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Form 990-PF

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545 0052

2010

Department of the Treasury Internal Revenuc Service Note The foundation may be able to use a copy of this return to satisfy state reporting requirements For calendar year 2010, or tax year beginning , 2010, and ending Initial return G Check all that apply Initial Return of a former public charity Final return Amended return Address change Name change Employer identification number THE MITCHELL J. STEIN FOUNDATION 95-4749857 6213 OCEAN FRONT WALK В Telephone number (see the instructions) PLAYA DEL REY, CA 90293-7527 (310) 306-0320 С If exemption application is pending, check here 1 Foreign organizations, check here X Section 501(c)(3) exempt private foundation Check type of organization 2 Foreign organizations meeting the 85% test, check here and attach computation Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation If private foundation status was terminated Ε Fair market value of all assets at end of year Accounting method | X | Cash under section 507(b)(1)(A), check here (from Part II, column (c), line 16) Other (specify) F If the foundation is in a 60-month termination (Part I, column (d) must be on cash basis) under section 507(b)(1)(B), check here 33,915 Part I Analysis of Revenue and (a) Revenue and (b) Net investment (c) Adjusted net (d) Disbursements Expenses (The total of amounts in expenses per books income for charitable income columns (b), (c), and (d) may not necessarily equal the amounts in column (a) purposes (cash basis only) (see the instructions)) Contributions gifts grants etc received (att sch) 2 Ck ► X if the foundn is not req to all Sch B Interest on savings and temporary cash investments Dividends and interest from securities 070 070 .0705a Gross rents b Net rental income or (loss) -7,761 REVENU 6a Net gain/(loss) from sale of assets not on line 10 RECEIVED b Gross sales price for all assets on line 6a 0. Capital gain net income (from Part IV line 2) SSO 8 Net short-term capital gain APR 2 2011 9 Income modifications S 10 a Gross sales less returns and allowances b Less Cost of c Gross profit/(loss) (att sch) 11 Other income (attach schedule) SEE STATEMENT 1 14 Total. Add lines 1 through 11 -6,677 1,070 1,070 13 Compensation of officers directors trustees etc 14 Other employee salaries and wages 15 Pension plans, employee benefits 16 a Legal fees (attach schedule) b Accounting fees (attach sch) c Other prof fees (attach sch) 17 Interest SEE STM 2 10 10 Taxes (attach schedule)(see instr.) Depreciation (attach sch) and depletion Occupancy 21 Travel, conferences, and meetings E X P 22 Printing and publications Other expenses (attach schedule) SEE STATEMENT 3 9,000 9,000 Total operating and administrative expenses. Add lines 13 through 23 9,010 9,000 10 Contributions, gifts, grants paid PART XV 4,500 4,500 26 Total expenses and disbursements. Add lines 24 and 25 13,510 9,000 10 4,500 Subtract line 26 from line 12: a Excess of revenue over expenses -20,187and disbursements 0. b Net investment income (if negative enter 0-) 1,060 C Adjusted net income (if negative enter 0)

		Attached schedules and amounts in the description	Beginning of year	End o	of year
Pan	<u> </u>	Balance Sheets Column should be for end-of year amounts only (See instructions)	(a) Book Value	(b) Book Value	(c) Fair Market Value
	. 1	Cash - non-interest-bearing	13,172.	14,635.	14,635.
	2	Savings and temporary cash investments			
	3	Accounts receivable	, -		
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable		···	
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7	Other notes and loans receivable (attach sch)			
A		Less allowance for doubtful accounts			
A S E T	8	Inventories for sale or use			
Ē	9	Prepaid expenses and deferred charges			
Ś	10 a	Investments – U.S. and state government obligations (attach schedule)			
	l t	Investments — corporate stock (attach schedule)	51,229.	19,591.	19,280.
	l	Investments — corporate bonds (attach schedule)			
		Investments – land, buildings, and			
	''	equipment basis Less accumulated depreciation			,
		(attach schedule)			
	12	Investments – mortgage loans	· · · ·	· · · · · · · · · · · · · · · · · · ·	
	13	Investments – other (attach schedule)			
	14	Land, buildings, and equipment basis			•
		Less accumulated depreciation (attach schedule)			
	15	Other assets (describe •)			
	16	Total assets (to be completed by all filers – see instructions. Also, see page 1, item I)	64,401.	34,226.	33,915.
Ļ	17	Accounts payable and accrued expenses			·
À	18	Grants payable			
В	19	Deferred revenue			_
Ė	20	Loans from officers, directors, trustees, & other disqualified persons	274,946.	264,958.	<u>'</u>
i T	21	Mortgages and other notes payable (attach schedule)			
i	22	Other habilities (describe			_
E S	23	Total liabilities (add lines 17 through 22)	274,946.	264,958.	ı
		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
ΝF	24	Unrestricted			
N F E U T N	25	Temporarily restricted			
' D	i	Permanently restricted			
A B S A E L A		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			
E L T A	27	Capital stock, trust principal, or current funds			
SN	28	Paid-in or capital surplus, or land, building, and equipment fund			
O E	29	Retained earnings, accumulated income, endowment, or other funds	-210,545.	-230,732.	
RS	30	Total net assets or fund balances (see the instructions)	-210,545.	-230,732.	
	31	Total liabilities and net assets/fund balances	CA 401	24 226	
D	 	(see the instructions)	64,401.	34,226.	<u> </u>
rar	LIII	Analysis of Changes in Net Assets or Fund Balance	t5		<u> </u>
1		I net assets or fund balances at beginning of year - Part II, colu	ımn (a), line 30 (must aç		_210 545
•		of-year figure reported on prior year's return)		1 2	-210,545. -20,187.
_		er amount from Part I, line 27a		3	-20,10/.
3		increases not included in line 2 (itemize)			-230,732.
4 5		lines 1, 2, and 3 ases not included in line 2 (itemize)		5	230,132.
6		I net assets or fund balances at end of year (line 4 minus line 5)	- Part II. column (b) I		-230,732.
	1010	Thet assets of fully balances at ello of year (fille 4 millus fille 3)	Tartin, column (b), 1	10	230,132.

Par	IV Capital Gains and L	<u>osses for Tax on Investmen</u>	it Income				
	(a) List and describe 2-slory brick warehous	the kind(s) of property sold (e.g., r e, or common stock, 200 shares ML	eal estate, .C Company)	(b) How acq P — Purch D — Donat	ase	(C) Date acquired (month, day year)	(d) Date sold (month day, year)
	642.261 INCOME FUND		_	P		12/06/05	3/24/10
b	1000.00 INCOME FUND	OF AMERICA CLASS C		P		12/06/05	7/12/10
<u>c</u>							
d							
е				1			
	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basing plus expense of sale	•		(h) Gain or (e) plus (f) m	inus (g)
a	10,000.			,494.			-2,494.
<u>b</u>	14,940.		20	,207.			-5 <u>,</u> 267.
c							-
d							
е	Complete only for assets show	ng gain in column (h) and owned by	the foundation on 12/31/60				
	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column over column (j), if an			(I) Gains (Coli ain minus column (I an () or Losses (fi	(), but not less
a							-2,494.
b							-5,267.
С							
ď			•				
. е							
2	Capital gain net income or (net	capital loss) — If gain, also	enter in Part I, line 7 ter -0- in Part I, line 7		2		-7,761.
3	Net short-term capital gain or (loss) as defined in sections 1222(5)	and (6)				
	If gain, also enter in Part I, line	8, column (c) (see the instructions) If (loss), enter -0-				
Par	ın Part I, line 8	Section 4940(e) for Reduce			3		0.
	s,' the foundation does not qual	tion 4942 tax on the distributable an	mplete this part	·		Yes	X No
1_	Enter the appropriate amount i	n each column for each year, see th		any entri	es		
	(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use ass	ets	(colı	(d) Distributior umn (b) divided	ratio by column (c))
	2009	11,000.	102	,374.			0.107449
	2008	13,000.	167	,920.			0.077418
	2007	138,497.		,476.			0.443224
	2006	95,160.		,648.			0.225152
	2005	77,884.	492	792.			0.158046
2	Total of loss 1. solvens (d)			1	2		1 011200
2	Total of line 1, column (d)			-	2		1.011289
3	Average distribution ratio for th number of years the foundation	e 5-year base period — divide the to has been in existence if less than !	otal on line 2 by 5, or by the 5 years.		3		0.202258
4	Enter the net value of nonchar	table-use assets for 2010 from Part	X, line 5	-	4		43,576.
5	Multiply line 4 by line 3				5		8,814.
6	Enter 1% of net investment inc	ome (1% of Part I, line 27b)			6		
7	Add lines 5 and 6				7		8,814.
8	Enter qualifying distributions from	om Part XII, line 4			8		4,500.
	If line 8 is equal to or greater to Part VI instructions	nan line 7, check the box in Part VI,	line 1b, and complete that p	art using	a 1%	tax rate See t	he

Page 4 Page					
Part VI Excise Tax Based on Investment Income (Section 4940a, 4940b), 4940b, or 4948 – see the instructions)	Form 990-PF (2010) THE MITCHELL J. STEIN FOUNDATION 95-4	749857		Р	age 4
1a Lempl operating foundations described in section 4940(x), check here		he instructi	ions)		
b Domestic Coundations that meet the section 4940(c) requirements in Part V, check here					
b Domestic Coundations that meet the section 4940(c) requirements in Part V, check here	Date of ruling or determination letter (attach copy of letter if necessary — see instr.)				
c All other comusic faundations enter 2% of the 27b Empt Bregin organizations oriter 4% of Part I, lane 12, column (b) 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) 3 Add times 1 and 2 5 Vabilities (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- 5 Credits/Payments a 2010 estimated tax pmis and 2030 everpayment celeted to 2010 Exempt foregin organizations — Lax withheld at source c Tax paid with application for extension of time to file (Form 8868) 6 Ed. 6 Bod Company organizations — Lax withheld at source c Tax paid with application for extension of time to file (Form 8868) 6 Ed. 7 Total credits and payments. Add lines 5a through 6d 8 Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached 9 Tax due if the dot line is 348 is more take interp. enter amount everpaid 10 Overpayment. If line 7 is more than the table of lines 5 and 8, reter the amount everpaid 1 Fart VII-A. Statements Regarding Activities 1 a During the lax year, did the foundation attempt to influence any national, state, or local legislation or did it particularly to influence any national, state, or local legislation or did it particularly in the second or distributed by the foundation of incompany. 1 b Did is spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition). 2 b The answer is Yes' to Ta or 1b, attach a detailed description of the activities. 1 b The answer is Yes' to Ta or 1b, attach a detailed description of the activities. 2 c Did the foundation file Form 1120-POL for fits year? 4 Ehlet the amount of any) of tax on political expenditures (section 4955) imposed during the year. 1 b Tyes, "attach a detailed description of the		1			0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0.) 3 Add lines 1 and 2 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0.) 5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0. 6 Credits/Payments a 2010 estimated tax pmis and 2009 overpayment cedied to 2010 be Exempl foreign organizations — tax withheld at source c Tax paid with application for extension of time to file (Form 8868) de Backup withholding erroneously withheld 7 Total credits and payments. Add lines 5 after 10 foreign 10 form 2220 is attached 8 Enter any penalty for underpayment of estimated tax. 9 Tax due If the lotal of lines 5 and 8 is more filan fine 1/2, into aniount loved 10 overapyment till file? is more from the total of lines and 8 is more filan fine 1/2, into aniount overpaid 11 fairs the amount of line ID to be Credited 2011 estimated tax. Part VII-A Statements Regarding Activities 1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or inforeviere in any political campaign? 1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or inforeviere in any political campaign? 1 b Duff it spend more than 51 and during the year (either directly) for political purposes (see the instructions for definition)? 1 if the answer is ''s' to far or 10, after a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities 2 but the foundation in Part 10 and 10 during the year (c) On foundation memagers is 0. 2 but the foundation in Part 10 and 10 during the year (c) On foundation memagers is 0. 2 but the foundation and part 10 and 10 during the year (d) On the foundation and part 10 during the year (d) On the foundation in the member of the activities of the foundation an	check here ▶ ☐ and enter 1% of Part I, line 27b				
foundations only. Others enter. 0-) 3 Add lines 1 and 2 4 Subtitle A (income) tax (domestic section 494/a)(1) trusts and taxable foundations only. Others enter. 0-) 4 Subtitle A (income) tax (domestic section 494/a)(1) trusts and taxable foundations only. Others enter. 0-) 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter. 0-) 6 Credits/Payments a 2010 similar dax pmts and 2005 overpayment credited to 2010 be zerriph foreign organizations — has withheld all source c Tax paid with application for extension of time to file (Form 8868) 6 Backup withholding erroneously withheld 7 Total credits and payments. Add lines 6a through 6d 8 Enter any penalty for underpayment of estimated tax. Check here if if Form 2220 is attached 8 Enter any penalty for underpayment of estimated tax. Check here if if Form 2220 is attached 8 Tax due if the total of lines 3 and 3 is more than the total of lines 3 and 3, enter the amount everpaid 11 Criter the amount of line 10 to the Credited to 2011 estimated tax. Part VIII-A Statements Regarding Activities 11 Tax During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it 1 a X X 1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it 1 a X X 1 but if the answer is "Yes" to Ia or Ib, attach a detailed description of the activities or distributed by the foundation in connection with the activities 2 but it spend more than S100 during the year (either directly or indirectly) for political purposes 3 cele the instructions for definition? 4 If the answer is "Yes" to Ia or Ib, attach a detailed description of the activities 5 cell the foundation in Erorin 1128-POL for this year? 6 Che the foundation in Form 1128-POL for this year? 7 (1) On the foundation in Form 1128-POL for this year? 8 Has the foundation have a political expenditures (section 4955) imposed during the year 9 Lead the foundation in the Form 1128-POL for this year? 1	c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)				
foundations only. Others enter. 0-) 3 Add lines 1 and 2 4 Subtitle A (income) tax (domestic section 494/a)(1) trusts and taxable foundations only. Others enter. 0-) 4 Subtitle A (income) tax (domestic section 494/a)(1) trusts and taxable foundations only. Others enter. 0-) 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter. 0-) 6 Credits/Payments a 2010 similar dax pmts and 2005 overpayment credited to 2010 be zerriph foreign organizations — has withheld all source c Tax paid with application for extension of time to file (Form 8868) 6 Backup withholding erroneously withheld 7 Total credits and payments. Add lines 6a through 6d 8 Enter any penalty for underpayment of estimated tax. Check here if if Form 2220 is attached 8 Enter any penalty for underpayment of estimated tax. Check here if if Form 2220 is attached 8 Tax due if the total of lines 3 and 3 is more than the total of lines 3 and 3, enter the amount everpaid 11 Criter the amount of line 10 to the Credited to 2011 estimated tax. Part VIII-A Statements Regarding Activities 11 Tax During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it 1 a X X 1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it 1 a X X 1 but if the answer is "Yes" to Ia or Ib, attach a detailed description of the activities or distributed by the foundation in connection with the activities 2 but it spend more than S100 during the year (either directly or indirectly) for political purposes 3 cele the instructions for definition? 4 If the answer is "Yes" to Ia or Ib, attach a detailed description of the activities 5 cell the foundation in Erorin 1128-POL for this year? 6 Che the foundation in Form 1128-POL for this year? 7 (1) On the foundation in Form 1128-POL for this year? 8 Has the foundation have a political expenditures (section 4955) imposed during the year 9 Lead the foundation in the Form 1128-POL for this year? 1	2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable				
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5 Tax based on investment income. Subtract line 4 from line 3 if zero or less, enter -0- 6 Credits/Payments a 200 estimated tax pmis and 2009 overpayment credited to 2010 b Exempt foreign organizations — tax withheld at source C Tax pand with application for extension of time to file (Form 8868) 6 Backup withholding erroneously withheld 7 Total credits and payments. Add lines 5a through 6d 8 Enter any penalty for underpayment of estimated tax. Check here	3 Add lines 1 and 2	3			0.
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a 200 estimated tax pints and 2009 overpament credited to 2010 6 a 6 b 6 c 6	5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5			0.
b Exempt foreign organizations — lax withheld at source c Tax paid with application for extension of time to file (Form 8868) d Backup withholding erroneously withheld f Total credits and payments. Add lines 6a through 6d 8 Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached 9 Tax due if the total of lines 5 and 8 is more than the 7, enter amount owered 10 Overpayment if line 7 is more than the total of lines 5 and 8, enter the amount owerpaid 11 Enter the amount of line 10 to be Credited to 2011 estimated tax. Part VII-A Statements Regarding Activities 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it particularly application or any political campaign? b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instruction for definition)? b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes c Did the foundation in a organization of the activities and copies of any materials published or distributed by the foundation in connection with the activities c Did the foundation managers of the \$100 or files see the instruction and purposes c Did the foundation managers in \$0 or \$10 or \$1	6 Credits/Payments				
c Tax paid with application for extension of time to file (Form 8868) d Backup withholding erroneously withheld 7 Total credits and payments. Add lines 5a through 6d 8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached 8 ☐ Tax due II the total of lines 5 and 8 is more than line 7, eiter amount olived 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter than amount oliver of the total file is 5 and 8 is more than the lotal of lines 5 and 8, enter the amount overpaid 11 Enter the amount of line 10 to be Credited to 2011 estimated tax. ▶ Refunded ▶ 11 Penart VII-A Statements Regarding Activities 1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or inferioreous in 3no 100 during the year (either directly or indirectly) for political purposes. See the instructions for definition)? The State and state of description of the activities and copies of any materials published or distributed by the foundation in connection with the activities or distributed by the foundation in connection with the activities or distributed by the foundation in connection with the activities or distributed by the foundation in connection with the activities or distributed by the foundation in connection with the activities or distributed by the foundation in connection with the activities or distributed by the foundation in connection with the activities or distributed by the foundation in connection with the activities or distributed by the foundation in political expenditures (section 4955) imposed during the year (1) On the foundation — \$5	a 2010 estimated tax pmts and 2009 overpayment credited to 2010 6a				
d Backup withholding erroneously withheld 7 Total credits and payments Add lines 6a through 6d 8 Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached 9 Tax due if the total of lines 5 and 8 is more than line 7, enter amount owed 10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 Enter the amount of line 10 to be Credited to 2011 estimated tax. Part VII-A Statements Regarding Activities 1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any potitical campaign? Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? If the answer is: "Yes" to 1a or 1b, altach a defailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities Civil the foundation in Form 112b-PDL for this year? definer the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) on the foundation in \$ 0. (2) On foundation managers \$ 0.	b Exempt foreign organizations — tax withheld at source 6b				
7 Total credits and payments. Add lines 6a through 6d 8 Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached 9 Tax due if the total of lines 5 and 8; since than line?, enter amount owed 10 Overpayment. If line? is more than the total of lines 5 and 8, enter the amount owed 11 Enter the amount of line 10 to be Credited to 2011 estimated tax. Part VII-A Statements Regarding Activities 1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or inflervene in any political campaign? 1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or inflervene in any political campaign? 1 b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? 1 b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? 1 b L X 1 b L X 1 b L X 1 b L X 1 b L X 1 b L X 1 b L X 1 b L X 1 b L X 1 b L X 1 b L X 1 b L X 1 b L X 1 b L X 1 b L X 2 b Life the amount of lamy of lax on political expenditures (section 4955) imposed during the year (1) On the foundation if Form 1120-POL for this year? 2 b Life the emmount general (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers	c Tax paid with application for extension of time to file (Form 8868)				
8 Enter any penalty for underpayment of estimated tax. Check here	d Backup withholding erroneously withheld				
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed 10 Overpayment If line 7 is more than line 10 do lines 5 and 8, enter the amount of well to be Decetled to 2011 estimated tax	7 Total credits and payments Add lines 6a through 6d	7			0.
10 Overpayment if line 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 Enter the amount of line 10 to be Credited to 2011 estimated tax Part VII-A Statements Regarding Activities 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or infervene in any political campaign? Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities C Did the foundation file Form 1120-POL for this year? 1c	8 Enter any penalty for underpayment of estimated tax. Check here. If Form 2220 is attached	8			
Enter the amount of line 10 to be Credited to 2011 estimated tax	9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9			0.
Part VII-A Statements Regarding Activities 1 a During the tax year, did the foundation altempt to influence any national, state, or local legislation or did it participate or intervene in any political campangin? b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition) If the answer is "Yes" to Tao in 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities c Did the foundation file Form 1120-POL for this year? d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation his Form 1120-POL for this year? d Enter the isembursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers S 0. (2) On foundation managers S 0. (2) On foundation managers Y at the influence of a detailed description of the activities 3 Has the foundation and any changes, not previously been reported to the IRS? If "Yes," attach a detailed description of the activities 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes 4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year? 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By Isanguage in the governing instrument? 7 Did the foundation have at least \$3,000 in assets at any time during the year? If "Yes, complete Part II, column (c), and Part XV 8a Enter the states to which the foundation furnished a copy of form 990-PF to the Attorney General for designate) of each state as required by General Instruction of If Ith, "attach th	10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10			
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If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities C Did the foundation file Form 1120-PQL for this year? d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (if) On the foundation	b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?		1 b		Х
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	n 990-PF (2010) THE MITCHELL J. STEIN FOUNDATION	95-4	<u>474985</u>	7	Р	age 5
<u>Par</u>	t VII-A Statements Regarding Activities (Continued)			 -		
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)			11		Х
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?			12		Х
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption Website address N/A	applicat	ion?	13	X	
14	The books are in care of ► MITCHELL J. STEIN Telephone	no Þ	(310)	306	-032	20
	Located at ► 6213 OCEAN FRONT WALK, PLAYA DEL REY, CA ZIP + 4 ►	-	93-752			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here			N/A		
	and enter the amount of tax-exempt interest received or accrued during the year	► <u>1</u>	5		<u>, , , , , , , , , , , , , , , , , , , </u>	N/A
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other aubank, securities, or other financial account in a foreign country?	ithority o	ver a	16	Yes	No X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1. If 'Yes,' enter the national foreign country. ▶	me of th	e			
Par	t VII-B Statements Regarding Activities for Which Form 4720 May Be Required					
	File Form 4720 if any Item is checked in the 'Yes' column, unless an exception applies.				Yes	No
1;	a During the year did the foundation (either directly or indirectly)	_				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	Yes	X No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	Yes	XNo			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	Yes	X No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	Yes	X No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	Yes	XNo			<u> </u>
	(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	Yes	XNo		•	
1	b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see the instruction)	ns)?		1 b	N.	/A
	Organizations relying on a current notice regarding disaster assistance check here	>				
(c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?			1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))					
•	a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010?	Yes	X No			
	If 'Yes,' list the years • 20 , 20 , 20 , 20	_				
l	b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942((relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a all years listed, answer 'No' and attach statement — see the instructions)	(a)(2) a)(2) to		2b	N.	/A
•	c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years h 20, 20, 20	iere				
3	a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	Yes	X No			
	b If 'Yes,' did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation		_	ļ	;	
	or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or	Ė				
	(3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)			3b	N	/A
4	a Did the foundation invest during the year any amount in a manner that would jeopardize its			4a		Х

Form 990-PF (2010) THE MITCHELL J. ST			95-474	19857	Page 6
Part VII-B Statements Regarding Activiti		1 4720 May Be Requ	uired (continued)		
5a During the year did the foundation pay or incu	r any amount to				
(1) Carry on propaganda, or otherwise attempt	ot to influence legislation	on (section 4945(e))?	Yes X	No	
(2) Influence the outcome of any specific pub on, directly or indirectly, any voter registra	ation drive?			No	
(3) Provide a grant to an individual for travel,	study, or other similar	purposes?	☐ Yes X	No	
(4) Provide a grant to an organization other the in section 509(a)(1), (2), or (3), or section	nan a charitable, etc. o 4940(d)(2)? (see instri	rganization described uctions)	Yes X	No	
(5) Provide for any purpose other than religio educational purposes, or for the preventio	us, charitable, scientific n of cruelty to children	c, literary, or or animals?	Yes X	No	
b If any answer is 'Yes' to 5a(1)-(5), did any of described in Regulations section 53 4945 or in (see instructions)?	the transactions fail to a current notice regar	qualify under the exceptions disaster assistance	tions	5 b	N/A
Organizations relying on a current notice rega	rding disaster assistan	ce check here	▶ 🗌		
c If the answer is 'Yes' to question 5a(4), does tax because it maintained expenditure respon	the foundation claim ex sibility for the grant?	emption from the	N/A Yes	No	
If 'Yes,' attach the statement required by Reg.	ulations section 53 494	5-5(d)			
6a Did the foundation, during the year, receive an on a personal benefit contract?	ny funds, directly or ind	lirectly, to pay premiums	S Yes X	No	
${f b}$ Did the foundation, during the year, pay prem	iums, directly or indired	ctly, on a personal bene	fit contract?	6b	X
If 'Yes' to 6b, file Form 8870			. —		
7a At any time during the tax year, was the found	, , ,				
b If yes, did the foundation receive any proceed Part VIII Information About Officers, D		·		7b	<u> </u>
and Contractors	irectors, rrustees,	r oundation manaç	jers, riigiliy raiu L	inployee	э,
1 List all officers, directors, trustees, foundation	on managers and their	compensation (see inst	ructions).		
(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation		se account, owances
MITCHELL J. STEIN	PRESIDENT	0.	0.		0
6213 OCEAN FRONT WALK	0				
PLAYA DEL REY, CA 90293-7527				ļ	
2 Compensation of five highest-paid employee	T -				
(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation		se account, owances
NONE					
	<u> </u>				
Total number of other employees paid over \$50,000		<u> </u>		L	0
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TEEA0307L 02/16/11

Total. Add lines 1 through 3

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0.

Form 990-PF (2010)

see instructions.)		indations,
1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes a Average monthly fair market value of securities	1a	29,640.
b Average of monthly cash balances	1 b	14,600.
c Fair market value of all other assets (see instructions)	1 c	
d Total (add lines 1a, b, and c)	1 d	44,240.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c		
(attach detailed explanation)	0.	
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	44,240.
4 Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	664.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	43,576.
6 Minimum investment return. Enter 5% of line 5	6	2,179.
Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private of	perating four	ndations
and certain foreign organizations check here ► and do not complete the	s part.)	
1 Minimum investment return from Part X, line 6	1	2,179.
2a Tax on investment income for 2010 from Part VI, line 5		
b Income tax for 2010 (This does not include the tax from Part VI)		
c Add lines 2a and 2b	2 c	
3 Distributable amount before adjustments Subtract line 2c from line 1	3	2,179.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	2,179.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	2,179.
Part XII Qualifying Distributions (see instructions)		
1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes a Expenses, contributions, gifts, etc — total from Part I, column (d), line 26.	1 a	4,500.
b Program-related investments — total from Part IX-B	1 b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the a Suitability test (prior IRS approval required)	3 a	
b Cash distribution test (attach the required schedule)	3 b	
4 Qualifying distributions Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	4,500.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	4,500.
Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating will qualifies for the section 4940(e) reduction of tax in those years	nether the found	ation

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				2,179.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.	
b Total for prior years 20, 20, 20		0.		
3 Excess distributions carryover, if any, to 2010				
a From 2005 53, 244. b From 2006 74, 028.				
c From 2007 122, 873.				
d From 2008 4, 604.				
e From 2009 5, 881.				
f Total of lines 3a through e	260,630.		· · · · · · · · · · · · · · · · · ·	
4 Qualifying distributions for 2010 from Part				
XII, line 4 ► \$4,500.				r
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus				
(Election required – see instructions)	0.	···		
d Applied to 2010 distributable amount	2 221			2,179.
e Remaining amount distributed out of corpus Excess distributions carryover applied to 2010	2,321.			0.
(If an amount appears in column (d), the	0.			
same amount must be shown in column (a))				
6 Enter the net total of each column as				
indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	262,951.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency				
has been issued, or on which the section		0		
4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2009 Subtract line 4a from				
line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2010 Subtract lines			,	
4d and 5 from line 1. This amount must be				0.
distributed in 2011 7 Amounts treated as distributions out of				<u>U.</u>
corpus to satisfy requirements imposed				
by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2005 not	· <u> </u>			
applied on line 5 or line 7 (see instructions)	53,244.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	209,707.			
10 Analysis of line 9				
a Excess from 2006 74, 028.				
b Excess from 2007 122, 873. c Excess from 2008 4, 604.				
d Excess from 2009 4, 604.				
e Excess from 2010 2, 321.				

Form 990-PF (2010) THE MITCHELL J. Part XIV Private Operating Foundati			VII.A. guestion 9	95-4/4985	/ Page 10 N/A
1a If the foundation has received a ruling or				·	N/A
is effective for 2010, enter the date of the	ruling				_
b Check box to indicate whether the founda		erating foundation (described in section Prior 3 years	4942(j)(3) or	4942(j)(5)
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year (a) 2010	(b) 2009	(c) 2008	(d) 2007	(e) Total
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities		<u> </u>			
 Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c 					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test — enter					
(1) Value of all assets(2) Value of assets qualifying under					
section 4942(J)(3)(B)(i). b 'Endowment' alternative test — enter 2/3 of					
minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter				į	
 Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) 					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					· · · · · · · · · · · · · · · · · · ·
(4) Gross investment income			<u> </u>		
Part XV Supplementary Information assets at any time during the second	he year – see ir	s part only if the	e organization na	ad \$5,000 or moi	′e in
1 Information Regarding Foundation Mana a List any managers of the foundation who close of any tax year (but only if they have NONE	have contributed in	nore than 2% of the than \$5,000) (See	total contributions is section 507(d)(2))	received by the found	dation before the
b List any managers of the foundation who a partnership or other entity) of which the NONE	own 10% or more e foundation has a	of the stock of a co 10% or greater inter	rporation (or an equ rest	ally large portion of	the ownership of
2 Information Regarding Contribution, Gra	makes contribution	s to preselected cha	arıtable organızatıon		•
requests for funds. If the foundation mak complete items 2a, b, c, and d	es giits, grants, etc	, (see instructions)	to individuals of org	ariizatioris under oti	
a The name, address, and telephone numb	er of the person to	whom applications	should be addresse	d	
SEE STATEMENT 4					
b The form in which applications should be	submitted and info	rmation and materi	als they should inclu	ude	
SEE STATEMENT FOR LINE 2A					
c Any submission deadlines					
SEE STATEMENT FOR LINE 2A					
d Any restrictions or limitations on awards,	such as by geogra	phical areas, charita	able fields, kinds of	institutions, or other	factors
SEE STATEMENT FOR LINE 2A					
BAA	Т	EEA0310L 02/16/11		F	form 990-PF (2010)

Form 990-PF (2010) THE MITCHELL J. STEIN FOUNDATION 95-4749857 Page 11 Part XV | Supplementary Information (continued) 3 Grants and Contributions Paid During the Year or Approved for Future Payment If recipient is an individual, show any relationship to any foundation manager or substantial contributor Foundation Recipient Purpose of grant or contribution status of recipient Amount Name and address (home or business) a Paid during the year NONE P/F EDUCATIONAL PURPOSES SIMON WIESENTHAL CENTER 4,000. 9760 W. PICO BLVD. LOS ANGELES, CA 90035 P/F 500. EPILEPSY FOUNDATION NONE EDUCATIONAL PURPOSES 5600 WILSHIRE BLVD. LOS ANGELES , CA 90095 3 a 4,500. Total b Approved for future payment

3ь

Total

Part XVI-A Analysis of Income-Producing Activities

Enter gros	s amounts unless otherwise indicated	Unrelated	business income	Excluded by	section 512, 513, or 514	(e)
1 Prog	ram service revenue	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	Related or exempt function income (see the instructions)
a						
		 		 -		
g		 				
f						
g Fees	and contracts from government agencies					
	bership dues and assessments					
	st on savings and temporary cash investments					
	lends and interest from securities			 		1,070
	rental income or (loss) from real estate			 		
	-financed property debt-financed property	 				
	ental income or (loss) from personal property			 		
	er investment income			 -		14
	or (loss) from sales of assets other than inventory					-7,761
	ncome or (loss) from special events					
10 Gros	s profit or (loss) from sales of inventory					
11 Othe	er revenue		·			
a						
		 				
		-				
		 		 		
e	otal Add columns (b), (d), and (e)			 		-6,677
	I. Add line 12, columns (b), (d), and (e)	L			13	-6,677
	sheet in line 13 instructions to verify calculate	ons)				
	I-B Relationship of Activities to the		hment of Even	nt Purnos		
Line No.		<u>.</u>		· · · · · · · · · · · · · · · · · · ·		ly to the
<u>▼</u>	accomplishment of the foundation's exempt	t purposes (oth	er than by providing	tunds for su	ch purposes) (See t	he instructions)
N/A						
						
						
						
						
	 					
	 					
	 					
	 				<u> </u>	

Form 990-PF (2010) THE MITCHELL J. STEIN FOUNDATION 95-4749857 Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations Part XVII

-										 -т	
de	scribed	organization direc d in section 501(c o political organi	c) of the Code (of	ngage in any of ther than section	the following n 501(c)(3) o	g with any c rganizations	other organization s) or in section 52	7,		Yes	<u>No</u>
a Tr	ansfers	s from the reporti	ng foundation to	a noncharitable	e exempt orga	anization of					
(1) Cash	1							1 a (1)	ŀ	Χ
•	•	r assets							1a (2)		X
•	•	insactions									
		s of assets to a n	oncharitable eve	impt organizatio	n				16(1)		Х
•	•	hases of assets f							1b (1)		X
•	•			, ,	anization						X
•	•	al of facilities, eq	• •	r assets					1b (3)		_ <u>X</u>
(4) Reim	nbursement arran	gements						1 b (4)		X
(5) Loan	is or loan guarant	tees						1 b (5)		X
(6) Perfo	ormance of service	ces or membersh	ip or fundraising	g solicitations	i			1 b (6)		X
c Sł	naring	of facilities, equip	oment, mailing lis	sts, other assets	s, or paid emp	oloyees			1 c		<u>X</u>
d If th ar	the and e good ny trans	swer to any of the s, other assets, c saction or sharing	e above is 'Yes,' or services given a arrangement, s	complete the fo	ollowing sched g foundation (d) the value	dule Colum If the found of the good	nn (b) should alwa dation received les ls, other assets, o	ys show the fai ss than fair mai r services recei	r market valu ket value in ved	ue of	
(a) Line		(b) Amount involved		of noncharitable exer) Description of transf			aement	
N/A		Cay i mount involved	(S) Hame (y seem paon or trailor	,	uring uriun		
1/ /1		<u>. </u>					 				
	- -										
_								-			
		·					·				
											
				 							
											
									 		
d€	escribe	d in section 501(d	c) of the Code (o	ated with, or rel ther than sectio	lated to, one n 501(c)(3))	or more tax or in section	x-exempt organiza n 527?	tions	Yes	X	No
b lf		complete the follo		1							
	(a)	Name of organiz	zation	(b) Typ	e of organiza	ition	(c)	Description of r	elationship		
N/A											
				ļ			ļ		 		 -
											
							<u> </u>				
	Under p	enalties of perjury I de	clare that I have exam	nned this return inclu	ıdıng accompanyı	ng schedules a	and statements, and to the of which prepare as	ne best of my knowle	edge and belief i	t is true	
	correct,	and complete Declara	tion of preparer (other	than taxpayer or fidu	iciary) is based or	n all information	n of which prepared as	any knowledge			
Sign	v	1/2				. %	11.11.				
Here				=>		/^	4/14/1/	PRESIDE	NT		
	Sig	nature of officer or trus	lee		, /	Date	e /	Title			
		Print/Type preparer's	name	P eparer s	signature	COM	Date	Check if	PTIN		
3~: J		JAY S. GLI	CK	\sqrt{h}	14/1	WH	13/31/11	self employed	N/A		
Paid			MONTGOMERY	Y, GLICK &	COMPANY	<u>- , , , , , , , , , , , , , , , , , , ,</u>	//	irm s EIN ► N/A			
repa		Firm's name		 	/COME ANT		-/-/-	11113 CHY - 14/F	<u> </u>		
Jse O	nly	Firm's address	5951 VARIE		01263			100	10) 000	co.c=	
			_WOODLAND H	TILLS, CA	91367		F	Phone no (83	18) 999-		
BAA				v					Form 99	0-PF ((2010)

2010	FEDERAL STAT	EMENTS		PAGE 1
	THE MITCHELL J. STEIN	FOUNDATION		95-474985
STATEMENT 1 FORM 990-PF, PART I, LINE 11 OTHER INCOME				
	_	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
OTHER INVESTMENT INCOME	TOTAL \$	14. 14.s	\$ 0.\$	0.
STATEMENT 2 FORM 990-PF, PART I, LINE 18 TAXES				
FRANCHISE TAX BOARD	(A) EXPENSES PER BOOKS * 10 TOTAL 10		(C) ADJUSTED NET INCOME \$ 10. \$ 10.	
STATEMENT 3 FORM 990-PF, PART I, LINE 23 OTHER EXPENSES				
PORTFOLIO MANAGEMENT	(A) EXPENSES PER BOOKS 5 9,000 TOTAL 9,000	. \$ 9,000	NET INCOME	(D) CHARITABLE PURPOSES \$ 0.

NAME OF GRANT PROGRAM:

NAME:

MITCHELL J STEIN

CARE OF: STREET ADDRESS: CITY, STATE, ZIP CODE:

6213 OCEAN FRONT WALK PLAYA DEL REY, CA 90293

TELEPHONE:

SEE STATEMENT ATTACHED.

FORM AND CONTENT: SUBMISSION DEADLINES: RESTRICTIONS ON AWARDS:

2010

FEDERAL SUPPLEMENTAL INFORMATION

PAGE 1

THE MITCHELL J. STEIN FOUNDATION

95-4749857

PART XV (2)B - APPLICATIONS SHOULD BE MADE IN WRITING TO THE BOARD OF DIRECTORS AND MUST INCLUDE A HISTORY OF THE APPLICANT & PLAN FOR USE OF THE GRANT.

FORM 990PF, PART 1, LINE 6A-NET GAIN OR LOSS FROM SALE OF ASSETS: SEE ATTACHED SMITH BARNEY CITIGROUP REALIZED GAIN (LOSS) SUMMARY