



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



## Return of Private Foundation

OMB No 1545-0052

Department of the Treasury  
Internal Revenue Serviceor Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

2010

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2010, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

Name of foundation <b>Hyslop Shannon Foundation C/O James R. Morton</b>		A Employer identification number <b>72-1447443</b>
Number and street (or P O box number if mail is not delivered to street address) <b>1100 Poydras Street</b>	Room/suite <b>2100</b>	B Telephone number <b>504-599-8507</b>
City or town, state, and ZIP code <b>New Orleans, LA 70163-2100</b>		C If exemption application is pending, check here <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$ 3,539,728.</b> (Part I, column (d) must be on cash basis)	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		14.	14.		
4 Dividends and interest from securities		104,644.	104,644.		
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		107,937.			
b Gross sales price for all assets on line 6a		1,252,291.			
7 Capital gain net income (from Part IV, line 2)			107,937.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		47,719.	47,719.		
12 Total. Add lines 1 through 11		260,314.	260,314.		
13 Compensation of officers, directors, trustees, etc.		15,000.	12,500.		2,500.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees					
c Other professional fees					
17 Interest					
18 Taxes		1,177.	1,177.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses		24,717.	24,717.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		40,894.	38,394.		2,500.
25 Contributions, gifts, grants paid		158,619.			158,619.
26 Total expenses and disbursements. Add lines 24 and 25		199,513.	38,394.		161,119.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		60,801.			
b Net investment income (if negative, enter -0-)			221,920.		
c Adjusted net income (if negative, enter -0-)				N/A	

<b>Part II Balance Sheets</b> <small>Attached schedules and amounts in the description column should be for end-of-year amounts only</small>		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
<b>Assets</b>	1 Cash - non-interest-bearing	632.	3,672.	3,672.	
	2 Savings and temporary cash investments	73,225.	111,805.	111,805.	
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶				
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments - U.S. and state government obligations Stmt 6	126,072.	246,233.	245,602.	
	b Investments - corporate stock Stmt 7	1,910,659.	1,785,884.	2,110,349.	
	c Investments - corporate bonds Stmt 8	642,675.	562,170.	596,248.	
	11 Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation ▶				
	12 Investments - mortgage loans				
	13 Investments - other Stmt 9	338,338.	442,638.	472,052.	
14 Land, buildings, and equipment: basis ▶ Less accumulated depreciation ▶					
15 Other assets (describe ▶)					
<b>16 Total assets (to be completed by all filers)</b>		<b>3,091,601.</b>	<b>3,152,402.</b>	<b>3,539,728.</b>	
<b>Liabilities</b>	17 Accounts payable and accrued expenses				
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable				
	22 Other liabilities (describe ▶)				
<b>23 Total liabilities (add lines 17 through 22)</b>		<b>0.</b>	<b>0.</b>		
<b>Net Assets or Fund Balances</b>	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27 Capital stock, trust principal, or current funds	0.	0.		
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
	29 Retained earnings, accumulated income, endowment, or other funds	3,091,601.	3,152,402.		
<b>30 Total net assets or fund balances</b>		<b>3,091,601.</b>	<b>3,152,402.</b>		
<b>31 Total liabilities and net assets/fund balances</b>		<b>3,091,601.</b>	<b>3,152,402.</b>		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,091,601.
2 Enter amount from Part I, line 27a	2	60,801.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	3,152,402.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,152,402.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a Per Attached Schedule</b>			
<b>b Per Attached Schedule</b>			
<b>c Capital Gains Dividends</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 574,666.		546,025.	28,641.
<b>b</b> 658,437.		598,329.	60,108.
<b>c</b> 19,188.			19,188.
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
<b>a</b>			28,641.
<b>b</b>			60,108.
<b>c</b>			19,188.
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	107,937.
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	<b>3</b>	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	185,292.	3,031,311.	.061126
2008	179,438.	3,493,358.	.051365
2007	195,116.	3,813,923.	.051159
2006	177,559.	3,799,598.	.046731
2005	185,715.	3,698,764.	.050210

<b>2</b> Total of line 1, column (d)	<b>2</b>	.260591
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	.052118
<b>4</b> Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	<b>4</b>	3,333,975.
<b>5</b> Multiply line 4 by line 3	<b>5</b>	173,760.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	2,219.
<b>7</b> Add lines 5 and 6	<b>7</b>	175,979.
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	<b>8</b>	161,119.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

<b>1a</b> Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
<b>b</b> Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	4,438.
<b>c</b> All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
<b>2</b> Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
<b>3</b> Add lines 1 and 2		3	4,438.
<b>4</b> Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
<b>5</b> Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	4,438.
<b>6</b> Credits/Payments:			
<b>a</b> 2010 estimated tax payments and 2009 overpayment credited to 2010		6a	8,424.
<b>b</b> Exempt foreign organizations - tax withheld at source		6b	
<b>c</b> Tax paid with application for extension of time to file (Form 8868)		6c	
<b>d</b> Backup withholding erroneously withheld		6d	
<b>7</b> Total credits and payments. Add lines 6a through 6d		7	8,424.
<b>8</b> Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached		8	
<b>9</b> Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
<b>10</b> Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	3,986.
<b>11</b> Enter the amount of line 10 to be: Credited to 2011 estimated tax <input type="checkbox"/> 3,986. Refunded <input type="checkbox"/> 0.		11	0.

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
<b>1b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
<b>1c</b> Did the foundation file Form 1120-POL for this year?		X
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
<b>b</b> If "Yes," has it filed a tax return on Form 990-T for this year?		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> LA		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
<b>10</b> Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► James R. Morton Telephone no. ► 504-599-8507 Located at ► 1100 Poydras St, Ste 2100, New Orleans, LA ZIP+4 ► 70163-2100			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes No	X X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ► <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► , , ,		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► , , ,		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

☒

5b

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
James R. Morton 1100 Poydras Street, Suite 2100 New Orleans, LA 701632100	President, Director 1.00	5,000.	0.	0.
Carol Whipple 945 Huckleberry Road Northbrook, IL 60062	Secretary/Treas, Director 1.00	5,000.	0.	0.
Thomas M. Morton 1553 Acorn Court Gurnee, IL 60031	Vice President, Director 1.00	5,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services 0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Form 990-PF (2010)



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	2,909,194.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	3,500.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	472,052.
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	3,384,746.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	3,384,746.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	50,771.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	3,333,975.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	166,699.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	166,699.
<b>2a</b>	Tax on investment income for 2010 from Part VI, line 5	<b>2a</b>	4,438.
<b>b</b>	Income tax for 2010. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	4,438.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	162,261.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0.
<b>5</b>	Add lines 3 and 4	<b>5</b>	162,261.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	162,261.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	161,119.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	161,119.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	0.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	161,119.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				162,261.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			138,733.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005				
b From 2006				
c From 2007				
d From 2008				
e From 2009				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2010 from Part XII, line 4: ► \$ 161,119.				
a Applied to 2009, but not more than line 2a			138,733.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				22,386.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				139,875.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008				
d Excess from 2009				
e Excess from 2010				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) **N/A**

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	
<b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
<b>b</b> 85% of line 2a					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test - enter:					
<b>(1)</b> Value of all assets					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
<b>c</b> "Support" alternative test - enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**None**

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**None**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** **Supplementary Information** (continued)

<b>3 Grants and Contributions Paid During the Year or Approved for Future Payment</b>				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
<b>See Statement 10</b>				
<b>Total</b>			<b>▶ 3a</b>	<b>158,619.</b>
<b>b Approved for future payment</b>				
<b>None</b>				
<b>Total</b>			<b>▶ 3b</b>	<b>0.</b>

### Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
<b>1</b> Program service revenue:					
<b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>f</b> _____					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments					
<b>3</b> Interest on savings and temporary cash investments			14	14.	
<b>4</b> Dividends and interest from securities			14	104,644.	
<b>5</b> Net rental income or (loss) from real estate:					
<b>a</b> Debt-financed property					
<b>b</b> Not debt-financed property					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income			14	47,719.	
<b>8</b> Gain or (loss) from sales of assets other than inventory			18	107,937.	
<b>9</b> Net income or (loss) from special events					
<b>10</b> Gross profit or (loss) from sales of inventory					
<b>11</b> Other revenue:					
<b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>12</b> Subtotal. Add columns (b), (d), and (e)		0.		260,314.	0.
<b>13</b> Total. Add line 12, columns (b), (d), and (e)				260,314.	260,314.

(See worksheet in line 13 instructions to verify calculations.)

### Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]



---



---

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

---

Source	Amount
MorganStanley SmithBarney	14.
Total to Form 990-PF, Part I, line 3, Column A	14.

---



---



---



---

Form 990-PF Dividends and Interest from Securities Statement 2

---

Source	Gross Amount	Capital Gains Dividends	Column (A) Amount
MorganStanley Smith Barney	123,832.	19,188.	104,644.
Total to Fm 990-PF, Part I, ln 4	123,832.	19,188.	104,644.

---



---



---



---

Form 990-PF Other Income Statement 3

---

Description	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
Sports Venture Partners II	36,364.	36,364.	
Sports Venture Partners Real Estate	11,355.	11,355.	
Total to Form 990-PF, Part I, line 11	47,719.	47,719.	

---



---



---



---

Form 990-PF Taxes Statement 4

---

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Foreign Taxes	1,177.	1,177.		0.
To Form 990-PF, Pg 1, ln 18	1,177.	1,177.		0.

---



---

Form 990-PF	Other Expenses			Statement	5
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
MorganStanley SmithBarney Management Fees	24,338.	24,338.			0.
ADR Fees	379.	379.			0.
To Form 990-PF, Pg 1, ln 23	24,717.	24,717.			0.

Form 990-PF	U.S. and State/City Government Obligations			Statement	6
Description	U.S. Gov't	Other Gov't	Book Value	Fair Market Value	
Government and GSE Bonds	X		246,233.	245,602.	
Total U.S. Government Obligations			246,233.	245,602.	
Total State and Municipal Government Obligations					
Total to Form 990-PF, Part II, line 10a			246,233.	245,602.	

Form 990-PF	Corporate Stock		Statement	7
Description	Book Value		Fair Market Value	
Common Stocks	1,271,171.		1,598,677.	
Mutual Funds	514,713.		511,672.	
Total to Form 990-PF, Part II, line 10b	1,785,884.		2,110,349.	



Form 990-PF	Corporate Bonds	Statement	8
Description	Book Value	Fair Market Value	
Corporate Bonds	382,418.	408,086.	
Mortgage Backed Securities	179,752.	188,162.	
Total to Form 990-PF, Part II, line 10c	562,170.	596,248.	

Form 990-PF	Other Investments	Statement	9
Description	Valuation Method	Book Value	Fair Market Value
SVP II LLC	FMV	335,041.	355,811.
SVP Real Estate LLC	FMV	107,597.	116,241.
Total to Form 990-PF, Part II, line 13		442,638.	472,052.

Form 990-PF

Grants and Contributions  
Paid During the Year

Statement 10

Recipient Name and Address	Recipient Relationship and Purpose of Grant	Recipient Status	Amount
Art Institute of Chicago Chicago, IL	NONE Cultural	Public Charity	1,500.
Audubon Water Institute New Orleans, LA 70118	NONE Civic	Public Charity	2,500.
Avon Walk for Breast Cancer Chicago, IL	NONE Medical	Public Charity	500.
Bears Care	NONE Educational	Public Charity	800.
Box It Fund Chicago, IL	NONE Civic	Public Charity	4,500.
Care Point Chicago, IL	NONE Medical	Public Charity	1,500.
Chicago Acappella Chicago, IL	NONE Cultural	Public Charity	13,550.
Chicago Symphony Orchestra Chicago, IL	NONE Cultural	Public Charity	400.

Congregation Or Shalom 21 Hawthorn Parkway Vernon Hills, IL 600611445	NONE Religious	Public Charity	30,000.
Comer Childrens Hospital	nONE Medical	Public Charity	500.
COWALUNGA Chicago, IL	NONE Civic	Public Charity	9,000.
CPTTC Inc. Chicago, IL	NONE Civic	Public Charity	250.
Falcon Hockey Association Chicago, IL	NONE Civic	Public Charity	500.
Folds of Honor LLC Chicago, IL	NONE Civic	Public Charity	100.
Fore Kids Fund New Orleans, LA	NONE Civic	Public Charity	8,250.
George Shinn Foundation New Orleans, LA	NONE Educational	Public Charity	24,300.
Greenbrier PTO Greenbrier, IL	NONE Educational	public Charity	300.
Lake County JATC 31290 N US Hwy 45 Unit A Libertyville, IL 60048	NONE Educational	Public Charity	5,500.

Loyola University New Orleans, LA	NONE Educational	Public Charity	3,125.
Lupus Foundation of America Washington, DC	NONE Medical	Public Charity	250.
Madison County Vineyard Madison County, IL	NONE Civic	Public Charity	2,500.
Make a Wish Foundation Chicago, IL	NONE Medical	Public Charity	200.
Meals on Wheels New Orleans, LA	NONE Civic	Public Charity	750.
Misericordia Women's Auxiliary Chicago, IL	NONE Civic	public Charity	3,925.
Multiple Myeloma Research Chicago, IL	NONE Medical	Public Charity	1,000.
Museum of Science and Industry Chicago, IL	NONE Educational	Public Charity	180.
Natl Assoc Miniature Enthusiasts (NAME) 502 Dixie Terminal Bldg Cincinnati, OH	NONE Cultural	Public Charity	15,100.
New Orleans Bar Association Foundation New Orleans, LA	NONE Civic	Public Charity	1,500.

New Orleans Police and Judge Fund New Orleans, LA	NONE Civic	Public Charity	2,500.
One Hope United Chicago, IL	NONE Civic	Public Charity	5,700.
Payton's Paly It Forward New Orleans, LA	NONE Civic	public Charity	2,693.
Ravinia Festival Ravinia, WI	NONE Civic	Public Charity	2,000.
Redefined Fitness Chicago, IL	NONE Civic	Public Charity	500.
Spartan FC Chicago, IL	NONE Civic	Public Charity	2,240.
St Baldrick's Fund New Orleans, LA	NONE Medical	Public Charity	1,000.
Tails of Hope Chicago, IL	NONE Civic	Public Charity	3,000.
The Idea Village New Orleans, LA	NONE Educational	Public Charity	5,000.
The Ted Fund Chicago, IL	NONE Civic	Public Charity	250.

Hyslop Shannon Foundation C/O James R. M

72-1447443

W I T W  
Winnetka, IL

NONE  
Civic

Public  
Charity 256.

Woodland Educational Fund  
Woodland, IL

NONE  
Educational

public  
Charity 1,000.

Total to Form 990-PF, Part XV, line 3a

158,619.

Morgan Stanley  
Smith Barney

Dow 11781 09 +123 13 2 31pm | NASDAQ 2620 08 +13 12 2 31pm | S&amp;P 500 1229 90 +11 82 2 31pm

Print

Select: All Accounts 2010 Gain/Loss (Realized) Positions View

Data as of 11/1/2011

## 2010 (Realized) Positions - All Accounts

E-mail

All	Description	Quantity	Date Acquired	Purchase Price	Cost/Adjusted Cost	Date Sold	Sale Price	Proceeds	Realized Gain/Loss/Adjusted Gain/Loss	ST/LT
<input checked="" type="checkbox"/>	3PAR INC	90			916 03			1,409 57	493 54	
<input checked="" type="checkbox"/>	3PAR INC	46			441 48			1,518 00	1,076 52	
	ACACIA RESH CORP 599-80882	11 0000	11/16/07	11 11	122 18	7/21/10	14 680	161 47	39 29	LT
<input checked="" type="checkbox"/>	ACE LIMITED	32			1,652 75			1,731 54	78 79	
<input checked="" type="checkbox"/>	AEGEAN MARINE PETROLEUM	48			1,144 53			604 20	-540 33	
<input checked="" type="checkbox"/>	AGILENT TECHNOLOGIES INC	68			2,012 78			2,397 37	384.59	
<input checked="" type="checkbox"/>	AIR PRODUCTS & CHEMICALS INC	116			9,443 40			8,085 80	-1,357 60	
<input checked="" type="checkbox"/>	ALLERGAN INC	27			1,560 20			1,754 71	194 51	
	ALLIANCE DATA SYSTEMS CORP 599-80882	4 0000	2/25/09	30 16	120 65	7/21/10	57 550	230 19	109 54	LT
<input checked="" type="checkbox"/>	ALTRIA GROUP INC	641			10,210 77			14,670 35	4,459 58	
<input checked="" type="checkbox"/>	AMAZON COM INC	65			5,401 60			8,190 24	2,788 64	
	AMB PROPERTY CORP 599-80882	2 0000	1/29/09	18 07	36 15	7/21/10	23 200	46 39	10 24	LT
<input checked="" type="checkbox"/>	AMERICA MOVIL S A B DE CV AMERICAN CAMPUS CMNTYS INC 599-80882	23 3.0000	4/26/10	30 12	1,363 69 89 50	7/21/10	27 390	1,184 13 82 16	-179 56 -7.34	ST
<input checked="" type="checkbox"/>	AMERICAN EXPRESS CO	180			5,435 74			7,356 56	1,920 82	
<input checked="" type="checkbox"/>	AMERICAN SUPERCONDUCTOR CORP	23			452 56			665 82	213 26	
<input checked="" type="checkbox"/>	AMERICAN TOWER CORP- CLASS A	20			785 52			972 21	186 69	
<input checked="" type="checkbox"/>	AMERIPRISE FINANCIAL INC	138			3,134 68			6,428 52	3,293 84	
<input checked="" type="checkbox"/>	ANADARKO PETROLEUM CORP	275			15,136 20			15,445 01	308.81	
<input checked="" type="checkbox"/>	ANGLOGOLD ASHANTI LTD	55			1,961 77			2,729 04	767 27	
<input checked="" type="checkbox"/>	APPLE INC	26			4,160 55			6,227 76	2,067.21	
	APPLIED MATERIALS INC DELAWARE 599-80879	30 0000	9/24/09	13 04	391 22	4/19/10	14 220	426 59	35 37	ST
	ARCHER-DANIELS-MIDLAND CO 599-80879	72.0000	1/07/10	31 20	2,246 07	9/08/10	31 862	2,294 06	47 99	ST
<input checked="" type="checkbox"/>	ARM HOLDINGS PLC ADR	600			4,801 16			6,932 55	2,131 39	
<input checked="" type="checkbox"/>	ASPEN INSURANCE HOLDINGS	36			823 36			1,032 24	208 88	
<input checked="" type="checkbox"/>	ATHENAHEALTH INC	33			1,250 52			1,387 20	136 68	
<input checked="" type="checkbox"/>	ATHEROS COMMUNICATIONS INC	28			760 60			1,035 21	274 61	
<input checked="" type="checkbox"/>	AU OPTRONICS CORP	123			1,223 07			1,235 27	12 20	
<input checked="" type="checkbox"/>	AVON PRODUCTS INC	357			12,337 27			11,875 94	-461 33	
<input checked="" type="checkbox"/>	BAIDU INC SPON ADR RPTNG	39			3,039 37			5,484 80	2,445 43	
<input checked="" type="checkbox"/>	BANCO BRADESCO SPONS ADR	106 3			1,671 92			2,014 91	342 99	
	BANK NEW YORK MELLON CORP 599-80879	20 0000	9/08/09	28 38	567 67	4/19/10	31 750	634 98	67 31	ST
<input checked="" type="checkbox"/>	BANK OF AMERICA CORP	725			8,903 93			12,150 89	3,246 96	
<input checked="" type="checkbox"/>	BEST BUY INC	228			8,782.76			8,402 01	-380 75	
	BG GROUP PLC SPON ADR									

599-80884	9 0000	7/02/09	85 56	770 09	8/13/10	80 580	725 20	-44 89	LT
↳ BIOSCRIP INC	17			95 03			77 85	-17 18	
↳ BLACKBOARD INC	5			167 06			190 79	23 73	
↳ BLACKROCK INTERNATIONAL BOND	8,934 879			98,735 62			93,300 00	-5,435 62	
↳ BLUE COAT SYSTEMS INC-NEW	21			425 04			459 61	34 57	
↳ BP PLC SPONS ADR	305			16,760 90			15,606 69	-1,154 21	
↳ BRASIL TELECOM S A	42			1,301 33			868 11	-433 22	
BRASIL TELECOM SA ADR 599-80883	24 0000	11/24/09	16 72	400 05	10/08/10	8 906	213 72	-186 33	ST
↳ BRF-BRASIL FOODS S A ADR	112			1,273 10			1,689 45	416 35	
BRITISH SKY BROADCASTING 599-80884	24 0000	9/19/08	31 97	767 22	4/19/10	38 160	915 82	148 60	LT
↳ BRITISH SKY BROADCASTING	77			2,325 48			3,264 60	939 12	
↳ BROADCOM CORP CL A	349			9,028 87			11,961 31	2,932 44	
↳ CALIFORNIA PIZZA KITCHEN INC	19			420 28			333 44	-86 84	
↳ CAMPUS CREST COMMUNITIES INC	20			250 16			257 03	6 87	
CANADIAN NATL RAILWAY CO 599-80884	13 0000	9/14/07	55 98	727 70	11/01/10	65 740	854 60	126 90	LT
CANADIAN NATURAL RES LTD 599-80884	20 0000	8/20/09	29 23	584 57	8/13/10	32 690	653 78	69 21	ST

1 | 2 | 3 | 4 | 5 | 6 | 7 Next ▶



Morgan Stanley  
Smith Barney

Dow 11781 09 +123 13 2 31pm | NASDAQ 2620 08 +13 12 2 31pm | S&amp;P 500 1229 90 +11 62 2 31pm

Print

Select: All Accounts 2010 Gain/Loss (Realized) Positions

View

Data as of 11/1/2011

E-mail

## 2010 (Realized) Positions - All Accounts

All	Description	Quantity	Date Acquired	Purchase Price	Cost/Adjusted Cost	Date Sold	Sale Price	Proceeds	Realized Gain/Loss/Adjusted Gain/Loss	ST/LT
<input checked="" type="checkbox"/>	CARNIVAL CORP	56			2,797 76			1,897 18	-900 58	
<input checked="" type="checkbox"/>	CEMEX S.A.B DE C.V SPONS 599-80883	105 0000	10/19/10	7 76	814 97	11/02/10	9 051	950 37	135 40	ST
<input checked="" type="checkbox"/>	CENTRAL GARDEN & PET CO	45			466 70			449 40	-17 30	
<input checked="" type="checkbox"/>	CHARMING SHOPPES INC	212			1,267 54			961 19	-306 35	
<input checked="" type="checkbox"/>	CHEVRON CORP	120			8,256 78			9,667 51	1,410 73	
<input checked="" type="checkbox"/>	CHICOS FAS INC	25			354 41			239 90	-114 51	
<input checked="" type="checkbox"/>	CHINA CONSTR BK CORP- CNY	0 5			22 16			8 91	-13 25	
<input checked="" type="checkbox"/>	CHINA LIFE INSURANCE CO CHINA MERCHANTS UNSPON ADR 599-80884	157 30 0000	10/12/09	32 56	8,140 15 976 90	1/19/10	37 750	9,642 63 1,132 48	1,502 48 155 58	ST
<input checked="" type="checkbox"/>	CHINA MOBILE LTD	35			1,687 64			1,783 21	95 57	
<input checked="" type="checkbox"/>	CHINA UNICOM HONG KONG LTD	115			1,533 42			1,633 81	100 39	
<input checked="" type="checkbox"/>	CHUNGWA TELECOMCO LTD NEW	0 7272			14 59			13 40	-1 19	
<input checked="" type="checkbox"/>	CHUNGWA TELECOMCO LTD NEW	72			1,491 56			1,815 45	323 89	
<input checked="" type="checkbox"/>	CISCO SYS INC CNOOC LTD SPONS ADR 599-80884	660 4 0000	10/05/09	134 70	14,496 44 538 81	11/01/10	215 380	14,736 59 861 50	240 15 322 69	LT
<input checked="" type="checkbox"/>	COBIZ FINANCIAL INC	11			108 58			65 22	-43 36	
<input checked="" type="checkbox"/>	COCA-COLA HELLENIC BOTTLIN	62			1,800 52			1,613 73	-186 79	
<input checked="" type="checkbox"/>	COINSTAR INC	60			1,793 39			2,384 60	591 21	
<input checked="" type="checkbox"/>	COMPANHIA DE BEBIDAS DAS	36			809 76			2,340 11	1,530 35	
<input checked="" type="checkbox"/>	COMPANHIA ENERGETICA DE	127 3			1,504 25			2,218 24	713 99	
<input checked="" type="checkbox"/>	COMPASS MINERALS INTL INC	12			1,012 91			919 86	-93 05	
<input checked="" type="checkbox"/>	COMTECH TELECOMMUNICATIONS CONOCOPHILLIPS 599-80880	32 10 0000	7/28/10	54 56	918 26 545 60	8/25/10	52 612	714 01 526 11	-204 25 -19 49	ST
<input checked="" type="checkbox"/>	COOPER INDUSTRIES PLC	25			932 10			1,193 61	261 51	
<input checked="" type="checkbox"/>	CORE LABORATORIES N.V. USD	17			1,087 56			2,029 90	942 34	
<input checked="" type="checkbox"/>	COVIDIEN PLC DUBLIN-USD	232			10,413 30			9,220 20	-1,193 10	
<input checked="" type="checkbox"/>	CREDICORP LTD -USD	18			734 82			1,957 39	1,222 57	
<input checked="" type="checkbox"/>	CROCS INC	19			225 16			335 21	110 05	
<input checked="" type="checkbox"/>	CROWN CASTLE INTERNATIONAL	39			1,386 88			1,493 44	106 56	
<input checked="" type="checkbox"/>	CSL LTD-AUD CUMMINS INC 599-80880	237 5 0000	7/07/10	67 01	2,656 79 335 05	12/28/10	109 266	3,518 79 546 32	862 00 211 27	ST
<input checked="" type="checkbox"/>	CVS CAREMARK CORP	27			913 66			889 50	-24 16	
<input checked="" type="checkbox"/>	DAIMLER AG DASSAULT SYSTEMS SA ADR 599-80884	131 11 0000	1/25/08	52 93	6,125 37 582 25	4/19/10	63 330	8,495 19 696 61	2,369 82 114 36	LT
<input checked="" type="checkbox"/>	DEAN FOODS CO NEW	71			1,212 59			1,174 48	-38 11	
<input checked="" type="checkbox"/>	DELTA AIR LINES INC	266			2,404 89			3,448 79	1,043 90	

<input checked="" type="checkbox"/>	<u>DESARROLLADORA HOMEX</u>	11			641 53		304 80	-336 73	
<input checked="" type="checkbox"/>	<u>DEUTSCHE BOERSE AG</u> <u>UNSPON</u>	812			5,949 99		5,317 72	-632 27	
<input checked="" type="checkbox"/>	<u>DEVON ENERGY CORP NEW</u>	64			4,051 35		4,633 43	582 08	
<input checked="" type="checkbox"/>	<u>DIAMOND FOODS INC</u>	39			938 43		1,520 74	582 31	
<input checked="" type="checkbox"/>	<u>DIRECTV CL A</u>	867			21,270 06		30,945 45	9,675 39	
<input checked="" type="checkbox"/>	<u>DOLLAR GENERAL CORP</u>	166			4,710 71		4,988 09	277 38	
	EATON CORP 599-80880	5 0000	7/22/10	75 03	375 17	12/28/10	101 334	506 66	131 49 ST
<input checked="" type="checkbox"/>	<u>EBAY INC</u>	472			10,792 86		10,721 64	-71 22	
	ECLIPSYS CORP 599-80882	21 0000	12/02/09	18 91	397 15	5/07/10	18 930	397 52	0 37 ST
	EMBRAER-EMPRESA BRASILERIA 599-80884	30 0000	12/27/06	40 73	1,221 77	7/21/10	23 180	695 38	-526 39 LT
<input checked="" type="checkbox"/>	<u>EMC CORP-MASS</u>	313			4,753 90		6,329 13	1,575 23	
<input checked="" type="checkbox"/>	<u>EMPRESA NACIONAL DE</u>	34			1,614 50		1,788 10	173 60	
<input checked="" type="checkbox"/>	<u>ENTEGRIS INC</u>	263			1,277 48		1,263 26	-14 22	
<input checked="" type="checkbox"/>	<u>ENTERGY CORPORATION-</u> <u>NEW</u>	102			6,921 07		8,209 01	1,287 94	

« Previous 1 | 2 | 3 | 4 | 5 | 6 | 7 Next »

Set this page as your default

Morgan Stanley  
Smith Barney

Dow 11786.54 +128.58 2:32pm | NASDAQ 2621.19 +14.23 2:32pm | S&amp;P 500 1230.65 +12.37 2:32pm

Print

Select: All Accounts 2010 Gain/Loss (Realized) Positions View

Data as of 11/1/2011

E-mail

## 2010 (Realized) Positions - All Accounts

All	Description	Quantity	Date Acquired	Purchase Price	Cost/Adjusted Cost	Date Sold	Sale Price	Proceeds	Realized Gain/Loss/Adjusted Gain/Loss	ST/LT
+	ENTERTAINMENT PPTYS TR SBI	27			1,162.37			1,170.37	8.00	
+	EQUINIX INC	123			11,547.93			10,010.63	-1,537.30	
+	ESTEE LAUDER COS INC CL A	131			8,783.09			7,703.88	-1,079.21	
+	EXPRESS SCRIPTS INC COMMON	215			9,084.28			9,637.57	553.29	
+	EXXON MOBIL CORP	71.548			4,893.53			5,006.07	112.54	
+	F5 NETWORKS INC	36			2,685.73			4,524.80	1,839.07	
+	FANUC LTD JAPAN-JPY	34			1,115.12			2,192.57	1,077.45	
+	FEDEX CORP	116			10,443.27			10,140.16	-303.11	
+	FERRO CORP	115			1,178.29			844.89	-333.40	
+	FIFTH STREET FINANCE CORP	109			1,129.90			1,316.20	186.30	
+	FINISAR CORP NEW	25			231.01			383.01	152.00	
+	FIRST CASH FINANCIAL SVC INC	17			340.05			389.86	49.81	
	FIXED INCOME SHARES FD SERIES 599-80887	1,220.0000	1/04/07	11.57	14,115.40	8/13/10	13.590	16,579.80	2,464.40	LT
	FIXED INCOME SHARES FD 599-80887	2,800.0000	1/04/07	11.52	32,256.00	8/13/10	10.710	29,988.00	-2,268.00	LT
+	FLEXTRONICS INTL LTD USD	90			855.97			697.48	-158.49	
+	FLSMITH & CO A/S UNSPON	315			1,625.31			2,269.74	644.43	
+	FNB CORP 599-80882	6.0000	10/27/09	7.00	41.99	7/21/10	7.940	47.63	5.64	ST
	FNMA PL#735580 DTD 05/01/2005 599-80887	13,000.0000	5/16/08	98.53	6,276.99	8/13/10	106.438	6,827.50	550.51	LT
	FOMENTO ECONOMICO MEXICANO 599-80883	8.0000	2/26/08	43.14	345.14	8/02/10	50.152	401.21	56.07	LT
+	FORD MOTOR COMPANY	396			2,976.25			5,007.07	2,030.82	
+	FREDS INC CL A 599-80882	4.0000	4/26/10	14.14	56.57	7/21/10	10.580	42.31	-14.26	ST
+	FREEMPORT MCMORAN COPPER & GOLD	63			4,005.15			4,330.89	325.74	
+	FRESENIUS MEDICAL CARE 599-80884	16.0000	3/13/09	38.61	617.80	4/19/10	55.760	892.14	274.34	LT
+	FTI CONSULTING INC	40			1,964.12			1,566.87	-397.25	
+	GARDNER DENVER INC 599-80882	2.0000	12/27/06	38.25	76.51	7/21/10	49.190	98.37	21.86	LT
+	GAZPROM OAO SPONS ADR	55			2,428.86			1,115.86	-1,313.00	
+	GERDAU SA SPONS ADR 599-80883	34.0000	2/22/08	15.33	521.15	8/02/10	15.035	511.17	-9.98	LT
+	GLOBAL X CHINA FINANCIALS ETF	649			9,000.21			8,886.45	-113.76	
+	GLOBAL X CHINA INDUSTRIALS ETF	136			2,220.52			2,324.20	103.68	
+	GLOBAL X CHINA MATERIALS ETF	194			2,365.44			3,001.44	636.00	
+	GOLD FIELDS LTD SPONS ADR	81			708.63			1,083.26	374.63	
+	GOOGLE INC	34			15,251.98			16,485.29	1,233.31	
+	GRAHAM PACKAGING CO INC	25			258.32			328.25	69.93	
+	GRUPO TELEVISIA SA DE CV	44			725.87			880.64	154.77	
	GUESS INC									

599-80882	8 0000	12/27/06	31 10	248 84	7/21/10	33 940	271 51	22 67	LT
HAEMONETICS CORP MASS	16			975 49			915 55	-59 94	
HALLIBURTON CO HOLDINGS CO	283			5,014 84			8,660 49	3,645 65	
HANG LUNG PROPERTIES LTD	114			2,359 92			2,552 97	193 05	
HARSCO CORP	49			1,128 92			1,258 13	129 21	
HENNES & MAURITZ AB ADR 599-80884	75 0000	6/10/08	11 07	830 54	4/19/10	13 610	1,020 73	190 19	LT
HERSHA HOSPITALITY TRUST CLA	58			594 05			237 56	-356 49	
HESS CORP 599-80879	35 0000	10/22/09	60 75	2,126 27	11/08/10	70 214	2,457 43	331 16	LT
HON HAI PRECISION	939 72			7,517 15			7,189 36	-327 79	
HONEYWELL INTL INC	62			1,817 43			3,006 28	1,188 85	
HONG KONG EXCHANGES AND 599-80884	45 0000	3/13/09	7 94	357 08	8/13/10	15 930	716 83	359 75	LT
HOSPIRA INC	81			2,631 40			4,502 86	1,871 46	
HUANENG POWER INTL SP ADR	96			2,448 07			2,256 21	-191 86	
ILLINOIS TOOL WORKS INC	250			11,408 84			10,692 78	-716 06	
INDUSTRIAL & COML BK	185			1,335 56			2,042 32	706 76	
INFINERA CORPORATION	107			1,275 46			872 08	-403 38	

« Previous 1 | 2 | 3 | 4 | 5 | 6 | 7 Next »

Morgan Stanley  
Smith Barney

Dow 11786.54 +128.58 2:32pm | NASDAQ 2621.19 +14.23 2:32pm | S&amp;P 500 1230.65 +12.37 2:32pm

Print

Select: All Accounts 2010 Gain/Loss (Realized) Positions

View

Data as of 11/1/2011

2010 (Realized) Positions - All Accounts

E-mail

All	Description	Quantity	Date Acquired	Purchase Price	Cost/Adjusted Cost	Date Sold	Sale Price	Proceeds	Realized Gain/Loss/Adjusted Gain/Loss	ST/LT
<input checked="" type="checkbox"/>	INFOSYS TECHNOLOGIE SP ADR	21			534.98			1,371.46	836.48	
<input checked="" type="checkbox"/>	INGERSOLL-RAND PUBLIC LTD	231			6,659.24			7,457.14	797.90	
<input checked="" type="checkbox"/>	INGRAM MICRO INC CLASS A	349			5,625.59			5,650.90	25.31	
<input checked="" type="checkbox"/>	INTEL CORP	879			15,460.40			18,221.23	2,760.83	
	INTERNET CAP GROUP INC-NEW 599-80882	13.0000	12/27/06	10.25	133.25	7/21/10	8.220	106.85	-26.40	LT
<input checked="" type="checkbox"/>	INTERPUBLIC GROUP OF COS INC	819			6,354.10			5,339.05	-1,015.05	
<input checked="" type="checkbox"/>	INTESA SANPAOLO S.P.A.	341			8,301.85			6,189.81	-2,112.04	
<input checked="" type="checkbox"/>	INVENTIV HEALTH INC	44			1,017.23			1,146.20	128.97	
<input checked="" type="checkbox"/>	INVENTIV HEALTH INC	29			1,022.54			585.84	-436.70	
<input checked="" type="checkbox"/>	INVESCO LTD	376			7,034.96			6,990.51	-44.45	
<input checked="" type="checkbox"/>	IPATH MSCI INDIA ETN	22			1,308.59			1,740.70	432.11	
<input checked="" type="checkbox"/>	ISHARES INC MSCI SOUTH AFRICA	65			2,412.57			3,490.82	1,078.25	
<input checked="" type="checkbox"/>	ISHARES INC MSCI TAIWAN	377			3,692.12			4,955.49	1,263.37	
<input checked="" type="checkbox"/>	ISHARES MSCI SOUTH KOREA	47			1,483.43			2,558.23	1,074.80	
<input checked="" type="checkbox"/>	ISHARES MSCI TURKEY	41			1,925.91			2,583.52	657.61	
<input checked="" type="checkbox"/>	ISHARES TRFTSE XINHUA HK	122			2,926.96			4,741.37	1,814.41	
<input checked="" type="checkbox"/>	ITAU UNIBANCO BANCO HLDG	148			2,486.35			3,692.39	1,206.04	
	J.P. MORGAN CHASE CO 599-80885	15,000.0000	1/05/07	99.00	14,849.55	11/05/10	109.641	16,446.15	1,596.60	LT
<input checked="" type="checkbox"/>	JPMORGAN CHASE & CO	231			9,211.26			9,194.93	-16.33	
<input checked="" type="checkbox"/>	JSC MMC NORILSK NICKEL JSC	74			939.23			1,726.13	786.90	
<input checked="" type="checkbox"/>	JUNIPER NETWORKS INC	189			5,187.04			5,683.85	496.81	
<input checked="" type="checkbox"/>	KBR INC	116			1,999.22			2,657.67	658.45	
	KFORCE INC 599-80882	6.0000	12/27/06	12.75	76.50	7/21/10	13.160	78.95	2.45	LT
<input checked="" type="checkbox"/>	KINGFISHER PLC	119			1,127.34			855.59	-271.75	
<input checked="" type="checkbox"/>	KOMATSU LTD ADR NEW	69			1,407.83			1,577.93	170.10	
<input checked="" type="checkbox"/>	KULICKE & SOFFA INDUSTRIES INC	238			1,274.60			1,737.44	462.84	
	LAFARGE SPONS ADR NEW 599-80884	59.0000	1/28/10	19.20	1,132.84	5/20/10	14.231	839.59	-293.25	ST
<input checked="" type="checkbox"/>	LAS VEGAS SANDS CORP	58			917.70			1,683.54	765.84	
<input checked="" type="checkbox"/>	LOGITECH INTERNATIONAL SA	205			6,192.59			3,733.32	-2,459.27	
	LSB INDUSTRIES INC 599-80882	5.0000	4/29/08	14.57	72.84	7/21/10	13.360	66.79	-6.05	LT
<input checked="" type="checkbox"/>	LUKOIL OIL SPONS ADR	7			689.18			404.28	-284.90	
	LULULUMON ATHLETICA INC 599-80884	12.0000	9/10/10	40.07	480.80	12/13/10	71.227	854.71	373.91	ST
<input checked="" type="checkbox"/>	LVMH MOET HENNESSY LOUIS	107			1,253.16			2,784.11	1,530.95	
	MACYS INC 599-80880	10.0000	8/12/10	20.23	202.26	11/04/10	24.996	249.95	47.69	ST
	MARKET VECTORS CHINA ETF 599-80883	17.0000	10/15/10	47.95	815.08	11/18/10	45.258	769.37	-45.71	ST
	MARRIOTT INTL INC NEW CL									

A	599-80880	10 0000	6/14/10	34 43	344 35	8/25/10	31 690	316 89	-27 46	ST
☒	MARVELL TECHNOLOGY GROUP	602			11,531 83			9,846 38	-1,685 45	
	MASTEC INC	3 0000	9/10/09	11 28	33 84	7/21/10	10 420	31 25	-2 59	ST
	599-80882									
☒	MASTERCARD INC	54			12,695 80			12,591 47	-104 33	
☒	MCKESSON CORPORATION	205			13,603 54			13,325 73	-277 81	
	MECHEL OAO	13 0000	1/21/10	22 64	294 33	4/07/10	29 571	384 42	90 09	ST
	599-80883									
☒	MELCO CROWN ENTERTAINMENT	306			2,140 34			1,881 71	-258 63	
☒	MICROSOFT CORP	1,213			26,767 45			30,932 66	4,165 21	
	MIDDLEBY CORP	1 0000	3/16/10	54 56	54 57	7/21/10	56 600	56 59	2 02	ST
	599-80882									
	MITSUBISHI UFJ FINANCIAL	154 0000	5/08/09	6 40	985 73	7/21/10	4 572	704 07	-281 66	LT
	599-80884									
☒	MONRO MUFFLER BRAKE INC	0 5			16 80			17 61	0 81	
☒	MOTOROLA INC DE	1,704			14,767 37			10,901 58	-3,865 79	
	NASPERS LTD SPON ADR	18 0000	9/29/09	34 23	616 19	10/05/10	47 768	859 80	243 61	LT
	599-80883									
☒	NATIONAL BK GREECE S A	1,301			8,066 11			5,114 85	-2,951 26	
☒	NATIONAL OILWELL VARCO INC	73			2,871 22			3,902 35	1,031 13	

« Previous 1 | 2 | 3 | 4 | 5 | 6 | 7 Next »

Set this page as your default.

Morgan Stanley  
Smith Barney

Dow 11786.54 +128.58 2:32pm | NASDAQ 2621.19 +14.23 2:32pm | S&amp;P 500 1230.65 +12.37 2:32pm

Print

Select: All Accounts 2010 Gain/Loss (Realized) Positions View

Data as of 11/1/2011

E-mail

## 2010 (Realized) Positions - All Accounts

All	Description	Quantity	Date Acquired	Purchase Price	Cost/Adjusted Cost	Date Sold	Sale Price	Proceeds	Realized Gain/Loss/Adjusted Gain/Loss	ST/LT
<input type="checkbox"/>	NATURAL GAS SERVICES GROUP 599-80882	3 0000	1/18/08	18 74	56 24	7/21/10	15 470	46 40	-9 84	LT
<input type="checkbox"/>	NBTY INC	35			982 55			1,663 63	681 08	
<input type="checkbox"/>	NESTLE S A SPONSORED ADR	43			1,589 74			2,101 77	512 03	
<input type="checkbox"/>	NETAPP INC	127			4,217 84			5,507 06	1,289 22	
<input type="checkbox"/>	NEW ORIENTAL EDUCATION &	7			499 16			669 68	170 52	
<input type="checkbox"/>	NEWFIELD EXPLORATION COMPANY 599-80880	10 0000	2/24/10	48 99	489 92	12/28/10	71 992	719 90	229 98	ST
<input type="checkbox"/>	NEWS CORP CLASS A NEW NICE-SYSTEMS LTD SPON ADR 599-80882	641			6,466 73			8,271 44	1,804 71	
<input type="checkbox"/>		8 0000	12/27/06	31 20	249 60	7/21/10	25 820	206 55	-43 05	LT
<input type="checkbox"/>	NOBLE ENERGY INC	177			11,870 72			14,651 87	2,781 15	
<input type="checkbox"/>	NOKIA CORP SPONSORED ADR	290			6,754 62			3,711 58	-3,043 04	
<input type="checkbox"/>	NORFOLK SOUTHERN CORP	31			911 31			1,813 49	902 18	
<input type="checkbox"/>	NOVARTIS AG ADR	31			1,794 59			1,602 54	-192 05	
<input type="checkbox"/>	NOVO-NORDISK A S ADR	46			1,923 00			3,848 85	1,925 85	
<input type="checkbox"/>	NUTRI/SYSTEM INC	52			1,159 51			1,138 82	-20 69	
<input type="checkbox"/>	NUVASIVE INC	25			797 74			667 97	-129 77	
<input type="checkbox"/>	NVIDIA CORP	494			8,302 28			7,720 06	-582 22	
<input type="checkbox"/>	OPTIONSPRESS HOLDINGS INC 599-80882	3 0000	5/12/09	16 22	48 66	7/21/10	15 351	46 04	-2 62	LT
<input type="checkbox"/>	ORACLE CORP	523			11,544 51			13,721 49	2,176 98	
<input type="checkbox"/>	ORMAT TECHNOLOGIES INC	31			1,611 86			943 91	-667 95	
<input type="checkbox"/>	OSI SYSTEMS INC COM STK 599-80882	2 0000	2/16/10	28 08	56 16	7/21/10	27 620	55 23	-0 93	ST
<input type="checkbox"/>	PACIFIC SUNWEAR OF CALIF INC	84			461 05			275 69	-185 36	
<input type="checkbox"/>	PACTIV CORP	348			7,811 15			11,030 66	3,219 51	
<input type="checkbox"/>	PARKER-HANNIFIN CORP	126			7,850 57			7,173 85	-676 72	
<input type="checkbox"/>	PETROCHINA CO LTD ADR PETROLEO BRASILEIRO SA 599-80883	21			2,305 72			2,582 46	276 74	
<input type="checkbox"/>		34 0000	2/22/08	48 75	1,657 62	3/24/10	40 152	1,365 17	-292 45	LT
<input type="checkbox"/>	PHASE FORWARD INC	102			1,354 22			1,714 19	359 97	
<input type="checkbox"/>	PHILIP MORRIS INTL INC	107			5,457 60			5,489 03	31 43	
<input type="checkbox"/>	PHILLIPPINE LONG DISTANCE	28			1,317 19			1,664 96	347 77	
<input type="checkbox"/>	PHILLIPS-VAN HEUSEN CORP DEL 599-80882	2 0000	4/30/09	29 28	58 56	7/21/10	46 840	93 67	35 11	LT
<input type="checkbox"/>	PINNACLE FINANCIAL PARTNERS	39			521 17			389 29	-131 88	
<input type="checkbox"/>	POTASH CORP SASK INC	16			1,550 46			2,077 04	526 58	
<input type="checkbox"/>	POWER-ONE INC	93			1,146 58			996 82	-149 76	
<input type="checkbox"/>	PRECISION CASTPARTS CORP	2			254 46			251 40	-3 06	
<input type="checkbox"/>	PRICELINE COM INC (NEW)	24			3,848 39			6,552 18	2,703 79	

⊕	<u>QUALCOMM INC</u>	208			8,155 49		8,025 76	-129 73	
	RAILAMERICA INC	1 0000	10/13/09	14 31	14 31	7/21/10	10 460	10 45	-3 86 ST
	599-80882								
	RALCORP HLDGS INC NEW	11 0000	11/24/09	57 67	634 35	3/18/10	67 154	738 69	104 34 ST
	599-80879								
⊕	<u>RECKITT BENCKISER GP</u>	171			1,487 27		1,801 38	314 11	
	ADR								
⊕	<u>REGAL ENTERTAINMENT</u>	48			983 73		784 52	-199 21	
	GROUP CL A								
⊕	<u>RELIANCE INDS LTD GLOBAL</u>	67			3,495 90		3,205 36	-290 54	
⊕	<u>RF MICRO DEVICES INC</u>	124			625 72		886 36	260 64	
⊕	<u>RIVERBED TECHNOLOGY</u>	15			476 31		632 59	156 28	
	INC								
⊕	<u>ROCHE HLDG LTD SPON ADR</u>	158			6,995 61		5,817 83	-1,177 78	
⊕	<u>ROSNEFT OIL CO OAO REGS</u>	324			1,632 87		2,270 85	637 98	
⊕	<u>SABMILLER PLC SPON ADR</u>	70			1,889 37		2,080 75	191 38	
⊕	<u>SALESFORCE COM INC</u>	13			1,130 86		1,711 76	580 90	
⊕	<u>SAMSUNG ELECTRS LTD</u>	21			6,223 70		7,234 38	1,010 68	
	GDR								
	SANDRIDGE ENERGY INC	55 0000	6/08/09	10 17	559 59	5/07/10	6 276	345 16	-214 43 ST
	599-80882								
	SAP AG SPONS ADR-USD	18 0000	5/19/08	51 99	935 80	7/21/10	46 961	845 28	-90 52 LT
	599-80884								
⊕	<u>SASOL LTD SPONS ADR</u>	44			1,359 64		1,856 76	497 12	

« Previous 1 | 2 | 3 | 4 | 5 | 6 | 7 Next »

Set this name as your default



Morgan Stanley  
Smith Barney

Dow 11786 54 +128 58 2 32pm | NASDAQ 2621 19 +14 23 2 32pm | S&amp;P 500 1230 65 +12 37 2 32pm

Print

Select: All Accounts 2010 Gain/Loss (Realized) Positions View

Data as of 11/1/2011

E-mail

## 2010 (Realized) Positions - All Accounts

All	Description ^	Quantity	Date Acquired	Purchase Price	Cost/Adjusted Cost	Date Sold	Sale Price	Proceeds	Realized Gain/Loss/Adjusted Gain/Loss	ST/LT
	SBA COMMUNICATIONS CORP 599-80882	11 0000	12/27/06	27 31	300 42	7/21/10	35 760	393 35	92 93	LT
	SCHEIN (HENRY) INC	4			198 84			207 70	8 86	
	SCHLUMBERGER LTD	30			2,768 99			1,907 05	-861 94	
	SEAGATE TECHNOLOGY	150			3,086 77			2,812 30	-274 47	
	SELECT MEDICAL HOLDINGS CORP	81			781 37			599 12	-182 25	
	SILIGAN HOLDINGS INC	76			1,828 52			2,616 23	787 71	
	SIRONA DENTAL SYSTEMS INC	52			1,718 63			1,754 32	35 69	
	SK TELECOM LTD SPON ADR	86			1,431 46			1,571 35	139 89	
	SMART BALANCE INC	24			137 56			127 98	-9 58	
	SMITH & NEPHEW PLC SP ADR 599-80884	14 0000	7/10/08	53 84	753 77	7/21/10	42 490	594 84	-158 93	LT
	SONOCO PRODUCTS CO 599-80882	2 0000	3/18/09	21 04	42 09	7/21/10	33 000	65 99	23 90	LT
	SOUTHERN COPPER CORP DEL	73			1,781 39			3,285 09	1,503 70	
	SS&C TECHNOLOGIES HLDGS INC	6			92 32			100 80	8 48	
	SUCCESSFACTORS INC	5			82 09			85 90	3 81	
	SUNCOR ENERGY INC NEW	182			5,803 79			6,055 75	251 96	
	SYMANTEC CORP	791			12,338 69			10,278 06	-2,060 63	
	TAIWAN SEMICONDUCTOR MFG	69			650 91			857 73	206 82	
	TALBOTS INC	91			1,535 39			947 57	-587 82	
	TARGACEPT IN 599-80882	2 0000	10/21/09	21 02	42 04	7/21/10	19 600	39 19	-2 85	ST
	TARGET CORP	199			10,739 11			10,554 41	-184 70	
	TELEFONICA S.A. SPON ADR	24			1,583 57			1,774 40	190 83	
	TESCO PLC SPONSORED ADR	82			1,611 20			1,721 58	110 38	
	TEVA PHARMACEUTICAL INDS	295			13,498 76			16,141 50	2,642 74	
	TEXAS INSTRUMENTS INC	394			10,026 02			9,783 58	-242 44	
	TIME WARNER INC	15			444 11			491 39	47 28	
	TIVO INC	152			1,488 00			2,468 15	980 15	
	TJX COMPANIES INC NEW	221			7,924 99			10,098 14	2,173 15	
	TNS INC	26			616 34			420 15	-196 19	
	TOWER BANCORP 599-80882	3 0000	8/28/09	27 81	83 44	7/21/10	20 860	62 57	-20 87	ST
	TOYOTA MOTOR CORP ADR NEW	16			2,023 27			1,223 94	-799 33	
	TRADESTATION GROUP INC	85			621 28			510 40	-110 88	
	TRANSOCEAN LTD SWITZERLAND	94			10,656 72			7,856 78	-2,799 94	
	TRUE RELIGION APPAREL INC	98			2,035 41			2,049 73	14 32	
	TURKCELL ILETISM HIZMET	509			9,143 55			7,034 99	-2,108 56	
	TYCO INTL LTD CHF	267			5,487 80			9,844 25	4,356 45	
	U S TREASURY NOTES SER AF-2011	6,000			6,017 54/ 6,014 66			30 27/ 6,047 81	33 15	

	U S TREASURY NOTES SER B-2015 599-80887	4,000 0000	9/08/09	107 35	4,294 06/ 4,246 32	8/13/10	111 789	4,471 56	177 50/ 225 24	ST
☒	ULTICOM INC	45			1,141 79			136 38	-1,005 41	
☒	UNION PACIFIC CORP	41			2,344 45			3,111 72	767 27	
☒	UNITED MICROELECTRONICS	174			530 24			553 19	22 95	
☒	UNITED NATURAL FOODS INC	34			1,266 08			1,040 63	-225 45	
☒	UNITED THERAPEUTICS CORP	22			1,018 31			1,123 47	105 16	
	UNITEDHEALTH GROUP INC 599-80880	10 0000	2/05/10	32 46	324 59	8/25/10	31 251	312 50	-12 09	ST
	UNIVERSAL HEALTH SERVICES INC 599-80882	4 0000	12/24/08	17 66	70 65	7/21/10	35 610	142 43	71 78	LT
☒	US TSY INFLATION INDEX NTS CPI	23,000			26,657 20/ 28,182 44			29,628 60	2,971 40/ 1,446 16	
☒	VENTAS INC	88			3,648 25			4,356 28	708 03	
☒	VERBUND AG ADR	362			4,422 61			2,277 47	-2,145 14	
	VERINT SYSTEMS INC 599-80882	4 0000	12/27/06	34 50	138 00	7/21/10	20 300	81 19	-56 81	LT
☒	VESTAS WIND SYS A/S UTD	49			1,999 62			781 04	-1,218 58	
☒	VIMPELCOM LTD ADR	113			1,530 03			1,600 28	70 25	

« Previous 1 | 2 | 3 | 4 | 5 | 6 | 7 Next »

Morgan Stanley  
Smith Barney

Dow 11800 54 +142 58 2 33pm | NASDAQ 2624 17 +17 21 2 33pm | S&amp;P 500 1232 26 +13 98 2 33pm

Print

Select: All Accounts 2010 Gain/Loss (Realized) Positions View

Data as of 11/1/2011

## 2010 (Realized) Positions - All Accounts

E-mail

All	Description	Quantity	Date Acquired	Purchase Price	Cost/ Adjusted Cost	Date Sold	Sale Price	Proceeds	Realized Gain/Loss/ Adjusted Gain/Loss	ST/LT
<input checked="" type="checkbox"/>	WAL-MART DE MEXICO SA DE	70			1,821 79			2,671 37	849 58	
<input checked="" type="checkbox"/>	WALT DISNEY CO 599-80880	10 0000	1/21/10	30 98	309 82	9/20/10	34 857	348 56	38 74	ST
<input checked="" type="checkbox"/>	WASTE CONNECTIONS INC	35 5			734 11			1,022 46	288 35	
<input checked="" type="checkbox"/>	WEATHERFORD INTERNATIONAL	750			11,627 64			11,445 65	-181 99	
<input checked="" type="checkbox"/>	WELLS FARGO CO GLOBAL SUB 599-80885	15,000 0000	7/06/07	94 33	14,149 35	11/05/10	110 535	16,580 25	2,430 90	LT
<input checked="" type="checkbox"/>	WESTAMERICA BANCORP 599-80882	1 0000	11/03/09	47 82	47 82	7/21/10	52 440	52 43	4 61	ST
<input checked="" type="checkbox"/>	WILLIS GROUP HLDGS PLC 599-80879	15 0000	1/23/09	24 01	360 22	4/19/10	32 250	483 74	123 52	LT
<input checked="" type="checkbox"/>	WINNEBAGO INDUSTRIES INC	158			2,247 82			1,770 87	-476 95	
<input checked="" type="checkbox"/>	WYNN RESORTS LTD	33			2,243 23			3,220 88	977 65	
<input checked="" type="checkbox"/>	YAHOO INC	631			10,716 12			9,483 32	-1,232 80	
<input checked="" type="checkbox"/>	YANZHOU COAL MINING CO LTD	54			851 07			1,440 75	589 68	
<input checked="" type="checkbox"/>	ZUMIEZ INC	104			960 76			1,832 12	871 36	
	Short-Term Total				546,025 10			574,666 18	28,590 46/ 28,641 08	
	Long-Term Total				598,328 87/ 599,854 11			658,436 92	60,108 05/ 58,582 81	
	Total				1,144,404 59/ 1,145,879 21			1,233,103 10	88,698 51/ 87,223 89	

Previous 1 | 2 | 3 | 4 | 5 | 6 | 7

---

Form 8688	Explanation for Extension	Statement 11
-----------	---------------------------	--------------

---

Explanation

Complete information was not available in order to complete preparation of the return in time for filing by August 15, 2011. We anticipate that the return will be filed as soon as possible after August 15, 2011.

Maulid 8/5/11

• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box ☒

**Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

• If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1)

<b>Part II</b>		<b>Additional (Not Automatic) 3-Month Extension of Time.</b> Only file the original (no copies needed)	
Type or print  File by the extended due date for filing your return See instructions	Name of exempt organization <b>Hyslop Shannon Foundation C/O James R. Morton</b>		Employer identification number <b>72-1447443</b>
	Number, street, and room or suite no. If a P.O. box, see instructions <b>1100 Poydras Street, No. 2100</b>		
	City, town or post office, state, and ZIP code. For a foreign address, see instructions <b>New Orleans, LA 70163-2100</b>		

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990 T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

**James R. Morton**

• The books are in the care of ► **1100 Poydras St, Ste 2100, New Orleans, LA - 70163-2100**  
Telephone No ► **504-599-8507** FAX No ►

• If the organization does not have an office or place of business in the United States, check this box ☐

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 4 I request an additional 3-month extension of time until **November 15, 2011**
- 5 For calendar year **2010**, or other tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_
- 6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return  
☐ Change in accounting period
- 7 State in detail why you need the extension **See Statement 2**

<b>8a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	<b>8a</b>	\$	<b>5,500.</b>
<b>b</b> If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	<b>8b</b>	\$	<b>13,924.</b>
<b>c</b> <b>Balance due.</b> Subtract line 8b from line 8a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	<b>8c</b>	\$	<b>0.</b>

### Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ► Title ► **CPA** Date ►

# Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*.

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Name of exempt organization <b>Hyslop Shannon Foundation C/O James R. Morton</b>	Employer identification number <b>72-1447443</b>
	Number, street, and room or suite no. If a P.O. box, see instructions <b>1100 Poydras Street, No. 2100</b>	
File by the due date for filing your return. See instructions	City, town or post office, state, and ZIP code. For a foreign address, see instructions <b>New Orleans, LA 70163-2100</b>	

Enter the Return code for the return that this application is for (file a separate application for each return)

**0 4**

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**James R. Morton**

• The books are in the care of ► **1100 Poydras St, Ste 2100, New Orleans, LA - 70163-2100**  
Telephone No ► **504-599-8507** FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **August 15, 2011**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:  
► ☒ calendar year **2010** or  
► ☐ tax year beginning , and ending

2 If the tax year entered in line 1 is for less than 12 months, check reason. ☐ Initial return ☐ Final return  
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	<b>5,500.</b>
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	<b>8,424.</b>
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	<b>0.</b>

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 1-2011)

• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒

**Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

**Part II Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed)

Type or print  File by the extended due date for filing your return. See instructions.	Name of exempt organization	Employer identification number
	Hyslop Shannon Foundation C/O James R. Morton	72-1447443
	Number, street, and room or suite no. If a P.O. box, see instructions 1100 Poydras Street, No. 2100	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions New Orleans, LA 70163-2100	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

James R. Morton

• The books are in the care of **1100 Poydras St, Ste 2100, New Orleans, LA - 70163-2100**  
Telephone No **504-599-8507** FAX No.

• If the organization does not have an office or place of business in the United States, check this box ☐

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN)  If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until **November 15, 2011.**

5 For calendar year **2010**, or other tax year beginning , and ending

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return  
☐ Change in accounting period

7 State in detail why you need the extension **See Statement 11**

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	5,500.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	8,868.
c <b>Balance due.</b> Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

**Signature and Verification**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature

Title **CPA**

Date

Form 8868 (Rev. 1-2011)