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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2010

Department of the Treasury
 Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2010, or tax year beginning _____, and ending _____

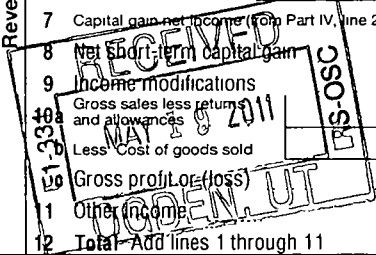
G Check all that apply: Initial return Initial return of a former public charity Final return
 Amended return Address change Name change

Name of foundation ROCKCARES: THE NORRIS-ROCABERTE FAMILY FOUNDATION		A Employer identification number 77-0539237
Number and street (or P.O. box number if mail is not delivered to street address) P.O. BOX 741880	Room/suite	B Telephone number (323) 933-0922
City or town, state, and ZIP code LOS ANGELES, CA 90004		C If exemption application is pending, check here <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 1,941,945. (Part I, column (d) must be on cash basis)	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))</small>	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received			N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments	7,244.	7,244.		STATEMENT 1
4 Dividends and interest from securities	30,106.	30,106.		STATEMENT 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	402,232.			
b Gross sales price for all assets on line 6a	3,858,712.			
7 Capital gain net income (from Part IV, line 2)		402,232.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
10b Less: Cost of goods sold				
10c Gross profit or (loss)				
11 Other income	592.	592.		STATEMENT 3
12 Total—Add lines 1 through 11	440,174.	440,174.		
13 Compensation of officers, directors, trustees, etc	0.	0.		0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees STMT 4	3,000.	0.		0.
b Accounting fees STMT 5	7,575.	0.		0.
c Other professional fees				
17 Interest				
18 Taxes STMT 6	510.	0.		0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses STMT 7	27,180.	24,674.		0.
24 Total operating and administrative expenses Add lines 13 through 23	38,265.	24,674.		0.
25 Contributions, gifts, grants paid	178,000.			178,000.
26 Total expenses and disbursements Add lines 24 and 25	216,265.	24,674.		178,000.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	223,909.			
b Net investment income (if negative, enter -0-)		415,500.		
c Adjusted net income (if negative, enter -0-)			N/A	

Revenue

Operating and Administrative Expenses



SCANNED MAY 23 2011

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		77,354.	1,941,945.	1,941,945.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations STMT 8		188,340.	0.	0.
	b	Investments - corporate stock STMT 9		1,354,270.	0.	0.
	c	Investments - corporate bonds STMT 10		98,072.	0.	0.
11	Investments - land, buildings, and equipment: basis ▶					
	Less accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other					
14	Land, buildings, and equipment: basis ▶	3,567.				
	Less accumulated depreciation STMT 11 ▶	3,567.				
15	Other assets (describe ▶)					
	16 Total assets (to be completed by all filers)		1,718,036.	1,941,945.	1,941,945.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23 Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>					
	and complete lines 24 through 26 and lines 30 and 31					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>					
	and complete lines 27 through 31					
27	Capital stock, trust principal, or current funds		0.	0.		
28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.		
29	Retained earnings, accumulated income, endowment, or other funds		1,718,036.	1,941,945.		
	30 Total net assets or fund balances		1,718,036.	1,941,945.		
	31 Total liabilities and net assets/fund balances		1,718,036.	1,941,945.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,718,036.
2	Enter amount from Part I, line 27a	2	223,909.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	1,941,945.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,941,945.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr.)
1a MERRILL LYNCH #808-02106 ST (SEE ATTACHED)	P	VARIOUS	VARIOUS
b MERRILL LYNCH #808-02106 LT (SEE ATTACHED)	P	VARIOUS	VARIOUS
c 4,818.069 SH ING GLOBAL REAL ESTATE CL C	P	VARIOUS	10/26/10
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,401,857.		1,248,794.	153,063.
b 2,386,945.		2,122,066.	264,879.
c 69,910.		85,620.	-15,710.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			153,063.
b			264,879.
c			-15,710.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	402,232.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	113,834.	1,715,747.	.066347
2008	30,000.	2,157,933.	.013902
2007	101,022.	2,580,373.	.039150
2006	42,500.	2,426,271.	.017517
2005	50,400.	2,280,744.	.022098

2 Total of line 1, column (d)	2	.159014
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.031803
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	1,862,965.
5 Multiply line 4 by line 3	5	59,248.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	4,155.
7 Add lines 5 and 6	7	63,403.
8 Enter qualifying distributions from Part XII, line 4	8	178,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	4,155.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	4,155.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	4,155.
6	Credits/Payments:		
a	2010 estimated tax payments and 2009 overpayment credited to 2010	6a	200.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	200.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	3,955.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be: Credited to 2011 estimated tax <input type="checkbox"/> Refunded <input checked="" type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
1b		X
1c		X
2		X
3	X	
4a		X
4b		
5		X
6		X
7	X	
8a		
8b	X	
9		X
10		X

N/A

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Part VII-A Statements Regarding Activities (continued)

<p>11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)</p>	11		X
<p>12 Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?</p>	12		X
<p>13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>NONE</u></p>	13	X	
<p>14 The books are in care of ► <u>RICHARD ROCABERTE</u> Telephone no. ► <u>(323) 933-0922</u> Located at ► <u>P.O. BOX 741880, LOS ANGELES, CA</u> ZIP+4 ► <u>90004</u></p>			
<p>15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year</p>	15	N/A	<input type="checkbox"/>
<p>16 At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►</p>	16	Yes	No
			X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
<p>1a During the year did the foundation (either directly or indirectly):</p> <p>(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>			
<p>b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A</p>	1b		
<p>c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010? <input type="checkbox"/></p>	1c		X
<p>2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):</p> <p>a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? If "Yes," list the years ► <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A</p> <p>c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <input type="checkbox"/></p>	2b		
<p>3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	3a		
<p>b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010) N/A</p>	3b		
<p>4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?</p>	4a		X
<p>b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?</p>	4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? Yes No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
Organizations relying on a current notice regarding disaster assistance check here N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
If "Yes," attach the statement required by Regulations section 53.4945-5(d) N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
If "Yes" to 6b, file Form 8870 6b X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No N/A 7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RICHARD J. ROCABERTE P.O. BOX 74367 LOS ANGELES, CA 90004-0367	PRESIDENT 5.00	0.	0.	0.
PAUL S. NORRIS JR. P.O. BOX 829 74367 PASADENA, CA 91102 Las Angeles, Ca	TREASURER 1.00	0.	0.	0.
ROSEMARY COLEMAN 1100 E. GREEN ST. PASADENA, CA 91102	SECRETARY 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

Table with 3 columns: (a) Name and address of each person paid more than \$50,000, (b) Type of service, (c) Compensation. Row 1 contains 'NONE'.

Total number of others receiving over \$50,000 for professional services 0

Part IX-A Summary of Direct Charitable Activities

Table with 2 columns: Description of activities, Expenses. Row 1 contains 'NONE' and '0'.

Part IX-B Summary of Program-Related Investments

Table with 2 columns: Description of investments, Amount. Row 1 contains 'NONE' and '0'. Includes a total line at the bottom.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,496,982.
b	Average of monthly cash balances	1b	394,353.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	1,891,335.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,891,335.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	28,370.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,862,965.
6	Minimum investment return. Enter 5% of line 5	6	93,148.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	93,148.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	4,155.
b	Income tax for 2010. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	4,155.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	88,993.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	88,993.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	88,993.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	178,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	178,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	4,155.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	173,845.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				88,993.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			85,621.	
b Total for prior years: 2007, _____, _____		72,306.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005				
b From 2006				
c From 2007				
d From 2008				
e From 2009				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2010 from Part XII, line 4. ► \$ 178,000.				
a Applied to 2009, but not more than line 2a			85,621.	
b Applied to undistributed income of prior years (Election required - see instructions) *		72,306.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				20,073.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009 Subtract line 4a from line 2a. Taxable amount - see instr			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				68,920.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2011 Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008				
d Excess from 2009				
e Excess from 2010				

* SEE STATEMENT 12

**ROCKCARES: THE NORRIS-ROCABERTE FAMILY
FOUNDATION**

Form 990-PF (2010)

77-0539237 Page 10

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)

1 **Information Regarding Foundation Managers:**
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

RICHARD J. ROCABERTE
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:
SEE ATTACHED STATEMENT

b The form in which applications should be submitted and information and materials they should include:
SEE ATTACHED STATEMENT

c Any submission deadlines:
SEE ATTACHED STATEMENT

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
SEE ATTACHED STATEMENT

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
LESS: ACCRUED INTEREST PAID	-459.
MERRILL LYNCH 808-02106	7,684.
MERRILL LYNCH 808-02283	18.
SOVEREIGN BANK NEW ENGLAND	1.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	7,244.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
MERRILL LYNCH 808-02106	26,881.	0.	26,881.
MERRILL LYNCH 808-02283	3,225.	0.	3,225.
TOTAL TO FM 990-PF, PART I, LN 4	30,106.	0.	30,106.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
MISCELLANEOUS INCOME - ML # 808-02283	592.	592.	
TOTAL TO FORM 990-PF, PART I, LINE 11	592.	592.	

FORM 990-PF LEGAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ALEXANDRA BANNON	3,000.	0.		0.
TO FM 990-PF, PG 1, LN 16A	3,000.	0.		0.

FORM 990-PF	ACCOUNTING FEES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BARTLETT, PRINGLE & WOLF, LLP	7,575.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	7,575.	0.		0.

FORM 990-PF	TAXES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FRANCHISE TAX BOARD	10.	0.		0.
EXCISE TAXES	500.	0.		0.
TO FORM 990-PF, PG 1, LN 18	510.	0.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CONSULTING/MANAGEMENT	24,674.	24,674.		0.
BANK SERVICE CHARGES- SOVEREIGN	201.	0.		0.
POSTAGE	590.	0.		0.
INSURANCE EXPENSE	1,108.	0.		0.
BANK FEE - MERRILL LYNCH	150.	0.		0.
PRIVATE POST OFFICE BOX RENTAL	457.	0.		0.
TO FORM 990-PF, PG 1, LN 23	27,180.	24,674.		0.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS		STATEMENT	8
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
GOVERNMENT SECURITIES	X		0.	0.
TOTAL U.S. GOVERNMENT OBLIGATIONS				
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			0.	0.

FORM 990-PF	CORPORATE STOCK		STATEMENT	9
DESCRIPTION			BOOK VALUE	FAIR MARKET VALUE
EQUITIES			0.	0.
MUTUAL FUNDS			0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 10B			0.	0.

FORM 990-PF	CORPORATE BONDS		STATEMENT	10
DESCRIPTION			BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS			0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 10C			0.	0.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT		STATEMENT	11
DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE	
MICROEDGE SOFTWARE	3,567.	3,567.	0.	
TOTAL TO FM 990-PF, PART II, LN 14	3,567.	3,567.	0.	

FORM 990-PF

ELECTION UNDER REGULATIONS SECTION
53.4942(A)-3(D)(2) TO APPLY
EXCESS QUALIFYING DISTRIBUTIONS
TO PRIOR YEAR'S UNDISTRIBUTED INCOME

STATEMENT 12

SECTION 4942(H)(2) ELECTION AS TO THE TREATMENT OF QUALIFYING
DISTRIBUTIONS: PURSUANT TO IRC SEC. 4942(H)(2) AND REG.
53.4942(A)-3(D)(2), THE FOUNDATION HEREBY ELECTS TO TREAT CURRENT YEAR
QUALIFYING DISTRIBUTIONS IN EXCESS OF THE IMMEDIATELY PRECEDING TAX
YEAR'S UNDISTRIBUTED INCOME AS BEING MADE OUT OF 2007 IN THE AMOUNT OF
\$72,306.

FORM 990-PF

GRANTS AND CONTRIBUTIONS
PAID DURING THE YEAR

STATEMENT 13

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
AIDS ASSISTANCE PROGRAM 1276 NORTH PALM CANYON DRIVE, SUITE 108 PALM SPRINGS, CA 92262	NONE PROVIDING NUTRITIONAL SUPPORT FOR MEN WOMEN AND CHILDREN LIVING WITH HIV/AIDS	PUBLIC CHARITY	22,500.
LOS ANGELES GAY & LESBIAN CENTER 1625 N. SCHRADER BLVD. LOS ANGELES, CA 90023-6213	NONE TO EMPOWER PEOPLE TO LEAD FULL LIVES WITHOUT LIMITS	PUBLIC CHARITY	8,000.
SERVICEMEMBER'S LEGAL DEFENSE NETWORK P.O. BOX 65301 WASHINGTON, DC 20035	NONE TO PREVENT DISCRIMINATION OF MILITARY PERSONEL	PUBLIC CHARITY	50,000.
THE DREAM FOUNDATION 1528 CHAPALA STREET SANTA BARBARA, CA 93101	NONE WISH GRANTING ORGANIZATION INDIVIDUALS WITH LIFE THREATENING ILLNESSES	PUBLIC CHARITY	15,000.
THE TREVOR FOUNDATION 9056 SANTA MONICA BLVD WEST HOLLYWOOD, CA 90069	NONE SUICIDE PREVENTION	PUBLIC CHARITY	42,000.
AMERICAN FERTILITY ASSOCIATION 315 MADISON AVENUE, SUITE 901 NEW YORK, NY 10017	NONE TO PREVENT INFERTILITY WHENEVER POSSIBLE AND HELP BUILD FAMILIES OF CHOICE	PUBLIC CHARITY	5,500.
FRIENDS OF THIRD 201 SOUTH JUNE STREET LOS ANGELES, CA 90004	NONE TO PROVIDE CURRICULAR ENHANCEMENT PROGRAMS FOR ALL STUDENTS	PUBLIC CHARITY	15,000.
GAY LESBIAN AND STRAIGHT EDUCATION NETWORK (GLSEN) 90 BROAD STREET, 2ND FLOOR NEW YORK, NY 10004	NONE TO ASSURE THAT EACH MEMBER OF EVERY SCHOOL IS VALUED AND RESPECTED	PUBLIC CHARITY	20,000.
TOTAL TO FORM 990-PF, PART XV, LINE 3A			178,000.

ROCKCARES: The Norris-Rocaberte Family Foundation
EIN: 77-0539237
ATTACHMENT TO 2010 FORM 990PF

Form 990PF, page 10, Part XV, "Supplementary information"

Line 2a: The name, address and telephone number of the person to whom applications should be addressed:

Richard Rocaberte
RockCares: The Norris-Rocaberte Family Foundation
P.O. Box 741880
Los Angeles, CA 90004
(323) 933-0922

Line 2b: The form in which applications should be submitted and information and materials they should include:

An organization requesting a grant must submit ALL of the following:

- 1) Brief proposal describing the history of the organization, the purpose for which the grant money is requested, how the organization will meet its objectives and how the outcome will be measured.
- 2) List of Board of Directors, including biography and term of service for each member.
- 3) Current operating budget
- 4) Copy of the most recent audited financial statements
- 5) Copy of the IRS Section 501 (c) (3) determination letter along with a letter signed by an officer of the organization stating the IRS letter has not been modified nor the non-profit 501 (c) (3) status revoked since the letter issuance date.

Line 2c: Any submission deadlines.

None

Line 2d: Any restrictions or limitations on awards such as geographical areas, charitable fields, kinds of institutions, or other factors.

Grants are not made:

- 1) To individuals
- 2) To medical research

ROCKCARES: Norris-Rocaberte Family Foundation

EIN: 77-0539237

ATTACHMENT TO 2010 FORM 990PF

PAGE 2

- 3) For propagandizing, influencing legislation and/or elections, promoting voter registration, for political candidates, political campaigns or organizations engaged in political activities.
- 4) For refugee or religious programs or consumer interests
- 5) For religious institutions limiting services to only one sect or denomination
- 6) For endowment funds

A0714045

ENDORSED - FILED
in the office of the Secretary of State
of the State of California

APR 19 2011


**CERTIFICATE OF AMENDMENT
OF
ARTICLES OF INCORPORATION**

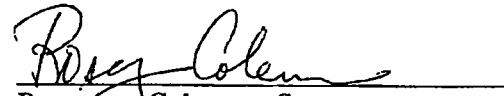
RICHARD J. ROCABERTE and ROSEMARY COLEMAN certify that:

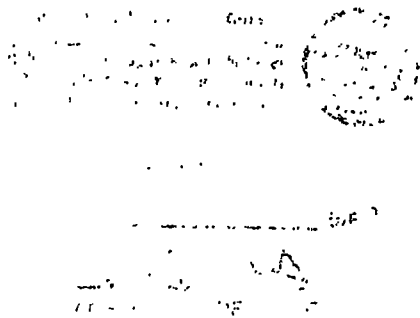
1. They are the President and Secretary, respectively, of RockCares Foundation, a California non-profit public benefit corporation.
2. Article I of the Articles of Incorporation of the corporation is amended to read as follows: "The name of the corporation is: RockCares: The Norris-Rocaberte Family Foundation."
3. The foregoing amendment of Articles of Incorporation has been duly approved by the Board of Directors.
4. The corporation has no members.

We further declare under penalty of perjury under the laws of the State of California that the matters set forth in this Certificate are true and correct of our own knowledge.

Date: November 7, 2010


Richard J. Rocaberte, President


Rosemary Coleman, Secretary



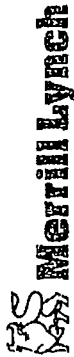


I hereby certify that the foregoing
transcript of 1 page(s)
is a full, true and correct copy of the
original record in the custody of the
California Secretary of State's office.

APR 19 2011

Date: _____ SNS

Debra Bowen
DEBRA BOWEN, Secretary of State



THE ROCKCARES FOUNDATION

EMA Fiscal Statement

ROCKCARES: NORRIS-ROCABERTE FAMILY FOUNDATION

EIN: 77-0539237

MERRILL LYNCH ACCOUNT 808-02106

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
6.0000	AMEDISYS INC	09/03/09	12/30/09	295.22	200.83	94.39 ST
33.0000	AMERICAN AXLE&MFG HLDGS	12/09/09	01/08/10	327.51	258.89	68.62 ST
23.0000	AMERICAN MED SYS HLDGS	12/26/07	12/30/09	445.99	341.80	104.19 LT
17.0000	BJS RESTAURANTS INC	02/13/09	12/30/09	316.06	203.48	112.58 ST
13.0000	BIOMARIN PHARMACEUTICALS	11/14/08	12/30/09	243.61	225.84	17.77 LT
11.0000	BALLY TECHNOLOGIES INC	10/20/08	12/30/09	448.30	241.83	206.47 LT
17.0000	CUBIST PHARMACEUTICALS	04/09/09	12/30/09	326.39	318.12	8.27 ST
7.0000	CHOICE HOTELS INTL NEW	08/28/09	12/30/09	224.20	210.70	13.50 ST
9.0000	CASH AMERICAN INTL INC	06/30/06	12/30/09	314.14	289.98	24.16 LT
7.0000	CASH AMERICAN INTL INC	06/30/06	12/30/09	243.19	225.54	17.65 LT
17.0000	COMPELLENT TECHNOLOGIES	03/02/09	12/30/09	388.83	218.03	170.80 ST
8.0000	COHERENT INC CAL	06/30/06	12/30/09	236.71	267.36	(30.65) LT
67.0000	COHERENT INC CAL	06/30/06	01/04/10	2,003.67	2,239.14	(235.47) LT
7.0000	DTS INC	03/30/09	12/30/09	241.49	171.43	70.06 ST
22.0000	ECLIPSYS CORPORATION	08/07/06	12/30/09	408.64	312.48	96.16 LT
171.0000	EBAY INC COM	06/25/09	01/05/10	4,049.98	2,915.12	1,134.86 ST
6.0000	EBAY INC COM	06/26/09	01/05/10	142.10	104.06	38.04 ST
61.0000	EXPEDIA INC DEL	10/12/09	01/05/10	1,575.95	1,494.86	81.09 ST
1.0000	EXPEDIA INC DEL	10/12/09	01/05/10	25.74	24.51	1.23 ST
74.0000	EXPEDIA INC DEL	10/12/09	01/05/10	1,905.14	1,812.90	92.24 ST
38.0000	EXPEDIA INC DEL	10/13/09	01/05/10	978.33	937.93	40.40 ST
20.0000	EXPEDIA INC DEL	10/13/09	01/06/10	497.59	493.65	3.94 ST
36.0000	EXPEDIA INC DEL	10/21/09	01/06/10	895.68	942.77	(47.09) ST
65.0000	FREERT-MCMRAN CPR & GLD	08/19/09	01/21/10	5,058.05	4,025.72	1,032.33 ST
5.0000	FREERT-MCMRAN CPR & GLD	08/19/09	01/25/10	371.92	309.68	62.24 ST
33.0000	FREERT-MCMRAN CPR & GLD	08/20/09	01/25/10	2,454.73	2,082.54	372.19 ST
34.0000	FREERT-MCMRAN CPR & GLD	09/03/09	01/25/10	2,529.13	2,167.45	361.68 ST
24.0000	FLOWSERVE CORP	04/02/09	01/26/10	2,322.95	1,475.92	847.03 ST
8.0000	FLOWSERVE CORP	04/03/09	01/26/10	774.32	505.93	268.39 ST
23.0000	GOLDMAN SACHS GROUP INC	02/09/09	01/22/10	3,606.22	2,225.78	1,380.44 ST

PLEASE SEE REVERSE SIDE

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Statement Period Year Ending 12/31/10

Account No 808-02106

00 17-50553 (R02-10)

0-576265

X



THE ROCKCARES FOUNDATION

EMA Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
1.0000	GOLDMAN SACHS GROUP INC	03/10/09	01/22/10	156.78	83.57	73.21 ST
39.0000	GRAFTECH INTL LTD	05/05/09	12/30/09	614.59	386.08	228.51 ST
21.0000	GEO GROUP INC	07/27/06	12/30/09	456.37	278.94	177.43 LT
2.0000	GOOGLE INC CL A	06/30/06	01/21/10	1,149.49	830.41	319.08 LT
14.0000	GENCO SHIPPING AND	07/17/09	12/30/09	312.05	321.73	(9.68) ST
12.0000	GENESCO INC	03/13/08	12/30/09	330.91	252.83	78.08 LT
25.0000	HERBALIFE LTD	02/12/09	01/13/10	1,061.40	515.19	546.21 ST
27.0000	HUNTSMAN CORP	11/24/08	12/30/09	308.61	166.52	142.09 LT
35.0000	HUNTSMAN CORP	11/24/08	12/30/09	400.83	215.86	184.97 LT
15.0000	INFORMATICA CORP CA	03/20/09	12/30/09	392.84	203.77	189.07 ST
1.0000	INTL BUSINESS MACHINES	01/21/09	01/20/10	129.66	89.15	40.51 ST
23.0000	INTL BUSINESS MACHINES	01/21/09	01/20/10	2,981.38	2,050.57	930.81 ST
16.0000	INTL BUSINESS MACHINES	02/27/09	01/20/10	2,074.68	1,463.03	611.65 ST
27.0000	INTL BUSINESS MACHINES	07/02/09	01/20/10	3,501.03	2,767.08	733.95 ST
13.0000	INTERCONTINENTALEXCHANGE	05/18/09	01/06/10	1,383.18	1,309.21	73.97 ST
18.0000	INTERCONTINENTALEXCHANGE	05/19/09	01/06/10	1,915.19	1,889.29	25.90 ST
19.0000	INTERCONTINENTALEXCHANGE	07/15/09	01/06/10	2,021.59	1,729.93	291.66 ST
9.0000	LANDSTAR SYS INC COM	06/30/06	12/30/09	356.26	423.54	(67.28) LT
7.0000	LINCARE HLDGS INC	10/13/08	12/30/09	262.63	184.97	77.66 LT
4.0000	LUFKIN INDS INC	02/12/09	12/30/09	294.51	151.83	142.68 ST
5.0000	LUFKIN INDS INC	02/12/09	12/30/09	370.11	189.79	180.32 ST
120.0000	MARVELL TECHNOLOGY GROUP	07/07/09	01/08/10	2,562.13	1,414.71	1,147.42 ST
659.0000	MATTEL INC COM	07/02/09	01/12/10	12,699.76	10,372.53	2,327.23 ST
10.0000	OYO GEOSPACE CORP	12/26/06	12/30/09	418.49	526.13	(107.64) LT
39.0000	ON SEMICONDUCTOR CRP COM	04/29/09	12/30/09	341.63	208.08	133.55 ST
29.0000	RADIANT SYSTEMS INC COM	12/31/07	12/30/09	302.17	496.51	(194.34) LT
15.0000	RITCHIE BROS AUCTIONEERS	03/13/09	12/30/09	336.20	237.00	99.20 ST
10.0000	ROVI CORP	02/18/09	12/30/09	319.74	147.34	172.40 ST
7.0000	REGAL BELOIT CORP WIS	05/19/09	12/30/09	372.41	276.14	96.27 ST
12.0000	REGENERON PHARMACTLS	07/28/09	12/30/09	294.62	255.32	39.30 ST
32.0000	SURMODICS INC	10/07/09	01/13/10	653.12	927.94	(274.82) ST
20.0000	SURMODICS INC	01/05/10	01/13/10	408.20	455.72	(47.52) ST
6.0000	STEAK N SHAKE CO COM NEW	08/25/09	01/08/10	1,733.66	1,436.28	297.38 ST
13.0000	SOTHEY'S (DELAWARE)	06/23/09	12/30/09	300.32	169.30	131.02 ST
12.0000	TERRA INDUSTRIES INC COM	11/12/08	12/30/09	390.14	199.13	191.01 LT
11.0000	TERRA INDUSTRIES INC COM	11/12/08	12/31/09	357.50	182.53	174.97 LT
28.0000	TERADYNE INC	06/24/09	12/30/09	301.04	184.60	116.44 ST
12.0000	THORATEC CORP COM NEW	09/25/07	12/30/09	328.85	250.55	78.30 LT
39.0000	TIBCO SOFTWARE INC	09/19/07	12/30/09	377.51	288.04	89.47 LT
81.0000	URBAN OUTFITTERS INC	08/18/09	01/07/10	2,763.82	2,266.53	497.29 ST

PLEASE SEE REVERSE SIDE
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 Statement Period Year Ending 12/31/10
 Account No 808-02106



Merrill Lynch

THE ROCKCARES FOUNDATION

EMA Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
24,000	URBAN OUTFITTERS INC	08/18/09	01/07/10	820.54	671.56	148.98 ST
23,000	URBAN OUTFITTERS INC	08/18/09	01/08/10	781.89	643.58	138.31 ST
26,000	URBAN OUTFITTERS INC	08/18/09	01/08/10	884.38	727.54	156.84 ST
38,000	URBAN OUTFITTERS INC	08/28/09	01/08/10	1,292.57	1,081.64	210.93 ST
34,000	URBAN OUTFITTERS INC	08/31/09	01/08/10	1,156.51	962.02	194.49 ST
19,000	URBAN OUTFITTERS INC	09/14/09	01/11/10	642.86	576.94	65.92 ST
41,000	URBAN OUTFITTERS INC	09/14/09	01/11/10	1,387.23	1,246.31	140.92 ST
15,000	URBAN OUTFITTERS INC	09/14/09	01/12/10	501.31	455.98	45.33 ST
9,000	UMB FINANCIAL CORP	05/12/09	12/30/09	352.07	422.69	(70.62) ST
7,000	VARIAN SEMICNDR EQ ASC	01/09/09	12/30/09	251.92	135.95	115.97 ST
9,000	VARIAN SEMICNDR EQ ASC	01/09/09	12/30/09	323.45	174.80	148.65 ST
11,000	WASTE CONNECTIONS INC	06/30/06	12/30/09	367.08	266.20	100.88 LT
90,000	WELLS FARGO & CO NEW DEL	12/16/08	01/21/10	2,513.32	2,327.19	186.13 ST
36,000	HSBC FINANCE CORP 4.75% 13	12/16/08	02/05/10	4,218.36	3,590.56	627.80 LT
36,000	ALLERGAN INC	11/05/09	02/04/10	2,045.34	2,119.21	(73.87) ST
5,000	APPLE INC	09/07/06	02/02/10	972.49	361.66	(80.18) ST
487,000	BROCADE COMMUNICATIONS	06/24/09	02/23/10	2,643.49	3,825.53	610.83 LT
132,000	BROCADE COMMUNICATIONS	10/06/09	02/23/10	716.51	1,211.28	(1,182.04) ST
212,000	BROCADE COMMUNICATIONS	12/14/09	02/23/10	1,150.77	1,568.10	(494.77) ST
10,000	EXPRESS SCRIPTS INC COM	06/02/09	02/16/10	866.66	642.76	223.90 ST
11,000	EXPRESS SCRIPTS INC COM	06/03/09	02/16/10	953.33	696.51	256.82 ST
11,000	ENI S P A SPONSORED ADR	06/30/06	02/09/10	498.84	645.70	(146.86) LT
27,000	EDISON INTL CALIF COM	07/02/09	02/03/10	907.19	837.21	69.98 ST
64,000	EBAY INC	06/26/09	02/02/10	1,478.74	1,109.99	368.75 ST
102,000	EBAY INC	07/15/09	02/02/10	2,356.75	1,828.20	528.55 ST
95,000	EBAY INC	07/23/09	02/02/10	2,195.02	2,025.33	169.69 ST
51,000	EBAY INC	07/23/09	02/02/10	1,182.82	1,087.29	95.53 ST
40,000	EOG RESOURCES INC	12/23/09	02/10/10	3,551.29	3,962.76	(411.47) ST
3,000	EOG RESOURCES INC	12/24/09	02/10/10	266.34	300.56	(34.22) ST
9,000	EOG RESOURCES INC	12/24/09	02/10/10	799.05	904.92	(105.87) ST
3,000	EOG RESOURCES INC	12/24/09	02/10/10	266.35	302.68	(36.33) ST
22,000	FLOWSERVE CORP	04/03/09	01/29/10	2,039.25	1,391.33	647.92 ST
16,000	FLOWSERVE CORP	04/22/09	01/29/10	1,483.09	992.69	490.40 ST
7,000	FLOWSERVE CORP	04/22/09	02/09/10	650.12	434.31	215.81 ST
41,000	FLOWSERVE CORP	07/30/09	02/09/10	3,807.91	3,286.01	521.90 ST
13,000	FOMENTO ECNMCO MEX SPADR	06/30/06	02/02/10	554.35	364.39	189.96 LT
28,000	FRANKLIN RES INC	07/30/09	02/02/10	2,850.68	2,458.99	391.69 ST
9,000	FRANKLIN RES INC	07/30/09	02/16/10	891.98	790.39	101.59 ST
10,000	FRANKLIN RES INC	07/31/09	02/16/10	991.09	874.72	116.37 ST





THE ROCKCARES FOUNDATION

EMA Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
44.0000	GENCO SHIPPING AND	07/17/09	02/02/10	894.79	1,011.16	(116.37) ST
56.0000	GENCO SHIPPING AND	07/20/09	02/02/10	1,138.84	1,318.71	(179.87) ST
111.0000	HUNTSMAN CORP	11/24/08	02/11/10	1,281.70	684.62	597.08 LT
184.0000	HUNTSMAN CORP	12/17/08	02/11/10	2,124.62	559.83	1,564.79 LT
27.0000	HEINZ H J CO PV 25CT	07/02/09	02/03/10	1,185.28	978.49	206.79 ST
5.0000	INTL GAME TECHNOLOGY	06/05/09	01/27/10	95.14	86.18	8.96 ST
89.0000	INTL GAME TECHNOLOGY	06/05/09	01/27/10	1,701.51	1,534.04	167.47 ST
37.0000	INTL GAME TECHNOLOGY	06/05/09	01/27/10	707.38	637.02	70.36 ST
44.0000	INTL GAME TECHNOLOGY	06/05/09	01/28/10	833.22	757.53	75.69 ST
30.0000	INTL GAME TECHNOLOGY	06/05/09	01/28/10	568.47	516.50	51.97 ST
44.0000	INTL GAME TECHNOLOGY	06/05/09	01/29/10	820.49	757.55	62.94 ST
49.0000	INTL GAME TECHNOLOGY	06/08/09	01/29/10	913.74	819.67	94.07 ST
8.0000	INTL GAME TECHNOLOGY	06/08/09	02/01/10	150.70	133.83	16.87 ST
51.0000	INTL GAME TECHNOLOGY	07/22/09	02/01/10	960.74	882.27	78.47 ST
9.0000	INTL GAME TECHNOLOGY	07/23/09	02/01/10	169.54	176.69	(7.15) ST
6.0000	INTL GAME TECHNOLOGY	07/23/09	02/01/10	113.04	119.19	(6.15) ST
3.0000	INTL GAME TECHNOLOGY	07/23/09	02/01/10	56.62	59.59	(2.97) ST
32.0000	INTL GAME TECHNOLOGY	07/23/09	02/02/10	606.21	635.72	(29.51) ST
10.0000	KOMATSU NEW NEW SPNSDADR	10/09/07	02/03/10	798.22	1,355.02	(556.80) LT
1.0000	KOMATSU NEW NEW SPNSDADR	10/19/07	02/03/10	79.81	131.85	(52.04) LT
46.0000	KRAFT FOODS INC VA CL A	07/02/09	02/03/10	1,318.80	1,204.04	114.76 ST
24.0000	MEDCO HEALTH SOLUTIONS I	02/03/09	02/05/10	1,432.00	1,132.92	299.08 LT
16.0000	MEDCO HEALTH SOLUTIONS I	02/03/09	02/16/10	1,002.35	755.28	247.07 LT
4.0000	MEDCO HEALTH SOLUTIONS I	02/04/09	02/16/10	250.58	189.91	60.67 LT
62.0000	NOMURA HLDGS INC ADR	12/11/06	02/16/10	440.00	1,103.40	(663.40) LT
40.0000	NOMURA HLDGS INC ADR	02/22/07	02/16/10	283.87	912.11	(628.24) LT
19.0000	NOMURA HLDGS INC ADR	05/01/07	02/16/10	134.83	368.60	(233.77) LT
17.0000	NOMURA HLDGS INC ADR	11/26/08	02/16/10	120.64	116.09	4.55 LT
28.0000	NOMURA HLDGS INC ADR	07/02/09	02/16/10	198.71	234.61	(35.90) ST
298.0000	NOVELL INC	07/21/08	02/02/10	1,370.78	1,744.38	(373.60) LT
1.0000	PRICELINE COM INC	01/06/09	02/10/10	205.43	77.61	127.82 LT
4.0000	PRICELINE COM INC	01/07/09	02/10/10	821.75	299.14	522.61 LT
50.0000	QUALCOMM INC	05/16/08	01/28/10	2,035.50	2,288.93	(253.43) LT
26.0000	QUALCOMM INC	05/19/08	01/28/10	1,058.46	1,235.35	(176.89) LT
12.0000	QUALCOMM INC	06/05/08	01/28/10	488.52	584.81	(96.29) LT
19.0000	QUALCOMM INC	06/05/08	01/29/10	748.97	925.95	(176.98) LT
44.0000	QUALCOMM INC	07/01/08	01/29/10	1,734.48	1,992.74	(258.26) LT
17.0000	QUALCOMM INC	07/01/08	01/29/10	670.14	768.23	(98.09) LT
65.0000	QUALCOMM INC	01/21/10	01/29/10	2,562.31	3,151.69	(589.38) ST
184.0000	SEAGATE TECHNOLOGY	01/22/10	02/16/10	3,617.74	3,518.91	98.83 ST





Merrill Lynch

THE ROCKCARES FOUNDATION

EMA Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
251.0000	SUMITOMO MITSU FINL ADR	06/30/06	02/16/10	788.05	2,622.96	(1,834.91) LT
120.0000	SUMITOMO MITSU FINL ADR	07/12/06	02/16/10	376.76	1,350.02	(973.26) LT
150.0000	SUMITOMO MITSU FINL ADR	08/17/06	02/16/10	470.95	1,695.00	(1,224.05) LT
140.0000	SUMITOMO MITSU FINL ADR	02/27/07	02/16/10	439.55	1,359.22	(919.67) LT
71.0000	SUMITOMO MITSU FINL ADR	11/26/08	02/16/10	222.92	237.85	(14.93) LT
12.0000	SIEMENS AG ADR	06/30/06	02/02/10	1,109.27	1,044.48	64.79 LT
12.0000	SOUTHWESTERN ENERGY CO	09/17/08	02/22/10	522.18	375.18	147.00 LT
64.0000	SOUTHWESTERN ENERGY CO	09/18/08	02/22/10	2,784.96	2,058.54	726.42 LT
25.0000	SOUTHWESTERN ENERGY CO	09/18/08	02/23/10	1,063.92	804.13	259.79 LT
21.0000	SOUTHWESTERN ENERGY CO	10/20/08	02/23/10	893.69	681.27	212.42 LT
12.0000	TOTAL S.A. SP ADR	06/30/06	02/09/10	673.95	786.44	(112.49) LT
91.0000	TERRA INDUSTRIES INC COM	11/12/08	02/23/10	3,732.72	1,510.11	2,222.61 LT
52.0000	TERRA INDUSTRIES INC COM	04/21/09	02/23/10	2,132.99	1,389.28	743.71 ST
16.0000	TERRA INDUSTRIES INC COM	11/20/09	02/23/10	656.30	641.28	15.02 ST
8.0000	TERRA INDUSTRIES INC COM	02/16/10	02/23/10	328.16	325.04	3.12 ST
49.0000	VALE SA	06/30/06	02/02/10	1,350.74	588.74	762.00 LT
286.0000	XEROX CORP	07/02/09	02/03/10	2,568.36	1,860.17	708.19 ST
3.0000	FNMA P954861 06 50%2037 COR	08/11/09	03/19/10	2,368.09	2,359.84	N/C
2.0000	FNMA PAA4104 05%2039 COR	08/11/09	03/19/10	1,957.22	1,916.51	N/C
3.0000	US TSY 3.875% MAY 15 2018	09/16/08	03/19/10	3,106.63	3,073.08	33.55 LT
3.0000	US TSY 3.875% MAY 15 2018	04/27/09	03/19/10	3,106.63	3,223.85	(117.22) ST
16.0000	US TSY 2.250% MAY 31 2014	06/10/09	03/19/10	16,091.19	15,512.56	578.63 ST
3.0000	US TSY 2.250% MAY 31 2014	10/08/09	03/19/10	3,017.11	3,019.31	(2.20) ST
39.0000	US TSY 0.750% NOV 30 2011	12/10/09	03/19/10	38,939.06	38,969.53	(30.47) ST
1.0000	FNMA P867439 05%2036 COR	01/12/09	03/19/10	619.41	615.73	N/C
1.0000	FNMA P904079 05%2036 COR	08/20/07	03/19/10	630.76	572.83	N/C
2.0000	FNMA P745355 05%2036 COR	03/27/07	03/19/10	1,292.94	1,205.26	N/C
1.0000	FNMA P914386 05%2037 COR	02/28/08	03/19/10	707.51	664.53	N/C
1.0000	FNMA P938195 05 50%2022 COR	07/09/07	03/19/10	587.87	539.05	N/C
5.0000	FNMA P936519 06 50%2037 COR	06/19/07	03/19/10	3,519.07	3,308.07	N/C
1.0000	FNMA P936519 06 50%2037 COR	08/16/07	03/19/10	703.82	662.84	N/C
1.0000	FNMA P936519 06 50%2037 COR	09/13/07	03/19/10	703.82	666.21	N/C
29.0000	FNMA P898565 06 50%2036 COR	04/10/07	03/19/10	15,855.82	15,038.62	N/C
37.0000	FNMA P888356 05 50%2022 COR	04/12/07	03/19/10	17,397.25	16,249.62	N/C
10.0000	FNMA P937630 05%2037 COR	06/26/07	03/19/10	8,279.70	7,443.47	N/C
1.0000	FNMA P937630 05%2037 COR	09/12/07	03/19/10	827.98	764.49	N/C
1.0000	FNMA P889260 05%2038 COR	09/11/08	03/19/10	783.06	747.01	N/C
1.0000	FNMA P964833 06 50%2038 COR	10/22/09	03/19/10	860.61	863.48	N/C
5.0000	FNMA P931693 05 50%2023 COR	07/22/09	03/19/10	4,308.94	4,236.63	N/C
1.0000	FNMA P931693 05 50%2023 COR	08/13/09	03/19/10	861.80	844.43	N/C

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THE ROCKCARES FOUNDATION

EMA Fiscal Statement

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8.0000	AMAZON COM INC COM	09/11/08	03/12/10	1,053.55	628.20	425.35 LT
1.0000	AMAZON COM INC COM	09/30/08	03/12/10	131.69	69.06	62.63 LT
102.0000	A123 SYSTEMS INC	09/25/09	03/11/10	1,637.04	1,969.76	(332.72) ST
22.0000	CHINA MOBILE LTD SPN ADR	02/05/09	03/02/10	1,080.47	1,020.96	59.51 LT
18.0000	CHINA MOBILE LTD SPN ADR	06/04/09	03/02/10	884.03	904.30	(20.27) ST
10.0000	CASH AMERICAN INTL INC	06/30/06	03/26/10	395.97	322.20	73.77 LT
41.0000	COHERENT INC CAL	06/30/06	03/11/10	1,314.78	1,370.22	(55.44) LT
52.0000	ENI S P A SPONSORED ADR	06/30/06	03/19/10	2,406.23	3,052.41	(646.18) LT
4.0000	ENI S P A SPONSORED ADR	05/01/07	03/19/10	185.09	264.76	(79.67) LT
11.0000	ENI S P A SPONSORED ADR	11/26/08	03/19/10	509.02	514.47	(5.45) LT
4.0000	GOOGLE INC CL A	08/08/06	03/24/10	2,164.22	1,531.24	632.98 LT
2.0000	GOOGLE INC CL A	08/08/06	03/24/10	1,082.12	765.61	316.51 LT
15.0000	GENOPTIX INC	01/13/09	03/26/10	543.10	518.69	24.41 LT
302.0000	HEINZ H J CO PV 25CT	07/02/09	03/26/10	13,782.98	10,944.60	2,838.38 ST
140.0000	NOKIA CORP SPON ADR	06/30/06	03/02/10	1,891.21	2,856.00	(964.79) LT
70.0000	NOKIA CORP SPON ADR	06/30/06	03/17/10	1,087.33	1,428.01	(340.68) LT
1.0000	NOKIA CORP SPON ADR	05/01/07	03/17/10	15.53	25.33	(9.80) LT
1.0000	NOKIA CORP SPON ADR	05/02/07	03/17/10	15.53	25.84	(10.31) LT
25.0000	NOKIA CORP SPON ADR	11/26/08	03/17/10	388.34	355.48	32.86 LT
11.0000	SOTHEBY'S (DELAWARE)	06/23/09	03/11/10	336.04	143.25	192.79 ST
38.0000	SOUTHWESTERN ENERGY CO	10/20/08	03/19/10	1,485.81	1,232.79	253.02 LT
23.0000	SOUTHWESTERN ENERGY CO	10/29/08	03/19/10	899.31	722.57	176.74 LT
37.0000	THORATEC CORP COM NEW	09/25/07	03/26/10	1,248.00	772.53	475.47 LT
30.0000	THORATEC CORP COM NEW	12/28/07	03/26/10	1,011.90	549.92	461.98 LT
48.0000	TIBCO SOFTWARE INC	09/19/07	03/26/10	528.06	354.52	174.54 LT
1,000.0000	MARATHON OIL CORP 5.90% 18	06/23/09	04/30/10	1,039.94	1,000.37	39.57 ST
3,000.0000	US TSY 0.750% NOV 30 2011	12/10/09	04/08/10	2,993.54	2,997.65	(4.11) ST
7.0000	APPLE INC	09/07/06	04/01/10	1,657.22	506.34	1,150.88 LT
2.0000	APPLE INC	09/07/06	04/21/10	515.97	144.67	371.30 LT
10.0000	APPLE INC	03/01/07	04/21/10	2,579.86	871.49	1,708.37 LT
38.0000	BUCYRUS INTL INC NEW	01/05/10	03/31/10	2,541.15	2,445.02	96.13 ST
37.0000	BANK NEW YORK MELLON	07/02/09	04/01/10	1,151.51	1,049.42	102.09 ST
74.0000	COMCAST CORP NEW CL A	02/03/10	04/01/10	1,391.31	1,196.57	194.74 ST
28.0000	CARDINAL HEALTH INC OHIO	07/02/09	04/01/10	1,013.93	837.54	176.39 ST
27.0000	COGNIZANT TECH SOLUTIONS A	08/04/09	04/01/10	1,373.56	892.75	480.81 ST
3.0000	COMPELLENT TECHNOLOGIES	03/02/09	04/12/10	36.95	38.48	(1.53) LT
22.0000	COMPELLENT TECHNOLOGIES	04/03/09	04/12/10	271.01	256.30	14.71 LT
22.0000	COMPELLENT TECHNOLOGIES	04/06/09	04/12/10	2,734.80	2,698.94	35.86 LT
15.0000	COMPELLENT TECHNOLOGIES	01/05/10	04/12/10	184.79	350.98	(166.19) ST
26.0000	DU PONT E I DE NEMOURS	07/02/09	04/01/10	981.03	650.66	330.37 ST



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Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
255.0000	E M C CORPORATION MASS	09/11/09	03/30/10	4,586.04	4,271.79	314.25 ST
106.0000	E M C CORPORATION MASS	09/14/09	03/30/10	1,906.36	1,789.39	116.97 ST
139.0000	E M C CORPORATION MASS	10/02/09	03/30/10	2,499.85	2,318.67	181.18 ST
15.0000	FMC TECHS INC COM	09/11/09	04/01/10	984.13	763.61	220.52 ST
12.0000	GOLDMAN SACHS GROUP INC	03/10/09	04/19/10	1,907.96	1,002.96	905.00 LT
12.0000	GOLDMAN SACHS GROUP INC	03/18/09	04/19/10	1,907.96	1,194.88	713.08 LT
21.0000	GOLDMAN SACHS GROUP INC	05/12/09	04/19/10	3,338.95	2,810.57	528.38 ST
2.0000	GOOGLE INC CL A	11/13/07	04/01/10	1,136.44	1,303.02	(166.58) LT
1.0000	GOOGLE INC CL A	11/13/07	04/19/10	548.99	651.51	(104.52) LT
6.0000	GOOGLE INC CL A	04/18/08	04/19/10	3,281.95	3,209.66	72.29 LT
6.0000	GOOGLE INC CL A	04/18/08	04/27/10	3,224.38	3,209.67	14.71 LT
43.0000	GILEAD SCIENCES INC COM	01/27/10	04/21/10	1,730.84	2,051.85	(321.01) ST
38.0000	GILEAD SCIENCES INC COM	01/28/10	04/21/10	1,529.59	2,051.85	(271.21) ST
22.0000	GILEAD SCIENCES INC COM	01/28/10	04/22/10	902.32	1,800.80	(140.25) ST
89.0000	GILEAD SCIENCES INC COM	01/29/10	04/22/10	3,650.30	4,276.09	(625.79) ST
45.0000	LOWE'S COMPANIES INC	10/07/09	04/01/10	1,103.95	910.88	193.07 ST
119.0000	MASTEC INC FLA	09/24/09	04/12/10	1,516.24	1,407.27	108.97 ST
102.0000	MASTEC INC	11/10/09	04/12/10	1,299.65	1,226.75	72.90 ST
33.0000	MEDCO HEALTH SOLUTIONS I	02/04/09	04/01/10	2,116.05	1,566.77	549.28 LT
35.0000	MEDCO HEALTH SOLUTIONS I	02/24/09	04/01/10	2,244.30	1,576.47	667.83 LT
18.0000	MEDCO HEALTH SOLUTIONS I	07/29/09	04/01/10	1,154.21	951.80	202.41 ST
25.0000	MEDCO HEALTH SOLUTIONS I	07/29/09	04/05/10	1,592.73	1,321.95	270.78 ST
35.0000	MICROSOFT CORP	11/17/09	04/01/10	1,021.63	1,045.34	(23.71) ST
137.0000	MICROSOFT CORP	11/17/09	04/23/10	4,219.86	4,091.79	128.07 ST
39.0000	MYLAN INC	08/17/09	04/01/10	896.31	543.64	352.67 ST
33.0000	NIDEC CORPORATION ADR	02/28/07	04/23/10	851.95	541.04	310.91 LT
8.0000	NINTENDO LTD ADR	09/18/08	04/08/10	328.39	426.80	(98.41) LT
66.0000	NORDSTROM INC	11/09/09	04/22/10	2,949.88	2,309.08	640.80 ST
5.0000	NORDSTROM INC	11/10/09	04/22/10	223.48	176.34	47.14 ST
12.0000	NORTHROP GRUMMAN CORP	04/05/07	04/01/10	791.92	900.01	(108.09) LT
12.0000	NORTHROP GRUMMAN CORP	05/01/07	04/01/10	791.93	878.40	(86.47) LT
1.0000	NORTHROP GRUMMAN CORP	05/02/07	04/01/10	65.99	73.19	(7.20) LT
1.0000	NORTHROP GRUMMAN CORP	07/15/08	04/01/10	66.00	65.43	0.57 LT
1.0000	NORTHROP GRUMMAN CORP	07/22/08	04/01/10	66.00	65.76	0.24 LT
35.0000	OYO GEOSPACE CORP	12/26/06	04/05/10	1,841.09	1,841.46	(0.24) LT
6.0000	OYO GEOSPACE CORP	02/07/07	04/05/10	277.21	396.94	(119.73) LT
5.0000	PRICELINE COM INC	01/07/09	04/01/10	1,285.93	373.92	912.01 LT
47.0000	PSYCHIATRIC SOLUTIONS IN	07/16/09	04/05/10	1,408.89	1,126.23	282.66 ST
8.0000	PSYCHIATRIC SOLUTIONS IN	07/22/09	04/05/10	239.81	197.37	42.44 ST
92.0000	PRUDENTIAL PLC ADR	11/18/08	04/23/10	1,514.63	808.18	706.45 LT

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Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
55.0000	PRUDENTIAL PLC ADR	06/08/09	04/23/10	905.48	791.04	114.44 ST
67.0000	PRUDENTIAL PLC ADR	07/31/09	04/23/10	1,103.06	999.91	103.15 ST
11.0000	RWE AG SPONSORED ADR	06/30/08	04/23/10	920.56	1,388.69	(468.13) LT
44.0000	SAFEWAY INC NEW	07/02/09	04/01/10	1,110.25	870.22	240.03 ST
42.0000	SOUTHWESTERN ENERGY CO	10/29/08	04/14/10	1,746.57	1,319.50	427.07 LT
104.0000	SOUTHWESTERN ENERGY CO	10/02/09	04/14/10	4,324.84	4,252.49	72.35 ST
44.0000	TERADYNE INC	06/24/09	04/22/10	564.65	290.10	274.55 ST
103.0000	TERADYNE INC	07/14/09	04/22/10	1,321.80	713.94	607.86 ST
7.0000	3M COMPANY	08/07/09	04/05/10	589.57	507.51	82.06 ST
25.0000	3M COMPANY	08/07/09	04/05/10	2,105.62	1,825.24	280.38 ST
17.0000	3M COMPANY	08/07/09	04/07/10	1,422.92	1,241.17	181.75 ST
61.0000	TIFFANY & CO NEW	08/28/09	04/22/10	3,095.01	2,247.89	847.12 ST
58.0000	UNITED PARCEL SVC CL B	01/08/10	04/05/10	3,723.23	3,484.66	238.57 ST
3,000.0000	GENERAL MILLS INC 6.00% 12	11/25/08	05/11/10	3,169.17	3,008.23	160.94 LT
1,000.0000	MARATHON OIL CORP 5.90% 18	06/23/09	05/04/10	1,039.94	1,000.38	39.56 ST
1,000.0000	MARATHON OIL CORP 5.90% 18	06/23/09	05/07/10	1,081.56	1,000.39	81.17 ST
57.0000	ANADARKO PETE CORP	09/11/09	04/30/10	3,634.08	3,340.67	293.41 ST
3.0000	APPLE INC	03/01/07	05/25/10	715.26	261.44	453.82 LT
39.0000	BUCYRUS INTL INC NEW	01/05/10	05/04/10	2,239.10	2,509.38	(270.28) ST
34.0000	BUCYRUS INTL INC NEW	02/11/10	05/04/10	1,952.04	1,934.21	17.83 ST
26.0000	BIO RAD LABS CL A	06/10/08	05/05/10	2,702.02	2,280.99	421.03 LT
10.0000	BIO RAD LABS CL A	06/10/08	05/05/10	1,039.24	876.50	162.74 LT
4.0000	BIO RAD LABS CL A	01/05/10	05/05/10	415.70	398.12	17.58 ST
50.0000	COINSTAR INC	04/28/09	04/30/10	2,244.31	1,593.63	650.68 LT
33.0000	CASH AMERICAN INTL INC	06/30/06	05/11/10	1,186.97	1,063.27	123.70 LT
1.0000	CASH AMERICAN INTL INC	05/01/07	05/11/10	35.96	43.17	(7.21) LT
45.0000	CASH AMERICAN INTL INC	10/10/08	05/11/10	1,618.62	1,475.52	143.10 LT
9.0000	CAREFUSION CORP SHS	01/20/10	05/07/10	226.68	240.35	(13.67) ST
17.0000	CAREFUSION CORP SHS	01/20/10	05/07/10	428.17	451.14	(22.97) ST
10.0000	CAREFUSION CORP SHS	01/21/10	05/07/10	251.88	268.08	(16.20) ST
32.0000	CAREFUSION CORP SHS	01/21/10	05/12/10	840.03	857.87	(17.84) ST
75.0000	CAREFUSION CORP SHS	01/22/10	05/12/10	1,968.83	2,067.02	(98.19) ST
27.0000	CAREFUSION CORP SHS	01/25/10	05/12/10	708.78	747.46	(38.68) ST
44.0000	CAREFUSION CORP SHS	01/25/10	05/12/10	1,155.05	1,222.31	(67.26) ST
23.0000	FRANKLIN RES INC	07/31/09	05/06/10	2,523.45	2,011.88	511.57 ST
12.0000	FRANKLIN RES INC	08/03/09	05/06/10	1,316.59	1,094.61	221.98 ST
15.0000	LAUDER ESTEE COS INC A	01/21/10	04/29/10	992.23	797.29	194.94 ST
152.0000	MICROSOFT CORP	11/17/09	04/29/10	4,693.74	4,539.81	153.93 ST
46.0000	MICROSOFT CORP	11/19/09	04/29/10	1,420.48	1,374.42	46.06 ST
16.0000	PRICELINE COM INC	01/07/09	05/18/10	3,202.03	1,196.57	2,005.46 LT





THE ROCKCARES FOUNDATION

EMA Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
14.0000	PRICELINE COM INC	01/08/09	05/18/10	2,801.79	1,063.70	1,738.09 LT
1.0000	PRICELINE COM INC	01/15/09	05/18/10	200.13	71.53	128.60 LT
11.0000	PRICELINE COM INC	01/15/09	05/19/10	2,075.19	786.85	1,288.34 LT
6.0000	PRICELINE COM INC	02/19/09	05/19/10	1,131.93	482.03	649.90 LT
46.0000	PSYCHIATRIC SOLUTIONS IN	07/22/09	05/10/10	1,400.33	1,134.92	265.41 ST
61.0000	PSYCHIATRIC SOLUTIONS IN	02/24/10	05/10/10	1,856.97	1,336.16	520.81 ST
46.0000	TIFFANY & CO NEW	08/28/09	04/29/10	2,292.79	1,895.14	597.65 ST
23.0000	TIFFANY & CO NEW	08/28/09	05/20/10	974.50	847.57	126.93 ST
42.0000	TIFFANY & CO NEW	08/31/09	05/20/10	1,779.53	1,526.65	252.88 ST
51.0000	TIFFANY & CO NEW	11/25/09	05/20/10	2,160.88	2,223.13	(62.25) ST
39.0000	UNIVERSAL ELECTRONICS INC	08/03/07	05/24/10	741.55	1,190.21	(448.66) LT
25.0000	UNIVERSAL ELECTRONICS INC	02/22/08	05/24/10	475.36	483.58	(8.22) LT
15.0000	WALTER ENERGY INC	12/16/09	05/05/10	1,127.36	1,140.21	(12.85) ST
16.0000	WALTER ENERGY INC	12/16/09	05/05/10	1,202.52	1,224.04	(21.52) ST
17.0000	WALTER ENERGY INC	12/17/09	05/05/10	1,277.69	1,257.55	20.14 ST
19.0000	WALTER ENERGY INC	01/04/10	05/05/10	1,428.01	1,498.72	(70.71) ST
21.0000	ANADARKO PETE CORP	09/11/09	06/01/10	932.85	1,230.78	(297.93) ST
29.0000	ANADARKO PETE CORP	10/08/09	06/01/10	1,288.23	1,952.29	(664.06) ST
47.0000	ANADARKO PETE CORP	01/28/10	06/01/10	2,087.84	3,095.28	(1,007.44) ST
3.0000	APPLE INC	03/01/07	06/01/10	791.37	261.45	529.92 LT
1.0000	APPLE INC	03/01/07	06/02/10	262.86	87.14	175.72 LT
2.0000	APPLE INC	03/01/07	06/25/10	533.54	174.30	359.24 LT
45.0000	CUBIST PHARMACEUTICALS	04/09/09	06/03/10	969.36	842.11	127.25 LT
46.0000	CUBIST PHARMACEUTICALS	04/23/09	06/03/10	980.91	742.92	247.99 LT
69.0000	CLIFFS NATURAL RESOURCES	12/16/09	06/04/10	3,510.80	3,129.85	380.95 ST
58.0000	FORMFACTOR INC	07/06/09	06/09/10	657.55	1,131.27	(473.72) ST
52.0000	FORMFACTOR INC	07/31/09	06/09/10	589.52	1,194.40	(604.88) ST
41.0000	FORMFACTOR INC	11/06/09	06/09/10	484.83	705.33	(240.50) ST
83.0000	FORMFACTOR INC	11/06/09	06/10/10	937.97	1,427.87	(489.90) ST
14.0000	FORMFACTOR INC	01/05/10	06/10/10	158.21	303.36	(145.15) ST
68.0000	FORMFACTOR INC	04/07/10	06/10/10	768.46	1,253.81	(485.35) ST
6.0000	GUESS INC COM	10/22/09	06/04/10	206.20	238.16	(31.96) ST
25.0000	GUESS INC COM	10/22/09	06/04/10	859.19	983.00	(123.81) ST
40.0000	GUESS INC COM	10/23/09	06/04/10	1,374.72	1,618.67	(243.95) ST
47.0000	GUESS INC COM	10/27/09	06/04/10	1,615.29	1,836.04	(220.75) ST
28.0000	GUESS INC COM	11/17/09	06/04/10	962.30	1,069.40	(107.10) ST
25.0000	GUESS INC COM	12/07/09	06/04/10	859.21	1,068.29	(209.08) ST
38.0000	GENOPTIX INC	01/13/09	06/16/10	1,611.65	1,314.04	(632.39) LT
79.0000	GENOPTIX INC	05/06/09	06/16/10	1,417.12	2,081.06	(663.94) LT
17.0000	GENOPTIX INC	01/29/10	06/16/10	304.96	541.46	(236.50) ST





THE ROCKCARES FOUNDATION

EMA Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
223.0000	MARVELL TECHNOLOGY GROUP	07/07/09	06/08/10	3,880.89	2,629.02	1,251.87 ST
106.0000	MARVELL TECHNOLOGY GROUP	08/28/09	06/08/10	1,844.73	1,651.22	193.51 ST
105.0000	MARVELL TECHNOLOGY GROUP	04/19/10	06/08/10	1,827.33	2,261.48	(434.15) ST
2.0000	MICROSOFT CORP	11/19/09	06/09/10	50.28	59.76	(9.48) ST
83.0000	MICROSOFT CORP	12/16/09	06/09/10	2,086.72	2,518.43	(431.71) ST
21.0000	MICROSOFT CORP	12/16/09	06/10/10	524.58	637.20	(112.62) ST
11.0000	MICROSOFT CORP	01/19/10	06/10/10	274.79	342.33	(67.54) ST
40.0000	NORDSTROM INC	11/10/09	06/04/10	1,506.07	1,410.78	95.29 ST
11.0000	NORDSTROM INC	11/10/09	06/04/10	414.18	386.58	27.60 ST
135.0000	VIVUS INC DEL PV\$0.001	09/18/09	06/16/10	1,436.64	1,593.07	(156.43) ST
53.0000	WHITING PETROLEUM CORP	08/06/09	06/25/10	4,460.39	2,572.03	1,888.36 ST
4.0000	WHITING PETROLEUM CORP	04/01/10	06/25/10	336.64	336.64	0.00
8,000.0000	US TSY 4.375% FEB 15 2038	01/20/09	07/01/10	8,719.97	9,967.53	(1,247.56) LT
76.0000	AMEDISYS INC	09/03/09	07/13/10	2,033.12	2,543.93	(510.81) ST
8.0000	AMEDISYS INC	03/26/10	07/13/10	214.02	450.35	(236.33) ST
540.0000	BRISTOL-MYERS SQUIBB CO	07/02/09	07/14/10	13,525.96	10,688.82	2,837.14 LT
36.0000	CUTERA INC	05/08/07	07/26/10	277.79	821.76	(543.97) LT
59.0000	CUTERA INC	05/08/07	07/27/10	456.13	1,346.78	(890.65) LT
14.0000	CLIFFS NATURAL RESOURCES	12/16/09	07/01/10	643.53	635.05	8.48 ST
26.0000	CLIFFS NATURAL RESOURCES	01/04/10	07/01/10	1,195.13	1,257.74	(62.61) ST
29.0000	CLIFFS NATURAL RESOURCES	02/11/10	07/01/10	1,333.04	1,320.33	12.71 ST
122.0000	CISCO SYSTEMS INC COM	03/04/09	07/01/10	2,583.41	1,865.55	717.86 LT
144.0000	CISCO SYSTEMS INC COM	03/04/09	07/09/10	3,242.81	2,201.97	1,040.84 LT
153.0000	CISCO SYSTEMS INC COM	06/04/09	07/09/10	3,445.49	3,000.01	445.48 LT
33.0000	EMERSON ELEC CO	02/02/10	07/02/10	1,439.29	1,522.41	(83.12) ST
85.0000	EMERSON ELEC CO	04/27/10	07/09/10	3,916.93	3,921.35	(4.42) ST
28.0000	EMERSON ELEC CO	03/09/09	07/09/10	1,290.29	1,471.08	(180.79) ST
181.0000	1ST COMMONWLTH FINL PV\$1	03/09/09	07/26/10	936.84	1,169.26	(232.42) LT
94.0000	1ST COMMONWLTH FINL PV\$1	07/29/09	07/27/10	485.79	607.24	(121.45) LT
221.0000	GLEACHER AND CO INC	07/29/09	07/14/10	71.20	167.97	(96.77) ST
27.0000	GLEACHER AND CO INC	07/29/09	07/15/10	390.32	626.74	(236.42) ST
148.0000	GLEACHER AND CO INC	05/04/10	07/15/10	4,920.27	4,869.75	50.52 ST
123.0000	HASBRO INC COM	05/05/10	07/21/10	1,760.10	1,780.77	(20.67) ST
44.0000	HASBRO INC COM	05/27/10	07/21/10	7,740.15	8,876.96	(1,136.81) ST
182.0000	HEWLETT PACKARD CO DEL	10/23/09	07/02/10	1,867.26	1,608.35	258.91 LT
52.0000	JPMORGAN CHASE & CO	04/09/09	07/01/10	323.18	299.63	23.55 LT
9.0000	JPMORGAN CHASE & CO	04/27/09	07/01/10	3,041.10	2,796.53	244.57 LT
84.0000	JPMORGAN CHASE & CO	04/27/09	07/02/10	1,086.12	1,088.17	(2.05) LT
30.0000	JPMORGAN CHASE & CO	05/06/09	07/02/10	1,086.12	1,324.06	(237.94) LT
44.0000	JOHNSON CONTROLS INC	01/22/10	07/21/10	1,267.91	1,324.06	(56.15) ST



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THE ROCKCARES FOUNDATION

EWA Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
117.0000	JOHNSON CONTROLS INC	01/22/10	07/21/10	3,371.51	3,534.51	(163.00) ST
46.0000	JOHNSON CONTROLS INC	01/22/10	07/23/10	1,299.45	1,389.64	(90.19) ST
69.0000	JOHNSON CONTROLS INC	01/26/10	07/23/10	1,949.18	2,047.53	(98.35) ST
39.0000	JOHNSON CONTROLS INC	05/27/10	07/23/10	1,101.72	1,121.58	(19.86) ST
67.0000	MICROSOFT CORP	01/19/10	07/01/10	1,537.44	2,085.11	(547.67) ST
156.0000	MICROSOFT CORP	01/29/10	07/01/10	3,579.72	4,495.06	(915.34) ST
91.0000	NBTY INC	05/21/10	07/19/10	4,862.39	3,110.13	1,752.26 ST
39.0000	NBTY INC	06/21/10	07/19/10	2,083.88	1,431.78	652.10 ST
34.0000	NBTY INC	07/13/10	07/19/10	1,816.72	1,270.40	546.32 ST
10.0000	NESTLE S A REP RG SH ADR	06/30/06	07/12/10	494.29	313.46	180.83 LT
14.0000	NESTLE S A REP RG SH ADR	06/30/06	07/13/10	701.24	438.84	262.40 LT
2.0000	NORDSTROM INC	11/10/09	07/01/10	65.36	70.29	(4.93) ST
41.0000	NORDSTROM INC	11/11/09	07/01/10	1,340.68	1,445.68	(105.64) ST
28.0000	NORDSTROM INC	12/07/09	07/01/10	993.13	993.13	(77.98) ST
9.0000	NORDSTROM INC	02/22/10	07/01/10	294.15	325.28	(31.13) ST
39.0000	NORDSTROM INC	02/22/10	07/01/10	1,274.70	1,411.63	(136.93) ST
59.0000	PRICE T ROWE GROUP INC	04/21/10	07/09/10	2,809.31	3,424.43	(615.12) ST
33.0000	PRICE T ROWE GROUP INC	04/22/10	07/09/10	1,571.32	1,893.48	(322.16) ST
2.0000	RWE AG SPONSORED ADR	06/30/08	07/12/10	138.22	252.50	(114.28) LT
12.0000	RWE AG SPONSORED ADR	07/09/08	07/13/10	829.46	1,510.32	(680.86) LT
2.0000	RWE AG SPONSORED ADR	11/26/08	07/13/10	138.25	170.00	(31.75) LT
30.0000	RANGE RESOURCES CORP DEL	10/15/09	07/27/10	1,096.17	1,691.46	(585.29) ST
8.0000	RANGE RESOURCES CORP DEL	10/16/09	07/27/10	294.98	453.75	(158.77) ST
34.0000	RANGE RESOURCES CORP DEL	10/16/09	07/27/10	1,253.66	1,925.58	(671.92) ST
21.0000	RANGE RESOURCES CORP DEL	10/19/09	07/27/10	774.32	1,211.31	(436.99) ST
10.0000	RANGE RESOURCES CORP DEL	10/19/09	07/27/10	368.72	575.46	(206.74) ST
38.0000	RANGE RESOURCES CORP DEL	12/15/09	07/27/10	1,401.18	1,800.84	(399.66) ST
27.0000	RITCHIE BROS AUCTIONEERS	03/13/09	07/14/10	501.13	426.60	74.53 LT
5.0000	RITCHIE BROS AUCTIONEERS	03/13/09	07/14/10	93.66	79.00	14.66 LT
55.0000	RITCHIE BROS AUCTIONEERS	03/18/09	07/14/10	1,030.37	932.10	98.27 LT
11.0000	RITCHIE BROS AUCTIONEERS	03/31/09	07/14/10	206.08	207.45	(1.37) LT
43.0000	RITCHIE BROS AUCTIONEERS	03/31/09	07/14/10	805.34	810.93	(5.59) LT
60.0000	RITCHIE BROS AUCTIONEERS	09/24/09	07/14/10	1,123.73	1,505.21	(381.48) ST
19.0000	RITCHIE BROS AUCTIONEERS	01/05/10	07/14/10	355.85	433.76	(77.91) ST
88.0000	THERMO FISHER SCIENTIFIC	05/05/10	07/19/10	4,321.42	4,803.64	(482.22) ST
31.0000	UNIT CORP	01/14/10	07/08/10	1,281.06	1,513.98	(232.92) ST
131.0000	AKAMAI TECHNOLOGIES INC	04/29/10	07/29/10	5,199.13	5,021.39	177.74 ST
57.0000	AKAMAI TECHNOLOGIES INC	05/10/10	07/29/10	2,262.23	2,189.12	73.11 ST
127.0000	BROADCOM CORP CALIF CL A	10/27/08	08/11/10	4,284.71	2,112.04	2,172.67 LT
29.0000	BROADCOM CORP CALIF CL A	10/28/08	08/11/10	978.41	482.45	495.96 LT

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THE ROCKCARES FOUNDATION

EMASM Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
11.0000	COINSTAR INC	04/28/09	07/30/10	483.60	350.61	132.99 LT
44.0000	COINSTAR INC	06/30/09	07/30/10	1,934.42	1,152.54	781.88 LT
55.0000	COINSTAR INC	08/14/09	07/30/10	2,418.03	1,731.90	686.13 ST
52.0000	CUTERA INC	05/08/07	07/28/10	392.13	1,187.00	(794.87) LT
1.0000	CUTERA INC	07/15/08	07/28/10	7.55	9.37	(1.82) LT
141.0000	CISCO SYSTEMS INC COM	06/04/09	08/12/10	3,018.33	2,764.71	253.62 LT
88.0000	CISCO SYSTEMS INC COM	11/05/09	08/12/10	1,883.78	2,111.20	(227.42) ST
63.0000	CISCO SYSTEMS INC COM	11/05/09	08/12/10	1,348.62	1,502.69	(154.07) ST
40.0000	EXPRESS SCRIPTS INC COM	06/03/09	07/28/10	1,704.65	1,266.39	438.26 LT
53.0000	EXPRESS SCRIPTS INC COM	06/04/09	07/28/10	2,258.67	1,664.73	593.94 LT
20.0000	FOMENTO ECNMCO MEX SPADR	06/30/06	08/17/10	971.80	560.61	411.19 LT
3.0000	FOMENTO ECNMCO MEX SPADR	05/02/07	08/17/10	145.77	110.92	34.85 LT
8.0000	FOMENTO ECNMCO MEX SPADR	11/26/08	08/17/10	388.73	219.91	168.82 LT
7.0000	FRONTIER COMMUNICATIONS	01/25/07	08/17/10	53.48	67.49	(14.01) LT
27.0000	FRONTIER COMMUNICATIONS	03/26/07	08/17/10	206.31	260.59	(54.28) LT
2.0000	FRONTIER COMMUNICATIONS	05/01/07	08/17/10	15.28	19.79	(4.51) LT
1.0000	FRONTIER COMMUNICATIONS	07/15/08	08/17/10	7.64	9.12	(1.48) LT
6.0000	FRONTIER COMMUNICATIONS	07/22/08	08/17/10	45.84	53.75	(7.91) LT
7.0000	FRONTIER COMMUNICATIONS	03/18/09	08/17/10	53.48	56.11	(2.63) LT
44.0000	FRONTIER COMMUNICATIONS	07/02/09	08/17/10	336.22	344.28	(8.06) LT
15.0000	FRONTIER COMMUNICATIONS	09/22/09	08/17/10	114.63	114.50	0.13 ST
50.0000	LAUDER ESTEE COS INC A	01/21/10	08/12/10	2,927.52	2,657.67	269.85 ST
14.0000	NETFLIX COM INC	04/05/10	08/17/10	1,942.88	1,110.89	831.99 ST
1.0000	NETFLIX COM INC	04/13/10	08/17/10	138.78	84.45	54.33 ST
33.0000	ONYX PHARMACEUTICALS	02/13/09	08/03/10	872.89	1,095.44	(222.55) LT
9.0000	ONYX PHARMACEUTICALS	04/23/09	08/03/10	238.07	234.86	3.21 LT
25.0000	ONYX PHARMACEUTICALS	04/23/09	08/05/10	676.83	652.38	24.45 LT
34.0000	ONYX PHARMACEUTICALS	12/28/09	08/05/10	920.49	1,007.70	(87.21) ST
20.0000	PARKER HANNIFIN CORP	04/13/10	08/25/10	1,181.22	1,391.08	(209.86) ST
23.0000	PARKER HANNIFIN CORP	04/14/10	08/25/10	1,358.40	1,620.21	(261.81) ST
14.0000	PARKER HANNIFIN CORP	04/14/10	08/25/10	826.85	986.68	(159.83) ST
65.0000	PARKER HANNIFIN CORP	04/20/10	08/25/10	3,839.00	4,670.90	(831.90) ST
53.0000	VISA INC CL A SHRS	03/19/08	08/02/10	3,785.33	3,109.24	676.09 LT
27.0000	VISA INC CL A SHRS	09/19/08	08/02/10	1,928.39	1,890.79	37.60 LT
92.0000	ALLSTATE CORP DEL COM	12/22/08	09/03/10	2,701.07	2,748.32	(47.25) LT
78.0000	AMERICAN AXLE&MFG HLDGS	12/09/09	09/08/10	685.61	611.93	73.68 ST
35.0000	A N S INC COM	06/30/06	09/08/10	1,418.53	835.56	582.97 LT
11.0000	AMAZON COM INC COM	09/30/08	09/03/10	1,528.42	759.76	768.66 LT
4.0000	AMAZON COM INC COM	10/09/08	09/03/10	555.79	242.27	313.52 LT
52.0000	ARVINMERITOR INC IND COM	02/25/10	09/08/10	727.99	571.19	156.80 ST



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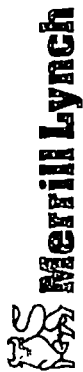
THE ROCKCARES FOUNDATION

EMA Fiscal Statement

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Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
62.0000	AMERICAN MED SYS HLDGS	12/26/07	09/08/10	1,162.48	921.38	241.10 LT
236.0000	AMERICAN MED SYS HLDGS	12/26/07	09/13/10	4,331.63	3,507.21	824.42 LT
64.0000	AMERICAN MED SYS HLDGS	08/30/10	09/13/10	1,174.69	1,247.92	(73.23) ST
44.0000	ALLSCRIPTS HEALTHCARE	08/07/06	09/08/10	771.30	520.82	250.48 LT
2.0000	ALLSCRIPTS HEALTHCARE	05/01/07	09/08/10	35.05	30.98	4.07 LT
31.0000	ALLSCRIPTS HEALTHCARE	04/22/08	09/08/10	543.44	493.06	50.38 LT
145.0000	AT&T INC	07/02/09	09/03/10	3,974.89	3,559.49	415.40 LT
37.0000	AMER EXPRESS COMPANY	02/02/10	09/08/10	1,493.29	1,442.86	50.43 ST
4.0000	APPLE INC	03/01/07	09/03/10	1,029.34	348.61	680.73 LT
1.0000	APPLE INC	05/01/07	09/03/10	257.33	99.48	157.85 LT
1.0000	APPLE INC	05/02/07	09/03/10	257.33	100.41	156.92 LT
5.0000	APPLE INC	07/27/07	09/03/10	1,286.69	732.61	554.08 LT
3.0000	APPLE INC	07/27/07	09/23/10	864.37	439.57	424.80 LT
165.0000	ARCHER DANIELS MIDLD	07/02/09	09/03/10	5,229.34	4,437.30	792.04 LT
25.0000	BROADCOM CORP CALIF CL A	10/28/08	09/08/10	826.49	415.90	410.59 LT
44.0000	BRUKER CORP	06/25/10	09/08/10	581.23	586.55	(5.32) ST
36.0000	BRIGHT EXP INC COM	01/29/10	09/08/10	602.63	501.48	101.15 ST
39.0000	BJS RESTAURANTS INC	02/13/09	09/08/10	1,067.80	466.82	600.98 LT
16.0000	BIOMARIN PHARMACEUTICALS	11/14/08	09/08/10	350.23	277.97	72.26 LT
13.0000	BALLY TECHNOLOGIES INC	10/20/08	09/08/10	438.87	285.81	153.06 LT
80.0000	BAXTER INTERNL INC	07/21/10	09/03/10	3,514.34	3,355.20	159.14 ST
189.0000	COMCAST CORP NEW CL A	02/03/10	09/03/10	3,417.66	3,056.11	361.55 ST
61.0000	CARDINAL HEALTH INC OHIO	07/02/09	09/03/10	1,895.24	1,824.65	70.59 LT
31.0000	COGNIZANT TECH SOLUTNS A	08/04/09	09/03/10	1,924.14	1,025.03	899.11 LT
17.0000	CHOICE HOTELS INTL NEW	08/28/09	09/08/10	597.54	511.71	85.83 LT
24.0000	CHEVRON CORP	06/30/06	09/03/10	1,860.68	1,501.93	358.75 LT
19.0000	CHEVRON CORP	07/13/07	09/03/10	1,473.05	1,774.57	(301.52) LT
18.0000	CASH AMERICAN INTL INC	10/10/08	09/08/10	586.96	590.22	(3.26) LT
12.0000	CASH AMERICAN INTL INC	11/13/08	09/08/10	391.32	336.51	54.81 LT
35.0000	CENTENE CORP	02/08/08	09/08/10	737.44	733.49	3.95 LT
59.0000	CONOCOPHILLIPS	06/30/06	09/03/10	3,239.63	3,884.77	(645.14) LT
18.0000	CONOCOPHILLIPS	05/01/07	09/03/10	988.37	1,245.78	(257.41) LT
3.0000	CONOCOPHILLIPS	05/02/07	09/03/10	164.73	207.78	(43.05) LT
8.0000	CHIPOTLE MEXICAN GRILL	06/04/10	09/08/10	1,323.50	1,187.15	136.35 ST
33.0000	CLOUD PEAK ENERGY INC	08/09/10	09/08/10	557.36	587.85	(30.49) ST
95.0000	CHICOS FAS INC COM	02/24/10	09/08/10	870.19	1,305.99	(435.80) ST
28.0000	COHERENT INC CAL	06/30/06	09/08/10	1,071.54	935.77	135.77 LT
5.0000	CREE INC	10/21/09	09/08/10	260.09	227.98	32.11 ST
19.0000	CUMMINS INC COM	07/27/10	09/03/10	1,584.19	1,530.32	53.87 ST
2.0000	DBS GROUP HLDGS SPN ADR	04/23/07	09/03/10	84.18	109.01	(24.83) LT

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19.0000	DANAHER CORP DEL COM	03/30/10	09/08/10	753.53	764.83	(11.30) ST
90.0000	DEAN FOODS CO NEW	07/20/10	09/08/10	953.08	1,050.73	(97.65) ST
20.0000	DTS INC	03/30/09	09/08/10	748.98	489.80	259.18 LT
17.0000	DTS INC	04/07/09	09/08/10	636.65	382.40	254.25 LT
29.0000	DUFF AND PHELPS CORP NEW	05/13/09	09/08/10	307.68	451.84	(144.16) LT
21.0000	DOLLAR TREE INC	05/20/10	09/08/10	976.90	862.12	114.78 ST
5.0000	DR PEPPER SNAPPLE GROUP	04/01/10	09/08/10	193.04	176.61	16.43 ST
38.0000	DR PEPPER SNAPPLE GROUP	04/05/10	09/08/10	1,467.16	1,352.33	114.83 ST
32.0000	DISCOVERY COMMUNICATN	10/02/09	09/08/10	1,267.18	927.12	340.06 ST
20.0000	DEERE CO	11/05/09	09/08/10	1,368.18	957.79	410.39 ST
133.0000	DU PONT E I DE NEMOURS	07/02/09	09/03/10	5,674.15	3,328.41	2,345.74 LT
15.0000	EXPRESS SCRIPTS INC COM	06/04/09	09/08/10	663.29	471.15	192.14 LT
102.0000	EDISON INTL CALIF	07/02/09	09/03/10	3,543.78	3,162.83	380.95 LT
41.0000	EMS TECHNOLOGIES INC	12/29/09	09/08/10	641.23	587.20	54.03 ST
48.0000	ESPRIT HOLDNG LTD SP ADR	11/23/09	09/13/10	522.07	676.46	(154.39) ST
31.0000	FTI CONSULTING INC COM	07/19/10	09/08/10	1,038.79	1,051.48	(12.69) ST
17.0000	F5 NETWORKS INC COM	02/09/10	09/03/10	1,587.94	846.37	741.57 ST
81.0000	FORD MOTOR CO NEW	02/23/10	09/08/10	959.02	922.21	36.81 ST
10.0000	FMC TECHS INC COM	09/11/09	09/08/10	660.38	509.08	151.30 ST
9.0000	FMC TECHS INC COM	09/11/09	09/08/10	594.36	459.58	134.78 ST
14.0000	FRANKLIN RES INC	08/03/09	09/08/10	1,432.32	1,277.06	155.26 LT
56.0000	GRAFTECH INTL LTD	05/05/09	09/08/10	865.75	554.37	311.38 LT
50.0000	GEO GROUP INC	07/27/06	09/08/10	1,131.98	664.15	467.83 LT
1.0000	GOOGLE INC CL A	04/18/08	09/08/10	468.17	534.95	(66.78) LT
33.0000	GENESCO INC	03/13/08	09/08/10	874.81	695.30	179.51 LT
12.0000	GENESCO INC	04/18/08	09/08/10	318.12	274.99	43.13 LT
14.0000	HERBALIFE LTD	02/12/09	09/08/10	820.39	288.51	531.88 LT
120.0000	HUNTSMAN CORP	12/17/08	09/08/10	1,141.74	365.12	776.62 LT
20.0000	ICON PLC SPONSORED ADR	01/12/07	09/08/10	463.79	388.41	75.38 LT
17.0000	ICON PLC SPONSORED ADR	12/08/08	09/08/10	394.23	373.02	21.21 LT
40.0000	INFORMATICA CORP CA	03/20/09	09/08/10	1,389.58	543.40	846.18 LT
3.0000	INTUITIVE SURGICAL INC	02/05/10	09/08/10	842.15	958.49	(116.34) ST
67.0000	INTEL CORP	07/02/09	09/01/10	1,218.49	1,122.69	95.80 LT
470.0000	INTEL CORP	07/02/09	09/03/10	8,568.57	7,875.55	693.02 LT
26.0000	INTL BUSINESS MACHINES	07/02/09	09/03/10	3,302.46	2,664.60	637.86 LT
25.0000	INTREPID POTASH INC	08/06/10	09/08/10	623.49	641.38	(17.89) ST
39.0000	JOHNSON AND JOHNSON COM	07/02/09	09/03/10	2,292.38	2,188.65	103.73 LT
131.0000	KRAFT FOODS INC VA CL A	07/02/09	09/03/10	4,011.77	3,428.90	582.87 LT
66.0000	KIMBERLY CLARK	07/02/09	09/03/10	4,352.63	3,506.21	846.42 LT
4.0000	LAUDER ESTEE COS INC A	01/21/10	09/08/10	232.91	212.61	20.30 ST



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7 0000	LAUDER ESTEE COS INC A	02/01/10	09/08/10	407.61	373.80	33.81 ST
20 0000	LANDSTAR SYS INC COM	06/30/06	09/08/10	752.59	941.20	(188.61) LT
12 0000	LINCARE HLDGS INC	10/13/08	09/08/10	275.63	211.41	64.22 LT
8 0000	LINCARE HLDGS INC	11/24/08	09/08/10	193.76	117.44	66.32 LT
52 0000	LINCARE HLDGS INC	11/24/08	09/10/10	1,174.79	763.37	411.42 LT
70 0000	LINCARE HLDGS INC	12/08/08	09/10/10	1,581.47	1,127.77	453.70 LT
109 0000	LOWE'S COMPANIES INC	10/07/09	09/03/10	2,388.53	2,206.36	182.17 ST
47 0000	LUFKIN INDS INC	02/12/09	09/03/10	1,906.29	892.05	1,014.24 LT
2 0000	LUFKIN INDS INC	02/12/09	09/08/10	81.99	37.96	44.03 LT
17 0000	MADDEN STEVEN LTD	03/26/10	09/08/10	622.70	559.35	63.35 ST
28 0000	MONSTER WORLDWIDE INC	09/05/08	09/08/10	338.79	529.80	(191.01) LT
121 0000	MARATHON OIL CORP	07/02/09	09/03/10	3,874.77	3,519.94	354.83 LT
23 0000	MEDASSETS INC	04/30/09	09/08/10	470.34	378.94	91.40 LT
75 0000	MERCK AND CO INC SHS	07/02/09	09/03/10	2,663.20	2,054.99	608.21 LT
46 0000	MGIC INVT CORP WIS	04/22/10	09/08/10	394.67	548.71	(154.04) ST
734 0000	MOTOROLA INC COM	07/02/09	09/03/10	5,805.91	4,592.79	1,213.12 LT
36 0000	MYLAN INC	08/17/09	09/08/10	639.70	501.84	137.86 LT
26 0000	MYLAN INC	08/18/09	09/08/10	462.02	363.77	98.25 LT
15 0000	NORTHWEST PIPE CO	02/13/09	09/08/10	244.04	494.07	(250.03) LT
88 0000	NATIONAL-OILWELL VARCO	10/01/09	09/03/10	3,555.14	3,696.23	(141.09) ST
11 0000	NETFLIX COM INC	04/13/10	09/08/10	1,602.23	928.95	673.28 ST
47 0000	NETAPP INC	02/02/10	09/03/10	2,078.77	1,453.34	625.43 ST
5 0000	NETAPP INC	02/02/10	09/08/10	230.34	154.61	75.73 ST
36 0000	NETEZZA CORP	02/01/08	09/08/10	770.39	316.37	454.02 LT
111 0000	NETEZZA CORP	02/01/08	09/27/10	2,999.64	975.47	2,024.17 LT
17 0000	NORTHROP GRUMMAN CORP	07/22/08	09/03/10	980.88	1,118.07	(137.19) LT
20 0000	NORTHROP GRUMMAN CORP	02/19/09	09/03/10	1,153.98	914.76	239.22 LT
20 0000	OYO GEOSPACE CORP	02/07/07	09/08/10	988.78	1,323.17	(334.39) LT
110 0000	ON SEMICONDUCTOR CRP COM	04/29/09	09/08/10	693.30	586.92	106.38 LT
17 0000	OPTIONSPRESS HOLDINGS	11/10/09	09/08/10	254.65	268.69	(14.04) ST
69 0000	ORACLE CORP \$0.01 DEL	05/19/08	09/03/10	1,570.41	1,552.55	17.86 LT
37 0000	ORACLE CORP \$0.01 DEL	05/19/08	09/07/10	894.27	832.53	61.74 LT
9 0000	ORACLE CORP \$0.01 DEL	05/19/08	09/07/10	217.53	201.74	15.79 LT
9 0000	ORACLE CORP \$0.01 DEL	03/19/09	09/07/10	217.53	160.02	57.51 LT
5 0000	PRICELINE COM INC	02/19/09	09/03/10	1,565.57	401.70	1,163.87 LT
1 0000	PRICELINE COM INC	02/19/09	09/08/10	320.65	80.34	240.31 LT
59 0000	PINNACLE ENTMT INC COM	06/03/10	09/08/10	631.29	689.70	(58.41) ST
2 0000	PETROLEO BRAS VTG SPD ADR	10/11/06	09/07/10	73.33	41.54	31.79 LT
25 0000	PETROLEO BRAS VTG SPD ADR	01/22/07	09/07/10	916.74	559.19	357.55 LT
108 0000	PROGRESS ENERGY INC	07/02/09	09/03/10	4 700.46	4,084.30	616.16 LT

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6.0000	PRICE T ROWE GROUP INC	04/22/10	09/08/10	284.27	344.27	(60.00) ST
19.0000	PERRIGO CO	03/24/10	09/08/10	1,112.43	1,084.22	28.21 ST
1.0000	PERRIGO CO	03/24/10	09/08/10	58.55	57.61	0.94 ST
61.0000	PFIZER INC	03/18/09	09/03/10	1,001.90	862.50	139.40 LT
70.0000	PFIZER INC	07/02/09	09/03/10	1,149.72	1,018.50	131.22 LT
75.0000	PFIZER INC	07/08/09	09/03/10	1,231.85	1,098.51	133.34 LT
11.0000	PRECISION CASTPARTS	01/05/10	09/08/10	1,367.50	1,252.35	115.15 ST
14.0000	QUALITY SYSTEMS INC	07/31/06	09/08/10	844.61	472.60	372.01 LT
26.0000	REDWOOD TRUST INC	06/17/09	09/08/10	364.77	375.18	(10.41) LT
12.0000	RADIANT SYSTEMS INC COM	12/31/07	09/08/10	210.23	205.46	4.77 LT
23.0000	RIVERBED TECHNOLOGY INC	01/05/10	09/08/10	946.66	549.02	397.64 ST
39.0000	ROVI CORP	02/18/09	09/03/10	1,707.78	574.65	1,133.13 LT
20.0000	REGAL BELOIT CORP WIS	05/19/09	09/08/10	1,166.98	788.99	377.99 LT
12.0000	REGENERON PHARMACTCLS	07/28/09	09/08/10	293.39	255.32	38.07 LT
23.0000	STARWOOD HOTELS AND	04/22/10	09/08/10	1,151.13	1,239.85	(88.72) ST
7.0000	SALIX PHRMCTCLS LTD COM	04/05/10	09/08/10	284.61	277.19	7.42 ST
34.0000	SEATTLE GENETICS INC COM	08/12/09	09/08/10	416.49	386.98	29.51 LT
13.0000	SALESFORCE COM INC	09/03/09	09/03/10	1,582.96	672.65	890.31 ST
26.0000	SUCCESSFACTORS INC	03/11/10	09/08/10	586.03	528.15	57.88 ST
75.0000	SHUFFLE MASTER INC	03/13/09	09/08/10	628.49	156.48	472.01 LT
34.0000	SOTHEBY'S (DELAWARE)	06/23/09	09/08/10	1,005.70	442.79	562.91 LT
52.0000	STARBUCKS CORP	08/03/09	09/08/10	1,300.50	943.11	357.39 LT
38.0000	TERADYNE INC	07/14/09	09/08/10	356.43	263.40	93.03 LT
48.0000	TITANIUM METALS CORP NEW	02/23/10	09/08/10	932.14	568.52	363.62 ST
42.0000	THORATEC CORP COM NEW	12/28/07	09/08/10	1,404.14	789.89	634.25 LT
13.0000	TRIUMPH GROUP INC NEW	04/15/10	09/08/10	929.87	930.85	(10.98) ST
27.0000	TIBCO SOFTWARE INC	09/19/07	09/08/10	413.09	199.43	213.66 LT
24.0000	TIBCO SOFTWARE INC	12/28/07	09/08/10	367.20	192.83	174.37 LT
21.0000	TENNECO INC DEL	05/24/10	09/08/10	545.15	448.22	96.93 ST
8.0000	3M COMPANY	08/07/09	09/08/10	667.11	584.08	83.03 LT
52.0000	TRAVELERS COS INC	12/22/08	09/03/10	2,642.07	2,197.57	444.50 LT
11.0000	TRAVELERS COS INC	03/18/09	09/03/10	558.91	433.79	125.12 LT
4.0000	UMB FINANCIAL CORP	05/12/09	09/08/10	133.71	187.86	(54.15) LT
11.0000	UNITED PARCEL SVC CL B	01/08/10	09/08/10	745.79	660.89	84.90 ST
29.0000	UNIVERSAL ELECTRONICS INC	02/22/08	09/08/10	582.02	560.95	21.07 LT
23.0000	VITAL IMAGES INC IOWA	02/22/07	09/08/10	293.70	811.88	(518.18) LT
15.0000	VEECO INSTRUMENTS INC	02/01/10	09/08/10	522.44	493.72	28.72 ST
38.0000	VARIAN SEMICNDCTR EQ ASC	01/09/09	09/08/10	949.09	738.06	211.54 LT
31.0000	VERIZON COMMUNICATNS COM	01/25/07	09/03/10	932.49	1,085.15	(152.66) LT
82.0000	VERIZON COMMUNICATNS COM	03/26/07	09/03/10	2,466.61	2,873.77	(407.16) LT





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12,000	VMWARE, INC.	07/21/10	09/08/10	1,006.54	883.25	123.29 ST
14,000	VISA INC CL A SHRS	09/19/08	09/08/10	976.76	980.41	(3.65) LT
7,000	VISA INC CL A SHRS	09/19/08	09/09/10	463.07	490.20	(27.13) LT
31,000	VISA INC CL A SHRS	06/05/09	09/09/10	2,050.76	2,182.81	(132.05) LT
46,000	VISA INC CL A SHRS	10/28/09	09/09/10	3,043.07	3,534.70	(491.63) ST
31,000	VISA INC CL A SHRS	06/10/10	09/09/10	2,050.78	2,364.96	(314.18) ST
36,000	VITAMIN SHOPPE INC	10/29/09	09/08/10	905.74	673.98	231.76 ST
25,000	WASTE CONNECTIONS INC	06/30/06	09/08/10	972.73	605.00	367.73 LT
16,000	WABCO HOLDINGS INC	06/22/10	09/08/10	624.31	560.82	63.49 ST
85,000	WILLIAMS COMPANIES DEL	03/26/10	09/03/10	1,635.37	1,933.94	(298.57) ST
355,000	XEROX CORP	07/02/09	09/03/10	3,235.67	2,308.96	926.71 LT
108,000	WASTE MANAGEMENT INC NEW	07/02/09	09/03/10	3,683.12	2,991.09	692.03 LT
4,000,000	ANHEUSER-BUSCH CO 6.45% 37	10/16/08	10/07/10	4,720.00	2,813.84	1,906.16 LT
3,000,000	TIME WARNER INC 7.62% 2031	07/13/06	10/07/10	3,738.75	3,173.08	565.67 LT
3,000,000	CITIGROUP INC 5.00% 2014	09/20/07	10/07/10	3,143.31	2,877.18	266.13 LT
2,000,000	CITIGROUP INC 5.00% 2014	09/13/10	10/07/10	2,095.55	2,063.87	31.68 ST
3,000,000	CISCO SYSTEMS INC 5.50% 16	06/23/09	10/07/10	3,555.48	3,165.08	390.40 LT
1,000,000	CISCO SYSTEMS INC 5.50% 16	09/13/10	10/07/10	1,185.16	1,171.03	14.13 ST
3,000,000	COMCAST CORP 6.50% JAN15 17	06/05/08	10/07/10	3,577.50	3,094.96	482.54 LT
1,000,000	COMCAST CORP 6.50% JAN15 17	09/13/10	10/07/10	1,192.50	1,180.53	11.97 ST
3,000,000	CAP ONE FINCL CO 6.15% 16	02/09/10	10/07/10	3,321.30	3,059.56	261.74 ST
2,000,000	CAP ONE FINCL CO 6.15% 16	09/13/10	10/07/10	2,214.20	2,170.55	43.65 ST
3,000,000	CONOCOPHILLIPS 5.62% 2016	03/27/09	10/07/10	3,530.55	3,087.85	442.70 LT
3,000,000	COCO-COLA ENTERPR 6.12% 11	11/25/08	10/07/10	3,127.50	3,045.36	82.14 LT
2,000,000	DUKE ENERGY CORP 5.62% 12	07/13/06	10/07/10	2,185.60	1,986.47	199.13 LT
1,000,000	DUKE ENERGY CORP 5.62% 12	06/28/07	10/07/10	1,092.80	1,002.31	90.49 LT
3,000,000	ENERGY TRANSFER P 5.95% 15	06/18/08	10/07/10	3,346.50	2,934.57	411.93 LT
4,000,000	ERP OPERATING LP 5.12% 16	03/08/07	10/07/10	4,360.00	3,950.08	409.92 LT
1,000,000	ERP OPERATING LP 5.12% 16	06/28/07	10/07/10	1,090.00	942.43	147.57 LT
4,000,000	EMBARQ CORP 7.99% JUN01 36	11/02/06	10/07/10	4,330.00	4,262.54	67.46 LT
1,000,000	EMBARQ CORP 7.99% JUN01 36	06/28/07	10/07/10	1,082.50	1,009.41	73.09 LT
2,000,000	JP MORGAN CHASE 5.15% 2015	11/02/06	10/07/10	2,203.48	1,965.33	238.15 LT
1,000,000	JP MORGAN CHASE 5.15% 2015	06/28/07	10/07/10	1,101.74	948.99	152.75 LT
1,000,000	JP MORGAN CHASE 5.15% 2015	09/13/10	10/07/10	1,101.74	1,081.77	19.97 ST
3,000,000	KELLOGG CO 6.60% APR01 11	06/10/08	10/08/10	3,075.81	3,025.53	50.28 LT
1,000,000	KELLOGG CO 6.60% APR01 11	09/13/10	10/08/10	1,025.27	1,029.10	(3.83) ST
2,000,000	MORGAN STANLEY 4.75% 2014	07/13/06	10/07/10	2,092.60	1,840.12	252.48 LT
1,000,000	MORGAN STANLEY 4.75% 2014	06/28/07	10/07/10	1,046.29	930.36	115.93 LT
2,000,000	MORGAN STANLEY 4.75% 2014	09/13/10	10/07/10	2,092.61	2,059.04	33.57 ST
2,000,000	MARATHON OIL CORP 6.60% 37	05/07/10	10/07/10	2,317.84	2,178.75	139.09 ST



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2,000.0000	MARATHON OIL CORP 6.60% 37	09/13/10	10/07/10	2,317.84	2,323.20	(5.36) ST
2,000.0000	ONEOK PARTNERS 6.15% 2016	12/08/06	10/07/10	2,310.00	2,041.70	268.30 LT
1,000.0000	ONEOK PARTNERS 6.15% 2016	06/28/07	10/07/10	1,155.00	996.47	158.53 LT
1,000.0000	ONEOK PARTNERS 6.15% 2016	09/14/10	10/07/10	1,155.00	1,170.47	(15.47) ST
3,000.0000	PACIFIC GAS & ELE 4.80% 14	03/30/09	10/07/10	3,314.16	3,003.83	310.33 LT
1,000.0000	PACIFIC GAS & ELE 4.80% 14	09/13/10	10/07/10	1,104.72	1,097.90	6.82 ST
4,000.0000	VIAACOM INC 6.87% APR 30 36	10/02/08	10/07/10	4,710.00	3,114.28	1,595.72 LT
2,000.0000	VODAFONE GROUP PL 6.15% 37	03/02/07	10/07/10	2,355.00	1,990.47	364.53 LT
1,000.0000	VODAFONE GROUP PL 6.15% 37	06/28/07	10/07/10	1,177.50	927.26	250.24 LT
1,000.0000	VODAFONE GROUP PL 6.15% 37	09/13/10	10/07/10	1,177.50	1,137.32	40.18 ST
3,000.0000	SBC COMMUN INC 5.87% 2012	12/16/08	10/07/10	3,266.40	3,007.32	259.08 LT
1,000.0000	SBC COMMUN INC 5.87% 2012	09/13/10	10/07/10	1,088.80	1,085.80	3.00 ST
4,000.0000	UNITEDHEALTH GROU 5.80% 36	06/23/09	10/07/10	4,175.00	3,213.36	961.64 LT
3,000.0000	XEROX CORPORATION 6.35% 18	09/29/09	10/07/10	3,556.50	3,115.71	440.79 LT
1,000.0000	XEROX CORPORATION 6.35% 18	09/13/10	10/07/10	1,185.50	1,142.94	42.56 ST
3,000.0000	GOLDMAN SACHS GRO 5.15% 14	06/05/08	10/07/10	3,274.14	2,972.16	301.98 LT
1,000.0000	GOLDMAN SACHS GRO 5.15% 14	09/13/10	10/07/10	1,091.38	1,080.32	11.06 ST
4,000.0000	TOTAL CAPITAL SA 3.00% 15	06/21/10	10/07/10	4,201.48	3,999.28	202.20 ST
2,000.0000	FEDERAL HOME LN MTG CORP	07/13/06	10/07/10	2,322.12	1,890.03	432.09 LT
1,000.0000	FEDERAL HOME LN MTG CORP	06/28/07	10/07/10	1,161.06	953.41	207.65 LT
3,000.0000	CATERPILLAR FIN SERV CRP	12/05/07	10/07/10	3,247.74	3,006.24	241.50 LT
1,000.0000	CATERPILLAR FIN SERV CRP	09/13/10	10/07/10	1,082.58	1,078.45	4.13 ST
15,000.0000	U.S. TREASURY STRIP PRN	12/13/07	10/07/10	12,562.94	10,425.59	2,137.35 LT
3,000.0000	U.S. TREASURY STRIP PRN	09/13/10	10/07/10	2,512.60	2,449.03	63.57 ST
1,000.0000	U.S. TREASURY BOND	03/03/08	10/07/10	1,260.70	1,103.24	157.46 LT
1,000.0000	U.S. TREASURY BOND	09/13/10	10/07/10	1,260.71	1,226.70	34.01 ST
4,000.0000	US TSY 4.375% FEB 15 2038	02/04/09	10/07/10	4,490.00	4,461.98	28.02 LT
4,000.0000	US TSY 4.375% FEB 15 2038	04/08/10	10/07/10	4,490.00	3,782.05	707.95 ST
2,000.0000	US TSY 4.375% FEB 15 2038	09/13/10	10/07/10	2,245.00	2,196.61	48.39 ST
30,000.0000	US TSY 0.750% NOV 30 2011	12/10/09	10/07/10	30,167.57	29,976.57	191.00 ST
3,000.0000	US TSY 0.750% NOV 30 2011	02/05/10	10/07/10	3,016.75	3,002.31	14.44 ST
7,000.0000	US TSY 0.750% NOV 30 2011	07/01/10	10/07/10	7,039.10	7,020.84	18.26 ST
11,000.0000	US TSY 0.750% NOV 30 2011	09/13/10	10/07/10	11,061.46	11,049.99	11.47 ST
4,000.0000	GENERAL ELEC CAP CORP	07/23/08	10/07/10	4,528.00	3,864.36	N/C
2,000.0000	GENERAL ELEC CAP CORP	10/19/09	10/07/10	2,264.00	2,038.40	225.60 ST
2,000.0000	GENERAL ELEC CAP CORP	09/13/10	10/07/10	2,264.00	2,213.97	50.03 ST
3,000.0000	JOHN DEERE CAPITAL CORP	04/02/08	10/07/10	3,243.00	2,995.47	N/C
3,000.0000	AMERICAN EXPRESS	12/19/08	10/07/10	3,303.63	2,888.13	N/C
1,000.0000	AMERICAN EXPRESS	09/13/10	10/07/10	1,101.21	1,095.32	5.89 ST
3,000.0000	MCDONALD'S CORP	01/14/09	10/07/10	3,483.15	3,079.21	403.94 LT



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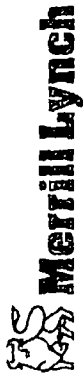
THE ROCKCARES FOUNDATION

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1,000.0000	MCDONALD'S CORP	09/13/10	10/07/10	1,161.05	1,153.63	7.42 ST
28.0000	ATLAS COPCO A ADR NEW	09/29/09	10/08/10	530.59	306.87	223.72 LT
24.0000	AMERICAN AXLE&MFG HLDGS	12/09/08	10/08/10	209.75	188.28	21.47 ST
22.0000	ABB LTD SPON ADR	04/05/07	10/08/10	476.07	394.76	81.31 LT
7.0000	A N S Y S INC COM	06/30/06	10/08/10	284.68	167.11	117.57 LT
9.0000	AXA -SPONS ADR	06/30/06	10/08/10	167.57	289.44	(121.87) LT
3.0000	ATLAS AIR WORLDWIDE HLD	09/21/10	10/08/10	157.76	160.60	(2.84) ST
21.0000	ARVINMERITOR INC IND COM	02/25/10	10/08/10	334.52	230.67	103.85 ST
25.0000	ALLIANZ SE SPD ADR	05/15/07	10/08/10	294.49	550.39	(255.90) LT
16.0000	ALLSCRIPTS HEALTHCARE	04/22/08	10/08/10	291.99	254.48	37.51 LT
8.0000	AMERICA MOVIL SAB DE CV	02/21/08	10/08/10	437.03	488.89	(51.86) LT
6.0000	ANHEUSER-BUSCH INBEV ADR	10/16/09	10/08/10	366.65	298.31	68.34 ST
9.0000	APPLE INC	07/27/07	10/18/10	2,837.30	1,318.70	1,518.60 LT
19.0000	APPLE INC	11/13/07	10/18/10	5,989.87	3,171.69	2,818.18 LT
9.0000	APPLE INC	01/17/08	10/18/10	2,837.30	1,450.86	1,386.44 LT
21.0000	APPLE INC	09/19/08	10/18/10	6,620.38	2,960.12	3,660.26 LT
9.0000	APPLE INC	10/23/08	10/18/10	2,837.31	879.65	1,957.66 LT
8.0000	APPLE INC	07/19/10	10/18/10	2,522.05	1,956.61	565.44 ST
27.0000	APPLE INC	10/08/10	10/18/10	8,511.94	7,837.83	674.11 ST
21.0000	BANCO BILBAO VIZCAYA	06/30/06	10/08/10	284.96	426.08	(141.12) LT
2.0000	BAYER AG SP ADR	03/18/08	10/08/10	149.49	157.41	(7.92) LT
3.0000	BRITISH AMN TOBACO SPADR	06/30/06	10/08/10	227.15	151.50	75.65 LT
27.0000	BROADCOM CORP CALIF CL A	10/28/08	10/18/10	1,002.29	449.18	553.11 LT
77.0000	BROADCOM CORP CALIF CL A	03/04/09	10/18/10	2,858.41	1,278.16	1,580.25 LT
47.0000	BROADCOM CORP CALIF CL A	03/13/09	10/18/10	1,744.74	888.56	856.18 LT
90.0000	BROADCOM CORP CALIF CL A	04/21/09	10/18/10	3,341.00	1,789.31	1,551.69 LT
84.0000	BROADCOM CORP CALIF CL A	10/08/10	10/18/10	3,118.27	2,935.80	182.47 ST
12.0000	BRUKER CORP	06/25/10	10/08/10	173.63	159.97	13.66 ST
8.0000	BRIGHAM EXPL INC COM	01/29/10	10/08/10	168.23	111.44	56.79 ST
10.0000	BJS RESTAURANTS INC	02/13/09	10/08/10	287.59	119.70	167.89 LT
23.0000	BARCLAYS PLC ADR	08/30/06	10/08/10	434.46	1,055.24	(620.78) LT
5.0000	BP PLC SPON ADR	03/17/10	10/08/10	207.44	291.58	(84.14) ST
7.0000	BIOMARIN PHARMACEUTICALS	11/14/08	10/08/10	153.92	121.61	32.31 LT
5.0000	BG GROUP PLC SPON ADR	06/30/06	10/08/10	457.39	335.00	122.39 LT
6.0000	BNP PARIBAS SPONSORD ADR	06/30/06	10/08/10	219.95	281.91	(61.96) LT
7.0000	BHP BILLITON LTD ADR	11/13/08	10/08/10	566.08	240.35	325.73 LT
5.0000	BALLY TECHNOLOGIES INC	10/20/08	10/08/10	171.49	109.93	61.56 LT
8.0000	COGNIZANT TECH SOLUTNS A	08/04/09	10/18/10	531.10	264.52	266.58 LT
106.0000	COGNIZANT TECH SOLUTNS A	08/04/09	10/18/10	7,037.18	3,536.13	3,501.05 LT
34.0000	COGNIZANT TECH SOLUTNS A	08/31/09	10/18/10	2,257.20	1,177.03	1,080.17 LT





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39.0000	COGNIZANT TECH SOLUTNS A	09/01/09	10/18/10	2,589.15	1,358.22	1,230.93 LT
84.0000	COGNIZANT TECH SOLUTNS A	08/03/10	10/18/10	5,576.63	5,252.75	323.88 ST
151.0000	COGNIZANT TECH SOLUTNS A	10/08/10	10/18/10	10,024.68	9,604.36	420.32 ST
7.0000	CREDIT SUISSE GP SP ADR	06/05/09	10/08/10	307.43	319.96	(12.53) LT
4.0000	CHOICE HOTELS INTL NEW	08/28/09	10/08/10	147.15	120.40	26.75 LT
7.0000	CANON INC ADR REPSSH	06/30/06	10/08/10	324.37	343.28	(18.91) LT
1.0000	CNOOC LTD ADR	09/21/09	10/08/10	206.06	137.87	68.19 LT
3.0000	CHINA LIFE INS CO SP ADR	03/05/09	10/08/10	193.25	125.89	67.36 LT
1.0000	CASH AMERICAN INTL INC	11/13/08	10/08/10	35.51	28.04	7.47 LT
7.0000	CASH AMERICAN INTL INC	01/28/09	10/08/10	248.64	132.00	116.64 LT
10.0000	CENTENE CORP	02/08/08	10/08/10	230.29	209.57	20.72 LT
14.0000	CHIPOTLE MEXICAN GRILL	06/04/10	09/28/10	2,421.74	2,077.52	344.22 ST
18.0000	CHIPOTLE MEXICAN GRILL	06/04/10	10/18/10	3,300.14	2,671.09	629.05 ST
15.0000	CHIPOTLE MEXICAN GRILL	06/08/10	10/18/10	2,750.12	2,098.02	652.10 ST
12.0000	CHIPOTLE MEXICAN GRILL	07/01/10	10/18/10	2,200.09	1,659.82	540.27 ST
20.0000	CHIPOTLE MEXICAN GRILL	09/01/10	10/18/10	3,666.83	3,157.07	509.76 ST
23.0000	CHIPOTLE MEXICAN GRILL	10/08/10	10/18/10	4,216.87	4,036.04	180.83 ST
6.0000	CLOUD PEAK ENERGY INC	08/09/10	10/08/10	110.15	106.88	3.27 ST
16.0000	CHICOS FAS INC COM	02/24/10	10/08/10	168.47	219.96	(51.49) ST
6.0000	COHERENT INC CAL	06/30/06	10/08/10	240.47	200.52	39.95 LT
84.0000	CREE INC	10/21/09	10/18/10	4,668.04	3,830.03	838.01 ST
26.0000	CREE INC	11/17/09	10/18/10	1,444.87	1,230.73	214.14 ST
35.0000	CREE INC	01/20/10	10/18/10	1,945.02	2,182.69	(237.67) ST
14.0000	CREE INC	04/21/10	10/18/10	778.00	1,074.24	(296.24) ST
32.0000	CREE INC	08/17/10	10/18/10	1,778.30	1,866.00	(87.70) ST
58.0000	CREE INC	10/08/10	10/18/10	3,223.19	2,962.64	260.55 ST
95.0000	CUMMINS INC COM	07/27/10	10/18/10	8,674.37	7,651.58	1,022.79 ST
87.0000	CUMMINS INC COM	09/01/10	10/18/10	7,943.91	6,931.75	1,012.16 ST
73.0000	CUMMINS INC COM	10/08/10	10/18/10	6,665.58	6,710.89	(45.31) ST
5.0000	DBS GROUP HLDGS SPN ADR	04/23/07	10/08/10	219.74	272.53	(52.79) LT
163.0000	DANAHER CORP DEL COM	03/30/10	10/18/10	6,820.87	6,561.43	(259.44) ST
42.0000	DANAHER CORP DEL COM	04/22/10	10/18/10	1,757.52	1,748.60	8.92 ST
73.0000	DANAHER CORP DEL COM	10/08/10	10/18/10	3,054.76	2,982.05	72.71 ST
20.0000	DEAN FOODS CO NEW	07/20/10	10/08/10	212.39	233.50	(21.11) ST
9.0000	DTS INC	04/07/09	10/08/10	351.17	202.45	148.72 LT
7.0000	DUFF AND PHELPS CORP NEW	05/13/09	10/08/10	94.42	109.06	(14.64) LT
87.0000	DOLLAR TREE INC	05/20/10	10/18/10	4,370.16	3,571.63	798.53 ST
45.0000	DOLLAR TREE INC	05/25/10	10/18/10	2,260.43	1,870.82	389.61 ST
36.0000	DOLLAR TREE INC	07/02/10	10/18/10	1,808.35	1,550.38	257.97 ST
82.0000	DOLLAR TREE INC	07/02/10	10/19/10	4,171.07	3,531.42	639.65 ST



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90.0000	DOLLAR TREE INC	10/08/10	10/19/10	4,578.02	4,439.70	138.32 ST
72.0000	DR PEPPER SNAPPLE GROUP	04/05/10	10/18/10	2,481.97	2,562.32	(80.35) ST
89.0000	DR PEPPER SNAPPLE GROUP	05/06/10	10/18/10	3,087.99	3,136.25	(68.26) ST
65.0000	DR PEPPER SNAPPLE GROUP	05/20/10	10/18/10	2,240.67	2,386.53	(145.86) ST
32.0000	DR PEPPER SNAPPLE GROUP	06/08/10	10/18/10	1,103.10	1,170.45	(67.35) ST
52.0000	DR PEPPER SNAPPLE GROUP	06/08/10	10/19/10	1,768.86	1,901.98	(133.12) ST
54.0000	DR PEPPER SNAPPLE GROUP	07/01/10	10/19/10	1,836.89	2,014.86	(177.97) ST
131.0000	DR PEPPER SNAPPLE GROUP	10/08/10	10/19/10	4,456.19	4,491.34	(35.15) ST
22.0000	DISCOVERY COMMUNICATN	10/02/09	10/18/10	951.30	637.39	313.91 LT
25.0000	DISCOVERY COMMUNICATN	10/05/09	10/18/10	1,031.03	734.86	346.17 LT
19.0000	DISCOVERY COMMUNICATN	10/06/09	10/18/10	821.58	571.62	249.96 LT
6.0000	DISCOVERY COMMUNICATN	10/06/09	10/18/10	259.44	180.23	79.21 LT
10.0000	DISCOVERY COMMUNICATN	10/07/09	10/18/10	432.41	301.35	131.06 LT
12.0000	DISCOVERY COMMUNICATN	10/07/09	10/18/10	518.89	360.70	158.19 LT
54.0000	DISCOVERY COMMUNICATN	10/08/09	10/18/10	2,335.05	1,664.37	670.68 LT
2.0000	DISCOVERY COMMUNICATN	07/01/10	10/18/10	86.49	71.58	14.91 ST
115.0000	DISCOVERY COMMUNICATN	07/01/10	10/19/10	4,931.84	4,115.65	816.19 ST
97.0000	DISCOVERY COMMUNICATN	10/08/10	10/19/10	4,159.91	4,187.49	(27.58) ST
50.0000	DEERE CO	11/05/09	10/18/10	3,793.64	2,394.48	1,399.16 ST
20.0000	DEERE CO	11/06/09	10/18/10	1,517.45	958.06	559.39 ST
42.0000	DEERE CO	12/03/09	10/18/10	3,186.66	2,285.19	901.47 ST
38.0000	DEERE CO	12/07/09	10/18/10	2,893.17	2,052.95	830.22 ST
60.0000	DEERE CO	07/09/10	10/18/10	4,552.38	3,495.67	1,056.71 ST
62.0000	DEERE CO	10/08/10	10/18/10	4,704.13	4,654.96	49.17 ST
14.0000	EXPRESS SCRIPTS INC COM	06/04/09	10/18/10	672.94	439.74	233.20 LT
90.0000	EXPRESS SCRIPTS INC COM	06/05/09	10/18/10	4,326.10	2,844.04	1,482.06 LT
67.0000	EXPRESS SCRIPTS INC COM	06/09/10	10/18/10	3,220.54	3,399.30	(178.76) ST
60.0000	EXPRESS SCRIPTS INC COM	10/08/10	10/18/10	2,884.08	2,868.60	15.48 ST
8.0000	EMS TECHNOLOGIES INC	12/29/09	10/08/10	150.95	114.58	36.37 ST
10.0000	E.ON AG ADR	06/30/06	10/08/10	294.99	383.70	(88.71) LT
6.0000	E.ON AG ADR	06/30/06	10/22/10	186.39	230.22	(43.83) LT
20.0000	E.ON AG ADR	06/30/06	10/25/10	628.30	767.41	(139.11) LT
2.0000	ESPRIT HOLDNG LTD SP ADR	11/23/09	10/08/10	22.83	27.61	(4.78) ST
11.0000	ESPRIT HOLDNG LTD SP ADR	11/24/09	10/08/10	125.62	152.71	(27.09) ST
16.0000	ESPRIT HOLDNG LTD SP ADR	11/24/09	10/22/10	180.90	222.13	(41.23) ST
60.0000	ESPRIT HOLDNG LTD SP ADR	11/25/09	10/22/10	678.39	863.03	(184.64) ST
38.0000	ESPRIT HOLDNG LTD SP ADR	02/03/10	10/22/10	429.65	564.30	(134.65) ST
8.0000	FTI CONSULTING INC COM	07/19/10	10/08/10	274.47	271.35	3.12 ST
15.0000	F5 NETWORKS INC COM	02/09/10	10/18/10	1,389.04	746.79	642.25 ST
43.0000	F5 NETWORKS INC COM	02/10/10	10/18/10	3,981.93	2,146.84	1,835.09 ST



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EMA Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
43.0000	F5 NETWORKS INC COM	02/11/10	10/18/10	3,981.93	2,254.12	1,727.81 ST
4.0000	F5 NETWORKS INC COM	04/22/10	10/18/10	370.41	277.73	92.68 ST
34.0000	F5 NETWORKS INC COM	05/05/10	10/18/10	3,148.50	2,366.57	781.93 ST
66.0000	F5 NETWORKS INC COM	10/08/10	10/18/10	6,111.81	6,007.32	104.49 ST
331.0000	FORD MOTOR CO NEW	02/23/10	10/18/10	4,572.88	3,768.53	804.15 ST
244.0000	FORD MOTOR CO NEW	03/22/10	10/18/10	3,370.80	3,333.55	37.25 ST
106.0000	FORD MOTOR CO NEW	03/31/10	10/18/10	1,464.36	1,355.25	109.11 ST
122.0000	FORD MOTOR CO NEW	04/19/10	10/18/10	1,685.40	1,649.90	35.50 ST
178.0000	FORD MOTOR CO NEW	09/23/10	10/18/10	2,459.03	2,198.02	261.01 ST
346.0000	FORD MOTOR CO NEW	10/08/10	10/18/10	4,779.93	4,638.13	141.80 ST
14.0000	FMC TECHS INC COM	09/11/09	10/18/10	1,007.51	714.91	292.60 LT
21.0000	FMC TECHS INC COM	09/14/09	10/18/10	1,511.27	1,100.57	410.70 LT
32.0000	FMC TECHS INC COM	09/15/09	10/18/10	2,302.90	1,711.47	591.43 LT
32.0000	FMC TECHS INC COM	09/16/09	10/18/10	2,302.90	1,739.51	563.39 LT
14.0000	FMC TECHS INC COM	04/29/10	10/18/10	1,007.53	1,026.35	(18.82) ST
22.0000	FMC TECHS INC COM	04/29/10	10/19/10	1,554.67	1,612.83	(58.16) ST
42.0000	FMC TECHS INC COM	09/23/10	10/19/10	2,969.02	2,755.76	212.26 ST
64.0000	FMC TECHS INC COM	10/08/10	10/19/10	4,522.70	4,400.00	122.70 ST
2.0000	FRANKLIN RES INC	08/03/09	10/18/10	233.14	182.44	50.70 LT
42.0000	FRANKLIN RES INC	08/18/09	10/18/10	4,896.04	3,900.47	995.57 LT
30.0000	FRANKLIN RES INC	08/02/10	10/18/10	3,497.18	3,115.22	381.96 ST
4.0000	GLAXOSMITHKLINE PLC ADR	06/30/06	10/08/10	165.83	223.88	(58.05) LT
12.0000	GRAFTECH INTL LTD	05/05/09	10/08/10	197.03	118.79	78.24 LT
13.0000	GEO GROUP INC	07/27/06	10/08/10	324.34	172.68	151.66 LT
10.0000	GDF SUEZ ADR	06/30/08	10/08/10	375.59	677.39	(301.80) LT
11.0000	GENESCO INC	04/18/08	10/08/10	343.08	252.08	91.00 LT
12.0000	HSBC HLDG PLC SP ADR	06/30/06	10/08/10	627.59	1,052.84	(435.25) LT
46.0000	HUNTSMAN CORP	12/17/08	10/08/10	536.81	139.96	396.85 LT
9.0000	HONDA MOTOR ADR NEW	06/30/06	10/08/10	326.78	286.17	40.61 LT
4.0000	IMPERIAL TOB GRP SPS ADR	09/28/09	10/08/10	240.39	230.51	9.88 LT
7.0000	ICON PLC SPONSORED ADR	12/08/08	10/08/10	148.32	153.60	(5.28) LT
43.0000	ICON PLC SPONSORED ADR	12/08/08	10/20/10	899.45	943.51	(44.06) LT
18.0000	ICON PLC SPONSORED ADR	06/16/09	10/20/10	376.52	365.67	(9.15) LT
10.0000	INFORMATICA CORP CA	03/20/09	10/08/10	361.89	135.85	226.04 LT
14.0000	INTUITIVE SURGICAL INC	02/05/10	10/18/10	3,989.27	4,472.98	(483.71) ST
11.0000	INTUITIVE SURGICAL INC	07/09/10	10/18/10	3,134.43	3,522.93	(388.50) ST
9.0000	INTUITIVE SURGICAL INC	10/08/10	10/18/10	2,564.54	2,592.54	(28.00) ST
9.0000	INTREPID POTASH INC	08/06/10	10/08/10	263.33	230.90	32.43 ST
25.0000	ING GP NV SP5D ADR	06/30/06	10/08/10	269.24	960.00	(710.76) LT
7.0000	KOMATSU NEW NEW SP5DADR	10/19/07	10/08/10	160.64	230.74	(70.10) LT



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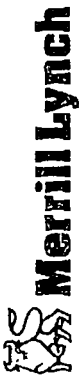
THE ROCKCARES FOUNDATION



Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
2 0000	KUBOTA CORP ADR	10/02/09	10/08/10	93.81	80.47	13.34 LT
18 0000	LVMH MOET HENNESSY ADR	02/06/09	10/08/10	548.27	230.50	317.77 LT
8 0000	LVMH MOET HENNESSY ADR	02/06/09	10/22/10	251.34	102.45	148.89 LT
22 0000	LVMH MOET HENNESSY ADR	02/06/09	10/25/10	717.53	281.73	435.80 LT
15 0000	LAUDER ESTEE COS INC A	02/01/10	10/18/10	1,006.15	800.99	205.16 ST
67 0000	LAUDER ESTEE COS INC A	07/09/10	10/18/10	4,494.13	4,178.30	315.83 ST
29 0000	LAUDER ESTEE COS INC A	10/08/10	10/18/10	1,945.23	1,867.89	77.34 ST
14 0000	LAFARGE ADR NEW	06/30/06	10/08/10	197.67	416.10	(218.43) LT
5 0000	LANDSTAR SYS INC COM	06/30/06	10/08/10	188.89	235.30	(46.41) LT
7 0000	LUFKIN INDS INC	02/12/09	10/08/10	308.76	132.86	175.90 LT
12 0000	MARKS AND SPENCER GP ADR	06/08/09	10/08/10	155.75	109.05	46.70 LT
4 0000	MADDEN STEVEN LTD	03/26/10	10/08/10	172.63	131.61	41.02 ST
9 0000	MITSUBISHI CP SPNSRD ADR	06/30/06	10/08/10	444.59	364.50	80.09 LT
53 0000	MITSUBISHI UFJ FINL GRP	06/30/06	10/08/10	261.28	739.35	(478.07) LT
7 0000	MEDASSETS INC	04/30/09	10/08/10	145.10	115.33	29.77 LT
131 0000	MYLAN INC	08/18/09	10/18/10	2,480.12	1,832.83	647.29 LT
143 0000	MYLAN INC	08/19/09	10/18/10	2,707.31	2,009.76	697.55 LT
87 0000	MYLAN INC	09/10/09	10/18/10	1,647.11	1,309.31	337.80 LT
114 0000	MYLAN INC	09/23/09	10/18/10	2,158.28	1,853.78	304.50 LT
168 0000	MYLAN INC	10/08/10	10/18/10	3,180.63	3,109.66	70.97 ST
4 0000	NINTENDO LTD ADR	09/18/08	10/08/10	127.43	213.40	(85.97) LT
5 0000	NORTHWEST PIPE CO	02/13/09	10/08/10	89.99	164.69	(74.70) LT
6 0000	NOVARTIS ADR	06/30/06	10/08/10	348.71	323.64	25.07 LT
18 0000	NETFLIX COM INC	04/13/10	09/28/10	2,931.56	1,520.10	1,411.46 ST
5 0000	NETFLIX COM INC	04/13/10	10/18/10	763.12	422.25	340.87 ST
28 0000	NETFLIX COM INC	04/22/10	10/18/10	4,273.51	2,859.38	1,414.13 ST
51 0000	NETFLIX COM INC	07/01/10	10/18/10	7,783.90	5,362.66	2,221.24 ST
29 0000	NETFLIX COM INC	10/08/10	10/18/10	4,426.14	4,941.30	(515.16) ST
5 0000	NETLOGIC MICROSYSTEMS	09/27/10	10/08/10	132.64	137.89	(5.25) ST
54 0000	NETAPP INC	02/02/10	10/18/10	2,703.36	1,689.80	1,033.56 ST
80 0000	NETAPP INC	02/05/10	10/18/10	4,004.98	2,389.68	1,615.30 ST
56 0000	NETAPP INC	02/24/10	10/18/10	2,803.48	1,677.52	1,125.96 ST
104 0000	NETAPP INC	05/27/10	10/18/10	5,206.47	3,831.97	1,374.50 ST
85 0000	NETAPP INC	07/09/10	10/18/10	4,255.29	3,268.85	986.44 ST
153 0000	NETAPP INC	10/08/10	10/18/10	7,659.54	7,209.45	450.09 ST
5 0000	NETEZZA CORP	02/01/08	10/08/10	134.74	43.94	90.80 LT
95 0000	NETEZZA CORP	02/01/08	10/18/10	2,555.47	834.86	1,720.61 LT
7 0000	NESTLE S A REP RG SH ADR	06/30/06	10/08/10	381.07	219.42	161.65 LT
2 0000	NESTLE S A REP RG SH ADR	06/30/06	10/22/10	107.75	62.69	45.06 LT
11 0000	NESTLE S A REP RG SH ADR	06/30/06	10/25/10	598.76	344.81	253.95 LT



THE ROCKCARES FOUNDATION

EMA Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
86.0000	NIKE INC CL B	09/28/10	10/18/10	7,023.46	6,847.11	176.35 ST
29.0000	NIKE INC CL B	10/08/10	10/18/10	2,368.38	2,360.60	7.78 ST
2.0000	OYO GEOSPACE CORP	02/07/07	10/08/10	118.43	132.32	(13.89) LT
242.0000	ON SEMICONDUCTOR CRP COM	04/29/09	10/11/10	1,693.65	1,291.23	402.42 LT
290.0000	ON SEMICONDUCTOR CRP COM	06/26/09	10/11/10	2,029.58	1,962.08	67.50 LT
20.0000	ON SEMICONDUCTOR CRP COM	01/05/10	10/11/10	139.98	175.00	(35.02) ST
40.0000	ON SEMICONDUCTOR CRP COM	01/05/10	10/08/10	275.59	349.99	(74.40) ST
4.0000	PLANTRONICS INC NEW COM	09/22/10	10/08/10	135.83	132.91	2.92 ST
2.0000	PRICELINE COM INC	02/19/09	10/18/10	707.24	160.68	546.56 LT
21.0000	PRICELINE COM INC	08/18/09	10/18/10	7,426.09	3,058.42	4,367.67 LT
31.0000	PRICELINE COM INC	08/04/10	10/18/10	10,962.33	8,571.93	2,390.40 ST
19.0000	PRICELINE COM INC	10/08/10	10/18/10	6,718.86	6,390.65	328.21 ST
12.0000	PINNACLE ENTMT INC COM	06/03/10	10/08/10	136.91	140.28	(3.37) ST
58.0000	PRICE T ROWE GROUP INC	04/22/10	10/18/10	3,071.81	3,327.92	(256.11) ST
22.0000	PRICE T ROWE GROUP INC	10/08/10	10/18/10	1,165.18	1,118.26	46.92 ST
5.0000	PERRIGO CO	03/24/10	10/18/10	322.68	288.07	34.61 ST
3.0000	PERRIGO CO	03/24/10	10/18/10	193.60	170.33	23.27 ST
3.0000	PERRIGO CO	03/25/10	10/18/10	193.61	173.81	19.80 ST
35.0000	PERRIGO CO	03/25/10	10/18/10	2,258.78	2,027.52	231.26 ST
67.0000	PERRIGO CO	06/08/10	10/18/10	4,323.95	3,822.81	501.14 ST
9.0000	PERRIGO CO	07/09/10	10/18/10	580.84	519.54	61.30 ST
28.0000	PERRIGO CO	07/09/10	10/19/10	1,786.06	1,616.36	169.70 ST
64.0000	PERRIGO CO	08/12/10	10/19/10	4,082.44	3,810.99	271.45 ST
81.0000	PERRIGO CO	10/08/10	10/19/10	5,166.86	5,341.95	(175.09) ST
8.0000	PRECISION CASTPARTS	01/05/10	10/18/10	1,045.97	910.80	135.17 ST
17.0000	PRECISION CASTPARTS	01/06/10	10/18/10	2,222.70	1,943.57	279.13 ST
40.0000	PRECISION CASTPARTS	05/27/10	10/18/10	5,229.89	4,771.71	458.18 ST
25.0000	PRECISION CASTPARTS	07/09/10	10/18/10	3,268.68	2,745.21	523.47 ST
32.0000	PRECISION CASTPARTS	10/08/10	10/18/10	4,183.93	4,194.24	(10.31) ST
6.0000	PRUDENTIAL PLC ADR	07/12/10	10/08/10	121.37	97.91	23.46 ST
14.0000	QUALITY SYSTEMS INC	07/31/06	10/08/10	909.42	472.59	436.83 LT
22.0000	QUALITY SYSTEMS INC	09/27/07	10/08/10	1,429.10	803.22	625.88 LT
8.0000	REDWOOD TRUST INC	06/17/09	10/08/10	117.27	115.44	1.83 LT
10.0000	RADIANT SYSTEMS INC COM	12/31/07	10/08/10	185.69	171.22	14.47 LT
7.0000	RIO TINTO PLC SPNSRD ADR	10/17/07	10/08/10	436.58	579.90	(143.32) LT
3.0000	RIVERBED TECHNOLOGY INC	01/05/10	10/08/10	128.03	71.61	56.42 ST
25.0000	REED ELSEVIER N V	06/30/06	10/08/10	653.74	870.30	(216.56) LT
10.0000	ROVI CORP	02/18/09	10/08/10	490.29	147.35	342.94 LT
4.0000	REGAL BELOIT CORP WIS	05/19/09	10/08/10	220.87	157.80	63.07 LT
4.0000	REGENERON PHARMACTCLS	07/28/09	10/08/10	112.07	85.11	26.96 LT





Merrill Lynch

THE ROCKCARES FOUNDATION

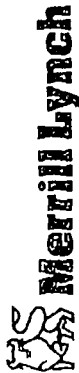
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REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
12,000	ROCHE HLDG LTD SPN ADR	06/30/06	10/08/10	432.83	495.00	(62.17) LT
42,000	SOCIETE GENERAL SPN ADR	02/10/10	10/08/10	503.15	480.48	22.67 ST
7,000	SAP AG SHS	06/30/06	10/08/10	357.27	368.41	(11.14) LT
73,000	STARWOOD HOTELS AND	04/22/10	10/18/10	4,030.72	3,935.19	95.53 ST
44,000	STARWOOD HOTELS AND	04/29/10	10/18/10	2,429.47	2,429.87	(0.40) ST
25,000	STARWOOD HOTELS AND	05/05/10	10/18/10	1,380.38	1,310.18	70.20 ST
39,000	STARWOOD HOTELS AND	07/02/10	10/18/10	2,153.40	1,615.39	538.01 ST
38,000	STARWOOD HOTELS AND	09/01/10	10/18/10	2,098.20	1,856.44	241.76 ST
39,000	STARWOOD HOTELS AND	09/01/10	10/19/10	2,113.18	1,905.29	207.89 ST
90,000	STARWOOD HOTELS AND	10/08/10	10/19/10	4,876.60	4,875.30	1.30 ST
3,000	SIEMENS AG ADR	06/30/06	10/08/10	320.39	261.13	59.26 LT
3,000	SALIX PHRMCTCLS LTD COM	04/05/10	10/08/10	112.37	118.79	(6.42) ST
6,000	SANOFI AVENTIS SPON ADR	06/30/06	10/08/10	203.93	292.14	(88.21) LT
19,000	SALESFORCE COM INC	09/03/09	10/18/10	1,981.53	983.70	998.43 LT
22,000	SALESFORCE COM INC	09/04/09	10/18/10	2,294.40	1,159.25	1,135.15 LT
11,000	SALESFORCE COM INC	09/04/09	10/18/10	1,147.20	579.24	567.96 LT
42,000	SALESFORCE COM INC	09/08/09	10/18/10	4,380.23	2,264.25	2,115.98 LT
40,000	SALESFORCE COM INC	06/08/10	10/18/10	4,171.65	3,540.01	631.64 ST
49,000	SALESFORCE COM INC	10/08/10	10/18/10	5,110.29	5,081.30	28.99 ST
6,000	SUCCESSFACTORS INC	03/11/10	10/08/10	151.13	121.88	29.25 ST
15,000	SHUFFLE MASTER INC	03/13/09	10/08/10	126.29	31.30	94.99 LT
9,000	SONY CORP ADR NEW	08/17/06	10/08/10	289.43	404.97	(115.54) LT
5,000	SOTHEBY'S (DELAWARE)	06/23/09	10/08/10	189.79	65.12	124.67 LT
39,000	STARBUCKS CORP	08/03/09	10/18/10	1,067.56	707.34	360.22 LT
12,000	STARBUCKS CORP	08/04/09	10/18/10	328.48	219.85	108.63 LT
52,000	STARBUCKS CORP	08/04/09	10/18/10	1,423.42	964.69	458.73 LT
150,000	STARBUCKS CORP	08/31/09	10/18/10	4,106.03	2,842.34	1,263.69 LT
95,000	STARBUCKS CORP	09/25/09	10/18/10	2,600.48	1,884.39	716.09 LT
109,000	STARBUCKS CORP	02/10/10	10/18/10	2,983.72	2,434.58	549.14 ST
99,000	STARBUCKS CORP	07/01/10	10/18/10	2,709.98	2,410.13	299.85 ST
197,000	STARBUCKS CORP	10/08/10	10/18/10	5,392.60	5,074.03	318.57 ST
11,000	TOTAL S.A. SP ADR	06/30/06	10/08/10	588.27	720.91	(132.64) LT
13,000	TESCO PLC SPNRD ADR	06/30/06	10/08/10	272.34	244.40	27.94 LT
6,000	TEVA PHARMACTL INDS ADR	06/30/08	10/08/10	318.65	272.82	45.83 LT
13,000	TITANIUM METALS CORP NEW	02/23/10	10/08/10	263.76	153.97	109.79 ST
9,000	THORATEC CORP COM NEW	12/28/07	10/08/10	322.37	164.98	157.39 LT
3,000	TRIUMPH GROUP INC NEW	04/15/10	10/08/10	229.73	214.81	14.92 ST
39,000	TAIWAN S MANUFACTRING ADR	07/24/07	10/08/10	399.35	431.82	(32.47) LT
17,000	TIBCO SOFTWARE INC	12/28/07	10/08/10	291.54	136.58	154.96 LT
11,000	TENNECO INC DEL	05/24/10	10/08/10	320.42	234.78	85.64 ST



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Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
18 0000	3M COMPANY	08/07/09	10/18/10	1,615.65	1,314.18	301.47 LT
21.0000	3M COMPANY	08/19/09	10/18/10	1,884.93	1,476.92	408.01 LT
40.0000	3M COMPANY	01/07/10	10/18/10	3,590.35	3,324.71	265.64 ST
26.0000	3M COMPANY	04/27/10	10/18/10	2,333.73	2,323.90	9.83 ST
38.0000	3M COMPANY	10/08/10	10/18/10	3,410.84	3,364.52	46.32 ST
8.0000	TELEFONICA SA SPAIN ADR	02/21/08	10/08/10	627.35	678.44	(51.09) LT
3.0000	TOYOTA MOTOR CORP ADR	02/07/08	10/08/10	211.73	329.12	(117.39) LT
3.0000	UNILEVER NV NY REG SHS	08/17/10	10/08/10	89.42	81.99	7.43 ST
12.0000	UNIVERSAL ELECTRONICS INC	02/22/08	10/08/10	247.19	232.12	15.07 LT
5.0000	VEECO INSTRUMENTS INC	02/01/10	10/08/10	174.44	164.57	9.87 ST
7.0000	VARIAN SEMICNDCTR EQ ASC	01/09/09	10/08/10	194.87	135.96	58.91 LT
18 0000	VODAFONE GROU PLC SP ADR	06/30/06	10/08/10	482.41	437.99	24.42 LT
6.0000	VALE SA	06/30/06	10/08/10	193.43	72.09	121.34 LT
112.0000	VMWARE, INC.	07/21/10	10/18/10	8,680.08	8,243.67	436.41 ST
41 0000	VMWARE, INC.	10/08/10	10/18/10	3,177.53	3,118.87	58.66 ST
8 0000	VITAMIN SHOPPE INC	10/29/09	10/08/10	226.87	149.77	77.10 ST
6 0000	VOLKSWAGEN A G ADR	05/03/10	10/08/10	148.25	116.41	31.84 ST
7.0000	WASTE CONNECTIONS INC	06/30/06	10/08/10	279.57	169.40	110.17 LT
8 0000	ZURICH FINL SVCS SPN ADR	06/30/06	10/08/10	191.59	172.40	19.19 LT
5 0000	WABCO HOLDINGS INC	06/22/10	10/08/10	213.34	175.25	38.09 ST
4 0000	WPP PLC ADR	06/30/06	10/08/10	220.91	241.92	(21.01) LT
64.0000	XSTRATA PLC-ADR	03/03/10	10/08/10	259.19	221.43	37.76 ST
16 0000	WOLTERS KLUWR NV SPN ADR	06/30/06	10/08/10	348.95	377.60	(28.65) LT
13,945.0000	LS SECURITIZED ASSET FD	03/19/10	10/07/10	153,952.79	147,398.65	6,554.14 ST
3,587.0000	LS SECURITIZED ASSET FD	09/13/10	10/07/10	39,600.49	39,241.78	358.71 ST
98.0000	ALLSTATE CORP DEL COM	12/22/08	11/08/10	3,053.99	2,927.55	126.44 LT
33.0000	ALLSTATE CORP DEL COM	03/18/09	11/08/10	1,028.38	604.82	423.56 LT
219.0000	ALLSTATE CORP DEL COM	07/02/09	11/08/10	6,824.73	5,364.86	1,459.87 LT
211.0000	ALLSTATE CORP DEL COM	10/08/10	11/08/10	6,575.44	6,802.64	(227.20) ST
81 0000	ATLAS COPCO A ADR NEW	09/29/08	11/08/10	1,770.63	887.74	882.89 LT
15.0000	ATLAS COPCO A ADR NEW	11/26/08	11/08/10	327.89	111.00	216.89 LT
66.0000	ATLAS COPCO A ADR NEW	02/05/09	11/08/10	1,442.74	506.38	936.36 LT
238.0000	AMERICAN AXLE&MFG HLDGS	12/09/09	11/08/10	2,493.19	1,867.16	626.03 ST
43.0000	AMERICAN AXLE&MFG HLDGS	03/26/10	11/08/10	450.46	447.74	2.72 ST
225 0000	ABB LTD SPON ADR	04/05/07	11/08/10	4,822.62	4,037.28	785.34 LT
1.0000	ABB LTD SPON ADR	05/02/07	11/08/10	21.43	20.31	1.12 LT
30.0000	ABB LTD SPON ADR	11/26/08	11/08/10	643.02	370.15	272.87 LT
64.0000	A N S Y S INC COM	06/30/06	11/08/10	3,045.25	1,527.89	1,517.36 LT
1.0000	A N S Y S INC COM	05/01/07	11/08/10	47.58	51.68	(4.10) LT
50.0000	A N S Y S INC COM	06/14/07	11/08/10	2,379.10	1,365.88	1,013.22 LT



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12 0000	A N S Y S INC COM	01/05/10	11/08/10	570.99	524.95	46.04 ST
135 0000	AXA -SPONS ADR	06/30/06	11/08/10	2,556.85	4,341.65	(1,784.80) LT
1 0000	AXA -SPONS ADR	05/01/07	11/08/10	18.94	45.51	(26.57) LT
18 0000	AXA -SPONS ADR	11/26/08	11/08/10	340.92	295.81	45.11 LT
38 0000	AMAZON COM INC COM	10/09/08	11/05/10	6,481.87	2,301.59	4,180.28 LT
14 0000	AMAZON COM INC COM	11/24/08	11/05/10	2,388.06	564.12	1,823.94 LT
47 0000	AMAZON COM INC COM	11/24/08	11/08/10	8,060.83	1,893.84	6,166.99 LT
18 0000	AMAZON COM INC COM	10/23/09	11/08/10	3,087.12	2,055.00	1,032.12 LT
9 0000	AMAZON COM INC COM	11/05/09	11/08/10	1,543.56	1,072.71	470.85 LT
48 0000	AMAZON COM INC COM	10/08/10	11/08/10	8,232.34	7,353.12	879.22 ST
109 0000	AMAZON COM INC COM	10/18/10	11/08/10	18,694.29	17,759.53	934.76 ST
95 0000	ALTERA CORP COM	10/18/10	11/05/10	3,141.30	2,799.31	341.99 ST
349 0000	ALTERA CORP COM	10/18/10	11/08/10	11,789.54	10,283.77	1,505.77 ST
46 0000	ATLAS AIR WORLDWIDE HLDS	09/21/10	11/08/10	2,819.75	2,462.54	357.21 ST
192 0000	ARVINMERITOR INC IND COM	02/25/10	11/08/10	3,477.05	2,109.03	1,368.02 ST
63 0000	ARVINMERITOR INC IND COM	07/14/10	11/08/10	1,140.92	935.01	205.91 ST
108 0000	ALLIANZ SE SPD ADR	05/15/07	11/08/10	1,398.57	2,377.68	(979.11) LT
86 0000	ALLIANZ SE SPD ADR	04/03/08	11/08/10	1,113.68	1,779.56	(665.88) LT
26 0000	ALLIANZ SE SPD ADR	11/26/08	11/08/10	336.70	181.45	155.25 LT
13 0000	ALLSCRIPTS HEALTHCARE	04/22/08	11/08/10	247.33	206.76	40.57 LT
103 0000	ALLSCRIPTS HEALTHCARE	01/27/09	11/08/10	1,959.69	741.35	1,218.34 LT
121 0000	ALLSCRIPTS HEALTHCARE	01/27/09	11/09/10	2,362.25	870.91	1,491.34 LT
24 0000	ALLSCRIPTS HEALTHCARE	01/29/10	11/09/10	468.55	334.71	133.84 ST
18 0000	AMERICA MOVIL SAB DE CV	02/21/08	11/08/10	1,061.34	1,100.00	(38.66) LT
24 0000	AMERICA MOVIL SAB DE CV	05/23/08	11/08/10	1,415.13	1,352.24	62.89 LT
5 0000	AMERICA MOVIL SAB DE CV	11/26/08	11/08/10	294.83	150.45	144.38 LT
41 0000	ANHEUSER-BUSCH INBEV ADR	10/16/09	11/08/10	2,498.57	2,038.43	460.14 LT
338 0000	AT& T INC	11/05/09	11/08/10	853.18	662.15	191.03 LT
37 0000	AT& T INC	02/03/10	11/08/10	9,853.37	8,297.29	1,556.08 LT
266 0000	AT& T INC	10/08/10	11/08/10	1,078.62	944.61	134.01 ST
44 0000	AT& T INC	02/02/10	11/08/10	7,754.44	7,444.01	310.43 ST
3 0000	AMER EXPRESS COMPANY	02/02/10	11/08/10	1,925.97	1,715.84	210.13 ST
74 0000	AMER EXPRESS COMPANY	02/11/10	11/08/10	131.31	116.58	14.73 ST
34 0000	AMER EXPRESS COMPANY	03/12/10	11/08/10	3,239.14	2,829.95	409.19 ST
70 0000	AMER EXPRESS COMPANY	04/23/10	11/08/10	1,488.25	1,390.11	98.14 ST
114 0000	AMER EXPRESS COMPANY	07/02/10	11/08/10	3,064.05	3,422.54	(358.49) ST
122 0000	AMER EXPRESS COMPANY	10/08/10	11/08/10	4,990.03	4,499.32	490.71 ST
136 0000	AMER EXPRESS COMPANY	10/18/10	11/08/10	5,340.20	4,600.61	739.59 ST
416 0000	AMGEN INC COM PV \$0.0001	10/18/10	11/08/10	5,953.03	5,347.03	606.00 ST
				23,009.40	23,824.61	(815.21) ST



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191.0000	ANALOG DEVICES INC COM	10/18/10	11/08/10	6,766.05	6,012.93	753.12 ST
279.0000	ARCHER DANIELS MIDLD	07/02/09	11/08/10	8,683.50	7,503.06	1,180.44 LT
45.0000	ARCHER DANIELS MIDLD	04/01/10	11/08/10	1,400.56	1,302.64	97.92 ST
226.0000	ARCHER DANIELS MIDLD	10/08/10	11/08/10	7,033.96	7,495.31	(461.35) ST
206.0000	AUTOMATIC DATA PROC	10/18/10	11/08/10	9,326.18	8,829.86	496.32 ST
150.0000	BANCO BILBAO VIZCAYA	06/30/06	11/08/10	1,787.10	3,043.43	(1,256.33) LT
81.0000	BANCO BILBAO VIZCAYA	02/22/07	11/08/10	965.03	2,020.84	(1,055.81) LT
29.0000	BANCO BILBAO VIZCAYA	11/26/08	11/08/10	345.51	293.11	52.40 LT
17.0000	BAYER AG SP ADR	03/18/08	11/08/10	1,317.81	1,337.97	(20.16) LT
18.0000	BAYER AG SP ADR	04/03/08	11/08/10	1,395.34	1,490.52	(95.18) LT
5.0000	BAYER AG SP ADR	07/09/08	11/08/10	387.59	440.50	(52.91) LT
5.0000	BAYER AG SP ADR	11/26/08	11/08/10	387.59	266.25	121.34 LT
2.0000	BAYER AG SP ADR	09/02/10	11/08/10	155.05	125.92	29.13 ST
29.0000	BRITISH AMN TOBACO SPADR	06/30/06	11/08/10	2,292.23	1,464.50	827.73 LT
1.0000	BRITISH AMN TOBACO SPADR	05/02/07	11/08/10	79.04	62.74	16.30 LT
8.0000	BRITISH AMN TOBACO SPADR	11/26/08	11/08/10	632.34	423.42	208.92 LT
11.0000	BRITISH AMN TOBACO SPADR	02/03/10	11/08/10	869.48	730.50	138.98 ST
58.0000	BRUKER CORP	06/25/10	11/08/10	891.62	773.19	118.43 ST
134.0000	BRUKER CORP	07/29/10	11/08/10	2,059.97	1,697.18	362.79 ST
200.0000	BIOGEN IDEC INC	10/18/10	11/08/10	12,586.24	11,675.24	911.00 ST
306.0000	BIOGEN IDEC INC	10/19/10	11/08/10	19,256.96	17,851.61	1,405.35 ST
164.0000	BRIGHAM EXPL INC COM	01/29/10	11/08/10	3,842.48	2,284.50	1,557.98 ST
30.0000	BLUE NILE INC	10/18/10	11/08/10	1,450.48	1,276.37	174.11 ST
64.0000	BLUE NILE INC	10/19/10	11/08/10	3,094.36	2,702.69	391.67 ST
27.0000	BLUE NILE INC	10/20/10	11/08/10	1,305.43	1,199.45	165.98 ST
65.0000	BLUE NILE INC	10/21/10	11/08/10	3,142.71	2,763.72	378.99 ST
25.0000	BLUE NILE INC	10/22/10	11/08/10	1,208.74	1,055.67	153.07 ST
13.0000	BJS RESTAURANTS INC	02/13/09	11/08/10	466.85	155.60	311.25 LT
151.0000	BJS RESTAURANTS INC	03/06/09	11/08/10	5,422.75	1,620.14	3,802.61 LT
17.0000	BJS RESTAURANTS INC	01/05/10	11/08/10	610.51	320.96	289.55 ST
124.0000	BARCLAYS PLC ADR	06/30/06	11/08/10	2,297.88	5,689.13	(3,391.25) LT
36.0000	BARCLAYS PLC ADR	07/25/08	11/08/10	667.12	830.13	(163.01) LT
33.0000	BARCLAYS PLC ADR	11/26/08	11/08/10	611.54	323.35	288.19 LT
89.0000	BP PLC SPON ADR	03/17/10	11/08/10	3,843.84	5,190.17	(1,346.33) ST
10.0000	BP PLC SPON ADR	05/06/10	11/08/10	431.89	512.49	(80.60) ST
2.0000	BP PLC SPON ADR	09/02/10	11/08/10	86.39	72.92	13.47 ST
71.0000	BIOMARIN PHARMACEUTICALS	11/14/08	11/08/10	1,827.70	1,233.49	594.21 LT
70.0000	BIOMARIN PHARMACEUTICALS	12/08/08	11/08/10	1,801.97	1,199.96	602.01 LT
32.0000	BG GROUP PLC SPON ADR	06/30/06	11/08/10	3,311.94	2,144.01	1,167.93 LT
1.0000	BG GROUP PLC SPON ADR	07/15/08	11/08/10	103.49	109.30	(5.81) LT





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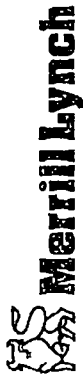
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5.0000	BG GROUP PLC SPON ADR	11/26/08	11/08/10	517.49	342.00	175.49 LT
16.0000	BG GROUP PLC SPON ADR	02/09/10	11/08/10	1,655.99	1,390.76	265.23 ST
122.0000	BNP PARIBAS SPONSORD ADR	06/30/06	11/08/10	4,623.72	5,732.19	(1,108.47) LT
1.0000	BNP PARIBAS SPONSORD ADR	05/01/07	11/08/10	37.89	57.54	(19.65) LT
7.0000	BNP PARIBAS SPONSORD ADR	10/21/08	11/08/10	265.30	263.45	1.85 LT
16.0000	BNP PARIBAS SPONSORD ADR	11/26/08	11/08/10	606.40	400.56	205.84 LT
52.0000	BHP BILLITON LTD ADR	11/13/08	11/08/10	4,714.97	1,785.43	2,929.54 LT
11.0000	BHP BILLITON LTD ADR	11/26/08	11/08/10	997.40	428.01	569.39 LT
27.0000	BHP BILLITON LTD ADR	03/18/09	11/08/10	2,448.17	1,099.28	1,348.89 LT
37.0000	BALLY TECHNOLOGIES INC	10/20/08	11/08/10	1,371.34	813.44	557.90 LT
17.0000	BALLY TECHNOLOGIES INC	11/13/08	11/08/10	630.07	286.19	343.88 LT
60.0000	BALLY TECHNOLOGIES INC	11/18/08	11/08/10	2,223.82	1,010.98	1,212.84 LT
389.0000	BANK NEW YORK MELLON	07/02/09	11/08/10	10,894.06	11,033.18	(139.12) LT
66.0000	BANK NEW YORK MELLON	10/29/09	11/08/10	1,848.35	1,833.48	14.87 LT
235.0000	BANK NEW YORK MELLON	10/08/10	11/08/10	12,058.80	6,220.45	360.81 ST
234.0000	BAXTER INTERNTL INC	07/21/10	11/08/10	7,163.14	9,813.96	(2,244.84) ST
139.0000	BAXTER INTERNTL INC	10/08/10	11/08/10	11,798.29	6,806.14	357.00 ST
568.0000	COMCAST CORP NEW CL A	02/03/10	11/08/10	9,451.11	9,184.51	2,613.78 ST
455.0000	COMCAST CORP NEW CL A	10/08/10	11/08/10	7,784.30	8,079.34	1,371.77 ST
221.0000	CARDINAL HEALTH INC OHIO	07/02/09	11/08/10	4,781.11	6,610.60	1,173.70 LT
108.0000	CARDINAL HEALTH INC OHIO	09/14/09	11/08/10	3,804.09	2,846.88	957.21 LT
229.0000	CARDINAL HEALTH INC OHIO	10/08/10	11/08/10	8,066.10	7,482.60	583.50 ST
385.0000	CVS CAREMARK CORP	07/02/09	11/08/10	11,940.07	12,032.64	(92.57) LT
198.0000	CVS CAREMARK CORP	10/08/10	11/08/10	6,140.61	6,158.81	(18.20) ST
26.0000	CREDIT SUISSE GP SP ADR	06/05/09	11/08/10	1,145.93	1,188.43	(42.50) LT
14.0000	CREDIT SUISSE GP SP ADR	02/10/10	11/08/10	617.04	607.55	9.49 ST
43.0000	CREDIT SUISSE GP SP ADR	04/23/10	11/08/10	1,895.21	2,061.82	(166.61) ST
19.0000	CHOICE HOTELS INTL NEW	08/28/09	11/08/10	740.98	571.91	169.07 LT
49.0000	CHOICE HOTELS INTL NEW	04/13/10	11/08/10	1,910.98	1,789.68	121.30 ST
78.0000	CANON INC ADR REPSH	06/30/06	11/08/10	3,742.01	3,825.12	(83.11) LT
16.0000	CANON INC ADR REPSH	07/09/08	11/08/10	767.59	791.12	(23.53) LT
1.0000	CANON INC ADR REPSH	07/15/08	11/08/10	47.97	45.85	2.12 LT
12.0000	CANON INC ADR REPSH	11/26/08	11/08/10	575.71	359.00	216.71 LT
9.0000	CNOOC LTD ADR	09/21/09	11/08/10	2,097.32	1,240.82	856.50 LT
4.0000	CNOOC LTD ADR	11/05/09	11/08/10	932.15	626.59	305.56 LT
17.0000	CHINA LIFE INS CO SP ADR	03/05/09	11/08/10	1,198.13	713.35	484.78 LT
14.0000	CHINA LIFE INS CO SP ADR	06/04/09	11/08/10	986.70	810.30	176.40 LT
10.0000	CHINA LIFE INS CO SP ADR	02/02/10	11/08/10	704.80	671.59	33.21 ST
14.0000	CHEVRON CORP	07/13/07	11/05/10	1,178.92	1,307.58	(128.66) LT
11.0000	CHEVRON CORP	07/13/07	11/08/10	928.73	1,027.39	(98.66) LT

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25.0000	CHEVRON CORP	07/22/08	11/08/10	2,110.75	2,143.71	(32.96) LT
57.0000	CHEVRON CORP	08/27/08	11/08/10	4,812.53	4,911.79	(99.26) LT
24.0000	CHEVRON CORP	03/18/09	11/08/10	2,026.33	1,553.23	473.10 LT
87.0000	CHEVRON CORP	10/08/10	11/08/10	7,345.45	7,241.01	104.44 ST
14.0000	CHEVRON CORP	10/18/10	11/08/10	1,182.03	1,176.97	5.06 ST
73.0000	CASH AMERICAN INTL INC	01/28/09	11/08/10	2,710.59	1,376.62	1,333.97 LT
38.0000	CASH AMERICAN INTL INC	12/21/09	11/08/10	1,410.99	1,298.38	112.61 ST
14.0000	CASH AMERICAN INTL INC	01/05/10	11/08/10	519.84	497.64	22.20 ST
10.0000	CASH AMERICAN INTL INC	01/29/10	11/08/10	371.32	374.56	(3.24) ST
91.0000	CENTENE CORP	02/08/08	11/08/10	2,065.37	1,907.09	158.28 LT
74.0000	CENTENE CORP	08/26/10	11/08/10	1,679.54	1,597.14	82.40 ST
2.0000	CONOCOPHILLIPS	05/02/07	11/05/10	123.34	138.52	(15.18) LT
21.0000	CONOCOPHILLIPS	07/13/07	11/05/10	1,295.11	1,880.53	(585.42) LT
34.0000	CONOCOPHILLIPS	07/13/07	11/08/10	2,108.72	3,044.66	(935.94) LT
42.0000	CONOCOPHILLIPS	07/22/08	11/08/10	2,604.89	3,554.20	(949.31) LT
86.0000	CONOCOPHILLIPS	03/18/09	11/08/10	5,333.82	3,217.66	2,116.16 LT
119.0000	CONOCOPHILLIPS	10/08/10	11/08/10	7,380.53	7,090.02	290.51 ST
23.0000	CONOCOPHILLIPS	10/18/10	11/08/10	1,426.50	1,407.46	19.04 ST
141.0000	CLOUD PEAK ENERGY INC	08/09/10	11/08/10	2,791.47	2,511.72	279.75 ST
48.0000	CHICOS FAS INC COM	02/24/10	11/08/10	519.15	659.87	(140.72) ST
32.0000	CHICOS FAS INC COM	03/26/10	11/08/10	346.10	443.20	(97.10) ST
93.0000	CHICOS FAS INC COM	05/04/10	11/08/10	1,005.85	1,419.18	(413.33) ST
211.0000	CHICOS FAS INC COM	08/19/10	11/08/10	2,282.10	1,892.50	389.60 ST
1,231.0000	CISCO SYSTEMS INC COM	10/18/10	11/08/10	30,048.20	28,701.13	1,347.07 ST
95.0000	CLOROX CO DEL COM	10/18/10	11/08/10	5,968.24	6,471.02	(502.78) ST
55.0000	CLOROX CO DEL COM	10/19/10	11/08/10	3,455.31	3,747.42	(292.11) ST
257.0000	COCA COLA COM	10/18/10	11/08/10	16,038.02	15,401.96	636.06 ST
17.0000	COHERENT INC CAL	06/30/06	11/08/10	754.03	568.14	185.89 LT
84.0000	COHERENT INC CAL	01/19/07	11/08/10	3,725.82	2,553.58	1,172.24 LT
1.0000	COHERENT INC CAL	05/02/07	11/08/10	44.35	31.77	12.58 LT
19.0000	COHERENT INC CAL	01/05/10	11/08/10	842.76	566.92	275.84 ST
50.0000	DECKERS OUTDOORS CORP	10/13/10	11/08/10	3,125.95	2,678.43	447.52 ST
41.0000	DBS GROUP HLDGS SPN ADR	04/23/07	11/08/10	1,798.22	2,234.77	(436.55) LT
1.0000	DBS GROUP HLDGS SPN ADR	05/01/07	11/08/10	43.85	52.60	(8.75) LT
23.0000	DBS GROUP HLDGS SPN ADR	05/31/07	11/08/10	1,008.76	1,362.68	(353.92) LT
26.0000	DBS GROUP HLDGS SPN ADR	12/03/07	11/08/10	1,140.35	1,377.26	(236.91) LT
12.0000	DBS GROUP HLDGS SPN ADR	11/26/08	11/08/10	526.32	249.86	276.46 LT
44.0000	DIAGEO PLC SPSD ADR NEW	10/18/10	11/08/10	3,298.83	3,186.55	112.28 ST
85.0000	DIAGEO PLC SPSD ADR NEW	10/19/10	11/08/10	6,372.76	6,216.88	155.88 ST
18.0000	DIAGEO PLC SPSD ADR NEW	10/21/10	11/08/10	1,349.53	1,346.55	2.98 ST

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Account No. 808-02106

04978297

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THE ROCKCARES FOUNDATION

EMA Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
146.0000	DEAN FOODS CO NEW	07/20/10	11/08/10	1,529.13	1,704.52	(175.39) ST
259.0000	DEAN FOODS CO NEW	07/21/10	11/08/10	2,712.65	3,087.72	(375.07) ST
29.0000	DTS INC	04/07/09	11/08/10	1,223.20	652.34	570.86 LT
92.0000	DTS INC	04/23/09	11/08/10	3,880.49	2,280.14	1,600.35 LT
12.0000	DTS INC	01/05/10	11/08/10	506.16	425.89	80.27 ST
113.0000	DUFF AND PHELPS CORP NEW	05/13/09	11/08/10	1,675.23	1,760.60	(85.37) LT
980.0000	DANONE-SPONS ADR	11/08/10	11/09/10	12,837.78	12,704.72	133.06 ST
29.0000	DANONE-SPONS ADR	07/02/09	11/09/10	379.90	375.96	3.94 ST
239.0000	DU PONT E I DE NEMOURS	10/08/10	11/08/10	11,558.84	5,981.13	5,577.71 LT
150.0000	DU PONT E I DE NEMOURS	10/08/10	11/08/10	7,254.51	6,968.27	286.24 ST
255.0000	EDISON INTL CALIF	07/02/09	11/08/10	9,560.37	7,907.07	1,653.30 LT
41.0000	EDISON INTL CALIF	10/29/09	11/08/10	1,537.15	1,317.74	219.41 LT
229.0000	EDISON INTL CALIF	10/08/10	11/08/10	8,585.61	7,997.83	587.78 ST
5.0000	EMS TECHNOLOGIES INC	12/29/09	11/08/10	92.89	71.61	21.28 ST
75.0000	EMS TECHNOLOGIES INC	12/30/09	11/08/10	1,393.48	1,082.14	311.34 ST
49.0000	EMS TECHNOLOGIES INC	12/31/09	11/08/10	910.40	725.20	185.20 ST
37.0000	EMS TECHNOLOGIES INC	01/05/10	11/08/10	687.46	528.61	158.85 ST
15.0000	EON AG ADR	06/30/06	11/08/10	465.89	575.55	(109.66) LT
46.0000	EON AG ADR	10/19/07	11/08/10	1,428.73	2,881.90	(1,453.17) LT
19.0000	EON AG ADR	12/03/07	11/08/10	590.13	1,298.92	(708.79) LT
22.0000	EON AG ADR	02/06/08	11/08/10	683.31	1,390.40	(707.09) LT
17.0000	EON AG ADR	11/26/08	11/08/10	528.02	583.95	(55.93) LT
316.0000	EXPEDITORS INTL WASH INC	10/18/10	11/08/10	16,160.69	15,431.64	729.05 ST
158.0000	EXPEDITORS INTL WASH INC	10/19/10	11/08/10	8,080.35	7,657.88	422.47 ST
74.0000	FACTSET RESH SYS INC	10/18/10	11/08/10	6,571.42	6,459.87	111.55 ST
168.0000	FACTSET RESH SYS INC	10/19/10	11/08/10	14,918.91	14,619.66	299.25 ST
49.0000	FTI CONSULTING INC COM	07/19/10	11/08/10	1,653.20	1,662.02	(8.82) ST
91.0000	FTI CONSULTING INC COM	07/28/10	11/08/10	3,070.24	3,235.98	(165.74) ST
44.0000	FRESH MKT INC	11/08/10	11/09/10	1,489.58	1,425.40	64.18 ST
48.0000	FRANKLIN RES INC	08/02/10	11/08/10	5,859.01	4,984.35	874.66 ST
44.0000	FRANKLIN RES INC	10/08/10	11/08/10	5,370.77	4,935.04	435.73 ST
57.0000	GLAXOSMITHKLINE PLC ADR	08/30/06	11/08/10	2,303.41	3,190.30	(886.89) LT
1.0000	GLAXOSMITHKLINE PLC ADR	05/02/07	11/08/10	40.41	57.67	(17.26) LT
3.0000	GLAXOSMITHKLINE PLC ADR	07/15/08	11/08/10	121.23	142.77	(21.54) LT
12.0000	GLAXOSMITHKLINE PLC ADR	11/26/08	11/08/10	484.93	394.24	90.69 LT
5.0000	GLAXOSMITHKLINE PLC ADR	10/22/10	11/08/10	202.05	201.41	0.64 ST
14.0000	GLAXOSMITHKLINE PLC ADR	10/25/10	11/08/10	565.77	571.46	(5.69) ST
124.0000	GRAFTECH INTL LTD	05/05/09	11/08/10	2,331.68	1,227.55	1,104.13 LT
102.0000	GRAFTECH INTL LTD	05/25/10	11/08/10	1,918.00	1,551.64	366.36 ST
49.0000	GEO GROUP INC	07/27/06	11/08/10	1,258.58	650.87	607.71 LT



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REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
80.0000	GEO GROUP INC	08/08/06	11/08/10	2,054.83	1,077.30	977.53 LT
69.0000	GEO GROUP INC	09/28/09	11/08/10	1,772.29	1,361.05	411.24 LT
18.0000	GEO GROUP INC	01/05/10	11/08/10	462.34	408.36	53.98 ST
2.0000	GOOGLE INC CL A	04/18/08	11/08/10	1,254.77	1,069.89	184.88 LT
5.0000	GOOGLE INC CL A	05/07/08	11/08/10	3,136.94	2,974.22	162.72 LT
3.0000	GOOGLE INC CL A	10/23/08	11/08/10	1,882.18	1,045.08	837.08 LT
4.0000	GOOGLE INC CL A	04/15/09	11/08/10	2,509.55	1,460.67	1,048.88 LT
2.0000	GOOGLE INC CL A	10/23/09	11/08/10	1,254.77	1,099.56	155.21 LT
4.0000	GOOGLE INC CL A	09/23/10	11/08/10	2,509.56	2,059.60	449.96 ST
7.0000	GOOGLE INC CL A	10/08/10	11/08/10	4,391.73	3,710.00	681.73 ST
49.0000	GOOGLE INC CL A	10/18/10	11/08/10	30,742.12	30,118.24	623.88 ST
11.0000	GDF SUEZ ADR	06/30/08	11/08/10	437.35	745.12	(307.77) LT
23.0000	GDF SUEZ ADR	07/09/08	11/08/10	914.46	1,450.75	(536.29) LT
6.0000	GDF SUEZ ADR	11/26/08	11/08/10	238.56	243.00	(4.44) LT
50.0000	GENESCO INC	04/18/08	11/08/10	1,667.77	1,145.81	521.96 LT
71.0000	GENESCO INC	05/15/08	11/08/10	2,388.23	1,698.31	689.92 LT
77.0000	GENESCO INC	11/24/08	11/08/10	2,568.37	939.22	1,629.15 LT
10.0000	GENESCO INC	08/30/10	11/08/10	333.56	254.93	78.63 ST
8.0000	HERBALIFE LTD	02/12/09	11/08/10	3,141.90	164.87	369.92 LT
47.0000	HERBALIFE LTD	06/30/06	11/08/10	4,226.57	976.45	2,165.45 LT
76.0000	HSBC HLDG PLC	05/01/07	11/08/10	55.61	6,731.33	(2,504.76) LT
1.0000	HSBC HLDG PLC	11/26/06	11/08/10	667.35	92.92	(37.31) LT
12.0000	HSBC HLDG PLC	04/08/09	11/08/10	2,669.43	643.98	23.37 LT
48.0000	HSBC HLDG PLC	12/17/08	11/08/10	177.98	887.40	1,782.03 LT
13.0000	HUNTSMAN CORP	03/18/09	11/08/10	39.55	39.55	138.43 LT
327.0000	HUNTSMAN CORP	06/16/09	11/08/10	4,477.08	1,024.23	3,452.85 LT
89.0000	HUNTSMAN CORP	07/14/10	11/08/10	1,218.53	601.11	617.42 LT
138.0000	HUNTSMAN CORP	10/18/10	11/08/10	1,899.42	1,255.37	634.05 ST
274.0000	HOME DEPOT INC	06/30/06	11/08/10	8,626.52	8,329.41	297.11 ST
92.0000	HONDA MOTOR ADR NEW	11/26/08	11/08/10	3,341.20	2,925.27	415.93 LT
12.0000	HONDA MOTOR ADR NEW	09/28/09	11/08/10	435.81	261.58	174.23 LT
42.0000	IMPERIAL TOB GRP SPS ADR	06/16/09	11/08/10	2,780.85	2,420.35	360.50 LT
10.0000	ICON PLC SPONSORED ADR	08/23/10	11/08/10	202.49	214.26	(11.77) LT
83.0000	ICON PLC SPONSORED ADR	03/20/09	11/08/10	1,607.73	1,931.90	(251.17) ST
71.0000	INFORMATICA CORP CA	12/23/09	11/08/10	2,912.05	964.55	1,947.50 LT
86.0000	INFORMATICA CORP CA	01/05/10	11/08/10	3,527.28	2,280.36	1,246.92 ST
17.0000	INFORMATICA CORP CA	07/02/09	11/08/10	697.26	450.16	247.10 ST
181.0000	INTEL CORP	02/16/10	11/08/10	3,853.58	3,032.93	820.65 LT
284.0000	INTEL CORP	06/08/10	11/08/10	6,046.51	5,900.01	146.50 ST
177.0000	INTEL CORP			3,768.43	3,518.25	250.18 ST





THE ROCKCARES FOUNDATION

EMA Fiscal Statement

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Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
308.0000	INTEL CORP	10/08/10	11/08/10	6,557.50	5,952.93	604.57 ST
62.0000	INTL BUSINESS MACHINES	07/02/09	11/08/10	9,054.49	6,354.04	2,700.45 LT
18.0000	INTL BUSINESS MACHINES	07/17/09	11/08/10	2,628.72	2,051.82	576.90 LT
51.0000	INTL BUSINESS MACHINES	10/08/10	11/08/10	7,448.06	7,060.44	387.62 ST
87.0000	INTREPID POTASH INC	08/06/10	11/08/10	2,827.95	2,232.00	595.95 ST
65.0000	ING GP NV SP5D ADR	06/30/06	11/08/10	717.69	2,548.00	(1,830.31) LT
62.0000	ING GP NV SP5D ADR	01/26/07	11/08/10	684.56	2,676.56	(1,992.00) LT
1.0000	ING GP NV SP5D ADR	05/01/07	11/08/10	11.04	45.69	(34.65) LT
24.0000	ING GP NV SP5D ADR	12/03/07	11/08/10	264.99	928.33	(663.34) LT
61.0000	ING GP NV SP5D ADR	10/21/08	11/08/10	673.52	752.11	(78.59) LT
29.0000	ING GP NV SP5D ADR	11/26/08	11/08/10	320.20	223.88	96.32 LT
228.0000	ING GP NV SP5D ADR	12/16/09	11/08/10	2,517.46	1,428.70	1,088.76 ST
15.0000	ING GP NV SP5D ADR	09/02/10	11/08/10	165.63	143.07	22.56 ST
376.0000	JETBLUE AIRWAYS CORP DEL	10/20/10	11/08/10	2,828.18	2,681.29	146.89 ST
175.0000	JOHNSON AND JOHNSON COM	07/02/09	11/08/10	11,225.32	9,820.84	1,404.48 LT
114.0000	JOHNSON AND JOHNSON COM	10/08/10	11/08/10	7,312.51	7,191.12	121.39 ST
5.0000	KOMATSU NEW NEW SP5DADR	10/19/07	11/08/10	139.54	164.81	(25.27) LT
52.0000	KOMATSU NEW NEW SP5DADR	04/07/08	11/08/10	1,451.29	1,423.37	27.92 LT
12.0000	KOMATSU NEW NEW SP5DADR	11/26/08	11/08/10	334.91	131.17	203.74 LT
68.0000	KOMATSU NEW NEW SP5DADR	07/31/09	11/08/10	1,897.86	1,104.90	792.96 LT
6.0000	KOMATSU NEW NEW SP5DADR	07/12/10	11/08/10	167.45	118.06	49.39 ST
33.0000	KOMATSU NEW NEW SP5DADR	07/13/10	11/08/10	921.03	640.40	280.63 ST
283.0000	KRAFT FOODS INC VA CL A	07/02/09	11/08/10	8,822.60	7,407.47	1,415.13 LT
53.0000	KRAFT FOODS INC VA CL A	09/22/09	11/08/10	1,652.28	1,400.79	251.49 LT
250.0000	KRAFT FOODS INC VA CL A	10/08/10	11/08/10	7,793.83	7,751.75	42.08 ST
137.0000	KIMBERLY CLARK	07/02/09	11/08/10	8,597.11	7,278.03	1,319.08 LT
18.0000	KIMBERLY CLARK	02/03/10	11/08/10	1,129.54	1,078.20	51.34 ST
118.0000	KIMBERLY CLARK	10/08/10	11/08/10	7,404.82	7,815.77	(410.95) ST
33.0000	KUBOTA CORP ADR	10/02/09	11/08/10	1,589.58	1,327.83	261.75 LT
16.0000	KUBOTA CORP ADR	10/27/09	11/08/10	770.70	640.37	130.33 LT
22.0000	KUBOTA CORP ADR	07/12/10	11/08/10	1,059.73	876.75	182.98 ST
84.0000	LVMH MOET HENNESSY ADR	02/06/09	11/08/10	2,785.39	1,075.68	1,709.71 LT
204.0000	LAFARGE ADR NEW	06/30/06	11/08/10	3,182.34	6,063.20	(2,880.86) LT
1.0000	LAFARGE ADR NEW	05/01/07	11/08/10	15.59	38.94	(23.35) LT
26.0000	LAFARGE ADR NEW	11/26/08	11/08/10	405.59	299.56	106.03 LT
50.0000	LAFARGE ADR NEW	03/03/10	11/08/10	780.01	869.72	(89.71) ST
82.0000	LANDSTAR SYS INC COM	06/30/06	11/08/10	3,193.53	3,858.93	(665.40) LT
1.0000	LANDSTAR SYS INC COM	05/02/07	11/08/10	38.94	49.95	(11.01) LT
9.0000	LANDSTAR SYS INC COM	01/05/10	11/08/10	350.52	352.46	(1.94) ST
198.0000	LEGG MASON INC	10/18/10	11/08/10	6,861.13	6,223.77	637.36 ST



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Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
249.0000	LEGG MASON INC	10/19/10	11/08/10	8,628.41	7,832.47	795.94 ST
467.0000	LOWE'S COMPANIES INC	10/07/09	11/08/10	10,297.64	9,452.93	844.71 LT
337.0000	LOWE'S COMPANIES INC	10/08/10	11/08/10	7,431.06	7,622.94	(191.88) ST
190.0000	LOWE'S COMPANIES INC	10/18/10	11/08/10	4,189.62	4,000.68	188.94 ST
18.0000	LUFKIN INDS INC	02/12/09	11/08/10	921.58	341.64	579.94 LT
68.0000	LUFKIN INDS INC	02/24/09	11/08/10	3,481.54	1,119.03	2,362.51 LT
72.0000	LUFKIN INDS INC	03/10/09	11/08/10	3,686.34	1,081.35	2,604.99 LT
16.0000	LUFKIN INDS INC	01/05/10	11/08/10	819.19	595.00	224.19 ST
100.0000	MARKS AND SPENCER GP ADR	06/08/09	11/08/10	1,319.97	908.72	411.25 LT
123.0000	MARKS AND SPENCER GP ADR	06/15/09	11/08/10	1,623.58	1,162.35	461.23 LT
75.0000	MADDEN STEVEN LTD	03/26/10	11/08/10	3,104.20	2,467.75	636.45 ST
52.0000	MITSUBISHI CP SPNSRD ADR	06/30/06	11/08/10	2,670.67	2,106.01	564.66 LT
26.0000	MITSUBISHI CP SPNSRD ADR	01/26/07	11/08/10	1,335.33	1,039.01	296.32 LT
2.0000	MITSUBISHI CP SPNSRD ADR	05/01/07	11/08/10	102.71	86.40	16.31 LT
27.0000	MITSUBISHI CP SPNSRD ADR	08/19/08	11/08/10	1,386.70	1,377.04	9.66 LT
14.0000	MITSUBISHI CP SPNSRD ADR	11/26/08	11/08/10	719.03	315.00	404.03 LT
23.0000	MITSUBISHI CP SPNSRD ADR	02/05/09	11/08/10	1,181.27	701.50	479.77 LT
3.0000	MITSUBISHI CP SPNSRD ADR	09/02/10	11/08/10	154.08	130.35	23.73 ST
129.0000	MONSTER WORLDWIDE INC	09/05/08	11/08/10	2,479.97	2,440.87	39.10 LT
283.0000	MARATHON OIL CORP	07/02/09	11/08/10	9,588.44	8,232.58	1,355.86 LT
37.0000	MARATHON OIL CORP	02/03/10	11/08/10	1,253.61	1,118.51	135.10 ST
205.0000	MARATHON OIL CORP	10/08/10	11/08/10	6,945.70	7,171.80	(226.10) ST
228.0000	MITSUBISHI UFJ FINL GRP	06/30/06	11/08/10	1,057.94	3,180.61	(2,122.67) LT
2.0000	MITSUBISHI UFJ FINL GRP	05/01/07	11/08/10	9.28	20.96	(11.68) LT
1.0000	MITSUBISHI UFJ FINL GRP	05/02/07	11/08/10	4.64	10.64	(6.00) LT
2.0000	MITSUBISHI UFJ FINL GRP	07/15/08	11/08/10	9.28	17.30	(8.02) LT
37.0000	MITSUBISHI UFJ FINL GRP	11/26/08	11/08/10	171.68	196.10	(24.42) LT
286.0000	MITSUBISHI UFJ FINL GRP	01/12/09	11/08/10	1,327.08	1,712.43	(385.35) LT
23.0000	MITSUBISHI UFJ FINL GRP	09/02/10	11/08/10	106.73	109.83	(3.10) ST
101.0000	MEDASSETS INC	04/30/09	11/08/10	1,875.85	1,664.05	211.80 LT
25.0000	MEDASSETS INC	12/31/09	11/08/10	484.32	539.33	(75.01) ST
16.0000	MEDASSETS INC	01/05/10	11/08/10	297.17	344.25	(47.08) ST
289.0000	MERCK AND CO INC SHS	07/02/09	11/08/10	10,287.01	7,918.58	2,368.43 LT
208.0000	MERCK AND CO INC SHS	10/08/10	11/08/10	7,403.80	7,630.50	(226.70) ST
390.0000	MERCK AND CO INC SHS	10/18/10	11/08/10	13,882.13	14,459.56	(577.43) ST
231.0000	MGIC INV T CORP WIS	04/22/10	11/08/10	2,128.60	2,755.48	(626.88) ST
427.0000	MARSH & MCLENNAN COS INC	09/10/10	11/08/10	11,043.27	10,305.73	737.54 ST
351.0000	MARSH & MCLENNAN COS INC	10/08/10	11/08/10	9,077.73	8,236.00	841.73 ST
274.0000	MEDTRONIC INC	10/18/10	11/08/10	9,691.58	9,272.24	419.34 ST
495.0000	MICROSOFT CORP	10/18/10	11/08/10	13,286.03	12,729.87	556.16 ST



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THE ROCKCARES FOUNDATION

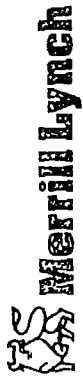
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Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
12.0000	MITSUBISHI ESTATE ADR	06/30/06	11/08/10	2,214.08	2,574.01	(359.93) LT
2.0000	MITSUBISHI ESTATE ADR	11/26/08	11/08/10	369.01	273.98	95.03 LT
1.0000	MITSUBISHI ESTATE ADR	09/02/10	11/08/10	184.51	155.50	29.01 ST
818.0000	MOTOROLA INC COM	07/02/09	11/08/10	6,643.35	5,118.39	1,524.96 LT
475.0000	MOTOROLA INC COM	02/03/10	11/08/10	3,857.69	3,168.06	689.63 ST
890.0000	MOTOROLA INC COM	10/08/10	11/08/10	7,228.11	7,488.82	(260.71) ST
70.0000	NIDEC CORPORATION ADR	02/28/07	11/08/10	1,763.59	1,147.69	615.90 LT
6.0000	NIDEC CORPORATION ADR	05/01/07	11/08/10	151.16	92.88	58.28 LT
1.0000	NIDEC CORPORATION ADR	05/02/07	11/08/10	25.19	15.25	9.94 LT
29.0000	NIDEC CORPORATION ADR	11/26/08	11/08/10	730.65	336.69	393.96 LT
13.0000	NINTENDO LTD ADR	09/18/08	11/08/10	415.47	693.55	(278.08) LT
24.0000	NINTENDO LTD ADR	09/29/08	11/08/10	767.02	1,251.60	(484.58) LT
26.0000	NINTENDO LTD ADR	10/14/08	11/08/10	830.94	1,281.54	(450.60) LT
8.0000	NINTENDO LTD ADR	11/26/08	11/08/10	255.67	296.80	(41.13) LT
13.0000	NINTENDO LTD ADR	09/02/10	11/08/10	415.49	451.10	(35.61) ST
1.0000	NORTHWEST PIPE CO	02/13/09	11/08/10	22.17	32.94	(10.77) LT
36.0000	NORTHWEST PIPE CO	02/17/09	11/08/10	798.16	1,129.21	(331.05) LT
47.0000	NORTHWEST PIPE CO	03/31/09	11/08/10	1,042.06	1,343.19	(301.13) LT
226.0000	NATIONAL-OILWELL VARCO	10/01/09	11/08/10	13,101.95	9,492.59	3,609.36 LT
27.0000	NATIONAL-OILWELL VARCO	04/01/10	11/08/10	1,565.27	1,123.20	442.07 ST
146.0000	NATIONAL-OILWELL VARCO	10/08/10	11/08/10	8,484.10	6,728.42	1,755.68 ST
49.0000	NOVARTIS ADR	06/30/06	11/08/10	2,836.58	2,643.07	193.51 LT
26.0000	NOVARTIS ADR	01/26/07	11/08/10	1,505.12	1,495.49	9.63 LT
1.0000	NOVARTIS ADR	05/01/07	11/08/10	57.88	57.79	0.09 LT
1.0000	NOVARTIS ADR	05/02/07	11/08/10	57.88	58.55	(0.67) LT
391.0000	NOVARTIS ADR	11/26/08	11/08/10	810.45	619.49	190.96 LT
251.0000	NOMURA HLDGS INC ADR	10/18/10	11/08/10	22,694.84	23,347.16	(652.32) ST
45.0000	NETLOGIC MICROSYSTEMS	07/02/09	11/08/10	1,324.21	2,103.16	(778.95) LT
50.0000	NETLOGIC MICROSYSTEMS	09/27/10	11/08/10	1,417.15	1,241.01	176.14 ST
119.0000	NESTLE S A REP RG SH ADR	09/29/10	11/08/10	1,574.62	1,400.19	174.43 ST
3.0000	NESTLE S A REP RG SH ADR	06/30/06	11/08/10	6,866.18	3,730.18	3,136.00 LT
19.0000	NESTLE S A REP RG SH ADR	05/01/07	11/08/10	173.09	99.05	74.04 LT
1.0000	NESTLE S A REP RG SH ADR	11/26/08	11/08/10	1,096.29	656.45	439.84 LT
50.0000	NORTHROP GRUMMAN CORP	09/02/10	11/08/10	57.70	53.10	4.60 ST
22.0000	NORTHROP GRUMMAN CORP	02/19/09	11/08/10	3,256.11	2,286.91	969.20 LT
105.0000	NORTHROP GRUMMAN CORP	03/18/09	11/08/10	1,432.68	840.13	592.55 LT
117.0000	NORTHROP GRUMMAN CORP	07/02/09	11/08/10	6,837.83	4,725.37	2,112.46 LT
16.0000	OYO GEOSPACE CORP	10/08/10	11/08/10	7,619.31	7,300.22	319.09 ST
30.0000	OYO GEOSPACE CORP	02/07/07	11/08/10	1,053.58	1,058.53	(4.95) LT
		08/03/07	11/08/10	1,975.46	2,243.83	(268.37) LT



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4.0000	OYO GEOSPACE CORP	07/15/08	11/08/10	263.39	189.08	74.31 LT
19.0000	OYO GEOSPACE CORP	11/20/09	11/08/10	1,251.13	600.97	650.16 ST
9.0000	OYO GEOSPACE CORP	01/05/10	11/08/10	592.65	380.92	211.73 ST
68.0000	OPTIONSPRESS HOLDINGS	11/10/09	11/08/10	1,158.02	1,074.74	83.28 ST
339.0000	OMNICOM GROUP COM	10/18/10	11/08/10	15,790.99	14,368.82	1,422.17 ST
46.0000	ORACLE CORP \$0.01 DEL	03/19/09	11/08/10	1,335.44	817.91	517.53 LT
161.0000	ORACLE CORP \$0.01 DEL	03/19/09	11/08/10	4,674.07	2,860.05	1,814.02 LT
83.0000	ORACLE CORP \$0.01 DEL	06/24/09	11/08/10	2,409.61	1,775.13	634.48 LT
129.0000	ORACLE CORP \$0.01 DEL	10/08/10	11/08/10	3,745.06	3,552.25	192.81 ST
763.0000	ORACLE CORP \$0.01 DEL	10/18/10	11/08/10	22,151.06	22,049.02	102.04 ST
78.0000	PLANTRONICS INC NEW COM	09/22/10	11/08/10	2,806.39	2,591.75	214.64 ST
206.0000	PINNACLE ENTMT INC COM	06/03/10	11/08/10	2,837.83	2,408.09	429.74 ST
26.0000	PETRELO BRAS VTG SPD ADR	01/22/07	11/08/10	935.83	581.55	354.28 LT
1.0000	PETRELO BRAS VTG SPD ADR	05/02/07	11/08/10	35.99	102.04	(66.05) LT
8.0000	PETRELO BRAS VTG SPD ADR	11/26/08	11/08/10	287.96	171.44	116.52 LT
208.0000	PROGRESS ENERGY INC	07/02/09	11/08/10	9,352.29	7,866.06	1,486.23 LT
26.0000	PROGRESS ENERGY INC	09/22/09	11/08/10	1,169.03	1,022.06	146.97 LT
173.0000	PROGRESS ENERGY INC	10/08/10	11/08/10	7,778.60	7,669.97	108.63 ST
246.0000	PFIZER INC	07/08/09	11/08/10	4,182.42	3,603.11	579.31 LT
262.0000	PFIZER INC	10/19/09	11/08/10	4,454.44	4,588.93	(134.49) LT
108.0000	PFIZER INC	04/01/10	11/08/10	1,836.18	1,839.03	(2.85) ST
423.0000	PFIZER INC	10/08/10	11/08/10	7,191.74	7,375.34	(183.60) ST
155.0000	PROCTER & GAMBLE CO	10/18/10	11/08/10	10,025.23	9,815.45	209.78 ST
143.0000	PRUDENTIAL PLC ADR	07/12/10	11/08/10	2,975.55	2,333.50	642.05 ST
188.0000	QUEST DIAGNOSTICS INC	07/02/09	11/08/10	9,778.41	10,451.45	(673.04) LT
40.0000	QUEST DIAGNOSTICS INC	09/22/09	11/08/10	2,080.51	2,157.20	(76.69) LT
137.0000	QUEST DIAGNOSTICS INC	10/08/10	11/08/10	7,125.76	6,851.37	274.39 ST
117.0000	QUALCOMM INC	08/12/10	11/08/10	5,577.29	4,506.58	1,070.71 ST
140.0000	QUALCOMM INC	09/09/10	11/08/10	6,673.68	5,716.94	956.74 ST
91.0000	QUALCOMM INC	10/08/10	11/08/10	4,337.90	4,017.65	320.25 ST
264.0000	QUALCOMM INC	10/18/10	11/08/10	12,584.68	11,687.65	897.03 ST
41.0000	QUALITY SYSTEMS INC	09/27/07	11/08/10	2,622.32	1,496.91	1,125.41 LT
123.0000	REDWOOD TRUST INC	06/17/09	11/08/10	1,778.03	1,774.92	3.11 LT
36.0000	RADIANT SYSTEMS INC COM	12/31/07	11/08/10	683.46	616.37	67.09 LT
111.0000	RADIANT SYSTEMS INC COM	05/01/08	11/08/10	2,107.37	1,397.13	710.24 LT
5.0000	RIO TINTO PLC SPNSRD ADR	10/17/07	11/08/10	352.94	414.22	(61.28) LT
8.0000	RIO TINTO PLC SPNSRD ADR	11/30/07	11/08/10	564.70	891.49	(326.79) LT
20.0000	RIO TINTO PLC SPNSRD ADR	02/05/09	11/08/10	1,411.77	411.19	1,000.58 LT
32.0000	RIO TINTO PLC SPNSRD ADR	07/02/09	11/08/10	2,258.84	1,088.61	1,170.23 LT
16.0000	RIO TINTO PLC SPNSRD ADR	03/02/10	11/08/10	1,129.42	853.88	275.54 ST



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17.0000	RIO TINTO PLC SPNSRD ADR	09/10/10	11/08/10	1,200.01	921.69	278.32 ST
27.0000	ROYAL DUTCH SHELL PLC	05/27/08	11/08/10	1,807.62	2,303.22	(495.60) LT
19.0000	ROYAL DUTCH SHELL PLC	07/09/08	11/08/10	1,272.03	1,493.69	(221.66) LT
21.0000	ROYAL DUTCH SHELL PLC	09/18/08	11/08/10	1,405.92	1,234.66	171.26 LT
11.0000	ROYAL DUTCH SHELL PLC	11/26/08	11/08/10	736.44	564.96	171.48 LT
4.0000	ROYAL DUTCH SHELL PLC	09/02/10	11/08/10	267.79	221.30	46.49 ST
14.0000	ROYAL DUTCH SHELL PLC	10/08/10	11/08/10	937.30	875.84	61.46 ST
200.0000	RIVERBED TECHNOLOGY INC	01/05/10	11/10/10	5,857.90	2,387.04	3,470.86 ST
12.0000	RIVERBED TECHNOLOGY INC	08/30/10	11/10/10	351.48	232.31	119.17 ST
50.0000	REED ELSEVIER N V	06/30/06	11/08/10	1,308.47	1,740.59	(432.12) LT
10.0000	REED ELSEVIER N V	11/26/08	11/08/10	261.69	236.10	25.59 LT
56.0000	REED ELSEVIER N V	07/31/09	11/08/10	1,465.51	1,156.82	308.69 LT
61.0000	ROVI CORP	02/18/09	11/08/10	3,144.26	898.81	2,245.45 LT
102.0000	ROVI CORP	04/14/09	11/08/10	5,257.63	1,935.29	3,322.34 LT
12.0000	ROVI CORP	01/05/10	11/08/10	618.55	382.92	235.63 ST
26.0000	REGAL BELOIT CORP WIS	05/19/09	11/08/10	1,492.37	1,025.69	466.68 LT
3.0000	REGAL BELOIT CORP WIS	05/26/09	11/08/10	172.19	114.02	58.17 LT
31.0000	REGAL BELOIT CORP WIS	12/17/09	11/08/10	1,779.37	1,557.99	221.38 ST
10.0000	REGAL BELOIT CORP WIS	01/05/10	11/08/10	574.00	525.67	48.33 ST
70.0000	REGENERON PHARMACTCLS	07/28/09	11/08/10	1,827.67	1,489.37	338.30 LT
102.0000	ROCHE HLDG LTD SPN ADR	06/30/06	11/08/10	3,776.99	4,207.51	(430.52) LT
2.0000	ROCHE HLDG LTD SPN ADR	05/02/07	11/08/10	74.05	94.70	(20.65) LT
12.0000	ROCHE HLDG LTD SPN ADR	11/26/08	11/08/10	444.37	408.90	35.47 LT
106.0000	SEI INVT CO PA PV \$0.01	10/18/10	11/08/10	2,453.90	2,252.31	201.59 ST
467.0000	SEI INVT CO PA PV \$0.01	10/19/10	11/08/10	10,811.05	9,920.06	890.99 ST
268.0000	SEI INVT CO PA PV \$0.01	10/20/10	11/08/10	6,204.20	5,803.73	400.47 ST
287.0000	SEI INVT CO PA PV \$0.01	10/21/10	11/08/10	6,644.05	6,272.13	371.92 ST
30.0000	SEI INVT CO PA PV \$0.01	10/22/10	11/08/10	694.51	660.75	33.76 ST
115.0000	SOCIETE GENERAL SPN ADR	02/10/10	11/08/10	1,413.32	1,315.60	97.72 ST
170.0000	SOCIETE GENERAL SPN ADR	04/26/10	11/08/10	2,089.27	1,982.91	106.36 ST
462.0000	SAFEWAY INC NEW	07/02/09	11/08/10	10,711.65	9,137.41	1,574.24 LT
93.0000	SAFEWAY INC NEW	09/22/09	11/08/10	2,156.24	1,810.46	345.78 LT
301.0000	SAFEWAY INC NEW	10/08/10	11/08/10	6,978.81	6,268.33	710.48 ST
47.0000	SAP AG SHS	06/30/06	11/08/10	2,443.72	2,473.61	(29.89) LT
1.0000	SAP AG SHS	05/02/07	11/08/10	51.99	48.04	3.95 LT
20.0000	SAP AG SHS	07/24/07	11/08/10	1,039.88	1,126.10	(86.22) LT
11.0000	SAP AG SHS	07/15/08	11/08/10	571.93	568.37	3.56 LT
10.0000	SAP AG SHS	11/26/08	11/08/10	519.95	349.87	170.08 LT
4.0000	SIEMENS AG ADR	07/06/06	11/08/10	474.74	346.52	128.22 LT
27.0000	SIEMENS AG ADR	10/12/06	11/08/10	3,204.52	2,295.36	909.16 LT



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14.0000	SIEMENS AG ADR	10/30/06	11/08/10	1,661.60	1,255.56	406.04 LT
1.0000	SIEMENS AG ADR	05/01/07	11/08/10	118.68	121.27	(2.59) LT
9.0000	SIEMENS AG ADR	11/26/08	11/08/10	1,068.19	544.09	524.10 LT
247.0000	SCHLUMBERGER LTD	10/18/10	11/08/10	18,450.59	15,854.46	2,596.13 ST
31.0000	SALIX PHRMCTCLS LTD COM	04/05/10	11/08/10	1,151.31	1,227.54	(76.23) ST
15.0000	SALIX PHRMCTCLS LTD COM	09/20/10	11/08/10	557.10	624.39	(67.29) ST
92.0000	SEATTLE GENETICS INC COM	08/12/09	11/08/10	1,347.42	1,047.11	300.31 LT
66.0000	SEATTLE GENETICS INC COM	10/11/10	11/08/10	966.64	1,112.67	(146.03) ST
41.0000	SANOFI AVENTIS SPON ADR	06/30/06	11/08/10	1,456.30	1,996.30	(540.00) LT
53.0000	SANOFI AVENTIS SPON ADR	10/20/06	11/08/10	1,882.55	2,345.78	(463.23) LT
11.0000	SANOFI AVENTIS SPON ADR	11/26/08	11/08/10	390.71	298.58	92.13 LT
13.0000	SANOFI AVENTIS SPON ADR	06/04/09	11/08/10	461.77	427.43	34.34 LT
36.0000	SIRONA DENTAL SYSTEMS	09/20/10	11/08/10	1,367.19	1,348.34	18.85 ST
37.0000	SIRONA DENTAL SYSTEMS	10/14/10	11/08/10	1,405.18	1,375.00	30.18 ST
119.0000	SUCCESSFACTORS INC	03/11/10	11/08/10	3,431.73	2,417.28	1,014.45 ST
336.0000	SHUFFLE MASTER INC	03/13/09	11/08/10	3,233.21	701.03	2,532.18 LT
36.0000	SONY CORP ADR NEW	08/17/06	11/08/10	1,209.00	1,619.86	(410.86) LT
42.0000	SONY CORP ADR NEW	10/30/06	11/08/10	1,410.50	1,736.72	(326.22) LT
10.0000	SONY CORP ADR NEW	11/26/08	11/08/10	335.84	197.89	137.95 LT
114.0000	SOTHEBY'S (DELAWARE)	06/23/09	11/08/10	5,182.35	1,484.65	3,697.70 LT
21.0000	SOTHEBY'S (DELAWARE)	01/05/10	11/08/10	954.65	485.27	469.38 ST
109.0000	TOTAL S.A. SP ADR	06/30/06	11/08/10	6,174.74	7,143.54	(968.80) LT
1.0000	TOTAL S.A. SP ADR	07/15/08	11/08/10	56.64	77.46	(20.82) LT
16.0000	TOTAL S.A. SP ADR	11/26/08	11/08/10	906.40	855.56	50.84 LT
267.0000	TESCO PLC SPNRD ADR	06/30/06	11/08/10	5,420.01	5,019.61	400.40 LT
1.0000	TESCO PLC SPNRD ADR	05/01/07	11/08/10	27.60	27.60	
33.0000	TESCO PLC SPNRD ADR	11/26/08	11/08/10	669.89	443.85	226.04 LT
4.0000	TESCO PLC SPNRD ADR	09/02/10	11/08/10	81.21	76.50	4.71 ST
23.0000	TEVA PHARMACTCL INDS ADR	06/30/08	11/08/10	1,167.23	1,045.81	121.42 LT
21.0000	TEVA PHARMACTCL INDS ADR	07/09/08	11/08/10	1,065.74	939.78	125.96 LT
6.0000	TEVA PHARMACTCL INDS ADR	11/26/08	11/08/10	304.50	254.64	49.86 LT
216.0000	TERADYNE INC	07/14/09	11/08/10	2,610.15	1,497.21	1,112.94 LT
182.0000	TITANIUM METALS CORP NEW	02/23/10	11/08/10	3,453.34	2,155.63	1,297.71 ST
49.0000	THORATEC CORP COM NEW	12/28/07	11/08/10	1,607.81	898.21	709.60 LT
115.0000	THORATEC CORP COM NEW	04/21/08	11/08/10	3,773.45	1,857.07	1,916.38 LT
19.0000	THORATEC CORP COM NEW	01/05/10	11/08/10	623.44	513.18	110.26 ST
30.0000	TRIUMPH GROUP INC NEW	04/15/10	11/08/10	2,652.85	2,148.12	504.73 ST
23.0000	TRIUMPH GROUP INC NEW	06/18/10	11/08/10	2,033.86	1,607.89	425.97 ST
103.0000	TAIWAN S MANUFACTURING ADR	07/24/07	11/08/10	1,137.26	1,140.46	(3.20) LT
28.0000	TAIWAN S MANUFACTURING ADR	11/26/08	11/08/10	309.16	193.17	115.99 LT



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124.0000	TAIWAN S MANUFACTURING ADR	10/22/09	11/08/10	1,369.14	1,267.28	101.86 LT
399.0000	TIBCO SOFTWARE INC	12/28/07	11/08/10	7,984.68	3,205.73	4,778.95 LT
41.0000	TIBCO SOFTWARE INC	01/05/10	11/08/10	820.49	392.78	427.71 ST
111.0000	TENNECO INC DEL	05/24/10	11/08/10	3,840.54	2,369.18	1,471.36 ST
40.0000	TARGET CORP COM	10/18/10	11/08/10	2,198.99	2,161.64	37.35 ST
1.0000	TRAVELERS COS INC	03/18/09	11/08/10	56.63	39.44	17.19 LT
203.0000	TRAVELERS COS INC	07/02/09	11/08/10	11,495.94	8,108.75	3,387.19 LT
145.0000	TRAVELERS COS INC	10/08/10	11/08/10	8,211.39	7,592.94	618.45 ST
23.0000	TELEFONICA SA SPAIN ADR	02/21/08	11/08/10	1,785.29	1,950.50	(165.21) LT
15.0000	TELEFONICA SA SPAIN ADR	03/18/08	11/08/10	1,164.31	1,306.96	(142.65) LT
22.0000	TELEFONICA SA SPAIN ADR	04/03/08	11/08/10	1,707.67	1,968.85	(261.18) LT
8.0000	TELEFONICA SA SPAIN ADR	11/26/08	11/08/10	620.98	481.68	139.30 LT
8.0000	TOYOTA MOTOR CORP ADR	02/07/08	11/08/10	588.66	877.66	(289.00) LT
21.0000	TOYOTA MOTOR CORP ADR	04/07/08	11/08/10	1,545.25	2,056.66	(511.41) LT
7.0000	TOYOTA MOTOR CORP ADR	11/26/08	11/08/10	515.08	447.89	67.19 LT
19.0000	TOYOTA MOTOR CORP ADR	02/02/10	11/08/10	1,398.10	1,473.62	(75.52) ST
4.0000	TOYOTA MOTOR CORP ADR	09/02/10	11/08/10	294.34	272.26	22.08 ST
41.0000	UMB FINANCIAL CORP	05/12/09	11/08/10	1,582.98	1,925.59	(342.61) LT
89.0000	UNILEVER NV NY REG SHS	08/17/10	11/08/10	2,802.93	2,432.25	370.68 ST
13.0000	UNILEVER NV NY REG SHS	10/22/10	11/08/10	409.41	389.83	19.58 ST
30.0000	UNILEVER NV NY REG SHS	10/25/10	11/08/10	944.82	913.61	31.21 ST
15.0000	UNITED PARCEL SVC CL B	01/08/10	11/08/10	1,038.84	901.21	137.63 ST
58.0000	UNITED PARCEL SVC CL B	01/08/10	11/08/10	4,016.86	3,492.71	524.15 ST
30.0000	UNITED PARCEL SVC CL B	01/11/10	11/08/10	2,077.68	1,873.07	204.61 ST
36.0000	UNITED PARCEL SVC CL B	10/08/10	11/08/10	2,493.22	2,413.08	80.14 ST
242.0000	UNITED PARCEL SVC CL B	10/18/10	11/08/10	16,760.02	16,848.55	(88.53) ST
139.0000	UNIVERSAL ELECTRONICS INC	02/22/08	11/08/10	3,703.06	2,688.71	1,014.35 LT
28.0000	UNIVERSAL ELECTRONICS INC	02/25/08	11/08/10	745.94	579.77	166.17 LT
20.0000	UNIVERSAL ELECTRONICS INC	01/05/10	11/08/10	532.82	472.12	60.70 ST
69.0000	VITAL IMAGES INC IOWA	02/22/07	11/08/10	965.29	2,435.64	(1,470.35) LT
66.0000	VEECO INSTRUMENTS INC	02/01/10	11/08/10	3,018.13	2,172.36	845.77 ST
8.0000	VEECO INSTRUMENTS INC	02/16/10	11/08/10	365.84	296.00	69.84 ST
156.0000	VARIAN SEMICONDUCTR EQ ASC	01/09/09	11/08/10	5,311.71	3,029.94	2,281.77 LT
18.0000	VARIAN SEMICONDUCTR EQ ASC	01/05/10	11/08/10	612.89	651.59	(38.70) ST
29.0000	VERIZON COMMUNICATNS COM	03/26/07	11/08/10	959.08	1,016.33	(57.25) LT
9.0000	VERIZON COMMUNICATNS COM	05/01/07	11/08/10	297.64	323.50	(25.86) LT
6.0000	VERIZON COMMUNICATNS COM	07/15/08	11/08/10	198.43	198.66	(0.23) LT
26.0000	VERIZON COMMUNICATNS COM	07/22/08	11/08/10	859.87	845.71	14.16 LT
28.0000	VERIZON COMMUNICATNS COM	03/18/09	11/08/10	926.01	795.50	130.51 LT
182.0000	VERIZON COMMUNICATNS COM	07/02/09	11/08/10	6,019.09	5,170.78	848.31 LT

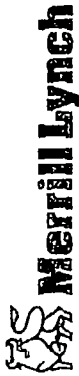


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Account No 808-02106



THE ROCKCARES FOUNDATION

EMA Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
62.0000	VERIZON COMMUNICATNS COM	09/22/09	11/08/10	2,050.46	1,717.32	333.14 LT
206.0000	VERIZON COMMUNICATNS COM	10/08/10	11/08/10	6,812.84	6,731.05	81.79 ST
207.0000	VODAFONE GROU PLC SP ADR	06/30/06	11/08/10	5,859.03	5,036.83	822.20 LT
2.0000	VODAFONE GROU PLC SP ADR	05/01/07	11/08/10	56.60	57.74	(1.14) LT
1.0000	VODAFONE GROU PLC SP ADR	05/02/07	11/08/10	28.30	28.93	(0.63) LT
28.0000	VODAFONE GROU PLC SP ADR	11/26/08	11/08/10	792.53	546.77	245.76 LT
52.0000	VODAFONE GROU PLC SP ADR	06/04/09	11/08/10	1,471.85	953.66	518.19 LT
58.0000	VODAFONE GROU PLC SP ADR	06/30/06	11/08/10	1,951.31	696.88	1,254.43 LT
4.0000	VALE SA	07/15/08	11/08/10	134.57	122.76	11.81 LT
38.0000	VALE SA	08/19/08	11/08/10	1,278.45	949.66	328.79 LT
19.0000	VALE SA	11/26/08	11/08/10	639.23	230.47	408.76 LT
3.0000	VALE SA	09/02/10	11/08/10	100.94	85.07	15.87 ST
531.0000	VISA INC CL A SHRS	10/18/10	11/08/10	41,814.27	41,333.52	480.75 ST
92.0000	VITAMIN SHOPPE INC	10/29/09	11/08/10	2,734.93	1,722.41	1,012.52 LT
57.0000	VITAMIN SHOPPE INC	05/20/10	11/08/10	1,694.47	1,340.99	353.48 ST
13.0000	VITAMIN SHOPPE INC	08/30/10	11/08/10	386.46	317.58	68.88 ST
62.0000	VOLKSWAGEN A G ADR	05/03/10	11/08/10	1,881.67	1,202.95	678.72 ST
62.0000	VOLKSWAGEN A G ADR	07/13/10	11/08/10	1,881.67	1,199.20	682.47 ST
106.0000	WASTE CONNECTIONS INC	06/30/06	11/08/10	4,163.60	2,565.21	1,598.39 LT
1.0000	WASTE CONNECTIONS INC	05/01/07	11/08/10	39.28	30.92	8.36 LT
9.0000	WASTE CONNECTIONS INC	01/13/10	11/08/10	353.52	297.45	56.07 ST
155.0000	ZURICH FINL SVCS SPN ADR	06/30/06	11/08/10	3,750.93	3,340.26	410.67 LT
1.0000	ZURICH FINL SVCS SPN ADR	05/01/07	11/08/10	24.20	29.15	(4.95) LT
20.0000	ZURICH FINL SVCS SPN ADR	11/26/08	11/08/10	484.00	359.99	124.01 LT
233.0000	ZIMMER HOLDINGS INC COM	10/18/10	11/08/10	12,021.12	12,165.70	(144.58) ST
57.0000	ZIMMER HOLDINGS INC COM	10/19/10	11/08/10	2,940.79	2,900.67	40.12 ST
50.0000	ZIMMER HOLDINGS INC COM	11/02/10	11/08/10	2,579.65	2,453.99	125.66 ST
70.0000	WABCO HOLDINGS INC	06/22/10	11/08/10	3,528.35	2,453.57	1,074.78 ST
14.0000	WPP PLC ADR	06/30/06	11/08/10	842.60	846.73	(4.13) LT
1.0000	WPP PLC ADR	05/02/07	11/08/10	60.18	75.54	(15.36) LT
7.0000	WPP PLC ADR	11/26/08	11/08/10	421.30	185.05	236.25 LT
31.0000	WPP PLC ADR	06/09/09	11/08/10	1,865.76	1,072.36	793.40 LT
14.0000	WPP PLC ADR	08/05/10	11/08/10	842.60	766.28	76.32 ST
1.0000	WPP PLC ADR	09/02/10	11/08/10	60.19	51.85	8.34 ST
189.0000	WEATHERFORD INTL LTD.	10/18/10	11/08/10	3,610.73	3,480.55	130.18 ST
323.0000	XSTRATA PLC-ADR	03/03/10	11/08/10	1,405.02	1,117.55	287.47 ST
74.0000	XSTRATA PLC-ADR	07/12/10	11/08/10	321.89	208.27	113.62 ST
401.0000	XSTRATA PLC-ADR	07/13/10	11/08/10	1,744.33	1,147.98	596.35 ST
531.0000	WILLIAMS COMPANIES DEL	03/26/10	11/08/10	11,922.71	12,081.42	(158.71) ST
415.0000	WILLIAMS COMPANIES DEL	10/08/10	11/08/10	9,318.13	8,104.87	1,213.26 ST

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THE ROCKCARES FOUNDATION



Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
82.0000	WOLTERS KLUWR NV SPN ADR	06/30/06	11/08/10	1,823.65	1,935.21	(111.56) LT
12.0000	WOLTERS KLUWR NV SPN ADR	11/26/08	11/08/10	266.87	210.00	56.87 LT
45.0000	WOLTERS KLUWR NV SPN ADR	07/31/09	11/08/10	1,000.79	886.50	114.29 LT
807.0000	XEROX CORP	07/02/09	11/08/10	9,435.28	5,248.81	4,186.47 LT
311.0000	XEROX CORP	10/29/09	11/08/10	3,636.15	2,393.86	1,242.29 LT
566.0000	XEROX CORP	10/08/10	11/08/10	6,617.57	6,093.44	524.13 ST
299.0000	WASTE MANAGEMENT INC NEW	07/02/09	11/08/10	10,516.90	8,260.90	2,236.00 LT
201.0000	WASTE MANAGEMENT INC NEW	10/08/10	11/08/10	7,069.90	7,244.92	(175.02) ST
43.0000	WELLPOINT INC	10/18/10	11/02/10	2,377.82	2,483.17	(105.35) ST
21.0000	BANCO BILBAO VIZCAYA CXL	06/30/06	10/08/10	284.96	426.08	141.12 LT
21.0000	BANCO BILBAO VIZCAYA CXL	06/30/06	10/08/10	284.96	433.78	(148.82) LT
150.0000	BANCO BILBAO VIZCAYA CXL	06/30/06	11/08/10	1,787.10	3,043.43	1,256.33 LT
150.0000	BANCO BILBAO VIZCAYA CXL	06/30/06	11/08/10	1,787.10	3,098.47	(1,311.37) LT
81.0000	BANCO BILBAO VIZCAYA CXL	02/22/07	11/08/10	965.03	2,020.84	1,055.81 LT
81.0000	BANCO BILBAO VIZCAYA CXL	02/22/07	11/08/10	965.03	2,050.56	(1,085.53) LT
29.0000	BANCO BILBAO VIZCAYA CXL	11/26/08	11/08/10	345.51	293.11	(52.40) LT
29.0000	BANCO BILBAO VIZCAYA CXL	11/26/08	11/08/10	345.51	303.75	41.76 LT

Total Short Term Capital Gain: \$153,062.51

Total Long Term Capital Gain: \$264,878.62

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