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Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

2010

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2010, or tax year beginning

, and ending

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

Name of foundation DR. EDITH F. BONDI FOUNDATION		A Employer identification number 76-0285812
Number and street (or P O box number if mail is not delivered to street address) 6750 WEST LOOP SOUTH #1010	Room/suite	B Telephone number 713-661-3456
City or town, state, and ZIP code BELLAIRE, TX 77401		C If exemption application is pending, check here ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 504,635.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
(Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		9,352.	9,352.		Statement 1
5a Gross rents		1,155.	1,155.		Statement 2
b Net rental income or (loss) <92.>					Statement 3
6a Net gain or (loss) from sale of assets not on line 10		35,229.			
b Gross sales price for all assets on line 6a 154,990.					
7 Capital gain net income (from Part IV, line 2)			35,229.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		338.	338.		Statement 4
12 Total. Add lines 1 through 11		46,074.	46,074.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees Stmt 5		16,640.	16,140.		500.
c Other professional fees Stmt 6		1,230.	1,230.		0.
17 Interest		650.	650.		0.
18 Taxes Stmt 7		<1,975.>	<1,975.>		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses Stmt 8		4.	4.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		16,549.	16,049.		500.
25 Contributions, gifts, grants paid		27,800.			27,800.
26 Total expenses and disbursements. Add lines 24 and 25		44,349.	16,049.		28,300.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		1,725.			
b Net investment income (if negative, enter -0-)			30,025.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	13,324.	52,109.	52,109.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges		496.	496.
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock Stmt 10	403,921.	315,510.	339,735.
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other Stmt 11	57,675.	111,295.	111,295.
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶ Statement 12)	0.	1,000.	1,000.
	16 Total assets (to be completed by all filers)	474,920.	480,410.	504,635.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ Statement 13)	7,255.	11,023.	
23 Total liabilities (add lines 17 through 22)	7,255.	11,023.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	467,665.	469,387.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
28 Paid-in or capital surplus, or land, bldg., and equipment fund				
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances	467,665.	469,387.		
31 Total liabilities and net assets/fund balances	474,920.	480,410.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	467,665.
2 Enter amount from Part I, line 27a	2	1,725.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	469,390.
5 Decreases not included in line 2 (itemize) ▶ See Statement 9	5	3.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	469,387.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b See Attached Statements				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e	154,990.	119,761.	35,229.	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a				
b				
c				
d				
e			35,229.	
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 2		35,229.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):		If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 3		N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	38,843.	443,729.	.087538
2008	37,918.	549,157.	.069048
2007	36,205.	598,739.	.060469
2006	53,877.	673,964.	.079940
2005	44,070.	637,045.	.069179
2 Total of line 1, column (d)			2 .366174
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .073235
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5			4 494,583.
5 Multiply line 4 by line 3			5 36,221.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 300.
7 Add lines 5 and 6			7 36,521.
8 Enter qualifying distributions from Part XII, line 4			8 28,300.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	601.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	601.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	601.
6 Credits/Payments:			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	496.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	500.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	996.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	1.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	394.	
11 Enter the amount of line 10 to be: Credited to 2011 estimated tax ☐ 394. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ TX		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>MICHAEL S. PARMET</u> Telephone no. ► <u>713 661 3456</u> Located at ► <u>6750 W. LOOP SOUTH, #1010, BELLAIRE, TX</u> ZIP+4 ► <u>77401</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► <u>15</u> <u>N/A</u>			
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <u>N/A</u>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010? ►	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? If "Yes," list the years ► _____, _____, _____ ☐ Yes ☒ No		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) ► <u>N/A</u>	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.) ► <u>N/A</u>	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MICHAEL S. PARMET 6750 W. LOOP SOUTH, #1010 BELLAIRE, TX 77401	CHAIRMAN & PRESIDENT 5.00	0.	0.	0.
RON MEYERS 4035 WILLOWBEND BLVD. SUITE 400 HOUSTON, TX 77025	VICE-PRES, SECRETARY/TREAS 1.00	0.	0.	0.
MARY ALICE PARMET 4618 EVERGREEN BELLAIRE, TX 77401	DIRECTOR 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services



0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A SEE PART XV FOR LIST OF ALL CHARITABLE CONTRIBUTIONS AND THEIR PURPOSE. NO DIRECT OR INDIRECT EXPENSE APPLIES.	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	0.
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	441,758.
b	Average of monthly cash balances	1b	60,357.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	502,115.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	502,115.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	7,532.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	494,583.
6	Minimum investment return. Enter 5% of line 5	6	24,729.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	24,729.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	601.
b	Income tax for 2010. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	601.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	24,128.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	24,128.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	24,128.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	28,300.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	28,300.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	28,300.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				24,128.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005	14,444.			
b From 2006	20,691.			
c From 2007	6,875.			
d From 2008	10,460.			
e From 2009	16,657.			
f Total of lines 3a through e	69,127.			
4 Qualifying distributions for 2010 from Part XII, line 4: ► \$	28,300.			
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				24,128.
e Remaining amount distributed out of corpus	4,172.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	73,299.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	14,444.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	58,855.			
10 Analysis of line 9:				
a Excess from 2006	20,691.			
b Excess from 2007	6,875.			
c Excess from 2008	10,460.			
d Excess from 2009	16,657.			
e Excess from 2010	4,172.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling

▶

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section
- ☐
- 4942(j)(3) or
- ☐
- 4942(j)(5)

DR. EDITH F. BONDI FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ATTACHMENT F SHORT TERM	P	Various	Various
b	ATTACHMENT F LONG TERM	P	Various	Various
c	ATTACHMENT G SHORT TERM	P	Various	Various
d	ATTACHMENT G LONG TERM	P	Various	Various
e	ATTACHMENT H SHORT TERM	P	Various	Various
f	ATTACHMENT H LONG TERM	P	Various	Various
g	ATTACHMENT I LONG TERM	P	Various	Various
h	ATTACHMENT J SHORT TERM	P	Various	Various
i	ATTACHMENT K SHORT TERM	P	Various	Various
j	ATTACHMENT K LONG TERM	P	Various	Various
k	ATTACHMENT L LONG TERM	P	Various	Various
l	ATTACHMENT M SHORT TERM	P	Various	Various
m	ATTACHMENT M LONG TERM	P	Various	Various
n	WELLS FARGO ADVISORS PSI SETTLEMENT	P	Various	Various
o	WELLS FARGO ADVISORS AIM SETTLEMENT	P	Various	Various

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	7.	7.	0.
b		1.	<1.>
c	7,707.	7,150.	557.
d	6,004.	5,782.	222.
e	4,339.	3,954.	385.
f	5,156.	4,741.	415.
g	122,393.	90,004.	32,389.
h	55.		55.
i	120.	61.	59.
j	1,000.	1,068.	<68.>
k	620.	640.	<20.>
l	3,008.	2,954.	54.
m	4,059.	3,242.	817.
n	58.		58.
o	266.		266.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			0.
b			<1.>
c			557.
d			222.
e			385.
f			415.
g			32,389.
h			55.
i			59.
j			<68.>
k			<20.>
l			54.
m			817.
n			58.
o			266.

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

3

DR. EDITH F. BONDY FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	debt conversion (xxx2250)	P	Various	Various
b	Capital Gains Dividends			
c				
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 157.		157.	0.
b 41.			41.
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			0.
b			41.
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	35,229.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Form 990-PF	Dividends and Interest from Securities	Statement	1
Source	Gross Amount	Capital Gains Dividends	Column (A) Amount
BP INVESTMENTS 2	2.	0.	2.
CITIGROUP GLOBAL MARKETS INC 39489	75.	0.	75.
CITIGROUP GLOBAL MARKETS INC 40323	397.	0.	397.
CITIGROUP GLOBAL MARKETS INC 40324	617.	0.	617.
CITIGROUP GLOBAL MARKETS INC 40326	748.	0.	748.
CITIGROUP GLOBAL MARKETS INC 45635	235.	0.	235.
CITIGROUP GLOBAL MARKETS INC ACCRUED INTEREST PAID	<65.>	0.	<65.>
WELLS FARGO ADVISORS #0458	697.	0.	697.
WELLS FARGO ADVISORS #2250	2,435.	17.	2,418.
WELLS FARGO ADVISORS #2711	1,379.	0.	1,379.
WELLS FARGO ADVISORS #5771	2,641.	24.	2,617.
WELLS FARGO ADVISORS #8302	232.	0.	232.
Total to Fm 990-PF, Part I, ln 4	9,393.	41.	9,352.

Form 990-PF	Rental Income	Statement	2
Kind and Location of Property	Activity Number	Gross Rental Income	
RENTAL PROPERTY	1	1,155.	
Total to Form 990-PF, Part I, line 5a		1,155.	

Form 990-PF	Rental Expenses	Statement	3
Description	Activity Number	Amount	Total
INTEREST EXPENSE		642.	
REAL ESTATE TAXES		601.	
OTHER EXPENSES		4.	
- SubTotal -	1		1,247.
Total rental expenses			1,247.
Net rental Income to Form 990-PF, Part I, line 5b			<92.>

Form 990-PF	Other Income	Statement	4
Description	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
PARTNERSHIP OIL & GAS	<570.>	<570.>	
BP INVESTMENTS 2-ORDINARY LOSS FLOWTHRU	<143.>	<143.>	
BP INVESTMENTS 2-ORDINARY LOSS FLOWTHRU	<4,222.>	<4,222.>	
BP INVESTMENTS 2-OTHER INCOME FLOWTHRU	5,220.	5,220.	
MISCELLANEOUS INCOME	53.	53.	
Total to Form 990-PF, Part I, line 11	338.	338.	

Form 990-PF	Accounting Fees			Statement	5
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
ACCOUNTING	16,640.	16,140.		500.	
To Form 990-PF, Pg 1, ln 16b	16,640.	16,140.		500.	

Form 990-PF	Other Professional Fees			Statement	6
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
INVESTMENT	1,230.	1,230.			0.
To Form 990-PF, Pg 1, ln 16c	1,230.	1,230.			0.

Form 990-PF	Taxes			Statement	7
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
FOREIGN TAXES WITHHELD	177.	177.			0.
INCOME TAX REFUND	<2,753.>	<2,753.>			0.
REAL ESTATE TAXES	601.	601.			0.
To Form 990-PF, Pg 1, ln 18	<1,975.>	<1,975.>			0.

Form 990-PF	Other Expenses			Statement	8
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
OTHER EXPENSES	4.	4.			0.
To Form 990-PF, Pg 1, ln 23	4.	4.			0.

Form 990-PF	Other Decreases in Net Assets or Fund Balances			Statement	9
Description	Amount				
NON DEDUCTIBLE PARTNERSHIP FLOW THROUGH					3.
Total to Form 990-PF, Part III, line 5					3.

Form 990-PF	Corporate Stock	Statement	10
Description	Book Value	Fair Market Value	
SEE SCHEDULE 1, PAGE 1 - SECURITIES	315,510.	339,735.	
Total to Form 990-PF, Part II, line 10b	315,510.	339,735.	

Form 990-PF	Other Investments	Statement	11
Description	Valuation Method	Book Value	Fair Market Value
SEE SCHEDULE 1, PAGE 1 - INVESTMENT IN PARTNERSHIP	COST	111,295.	111,295.
Total to Form 990-PF, Part II, line 13		111,295.	111,295.

Form 990-PF	Other Assets	Statement	12
Description	Beginning of Yr Book Value	End of Year Book Value	Fair Market Value
RECEIVABLE FROM BP INVESTMENTS	0.	1,000.	1,000.
To Form 990-PF, Part II, line 15	0.	1,000.	1,000.

Form 990-PF	Other Liabilities	Statement	13
Description	BOY Amount	EOY Amount	
TIMING DIFFERENCE ON INTEREST INCOME AND RETURN OF PRINCIPAL	7,255.	11,023.	
Total to Form 990-PF, Part II, line 22	7,255.	11,023.	

Form 990-PF	Grant Application Submission Information Part XV, Lines 2a through 2d	Statement 14
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Name and Address of Person to Whom Applications Should be Submitted

MICHAEL S. PARMET, 6750 WEST LOOP SOUTH SUITE 1010 BELLAIRE, TX 77401
6750 WEST LOOP SOUTH, P. O. BOX 1010
BELLAIRE, TX 77401

Telephone Number

713-661-3456

Form and Content of Applications

DETAILS OF PURPOSE AND VERIFICATION OF TAX-EXEMPT STATUS ARE REQUIRED WITH
THE APPLICATION.

Any Submission Deadlines

NONE

Restrictions and Limitations on Awards

NONE

Form 990-PF

Grants and Contributions
Paid During the Year

Statement 15

Recipient Name and Address	Recipient Relationship and Purpose of Grant	Recipient Status	Amount
CAMP MORASHA, 1118 AVE J BROOKLYN, NY 11230	EDUCATION	IRC SEC SEC509(a)(	3,600.
CHILD ADVOCATES 2401 PORTSMOUTH STREET, SUITE 210 HOUSTON, TX 77098	NON PROFIT	NON-PROFIT	
COLLEGE OF THE HOLY CROSS ONE COLLEGE STREET WORCHESTER, MA 01610	EDUCATION	NON-PROFIT	
GUILD OF SOMMELIERS 18921 PORTOLA DRIVE E SALINAS, CA 93908	EDUCATION	IRC SEC 170(b)(1)(	1,000.
HOUSTON COMMUNITY COLLEGE FOUNDATION 3100 MAIN STREET HOUSTON, TX 77002	EDUCATION	IRC SEC 170(b)(1)(	5,000.
HOUSTON EYE ASSOCIATES FOUNDATION 2202 WAUGH DRIVE HOUSTON, TX 77006	NON PROFIT	501(C)(3)	
LANCE ARMSTRONG FOUNDATION 2201 EAST 6TH STREET AUSTIN, TX 78702	NON PROFIT	IRC SEC 170(b)(1)(	1,000.
M. D. ANDERSON CANCER CENTER P. O. BOX 4486 HOUSTON, TX 77210	NON PROFIT	501(C)(3)	

ROSE RIBBON FOUNDATION
1121 UPTOWN PARK BLVD., SUITE 16 NON PROFIT
HOUSTON, TX 77056

IRC SEC 2,200.
509(a)(2)

RURAL WATER VENTURES
85 BROOKDALE ROAD BOSTON, MA NON-PROFIT
01776

NON-PROFIT

SOUTH CENTRAL CHAPTER OF THE
NATIONAL MULTIPLE SCLEROSIS NON PROFIT
SOCIETY - 8111 N. STADIUM DR,
STE 100 HOUSTON, TX 77054

IRC SEC 3,500.
170(b)(1)(

THE CHILDREN'S MUSEUM
1500 BINZ HOUSTON, TX 77004 EDUCATION

IRC SEC 500.
170(b)(1)(

THE CLEARING, A RANCH RETREAT
4126 SOUTHWEST FREEWAY #1210 NON PROFIT
HOUSTON, TX 77027

IRC SECT 500.
170(b)(1)(

THE HOUSTON BALLET FOUNDATION
601 PRESTON STREET HOUSTON, TX EDUCATION
77002

IRC SEC 10,000.
170(b)(1)(

THE HOUSTON SYMPHONY
615 LOUISIANA STE 102 HOUSTON, EDUCATION
TX 77002

NON-PROFIT

UT HOUSTON MEDICAL SCHOOL
P O BOX 20036 HOUSTON, TX 77225 EDUCATION

NON-PROFIT

VITA LIVING FOUNDATION
3300 S. GESSNER STE 150 NON-PROFIT
HOUSTON, TX 77063

IRC SEC 500.
509(a)(3)

Total to Form 990-PF, Part XV, line 3a

27,800.

Schedule 1

Dr. Edith F. Bondi Foundation

ID 76-0285812

FORM 990 PF FOR THE YEAR ENDED DECEMBER 31, 2010

INVESTMENT	BOOK VALUE	FMV
ATTACHMENT A	53,403.76	34,979.52
ATTACHMENT B	44,306.43	44,759.57
ATTACHMENT C	73,931.60	78,776.04
ATTACHMENT D	69,374.16	83,317.28
ATTACHMENT E	74,494.83	97,902.42
TOTAL SECURITIES	<u>315,510.78</u>	<u>339,734.83</u>
 INVESTMENTS IN PARTNERSHIPS	 111,295.14	 111,295.14
	<u>426,805.92</u>	<u>451,029.97</u>

Mutual Funds**Closed End Mutual Funds**

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
EATON VANCE RISK MANAGED DIVERSIFIED EQUITY INCOME	1,900	20.00	40,160.49		25,232.00
ETJ - HELD IN MARGIN Acquired 07/26/07 L Reinvestments L	734	18.04	13,243.27		9,747.52
Total	2,634		\$53,403.76	13.2800	\$34,979.52
Total Closed End Mutual Funds			\$53,403.76		\$34,979.52
Total Mutual Funds			\$53,403.76		\$34,979.52

Stocks, options & ETFs**Stocks and ETFs**

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
AMCOR LTD					
ADR NEW					
AMCRY	21	21.53	452.13		573.93
Acquired 06/03/05 L	3	16.63	49.89		81.99
Acquired 07/30/09 L					
Total	24		\$502.02	27.3300	\$655.92
ASTELLAS PHARMA-UNSO ADR					
ALPMY	3	38.44	115.35		112.74
Acquired 01/19/10 S	3	38.66	115.99		112.74
Acquired 01/22/10 S					
Total	6		\$231.34	37.5800	\$225.48

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
BANCO SANTANDER CENT HISPANO SPON ADR STD	65	11.50	747.81	10.6500	692.25
Acquired 06/03/05 L					
BAYER AG SPONSORED ADR BAYRY	3	36.96	110.90		220.08
Acquired 09/15/05 L	3	47.83	143.51		220.08
Acquired 11/19/08 L	2	46.96	93.93		146.72
Acquired 11/20/08 L					
Total	8		\$348.34	73.3600	\$586.88
BG GROUP PLC SPON ADR					
BRGY	11	39.04	429.46	102.0000	1,122.00
Acquired 06/03/05 L					
BOC HONG KONG HOLDINGS SPONS ADR BHKLY	4	42.77	171.12	68.4300	273.72
Acquired 01/21/10 S					
BP PLC SPONS ADR BP	5	61.05	305.25		220.85
Acquired 06/03/05 L	6	61.08	366.54		265.02
Acquired 03/12/07 L	4	60.92	243.70		176.68
Acquired 03/13/07 L	2	59.69	119.39		88.34
Acquired 03/14/07 L	1	74.69	74.69		44.17
Acquired 12/14/07 L	10	73.61	736.14		441.70
Acquired 12/17/07 L					
Total	28		\$1,845.71	44.1700	\$1,236.76
CANON INC ADR REP 5SHS CAJ	25.50000	36.32	926.26		1,309.17
Acquired 06/03/05 L	13.50000	33.11	447.00		693.09
Acquired 08/09/05 L	3	36.43	109.31		154.02
Acquired 07/30/09 L					
Total	42		\$1,482.57	51.3400	\$2,156.28
CARREFOUR SA-UNSPON ADR CRERY	24	6.70	161.03		201.12
Acquired 02/18/09 L	14	6.26	87.73		117.32
Acquired 03/11/09 L					

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
Acquired 03/12/08 L	7	6.47	45.34		58.66
Acquired 07/17/09 L	19	8.87	168.58		159.22
Acquired 08/20/09 L	10	8.85	88.52		83.80
Acquired 08/21/09 L	2	8.91	17.84		16.76
Acquired 08/27/09 L	2	8.90	17.81		16.76
Acquired 09/03/09 L	11	8.93	98.31		92.18
Total	89		\$685.16	8.3800	\$745.82
DEUTSCHE TELEKOM AG SPON ADR					
DTGY					
Acquired 04/13/07 L	5	17.76	88.83		64.00
Acquired 04/13/07 L	2	17.51	35.04		25.60
Acquired 05/09/07 L	45	17.11	770.21		576.00
Acquired 07/05/07 L	13	18.59	241.76		166.40
Acquired 07/06/07 L	33	18.59	613.74		422.40
Total	98		\$1,749.58	12.8000	\$1,254.40
FOSTERS GROUP LTD NEW SPONSORED ADR FBRWY					
Acquired 06/03/05 L	145	4.21	610.45	5.7600	835.20
GLAXOSMITHKLINE PLC-ADR GSK					
Acquired 06/03/05 L	12	49.60	595.20		470.64
Acquired 10/11/06 L	11	54.34	597.79		431.42
Acquired 10/12/06 L	1	55.10	55.11		39.22
Acquired 01/23/07 L	1	55.61	55.62		39.22
Acquired 01/26/07 L	2	54.51	109.04		78.44
Acquired 01/29/07 L	3	54.49	163.49		117.66
Acquired 01/30/07 L	2	54.58	109.18		78.44
Acquired 01/31/07 L	2	54.18	108.38		78.44
Acquired 12/14/07 L	10	53.34	533.42		392.20
Acquired 07/30/09 L	3	38.70	116.12		117.66
Total	47		\$2,443.35	39.2200	\$1,843.34
HONGKONG ELEC HLDG LTD SPON ADR HGKY					
Acquired 06/03/05 L	113	4.49	507.37	6.2500	706.25

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
IBERDROLA SA-SPONSORED					
IBDRY					
Acquired 09/24/08 L	3	42.40	127.20		91.95
Acquired 10/22/08 L	10	28.53	285.39		306.50
Acquired 10/23/08 L	5	27.86	139.33		153.25
Acquired 02/02/09 L	7	30.52	213.69		214.55
Acquired 07/15/09 L	3	31.51	94.53		91.95
Acquired 07/16/09 L	2	31.85	63.71		61.30
Acquired 09/08/09 L	3	37.34	112.04		91.95
Acquired 09/09/09 L	1	37.57	37.58		30.65
Total	34		\$1,073.47	30.6500	\$1,042.10
ING GROEP N V					
SPON ADR					
ING					
Acquired 06/03/05 L	34	23.45	797.35		332.86
Acquired 02/28/08 L	4	29.09	116.38		39.16
Acquired 02/29/08 L	19	28.56	542.71		186.01
Total	57		\$1,456.44	9.7900	\$558.03
KAO CORP-SPONSORED ADR					
KCRPY					
Acquired 10/30/06 L	10	25.86	258.66		268.90
Acquired 11/06/06 L	10	25.91	259.10		268.90
Acquired 07/03/07 L	40	26.18	1,047.21		1,075.60
Total	60		\$1,564.97	26.8900	\$1,613.40
NATIONAL AUSTRALIA					
BANK LTD SPONSORED					
ADR NEW					
NABZY					
Acquired 06/03/05 L	18	23.28	419.11		434.88
Acquired 11/07/07 L	15	39.68	595.29		362.40
Total	33		\$1,014.40	24.1600	\$797.28
NATIONAL GRID PLC					
SPON ADR NEW					
NGG					
Acquired 10/31/05 L	8	46.10	368.80		355.04
Acquired 11/02/05 L	2	46.05	92.10		88.76

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
Acquired 07/30/09 L	3	46.71	140.13		133.14
Total	13		\$601.03	44.3800	\$576.94
NIPPON TELEGRAPH & TELEPHONE CORP SPONSORED ADR					
NTT					
Acquired 06/03/05 L	16	20.66	330.56		367.04
Acquired 03/01/06 L	16	21.84	349.49		367.04
Acquired 03/03/06 L	12	22.38	268.67		275.28
Total	44		\$948.72	22.9400	\$1,009.36
NOVARTIS AG SPON ADR					
NVS					
Acquired 06/19/07 L	6	55.58	333.53		353.70
Acquired 07/06/07 L	15	55.24	828.66		884.25
Acquired 10/23/07 L	10	52.09	520.93		589.50
Acquired 10/23/07 L	3	52.16	156.50		176.85
Total	34		\$1,839.62	58.9500	\$2,004.30
REED ELSEVIER NV SPN ADR					
ENL					
Acquired 06/03/05 L	12,319	32.74	401.50		306.50
Acquired 01/30/06 L	12,063	32.50	390.37		300.15
Acquired 01/31/06 L	8,617	32.81	281.45		214.39
Acquired 07/30/09 L	9	20.52	184.75		223.92
Total	42		\$1,258.07	24.8800	\$1,044.96
ROYAL DUTCH SHELL PLC					
ADR CL A					
RDSA					
Acquired 06/03/05 L	12	59.14	709.74		801.36
Acquired 08/13/07 L	4	74.66	298.65		267.12
Acquired 08/13/07 L	4	74.26	297.05		267.12
Acquired 08/14/07 L	2	74.26	148.53		133.56
Acquired 07/30/09 L	3	52.74	158.23		200.34
Total	25		\$1,612.20	66.7800	\$1,669.50

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
RWE AKTIENGESELLSCHAFT SPONSORED ADR RWEQY Acquired 06/03/05 L	19	61.65	1,171.35	66.3900	1,261.41
SANOFL-AVENTIS ADR SNY Acquired 02/10/10 S	5	35.72	178.64	32.2300	161.15
SASOL LTD SPONS ADR SSL Acquired 06/03/05 L	18	25.28	455.04	52.0500	936.90
SEVEN & I HOLDINGS ADR SVNDY Acquired 02/17/09 L	1	50.12	50.12		53.37
Acquired 02/18/09 L	1	50.21	50.21		53.37
Acquired 03/11/09 L	1	40.63	40.63		53.37
Acquired 03/12/09 L	3	40.22	120.67		160.11
Acquired 03/17/09 L	1	40.96	40.97		53.37
Acquired 04/28/09 L	3	44.43	133.29		160.11
Acquired 07/30/09 L	6	46.64	279.89		320.22
Acquired 01/25/10 S	4	43.66	174.65		213.48
Total	20		\$890.43	53.3700	\$1,067.40
SIEMENS A G - ADR SI Acquired 09/22/08 L	2	100.28	200.57		248.50
Acquired 09/29/08 L	7	93.13	651.97		869.75
Total	9		\$852.54	124.2500	\$1,118.25
SINGAPORE TELECOMMUNICATIONS-ADR SGAPY Acquired 08/15/08 L	13	24.58	319.56		308.75
Acquired 11/19/08 L	17	15.88	270.13		403.75
Acquired 11/20/08 L	12	15.63	187.63		285.00
Acquired 07/30/09 L	3	23.85	71.55		71.25
Total	45		\$848.87	23.7500	\$1,068.75

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
SOCIETE GENERALE					
FRANCE SPONSORED ADR					
SCGLY					
Acquired 06/03/05 L	52	20.00	1,040.00		565.24
Acquired 05/07/08 L	6	23.45	140.71		65.22
Acquired 05/08/08 L	25	22.86	571.66		271.75
Acquired 06/02/09 L	2	10.51	21.03		21.74
Total	85		\$1,773.40	10.8700	\$923.95
STORA ENSO OYJ -ADR					
SEOAY					
Acquired 08/17/05 L	15	13.56	199.21		152.70
Acquired 08/18/05 L	13	13.39	170.42		132.34
Acquired 08/19/05 L	13	13.61	173.33		132.34
Acquired 03/01/07 L	2	16.16	31.78		20.36
Acquired 03/05/07 L	8	16.05	126.15		81.44
Total	51		\$700.89	10.1800	\$519.18
TAIWAN SEMICONDUCTOR					
MFG CO LTD ADR					
TSM					
Acquired 01/15/08 L	25,70700	8.83	225.85		322.37
Acquired 05/07/08 L	16,07800	11.12	177.90		201.62
Acquired 05/08/08 L	8,03900	10.97	87.82		100.81
Acquired 05/08/08 L	7,03410	10.98	76.85		88.21
Acquired 05/21/08 L	10,04870	11.07	110.74		126.01
Acquired 05/23/08 L	3,01460	10.78	32.34		37.80
Acquired 06/09/08 L	3,01460	11.10	33.28		37.80
Acquired 06/10/08 L	8,03900	10.83	86.64		100.81
Acquired 06/11/08 L	5,02500	11.08	55.38		63.01
Total	86		\$886.80	12.5400	\$1,078.44
TAKEDA PHARMACEUTICAL CO					
LTD SPONSORED ADR					
TKPY					
Acquired 07/08/08 L	4	25.13	100.52		97.40
Acquired 07/18/08 L	8	24.20	193.63		194.80
Acquired 07/23/08 L	4	25.01	100.04		97.40
Acquired 07/29/08 L	11	24.83	273.13		267.85
Acquired 09/22/08 L	12	24.65	295.84		292.20
Acquired 09/25/08 L	1	24.94	24.94		24.35

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
Acquired 09/30/08 L	8	25.42	203.41		194.80
Acquired 07/30/09 L	3	20.10	60.30		73.05
Total	51		\$1,251.81	24.3500	\$1,241.85
TELECOM CO NEW ZEALAND LTD SPONSORED ADR NZT					
Acquired 08/03/06 L	13,81300	14.85	204.52		116.03
Acquired 08/04/06 L	31.18700	14.33	445.52		261.97
Total	45		\$650.04	8.4000	\$378.00
TELEFONICA S A SPON ADR TEF					
Acquired 01/30/06 L	18	45.65	821.79		1,231.56
Acquired 11/07/07 L	3	100.60	301.82		205.26
Total	21		\$1,123.61	68.4200	\$1,436.82
TELSTRA CORPORATION LTD SPON ADR TLSYY					
Acquired 08/19/05 L	10	17.92	179.21		143.50
Acquired 09/06/05 L	10	16.86	168.69		143.50
Acquired 10/19/05 L	9	15.30	137.73		129.15
Acquired 10/20/05 L	10	15.39	153.94		143.50
Acquired 10/21/05 L	7	15.39	107.74		100.45
Acquired 05/11/09 L	14	12.25	171.54		200.90
Acquired 05/12/09 L	4	12.08	48.34		57.40
Total	64		\$967.19	14.3500	\$918.40
TOKIO MARINE HOLDING ADR TKOMY					
Acquired 06/03/05 L	8	26.05	208.46		236.80
Acquired 01/25/07 L	8	37.42	299.37		236.80
Acquired 01/26/07 L	5	36.40	182.03		148.00
Acquired 03/13/07 L	11	35.59	391.54		325.60
Acquired 03/14/07 L	10	34.62	346.21		296.00
Total	42		\$1,427.61	29.6000	\$1,243.20

Stocks and EIPs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
TOTAL S.A. SPONS ADR					
TOT					
Acquired 06/03/05 L	6	55.07	330.46		320.88
Acquired 03/01/06 L	10	62.56	625.63		534.80
Acquired 01/17/07 L	14	66.63	932.83		748.72
Total	30		\$1,888.92	53.4800	\$1,604.40
TOYOTA MTR CORP ADR					
NEW 3/82					
TM					
Acquired 06/03/05 L	12	71.90	862.80	78.6300	943.56
UNILEVER PLC SPONS ADR					
UL					
Acquired 01/24/06 L	8,32710	23.04	191.49		257.14
Acquired 01/26/06 L	1,79640	23.35	41.87		55.47
Acquired 03/03/06 L	32,33620	23.44	756.50		998.54
Acquired 05/11/06 L	30,54030	23.79	725.34		943.09
Total	73		\$1,715.20	30.8800	\$2,254.24
UNITED OVERSEAS BK LTD					
SPONSORED ADR					
UOVEY					
Acquired 10/11/06 L	4	21.08	84.35		113.44
Acquired 10/12/06 L	9	21.28	191.58		255.24
Acquired 10/17/06 L	11	21.36	235.02		311.96
Total	24		\$510.95	28.3600	\$680.64
UPM-KYMMENE CORP					
SPON ADR					
UPMKY					
Acquired 06/03/05 L	43	19.59	842.64	17.7500	763.25
VINCI S.A. -ADR					
VCISY					
Acquired 02/08/10 S	2	12.99	25.99		27.30
Acquired 02/09/10 S	14	13.00	182.03		191.10
Acquired 02/19/10 S	5	13.07	65.40		68.25
Acquired 02/22/10 S	11	13.17	144.88		150.15
Total	32		\$418.30	13.6500	\$436.80

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
VODAFONE GROUP PLC SPONSORED ADR NEW VOD					
Acquired 08/06/09 L	10	20.94	209.40		264.40
Acquired 08/20/09 L	1	21.85	21.85		26.44
Acquired 08/21/09 L	8	21.83	174.72		211.52
Acquired 09/01/09 L	11	21.44	235.89		290.84
Acquired 10/16/09 L	8	21.99	175.97		211.52
Acquired 11/12/09 L	5	22.60	113.01		132.20
Acquired 11/13/09 L	1	22.81	22.82		26.44
Acquired 11/19/09 L	6	22.39	134.35		158.64
Total	50		\$1,088.01	26.4400	\$1,322.00
ZURICH FINANCIAL SVCS ADR ZFSVY					
Acquired 09/18/09 L	1	23.67	23.67		25.89
Acquired 09/21/09 L	7	23.48	164.39		181.23
Acquired 09/22/09 L	1	23.77	23.77		25.89
Acquired 10/21/09 L	3	24.60	73.81		77.67
Acquired 10/22/09 L	2	24.67	49.34		51.78
Acquired 10/26/09 L	5	24.49	122.49		129.45
Acquired 11/05/09 L	2	22.51	45.04		51.78
Acquired 11/06/09 L	8	21.96	175.68		207.12
Total	29		\$578.19	25.8900	\$750.81
Total Stocks and ETFs			\$44,306.43		\$44,759.57
Total Stocks, options & ETFs			\$44,306.43		\$44,759.57

Stocks and ETFs

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
ALLSTATE CORP					
ALL					
Acquired 03/02/06 L	10	54.06	540.68		318.80
Acquired 03/09/06 L	10	54.53	545.30		318.80
Acquired 03/13/06 L	10	54.57	545.74		318.80
Acquired 03/17/06 L	10	54.49	544.96		318.80
Acquired 11/13/08 L	11	25.43	279.79		350.68
Total	51		\$2,456.47	31.8800	\$1,625.88
ALTRIA GROUP INC					
MO					
Acquired 04/25/07 L	1	21.37	21.37		24.62
Acquired 04/10/08 L	25	21.65	541.39		615.50
Acquired 04/11/08 L	20	21.34	426.86		492.40

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
Acquired 04/16/08 L	25	21.51	537.96		615.50
Acquired 03/04/09 L	11	14.69	161.63		270.82
Acquired 03/10/09 L	4	16.17	64.70		98.48
Acquired 03/11/09 L	21	16.40	344.50		517.02
Acquired 03/13/09 L	17	16.45	279.68		418.54
Acquired 02/02/10 S	18	19.96	359.39		443.16
Total	142		\$2,737.48	24.6200	\$3,496.04
AMEREN CORP					
AEE					
Acquired 08/27/07 L	15	50.11	751.68		422.85
Acquired 09/17/07 L	10	51.94	519.44		281.90
Acquired 10/01/07 L	10	53.30	533.05		281.90
Acquired 10/15/07 L	10	53.60	536.03		281.90
Acquired 02/02/10 S	10	25.70	257.06		281.90
Total	55		\$2,597.26	28.1900	\$1,550.45
ANNALY CAPITAL MANAGEMENT INC REIT					
NLY					
Acquired 07/08/08 L	15	15.28	229.29		268.80
Acquired 07/16/08 L	35	14.26	499.14		627.20
Acquired 07/17/08 L	30	14.56	437.01		537.60
Acquired 03/12/09 L	9	13.73	123.60		161.28
Acquired 03/18/09 L	18	14.23	256.20		322.56
Acquired 03/24/09 L	30	14.33	430.02		537.60
Acquired 04/03/09 L	23	14.12	324.77		412.16
Total	160		\$2,300.03	17.9200	\$2,867.20
AT & T INC					
T					
Acquired 06/03/05 L	47	24.31	1,131.24	29.3800	1,380.86
BOEING CO					
BA					
Acquired 02/03/09 L	11	41.60	457.66		717.86
Acquired 02/06/09 L	12	42.95	515.49		783.12
Total	23		\$973.15	65.2600	\$1,500.98
CENTURYLINK INC					
CTL					
Acquired 02/20/09 L	10.75860	25.59	270.27		496.72

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
Acquired 03/02/09 L	8,06900	25.08	198.73		372.55
Acquired 03/04/09 L	9,41380	25.46	235.28		434.64
Acquired 03/12/09 L	10,75860	26.03	274.92		496.72
Total	39		\$979.20	46.1700	\$1,800.63
CHESAPEAKE ENERGY CORP					
CHK					
Acquired 11/11/09 L	30	25.62	768.71		777.30
Acquired 11/12/09 L	28	24.71	692.01		725.48
Total	58		\$1,460.72	25.9100	\$1,502.78
CHEVRON CORPORATION					
CVX					
Acquired 06/03/05 L	21	55.01	1,155.37	91.2500	1,916.25
CONOCOPHILLIPS					
COP					
Acquired 02/16/06 L	10	59.66	596.65		681.00
Acquired 02/23/06 L	10	61.44	614.46		681.00
Acquired 02/27/06 L	5	62.16	310.82		340.50
Acquired 03/03/06 L	10	62.63	626.39		681.00
Acquired 11/10/09 L	20	53.53	1,070.68		1,362.00
Total	55		\$3,219.00	68.1000	\$3,745.50
DIAMOND OFFSHORE DRILLING					
INC					
DO					
Acquired 12/19/07 L	5	129.06	645.35		334.35
Acquired 12/26/07 L	5	148.19	740.99		334.35
Acquired 01/02/08 L	5	141.14	705.72		334.35
Acquired 01/07/08 L	2	133.99	268.00		133.74
Acquired 01/12/09 L	6	58.24	349.47		401.22
Acquired 07/27/09 L	5	92.61	463.07		334.35
Acquired 07/29/09 L	1	90.30	90.30		66.87
Total	29		\$3,262.90	66.8700	\$1,939.23
EDISON INTL					
EIX					
Acquired 03/04/09 L	10	25.04	250.46		386.00
Acquired 03/10/09 L	8	24.71	197.75		308.80
Acquired 03/17/09 L	8	28.16	225.28		308.80

Stocks and ETFs continued

DESCRIPTION	IF IT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
Acquired 03/20/09 L		10	28.99	289.99		386.00
Total	7	36		\$963.48	38.6000	\$1,389.60
FREEPORT-MCMORAN COPPER & GOLD INC CLASS B FCX		17	88.73	1,508.47		2,041.53
Acquired 01/11/10 S		1	84.64	84.65		120.09
Acquired 01/13/10 S		0		\$1,593.12	120.0900	\$2,161.62
Total	3	11	8.87	97.61	9.7300	107.03
FRONTIER COMMUNICATIONS CORP FTR		2	49.64	99.30		78.44
Acquired 06/03/05 L		25	52.47	1,311.77		980.50
GLAXOSMITHKLINE PLC-ADR		15	51.05	765.75		588.30
GSK		10	52.04	520.43		392.20
Acquired 05/30/07 L		15	52.08	781.28		588.30
Acquired 06/12/07 L		0		\$3,478.53	39.2200	\$2,627.74
Acquired 06/14/07 L		3				
Total	1	7	186.94	1,308.60	168.1600	1,177.12
GOLDMAN SACHS GROUP INC GS		8	40.45	323.61		362.40
Acquired 10/06/09 L		6	44.66	268.01		271.80
HARRIS CORP DEL		6	43.92	263.52		271.80
HRS		4	40.86	163.46		181.20
Acquired 01/20/09 L		3	40.68	122.05		135.90
Acquired 01/28/09 L		5	45.36	226.84		226.50
Acquired 02/09/09 L		32		\$1,367.49	45.3000	\$1,449.60
Acquired 02/17/09 L		19	25.38	482.29		666.14
Acquired 02/18/09 L		15	27.12	406.92		525.90
Acquired 02/02/10 S		0				
Total	1	19	25.38	482.29	406.92	666.14
HOME DEPOT INC HD		15	27.12	406.92		525.90
Acquired 01/15/08 L		0				
Acquired 01/18/08 L		0				

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
Acquired 07/24/08 L	15	29.40	441.14		525.90
Total	49		\$1,330.35	35.0600	\$1,717.94
HUDSON CITY BANCORP INC					
HCBK					
Acquired 07/06/09 L	68	13.31	905.68		866.32
Acquired 07/08/09 L	19	13.26	252.08		242.06
Acquired 02/02/10 S	21	13.15	276.30		267.54
Total	108		\$1,434.06	12.7400	\$1,375.92
INTEL CORP					
INTC					
Acquired 11/11/09 L	20	19.87	397.48		420.60
Acquired 11/12/09 L	53	20.09	1,064.79		1,114.59
Total	73		\$1,462.27	21.0300	\$1,535.19
INTERNATIONAL BUSINESS MACHINE CORP					
IBM					
Acquired 04/02/09 L	3	99.18	297.55		440.28
Acquired 04/07/09 L	2	99.13	198.28		293.52
Acquired 04/23/09 L	1	101.14	101.15		146.76
Acquired 04/27/09 L	6	100.01	600.08		880.56
Total	12		\$1,197.06	146.7600	\$1,761.12
JOHNSON & JOHNSON					
JNJ					
Acquired 05/15/09 L	22	55.28	1,216.38	61.8500	1,360.70
KIMBERLY-CLARK CORP					
KMB					
Acquired 06/03/05 L	22	64.55	1,420.10	63.0400	1,386.88
KRAFT FOODS INC CL A					
KFT					
Acquired 04/23/07 L	1	33.27	33.27		31.51
Acquired 05/02/07 L	20	33.51	670.39		630.20
Acquired 05/09/07 L	25	32.66	816.73		787.75
Total	46		\$1,520.39	31.5100	\$1,449.46
LUBRIZOL CORP					
LZ					
Acquired 01/13/10 S	20	76.82	1,536.45	106.8800	2,137.60

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
MARATHON OIL CORP					
MRO					
Acquired 06/03/05 L	40	24.99	999.72	37.0300	1,481.20
MATTEL INCORPORATED					
MAT					
Acquired 12/01/05 L	3	16.27	48.82		76.29
Acquired 12/06/05 L	15	16.46	246.90		381.45
Acquired 12/07/05 L	5	16.47	82.38		127.15
Acquired 12/08/05 L	45	16.41	738.72		1,144.35
Total	68		\$1,116.82	25.4300	\$1,729.24
MCGRAW-HILL COMPANIES					
INC					
MHP					
Acquired 08/28/09 L	41	33.58	1,376.88	36.4100	1,492.81
MEDTRONIC INC					
MDT					
Acquired 02/17/09 L	9	34.70	312.34		333.81
Acquired 02/20/09 L	6	34.00	204.02		222.54
Acquired 02/24/09 L	7	34.55	241.91		259.63
Acquired 02/26/09 L	10	32.76	327.68		370.90
Total	32		\$1,085.95	37.0900	\$1,186.88
METLIFE INC					
MET					
Acquired 04/23/09 L	11	27.93	307.27		488.84
Acquired 05/04/09 L	21	27.41	575.70		933.24
Acquired 05/15/09 L	8	30.52	244.21		355.52
Total	40		\$1,127.18	44.4400	\$1,777.60
NEW YORK COMMUNITY					
BANCORP INC					
NYB					
Acquired 01/20/09 L	26	12.64	328.73		490.10
Acquired 01/26/09 L	24	12.56	301.68		452.40
Acquired 01/28/09 L	21	13.03	273.67		395.85
Acquired 02/04/09 L	21	12.14	255.08		395.85
Acquired 02/06/09 L	4	12.72	50.89		75.40
Total	96		\$1,210.05	18.8500	\$1,809.60

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
NORTHROP GRUMMAN CORP NEW NOC	24	50.72	1,217.33	64.7800	1,554.72
Acquired 10/26/09 L					
Pfizer Incorporated	38	27.98	1,063.59		665.38
PFE	5	24.84	124.24		87.55
Acquired 06/03/05 L	40	24.67	986.90		700.40
Acquired 04/21/06 L	25	24.99	624.85		437.75
Acquired 04/24/06 L	20	25.49	509.84		350.20
Acquired 04/28/06 L	25	25.29	632.28		437.75
Acquired 05/01/06 L					
Acquired 05/02/06 L					
Total	153		\$3,941.70	17.5100	\$2,679.03
REYNOLDS AMERICAN INC RAI	58	20.67	1,199.15	32.6200	1,891.96
Acquired 06/03/05 L					
ROYAL DUTCH SHELL PLC ADR CL A RDS/A	5	80.75	403.75		333.90
Acquired 09/07/07 L	5	83.61	418.09		333.90
Acquired 09/25/07 L	5	83.35	416.76		333.90
Acquired 10/01/07 L	10	79.83	798.30		667.80
Acquired 10/05/07 L					
Total	25		\$2,036.90	66.7800	\$1,669.50
RR DONNELLEY & SONS CO RRD	10	32.33	323.33		174.70
Acquired 01/26/06 L	5	32.62	163.11		87.35
Acquired 03/29/06 L	10	33.29	332.90		174.70
Acquired 04/05/06 L	10	33.13	331.33		174.70
Acquired 04/27/06 L	10	33.53	335.36		174.70
Acquired 05/04/06 L	5	33.31	166.55		87.35
Acquired 05/17/06 L	10	31.08	310.86		174.70
Acquired 06/08/06 L					
Total	60		\$1,963.44	17.4700	\$1,048.20
TOTAL S.A. SPONS ADR TOT	5	83.15	415.79		267.40
Acquired 12/13/07 L					

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
Acquired 12/26/07 L	10	81.72	817.29		534.80
Acquired 01/03/08 L	5	85.20	426.02		267.40
Acquired 01/08/08 L	5	85.61	428.08		267.40
Acquired 02/16/10 S	18	58.42	1,051.73		962.64
Acquired 02/17/10 S	5	58.28	291.42		267.40
Total	48		\$3,430.33	53.4800	\$2,567.04
TRAVELERS COS INC/ THE					
TRV					
Acquired 06/03/05 L	45	38.30	1,723.50	55.7100	2,506.95
V F CORPORATION					
VFC					
Acquired 06/03/05 L	23	57.27	1,317.21	86.1800	1,982.14
VERIZON COMMUNICATIONS					
COM					
VZ					
Acquired 06/03/05 L	46	31.59	1,453.71	35.7800	1,645.88
WASTE MGMT INC DEL					
WM					
Acquired 01/23/08 L	11	28.68	315.49		405.57
Acquired 01/31/08 L	15	32.36	485.45		553.05
Acquired 02/07/08 L	15	32.12	481.94		553.05
Total	41		\$1,282.88	36.8700	\$1,511.67
WINDSTREAM CORP					
WIN					
Acquired 08/14/06 L	25	12.84	321.18		348.50
Acquired 08/15/06 L	20	13.14	262.81		278.80
Acquired 08/23/06 L	10	12.95	129.53		139.40
Acquired 08/24/06 L	35	13.00	455.31		487.90
Acquired 09/06/06 L	15	13.29	199.50		209.10
Acquired 09/07/06 L	10	13.32	133.21		139.40
Acquired 09/08/06 L	20	13.47	269.42		278.80
Total	135		\$1,770.96	13.9400	\$1,881.90
XEROX CORP					
XRX					
Acquired 06/23/08 L	35	13.66	478.33		403.20
Acquired 06/26/08 L	10	13.62	136.23		115.20
Acquired 06/27/08 L	25	13.44	336.21		288.00

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
Acquired 07/07/08 L	35	13.46	471.37		403.20
Acquired 07/15/08 L	40	13.19	527.90		460.80
Total	145		\$1,950.04	11.5200	\$1,670.40
3M CO					
MMM					
Acquired 05/29/08 L	5	77.42	387.10		431.50
Acquired 06/04/08 L	5	77.10	385.51		431.50
Acquired 08/09/08 L	10	75.65	756.53		863.00
Total	20		\$1,529.14	86.3000	\$1,726.00
Total Stocks and ETFs			\$73,931.60		\$78,776.04
Total Stocks, options & ETFs			\$73,931.60		\$78,776.04

Stocks and ETFs

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
BUNGE LTD					
BG	9	N/A##	448.49	65.5200	589.68
Acquired 12/01/10 S					
FREEPORT-MCMORAN COPPER					
& GOLD INC					
CLASS B	13.33333	59.52	793.81		1,601.20
FCX	6.66667	58.23	388.26		800.60
Acquired 05/08/09 L					
Acquired 05/28/09 L					
Total	20		\$1,182.07	120.0900	\$2,401.80

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
HASBRO INC					
HAS	46	N/A##	1,321.67	47.1800	2,170.28
Acquired 04/27/10 S					
SIMON PROPERTY GROUP					
REIT INC NEW					
SPG	13.03703	58.51	749.46		1,297.05
Acquired 07/01/09 L	8.96297	81.83	720.52		891.73
Acquired 01/05/10 S					
Total	22		\$1,469.98	99.4900	\$2,188.78
STANLEY BLACK & DECKER					
INC					
SWK	32	N/A##	1,797.92	66.8700	2,139.84
Acquired 05/19/10 S					
VALE S A ADR					
VALE	66	N/A##	1,481.96	34.5700	2,281.62
Acquired 06/15/10 S					

Total Stocks, options, & ETFs

\$7,702.09

\$11,772.00

Corporate Bonds

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
DANAHER CORP ^A					
SENIOR NOTES					
CALLABLE					
CPN 0.000% DUE 01/22/21					
DTD 01/22/01					
PUT 01/22/11 @ 78.970					
Moody A2, S&P A+					
CUSIP 235851AF9					
Acquired 08/28/06 L	1,000	97.67	976.76		1,370.00
Acquired 01/05/10 S	1,000	110.08	1,100.85		1,370.00
Total	2,000		\$2,077.61	137.0000	\$2,740.00
FISHER SCIENTIFIC INTL ^A					
SR SUB NOTES					
CALLABLE					
CPN 3.250% DUE 03/01/24					
DTD 03/03/04 FC 09/01/04					
PUT 03/01/11 @ 100.000					
Moody BAA2, S&P BBB+					
CUSIP 338032AX3					
Acquired 09/12/07 L	1,000	151.25	1,512.50	138.5000	1,385.00
ALLERGAN INC					
CONVERTIBLE BOND					
CALLABLE					
CPN 1.500% DUE 04/01/26					
DTD 04/12/06 FC 10/01/06					
PUT 04/01/11 @ 100.000					
Moody A3, S&P A+					
CUSIP 018490AL6					
Acquired 11/30/07 L	1,000	120.41	1,204.18		1,125.00
Acquired 02/25/10 S	1,000	109.98	1,099.81		1,125.00
Total	2,000		\$2,303.99	112.5000	\$2,250.00

Corporate Bonds continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
INVITROGEN CORPORATION					
CONVERTIBLE SENIOR NOTES					
CALLABLE					
CPN 3.250% DUE 06/15/25					
DTD 06/20/05 FC 12/15/05					
PUT 06/15/11 @ 100.000					
Moody NR, S&P BBB					
CUSIP 46185SRAM2					
Acquired 05/19/09 L	1,000	99.05	990.528		1,185.00
Acquired 07/16/09 L	1,000	106.50	1,065.00		1,185.00
Total	2,000		\$2,055.52	118.5000	\$2,370.00
EMC CORP					
CONVERTIBLE SENIOR NOTES					
LNKD TO EMC					
CPN 1.750% DUE 12/01/11					
DTD 11/17/06 FC 06/01/07					
Moody NR, S&P A-					
CUSIP 268648AK8					
Acquired 03/09/07 L	1,000	104.75	1,047.50		1,443.75
Acquired 02/23/09 L	1,000	98.27	982.79		1,443.75
Total	2,000		\$2,030.29	144.3750	\$2,887.50
TECH DATA CORP					
CONVERTIBLE DEBENTURES					
LNKD TO TECD CALLABLE					
CPN 2.750% DUE 12/15/26					
DTD 12/20/06 FC 06/15/07					
PUT 12/15/11 @ 100.000					
Moody BAA3, S&P BBB-					
CUSIP 878237AE6					
Acquired 05/21/08 L	1,000	96.76	967.67	104.6250	1,046.25

Corporate Bonds continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
BEST BUY CONVERTIBLE BOND					
CPN 2.250% DUE 01/15/22					
DTD 01/15/02 FC 07/15/02					
PUT 01/15/12 @ 100.000					
Moody BAA3, S&P BB+					
CUSIP 086516AF8					
Acquired 05/12/09 L	1,000	99.29	992.99&	105.8750	1,058.75
NEWMONT MINING CORP CONVERTIBLE SENIOR NOTES LNKD TO NEM					
CPN 3.000% DUE 02/15/12					
DTD 02/03/09 FC 08/15/09					
Moody BAA2, S&P BBB+					
CUSIP 651639AK2					
Acquired 02/05/09 L	1,000	113.64	1,136.49	138.1250	1,381.25
BORGWARNER INC CONVERTIBLE SENIOR NOTES LINKED TO BWA					
CPN 3.500% DUE 04/15/12					
DTD 04/09/09 FC 10/15/09					
Moody BA1, S&P BBB					
CUSIP 099724AF3					
Acquired 08/11/09 L	1,000	124.50	1,245.00	222.6250	2,226.25
VORNADO REALTY L.P. CONVERTIBLE DEBENTURES CALLABLE					
CPN 3.875% DUE 04/15/25					
DTD 03/29/05 FC 10/15/05					
PUT 04/15/12 @ 100.000					
Moody BAA2, S&P BBB					
CUSIP 929043AC1					
Acquired 08/11/09 L	1,000	101.55	1,015.51		1,108.75
Acquired 02/25/10 S	1,000	105.02	1,050.20		1,108.75
Total	2,000		\$2,065.71	110.8750	\$2,217.50

Corporate Bonds continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
ICONIX BRAND GROUP INC CONV NOTES LNKD TO ICON CPN 1.875% DUE 06/30/12 DTD 06/20/07 FC 12/31/07 Moody B2, S&P B+ CUSIP 451055AB3 Acquired 10/09/09 L	1,000	87.87	878.75	99.0000	990.00
AMGEN INC SR CONVERTIBLE NOTES LINKED TO AMGN CPN 0.375% DUE 02/01/13 DTD 08/01/06 FC 02/01/07 Moody A3, S&P A+ CUSIP 031162AQ3 Acquired 01/04/10 S Acquired 02/25/10 S	1,000 1,000 2,000	101.62 99.89	1,016.25 998.96 \$2,015.21	99.3750	993.75 993.75 \$1,987.50
CENTRAL EUR DISTR CORP CONV NOTES LNKD TO CEDC CPN 3.000% DUE 03/15/13 DTD 03/07/08 FC 09/15/08 Moody NR, S&P B- CUSIP 153435AA0 Acquired 09/29/09 L Acquired 01/27/10 S	1,000 1,000 2,000	83.58 88.12	835.86 881.25 \$1,717.11	92.6250	926.25 926.25 \$1,852.50
GILEAD SCIENCES INC SENIOR CONVERTIBLE NOTES CPN 0.625% DUE 05/01/13 DTD 04/25/06 FC 05/01/07 Moody NR, S&P NR CUSIP 375558AH6 Acquired 11/11/08 L	1,000	121.43	1,214.32	110.2500	1,102.50

Corporate Bonds continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
SBA COMMUNICATIONS CORP CONVERTIBLE SENIOR NOTE CPN 1.875% DUE 05/01/13 DTD 05/16/08 FC 11/01/08 Moody NR CUSIP 78388JAN6 Acquired 12/15/09 L	1,000	101.81	1,018.13	112.1250	1,121.25
PROLOGIS CONV SENIOR NOTES LNKD TO PLD CALLABLE CPN 2.625% DUE 05/15/38 DTD 05/07/08 FC 11/15/08 PUT 05/15/13 @ 100.000 Moody BAA2, S&P BBB- CUSIP 743410AS1 Acquired 03/19/09 L	1,000	48.00	480.00	99.5000	995.00
NETAPP INC CONVERTIBLE SENIOR NOTE CPN 1.750% DUE 06/01/13 DTD 06/10/08 FC 12/01/09 Moody NR CUSIP 64110DAB0 Acquired 08/11/09 L	1,000	98.49	984.93	177.7500	1,777.50
ADC TELECOMMUNICATIONS SUB NOTES CONV FLTG RATE CALLABLE CPN 0.000% DUE 06/15/13 DTD 06/04/03 FC 12/15/03 CALL 06/15/11 @ 100.500 Moody NR, S&P NR CUSIP 000866AB7 Acquired 11/15/07 L	1,000	94.00	940.00	99.7500	997.50

Corporate Bonds continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
SMITHFIELD FOODS INC CONV SECURED NOTES LNKD TO SFD CPN 4.000% DUE 06/30/13 DTD 07/08/08 FC 12/30/08 Moody B3 , S&P B- CUSIP 832248AR9 Acquired 11/16/09 L	1,000	104.52	1,045.21	116.6250	1,166.25
MOLSON COORS BREWING CO CONVERTIBLE SENIOR NOTES CPN 2.500% DUE 07/30/13 DTD 06/15/07 FC 07/30/07 Moody NR , S&P BBB- CUSIP 60871RAA8 Acquired 11/07/08 L Acquired 07/16/09 L Acquired 11/05/09 L	1,000 1,000 1,000 3,000	103.87 110.50 109.21	1,038.75 1,105.00 1,092.11 \$3,235.86	115.3750	1,153.75 1,153.75 1,153.75 \$3,461.25
EMC CORP CONVERTIBLE NOTES LNKD TO EMC CPN 1.750% DUE 12/01/13 DTD 11/17/06 FC 06/01/07 Moody NR , S&P A- CUSIP 268648AM4 Acquired 03/02/10 S	1,000	125.23	1,252.40	150.5000	1,505.00
BECKMAN COULTER INC SR CONVERTIBLE NTS CALLABLE CPN 2.500% DUE 12/15/36 DTD 12/15/06 FC 06/15/07 PUT 12/15/13 @ 100.000 Moody BAA3 , S&P BBB CUSIP 075811AD1 Acquired 08/28/07 L	1,000	112.93	1,129.36	113.2500	1,132.50

Corporate Bonds continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
ON SEMICONDUCTOR CORP REVERSE CONV SR NOTES LNKD TO ONNN CALLABLE CPN 2.625% DUE 12/15/26 DTD 12/15/06 FC 06/15/07 PUT 12/15/13 @ 100.000 Moody NR , S&P B+ CUSIP 682189AG0 Acquired 05/15/09 L Acquired 01/27/10 S	1,000 1,000 2,000	84.61 103.54	846.10 1,035.42 \$1,881.52	117.0000	1,170.00 1,170.00 \$2,340.00
Total					
TEVA PHARMACEUT FIN BV DEBENTURES CALLABLE CPN 0.250% DUE 02/01/24 DTD 01/27/04 FC 08/01/04 PUT 02/01/14 @ 100.000 Moody NR , S&P A- CUSIP 88164RAB3 Acquired 12/17/08 L	1,000	126.98	1,269.84	150.8750	1,508.75
ALCOA INC CONVERTIBLE CPN 5.250% DUE 03/15/14 DTD 03/24/09 FC 09/15/09 Moody BAA3 , S&P NR CUSIP 013817AT8 Acquired 11/10/09 L	1,000	224.49	2,244.98	248.8750	2,488.75
US STEEL CORP CONV SR UNSECURED CPN 4.000% DUE 05/15/14 DTD 05/04/09 FC 11/15/09 Moody BA2 , S&P BB CUSIP 912909AE8 Acquired 01/14/10 S	1,000	209.09	2,090.96	195.6250	1,956.25

Corporate Bonds continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
MICRON TECHNOLOGY INC CONVERTIBLE NOTES CPN 1.875% DUE 06/01/14 DTD 05/23/07 FC 12/01/07 Moody NR, S&P B+ CUSIP 595112AH6 Acquired 09/17/09 L	1,000	84.87	848.80	94.5000	945.00
JANUS CAPITAL GRP INC CONV SENIOR NOTES CPN 3.250% DUE 07/15/14 DTD 07/21/09 FC 01/15/10 Moody BAA3, S&P BB+ CUSIP 47102XAG0 Acquired 12/21/09 L	1,000	119.75	1,197.55	118.5000	1,185.00
CHESAPEAKE ENERGY CORP REVERSE CONVERTIBLE SENIOR NOTES CALLABLE CPN 2.500% DUE 05/15/37 DTD 05/15/07 FC 11/15/07 PUT 05/15/17 @ 100.000 Moody BAA3, S&P BB CUSIP 165167BZ9 Acquired 02/03/10 S	1,000	87.87	878.75&	88.1250	881.25
JEFFERIES GRP INC CONV SR UNSECURED LNKD TO JEF CPN 3.875% DUE 11/01/29 DTD 10/26/09 FC 05/01/10 PUT 11/01/17 @ 100.000 Moody BAA2, S&P BBB CUSIP 472319AG7 Acquired 11/19/09 L Acquired 01/04/10 S	1,000 1,000 2,000	99.28 100.53	992.88& 1,005.39	104.5000	1,045.00 1,045.00 \$2,090.00
Total			\$1,998.27	104.5000	\$2,090.00

Corporate Bonds continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
INTEL CORP CONVERTIBLE NOTE SER INTC CPN 2.950% DUE 12/15/35 DTD 12/18/05 FC 06/15/08 Moody NR, S&P A- CUSIP 458140AD2 Acquired 09/06/07 L Acquired 03/31/09 L	1,000 1,000 2,000	104.40 83.44	1,044.00 834.46& \$1,878.46	99.6250 99.6250	996.25 996.25 \$1,992.50
Total	43,000		\$46,588.18		\$53,038.75
Total Corporate Bonds					

Foreign Bonds

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
INGERSOLL-RAND CO LTD CONV SR UNSECURED LINKED TO SYM IR CPN 4.500% DUE 04/15/12 DTD 04/06/09 FC 10/15/09 Moody BAA1, S&P BBB+ CUSIP 45687AAD4 Acquired 07/09/09 L	1,000	130.75	1,307.50	265.3750	2,653.75

Foreign Bonds continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
TRANSOCEAN INC CONV SR UNSECURED NOTES LNKD TO C CALLABLE CPN 1.500% DUE 12/15/37 DTD 12/1/07 FC 06/15/08 PUT 12/14/12 @ 100.000 Moody BAA3, S&P BBB CUSIP 893830AW9 Acquired 02/19/10 S	1,000	95.87	958.75	96.7500	967.50
SUNTECH POWER HLDGS CO CONVERTIBLE SR UNSECURED NOTES LINKED TO STP CPN 3.000% DUE 03/15/13 DTD 03/17/08 FC 09/15/08 Moody NR CUSIP 86800CAE4 Acquired 08/11/09 L	1,000	78.96	789.64	87.7500	877.50
Total Foreign Bonds	3,000		\$3,055.89		\$4,498.75
Total Fixed Income Securities			\$49,644.07		\$57,537.50

Preferreds/Fixed Rate Cap Securities

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
AFFIL MANAGERS DUE 4/15/36 CONVERTIBLE AMGZO Acquired 11/22/06 L Acquired 11/27/06 L Acquired 08/29/07 L Acquired 05/12/09 L	11 16 2 15	52.75 52.24 53.75 28.97	580.34 835.89 107.50 434.67		553.85 805.60 100.70 755.25
Total	44		\$1,958.40	50.3500	\$2,215.40

Preferreds/Fixed Rate Cap Securities

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
ARCHER DANIELS 6.25% PFD CONV 06/01/11 SER PRX/SHR=\$47.83 ADMA					
Acquired 07/23/08 L	12	40.39	484.72		465.96
Acquired 12/18/08 L	7	36.57	256.06		271.81
Acquired 02/05/09 L	9	35.59	320.32		349.47
Acquired 10/30/09 L	14	43.38	607.43		543.62
Total	42		\$1,668.53	38.8300	\$1,630.86
AUTOLIV INC PFD CORP UNIT CONVERTIBLE UNTIL 4/30/12 ALV Z					
Acquired 09/17/09 L	10	51.38	513.81	105.6900	1,056.90
BUNGE LTD CONVERTIBLE PREFERRED BGEFF					
Acquired 02/09/07 L	3	112.13	336.39		282.00
Acquired 08/29/07 L	2	116.00	232.00		188.00
Total	5		\$568.39	94.0000	\$470.00
LEGG MASON INC 7% PFD 6/30/11 SERIES CONV UNTIL 6/30/11 LMI					
Acquired 05/12/09 L	25	22.13	553.38	33.9000	847.50
LUCENT TECH CAP 7.75%PFD DUE 3/15/2017 CONV CALLABLE STARTING 3/20/07 LUTHP					
Acquired 05/31/07 L	1	1,036.25	1,036.25		886.00
Acquired 06/27/07 L	1	1,030.00	1,030.00		886.00
Total	2		\$2,066.25	886.0000	\$1,772.00
NEXTERA ENERGY 8.375%PFD MTY DT 06/01/2012 NEEXU					
Acquired 07/14/09 L	23	51.25	1,178.75		1,141.72
Acquired 12/16/09 L	9	53.50	481.50		446.76
Total	32		\$1,660.25	49.6400	\$1,588.48

Preferreds/Fixed Rate Cap Securities

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
WELLS FARGO & CO 7.5% PERP CONV CL A SER L NON-CUMULATIVE WFC'L Acquired 08/11/09 L	1	830.00	830.00	1,000.5500	1,000.55
WHITING PETROLEUM PFD 6.25% CONVERTIBLE/PERPETUAL WLL'A Acquired 10/27/09 L Acquired 01/08/10 S	6 3 9	158.49 189.75	950.94 569.25 \$1,520.19	276.8100	1,660.86 830.43 \$2,491.29
Total					
XL COMPANY SWITZERLAND GMBH-EQUITY SEC UNITS 10.75% XL'Y Acquired 08/11/09 L	30	22.96	688.80	31.1600	934.80
Total Preferreds/Fixed Rate Cap Securities			\$12,028.00		\$14,007.78
TOTAL SECURITIES			\$69,374.16		\$83,317.28

Stocks and ETFs

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
ABB LTD -SPONS ADR					
ABB					
Acquired 09/15/09 L	34	20.61	700.76		763.30
Acquired 09/16/09 L	26	20.87	542.83		583.70
Total	60		\$1,243.59	22.4500	\$1,347.00
ACTIVISION BLIZZARD INC					
ATVI					
Acquired 06/27/07 L	90	9.36	842.85	12.4400	1,119.60
AFFILIATED MANAGERS GROUP					
AMG					
Acquired 01/17/08 L	2	87.05	174.11		198.44
Acquired 01/18/08 L	5	88.87	444.38		496.10

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
Acquired 01/23/08 L	2	91.58	183.17		198.44
Acquired 04/22/08 L	1	95.09	95.09		99.22
Acquired 09/18/08 L	9	79.78	718.02		892.98
Acquired 10/24/08 L	3	37.98	113.95		297.66
Acquired 04/08/09 L	3	43.32	129.97		297.66
Acquired 11/03/09 L	3	63.05	189.15		297.66
Total	28		\$2,047.84	99.2200	\$2,778.16
ALLEGIANTE TRAVEL CO					
ALGT					
Acquired 10/28/09 L	2	35.65	71.32		98.48
Acquired 10/29/09 L	3	36.75	110.26		147.72
Acquired 10/30/09 L	2	37.23	74.47		98.48
Acquired 11/02/09 L	2	38.68	77.37		98.48
Acquired 11/03/09 L	4	39.51	158.08		196.96
Acquired 11/04/09 L	2	39.49	78.99		98.48
Acquired 01/20/10 S	2	50.09	100.19		98.48
Total	17		\$670.68	49.2400	\$837.08
ALLERGAN INC					
AGN					
Acquired 07/05/07 L	28	57.67	1,614.89		1,922.76
Acquired 12/22/08 L	1	37.11	37.12		68.67
Acquired 06/19/09 L	8	46.47	371.82		549.36
Total	37		\$2,023.83	68.6700	\$2,540.79
APACHE CORP COMMON					
APA					
Acquired 08/30/07 L	3	76.47	229.44		357.69
Acquired 08/31/07 L	5	77.46	387.33		596.15
Acquired 10/21/08 L	5	80.25	401.30		596.15
Acquired 10/23/08 L	4	70.76	283.04		476.92
Acquired 12/22/08 L	1	71.03	71.04		119.23
Total	18		\$1,372.15	119.2300	\$2,146.14
APPLE INC					
AAPL					
Acquired 10/21/08 L	4	92.98	371.95		1,290.24
Acquired 10/24/08 L	1	96.30	96.31		322.56
Acquired 12/18/08 L	4	90.13	360.55		1,290.24
Acquired 03/18/09 L	3	101.66	304.99		967.68

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
Acquired 10/28/09 L	1	205.91	205.92		322.56
Total	13		\$1,339.72	322.5600	\$4,193.28
ATHENAHEALTH INC					
ATHN	12	37.01	444.15	40.9800	491.76
BAXTER INTERNATIONAL INC					
BAX	28	56.57	1,584.09		1,417.36
Acquired 07/31/09 L	8	54.98	439.86		404.96
Acquired 11/27/09 L	5	59.27	296.36		253.10
Acquired 01/25/10 S	41		\$2,320.31	50.6200	\$2,075.42
Total	41				
BED BATH & BEYOND INC					
BBBY	22	24.89	547.66		1,081.30
Acquired 12/24/08 L	13	22.62	294.07		638.95
Acquired 03/17/09 L	35		\$841.73	49.1500	\$1,720.25
Total	35				
CAMERON INTERNATIONAL CRP					
CAM	22	39.77	875.00	50.7300	1,116.06
Acquired 12/18/09 L					
CAPELLA EDUCATION CO					
CPLA	5	36.08	180.42		332.90
Acquired 10/21/08 L	2	36.46	72.93		133.16
Acquired 10/22/08 L	2	37.14	74.28		133.16
Acquired 10/23/08 L	4	54.29	217.18		266.32
Acquired 06/04/09 L	13		\$544.81	66.5800	\$865.54
Total	13				
CELGENE CORP					
CELG	16	71.17	1,138.81		946.24
Acquired 07/09/08 L	4	71.12	284.51		236.56
Acquired 07/10/08 L	6	62.24	373.47		354.84
Acquired 10/03/08 L	5	55.51	277.57		295.70
Acquired 10/17/08 L	9	58.26	524.36		532.26
Acquired 01/25/10 S	40		\$2,598.72	59.1400	\$2,365.60
Total	40				

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
CERNER CORP					
CERN					
Acquired 02/05/10 S	5	75.63	378.18		473.70
Acquired 02/05/10 S	6	75.98	455.92		568.44
Total	11		\$834.10	94.7400	\$1,042.14
COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A					
CTSH					
Acquired 06/27/07 L	10	38.04	380.45		732.90
Acquired 01/16/08 L	8	26.22	209.78		586.32
Acquired 12/22/08 L	2	17.15	34.32		146.58
Total	20		\$624.55	73.2900	\$1,465.80
DEVRY INC DEL					
DV					
Acquired 03/14/08 L	10	44.03	440.33		479.80
Acquired 03/31/08 L	3	41.93	125.81		143.94
Acquired 04/03/08 L	2	43.09	86.18		95.96
Acquired 12/22/08 L	1	56.61	56.61		47.98
Acquired 04/14/09 L	11	42.44	466.92		527.78
Acquired 04/15/09 L	5	42.36	211.82		239.90
Acquired 11/18/09 L	2	54.33	108.67		95.96
Acquired 11/19/09 L	4	53.58	214.33		191.92
Acquired 11/20/09 L	4	53.93	215.74		191.92
Acquired 11/23/09 L	2	54.36	108.74		95.96
Acquired 11/23/09 L	2	54.35	108.71		95.96
Acquired 11/24/09 L	1	54.71	54.71		47.98
Total	47		\$2,198.57	47.9800	\$2,255.06
ECOLAB INC					
ECL					
Acquired 11/13/08 L	24	32.41	777.94		1,210.08
Acquired 11/14/08 L	1	33.39	33.39		50.42
Acquired 12/22/08 L	1	34.02	34.02		50.42
Acquired 02/19/09 L	6	33.49	200.95		302.52
Acquired 02/20/09 L	4	33.04	132.18		201.68
Total	36		\$1,178.48	50.4200	\$1,815.12

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
FASTENAL CO					
FAST	15	42.35	635.25		898.65
Acquired 06/27/07 L	6	44.52	267.12		359.46
Acquired 09/25/07 L	6	45.25	271.51		359.46
Acquired 07/09/08 L	4	43.64	174.56		239.64
Acquired 10/02/08 L	10	39.09	390.99		599.10
Acquired 10/14/08 L	4	32.69	130.77		239.64
Acquired 10/24/08 L	1	33.10	33.11		59.91
Acquired 12/22/08 L					
Total	46		\$1,903.31	59.9100	\$2,755.86
FLOWERVE CORP					
FLS	8	106.59	852.77		953.76
Acquired 01/08/10 S	1	108.05	108.05		119.22
Acquired 01/11/10 S	2	95.11	190.24		238.44
Acquired 01/27/10 S					
Total	11		\$1,151.06	119.2200	\$1,311.42
FREEPORT-MCMORAN COPPER & GOLD INC					
CLASS B					
FCX	8	72.34	578.73		960.72
Acquired 09/23/09 L	2	69.11	138.22		240.18
Acquired 09/29/09 L	3	65.67	197.04		360.27
Acquired 10/02/09 L					
Total	13		\$913.99	120.0900	\$1,561.17
GENPACT LTD					
G	11	11.75	129.25		167.20
Acquired 10/27/09 L	7	11.73	82.16		106.40
Acquired 10/27/09 L	5	11.74	58.75		76.00
Acquired 10/28/09 L	1	11.91	11.92		15.20
Acquired 10/29/09 L	2	11.89	23.78		30.40
Acquired 10/30/09 L	13	11.90	154.70		197.60
Acquired 10/30/09 L	2	11.99	23.99		30.40
Acquired 11/02/09 L	4	12.86	51.46		60.80
Acquired 11/04/09 L	2	13.75	27.52		30.40
Acquired 11/05/09 L	5	13.85	69.26		76.00
Acquired 11/06/09 L	1	13.89	13.90		15.20
Acquired 11/09/09 L	4	13.64	54.57		60.80
Acquired 11/13/09 L					

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
Acquired 11/16/09 L	4	13.77	55.09		60.80
Acquired 11/17/09 L	5	13.69	68.45		76.00
Acquired 11/18/09 L	5	13.74	68.72		76.00
Acquired 01/05/10 S	4	15.03	60.13		60.80
Acquired 01/06/10 S	6	15.24	91.45		91.20
Acquired 01/07/10 S	6	15.12	90.78		91.20
Acquired 02/05/10 S	6	13.95	83.74		91.20
Total	93		\$1,219.62	15.2000	\$1,413.60
GILEAD SCIENCES INC					
GILD					
Acquired 06/27/07 L	2	39.81	79.62		72.48
Acquired 12/22/08 L	2	50.11	100.23		72.48
Acquired 05/12/09 L	8	44.64	357.18		289.92
Acquired 06/04/09 L	9	45.26	407.38		326.16
Acquired 06/19/09 L	15	46.92	703.85		543.60
Total	36		\$1,648.26	36.2400	\$1,304.64
GOODRICH CORP					
GR					
Acquired 10/15/09 L	4	56.18	224.73		352.28
Acquired 10/16/09 L	6	56.66	339.98		528.42
Acquired 10/19/09 L	5	57.58	287.95		440.35
Acquired 12/11/09 L	4	61.57	246.31		352.28
Total	19		\$1,098.97	88.0700	\$1,673.33
GOOGLE INC CL A					
GOOG					
Acquired 01/18/08 L	1	601.96	601.96		593.97
Acquired 03/12/08 L	4	439.95	1,759.83		2,375.88
Acquired 05/09/08 L	1	573.39	573.40		593.97
Total	6		\$2,935.19	593.9700	\$3,563.82
GREENHILL & CO INC					
GHL					
Acquired 02/04/10 S	5	76.15	380.78		408.40
Acquired 02/04/10 S	2	75.98	151.97		163.36
Acquired 02/05/10 S	2	76.26	152.53		163.36
Acquired 02/05/10 S	2	76.07	152.15		163.36
Acquired 02/11/10 S	1	74.60	74.60		81.68

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
Acquired 02/12/10 S	1	75.33	75.33		81.68
Total	13		\$987.36	81.6800	\$1,061.84
HEWLETT-PACKARD COMPANY					
HPQ					
Acquired 03/10/09 L	44	25.96	1,142.24		1,852.40
Acquired 03/18/09 L	16	29.08	465.35		673.60
Acquired 03/26/09 L	21	32.38	680.00		884.10
Total	81		\$2,287.59	42.1000	\$3,410.10
IDEXX LABORATORIES INC					
IDXX					
Acquired 05/27/08 L	1	49.87	49.88		69.22
Acquired 05/28/08 L	7	50.40	352.87		484.54
Acquired 05/30/08 L	4	50.25	201.02		276.88
Acquired 07/15/08 L	8	50.35	402.84		553.76
Acquired 07/16/08 L	1	50.84	50.84		69.22
Total	21		\$1,057.45	69.2200	\$1,453.62
IHS INC CL A					
IHS					
Acquired 07/16/07 L	6	49.13	294.80		482.34
Acquired 08/03/07 L	5	46.39	231.97		401.95
Acquired 05/20/08 L	3	62.82	188.48		241.17
Acquired 05/21/08 L	1	62.93	62.93		80.39
Acquired 10/14/08 L	4	40.60	162.42		321.56
Acquired 04/24/09 L	4	41.32	165.28		321.56
Total	23		\$1,105.88	80.3900	\$1,848.97
JOHNSON CONTROLS INC					
JCI					
Acquired 04/01/09 L	51	12.94	659.95	38.2000	1,948.20
KNIGHT TRANSPORTATION					
KNX					
Acquired 06/12/08 L	45	17.15	771.97		855.00
Acquired 09/18/08 L	21	18.94	397.88		399.00
Total	66		\$1,169.85	19.0000	\$1,254.00

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
K12 INC					
LRN	14	22.77	318.78		401.24
Acquired 08/17/08 L	3	23.47	70.41		85.98
Acquired 08/29/08 L	4	24.01	96.08		114.64
Acquired 09/05/08 L	9	16.62	149.65		257.94
Acquired 01/09/09 L	4	16.45	65.82		114.64
Acquired 01/12/09 L	12	12.95	155.48		343.92
Total	46		\$856.22	28.6600	\$1,318.36
MANPOWER INC WISCONSIN					
MAN	16	51.61	825.81		1,004.16
Acquired 10/28/09 L	6	47.93	287.58		376.56
Acquired 10/30/09 L	4	56.36	225.47		251.04
Acquired 12/11/09 L	2	54.09	108.19		125.52
Acquired 12/18/09 L					
Total	28		\$1,447.05	62.7600	\$1,757.28
MCACFEE INCORPORATED					
MFE	22	39.11	860.46		1,018.82
Acquired 12/04/09 L	6	38.36	230.20		277.86
Acquired 12/11/09 L	5	38.88	194.43		231.55
Acquired 12/18/09 L					
Total	33		\$1,285.09	46.3100	\$1,528.23
MCDONALDS CORP					
MCD	31	57.17	1,772.52		2,379.56
Acquired 06/29/09 L	8	57.81	462.56		614.08
Acquired 06/30/09 L	16	63.32	1,013.23		1,228.16
Acquired 01/25/10 S					
Total	55		\$3,248.31	76.7600	\$4,221.80
MICROSOFT CORP					
MSFT	110	19.94	2,194.19		3,070.10
Acquired 05/12/09 L	19	26.33	500.45		530.29
Acquired 10/19/09 L					
Total	129		\$2,694.64	27.9100	\$3,600.39
MONSANTO CO NEW					
MON	1	116.60	116.61		69.64
Acquired 07/24/08 L					

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
Acquired 09/18/08 L	6	109.41	656.49		417.84
Acquired 12/15/08 L	3	71.96	215.90		208.92
Acquired 12/22/08 L	1	66.00	66.00		69.64
Total	11		\$1,055.00	69.6400	\$766.04
NEWFIELD EXPLORATION COMPANY					
NFX					
Acquired 12/18/09 L	7	47.37	331.61		504.77
Acquired 12/18/09 L	2	47.44	94.88		144.22
Total	9		\$426.49	72.1100	\$648.99
NUVASIVE INC					
NUVA					
Acquired 04/01/09 L	14	31.04	434.62		359.10
Acquired 04/02/09 L	10	32.20	322.04		256.50
Acquired 10/09/09 L	6	41.66	249.96		153.90
Total	30		\$1,006.62	25.6500	\$769.50
OCCIDENTAL PETE CORP OXY					
Acquired 12/23/09 L	17	82.39	1,400.67	98.1000	1,667.70
P.F. CHANGS CHINA BIST INC					
PFCB					
Acquired 01/07/10 S	13	36.46	474.03		629.98
Acquired 01/08/10 S	6	36.43	218.62		290.76
Total	19		\$692.65	48.4600	\$920.74
PEPSICO INCORPORATED PEP					
Acquired 01/07/09 L	9	54.45	490.07		587.97
Acquired 01/09/09 L	6	52.57	315.45		391.98
Acquired 01/16/09 L	2	50.98	101.97		130.66
Acquired 02/23/09 L	6	51.36	308.16		391.98
Acquired 04/24/09 L	7	48.47	339.33		457.31
Acquired 07/01/09 L	13	56.48	734.24		849.29
Acquired 07/02/09 L	5	55.91	279.59		326.65
Acquired 07/07/09 L	5	56.08	280.44		326.65
Total	53		\$2,849.25	65.3300	\$3,462.49

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
PRAXAIR INC					
PX					
Acquired 06/27/07 L	18	71.97	1,295.46		1,718.46
Acquired 12/22/08 L	2	56.16	112.33		190.94
Total	20		\$1,407.79	95.4700	\$1,909.40
QUALCOMM INC					
QCOM					
Acquired 06/27/07 L	37	43.21	1,598.77		1,831.13
Acquired 01/17/08 L	12	39.17	470.12		593.88
Acquired 03/25/08 L	19	40.36	766.94		940.31
Acquired 12/22/08 L	2	34.29	68.60		98.98
Acquired 12/04/09 L	19	45.24	859.70		940.31
Total	89		\$3,764.13	49.4900	\$4,404.61
ROPER INDS INC NEW					
ROP					
Acquired 02/07/08 L	9	54.23	488.08		687.87
Acquired 05/23/08 L	2	60.35	120.71		152.86
Acquired 05/27/08 L	3	60.85	182.57		229.29
Acquired 05/28/08 L	2	62.68	125.38		152.86
Acquired 05/29/08 L	2	63.74	127.50		152.86
Acquired 10/02/08 L	3	50.73	152.22		229.29
Acquired 10/14/08 L	3	48.00	144.01		229.29
Acquired 12/22/08 L	1	39.77	39.77		76.43
Total	25		\$1,380.24	76.4300	\$1,910.75
SCHWAB CHARLES CORP NEW					
SCHW					
Acquired 08/30/07 L	28	19.48	545.58		479.08
Acquired 03/12/08 L	46	20.36	936.93		787.06
Acquired 12/22/08 L	4	14.98	59.92		68.44
Total	78		\$1,542.43	17.1100	\$1,334.58
SILICON LABORATORIES INC					
SLAB					
Acquired 07/13/07 L	9	35.70	321.32		414.18
Acquired 08/23/07 L	19	35.08	666.67		874.38
Acquired 12/21/09 L	7	47.33	331.36		322.14
Total	35		\$1,319.35	46.0200	\$1,610.70

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
SMART BALANCE INC					
SMBL	25	5.93	148.45		108.25
Acquired 10/15/08 L	11	5.67	62.44		47.63
Acquired 10/16/08 L	9	5.99	53.98		38.97
Acquired 10/17/08 L	4	6.60	26.41		17.32
Acquired 10/22/08 L	6	6.47	38.86		25.98
Acquired 10/23/08 L	5	6.14	30.73		21.65
Acquired 10/24/08 L	1	6.67	6.67		4.33
Acquired 10/27/08 L	1	6.31	6.32		4.33
Acquired 10/28/08 L	6	6.31	37.92		25.98
Acquired 11/10/08 L	4	6.17	24.71		17.32
Acquired 11/11/08 L	5	5.99	29.99		21.65
Acquired 11/12/08 L	1	5.99	6.00		4.33
Acquired 11/13/08 L	6	6.98	41.88		25.98
Acquired 04/23/09 L	18	6.99	125.96		77.94
Acquired 04/24/09 L	1	6.57	6.57		4.33
Acquired 04/27/09 L	5	7.51	37.55		21.65
Acquired 06/04/09 L	1	7.85	7.85		4.33
Acquired 06/05/09 L	1	7.70	7.71		4.33
Acquired 06/15/09 L	3	7.53	22.62		12.99
Acquired 06/16/09 L	2	7.43	14.86		8.66
Acquired 06/22/09 L	2	7.45	14.90		8.66
Acquired 06/22/09 L	2	7.39	14.79		8.66
Acquired 06/23/09 L	5	6.91	34.59		21.65
Acquired 06/25/09 L	13	6.25	81.32		56.29
Acquired 07/07/09 L	3	4.99	15.00		12.99
Acquired 11/16/09 L					
Total	140		\$898.08	4,3300	\$606.20
SOLERA HOLDINGS INC					
SLH	7	35.30	247.11		359.24
Acquired 11/04/09 L	1	36.19	36.20		51.32
Acquired 11/05/09 L	5	35.61	178.08		256.60
Acquired 11/06/09 L	2	35.39	70.80		102.64
Acquired 11/13/09 L	3	35.09	105.28		153.96
Acquired 11/19/09 L	2	35.03	70.08		102.64
Acquired 11/20/09 L	1	35.28	35.28		51.32
Acquired 11/24/09 L	1	35.21	35.22		51.32
Acquired 11/27/09 L	3	34.76	104.30		153.96
Acquired 11/30/09 L	5	33.12	165.63		256.60
Acquired 02/05/10 S					

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
Acquired 02/10/10 S	1	33.19	33.20		51.32
Acquired 02/11/10 S	1	32.96	32.97		51.32
Total	32		\$1,114.15	51.3200	\$1,642.24
TRANSDIGM GROUP INC					
TDG					
Acquired 06/23/09 L	2	36.10	72.21		144.02
Acquired 06/24/09 L	6	36.99	221.99		432.06
Acquired 06/25/09 L	4	36.97	147.92		288.04
Acquired 06/26/09 L	4	36.15	144.60		288.04
Acquired 07/17/09 L	5	37.43	187.16		360.05
Acquired 07/20/09 L	3	37.55	112.65		216.03
Acquired 11/19/09 L	8	41.22	329.83		576.08
Total	32		\$1,216.36	72.0100	\$2,304.32
TRIMBLE NAVIGATION LTD					
TRMB					
Acquired 11/06/09 L	8	22.20	177.64		319.44
Acquired 11/09/09 L	8	22.69	181.54		319.44
Acquired 11/12/09 L	4	23.64	94.58		159.72
Acquired 11/13/09 L	4	23.46	93.85		159.72
Acquired 11/16/09 L	4	23.98	95.95		159.72
Acquired 11/17/09 L	2	23.95	47.91		79.86
Acquired 11/18/09 L	4	23.88	95.53		159.72
Acquired 11/19/09 L	4	23.41	93.67		159.72
Total	38		\$880.67	39.9300	\$1,517.34
ULTIMATE SOFTWARE GROUP					
INC					
ULTI					
Acquired 07/18/08 L	20	35.99	719.99		972.60
Acquired 07/25/08 L	10	32.91	329.15		486.30
Acquired 08/04/08 L	5	25.73	128.67		243.15
Total	35		\$1,177.81	48.6300	\$1,702.05
UNION PACIFIC CORP					
UNP					
Acquired 02/12/10 S	13	63.14	820.94	92.6600	1,204.58
VCA ANTECH INC					
WOOF					
Acquired 01/05/10 S	7	25.76	180.37		163.03

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE
Acquired 01/06/10 S	12	26.02	312.30		279.48
Acquired 01/06/10 S	5	26.01	130.07		116.45
Acquired 01/07/10 S	1	26.20	26.21		23.29
Acquired 01/12/10 S	1	26.39	26.40		23.29
Acquired 01/12/10 S	5	26.39	131.95		116.45
Acquired 01/13/10 S	3	26.38	79.17		69.87
Acquired 01/15/10 S	2	26.41	52.83		46.58
Acquired 01/15/10 S	8	26.19	209.53		186.32
Total	44		\$1,148.83	23.2900	\$1,024.76
VISTAPRINT NV					
VPRT	2	30.11	60.23		92.00
Acquired 06/19/08 L	4	29.97	119.90		184.00
Acquired 08/20/08 L	5	26.51	132.57		230.00
Acquired 06/24/08 L	9	22.95	206.61		414.00
Acquired 03/04/09 L	5	22.51	112.55		230.00
Acquired 03/06/09 L	4	22.66	90.64		184.00
Total	29		\$722.50	46.0000	\$1,334.00
Total Stocks and ETFs			\$74,494.83		\$97,902.42
Total Stocks, options & ETFs			\$74,494.83		\$97,902.42

Details of Short Term Gain (Loss) 2010

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	.4102	EATON VANCE RISK-MANAGED DIVERSIFIED EQUITY INCOME FD LIQUIDATION OF FRACTIONAL SHS	08/06/09	03/09/10	\$ 6.74	\$ 6.46		\$.28
Total					\$ 6.74	\$ 6.46		\$.28

Details of Long Term Gain (Loss) 2010

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000020	300	ROYAL OAK MINES INC ESCROW RECEIPT ISSUED FOR NON-TRANSFERRABLE SHARES	10/31/02	04/30/10		1.00	(1.00) c	
Total						\$ 1.00	(\$ 1.00)	

Details of Short Term Gain (Loss) 2010

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. For additional information regarding this section see enclosed brochure.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000020	1,000	AMGEN INC CV GLOBAL BOOK/ENTRY DD 11/9/06 DUE 02/01/2011 RATE 0.125 YTM 1.070	07/16/09	01/04/10	\$ 990.00	\$ 988.75 \$ 988.75	\$ 1.25 \$ 1.25	\$ 1.25 \$ 0.00
125000030	1,000	CHESAPEAKE ENERGY CONV DTD 03/07/06 DUE 11/15/2035 RATE 2.750 YTM 2.846	05/08/09	02/03/10	982.50	930.94 930.94	51.56 51.56	1.22 50.34
125000050	1,000	NII HOLDINGS INC CV NOTES BK/ENTRY- DTD 08/30/2007 DUE 06/15/2012 RATE 3.125	07/16/09	01/05/10	926.25	830.00 830.00	96.25 96.25	24.07 72.18
125000080	1,000	SUNTECH PWR HLDGS CO CV BK/ENTRY-DTD 06/17/08 DUE 03/15/2013 RATE 3.000 YTM 12.441	06/22/09	03/01/10	767.50	733.13 733.13	34.37 34.37	34.37 0.00
125000100	1,000	TRANSOCEAN INC CV BOOK/ENTRY DTD 12/11/2007 DUE 12/15/2037 RATE 1.625	05/27/09	02/19/10	994.50	938.75 938.75	55.75 55.75	0.00 55.75
125000120	1,000	WESCO INTL INC CONV BOOK/ENTRY DTD 08/27/2009 DUE 09/15/2029 RATE 6.000	09/22/09	02/23/10	1,268.78	1,321.33 1,316.71	(52.55) (47.93)	0.00 (47.93)
125000130	1,000	WYNDHAM WORLDWIDE CORP CONV SENIOR UNSEC NTS DTD 5/19/2009 DUE 05/01/2012 RATE 3.500 YTM -21.429	09/29/09	01/25/10	1,777.84	1,407.24 1,407.24	370.60 370.60	0.00 370.60
Total					\$ 7,707.37	\$ 7,150.14	\$ 557.23	

Details of Long Term Gain (Loss) 2010

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. For additional information regarding this section see enclosed brochure.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000010	1,000	ADC TELECOM CV FLT RT BOOK ENTRY DTD 10/10/03 DUE 06/15/2013 RATE 0.630 YTM 5.780	01/24/07	01/21/10	\$ 827.50	\$ 954.28 \$ 964.28	(\$ 136.78) (\$ 136.78)	\$ 0.00 (\$ 136.78)
125000040	1	MYLAN INC 6.5% CV PFD 6.5000% DUE 11/15/2010 MorganStanley SmithBarney LLC acted as your agent	02/24/09	03/02/10	1,279.94	842.94 842.94	437.00 437.00	0.00 0.00
125000060	1,000	OMNICOM GROUP INC CV ZERO CPN BOOK/ENTRY DD 6/29/06 DUE 07/01/2038	09/21/06	01/04/10	991.88	1,005.58 1,005.58	(13.70) (13.70)	0.00 (13.70)
125000070	1,000	PROLOGIS CONV BOOK/ENTRY DTD 05/07/2008 DUE 05/15/2038 RATE 2.625	06/17/08	01/06/10	906.25	960.63 960.63	(54.38) (54.38)	0.00 (54.38)
125000090	1,000	TRANSOCEAN INC CV BOOK/ENTRY DTD 12/11/2007 DUE 12/15/2037 RATE 1.625	05/01/08	01/04/10	991.88	1,098.43 1,093.78	(106.55) (101.90)	0.00 (101.90)
125000110	1,000	UNITED AUTO GROUP INC CONV DTD 05/16/2006 DUE 04/01/2026 RATE 3.500 YTM 3.446	08/20/08	02/18/10	1,006.65	910.00 910.00	96.65 96.65	5.33 91.32
Total					\$ 5,004.10	\$ 5,781.86	\$ 222.24	

Details of Short Term Gain (Loss) 2010

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Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	1	GENPACT LTD SBX = H1 SBX CONNECTIVITY BUSINESS MorganStanley SmithBarney LLC	10/27/09	01/19/10	\$ 15.16	\$ 11.75		\$ 3.41
125000070	8	CVS CAREMARK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/01/09	01/07/10	262.79	254.34		8.45
125000080	8	CVS CAREMARK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/01/09	01/08/10	273.26	254.35		18.91
125000090	27 2	CVS CAREMARK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/01/09 07/02/09	01/25/10	895.50 66.33	858.41 62.65		37.09 3.68
125000100	22	CVS CAREMARK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/02/09	01/26/10	725.46	689.10		36.36
125000110	7	CAVIUM NETWORKS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/19/09	01/08/10	171.02	102.84		68.18
125000120	2	CAVIUM NETWORKS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/20/09	01/08/10	48.78	29.64		19.14
125000130	6	CAVIUM NETWORKS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/20/09	02/09/10	132.63	88.94		43.69

Details of Short Term Gain (Loss) 2010 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000140	5	CAVIUM NETWORKS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/20/09	02/09/10	\$ 110.01	\$ 74.11		\$ 35.90
125000150	5	CAVIUM NETWORKS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/20/09	02/10/10	109.68	74.11		35.57
125000160	3 2	CAVIUM NETWORKS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/21/09 05/22/09	02/11/10	87.94 45.30	44.83 29.88		23.11 15.42
125000170	4 1	CAVIUM NETWORKS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/20/09 05/21/09	02/11/10	89.79 22.45	59.29 14.94		30.50 7.51
125000180	2 1	CAVIUM NETWORKS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/22/09 05/26/09	02/12/10	45.76 22.88	29.88 15.00		15.88 7.88
125000250	1	FTI CONSULTING INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/09/09	01/22/10	41.11	42.72	(1.61)	
125000260	3 3 2 2	FTI CONSULTING INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/09/09 10/09/09 10/12/09 10/13/09	01/25/10	123.90 123.90 82.60 82.60	128.17 127.71 84.14 83.66	(4.27) (3.81) (1.54) (1.06)	
125000270	1 1 4 1 1	FTI CONSULTING INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/14/09 10/15/09 10/16/09 10/20/09 10/21/09	01/26/10	41.39 41.39 165.55 41.39 41.38	41.91 42.01 167.77 42.40 42.64	(.52) (.62) (2.22) (1.01) (1.26)	
125000280	1 3 2 2	FTI CONSULTING INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/22/09 11/06/09 11/16/09 11/17/09	01/27/10	41.65 124.96 83.31 83.31	42.51 135.64 95.12 96.04	(.86) (10.68) (11.81) (12.73)	

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000310	1	HEWLETT PACKARD CO SBX = H1	03/10/09	01/19/10	\$ 52.53	\$ 25.96		\$ 26.57
		SBX CONNECTIVITY BUSINESS MorganStanley SmithBarney LLC						
125000370	1	SOLERA HLDGS INC SBX = H1	11/04/09	01/19/10	36.62	35.30		1.32
		SBX CONNECTIVITY BUSINESS MorganStanley SmithBarney LLC						
125000400	1	VCA ANTECH INC SBX = H1	01/05/10	01/19/10	26.35	25.77		.58
		SBX CONNECTIVITY BUSINESS MorganStanley SmithBarney LLC						
Total					\$ 4,338.68	\$ 3,953.53	(\$ 54.00)	\$ 439.15
							NET GAIN:	\$385.15

Details of Long Term Gain (Loss) 2010

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Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000020	1	VISTAPRINT NV ORD SBX = H1	06/19/08	01/19/10	\$ 52.53	\$ 30.11		\$ 22.42
		SBX CONNECTIVITY BUSINESS MorganStanley SmithBarney LLC						
125000030	1	VISTAPRINT NV ORD MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/19/08	02/19/10	58.95	30.11		28.84
125000040	1	VISTAPRINT NV ORD MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/19/08	02/22/10	58.81	30.12		28.69

Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000050	1	VISTAPRINT NV ORD MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/19/08	03/01/10	\$ 58.82	\$ 30.11		\$ 28.71
125000060	1	ACTIVISION BLIZZARD INC SBX = H1 MorganStanley SmithBarney LLC	06/27/07	01/19/10	10.79	9.37		1.42
125000190	4	COGNIZANT TECH SOLUTIONS CL A MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/27/07	01/05/10	187.41	152.18		35.23
125000200	4	DANAHER CORP DE MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/27/07	01/05/10	298.82	296.20		2.62
125000210	1	DANAHER CORP DE SBX = H1 MorganStanley SmithBarney LLC	06/27/07	01/12/10	76.74	74.05		2.69
125000220	16 1	DANAHER CORP DE SBX = H1 MorganStanley SmithBarney LLC	06/27/07 12/22/08	01/25/10	1,192.98 74.56	1,184.80 54.24		8.18 20.32
125000230	1	DEVRY INC (DEL) SBX = H1 MorganStanley SmithBarney LLC	03/14/08	01/19/10	56.47	44.03		12.44
125000240	5	DEVRY INC (DEL) MorganStanley SmithBarney LLC acted as your agent in this transaction	03/14/08	02/05/10	311.25	220.17		91.08
125000290	11	FASTENAL CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/27/07	01/05/10	463.95	465.85	(1.90)	

Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000300	19	GILEAD SCIENCES INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/27/07	01/25/10	\$ 873.95	\$ 756.39		\$ 117.56
125000320	1	K12 INC SBX=H1 SBX CONNECTIVITY BUSINESS MorganStanley SmithBarney LLC	06/17/08	01/19/10	19.89	22.77	(2.88)	
125000330	1	KNIGHT TRANSPORTATION INC SBX=H1 SBX CONNECTIVITY BUSINESS MorganStanley SmithBarney LLC	06/12/08	01/19/10	20.24	17.15		3.09
125000340	7	PEPSICO INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/07/09	02/12/10	425.69	381.16		44.53
125000350	1	SCHWAB CHARLES CORP SBX=H1 SBX CONNECTIVITY BUSINESS MorganStanley SmithBarney LLC	08/30/07	01/19/10	19.31	19.49	(.18)	
125000360	1	SMART BALANCE INC SBX=H1 SBX CONNECTIVITY BUSINESS MorganStanley SmithBarney LLC	10/15/08	01/19/10	6.01	5.94		.07
125000380	1	ULTIMATE SOFTWARE GROUP INC SBX=H1 SBX CONNECTIVITY BUSINESS MorganStanley SmithBarney LLC	07/18/08	01/12/10	29.17	36.00	(6.83)	
125000390	1	ULTIMATE SOFTWARE GROUP INC SBX=H1 SBX CONNECTIVITY BUSINESS MorganStanley SmithBarney LLC	07/18/08	01/19/10	30.91	36.00	(5.09)	
125000410	13	WNS HLDGS LTD-SPON ADR-USD	11/28/07	01/05/10	197.49	246.44	(48.95)	
	1	MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/29/07		15.19	18.99	(3.80)	

Details of Long Term Gain (Loss) 2010 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000420	1	WNS HLDGS LTD-SPON ADR-USD MorganStanley SmithBarney LLC acted as your agent In this transaction.	11/29/07	01/06/10	\$ 15.14	\$ 18.99	(\$ 3.85)	
125000430	6	WNS HLDGS LTD-SPON ADR-USD	11/29/07	01/08/10	90.03	113.94	(23.91)	
	7	SBX = H1	11/29/07		105.03	131.75	(26.72)	
	3	SBX CONNECTIVITY BUSINESS MorganStanley SmithBarney LLC	12/03/07		45.01	56.02	(11.01)	
125000440	7	WNS HLDGS LTD-SPON ADR-USD	12/03/07	01/12/10	105.24	130.72	(25.48)	
	7	MorganStanley SmithBarney LLC acted as your agent In this transaction.	10/27/08		105.24	52.82		52.42
125000450	1	WNS HLDGS LTD-SPON ADR-USD MorganStanley SmithBarney LLC acted as your agent In this transaction.	10/27/08	01/13/10	15.10	7.55		7.55
125000460	1	WNS HLDGS LTD-SPON ADR-USD MorganStanley SmithBarney LLC acted as your agent In this transaction.	10/27/08	01/20/10	15.03	7.54		7.49
125000470	1	WNS HLDGS LTD-SPON ADR-USD MorganStanley SmithBarney LLC acted as your agent In this transaction.	10/27/08	01/21/10	15.00	7.55		7.45
125000480	1	WNS HLDGS LTD-SPON ADR-USD MorganStanley SmithBarney LLC acted as your agent In this transaction.	10/27/08	01/22/10	15.00	7.54		7.46
125000490	2	WNS HLDGS LTD-SPON ADR-USD MorganStanley SmithBarney LLC acted as your agent In this transaction.	10/27/08	01/25/10	30.02	15.09		14.93
125000500	1	WNS HLDGS LTD-SPON ADR-USD MorganStanley SmithBarney LLC acted as your agent In this transaction.	10/27/08	01/26/10	15.02	7.55		7.47

Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000510	3	WNS HLDGS LTD-SPON ADR-USD MorganStanley SmithBarney LLC acted as Your agent in this transaction.	10/27/08	01/27/10	\$ 45.58	\$ 22.63		\$ 22.95
Total					\$ 5,156.37	\$ 4,741.36	(\$ 160.60)	\$ 575.61
NET GAIN:								\$415.01

Realized Gain/Loss Summary				THIS YEAR GAIN	THIS YEAR LOSS	THIS YEAR NET
Short term				0.00	0.00	0.00
Long term				32,389.21	0.00	32,389.21
Total - Realized Gain/Loss				\$32,389.21	\$0.00	\$32,389.21

Realized Gain/Loss Detail for Year

Long Term	DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
	BERKSHIRE HATHAWAY INC	1.00000	90,004.0000	10/31/02	03/19/10	122,393.21	90,004.00	32,389.21
Total - Long Term						\$122,393.21	\$90,004.00	\$32,389.21

Realized Gain/Loss Summary					THIS YEAR	THIS YEAR	THIS YEAR
					GAIN	LOSS	NET
Short term					55.02	0.00	55.02
Long term					0.00	0.00	0.00
Total - Realized Gain/Loss					\$55.02	\$0.00	\$55.02

Realized Gain/Loss Detail for Year						
Short Term	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST
DESCRIPTION						GAIN/LOSS
NATIONAL GRID PLC RTS						
SPON ADR NEW	13.000000	0.0000	06/24/10	06/24/10	55.03	0.01
Total - Short Term					\$55.03	\$0.01

Realized Gain/Loss Detail for Year

Short Term DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
FREEPORT-MCMORAN COPPER & GOLD INC CLASS B	0.38266	59.5290	05/08/09	05/03/10	28.07	22.78	5.29
	0.19134	58.2312	05/28/09	05/03/10	14.04	11.14	2.90

Short Term	Continued								
DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS		
Subtotal	0.57400				42.11	33.92	8.19		
SIMON PROPERTY GROUP									
REIT INC NEW	0.52287	58.5163	07/01/09	04/14/10	46.01	13.50	32.51		
	0.35949	81.8345	01/05/10	04/14/10	31.63	12.98	18.65		
	0.88236				77.64	26.48	51.16		

Long Term									
DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS		
CARNIVAL CORP DEBENTURES CONVERTIBLE CPN 2.000% DUE 04/15/21 DTD 04/25/01 FC 10/15/01 PUT 04/15/11 @ 100.000	1,000.00000	106.8100	09/22/09	10/26/10	1,000.00	1,068.17	-68.17		
				TOTAL LONG TERM	\$1,000.00	\$1,068.17	\$-68.17		
				TOTAL SHORT TERM	\$119.74	\$60.40	\$59.34		

Details of Long Term Gain (Loss) 2010

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Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	6	BNP PARIBAS SPON ADR MorganStanley SmithBarney LLC acted as your agent In this transaction.	01/30/06	01/14/10	\$ 254.30	\$ 264.13	(\$ 9.83)	
125000020	1	NATIONAL AUSTRALIA BK LTD SPONSORED ADR -USD- MorganStanley SmithBarney LLC acted as your agent	06/03/05	02/18/10	23.27	23.28	(.01)	
125000030	3	NATIONAL AUSTRALIA BK LTD SPONSORED ADR -USD- MorganStanley SmithBarney LLC acted as your agent	06/03/05	02/22/10	68.73	69.85	(1.12)	
125000040	5	NATIONAL AUSTRALIA BK LTD SPONSORED ADR -USD- MorganStanley SmithBarney LLC acted as your agent	06/03/05	03/01/10	114.47	116.42	(1.95)	

Details of Long Term Gain (Loss) 2010 - continued							
Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	Gain
125000050	3	NOVARTIS AG ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/19/07	02/10/10	\$ 159.45	\$ 166.76	(\$ 7.31)
Total					\$ 620.22	\$ 640.44	(\$ 20.22)

Details of Short Term Gain (Loss) 2010

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Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	3	BECTION DICKINSON & CO	05/22/09	01/12/10	\$ 232.25	\$ 198.21		\$ 34.04
	15	MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/29/09		1,161.27	1,007.68		153.59
125000060	5	NORTHROP GRUMMAN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/26/09	02/02/10	290.49	253.61		36.88
125000070	5	VALERO ENERGY CORP-NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/14/09	02/12/10	88.24	102.66	(14.42)	
125000080	7	VALERO ENERGY CORP-NEW	04/14/09	02/16/10	125.37	143.73	(18.36)	
	15	MorganStanley SmithBarney LLC acted as your agent	04/16/09		268.66	312.60	(43.94)	
	16	in this transaction.	04/20/09		288.57	319.41	(32.84)	
	12		04/23/09		214.93	250.89	(36.06)	
	19		02/02/10		340.30	385.49	(25.19)	
Total					\$ 3,008.08	\$ 2,954.38	(\$ 170.81)	\$ 224.51
							NET GAIN:	\$53.70

Details of Long Term Gain (Loss) 2010

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000020	3	BOEING CO	08/14/08	02/02/10	\$ 185.55	\$ 195.43	(\$ 9.88)	
	3	MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/30/09		185.56	124.65		60.91

Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000030	11 8	HOME DEPOT INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	12/18/07 01/15/08	02/02/10	\$ 318.99 174.00	\$ 284.69 152.30		\$ 34.30 21.70
125000040	10 37	MATTEL INC DE MorganStanley SmithBarney LLC acted as your agent In this transaction.	11/29/05 12/01/05	02/02/10	207.60 768.10	167.93 802.11		39.67 165.99
125000050	15 14 14 20 2	MICROSOFT CORP MorganStanley SmithBarney LLC acted as your agent In this transaction.	10/21/08 10/28/08 11/03/08 11/11/08 11/18/08	01/08/10	481.11 430.37 430.37 614.81 61.48	364.91 305.80 318.83 418.30 37.78		96.20 124.57 111.54 196.51 23.70
125000090	1 15 5	WINDSTREAM CORP MorganStanley SmithBarney LLC acted as your agent In this transaction.	06/03/05 08/04/06 08/14/06	02/02/10	10.54 158.09 52.70	10.48 194.90 84.24	(36.81) (11.54)	.06
Total					\$ 4,058.27	\$ 3,242.35	(\$ 58.23)	\$ 875.15

NET GAIN: \$816.92

TAF PRIMARY

Holdings

PUBLIC EQUITY

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized / Economic Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
GS CONCENTRATED GROWTH FUND								
GOLDMAN SACHS CONCENTRATED GROWTH INS MUTUAL FUND INSTL (GCRIX)	56,503,320	13.5900	767,880.12	13.9330	787,262.57	(19,382.45) (32,642.27)	0.1472	1,130.07
US EQUITY STRUCTURED PRODUCTS								
THE GOLDMAN SACHS GROUP, INC. LINKED TO S&P 500 0% DUE 06/20/2012 STRUCTURED NOTE (SPX2UP30)	500.00	990.6720	495,336.00	1,000.0000	500,000.00	(4,664.00)		
TOTAL US EQUITY			1,263,216.12		1,287,262.57	(24,046.45)	0.1472	1,130.07
NON-US EQUITY								
NON-US EQUITY STRUCTURED PRODUCTS								
THE GOLDMAN SACHS GROUP, INC. LINKED TO MSCI EAFE 0% DUE 06/15/2012 STRUCTURED NOTE (MXEA2U12)	900.00	1,003.3150	902,983.50	1,000.0000	900,000.00	2,983.50		

¹⁴ This represents a bank deposit of an affiliated bank reflected here for your convenience and is not cash held in your brokerage or custody account. Please see the enclosed Bank Statement page(s) for specific information related to your bank deposit. Not a Deposit. Not FDIC Insured. May Lose Value (Excluding Goldman Sachs Bank Deposit Account, Term Deposits and Certificates of Deposit). Brokerage and securities services provided by Goldman, Sachs & Co. Bank Deposit Account, Certificate of Deposit Account and Term Deposit Account offered by Goldman Sachs Bank USA, Member FDIC.

Statement Detail

TAF PRIMARY
 Holdings (Continued)

Period Ended December 31, 2010

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
NON-US EQUITY								
NON-US EQUITY STRUCTURED PRODUCTS								
THE GOLDMAN SACHS GROUP, INC. LINKED TO EEM 0% COUPON DUE 06/15/2012 STRUCTURED NOTE (EEMELN9)	500 00	1,000 3330	500,166 50	1,000 0000	500,000 00	166 50		
TOTAL NON-US EQUITY STRUCTURED PRODUCTS			1,403,150 00		1,400,000 00	3,150 00		
						Unrealized / Economic Gain (Loss)	Dividend Yield	Estimated Annual Income
TOTAL PUBLIC EQUITY			2,666,366 12		2,687,262 57	(20,896 45)	0.1472	1,130.07

ALTERNATIVE INVESTMENTS

	Market Value	Contributions To Date	Distributions To Date	Economic Gain (Loss)
HEDGE FUNDS				
GS INVESTMENT PARTNERS	1,057,013 90	1,000,000 00	0 00	57,013 90
15% OF FUNDS ASSETS ARE IN ILLIQUID INVESTMENTS ^{12,18}				
GS LIBERTY HARBOR I	1,027,834 25	950,000 00	0 00	77,834 25
1 4% OF FUNDS ASSETS ARE IN ILLIQUID INVESTMENTS ^{12,18}				
TOTAL HEDGE FUNDS	2,084,848 15	1,950,000 00	0 00	134,848 15

PRIVATE EQUITY

	Commitment	Total Contributions/ Distributions	Remaining Commitment ²⁴	Net Contributions/ (Distributions) Since Inception	Latest Cap Statement Value/ ²⁵ Statement Date	Net Contributions/ (Distributions) Since Last Cap Statement	Computed Market Value ²⁶	Economic Gain (Loss) ²⁷
GS VINTAGE FUND IV, LP	500,000 00	433,543 00	146,151 00	337,828 00	358,574 00 Sep 30, 2010	(12,920 00)	345,654 00	7,826 00
Closing Date Oct.2006 ^{10,12}		95,715 00						

¹⁰Contributions may include fees. Distributions may be net of fees¹²Please refer to the end of the Holdings section for further details pertaining to these investments¹⁸See Fund Prospectus for further details on the liquidity characteristics of your investment²⁷Estimated using Net Asset Value (NAV), when available, or estimated as Computed Market Value minus adjusted Cost Basis²⁴Distributions of this investment may be subject to reinvestment or recall by the partnership before or after the expiration of the commitment period²⁵Cash may be included²⁶This is based on Net Asset Value (NAV), when available, or estimated as total capital balance, when available, plus any contributions and minus any distributions since the last capital statement

Portfolio No 001-37134-3

Statement Detail

TAF PRIMARY

Holdings (Continued)

Period Ended December 31, 2010

ALTERNATIVE INVESTMENTS (Continued)									
	Commitment	Total Contributions/ Distributions	Remaining Commitment ²⁴	Net Contributions/ (Distributions) Since Inception	Latest Cap Statement Value/ ²⁵ Statement Date	Net Contributions/ (Distributions) Since Last Cap Statement	Computed Market Value ²⁶	Economic Gain (Loss) ²⁷	
PRIVATE EQUITY									
GS CAPITAL PARTNERS VI LP	1,000,000.00	780,000.00	220,000.00	646,432.00	588,139.00	18,165.00	606,304.00	(40,128.00)	
Closing Date Dec.2006 ^{10,12,37}		133,568.00			Sep. 30, 2010				
GS MEZZANINE PARTNERS 2006, LP	1,250,000.00	924,998.00	325,002.00	768,709.00	736,451.00	0.00	736,451.00	(32,258.00)	
Closing Date Mar.2006 ^{12,37}		156,289.00			Sep. 30, 2010				
TOTAL PRIVATE EQUITY	2,750,000.00	2,138,541.00	691,153.00				1,688,409.00	(64,560.00)	
OTHER ALTERNATIVE INVESTMENTS									
GS CORPORATE CREDIT INVESTMENT FUND ¹²		1,000,000.00		N/A	N/A	N/A	1,147,052.87	147,052.87	
		0.00			N/A				
TOTAL ALTERNATIVE INVESTMENTS	2,750,000.00	5,088,541.00	691,153.00				4,920,310.02	217,341.02	
		385,572.00							

¹⁰Contributions may include fees. Distributions may be net of fees.¹²Please refer to the end of the Holdings section for further details pertaining to these investments.²²Estimated using Net Asset Value (NAV), when available, or estimated as Computed Market Value minus adjusted Cost Basis.²⁵Cash may be included.²⁶This is based on Net Asset Value (NAV), when available, or estimated as total capital balance, when available, plus any contributions and minus any distributions since the last capital statement.²⁷Cap Statement Value for levered investors represents the remaining value of your equity plus the net earnings/(loss) on your leverage. A negative Cap Statement Value reflects losses on your leverage in excess of the remaining value of your equity and may reflect more than your actual liability which cannot exceed your remaining capital commitment. Computed Market Value represents the last available cap statement value plus subsequent contributions and less subsequent distributions but will not exceed your remaining capital commitment. As a result, a negative Computed Market Value reflects your actual liability.

Portfolio No. 001-37134-3

Page 10 of 24

TAF PRIMARY

Holdings (Continued)

Period Ended December 31, 2010

OTHER INVESTMENTS

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
MISCELLANEOUS								
OTHER STRUCTURED PRODUCTS								
THE GOLDMAN SACHS GROUP, INC LINKED TO S&P 500 0% COUPON DUE 07/01/2011 STRUCTURED NOTE (SPXCAL16)	1,000.00	1,033.9750	1,033,975.00	1,000.0000	1,000,000.00	33,975.00		
			Market Value		Adjusted Cost / %	Unrealized Gain (Loss)		Estimated Annual Income
			8,723,032.76		8,492,613.19	230,419.57		1,292.29
					8,878,185.19			
			(102,382)		(102,382)			
LESS CASH:			(102,382)		(102,382)			
NET INVESTMENTS:			8,620,651		8,775,803			
TOTAL PORTFOLIO								

² Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable. Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization. Adjusted cost for GWS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions.



Statement Detail
TAF STRATEGIC VALUE LC
Holdings

Period Ended December 31, 2010

PUBLIC EQUITY

US EQUITY

GSAM LARGE CAP VALUE (STRATEGIC)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
U S DOLLAR	0 49	1 0000	0 49		0 49			
ALLSTATE CORPORATION COMMON STOCK (ALL)	0 00	31 8800	0 00 40 40				2 5094	
HARTFORD FINANCIAL SRVCS GROUP CMN (HIG)	0 00	26 4900	0 00 20 20				0 7550	
P G & E CORPORATION CMN (PCG)	108 00	47 8400	5,166 72 49 14	47 8381	5,166 51	0 21	3 8043	196 56
PEPSICO INC CMN (PEP)	0 00	65 3300	0 00 121 92				2 9389	
SCHLUMBERGER LTD CMN (SLB)	0 00	83 5000	0 00 33 18				1 0060	
TOTAL GSAM LARGE CAP VALUE (STRATEGIC)			5,167 21 264 84		5,167 00	0 21	3 8043	196 56
TOTAL PORTFOLIO			Market Value 5,432 05		Adjusted Cost /⁶ Original Cost 5,167 00	Unrealized Gain (Loss) 0 21		Estimated Annual Income 196 56

LESS CASH: (0)

NET INVESTMENTS: 5,432

⁶ Original Cost is price paid by purchaser adjusted for annual original issue discount, inclusions and/or return of capital adjustments, if applicable. Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization. Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions

Holdings

Period Ended December 31, 2010

SHAPIRO DYNAMIC EQUITY

U.S. DOLLAR	16,113.15	1,000	16,113.15	16,113.15		
GOLDMAN SACHS FINANCIAL SQUARE MONEY MARKET FUND (FST SHARES) (FSMXX)	20,272.750	1,000	20,272.75	20,272.75	0.1500	30.41
GOLDMAN SACHS BANK DEPOSIT (BDA) (*BDANOWJ) ¹⁴	209,342.68	1,000	209,342.68	209,342.68	0.1745	365.41

ACCURAY INC CMN (ARAY)	900.00	6,750	6,075.00	5,477.04	597.96	
ARCHER DANIELS MIDLAND CO CMN (ADM)	338.00	30,080	10,167.04	9,084.03	1,083.01	202.80
BAXTER INTERNATIONAL INC CMN (BAX)	753.00	50,620	38,116.86	40,142.87	(2,026.01)	933.72
			140.43			
BILL BARRETT CORPORATION CMN (BBG)	900.00	41,130	37,017.00	35,286.93	1,730.07	
BRINKER INTERNATIONAL INC CMN (EAT)	700.00	20,880	14,616.00	15,133.16	(517.16)	392.00
CBS CORPORATION CMN CLASS B (CBS)	0.00	19,050	0.00		1,049.9	
			16.05			

CONSTELLATION BRANDS INC CMN CLASS A (STZ)	1,000.00	22,150	22,150.00	21,529.74	620.26	
CORELOGIC INC CMN (CLGX)	400.00	18,520	7,408.00	18,198.3	128.68	
CVS CAREMARK CORPORATION CMN (CVS)	994.00	34,770	34,561.38	34,018.56	542.82	347.90
EMERSON ELECTRIC CO CMN (EMR)	211.00	57,170	12,062.87	10,041.49	2,021.38	291.18
GENERAL ELECTRIC CO CMN (GE)	1,884.00	18,290	34,458.36	32,602.65	1,855.71	1,055.04
HANES BRANDS INC CMN (HBI)	1,000.00	25,400	25,400.00	26,888.06	(1,488.06)	
HONEYWELL INTL INC CMN (HON)	430.00	53,160	22,858.80	41,994.3	4,801.23	520.30
JOHNSON CONTROLS INC CMN (JCI)	0.00	38,200	0.00		1,675.4	
			46.24			

LIVE NATION ENTERTAINMENT INC CMN (LYV)	1,900.00	11,420	21,698.00	11,610.8	(362.44)	
MERCK & CO, INC CMN (MRK)	0.00	36,040	0.00		4,217.5	
			268.28			

MOLSON COORS BREWING CO CMN CLASS B (TAP)	700.00	50,190	35,133.00	49,422.1	537.53	784.00
OCCIDENTAL PETROLEUM CORP CMN (OXY)	0.00	98,100	0.00		1,549.4	
			152.00			

¹⁴ This represents a bank deposit of an affiliated bank reflected here for your convenience and is not cash held in your brokerage or custody account. Please see the enclosed Bank Statement page(s) for specific information related to your bank deposit. Not a Deposit. Not FDIC Insured. May Lose Value. (Excluding Goldman Sachs Bank Deposit Account, Term Deposits and Certificates of Deposit)

Brokerage and securities services provided by Goldman, Sachs & Co. Bank Deposit Account, Certificate of Deposit Account and Term Deposit Account offered by Goldman Sachs Bank USA. Member FDIC

Portfolio No. 042-50397-9

Holdings (Continued)

Period Ended December 31, 2010

SHAPIRO DYNAMIC EQUITY									
QUEST DIAGNOSTICS INCORPORATED CMN (DGX)	400 00	53 9700	21,588 00	52 9537	21,181 46	406 54	0 7412	160 00	
TIDEWATER INC CMN (TDW)	400 00	53 8400	21,536 00	50 2012	20,080 48	1,455 52	1 8574	400 00	
TIME WARNER INC CMN (TWX)	1,000 00	32 1700	32,170 00	31 2954	31,295 42	874 58	2 6422	850 00	
UNITED STATES CELLULAR CORPORA CMN (USM)	300 00	49 9400	14,982 00	47 6112	14,283 37	698 63			
VCA ANTECH, INC CMN (WOOF)	1,200 00	23 2900	27,948 00	23 1902	27,828 18	119 82			
WESTERN UNION COMPANY (THE) CMN (WU)	1,600 00	18 5700	29,712 00	18 4985	29,597 60	114 40	1 5078	448 00	
TYCO INTERNATIONAL LTD CMN (TYC)	500 00	41 4400	20,720 00	41 1156	20,557 82	162 18	2 0270	420 00	
TOTAL SHAPIRO DYNAMIC EQUITY			736,106 89		722,750 24	13,356 65	1 2920	7,200 76	
			623 00						

LESS CASH: (245,729) (245,729)
NET INVESTMENTS: 491,001 477,021

Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable. Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization. Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions.



Statement Detail

YACKTMAN: DYNAMIC EQ

Holdings

Period Ended December 31, 2010

PUBLIC EQUITY

US EQUITY

YACKTMAN DYNAMIC EQUITY

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
U.S. DOLLAR	83.88	1.0000	83.88		83.88			
GOLDMAN SACHS BANK DEPOSIT (BDA) (*BDANOWJ)*	61,777.02	1.0000	61,777.02	1.0000	61,777.02		0.1558	96.25
APOLLO GROUP CLASS A COMMON STOCK (APOL)	290.00	39.4900	11,452.10	37.1361	10,769.47	682.63		
BARD C R INC N J CMN (BCR)	250.00	91.7700	22,942.50	86.3125	21,578.13	1,364.37	0.7846	180.00
BECTON DICKINSON & CO CMN (BDX)	130.00	84.5200	10,987.60	81.0600	10,537.80	449.80	1.9404	213.20
CISCO SYSTEMS, INC CMN (CSCO)	560.00	20.2300	11,328.80	19.4100	10,869.60	459.20		
CLOROX CO (THE) (DELAWARE) CMN (CLX)	475.00	63.2800	30,058.00	62.3022	29,593.54	464.46	3.4766	1,045.00
COCA-COLA COMPANY (THE) CMN (KO)	795.00	65.7700	52,287.15	64.2876	51,108.64	1,178.51	2.6760	1,399.20
COMCAST CORPORATION CMN CLASS A NON VOTING (CMCSK)	1,290.00	20.8100	26,844.90	19.7183	25,436.61	1,408.29	1.8164	487.62
CONOCOPHILLIPS CMN (COP)	560.00	68.1000	38,136.00	64.6000	36,176.00	1,960.00	3.2305	1,232.00
CORNING INCORPORATED CMN (GLW)	385.00	19.3200	7,438.20	19.0000	7,315.00	123.20	1.0352	77.00
EXXON MOBIL CORPORATION CMN (XOM)	120.00	73.1200	8,774.40	71.9000	8,628.00	146.40	2.4070	211.20
H & R BLOCK INC CMN (HRB)	1,065.00	11.9100	12,684.15	13.6275	14,513.29	(1,829.14)	5.0378	639.00
			159.75					
HEWLETT-PACKARD CO CMN (HPQ)	255.00	42.1000	10,735.50	42.3000	10,786.50	(51.00)	0.7601	81.60
JOHNSON & JOHNSON CMN (JNJ)	465.00	61.8500	28,760.25	62.2700	28,955.55	(195.30)	3.4923	1,004.40
MICROSOFT CORPORATION CMN (MSFT)	1,615.00	27.9100	45,074.65	26.8200	43,314.30	1,760.35	2.2931	1,033.60
NEWS CORPORATION CMN CLASS A (NWSA)	4,535.00	14.5600	66,029.60	14.3530	65,090.86	938.74	1.0302	680.25
PEPSICO INC CMN (PEP)	1,005.00	65.3300	65,656.65	64.8000	65,124.00	532.65	2.9389	1,929.60
PFIZER INC CMN (PFE)	3,020.00	17.5100	52,880.20	16.7600	50,615.20	2,265.00	4.5688	2,416.00
PROCTER & GAMBLE COMPANY (THE) CMN (PG)	565.00	64.3300	36,346.45	63.5904	35,928.58	417.87	2.9958	1,088.87
STRYKER CORP CMN (SYK)	280.00	53.7000	15,036.00	51.3862	14,388.14	647.86	1.3408	201.60
			50.40					
SYSCO CORPORATION CMN (SYI)	495.00	29.4000	14,553.00	29.1918	14,449.94	103.06	3.5374	514.80

¹⁴This represents a bank deposit of an affiliated bank reflected here for your convenience and is not cash held in your brokerage or custody account. Please see the enclosed Bank Statement page(s) for specific information related to your bank deposit. Not a Deposit. Not FDIC Insured. May Lose Value (Excluding Goldman Sachs Bank Deposit Account, Term Deposits and Certificates of Deposit). Brokerage and securities services provided by Goldman, Sachs & Co. Bank Deposit Account, Certificate of Deposit Account and Term Deposit Account offered by Goldman Sachs Bank USA, Member FDIC.

Portfolio No 042-50399-5

YACKTMAN: DYNAMIC EQ
Holdings (Continued)

Period Ended December 31, 2010

PUBLIC EQUITY (Continued)

US EQUITY	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
YACKTMAN DYNAMIC EQUITY								
TOTAL SYS SVC INC CMN (ITSS)	935 00	15 3800	14,380 30 65 45	15 4650	14,459 78	(79 48)	1 8205	261 80
U S BANCORP CMN (USB)	742 00	26 9700	20,011 74 37 10	23 8098	17,666 86	2,344 88	0 7416	148 40
UNITEDHEALTH GROUP INCORPORATE CMN (UNIH)	490 00	36 1100	17,693 90	36 9100	18,085 90	(392 00)	1 3847	245 00
VIACOM INC CMN CLASS B (VIAB)	915 00	39 6100	36,243 15	39 5491	36,187 43	55 72	1 5148	549 00
WAL MART STORES INC CMN (WMT)	261 00	53 9300	14,075 73 57 78	55 0328	14,363 56	(287 83)	2 2436	315 81
COVIDIEN PLC CMN (COV)	165 00	45 6600	7,533 90	43 0000	7,095 00	438 90	1 7521	132 00
TOTAL YACKTMAN DYNAMIC EQUITY			739,805 72 370 48		724,898 58	14,907 14	2 2573	16,183 20
TOTAL PORTFOLIO								
			Market Value 740,176 20	Adjusted Cost / ^a Original Cost 724,898 58	Unrealized Gain (Loss) 14,907 14	Estimated Annual Income 16,183 20		

LESS CASH: (61,861)
NET INVESTMENTS: 678,315

^a Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable. Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization. Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II		Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)	
Type or print File by the extended due date for filing your return. See instructions.	Name of exempt organization		Employer identification number
	DR. EDITH F. BOND FOUNDATION		76-0285812
	Number, street, and room or suite no. If a P.O. box, see instructions. 6750 WEST LOOP SOUTH #1010		
City, town or post office, state, and ZIP code. For a foreign address, see instructions. BELLAIRE, TX 77401			

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

MICHAEL S. PARMET

- The books are in the care of **6750 W. LOOP SOUTH, #1010 - BELLAIRE, TX 77401**

Telephone No **713 661 3456**

FAX No.

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until **November 15, 2011.**

5 For calendar year **2010**, or other tax year beginning , and ending .

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return

☐ Change in accounting period

7 State in detail why you need the extension

TAXPAYER HAS NOT RECEIVED ALL NECESSARY INFORMATION NEEDED IN ORDER TO FILE A COMPLETE AND ACCURATE TAX RETURN

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	996.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	996.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature

Title **VICE PRESIDENT**

Date

Form 8868 (Rev. 1-2011)