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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

2010

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning

, 2010, and ending

, 20

G Check all that apply

 Initial return Initial return of a former public charity Final return Amended return Address change Name change

Name of foundation

A Employer identification number

BATTLE FAMILY FOUNDATION

94-3350554

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

B Telephone number (see page 10 of the instructions)

35 VICENTE ROAD

(510) 848-3653

City or town, state, and ZIP code

BERKELEY, CA 94705

H Check type of organization  Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ 4,987,218.

J Accounting method  Cash  Accrual  
 Other (specify) \_\_\_\_\_

(Part I, column (d) must be on cash basis)

C If exemption application is pending, check here ► D 1 Foreign organizations, check here ►   
2. Foreign organizations meeting the 85% test, check here and attach computation ► E If private foundation status was terminated under section 507(b)(1)(A) check here ► F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► 

## Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
--	------------------------------------	---------------------------	-------------------------	---

1 Contributions, gifts, grants, etc., received (attach schedule)	0			
2 Check ► <input type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	2,481	2,481		ATCH 1
4 Dividends and interest from securities	120,276	116,759		ATCH 2
5 a Gross rents	-205	-205		
b Net rental income or (loss)	-205			
6 a Net gain or (loss) from sale of assets not on line 10				
b Gross sales price for all assets on line 6a	402,537			
7 Capital gain net income (from Part IV, line 2)				
8 Net short-term capital gain				
9 Income modifications				
10 a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	10,903	10,903		ATCH 3
12 Total Add lines 1 through 11	24,668	129,938		
13 Compensation of officers, directors, trustees, etc.	0			
14 Other employee salaries and wages				
15 Pension plans, employee-benefits				
16 a Legal fees (attach schedule)				
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule) *	52,322	26,161		26,161
17 Interest	3,576	1,788		1,788
18 Taxes (attach schedule) (see page 14 of the instructions) **	1,213	1,225		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)	80			
24 Total operating and administrative expenses.				
Add lines 13 through 23	57,191	29,174		27,949
25 Contributions, gifts, grants paid	185,004			185,004
26 Total expenses and disbursements Add lines 24 and 25	242,195	29,174		212,953
27 Subtract line 26 from line 12	-217,527			
a Excess of revenue over expenses and disbursements				
b Net investment income (if negative, enter -0-)		100,764		
c Adjusted net income (if negative, enter -0-)				

11/9/14

<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1	Cash - non-interest-bearing . . . . .		817,774.	473,980.
	2	Savings and temporary cash investments . . . . .			473,980.
	3	Accounts receivable ►			
		Less allowance for doubtful accounts ►			
	4	Pledges receivable ►			
		Less allowance for doubtful accounts ►			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ►			
		Less allowance for doubtful accounts ►			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10 a	Investments - U S and state government obligations (attach schedule) . . . . .			
	b	Investments - corporate stock (attach schedule) <b>ATTACH 8</b>	1,316,381.	918,745.	1,573,726.
	c	Investments - corporate bonds (attach schedule) . . . . .			
<b>Liabilities</b>	11	Investments - land, buildings, and equipment basis ►			
		Less accumulated depreciation ► (attach schedule)			
	12	Investments - mortgage loans . . . . .			
	13	Investments - other (attach schedule) . . . . . <b>ATTACH 9</b>	2,441,019.	2,913,117.	2,939,512.
	14	Land, buildings, and equipment basis ►			
		Less accumulated depreciation ► (attach schedule)			
	15	Other assets (describe ►) . . . . .			
	16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I) . . . . .	4,575,174.	4,305,842.	4,987,218.
	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
<b>Net Assets or Fund Balances</b>	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons . . . . .			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ►) <b>ATTACH 10</b> . . . . .	0.	25,000.	
	23	Total liabilities (add lines 17 through 22) . . . . .	0.	25,000.	
	Foundations that follow SFAS 117, check here ► <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ► <input checked="" type="checkbox"/>				
<b>Net Assets or Fund Balances</b>	27	Capital stock, trust principal, or current funds . . . . .			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund . . . . .			
	29	Retained earnings, accumulated income, endowment, or other funds . . . . .	4,575,174.	4,280,842.	
	30	Total net assets or fund balances (see page 17 of the instructions) . . . . .	4,575,174.	4,280,842.	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions) . . . . .	4,575,174.	4,305,842.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	4,575,174.
2	Enter amount from Part I, line 27a . . . . .	2	-217,527.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3 . . . . .	4	4,357,647.
5	Decreases not included in line 2 (itemize) ► <b>ATTACHMENT 11</b> . . . . .	5	76,805.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6	4,280,842.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a SEE PART IV SCHEDULE</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>2 Capital gain net income or (net capital loss)</b>	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		<b>2</b>	<b>-108,787.</b>	
<b>3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)</b>	{ If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8. }		<b>3</b>		

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

**1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries**

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	162,671.	3,838,673.	0.042377
2008	268,290.	4,204,260.	0.063814
2007	514,175.	4,344,490.	0.118351
2006	525,964.	4,877,940.	0.107825
2005	178,631.	3,786,451.	0.047176

- 2 Total of line 1, column (d)** **2** **0.379543**
- 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years** **3** **0.075909**
- 4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5** **4** **4,546,605.**
- 5 Multiply line 4 by line 3** **5** **345,128.**
- 6 Enter 1% of net investment income (1% of Part I, line 27b)** **6** **1,008.**
- 7 Add lines 5 and 6** **7** **346,136.**
- 8 Enter qualifying distributions from Part XII, line 4** **8** **212,953.**

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1 . . .	Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)	1	2,015.
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input type="checkbox"/> and enter 1% of Part I, line 27b . . .			
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0) . . .		2	
3	Add lines 1 and 2 . . .		3	2,015.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0) . . .		4	0.
5	Tax based on investment income Subtract line 4 from line 3 If zero or less, enter -0- . . .		5	2,015.
6	Credits/Payments			
a	2010 estimated tax payments and 2009 overpayment credited to 2010. . .	6a	12,049.	
b	Exempt foreign organizations-tax withheld at source . . .	6b	0.	
c	Tax paid with application for extension of time to file (Form 8868). . .	6c	0.	
d	Backup withholding erroneously withheld . . .	6d		
7	Total credits and payments Add lines 6a through 6d . . .	7	12,049.	
8	Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached . . .	8		
9	Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed . . .	9		
10	Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . .	10	10,034.	
11	Enter the amount of line 10 to be Credited to 2011 estimated tax ►	10,034.	Refunded	11

**Part VII-A Statements Regarding Activities**

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . .	Yes	No
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . .	X	
1c	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
d	Did the foundation file Form 1120-POL for this year? . . .		
e	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► \$ _____ (2) On foundation managers ► \$ _____		
2	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . .	X	
3	If "Yes," attach a detailed description of the activities		
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . .	X	
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . .		
b	If "Yes," has it filed a tax return on Form 990-T for this year? . . .	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . .	X	
6	If "Yes," attach the statement required by General Instruction T		
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either		
6	• By language in the governing instrument, or		
6	• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . .	X	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ► CA,		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation . . .	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV . . .	X	
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . .	X	

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions) . . . . .	11	X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? . . . . .	12	X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . .	13	X
Website address ► N/A			
14	The books are in care of ► A. GEORGE BATTLE Located at ► 35 VICENTE ROAD BERKELEY, CA	Telephone no ► 510-848-3653 ZIP + 4 ► 94705	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . . and enter the amount of tax-exempt interest received or accrued during the year . . . . . ► 15		
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ► CAYMAN ISLANDS	16 X	Yes No

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? . . . . . Organizations relying on a current notice regarding disaster assistance check here . . . . . ►	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010? . . . . .	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? . . . . . If "Yes," list the years ►	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 22 of the instructions) . . . . .	2b	X
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010) . . . . .	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010? . . . . .	4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a	During the year did the foundation pay or incur any amount to	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, - directly or indirectly, any voter registration drive? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3)	Provide a grant to an individual for travel, study, or other similar purposes? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	5b	X	
	Organizations relying on a current notice regarding disaster assistance check here . . . . . ► <input type="checkbox"/>				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	If "Yes," attach the statement required by Regulations section 53.4945-5(d)				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b	X	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b	X	
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .				

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 12		0.	0.	0.
-----				
-----				
-----				
-----				

**2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions).  
If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
-----				
-----				
-----				
-----				
-----				
-----				

Total number of other employees paid over \$50,000 . . . . . ►

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

<b>3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."</b>		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total number of others receiving over \$50,000 for professional services . . . . . ►</b>		NONE

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc		Expenses
1	N/A	
2		
3		
4		

**Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2		Amount
1	NONE	
2		
All other program-related investments. See page 24 of the instructions		
3	NONE	
<b>Total. Add lines 1 through 3 . . . . . ►</b>		

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	2,927,897.
b	Average of monthly cash balances	1b	631,331.
c	Fair market value of all other assets (see page 25 of the instructions)	1c	1,056,615.
d	<b>Total</b> (add lines 1a, b, and c)	1d	4,615,843.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	4,615,843.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	4	69,238.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	5	4,546,605.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	227,330.

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ►  and do not complete this part )

1	Minimum investment return from Part X, line 6	1	227,330.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	2,015.
b	Income tax for 2010 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	2,015.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	225,315.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	225,315.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	<b>Distributable amount as adjusted</b> Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	225,315.

**Part XII Qualifying Distributions** (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes	1a	212,953.
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1b	0.
b	Program-related investments - total from Part IX-B	2	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	3a	0.
3	Amounts set aside for specific charitable projects that satisfy the	3b	0.
a	Suitability test (prior IRS approval required)	4	212,953.
b	Cash distribution test (attach the required schedule)	5	N/A
4	<b>Qualifying distributions</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	6	212,953.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)		
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4		

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII - Undistributed Income (see page 26 of the instructions)**

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7 . . . . .				225,315.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only . . . . .				
b Total for prior years 20 08 20 07 20 06 . . . . .				
3 Excess distributions carryover, if any, to 2010				
a From 2005 . . . . .	39,030.			
b From 2006 . . . . .	285,291.			
c From 2007 . . . . .	307,294.			
d From 2008 . . . . .	59,427.			
e From 2009 . . . . .				
f Total of lines 3a through e . . . . .	691,042.			
4 Qualifying distributions for 2010 from Part XII, line 4 ► \$ 212,953.				
a Applied to 2009, but not more than line 2a . . . . .				
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions) . . . . .				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions) . . . . .				
d Applied to 2010 distributable amount . . . . .				212,953.
e Remaining amount distributed out of corpus . . . . .	0.			
5 Excess distributions carryover applied to 2010. (If an amount appears in column (d), the same amount must be shown in column (a))	12,362.			12,362.
6 Enter the net total of each column as indicated below:	678,680.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5 . . . . .				
b Prior years' undistributed income Subtract line 4b from line 2b . . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions . . . . .				
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions . . . . .				
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011 . . . . .				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) . . . . .				
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions) . . . . .	26,668.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a . . . . .	652,012.			
10 Analysis of line 9				
a Excess from 2006 . . . . .	285,291.			
b Excess from 2007 . . . . .	307,294.			
c Excess from 2008 . . . . .	59,427.			
d Excess from 2009 . . . . .				
e Excess from 2010 . . . . .				

**Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE**

1 a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ►				
b	Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)				
2 a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed				
b	85% of line 2a				
c	Qualifying distributions from Part XII, line 4 for each year listed				
d	Amounts included in line 2c not used directly for active conduct of exempt activities				
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c				
3	Complete 3a, b, or c for the alternative test relied upon				
a	"Assets" alternative test - enter				
(1)	Value of all assets				
(2)	Value of assets qualifying under section 4942(j)(3)(B)(i)				
b	"Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c	"Support" alternative test - enter				
(1)	Total support other than gross investment income (interest, dividends, rents, payments on securities, loans (section 512(a)(5)), or royalties)				
(2)	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3)	Largest amount of support from an exempt organization				
(4)	Gross investment income				

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)****1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

**A. GEORGE BATTLE**

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ►  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

**ATTACHMENT 13**

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i>				
ATTACHMENT 14				
<b>Total</b> . . . . .			► 3a	185,004.
b <i>Approved for future payment</i>				
<b>Total</b> . . . . .			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments . . . . .					
3 Interest on savings and temporary cash investments			14	2,481.	
4 Dividends and interest from securities . . . . .			14	120,276.	
5 Net rental income or (loss) from real estate					
a Debt-financed property . . . . .					
b Not debt-financed property . . . . .					
6 Net rental income or (loss) from personal property .			16	-205.	
7 Other investment income . . . . .			14	10,903.	
8 Gain or (loss) from sales of assets other than inventory			18	-108,787.	
9 Net income or (loss) from special events . . .					
10 Gross profit or (loss) from sales of inventory . .					
11 Other revenue a . . . . .					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e) . . . .				24,668.	
13 Total Add line 12. columns (b), (d), and (e)					24,668.

12 Subtotal Add columns (b), (d), and (e) . . .

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**



**SCHEDULE D  
(Form 1041)**Department of the Treasury  
Internal Revenue Service**Capital Gains and Losses**

OMB No. 1545-0092

► Attach to Form 1041, Form 5227, or Form 990-T. See the Instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

**2010**

Name of estate or trust

**BATTLE FAMILY FOUNDATION**

Employer identification number

94-3350554

Note: Form 5227 filers need to complete **only** Parts I and II**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 shares 7% preferred of "Z" Co )	(b) Date acquired (mo , day, yr )	(c) Date sold (mo , day, yr )	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					
b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b . . . . .	1b				574.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 . . . . .	2				
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .	3				252.
4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2009 Capital Loss Carryover Worksheet . . . . .	4				( )
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back . . . . . ► 5					826.

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

(a) Description of property (Example 100 shares 7% preferred of "Z" Co )	(b) Date acquired (mo , day, yr )	(c) Date sold (mo , day, yr )	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					
b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b . . . . .	6b				-125,333.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 . . . . .	7				
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .	8				-10,731.
9 Capital gain distributions . . . . .	9				26,451.
10 Gain from Form 4797, Part I . . . . .	10				
11 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2009 Capital Loss Carryover Worksheet . . . . .	11				( )
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back . . . . . ► 12					-109,613.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2010

<b>Part III Summary of Parts I and II</b>		<b>(1) Beneficiaries' (see instr)</b>	<b>(2) Estate's or trust's</b>	<b>(3) Total</b>
<b>Caution: Read the instructions before completing this part.</b>				
13 Net short-term gain or (loss) . . . . .	13			826.
14 Net long-term gain or (loss):				
a Total for year . . . . .	14a			-109,613.
b Unrecaptured section 1250 gain (see line 18 of the wrksht) . . . . .	14b			
c 28% rate gain . . . . .	14c			
15 Total net gain or (loss). Combine lines 13 and 14a ►	15			-108,787.

**Note:** If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the Capital Loss Carryover Worksheet, as necessary.

#### **Part IV Capital Loss Limitation**

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of			
a The loss on line 15, column (3) or b \$3,000	16	(	3,000)

**Note:** If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the Capital Loss Carryover Worksheet on page 7 of the instructions to figure your capital loss carryover.

#### **Part V Tax Computation Using Maximum Capital Gains Rates**

**Form 1041 filers.** Complete this part only if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

**Caution:** Skip this part and complete the worksheet on page 8 of the instructions if

- Either line 14b, col (2) or line 14c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

**Form 990-T trusts.** Complete this part only if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero.

17 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) . . . . .	17			
18 Enter the smaller of line 14a or 15 in column (2) but not less than zero. . . . .	18			
19 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . . . . .	19			
20 Add lines 18 and 19 . . . . .	20			
21 If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ►	21			
22 Subtract line 21 from line 20 If zero or less, enter -0- . . . . .	22			
23 Subtract line 22 from line 17 If zero or less, enter -0- . . . . .	23			
24 Enter the smaller of the amount on line 17 or \$2,300 . . . . .	24			
25 Is the amount on line 23 equal to or more than the amount on line 24?				
<input type="checkbox"/> Yes. Skip lines 25 and 26, go to line 27 and check the "No" box	25			
<input type="checkbox"/> No. Enter the amount from line 23 . . . . .	26			
26 Subtract line 25 from line 24 . . . . .	26			
27 Are the amounts on lines 22 and 26 the same?	27			
<input type="checkbox"/> Yes. Skip lines 27 thru 30, go to line 31	27			
<input type="checkbox"/> No. Enter the smaller of line 17 or line 22 . . . . .	28			
28 Enter the amount from line 26 (If line 26 is blank, enter -0-) . . . . .	28			
29 Subtract line 28 from line 27 . . . . .	29			
30 Multiply line 29 by 15% ( 15) . . . . .	30			
31 Figure the tax on the amount on line 23 Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . .	31			
32 Add lines 30 and 31 . . . . .	32			
33 Figure the tax on the amount on line 17 Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . .	33			
34 Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) . . . . .	34			

- See instructions for Schedule D (Form 1041).
- Attach to Schedule D to list additional transactions for lines 1a and 6a.

**Name of estate or trust**

BATTLE FAMILY FOUNDATION

**Employer identification number**  
**94-3350554**

## Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

574.

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

**Employer identification number**

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co )	(b) Date acquired (mo , day, yr )	(c) Date sold (mo , day, yr )	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a SEE STATEMENT D-1	VARIOUS	VARIOUS	278,871.	402,137.	-123,266.
SEE STATEMENT D-2	VARIOUS	VARIOUS	99,964.	102,864.	-2,900.
SEE STATEMENT D-3	VARIOUS	VARIOUS	1,836.	903.	933.
SECTION 1231 LOSS FROM RAMIUS LEVERED FOF LP	VARIOUS	VARIOUS			-100.

**6b Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . . . -125,333.

## BATTLE FAMILY FOUNDATION (94-3350554)

## ATTACHMENT TO SCHEDULE D

## NATIONAL FINANCIAL SERVICES F81-276707

## TAX YEAR 2010

Holding Period	Shares	Description	Purchase Date	Sale Date	Proceeds	Cost	Realized Gain/(Loss)	
Short-Term	0 00	LIVE NATION	ENTERTAINMENT INC	1/29/2010	1/29/2010	8 62	-	8 62
<b>Short-Term Total</b>					<b>8.62</b>	<b>-</b>	<b>8.62</b>	
Long-Term	1,985 000	LIVE NATION	ENTERTAINMENT INC	8/9/2005	8/31/2010	17,149 51	-	17,149 51
Long-Term	373.000	LIVE NATION	ENTERTAINMENT INC	9/19/2005	8/31/2010	3,222 55	-	3,222 55
Long-Term	590 000	LIVE NATION	ENTERTAINMENT INC	11/29/2006	8/31/2010	5,097 34	-	5,097 34
Long-Term	5,000 000	IAC / INTERACTIVECORP PAR \$ 001		8/9/2005	8/31/2010	122,523 92	362,137 00	(239,613 08)
Long-Term	2,000 000	OPENTABLE INC		5/20/2009	11/9/2010	130,877 78	40,000 00	90,877 78
<b>Long-Term Total</b>					<b>278,871.10</b>	<b>402,137 00</b>	<b>(123,265.90)</b>	
<b>Account Total</b>					<b>278,879 72</b>	<b>402,137.00</b>	<b>(123,257.28)</b>	

BATTLE FAMILY FOUNDATION (94-3350554)  
ATTACHMENT TO SCHEDULE D  
NATIONAL FINANCIAL SERVICES F81-628107  
TAX YEAR 2010

Holding Period	Shares	Description	Purchase Date	Sale Date	Proceeds	Cost	Realized Gain/(Loss)
Short-Term	0 00	BANCO BRADESCO SA SPONSADR EACH REPR 1PRF	1/29/2010	1/29/2010	1 73	-	1 73
Short-Term	45 00	TOYOTA MOTOR CORP SPONSORED ADR	5/15/2009	2/10/2010	3,418 15	3,365 94	52 21
Short-Term	15 00	TOYOTA MOTOR CORP SPONSORED ADR	7/29/2009	2/10/2010	1,139 38	1,235 16	(95 78)
Short-Term	104 00	HUANENG POWER INTERNATIONAL INC ADREACH REP	5/15/2009	3/15/2010	2,492 12	2,663.43	(171 31)
Short-Term	101 00	HUANENG POWER INTERNATIONAL INC ADREACH REP	11/27/2009	3/15/2010	2,420 24	2,595 14	(174 90)
Short-Term	151 00	MITSUBISHI UFJ FINANCIALGROUP INC SPON ADR	7/29/2009	3/15/2010	783 68	862 21	(78 53)
Short-Term	126 00	ALUMINUM CORP OF CHINA ADR EACH REPR 25 H S	5/15/2009	4/15/2010	3,581 68	2,874 44	707 24
Short-Term	2 00	CREDICORP LIMITED	7/29/2009	4/30/2010	174 21	131 89	42 32
Short-Term	8 00	ERICSSON LM TEL COMPANY ADR CLASS B NEW	1/19/2010	4/30/2010	92 55	80 50	12 05
Short-Term	7 00	GAFISA SA SPON ADR	2/10/2010	4/30/2010	97 08	100 63	(3 55)
Short-Term		GAFISA SA SPON ADR - WASH SALE		4/30/2010	-	(3 55)	3 55
Short-Term	4 00	ISHARES TRUST FTSE HK CHINA 25 INDEX	3/15/2010	4/30/2010	163 83	162 76	1 07
Short-Term	27 00	KINGFISHER PLC SPONSORED ADR PAR 15 5/7 PEN	2/10/2010	4/30/2010	201 41	174 99	26 42
Short-Term	5 00	NIDEC CORP SPON ADR	7/28/2009	4/30/2010	129 93	88 76	41 17
Short-Term	1 00	PETROCHINA COMPANY LIMITED SPONSORED	5/15/2009	4/30/2010	115 86	102 94	12 92
Short-Term	5 00	PUBLICIS SA NEW SPONSORED ADR	3/19/2010	4/30/2010	109 94	106 20	3 74
Short-Term	1 00	SANDVIK AB ADR	3/15/2010	4/30/2010	14 32	12 46	1 86
Short-Term	4 00	SUNCOR ENERGY INC NEW	1/28/2010	4/30/2010	137 71	127 60	10 11
Short-Term	4 00	UNINAS SIDERURGICAS DE MINAS GERAIS A USI	4/15/2010	4/30/2010	132 55	139 47	(6 92)
Short-Term	1 00	VALE S A ADR REPRESENTING P	12/9/2005	4/30/2010	26 96	9 20	17 76
Short-Term	13 00	VODAFONE GROUP PLC NEW SPONSORED ADR	3/6/2006	4/30/2010	289 76	324 43	(34 67)
Short-Term	1 00	WESTPAC BANKING CORP SPONSORED ADR	12/9/2005	4/30/2010	123 51	81 49	42 02
Short-Term	0 00	BANCO BRADESCO SA SPONSADR EACH REPR 1PRF	7/22/2010	7/22/2010	5 28	-	5 28
Short-Term	147 00	NOMURA HOLDINGS INC SPONSORED ADR	10/2/2009	9/24/2010	740 15	917 66	(177 51)
Short-Term	326 00	NOMURA HOLDINGS INC SPONSORED ADR	3/15/2010	9/24/2010	1,641 43	2,473 55	(832 12)
<b>Short-Term Total</b>					<b>18,033.46</b>	<b>18,627 30</b>	<b>(593.84)</b>
Long-Term	39 00	GDF SUEZ SPONSORED ADR	12/9/2005	1/19/2010	1,620 42	1,192 17	428 25
Long-Term	47 00	INFOSYS TECHNOLOGY LIMITED SPONSORED	7/30/2007	1/19/2010	2,748 27	2,384 60	363 67
Long-Term	5 00	INFOSYS TECHNOLOGY LIMITED SPONSORED	10/15/2007	1/19/2010	292 37	252 95	39 42
Long-Term	3 00	SAP AG SPONS ADR REPRES	1/29/2007	1/19/2010	147 59	139 59	8 00
Long-Term	29 00	SAP AG SPONS ADR REPRES	2/16/2007	1/19/2010	1,426 66	1,364 73	61 93
Long-Term	55 00	SMITH & NEPHEW PLC NEW SPONS ADR	4/25/2006	1/19/2010	2,880 28	2,554 65	325 63
Long-Term	21 00	SMITH & NEPHEW PLC NEW SPONS ADR	7/7/2006	1/19/2010	1,099 74	782 87	316 87
Long-Term	25 00	TOTAL S A SPONSORED ADR	12/9/2005	1/19/2010	1,618 39	1,603 75	14 64
Long-Term	160 00	ACERGY S A XXXSPONSORED ADR	VARIOUS	1/28/2010	2,435 18	3,993 82	(1,558 64)
Long-Term	20 00	BNP PARIBAS SPONS ADR REPGSTG 1/4 SH	12/9/2005	1/28/2010	717 59	793 00	(75 41)
Long-Term	30 00	BANCO SANTANDER S A SPONSORED ADR	12/9/2005	1/28/2010	421 49	381 98	39 51
Long-Term	20 00	CREDIT SUISSE GROUP SPONSORED ADR	12/9/2005	1/28/2010	888 12	1,022 55	(134 43)
Long-Term	20 00	E N I SPA SPONSORED ADR	12/9/2005	1/28/2010	936 04	1,112 56	(176 52)
Long-Term	134 00	ADIDAS AG SPONSORED ADR	8/14/2006	2/10/2010	3,282 95	3,193 15	89 80
Long-Term	195 00	ABB LIMITED SPONSORED ADR	12/9/2005	3/15/2010	4,060 69	1,799 85	2,260 84
Long-Term	53 00	BHP BILLITON LIMITED SPONSORED ADR	4/25/2006	3/15/2010	4,107 75	2,413 97	1,693 78
Long-Term	606 00	MITSUBISHI UFJ FINANCIALGROUP INC SPON ADR	VARIOUS	3/15/2010	3,145 10	4,629 85	(1,484 75)
Long-Term	61 00	VALE S A ADR REPRESENTING P	12/9/2005	3/15/2010	1,615 92	561 05	1,054 87
Long-Term	62 00	BANK OF NOVA SCOTIA HALIFAX	11/6/2007	3/19/2010	3,073 36	3,472 43	(399 07)
Long-Term	38 00	INFOSYS TECHNOLOGY LIMITED SPONSORED	10/15/2007	3/19/2010	2,306 46	1,922 38	384 08
Long-Term	37 00	NOVO NORDISK AS ADR	10/17/2008	3/19/2010	2,879 51	1,863 85	1,015 66
Long-Term	3 00	NOVO NORDISK AS ADR	2/4/2009	3/19/2010	233 47	162 39	71 08
Long-Term	39 00	SMITH & NEPHEW PLC NEW SPONS ADR	7/7/2006	3/19/2010	1,957 11	1,453 90	503 21
Long-Term	35 00	SMITH & NEPHEW PLC NEW SPONS ADR	6/10/2008	3/19/2010	1,756 39	1,993 42	(237 03)
Long-Term	209 00	SUMITOMO CORP SPONS ADR	12/15/2005	3/19/2010	2,330 32	2,766 79	(436 47)
Long-Term	51 00	SUMITOMO CORP SPONS ADR	10/20/2006	3/19/2010	568 64	666 98	(98 34)
Long-Term		SUMITOMO CORP SPONS ADR - WASH SALE		3/19/2010	-	(187 95)	187 95
Long-Term	17 00	NOVO NORDISK AS ADR	2/4/2009	3/30/2010	1,315 03	920 24	394 79
Long-Term	32 00	NOVO NORDISK AS ADR	3/13/2009	3/30/2010	2,475 36	1,490 06	985 30
Long-Term	19 00	INFOSYS TECHNOLOGY LIMITED SPONSORED	10/15/2007	4/6/2010	1,159 09	961 19	197 90
Long-Term	45 00	INFOSYS TECHNOLOGY LIMITED SPONSORED	2/6/2008	4/6/2010	2,745 21	1,806 70	938 51
Long-Term	31 00	INFOSYS TECHNOLOGY LIMITED SPONSORED	10/17/2008	4/6/2010	1,891 14	809 10	1,082 04
Long-Term	67 00	ACERGY S A XXXSPONSORED ADR	8/26/2008	4/9/2010	1,317 17	1,121 83	195 34
Long-Term	131 00	FRANCE TELECOM SPONSORED ADR	10/13/2008	4/15/2010	3,117 66	3,306 00	(188 34)
Long-Term	21 00	GAZPROM O A O SPONSORED ADR	9/5/2007	4/26/2010	513 44	866.04	(352 60)
Long-Term	10 00	GAZPROM O A O SPONSORED ADR	10/15/2007	4/26/2010	244 49	477 00	(232 51)
Long-Term		GAZPROM O A O SPONSORED ADR - WASH SALE		4/26/2010	-	(585 11)	585 11
Long-Term	3 00	ABB LIMITED SPONSORED ADR	12/9/2005	4/30/2010	57 71	27 69	30 02
Long-Term	5 00	AMERICA MOVIL S A B DE CV SPONSORED ADR R	5/30/2007	4/30/2010	258 49	296 59	(38 10)

BATTLE FAMILY FOUNDATION (94-3350554)  
ATTACHMENT TO SCHEDULE D  
NATIONAL FINANCIAL SERVICES F81-628107  
TAX YEAR 2010

Holding Period	Shares	Description	Purchase Date	Sale Date	Proceeds	Cost	Realized Gain/(Loss)
Long-Term	4 00	BNP PARIBAS SPONS ADR REPSTG 1/4 SH	12/9/2005	4/30/2010	137 35	158 60	(21 25)
Long-Term	13 00	BANCO BRADESCO S A SPONSORED ADR REPS	12/9/2005	4/30/2010	242 96	118 76	124 20
Long-Term	16 00	BANCO SANTANDER S A SPONSORED ADR	12/9/2005	4/30/2010	198 23	203 72	(5 49)
Long-Term	1 00	BANK OF NOVA SCOTIA HALIFAX	11/6/2007	4/30/2010	51 17	56.01	(4 84)
Long-Term	4 00	CHINA MOBILE LIMITED SPONSORED ADRS	12/9/2005	4/30/2010	195 95	96 36	99 59
Long-Term	2 00	DANONE SPONSORED ADR	1/20/2009	4/30/2010	23 29	21 70	1 59
Long-Term	3 00	E N I SPA SPONSORED ADR	12/9/2005	4/30/2010	133 79	166 88	(33 09)
Long-Term	3 00	HSBC HOLDINGS PLC SPONSORED ADR NEW	12/9/2005	4/30/2010	152 54	220 03	(67 49)
Long-Term	6 00	JSC MMC NORILSK NICKEL SPONSORED ADR	7/30/2007	4/30/2010	115 13	141 60	(26 47)
Long-Term	5 00	NESTLE S A SPNSD ADR REPSTING REG SHS	6/26/2007	4/30/2010	244 89	184 65	60 24
Long-Term	5 00	NINTENDO LTD ADR	12/9/2005	4/30/2010	209 74	72 75	136 99
Long-Term	2 00	NOVARTIS AG SPONSORED ADR	12/9/2005	4/30/2010	101 95	106 28	(4 33)
Long-Term	2 00	OIL COMPANY LUKOIL SPONS ADR	9/5/2007	4/30/2010	114 35	149 00	(34 65)
Long-Term	10 00	PETROLEO BRASILEIRO SA PETROBRAS SPONSORE	12/9/2005	4/30/2010	425 09	177 58	247 51
Long-Term	3 00	SAP AG SPONS ADR REPSEN	2/16/2007	4/30/2010	142 94	141 18	1 76
Long-Term	1 00	SIEMENS A G SPONS ADR	5/3/2007	4/30/2010	97 78	123 60	(25 82)
Long-Term	7 00	SUMITOMO CORP SPONS ADR	10/20/2006	4/30/2010	83 99	98 83	(14 84)
Long-Term	1 00	SYNGENTA AG SPONSORED ADR	12/2/2008	4/30/2010	50 73	33 79	16 94
Long-Term	19 00	TAIWAN SEMICONDUCTOR MANUFACTURING COMP	12/9/2005	4/30/2010	200 82	177 51	23 31
Long-Term	4 00	TESCO PLC SPONSORED ADR	10/15/2007	4/30/2010	79 31	115 60	(36 29)
Long-Term	90 00	AXA SA SPONSORED ADR	VARIOUS	4/30/2010	1,359 87	2,823 30	(1,463 43)
Long-Term	69 00	JSC MMC NORILSK NICKEL SPONSORED ADR	7/30/2007	7/20/2010	1,084 66	1,628 42	(543 76)
Long-Term	80 00	JSC MMC NORILSK NICKEL SPONSORED ADR	11/5/2007	7/20/2010	1,257 57	2,609 24	(1,351 67)
Long-Term	4 00	JSC MMC NORILSK NICKEL SPONSORED ADR	5/20/2008	7/20/2010	62 88	124 40	(61 52)
Long-Term	145 00	ICAP PLC SPONSORED ADR REPRESENTING 2	3/26/2008	8/3/2010	1,895 53	3,551 31	(1,655 78)
Long-Term	58 00	ICAP PLC SPONSORED ADR REPRESENTING 2	4/1/2008	8/3/2010	758 21	1,389 88	(631 67)
Long-Term	82 00	BARCLAYS PLC ADR	5/15/2009	8/6/2010	1,695 50	1,336 59	358 91
Long-Term	15 00	HSBC HOLDINGS PLC SPONSORED ADR NEW	12/9/2005	8/6/2010	790 37	1,100 17	(309 80)
Long-Term	13 00	ICAP PLC SPONSORED ADR REPRESENTING 2	4/1/2008	8/6/2010	160 29	311 53	(151 24)
Long-Term	60 00	ICAP PLC SPONSORED ADR REPRESENTING 2	8/19/2008	8/6/2010	739 78	1,037 41	(297 63)
Long-Term	225 00	AXA SA SPONSORED ADR	VARIOUS	8/18/2010	3,849 68	7,582 78	(3,733 10)
Long-Term	7 00	ADIDAS AG SPONSORED ADR	8/14/2006	9/13/2010	192 57	166 81	25 76
Long-Term	58 00	ADIDAS AG SPONSORED ADR	1/24/2007	9/13/2010	1,595 54	1,409 17	186 37
Long-Term	73 00	ICAP PLC SPONSORED ADR REPRESENTING 2	8/19/2008	9/13/2010	1,031 47	1,262 19	(230 72)
Long-Term	22 00	ICAP PLC SPONSORED ADR REPRESENTING 2	5/15/2009	9/13/2010	310 85	258 50	52 35
Long-Term	200 00	NOMURA HOLDINGS INC SPONSORED ADR	12/9/2005	9/24/2010	1,007 01	3,584 00	(2,576 99)
Long-Term	200 00	NOMURA HOLDINGS INC SPONSORED ADR	1/19/2006	9/24/2010	1,007 01	3,772 97	(2,765 96)
Long-Term	22 00	CREDICORP LIMITED	7/29/2009	9/30/2010	2,495 48	1,450 76	1,044 72
Long-Term	85 00	BANCO BRADESCO S A SPONSORED ADR REPS	12/9/2005	9/30/2010	1,725 32	706 45	1,018 87
Long-Term	51 00	BANCO BRADESCO S A SPONSORED ADR REPS	12/9/2005	10/14/2010	1,124 11	423 87	700 24
Long-Term	23 00	E N I SPA SPONSORED ADR	12/9/2005	10/14/2010	1,043 29	1,279 44	(236 15)
Long-Term	15 00	TOTAL S A SPONSORED ADR	12/9/2005	10/14/2010	817 96	962 25	(144 29)
Long-Term	8 00	CREDICORP LIMITED	7/29/2009	11/11/2010	986 61	527 55	459 06
Long-Term	66 00	CHINA MOBILE LIMITED SPONSORED ADRS	12/9/2005	11/11/2010	3,422 45	1,589 94	1,832 51
Long-Term	24 00	CHINA MOBILE LIMITED SPONSORED ADRS	3/18/2008	11/11/2010	1,244 53	1,700 34	(455 81)
Long-Term	32 00	CHINA MOBILE LIMITED SPONSORED ADRS	8/26/2008	11/11/2010	1,659 37	1,921 75	(262 38)
<b>Long-Term Total</b>					<b>99,964.51</b>	<b>102,864.06</b>	<b>(2,899.55)</b>
<b>Account Total</b>					<b>117,997.97</b>	<b>121,491.36</b>	<b>(3,493.39)</b>

BATTLE FAMILY FOUNDATION (94-3350554)  
ATTACHMENT TO SCHEDULE D  
STIFEL, NICOLAUS & COMPANY TW02 2377-0283  
TAX YEAR 2010

Holding Period	Shares	Description	Purchase Date	Sale Date	Proceeds	Cost	Realized Gain/(Loss)
Short-Term	87 00	SANDVIK AB ADR	3/15/2010	12/22/2010	1,679 07	1,084 42	594 65
Short-Term	33 00	TECK RESOURCES LTD CL B	1/19/2010	12/22/2010	1,892 00	1,327 65	564 35
<b>Short-Term Total</b>					<b>3,571 07</b>	<b>2,412.07</b>	<b>1,159.00</b>
Long-Term	16 000	BHP BILLITON LIMITED SPONSORED ADR	4/25/2006	12/22/2010	1,468 40	728 75	739 65
Long-Term	4 000	BHP BILLITON LIMITED SPONSORED ADR	7/7/2006	12/22/2010	367 10	173 76	193 34
<b>Long-Term Total</b>					<b>1,835.50</b>	<b>902.51</b>	<b>932.99</b>
<b>Account Total</b>					<b>5,406.57</b>	<b>3,314.58</b>	<b>2,091.99</b>



SUPPLEMENT TO RENT AND ROYALTY SCHEDULE

## OTHER INCOME

RENTAL INCOME (LOSS)	<u>-205.</u>
	<u>-205.</u>

RENT AND ROYALTY SUMMARY

<u>PROPERTY</u>	<u>TOTAL INCOME</u>	<u>DEPLETION/ DEPRECIATION</u>	<u>OTHER EXPENSES</u>	<u>ALLOWABLE NET INCOME</u>
FROM RAMIUS LEVERED	-205.			-205.
TOTALS	<u><u>-205.</u></u>			<u><u>-205.</u></u>

ATTACHMENT 1FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INTEREST INCOME FROM RAMIUS LEVERED FOF	2,481.	2,481.
TOTAL	<u><u>2,481.</u></u>	<u><u>2,481.</u></u>

## FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
NATIONAL FINANCIAL SVCS LLC F81-276707	2,804.	2,804.
NATIONAL FINANCIAL SVCS LLC F81-628107	10,144.	10,156.
NATIONAL FINANCIAL SVCS LLC F81-628115	47,563.	44,034.
DIVIDEND INCOME RAMIUS LEVERED FOF LP	1,851.	1,851.
STIFEL NICOLAUS TWO2 8573-9434	57,849.	57,849.
STIFEL NICOLAUS TWO2 2377-0283	65.	65.
TOTAL	<u>120,276.</u>	<u>116,759.</u>

FORM 990PF, PART I - OTHER INCOME

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FROM RAMIUS LEVERED MULTI-STRAT. FOF LP NATIONAL FINANCIAL SERVICES F81-276707	10,733. 170.	10,733. 170.
TOTALS	<u>10,903.</u>	<u>10,903.</u>

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
TAX PREPARATION FEES FROM RAMIUS LEVERED FOF LP	34,000. 4,149. 14,173.	17,000. 2,075. 7,086.	17,000. 2,074. 7,087.
ACCOUNT MANAGEMENT FEES			
TOTALS	<u>52,322.</u>	<u>26,161.</u>	<u>26,161.</u>

FORM 990PF, PART I - INTEREST EXPENSE

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
FROM RAMIUS LEVERED FOF LP	3,576.	1,788.	1,788.
TOTALS	<u>3,576.</u>	<u>1,788.</u>	<u>1,788.</u>

ATTACHMENT 5

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
NFS F81-628107 - FOREIGN TAXES	1,180.	1,192.
RAMIUS K-1 - FOREIGN TAXES	24.	24.
RAMIUS K-1 - STATE TAXES PAID	4.	4.
STIFEL 2377-0283 - FOREIGN TAX	5.	5.
 TOTALS	 <u>1,213:</u>	 <u>1,225:</u>

ATTACHMENT 6

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ATTACHMENT 7

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FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
CA FRANCHISE TAX BOARD	10.
CA CHARITABLE FUNDS REGISTRY	50.
CA SECRETARY OF STATE	20.
 TOTALS	 <hr/> <u>80.</u>

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ATTACHMENT 7

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FORM 990PF, PART II - CORPORATE STOCKDESCRIPTION

INVESTMENT IN CORPORATE STOCK

TOTALS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
INVESTMENT IN CORPORATE STOCK	918,745.	1,573,726.
TOTALS	<u>918,745.</u>	<u>1,573,726.</u>

ATTACHMENT 8

## FORM 990PF, PART II - OTHER INVESTMENTS

## ATTACHMENT 9

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
INVEST. IN PIMCO RETURN FUND	1,754,502.	1,780,897.
INVEST. IN RAMIUS LEVERED FOF	113,838.	113,838.
INVEST. IN NORTHSOUND, L.P.	113,597.	113,597.
INVEST. IN OLD KINGS, L.P.	307,903.	307,903.
INVEST. IN LIGHTHOUSE GLOBAL	521,277.	521,277.
INVEST. IN SUNGEVITY	102,000.	102,000.
 TOTALS	 <u>2,913,117.</u>	 <u>2,939,512.</u>

ATTACHMENT 10FORM 990PF, PART II - OTHER LIABILITIES

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>
CREDIT CARD EXPENSE PAYABLE	25,000.
TOTALS	<u>25,000.</u>

ATTACHMENT 11FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
PRIOR PERIOD ADJUSTMENT	76,805.
TOTAL	<u>76,805.</u>

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS		EXPENSE ACCT AND OTHER ALLOWANCES
			0.	0.	
A. GEORGE BATTLE 35 VICENTE ROAD BERKELEY, CA 94705	PRESIDENT 1.00	0.	0.	0.	0.
EMILY T. BATTLE 35 VICENTE ROAD BERKELEY, CA 94705	VP & TREASURER 1.00	0.	0.	0.	0.
DANIEL K. BATTLE 35 VICENTE ROAD BERKELEY, CA 94705	SECRETARY 1.00	0.	0.	0.	0.
GRAND TOTALS		0.	0.	0.	0.

ATTACHMENT 13

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

N/A

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
BERKELEY COMMUNITY FUND 800 JONES STREET BERKELEY, CA 94710	NONE SECTION 501(C) (3)	CHARITABLE CONTRIBUTIONS PASSED THROUGH FROM RAMIUS LEVERED MULTI-STRATEGY FOF LP K-1.	4. 55,000.
FROM RAMIUS LEVERED MULTI-STRATEGY FOF LP K-1 N/A	NONE N/A	GENERAL SUPPORT	55,000.
WOFFORD COLLEGE 429-NORTH CHURCH STREET SPARTANBURG, SC 29303-3663	NONE SECTION 501(C) (3)	GENERAL SUPPORT	20,000.
SPECIAL OPERATIONS FUND 901 NORTH STUART STREET, SUITE 200 ARLINGTON, VA 22203	NONE SECTION 501(C) (3)	GENERAL SUPPORT	5,000.
MARIN AGRICULTURAL LAND TRUST P.O. BOX 809 POINT REYES STATION, CA 94956	NONE SECTION 501(C) (3)	GENERAL SUPPORT	2,000
DARTMOUTH COLLEGE HANOVER, NH 03755	NONE SECTION 501(C) (3)	GENERAL SUPPORT	55,000

ATTACHMENT 14

FORM 990PF - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

<u>RECIPIENT NAME AND ADDRESS</u>		<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
ALAMEDA COUNTY FOOD BANK P.O. BOX 2599 OAKLAND, CA 94614		NONE SECTION 501(C) (3)	GENERAL SUPPORT	3,500.
SONOMA LAND TRUST 9616 SONOMA AVENUE SANTA ROSA, CA 95404		NONE SECTION 501(C) (3)	GENERAL SUPPORT	6,000.
BERKELEY-ALBANY YMCA 2070 ALLSTON WAY, SUITE 101 BERKELEY, CA 94704-1458		NONE SECTION 501(C) (3)	GENERAL SUPPORT	25,000.
USO - GIVE BACK 10 P.O. BOX 96322 WASHINGTON, DC 20090-6322		NONE SECTION 501(C) (3)	GENERAL SUPPORT	10,000.
DISABILITY RIGHTS ADVOCATES 2001 CENTER STREET, FOURTH FLOOR BERKELEY, CA 94704-1204		NONE SECTION 501(C) (3)	GENERAL SUPPORT	2,500.
GEYSERVILLE VOLUNTEER FIRE DEPARTMENT P.O. BOX 217 GEYSERVILLE, CA 95441		NONE SECTION 501(C) (3)	GENERAL SUPPORT	1,000.
			TOTAL CONTRIBUTIONS PAID	<u>185,004.</u>

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis			
			TOTAL SHORT-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS				252.	
			TOTAL LONG-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS				-10,731.	
			TOTAL CAPITAL GAIN DISTRIBUTIONS				26,451.	
			SEE STATEMENT D-1 PROPERTY TYPE: SECURITIES			P	VARIOUS	VARIOUS
9.		0.					9.	
			SEE STATEMENT D-1 PROPERTY TYPE: SECURITIES			P	VARIOUS	VARIOUS
278,871.		402,137.					-123,266.	
			SEE STATEMENT D-2 PROPERTY TYPE: SECURITIES			P	VARIOUS	VARIOUS
18,033.		18,627.					-594.	
			SEE STATEMENT D-2 PROPERTY TYPE: SECURITIES			P	VARIOUS	VARIOUS
99,964.		102,864.					-2,900.	
			SEE STATEMENT D-3 PROPERTY TYPE: SECURITIES			P	VARIOUS	VARIOUS
3,571.		2,412.					1,159.	
			SEE STATEMENT D-3 PROPERTY TYPE: SECURITIES			P	VARIOUS	VARIOUS
1,836.		903.					933.	
			SECTION 1231 LOSS FROM RAMIUS LEVERED FO PROPERTY TYPE: OTHER			P	VARIOUS	VARIOUS
							-100.	
TOTAL GAIN(LOSS) .....							<u>-108,787.</u>	

Application for Extension of Time To File an  
Exempt Organization Return

► File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only Part I and check this box . . . . . ►

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II (on page 2 of this form)

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on e-file for Charities & Nonprofits.

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only . . . . . ►

*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns*

<b>Type or print</b> File by the due date for filing your return. See instructions	Name of exempt organization <b>BATTLE FAMILY FOUNDATION</b>	Employer identification number <b>94-3350554</b>
	Number, street, and room or suite no. If a P O box, see instructions <b>35 VICENTE ROAD</b>	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions <b>BERKELEY, CA 94705</b>	

Enter the Return code for the return that this application is for (file a separate application for each return) . . . . . **0 4**

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► A. GEORGE BATTLE

Telephone No ► 510 848-3653

FAX No ► \_\_\_\_\_

- If the organization does not have an office or place of business in the United States, check this box . . . . . ►
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ If this is for the whole group, check this box . . . . . ►  If it is for part of the group, check this box . . . . . ►  and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 2011, to file the exempt organization return for the organization named above. The extension is for the organization's return for  
 ►  calendar year 2010 or  
 ►  tax year beginning \_\_\_\_\_, 20\_\_\_\_\_, and ending \_\_\_\_\_, 20\_\_\_\_\_

2 If the tax year entered in line 1 is for less than 12 months, check reason  Initial return  Final return  
 Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a \$ <b>12,049.</b>
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b \$ <b>12,049.</b>
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c \$ <b>0.</b>

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Paperwork Reduction Act Notice, see Instructions.

Form 8868 (Rev. 1-2011)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box.

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1)

**Part II Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Type or print	Name of exempt organization <b>BATTLE FAMILY FOUNDATION</b>	Employer identification number <b>94-3350554</b>
File by the extended due date for filing your return. See instructions	Number, street, and room or suite no. If a P O box, see instructions <b>35 VICENTE ROAD</b>	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions <b>BERKELEY, CA 94705</b>	

Enter the Return code for the return that this application is for (file a separate application for each return) **0 4**

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

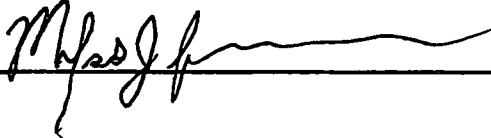
- The books are in the care of ► **A. GEORGE BATTLE**  
Telephone No ► **510 848-3653** FAX No ►
- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN)  If this is for the whole group, check this box  If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for

- I request an additional 3-month extension of time until **11/15, 2011**
- For calendar year **2010**, or other tax year beginning , 20 , and ending , 20
- If the tax year entered in line 5 is for less than 12 months, check reason  Initial return  Final return  
 Change in accounting period
- State in detail why you need the extension **ADDITIONAL TIME IS NECESSARY TO FILE A COMPLETE AND ACCURATE RETURN.**

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a \$ <b>12,049.</b>
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b \$ <b>12,049.</b>
c	<b>Balance Due.</b> Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c \$ <b>0.</b>

**Signature and Verification**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ►   
Title ► **MANAGING DIRECTOR** Date ► **08/09/2011**  
Form 8868 (Rev 1-2011)