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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2010

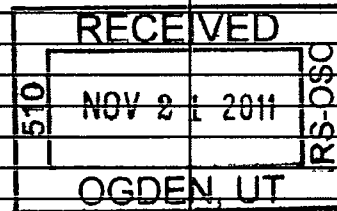
Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2010, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation The Anna and John J. Sie Foundation		A Employer identification number 83-6058353
Number and street (or P O box number if mail is not delivered to street address) 3300 East First Avenue	Room/suite 390	B Telephone number 303-468-6060
City or town, state, and ZIP code Denver, CO 80206		C If exemption application is pending, check here ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 84,710,658.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
(Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	37,437,548.		N/A	
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	141,306.	141,306.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	740,245.			
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		740,245.		
	8 Net short-term capital gain				
	9 Income modifications Gross sales less returns and allowances				
	10a Less Cost of goods sold				
c Gross profit or (loss)					
11 Other income	792,999.	779,595.		Statement 1	
12 Total. Add lines 1 through 11	39,112,098.	1,661,146.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	41,740.	120.		41,620.
	14 Other employee salaries and wages	35,112.	0.		35,112.
	15 Pension plans, employee benefits	40,396.	0.		40,396.
	16a Legal fees Stmt 2	9,132.	0.		9,132.
	b Accounting fees Stmt 3	22,181.	8,775.		13,406.
	c Other professional fees Stmt 4	95,000.	95,000.		0.
	17 Interest				
	18 Taxes				
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	10,510.	0.		10,510.
	22 Printing and publications				
	23 Other expenses Stmt 5	21,305.	0.		21,305.
	24 Total operating and administrative expenses. Add lines 13 through 23	275,376.	103,895.		171,481.
	25 Contributions, gifts, grants paid	7,330,776.			7,330,976.
26 Total expenses and disbursements. Add lines 24 and 25	7,606,152.	103,895.		7,502,457.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	31,505,946.				
b Net investment income (if negative, enter -0-)		1,557,251.			
c Adjusted net income (if negative, enter -0-)			N/A		



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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value	
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	6,035,787.	25,448,755.	25,448,755.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations	947,543.		
	b Investments - corporate stock Stmt 6	8,822,281.	26,354,697.	25,841,992.
	c Investments - corporate bonds			
	Liabilities	11 Investments - land, buildings, and equipment basis ▶		
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other Stmt 7		32,890,016.	28,398,121.	33,418,911.
14 Land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶ Public service videos)		1,000.	1,000.	1,000.
16 Total assets (to be completed by all filers)		48,696,627.	80,202,573.	84,710,658.
17 Accounts payable and accrued expenses				
18 Grants payable				
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	48,696,627.	80,202,573.	
	30 Total net assets or fund balances	48,696,627.	80,202,573.	
	31 Total liabilities and net assets/fund balances	48,696,627.	80,202,573.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	48,696,627.
2 Enter amount from Part I, line 27a	2	31,505,946.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	80,202,573.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	80,202,573.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b See Attached Statement			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			740,245.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			740,245.

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	740,245.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	3,779,245.	44,978,082.	.084024
2008	4,009,879.	53,651,838.	.074739
2007	3,034,106.	60,547,761.	.050111
2006	3,921,524.	57,546,850.	.068145
2005	1,251,996.	55,495,693.	.022560

2 Total of line 1, column (d)	2	.299579
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.059916
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	47,714,064.
5 Multiply line 4 by line 3	5	2,858,836.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	15,573.
7 Add lines 5 and 6	7	2,874,409.
8 Enter qualifying distributions from Part XII, line 4	8	7,502,457.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	15,573.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	15,573.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	15,573.
6 Credits/Payments:			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	18,521.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	18,521.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,948.	
11 Enter the amount of line 10 to be: Credited to 2011 estimated tax ☒ 2,948. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV.	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ CO		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► Mary Beth Wallingford Telephone no. ► 303-468-6060 Located at ► 3300 East First Avenue, Denver, CO ZIP+4 ► 80206			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No If "Yes," list the years ► , , ,		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► , , ,		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Anna M. Sie	Trustee			
3300 East First Avenue #390				
Denver, CO 80206	6.00	0.	0.	0.
John J. Sie	Trustee			
3300 East First Avenue #390				
Denver, CO 80206	24.00	12,000.	25,296.	0.
Michelle S. Whitten	Executive Director			
3300 East First Avenue #390				
Denver, CO 80206	10.00	29,740.	4,445.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

[illegible]**Total** number of others receiving over \$50,000 for professional services

0

Total number of others receiving over \$50,000 for professional services	
Part IX-A	Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

Part IX-B	Summary of Program-Related Investments
------------------	---

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.		Amount
1	N/A	
2		
All other program-related investments. See instructions.		
3		

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	42,752,992.
b	Average of monthly cash balances	1b	5,687,682.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	48,440,674.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	48,440,674.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	726,610.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	47,714,064.
6	Minimum investment return. Enter 5% of line 5	6	2,385,703.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,385,703.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	15,573.
b	Income tax for 2010. (This does not include the tax from Part VI.)	2b	1,675.
c	Add lines 2a and 2b	2c	17,248.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,368,455.
4	Recoveries of amounts treated as qualifying distributions	4	200.
5	Add lines 3 and 4	5	2,368,655.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,368,655.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	7,502,457.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	7,502,457.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	15,573.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	7,486,884.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				2,368,655.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005				
b From 2006				
c From 2007				
d From 2008				
e From 2009	1,028,299.			
f Total of lines 3a through e	1,028,299.			
4 Qualifying distributions for 2010 from Part XII, line 4: ▶ \$ 7,502,457.				
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				2,368,655.
e Remaining amount distributed out of corpus	5,133,802.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	6,162,101.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	6,162,101.			
10 Analysis of line 9:				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008				
d Excess from 2009	1,028,299.			
e Excess from 2010	5,133,802.			

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed
- b** 85% of line 2a
- c** Qualifying distributions from Part XII, line 4 for each year listed
- d** Amounts included in line 2c not used directly for active conduct of exempt activities
- e** Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

[illegible]

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)**

1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

See Statement 8

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number of the person to whom applications should be addressed:**

- b The form in which applications should be submitted and information and materials they should include:**

- c Any submission deadlines:**

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:**

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See Statement 9				7,330,976.
Total			▶ 3a	7,330,976.
b Approved for future payment See Statement 10				24,220,000.
Total			▶ 3b	24,220,000.

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2010

Name of the organization

The Anna and John J. Sie Foundation

Employer identification number

83-6058353

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐

501(c)() (enter number) organization

☐

4947(a)(1) nonexempt charitable trust not treated as a private foundation

☐

527 political organization

Form 990-PF

☒

501(c)(3) exempt private foundation

☐

4947(a)(1) nonexempt charitable trust treated as a private foundation

☐

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**☒

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules☐

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.☐For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).**LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2010)**

Name of organization	Employer identification number
The Anna and John J. Sie Foundation	83-6058353

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	John J. and Anna M. Sie 3300 East First Avenue #390 Denver, CO 80206	\$ 37,437,548.	Person ☒ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Name of organization

Employer identification number

The Anna and John J. Sie Foundation

83-6058353

Part II Noncash Property (see instructions)[illegible]

Name of organization

Employer identification number

The Anna and John J. Sie Foundation

83-6058353

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a Marketable securities	P	Various	Various
b Wellington Equity Research Fund	P	Various	Various
c Newport Asia Institutional Fund	P	Various	Various
d Highclere Investment Fund	P	Various	Various
e Convexity Capital Offshore LP	P	Various	Various
f Palo Alto Small Cap Fund	P	Various	Various
g Indus Structured Finance	P	Various	Various
h Eton Park Overseas Fund	P	Various	Various
i Sowood Alpha Fund	P	Various	Various
j			
k			
l			
m			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			6,030.
b			500,537.
c			<1,233.>
d			12,653.
e			571,341.
f			<223,234.>
g			<71,965.>
h			1,671.
i			<55,555.>
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			6,030.
b			500,537.
c			<1,233.>
d			12,653.
e			571,341.
f			<223,234.>
g			<71,965.>
h			1,671.
i			<55,555.>
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	740,245.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Form 990-PF	Other Income		Statement	1
Description	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	
Wellington Equity Research	119,960.	119,960.		
Newport Asia Institutional Fund	16,012.	16,012.		
Highclere Investment Fund	42,499.	42,499.		
Fortress Credit Opportunities Fund	13,404.	13,404.		
Fortress Credit Opportunities Fund	601,124.	601,124.		
Less unrelated business income from limited partnership	0.	<13,404.>		
Total to Form 990-PF, Part I, line 11	792,999.	779,595.		

Form 990-PF	Legal Fees		Statement	2
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Brownstein Hyatt Farber Schreck, LLP	9,132.	0.		9,132.
To Fm 990-PF, Pg 1, ln 16a	9,132.	0.		9,132.

Form 990-PF	Accounting Fees		Statement	3
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
John Curran Nonprofit Financial Solutions, P.C.	8,775.	8,775.		0.
	13,406.	0.		13,406.
To Form 990-PF, Pg 1, ln 16b	22,181.	8,775.		13,406.

Form 990-PF	Other Professional Fees			Statement	4
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Comstock	20,000.	20,000.			0.
Monticello Associates, Inc.	75,000.	75,000.			0.
To Form 990-PF, Pg 1, ln 16c	95,000.	95,000.			0.

Form 990-PF	Other Expenses			Statement	5
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Human resources	256.	0.			256.
Office supplies	4,935.	0.			4,935.
Telephone	7,771.	0.			7,771.
Postage	1,611.	0.			1,611.
Payroll processing fee	2,489.	0.			2,489.
Miscellaneous	587.	0.			587.
Public relations and promotion	3,656.	0.			3,656.
To Form 990-PF, Pg 1, ln 23	21,305.	0.			21,305.

Form 990-PF	Corporate Stock		Statement	6
Description	Book Value	Fair Market Value		
Longleaf Small Cap Fund	1,776,792.	1,590,842.		
Dodge & Cox International Equity Fund	7,140,357.	6,459,029.		
DirectTV	9,563,172.	9,634,350.		
Liberty Media Corp Capital Research	4,540,066.	4,817,683.		
Liberty Media Corp Starz Series A	3,334,310.	3,340,088.		
Total to Form 990-PF, Part II, line 10b	26,354,697.	25,841,992.		

Form 990-PF	Other Investments	Statement	7
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Description	Valuation Method	Book Value	Fair Market Value
Wellington Trust Company, CTF	COST		
Research Equity Fund		6,318,946.	5,313,934.
Palo Alta Small Cap Fund	COST	750,000.	577,973.
Newport Asia	COST	1,093,713.	1,621,678.
Highclere Investment Fund	COST	1,006,583.	1,222,951.
ICAP Offshore Abosolute Return Fund	COST	2,029,200.	2,776,939.
Viking Global Equities	COST	2,000,000.	3,016,682.
Highside Offshore Ltd	COST	2,000,000.	1,688,623.
Maverick Fund LDC	COST	2,000,000.	1,995,815.
Highfields Capital	COST	2,000,000.	2,284,904.
Indus Structured Finance	COST	1,276,470.	750,434.
Fortress Credit Opportunities Fund	COST	1,308,892.	1,469,537.
CamCap Energy Offshore Fund	COST	2,500,000.	3,549,608.
Convexity Capital Offshore LP	COST	2,655,303.	4,972,881.
Sowood Alpha Fund	COST	76,790.	22,681.
Eaton Park Oversease Fund	COST	1,382,224.	2,154,271.
Total to Form 990-PF, Part II, line 13		28,398,121.	33,418,911.

Form 990-PF	Part XV - Line 1a List of Foundation Managers	Statement	8
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Name of Manager

Anna M. Sie
John J. Sie

Form 990-PF

Grants and Contributions Paid During the Year

Statement 9

Name	Address	Donee Relationship	Foundation Status	Purpose	Amount
Adam's Camp	6767 South Spruce Street, Suite 102 Centennial, CO 80112	N/A	Public	Sponsorship and scholarship support for camp for children with special needs	\$ 5,000
American Jewish Committee	950 S Cherry St , Suite 418, Denver CO 80246	N/A	Public	To support building a society that rejects hatred and bigotry and embraces mutual respect and cooperation	9,000
Arc Thrift	7721 W 6th Ave, Unit G Lakewood CO 80214	N/A	Public	To support outreach, support and education for individuals with developmental disabilities	9,520
Beacon Center, Inc	333 West Hampden Avenue, Suite 305 Englewood, CO 80110	N/A	Public	To give troubled adolescents the tools needed to become happy, healthy, productive adults	9,050
Carmelo Anthony Foundation, Inc	Gelfand Rennert and Feldman 1880 Century Park East #1600, Los Angeles CA 90067	N/A	Private	Work with community groups in Puerto Rico to make parks safe places for children	5,000
CESASC	1750 Fulton Ave Monterey Park, CA 91755	N/A	Public	Endowment for perpetual scholarship to students of Chinese descent to continue their American College education	2,500
Children's Diabetes Foundation	777 Grant Street, Suite 302 Denver, CO 80203	N/A	Public	To support research in childhood diabetes and to provide the best possible clinical and educational programs for children with diabetes	25,000
Colorado Cross-Disability Coalition	655 Broadway, Suite 775 Denver CO 80203	N/A	Public	To support work to advocate for basic civil and human rights for people with disabilities throughout Colorado	10,000
Colorado Dragon Boat Festival	11049 West 44th Avenue, #10 Wheat Ridge, CO 80033	N/A	Public	Supporting Asian American Pacific Islander cultural events in the Rocky Mountain Region	500
Committee of 100, Inc	677 Fifth Avenue 5th Floor New York, NY 10022	N/A	Public	To support work of the Chinese-American community in furthering US-China relations	21,250
Democracy and Media Education Foundation	3195 11th Street Boulder, CO 80304-2527	N/A	Public	To support the promotion of civic education and civil discourse in Colorado and America	100,000

Name	Address	Donee Relationship	Foundation Status	Purpose	Amount
Democracy and Media Education Foundation	3195 11th Street Boulder, CO 80304-2527	N/A	Public	To support the promotion of civic education and civil discourse in Colorado and America	150,000
Denver Academy	4400 East Iliff Avenue, Denver CO 80222	N/A	Public	Support the 2010 Reach for the Stars Gala to assist future generations of children with learning differences who will need financial aid to attend the school	1,600
Denver Art Museum	100 W 14th Ave Parkway Denver, CO 80204-2788	N/A	Public	Support to help enrich the Denver community through dynamic cultural exhibitions, exceptional adult and family programs and renowned collections	380
Denver Art Museum	100 W 14th Ave Parkway Denver, CO 80204-2788	N/A	Public	Support to help enrich the Denver community through dynamic exhibitions, exceptional adult and family programs and renowned collections	8,645
Denver Art Museum	100 W 14th Ave Parkway Denver, CO 80204-2788	N/A	Public	Fourth payment of \$500,000 pledge To develop and present exhibits and educational offerings	100,000
Denver Art Museum	100 W 14th Ave Parkway Denver, CO 80204-2788	N/A	Public	Support to help enrich the Denver community through dynamic exhibitions, exceptional adult and family programs and renowned collections	2,500
Denver Art Museum	100 W 14th Ave Parkway Denver, CO 80204-2788	N/A	Public	Support to help enrich the Denver community through dynamic exhibitions, exceptional adult and family programs and renowned collections	10,000
Denver Center for the Performing Arts	1101 13th Street Denver, CO 80204	N/A	Public	Support to help enrich the Denver community through theatre that engages, excites, provokes and inspires both artists and audiences and creates a center for lifelong learning and civic engagement	8,500
Denver Film Society	900 Auraria Parkway Denver, CO 80204	N/A	Public	Support the Starz Denver Film Festival, dedicated to the celebration and cultivation of film as art	15,144

Name	Address	Donee Relationship	Foundation Status	Purpose	Amount
Denver Health and Hospitals Foundation	655 Broadway, Suite 750, Denver CO 80203	N/A	Public	To support Denver Health as a critical network of health care resources in trauma, orthopedics, eating disorders and infectious disease so needed in the Denver area	21,250
Denver Health and Hospitals Foundation	656 Broadway, Suite 750, Denver CO 80203	N/A	Public	To support Denver Health as a critical network of health care resources in trauma, orthopedics, eating disorders and infectious disease so needed in the Denver area	3,000
Denver Hospice	501 S Cherry St, Suite 700 Denver CO 80246	N/A	Public	To support hospice care and support for families	290
Denver Options, Inc	9900 East Iliff Avenue Denver, CO 80231	N/A	Public	Responding to the needs of individuals with developmental and intellectual disabilities, especially when no other agency in Denver can respond	5,000
Denver Scholarship Fund	303 E 17th Avenue, Suite 200 Denver, CO 80203	N/A	Public	Supporting scholarships to help Denver Public Schools students get into, pay for and graduate from college	4,238
Denver School of Science and Technology	3401 Quebec St, Suite 7200 Denver, CO 80207	N/A	Public	Support the Hip to be Square event benefitting the education for students with special needs	5,000
Denver Zoo	2300 Steele Street Denver, CO 80205	N/A	Public	Sponsorship benefiting conservation and education	4,400
Developmental Pathways, Inc	325 Inverness Drive S Englewood, CO 80112	N/A	Public	Support the Pathways Achievement Awards to benefit people with developmental disabilities	750
Down Syndrome Education USA	1451 Quail Street, Suite 104 Newport Beach, CA 92660-2747	N/A	Public	Down Syndrome Education works to improve education for young people with Down Syndrome	1,407
Friends of the Haven	P O Box 102375 Denver, CO 80250	N/A	Public	To support improving the quality of life and productivity of individuals and families affected by substance abuse, dependence and co-occurring mental health disorders	5,000

Name	Address	Donee Relationship	Foundation Status	Purpose	Amount
Global Down Syndrome Foundation	3300 E 1st Avenue, Suite 390 Denver, CO 80206	Sister	Public	Supporting the Be Beautiful Be Yourself Jet Set Fashion Show to improve the lives of people with Down Syndrome by supporting basic research, clinical research and clinical care	50,000
Global Down Syndrome Foundation	3300 E 1st Avenue, Suite 390 Denver, CO 80206	Sister	Public	Supporting the Be Beautiful Be Yourself Jet Set Fashion Show to improve the lives of people with Down Syndrome by supporting basic research, clinical research and clinical care	25,000
Global Down Syndrome Foundation	3300 East 1st Avenue, Denver	Sister	Public	General operating, community relations, and outreach	363,635
John Lynch Foundation	P O Box 172247 Tampa, FL 33672	N/A	Public	Developing leaders by encouraging dreams, by providing programs that assist and motivate and by recognizing achievement and excellence	12,500
John Lynch Foundation	P O Box 172247 Tampa, FL 33672	N/A	Public	Developing leaders by encouraging dreams, by providing programs that assist and motivate and by recognizing achievement and excellence	2,000
Keshet of the Rockies	PO Box 200726 Denver, CO 80220	N/A	Public	Helping Jewish Day schools and teachers adapt curriculum to meet special challenges and work one-on-one with students	8,600
Make-a-Wish Foundation - Colorado Chapter	7951 E Maplewood Avenue, Suite 126 Greenwood Village, CO 80111	N/A	Public	Granting the wishes of children with life-threatening medical conditions to enrich the human experience with hope, strength, and joy	5,000
Make-a-Wish Foundation - Colorado Chapter	7951 E Maplewood Avenue, Suite 126 Greenwood Village, CO 80111	N/A	Public	Granting the wishes of children with life-threatening medical conditions to enrich the human experience with hope, strength, and joy	5,000
Mile High Down Syndrome Association Inc	3515 S Tamarac Dr, Suite 320 Denver CO 80237	N/A	Public	Supporting individuals with Down Syndrome and their families	2,500
Mile High Down Syndrome Association Inc	3515 S Tamarac Dr, Suite 320 Denver CO 80237	N/A	Public	Supporting the Step Up for Down Syndrome Event to benefit people with Down Syndrome	5,000

Name	Address	Donee Relationship	Foundation Status	Purpose	Amount
Mile High Montessori Early Learning Cntrs	1780 Marion St Denver CO 80218	N/A	Public	To support and advocate for our community's youngest and most vulnerable citizens	10,000
Mizel Museum	400 South Kearney Street Denver, CO 80224-1238	N/A	Public	Presenting the continuum of the Jewish people within a multicultural context through the arts	96,000
Nathan Yip Foundation	8400 E Prentice Ave , Suite 808 Englewood, CO 80111	N/A	Public	Sponsor Chinese New Year fundraising event benefiting underprivileged children in third world countries by providing schools, education and ongoing support	4,500
National Down Syndrome Congress	1370 Center Drive, Suite 102 Atlanta, GA 30338	N/A	Public	Provides information, advocacy and support concerning all aspects of life for individuals with Down Syndrome	10,000
National Down Syndrome Society	666 Broadway New York, NY 10012-2317	N/A	Public	Enhancing the quality of life and realizing the potential of all people with Down Syndrome	5,000
National Football Foundation & College Hall of Fame	P O Box 1358, Kremmling CO 80459-1358	N/A	Public	Supporting Ed McCaffrey Football Camp for children and young adults with Down Syndrome	10,000
Parent Project for Muscular Dystrophy	158 Linwood Plaza, Suite 220 Ft Lee, NJ 07024	N/A	Public	Improve the treatment, quality of life and long term outlook for all individuals affected by Duchenne and Becker muscular dystrophy	10,000
Rocky Mountain Children's Law Center	1325 S Colorado Blvd, Ste 308 Denver, CO 80222-3314	N/A	Public	Provide legal aid for abused children	2,500
Sewall Child Development Center, Inc	1360 Vine Street Denver CO 80206	N/A	Public	To sponsor programs dedicated to helping children with special needs associated with disabilities, developmental delays, and economic disadvantages	2,500
Special Olympics, Inc	1133 19th Street, Suite 1200 Washington, DC 20036-3604	N/A	Public	Providing sports training and athletic competition for children and adults with intellectual disabilities	25,000
SSI (US Inc) dba SpencerStuart for benefit of University of Colorado Foundation	PO Box 98991 Chicago IL 60693	N/A	Public	Providing consultation support for search for Executive Director for Linda Crnic Institute which focused on improving the lives of individuals with Down syndrome through basic research, clinical research and clinical care	20,000

Name	Address	Donee Relationship	Foundation Status	Purpose	Amount
SSI (US Inc) dba SpencerStuart for benefit of University of Colorado Foundation	PO Box 98991 Chicago IL 60693	N/A	Public	Providing consultation support for search for Executive Director for Linda Crnic Institute which focused on improving the lives of individuals with Down syndrome through basic research, clinical research and clinical care	822
The 1990 Institute	P O Box 1681 Burlingame, CA 94011	N/A	Public	China's Green Student Forum - to promote solidarity of the youth to save China's environment	10,000
The Adoption Exchange	14232 East Evans Avenue Aurora, CO 80014	N/A	Public	Support to give a meaningful future to children who are in need of permanent adoptive families, connecting children and families to make critical life-changing placements	25,000
The Children's Hospital Foundation, Inc	13123 E 16th Avenue, Box 045 Aurora, CO 80045	N/A	Public	Sponsorship benefiting pediatric health care, where for more than a decade children receive specialized care	9,750
The Children's Hospital Foundation, Inc	13123 E 16th Avenue, Box 045 Aurora, CO 80045	N/A	Public	Endowment for the Anna and John J Sie Center for Down Syndrome benefiting children being treated with Down Syndrome	1,000,000
The Children's Hospital Foundation, Inc	13123 E 16th Avenue, Box 045 Aurora, CO 80045	N/A	Public	Sponsorship benefiting pediatric health care, where for more than a decade children receive specialized care	10,000
The Children's Hospital Foundation, Inc	13123 E 16th Avenue, Box 045 Aurora, CO 80045	N/A	Public	Support benefiting pediatric health care, where for more than a decade children receive specialized care	66,667
The Denver Foundation	55 Madison St , 8th Floor Denver, CO 80206	N/A	Private	To support programs that mobilize resources and strengthen our community	50,000
The Giving Back Fund	The Chauncey Billups Foundation c/o The Giving Back Fund 6033 W Century Blvd, #350, Los Angeles CA 90045	N/A	Public	To support programs that empower young people to strengthen our communities	5,000
The Joshua School	2303 E Dartmouth Avenue Englewood, CO 80113	N/A	Public	Supporting an educational and therapeutic day treatment center for individuals and families challenged by autism spectrum disorders and developmental disabilities	5,000

Name	Address	Donee Relationship	Foundation Status	Purpose	Amount
The Leukemia & Lymphoma Society, Inc	Rocky Mountain Chapter 5353 West Dartmouth Avenue, Suite 400 Denver, CO 80227	N/A	Public	To support research within targeted areas like immunotherapy, stem cell transplantation procedures, and targeted therapy research so the full lifesaving potential of the work can be realized	4,500
The Rise School of Denver	4949 East Eastman Avenue Denver, CO 80222	N/A	Public	Supporting the school to provide early childhood education to all children, including those children with developmental disabilities	5,000
The Women's Foundation of Colorado	1901 East Asbury Denver, CO 80208	N/A	Public	Research, education, advocacy, and grant making to address barriers to economic self-sufficiency that challenge women and girls in Colorado	2,150
Thrd Way Center	P O Box 61385 Denver, CO 80206	N/A	Public	Providing a continuum of services in a home-like environment where high-risk, homeless, mentally ill and disadvantage youth can work through their problems, learn to take responsibility for their actions and become productive members of society	5,000
University of Colorado Foundation	Linda Crnic Institute for Down Syndrome 13001 E17th Pl, Mail Stop C290, Rm E1354 Aurora, CO 80045	N/A	Public	Providing consultation support for search for Executive Director for Linda Crnic Institute which focused on improving the lives of individuals with Down syndrome through basic research, clinical research and clinical care	1,163
University of Colorado Foundation	Linda Crnic Institute for Down Syndrome 13001 E17th Pl, Mail Stop C290, Rm E1354 Aurora, CO 80045	N/A	Public	Providing consultation support for search for Executive Director for Linda Crnic Institute which focused on improving the lives of individuals with Down syndrome through basic research, clinical research and clinical care	2,400

Name	Address	Donee Relationship	Foundation Status	Purpose	Amount
University of Colorado Foundation	Linda Crnic Institute for Down Syndrome 13001 E17th Pl, Mail Stop C290, Rm E1354 Aurora, CO 80045	N/A	Public	Providing consultation support for search for Executive Director for Linda Crnic Institute which focused on improving the lives of individuals with Down syndrome through basic research, clinical research and clinical care	815
University of Colorado Foundation	Linda Crnic Institute for Down Syndrome 13001 E17th Pl, Mail Stop C290, Rm E1354 Aurora, CO 80045	N/A	Public	Funding the Anna and John J Sie Endowed Professorship in Down Syndrome Research and Clinical Care at Linda Crnic Institute	4,000,000
University of Denver - CEMMP	School of Communications 2490 S Gaylord Street, Denver CO 80208	N/A	Public	Sponsorship of the Chinese Executive Media Management Program (CEMMP)	4,300
University of Denver - Korbel	Josef Korbel School of Int'l Studies, PO Box 101884, Denver Co 80250	N/A	Public	Sponsorship of the Korbel Scholarship Fund and the Center for Teaching International Relations, educational purposes	14,250
University of Denver - Korbel	Josef Korbel School of Int'l Studies, PO Box 101884, Denver Co 80250	N/A	Public	Final award per pledge for completion of Sie Cheou-Kang Center for International Security & Diplomacy, teaching center at the University of Denver, and cost to repair broken entrance	527,000
University of Denver (Italian Chair)	2190 E Asbury Avenue Denver, CO 80208	N/A	Public	Endowment to continue the Anna Maglione-Sie Endowed Chair in Italian Culture, 3rd of 3 installments	350,000
University of Denver-Bridge Project	2148 S High Street Denver, CO 80208	N/A	Public	Providing educational opportunities for children living in Denver's public housing neighborhoods so they graduate from high school and attend college or learn a trade	2,500
Volunteers of America	2660 Larimer Street Denver, CO 80205	N/A	Public	Sponsorship of Western Fantasy event that provides funds for Volunteers of America that give critical services to families, women and children	500
Volunteers of America	2660 Larimer Street Denver, CO 80205	N/A	Public	Sponsorship of Western Fantasy event that provides funds for Volunteers of America that give critical services to families, women and children	10,000

Name	Address	Donee Relationship	Foundation Status	Purpose	Amount
Young Americans Center for Financial Education	3550 East First Avenue Denver, CO 80206	N/A	Public	To support work to develop financial literacy of young people through real-life experiences and hands-on programs purposefully designed to enable them to prosper in our free enterprise system	500
					<u>\$ 7,330,976</u>

Form 990-PF

Grants and Contributions Paid During the Year

Statement 10

Name	Address	Donee Relationship	Foundation Status	Purpose	Amount
Democracy & Media Education Foundation	3195 11th Street Boulder, CO 80304-2527	None	Public Charity	Conditional grant	\$ 360,000
Denver Art Museum	100 W 14th Ave Parkway Denver, CO 80204-2788	None	Public Charity	General operating support	100,000
Stanley British School	350 Quebec Ct Denver, CO 80230	None	Public Charity	Planning grant	10,000
Stanley British School	350 Quebec Ct Denver, CO 80230	None	Public Charity	Curious Minds Annual Fund	25,000
University of Colorado Foundation	Linda Crnic Institute for Down Syndrome 13001 E17th Pl, Mail Stop C290, Rm E1354 Aurora, CO 80045	None	Public Charity	Linda Crnic Institute CIMB, contingent upon meeting funding criteria	4,000,000
University of Colorado Foundation	Linda Crnic Institute for Down Syndrome 13001 E17th Pl, Mail Stop C290, Rm E1354 Aurora, CO 80045	None	Public Charity	Linda Crnic Institute Scientific Director Quasi Endowed Chair	2,000,000
University of Colorado Foundation	Linda Crnic Institute for Down Syndrome 13001 E17th Pl, Mail Stop C290, Rm E1354 Aurora, CO 80045	None	Public Charity	Linda Crnic Institute Senior Research Scientist Quasi Endowed Chair	2,000,000
University of Colorado Foundation	Linda Crnic Institute for Down Syndrome 13001 E17th Pl, Mail Stop C290, Rm E1354 Aurora, CO 80045	None	Public Charity	To fund annual deficit through 2013 not to exceed \$12,000,000	12,000,000
University of Denver	2190 E Asbury Avenue Denver, CO 80208	None	Public Charity	Sie Cheou-Kan Center Chair, Conditional grant	3,725,000
					<u>\$ 24,220,000</u>

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)

Type or print File by the extended due date for filing your return. See instructions.	Name of exempt organization	Employer identification number
	The Anna and John J. Sie Foundation	83-6058353
	Number, street, and room or suite no. If a P.O. box, see instructions	
	C/O Kunding, Corder & Engle, PC - 475 Lincoln St., Ste. 200	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	Denver, CO 80203	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

The Foundation

- The books are in the care of ☒ 3300 East First Avenue, No. 390 - Denver, CO 80206

Telephone No ☒ 303-468-6060

FAX No ☐

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until November 15, 2011

5 For calendar year 2010, or other tax year beginning , and ending

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return

☐ Change in accounting period

7 State in detail why you need the extension

Additional time is needed to gather the information necessary to file a complete and accurate return.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	6,000.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	18,521.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ☒ Laurie Anderson Title ☒ CPA

Date ☒ 8.8.11

Form 8868 (Rev. 1-2011)