Form **990-PF**
Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation
Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052
2010

For calendar year 2010, or tax year beginning , 2010, and ending , 20

G Check all that apply [ ] Initial return [ ] Initial return of a former public charity [ ] Final return
[ ] Amended return [ ] Address change [ ] Name change

Name of foundation: MIMI AND PETER HAAS FUND

A Employer identification number: 94-6064551

Number and street (or P O box number if mail is not delivered to street address): 201 FILBERT STREET, FIFTH FLOOR
Room/suite

B Telephone number (see page 10 of the instructions): (415) 296-9249

City or town, state, and ZIP code: SAN FRANCISCO, CA 94133-3238

C If exemption application is pending, check here ▶ [ ]
D 1. Foreign organizations, check here ▶ [ ]
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]
E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

H Check type of organization [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 197,819,957.

J Accounting method. [ ] Cash [X] Accrual
[ ] Other (specify) ____________
(Part I, column (d) must be on cash basis)

ENVELOPE POSTMARK DATE NOV 15 2011

SCANNED NOV 28 2011

RECEIVED NOV 1 2011 IRS-OSC OGDEN, UT

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

Section	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	0.			
	2	Check ▶ [ ] if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	123.	123.	0.	ATCH 1
	4	Dividends and interest from securities	1,774,457.	1,774,457.	0.	ATCH 2
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	7,165,554.			
	b	Gross sales price for all assets on line 6a 301,636,222.				
	7	Capital gain net income (from Part IV, line 2)		7,165,554.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	10,897,577.	3,032,490.	0.	ATCH 3
	12	Total. Add lines 1 through 11	19,837,711.	11,972,624.	0.	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	225,000.	0.	0.	225,000.
	14	Other employee salaries and wages	352,992.	0.	0.	352,992.
	15	Pension plans, employee benefits	179,483.	0.	0.	179,483.
	16a	Legal fees (attach schedule) ATCH 4				
	b	Accounting fees (attach schedule) ATCH 5	187,650.	124,355.	0.	63,295.
	c	Other professional fees (attach schedule) *	1,837,743.	1,837,743.	0.	0.
	17	Interest ATTACHMENT 7				
	18	Taxes (attach schedule) (see page 14 of the instructions) **	420,536.	0.	0.	235.
	19	Depreciation (attach schedule) and depletion	6,310.	0.	0.	
	20	Occupancy	199,185.	0.	0.	199,185.
	21	Travel, conferences, and meetings	4,174.	0.	0.	4,174.
	22	Printing and publications	2,078.	0.	0.	2,078.
	23	Other expenses (attach schedule) ATCH 9	42,215.	0.	0.	42,215.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	3,457,366.	1,962,098.	0.	1,068,657.
	25	Contributions, gifts, grants paid	8,136,414.			8,110,414.
	26	**Total expenses and disbursements** Add lines 24 and 25	11,593,780.	1,962,098.	0.	9,179,071.
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	8,243,931.			
	b	**Net investment income** (if negative, enter -0-)		10,010,526.		
	c	**Adjusted net income** (if negative, enter -0-)			0.	

Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
1	Cash - non-interest-bearing	500.	500.	500.
2	Savings and temporary cash investments	480,947.	3,414,299.	3,414,299.
3	Accounts receivable ▶ 44,525. Less allowance for doubtful accounts ▶	8,823,874.	44,525.	44,525.
4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
5	Grants receivable	0.	19,000.	19,000.
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10 a	Investments - U S and state government obligations (attach schedule)			
b	Investments - corporate stock (attach schedule)			
c	Investments - corporate bonds (attach schedule)			
11	Investments - land, buildings, and equipment basis ▶ 5,550. Less accumulated depreciation (attach schedule) ▶	5,550.	5,550.	ATCH 10 5,550.
12	Investments - mortgage loans			
13	Investments - other (attach schedule) ATCH 11	179,723,854.	194,324,008.	194,324,008.
14	Land, buildings, and equipment basis ▶ 357,331. Less accumulated depreciation (attach schedule) ▶ 345,256.	16,691.	12,075.	ATCH 12 12,075.
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	189,051,416.	197,819,957.	197,819,957.
17	Accounts payable and accrued expenses	131,255.	405,564.	
18	Grants payable	0.	45,000.	
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶ ATCH 13)	6,315.	211,616.	
23	**Total liabilities** (add lines 17 through 22)	137,570.	662,180.	
	Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted	188,913,846.	197,157,777.	
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ [ ]			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see page 17 of the instructions)	188,913,846.	197,157,777.	
31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	189,051,416.	197,819,957.	

(Side labels: Assets — lines 1–16; Liabilities — lines 17–23; Net Assets or Fund Balances — lines 24–31)

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	188,913,846.
2	Enter amount from Part I, line 27a	2	8,243,931.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	197,157,777.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	197,157,777.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	7,165,554.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	9,019,915.	165,676,118.	0.054443
2008	8,586,594.	200,137,972.	0.042903
2007	13,164,285.	239,247,918.	0.055024
2006	12,976,441.	234,189,036.	0.055410
2005	12,071,370.	227,094,461.	0.053156

2	**Total** of line 1, column (d)	2	0.260936
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.052187
4	Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	192,422,178.
5	Multiply line 4 by line 3	5	10,041,936.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	100,105.
7	Add lines 5 and 6	7	10,142,041.
8	Enter qualifying distributions from Part XII, line 4	8	9,179,071.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a	Exempt operating foundations described in section 4947(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ____________ (attach copy of ruling letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	200,211.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	200,211.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	200,211.
6	Credits/Payments		
a	2010 estimated tax payments and 2009 overpayment credited to 2010 — 6a: 116,957.		
b	Exempt foreign organizations-tax withheld at source — 6b: 0.		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 164,000.		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	280,957.
8	Enter any **penalty** for underpayment of estimated tax. Check here [X] if Form 2220 is attached	8	2,339.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	78,407.
11	Enter the amount of line 10 to be **Credited to 2011 estimated tax** ▶ 78,407. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation ▶ $ ________ (2) On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ CA,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ ARGONAUT SECURITIES COMPANY Telephone no ▶ (415)501-4885

Located at ▶ 1155 BATTERY ST, LS7W SAN FRANCISCO, CA ZIP + 4 ▶ 94111

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

		Line	Yes	No
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22 1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ATCH 21	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? If "Yes," list the years ▶	☐ Yes ☒ No			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 22 of the instructions)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2010 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to.

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** | | X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 14		225,000.	44,588.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 15		349,536.	75,173.	0.

Total number of other employees paid over $50,000 ▶ 0

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Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
ATTACHMENT 16		1,427,152.
Total number of others receiving over $50,000 for professional services ▶		3

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 HOSTING MEETINGS OF NONPROFIT LEADERS AND GRANTMAKERS; SERVICE OF FOUNDATION STAFF ON NONPROFIT BOARDS AND ADVISORY COUNCILS; TECHNICAL ASSISTANCE TO ENCOURAGE PHILANTHROPY.	2,437.
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	

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Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	98,437,998.
b	Average of monthly cash balances	1b	2,326,427.
c	Fair market value of all other assets (see page 25 of the instructions)	1c	94,588,040.
d	**Total** (add lines 1a, b, and c)	1d	195,352,465.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	195,352,465.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	2,930,287.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	192,422,178.
6	**Minimum investment return.** Enter 5% of line 5	6	9,621,109.

Part XI **Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	9,621,109.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	200,211.		
b	Income tax for 2010 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	200,211.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	9,420,898.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	9,420,898.
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	9,420,898.

Part XII **Qualifying Distributions** (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	9,179,071.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	9,179,071.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	N/A
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	9,179,071.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				9,420,898.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only				
b Total for prior years 20 08 ,20 07 ,20 06				
3 Excess distributions carryover, if any, to 2010:				
a From 2005 ... 760,524.				
b From 2006 ... 1,678,911.				
c From 2007 ... 1,637,653.				
d From 2008 ... 0.				
e From 2009 ... 796,351.				
f **Total** of lines 3a through e	4,873,439.			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ $ 9,179,071.				
a Applied to 2009, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2010 distributable amount				9,179,071.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2010 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	241,827.			241,827.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	4,631,612.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see page 27 of the instructions				
e Undistributed income for 2009 Subtract line 4a from line 2a. Taxable amount - see page 27 of the instructions				
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions)	518,697.			
9 **Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a	4,112,915.			
10 Analysis of line 9				
a Excess from 2006 ... 1,678,911.				
b Excess from 2007 ... 1,637,653.				
c Excess from 2008 ... 0.				
d Excess from 2009 ... 796,351.				
e Excess from 2010 ... 0.				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . .					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed.

ATTACHMENT 17

b The form in which applications should be submitted and information and materials they should include

NO PRESCRIBED FORM

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATTACHMENT 18				
Total . . . . . . ▶ **3a**				8,110,414.
b *Approved for future payment*				
ATTACHMENT 19				
Total . . . . . . ▶ **3b**				45,000.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income (See page 28 of the instructions.)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	123.	
4 Dividends and interest from securities			14	1,774,457.	
5 Net rental income or (loss) from real estate.					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	3,032,490.	
8 Gain or (loss) from sales of assets other than inventory			18	7,165,554.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b ATTACHMENT 20				7,865,087.	
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				19,837,711.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . . 13 19,837,711.

(See worksheet in line 13 instructions on page 29 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 29 of the instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions.			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Lynn [illegible] | 14 Nov 2011 | EXECUTIVE DIRECTOR

Signature of officer or trustee | Date | Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN

Firm's name ▶ | Firm's EIN ▶

Firm's address ▶ | Phone no

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Form **4562**

Department of the Treasury Internal Revenue Service (99)

Depreciation and Amortization
(Including Information on Listed Property)

▶ See separate instructions. ▶ Attach to your tax return.

OMB No 1545-0172

2010

Attachment Sequence No 67

Name(s) shown on return: MIMI AND PETER HAAS FUND

Identifying number: 94-6064551

Business or activity to which this form relates: GENERAL DEPRECIATION

Part I Election To Expense Certain Property Under Section 179
Note: *If you have any listed property, complete Part V before you complete Part I.*

1	Maximum amount (see instructions)	1	
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	
4	Reduction in limitation. Subtract line 3 from line 2 If zero or less, enter -0-	4	
5	Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions	5	

6 (a) Description of property	(b) Cost (business use only)	(c) Elected cost

7	Listed property Enter the amount from line 29	7	
8	Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction Enter the **smaller** of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2009 Form 4562	10	
11	Business income limitation. Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11	
12	Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2011. Add lines 9 and 10, less line 12 ▶	13	

Note: *Do not use Part II or Part III below for listed property. Instead, use Part V.*

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property.) (See instructions)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	6,108.

Part III MACRS Depreciation (Do not include listed property) (See instructions)

Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2010	17	
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ▶ ☐		

Section B - Assets Placed in Service During 2010 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only - see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental property			27.5 yrs	MM	S/L	
			27.5 yrs.	MM	S/L	
i Nonresidential real property			39 yrs.	MM	S/L	
				MM	S/L	

Section C - Assets Placed in Service During 2010 Tax Year Using the Alternative Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
20a Class life	SEE	1,694.	VAR	HY	S/L	121.
b 12-year	DETAIL		12 yrs	HY	S/L	
c 40-year			40 yrs.	MM	S/L	

Part IV Summary (See instructions.)

21	Listed property Enter amount from line 28	21	
22	**Total.** Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return Partnerships and S corporations - see instructions	22	6,229.
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

JSA **For Paperwork Reduction Act Notice, see separate instructions.** Form **4562** (2010)
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Part V **Listed Property** (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement)

Note: *For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete* **only** *24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.*

Section A - Depreciation and Other Information (Caution: *See the instructions for limits for passenger automobiles* **)**

24a Do you have evidence to support the business/investment use claimed? [ ] Yes [ ] No **24b** If "Yes," is the evidence written? [ ] Yes [ ] No

(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/ Convention	(h) Depreciation deduction	(i) Elected section 179 cost
25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use (see instructions)						25		
26 Property used more than 50% in a qualified business use								
		%						
		%						
		%						
27 Property used 50% or less in a qualified business use								
		%				S/L -		
		%				S/L -		
		%				S/L -		
28 Add amounts in column (h), lines 25 through 27 Enter here and on line 21, page 1						28		
29 Add amounts in column (i), line 26. Enter here and on line 7, page 1							29	

Section B - Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person. If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles

	(a) Vehicle 1		(b) Vehicle 2		(c) Vehicle 3		(d) Vehicle 4		(e) Vehicle 5		(f) Vehicle 6	
30 Total business/investment miles driven during the year (**do not** include commuting miles)												
31 Total commuting miles driven during the year												
32 Total other personal (noncommuting) miles driven												
33 Total miles driven during the year. Add lines 30 through 32												
	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
34 Was the vehicle available for personal use during off-duty hours?												
35 Was the vehicle used primarily by a more than 5% owner or related person?												
36 Is another vehicle available for personal use?												

Section C - Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who **are not** more than 5% owners or related persons (see instructions).

	Yes	No
37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?		
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use? (See instructions)		

Note: *If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles*

Part VI **Amortization**

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
42 Amortization of costs that begins during your 2010 tax year (see instructions)					
43 Amortization of costs that began before your 2010 tax year				43	81.
44 **Total.** Add amounts in column (f). See the instructions for where to report				44	81.

MIMI AND PETER HAAS FUND

2010

94-6064551

Description of Property

GENERAL DEPRECIATION

DEPRECIATION

Asset description	Date placed in service	Unadjusted Cost or basis	Bus %	179 exp reduction in basis	Basis Reduction	Basis for depreciation	Beginning Accumulated depreciation	Ending Accumulated depreciation	Me-thod	Conv	Life	ACRS class	MA CRS class	Current-year 179 expense	Current-year depreciation
FURNITURE 92	01/01/1992	7,691.	100.000			7,691.	7,691.	7,691.	SL		10.000				
OFFICE FURN 94	01/01/1994	98,864.	100.000			98,864.	98,864.	98,864.	SL		10.000				
ARTWORK 94	01/01/1994	5,550.	100.000												
SIDETABLE 95	09/15/1995	1,606.	100.000			1,606.	1,606.	1,606.	SL		10.000				
WORKSTATION 95	08/24/1995	552.	100.000			552.	552.	552.	SL		10.000				
CONF TABLE 95	11/15/1995	924.	100.000			924.	924.	924.	SL		10.000				
ROUTER/ETHERNET 98	03/15/1998	602.	100.000			602.	602.	602.	SL		5.000				
OFFICE CHAIR 98	03/15/1998	459.	100.000			459.	459.	459.	SL		7.000				
2 STORAGE CABN 98	04/30/1998	2,220.	100.000			2,220.	2,220.	2,220.	SL		7.000				
4 MERIDIAN FILE 98	04/30/1998	2,681.	100.000			2,681.	2,681.	2,681.	SL		7.000				
2 TABLE DESK 98	08/28/1998	4,200.	100.000			4,200.	4,200.	4,200.	SL		7.000				
BOOKCASE 98	08/28/1998	930.	100.000			930.	930.	930.	SL		7.000				
FILE TOP 98	08/18/1998	350.	100.000			350.	350.	350.	SL		7.000				
DISHWASHER 01	01/17/2001	427.	100.000			427.	427.	427.	SL		7.000				
SERVER BACKUP 02	10/09/2002	437.	100.000			437.	437.	437.	SL		5.000				
DSL SET UP 02	02/14/2002	449.	100.000			449.	449.	449.	SL		5.000				
VIDEO CONF SYS 03	02/14/2003	27,740.	100.000			27,740.	27,740.	27,740.	SL		5.000				
OFFICE CHAIRS 03	09/02/2003	1,548.	100.000			1,548.	1,400.	1,548.	SL		7.000				148.
POWER PNT PROJ 04	10/19/2004	927.	100.000			927.	927.	927.	SL		5.000				
Less Retired Assets															
Subtotals						293,670.	275,365.	281,594.							6,229.
Listed Property															
Less: Retired Assets															
Subtotals															
TOTALS		299,220.				293,670.	275,365.	281,594.							6,229.

AMORTIZATION

Asset description	Date placed in service	Cost or basis	Accumulated amortization	Ending Accumulated amortization	Code	Life	Current-year amortization
LEASEHOLD IMPR 94	07/01/1994	30,736.	30,736.	30,736.	A178	40.000	
LEASEHOLD IMPR 95	01/01/1995	31,396.	31,396.	31,396.	A178	40.000	
LEASEHOLD IMPR 03	05/12/2003	1,530.	1,449.	1,530.	A178	7.000	81.
TOTALS		63,662.	63,581.	63,662.			81.

*Assets Retired

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Description of Property

GENERAL DEPRECIATION

DEPRECIATION

Asset description	Date placed in service	Unadjusted Cost or basis	Bus %	179 exp reduction in basis	Basis Reduction	Basis for depreciation	Beginning Accumulated depreciation	Ending Accumulated depreciation	Me-thod	Conv	Life	ACRS class	MA CRS class	Current-year 179 expense	Current-year depreciation
OFFICE FURN 93	01/01/1993	68,137.	100.000			68,137.	68,137.	68,137.	SL		10.000				
TELEPHONE 97	10/15/1997	1,109.	100.000			1,109.	1,109.	1,109.	SL		5.000				
MINOLTA COPIER 98	08/28/1998	16,340.	100.000			16,340.	16,340.	16,340.	SL		5.000				
PHONE SYS 03	02/14/2003	21,196.	100.000			21,196.	21,196.	21,196.	SL		5.000				
DELL SERVER 05	06/01/2005	4,077.	100.000			4,077.	3,736.	4,077.	SL		5.000				341.
DOOR PLAQUE 06	04/26/2006	799.	100.000			799.	418.	532.	SL		7.000				114.
6 DELL OPTIPLEX 07	04/18/2007	8,978.	100.000			8,978.	4,789.	6,585.	SL		5.000				1,796.
KEYBOARD TRAYS 07	06/21/2007	665.	100.000			665.	238.	333.	SL		7.000				95
FLATBED SCANNER 07	06/25/2007	144.	100.000			144.	72.	101.	SL		5.000				29.
BIZHUB COPIER 08	02/05/2008	17,924.	100.000			17,924.	6,871.	10,456.	SL		5.000				3,585.
FURNITURE 10	07/19/2010	1,093.	100.000			1,093.		78.	SL	HY	7.000		7		78.
LM CHAIR 10	10/15/2010	601.	100.000			601.		43.	SL	HY	7.000		7		43.
Less. Retired Assets															
Subtotals		299,220.													

Listed Property

Asset description	Date placed in service	Unadjusted Cost or basis	Bus %	179 exp reduction in basis	Basis Reduction	Basis for depreciation	Beginning Accumulated depreciation	Ending Accumulated depreciation	Me-thod	Conv	Life	ACRS class	MA CRS class	Current-year 179 expense	Current-year depreciation
Less Retired Assets															
Subtotals															
TOTALS															

AMORTIZATION

Asset description	Date placed in service	Cost or basis	Accumulated amortization	Ending Accumulated amortization	Code	Life	Current-year amortization
TOTALS							

*Assets Retired

JSA

0X9024 1 000

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
WELLS FARGO BANK CHECKING 0057-003733	123.	123.	0.
TOTAL	123.	123.	0.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
INTEREST FROM SECURITIES-			
GENERAL ACCOUNT 26-74278	56.	56.	0.
NEW CENTURY PARTNERS II 26-03957	0.	0.	0.
CAPITAL GUARDIAN 26-03958	957,358.	957,358.	0.
SCHWAB/GURTIN 2090-5807	212,584.	212,584.	0.
GOLDMAN SACHS 026-01445-6	2.	2.	0.
WELLS FARGO W20095273	0.	0.	0.
DIVIDENDS FROM SECURITIES-			
NEW CENTURY PARTNERS II 26-03957	0.	0.	0.
CAPITAL GUARDIAN 26-03958	0.	0.	0.
SCHWAB/GURTIN 2090-5807	85.	85.	0.
GENERAL ACCOUNT 26-74278	604,372.	604,372.	0.
TOTAL	1,774,457.	1,774,457.	0.

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
ORDINARY INCOME FROM PARTNERSHIPS-			
FARALLON CAPITAL INST 94-3106323	452,453.	452,453.	0.
FIRST RESERVE XI ONSHORE AIV 71-1015969	7,156.	7,156.	0.
FIRST RESERVE XI OFFSHORE AIV94-6064551			
GEMINI ISRAEL IV 98-0428414	-677.	-677.	0.
GEMINI ISRAEL V 98-0548428	-705.	-705.	0.
JC FLOWERS II 94-6064551	13,618.	13,618.	0.
JCF II SIDECAR 98-0504008	-26.	-26.	0.
JCF II AIV A-1 98-0521086			
HSH CAYMAN PARTNERS 98-0521559			
JCF II SPECIAL AIV K (NA)			
HCP WPPE X INVESTORS 26-1278318	-24,154.	-24,154.	0.
JW CHILDS EQUITY III 03-0387301	86,884.	86,884.	0.
ASIA ENVIRONMENTAL (N/A)			
HCP PRIVATE EQUITY 52-2335224	-8,766.	-8,766.	0.
NATURAL GAS PARTNERS IX 26-0632609	-17,359.	-17,359.	0.
PENZA GLOBAL VALUE FUND DST 20-6776308	621,716.	621,716.	0.
POUCHINE COOK CAPITAL II 35-2249258	59,198.	59,198.	0.
ROSEWOOD CAPITAL 94-3195569	92,566.	92,566.	0.
ROSEWOOD CAPITAL III 94-3274638	-2,723.	-2,723.	0.
CARMEL PARTNERS INV FUND III 33-1177003	632.	632.	0.
ROSEWOOD CAPITAL V 14-1948848	4,159.	4,159.	0.
STG III A 20-8952445	-7,208.	-7,208.	0.
TCV III (Q) 77-0494890	-833.	-833.	0.
TCV IV 77-0527868	-179.	-179.	0.
WARBURG PINCUS PRIVATE EQUITY VIII 13-4161869	121,902.	121,902.	0.
WARBURG PINCUS (BERMUDA) PRIVATE EQUITY VIII 13-4194502	15.	15.	0.
FIRST RESERVE XI-B OFFSHORE AIV (N/A)			
FIRST RESERVE XI-D OFFSHORE AIV (N/A)			
GENERATION IM GLOBAL (N/A)	50,909.	50,909.	0.

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
GENERATION IM CLIMATE SOLUTION (N/A)			
JCF II AIV E (N/A))	-48.	-48.	0.
JCF II AIV S 98-0578159			
JCF HRE AIV II TE TRUST 98-0584484	265,608.	265,608.	0.
JUPITER JCF AIV II SPECIAL 98-0569851	-17.	-17.	0.
HCP VF IX INVESTORS 26-1958282	9,993.	9,993.	0.
ROSEWOOD CAPITAL V COBALT HOLDING (N/A)			
RVG IV 22-3707169			
WATERSHED CAPITAL INST 82-0547075	518,209.	518,209.	0.
BAUPOST VALUE PARTNERS IV 26-2208448	692,966.	692,966.	0.
J.W. CHILDS BERMUDA AIV TWO 98-0405583	84,209.	84,209.	0.
KHOSLA VENTURES III 26-4049910	-119.	-119.	0.
KHOSLA VENTURES SEED 26-4754028	-1,429.	-1,429.	0.
ROSEWOOD CAPITAL IV 22-3707169	-1,284.	-1,284.	0.
OTHER INCOME-			
SECURITIES LITIGATION SETTLEMENTS	15,824.	15,824.	0.
CHANGES IN UNREALZED APPRECIATION	7,865,087.	0.	0.
TOTALS	10,897,577.	3,032,490.	0.

FORM 990PF, PART I - LEGAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS
LEGAL FEES	
TOTALS	

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
ARGONAUT SECURITIES COMPANY	152,650.	106,855.		45,795.
OUTSIDE ACCOUNTING SERVICE	35,000.	17,500.		17,500.
TOTALS	187,650.	124,355.	0.	63,295.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
INVESTMENT ADVISOR & CUSTODIAL FEES-				
CAPITAL GUARDIAN 26-03958	125,886.	125,886.	0.	0.
GENERAL ACCOUNT 26-74278	2,545.	2,545.	0.	0.
ASIA ENVIRONMENT PARTNERS(NA)	0.	0.	0.	0.
SCHWAB/GURTIN 20905807	46,717.	46,717.	0.	0.
CARMEL PARTNERS III33-1177003	15,000.	15,000.	0.	0.
HALL CAPITAL PARTNERS LLC	501,175.	501,175.	0.	0.
FARALLON CAPITAL 94-3106323	98,286.	98,286.	0.	0.
FR XI ONSHORE AIV 71-1015969	14,000.	14,000.	0.	0.
JC FLOWERS II 94-6064551	11,523.	11,523.	0.	0.
GEMINI ISRAEL IV 98-0428414	13,953.	13,953.	0.	0.
GEMINI ISRAEL V 98-0548428	24,877.	24,877.	0.	0.
JW CHILDS EQ III 03-0387301	8,179.	8,179.	0.	0.
JW CHILDS BERMUDA AIV TWO 98-0405583	1,536.	1,536.	0.	0.
HCP PRIVATE EQ 52-2335224	3,651.	3,651.	0.	0.
NATURAL GAS IX 26-0632609	33,000.	33,000.	0.	0.
PZENA GLOBAL VALUE 20-6776308	84,288.	84,288.	0.	0.
POUCHINE COOK CAPITAL II 35-2249258	29,673.	29,673.	0.	0.
GENERATION IM GLOBAL (N/A)	76,384.	76,384.	0.	0.
ROSEWOOD CAP III 94-3274638	0.	0.	0.	0.
ROSEWOOD CAP IV 22-3707169	7,011.	7,011.	0.	0.
ROSEWOOD CAP V 14-1948848	24,619.	24,619.	0.	0.
BAUPOST VALUE PRIV 26-2208448	528,894.	528,894.	0.	0.
WATERSHED CAP INST 82-0547075	118,547.	118,547.	0.	0.
TCV IV 77-0527868	2,727.	2,727.	0.	0.
STG III A 20-8952445	46,667.	46,667.	0.	0.
WARBURG PINCUS PRIVATE EQUITY VIII 13-4161869	5,875.	5,875.	0.	0.
KHOSLA VENTURES III26-4049910	4,447.	4,447.	0.	0.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
KHOSLA VENTURES SEED 26-4754028	5,486.	5,486.	0.	0.
INVESTMENT-RELATED EXPENSES- BANK SERVICE CHARGES	2,797.	2,797.	0.	0.
TOTALS	1,837,743.	1,837,743.	0.	0.

FORM 990PF, PART I - INTEREST EXPENSE

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS
INTEREST-PARTNERSHIP ESCROWS	
TOTALS	

ATTACHMENT 8

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
FEDERAL EXCISE TAX	215,000.	0.	0.	0.
FEDERAL DEFERRED TAXES	205,301.	0.	0.	0.
STATE TAX AND FILING FEES	235.	0.	0.	235.
TOTALS	420,536.	0.	0.	235.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
ADMINISTRATIVE CONSULTANTS	6,930.	0.	0.	6,930.
GENERAL LIABILITY INSURANCE	1,601.	0.	0.	1,601.
TELEPHONE	4,477.	0.	0.	4,477.
SUPPLIES	4,098.	0.	0.	4,098.
POSTAGE & FREIGHT	1,153.	0.	0.	1,153.
MEMBERSHIP FEES	550.	0.	0.	550.
SERVICE CONTRACT & EQ REPAIR	17,422.	0.	0.	17,422.
EQUIPMENT RENTAL	1,175.	0.	0.	1,175.
MISC ADMIN-RELATED EXPENSES	1,519.	0.	0.	1,519.
MISC GRANT-RELATED EXPENSES	574.	0.	0.	574.
TECHNOLOGY CONSULTANTS	0.	0.	0.	0.
DIRECT CHARITABLE ACTIVITIES	2,437.	0.	0.	2,437.
PROFESSIONAL DEVELOPMENT	279.	0.	0.	279.
TOTALS	42,215.	0.	0.	42,215.

INVESTMENTS - LAND, BUILDINGS, EQUIPMENT

ATTACHMENT 10

		FIXED ASSET DETAIL				ACCUMULATED DEPRECIATION DETAIL			
ASSET DESCRIPTION	METHOD/ CLASS	BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE	BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE
ARTWORK 94	L	5,550.			5,550.				
TOTALS		5,550.			5,550.				

ATTACHMENT 11

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
AURELIUS CAPITAL INTERNATIONAL	0.	0.
BIL	0.	0.
CANYON VALUE REAL FUND	370,953.	370,953.
FARALLON CAPTL INST 94-3106323	11,019,467.	11,019,467.
FIRST RESERVE XI ALT C-1	50,006.	50,006.
FR XI ONSHORE AIV 71-1015969	726,611.	726,611.
FR XI OFFSHORE AIV 94-6064551	517,947.	517,947.
GEMINI ISRAEL IV 98-0428414	670,378.	670,378.
GEMINI ISRAEL V 98-0548428	193,704.	193,704.
GENERATION IM GLOBAL EQ FUND	8,049,345.	8,049,345.
HCP WPPE X INVEST 26-1278318	1,358,153.	1,358,153.
JC FLOWERS II 98-0494093	363,068.	363,068.
JCF II SIDECAR 98-0504008	4,391.	4,391.
HSH CAYMAN 98-0521559	19,280.	19,280.
FR XI-D OFFSHORE AIV (N/A)	120,171.	120,171.
JCF II SPECIAL AIV K	19,769.	19,769.
JW CHILDS EQ III 03-0387301	781,704.	781,704.
JW CHLDS BERM AIV 2 98-0405583	245,402.	245,402.
LBA REALTY FUND III	780,371.	780,371.
OFFIT HALL PRVT EQ 52-2335224	1,735,592.	1,735,592.
OFFIT HALL ABSOLUTE RTN OFFSHR	0.	0.
NATURAL GAS PARTR IX26-0632609	1,307,525.	1,307,525.
PZENA GLOBAL VALUE FUND DST	10,297,385.	10,297,385.
POUCHINE COOK CAPII 35-2249258	725,384.	725,384.
ROSEWOOD CAPITAL 94-3195569	151,388.	151,388.
ROSEWOOD CAP III 94-3274638	1,552,118.	1,552,118.
ROSEWOOD CAP V 14-1948848	646,385.	646,385.
ROYAL CAPITAL VALUE FUND	0.	0.
RVG IV 22-3707169	0.	0.
STG III A 20-8952445	1,454,644.	1,454,644.
TCV III(Q) 77-0494890	104,001.	104,001.
TCV IV 77-0527868	462,417.	462,417.
TIEDEMANN GLOBAL EMERGING FUND	0.	0.

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION		ENDING BOOK VALUE	ENDING FMV
WARBURG EQ VIII 13-4161869		2,020,553.	2,020,553.
WARBURG (BERM) PRVT EQ VIII 13-4194502		0.	0.
NORTHERN TRUST COMPANY			
GENERAL ACCOUNT 26-74278	ATCH 22	18,645,152.	18,645,152.
NEW CENTURY PRTNR II 26-03957		0.	0.
CAPITAL GUARDIAN 26-03958	ATCH 23	31,020,693.	31,020,693.
SCHWAB/GURTIN 2090-5807	ATCH 24	40,799,893.	40,799,893.
GOLDMAN SACHS 026-01445-6		2.	2.
WF INVESTMENTS W20095273		0.	0.
CREDIT SUISSE 219-660511		0.	0.
ASIA ENVIRONMENTAL PARTNER(NA)		812,237.	812,237.
CARMEL PARTNERS INV FUND III 33-1177003		261,529.	261,529.
HCP VF IX INVESTORS 26-1958282		1,457,339.	1,457,339.
JUPITER JCF AIV II SPECIAL 98-0569851		137,848.	137,848.
JCF II AIV S 98-0578159		6,306.	6,306.
FR XI-B OFFSHORE AIV (N/A)		-12,351.	-12,351.
GENERATION IM CLIMATE SOL(N/A)		2,124,387.	2,124,387.
GS MOUNT KELLETT CAP (N/A)		1,673,497.	1,673,497.
JCF HRE AIV II TE TRUST 98-0584484		2,004.	2,004.
JCF II AIV E (N/A)		5,364.	5,364.
WATERSHED CAP INST PARTNERS 82-0547075		8,424,296.	8,424,296.
ROSEWOOD CAP V COBALT (N/A)		157,177.	157,177.
SRI NINE REIT 77-0677478		262,340.	262,340.
FR HORIZON AIV (N/A)		177,046.	177,046.
BAUPOST VALUE PARTNERS IV 26-2208448		36,310,105.	36,310,105.
KHOSLA VENTURES III 26-4049910		466,165.	466,165.
KHOSLA VENTURES SEED		83,961.	83,961.

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
26-4754028		
ROSEWOOD CAPITAL IV 22-3707169	566,868.	566,868.
ETON PARK OVERSEES FUND (N/A)	5,000,000.	5,000,000.
FR XI-E ONSHORE AIV (N/A)	-32,430.	-32,430.
HCP CHINA PE2009 FEEDER-CAYMAN	226,468.	226,468.
TOTALS	194,324,008.	194,324,008.

LAND, BUILDINGS, EQUIPMENT NOT HELD FOR INVESTMENT

ATTACHMENT 12

		FIXED ASSET DETAIL				ACCUMULATED DEPRECIATION DETAIL			
ASSET DESCRIPTION	METHOD/ CLASS	BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE	BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE
FURNITURE 92	SL	7,691.			7,691.	7,691.			7,691.
OFFICE FURN 94	SL	98,864.			98,864.	98,864.			98,864.
LEASEHOLD IMPR 94	SL	30,736.			30,736.	30,736.			30,736.
SIDETABLE 95	SL	1,606.			1,606.	1,606.			1,606.
WORKSTATION 95	SL	552.			552.	552.			552.
CONF TABLE 95	SL	924.			924.	924.			924.
LEASEHOLD IMPR 95	SL	31,396.			31,396.	31,396.			31,396.
ROUTER/ETHERNET 98	SL	602.			602.	602.			602.
OFFICE CHAIR 98	SL	459.			459.	459.			459.
2 STORAGE CABN 98	SL	2,220.			2,220.	2,220.			2,220.
4 MERIDIAN FILE 98	SL	2,681.			2,681.	2,681.			2,681.
2 TABLE DESK 98	SL	4,200.			4,200.	4,200.			4,200.
BOOKCASE 98	SL	930.			930.	930.			930.
FILE TOP 98	SL	350.			350.	350.			350.
DISHWASHER 01	SL	427.			427.	427.			427.
SERVER BACKUP 02	SL	437.			437.	437.			437.
DSL SET UP 02	SL	449.			449.	449.			449.
LEASEHOLD IMPR 03	SL	1,530.			1,530.	1,449.	81.		1,530.

ATTACHMENT 12

LAND, BUILDINGS, EQUIPMENT NOT HELD FOR INVESTMENT

ATTACHMENT 12 (CONT'D)

ASSET DESCRIPTION	METHOD/ CLASS	FIXED ASSET DETAIL BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE	ACCUMULATED DEPRECIATION DETAIL BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE
VIDEO CONF SYS 03	SL	27,740.			27,740.	27,740.			27,740.
OFFICE CHAIRS 03	SL	1,548.			1,548.	1,400.	148.		1,548.
POWER PNT PROJ 04	SL	927.			927.	927.			927.
OFFICE FURN 93	SL	68,137.			68,137.	68,137.			68,137.
TELEPHONE 97	SL	1,109.			1,109.	1,109.			1,109.
MINOLTA COPIER 98	SL	16,340.			16,340.	16,340.			16,340.
PHONE SYS 03	SL	21,196.			21,196.	21,196.			21,196.
DELL SERVER 05	SL	4,077.			4,077.	3,736.	341.		4,077.
DOOR PLAQUE 06	SL	799.			799.	418.	114.		532.
6 DELL OPTIPLEX 07	SL	8,978.			8,978.	4,789.	1,796.		6,585.
KEYBOARD TRAYS 07	SL	665.			665.	238.	95.		333.
FLATBED SCANNER 07	SL	144.			144.	72.	29.		101.
BIZHUB COPIER 08	SL	17,924.			17,924.	6,871.	3,585.		10,456.
TOTALS		355,638.			355,638.	338,946.			345,135.

FORM 990PF, PART II - OTHER LIABILITIES

DESCRIPTION		ENDING BOOK VALUE
DEFERRED TAX PAYABLE		211,616.
	TOTALS	211,616.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 14

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
MIRIAM L. HAAS C/O ARGONAUT SECURITIES CO. 1155 BATTERY STREET, LS7W SAN FRANCISCO, CA 94111	TRUSTEE/PRESIDENT 10.00	0.	0.	0.
ARI A. LURIE C/O ARGONAUT SECURITIES CO. 1155 BATTERY STREET, LS7W SAN FRANCISCO, CA 94111	TRUSTEE 1.00	0.	0.	0.
DANIEL L. LURIE C/O ARGONAUT SECURITIES CO. 1155 BATTERY STREET, LS7W SAN FRANCISCO, CA 94111	TRUSTEE 1.00	0.	0.	0.
LYNN MERZ C/O ARGONAUT SECURITIES CO. 1155 BATTERY STREET, LS7W SAN FRANCISCO, CA 94111	EXECUTIVE DIRECTOR 40.00	225,000.	44,588.	0.
	GRAND TOTALS	225,000.	44,588.	0.

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

ATTACHMENT 15

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
GREGORY W. MEAGHER C/O ARGONAUT SECURITIES CO. 1155 BATTERY STREET, LS7W SAN FRANCISCO, CA 94111	FINANCIAL MANAGER 40.00	104,819.	22,387.	0.
SAEED H. MIRFATTAH C/O ARGONAUT SECURITIES CO. 1155 BATTERY STREET, LS7W SAN FRANCISCO, CA 94111	PROGRAM DIRECTOR 40.00	128,750.	25,314.	0.
CATHERINE A. JONES C/O ARGONAUT SECURITIES CO. 1155 BATTERY STREET, LS7W SAN FRANCISCO, CA 94111	EXECUTIVE ASSISTANT 40.00	65,661.	19,676.	0.
JENNIFER S. PETERSON C/O ARGONAUT SECURITIES CO. 1155 BATTERY STREET, LS7W SAN FRANCISCO, CA 94111	ADMIN. ASSISTANT 40.00	50,306.	7,796.	0.
	TOTAL COMPENSATION	349,536.	75,173.	0.

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

ATTACHMENT 16

NAME AND ADDRESS	TYPE OF SERVICE	COMPENSATION
ARGONAUT SECURITIES COMPANY 1155 BATTERY STREET, LS7W SAN FRANCISCO, CA 94111	ACCOUNTING SERVICES	152,650.
CAPITAL GUARDIAN 135 SOUTH STATE COLLEGE BLVD BREA, CA 92621	INVESTMENT ADVISORY	125,886.
HALL CAPITAL PARTNERS LLC ONE MARITIME PLAZA, FIFTH FLOOR SAN FRANCISCO, CA 94111	INVESTMENT ADVISORY	501,175.
BAUPOST VALUE PARTNERS IV 10 ST JAMES AVE STE 1700 BOSTON, MA 02116	INVESTMENT ADVISORY	528,894.
WATERSHED CAP. INSTITUTIONAL PARTNERS ONE MARITIME PLAZA, SUITE 1525 SAN FRANCISCO, CA 94111	INVESTMENT ADVISORY	118,547.
TOTAL COMPENSATION		1,427,152.

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

EXECUTIVE DIRECTOR
201 FILBERT STREET, 5TH FLOOR
SAN FRANCISCO, CA 94133
415-296-9249

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 18

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
GRANTS, ALLOCATIONS AND CONTRIBUTIONS PAID DURING THE YEAR SEE STATEMENT			8,110,414.
		TOTAL CONTRIBUTIONS PAID	8,110,414.

MIMI AND PETER HAAS FUND
GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

FEIN: 94-6064551

Payee Organization	Payee Address	Request Project Title	Qualified Distribution
1st Place 2 Start	1252 Sunnydale Avenue San Francisco, CA 94134	PM&E	$3,000 00
ABC Preschool	426 33rd Avenue San Francisco, CA	PM&E	$3,000 00
Academy of American Poets	Benefit Office 156 Fifth Avenue, Suite 1100 New York, NY 10010	Poetry and the Creative Mind 2010	$1,000 00
African Wildlife Foundation	1400 16th Street, NW Washington, DC 20036	Baobab Society Membership	$5,000.00
All Stars Helping Kids	970 Main Street Redwood City, CA 94063	General Operating Support	$1,000 00
All Stars Helping Kids	970 Main Street Redwood City, CA 94063	General Operating Support	$5,000.00
Alonzo King Lines Contemporary Ballet	26th Seventh Street, 5th Floor San Francisco, CA 94103	2010 Opening Night Gala	$2,500 00
American Conservatory Theater	30 Grant Avenue San Francisco, CA 941085800	In support of The Tosca Project	$25,000 00
American Friends of the Almeida Theatre	c/o Kenneth David Burrows 950 Third Avenue, 32nd Floor New York, NY 10022	Director's Circle 2010	$1,000.00
American Friends of the Donmar Theatre	c/o Kenneth David Burrows 950 Third Avenue, 32nd Floor New York, NY 10022	Charitable Contribution	$1,000 00
American Friends of the Hebrew University	1515 Oakland Boulevard, #170 Walnut Creek, CA 94596	Early Childhood Learning and Resource Center's Video-Aided Supervision Program	$50,000 00
American Friends of the Israel Museum	500 Fifth Avenue Suite 2540 New York, NY 10110	General Operating Support	$7,500.00
American Friends of the Israel Museum	500 Fifth Avenue Suite 2540 New York, NY 10110	2010 Annual Gala	$15,000 00
American Heart Association	100 Montgomery Street Suite 1650 San Francisco, CA 94104	Sponsorship of Emil Knopf	$500 00
American Himalayan Foundation	909 Montgomery Street Suite 400 San Francisco, CA 94133	2010 Annual Dinner	$1,000 00
American Patrons of Tate	520 West 27th Street, Unit 404 New York, NY 10001	International Council Membership	$8,145 00
American Patrons of Tate	520 West 27th Street, Unit 404 New York, NY 10001	Artists' Dinner 2010	$25,000.00
Anti-Defamation League, Greater Chicago	309 West Washington Street, Suite 700 Chicago, IL 60606	Miller Early Childhood Initiative 'A World of Difference'	$12,500 00
Art21	286 Spring Street, Suite 405 New York, NY 10013	Willian Kentridge· Anything Is Possible	$5,000 00
Asian Art Museum of San Francisco	200 Larkin Street San Francisco, CA 94102	Membership Renewal 2010	$1,000.00
Associated Students, Inc. SFSU	1650 Holloway Avenue, CCSU M-102 San Francisco, CA 94132	Early Childhood Education Center PM&E	$5,000 00

ATTACHMENT

MIMI AND PETER HAAS FUND
GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

FEIN: 94-6064551

Bay Area Discovery Museum	557 McReynolds Road Sausalito, CA 94965	Connections Program	$50,000.00
Boston MedFlight	Robins Street, Hangar 1727 Hanscom Air Force Base Bedford, MA 01730	Above+Beyond 25th Anniversary Gala	$2,500.00
Boys and Girls Club of America	4201 Long Beach Blvd., Suite 101 Long Beach, CA 90807	California Youth of the Year Event	$5,000 00
Boys and Girls Clubs of San Francisco	55 Hawthorne Street, Suite 600 San Francisco, CA 94105	General Operating Support	$1,000.00
Breakthrough Collaborative	545 Sansome Street, Suite 700 San Francisco, CA 94111	Breaking Bread for Breakthrough 2010	$7,500.00
Breast Cancer Connections	390 Cambridge Avenue Palo Alto, CA 94306	Annual Campaign	$500.00
Bridge Housing Corporation	345 Spear Street, Suite 700 San Francisco, CA 94105-1673	General Operating Support 2010	$10,000 00
Brookings Institution	1775 Massachusetts Avenue NW Washington, DC 20036	Membership 2010	$5,000 00
Buen Dia Family School	589 Guerrero Street San Francisco, CA 94110	PM&E	$3,000 00
Byrd Hoffman Water Mill Foundation	55 Washington Street, Studio 216 Brooklyn, NY 11201	International Summer Program 2010	$2,500 00
C5 Children's School	Administrative Offices 455 Golden Gate Avenue San Francisco, CA 94102	Preschool Center PM&E	$5,000 00
C5 Children's School	Administrative Offices 455 Golden Gate Avenue San Francisco, CA 94102	Infant/Toddler Center PM&E	$3,000.00
California Pacific Medical Center Foundation	633 Folsom Street, 7th Floor San Francisco, CA 94107	Kalmanovitz Child Development Center 2010	$150,000 00
California Pacific Medical Center Foundation	633 Folsom Street, 7th Floor San Francisco, CA 94107	Bayview Child Health Center	$165,000 00
California Shakespeare Theater	701 Heinz Avenue Berkeley, CA 94710	General Operating Support	$4,250 00
Catholic Charities	180 Howard Street, Suite 100 San Francisco, CA 94105-1617	Treasure Island CDC PM&E	$5,000 00
Center for Land Based Learning	5265 Putah Creek Road Winters, CA 95694	General Operating Support	$20,000.00
Centre Pompidou Foundation	10390 Santa Monica Blvd Los Angeles, CA 90025	General Operating Support	$3,000 00
Centro Las Olas	3739 26th Street San Francisco, CA 94110	PM&E	$3,000 00
Children Now	1212 Broadway, 5th Floor Oakland, CA 94612	Guide to California ECE System	$10,000 00
Children's Council of San Francisco	445 Church Street San Francisco, CA 94114	Week of the Young Child - 2010	$5,000 00
Children's Storefront	70 East 129th Street New York, NY 10035	General Operating Support	$1,000 00
Chinatown Community Children's Center	979 Clay Street San Francisco, CA 94108	PM&E	$5,000 00
CIA Officers Memorial Foundation	Post Office Box 973 Haymarket, VA 20168	General Operating Support	$5,000 00
City Arts and Lectures	1955 Sutter Street San Francisco, CA 94115	General Operating Support	$25,000 00
City College Child Development & Family Studies	50 Phelan Avenue Box L207 San Francisco, CA 94112	Bernal PM&E	$3,000.00

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MIMI AND PETER HAAS FUND
GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

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City College Child Development & Family Studies	50 Phelan Avenue Box L207 San Francisco, CA 94112	Mission Child Development Center PM&E	$3,000 00
City College Child Development & Family Studies	50 Phelan Avenue Box L207 San Francisco, CA 94112	Orfalea Family Center John Adams Campus PM&E	$5,000 00
City College Child Development & Family Studies	50 Phelan Avenue Box L207 San Francisco, CA 94112	Orfalea Family Center Ocean Campus PM&E	$5,000.00
City College Child Development & Family Studies	50 Phelan Avenue Box L207 San Francisco, CA 94112	Grace Child Development Center PM&E	$5,000 00
City College of San Francisco	Child Development & Family Studies 50 Phelan Avenue, Box MU247 San Francisco, CA 94112	Metro Academy for Early Childhood Education	$47,136 50
City College of San Francisco	Child Development & Family Studies 50 Phelan Avenue, Box MU247 San Francisco, CA 94112	Metro Academy for Early Childhood Education	$33,562 75
City College of San Francisco	Child Development & Family Studies 50 Phelan Avenue, Box MU247 San Francisco, CA 94112	San Francisco Early Childhood Professional Development Project	$96,824 75
City College of San Francisco	Child Development & Family Studies 50 Phelan Avenue, Box MU247 San Francisco, CA 94112	Metro Academy for Early Childhood Education	$33,562 75
City College of San Francisco	Child Development & Family Studies 50 Phelan Avenue, Box MU247 San Francisco, CA 94112	San Francisco Early Childhood Professional Development Project	$96,824 75
City College of San Francisco	Child Development & Family Studies 50 Phelan Avenue, Box MU247 San Francisco, CA 94112	Metro Academy for Early Childhood Education Computer Equipment	$1,560.00
City College of San Francisco	Child Development & Family Studies 50 Phelan Avenue, Box MU247 San Francisco, CA 94112	Metro Academy for Early Childhood Education	$47,136 50
City College of San Francisco	Child Development & Family Studies 50 Phelan Avenue, Box MU247 San Francisco, CA 94112	Metro Academy for Early Childhood Education	$33,562.75
City College of San Francisco	Child Development & Family Studies 50 Phelan Avenue, Box MU247 San Francisco, CA 94112	Metro Academy for Early Childhood Education	$47,136.50
City College of San Francisco	Child Development & Family Studies 50 Phelan Avenue, Box MU247 San Francisco, CA 94112	Support of San Francisco Early Childhood Professional Development Initiative	$86,918 25
City College of San Francisco	Child Development & Family Studies 50 Phelan Avenue, Box MU247 San Francisco, CA 94112	Support of San Francisco Early Childhood Professional Development Initiative	$86,918.25
Coleman Advocates for Children and Youth	459 Vienna Street San Francisco, CA 94112	Long-term Strategic Alliance Project	$22,500.00
College Track	117 Broadway Avenue Oakland, CA 94607	'Toy Story 3' Benefit Event	$10,000 00

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Colonial Williamsburg Foundation	Post Office Box 1776 Williamsburg, VA 23187-1776	2010 Membership	$2,500.00
Community Initiatives	354 Pine Street, Suite 700 San Francisco, CA 94104	Bay Area ECE Funders Collaborative General Operating Support 2010	$5,000 00
Compass Children's Center	Administrative Office 49 Powell Street, 3rd Floor San Francisco, CA 94102	Model Center Scholarships 2010	$4,000.00
Compass Children's Center	Administrative Office 49 Powell Street, 3rd Floor San Francisco, CA 94102	Model Centers Initiative 2010	$100,000 00
Compass Children's Center	Administrative Office 49 Powell Street, 3rd Floor San Francisco, CA 94102	Model Centers Initiative 2010	$100,000.00
Compass Community Services	49 Powell Street, 3rd Floor San Francisco, CA 94103	Helen Hawk Children's Center PM&E	$3,000 00
Concord Coalition	1011 Arlington Blvd, Suite 300 Arlington, VA 22209	General Operating Support	$25,000 00
Conservacion Patagonica	Building 1062, Fort Cronkhite Sausalito, CA 94965	General Operating Support	$1,000 00
Council on Foreign Relations	58 East 68th Street New York, NY 10065	Chairman's Circle Harold Pratt Associates - MLH	$35,000 00
Cross Cultural Family Center	Post Office Box 15366 San Francisco, CA 94115	Model Centers Initiative 2010	$80,000 00
Cross Cultural Family Center	Post Office Box 15366 San Francisco, CA 94115	Model Centers Initiative 2010	$80,000 00
Cross Cultural Family Center	Post Office Box 15366 San Francisco, CA 94115	Model Centers Initiative 2009	$25,000 00
Dia Center for the Arts	535 West 22nd Street New York, NY 10011	Art Council Membership	$2,500 00
Drawing Center	35 Wooster Street New York, NY	2010 Gala Benefit	$2,500 00
Eagle-Eye Sanctuary Foundation	580 De Haro Street San Francisco, CA 94107-2306	General Operating Support	$50,000 00
East Side House Settlement	337 Alexander Avenue Bronx, NY 10454	Annual Winter Antiques Show	$2,500 00
Easter Seals Bay Area	Easter Seals Child Development Center 95 Hawthorne Lane, 1st Floor San Francisco, CA 94105	PM&E	$5,000 00
Eastside College Preparatory School	1041 Myrtle Street East Palo Alto, CA 94303	Boarding Scholarship Support 2010	$25,000 00
Economic Opportunity Council of San Francisco	1426 Filmore Street, Suite 301 San Franicsco, CA 94115	Busy Bee and Delta Preschool PM&E	$5,000 00
Economic Opportunity Council of San Francisco	1426 Filmore Street, Suite 301 San Franicsco, CA 94115	Rainbow Preschool PM&E	$3,000 00
Economic Opportunity Council of San Francisco	1426 Filmore Street, Suite 301 San Franicsco, CA 94115	Rainbow Infant Center PM&E	$3,000.00
Enterprise for High School Students	200 Pine Street, Suite 600 San Francisco, CA 94104	San Francisco Fall Antiques Show 2010	$2,750 00
Equal Rights Advocates	1663 Mission Street, Suite 250 San Francisco, CA 94103	General Operating Support	$500 00
Exploratorium	3601 Lyon Street San Francisco, CA 94123	33rd Annual Awards Dinner	$5,000 00
Family School	3101 Mission Street, Suite 101 San Francisco, CA 94110	PM&E	$3,000.00
Family Service Agency of San Francisco	1010 Gough Street San Francisco, CA 94109	Family Development Center PM&E	$5,000 00

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Fine Arts Museums of San Francisco	Golden Gate Park 50 Hagiwara Tea Garden Drive San Francisco, CA 94118	Mid-Winter Gala 2010	$7,500.00
Fine Arts Museums of San Francisco	Golden Gate Park 50 Hagiwara Tea Garden Drive San Francisco, CA 94118	Membership	$1,500.00
Florence Crittenton Services	840 Broderick Street San Francisco, CA 94115-4418	Hayes Valley/Hope VI Site PM&E	$3,000 00
Foundation for Art and Preservation in Embassies	1725 'I' Street, N.W. Suite 300 Washington, DC 20006	Membership 2010	$3,000 00
Foundation for Contemporary Arts	820 Greenwich Street New York, NY 10014	General Operating Support 2010	$1,000.00
FranDelJA Enrichment Center	950 Gilman Street San Francisco, CA 94124	Model Centers Initiative 2010	$90,000 00
FranDelJA Enrichment Center	950 Gilman Street San Francisco, CA 94124	Model Centers Initiative 2010	$70,000.00
FranDelJA Enrichment Center	950 Gilman Street San Francisco, CA 94124	Early Learning Scholarships	-$4,435 00
FranDelJA Enrichment Center	950 Gilman Street San Francisco, CA 94124	Early Learning Scholarships	$4,435.00
FranDelJA Enrichment Center	950 Gilman Street San Francisco, CA 94124	Model Centers Initiative 2010	$20,000.00
Friends & Foundation of the San Francisco Public Library	391 Grove Street San Francisco, CA 94102	2010 Annual Literary Laureates Dinner	$10,000.00
Friends of Potrero Hill Nursery School	283 Farallones Street San Francisco, CA 94122	PM&E	$3,000.00
Friends of St Francis Childcare Center	50 Belcher Street San Francisco, CA 94114	PM&E	$3,000 00
Friends of the San Francisco Commission on the Status of Women	Post Office Box 191482 San Francisco, CA 94119	2010 CEDAW Women's Human Rights Awards	$1,500 00
Glide Foundation / Memorial United Methodist Church	330 Ellis Street San Francisco, CA 94102	Glide Family Youth and Childcare 434 Ellis Street Site PM&E	$5,000 00
Golden Gate National Parks Conservancy	Building 201 Fort Mason San Francisco, CA 94123	William Kent Society 2010	$5,000 00
Golden Gate National Parks Conservancy	Building 201 Fort Mason San Francisco, CA 94123	Trails Forever Dinner 2010	$6,000 00
Good Samaritan Family Resource Center	1294 Potrero Avenue San Francisco, CA 94110	Early Learning Scholarships	$2,150.00
Good Samaritan Family Resource Center	1294 Potrero Avenue San Francisco, CA 94110	Early Learning Scholarships	$810 00
Good Samaritan Family Resource Center	1294 Potrero Avenue San Francisco, CA 94110	Early Learning Scholarships	-$810 00
Good Samaritan Family Resource Center	1294 Potrero Avenue San Francisco, CA 94110	Model Centers Initiative 2010	$62,500 00
Good Samaritan Family Resource Center	1294 Potrero Avenue San Francisco, CA 94110	Model Centers Initiative 2010	$62,500 00
Good Samaritan Family Resource Center	1294 Potrero Avenue San Francisco, CA 94110	Kid's Club at Plaza Adelante PM&E	$3,000.00
Good Samaritan Family Resource Center	1294 Potrero Avenue San Francisco, CA 94110	Childcare Scholarships 2009-2010	$3,000.00
Headlands Center for the Arts	944 Fort Barry Sausalito, CA 94965	General Operating Support 2010	$1,000.00

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Holy Family Day Home	299 Dolores Street San Francisco, CA 94103-2211	Toddler/Infant Site PM&E	$3,000 00
Holy Family Day Home	299 Dolores Street San Francisco, CA 94103-2211	Preschool PM&E	$5,000.00
Homeless Prenatal Program	2500 18th Street San Francisco, CA 94110	Drop-in Child Care Center	$50,000.00
Human Rights Watch	100 Bush Street, Suite 925 San Francisco, CA 94104	General Operating Support	$2,500 00
Independent Sector	1200 Eighteenth Street, N.W Suite 200 Washington, DC 20036	2010 Membership	$7,500.00
International Women's Health Coalition	333 Seventh Avenue, 6th Floor New York, NY 10001	Annual Gala	$2,500 00
Japanese Community Youth Council	1596 Post Street San Francisco, CA 94109-6511	Chibi Chan Preschool PM&E	$5,000 00
Jelani House	1601 Quesada Street San Francisco, CA 94124	Childcare Department PM&E	$3,000 00
Julia Morgan School for Girls	5000 MacArthur Boulevard Oakland, CA 94613	2010 Annual Fund	$1,000.00
Jumpstart For Young Children	Western Region 965 Mission Street Suite 300 San Francisco, CA 94103	Model Centers Emergent Literacy Program	$67,500 00
Jumpstart For Young Children	Western Region 965 Mission Street Suite 300 San Francisco, CA 94103	UC Berkeley -Stanford Partnership Project 2009 1 1 Challenge Grant	$25,000 00
Kids with Cameras	122 South Main Street Salt Lake City, UT 84101	An Evening for Hope House	$2,000 00
KQED	2601 Mariposa Street San Francisco, CA 941101400	Producer's Circle	$25,000 00
La Casa de Las Madres	1663 Mission Street, Suite 225 San Francisco, CA 94103	PM&E	$3,000 00
Larkin Street Youth Services	701 Sutter Street Suite 2 San Francisco, CA 94109	Pave the Way Benefit 2010	$10,000 00
Larkin Street Youth Services	701 Sutter Street Suite 2 San Francisco, CA 94109	HIV Specialty Clinic	$50,000 00
Laurel Hill Nursery School	401 Euclid Avenue San Francisco, CA 94118	PM&E	$5,000 00
Legal Services for Children	1254 Market Street, Third Floor San Francisco, CA 94102	Hope Project	$45,000 00
Lincoln Center Theater	150 West 65th Street New York, NY 10023	Benefactor Patron	$10,000 00
Little Children's Development Center	1223 Webster Street San Francisco, CA 94115	PM&E	$3,000 00
Los Angeles County Museum of Art	5905 Wilshire Boulevard Los Angeles, CA 90036	2010 Design Leadership Award In honor of Rose Tarlow	$5,000 00
Maitri	401 Duboce Avenue San Francisco, CA 94117-3551	General Operating Support	$40,000 00
Manhattan Theatre Club	311 West 43rd Street, 8th Floor New York, NY 10036	MTC Spring Gala 2010	$2,500.00
Martha's Vineyard Community Services	111 Edgartown Road Vineyard Haven, MA 02568	Possible Dreams Auction 2010	$85,000.00
Martha's Vineyard Hospital	Post Office Box 1477 Oak Bluffs, MA 02557	General Operating Support 2010	$10,000 00

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Martha's Vineyard Museum	59 School Street Post Office Box 1310 Edgartown, MA 02539	General Operating Support	$500 00
Martha's Vineyard Preservation Trust	Post Office Box 5277 Edgartown, MA 02539	General Operating Support	$250 00
Melanoma Research Alliance Foundation	1101 New York Avenue, NW Washington, DC 20005	General Operating Support	$10,000 00
Memorial Sloan-Kettering Cancer Center	1233 York Avenue New York, NY 10065	Annual Appeal	$10,000.00
Memorial Sloan-Kettering Cancer Center	1233 York Avenue New York, NY 10065	22nd Annual International Fine Art and Antique Dealers Show	$2,500.00
Memorial Sloan-Kettering Cancer Center	1233 York Avenue New York, NY 10065	Spring Ball 2010	$1,000 00
Metropolitan Museum of Art	1000 Fifth Avenue New York, NY 10028-1098	Annual Appeal	$1,000.00
Metropolitan Museum of Art	1000 Fifth Avenue New York, NY 10028-1098	Annual Membership	$10,000.00
Mission Child Care Consortium	4750 Mission Street San Francisco, CA 94112	PM&E	$5,000 00
Mission Kids	1050 South Van Ness Avenue Room 104 San Francisco, CA 94110	PM&E	$3,000 00
Mount St Joseph - St. Elizabeth	Epiphany Parent-Child Center 100 Masonic Avenue San Francisco, CA 94118	Epiphany Parent-Child Center PM&E	$3,000 00
Museum of Contemporary Art	250 South Grand Avenue Los Angeles, CA 90012	Annual Gala 2010	$25,000 00
Museum of Modern Art	11 West 53 Street New York, NY 10019	2010 ' Jazz Interlude' Benefit Friends of Education of the Museum of Modern Art	$2,000 00
Museum of Modern Art	11 West 53 Street New York, NY 10019	2010 AIPAD Photography Show New York	$1,500 00
Museum of Modern Art	11 West 53 Street New York, NY 10019	Film Benefit Honoring Kathryn Bigelow	$25,000 00
National Abortion Rights Action League Foundation	111 Pine Street, Suite 1500 San Francisco, CA 94111	Forum for Choice 2010	$1,000 00
National Abortion Rights Action League Foundation	111 Pine Street, Suite 1500 San Francisco, CA 94111	Power of Choice Luncheon 2010	$2,000.00
National Fish and Wildlife Foundation	1133 Fifteenth Street NW, Suite 1100 Washington, DC 20005	Celebrating the Great Outdoors 2010	$50,000.00
National Gallery of Art	National Gallery of Art Collectors Committee 2000B South Club Drive Landover, MD 20785	Collectors Committee 2010	$15,000 00
National Trust for Historic Preservation, Glass House	The Philip Johnson Glass House 199 Elm Street New Canaan, CT 06840	Modern Views: A Project to Benefit Farnsworth House and the Glass House	$1,000 00
Nature Conservancy, Massachusetts Chapter	18 Helen Avenue Vineyard Haven, MA 02568	General Operating Support	$1,000 00
Neighborhood Parks Council	451 Hayes Street, 2nd Floor San Francisco, CA 94102	Plaground Rehabilitation Program Challenge Grant (1:1 Ratio)	$25,000 00
New York Public Library	Fifth Avenue and 42nd Street New York, NY 10018-2788	2010 Spring Luncheon	$1,500 00
New York Public Library	Fifth Avenue and 42nd Street New York, NY 10018-2788	Library Lions 2010	$25,000 00

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Nihonmachi Little Friends	2031 Bush Street San Francisco, CA 94115	1830 Sutter Street Center PM&E	$3,000 00
Nihonmachi Little Friends	2031 Bush Street San Francisco, CA 94115	2031 Bush Street Center PM&E	$5,000 00
Northern California Grantmakers	625 Market Street, 15th Floor San Francisco, CA 94105	Membership - 2010 ($500 fair value)	$8,500 00
Omega Boys Club	Post Office Box 884463 San Francisco, CA 94188	General Operating Support	$10,000 00
Omega Boys Club	Post Office Box 884463 San Francisco, CA 94188	Brent Johnson Fundraiser 2010	$500.00
Pacific Primary	1500 Grove Street San Francisco, CA 94117	PM&E	$5,000.00
Painted Turtle	1300 4th Street Suite 300 Santa Monica, CA 90401	General Operating Support	$1,500 00
Patient Assistance Foundation	2100 Webster Street, Suite 100 San Francisco, CA 94115	General Operating Support	$1,500 00
Pena Pachamama Center	3450 Sacramento Street, Suite 523 San Francisco, CA 94118	General Operating Support	$150 00
Pequawket Kids Association	Maine School Administrative District #72 124 Portland Street Fryeburg, ME 04037	General Operating Support	$10,000 00
Phoebe A. Hearst Preschool Learning Center	1315 Ellis Street San Francisco, CA 94115	PM&E	$5,000 00
Playmates Cooperative Nursery School	2340 42nd Avenue San Francisco, CA 94116	PM&E	$5,000 00
Portola Family Connections	2565 San Bruno Avenue San Francisco, CA 94134	PM&E	$3,000 00
Portola Family Connections	2565 San Bruno Avenue San Francisco, CA 94134	Next Steps Program	$40,000 00
PREFund	1459 18th Street, #216 San Francisco, CA 94107	PM&E Potrero Kids Preschool	$3,000.00
Presidio Knolls School	2733 Lombard Street San Francisco, CA 94123	PM&E	$3,000.00
Public Art Fund	One East 53rd Street New York, NY 10022	Year End Campaign	$1,000 00
Red Tab Foundation	Levi's Plaza P.O.Box 7215 San Francisco, CA 941206906	General Operating Support	$75,000.00
Richard & Rhoda School of Public Policy	University of California, Berkeley Berkeley, CA 94720	Class of 2005 Matching Gift	$1,000.00
Robin Hood Foundation	826 Broadway, 9th Floor New York, NY 10003	2010 Robin Hood Benefit	$100,000 00
Robin Hood Foundation	826 Broadway, 9th Floor New York, NY 10003	2010 Robin Hood Benefit - George Soros Challenge	$50,000 00
San Francisco Ballet Association	455 Franklin Street San Francisco, CA 94102	2011 Opening Night Gala	$28,000 00
San Francisco Ballet Association	455 Franklin Street San Francisco, CA 94102	General Operating Support	$35,000.00
San Francisco Child Abuse Prevention Center	1757 Waller Street San Francisco, CA 94117	PM&E	$3,000.00
San Francisco Child Abuse Prevention Center	1757 Waller Street San Francisco, CA 94117	Respite Care Program	$65,000.00
San Francisco Child Care Planning & Advisory Council	1390 Market Street, Suite 900 San Francisco, CA 94102	San Francisco Child Care Subsidy Pilot	$15,000 00

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San Francisco Chronicle Season of Sharing Fund	Post Office Box 44740 San Francisco, CA 94144	General Operating Support	$35,000 00
San Francisco Film Society	39 Mesa Street, Suite 110 The Presidio San Francisco, CA 94129	Film Society Awards Night, 53rd Anniversary	$1,000 00
San Francisco Free Clinic	4900 California Street San Francisco, CA 94118	2010 Annual Luncheon	$25,000 00
San Francisco General Hospital Foundation	2789 25th Street, Suite 2028 San Francisco, CA 94110	Southeast Health Center Optometry Project	$25,000 00
San Francisco General Hospital Foundation	2789 25th Street, Suite 2028 San Francisco, CA 94110	Bay Area Perinatal AIDS Center	$40,000.00
San Francisco Jazz Organization (SFJAZZ)	Three Embarcadero Center Lobby Level San Francisco, CA 94111	2010 SF JAZZ Gala	$25,000 00
San Francisco Museum and Historical Society	785 Market Street, Suite 600 San Francisco, CA 94103	Old Mint Preservation Project	$2,500 00
San Francisco Museum of Modern Art	151 3rd Street San Francisco, CA 94103	75th Anniversary 'Birthday Bash'	$50,000.00
San Francisco Museum of Modern Art	151 3rd Street San Francisco, CA 94103	Collectors' Forum 2010-2011 MLH	$4,000 00
San Francisco Museum of Modern Art	151 3rd Street San Francisco, CA 94103	SF20 3nd Annual 20th Century Art and Design Show	$5,000 00
San Francisco Museum of Modern Art	151 3rd Street San Francisco, CA 94103	Modern Art Council Art Auction 2011	$1,500.00
San Francisco Museum of Modern Art	151 3rd Street San Francisco, CA 94103	Annual Giving 2010-2011	$186,538.00
San Francisco Museum of Modern Art	151 3rd Street San Francisco, CA 94103	2010 Capital Campaign	$1,000,000 00
San Francisco Opera Association	War Memorial Opera House 301 Van Ness Avenue San Francisco, CA 941024509	Annual Fund 2010	$35,000 00
San Francisco Opera Guild	301 Van Ness Avenue San Francisco, CA 94102-4509	Opera Ball 2010	$10,000.00
San Francisco Parks Trust	McLaren Lodge in Golden Gate Park 501 Stanyan Street San Francisco, CA 94117-1898	GearUp Fund 2010	$10,000 00
San Francisco Parks Trust	McLaren Lodge in Golden Gate Park 501 Stanyan Street San Francisco, CA 94117-1898	Conservatory of Flowers Membership	$500 00
San Francisco Parks Trust	McLaren Lodge in Golden Gate Park 501 Stanyan Street San Francisco, CA 94117-1898	Party for the Parks 2010	$10,000 00
San Francisco Planning and Urban Research Association	654 Mission Street San Francisco, CA 94105-4015	Membership 2010	$150 00
San Francisco State University	Marian Wright Edelman Institute 1600 Holloway Avenue San Francisco, CA 94132	Metro Academy for Early Childhood Education	$57,536.25
San Francisco State University	Marian Wright Edelman Institute 1600 Holloway Avenue San Francisco, CA 94132	Metro Academy for Early Childhood Education	$60,384 25
San Francisco State University	Marian Wright Edelman Institute 1600 Holloway Avenue San Francisco, CA 94132	Marian Wright Edelman Institute Gateway to Quality Program	$98,845 50

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San Francisco State University	Marian Wright Edelman Institute 1600 Holloway Avenue San Francisco, CA 94132	Metro Academy for Early Childhood Education	$57,536 25
San Francisco State University	Marian Wright Edelman Institute 1600 Holloway Avenue San Francisco, CA 94132	Metro Academy for Early Childhood Education	$57,536 25
San Francisco State University	Marian Wright Edelman Institute 1600 Holloway Avenue San Francisco, CA 94132	Metro Academy for Early Childhood Education	$60,384 25
San Francisco State University	Marian Wright Edelman Institute 1600 Holloway Avenue San Francisco, CA 94132	Metro Academy for Early Childhood Education	$60,384 25
San Francisco State University	Marian Wright Edelman Institute 1600 Holloway Avenue San Francisco, CA 94132	Marian Wright Edelman Institute Gateway to Quality Program	$96,180 50
San Francisco Symphony	Louise M. Davies Symphony Hall San Francisco, CA 94102	General Operating Support	$30,000 00
San Francisco Symphony	Louise M. Davies Symphony Hall San Francisco, CA 94102	2010 Black & White Ball	$25,000.00
San Francisco Symphony	Louise M. Davies Symphony Hall San Francisco, CA 94102	Annual Fund 2010	$35,000 00
San Francisco University High School	3065 Jackson Street San Francisco, CA 94115	Annual Fund 2010	$5,000 00
San Francisco University High School	3065 Jackson Street San Francisco, CA 94115	San Francisco Decorator Showcase	$5,000 00
San Francisco University High School	3065 Jackson Street San Francisco, CA 94115	Summerbridge at University High School	$7,500.00
Save the Children Federation	54 Wilton Road Westport, CT 06880	Myanmar Development Program Inle Lake Region	$100,000 00
Shakespeare & Company	70 Kimble Street Lenox, MA 01240-2813	General Operating Support	$7,500 00
SingleStop USA	1825 Park Avenue, Suite 503 New York, NY 10035	San Francisco Anti-Poverty Program 2009-2010	$250,000.00
SingleStop USA	1825 Park Avenue, Suite 503 New York, NY 10035	San Francisco Anti-Poverty Program 2010-2011	$250,000.00
SingleStop USA	1825 Park Avenue, Suite 503 New York, NY 10035	San Francisco Anti-Poverty Program 2009-2010	$250,000 00
Skowhegan School of Painting and Sculpture	200 Park Avenue South, Suite 1116 New York, NY 10003	2010 Awards Dinner	$1,000.00
South of Market Child Care	790 Folsom Street San Francisco, CA 94107	Model Centers Initiative 2010 Yerba Buena Gardens CDC	$125,000 00
South of Market Child Care	790 Folsom Street San Francisco, CA 94107	Model Center Scholarships 2010	$5,000 00
South of Market Child Care	790 Folsom Street San Francisco, CA 94107	Model Centers Initiative 2010 Yerba Buena Gardens CDC	$125,000 00
South of Market Child Care	790 Folsom Street San Francisco, CA 94107	Judith Baker CDC PM&E	$5,000.00
South of Market Child Care	790 Folsom Street San Francisco, CA 94107	Model Center Scholarships 2010	$9,600.00
Stanford Hospital & Clinics	145A El Camino Real Menlo Park, CA 94025	Signature Partner	$25,000 00
Stern Grove Festival Association	44 Page Street Suite 600 San Francisco, CA 94102	General Operating Support 2010	$15,000.00

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Stonestown Family YMCA	333 Eucalyptus San Francisco, CA 94127	Preschool PM&E	$3,000 00
Studio in a School Association	410 West 59th Street New York, NY 10019-8200	General Operating Support	$1,000 00
Summer Search San Francisco	500 Sansome Street, Suite 350 San Francisco, CA 94111	General Operating Support	$10,000.00
Sunny Hills Services	300 Sunny Hills Drive San Anselmo, CA 94960	2010 Annual Gala	$500.00
Sunset Co-op Nursery School	4245 Lawton St. San Francisco, CA 94118	PM&E	$3,000.00
Synergos Institute	51 Madison Avenue, 21st Floor New York, NY 10010	Global Philanthropists Circle	$25,000.00
Synergos Institute	51 Madison Avenue, 21st Floor New York, NY 10010	University for a Night 2010	$5,000.00
Teach for America	22 Fourth Street, 7th Floor San Francisco, CA 94103	General Operating Support	$200,000.00
Teach for America	22 Fourth Street, 7th Floor San Francisco, CA 94103	General Operating Support	$200,000 00
Telegraph Hill Neighborhood Center	660 Lombard Street San Francisco, CA 94133	PM&E	$5,000 00
Tenderloin Neighborhood Development Corporation	201 Eddy Street San Francisco, CA 94102-2715	Tenderloin After-School Program (TASP)	$50,000 00
Tenderloin Neighborhood Development Corporation	201 Eddy Street San Francisco, CA 94102-2715	2010 Housing Development Project Grant	$150,000.00
The Public Theater - New York Shakespeare Festival	425 Lafayette Street New York, NY 10003	General Operating Support The Public Theater	$2,500 00
Third Sector New England	89 South Street, Suite 700 Boston, MA 02111-2680	Early Childhood Funders Collaborative	$10,000.00
Thomas Jefferson Foundation	Post Office Box 217 Charlottesville, VA 22902	Monticello Cabinet	$5,000 00
Thomas Jefferson's Poplar Forest	Post Office Box 419 Forest, VA 24551	General Operating Support	$500 00
Tipping Point Community	703 Market Street, Suite 708 San Francisco, CA 94103	In honor of the marriage of Katie and Matt Paige	$5,000 00
Town School for Boys	2750 Jackson Street San Francisco, CA 94115	Edwin M. Rich Society	$1,500 00
True Sunshine Preschool Center	777 Stockton Street #201 San Francisco, CA 94108	PM&E	$5,000 00
Ubuntu Education Fund	32 Broadway, Suite 414 New York, NY 10004	General Operating Support	$10,000.00
UCSF Foundation	220 Montgomery Street, Suite 500 San Francisco, CA 94104	Comprehensive Cancer Center Frank McCormick	$140,000.00
UCSF Foundation	220 Montgomery Street, Suite 500 San Francisco, CA 94104	Mission Bay Campus	$400,000 00
United Way of the Bay Area	221 Main Street, Suite 300 San Francisco, CA 94105	General Operating Support	$10,000 00
University of California, Regents	2223 Fulton St, 3rd Floor Berkeley, CA 94720-4424	Cal Marching Band General Operating Support	$1,000.00
University of California, Regents	2223 Fulton St, 3rd Floor Berkeley, CA 94720-4424	UC Berkeley Art Museum and Pacific Film Archive Annual Membership	$2,500 00
University of Oregon Foundation	Department of Accounting Lindquist College of Business Eugene, OR 97401	Johnson Memorial Fund	$15,000 00

MIMI AND PETER HAAS FUND
GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

FEIN: 94-6064551

Vineyard Golf Club Foundation	Post Office Box 1815 Edgartown, MA 02539	Annual Contribution 2010	$500 00
Visitacion Valley Community Center	50 Raymond Avenue San Francisco, CA 94134	Interim Executive Director Support	$20,000.00
Wah Mei School	1400 Judah Street San Francisco, CA 94122	PM&E	$5,000 00
Whitney Young Child Development Center	100 Whitney Young Circle San Francisco, CA 94124	Masonic PM&E	$5,000 00
Whitney Young Child Development Center	100 Whitney Young Circle San Francisco, CA 94124	Bayview/Hunter's Point Site PM&E	$5,000.00
World Monuments Fund	95 Madison Avenue, 9th Floor New York, NY 10016	2010 Hadrian Award Honoring Ratan Tata	$10,000 00
Wu Yee Children's Services	706 Mission Street, 6th Floor San Francisco, CA 94103	New Generations CDC PM&E	$5,000.00
Wu Yee Children's Services	706 Mission Street, 6th Floor San Francisco, CA 94103	Lok Yuen CDC PM&E	$5,000 00
Wu Yee Children's Services	706 Mission Street, 6th Floor San Francisco, CA 94103	177 Golden Gate CDC PM&E	$3,000.00
Wu Yee Children's Services	706 Mission Street, 6th Floor San Francisco, CA 94103	Chinatown Infant Center PM&E	$3,000.00
Wu Yee Children's Services	706 Mission Street, 6th Floor San Francisco, CA 94103	Generations CDC PM&E	$3,000 00
Yaddo	Post Office Box 395, Union Avenue Saratoga Springs, NY 12866-0395	NYC Benefit 2010	$1,000.00
YMCA of Martha's Vineyard	Post Office Box 881 Vineyard Haven, MA 02568	3rd Annual Sunday Brunch, 'All That Jazz'	$10,000 00
YMCA of San Francisco - Mission Branch	4080 Mission Street San Francisco, CA 94112	Mission Preschool PM&E	$5,000.00
Young Performers Theatre	Fort Mason Center Building C, Third Floor San Francisco, CA 94123	Theater in Education Program	$35,000.00
	TOTAL		**$8,110,414**

ATTACHMENT

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 19

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
GRANTS APPROVED IN CURRENT YR PAID IN FUTURE YR SEE ATTACHMENT			45,000.
		TOTAL CONTRIBUTIONS APPROVED	45,000.

MIMI AND PETER HAAS FUND
GRANTS AND CONTRIBUTIONS APPROVED FOR FUTURE YEARS

FEIN: 94-6064551

Payee Organization	Payee Address	Request Project Title	Qualified Distribution
Coleman Advocates for Children and Youth	459 Vienna Street San Francisco, CA 94112	Long-term Strategic Alliance Project	$45,000 00
	TOTAL		**$45,000**

ATTACHMENT

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 20

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	AMOUNT	RELATED OR EXEMPT FUNCTION INCOME
UNREALIZED APPRECIATION (DEPRECIATION)			18	7,865,087.	
TOTALS				7,865,087.	

FEDERAL FOOTNOTES

PART VII-B, LINE 1A(4)

REGARDING PAYING COMPENSATION TO, OR PAY OR REIMBURSE THE EXPENSES OF, A DISQUALIFIED PERSON: THE MIMI AND PETER HAAS FUND'S ACCOUNTING SERVICES ARE PROVIDED BY ARGONAUT SECURITIES COMPANY. OWNERS OF ARGONAUT SECURITIES COMPANY ARE MIRIAM L. HAAS, TRUSTEE/PRESIDENT OF THE MIMI AND PETER HAAS FUND, AND AN INDIVIDUAL UNRELATED TO THE MIMI AND PETER HAAS FUND. SERVICES ARE PROVIDED AT FAIR MARKET VALUE.

◆ Asset Detail - Taxable

Cost Method: FIFO

Equities

Description Asset Id Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Common stock								
International Region - USD								
MFO LONGLEAF PARTNERS FDS TR INTL FD CUSIP 543069405	616,245 71 15 340		0 00	9,453,209 19	9,870,692 00	-417,482 81	0 00	-417,482 81
29 Mar 05	471,698 110	15 90		7,235,849 01	7,500,000 00	-264,150 99	0 00	-264,150 99
09 Nov 05	5,247 330	16 81		80,494 04	88,207 55	-7,713 51	0 00	-7,713 51
08 Nov 06	883 810	18 24		13,557 65	16,120 76	-2,563 11	0 00	-2,563 11
08 Nov 06	34,385 050	18 24		527,466 67	627,183 25	-99,716 58	0 00	-99,716 58
27 Dec 06	394 840	18 94		6,056 84	7,478 33	-1,421 49	0 00	-1,421 49
07 Nov 07	51,868 150	20 26		795,657 42	1,050,848 74	-255,191 32	0 00	-255,191 32
07 Nov 07	531 330	20 26		8,150 60	10,764 79	-2,614 19	0 00	-2,614 19
06 Nov 08	21,726 900	10 35		333,290 65	224,873 43	108,417 22	0 00	108,417 22
06 Nov 08	18,669 850	10 35		286,395 50	193,232 95	93,162 55	0 00	93,162 55
30 Dec 08	3,320 320	10 94		50,933 70	36,324 32	14,609 38	0 00	14,609 38
29 Dec 10	7,520 020	15 38		115,357 11	115,657 88	-300 77	0 00	-300 7[illegible]
MFO OAKMARK INTL FD OPEN END FD CUSIP 413838202	462,979 49 19 410		0 00	8,986,431 90	10,542,441 52	-1,556,009 62	0 00	-1,556,009 62
22 Sep 06	234,422 510	26 61		4,550,140 91	6,237,983 02	-1,687,842 11	0 00	-1,687,842 11
14 Dec 06	51,147 710	25 18		992,777 06	1,287,899 29	-295,122 23	0 00	-295,122 23
14 Dec 06	6,607 070	25 18		128,243 23	166,366 03	-38,122 80	0 00	-38,122 80
14 Dec 06	747 720	25 18		14,513 25	18,827 51	-4,314 26	0 00	-4,314 26
13 Dec 07	80,671 120	21 22		1,565,826 44	1,711,841 09	-146,014 65	0 00	-146,014 65
13 Dec 07	3,542 770	21 22		68,765 16	75,177 51	-6,412 35	0 00	-6,412 35
13 Dec 07	6,373 300	21 22		123,705 75	135,241 35	-11,535 60	0 00	-11,535 60

** - Lot Level Information Not Verified

ATTACHMENT

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Asset Detail - Taxable

Cost Method· FIFO

Equities

Description Asset Id Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Common stock								
International Region - USD								
MFO OAKMARK INTL FD OPEN END FD (con't) CUSIP 413838202								
18 Dec 08	3,795 720	10 80		73,674 93	40,993 77	32,681 16	0 00	32,681 16
18 Dec 08	1,044 920	10 80		20,281 90	11,285 10	8,996 80	0 00	8,996 80
18 Dec 08	67,593 940	10 80		1,311,998 37	730,014 57	581,983 80	0 00	581,983 80
17 Dec 09	3,222 980	16 58		62,558 04	53,436 96	9,121 08	0 00	9,121 08
16 Dec 10	3,809 730	19 26		73,946 86	73,375 32	571 54	0 00	571 54
Total USD			0 00	18,439,641.09	20,413,133 52	-1,973,492 43	0.00	-1,973,492.43
Total International Region			0 00	18,439,641.09	20,413,133.52	-1,973,492.43	0 00	-1,973,492.43
Total common stock			**0.00**	**18,439,641.09**	**20,413,133.52**	**-1,973,492.43**	**0.00**	**-1,973,492.43**
Preferred stock								
United States - USD								
KATONAH III LTD PFD CUSIP 486018203	5,000 00 0 000		0 00	0 00 *	1,000 00	-1,000 00	0 00	-1,000 0[illegible]
		* Market value based on prices received from an external manager or other client-directed pricing source						
14 Mar 02	5,000 000	0 20		0 00	1,000 00	-1,000 00	0 00	-1,000 00
Total USD			0.00	0.00	1,000.00	-1,000 00	0 00	-1,000 00
Total United States			0 00	0 00	1,000 00	-1,000 00	0 00	-1,000.00
Total preferred stock			**0.00**	**0.00**	**1,000.00**	**-1,000.00**	**0.00**	**-1,000.00**
Total equities			**0.00**	**18,439,641.09**	**20,414,133.52**	**-1,974,492.43**	**0.00**	**-1,974,492.43**

ATTACHMENT

** - Lot Level Information Not Verified

◆ Asset Detail - Taxable

Cost Method· FIFO

Cash and Cash Equivalents

Description Asset Id Acquisition Date	Exchange rate	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Unrealized gain/loss Translation	Unrealized gain/loss Total
Short term investment funds							
MFB NORTHERN INSTL FDS GOVT SELECTPORTFOLIO CUSIP 665278701	1 00	1 86	205,509 99	205,509 99	0 00	0 00	0 00
Total short term investment funds - all currencies		1.86	205,509.99	205,509.99	0.00	0.00	0.00
Total short term investment funds - all countries		1.86	205,509.99	205,509.99	0.00	0 00	0 00
Total short term investment funds		**1.86**	**205,509.99**	**205,509.99**	**0.00**	**0.00**	**0.00**
Total cash and cash equivalents		**1.86**	**205,509.99**	**205,509.99**	**0.00**	**0.00**	**0.00**

ATTACHMENT

** - Lot Level Information Not Verified

Portfolio Statement

31 DEC 10

◆ Asset Detail - Taxable

Cost Method: FIFO

Total unrealized gain for lotted securities						**849,543.53**
Total unrealized loss for lotted securities						**-2,824,035.96**
Total unrealized gain for non-lotted securities						**0.00**
Total unrealized loss for non-lotted securities						**0.00**
Grand Total by Lots		**18,645,151.08**	**20,619,643.51**	**-1,974,492.43**	**0.00**	**-1,974,492.43**
Grand Total	**1.86**	**18,645,151.08**	**20,619,643.51**	**-1,974,492.43**	**0.00**	**-1,974,492.43**

ATTACHMENT

** - Lot Level Information Not Verified

◆ Asset Detail - Taxable

Cost Method: FIFO

Equities

Description / Asset Id / Acquisition Date	Shares/PAR / Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Common stock								
United States - USD								
KINDER MORGAN MGMT LLC KINDER MORGANMGMT LLC FR CUSIP CUSIP EKE55U103	46,412 00 0 000		0 00	0 00	0 00	0 00	0 00	0 00
25 Nov 03	501 350	0 00		0 00	0 00**	0 00	0 00	0 00
25 Nov 03	8 860	0 00		0 00	0 00**	0 00	0 00	0 00
25 Nov 03	30 190	0 00		0 00	0 00**	0 00	0 00	0 00
17 Feb 04	828 180	0 00		0 00	0 00**	0 00	0 00	0 00
17 Feb 04	49 580	0 00		0 00	0 00**	0 00	0 00	0 00
17 Feb 04	14 570	0 00		0 00	0 00**	0 00	0.00	0 00
18 Feb 04	7 860	0 00		0 00	0 00**	0 00	0 00	0 00
18 Feb 04	0.560	0 00		0 00	0 00**	0 00	0 00	0 00
18 Feb 04	0 230	0 00		0 00	0 00**	0 00	0 00	0 00
14 May 04	8 970	0 00		0 00	0 00**	0 00	0 00	0 00
14 May 04	23 020	0 00		0 00	0 00**	0 00	0 00	0 0
14 May 04	30 040	0 00		0 00	0 00**	0 00	0 00	0 00
14 May 04	500 440	0 00		0 00	0 00**	0 00	0 00	0 00
14 May 04	0 320	0 00		0 00	0 00**	0 00	0 00	0 00
14 May 04	1 470	0 00		0 00	0 00**	0 00	0 00	0 00
17 Aug 04	34 540	0 00		0 00	0 00**	0 00	0 00	0 00
17 Aug 04	117 550	0 00		0 00	0 00**	0 00	0 00	0 00
17 Aug 04	1,962 710	0 00		0 00	0 00**	0 00	0 00	0 00
18 Aug 04	1 900	0 00		0 00	0 00**	0 00	0 00	0 00
18 Aug 04	33 770	0 00		0 00	0 00**	0 00	0 00	0 00
18 Aug 04	0 440	0 00		0 00	0 00**	0 00	0 00	0 00

** - Lot Level Information Not Verified

ATTACHMENT

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◆ Asset Detail - Taxable

Cost Method: FIFO

Equities

Description Asset Id Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Common stock								
United States - USD								
KINDER MORGAN MGMT LLC KINDER MORGANMGMT LLC FR CUSIP (con't) CUSIP EKE55U103								
15 Nov 04	4 270	0 00		0 00	0 00**	0 00	0 00	0 00
15 Nov 04	31 960	0 00		0 00	0 00**	0 00	0 00	0 00
15 Nov 04	1 240	0 00		0 00	0 00**	0 00	0 00	0 00
15 Nov 04	1,813 750	0 00		0 00	0 00**	0 00	0 00	0 00
15 Nov 04	68 980	0 00		0 00	0 00**	0 00	0 00	0 00
15 Nov 04	108 570	0 00		0 00	0 00**	0 00	0 00	0 00
15 Feb 05	6 050	0 00		0 00	0 00**	0 00	0 00	0 00
15 Feb 05	101 410	0 00		0 00	0 00**	0 00	0 00	0.00
15 Feb 05	1 650	0 00		0 00	0 00**	0 00	0 00	0 00
16 Feb 05	102 940	0 00		0 00	0 00**	0 00	0 00	0 00
16 Feb 05	1,723 930	0 00		0 00	0 00**	0 00	0 00	0 00
16 Feb 05	30 730	0 00		0 00	0 00**	0 00	0 00	0 0[illegible]
16 May 05	1,674 440	0 00		0 00	0 00**	0 00	0 00	0 00
16 May 05	100 170	0.00		0 00	0 00**	0 00	0 00	0 00
16 May 05	29 620	0 00		0.00	0 00**	0 00	0 00	0 00
19 May 05	8 080	0 00		0.00	0.00**	0 00	0 00	0 00
19 May 05	2.150	0 00		0.00	0.00**	0 00	0 00	0 00
19 May 05	132 230	0 00		0 00	0 00**	0 00	0 00	0 00
12 Aug 05	8 860	0 00		0 00	0 00**	0 00	0 00	0 00
12 Aug 05	34 330	0 00		0 00	0 00**	0 00	0 00	0 00
12 Aug 05	1,937 550	0 00		0 00	0 00**	0 00	0 00	0 00
12 Aug 05	116 080	0 00		0 00	0 00**	0 00	0 00	0 00

** - Lot Level Information Not Verified

ATTACHMENT

◆ Asset Detail - Taxable

Cost Method FIFO

Equities

Description / Asset Id / Acquisition Date	Shares/PAR / Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Common stock								
United States - USD								
KINDER MORGAN MGMT LLC KINDER MORGANMGMT LLC FR CUSIP (con't) CUSIP EKE55U103								
12 Aug 05	2 710	0 00		0 00	0 00**	0 00	0 00	0 00
12 Aug 05	152 080	0 00		0 00	0 00**	0 00	0 00	0 00
14 Nov 05	10 540	0 00		0 00	0 00**	0 00	0 00	0 00
14 Nov 05	178 550	0 00		0 00	0 00**	0 00	0 00	0 00
14 Nov 05	3 260	0 00		0 00	0 00**	0 00	0 00	0 00
16 Nov 05	10 970	0 00		0 00	0 00**	0 00	0 00	0.00
16 Nov 05	187 540	0 00		0 00	0 00**	0 00	0 00	0 00
16 Nov 05	3 390	0 00		0 00	0 00**	0 00	0 00	0 00
16 Feb 06	224 090	0 00		0 00	0 00**	0 00	0 00	0 00
16 Feb 06	66 180	0 00		0 00	0 00**	0 00	0 00	0 00
16 Feb 06	3,744 470	0 00		0 00	0 00**	0 00	0 00	0 00
21 Feb 06	203 230	0 00		0 00	0 00**	0 00	0 00	0 0[illegible]
21 Feb 06	3 490	0 00		0 00	0 00**	0 00	0 00	0 00
21 Feb 06	12 450	0 00		0 00	0 00**	0 00	0 00	0 00
16 May 06	1,616 240	0 00		0 00	0 00**	0 00	0 00	0 00
16 May 06	477 340	0 00		0 00	0 00**	0 00	0 00	0 00
16 May 06	27,004 650	0 00		0 00	0 00**	0 00	0 00	0 00
18 May 06	5 060	0 00		0 00	0 00**	0 00	0 00	0 00
18 May 06	292 620	0 00		0 00	0 00**	0 00	0 00	0 00
18 May 06	17 600	0 00		0 00	0 00**	0 00	0 00	0 00
Total USD			0 00	0 00	0 00	0 00	0 00	0 00
Total United States			0.00	0 00	0 00	0 00	0 00	0.00

** - Lot Level Information Not Verified

ATTACHMENT

◆ Asset Detail - Taxable

Cost Method: FIFO

Equities

Description Asset Id Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Total common stock			**0.00**	**0.00**	**0.00**	**0.00**	**0.00**	**0.[illegible]**
Total equities			**0.00**	**0.00**	**0.00**	**0.00**	**0.00**	**0.00**

ATTACHMENT

** - Lot Level Information Not Verified

◆ Asset Detail - Taxable

Cost Method: FIFO

Fixed Income

Description / Asset Id / Acquisition Date	Shares/PAR / Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Unrealized gain/loss Translation	Unrealized gain/loss Total
Corporate bonds								
United States - USD								
CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	3,225,141 92 9 630		38,869 71	31,058,116 69	31,214,532 93	-156,416 24	0 00	-156,416 24
15 May 09	60,883 570	966 77		586,308 78	588,606 54	-2,297 76	0 00	-2,297 76
15 May 09	2,084,342 450	966 77		20,072,217 80	20,150,881 21	-78,663 41	0 00	-78,663 41
29 May 09	255,193 480	965 77		2,457,513 21	2,464,592 33	-7,079 12	0 00	-7,079 12
29 May 09	466,780 160	965.77		4,495,092 94	4,508,041 43	-12,948 49	0 00	-12,948 49
29 May 09	3,779 480	965 77		36,396 39	36,501 22	-104 83	0 00	-104 83
15 Jun 09	89,072 850	965 98		857,771 55	860,424 46	-2,652 91	0 00	-2,652 91
15 Jun 09	15,227.820	965 98		146,643 90	147,097 38	-453 48	0 00	-453 48
15 Jun 09	5,766 040	965 98		55,526.97	55,698 75	-171.78	0.00	-171 78
30 Jun 09	5,607,240	973 18		53,997 72	54,568 53	-570 81	0 00	-570 81
30 Jun 09	6,375 130	973 18		61,392.50	62,041.46	-648.96	0 00	-648.96
15 Jul 09	5,294 120	972 50		50,982 38	51,485 56	-503 18	0 00	-503 [illegible]
31 Jul 09	5,207 360	982 49		50,146 88	51,161 76	-1,014 88	0 00	-1,014 88
14 Aug 09	4,763 160	982 39		45,869 23	46,792 78	-923 55	0 00	-923 55
31 Aug 09	5,530 360	988 21		53,257 36	54,651 50	-1,394 14	0 00	-1,394 14
15 Sep 09	5,052 830	987 87		48,658 76	49,915 55	-1,256 79	0 00	-1,256 79
30 Sep 09	4,947 550	991 96		47,644 91	49,077 74	-1,432 83	0 00	-1,432 83
15 Oct 09	4,876 200	987 92		46,957 80	48,173 06	-1,215 26	0 00	-1,215 26
30 Oct 09	4,772 940	990 62		45,963 42	47,281 79	-1,318 37	0 00	-1,318.37
13 Nov 09	4,285 420	990 59		41,268 59	42,450 94	-1,182 35	0 00	-1,182 35
30 Nov 09	5,257 680	995 93		50,631.46	52,362 57	-1,731 11	0 00	-1,731 11
15 Dec 09	4,693 550	984 35		45,198 89	46,200 96	-1,002 07	0 00	-1,002 07

** - Lot Level Information Not Verified

ATTACHMENT

◆ Asset Detail - Taxable

Cost Method FIFO

Fixed Income

Description Asset Id Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Corporate bonds								
United States - USD								
CF CAP GUARDIAN US INVT GRAD FIF TXT FD (con't) CUSIP 6852311M4								
31 Dec 09	4,539 910	974 35		43,719 34	44,234 47	-515.13	0 00	-515 13
15 Jan 10	4,430 290	984 04		42,663 69	43,595 95	-932 26	0 00	-932 26
29 Jan 10	4,437 680	985 43		42,734 86	43,730 44	-995 58	0 00	-995 58
12 Feb 10	3,617 450	981 05		34,836 04	35,488 91	-652 87	0 00	-652 87
26 Feb 10	5,346 760	984 02		51,489 30	52,613 12	-1,123 82	0 00	-1,123 82
15 Mar 10	4,632 120	981 96		44,607 32	45,485 36	-878 04	0 00	-878 04
31 Mar 10	4,859 680	977 29		46,798 72	47,493 18	-694 46	0 00	-694 46
30 Apr 10	3,233 070	985 18		31,134 46	31,851 44	-716 98	0 00	-716 98
30 Apr 10	69,859 330	985 18		672,745 35	688,236 95	-15,491 60	0 00	-15,491 60
30 Apr 10	139 920	984 02		1,347 43	1,376 84	-29 41	0 00	-29 41
30 Apr 10	9,447.920	985 18		90,983 47	93,078 61	-2,095 14	0 00	-2,095 14
14 May 10	4,351 740	991 59		41,907 25	43,151 51	-1,244.26	0.00	-1,244 2[illegible]
14 May 10	70 310	991 54		677 09	697 15	-20 06	0 00	-20 06
28 May 10	4,733 780	986 76		45,586 30	46,710 89	-1 124 59	0 00	-1,124 59
15 Jun 10	4,220 260	985 75		40,641 10	41,601 11	-960 01	0 00	-960 01
30 Jun 10	3,942 500	994 26		37,966 28	39,198 68	-1,232 40	0 00	-1,232 40
15 Jul 10	3,861 330	992 36		37,184 61	38,318 37	-1,133 76	0 00	-1,133 76
30 Jul 10	3,831 550	993 34		36,897 83	38,060 48	-1,162 65	0 00	-1,162 65
13 Aug 10	3,300 240	994.31		31,781 31	32,814 56	-1,033.25	0 00	-1,033 25
31 Aug 10	4,603 530	998 96		44,331 99	45,987 30	-1,655 31	0 00	-1,655 31
15 Sep 10	3,929 500	986 97		37,841 08	38,783 13	-942 05	0 00	-942 05
30 Sep 10	3,821 380	991 46		36,799 89	37,887 48	-1,087 59	0 00	-1,087 59

** - Lot Level Information Not Verified

ATTACHMENT

◆ Asset Detail - Taxable

Cost Method: FIFO

Fixed Income

Description / Asset Id / Acquisition Date	Shares/PAR / Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Unrealized gain/loss Translation	Unrealized gain/loss Total
Corporate bonds								
United States - USD								
CF CAP GUARDIAN US INVT GRAD FIF TXT FD (con't) CUSIP 6852311M4								
15 Oct 10	3,834 050	986 68		36,921 90	37,829 65	-907 75	0 00	-907 75
29 Oct 10	3,784 820	985 50		36,447 81	37,299 33	-851 52	0 00	-851.52
15 Nov 10	60 700	972 31		584 54	590 19	-5 65	0 00	-5.65
15 Nov 10	3,645 660	972 37		35,107 70	35,449 46	-341 76	0 00	-341.76
30 Nov 10	3,355 200	976 42		32,310 57	32,760 70	-450 13	0 00	-450 13
15 Dec 10	3,566 740	950 96		34,347 71	33,918 33	429 38	0 00	429 38
31 Dec 10	3,975 110	963 04		38,280 31	38,281 82	-1 51	0 00	-1 51
Total USD			38,869.71	31,058,116 69	31,214,532 93	-156,416 24	0 00	-156,416 24
Total United States			38,869 71	31,058,116 69	31,214,532.93	-156,416 24	0 00	-156,416.24
Total corporate bonds			**38,869.71**	**31,058,116.69**	**31,214,532.93**	**-156,416.24**	**0.00**	**-156,416.24**
Total fixed income			**38,869.71**	**31,058,116.69**	**31,214,532.93**	**-156,416.24**	**0.00**	**-156,416.2**

** - Lot Level Information Not Verified

ATTACHMENT

◆ Asset Detail - Taxable

Cost Method FIFO

Cash and Cash Equivalents

Description Asset Id Acquisition Date	Exchange rate	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Short term investment funds							
MFB NORTHERN INSTL FDS GOVT SELECTPORTFOLIO CUSIP 665278701	1 00	0 00	1,445 79	1,445 79	0 00	0 00	0 00
Total short term investment funds - all currencies		0 00	1,445.79	1,445.79	0 00	0.00	0 00
Total short term investment funds - all countries		0 00	1,445.79	1,445 79	0.00	0.00	0.00
Total short term investment funds		**0.00**	**1,445.79**	**1,445.79**	**0.00**	**0.00**	**0.00**
Total cash and cash equivalents		**0.00**	**1,445.79**	**1,445.79**	**0.00**	**0.00**	**0.00**

ATTACHMENT

** - Lot Level Information Not Verified

◆ Asset Detail - Taxable

Cost Method. FIFO

Adjustments To Cash

Description / Asset Id / Acquisition Date	Exchange rate	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Unrealized gain/loss Translation	Unrealized gain/loss Total
Pending trade purchases							
USD - United States dollar	1 00	0 00	-38,280 35	-38,280 35	0 00	0 00	0 00
Total pending trade purchases - all currencies		0 00	-38,280 35	-38,280.35	0 00	0.00	0 00
Total pending trade purchases - all countries		0 00	-38,280.35	-38,280.35	0.00	0 00	0.00
Total pending trade purchases		**0.00**	**-38,280.35**	**-38,280.35**	**0.00**	**0.00**	**0.00**
Other payables							
USD - United States dollar	1 00	0 00	-589 36	-589 36	0 00	0 00	0 00
Total other payables - all currencies		0.00	-589 36	-589 36	0 00	0 00	0 00
Total other payables - all countries		0 00	-589 36	-589.36	0 00	0 00	0 00
Total other payables		**0.00**	**-589.36**	**-589.36**	**0.00**	**0.00**	**0.00**
Total adjustments to cash		**0.00**	**-38,869.71**	**-38,869.71**	**0.00**	**0.00**	**0.00**

ATTACHMENT

** - Lot Level Information Not Verified

ATTACHMENT

◆ Asset Detail - Taxable

Cost Method: FIFO

Total unrealized gain for lotted securities						429.38
Total unrealized loss for lotted securities						-156,845.62
Total unrealized gain for non-lotted securities						0.00
Total unrealized loss for non-lotted securities						0.0[illegible]
Grand Total by Lots		31,020,692.77	31,177,109.01	-156,416.24	0.00	-156,416.24
Grand Total	38,869.71	31,020,692.77	31,177,109.01	-156,416.24	0.00	-156,416.24

** - Lot Level Information Not Verified

Gurtin Fixed Income

UNREALIZED GAINS AND LOSSES - SETTLED TRADES

MIMI AND PETER HAAS FUND

Charles Schwab 20905807

vs905807

December 31, 2010

Date	Quantity	Security	Adj Unit Cost	Total Adjusted Cost	Price	Market Value	Unrealized Gain/Loss	% G/L
CASH AND EQUIVALENTS								
		Cash Account		102,506 76		102,506 76		
				102,506 76		102,506 76		
TREASURY BILLS								
11-09-10	6,300,000	TREASURY BILL NONE 0 000% Due 01-06-11	100 00	6,299,925 32	100 00	6,299,987 40	62 08	0 0
11-12-10	200,000	TREASURY BILL NONE 0 000% Due 01-06-11	100 00	199,997 19	100 00	199,999 60	2 41	0 0
11-18-10	6,300,000	TREASURY BILL NONE 0 000% Due 01-13-11	100 00	6,299,736 43	100 00	6,299,937 00	200 57	0 0
11-24-10	5,800,000	TREASURY BILL NONE 0 000% Due 01-20-11	99 99	5,799,564 30	100 00	5,799,878 20	313 90	0 0
12-02-10	5,800,000	TREASURY BILL NONE 0 000% Due 01-27-11	99 99	5,799,423 99	100 00	5,799,785 40	361 41	0 0
12-23-10	300,000	TREASURY BILL NONE 0 000% Due 02-03-11	99 99	299,980 10	99 99	299,976 90	-3 20	0 0
12-30-10	200,000	TREASURY BILL NONE 0 000% Due 02-03-11	100 00	199,991 99	99 99	199,984 60	-7 39	0 0
12-16-10	2,100,000	TREASURY BILL NONE 0 000% Due 02-10-11	99 99	2,099,717 66	99 99	2,099,790 00	72 34	0 0
12-17-10	2,000,000	TREASURY BILL NONE 0 000% Due 02-10-11	99 99	1,999,744 44	99 99	1,999,800 00	55 56	0 0
12-30-10	1,000,000	TREASURY BILL NONE 0 000% Due 02-10-11	99 99	999,913 23	99 99	999,900 00	-13 23	0 0
12-22-10	5,600,000	TREASURY BILL NONE 0 000% Due 02-17-11	99 98	5,599,084 17	99 99	5,599,193 60	109 43	0 0
12-30-10	5,100,000	TREASURY BILL NONE 0 000% Due 02-24-11	99 98	5,099,173 53	99 98	5,099,153 40	-20 13	0 0
				40,696,252 33		40,697,386 10	1,133 77	0 0
TOTAL PORTFOLIO				**40,798,759.09**		**40,799,892.86**	**1,133.77**	**0.0**

This is not an official account statement. Prior performance does not guarantee nor is it indicative of future results. Therefore, you should not assume that the future performance of any specific investment or investment strategy (including those undertaken or recommended by GFI) will be profitable or equal to corresponding performance levels

ATTACHMENT

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		BAUPOST VALUE PARTNERS IV 26-2208448 PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
							6,371,378.	
		CANYON VALUE REAL FUND PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
							-132,776.	
		FARALLON CAPITAL INST 94-3106323 PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
							52,241.	
		FR XI ONSHORE AIV 71-1015969 PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
							-18,776.	
		GEMINI ISRAEL IV 98-0428414 PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
							-247,387.	
		GENERATION IM GLOBAL EQ. FUND PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
							693,521.	
		JC FLOWERS II 98-0494093 PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
							-3,490.	
		JCF HRE AIV II TE TRUST 98-0584484 PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
							-266,117.	
		HCP WPPE X INVESTORS 26-1278318 PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
							-24,400.	
		JW CHILDS EQUITY III 03-0387301 PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
							330,882.	
		HCP PRIVATE EQUITY 52-2335224 PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
							83,438.	
		HCP VF IX INVESTORS 26-1958282 PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
							75,738.	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		NATURAL GAS PARTNERS IX 26-0632609 PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
							40,946.	
		PZENA GLOBAL VALUE FUND (DST) 20-6776308 PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
							-1372446.	
		ROSEWOOD CAPITAL III 94-3274638 PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
							6,568.	
		ROSEWOOD CAPITAL 94-3195569 PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
							232,993.	
		STG III A 20-8952445 PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
							-6,256.	
		TCV III (Q) 77-0494890 PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
							17.	
		TCV IV 77-0527868 PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
							-23,972.	
		WATERSHED CAP INSTIT.PARTNERS 82-0547075 PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
							-343,315.	
		WARBURG PINCUS PRIV EQUITY VIII 13-41618 PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
							19,935.	
		WARBURG PINCUS PE (BERMUDA) VIII 13-4194 PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
							2,449.	
		NT-CAPITAL GUARDIAN 26-03958(STMT D-1) PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
1,791,760.		457,982.					1,333,778.	
		NT-GENERAL ACCOUNT 26-74278(STMT D-2) PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
13,230.		0.					13,230.	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		SCHWAB/GURTIN 20905807(STMT D-3) PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
299430266.		299430262.					4.	
		WELLS FARGO 2009-5273(STMT D-4) PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
400,966.		53,595.					347,371.	
TOTAL GAIN(LOSS)							7,165,554.	

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Sales									
Fixed income									
Corporate bonds									
United States									
30 Apr 10 20 May 10	CF CAP GUARDIAN NON-US FIF FOR TX EXMP TRUSTS CUSIP 401999CF2	-2,374 31	32,646 47	-33,674 30	-998 50	-29 33	0 00	-998 50	-29 33
	15 Apr 09	-1,000 64	13,758 68	-13,785 60	0 00	-26 92	0 00	0 00	-26 92
	15 Apr 09	-90 22	1,240 51	-1,242 92	0 00	-2 41	0 00	0 00	-2 41
	30 Apr 09	-91 13	1,253 03	-1,256 45	-3 42	0 00	0 00	-3 42	0 00
	29 May 09	-184 03	2,530 39	-2,628 03	-97 64	0 00	0 00	-97 64	0 00
	15 Jun 09	-113 29	1,557.72	-1,573 37	-15 65	0 00	0 00	-15 65	0 00
	30 Jun 09	-2.34	32 17	-33.43	-1 26	0.00	0 00	-1 26	0 00
	31 Jul 09	-106 87	1,469 45	-1,554 20	-84 75	0 00	0.00	-84 75	0 00
	31 Aug 09	-76 44	1,051 04	-1,122 49	-71 45	0 00	0 00	-71 45	0 00
	30 Sep 09	-89.00	1,223 74	-1,339 86	-116 12	0 00	0 00	-116 12	0 00
	30 Oct 09	-90 76	1,247 94	-1,367 30	-119 36	0 00	0 00	-119 36	0 00
	13 Nov 09	-41 15	565 81	-626 79	-60 98	0 00	0 00	-60 98	0 00
	30 Nov 09	-49 41	679 38	-769 81	-90 43	0 00	0 00	-90 43	0 00
	31 Dec 09	-70 12	964 14	-1,032 29	-68 15	0 00	0 00	-68 15	0 00
	29 Jan 10	-99 78	1,371 97	-1,467 53	-95.56	0 00	0 00	-95 56	0 00
	26 Feb 10	-81 28	1,117 59	-1,195 50	-77 91	0 00	0 00	-77.91	0 00
	31 Mar 10	-91 38	1,256 46	-1,307 24	-50 78	0 00	0 00	-50 78	0 00
	30 Apr 10	-96 47	1,326 45	-1,371 49	-45 04	0 00	0 00	-45 04	0 00
30 Apr 10 04 May 10	CF CAP GUARDIAN NON-US FIF FOR TX EXMP TRUSTS CUSIP 401999CF2	-48,165 18	662,266 44	-658,525 72	0 00	3,740 72	0 00	0 00	3,740 72
	16 Jun 08	-5,177 52	71,190 39	-65,764 94	0 00	5,425 45	0 00	0 00	5,425 45
	30 Jun 08	-207 70	2,855 85	-2,713 23	0 00	142 62	0 00	0 00	142 62
	15 Jul 08	-185 25	2,547 17	-2,479 24	0 00	67 93	0 00	0 00	67 93
	31 Jul 08	-200 06	2,750 80	-2,635 74	0 00	115 06	0 00	0 00	115 06
	29 Aug 08	-283 85	3,902 91	-3,611 68	0 00	291 23	0 00	0 00	291 23
	15 Sep 08	-310 73	4,272 51	-3,935 64	0 00	336 87	0 00	0 00	336 87

STMT D-1

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Sales									
Fixed income									
Corporate bonds									
United States									
	30 Sep 08	-170 64	2,346 28	-2,153 64	0 00	192 64	0 00	0 00	192 64
	15 Oct 08	-111 05	1,526 93	-1,385 97	0 00	140 96	0 00	0 00	140 96
	31 Oct 08	-104 94	1,442 91	-1,322 81	0 00	120 10	0 00	0 00	120 10
	14 Nov 08	-97 26	1,337 32	-1,229 57	0 00	107 75	0 00	0 00	107 75
	28 Nov 08	-128 38	1,765 21	-1,754 68	0 00	10 53	0 00	0 00	10 53
	15 Dec 08	-103 90	1,428 62	-1,465 31	0 00	-36 69	0 00	0 00	-36 69
	31 Dec 08	-70 37	967 58	-1,036 46	0 00	-68 88	0 00	0 00	-68 88
	15 Jan 09	-80 76	1,110 44	-1,150 40	0 00	-39 96	0 00	0 00	-39 96
	30 Jan 09	-84 92	1,167 64	-1,177.10	0 00	-9 46	0 00	0 00	-9 46
	13 Feb 09	-60 94	837 92	-847 00	0 00	-9 08	0 00	0 00	-9 08
	17 Feb 09	-21,912 63	301,296 49	-303,863 80	0 00	-2,567 31	0 00	0 00	-2,567 31
	27 Feb 09	-64 60	888 24	-870 64	0 00	17 60	0 00	0 00	17 60
	13 Mar 09	-66 22	910 52	-898 52	0 00	12 00	0 00	0 00	12 00
	31 Mar 09	-94 45	1,298 68	-1,305 98	0 00	-7 30	0 00	0 00	-7 30
	15 Apr 09	-18,649 01	256,422 03	-256,923 37	0 00	-501 34	0 00	0 00	-501 34
Total United States			**694,912.91**	**-692,200.02**	**-998.50**	**3,711.39**	**0.00**	**-998.50**	**3,711.39**
Index linked government bonds									
United States									
30 Mar 09 31 Mar 09	UNITED STATES OF AMER TREAS BONDS 3 00 INDEX LINKED NOTES DUE 07-15-2012 REG CUSIP 912828AF7	-50,000 00	62,425 24	-63,414.39	-989 15	0 00	0 00	-989 15	0 00
	05 Aug 08	-50,000 00	62,425 24	-63,414 39	-989 15	0 00	0 00	-989 15	0 00

Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Sales									
Fixed income									
Index linked government bonds									
United States									
30 Mar 09 31 Mar 09	UNITED STATES OF AMER TREAS BONDS 3 00 INDEX LINKED NOTES DUE 07-15-2012 REG CUSIP 912828AF7	50,000 00	-62,425 24	64,674 86	2,249 62	0 00	0 00	2,249 62	0 00
19 Mar 09 20 Mar 09	US TREAS NTS INDEX LINKED 1 875 DUE 07-15-2013 REG CUSIP 912828BD1	-100,000 00	116,995 78	-111,845 69	5,150 09	0 00	0 00	5,150 09	0 00
	08 Jan 09	-100,000 00	116,995 78	-111,845 69	5,150 09	0 00	0 00	5,150 09	0 00
19 Mar 09 20 Mar 09	US TREAS NTS INDEX LINKED 1 875 DUE 07-15-2013 REG CUSIP 912828BD1	100,000 00	-116,995 78	112,458 66	-4,537 12	0 00	0 00	-4,537 12	0 00
12 Mar 09 13 Mar 09	US TREAS NTS INDEX LINKED 1 875 DUE 07-15-2015 REG CUSIP 912828EA4	300,000 00	-318,431 58	315,689 08	-2,742 50	0 00	0 00	-2,742 50	0 00
12 Mar 09 13 Mar 09	US TREAS NTS INDEX LINKED 1 875 DUE 07-15-2015 REG CUSIP 912828EA4	-300,000 00	318,431 58	-314,307 80	4,123 78	0 00	0 00	4,123 78	0 00
	15 Oct 08	-250,000 00	265,359 65	-260,535 50	4,824 15	0 00	0 00	4,824 15	0 00
	16 Jan 09	-50,000 00	53,071 93	-53,772 30	-700 37	0 00	0 00	-700 37	0 00
30 Mar 09 31 Mar 09	US TREAS NTS INFLATION INDEXED 3 375 DUE01-15-2012 REG CUSIP 9128277J5	-50,000 00	63,080 99	-61,058.13	0 00	2,022 86	0 00	0 00	2,022 86
	20 Aug 07	-50,000 00	63,080 99	-61,058 13	0 00	2,022.86	0 00	0 00	2,022.86
30 Mar 09 31 Mar 09	US TREAS NTS INFLATION INDEXED 3 375 DUE01-15-2012 REG CUSIP 9128277J5	50,000 00	-63,080 99	63,017 12	0 00	-63 87	0 00	0 00	-63 87
Total United States			**0.00**	**5,213.71**	**3,254.72**	**1,958.99**	**0.00**	**3,254.72**	**1,958.99**

Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Sales									
Total S/T translation gain/loss for fixed income							**0.00**		
Total L/T translation gain/loss for fixed income							**0.00**		
Total realized gains for fixed income					**12,223.86**	**9,003.60**	**0.00**	**12,223.86**	**9,003.60**
Total realized losses for fixed income					**-9,967.64**	**-3,333.22**	**0.00**	**-9,967.64**	**-3,333.22**
Total Fixed Income			**694,912.91**	**-686,986.31**	**2,256.22**	**5,670.38**	**0.00**	**2,256.22**	**5,670.38**
Total Sales			**694,912.91**	**-686,986.31**	**2,256.22**	**5,670.38**	**0.00**	**2,256.22**	**5,670.38**
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
26 Feb 10 11 Mar 10	CF CAP GUARDIAN NON-US FIF FOR TX EXMP TRUSTS CUSIP 401999CF2	0 00	0 00	2,597 53	2,597 53	0 00	0 00	2,597 53	0 00
	16 Jun 08	0 00	0 00	267 10	267.10	0 00	0 00	267 10	0 00
	30 Jun 08	0 00	0 00	10 72	10.72	0 00	0 00	10 72	0 00
	15 Jul 08	0 00	0 00	9 56	9 56	0 00	0 00	9 56	0 00
	31 Jul 08	0 00	0 00	10 32	10 32	0 00	0 00	10 32	0 00
	29 Aug 08	0 00	0 00	14 64	14 64	0 00	0 00	14 64	0 00
	15 Sep 08	0 00	0 00	16 02	16 02	0 00	0 00	16 02	0 00
	30 Sep 08	0 00	0 00	8 80	8 80	0 00	0 00	8 80	0 00
	15 Oct 08	0 00	0 00	5 73	5 73	0 00	0 00	5 73	0 00
	31 Oct 08	0 00	0 00	5 41	5 41	0 00	0 00	5 41	0 00
	14 Nov 08	0 00	0 00	5 02	5 02	0 00	0 00	5.02	0 00
	28 Nov 08	0 00	0 00	6 63	6 63	0 00	0 00	6 63	0 00
	15 Dec 08	0 00	0 00	5 36	5 36	0 00	0 00	5 36	0 00
	31 Dec 08	0 00	0 00	3 63	3 63	0 00	0 00	3 63	0 00
	15 Jan 09	0 00	0 00	4 16	4 16	0 00	0 00	4 16	0 00
	30 Jan 09	0 00	0 00	4 39	4 39	0 00	0 00	4 39	0 00
	13 Feb 09	0 00	0 00	3 14	3 14	0 00	0 00	3 14	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
	17 Feb 09	0.00	0.00	1,130.42	1,130.42	0.00	0.00	1,130.42	0.00
	27 Feb 09	0.00	0.00	3.33	3.33	0.00	0.00	3.33	0.00
	13 Mar 09	0.00	0.00	3.42	3.42	0.00	0.00	3.42	0.00
	31 Mar 09	0.00	0.00	4.87	4.87	0.00	0.00	4.87	0.00
	15 Apr 09	0.00	0.00	1,013.68	1,013.68	0.00	0.00	1,013.68	0.00
	15 Apr 09	0.00	0.00	4.66	4.66	0.00	0.00	4.66	0.00
	30 Apr 09	0.00	0.00	4.70	4.70	0.00	0.00	4.70	0.00
	29 May 09	0.00	0.00	9.50	9.50	0.00	0.00	9.50	0.00
	15 Jun 09	0.00	0.00	5.84	5.84	0.00	0.00	5.84	0.00
	30 Jun 09	0.00	0.00	0.12	0.12	0.00	0.00	0.12	0.00
	31 Jul 09	0.00	0.00	5.51	5.51	0.00	0.00	5.51	0.00
	31 Aug 09	0.00	0.00	3.94	3.94	0.00	0.00	3.94	0.00
	30 Sep 09	0.00	0.00	4.59	4.59	0.00	0.00	4.59	0.00
	30 Oct 09	0.00	0.00	4.69	4.69	0.00	0.00	4.69	0.00
	13 Nov 09	0.00	0.00	2.12	2.12	0.00	0.00	2.12	0.00
	30 Nov 09	0.00	0.00	2.55	2.55	0.00	0.00	2.55	0.00
	31 Dec 09	0.00	0.00	3.62	3.62	0.00	0.00	3.62	0.00
	29 Jan 10	0.00	0.00	5.15	5.15	0.00	0.00	5.15	0.00
	26 Feb 10	0.00	0.00	4.19	4.19	0.00	0.00	4.19	0.00
26 Feb 10 11 Mar 10	CF CAP GUARDIAN NON-US FIF FOR TX EXMP TRUSTS CUSIP 401999CF2	0.00	0.00	-9.04	0.00	-9.04	0.00	0.00	-9.04
	16 Jun 08	0.00	0.00	-0.93	0.00	-0.93	0.00	0.00	-0.93
	30 Jun 08	0.00	0.00	-0.04	0.00	-0.04	0.00	0.00	-0.04
	15 Jul 08	0.00	0.00	-0.03	0.00	-0.03	0.00	0.00	-0.03
	31 Jul 08	0.00	0.00	-0.04	0.00	-0.04	0.00	0.00	-0.04
	29 Aug 08	0.00	0.00	-0.05	0.00	-0.05	0.00	0.00	-0.05
	15 Sep 08	0.00	0.00	-0.06	0.00	-0.06	0.00	0.00	-0.06

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
	30 Sep 08	0 00	0 00	-0 03	0 00	-0 03	0 00	0 00	-0 03
	15 Oct 08	0 00	0 00	-0 02	0 00	-0 02	0 00	0 00	-0 02
	31 Oct 08	0 00	0 00	-0 02	0 00	-0 02	0 00	0 00	-0 02
	14 Nov 08	0 00	0 00	-0 01	0 00	-0 01	0 00	0 00	-0 01
	28 Nov 08	0 00	0 00	-0 02	0 00	-0 02	0 00	0 00	-0 02
	15 Dec 08	0 00	0 00	-0 02	0 00	-0 02	0 00	0 00	-0 02
	31 Dec 08	0 00	0 00	-0 01	0 00	-0 01	0 00	0 00	-0 01
	15 Jan 09	0 00	0 00	-0 02	0 00	-0 02	0 00	0 00	-0 02
	30 Jan 09	0 00	0 00	-0 01	0 00	-0 01	0 00	0 00	-0 01
	13 Feb 09	0 00	0 00	-0 01	0 00	-0 01	0 00	0 00	-0 01
	17 Feb 09	0 00	0 00	-3 93	0 00	-3,93	0 00	0 00	-3 93
	27 Feb 09	0 00	0 00	-0 01	0 00	-0 01	0 00	0 00	-0 01
	13 Mar 09	0 00	0 00	-0 01	0 00	-0 01	0 00	0 00	-0 01
	31 Mar 09	0 00	0,00	-0 02	0 00	-0 02	0 00	0 00	-0 02
	15 Apr 09	0 00	0 00	-3 53	0 00	-3 53	0 00	0 00	-3 53
	15 Apr 09	0 00	0,00	-0 01	0 00	-0 01	0 00	0 00	-0 01
	30 Apr 09	0 00	0 00	-0 01	0 00	-0 01	0 00	0 00	-0 01
	29 May 09	0 00	0 00	-0 03	0 00	-0 03	0 00	0 00	-0 03
	15 Jun 09	0 00	0 00	-0 02	0 00	-0 02	0 00	0 00	-0 02
	30 Jun 09	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00
	31 Jul 09	0 00	0 00	-0 02	0 00	-0 02	0 00	0 00	-0 02
	31 Aug 09	0 00	0 00	-0 02	0 00	-0 02	0 00	0 00	-0 02
	30 Sep 09	0 00	0 00	-0 01	0 00	-0 01	0 00	0 00	-0 01
	30 Oct 09	0 00	0 00	-0 02	0 00	-0 02	0,00	0 00	-0 02
	13 Nov 09	0 00	0 00	-0 01	0 00	-0 01	0 00	0 00	-0 01
	30 Nov 09	0 00	0 00	-0 01	0 00	-0 01	0 00	0 00	-0 01
	31 Dec 09	0 00	0 00	-0 02	0 00	-0 02	0 00	0 00	-0 02
	29 Jan 10	0 00	0 00	-0 02	0 00	-0 02	0 00	0 00	-0 02

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss – Market – Short Term	Realized gain/loss – Market – Long Term	Realized gain/loss – Translation	Realized gain/loss – Total – Short Term	Realized gain/loss – Total – Long Term
	Other Gain/Loss								
	Fixed income								
	Corporate bonds								
	United States								
	26 Feb 10	0 00	0 00	-0 02	0 00	-0 02	0 00	0 00	-0 02
31 Mar 10 12 Apr 10	CF CAP GUARDIAN NON-US FIF FOR TX EXMP TRUSTS CUSIP 401999CF2	0 00	0 00	-54 74	0 00	-54 74	0 00	0 00	-54 74
	16 Jun 08	0 00	0 00	-5 62	0 00	-5 62	0 00	0 00	-5 62
	30 Jun 08	0 00	0 00	-0 22	0 00	-0 22	0 00	0 00	-0 22
	15 Jul 08	0 00	0 00	-0 20	0 00	-0 20	0 00	0 00	-0 20
	31 Jul 08	0 00	0 00	-0 22	0 00	-0 22	0 00	0 00	-0 22
	29 Aug 08	0 00	0 00	-0 31	0 00	-0 31	0 00	0 00	-0.31
	15 Sep 08	0 00	0 00	-0 34	0 00	-0 34	0 00	0 00	-0 34
	30 Sep 08	0 00	0 00	-0 19	0 00	-0 19	0 00	0 00	-0 19
	15 Oct 08	0 00	0 00	-0 12	0 00	-0 12	0 00	0 00	-0 12
	31 Oct 08	0 00	0 00	-0 12	0 00	-0.12	0 00	0 00	-0 12
	14 Nov 08	0 00	0 00	-0.10	0 00	-0 10	0 00	0 00	-0 10
	28 Nov 08	0.00	0 00	-0 13	0 00	-0 13	0 00	0 00	-0 13
	15 Dec 08	0 00	0.00	-0 11	0 00	-0 11	0 00	0 00	-0 11
	31 Dec 08	0 00	0 00	-0 08	0 00	-0 08	0 00	0 00	-0 08
	15 Jan 09	0 00	0 00	-0 08	0 00	-0 08	0 00	0 00	-0 08
	30 Jan 09	0 00	0 00	-0 10	0 00	-0 10	0 00	0 00	-0 10
	13 Feb 09	0 00	0 00	-0 06	0 00	-0 06	0 00	0 00	-0 06
	17 Feb 09	0 00	0 00	-23 78	0 00	-23 78	0 00	0 00	-23 78
	27 Feb 09	0 00	0 00	-0 07	0 00	-0 07	0 00	0 00	-0 07
	13 Mar 09	0 00	0 00	-0 07	0 00	-0 07	0 00	0 00	-0 07
	31 Mar 09	0 00	0 00	-0 10	0 00	-0 10	0 00	0 00	-0 10
	15 Apr 09	0 00	0 00	-21 32	0 00	-21.32	0 00	0 00	-21 32
	15 Apr 09	0 00	0 00	-0 10	0 00	-0 10	0 00	0 00	-0 10
	30 Apr 09	0 00	0 00	-0 10	0 00	-0 10	0 00	0 00	-0 10
	29 May 09	0 00	0 00	-0 19	0.00	-0 19	0 00	0 00	-0 19

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term

Other Gain/Loss

Fixed income

Corporate bonds

United States

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
	15 Jun 09	0.00	0.00	-0.12	0.00	-0.12	0.00	0.00	-0.12
	30 Jun 09	0.00	0.00	-0.01	0.00	-0.01	0.00	0.00	-0.01
	31 Jul 09	0.00	0.00	-0.12	0.00	-0.12	0.00	0.00	-0.12
	31 Aug 09	0.00	0.00	-0.08	0.00	-0.08	0.00	0.00	-0.08
	30 Sep 09	0.00	0.00	-0.10	0.00	-0.10	0.00	0.00	-0.10
	30 Oct 09	0.00	0.00	-0.10	0.00	-0.10	0.00	0.00	-0.10
	13 Nov 09	0.00	0.00	-0.05	0.00	-0.05	0.00	0.00	-0.05
	30 Nov 09	0.00	0.00	-0.06	0.00	-0.06	0.00	0.00	-0.06
	31 Dec 09	0.00	0.00	-0.07	0.00	-0.07	0.00	0.00	-0.07
	29 Jan 10	0.00	0.00	-0.11	0.00	-0.11	0.00	0.00	-0.11
	26 Feb 10	0.00	0.00	-0.09	0.00	-0.09	0.00	0.00	-0.09
	31 Mar 10	0.00	0.00	-0.10	0.00	-0.10	0.00	0.00	-0.10
29 Jan 10 17 Feb 10	CF CAP GUARDIAN NON-US FIF FOR TX EXMP TRUSTS CUSIP 401999CF2	0.00	0.00	-0.07	-0.07	0.00	0.00	-0.07	0.00
	16 Jun 08	0.00	0.00	-0.01	-0.01	0.00	0.00	-0.01	0.00
	30 Jun 08	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	15 Jul 08	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	31 Jul 08	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	29 Aug 08	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	15 Sep 08	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	30 Sep 08	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	15 Oct 08	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	31 Oct 08	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	14 Nov 08	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	28 Nov 08	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	15 Dec 08	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	31 Dec 08	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
	15 Jan 09	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00
	30 Jan 09	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00
	13 Feb 09	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00
	17 Feb 09	0 00	0 00	-0 03	-0 03	0 00	0 00	-0 03	0 00
	27 Feb 09	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00
	13 Mar 09	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00
	31 Mar 09	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00
	15 Apr 09	0 00	0.00	-0 03	-0.03	0 00	0 00	-0 03	0 00
	15 Apr 09	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00
	30 Apr 09	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00
	29 May 09	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00
	15 Jun 09	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00
	30 Jun 09	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00
	31 Jul 09	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00
	31 Aug 09	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00
	30 Sep 09	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00
	30 Oct 09	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00
	13 Nov 09	0 00	0 00	0.00	0 00	0 00	0.00	0 00	0 00
	30 Nov 09	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00
	31 Dec 09	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00
	29 Jan 10	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00
30 Apr 10 13 May 10	CF CAP GUARDIAN NON-US FIF FOR TX EXMP TRUSTS CUSIP 401999CF2	0 00	0 00	16,115 44	16,115 44	0 00	0 00	16,115 44	0 00
	16 Jun 08	0 00	0 00	1,650 95	1,650 95	0 00	0 00	1,650 95	0 00
	30 Jun 08	0 00	0 00	66 23	66 23	0 00	0 00	66 23	0 00
	15 Jul 08	0 00	0 00	59 07	59 07	0 00	0 00	59 07	0 00
	31 Jul 08	0 00	0 00	63 80	63 80	0 00	0 00	63 80	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
	29 Aug 08	0 00	0 00	90 51	90.51	0 00	0 00	90 51	0 00
	15 Sep 08	0 00	0 00	99 09	99 09	0 00	0 00	99 09	0 00
	30 Sep 08	0 00	0 00	54 42	54 42	0 00	0 00	54 42	0 00
	15 Oct 08	0 00	0 00	35 41	35 41	0 00	0 00	35 41	0 00
	31 Oct 08	0 00	0 00	33 46	33 46	0 00	0 00	33 46	0 00
	14 Nov 08	0 00	0 00	31 01	31 01	0 00	0 00	31 01	0 00
	28 Nov 08	0 00	0 00	40 94	40 94	0 00	0 00	40 94	0 00
	15 Dec 08	0 00	0 00	33 13	33 13	0 00	0 00	33 13	0 00
	31 Dec 08	0 00	0 00	22 44	22 44	0 00	0 00	22 44	0 00
	15 Jan 09	0 00	0 00	25 75	25 75	0 00	0 00	25 75	0 00
	30 Jan 09	0 00	0 00	27.08	27 08	0 00	0.00	27 08	0 00
	13 Feb 09	0 00	0 00	19 43	19 43	0 00	0 00	19 43	0 00
	17 Feb 09	0 00	0 00	6,987 24	6,987 24	0 00	0 00	6,987 24	0 00
	27 Feb 09	0 00	0 00	20 60	20 60	0 00	0 00	20 60	0 00
	13 Mar 09	0 00	0 00	21 11	21 11	0 00	0 00	21 11	0 00
	31 Mar 09	0 00	0 00	30 11	30 11	0 00	0 00	30 11	0 00
	15 Apr 09	0 00	0 00	6,265 65	6,265 65	0 00	0 00	6,265 65	0 00
	15 Apr 09	0 00	0 00	28 76	28 76	0 00	0 00	28 76	0 00
	30 Apr 09	0.00	0 00	29 06	29 06	0 00	0 00	29.06	0 00
	29 May 09	0 00	0 00	58 68	58 68	0 00	0 00	58 68	0 00
	15 Jun 09	0 00	0 00	36 13	36 13	0 00	0 00	36 13	0 00
	30 Jun 09	0 00	0 00	0 74	0 74	0 00	0 00	0 74	0 00
	31 Jul 09	0 00	0 00	34 08	34 08	0 00	0 00	34 08	0 00
	31 Aug 09	0 00	0 00	24 37	24 37	0 00	0 00	24 37	0 00
	30 Sep 09	0 00	0 00	28 38	28 38	0 00	0 00	28 38	0 00
	30 Oct 09	0 00	0 00	28 94	28 94	0 00	0 00	28 94	0 00
	13 Nov 09	0 00	0 00	13 12	13 12	0 00	0 00	13 12	0 00
	30 Nov 09	0 00	0 00	15 75	15 75	0 00	0 00	15 75	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
	31 Dec 09	0 00	0 00	22 36	22 36	0 00	0 00	22 36	0 00
	29 Jan 10	0,00	0 00	31 82	31 82	0 00	0 00	31 82	0 00
	26 Feb 10	0 00	0 00	25 92	25 92	0 00	0 00	25 92	0 00
	31 Mar 10	0 00	0 00	29 14	29 14	0 00	0 00	29 14	0 00
	30 Apr 10	0 00	0 00	30 76	30 76	0 00	0 00	30 76	0 00
31 Dec 09 12 Apr 10	CF CAP GUARDIAN NON-US FIF FOR TX EXMP TRUSTS CUSIP 401999CF2	0 00	0 00	179 62	0 00	179 62	0 00	0 00	179 62
	16 Jun 08	0 00	0 00	18 54	0 00	18 54	0 00	0 00	18 54
	30 Jun 08	0 00	0 00	0 74	0 00	0 74	0 00	0 00	0 74
	15 Jul 08	0 00	0 00	0 67	0 00	0 67	0 00	0 00	0 67
	31 Jul 08	0 00	0 00	0 72	0 00	0 72	0 00	0 00	0 72
	29 Aug 08	0 00	0 00	1 02	0 00	1 02	0 00	0 00	1 02
	15 Sep 08	0 00	0 00	1.12	0 00	1 12	0 00	0 00	1 12
	30 Sep 08	0 00	0 00	0 61	0 00	0 61	0 00	0 00	0 61
	15 Oct 08	0 00	0 00	0 40	0 00	0 40	0 00	0 00	0 40
	31 Oct 08	0 00	0 00	0 37	0 00	0 37	0 00	0 00	0 37
	14 Nov 08	0 00	0 00	0 35	0 00	0 35	0 00	0 00	0 35
	28 Nov 08	0 00	0 00	0 46	0 00	0 46	0 00	0 00	0 46
	15 Dec 08	0 00	0 00	0 37	0 00	0 37	0.00	0 00	0 37
	31 Dec 08	0 00	0 00	0 25	0 00	0 25	0.00	0 00	0 25
	15 Jan 09	0 00	0 00	0 28	0 00	0 28	0.00	0 00	0 28
	30 Jan 09	0 00	0 00	0 31	0 00	0 31	0 00	0 00	0 31
	13 Feb 09	0 00	0 00	0 21	0 00	0 21	0 00	0 00	0 21
	17 Feb 09	0 00	0 00	78 45	0 00	78 45	0 00	0 00	78 45
	27 Feb 09	0 00	0 00	0 23	0 00	0 23	0 00	0 00	0 23
	13 Mar 09	0 00	0 00	0 24	0 00	0 24	0 00	0 00	0 24
	31 Mar 09	0 00	0 00	0 33	0 00	0 33	0 00	0 00	0 33

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss – Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
	15 Apr 09	0 00	0 00	70 35	0 00	70 35	0 00	0 00	70 35
	15 Apr 09	0 00	0 00	0 32	0 00	0 32	0 00	0.00	0.32
	30 Apr 09	0 00	0.00	0 33	0.00	0 33	0 00	0 00	0 33
	29 May 09	0 00	0 00	0 65	0 00	0 65	0 00	0 00	0 65
	15 Jun 09	0 00	0 00	0 41	0 00	0 41	0 00	0 00	0 41
	30 Jun 09	0 00	0 00	0 01	0 00	0 01	0 00	0 00	0 01
	31 Jul 09	0 00	0 00	0 39	0 00	0 39	0 00	0 00	0 39
	31 Aug 09	0 00	0 00	0 27	0 00	0 27	0 00	0 00	0 27
	30 Sep 09	0 00	0 00	0 32	0 00	0 32	0 00	0 00	0 32
	30 Oct 09	0 00	0 00	0 33	0 00	0 33	0 00	0 00	0 33
	13 Nov 09	0 00	0 00	0 15	0 00	0 15	0 00	0 00	0 15
	30 Nov 09	0 00	0 00	0 17	0 00	0 17	0 00	0.00	0 17
	31 Dec 09	0 00	0 00	0 25	0 00	0.25	0 00	0 00	0 25
30 Apr 10 13 May 10	CF CAP GUARDIAN NON-US FIF FOR TX EXMP TRUSTS CUSIP 401999CF2	0 00	0 00	7,508 33	0 00	7,508 33	0 00	0 00	7,508 33
	16 Jun 08	0 00	0 00	769 19	0 00	769 19	0 00	0 00	769 19
	30 Jun 08	0 00	0 00	30 86	0 00	30 86	0 00	0 00	30 86
	15 Jul 08	0 00	0 00	27 52	0 00	27 52	0 00	0 00	27 52
	31 Jul 08	0 00	0 00	29 72	0 00	29 72	0 00	0 00	29 72
	29 Aug 08	0 00	0 00	42 17	0 00	42 17	0 00	0 00	42 17
	15 Sep 08	0 00	0 00	46 16	0 00	46 16	0 00	0 00	46 16
	30 Sep 08	0 00	0 00	25 35	0 00	25 35	0 00	0 00	25 35
	15 Oct 08	0 00	0 00	16 50	0 00	16 50	0 00	0 00	16 50
	31 Oct 08	0 00	0 00	15 59	0 00	15 59	0 00	0 00	15 59
	14 Nov 08	0 00	0 00	14 45	0 00	14 45	0 00	0 00	14 45
	28 Nov 08	0 00	0 00	19 08	0 00	19 08	0 00	0 00	19 08
	15 Dec 08	0 00	0 00	15 43	0 00	15 43	0 00	0 00	15 43

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Realized gain/loss: Market Long Term	Realized gain/loss: Translation	Realized gain/loss: Total Short Term	Realized gain/loss: Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
	31 Dec 08	0 00	0 00	10 46	0 00	10 46	0 00	0 00	10 46
	15 Jan 09	0 00	0 00	12 00	0 00	12 00	0 00	0 00	12 00
	30 Jan 09	0 00	0 00	12 61	0 00	12 61	0 00	0 00	12 61
	13 Feb 09	0 00	0 00	9 06	0 00	9 06	0 00	0 00	9 06
	17 Feb 09	0 00	0 00	3,255 42	0 00	3,255 42	0 00	0 00	3,255 42
	27 Feb 09	0 00	0 00	9 60	0 00	9 60	0 00	0 00	9 60
	13 Mar 09	0 00	0 00	9 84	0 00	9.84	0 00	0 00	9 84
	31 Mar 09	0 00	0 00	14 03	0 00	14 03	0 00	0 00	14 03
	15 Apr 09	0 00	0 00	2,919 22	0 00	2,919 22	0 00	0 00	2,919 22
	15 Apr 09	0 00	0 00	13 41	0 00	13 41	0 00	0 00	13 41
	30 Apr 09	0.00	0 00	13.54	0 00	13 54	0 00	0.00	13 54
	29 May 09	0 00	0 00	27 34	0 00	27 34	0 00	0 00	27.34
	15 Jun 09	0 00	0 00	16 83	0 00	16 83	0 00	0 00	16 83
	30 Jun 09	0 00	0 00	0 35	0 00	0 35	0 00	0 00	0 35
	31 Jul 09	0 00	0 00	15 87	0 00	15 87	0 00	0 00	15 87
	31 Aug 09	0 00	0 00	11 36	0 00	11 36	0 00	0 00	11 36
	30 Sep 09	0 00	0 00	13 22	0 00	13 22	0 00	0 00	13 22
	30 Oct 09	0 00	0 00	13 48	0 00	13 48	0 00	0 00	13 48
	13 Nov 09	0 00	0 00	6 11	0 00	6 11	0 00	0 00	6 11
	30 Nov 09	0 00	0 00	7 34	0 00	7 34	0 00	0 00	7 34
	31 Dec 09	0 00	0 00	10 42	0 00	10 42	0 00	0 00	10 42
	29 Jan 10	0 00	0 00	14 82	0 00	14 82	0 00	0 00	14 82
	26 Feb 10	0 00	0 00	12 08	0 00	12 08	0 00	0 00	12 08
	31 Mar 10	0 00	0 00	13 57	0 00	13 57	0 00	0 00	13 57
	30 Apr 10	0 00	0 00	14 33	0 00	14 33	0 00	0 00	14 33
26 Feb 10 / 09 Mar 10	CF CAP GUARDIAN NON-US FIF FOR TX EXMP TRUSTS CUSIP 401999CF2	0 00	0 00	2,588 49	0 00	2,588 49	0 00	0 00	2,588 49

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
	16 Jun 08	0 00	0 00	266 17	0 00	266 17	0 00	0 00	266 17
	30 Jun 08	0 00	0 00	10 68	0 00	10 68	0 00	0 00	10 68
	15 Jul 08	0 00	0 00	9 53	0 00	9 53	0 00	0 00	9 53
	31 Jul 08	0 00	0 00	10 28	0 00	10 28	0 00	0 00	10 28
	29 Aug 08	0 00	0 00	14 59	0 00	14 59	0 00	0 00	14 59
	15 Sep 08	0 00	0 00	15 98	0 00	15 98	0 00	0 00	15 98
	30 Sep 08	0 00	0 00	8 77	0 00	8 77	0 00	0 00	8 77
	15 Oct 08	0 00	0 00	5 71	0 00	5 71	0 00	0 00	5 71
	31 Oct 08	0 00	0 00	5 39	0 00	5 39	0 00	0 00	5 39
	14 Nov 08	0 00	0 00	5 00	0 00	5 00	0 00	0 00	5 00
	28 Nov 08	0 00	0 00	6.60	0 00	6 60	0 00	0 00	6 60
	15 Dec 08	0 00	0 00	5 34	0 00	5 34	0 00	0 00	5 34
	31 Dec 08	0 00	0 00	3 62	0 00	3 62	0 00	0 00	3 62
	15 Jan 09	0 00	0 00	4 15	0 00	4 15	0 00	0 00	4.15
	30 Jan 09	0 00	0 00	4 36	0 00	4 36	0 00	0 00	4 36
	13 Feb 09	0 00	0 00	3 13	0 00	3 13	0 00	0 00	3 13
	17 Feb 09	0 00	0 00	1,126 49	0 00	1,126 49	0 00	0 00	1,126 49
	27 Feb 09	0 00	0 00	3 32	0 00	3 32	0 00	0 00	3 32
	13 Mar 09	0 00	0 00	3 40	0 00	3 40	0 00	0 00	3 40
	31 Mar 09	0 00	0 00	4 86	0 00	4 86	0 00	0 00	4 86
	15 Apr 09	0 00	0 00	1,010 16	0 00	1,010 16	0 00	0 00	1,010 16
	15 Apr 09	0 00	0 00	4 64	0 00	4 64	0 00	0.00	4 64
	30 Apr 09	0 00	0 00	4 68	0 00	4 68	0 00	0 00	4 68
	29 May 09	0 00	0 00	9 46	0.00	9 46	0 00	0 00	9 46
	15 Jun 09	0 00	0 00	5 82	0 00	5 82	0 00	0 00	5 82
	30 Jun 09	0 00	0 00	0 12	0 00	0.12	0 00	0 00	0 12
	31 Jul 09	0 00	0 00	5 50	0 00	5 50	0 00	0 00	5 50
	31 Aug 09	0 00	0 00	3 93	0 00	3 93	0 00	0 00	3 93

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
	30 Sep 09	0 00	0 00	4 58	0 00	4 58	0 00	0 00	4 58
	30 Oct 09	0 00	0 00	4 67	0 00	4 67	0 00	0 00	4 67
	13 Nov 09	0 00	0 00	2.11	0 00	2 11	0 00	0 00	2 11
	30 Nov 09	0 00	0 00	2 54	0 00	2 54	0 00	0 00	2 54
	31 Dec 09	0 00	0 00	3 60	0 00	3 60	0 00	0 00	3 60
	29 Jan 10	0 00	0 00	5 13	0 00	5.13	0 00	0 00	5 13
	26 Feb 10	0 00	0 00	4 18	0 00	4 18	0 00	0 00	4 18
31 Mar 10 16 Apr 10	CF CAP GUARDIAN NON-US FIF FOR TX EXMP TRUSTS CUSIP 401999CF2	0 00	0 00	-54 74	-54 74	0 00	0 00	-54 74	0 00
	16 Jun 08	0 00	0 00	-5 62	-5 62	0 00	0 00	-5 62	0 00
	30 Jun 08	0 00	0 00	-0 22	-0 22	0 00	0 00	-0 22	0 00
	15 Jul 08	0 00	0 00	-0 20	-0 20	0 00	0 00	-0 20	0 00
	31 Jul 08	0 00	0 00	-0 22	-0 22	0 00	0 00	-0 22	0 00
	29 Aug 08	0 00	0 00	-0 31	-0 31	0 00	0 00	-0 31	0 00
	15 Sep 08	0 00	0 00	-0 34	-0 34	0 00	0 00	-0 34	0 00
	30 Sep 08	0 00	0 00	-0 19	-0 19	0 00	0.00	-0 19	0 00
	15 Oct 08	0 00	0 00	-0 12	-0 12	0 00	0 00	-0 12	0 00
	31 Oct 08	0 00	0 00	-0 12	-0 12	0 00	0 00	-0 12	0 00
	14 Nov 08	0 00	0 00	-0 10	-0 10	0 00	0 00	-0 10	0 00
	28 Nov 08	0 00	0 00	-0 13	-0 13	0 00	0 00	-0 13	0 00
	15 Dec 08	0 00	0 00	-0 11	-0 11	0 00	0 00	-0 11	0 00
	31 Dec 08	0 00	0 00	-0 08	-0 08	0 00	0 00	-0 08	0 00
	15 Jan 09	0 00	0 00	-0 08	-0 08	0 00	0 00	-0 08	0 00
	30 Jan 09	0 00	0 00	-0.10	-0 10	0 00	0 00	-0 10	0 00
	13 Feb 09	0 00	0 00	-0 06	-0 06	0 00	0 00	-0 06	0 00
	17 Feb 09	0 00	0 00	-23 78	-23 78	0 00	0 00	-23 78	0 00
	27 Feb 09	0 00	0 00	-0 07	-0 07	0 00	0 00	-0 07	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
	13 Mar 09	0 00	0 00	-0 07	-0 07	0 00	0 00	-0 07	0 00
	31 Mar 09	0 00	0 00	-0 10	-0 10	0 00	0 00	-0 10	0 00
	15 Apr 09	0 00	0 00	-21 32	-21 32	0 00	0 00	-21 32	0 00
	15 Apr 09	0 00	0 00	-0 10	-0 10	0 00	0 00	-0 10	0 00
	30 Apr 09	0 00	0 00	-0 10	-0 10	0 00	0 00	-0 10	0 00
	29 May 09	0 00	0 00	-0 19	-0 19	0 00	0 00	-0 19	0 00
	15 Jun 09	0 00	0 00	-0 12	-0 12	0 00	0 00	-0 12	0 00
	30 Jun 09	0 00	0 00	-0 01	-0 01	0.00	0 00	-0 01	0 00
	31 Jul 09	0 00	0 00	-0 12	-0 12	0 00	0 00	-0 12	0 00
	31 Aug 09	0 00	0 00	-0 08	-0 08	0 00	0 00	-0 08	0 00
	30 Sep 09	0 00	0 00	-0 10	-0 10	0 00	0 00	-0 10	0 00
	30 Oct 09	0 00	0 00	-0 10	-0 10	0 00	0 00	-0 10	0 00
	13 Nov 09	0 00	0 00	-0 05	-0 05	0 00	0 00	-0 05	0 00
	30 Nov 09	0 00	0 00	-0 06	-0 06	0 00	0 00	-0 06	0 00
	31 Dec 09	0 00	0 00	-0 07	-0 07	0 00	0 00	-0 07	0 00
	29 Jan 10	0 00	0 00	-0 11	-0 11	0 00	0 00	-0 11	0 00
	26 Feb 10	0 00	0 00	-0 09	-0 09	0 00	0 00	-0 09	0 00
	31 Mar 10	0 00	0 00	-0 10	-0 10	0 00	0 00	-0 10	0 00
30 Sep 10 08 Oct 10	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	57,112 66	0 00	57,112 66	0 00	0 00	57,112 66
	15 May 09	0 00	0 00	37,166 82	0 00	37,166 82	0 00	0 00	37,166 82
	15 May 09	0 00	0 00	1,085 64	0 00	1,085 64	0 00	0 00	1,085 64
	29 May 09	0 00	0 00	8,323 36	0 00	8,323 36	0 00	0 00	8,323 36
	29 May 09	0 00	0 00	67 40	0 00	67 40	0.00	0 00	67 40
	29 May 09	0 00	0 00	4,550 47	0 00	4,550 47	0 00	0 00	4,550 47
	15 Jun 09	0 00	0 00	271 54	0 00	271 54	0.00	0 00	271 54
	15 Jun 09	0 00	0 00	1,588 30	0 00	1,588 30	0 00	0 00	1,588 30

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
	15 Jun 09	0 00	0 00	102 82	0 00	102 82	0 00	0 00	102 82
	30 Jun 09	0 00	0 00	99 98	0 00	99 98	0 00	0 00	99 98
	30 Jun 09	0 00	0 00	113 68	0 00	113 68	0 00	0 00	113 68
	15 Jul 09	0 00	0 00	94 40	0 00	94 40	0 00	0 00	94 40
	31 Jul 09	0 00	0 00	92 85	0 00	92 85	0 00	0 00	92 85
	14 Aug 09	0 00	0 00	84 94	0 00	84 94	0 00	0 00	84 94
	31 Aug 09	0 00	0.00	98 62	0 00	98 62	0 00	0 00	98 62
	15 Sep 09	0 00	0 00	90.10	0 00	90 10	0 00	0 00	90 10
	30 Sep 09	0 00	0 00	88 22	0 00	88 22	0 00	0 00	88 22
	15 Oct 09	0 00	0 00	86 95	0 00	86 95	0 00	0 00	86 95
	30 Oct 09	0 00	0 00	85 11	0 00	85 11	0 00	0 00	85 11
	13 Nov 09	0 00	0 00	76 41	0 00	76 41	0 00	0 00	76,41
	30 Nov 09	0 00	0 00	93 75	0 00	93 75	0 00	0 00	93 75
	15 Dec 09	0 00	0 00	83 69	0 00	83 69	0 00	0 00	83 69
	31 Dec 09	0 00	0 00	80 95	0 00	80 95	0 00	0 00	80 95
	15 Jan 10	0 00	0 00	79 00	0 00	79 00	0 00	0 00	79 00
	29 Jan 10	0 00	0 00	79 13	0 00	79 13	0 00	0 00	79 13
	12 Feb 10	0 00	0 00	64 50	0 00	64 50	0 00	0 00	64 50
	26 Feb 10	0 00	0 00	95 34	0 00	95 34	0 00	0 00	95 34
	15 Mar 10	0 00	0 00	82 60	0 00	82 60	0 00	0 00	82 60
	31 Mar 10	0 00	0 00	86 66	0 00	86 66	0 00	0 00	86 66
	30 Apr 10	0 00	0 00	1,245 69	0 00	1,245 69	0 00	0 00	1 245 69
	30 Apr 10	0 00	0 00	57 65	0 00	57 65	0 00	0 00	57 65
	30 Apr 10	0 00	0 00	2 49	0 00	2 49	0 00	0 00	2 49
	30 Apr 10	0 00	0 00	168 47	0 00	168 47	0 00	0 00	168 47
	14 May 10	0 00	0 00	1 25	0 00	1.25	0.00	0 00	1 25
	14 May 10	0 00	0 00	77 60	0 00	77 60	0 00	0 00	77 60
	28 May 10	0 00	0 00	84 41	0 00	84 41	0 00	0 00	84 41

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
	15 Jun 10	0 00	0 00	75 25	0 00	75 25	0 00	0 00	75 25
	30 Jun 10	0 00	0 00	70 31	0 00	70 31	0 00	0 00	70 31
	15 Jul 10	0 00	0 00	68 85	0 00	68 85	0 00	0 00	68 85
	30 Jul 10	0 00	0 00	68 32	0 00	68 32	0 00	0 00	68 32
	13 Aug 10	0 00	0 00	58 84	0 00	58 84	0 00	0 00	58 84
	31 Aug 10	0 00	0 00	82 09	0 00	82 09	0 00	0 00	82 09
	15 Sep 10	0 00	0 00	70 07	0 00	70 07	0 00	0 00	70 07
	30 Sep 10	0 00	0 00	68 14	0 00	68 14	0 00	0 00	68 14
26 Feb 10 09 Mar 10	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	1,880 75	0 00	1,880 75	0 00	0 00	1,880 75
	15 May 09	0 00	0 00	1,276 88	0 00	1,276 88	0 00	0 00	1,276 88
	15 May 09	0 00	0 00	37 30	0 00	37 30	0 00	0 00	37.30
	29 May 09	0 00	0 00	2 32	0 00	2 32	0 00	0 00	2 32
	29 May 09	0 00	0 00	156 33	0 00	156 33	0 00	0 00	156 33
	29 May 09	0 00	0 00	285 95	0 00	285 95	0 00	0 00	285 95
	15 Jun 09	0 00	0 00	9 32	0 00	9 32	0 00	0 00	9 32
	15 Jun 09	0.00	0 00	54 57	0 00	54 57	0 00	0.00	54 57
	15 Jun 09	0 00	0 00	3 53	0 00	3 53	0 00	0.00	3 53
	30 Jun 09	0 00	0 00	3 44	0 00	3 44	0.00	0.00	3 44
	30 Jun 09	0 00	0 00	3 90	0 00	3 90	0 00	0 00	3 90
	15 Jul 09	0 00	0 00	3 24	0 00	3 24	0 00	0 00	3 24
	31 Jul 09	0 00	0 00	3 19	0 00	3 19	0 00	0 00	3 19
	14 Aug 09	0 00	0 00	2 91	0 00	2 91	0 00	0 00	2 91
	31 Aug 09	0 00	0 00	3 39	0 00	3 39	0 00	0 00	3 39
	15 Sep 09	0 00	0 00	3 09	0 00	3 09	0 00	0 00	3 09
	30 Sep 09	0 00	0 00	3 03	0 00	3 03	0 00	0 00	3 03
	15 Oct 09	0 00	0 00	2 99	0 00	2 99	0 00	0 00	2 99

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
	30 Oct 09	0 00	0 00	2 93	0 00	2 93	0 00	0 00	2 93
	13 Nov 09	0 00	0.00	2 63	0 00	2 63	0 00	0 00	2 63
	30 Nov 09	0 00	0 00	3 22	0 00	3 22	0 00	0 00	3 22
	15 Dec 09	0 00	0 00	2 88	0 00	2 88	0 00	0 00	2 88
	31 Dec 09	0 00	0 00	2 78	0 00	2 78	0 00	0 00	2 78
	15 Jan 10	0 00	0 00	2 71	0 00	2 71	0 00	0 00	2 71
	29 Jan 10	0 00	0 00	2 72	0 00	2 72	0 00	0 00	2 72
	12 Feb 10	0 00	0 00	2 22	0 00	2 22	0 00	0 00	2 22
	26 Feb 10	0 00	0 00	3 28	0 00	3 28	0 00	0.00	3 28
15 Dec 10 10 Jan 11	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	-34,616.56	-34,616 56	0 00	0 00	-34,616 56	0 00
	15 May 09	0 00	0 00	-654 29	-654 29	0 00	0 00	-654 29	0 00
	15 May 09	0 00	0 00	-22,399 57	-22,399 57	0 00	0 00	-22,399 57	0 00
	29 May 09	0 00	0 00	-2,742 46	-2,742 46	0 00	0 00	-2,742 46	0 00
	29 May 09	0 00	0 00	-5,016 29	-5,016 29	0 00	0 00	-5,016 29	0 00
	29 May 09	0 00	0 00	-40 61	-40 61	0 00	0 00	-40 61	0 00
	15 Jun 09	0 00	0 00	-957 23	-957 23	0 00	0 00	-957 23	0 00
	15 Jun 09	0 00	0 00	-61 96	-61 96	0 00	0 00	-61 96	0 00
	15 Jun 09	0 00	0 00	-163 65	-163 65	0.00	0 00	-163 65	0 00
	30 Jun 09	0 00	0.00	-60.26	-60.26	0 00	0 00	-60 26	0 00
	30 Jun 09	0 00	0 00	-68 51	-68 51	0 00	0 00	-68 51	0 00
	15 Jul 09	0 00	0 00	-56 90	-56 90	0 00	0 00	-56 90	0 00
	31 Jul 09	0 00	0 00	-55 96	-55 96	0 00	0 00	-55 96	0 00
	14 Aug 09	0 00	0 00	-51 18	-51 18	0 00	0 00	-51 18	0 00
	31 Aug 09	0 00	0 00	-59 43	-59 43	0 00	0 00	-59 43	0 00
	15 Sep 09	0 00	0 00	-54 30	-54 30	0 00	0 00	-54 30	0 00
	30 Sep 09	0 00	0 00	-53 17	-53 17	0 00	0 00	-53 17	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
	15 Oct 09	0.00	0.00	-52.40	-52.40	0.00	0.00	-52.40	0.00
	30 Oct 09	0.00	0.00	-51.30	-51.30	0.00	0.00	-51.30	0.00
	13 Nov 09	0.00	0.00	-46.05	-46.05	0.00	0.00	-46.05	0.00
	30 Nov 09	0.00	0.00	-56.50	-56.50	0.00	0.00	-56.50	0.00
	15 Dec 09	0.00	0.00	-50.44	-50.44	0.00	0.00	-50.44	0.00
	31 Dec 09	0.00	0.00	-48.79	-48.79	0.00	0.00	-48.79	0.00
	15 Jan 10	0.00	0.00	-47.61	-47.61	0.00	0.00	-47.61	0.00
	29 Jan 10	0.00	0.00	-47.69	-47.69	0.00	0.00	-47.69	0.00
	12 Feb 10	0.00	0.00	-38.88	-38.88	0.00	0.00	-38.88	0.00
	26 Feb 10	0.00	0.00	-57.46	-57.46	0.00	0.00	-57.46	0.00
	15 Mar 10	0.00	0.00	-49.78	-49.78	0.00	0.00	-49.78	0.00
	31 Mar 10	0.00	0.00	-52.23	-52.23	0.00	0.00	-52.23	0.00
	30 Apr 10	0.00	0.00	-34.74	-34.74	0.00	0.00	-34.74	0.00
	30 Apr 10	0.00	0.00	-750.75	-750.75	0.00	0.00	-750.75	0.00
	30 Apr 10	0.00	0.00	-1.51	-1.51	0.00	0.00	-1.51	0.00
	30 Apr 10	0.00	0.00	-101.53	-101.53	0.00	0.00	-101.53	0.00
	14 May 10	0.00	0.00	-46.77	-46.77	0.00	0.00	-46.77	0.00
	14 May 10	0.00	0.00	-0.75	-0.75	0.00	0.00	-0.75	0.00
	28 May 10	0.00	0.00	-50.87	-50.87	0.00	0.00	-50.87	0.00
	15 Jun 10	0.00	0.00	-45.35	-45.35	0.00	0.00	-45.35	0.00
	30 Jun 10	0.00	0.00	-42.37	-42.37	0.00	0.00	-42.37	0.00
	15 Jul 10	0.00	0.00	-41.50	-41.50	0.00	0.00	-41.50	0.00
	30 Jul 10	0.00	0.00	-41.18	-41.18	0.00	0.00	-41.18	0.00
	13 Aug 10	0.00	0.00	-35.46	-35.46	0.00	0.00	-35.46	0.00
	31 Aug 10	0.00	0.00	-49.48	-49.48	0.00	0.00	-49.48	0.00
	15 Sep 10	0.00	0.00	-42.23	-42.23	0.00	0.00	-42.23	0.00
	30 Sep 10	0.00	0.00	-41.07	-41.07	0.00	0.00	-41.07	0.00
	15 Oct 10	0.00	0.00	-41.20	-41.20	0.00	0.00	-41.20	0.00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
	29 Oct 10	0.00	0 00	-40 68	-40 68	0 00	0 00	-40 68	0 00
	15 Nov 10	0 00	0 00	-0 66	-0 66	0 00	0 00	-0 66	0 00
	15 Nov 10	0 00	0 00	-39 17	-39 17	0.00	0.00	-39 17	0 00
	30 Nov 10	0 00	0 00	-36 06	-36 06	0 00	0 00	-36 06	0 00
	15 Dec 10	0 00	0 00	-38 33	-38 33	0 00	0 00	-38 33	0 00
15 Nov 10 07 Dec 10	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	30,738 43	30,738 43	0 00	0 00	30,738 43	0 00
	15 May 09	0 00	0 00	19,932 96	19,932 96	0 00	0 00	19,932 96	0 00
	15 May 09	0 00	0 00	582.24	582 24	0 00	0 00	582 24	0 00
	29 May 09	0 00	0 00	4,463 91	4,463 91	0.00	0 00	4,463 91	0 00
	29 May 09	0 00	0 00	36 14	36 14	0 00	0 00	36 14	0 00
	29 May 09	0 00	0 00	2,440 46	2,440 46	0.00	0 00	2,440 46	0 00
	15 Jun 09	0 00	0 00	145 62	145 62	0 00	0 00	145 62	0 00
	15 Jun 09	0 00	0 00	851 82	851 82	0 00	0 00	851 82	0.00
	15 Jun 09	0 00	0 00	55 14	55 14	0 00	0 00	55 14	0 00
	30 Jun 09	0 00	0 00	53 62	53 62	0 00	0 00	53 62	0 00
	30 Jun 09	0 00	0 00	60 97	60 97	0 00	0 00	60 97	0 00
	15 Jul 09	0 00	0 00	50 63	50 63	0 00	0 00	50 63	0 00
	31 Jul 09	0 00	0 00	49 80	49 80	0 00	0 00	49 80	0 00
	14 Aug 09	0 00	0 00	45 56	45 56	0 00	0 00	45 56	0 00
	31 Aug 09	0 00	0 00	52 89	52 89	0 00	0 00	52 89	0 00
	15 Sep 09	0 00	0 00	48 32	48 32	0 00	0 00	48 32	0 00
	30 Sep 09	0 00	0 00	47 32	47 32	0 00	0 00	47 32	0 00
	15 Oct 09	0 00	0 00	46 63	46 63	0 00	0 00	46 63	0 00
	30 Oct 09	0 00	0 00	45 64	45 64	0 00	0 00	45 64	0 00
	13 Nov 09	0 00	0 00	40 98	40 98	0 00	0 00	40 98	0 00
	30 Nov 09	0 00	0 00	50 28	50 28	0 00	0 00	50 28	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
	15 Dec 09	0 00	0 00	44 89	44 89	0 00	0 00	44 89	0 00
	31 Dec 09	0 00	0 00	43 42	43 42	0 00	0 00	43 42	0 00
	15 Jan 10	0 00	0 00	42 37	42 37	0 00	0 00	42 37	0 00
	29 Jan 10	0 00	0 00	42 43	42 43	0 00	0 00	42.43	0 00
	12 Feb 10	0 00	0 00	34 59	34 59	0 00	0 00	34 59	0 00
	26 Feb 10	0 00	0 00	51 13	51 13	0 00	0 00	51 13	0 00
	15 Mar 10	0 00	0 00	44 30	44 30	0 00	0 00	44 30	0 00
	31 Mar 10	0 00	0 00	46 47	46 47	0 00	0 00	46 47	0 00
	30 Apr 10	0 00	0 00	668 08	668 08	0 00	0 00	668 08	0 00
	30 Apr 10	0 00	0 00	30 92	30 92	0 00	0 00	30 92	0 00
	30 Apr 10	0 00	0 00	1 33	1 33	0 00	0 00	1 33	0 00
	30 Apr 10	0 00	0.00	90 35	90.35	0 00	0 00	90 35	0 00
	14 May 10	0 00	0 00	41 61	41,61	0 00	0 00	41,61	0 00
	14 May 10	0 00	0 00	0 67	0 67	0 00	0 00	0 67	0 00
	28 May 10	0 00	0 00	45 27	45 27	0 00	0 00	45 27	0 00
	15 Jun 10	0 00	0 00	40 36	40 36	0 00	0 00	40 36	0 00
	30 Jun 10	0 00	0 00	37 71	37 71	0 00	0 00	37 71	0 00
	15 Jul 10	0 00	0 00	36 92	36 92	0 00	0 00	36 92	0 00
	30 Jul 10	0 00	0 00	36 65	36 65	0 00	0 00	36 65	0 00
	13 Aug 10	0 00	0 00	31 56	31 56	0 00	0 00	31 56	0 00
	31 Aug 10	0 00	0 00	44 03	44,03	0 00	0 00	44 03	0 00
	15 Sep 10	0 00	0 00	37 58	37 58	0 00	0 00	37 58	0 00
	30 Sep 10	0 00	0 00	36 55	36 55	0 00	0 00	36 55	0 00
	15 Oct 10	0 00	0 00	36 66	36 66	0 00	0 00	36 66	0 00
	29 Oct 10	0 00	0 00	36 20	36 20	0 00	0 00	36.20	0 00
	15 Nov 10	0 00	0 00	0 58	0 58	0 00	0 00	0 58	0 00
	15 Nov 10	0 00	0 00	34 87	34 87	0 00	0 00	34 87	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
15 Jan 10 09 Feb 10	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	18,578 81	18,578 81	0 00	0 00	18,578 81	0 00
	15 May 09	0 00	0 00	12,668 85	12,668 85	0 00	0 00	12,668 85	0 00
	15 May 09	0 00	0 00	370 05	370 05	0 00	0 00	370 05	0 00
	29 May 09	0 00	0 00	22 97	22 97	0 00	0 00	22.97	0 00
	29 May 09	0 00	0 00	1,551 09	1,551 09	0 00	0 00	1,551 09	0 00
	29 May 09	0 00	0 00	2,837 14	2,837 14	0 00	0 00	2,837 14	0 00
	15 Jun 09	0 00	0 00	92 55	92 55	0 00	0 00	92 55	0 00
	15 Jun 09	0 00	0 00	541 39	541 39	0 00	0 00	541 39	0 00
	15 Jun 09	0 00	0 00	35 05	35 05	0 00	0 00	35 05	0 00
	30 Jun 09	0 00	0 00	34 08	34 08	0 00	0 00	34 08	0 00
	30 Jun 09	0 00	0 00	38 75	38 75	0 00	0 00	38 75	0 00
	15 Jul 09	0 00	0 00	32 18	32 18	0 00	0 00	32 18	0 00
	31 Jul 09	0 00	0 00	31 65	31 65	0 00	0 00	31 65	0 00
	14 Aug 09	0 00	0 00	28 95	28.95	0 00	0 00	28 95	0 00
	31 Aug 09	0 00	0 00	33 62	33 62	0 00	0 00	33 62	0 00
	15 Sep 09	0 00	0 00	30 71	30 71	0 00	0 00	30 71	0 00
	30 Sep 09	0 00	0 00	30 07	30 07	0 00	0 00	30 07	0 00
	15 Oct 09	0 00	0 00	29 64	29 64	0 00	0 00	29 64	0 00
	30 Oct 09	0 00	0 00	29 01	29 01	0 00	0 00	29 01	0 00
	13 Nov 09	0 00	0 00	26 05	26 05	0 00	0 00	26 05	0 00
	30 Nov 09	0 00	0 00	31 96	31.96	0 00	0 00	31 96	0 00
	15 Dec 09	0 00	0 00	28 53	28 53	0 00	0 00	28 53	0 00
	31 Dec 09	0 00	0 00	27 59	27 59	0 00	0 00	27.59	0 00
	15 Jan 10	0 00	0 00	26 93	26 93	0 00	0 00	26 93	0 00
30 Apr 10 11 May 10	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	50,045 60	0 00	50,045 60	0 00	0 00	50,045 60

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss — Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term

Other Gain/Loss

Fixed income

Corporate bonds

United States

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
	15 May 09	0 00	0 00	32,986 66	0 00	32,986 66	0 00	0 00	32,986 66
	15 May 09	0 00	0 00	963 54	0 00	963 54	0 00	0 00	963 54
	29 May 09	0 00	0 00	7,387 23	0 00	7,387 23	0 00	0 00	7,387 23
	29 May 09	0 00	0 00	59 82	0 00	59 82	0 00	0 00	59 82
	29 May 09	0 00	0 00	4,038 67	0 00	4,038 67	0 00	0 00	4,038 67
	15 Jun 09	0 00	0 00	240 99	0 00	240 99	0 00	0 00	240 99
	15 Jun 09	0 00	0 00	91 25	0 00	91 25	0 00	0 00	91 25
	15 Jun 09	0 00	0.00	1,409 66	0 00	1,409 66	0 00	0 00	1,409 66
	30 Jun 09	0 00	0 00	100 89	0 00	100 89	0 00	0 00	100 89
	30 Jun 09	0 00	0 00	88 74	0 00	88 74	0 00	0 00	88 74
	15 Jul 09	0 00	0 00	83 78	0 00	83 78	0 00	0 00	83 78
	31 Jul 09	0 00	0 00	82 41	0 00	82 41	0 00	0 00	82 41
	14 Aug 09	0 00	0 00	75 38	0 00	75 38	0 00	0 00	75 38
	31 Aug 09	0 00	0 00	87 52	0 00	87 52	0 00	0 00	87 52
	15 Sep 09	0 00	0 00	79 97	0 00	79 97	0 00	0 00	79 97
	30 Sep 09	0 00	0 00	78 30	0 00	78 30	0 00	0 00	78 30
	15 Oct 09	0 00	0 00	77 17	0 00	77 17	0 00	0 00	77 17
	30 Oct 09	0 00	0 00	75 54	0 00	75,54	0 00	0 00	75 54
	13 Nov 09	0 00	0 00	67 82	0 00	67 82	0 00	0 00	67 82
	30 Nov 09	0 00	0 00	83 21	0 00	83 21	0 00	0 00	83 21
	15 Dec 09	0 00	0 00	74 28	0 00	74 28	0 00	0 00	74 28
	31 Dec 09	0 00	0 00	71 84	0 00	71 84	0 00	0 00	71 84
	15 Jan 10	0 00	0 00	70 11	0 00	70 11	0 00	0 00	70 11
	29 Jan 10	0 00	0 00	70 23	0 00	70 23	0 00	0 00	70 23
	12 Feb 10	0 00	0 00	57 25	0 00	57 25	0.00	0 00	57 25
	26 Feb 10	0 00	0 00	84 62	0 00	84 62	0 00	0 00	84.62
	15 Mar 10	0 00	0 00	73 31	0 00	73 31	0 00	0 00	73 31
	31 Mar 10	0 00	0 00	76 91	0 00	76 91	0 00	0 00	76 91

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
	30 Apr 10	0 00	0 00	149 52	0 00	149 52	0 00	0 00	149 52
	30 Apr 10	0 00	0 00	2 22	0 00	2 22	0 00	0 00	2 22
	30 Apr 10	0 00	0 00	51 17	0 00	51 17	0 00	0 00	51 17
	30 Apr 10	0 00	0 00	1,105 59	0 00	1,105 59	0 00	0 00	1,105 59
31 Dec 10 10 Jan 11	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	1,196 97	0 00	1,196 97	0 00	0 00	1,196 97
	15 May 09	0 00	0 00	22 60	0 00	22 60	0.00	0 00	22 60
	15 May 09	0 00	0 00	773 58	0 00	773 58	0 00	0 00	773 58
	29 May 09	0 00	0 00	173 24	0 00	173 24	0 00	0 00	173 24
	29 May 09	0 00	0 00	1 40	0 00	1 40	0 00	0 00	1 40
	29 May 09	0 00	0 00	94 71	0 00	94 71	0 00	0 00	94 71
	15 Jun 09	0 00	0 00	33 05	0 00	33 05	0 00	0 00	33 05
	15 Jun 09	0 00	0 00	2 14	0 00	2 14	0 00	0 00	2 14
	15 Jun 09	0 00	0 00	5 65	0 00	5 65	0 00	0 00	5 65
	30 Jun 09	0 00	0 00	2 08	0 00	2 08	0 00	0 00	2 08
	30 Jun 09	0 00	0 00	2 37	0 00	2 37	0 00	0 00	2 37
	15 Jul 09	0 00	0 00	1 97	0 00	1 97	0 00	0 00	1 97
	31 Jul 09	0 00	0.00	1 93	0 00	1 93	0 00	0 00	1 93
	14 Aug 09	0 00	0 00	1 77	0 00	1 77	0 00	0 00	1 77
	31 Aug 09	0 00	0 00	2 05	0 00	2 05	0 00	0 00	2 05
	15 Sep 09	0 00	0 00	1 88	0 00	1 88	0 00	0 00	1 88
	30 Sep 09	0 00	0 00	1 83	0 00	1 83	0 00	0 00	1 83
	15 Oct 09	0 00	0 00	1 81	0 00	1 81	0 00	0 00	1 81
	30 Oct 09	0 00	0 00	1 77	0 00	1 77	0 00	0 00	1 77
	13 Nov 09	0 00	0 00	1 59	0 00	1 59	0 00	0 00	1 59
	30 Nov 09	0 00	0 00	1 96	0 00	1 96	0 00	0 00	1 96
	15 Dec 09	0 00	0 00	1.74	0 00	1.74	0 00	0 00	1 74

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss — Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
	31 Dec 09	0 00	0 00	1 68	0 00	1 68	0 00	0 00	1 68
	15 Jan 10	0 00	0 00	1 64	0 00	1 64	0 00	0 00	1 64
	29 Jan 10	0 00	0 00	1 64	0 00	1 64	0 00	0 00	1 64
	12 Feb 10	0 00	0 00	1 34	0 00	1 34	0 00	0 00	1 34
	26 Feb 10	0 00	0 00	1 99	0 00	1 99	0 00	0 00	1 99
	15 Mar 10	0 00	0 00	1 72	0 00	1 72	0 00	0 00	1 72
	31 Mar 10	0 00	0.00	1 81	0 00	1 81	0.00	0 00	1 81
	30 Apr 10	0 00	0.00	25 93	0 00	25.93	0 00	0 00	25.93
	30 Apr 10	0 00	0 00	0 05	0.00	0.05	0.00	0 00	0 05
	30 Apr 10	0 00	0 00	3 51	0 00	3 51	0 00	0 00	3 51
	30 Apr 10	0 00	0 00	1.20	0 00	1 20	0 00	0 00	1 20
	14 May 10	0 00	0 00	1 61	0 00	1 61	0 00	0 00	1 61
	14 May 10	0 00	0 00	0 02	0 00	0 02	0 00	0 00	0 02
	28 May 10	0 00	0 00	1 75	0 00	1 75	0 00	0 00	1 75
	15 Jun 10	0 00	0 00	1 57	0 00	1 57	0 00	0 00	1 57
	30 Jun 10	0 00	0 00	1 46	0 00	1.46	0 00	0 00	1 46
	15 Jul 10	0 00	0 00	1 43	0 00	1 43	0 00	0 00	1 43
	30 Jul 10	0 00	0 00	1 42	0 00	1 42	0 00	0 00	1 42
	13 Aug 10	0 00	0 00	1 23	0 00	1 23	0 00	0 00	1 23
	31 Aug 10	0 00	0 00	1 71	0 00	1 71	0 00	0 00	1.71
	15 Sep 10	0 00	0 00	1 46	0 00	1 46	0 00	0 00	1 46
	30 Sep 10	0 00	0 00	1 42	0 00	1 42	0 00	0 00	1 42
	15 Oct 10	0 00	0 00	1 42	0 00	1 42	0 00	0 00	1 42
	29 Oct 10	0 00	0 00	1 40	0 00	1 40	0 00	0 00	1 40
	15 Nov 10	0 00	0 00	0 03	0 00	0 03	0 00	0 00	0 03
	15 Nov 10	0 00	0 00	1.36	0 00	1 36	0 00	0 00	1 36
	30 Nov 10	0 00	0 00	1 25	0 00	1.25	0 00	0 00	1 25
	15 Dec 10	0 00	0 00	1 33	0 00	1 33	0 00	0 00	1 33

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
	31 Dec 10	0 00	0 00	1 47	0 00	1 47	0 00	0 00	1.47
15 Jun 10 12 Jul 10	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	110,752 36	110,752 36	0 00	0 00	110,752 36	0 00
	15 May 09	0 00	0 00	2,123 36	2,123 36	0 00	0 00	2,123 36	0 00
	15 May 09	0 00	0 00	72,692 93	72,692 93	0 00	0 00	72,692 93	0 00
	29 May 09	0 00	0 00	16,279 29	16,279 29	0 00	0 00	16,279 29	0 00
	29 May 09	0 00	0 00	131 81	131 81	0 00	0 00	131 81	0 00
	29 May 09	0 00	0 00	8,900 05	8,900 05	0 00	0 00	8,900 05	0 00
	15 Jun 09	0 00	0 00	531 08	531 08	0 00	0 00	531 08	0 00
	15 Jun 09	0 00	0 00	3,106 48	3,106 48	0 00	0.00	3,106 48	0 00
	15 Jun 09	0 00	0 00	201 10	201 10	0 00	0 00	201 10	0 00
	30 Jun 09	0 00	0 00	195 56	195 56	0 00	0 00	195 56	0 00
	30 Jun 09	0 00	0 00	222 33	222 33	0 00	0 00	222 33	0 00
	15 Jul 09	0 00	0 00	184 64	184 64	0 00	0 00	184 64	0 00
	31 Jul 09	0 00	0 00	181 61	181 61	0 00	0 00	181 61	0 00
	14 Aug 09	0 00	0 00	166 12	166 12	0 00	0 00	166 12	0 00
	31 Aug 09	0 00	0 00	192 87	192 87	0 00	0 00	192 87	0 00
	15 Sep 09	0 00	0 00	176.22	176 22	0 00	0 00	176 22	0 00
	30 Sep 09	0 00	0 00	172 55	172 55	0 00	0 00	172 55	0 00
	15 Oct 09	0 00	0 00	170 06	170 06	0 00	0 00	170.06	0 00
	30 Oct 09	0 00	0 00	166 46	166 46	0 00	0 00	166 46	0 00
	13 Nov 09	0 00	0 00	149 46	149 46	0 00	0 00	149 46	0 00
	30 Nov 09	0 00	0 00	183 36	183 36	0 00	0 00	183 36	0 00
	15 Dec 09	0 00	0 00	163 69	163 69	0 00	0 00	163 69	0 00
	31 Dec 09	0 00	0 00	158 33	158 33	0 00	0 00	158 33	0 00
	15 Jan 10	0 00	0 00	154 51	154 51	0 00	0 00	154 51	0 00
	29 Jan 10	0 00	0 00	154 77	154 77	0 00	0 00	154 77	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
	12 Feb 10	0 00	0 00	126 16	126 16	0 00	0 00	126 16	0 00
	26 Feb 10	0 00	0 00	186.48	186 48	0 00	0 00	186 48	0 00
	15 Mar 10	0 00	0 00	161.55	161 55	0 00	0 00	161 55	0 00
	31 Mar 10	0 00	0 00	169 49	169 49	0 00	0 00	169 49	0 00
	30 Apr 10	0 00	0 00	329 50	329 50	0 00	0 00	329 50	0 00
	30 Apr 10	0 00	0 00	2,436 40	2,436 40	0 00	0 00	2,436 40	0 00
	30 Apr 10	0 00	0 00	112 76	112 76	0 00	0 00	112 76	0 00
	30 Apr 10	0 00	0 00	4 88	4 88	0 00	0 00	4 88	0 00
	14 May 10	0 00	0 00	2 45	2 45	0 00	0 00	2 45	0 00
	14 May 10	0 00	0 00	151 77	151 77	0 00	0 00	151 77	0 00
	28 May 10	0 00	0 00	165 10	165 10	0 00	0 00	165 10	0 00
	15 Jun 10	0 00	0 00	147.18	147 18	0 00	0 00	147 18	0 00
30 Nov 10 07 Dec 10	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	46,774 19	0 00	46,774 19	0 00	0 00	46,774 19
	15 May 09	0 00	0 00	30,300 04	0 00	30 300 04	0 00	0 00	30,300 04
	15 May 09	0 00	0 00	885 07	0 00	885 07	0 00	0 00	885 07
	29 May 09	0 00	0 00	54 94	0 00	54 94	0 00	0 00	54 94
	29 May 09	0 00	0 00	6,785.57	0 00	6,785 57	0 00	0 00	6,785 57
	29 May 09	0 00	0 00	3,709.74	0 00	3,709 74	0.00	0.00	3,709 74
	15 Jun 09	0 00	0 00	221 37	0.00	221 37	0.00	0 00	221 37
	15 Jun 09	0 00	0 00	1,294 85	0 00	1,294 85	0 00	0 00	1,294 85
	15 Jun 09	0 00	0 00	83 82	0 00	83 82	0 00	0 00	83 82
	30 Jun 09	0 00	0 00	81 52	0 00	81 52	0 00	0 00	81 52
	30 Jun 09	0 00	0 00	92 68	0 00	92 68	0 00	0 00	92 68
	15 Jul 09	0 00	0 00	76 96	0 00	76 96	0 00	0 00	76 96
	31 Jul 09	0 00	0 00	75 70	0 00	75 70	0 00	0 00	75 70
	14 Aug 09	0 00	0 00	69 24	0 00	69 24	0 00	0 00	69 24

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss — Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
	31 Aug 09	0 00	0 00	80 39	0 00	80.39	0 00	0 00	80.39
	15 Sep 09	0 00	0 00	73 45	0 00	73 45	0 00	0.00	73 45
	30 Sep 09	0 00	0 00	71 92	0 00	71.92	0 00	0 00	71 92
	15 Oct 09	0 00	0 00	70 88	0 00	70 88	0 00	0 00	70 88
	30 Oct 09	0 00	0 00	69 38	0 00	69 38	0 00	0 00	69 38
	13 Nov 09	0 00	0 00	62 30	0 00	62 30	0 00	0 00	62 30
	30 Nov 09	0 00	0 00	76 43	0 00	76 43	0 00	0 00	76 43
	15 Dec 09	0 00	0 00	68 23	0 00	68 23	0 00	0 00	68 23
	31 Dec 09	0 00	0 00	66 00	0.00	66 00	0 00	0 00	66 00
	15 Jan 10	0 00	0 00	64 40	0 00	64 40	0 00	0 00	64 40
	29 Jan 10	0 00	0 00	64 51	0.00	64 51	0 00	0 00	64 51
	12 Feb 10	0 00	0 00	52 59	0 00	52 59	0 00	0 00	52 59
	26 Feb 10	0 00	0 00	77 73	0 00	77 73	0 00	0 00	77 73
	15 Mar 10	0 00	0 00	67 33	0 00	67 33	0.00	0 00	67.33
	31 Mar 10	0 00	0 00	70 64	0 00	70 64	0 00	0 00	70 64
	30 Apr 10	0 00	0 00	137 34	0 00	137 34	0 00	0 00	137 34
	30 Apr 10	0 00	0 00	2 04	0 00	2 04	0 00	0 00	2 04
	30 Apr 10	0 00	0 00	47 00	0 00	47 00	0 00	0 00	47 00
	30 Apr 10	0 00	0 00	1,015 54	0 00	1,015 54	0 00	0 00	1,015 54
	14 May 10	0 00	0 00	1 02	0 00	1 02	0 00	0 00	1 02
	14 May 10	0 00	0 00	63 26	0 00	63 26	0 00	0 00	63 26
	28 May 10	0 00	0 00	68 82	0 00	68 82	0 00	0 00	68 82
	15 Jun 10	0 00	0 00	61 35	0 00	61 35	0 00	0 00	61 35
	30 Jun 10	0 00	0 00	57 31	0 00	57 31	0 00	0 00	57.31
	15 Jul 10	0 00	0 00	56 13	0 00	56 13	0 00	0 00	56 13
	30 Jul 10	0 00	0 00	55 70	0 00	55 70	0 00	0 00	55 70
	13 Aug 10	0 00	0 00	47 97	0 00	47 97	0 00	0 00	47 97
	31 Aug 10	0 00	0 00	66 92	0 00	66 92	0 00	0 00	66 92

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss — Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
	15 Sep 10	0 00	0 00	57 13	0 00	57 13	0 00	0 00	57 13
	30 Sep 10	0 00	0 00	55 56	0 00	55 56	0 00	0 00	55 56
	15 Oct 10	0 00	0.00	55 74	0 00	55 74	0 00	0 00	55 74
	29 Oct 10	0 00	0 00	55 02	0 00	55 02	0 00	0 00	55 02
	15 Nov 10	0 00	0 00	0 88	0 00	0 88	0 00	0 00	0 88
	15 Nov 10	0 00	0 00	53 00	0 00	53 00	0 00	0 00	53 00
	30 Nov 10	0 00	0 00	48 78	0 00	48 78	0 00	0 00	48 78
13 Aug 10 09 Sep 10	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	106,953 71	106,953 71	0 00	0 00	106,953 71	0 00
	15 May 09	0 00	0 00	69,871 05	69,871 05	0 00	0 00	69,871 05	0 00
	15 May 09	0 00	0 00	2,040 94	2,040 94	0 00	0.00	2,040.94	0 00
	29 May 09	0 00	0 00	15,647.35	15,647 35	0 00	0.00	15,647 35	0 00
	29 May 09	0 00	0 00	126 69	126 69	0 00	0 00	126 69	0 00
	29 May 09	0 00	0 00	8,554 57	8,554 57	0 00	0 00	8,554 57	0 00
	15 Jun 09	0 00	0 00	2,985 89	2,985 89	0 00	0 00	2,985 89	0 00
	15 Jun 09	0 00	0 00	193 29	193 29	0 00	0 00	193 29	0 00
	15 Jun 09	0 00	0 00	510 46	510 46	0 00	0 00	510 46	0 00
	30 Jun 09	0 00	0 00	213 71	213 71	0 00	0 00	213 71	0 00
	30 Jun 09	0 00	0 00	187 97	187 97	0 00	0 00	187 97	0 00
	15 Jul 09	0 00	0 00	177 47	177 47	0 00	0 00	177 47	0 00
	31 Jul 09	0 00	0 00	174 56	174 56	0 00	0 00	174 56	0 00
	14 Aug 09	0 00	0 00	159 67	159 67	0 00	0 00	159 67	0 00
	31 Aug 09	0 00	0 00	185 38	185 38	0 00	0 00	185 38	0 00
	15 Sep 09	0 00	0 00	169 38	169 38	0 00	0 00	169 38	0 00
	30 Sep 09	0 00	0 00	165 86	165 86	0 00	0 00	165 86	0 00
	15 Oct 09	0 00	0 00	163 46	163 46	0 00	0 00	163 46	0 00
	30 Oct 09	0 00	0 00	160 00	160 00	0 00	0 00	160 00	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
	13 Nov 09	0 00	0 00	143 65	143 65	0 00	0 00	143 65	0 00
	30 Nov 09	0 00	0 00	176 24	176 24	0 00	0 00	176 24	0 00
	15 Dec 09	0 00	0 00	157 34	157 34	0 00	0 00	157 34	0 00
	31 Dec 09	0 00	0 00	152 18	152 18	0 00	0 00	152 18	0 00
	15 Jan 10	0 00	0 00	148 51	148 51	0 00	0 00	148 51	0 00
	29 Jan 10	0 00	0 00	148 76	148 76	0 00	0 00	148 76	0 00
	12 Feb 10	0 00	0 00	121 26	121 26	0 00	0 00	121 26	0 00
	26 Feb 10	0 00	0 00	179 23	179 23	0 00	0 00	179 23	0 00
	15 Mar 10	0 00	0 00	155 28	155 28	0 00	0 00	155 28	0 00
	31 Mar 10	0 00	0 00	162 91	162 91	0 00	0 00	162 91	0 00
	30 Apr 10	0 00	0 00	4 69	4 69	0 00	0 00	4 69	0 00
	30 Apr 10	0 00	0 00	316 71	316 71	0 00	0 00	316 71	0 00
	30 Apr 10	0 00	0 00	2,341 81	2,341 81	0 00	0 00	2,341 81	0 00
	30 Apr 10	0 00	0 00	108 38	108 38	0 00	0 00	108 38	0 00
	14 May 10	0 00	0 00	2 36	2 36	0 00	0 00	2.36	0 00
	14 May 10	0 00	0 00	145 88	145 88	0 00	0 00	145 88	0 00
	28 May 10	0 00	0 00	158 68	158 68	0 00	0 00	158 68	0 00
	15 Jun 10	0 00	0 00	141 47	141 47	0 00	0 00	141 47	0 00
	30 Jun 10	0 00	0 00	132 16	132 16	0 00	0 00	132 16	0 00
	15 Jul 10	0 00	0 00	129 44	129 44	0 00	0 00	129 44	0 00
	30 Jul 10	0 00	0 00	128.44	128 44	0 00	0 00	128 44	0 00
	13 Aug 10	0 00	0 00	110 63	110 63	0 00	0 00	110 63	0 00
15 Oct 10 08 Nov 10	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	69,853 08	69,853 08	0 00	0 00	69,853 08	0 00
	15 May 09	0 00	0 00	45,403 47	45,403 47	0 00	0 00	45,403 47	0 00
	15 May 09	0 00	0 00	1,326 23	1,326 23	0 00	0 00	1,326 23	0 00
	29 May 09	0 00	0 00	10,167 92	10,167 92	0.00	0 00	10,167 92	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss – Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
	29 May 09	0 00	0 00	82 33	82 33	0 00	0 00	82 33	0 00
	29 May 09	0 00	0 00	5,558 91	5,558 91	0 00	0.00	5,558 91	0 00
	15 Jun 09	0.00	0 00	331 71	331 71	0 00	0 00	331.71	0 00
	15 Jun 09	0 00	0 00	1,940 28	1,940 28	0 00	0.00	1,940 28	0 00
	15 Jun 09	0 00	0 00	125 60	125 60	0 00	0 00	125 60	0 00
	30 Jun 09	0.00	0 00	122 14	122 14	0 00	0.00	122 14	0 00
	30 Jun 09	0 00	0 00	138 87	138 87	0 00	0 00	138 87	0 00
	15 Jul 09	0 00	0 00	115 32	115 32	0 00	0 00	115 32	0 00
	31 Jul 09	0 00	0 00	113 43	113 43	0 00	0 00	113 43	0 00
	14 Aug 09	0 00	0 00	103 76	103 76	0 00	0 00	103 76	0 00
	31 Aug 09	0 00	0 00	120 47	120 47	0 00	0 00	120 47	0 00
	15 Sep 09	0 00	0 00	110 07	110 07	0 00	0 00	110 07	0 00
	30 Sep 09	0 00	0 00	107 78	107 78	0 00	0 00	107 78	0 00
	15 Oct 09	0 00	0 00	106 22	106 22	0 00	0 00	106 22	0 00
	30 Oct 09	0 00	0 00	103 97	103 97	0 00	0 00	103 97	0 00
	13 Nov 09	0.00	0 00	93 35	93 35	0 00	0 00	93 35	0 00
	30 Nov 09	0 00	0 00	114 53	114 53	0 00	0 00	114 53	0 00
	15 Dec 09	0 00	0 00	102 24	102 24	0 00	0 00	102 24	0 00
	31 Dec 09	0 00	0 00	98 90	98 90	0 00	0 00	98 90	0 00
	15 Jan 10	0 00	0 00	96 51	96 51	0 00	0 00	96 51	0 00
	29 Jan 10	0 00	0 00	96 66	96.66	0 00	0 00	96 66	0 00
	12 Feb 10	0 00	0 00	78 80	78 80	0 00	0 00	78 80	0 00
	26 Feb 10	0 00	0 00	116 47	116 47	0 00	0 00	116 47	0 00
	15 Mar 10	0 00	0 00	100 90	100 90	0 00	0 00	100 90	0 00
	31 Mar 10	0 00	0 00	105 85	105 85	0 00	0 00	105 85	0 00
	30 Apr 10	0 00	0 00	3 05	3 05	0 00	0 00	3 05	0 00
	30 Apr 10	0 00	0 00	205 80	205 80	0 00	0 00	205 80	0 00
	30 Apr 10	0 00	0 00	70 43	70 43	0 00	0 00	70 43	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss — Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
	30 Apr 10	0 00	0 00	1,521 76	1,521 76	0 00	0 00	1,521 76	0 00
	14 May 10	0 00	0 00	1 54	1 54	0 00	0 00	1 54	0 00
	14 May 10	0 00	0 00	94 80	94 80	0 00	0 00	94 80	0 00
	28 May 10	0 00	0 00	103 12	103 12	0 00	0 00	103 12	0 00
	15 Jun 10	0 00	0 00	91 93	91.93	0 00	0 00	91 93	0 00
	30 Jun 10	0 00	0 00	85 88	85 88	0 00	0 00	85 88	0.00
	15 Jul 10	0 00	0 00	84 11	84 11	0.00	0 00	84 11	0 00
	30 Jul 10	0 00	0 00	83 46	83 46	0 00	0 00	83 46	0 00
	13 Aug 10	0 00	0.00	71.89	71 89	0 00	0 00	71 89	0 00
	31 Aug 10	0 00	0 00	100 28	100 28	0 00	0 00	100 28	0 00
	15 Sep 10	0 00	0 00	85 59	85 59	0 00	0 00	85 59	0 00
	30 Sep 10	0 00	0 00	83 24	83 24	0 00	0 00	83 24	0 00
	15 Oct 10	0 00	0 00	83 51	83 51	0 00	0 00	83 51	0 00
15 Jul 10 .10 Aug 10	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	96,065 68	96,065 68	0 00	0 00	96,065 68	0 00
	15 May 09	0 00	0 00	62,898 68	62,898 68	0 00	0 00	62,898 68	0 00
	15 May 09	0 00	0 00	1,837 27	1,837.27	0 00	0 00	1,837 27	0 00
	29 May 09	0 00	0 00	14,085 91	14,085 91	0 00	0 00	14,085 91	0 00
	29 May 09	0 00	0 00	114 05	114 05	0 00	0 00	114 05	0 00
	29 May 09	0 00	0 00	7,700 91	7,700 91	0 00	0 00	7,700 91	0 00
	15 Jun 09	0 00	0 00	459 52	459 52	0 00	0 00	459 52	0 00
	15 Jun 09	0 00	0 00	2,687 93	2,687 93	0 00	0 00	2,687 93	0 00
	15 Jun 09	0 00	0 00	174 00	174 00	0 00	0 00	174 00	0 00
	30 Jun 09	0.00	0 00	169 20	169 20	0 00	0 00	169 20	0.00
	30 Jun 09	0 00	0 00	192 38	192 38	0 00	0 00	192.38	0 00
	15 Jul 09	0 00	0 00	159 76	159 76	0 00	0 00	159 76	0 00
	31 Jul 09	0 00	0 00	157 14	157 14	0 00	0.00	157 14	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
	14 Aug 09	0 00	0 00	143 74	143 74	0 00	0 00	143 74	0 00
	31 Aug 09	0 00	0 00	166 89	166 89	0 00	0 00	166 89	0 00
	15 Sep 09	0 00	0 00	152 48	152 48	0 00	0 00	152 48	0 00
	30 Sep 09	0 00	0 00	149 30	149 30	0 00	0 00	149 30	0 00
	15 Oct 09	0 00	0.00	147 15	147.15	0 00	0 00	147 15	0 00
	30 Oct 09	0 00	0 00	144 03	144 03	0 00	0 00	144 03	0.00
	13 Nov 09	0 00	0 00	129 32	129 32	0 00	0 00	129 32	0.00
	30 Nov 09	0 00	0 00	158 66	158 66	0 00	0 00	158 66	0 00
	15 Dec 09	0 00	0 00	141.64	141 64	0 00	0.00	141 64	0 00
	31 Dec 09	0 00	0 00	137 00	137 00	0 00	0 00	137 00	0 00
	15 Jan 10	0 00	0 00	133 69	133 69	0 00	0 00	133 69	0 00
	29 Jan 10	0 00	0 00	133 92	133 92	0 00	0 00	133 92	0 00
	12 Feb 10	0 00	0 00	109 16	109 16	0 00	0 00	109 16	0 00
	26 Feb 10	0 00	0 00	161 34	161 34	0 00	0 00	161 34	0 00
	15 Mar 10	0 00	0 00	139.78	139 78	0 00	0 00	139 78	0 00
	31 Mar 10	0 00	0 00	146 65	146 65	0 00	0 00	146 65	0 00
	30 Apr 10	0 00	0 00	97 56	97 56	0 00	0 00	97 56	0 00
	30 Apr 10	0 00	0 00	2,108 13	2,108 13	0 00	0 00	2,108 13	0 00
	30 Apr 10	0 00	0 00	4 23	4 23	0 00	0 00	4 23	0 00
	30 Apr 10	0 00	0 00	285 11	285 11	0 00	0 00	285 11	0 00
	14 May 10	0 00	0 00	2 12	2 12	0 00	0 00	2 12	0 00
	14 May 10	0 00	0 00	131 33	131 33	0 00	0 00	131 33	0 00
	28 May 10	0 00	0 00	142 85	142 85	0 00	0 00	142 85	0 00
	15 Jun 10	0 00	0.00	127 36	127 36	0 00	0 00	127 36	0 00
	30 Jun 10	0 00	0 00	118 97	118 97	0 00	0 00	118 97	0 00
	15 Jul 10	0 00	0.00	116 52	116 52	0 00	0 00	116 52	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss – Market – Short Term	Market – Long Term	Translation	Total – Short Term	Total – Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
31 Dec 09 12 Apr 10	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	-218 28	0 00	-218 28	0 00	0 00	-218 28
	15 May 09	0 00	0 00	-149 06	0 00	-149 06	0 00	0 00	-149 06
	15 May 09	0 00	0 00	-4 36	0 00	-4 36	0 00	0 00	-4 36
	29 May 09	0 00	0 00	-18 25	0 00	-18 25	0 00	0 00	-18 25
	29 May 09	0 00	0 00	-33 38	0 00	-33 38	0 00	0 00	-33 38
	29 May 09	0 00	0 00	-0 27	0 00	-0 27	0 00	0 00	-0 27
	15 Jun 09	0.00	0 00	-6 37	0 00	-6 37	0 00	0 00	-6 37
	15 Jun 09	0 00	0 00	-1 08	0 00	-1 08	0 00	0 00	-1 08
	15 Jun 09	0 00	0 00	-0 41	0 00	-0 41	0 00	0 00	-0 41
	30 Jun 09	0 00	0.00	-0 41	0 00	-0 41	0 00	0 00	-0 41
	30 Jun 09	0 00	0 00	-0 46	0 00	-0 46	0 00	0 00	-0 46
	15 Jul 09	0 00	0 00	-0 38	0 00	-0 38	0 00	0 00	-0 38
	31 Jul 09	0 00	0 00	-0 37	0 00	-0 37	0 00	0 00	-0 37
	14 Aug 09	0 00	0 00	-0 34	0 00	-0 34	0 00	0 00	-0 34
	31 Aug 09	0 00	0 00	-0 39	0 00	-0 39	0 00	0 00	-0 39
	15 Sep 09	0 00	0 00	-0 36	0 00	-0 36	0 00	0 00	-0 36
	30 Sep 09	0 00	0 00	-0 36	0 00	-0 36	0 00	0 00	-0 36
	15 Oct 09	0 00	0 00	-0 35	0.00	-0 35	0 00	0 00	-0 35
	30 Oct 09	0 00	0 00	-0 34	0 00	-0 34	0 00	0 00	-0 34
	13 Nov 09	0 00	0 00	-0 30	0 00	-0 30	0 00	0 00	-0 30
	30 Nov 09	0 00	0 00	-0 37	0 00	-0 37	0 00	0 00	-0 37
	15 Dec 09	0 00	0 00	-0 34	0 00	-0 34	0 00	0 00	-0 34
	31 Dec 09	0 00	0 00	-0 33	0 00	-0 33	0 00	0 00	-0 33
15 Mar 10 12 Apr 10	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	20,480 43	20,480 43	0 00	0 00	20,480 43	0 00
	15 May 09	0 00	0 00	13,883 64	13,883 64	0 00	0 00	13,883 64	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
	15 May 09	0 00	0 00	405 54	405 54	0 00	0 00	405 54	0 00
	29 May 09	0 00	0 00	3,109 19	3,109 19	0 00	0 00	3,109 19	0 00
	29 May 09	0 00	0 00	25 17	25 17	0 00	0 00	25 17	0 00
	29 May 09	0 00	0 00	1,699 82	1,699 82	0 00	0 00	1,699 82	0 00
	15 Jun 09	0 00	0 00	101 43	101 43	0 00	0 00	101 43	0 00
	15 Jun 09	0 00	0 00	593 31	593 31	0 00	0 00	593 31	0 00
	15 Jun 09	0 00	0 00	38 41	38 41	0 00	0 00	38 41	0 00
	30 Jun 09	0 00	0 00	37 35	37 35	0 00	0 00	37 35	0 00
	30 Jun 09	0 00	0 00	42 46	42 46	0 00	0 00	42 46	0 00
	15 Jul 09	0 00	0 00	35 27	35 27	0 00	0 00	35 27	0 00
	31 Jul 09	0 00	0 00	34 68	34 68	0 00	0 00	34 68	0 00
	14 Aug 09	0.00	0 00	31 72	31 72	0 00	0 00	31 72	0 00
	31 Aug 09	0 00	0 00	36 84	36 84	0 00	0 00	36 84	0 00
	15 Sep 09	0 00	0 00	33 66	33 66	0 00	0 00	33 66	0 00
	30 Sep 09	0 00	0 00	32 96	32 96	0 00	0 00	32 96	0 00
	15 Oct 09	0 00	0 00	32 48	32 48	0 00	0 00	32 48	0 00
	30 Oct 09	0 00	0 00	31 79	31 79	0 00	0 00	31 79	0 00
	13 Nov 09	0 00	0 00	28 54	28 54	0 00	0 00	28 54	0 00
	30 Nov 09	0 00	0 00	35 02	35 02	0.00	0.00	35 02	0 00
	15 Dec 09	0 00	0.00	31.26	31 26	0 00	0 00	31.26	0 00
	31 Dec 09	0 00	0 00	30 24	30 24	0 00	0 00	30 24	0 00
	15 Jan 10	0 00	0 00	29 51	29 51	0 00	0 00	29 51	0 00
	29 Jan 10	0 00	0 00	29 56	29 56	0 00	0 00	29 56	0 00
	12 Feb 10	0 00	0 00	24 10	24 10	0 00	0 00	24 10	0 00
	26 Feb 10	0 00	0 00	35 62	35 62	0 00	0 00	35 62	0 00
	15 Mar 10	0 00	0 00	30 86	30 86	0 00	0 00	30 86	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
29 Jan 10 09 Feb 10	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	11,843 11	0 00	11,843 11	0.00	0 00	11,843 11
	15 May 09	0 00	0 00	8,064.08	0 00	8,064 08	0 00	0 00	8,064 08
	15 May 09	0 00	0 00	235 55	0 00	235 55	0 00	0 00	235 55
	29 May 09	0 00	0 00	987 31	0 00	987 31	0 00	0 00	987 31
	29 May 09	0 00	0 00	1,805 92	0 00	1,805 92	0 00	0 00	1 805 92
	29 May 09	0 00	0 00	14 62	0 00	14 62	0 00	0 00	14 62
	15 Jun 09	0 00	0 00	58 91	0 00	58 91	0 00	0 00	58 91
	15 Jun 09	0 00	0 00	344 61	0 00	344 61	0 00	0 00	344 61
	15 Jun 09	0 00	0 00	22 31	0 00	22 31	0 00	0 00	22 31
	30 Jun 09	0 00	0 00	21 70	0 00	21 70	0 00	0 00	21 70
	30 Jun 09	0 00	0 00	24 67	0 00	24.67	0 00	0,00	24 67
	15 Jul 09	0 00	0 00	20 48	0 00	20 48	0 00	0 00	20 48
	31 Jul 09	0 00	0 00	20 15	0 00	20 15	0 00	0 00	20 15
	14 Aug 09	0 00	0 00	18 43	0 00	18 43	0 00	0 00	18 43
	31 Aug 09	0 00	0 00	21 40	0 00	21 40	0 00	0 00	21 40
	15 Sep 09	0 00	0 00	19 54	0 00	19 54	0 00	0 00	19 54
	30 Sep 09	0 00	0 00	19 15	0 00	19 15	0 00	0 00	19 15
	15 Oct 09	0 00	0 00	18 87	0 00	18 87	0 00	0 00	18 87
	30 Oct 09	0 00	0 00	18 46	0 00	18 46	0 00	0 00	18 46
	13 Nov 09	0 00	0 00	16 58	0 00	16 58	0 00	0 00	16 58
	30 Nov 09	0.00	0 00	20 34	0 00	20 34	0 00	0 00	20 34
	15 Dec 09	0 00	0 00	18 16	0 00	18 16	0 00	0 00	18 16
	31 Dec 09	0 00	0 00	17 56	0 00	17.56	0 00	0 00	17 56
	15 Jan 10	0 00	0 00	17 14	0 00	17 14	0 00	0 00	17 14
	29 Jan 10	0 00	0 00	17 17	0 00	17 17	0 00	0 00	17 17

Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
14 May 10 09 Jun 10	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	153,166 13	153,166 13	0.00	0 00	153,166 13	0 00
	15 May 09	0 00	0 00	100,815 72	100,815 72	0 00	0 00	100,815 72	0 00
	15 May 09	0 00	0 00	2,944 82	2,944 82	0 00	0 00	2,944 82	0 00
	29 May 09	0 00	0 00	22,577 28	22,577 28	0 00	0 00	22,577 28	0 00
	29 May 09	0 00	0 00	182 80	182 80	0 00	0 00	182 80	0 00
	29 May 09	0 00	0 00	12,343 22	12,343 22	0 00	0 00	12,343 22	0 00
	15 Jun 09	0 00	0 00	736 54	736 54	0 00	0 00	736 54	0 00
	15 Jun 09	0 00	0 00	4,308 28	4,308 28	0 00	0 00	4,308 28	0 00
	15 Jun 09	0 00	0 00	278 89	278 89	0 00	0 00	278 89	0 00
	30 Jun 09	0 00	0 00	308 36	308 36	0 00	0 00	308 36	0 00
	30 Jun 09	0 00	0 00	271 22	271 22	0 00	0.00	271 22	0 00
	15 Jul 09	0 00	0 00	256 07	256 07	0 00	0 00	256.07	0 00
	31 Jul 09	0 00	0 00	251 87	251.87	0 00	0 00	251.87	0 00
	14 Aug 09	0.00	0 00	230 38	230 38	0 00	0 00	230 38	0 00
	31 Aug 09	0 00	0 00	267 50	267 50	0 00	0 00	267 50	0 00
	15 Sep 09	0 00	0 00	244 39	244 39	0 00	0 00	244 39	0 00
	30 Sep 09	0 00	0 00	239 30	239 30	0 00	0 00	239 30	0 00
	15 Oct 09	0 00	0 00	235 86	235 86	0 00	0 00	235 86	0 00
	30 Oct 09	0 00	0 00	230 86	230 86	0 00	0 00	230 86	0 00
	13 Nov 09	0 00	0 00	207 28	207 28	0 00	0 00	207 28	0 00
	30 Nov 09	0 00	0 00	254 30	254 30	0 00	0 00	254 30	0 00
	15 Dec 09	0 00	0 00	227 02	227 02	0 00	0 00	227 02	0 00
	31 Dec 09	0 00	0 00	219 59	219 59	0 00	0 00	219 59	0 00
	15 Jan 10	0 00	0 00	214 28	214 28	0 00	0 00	214 28	0 00
	29 Jan 10	0 00	0 00	214 65	214 65	0 00	0 00	214 65	0 00
	12 Feb 10	0 00	0 00	174 97	174 97	0 00	0 00	174 97	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss – Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
	26 Feb 10	0 00	0 00	258 62	258 62	0 00	0 00	258 62	0 00
	15 Mar 10	0 00	0 00	224 04	224 04	0 00	0 00	224 04	0 00
	31 Mar 10	0 00	0 00	235 05	235 05	0 00	0 00	235 05	0 00
	30 Apr 10	0 00	0 00	456 98	456 98	0 00	0 00	456 98	0 00
	30 Apr 10	0 00	0 00	6 77	6 77	0 00	0 00	6 77	0 00
	30 Apr 10	0 00	0 00	3,378 96	3,378 96	0 00	0 00	3,378 96	0 00
	30 Apr 10	0 00	0 00	156 38	156 38	0 00	0 00	156 38	0 00
	14 May 10	0 00	0 00	3 40	3 40	0 00	0 00	3 40	0 00
	14 May 10	0 00	0 00	210 48	210 48	0 00	0 00	210 48	0 00
30 Jun 10 12 Jul 10	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	123,908 47	0 00	123,908 47	0 00	0 00	123,908 47
	15 May 09	0.00	0 00	81,227.18	0 00	81,227 18	0 00	0 00	81,227 18
	15 May 09	0 00	0 00	2,372 64	0 00	2,372 64	0 00	0 00	2,372 64
	29 May 09	0 00	0 00	9,944 93	0 00	9,944 93	0 00	0 00	9,944 93
	29 May 09	0 00	0 00	18,190 50	0 00	18,190 50	0 00	0 00	18,190 50
	29 May 09	0 00	0 00	147.29	0 00	147 29	0 00	0 00	147 29
	15 Jun 09	0 00	0 00	593 43	0 00	593 43	0 00	0 00	593 43
	15 Jun 09	0 00	0 00	3,471 19	0 00	3,471 19	0 00	0 00	3 471 19
	15 Jun 09	0 00	0 00	224 70	0 00	224 70	0 00	0 00	224 70
	30 Jun 09	0 00	0 00	218 52	0 00	218 52	0 00	0 00	218 52
	30 Jun 09	0 00	0 00	248 44	0 00	248 44	0 00	0 00	248 44
	15 Jul 09	0 00	0 00	206 31	0 00	206 31	0 00	0 00	206 31
	31 Jul 09	0 00	0 00	202 93	0 00	202 93	0 00	0 00	202 93
	14 Aug 09	0 00	0 00	185 62	0 00	185 62	0 00	0.00	185 62
	31 Aug 09	0 00	0 00	215 52	0 00	215 52	0 00	0 00	215 52
	15 Sep 09	0 00	0 00	196 91	0 00	196 91	0 00	0 00	196 91
	30 Sep 09	0 00	0 00	192 81	0 00	192 81	0 00	0 00	192 81

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
	15 Oct 09	0 00	0 00	190 02	0 00	190 02	0 00	0 00	190 02
	30 Oct 09	0 00	0 00	186 01	0 00	186 01	0 00	0 00	186 01
	13 Nov 09	0 00	0 00	167 01	0 00	167 01	0 00	0 00	167 01
	30 Nov 09	0 00	0 00	204 89	0 00	204 89	0 00	0 00	204,89
	15 Dec 09	0 00	0 00	182 91	0 00	182 91	0 00	0,00	182 91
	31 Dec 09	0 00	0 00	176 92	0 00	176 92	0 00	0,00	176 92
	15 Jan 10	0 00	0 00	172 65	0 00	172 65	0 00	0 00	172 65
	29 Jan 10	0 00	0 00	172 94	0 00	172 94	0 00	0 00	172 94
	12 Feb 10	0 00	0 00	140 97	0 00	140 97	0 00	0 00	140 97
	26 Feb 10	0 00	0 00	208 36	0 00	208 36	0 00	0 00	208 36
	15 Mar 10	0 00	0 00	180 52	0 00	180 52	0 00	0 00	180 52
	31 Mar 10	0 00	0 00	189 38	0 00	189 38	0 00	0 00	189 38
	30 Apr 10	0 00	0 00	2,722 43	0 00	2,722 43	0 00	0 00	2,722 43
	30 Apr 10	0 00	0 00	368 19	0 00	368 19	0 00	0 00	368 19
	30 Apr 10	0 00	0 00	5 45	0 00	5 45	0 00	0,00	5 45
	30 Apr 10	0 00	0 00	125 99	0 00	125 99	0 00	0 00	125 99
	14 May 10	0 00	0 00	169 59	0,00	169 59	0 00	0 00	169 59
	14 May 10	0 00	0 00	2 74	0 00	2 74	0 00	0 00	2 74
	28 May 10	0 00	0 00	184 48	0 00	184 48	0 00	0 00	184 48
	15 Jun 10	0 00	0 00	164 46	0 00	164 46	0 00	0 00	164 46
	30 Jun 10	0 00	0 00	153 64	0 00	153 64	0 00	0 00	153 64
31 Aug 10 09 Sep 10	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	127,321 00	0 00	127,321 00	0 00	0 00	127,321 00
	15 May 09	0 00	0 00	83,056 83	0 00	83,056 83	0 00	0 00	83,056 83
	15 May 09	0 00	0 00	2,426 09	0 00	2,426 09	0 00	0 00	2,426 09
	29 May 09	0 00	0 00	18,600 24	0 00	18,600 24	0 00	0 00	18,600 24
	29 May 09	0 00	0 00	150 60	0 00	150 60	0 00	0 00	150 60

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term

Other Gain/Loss

Fixed income

Corporate bonds

United States

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
	29 May 09	0 00	0 00	10,168 94	0 00	10,168 94	0 00	0 00	10,168 94
	15 Jun 09	0 00	0 00	606 80	0 00	606 80	0 00	0 00	606 80
	15 Jun 09	0 00	0 00	3,549 37	0 00	3,549 37	0 00	0 00	3,549 37
	15 Jun 09	0 00	0 00	229 77	0 00	229 77	0 00	0 00	229 77
	30 Jun 09	0 00	0 00	223 43	0 00	223 43	0 00	0 00	223 43
	30 Jun 09	0.00	0 00	254 04	0 00	254 04	0 00	0 00	254 04
	15 Jul 09	0 00	0 00	210 96	0 00	210 96	0 00	0 00	210 96
	31 Jul 09	0 00	0 00	207 50	0 00	207 50	0 00	0 00	207 50
	14 Aug 09	0 00	0 00	189 80	0 00	189 80	0 00	0 00	189 80
	31 Aug 09	0 00	0 00	220 37	0 00	220 37	0 00	0 00	220 37
	15 Sep 09	0 00	0 00	201 35	0 00	201 35	0 00	0 00	201 35
	30 Sep 09	0 00	0 00	197 15	0 00	197 15	0 00	0 00	197 15
	15 Oct 09	0 00	0 00	194 30	0 00	194 30	0 00	0 00	194 30
	30 Oct 09	0 00	0 00	190 19	0 00	190 19	0 00	0 00	190 19
	13 Nov 09	0 00	0 00	170 76	0 00	170 76	0 00	0 00	170 76
	30 Nov 09	0 00	0 00	209 51	0 00	209.51	0 00	0 00	209 51
	15 Dec 09	0 00	0 00	187 03	0 00	187.03	0 00	0 00	187 03
	31 Dec 09	0 00	0 00	180 91	0 00	180 91	0 00	0 00	180 91
	15 Jan 10	0 00	0 00	176 54	0 00	176 54	0 00	0 00	176 54
	29 Jan 10	0 00	0 00	176 83	0 00	176 83	0 00	0 00	176 83
	12 Feb 10	0 00	0 00	144 15	0 00	144 15	0 00	0 00	144 15
	26 Feb 10	0 00	0 00	213 06	0 00	213 06	0 00	0 00	213 06
	15 Mar 10	0 00	0 00	184 58	0 00	184 58	0 00	0 00	184 58
	31 Mar 10	0 00	0 00	193 65	0 00	193 65	0 00	0 00	193 65
	30 Apr 10	0 00	0 00	2,783.75	0 00	2,783 75	0 00	0 00	2,783 75
	30 Apr 10	0 00	0 00	128 83	0 00	128.83	0 00	0 00	128 83
	30 Apr 10	0 00	0 00	5 58	0 00	5.58	0.00	0 00	5 58
	30 Apr 10	0 00	0 00	376 48	0 00	376 48	0 00	0 00	376 48

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
	14 May 10	0 00	0 00	173 40	0 00	173 40	0 00	0 00	173 40
	14 May 10	0 00	0 00	2 81	0 00	2 81	0 00	0 00	2 81
	28 May 10	0 00	0 00	188 64	0 00	188 64	0 00	0 00	188 64
	15 Jun 10	0 00	0 00	168 17	0 00	168 17	0 00	0 00	168 17
	30 Jun 10	0 00	0 00	157 10	0 00	157 10	0 00	0 00	157 10
	15 Jul 10	0 00	0 00	153 87	0.00	153 87	0 00	0 00	153 87
	30 Jul 10	0 00	0 00	152 68	0 00	152 68	0 00	0 00	152 68
	13 Aug 10	0 00	0 00	131 50	0 00	131 50	0 00	0 00	131.50
	31 Aug 10	0 00	0 00	183 44	0 00	183 44	0 00	0 00	183 44
29 Oct 10 08 Nov 10	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	36,166 78	0 00	36,166 78	0 00	0 00	36,166 78
	15 May 09	0 00	0 00	23,480 16	0 00	23,480 16	0 00	0 00	23,480 16
	15 May 09	0 00	0 00	685 86	0 00	685 86	0 00	0 00	685 86
	29 May 09	0 00	0 00	42 58	0 00	42 58	0 00	0 00	42 58
	29 May 09	0 00	0 00	5,258 29	0 00	5,258.29	0 00	0 00	5,258 29
	29 May 09	0 00	0 00	2,874 76	0 00	2,874 76	0 00	0 00	2,874 76
	15 Jun 09	0 00	0 00	171 54	0 00	171 54	0 00	0 00	171 54
	15 Jun 09	0.00	0 00	1,003 41	0 00	1,003 41	0 00	0 00	1,003 41
	15 Jun 09	0 00	0 00	64 95	0 00	64 95	0 00	0 00	64 95
	30 Jun 09	0 00	0 00	63 17	0 00	63 17	0 00	0 00	63 17
	30 Jun 09	0 00	0 00	71 82	0 00	71 82	0 00	0 00	71 82
	15 Jul 09	0 00	0 00	59 63	0 00	59 63	0 00	0 00	59 63
	31 Jul 09	0 00	0 00	58 66	0 00	58 66	0 00	0 00	58 66
	14 Aug 09	0 00	0 00	53 66	0 00	53 66	0 00	0 00	53 66
	31 Aug 09	0.00	0 00	62 30	0 00	62 30	0.00	0 00	62 30
	15 Sep 09	0 00	0 00	56 92	0 00	56 92	0 00	0 00	56 92
	30 Sep 09	0 00	0 00	55 73	0 00	55.73	0 00	0 00	55 73

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss — Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
	15 Oct 09	0 00	0 00	54 93	0 00	54 93	0 00	0 00	54 93
	30 Oct 09	0 00	0 00	53 76	0 00	53 76	0 00	0 00	53 76
	13 Nov 09	0 00	0 00	48 28	0 00	48 28	0 00	0 00	48 28
	30 Nov 09	0 00	0 00	59 22	0 00	59 22	0 00	0 00	59 22
	15 Dec 09	0 00	0 00	52 87	0 00	52 87	0 00	0 00	52 87
	31 Dec 09	0 00	0 00	51 14	0 00	51 14	0 00	0 00	51 14
	15 Jan 10	0.00	0.00	49 91	0.00	49 91	0 00	0 00	49 91
	29 Jan 10	0 00	0 00	49 99	0 00	49 99	0 00	0 00	49 99
	12 Feb 10	0 00	0 00	40.75	0.00	40.75	0.00	0 00	40.75
	26 Feb 10	0 00	0 00	60 23	0 00	60 23	0 00	0 00	60 23
	15 Mar 10	0 00	0 00	52 18	0 00	52 18	0 00	0 00	52 18
	31 Mar 10	0 00	0 00	54 74	0 00	54 74	0 00	0 00	54 74
	30 Apr 10	0 00	0 00	106 43	0 00	106 43	0 00	0 00	106 43
	30 Apr 10	0 00	0 00	1 58	0 00	1 58	0 00	0 00	1 58
	30 Apr 10	0 00	0 00	36 42	0 00	36 42	0 00	0 00	36 42
	30 Apr 10	0 00	0 00	786 96	0 00	786 96	0 00	0 00	786 96
	14 May 10	0 00	0 00	0 80	0.00	0 80	0 00	0 00	0 80
	14 May 10	0 00	0 00	49 02	0 00	49 02	0 00	0 00	49 02
	28 May 10	0 00	0 00	53 32	0 00	53 32	0 00	0 00	53 32
	15 Jun 10	0 00	0 00	47 55	0.00	47.55	0 00	0 00	47 55
	30 Jun 10	0 00	0 00	44 41	0 00	44 41	0 00	0 00	44 41
	15 Jul 10	0 00	0 00	43 50	0 00	43 50	0 00	0 00	43 50
	30 Jul 10	0 00	0 00	43 17	0 00	43 17	0 00	0 00	43 17
	13 Aug 10	0 00	0 00	37 18	0 00	37 18	0 00	0 00	37 18
	31 Aug 10	0 00	0 00	51 85	0 00	51 85	0 00	0 00	51 85
	15 Sep 10	0 00	0 00	44 27	0 00	44 27	0 00	0 00	44 27
	30 Sep 10	0 00	0 00	43 05	0 00	43 05	0 00	0 00	43 05
	15 Oct 10	0 00	0.00	43 20	0 00	43 20	0 00	0 00	43 20

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
	29 Oct 10	0 00	0 00	42 63	0 00	42 63	0 00	0 00	42 63
30 Jul 10 10 Aug 10	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	96,728 10	0 00	96,728 10	0 00	0 00	96,728 10
	15 May 09	0 00	0 00	63,256 26	0 00	63,256 26	0 00	0 00	63,256 26
	15 May 09	0 00	0 00	1,847 72	0 00	1,847 72	0 00	0 00	1,847 72
	29 May 09	0 00	0 00	14,165 99	0 00	14,165 99	0 00	0 00	14,165 99
	29 May 09	0 00	0 00	114 70	0 00	114 70	0 00	0 00	114 70
	29 May 09	0 00	0 00	7,744 69	0 00	7,744 69	0 00	0 00	7,744 69
	15 Jun 09	0 00	0 00	462 14	0 00	462 14	0 00	0 00	462.14
	15 Jun 09	0 00	0 00	2,703 21	0 00	2,703 21	0 00	0 00	2,703 21
	15 Jun 09	0 00	0 00	174 99	0 00	174 99	0 00	0 00	174 99
	30 Jun 09	0 00	0 00	170 17	0 00	170 17	0 00	0 00	170 17
	30 Jun 09	0 00	0 00	193 48	0 00	193 48	0 00	0 00	193 48
	15 Jul 09	0 00	0 00	160 67	0 00	160 67	0 00	0 00	160 67
	31 Jul 09	0 00	0 00	158 03	0 00	158 03	0 00	0 00	158 03
	14 Aug 09	0 00	0 00	144 56	0 00	144 56	0 00	0 00	144 56
	31 Aug 09	0 00	0 00	167 84	0 00	167 84	0 00	0 00	167 84
	15 Sep 09	0 00	0 00	153 34	0 00	153 34	0 00	0 00	153 34
	30 Sep 09	0,00	0 00	150 15	0 00	150.15	0 00	0.00	150 15
	15 Oct 09	0 00	0 00	147 98	0 00	147.98	0 00	0 00	147 98
	30 Oct 09	0 00	0 00	144 85	0 00	144 85	0 00	0 00	144 85
	13 Nov 09	0 00	0 00	130 06	0 00	130 06	0 00	0 00	130 06
	30 Nov 09	0 00	0 00	159 56	0 00	159 56	0 00	0 00	159 56
	15 Dec 09	0 00	0 00	142 44	0 00	142 44	0 00	0 00	142 44
	31 Dec 09	0 00	0 00	137 78	0 00	137.78	0 00	0 00	137 78
	15 Jan 10	0 00	0 00	134 45	0 00	134 45	0 00	0 00	134 45
	29 Jan 10	0 00	0 00	134 67	0 00	134 67	0 00	0 00	134 67

Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
	12 Feb 10	0 00	0 00	109 78	0 00	109 78	0 00	0.00	109.78
	26 Feb 10	0 00	0 00	162.27	0 00	162 27	0 00	0 00	162 27
	15 Mar 10	0 00	0 00	140 58	0 00	140 58	0 00	0 00	140 58
	31 Mar 10	0 00	0 00	147 48	0 00	147 48	0 00	0 00	147 48
	30 Apr 10	0 00	0 00	2,120 11	0 00	2,120 11	0 00	0 00	2,120 11
	30 Apr 10	0 00	0 00	98 12	0 00	98 12	0 00	0 00	98 12
	30 Apr 10	0 00	0 00	4 24	0 00	4 24	0 00	0 00	4 24
	30 Apr 10	0 00	0 00	286 73	0 00	286 73	0 00	0 00	286.73
	14 May 10	0 00	0 00	132 07	0 00	132 07	0 00	0 00	132 07
	14 May 10	0 00	0.00	2.13	0 00	2.13	0 00	0 00	2 13
	28 May 10	0 00	0 00	143 66	0 00	143 66	0.00	0 00	143 66
	15 Jun 10	0 00	0 00	128 08	0 00	128 08	0 00	0.00	128 08
	30 Jun 10	0 00	0 00	119 65	0.00	119 65	0 00	0 00	119 65
	15 Jul 10	0 00	0 00	117 19	0 00	117 19	0 00	0 00	117 19
	30 Jul 10	0 00	0 00	116 28	0 00	116 28	0 00	0 00	116 28
31 Mar 10 12 Apr 10	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	3,531 42	0 00	3,531 42	0 00	0 00	3 531 42
	15 May 09	0 00	0 00	2,390 16	0.00	2,390 16	0 00	0 00	2,390 16
	15 May 09	0 00	0 00	69 82	0 00	69 82	0.00	0 00	69 82
	29 May 09	0 00	0 00	535 26	0 00	535 26	0 00	0 00	535 26
	29 May 09	0 00	0 00	4 34	0 00	4 34	0 00	0 00	4 34
	29 May 09	0 00	0 00	292 63	0 00	292 63	0.00	0 00	292 63
	15 Jun 09	0 00	0 00	17 46	0 00	17.46	0 00	0 00	17 46
	15 Jun 09	0 00	0 00	102 14	0 00	102 14	0 00	0 00	102 14
	15 Jun 09	0 00	0 00	6 62	0 00	6 62	0 00	0 00	6 62
	30 Jun 09	0 00	0 00	6 43	0 00	6 43	0 00	0 00	6 43
	30 Jun 09	0 00	0 00	7 31	0 00	7 31	0 00	0 00	7 31

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
	15 Jul 09	0 00	0 00	6 07	0 00	6 07	0 00	0 00	6 07
	31 Jul 09	0 00	0 00	5 97	0 00	5.97	0 00	0 00	5 97
	14 Aug 09	0 00	0 00	5 46	0 00	5 46	0 00	0 00	5 46
	31 Aug 09	0 00	0 00	6 34	0 00	6 34	0 00	0 00	6 34
	15 Sep 09	0 00	0 00	5 79	0 00	5 79	0 00	0 00	5 79
	30 Sep 09	0 00	0 00	5 67	0 00	5 67	0 00	0 00	5 67
	15 Oct 09	0 00	0 00	5 59	0 00	5 59	0 00	0 00	5 59
	30 Oct 09	0 00	0 00	5 47	0 00	5 47	0 00	0 00	5 47
	13 Nov 09	0 00	0 00	4 92	0 00	4 92	0 00	0 00	4 92
	30 Nov 09	0.00	0 00	6 03	0 00	6 03	0.00	0 00	6 03
	15 Dec 09	0 00	0 00	5 38	0 00	5 38	0 00	0 00	5 38
	31 Dec 09	0 00	0 00	5 21	0 00	5 21	0 00	0 00	5 21
	15 Jan 10	0 00	0 00	5 08	0 00	5 08	0 00	0 00	5 08
	29 Jan 10	0 00	0 00	5 09	0 00	5 09	0 00	0 00	5 09
	12 Feb 10	0 00	0 00	4 15	0 00	4 15	0 00	0 00	4 15
	26 Feb 10	0 00	0 00	6 14	0 00	6 14	0 00	0 00	6 14
	15 Mar 10	0 00	0 00	5 32	0 00	5 32	0 00	0 00	5 32
	31 Mar 10	0 00	0 00	5 57	0 00	5 57	0 00	0 00	5 57
15 Sep 10 08 Oct 10	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	48,380 33	48,380 33	0 00	0 00	48,380 33	0 00
	15 May 09	0 00	0 00	31,521 75	31,521 75	0 00	0 00	31,521 75	0 00
	15 May 09	0 00	0 00	920 75	920 75	0 00	0 00	920 75	0 00
	29 May 09	0 00	0 00	7,059.17	7,059 17	0 00	0 00	7,059 17	0 00
	29 May 09	0 00	0 00	57 16	57 16	0 00	0 00	57 16	0 00
	29 May 09	0 00	0 00	3,859 32	3,859 32	0 00	0 00	3,859 32	0 00
	15 Jun 09	0 00	0 00	230 29	230 29	0 00	0 00	230 29	0 00
	15 Jun 09	0 00	0 00	1,347 06	1,347 06	0 00	0 00	1,347 06	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
	15 Jun 09	0 00	0 00	87 20	87 20	0 00	0 00	87 20	0 00
	30 Jun 09	0 00	0 00	84 80	84 80	0 00	0 00	84 80	0 00
	30 Jun 09	0 00	0 00	96 42	96 42	0 00	0 00	96 42	0 00
	15 Jul 09	0 00	0 00	80 06	80 06	0 00	0 00	80 06	0 00
	31 Jul 09	0 00	0 00	78 75	78 75	0 00	0 00	78 75	0 00
	14 Aug 09	0 00	0 00	72 03	72 03	0 00	0 00	72 03	0 00
	31 Aug 09	0 00	0 00	83 64	83 64	0 00	0 00	83 64	0 00
	15 Sep 09	0 00	0 00	76 41	76 41	0 00	0 00	76 41	0 00
	30 Sep 09	0 00	0 00	74 82	74 82	0 00	0 00	74 82	0 00
	15 Oct 09	0 00	0 00	73 74	73 74	0 00	0 00	73 74	0 00
	30 Oct 09	0.00	0 00	72.18	72 18	0.00	0 00	72.18	0.00
	13 Nov 09	0 00	0 00	64 81	64 81	0 00	0 00	64 81	0 00
	30 Nov 09	0 00	0 00	79 51	79 51	0 00	0 00	79 51	0 00
	15 Dec 09	0 00	0 00	70 98	70 98	0 00	0 00	70 98	0 00
	31 Dec 09	0 00	0 00	68 66	68 66	0 00	0 00	68 66	0 00
	15 Jan 10	0 00	0 00	67 00	67 00	0 00	0 00	67 00	0 00
	29 Jan 10	0 00	0 00	67 11	67 11	0 00	0 00	67 11	0 00
	12 Feb 10	0 00	0 00	54 71	54 71	0 00	0 00	54 71	0 00
	26 Feb 10	0 00	0 00	80 86	80 86	0 00	0 00	80 86	0 00
	15 Mar 10	0 00	0 00	70 05	70 05	0 00	0 00	70 05	0 00
	31 Mar 10	0 00	0 00	73 49	73 49	0 00	0 00	73 49	0 00
	30 Apr 10	0 00	0 00	1,056 49	1,056 49	0 00	0 00	1,056 49	0 00
	30 Apr 10	0 00	0 00	48 89	48 89	0 00	0 00	48.89	0 00
	30 Apr 10	0 00	0 00	2 11	2 11	0 00	0 00	2 11	0 00
	30 Apr 10	0 00	0 00	142 88	142 88	0 00	0 00	142 88	0 00
	14 May 10	0 00	0 00	65 81	65 81	0 00	0 00	65 81	0 00
	14 May 10	0 00	0 00	1 06	1 06	0 00	0 00	1 06	0 00
	28 May 10	0 00	0 00	71 59	71 59	0 00	0 00	71 59	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
	15 Jun 10	0 00	0 00	63 83	63 83	0 00	0 00	63 83	0 00
	30 Jun 10	0 00	0 00	59 63	59 63	0 00	0 00	59.63	0 00
	15 Jul 10	0 00	0 00	58 40	58 40	0 00	0 00	58 40	0 00
	30 Jul 10	0 00	0 00	57 95	57 95	0 00	0 00	57 95	0 00
	13 Aug 10	0 00	0 00	49 91	49 91	0 00	0 00	49 91	0 00
	31 Aug 10	0 00	0 00	69 62	69 62	0 00	0 00	69 62	0 00
	15 Sep 10	0 00	0 00	59 43	59 43	0 00	0 00	59 43	0 00
28 May 10 09 Jun 10	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	63,720 75	0 00	63,720 75	0 00	0 00	63,720 75
	15 May 09	0 00	0 00	41,879 13	0 00	41,879 13	0 00	0 00	41,879 13
	15 May 09	0.00	0 00	1,223 28	0 00	1,223 28	0 00	0 00	1,223 28
	29 May 09	0 00	0 00	5,127.41	0 00	5,127.41	0 00	0 00	5,127 41
	29 May 09	0 00	0 00	9,378 66	0 00	9,378 66	0 00	0 00	9,378 66
	29 May 09	0 00	0 00	75 94	0 00	75 94	0 00	0 00	75 94
	15 Jun 09	0 00	0 00	1,789 67	0 00	1,789 67	0 00	0 00	1,789 67
	15 Jun 09	0 00	0 00	305 97	0 00	305 97	0 00	0 00	305 97
	15 Jun 09	0 00	0 00	115 86	0 00	115 86	0 00	0 00	115 86
	30 Jun 09	0 00	0 00	112 66	0 00	112 66	0 00	0 00	112 66
	30 Jun 09	0 00	0 00	128 10	0 00	128 10	0 00	0 00	128 10
	15 Jul 09	0 00	0 00	106 37	0 00	106 37	0 00	0 00	106 37
	31 Jul 09	0 00	0 00	104 63	0 00	104 63	0 00	0 00	104 63
	14 Aug 09	0 00	0 00	95 71	0 00	95 71	0 00	0 00	95 71
	31 Aug 09	0 00	0 00	111 12	0 00	111 12	0 00	0 00	111.12
	15 Sep 09	0 00	0 00	101 52	0 00	101 52	0 00	0 00	101 52
	30 Sep 09	0.00	0 00	99 41	0 00	99 41	0 00	0 00	99 41
	15 Oct 09	0 00	0 00	97 97	0 00	97 97	0 00	0 00	97 97
	30 Oct 09	0 00	0 00	95 89	0 00	95 89	0 00	0 00	95 89

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
	13 Nov 09	0 00	0 00	86 10	0 00	86 10	0 00	0 00	86 10
	30 Nov 09	0 00	0 00	105 64	0 00	105 64	0 00	0 00	105 64
	15 Dec 09	0 00	0 00	94 30	0 00	94,30	0 00	0 00	94,30
	31 Dec 09	0 00	0 00	91 22	0 00	91 22	0 00	0 00	91 22
	15 Jan 10	0 00	0 00	89 02	0 00	89 02	0 00	0 00	89 02
	29 Jan 10	0 00	0.00	89 16	0 00	89 16	0 00	0 00	89 16
	12 Feb 10	0 00	0 00	72 68	0 00	72 68	0 00	0 00	72 68
	26 Feb 10	0 00	0 00	107 43	0 00	107 43	0 00	0 00	107 43
	15 Mar 10	0 00	0 00	93 07	0 00	93 07	0 00	0 00	93 07
	31 Mar 10	0 00	0 00	97 64	0 00	97 64	0 00	0 00	97 64
	30 Apr 10	0 00	0 00	189 83	0 00	189 83	0 00	0 00	189 83
	30 Apr 10	0 00	0 00	1,403 63	0 00	1,403 63	0 00	0 00	1,403 63
	30 Apr 10	0 00	0 00	2 81	0 00	2 81	0 00	0 00	2.81
	30 Apr 10	0 00	0 00	64 96	0 00	64 96	0 00	0 00	64 96
	14 May 10	0 00	0 00	1 41	0 00	1 41	0 00	0 00	1 41
	14 May 10	0.00	0 00	87 44	0 00	87 44	0 00	0 00	87 44
	28 May 10	0 00	0 00	95 11	0 00	95 11	0 00	0 00	95 11
12 Feb 10 09 Mar 10	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	31,596 90	31,596 90	0 00	0 00	31,596 90	0 00
	15 May 09	0 00	0 00	21,489 22	21,489 22	0 00	0.00	21,489 22	0 00
	15 May 09	0 00	0 00	627 69	627 69	0 00	0 00	627 69	0 00
	29 May 09	0 00	0 00	38 97	38 97	0 00	0 00	38 97	0 00
	29 May 09	0 00	0 00	2,631 00	2,631 00	0 00	0 00	2,631 00	0 00
	29 May 09	0 00	0 00	4,812 42	4,812 42	0 00	0 00	4,812 42	0 00
	15 Jun 09	0 00	0 00	157 00	157 00	0 00	0.00	157.00	0 00
	15 Jun 09	0 00	0 00	918 32	918 32	0 00	0 00	918 32	0 00
	15 Jun 09	0 00	0 00	59 45	59 45	0 00	0 00	59 45	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss – Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Corporate bonds									
United States									
	30 Jun 09	0 00	0 00	57 81	57 81	0 00	0 00	57 81	0 00
	30 Jun 09	0 00	0 00	65 73	65 73	0 00	0 00	65 73	0 00
	15 Jul 09	0 00	0 00	54 58	54 58	0 00	0 00	54 58	0 00
	31 Jul 09	0 00	0.00	53 69	53 69	0 00	0 00	53 69	0 00
	14 Aug 09	0 00	0 00	49 10	49 10	0 00	0 00	49 10	0 00
	31 Aug 09	0 00	0 00	57 02	57 02	0 00	0 00	57.02	0 00
	15 Sep 09	0 00	0 00	52 09	52 09	0 00	0 00	52 09	0 00
	30 Sep 09	0 00	0 00	51 01	51 01	0 00	0 00	51 01	0 00
	15 Oct 09	0 00	0 00	50 27	50 27	0 00	0 00	50 27	0 00
	30 Oct 09	0 00	0 00	49 21	49 21	0 00	0 00	49 21	0 00
	13 Nov 09	0 00	0 00	44 18	44 18	0 00	0 00	44 18	0 00
	30 Nov 09	0 00	0 00	54 21	54 21	0 00	0 00	54 21	0 00
	15 Dec 09	0 00	0 00	48 39	48 39	0 00	0 00	48 39	0 00
	31 Dec 09	0 00	0 00	46 81	46 81	0 00	0 00	46 81	0 00
	15 Jan 10	0 00	0 00	45 68	45 68	0 00	0 00	45 68	0 00
	29 Jan 10	0 00	0 00	45 75	45.75	0 00	0 00	45.75	0 00
	12 Feb 10	0 00	0 00	37 30	37 30	0 00	0 00	37 30	0 00
Total United States			**0.00**	**1,300,831.64**	**670,607.46**	**630,224.18**	**0.00**	**670,607.46**	**630,224.18**
Total S/T translation gain/loss for fixed income							**0.00**		
Total L/T translation gain/loss for fixed income							**0.00**		
Total realized gains for fixed income					**705,278.83**	**630,506.24**	**0.00**	**705,278.83**	**630,506.24**
Total realized losses for fixed income					**-34,671.37**	**-282.06**	**0.00**	**-34,671.37**	**-282.06**
Total Fixed Income			**0.00**	**1,300,831.64**	**670,607.46**	**630,224.18**	**0.00**	**670,607.46**	**630,224.18**
Total Other Gain/Loss			**0.00**	**1,300,831.64**	**670,607.46**	**630,224.18**	**0.00**	**670,607.46**	**630,224.18**

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Capital Changes									
Equities									
Common stock									
Europe Region									
07 Jul 10 07 Jul 10	#REORG/ROYAL DUTCH PETE CASH MERGER EFF 12/21/05 CUSIP 780257804	0 00	296 83	0 00	0 00	296 83	0 00	0 00	296 83
Total Europe Region			**296.83**	**0.00**	**0.00**	**296.83**	**0.00**	**0.00**	**296.83**
United States									
30 Aug 10 30 Aug 10	# REORG K N ENERGY INC COMN/C KINDER MORGAN SEE# 2453314 CUSIP 482620101	0 00	15 25	0 00	0 00	15 25	0 00	0 00	15 25
28 Jun 10 28 Jun 10	##RAYTHEON CO CL B COM CUSIP 755111408	0 00	541 20	0 00	0 00	541 20	0 00	0 00	541 20
27 Jan 10 27 Jan 10	#REORG TIME WARNER STK MERGER TO AOL TIME WARNER INC 2157776 @1 5 1/11/01 CUSIP 887315109	0 00	2,154 86	0 00	0 00	2,154 86	0 00	0 00	2,154 86
21 Sep 10 21 Sep 10	#REORG/AMERICAN REV SPLIT TO AMERICAN INTERNATIONL GRP 2053139 6/30/09 CUSIP 026874107	0 00	855 55	0 00	0 00	855 55	0 00	0 00	855 55
04 Nov 10 04 Nov 10	#REORG/CENDANT CORP N/C REV SPLIT TO AVIS BUDGET 2026884 EFF DATE 9-5-06 CUSIP 151313103	0 00	380 42	0 00	0 00	380 42	0 00	0 00	380 42
08 Mar 10 08 Mar 10	#REORG/LUCENT TECHNOLOGIES INC STK MERGER TO ALCATEL 2005912 EFF 11-30-06 CUSIP 549463107	0 00	71 58	0 00	0 00	71 58	0 00	0 00	71 58
15 Apr 10 15 Apr 10	#REORG/QWEST STOCK MERGER CENTURYLINK INC COM 2117900 4-1-2011 CUSIP 749121109	0 00	358 94	0 00	0 00	358 94	0 00	0 00	358 94

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Capital Changes									
Equities									
Common stock									
United States									
13 Sep 10 13 Sep 10	#REORG/TYCO INTL LTD REV SPLIT TO TYCO INTL LTD 2033035 EFF 6/29/07 CUSIP 902124106	0 00	3,557 81	0 00	0 00	3,557 81	0 00	0 00	3,557 81
03 Sep 10 03 Sep 10	CONAGRA FOODS INC CUSIP 205887102	0 00	2,099 17	0 00	0 00	2,099 17	0 00	0 00	2,099 17
29 Nov 10 29 Nov 10	EL PASO CORP COM CUSIP 28336L109	0 00	361 33	0 00	0 00	361 33	0 00	0 00	361 33
24 Dec 10 24 Dec 10	FOREST LABORATORIES INC CUSIP 345838106	0 00	234 01	0 00	0 00	234 01	0 00	0 00	234 01
14 May 10 14 May 10	KLA-TENCOR CORP CUSIP 482480100	0 00	1,472 34	0 00	0 00	1,472.34	0 00	0 00	1,472 34
02 Dec 10 02 Dec 10	U S CL ACTIONS (DELISTED/VAR CUSIPS) CUSIP 181994104	0 00	470 03	0 00	0 00	470 03	0 00	0 00	470 03
22 Feb 10 22 Feb 10	WILLIAMS CO INC COM CUSIP 969457100	0 00	1,767 75	0 00	0 00	1,767 75	0 00	0 00	1,767 75
07 Jan 10 07 Jan 10	XEROX CORP COM CUSIP 984121103	0 00	10,341 45	0 00	0 00	10,341 45	0 00	0 00	10,341 45
Total United States			**24,681.69**	**0.00**	**0.00**	**24,681.69**	**0.00**	**0.00**	**24,681.69**
Value indeterminable									
United States									
07 Apr 10 07 Apr 10	WORLDCOM INC/WORLDCO CUSIP 98157D106	0 00	40 80	0 00	0 00	40 80	0 00	0 00	40 80

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Capital Changes									
Equities									
Value indeterminable									
Total United States			40.80	0.00	0.00	40.80	0.00	0.00	40.80
Total S/T translation gain/loss for equities							0.00		
Total L/T translation gain/loss for equities							0.00		
Total realized gains for equities					0.00	25,019.32	0.00	0.00	25,019.32
Total realized losses for equities					0.00	0.00	0.00	0.00	0.00
Total Equities			25,019.32	0.00	0.00	25,019.32	0.00	0.00	25,019.32
Total Capital Changes			25,019.32	0.00	0.00	25,019.32	0.00	0.00	25,019.32

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Realized gain/loss: Market Long Term	Realized gain/loss: Translation	Realized gain/loss: Total Short Term	Realized gain/loss: Total Long Term
	Total Short Term Gain Proceeds		382,355.43						
	Total Short Term Loss Proceeds		133,144.45						
	Total Long Term Gain Proceeds		160,818.03						
	Total Long Term Loss Proceeds		579,528.59						
	Total Undetermined Tax Lot Proceeds		-535,914.27						
	Additional Short Term Proceeds from Zero G/L Transactions		0.00						
	Additional Long Term Proceeds from Zero G/L Transactions		0.00						
	Short Term Proceeds due to REIT Re-Allocation		0.00						
	Long Term Proceeds due to REIT Re-Allocation		0.00						
	Total Short Term Proceeds		615,499.88	1255847					
	Total Long Term Proceeds		740,346.62						
	Total S/T translation gain/loss						0.00		
	Total L/T translation gain/loss						0.00		
	Total realized gains				717,502.69	664,529.16	0.00	717,602.69	664,529.16
	Total realized losses				-44,639.01	-3,615.28	0.00	-44,639.01	-3,615.28
	Total realized gain/loss		1791760 ~~719,932.23~~	457982 ~~613,845.33~~	672,863.68	660,913.88	0.00	672,863.68	660,913.88

$1,333,778

Please note that this report has been prepared using best available data This report may also contain information provided by third parties, derived by third parties or derived from third party data and/or data that may have been categorized or otherwise reported based upon client direction - Northern Trust assumes no responsibility for the accuracy, timeliness or completeness of any such information Northern Trust assumes no responsibility for the consequences of investment decisions made in reliance on information contained in this report If you have questions regarding third party data or direction as it relates to this report, please contact your Northern Trust relationship team

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Northern Trust records impairment entries pursuant to client direction Impairment entries recorded to satisfy financial accounting and reporting requirements may not be suitable for tax or for other regulatory reporting purposes Determining appropriate impairment adjustments is the responsibility of the client

STMT D-1

Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Capital Changes									
Equities									
Common stock									
United States									
27 Jan 10 27 Jan 10	#REORG TIME WARNER STK MERGER TO AOL TIME WARNER INC 2157776 @1 5 1/11/01 CUSIP 887315109	0 00	966 74	0 00	0 00	966 74	0 00	0 00	966 74
04 Nov 10 04 Nov 10	#REORG/CENDANT CORP N/C REV SPLIT TO AVIS BUDGET 2026884 EFF DATE 9-5-06 CUSIP 151313103	0 00	12,249 99	0 00	0 00	12,249 99	0 00	0 00	12,249 99
Total United States			**13,216.73**	**0.00**	**0.00**	**13,216.73**	**0.00**	**0.00**	**13,216.73**
Value indeterminable									
United States									
07 Apr 10 07 Apr 10	WORLDCOM INC/WORLDCO CUSIP 98157D106	0 00	12 84	0 00	0 00	12 84	0 00	0 00	12 84
Total United States			**12.84**	**0.00**	**0.00**	**12.84**	**0.00**	**0.00**	**12.84**
Total S/T translation gain/loss for equities							**0.00**		
Total L/T translation gain/loss for equities							**0.00**		
Total realized gains for equities					**0.00**	**13,229.57**	**0.00**	**0.00**	**13,229.57**
Total realized losses for equities					**0.00**	**0.00**	**0.00**	**0.00**	**0.00**
Total Equities			**13,229.57**	**0.00**	**0.00**	**13,229.57**	**0.00**	**0.00**	**13,229.57**
Total Capital Changes			**13,229.57**	**0.00**	**0.00**	**13,229.57**	**0.00**	**0.00**	**13,229.57**

STMT D-2

STMT D-2

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Total Short Term Gain Proceeds			0.00						
Total Short Term Loss Proceeds			0.00						
Total Long Term Gain Proceeds			0.00						
Total Long Term Loss Proceeds			0.00						
Total Undetermined Tax Lot Proceeds			13,229.57						
Additional Short Term Proceeds from Zero G/L Transactions			0.00						
Additional Long Term Proceeds from Zero G/L Transactions			0.00						
Short Term Proceeds due to REIT Re-Allocation			0.00						
Long Term Proceeds due to REIT Re-Allocation			0.00						
Total Short Term Proceeds			0.00						
Total Long Term Proceeds			0.00						
Total S/T translation gain/loss							0.00		
Total L/T translation gain/loss							0.00		
Total realized gains					0.00	13,229.57	0.00	0.00	13,229.57
Total realized losses					0.00	0.00	0.00	0.00	0.00
Total realized gain/loss			13,229.57	0.00	0.00	13,229.57	0.00	0.00	13,229.57

Gurtin Fixed Income

REALIZED GAINS AND LOSSES BY SECURITY TYPE

MIMI AND PETER HAAS FUND

Charles Schwab 20905807

vs905807

From 01-01-10 Through 12-31-10

Open Date	Close Date	Quantity	CUSIP	Security	Original Cost	YTD Amort. or Accretion	Total Amort. or Accretion	Adjusted Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
TREASURY BILLS											
10-29-09	01-07-10	3,000,000	912795r78	TREASURY BILL NONE 0 000% Due 01-07-10	2,999,885 00	9 86	115 00	3,000,000 00	3,000,000 00	0 00	
12-09-09	01-07-10	1,500,000	912795r78	TREASURY BILL NONE 0 000% Due 01-07-10	1,500,000 00	0 00	0 00	1,500,000 00	1,500,000 00	0 00	
					4,499,885 00	9 86	115 00	4,500,000 00	4,500,000 00	0 00	0 00
12-15-09	01-14-10	2,500,000	912795r86	TREASURY BILL NONE 0 000% Due 01-14-10	2,500,000 00	0 00	0 00	2,500,000 00	2,500,000 00	0 00	
01-07-10	01-21-10	4,500,000	912795r94	TREASURY BILL NONE 0 000% Due 01-21-10	4,499,991 88	8 12	8 12	4,500,000 00	4,500,000 00	0 00	
10-27-09	01-28-10	3,000,000	912795s77	TREASURY BILL NONE 0 000% Due 01-28-10	2,999,463 33	155 82	536 67	3,000,000 00	3,000,000 00	0 00	
12-29-09	01-28-10	1,250,000	912795s77	TREASURY BILL NONE 0 000% Due 01-28-10	1,249,994 97	4 53	5 03	1,250,000 00	1,250,000 00	0 00	
					4,249,458 30	160 34	541 70	4,250,000 00	4,250,000 00	0 00	0 00
01-07-10	02-04-10	4,500,000	912795s85	TREASURY BILL NONE 0 000% Due 02-04-10	4,499,966 25	33 75	33 75	4,500,000 00	4,500,000 00	0 00	
12-29-09	02-11-10	3,500,000	912795t27	TREASURY BILL NONE 0 000% Due 02-11-10	3,499,989 55	9 74	10 45	3,500,000 00	3,500,000 00	0 00	
01-22-10	02-11-10	1,100,000	912795t27	TREASURY BILL NONE 0 000% Due 02-11-10	1,099,994 80	5 20	5 20	1,100,000 00	1,100,000 00	0 00	
					4,599,984 35	14 94	15 65	4,600,000 00	4,600,000 00	0 00	0 00
12-29-09	02-16-10	500,000	912795t35	TREASURY BILL NONE 0 000% Due 02-18-10	499,998 26	1 60	1 70	499,999 97	500,000 00	0 03	
12-29-09	02-18-10	3,000,000	912795t35	TREASURY BILL NONE 0 000% Due 02-18-10	2,999,989 59	9 80	10 41	3,000,000 00	3,000,000 00	0 00	
					3,499,987 85	11 40	12 12	3,499,999 97	3,500,000 00	0 03	0 00
12-29-09	02-25-10	3,500,000	912795t43	TREASURY BILL NONE 0 000% Due 02-25-10	3,499,930 73	65 69	69 27	3,500,000 00	3,500,000 00	0 00	

STMT D-3

Gurtin Fixed Income

REALIZED GAINS AND LOSSES BY SECURITY TYPE

MIMI AND PETER HAAS FUND

Charles Schwab 20905807

vs905807

From 01-01-10 Through 12-31-10

Open Date	Close Date	Quantity	CUSIP	Security	Original Cost	YTD Amort. or Accretion	Total Amort. or Accretion	Adjusted Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
01-21-10	02-25-10	3,400,000	912795t43	TREASURY BILL NONE 0 000% Due 02-25-10	3,399,983 94	16 06	16 06	3,400,000 00	3,400,000 00	0 00	
					6,899,914 67	81 75	85 33	6,900,000 00	6,900,000 00	0 00	0 00
01-14-10	03-04-10	3,300,000	912795t50	TREASURY BILL NONE 0 000% Due 03-04-10	3,299,967 00	33 00	33 00	3,300,000 00	3,300,000 00	0 00	
01-28-10	03-11-10	4,200,000	912795t68	TREASURY BILL NONE 0 000% Due 03-11-10	4,199,856 50	143 50	143 50	4,200,000 00	4,200,000 00	0 00	
02-09-10	03-18-10	4,500,000	912795t76	TREASURY BILL NONE 0 000% Due 03-18-10	4,499,775 00	225 00	225 00	4,500,000 00	4,500,000 00	0 00	
02-11-10	03-25-10	4,700,000	912795t84	TREASURY BILL NONE 0 000% Due 03-25-10	4,699,759 13	240 87	240 87	4,700,000 00	4,700,000 00	0 00	
02-17-10	04-08-10	2,800,000	912795u33	TREASURY BILL NONE 0 000% Due 04-08-10	2,799,766 67	233 33	233 33	2,800,000 00	2,800,000 00	0 00	
02-26-10	05-06-10	6,900,000	912795u41	TREASURY BILL NONE 0 000% Due 05-06-10	6,898,756 85	1,243 15	1,243 15	6,900,000 00	6,900,000 00	0 00	
03-18-10	06-03-10	4,500,000	912795u58	TREASURY BILL NONE 0 000% Due 06-03-10	4,498,717 50	1,282 50	1,282 50	4,500,000 00	4,500,000 00	0 00	
04-08-10	07-01-10	2,800,000	912795u66	TREASURY BILL NONE 0 000% Due 07-01-10	2,798,967 11	1,032 89	1,032 89	2,800,000 00	2,800,000 00	0 00	
05-13-10	07-29-10	4,100,000	912795u74	TREASURY BILL NONE 0 000% Due 07-29-10	4,098,693 01	1,306 99	1,306 99	4,100,000 00	4,100,000 00	0 00	
06-18-10	07-29-10	2,500,000	912795u74	TREASURY BILL NONE 0 000% Due 07-29-10	2,499,854 86	145 14	145 14	2,500,000 00	2,500,000 00	0 00	
					6,598,547 87	1,452 13	1,452 13	6,600,000 00	6,600,000 00	0 00	0 00
06-10-10	08-24-10	300,000	912795u82	TREASURY BILL NONE 0 000% Due 08-26-10	299,951 55	47 82	47 82	299,999 37	299,998 25	-1 12	
06-10-10	08-26-10	5,500,000	912795u82	TREASURY BILL NONE 0 000% Due 08-26-10	5,499,111 83	888 17	888 17	5,500,000 00	5,500,000 00	0 00	

STMT D-3

Gurtin Fixed Income

REALIZED GAINS AND LOSSES BY SECURITY TYPE

MIMI AND PETER HAAS FUND

Charles Schwab 20905807

vs905807

From 01-01-10 Through 12-31-10

Open Date	Close Date	Quantity	CUSIP	Security	Original Cost	YTD Amort. or Accretion	Total Amort. or Accretion	Adjusted Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
08-12-10	08-26-10	800,000	912795u82	TREASURY BILL NONE 0 000% Due 08-26-10	799,959 56	40 44	40 44	800,000 00	800,000 00	0 00	
					6,599,022 94	976 43	976 43	6,599,999 37	6,599,998 25	-1 12	0 00
07-22-10	09-23-10	7,700,000	912795u90	TREASURY BILL NONE 0 000% Due 09-23-10	7,698,010 83	1,989 17	1,989 17	7,700,000 00	7,700,000 00	0 00	
08-26-10	10-21-10	6,300,000	912795uh2	TREASURY BILL NONE 0 000% Due 10-21-10	6,298,652 50	1,347 50	1,347 50	6,300,000 00	6,300,000 00	0 00	
09-23-10	11-18-10	6,500,000	912795uj8	TREASURY BILL NONE 0 000% Due 11-18-10	6,498,483 33	1,516 67	1,516 67	6,500,000 00	6,500,000 00	0 00	
10-21-10	12-16-10	6,300,000	912795uk5	TREASURY BILL NONE 0 000% Due 12-16-10	6,298,539 80	1,460 20	1,460 20	6,300,000 00	6,300,000 00	0 00	
11-19-09	04-01-10	3,500,000	912795ul3	TREASURY BILL NONE 0 000% Due 04-01-10	3,499,550 83	303 96	449 17	3,500,000 00	3,500,000 00	0 00	
11-19-09	04-15-10	3,400,000	912795um1	TREASURY BILL NONE 0 000% Due 04-15-10	3,398,965 83	731 69	1,034 17	3,400,000 00	3,400,000 00	0 00	
02-23-10	04-22-10	2,500,000	912795un9	TREASURY BILL NONE 0 000% Due 04-22-10	2,499,659 58	340 42	340 42	2,500,000 00	2,500,000 00	0 00	
01-29-10	04-29-10	6,000,000	912795up4	TREASURY BILL NONE 0 000% Due 04-29-10	5,998,950 00	1,050 00	1,050 00	6,000,000 00	6,000,000 00	0 00	
03-02-10	05-13-10	3,100,000	912795uq2	TREASURY BILL NONE 0 000% Due 05-13-10	3,099,333 50	666 50	666 50	3,100,000 00	3,100,000 00	0 00	
04-21-10	05-13-10	1,000,000	912795uq2	TREASURY BILL NONE 0 000% Due 05-13-10	999,920 67	79 33	79 33	1,000,000 00	1,000,000 00	0 00	
					4,099,254 17	745 83	745 83	4,100,000 00	4,100,000 00	0 00	0 00
03-04-10	05-20-10	3,300,000	912795ur0	TREASURY BILL NONE 0 000% Due 05-20-10	3,299,121 24	878 76	878 76	3,300,000 00	3,300,000 00	0 00	
04-06-10	05-20-10	2,600,000	912795ur0	TREASURY BILL NONE 0 000% Due 05-20-10	2,599,541 93	458 07	458 07	2,600,000 00	2,600,000 00	0 00	

STMT D-3

Gurtin Fixed Income

REALIZED GAINS AND LOSSES BY SECURITY TYPE

MIMI AND PETER HAAS FUND

Charles Schwab 20905807

vs905807

From 01-01-10 Through 12-31-10

Open Date	Close Date	Quantity	CUSIP	Security	Original Cost	YTD Amort. or Accretion	Total Amort. or Accretion	Adjusted Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
04-20-10	05-20-10	600,000	912795ur0	TREASURY BILL NONE 0 000% Due 05-20-10	599,932 33	67 67	67 67	600,000 00	600,000 00	0 00	
					6,498,595 50	1,404 50	1,404 50	6,500,000 00	6,500,000 00	0 00	0 00
03-12-10	05-27-10	4,200,000	912795us8	TREASURY BILL NONE 0 000% Due 05-27-10	4,198,794 13	1,205 87	1,205 87	4,200,000 00	4,200,000 00	0 00	
04-20-10	05-27-10	500,000	912795us8	TREASURY BILL NONE 0 000% Due 05-27-10	499,930 50	69 50	69 50	500,000 00	500,000 00	0 00	
					4,698,724 63	1,275 37	1,275 37	4,700,000 00	4,700,000 00	0 00	0 00
09-03-09	06-10-10	2,400,000	912795ut6	CASH MGMT BILL NONE 0 000% Due 06-10-10	2,393,815 50	3,535 95	6,184 50	2,400,000 00	2,400,000 00	0 00	
04-22-10	06-10-10	2,500,000	912795ut6	CASH MGMT BILL NONE 0 000% Due 06-10-10	2,499,523 61	476 39	476 39	2,500,000 00	2,500,000 00	0 00	
					4,893,339 11	4,012 34	6,660 89	4,900,000 00	4,900,000 00	0 00	0 00
03-22-10	06-17-10	2,500,000	912795uu3	TREASURY BILL NONE 0 000% Due 06-17-10	2,499,163 89	836 11	836 11	2,500,000 00	2,500,000 00	0 00	
03-25-10	06-24-10	4,700,000	912795uv1	TREASURY BILL NONE 0 000% Due 06-24-10	4,698,460 75	1,539 25	1,539 25	4,700,000 00	4,700,000 00	0 00	
04-15-10	07-08-10	4,900,000	912795uw9	TREASURY BILL NONE 0 000% Due 07-08-10	4,898,418 39	1,581 61	1,581 61	4,900,000 00	4,900,000 00	0 00	
04-30-10	07-15-10	6,000,000	912795uy5	TREASURY BILL NONE 0 000% Due 07-15-10	5,998,074 67	1,925 33	1,925 33	6,000,000 00	6,000,000 00	0 00	
05-07-10	07-22-10	6,900,000	912795uz2	TREASURY BILL NONE 0 000% Due 07-22-10	6,898,390 96	1,609 04	1,609 04	6,900,000 00	6,900,000 00	0 00	
07-07-10	07-22-10	800,000	912795uz2	TREASURY BILL NONE 0 000% Due 07-22-10	799,949 33	50 67	50 67	800,000 00	800,000 00	0 00	
					7,698,340 29	1,659 71	1,659 71	7,700,000 00	7,700,000 00	0 00	0 00
05-20-10	08-05-10	6,500,000	912795v24	TREASURY BILL NONE 0 000% Due 08-05-10	6,498,010 28	1,989 72	1,989 72	6,500,000 00	6,500,000 00	0 00	

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Gurtin Fixed Income

REALIZED GAINS AND LOSSES BY SECURITY TYPE

MIMI AND PETER HAAS FUND

Charles Schwab 20905807

vs905807

From 01-01-10 Through 12-31-10

Open Date	Close Date	Quantity	CUSIP	Security	Original Cost	YTD Amort. or Accretion	Total Amort. or Accretion	Adjusted Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
05-26-10	08-12-10	4,700,000	912795v57	TREASURY BILL NONE 0 000% Due 08-12-10	4,698,592 61	1,407 39	1,407 39	4,700,000 00	4,700,000 00	0 00	
07-01-10	08-12-10	2,800,000	912795v57	TREASURY BILL NONE 0 000% Due 08-12-10	2,799,478 97	521 03	521 03	2,800,000 00	2,800,000 00	0 00	
					7,498,071 58	1,928 42	1,928 42	7,500,000 00	7,500,000 00	0 00	0 00
06-03-10	08-19-10	4,500,000	912795v65	TREASURY BILL NONE 0 000% Due 08-19-10	4,498,860 00	1,140 00	1,140 00	4,500,000 00	4,500,000 00	0 00	
06-24-10	08-19-10	4,300,000	912795v65	TREASURY BILL NONE 0 000% Due 08-19-10	4,299,297 67	702 33	702 33	4,300,000 00	4,300,000 00	0 00	
					8,798,157 67	1,842 33	1,842 33	8,800,000 00	8,800,000 00	0 00	0 00
07-08-10	09-02-10	4,100,000	912795v73	TREASURY BILL NONE 0 000% Due 09-02-10	4,099,029 10	970 90	970 90	4,100,000 00	4,100,000 00	0 00	
08-12-10	09-02-10	2,500,000	912795v73	TREASURY BILL NONE 0 000% Due 09-02-10	2,499,810 42	189 58	189 58	2,500,000 00	2,500,000 00	0 00	
					6,598,839 52	1,160 48	1,160 48	6,600,000 00	6,600,000 00	0 00	0 00
07-29-10	09-09-10	6,400,000	912795va6	TREASURY BILL NONE 0 000% Due 09-09-10	6,398,917 33	1,082 67	1,082 67	6,400,000 00	6,400,000 00	0 00	
07-15-10	09-16-10	6,000,000	912795vb4	TREASURY BILL NONE 0 000% Due 09-16-10	5,998,419 75	1,580 25	1,580 25	6,000,000 00	6,000,000 00	0 00	
08-12-10	09-16-10	600,000	912795vb4	TREASURY BILL NONE 0 000% Due 09-16-10	599,921 25	78 75	78 75	600,000 00	600,000 00	0 00	
					6,598,341 00	1,659 00	1,659 00	6,600,000 00	6,600,000 00	0 00	0 00
08-12-10	09-24-10	1,900,000	912795vc2	TREASURY BILL NONE 0 000% Due 09-30-10	1,899,619 84	341 36	341 36	1,899,961 21	1,899,981 63	20 42	
08-12-10	09-30-10	1,500,000	912795vc2	TREASURY BILL NONE 0 000% Due 09-30-10	1,499,699 88	300 12	300 12	1,500,000 00	1,500,000 00	0 00	
08-19-10	09-30-10	2,400,000	912795vc2	TREASURY BILL NONE 0 000% Due 09-30-10	2,399,608 00	392 00	392 00	2,400,000 00	2,400,000 00	0 00	
					5,798,927 72	1,033 49	1,033 49	5,799,961 21	5,799,981 63	20 42	0 00
08-06-10	10-07-10	6,000,000	912795w23	TREASURY BILL NONE 0 000% Due 10-07-10	5,998,610 17	1,389 83	1,389 83	6,000,000 00	6,000,000 00	0 00	

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Gurtin Fixed Income

REALIZED GAINS AND LOSSES BY SECURITY TYPE

MIMI AND PETER HAAS FUND

Charles Schwab 20905807

vs905807

From 01-01-10 Through 12-31-10

Open Date	Close Date	Quantity	CUSIP	Security	Original Cost	YTD Amort. or Accretion	Total Amort. or Accretion	Adjusted Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
08-09-10	10-07-10	200,000	912795w23	TREASURY BILL NONE 0 000% Due 10-07-10	199,955 42	44 58	44 58	200,000 00	200,000 00	0 00	
08-12-10	10-07-10	200,000	912795w23	TREASURY BILL NONE 0 000% Due 10-07-10	199,956 76	43 24	43 24	200,000 00	200,000 00	0 00	
					6,398,522 35	1,477 65	1,477 65	6,400,000 00	6,400,000 00	0 00	0 00
08-19-10	10-14-10	6,400,000	912795w31	TREASURY BILL NONE 0 000% Due 10-14-10	6,398,695 82	1,304 18	1,304 18	6,400,000 00	6,400,000 00	0 00	
09-01-10	10-14-10	2,000,000	912795w31	TREASURY BILL NONE 0 000% Due 10-14-10	1,999,778 33	221 67	221 67	2,000,000 00	2,000,000 00	0 00	
					8,398,474 15	1,525 85	1,525 85	8,400,000 00	8,400,000 00	0 00	0 00
09-02-10	10-28-10	4,600,000	912795w49	TREASURY BILL NONE 0 000% Due 10-28-10	4,599,177 11	822 89	822 89	4,600,000 00	4,600,000 00	0 00	
10-13-10	10-28-10	100,000	912795w49	TREASURY BILL NONE 0 000% Due 10-28-10	99,995 10	4 90	4 90	100,000 00	100,000 00	0 00	
10-14-10	10-28-10	1,600,000	912795w49	TREASURY BILL NONE 0 000% Due 10-28-10	1,599,937 16	62 84	62 84	1,600,000 00	1,600,000 00	0 00	
					6,299,109 37	890 63	890 63	6,300,000 00	6,300,000 00	0 00	0 00
09-09-10	11-04-10	6,400,000	912795w56	TREASURY BILL NONE 0 000% Due 11-04-10	6,398,705 78	1,294 22	1,294 22	6,400,000 00	6,400,000 00	0 00	
09-23-10	11-04-10	1,200,000	912795w56	TREASURY BILL NONE 0 000% Due 11-04-10	1,199,818 00	182 00	182 00	1,200,000 00	1,200,000 00	0 00	
					7,598,523 78	1,476 22	1,476 22	7,600,000 00	7,600,000 00	0 00	0 00
09-16-10	11-12-10	6,500,000	912795w72	TREASURY BILL NONE 0 000% Due 11-12-10	6,498,533 89	1,466 11	1,466 11	6,500,000 00	6,500,000 00	0 00	
09-30-10	11-26-10	3,800,000	912795w80	TREASURY BILL NONE 0 000% Due 11-26-10	3,799,196 78	803 22	803 22	3,800,000 00	3,800,000 00	0 00	
10-14-10	11-26-10	500,000	912795w80	TREASURY BILL NONE 0 000% Due 11-26-10	499,912 51	87 49	87 49	500,000 00	500,000 00	0 00	
10-28-10	11-26-10	2,000,000	912795w80	TREASURY BILL NONE 0 000% Due 11-26-10	1,999,798 61	201 39	201 39	2,000,000 00	2,000,000 00	0 00	
					6,298,907 90	1,092 10	1,092 10	6,300,000 00	6,300,000 00	0 00	0 00

STMT D-3

Gurtin Fixed Income

REALIZED GAINS AND LOSSES BY SECURITY TYPE

MIMI AND PETER HAAS FUND

Charles Schwab 20905807

vs905807

From 01-01-10 Through 12-31-10

Open Date	Close Date	Quantity	CUSIP	Security	Original Cost	YTD Amort. or Accretion	Total Amort. or Accretion	Adjusted Cost Basis	Proceeds	Gain Or Loss	
										Short Term	Long Term
10-07-10	12-02-10	6,300,000	912795w98	TREASURY BILL NONE 0 000% Due 12-02-10	6,298,628 00	1,372 00	1,372 00	6,300,000 00	6,300,000 00	0 00	
10-14-10	12-07-10	500,000	912795x30	TREASURY BILL NONE 0 000% Due 12-09-10	499,883 33	114 58	114 58	499,997 92	499,998 26	0 34	
10-14-10	12-09-10	5,800,000	912795x30	TREASURY BILL NONE 0 000% Due 12-09-10	5,798,646 67	1,353 33	1,353 33	5,800,000 00	5,800,000 00	0 00	
					6,298,530 00	1,467 92	1,467 92	6,299,997 92	6,299,998 26	0 34	0 00
10-28-10	12-23-10	4,300,000	912795x48	TREASURY BILL NONE 0 000% Due 12-23-10	4,299,030 11	969 89	969 89	4,300,000 00	4,300,000 00	0 00	
11-04-10	12-23-10	1,300,000	912795x48	TREASURY BILL NONE 0 000% Due 12-23-10	1,299,759 36	240 64	240 64	1,300,000 00	1,300,000 00	0 00	
11-18-10	12-23-10	200,000	912795x48	TREASURY BILL NONE 0 000% Due 12-23-10	199,976 47	23 53	23 53	200,000 00	200,000 00	0 00	
11-24-10	12-23-10	500,000	912795x48	TREASURY BILL NONE 0 000% Due 12-23-10	499,943 75	56 25	56 25	500,000 00	500,000 00	0 00	
					6,298,709 69	1,290 31	1,290 31	6,300,000 00	6,300,000 00	0 00	0 00
11-04-10	12-30-10	6,300,000	912795x55	TREASURY BILL NONE 0 000% Due 12-30-10	6,298,569 20	1,430 80	1,430 80	6,300,000 00	6,300,000 00	0 00	
03-31-10	04-19-10	1,800,000	912795y54	CASH MGMT BILL NONE 0 000% Due 04-19-10	1,799,867 25	132 75	132 75	1,800,000 00	1,800,000 00	0 00	
TREASURY BILLS Total					286,991,149 65	55,221 06	58,808 81	287,049,958 46	287,049,978 14	19 68	0 00
TREASURY NOTES											
07-30-09	02-15-10	2,750,000	9128275z1	US TREASURY N/B FIXED 6 500% Due 02-15-10	2,842,060 55	-20,730 25	-92,060 55	2,750,000 00	2,750,000 00	0 00	
08-05-09	03-15-10	3,000,000	912828dp2	US TREASURY N/B FIXED 4 000% Due 03-15-10	3,067,851 56	-22,324 10	-67,851 56	3,000,000 00	3,000,000 00	0 00	
08-13-09	02-28-10	3,000,000	912828hs2	US TREASURY N/B FIXED 2 000% Due 02-28-10	3,028,359 38	-8,269 76	-28,359 38	3,000,000 00	3,000,000 00	0 00	

STMT D-3

Gurtin Fixed Income

REALIZED GAINS AND LOSSES BY SECURITY TYPE

MIMI AND PETER HAAS FUND

Charles Schwab 20905807

vs905807

From 01-01-10 Through 12-31-10

Open Date	Close Date	Quantity	CUSIP	Security	Original Cost	YTD Amort. or Accretion	Total Amort. or Accretion	Adjusted Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
08-20-09	03-31-10	1,800,000	912828hu7	US TREASURY N/B FIXED 1 750% Due 03-31-10	1,816,101 56	-6,429 53	-16,101 56	1,800,000 00	1,800,000 00	0 00	
TREASURY NOTES Total					10,754,373 05	-57,753 63	-204,373 05	10,550,000 00	10,550,000 00	0 00	0 00
US TREASURY INFLATION PROTECTED SECURITIES (TIPS)											
04-29-09	04-15-10	1,600,000	912828cz1	TSY INFL IX N/B FIXED 0 875% Due 04-15-10 Inflation factor 1 15444	1,779,365 22	8,153 50	50,938 78	1,830,304 00	1,830,288 00		-16 00
US TREASURY INFLATION PROTECTED SECURITIES (TIPS) Total					1,779,365 22	8,153 50	50,938 78	1,830,304 00	1,830,288 00	0 00	-16 00
TOTAL GAINS										20 80	0 00
TOTAL LOSSES										-1 12	-16 00
					299,524,887.92	**5,620.92**	**-94,625.46**	**299,430,262.46**	**299,430,266.14**	**19.68**	**-16.00**
TOTAL REALIZED GAIN/LOSS 3 68											

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Schedule of Realized Gains and Losses

2010 Tax Year

Friday, November 04, 2011
ND31 GONZALEZ/O'REGAN

Prepared For:
2009-5273
MIMI AND PETER HAAS FUND

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
Report Summary				53,594.84	0.00			400,965.64		347,370.80	

Realized Gains or Losses	
Short Term	-3,341.64
Long Term	350,712.44

Σ = 347,371

Legend

(*) Security Held Away
C - Covered Tax Lot
N - Noncovered Tax Lot
M - Net Row Contains Both Covered and Noncovered Tax Lots
W - Open Date Reflects Wash Sale Date

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Covered Tax Lot - Cost information for this tax lot is covered by IRS reporting requirements
Noncovered Tax Lot - Cost information for this tax lot is not covered by IRS reporting requirements
Wash Sale Date - The cost for this tax lot has been adjusted due to wash sale activity as defined by the IRS regulations

Schedule of Realized Gains and Losses

2010 Tax Year

Prepared For:

2009-5273
MIMI AND PETER HAAS FUND
201 FILBERT ST 5/F
SAN FRANCISCO CA 94133-3237

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
Short											
196	BILL BARRETT CORP	BBG	02/25/2010N	6,673 80		34 0500	03/15/2010	6,704 62	34 5201	30 82	0 46
840	BILL BARRETT CORP	BBG	03/11/2010N	29,391 60		34 9900	03/22/2010	26,019 14	31 2701	-3,372 46	-11 47
				36,065.40				32,723.76		-3,341 64	
Long											
624	BILL BARRETT CORP	BBG	05/12/2004N	6,807 84		10 9100	03/15/2010	21,345 32	34 5201	14,537 48	213 54
1,194	NETFLIX INC	NFLX	07/10/2001N	1 91		0 0016	05/12/2010	120,287 14	101 6900	120,285 23	97,656 02
10	NETFLIX INC	NFLX	08/07/2002N	53 00		5 3000	05/12/2010	1,007 44	101 6900	954 44	1,800 83
677	NETFLIX INC	NFLX	04/13/2000N	4,661 15		6 8850	11/08/2010	114,081 77	168 7636	109,420 62	2,347 50
559	NETFLIX INC	NFLX	08/14/2002N	3,053 54		5 4625	11/08/2010	94,197 51	168 7636	91,143 97	2,984 86
600	POLYPORE INTL INC	PPO	05/13/2004N	2,952 00		4.9200	09/03/2010	17,322 70	29.1300	14,370.70	486 81
				17,529.44				368,241.88		350,712.44	

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