



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2010

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2010, or tax year beginning , and ending

6 Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

| | | |
|---|--|--|
| Name of foundation THE PACKARD HUMANITIES INSTITUTE | | A Employer identification number 94-3038401 |
| Number and street (or P O box number if mail is not delivered to street address) 300 SECOND STREET | Room/suite | B Telephone number (650) 948-0150 |
| City or town, state, and ZIP code LOS ALTOS, CA 94022 | | C If exemption application is pending, check here <input type="checkbox"/> |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation | | D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 732,975,558. (Part I, column (d) must be on cash basis) | J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> |
| | | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/> |

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)) | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|--|--|------------------------------------|---------------------------|-------------------------|---|
| 1 Contributions, gifts, grants, etc., received | | | | N/A | |
| 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B | | | | | |
| 3 Interest on savings and temporary cash investments | | 208,116. | 208,116. | | |
| 4 Dividends and interest from securities | | 3,712,308. | 3,712,308. | | |
| 5a Gross rents | | | | | |
| b Net rental income or (loss) | | | | | |
| 6a Net gain or (loss) from sale of assets not on line 10 | | 11,194,454. | | | |
| b Gross sales price for all assets on line 6a | | 313,019,216. | | | |
| 7 Capital gain net income (from Part IV, line 2) | | | 11,194,454. | | |
| 8 Net short-term capital gain | | | | | |
| 9 Income modifications | | | | | |
| 10a Gross sales less returns and allowances | | | | | |
| b Less: Cost of goods sold | | | | | |
| c Gross profit or (loss) | | | | | |
| 11 Other income | | 175,879. | 175,810. | | STATEMENT 1 |
| 12 Total. Add lines 1 through 11 | | 15,290,757. | 15,290,688. | | |
| 13 Compensation of officers, directors, trustees, etc. | | 156,501. | 4,695. | | 89,213. |
| 14 Other employee salaries and wages | | 1,286,984. | 38,610. | | 1,278,435. |
| 15 Pension plans, employee benefits | | 559,712. | 16,790. | | 586,408. |
| 16a Legal fees STMT 2 | | 110,193. | 0. | | 115,552. |
| b Accounting fees STMT 3 | | 82,550. | 2,477. | | 80,073. |
| c Other professional fees STMT 4 | | 2,596,461. | 134,411. | | 2,648,724. |
| 17 Interest | | | | | |
| 18 Taxes STMT 5 | | 2,432,406. | 0. | | -6,693. |
| 19 Depreciation and depletion | | 150,344. | 0. | | |
| 20 Occupancy | | 542,238. | 16,267. | | 537,165. |
| 21 Travel, conferences, and meetings | | 51,841. | 0. | | 51,641. |
| 22 Printing and publications | | 186,252. | 0. | | 220,678. |
| 23 Other expenses STMT 6 | | 2,135,683. | 3,742. | | 1,897,376. |
| 24 Total operating and administrative expenses. Add lines 13 through 23 | | 10,291,165. | 216,992. | | 7,498,572. |
| 25 Contributions, gifts, grants paid | | 12,119,528. | | | 10,800,378. |
| 26 Total expenses and disbursements. Add lines 24 and 25 | | 22,410,693. | 216,992. | | 18,298,950. |
| 27 Subtract line 26 from line 12: | | | | | |
| a Excess of revenue over expenses and disbursements | | -7,119,936. | | | |
| b Net investment income (if negative, enter -0-) | | | 15,073,696. | | |
| c Adjusted net income (if negative, enter -0-) | | | | N/A | |

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

| | | Beginning of year | End of year | |
|---|--|-------------------|----------------|-----------------------|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 Cash - non-interest-bearing | | | |
| | 2 Savings and temporary cash investments | 1,045,805. | 150,223,870. | 150,223,870. |
| | 3 Accounts receivable ▶ 612,015. | | | |
| | Less: allowance for doubtful accounts ▶ | 943,837. | 612,015. | 612,015. |
| | 4 Pledges receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 Other notes and loans receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 8 Inventories for sale or use | 2,513,185. | 2,523,594. | 2,523,594. |
| | 9 Prepaid expenses and deferred charges | 277,986. | 94,275. | 94,275. |
| | 10a Investments - U.S. and state government obligations | 154,877,550. | | |
| | b Investments - corporate stock STMT 9 | 527,441,808. | 534,546,407. | 534,546,407. |
| | c Investments - corporate bonds | | | |
| Liabilities | 11 Investments - land, buildings, and equipment basis ▶ | | | |
| | Less: accumulated depreciation ▶ | | | |
| | 12 Investments - mortgage loans | | | |
| | 13 Investments - other | | | |
| | 14 Land, buildings, and equipment basis ▶ 3,969,569. | | | |
| | Less: accumulated depreciation STMT 10 ▶ 1,865,293. | 2,038,334. | 2,104,276. | 2,104,276. |
| | 15 Other assets (describe ▶ STATEMENT 11) | 37,541,721. | 42,871,121. | 42,871,121. |
| | 16 Total assets (to be completed by all filers) | 726,680,226. | 732,975,558. | 732,975,558. |
| | 17 Accounts payable and accrued expenses | 1,163,281. | 880,672. | |
| | 18 Grants payable | 783,326. | 2,102,476. | |
| | 19 Deferred revenue | | | |
| 20 Loans from officers, directors, trustees, and other disqualified persons | | | | |
| 21 Mortgages and other notes payable | | | | |
| 22 Other liabilities (describe ▶ DEFERRED TAXES) | 4,120,354. | 6,247,118. | | |
| 23 Total liabilities (add lines 17 through 22) | 6,066,961. | 9,230,266. | | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. | | | |
| | 24 Unrestricted | 720,613,265. | 723,745,292. | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31. | | | |
| | 27 Capital stock, trust principal, or current funds | | | |
| | 28 Paid-in or capital surplus, or land, bldg., and equipment fund | | | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | | | |
| | 30 Total net assets or fund balances | 720,613,265. | 723,745,292. | |
| | 31 Total liabilities and net assets/fund balances | 726,680,226. | 732,975,558. | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | |
|--|---|--------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 720,613,265. |
| 2 Enter amount from Part I, line 27a | 2 | -7,119,936. |
| 3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 8 | 3 | 10,251,963. |
| 4 Add lines 1, 2, and 3 | 4 | 723,745,292. |
| 5 Decreases not included in line 2 (itemize) ▶ | 5 | 0. |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 723,745,292. |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|--|---|----------------------------------|
| 1a SALE OF SECURITIES | | D | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |
| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) | |
| a 313,019,216. | | 301,824,762. | 11,194,454. | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) | |
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | | |
| a | | | 11,194,454. | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |
| 2 Capital gain net income or (net capital loss) | | 2 | | 11,194,454. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 | | 3 | | N/A |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|--|--|--|---|
| 2009 | 23,642,383. | 561,006,930. | .042143 |
| 2008 | 26,972,362. | 674,875,563. | .039966 |
| 2007 | 67,171,049. | 757,662,623. | .088656 |
| 2006 | 67,181,133. | 723,868,710. | .092808 |
| 2005 | 73,858,318. | 686,805,583. | .107539 |

| | | |
|---|---|--------------|
| 2 Total of line 1, column (d) | 2 | .371112 |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .074222 |
| 4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5 | 4 | 635,398,288. |
| 5 Multiply line 4 by line 3 | 5 | 47,160,532. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 150,737. |
| 7 Add lines 5 and 6 | 7 | 47,311,269. |
| 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions. | 8 | 22,986,056. |

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | |
|--|----|----------|----------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions) | | | |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b | | 1 | 301,474. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | | |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 2 | 0. |
| 3 Add lines 1 and 2 | | 3 | 301,474. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 4 | 0. |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | | 5 | 301,474. |
| 6 Credits/Payments: | | | |
| a 2010 estimated tax payments and 2009 overpayment credited to 2010 | 6a | 393,516. | |
| b Exempt foreign organizations - tax withheld at source | 6b | | |
| c Tax paid with application for extension of time to file (Form 8868) | 6c | | |
| d Backup withholding erroneously withheld | 6d | | |
| 7 Total credits and payments. Add lines 6a through 6d | 7 | 393,516. | |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached | 8 | | |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | 9 | | |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | 10 | 92,042. | |
| 11 Enter the amount of line 10 to be: Credited to 2011 estimated tax <input type="checkbox"/> 92,042. Refunded <input type="checkbox"/> 0. | 11 | 0. | |

Part VII Statements Regarding Activities

| | Yes | No |
|--|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | | X |
| 1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. | | X |
| 1c Did the foundation file Form 1120-POL for this year? | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0. | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0. | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities | 2 | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | 3 | X |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? | 4a | X |
| b If "Yes," has it filed a tax return on Form 990-T for this year? | 4b | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. | 5 | X |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. | 7 | X |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CA, MA, VA, NY | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation | 8b | X |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV | 9 | X |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | 10 | X |

Form 990-PF (2010)

Part VII-A Statements Regarding Activities (continued)

| | | | | |
|----|--|---|---|----|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.PACKHUM.ORG | 13 | X | |
| 14 | The books are in care of ► A. ASTRAS Located at ► 300 SECOND STREET, LOS ALTOS, CA | Telephone no. ► (650) 948-0150 ZIP+4 ► 94022 | | |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A | | | |
| 16 | At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ► ITALY | 16 | X | No |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

| | Yes | No |
|---|---|----|
| 1a During the year did the foundation (either directly or indirectly): | | |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/> | 1b | X |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010? | 1c | X |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | |
| a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? If "Yes," list the years ► _____ | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) | N/A | 2b |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____ | | |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.) | N/A | 3b |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | 4a |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010? | | 4b |

Form 990-PF (2010)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☒ Yes ☐ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ Nob Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ Nob If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|---|---|---|---------------------------------------|
| SEE STATEMENT 12 | | 110,644. | 44,786. | 1,070. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| WILKINS POE | EDITOR-PROGRAMMER | | | |
| 300 SECOND ST., LOS ALTOS, CA 94022 | 40.00 | 146,465. | 50,358. | 374. |
| STEPHEN V.F. WAITE | PROJECT OFFICER | | | |
| 300 SECOND ST., LOS ALTOS, CA 94022 | 40.00 | 114,532. | 19,211. | 4,318. |
| PAUL CORNEILSON - 11-A MT AUBURN ST, | MANAGING EDITOR | | | |
| CAMBRIDGE, MA 02138 | 40.00 | 85,842. | 45,374. | 266. |
| MARK KNOLL - 11-A MT AUBURN ST, | MUSIC EDITOR | | | |
| CAMBRIDGE, MA 02138 | 40.00 | 80,169. | 44,532. | 279. |
| CYNTHIA MORTENSEN | PROGRAM MANAGER | | | |
| 300 SECOND ST., LOS ALTOS, CA 94022 | 40.00 | 79,756. | 21,230. | 295. |
| Total number of other employees paid over \$50,000 | | | | 11 |

Form 990-PF (2010)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| BAR ARCHITECTS 543 HOWARD ST, SAN FRANCISCO, CA 94105 | ARCHITECT | 332,563. |
| FOUNDING FATHERS INC PRINCETON UNIVERSITY, PRINCETON, NJ 08544 | EDITORIAL WORK | 152,325. |
| FINCH MONTGOMERY WRIGHT LLP - 350 CAMBRIDGE AVENUE, SUITE 175, PALO ALTO, CA 94306 | LEGAL | 76,492. |
| DELOITTE & TOUCHE, LLP - P.O. BOX 7247-6446, PHILADELPHIA, PA 19170-6646 | AUDIT | 65,800. |
| SEMANTIX MEDIA GROUP LLC 677 WEST END AVENUE, NEW YORK, NY 10025 | CONSULTING | 60,105. |
| Total number of others receiving over \$50,000 for professional services | | 2 |

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

| | Expenses |
|---|------------|
| 1 SEE STATEMENT 13 | 4,215,376. |
| 2 FILM PRESERVATION AND DIGITIZATION PROJECTS | 1,325,238. |
| 3 PRE CONSTRUCTION COSTS OF A FILM ARCHIVE AND PRESERVATION CENTER IN SANTA CLARITA, CA | 472,353. |
| 4 COSTS FOR THE PROJECT AT THE HERCULANEUM | 342,203. |

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

| | Amount |
|--|--------|
| 1 N/A | |
| 2 | |
| All other program-related investments. See instructions. | |
| 3 | |
| Total. Add lines 1 through 3 | 0. |

Form 990-PF (2010)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|----|--------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 588,448,209. |
| b | Average of monthly cash balances | 1b | 56,014,180. |
| c | Fair market value of all other assets | 1c | 612,015. |
| d | Total (add lines 1a, b, and c) | 1d | 645,074,404. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | 0. |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 645,074,404. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 9,676,116. |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 635,398,288. |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 | 31,769,914. |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

| | | | |
|----|--|----|-------------|
| 1 | Minimum investment return from Part X, line 6 | 1 | 31,769,914. |
| 2a | Tax on investment income for 2010 from Part VI, line 5 | 2a | 301,474. |
| b | Income tax for 2010. (This does not include the tax from Part VI.) | 2b | |
| c | Add lines 2a and 2b | 2c | 301,474. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 31,468,440. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 1,098,174. |
| 5 | Add lines 3 and 4 | 5 | 32,566,614. |
| 6 | Deduction from distributable amount (see instructions) | 6 | 0. |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 32,566,614. |

Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|----|-------------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 18,298,950. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | 4,687,106. |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 22,986,056. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5 | 0. |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 22,986,056. |

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2010)

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2009 | (c) 2009 | (d) 2010 |
|---|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2010 from Part XI, line 7 | | | | 32,566,614. |
| 2 Undistributed income, if any, as of the end of 2010 | | | | |
| a Enter amount for 2009 only | | | 0. | |
| b Total for prior years: | | 0. | | |
| 3 Excess distributions carryover, if any, to 2010: | | | | |
| a From 2005 | | | | |
| b From 2006 | | | | |
| c From 2007 | 15,309,289. | | | |
| d From 2008 | 26,972,362. | | | |
| e From 2009 | 23,642,383. | | | |
| f Total of lines 3a through e | 65,924,034. | | | |
| 4 Qualifying distributions for 2010 from Part XII, line 4: ► \$ 22,986,056. | | | | |
| a Applied to 2009, but not more than line 2a | | | 0. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) ** | 22,986,056. | | | |
| d Applied to 2010 distributable amount | | | | 0. |
| e Remaining amount distributed out of corpus | 0. | | | |
| 5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a)) | 32,566,614. | | | 32,566,614. |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 56,343,476. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011 | | | | 0. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) | 0. | | | |
| 8 Excess distributions carryover from 2005 not applied on line 5 or line 7 | 0. | | | |
| 9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a | 56,343,476. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2006 | | | | |
| b Excess from 2007 | | | | |
| c Excess from 2008 | 9,715,037. | | | |
| d Excess from 2009 | 23,642,383. | | | |
| e Excess from 2010 | 22,986,056. | | | |

** SEE STATEMENT 14

Form 990-PF (2010)

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|------------------------|
| Name and address (home or business) | | | | |
| a Paid during the year SEE STATEMENT 14A | | | | 10800378. |
| Total | | | | ▶ 3a 10800378. |
| b Approved for future payment SEE STATEMENT 14B | | | | 1,380,000. |
| Total | | | | ▶ 3b 1,380,000. |

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

| | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income |
|--|---------------------------|---------------|--------------------------------------|---------------|---|
| | (a) Business code | (b) Amount | (c) Exclu- sion code | (d) Amount | |
| 1 Program service revenue: | | | | | |
| a C.P.E. BACH BOOK SALES | | | | | 61,360. |
| b MOZART OPERA FACSIMILE | | | | | |
| c SALES | | | | | 114,450. |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 208,116. | |
| 4 Dividends and interest from securities | | | 14 | 3,712,308. | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 11,194,454. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: | | | | | |
| a GRANT REFUND | | | | | 69. |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | 0. | | 15,114,878. | 175,879. |
| 13 Total. Add line 12, columns (b), (d), and (e) | | | | 13 | 15,290,757. |

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). |
|----------|--|
| ▼ | |
| | PHI'S CURRENT OPERATING ACTIVITIES CONSIST OF: |
| 1A | EDITING AND PUBLISHING THE MUSICAL SCORES OF CARL PHILIPP EMANUEL BACH (C.P.E. BACH), WHO WAS A SON OF THE FAMOUS JOHANN SEBASTIAN BACH. THE YOUNGER BACH WAS AN IMPORTANT AND PROLIFIC COMPOSER, BUT MOST OF HIS WORKS HAVE NOT BEEN PUBLISHED IN MODERN TIMES. PHI IS IN THE PROCESS OF PUBLISHING A COMPREHENSIVE MULTI-VOLUME EDITION OF ALL OF C.P.E. BACH'S COMPOSITIONS. |
| 1B | EDITING AND PUBLISHING FACSIMILE EDITIONS OF MOZART'S OPERAS. PHI HAS MADE DIGITAL PHOTOGRAPHS OF THE ORIGINAL SCORES AND IS PUBLISHING FACSIMILES OF 7 OF MOZART'S OPERAS. |
| | PHI'S EXEMPT PURPOSES ARE SERVED BY MAKING THIS MUSIC AVAILABLE TO MANY PROFESSIONAL MUSICIANS, SCHOLARS, STUDENTS, LIBRARIES, COLLEGES, AND UNIVERSITIES IN THE U.S. AND ABROAD WHO WOULD OTHERWISE NOT HAVE AN OPPORTUNITY TO OBTAIN ACCESS TO THEM IN ANY FORM. AS THESE VOLUMES ARE COMPLETED, PHI MAKES THEM AVAILABLE FOR SALE, ON A NON-DISCRIMINATORY BASIS, TO ANY INTERESTED INDIVIDUAL OR INSTITUTION FOR A NOMINAL SALES PRICE WHICH DOES NOT COVER THE FULL COST OF PRODUCING AND DISTRIBUTING THE PUBLISHED VOLUMES. |

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes |
|----------|--|--------------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | |
| | (1) Cash | 1a(1) |
| | (2) Other assets | 1a(2) |
| b | Other transactions: | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) |
| | (4) Reimbursement arrangements | 1b(4) |
| | (5) Loans or loan guarantees | 1b(5) |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | |

| | Yes | No |
|-------|-----|----|
| 1a(1) | | X |
| 1a(2) | | X |
| 1b(1) | | X |
| 1b(2) | | X |
| 1b(3) | | X |
| 1b(4) | | X |
| 1b(5) | | X |
| 1b(6) | | X |
| 1c | | X |


[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
| N/A | | |
| | | |
| | | |
| | | |
| | | |

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.


Signature of officer or trustee

Date _____

CEO
Title

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date _____

Check ☐ self-employed

PTIN

SHARON L. ZORBACH

Shaun Zibach
LLP

11/10/11

Firm's name ► DELOITTE TAX LLP

Firm's EIN ▶

Firm's address ► 225 WEST SANTA CLARA STREET
SAN JOSE, CA 95113

Phone no. 408-704-4000

| FORM 990-PF | OTHER INCOME | | STATEMENT | 1 |
|---------------------------------------|-----------------------------|-----------------------------------|-------------------------------|---|
| DESCRIPTION | (A) REVENUE PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | |
| C.P.E. BACH BOOK SALES | 61,360. | 61,360. | | |
| MOZART OPERA FACSIMILE SALES | 114,450. | 114,450. | | |
| GRANT REFUND | 69. | 0. | | |
| TOTAL TO FORM 990-PF, PART I, LINE 11 | 175,879. | 175,810. | | |

| FORM 990-PF | LEGAL FEES | | STATEMENT | 2 |
|----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
| GENERAL LEGAL FEES | 110,193. | 0. | | 115,552. |
| TO FM 990-PF, PG 1, LN 16A | 110,193. | 0. | | 115,552. |

| FORM 990-PF | ACCOUNTING FEES | | STATEMENT | 3 |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
| AUDIT/TAX SERVICES | 82,550. | 2,477. | | 80,073. |
| TO FORM 990-PF, PG 1, LN 16B | 82,550. | 2,477. | | 80,073. |

| FORM 990-PF | OTHER PROFESSIONAL FEES | | STATEMENT | 4 |
|-------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
| CONSULTANTS/RESEARCHERS | 2,270,452. | 0. | | 2,451,843. |
| INVESTMENT CUSTODY FEES | 134,411. | 134,411. | | 0. |
| OTHER PROFESSIONAL | | | | |
| CONSULTING | 8,832. | 0. | | 9,633. |
| MUSIC EDITING | 175,457. | 0. | | 179,939. |

THE PACKARD HUMANITIES INSTITUTE

94-3038401

| | | | |
|------------------------------|------------|----------|------------|
| PHOTOGRAPHY | 1,609. | 0. | 1,609. |
| RECORDING PERFORMERS | 5,700. | 0. | 5,700. |
| TO FORM 990-PF, PG 1, LN 16C | 2,596,461. | 134,411. | 2,648,724. |

FORM 990-PF TAXES STATEMENT 5

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| EXCISE TAXES | 2,413,853. | 0. | | 0. |
| CA FILING FEES | 185. | 0. | | 185. |
| MA FILING FEES | 379. | 0. | | 379. |
| NY FILING FEES | 1,500. | 0. | | 1,500. |
| VAT AUSTRIA | 422. | 0. | | -27,713. |
| SALES TAX/PROPERTY TAX | 16,067. | 0. | | 18,956. |
| TO FORM 990-PF, PG 1, LN 18 | 2,432,406. | 0. | | -6,693. |

FORM 990-PF OTHER EXPENSES STATEMENT 6

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| PROPERTY MANAGEMENT | 21,722. | 0. | | 21,666. |
| TELEPHONE & COMMUNICATIONS | 55,023. | 1,651. | | 52,653. |
| PHOTOCOPYING | 549. | 0. | | 3,817. |
| MAINTENANCE & REPAIR | 4,619. | 0. | | 4,619. |
| INSURANCE | 69,710. | 2,091. | | 65,617. |
| COMPUTER EXPENSES | 13,916. | 0. | | 15,431. |
| BOOKS & SUBSCRIPTIONS | 13,726. | 0. | | 10,369. |
| MISCELLANEOUS EXPENSES | 2,549. | 0. | | 2,578. |
| BANK FEES | 330. | 0. | | 287. |
| STORAGE COSTS | 205,529. | 0. | | 33,562. |
| MEMBERSHIPS & DUES | 3,863. | 0. | | 3,863. |
| ADVERTISING FEES | 131,242. | 0. | | 131,242. |
| SUPPLIES & POSTAGE | 70,755. | 0. | | 71,627. |
| TEMPORARY OFFICE HELP | 109,831. | 0. | | 113,044. |
| BOOK DISTRIBUTION | 35,450. | 0. | | 38,429. |
| DIRECT COSTS- SANTA CLARITA | 666,611. | 0. | | 669,940. |
| DIRECT COSTS- CULPEPER | 1,120. | 0. | | 1,120. |
| DIRECT COSTS- FILM LAB LA | 729,138. | 0. | | 668,909. |
| OPERATING EXPENSES | 0. | 0. | | -11,397. |
| TO FORM 990-PF, PG 1, LN 23 | 2,135,683. | 3,742. | | 1,897,376. |

FOOTNOTES

STATEMENT 7

BARBARA WRIGHT IS AN OFFICER OF PHI. HER FIRM, FINCH MONTGOMERY WRIGHT LLP, PROVIDES LEGAL SERVICES TO PHI. FEES FOR THESE SERVICES ARE PAID TO THE FIRM AND ARE FOR LEGAL SERVICES, NOT FOR SERVICES IN HER CAPACITY AS AN OFFICER.

G. GERVAISE DAVIS III IS A DIRECTOR ON THE BOARD OF PHI. HE PROVIDED LEGAL SERVICES TO PHI. FEES FOR THESE SERVICES ARE PAID TO HIM AND ARE FOR LEGAL SERVICES, NOT FOR SERVICES IN HIS CAPACITY AS A DIRECTOR ON THE BOARD.

DR. CHRISTOPH WOLFF IS A DIRECTOR ON THE BOARD FOR PHI. HE PROVIDED PERSONAL SERVICES AS EDITOR OF PHI'S SCHOLARLY PUBLICATIONS. FEES ARE PAID TO HIM FOR HIS PERSONAL SERVICES, NOT FOR SERVICES IN HIS CAPACITY AS A DIRECTOR ON THE BOARD.

MEMBERS OF THE FOUNDATION'S BOARD OF DIRECTORS SERVE WITHOUT COMPENSATION. THE FOUNDATION PAID A PREMIUM OF \$20,660 FOR DIRECTORS' AND OFFICERS' INSURANCE FOR 2010, WHICH IS TREATED AS A NON TAXABLE FRINGE BENEFIT.

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 8

| DESCRIPTION | AMOUNT |
|---|-------------|
| UNREALIZED GAINS | 9,153,789. |
| NONMONETARY TRANSFER OF ASSETS FROM RELATED PARTY | 1,098,174. |
| TOTAL TO FORM 990-PF, PART III, LINE 3 | 10,251,963. |

FORM 990-PF CORPORATE STOCK STATEMENT 9

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|--------------|-------------------|
| VERIGY LTD SINGAPORE | 7,828,418. | 7,828,418. |
| AGILENT TECHNOLOGIES INC | 203,455,604. | 203,455,604. |
| HEWLETT PACKARD CO | 195,314,530. | 195,314,530. |
| EXCHANGE TRADED FUNDS | 127,947,855. | 127,947,855. |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 534,546,407. | 534,546,407. |

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 10

| DESCRIPTION | COST OR OTHER BASIS | ACCUMULATED DEPRECIATION | BOOK VALUE |
|------------------------------------|---------------------|--------------------------|------------|
| OFFICE FURNITURE AND EQUIPMENT | 836,848. | 703,081. | 133,767. |
| COMPUTER HARDWARE/SOFTWARE | 818,280. | 724,633. | 93,647. |
| VIDEO EQUIPMENT | 65,297. | 65,297. | 0. |
| LIBRARY | 9,077. | 9,077. | 0. |
| LAND | 1,232,303. | 0. | 1,232,303. |
| BUILDINGS | 1,007,764. | 363,205. | 644,559. |
| TOTAL TO FM 990-PF, PART II, LN 14 | 3,969,569. | 1,865,293. | 2,104,276. |

| | | |
|-------------|--------------|--------------|
| FORM 990-PF | OTHER ASSETS | STATEMENT 11 |
|-------------|--------------|--------------|

| DESCRIPTION | BEGINNING OF YR BOOK VALUE | END OF YEAR BOOK VALUE | FAIR MARKET VALUE |
|--|-------------------------------|---------------------------|----------------------|
| ASSETS HELD FOR CHARITABLE PURPOSES | 23,169,532. | 26,979,910. | 26,979,910. |
| ART COLLECTION | 14,372,189. | 15,891,211. | 15,891,211. |
| TO FORM 990-PF, PART II, LINE 15 | 37,541,721. | 42,871,121. | 42,871,121. |

| | | |
|-------------|---|--------------|
| FORM 990-PF | PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS | STATEMENT 12 |
|-------------|---|--------------|

SEE STATEMENT 7

| NAME AND ADDRESS | TITLE AND AVRG HRS/WK | COMPEN- SATION | EMPLOYEE BEN PLAN CONTRIB | EXPENSE ACCOUNT |
|---|-----------------------------|-------------------|------------------------------|--------------------|
| DAVID W. PACKARD PHI, 300 SECOND STREET LOS ALTOS, CA 94022 | PRES/CHAIR/DIRECTOR 0.00 | 0. | 0. | 0. |
| SUSAN PACKARD ORR PHI, 300 SECOND STREET LOS ALTOS, CA 94022 | VICE PRES./DIRECTOR 0.00 | 0. | 0. | 0. |
| BARBARA P. WRIGHT PHI, 300 SECOND STREET LOS ALTOS, CA 94022 | SECRETARY 0.00 | 0. | 0. | 0. |
| ALBERTA ASTRAS PHI, 300 SECOND STREET LOS ALTOS, CA 94022 | TREASURER & CFO 25.00 | 110,644. | 44,786. | 1,070. |
| ROBERT J. GLASER, M.D. PHI, 300 SECOND STREET LOS ALTOS, CA 94022 | DIRECTOR 0.00 | 0. | 0. | 0. |
| G. GERVAISE DAVIS III PHI, 300 SECOND STREET LOS ALTOS, CA 94022 | DIRECTOR 0.00 | 0. | 0. | 0. |
| WALTER B. HEWLETT PHI, 300 SECOND STREET LOS ALTOS, CA 94022 | DIRECTOR 0.00 | 0. | 0. | 0. |

THE PACKARD HUMANITIES INSTITUTE

94-3038401

| | | | | |
|--|------------------|----|----|----|
| WILLIAM A. JOHNSON PHI, 300 SECOND STREET LOS ALTOS, CA 94022 | DIRECTOR 0.00 | 0. | 0. | 0. |
| PAMELA M. PACKARD PHI, 300 SECOND STREET LOS ALTOS, CA 94022 | DIRECTOR 0.00 | 0. | 0. | 0. |
| CHRISTOPH J. WOLFF PHI, 300 SECOND STREET LOS ALTOS, CA 94022 | DIRECTOR 0.00 | 0. | 0. | 0. |
| RICHARD HODGES PHI, 300 SECOND STREET LOS ALTOS, CA 94022 | DIRECTOR 0.00 | 0. | 0. | 0. |
| ARIANNA PACKARD MARTELL PHI, 300 SECOND STREET LOS ALTOS, CA 94022 | DIRECTOR 0.00 | 0. | 0. | 0. |

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

110,644.

44,786.

1,070.

FORM 990-PF

SUMMARY OF DIRECT CHARITABLE ACTIVITIES

STATEMENT 13

ACTIVITY ONE

HUMANITIES DATA BASE PROJECTS: SUPPORT FOR THE PRESERVATION,
EDITING, AND DISEMINATION OF HISTORICAL MUSIC (INCLUDING
C.P.E. BACH AND MOZART) AND DOCUMENTS (INCLUDING GREEK
INSCRIPTION AND THE PAPERS OF THE FOUNDING FATHERS)

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 1

4,215,376.

FORM 990-PF

ELECTION UNDER REGULATIONS SECTION
53.4942(A)-3(D)(2) TO TREAT
EXCESS QUALIFYING DISTRIBUTIONS
AS DISTRIBUTIONS OUT OF CORPUS

STATEMENT 14

THE PACKARD HUMANITIES INSTITUTE HEREBY ELECTS TO TREAT CURRENT YEAR
QUALIFYING DISTRIBUTIONS IN EXCESS OF THE IMMEDIATELY PRECEDING
YEARS' UNDISTRIBUTED INCOME AS MADE OUT OF CORPUS.

TAX YEAR 2007: \$15,309,289

TAX YEAR 2008: \$17,257,325

SIGNED: Alberta G. Ashas, CFO

The Packard Humanities Institute FEIN: 94-3038401
Form 990-PF (2010) Part XV, 3a Grants Paid Statement 14A

American Guild of Organists *475 Riverside Drive, Suite 1260, New York, NY 10115*

Purpose: Dennis James' performance at the Jacobs School of Music Pipe Organ Encounter
Foundation Status: public charity
Amount: \$2,100.00 Grant # 10-1514

American Research Center in Sofia *c/o Department of Classics, 120 Goldwin Smith Hall, Cornell University, Ithaca, NY 14853*

Purpose: Support for salaries and benefits
Foundation Status: public charity
Amount: \$200,000.00 Grant # 10-1527

American School of Classical Studies in Athens *6-8 Charlton Street, Princeton, NJ 08540*

Purpose: Excavations in the ancient Agora (marketplace) of Athens
Foundation Status: public charity
Amount: \$322,600.00 Grant # 10-1521

Bach Archive, Leipzig *Thomaskirchhof 15-16, 04109 Leipzig, Germany*

Purpose: To complete publication of W.F. Bach volumes 1, 5 and 6.
Foundation Status: foreign organization
Amount: \$41,000.00 Grant # 10-1513

Bach Archive, Leipzig *Thomaskirchhof 15-16, 04109 Leipzig, Germany*

Purpose: Bach family research, publications, library, museum and general operations
Foundation Status: foreign organization
Amount: \$146,500.00 Grant # 10-1528

Bach Archive, Leipzig *Thomaskirchhof 15-16, 04109 Leipzig, Germany*

Purpose: Completion of W. F. Bach Edition
Foundation Status: foreign organization
Amount: \$282,000.00 Grant # 10-1529

Bainbridge Island Arts & Humanities Council *221 Winslow Way W, Bainbridge Island, WA 98110-4915*

Purpose: Dennis James' four performances in the Lynwood Theatre's Silent Film Series
Foundation Status: public charity
Amount: \$6,000.00 Grant # 10-1515

British School at Rome *Via Gramsci 61, 00197 Rome, Italy*

Purpose: Herculaneum conservation: exit Phase I (2010-2012)
Foundation Status: foreign organization
Amount: \$3,000,000.00 Grant # 10-1522

Butrint Foundation *64a The Close, Norwich NR1 4DH, United Kingdom*

Purpose: Activities of the Albanian Heritage Foundation and the Gjirokastra Conservation Organization
Foundation Status: foreign organization
Amount: \$750,000.00 Grant # 10-1511

The Packard Humanities Institute FEIN: 94-3038401

Form 990-PF (2010) Part XV, 3a Grants Paid Statement 14A

Historic Tacoma *P.O. Box 7664, Tacoma, WA 98417-0664*

Purpose: Silent Film performance

Foundation Status: public charity

Amount: \$3,298.94 Grant # 10-1532

International Mozarteum Foundation *Schwarzstrasse 26, 5020 Salzburg, Austria*

Purpose: Two silent film presentations in May 2010

Foundation Status: foreign organization

Amount: \$7,975.00 Grant # 10-1520

International Mozarteum Foundation *Schwarzstrasse 26, 5020 Salzburg, Austria*

Purpose: Support for digital edition of Mozart

Foundation Status: foreign organization

Amount: \$1,610,000.00 Grant # 10-1523

Mihai Eminescu Trust *15 Clarendon Road, London W11 4JB, United Kingdom*

Purpose: Conservation of historic village architecture and traditions in Romania

Foundation Status: foreign organization

Amount: \$1,000,000.00 Grant # 10-1531

National Gallery of Art *Department of Film, 2000B South Club Drive, Landover, MD 20785*

Purpose: Four performances by Dennis James plus organ rental during the 2009-2010 season.

Foundation Status: government unit

Amount: \$18,800.00 Grant # 10-1517

Ohio State University *OSU Research Foundation, Columbus, OH 43210*

Purpose: Isthmia excavations and Roman Bath conservation

Foundation Status: government unit

Amount: \$123,600.00 Grant # 10-1525

Opera San Jose *2149 Paragon Drive, San Jose, CA 95131*

Purpose: Memberships, conference fees and travel expenses 2010

Foundation Status: public charity

Amount: \$50,000.00 Grant # 10-1524

PHI Directors' Matching Grants Program *The Packard Humanities Institute, 300 Second Street, Los Altos, CA 94022*

Purpose: Matching Grants to public charities (other than Type III supporting organizations) made by PHI Directors (details available on request).

Foundation Status: public charity

Amount: \$60,850.00 Grant # 09-1904

PHI Directors' Matching Grants Program *The Packard Humanities Institute, 300 Second Street, Los Altos, CA 94022*

Purpose: Matching Grants to public charities (other than Type III supporting organizations) made by PHI Directors (details available on request).

Foundation Status: public charity

The Packard Humanities Institute FEIN: 94-3038401

Form 990-PF (2010) Part XV, 3a Grants Paid Statement 14A

Amount: \$205,570.00 Grant # 10-1905

Palace Cultural Arts Association 276 W. Center Street, Marion, OH 43302

Purpose: Four Dennis James organ performances in 2010-2011

Foundation Status: public charity

Amount: \$7,400.00 Grant # 10-1519

Regents of the University of California c/o UCLA Film Archive, 1015 North Cahuenga Boulevard, Hollywood, CA 90038

Purpose: Facilities operating costs for the UCLA Film Archive

Foundation Status: government unit

Amount: \$444,300.00 Grant # 10-1507

Regents of the University of California c/o UCLA Film Archive, 1015 North Cahuenga Boulevard, Hollywood, CA 90038

Purpose: Staff salaries for the film preservation laboratory

Foundation Status: government unit

Amount: \$616,575.00 Grant # 10-1509

Regents of the University of California c/o Pacific Film Archive, 2625 Durant Avenue, Berkeley, CA 94720

Purpose: Support for the Pacific Film Archive in Berkeley, California

Foundation Status: government unit

Amount: \$125,000.00 Grant # 10-1510

San Francisco Silent Film Festival 833 Market Street, Suite 812, San Francisco, CA 94103

Purpose: Silent film festival at the Castro Theatre in San Francisco

Foundation Status: public charity

Amount: \$10,000.00 Grant # 10-1530

Saxon Academy of Sciences Karl-Tauchnitzstr. 1, 04107 Leipzig, Germany

Purpose: Bach Repertorium research project.

Foundation Status: foreign organization

Amount: \$175,000.00 Grant # 10-1506

Stanford Theatre Foundation 300 Second Street, Los Altos, CA 94022

Purpose: General support for exhibition of classic films to the public.

Foundation Status: private foundation

Amount: \$150,000.00 Grant # 10-1508

Stanford Theatre Foundation 300 Second Street, Los Altos, CA 94022

Purpose: Stanford Theatre general operations

Foundation Status: private foundation

Amount: \$150,000.00 Grant # 10-1526

University of Texas at Austin Office of Sponsored Projects, PO Box 7726, Austin, TX 78713

Purpose: Archaeological research at Metaponto and Croton, Italy and at Chersonesus, Ukraine

Foundation Status: government unit

The Packard Humanities Institute FEIN: 94-3038401

Form 990-PF (2010) Part XV, 3a Grants Paid Statement 14A

Amount: \$988,659.00 Grant # 10-1512

University of Western Australia *Research Grants Office, M459, 35 Stirling Highway, Crawley, WA 6009, Australia*

Purpose: Aerial Archeology in Jordan, 2010 season

Foundation Status: foreign organization

Amount: \$300,000.00 Grant # 10-1518

Women in Film / Seattle *1216 Tenth Avenue, Seattle, WA 98122*

Purpose: Dennis James' performance in April 2010 at the Admiral Theater in West Seattle.

Foundation Status: public charity

Amount: \$3,150.00 Grant # 10-1516

Grand total: \$10,800,377.94

The Packard Humanities Institute FEIN: 94-3038401

Form 990-PF (2010) Part XV, 3b Grants Approved for Future Payment Statement 14B

PHI Directors' Matching Grants Program *The Packard Humanities Institute, 300 Second Street, Los Altos, CA 94022*

Purpose: Matching Grants to public charities (other than Type III supporting organizations) made by PHI Directors (details available on request).

Foundation Status: public charity

Amount: \$235,570.00 **Grant date:** 12/31/10 **Grant #** 10-1905

Approved for Future Payment: \$30,000.00

Regents of the University of California *c/o UCLA Film Archive, 1015 North Cahuenga Boulevard, Hollywood, CA 90038*

Purpose: General laboratory expenses for the UCLA Film Archive

Foundation Status: government unit

Amount: \$350,000.00 **Grant date:** 12/31/10 **Grant #** 10-1535

Approved for Future Payment: \$350,000.00

Regents of the University of California *c/o UCLA Film Archive, 1015 North Cahuenga Boulevard, Hollywood, CA 90038*

Purpose: Staffing expenses for UCLA Film Archive personnel

Foundation Status: government unit

Amount: \$750,000.00 **Grant date:** 12/31/10 **Grant #** 10-1534

Approved for Future Payment: \$750,000.00

Chancellor, Masters & Scholars of the University of Oxford *University Offices, Wellington, Square, Oxford OX1 2JD, U.K.*

Purpose: Salary for senior editor of Supplementum Epigraphicum Graecum (SEG) for 3 years

Foundation Status: public charity

Amount: \$250,000.00 **Grant date:** 7/26/10 **Grant #** 10-1533

Approved for Future Payment: \$250,000.00

Grand total: \$1,380,000.00

The Packard Humanities Institute FEIN: 94-3038401

Form 990-PF (2010) Part VII - B, (5)c Expenditure Responsibility Statement 15

Bach Archive, Leipzig *Thomaskirchhof 15-16, 04109 Leipzig, Germany*

Purpose: Historical research, conservation and publication of music by Bach.

Amount: \$275,000.00 Grant date: 2/27/01 Grant # 01-1160

Expended: \$275,000.00

Reports: 3/22/02, 7/27/03, 2/29/04, 11/10/05, 11/29/07, 12/16/08, 1/28/09, 12/16/09, 4/1/11

During 2010, no reports were received from the grantee. PHI has made a diligent effort and has received the outstanding report in 2011.

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Bach Archive, Leipzig *Thomaskirchhof 15-16, 04109 Leipzig, Germany*

Purpose: Renovation of historic concert hall in Leipzig, Germany.

Amount: \$465,000.00 Grant date: 2/28/01 Grant # 01-1161

Expended: \$465,000.00

Reports: 1/29/03, 7/28/03, 2/29/04, 11/10/05, 7/25/07, 12/20/07, 4/30/08, 1/28/09, 12/16/09, 4/1/11

During 2010, no reports were received from the grantee. PHI has made a diligent effort and has received the outstanding report in 2011.

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Bach Archive, Leipzig *Thomaskirchhof 15-16, 04109 Leipzig, Germany*

Purpose: Renovation of historic concert hall in Leipzig, Germany.

Amount: \$385,000.00 Grant date: 4/25/02 Grant # 02-1209

Expended: \$385,000.00

Reports: 9/2/03, 10/7/04, 6/16/05, 11/10/05, 7/25/07, 11/29/07, 4/30/08, 1/28/09, 12/16/09, 4/1/11

During 2010, no reports were received from the grantee. PHI has made a diligent effort and has received the outstanding report in 2011.

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Bach Archive, Leipzig *Thomaskirchhof 15-16, 04109 Leipzig, Germany*

Purpose: Historical research, conservation and publication of music by Bach.

Amount: \$400,000.00 Grant date: 3/10/03 Grant # 03-1243

Expended: \$400,000.00

Reports: 10/7/04, 6/16/05, 11/10/05, 4/4/06, 1/19/07, 8/8/07, 4/30/08, 9/25/08, 1/28/09, 12/16/09, 3/4/10, 3/26/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Bach Archive, Leipzig *Thomaskirchhof 15-16, 04109 Leipzig, Germany*

Purpose: Historical research, conservation and publication of music by Bach.

Amount: \$310,000.00 Grant date: 4/29/04 Grant # 04-1287

Expended: \$310,000.00

The Packard Humanities Institute FEIN: 94-3038401

Form 990-PF (2010) Part VII - B, (5)c Expenditure Responsibility Statement 15

Reports: 6/16/05, 11/10/05, 4/4/06, 1/19/07, 8/8/07, 4/30/08, 1/28/09, 12/16/09, 4/1/11

During 2010, no reports were received from the grantee. PHI has made a diligent effort and has received the outstanding report in 2011.

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Bach Archive, Leipzig *Thomaskirchhof 15-16, 04109 Leipzig, Germany*

Purpose: Renovation of historic concert hall in Leipzig, Germany.

Amount: \$1,100,000.00 Grant date: 11/5/07 Grant # 07-1433

Expended: \$1,089,978.80

Reports: 4/30/08, 9/25/08, 1/28/09, 5/14/09, 12/16/09, 3/4/10, 3/29/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Bach Archive, Leipzig *Thomaskirchhof 15-16, 04109 Leipzig, Germany*

Purpose: Historical research, conservation and publication of music by Bach.

Amount: \$236,600.00 Grant date: 8/5/09 Grant # 09-1499

Expended: \$16,383.43

Reports: 9/7/09, 12/16/09, 3/26/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Bach Archive, Leipzig *Thomaskirchhof 15-16, 04109 Leipzig, Germany*

Purpose: To complete publication of W.F. Bach volumes 1, 5 and 6.

Amount: \$41,000.00 Grant date: 2/22/10 Grant # 10-1513

Expended: \$0.00

Reports: No report due in 2010

Diversion and Verification:

To the knowledge of PHI, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Bach Archive, Leipzig *Thomaskirchhof 15-16, 04109 Leipzig, Germany*

Purpose: Bach family research, publications, library, museum and general operations

Amount: \$146,500.00 Grant date: 6/2/10 Grant # 10-1528

Expended: \$0.00

Reports: No report due in 2010

Diversion and Verification:

To the knowledge of PHI, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Bach Archive, Leipzig *Thomaskirchhof 15-16, 04109 Leipzig, Germany*

Purpose: Completion of W. F. Bach Edition

Amount: \$282,000.00 Grant date: 6/2/10 Grant # 10-1529

The Packard Humanities Institute FEIN: 94-3038401

Form 990-PF (2010) Part VII - B, (5)c Expenditure Responsibility Statement 15

Expended: \$0.00

Reports: No report due in 2010

Diversion and Verification:

To the knowledge of PHI, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British Academy *10 Carleton House Terrace, London SW1Y 5AH, United Kingdom*

Purpose: Medieval Latin dictionary.

Amount: \$1,390,000.00 Grant date: 9/21/06 Grant # 06-1386

Expended: \$879,260.67

Reports: 12/12/07, 5/23/08, 6/5/08, 7/31/09, 2/23/10, 3/17/10, 6/1/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British Institute of Archaeology at Ankara *10 Carleton House Terrace, London SW1Y 5AH, United Kingdom*

Purpose: Renovation of the library.

Amount: \$75,000.00 Grant date: 2/13/02 Grant # 02-1198

Expended: \$75,000.00

Reports: 6/12/03, 3/16/04, 11/29/05, 8/15/07, 10/24/08, 11/19/09, 3/30/11

During 2010, no reports were received from the grantee. PHI has made a diligent effort and has received the outstanding report in 2011.

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British Museum *Great Russell Street, London WC1R 3DG, United Kingdom*

Purpose: Archaeological research in the Fourth Nile Cataract Region of Sudan.

Amount: \$39,700.00 Grant date: 8/10/07 Grant # 07-1421

Expended: \$39,700.00

Reports: 3/19/09, 4/12/10, 6/18/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Athens *Senate House, Malet Street, London WC1E 7HU, United Kingdom*

Purpose: Archaeological excavations at Lefkandi in Greece.

Amount: \$222,000.00 Grant date: 1/2/04 Grant # 04-1276

Expended: \$222,000.00

Reports: 3/22/05, 5/4/05, 11/27/05, 11/6/06, 11/5/07, 4/12/08, 12/30/09, 4/13/11

During 2010, no reports were received from the grantee. PHI has made a diligent effort and has received the outstanding report in 2011.

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

The Packard Humanities Institute FEIN: 94-3038401

Form 990-PF (2010) Part VII - B, (5)c Expenditure Responsibility Statement 15

British School at Athens *Senate House, Malet Street, London WC1E 7HU, United Kingdom*

Purpose: Archaeological excavations at Lefkandi in Greece.

Amount: \$196,000.00 Grant date: 2/22/06 Grant # 06-1355

Expended: \$196,000.00

Reports: 11/6/06, 12/19/06, 11/5/07, 4/12/08, 12/30/09, 4/13/11

During 2010, no reports were received from the grantee. PHI has made a diligent effort and has received the outstanding report in 2011.

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Athens *Senate House, Malet Street, London WC1E 7HU, United Kingdom*

Purpose: Excavations at the ancient Greek site of Lefkandi.

Amount: \$229,500.00 Grant date: 2/13/07 Grant # 07-1401

Expended: \$229,500.00

Reports: 11/5/07, 4/12/08, 12/2/08, 12/30/09, 4/13/11

During 2010, no reports were received from the grantee. PHI has made a diligent effort and has received the outstanding report in 2011.

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Athens *Senate House, Malet Street, London WC1E 7HU, United Kingdom*

Purpose: Purchase of land in Crete for archaeological excavation.

Amount: \$16,305.00 Grant date: 4/18/07 Grant # 07-1411

Expended: \$16,305.00

Reports: 7/16/07, 11/21/07, 7/16/08, 12/1/08, 8/27/10, 8/31/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Athens *Senate House, Malet Street, London WC1E 7HU, United Kingdom*

Purpose: Excavations at the ancient Greek site of Lefkandi.

Amount: \$100,000.00 Grant date: 6/1/09 Grant # 09-1496

Expended: \$77,612.67

Reports: 12/10/09, 12/13/09, 3/23/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Rome *Via Gramsci 61, 00197 Rome, Italy*

Purpose: Expansion and renovation of the library.

Amount: \$1,000,000.00 Grant date: 4/28/00 Grant # 00-1098

Expended: \$1,000,000.00

Reports: 10/3/01, 10/23/01, 4/19/02, 12/11/02, 11/14/04, 12/6/05, 12/19/05, 11/29/06, 8/29/07, 4/26/08, 7/17/09, 10/28/09, 5/12/10

The Packard Humanities Institute FEIN: 94-3038401

Form 990-PF (2010) Part VII - B, (5)c Expenditure Responsibility Statement 15

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Rome *Via Gramsci 61, 00197 Rome, Italy*

Purpose: Expansion and renovation of the library.

Amount: \$425,000.00 Grant date: 4/16/02 Grant # 02-1204

Expended: \$425,000.00

Reports: 12/13/02, 3/31/03, 10/6/04, 10/14/04, 12/6/05, 8/29/07, 11/29/07, 4/26/08, 7/17/09, 5/12/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Rome *Via Gramsci 61, 00197 Rome, Italy*

Purpose: Renovation of the library.

Amount: \$500,000.00 Grant date: 2/28/03 Grant # 03-1241

Expended: \$500,000.00

Reports: 3/31/04, 10/14/04, 12/6/05, 8/29/07, 11/29/07, 4/26/08, 7/17/09, 10/28/09, 5/12/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Rome *Via Gramsci 61, 00197 Rome, Italy*

Purpose: Conservation of the ancient Roman town of Herculaneum

Amount: \$2,000,000.00 Grant date: 12/27/06 Grant # 06-1389

Expended: \$2,000,000.00

Reports: 6/14/07, 12/14/08, 5/6/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Rome *Via Gramsci 61, 00197 Rome, Italy*

Purpose: Web-based report on archaeological excavations in Italy.

Amount: \$338,600.00 Grant date: 4/18/07 Grant # 07-1410

Expended: \$338,600.00

Reports: 6/24/08, 11/19/08, 4/10/09, 7/10/09, 7/17/09, 5/5/10, 6/2/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Rome *Via Gramsci 61, 00197 Rome, Italy*

Purpose: Conservation of the ancient Roman town of Herculaneum.

Amount: \$1,800,000.00 Grant date: 6/25/07 Grant # 07-1418

Expended: \$1,800,000.00

Reports: 12/14/08, 7/15/09, 7/16/09, 7/17/09, 5/6/10

Diversion and Verification:

The Packard Humanities Institute FEIN: 94-3038401

Form 990-PF (2010) Part VII - B, (5)c Expenditure Responsibility Statement 15

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Rome *Via Gramsci 61, 00197 Rome, Italy*

Purpose: Staffing for extended hours for the library.

Amount: \$138,230.00 Grant date: 10/17/07 Grant # 07-1430

Expended: \$103,718.55

Reports: 4/26/08, 4/1/09, 7/17/09, 5/12/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Rome *Via Gramsci 61, 00197 Rome, Italy*

Purpose: Conservation of the ancient Roman town of Herculaneum.

Amount: \$3,400,000.00 Grant date: 1/23/08 Grant # 08-1445

Expended: \$3,234,631.00

Reports: 7/15/09, 7/17/09, 10/16/09, 5/3/10, 5/6/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Rome *Via Gramsci 61, 00197 Rome, Italy*

Purpose: Exploration and planning for excavation of the Roman basilica at ancient site of Herculaneum.

Amount: \$500,000.00 Grant date: 10/23/08 Grant # 08-1467

Expended: \$451,888.00

Reports: 7/17/09, 10/16/09, 5/3/10, 5/6/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Rome *Via Gramsci 61, 00197 Rome, Italy*

Purpose: Conservation of the ancient Roman town of Herculaneum.

Amount: \$3,000,000.00 Grant date: 3/4/09 Grant # 09-1486

Expended: \$2,213,438.00

Reports: 7/17/09, 10/16/09, 5/3/10, 5/6/10, 5/10/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Rome *Via Gramsci 61, 00197 Rome, Italy*

Purpose: Web-Based report on archaeological excavations in Italy.

Amount: \$250,000.00 Grant date: 8/4/09 Grant # 09-1498

Expended: \$85,000.00

Reports: 5/6/10, 6/2/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

The Packard Humanities Institute FEIN: 94-3038401

Form 990-PF (2010) Part VII - B, (5)c Expenditure Responsibility Statement 15

purpose, and no verification efforts have been considered necessary.

British School at Rome *Via Gramsci 61, 00197 Rome, Italy*

Purpose: Herculaneum conservation: exit Phase I (2010-2012)

Amount: \$3,000,000.00 Grant date: 5/11/10 Grant # 10-1522

Expended: \$0.00

Reports: 6/9/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Butrint Foundation *64a The Close, Norwich NR1 4DH, United Kingdom*

Purpose: Archaeological excavation and study in Albania.

Amount: \$600,000.00 Grant date: 8/10/99 Grant # 99-1068

Expended: \$600,000.00

Reports: 2/2/00, 3/24/00, 4/13/00, 8/17/00, 11/7/00, 1/24/01, 3/6/01, 4/6/01, 7/30/01, 10/23/01, 3/20/02, 3/27/02, 6/24/02, 3/31/03, 6/30/03, 11/15/04, 12/5/05, 8/2/07, 12/30/07, 12/31/07, 11/20/09, 12/14/09, 4/20/11

During 2010, no reports were received from the grantee. PHI has made a diligent effort and has received the outstanding report in 2011.

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Butrint Foundation *64a The Close, Norwich NR1 4DH, United Kingdom*

Purpose: Archaeological excavation and study in Albania.

Amount: \$1,250,000.00 Grant date: 10/15/01 Grant # 01-1193

Expended: \$1,250,000.00

Reports: 3/5/03, 3/30/04, 11/13/04, 12/8/05, 12/13/05, 5/11/06, 10/10/06, 12/31/07, 11/20/09, 12/14/09, 4/20/11

During 2010, no reports were received from the grantee. PHI has made a diligent effort and has received the outstanding report in 2011.

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Butrint Foundation *64a The Close, Norwich NR1 4DH, United Kingdom*

Purpose: Excavation of the ancient city of Butrint in Albania.

Amount: \$650,000.00 Grant date: 11/17/03 Grant # 03-1267

Expended: \$650,000.00

Reports: 10/13/04, 3/23/05, 5/24/05, 9/6/05, 9/13/05, 11/28/05, 1/9/06, 3/29/07, 8/2/07, 12/31/07, 5/5/08, 1/2/09, 12/14/09, 4/20/11

During 2010, no reports were received from the grantee. PHI has made a diligent effort and has received the outstanding report in 2011.

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

The Packard Humanities Institute FEIN: 94-3038401

Form 990-PF (2010) Part VII - B, (5)c Expenditure Responsibility Statement 15

Butrint Foundation *64a The Close, Norwich NR1 4DH, United Kingdom*

Purpose: Archaeological excavation and study in Albania.

Amount: \$774,000.00 Grant date: 2/13/05 Grant # 05-1319

Expended: \$774,000.00

Reports: 7/18/05, 11/28/05, 2/27/06, 12/11/07, 12/31/07, 5/8/08, 1/2/09, 12/14/09, 4/20/11

During 2010, no reports were received from the grantee. PHI has made a diligent effort and has received the outstanding report in 2011.

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Butrint Foundation *64a The Close, Norwich NR1 4DH, United Kingdom*

Purpose: Excavation of the ancient city of Butrint in Albania.

Amount: \$649,950.00 Grant date: 1/2/06 Grant # 06-1350

Expended: \$649,950.00

Reports: 3/30/07, 5/8/08, 1/2/09, 12/14/09, 3/9/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Butrint Foundation *64a The Close, Norwich NR1 4DH, United Kingdom*

Purpose: Archaeological excavation and study in Albania.

Amount: \$912,100.00 Grant date: 1/4/06 Grant # 06-1351

Expended: \$912,100.00

Reports: 3/30/07, 12/11/07, 5/8/08, 1/2/09, 12/14/09, 4/20/11

During 2010, no reports were received from the grantee. PHI has made a diligent effort and has received the outstanding report in 2011.

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Butrint Foundation *64a The Close, Norwich NR1 4DH, United Kingdom*

Purpose: Archaeological Research in Albania.

Amount: \$991,901.00 Grant date: 1/16/07 Grant # 07-1399

Expended: \$991,901.00

Reports: 7/3/08, 7/14/08, 1/2/09, 12/14/09, 4/20/11

During 2010, no reports were received from the grantee. PHI has made a diligent effort and has received the outstanding report in 2011.

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Butrint Foundation *64a The Close, Norwich NR1 4DH, United Kingdom*

Purpose: Excavations and study of the ancient site of Butrint in Albania.

Amount: \$794,287.00 Grant date: 1/16/07 Grant # 07-1398

Expended: \$794,287.00

The Packard Humanities Institute FEIN: 94-3038401

Form 990-PF (2010) Part VII - B, (5)c Expenditure Responsibility Statement 15

Reports: 7/3/08, 1/2/09, 12/14/09, 3/9/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Butrint Foundation 64a The Close, Norwich NR1 4DH, United Kingdom

Purpose: Excavation and study of the ancient site of Butrint in Albania.

Amount: \$699,847.00 Grant date: 1/23/08 Grant # 08-1442

Expended: \$699,847.00

Reports: 4/17/08, 10/9/08, 12/10/08, 1/2/09, 3/31/09, 5/15/09, 12/14/09, 12/16/09, 3/9/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Butrint Foundation 64a The Close, Norwich NR1 4DH, United Kingdom

Purpose: Support for archaeological excavation and study in Albania.

Amount: \$1,189,000.00 Grant date: 1/23/08 Grant # 08-1443

Expended: \$1,189,000.00

Reports: 1/2/09, 3/31/09, 5/15/09, 12/14/09, 12/2/10, 12/8/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Butrint Foundation 64a The Close, Norwich NR1 4DH, United Kingdom

Purpose: Excavation of the ancient city of Butrint in Albania.

Amount: \$500,000.00 Grant date: 2/11/09 Grant # 09-1480

Expended: \$343,415.47

Reports: 12/14/09, 3/9/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Butrint Foundation 64a The Close, Norwich NR1 4DH, United Kingdom

Purpose: Archaeological excavation and study in Albania.

Amount: \$750,000.00 Grant date: 2/11/09 Grant # 09-1481

Expended: \$432,742.00

Reports: 12/2/10, 12/8/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Butrint Foundation 64a The Close, Norwich NR1 4DH, United Kingdom

Purpose: Activities of the Albanian Heritage Foundation and the Gjirokastra Conservation Organization

Amount: \$750,000.00 Grant date: 2/3/10 Grant # 10-1511

Expended: \$0.00

Reports: 12/6/10, 12/8/10

The Packard Humanities Institute FEIN: 94-3038401

Form 990-PF (2010) Part VII - B, (5)c Expenditure Responsibility Statement 15

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

CORA Center For Preservation of Architecture *Jindřická 7, 110 00 Prague 1, Czech Republic*

Purpose: Restoration of historic church organs and palace theatres in Bohemia.

Amount: \$30,000.00 Grant date: 4/5/01 Grant # 01-1164

Expended: \$30,000.00

Reports: 4/8/02, 4/11/02, 10/2/03, 11/11/03, 3/26/04, 11/3/05, 2/27/06, 12/12/07, 5/16/08, 12/17/09, 1/12/10, 6/4/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Humboldt University in Berlin *Forschungsabteilung, Unter den Linden 6, 10099 Berlin, Germany*

Purpose: Analysis and restoration of archaeological artifacts from Sudan.

Amount: \$100,000.00 Grant date: 6/29/09 Grant # 09-1492

Expended: \$24,100.00

Reports: 7/25/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

International Mozarteum Foundation *Schwarzstrasse 26, 5020 Salzburg, Austria*

Purpose: Copyright clearance for digital Mozart edition.

Amount: \$400,000.00 Grant date: 5/21/01 Grant # 01-1185

Expended: \$389,514.88

Reports: 11/27/02, 9/9/03, 10/24/03, 10/29/04, 10/17/05, 10/23/06, 7/31/07, 12/14/07, 9/2/08, 8/4/09, 6/22/11

During 2010, no reports were received from the grantee. PHI has made a diligent effort and has received the outstanding report in 2011.

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

International Mozarteum Foundation *Schwarzstrasse 26, 5020 Salzburg, Austria*

Purpose: Support for new digital edition of Mozart.

Amount: \$3,000,000.00 Grant date: 11/30/01 Grant # 01-1191

Expended: \$845,864.96

Reports: 11/27/02, 9/9/03, 9/29/03, 10/29/04, 10/17/05, 10/29/05, 2/6/06, 10/23/06, 7/31/07, 9/2/08, 9/22/08, 7/20/09, 8/4/09, 8/2/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

The Packard Humanities Institute FEIN: 94-3038401

Form 990-PF (2010) Part VII - B, (5)c Expenditure Responsibility Statement 15

International Mozarteum Foundation *Schwarzstrasse 26, 5020 Salzburg, Austria*

Purpose: Editorial work on the complete edition of Mozart.

Amount: \$1,865,198.96 Grant date: 10/24/02 Grant # 02-1228

Expended: \$1,865,198.96

Reports: 2/6/02, 9/3/02, 1/9/03, 9/9/03, 10/29/04, 9/6/05, 9/28/05, 10/17/05, 2/8/06, 8/15/06, 10/23/06, 11/20/06,
4/6/07, 6/12/07, 7/31/07, 12/14/07, 3/21/08, 9/2/08, 8/4/09, 10/14/09, 4/26/10, 12/31/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

International Mozarteum Foundation *Schwarzstrasse 26, 5020 Salzburg, Austria*

Purpose: Support for creation of a digital edition of Mozart.

Amount: \$2,000,000.00 Grant date: 3/6/07 Grant # 07-1403

Expended: \$1,997,184.60

Reports: 3/28/07, 5/2/07, 5/25/07, 6/12/07, 6/30/07, 7/31/07, 12/14/07, 12/21/07, 6/30/08, 9/2/08, 11/26/08,
12/31/08, 8/4/09, 10/14/09, 12/14/09, 8/2/10, 12/20/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

International Mozarteum Foundation *Schwarzstrasse 26, 5020 Salzburg, Austria*

Purpose: Renovation to provide additional storage space for library.

Amount: \$62,500.00 Grant date: 12/8/08 Grant # 08-1471

Expended: \$62,500.00

Reports: 12/14/09, 12/21/09, 12/20/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

International Mozarteum Foundation *Schwarzstrasse 26, 5020 Salzburg, Austria*

Purpose: Two silent film presentations in May 2010

Amount: \$7,975.00 Grant date: 5/7/10 Grant # 10-1520

Expended: \$7,975.00

Reports: 7/21/10, 9/30/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

International Mozarteum Foundation *Schwarzstrasse 26, 5020 Salzburg, Austria*

Purpose: Support for digital edition of Mozart

Amount: \$1,610,000.00 Grant date: 5/26/10 Grant # 10-1523

Expended: \$0.00

Reports: 6/23/10, 12/16/10, 12/20/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended

The Packard Humanities Institute FEIN: 94-3038401
Form 990-PF (2010) Part VII - B, (5)c Expenditure Responsibility Statement 15

purpose, and no verification efforts have been considered necessary.

Mihai Eminescu Trust *15 Clarendon Road, London W11 4JB, United Kingdom*

Purpose: Conservation of historic village architecture and traditions in Romania.

Amount: \$143,401.84 Grant date: 6/16/99 Grant # 99-1035

Expended: \$143,401.84

Reports: 3/8/00, 3/20/01, 3/27/02, 8/20/02, 5/21/03, 12/15/03, 11/15/04, 11/22/05, 5/17/06, 7/27/06, 7/31/07, 7/7/08,
7/27/09, 3/27/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Mihai Eminescu Trust *15 Clarendon Road, London W11 4JB, United Kingdom*

Purpose: Conservation of historic village architecture and traditions in Romania.

Amount: \$800,000.00 Grant date: 7/1/08 Grant # 08-1457

Expended: \$760,884.00

Reports: 7/9/09, 7/24/09, 7/27/09, 8/3/09, 6/2/10, 7/30/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Mihai Eminescu Trust *15 Clarendon Road, London W11 4JB, United Kingdom*

Purpose: Conservation of historic village architecture and traditions in Romania.

Amount: \$720,000.00 Grant date: 7/6/09 Grant # 09-1493

Expended: \$691,664.00

Reports: 6/2/10, 7/30/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Mihai Eminescu Trust *15 Clarendon Road, London W11 4JB, United Kingdom*

Purpose: Conservation of historic village architecture and traditions in Romania

Amount: \$1,000,000.00 Grant date: 6/11/10 Grant # 10-1531

Expended: \$0.00

Reports: No report due in 2010

Diversion and Verification:

To the knowledge of PHI, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Saxon Academy of Sciences *Karl-Tauchnitzstr. 1, 04107 Leipzig, Germany*

Purpose: Research and publication concerning music by Bach.

Amount: \$162,025.00 Grant date: 10/19/04 Grant # 04-1312

Expended: \$162,025.00

Reports: 10/7/04, 2/25/05, 11/17/05, 2/21/06, 3/14/06, 3/23/06, 5/26/06, 11/13/06, 2/12/07, 2/19/08, 2/9/09, 2/21/11
During 2010, no reports were received from the grantee. PHI has made a diligent effort and has received the outstanding report in 2011.

The Packard Humanities Institute FEIN: 94-3038401

Form 990-PF (2010) Part VII - B, (5)c Expenditure Responsibility Statement 15

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Saxon Academy of Sciences *Karl-Tauchnitzstr. 1, 04107 Leipzig, Germany*

Purpose: Research and publication on the Bach family of musicians.

Amount: \$133,050.00 Grant date: 1/23/08 Grant # 08-1444

Expended: \$133,050.00

Reports: 10/16/08, 2/9/09, 2/16/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Saxon Academy of Sciences *Karl-Tauchnitzstr. 1, 04107 Leipzig, Germany*

Purpose: Research and publication concerning music by Bach.

Amount: \$125,000.00 Grant date: 1/20/09 Grant # 09-1474

Expended: \$125,000.00

Reports: 2/6/09, 2/16/10, 10/11/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Saxon Academy of Sciences *Karl-Tauchnitzstr. 1, 04107 Leipzig, Germany*

Purpose: Bach Repertorium research project.

Amount: \$175,000.00 Grant date: 1/22/10 Grant # 10-1506

Expended: \$112,068.09

Reports: 2/9/10, 10/11/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Berlin State Library *Stiftung Preussischer Kulturbesitz, 10102 Berlin, Germany*

Purpose: Digital photography equipment for library use.

Amount: \$94,289.79 Grant date: 8/2/06 Grant # 06-1367

Expended: \$94,289.79

Reports: 11/7/06, 1/11/08, 3/30/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Stanford Theatre Foundation *300 Second Street, Los Altos, CA 94022*

Purpose: Theatre expansion to provide museum space.

Amount: \$150,000.00 Grant date: 1/20/06 Grant # 06-1354

Expended: \$104,850.00

Reports: 10/19/06, 12/19/07, 12/19/08, 12/28/09, 12/30/10

Diversion and Verification:

The Packard Humanities Institute FEIN: 94-3038401

Form 990-PF (2010) Part VII - B, (5)c Expenditure Responsibility Statement 15

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Stanford Theatre Foundation 300 Second Street, Los Altos, CA 94022

Purpose: Raw film stock and other materials for the film preservation laboratory operated jointly by the Stanford Theatre Foundation and the UCLA Film Archive.

Amount: \$125,000.00 Grant date: 9/19/07 Grant # 07-1428

Expended: \$125,000.00

Reports: 4/16/08, 12/19/08, 12/28/09, 12/31/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Stanford Theatre Foundation 300 Second Street, Los Altos, CA 94022

Purpose: Raw film stock and other materials for the film preservation laboratory operated jointly by the Stanford Theatre Foundation and the UCLA Film Archive.

Amount: \$350,000.00 Grant date: 2/29/08 Grant # 08-1448

Expended: \$350,000.00

Reports: 10/15/08, 12/28/09, 12/31/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Stanford Theatre Foundation 300 Second Street, Los Altos, CA 94022

Purpose: Raw film stock and other materials for the film preservation laboratory operated jointly by the Stanford Theatre Foundation and the UCLA Film Archive.

Amount: \$50,000.00 Grant date: 10/18/08 Grant # 08-1466

Expended: \$50,000.00

Reports: 5/27/09, 12/28/09, 12/31/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Stanford Theatre Foundation 300 Second Street, Los Altos, CA 94022

Purpose: General support for exhibition of classic films to the public.

Amount: \$200,000.00 Grant date: 2/10/09 Grant # 09-1477

Expended: \$200,000.00

Reports: 5/22/09, 12/28/09, 12/31/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Stanford Theatre Foundation 300 Second Street, Los Altos, CA 94022

Purpose: Raw film stock and other materials for the film preservation laboratory operated jointly by the Stanford Theatre Foundation and the UCLA Film Archive.

The Packard Humanities Institute FEIN: 94-3038401

Form 990-PF (2010) Part VII - B, (5)c Expenditure Responsibility Statement 15

Amount: \$332,000.00 Grant date: 2/17/09 Grant # 09-1482
Expended: \$332,000.00 (net of refund)
Reports: 12/31/10
Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Stanford Theatre Foundation 300 Second Street, Los Altos, CA 94022

Purpose: Additions and improvements to the organ at the Stanford Theatre.

Amount: \$250,000.00 Grant date: 5/25/09 Grant # 09-1491
Expended: \$250,000.00
Reports: 10/19/09, 12/28/09, 12/31/10
Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Stanford Theatre Foundation 300 Second Street, Los Altos, CA 94022

Purpose: General support for exhibition of classic films to the public.

Amount: \$100,000.00 Grant date: 10/20/09 Grant # 09-1503
Expended: \$100,000.00
Reports: 1/26/10
Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Stanford Theatre Foundation 300 Second Street, Los Altos, CA 94022

Purpose: Restorations and additions to the organ at the Stanford Theatre.

Amount: \$100,000.00 Grant date: 10/20/09 Grant # 09-1500
Expended: \$60,434.00
Reports: 12/28/09, 12/31/10
Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Stanford Theatre Foundation 300 Second Street, Los Altos, CA 94022

Purpose: General support for exhibition of classic films to the public.

Amount: \$150,000.00 Grant date: 1/26/10 Grant # 10-1508
Expended: \$150,000.00
Reports: 5/26/10
Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

The Packard Humanities Institute FEIN: 94-3038401

Form 990-PF (2010) Part VII - B, (5)c Expenditure Responsibility Statement 15

Stanford Theatre Foundation 300 Second Street, Los Altos, CA 94022

Purpose: Stanford Theatre general operations

Amount: \$150,000.00 Grant date: 5/26/10 Grant # 10-1526

Expended: \$150,000.00

Reports: 12/31/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

University of Heidelberg Zentrale Universitaetsverwaltung, Seminarstr. 2, 69117 Heidelberg, Germany

Purpose: Research on ancient Greek inscriptions.

Amount: \$423,423.00 Grant date: 1/10/05 Grant # 05-1314

Expended: \$423,423.00

Reports: 9/19/07, 12/16/07, 12/12/08, 12/18/08, 11/11/09, 11/17/09, 1/21/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

University of Warsaw Research and International , Krakowskie Przedmiescie 26/28, 00-927 Warsaw, Poland

Purpose: Cataloging of music manuscripts in Poland.

Amount: \$65,500.00 Grant date: 12/27/06 Grant # 06-1383

Expended: \$65,500.00

Reports: 12/21/07, 12/27/08, 12/29/09, 6/7/11

During 2010, no reports were received from the grantee. PHI has made a diligent effort and has received the outstanding report in 2011.

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

University of Western Australia Research Grants Office, M459, 35 Stirling Highway, Crawley, WA 6009, Australia

Purpose: Aerial survey of archaeological sites in Jordan.

Amount: \$249,226.00 Grant date: 4/23/08 Grant # 08-1451

Expended: \$249,226.00

Reports: 12/2/08, 12/1/09, 12/9/09, 12/12/09, 1/5/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

University of Western Australia Research Grants Office, M459, 35 Stirling Highway, Crawley, WA 6009, Australia

Purpose: Aerial survey of archaeological sites in Jordan.

Amount: \$250,000.00 Grant date: 1/28/09 Grant # 09-1475

Expended: \$143,357.89

Reports: 12/7/09, 1/13/10, 2/25/10, 3/29/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

The Packard Humanities Institute FEIN: 94-3038401

Form 990-PF (2010) Part VII - B, (5)c Expenditure Responsibility Statement 15

University of Western Australia *Research Grants Office, M459, 35 Stirling Highway, Crawley, WA 6009, Australia*

Purpose: Aerial Archeology in Jordan, 2010 season

Amount: \$300,000.00 Grant date: 4/20/10 Grant # 10-1518

Expended: \$0.00

Reports: No report due in 2010

Diversion and Verification:

To the knowledge of PHI, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

University of Würzburg *Zentralverwaltung, Referat V / 4, Sanderring 2, 97070 Würzburg, Germany*

Purpose: Translation of introductions to complete Mozart edition.

Amount: \$187,305.76 Grant date: 7/4/05 Grant # 05-1340

Expended: \$135,624.56

Reports: 6/28/07, 8/2/07, 9/4/07, 2/28/08, 3/5/08, 6/28/08, 12/11/09, 4/12/10

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.