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Form 990-PF

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2010

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning 01-01-2010 , and ending 12-31-2010

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☒ Amended return

☐ Address change

☐ Name change

Name of foundation
THE CALDWELL FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)
PO BOX 4280

City or town, state, and ZIP code
TYLER, TX 75712

A Employer identification number
75-6004080

B Telephone number (see page 10 of the instructions)
(903) 592-8191

C If exemption application is pending, check here ☐
D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐
E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 98,898,960

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	951			
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	2,192	2,192	2,192	
	4 Dividends and interest from securities.	1,428,670	1,428,670	1,428,670	
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	391,187			
	b Gross sales price for all assets on line 6a _____ 53,080,040				
	7 Capital gain net income (from Part IV, line 2)		479,283		
	8 Net short-term capital gain			797,670	
	9 Income modifications				
	10a Gross sales less returns and allowances 1,028,660				
Operating and Administrative Expenses	b Less Cost of goods sold 392,350				
	c Gross profit or (loss) (attach schedule)	636,310		636,310	
	11 Other income (attach schedule)	2,837,638	1,184,674	2,837,638	
	12 Total. Add lines 1 through 11	5,296,948	3,094,819	5,702,480	
	13 Compensation of officers, directors, trustees, etc	484,526	262,734	262,734	221,792
	14 Other employee salaries and wages	2,569,050	83,524	83,524	2,485,526
	15 Pension plans, employee benefits	939,448	52,208	52,208	887,240
	16a Legal fees (attach schedule)	66,292	45,809	45,809	20,483
	b Accounting fees (attach schedule)	26,500	22,194	22,194	4,306
	c Other professional fees (attach schedule)	590,908	558,896	558,896	32,012
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)	65,964	65,964	65,964	
	19 Depreciation (attach schedule) and depletion	725,827	20,478	20,478	
	20 Occupancy	530,575	33,916	33,916	496,659
	21 Travel, conferences, and meetings	26,951	8,794	8,794	18,157
	22 Printing and publications	3,530			3,530
	23 Other expenses (attach schedule)	1,507,838	45,529	936,348	571,490
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	7,537,409	1,200,046	2,090,865	4,741,195
	25 Contributions, gifts, grants paid	66,718			66,718
	26 Total expenses and disbursements. Add lines 24 and 25	7,604,127	1,200,046	2,090,865	4,807,913
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-2,307,179			
	b Net investment income (if negative, enter -0-)		1,894,773		
	c Adjusted net income (if negative, enter -0-)			3,611,615	

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

Cat No 11289X

Form 990-PF (2010)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	7,575	7,575	7,575
	2	Savings and temporary cash investments	3,948,032	3,661,123	3,661,123
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use	160,453	192,845	192,845
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	73,913,536	72,411,651	84,172,180
	14	Land, buildings, and equipment basis ▶ 21,934,882 Less accumulated depreciation (attach schedule) ▶ 14,812,763	7,759,136	7,122,119	7,122,119
Liabilities	15	Other assets (describe ▶ _____)	127,140	213,892	3,743,118
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	85,915,872	83,609,205	98,898,960
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)	820	1,332	
	23	Total liabilities (add lines 17 through 22)	820	1,332	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted	85,915,052	83,607,873	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	85,915,052	83,607,873	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	85,915,872	83,609,205	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	85,915,052
2	Enter amount from Part I, line 27a	2	-2,307,179
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	83,607,873
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	83,607,873

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	479,283
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	797,670

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	4,891,717	75,723,125	0 06460
2008	5,300,346	86,359,130	0 06138
2007	4,684,710	97,378,157	0 04811
2006	6,951,248	89,239,994	0 07789
2005	5,005,042	83,834,805	0 05970

2	Total of line 1, column (d).	2	0 31168
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 06234
4	Enter the net value of noncharitable-use assets for 2010 from Part X, line 5.	4	84,160,666
5	Multiply line 4 by line 3.	5	5,246,239
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	18,948
7	Add lines 5 and 6.	7	5,265,187
8	Enter qualifying distributions from Part XII, line 4.	8	5,059,814

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	37,895
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3		Add lines 1 and 2.		3	37,895
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	37,895
6		Credits/Payments			
a		2010 estimated tax payments and 2009 overpayment credited to 2010	6a	65,494	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	65,494
8		Enter any penalty for underpayment of estimated tax Check here ☒ if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	27,599
11		Enter the amount of line 10 to be Credited to 2011 estimated tax 27,599	Refunded	11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ (2) On foundation managers \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either - By language in the governing instrument, or - By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) TX _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9	Yes	
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No		
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No		
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address  <u>www.caldwellzoo.org</u>	13	Yes			
14	The books are in care of  <u>OUIJAN VINSON</u> Telephone no  <u>(903) 592-8191</u> Located at  <u>PO BOX 4280 TYLER TX</u> ZIP+4  <u>75712</u>					
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here  ☐ and enter the amount of tax-exempt interest received or accrued during the year  <table><tr><td>15</td><td></td></tr></table>	15				
15						
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No		
See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country 						

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? . . . Organizations relying on a current notice regarding disaster assistance check here.  ☐	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No If "Yes," list the years  20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here  20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.</i>)	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes

☒ No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes

☒ No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes

☒ No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).

☐ Yes

☒ No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes

☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?

Organizations relying on a current notice regarding disaster assistance check here.

☒

5b

No

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes

☒ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes

☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

☐ Yes

☒ No

If "Yes" to 6b, file Form 8870.

6b

No

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes

☒ No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

☐ Yes

☒ No

7b

No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LG KUNZE PO BOX 4785 TYLER,TX 75712	EDUC CURATOR 40 00	62,235	7,547	
O R VINSON PO BOX 4785 TYLER,TX 75712	ACCOUNTING MGR 32 00	62,454	9,370	
MD TUCKER PO BOX 4785 TYLER,TX 75712	DIR VISITOR SVC 40 00	68,120	9,255	
T G CARTER PO BOX 4785 TYLER,TX 75712	BLDG MAINT SUPV 40 00	68,125	11,439	
LS MADDOX PO BOX 4785 TYLER,TX 75712	ASST DIRECTOR 40 00	92,312	12,414	
Total number of other employees paid over \$50,000.				5

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
GLENWOOD ANIMAL HOSPITAL	VETERINARY	142,510
221 N GLENWOOD TYLER,TX 75702		
THOMPSON & KNIGHT	LEGAL	64,116
DEPT 70 PO BOX 4346 HOUSTON,TX 772104346		
GRANTHAM MAYO VANOTTERLOO & CO	INVESTMENT MGMT	95,887
40 ROWES WHARF BOSTON,MA 02110		
TRADEWINDS NWQ GLOBAL INVESTORS LLC	INVESTMENT MGMT	102,741
2049 CENTURY PARK EAST 16TH FLOOR LAS ANGELES,CA 90067		
TWEEDY BROWNE CO LLC	INVESTMENT MGMT	227,171
350 PARK AVE 9TH FLOOR NEW YORK,NY 10022		
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 THE CALDWELL FOUNDATION WAS FORMED TO SUPPORT CHARITABLE AND EDUCATIONAL PROGRAMS THROUGH MAINTAINING AND OPERATING THE CALDWELL ZOO AND OTHER EDUCATIONAL EXHIBITS	4,993,096
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	78,086,802
b	Average of monthly cash balances.	1b	4,218,670
c	Fair market value of all other assets (see page 24 of the instructions).	1c	3,136,829
d	Total (add lines 1a, b, and c).	1d	85,442,301
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	85,442,301
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions).	4	1,281,635
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	84,160,666
6	Minimum investment return. Enter 5% of line 5.	6	4,208,033

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	
2a	Tax on investment income for 2010 from Part VI, line 5.	2a	
b	Income tax for 2010 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	
6	Deduction from distributable amount (see page 25 of the instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	

Part XII

Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	4,807,913
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	251,901
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	5,059,814
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	5,059,814
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1	Distributable amount for 2010 from Part XI, line 7			
2	Undistributed income, if any, as of the end of 2010			
a	Enter amount for 2009 only.			
b	Total for prior years 20____, 20____, 20____			
3	Excess distributions carryover, if any, to 2010			
a	From 2005.			
b	From 2006.			
c	From 2007.			
d	From 2008.			
e	From 2009.			
f	Total of lines 3a through e.			
4	Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ _____			
a	Applied to 2009, but not more than line 2a			
b	Applied to undistributed income of prior years (Election required—see page 26 of the instructions)			
c	Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .			
d	Applied to 2010 distributable amount.			
e	Remaining amount distributed out of corpus			
5	Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)			
6	Enter the net total of each column as indicated below:			
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5			
b	Prior years' undistributed income Subtract line 4b from line 2b.			
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			
d	Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .			
e	Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions			
f	Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011.			
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions).			
8	Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions).			
9	Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a.			
10	Analysis of line 9			
a	Excess from 2006.			
b	Excess from 2007.			
c	Excess from 2008.			
d	Excess from 2009.			
e	Excess from 2010.			

Part XIVPrivate Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling.

1998-07-01

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☒ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2010	(b) 2009	(c) 2008	(d) 2007	
		3,611,615	3,095,824	4,317,957	4,493,785	15,519,181
b	85% of line 2a	3,069,873	2,631,450	3,670,263	3,819,717	13,191,303
c	Qualifying distributions from Part XII, line 4 for each year listed.	5,059,814	4,907,374	5,300,346	4,684,710	19,952,244
d	Amounts included in line 2c not used directly for active conduct of exempt activities.	66,718	5,000	32,000	94,548	198,266
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.	4,993,096	4,902,374	5,268,346	4,590,162	19,753,978
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.	2,805,355	2,524,104	2,878,638	3,245,939	11,454,036
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> CAMP TYLER FOUNDATION PO BOX 1916 WHITEHOUSE, TX 75791		PUBLIC	CAPITAL FOR AIR CONDITIONING UNITS	64,718
JACK HANNA'S HEROES PO BOX 400 POWELL, OH 43065		PUBLIC	CONSERVATION	2,000
Total			 3a	66,718
b <i>Approved for future payment</i>				
Total			 3b	

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue						
a <u>ADMISSION INCOME</u>						1,502,116
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments.						
3 Interest on savings and temporary cash investments			14	2,192		
4 Dividends and interest from securities.			14	1,428,670		
5 Net rental income or (loss) from real estate						
a Debt-financed property.						
b Not debt-financed property.						
6 Net rental income or (loss) from personal property						
7 Other investment income.			15	1,184,674		
8 Gain or (loss) from sales of assets other than inventory			18	479,283		-88,096
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory.			3	636,310		
11 Other revenue a <u>OTHER MISC INCOME</u>						67,700
b <u>FISH FEEDER INCOME</u>						16,923
c <u>AVIARY SEED STICK INCOME</u>						64,745
d <u>ANIMAL SALES</u>						1,480
e _____						
12 Subtotal Add columns (b), (d), and (e).				3,731,129		1,564,868
13 Total. Add line 12, columns (b), (d), and (e).						5,295,997

[illegible]

Part XVII

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.			No
(2) Other assets.			No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.			No
(2) Purchases of assets from a noncharitable exempt organization.			No
(3) Rental of facilities, equipment, or other assets.			No
(4) Reimbursement arrangements.			No
(5) Loans or loan guarantees.			No
(6) Performance of services or membership or fundraising solicitations.			No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.			No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule		
(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge					
	*****			2012-05-14	*****	
	Signature of officer or trustee			Date	Title	
	Paid Preparer's Use Only	Preparer's Signature	Ginny Ragland	Date	Check if self-employed ☒	PTIN
		Firm's name	Henry & Peters PC		Firm's EIN	
Firm's address		3310 So Broadway Suite 100 Tyler, TX 75701		Phone no (903) 597-6311		

Additional Data

Software ID: 10000105
Software Version: 2010v3.2
EIN: 75-6004080
Name: THE CALDWELL FOUNDATION

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
A	SSGA S&P 500 EQUAL WEIGHTED INDEX CTF	P	2010-01-01	2010-12-31
B	SSGA S&P 500 EQUAL WEIGHTED INDEX CTF	P	2010-01-01	2010-12-31
C	LT FOREIGN CURRENCY CONTRACTS-SEE SCHED	P	2008-01-01	2010-12-31
D	ST FOREIGN CURRENCY CONTRACTS-SEE SCHED	P	2010-01-01	2010-12-31
E	OTHER CURRENCY GAINS/LOSSES	P	2010-01-01	2010-12-31
F	BURLINGTON/SANTA FE MERGER	P	2008-01-01	2010-12-31
G	LONG TERM SALES-SEE SCHEDULE	P	2008-01-01	2010-12-31
H	SHORT TERM SALES - SEE SCHEDULE	P	2010-01-01	2010-12-31
I	ATLANTIC TRUST-ALLOCATED LONG TERM GAINS	P	2008-01-01	2010-12-31
J	ATLANTIC TRUST-ALLOCATED SHORT TERM GAIN	P	2010-01-01	2010-12-31
K	DFA-ALLOCATED LONG TERM GAINS	P	2008-01-01	2010-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
A	50,790			50,790
B			2,617	-2,617
C	7,300,000		7,169,228	130,772
D	12,416,091		12,418,160	-2,069
E	9,004			9,004
F	24,210			24,210
G	25,942,831		26,570,455	-627,624
H	7,168,106		6,440,297	727,809
I	28,584			28,584
J	14,753			14,753
K	125,671			125,671

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69		(j) Adjusted basis as of 12/31/69	
		(k) Excess of col (i) over col (j), if any	
A			50,790
B			-2,617
C			130,772
D			-2,069
E			9,004
F			24,210
G			-627,624
H			727,809
I			28,584
J			14,753
K			125,671

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DEE DEE BUFE 3513 FRY AVE TYLER, TX 75701	BOARD MEMBER 3 00	5,000		
PEYTON M LAKE PO BOX 179 TYLER, TX 75710	BOARD MEMBER 3 00	15,000		
ANNE H MORGAN PHD 4701 FOUNTAINGATE DR NORMAN, OK 73072	BOARD MEMBER 3 00	0		
HS MCARTHUR PO BOX 4280 TYLER, TX 75712	EXEC V-PRES 40 00	107,308	9,302	
EH CALDWELL PO BOX 4785 TYLER, TX 75712	Exec DIRECTOR 40 00	151,465	14,419	
MS MCARTHUR PO BOX 4280 TYLER, TX 75712	Chairman 40 00	205,753	12,249	

TY 2010 Accounting Fees Schedule**Name:** THE CALDWELL FOUNDATION**EIN:** 75-6004080**Software ID:** 10000105**Software Version:** 2010v3.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING/AUDITING FEES	26,500	22,194	22,194	4,306

TY 2010 Contractor Compensation Explanation**Name:** THE CALDWELL FOUNDATION**EIN:** 75-6004080**Software ID:** 10000105**Software Version:** 2010v3.2

Contractor	Explanation
TWEEDY BROWNE CO LLC	
TRADEWINDS NWQ GLOBAL INVESTORS LLC	
THOMPSON & KNIGHT	
GRANTHAM MAYO VANOTTERLOO & CO	
GLENWOOD ANIMAL HOSPITAL	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2010 Depreciation Schedule

Name: THE CALDWELL FOUNDATION

EIN: 75-6004080

Software ID: 10000105

Software Version: 2010v3.2

TY 2010 Depreciation Schedule

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
TX HOOFSTOCK BARN-OUTDR	2010-05-06	6,344		91	10 0000	370			
2009 GMC VAN	2010-02-18	19,999		91	5 0000	3,333			
COPIER-ANIMAL SERVIES	2010-11-18	5,260		91	5 0000	88			
C&K SYSTEMS-GS INV SOFTWA	2010-07-15	2,425		91	4 0000	253			
REPEATER-RADIO COMMUNICAT	2010-05-27	2,951		91	5 0000	344			
AFRICAN HS BARN - HEATER	2010-05-01	8,985		91	7 0000	856			
POS EQUIPMENT REPLACEMENT	2010-04-01	19,389		91	4 0000	3,635			
C&K SYSTEMS-GS INV SOFTWR	2010-02-01	14,812		91	4 0000	3,394			
AIR CONDITIONER	2010-08-25	11,850		91	7 0000	564			
RHINO HOUSE BOILER	2009-12-31	13,840		91	7 0000	1,977			
PRAIRIE CHICKEN EXHIBIT	2009-04-16	2,540	169	91	10 0000	254			
TEXAS REPTILE ROOF	2009-03-06	21,725	1,629	91	10 0000	2,173			
SQL UPGRADE	2009-09-03	6,917	576	91	3 0000	2,306			
CONC #3 A/C REPLACEMENT	2009-04-09	9,415	1,255	91	5 0000	1,883			
CONC #3 POS	2009-03-19	4,405	1,101	91	3 0000	1,468			
ANIMAL HOLDING/QUARANTINE	2008-12-31	187,821	6,261	91	31 5000	6,261			
WATERFOWL BREEDING LINE	2008-05-01	11,232	1,778	91	10 0000	1,123			
HI TEMP DISHWASHER (COMM)	2008-07-31	6,228	1,261	91	7 0000	890			
LARGE ANIMAL SCALES	2008-05-08	4,130	1,308	91	5 0000	826			
EGG INCUBATOR	2008-05-22	2,655	841	91	5 0000	531			

TY 2010 Depreciation Schedule

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
GATE OPENERS-SVC ENTRANCE	2008-03-27	9,200	2,300	91	7 0000	1,314			
JD GATOR - GROUNDS	2008-03-27	8,350	2,923	91	5 0000	1,670			
FORD TRK-PURCH VIN 7639	2007-07-31	16,391	7,922	91	5 0000	3,278			
FORD TRK-SECURITY VIN5642	2007-07-31	16,134	7,799	91	5 0000	3,227			
CONC FREEZER	2007-12-01	5,105	1,458	91	7 0000	729			
JD 4X2 GATOR - MAMMALS	2007-06-28	8,320	4,160	91	5 0000	1,664			
X-RAY FILM PROCESSOR	2007-06-21	5,251	2,625	91	5 0000	1,050			
N AMER REPTILE A/C	2007-04-26	3,447	1,838	91	5 0000	689			
TICKET/MEMBERSHIP SCANNER	2007-02-14	6,613	6,245	91	3 0000	367			
SERVER - VIS SVCS	2007-01-18	8,490	8,254	91	3 0000	235			
GREENHOUSE	2006-08-01	49,827	16,610	91	10 0000	4,983			
MAIN ENTRY/PLAZA UPGRADE	2006-06-01	370,617	129,717	91	10 0000	37,062			
EDUCATION HUTS PROJECT	2006-05-01	37,651	13,491	91	10 0000	3,765			
CONCESSION MENU BOARD	2006-12-07	2,971	1,782	91	7 0000	594			
CARDELL MONITOR 9405-VET	2006-06-23	4,855	3,399	91	5 0000	971			
OZONE SYSTEM-PENGUIN EXHB	2006-04-01	14,795	7,732	91	7 0000	2,114			
VIEWING BINOCULARS	2006-03-09	3,906	2,092	91	7 0000	465			
EXMARK LZR Z RIDING MOWER	2006-02-28	8,025	6,153	91	5 0000	1,605			
SECURITY CAMERAS	2006-02-28	3,679	2,821	91	5 0000	736			
WATER LEVEL CONTROL SYSTM	2005-11-30	8,009	4,671	91	7 0000	1,144			

TY 2010 Depreciation Schedule

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
JD GATOR W/CAB-BLDG MAINT	2005-10-31	8,556	7,129	91	5 0000	1,426			
JD 4X2 GATOR-GARAGE	2005-05-01	8,240	7,691	91	5 0000	549			
ZOO ADMIN COPIER	2005-03-31	7,950	7,553	91	5 0000	397			
MOWER-84" ROTARY CUTTER	2005-03-01	3,333	3,168	91	5 0000	167			
TABLE/CHAIRS-PARTY ROOM	2005-03-01	3,920	3,724	91	5 0000	196			
REPTILE HEATER	2005-03-01	3,341	2,266	91	7 0000	477			
UPPER BOX CULVERT	2005-08-01	298,442	131,811	91	10 0000	29,844			
AVIARY-WILDBIRD WALKABOUT	2005-07-31	15,179	6,704	91	10 0000	1,518			
HEATER	2006-01-01	7,925	4,528	91	7 0000	1,132			
CHILDREN'S ZOO	2003-10-31	15,097	9,311	91	10 0000	1,510			
BUS PARKING TICKET BOOTH	2003-10-31	17,778	10,964	91	10 0000	1,778			
GIFT SHOP #2	2003-10-31	180,000	37,000	91	30 0000	6,000			
LEMUR EXHBT-FILTRATN SYS	2003-10-31	135,104	119,023	91	7 0000	16,083			
LEMUR EXHBT-FLAMINGO BLD	2003-10-31	46,000	28,367	91	10 0000	4,600			
LEMUR EXHBT-LEMUR BLDG	2003-10-31	51,000	31,450	91	10 0000	5,100			
LEMUR EXHIBIT - PUMPHOUSE	2003-10-31	45,000	27,750	91	10 0000	4,500			
MAIN ENTRY-DESGN/ARCH/ENG	2003-10-31	224,162	138,225	91	10 0000	22,416			
MAIN ENTRY - SITE WORK	2003-10-31	1,516,515	935,186	91	10 0000	151,652			
MAIN ENTRY - GATE/PANELS	2003-10-31	15,835	9,765	91	10 0000	1,584			
MAIN ENTRY - VISITOR SVCS	2003-10-31	216,000	44,400	91	30 0000	7,200			

TY 2010 Depreciation Schedule

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
MAIN ENTRY - RESTROOM	2003-10-31	110,000	22,612	91	30 0000	3,667			
WASH STATION - TRASH	2003-09-01	4,927	3,121	91	10 0000	493			
BUS PARKING HANDICAP	2003-08-15	3,500	2,246	91	10 0000	350			
BUS PARKING FENCING	2003-06-15	4,818	2,113	91	15 0000	321			
BUS PARKING SIDEWALKS	2003-04-24	5,281	3,520	91	10 0000	528			
BOX CULVERT	2003-03-01	255,030	174,270	91	10 0000	25,503			
GREENHOUSE	2002-08-01	39,860	29,232	91	10 0000	3,986			
ZONE A - ANIMAL HEALTH	2002-06-01	664,832	166,206	91	30 0000	22,161			
ZONE D - FENCING	2002-06-01	21,284	10,642	91	15 0000	1,419			
ZONE D - LOWER PARKING	2002-06-01	686,628	514,972	91	10 0000	68,663			
HORTICULTURE BLDG	2002-04-01	325,356	83,146	91	30 0000	10,845			
MAMMAL HOLDING	2002-04-01	201,184	51,413	91	30 0000	6,706			
FLAMINGO HOLDING	2002-04-01	322,837	82,502	91	30 0000	10,761			
PRAIRIE CHICKEN EXHIBIT	2002-03-01	15,341	11,889	91	10 0000	1,534			
TRACTOR	2001-05-17	21,100	18,111	91	10 0000	2,110			
BACKHOE	2001-01-10	46,098	41,105	91	10 0000	4,610			
WALL OF REPTILE EXHIBIT	2001-10-01	2,960	2,442	91	10 0000	296			
RESTROOM - ZONE C	2001-10-01	321,865	88,513	91	30 0000	10,729			
FENCING - ZONE C	2001-10-01	20,193	11,105	91	15 0000	1,346			
PARKING LOT-ZONE C	2001-10-01	174,033	143,577	91	10 0000	17,403			

TY 2010 Depreciation Schedule

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
DUMP TRUCK	2000-09-07	35,431	32,773	91	10 0000	2,658			
PERIMETER FENCE	2000-10-31	2,822	1,771	91	15 0000	188			
ZOO ADMIN BLDG	2000-01-31	27,515	27,286	91	10 0000	229			
GENERAL BLDG & IMPROVEMEN	1997-07-01	11,500	4,563	91	31 5000	365			
3025 W GENTRY	1994-07-01	19,138	10,759	85	3 64 %	696			
3043 W GENTRY	1993-07-31	58,479	37,117	85	3 64 %	2,126			
3037 W GENTRY	1993-07-31	59,309	37,636	85	3 64 %	2,156			
PURCHASING BLDG	1990-02-01	653,633	472,353	85	3 64 %	23,766			
ADM OFFICE BLDG	1989-05-01	644,430	403,205	87	3 18 %	22,390			
MAINTENANCE GARAGE	1994-07-01	369,334	146,404	91	39 0000	9,470			
PERIMETER FENCE	1993-04-30	33,796	17,062	91	31 5000	1,073			
MAINTENANCE BLDG	1992-10-31	247,322	128,013	91	31 5000	7,852			
ELEPHANT CRUSH	1991-05-24	29,343	27,749	91	22 4000	1,594			
AFRICAN THEME PHASE II	1992-07-01	3,134,180	1,622,326	91	31 5000	99,510			

TY 2010 Employee Compensation Explanation**Name:** THE CALDWELL FOUNDATION**EIN:** 75-6004080**Software ID:** 10000105**Software Version:** 2010v3.2

Employee	Explanation
LG KUNZE	
O R VINSON	
MD TUCKER	
T G CARTER	
LS MADDOX	

TY 2010 General Explanation Attachment**Name:** THE CALDWELL FOUNDATION**EIN:** 75-6004080**Software ID:** 10000105**Software Version:** 2010v3.2

Identifier	Return Reference	Explanation
		REASON FOR AMENDMENT THE 2010 990-PF IS BEING AMENDED TO MOVE \$890,819 OF EXPENSES FROM COLUMN D TO COLUMN C ON PAGE 1 TO COMPLY WITH THE SPECIAL RULE FOR PRIVATE OPERATING FOUNDATIONS THESE EXPENSES ARE RELATED TO CHARITABLE ACTIVITIES THAT GENERATED INCOME AND WERE ORIGINALLY REPORTED IN COLUMN D IN ERROR ALL RELATED SCHEDULES HAVE BEEN UPDATED PART V, PAGE 3 & PART XIV, PAGE 10 HAVE ALSO BEEN CORRECTED FOR THIS SAME ADJUSTMENT FOR PRIOR YEARS QUALIFYING DISTRIBUTIONS HAVE BEEN REDUCED AS FOLLOWS 2005 -835,1622006 -875,3632007 -820,5722008 -798,1592009 -884,123PART I, LINE 11, PG 1, COLUMN C HAS BEEN INCREASED BY \$1,502,116 TO INCLUDE ZOO ADMISSION INCOME THAT WAS INADVERTENTLY OMITTED PART X, LINE 1C, PAGE 8 HAS BEEN REDUCED BY \$240,000 BECAUSE INVESTMENT LAND WITH FMV OF \$240,000 WAS CONVERTED TO EXEMPT CHARITABLE PURPOSES IN THE SUMMER OF 2009 ALL RELATED SCHEDULES HAVE BEEN UPDATED NONE OF THE ABOVE CHANGES HAVE AFFECTED THE 2010 EXCISE TAX DUE

TY 2010 Land, Etc. Schedule

Name: THE CALDWELL FOUNDATION

EIN: 75-6004080

Software ID: 10000105

Software Version: 2010v3.2

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Land	1,749,949		1,749,949	1,749,949
Buildings	19,255,409	14,028,095	5,227,314	5,227,314
Furniture and Fixtures	821,583	703,691	117,892	117,892
Auto./Transportation Equip.	107,941	80,977	26,964	26,964

TY 2010 Legal Fees Schedule**Name:** THE CALDWELL FOUNDATION**EIN:** 75-6004080**Software ID:** 10000105**Software Version:** 2010v3.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	66,292	45,809	45,809	20,483

TY 2010 Other Assets Schedule**Name:** THE CALDWELL FOUNDATION**EIN:** 75-6004080**Software ID:** 10000105**Software Version:** 2010v3.2

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Net Intangible Assets	20,000	20,000	3,549,226
DEPOSIT ON SERVER		1,000	1,000
CONSTRUCTION IN PROGRESS	107,140	192,892	192,892

TY 2010 Other Expenses Schedule**Name:** THE CALDWELL FOUNDATION**EIN:** 75-6004080**Software ID:** 10000105**Software Version:** 2010v3.2

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
X-EXPENSES ALLOC TO CHARITABLE INCOME			890,819	-890,819
VEHICLE EXPENSE	47,989			47,989
SUPPLIES	99,207	5,627	5,627	93,580
PUBLIC RELATIONS	75			75
OTHER EXPENSES	175,425	10,913	10,913	164,512
OTHER EMPLOYEE EXPENSES	62,312	12,144	12,144	50,168
MAINTENANCE & REPAIR	207,014	8,673	8,673	198,341
CONTRACT LABOR	366,310			366,310
COMMUNICATIONS	41,012	8,172	8,172	32,840
ANIMAL EXPENSE	508,494			508,494

TY 2010 Other Income Schedule**Name:** THE CALDWELL FOUNDATION**EIN:** 75-6004080**Software ID:** 10000105**Software Version:** 2010v3.2

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER MISC INCOME	67,700		67,700
Other Investment Income	1,184,674	1,184,674	1,184,674
FISH FEEDER INCOME	16,923		16,923
AVIARY SEED STICK INCOME	64,745		64,745
ANIMAL SALES	1,480		1,480
ADMISSION INCOME	1,502,116		1,502,116

TY 2010 Other Liabilities Schedule**Name:** THE CALDWELL FOUNDATION**EIN:** 75-6004080**Software ID:** 10000105**Software Version:** 2010v3.2

Description	Beginning of Year - Book Value	End of Year - Book Value
SALES TAX PAYABLE	820	1,332

TY 2010 Other Professional Fees Schedule**Name:** THE CALDWELL FOUNDATION**EIN:** 75-6004080**Software ID:** 10000105**Software Version:** 2010v3.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MGMT FEES	494,196	494,196	494,196	0
CONSULTING FEES	96,712	64,700	64,700	32,012

TY 2010 Sales Of Inventory Schedule**Name:** THE CALDWELL FOUNDATION**EIN:** 75-6004080**Software ID:** 10000105**Software Version:** 2010v3.2

Category	Gross Sales	Cost of Goods Sold	Net (Gross Sales Minus Cost of Goods Sold)
GIFT SHOP SALES	1,028,660	392,350	636,310

TY 2010 Taxes Schedule

Name: THE CALDWELL FOUNDATION

EIN: 75-6004080

Software ID: 10000105

Software Version: 2010v3.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
AD VALOREM TAXES	65,964	65,964	65,964	

THE CALDWELL FOUNDATION
75-6004080
SCHEDULE OF GAINS /LOSSES
2010

Name	Quantity	Date Acquired	Date Sold	Proceeds	Total Cost	Realized
AGL RESOURCES INC	300	12/6/2010	12/22/2010	10,818	11,187	(368)
ALLERGAN INC/UNITED STATES	300	1/20/2010	11/3/2010	21,820	18,292	3,528
ALTRIA GROUP INC	880	3/12/2010	5/21/2010	18,116	17,767	350
ALTRIA GROUP INC	355	9/24/2009	5/21/2010	7,308	6,284	1,024
ALTRIA GROUP INC	2,137	8/28/2009	5/21/2010	43,994	38,998	4,996
AMAZON COM INC	250	7/23/2010	11/10/2010	42,737	27,644	15,093
AMAZON COM INC	110	7/1/2010	10/28/2010	18,262	12,148	6,115
AMAZON COM INC	165	7/1/2010	11/3/2010	26,979	18,222	8,757
AMAZON COM INC	125	7/1/2010	11/10/2010	21,368	13,804	7,564
AMGEN INC	1,247	5/25/2010	10/20/2010	72,669	65,083	7,586
ANADARKO PETROLEUM CORP	300	11/11/2010	12/30/2010	22,308	19,641	2,668
ANGLOGOLD ASHANTI LIMITED	263	2/25/2010	10/20/2010	12,143	9,167	2,976
AON CORP	130	12/4/2009	10/20/2010	5,139	4,995	145
AON CORP	1,018	12/3/2009	10/20/2010	40,243	39,079	1,163
AON CORP	464	12/2/2009	10/19/2010	18,416	17,847	569
AON CORP	539	12/2/2009	10/20/2010	21,307	20,732	576
AUTOMATIC DATA PROCESSING INC	170	8/24/2010	10/1/2010	7,212	6,622	590
AUTOMATIC DATA PROCESSING INC	550	6/29/2010	10/1/2010	23,334	22,377	957
AUTOMATIC DATA PROCESSING INC	1,700	6/24/2010	10/1/2010	72,122	68,957	3,165
BAXTER INTL INC COM	350	1/19/2010	4/23/2010	17,491	21,431	(3,940)
BAXTER INTL INC COM	200	9/11/2009	4/22/2010	9,967	11,388	(1,421)
BAXTER INTL INC COM	450	9/11/2009	4/23/2010	22,489	25,623	(3,134)
BAXTER INTL INC COM	650	6/17/2009	4/22/2010	32,393	31,966	427
BCE INC	1,500	11/4/2010	12/23/2010	52,921	51,133	1,788
BERKSHIRE HATHAWAY INC DEL	0	2/16/2010	2/16/2010	14	11	3
BJ'S WHOLESALE CLUB	213	3/10/2010	7/1/2010	8,847	7,244	1,602
BJ'S WHOLESALE CLUB	847	3/10/2010	7/30/2010	37,697	28,807	8,890
BJ'S WHOLESALE CLUB	1,448	12/3/2009	5/25/2010	54,991	48,245	6,746
BJ'S WHOLESALE CLUB	402	12/4/2009	7/1/2010	16,696	13,566	3,131
BJ'S WHOLESALE CLUB	378	12/3/2009	7/1/2010	15,700	12,594	3,105
BP PLC	200	10/27/2010	10/28/2010	8,066	8,016	50
BP PLC	200	10/27/2010	10/29/2010	8,150	8,016	134
BRITISH AMERICAN TOBACCO ORD	3,180	5/21/2010	10/1/2010	118,870	91,248	27,622
CA INCORPORATED	95	5/17/2010	10/20/2010	2,096	1,955	141
CANADIAN NATURAL RESOURCES LTD	144	1/26/2010	10/19/2010	5,205	4,742	463
CANADIAN NATURAL RESOURCES LTD	1,886	1/26/2010	10/20/2010	68,297	62,107	6,189
CANADIAN NATURAL RESOURCES LTD	598	1/25/2010	10/19/2010	21,614	19,900	1,714
CANADIAN NATURAL RESOURCES LTD	1,866	11/20/2009	10/19/2010	67,445	61,423	6,022
CANADIAN NATURAL RESOURCES LTD	422	11/19/2009	10/19/2010	15,253	14,100	1,153
CANADIAN NATURAL RESOURCES LTD	1,824	11/16/2009	10/19/2010	65,927	63,181	2,746
CHESAPEAKE ENERGY CORP	3,216	12/4/2009	3/10/2010	82,463	72,139	10,324
CHEVRON CORP	100	10/25/2010	12/10/2010	8,651	8,508	143
CHEVRON CORP	100	10/20/2010	12/10/2010	8,651	8,418	233
CITIGROUP INC	13,511	12/17/2009	3/17/2010	55,074	43,214	11,861
CITIGROUP INC	11,256	12/17/2009	4/14/2010	54,855	36,001	18,854
CITIGROUP INC	5,072	12/17/2009	4/16/2010	23,324	16,222	7,101
CITIGROUP INC	11,785	12/18/2009	10/20/2010	48,094	38,408	9,685
CITIGROUP INC	36,881	12/17/2009	10/19/2010	153,220	117,960	35,259
CITIGROUP INC	4,421	12/17/2009	10/20/2010	18,042	14,140	3,902
COGNIZANT TECH SOLUTIONS CL A	950	5/5/2009	3/8/2010	47,830	25,782	22,048
COLGATE-PALMOLIVE CO	300	6/9/2010	10/28/2010	22,469	23,671	(1,202)
COLGATE-PALMOLIVE CO	450	6/7/2010	10/28/2010	33,704	35,153	(1,450)
COMCAST CORP CL A SPECIAL NEW	607	8/20/2009	3/18/2010	10,209	8,501	1,708
COMCAST CORP CL A SPECIAL NEW	4,281	8/19/2009	3/17/2010	71,838	59,700	12,138
COMCAST CORP CL A SPECIAL NEW	520	8/19/2009	3/18/2010	8,746	7,252	1,494
CONOCOPHILLIPS	71	11/20/2009	3/26/2010	3,623	3,690	(67)

THE CALDWELL FOUNDATION
75-6004080
SCHEDULE OF GAINS /LOSSES
2010

Name	Quantity	Date Acquired	Date Sold	Proceeds	Total Cost	Realized
CONOCOPHILLIPS	281	11/30/2009	6/16/2010	15,204	14,551	653
CONOCOPHILLIPS	514	11/25/2009	6/16/2010	27,811	27,101	710
CONOCOPHILLIPS	566	11/24/2009	6/15/2010	30,667	29,596	1,071
CONOCOPHILLIPS	143	11/24/2009	6/16/2010	7,737	7,477	260
CONOCOPHILLIPS	344	11/20/2009	6/15/2010	18,639	17,878	761
CUBIST PHARM 2 1/4 6-15-13	70,000	9/9/2009	7/22/2010	67,550	67,025	525
CVS CAREMARK CORP	585	11/5/2009	6/15/2010	18,744	16,841	1,903
CVS CAREMARK CORP	723	7/13/2009	6/14/2010	23,205	22,328	877
CVS CAREMARK CORP	468	7/13/2009	6/15/2010	14,996	14,453	542
CVS CAREMARK CORP	1,925	11/5/2009	10/19/2010	59,155	55,418	3,737
CVS CAREMARK CORP	865	11/5/2009	10/20/2010	26,799	24,902	1,897
DOLBY LABORATORIES INC CL A	130	8/13/2009	5/17/2010	8,278	5,224	3,054
DOLBY LABORATORIES INC CL A	170	8/13/2009	5/18/2010	10,858	6,831	4,027
DOLBY LABORATORIES INC CL A	145	11/4/2009	8/23/2010	8,084	6,045	2,039
DOLBY LABORATORIES INC CL A	150	11/5/2009	8/25/2010	7,957	6,284	1,674
DOLBY LABORATORIES INC CL A	205	11/4/2009	8/25/2010	10,875	8,546	2,329
DOLBY LABORATORIES INC CL A	50	6/29/2009	5/5/2010	3,253	1,861	1,392
DOLBY LABORATORIES INC CL A	320	6/25/2009	5/4/2010	21,228	11,768	9,460
DOLBY LABORATORIES INC CL A	30	6/25/2009	5/5/2010	1,952	1,103	849
DOLBY LABORATORIES INC CL A	340	8/18/2009	7/1/2010	20,841	13,646	7,195
DOLBY LABORATORIES INC CL A	115	6/29/2009	5/13/2010	7,789	4,280	3,508
DOLBY LABORATORIES INC CL A	135	6/29/2009	5/17/2010	8,596	5,025	3,571
DOLBY LABORATORIES INC CL A	10	8/13/2009	7/1/2010	613	402	211
DOLBY LABORATORIES INC CL A	300	9/2/2009	8/10/2010	18,272	11,149	7,123
DOLBY LABORATORIES INC CL A	330	8/19/2009	8/2/2010	20,732	13,219	7,514
DOLBY LABORATORIES INC CL A	70	8/18/2009	8/2/2010	4,398	2,810	1,588
DOLBY LABORATORIES INC CL A	150	9/2/2009	8/23/2010	8,362	5,574	2,788
DOLBY LABORATORIES INC CL A	50	8/19/2009	8/10/2010	3,045	2,003	1,042
EOG RESOURCES INC	300	11/3/2010	11/4/2010	26,304	26,232	72
EOG RESOURCES INC	200	11/1/2010	11/4/2010	17,536	19,368	(1,832)
EOG RESOURCES INC	200	10/28/2010	11/4/2010	17,536	19,051	(1,515)
EOG RESOURCES INC	500	10/26/2010	11/4/2010	43,841	47,922	(4,082)
EOG RESOURCES INC	200	10/25/2010	11/4/2010	17,536	19,587	(2,050)
EXELON CORP	870	3/24/2010	10/1/2010	37,053	38,079	(1,026)
EXELON CORP	120	1/25/2010	10/1/2010	5,111	5,605	(495)
EXELON CORP	1,500	12/23/2009	10/1/2010	63,885	73,885	(9,999)
EXELON CORP	1,460	10/28/2009	10/1/2010	62,182	69,936	(7,754)
EXPEDITORS INTERNATIONAL OF WA	445	2/23/2010	10/19/2010	21,440	16,607	4,833
EXPEDITORS INTERNATIONAL OF WA	405	2/23/2010	10/20/2010	19,877	15,114	4,763
EXPEDITORS INTERNATIONAL OF WA	400	2/23/2010	12/9/2010	22,513	14,927	7,585
EXXON MOBIL CORP	100	10/20/2010	12/27/2010	7,281	6,627	654
F5 NETWORKS INC	300	4/22/2010	10/27/2010	35,394	20,660	14,733
F5 NETWORKS INC	65	4/23/2010	12/3/2010	9,057	4,631	4,426
F5 NETWORKS INC	135	4/22/2010	12/3/2010	18,810	9,297	9,513
FEDERATED INVESTORS INC	2,380	5/4/2010	10/1/2010	54,412	56,974	(2,562)
GENZYME CORP COM	250	1/7/2010	4/8/2010	12,857	12,537	321
GLAXOSMITHKLINE ORD GBP0 25	11,200	11/6/2009	6/1/2010	189,803	225,428	(35,625)
GOLDMAN SACHS GRP INC	147	8/26/2010	10/19/2010	23,310	21,223	2,087
GOLDMAN SACHS GRP INC	175	8/26/2010	10/20/2010	27,520	25,265	2,254
GOLDMAN SACHS GRP INC	243	8/25/2010	10/19/2010	38,532	35,066	3,466
HARTFORD FIN SERS GRP INC	1,754	12/22/2009	10/20/2010	41,494	40,919	575
INTEGRYS ENERGY GROUP INC	172	10/26/2010	11/19/2010	8,634	9,088	(454)
INTEGRYS ENERGY GROUP INC	300	10/25/2010	11/19/2010	15,060	16,032	(971)
INTEGRYS ENERGY GROUP INC	200	10/22/2010	11/18/2010	10,140	10,697	(557)
INTEGRYS ENERGY GROUP INC	500	10/21/2010	11/18/2010	25,350	26,924	(1,574)
INTEGRYS ENERGY GROUP INC	100	10/22/2010	11/19/2010	5,020	5,348	(328)

THE CALDWELL FOUNDATION
75-6004080
SCHEDULE OF GAINS /LOSSES
2010

Name	Quantity	Date Acquired	Date Sold	Proceeds	Total Cost	Realized
KANSAS CY SO 5 1/8% PERP PFD D	41	5/21/2009	3/10/2010	51,864	29,566	22,298
KANSAS CY SO 5 1/8% PERP PFD D	58	5/21/2009	3/11/2010	73,369	41,825	31,544
KAO CORP SPONS ADR	330	11/10/2009	5/11/2010	7,689	7,574	116
KAO CORP SPONS ADR	380	5/21/2009	5/11/2010	8,855	8,263	592
KIMBERLY-CLARK CORP	585	7/1/2010	10/1/2010	38,109	35,266	2,843
KIMBERLY-CLARK CORP	1,630	4/22/2010	10/1/2010	106,184	100,989	5,196
LIHIR GOLD LTD ADR	717	8/10/2009	7/21/2010	25,847	15,876	9,971
LIHIR GOLD LTD ADR	159	8/11/2009	7/23/2010	5,761	3,485	2,276
LIHIR GOLD LTD ADR	821	8/10/2009	7/23/2010	29,749	18,178	11,571
LINCOLN NTL CORP IND	1,329	6/24/2010	10/20/2010	34,396	35,356	(960)
LINCOLN NTL CORP IND	1,109	6/23/2010	10/19/2010	28,452	29,868	(1,416)
LINCOLN NTL CORP IND	231	6/23/2010	10/20/2010	5,979	6,221	(243)
LINCOLN NTL CORP IND	2,414	6/22/2010	10/19/2010	61,933	66,323	(4,389)
LTX CREDENCE CORP 3 1/2 5-15-11	98,000	5/27/2009	3/8/2010	94,448	41,851	52,597
LUCENT TECH 2 7/8 6-15-23	138,000	9/11/2009	5/11/2010	137,931	135,930	2,001
LUCENT TECH 2 7/8 6-15-25	45,000	5/11/2010	10/5/2010	40,975	39,015	1,960
MARATHON OIL CORP	100	10/20/2010	12/13/2010	3,499	3,586	(86)
MARATHON OIL CORP	50	10/21/2010	12/17/2010	1,742	1,790	(48)
MARATHON OIL CORP	350	10/21/2010	12/21/2010	12,661	12,529	132
MCDONALD'S CORP	1,187	1/14/2010	10/1/2010	88,942	73,922	15,020
MERCK & CO INC NEW COM	330	10/26/2009	10/20/2010	12,280	10,578	1,702
MERCK & CO INC NEW COM	331	10/23/2009	10/19/2010	12,170	10,733	1,437
MERCK & CO INC NEW COM	1,485	10/23/2009	10/20/2010	55,260	48,152	7,108
METCASH LIMITED NPV	13,960	5/21/2010	10/4/2010	59,206	45,395	13,811
METLIFE INCORPORATED	295	12/3/2009	10/19/2010	11,899	10,104	1,795
METLIFE INCORPORATED	2,003	12/3/2009	10/20/2010	80,784	68,603	12,181
MOSAIC COMPANY (THE)	84	6/21/2010	8/23/2010	4,948	3,648	1,301
MOSAIC COMPANY (THE)	741	6/21/2010	10/8/2010	49,089	32,176	16,913
MOSAIC COMPANY (THE)	673	6/22/2010	10/11/2010	44,815	29,437	15,378
MOSAIC COMPANY (THE)	20	6/21/2010	10/11/2010	1,332	868	463
MOSAIC COMPANY (THE)	534	7/8/2009	3/10/2010	32,379	21,548	10,831
MOTOROLA INC	1,171	2/1/2010	10/20/2010	9,309	7,403	1,906
MOTOROLA INC	4,067	1/29/2010	10/20/2010	32,332	25,141	7,191
MOTOROLA INC	2,198	12/2/2009	10/20/2010	17,474	18,089	(615)
MOTOROLA INC	1,698	12/1/2009	10/20/2010	13,499	13,979	(480)
MURPHY OIL CORP	100	11/1/2010	12/7/2010	7,135	6,591	544
NESTLE SA SPON ADR REPSTG REG	550	2/8/2010	3/29/2010	27,708	25,230	2,478
NETAPP INC	500	10/8/2009	8/24/2010	19,853	13,777	6,076
NEWCREST MINING LTD SPONS ADR	716	9/22/2010	11/16/2010	29,048	25,812	3,237
NEWCREST MINING LTD SPONS ADR	1,060	9/22/2010	12/9/2010	42,413	38,213	4,200
NEWCREST MINING LTD SPONS ADR	1,552	5/3/2010	9/2/2010	53,255	46,179	7,076
NEWCREST MINING LTD SPONS ADR	1	5/3/2010	9/21/2010	30	23	7
NEWCREST MINING LTD SPONS ADR	1,381	5/3/2010	10/15/2010	57,085	41,091	15,995
NEWCREST MINING LTD SPONS ADR	227	5/3/2010	11/16/2010	9,209	6,754	2,455
NSTAR	500	10/27/2010	11/3/2010	21,048	20,560	488
NSTAR	300	10/26/2010	11/3/2010	12,629	12,412	216
NSTAR	600	10/25/2010	11/3/2010	25,257	24,904	353
NSTAR	500	10/22/2010	11/3/2010	21,048	20,330	718
NSTAR	100	10/20/2010	11/3/2010	4,210	4,053	157
OCCIDENTAL PETROLEUM CORP DE	312	4/15/2010	10/20/2010	25,389	26,725	(1,336)
OCCIDENTAL PETROLEUM CORP DE	546	4/14/2010	10/19/2010	44,278	46,831	(2,553)
OCCIDENTAL PETROLEUM CORP DE	320	4/14/2010	10/20/2010	26,040	27,447	(1,407)
OCCIDENTAL PETROLEUM CORP DE	1,139	4/12/2010	10/19/2010	92,367	98,700	(6,333)
OGE ENERGY CORP	200	11/11/2010	12/10/2010	8,966	9,046	(79)
OGE ENERGY CORP	200	11/10/2010	12/10/2010	8,966	9,030	(63)
OGE ENERGY CORP	1,800	11/3/2010	12/9/2010	80,402	81,731	(1,329)

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Name	Quantity	Date Acquired	Date Sold	Proceeds	Total Cost	Realized
OGE ENERGY CORP	700	11/3/2010	12/10/2010	31,382	31,784	(402)
OGE ENERGY CORP	400	10/26/2010	12/9/2010	17,867	17,243	624
OMNICARE TENDER 3 1/4 12-15-35	49,157	8/12/2010	12/16/2010	46,699	41,046	5,653
PAIN THERAPEUTICS	3,724	1/7/2010	3/11/2010	22,646	19,253	3,393
PEABODY ENERGY CORP	322	3/30/2009	1/6/2010	16,138	8,058	8,080
PETROLEO BRASILEIRO SA	100	12/1/2010	12/10/2010	3,330	3,335	(5)
PETROLEO BRASILEIRO SA	500	10/27/2010	12/10/2010	16,651	16,534	117
PETROLEO BRASILEIRO SA	500	10/25/2010	12/9/2010	16,528	16,235	293
PETROLEO BRASILEIRO SA	500	10/25/2010	12/10/2010	16,651	16,235	416
PFIZER INC	2,701	3/29/2010	9/15/2010	46,707	46,671	36
PFIZER INC	3,314	4/26/2010	10/20/2010	58,594	55,967	2,626
PFIZER INC	4,758	4/14/2010	10/19/2010	82,955	81,125	1,830
PFIZER INC	1,393	4/14/2010	10/20/2010	24,629	23,751	878
PFIZER INC	5,599	3/29/2010	10/19/2010	97,618	96,746	872
PRICELINE COM INC	85	1/21/2010	8/6/2010	24,980	17,720	7,260
PRICELINE COM INC	5	2/1/2010	8/26/2010	1,458	1,006	453
PRICELINE COM INC	95	1/21/2010	8/26/2010	27,711	19,805	7,906
PROVIDENT FINANCIAL ORD	3,690	8/25/2010	10/1/2010	48,251	46,868	1,383
QUALCOMM INC	400	7/23/2009	2/8/2010	15,118	18,645	(3,527)
ROCKWELL COLLINS INC	60	4/8/2010	9/15/2010	3,460	3,769	(310)
ROCKWELL COLLINS INC	225	4/6/2010	9/14/2010	13,051	14,170	(1,119)
ROCKWELL COLLINS INC	115	4/6/2010	9/15/2010	6,631	7,242	(611)
ROCKWELL COLLINS INC	300	4/23/2010	12/3/2010	17,374	19,581	(2,207)
ROCKWELL COLLINS INC	130	4/16/2010	12/3/2010	7,529	8,347	(819)
ROCKWELL COLLINS INC	270	4/15/2010	12/3/2010	15,637	17,411	(1,774)
ROCKWELL COLLINS INC	130	4/9/2010	12/3/2010	7,529	8,251	(722)
ROCKWELL COLLINS INC	120	4/8/2010	12/3/2010	6,950	7,539	(589)
SABMILLER PLC	315	5/25/2010	10/21/2010	10,303	8,466	1,837
SABMILLER PLC	585	5/24/2010	10/21/2010	19,134	16,035	3,099
SANOFI AVENTIS ADS	1,046	5/5/2010	10/20/2010	36,435	34,297	2,138
SANOFI AVENTIS ADS	787	5/4/2010	10/20/2010	27,413	26,135	1,278
SEKISUI HOUSE LTD ADR	72	11/20/2009	6/29/2010	626	624	3
SEKISUI HOUSE LTD ADR	3,192	11/20/2009	7/8/2010	28,028	27,643	384
SEKISUI HOUSE LTD ADR	1,137	11/20/2009	7/9/2010	9,944	9,847	98
SEKISUI HOUSE LTD ADR	1,504	9/9/2009	6/29/2010	13,083	13,777	(694)
SEKISUI HOUSE LTD ADR	146	7/8/2009	5/26/2010	1,310	1,398	(88)
SEKISUI HOUSE LTD ADR	1,802	7/8/2009	6/29/2010	15,676	17,258	(1,582)
SILVER STANDARD RESOURCES INC	1,189	2/4/2010	11/9/2010	32,291	20,233	12,058
SILVER STANDARD RESOURCES INC	723	2/12/2010	12/13/2010	20,366	12,523	7,843
SILVER STANDARD RESOURCES INC	1,034	2/5/2010	12/13/2010	29,126	17,473	11,653
SILVER STANDARD RESOURCES INC	22	2/4/2010	12/13/2010	620	374	245
SILVER STANDARD RESOURCES INC	353	11/9/2009	11/9/2010	9,587	6,959	2,628
SMITHFIELD FOODS 4 000 6-30-13	76,000	9/14/2009	2/25/2010	76,760	69,046	7,714
SMITHFIELD FOODS INC (VA)	1,447	8/18/2009	3/5/2010	27,735	17,065	10,670
ST JUDE MED INC COM	220	6/17/2009	1/20/2010	8,456	8,605	(149)
ST JUDE MED INC COM	430	6/17/2009	1/21/2010	16,341	16,819	(477)
ST JUDE MED INC COM	800	2/5/2009	1/19/2010	30,980	29,546	1,434
ST JUDE MED INC COM	300	2/5/2009	1/20/2010	11,531	11,080	451
STATE STREET CORP	500	5/19/2009	4/6/2010	23,256	21,576	1,680
TARGET CORP	500	12/15/2009	7/23/2010	25,629	23,855	1,774
TELEFONICA SA	125	11/8/2010	11/11/2010	9,218	9,724	(506)
TELEFONICA SA	700	11/3/2010	11/10/2010	52,857	55,554	(2,697)
TELEFONICA SA	1,100	11/3/2010	11/11/2010	81,121	87,299	(6,178)
TIME WARNER CABLE INC NEW	1,753	10/19/2009	3/10/2010	85,982	72,603	13,379
TIME WARNER INC NEW	309	10/14/2010	10/19/2010	9,714	9,679	35
TIME WARNER INC NEW	1,277	10/14/2010	10/20/2010	40,534	40,000	533

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TIME WARNER INC NEW	2,566	10/13/2010	10/19/2010	80,664	80,937	(274)
TURKCELL ILETISM HIZM AS NEW	2,677	6/1/2010	10/14/2010	50,842	36,322	14,520
ULTRA PETROLEUM CORP	100	11/4/2010	12/1/2010	4,780	4,296	484
ULTRA PETROLEUM CORP	250	11/4/2010	12/3/2010	12,139	10,740	1,399
ULTRA PETROLEUM CORP	400	10/29/2010	11/30/2010	18,776	16,408	2,368
ULTRA PETROLEUM CORP	50	11/4/2010	12/6/2010	2,442	2,148	294
ULTRA PETROLEUM CORP	200	10/29/2010	12/1/2010	9,559	8,204	1,356
ULTRA PETROLEUM CORP	100	11/4/2010	12/7/2010	4,975	4,296	679
UNUMPROVIDENT CORP	1,615	9/22/2010	10/20/2010	35,967	35,961	6
UNUMPROVIDENT CORP	274	9/20/2010	10/20/2010	6,102	6,164	(62)
UNUMPROVIDENT CORP	139	9/17/2010	10/19/2010	3,147	3,087	59
UNUMPROVIDENT CORP	1,069	9/17/2010	10/20/2010	23,808	23,744	63
UNUMPROVIDENT CORP	6,222	5/20/2010	10/19/2010	140,849	132,952	7,897
VERIZON COMMUNICATIONS INC	350	10/20/2010	12/23/2010	12,363	11,446	916
VISA INC COM CL A	250	3/25/2010	5/25/2010	18,137	22,590	(4,453)
VISA INC COM CL A	250	3/8/2010	5/25/2010	18,137	22,441	(4,304)
VISA INC COM CL A	250	2/8/2010	5/25/2010	18,137	20,844	(2,707)
VODAFONE GROUP	32,940	10/8/2009	10/1/2010	82,635	71,789	10,845
WILLIAMS COS INC/THE	314	10/26/2010	10/28/2010	6,712	6,553	159
WILLIAMS COS INC/THE	86	10/26/2010	11/4/2010	1,872	1,795	77
WINDSTREAM CORP	500	10/27/2010	12/23/2010	7,086	6,229	856
WINDSTREAM CORP	600	10/25/2010	12/23/2010	8,503	7,534	969
WINDSTREAM CORP	300	10/20/2010	12/23/2010	4,251	3,752	499
ZURICH FINANCIAL SERVICES AG	320	8/30/2010	10/5/2010	76,258	70,857	5,401

SHORT TERM				7,168,106	6,440,297	727,809
A G C O CORP	185	2/26/2009	8/6/2010	6,761	3,212	3,548
A G C O CORP	735	2/26/2009	8/9/2010	26,785	12,762	14,024
A G C O CORP	1,076	2/4/2009	8/6/2010	39,321	24,608	14,713
A G C O CORP	1,162	2/26/2009	10/14/2010	49,144	20,175	28,968
A G C O CORP	1,126	2/26/2009	12/7/2010	54,686	19,550	35,136
A G C O CORP	673	10/2/2008	8/6/2010	24,594	24,315	279
AETNA INC (NEW)(CT)	870	3/6/2009	10/19/2010	27,161	16,910	10,250
AETNA INC (NEW)(CT)	1,690	3/6/2009	10/20/2010	53,204	32,849	20,355
AETNA INC (NEW)(CT)	120	9/8/2006	10/19/2010	3,746	4,297	(551)
AETNA INC (NEW)(CT)	776	9/7/2006	10/19/2010	24,226	27,608	(3,382)
AETNA INC (NEW)(CT)	2,037	10/1/2004	10/19/2010	63,593	50,996	12,597
ALAMO GROUP INC	1,154	2/26/2008	2/8/2010	20,353	21,297	(944)
ALCOA INC	3,559	2/25/2009	12/7/2010	50,826	23,247	27,580
ALTRIA GROUP INC	880	7/18/2007	5/21/2010	18,116	18,061	56
ALTRIA GROUP INC	1,805	6/21/2007	5/21/2010	37,159	36,144	1,015
AMEREN CORP (HLDG CO)	1,526	2/18/2009	8/30/2010	42,456	40,411	2,045
AMERICAN NATL INS CO	420	1/30/2009	4/29/2010	46,655	23,327	23,328
AMERICAN NATL INS CO	205	10/8/2008	1/13/2010	24,269	16,847	7,423
AMERICAN NATL INS CO	895	11/14/2008	4/29/2010	99,420	59,954	39,465
AMERICAN NATL INS CO	1,335	10/8/2008	4/29/2010	148,296	109,709	38,587
AMGEN INC	164	9/23/2009	10/19/2010	9,413	9,922	(510)
AMGEN INC	735	9/23/2009	10/20/2010	42,832	44,469	(1,637)
AMGEN INC	140	9/17/2009	10/19/2010	8,035	8,378	(343)
AMGEN INC	1,350	4/14/2009	10/19/2010	77,484	64,331	13,153
AMGEN INC	2,163	11/15/2007	10/19/2010	124,146	118,295	5,851
AMGEN INC	289	5/24/2007	9/15/2010	15,997	15,751	246
AMGEN INC	418	5/23/2007	9/15/2010	23,137	22,773	364
AMGEN INC	671	5/24/2007	10/19/2010	38,512	36,571	1,941
ANGLOGOLD ASHANTI LIMITED	313	9/1/2009	10/20/2010	14,451	11,281	3,171

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ANGLOGOLD ASHANTI LIMITED	990	7/10/2008	10/20/2010	45,709	24,370	21,339
ANGLOGOLD ASHANTI LIMITED	832	7/9/2008	10/19/2010	37,948	27,402	10,546
ANGLOGOLD ASHANTI LIMITED	503	7/9/2008	10/20/2010	23,224	16,566	6,658
ANGLOGOLD ASHANTI LIMITED	654	7/8/2008	10/19/2010	29,829	21,053	8,776
ANGLOGOLD ASHANTI LIMITED	1,194	6/5/2008	10/19/2010	54,458	41,177	13,281
ANGLOGOLD ASHANTI LIMITED	1,237	6/4/2008	10/19/2010	56,420	42,721	13,698
ANGLOGOLD ASHANTI LIMITED	681	4/15/2008	9/15/2010	30,429	25,096	5,333
ANGLOGOLD ASHANTI LIMITED	517	4/14/2008	9/15/2010	23,101	19,206	3,895
ANGLOGOLD ASHANTI LIMITED	231	4/15/2008	10/19/2010	10,536	8,513	2,023
AON CORP	575	8/23/2006	10/19/2010	22,821	19,282	3,539
AON CORP	1,013	8/22/2006	10/19/2010	40,205	34,125	6,080
AON CORP	97	8/10/2006	10/19/2010	3,850	3,177	673
AON CORP	462	8/9/2006	10/19/2010	18,336	15,163	3,174
AON CORP	862	8/7/2006	10/19/2010	34,212	27,276	6,936
AON CORP	49	10/1/2004	4/26/2010	2,156	1,409	746
AON CORP	523	10/1/2004	4/29/2010	23,037	15,041	7,996
AON CORP	1,003	10/1/2004	9/15/2010	37,907	28,846	9,060
AON CORP	326	10/1/2004	10/19/2010	12,939	9,376	3,563
APACHE CORP	668	4/27/2007	10/20/2010	69,274	49,435	19,839
APACHE CORP	532	4/26/2007	10/19/2010	54,539	39,637	14,902
APACHE CORP	348	4/26/2007	10/20/2010	36,089	25,928	10,161
APACHE CORP	1,058	1/4/2007	10/19/2010	108,463	67,500	40,964
APACHE CORP	344	1/3/2007	10/19/2010	35,266	22,182	13,084
APACHE CORP	600	9/14/2006	10/19/2010	61,510	38,401	23,109
ARCH COAL INC	260	3/31/2009	12/7/2010	8,503	3,536	4,967
ARCH COAL INC	1,494	2/25/2009	12/7/2010	48,862	21,347	27,516
AT&T INC	470	2/12/2009	10/1/2010	13,565	11,457	2,108
AT&T INC	750	12/23/2008	10/1/2010	21,646	21,245	400
AT&T INC	931	7/18/2008	10/1/2010	26,869	29,579	(2,709)
AT&T INC	2,380	4/17/2008	10/1/2010	68,689	89,236	(20,548)
AT&T INC	2,344	10/25/2005	5/5/2010	60,389	55,572	4,818
AT&T INC	1,710	10/25/2005	5/6/2010	43,610	40,541	3,070
AT&T INC	959	10/24/2005	5/5/2010	24,707	22,559	2,148
AXEL SPRINGER AG	485	3/14/2003	12/23/2010	77,286	21,991	55,295
BAKER HUGHES INC	636	3/31/2009	6/18/2010	28,513	16,157	12,356
BAKER HUGHES INC	635	2/4/2009	6/18/2010	28,468	17,862	10,606
BAKER HUGHES INC	1	11/6/2008	4/30/2010	46	29	17
BAKER HUGHES INC	735	11/6/2008	6/18/2010	32,951	22,460	10,491
BARD C R INC	250	8/1/2008	5/7/2010	20,775	23,500	(2,726)
BARRICK GOLD CORP	1,132	10/1/2004	9/15/2010	50,950	23,787	27,163
BARRICK GOLD CORP	5,313	10/1/2004	10/19/2010	242,284	111,645	130,639
BARRICK GOLD CORP	2,487	10/1/2004	10/20/2010	114,529	52,261	62,269
BBA AVIATION PLC GBP0 2976	279	9/13/2006	7/13/2010	836	1,447	(611)
BBA AVIATION PLC GBP0 2976	713	4/12/2006	7/13/2010	2,139	3,102	(963)
BBA AVIATION PLC GBP0 2976	251	9/15/2004	7/13/2010	753	1,255	(501)
BBA AVIATION PLC GBP0 2976	920	3/12/2003	7/13/2010	2,758	2,405	354
BBA AVIATION PLC GBP0 2976	19,992	2/7/2003	7/13/2010	59,956	50,948	9,008
BJ SVCS CO	1,590	3/31/2009	4/30/2010	4,277	-	4,277
BJ SVCS CO	1,586	2/4/2009	4/30/2010	4,266	-	4,266
BJ SVCS CO	926	11/6/2008	2/4/2010	19,180	11,336	7,844
BJ SVCS CO	1,228	11/6/2008	2/5/2010	25,038	15,034	10,004
BJ SVCS CO	1,837	11/6/2008	4/30/2010	4,942	-	4,942
BJ SVCS CO	2,166	1/17/2008	2/4/2010	44,864	48,143	(3,279)
BP PLC ORD USD 25	10,175	7/16/2007	6/1/2010	64,381	126,524	(62,144)
CA INCORPORATED	1,540	11/19/2007	10/20/2010	33,979	39,301	(5,322)
CA INCORPORATED	381	4/27/2006	10/20/2010	8,406	9,671	(1,264)

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Name	Quantity	Date Acquired	Date Sold	Proceeds	Total Cost	Realized
CA INCORPORATED	222	4/26/2006	10/19/2010	4,838	5,547	(709)
CA INCORPORATED	3,778	4/26/2006	10/20/2010	83,359	94,394	(11,035)
CA INCORPORATED	3,800	7/27/2004	10/19/2010	82,814	92,642	(9,829)
CA INCORPORATED	1,186	11/6/2003	9/15/2010	23,964	28,108	(4,144)
CA INCORPORATED	1,500	11/17/2003	10/19/2010	32,690	33,501	(811)
CA INCORPORATED	7,900	11/14/2003	10/19/2010	172,166	182,002	(9,836)
CA INCORPORATED	536	11/6/2003	10/19/2010	11,681	12,703	(1,022)
CAMECO CORP	509	2/19/2009	11/9/2010	18,955	7,252	11,704
CAMECO CORP	997	2/19/2009	12/1/2010	37,245	14,204	23,041
CAMECO CORP	1,182	9/5/2008	11/9/2010	44,018	31,133	12,886
CAMECO CORP	582	9/2/2008	11/9/2010	21,674	16,426	5,248
CBS CORP NEW CL B SHRS	1,156	9/7/2005	10/20/2010	20,197	31,446	(11,249)
CBS CORP NEW CL B SHRS	158	9/6/2005	10/19/2010	2,724	4,282	(1,558)
CBS CORP NEW CL B SHRS	544	9/6/2005	10/20/2010	9,505	14,744	(5,239)
CBS CORP NEW CL B SHRS	411	9/2/2005	10/19/2010	7,086	11,037	(3,951)
CBS CORP NEW CL B SHRS	1,662	12/6/2004	9/15/2010	25,899	47,635	(21,736)
CBS CORP NEW CL B SHRS	1,812	12/20/2004	10/19/2010	31,240	51,042	(19,802)
CBS CORP NEW CL B SHRS	406	12/17/2004	10/19/2010	7,000	11,386	(4,386)
CBS CORP NEW CL B SHRS	109	12/7/2004	10/19/2010	1,879	3,114	(1,235)
CBS CORP NEW CL B SHRS	1,211	12/6/2004	10/19/2010	20,878	34,708	(13,830)
CENTRAIS ELEC BRAS ADR PREF	671	5/24/2007	1/5/2010	12,808	8,396	4,411
CENTRAIS ELEC BRAS ADR PREF	791	5/24/2007	1/6/2010	15,085	9,898	5,187
CENTRAIS ELEC BRAS ADR PREF	1,532	5/23/2007	1/5/2010	29,242	19,703	9,539
CENTRAIS ELEC BRAS ADR PREF	463	5/24/2007	1/7/2010	8,788	5,794	2,994
CENTRAIS ELEC BRAS ADR PREF	1,100	5/24/2007	1/8/2010	21,322	13,765	7,557
CENTRAIS ELEC BRAS ADR PREF	285	5/21/2007	1/5/2010	5,440	3,512	1,928
CHARLES SCHWAB CORP/THE	90	3/26/2009	6/14/2010	1,436	1,413	23
CHARLES SCHWAB CORP/THE	1,210	3/26/2009	6/15/2010	19,220	18,999	220
CHARLES SCHWAB CORP/THE	50	11/4/2008	6/7/2010	809	1,013	(204)
CHARLES SCHWAB CORP/THE	900	11/4/2008	6/14/2010	14,360	18,226	(3,866)
CHARLES SCHWAB CORP/THE	1,850	10/14/2008	6/7/2010	29,915	39,822	(9,907)
CHARLES SCHWAB CORP/THE	50	10/13/2008	6/7/2010	809	1,047	(239)
CISCO SYSTEMS INC	1,650	8/13/2009	11/16/2010	32,232	35,606	(3,374)
CISCO SYSTEMS INC	1,250	3/26/2009	11/16/2010	24,418	21,315	3,103
CISCO SYSTEMS INC	2,610	5/27/2008	11/16/2010	50,986	66,268	(15,282)
COCA-COLA CO/THE	1,285	1/28/2009	10/1/2010	75,585	55,792	19,793
COCA-COLA FEMSA SAB DE CV	400	3/24/2003	10/14/2010	32,867	6,970	25,896
COCA-COLA FEMSA SAB DE CV	80	2/7/2003	10/14/2010	6,573	1,462	5,111
COGNIZANT TECHNOLOGY SOLUTIONS	175	5/15/2009	8/24/2010	10,152	4,493	5,659
COGNIZANT TECHNOLOGY SOLUTIONS	175	5/5/2009	8/24/2010	10,152	4,749	5,402
COGNIZANT TECHNOLOGY SOLUTIONS	400	5/15/2009	11/3/2010	25,709	10,269	15,440
COMCAST CORP	805	10/22/2008	10/15/2010	14,334	11,331	3,003
COMCAST CORP	11,315	9/22/2005	10/15/2010	201,475	214,272	(12,797)
COMCAST CORP NEW CL A SPL	1,075	9/22/2005	5/26/2010	18,484	20,357	(1,873)
COMCAST CORP NEW CL A SPL	3,560	5/13/2004	5/26/2010	61,212	64,374	(3,162)
CONOCOPHILLIPS	1,048	4/18/2005	3/26/2010	53,476	66,501	(13,024)
CONOCOPHILLIPS	462	4/15/2005	3/26/2010	23,575	29,316	(5,742)
CONOCOPHILLIPS	27	10/1/2004	3/26/2010	1,378	1,134	244
CR BARD INC	200	10/21/2008	7/1/2010	15,200	16,246	(1,046)
CR BARD INC	75	8/21/2008	6/25/2010	5,886	7,114	(1,228)
CR BARD INC	350	8/21/2008	7/1/2010	26,600	33,200	(6,600)
CR BARD INC	375	8/1/2008	6/25/2010	29,431	35,250	(5,819)
CVS CAREMARK CORP	1,025	5/28/2008	1/21/2010	34,350	43,754	(9,404)
DELTA PETROLEUM 3 3/4 5-01-37	23,000	3/31/2009	4/7/2010	20,066	5,808	14,259
DFA U S SMALL CAP VALUE PORTFOLIO	2,808	1/3/2007	5/3/2010	68,000	83,139	(15,139)
DFA US MICRO CAP PORTFOLIO	2,602	12/19/2006	5/3/2010	33,000	42,181	(9,181)

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DODGE & COX GLOBAL STOCK FUND	471,390	5/6/2008	10/19/2010	3,978,529	4,727,506	(748,977)
DOMTAR CORPORATION NEW	800	12/16/2008	4/7/2010	56,348	16,954	39,394
DOMTAR CORPORATION NEW	238	12/16/2008	8/25/2010	13,926	5,044	8,882
EMBOTELLADORAS ARCA SAB DE CV	19,720	5/15/2007	8/26/2010	75,848	71,361	4,487
EMBOTELLADORAS ARCA SAB DE CV	32,640	10/31/2005	11/11/2010	141,733	68,202	73,531
EMERSON ELECTRIC CO	445	3/17/2009	8/17/2010	21,823	11,889	9,933
EMERSON ELECTRIC CO	1,350	10/15/2008	8/17/2010	66,204	44,513	21,691
ENI EUR1	5,500	5/8/2007	10/1/2010	121,211	184,165	(62,954)
EXPRESSJET HLDGS 11 1/4 8-01-23	123,000	7/27/2007	1/27/2010	117,600	119,618	(2,017)
FEDERATED INVESTORS INC	2,810	11/6/2008	10/1/2010	64,243	64,552	(309)
FRONTIER COMMUNICATIONS CORP	-	10/1/2004	7/1/2010	4	-	4
FRONTIER COMMUNICATIONS CORP	835	10/1/2004	9/14/2010	6,408	8,412	(2,004)
GEN PROBE INC NEW	250	5/22/2008	9/10/2010	11,645	14,100	(2,455)
GEN PROBE INC NEW	205	5/22/2008	9/15/2010	9,829	11,562	(1,733)
GEN PROBE INC NEW	195	5/22/2008	9/16/2010	9,280	10,998	(1,718)
GEN PROBE INC NEW	165	5/22/2008	9/24/2010	7,873	9,306	(1,433)
GEN-PROBE INC	50	5/29/2008	9/28/2010	2,384	2,830	(445)
GEN-PROBE INC	159	5/22/2008	9/27/2010	7,531	8,967	(1,437)
GEN-PROBE INC	600	5/29/2008	10/4/2010	28,951	33,954	(5,003)
GEN-PROBE INC	126	5/22/2008	9/28/2010	6,009	7,106	(1,098)
GEN-PROBE INC	175	5/29/2008	10/18/2010	8,440	9,903	(1,463)
GEN-PROBE INC	225	5/29/2008	10/19/2010	10,786	12,733	(1,947)
GEN-PROBE INC	175	5/29/2008	10/20/2010	8,361	9,903	(1,542)
GENUINE PARTS CO	435	9/10/2009	10/1/2010	19,361	15,504	3,857
GENUINE PARTS CO	828	2/12/2009	10/1/2010	36,853	27,367	9,485
GENUINE PARTS CO	2,515	7/20/2007	10/1/2010	111,937	125,422	(13,485)
GENWORTH FINANCIAL INC CL A	2,169	1/7/2008	10/20/2010	28,934	53,145	(24,211)
GENWORTH FINANCIAL INC CL A	1,195	1/4/2008	10/19/2010	16,095	29,257	(13,161)
GENWORTH FINANCIAL INC CL A	687	1/4/2008	10/20/2010	9,164	16,819	(7,655)
GENWORTH FINANCIAL INC CL A	1,647	5/4/2006	10/19/2010	22,183	54,629	(32,445)
GENWORTH FINANCIAL INC CL A	722	5/3/2006	10/19/2010	9,725	23,933	(14,208)
GENWORTH FINANCIAL INC CL A	2,473	10/1/2004	4/8/2010	45,413	58,966	(13,553)
GENWORTH FINANCIAL INC CL A	2,317	10/1/2004	4/12/2010	42,406	55,247	(12,841)
GENWORTH FINANCIAL INC CL A	2,972	10/1/2004	7/27/2010	46,607	70,864	(24,257)
GENWORTH FINANCIAL INC CL A	1,347	10/1/2004	10/19/2010	18,143	32,118	(13,975)
GENZYME CORP COM	445	5/29/2008	3/25/2010	23,056	30,296	(7,240)
GENZYME CORP COM	1,255	5/22/2008	3/25/2010	65,023	86,403	(21,380)
GENZYME CORP COM	1,105	5/29/2008	4/6/2010	57,546	75,230	(17,684)
GENZYME CORP COM	350	5/29/2008	4/8/2010	18,000	23,828	(5,828)
GESTEVIS TELECINO EUR0 5	2,805	7/2/2008	1/13/2010	42,694	33,725	8,969
GESTEVISION TELECINCO - RTS	1,225	10/31/2008	11/29/2010	915	-	915
GESTEVISION TELECINCO - RTS	7,560	9/30/2008	11/29/2010	5,648	-	5,648
GESTEVISION TELECINCO - RTS	2,820	9/18/2008	11/29/2010	2,107	-	2,107
GESTEVISION TELECINCO - RTS	14,085	7/2/2008	11/29/2010	10,523	-	10,523
GLAXOSMITHKLINE ORD GBP0 25	1,222	4/24/2009	10/1/2010	24,244	18,019	6,225
GLAXOSMITHKLINE ORD GBP0 25	685	10/15/2007	8/23/2010	13,020	18,009	(4,989)
GLAXOSMITHKLINE ORD GBP0 25	68	10/31/2007	10/1/2010	1,349	2,000	(651)
GLAXOSMITHKLINE ORD GBP0 25	2,410	10/15/2007	10/1/2010	47,814	63,362	(15,548)
GLAXOSMITHKLINE ORD GBP0 25	4,310	5/8/2007	8/23/2010	81,923	123,835	(41,911)
GMO BENCHMARK-FREE ALLOCATION	109,473	3/22/2004	10/29/2010	2,460,928	2,652,533	(191,605)
GMO BENCHMARK-FREE ALLOCATION	846	3/22/2004	11/30/2010	18,760	20,494	(1,734)
GMO MULTI STRATEGY FD OFFSHORE	17,487	4/30/2004	3/31/2010	17,481	54,437	(36,956)
GMO MULTI STRATEGY FD OFFSHORE	57,637	9/30/2004	10/29/2010	57,637	-	57,637
GMO MULTI STRATEGY FD OFFSHORE	239,232	9/30/2004	10/29/2010	239,232	239,232	-
GMO MULTI STRATEGY FD OFFSHORE	23,835	7/31/2004	10/29/2010	23,835	-	23,835
GMO MULTI STRATEGY FD OFFSHORE	218,368	4/30/2004	10/29/2010	218,368	1,023,717	(805,350)

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GMO TR BENCHMARK FREE ALLOC FD	21,825	3/22/2004	3/31/2010	482,519	528,810	(46,291)
GOLD FIELDS LTD SP ADR	2,431	5/14/2008	12/3/2010	43,814	33,025	10,789
GRUPO CONTL SAB COM SER I	20,535	3/5/2003	12/7/2010	59,568	25,576	33,992
HALLIBURTON CO	1,146	11/12/2008	7/27/2010	34,869	20,157	14,712
HALLIBURTON CO	1,025	11/13/2008	10/19/2010	33,930	18,005	15,924
HALLIBURTON CO	1,030	11/13/2008	10/20/2010	34,642	18,093	16,549
HALLIBURTON CO	740	11/12/2008	10/19/2010	24,496	13,016	11,480
HARTFORD FIN SERS GRP INC	476	7/26/2007	10/19/2010	11,368	45,338	(33,971)
HARTFORD FIN SERS GRP INC	474	7/26/2007	10/20/2010	11,213	45,148	(33,935)
HARTFORD FIN SERS GRP INC	1,235	8/1/2006	10/19/2010	29,494	104,448	(74,954)
HARTFORD FIN SERS GRP INC	3,964	10/1/2004	10/19/2010	94,667	249,494	(154,827)
HESS CORPORATION	304	4/23/2007	10/20/2010	19,292	17,442	1,850
HESS CORPORATION	908	4/20/2007	10/19/2010	57,159	51,923	5,237
HESS CORPORATION	326	4/20/2007	10/20/2010	20,688	18,642	2,047
HOME DEPOT INC	285	10/28/2009	11/5/2010	9,089	7,221	1,869
HOME DEPOT INC	600	10/28/2009	11/5/2010	19,136	15,184	3,952
HOME DEPOT INC	1,024	2/12/2009	11/5/2010	32,658	22,364	10,294
HOME DEPOT INC	1,209	12/20/2007	9/23/2010	37,282	31,687	5,595
HOME DEPOT INC	1,105	12/20/2007	11/5/2010	35,242	28,961	6,280
HOME DEPOT INC	6,740	10/25/2006	9/23/2010	207,918	246,067	(38,149)
HOME DEPOT INC	5,210	11/10/2006	11/5/2010	166,162	190,821	(24,659)
HOME DEPOT INC	2,040	10/25/2006	11/5/2010	65,061	74,477	(9,416)
HUMANA INC	587	2/26/2009	12/16/2010	32,340	13,956	18,384
HUMANA INC	222	7/7/2008	7/7/2010	9,958	8,664	1,294
HUMANA INC	178	7/1/2008	7/7/2010	7,984	7,102	882
HUMANA INC	113	7/7/2008	12/16/2010	6,226	4,410	1,816
INGERSOLL-RAND PLC SHS	1,203	10/1/2004	7/27/2010	45,760	40,780	4,980
INGERSOLL-RAND PLC SHS	2,596	10/1/2004	10/19/2010	99,330	88,001	11,329
INGERSOLL-RAND PLC SHS	1,252	10/1/2004	10/20/2010	48,293	42,441	5,852
INTUITIVE SURGICAL INC	200	1/8/2009	4/16/2010	74,350	21,060	53,290
INTUITIVE SURGICAL INC	100	10/29/2008	4/16/2010	37,175	17,154	20,021
IVANHOE MINES LTD	1,169	6/2/2008	9/14/2010	21,948	10,882	11,066
IVANHOE MINES LTD	2,662	4/2/2008	9/13/2010	49,440	26,642	22,798
IVANHOE MINES LTD	402	4/2/2008	9/14/2010	7,547	4,023	3,524
JPMORGAN CHASE & CO	742	10/1/2004	4/16/2010	34,167	29,848	4,319
JPMORGAN CHASE & CO	2,884	10/1/2004	10/19/2010	110,308	116,015	(5,707)
JPMORGAN CHASE & CO	1,415	10/1/2004	10/20/2010	54,029	56,921	(2,892)
KANSAS CY SO 5 1/8% PERP PFD D	59	3/2/2009	3/10/2010	74,634	40,645	33,989
KAO CORP SPONS ADR	1,630	3/3/2009	5/11/2010	37,981	30,945	7,037
KIMBERLY CLARK CORP	497	10/1/2004	7/27/2010	32,233	31,797	436
KIMBERLY CLARK CORP	453	10/1/2004	8/25/2010	29,224	28,982	243
KIMBERLY CLARK CORP	389	10/1/2004	8/27/2010	25,028	24,887	140
KIMBERLY CLARK CORP	635	10/1/2004	9/22/2010	42,357	40,626	1,731
KIMBERLY CLARK CORP	1,059	10/4/2004	10/19/2010	69,815	67,814	2,000
KIMBERLY CLARK CORP	606	10/4/2004	10/20/2010	40,525	38,806	1,719
KIMBERLY CLARK CORP	221	10/1/2004	10/19/2010	14,569	14,139	430
KIMBERLY CLARK DE MEXICO SAB	17,105	8/2/2007	3/31/2010	96,779	68,942	27,837
KIMBERLY CLARK DE MEXICO SAB	11,390	7/18/2007	3/31/2010	64,444	50,659	13,785
KINROSS GOLD CORP NEW	2,231	8/7/2008	9/28/2010	42,418	37,408	5,010
KONE CORPORATION NPV ORD B	1,770	3/12/2003	7/21/2010	80,048	8,850	71,198
KONE CORPORATION NPV ORD B	165	3/12/2003	9/1/2010	7,760	825	6,935
KOREA EXCHANGE BK KSWN5000	8,030	2/5/2008	4/9/2010	98,757	111,414	(12,658)
KOREA EXCHANGE BK KSWN5000	6,685	1/3/2008	4/9/2010	82,215	100,228	(18,012)
KROGER CO	1,265	9/28/2009	10/20/2010	27,491	26,014	1,476
KROGER CO	523	9/25/2009	10/19/2010	11,334	10,763	572
KROGER CO	562	9/25/2009	10/20/2010	12,213	11,565	648

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KROGER CO	1,813	9/24/2009	10/19/2010	39,291	37,254	2,038
KROGER CO	1,091	9/23/2009	10/19/2010	23,644	22,434	1,211
KROGER CO	1,086	9/22/2009	10/19/2010	23,536	22,183	1,353
KT CORP SPON ADR	2,309	1/23/2008	5/27/2010	43,821	55,531	(11,710)
LIHIR ADS	-	8/11/2009	9/21/2010	64,025	31,276	32,748
LIHIR ADS	1,452	8/11/2009	9/21/2010	1,130	552	578
LIHIR GOLD LTD ADR	1,202	7/13/2009	7/16/2010	44,357	26,543	17,814
LIHIR GOLD LTD ADR	260	7/13/2009	7/21/2010	9,373	5,741	3,631
LIHIR GOLD LTD ADR	3,344	10/28/2008	4/6/2010	117,313	35,165	82,148
LIHIR GOLD LTD ADR	735	10/27/2008	4/6/2010	25,785	7,518	18,267
LIHIR GOLD LTD ADR	755	10/28/2008	7/16/2010	27,861	7,940	19,922
LINDE AG NPV	430	10/27/2008	12/7/2010	64,522	28,608	35,914
LOCKHEED MARTIN CORP	165	9/2/2009	10/20/2010	11,515	12,387	(872)
LOCKHEED MARTIN CORP	252	9/1/2009	10/20/2010	17,587	19,028	(1,441)
LOCKHEED MARTIN CORP	492	8/4/2009	10/20/2010	34,337	37,098	(2,761)
LOCKHEED MARTIN CORP	2,078	10/1/2004	10/19/2010	144,133	116,056	28,077
LOCKHEED MARTIN CORP	248	10/1/2004	10/20/2010	17,308	13,851	3,457
LOEWS CORPORATION	91	3/20/2009	10/19/2010	3,610	2,073	1,536
LOEWS CORPORATION	2,171	3/20/2009	10/20/2010	85,912	49,461	36,452
LOEWS CORPORATION	2,690	10/3/2008	10/19/2010	106,701	97,608	9,093
LOEWS CORPORATION	1,207	11/9/2007	7/27/2010	44,820	55,385	(10,565)
LOEWS CORPORATION	221	11/9/2007	10/19/2010	8,766	10,141	(1,375)
LOEWS CORPORATION	80	10/19/2007	10/19/2010	3,173	3,931	(758)
LOEWS CORPORATION	948	10/18/2007	10/19/2010	37,603	47,073	(9,470)
LOEWS CORPORATION	769	10/17/2007	10/19/2010	30,503	38,047	(7,544)
MAGNA INTL A COM	130	2/4/2009	5/10/2010	9,408	3,792	5,616
MAGNA INTL A COM	504	2/4/2009	6/28/2010	35,037	14,702	20,335
MAGNA INTL A COM	801	10/3/2008	5/6/2010	56,133	32,797	23,336
MAGNA INTL A COM	420	10/3/2008	5/10/2010	30,394	17,197	13,197
MEDIASET EUR0 52	3,830	6/22/2007	10/1/2010	27,259	40,569	(13,310)
MEDIASET EUR0 52	16,585	5/8/2007	10/1/2010	118,038	184,140	(66,103)
MERCK & CO INC NEW COM	2,166	2/27/2009	10/19/2010	79,637	54,191	25,447
MERCK & CO INC NEW COM	1,266	12/9/2008	9/15/2010	46,309	33,371	12,938
MERCK & CO INC NEW COM	549	12/10/2008	10/19/2010	20,185	14,753	5,432
MERCK & CO INC NEW COM	820	12/9/2008	10/19/2010	30,149	21,615	8,534
METLIFE INCORPORATED	838	10/8/2008	7/27/2010	33,169	26,750	6,419
METLIFE INCORPORATED	2,662	10/9/2008	10/19/2010	107,370	75,143	32,227
METLIFE INCORPORATED	1,536	10/8/2008	10/19/2010	61,954	49,031	12,923
MICROSOFT CORP	86	6/10/2008	10/19/2010	2,161	2,419	(259)
MICROSOFT CORP	2,093	6/10/2008	10/20/2010	52,877	58,877	(6,000)
MICROSOFT CORP	3,203	6/27/2005	10/19/2010	80,472	80,701	(228)
MONSANTO CO NEW COM	250	10/3/2008	5/7/2010	14,747	21,856	(7,109)
MONSANTO CO NEW COM	500	9/8/2008	5/7/2010	29,494	54,687	(25,193)
MONSANTO CO NEW COM	80	7/8/2008	4/15/2010	5,289	9,104	(3,816)
MONSANTO CO NEW COM	45	7/8/2008	5/7/2010	2,655	5,121	(2,467)
MONSANTO CO NEW COM	500	5/22/2008	4/8/2010	34,492	61,071	(26,580)
MONSANTO CO NEW COM	720	5/27/2008	4/15/2010	47,598	85,249	(37,651)
MONSANTO CO NEW COM	100	5/22/2008	4/15/2010	6,611	12,214	(5,603)
MOSAIC COMPANY (THE)	537	6/30/2009	8/17/2010	29,952	24,239	5,713
MOSAIC COMPANY (THE)	446	6/30/2009	8/18/2010	25,496	20,131	5,365
MOSAIC COMPANY (THE)	373	6/30/2009	8/20/2010	21,089	16,836	4,253
MOSAIC COMPANY (THE)	297	6/30/2009	8/23/2010	17,496	13,406	4,091
MOSAIC COMPANY (THE)	492	10/2/2008	3/10/2010	29,833	21,270	8,562
MOTOROLA INC	4,118	2/11/2009	10/20/2010	32,738	16,199	16,539
MOTOROLA INC	6,744	2/10/2009	10/19/2010	53,167	26,687	26,480
MOTOROLA INC	3,032	2/10/2009	10/20/2010	24,104	11,998	12,106

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MOTOROLA INC	6,875	1/3/2008	10/19/2010	54,200	110,250	(56,049)
MOTOROLA INC	2,355	1/2/2008	10/19/2010	18,566	37,644	(19,078)
MOTOROLA INC	2,083	5/31/2007	10/19/2010	16,422	37,795	(21,373)
MOTOROLA INC	2,887	5/30/2007	10/19/2010	22,760	52,536	(29,775)
MOTOROLA INC	4,016	11/8/2006	10/19/2010	31,661	86,746	(55,085)
MOTOROLA INC	381	6/7/2006	10/19/2010	3,004	8,119	(5,115)
MOTOROLA INC	4,154	6/6/2006	10/19/2010	32,749	89,490	(56,741)
MOTOROLA INC	6,033	10/1/2004	7/27/2010	46,777	100,463	(53,685)
MOTOROLA INC	2,471	11/4/2004	9/15/2010	20,676	37,125	(16,448)
MOTOROLA INC	500	11/4/2004	10/19/2010	3,942	7,512	(3,570)
MOTOROLA INC	644	10/1/2004	9/15/2010	5,389	10,724	(5,335)
NESTLE SA SPON ADR REPSTG REG	550	8/22/2008	1/6/2010	26,147	24,145	2,002
NESTLE SA SPON ADR REPSTG REG	1,350	8/8/2008	1/6/2010	64,180	60,006	4,174
NESTLE SA SPON ADR REPSTG REG	625	10/14/2008	3/19/2010	31,690	24,684	7,006
NESTLE SA SPON ADR REPSTG REG	275	10/14/2008	3/29/2010	13,854	10,861	2,993
NESTLE SA SPON ADR REPSTG REG	125	8/22/2008	3/19/2010	6,338	5,488	850
NETAPP INC	500	10/8/2009	11/24/2010	25,591	13,777	11,814
NII HOLDINGS INC 3 1/8 6-15-12	60,000	10/29/2008	6/22/2010	57,300	30,563	26,738
NIPPON KONPO NPV	2,000	11/7/2003	11/9/2010	21,870	13,717	8,153
NIPPON KONPO NPV	10,000	6/5/2003	11/9/2010	109,348	71,596	37,752
NOBLE ENERGY INC	341	9/26/2008	10/20/2010	26,283	20,028	6,255
NOBLE ENERGY INC	767	9/17/2008	10/19/2010	59,242	44,378	14,863
NOBLE ENERGY INC	346	9/17/2008	10/20/2010	26,669	20,019	6,649
NOBLE ENERGY INC	228	6/6/2005	3/1/2010	16,767	8,530	8,236
NOBLE ENERGY INC	25	6/6/2005	3/2/2010	1,850	935	915
NOBLE ENERGY INC	531	2/4/2005	1/25/2010	41,611	15,779	25,832
NOBLE ENERGY INC	220	2/4/2005	1/26/2010	17,109	6,537	10,571
NOBLE ENERGY INC	244	2/4/2005	2/17/2010	18,637	7,251	11,386
NOBLE ENERGY INC	129	2/4/2005	3/1/2010	9,486	3,833	5,653
NOBLE ENERGY INC	236	6/7/2005	9/15/2010	18,161	8,808	9,352
NOBLE ENERGY INC	245	6/6/2005	9/15/2010	18,853	9,166	9,687
NOBLE ENERGY INC	174	6/7/2005	10/12/2010	13,355	6,494	6,861
NOBLE ENERGY INC	410	6/7/2005	10/13/2010	31,972	15,302	16,670
NOBLE ENERGY INC	431	6/7/2005	10/15/2010	33,682	16,086	17,596
NOBLE ENERGY INC	698	6/8/2005	10/19/2010	53,912	26,067	27,845
NOBLE ENERGY INC	63	6/7/2005	10/19/2010	4,866	2,351	2,515
NORFOLK SOUTHN CORP COM	2,265	3/11/2009	5/11/2010	134,460	64,328	70,132
NORTHERN TRUST CORP	400	4/28/2009	6/24/2010	19,103	20,548	(1,445)
NORTHERN TRUST CORP	400	10/14/2008	6/24/2010	19,103	25,734	(6,631)
NOVAGOLD RES INC NEW	2,185	4/30/2009	12/3/2010	32,345	5,917	26,428
NOVAGOLD RES INC NEW	3,500	2/25/2009	12/3/2010	51,811	9,828	41,983
NOVAGOLD RES INC NEW	635	11/29/2007	12/3/2010	9,400	6,352	3,048
NOVAGOLD RES INC NEW	2,500	4/20/2007	7/7/2010	15,700	40,675	(24,975)
NOVAGOLD RES INC NEW	974	4/20/2007	12/3/2010	14,418	15,847	(1,429)
NOVO NORDISK A S ADR	125	2/27/2009	5/28/2010	9,737	6,106	3,631
NOVO NORDISK A S ADR	75	10/14/2008	5/20/2010	5,656	3,880	1,776
NOVO NORDISK A S ADR	375	10/14/2008	5/28/2010	29,212	19,398	9,814
NOVO NORDISK A S ADR	450	9/8/2008	4/27/2010	36,464	23,966	12,498
NOVO NORDISK A S ADR	325	9/8/2008	5/20/2010	24,509	17,309	7,200
NRG ENERGY INC	1,621	5/2/2008	10/20/2010	33,606	69,710	(36,104)
NRG ENERGY INC	3,847	3/10/2008	10/19/2010	79,615	161,335	(81,720)
NRG ENERGY INC	322	3/10/2008	10/20/2010	6,676	13,504	(6,828)
OMNICARE TENDER 3 1/4 12-15-35	75,843	4/18/2008	12/16/2010	72,051	52,142	19,909
PEABODY ENERGY CORP	2,238	3/30/2009	7/20/2010	95,435	56,005	39,430
PEABODY ENERGY CORP	728	7/26/2007	1/6/2010	36,486	28,396	8,091
PEARSON ORD GBP0 25	5,485	1/4/2008	2/12/2010	74,436	77,350	(2,914)

THE CALDWELL FOUNDATION
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2010

Name	Quantity	Date Acquired	Date Sold	Proceeds	Total Cost	Realized
PHARMACEUTICAL PROD DEV INC	745	1/16/2009	2/11/2010	15,945	19,233	(3,289)
PHARMACEUTICAL PROD DEV INC	305	1/16/2009	2/12/2010	6,556	7,874	(1,318)
PHARMACEUTICAL PROD DEV INC	565	12/22/2008	2/9/2010	11,901	16,093	(4,192)
PHARMACEUTICAL PROD DEV INC	200	12/22/2008	2/11/2010	4,280	5,697	(1,416)
PHARMACEUTICAL PROD DEV INC	35	12/18/2008	2/9/2010	737	962	(225)
PHILIP MORRIS INTL INC	802	10/1/2004	7/27/2010	41,091	20,324	20,767
PHILIP MORRIS INTL INC	1,196	10/1/2004	9/27/2010	66,566	30,309	36,257
PHILIP MORRIS INTL INC	2,091	10/1/2004	10/19/2010	119,586	52,990	66,596
PHILIP MORRIS INTL INC	926	10/1/2004	10/20/2010	53,347	23,466	29,880
PIONEER NATURAL RESOURCES CO	987	12/29/2008	5/11/2010	63,479	14,318	49,161
PIONEER NATURAL RESOURCES CO	425	11/6/2008	5/11/2010	27,334	9,924	17,410
PITNEY BOWES INC	646	9/14/2009	10/20/2010	14,226	15,073	(846)
PITNEY BOWES INC	142	9/11/2009	10/20/2010	3,127	3,279	(152)
PITNEY BOWES INC	209	9/3/2009	10/20/2010	4,603	4,460	143
PITNEY BOWES INC	221	8/19/2009	10/20/2010	4,867	4,721	146
PITNEY BOWES INC	134	11/16/2007	7/27/2010	3,300	5,090	(1,790)
PITNEY BOWES INC	324	12/20/2007	10/19/2010	7,024	12,319	(5,295)
PITNEY BOWES INC	1,414	12/20/2007	10/20/2010	31,140	53,761	(22,621)
PITNEY BOWES INC	282	12/19/2007	10/19/2010	6,113	10,635	(4,522)
PITNEY BOWES INC	686	12/18/2007	10/19/2010	14,871	25,708	(10,837)
PITNEY BOWES INC	706	12/17/2007	10/19/2010	15,304	26,438	(11,134)
PITNEY BOWES INC	681	11/19/2007	10/19/2010	14,763	26,116	(11,353)
PITNEY BOWES INC	1,669	11/16/2007	10/19/2010	36,180	63,392	(27,211)
PITNEY BOWES INC	1,056	10/1/2004	3/4/2010	24,058	48,238	(24,180)
PITNEY BOWES INC	1,027	10/1/2004	3/5/2010	23,498	46,913	(23,416)
PITNEY BOWES INC	281	10/1/2004	3/8/2010	6,451	12,836	(6,385)
PITNEY BOWES INC	3,652	10/1/2004	7/27/2010	89,925	166,823	(76,898)
QUALCOMM INC	500	10/14/2008	2/8/2010	18,898	20,964	(2,066)
QUALCOMM INC	850	7/30/2008	2/8/2010	32,126	46,592	(14,466)
QUALCOMM INC	900	7/24/2008	2/8/2010	34,016	48,469	(14,453)
RAYTHEON CO (NEW)	1,090	10/1/2004	2/19/2010	60,245	41,069	19,175
RAYTHEON CO (NEW)	452	10/1/2004	6/3/2010	23,991	17,031	6,961
RAYTHEON CO (NEW)	271	10/1/2004	6/15/2010	14,318	10,211	4,107
RAYTHEON CO (NEW)	1,702	10/1/2004	10/19/2010	79,237	64,128	15,109
RAYTHEON CO (NEW)	856	10/1/2004	10/20/2010	40,312	32,252	8,059
REYNOLDS AMERN INC	610	6/22/2007	5/21/2010	31,055	37,378	(6,323)
REYNOLDS AMERN INC	1,595	5/7/2007	5/21/2010	81,201	102,505	(21,304)
SANOFI AVENTIS ADS	632	8/3/2007	10/19/2010	21,744	26,265	(4,520)
SANOFI AVENTIS ADS	467	8/3/2007	10/20/2010	16,267	19,408	(3,141)
SANOFI AVENTIS ADS	5,296	8/2/2007	10/19/2010	182,212	218,430	(36,218)
SCHWAB CHARLES CORP NEW COM	800	10/13/2008	4/6/2010	15,310	16,752	(1,442)
SEI INVESTMENT CO COM	835	5/22/2008	3/26/2010	17,954	20,016	(2,061)
SEI INVESTMENT CO COM	305	5/22/2008	3/30/2010	6,647	7,311	(664)
SEI INVESTMENT CO COM	660	5/22/2008	3/31/2010	14,345	15,821	(1,476)
SEI INVESTMENT CO COM	510	5/22/2008	4/28/2010	11,361	12,225	(864)
SEI INVESTMENT CO COM	290	5/22/2008	4/29/2010	6,574	6,952	(378)
SEI INVESTMENTS CO	400	5/27/2008	6/4/2010	8,520	9,367	(848)
SEI INVESTMENTS CO	250	5/22/2008	6/4/2010	5,325	5,993	(668)
SEI INVESTMENTS CO	300	5/27/2008	7/22/2010	5,985	7,026	(1,040)
SEI INVESTMENTS CO	600	5/27/2008	7/26/2010	11,963	14,051	(2,088)
SEI INVESTMENTS CO	905	5/27/2008	7/27/2010	18,063	21,194	(3,131)
SEI INVESTMENTS CO	510	5/27/2008	7/28/2010	9,965	11,943	(1,979)
SEI INVESTMENTS CO	1,115	5/27/2008	7/29/2010	21,648	26,112	(4,464)
SEKISUI HOUSE LTD ADR	2,530	3/13/2008	3/25/2010	25,361	22,613	2,748
SEKISUI HOUSE LTD ADR	3,352	3/13/2008	5/26/2010	30,086	29,960	126
SEKISUI HOUSE LTD ADR	1,178	11/21/2007	3/25/2010	11,808	13,546	(1,738)

THE CALDWELL FOUNDATION
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2010

Name	Quantity	Date Acquired	Date Sold	Proceeds	Total Cost	Realized
SIEGFRIED HOLDING AG-RTS	880	12/4/2003	4/22/2010	2,344	-	2,344
SIEGFRIED HOLDING AG-RTS	120	7/16/2003	4/22/2010	320	-	320
SILVER STANDARD RESOURCES INC	1,304	9/8/2008	11/4/2010	32,723	25,544	7,179
SILVER STANDARD RESOURCES INC	139	9/8/2008	11/9/2010	3,775	2,723	1,052
STRYKER CORP	400	5/22/2008	5/7/2010	21,815	25,261	(3,446)
STRYKER CORP	650	5/22/2008	7/22/2010	30,457	41,050	(10,592)
STRYKER CORP	965	5/30/2008	11/1/2010	47,720	61,943	(14,224)
STRYKER CORP	410	5/30/2008	11/2/2010	20,406	26,318	(5,911)
STRYKER CORP	225	5/22/2008	11/1/2010	11,126	14,210	(3,083)
SYSCO CORP	3,390	7/9/2009	10/1/2010	95,568	74,096	21,472
TALISMAN ENERGY INC	1,273	7/21/2008	10/19/2010	22,149	24,370	(2,222)
TALISMAN ENERGY INC	2,342	7/21/2008	10/20/2010	41,195	44,835	(3,640)
TALISMAN ENERGY INC	4,308	6/24/2008	10/19/2010	74,954	96,998	(22,043)
TALISMAN ENERGY INC	406	6/23/2008	10/19/2010	7,064	9,152	(2,088)
TARGET CORP	400	12/15/2009	12/21/2010	23,694	19,084	4,611
TATA MOTORS LTD	1,070	11/14/2008	3/11/2010	19,516	4,361	15,155
TATA MOTORS LTD	1,648	11/14/2008	3/15/2010	29,872	6,717	23,155
TELEFONICA SA EUR1	1,645	6/22/2007	1/19/2010	43,459	36,178	7,281
TELEFONICA SA EUR1	1,700	5/14/2007	1/19/2010	44,912	38,397	6,515
TELEGRAAF MEDIA GROEP CVA	1,800	6/6/2003	9/29/2010	31,334	29,147	2,187
TELEGRAAF MEDIA GROEP CVA	5,300	5/16/2003	9/29/2010	92,261	88,849	3,412
TELUS CORP	84	8/13/2008	7/29/2010	3,189	2,984	206
TELUS CORP	1,007	7/29/2008	7/29/2010	38,236	35,072	3,164
TELUS CORP	767	7/23/2008	7/29/2010	29,123	28,262	861
TELUS CORP	518	4/23/2008	5/17/2010	18,520	22,246	(3,727)
TELUS CORP	229	4/11/2008	5/17/2010	8,187	10,171	(1,984)
TELUS CORP	401	4/24/2008	7/29/2010	15,226	17,454	(2,228)
TELUS CORP	9	4/23/2008	7/29/2010	342	387	(45)
TESORO PETROLEUM CP	1,492	12/2/2008	12/9/2010	25,596	12,337	13,259
TT ELECTRONICS ORD GBP0 25	68,730	12/6/2007	8/19/2010	142,708	166,812	(24,104)
TYSON FOODS INC CL A	1,119	10/19/2007	2/5/2010	16,399	19,323	(2,924)
TYSON FOODS INC CL A	588	11/16/2007	3/24/2010	10,849	8,748	2,101
TYSON FOODS INC CL A	2,273	9/27/2007	2/5/2010	33,311	40,890	(7,579)
TYSON FOODS INC CL A	2,240	11/12/2007	3/24/2010	41,330	32,032	9,297
TYSON FOODS INC CL A	2,338	11/16/2007	4/5/2010	45,296	34,784	10,512
TYSON FOODS INC CL A	474	10/19/2007	3/24/2010	8,746	8,185	561
UNION PAC CORP COM	1,510	11/19/2008	1/13/2010	101,841	78,207	23,634
UNION PACIFIC CORP	542	10/1/2004	2/26/2010	36,634	16,062	20,572
UNION PACIFIC CORP	299	10/1/2004	3/1/2010	20,201	8,861	11,340
UNION PACIFIC CORP	322	10/1/2004	9/15/2010	25,475	9,542	15,933
UNION PACIFIC CORP	1,129	10/1/2004	10/19/2010	95,481	33,458	62,023
UNION PACIFIC CORP	537	10/1/2004	10/20/2010	46,179	15,914	30,265
VANGUARD TOTAL BOND MKT IDX	33,525	VARIOUS	1/19/2008	350,000	333,767	16,233
VANGUARD TOTAL BOND MKT IDX	47,037	VARIOUS	12/10/2010	500,000	473,139	26,861
VARIAN MED SYS INC COM	350	9/30/2008	5/7/2010	17,904	19,987	(2,083)
VARIAN MEDICAL SYSTEMS INC	275	10/14/2008	12/9/2010	18,508	12,549	5,959
VARIAN MEDICAL SYSTEMS INC	50	10/24/2008	12/21/2010	3,515	2,053	1,462
VARIAN MEDICAL SYSTEMS INC	25	10/24/2008	12/22/2010	1,758	1,027	731
VARIAN MEDICAL SYSTEMS INC	400	9/30/2008	12/7/2010	27,332	22,842	4,490
VARIAN MEDICAL SYSTEMS INC	275	10/14/2008	12/21/2010	19,333	12,549	6,784
VARIAN MEDICAL SYSTEMS INC	25	9/30/2008	12/9/2010	1,683	1,428	255
VERIZON COMMUNICATIONS	3,481	10/1/2004	7/20/2010	92,703	124,802	(32,098)
VIACOM INC NEW CLASS B	605	3/3/2006	10/20/2010	22,950	24,120	(1,170)
VIACOM INC NEW CLASS B	1,105	3/2/2006	10/20/2010	41,917	44,165	(2,248)
VIACOM INC NEW CLASS B	1,244	2/28/2006	10/20/2010	47,190	49,700	(2,510)
VIACOM INC NEW CLASS B	2,518	1/5/2006	10/19/2010	93,191	106,293	(13,103)

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Name	Quantity	Date Acquired	Date Sold	Proceeds	Total Cost	Realized
VIACOM INC NEW CLASS B	62	1/5/2006	10/20/2010	2,352	2,617	(265)
VIACOM INC NEW CLASS B	1,156	9/7/2005	10/19/2010	42,783	47,911	(5,128)
VIACOM INC NEW CLASS B	702	9/6/2005	10/19/2010	25,981	28,987	(3,006)
VIACOM INC NEW CLASS B	411	9/2/2005	10/19/2010	15,211	16,815	(1,604)
VIACOM INC NEW CLASS B	982	12/6/2004	4/12/2010	35,138	42,881	(7,743)
VIACOM INC NEW CLASS B	1,043	12/6/2004	4/13/2010	37,273	45,545	(8,272)
VIACOM INC NEW CLASS B	28	12/20/2004	9/15/2010	983	1,202	(219)
VIACOM INC NEW CLASS B	406	12/17/2004	9/15/2010	14,250	17,347	(3,097)
VIACOM INC NEW CLASS B	109	12/7/2004	9/15/2010	3,826	4,744	(919)
VIACOM INC NEW CLASS B	848	12/6/2004	9/15/2010	29,763	37,030	(7,267)
VIACOM INC NEW CLASS B	1,784	12/20/2004	10/19/2010	66,025	76,564	(10,539)
VISA INC COM CL A	400	2/4/2009	5/14/2010	31,151	20,864	10,288
VISA INC COM CL A	400	10/30/2008	5/14/2010	31,151	21,299	9,853
VODAFONE GROUP	30,735	9/1/2009	10/1/2010	77,103	66,309	10,794
WELLPOINT INC	4,170	4/29/2008	2/22/2010	247,431	208,055	39,376
WELLS FARGO & CO NEW	4,998	10/1/2004	10/19/2010	125,428	150,230	(24,802)
WELLS FARGO & CO NEW	2,717	10/1/2004	10/20/2010	68,957	81,668	(12,711)
WESTERN UN CO COM	1,400	2/5/2009	2/9/2010	22,463	16,983	5,480
WESTERN UN CO COM	1,000	10/14/2008	2/9/2010	16,045	21,621	(5,576)
WESTERN UN CO COM	1,630	7/18/2008	2/8/2010	26,492	42,031	(15,539)
WESTERN UN CO COM	370	7/18/2008	2/9/2010	5,937	9,541	(3,604)
WESTERN UN CO COM	2,375	7/11/2008	2/8/2010	38,600	57,039	(18,440)
WHOLE FOODS MARKETS INC	1,090	11/11/2008	1/5/2010	30,220	10,394	19,826
ZIMMER HLDGS INC	285	1/29/2009	5/17/2010	16,730	10,775	5,955
ZIMMER HLDGS INC	437	1/27/2009	5/17/2010	25,652	18,172	7,480
LONG TERM				25,942,831	26,570,455	(627,624)
GRAND TOTAL				33,110,937	33,010,752	100,184

THE CALDWELL FOUNDATION
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SCHEDULE OF FOREIGN CURRENCY CONTRACT GAINS/LOSSES
2010

PAYABLE	RECEIVABLE	DATE ACQUIRED	DATE SOLD	PROCEEDS	COST	GAIN/(LOSS)
AUSTRALIAN DOLLAR	U S DOLLAR	7/8/2010	7/9/2010	1,820	1,797	23
AUSTRALIAN DOLLAR	U S DOLLAR	8/4/2010	8/5/2010	0	0	-
AUSTRALIAN DOLLAR	U S DOLLAR	10/4/2010	10/8/2010	59,191	60,158	(967)
AUSTRALIAN DOLLAR	U S DOLLAR	11/3/2010	11/4/2010	1	1	-
BRITISH POUND STERLING	U S DOLLAR	1/8/2010	1/11/2010	2,090	2,079	11
BRITISH POUND STERLING	U S DOLLAR	2/8/2010	2/9/2010	2,634	2,650	(16)
BRITISH POUND STERLING	U S DOLLAR	2/16/2010	2/17/2010	1,975	1,976	(1)
BRITISH POUND STERLING	U S DOLLAR	2/12/2010	2/18/2010	74,550	74,821	(271)
BRITISH POUND STERLING	U S DOLLAR	3/9/2010	3/10/2010	1,323	1,331	(8)
BRITISH POUND STERLING	U S DOLLAR	4/7/2010	4/8/2010	3,741	3,730	11
BRITISH POUND STERLING	U S DOLLAR	4/7/2010	4/8/2010	2,093	2,087	6
BRITISH POUND STERLING	U S DOLLAR	4/9/2010	4/12/2010	3,087	3,074	13
BRITISH POUND STERLING	U S DOLLAR	4/9/2010	4/12/2010	2,397	2,386	10
BRITISH POUND STERLING	U S DOLLAR	5/10/2010	5/11/2010	8,979	8,876	103
BRITISH POUND STERLING	U S DOLLAR	5/10/2010	5/11/2010	2,387	2,359	28
BRITISH POUND STERLING	U S DOLLAR	5/18/2010	5/19/2010	17	17	(0)
BRITISH POUND STERLING	U S DOLLAR	5/24/2010	5/25/2010	1,695	1,695	0
BRITISH POUND STERLING	U S DOLLAR	6/2/2010	6/3/2010	3,909	3,925	(16)
BRITISH POUND STERLING	U S DOLLAR	6/2/2010	6/3/2010	3,720	3,735	(15)
BRITISH POUND STERLING	U S DOLLAR	6/1/2010	6/7/2010	189,210	187,970	1,240
BRITISH POUND STERLING	U S DOLLAR	6/1/2010	6/7/2010	64,179	63,759	420
BRITISH POUND STERLING	U S DOLLAR	6/17/2010	6/18/2010	2,739	2,743	(4)
BRITISH POUND STERLING	U S DOLLAR	7/9/2010	7/12/2010	2,529	2,544	(16)
BRITISH POUND STERLING	U S DOLLAR	7/9/2010	7/12/2010	1,963	1,977	(14)
BRITISH POUND STERLING	U S DOLLAR	7/12/2010	7/13/2010	957	966	(10)
BRITISH POUND STERLING	U S DOLLAR	7/13/2010	7/19/2010	66,405	67,209	(804)
BRITISH POUND STERLING	U S DOLLAR	8/9/2010	8/10/2010	5,718	5,746	(28)
BRITISH POUND STERLING	U S DOLLAR	8/19/2010	8/25/2010	142,288	141,065	1,223
BRITISH POUND STERLING	U S DOLLAR	8/23/2010	8/27/2010	95,106	95,406	(300)
BRITISH POUND STERLING	U S DOLLAR	9/16/2010	9/17/2010	2,768	2,767	1
BRITISH POUND STERLING	U S DOLLAR	9/20/2010	9/21/2010	5,241	5,272	(31)
BRITISH POUND STERLING	U S DOLLAR	9/20/2010	9/21/2010	1,393	1,401	(8)
BRITISH POUND STERLING	U S DOLLAR	9/30/2010	10/1/2010	1,655	1,668	(13)
BRITISH POUND STERLING	U S DOLLAR	10/1/2010	10/7/2010	119,017	119,468	(451)
BRITISH POUND STERLING	U S DOLLAR	10/1/2010	10/7/2010	73,498	73,776	(279)
BRITISH POUND STERLING	U S DOLLAR	10/1/2010	10/7/2010	48,311	48,494	(183)
BRITISH POUND STERLING	U S DOLLAR	10/1/2010	10/7/2010	159,934	160,541	(606)
BRITISH POUND STERLING	U S DOLLAR	10/8/2010	10/12/2010	2,065	2,079	(15)
BRITISH POUND STERLING	U S DOLLAR	10/20/2010	10/21/2010	10,537	10,548	(11)
BRITISH POUND STERLING	U S DOLLAR	10/20/2010	10/21/2010	3,472	3,476	(4)
BRITISH POUND STERLING	U S DOLLAR	11/30/2010	11/30/2010	828	829	(1)
BRITISH POUND STERLING	U S DOLLAR	12/1/2010	12/2/2010	5,929	5,917	11
BRITISH POUND STERLING	U S DOLLAR	12/16/2010	12/17/2010	2,903	2,915	(12)
EURO CURRENCY UNIT	U S DOLLAR	12/29/2009	1/6/2010	125,096	125,049	48
EURO CURRENCY UNIT	U S DOLLAR	1/7/2010	1/8/2010	2,872	2,952	(81)
EURO CURRENCY UNIT	U S DOLLAR	1/13/2010	1/19/2010	42,691	42,331	360
EURO CURRENCY UNIT	U S DOLLAR	1/19/2010	1/25/2010	88,430	87,427	1,002
EURO CURRENCY UNIT	U S DOLLAR	3/11/2010	3/12/2010	5,668	5,669	(0)
EURO CURRENCY UNIT	U S DOLLAR	3/12/2010	3/15/2010	10,779	10,716	62
EURO CURRENCY UNIT	U S DOLLAR	4/21/2010	4/22/2010	4,818	4,818	(1)

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EURO CURRENCY UNIT	U S DOLLAR	4/27/2010	4/28/2010	20,877	21,020	(144)
EURO CURRENCY UNIT	U S DOLLAR	4/30/2010	5/3/2010	18,018	17,942	76
EURO CURRENCY UNIT	U S DOLLAR	4/30/2010	5/3/2010	6,613	6,586	28
EURO CURRENCY UNIT	U S DOLLAR	4/30/2010	5/3/2010	2,809	2,797	12
EURO CURRENCY UNIT	U S DOLLAR	4/30/2010	5/3/2010	5,602	5,579	23
EURO CURRENCY UNIT	U S DOLLAR	5/6/2010	5/7/2010	6,180	6,203	(23)
EURO CURRENCY UNIT	U S DOLLAR	5/12/2010	5/13/2010	8,129	8,092	37
EURO CURRENCY UNIT	U S DOLLAR	5/18/2010	5/19/2010	9	9	(0)
EURO CURRENCY UNIT	U S DOLLAR	5/26/2010	5/27/2010	19	19	(0)
EURO CURRENCY UNIT	U S DOLLAR	6/2/2010	6/3/2010	11,145	11,132	13
EURO CURRENCY UNIT	U S DOLLAR	6/2/2010	6/3/2010	20,166	20,143	23
EURO CURRENCY UNIT	U S DOLLAR	6/2/2010	6/3/2010	4,128	4,149	(22)
EURO CURRENCY UNIT	U S DOLLAR	6/2/2010	6/3/2010	6,358	6,392	(34)
EURO CURRENCY UNIT	U S DOLLAR	6/17/2010	6/18/2010	2,163	2,150	13
EURO CURRENCY UNIT	U S DOLLAR	6/17/2010	6/18/2010	1,507	1,498	9
EURO CURRENCY UNIT	U S DOLLAR	6/21/2010	6/22/2010	997	995	2
EURO CURRENCY UNIT	U S DOLLAR	6/21/2010	6/22/2010	314	314	1
EURO CURRENCY UNIT	U S DOLLAR	7/21/2010	7/27/2010	80,017	80,785	(768)
EURO CURRENCY UNIT	U S DOLLAR	9/1/2010	9/7/2010	7,748	7,792	(44)
EURO CURRENCY UNIT	U S DOLLAR	9/7/2010	9/8/2010	4,105	4,161	(55)
EURO CURRENCY UNIT	U S DOLLAR	9/16/2010	9/17/2010	2,284	2,274	10
EURO CURRENCY UNIT	U S DOLLAR	9/16/2010	9/17/2010	1,591	1,585	7
EURO CURRENCY UNIT	U S DOLLAR	9/30/2010	10/1/2010	4,451	4,021	430
EURO CURRENCY UNIT	U S DOLLAR	9/30/2010	10/1/2010	2,725	2,462	263
EURO CURRENCY UNIT	U S DOLLAR	9/29/2010	10/5/2010	123,859	124,345	(486)
EURO CURRENCY UNIT	U S DOLLAR	10/6/2010	10/7/2010	3,227	3,245	(18)
EURO CURRENCY UNIT	U S DOLLAR	10/1/2010	10/7/2010	121,409	122,413	(1,004)
EURO CURRENCY UNIT	U S DOLLAR	10/1/2010	10/7/2010	145,534	146,738	(1,204)
EURO CURRENCY UNIT	U S DOLLAR	10/25/2010	10/26/2010	752	747	4
EURO CURRENCY UNIT	U S DOLLAR	10/25/2010	10/26/2010	460	458	3
EURO CURRENCY UNIT	U S DOLLAR	11/3/2010	11/3/2010	2,755	2,750	5
EURO CURRENCY UNIT	U S DOLLAR	11/17/2010	11/17/2010	16,892	16,937	(44)
EURO CURRENCY UNIT	U S DOLLAR	11/16/2010	11/17/2010	1,904	1,918	(15)
EURO CURRENCY UNIT	U S DOLLAR	11/29/2010	12/3/2010	19,204	19,312	(108)
EURO CURRENCY UNIT	U S DOLLAR	12/7/2010	12/10/2010	64,273	63,712	561
EURO CURRENCY UNIT	U S DOLLAR	12/16/2010	12/17/2010	3,905	3,947	(42)
EURO CURRENCY UNIT	U S DOLLAR	12/23/2010	12/28/2010	77,575	77,774	(198)
JAPANESE YEN	U S DOLLAR	3/1/2010	3/2/2010	771	774	(3)
JAPANESE YEN	U S DOLLAR	3/29/2010	3/30/2010	1,102	1,103	(1)
JAPANESE YEN	U S DOLLAR	4/1/2010	4/2/2010	2,780	2,792	(12)
JAPANESE YEN	U S DOLLAR	6/25/2010	6/28/2010	1,741	1,750	(8)
JAPANESE YEN	U S DOLLAR	6/28/2010	6/29/2010	923	925	(2)
JAPANESE YEN	U S DOLLAR	6/29/2010	6/30/2010	1,277	1,269	8
JAPANESE YEN	U S DOLLAR	6/30/2010	7/1/2010	1,258	1,261	(3)
JAPANESE YEN	U S DOLLAR	8/27/2010	8/30/2010	967	973	(6)
JAPANESE YEN	U S DOLLAR	8/30/2010	8/31/2010	3,075	3,073	2
JAPANESE YEN	U S DOLLAR	9/7/2010	9/8/2010	1,217	1,211	6
JAPANESE YEN	U S DOLLAR	11/9/2010	11/15/2010	130,654	128,749	1,905
JAPANESE YEN	U S DOLLAR	11/26/2010	11/26/2010	981	983	(1)
JAPANESE YEN	U S DOLLAR	12/3/2010	12/3/2010	1,886	1,890	(5)
JAPANESE YEN	U S DOLLAR	12/9/2010	12/9/2010	1,335	1,329	5
JAPANESE YEN	U S DOLLAR	12/10/2010	12/10/2010	1,350	1,350	0

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MEXICAN NEW PESO	U S DOLLAR	3/31/2010	4/8/2010	160,510	162,424	(1,914)
MEXICAN NEW PESO	U S DOLLAR	5/3/2010	5/4/2010	4,149	4,145	4
MEXICAN NEW PESO	U S DOLLAR	5/3/2010	5/4/2010	3,249	3,251	(1)
MEXICAN NEW PESO	U S DOLLAR	5/5/2010	5/6/2010	9,705	10,082	(377)
MEXICAN NEW PESO	U S DOLLAR	8/26/2010	9/1/2010	75,267	74,765	502
MEXICAN NEW PESO	U S DOLLAR	11/12/2010	11/18/2010	142,261	140,671	1,590
MEXICAN NEW PESO	U S DOLLAR	12/7/2010	12/13/2010	59,040	59,209	(168)
NORWEGIAN KRONE	U S DOLLAR	5/28/2010	6/1/2010	1,997	2,001	(4)
SOUTH KOREAN WON	U S DOLLAR	4/7/2010	4/8/2010	7,079	7,087	(9)
SOUTH KOREAN WON	U S DOLLAR	4/9/2010	4/13/2010	181,085	180,038	1,047
SOUTH KOREAN WON	U S DOLLAR	4/16/2010	4/19/2010	5,603	5,634	(31)
SOUTH KOREAN WON	U S DOLLAR	7/12/2010	7/13/2010	852	865	(13)
SOUTH KOREAN WON	U S DOLLAR	8/11/2010	8/12/2010	790	811	(21)
SWISS FRANC	U S DOLLAR	3/8/2010	3/9/2010	8,519	8,502	18
SWISS FRANC	U S DOLLAR	3/8/2010	3/9/2010	3,713	3,707	6
SWISS FRANC	U S DOLLAR	3/10/2010	3/11/2010	3,535	3,517	18
SWISS FRANC	U S DOLLAR	4/23/2010	4/26/2010	11,777	11,815	(38)
SWISS FRANC	U S DOLLAR	4/23/2010	4/26/2010	1,592	1,598	(5)
SWISS FRANC	U S DOLLAR	5/18/2010	5/19/2010	2,499	2,640	(141)
SWISS FRANC	U S DOLLAR	10/5/2010	10/8/2010	76,168	76,576	(408)
U S DOLLAR	EURO CURRENCY UNIT	1/13/2010	1/15/2010	127,061	128,074	(1,013)
U S DOLLAR	SOUTH KOREAN WON	1/26/2010	1/28/2010	118,965	119,006	(41)
U S DOLLAR	EURO CURRENCY UNIT	2/1/2010	2/3/2010	217,127	217,150	(23)
U S DOLLAR	JAPANESE YEN	2/24/2010	2/26/2010	156,203	154,165	2,038
U S DOLLAR	SWISS FRANC	3/12/2010	3/17/2010	38,725	38,639	86
U S DOLLAR	SWISS FRANC	3/12/2010	3/17/2010	99,697	99,476	222
U S DOLLAR	EURO CURRENCY UNIT	3/30/2010	4/1/2010	199,742	197,993	1,748
U S DOLLAR	MEXICAN NEW PESO	3/30/2010	4/5/2010	24,779	24,474	304
U S DOLLAR	MEXICAN NEW PESO	10/27/2009	4/5/2010	97,859	88,060	9,799
U S DOLLAR	MEXICAN NEW PESO	4/14/2010	4/16/2010	289,582	289,353	229
U S DOLLAR	EURO CURRENCY UNIT	4/20/2010	4/21/2010	150,811	151,261	(450)
U S DOLLAR	SWISS FRANC	4/28/2010	5/3/2010	204,345	204,504	(160)
U S DOLLAR	EURO CURRENCY UNIT	4/29/2010	5/4/2010	42,876	43,590	(714)
U S DOLLAR	JAPANESE YEN	5/3/2010	5/6/2010	246,659	242,937	3,721
U S DOLLAR	EURO CURRENCY UNIT	5/3/2010	5/6/2010	97,425	101,170	(3,745)
U S DOLLAR	EURO CURRENCY UNIT	5/6/2010	5/10/2010	34,187	33,630	558
U S DOLLAR	SWISS FRANC	5/6/2010	5/11/2010	53,933	53,916	17
U S DOLLAR	EURO CURRENCY UNIT	5/11/2010	5/13/2010	141,385	143,286	(1,901)
U S DOLLAR	EURO CURRENCY UNIT	5/18/2010	5/20/2010	452,443	453,378	(935)
U S DOLLAR	JAPANESE YEN	5/18/2010	5/20/2010	107,264	103,871	3,393
U S DOLLAR	EURO CURRENCY UNIT	5/20/2010	5/25/2010	144,445	148,362	(3,917)
U S DOLLAR	BRITISH POUND STERLING	5/20/2010	5/25/2010	179,315	180,735	(1,420)
U S DOLLAR	EURO CURRENCY UNIT	5/20/2010	5/25/2010	28,201	28,966	(765)
U S DOLLAR	AUSTRALIAN DOLLAR	5/21/2010	5/26/2010	45,761	45,420	341
U S DOLLAR	BRITISH POUND STERLING	5/21/2010	5/26/2010	91,150	91,390	(240)
U S DOLLAR	EURO CURRENCY UNIT	5/26/2010	5/28/2010	179,498	178,064	1,434
U S DOLLAR	EURO CURRENCY UNIT	6/1/2010	6/3/2010	571,166	575,167	(4,001)
U S DOLLAR	MEXICAN NEW PESO	6/1/2010	6/3/2010	119,780	118,793	988
U S DOLLAR	MEXICAN NEW PESO	6/9/2010	6/11/2010	110,867	109,861	1,007
U S DOLLAR	SOUTH KOREAN WON	4/14/2010	6/11/2010	99,350	111,306	(11,956)
U S DOLLAR	EURO CURRENCY UNIT	6/22/2010	6/24/2010	89,085	88,919	166
U S DOLLAR	BRITISH POUND STERLING	7/12/2010	7/14/2010	235,597	231,738	3,858

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U S DOLLAR	EURO CURRENCY UNIT	8/3/2010	8/5/2010	743,229	747,551	(4,322)
U S DOLLAR	JAPANESE YEN	8/3/2010	8/5/2010	109,256	109,332	(76)
U S DOLLAR	SOUTH KOREAN WON	8/3/2010	8/5/2010	72,393	72,260	133
U S DOLLAR	SOUTH KOREAN WON	4/14/2010	8/5/2010	34,289	35,907	(1,617)
U S DOLLAR	MEXICAN NEW PESO	8/10/2010	8/12/2010	108,649	109,246	(597)
U S DOLLAR	BRITISH POUND STERLING	8/17/2010	8/19/2010	123,296	122,996	300
U S DOLLAR	BRITISH POUND STERLING	8/25/2010	8/31/2010	46,693	46,979	(286)
U S DOLLAR	SWISS FRANC	8/30/2010	9/2/2010	71,676	70,730	946
U S DOLLAR	EURO CURRENCY UNIT	9/1/2010	9/3/2010	271,321	270,023	1,297
U S DOLLAR	SWISS FRANC	9/1/2010	9/3/2010	188,392	188,503	(111)
U S DOLLAR	SWISS FRANC	9/2/2010	9/7/2010	35,901	35,808	92
U S DOLLAR	EURO CURRENCY UNIT	9/8/2010	9/13/2010	24,520	24,252	268
U S DOLLAR	EURO CURRENCY UNIT	9/13/2010	9/15/2010	269,399	266,143	3,256
U S DOLLAR	EURO CURRENCY UNIT	9/20/2010	9/22/2010	91,692	89,391	2,301
U S DOLLAR	EURO CURRENCY UNIT	10/4/2010	10/6/2010	113,957	112,329	1,629
U S DOLLAR	BRITISH POUND STERLING	10/4/2010	10/6/2010	100,044	99,679	365
U S DOLLAR	BRITISH POUND STERLING	10/13/2010	10/15/2010	251,405	249,199	2,206
U S DOLLAR	NORWEGIAN KRONE	10/13/2010	10/15/2010	160,433	160,318	115
U S DOLLAR	SWISS FRANC	10/13/2010	10/15/2010	204,159	203,786	372
U S DOLLAR	BRITISH POUND STERLING	10/13/2010	10/18/2010	27,325	27,312	13
U S DOLLAR	EURO CURRENCY UNIT	10/19/2010	10/21/2010	113,668	112,077	1,591
U S DOLLAR	BRITISH POUND STERLING	11/4/2010	11/9/2010	171,377	173,100	(1,723)
U S DOLLAR	SWISS FRANC	11/8/2010	11/10/2010	590,100	595,693	(5,594)
U S DOLLAR	BRITISH POUND STERLING	11/15/2010	11/17/2010	143,376	144,723	(1,346)
U S DOLLAR	SWISS FRANC	11/12/2010	11/17/2010	44,496	44,937	(441)
U S DOLLAR	SWISS FRANC	11/30/2010	12/2/2010	334,741	333,869	872
SHORT TERM				12,416,091	12,418,160	(2,069)
BRITISH POUND STERLING	U S DOLLAR	7/6/2009	7/14/2010	250,000	235,597	14,403
BRITISH POUND STERLING	U S DOLLAR	8/11/2009	8/19/2010	130,000	123,296	6,704
BRITISH POUND STERLING	U S DOLLAR	9/28/2009	10/6/2010	100,000	100,044	(44)
BRITISH POUND STERLING	U S DOLLAR	10/7/2009	10/15/2010	250,000	251,405	(1,405)
BRITISH POUND STERLING	U S DOLLAR	11/9/2009	11/17/2010	150,000	143,376	6,624
EURO CURRENCY UNIT	U S DOLLAR	1/7/2009	1/15/2010	120,000	127,061	(7,061)
EURO CURRENCY UNIT	U S DOLLAR	1/30/2009	2/3/2010	200,000	217,127	(17,127)
EURO CURRENCY UNIT	U S DOLLAR	3/25/2009	4/1/2010	200,000	199,742	258
EURO CURRENCY UNIT	U S DOLLAR	4/13/2009	4/21/2010	150,000	150,835	(835)
EURO CURRENCY UNIT	U S DOLLAR	5/5/2009	5/13/2010	150,000	141,465	8,535
EURO CURRENCY UNIT	U S DOLLAR	5/12/2009	5/20/2010	500,000	452,443	47,557
EURO CURRENCY UNIT	U S DOLLAR	5/20/2009	5/28/2010	200,000	179,498	20,502
EURO CURRENCY UNIT	U S DOLLAR	5/27/2009	6/3/2010	650,000	571,368	78,632
EURO CURRENCY UNIT	U S DOLLAR	6/16/2009	6/24/2010	100,000	89,085	10,915
EURO CURRENCY UNIT	U S DOLLAR	7/31/2009	8/5/2010	100,000	92,151	7,849
EURO CURRENCY UNIT	U S DOLLAR	7/28/2009	8/5/2010	700,000	651,078	48,922
EURO CURRENCY UNIT	U S DOLLAR	8/26/2009	9/3/2010	300,000	271,321	28,679
EURO CURRENCY UNIT	U S DOLLAR	9/8/2009	9/15/2010	300,000	269,399	30,601
EURO CURRENCY UNIT	U S DOLLAR	9/14/2009	9/22/2010	100,000	91,692	8,308
EURO CURRENCY UNIT	U S DOLLAR	9/28/2009	10/6/2010	120,000	113,957	6,043
EURO CURRENCY UNIT	U S DOLLAR	10/13/2009	10/21/2010	120,000	113,668	6,332
JAPANESE YEN	U S DOLLAR	2/18/2009	2/26/2010	150,000	156,203	(6,203)
JAPANESE YEN	U S DOLLAR	4/27/2009	5/6/2010	240,000	246,659	(6,659)

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JAPANESE YEN	U S DOLLAR	5/12/2009	5/20/2010	100,000	107,264	(7,264)
JAPANESE YEN	U S DOLLAR	7/28/2009	8/5/2010	100,000	109,256	(9,256)
MEXICAN NEW PESO	U S DOLLAR	3/25/2009	4/5/2010	100,000	122,638	(22,638)
MEXICAN NEW PESO	U S DOLLAR	4/8/2009	4/16/2010	250,000	289,582	(39,582)
MEXICAN NEW PESO	U S DOLLAR	5/29/2009	6/3/2010	110,000	119,780	(9,780)
MEXICAN NEW PESO	U S DOLLAR	6/3/2009	6/11/2010	100,000	110,867	(10,867)
MEXICAN NEW PESO	U S DOLLAR	8/4/2009	8/12/2010	100,000	108,649	(8,649)
NORWEGIAN KRONE	U S DOLLAR	10/7/2009	10/15/2010	160,000	160,433	(433)
SOUTH KOREAN WON	U S DOLLAR	1/21/2009	1/28/2010	100,000	118,965	(18,965)
SOUTH KOREAN WON	U S DOLLAR	6/3/2009	6/11/2010	100,000	99,350	650
SOUTH KOREAN WON	U S DOLLAR	7/28/2009	8/5/2010	100,000	106,682	(6,682)
SWISS FRANC	U S DOLLAR	8/26/2009	9/3/2010	180,000	188,392	(8,392)
SWISS FRANC	U S DOLLAR	10/7/2009	10/15/2010	190,000	204,159	(14,159)
SWISS FRANC	U S DOLLAR	11/24/2009	12/2/2010	330,000	334,741	(4,741)
LONG TERM				7,300,000	7,169,228	130,772
GRAND TOTAL				19,716,091	19,587,388	128,703