/07 | 11.7740 | 1,295.15 | 8.5700 | 942.70 | -352.45 | | |
| 110.000 | 12/11/07 | 10.5710 | 1,162.86 | 8.5700 | 942.70 | -220.16 | | |
| **920.000** | **Total** | | **$8,569.01** | | **$7,884.40** | **-$684.61** | **$0.00** | |
| **3HEIDRICK & STRUGGLES INTL INC COM** | | | *Security Identifier:* HSII | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 100.000 | 06/08/07 | 47.0200 | 4,702.00 | 28.6500 | 2,865.00 | -1,837.00 | 52.00 | 1.81% |
| **3HELMERICH & PAYNE INC COM** | | | *Security Identifier:* HP | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 210 000 | 06/08/07 | 32.8600 | 6,900.60 | 48.4800 | 10,180.80 | 3,280.20 | 50.40 | 0.49% |

## Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| **3HEXCEL CORP NEW COM** | | | *Security Identifier:* HXL | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 200 000 | 06/08/07 | 22.0600 | 4,412.00 | 18.0900 | 3,618.00 | -794.00 | | |
| 70.000 | 09/07/07 | 20.9850 | 1,468.93 | 18.0900 | 1,266.30 | -202.63 | | |
| 60.000 | 12/07/07 | 26.9860 | 1,619.14 | 18.0900 | 1,085.40 | -533.74 | | |
| **330.000** | **Total** | | **$7,500.07** | | **$5,969.70** | **-$1,530.37** | **$0.00** | |
| **IAC INTERACTIVECORP COM PAR** | | | *Security Identifier:* IACI | | | | | |
| Dividend Option. Cash | | | | | | | | |
| 50.000 | 04/28/09 | 16.0700 | 803.49 | 28.7000 | 1,435.00 | 631.51 | | |
| 70.000 | 06/18/09 | 16.2280 | 1,135.96 | 28.7000 | 2,009.00 | 873.04 | | |
| **120.000** | **Total** | | **$1,939.45** | | **$3,444.00** | **$1,504.55** | **$0.00** | |
| **IMMUNOGEN INC** | | | *Security Identifier:* IMGN | | | | | |
| Dividend Option. Cash | | | | | | | | |
| 240.000 | 05/25/10 | 8.3400 | 2,001.50 | 9.2600 | 2,222.40 | 220.90 | | |
| **3INTERMEC INC** | | | *Security Identifier:* IN | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 100.000 | 06/08/07 | 23.2900 | 2,329.00 | 12.6600 | 1,266.00 | -1,063.00 | | |
| 110.000 | 10/15/07 | 24.8680 | 2,735.43 | 12.6600 | 1,392.60 | -1,342.83 | | |
| 80.000 | 08/07/08 | 18.9670 | 1,517.34 | 12.6600 | 1,012.80 | -504.54 | | |
| **290.000** | **Total** | | **$6,581.77** | | **$3,671.40** | **-$2,910.37** | **$0.00** | |
| **3ION GEOPHYSICAL CORP COM** | | | *Security Identifier:* IO | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 250.000 | 06/08/07 | 15.8500 | 3,962.50 | 8.4800 | 2,120.00 | -1,842.50 | | |
| 90.000 | 06/20/07 | 16.7660 | 1,508.94 | 8.4800 | 763.20 | -745.74 | | |
| 150.000 | 08/16/07 | 12.4290 | 1,864.35 | 8.4800 | 1,272.00 | -592.35 | | |
| **490.000** | **Total** | | **$7,335.79** | | **$4,155.20** | **-$3,180.59** | **$0.00** | |
| **3ISIS PHARMACEUTICALS CALIF COM** | | | *Security Identifier:* ISIS | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 300.000 | 07/10/07 | 11.8850 | 3,565.53 | 10.1200 | 3,036.00 | -529.53 | | |
| 60.000 | 10/03/07 | 15.7640 | 945.85 | 10.1200 | 607.20 | -338.65 | | |

[redacted]

# Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| **ISIS PHARMACEUTICALS CALIF COM** *(continued)* | | | | | | | | |
| 100.000 | 04/09/10 | 10.8880 | 1,088.80 | 10.1200 | 1,012.00 | -76.80 | | |
| **460.000** | **Total** | | **$5,600.18** | | **$4,655.20** | **-$944.98** | **$0.00** | |
| **KANSAS CITY SOUTHN COM NEW** | | | *Security Identifier:* KSU | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 70.000 | 01/20/09 | 15.9770 | 1,118.37 | 47.8600 | 3,350.20 | 2,231.83 | | |
| 80.000 | 03/06/09 | 13.2600 | 1,060.77 | 47.8600 | 3,828.80 | 2,768.03 | | |
| **150.000** | **Total** | | **$2,179.14** | | **$7,179.00** | **$4,999.86** | **$0.00** | |
| **LSI CORP COM** | | | *Security Identifier:* LSI | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 400.000 | 05/08/09 | 4.1490 | 1,659.60 | 5.9900 | 2,396.00 | 736.40 | | |
| 300.000 | 05/15/09 | 3.8600 | 1,157.88 | 5.9900 | 1,797.00 | 639.12 | | |
| 160 000 | 10/14/10 | 4.6490 | 743.81 | 5.9900 | 958.40 | 214.59 | | |
| 110.000 | 11/05/10 | 5.6280 | 619.08 | 5.9900 | 658.90 | 39.82 | | |
| **970.000** | **Total** | | **$4,180.37** | | **$5,810.30** | **$1,629.93** | **$0.00** | |
| **3LAM RESEARCH CORP** | | | *Security Identifier:* LRCX | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 200.000 | 06/08/07 | 51.8900 | 10,378.00 | 51.7800 | 10,356.00 | -22.00 | | |
| **LAS VEGAS SANDS CORP COM** | | | *Security Identifier:* LVS | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 310.000 | 04/08/09 | 3.9200 | 1,215.17 | 45.9500 | 14,244.50 | 13,029.33 | | |
| 120.000 | 04/20/09 | 4.7100 | 565.20 | 45.9500 | 5,514.00 | 4,948.80 | | |
| **430.000** | **Total** | | **$1,780.37** | | **$19,758.50** | **$17,978.13** | **$0.00** | |
| **LIBERTY MEDIA HLDG CORP CAP COM SER A** | | | *Security Identifier:* LCAPA | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 80.000 | 04/14/09 | 10.0280 | 802.21 | 62.5600 | 5,004.80 | 4,202.59 | | |
| **3LIN TV CORP CL A** | | | *Security Identifier:* TVL | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 420.000 | 06/08/07 | 19.1860 | 8,058.31 | 5.3000 | 2,226.00 | -5,832.31 | | |
| 90.000 | 04/11/08 | 9.7890 | 880.98 | 5.3000 | 477.00 | -403.98 | | |
| **510.000** | **Total** | | **$8,939.29** | | **$2,703.00** | **-$6,236.29** | **$0.00** | |
| **3LODGENET INTERACTIVE CORP COM** | | | *Security Identifier:* LNET | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 50.000 | 06/08/07 | 32.4760 | 1,623.81 | 4.2500 | 212.50 | -1,411.31 | | |
| 60.000 | 10/12/07 | 27.9560 | 1,677.38 | 4.2500 | 255.00 | -1,422.38 | | |
| 150.000 | 05/02/08 | 6.4960 | 974.45 | 4.2500 | 637.50 | -336.95 | | |
| **260.000** | **Total** | | **$4,275.64** | | **$1,105.00** | **-$3,170.64** | **$0.00** | |

## Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| **MADISON SQUARE GARDEN INC CL A** | | | *Security Identifier:* MSG | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 87.000 | 06/08/07 | 24.4440 | 2,126.59 | 25.7800 | 2,242.86 | 116.27 | | |
| **MARSHALL & ILSLEY CORP NEW COM** | | | *Security Identifier:* MI | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 270.000 | 09/17/09 | 8.3800 | 2,262.55 | 6.9200 | 1,868 40 | -394.15 | 10 80 | 0.57% |
| 150 000 | 03/30/10 | 7.9800 | 1,196.99 | 6.9200 | 1,038.00 | -158.99 | 6.00 | 0.57% |
| **420.000** | **Total** | | **$3,459.54** | | **$2,906.40** | **-$553.14** | **$16.80** | |
| **3MEADWESTVACO CORP COM** | | | *Security Identifier:* MWV | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 150.000 | 06/08/07 | 34.7800 | 5,217.00 | 26.1600 | 3,924.00 | -1,293.00 | 150.00 | 3.82% |
| 40.000 | 01/14/08 | 28.3350 | 1,133.40 | 26.1600 | 1,046.40 | -87.00 | 40.00 | 3.82% |
| **190.000** | **Total** | | **$6,350.40** | | **$4,970.40** | **-$1,380.00** | **$190.00** | |
| **MICROMET INC COM** | | | *Security Identifier:* MITI | | | | | |
| Dividend Option· Cash | | | | | | | | |
| 310.000 | 06/17/10 | 7.0900 | 2,197.76 | 8.1200 | 2,517.20 | 319.44 | | |
| **3MOSAIC CO COM** | | | *Security Identifier:* MOS | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 130.000 | 06/08/07 | 35.5600 | 4,622.80 | 76.3600 | 9,926.80 | 5,304.00 | 26.00 | 0.26% |
| **3MYRIAD GENETICS INC** | | | *Security Identifier:* MYGN | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 280.000 | 06/08/07 | 17.8400 | 4,995.17 | 22.8400 | 6,395.20 | 1,400.03 | | |
| 30.000 | 07/29/09 | 27.9000 | 836.99 | 22.8400 | 685.20 | -151.79 | | |
| **310.000** | **Total** | | **$5,832.16** | | **$7,080.40** | **$1,248.24** | **$0.00** | |
| **3NOVELLUS SYSTEM INC** | | | *Security Identifier:* NVLS | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 250.000 | 06/08/07 | 29.1500 | 7,287.50 | 32.3200 | 8,080.00 | 792.50 | | |
| **ONYX PHARMACEUTICALS INC COM** | | | *Security Identifier:* ONXX | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 70.000 | 06/01/09 | 23.9990 | 1,679.91 | 36.8700 | 2,580.90 | 900.99 | | |
| 60.000 | 06/05/09 | 25.3490 | 1,520.96 | 36.8700 | 2,212.20 | 691.24 | | |

# Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| **ONYX PHARMACEUTICALS INC COM** *(continued)* | | | | | | | | |
| 70.000 | 05/06/10 | 26.0100 | 1,820.70 | 36.8700 | 2,580.90 | 760.20 | | |
| **200.000** | **Total** | | **$5,021.57** | | **$7,374.00** | **$2,352.43** | **$0.00** | |
| **3PDL BIOPHARMA INC COM** | | | *Security Identifier:* PDLI | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 400.000 | 06/08/07 | 24.7700 | 9,908.00 | 6.2300 | 2,492.00 | -7,416.00 | | |
| **3PALL CORP** | | | *Security Identifier:* PLL | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 250.000 | 06/08/07 | 45.5200 | 11,380.00 | 49.5800 | 12,395.00 | 1,015.00 | 160.00 | 1.29% |
| **3PRECISION CASTPARTS CORP** | | | *Security Identifier:* PCP | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 110.000 | 06/08/07 | 115.3100 | 12,684.10 | 139.2100 | 15,313.10 | 2,629.00 | 13.20 | 0.08% |
| **3RTI INTL METALS INC COM** | | | *Security Identifier:* RTI | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 100.000 | 06/08/07 | 85.2900 | 8,529.00 | 26.9800 | 2,698.00 | -5,831.00 | | |
| 70.000 | 05/02/08 | 43.2700 | 3,028.87 | 26.9800 | 1,888.60 | -1,140.27 | | |
| 60.000 | 09/11/09 | 21.3480 | 1,280.90 | 26.9800 | 1,618.80 | 337.90 | | |
| **230.000** | **Total** | | **$12,838.77** | | **$6,205.40** | **-$6,633.37** | **$0.00** | |
| **3RF MICRO DEVICES INC COM** | | | *Security Identifier:* RFMD | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 650.000 | 06/08/07 | 6 3000 | 4,095.00 | 7.3500 | 4,777.50 | 682.50 | | |
| 150.000 | 09/21/07 | 6.2500 | 937.50 | 7.3500 | 1,102.50 | 165.00 | | |
| **800.000** | **Total** | | **$5,032.50** | | **$5,880.00** | **$847.50** | **$0.00** | |
| **RAYMOND JAMES FINL INC COM** | | | *Security Identifier:* RJF | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 70.000 | 11/11/08 | 17.2060 | 1,204 45 | 32.7000 | 2,289.00 | 1,084.55 | 30.80 | 1.34% |
| 70 000 | 01/12/09 | 16.9700 | 1,187.93 | 32.7000 | 2,289.00 | 1,101.07 | 30.80 | 1.34% |
| 40.000 | 06/15/09 | 17.4680 | 698.72 | 32.7000 | 1,308.00 | 609.28 | 17.60 | 1.34% |
| **180.000** | **Total** | | **$3,091.10** | | **$5,886.00** | **$2,794.90** | **$79.20** | |
| **3REGENERON PHARMACEUTICALS INC** | | | *Security Identifier:* REGN | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 250.000 | 06/08/07 | 18.8600 | 4,715.00 | 32.8300 | 8,207.50 | 3,492.50 | | |
| 40.000 | 08/29/07 | 18.2690 | 730.74 | 32.8300 | 1,313.20 | 582.46 | | |
| **290.000** | **Total** | | **$5,445.74** | | **$9,520.70** | **$4,074.96** | **$0.00** | |
| **3ROBERT HALF INTL INC** | | | *Security Identifier:* RHI | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 200.000 | 06/08/07 | 35.5200 | 7,104.00 | 30.6000 | 6,120.00 | -984.00 | 104.00 | 1.69% |

[redacted]



## Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| 3ROWAN COS INC | | | *Security Identifier:* RDC | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 100 000 | 06/08/07 | 38.1100 | 3,811.00 | 34.9100 | 3,491.00 | -320.00 | | |
| 40.000 | 10/02/07 | 37.0900 | 1,483.58 | 34.9100 | 1,396.40 | -87.18 | | |
| 80 000 | 06/14/10 | 23.7000 | 1,896.00 | 34.9100 | 2,792.80 | 896.80 | | |
| **220.000** | **Total** | | **$7,190.58** | | **$7,680.20** | **$489.62** | $0.00 | |
| 3SAKS INC COM NEW | | | *Security Identifier:* SKS | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 170.000 | 04/10/08 | 12.3870 | 2,105.72 | 10.7000 | 1,819.00 | -286.72 | | |
| 170.000 | 05/19/08 | 14.0540 | 2,389.20 | 10.7000 | 1,819.00 | -570.20 | | |
| 120.000 | 06/17/08 | 12.5000 | 1,500.00 | 10.7000 | 1,284.00 | -216.00 | | |
| **460.000** | **Total** | | **$5,994.92** | | **$4,922.00** | **-$1,072.92** | $0.00 | |
| 3SEACHANGE INTL INC COM | | | *Security Identifier:* SEAC | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 330.000 | 01/03/08 | 7.5840 | 2,502.66 | 8.5500 | 2,821.50 | 318.84 | | |
| 150.000 | 09/15/08 | 7.9790 | 1,196.88 | 8.5500 | 1,282.50 | 85.62 | | |
| 180.000 | 12/10/09 | 5.7860 | 1,041.43 | 8.5500 | 1,539.00 | 497.57 | | |
| **660.000** | **Total** | | **$4,740.97** | | **$5,643.00** | **$902.03** | $0.00 | |
| SEATTLE GENETICS INC COM | | | *Security Identifier:* SGEN | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 350.000 | 03/09/09 | 7.2780 | 2,547.44 | 14.9500 | 5,232.50 | 2,685.06 | | |
| 3SINCLAIR BROADCAST GROUP INC CL A | | | *Security Identifier:* SBGI | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 250.000 | 06/08/07 | 14.9000 | 3,725.00 | 8.1800 | 2,045.00 | -1,680.00 | | |
| 100.000 | 03/28/08 | 9.1990 | 919.85 | 8.1800 | 818.00 | -101.85 | | |
| 280.000 | 03/30/10 | 4.9800 | 1,394.37 | 8.1800 | 2,290.40 | 896.03 | | |
| **630.000** | **Total** | | **$6,039.22** | | **$5,153.40** | **-$885.82** | $0.00 | |
| 3STILLWATER MINING CO COMMON STOCK | | | *Security Identifier:* SWC | | | | | |
| Dividend Option Cash | | | | | | | | |
| 400.000 | 06/08/07 | 11.8860 | 4,754.35 | 21.3500 | 8,540.00 | 3,785.65 | | |

[redacted]

# Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| STILLWATER MINING CO COMMON STOCK *(continued)* | | | | | | | | |
| 210.000 | 11/12/07 | 10.0050 | 2,101.06 | 21.3500 | 4,483.50 | 2,382.44 | | |
| **610.000** | **Total** | | **$6,855.41** | | **$13,023.50** | **$6,168.09** | **$0.00** | |
| 3SUNOCO INC COM | | | *Security Identifier:* SUN | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 100.000 | 06/08/07 | 79.0900 | 7,909.00 | 40.3100 | 4,031.00 | -3,878.00 | 60.00 | 1.48% |
| 70.000 | 05/02/08 | 48.3190 | 3,382.33 | 40.3100 | 2,821.70 | -560.63 | 42.00 | 1.48% |
| **170.000** | **Total** | | **$11,291.33** | | **$6,852.70** | **-$4,438.63** | **$102.00** | |
| 3TW TELECOM INC COM | | | *Security Identifier:* TWTC | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 250.000 | 06/08/07 | 19.4100 | 4,852.50 | 17.0500 | 4,262.50 | -590.00 | | |
| 180.000 | 08/13/08 | 15.2790 | 2,750.15 | 17.0500 | 3,069.00 | 318.85 | | |
| **430.000** | **Total** | | **$7,602.65** | | **$7,331.50** | **-$271.15** | **$0.00** | |
| TRIMBLE NAV LTD | | | *Security Identifier:* TRMB | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 130.000 | 11/11/08 | 20.1980 | 2,625.74 | 39.9300 | 5,190.90 | 2,565.16 | | |
| 50.000 | 06/18/09 | 20.1300 | 1,006.48 | 39.9300 | 1,996.50 | 990.02 | | |
| 60.000 | 11/25/09 | 23.4500 | 1,406.98 | 39.9300 | 2,395.80 | 988.82 | | |
| **240.000** | **Total** | | **$5,039.20** | | **$9,583.20** | **$4,544.00** | **$0.00** | |
| 3TRIQUINT SEMICONDUCTOR INC | | | *Security Identifier:* TQNT | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 900.000 | 01/08/07 | 5.0960 | 4,586.60 | 11.6900 | 10,521.00 | 5,934.40 | | |
| UNIFI INC COM NEW | | | *Security Identifier:* UFI | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 183.000 | 06/08/07 | 8.5800 | 1,570.14 | 16.9300 | 3,098.19 | 1,528.05 | | |
| 3UNITED STATES CELLULAR CORP COM | | | *Security Identifier:* USM | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 120.000 | 06/08/07 | 85.5700 | 10,268.40 | 49.9400 | 5,992.80 | -4,275.60 | | |
| 3UNITED STS STL CORP NEW COM | | | *Security Identifier:* X | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 20 000 | 06/08/07 | 125.0900 | 2,501.80 | 58.4200 | 1,168.40 | -1,333.40 | 4.00 | 0 34% |
| 30.000 | 09/14/10 | 47.2990 | 1,418.96 | 58.4200 | 1,752.60 | 333.64 | 6.00 | 0 34% |
| **50.000** | **Total** | | **$3,920.76** | | **$2,921.00** | **-$999.76** | **$10.00** | |
| 3VALSPAR CORP | | | *Security Identifier:* VAL | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 250.000 | 06/08/07 | 27.8700 | 6,967.50 | 34.4800 | 8,620.00 | 1,652.50 | 180.00 | 2.08% |

[redacted]

CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC
2121 Avenue of the Stars
Suite 3300
Los Angeles, CA 90067 5014
(800) 237 5022

# Managed Account Statement

Statement Period: 12/01/2010 - 12/31/2010

## Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| 3VISHAY INTERTECHNOLOGY INC | | | *Security Identifier:* VSH | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 500 000 | 06/08/07 | 15.7170 | 7,858 26 | 14.6800 | 7,340.00 | -518.26 | | |
| VISHAY PRECISION GROUP INC COM | | | *Security Identifier:* VPG | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 35 000 | 06/08/07 | 26.0890 | 913.10 | 18.8400 | 659.40 | -253.70 | | |
| WADDELL & REED FINL INC CL A | | | *Security Identifier:* WDR | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 210.000 | 11/11/08 | 11.0010 | 2,310.25 | 35.2900 | 7,410.90 | 5,100.65 | 168.00 | 2.26% |
| 3WHIRLPOOL CORP | | | *Security Identifier:* WHR | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 50 000 | 06/08/07 | 110.0600 | 5,503.00 | 88.8300 | 4,441.50 | -1,061 50 | 86 00 | 1 93% |
| **Total Common Stocks** | | | **$464,241.35** | | **$546,364.93** | **$82,123.58** | **$2,310.30** | |
| **Total Equities** | | | **$464,241.35** | | **$546,364.93** | **$82,123.58** | **$2,310.30** | |

| | Cost Basis | Market Value | Unrealized Gain/Loss | Accrued Interest | Estimated Annual Income |
|---|---|---|---|---|---|
| **Total Portfolio Holdings** | **$469,333.98** | **$551,457.56** | **$82,123.58** | **$0.00** | **$2,311.12** |

3 The cost basis of securities positions acquired prior to the availability of the PORTFOLIO EVALUATION SERVICE for this account, or delivered into this account, has been provided to PERSHING by your financial institution, and we make no representation as to the accuracy of such cost basis.

**Disclosures and Other Information**

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

The Estimated Price as of Date only appears when the price date does not equal the statement date.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been

[redacted]

## Summary of Gains and Losses

| | Realized This Period | Realized Year-to-Date | Unrealized |
|---|---|---|---|
| Short-Term Gain/Loss | 163.43 | 1,920.46 | 5,465.13 |
| Long-Term Gain/Loss | 2,480.61 | 18,070 77 | 12,659.36 |
| **Net Gain/Loss** | **2,644.04** | **19,991.23** | **18,124.49** |

This summary excludes transactions where cost basis information is not available.

## Customer Service Information

| Your Relationship Manager: 01X | Contact Information | Customer Service Information |
|---|---|---|
| YOSHIMURA/WEBSTER<br>PRIVATE BANKING USA<br>2121 AVE OF THE STARS STE 3300<br>LOS ANGELES CA 90067-5060 | **Telephone Number:** (800) 237-5022<br>**Fax Number:** (310) 282-8053 | **Web Site:** www.credit-suisse.com |
| **Portfolio Manager:** NEWGATE CAPITAL MANAGEMENT LLC | | **Portfolio Investment Style:** INTERNATIONAL EQUITY EMERGING MARKETS |

## Portfolio Holdings

| Quantity | Opening Date | Account Number | Activity Ending | Opening Balance | Closing Balance | Accrued Income | Income This Year[1] | 30-Day Yield | Current Yield |
|---|---|---|---|---|---|---|---|---|---|
| **Cash, Money Funds, and FDIC Deposits** 1.00% of Portfolio | | | | | | | | | |
| **Cash Balance** | | | | 526.20 | 14.01 | | | | |
| **Money Market** | | | | | | | | | |
| **FEDERATED PRIME CASH SERIES** | | | | | | | | | |
| 1,883.900 | 12/01/10 | 0000128249 | 12/31/10 | 965.42 | 1,883.90 | 0.00 | 0.21 | 0.01% | 0.01% |
| **Total Money Market** | | | | **$965.42** | **$1,883.90** | **$0.00** | **$0.21** | | |
| **Total Cash, Money Funds, and FDIC Deposits** | | | | **$1,491.62** | **$1,897.91** | **$0.00** | **$0.21** | | |

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** 93.00% of Portfolio | | | | | | | | |
| **Common Stocks** | | | | | | | | |
| **COSAN LTD SHS A**<br>ISIN#BMG253431073<br>Dividend Option: Cash | | | *Security Identifier:* CZZ | | | | | |
| 24.000 | 10/25/10 | 12.5890 | 302.13 | 13.6200 | 326.88 | 24.75 | 6.24 | 1.90% |
| 74.000 | 10/27/10 | 12.5940 | 931.98 | 13.6200 | 1,007.88 | 75.90 | 19.25 | 1.90% |
| 20.000 | 11/11/10 | 13.6000 | 272.00 | 13.6200 | 272.40 | 0.40 | 5.20 | 1.90% |

## Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| **COSAN LTD SHS A** *(continued)* | | | | | | | | |
| 12.000 | 12/13/10 | 12.9800 | 155.76 | 13.6200 | 163.44 | 7.68 | 3.12 | 1.90% |
| **130.000** | **Total** | | **$1,661.87** | | **$1,770.60** | **$108.73** | **$33.81** | |
| **3AMERICA MOVIL SAB DE C V SPONSORED ADR** | | | *Security Identifier:* AMX | | | | | |
| REPSTG SER L SHS ISIN#US02364W1053 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 7.000 | 03/30/07 | 47.7700 | 334.39 | 57.3400 | 401.38 | 66.99 | 3.55 | 0.88% |
| 9.000 | 07/25/07 | 61.7620 | 555 86 | 57.3400 | 516.06 | -39.80 | 4.59 | 0.88% |
| 3.000 | 08/23/07 | 58.7230 | 176.17 | 57 3400 | 172.02 | -4.15 | 1.53 | 0.88% |
| 6.000 | 10/17/07 | 67 2600 | 403.56 | 57.3400 | 344.04 | -59.52 | 3 06 | 0 88% |
| 7.000 | 10/25/07 | 63.8940 | 447.26 | 57.3400 | 401 38 | -45.88 | 3.57 | 0.88% |
| 9.000 | 11/12/07 | 55.9670 | 503.70 | 57.3400 | 516.06 | 12.36 | 4.59 | 0.88% |
| 2 000 | 02/20/08 | 60.5500 | 121.10 | 57.3400 | 114.68 | -6.42 | 1.02 | 0.88% |
| 1.000 | 04/08/08 | 64.4100 | 64.41 | 57.3400 | 57.34 | -7.07 | 0.51 | 0.88% |
| 6.000 | 06/20/08 | 53.3450 | 320.07 | 57.3400 | 344.04 | 23.97 | 3.06 | 0.88% |
| 7.000 | 01/27/10 | 43.5500 | 304.85 | 57.3400 | 401.38 | 96.53 | 3.57 | 0.88% |
| 4.000 | 06/21/10 | 51.3600 | 205.44 | 57.3400 | 229.36 | 23.92 | 2.04 | 0.88% |
| 5.000 | 10/05/10 | 54.7240 | 273.62 | 57.3400 | 286.70 | 13.08 | 2 55 | 0.88% |
| 12.000 | 10/12/10 | 55.2100 | 662.52 | 57.3400 | 688 08 | 25.56 | 6 12 | 0.88% |
| **78.000** | **Total** | | **$4,372.95** | | **$4,472.52** | **$99.57** | **$39.76** | |
| **ANGLO AMERICAN PLAT UNSPON ADR** | | | *Security Identifier:* AGPPY | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 144.000 | 10/13/10 | 17.4340 | 2,510.56 | 17.5220 | 2,523.16 | 12.60 | | |
| 18.000 | 10/20/10 | 16.6160 | 299.09 | 17.5220 | 315.40 | 16.31 | | |
| **162.000** | **Total** | | **$2,809.65** | | **$2,838.56** | **$28.91** | **$0.00** | |
| **CNOOC LTD SPONSORED ADR** | | | *Security Identifier:* CEO | | | | | |
| ISIN#US1261321095 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 11.000 | 12/30/08 | 93.5500 | 1,029.05 | 238.3700 | 2,622.07 | 1,593.02 | 52.18 | 1.99% |
| 1.000 | 03/05/09 | 79.5900 | 79.59 | 238.3700 | 238.37 | 158.78 | 4 74 | 1 99% |
| 2.000 | 07/26/10 | 169.2300 | 338.46 | 238.3700 | 476.74 | 138.28 | 9.49 | 1 99% |
| 2.000 | 10/01/10 | 196.6300 | 393.26 | 238.3700 | 476.74 | 83.48 | 9 49 | 1.99% |

[redacted]

# Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| **CNOOC LTD SPONSORED ADR** *(continued)* | | | | | | | | |
| 1.000 | 10/08/10 | 209 6500 | 209.65 | 238.3700 | 238 37 | 28.72 | 4.74 | 1.99% |
| **17.000** | **Total** | | **$2,050.01** | | **$4,052.29** | **$2,002.28** | **$80.64** | |
| **5CHINA CONSTR BK CORP ADR** | | | *Security Identifier:* CICHY | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 70.000 | N/A | N/A | N/A | 17.9320 | 1,255.24 | N/A | 36.82 | 2.93% |
| **3CHINA LIFE INS CO LTD SPONS ADR REPSTG** | | | *Security Identifier:* LFC | | | | | |
| H SHS ISIN#US16939P1066 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 11.000 | 07/14/08 | 53.7280 | 591.01 | 61.1700 | 672.87 | 81.86 | 15.03 | 2.23% |
| 10.000 | 07/24/08 | 57.7060 | 577.06 | 61.1700 | 611.70 | 34.64 | 13.66 | 2.23% |
| 2.000 | 09/10/08 | 54.7350 | 109.47 | 61.1700 | 122.34 | 12.87 | 2.73 | 2.23% |
| 8 000 | 09/18/08 | 50.6390 | 405.11 | 61.1700 | 489 36 | 84.25 | 10 93 | 2.23% |
| 2.000 | 10/08/08 | 50.2400 | 100.48 | 61.1700 | 122.34 | 21.86 | 2.73 | 2.23% |
| 14.000 | 01/14/09 | 41.5870 | 582.22 | 61.1700 | 856.38 | 274.16 | 19.12 | 2.23% |
| 1.000 | 03/06/09 | 40.9000 | 40.90 | 61.1700 | 61.17 | 20.27 | 1 37 | 2.23% |
| **48.000** | **Total** | | **$2,406.25** | | **$2,936.16** | **$529.91** | **$65.57** | |
| **CHINA PETE & CHEM CORP SPONSORED ADR** | | | *Security Identifier:* SNP | | | | | |
| REPSTG H SHS | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 6.000 | 03/25/09 | 60.6570 | 363.94 | 95.6900 | 574.14 | 210.20 | 14.83 | 2.58% |
| 5.000 | 07/07/09 | 75.8840 | 379.42 | 95.6900 | 478.45 | 99.03 | 12.36 | 2.58% |
| 5.000 | 08/25/09 | 91.7400 | 458.70 | 95.6900 | 478.45 | 19.75 | 12.36 | 2.58% |
| 12.000 | 10/11/10 | 89.2900 | 1,071.48 | 95.6900 | 1,148.28 | 76.80 | 29.66 | 2.58% |
| 6.000 | 11/03/10 | 99.8530 | 599.12 | 95.6900 | 574.14 | -24.98 | 14.83 | 2.58% |
| 4.000 | 11/30/10 | 93.0580 | 372.23 | 95.6900 | 382.76 | 10.53 | 9.87 | 2.58% |
| **38.000** | **Total** | | **$3,244.89** | | **$3,636.22** | **$391.33** | **$93.91** | |
| **CTRIP COM INTL LTD AMERICAN DEP SHS** | | | *Security Identifier:* CTRP | | | | | |
| ISIN#US22943F1003 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 11.000 | 07/06/10 | 32.3890 | 356.28 | 40.4500 | 444.95 | 88.67 | | |
| 15.000 | 07/07/10 | 33.5860 | 503.79 | 40.4500 | 606.75 | 102.96 | | |
| 14.000 | 07/12/10 | 35.6180 | 498.65 | 40.4500 | 566.30 | 67.65 | | |
| 5.000 | 10/27/10 | 49.9900 | 249.95 | 40.4500 | 202.25 | -47.70 | | |
| 5.000 | 11/03/10 | 49.1260 | 245.63 | 40.4500 | 202.25 | -43.38 | | |
| 6.000 | 11/18/10 | 46.5980 | 279.59 | 40.4500 | 242.70 | -36.89 | | |
| 12.000 | 11/30/10 | 44.0400 | 528.48 | 40.4500 | 485.40 | -43.08 | | |
| 7.000 | 12/02/10 | 43.6330 | 305.43 | 40.4500 | 283.15 | -22.28 | | |
| 12.000 | 12/08/10 | 43.5790 | 522.95 | 40.4500 | 485.40 | -37.55 | | |
| 4.000 | 12/14/10 | 41.3780 | 165.51 | 40.4500 | 161.80 | -3.71 | | |

## Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| **CTRIP COM INTL LTD AMERICAN DEP SHS** *(continued)* | | | | | | | | |
| 1.000 | 12/16/10 | 40.5000 | 40.50 | 40.4500 | 40.45 | -0.05 | | |
| **92.000** | **Total** | | **$3,696.76** | | **$3,721.40** | **$24.64** | **$0.00** | |
| **3FOMENTO ECONOMICO MEX S A B DE C V NEW** | | *Security Identifier:* FMX | | | | | | |
| ISIN#US3444191064 SPON ADR REP UNIT1 | | | | | | | | |
| SER B SH & 2 SER D B SHS & 2 SER D L SHS | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 1.000 | 02/25/08 | 43.0000 | 43.00 | 55.9200 | 55.92 | 12.92 | 0.62 | 1.11% |
| 3 000 | 06/16/08 | 44.0300 | 132.09 | 55.9200 | 167.76 | 35.67 | 1.87 | 1.11% |
| 11.000 | 09/24/08 | 39.7460 | 437.21 | 55.9200 | 615.12 | 177.91 | 6.84 | 1.11% |
| 2.000 | 11/07/08 | 27.8500 | 55.70 | 55.9200 | 111 84 | 56 14 | 1.24 | 1.11% |
| 7.000 | 01/12/10 | 44.1700 | 309.19 | 55.9200 | 391.44 | 82.25 | 4.35 | 1.11% |
| 5.000 | 10/22/10 | 53.5500 | 267.75 | 55.9200 | 279.60 | 11.85 | 3.11 | 1.11% |
| 5.000 | 12/22/10 | 55.7340 | 278.67 | 55.9200 | 279.60 | 0.93 | 3.11 | 1.11% |
| **34.000** | **Total** | | **$1,523.61** | | **$1,901.28** | **$377.67** | **$21.14** | |
| **GAFISA S A SPONS ADR REPSTG 2 COM SHS** | | *Security Identifier:* GFA | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 70 000 | 10/18/10 | 17.6700 | 1,236.89 | 14.5300 | 1,017.10 | -219.79 | 8.91 | 0 87% |
| 21.000 | 10/21/10 | 16.8590 | 354.03 | 14.5300 | 305.13 | -48.90 | 2.67 | 0.87% |
| 20.000 | 11/03/10 | 17.0390 | 340.78 | 14.5300 | 290.60 | -50.18 | 2.54 | 0.87% |
| 30.000 | 11/10/10 | 15.9900 | 479.70 | 14.5300 | 435.90 | -43.80 | 3.82 | 0.87% |
| **141.000** | **Total** | | **$2,411.40** | | **$2,048.73** | **-$362.67** | **$17.94** | |
| **3GAZPROM O A O SPON ADR REG S** | | *Security Identifier:* OGZPY | | | | | | |
| RESTRICTION LIFTED ISIN#US3682872078 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 3.000 | 12/03/07 | 55.2170 | 165.65 | 25.0000 | 75.00 | -90.65 | 0.73 | 0.98% |
| 6.000 | 12/05/07 | 56.6000 | 339.60 | 25.0000 | 150.00 | -189.60 | 1.47 | 0 98% |
| 4.000 | 12/10/07 | 58.9000 | 235.60 | 25.0000 | 100.00 | -135.60 | 0.98 | 0.98% |
| 2.000 | 12/18/07 | 56.8000 | 113.60 | 25.0000 | 50.00 | -63.60 | 0.49 | 0.98% |
| 17.000 | 01/31/08 | 48.0790 | 817.35 | 25.0000 | 425.00 | -392.35 | 4.17 | 0.98% |
| 5.000 | 03/14/08 | 51.9200 | 259.60 | 25.0000 | 125.00 | -134.60 | 1 22 | 0.98% |
| 2.000 | 05/27/08 | 60.2000 | 120.40 | 25.0000 | 50.00 | -70.40 | 0.49 | 0.98% |
| 5.000 | 06/10/08 | 56.9500 | 284.75 | 25.0000 | 125.00 | -159.75 | 1.22 | 0.98% |

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| **GAZPROM O A O SPON ADR REG S** *(continued)* | | | | | | | | |
| 16.000 | 09/09/08 | 32.0300 | 512.48 | 25.0000 | 400.00 | -112.48 | 3.92 | 0.98% |
| 13.000 | 09/24/08 | 34.3000 | 445.90 | 25.0000 | 325.00 | -120.90 | 3.19 | 0.98% |
| 9.000 | 10/09/08 | 19.8930 | 179.04 | 25.0000 | 225 00 | 45.96 | 2.20 | 0 98% |
| 7.000 | 02/17/09 | 12.6500 | 88.55 | 25.0000 | 175.00 | 86.45 | 1.71 | 0.98% |
| 15 000 | 11/09/10 | 23.1490 | 347.23 | 25.0000 | 375.00 | 27.77 | 3.69 | 0.98% |
| **104.000** | **Total** | | **$3,909.75** | | **$2,600.00** | **-$1,309.75** | **$25.48** | |
| **3GERDAU S A SPONSORED ADR** | | | *Security Identifier:* GGB | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 1.000 | 10/18/06 | 7.4300 | 7.43 | 13.9900 | 13.99 | 6.56 | 0.08 | 0 56% |
| 6.000 | 09/06/07 | 12.0770 | 72.46 | 13.9900 | 83.94 | 11.48 | 0.48 | 0.56% |
| 12.000 | 02/26/08 | 15.5730 | 186.88 | 13.9900 | 167.88 | -19.00 | 0.95 | 0.56% |
| 16 000 | 05/27/08 | 24.3440 | 389.50 | 13.9900 | 223.84 | -165.66 | 1.27 | 0.56% |
| 11 000 | 08/21/08 | 18.5150 | 203.66 | 13.9900 | 153.89 | -49.77 | 0 87 | 0.56% |
| 31.000 | 10/21/08 | 6.3950 | 198.25 | 13.9900 | 433.69 | 235.44 | 2.46 | 0 56% |
| 64.000 | 10/14/10 | 13.3500 | 854.40 | 13.9900 | 895 36 | 40.96 | 5.09 | 0.56% |
| 19.000 | 11/05/10 | 13.3390 | 253.44 | 13.9900 | 265.81 | 12.37 | 1 51 | 0.56% |
| 9 000 | 11/24/10 | 12.3980 | 111.58 | 13.9900 | 125.91 | 14.33 | 0.72 | 0.56% |
| 8.000 | 11/30/10 | 11.6200 | 92.96 | 13.9900 | 111.92 | 18.96 | 0.64 | 0.56% |
| 9.000 | 12/17/10 | 13.4480 | 121.03 | 13.9900 | 125.91 | 4.88 | 0.71 | 0 56% |
| **186.000** | **Total** | | **$2,491.59** | | **$2,602.14** | **$110.55** | **$14.78** | |
| **HDFC BK LTD ADR REPSTG 3 SHS** | | | *Security Identifier:* HDB | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 4.000 | 12/09/10 | 165.4630 | 661.85 | 167.1100 | 668.44 | 6.59 | 2.98 | 0 44% |
| **3ICICI BK LTD ADR ISIN#US45104G1040** | | | *Security Identifier:* IBN | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 11.000 | 09/18/08 | 23.9050 | 262.95 | 50.6400 | 557.04 | 294.09 | 5.67 | 1.01% |
| 20.000 | 10/01/08 | 24.0950 | 481.90 | 50.6400 | 1,012.80 | 530.90 | 10.31 | 1 01% |
| 9.000 | 03/05/09 | 10.1400 | 91.26 | 50.6400 | 455.76 | 364.50 | 4 64 | 1.01% |
| 9.000 | 10/14/10 | 51.4990 | 463.49 | 50.6400 | 455.76 | -7.73 | 4.64 | 1.01% |
| 6.000 | 12/06/10 | 51.0730 | 306.44 | 50.6400 | 303.84 | -2.60 | 3.09 | 1.01% |
| **55.000** | **Total** | | **$1,606.04** | | **$2,785.20** | **$1,179.16** | **$28.35** | |
| **INFOSYS TECHNOLOGIES LTD SPON ADR REPSTG** | | | *Security Identifier:* INFY | | | | | |
| 1 EQUITY SH | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 16.000 | 03/20/09 | 26.0590 | 416.94 | 76.0800 | 1,217.28 | 800.34 | 8.11 | 0 66% |
| 6.000 | 12/07/09 | 51.7070 | 310.24 | 76.0800 | 456.48 | 146.24 | 3.04 | 0.66% |
| 13.000 | 10/08/10 | 69.5960 | 904.75 | 76.0800 | 989.04 | 84.29 | 6.59 | 0.66% |
| 6.000 | 10/27/10 | 66.3970 | 398.38 | 76.0800 | 456.48 | 58.10 | 3.03 | 0.66% |
| **41.000** | **Total** | | **$2,030.31** | | **$3,119.28** | **$1,088.97** | **$20.77** | |

## Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| **JSC MMC NORILSK NICKEL SPONSORED ADR** ISIN#US46626D1081 | | | *Security Identifier:* NILSY | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 46.000 | 10/06/10 | 18.0100 | 828.46 | 23.0000 | 1,058.00 | 229.54 | 25.17 | 2.37% |
| 36.000 | 10/08/10 | 17.7300 | 638.28 | 23.0000 | 828.00 | 189.72 | 19.70 | 2.37% |
| 12.000 | 10/21/10 | 18.5300 | 222.36 | 23.0000 | 276.00 | 53.64 | 6.57 | 2.37% |
| **94.000** | **Total** | | **$1,689.10** | | **$2,162.00** | **$472.90** | **$51.44** | |
| **3 5KB FINL GROUP INC SPONSORED ADR REPSTG** 1 COM SH ISIN#US48241A1051 | | | *Security Identifier:* KB | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 1.000 | 04/10/08 | 64.2100 | 64.21 | 52.8900 | 52.89 | -11.32 | 0.15 | 0.28% |
| 4.000 | 04/16/08 | 67.4780 | 269.91 | 52.8900 | 211.56 | -58.35 | 0.60 | 0.28% |
| 4.000 | 04/23/08 | 65.6500 | 262.60 | 52.8900 | 211.56 | -51.04 | 0.60 | 0.28% |
| 2.000 | 10/13/08 | 41.0050 | 82.01 | 52.8900 | 105.78 | 23.77 | 0.30 | 0.28% |
| 13.000 | 02/03/09 | 25.9890 | 337.86 | 52.8900 | 687.57 | 349.71 | 1.95 | 0.28% |
| 15.000 | 03/31/09 | 24.2730 | 364.09 | 52.8900 | 793.35 | 429.26 | 2.25 | 0.28% |
| 3.000 | 09/08/09 | N/A | Please Provide | 52.8900 | 158.67 | N/A | 0.45 | 0.28% |
| 15.000 | 06/29/10 | 39.0180 | 585.27 | 52.8900 | 793.35 | 208.08 | 2.25 | 0.28% |
| 11.000 | 08/30/10 | 41.2300 | 453.53 | 52.8900 | 581.79 | 128.26 | 1.65 | 0.28% |
| 11.000 | 10/06/10 | 46.6400 | 513.04 | 52.8900 | 581.79 | 68.75 | 1.67 | 0.28% |
| **79.000** | **Total** | | **$2,932.52** | | **$4,178.31** | **$1,087.12** | **$11.87** | |
| **KEPPEL LTD SPON ADR** | | | *Security Identifier:* KPELY | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 38.000 | 12/22/10 | 16.5740 | 629.81 | 17.6730 | 671.57 | 41.76 | 21.57 | 3.21% |
| **LAS VEGAS SANDS CORP COM** | | | *Security Identifier:* LVS | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 26.000 | 12/10/09 | 15.2900 | 397.54 | 45.9500 | 1,194.70 | 797.16 | | |
| 22.000 | 07/06/10 | 22.2090 | 488.60 | 45.9500 | 1,010.90 | 522.30 | | |
| 15.000 | 12/15/10 | 41.8200 | 627.30 | 45.9500 | 689.25 | 61.95 | | |
| **63.000** | **Total** | | **$1,513.44** | | **$2,894.85** | **$1,381.41** | **$0.00** | |

# Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| **MECHEL OAO SPONSORED ADR** | | | *Security Identifier:* MTL | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 25.000 | 05/07/10 | 21.0630 | 526.58 | 29.2300 | 730 75 | 204.17 | 0.62 | 0.08% |
| 10.000 | 05/21/10 | 20.9680 | 209.68 | 29.2300 | 292.30 | 82.62 | 0.25 | 0.08% |
| 14.000 | 10/06/10 | 25.1490 | 352.08 | 29.2300 | 409.22 | 57.14 | 0.35 | 0.08% |
| 17.000 | 10/21/10 | 23.4160 | 398.07 | 29.2300 | 496.91 | 98.84 | 0.42 | 0.08% |
| 7.000 | 10/21/10 | 22.7100 | 158.97 | 29.2300 | 204.61 | 45.64 | 0.18 | 0.08% |
| **73.000** | **Total** | | **$1,645.38** | | **$2,133.79** | **$488.41** | **$1.82** | |
| **MELCO CROWN ENTMT LTD ADR** | | | *Security Identifier:* MPEL | | | | | |
| ISIN#US5854641009 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 46.000 | 10/13/09 | 6.9400 | 319.23 | 6.3600 | 292.56 | -26 67 | | |
| 105.000 | 10/20/09 | 5.9600 | 625.80 | 6.3600 | 667.80 | 42.00 | | |
| 113 000 | 11/09/09 | 4.9900 | 563.87 | 6.3600 | 718.68 | 154.81 | | |
| 145.000 | 12/17/09 | 3.6500 | 529.25 | 6.3600 | 922.20 | 392.95 | | |
| **409.000** | **Total** | | **$2,038.15** | | **$2,601.24** | **$563.09** | **$0.00** | |
| **[3]MOBILE TELESYSTEMS OJSC SPONSORED ADR** | | | *Security Identifier:* MBT | | | | | |
| ISIN#US6074091090 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 7.500 | 01/22/08 | 34.2530 | 256.90 | 20.8700 | 156.52 | -100.38 | 6.15 | 3.93% |
| 15.000 | 03/19/08 | 30.9910 | 464.86 | 20.8700 | 313.05 | -151.81 | 12.30 | 3 93% |
| 10.000 | 08/07/08 | 29.0170 | 290.17 | 20.8700 | 208.70 | -81.47 | 8.20 | 3.93% |
| 5.000 | 11/07/08 | 16.7560 | 83.78 | 20.8700 | 104.35 | 20.57 | 4.10 | 3.93% |
| 5.000 | 11/18/08 | 10.8800 | 54.40 | 20.8700 | 104 35 | 49.95 | 4.10 | 3.93% |
| 2.500 | 12/03/08 | 10.8120 | 27.03 | 20.8700 | 52.17 | 25.14 | 2.05 | 3.93% |
| 22.500 | 02/03/09 | 7.3960 | 166.40 | 20.8700 | 469.57 | 303.17 | 18.45 | 3.93% |
| 2.500 | 12/02/09 | 20.4680 | 51.17 | 20.8700 | 52.17 | 1.00 | 2.05 | 3.93% |
| 11 000 | 10/06/10 | 22.8000 | 250.80 | 20.8700 | 229.57 | -21.23 | 9.02 | 3.93% |
| 12.000 | 10/25/10 | 21.7700 | 261.24 | 20.8700 | 250.44 | -10.80 | 9.84 | 3.93% |
| 14.000 | 11/30/10 | 21.0090 | 294.13 | 20.8700 | 292.18 | -1.95 | 11.48 | 3.93% |
| 8.000 | 12/02/10 | 21.5090 | 172.07 | 20.8700 | 166.96 | -5.11 | 6.56 | 3.93% |
| 25.000 | 12/09/10 | 20.1700 | 504.25 | 20.8700 | 521.75 | 17.50 | 20.50 | 3 93% |
| 7.000 | 12/10/10 | 19.8490 | 138.94 | 20.8700 | 146 09 | 7.15 | 5.74 | 3.93% |
| 8.000 | 12/15/10 | 20.2390 | 161.91 | 20.8700 | 166.96 | 5.05 | 6.56 | 3.93% |
| 3.000 | 12/23/10 | 20.2600 | 60.78 | 20.8700 | 62.63 | 1.85 | 2.49 | 3 93% |
| **158.000** | **Total** | | **$3,238.83** | | **$3,297.46** | **$58.63** | **$129.59** | |
| **MTN GROUP LTD SPONSORED ADR** | | | *Security Identifier:* MTNOY | | | | | |
| Dividend Option· Cash | | | | | | | | |
| 72.000 | 10/14/10 | 19.2190 | 1,383.78 | 20.3180 | 1,462.89 | 79.11 | 31.27 | 2 13% |
| 13.000 | 10/25/10 | 17.9860 | 233.82 | 20.3180 | 264.13 | 30.31 | 5.65 | 2.13% |

## Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| MTN GROUP LTD SPONSORED ADR *(continued)* | | | | | | | | |
| 20.000 | 10/29/10 | 18.1930 | 363.85 | 20.3180 | 406.36 | 42.51 | 8.69 | 2.13% |
| 19.000 | 11/04/10 | 18.8700 | 358.53 | 20.3180 | 386.05 | 27.52 | 8.24 | 2.13% |
| **124.000** | **Total** | | **$2,339.98** | | **$2,519.43** | **$179.45** | **$53.85** | |
| NASPERS LTD SPONSORED ADR REPSTG CL N SHS | | | *Security Identifier:* NPSNY | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 28.000 | 10/18/10 | 53.6120 | 1,501.14 | 58.6400 | 1,641.92 | 140 78 | 8.80 | 0.53% |
| 8.000 | 11/02/10 | 52.9890 | 423.91 | 58.6400 | 469.12 | 45.21 | 2.52 | 0.53% |
| **36.000** | **Total** | | **$1,925.05** | | **$2,111.04** | **$185.99** | **$11.32** | |
| 3LUKOIL CO SPOND ADR SHS | | | *Security Identifier:* LUKOY | | | | | |
| ISIN#US6778621044 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 9.000 | 08/05/08 | 79.5000 | 715.50 | 56.4000 | 507.60 | -207.90 | 12.49 | 2.46% |
| 12.000 | 08/22/08 | 73.0390 | 876.47 | 56.4000 | 676.80 | -199.67 | 16.65 | 2.46% |
| 1.000 | 08/26/08 | 69.3500 | 69.35 | 56.4000 | 56,40 | -12.95 | 1.39 | 2 46% |
| 6.000 | 09/09/08 | 61.0000 | 366.00 | 56.4000 | 338.40 | -27.60 | 8.33 | 2.46% |
| 4.000 | 10/09/08 | 42.6500 | 170.60 | 56.4000 | 225.60 | 55 00 | 5.55 | 2.46% |
| 2.000 | 11/07/08 | 39.4500 | 78.90 | 56.4000 | 112.80 | 33.90 | 2.78 | 2.46% |
| 15.000 | 02/17/09 | 31.6300 | 474.45 | 56.4000 | 846.00 | 371.55 | 20 82 | 2.46% |
| 3 000 | 03/05/09 | 32.1300 | 96.39 | 56.4000 | 169.20 | 72.81 | 4.16 | 2.46% |
| 6.000 | 02/08/10 | 52.4000 | 314.40 | 56.4000 | 338.40 | 24 00 | 8.33 | 2.46% |
| 4.000 | 10/05/10 | 58.7100 | 234.84 | 56.4000 | 225.60 | -9.24 | 5.55 | 2.46% |
| 10.000 | 10/05/10 | 58.9000 | 589.00 | 56.4000 | 564.00 | -25.00 | 13.88 | 2.46% |
| 5.000 | 10/25/10 | 57.8100 | 289.05 | 56.4000 | 282.00 | -7.05 | 6.94 | 2.46% |
| 6.000 | 11/04/10 | 58.6300 | 351.78 | 56.4000 | 338.40 | -13.38 | 8.33 | 2.46% |
| 4.000 | 12/21/10 | 56 9500 | 227.80 | 56.4000 | 225.60 | -2.20 | 5 53 | 2 46% |
| **87.000** | **Total** | | **$4,854.53** | | **$4,906.80** | **$52.27** | **$120.73** | |
| 3POSCO SPON ADR | | | *Security Identifier:* PKX | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 1.000 | 06/21/07 | 124.7900 | 124.79 | 107.6900 | 107.69 | -17.10 | 1.63 | 1.51% |
| 8.000 | 04/10/08 | 122.4590 | 979.67 | 107.6900 | 861.52 | -118.15 | 13.06 | 1.51% |
| 4.000 | 04/24/08 | 123.4250 | 493.70 | 107.6900 | 430.76 | -62.94 | 6 53 | 1.51% |

[redacted]

# Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| **POSCO SPON ADR** *(continued)* | | | | | | | | |
| 6 000 | 04/29/08 | 120.6520 | 723.91 | 107.6900 | 646.14 | -77.77 | 9.80 | 1.51% |
| 3.000 | 06/12/08 | 131.6970 | 395.09 | 107.6900 | 323.07 | -72.02 | 4.90 | 1.51% |
| 2.000 | 02/17/09 | 57.4500 | 114.90 | 107.6900 | 215.38 | 100.48 | 3 27 | 1.51% |
| 11.000 | 10/01/10 | 116 8600 | 1,285.46 | 107.6900 | 1,184 59 | -100.87 | 17.96 | 1.51% |
| 16.000 | 10/06/10 | 120.2640 | 1,924.22 | 107.6900 | 1,723.04 | -201.18 | 26.13 | 1.51% |
| 3.000 | 11/02/10 | 104.2600 | 312.78 | 107.6900 | 323.07 | 10.29 | 4.90 | 1.51% |
| 6.000 | 12/02/10 | 103.2450 | 619.47 | 107.6900 | 646.14 | 26.67 | 9.80 | 1.51% |
| **60.000** | **Total** | | **$6,973.99** | | **$6,461.40** | **-$512.59** | **$97.98** | |
| **3PETROLEO BRASILEIRO SA SPONSORED ADR** | | | *Security Identifier:* PBR A | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 2.000 | 10/06/06 | 18.0700 | 36.14 | 34.1700 | 68.34 | 32.20 | 0.30 | 0.43% |
| 12.000 | 11/07/06 | 20.6750 | 248 10 | 34.1700 | 410.04 | 161 94 | 1.80 | 0.43% |
| 12.000 | 12/08/06 | 22.1150 | 265.38 | 34.1700 | 410.04 | 144.66 | 1.80 | 0.43% |
| 20.000 | 12/12/06 | 21.8840 | 437.68 | 34.1700 | 683.40 | 245.72 | 2.99 | 0.43% |
| 5.000 | 07/10/08 | 49.1200 | 245.60 | 34.1700 | 170 85 | -74.75 | 0.75 | 0 43% |
| 6.000 | 09/18/08 | 31.8300 | 190.98 | 34.1700 | 205.02 | 14.04 | 0.90 | 0.43% |
| 19.000 | 10/15/08 | 22.3000 | 423.70 | 34.1700 | 649.23 | 225.53 | 2.84 | 0.43% |
| 2.000 | 01/16/09 | 20.8700 | 41.74 | 34.1700 | 68.34 | 26.60 | 0.30 | 0.43% |
| 1.000 | 10/14/10 | 31.7400 | 31.74 | 34.1700 | 34.17 | 2.43 | 0.15 | 0.43% |
| 2.000 | 12/03/10 | 30.8100 | 61.62 | 34.1700 | 68.34 | 6.72 | 0.29 | 0 43% |
| **81.000** | **Total** | | **$1,982.68** | | **$2,767.77** | **$785.09** | **$12.12** | |
| **PETROLEO BRASILEIRO SA PETROBRAS** | | | *Security Identifier:* PBR | | | | | |
| SPONSORED ADR | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 6.000 | 11/19/09 | 50.9200 | 305.52 | 37.8400 | 227.04 | -78.48 | 0.90 | 0.39% |
| 6.000 | 01/15/10 | 45.3680 | 272.21 | 37.8400 | 227.04 | -45.17 | 0.90 | 0.39% |
| 6.000 | 01/26/10 | 41.4800 | 248.88 | 37.8400 | 227.04 | -21.84 | 0.90 | 0.39% |
| 12.000 | 02/09/10 | 39.5800 | 474.96 | 37.8400 | 454.08 | -20.88 | 1 80 | 0.39% |
| 25.000 | 03/24/10 | 45.0080 | 1,125.20 | 37.8400 | 946.00 | -179.20 | 3.74 | 0.39% |
| 17.000 | 10/14/10 | 34.7290 | 590.39 | 37.8400 | 643.28 | 52.89 | 2.54 | 0.39% |
| 2.000 | 12/03/10 | 34.0600 | 68.12 | 37.8400 | 75.68 | 7.56 | 0.29 | 0 39% |
| **74.000** | **Total** | | **$3,085.28** | | **$2,800.16** | **-$285.12** | **$11.07** | |
| **3SHINHAN FINL GROUP CO LTD SPONSORED** | | | *Security Identifier:* SHG | | | | | |
| ADR | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 5.000 | 12/27/07 | 114.4000 | 572.00 | 93.8200 | 469.10 | -102.90 | 2.68 | 0.57% |
| 5.000 | 01/02/08 | 110.9840 | 554.92 | 93.8200 | 469.10 | -85 82 | 2.68 | 0.57% |
| 1.000 | 01/14/08 | 98.6700 | 98.67 | 93.8200 | 93.82 | -4.85 | 0.54 | 0.57% |
| 3.000 | 04/10/08 | 112 2530 | 336.76 | 93.8200 | 281.46 | -55.30 | 1.61 | 0.57% |
| 3.000 | 04/18/08 | 112.9900 | 338.97 | 93.8200 | 281.46 | -57.51 | 1 61 | 0.57% |

## Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| **SHINHAN FINL GROUP CO LTD SPONSORED** *(continued)* | | | | | | | | |
| 4.000 | 06/02/08 | 98.9280 | 395.71 | 93.8200 | 375.28 | -20.43 | 2.14 | 0.57% |
| 2.000 | 06/12/08 | 87.0300 | 174.06 | 93.8200 | 187.64 | 13.58 | 1.07 | 0 57% |
| 1.000 | 11/07/08 | 53.4900 | 53.49 | 93.8200 | 93.82 | 40.33 | 0.54 | 0.57% |
| 8.000 | 03/05/09 | 26.7000 | 213.60 | 93.8200 | 750.56 | 536.96 | 4.28 | 0.57% |
| 11.000 | 10/07/10 | 85.2500 | 937.75 | 93.8200 | 1,032.02 | 94.27 | 5.89 | 0.57% |
| 3.000 | 10/12/10 | 81.1100 | 243.33 | 93.8200 | 281.46 | 38.13 | 1.58 | 0.57% |
| **46.000** | **Total** | | **$3,919.26** | | **$4,315.72** | **$396.46** | **$24.62** | |
| **SINOPEC SHANGHAI PETROCHEMICAL CO LTD** | | *Security Identifier:* SHI | | | | | | |
| SPONS ADR REPSTG CL H SHS | | | | | | | | |
| ISIN#US82693M1099 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 10.000 | 11/12/10 | 49.1090 | 491.09 | 51.5700 | 515.70 | 24.61 | 3.95 | 0.76% |
| 3.000 | 11/15/10 | 47.9630 | 143.89 | 51.5700 | 154.71 | 10.82 | 1 19 | 0.76% |
| 13.000 | 11/15/10 | 47.7400 | 620.62 | 51.5700 | 670.41 | 49.79 | 5.14 | 0.76% |
| **26.000** | **Total** | | **$1,255.60** | | **$1,340.82** | **$85.22** | **$10.28** | |
| **SOUTHERN COPPER CORP DEL COM** | | *Security Identifier:* SCCO | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 2.000 | 11/04/09 | 33.3050 | 66.61 | 48.7400 | 97.48 | 30.87 | 3.44 | 3.52% |
| 10.000 | 08/03/10 | 31.8450 | 318.45 | 48.7400 | 487.40 | 168.95 | 17.20 | 3.52% |
| 9.000 | 08/26/10 | 28.7400 | 258.66 | 48.7400 | 438.66 | 180.00 | 15.48 | 3.52% |
| 25.000 | 10/07/10 | 38.3290 | 958.23 | 48.7400 | 1,218.50 | 260.27 | 43.00 | 3.52% |
| **46.000** | **Total** | | **$1,601.95** | | **$2,242.04** | **$640.09** | **$79.12** | |
| **STANDARD BK GROUP LTD ADR** | | *Security Identifier:* SBGOY | | | | | | |
| ISIN#US8531181076 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 38.000 | 10/15/10 | 32.0640 | 1,218.45 | 32.5130 | 1,235.50 | 17.05 | 38 47 | 3 11% |
| 41.000 | 10/19/10 | 31.0050 | 1,271.22 | 32.5130 | 1,333.03 | 61.81 | 41.50 | 3.11% |
| **79.000** | **Total** | | **$2,489.67** | | **$2,568.53** | **$78.86** | **$79.97** | |

[redacted]

# Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| **3TAIWAN SEMICONDUCTOR MFG CO SPONSORED** ADR ISIN#US8740391003 Dividend Option: Cash | | | *Security Identifier:* TSM | | | | | |
| 102.300 | 08/20/08 | 10.2490 | 1,048.45 | 12.5400 | 1,282.84 | 234.39 | 38.13 | 2.97% |
| 29.145 | 08/22/08 | 10.2190 | 297.83 | 12.5400 | 365.48 | 67.65 | 10.86 | 2.97% |
| 18.090 | 09/25/08 | 9.4630 | 171.18 | 12.5400 | 226.85 | 55.67 | 6.74 | 2.97% |
| 7 035 | 11/07/08 | 7.6020 | 53.48 | 12.5400 | 88.22 | 34.74 | 2.62 | 2 97% |
| 30.150 | 03/05/09 | 8.1580 | 245.97 | 12.5400 | 378.08 | 132.11 | 11.24 | 2.97% |
| 56.280 | 03/26/09 | 9.1930 | 517.40 | 12.5400 | 705.75 | 188.35 | 20.98 | 2.97% |
| 31.000 | 10/11/10 | 10.3590 | 321.12 | 12.5400 | 388.74 | 67.62 | 11.56 | 2.97% |
| **274.000** | **Total** | | **$2,655.43** | | **$3,435.96** | **$780.53** | **$102.13** | |
| **TENARIS S A SPONSORED ADR** Dividend Option: Cash | | | *Security Identifier:* TS | | | | | |
| 14.000 | 11/09/10 | 45 9490 | 643.28 | 48.9800 | 685.72 | 42.44 | 9.52 | 1 38% |
| 7.000 | 11/09/10 | 46.0890 | 322.62 | 48.9800 | 342.86 | 20.24 | 4.76 | 1.38% |
| 8.000 | 11/11/10 | 44.8590 | 358.87 | 48.9800 | 391.84 | 32.97 | 5.44 | 1.38% |
| 6.000 | 11/30/10 | 42.6480 | 255.89 | 48.9800 | 293.88 | 37.99 | 4.08 | 1 38% |
| **35.000** | **Total** | | **$1,580.66** | | **$1,714.30** | **$133.64** | **$23.80** | |
| **TEVA PHARMACEUTICAL INDUSTRIES LTD ADR** ISIN#US8816242098 Dividend Option: Cash | | | *Security Identifier:* TEVA | | | | | |
| 26.000 | 11/09/10 | 50.3400 | 1,308.84 | 52.1300 | 1,355.38 | 46.54 | 17.34 | 1.27% |
| 11.000 | 11/16/10 | 50.4380 | 554.82 | 52.1300 | 573.43 | 18.61 | 7.34 | 1.27% |
| 5.000 | 11/18/10 | 51.1980 | 255.99 | 52.1300 | 260.65 | 4.66 | 3.34 | 1.27% |
| 7.000 | 11/19/10 | 50.3690 | 352.58 | 52.1300 | 364.91 | 12.33 | 4.67 | 1.27% |
| 6.000 | 12/06/10 | 49.0380 | 294.23 | 52.1300 | 312.78 | 18.55 | 4.00 | 1.27% |
| **55.000** | **Total** | | **$2,766.46** | | **$2,867.15** | **$100.69** | **$36.69** | |
| **TURKIYE GARANTI BANKASI ADR** ISIN#US9001487019 Dividend Option: Cash | | | *Security Identifier:* TKGBY | | | | | |
| 125.000 | 12/17/10 | 4.9980 | 624.71 | 5.0810 | 635.13 | 10.42 | 9.33 | 1.46% |
| 57.000 | 12/22/10 | 5.1000 | 290.68 | 5.0810 | 289.62 | -1.06 | 4 25 | 1.46% |
| 68.000 | 12/27/10 | 5.1290 | 348.76 | 5.0810 | 345.50 | -3.26 | 5.08 | 1.46% |
| **250.000** | **Total** | | **$1,264.15** | | **$1,270.25** | **$6.10** | **$18.66** | |
| **VALE SA ADR** ISIN#US91912E1055 Dividend Option: Cash | | | *Security Identifier:* VALE | | | | | |
| 25.000 | 02/16/06 | 12.0280 | 300.69 | 34.5700 | 864.25 | 563.56 | | |
| 28.000 | 03/17/06 | 11.2030 | 313.67 | 34.5700 | 967.96 | 654.29 | | |
| 20.000 | 04/07/06 | 12.1760 | 243.51 | 34.5700 | 691.40 | 447.89 | | |

## Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| **VALE SA ADR** *(continued)* | | | | | | | | |
| 8.000 | 09/12/06 | 10.1750 | 81.40 | 34.5700 | 276.56 | 195.16 | | |
| 16.000 | 09/21/06 | 10.1950 | 163.12 | 34.5700 | 553.12 | 390.00 | | |
| 1.000 | 04/04/08 | 36.4800 | 36.48 | 34.5700 | 34.57 | -1.91 | | |
| 11 000 | 07/17/08 | 29.2650 | 321.91 | 34.5700 | 380.27 | 58.36 | | |
| 11 000 | 08/28/08 | 26.6550 | 293.20 | 34.5700 | 380.27 | 87.07 | | |
| 2.000 | 09/18/08 | 19.4700 | 38.94 | 34.5700 | 69.14 | 30.20 | | |
| 9.000 | 12/09/08 | 10 8780 | 97.90 | 34.5700 | 311.13 | 213.23 | | |
| 14.000 | 01/15/10 | 30.3990 | 425.59 | 34.5700 | 483.98 | 58.39 | | |
| 15.000 | 01/26/10 | 27.2000 | 408.00 | 34.5700 | 518.55 | 110.55 | | |
| 14.000 | 02/09/10 | 26.3490 | 368.88 | 34.5700 | 483.98 | 115.10 | | |
| 10.000 | 08/02/10 | 29.1690 | 291.69 | 34.5700 | 345.70 | 54.01 | | |
| 6 000 | 08/05/10 | 29.0100 | 174.06 | 34.5700 | 207.42 | 33.36 | | |
| 8.000 | 08/09/10 | 29.2100 | 233.68 | 34.5700 | 276.56 | 42.88 | | |
| 7.000 | 08/17/10 | 28.6500 | 200.55 | 34 5700 | 241.99 | 41.44 | | |
| 13.000 | 10/21/10 | 32.5400 | 423.02 | 34.5700 | 449.41 | 26.39 | | |
| **218.000** | **Total** | | **$4,416.29** | | **$7,536.26** | **$3,119.97** | **$0.00** | |
| **3VIVO PARTICIPACOES S A SPON ADR** | | | *Security Identifier:* VIV | | | | | |
| REPSTG PFD NEW ISIN#US92855S2005 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 9.000 | 09/26/08 | 4.4100 | 39.69 | 32.5900 | 293.31 | 253.62 | 9.35 | 3.18% |
| 15.000 | 10/23/08 | 8.8150 | 132.23 | 32.5900 | 488.85 | 356.62 | 15.59 | 3.18% |
| 8 000 | 11/19/08 | 9.8000 | 78.40 | 32.5900 | 260.72 | 182.32 | 8.31 | 3.18% |
| 10.000 | 10/08/10 | 29.4090 | 294.09 | 32.5900 | 325.90 | 31.81 | 10.39 | 3.18% |
| 20.000 | 10/13/10 | 30.0390 | 600.77 | 32.5900 | 651.80 | 51.03 | 20.78 | 3.18% |
| **62.000** | **Total** | | **$1,145.18** | | **$2,020.58** | **$875.40** | **$64.42** | |
| **YANZHOU COAL MINING CO LTD SPONSORED ADR** | | | *Security Identifier:* YZC | | | | | |
| REPSTG H SHS | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 25.000 | 10/23/09 | 16.0840 | 402.10 | 30.6000 | 765.00 | 362.90 | 8.25 | 1 07% |
| 24.000 | 12/15/09 | 21.5570 | 517.36 | 30.6000 | 734.40 | 217.04 | 7.92 | 1.07% |
| 21.000 | 07/07/10 | 19.5100 | 409.71 | 30.6000 | 642.60 | 232.89 | 6.93 | 1.07% |
| 48.000 | 10/01/10 | 24.9980 | 1,199.90 | 30.6000 | 1,468.80 | 268.90 | 15.84 | 1.07% |

# Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| **YANZHOU COAL MINING CO LTD SPONSORED ADR** *(continued)* | | | | | | | | |
| 15.000 | 10/27/10 | 28.7590 | 431.38 | 30.6000 | 459.00 | 27.62 | 4 95 | 1 07% |
| **133.000** | **Total** | | **$2,960.45** | | **$4,069.80** | **$1,109.35** | **$43.89** | |
| **Total Common Stocks** | | | **$95,780.77** | | **$113,295.29** | **$16,100.61** | **$1,488.89** | |
| **Preferred Stocks** *(Listed by expiration date)* | | | | | | | | |
| **ITAU UNIBANCO HLDG SA NS SPONSORED ADR** | | *Secunty Identifier:* ITUB | | | | | | |
| REPSTG 500 PFD ISIN#US4655621062 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 20.374 | 04/05/06 | 12.4220 | 253.09 | 24.0100 | 489.17 | 236.08 | 1.68 | 0.34% |
| 37.951 | 02/26/07 | 14.7580 | 560.07 | 24.0100 | 911.19 | 351.12 | 3.12 | 0.34% |
| 15.125 | 08/17/07 | 13.5910 | 205.56 | 24.0100 | 363.15 | 157.59 | 1.24 | 0.34% |
| 6.325 | 04/04/08 | 20.5070 | 129.71 | 24.0100 | 151.87 | 22.16 | 0.52 | 0 34% |
| 6.875 | 05/29/08 | 21.3860 | 147 03 | 24.0100 | 165 07 | 18.04 | 0.57 | 0.34% |
| 6.325 | 06/27/08 | 20.0550 | 126.85 | 24.0100 | 151.87 | 25.02 | 0 52 | 0 34% |
| 18.975 | 07/17/08 | 20 2260 | 383 80 | 24.0100 | 455 60 | 71.80 | 1 56 | 0.34% |
| 8.800 | 07/22/08 | 19.5910 | 172.40 | 24.0100 | 211.29 | 38.89 | 0.72 | 0.34% |
| 12.650 | 08/11/08 | 19.1100 | 241.75 | 24.0100 | 303.73 | 61.98 | 1.04 | 0.34% |
| 12.100 | 12/09/08 | 10.9610 | 132.63 | 24.0100 | 290.52 | 157.89 | 1.00 | 0.34% |
| 16.500 | 12/17/08 | 11.5470 | 190.52 | 24.0100 | 396.16 | 205.64 | 1 36 | 0.34% |
| 32.000 | 06/18/10 | 19.8590 | 635.48 | 24.0100 | 768.32 | 132.84 | 2.63 | 0 34% |
| 26.000 | 10/05/10 | 25.3180 | 658.28 | 24.0100 | 624.26 | -34.02 | 2.14 | 0.34% |
| 17.000 | 10/08/10 | 25.6890 | 436.71 | 24.0100 | 408.17 | -28 54 | 1.40 | 0.34% |
| 35.000 | 11/12/10 | 24.4890 | 857.11 | 24.0100 | 840.35 | -16.76 | 2.88 | 0.34% |
| 12.000 | 12/10/10 | 22.8490 | 274.19 | 24.0100 | 288.12 | 13.93 | 0.99 | 0.34% |
| **284.000** | **Total** | | **$5,405.18** | | **$6,818.84** | **$1,413.66** | **$23.37** | |
| **Total Preferred Stocks** | | | **$5,405.18** | | **$6,818.84** | **$1,413.66** | **$23.37** | |
| **Total Equities** | | | (i) **$101,185.95** | | **$120,114.13** | **$17,514.27** | **$1,512.26** | |

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Exchange-Traded Products 6.00% of Portfolio** | | | | | | | | |
| **Exchange-Traded Products** | | | | | | | | |
| **GLOBAL X FDS GLOBAL X CHINA FINLS** | | *Security Identifier:* CHIX | | | | | | |
| ETF | | | | | | | | |
| Dividend Option. Cash; Capital Gains Option: Cash | | | | | | | | |
| 19.000 | 03/08/10 | 14.0400 | 266.76 | 13.3500 | 253.65 | -13.11 | 6.13 | 2.41% |
| 39.000 | 04/09/10 | 14.9300 | 582.27 | 13.3500 | 520.65 | -61.62 | 12.58 | 2.41% |
| 50.000 | 05/03/10 | 13.1500 | 657.50 | 13.3500 | 667.50 | 10.00 | 16.13 | 2 41% |
| 88.000 | 05/14/10 | 12.4590 | 1,096.39 | 13.3500 | 1,174.80 | 78.41 | 28.38 | 2.41% |



[redacted]
THE LAWRENCE FOUNDATION

[redacted]

CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC
2121 Avenue of the Stars
Suite 3300
Los Angeles, CA 90067-5014
(800) 237-5022

**Managed Account**
**Statement**

Statement Period: 12/01/2010 - 12/31/2010

## Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Exchange-Traded Products** *(continued)* | | | | | | | | |
| Exchange-Traded Products *(continued)* | | | | | | | | |
| GLOBAL X FDS GLOBAL X CHINA FINLS *(continued)* | | | | | | | | |
| 46.000 | 07/12/10 | 13.5990 | 625.57 | 13.3500 | 614.10 | -11.47 | 14.83 | 2.41% |
| **242.000** | **Total** | | **$3,228.49** | | **$3,230.70** | **$2.21** | **$78.05** | |
| GLOBAL X FDS GLOBAL X CHINA MATLS | | | *Security Identifier:* CHIM | | | | | |
| ETF | | | | | | | | |
| Dividend Option: Cash; Capital Gains Option: Cash | | | | | | | | |
| 31.000 | 06/18/10 | 11.5400 | 357.73 | 14.7999 | 458.80 | 101.07 | 4.43 | 0.96% |
| 46.000 | 07/12/10 | 11.0770 | 509 56 | 14.7999 | 680 79 | 171.23 | 6 58 | 0.96% |
| 39.000 | 07/23/10 | 11.7190 | 457.05 | 14.7999 | 577.20 | 120.15 | 5.58 | 0.96% |
| 49.000 | 08/03/10 | 12.0800 | 591.92 | 14.7999 | 725.19 | 133.27 | 7 00 | 0.96% |
| **165.000** | **Total** | | **$1,916.26** | | **$2,441.98** | **$525.72** | **$23.59** | |
| ISHARES INC MCSI TURKEY INDEX FD | | | *Security Identifier:* TUR | | | | | |
| Dividend Option: Cash; Capital Gains Option. Cash | | | | | | | | |
| 6.000 | 02/23/10 | 51.5070 | 309.04 | 66 2100 | 397 26 | 88.22 | 7.64 | 1.92% |
| 6.000 | 05/06/10 | 53.7730 | 322.64 | 66.2100 | 397.26 | 74.62 | 7.64 | 1.92% |
| 6.000 | 06/21/10 | 57.9300 | 347.58 | 66.2100 | 397.26 | 49.68 | 7.64 | 1.92% |
| 3.000 | 10/12/10 | 76.0800 | 228.24 | 66.2100 | 198.63 | -29.61 | 3.82 | 1.92% |
| 10.000 | 10/19/10 | 74.5000 | 745.00 | 66.2100 | 662.10 | -82.90 | 12.74 | 1.92% |
| 2 000 | 11/02/10 | 75.0700 | 150.14 | 66.2100 | 132.42 | -17.72 | 2.56 | 1.92% |
| **33.000** | **Total** | | **$2,102.64** | | **$2,184.93** | **$82.29** | **$42.04** | |
| **Total Exchange-Traded Products** | | | 1 **$7,247.39** | | **$7,857.61** | **$610.22** | **$143.68** | |
| **Total Exchange-Traded Products** | | | **$7,247.39** | | **$7,857.61** | **$610.22** | **$143.68** | |

| | Cost Basis | Market Value | Unrealized Gain/Loss | Accrued Interest | Estimated Annual Income |
|---|---|---|---|---|---|
| **Total Portfolio Holdings** | **$110,331.25** | **$129,869.65** | **$18,124.49** | **$0.00** | **$1,656.15** |

3 The cost basis ETFs •—0—•CA lity of the PORTFOLIO EVALUATION SERVICE for this account, or delivered into this account, has been provided to PERSHING by your financial institu iracy of such cost basis.

5 Unrealized gain & Mutual Funds :h cost basis or market value is not available.

101,185.95 +
7,247.39 +
Σ I's 108,433.34 *





[redacted]
THE LAWRENCE FOUNDATION

[redacted]

## Customer Service Information

| Your Relationship Manager: 01X | Contact Information | Customer Service Information |
|---|---|---|
| YOSHIMURA/WEBSTER<br>PRIVATE BANKING USA<br>2121 AVE OF THE STARS STE 3300<br>LOS ANGELES CA 90067-5060 | **Telephone Number:** (800) 237-5022<br>**Fax Number:** (310) 282-8053 | **Web Site:** www.credit-suisse.com |

## Portfolio Holdings

| Quantity | Description | Opening Balance | Closing Balance | Accrued Income | Income This Year | 30-day Yield |
|---|---|---|---|---|---|---|
| **Cash, Money Funds, and FDIC Deposits 100.00% of Portfolio** | | | | | | |
| **Money Market** | | | | | | |
| 0.900 | FEDERATED PRIME CASH SERIES | 0.90 | 0.90 | 0.00 | 1.70 | 0.01% |
| **Total Money Market** | | $0.90 | $0.90 | $0.00 | $1.70 | |
| **Total Cash, Money Funds, and FDIC Deposits** | | $0.90 | $0.90 | $0.00 | $1.70 | |

| Description | Market Value | Accrued Interest | Estimated Annual Income |
|---|---|---|---|
| **Total Portfolio Holdings** | $0.90 | $0.00 | $1.70 |

### Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

The Estimated Price as of Date only appears when the price date does not equal the statement date.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

## Summary of Gains and Losses

| | Realized This Period | Realized Year-to-Date | Unrealized |
|---|---|---|---|
| Short-Term Gain/Loss | 2,567.96 | 4,957.01 | 25,109.16 |
| Long-Term Gain/Loss | 318.48 | 17,556.88 | 107,723.45 |
| **Net Gain/Loss** | **2,886.44** | **22,513.89** | **132,832.61** |

This summary excludes transactions where cost basis information is not available.

## Customer Service Information

| Your Relationship Manager: 01X | Contact Information | Customer Service Information |
|---|---|---|
| YOSHIMURA/WEBSTER<br>PRIVATE BANKING USA<br>2121 AVE OF THE STARS STE 3300<br>LOS ANGELES CA 90067-5060 | **Telephone Number:** (800) 237-5022<br>**Fax Number:** (310) 282-8053 | **Web Site:** www.credit-suisse.com |

## Portfolio Holdings

| Quantity | Opening Date | Account Number | Activity Ending | Opening Balance | Closing Balance | Accrued Income | Income This Year | 30-Day Yield | Current Yield |
|---|---|---|---|---|---|---|---|---|---|
| **Cash, Money Funds, and FDIC Deposits 8.00% of Portfolio** | | | | | | | | | |
| **Cash Balance** | | | | 14,412.40 | 52.47 | | | | |
| **Money Market** | | | | | | | | | |
| **FEDERATED PRIME CASH SERIES** | | | | | | | | | |
| 85,699.090 | 12/01/10 | 0000127348 | 12/31/10 | 65,581.63 | 85,699.09 | 0.00 | 4.23 | 0.01% | 0.01% |
| **Total Money Market** | | | | **$65,581.63** | **$85,699.09** | **$0.00** | **$4.23** | | |
| **Total Cash, Money Funds, and FDIC Deposits** | | | | **$79,994.03** | **$85,751.56** | **$0.00** | **$4.23** | | |

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities 92.00% of Portfolio** | | | | | | | | |
| **Common Stocks** | | | | | | | | |
| **WEATHERFORD INTL LTD REG**<br>ISIN#CH0038838394<br>Dividend Option: Cash | | | *Security Identifier:* WFT | | | | | |
| 242 000 | 05/07/10 | 15.1500 | 3,666.30 | 22.8000 | 5,517.60 | 1,851.30 | | |
| **NOBLE CORPORATION BAAR NAMEN AKT**<br>ISIN#CH0033347318<br>Dividend Option: Cash | | | *Security Identifier:* NE | | | | | |
| 290.000 | 06/17/10 | 31.0200 | 8,995.92 | 35.7700 | 10,373.30 | 1,377.38 | 100 89 | 0.97% |

## Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| **NOBLE CORPORATION BAAR NAMEN AKT** *(continued)* | | | | | | | | |
| 195.000 | 09/27/10 | 33.5500 | 6,542.25 | 35.7700 | 6,975.15 | 432.90 | 67.84 | 0.97% |
| **485.000** | **Total** | | **$15,538.17** | | **$17,348.45** | **$1,810.28** | **$168.73** | |
| **3ABBOTT LABS COM** | | | *Security Identifier:* ABT | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 16.000 | 02/13/04 | 43 5280 | 696.44 | 47.9100 | 766.56 | 70.12 | 28.16 | 3 67% |
| 85.000 | 06/16/05 | 49 0800 | 4,171.80 | 47.9100 | 4,072.35 | -99.45 | 149.60 | 3.67% |
| 140.000 | 07/26/07 | 51.4690 | 7,205.61 | 47.9100 | 6,707.40 | -498.21 | 246.40 | 3.67% |
| 175.000 | 04/15/09 | 42 5000 | 7,437.50 | 47.9100 | 8,384.25 | 946.75 | 308.00 | 3.67% |
| **416.000** | **Total** | | **$19,511.35** | | **$19,930.56** | **$419.21** | **$732.16** | |
| **3AETNA US HEALTHCARE INC COM** | | | *Security Identifier:* AET | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 240 000 | 05/12/06 | 39.9000 | 9,576.00 | 30.5100 | 7,322.40 | -2,253.60 | 9.60 | 0.13% |
| 70 000 | 08/14/07 | 47.4300 | 3,320.10 | 30.5100 | 2,135.70 | -1,184.40 | 2.80 | 0.13% |
| **310.000** | **Total** | | **$12,896.10** | | **$9,458.10** | **-$3,438.00** | **$12.40** | |
| **3AFFILIATED MANAGERS GROUP INC COM** | | | *Security Identifier:* AMG | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 99 000 | 10/23/03 | 45.6000 | 4,514.40 | 99.2200 | 9,822.78 | 5,308.38 | | |
| 115.000 | 06/16/05 | 66.6800 | 7,668.20 | 99.2200 | 11,410.30 | 3,742.10 | | |
| 45 000 | 08/14/07 | 106.0700 | 4,773.15 | 99.2200 | 4,464.90 | -308.25 | | |
| **259.000** | **Total** | | **$16,955.75** | | **$25,697.98** | **$8,742.23** | **$0.00** | |
| **AMGEN INC COM** | | | *Security Identifier:* AMGN | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 160.000 | 10/22/09 | 56.7800 | 9,084.78 | 54.9000 | 8,784.00 | -300.78 | | |
| 160.000 | 10/23/09 | 56.2500 | 9,000.00 | 54.9000 | 8,784.00 | -216.00 | | |
| **320.000** | **Total** | | **$18,084.78** | | **$17,568.00** | **-$516.78** | **$0.00** | |
| **APACHE CORP** | | | *Security Identifier:* APA | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 230 000 | 07/08/09 | 67.3730 | 15,495.70 | 119.2300 | 27,422 90 | 11,927.20 | 138 00 | 0.50% |

[redacted]

## Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| **3APPLE INC COM** | | | *Security Identifier:* AAPL | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 95.000 | 02/27/07 | 86.5760 | 8,224.75 | 322.5600 | 30,643.20 | 22,418.45 | | |
| 35.000 | 08/14/07 | 124.8000 | 4,368.00 | 322.5600 | 11,289.60 | 6,921.60 | | |
| **130.000** | **Total** | | **$12,592.75** | | **$41,932.80** | **$29,340.05** | **$0.00** | |
| **BANK OF AMERICA COM** | | | *Security Identifier:* BAC | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 880.000 | 07/08/10 | 14.7470 | 12,977.09 | 13.3400 | 11,739.20 | -1,237.89 | 35.20 | 0.29% |
| 312.000 | 08/19/10 | 13.0400 | 4,068.48 | 13.3400 | 4,162.08 | 93.60 | 12.48 | 0.29% |
| **1,192.000** | **Total** | | **$17,045.57** | | **$15,901.28** | **-$1,144.29** | **$47.68** | |
| **3BANK OF NEW YORK MELLON CORP COM** | | | *Security Identifier:* BK | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 49.243 | 05/22/03 | 28.6430 | 1,410.47 | 30.2000 | 1,487.14 | 76.67 | 17.73 | 1.19% |
| 75.472 | 09/10/03 | 31.9590 | 2,412.00 | 30.2000 | 2,279.25 | -132.75 | 27.17 | 1.19% |
| 94.340 | 10/23/03 | 31.9690 | 3,016.00 | 30.2000 | 2,849 07 | -166.93 | 33.96 | 1.19% |
| 141.510 | 02/13/04 | 34.4500 | 4,875.00 | 30.2000 | 4,273.60 | -601.40 | 50.94 | 1.19% |
| 259.435 | 06/16/05 | 30.9590 | 8,031.93 | 30.2000 | 7,834.94 | -196.99 | 93.40 | 1.19% |
| **620.000** | **Total** | | **$19,745.40** | | **$18,724.00** | **-$1,021.40** | **$223.20** | |
| **3BERKSHIRE HATHAWAY INC DEL CL B NEW** | | | *Security Identifier:* BRK B | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 141.000 | 10/28/09 | 65.5200 | 9,238.25 | 80.1100 | 11,295.51 | 2,057.26 | | |
| 100.000 | 12/29/09 | 65.6000 | 6,560.00 | 80.1100 | 8,011.00 | 1,451.00 | | |
| 85.000 | 06/04/10 | 70.8500 | 6,022.25 | 80.1100 | 6,809.35 | 787.10 | | |
| **326.000** | **Total** | | **$21,820.50** | | **$26,115.86** | **$4,295.36** | **$0.00** | |
| **CHEVRON CORP NEW COM** | | | *Security Identifier:* CVX | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 250.000 | 06/04/10 | 71.5300 | 17,882.50 | 91.2500 | 22,812.50 | 4,930.00 | 720.00 | 3.15% |
| **3CISCO SYSTEMS INC** | | | *Security Identifier:* CSCO | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 185.000 | 09/10/03 | 20.8200 | 3,851.70 | 20.2300 | 3,742.55 | -109.15 | | |
| 150 000 | 10/23/03 | 20.1500 | 3,022.50 | 20.2300 | 3,034.50 | 12.00 | | |
| 200.000 | 02/13/04 | 24.0800 | 4,816.00 | 20.2300 | 4,046.00 | -770.00 | | |
| 465.000 | 06/16/05 | 19.4260 | 9,033.09 | 20.2300 | 9,406.95 | 373.86 | | |
| 550.000 | 12/03/10 | 19.1100 | 10,510.44 | 20.2300 | 11,126.50 | 616.06 | | |
| **1,550.000** | **Total** | | **$31,233.73** | | **$31,356.50** | **$122.77** | **$0.00** | |
| **3CITIGROUP INC COM** | | | *Security Identifier:* C | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 120.000 | 05/22/03 | 38.7820 | 4,653.85 | 4.7300 | 567.60 | -4,086.25 | | |
| 60.000 | 08/26/03 | 43.3400 | 2,600.40 | 4.7300 | 283.80 | -2,316.60 | | |

## Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| **CITIGROUP INC COM** *(continued)* | | | | | | | | |
| 40.000 | 10/23/03 | 47.4000 | 1,896.00 | 4.7300 | 189.20 | -1,706.80 | | |
| 120.000 | 02/13/04 | 49.4500 | 5,934.00 | 4.7300 | 567.60 | -5,366.40 | | |
| 135.000 | 06/16/05 | 47.4700 | 6,408.45 | 4.7300 | 638.55 | -5,769.90 | | |
| 100.000 | 05/17/06 | 48.7370 | 4,873.65 | 4.7300 | 473.00 | -4,400.65 | | |
| 40.000 | 08/14/07 | 45.7000 | 1,828.00 | 4.7300 | 189.20 | -1,638.80 | | |
| **615.000** | **Total** | | **$28,194.35** | | **$2,908.95** | **-$25,285.40** | **$0.00** | |
| **COCA COLA COMPANY** | | | *Security Identifier:* KO | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 355.000 | 08/31/09 | 48.6000 | 17,253.00 | 65.7700 | 23,348.35 | 6,095.35 | 624.80 | 2.67% |
| **3COLGATE PALMOLIVE CO** | | | *Security Identifier:* CL | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 60.000 | 02/13/04 | 55.1700 | 3,310.20 | 80.3700 | 4,822 20 | 1,512.00 | 127.20 | 2.63% |
| 160.000 | 06/16/05 | 49.0100 | 7,841.60 | 80.3700 | 12,859.20 | 5,017.60 | 339.20 | 2.63% |
| **220.000** | **Total** | | **$11,151.80** | | **$17,681.40** | **$6,529.60** | **$466.40** | |
| **COMCAST CORP CL A** | | | *Security Identifier:* CMCSA | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 305.000 | 06/12/09 | 14.1770 | 4,324.01 | 21.9700 | 6,700.85 | 2,376.84 | 115.29 | 1.72% |
| 600.000 | 10/14/09 | 15.3280 | 9,196.80 | 21.9700 | 13,182.00 | 3,985.20 | 226.80 | 1.72% |
| **905.000** | **Total** | | **$13,520.81** | | **$19,882.85** | **$6,362.04** | **$342.09** | |
| **3EXXON MOBIL CORP COM** | | | *Security Identifier:* XOM | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 5.000 | 08/01/03 | 35.5700 | 177.85 | 73.1200 | 365.60 | 187.75 | 8.80 | 2.40% |
| 60.000 | 10/23/03 | 37.1000 | 2,226.00 | 73.1200 | 4,387.20 | 2,161.20 | 105.60 | 2.40% |
| 120.000 | 02/17/04 | 42.2500 | 5,070.00 | 73.1200 | 8,774.40 | 3,704.40 | 211.20 | 2.40% |
| 35.000 | 06/16/05 | 59.9900 | 2,099.65 | 73.1200 | 2,559.20 | 459.55 | 61.60 | 2 40% |
| 30.000 | 08/14/07 | 83.2400 | 2,497.20 | 73.1200 | 2,193.60 | -303.60 | 52.80 | 2.40% |
| **250.000** | **Total** | | **$12,070.70** | | **$18,280.00** | **$6,209.30** | **$440.00** | |
| **3FLUOR CORP NEW COM** | | | *Security Identifier:* FLR | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 227.000 | 12/18/07 | 66.7530 | 15,152.92 | 66.2600 | 15,041.02 | -111.90 | 113.50 | 0.75% |

# Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| **3GENERAL ELECTRIC CO COM** | | | *Security Identifier:* GE | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 270.000 | 08/01/03 | 28.4400 | 7,678.80 | 18.2900 | 4,938.30 | -2,740.50 | 151.20 | 3.06% |
| 100.000 | 10/23/03 | 28.3300 | 2,833.00 | 18.2900 | 1,829.00 | -1,004.00 | 56.00 | 3.06% |
| 130.000 | 02/13/04 | 32.7700 | 4,260.10 | 18.2900 | 2,377.70 | -1,882.40 | 72.80 | 3.06% |
| 25.000 | 03/12/04 | 30.7400 | 768.50 | 18.2900 | 457.25 | -311.25 | 14.00 | 3.06% |
| 100.000 | 06/16/05 | 36.1300 | 3,613.00 | 18.2900 | 1,829.00 | -1,784.00 | 56.00 | 3.06% |
| 225.000 | 05/11/06 | 34.2740 | 7,711.58 | 18.2900 | 4,115.25 | -3,596.33 | 126.00 | 3.06% |
| 100 000 | 08/14/07 | 37.7400 | 3,774.00 | 18.2900 | 1,829 00 | -1,945 00 | 56 00 | 3.06% |
| 145.000 | 10/19/10 | 16.0600 | 2,328.70 | 18.2900 | 2,652 05 | 323 35 | 81.20 | 3.06% |
| 135.000 | 11/16/10 | 15.8400 | 2,138.37 | 18.2900 | 2,469.15 | 330.78 | 75.60 | 3 06% |
| **1,230.000** | **Total** | | **$35,106.05** | | **$22,496.70** | **-$12,609.35** | **$688.80** | |
| **GILEAD SCIENCES INC** | | | *Security Identifier:* GILD | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 340.000 | 05/15/09 | 43.6200 | 14,830.80 | 36.2400 | 12,321.60 | -2,509.20 | | |
| 180.000 | 10/30/09 | 42.6500 | 7,677.00 | 36.2400 | 6,523.20 | -1,153.80 | | |
| **520.000** | **Total** | | **$22,507.80** | | **$18,844.80** | **-$3,663.00** | **$0.00** | |
| **GOLDMAN SACHS GROUP INC COM** | | | *Security Identifier:* GS | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 60.000 | 04/16/10 | 160.5000 | 9,630.00 | 168.1600 | 10,089.60 | 459.60 | 84 00 | 0.83% |
| 35.000 | 09/23/10 | 146 0000 | 5,110.00 | 168.1600 | 5,885.60 | 775.60 | 49.00 | 0.83% |
| **95.000** | **Total** | | **$14,740.00** | | **$15,975.20** | **$1,235.20** | **$133.00** | |
| **3GOOGLE INC CL A** | | | *Security Identifier:* GOOG | | | | | |
| Dividend Option. Cash | | | | | | | | |
| 40.000 | 02/01/08 | 515.3820 | 20,615.28 | 593.9700 | 23,758.80 | 3,143.52 | | |
| 30.000 | 03/04/08 | 446.5970 | 13,397.91 | 593.9700 | 17,819.10 | 4,421.19 | | |
| **70.000** | **Total** | | **$34,013.19** | | **$41,577.90** | **$7,564.71** | **$0.00** | |
| **3HOSPIRA INC COM** | | | *Security Identifier:* HSP | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 118 000 | 10/05/04 | 29.9470 | 3,533.72 | 55.6900 | 6,571.42 | 3,037.70 | | |
| 30.000 | 06/16/05 | 38.9800 | 1,169.40 | 55.6900 | 1,670.70 | 501.30 | | |
| 180.000 | 08/14/07 | 38.6600 | 6,958.80 | 55.6900 | 10,024.20 | 3,065.40 | | |
| **328.000** | **Total** | | **$11,661.92** | | **$18,266.32** | **$6,604.40** | **$0.00** | |
| **JP MORGAN CHASE & CO COM** | | | *Security Identifier:* JPM | | | | | |
| ISIN#US46625H1005 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 240.000 | 09/29/10 | 38.5900 | 9,261.60 | 42.4200 | 10,180.80 | 919.20 | 48.00 | 0.47% |
| 148 000 | 11/26/10 | 37.7300 | 5,584.04 | 42.4200 | 6,278.16 | 694.12 | 29.60 | 0.47% |
| **388.000** | **Total** | | **$14,845.64** | | **$16,458.96** | **$1,613.32** | **$77.60** | |

## Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| **LEAR CORP COM NEW** | | | *Security Identifier:* LEA | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 64.000 | 11/30/09 | 63.0000 | 4,032.00 | 98.7100 | 6,317.44 | 2,285.44 | | |
| 105.000 | 05/07/10 | 71.9340 | 7,553.12 | 98.7100 | 10,364.55 | 2,811.43 | | |
| **169.000** | **Total** | | **$11,585.12** | | **$16,681.99** | **$5,096.87** | **$0.00** | |
| **MERCK & CO INC NEW COM** | | | *Security Identifier:* MRK | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 275 000 | 04/14/10 | 36.0200 | 9,905.50 | 36.0400 | 9,911.00 | 5.50 | 418.00 | 4 21% |
| 100.000 | 04/21/10 | 34.7400 | 3,474.00 | 36.0400 | 3,604.00 | 130.00 | 152.00 | 4.21% |
| 180.000 | 05/07/10 | 33.5000 | 6,030.00 | 36.0400 | 6,487.20 | 457.20 | 273.60 | 4.21% |
| **555.000** | **Total** | | **$19,409.50** | | **$20,002.20** | **$592.70** | **$843.60** | |
| **3MICROSOFT CORP COM** | | | *Security Identifier:* MSFT | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 100.000 | 05/22/03 | 24.1990 | 2,419.92 | 27.9200 | 2,792.00 | 372.08 | 64.00 | 2.29% |
| 100.000 | 08/01/03 | 26.3300 | 2,633.00 | 27.9200 | 2,792.00 | 159.00 | 64.00 | 2.29% |
| 60.000 | 10/23/03 | 28.9400 | 1,736.40 | 27.9200 | 1,675.20 | -61.20 | 38.40 | 2.29% |
| 40.000 | 12/30/03 | 27.4650 | 1,098.60 | 27.9200 | 1,116.80 | 18.20 | 25.60 | 2.29% |
| 225.000 | 02/17/04 | 26.7100 | 6,009.75 | 27.9200 | 6,282.00 | 272 25 | 144.00 | 2.29% |
| 275.000 | 06/16/05 | 25.0090 | 6,877.48 | 27.9200 | 7,678.00 | 800.52 | 176.00 | 2.29% |
| 200.000 | 08/14/07 | 28.4000 | 5,680.00 | 27.9200 | 5,584.00 | -96.00 | 128.00 | 2.29% |
| 180.000 | 05/07/10 | 27.7000 | 4,986.00 | 27.9200 | 5,025.60 | 39.60 | 115.20 | 2.29% |
| **1,180.000** | **Total** | | **$31,441.15** | | **$32,945.60** | **$1,504.45** | **$755.20** | |
| **MICRON TECHNOLOGY INC** | | | *Security Identifier:* MU | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 1,365.000 | 10/06/10 | 6.8650 | 9,370.31 | 8.0200 | 10,947.30 | 1,576.99 | | |
| **MORGAN STANLEY COM NEW** | | | *Security Identifier:* MS | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 315.000 | 01/20/10 | 30.7010 | 9,670.78 | 27.2100 | 8,571.15 | -1,099.63 | 63.00 | 0.73% |
| 175.000 | 01/21/10 | 28.8000 | 5,040.00 | 27.2100 | 4,761.75 | -278.25 | 35.00 | 0.73% |
| 180.000 | 01/22/10 | 27.9000 | 5,022.00 | 27.2100 | 4,897.80 | -124.20 | 36.00 | 0.73% |
| 180.000 | 01/27/10 | 27.2600 | 4,906.80 | 27.2100 | 4,897.80 | -9.00 | 36.00 | 0.73% |
| **850.000** | **Total** | | **$24,639.58** | | **$23,128.50** | **-$1,511.08** | **$170.00** | |

# Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| **ORACLE CORP COM** | | | *Security Identifier:* ORCL | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 446 000 | 11/29/10 | 27.1400 | 12,104.44 | 31.3000 | 13,959.80 | 1,855.36 | 89.20 | 0.63% |
| **PEPSICO INC** | | | *Security Identifier:* PEP | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 295.000 | 11/24/09 | 62.2200 | 18,354.90 | 65.3300 | 19,272.35 | 917.45 | 566.40 | 2.93% |
| **3PRAXAIR INC** | | | *Security Identifier:* PX | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 28.000 | 03/17/04 | 35.8560 | 1,003.97 | 95.4700 | 2,673.16 | 1,669.19 | 50.40 | 1.88% |
| 75.000 | 01/24/08 | 77.2150 | 5,791.13 | 95.4700 | 7,160.25 | 1,369.12 | 135.00 | 1.88% |
| **103.000** | **Total** | | **$6,795.10** | | **$9,833.41** | **$3,038.31** | **$185.40** | |
| **3PROCTER & GAMBLE CO COM** | | | *Security Identifier:* PG | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 450.000 | 03/08/07 | 62.2090 | 27,993.94 | 64.3300 | 28,948.50 | 954.56 | 867.24 | 2.99% |
| **3QUALCOMM INC** | | | *Security Identifier:* QCOM | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 525.000 | 06/13/06 | 42.7520 | 22,445.02 | 49.4900 | 25,982.25 | 3,537.23 | 399.00 | 1 53% |
| 75.000 | 08/14/07 | 37.9500 | 2,846.25 | 49.4900 | 3,711.75 | 865.50 | 57.00 | 1.53% |
| **600.000** | **Total** | | **$25,291.27** | | **$29,694.00** | **$4,402.73** | **$456.00** | |
| **3QUEST DIAGNOSTICS INC COM** | | | *Security Identifier:* DGX | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 145.000 | 08/05/05 | 47.0880 | 6,827.79 | 53.9700 | 7,825.65 | 997.86 | 58.00 | 0.74% |
| 50.000 | 08/14/07 | 54.4000 | 2,720.00 | 53.9700 | 2,698.50 | -21.50 | 20.00 | 0.74% |
| **195.000** | **Total** | | **$9,547.79** | | **$10,524.15** | **$976.36** | **$78.00** | |
| **3SPX CORPORATION** | | | *Security Identifier:* SPW | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 137.000 | 01/11/08 | 95.4590 | 13,077.82 | 71.4900 | 9,794.13 | -3,283.69 | 137.00 | 1.39% |
| **3SCHLUMBERGER LTD COM ISIN#AN8068571086** | | | *Security Identifier:* SLB | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 91.000 | 08/01/03 | 22 5270 | 2,049.98 | 83.5000 | 7,598.50 | 5,548.52 | 76.44 | 1.00% |
| 100.000 | 10/23/03 | 23.6800 | 2,368.00 | 83.5000 | 8,350.00 | 5,982.00 | 84 00 | 1.00% |
| 100.000 | 06/16/05 | 37.7350 | 3,773.50 | 83.5000 | 8,350.00 | 4,576.50 | 84.00 | 1.00% |
| **291.000** | **Total** | | **$8,191.48** | | **$24,298.50** | **$16,107.02** | **$244.44** | |
| **SUNCOR ENERGY INC NEW COM** | | | *Security Identifier:* SU | | | | | |
| ISIN#CA8672241079 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 300.000 | 04/12/05 | 19.3900 | 5,816.95 | 38.2900 | 11,487.00 | 5,670.05 | | |

[redacted]

CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC
2121 Avenue of the Stars
Suite 3300
Los Angeles, CA 90067-5014
(800) 237-6022

# Brokerage Account Statement

Statement Period: 12/01/2010 - 12/31/2010

## Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| **SUNCOR ENERGY INC NEW COM** *(continued)* | | | | | | | | |
| 325.000 | 05/25/10 | 28.1000 | 9,132.50 | 38.2900 | 12,444.25 | 3,311.75 | | |
| **625.000** | **Total** | | **$14,949.45** | | **$23,931.25** | **$8,981.80** | **$0.00** | |
| **TJX COMPANIES INC (NEW)** | | | *Security Identifier:* TJX | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 230.000 | 12/15/10 | 44.0000 | 10,120.00 | 44.3900 | 10,209.70 | 89.70 | 138.00 | 1.35% |
| **3TEVA PHARMACEUTICAL INDUSTRIES LTD ADR** | | | *Security Identifier:* TEVA | | | | | |
| ISIN#US8816242098 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 40.000 | 02/17/04 | 33.5000 | 1,340 00 | 52.1300 | 2,085.20 | 745 20 | 26 69 | 1.27% |
| 200.000 | 09/23/04 | 25.7330 | 5,146.64 | 52.1300 | 10,426.00 | 5,279.36 | 133.44 | 1.27% |
| 100.000 | 06/16/05 | 32.7100 | 3,271.00 | 52.1300 | 5,213.00 | 1,942.00 | 66.72 | 1.27% |
| 50.000 | 08/14/07 | 43.0800 | 2,154.00 | 52.1300 | 2,606.50 | 452.50 | 33.36 | 1.27% |
| 150.000 | 01/24/08 | 44.7600 | 6,714.00 | 52.1300 | 7,819.50 | 1,105.50 | 100.07 | 1.27% |
| **540.000** | **Total** | | **$18,625.64** | | **$28,150.20** | **$9,524.56** | **$360.28** | |
| **33M CO COM** | | | *Security Identifier:* MMM | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 20.000 | 05/22/03 | 61.7980 | 1,235.96 | 86.3000 | 1,726.00 | 490.04 | 42.00 | 2 43% |
| 30.000 | 09/10/03 | 68.6050 | 2,058.15 | 86.3000 | 2,589.00 | 530.85 | 63.00 | 2.43% |
| 30.000 | 10/23/03 | 75.6300 | 2,268.90 | 86.3000 | 2,589.00 | 320.10 | 63.00 | 2.43% |
| 60.000 | 03/12/04 | 75.3500 | 4,521.00 | 86.3000 | 5,178.00 | 657.00 | 126.00 | 2.43% |
| 100.000 | 06/16/05 | 76 5700 | 7,657.00 | 86.3000 | 8,630.00 | 973.00 | 210.00 | 2.43% |
| 25.000 | 05/17/06 | 84.8370 | 2,120.93 | 86.3000 | 2,157.50 | 36.57 | 52.50 | 2.43% |
| 50.000 | 08/14/07 | 85.9000 | 4,295.00 | 86.3000 | 4,315.00 | 20.00 | 105.00 | 2.43% |
| **315.000** | **Total** | | **$24,156.94** | | **$27,184.50** | **$3,027.56** | **$661.50** | |
| **TIME WARNER INC NEW COM NEW** | | | *Security Identifier:* TWX | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 370.000 | 07/09/10 | 29.9500 | 11,081.50 | 32.1700 | 11,902.90 | 821.40 | 314.50 | 2.64% |
| **3UNITED TECHNOLOGIES CORP COM** | | | *Security Identifier:* UTX | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 100.000 | 10/23/03 | 41.3070 | 4,130.67 | 78.7200 | 7,872.00 | 3,741.33 | 170 00 | 2.15% |
| 120.000 | 03/12/04 | 42.9500 | 5,154.00 | 78.7200 | 9,446.40 | 4,292.40 | 204.00 | 2.15% |





[redacted]
THE LAWRENCE FOUNDATION

[redacted]

## Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| **UNITED TECHNOLOGIES CORP COM** *(continued)* | | | | | | | | |
| 50.000 | 06/16/05 | 52.5500 | 2,627.50 | 78.7200 | 3,936.00 | 1,308.50 | 85 00 | 2.15% |
| 270.000 | **Total** | | **$11,912.17** | | **$21,254.40** | **$9,342.23** | **$459.00** | |
| **VALERO ENERGY CORP NEW COM** | | | *Security Identifier:* VLO | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 1,125.000 | 12/08/09 | 16.1980 | 18,223.08 | 23.1200 | 26,010.00 | 7,786.92 | 225.00 | 0.86% |
| **VERIZON COMMUNICATIONS COM** | | | *Security Identifier:* VZ | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 375.000 | 01/20/10 | 28.8900 | 10,833.77 | 35.7800 | 13,417.50 | 2,583.73 | 731.25 | 5.44% |
| **VISA INC COM CL A** | | | *Security Identifier:* V | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 268.000 | 09/16/10 | 68.1990 | 18,277.36 | 70.3800 | 18,861.84 | 584 48 | 160.80 | 0.85% |
| **Total Common Stocks** | | | **$808,669.09** | | **$941,501.70** | **$132,832.61** | **$12,371.17** | |
| **Total Equities** | | | **$808,669.09** | | **$941,501.70** | **$132,832.61** | **$12,371.17** | |

| | Cost Basis | Market Value | Unrealized Gain/Loss | Accrued Interest | Estimated Annual Income |
|---|---|---|---|---|---|
| **Total Portfolio Holdings** | **$894,420.65** | **$1,027,253.26** | **$132,832.61** | **$0.00** | **$12,375.40** |

3 The cost basis of securities positions acquired prior to the availability of the PORTFOLIO EVALUATION SERVICE for this account, or delivered into this account, has been provided to PERSHING by your financial institution, and we make no representation as to the accuracy of such cost basis.

**Disclosures and Other Information**

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

The Estimated Price as of Date only appears when the price date does not equal the statement date.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

## Summary of Gains and Losses

| | Realized This Period | Realized Year-to-Date | Unrealized |
|---|---|---|---|
| Short-Term Gain/Loss | 0.00 | 4,026.38 | 9,575.00 |
| Long-Term Gain/Loss | 1,658.89 | 4,080.77 | 1,548.65 |
| **Net Gain/Loss** | **1,658.89** | **8,107.15** | **11,123.65** |

This summary excludes transactions where cost basis information is not available.

## Customer Service Information

| Your Relationship Manager: 01X | Contact Information | Customer Service Information |
|---|---|---|
| YOSHIMURA/WEBSTER<br>PRIVATE BANKING USA<br>2121 AVE OF THE STARS STE 3300<br>LOS ANGELES CA 90067-5060 | **Telephone Number:** (800) 237-5022<br>**Fax Number:** (310) 282-8053 | **Web Site:** www.credit-suisse.com |

**Portfolio Manager:** TRADEWINDS GLOBAL INVESTORS, LLC

**Portfolio Investment Style:** INTERNATIONAL EQUITY VALUE

## Portfolio Holdings

| Quantity | Opening Date | Account Number | Activity Ending | Opening Balance | Closing Balance | Accrued Income | Income This Year | 30-Day Yield | Current Yield |
|---|---|---|---|---|---|---|---|---|---|
| **Cash, Money Funds, and FDIC Deposits** 6.00% of Portfolio | | | | | | | | | |
| **Cash Balance** | | | | 102.83 | 16.03 | | | | |
| **Money Market** | | | | | | | | | |
| **FEDERATED PRIME CASH SERIES** | | | | | | | | | |
| 20,960.350 | 12/01/10 | 0000127972 | 12/31/10 | 18,877.50 | 20,960.35 | 0.00 | 1.86 | 0.01% | 0 01% |
| **Total Money Market** | | | | **$18,877.50** | **$20,960.35** | **$0.00** | **$1.86** | | |
| **Total Cash, Money Funds, and FDIC Deposits** | | | | **$18,980.33** | **$20,976.38** | **$0.00** | **$1.86** | | |

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** 94.00% of Portfolio | | | | | | | | |
| **Common Stocks** | | | | | | | | |
| **AXIS CAPITAL HLDGS LTD SHS**<br>ISIN#BMG0692U1099<br>Dividend Option: Cash | | | *Security Identifier:* AXS | | | | | |
| 95.000 | 10/22/09 | 30.5260 | 2,899.98 | 35.8800 | 3,408.60 | 508.62 | 87.40 | 2 56% |
| 10.000 | 02/01/10 | 28.6400 | 286.40 | 35.8800 | 358.80 | 72.40 | 9.20 | 2.56% |
| 42.000 | 02/03/10 | 28.6200 | 1,202.04 | 35.8800 | 1,506.96 | 304.92 | 38.64 | 2.56% |
| **147.000** | **Total** | | **$4,388.42** | | **$5,274.36** | **$885.94** | **$135.24** | |

[redacted]



## Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| 3UBS AG SHS NEW | | | *Security Identifier:* UBS | | | | | |
| ISIN#CH0024899483 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 12.350 | 01/18/08 | 38.9580 | 481.13 | 16.4700 | 203.40 | -277.73 | | |
| 88.200 | 01/22/08 | 37.1990 | 3,280.93 | 16.4700 | 1,452.65 | -1,828.28 | | |
| 30.450 | 02/29/08 | 31.1820 | 949.49 | 16.4700 | 501 51 | -447.98 | | |
| 42.000 | 06/19/08 | 20.1500 | 846.30 | 16.4700 | 691.74 | -154.56 | | |
| 73.000 | 01/22/10 | 14.2890 | 1,043.09 | 16 4700 | 1,202.32 | 159.23 | | |
| **246.000** | **Total** | | **$6,600.94** | | **$4,051.62** | **-$2,549.32** | **$0.00** | |
| 3ALCATEL LUCENT SPON ADR | | | *Security Identifier:* ALU | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 412.000 | 07/20/07 | 13.7370 | 5,659.60 | 2.9600 | 1,219.52 | -4,440.08 | | |
| 424.000 | 08/15/07 | 10.8900 | 4,617.36 | 2.9600 | 1,255.04 | -3,362.32 | | |
| 519.000 | 09/24/07 | 9.0180 | 4,680.13 | 2.9600 | 1,536.24 | -3,143.89 | | |
| **1,355.000** | **Total** | | **$14,957.09** | | **$4,010.80** | **-$10,946.29** | **$0.00** | |
| ALLIANZ SE SPONS ADR REPSTG 1/10 SHS | | | *Security Identifier:* AZSEY | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 260.000 | 05/21/10 | 10.1580 | 2,641.18 | 11.9340 | 3,102.84 | 461.66 | 99.26 | 3.19% |
| 3ALUMINA LTD SPONSORED ADR | | | *Security Identifier:* AWC | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 54.000 | 02/18/05 | 18.8540 | 1,018.12 | 10.1800 | 549.72 | -468.40 | 8.27 | 1.50% |
| 45.000 | 08/03/06 | 19.6310 | 883.41 | 10.1800 | 458.10 | -425.31 | 6.89 | 1.50% |
| 136.000 | 08/17/07 | 18.7080 | 2,544.34 | 10.1800 | 1,384.48 | -1,159.86 | 20.83 | 1.50% |
| 165.000 | 05/19/10 | 5.5330 | 912.99 | 10.1800 | 1,679.70 | 766.71 | 25.28 | 1.50% |
| **400.000** | **Total** | | **$5,358.86** | | **$4,072.00** | **-$1,286.86** | **$61.27** | |
| 3ANGLOGOLD ASHANTI LTD SPONORED ADR | | | *Security Identifier:* AU | | | | | |
| ISIN#US0351282068 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 40.000 | 03/17/08 | 34.0490 | 1,361.94 | 49.2300 | 1,969.20 | 607.26 | 7.40 | 0 37% |
| 82 000 | 07/10/08 | 24.6150 | 2,018.40 | 49 2300 | 4,036.86 | 2,018.46 | 15.16 | 0.37% |
| 28.000 | 01/21/10 | 39.0800 | 1,094.24 | 49.2300 | 1,378.44 | 284.20 | 5.18 | 0.37% |
| **150.000** | **Total** | | **$4,474.58** | | **$7,384.50** | **$2,909.92** | **$27.74** | |

[redacted]

# Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| **ASTRAZENECA PLC SPONSORED ADR** | | | *Security Identifier:* AZN | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 46.000 | 01/12/10 | 47.4300 | 2,181.79 | 46.1900 | 2,124.74 | -57.05 | 110 86 | 5.21% |
| 30.000 | 01/14/10 | 49.3990 | 1,481.98 | 46.1900 | 1,385.70 | -96.28 | 72.30 | 5.21% |
| 37.000 | 04/22/10 | 45.4500 | 1,681.65 | 46.1900 | 1,709.03 | 27.38 | 89.17 | 5.21% |
| **113.000** | **Total** | | **$5,345.42** | | **$5,219.47** | **-$125.95** | **$272.33** | |
| [3]**BARRICK GOLD CORP COM** | | | *Security Identifier:* ABX | | | | | |
| ISIN#CA0679011084 | | | | | | | | |
| Dividend Option· Cash | | | | | | | | |
| 1.000 | 08/15/07 | 32.4600 | 32.46 | 53.1800 | 53.18 | 20.72 | | |
| 22.000 | 03/20/08 | 43.0580 | 947.28 | 53.1800 | 1,169.96 | 222.68 | | |
| 56.000 | 04/29/08 | 37.5740 | 2,104.12 | 53.1800 | 2,978.08 | 873.96 | | |
| 22.000 | 04/09/09 | 28.8160 | 633.95 | 53.1800 | 1,169.96 | 536 01 | | |
| 62.000 | 08/18/09 | 33.4730 | 2,075.34 | 53.1800 | 3,297.16 | 1,221.82 | | |
| 36.000 | 12/17/09 | 38.4820 | 1,385.35 | 53.1800 | 1,914.48 | 529.13 | | |
| **199.000** | **Total** | | **$7,178.50** | | **$10,582.82** | **$3,404.32** | **$0.00** | |
| [3]**CAMECO CORP COM ISIN#CA13321L1085** | | | *Security Identifier:* CCJ | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 49.000 | 09/11/08 | 23.8860 | 1,170.43 | 40.3800 | 1,978.62 | 808.19 | | |
| 39.000 | 03/03/10 | 27.0180 | 1,053.71 | 40.3800 | 1,574.82 | 521.11 | | |
| 20.000 | 04/06/10 | 27.1730 | 543.46 | 40.3800 | 807.60 | 264.14 | | |
| 50.000 | 04/07/10 | 26.9170 | 1,345.87 | 40.3800 | 2,019.00 | 673.13 | | |
| 51.000 | 05/25/10 | 23.5370 | 1,200.38 | 40.3800 | 2,059.38 | 859.00 | | |
| **209.000** | **Total** | | **$5,313.85** | | **$8,439.42** | **$3,125.57** | **$0.00** | |
| **CAREFOUR SA ADR** | | | *Security Identifier:* CRERY | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 157.000 | 09/25/09 | 9.0590 | 1,422.26 | 8.2770 | 1,299.49 | -122.77 | 31.78 | 2.44% |
| 161.000 | 10/05/09 | 8.7390 | 1,406.90 | 8.2770 | 1,332.60 | -74.30 | 32.59 | 2.44% |
| 143 000 | 10/22/09 | 9.1590 | 1,309.69 | 8.2770 | 1,183.61 | -126.08 | 28.95 | 2.44% |
| 170 000 | 10/28/09 | 8 8010 | 1,496.14 | 8.2770 | 1,407.09 | -89.05 | 34.41 | 2.44% |
| 169.000 | 12/02/10 | 8.6640 | 1,464.15 | 8.2770 | 1,398.81 | -65.34 | 34.22 | 2.44% |
| **800.000** | **Total** | | **$7,099.14** | | **$6,621.60** | **-$477.54** | **$161.95** | |
| [3]**ELECTROBRAS CENTRAIS ELECTRICIAS ADR** | | | *Security Identifier:* EBR | | | | | |
| ISIN#US15234Q2075 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 84.000 | 08/17/07 | 11.5650 | 971.44 | 13.7500 | 1,155.00 | 183.56 | | |
| [3]**DAI NIPPON PRTG LTD JAPAN SPON ADR** | | | *Security Identifier:* DNPLY | | | | | |
| ISIN#US2338063066 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 206.000 | 06/19/06 | 15.7190 | 3,238.12 | 13.6370 | 2,809.22 | -428.90 | 61.35 | 2.18% |



[redacted] THE LAWRENCE FOUNDATION

CREDIT SUISSE SECURITIES (USA) LLC
2121 Avenue of the Stars
Suite 3300
Los Angeles, CA 90067 5014
(800) 237-5022

[redacted]

**Managed Account Statement**

Statement Period: 12/01/2010 - 12/31/2010

## Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| **DAI NIPPON PRTG LTD JAPAN SPON ADR** *(continued)* | | | | | | | | |
| 204.000 | 01/28/10 | 13.9590 | 2,847.62 | 13.6370 | 2,781.95 | -65.67 | 60.76 | 2.18% |
| **410.000** | **Total** | | **$6,085.74** | | **$5,591.17** | **-$494.57** | **$122.11** | |
| **3DAIWA HOUSE IND LTD ADR** | | | *Security Identifier:* DWAHY | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 8.000 | 11/20/07 | 118.8540 | 950.83 | 123.0500 | 984.40 | 33.57 | 14.07 | 1.42% |
| 15.000 | 02/13/08 | 104.9530 | 1,574.30 | 123.0500 | 1,845.75 | 271.45 | 26.38 | 1.42% |
| 5.000 | 03/11/08 | 96.1260 | 480.63 | 123.0500 | 615 25 | 134.62 | 8 79 | 1.42% |
| 11 000 | 09/04/08 | 90.4760 | 995.24 | 123.0500 | 1,353.55 | 358.31 | 19.35 | 1.42% |
| **39.000** | **Total** | | **$4,001.00** | | **$4,798.95** | **$797.95** | **$68.59** | |
| **ELECTRICITE DE FRANCE ADR** | | | *Security Identifier:* ECIFY | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 286.000 | 03/22/10 | 10.2800 | 2,940.14 | 8.3870 | 2,398.68 | -541.46 | 60.41 | 2.51% |
| 266.000 | 11/10/10 | 8.8480 | 2,353.59 | 8.3870 | 2,230.94 | -122.65 | 56.19 | 2.51% |
| **552.000** | **Total** | | **$5,293.73** | | **$4,629.62** | **-$664.11** | **$116.60** | |
| **FINMECCANICA SPA ADR** | | | *Security Identifier:* FINMY | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 231.000 | 05/14/10 | 5.8020 | 1,340.17 | 5.7050 | 1,317.85 | -22.32 | 38.64 | 2.93% |
| 249.000 | 05/19/10 | 5.6140 | 1,397.94 | 5.7050 | 1,420.55 | 22.61 | 41.65 | 2.93% |
| 548.000 | 10/04/10 | 5.9030 | 3,234.62 | 5.7050 | 3,126.34 | -108.28 | 91.66 | 2.93% |
| **1,028.000** | **Total** | | **$5,972.73** | | **$5,864.74** | **-$107.99** | **$171.95** | |
| **3FUJIFILM HLDGS CORP ADR 2 ORD** | | | *Security Identifier:* FUJIY | | | | | |
| ISIN#US35958N1072 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 109.000 | 05/10/05 | 35.2010 | 3,836.91 | 36.2000 | 3,945.80 | 108.89 | 25.50 | 0.64% |
| 8.000 | 12/13/05 | 33.0490 | 264.39 | 36.2000 | 289.60 | 25.21 | 1 87 | 0.64% |
| 60.000 | 08/15/07 | 43.5900 | 2,615.40 | 36.2000 | 2,172.00 | -443.40 | 14.04 | 0.64% |
| **177.000** | **Total** | | **$6,716.70** | | **$6,407.40** | **-$309.30** | **$41.41** | |




[redacted]

[redacted]

# Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| **GAZPROM O A O SPON ADR REG S** | | | *Security Identifier:* OGZPY | | | | | |
| RESTRICTION LIFTED ISIN#US3682872078 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 147.000 | 10/15/10 | 21.4430 | 3,152.14 | 25.0000 | 3,675.00 | 522.86 | 36.02 | 0 98% |
| **GLAXOSMITHKLINE PLC SPONS ADR** | | | *Security Identifier:* GSK | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 71.000 | 01/08/10 | 41.1240 | 2,919.78 | 39.2200 | 2,784.62 | -135.16 | 141.90 | 5.09% |
| 35.000 | 01/14/10 | 42.0880 | 1,473.07 | 39.2200 | 1,372.70 | -100.37 | 69.95 | 5.09% |
| 45.000 | 04/22/10 | 38.8370 | 1,747.65 | 39.2200 | 1,764.90 | 17.25 | 89.94 | 5.09% |
| **151.000** | **Total** | | **$6,140.50** | | **$5,922.22** | **-$218.28** | **$301.79** | |
| **3GOLD FIELDS LTD NEW SPONS ADR** | | | *Security Identifier:* GFI | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 241.000 | 02/05/08 | 13.5830 | 3,273.57 | 18.1300 | 4,369.33 | 1,095.76 | 38.34 | 0.87% |
| 52.000 | 04/07/08 | 13.8530 | 720 38 | 18.1300 | 942 76 | 222 38 | 8 27 | 0 87% |
| 50.000 | 05/12/08 | 14.0140 | 700.72 | 18.1300 | 906.50 | 205.78 | 7.95 | 0.87% |
| 76.000 | 05/13/08 | 13.8010 | 1,048.88 | 18.1300 | 1,377.88 | 329.00 | 12.09 | 0 87% |
| 95.000 | 02/04/10 | 11.1240 | 1,056.80 | 18.1300 | 1,722.35 | 665.55 | 15.12 | 0.87% |
| **514.000** | **Total** | | **$6,800.35** | | **$9,318.82** | **$2,518.47** | **$81.77** | |
| **3HACHIJUNI BK LTD ADR** | | | *Security Identifier:* HACBY | | | | | |
| Dividend Option· Cash | | | | | | | | |
| 8.000 | 05/28/08 | 66.5780 | 532.62 | 55.9770 | 447.82 | -84.80 | 5.06 | 1.13% |
| 5.000 | 05/29/08 | 67.2100 | 336.05 | 55.9770 | 279.89 | -56.16 | 3.16 | 1 13% |
| 15.000 | 07/17/08 | 63.1050 | 946.58 | 55.9770 | 839.66 | -106.92 | 9.49 | 1.13% |
| 9.000 | 07/18/08 | 62.9000 | 566.10 | 55.9770 | 503.79 | -62.31 | 5.70 | 1.13% |
| 14.000 | 10/05/09 | 53.3460 | 746.84 | 55.9770 | 783.67 | 36.83 | 8.87 | 1.13% |
| **51.000** | **Total** | | **$3,128.19** | | **$2,854.83** | **-$273.36** | **$32.28** | |
| **3IMPALA PLTUM HLD LTD SPONSORED ADR** | | | *Security Identifier:* IMPUY | | | | | |
| REPSTEG 1/4 SH | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 19 000 | 08/19/08 | 26.9600 | 512.24 | 35.2130 | 669.05 | 156.81 | 9.59 | 1.43% |
| 62.000 | 09/09/08 | 24.6510 | 1,528.35 | 35.2130 | 2,183.21 | 654.86 | 31.28 | 1.43% |
| 31.000 | 03/12/09 | 13.5290 | 419.41 | 35.2130 | 1,091.60 | 672.19 | 15 64 | 1.43% |
| **112.000** | **Total** | | **$2,460.00** | | **$3,943.86** | **$1,483.86** | **$56.51** | |
| **KAO CORP SPONSORED ADR REPSTG** | | | *Security Identifier:* KCRPY | | | | | |
| 10 SHS COM | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 50.000 | 04/22/09 | 19.5600 | 978.00 | 26.9770 | 1,348.85 | 370.85 | 28.86 | 2 13% |
| 170.000 | 04/23/09 | 20.1930 | 3,432.77 | 26.9770 | 4,586.09 | 1,153.32 | 98.12 | 2.13% |

## Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| Common Stocks *(continued)* | | | | | | | | |
| KAO CORP SPONSORED ADR REPSTG *(continued)* | | | | | | | | |
| 80.000 | 05/20/09 | 21.2910 | 1,703.31 | 26.9770 | 2,158.16 | 454.85 | 46.18 | 2.13% |
| **300.000** | **Total** | | **$6,114.08** | | **$8,093.10** | **$1,979.02** | **$173.16** | |
| KINROSS GOLD CORP COM NO PAR | | | *Security Identifier:* KGC | | | | | |
| ISIN#CA4969024047 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 65.000 | 07/07/09 | 18.4950 | 1,202.16 | 19.0356 | 1,237.32 | 35.16 | 6.50 | 0.52% |
| 70.000 | 08/19/09 | 18.3920 | 1,287.42 | 19.0356 | 1,332.49 | 45.07 | 7.00 | 0.52% |
| 80.000 | 08/20/09 | 18.6950 | 1,495.60 | 19.0356 | 1,522.85 | 27.25 | 8.00 | 0.52% |
| 101.000 | 10/30/09 | 18.2640 | 1,844.64 | 19.0356 | 1,922.60 | 77.96 | 10.10 | 0.52% |
| 37.000 | 02/04/10 | 16.5510 | 612.37 | 19.0356 | 704.32 | 91.95 | 3.70 | 0.52% |
| 43.000 | 08/18/10 | 15.4660 | 665.04 | 19.0356 | 818.53 | 153.49 | 4.30 | 0.52% |
| **396.000** | **Total** | | **$7,107.23** | | **$7,538.11** | **$430.88** | **$39.60** | |
| 3KOREA ELEC PWR CO SPONSORED ADR RPSTG | | | *Security Identifier:* KEP | | | | | |
| ISIN#US5006311063 1/2 SHS | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 55.000 | 06/07/04 | 9.0570 | 498.15 | 13.5100 | 743.05 | 244.90 | | |
| 189.000 | 02/17/05 | 14.1420 | 2,672.91 | 13.5100 | 2,553.39 | -119.52 | | |
| 35.000 | 09/21/06 | 19.8560 | 694.97 | 13.5100 | 472.85 | -222.12 | | |
| 79.000 | 08/15/07 | 21.9300 | 1,732.47 | 13.5100 | 1,067.29 | -665.18 | | |
| **358.000** | **Total** | | **$5,598.50** | | **$4,836.58** | **-$761.92** | **$0.00** | |
| MS&AD INS GROUP HLDGS ADR | | | *Security Identifier:* MSADY | | | | | |
| ISIN#US5534911012 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 120.000 | 10/15/07 | 20.5470 | 2,465.67 | 12.5450 | 1,505 40 | -960.27 | 29.67 | 1 97% |
| 198.000 | 12/03/07 | 18.1720 | 3,598.03 | 12.5450 | 2,483.91 | -1,114.12 | 48.95 | 1 97% |
| 64.000 | 08/04/10 | 11.4370 | 731.97 | 12.5450 | 802.88 | 70.91 | 15.82 | 1.97% |
| 135.000 | 08/12/10 | 11.0640 | 1,493.60 | 12.5450 | 1,693.58 | 199 98 | 33.37 | 1.97% |
| **517.000** | **Total** | | **$8,289.27** | | **$6,485.77** | **-$1,803.50** | **$127.81** | |

[redacted]



| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| **3MAGNA INTERNATIONAL INC COM** | | | *Security Identifier:* MGA | | | | | |
| ISIN#CA5592224011 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 31.000 | 07/10/08 | 28.1750 | 873.42 | 52.0000 | 1,612.00 | 738.58 | | |
| **3NEWCREST MINING LTD ADR** | | | *Security Identifier:* NCMGY | | | | | |
| Dividend Option. Cash | | | | | | | | |
| 15.000 | 07/12/06 | 15.5950 | 233.93 | 41.4530 | 621.80 | 387.87 | 3.25 | 0.52% |
| 68.000 | 08/12/08 | 20.7870 | 1,413.51 | 41.4530 | 2,818.81 | 1,405.30 | 14.73 | 0 52% |
| 20.000 | 08/07/09 | 25.1890 | 503.78 | 41.4530 | 829.06 | 325.28 | 4.33 | 0.52% |
| 37.000 | 08/10/09 | 25.1140 | 929.23 | 41.4530 | 1,533.76 | 604.53 | 8.01 | 0 52% |
| 25.000 | 05/28/10 | 27.3680 | 684.20 | 41.4530 | 1,036.32 | 352.12 | 5.41 | 0.52% |
| **165.000** | **Total** | | **$3,764.65** | | **$6,839.75** | **$3,075.10** | **$35.73** | |
| **3NEXEN INC COM SHS** | | | *Security Identifier:* NXY | | | | | |
| ISIN#CA65334H1029 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 60.000 | 10/04/07 | 30.3980 | 1,823.90 | 22.9000 | 1,374.00 | -449.90 | | |
| 32.000 | 08/05/08 | 30.2360 | 967 55 | 22.9000 | 732.80 | -234.75 | | |
| 82.000 | 01/29/09 | 15.0530 | 1,234.38 | 22.9000 | 1,877.80 | 643.42 | | |
| 69.000 | 10/28/09 | 21.9550 | 1,514.92 | 22.9000 | 1,580.10 | 65.18 | | |
| 75 000 | 05/18/10 | 21.4630 | 1,609.73 | 22.9000 | 1,717.50 | 107.77 | | |
| 15.000 | 10/07/10 | 20.7320 | 310.98 | 22.9000 | 343.50 | 32.52 | | |
| 10.000 | 10/08/10 | 20.6990 | 206.99 | 22.9000 | 229.00 | 22.01 | | |
| 16.000 | 10/20/10 | 21.6440 | 346.30 | 22.9000 | 366.40 | 20.10 | | |
| **359.000** | **Total** | | **$8,014.75** | | **$8,221.10** | **$206.35** | **$0.00** | |
| **NINTENDO LTD ADR** | | | *Security Identifier:* NTDOY | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 14.000 | 08/03/09 | 33.9500 | 475.30 | 36.7270 | 514.18 | 38.88 | 14 14 | 2 75% |
| 41.000 | 08/20/09 | 32 3690 | 1,327.14 | 36.7270 | 1,505.81 | 178.67 | 41.42 | 2.75% |
| 48.000 | 08/27/09 | 32.4840 | 1,559.21 | 36.7270 | 1,762.90 | 203.69 | 48.50 | 2.75% |
| 45.000 | 10/04/10 | 31.7240 | 1,427.56 | 36.7270 | 1,652.71 | 225.15 | 45.47 | 2.75% |
| **148.000** | **Total** | | **$4,789.21** | | **$5,435.60** | **$646.39** | **$149.53** | |
| **3NIPPON TELEG & TELEPHONE CORP** | | | *Security Identifier:* NTT | | | | | |
| SPONSORED ADR | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 159.000 | 08/15/07 | 21.4390 | 3,408.83 | 22.9400 | 3,647.46 | 238.63 | 101.19 | 2.77% |
| 95 000 | 09/26/07 | 22.3800 | 2,126.09 | 22.9400 | 2,179.30 | 53.21 | 60.46 | 2.77% |
| 77.000 | 09/27/07 | 22.9310 | 1,765.68 | 22.9400 | 1,766.38 | 0.70 | 49.00 | 2.77% |
| 85.000 | 04/14/09 | 19.3420 | 1,644.04 | 22.9400 | 1,949.90 | 305.86 | 54.09 | 2.77% |
| 80.000 | 12/15/10 | 22.7450 | 1,819.56 | 22.9400 | 1,835.20 | 15.64 | 50 91 | 2.77% |
| **496.000** | **Total** | | **$10,764.20** | | **$11,378.24** | **$614.04** | **$315.65** | |

## Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| **NOKIA CORP SPONSORED ADR** | | *Security Identifier:* NOK | | | | | | |
| Dividend Option Cash | | | | | | | | |
| 159.000 | 02/02/09 | 12.0840 | 1,921.42 | 10.3200 | 1,640.88 | -280.54 | 55.81 | 3.40% |
| 66.000 | 02/19/09 | 10.6550 | 703.20 | 10.3200 | 681.12 | -22.08 | 23.17 | 3.40% |
| 65.000 | 07/20/09 | 13.1090 | 852.06 | 10.3200 | 670.80 | -181.26 | 22.82 | 3.40% |
| 129 000 | 11/18/09 | 13.9500 | 1,799.61 | 10.3200 | 1,331.28 | -468.33 | 45.28 | 3.40% |
| 63.000 | 04/30/10 | 12.1600 | 766.08 | 10.3200 | 650.16 | -115.92 | 22.11 | 3.40% |
| 47.000 | 05/24/10 | 10.0750 | 473.53 | 10.3200 | 485.04 | 11.51 | 16.49 | 3.40% |
| **529.000** | **Total** | | **$6,515.90** | | **$5,459.28** | **-$1,056.62** | **$185.68** | |
| **3PANASONIC CORP ADR** | | *Security Identifier:* PC | | | | | | |
| ISIN#US69832A2050 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 94.000 | 08/15/07 | 17.2500 | 1,621.50 | 14.1000 | 1,325.40 | -296.10 | 9.90 | 0.74% |
| 134.000 | 08/16/07 | 17 3080 | 2,319.33 | 14.1000 | 1,889.40 | -429.93 | 14.12 | 0.74% |
| 106.000 | 09/27/10 | 13.7700 | 1,459.59 | 14.1000 | 1,494.60 | 35.01 | 11.17 | 0.74% |
| **334.000** | **Total** | | **$5,400.42** | | **$4,709.40** | **-$691.02** | **$35.19** | |
| **ROHM CO LTD ADR** | | *Security Identifier:* ROHCY | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 45.000 | 01/16/09 | 24.8550 | 1,118.49 | 32.6740 | 1,470.33 | 351.84 | 29.47 | 2.00% |
| 39 000 | 01/30/09 | 25.3150 | 987.30 | 32.6740 | 1,274.28 | 286.98 | 25.54 | 2.00% |
| 67.000 | 10/29/10 | 31.1330 | 2,085.94 | 32.6740 | 2,189.16 | 103.22 | 43.87 | 2.00% |
| **151.000** | **Total** | | **$4,191.73** | | **$4,933.77** | **$742.04** | **$98.88** | |
| **3ROYAL DUTCH SHELL PLC SPONSORED ADR** | | *Security Identifier:* RDS B | | | | | | |
| RESPTG B SHS | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 10.000 | 03/03/06 | 64.7080 | 647.08 | 66.6700 | 666.70 | 19.62 | 33.60 | 5.03% |
| 24.000 | 02/27/07 | 67.2290 | 1,613.50 | 66.6700 | 1,600.08 | -13.42 | 80.64 | 5.03% |
| 48.000 | 08/15/07 | 73.6900 | 3,537.12 | 66.6700 | 3,200.16 | -336.96 | 161.28 | 5.03% |
| 27.000 | 02/13/08 | 69.7940 | 1,884.45 | 66.6700 | 1,800.09 | -84.36 | 90.72 | 5.03% |
| 19.000 | 02/02/10 | 55.0870 | 1,046.66 | 66.6700 | 1,266.73 | 220.07 | 63.84 | 5.03% |
| **128.000** | **Total** | | **$8,728.81** | | **$8,533.76** | **-$195.05** | **$430.08** | |

# Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| 3SK TELECOM LTD SPONSORED ADR | | | *Security Identifier:* SKM | | | | | |
| ISIN#US78440P1084 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 66.000 | 02/13/08 | 22.5630 | 1,489.18 | 18.6300 | 1,229.58 | -259.60 | 46 33 | 3.76% |
| 44.000 | 04/24/08 | 22.1020 | 972.49 | 18.6300 | 819.72 | -152.77 | 30.89 | 3.76% |
| 25.000 | 06/09/08 | 21.2150 | 530.38 | 18.6300 | 465.75 | -64.63 | 17.55 | 3 76% |
| 21.000 | 06/10/08 | 21.2750 | 446.78 | 18.6300 | 391.23 | -55.55 | 14.74 | 3 76% |
| 114.000 | 04/14/09 | 16.2730 | 1,855.17 | 18.6300 | 2,123.82 | 268.65 | 80.02 | 3.76% |
| 177.000 | 05/20/09 | 15.9110 | 2,816.19 | 18.6300 | 3,297.51 | 481.32 | 124.25 | 3.76% |
| 40.000 | 08/19/09 | 15.7300 | 629.19 | 18.6300 | 745.20 | 116.01 | 28 07 | 3.76% |
| **487.000** | **Total** | | **$8,739.38** | | **$9,072.81** | **$333.43** | **$341.85** | |
| 3SANOFI AVENTIS SPONS ADR | | | *Security Identifier:* SNY | | | | | |
| ISIN#US80105N1054 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 34.000 | 08/15/07 | 39.4600 | 1,341.64 | 32 2300 | 1,095.82 | -245.82 | 37 48 | 3.42% |
| 22.000 | 04/08/08 | 38.4200 | 845.23 | 32.2300 | 709.06 | -136.17 | 24 25 | 3.42% |
| 21.000 | 04/14/08 | 38.1280 | 800.69 | 32.2300 | 676.83 | -123.86 | 23.15 | 3.42% |
| 60.000 | 05/16/08 | 37.1830 | 2,230.98 | 32.2300 | 1,933.80 | -297.18 | 66.14 | 3.42% |
| 31.000 | 08/01/08 | 35 4290 | 1,098.29 | 32.2300 | 999 13 | -99 16 | 34.17 | 3.42% |
| 49.000 | 07/01/09 | 30.1960 | 1,479.61 | 32.2300 | 1,579.27 | 99.66 | 54.01 | 3.42% |
| **217.000** | **Total** | | **$7,796.44** | | **$6,993.91** | **-$802.53** | **$239.20** | |
| 3SEKISUI HOUSE LTD SPONSORED ADR | | | *Security Identifier:* SKHSY | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 35.000 | 10/10/07 | 12.1600 | 425.60 | 10.1230 | 354.31 | -71.29 | 4.35 | 1.22% |
| 216.000 | 10/11/07 | 12.1300 | 2,620.08 | 10.1230 | 2,186.57 | -433.51 | 26.83 | 1.22% |
| 128.000 | 03/06/08 | 9.1990 | 1,177.51 | 10.1230 | 1,295.74 | 118.23 | 15 90 | 1.22% |
| **379.000** | **Total** | | **$4,223.19** | | **$3,836.62** | **-$386.57** | **$47.08** | |
| SEVEN & I HLDGS CO LTD ADR | | | *Security Identifier:* SVNDY | | | | | |
| ISIN#US81783H1059 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 3.000 | 01/07/09 | 59.0170 | 177.05 | 53.3700 | 160.11 | -16.94 | 3.46 | 2.16% |
| 35.000 | 01/08/09 | 59.1690 | 2,070.92 | 53.3700 | 1,867.95 | -202.97 | 40.36 | 2 16% |
| 24.000 | 02/18/09 | 50.3670 | 1,208.81 | 53.3700 | 1,280.88 | 72.07 | 27.67 | 2.16% |
| 14.000 | 04/13/09 | 42.7810 | 598.93 | 53.3700 | 747.18 | 148.25 | 16.14 | 2 16% |
| 34.000 | 06/04/09 | 47.3900 | 1,611.26 | 53.3700 | 1,814.58 | 203.32 | 39.21 | 2.16% |
| 31.000 | 08/20/09 | 46.8460 | 1,452.23 | 53.3700 | 1,654.47 | 202.24 | 35.75 | 2.16% |
| **141.000** | **Total** | | **$7,119.20** | | **$7,525.17** | **$405.97** | **$162.59** | |
| 3SHISEIDO LTD SPON ADR | | | *Security Identifier:* SSDOY | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 122.000 | 02/18/05 | 13.9460 | 1,701.43 | 21.8730 | 2,668.51 | 967.08 | 59.91 | 2.24% |

## Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| **SHISEIDO LTD SPON ADR** *(continued)* | | | | | | | | |
| 83.000 | 12/03/08 | 17.5230 | 1,454.41 | 21.8730 | 1,815.46 | 361.05 | 40.76 | 2.24% |
| 47.000 | 03/31/09 | 14.7860 | 694.96 | 21.8730 | 1,028.03 | 333.07 | 23.08 | 2.24% |
| **252.000** | **Total** | | **$3,850.80** | | **$5,512.00** | **$1,661.20** | **$123.75** | |
| **3SIEMENS A G SPONSORED ADR** | | *Security Identifier:* SI | | | | | | |
| ISIN#US8261975010 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 31.000 | 09/29/08 | 92.0790 | 2,854.46 | 124.2500 | 3,851.75 | 997.29 | 50.59 | 1 31% |
| 28.000 | 10/24/08 | 50.3710 | 1,410.40 | 124.2500 | 3,479.00 | 2,068.60 | 45.69 | 1.31% |
| **59.000** | **Total** | | **$4,264.86** | | **$7,330.75** | **$3,065.89** | **$96.28** | |
| **SOCIETE GENERALE FRANCE SPON ADR** | | *Security Identifier:* SCGLY | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 107.000 | 01/16/09 | 8.4600 | 905.26 | 10.7910 | 1,154.64 | 249.38 | 5.44 | 0.47% |
| 142.000 | 05/17/10 | 8 8380 | 1,255.02 | 10.7910 | 1,532.32 | 277.30 | 7.21 | 0.47% |
| **249.000** | **Total** | | **$2,160.28** | | **$2,686.96** | **$526.68** | **$12.65** | |
| **STATOIL ASA SPONSORED ADR** | | *Security Identifier:* STO | | | | | | |
| ISIN#US85771P1021 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 75 000 | 11/09/10 | 21.2890 | 1,596.69 | 23.7700 | 1,782.75 | 186.06 | 58.45 | 3.27% |
| 20.000 | 11/10/10 | 21.3900 | 427.79 | 23.7700 | 475.40 | 47.61 | 15.59 | 3.27% |
| 25.000 | 11/10/10 | 21.3900 | 534.74 | 23.7700 | 594.25 | 59.51 | 19.48 | 3.27% |
| 11.000 | 11/10/10 | 21.2960 | 234.26 | 23.7700 | 261.47 | 27 21 | 8.57 | 3.27% |
| 20.000 | 11/10/10 | 21.2970 | 425.93 | 23.7700 | 475.40 | 49.47 | 15.58 | 3.27% |
| **151.000** | **Total** | | **$3,219.41** | | **$3,589.27** | **$369.86** | **$117.67** | |
| **3SUMITOMO TR & BKG LTD SPONSORED ADR** | | *Security Identifier:* STBUY | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 511.000 | 01/16/08 | 6.3970 | 3,268.66 | 6.3130 | 3,225.94 | -42.72 | 52.18 | 1 61% |
| 205 000 | 09/16/10 | 5.1920 | 1,064.26 | 6.3130 | 1,294.17 | 229.91 | 20.94 | 1.61% |
| **716.000** | **Total** | | **$4,332.92** | | **$4,520.11** | **$187.19** | **$73.12** | |

[redacted]

# Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| **SUNCOR ENERGY INC NEW COM** | | | *Security Identifier:* SU | | | | | |
| ISIN#CA8672241079 | | | | | | | | |
| Dividend Option. Cash | | | | | | | | |
| 191.000 | 05/21/10 | 28.9560 | 5,530.60 | 38.2900 | 7,313.39 | 1,782.79 | | |
| **3SWISSCOM SPON ADR** | | | *Security Identifier:* SCMWY | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 51.000 | 01/27/06 | 31.2700 | 1,594.79 | 44.1050 | 2,249.36 | 654.57 | 75.93 | 3.37% |
| 98.000 | 08/17/07 | 33.8600 | 3,318.28 | 44.1050 | 4,322.29 | 1,004.01 | 145.91 | 3 37% |
| **149.000** | **Total** | | **$4,913.07** | | **$6,571.65** | **$1,658.58** | **$221.84** | |
| **TAKEDA PHARMACEUTICAL CO** | | | *Security Identifier:* TKPYY | | | | | |
| LTD SPONSORED ADR ISIN#US8740602052 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 67.000 | 05/12/10 | 21.5490 | 1,443.78 | 24.6290 | 1,650.14 | 206.36 | 61.47 | 3.72% |
| **3TELECOM ITALIA S P A NEW SPON ADR REPSTG** | | | *Security Identifier:* TI A | | | | | |
| SVGS SHS ISIN#US87927Y2019 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 16.000 | 02/18/05 | 31.7320 | 507.71 | 10.9400 | 175.04 | -332.67 | 10.45 | 5.96% |
| 59.000 | 06/02/05 | 26.5410 | 1,565.90 | 10.9400 | 645 46 | -920 44 | 38 52 | 5.96% |
| 46.000 | 09/14/05 | 27.2990 | 1,255.76 | 10.9400 | 503.24 | -752.52 | 30 03 | 5.96% |
| 40.000 | 09/14/05 | 27.2990 | 1,091.96 | 10.9400 | 437.60 | -654.36 | 26.12 | 5.96% |
| 100.000 | 11/17/05 | 23.1120 | 2,311.18 | 10.9400 | 1,094.00 | -1,217.18 | 65.29 | 5.96% |
| 60.000 | 11/17/05 | 23.1120 | 1,386.71 | 10.9400 | 656.40 | -730.31 | 39.17 | 5.96% |
| 59.000 | 11/18/05 | 23.2410 | 1,371.19 | 10.9400 | 645.46 | -725.73 | 38.52 | 5.96% |
| 81.000 | 05/09/06 | 26.8040 | 2,171.12 | 10.9400 | 886.14 | -1,284.98 | 52.88 | 5.96% |
| 104.000 | 08/17/07 | 20.3490 | 2,116.33 | 10.9400 | 1,137.76 | -978.57 | 67.90 | 5.96% |
| 175.000 | 12/13/10 | 10.9160 | 1,910.35 | 10.9400 | 1,914.50 | 4.15 | 114.25 | 5.96% |
| **740.000** | **Total** | | **$15,688.21** | | **$8,095.60** | **-$7,592.61** | **$483.13** | |
| **TOYOTA MTR CO SPON ADR** | | | *Security Identifier:* TM | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 32.000 | 09/09/10 | 69.9160 | 2,237.32 | 78.6300 | 2,516.16 | 278.84 | 30.47 | 1.21% |
| 9.000 | 09/13/10 | 70.8290 | 637.46 | 78.6300 | 707.67 | 70.21 | 8.57 | 1.21% |
| 24.000 | 10/20/10 | 71.6500 | 1,719.60 | 78.6300 | 1,887.12 | 167.52 | 22.86 | 1 21% |
| **65.000** | **Total** | | **$4,594.38** | | **$5,110.95** | **$516.57** | **$61.90** | |
| **3VODAFONE GROUP PLC SPON ADR NEW** | | | *Security Identifier:* VOD | | | | | |
| ISIN#US92857W2098 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 26.000 | 06/17/08 | 29.7420 | 773.28 | 26.4300 | 687.18 | -86.10 | 33.91 | 4.93% |
| 72.000 | 07/22/08 | 25.9190 | 1,866.17 | 26.4300 | 1,902.96 | 36.79 | 93.89 | 4.93% |
| 77.000 | 09/29/08 | 21.3370 | 1,642.98 | 26.4300 | 2,035.11 | 392.13 | 100.41 | 4.93% |

CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC
2121 Avenue of the Stars
Suite 3300
Los Angeles, CA 90067-5014
(800) 237-6022

**Managed Account Statement**

**Statement Period: 12/01/2010 - 12/31/2010**

## Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Common Stocks** *(continued)* | | | | | | | | |
| **VODAFONE GROUP PLC SPON ADR NEW** *(continued)* | | | | | | | | |
| 105.000 | 10/28/08 | 15.9410 | 1,673.76 | 26.4300 | 2,775.15 | 1,101.39 | 136.93 | 4.93% |
| **280.000** | **Total** | | **$5,956.19** | | **$7,400.40** | **$1,444.21** | **$365.14** | |
| **3WACOAL HLDGS CORP ADR** | | | *Security Identifier:* WACLY | | | | | |
| ISIN#US9300042051 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 19.000 | 10/17/07 | 59.2230 | 1,125.24 | 72.4900 | 1,377.31 | 252.07 | 18.74 | 1.36% |
| 15.000 | 10/18/07 | 58.7190 | 880.79 | 72.4900 | 1,087.35 | 206.56 | 14.80 | 1.36% |
| 10.000 | 10/19/07 | 58.8590 | 588.59 | 72.4900 | 724.90 | 136.31 | 9.86 | 1.36% |
| 21.000 | 10/22/07 | 58.7990 | 1,234 78 | 72.4900 | 1,522 29 | 287.51 | 20.72 | 1.36% |
| **65.000** | **Total** | | **$3,829.40** | | **$4,711.85** | **$882.45** | **$64.12** | |
| **WOLTERS KLUWER N V SPON ADR** | | | *Security Identifier:* WTKWY | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 65.000 | 02/24/09 | 16.2860 | 1,058.58 | 22.0010 | 1,430.07 | 371.49 | 47 75 | 3.33% |
| 72.000 | 04/29/09 | 16.6040 | 1,195.46 | 22.0010 | 1,584.07 | 388.61 | 52.89 | 3.33% |
| 63.000 | 06/03/09 | 19.4220 | 1,223.58 | 22.0010 | 1,386.06 | 162.48 | 46 28 | 3.33% |
| 88.000 | 06/12/09 | 17.6730 | 1,555.19 | 22.0010 | 1,936.09 | 380.90 | 64.64 | 3.33% |
| **288.000** | **Total** | | **$5,032.81** | | **$6,336.29** | **$1,303.48** | **$211.56** | |
| **Total Common Stocks** | | | **$286,927.59** | | **$295,255.37** | **$8,327.78** | **$6,061.48** | |
| **Preferred Stocks** *(Listed by expiration date)* | | | | | | | | |
| **3CENTRAIS ELECTRICAS BRASILEIRAS SA SPON** | | | *Security Identifier:* EBR B | | | | | |
| ADR REPSTG 50 PFD CL B | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 139.000 | 12/19/07 | 12.7820 | 1,776.72 | 16.6600 | 2,315.74 | 539.02 | | |
| 19.000 | 10/10/08 | 8.4770 | 161.06 | 16.6600 | 316.54 | 155.48 | | |
| 140.000 | 10/10/08 | 9.3800 | 1,313.16 | 16.6600 | 2,332.40 | 1,019.24 | | |
| **298.000** | **Total** | | **$3,250.94** | | **$4,964.68** | **$1,713.74** | **$0.00** | |
| **EMBRAER S A SPONSORED ADR REPSTG** | | | *Security Identifier:* ERJ | | | | | |
| PFD SHS ISIN#US29082A1079 | | | | | | | | |
| Dividend Option: Cash | | | | | | | | |
| 11.000 | 10/30/09 | 22.0550 | 242.61 | 29.4000 | 323.40 | 80.79 | 1.88 | 0 58% |
| 72.000 | 11/03/09 | 20.1450 | 1,450.42 | 29.4000 | 2,116.80 | 666.38 | 12.29 | 0.58% |

[redacted]

# Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | | |
| **Preferred Stocks** *(continued)* | | | | | | | | |
| **EMBRAER S A SPONSORED ADR REPSTG** *(continued)* | | | | | | | | |
| 5.000 | 05/13/10 | 22.7620 | 113.81 | 29.4000 | 147.00 | 33.19 | 0.85 | 0.58% |
| 44.000 | 05/14/10 | 22.5420 | 991.83 | 29.4000 | 1,293.60 | 301.77 | 7.52 | 0.58% |
| 132.000 | Total | | $2,798.67 | | $3,880.80 | $1,082.13 | $22.54 | |
| **Total Preferred Stocks** | | | $6,049.61 | | $8,845.48 | $2,795.87 | $22.54 | |
| **Total Equities** | | | $292,977.20 | | $304,100.85 | $11,123.65 | $6,084.02 | |

| | Cost Basis | Market Value | Unrealized Gain/Loss | Accrued Interest | Estimated Annual Income |
|---|---|---|---|---|---|
| **Total Portfolio Holdings** | $313,953.58 | $325,077.23 | $11,123.65 | $0.00 | $6,085.88 |

[3] The cost basis of securities positions acquired prior to the availability of the PORTFOLIO EVALUATION SERVICE for this account, or delivered into this account, has been provided to PERSHING by your financial institution, and we make no representation as to the accuracy of such cost basis.

**Disclosures and Other Information**

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

The Estimated Price as of Date only appears when the price date does not equal the statement date.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

[redacted]

## Summary of Gains and Losses

| | Realized This Period | Realized Year-to-Date | Unrealized |
|---|---|---|---|
| Short-Term Gain/Loss | 0.00 | 0.00 | -475.55 |
| Long-Term Gain/Loss | 0.00 | 1,668.81 | 56,488 92 |
| **Net Gain/Loss** | **0.00** | **1,668.81** | **56,013.37** |

This summary excludes transactions where cost basis information is not available.

## Customer Service Information

| Your Relationship Manager: 01X | Contact Information | Customer Service Information |
|---|---|---|
| YOSHIMURA/WEBSTER<br>PRIVATE BANKING USA<br>2121 AVE OF THE STARS STE 3300<br>LOS ANGELES CA 90067-5060 | **Telephone Number:** (800) 237-5022<br>**Fax Number:** (310) 282-8053 | **Web Site:** www.credit-suisse.com |

## Portfolio Holdings

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Mutual Funds 100.00% of Portfolio** | | | | | | | | |
| **Mutual Funds** | | | | | | | | |
| DREYFUS TOTAL RETURN ADVANTAGE FUND CLASS A | | | *Security Identifier:* DTRAX | | | | | |
| Open End Fund | | | | | | | | |
| Dividend Option: Reinvest; Capital Gains Option: Reinvest | | | | | | | | |
| 44,367 378 | 05/13/09 | 13.1200 | 582,100.00 | 13.1100 | 581,656.32 | -443.68 | 18,146.25 | 3 11% |
| 9,840.304 | 08/12/09 | 13.1500 | 129,400.00 | 13.1100 | 129,006.39 | -393.61 | 4,024.68 | 3 11% |
| 6,177.693 | Reinvestments to Date | 13.1870 | 81,464.71 | 13.1100 | 80,989.56 | -475.15 | 2,526 68 | 3.11% |
| **60,385.375** | **Total** | | **$792,964.71** | | **$791,652.27** | **-$1,312.44** | **$24,697.61** | |
| 3PIMCO LOW DURATION CLASS A | | | *Security Identifier:* PTLAX | | | | | |
| Open End Fund | | | | | | | | |
| Dividend Option: Reinvest; Capital Gains Option: Reinvest | | | | | | | | |
| 362.267 | 01/03/06 | 9.9900 | 3,619.05 | 10.3900 | 3,763.95 | 144.90 | 61.66 | 1.63% |
| 413.305 | 02/01/06 | 9.9800 | 4,124.78 | 10.3900 | 4,294.24 | 169.46 | 70 35 | 1 63% |
| 404.526 | 03/01/06 | 9.9600 | 4,029.08 | 10.3900 | 4,203.03 | 173.95 | 68.86 | 1.63% |
| 456.820 | 04/03/06 | 9.9000 | 4,522.52 | 10.3900 | 4,746.36 | 223.84 | 77.76 | 1.63% |
| 394.243 | 05/01/06 | 9.9100 | 3,906.95 | 10.3900 | 4,096.19 | 189.24 | 67.10 | 1.63% |
| 417.293 | 06/01/06 | 9.8800 | 4,122.85 | 10.3900 | 4,335.68 | 212.83 | 71.03 | 1.63% |
| 442.155 | 07/03/06 | 9.8300 | 4,346.38 | 10.3900 | 4,593.99 | 247.61 | 75.26 | 1.63% |
| 403.176 | 08/01/06 | 9.8900 | 3,987.41 | 10.3900 | 4,189.00 | 201.59 | 68.63 | 1.63% |
| 423.390 | 09/01/06 | 9.9400 | 4,208.50 | 10.3900 | 4,399.02 | 190.52 | 72.07 | 1.63% |
| 436.609 | 10/02/06 | 9.9500 | 4,344.26 | 10.3900 | 4,536.36 | 192.10 | 74.32 | 1.63% |

## Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Mutual Funds** *(continued)* | | | | | | | | |
| Mutual Funds *(continued)* | | | | | | | | |
| PIMCO LOW DURATION CLASS A *(continued)* | | | | | | | | |
| 442 724 | 11/01/06 | 9.9600 | 4,409.53 | 10.3900 | 4,599.91 | 190.38 | 75.36 | 1.63% |
| 441.664 | 12/01/06 | 9.9900 | 4,412.22 | 10.3900 | 4,588.89 | 176.67 | 75.18 | 1.63% |
| 16.085 | 12/14/06 | 9.9600 | 160.21 | 10.3900 | 167.12 | 6.91 | 2.74 | 1.63% |
| 488.927 | 01/02/07 | 9.9100 | 4,845.27 | 10.3900 | 5,079.95 | 234.68 | 83.22 | 1.63% |
| 464.394 | 01/02/07 | 9.8700 | 4,583.57 | 10.3900 | 4,825.05 | 241.48 | 79.05 | 1.63% |
| 432.003 | 03/01/07 | 9.9400 | 4,294.11 | 10 3900 | 4,488.51 | 194.40 | 73.53 | 1.63% |
| 472.342 | 04/02/07 | 9.9500 | 4,699.80 | 10.3900 | 4,907 63 | 207.83 | 80.40 | 1.63% |
| 392.550 | 05/01/07 | 9.9200 | 3,894.10 | 10.3900 | 4,078.59 | 184.49 | 66.82 | 1.63% |
| 415.756 | 06/01/07 | 9 8100 | 4,078.57 | 10.3900 | 4,319.71 | 241.14 | 70.77 | 1 63% |
| 437.466 | 07/02/07 | 9.8000 | 4,287.17 | 10.3900 | 4,545.27 | 258.10 | 74 46 | 1.63% |
| 408.422 | 08/01/07 | 9.8700 | 4,031.13 | 10.3900 | 4,243.50 | 212.37 | 69.52 | 1.63% |
| 419.444 | 09/04/07 | 9.9100 | 4,156.69 | 10.3900 | 4,358.03 | 201.34 | 71 39 | 1.63% |
| 248.835 | 10/01/07 | 10.0400 | 2,498.30 | 10.3900 | 2,585.40 | 87.10 | 42.35 | 1.63% |
| 289.520 | 11/01/07 | 10.0600 | 2,912.57 | 10.3900 | 3,008.11 | 95.54 | 49 28 | 1 63% |
| 282.072 | 12/03/07 | 10.1800 | 2,871.49 | 10.3900 | 2,930.73 | 59.24 | 48.01 | 1.63% |
| 553.688 | 12/13/07 | 10.0800 | 5,581.18 | 10.3900 | 5,752.82 | 171.64 | 94.24 | 1.63% |
| 277.438 | 01/02/08 | 10.1100 | 2,804.90 | 10.3900 | 2,882.58 | 77.68 | 47 22 | 1 63% |
| 257.372 | 02/01/08 | 10.2900 | 2,648.36 | 10.3900 | 2,674.10 | 25.74 | 43.81 | 1.63% |
| 250.324 | 03/03/08 | 10.3100 | 2,580.84 | 10.3900 | 2,600.87 | 20.03 | 42.61 | 1 63% |
| 235.852 | 04/01/08 | 10.1400 | 2,391.54 | 10.3900 | 2,450 50 | 58.96 | 40.14 | 1.63% |
| 232.115 | 05/01/08 | 10.1300 | 2,351.32 | 10.3900 | 2,411.68 | 60.36 | 39.51 | 1.63% |
| 246.032 | 06/02/08 | 10.1000 | 2,484.92 | 10.3900 | 2,556.27 | 71.35 | 41.88 | 1.63% |
| 280.275 | 07/01/08 | 10.0000 | 2,802.75 | 10.3900 | 2,912.06 | 109.31 | 47.71 | 1.63% |
| 232.194 | 08/01/08 | 9.9300 | 2,305.69 | 10.3900 | 2,412.49 | 106.80 | 39.52 | 1.63% |
| 243.334 | 09/02/08 | 9.9400 | 2,418.74 | 10.3900 | 2,528.24 | 109.50 | 41.42 | 1 63% |
| 267.131 | 10/01/08 | 9.5200 | 2,543.09 | 10.3900 | 2,775.49 | 232.40 | 45 47 | 1.63% |
| 38,704.104 | 02/27/09 | 9 2600 | 358,400.00 | 10.3900 | 402,135.64 | 43,735.64 | 6,587.90 | 1.63% |
| 12,862.823 | 08/12/09 | 10.0600 | 129,400.00 | 10.3900 | 133,644.73 | 4,244.73 | 2,189.41 | 1.63% |

## Portfolio Holdings *(continued)*

| Quantity | Acquisition Date | Unit Cost | Cost Basis | Market Price | Market Value | Unrealized Gain/Loss | Estimated Annual Income | Estimated Yield |
|---|---|---|---|---|---|---|---|---|
| **Mutual Funds** *(continued)* | | | | | | | | |
| Mutual Funds *(continued)* | | | | | | | | |
| PIMCO LOW DURATION CLASS A *(continued)* | | | | | | | | |
| 6,995.350 | Reinvestments to Date | 9.8520 | 68,917.72 | 10.3900 | 72,681.68 | 3,763.96 | 1,190.69 | 1.63% |
| 71,444.020 | Total | | $684,977.56 | | $742,303.37 | $57,325.81 | $12,160.62 | |
| Total Mutual Funds | | | $1,477,942.27 | | $1,533,955.64 | $56,013.37 | $36,858.23 | |
| **Total Mutual Funds** | | | $1,477,942.27 | | $1,533,955.64 | $56,013.37 | $36,858.23 | |

| | Cost Basis | Market Value | Unrealized Gain/Loss | Accrued Interest | Estimated Annual Income |
|---|---|---|---|---|---|
| **Total Portfolio Holdings** | $1,477,942.27 | $1,533,955.64 | $56,013.37 | $0.00 | $36,858.23 |

3 The cost basis of securities positions acquired prior to the availability of the PORTFOLIO EVALUATION SERVICE for this account, or delivered into this account, has been provided to PERSHING by your financial institution, and we make no representation as to the accuracy of such cost basis.

### Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

The Estimated Price as of Date only appears when the price date does not equal the statement date.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

[redacted]

## Summary of Gains and Losses

| | Realized This Period | Realized Year-to-Date | Unrealized |
|---|---|---|---|
| Short-Term Gain/Loss | 0.00 | 134.05 | 0.00 |
| Long-Term Gain/Loss | 0.00 | 50,565.86 | 0.00 |
| **Net Gain/Loss** | **0.00** | **50,699.91** | **0.00** |

This summary excludes transactions where cost basis information is not available.

## Customer Service Information

| Your Relationship Manager: 01X | Contact Information | Customer Service Information |
|---|---|---|
| YOSHIMURA/WEBSTER | **Telephone Number:** (800) 237-5022 | **Web Site:** www.credit-suisse.com |
| PRIVATE BANKING USA | **Fax Number:** (310) 282-8053 | |
| 2121 AVE OF THE STARS STE 3300 | | |
| LOS ANGELES CA 90067-5060 | | |

## Portfolio Holdings

| Quantity | Description | Opening Balance | Closing Balance | Accrued Income | Income This Year | 30-day Yield |
|---|---|---|---|---|---|---|
| **Cash, Money Funds, and FDIC Deposits 100.00% of Portfolio** | | | | | | |
| Money Market | | | | | | |
| 111,611.010 | FEDERATED PRIME CASH SERIES | 111,610.04 | 111,611.01 | 0.00 | 3.32 | 0.01% |
| Total Money Market | | $111,610.04 | $111,611.01 | $0.00 | $3.32 | |
| **Total Cash, Money Funds, and FDIC Deposits** | | $111,610.04 | $111,611.01 | $0.00 | $3.32 | |

| Description | Market Value | Accrued Interest | Estimated Annual Income |
|---|---|---|---|
| **Total Portfolio Holdings** | $111,611.01 | $0.00 | $3.32 |

### Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

The Estimated Price as of Date only appears when the price date does not equal the statement date.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

## Messages *(continued)*

purchased after January 1, 2011 in a U.S. taxpayer's non-retirement account.

To prepare for the new "cost basis" requirements, you, or your Relationship Manager on your behalf, may choose to identify which shares (tax lots) are being selected when requesting a partial sale or transfer of stock. Your ability to change selected tax lots will be limited to the settlement date, or three business days after the disposition, whichever is later. If no specific selection is made, your existing default disposition method will be used, which is typically first-in, first-out (FIFO).

Please note that the cost basis reporting requirements are being phased in over a three-year period, and will initially impact only stock transactions. This change is mandated under the Emergency Economic Stabilization Act of 2008 which will require Pershing LLC to report the cost basis and gain/loss calculations for each security sale, transfer or exchange on IRS Form 1099-B or on transfer statements for tax reportable accounts. (Pershing LLC provides clearing and other related services, including the gain/loss calculations needed for tax reporting to your financial organization.) For more information, please contact your Relationship Manager.

Please note that this statement message is based upon proposed regulatory guidance that is subject to change

CREDIT SUISSE SECURITIES (USA) LLC RECEIVES COMPENSATION FROM PERSHING FOR CERTAIN FOREIGN EXCHANGE TRANSACTIONS EXECUTED FOR ITS CLIENTS. DETAILS ARE AVAILABLE UPON REQUEST.

**Disclosure Regarding Securities Lending in Margin Accounts**

If you have a margin account, pursuant to your Margin Agreement with Pershing LLC and as permitted by law, margin securities in your account, may be used for, among other things, settling short sales and lending the securities for short sales. As a result, Pershing and your financial organization may receive compensation in connection therewith. Further, Pershing does not lend fully-paid-for securities without your written permission. Please contact your financial organization if you have any questions.

## Detail of Assets Not Held in Your Brokerage Account

| Description | Investment Date | Investment Amount | Redemption Amount | Estimated Value | Cost Basis | Valuation Date |
|---|---|---|---|---|---|---|
| **Alternative Investments** | | | | | | |
| **Hedge Funds** | | | | | | |
| Strategic Commodities Fund Ltd.<br>Security Identifier: 393683175 | 02/01/05 | 0.00 | 0.00 | ~~155,335.00~~ | 173,498 | 11/30/10 |
| | **Total** | **$0.00** | **$0.00** | ~~**$155,335.00**~~ | | |
| LB Offshore Diversified Arbitra ge (SPV) Ltd.<br>Security Identifier: 393688180 | 07/01/09 | 0.00 | 6,416.00 | ~~6,635.00~~ | 5,438.34 | 11/30/10 |
| | **Total** | **$0.00** | **$6,416.00** | ~~**$6,635.00**~~ | | |
| LB Offshore Long/Short Fund (SPV ) Ltd.<br>Security Identifier: 393688181 | 07/01/09 | 0.00 | 2,443.00 | ~~2,756.00~~ | Ø | 11/30/10 |
| | **Total** | **$0.00** | **$2,443.00** | ~~**$2,756.00**~~ | | |

## Detail of Assets Not Held in Your Brokerage Account *(continued)*

| Description | Investment Date | Investment Amount | Redemption Amount | Estimated Value | Valuation Date |
|---|---|---|---|---|---|
| **Hedge Funds** *(continued)* | | | | | |
| NB Offshore Diversified Arbitrag e Fund Ltd. Security Identifier. 393799682 | 12/01/03 | 125,000.00 | 0.00 | ~~347,560.00~~ 240,000 | 11/30/10 |
| | Total | $125,000.00 | $0.00 | ~~$347,560.00~~ | |
| **Total Hedge Funds** | | $125,000.00 | $8,859.00 | ~~$512,286.00~~ 418,936.44 | |

| | Estimated Value |
|---|---|
| **Total Alternative Investments** | ~~$512,286.00~~ 418,936.44 |

Summary of Assets Not Held in Your CS Securities Brokerage Account at Pershing LLC

You or your agent provided the information regarding the assets not held in your brokerage account (agent, as used herein, does not refer to Credit Suisse' e Banking USA Relationship Manager servicing your brokerage account unless the assets not held in your account relate to an interest in a fund or fund-of fu whether such fund or fund-of-funds is managed by an investment advisor not affiliated with CS Securities or is a fund or fund-of-funds for which CS Securiti ieral partner (the "CS Securities Funds")). Pershing LLC ("Pershing") and CS Securities each makes no representation regarding the accuracy of this informatior assets (including the CS Securities Funds) are not held in our custody. They are not part of any retirement account for which Pershing acts as Custodian a Protection Corporation or any supplemental insurance provided by Pershing. Although listed on this statement, Pershing does not consider the value of as: ose.

0 CA

All of the information provided on this statement relating to Assets Not Held in Your Brokerage Account, as set forth under the heading "Securities Not Held in that you or your agent, or, in the case of the CS Securities Funds, CS Securities, provided to Pershing, and is unaudited and will change upon the annual ai tax reporting or official purposes. Assets Not Held in Your Brokerage Account have limited liquidity or are illiquid; no public or broad market exists for these invi result, the information contained herein does not necessarily reflect the fair market value or the value you could realize upon disposition of your interest in tł es and expenses associated with the Assets Not Held in Your Brokerage Account may or may not be reflected in this statement. It is imperative that you refer ate cover from the relevant fund manager relating to the respective Assets Not Held in Your Brokerage Account for this information and for important additional methodologies (which vary by investment), and outstanding commitment amounts (if applicable). Please note the following meanings of the column headinç ion: "Valuation Date" means the Capital Account Statement Date, and "Estimated Value" means the Capital Account Value, or, for funds reporting on the incom oxy for value. Under the Hedge Funds Sub-Heading: Investment Date means the date of your original subscription. Contribution to date means any contributioi information with respect to reporting relating to the Assets Not Held in Your Brokerage Account, please contact your CS Securities Relationship Manager.

173,498.00 +
5,438.34 +
240,000.00 +
418,936.34 *