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Form **990**

Department of the Treasury
Internal Revenue Service

# Return of Organization Exempt From Income Tax

**Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)**

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

**2010**

**Open to Public Inspection**

**A For the 2010 calendar year, or tax year beginning 01-01-2010 and ending 12-31-2010**

B Check if applicable
- ☐ Address change
- ☐ Name change
- ☐ Initial return
- ☐ Terminated
- ☐ Amended return
- ☐ Application pending

C Name of organization
ENERGY TRUST OF OREGON INC

Doing Business As

Number and street (or P O box if mail is not delivered to street address)
851 SW SIXTH AVENUE SUITE 1200
Room/suite

City or town, state or country, and ZIP + 4
PORTLAND, OR 97204

**D Employer identification number**
93-1313663

**E Telephone number**
(503) 493-8888

**G** Gross receipts $ 125,348,756

F Name and address of principal officer
MARGIE HARRIS
851 SW SIXTH AVENUE SUITE 1200
PORTLAND,OR 97204

**H(a)** Is this a group return for affiliates? ☐ Yes ☑ No

**H(b)** Are all affiliates included? ☐ Yes ☐ No
If "No," attach a list (see instructions)

**H(c)** Group exemption number ▶

**I** Tax-exempt status ☑ 501(c)(3) ☐ 501(c) ( ) ◀ (insert no ) ☐ 4947(a)(1) or ☐ 527

**J Website: ▶** WWW ENERGYTRUST ORG

**K** Form of organization ☑ Corporation ☐ Trust ☐ Association ☐ Other ▶ | **L** Year of formation 2002 | **M** State of legal domicile OR

## Part I Summary

**Activities & Governance**

1 Briefly describe the organization's mission or most significant activities
ENERGY TRUST PROVIDES COMPREHENSIVE, SUSTAINABLE ENERGY EFFICIENCY, CONSERVATION AND RENEWABLE ENERGY SOLUTIONS TO THOSE WE SERVE

2 Check this box ▶☐ if the organization discontinued its operations or disposed of more than 25% of its net assets

| | | | |
|---|---|---|---|
| 3 | Number of voting members of the governing body (Part VI, line 1a) | 3 | 13 |
| 4 | Number of independent voting members of the governing body (Part VI, line 1b) | 4 | 13 |
| 5 | Total number of individuals employed in calendar year 2010 (Part V, line 2a) | 5 | 96 |
| 6 | Total number of volunteers (estimate if necessary) | 6 | 0 |
| 7a | Total unrelated business revenue from Part VIII, column (C), line 12 | 7a | 0 |
| b | Net unrelated business taxable income from Form 990-T, line 34 | 7b | 0 |

| | | | Prior Year | Current Year |
|---|---|---|---|---|
| Revenue | 8 | Contributions and grants (Part VIII, line 1h) | 91,303,373 | 124,930,851 |
| | 9 | Program service revenue (Part VIII, line 2g) | 0 | 0 |
| | 10 | Investment income (Part VIII, column (A), lines 3, 4, and 7d ) | 588,192 | 417,905 |
| | 11 | Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) | 0 | 0 |
| | 12 | Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12) | 91,891,565 | 125,348,756 |
| Expenses | 13 | Grants and similar amounts paid (Part IX, column (A), lines 1–3 ) | 0 | 0 |
| | 14 | Benefits paid to or for members (Part IX, column (A), line 4) | 0 | 0 |
| | 15 | Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10) | 5,888,238 | 6,863,567 |
| | 16a | Professional fundraising fees (Part IX, column (A), line 11e) | 0 | 0 |
| | b | Total fundraising expenses (Part IX, column (D), line 25) ▶0 | | |
| | 17 | Other expenses (Part IX, column (A), lines 11a–11d, 11f–24f) | 91,112,149 | 116,081,417 |
| | 18 | Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25) | 97,000,387 | 122,944,984 |
| | 19 | Revenue less expenses Subtract line 18 from line 12 | -5,108,822 | 2,403,772 |

| | | | Beginning of Current Year | End of Year |
|---|---|---|---|---|
| Net Assets or Fund Balances | 20 | Total assets (Part X, line 16) | 69,363,955 | 80,148,972 |
| | 21 | Total liabilities (Part X, line 26) | 10,735,192 | 19,116,437 |
| | 22 | Net assets or fund balances Subtract line 21 from line 20 | 58,628,763 | 61,032,535 |

## Part II Signature Block

**Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.**

**Sign Here**

****** | 2011-05-05
Signature of officer | Date

MARGIE HARRIS EXECUTIVE DIRECTOR
Type or print name and title

**Paid Preparer Use Only**

| | | | | |
|---|---|---|---|---|
| Print/Type preparer's name ROY ABRAMOWITZ | Preparer's signature ROY ABRAMOWITZ | Date | Check if self-employed ▶ ☐ | PTIN |
| Firm's name ▶ PERKINS & COMPANY PC | | | | Firm's EIN ▶ |
| Firm's address ▶ 1211 SW FIFTH AVE SUITE 1000 PORTLAND, OR 972043710 | | | | Phone no ▶ (503) 221-0336 |

May the IRS discuss this return with the preparer shown above? (see instructions) ☑ Yes ☐ No

**Part III Statement of Program Service Accomplishments**

Check if Schedule O contains a response to any question in this Part III . . . . . . . . . . ☑

**1** Briefly describe the organization's mission

ENERGY TRUST PROVIDES COMPREHENSIVE, SUSTAINABLE ENERGY EFFICIENCY, CONSERVATION AND RENEWABLE ENERGY SOLUTIONS TO THOSE WE SERVE

**2** Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . . . . . . . . . . . . . ☐ **Yes** ☑ **No**

If "Yes," describe these new services on Schedule O

**3** Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . . . . . . . . . . . . . . . . . ☐ **Yes** ☑ **No**

If "Yes," describe these changes on Schedule O

**4** Describe the exempt purpose achievements for each of the organization's three largest program services by expenses Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

**4a** (Code ) (Expenses $ including grants of $ ) (Revenue $ )

BACKGROUND, MISSION AND GOALS SEE SCHEDULE O FOR INFORMATION ON BACKGROUND, MISSION AND GOALS A BACKGROUNDSINCE MARCH 2002, ENERGY TRUST HAS INVESTED PUBLIC PURPOSE FUNDS FROM UTILITY CUSTOMERS TO HELP OREGONIANS BENEFIT FROM ENERGY-EFFICIENCY IMPROVEMENTS AND RENEWABLE ENERGY GENERATION WE ARE FUNDED BY AND PROVIDE SERVICES TO OREGON CUSTOMERS OF PORTLAND GENERAL ELECTRIC, PACIFIC POWER, NW NATURAL AND CASCADE NATURAL GAS, AND TO NW NATURAL CUSTOMERS IN SOUTHWEST WASHINGTON AN INDEPENDENT 501(C)(3) NON-PROFIT ORGANIZATION, ENERGY TRUST SERVES THE RESIDENTIAL CUSTOMERS AND MOST COMMERCIAL AND INDUSTRIAL CUSTOMERS OF OUR AFFILIATED UTILITIES WE OFFER TECHNICAL AND OTHER ASSISTANCE AND FINANCIAL INCENTIVES, HELPING CUSTOMERS DERIVE DIRECT BENEFITS FROM CLEAN ENERGY INVESTMENTS FOUR OF OUR EIGHT PROGRAMS ARE MANAGED INTERNALLY, WHILE FOUR -THE RESIDENTIAL AND COMMERCIAL EFFICIENCY PROGRAMS- ARE COMPETITIVELY BID AND MANAGED BY CONTRACTORS FOR MOST PROGRAMS, ENERGY TRUST SERVICES ARE PROVIDED BY SPECIALIZED LOCAL BUSINESSES LINKED IN A NETWORK OF NEARLY 2,100 TRADE ALLY CONTRACTORS AND OTHER ALLIED PROFESSIONALS FROM THROUGHOUT THE STATE OUR WORK IS SHAPED BY TWO ADVISORY COUNCILS COMPRISED OF STAKEHOLDERS AND IS LED BY AN INDEPENDENT, DIVERSE BOARD OF DIRECTORS WHOSE MEMBERS VOLUNTEER THEIR TIME AND EXPERTISE VIA CONTRACT WITH THE OREGON PUBLIC UTILITY COMMISSION, WE MUST COMPLY WITH MINIMUM PERFORMANCE MEASURES, REPORTING AND OTHER REQUIREMENTS IT ESTABLISHES TO GUIDE OUR OPERATIONS AND RESULTS OUR INCLUSIVE AND TRANSPARENT APPROACH INCLUDES OPEN MEETINGS AND PUBLISHED AGENDAS, MINUTES, EVALUATION, BUDGETS AND FINANCIAL STATEMENTS BEGINNING IN OCTOBER 2009 AND CONTINUING THROUGH 2010 ON A PILOT BASIS, ENERGY TRUST PROVIDED SERVICE TO NW NATURAL'S INDUSTRIAL FIRM AND INTERRUPTIBLE CUSTOMERS AND SCHEDULE 32 COMMERCIAL CUSTOMERS PROGRAM COSTS AND THERM SAVINGS FOR THESE ACTIVITIES IN 2010 ARE INCLUDED IN SCHEDULE O OVER THE SAME PERIOD, WITH AUTHORIZATION FROM THE WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION (WUTC), ENERGY TRUST SERVED NW NATURAL RESIDENTIAL AND COMMERCIAL CUSTOMERS IN SOUTHWEST WASHINGTON RESULTS OF THOSE ACTIVITIES ARE REPORTED TO NW NATURAL AND THE WUTC SEPARATELY FROM THIS ANNUAL REPORT NW NATURAL AND THE WUTC ARE EXPECTED TO DECIDE WHETHER TO CONTINUE CONTRACTING WITH ENERGY TRUST FOR THESE SERVICES BY JUNE 2011 B PURPOSE STATEMENTENERGY TRUST PROVIDES COMPREHENSIVE, SUSTAINABLE ENERGY EFFICIENCY, CONSERVATION AND RENEWABLE ENERGY SOLUTIONS TO THOSE WE SERVE C VISION STATEMENT ENERGY TRUST ENVISIONS A HIGH QUALITY OF LIFE, A VIBRANT ECONOMY AND A HEALTHY ENVIRONMENT AND CLIMATE FOR GENERATIONS TO COME, BUILT WITH RENEWABLE ENERGY, EFFICIENT ENERGY USE AND CONSERVATION D 2014 STRATEGIC PLAN GOALS 1 SAVE 479 AVERAGE MEGAWATTS OF ELECTRICITY 2 SAVE 34 7 MILLION ANNUAL THERMS OF NATURAL GAS 3 PRODUCE 124 AVERAGE MEGAWATTS OF ELECTRICITY FROM NEW RENEWABLE GENERATION
*************************************************************************2010 RESULTS A GENERAL SAVINGS AND GENERATION - ELECTRIC EFFICIENCY PROJECTS COMPLETED IN 2010 SAVED 45 7 AVERAGE MEGAWATTS OF ELECTRICITY AT A LEVELIZED COST OF 2 5 CENTS PER KILOWATT HOUR THIS SAVINGS IS 101 PERCENT OF THE 2010 STRETCH GOAL (1) COMPARED TO 2009, ANNUAL SAVINGS INCREASED BY 63 PERCENT (2) - GAS EFFICIENCY PROJECTS COMPLETED IN 2010 SAVED OVER 4 6 MILLION ANNUAL THERMS OF NATURAL GAS AT A LEVELIZED COST OF 32 CENTS PER THERM GAS SAVINGS ACHIEVED 96 PERCENT OF THE 2010 STRETCH GOAL AND EXCEEDED 2009 RESULTS BY 61 PERCENT - WITH ONE EXCEPTION, EVERY ENERGY TRUST ENERGY EFFICIENCY PROGRAM MET ITS MINIMUM CONSERVATIVE ELECTRIC AND GAS GOALS FOR 2010, AND MOST EXCEEDED STRETCH GOALS PRODUCTION EFFICIENCY EXCEEDED ITS STRETCH ELECTRIC GOAL AND FELL SHORT OF ITS AMBITIOUS GAS CONSERVATIVE GOAL MARKET TRANSFORMATION SAVINGS FROM THE NORTHWEST ENERGY EFFICIENCY ALLIANCE ALSO CAME IN UNDER GOAL, DUE PRINCIPALLY TO A DECLINE IN SALES OF COMPACT FLUORESCENT LIGHT BULBS - ELECTRIC AND GAS EFFICIENCY SAVINGS, AVERAGED OVER THREE YEARS, EXCEEDED OREGON PUBLIC UTILITY COMMISSION PERFORMANCE MEASURES, AS DID ANNUAL LEVELIZED LIFE-CYCLE COSTS - OVERALL RENEWABLE ENERGY GENERATION FOR THE YEAR OF 3 3 AVERAGE MEGAWATTS EXCEEDED 2009 LEVELS BY 25 PERCENT RENEWABLE GENERATION MET THE OREGON PUBLIC UTILITY COMMISSION PERFORMANCE MEASURE OF 3 AVERAGE MEGAWATTS ON A THREE-YEAR ROLLING AVERAGE - NEARLY 79,000 CLEAN ENERGY PROJECTS WERE COMPLETED IN 2010 THE VALUE OF INCENTIVES PAID TO SUPPORT THESE PROJECTS INCREASED BY 34 PERCENT IN 2010 TO MORE THAN $71 MILLION, A NEW RECORD HIGH - SINCE 2002, CUMULATIVE SAVINGS TOTAL 254 AVERAGE MEGAWATTS OF ELECTRICITY AND 17 8 MILLION ANNUAL THERMS OF NATURAL GAS, EQUIVALENT TO 53 PERCENT AND 51 PERCENT, RESPECTIVELY, OF OUR 2014 ELECTRIC AND GAS SAVING GOALS (SEE ELECTRIC EFFICIENCY SAVINGS, FOR IMPACT ON THESE TOTALS OF INCLUDING ADDITIONAL SAVINGS FROM SELF-DIRECT PROJECTS ) - SINCE 2002, CUMULATIVE GENERATION EQUALS 103 AVERAGE MEGAWATTS OF RENEWABLE ENERGY, APPROXIMATELY 82 PERCENT OF THE 2014 GOAL OF 124 AVERAGE MEGAWATTS - PARTICIPATING OREGON UTILITY CUSTOMERS SAVED $175 MILLION IN 2010 SINCE 2002, CUMULATIVE CUSTOMER BILL SAVINGS FOR PARTICIPANTS TOTAL $778 MILLION - ENERGY TRUST EXPENDITURES AND ENERGY SAVINGS IN 2010 GENERATED $55 8 MILLION IN WAGES, $14 8 MILLION IN INCOME TO SMALL BUSINESS OWNERS, AND 1,234 FULL- AND PART-TIME JOBS - CUMULATIVE, NET ECONOMIC IMPACTS OF ENERGY TRUST SAVINGS FROM 2002-2010 ARE ESTIMATED TO EQUAL $79 6 MILLION IN WAGES, $12 1 MILLION IN SMALL BUSINESS INCOME AND MORE THAN 2,400 JOBS (3) - AIR QUALITY IMPROVEMENTS STEMMING FROM ENERGY TRUST INVESTMENTS HAVE OFFSET MORE THAN SIX MILLION TONS OF CARBON DIOXIDE GENERATED BY FOSSIL FUELS (4), THE EQUIVALENT OF TAKING MORE THAN ONE MILLION CARS OFF THE ROAD FOR ONE YEAR - MAINTAINED LOW ADMINISTRATIVE COSTS AT 5 PERCENT OF TOTAL REVENUE, IN FULL COMPLIANCE WITH THE OPUC PERFORMANCE MEASURE OF 11 PERCENT - OBTAINED AN UNQUALIFIED FINANCIAL AUDIT AND STRONG CUSTOMER SATISFACTION RATINGS, SATISFYING ADDITIONAL OPUC PERFORMANCE REQUIREMENTS - EXPANDED THE NUMBER OF OREGON TRADE ALLY CONTRACTORS AND OTHER ALLIED PROFESSIONALS BY 40 PERCENT, HELPING SUPPORT OREGON'S ECONOMY DURING TOUGH TIMES
***********************************************************************(1) ENERGY TRUST REFLECTS ANNUAL GOALS IN A RANGE, WITH A 25 PERCENT DIFFERENCE BETWEEN THE CONSERVATIVE AND STRETCH GOALS THE RANGE IS BASED ON OUR ASSESSMENT OF THE EXTENT OF FACTORS BEYOND OUR CONTROL, SUCH AS VARIABILITY IN MARKET CONDITIONS, PROJECT COMPLETION DATES AND TECHNOLOGY PERFORMANCE (2) THE 2010 TRUE UP ANALYSIS APPLIED EVALUATION RESULTS TO ADJUST SAVINGS CLAIMED IN 2010 AND PRIOR YEARS TRUED UP ELECTRIC SAVINGS FOR 2009 WERE 4 AVERAGE MEGAWATTS LOWER THAN REPORTED IN THE 2009 ANNUAL REPORT (28 VS 32 AVERAGE MEGAWATTS) TRUED UP GAS SAVINGS FOR 2009 WERE 14,203 ANNUAL THERMS LOWER THAN REPORTED IN THE 2009 ANNUAL REPORT (2,864,455 VS 2,878,658 ANNUAL THERMS) (3) SOURCE ECONORTHWEST 2011 ECONOMIC IMPACT NUMBERS ARE IN ADDITION TO WHAT WOULD HAVE OCCURRED WITHOUT ENERGY TRUST'S INVESTMENT (4) SOURCE NORTHWEST POWER AND CONSERVATION COUNCIL, 0 76 LBS OF CARBON DIOXIDE REDUCTION PER KWH OF ENERGY SAVED OR GENERATION USING RENEWABLE RESOURCES, 11 7 LBS OF CARBON DIOXIDE REDUCTION PER THERM SAVED ***********************************************************************

**4b** (Code ) (Expenses $ 99,656,643 including grants of $ ) (Revenue $ )

ENERGY EFFICIENCY PROGRAMSSEE SCHEDULE O FOR INFORMATION ON ENERGY EFFICIENCY B RESIDENTIAL EFFICIENCY PROGRAMS- BOTH RESIDENTIAL PROGRAMS EXCEEDED ELECTRIC STRETCH GOALS FOR 2010 EXISTING HOMES MET ITS CONSERVATIVE GAS GOAL, WHILE NEW HOMES AND PRODUCTS BEAT ITS STRETCH GOAL BY 28 PERCENT COMBINED, 2010 ELECTRIC SAVINGS INCREASED 34 PERCENT OVER 2009, WHILE GAS RESULTS GREW BY 5 PERCENT - TO BOOST SAVINGS IN A TROUBLED ECONOMY, SERVE HOMEOWNERS WITH CONSTRAINED RESOURCES AND ACCOMMODATE THE DELAYED START DATE FOR A BEHAVIOR-CHANGE PROGRAM, EXISTING HOMES LAUNCHED A CAMPAIGN IN FALL 2010 OFFERING FREE ENERGY-SAVING CHOICES FREE YOUR HOME WAS SUCCESSFUL BEYOND EXPECTATIONS, PROVIDING NEARLY 25,000 OREGONIAN HOUSEHOLDS WITH NO-COST AND LOW-COST WAYS TO LOWER THEIR ENERGY COSTS - MORE THAN 1,100 INDIVIDUALS PARTICIPATED IN ONE OF 36 HOME ENERGY IQ WORKSHOPS OFFERED STATEWIDE IN 2010, PROVING INTEREST IS HIGH AND SETTING THE STAGE FOR ADDITIONAL WORKSHOP OPPORTUNITIES IN 2011, BOTH THROUGH ENERGY TRUST AND IN COLLABORATION WITH AFFILIATED UTILITIES AND OTHER ORGANIZATIONS - 360 KILL A WATT(R) METERS WERE MADE AVAILABLE FOR LOAN THROUGH 140 LIBRARIES STATEWIDE TO MEASURE ENERGY USED BY APPLIANCES AND OTHER DEVICES - THE APPROXIMATELY 18,700 REFRIGERATORS AND FREEZERS RECYCLED IN 2010 EXCEEDED OUR GOAL AND REPRESENT A 20 PERCENT INCREASE OVER 2009 - TWO NEW ENERGY SAVING EQUIPMENT MEASURES INTRODUCED IN 2009 PRODUCED STRONG RESULTS IN 2010 THE NUMBER OF DUCTLESS HEAT PUMPS INSTALLED MORE THAN TRIPLED TO 510, WHILE THE NUMBER OF GAS HEARTHS INSTALLED GREW FROM 19 IN 2009 TO 542 IN 2010 APPROXIMATELY TWO-FIFTHS OF THE HEARTHS WERE EQUIPPED WITH ENERGY-SAVING ELECTRONIC IGNITIONS - SAVINGS WITHIN REACH, WHICH OFFERS HIGHER INCENTIVES FOR MODERATE INCOME PARTICIPANTS, EXPANDED IN 2010 TO SERVE 224 HOUSEHOLDS, UP FROM 137 IN 2009 - THE NUMBER OF NEW HOMES RECEIVING ENERGY PERFORMANCE SCORES DOUBLED IN 2010 COMPARED TO 2009, FROM 259 TO 602 HOMES - THE 500-HOME CLEAN ENERGY WORKS PORTLAND LOAN PROGRAM, BEGUN IN 2009 WITH ENERGY TRUST LEADERSHIP, BECAME NEARLY FULLY SUBSCRIBED IN 2010, AUTHORIZING 379 LOANS WITH 117 MORE IN PROGRESS THE APPROACH OFFERED NO-MONEY DOWN LOANS WITH UTILITY-BILL PAYBACK FOR HOME PERFORMANCE ASSESSMENTS AND ENERGY UPGRADES THAT SAVE 10-30 PERCENT OF THE HOMES GAS AND ELECTRICITY USE UPON RECEIPT OF A $20 MILLION AMERICAN RECOVERY AND REINVESTMENT ACT GRANT, A NEW NONPROFIT ORGANIZATION CALLED CLEAN ENERGY WORKS OREGON FORMED AND GEARED UP TO OFFER A PROGRAM SIMILAR IN 17 COMMUNITIES STATEWIDE ENERGY TRUST CONTINUES TO SUPPORT THE PROGRAM AND GAIN SAVINGS BY CONTRIBUTING OUR INCENTIVES TO PARTICIPATING HOMEOWNERS - CLEAN ENERGY WORKS ENGAGED ENERGY TRUST TRADE ALLY CONTRACTORS CERTIFIED BY THE BUILDING PERFORMANCE INSTITUTE TO DELIVER HOME PERFORMANCE WITH ENERGY STAR(R) DURING 2010 ENERGY TRUST ENROLLED 46 NEW HOME PERFORMANCE-CERTIFIED TRADE ALLIES, ENDING THE YEAR AT 81 CERTIFIED CONTRACTORS STATEWIDE OF THESE, EIGHT ARE CERTIFIED BY THE STATE OF OREGON AS MINORITY- OR WOMEN-OWNED BUSINESSES, AND 21 ARE CERTIFIED AS EMERGING SMALL BUSINESSES C COMMERCIAL EFFICIENCY PROGRAMS- COMMERCIAL PROGRAMS IN 2010 MET THEIR COMBINED STRETCH GAS GOALS BY LARGE MARGINS COMPARED TO 2009, 2010 ELECTRIC AND GAS SAVINGS BOTH INCREASED BY MORE THAN 50 PERCENT - IN A WEAK ECONOMY, THE BUSINESS VALUE OF ENERGY COST SAVINGS IS MAGNIFIED COMMERCIAL PROGRAMS SAW A TREND TOWARD SMALLER PROJECTS WITH SHORTER PAYBACKS - ONE VERY LARGE PROJECT COMPLETED IN 2010 A COMBINED HEAT AND POWER PROJECT AT OREGON STATE UNIVERSITY'S ENERGY CENTER USES WASTE HEAT FROM ELECTRICITY GENERATION TO HEAT CAMPUS BUILDINGS THE PROJECT ACCOUNTS FOR 2 7 AVERAGE MEGAWATTS OF THE 2010 TOTAL - EXISTING BUILDINGS COMPLETED ENERGY-SAVING PROJECTS AT 2,500 SITES IN 2010, AN INCREASE OF NEARLY 50 PERCENT OVER 2009 THIS INCLUDES WORK WITH THE OREGON DEPARTMENT OF ENERGY ON 105 K-12 SCHOOL PROJECTS, AND THE INSTALLATION OF 16 COMMERCIAL SOLAR WATER HEATING SYSTEMS - COMMERCIAL OFFICES, RETAIL, GROCERIES AND WAREHOUSES ACCOUNTED FOR CLOSE TO THREE-FIFTHS OF EXISTING BUILDINGS' 2010 ELECTRIC SAVINGS, WHILE SCHOOLS, UNIVERSITIES AND OFFICES PRODUCED MORE THAN HALF OF GAS SAVINGS - ALMOST HALF OF EXISTING BUILDINGS ELECTRIC SAVINGS CAME FROM LIGHTING PROJECTS SAVINGS FROM CUSTOM PROJECTS REMAINED STRONG FOR BOTH ELECTRIC AND GAS MEASURES, REPRESENTING TWO-FIFTHS OF ELECTRIC SAVINGS AND THREE-FIFTHS OF GAS SAVINGS - IN 2010, EXISTING BUILDINGS LAUNCHED SUCCESSFUL OFFERS THAT FOCUSED ON LOW-COST OPERATIONS IMPROVEMENTS, INCLUDING TUNE-UPS FOR DIRECT DIGITAL CONTROLS AND FOR ROOF-TOP HEATING, COOLING AND AIR CONDITIONING UNITS - NEW BUILDINGS COMPLETED 236 NEW CONSTRUCTION PROJECTS FOR COMMERCIAL, INDUSTRIAL AND MULTIFAMILY CUSTOMERS BASED ON THIRD-PARTY EVALUATIONS AND MARKET ANALYSIS BY PROGRAM STAFF, NEW BUILDINGS ESTIMATED A 70 PERCENT MARKET SHARE IN ENERGY TRUST SERVICE TERRITORY IN 2010 - LEED(R) PROJECTS ACCOUNTED FOR 15 PERCENT OF 2010 NEW BUILDINGS AND MORE THAN 30 PERCENT OF THE TOTAL SQUARE FOOTAGE LEED PROJECTS REPRESENTED 43 PERCENT OF ELECTRIC SAVINGS AND 55 PERCENT OF GAS SAVINGS FOR NEW BUILDINGS IN 2010 - NEW BUILDINGS CONTINUED TO FOCUS ON RECRUITING NEW SCHOOL PROJECTS, WITH 53 NEW K-12, COLLEGE AND UNIVERSITY PROJECTS ENROLLED IN 2010, 34 PROJECTS WERE COMPLETED, PROVIDING NEARLY $770,000 IN INCENTIVES TO SCHOOLS - TO REFLECT THE ROLLOUT OF A NEW, 15 PERCENT HIGHER OREGON COMMERCIAL BUILDING ENERGY CODE THAT BECAME MANDATORY OCTOBER 1, 2010, NEW BUILDINGS REVAMPED INCENTIVES, PROVIDED NEW CALCULATOR TOOLS AND CREATED UPDATED PROGRAM FORMS - AN INNOVATIVE PATH TO NET ZERO PILOT IS HELPING 15 PROJECTS, INCLUDING SCHOOLS AND PUBLIC BUILDINGS, DESIGN HIGHLY EFFICIENT BUILDINGS THAT EXCEED CODE REQUIREMENTS BY 50 PERCENT OR MORE MANY WILL ALSO INCORPORATE RENEWABLE GENERATION TO HELP OFFSET THE ENERGY THEY USE, THUS ATTAINING "NET ZERO ENERGY USE" STATUS D INDUSTRY AND AGRICULTURE EFFICIENCY PROGRAMS - ELECTRIC SAVINGS IN THE INDUSTRIAL AND AGRICULTURAL SECTOR NEARLY DOUBLED IN 2010 COMPARED TO 2009, COMING IN AT 30 PERCENT ABOVE THE 2010 STRETCH GOAL THIS RESULT DEMONSTRATES THE COMMITMENT OF OREGON INDUSTRIAL CUSTOMERS TO CONTINUE TO INVEST IN ENERGY EFFICIENCY EVEN IN A TOUGH ECONOMY - 2010 WAS THE SECOND YEAR OF INDUSTRIAL GAS PROGRAMS, WITH GAS SAVINGS MORE THAN 2 5 TIMES GREATER THAN FIRST YEAR 2009 SAVINGS THE PROGRAM FELL SHORT OF ITS 2010 CONSERVATIVE GOAL YET MADE SIGNIFICANT STRIDES WITH UTILITIES TO ACCURATELY IDENTIFY CUSTOMERS ELIGIBLE FOR GAS INCENTIVES, A CHALLENGE THAT HAD BEEN A BARRIER TO SUCCESS - APPROXIMATELY ONE-THIRD OF THE PROGRAM'S ELECTRIC SAVINGS IN 2010 RESULTED FROM TWO INNOVATIVE NEW INITIATIVES DESIGNED TO MEET THE NEEDS OF INDUSTRIAL CUSTOMERS WITH LESS AVAILABLE CAPITAL TO IMPLEMENT LARGE PROJECTS THESE TWO OFFERINGS PRODUCED SOME OF THE MOST COST-EFFECTIVE SAVINGS ACROSS ALL PROGRAMS - ONE OF THESE INITIATIVES, CALLED "90 BY 90," WAS A SPECIAL INCENTIVE OFFER FOR CUSTOM OPERATIONS AND MAINTENANCE PROJECTS THE OTHER WAS THE VERY SUCCESSFUL INDUSTRIAL ENERGY IMPROVEMENT INITIATIVE, WHICH PROVIDED INTENSIVE TRAINING TO ENERGY MANAGERS AT 17 PLANTS, HELPING THEM IDENTIFY AND IMPLEMENT OPERATIONAL EFFICIENCIES AT LOW OR NO CAPITAL COST WHILE DEVELOPING AN ENERGY EFFICIENCY CULTURE AMONG COWORKERS - THREE YEARS AGO PRODUCTION EFFICIENCY INTRODUCED NEW, VENDOR-DELIVERED OFFERINGS FOR SMALLER MANUFACTURERS AND FARMERS AT THE SAME TIME, THE PROGRAM INCREASED ITS EFFORTS IN INDUSTRIAL LIGHTING, ANOTHER TRADE ALLY-DRIVEN INITIATIVE PARTICIPATION IN THESE LOW-COST, HIGHER VOLUME OFFERINGS FOR CALCULATED AND PRESCRIPTIVE MEASURES IN LIGHTING, COMPRESSED AIR, MOTORS, IRRIGATION AND GREENHOUSE EQUIPMENT HAS INCREASED SUBSTANTIALLY AND IN 2010 REPRESENTED 33 PERCENT OF ELECTRIC SAVINGS, DRAMATIC GROWTH FROM LESS THAN ONE PERCENT IN 2006 - THIS YEAR SAW COMPLETION OF THE 2,000TH INDUSTRIAL/AGRICULTURAL PROJECT SINCE BRINGING MANAGEMENT OF THE PROGRAM IN HOUSE IN EARLY 2008 THE NUMBER OF PROJECTS COMPLETED ANNUALLY HAS DOUBLED OVER THIS PERIOD, REFLECTING EXPANDED OFFERINGS TO MEDIUM AND LARGE CUSTOMERS, AS WELL AS OUTREACH TO SMALL INDUSTRIAL AND AGRICULTURAL BUSINESSES ***********************************************************************

**4c** (Code ) (Expenses $ 19,145,850 including grants of $ ) (Revenue $ )

RENEWABLE ENERGY PROGRAMSSEE SCHEDULE O FOR INFORMATION ON RENEWABLE ENERGY PROGRAMS E RENEWABLE ENERGY PROGRAMS- IN ADDITION TO THE 3 3 AVERAGE MEGAWATTS OF POWER THAT CAME ON LINE IN 2010, FINANCIAL COMMITMENTS WERE MADE TO PROJECTS EXPECTED TO PRODUCE 2 7 AVERAGE MEGAWATTS WHEN THEY BEGIN GENERATION IN 2011 AND BEYOND CHANGES TO STATE TAX INCENTIVES RESULTED IN A NUMBER OF PROJECTS BEING POSTPONED - ENERGY TRUST'S (AND OREGON'S) FIRST GEOTHERMAL GENERATION PROJECT WENT ON LINE IN APRIL AT THE OREGON INSTITUTE OF TECHNOLOGY, KLAMATH FALLS, POWERING DELIVERY OF HOT WATER USED FOR CAMPUS HEATING AND SELLING EXCESS ELECTRICITY TO PACIFIC POWER WITH A NAMEPLATE CAPACITY OF 280 KILOWATTS, THE PLANT IS EXPECTED TO GENERATE 669 MEGAWATT HOURS OF ELECTRICITY ANNUALLY - MORE THAN 1,000 RESIDENTIAL SOLAR ELECTRIC SYSTEMS WERE INSTALLED IN 2010, A NEARLY THREE-FOLD INCREASE OVER 2009 AT A COMBINED SEVEN MEGAWATTS CAPACITY, 2010 INSTALLATIONS SET A NEW ANNUAL RECORD-BRINGING TOTAL INSTALLED CAPACITY TO MORE THAN 20 MEGAWATTS THIS GROWTH REFLECTS THE POWER OF THE COMMUNITY-DRIVEN SOLARIZE CONCEPT AND ITS SUCCESS IN LOWERING EQUIPMENT PRICING AND SPURRING COMPETITION AMONG INSTALLERS - ENERGY TRUST CONDUCTED ITS FIRST "SOLARIZE UNIVERSITY" IN PENDLETON TO HELP CITIZENS HELP THEIR COMMUNITIES GO SOLAR - COMMERCIAL SOLAR ELECTRIC PROJECT ACTIVITY ALSO INCREASED AT A RATE OF 17 PERCENT AN ESTIMATED 50 PERCENT OF COMMERCIAL SOLAR PROJECTS IN DEVELOPMENT DROPPED OUT BECAUSE OF STATE TAX CREDIT UNCERTAINTIES-IN PARTICULAR, THIRD-PARTY INVESTORS UNABLE TO ATTRACT TAX PARTNERS FOR THE PASS-THROUGH OPTION - FOUR DAIRY DIGESTERS USING THE THIRD-PARTY OWNERSHIP MODEL-A NATIONAL TREND NEW TO OREGON FOR THIS TECHNOLOGY-RECEIVED FUNDING COMMITMENTS FROM ENERGY TRUST IN 2010 TWO RECEIVED STATE TAX CREDIT APPROVALS AND ARE MOVING FORWARD THE OTHER TWO WERE DENIED TAX CREDITS AND ARE APPLYING AGAIN FOR PRE-CERTIFICATION - ENERGY TRUST, OREGON ASSOCIATION OF CLEAN WATER AGENCIES, US ENVIRONMENTAL PROTECTION AGENCY (REGION 10) AND BONNEVILLE POWER ADMINISTRATION CONTRIBUTED FUNDING TO SUPPORT SUSTAINABLE ENERGY MANAGEMENT TRAINING, BRINGING STRATEGIC ENERGY MANAGEMENT TO WASTEWATER TREATMENT FACILITIES THE APPROACH, USING TOOLS SIMILAR TO THE INDUSTRIAL ENERGY INITIATIVE, CREATES A PROGRAM TO MEET THE GOAL OF CONTINUAL IMPROVEMENT TO REDUCE ENERGY CONSUMPTION AND INCREASE RENEWABLE ENERGY USE AT OREGON WASTEWATER TREATMENT PLANTS, HELPING FURTHER ENERGY INDEPENDENCE - THREE SMALL HYDRO SYSTEMS WERE INSTALLED AND BEGAN GENERATION IN 2010, ALL OF THEM SERVING IRRIGATION DISTRICTS AND BRINGING WATER SAVING BENEFITS COMMITMENTS WERE EXTENDED TO FOUR ADDITIONAL PROJECTS - THE MARKET FOR SMALL WIND IS GROWING FOURTEEN SYSTEMS WERE INSTALLED IN 2010, COMPARED TO FOUR IN 2009, AND 10 MORE ARE IN PROGRESS FOR COMPLETION IN 2011 THE 2010 INSTALLATIONS ARE ALL OWNER-FUNDED AND OCCUPY A MIX OF RESIDENTIAL AND FARM SITES, MOST IN THE WILLAMETTE VALLEY - COMPETITION FOR STATE TAX CREDITS HELD UP DECISIONS ON RENEWABLE ENERGY PROJECTS ACROSS ALL TECHNOLOGIES ROUGHLY ONE IN SIX MEDIUM TO LARGE PROJECTS RECEIVED TAX CREDIT AWARDS
***********************************************************************

**4d** Other program services (Describe in Schedule O ) **See also Additional Data for Description**

(Expenses $ 1,675,991 including grants of $ ) (Revenue $ )

**4e** **Total program service expenses**▶$ 120,478,484

**Part IV Checklist of Required Schedules**

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A* | 1 | Yes | |
| 2 | Is the organization required to complete Schedule B, Schedule of Contributors (see instruction)? | 2 | Yes | |
| 3 | Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I* | 3 | | No |
| 4 | **Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? *If "Yes," complete Schedule C, Part II* | 4 | | No |
| 5 | Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? *If "Yes," complete Schedule C, Part III* | 5 | | No |
| 6 | Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I* | 6 | | No |
| 7 | Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? *If "Yes," complete Schedule D, Part II* | 7 | | No |
| 8 | Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III* | 8 | | No |
| 9 | Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV* | 9 | | No |
| 10 | Did the organization, directly or through a related organization, hold assets in term, permanent,or quasi-endowments? *If "Yes," complete Schedule D, Part V* | 10 | | No |
| 11 | If the organization's answer to any of the following questions is 'Yes,' then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable | | | |
| a | Did the organization report an amount for land, buildings, and equipment in Part X, line10? *If "Yes," complete Schedule D, Part VI.* | 11a | Yes | |
| b | Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII.* | 11b | | No |
| c | Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII.* | 11c | | No |
| d | Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX.* | 11d | | No |
| e | Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X.* | 11e | Yes | |
| f | Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? *If "Yes," complete Schedule D, Part X.* | 11f | | No |
| 12a | Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI, XII, and XIII* | 12a | Yes | |
| b | Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," and if the organization answered 'No' to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional* | 12b | | No |
| 13 | Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E* | 13 | | No |
| 14a | Did the organization maintain an office, employees, or agents outside of the United States? | 14a | | No |
| b | Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, and program service activities outside the United States? *If "Yes," complete Schedule F, Parts I and IV* | 14b | | No |
| 15 | Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or assistance to any organization or entity located outside the U S ? *If "Yes," complete Schedule F, Parts II and IV* | 15 | | No |
| 16 | Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or assistance to individuals located outside the U S ? *If "Yes," complete Schedule F, Parts III and IV* | 16 | | No |
| 17 | Did the organization report a total of more than $15,000, of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I (see instructions)* | 17 | | No |
| 18 | Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II* | 18 | | No |
| 19 | Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III* | 19 | | No |
| 20a | Did the organization operate one or more hospitals? *If "Yes," complete Schedule H* | 20a | | No |
| b | If "Yes" to line 20a, did the organization attach its audited financial statement to this return? **Note.** Some Form 990 filers that operate one or more hospitals must attach audited financial statements (see instructions) | 20b | | |

**Part IV Checklist of Required Schedules** *(continued)*

| | | | | |
|---|---|---|---|---|
| 21 | Did the organization report more than $5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II* | 21 | | No |
| 22 | Did the organization report more than $5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III* | 22 | | No |
| 23 | Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J* | 23 | Yes | |
| 24a | Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b–24d and complete Schedule K. If "No," go to line 25* | 24a | | No |
| b | Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? | 24b | | |
| c | Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? | 24c | | |
| d | Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? | 24d | | |
| 25a | **Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I* | 25a | | No |
| b | Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I* | 25b | | No |
| 26 | Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? *If "Yes," complete Schedule L, Part II* | 26 | | No |
| 27 | Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? *If "Yes," complete Schedule L, Part III* | 27 | | No |
| 28 | Was the organization a party to a business transaction with one of the following parties? (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions) | | | |
| a | A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV* | 28a | | No |
| b | A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV* | 28b | | No |
| c | An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? *If "Yes," complete Schedule L, Part IV* | 28c | Yes | |
| 29 | Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M* | 29 | | No |
| 30 | Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M* | 30 | | No |
| 31 | Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I* | 31 | | No |
| 32 | Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II* | 32 | | No |
| 33 | Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? *If "Yes," complete Schedule R, Part I* | 33 | | No |
| 34 | Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1* | 34 | | No |
| 35 | Is any related organization a controlled entity within the meaning of section 512(b)(13)? | 35 | | No |
| a | Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2* ☐ **Yes** ☑ **No** | | | |
| 36 | **Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2* | 36 | | No |
| 37 | Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI* | 37 | | No |
| 38 | Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? **Note.** All Form 990 filers are required to complete Schedule O | 38 | Yes | |

**Part V** **Statements Regarding Other IRS Filings and Tax Compliance**

Check if Schedule O contains a response to any question in this Part V . . . . . . . . . . . ☐

| | | | | | Yes | No |
|---|---|---|---|---|---|---|
| **1a** | Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable . . | **1a** | 828 | | | |
| **b** | Enter the number of Forms W-2G included in line 1a *Enter -0-* if not applicable | **1b** | 0 | | | |
| **c** | Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? . . . . . | | | **1c** | | |
| **2a** | Enter the number of employees reported on Form W-3, *Transmittal of Wage and Tax Statements* filed for the calendar year ending with or within the year covered by this return . . . . . | **2a** | 96 | | | |
| **b** | If at least one is reported on line 2a, did the organization file all required federal employment tax returns? | | | **2b** | Yes | |
| | **Note.** If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions) | | | | | |
| **3a** | Did the organization have unrelated business gross income of $1,000 or more during the year? . . . . . | | | **3a** | | No |
| **b** | If "Yes," has it filed a Form 990-T for this year? *If "No," provide an explanation in Schedule O* . . . . . | | | **3b** | | |
| **4a** | At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? . . . . | | | **4a** | | No |
| **b** | If "Yes," enter the name of the foreign country ▶ ______<br>See instructions for filing requirements for Form TD F 90-22 1, Report of Foreign Bank and Financial Accounts | | | | | |
| **5a** | Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? . . | | | **5a** | | No |
| **b** | Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? | | | **5b** | | No |
| **c** | If "Yes" to line 5a or 5b, did the organization file Form 8886-T? . . . . . | | | **5c** | | |
| **6a** | Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible? . . . . . | | | **6a** | | No |
| **b** | If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? . . . . . | | | **6b** | | |
| **7** | **Organizations that may receive deductible contributions under section 170(c).** | | | | | |
| **a** | Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor? . . . . . | | | **7a** | | No |
| **b** | If "Yes," did the organization notify the donor of the value of the goods or services provided? . . . . . | | | **7b** | | |
| **c** | Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? . . . . . | | | **7c** | | No |
| **d** | If "Yes," indicate the number of Forms 8282 filed during the year . . . . | **7d** | | | | |
| **e** | Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . . | | | **7e** | | No |
| **f** | Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . | | | **7f** | | No |
| **g** | If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? . . . . . | | | **7g** | | |
| **h** | If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? . . . . . | | | **7h** | | |
| **8** | **Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year? . . . . . | | | **8** | | |
| **9** | **Sponsoring organizations maintaining donor advised funds.** | | | | | |
| **a** | Did the organization make any taxable distributions under section 4966? . . . . . | | | **9a** | | |
| **b** | Did the organization make a distribution to a donor, donor advisor, or related person? . . . . . | | | **9b** | | |
| **10** | **Section 501(c)(7) organizations.** Enter | | | | | |
| **a** | Initiation fees and capital contributions included on Part VIII, line 12 . . . | **10a** | | | | |
| **b** | Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities | **10b** | | | | |
| **11** | **Section 501(c)(12) organizations.** Enter | | | | | |
| **a** | Gross income from members or shareholders . . . . . | **11a** | | | | |
| **b** | Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them ) . . . . . | **11b** | | | | |
| **12a** | **Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041? | | | **12a** | | |
| **b** | If "Yes," enter the amount of tax-exempt interest received or accrued during the year | **12b** | | | | |
| **13** | **Section 501(c)(29) qualified nonprofit health insurance issuers.** | | | | | |
| **a** | Is the organization licensed to issue qualified health plans in more than one state?<br>**Note.** See the instructions for additional information the organization must report on Schedule O | | | **13a** | | |
| **b** | Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans | **13b** | | | | |
| **c** | Enter the amount of reserves on hand | **13c** | | | | |
| **14a** | Did the organization receive any payments for indoor tanning services during the tax year? . . . . . | | | **14a** | | No |
| **b** | If "Yes," has it filed a Form 720 to report these payments? *If "No," provide an explanation in Schedule O* . . | | | **14b** | | |

**Part VI** **Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response to any question in this Part VI . . . . . . . . . . ☑

## Section A. Governing Body and Management

| | | | | Yes | No |
|---|---|---|---|---|---|
| 1a | Enter the number of voting members of the governing body at the end of the tax year | 1a 13 | | | |
| b | Enter the number of voting members included in line 1a, above, who are independent | 1b 13 | | | |
| 2 | Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? | | 2 | | No |
| 3 | Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? | | 3 | | No |
| 4 | Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? | | 4 | | No |
| 5 | Did the organization become aware during the year of a significant diversion of the organization's assets? | | 5 | | No |
| 6 | Does the organization have members or stockholders? | | 6 | | No |
| 7a | Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body? | | 7a | Yes | |
| b | Are any decisions of the governing body subject to approval by members, stockholders, or other persons? | | 7b | | No |
| 8 | Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following | | | | |
| a | The governing body? | | 8a | Yes | |
| b | Each committee with authority to act on behalf of the governing body? | | 8b | Yes | |
| 9 | Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O | | 9 | | No |

## Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

| | | | Yes | No |
|---|---|---|---|---|
| 10a | Does the organization have local chapters, branches, or affiliates? | 10a | | No |
| b | If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization? | 10b | | |
| 11a | Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form? | 11a | Yes | |
| b | Describe in Schedule O the process, if any, used by the organization to review this Form 990 | | | |
| 12a | Does the organization have a written conflict of interest policy? *If "No," go to line 13* | 12a | Yes | |
| b | Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts? | 12b | Yes | |
| c | Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done | 12c | Yes | |
| 13 | Does the organization have a written whistleblower policy? | 13 | Yes | |
| 14 | Does the organization have a written document retention and destruction policy? | 14 | Yes | |
| 15 | Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? | | | |
| a | The organization's CEO, Executive Director, or top management official | 15a | Yes | |
| b | Other officers or key employees of the organization | 15b | Yes | |
| | If "Yes" to line 15a or 15b, describe the process in Schedule O (See instructions ) | | | |
| 16a | Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? | 16a | | No |
| b | If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements? | 16b | | |

## Section C. Disclosure

17 List the States with which a copy of this Form 990 is required to be filed ▶OR

18 Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection Indicate how you make these available Check all that apply

☐ Own website ☐ Another's website ☑ Upon request

19 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public See Additional Data Table

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization ▶

SUSANNE MEYER SAMPLE CFO
851 SW SIXTH AVENUE SUITE 1200
PORTLAND, OR 97204
(503) 493-8888

**Part VII** **Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response to any question in this Part VII . . . . . . . . . . . .

## Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

**1a** Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation, and **current** key employees Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees, if any See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

| (A) Name and Title | (B) Average hours per week (describe hours for related organizations in Schedule O) | (C) Position (check all that apply): Individual trustee or director | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W- 2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|---|---|---|---|---|---|---|---|---|---|---|
| (1) JOHN REYNOLDS<br>PRESIDENT | 8 00 | X | | X | | | | 0 | 0 | 0 |
| (2) RICK APPLEGATE<br>VICE PRESIDENT | 3 00 | X | | X | | | | 0 | 0 | 0 |
| (3) DEBBIE KITCHIN<br>SECRETARY | 5 00 | X | | X | | | | 0 | 0 | 0 |
| (4) JOHN KLOSTERMAN<br>TREASURER | 5 00 | X | | X | | | | 0 | 0 | 0 |
| (5) DAN DAVIS<br>BOARD MEMBER | 3 00 | X | | | | | | 0 | 0 | 0 |
| (6) JASON EISDORFER<br>BOARD MEMBER | 3 00 | X | | | | | | 0 | 0 | 0 |
| (7) DAN ENLOE<br>BOARD MEMBER | 3 00 | X | | | | | | 0 | 0 | 0 |
| (8) ROGER HAMILTON<br>BOARD MEMBER | 3 00 | X | | | | | | 0 | 0 | 0 |
| (9) JULIE HAMMOND<br>BOARD MEMBER | 4 00 | X | | | | | | 0 | 0 | 0 |
| (10) AL JUBITZ<br>BOARD MEMBER | 3 00 | X | | | | | | 0 | 0 | 0 |
| (11) ALAN MEYER<br>BOARD MEMBER | 3 00 | X | | | | | | 0 | 0 | 0 |
| (12) PRESTON MICHIE<br>BOARD MEMBER | 3 00 | X | | | | | | 0 | 0 | 0 |
| (13) CATHERINE MCKEOWN<br>BOARD MEMBER | 3 00 | X | | | | | | 0 | 0 | 0 |
| (14) JOHN SAVAGE<br>EX-OFFICIO, OPUC | 3 00 | X | | | | | | 0 | 0 | 0 |
| (15) JULIE BRANDIS<br>BOARD MEMBER | 3 00 | X | | | | | | 0 | 0 | 0 |
| (16) BOB REPINE<br>EX-OFFICIO, ODOE | 3 00 | X | | | | | | 0 | 0 | 0 |

**Part VII** **Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** (continued)

| (A) Name and Title | (B) Average hours per week (describe hours for related organizations in Schedule O) | (C) Position (check all that apply): Individual trustee or director | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W- 2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|---|---|---|---|---|---|---|---|---|---|---|
| (17) MARGIE HARRIS<br>EXECUTIVE DIRECTOR | 40 00 | | | X | X | X | | 163,670 | 0 | 15,532 |
| (18) SUSANNE MEYER SAMPLE<br>CFO | 40 00 | | | X | | X | | 132,392 | 0 | 9,744 |
| (19) FRED GORDON<br>DIR OF PLANNING & EVALUATION | 40 00 | | | | | X | | 123,771 | 0 | 20,642 |
| (20) STEVE LACEY<br>DIRECTOR OF OPERATIONS | 40 00 | | | | | X | | 127,330 | 0 | 21,513 |
| (21) PETER WEST<br>DIRECTOR OF ENERGY PROGRAMS | 40 00 | | | | | X | | 129,144 | 0 | 23,693 |
| (22) JOHN VOLKMAN<br>GENERAL COUNSEL | 40 00 | | | | | X | | 122,985 | 0 | 21,441 |
| (23) AMBER COLE<br>DIR OF COMMUNICATIONS & CUSTMR SRVC | 40 00 | | | | | X | | 102,678 | 0 | 23,441 |
| (24) JAN SCHAEFER<br>FORMER DIR OF COMMUNICATIONS | 23 00 | | | | | | X | 103,785 | 0 | 0 |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |

| | | (D) | (E) | (F) |
|---|---|---|---|---|
| **1b** | **Sub-Total** . . . . . . . . . . . . . . . . . . ▶ | | | |
| **c** | **Total from continuation sheets to Part VII, Section A** . . . . ▶ | | | |
| **d** | **Total (add lines 1b and 1c)** . . . . . . . . . . . . ▶ | 1,005,755 | 0 | 136,006 |

**2** Total number of individuals (including but not limited to those listed above) who received more than $100,000 in reportable compensation from the organization ▶8

| | | | Yes | No |
|---|---|---|---|---|
| **3** | Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual* . . . . . . . . . . . . . . . . | 3 | Yes | |
| **4** | For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual* . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . | 4 | Yes | |
| **5** | Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person* . . . . . . | 5 | | No |

## Section B. Independent Contractors

**1** Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization

| (A) Name and business address | (B) Description of services | (C) Compensation |
|---|---|---|
| PORTLAND ENERGY CONSERVATION INC<br>100 SW MAIN ST STE 1600<br>PORTLAND, OR 97204 | PROGRAM DELIVERY | 10,551,517 |
| NORTHWEST ENERGY EFFICIENCY ALLIANCE<br>529 SW 3RD AVE STE 600<br>PORTLAND, OR 97204 | PROGRAM DELIVERY & COMPUTER SERVICES | 7,547,088 |
| CONSERVATION SERVICES GROUP INC<br>208 SW 5TH ST STE 700<br>PORTLAND, OR 97204 | PROGRAM DELIVERY | 6,361,384 |
| LOCKHEED MARTIN SERVICES INC<br>621 SW MORRISON ST STE 550<br>PORTLAND, OR 97205 | PROGRAM DELIVERY | 4,592,637 |
| CASCADE ENERGY ENGINEERING<br>19 E CHERRY ST<br>WALLA WALLA, WA 99362 | PROGRAM DELIVERY | 1,620,748 |

**2** Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 in compensation from the organization ▶29

**Part VIII Statement of Revenue**

| | | (A) Total revenue | (B) Related or exempt function revenue | (C) Unrelated business revenue | (D) Revenue excluded from tax under sections 512, 513, or 514 |
|---|---|---|---|---|---|
| **Contributions, gifts, grants and other similar amounts** | | | | | |
| 1a Federated campaigns . . | 1a | | | | |
| b Membership dues . . . . | 1b | | | | |
| c Fundraising events . . . . | 1c | | | | |
| d Related organizations . . . | 1d | | | | |
| e Government grants (contributions) | 1e 124,929,766 | | | | |
| f All other contributions, gifts, grants, and similar amounts not included above | 1f 1,085 | | | | |
| g Noncash contributions included in lines 1a-1f $ | | | | | |
| h **Total.** Add lines 1a-1f . . . . . . . ▶ | | 124,930,851 | | | |
| **Program Service Revenue** | Business Code | | | | |
| 2a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f All other program service revenue | | | | | |
| g **Total.** Add lines 2a–2f . . . . . . . . ▶ | | | | | |
| **Other Revenue** | | | | | |
| 3 Investment income (including dividends, interest and other similar amounts) . . . . . ▶ | | 417,905 | | | 417,905 |
| 4 Income from investment of tax-exempt bond proceeds . . ▶ | | | | | |
| 5 Royalties . . . . . . . . . . . . . ▶ | | | | | |
| | (i) Real / (ii) Personal | | | | |
| 6a Gross Rents | | | | | |
| b Less rental expenses | | | | | |
| c Rental income or (loss) | | | | | |
| d Net rental income or (loss) . . . . . . . ▶ | | | | | |
| | (i) Securities / (ii) Other | | | | |
| 7a Gross amount from sales of assets other than inventory | | | | | |
| b Less cost or other basis and sales expenses | | | | | |
| c Gain or (loss) | | | | | |
| d Net gain or (loss) . . . . . . . . . . ▶ | | | | | |
| 8a Gross income from fundraising events (not including $ ______ of contributions reported on line 1c) See Part IV, line 18 . . . | a | | | | |
| b Less direct expenses . . . | b | | | | |
| c Net income or (loss) from fundraising events . . ▶ | | | | | |
| 9a Gross income from gaming activities See Part IV, line 19 . | a | | | | |
| b Less direct expenses . . . . . . . . . . . | b | | | | |
| c Net income or (loss) from gaming activities . . . ▶ | | | | | |
| 10a Gross sales of inventory, less returns and allowances . | a | | | | |
| b Less cost of goods sold . . | b | | | | |
| c Net income or (loss) from sales of inventory . . ▶ | | | | | |
| Miscellaneous Revenue | Business Code | | | | |
| 11a | | | | | |
| b | | | | | |
| c | | | | | |
| d All other revenue . . . . | | | | | |
| e **Total.** Add lines 11a–11d . . . . . . ▶ | | | | | |
| 12 **Total revenue.** See Instructions . . . ▶ | | 125,348,756 | 0 | 0 | 417,905 |

## Part IX Statement of Functional Expenses

**Section 501(c)(3) and 501(c)(4) organizations must complete all columns.**
**All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).**

| Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII. | (A) Total expenses | (B) Program service expenses | (C) Management and general expenses | (D) Fundraising expenses |
|---|---|---|---|---|
| 1 Grants and other assistance to governments and organizations in the U S See Part IV, line 21 | | | | |
| 2 Grants and other assistance to individuals in the U S See Part IV, line 22 | | | | |
| 3 Grants and other assistance to governments, organizations, and individuals outside the U S See Part IV, lines 15 and 16 | | | | |
| 4 Benefits paid to or for members | | | | |
| 5 Compensation of current officers, directors, trustees, and key employees | 321,339 | | 321,339 | |
| 6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) | | | | |
| 7 Other salaries and wages | 5,020,950 | 3,976,231 | 1,044,719 | |
| 8 Pension plan contributions (include section 401(k) and section 403(b) employer contributions) | 307,303 | 250,402 | 56,901 | |
| 9 Other employee benefits | 760,654 | 609,297 | 151,357 | |
| 10 Payroll taxes | 453,321 | 340,850 | 112,471 | |
| a Fees for services (non-employees) Management | | | | |
| b Legal | 8,383 | 66 | 8,317 | |
| c Accounting | 60,434 | | 60,434 | |
| d Lobbying | | | | |
| e Professional fundraising services *See Part IV, line 17* | | | | |
| f Investment management fees | | | | |
| g Other | 6,290,955 | 5,874,296 | 416,659 | |
| 12 Advertising and promotion | 1,718,394 | 1,718,394 | | |
| 13 Office expenses | 45,835 | 30,889 | 14,946 | |
| 14 Information technology | 6,237 | 6,111 | 126 | |
| 15 Royalties | | | | |
| 16 Occupancy | 263,853 | 185,040 | 78,813 | |
| 17 Travel | 83,131 | 58,618 | 24,513 | |
| 18 Payments of travel or entertainment expenses for any federal, state, or local public officials | | | | |
| 19 Conferences, conventions, and meetings | 117,417 | 37,104 | 80,313 | |
| 20 Interest | 5,000 | | 5,000 | |
| 21 Payments to affiliates | | | | |
| 22 Depreciation, depletion, and amortization | 29,668 | 26,194 | 3,474 | |
| 23 Insurance | 50,621 | 35,501 | 15,120 | |
| 24 Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24f If line 24f amount exceeds 10% of line 25, column (A) amount, list line 24f expenses on Schedule O ) | | | | |
| a INCENTIVES | 71,644,081 | 71,644,081 | 0 | |
| b PROGRAM MGMT & DELIVER | 34,855,334 | 34,855,334 | 0 | |
| c PLANNING & EVALUATION | 350,664 | 344,519 | 6,145 | |
| d CUSTOMER SERVICE MGMT | 138,863 | 138,863 | 0 | |
| e DUES, LICENSES & FEES | 108,312 | 98,641 | 9,671 | |
| f All other expenses | 304,235 | 248,053 | 56,182 | |
| 25 **Total functional expenses.** Add lines 1 through 24f | 122,944,984 | 120,478,484 | 2,466,500 | 0 |
| 26 **Joint costs.** Check here ☐ if following SOP 98-2 (ASC 958-720) Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation | | | | |

**Part X Balance Sheet**

| | | | | (A) Beginning of year | | (B) End of year |
|---|---|---|---|---|---|---|
| Assets | 1 | Cash—non-interest-bearing | | 300 | 1 | 300 |
| | 2 | Savings and temporary cash investments | | 68,593,466 | 2 | 69,036,646 |
| | 3 | Pledges and grants receivable, net | | | 3 | |
| | 4 | Accounts receivable, net | | 106,937 | 4 | 72,172 |
| | 5 | Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L | | | 5 | |
| | 6 | Receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers, and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Schedule L | | | 6 | |
| | 7 | Notes and loans receivable, net | | | 7 | |
| | 8 | Inventories for sale or use | | | 8 | |
| | 9 | Prepaid expenses and deferred charges | | 222,006 | 9 | 2,105,023 |
| | 10a | Land, buildings, and equipment cost or other basis *Complete Part VI of Schedule D* | 10a 1,622,464 | | | |
| | b | Less accumulated depreciation | 10b 991,466 | 270,796 | 10c | 630,998 |
| | 11 | Investments—publicly traded securities | | | 11 | |
| | 12 | Investments—other securities See Part IV, line 11 | | | 12 | 8,042,156 |
| | 13 | Investments—program-related See Part IV, line 11 | | | 13 | |
| | 14 | Intangible assets | | | 14 | |
| | 15 | Other assets See Part IV, line 11 | | 170,450 | 15 | 261,677 |
| | 16 | **Total assets.** Add lines 1 through 15 (must equal line 34) | | 69,363,955 | 16 | 80,148,972 |
| Liabilities | 17 | Accounts payable and accrued expenses | | 10,092,364 | 17 | 18,380,518 |
| | 18 | Grants payable | | | 18 | |
| | 19 | Deferred revenue | | | 19 | |
| | 20 | Tax-exempt bond liabilities | | | 20 | |
| | 21 | Escrow or custodial account liability *Complete Part IV of Schedule D* | | | 21 | |
| | 22 | Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons *Complete Part II of Schedule L* | | | 22 | |
| | 23 | Secured mortgages and notes payable to unrelated third parties | | | 23 | |
| | 24 | Unsecured notes and loans payable to unrelated third parties | | | 24 | |
| | 25 | Other liabilities Complete Part X of Schedule D | | 642,828 | 25 | 735,919 |
| | 26 | **Total liabilities.** Add lines 17 through 25 | | 10,735,192 | 26 | 19,116,437 |
| Net Assets or Fund Balances | | **Organizations that follow SFAS 117, check here ▶ ☑ and complete lines 27 through 29, and lines 33 and 34.** | | | | |
| | 27 | Unrestricted net assets | | 58,628,763 | 27 | 61,032,535 |
| | 28 | Temporarily restricted net assets | | | 28 | |
| | 29 | Permanently restricted net assets | | | 29 | |
| | | **Organizations that do not follow SFAS 117, check here ▶ ☐ and complete lines 30 through 34.** | | | | |
| | 30 | Capital stock or trust principal, or current funds | | | 30 | |
| | 31 | Paid-in or capital surplus, or land, building or equipment fund | | | 31 | |
| | 32 | Retained earnings, endowment, accumulated income, or other funds | | | 32 | |
| | 33 | Total net assets or fund balances | | 58,628,763 | 33 | 61,032,535 |
| | 34 | Total liabilities and net assets/fund balances | | 69,363,955 | 34 | 80,148,972 |

## Part XI Reconcilliation of Net Assets

Check if Schedule O contains a response to any question in this Part XI . . . . . . . . . . ☐

| | | | |
|---|---|---|---|
| 1 | Total revenue (must equal Part VIII, column (A), line 12) . . . | 1 | 125,348,756 |
| 2 | Total expenses (must equal Part IX, column (A), line 25) . . . . . | 2 | 122,944,984 |
| 3 | Revenue less expenses Subtract line 2 from line 1 . . . . | 3 | 2,403,772 |
| 4 | Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A)) . . | 4 | 58,628,763 |
| 5 | Other changes in net assets or fund balances (explain in Schedule O) . . . . | 5 | |
| 6 | Net assets or fund balances at end of year Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B)) . . . . . . | 6 | 61,032,535 |

## Part XII Financial Statements and Reporting

Check if Schedule O contains a response to any question in this Part XII . . . . . . . . . . ☑

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Accounting method used to prepare the Form 990 ☐ Cash ☑ Accrual ☐ Other ________<br>If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O | | | |
| 2a | Were the organization's financial statements compiled or reviewed by an independent accountant? . . | 2a | | No |
| b | Were the organization's financial statements audited by an independent accountant? . . . . . . . . . . | 2b | Yes | |
| c | If "Yes," to 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?<br>If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O . . . . . . . . . . . . . . . . . . . . . . . . . . | 2c | Yes | |
| d | If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both<br>☑ Separate basis ☐ Consolidated basis ☐ Both consolidated and separated basis | | | |
| 3a | As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? . . . . . . . . . . . . . . . . . | 3a | | No |
| b | If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits . . | 3b | | |

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**SCHEDULE A** (Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

# Public Charity Status and Public Support

**Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.**

**▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.**

OMB No 1545-0047

**2010**

**Open to Public Inspection**

Name of the organization
ENERGY TRUST OF OREGON INC

**Employer identification number**
93-1313663

## Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions

The organization is not a private foundation because it is (For lines 1 through 11, check only one box )

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E )

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II )

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☑ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)** (Complete Part II )

8 ☐ A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II )

9 ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III )

10 ☐ An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

**a** ☐ Type I **b** ☐ Type II **c** ☐ Type III - Functionally integrated **d** ☐ Type III - Other

**e** ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

**f** If the organization received a written determination from the IRS that it is a Type I, Type II or Type III supporting organization, check this box ☐

**g** Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

| | | Yes | No |
|---|---|---|---|
| **(i)** a person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the the supported organization? | **11g(i)** | | |
| **(ii)** a family member of a person described in (i) above? | **11g(ii)** | | |
| **(iii)** a 35% controlled entity of a person described in (i) or (ii) above? | **11g(iii)** | | |

**h** Provide the following information about the supported organization(s)

| **(i)** Name of supported organization | **(ii)** EIN | **(iii)** Type of organization (described on lines 1- 9 above or IRC section **(see instructions)**) | **(iv)** Is the organization in col (i) listed in your governing document? | | **(v)** Did you notify the organization in col (i) of your support? | | **(vi)** Is the organization in col (i) organized in the U S ? | | **(vii)** Amount of support |
|---|---|---|---|---|---|---|---|---|---|
| | | | **Yes** | **No** | **Yes** | **No** | **Yes** | **No** | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| **Total** | | | | | | | | | |

**Part II** **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

## Section A. Public Support

| Calendar year (or fiscal year beginning in) ▶ | (a) 2006 | (b) 2007 | (c) 2008 | (d) 2009 | (e) 2010 | (f) Total |
|---|---|---|---|---|---|---|
| 1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ") | 58,101,063 | 63,328,071 | 77,570,232 | 91,303,373 | 124,930,851 | 415,233,590 |
| 2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | |
| 3 The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | |
| 4 **Total.** Add lines 1 through 3 | 58,101,063 | 63,328,071 | 77,570,232 | 91,303,373 | 124,930,851 | 415,233,590 |
| 5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) | | | | | | |
| 6 **Public Support.** Subtract line 5 from line 4 | | | | | | 415,233,590 |

## Section B. Total Support

| Calendar year (or fiscal year beginning in) ▶ | (a) 2006 | (b) 2007 | (c) 2008 | (d) 2009 | (e) 2010 | (f) Total |
|---|---|---|---|---|---|---|
| 7 Amounts from line 4 | 58,101,063 | 63,328,071 | 77,570,232 | 91,303,373 | 124,930,851 | 415,233,590 |
| 8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | 2,270,829 | 3,197,780 | 1,766,864 | 588,192 | 417,905 | 8,241,570 |
| 9 Net income from unrelated business activities, whether or not the business is regularly carried on | | | | | | |
| 10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV ) | | | | | | |
| 11 **Total support** (Add lines 7 through 10) | | | | | | 423,475,160 |

12 Gross receipts from related activities, etc (See instructions ) | 12 | 896,312

13 **First Five Years** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** ▶☐

## Section C. Computation of Public Support Percentage

14 Public Support Percentage for 2010 (line 6 column (f) divided by line 11 column (f)) | 14 | 98 050 %

15 Public Support Percentage for 2009 Schedule A, Part II, line 14 | 15 | 97 420 %

16a **33 1/3% support test—2010.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☑

b **33 1/3% support test—2009.** If the organization did not check the box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

17a **10%-facts-and-circumstances test—2010.** If the organization did not check a box on line 13, 16a, or 16b and line 14 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization ▶☐

b **10%-facts-and-circumstances test—2009.** If the organization did not check a box on line 13, 16a, 16b, or 17a and line 15 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization ▶☐

18 **Private Foundation** If the organization did not check a box on line 13, 16a, 16b, 17a or 17b, check this box and see instructions ▶☐

**Part III** **Support Schedule for Organizations Described in Section 509(a)(2)**
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

## Section A. Public Support

| Calendar year (or fiscal year beginning in) ▶ | (a) 2006 | (b) 2007 | (c) 2008 | (d) 2009 | (e) 2010 | (f) Total |
|---|---|---|---|---|---|---|
| 1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ") | | | | | | |
| 2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose | | | | | | |
| 3 Gross receipts from activities that are not an unrelated trade or business under section 513 | | | | | | |
| 4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | |
| 5 The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | |
| 6 **Total.** Add lines 1 through 5 | | | | | | |
| 7a Amounts included on lines 1, 2, and 3 received from disqualified persons | | | | | | |
| b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year | | | | | | |
| c Add lines 7a and 7b | | | | | | |
| 8 **Public Support** (Subtract line 7c from line 6 ) | | | | | | |

## Section B. Total Support

| Calendar year (or fiscal year beginning in) | (a) 2006 | (b) 2007 | (c) 2008 | (d) 2009 | (e) 2010 | (f) Total |
|---|---|---|---|---|---|---|
| 9 Amounts from line 6 | | | | | | |
| 10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | | | | | | |
| b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 | | | | | | |
| c Add lines 10a and 10b | | | | | | |
| 11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on | | | | | | |
| 12 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV ) | | | | | | |
| 13 **Total support** (Add lines 9, 10c, 11 and 12 ) | | | | | | |

14 **First Five Years** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section501(c)(3) organization, check this box and **stop here** ▶☐

## Section C. Computation of Public Support Percentage

| | | |
|---|---|---|
| 15 Public Support Percentage for 2010 (line 8 column (f) divided by line 13 column (f)) | 15 | |
| 16 Public support percentage from 2009 Schedule A, Part III, line 15 | 16 | |

## Section D. Computation of Investment Income Percentage

| | | |
|---|---|---|
| 17 Investment income percentage for **2010** (line 10c column (f) divided by line 13 column (f)) | 17 | |
| 18 Investment income percentage from **2009** Schedule A, Part III, line 17 | 18 | |

19a **33 1/3% support tests—2010.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3% and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

b **33 1/3% support tests—2009.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

20 **Private Foundation** If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions ▶☐

**Part IV** **Supplemental Information.** Supplemental Information. Complete this part to provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

| Facts And Circumstances Test |
|---|
| |
| |

**SCHEDULE D**
(Form 990)

Department of the Treasury
Internal Revenue Service

# Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

**2010**

**Open to Public Inspection**

**Name of the organization**
ENERGY TRUST OF OREGON INC

**Employer identification number**
93-1313663

## Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. 
Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

| | | (a) Donor advised funds | (b) Funds and other accounts |
|---|---|---|---|
| 1 | Total number at end of year | | |
| 2 | Aggregate contributions to (during year) | | |
| 3 | Aggregate grants from (during year) | | |
| 4 | Aggregate value at end of year | | |

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit ☐ Yes ☐ No

## Part II Conservation Easements. 
Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)
- ☐ Preservation of land for public use (e g , recreation or pleasure)
- ☐ Preservation of an historically importantly land area
- ☐ Protection of natural habitat
- ☐ Preservation of a certified historic structure
- ☐ Preservation of open space

2 Complete lines 2a–2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

| | | | Held at the End of the Year |
|---|---|---|---|
| a | Total number of conservation easements | 2a | |
| b | Total acreage restricted by conservation easements | 2b | |
| c | Number of conservation easements on a certified historic structure included in (a) | 2c | |
| d | Number of conservation easements included in (c) acquired after 8/17/06 | 2d | |

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the taxable year ▶______________

4 Number of states where property subject to conservation easement is located ▶______________

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting and enforcing conservation easements during the year ▶______________

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ $ ______________

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

## Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. 
Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1 ▶ $ ______________

(ii) Assets included in Form 990, Part X ▶ $ ______________

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items

a Revenues included in Form 990, Part VIII, line 1 ▶ $ ______________

b Assets included in Form 990, Part X ▶ $ ______________

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** *(continued)*

3 Using the organization's accession and other records, check any of the following that are a significant use of its collection items (check all that apply)

a ☐ Public exhibition
d ☐ Loan or exchange programs
b ☐ Scholarly research
e ☐ Other
c ☐ Preservation for future generations

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV and complete the following table

| | | Amount |
|---|---|---|
| c Beginning balance | 1c | |
| d Additions during the year | 1d | |
| e Distributions during the year | 1e | |
| f Ending balance | 1f | |

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV

**Part V Endowment Funds.** Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

| | (a) Current Year | (b) Prior Year | (c) Two Years Back | (d) Three Years Back | (e) Four Years Back |
|---|---|---|---|---|---|
| 1a Beginning of year balance | | | | | |
| b Contributions | | | | | |
| c Investment earnings or losses | | | | | |
| d Grants or scholarships | | | | | |
| e Other expenditures for facilities and programs | | | | | |
| f Administrative expenses | | | | | |
| g End of year balance | | | | | |

2 Provide the estimated percentage of the year end balance held as

a Board designated or quasi-endowment ▶

b Permanent endowment ▶

c Term endowment ▶

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

| | | Yes | No |
|---|---|---|---|
| (i) unrelated organizations | 3a(i) | | |
| (ii) related organizations | 3a(ii) | | |
| b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R? | 3b | | |

4 Describe in Part XIV the intended uses of the organization's endowment funds

**Part VI Investments—Land, Buildings, and Equipment.** See Form 990, Part X, line 10.

| Description of investment | (a) Cost or other basis (investment) | (b) Cost or other basis (other) | (c) Accumulated depreciation | (d) Book value |
|---|---|---|---|---|
| 1a Land | | | | |
| b Buildings | | | | |
| c Leasehold improvements | | 22,382 | 22,382 | 0 |
| d Equipment | | 1,202,579 | 969,084 | 233,495 |
| e Other | | 397,503 | | 397,503 |
| **Total.** Add lines 1a-1e *(Column (d) should equal Form 990, Part X, column (B), line 10(c).)* ▶ | | | | 630,998 |

**Part VII Investments—Other Securities.** See Form 990, Part X, line 12.

| (a) Description of security or category (including name of security) | (b) Book value | (c) Method of valuation Cost or end-of-year market value |
|---|---|---|
| (1) Financial derivatives | | |
| (2) Closely-held equity interests | | |
| (3) Other<br>(A) CERTIFICATES OF DEPOSIT | 8,042,156 | C |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| **Total.** *(Column (b) should equal Form 990, Part X, col (B) line 12 )* ▶ | 8,042,156 | |

**Part VIII Investments—Program Related.** See Form 990, Part X, line 13.

| (a) Description of investment type | (b) Book value | (c) Method of valuation Cost or end-of-year market value |
|---|---|---|
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| **Total.** *(Column (b) should equal Form 990, Part X, col (B) line 13 )* ▶ | | |

**Part IX Other Assets.** See Form 990, Part X, line 15.

| (a) Description | (b) Book value |
|---|---|
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| **Total.** *(Column (b) should equal Form 990, Part X, col.(B) line 15.)* . . . . . . . . . . . . . ▶ | |

**Part X Other Liabilities.** See Form 990, Part X, line 25.

| 1 (a) Description of Liability | (b) Amount |
|---|---|
| Federal Income Taxes | |
| ACCRUED PAYROLL AND RELATED EXPENSES | 678,522 |
| DEFERRED RENT LIABILITY | 57,397 |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| **Total.** *(Column (b) should equal Form 990, Part X, col (B) line 25 )* ▶ | 735,919 |

**2.** Fin 48 (ASC 740) Footnote In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC740)

## Part XI Reconciliation of Change in Net Assets from Form 990 to Financial Statements

| | | | |
|---|---|---|---|
| 1 | Total revenue (Form 990, Part VIII, column (A), line 12) | 1 | 125,348,756 |
| 2 | Total expenses (Form 990, Part IX, column (A), line 25) | 2 | 122,944,984 |
| 3 | Excess or (deficit) for the year Subtract line 2 from line 1 | 3 | 2,403,772 |
| 4 | Net unrealized gains (losses) on investments | 4 | |
| 5 | Donated services and use of facilities | 5 | |
| 6 | Investment expenses | 6 | |
| 7 | Prior period adjustments | 7 | |
| 8 | Other (Describe in Part XIV) | 8 | |
| 9 | Total adjustments (net) Add lines 4 - 8 | 9 | 0 |
| 10 | Excess or (deficit) for the year per financial statements Combine lines 3 and 9 | 10 | 2,403,772 |

## Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

| | | | | | |
|---|---|---|---|---|---|
| 1 | Total revenue, gains, and other support per audited financial statements | | | 1 | 125,348,756 |
| 2 | Amounts included on line 1 but not on Form 990, Part VIII, line 12 | | | | |
| a | Net unrealized gains on investments | 2a | | | |
| b | Donated services and use of facilities | 2b | | | |
| c | Recoveries of prior year grants | 2c | | | |
| d | Other (Describe in Part XIV) | 2d | | | |
| e | Add lines **2a** through **2d** | | | 2e | 0 |
| 3 | Subtract line **2e** from line **1** | | | 3 | 125,348,756 |
| 4 | Amounts included on Form 990, Part VIII, line 12, but not on line **1** | | | | |
| a | Investment expenses not included on Form 990, Part VIII, line 7b | 4a | | | |
| b | Other (Describe in Part XIV) | 4b | | | |
| c | Add lines **4a** and **4b** | | | 4c | 0 |
| 5 | Total Revenue Add lines **3** and **4c.** (This should equal Form 990, Part I, line 12 ) | | | 5 | 125,348,756 |

## Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

| | | | | | |
|---|---|---|---|---|---|
| 1 | Total expenses and losses per audited financial statements | | | 1 | 122,944,984 |
| 2 | Amounts included on line 1 but not on Form 990, Part IX, line 25 | | | | |
| a | Donated services and use of facilities | 2a | | | |
| b | Prior year adjustments | 2b | | | |
| c | Other losses | 2c | | | |
| d | Other (Describe in Part XIV) | 2d | | | |
| e | Add lines **2a** through **2d** | | | 2e | 0 |
| 3 | Subtract line **2e** from line **1** | | | 3 | 122,944,984 |
| 4 | Amounts included on Form 990, Part IX, line 25, but not on line **1:** | | | | |
| a | Investment expenses not included on Form 990, Part VIII, line 7b | 4a | | | |
| b | Other (Describe in Part XIV) | 4b | | | |
| c | Add lines **4a** and **4b** | | | 4c | 0 |
| 5 | Total expenses Add lines **3** and **4c.** (This should equal Form 990, Part I, line 18 ) | | | 5 | 122,944,984 |

## Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b Also complete this part to provide any additional information

| Identifier | Return Reference | Explanation |
|---|---|---|

**Schedule J** (Form 990)

Department of the Treasury
Internal Revenue Service

# Compensation Information

**For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**
**▶ Complete if the organization answered "Yes" to Form 990, Part IV, question 23.**
**▶ Attach to Form 990. ▶ See separate instructions.**

OMB No 1545-0047

**2010**

**Open to Public Inspection**

**Name of the organization**
ENERGY TRUST OF OREGON INC

**Employer identification number**
93-1313663

## Part I Questions Regarding Compensation

| | | | Yes | No |
|---|---|---|---|---|
| **1a** | Check the appropiate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items<br>☐ First-class or charter travel ☐ Housing allowance or residence for personal use<br>☐ Travel for companions ☐ Payments for business use of personal residence<br>☐ Tax idemnification and gross-up payments ☐ Health or social club dues or initiation fees<br>☐ Discretionary spending account ☐ Personal services (e g , maid, chauffeur, chef) | | | |
| **b** | If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement orprovision of all the expenses described above? If "No," complete Part III to explain | **1b** | | |
| **2** | Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a? | **2** | | |
| **3** | Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director Check all that apply<br>☐ Compensation committee ☐ Written employment contract<br>☑ Independent compensation consultant ☑ Compensation survey or study<br>☐ Form 990 of other organizations ☑ Approval by the board or compensation committee | | | |
| **4** | During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization | | | |
| **a** | Receive a severance payment or change-of-control payment from the organization or a related organization? | **4a** | | No |
| **b** | Participate in, or receive payment from, a supplemental nonqualified retirement plan? | **4b** | Yes | |
| **c** | Participate in, or receive payment from, an equity-based compensation arrangement?<br>If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III | **4c** | | No |
| | **Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.** | | | |
| **5** | For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of | | | |
| **a** | The organization? | **5a** | | No |
| **b** | Any related organization?<br>If "Yes," to line 5a or 5b, describe in Part III | **5b** | | No |
| **6** | For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of | | | |
| **a** | The organization? | **6a** | | No |
| **b** | Any related organization?<br>If "Yes," to line 6a or 6b, describe in Part III | **6b** | | No |
| **7** | For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III | **7** | | No |
| **8** | Were any amounts reported in Form 990, Part VII, paid or accured pursuant to a contract that was subject to the initial contract exception described in Regs section 53 4958-4(a)(3)? If "Yes," describe in Part III | **8** | | No |
| **9** | If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)? | **9** | | |

**Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

**Note.** The sum of columns (B)(i)-(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a

| (A) Name | | (B) Breakdown of W-2 and/or 1099-MISC compensation | | | (C) Retirement and other deferred compensation | (D) Nontaxable benefits | (E) Total of columns (B)(i)-(D) | (F) Compensation reported in prior Form 990 or Form 990-EZ |
|---|---|---|---|---|---|---|---|---|
| | | (i) Base compensation | (ii) Bonus & incentive compensation | (iii) Other reportable compensation | | | | |
| (1) MARGIE HARRIS | (i) | 142,574 | 0 | 21,096 | 8,598 | 6,934 | 179,202 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (2) PETER WEST | (i) | 107,144 | 0 | 22,000 | 6,545 | 17,148 | 152,837 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (3) JAN SCHAEFER | (i) | 103,785 | 0 | 0 | 0 | 0 | 103,785 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (4) | | | | | | | | |
| (5) | | | | | | | | |
| (6) | | | | | | | | |
| (7) | | | | | | | | |
| (8) | | | | | | | | |
| (9) | | | | | | | | |
| (10) | | | | | | | | |
| (11) | | | | | | | | |
| (12) | | | | | | | | |
| (13) | | | | | | | | |
| (14) | | | | | | | | |
| (15) | | | | | | | | |
| (16) | | | | | | | | |

**Part III** **Supplemental Information**

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8 Also complete this part for any additional information

| Identifier | Return Reference | Explanation |
|---|---|---|
| | PART I, LINE 4A | SERP PAYMENTS MARGIE HARRIS - $14,000 SUSANNE MEYER SAMPLE - $5,000 FRED GORDON - $2,886 STEVE LACEY - $5,000 PETER WEST - $22,000 |

# Schedule L (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

## Transactions with Interested Persons

▶ Complete if the organization answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V lines 38a or 40b.
▶ Attach to Form 990 or Form 990-EZ. ▶See separate instructions.

OMB No 1545-0047

2010

**Open to Public Inspection**

**Name of the organization**
ENERGY TRUST OF OREGON INC

**Employer identification number**
93-1313663

**Part I Excess Benefit Transactions** (section 501(c)(3) and section 501 (c)(4) organizations only).
Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

| 1 (a) Name of disqualified person | (b) Description of transaction | (c) Corrected? Yes | No |
|---|---|---|---|
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |

2 Enter the amount of tax imposed on the organization managers or disqualified persons during the year under section 4958 . . . ▶ $

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization . . . ▶ $

**Part II Loans to and/or From Interested Persons.**
Complete if the organization answered "Yes" on Form 990, Part IV, line 26, or Form 990-EZ, Part V, line 38a

| (a) Name of interested person and purpose | (b) Loan to or from the organization? To | From | (c) Original principal amount | (d) Balance due | (e) In default? Yes | No | (f) Approved by board or committee? Yes | No | (g) Written agreement? Yes | No |
|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| Total . . . ▶ $ | | | | | | | | | | |

**Part III Grants or Assistance Benefitting Interested Persons.**
Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

| (a) Name of interested person | (b) Relationship between interested person and the organization | (c) Amount of grant or type of assistance |
|---|---|---|
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

## Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

| (a) Name of interested person | (b) Relationship between interested person and the organization | (c) Amount of transaction | (d) Description of transaction | (e) Sharing of organization's revenues? Yes | No |
|---|---|---|---|---|---|
| (1) MARGIE HARRIS | EXECUTIVE DIRECTOR | 7,547,088 | SEE BELOWMS HARRIS IS A BOARD MEMBER OF THE NW ENERGY EFFICIENCY ALLIANCE (NEEA) A CONTRACTUAL AGREEMENT EXISTS BETWEEN ENERGY TRUST AND NEEA TO OPERATE MARKET TRANSFORMATION PROGRAMS NEEA BOARD MEMBERSHIP ASSISTS IN THE COORDINATION OF THIS PROGRAM | | No |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

## Part V Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule L (see instructions)

| Identifier | Return Reference | Explanation |
|---|---|---|

# SCHEDULE O (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

## Supplemental Information to Form 990 or 990-EZ

**Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.**
**▶ Attach to Form 990 or 990-EZ.**

OMB No 1545-0047

**2010**

**Open to Public Inspection**

**Name of the organization**
ENERGY TRUST OF OREGON INC

**Employer identification number**
93-1313663

| Identifier | Return Reference | Explanation |
|---|---|---|
| FORM 990, PART VI, SECTION A, LINE 7A | | DIRECTORS MAY BE ELECTED AT ANY BOARD OF DIRECTORS MEETING BY A MAJORITY VOTE OF DIRECTORS THEN IN OFFICE |

| Identifier | Return Reference | Explanation |
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| FORM 990, PART VI, SECTION B, LINE 11 | | A COPY OF THE DRAFT FORM 990 WAS PRESENTED FOR REVIEW TO THE FULL BOARD AT THEIR MAY 4TH BOARD MEETING |

| Identifier | Return Reference | Explanation |
|---|---|---|
| | FORM 990, PART VI, SECTION B, LINE 12C | THE ORGANIZATION ENSURES THAT EACH DIRECTOR AND EMPLOYEE HAS FILED A DISCLOSURE FORM ANNUALLY BEGINNING IN 2010, ANY DIRECTOR WHO FAILS TO COMPLY WITH DISCLOSURE REQUIREMENTS MAY BE REMOVED BY THE OREGON PUBLIC UTILITY COMMISSION |

| Identifier | Return Reference | Explanation |
|---|---|---|
| | FORM 990, PART VI, SECTION B, LINE 15 | COMPENSATION PROGRAM SUMMARY ENERGY TRUST WILL TARGET A MARKET POSITION THAT PROVIDES A COMPETITIVE ADVANTAGE IN ATTRACTING AND RETAINING EXTRAORDINARILY TALENTED INDIVIDUALS ENERGY TRUST ENCOURAGES AND REWARDS HIGH-PERFORMING INDIVIDUALS WHO EXCEL IN THEIR POSITION AND THEREFORE CONTRIBUTE TO THE COMPANY'S SUCCESS TO KEEP THE COMPENSATION PROGRAM TARGETED TO THE MARKET TREND, THE COMPENSATION COMMITTEE ANNUALLY REVIEWS THE COMPENSATION PROGRAM BASE SALARY AN EMPLOYEE'S BASE COMPENSATION IS DETERMINED BY VARIOUS COMPONENTS JOB SKILLS, EXPERIENCE, PERFORMANCE IN THE JOB, COMPARABLE WORTH OF THE POSITION WITHIN THE COMPANY, AND GEOGRAPHIC LOCATION THE COMPENSATION STRUCTURE HAS SALARY GRADES AND THE EMPLOYEE'S POSITION IS SLOTTED TO THE APPROPRIATE SALARY GRADE BASE COMPENSATION FOR EMPLOYEES GENERALLY TARGETS THE MIDPOINT OR BELOW OF ENERGY TRUST'S SALARY GRADE THAT CORRESPONDS WITH THE MARKET AVERAGE JOB DESCRIPTIONS MUST BE KEPT CURRENT TO TRULY REFLECT THE LEVEL OF RESPONSIBILITY AND ACCURATE REQUIREMENTS OF EVERY POSITION THE PRIMARY RESPONSIBILITY FOR THIS RESTS WITH THE MANAGER JOB DESCRIPTIONS SHOULD BE REVIEWED AT LEAST ANNUALLY, TYPICALLY AT THE TIME OF EMPLOYEE PERFORMANCE APPRAISALS AND WORK-PLAN DEVELOPMENT SALARY SURVEYS A NECESSARY STEP IN THE DEVELOPMENT AND MAINTENANCE OF A SALARY ADMINISTRATION PROGRAM IS THE DETERMINATION OF ACTUAL SALARY LEVELS FOUND IN THE LABOR MARKET IN WHICH THE ENERGY TRUST COMPETES THIS IS ESSENTIAL TO ENSURE ENERGY TRUST IS ADEQUATELY COMPENSATING AND CONTINUING TO ATTRACT AND RETAIN QUALIFIED INDIVIDUALS ACCURATE JOB DESCRIPTIONS ARE A KEY TO OBTAINING VALUABLE RESULTS IN THE SURVEY PROCESS SALARY SURVEY DATA, WHICH IS UPDATED AT LEAST BIENNIALLY, IS EXTREMELY HELPFUL IN MAINTAINING OUR POLICIES WE WILL CONTINUE TO EXERCISE CONSIDERABLE JUDGMENT AND INTERPRETATION IN OUR USE OF THIS DATA WE CURRENTLY UTILIZE THE SERVICES OF A COMPENSATION CONSULTANT WHO HAS ACCESS TO SEVERAL VERY DETAILED, PROFESSIONALLY PREPARED SURVEYS AS OUR BENCHMARK SURVEYS, SUCH AS MILLIMAN, ABBOTT-LANGER, MERCER, PAYSCALE COM, COMPDATA, AND OTHER RELATED APPLICABLE SURVEYS IN ADDITION, WE OBTAIN CUSTOM SURVEY DATA FROM ORGANIZATIONS SIMILAR TO ENERGY TRUST AT LEAST BIENNIALLY SALARY STRUCTURE OUR SALARY STRUCTURE HAS BEEN DESIGNED THROUGH THE USE OF LOGICAL MATHEMATICAL TECHNIQUES, WHICH ARE LONG RECOGNIZED AS SOUND IN THE FIELD OF SALARY ADMINISTRATION THERE IS EQUITY/PARITY BETWEEN THE RANGES FROM THE MINIMUM TO THE MAXIMUM OUR SALARY STRUCTURES CONTAIN A NUMBER OF SALARY RANGES THAT ARE REPRESENTED BY A MINIMUM AND MAXIMUM DOLLAR AMOUNT THE MINIMUM OF THE SALARY RANGE IS THE LEAST AMOUNT GENERALLY WE WILL PAY AN INDIVIDUAL WHO IS QUALIFIED FOR A POSITION SLOTTED IN THIS RANGE THE MAXIMUM OF THE RANGE IS THE TOP SALARY AN INDIVIDUAL CAN USUALLY RECEIVE REGARDLESS OF LEVEL OF PERFORMANCE THE MIDPOINT OF THE SALARY RANGE USUALLY REPRESENTS A COMPETITIVE SALARY LEVEL FOR A FULLY EXPERIENCED AND QUALIFIED INDIVIDUAL WHO CAN PERFORM ALL ASPECTS OF THE POSITION PROGRESSION THROUGH THE SALARY STRUCTURE WILL USUALLY, BUT NOT NECESSARILY, OCCUR IN CONJUNCTION WITH THE EMPLOYEE'S LEVEL OF PERFORMANCE ALL SALARY ADJUSTMENTS ARE BASED ON THE SALARY STRUCTURE AND PERCENTAGE INCREASE GUIDELINES IN PLACE AT THE TIME OF THE CHANGE ANNUAL REVIEW AND MERIT PROGRAM ENERGY TRUST GENERALLY HAS AN ANNUAL REVIEW AND MERIT PROCESS FOR PERFORMANCE EVALUATION AND SALARY PLANNING IT IS THE MECHANISM USED BY MANAGEMENT TO ALLOCATE MERIT INCREASES TO BASE SALARY TO APPROPRIATELY REWARD EMPLOYEES FOR THEIR OUTSTANDING JOB PERFORMANCE WITH THE COMPANY EMPLOYEES HIRED PRIOR TO SEPTEMBER 1 OF THE YEAR ARE ELIGIBLE TO PARTICIPATE IN THE ANNUAL REVIEW PROGRAM BUT ARE GENERALLY NOT ELIGIBLE TO PARTICIPATE IN THE MERIT INCREASE PROGRAM WHEN AWARDED, MERIT INCREASES ARE TYPICALLY EFFECTIVE FEBRUARY 1ST A PERFORMANCE APPRAISAL FORM MUST BE COMPLETED BY THE EMPLOYEE AND THE SUPERVISOR ONCE THE RESULTS OF THAT APPRAISAL HAVE BEEN DISCUSSED WITH THE APPROPRIATE MANAGEMENT TEAM MEMBER, THE MANAGEMENT TEAM WILL MEET AND REVIEW ALL PROPOSED MERIT INCREASES THE PURPOSE OF THIS REVIEW IS TO MAINTAIN EQUITY ACROSS THE ENTIRE ORGANIZATION FOLLOWING THE RETURN OF THE SIGNED REVIEW TO THE SUPERVISOR, A PERFORMANCE APPRAISAL SESSION IS SCHEDULED AND COMPLETED WITH THE INDIVIDUAL THE EMPLOYEE SIGNS THE PERFORMANCE APPRAISAL FORM INDICATING THEY HAVE COVERED THE INFORMATION THE FORM IS THEN PLACED IN THE EMPLOYEE'S PERSONNEL FILE THE HUMAN RESOURCES MANAGER WILL REVIEW ALL INCREASES FOR CONFORMANCE TO ORGANIZATIONAL GUIDELINES ALL SALARY INCREASES OUTSIDE THE GUIDELINES REQUIRE FORMAL CONSULTATION WITH THE HUMAN RESOURCES MANAGER, CHIEF FINANCIAL OFFICER, AND EXECUTIVE DIRECTOR THE HUMAN RESOURCES MANAGER WILL THEN PREPARE A PAYROLL ACTION FORM FOR THE MANAGER'S APPROVAL AND SUBSEQUENT PAYROLL PROCESSING EXECUTIVE DIRECTOR REVIEW THE BOARD OF DIRECTORS OF ENERGY TRUST ANNUALLY APPOINTS AN EXECUTIVE DIRECTOR REVIEW COMMITTEE, WHO ARE CHARGED WITH THE RESPONSIBILITY OF REVIEWING THE PERFORMANCE OF THE EXECUTIVE DIRECTOR AND RECOMMENDING ANY MERIT INCREASE THIS COMMITTEE IS COMPOSED ENTIRELY OF INDIVIDUALS WHO DO NOT HAVE A CONFLICT OF INTEREST WITH RESPECT TO THE COMPENSATION ARRANGEMENT WHEN THE EXECUTIVE DIRECTOR REVIEW COMMITTEE IS CONSIDERING COMPENSATION TO THE EXECUTIVE DIRECTOR, IT ALSO RELIES ON THE COMPARABILITY DATA DESCRIBED IN STEP III THAT DEMONSTRATES THE FAIR MARKET VALUE OF THE COMPENSATION IN QUESTION THE EXECUTIVE DIRECTOR REVIEW COMMITTEE MUST DOCUMENT HOW IT REACHED ITS DECISIONS, INCLUDING THE DATA UPON WHICH IT RELIED WRITTEN OR ELECTRONIC RECORDS OF THE COMMITTEE WILL NOTE 1 THE TERMS OF THE COMPENSATION AND THE DATE IT WAS APPROVED 2 THE MEMBERS OF THE ED REVIEW COMMITTEE WHO WERE PRESENT DURING THE DEBATE ON THE COMPENSATION THAT WAS APPROVED AND WHO VOTED ON IT, 3 THE COMPARABILITY DATA OBTAINED AND RELIED UPON AND HOW THE DATA WERE OBTAINED 4 ANY ACTIONS TAKEN WITH RESPECT TO CONSIDERATION OF THE COMPENSATION BY ANYONE WHO IS OTHERWISE A MEMBER OF THE ED REVIEW COMMITTEE BUT WHO HAD A CONFLICT OF INTEREST WITH RESPECT TO THE DECISION ON THE COMPENSATION RESULTS OF THE PERFORMANCE EVALUATION ARE DISCUSSED BY THE EXECUTIVE DIRECTOR REVIEW COMMITTEE AND ARE REVIEWED AT EXECUTIVE SESSION OF THE BOARD TO MAINTAIN CONFIDENTIALITY MERIT INCREASES FOR THE EXECUTIVE DIRECTOR ARE APPROVED BY RESOLUTION OF THE FULL BOARD AND TYPICALLY TAKE EFFECT ON JANUARY 1ST |

| Identifier | Return Reference | Explanation |
|---|---|---|
| | FORM 990, PART VI, SECTION C, LINE 19 | FORM 990, PART VI, SECTION C, LINE 19 ENERGY TRUST MAKES IT GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY, AND FINANCIAL STATEMENTS AVAILABLE TO THE PUBLIC UPON REQUEST AND ON ITS WEBSITE WWW ENERGYTRUST ORG |

| Identifier | Return Reference | Explanation |
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| AUDIT OVERSIGHT AND ACCOUNTANT SELECTION | FORM 990, PART XI, LINE 2C | THE PROCESS FOR OVERSEEING THE AUDIT OF THE FINANCIAL STATEMENTS AND SELECTING AN INDEPENDENT ACCOUNTANT HAS NOT CHANGED FROM THE PRIOR YEAR |

## Additional Data

**Software ID:**
**Software Version:**
**EIN:** 93-1313663
**Name:** ENERGY TRUST OF OREGON INC

### Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)

**4d. Other program services**

(Code ) (Expenses $ 1,675,991 including grants of $ ) (Revenue $ )

COMMUNICATION AND OUTREACH PROGRAMSSTAFF, SERVICES AND MATERIALS NECESSARY FOR OUTREACH AND COMMUNICATIONS FUNCTIONS AND ACTIVITIES SUPPORTING GENERAL AND CROSS-PROGRAM ENERGY TRUST NEEDS THESE ACTIVITIES CONTRIBUTE TO ALL STRATEGIC GOALS AND INCLUDE TIMELY INVOLVEMENT OF KEY CITIZENS AND STAKEHOLDERS IN PROGRAM DEVELOPMENT, COORDINATION OF MESSAGES, WEB SITE DEVELOPMENT AND MAINTENANCE, QUARTERLY AND ANNUAL REPORTING, STAKEHOLDER NEWSLETTERS, MEDIA RELATIONS AND OTHER PUBLIC COMMUNICATIONS, GENERAL EDUCATION AND OUTREACH ACTIVITIES, EVENTS SPONSORSHIP, CREATIVE SERVICES FOR ORGANIZATION AND PROGRAM COMMUNICATIONS, PROGRAM MARKETING SUPPORT , PRODUCTION AND IMPLEMENTATION OF ADVERTISING CAMPAIGNS, DEVELOPMENT OF IMAGE GUIDELINES, AND PROVISION OF A CENTRALIZED CALL CENTER